

1 Laboratory and field evaluation of the Aerosol Dynamics Inc. concentrator (ADIC)  
2 for aerosol mass spectrometry

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13

14 **Abstract**

15 An air-to-air ultrafine particle concentrator (Aerosol Dynamics Inc. concentrator; ADIc) has been  
16 designed to enhance on-line chemical characterization of ambient aerosols by aerosol mass  
17 spectrometry. The ADIc employs a three-stage, moderated water-based condensation growth tube  
18 coupled to an aerodynamic focusing nozzle to concentrate fine particles into a portion of the flow.  
19 The system can be configured to sample between 1.0–1.7 L min<sup>-1</sup> with an output concentrated flow  
20 between 0.08–0.12 L min<sup>-1</sup>, resulting in a theoretical concentration factor (sample flow/output  
21 flow) ranging from 8 to 21. Laboratory tests with monodisperse particles show that the ADIc is  
22 effective for particles as small as 10 nm. Laboratory experiments conducted with the Aerosol Mass  
23 Spectrometer (AMS) showed no shift in the particle size after the ADIc, as measured by the AMS  
24 particle time-of-flight. The ADIc-AMS system was operated unattended over a one-month period  
25 near Boston, Massachusetts. Comparison to a parallel AMS without the concentrator showed  
26 concentration factors of  $9.7 \pm 0.15$  and  $9.1 \pm 0.1$  for sulfate and nitrate, respectively, when operated  
27 with a theoretical concentration factor of  $10.5 \pm 0.3$ . The concentration factor of organics was  
28 lower, possibly due to the presence of large particles from nearby road-paving operations, and a  
29 difference in aerodynamic lens cutoff between the two AMS instruments. Another field  
30 deployment was carried out in Helsinki, Finland. Two ~10-day measurement periods showed good  
31 correlation for the concentrations of organics, sulfate, nitrate and ammonium measured with an  
32 Aerosol Chemical Speciation Monitor (ACSM) after the ADIc, and a parallel AMS without the  
33 concentrator. Additional experiments with an AMS alternating between the ADIc and a bypass  
34 line demonstrated that the concentrator did not significantly change the size distribution or the  
35 chemistry of the ambient aerosol particles.

36

## 37 **1 Introduction**

38 Particles in the ambient atmosphere are of concern for human health, air quality and climate change  
39 (Pope and Dockery, 2006; Lelieveld et al., 2015; IPCC 2014). Measurement of the chemical  
40 characteristics of particles, and the health effects associated with their inhalation, often benefit  
41 from higher sample load which can be achieved by increasing sample flow rate, extending  
42 sampling time or using a particle concentrator. Enrichment of particle number or mass  
43 concentration is particularly important for measurements in regions where particle concentrations  
44 are low, such as in Arctic or Antarctic background areas (10–1000 particles per  $\text{cm}^{-3}$ , Asmi et al.,  
45 2010; Tunved et al., 2006). An increase in particle mass can also benefit the measurement of trace  
46 aerosol components such as metals, or improve the determination of chemically resolved size  
47 distributions.

48 Several air-to-air concentrators have been designed to increase the concentration of particles with  
49 respect to the suspending gas volume, and to thereby provide enhanced aerosol detection. To be  
50 beneficial, the concentrator should be small, easy to maintain and capable of operating several  
51 days or even weeks unattended. Even more importantly, the concentrator should provide stable  
52 enrichment of particles, and maintain aerosol chemical and physical and properties such as  
53 composition and size distribution. Virtual impactors are a well-known type of air-to-air particle  
54 concentrators that use a low-velocity sampling probe to sample a particle flow exiting from a  
55 nozzle but they are typically ineffective for the submicrometer ( $< 1 \mu\text{m}$ ) and ultrafine ( $< 100 \text{ nm}$ )  
56 particle size ranges that are of most interest for atmospheric and health-related particle studies.  
57 Current air-to-air concentrators for small particles couple condensational growth with traditional  
58 virtual impactors, e.g., the Versatile Aerosol Concentration Enrichment System (VACES, Kim et  
59 al., 2001), the miniature VACES (Geller et al., 2006; Saarikoski et al., 2014) or the Harvard  
60 Ultrafine Concentrated Ambient Particle System (HUCAPS, Gupta et al., 2004). However, these  
61 systems are ineffective for particles below  $\sim 30 \text{ nm}$  in diameter. Moreover, with long  
62 condensational growth times, these approaches have been shown to feature the undesirable effect  
63 of changing the particle chemical composition (e.g., Saarikoski et al., 2014).

64 Here we present a new air-to-air particle concentrator, the Aerosol Dynamics Inc. concentrator  
65 (ADIC), that is based on the three-stage, laminar-flow, water-based condensational growth  
66 approach used in the Sequential Spot Sampler (Eiguren Fernandez et al., 2014; Pan et al., 2016),

67 and in some water condensation particle counters (CPCs, Hering et al., 2017; 2018). This system  
68 is designed specifically for instruments with low sampling flow rates on the order of  $0.1 \text{ L min}^{-1}$ .  
69 It offers concentration factors (CFs) of 8 to 21 for particles as small as 10 nm diameter in an output  
70 flow that is noncondensing at typical room temperatures (i.e. with dew points below  $16 \text{ }^\circ\text{C}$ ).  
71 Previously, a preliminary version of this concentration approach that used a two-stage growth tube  
72 was coupled to an Aerosol Time-of-Flight Mass Spectrometer (ATOFMS, Zauscher et al., 2011)  
73 and showed both concentration enhancement and lack of chemical artifacts. However, this  
74 preliminary system was not stable enough for long-term operation.

75 The three-stage growth column version of the ADIc described here eliminates excess water vapor  
76 in the output flow and decreases the residence time for the particle in the droplet phase, with the  
77 objective of minimizing chemical artifacts as well as providing long-term stability. The ADIc is a  
78 smaller scale version of the approach used in the nano-particle charger reported by Kreisberg et  
79 al. (2018), for which chemical artifacts, evaluated using Thermal Desorption Chemical Ionization  
80 Mass Spectrometry, were found to be mostly insignificant. The ADIc is tailored for use with an  
81 aerosol mass spectrometer, such as the Aerodyne Aerosol Mass Spectrometer (AMS), the  
82 Aerodyne Aerosol Chemical Speciation Monitor (ACSM) or the ATOFMS. In this paper, the  
83 ADIc was evaluated in laboratory experiments that explored its influence on particle size and  
84 chemical composition. The ADIc was also evaluated in field measurements conducted in two  
85 different environments (urban background and suburban) and with different commonly used types  
86 of aerosol mass spectrometers. Moreover, long term (weeks to months) unattended operation of  
87 the ADIc was demonstrated.

88

## 89 **2 Experimental**

### 90 **2.1 System description of the ADIc**

91 The ADIc uses a laminar flow, water- based condensation growth tube coupled to an aerodynamic  
92 focusing nozzle to provide concentration of particles from a  $1\text{--}1.7 \text{ L min}^{-1}$  sample flow into a  $0.08\text{--}$   
93  $0.12 \text{ L min}^{-1}$  concentrated output flow. This system uses a three-stage moderated aerosol  
94 condensation approach (Hering et al., 2014) whereby the aerosol flow passes through a wet-walled  
95 tube with three distinct temperature regions (Fig. 1). In the first stage, the conditioner has cold  
96 walls and brings the flow to known conditions of cool temperature and high relative humidity

97 (RH). The second, initiator stage, has warm walls and provides the water vapor that creates the  
98 supersaturation for particle activation, while the last, cool-walled moderator stage provides time  
99 for particle growth while simultaneously removing water vapor from the flow. The water vapor  
100 saturation level reaches a value of 1.4 in the initiator while maintaining temperatures below 30 °C  
101 in the majority of the sample flow, and simultaneously providing for output flow dew points below  
102 16 °C. Thus, the water vapor content of the output flow is reduced to typical ambient conditions,  
103 making it easier to handle, and minimizing the amount of water reaching the detection system. The  
104 wetted walls are maintained by a single wick formed from rolled membrane filter media and the  
105 flow is laminar throughout the ADIc system.

106 Within the growth tube, particles with diameters above 5–10 nm are activated and grow by  
107 condensation to form droplets of approximately 1.5–4 μm in diameter. The cooled, droplet-laden  
108 flow passes through a 1-mm diameter nozzle wherein the droplets are aerodynamically focused  
109 along the central core of the flow, much as described by Fuerstenau et al. (1994). The ADIc  
110 contains an annular slit in the side wall of this nozzle, through which the majority (85–95 %) of  
111 the flow (discard flow) is extracted. The remaining 5–15 % of the flow contains the droplets which  
112 have been focused aerodynamically. Water evaporates from the droplets once the flow regains  
113 ambient (20–25 °C) temperature to provide a concentrated aerosol flow (output flow). The system  
114 is designed to minimize the time the particle is a droplet, with the objective of minimizing chemical  
115 artifacts, similar to the nano-particle charging system (Kreisberg et al., 2018).

116 The exact design of the focusing and flow extraction nozzle is based on numerical modeling done  
117 using the Comsol Multiphysics package. Numerical modeling results, presented in Fig. S1 for the  
118 final design, show that particles smaller than 1 μm follow the gas flow trajectories and are extracted  
119 through the annular slit while those above 6 μm over-focus and collide with the opposite wall.  
120 However, intermediately sized particles, corresponding to a Stokes number (St) of 0.5 to 3.5, are  
121 aerodynamically focused in the region near the centerline of the flow. These particles follow the  
122 remaining flow, the output flow, which continues straight, thus providing a concentrated flow for  
123 sampling with aerosol instrumentation. The theoretical concentration factor is determined by the  
124 ratio of the sample flow rate to the output flow rate and can be varied between 8 and 21.

