The authors thank Referee #1 for the helpful review which has helped to clarify and improve this paper. Please see our replies below.

Page 3 line 34 and Page 8 line 15: the way growth-factor is estimated and how is applied to correct the VSD is not clearly presented on the paper. I reckon that some ideas can be gained in the study by Gasso et al. (2000), but due to the importance of this particular issue in the present work, I would strongly suggest including the corresponding explanations here. This may clarify the role of scattering and absorption coefficients measured by the nephelometers and PSAP. On the other hand, the authors could also consider adding a table with the wavelengths used and the aerosol parameters measured or/and retrieved by the different instruments in the study. For instance, the reader needs to guess that the absorption coefficients are measured by PSAP instrument, however, I think that it could be clearly stated in the manuscript as well as the utility of those measurements.

This description has been significantly expanded with the following:

The effect of aerosol humidification on observed differences in the aircraft and AERONET comparisons was estimated using a simple particle growth factor for each UHSAS sample from LARGE. The growth factor depends on the differences between dry and ambient scattering using auxiliary data from on-board nephelometer and Particle Soot Absorption Photometer (PSAP) data. It is well known that a significant fraction of the aerosol volume consists of condensed water under elevated relative humidity conditions, which needs to be accounted for when comparing the AERONET-retrieved volume at ambient humidification to the LARGE size distributions measured at dry (<20% RH) conditions. A correction for this was made by scaling the LARGE dry size distributions by an effective growth factor, g, that is derived from coincident scattering measurements and Mie Theory following the methodology of Sawamura et al. (2016) Implicit in the use of an effective growth factor is that the aerosol is internally mixed and its composition does not vary with size - i.e., the entire size distribution can be shifted by a single scale factor, g. The first step in the growth factor computation is to use the measured dry aerosol size distribution and measured dry scattering and absorption coefficients to compute the dry aerosol refractive index using Mie Theory. This dry refractive index is then used with the measured humidified scattering coefficient and Mie Theory to iteratively solve for the effective growth factor, assuming that the humidified aerosol real refractive index is the volume-weighted average of the dry particle refractive index and that of pure water (1.33 - 0i). Sawamura et al. found that the measured in situ aerosol volume and the measured ambient HSRL vertically-resolved retrieval aerosol volume were in excellent agreement once the effective growth factors were used to convert the dry in situ measurements to ambient humidification values. This size invariant growth factor, scaled by scattering coefficient and averaged for each profile, was used to scale the particle sizes for the dried aircraft aerosol volume size distribution to better approximate the same columnar ambient VSD as provided by the AERONET retrievals.

In the page 7 the authors say that the relatively large differences found for California winter campaign cannot be attributed to the hygroscopic growth since the humidity was too low. They suggest that the explanation could be associated to the high presence of aerosols in low atmospheric layers. My question here: is there any trend indicating that

the VSD for heights smaller than 170 m present a peak in smaller radii? In other words, if we assume some continuity in the aerosol vertical distribution, and we observe the VSD at 280 m (or higher) and those at 170 m, is any tendency observed towards smaller radii?

While the column water vapor was relatively low for the winter California campaign, we didn't indicate that the relative humidity was low. We also noted (page 8, page 12) that hygroscopic particle growth due to persistent fog such as was intermittently observed in the San Joaquin valley was a plausible source of larger particles near the surface for several sites. There were numerous fog events over large areas of the study region where relative humidity was at a maximum and large fine mode particle radius consistent with fog processing of aerosol has been documented during this campaign with both ground-based observations and HSRL measurements. For instance, the time series of retrievals from the Porterville site on Feb 4, 2013 which showed significant temporal trends in size distribution (decreasing radius) following the dissipation of fog. We have also added the average maximum relative humidity observed for the profiles in each regional campaign to Table 1.

In this paper, we had speculated that some of the cases of larger disparity in VSD metrics for the California campaign might be due to the aircraft sampling missing a significant portion of the lower atmosphere when the aerosol layer was guite shallow. We looked at this further in response to your question. While most profile locations had higher minimum altitudes, there were a few sites that relied on missed approaches (false landings at an airport) to acquire more complete sampling of the atmosphere. We examined the LARGE/AERONET comparisons for these missed approach locations with the comparisons from the more common sites with less deep profiles. While we found some cases where there was a significant increase in fine mode peak size at a low level (well below the minimum flight altitude of most CA sites which would therefore would be missed by aircraft sampling), we did not observe a general tendency for better LARGE/AERONET VSD metrics for the complete, deep profiles. This doesn't preclude the possibility that aircraft profiles from sites with higher minimum altitudes are occasionally missing humidity enhanced aerosol to which the columnar observations from AERONET are sensitive. However, we now feel that this scenario is not a primary cause of the greater disagreement and we have indicated this in the paper.

Page 11 line 2. The authors suggest that sensitivity to the fine mode concentration in AERONET standard inversion is worse than for the radius and standard deviation of the fine mode. I think that the sentence should be either reformulated or well referenced. For instance, that result cannot be inferred from the study "Accuracy assessments of aerosol optical properties retrieved from Aerosol Robotic Network (AERONET) Sun and sky radiance measurements" by Dubovik et al. 2000.

The uncertainty of volume concentration for fine mode aerosols is closely related to the uncertainty in the real part of refractive index. AERONET retrievals of the real part of refractive index are sensitive to both measurement noise and instrument offset. Dubovik et al (2000) discusses only the effect of instrumental offsets on aerosol inversions. However observed variability in the retrievals of the real part is stronger than suggested in Dubovik et al (2000). This is due to both low retrieval sensitivity to the real part of the refractive index, and due to measurements being affected not only by possible instrumental offsets (such as

instrument calibration) but also affected by other somewhat random factors such as atmosphere inhomogeneity.

The variability in the retrieved real part of refractive index is counterbalanced by the variability in the retrieved volume concentration of the fine mode in the AERONET inversion algorithm (Sinyuk et al. 2019, in preparation). The inversion algorithm will reliably provide a highly accurate fit of extinction AOD (within 0.01 at four wavelengths) plus directional sky radiance distributions for each almucantar while introducing a potential additional source of uncertainty to the volume concentration and real refractive index retrievals. Due to somewhat random variability in retrieved real part of refractive index the variability in the retrievals of volume concentration is also random.

This description has been elaborated in the article text.

Page 12 line 14. Just analyzing the figure 5, it is difficult to believe that there is any variation for the width fine-mode when applying the growth factor. Moreover, the authors claim that there is an improvement for this parameter in all the campaigns: Texas, from 0.023 μ m to 0.012 μ m, MD, from 0.065 μ m to 0.043 μ m and California from 0.064 μ m to 0.044 μ m. However, if we look at the tables 1 and 2, we find that the improvement only occurs in Texas: the values shown in table 1 for MD and California are 0.048 μ m and 0.043 μ m, respectively, hence quite similar to those obtained in table 2 (0.043 μ m and 0.044 μ m). I would think that the variations in the width fine-mode are related to the elimination of some data in the second study, due to the impossibility of estimating the growth factors in some of the profiles.

With a size-invariant percentage shift (growth factor) applied the shape of the VSD will not change but the width will, which may not be readily apparent on the log scale plots of figure 5. Since a percentage change is larger in absolute terms at the larger end of the VSD range, and since the growth factor is always shifting the LARGE VSD distribution towards larger sizes, the effect of the humidification adjustment is to increase the computed VSD width.

The improvement noted (reduction in AERONET/LARGE differences of VSD width) is based on only the subset of data where growth factor adjustment was applied in order to isolate the effect of the correction, i.e. the same data with and without any adjustment. This fact was noted at the start of this section but it has now been reiterated just prior to this discussion of the effect of humidification on width comparison. Also, we now include a new table that clarifies this. Table 2 provides the AERONET/LARGE comparisons (for only the subset where humidification could be applied) both with and without humidification adjustment applied so the effect of the growth factor correction can be directly observed.

Minor remarks

• Page 3, line 3: It's not clear why only the duration of the first campaign is mentioned (2 months) and it is omitted for the ones in California, Texas and Colorado.

The 2 month duration for MD indicates the period during which the AERONET ground network was fully deployed and operational. The aircraft measurements took place over 4-5 weeks for each campaign and this is now clarified in the text.

• Page 3, line 19: I reckon that the expression: ...further from the sun (2°-10°) should be changed by ...further from the sun (1°-20°). Note that the standard angles for almucantar measurements includes 7° and 8° (both larger than 6°) and from 10°, the measurements are done only each 20°.

This detail has been clarified:

The almucantar procedure records sky radiance every 0.5°-1° close to the position of the sun (azimuth angles from 3.5°-8°) and with decreasing angular frequency further from the sun (angular steps increasing from 2°-20°).

