

Accuracy Assessment of MODIS Land Aerosol Optical Thickness Algorithms using AERONET Measurements over North America

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Abstract

The planned simultaneous availability of visible and near-IR observations from the geostationary platforms of Tropospheric Emissions: Monitoring of Pollution (TEMPO) and Geostationary Operational Environmental Satellites (GOES) R/S-16/17 Advanced Base Imager (ABI) will present the opportunity of deriving an accurate aerosol product taking advantage of both ABI's high spatial resolution in the visible and TEMPO's sensitivity to aerosol absorption in the near-UV. Because the wavelengths of ABI's spectral coverage is are similar to ~~that those~~ of the Moderate Resolution Imaging Spectroradiometer (MODIS), ~~currently used existing MODIS~~ aerosol algorithms of MODIS can be applied to ABI observations. In this work, we evaluate ~~existing three distinct aerosol algorithms of MODIS algorithms of that~~ deriving aerosol optical thickness (AOT) over land surfaces using visible and near-IR observations. The Dark Target (DT), Deep Blue (DB), and Multiangle Implementation of Atmospheric Correction (MAIAC) algorithms are all applied to the radiance measurements of MODIS onboard Aqua satellite~~Aqua MODIS radiance measurements~~. We have ~~carried out an independent evaluation of~~ evaluated each algorithm by comparing the satellite-retrieved AOT to space-time collocated ground-based sunphotometer measurements of the same parameter at 171 sites of the Aerosol Robotic Network (AERONET) over North America (NA). A spatiotemporal scheme co-locating the satellite retrievals with the ground-based measurements was applied consistently to all three retrieval datasets. We find that ~~while~~ the statistical performance of all three algorithms is comparable over darker surfaces over eastern NA with, the MAIAC algorithm provides relatively better comparison

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over western NA sites characterized by inhomogeneous elevation and bright surfaces. The higher spatial resolution of MAIAC product's finer product resolution (1 km), allows a substantially larger number of matchups than DB 10-km and DT 10-km (DT 3-km) products by ~~408115%~~ and ~~425120%~~ (8386%) respectively over Eastern NA, and by ~~144150%~~ and 220% (~~195197%~~) over Western NA. The characterization of error in AOT for the three aerosol products as a function of both MAIAC-retrieved and an independent MOD09 atmospheric correction derived bi-directional surface reflectance shows a systematic positive bias in DT retrievals over brighter surfaces, whereas DB and MAIAC retrievals showed no such bias throughout the wide range of surface brightness with MAIAC offering lowest spread in errors. The results reported here represent an objective, unbiased evaluation of existing over-land aerosol retrieval algorithms of MODIS. The detailed statistical evaluation of the performance of each of these three algorithms may be used as guidance in the development of inversion schemes to derive aerosol properties from ABI or other MODIS-like sensors.

Keywords: aerosol optical thickness, MODIS, Dark Target, Deep Blue, MAIAC, AERONET, North America

1. Introduction

The Tropospheric Emissions: Monitoring of Pollution (TEMPO) mission is NASA's first Earth Venture Instrument (Zoogman *et al.*, 2017). It will be hosted on a still undetermined geostationary satellite with an estimated earliest launch in 2020. TEMPO's hyperspectral observations in the 290-490 nm and 540-740 nm wavelength ranges (0.6 nm spectral resolution) will measure trace gas concentrations (O₃, NO₂, SO₂, CH₂O, and others) and suspended particle matter (PM). Spatial coverage includes most of Canada, the Contiguous United States (CONUS), Northern Mexico, and part of the Caribbean at an approximate spatial resolution of 2.1x4.7 km². TEMPO partially fulfills the objectives of the Geostationary Coastal and Air Pollution Events (GEO-CAPE) mission recommended by National Research Council's Earth Science Decadal Survey to measure tropospheric gases, aerosols, and coastal phytoplankton to monitor air and water quality (Fishman *et al.*, 2012).

Accurate characterization of the tropospheric aerosol load is required as input to a particulate matter (PM) computational scheme along with meteorological information such as temperature and pressure profiles, relative humidity, and planetary boundary layer (PBL) height. The simultaneous availability on GEO platforms of TEMPO and GOES ~~R/S-16/17~~ Advanced Baseline Imager (ABI) observations in the visible and near-IR present the opportunity of deriving an accurate aerosol product taking advantage of both ABI's high spatial resolution in the visible and near-IR, and TEMPO's sensitivity to aerosol absorption in the near-UV. The combination of ~~sub-kilometer-500 m to 2 km~~ spatial resolutions and multispectral observations -in the visible to shortwave-IR and the multi-spectral observational capability make the ABI an optimum sensor for the derivation of an aerosol optical thickness (AOT) product over land at the GEO-CAPE required accuracy (Fishman *et al.*, 2012) to be used in conjunction with TEMPO observations for air quality and climate applications.

Satellite-based aerosol remote sensing has been an essential tool to monitor the spatial and temporal distributions of aerosols ~~over the globe globally~~. Significant advancements in aerosol retrieval capabilities over both land and oceans have taken place over the last 20 years. The deployment of the Moderate Resolution Imaging Spectroradiometer (MODIS) and the Multi-angle Imaging Spectroradiometer (MISR) on board the Earth Observing System (EOS) Terra (1999)

satellite and a second identical MODIS sensor on the Aqua (2002) platform marked the beginning of a new era in space-based aerosol remote sensing. AOT is routinely derived from MODIS observations by three distinct and independent algorithms: Dark Target algorithm (*Remer et al., 2005; Levy et al., 2007; 2013*), Deep Blue algorithm (*Hsu et al., 2004, 2013*), and the Multi-Angle Implementation of Atmospheric Correction (MAIAC) algorithm (*Lyapustin et al., 2011, 2018*).

In this paper, we ~~investigate the applicability to ABI observations of existing algorithms to retrieve AOT over land from visible/near-IR measurements, evaluate T~~ the accuracy of the available multi-year long records of AOT products derived by the three MODIS algorithms ~~is evaluated by a~~ direct comparison to ground-based observations from the Aerosol Robotic Network (AERONET) at multiple sites ~~in North America-an area or regard for both ABI and TEMPO field-of-views.# TEMPO's area of regard~~. A brief description of MODIS aerosol algorithms, their products, and satellite-ground collocation procedure are given in section 2. The results of the satellite-ground comparison of individual sites, composites of all sites, and error characterization are presented in section 3, followed by concluding remarks given in section 4.

2. Datasets and Collocation Strategy

2.1 MODIS Dark Target Aerosol Product

The dark target (DT) algorithm of MODIS consists of two separate algorithms, a land component for the retrieval of aerosol properties over vegetated surfaces, and an over-ocean retrieval algorithm. The over-land DT algorithm exploits the top-of-atmosphere (TOA) reflectance measurements in three MODIS bands, i.e., 470 nm, 670 nm, and 2130 nm to simultaneously derive AOT at all three channels with an underlying assumption that the ~~impact of fine mode aerosols on 2130 nm signal is ignorable, and that the~~ 2130 nm channel contains information about coarse mode aerosol as well as the surface reflectance. The surface characterization is achieved through linear regression of surface reflectance in the 2130 nm and visible channels (470 nm, 670 nm) (*Kaufman et al. 1997; Remer et al., 2005*) accounting for the viewing geometry and “greenness” of land cover (*Levy et al., 2007*). DT attempts to perform retrieval on each 10 km grid box using a limited number of TOA reflectance observations after discarding 50% brightest, 20% darkest, and cloudy pixels out of a total 400 pixels at 500 meters resolution at nadir. ~~The DT over-land algorithm screens cloudy pixels following a series of tests that rely on using absolute magnitude and spatial~~

variability at 470 nm (500 m resolution) and 1380 nm (1 km resolution), the details of which are given in *Martins et al., (2002)* and *Levy et al., (2013)*. DT is essentially a look-up table search algorithm which combines the pre-calculated spectral reflectance ~~offor the location-time dependent aerosol models comprised of dominant a-fine-mode and a-coarse-modes dominated aerosol models~~ with a proper weighting to represent the ambient aerosol properties over the target. The weighted-average spectral LUT reflectance values are compared against the TOA spectral measurements of MODIS to find the best match in AOT yielding least square difference between simulated and observed reflectances. Each valid retrieval is assigned with ~~an appropriate a~~ quality assurance confidence flag (QAC) with best retrievals ~~are~~ tagged as with QAC=3. Over ~~the~~ land, the expected error for AOT (0.55 μm) with QAC=3 is estimated to be $\pm(0.05+15\%)$, whereas that over ~~the~~ ocean is $\pm(0.03+5\%)$ for retrievals with $\text{QAC} \geq 1$. A detailed description of the DT Collection 6 algorithm is given in *Levy et al. (2013)* and also available online at URL <https://darktarget.gsfc.nasa.gov/>.

In addition to the 10-km AOT product, the MODIS DT algorithm also offers a higher resolution aerosol product at 3-km spatial scale. While both aerosol products closely resemble each other, the 3-km product differs from the original 10-km product in the manner in which the MODIS pixels are ingested, organized, and selected by the aerosol algorithm (*Remer et al., 2013*). The expected error associated with the 3-km aerosol retrievals over land globally is found to be 0.01 to 0.02 higher than that of 10-km product greater than that of the 10 km product (*Remer et al., 2013*), ~~whereas over the ocean the errors are expected to be the same as the 10 km product. Over land, globally, the 3 km aerosol product is found to be 0.01 to 0.02 higher than the 10 km product, according to Remer et al., (2013).~~

2.2 MODIS Deep-blue Aerosol Product

The MODIS deep-blue (DB) aerosol algorithm utilizes the radiance measurements at the blue wavelength (412 nm), where the surface reflectance over land is relatively lower than that at longer visible wavelengths, to retrieve the column AOT over bright surfaces (*Hsu et al., 2004*) as well as vegetated areas (*Hsu et al., 2013*) ~~as well as bright surfaces (*Hsu et al., 2004*)~~. The surface characterization scheme of DB adopts a hybrid approach that applies the dynamical surface reflectance method for urban built-up and the precalculated surface reflectance database in conjunction with the normalized vegetation index in arid and semi-arid areas (*Hsu et al., 2013*).

The dynamical surface reflectance method allows ~~greater-larger~~ spatial coverage of DB aerosol product by expanding the retrieval capability from the bright surfaces to all snow-free land surfaces, including vegetated areas. The surface reflectance dataset used in the DB algorithm is created from the full time-series and revised during each reprocessing. The surface dataset is essentially based on minimum reflectivity approach and binned by scattering angle, season, and NDVI with no time dimension except for the seasonal split. Over vegetated surfaces, DB follows the spectral ratio approach similar to that of DT. The hybrid method scales surface reflectance by regional BRDF shape, based on atmospheric correction near AERONET sites. The enhanced second generation of DB algorithm identifies mineral dust aerosols based on the brightness temperature difference between infrared channels 8.6 μm and 11 μm as dust often produces stronger absorption at 8.6 μm than that at 11 μm providing a robust way to detect strongly absorbing dust such as the silicates (Hsu et al., 2013). Cloudy pixels are screened by examining the spatial variations of TOA reflectance at 412 nm, 1380 nm, and brightness temperatures in the 11 μm and 12 μm bands. DB performs retrievals on cloud-free and snow-free pixels at nominal 1x1 km spatial resolution, and then aggregates afterward to the 10x10 km retrieval box. Unlike the DT algorithm, DB provides prognostic uncertainty defined relative to DB-retrieved AOT rather than to AERONET AOT. The uncertainty estimates for the best quality retrievals (QAC=3) is formalized as $\pm ([0.086+0.56\tau_{DB}] / [1/\mu_0+1/\mu])$, where τ_{DB} is AOT retrieved by DB algorithm, μ_0 and μ are the cosines of solar and view zenith angles for a given retrieval (Sayer et al., 2013). A detailed description of the second generation, enhanced DB retrieval algorithm is given in Hsu et al., (2013).

2.3 MODIS Multi-Angle Implementation of Atmospheric Correction Aerosol

Product

The Multi-Angle Implementation of Atmospheric Correction (MAIAC) algorithm retrieves surface bi-directional reflection factor (BRF) and AOT by using the time series of MODIS measurements over both dark vegetated surfaces as well as bright targets (Lyapustin et al., 2011). The surface characterization in MAIAC is carried out by deriving the spectral regression coefficients that relate the surface BRF in the blue (470 nm), green (550 nm), and shortwave infrared (2130 nm) bands of MODIS. MAIAC considers two discrete aerosol models, i.e., background and dust for a given location, similar to the ones adopted in MODIS dark target

algorithm (Levy *et al.*, 2007). However, MAIAC prescribes 7 different regional aerosol models for different regions of the world and uses either background model or dust model, if the dust aerosols are detected. For identifying the smoke aerosols generated from biomass burning, MAIAC employs a “smoke test” to discriminate smoke from clouds (Lyapustin *et al.*, 2012). The smoke test relies on a relative increase of aerosol absorption at MODIS wavelength 412 nm as compared to 470–670 nm owing to multiple scattering and enhanced absorption by organic carbon released during biomass burning combustion. Each valid 1-km AOT retrievals of MAIAC is accompanied by the associated quality flags which describe the observed conditions. Since its introduction in 2011-2012, MAIAC algorithm has been continuously updated and evaluated regarding its accuracy and performance. The MAIAC aerosol dataset used in the present study is derived using the latest Collection 6.0 version of the algorithm documented in Lyapustin *et al.* (2018), for which the ~~For the latest Collection 061 release, the MAIAC-AOTD~~ accuracy can be evaluated as $\pm 0.05 \pm 0.15 (0.05 + 15\%) * AOTD$ or even better $\pm (-0.05 \pm 10\% 0+) * AOTD$ as shown in a global validation analysis ~~reported in Lyapustin *et al.* (2018).~~ For a more detailed description of the MAIAC collection 6 algorithm, the reader is referred to Lyapustin *et al.* (2018).