125 Two prototype concentrators (Prototype 1 and 2) were used in this study, both having the same  
126 dimensions for the growth tube and nozzle. The conditioner, initiator and moderator are 140 mm,

127 51 mm and 102 mm long, respectively, separated by 7.5 mm thick insulator sections. In both  
128 prototypes the growth tube was lined with a 9 mm-ID, ~1.5 mm-thick wick formed from rolled  
129 membrane filter. For particles along the centerline of the flow, the calculated residence time from  
130 the point of activation to the inlet of the focusing nozzle is 200–300 ms, depending on the point of  
131 activation. Along the flow trajectory that encompasses 50% of the flow, the residence time is as  
132 long as 400 ms.

133 The conditioner and moderator were cooled using Peltier heat pumps and the initiator and focusing  
134 nozzle were heated resistively. All three regions used proportional-integral-derivative (PID)  
135 control to maintain set-point temperatures. Distilled water was injected into the initiator stage at a  
136 rate of  $5 \mu\text{L min}^{-1}$  and excess water was removed from the base of the wick carried by a small flow  
137 of  $\sim 0.05 \text{ L min}^{-1}$  of air into a waste bottle. Other than packaging, the only difference between the  
138 prototypes was that Prototype 1 had a mass flow meter to measure the discard flow while Prototype  
139 2 did not have this option. The theoretical CF for Prototype 1 was determined continuously from  
140 the measured flows, while for Prototype 2 the theoretical CF was determined from the sample and  
141 concentrated flow rates measured before and after each experiment. The size of the ADIc is  
142 approximately 30 x 30 x 50 cm (W x D x H) and the weight is ~11 kg.

143

## 144 **2.2 Evaluation in the laboratory**

### 145 **2.2.1 Particle number measurements at ADI**

146 The performance of the ADIc for particle counting was evaluated in the laboratory at Aerosol  
147 Dynamics Inc. (ADI) using monodisperse particles generated by atomization, followed by drying  
148 and charge conditioning (soft X-ray, Model 3087, TSI Inc., Shoreview, US). Particles were size  
149 selected using a nano-differential mobility analyzer (DMA, Model 3085, TSI Inc., Shoreview, US)  
150 for sizes between 5 nm and 60 nm and using the Aerosol Dynamics Inc. high-flow DMA  
151 (Stolzenburg et al., 1998) for sizes between 20 nm and 400 nm. Particle concentrations were  
152 measured in the sample flow and in the concentrated output flow using water-based CPCs.  
153 Prototype 1 was evaluated with mono-mobility ammonium sulfate (AS) particles with a pair of  
154 prototype Model 3785 (TSI Inc., Shoreview, US) water-based CPCs and a Model 3783 CPC (TSI  
155 Inc., Shoreview, US) to simultaneously measure particle concentrations in the sample flow, in the

156 discard flow, and in the concentrated output flow, respectively. The sample flow was fixed at 1.0  
157 L min<sup>-1</sup> and the output flow was 0.12 L min<sup>-1</sup> (theoretical CF = 8.3). The operating temperatures  
158 for conditioner (T<sub>con</sub>), initiator (T<sub>ini</sub>), moderator (T<sub>mod</sub>) and focusing nozzle (T<sub>noz</sub>) were 5, 26,  
159 10 and 30 °C, respectively (see Table 1).

160 Similar evaluation experiments were carried out on Prototype 2 but its operation was tested under  
161 two flow regimes. First, experiments were done at 1.0 L min<sup>-1</sup> sample flow and 0.11 L min<sup>-1</sup> output  
162 flow (theoretical CF = 9.1), with similar operating temperatures to Prototype 1. To test higher CFs,  
163 experiments were also done at a sample flow rate of 1.5 L min<sup>-1</sup> and an output flow of 0.11  
164 L min<sup>-1</sup> for a theoretical CF of 13.6. The growth tube is sized for low-flow operation, such that the  
165 centerline supersaturation reaches its maximum at the end of the warm initiator section. At the  
166 higher flow rate, the residence time is shorter, and thus for the same operating temperatures the  
167 peak supersaturation is lower. To compensate, the initiator was operated at a warmer wall  
168 temperature, thereby providing a similar value for the calculated peak super-saturation. The  
169 operating temperatures for the higher flow rate were T<sub>con</sub> = 6 °C, T<sub>ini</sub> = 31 °C, T<sub>mod</sub> = 8 °C, and  
170 T<sub>noz</sub> = 35 °C (Table 1).

171 In addition to laboratory generated AS particles, both prototypes were tested with laboratory air  
172 using a pair of water-based CPCs, one sampling upstream of the ADIc and one sampling  
173 downstream.

174

### 175 **2.2.2 Particle chemistry at ARI and FMI**

176 The performance of the ADIc in terms of particle chemistry was evaluated at Aerodyne Research,  
177 Inc. (ARI) and at the Finnish Meteorological Institute (FMI). Laboratory experiments were carried  
178 out using particles generated with a constant output atomizer (Model 3076, TSI Inc., Shoreview,  
179 US) from AS or ammonium nitrate (AN) in deionized water, or from dioctyl sebacate (DOS) in 2-  
180 propanol. Generated particles were dried with a silica gel dryer and the desired monodisperse  
181 particle size fraction was selected using a DMA (Model 3080, TSI Inc., Shoreview, US). A valve  
182 system was used to alternate between passing the particles through the ADIc and bypassing it.  
183 Temperature and flow settings used in the ADIc during the ARI and FMI experiments are given  
184 in Table 1.

185 Particle size and chemical composition were measured with several different versions of the AMS,  
186 including a high-resolution time-of-flight aerosol mass spectrometer (HR-AMS, Aerodyne  
187 Research Inc., Billerica, US; DeCarlo et al., 2006), a soot-particle aerosol mass spectrometer (SP-  
188 AMS, Aerodyne Research Inc., Billerica, US; Onasch et al., 2012), a quadrupole aerosol mass  
189 spectrometer (Q-AMS, Aerodyne Research Inc., Billerica, US; Canagaratna et al., 2007) and a  
190 quadrupole aerosol chemical speciation monitor (ACSM, Aerodyne Research Inc., Billerica, US;  
191 Ng et al., 2011). These instruments all operate on the same principle. Aerosol particles are sampled  
192 through an aerodynamic lens, forming a narrow particle beam that is transmitted into the detection  
193 chamber where the non-refractory species are flash vaporized upon impact on a hot surface (600  
194 °C). The particle vapor is ionized using electron impact ionization (70 eV) and detected by the  
195 mass spectrometer. Particle size (particle time of flight, PtoF, data) is determined from particle  
196 flight time in the vacuum chamber after passing through a chopper. The typical size range of  
197 particles detected with an AMS is 70 nm to 700 nm (Liu et al., 2007). In addition to the thermal  
198 vaporizer, the SP-AMS incorporates an intracavity Nd-YAG (1064 nm) laser that enables the  
199 detection of refractory black carbon (rBC) and metal containing particles (Onasch et al., 2012;  
200 Carbone et al., 2015). The ACSM does not include particle size measurement capability.

201 HR- and SP-AMS data was analyzed with the Squirrel (v1.57H)/Pika (v1.16H) and Squirrel  
202 (v1.60P)/Pika (v1.20P) analysis package, respectively. Additionally, high resolution (HR) size  
203 distribution data from the SP-AMS was analyzed with the Squirrel (v1.62A)/Pika (v1.22A)  
204 package. Both the HR-AMS and SP-AMS instruments were equipped with a multiple slit chopper  
205 (efficient Particle Time of Flight, ePToF, chopper) with 50% particle throughput. The measured  
206 size distributions were normalized to the mass concentrations measured in the mass spectrum  
207 mode. Q-AMS data was analyzed with AMS Analysis Toolkit 1.43. ACSM data was analyzed  
208 with ACSM Local (v1.6.1.1). All of the analysis software runs in the Igor 6 (WaveMetrics, Inc.)  
209 programming environment. The three AMS instruments and the ACSM were calibrated for  
210 ionization efficiency (IE) of nitrate and relative ionization efficiency (RIE) of both ammonium and  
211 sulfate, using size selected single component particles of AN or AS (Budisulistiorini et al., 2014).

212

### 213 **2.3 Field testing**



214 The ADIc was tested for ambient aerosol at two different locations. At ARI, particles were sampled  
215 from a roof top sampling station on the ARI building at 45 Manning St., Billerica, MA (42.53, -  
216 71.27, 60 m a.s.l.), located in a suburban office park about 30 km NW of Boston, MA and about  
217 60 m NE of 6-lane freeway. Ambient air was sampled at 3 L min<sup>-1</sup> through a 2.5 µm cut cyclone  
218 and split between two paths. The first path went to an HR-AMS and a CPC (Model 3776, TSI Inc.,  
219 Shoreview, US). The second path went to the ADIc followed by a Q-AMS and a CPC (Model  
220 mCPC, Brechtel, Hayward, US). Two valves allowed the ambient air to bypass the ADIc and  
221 directly enter the Q-AMS. Both AMSs recorded data at 2-minute time resolution. Ambient  
222 sampling was conducted from 1 to 26 August 2014. The default collection efficiency (CE) of 0.5  
223 for ambient particles was applied to data from both AMS instruments. Local ambient temperature  
224 was downloaded from Weather Underground for station KMABILLE10 and ambient RH data was  
225 downloaded from NOAA for Hanscom.