Page 3, line 28: The following sentence is not clear to me, please revise: Al- though the UHSAS instrument only covers most of the fine mode size range (radius: 0.05-0.5 μ m), it does allow for an assessment of the agreement of peak volume radius, size distribution width over a moderately large range of AODs.

This line has been re-written and also moved to the Method section following the discussion of the alternative size metrics where its implication is more obvious from context.

'Although the UHSAS instrument size range does not necessarily always encompass the entire fine mode, parameterization as these alternative metrics does allow for an effective comparison of the peak volume radius and size distribution width using similarly calculated AERONET column averaged metrics.'

• Page 4, caption figure 1: I think that Colorado and Texas are reversed.

Fixed

• Page 4, line 18: In the previous page we find a minimum of 0.05 μ m and here somehow is shifted to 0.03 μ m.

Fixed

• Page 4, line 20: Although I think that it may be related to the Extinction/Scattering efficiency factors for the typical radius measured in the work, it is not clear why the authors considered the scattering at 500 nm to average the size distribution.

Of the wavelengths at which scattering was measured (450, 550 and 700nm), this wavelength (actually 550nm rather than 500 as originally stated) is the most central to the four wavelengths used in AERONET almucantar retrievals.

• Page 5, figure 2: Somehow the idea that figure 2 wants to show is not clear using the same color for all the measured size distribution. I suggest representing each size distribution using a color-map considering the scattering coefficient at 500nm (used as a weighing factor for the averaged size distribution). Also, it would be helpful to show the averaged size distribution in this figure.

Figure 2 has been substantially reworked to show color coding for each sample mapped to scattering coefficient and the weighted average VSD has been added as well.

• Page 5, line 1: I think that the use of "our" here is a bit confusing. I think it would be better to use AERONET standard retrieval products.

This sentence has been amended.

** Please note that Richard Moore from the NASA LARGE research group has been added as an author to this revised paper

The authors thank Referee #2 for the helpful review which has helped to clarify and improve this paper. Please see our replies below.

Section 2: The authors should include a more detail description of the in-situ instruments, particularly the UHSAS. This is as important as the AERONET data in this paper and should be describe in detail (including measurement principle, calibration, possible issues with this type of measurement, references of previous intercomparison of UHSAS with other size distribution instruments, etc). Concerning the UHSAS, some major points that should be discussed include:

- How the calibration with ammonium sulphate (AS) might affect the measurements. The authors state that the instrument is calibrated with AS, but the ambient aerosol may have a different refractive index which will affect the retrieve size of the particles. This is a common issue in aerosol optical counters, and the retrieved diameters can be corrected accordingly to the "real" refractive index. This can be a major source of discrepancy depending on the predominant aerosol type and should be taken into account. See for example Pío, C. A., J. G. Cardoso, M. A. Cerqueira, A. Calvo, T. V. Nunes, C. A. Alves, D. Custódio, S. M. Almeida, and M. Almeida-Silva (2014), Seasonal variability of aerosol concentration and size distribution in Cape Verde using a continuous aerosol optical spectrometer, Front. Environ. Sci., 2, 15, doi:10.3389/fenvs.2014.00015.

The following details relevant to UHSAS calibration have been added:

Dry ammonium sulfate aerosol particles were generated and size classified with a differential mobility analyzer before being introduced into the UHSAS to determine the true measurement calibration. Typically, the UHSAS is calibrated with NIST-traceable polystyrene latex spheres that have a real refractive index of 1.59 that is not realistic for naturally-occurring atmospheric aerosols. Shingler et al. (2016) conducted a comprehensive study of aerosol dry refractive index for a variety of air mass types encountered during the NASA SEAC4RS field campaign. They observed that the real part of the refractive index for dry particles was fairly constant at between 1.52-1.54 for all air mass categories, which is consistent with the real part of the refractive index of ammonium sulfate reported as 1.521 (Shingler et al., 2016). Hygroscopic growth can also affect the refractive index of the aerosol, but is not a factor in this measurement since the air is heated and dried (via RAM effects) upon entering the cabin via the isokinetic inlet.

Shingler, T., et al. (2016), Airborne characterization of subsaturated aerosol hygroscopicity and dry refractive index from the surface to 6.5 km during theSEAC4RS campaign, J. Geophys. Res.Atmos., 121, 4188–4210, doi:10.1002/2015JD024498.

The authors directly talk about volume size distribution but the UHSAS measures number size distribution, so a comment on the conversion from number to volume should be included.

The following details have been added to the description:

The UHSAS data are acquired as particle number counts per dlogDp (#/cm^3) so these bins were geometrically converted to total aerosol volume (μ m^3/cm^3) in a unit cm box representing an equivalent radius bin size and then scaled to the specific flight depth for each profile interval for comparison with column integrated volume size distributions (μ m^3/ μ m^2) from AERONET surface retrievals, which require no assumption of column aerosol height.

Section 3: The methodology section should include a specific subsection dedicated to the retrieval of the GF from the nephelometer tandem+PSAP data explaining how the GF is retrieved and how it is applied to the measured size distribution from the UHSAS. What is the range of GF retrieved?

This description has been significantly expanded with the following:

The effect of aerosol humidification on observed differences in the aircraft and AERONET comparisons was estimated using a simple particle growth factor for each UHSAS sample from LARGE. The growth factor depends on the differences between dry and ambient scattering using auxiliary data from on-board nephelometer and Particle Soot Absorption Photometer (PSAP) data. It is well known that a significant fraction of the aerosol volume consists of condensed water under elevated relative humidity conditions, which needs to be accounted for when comparing the AERONET-retrieved volume at ambient humidification to the LARGE size distributions measured at dry (<20% RH) conditions. A correction for this was made by scaling the LARGE dry size distributions by an effective growth factor, g, that is derived from coincident scattering measurements and Mie Theory following the methodology of Sawamura et al.. (2016) Implicit in the use of an effective growth factor is that the aerosol is internally mixed and its composition does not vary with size - i.e., the entire size distribution can be shifted by a single scale factor, g. The first step in the growth factor computation is to use the measured dry aerosol size distribution and measured dry scattering and absorption coefficients to compute the dry aerosol refractive index using Mie Theory. This dry refractive index is then used with the measured humidified scattering coefficient and Mie Theory to iteratively solve for the effective growth factor, assuming that the humidified aerosol real refractive index is the volume-weighted average of the dry particle refractive index and that of pure water (1.33 - 0i). Sawamura et al. found that the measured in situ aerosol volume and the measured ambient HSRL vertically-resolved retrieval aerosol volume were in excellent agreement once the effective growth factors were used to convert the dry in situ measurements to ambient humidification values. This size invariant growth factor, scaled by scattering coefficient and averaged for each profile, was used to scale the particle sizes for the dried aircraft aerosol volume size distribution to better approximate the same columnar ambient VSD as provided by the AERONET retrievals.

Description of the range of GF values applied during each campaign is now included in Table 2.

Section 4: Ambient relative humidity profiles should be included in the manuscript, stating at least maximum RH encountered in the profiles. This is important to understand the effect of hygroscopic correction, and could be more useful than CWV in Figure 3.

How the difference of peak radius and width change as a function of maximum or median RH in the profile?

Average maximum relative humidity for each campaign has been added to Table 1. Plots of vertical profiles of relative humidity for the included AERONET-LARGE comparison plots have been added in Figure 6.

Figure 3 plots have been re-configured to use relative humidity instead of CWV for the xaxis to better emphasize the effects of hygroscopicity.

Minor comments

Page 7, line 3: remove "best quality", I don't think this is necessary. . .

This has been changed to 'quality-assured'; for readers who may not be familiar with the AERONET Level 2 designation.

Table 1: +/- standard deviation? State it in the table caption. Also, adjust the number of significant figures according to the +/- value.

This has been amended.

Page 7, line 10: "... is often correlated with higher relative humidity in these regions due to hygroscopic growth..." -> This sentence, as it is written, it is not a result from this study since this is not clearly seen in Figure 3. In my opinion, it is really speculative, for the MD campaign, there are only 3 data points in Figure 3 (so it is not possible to infer any kind of trend) and for the Texas campaign it is also difficult to see a clear trend of increasing difference with AOD and CWD...

This general statement about the prevalence of hygroscopic growth has been supplemented by a reference regarding hygroscopicity observations during this DISCOVER-AQ campaign.

'From hygroscopicity observations during the Maryland campaign, Ziemba et al. 2013 found that on average, liquid water contributed up to 43% to the ambient extinction coefficient during the study.'

Page 7, line 13: Totally agree with this statement, but this should be the same for the radius. The association is not clear for neither of them.

As noted above, we have opted to use profile averaged relative humidity instead of column water vapor as a more direct method of assessing the potential of hygroscopic growth as a source of discrepancy between VSD metrics derived from AERONET and LARGE measurements. The discussion of this analysis has been modified to reflect this in the text.