2.4 Ground-based AERONET AOT Measurements

The Aerosol Robotic Network (AERONET) project is a ground-based federated network of globally distributed Cimel Sun photometers designed to ~~do aerosol remote sensing measure aerosol optical and microphysical properties~~ (Holben *et al.*, 1998). Started in 1992, AERONET has expanded its network from a few sites in the early years to more than 500 sites across the globe currently. For more than 25 years, the project has provided long-term, continuous, and readily accessible public domain database of aerosol optical ~~and~~ microphysical, ~~and radiative~~ properties. AERONET data has been extensively used for aerosol characterization and validation of satellite retrievals. Spectral AOTs from the direct Sun measurements are available nominally at 340, 380, 440, 500, 675, 870, and 1020 nm. In the present analysis, we employ AERONET Version 2, Level 2 (cloud-cleared and quality-assured) (Holben *et al.*, 2006) spectral AOT dataset from a total of 171 sites span across the United States and Canada, ~~to evaluate the performance of three MODIS aerosol algorithms.~~ Figure 1 displays the geographical distribution of AERONET sites with the corresponding temporal record (color-coded). Table 1 summarizes the datasets and their characteristics.

2.5 Satellite-ground Collocation Strategy

The three MODIS aerosol algorithms report AOT at different spatial resolutions. The DT algorithm performs and reports AOT at 10 km and 3 km spatial resolution; DB performs retrievals at 1 km but aggregates afterward to the 10x10 km retrieval box, whereas the MAIAC algorithm retrieves and reports AOT at a much higher resolution of 1 km ~~spatial grid. While AOT from all three aerosol products corresponds to an area intercepted in their respective spatial grid cells representing the atmospheric conditions over a small region. While all three aerosol products report AOT at their respective nadir spatial resolutions, i.e., 10 km and 3 km for DT, 10 km for DB, and 1 km for MAIAC, representing the atmospheric conditions over the respective area intercepted at~~ the ground, the direct measurements of the spectral AOT from AERONET sunphotometer are columnar point measurements. Furthermore, AERONET makes AOT measurements at an interval of 15 minutes, and the timings of Aqua/MODIS overpass may not closely match with that of AERONET measurements. Therefore, collocating both types of measurements requires a spatiotemporal window that can adequately match the spatially-averaged satellite AOT retrievals with the temporally-averaged ground-based measurements. The spatiotemporal approach developed by *Ichoku et al.* (2002) has been adopted in several validation studies for validating MODIS aerosol products against the ground truth, such as from AERONET. The standard approach suggests comparing spatially averaged satellite retrievals in a 0.5° x 0.5° grid box centered at the ground site with the temporal averaged sunphotometer measurements of AOT within a time window of ±30 minutes of satellite overpass time.

In this study, we introduce variations in the standard spatiotemporal window by ~~applying changes in~~ ~~modifying the extent of~~ both spatial and temporal domains to assess the performance of MODIS aerosol products on different space-time scales. Four different spatiotemporal windows were formulated that differ in the size of grid box centered at the AERONET site and corresponding time window around Aqua overpass time for averaging the AERONET AOTs. For the MAIAC and DB products, the minimum number of 1-km satellite observations used by the respective algorithms in the aerosol retrieval is required to be set at 20% of the maximum possible 1-km pixels contained in the respective grid boxes. Since the DT algorithm discards 50% brightest and 20% darkest pixels out of total number of available 500-meter pixels in each 10 km and 3 km grid box before performing the retrieval, the threshold for DT algorithm was set to 10%. The minimum

number of AERONET Level 2 AOTs around the satellite overpass time is required to be at least two for all four variants of the collocation scheme. Table 2 lists the configurations of all four spatiotemporal windows designed for the satellite-ground collocation.

5 The wavelengths of AOT retrievals differ among the three MODIS aerosol algorithms. While the DT algorithm retrieves and reports AOT at 470, 660, and 2130 nm, DB retrievals are available at 412, 470, and 660 nm. MAIAC retrieves AOT at 470 nm and reports it at 550 nm. For a consistent comparison against AERONET, we choose ~~the 470 nm-470 nm~~ as a reference wavelength due to the fact that all three algorithms actually retrieve AOT at this common wavelength.~~at common to all three algorithms.~~ AERONET Sunphotometer, on the other hand, does not directly measures AOT at 470 nm but provides measurements at nearby wavelengths, i.e., 440 nm, 500 nm, and 670 nm. Using the Angstrom Exponent calculated from AOTs at these wavelengths, the AERONET AOT was estimated for the 470 nm wavelength following a linear regression on the AOT versus wavelength relation on a log-log space. The MODIS AOT retrievals at 470 nm were then directly compared against the interpolated AOTs of AERONET at the same wavelength. We use the best quality AOT retrievals as identified in their respective quality assurance fields (i.e., QAC=2 and 3 for DT and DB) of all three aerosol products that are claimed to be higher in confidence and free of cloud contamination.

20 3. Results

3.1 MODIS versus AERONET AOTs: Individual Sites

Figure 2 shows scatter plots of MODIS versus AERONET AOT matchups for the selected individual sites located in Eastern NA. These sites are characterized by lower surface albedo during the spring and summer seasons due to increased green cover, and typically influenced by background and urban-industrial aerosols. Different color codes are used to display matchups points derived following the different collocation approaches described in the previous section. Each AOT dataset was co-located to AERONET independently. While the AOT retrievals from all three algorithms are generally well-correlated ($R \geq 0.90$) with those of AERONET, ~~the DT algorithm overestimates AOT (10 km product) with a positive bias (0.04-0.12) and relatively larger RMSE. On the other hand,~~ MAIAC AOTs are found to be slightly under-estimated, albeit

with the lowest RMSE and the largest number of matchups among the three algorithms. The performance of DB algorithm is found to be intermediate with relatively better statistics of the comparison than those of DT over sites *CCNY*, *Toronto*, and *Walker_Branch*, but inferior performance over sites *GSFC* and *Univ_Of_Houston*. Table 3 lists various statistical measures of MODIS-AERONET AOT matchups for a number of sites located in Eastern NA.

Figure 3 shows similar MODIS versus AERONET comparison, but for a subset of sites over the western NA characterized by bright surfaces and inhomogeneous surface elevation. The retrieved AOT by the three MODIS algorithms differs markedly over these sites. The DT algorithm, which is designed to produce accurate aerosol retrievals over dark surfaces, significantly overestimates AOTs particularly at a smaller spatial scale of the collocation domain. Noticeably, spatial averaging of DT AOTs over a larger spatial scale (40x40 km²) at the *Fresno* site provides significantly improved agreement with AERONET AOTs as reflected by the different measures of statistics included in the scatter plot. DB and AERONET AOT matchups over these sites are found to be less correlated but with reduced RMSE. Over the *Railroad_Valley* site, most AOT* matchups from DB under all four collocation approaches remained in the range 0.0-0.2, whereas AERONET AOTs varied in the range 0.0-0.4. The MAIAC-AERONET comparison over these sites shows relatively better statistics than those of DT and DB comparisons with a significantly ~~larger~~ greater number of matchups, ~~the~~ higher correlation coefficient, and lower RMSE values. Various statistical measures of MODIS versus AERONET AOT matchups for selected western NA sites are listed in Table 3.

3.2 MODIS versus AERONET AOTs: Composites for Eastern and Western North America

This section describes the MODIS-AERONET comparison results obtained by accumulating matchups derived separately for all Eastern and Western NA sites. The top panel of Figure 4 shows the composite comparison of MODIS AOTs to those of AERONET for all Eastern NA sites combined. The comparison includes matchups obtained following the collocation scheme that averages satellite data in 40 x 40 km² spatial domain and AERONET data within ±30 minutes of Aqua overpass time. Satellite-ground matchup points are color-coded according to the density of data for each AOT bin of size 0.01 as depicted in the color bar. One of the striking features of the comparison is that the total number of MAIAC AOT data points collocated with AERONET is

significantly larger than those obtained from DB and DT (10-km and 3-km) comparisons. Quantitatively, MAIAC provides ~ ~~108~~115% and ~~125~~120% (~~83~~86%) more matchups than DB 10-km and DT 10-km (3-km aerosol product) products, respectively. In addition to the higher frequency of AOT retrievals, MAIAC AOTs are found to compare better with those of AERONET with an overall lower RMSE (0.056) and a higher correlation (R=0.923). Conversely, the performance of the DT 10-km algorithm is relatively inferior in terms of ~~the~~ number of matchup points, larger RMSE and bias with the slope (1.23) of the satellite-ground relationship ~~greater~~ higher than unity. DB and MAIAC comparisons to AERONET provide slopes (0.80 and 0.86) less than 1.0 mainly due to under-estimation (over-estimation) of retrievals at higher (lower) AOTs, but with overall improvement in the other statistical measures. Noticeably, the DT 3-km product owing to its higher spatial resolution offered more matchups accompanied with ~~increased~~ similar correlation (~0.93), slope (1.20), and marginally improved RMSE (~0.08) compared to those of the 10-km product retrievals, ~~albeit with much larger slope (1.38) and RMSE (0.09) values.~~

For the combined western NA sites comparison, MAIAC again provides a significantly larger number of matchup points, quantitatively ~ ~~150~~144%, 220%, and ~~195~~197% compared to DB 10-km, DT 10-km, and DT 3-km products, respectively, with relatively lowest RMSE (~~0.053~~0.062) and the highest correlation (0.843). However, the slope of the satellite-ground AOT relationship is found to be the lowest (~~0.754~~0.705) ~~with for~~ MAIAC results compared to those obtained from DB (~~0.860~~0.835), DT 10-km (~~1.141~~0.72) and DT 3-km (~~1.051~~0.26) datasets. The intercepts of the relationships are found to be comparable for DT and DB (~0.02), but higher (0.043) for MAIAC though.

The results presented so far considered satellite-ground matchups obtained independently for each MODIS aerosol product. Such comparison allows evaluation of both the relative accuracy of different products as well as the frequency of the retrievals, whereas the comparison imposed by the requirement of having AOT retrievals from all three algorithms simultaneously would provide only the relative accuracy assessment. Such comparison is shown in Figure 5 for eastern (top) and western (bottom) NA sites. Note that the number of matchups is identical for all three algorithms and is drastically lower than the collocation points obtained when matched with AERONET independently. Given the simultaneous measurements of AOT and equal sampling among the three algorithms, MAIAC provides ~~sd relatively~~ highest correlation (0.9 and 0.84) and lowest RMSE

(0.053 and 0.052) over eastern and western NA sites, respectively. The slope of the satellite-ground relationship, however, was farthest from unity for MAIAC compared to those of DT and DB results.

3.3 Impact of Surface Reflectance on AOT Retrievals

5 The surface characterization is a crucial step for delineating surface contribution from the TOA reflectance measurements to separate atmospheric signal for the aerosol retrieval. Earlier studies suggest that an absolute uncertainty of 0.01 in the estimation of surface reflectance in the visible channels can produce an error of up to 0.1, i.e., approximately ten times, in the AOT retrieval from ~~the~~ satellites (*Kaufman et al.*, 1997; *Jethva et al.*, 2010). The three independent MODIS aerosol
10 algorithms under consideration here employ different approaches to characterize the surface reflectance as briefly described in the data section. The DT algorithm estimates surface reflectance in the visible channels (470 and 660 nm) through a quasi-static regression between the reflectance at 2130 nm and those of visible channels by accounting for the dependence of these relationships on scattering angle and NDVI. The surface characterization in the DB algorithm is achieved
15 through a hybrid scheme that applies the dynamical surface reflectance method for urban built-up and the precalculated surface reflectance database in arid and semi-arid areas. The MAIAC algorithm, on the other hand, derives the spectral regression coefficients dynamically that relate the surface reflectance in the 470 nm, 550 nm, and 2130 nm bands of MODIS.

In this section, we explore the relationship between the surface reflectance either assumed (DT
20 and DB) or retrieved (MAIAC) and its impact of the accuracy of AOT retrieved from three algorithms. ~~For this purpose, we consider MAIAC BRF retrievals (470 nm) as a working reference dataset since it encompasses surface characterization over darker as well as brighter surfaces, offering a wide range of surface conditions, and also due to the fact that it is a retrieved quantity from the atmospheric correction procedure that dynamically captures the temporal variation of surface properties. The MAIAC BRF product at the time of conducting the present work hasn't been evaluated over North America region. However, some recent studies have reported a significant increase in the accuracy of MAIAC surface reflectance compared to MODIS standard products MOD09, MOD035 over tropical Amazon (*Hilker et al.* 2012; 2014; 2015; *Maeda et al.*, 2016). Furthermore, a study by *Chen et al.* (2017) found an improvement in the leaf area index (LAI) retrievals with the MODIS LAI/FPAR algorithm when using MAIAC instead of standard~~
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MODIS MOD09 input. Note that the sole purpose of using MAIAC surface retrieval dataset here is to evaluate relative differences between satellite retrievals and ground measurements of AOD at varying surface brightness, which in no way constitutes a validation exercise of MAIAC surface retrievals over the study region nor it acts as a bias towards a particular algorithm. For this purpose, we consider two datasets: 1) MODIS MYD09 daily L3 Global 0.05Deg CMG atmospheric correction product (E. Vermote, 2015), and 2) MAIAC BRF retrievals. Both atmospheric correction algorithms differ in their approaches to estimate the surface reflectance by removing scattering and absorption from TOA measurements. Both products dynamically capture the temporal variations of surface properties and provide surface characterization over a wide range of surface conditions, including darker as well as brighter surfaces. The MAIAC BRF product at the time of conducting the present work hasn't been evaluated over North America region. However, some recent studies have reported a significant increase in the accuracy of MAIAC surface reflectance compared to MODIS standard products MOD09 and MOD035 over tropical Amazon (Hilker et al. 2012; 2014; 2015; Maeda et al., 2016). Furthermore, a study by Chen et al. (2017) found an improvement in leaf area index (LAI) retrievals with the MODIS LAI/FPAR algorithm when using MAIAC instead of standard MODIS MOD09 input. Note that the sole purpose of using the MAIAC surface retrieval dataset here is to evaluate relative differences between satellite retrievals and ground measurements of AOT at varying surface brightness, which in no way constitutes a validation exercise of MAIAC surface retrievals over the study region nor it acts as a bias towards a particular algorithm.