226 The second ambient sampling location was at an urban background station (SMEAR III; Station  
227 for Measuring Ecosystem-Atmosphere Relationships, 60.20, 24.95, 30 m a.s.l., described by Järvi  
228 et al., 2009) located at the Kumpula campus near the FMI building, about 5 km NE of the Helsinki  
229 city center, Finland. The station is surrounded by office buildings on one side and a small forest  
230 and botanical garden on the other side. Ambient particles were sampled through a 2.5 µm cyclone  
231 with a flow rate of 3 L min<sup>-1</sup>. Sample flow was split into two sampling lines; the first line went to  
232 the SP-AMS (with an additional bypass flow of 1.3–2 L min<sup>-1</sup>) and the second line to the ADIc  
233 followed by an ACSM. The ACSM data was averaged approximately to 10-minute time resolution  
234 (10 times open + close, m/z range: 10–150, scan rate 200 ms/amu) and the SP-AMS measured  
235 with a time resolution of 1.5 minutes. Two sample flow regimes were tested with the ACSM+ADIc  
236 system; the sample flow was set to either 1.7 L min<sup>-1</sup> or 1.0 L min<sup>-1</sup> while the output flow of the  
237 ADIc was determined by the ACSM inlet flow of 0.08 L min<sup>-1</sup>, giving a theoretical CF of 21.3 and  
238 12.5 for high and low sample flow, respectively. Additionally, in a separate set of experiments, the  
239 ADIc was installed upstream of the SP-AMS in order to investigate the influence of the ADIc on  
240 high resolution mass spectra and size distributions. Those tests were carried out in the high flow  
241 regime (theoretical CF of 21.3) in order to maximize the increase in HR organic and rBC mass  
242 spectral and PToF signals with the ADIc. The SP-AMS measurements were conducted by  
243 switching the laser on/off every 1.5 minutes. Laser off data was utilized when the SP-AMS was  
244 compared with the ACSM+ADIc and laser on data was used for the period when the ADIc was

245 installed in front of the SP-AMS. The default CE of 0.5 for ambient particles was applied to both  
246 ACSM and SP-AMS data. An RH sensor was installed in the ACSM line after the ADIc. Ambient  
247 meteorological parameters were recorded at the Kumpula Weather station. Field measurements at  
248 SMEAR III were conducted between 13 July to 22 October 2018, with sampling on about 27  
249 different days. Temperature settings of the ADIc during the field campaigns at ARI and FMI are  
250 given in Table 1. Instrumental setups used in the laboratory and field tests at ADI, ARI and FMI  
251 are shown in Fig. S2.

252

### 253 **3 Results and discussion**

#### 254 **3.1 Laboratory evaluation**

##### 255 **3.1.1 Concentration factor**

256 Figure 2 shows laboratory results for monodisperse AS particles for two flow regimes. The  
257 measured concentration factor, defined as the ratio of particle number concentration in the output  
258 flow of the ADIc to that in the sample flow, is plotted as a function of particle mobility diameter.  
259 Data for the lower flow regime is from Prototype 1, which was subsequently tested at ARI for  
260 aerosol chemical species. For the lower flow, the average measured CF was  $7.7 \pm 0.3$  for the  
261 particles larger than 15 nm, compared to a theoretical CF of 8.3. Data shown for the higher flow  
262 regime was obtained with Prototype 2, which was later tested at FMI for particle chemistry and  
263 size distributions. For the higher flow, the measured CF was  $11.9 \pm 0.2$ , compared to a theoretical  
264 CF of 13.6, for 50–305 nm particles. When operated in the lower flow regime, Prototype 2 data is  
265 similar to that for Prototype 1, with a measured CF of  $7.0 \pm 0.5$  (data not shown). The influence of  
266 ADIc on particle size was investigated in more detail with aerosol mass spectrometers (Sect.  
267 3.1.2.).

268 The ratio of measured to theoretical CF was  $\sim 0.9$  (see Table 2), suggesting that 90 % of the  
269 particles in the sample flow were focused into the output concentrated flow. In the experiments  
270 conducted on Prototype 1, the particle concentration was also measured in the discard flow, and it  
271 accounted for  $9 \pm 2$  % of the sampled particle concentration at sizes above 20 nm, on average. The  
272 fraction of particles in the discard flow showed a small, but systematic, dependence on particle  
273 size with the fraction decreasing from 12 % at 18 nm to 6 % at 600 nm. The unaccounted for

274 particles (2 % on average) were presumably lost in the transport lines or in the focusing nozzle  
275 itself.

276 To evaluate the stability of the ADIc, both prototypes were operated for several days while  
277 sampling laboratory air. Particle number concentrations were measured in the sample flow and in  
278 the output flow. Particle concentration varied between 900 and 15000 # cm<sup>-3</sup>. For the lower flow  
279 regime data (Fig. S3a–b), the average CF, calculated as the ratio of the number concentration in  
280 the output flow to that in the sample flow, was  $5.7 \pm 0.4$  with a theoretical CF of 7.5. Linear  
281 regression of that data yielded a correlation coefficient ( $R^2$ ) of 0.984. In the higher flow regime  
282 (Fig. S3c–d), the measured CF was  $9.0 \pm 0.7$ , with a theoretical CF of 13.6. For that data the  
283 correlation coefficient ( $R^2$ ) was 0.940. It is important to note that particle concentrations were  
284 measured using CPCs with a 5 nm activation threshold while the ADIc threshold is closer to 10  
285 nm. Thus, particles below 10 nm in the ambient size distribution would not be concentrated,  
286 leading to a lower measured CF and a lower ratio of measured/theoretical CF than in Table 2. In  
287 addition, changes in the ambient size distribution can lead to some variability in the measured CF.  
288 Importantly, no systematic change was observed throughout the experiments.

289

### 290 **3.1.2 Chemical composition and particle size**

291 The dependence of CF on particle chemical composition was evaluated in the laboratory with size-  
292 selected 300 nm AS and AN particles, sampling with the Q-AMS with and without the ADIc in  
293 front. The theoretical and the measured CF for ammonium and sulfate from AS and for ammonium  
294 and nitrate from AN are given in Table 2. Compared to the CF obtained for particle number  
295 concentration, the ratio of measured to theoretical CF was the same for AS while for AN the  
296 measured CF was slightly closer to the theoretical CF.

297 The influence of the ADIc on particle size was investigated by using monodisperse AS, AN and  
298 DOS particles in the size range of 30 to 340 nm (mobility diameter). Size and chemical  
299 composition of particles with and without the ADIc were analyzed by an SP-AMS. Measurements  
300 were carried out in the high flow regime (theoretical CF of 21.3). Figure 3 shows the vacuum  
301 aerodynamic diameter ( $d_{va}$ ) for sulfate (from AS), nitrate (from AN) and organics (from DOS) as  
302 measured for concentrated versus unconcentrated aerosol. The regression slope was 1.02, the

303 intercept was -2.51, and the correlation coefficient ( $R^2$ ) was 0.999 showing that the particle  
304 diameter was not changed by passing through the ADIc for any of the measured particle sizes or  
305 chemical species.

306

## 307 **3.2 Field Evaluation**

### 308 **3.2.1 Ambient organics and rBC**

309 The performance of the ADIc for ambient aerosol was examined at two locations; at a roof top  
310 sampling station on the ARI building and at SMEAR III in Helsinki. In order to investigate the  
311 impact of the ADIc on aerosol organic and rBC chemistry, the SP-AMS was installed behind the  
312 ADIc at SMEAR III and alternated every 30 minutes between measuring the output flow of the  
313 ADIc and a bypass line. Measurements were performed on 11 different days in June, July and  
314 August 2018 with a total sampling time of ~7 hours behind the ADIc and ~7 hours in bypass.  
315 Average high-resolution mass spectra for organics and rBC with and without the ADIc are  
316 presented in Fig. 4. In general, organics at SMEAR III were highly oxygenated with large oxygen  
317 to carbon ratio (O:C) and large organic carbon to organic matter ratio (OC:OM). The elemental  
318 composition of organics did not change noticeably when the sample was passed through the ADIc.

319 The correlation between the mass spectral ions with and without the ADIc for each fragment family  
320 are presented in Fig. 4 c–f. The correlation was uniformly high ( $R^2 > 0.987$ ) and the slope  
321 describing the measured CF was smaller than theoretical CF (21.3) for all the families except the  
322  $C_x$  (rBC) family. Smaller measured than theoretical CF is in agreement with the results obtained  
323 in the laboratory tests (see Table 2) while the reason for a larger measured than theoretical CF for  
324  $C_x$  is still unclear. One possible explanation is that the ADIc altered the shape of the rBC-  
325 containing particles. The effect of the condensation/evaporation process on particle shape was not  
326 explored in this study; however, others have found changes in the shape of aggregates. In a study  
327 using a condensation system similar to that employed here, Ma et al (2013) reported collapse of  
328 the aggregate structure of laboratory-generated soot in the evaporation process. Regarding the SP-  
329 AMS, the morphology of the particles had been demonstrated to affect the collection efficiency  
330 since it affects the overlap of the particle beam and the laser beam (Willis et al., 2014).

331 Overall, based on these tests, it can be concluded that passing through the ADIc does not  
332 significantly change the fragmentation or the elemental composition of organics or rBC in the  
333 ambient particles. However, due to the larger CF for rBC than for organics the mass fraction of  
334 rBC in ambient particles increased slightly with the ADIc (Fig. S4).