Table 2: The average difference in peak radius and width for these same cases but without applying the hygroscopic growth correction should be included in the table for

comparison. Table 2 is not directly comparable with Table 1 because of the cases included are different.

We now include a new table that addresses this. Table 2 provides the AERONET/LARGE comparisons (for only the subset where humidification could be applied) both with and without humidification adjustment applied so the effect of the growth factor correction can be isolated.

Figure 5: The discussion is focused in the peak radius and width of the size distribution, but looking at Figure 5 there are cases in which the volume concentrations agree well between in-situ and AERONET and others than do not agree. The authors could comment on that.

The uncertainty of volume concentration for fine mode aerosols is closely related to the uncertainty in the real part of refractive index. AERONET retrievals of the real part of refractive index are sensitive to both measurement noise and instrument offset. This is due to both low retrieval sensitivity to the real part of the refractive index, and due to measurements being affected not only by possible instrumental offsets (such as instrument calibration) but also affected by other somewhat random factors such as atmosphere inhomogeneity.

The variability in the retrieved real part of refractive index is counterbalanced by the variability in the retrieved volume concentration of the fine mode in the AERONET inversion algorithm (Sinyuk et al. 2019, in preparation). The inversion algorithm will reliably provide a highly accurate fit of extinction AOD (within 0.01 at four wavelengths) plus directional sky radiance distributions for each almucantar while introducing a potential additional source of uncertainty to the volume concentration retrievals. Due to somewhat random variability in retrieved real part of refractive index the variability in the retrievals of volume concentration is also random. This may partly explain the good agreement between AERONET retrieved volume concentration and in situ volume concentration in some cases and not in others.

We have expanded the details about retrieval uncertainties in volume concentration in the text.

** Please note that Richard Moore from the NASA LARGE research group has been added as an author to this revised paper

Intercomparison of aerosol volume size distributions derived from AERONET ground based remote sensing and LARGE in situ aircraft profiles during the 2011-2014 DRAGON and DISCOVER-AQ experiments

Joel S. Schafer^{1,3}, Tom F. Eck^{2,3}, Brent N. Holben³, Kenneth L. Thornhill⁴, Luke D. Ziemba⁴, Patricia Sawamura⁴, Richard H. Moore⁴, Ilya Slutsker^{1,3}, Bruce E. Anderson⁴, Alexander Sinyuk^{1,3}, David M. Giles^{1,3}, Alexander Smirnov^{1,3}, Andreas J. Beyersdorf^{4,5}, and Edward L. Winstead⁴

¹Science Systems and Applications, Inc. Lanham, MD, USA

²Universities Space Research Association, Columbia, MD, USA

³NASA Goddard Space Flight Center, Greenbelt, MD, USA

⁴NASA Langley Research Center, Hampton, Virginia, USA

⁵University of California at San Bernardino, CA, USA

Correspondence: Joel Schafer (joel.schafer@nasa.gov)

Abstract.

Aerosol volume size distributions (VSD) retrievals from the Aerosol Robotic Network (AERONET) aerosol monitoring network were obtained during multiple DRAGON (Distributed Regional Aerosol Gridded Observational Network) campaigns conducted in Maryland, California, Texas and Colorado from 2011 to 2014. These VSD retrievals from the field campaigns

- 5 were used to make comparisons with near simultaneous in situ sampling from aircraft profiles carried out by the NASA Langley Aerosol Group Experiment (LARGE) team as part of four campaigns comprising the DISCOVER-AQ (Deriving Information on Surface conditions from Column and Vertically Resolved Observations Relevant to Air Quality) experiments. For coincident (± 1 hour) measurements there were a total of 91 profile-averaged fine mode size distributions acquired with the LARGE Ultra-High Sensitivity Aerosol Spectrometer (UHSAS) instrument matched to 153 AERONET size distributions
- 10 retrieved from almucantars at 22 different ground sites. These volume size distributions were characterized by two fine mode parameters, radius of peak concentration (r_{peak_conc}) and VSD fine mode width ($width_{fine_mode}$). The AERONET retrievals of these VSD fine mode parameters, derived from ground-based almucantar sun photometer data, represent ambient humidity values while the LARGE aircraft spiral profile retrievals provide dried aerosol (RH<20%) values. For the combined multiple campaign data set, the average difference in r_{peak_conc} was 0.033 ± 0.035 µm (ambient AERONET values were 15.8% larger
- 15 than dried LARGE values) and the average difference in $width_{fine_mode}$ was 0.042 ± 0.039 μ m (AERONET values were 25.7% larger). For a subset of aircraft data, the LARGE data were adjusted to account for ambient humidification. For these cases, the AERONET-LARGE averages differences were smaller, with r_{peak_conc} differing by 0.011 ± 0.019 μ m (AERONET values 5.2% larger) and $width_{fine_mode}$ average differences equal to 0.030 ± 0.037 μ m (AERONET values 15.8% larger).

1 Introduction

Atmospheric aerosol volume size distribution information is relevant to modeling of radiative transfer, weather processes and human health via air quality concerns [Peng et al. (2018), Li et al. (2015), Sheng et al. (2019), Eilenberg et al. (2018), Gong et al. (2003)]. Interactions of atmospheric aerosols with clouds are highly sensitive to their size distributions [Feingold (2003)].

- 5 Current climate models are now able to simulate the full aerosol size distributions and therefore benefit from accurate aerosol size parameterization [Li et al. (2015)]. Geographic and seasonal variability in atmospheric aerosol due to differences in aerosol type and composition were historically difficult to capture globally at high temporal resolutions. The Aerosol Robotic Network (AERONET) global monitoring program provides an opportunity to capture seasonal and diurnal trends in extinction-weighted column integrated aerosol volume size distribution and concentration for ambient atmospheric conditions derived from frequent 10 sky radiance measurements and spectral aerosol optical depth.

Very few direct comparisons of size distribution between in situ and AERONET retrievals have been published. Eck et al. (2012) summarized a number of region-specific comparison studies focused on both fine and coarse mode. During INDOEX Clarke et al. (2002) computed lognormal fits of volume size distributions for in situ measurements for fine mode pollution acquired by ship and aircraft in the Arabian Sea under high aerosol loading and found average accumulation mode volume peak

- 15 radius ranged from 0.17 to 0.18 μ m with computed geometric standard deviations for ship data equal to 1.51 and aircraft data equal to 1.43. These values are similar to the AERONET retrieved averages from 1998 to 2000 in the Maldives (Kaashidhoo) in the same region. For this 2 year observation period the AERONET determined average volume median radius was 0.18 μ m with a width of 1.49 for almucantars taken with AOD at 440nm exceeding 0.4 which agrees well with the Clarke et al. (2002) results. Reid et al. (2005) investigated the agreement of in situ measurements of the volume median radius for smoke from
- various distinct regions of biomass burning; Southern Africa, North America (temperate and boreal) and South America) with retrievals from AERONET. For each region, the in situ volume median diameter typically agreed with AERONET retrievals within ~ 0.01 mm. Retrievals of larger radius (sub-micron) aerosol from AERONET almucantars have also compared well with in situ data as detailed in Eck et al. (2010) where Pinatubo stratospheric peak volume radius of ~ 0.56 μ m derived from AERONET retrievals was very similar to the effective radius of 0.53 μ m noted by Pueschel et al. (1994) based on in situ
- 25 stratospheric aircraft measurement.

This paper presents a large number of comparisons of multiple fine mode volume size distribution datasets from four US regions for in-situ measurements from repeated aircraft profiles during a series of month-long intensive DISCOVER-AQ campaigns conducted between 2011 and 2014. Given the typical complexity of aircraft campaigns and the fact that validation of AERONET retrieval products is rarely a central campaign goal, this well-coordinated effort resulted in a dataset unique for the quantity and near simultaneous nature of the comparisons.

30 quantity and near simultaneous nature of the comparisons.