Figure 6 shows ~~the~~ box and whisker plots of differences in the AOT (470 nm) between the collocated MODIS retrievals and AERONET measurements as a function of coincident MYD09 BRF for eastern NA sites (top panel) and western NA sites (bottom panel). The collocated dataset of MODIS and AERONET within 40 km diameter centered at AERONET site and ± 30 minutes of MODIS overpass was used in these calculations. The total number of samples obtained in each bin of surface BRF is depicted at the top of each sub-plot. For the eastern NA sites, the mean and mode of error ~~between the DT/DB retrievals and AERONET measurements in DT and DB retrievals~~ show negligible dependence on MAIAC surface BRF with most matchups remaining inged close to the no-error limit but with an increased spread in data at surface BRF > 0.064 . The error in

MAIAC AOT retrievals, however, is found to be very small with the mean and mode for each bin close to no error throughout the entire range of MYD09 BRF retrieved over eastern NA. Also, the spread of error (10 to 90 percentile group) in the MAIAC-AERONET matchups is noted smaller with an error limit mostly confined to within ± 0.1 .

5 For the sites located in western NA, the error in DT-retrieved AOT (both 10-km and 3-km) exhibits a systematic behavior showing significant growth of error accompanied by the larger spread in the data population at relatively higher surface BRF (0.05-0.1). Also, note that no sufficient matchups are found between DT and AERONET for conditions when MAIAC-MYD09 retrieved much higher values of surface BRF. Similar results are obtained when MAIAC BRF is used in the
10 analysis shown in Supplementary Figure 1. Both MYD09 and MAIAC BRF datasets, though derived differently, show consistent AOT error characterization as a function of surface reflectance over eastern and western NA region. *Superczynski et al. (2017)* further supports our findings using the AOT validation results of the Suomi-NPP Visible Infrared Imaging Radiometer Suite (VIIRS) aerosol algorithm essentially basing on the DT approach, where VIIRS-derived AOTs are
15 found to be bias significantly higher w.r.t to AERONET measurements over North America at larger values of coincident MAIAC-retrieved surface reflectance. The poor performance of the DT algorithm over brighter surfaces has been a known problem (*Levy et al., 2010*), although it was expected that the DT collection 006 algorithm would yield a lower bias over bright surfaces (*Levy et al., 2013*). The DT algorithm was primarily designed and developed for the aerosol retrieval
20 over darker vegetated surfaces, as the name suggests, and follows the principle that aerosols brighten the scene, which over the brighter surfaces, breaks down. Moreover, aerosol loading over western NA is relatively low, resulting in an inferior signal from aerosols compared to that from a brighter background.

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4. Concluding Remarks

25 In this paper, we have performed the accuracy assessment of three Aqua/MODIS products of aerosol optical ~~depth-thickness~~ derived from three independent algorithms using ground-based AERONET measurements over the North America region. This is, to our knowledge, the first attempt to simultaneously evaluate the relative performance of the three MODIS aerosol products, i.e., DT, BB, and MAIAC, over the region, which is in the field-of-view of currently operational
30 GOES geostationary platform and future TEMPO mission. A spatio-temporal collocation scheme

of satellite retrievals with ground measurements was applied identically to all three satellite-based products, except for the relaxed required minimum number of retrievals for the DT algorithm which discards many sub-kilometer pixels prior to performing the aerosol inversion. The comparison was carried out over a number of AERONET sites situated mostly in the United States, and a few in Canada for the period 2002-2016, and under two sets of configurations, 1) independent comparison against AERONET when retrievals from all three algorithms are available simultaneously, and 2) independent comparison against AERONET when retrievals from all three algorithms are available simultaneously.

We find that the performance of all three aerosol algorithms, when assessed independently without having the requirement of simultaneous retrievals from all three algorithms, is comparable over darker surfaces of eastern NA with the MAIAC algorithm providing marginally better results with the lowest RMSE (0.056) and comparable the highest correlation (~ 0.90). ~~For the same comparison, On the other hand, the DT and DB algorithms yields larger and RMSE of 0.08 (0.095), but offer better and correlation of 0.933; the DB algorithm provided worst correlation (0.0756) with an intermediate RMSE of 0.0690.85 and 0.90, respectively. When assessed independently without having the requirement of simultaneous retrievals for all three algorithms, the resultant statistics of the MODIS AERONET comparison remained almost similar.~~ The most significant difference in this comparison has been ~~was~~ the number of retrievals with MAIAC yielding significantly more matchups with AERONET than the other two algorithms. MAIAC's number of available retrievals is more than double that of the DT and DB products and slightly greater than twice that of DB.

Over the western NA, where the surface is characterized by steep changes in topography and brighter surface background, AOT retrievals from DT algorithm are found to be overestimated compared to that from AERONET with poorer RMSE, correlation, and bias of ~~~ 0.120~~ , 0.820.59, and 0.0370.10 respectively. In comparison, DB and MAIAC both showed a relatively robust match with AERONET resulting an with the resultant RMSE of ~~~ 0.05~~ 0.06 and correlation of ~~0.7280~~ 0.834. Noticeably, the MAIAC dataset provided ~~esed~~ the maximum number of matchups (N=~~26277~~ 27653) compared to that of DB (N=~~11026~~ 10785) and DT (N=~~8623 for 10-km and N=9299 for 3-km~~ 8207) – a factor of ~~2.512.44~~ and ~~3.203.21 (2.97)~~ higher matchup frequency than that of DB and DT matchups against AERONET, respectively.

The error in AOT characterized as a function of MYD09 and MAIAC bi-directional surface reflectance products retrieved from MAIAC reflects the ability of DB and MAIAC algorithms to retrieve AOT with practically no bias over a wide range of surface conditions, whereas DT-retrieved AOTs are found to be systematically overestimated at higher values of surface reflectance (>0.05). The results reported here represent an objective, unbiased evaluation of the DT, DB, and MAIAC land AOT retrieval algorithms currently applied to MODIS observations. The detailed statistical evaluation of the performance of each of these three algorithms may be used as guidance in the development of inversion schemes to derive aerosol properties from ABI or other MODIS-like sensors. An accurate AOT product from GOES-ABI measurements would fulfill the GEO-CAPE stated need of an aerosol product that can be used for both climate and air quality applications.

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Authors' Contributions

Dr. Jethva, the leading author, conceptualized the study and wrote the paper. He conducted validation analysis of MAIAC aerosol products using ground based AERONET dataset, whereas Yoshida Yasuko (third author) conducted similar analysis for the Dark Target and Deep Blue aerosol products. Dr. Torres (second author) brought his expertise in interpreting the results and helped improving the manuscript writeup.

Additional Information

The author(s) declare no competing interests, financial or non-financial.

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List of Tables

Table 1. MODIS-AERONET aerosol datasets and their characteristics.

5 **Table 2.** Configurations of four spatiotemporal windows for the collocation of MODIS and AERONET AOT datasets. Acronyms: DT: Dark Target; DB: Deep Blue; MAIAC: Multi-Angle Implementation of Atmospheric Correction

10 **Table 3.** Statistical measures of MODIS-AERONET AOT (470 nm) matchups for sites in eastern North America. Numbers in bold indicate relatively best performance in respective measures. Abbreviations: Lon.: Longitude, Lat.: Latitude, N: number of satellite-ground matchups, R: correlation, RMSE: root-mean-square-error between MODIS and AERONET, Bias: mean bias, Slope and Intercept: slope and intercept of the linear regression between MODIS and AERONET AOT matchups.

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Table 4. Same as in Table 3 but for sites in western North America.

Table 1 MODIS-AERONET aerosol datasets and their characteristics.

Dataset	Characteristics			
	Collection	Data	Product Resolution	In this study
MODIS Dark Target 10-km Aerosol Product MYD04_L2	6.1	Level 2 AOT at 470, 660, and 2100 nm	10 km ² at nadir	Use of only “good” (QAC=2) and “best” (QAC=3) quality retrievals
MODIS Dark Target 3-km Aerosol Product MYD04_L2	6.1	Level 2 AOT at 470, 660, and 2100 nm	3 km ² at nadir	Use of only “good” (QAC=2) and “best” (QAC=3) quality retrievals
MODIS Deep Blue Aerosol Product Merged with MYD04_L2	6.1	Level 2 AOT at 412, 470, and 660 nm	10 km ² at nadir	Use of only “good” (QAC=2) and “best” (QAC=3) quality retrievals
MODIS MAIAC Aerosol Product MCD19A2	6.0 ⁺	Level 2 Daily L2G 1 km SIN Grid AOT at 470 and 550 nm	1 km ² at nadir	Use of only “good” and “best” quality retrievals
AERONET AOT Product	Level 2.0 Version 2.0	Spectral AOTs	Columnar point measurements	Cloud-cleared and quality assured data

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Table 2 Configurations of four spatiotemporal windows for the collocation of MODIS and AERONET AOT datasets. Acronyms: DT: Dark Target; DB: Deep Blue; MAIAC: Multi-Angle Implementation of Atmospheric Correction

Spatial Grid box size in km²	Required minimum number of satellite observations at 1 km <u>in the</u> <u>grid box</u>				ΔT = Time window between the satellite overpass and AERONET measurements	Minimum number of AERONET Level 2 observations within ΔT
	DT 10-km	DT 3-km	DB	MAIAC		
5	2	5	5	5	± 15 minutes	2
10	10	20	20	20	± 15 minutes	2
20	40	80	80	80	± 15 minutes	2
40	160	320	320	320	± 30 minutes	2

Table 3 Statistical measures of MODIS-AERONET AOT (470 nm) matchups for sites in eastern North America. Numbers in bold indicate relatively best performance in respective measures.

Abbreviations: Lon.: Longitude, Lat.: Latitude, N: number of satellite-ground matchups, R: correlation, RMSE: root-mean-square-error between MODIS and AERONET, Bias: mean bias between the two datasets, Slope and Intercept: slope and intercept of the linear regression between MODIS and AERONET AOT matchups.