335

### 336 **3.2.2 Mass size distributions**

337 The SP-AMS data with and without the ADIc was also used to investigate the impact of the ADIc  
338 on particle mass size distributions. Figure 5 compares the mass size distribution for organics,  
339 sulfate, nitrate and ammonium sampling through the ADIc and sampling from the bypass line. The  
340 PToF data was collected and analyzed in unit mass resolution (UMR) mode. Figure 5 demonstrates  
341 that the size distribution of ambient aerosol particles was not affected by passing through the ADIc.  
342 In addition, Fig. 5d shows significant improvement in signal to noise for ammonium when  
343 concentrating the sample flow.

344 Additional SP-AMS size distribution data was collected and analyzed in HR mode on one day with  
345 a total sampling time of 70 minutes in bypass and 70 minutes through the ADIc. HR size  
346 distributions are shown in Fig. 6 for major chemical species and for several specific fragment ions.  
347 The much higher signal to noise in the concentrated PToF traces gives better chemical resolution  
348 of the size distribution. The bimodal size distribution for organics is clear in the ADIc data in Fig.  
349 6a with hydrocarbon-like fragments (e.g., C<sub>3</sub>H<sub>7</sub> and C<sub>4</sub>H<sub>9</sub> in Fig. 6h and 6k) contributing to the  
350 mode at  $d_{va} = 160$  nm and more oxygenated fragments (e.g., C<sub>2</sub>H<sub>3</sub>O, CO<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>O<sub>2</sub> and C<sub>3</sub>H<sub>5</sub>O in  
351 Fig. 6g, 6i, 6j and 6l) contributing to the mode at  $d_{va} = 400$  nm. In addition, the higher signal to  
352 noise in the concentrated sample enables PToF measurement for very small signals such as  
353 chloride (Fig. 6e) or CO<sub>2</sub> (Fig. 6i) and improves the PToF measurement for smaller signals such  
354 as rBC (Fig. 6f).

355

### 356 **3.2.3 Long-term Stability**

357 The long-term operation of the ADIc was tested at ARI where it ran for more than three weeks  
358 without user maintenance or intervention. The measured CFs from comparing the Q-AMS mass

359 loading to the HR-AMS mass loading are presented in Fig. 7. Average values of CF are presented  
360 in Table 3, along with the ratio of the mass loadings during bypass periods. The theoretical CF  
361 was calculated from the ADIc discard flow rate and the Q-AMS inlet flow rate (equal to ADIc  
362 outlet flow) as theoretical CF = (discard flow + Q-AMS inlet flow)/Q-AMS inlet flow. Discard  
363 and Q-AMS flows were logged in real-time. The slight variation in theoretical CF was due to  
364 variations in the Q-AMS inlet flow rate, not variations in the discard flow. The gap in the data  
365 between 21 and 23 August 2014 was due to an issue with the HR-AMS, not with the ADIc.

366 The measured CFs for nitrate and sulfate were 85 to 90 % of theoretical CFs, consistent with the  
367 laboratory measurements presented in Table 2. The agreement between the two instruments during  
368 bypass periods was excellent for nitrate and sulfate (Table 3). The measured CF for ammonium  
369 was higher than the theoretical value which may indicate that the aqueous droplets in the ADIc  
370 initiator and moderator stages absorbed gas-phase ammonia that remained in the particles after  
371 drying. This effect has been observed for acidic particles in the miniature VACES (Saarikoski et  
372 al., 2014). The ambient aerosol in this study was possibly slightly acidic with an average ratio of  
373 measured to predicted ammonia of  $0.9 \pm 0.15$  in the HR-AMS data. Another possibility is that the  
374 RIE for ammonium was incorrect for one or both of the instruments, even though it was measured  
375 before and after the ambient sampling period with pure AN particles. The CF during bypass periods  
376 was  $1.3 \pm 0.4$  (Table 3) indicating that the two instruments did not agree well for ammonium even  
377 when the Q-AMS was bypassing the ADIc. However, the ammonium mass loading was low ( $<0.4$   
378  $\mu\text{g m}^{-3}$ ) and often close to the detection limit for the Q-AMS during the bypass periods, leading to  
379 a large uncertainty in the bypass CF.

380 The measured concentration factor ( $6.1 \pm 0.8$ ) for organics was much lower than the theoretical  
381 value ( $10.5 \pm 0.3$ ). This could be partly caused by a difference in the cutoff of the aerodynamic  
382 lenses in the two AMS instruments. During this time period, organics were dominated by  
383 emissions from road paving activities which generate large, hydrocarbon-like particles. Figure S5  
384 shows the size distributions for organics, mass-to-charge ratio (m/z) 44, and m/z 57 for the HR-  
385 AMS and the Q-AMS+ADIc. The size distributions for organics and m/z 57 from the Q-AMS  
386 were missing mass above  $d_{va} \sim 700$  nm that was measured by the HR-AMS, leading to a lower  
387 measured CF for organics. The m/z 44 size distributions, representative of accumulation mode  
388 aerosol particles, were similar in the two instruments because the size distribution of these particles

389 was below the lens cutoff. The measured CF for  $m/z$  44 in Fig. S3b was 9.2 while the measured  
390 CF for  $m/z$  57 in Fig. S3c was only 3.9. The measured CF for organics also showed a larger diurnal  
391 variation than the measured CFs for the other species (Fig. 7), likely because road paving activities  
392 took place at night leading to a lower measured CF at night-time. Besides the lens cut-off, it is  
393 possible that the CF was smaller for hydrocarbon-like organics than for oxygenated organics  
394 during the measurements at ARI. However, that is just the opposite of what was found at SMEAR  
395 III in Helsinki where hydrocarbon-like fragment ions had higher CF than highly oxygenated  
396 fragment ions (Fig. 4).

397

### 398 **3.2.4 Concentrating under high and low flow regimes**

399 The performance of the ADIc with ambient aerosol was also tested systematically under two flow  
400 regimes. Although the growth tube in the ADIc is sized for low-flow operation, in some cases it  
401 can be beneficial to operate the ADIc with the largest possible CF, for example, when very small  
402 signals (e.g., metals, PToF) are of interest, or the ambient concentrations are extremely low. High  
403 ( $1.7 \text{ L min}^{-1}$ ) and low ( $1.0 \text{ L min}^{-1}$ ) sample flows, resulting in theoretical CFs of 21.3 and 12.5,  
404 respectively, were investigated at SMEAR III with the ADIc installed in front of an ACSM while  
405 the SP-AMS was sampling from the bypass line. The data from the ACSM+ADIc was corrected  
406 for the CF by dividing the concentrations by  $0.9 * \text{theoretical CF}$  since the laboratory tests and the  
407 field campaign at ARI suggest that the measured CF is likely to be 90 % of the theoretical CF.

408 The time series of all chemical species measured with the ACSM+ADIc and SP-AMS track each  
409 other well and the average mass loadings agreed within 20–30 % (Fig. 8), within the estimated  
410 uncertainty of 34–38 % for AMS measurements (Bahreini et al., 2009). In the high flow regime,  
411 the corrected ACSM+ADIc mass loadings were systematically higher for organics, sulfate and  
412 ammonium compared to the SP-AMS. This might be caused by the lack of simultaneous  
413 measurement of the sample flow rate, so that any error in the sample flow rate before/after the  
414 experiment could propagate into the theoretical CF and thus into the correction factor. For nitrate,  
415 the corrected ACSM+ADIc mass loading varied above the SP-AMS during the afternoon and  
416 below during the night. Under low flow conditions, there was a time period of about 12 hours on  
417 18 and 19 September when the corrected ACSM+ADIc mass loadings for nitrate and chloride were  
418 much lower than corresponding mass loadings from the SP-AMS. During this period, the aerosol

419 particles were also not neutralized (i.e., measured ammonium was lower than ammonium predicted  
420 from the measured anions). Based on the ratio of  $m/z$  46 to  $m/z$  30, nitrate was in the form of  
421 inorganic nitrate (e.g.,  $\text{NH}_4\text{NO}_3$ ) rather than organic nitrates. The reason for the lower  
422 concentrations of nitrate and chloride with the ACSM+ADIC during this 12 hour period is not  
423 clear.

424 The relative humidity was measured after the ADIC near the Q-ACSM inlet. RH was relatively  
425 constant at  $63 \pm 6$  %, consistent with a dewpoint of  $16$  °C at the outlet of the ADIC and a room  
426 temperature of about  $25$  °C. This was somewhat higher than the recommended operating RH of  
427 20–40 % for AMS/ACSM instruments, but not high enough to cause an increase in the collection  
428 efficiency (Middlebrook et al., 2012). However, using a dryer in between the ADIC and the  
429 AMS/ACSM would reduce any potential uncertainty due to RH affecting CE.

430 In terms of Q-ACSM measurement, a particularly important improvement in signal to noise with  
431 the ADIC was achieved. Figs. 9a and 9b show 30-minute time resolution data collected with the  
432 Q-ACSM without the ADIC, and Figs. 9b and 9d display 10-minute time resolution data collected  
433 with the Q-ACSM+ADIC for ammonium and  $m/z$  60, a tracer  $m/z$  for biomass burning. Compared  
434 to the SP-AMS data averaged to the same time resolution, it is evident that the signal to noise for  
435 the concentrated Q-ACSM data is similar to the SP-AMS. As a consequence, use of the ADIC with  
436 the ACSM will improve determination of ammonium and thus provide better estimates of particle  
437 neutralization and CE for ambient aerosol. In addition, better signal to noise for tracer  $m/z$ 's will  
438 improve source apportionment with statistical methods such as positive matrix factorization  
439 (PMF).