2 Instrumentation

In the summer of 2011 AERONET deployed more than forty Cimel sun photometers in the Baltimore-Washington, DC region as part of DRAGON (Distributed Regional Aerosol Gridded Observational Network) campaign of which five were located at

DISCOVER-AQ aircraft profile sites [Holben et al. (2018)]. The AERONET DRAGON mesoscale network was comprised of automatic sun/sky radiometers distributed on a roughly 10km grid (covering an area of approximately 60km x 120km; average distance between sites= 9.9km) which operated continuously for more than 2 months. The duration of the DISCOVER-AQ aircraft measurement interval (profiles) was 4-5 weeks in length for each campaign. The subsequent campaigns in CA, TX and

- 5 CO were less densely instrumented than MD with each using approximately 15 ground sites (5-6 of which were profile sites) in the San Joaquin Valley (California), Houston Metro (Texas) and Colorado Front Range with average distance between sites ranging from 20-25km. The DRAGON ground networks for each campaign are depicted in Figure 1; vertical spiral profile sites used are shown in red.
- The AERONET DRAGON campaign was concurrent with the NASA sponsored DISCOVER-AQ air quality experiment which performed daily research flights concentrating on repeated multiple daily profile measurements of gaseous and particulate pollution over typically 5-6 primary sun photometer sites. The number of flights days for each campaign ranged from 10 to 16 with atmospheric conditions ranging from very low aerosol optical depth (AOD) with low column water vapor (AOD 500nm < 0.05; CWV < 1cm) to hazy and humid (AOD 500nm ~ 0.81 ; CWV > 4.5cm).
- A complete description of the sun photometers used is provided by Holben et al. (1998). All sun photometers at profile 15 sites had narrow bandpass filters with central wavelengths of 340, 380, 440, 500, 675, 870, 940, 1020 and 1640nm which cover the visible and near infrared spectrum. Eck et al. (1999) describes the uncertainty in aerosol optical depth which varies with wavelength (larger in the ultraviolet) and ranges from ~ 0.01 -0.021 for sun photometers during deployment. Direct solar irradiance is measured at each wavelength (FOV 1.2°) as well as radiance from the sky in both the principal plane (~ 9 times daily) and the solar almucantar (~ 8 times daily) which is taken at four wavelengths (440, 675, 870 and 1020nm). The
- 20 almucantar procedure records sky radiance every $0.5^{\circ}-1^{\circ}$ close to the position of the sun (azimuth angles from $3.5^{\circ}-8^{\circ}$) and with decreasing angular frequency further from the sun (angular steps increasing from $2^{\circ}-20^{\circ}$). Both aerosol optical depth measurements and sky radiance from almucantars are input to inversion code used to routinely produce AERONET retrievals of volume size distribution, phase function, real and imaginary component of refractive index, effective radius and single scattering albedo [Dubovik and King (2000); Dubovik et al. (2002); Dubovik et al. (2006)]. The AERONET retrieval products
- 25 have quality controls applied based on Holben et al. (2006). Both aerosol optical depth (AOD) and alumucantar retrievals are from the version 3 dataset (version 3 data released in January 2018) [Giles et al. (2019)].

In-situ aerosol properties were measured on the NASA P-3B aircraft by the NASA Langley Aerosol Group (LARGE) team using a suite of instruments to characterize ambient aerosol optical and microphysical properties [Beyersdorf et al. (2016)]. A DMT Ultra-High Sensitivity Aerosol Spectrometer (UHSAS) calibrated with ammonium sulfate was utilized for particle sizing

- 30 measurements. Dry ammonium sulfate aerosol particles were generated and size classified with a differential mobility analyzer before being introduced into the UHSAS to determine the true measurement calibration. Typically, the UHSAS is calibrated with NIST-traceable polystyrene latex spheres that have a real refractive index of 1.59 that is not realistic for naturally-occurring atmospheric aerosols. Shingler et al. (2016) conducted a comprehensive study of aerosol dry refractive index for a variety of air mass types encountered during the NASA SEAC4RS field campaign. They observed that the real part of the refractive index
- 35 for dry particles was fairly constant at between 1.52-1.54 for all air mass categories, which is consistent with the real part of



Figure 1. The AERONET ground networks are shown for each campaign. Profile sites used are shown in red with urban boundaries overlaid in gray. a) Maryland b) California c) Texas d) Colorado

the refractive index of ammonium sulfate reported as 1.521 [Shingler et al. (2016)]. Hygroscopic growth can also affect the refractive index of the aerosol, but is not a factor in this measurement since the air is heated and dried (via RAM effects) upon entering the cabin via the isokinetic inlet. Aerosol optical measurements were made with a pair of TSI-3563 3-wavelength integrating nephelometer (TSI, Inc. model 3563) and a 3-wavelength Radiance Research Particle Soot Absorption Photometer

5 (PSAP, Radiance Research). The tandem nephelometers were run with and without humidification to find the dry scattering (approximately 20% relative humidity) and humidified scattering coefficients (approximately 80% relative humidity). Scattering at ambient relative humidity was then calculated based on a single-parameter monotonic growth factor – gamma [Gassó et al. (2000)]. Scattering coefficients at 450, 550 and 700 nm were corrected for truncation errors according to Anderson and Ogren (1998). Absorption coefficients were measured at 470, 532 and 660 nm, and corrected for filter scattering according to Virkkula (2010).

3 Method

The Langley Aerosol Research Group Experiment (LARGE) aircraft team carried out measurements during spiral profiles at altitudes which could range from less than 150m up to greater than 5000m above ground level (ABL) depending on the profile site. On many flight days, these profiles were repeated at each site 3-4 times with individual profiles (ascent or descent)

- 15 lasting 5 to 15 minutes. AERONET Cimel sun photometers were operated at each ground profile site as well as numerous secondary locations in the vicinity. The profiles used in this study were limited to those where sampling heights covered the majority of the normal aircraft height range to provide an adequately representative column sample. This typical profile depth varied with campaign, e.g. the San Joaquin valley sampling had lower maximum heights due to the prevalent shallow winter boundary layer. Most sun photometer retrievals products (though not volume size distribution) only reach low uncertainty for
- 20 high aerosol loading (≥ 0.4 at 440nm). Almucantars also must be taken with large solar zenith angle (SZA > 50) and have low residual error (typically < 5%, increasing to a max of 8% at high AOD) for the retrieval calculation to meet Level 2 quality control criteria. Additionally a minimum number of sky radiance measurements in each of four scattering angle bins must meet symmetry requirements in comparison of the two sides (symmetric about the solar azimuth angle) of the almucantar scan [Holben et al. (2006)]. This last criterion effectively requires that the almucantar be taken during cloud-free or minimally</p>
- 25 cloudy conditions. The LARGE aircraft measurements provided continuous number size distribution data at 1 sec sampling rate for the particle radius range from 0.03 to 0.5 μ m (in 79 size bins for MD, 25 bins for subsequent campaigns). The UHSAS data are acquired as particle number counts per dlogDp (#/cm³) so these bins were geometrically converted to total aerosol volume ($\mu m^3/cm^3$) in a unit cm box representing an equivalent radius bin size and then multiplied by the specific flight depth for each profile interval for comparison with column integrated volume size distributions ($\mu m^3/\mu m^2$) from AERONET surface
- 30 retrievals, which require no assumption of column aerosol height. Each LARGE in situ sample measurement was individually weighted by the coincident scattering σ_{SP} at 550nm and averaged for the profile according the following equation.

$$VSD_{(weighted_mean)} = \frac{\sum_{i=0}^{N} \left[\frac{\sigma_{SP(sample)}}{\sigma_{SP(profile_mean)}} * VSD_{(sample)} \right]}{N} \qquad \text{N= number of 1 sec samples in profile} \tag{1}$$



Figure 2. An example of the individual LARGE aerosol 1 sec sample size distributions from UHSAS (and scattering weighted average) from a full 22 minute aircraft profile at Essex on July 5th, 2011 (11:30 GMT)

Without such weighting by scattering, the aerosol VSD samples taken within the main aerosol layer would be weighted equally with samples from areas with negligible aerosol where measurement accuracy is diminished. An example of the complete set of individual 1 sec samples from the UHSAS instrument during a full profile can be seen in Figure 2. The flat curves on the bottom of the plot are from the higher altitude samples when aerosol concentrations were very low.

- 5 The metrics typically employed to characterize AERONET size distributions are the volume median radius of the fine mode (VMR_f) and the standard deviation or width of the fine mode distribution, sigma, which are standard AERONET inversion products. These parameters were not computed for the LARGE data in this comparison since the upper limit of the UH-SAS sampler (0.5 micrometer) is often much less than the calculated fine mode upper boundary of particle radius for the Cimel retrieval algorithm which can vary between 0.439 and 0.992 μm, dependent upon the inflection point between fine and coarse modes. Therefore, alternative metrics were used: radius of peak concentration (*r_{peak_conc}*) and full width half-maximum (*width_{fine_mode}*) and the size distribution data from the Cimel sun photometer was restricted to the same upper radius boundary as the LARGE data for optimal comparability. These alternative metrics were well correlated with the standard AERONET retrieval products of VMR_f (*r_{peak_conc}*: *r²*= 0.88) and sigma (distribution width: *r²*= 0.63) indicating that they are fair rep
 - resentations of these parameters. Correlation of these metrics with the standard AERONET retrieval products was weaker for

Table 1. Average differences and standard deviations (in μ m) in r_{peak_conc} and $width_{fine_mode}$ between AERONET and LARGE derived values for all campaign comparisons with no humidification adjustments applied. Also, AERONET average r_{peak_conc} and $width_{fine_mode}$ for each campaign, AERONET-LARGE differences as % of average values, average profile maximum RH and the number of comparisons are shown.