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Sitename	Lon.	Lat.	N	R	RMSE	Bias	Slope	Intercept
Ames	-93.78	42.02	<u>427344/343464/434585</u>	0.850.89/0.820.89/0.820.80	<u>0.090.096/0.070.077/0.070.079</u>	-0.043/-0.023/-0.034	0.981.00/0.590.84/0.07070.72	-
Appalachian_State	-81.69	36.22	<u>228/212/233352</u>	<u>0.91.0.84/0.84.0.92/0.91/0.87</u>	<u>0.05.0.05/0.03.0.06/0.05/0.03</u>	-0.01/-0.03/-0.01	<u>1.33/0.59/0.77</u>	<u>-0.04.0.00/0.00.0.04/-0.01/0.01</u>
Billerica	-71.27	42.53	<u>299/285/373</u>	<u>0.95.0.89/0.93.0.96/0.88/0.93</u>	<u>0.07.0.07/0.05.0.07/0.07/0.05</u>	-0.01/-0.04/-0.01	<u>1.13/0.79/0.83</u>	<u>-0.07.0.05/0.01.0.07/0.05/0.01</u>
BONDVILLE	-88.37	40.05	<u>505.693/789539</u>	<u>0.91.0.90/0.86.0.92/0.91/0.63</u>	<u>0.08.0.05/0.06.0.09/0.05/0.12</u>	-0.04.0.00/-0.01.0.04/-0.01/-0.02	<u>1.17/0.90/0.87</u>	<u>-0.07.0.01/0.01.0.07/0.01/0.06</u>
Bratts_Lake	-104.70	50.28	<u>643.546/779</u>	<u>0.94.0.92/0.95.0.94/0.91/0.93</u>	<u>0.15.0.12/0.05.0.11/0.02/0.01</u>	0.10.0.02/0.00.0.11/0.02/0.01	<u>1.40/1.34/0.99</u>	<u>0.05.0.03/0.01.0.04/-0.04/0.01</u>
Brookhaven	-72.89	40.87	<u>441.40/237</u>	<u>0.98.0.97/0.98.0.98/0.06/0.04</u>	<u>0.03.0.03/-0.01.0.03/0.02/-0.0</u>	0.03.0.02/-0.01.0.03/0.02/-0.01	<u>1.24/0.87/0.94</u>	<u>-0.02.0.05/0.00.0.01/0.05/0.01</u>
CARTEL	-71.93	45.38	<u>315.354/388</u>	<u>0.94.0.93/0.96.0.91/0.89/0.92</u>	<u>0.06.0.04/0.04.0.00/0.00/-0.03</u>	0.00.0.00/-0.03.0.00/0.00/-0.03	<u>1.16.0.85/0.91</u>	<u>-0.03.0.02/-0.04.0.02/0.03/-0.01</u>
Cart_Site	-97.49	36.61	<u>4073/4038/4440</u>	<u>0.80.0.81/0.82.0.89/0.89/0.85</u>	<u>0.09.0.09/0.05.0.09/0.05/0.05</u>	-0.07/-0.02/-0.00.0.09/0.05/0.05	<u>0.91.0.61/0.71</u>	<u>-0.06.0.02/0.03.0.06/0.01/0.03</u>
CCNY	-73.95	40.82	<u>331.461/688</u>	<u>0.93.0.92/0.92.0.93/0.92/0.91</u>	<u>0.09.0.07/0.06.0.10/0.07/0.06</u>	0.03.0.03/-0.02.0.04/0.03/-0.03	<u>1.15.0.93/0.76</u>	<u>0.00.0.04/0.01.0.01/0.03/0.00</u>
Chapais	-74.98	49.82	<u>127.209/263</u>	<u>0.96.0.96/0.97.0.96/0.90/0.96</u>	<u>0.08.0.06/0.04.0.09/0.08/0.04</u>	0.02.0.00/-0.01.0.02/0.00/-0.01	<u>1.25/1.07/0.99</u>	<u>0.00/-0.01/-0.01.0.01/-0.01/-0.01</u>
Dayton	-84.11	39.78	<u>168.281/343</u>	<u>0.91.0.89/0.87.0.91/0.89/0.89</u>	<u>0.05.0.04/0.04.0.01/0.01/-0.02</u>	0.00.0.01/-0.02.0.01/0.01/-0.02	<u>1.20.0.84/0.87</u>	<u>-0.02.0.02/-0.04.0.02/0.03/-0.01</u>
Easton_Airport	-76.07	38.81	<u>124/113/215</u>	<u>0.96.0.91/0.94.0.97/0.92/0.92</u>	<u>0.08.0.07/0.05.0.08/0.07/0.06</u>	0.02.0.04/-0.03.0.03/0.04/-0.02	<u>1.25.0.90/0.82</u>	<u>-0.03.0.06/0.00.0.02/0.05/0.00</u>
Egbert	-79.75	44.23	<u>461.401/559</u>	<u>0.93.0.89/0.92.0.97/0.96/0.96</u>	<u>0.06.0.06/0.04.0.07/0.07/0.05</u>	0.01.0.04/-0.01.0.01/0.03/-0.01	<u>1.27.0.92/0.90</u>	<u>-0.03.0.05/0.00.0.03/0.02/-0.01</u>
Georgia_Tech	-84.40	33.78	<u>204.204/212</u>	<u>0.95.0.88/0.94.0.94/0.88/0.93</u>	<u>0.07.0.04/0.04.0.07/0.05/0.04</u>	-0.05.0.01/-0.02.0.04/0.01/0.02	<u>1.31.0.80/1.00</u>	<u>-0.08.0.03/-0.02.0.07/0.03/-0.01</u>
GSFC	-76.84	38.99	<u>4051.4084/4230</u>	<u>0.96.0.90/0.94.0.96/0.91/0.94</u>	<u>0.06.0.07/0.04.0.06/0.07/0.04</u>	0.00.0.03/-0.02.0.00/0.03/-0.02	<u>1.21.0.80/0.88</u>	<u>-0.03.0.06/0.00.0.03/0.06/-0.01</u>
Halifax	-63.59	44.64	<u>94/147/542</u>	0.94/0.86/0.94	0.06/0.06/0.04	0.04/0.05/0.00	1.30/0.91/0.93	0.00/0.06/0.01
Harvard_Forest	-72.19	42.53	<u>322.346/426</u>	<u>0.96.0.90/0.95.0.96/0.88/0.95</u>	<u>0.06.0.06/0.04.0.06/0.06/0.04</u>	0.00.0.00/-0.01.0.01/-0.01/-0.01	<u>1.25.0.89/0.92</u>	<u>-0.03.0.01/0.00.0.03/0.01/-0.01</u>
Howland	-68.73	45.20	<u>169.499/232</u>	<u>0.93.0.92/0.95.0.94/0.89/0.94</u>	<u>0.07.0.07/0.06.0.07/0.06/0.05</u>	-0.01.0.00/-0.02.0.00/0.00/-0.02	<u>1.02.0.79/0.81</u>	<u>-0.01.0.03/0.00.0.03/0.01/-0.01</u>
Kellogg_LTER	-85.37	42.41	<u>145/154/182</u>	<u>0.95.0.94/0.96.0.95/0.90/0.92</u>	<u>0.07.0.05/0.05.0.07.0.06/0.06</u>	-0.02.0.01/-0.02.0.01/0.00/-0.03	<u>1.21.0.92/0.92</u>	<u>-0.05.0.02/-0.02.0.03/0.01/-0.01</u>
KONZA_EDC	-96.61	39.10	<u>794.752/941</u>	<u>0.86.0.90/0.85.0.89.0.90/0.86</u>	<u>0.07.0.04/0.05.0.06.0.04/0.05</u>	-0.02.0.01/-0.01.0.02/0.00/-0.01	<u>1.08.0.85/0.77</u>	<u>-0.03.0.02/0.02.0.04/0.02/0.02</u>
MD_Science_Center	-76.62	39.28	<u>582.641/841</u>	<u>0.95.0.87/0.92.0.95.0.88/0.91</u>	<u>0.06.0.06/0.05.0.07.0.06/0.05</u>	-0.02.0.01/-0.02.0.02/0.01/0.02	<u>1.17.0.68/0.77</u>	<u>-0.05.0.06/0.00.0.04/0.05/0.00</u>
Pickle_Lake	-90.22	51.45	<u>166.355/430</u>	<u>0.92.0.91/0.93.0.92.0.90/0.92</u>	<u>0.06.0.05/0.05.0.03.0.01/-0.00</u>	0.03.0.01/-0.00.0.03.0.01/-0.00	<u>1.26.0.95/1.09</u>	<u>0.00/-0.01/-0.01.0.00/-0.01/-0.01</u>
SERC	-76.50	38.88	<u>454.257/765</u>	<u>0.97.0.95/0.96.0.97.0.95/0.96</u>	<u>0.07.0.05/0.04.0.07.0.05/0.04</u>	0.00.0.03/-0.01.0.00/0.03/-0.01	<u>1.23.0.88/0.96</u>	<u>-0.04.0.04/-0.00.0.04/0.04/-0.00</u>
Sioux_Falls	-96.63	43.74	<u>602.606/765</u>	<u>0.92.0.92/0.88.0.92.0.92/0.89</u>	<u>0.08.0.07/0.06.0.08.0.07/0.06</u>	-0.03.0.02/-0.01.0.03.0.02/-0.01	<u>1.13.1.11/0.81</u>	<u>-0.05.0.04/0.01.0.04/-0.03/0.01</u>
Thompson_Farm	-70.95	43.11	<u>421.388/559</u>	<u>0.94.0.88/0.92.0.94.0.88/0.92</u>	<u>0.06.0.06/0.05.0.06.0.06/0.05</u>	-0.01.0.02/-0.02.0.01/0.02/-0.02	<u>1.13.0.82/0.82</u>	<u>-0.03.0.05/0.01.0.03/0.04/0.00</u>
Toronto	-79.47	43.97	<u>474.421/559</u>	<u>0.94.0.93/0.93.0.92.0.91/0.92</u>	<u>0.09.0.06/0.05.0.09.0.06/0.05</u>	0.04.0.02/-0.02.0.04.0.02/-0.02	<u>1.23.0.85/0.88</u>	<u>-0.01.0.05/0.00.0.01/0.05/0.00</u>
UAHuntsville	-86.65	34.73	<u>440.424/454</u>	<u>0.95.0.95/0.93.0.97.0.96/0.94</u>	<u>0.06.0.04/0.05.0.06.0.03/0.04</u>	-0.04.0.01/-0.03.0.04.0.01/-0.02	<u>1.22.0.73/0.88</u>	<u>-0.07.0.02/-0.04.0.02/0.01/-0.02</u>
UMBC	-76.71	39.26	<u>260.312/265</u>	<u>0.93.0.79/0.89.0.95.0.82/0.90</u>	<u>0.06.0.06/0.05.0.06.0.06/0.05</u>	-0.03.0.02/-0.02.0.03.0.02/-0.02	<u>1.18.0.66/0.80</u>	<u>-0.06.0.06/0.01.0.06.0.06/0.01</u>
Univ_of_Houston	-95.34	29.72	<u>421.420/592</u>	<u>0.92.0.69/0.84.0.92.0.69/0.85</u>	<u>0.05.0.10/0.05.0.05.0.10/0.05</u>	0.01.0.08/-0.02.0.01/0.07/-0.02	<u>1.25.0.70/0.75</u>	<u>-0.02.0.11/0.01.0.02.0.11/0.01</u>
Walker_Branch	-84.29	35.96	<u>327.312/346</u>	<u>0.96.0.96/0.95.0.97.0.96/0.96</u>	<u>0.07.0.04/0.04.0.08.0.05/0.05</u>	-0.01.0.01/-0.02.0.01.0.02/-0.03	<u>1.29.0.93/0.95</u>	<u>-0.06.0.00/-0.02.0.07/-0.01/-0.02</u>
Wallops	-75.48	37.94	<u>359.204/610</u>	<u>0.94.0.94/0.95.0.94.0.95/0.95</u>	<u>0.09.0.08/0.06.0.10.0.08/0.06</u>	0.05.0.05/-0.02.0.05.0.04/-0.02	<u>1.08.0.84/0.84</u>	<u>0.03.0.08/0.01.0.03.0.07/0.00</u>

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Table 4 Same as in Table 3 but for sites in western North America.