440

#### 441 **4 Conclusions**

442 The ADIC is tailored for the low ( $\sim 0.08$  L  $\text{min}^{-1}$ ) inlet flow of aerosol mass spectrometers such as  
443 the AMS and ACSM and provides a factor of 8–21 enrichment in the concentration of particles.  
444 This concentration factor depends primarily on the ratio between the sample flow and the output  
445 flow, and is found to be independent of particle size above about 10 nm. The system is relatively  
446 small, and easily interfaced with the AMS.



447 Particle chemical composition and particle size measured with an SP-AMS were not affected by  
448 the condensational growth and evaporation process in the ADIc. Moreover, the ADIc ran  
449 unattended for a period of almost one month at a field site. Measured concentration factors for  
450 ambient aerosol particles in two different locations showed some variation that is not fully  
451 understood. However, the ADIc provides improved detection of low signals that outweighs a slight  
452 increase in uncertainty in the mass loadings. Improved detection limits will be important especially  
453 in remote areas where particle concentrations are low, and for measuring size distributions that  
454 typically need longer averaging periods. Additionally, use of the ADIc will be important for  
455 improving source apportionment with Q-ACSM data by gaining better time-resolution and/or  
456 signal to noise ratio.

457

458 *Data availability.* Data presented in this article is available upon request.

459

460 *Supplement.* The supplement related to this article is available online

461

462 *Competing interests.* Aerosol Dynamics Inc. holds a patent on the particle focusing technology.

463

464 *Author contributions.* SS, HT, SVH, AEF and LRW designed the experiments. MA, KT, LRW,  
465 PC, TH, AEF, SRS, and GSL conducted measurements in laboratory and field. Data analysis and  
466 interpretation of the measurement data was done by SS, LRW, AEF and SVH. Working  
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470

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578  
579

580 **Table 1.** Approximate temperature and flow settings for the ADIc experiments presented in this study. ADI = Aerosol Dynamics Inc., ARI = Aerodyne Research, Inc., FMI = Finnish Meteorological Institute. Tcon, Tini, Tmod and Tnoz are the operating temperatures for the conditioner, initiator, moderator and focusing nozzle, respectively. AN, AS, DOS are abbreviations for ammonium nitrate, ammonium sulfate and dioctyl sebacate, respectively.

Test site	ADI	ADI	ADI	ARI	ARI	FMI	FMI	FMI
<b>Prototype No.</b>	1	2	2	1	1	2	2	2
<b>Test type</b>	Lab	Lab	Lab	Lab	Field	Lab	Field	Field
<b>Measured parameters/ species</b>	Particle number and size	Particle number	Particle number and size	AN, AS	Chemical composition and size	AN, AS, DOS and particle size	Chemical composition	Chemical composition and size
<b>Tcond ( °C)</b>	5	5	6	5	5	6	10	10
<b>Tinit ( °C)</b>	26	26	31	26	26	31	31	31
<b>Tmod ( °C)</b>	10	10	8	10	10	8	13	13
<b>Tnoz( °C)</b>	30	30	35	30	30	35	35	35
<b>Tout ( °C)</b>	35	35	35	n/a	n/a	35	35	35
<b>Sample Flow (L min<sup>-1</sup>)</b>	1.0	1.0	1.5	0.9	0.9	1.7	1.0	1.7
<b>Output Flow (L min<sup>-1</sup>)</b>	0.12	0.11	0.11	0.08	0.08	0.08	0.08	0.08
<b>Theoretical CF</b>	8.3	9.1	13.6	11.3 <sup>a</sup> / 12.6 <sup>b</sup>	11.3	21.3	12.5	21.3

<sup>a</sup> AN, <sup>b</sup> AS

**Table 2.** Measured and theoretical concentration factors (CFs) for ammonium nitrate (AN) and ammonium sulfate (AS) obtained in the laboratory tests.

<b>Material</b>	<b>Measured species</b>	<b>Measured CF</b>	<b>Theoretical CF</b>	<b>Measured/ Theoretical CF</b>
<b>AS</b>	Particle number	7.4	8.3	0.89
	Particle number	11.9	13.6	0.88
	Ammonium	11.2	12.6	0.89
	Sulfate	11.3	12.6	0.89
<b>AN</b>	Ammonium	10.6	11.3	0.94
	Nitrate	10.6	11.3	0.94

590

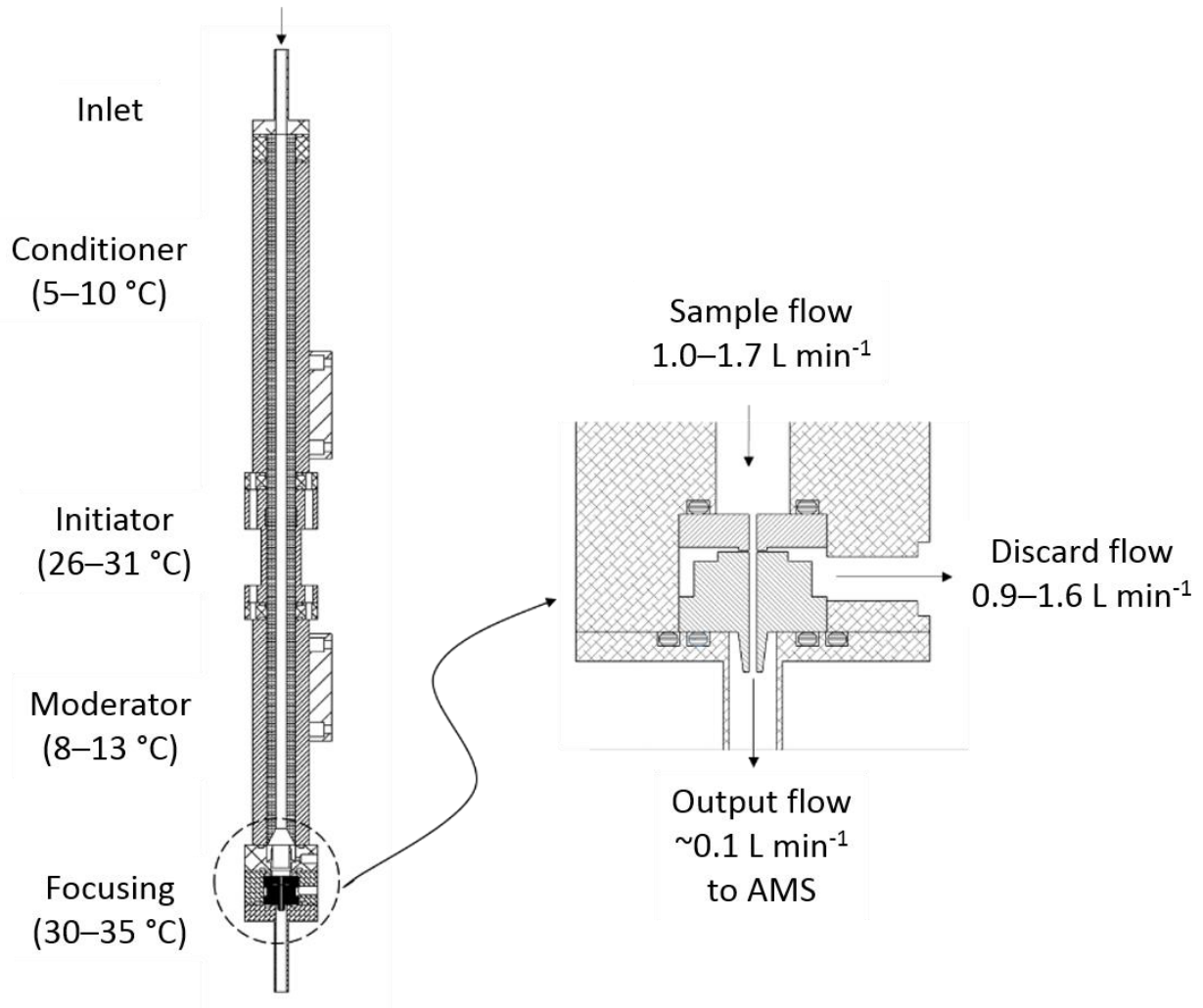
**Table 3.** Measured and theoretical concentration factors in ambient measurements at ARI. The measured CF was calculated from the ratio of Q-AMS+ADIC to HR-AMS mass loadings. In the bypass line the sample was not concentrated. The theoretical CF was calculated from the ADIC discard flow rate and the Q-AMS inlet flow rate (see text for details).