Campaign	Δr_{peak_conc}	$\Delta width_{fine_mode}$	$\overline{r_{peak_conc}}$	$\Delta \ peak(\%)$	$\overline{width_{fine_mode}}$	$\Delta \ width(\%)$	\overline{RHmax}	Ν
MD	$0.054{\pm}0.027$	$0.059 {\pm} 0.032$	0.233	23.2%	0.211	28.2%	74.6%	18
CA	$0.044{\pm}0.039$	$0.053 {\pm} 0.044$	0.189	23.0%	0.170	31.0%	68.7%	71
TX	$0.016 {\pm} 0.020$	$0.026 {\pm} 0.028$	0.148	10.6%	0.127	20.8%	72.0%	37
CO	$0.014 {\pm} 0.020$	$0.026 {\pm} 0.020$	0.143	9.6%	0.123	20.9%	54.3%	27

larger AOD which would be expected since these conditions would normally be associated with the cases where a larger particle radius upper boundary of the fine mode was determined by the AERONET retrieval. Although the UHSAS instrument size range does not necessarily always encompass the entire fine mode, parameterization as these alternative metrics does allow for an effective comparison of the peak volume radius and size distribution width using similarly calculated AERONET column

5 averaged metrics. AERONET retrievals acquired within ± 1 hour of a complete aircraft profile were identified for all four DISCOVER-AQ campaigns in order to compare VSD fine mode metrics derived from AERONET retrievals with those from UHSAS sampling data taken by the LARGE aircraft team.

4 Aerosol Volume Size Distribution Comparisons

- AERONET Level 2 (quality-assured) Version 3 inversions (N=153) derived from AERONET almucantar protocols were
 matched with concurrently (± 1 hour) measured LARGE aircraft profile sampling sequences (N=91). These were compiled to generate statistics for observed AERONET-LARGE average differences and standard deviations (in micrometers, µm) of the computed peak radius of concentration and VSD fine mode width for the four DISCOVER-AQ campaigns which are presented in Table 1. Here the LARGE measured size distributions are only for dried aerosol data (RH<20%) as compared to retrieved ambient aerosol VSD from AERONET.
- 15 Campaign-averaged differences in r_{peak_conc} (AERONET-LARGE) for the four regional campaigns ranged from 0.014 μ m to 0.054 μ m and average volume size distribution width differences ranged from 0.026 μ m to 0.059 μ m. Figure 3 depicts color-coded AERONET-derived differences between campaigns as a function of average profile relative humidity where the marker size is proportional to the aerosol optical depth (440nm) acquired by the Cimel sun photometer within \pm 30 minutes of the retrieval. Because AERONET retrievals inherently represent ambient humidity atmospheric conditions, it might be
- 20 expected that the size distributions would be shifted to larger sizes for these retrievals relative to LARGE, particularly for more humidified conditions. For the radius of peak concentration there is indeed a significant increasing trend in AERONET-LARGE differences in the Maryland ($r^2=0.7$) and California ($r^2=0.5$) data with higher relative humidity likely due to hygroscopic growth of particle size. From hygroscopicity observations during the Maryland campaign, Ziemba et al. (2013) found that



Figure 3. Differences in r_{peak_conc} [left] and $width_{fine_mode}$ [right] between AERONET (ambient aerosol) and LARGE (dried aerosol) versus profile relative humidity. Marker is size is proportional to coincident aerosol optical depth (440nm) from AERONET

on average, liquid water contributed up to 43% to the ambient extinction coefficient during the study. Note that in the case of California data, the occurrence of shallow layer fog events would not be evident in the column average RH values for the profile since the aircraft did not sample within the fog layer and never recorded the highest relative humidity conditions. Despite hot and humid conditions during the Texas campaign, there was no significant increasing trend of AERONET-LARGE differences of records of records and MD at the Texas campaign.

- 5 differences of r_{peak_conc} with relative humidity and generally the Texas data showed smaller discrepancies than CA and MD at comparable AOD amounts. The Texas profile sites are proximal to many petroleum refining and chemical production facilities that could produce aerosols that are distinct from MD and CA in terms of composition, hygroscopicity and/or amount of aging. The degree of agreement of VSD fine mode width was not strongly associated with relative humidity for any campaign.
- Comparisons for the Colorado campaign show the smallest differences which is consistent with typically small AOD and 10 low relative humidity along the Front Range of the Rocky Mountains. Despite the low to moderate AOD and low column water vapor during the California winter campaign, there are typically larger differences between AERONET and LARGE retrievals than for the Maryland and Texas comparisons, particularly for fine mode width. A possible expanation is that some of these cases are associated with incomplete sampling of the aerosol layer by the aircraft profile for days with particularly shallow boundary layers. The vertical distribution of atmospheric aerosol was quite distinct for the California dataset in that
- 15 75% of the aircraft profiles used in these comparisons had the majority of the aerosol below 500m, with 5 profiles where > 90% of aerosol was in this narrow altitude range. The average minimum sampling height in California was 170 meters while the average altitude of peak scattering was only 110 meters higher at 280 meters, so these profiles may be more at risk of missing a moderate portion of the aerosol layer situated below the minimum profile sample altitude. For comparison, the average altitude of maximum aerosol scattering observed by aircraft was \sim 1km in Maryland and \sim 1.2km in Texas and
- 20 neither of these regions acquired any profiles with such shallow aerosol layers as observed in California. The average fraction of aerosol scattering contributed by the lowest 500m of the atmosphere in Maryland was only 6% (15% for the Texas profiles),



Figure 4. Histograms of all differences of r_{peak_conc} [left] and $width_{fine_mode}$ [right] between AERONET (ambient aerosol) and LARGE (dried aerosol) for combined campaign comparisons

whereas in California the lower layer aerosol comprised on average 64% of the total aerosol scattering in the profile. We note however that while most California profile locations had higher minimum altitudes, there were a few sites that relied on missed approach aircraft maneuvers to acquire more complete sampling of the atmosphere. We therefore compared the agreement of AERONET-LARGE VSD metrics for these missed approach locations with the agreement typically observed at the more

- 5 common sites with shallower profiles. While we found some cases where there was a significant increase in fine mode peak size at a low level (well below the minimum altitude of most CA sites which would therefore would be missed by aircraft sampling), we did not observe a general tendency for better agreement for the deep profile locations. This doesn't preclude the possibility that aircraft profiles from sites with higher minimum altitudes are occasionally missing distinctly different aerosol to which the columnar observations from AERONET are sensitive, but this factor may not be a primary contributor to AERONET-LARGE
- 10 VSD metric differences. Another known factor is that the California campaign comparisons were also complicated by high frequency of thick morning fog in the San Joaquin Valley during this winter campaign which often generated fog-processed aerosol that changed rapidly in time. It has previously been observed that the influence of persistent fog conditions on aerosol properties can produce significant changes for the hygroscopic fraction and that these changes can also persist beyond the dissipation of the fog or cloud [Eck et al. (2012)]. This type of modification event was documented by Eck et al. (2012) for
- 15 AERONET inversions of volume size distributions at Fresno, California, a location also included in this DISCOVER-AQ study, where fog-processed aerosols exhibited very large fine radius, even larger than humidified aerosols at high relative humidity and high column water vapor amounts in Maryland and Texas. Both of these factors, typically shallow aerosol layers and frequent and persistent morning fog, could lead to greater potential for disagreement between LARGE and AERONET measurements for the California campaign. Histograms of the differences in these parameters (for dried aerosol in the LARGE data) are
- 20 presented for the combined data from all campaign in Figure 4.

4.1 Comparisons With Humidification Adjustment of LARGE Volume Size Distributions

The effect of aerosol humidification on observed differences in the aircraft and AERONET comparisons was estimated using a simple particle growth factor for each UHSAS sample from LARGE. The growth factor depends on the differences between dry and ambient scattering using auxiliary data from on-board nephelometer and Particle Soot Absorption Photometer (PSAP) data.

- 5 It is well known that a significant fraction of the aerosol volume consists of condensed water under elevated relative humidity conditions, which needs to be accounted for when comparing the AERONET-retrieved volume at ambient humidification to the LARGE size distributions measured at dry (<20%RH) conditions. A correction for this was made by scaling the LARGE dry size distributions by an effective growth factor, g, that is derived from coincident scattering and absorption measurements and Mie Theory following the methodology of Sawamura et al. (2016). Implicit in the use of an effective growth factor is that
- 10 the aerosol is internally mixed and its composition does not vary with size i.e., the entire size distribution can be shifted by a single scale factor, g. The first step in the growth factor computation is to use the measured dry aerosol size distribution and measured dry scattering and absorption coefficients to compute the dry aerosol refractive index using Mie Theory. This dry refractive index is then used with the measured humidified scattering coefficient and Mie Theory to iteratively solve for the effective growth factor, assuming that the humidified aerosol refractive index is the volume-weighted average of the dry
- 15 particle refractive index and that of pure water (1.33 0i). Sawamura et al. (2016) found that the measured in situ aerosol volume and the measured ambient HSRL vertically-resolved retrieval aerosol volume were in excellent agreement once the effective growth factors were used to convert the dry in situ measurements to ambient humidification values. This size invariant growth factor, scaled by scattering coefficient and averaged for each profile, was used to scale the particle sizes for the dried aircraft aerosol volume size distribution to better approximate the same columnar ambient VSD as provided by the AERONET
- 20 retrievals.