SiteName	Long.	Lat.	N	R	RMSE	Bias	Slope	Intercept
Dark Target/Deep Blue/MAIAC								
<i>Bozeman</i>	-111.05	45.66	632/429/695 <u>719/486/794</u>	0.97/0.95/0.94 <u>0.97/0.95/0.94</u>	0.07/0.06/0.06 <u>0.06/0.07/0.06</u>	0.04/- <u>0.03/0.01/0.04/-</u>	1.05/0.90/0.78/- <u>0.3/0.92/0.76</u>	0.03/- <u>0.02/0.04/0.04/-</u>
<i>BSRN_BAO_Boulder</i>	-105.01	40.05	899/768/13139 <u>67/828/1421</u>	0.91/0.82/0.86/0 <u>0.87/0.73/0.81</u>	0.08/0.07/0.05 <u>0.08/0.07/0.05</u>	0.05/- <u>0.04/0.04/0.05/-</u>	1.25/0.74/0.86/1 <u>28/0.43/0.87</u>	0.02/- <u>0.02/0.02/0.02/0</u>
<i>CalTech</i>	-118.13	34.14	630/596/748 <u>590/556/698</u>	0.71/0.53/0.799 <u>0.71/0.53/0.79</u>	0.12/0.08/0.06 <u>0.13/0.08/0.06</u>	0.09/-0.01/-0.03 <u>0.08/-0.01/-0.03</u>	1.03/0.38/0.57/1 <u>05/0.39/0.58</u>	0.08/0.08/0.030 <u>08/0.08/0.03</u>
<i>El_Segundo</i>	-118.38	33.91	266/451/715 <u>313/160/826</u>	0.55/0.57/0.680 <u>0.60/0.60/0.72</u>	0.28/0.15/0.05 <u>0.30/0.15/0.05</u>	0.23/0.12/0.010.2 <u>5/0.13/0.01</u>	1.68/0.84/0.62/1 <u>79/0.96/0.71</u>	0.15/0.14/0.050 <u>16/0.13/0.04</u>
<i>Frenchman_Flat</i>	-115.94	36.81	138/707/933 <u>137/695/917</u>	0.52/0.48/0.670 <u>0.51/0.47/0.64</u>	0.27/0.06/0.05 <u>0.27/0.06/0.05</u>	0.25/0.01/0.02 <u>0.25/0.01/0.02</u>	2.18/0.56/0.73/1 <u>86/0.50/0.67</u>	0.18/0.04/0.040 <u>20/0.05/0.05</u>
<i>Fresno_2</i>	-119.77	36.79	672/710/77366 <u>4/733/759</u>	0.80/0.82/0.840 <u>0.77/0.79/0.82</u>	0.08/0.07/0.05 <u>0.08/0.07/0.05</u>	0.01/0.03/-0.02 <u>0.02/0.04/-0.01</u>	1.07/0.88/0.69/1 <u>00/0.85/0.74</u>	0.00/0.05/0.020 <u>02/0.06/0.02</u>
<i>Fresno</i>	-119.77	36.78	4034/1076/11001 <u>965/1108/1141</u>	0.74/0.82/0.790 <u>0.72/0.82/0.79</u>	0.08/0.06/0.07 <u>0.07/0.06/0.07</u>	0.01/0.01/- <u>0.03/0.01/0.01/-</u>	0.78/0.74/0.57/0 <u>86/0.72/0.58</u>	0.03/0.06/0.040 <u>04/0.06/0.04</u>
<i>Goldstone</i>	-116.79	35.23	85/638/107785 <u>639/1081</u>	0.59/0.50/0.68 <u>0.55/0.49/0.69</u>	0.24/0.06/0.06 <u>0.23/0.06/0.06</u>	0.22/0.02/0.040.2 <u>3/0.03/0.05</u>	1.76/0.71/0.76/1 <u>73/0.72/0.80</u>	0.19/0.04/0.060 <u>18/0.04/0.06</u>
<i>Hermosillo</i>	-110.96	29.08	452/387/45111 <u>1/321/374</u>	0.83/0.68/0.670 <u>0.83/0.69/0.69</u>	0.05/0.06/0.05 <u>0.05/0.07/0.05</u>	0.03/- <u>0.05/0.03/0.02/-</u>	1.16/0.55/0.70/- <u>14/0.51/0.71</u>	0.01/0.00/0.040 <u>00/0.00/0.03</u>
<i>HJAndrews</i>	-122.22	44.24	729/707/76774 <u>3/716/786</u>	0.89/0.88/0.910 <u>0.91/0.88/0.92</u>	0.06/0.07/0.04 <u>0.05/0.05/0.03</u>	0.02/-0.04/0.01 <u>0.03/-0.04/0.01</u>	0.98/1.02/0.88/- <u>15/0.65/0.99</u>	0.03/-0.04/- <u>0.02/0.01/-</u>
<i>Kelowna</i>	-119.37	49.96	287/221/350	0.93/0.85/0.93	0.06/0.09/0.04	-0.01/-0.02/0.00	1.10/1.14/0.91	-0.02/0.03/0.01
<i>Kelowna_UAS</i>	-119.40	49.94	326/253/42859 <u>9/457/756</u>	0.95/0.84/0.709 <u>0.96/0.88/0.91</u>	0.06/0.08/0.15 <u>0.07/0.07/0.05</u>	-0.01/-0.03/- <u>0.01/0.00/-0.02/-</u>	1.09/0.98/0.88/1 <u>12/1.09/0.38</u>	-0.02/- <u>0.03/0.01/-0.02/-</u>
<i>Kirtland_AFB</i>	-106.51	34.95	131/214/32312 <u>3/187/274</u>	0.60/0.43/0.770 <u>0.62/0.43/0.80</u>	0.08/0.05/0.04 <u>0.08/0.03/0.04</u>	0.06/- <u>0.03/0.02/0.06/-</u>	1.16/0.08/0.90/1 <u>24/0.11/1.17</u>	0.05/0.02/0.030 <u>05/0.02/0.02</u>
<i>La_Jolla</i>	-117.25	32.87	293/116/81529 <u>2/115/800</u>	0.73/0.68/0.809 <u>0.74/0.68/0.84</u>	0.06/0.05/0.04 <u>0.06/0.05/0.09</u>	-0.01/0.01/0.00 <u>0.00/0.01/0.00</u>	0.95/0.41/0.430 <u>91/0.52/0.74</u>	0.00/0.05/0.030 <u>00/0.06/0.06</u>
<i>Maricopa</i>	-111.97	33.07	30/551/622 <u>48/744/890/</u>	0.78/0.52/0.62 <u>0.81/0.46/69</u>	0.17/0.06/0.05 <u>0.13/0.06/0.05</u>	0.15/-0.04/0.02 <u>0.13/-0.03/0.03</u>	1.84/0.43/0.72 <u>1.56/0.42/0.76</u>	0.06/0.02/0.05 <u>0.07/0.03/0.05</u>
<i>Missoula</i>	-114.08	46.92	745/626/885 <u>771/653/924</u>	0.96/0.83/0.96 <u>0.96/0.90/0.94</u>	0.06/0.15/0.06 <u>0.06/0.13/0.1</u>	-0.01/-0.05/-0.01 <u>0.00/-0.04/-0.02</u>	1.08/1.21/0.71 <u>1.08/1.21/0.71</u>	-0.02/-0.08/0.04 <u>-0.01/-0.07/0.03</u>
<i>Monterey</i>	-121.86	36.59	527/446/1053 <u>932/545/1306</u>	0.88/0.72/0.85 <u>0.88/0.69/0.86</u>	0.08/0.07/0.06 <u>0.08/0.13/0.11</u>	-0.03/0.05/0.01 <u>0.02/0.05/0.01</u>	1.24/0.81/0.84 <u>1.14/0.81/0.68</u>	-0.05/0.06/0.03 <u>-0.04/0.07/0.05</u>
<i>NASA_Ames</i>	-122.06	37.42	78/71/97 <u>136/112/170</u>	0.71/0.76/0.85 <u>0.67/0.77/0.86</u>	0.06/0.06/0.03 <u>0.07/0.06/0.03</u>	0.01/0.05/0.01 <u>0.03/0.04/0.02</u>	1.04/0.73/0.81 <u>1.16/0.81/0.85</u>	0.00/0.06/0.02 <u>0.01/0.06/0.03</u>
<i>NEON-Boulder</i>	-105.27	40.01	58/45/76 <u>55/41/71</u>	0.97/0.98/0.96 <u>0.90/0.82/0.89</u>	0.06/0.05/0.04 <u>0.07/0.04/0.04</u>	0.03/-0.03/0.01 <u>0.04/-0.03/0.02</u>	1.17/0.82/0.86 <u>1.32/0.37/0.97</u>	0.01/-0.02/0.02 <u>0.01/0.02/0.02</u>
<i>NEON_CVALLA</i>	-105.17	40.16	232/197/313 <u>314/256/415</u>	0.94/0.73/0.90 <u>0.92/0.71/0.87</u>	0.08/0.11/0.05 <u>0.08/0.09/0.05</u>	0.03/-0.05/0.01 <u>0.03/-0.03/0.02</u>	1.21/0.90/0.83 <u>1.31/0.99/0.87</u>	0.00/-0.03/0.02 <u>0.00/-0.03/0.03</u>
<i>Railroad_Valley</i>	-115.96	38.50	130/348/1683 <u>134/558/1718</u>	0.59/0.69/0.74 <u>0.55/0.68/0.74</u>	0.25/0.06/0.05 <u>0.25/0.06/0.05</u>	0.23/-0.02/0.03 <u>0.23/-0.02/0.03</u>	1.69/0.26/0.70 <u>1.50/0.26/0.71</u>	0.19/0.02/0.05 <u>0.20/0.02/0.05</u>
<i>Red_Mountain_Pass</i>	-107.73	37.91	103/46/168 <u>113/51/195</u>	0.79/0.35/0.63 <u>0.80/0.38/0.63</u>	0.05/0.04/0.04 <u>0.05/0.03/0.04</u>	0.04/-0.01/0.03 <u>0.04/-0.01/0.03</u>	1.05/0.14/0.78 <u>1.10/0.13/0.76</u>	0.04/0.03/0.04 <u>0.03/0.03/0.04</u>
<i>Rimrock</i>	-116.99	46.49	815/753/1046 <u>922/826/1167</u>	0.92/0.89/0.92 <u>0.90/0.89/0.90</u>	0.17/0.14/0.06 <u>0.17/0.15/0.09</u>	0.07/0.01/0.03 <u>0.07/0.01/0.03</u>	1.94/1.76/1.03 <u>1.84/1.32/0.80</u>	-0.05/-0.08/0.02 <u>-0.03/-0.03/0.06</u>
<i>Rogers_Dry_Lake</i>	-117.89	34.93	24/326/477 <u>24/325/472</u>	0.38/0.48/0.63 <u>0.40/0.50/0.64</u>	0.16/0.09/0.06 <u>0.16/0.09/0.06</u>	0.15/0.05/0.03 <u>0.15/0.05/0.03</u>	1.31/0.70/0.57 <u>1.39/0.74/0.58</u>	0.14/0.07/0.06 <u>0.13/0.07/0.06</u>
<i>Sandia_NM_PSEL</i>	-106.54	35.06	184/225/418 <u>182/237/430</u>	0.63/0.44/0.73 <u>0.62/0.45/0.72</u>	0.11/0.05/0.06 <u>0.11/0.04/0.06</u>	0.07/-0.03/0.04 <u>0.07/-0.02/0.04</u>	1.42/0.09/0.96 <u>1.40/0.1/1.0</u>	0.04/0.02/0.04 <u>0.05/0.02/0.04</u>
<i>Sevilleita</i>	-106.89	34.36	373/903/1284 <u>441/1031/1462</u>	0.66/0.56/0.76 <u>0.63/0.61/0.76</u>	0.16/0.06/0.04 <u>0.16/0.05/0.05</u>	0.14/-0.04/0.02 <u>0.14/-0.03/0.03</u>	1.72/0.18/0.82 <u>1.81/0.22/0.82</u>	0.09/0.02/0.03 <u>0.1/0.02/0.04</u>
<i>TABLE_MOUNTAIN_CA</i>	-117.68	34.38	4093/1433/1479 <u>1108/1171/1532</u>	0.63/0.47/0.68 <u>0.64/0.44/0.69</u>	0.14/0.06/0.05 <u>0.14/0.06/0.06</u>	0.12/0.04/0.04 <u>0.12/0.04/0.05</u>	1.59/0.74/0.89 <u>1.59/0.73/0.87</u>	0.09/0.05/0.05 <u>0.09/0.05/0.05</u>
<i>Table_Mountain</i>	-105.24	40.13	333/295/475 <u>519/443/686</u>	0.90/0.89/0.84 <u>0.91/0.89/0.88</u>	0.06/0.06/0.05 <u>0.07/0.06/0.05</u>	0.02/-0.04/0.02 <u>0.03/-0.03/0.02</u>	1.18/0.54/0.79 <u>1.20/0.67/0.84</u>	0.00/0.00/0.04 <u>0.01/0.00/0.00</u>
<i>Trinidad_Head</i>	-124.15	41.05	292/138/616 <u>355/166/746</u>	0.90/0.87/0.95 <u>0.84/0.80/0.87</u>	0.08/0.07/0.04 <u>0.09/0.10/0.07</u>	0.03/0.01/0.01 <u>0.02/-0.01/0.00</u>	1.21/1.27/0.91 <u>0.96/0.72/0.72</u>	0.00/-0.02/0.02 <u>0.03/0.02/0.03</u>
<i>Tucson</i>	-110.95	32.23	274/407/530 <u>310/454/595</u>	0.59/0.56/0.81 <u>0.59/0.43/0.60</u>	0.19/0.04/0.05 <u>0.19/0.05/0.05</u>	0.17/-0.01/0.03 <u>0.17/-0.01/0.03</u>	1.62/0.47/0.83 <u>1.61/0.30/0.65</u>	0.13/0.02/0.04 <u>0.12/0.04/0.06</u>
<i>UCLA</i>	-118.45	34.07	224/179/275 <u>215/174/261</u>	0.67/0.53/0.82 <u>0.62/0.43/0.81</u>	0.12/0.10/0.07 <u>0.12/0.09/0.06</u>	0.06/0.01/-0.04 <u>0.06/0.01/-0.04</u>	0.91/0.44/0.58 <u>0.91/0.39/0.62</u>	0.07/0.10/0.03 <u>0.08/0.10/0.02</u>
<i>UCSB</i>	-119.85	34.42	840/481/1062 <u>927/540/1184</u>	0.79/0.71/0.90 <u>0.80/0.71/0.90</u>	0.07/0.06/0.05 <u>0.07/0.06/0.05</u>	-0.05/-0.02/-0.02 <u>-0.05/-0.02/-0.02</u>	0.76/0.51/0.74 <u>0.77/0.52/0.71</u>	-0.02/0.04/0.01 <u>-0.02/0.04/0.01</u>
<i>Univ_of_Lethbridge</i>	-112.87	49.68	408/326/546 <u>395/312/522</u>	0.92/0.91/0.95 <u>0.97/0.94/0.93</u>	0.13/0.16/0.05 <u>0.14/0.11/0.06</u>	0.09/0.02/0.02 <u>0.09/0.01/0.02</u>	1.36/1.67/0.91 <u>1.52/1.39/0.80</u>	0.05/-0.05/0.03 <u>0.03/-0.03/0.04</u>

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<i>White_Sands_HELSTF</i>	-106.34	32.64	317/642/1283 <u>329/672/1306</u>	0.79/0.59/0.73 <u>0.78/0.59/0.70</u>	0.17/0.05/0.06 <u>0.17/0.05/0.06</u>	0.16/-0.01/0.04 <u>0.16/-0.01/0.05</u>	1.38/0.58/0.86 <u>1.45/0.58/0.86</u>	0.13/0.01/0.05 <u>0.13/0.01/0.05</u>
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List of Figures

Figure 1 a) Geographical distribution of AERONET sites over North America. Color codes represent the span of AERONET Level 2 data in years calculated from the total number of daily observations. b) An illustration of the spatiotemporal schemes for collocating the satellite retrievals with the ground measurements.

Figure 2 Scatterplots comparing the aerosol optical ~~thickness~~ (470 nm) retrieved from the three standard aerosol algorithms of MODIS against that of AERONET for selected sites over eastern, central, and southern N. A. Statistical measures of the comparison are depicted within each plot with different color codes denoting matchups obtained following the four spatiotemporal schemes, i.e., black, blue, green, and red for 5 km, 10 km, 20 km, and 40 km grid boxes.

Figure 3 Same as in Figure 3 but for AERONET sites located in the western N. A.

Figure 4 Scatterplots comparing MODIS-AERONET AOT matchups for all sites combinedly located in eastern N. A. (top panel) and western N. A. (bottom panel). MODIS-AERONET matchups derived independently without the requirement of having simultaneous measurements. The color codes denote the number density of matchups for each bin of AOT.

Figure 5 Scatterplots comparing MODIS-AERONET AOT matchups ~~obtained over~~ ~~for~~ all sites ~~combinedly~~ located in eastern N. A. (top panel) and western N. A. (bottom panel). Only those satellite-ground matchups were included for which AOT retrievals/measurements from all four methods are available simultaneously. The color codes denote the number density of matchups for each bin of AOT.

Figure 6 Difference in AOT (470 nm) between MODIS and AERONET as a function of coincident bi-directional reflectance retrievals (470 nm) from MODIS-MOD09 product ~~MAIAC aerosol algorithm~~ for eastern N-A (a, top) and western NA (b, bottom). Data are represented as a box-and-whisker plot with the thick horizontal line as the median, black dot as mean, shaded boxes are covering 75 and 25 percentiles, and vertical lines as 1.5 times the interquartile range (25-75 percentile). The number of matchups for each bin is given at the top of the plot.

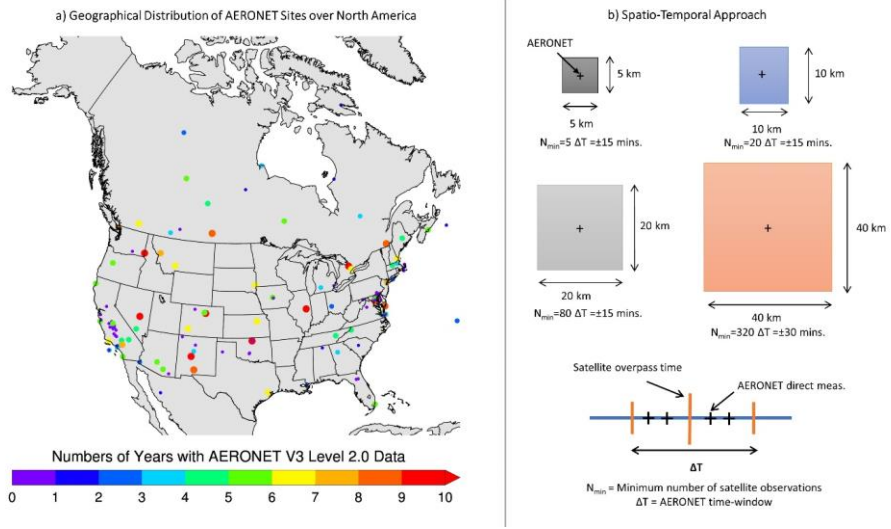


Figure 1 a) Geographical distribution of AERONET sites over North America. Color codes represent the span of AERONET Version 3 Level 2 data in years calculated from the total number of daily observations. b) An illustration of the spatiotemporal schemes for collocating the satellite retrievals with the ground measurements.