595

		<b>Through ADIC</b>	<b>Bypass</b>
<b>Measured CF</b>	<b>Organics</b>	6.1 ± 0.8	0.7 ± 0.06
	<b>Sulfate</b>	9.7 ± 1.5	1.0 ± 0.1
	<b>Nitrate</b>	9.1 ± 1.1	1.0 ± 0.1
	<b>Ammonium</b>	12.7 ± 1.9	1.3 ± 0.4
<b>Theoretical CF</b>		10.5 ± 0.3	1.0

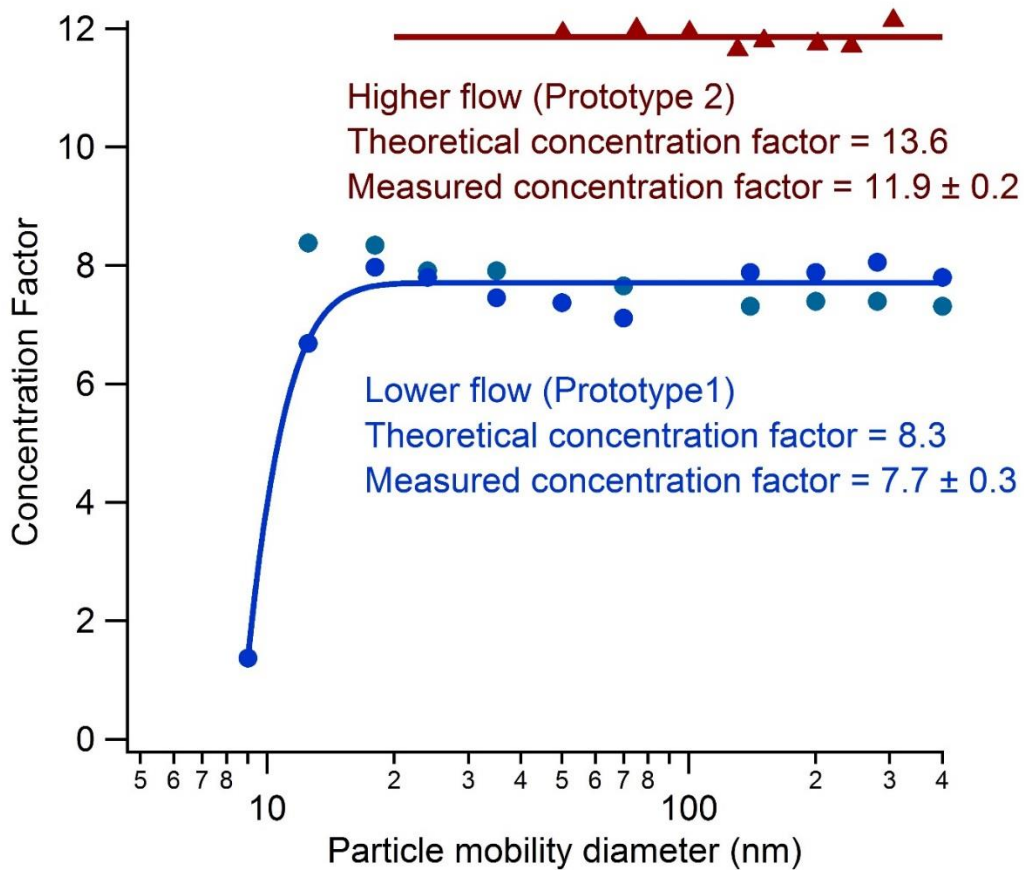
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Figures



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**Figure 1.** Schematic of the Aerosol Dynamics Inc. concentrator (ADIC) with enlargement of the focusing nozzle.

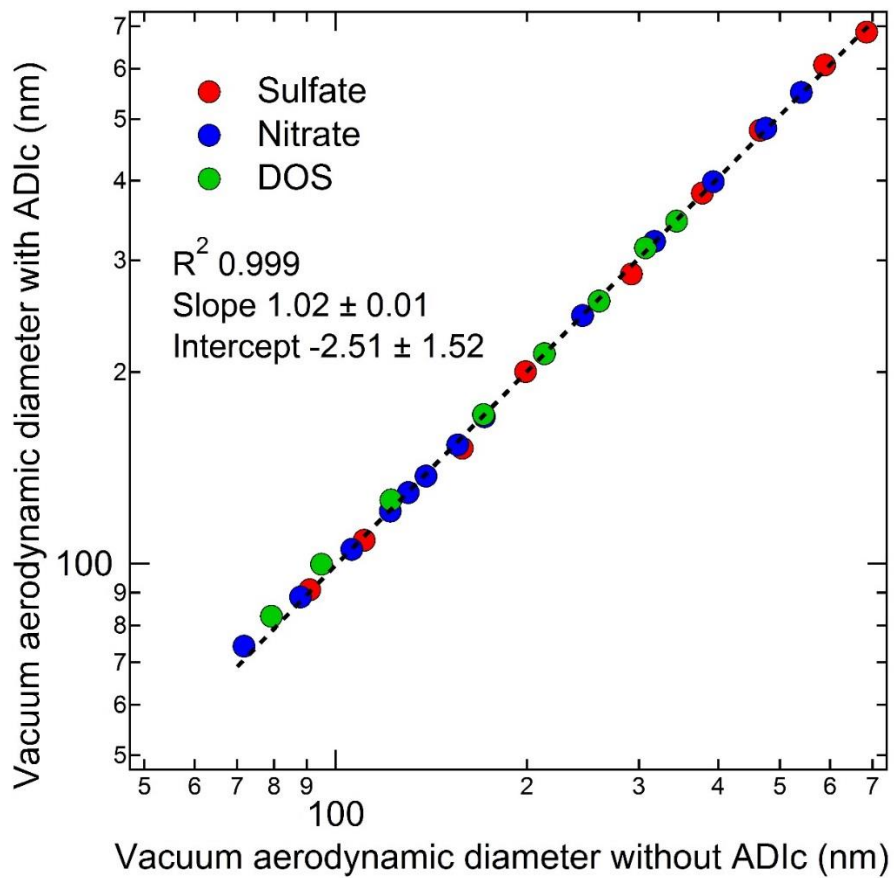


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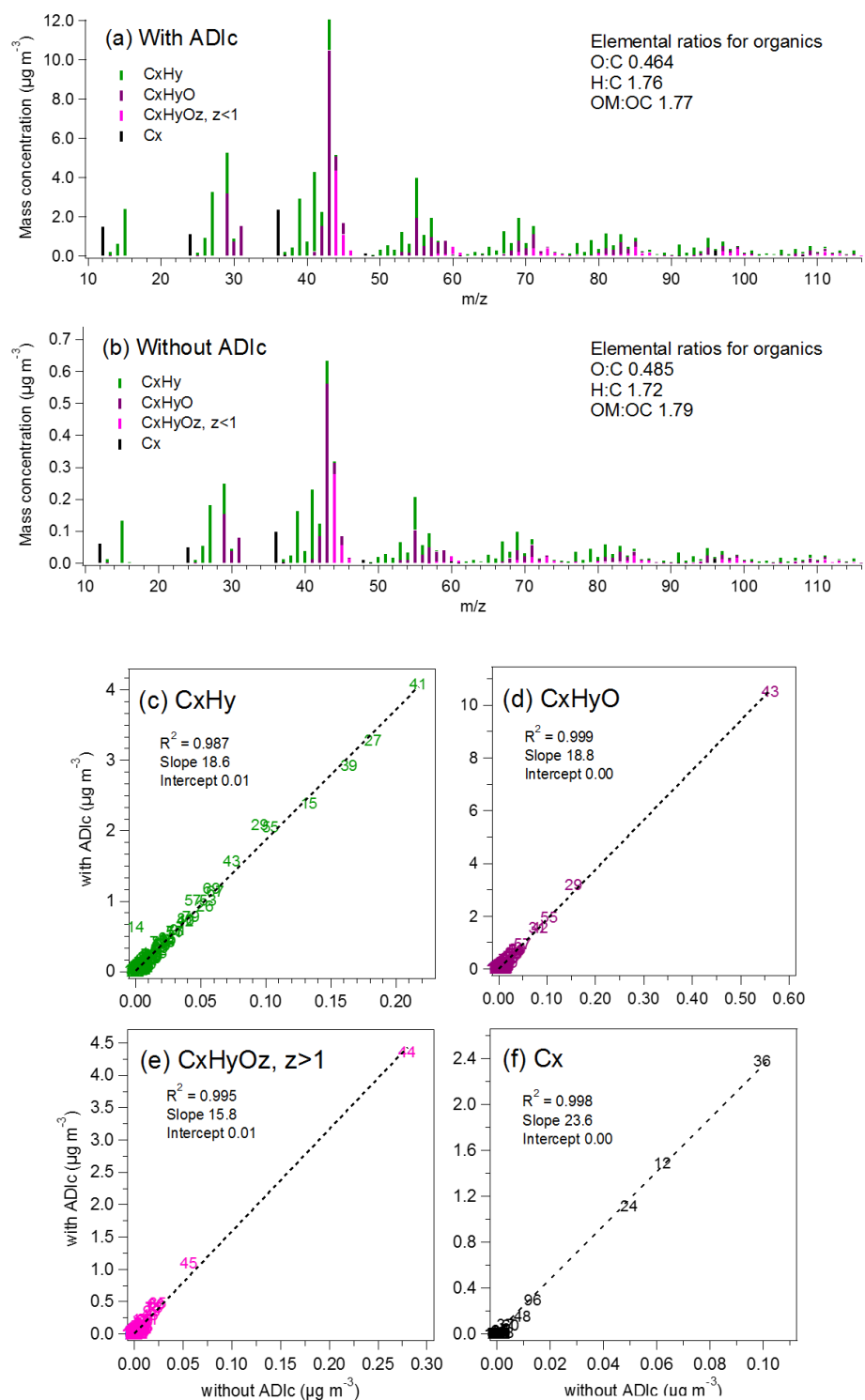
**Figure 2.** Size dependent concentration factor for the ADIc for higher (triangles) and lower (circles) flow regimes as a function of particle size. The red line indicates the average of the higher flow data. The blue line is a guide for the eye. Data are from two different prototype instruments, as indicated.