Not all comparison cases had complete data for all three component sensors (UHSAS, PSAP and nephelometer) so a full profile of growth factor adjusted VSD was not always possible to generate. The effect of this correction can be seen in Table 2 where mean differences VSD statistics are shown for the subset of comparisons where a humidity adjustment could be computed. Comparisons between AERONET and LARGE data for both uncorrected and humidity adjusted LARGE data are

shown as well as the range of computed growth factor values applied to each campaign. The application of a growth factor adjustment was observed to reduce the average discrepancy in r_{peak_conc} by ~0.02-0.03 μ m and in $width_{fine_mode}$ by ~0.01-0.02 μ m.

The fraction of profiles that had sufficiently complete growth factor computations varied from only 15% of comparisons for Maryland to 57% for Texas. Colorado has no growth factor adjusted cases, though this region would have the least impact

30

from humidification of aerosol due to consistently low RH, as suggested by the relatively good agreement between AERONET retrievals at ambient RH versus LARGE measurements for dried aerosol seen in Table 1. Additional details are provided in Table 3 (as in Table 1) but restricted to only the subset of comparisons where the growth factor could be computed.

Numerous examples of the VSD from LARGE and AERONET from the three campaigns for cases with computed growth factors are shown in Figure 5. The corresponding vertical profiles of RH are presented in Figure 6. These depict at least

Table 2. For the subset of comparison where humidification adjustment of LARGE data was possible, average differences (in μ m) in $r_{peak \ conc}$ and $width_{fine \ mode}$ between AERONET and LARGE derived values are shown for uncorrected and growth factor corrected (GF) cases. Also, the range of growth factor applied for each campaign subset and the number of comparisons are shown.

Campaign	Δr_{peak_conc}	$\Delta r_{peak_conc(GF)}$	$\Delta width_{fine_mode}$	$\Delta width_{fine_mode(GF)}$	GF Range	Ν
MD	0.037	0.009	0.065	0.043	1.17-1.20	3
CA	0.045	0.024	0.065	0.048	1.09-1.27	24
TX	0.014	0.000	0.023	0.012	1.03-1.24	27

Table 3. Average differences and standard deviations (in μ m) in r_{peak_conc} and $width_{fine_mode}$ between AERONET and LARGE derived values for only cases that include computed humidification adjustments applied to LARGE data. Also, AERONET average rpeak_conc and width fine_mode for each campaign, and AERONET-LARGE differences as % of average values are shown.

Campaign	Δr_{peak_conc}	$\Delta width_{fine_mode}$	$\overline{r_{peak_conc}}$	$\Delta \; peak(\%)$	$\overline{width_{fine_mode}}$	$\Delta width(\%)$
MD	$0.009 {\pm} 0.015$	$0.043 {\pm} 0.027$	0.191	4.5%	0.184	23.3%
CA	$0.024{\pm}0.016$	$0.048 {\pm} 0.041$	0.183	13.1%	0.173	27.9%
ТХ	$0.000 {\pm} 0.015$	$0.012{\pm}0.023$	0.148	0.2%	0.124	10.1%

one comparison from 13 sites (on 7 different days) with comparisons where humidification factor could be computed for the LARGE data. The AODs (440nm) associated with this set of comparisons ranged from 0.11 to 0.80 (mean: 0.26). The agreement between concurrent VSDs from AERONET and LARGE is normally improved using the growth factor adjusted data for each of the 3 campaigns for which it could be generated.

5

Note that the agreement of the magnitude of the AERONET and LARGE fine mode volume concentration is often notably poorer than that for the r_{peak_conc} and $width_{fine_mode}$ comparisons. The uncertainty of volume concentration for fine mode aerosols is closely related to the uncertainty in the real part of refractive index, AERONET retrievals of which are sensitive to both measurement noise and instrument offset. This is due to low retrieval sensitivity to the real part of the refractive index, and due to measurements being affected not only by possible instrumental offsets (such as instrument calibration) but also affected

- by other somewhat random factors such as atmosphere inhomogeneity. The variability in the retrieved real part of refractive 10 index is counterbalanced by the variability in the retrieved volume concentration of the fine mode in the AERONET inversion algorithm (Sinyuk et al. 2019, in preparation). The inversion algorithm will reliably provide a highly accurate fit of extinction AOD (within 0.01 at four wavelengths) plus directional sky radiance distributions for each almucantar while introducing a potential additional source of uncertainty to the volume concentration retrievals. Due to somewhat random variability in
- 15

retrieved real part of refractive index the variability in the retrievals of volume concentration is also random. This may partly explain the good agreement between AERONET retrieved volume concentration and in situ volume concentration in some cases and not in others.

The effect of adding the humidification correction can be directly observed by comparing the difference in VSD metrics for only the subset of cases with corresponding humidified growth factor (GF) adjusted data. For these cases (54 comparisons



Figure 5. AERONET VSD (dash) comparisons with LARGE (red) and humidified VSD (blue) a) Aldino(MD) [2011-07-05] b) Deer_Park(TX) [2013-09-13] c) Edgewood(MD) [2011-07-05] d) FairHill(MD) [2011-07-29] e) Fresno(CA) [2013-02-01] f) Galveston(TX) [2013-09-13] g) Hanford(CA) [2013-02-01] h) Hanford(CA) [2013-02-04] i) Huron [2013-01-31] j) Huron(CA) [2013-02-01] k) ManvelCroix(TX) [2013-09-13] l) Porterville(CA) [2013-01-31] m) Smith_Point(TX) [2013-09-13] n) Tranquility(CA) [2013-02-01] o) 12 West_Houston(TX) [2013-09-25]



Figure 6. Vertical profiles of relative humidity a) Aldino(MD) [2011-07-05] b) Deer_Park(TX) [2013-09-13] c) Edgewood(MD) [2011-07-05] d) FairHill(MD) [2011-07-29] e) Fresno(CA) [2013-02-01] f) Galveston(TX) [2013-09-13] g) Hanford(CA) [2013-02-01] h) Hanford(CA) [2013-02-04] i) Huron [2013-01-31] j) Huron(CA) [2013-02-01] k) ManvelCroix(TX) [2013-09-13] l) Porterville(CA) [2013-01-31] m) Smith_Point(TX) [2013-09-13] n) Tranquility(CA) [2013-02-01] o) West_Houston(TX) [2013-09-25]



Figure 7. Differences in r_{peak_conc} [left] and $width_{fine_mode}$ [right] between AERONET (ambient aerosol) and LARGE (with humidification adjustment) versus profile relative humidity. Marker is size is proportional to coincident aerosol optical depth (440nm) from AERONET



Figure 8. Histograms of all differences of r_{peak_conc} [left] and $width_{fine_mode}$ [right] between AERONET (ambient aerosol) and LARGE (with humidification adjustment) for combined campaign comparisons

from 3 campaigns), the combined multi-campaign average of peak radius differences between AERONET and LARGE decreased from 0.029μ m to 0.011μ m and the *width*_{fine_mode} difference averages decreased from 0.044μ m to 0.030μ m, due to application of humidification growth factors to the LARGE data. The Maryland and Texas campaigns showed the greatest improvement (largest reductions in differences) with very small average differences in peak concentration radius (both < 0.01)

- 5 μ m) when incorporating this simplified humidification assumption. The average difference for the California campaign (N=27) was reduced from 0.045 μ m to 0.024 μ m. As a percentage of the average observed AERONET peak concentration radius this humidification adjusted subset has AERONET-LARGE differences that range from negligible on average for Texas (0.2%) to 13.1% for the California campaign. The best agreement in $width_{fine_mode}$ parameter was observed for the Texas campaign where the AERONET retrieved width parameter was found to be on average 0.012 μ m larger than the humidity adjusted air-
- 10 craft data which amounts to 10% of the mean value of AERONET VSD width from the AERONET retrievals. The other two campaigns considered here had average width_{fine_mode} differences that were greater than that noted for Texas (MD: 0.043 μm; CA: 0.048 μm). This may be due in part to the much larger average width_{fine_mode} of these two campaigns (~ 0.18 μm) compared with that in Texas (0.12 μm) though the difference as a percent of average campaign width_{fine_mode} were also larger (23-25%).
- The $width_{fine_mode}$ differences (AERONET-LARGE) decrease (for the growth factor adjusted subset) with campaign averaged differences decreasing for each campaign (Texas: 0.023 μ m to 0.012 μ m; MD: 0.065 μ m to 0.043 μ m; California: 0.064 μ m to 0.048 μ m). For the humidity adjusted dataset, 95% comparisons of the radius of peak concentration agreed within \pm 0.05 μ m while 83% of comparisons of the $width_{fine_mode}$ of the VSD agreed within \pm 0.05 μ m. The small number of cases of larger disagreement in $width_{fine_mode}$ were all from the California campaign which again may reflect incomplete sampling
- 20 of the full aerosol layer for days with the shallow wintertime boundary layer typical of the region or potentially extreme growth of fine mode particles in the layer affected by fog in some cases (Eck et al., 2012). Figure 7 depicts the VSD statistic differences as in Figure 3 but for only the subset of comparisons with humidification adjustment and additionally, the corresponding histograms are seen in Figure 8.