5

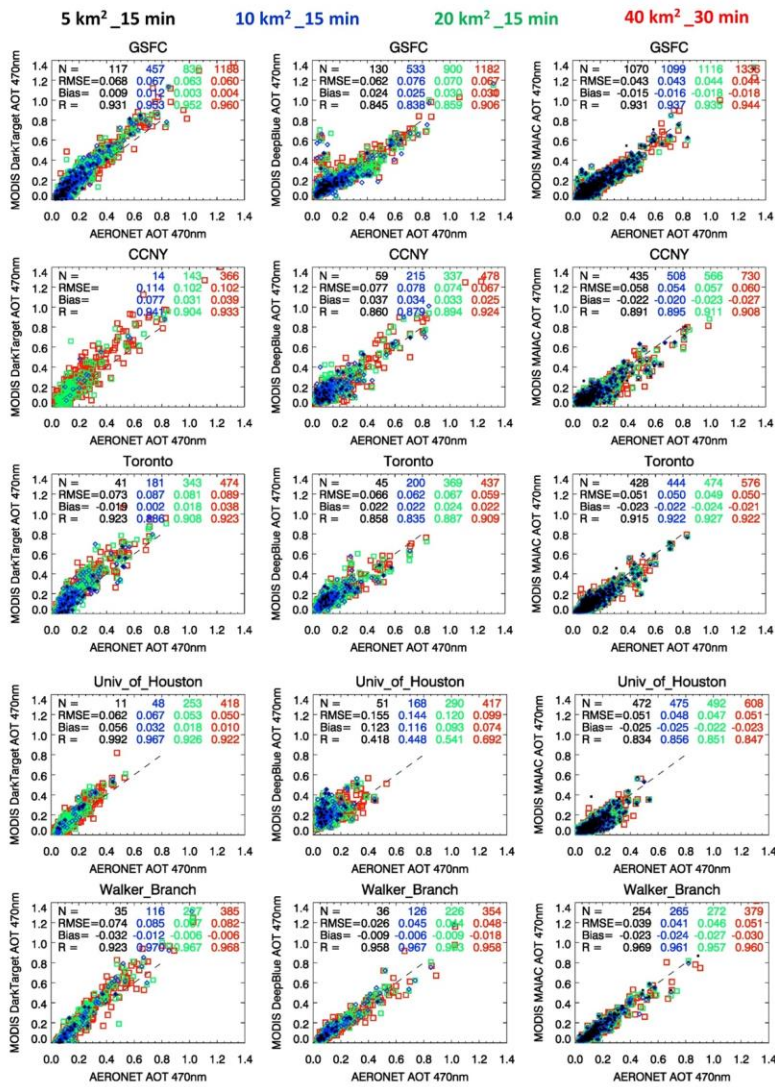


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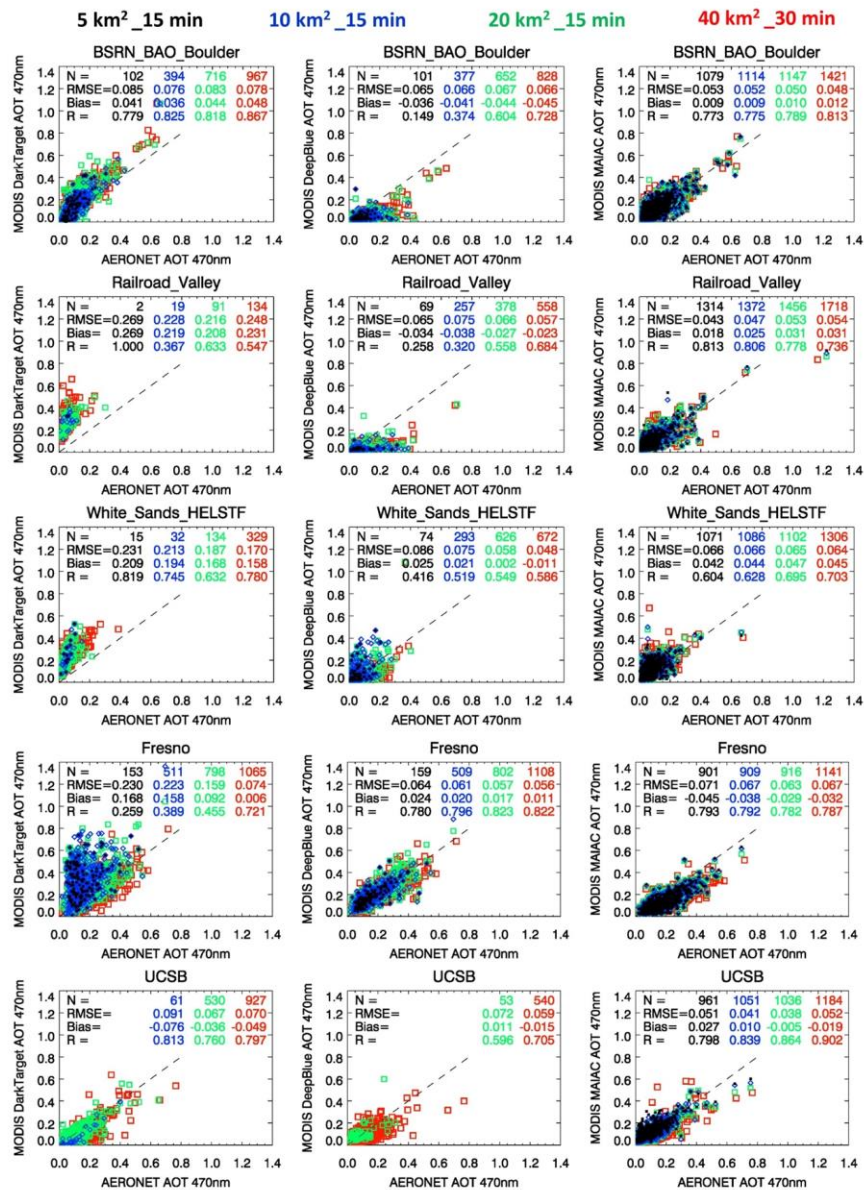


Figure 3 As in Figure 2 but for AERONET sites located in the western N. A.

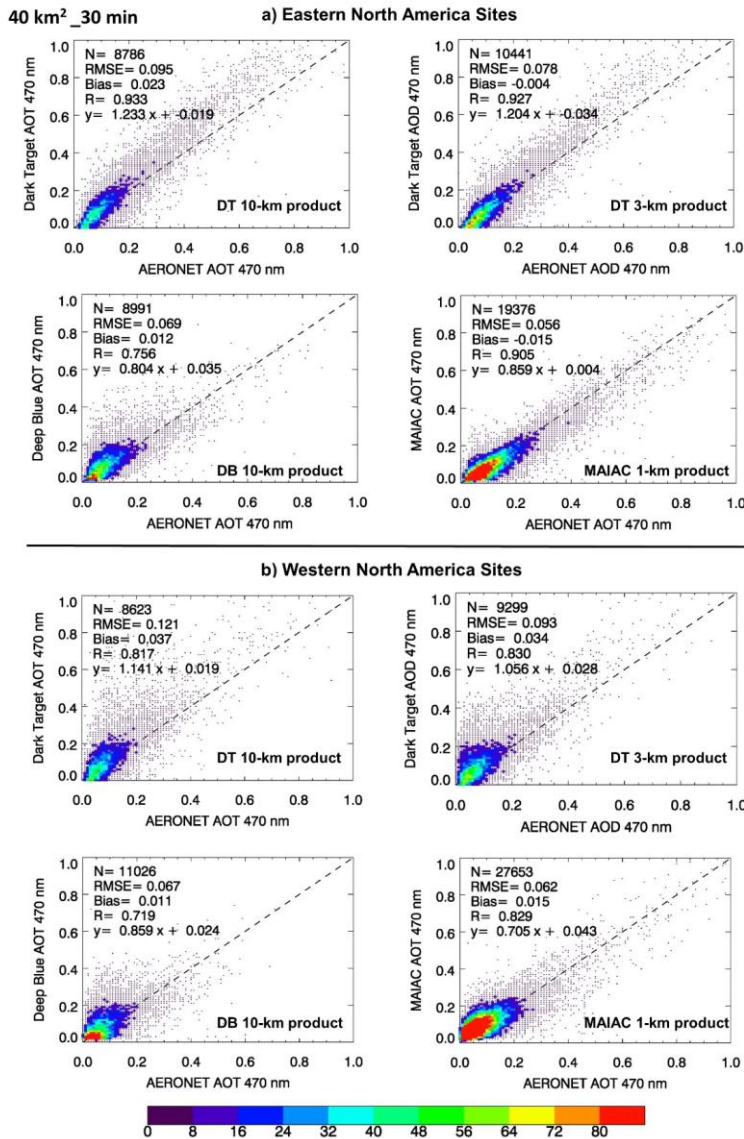


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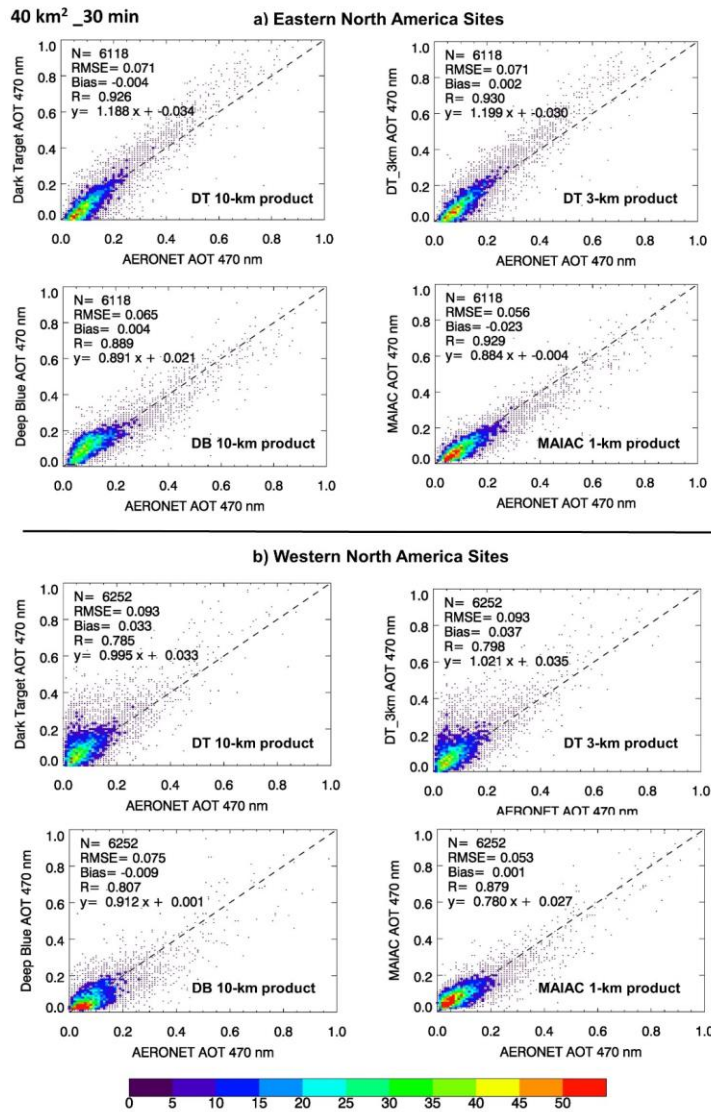
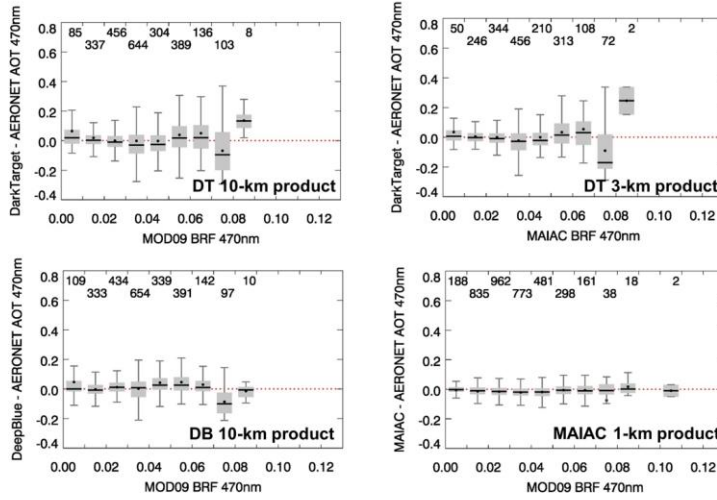


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40 km²_30 min

a) Eastern North America Sites



b) Western North America Sites

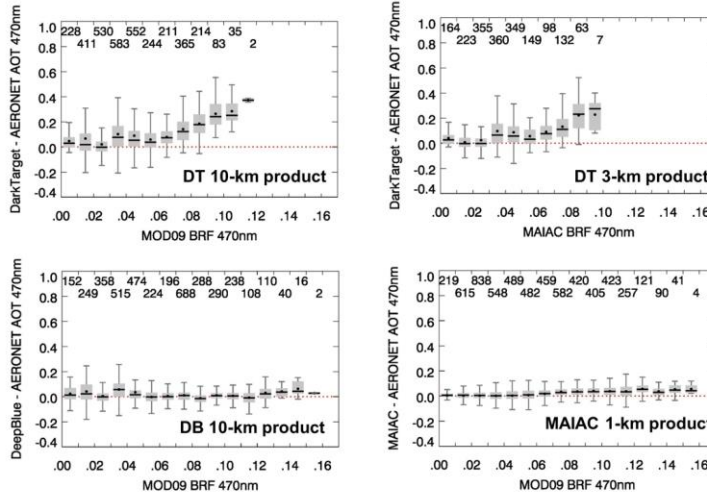
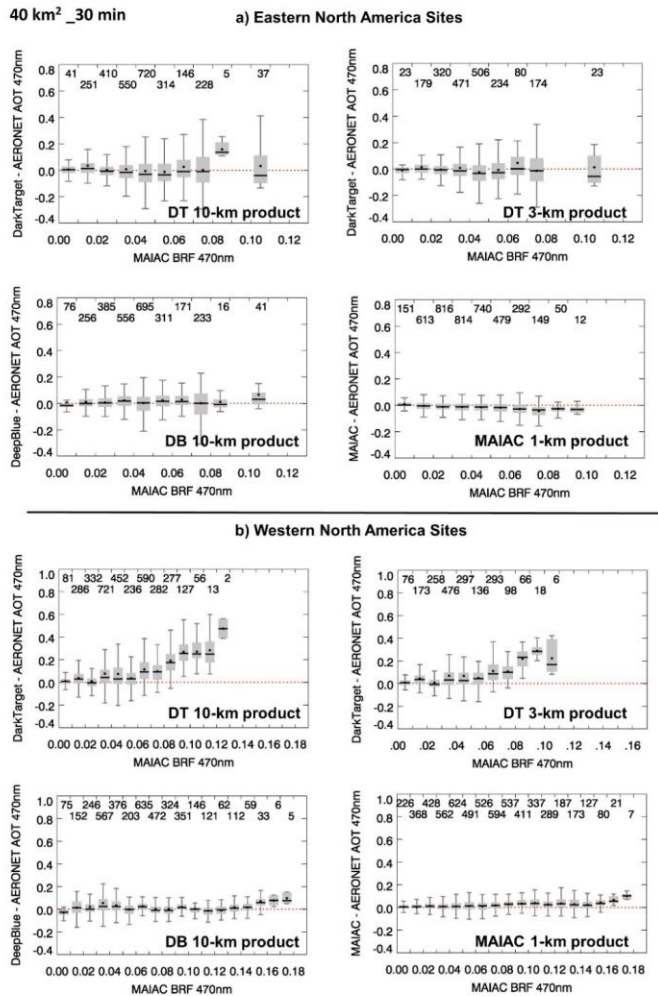


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5

Supplementary Figure



Supplementary Figure 1 Difference in AOT (470 nm) between MODIS and AERONET as a function of coincident bi-directional reflectance retrievals (470 nm) from MODIS-MAIAC product for eastern NA (a, top) and western NA (b, bottom). Data are represented as a box-and-whisker plot with the thick horizontal line as the median, black dot as mean, shaded boxes are covering 75 and 25 percentiles, and vertical lines as 1.5 times the interquartile range (25-75 percentile). The number of matchups for each bin is given at the top of the plot.