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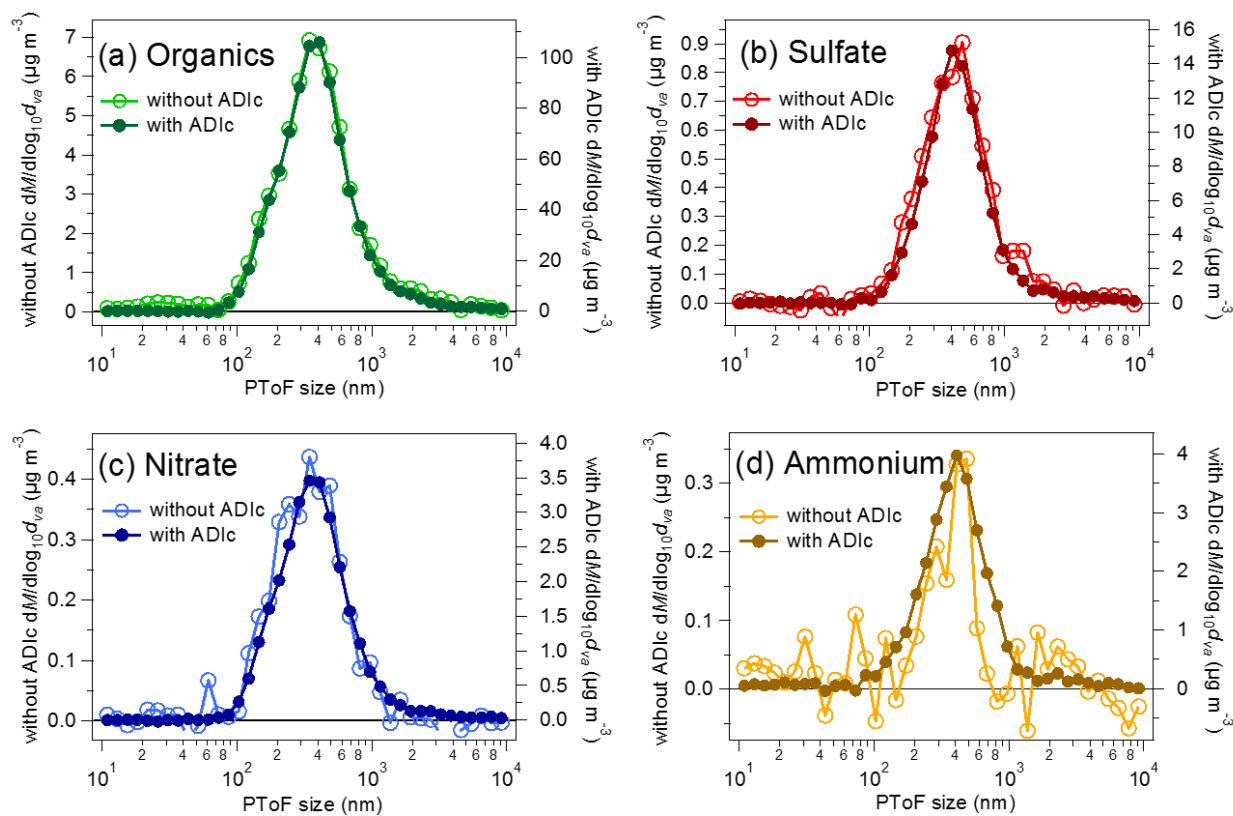




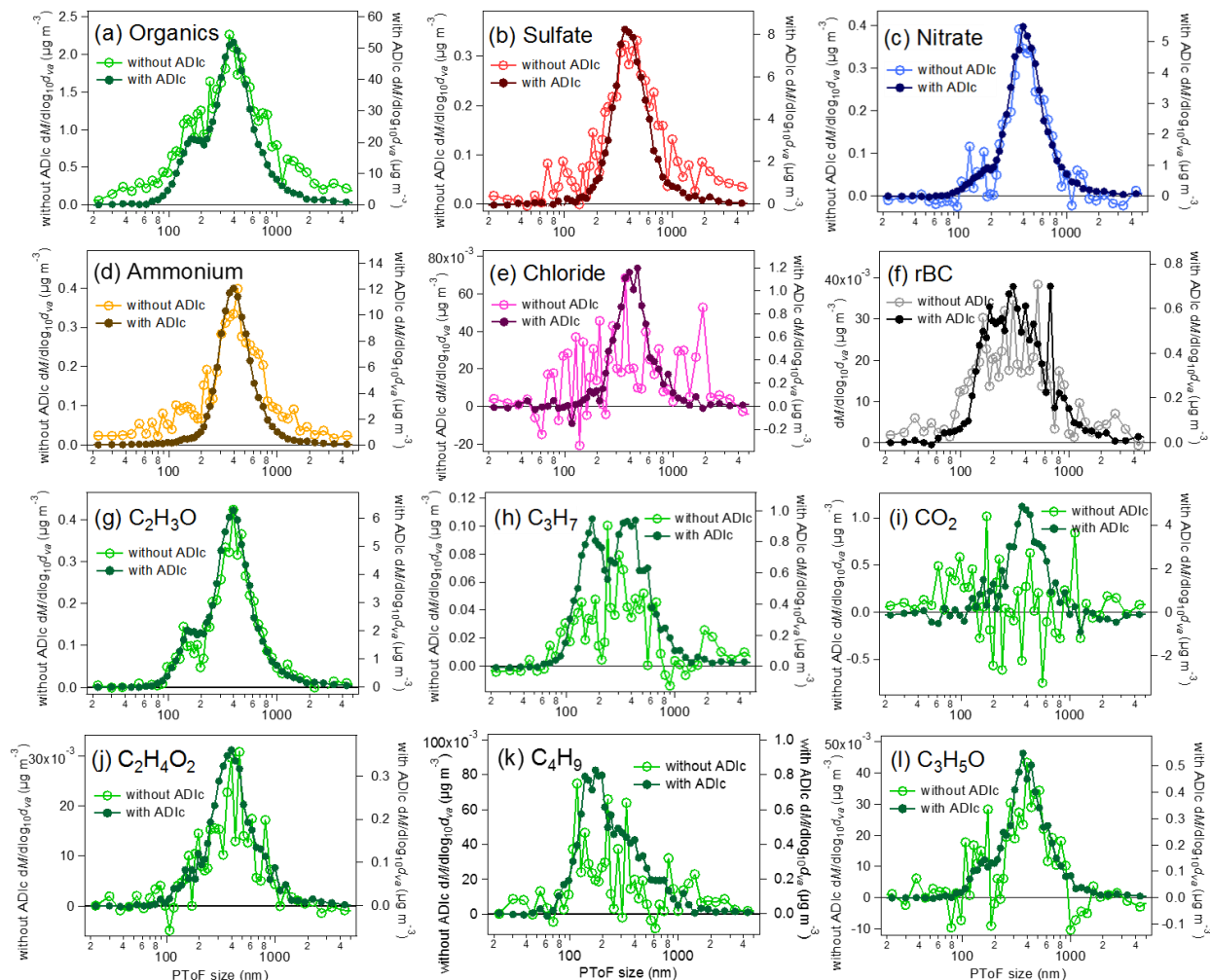
**Figure 3.** Particle size measured with an SP-AMS for 70–700 nm particles (vacuum aerodynamic diameter) of sulfate, nitrate and organics (from DOS) with and without concentration by the ADIc. Corresponding mobility diameters were 30–340 nm.



**Figure 4.** Mass spectra for ambient organics and rBC measured with and without ADIc (a–b) and the correlation of AMS fragment families (c–f) at SMEAR III, Helsinki. Theoretical concentration factor was 21.3.



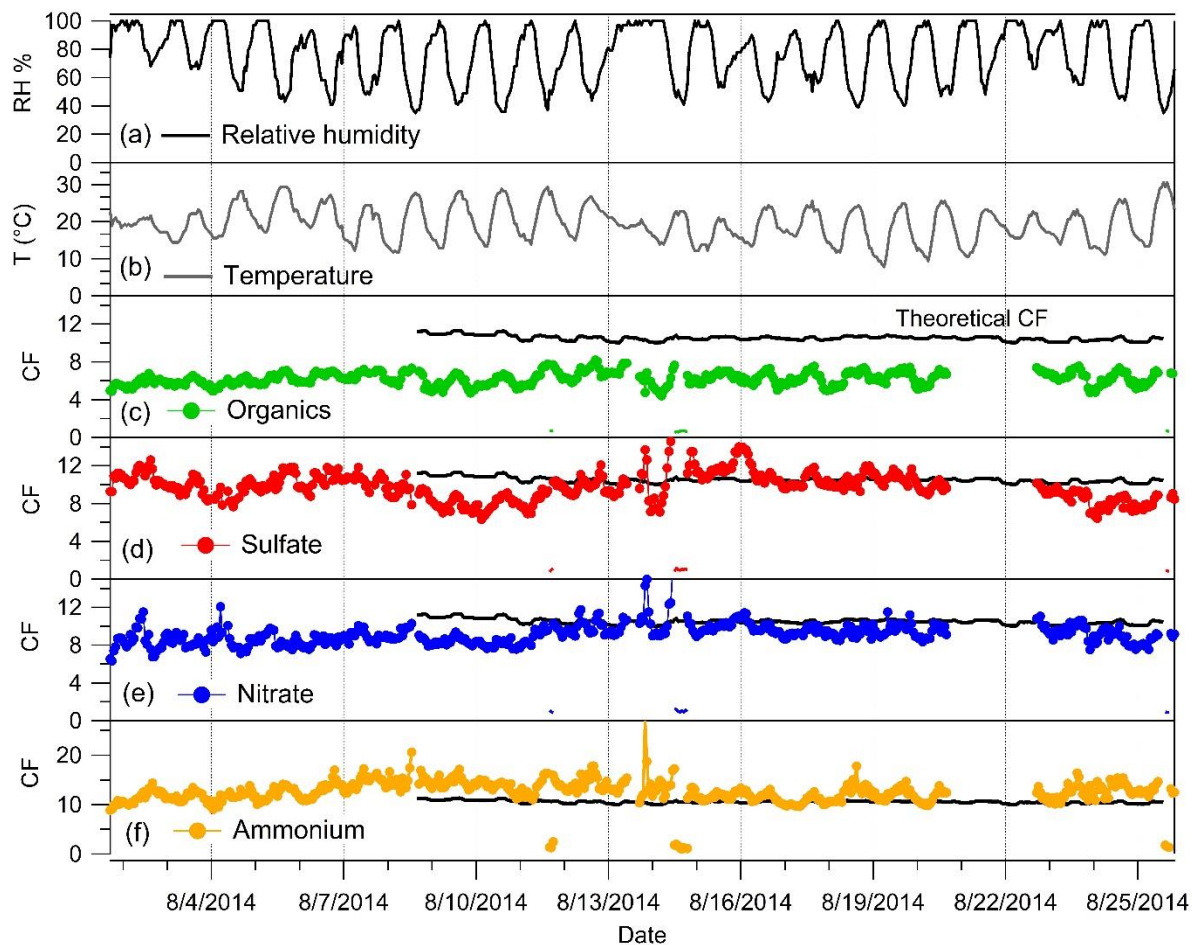
630 **Figure 5.** Mass size distributions measured without (left axis) and with (right axis) the ADlc for organics (a), sulfate (b), nitrate (c) and ammonium (d) in UMR mode at SMEAR III. Sampling time for each size distribution was 70 minutes with the ADlc and 70 minutes without the ADlc. The theoretical concentration factor was 21.3.