Whereas many AERONET retrieval products such as as imaginary refractive index and single scattering albedo (SSA)
require larger AOD (AOD 440 > 0.4) for adequate aerosol absorption signal, it was believed that the volume size distribution did not have similar minimum AOD thresholds for valid determination. However, this had not been empirically verified until this study. With regard to this criterion, the agreement of aircraft and sun photometer was found to have no penalty for conditions of relatively low aerosol loading, at least to the levels measured during these field campaigns. Indeed the mean differences in both peak radius and size distribution width were at a minimum for the lowest AOD cases with smaller standard deviations. For the

- 30 lowest AOD quartile of the comparison set (AOD 440: 0.09-0.15) the average difference in r_{peak_conc} (AERONET-LARGE) was only 0.011 ± 0.003 µm compared to the largest quartile (AOD440: 0.27-0.8) average difference of 0.025 ± 0.008 µm. The low AOD comparisons may benefit in part from the fact that these conditions are more commonly associated with lower relative humidities. As such they might be expected to manifest less disparity between measured (dry) VSD from LARGE and retrieved (ambient) VSD from AERONET especially for hydrophilic aerosol species despite our efforts to approximate
- 35 and correct for this humidification effect. The corresponding AOD quartile average differences for $width_{fine_mode}$ were also

better for the low AOD comparison set $(0.009 \pm 0.003 \ \mu\text{m})$ than the highest quartile $(0.019 \pm 0.008 \ \mu\text{m})$. The good agreement in the lowest quartile between aircraft and sun photometer retrievals of both r_{peak_conc} (mean relative differences of 7.8%) and $width_{fine_mode}$ (7.2%) with small standard deviations strongly suggests that these retrievals are generally stable even at relatively low aerosol optical depths.

5 5 Conclusions

The DRAGON/DISCOVER-AQ campaigns represent the most extensive comparison of AERONET fine mode column integrated volume size distribution retrievals with in-situ aircraft vertical profile size distribution measurements. These experiments provided a rare opportunity to coordinate multiple instrumented aircraft profiles with AERONET almucantar retrievals at 22 ground sites in Maryland, California, Texas and Colorado during four distinct month-long campaigns (acquired during North

- 10 American winter, summer and fall seasons) from 2011-2014. Two aerosol fine mode particle size parameters derived from AERONET and LARGE in situ measurement profiles for the four campaigns (radius of peak concentration, r_{peak_conc} and volume size distribution width, $width_{fine_mode}$) were found to generally agree well for both parameters with the overall average difference (AERONET-LARGE; no humidification adjustment to LARGE) for r_{peak_conc} equal to 0.033 ± 0.035 μ m and 0.042 ± 0.039 μ m for $width_{fine_mode}$. When a subset of aircraft data were adjusted to account for the effect of ambi-
- 15 ent humidity on the dried aerosol measurements, these comparisons had smaller combined campaign averaged differences of r_{peak_conc} 0.011 ± 0.019 μm while width_{fine_mode} average difference were also less (0.030 ± 0.037 μm) for cases where humidification adjustments were possible. These comparisons were made over a wide range of aerosol optical depths (AOD (440nm) ranging from 0.09 to 0.8) with the smallest AERONET-LARGE differences of both r_{peak_conc} and width_{fine_mode} found at lower AOD levels. For the comparisons made using humidification adjusted LARGE data, larger average differences
- 20 of r_{peak_conc} and $width_{fine_mode}$ were observed for the California campaign which was possibly a result of aircraft profiles which did not sample the full aerosol column and/or the occasional effect of cloud-processed aerosol during numerous several regional fog events.

Acknowledgements. The AERONET project is supported by the Radiation Sciences Program (NASA) and the EOS project office (NASA).

All AERONET data used in this paper are available at "https://aeronet.gsfc.nasa.gov/cgi-bin/webtool_aod_v3" (AOD) and "https://aeronet.gsfc.nasa.gov/cgi-bin/webtool_inv_v3" (Retrieval products).

References

- Anderson, T. L. and Ogren, J. A.: Determining Aerosol Radiative Properties Using the TSI 3563 Integrating Nephelometer, Aerosol Science and Technology, 29, 57-69, https://doi.org/10.1080/02786829808965551, https://doi.org/10.1080/02786829808965551, 1998.
- Beversdorf. L. D., Chen. C. A., Crawford. A. J., Ziemba. G., Corr. J. Н., Diskin. G. S., Moore. 5 R. Н., Thornhill. K. Winstead. E. L., and Anderson. E.: The impacts of aerosol load-L., Β. ing, composition, and water uptake on aerosol extinction variability in the Baltimore-Washington, D.C. region, Atmospheric Chemistry and Physics, 16, 1003-1015, https://doi.org/10.5194/acp-16-1003-2016, https://www. atmos-chem-phys.net/16/1003/2016/, 2016.
 - Clarke, A. D., Howell, S., Quinn, P. K., Bates, T. S., Ogren, J. A., Andrews, E., Jefferson, A., Massling, A., Mayol-Bracero, O.,
- Maring, H., Savoie, D., and Cass, G.: INDOEX aerosol: A comparison and summary of chemical, microphysical, and optical 10 properties observed from land, ship, and aircraft, Journal of Geophysical Research: Atmospheres, 107, INX2 32-1-INX2 32-32, https://doi.org/10.1029/2001JD000572, https://doi.org/10.1029/2001JD000572, 2002.
 - Dubovik, O. and King, M. D.: A flexible inversion algorithm for retrieval of aerosol optical properties from Sun and sky radiance measurements, Journal of Geophysical Research: Atmospheres, 105, 20 673-20 696, https://doi.org/10.1029/2000jd900282, http://dx.doi.org/10.
- 1029/2000JD900282, 2000. 15
 - Dubovik, O., Holben, B. N., Lapyonok, T., Sinyuk, A., Mishchenko, M. I., Yang, P., and Slutsker, I.: Non-spherical aerosol retrieval method employing light scattering by spheroids, Geophysical Research Letters, 29, 54–1–54–4, https://doi.org/10.1029/2001gl014506, http://dx. doi.org/10.1029/2001GL014506, 2002.
- Dubovik, O., Sinyuk, A., Lapyonok, T., Holben, B. N., Mishchenko, M., Yang, P., Eck, T. F., Volten, H., Muñoz, O., Veihelmann, B., and 20 et al.: Application of spheroid models to account for aerosol particle nonsphericity in remote sensing of desert dust, Journal of Geophysical Research, 111, https://doi.org/10.1029/2005jd006619, http://dx.doi.org/10.1029/2005JD006619, 2006.
 - Eck, T. F., Holben, B. N., Reid, J. S., Dubovik, O., Smirnov, A., O'Neill, N. T., Slutsker, I., and Kinne, S.: Wavelength dependence of the optical depth of biomass burning, urban, and desert dust aerosols, Journal of Geophysical Research: Atmospheres, 104, 31 333-31 349, https://doi.org/10.1029/1999jd900923, http://dx.doi.org/10.1029/1999JD900923, 1999.
- 25 Eck, T. F., Holben, B. N., Sinyuk, A., Pinker, R. T., Goloub, P., Chen, H., Chatenet, B., Li, Z., Singh, R. P., Tripathi, S. N., and et al.: Climatological aspects of the optical properties of fine/coarse mode aerosol mixtures, Journal of Geophysical Research, 115, https://doi.org/10.1029/2010jd014002, http://dx.doi.org/10.1029/2010JD014002, 2010.
 - Eck, T. F., Holben, B. N., Reid, J. S., Giles, D. M., Rivas, M. A., Singh, R. P., Tripathi, S. N., Bruegge, C. J., Platnick, S., Arnold, G. T., and et al.: Fog- and cloud-induced aerosol modification observed by the Aerosol Robotic Network (AERONET), Journal of Geophysical
- Research: Atmospheres, 117, n/a-n/a, https://doi.org/10.1029/2011jd016839, http://dx.doi.org/10.1029/2011JD016839, 2012. Eilenberg, S. R., Bilsback, K. R., Johnson, M., Kodros, J. K., Lipsky, E. M., Naluwagga, A., Fedak, K. M., Benka-Coker, M., Reynolds, B., Peel, J., Clark, M., Shan, M., Sambandam, S., L'Orange, C., Pierce, J. R., Subramanian, R., Volckens, J., and Robinson, A. L.: Field measurements of solid-fuel cookstove emissions from uncontrolled cooking in China, Honduras, Uganda, and India, Atmospheric Environment, 190, 116-125, https://doi.org/https://doi.org/10.1016/j.atmosenv.2018.06.041, http://www.sciencedirect.com/science/article/
- 35 pii/S1352231018304321, 2018.