5

Response to the comments and suggestions from Referee # 1

Dear Reviewer,

5 Thanks for offering your valuable comments on our manuscript # amt-2019-77. We have tried our best to incorporate all your suggestions, which have greatly improved the scientific merit of the paper. In the revision, two important and major changes have been applied according to the suggestions made by Reviewer # 3. These changes include,

- 10 1) use of the latest AERONET version 3 dataset (instead of version 2 used in the original paper)
2) replacement of MAIAC BRF dataset with the MODIS standard BRF product (MOD09) in performing error characterization vs. BRF shown in Figure 6.

15 With these two changes, the entire analysis presented in the paper was reperformed to derive results tabulated in Table 3, 4, and Figure 1 through 6. While using AERONET version 3 dataset provided increased matchups and marginal change in the resultant statistics of the comparison (R, RMSE, bias, slope, intercept), the overall interpretation and conclusion of the MODIS-AERONET comparison for all three algorithms, i.e., DT, DB, and MAIAC, presented in the original paper haven't altered.

20 Following is the one-to-one response to each comment/suggestion made on the submitted manuscript.

25 **RC: Referee's comment**

AR: Author's response

30 RC: Throughout the paper: I suggest to replace GOES R/S with now standard GOES 16/17.
AR: Suggestion considered in the revision.

RC: P. 3, Ln. 20: "The combination of sub-kilometer spatial resolution" It is 500m only in the Red band. Vis-NIR bands are at 1km, and 2.25um is at 2km resolution.
AR: The sentence is revised as,
35 "The combination of 500 m to 2 km spatial resolutions and multispectral observations in the visible to shortwave-IR make the ABI an optimum sensor for the derivation of an aerosol optical thickness (AOT) product..."

40 RC: Ln. 28: Replace "Spectrometer" with Spectroradiometer for both MODIS and MISR
AR: Corrected.

RC: P.6, Ln.20: Please, replace "061" with 6.0. Also, everywhere through the paper: MODIS DB and DT are Collection 6.1. MAIAC currently is Collection 6.0.

AR: Corrected.

RC: Ln.11: "MAIAC considers two discrete aerosol models": This is correct for a given location. However, MAIAC has 7 different regional aerosol models for different regions of the world. Besides, the DT algorithm tries to mix the background model with the dust model resulting in the fine mode fraction, whereas MAIAC uses either the background model or the dust model, if the dust has been detected.

Also, since the algorithm has rather significantly changed from 2011 to 2018 publications, I suggest that the initial reference adds the 2018 paper which really represents the MAIAC dataset used in this study.

AR: The suggested information on MAIAC's choice of aerosol model and a reference of Lyapustin et al. (2018) are clarified in the revised paper.

"MAIAC considers two discrete aerosol models, i.e., background and dust for a given location, similar to the ones adopted in MODIS dark target algorithm (Levy et al., 2007). However, MAIAC prescribes 7 different regional aerosol models for different regions of the world and uses either background model or dust model, if the dust aerosols are detected."

"The MAIAC aerosol dataset used in the present study is derived using the latest Collection 6.0 version of the algorithm documented in Lyapustin et al. (2018), for which the AOT accuracy can be evaluated as $\pm(0.05+15\%)*AOT$ or even better $\pm(0.05+10%)*AOT$ as shown in a global validation analysis."

RC: P.6, Ln26: "designed to do aerosol remote sensing". I suggest you remove this part as it doesn't sound right. It is ground-based sunphotometry, and "remote sensing" is usually associated with satellites.

AR: Both AERONET and satellite do remote sensing of aerosols albeit the former does it from ground, whereas the latter from space. To avoid the possible confusion, the sentence is modified as,

"The Aerosol Robotic Network (AERONET) project is a ground-based federated network of globally distributed Cimel Sun photometers designed to measure aerosol optical and microphysical properties (Holben et al., 1998)."

RC: Ln.30: What is "radiative" properties?

AR: We meant "radiative" as the properties of aerosols largely determining the aerosols forcing on climate. Fundamentally, the measures of aerosol such as AOT, SSA, and asymmetry parameter are the driving intrinsic properties that play a key role in modulating aerosol forcing. The sentence is now simplified by removing "radiative properties".

"...and readily accessible public domain database of aerosol optical and microphysical properties."

RC: P.7, Ln.10: Given resolution of DB and DT is for the nadir only, it grows with the scan angle. “While AOT from all three aerosol products corresponds to an area intercepted in their respective spatial grid cells representing the atmospheric conditions over a small region, the direct measurements of the spectral AOT from AERONET sunphotometer are columnar point measurements.” - It is not clear what you are saying, please re-write.

AR: The sentence is revised as,

“While all three aerosol products report AOT at their respective nadir spatial resolutions, i.e., 10 km and 3 km for DT, 10 km for DB, and 1 km for MAIAC, representing the atmospheric conditions over the respective area intercepted at the ground,...”

RC: Table 1 (MAIAC): Replace collection with 6.0, and remove “at nadir”. MAIAC gives 1km² everywhere.

AR: Corrected.

RC: Table 2: Add +/- for the time interval. I don’t understand the name “Spatial Grid km²” – is this the box size? The Figure 1 is very clear, but the name of the column, and also the description of the time-space collocation in the paper are very fuzzy. It will help if you improve the description.

AR: +/- sign added to the time window column. “Spatial Grid km²” replaced with “Grid box size in km²”. While we believe that the description of the collocation approach and spatiotemporal windows is adequate, we tried to improve the clarity by modifying the text in section 2.5

RC: Fig.5, Caption: remove “combinedly”

AR: Figure 5 caption is revised as,

“Scatterplots comparing MODIS-AERONET AOT matchups obtained over all sites located in eastern NA (top panel) and western NA (bottom panel).”

RC: P.9, Ln.21: “relatively better statistics”: This is a significantly better statistics. How do you define “relatively”?

AR: We meant relatively w.r.t to the MODIS-AERONET statistical comparison obtained from other two aerosol algorithms.

RC: P.11, Ln.2: The use of “relatively highest” is confusing: it is either highest or not. Also, MAIAC slope 0.87 over Eastern USA seems to be closer to 1 than the slope of DT (1.17) – doesn’t it?

AR: The sentence is revised as,

“Given the simultaneous measurements of AOT and equal sampling among the three algorithms, MAIAC provides highest correlation (0.9 and 0.84) and lowest RMSE (0.053 and 0.052) over eastern and western NA sites, respectively”

RC: P. 11, Ln.22: The DB algorithm does not “assume” surface reflectance. The monthly surface reflectance database, binned over scattering angles, is derived from the previous years of measurements using the minimum reflectance method. In this sense, MAIAC approach is

methodologically similar, though it derives SR spectral ratios via dynamical time series analysis from the latest measurements (on the fly).

AR: The surface reflectance (SR) dataset used in the DB algorithm is created from the full time-series and revised during each reprocessing. The SR dataset is essentially based on minimum reflectivity approach and binned by scattering angle, season, and NDVI with no time dimension except for the seasonal split. Over vegetated surfaces, DB follows the spectral ratio approach similar to that of DT. The hybrid method scales SR by regional BRDF shape, based on atmospheric correction near AERONET sites. We have further clarified this in the DB data section.

RC: P. 12: Just to note that Superczynski et al. 2017 (JGR) found similar dependence on SR in comparison of VIIRS (a version of DT approach) and MAIAC.

AR: The findings of Superczynski et al. (2017) supporting our results are mentioned in the revision as,

“Superczynski et al. (2017) further supports our findings using the AOT validation results of the Suomi-NPP Visible Infrared Imaging Radiometer Suite (VIIRS) aerosol algorithm essentially basing on the DT approach, where VIIRS-derived AOTs are found to be bias significantly higher w.r.t to AERONET measurements over North America at larger values of coincident MAIAC-retrieved surface reflectance.”

Response to the comments and suggestions from Referee # 2

Dear Reviewer,

5 Thanks for offering your valuable comments on our manuscript # amt-2019-77. We have tried our best to incorporate all your suggestions, which have greatly improved the scientific merit of the paper. In the revision, two important and major changes have been applied according to the suggestions made by Reviewer # 3. These changes include,

- 10 1) use of the latest AERONET version 3 dataset (instead of version 2 used in the original paper)
2) replacement of MAIAC BRF dataset with the MODIS standard BRF product (MOD09) in performing error characterization vs. BRF shown in Figure 6.

15 With these two changes, the entire analysis presented in the paper was reperformed to derive results tabulated in Table 3, 4, and Figure 1 through 6. While using AERONET version 3 dataset provided increased matchups and marginal change in the resultant statistics of the comparison (R, RMSE, bias, slope, intercept), the overall interpretation and conclusion of the MODIS-AERONET comparison for all three algorithms, i.e., DT, DB, and MAIAC, presented in the original paper haven't altered.

20 Following is the one-to-one response to each comment/suggestion made on the submitted manuscript.

25 **RC: Referee's comment**
AR: Author's response

General comments:

30 RC: I suggest to add "over North America" to the title to clearly identify the scope of the study already in the title.

AR: Following the suggestion, the title of the manuscript has been revised as,

35 "Accuracy Assessment of MODIS Land Aerosol Optical Thickness Algorithms using AERONET Measurements over North America"

RC: Please make sure to use consistent terminology: Through most of the paper you use "aerosol optical thickness", but in few places (conclusion, fig. 2) you use "aerosol optical depth"

40 AR: we adopt aerosol optical thickness (AOT) terminology throughout the revised manuscript, i.e., in text as well in figures/legends.

5 RC: In the abstract and the introduction TEMPO / ABI as future perspective get too much weight and then in the paper it only appears again in the conclusion which may mislead a user on the scope of the paper. I therefore suggest to shorten this part (p. 1 / l. 11-16) in the abstract and put it at the end of the abstract (near p. 2 / l. 7/8) under future perspective. From the introduction I recommend to shift the part p. 3 / l. 3-24 to the conclusion, where it may get more attention.

10 AR: The research work presented in the submitted paper was conducted as a part of the Geo-CAPE Aerosol Working Group at NASA Goddard in the context of evaluating existing aerosol algorithms for its possible application to the TEMPO/ABI synergy. The context has been adequately referred to in the abstract in order to highlight the objective of the paper, as well as in the Introduction (first two paragraphs) to begin with the motivation, and finally in the conclusion to close the loop.

15 **Detailed comments:**

RC: p. 1 / l. 17: delete "of"

AR: Corrected as,

20 "In this work, we evaluate three distinct aerosol algorithms of MODIS deriving aerosol optical thickness (AOT) over land surfaces using visible and near-IR observations."

RC: p. 1 / l. 28: add "allows FOR a"

AR: Corrected as,

25 "The higher spatial resolution of MAIAC product (1 km) allows a substantially larger number of matchups..."

RC: p. 2 / l. 3: write "show" instead of "showed" to remain consistent in tense with the first part of the sentence

AR: Corrected.

RC: p.3 / l. 14: explain "PM"

AR: PM is defined as "particulate matter"

35 RC: p.3 / l. 5: "we investigate the applicability to ABI observations" – I find this misleading since the paper neither analysis ABI datasets nor discusses relevant differences and similarities of MODIS and ABI in much detail. This is why I recommend to shift the discussion on the strategic potential into the conclusions.

AR: The sentence is now revised as,

40 "In this paper, we evaluate the accuracy of the available multi-year long records of AOT products derived by the three MODIS algorithms by a direct comparison to ground-based observations from the Aerosol Robotic Network (AERONET) at multiple sites in North America-an area or regard for both ABI and TEMPO field-of-views."

RC: p. 5 / l. 1+2: delete "appropriate" (as vague wording); delete "are"

AR: The sentence is revised as,
“Each valid retrieval is assigned with a quality assurance confidence flag (QAC) with best retrievals tagged as QAC=3.”

5 RC: p. 5 / l. 3+4: is should be “over land” and “over ocean”, without “the”
AR: Corrected.

RC: p.5 / l.11: replace “greater” by “larger”

AR: The sentence is re-written as,

10 “The expected error associated with the 3-km aerosol retrievals over land globally is found to be 0.01 to 0.02 higher than that of 10-km product (Remer *et al.*, 2013).”

RC: p.5 / l.22: replace “greater” by “larger”

AR: Changes accepted.

15

RC: p.5 / l. 24: delete “The” at the beginning of the sentence

AR: Corrected.

RC: p. 6 / l.17: use singular: “Each valid : : : retrieval : : :”

20 AR: Corrected.

RC: p. 7 / l. 2-5: this sentence is too long; you can delete “to evaluate – aerosol algorithms” at its end and split the remaining sentence into two parts.

AR: Changes accepted.

25

RC: p. 7 / l. 12: “: : : retrieves and reports...”

AR: Corrected.

RC: p. 7 / l. 12: delete “spatial grid” at the end

30 AR: Changes accepted.

RC: p.7 / l. 22: delete “the” (ground truth)

AR: Corrected.

RC: p. 8 / l. 4 it should read “out of A total”

35 AR: Corrected.

RC: p. 8 / l. 13: I would write “we choose 470 as reference wavelength common to all three...”

AR: The sentence is re-written as,

40 “..we choose 470 nm as a reference wavelength due to the fact that all three algorithms actually retrieve AOT at this common wavelength.”

RC: p. 8 / l. 19: is there no q/a flag for MAIAC? If so this should be stated.

AR: MAIAC aerosol product does provide quality flags for each 1-km pixel retrieval, which is mentioned in section 2.3. We use best quality retrieval pixels which are free of cloud contamination.