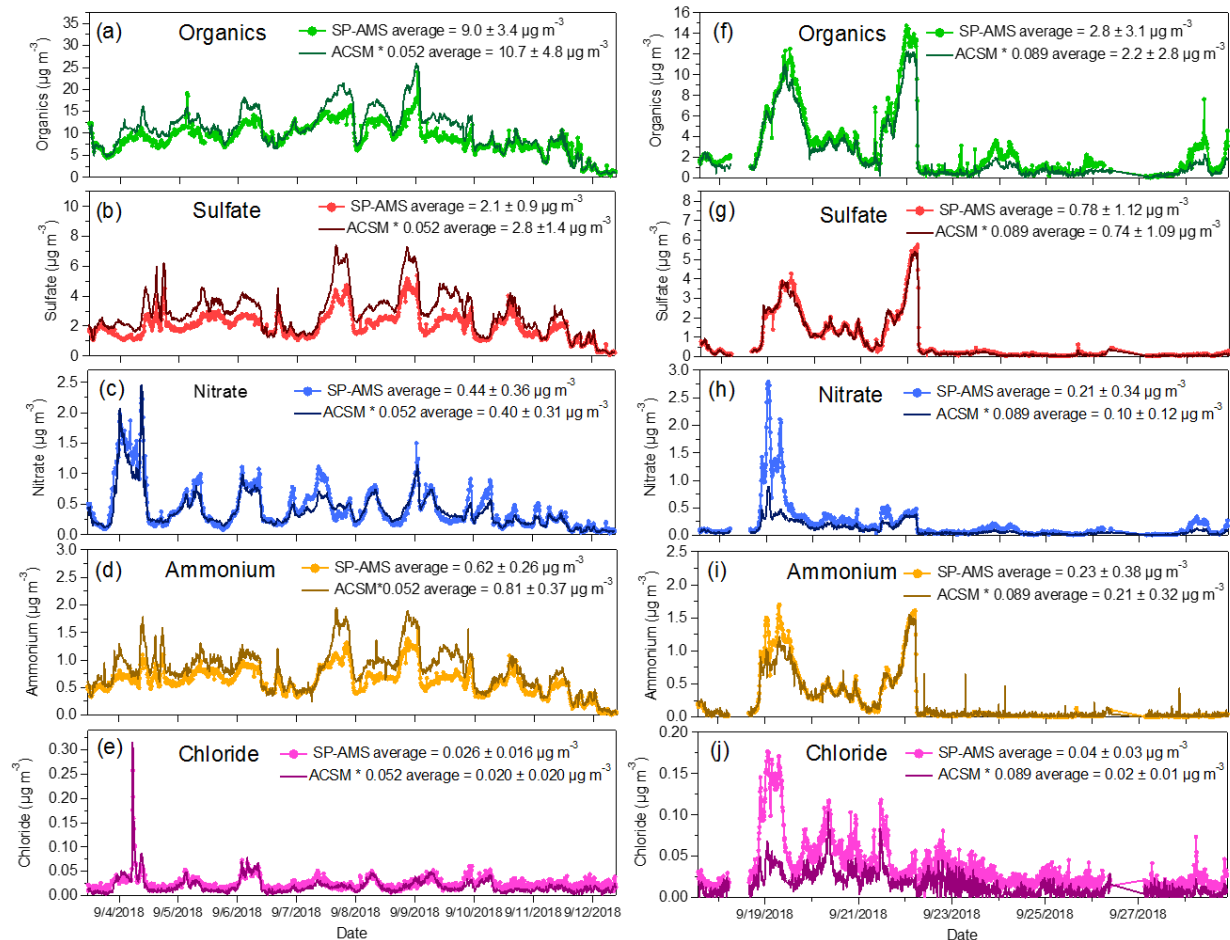
635

**Figure 6.** Mass size distributions measured without (left axis) and with the ADIc (right axis) for organics (a), sulfate (b), nitrate (c), ammonium (d), chloride (e), rBC (f),  $\text{C}_2\text{H}_3\text{O}$  (g),  $\text{C}_3\text{H}_7$  (h),  $\text{CO}_2$  (i),  $\text{C}_2\text{H}_4\text{O}_2$  (j),  $\text{C}_4\text{H}_9$  (k) and  $\text{C}_3\text{H}_5\text{O}$  (l) in HR mode at SMEAR III. Sampling time for each size distribution was 70 minutes without and 70 minutes with the ADIc. Theoretical concentration factor was 21.3.

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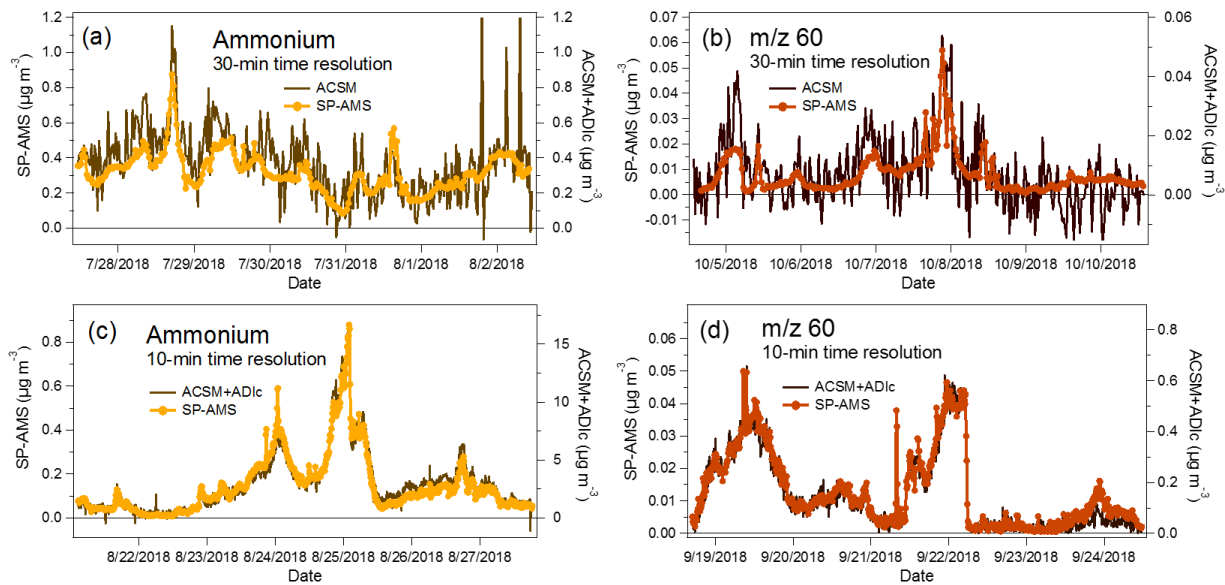
645 **Figure 7.** Ambient measurements at ARI showing ambient relative humidity (a), ambient temperature (b) and measured CFs for organics (c), sulfate (d), nitrate (e), and ammonium (f). The theoretical CF is shown with the black line in (c) – (f).



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**Figure 8.** Ambient measurements at SMEAR III showing the mass loadings for organics (a, f), sulfate (b, g), nitrate (c, h), ammonium (d, i), and chloride (e, j) measured with the SP-AMS and the ACSM+ADIC in high flow (a–e) and low flow (f–j) regimes. ACSM+ADIC data was corrected for CF as described in the text. Spikes in the time series of ammonium in the ACSM are likely related to the detection of small air bubbles in the ACSM that affect the measured ammonium concentration.

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660 **Figure 9.** Time series of ammonium and m/z 60 with 30-min time resolution with ACSM and SP-AMS (a-b) and 10-min time resolution with SP-AMS and ACSM+AD1c (c)-(d) at SMEAR III