30

Feingold, G.: Modeling of the first indirect effect: Analysis of measurement requirements, Geophysical Research Letters, 30, https://doi.org/10.1029/2003g1017967, http://dx.doi.org/10.1029/2003GL017967, 2003.

- Gassó, S., Hegg, D. A., Covert, D. S., Collins, D., Noone, K. J., Öström, E., Schmid, B., Russell, P. B., Livingston, J. M., Durkee, P. A., and Jonsson, H.: Influence of humidity on the aerosol scattering coefficient and its effect on the upwelling radiance during ACE-2, Tellus B, 52, 546–567, https://doi.org/10.1034/j.1600-0889.2000.00055.x, https://doi.org/10.1034/j.1600-0889.2000.00055.x, 2000.
- Giles, D. M., Sinyuk, A., Sorokin, M. G., Schafer, J. S., Smirnov, A., Slutsker, I., Eck, T. F., Holben, B. N., Lewis, J. R., Campbell, J. R., Welton, E. J., Korkin, S. V., and Lyapustin, A. I.: Advancements in the Aerosol Robotic Network (AERONET) Ver-
- sion 3 database automated near-real-time quality control algorithm with improved cloud screening for Sun photometer aerosol optical depth (AOD) measurements, Atmospheric Measurement Techniques, 12, 169–209, https://doi.org/10.5194/amt-12-169-2019, https://www.atmos-meas-tech.net/12/169/2019/, 2019.
 - Gong, S. L., Barrie, L. A., Blanchet, J. P., von Salzen, K., Lohmann, U., Lesins, G., Spacek, L., Zhang, L. M., Girard, E., Lin, H., Leaitch,
- 10 R., Leighton, H., Chylek, P., and Huang, P.: Canadian Aerosol Module: A size-segregated simulation of atmospheric aerosol processes for climate and air quality models 1. Module development, Journal of Geophysical Research: Atmospheres, 108, AAC 3–1–AAC 3–16, https://doi.org/10.1029/2001JD002002, https://doi.org/10.1029/2001JD002002, 2003.
 - Holben, B., Eck, T., Slutsker, I., Tanré, D., Buis, J., Setzer, A., Vermote, E., Reagan, J., Kaufman, Y., Nakajima, T., Lavenu, F., Jankowiak, I., and Smirnov, A.: AERONET—A Federated Instrument Network and Data Archive for Aerosol Characterization, Remote Sensing of
- 15 Environment, 66, 1 16, https://doi.org/https://doi.org/10.1016/S0034-4257(98)00031-5, http://www.sciencedirect.com/science/article/ pii/S0034425798000315, 1998.
 - Holben, B. N., Eck, T. F., Slutsker, I., Smirnov, A., Sinyuk, A., Schafer, J., Giles, D., and Dubovik, O.: Aeronet's Version 2.0 quality assurance criteria, Proc. SPIE, 6408, 2006.
- Holben, B. N., Kim, J., Sano, I., Mukai, S., Eck, T. F., Giles, D. M., Schafer, J. S., Sinyuk, A., Slutsker, I., Smirnov, A., Sorokin, M.,
- 20 Anderson, B. E., Che, H., Choi, M., Crawford, J. H., Ferrare, R. A., Garay, M. J., Jeong, U., Kim, M., Kim, W., Knox, N., Li, Z., Lim, H. S., Liu, Y., Maring, H., Nakata, M., Pickering, K. E., Piketh, S., Redemann, J., Reid, J. S., Salinas, S., Seo, S., Tan, F., Tripathi, S. N., Toon, O. B., and Xiao, Q.: An overview of mesoscale aerosol processes, comparisons, and validation studies from DRAGON networks, Atmos. Chem. Phys., 18, 655–671, https://doi.org/10.5194/acp-18-655-2018, https://www.atmos-chem-phys.net/18/655/2018/, 2018.
- Li, J., Min, Q., Peng, Y., Sun, Z., and Zhao, J.-Q.: Accounting for dust aerosol size distribution in radiative transfer, Journal of Geophysical
 Research: Atmospheres, 120, 6537–6550, https://doi.org/10.1002/2015jd023078, http://dx.doi.org/10.1002/2015JD023078, 2015.
- Peng, Y., Zhao, J.-Q., Sun, Z., Zhao, W., Wei, X., and Li, J.: Sensitivity of dust radiative forcing to representation of aerosol size distribution in radiative transfer model, Journal of Quantitative Spectroscopy and Radiative Transfer, 219, 292 303, https://doi.org/https://doi.org/10.1016/j.jqsrt.2018.04.037, http://www.sciencedirect.com/science/article/pii/S0022407318301122, 2018.
 Pueschel, R. F., Russell, P. B., Allen, D. A., Ferry, G. V., Snetsinger, K. G., Livingston, J. M., and Verma, S.: Physical and optical properties
- 30 of the Pinatubo volcanic aerosol: Aircraft observations with impactors and a Sun-tracking photometer, Journal of Geophysical Research, 99, 12915, https://doi.org/10.1029/94jd00621, http://dx.doi.org/10.1029/94JD00621, 1994.
 - Reid, J. S., Koppmann, R., Eck, T. F., and Eleuterio, D. P.: A review of biomass burning emissions part II: intensive physical properties of biomass burning particles, Atmospheric Chemistry and Physics, 5, 799–825, https://doi.org/10.5194/acp-5-799-2005, http://dx.doi.org/10. 5194/acp-5-799-2005, 2005.
- 35 Sawamura, P., Moore, R. H., Burton, S. P., Chemyakin, E., Müller, D., Kolgotin, A., Ferrare, R. A., Hostetler, C. A., Ziemba, L. D., Beyersdorf, A. J., and Anderson, B. E.: HSRL-2 aerosol optical measurements and microphysical retrievals vs. airborne in situ measurements during DISCOVER-AQ 2013: an intercomparison study, Atmos. Chem. Phys. Discuss., 2016, 1–40, https://doi.org/10.5194/acp-2016-380, https://www.atmos-chem-phys-discuss.net/acp-2016-380/, 2016.

- Sheng, Z., Che, H., Chen, Q., Xia, X., Liu, D., Wang, Z., Zhao, H., Gui, K., Zheng, Y., Sun, T., Li, X., Liu, C., Wang, H., Wang, Y., and Zhang, X.: Aerosol vertical distribution and optical properties of different pollution events in Beijing in autumn 2017, Atmospheric Research, 215, 193–207, https://doi.org/https://doi.org/10.1016/j.atmosres.2018.08.029, http://www.sciencedirect.com/science/article/pii/ S0169809518306264, 2019.
- 5 Shingler, T., Crosbie, E., Ortega, A., Shiraiwa, M., Zuend, A., Beyersdorf, A., Ziemba, L., Anderson, B., Thornhill, L., Perring, A. E., Schwarz, J. P., Campazano-Jost, P., Day, D. A., Jimenez, J. L., Hair, J. W., Mikoviny, T., Wisthaler, A., and Sorooshian, A.: Airborne characterization of subsaturated aerosol hygroscopicity and dry refractive index from the surface to 6.5 km during the SEAC4RS campaign, Journal of Geophysical Research: Atmospheres, 121, 4188–4210, https://doi.org/10.1002/2015JD024498, https://doi.org/10.1002/ 2015JD024498, 2016.
- 10 Virkkula, A.: Correction of the Calibration of the 3-wavelength Particle Soot Absorption Photometer (3PSAP), Aerosol Science and Technology, 44, 706–712, https://doi.org/10.1080/02786826.2010.482110, https://doi.org/10.1080/02786826.2010.482110, 2010.
 - Ziemba, L. D., Lee Thornhill, K., Ferrare, R., Barrick, J., Beyersdorf, A. J., Chen, G., Crumeyrolle, S. N., Hair, J., Hostetler, C., Hudgins, C., Obland, M., Rogers, R., Scarino, A. J., Winstead, E. L., and Anderson, B. E.: Airborne observations of aerosol extinction by in situ and remote-sensing techniques: Evaluation of particle hygroscopicity, Geophysical Research Letters, 40, 417–422,

15 https://doi.org/10.1029/2012GL054428, https://doi.org/10.1029/2012GL054428, 2013.