5 RC: p. 8 / l. 29: use singular “matchup”
AR: Corrected.

RC: p. 9 / l. 4: I would write “under-estimated” – otherwise it may be miss-understood that MAIAC AOTs are under-estimated by AERONET

10 AR: Corrected.

RC: p. 9 / l. 1-3: I cannot find those numbers (0.04 – 0.12) in fig. 2 and also in tab. 3 the ranges of all stations are wider (MODIS DT bias -0.07 ...0.10).

15 AR: Since the statistical comparison results printed in Figure 2 are site dependent, the sentence has been simplified without mentioning the numbers as follows,

“While the AOT retrievals from all three algorithms are generally well-correlated ($R > 0.90$) with those of AERONET, MAIAC AOTs are found to be slightly under-estimated, albeit with the lowest RMSE and the largest number of matchups among the three algorithms.”

20

RC: p. 9 / l. 18: use singular “AOT matchups”
AR: Corrected.

RC: p. 9 / l. 22: replace “greater” by “larger”; delete “the” after the comma fig. 2 and fig. 3: the spatial matching criteria are indicated as $=0.4 \times 0.4$ (misleading to degrees), while the text reads them as $40 \times 40 \text{ km}^2$ – this inconsistency should be corrected

25 AR: “greater” is replaced with “larger”

The spatial matching criteria are mentioned in km^2 consistently throughout the manuscript including figures.

30

RC: p. 10 / l. 11: replace “greater” by “larger”; also two times “the” is missing in this sentence (“of the DT algorithm”, “the satellite-ground”

AR: Corrected.

35 RC: p. 10 / l. 21: replace “with MAIAC” by “for MAIAC”

AR: Corrected.

RC: p. 11 / l. 2: use present time “provides”

AR: Corrected.

40

RC: p. 11 / l. 10+11: delete “the” before “retrieval” and “satellites”

AR: Corrected.

RC: p. 12 / l. 3: delete “the” before “leaf area”

AR: Corrected.

RC: p. 12 / l. 4: add "the" before "MAIAC surface"

AR: Corrected.

5

RC: p. 12 / l. 10: delete "the" before "box and"

AR: Corrected.

RC: p. 12 / l. 17: correct to "remaining"

10 AR: Corrected.

RC: p. 13 / l. 15-17: I suggest to swap 1 and 2 (same order as in the paper body) and to add "for each algorithm" to the "independent comparison against AERONET"

AR: Suggestion accepted and included in the revision.

15

RC: p. 13 / l. 21: correct "an RMSE" instead of "and RMSE"

AR: Corrected.

RC: p. 13 / l. 23: use "remain" instead of "remained"

20 AR: Corrected.

RC: p. 13 / l. 23: "similar" is sufficient (i.e. delete "almost")

AR: Corrected.

25 RC: p. 13 / l. 24: use "is" instead of "was"

AR: Corrected.

RC: p. 13 / l. 26: replace "greater" by "larger"

AR: Corrected.

30

RC: p. 13 / l. 26: add "the" before "DT algorithm"

AR: Corrected.

RC: p. 14 / l. 1: use "show" instead of "showed"

35 AR: Corrected.

RC: p. 14 / l. 3: use "provides" instead of "provided"

AR: Corrected.

40 RC: p. 14 / l. 4: use "than" instead of "that"

AR: Corrected.

Response to the comments and suggestions from Referee # 3

Dear Reviewer,

5 Thanks for offering your valuable comments on our manuscript # amt-2019-77. We have tried our best to incorporate all your suggestions, which have greatly improved the scientific merit of the paper. In the revision, two important and major changes have been applied according to the suggestions made by Reviewer # 3. These changes include,

- 10 1) use of the latest AERONET version 3 dataset (instead of version 2 used in the original paper)
2) replacement of MAIAC BRF dataset with the MODIS standard BRF product (MOD09) in performing error characterization vs. BRF shown in Figure 6.

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20 Following is the one-to-one response to each comment/suggestion made on the submitted manuscript.

RC: Referee's comment

25 **AR: Author's response**

Minor comments:

30 RC: P1 L12: full name of GOES R/S
AR: The full name of GOES, Geostationary Operational Environmental Satellites, is referred in the abstract

35 RC: P1 L15: change "spectral coverage" to "wavelengths"
AR: The suggestion is considered.

RC: P1 L16: change "currently used" to "existing"
AR: The suggestion is considered.

40 RC: P1 L17: change "existing" to "three" and change "that of derive" to "derived"
AR: The sentence has been revised according to the suggestion.

RC: P1 L20: full name of "Aqua-MODIS"
AR: MODIS is defined earlier in the abstract.

5 RC: P1 L20: change "carried out an independent evaluation of" to "evaluated"
AR: Suggestion considered in the revision.

RC: P1 L21: change "the retrieved AOT" to "satellite retrieved AOT"
AR: Changed.

10 RC: P1 L24: are they really "consistently"? later you mentioned different criteria of pixel selection were used?

AR: We meant that the collocation procedure was applied to all three algorithms as identically as possible. Table 2 lists the configuration adopted for both satellite and ground datasets. For AERONET, the required minimum number of AOT measurements was set to 2 irrespective of the size of spatio-temporal window. The minimum number of satellite observations is selected depending on the size of spatial window. For DT-3km, DB, and MAIAC, the thresholds in min. number are set identical, whereas for the DT-10km product, the thresholds are relaxed to half to allow more matchups since a dark target algorithm uses limited number of 500m pixels in the retrieval after discarding 20% darkest and 50% brightest pixels in 10 km grid box.

20 RC: P1 L25: remove "while" and P1 L26: change the "MAIAC algorithm" to "and the MAIAC algorithm"

AR: The sentence is now restricted according the suggestion.

25 RC: P1 L28: change "finer" to "higher"

AR: The sentence is now rewritten as "The higher spatial resolution of MAIAC product (1 km) allows..."

RC: P2 L1: is it really "error"

30 AR: The AERONET AOT due to its high accuracy (~0.01) is considered as ground-truth, and therefore, the difference between satellite and ground AOTs is treated as error in the satellite retrievals.

RC: P2 L2-3: refer to major comment

35 RC: P2 L2-3: these sentences are too general presented in abstract.

AR: Here, we close the abstract by emphasizing the usefulness of derived results, which may provide a guidance in the development of the aerosol algorithms for the aerosol retrievals from ABI or other MODIS-like sensors.

40 RC: P3 L6: what suspended particle means here? PM concentration? If so, how the vertical profile can be derived from ABI?

AR: One of the goals of TEMPO-GOES synergy is to retrieve the mean aerosol layer height and single-scattering albedo using information in the near-UV from TEMPO by constraining the observed AOD (interpolated to near-UV) from ABI.

5 RC: P3 L14 – 16, this is not really accurate, the problem to get PM is to describe the vertical profile of aerosol and the humidity dependence of particle growth with respect to humidity.

AR: We concur with the understanding here that neither TEMPO nor ABI can alone provide detailed vertical profiles of aerosols. However, the synergy between the two sensors can offer the mean aerosol layer height retrieved using information from the near-UV wavelengths with a
10 constrain of AOT obtained from ABI using visible channels. The combined information of columnar AOT and aerosol layer height, therefore, help estimate the PM load when used as an input to the computation scheme equipped with other assumptions of meteorological variables including relative humidity. The sentences following to this claim in L14-L16 clearly states that the role of synergy between the two sensors.

15 RC: P3 L26 “over the globe” to “globally”
AR: Changed.

RC:P3 L27 “land and oceans” to “land and ocean”
20 AR: Changed.

RC: P4 L4, several sentence for the “similarities” and “differences” of those three algorithms have to be described.
AR: Since detailed description of each algorithm (DT, DB, MAIAC) is given in papers published by
25 the respective groups, we exercise brevity here and refer the readers to these paper for accessing details of each algorithm. However, we have tried to describe the major components of each algorithm, i.e., aerosol model and surface characterization, in the paper.

30 RC: P4 L5, refer to major comment 1, a quick search online, we can already find similar work over other regions, if we focus over NA, there are much more publication for either two or single product(s) of them.

35 Lyapustin, A., Wang, Y., Hsu, C., Torres, O., Leptoukh, G., Kalashnikova, O., Korkin, S., 2011b. Analysis of MAIAC dust aerosol retrievals from MODIS over North Africa. AAPP Phys. Math. Nat. Sci. 89. ELS XIII Conference, Vol. 89, Supplement No 1

Liu, N., Zou, B., Feng, H., Tang, Y., and Liang, Y.: Evaluation and comparison of MAIAC, DT and DB aerosol products over China, Atmos. Chem. Phys. Discuss., <https://doi.org/10.5194/acp-2018-1339>, in review, 2019. P4 L15-1.
40

how DT separate land and ocean? And there is no description of ocean algorithm in this section.

AR: We concur with the reviewer that several papers before ours have validated MODIS DT and DB aerosol products, either together or alone, over different parts of the world, including North America. However, to our knowledge, our paper is the first attempt comparing all three existing aerosol products (DT, BD, MAIAC) simultaneously following a near-identical collocation approach against AERONET over North America region.

Since the main objective of the paper was to validate satellite retrievals of AOD over land, no emphasis was given to the over-ocean algorithm and its description in the manuscript.

RC:P4 L19-20, I suggest re-write this sentence, the assumption is the impact of fine mode aerosol to 2.1 μm is ignorable

AR: The sentence has been re-written as,

“The over-land DT algorithm exploits the top-of-atmosphere (TOA) reflectance measurements in three MODIS bands, i.e., 470 nm, 670 nm, and 2130 nm to simultaneously derive AOT at all three channels with an underlying assumption that the impact of fine mode aerosol to 2130 nm signal is ignorable, and that the 2130 nm channel contains information about coarse mode aerosol as well as the surface reflectance”

RC: P4 L25, how “cloudy pixels” detected? A reference is needed.

AR: A sentence mentioning the references and primary method to screen the cloudy pixels is added here. Since these references and ATBD describe cloud masking adequately, we don’t include its details in this paper.

“The DT over-land algorithm screens cloudy pixels following a series of tests that rely on using absolute magnitude and spatial variability at 470 nm (500 m resolution) and 1380 nm (1 km resolution), the details of which are given in *Martins et al., (2002)* and *Levy et al., (2013)*.”

RC: P4 L26-28, aerosol type in DT is a location-time dependent prescribed type.

AR: The sentence is re-written to reflect location-time dependent aerosol type feature of DT algorithm as,

“DT is essentially a look-up table search algorithm which combines the pre-calculated spectral reflectance of the location-time dependent aerosol models comprised of dominant fine and coarse modes with a proper weighting to represent the ambient aerosol properties over the target.”

RC: P5 L1, how “best match” is found?

AR: The sentence is re-written as,

“The weighted-average spectral LUT reflectance values are compared against the TOA spectral measurements of MODIS to find the best match in AOT yielding least square difference between simulated and observed reflectances.”

5 RC: P5L13, here the ocean algorithm suddenly appears
AR: The sentences have been simplified as,

“The expected error associated with the 3-km aerosol retrievals over land globally is found to be 0.01 to 0.02 higher than that of 10-km product (*Remer et al., 2013*).”

10 RC: P5 L18, there is no “AOT over vegetated” in Hsu et al (2004)
AR: The sentence is now corrected as,

15 “...where the surface reflectance over land is relatively lower than that at longer visible wavelengths, to retrieve the column AOT over bright surfaces (*Hsu et al., 2004*) as well as vegetated areas (*Hsu et al., 2013*).”

RC: P5, L24 – 26, the dust screening should be mentioned
AR: Additional information on dust screening is added as,

20 “The enhanced second generation of DB algorithm identifies mineral dust aerosols based on the brightness temperature difference between infrared channels 8.6 μm and 11 μm as dust often produces stronger absorption at 8.6 μm than that at 11 μm providing a robust way to detect strongly absorbing dust such as the silicates (*Hsu et al., 2013*).”

25 RC: P6, L3, “Hsu et al., (2013)” to “Hsu et al. (2013)”
AR: Corrected.

RC: P6 L21, “ $\pm 0.05 \pm 0.15 * \text{AOD}$ ” to “ $\pm (0.05 + 15\%)$ ”, and harmonize AOT, AOD in the manuscript.
30 AR: Corrected. Also, we adopt aerosol optical thickness (AOT) terminology throughout the manuscript.

RC: P7 L3, refer to major comment 2, why version 2?
AR: At the time of performing the present analysis (2016-2018), AERONET version 3 dataset wasn’t published to the general public, and therefore not used. However, since now a complete version 3 data is available for use, we have re-performed the entire validation analysis using the latest AERONET v3 dataset.

RC: P8 L10 – 13, please check what the DT and DB retrieve? No AOT at 550 nm?
40 AR: None of the three algorithms retrieve AOT at 550 nm. The DT algorithm retrieves and reports AOT at 470, 660, and 2130 nm, DB retrievals are available at 412, 470, and 660 nm, and MAIAC retrieves AOT at 470 nm and reports it at 550 nm.

RC: P9 L3 -5, why?

AR: We believe that the overestimation in AOT shown by DT algorithm could primarily be due to the following few reasons: 1) inadequate characterization of surface reflectance, 2) choice of aerosol model, 3) non-optimum selection of 500 m resolution pixels, and 4) some minimal cloud contamination in the aerosol pixels (although it can't explain the totality of the overestimation).

5 The main objective of the paper is to report the validation results instead of diagnosing the errors in detail. It is up to the algorithm development teams to analyze these results and figure out the probable causes of errors.

RC: P9 L6, what is "better statistics" and why better? P9 L10 – 25, again, why?

10 AR: We meant here that the measures of comparison, i.e., RMSE, bias, and slope-intercept of satellite vs. ground AOTs, are relatively better or improved. For instance, lower RMSE, bias, and intercept against little higher ones.

RC: P9 L10 – 25, again, why? The authors need more explanations rather than simply list the statistics.

15 AR: Regarding why better comparison, please refer to the response given just above.

RC: Section 3.3, refer to major comment 3, I think the authors need to use an independent surface product.

20 AR: We have replaced MAIAC surface BRF database with MODIS standard MOD09 product to reproduce revised Figure 6 showing error characterization as a function of surface BRF. The original Figure 6 using MAIAC BRF is now placed under supplementary material.

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