

## Response to Reviewer 1

We are grateful to the reviewer for the very careful and thorough examination of our manuscript. We think the revised manuscript is substantially improved as a result.

Specific Comments:

1-1. Page 2, line 24: I do not understand the use of 'if' in this sentence.

1-2. Line 29: I would write 'versus' instead of '/' in 'RASS/radiosonde'.

**Response:**

1-1. We have revised the manuscript and eliminated the text beginning with "if" (line 24).

1-2. Done (line 28).

2-1. Page 3, line 47: 'measures' => I suggest 'provides'

2-2. Line 48: I would add 'from the measurement of the Doppler shift between the emitted and backscattered radar wave', after 'propagates vertically'

2-3. Line 49: 'among' => 'between'

**Response:**

2-1. Done (line 46).

2-2. We have added 'from the measurement of the Doppler spectrum' in lines 47–48 to make it consistent with the Doppler spectrum in Fig. 4.

2-3. Done (line 49).

3-1. Page 4, lines (54-55): This issue about the vertical velocity correction is very important and not well presented. It is obvious that  $w$  has to be included. The fact that you could not use  $w$  is an issue, and you show in the following how you cope with it (1-hour averages). The way the issue is introduced, at the beginning of the paper, is not satisfying especially the sentence : 'the vertical wind speed is considered in Eq (1) because the neglect of the wind velocity ....'. I explain why.

At the beginning (p 4), it is obvious that the correction has to be done. You mention p 7 (lines 112- 115), that  $w$  could not be used due to the automobiles echo. So I deduced you had used the oblique beams instead of the vertical one. I understood in the following that you did not. Please, could you clarify this issue in your presentation, perhaps by saying at the beginning that you had to neglect  $w$  and that this issue would have to be discussed?

3-2. If you cite May's and Angevine's papers it could be interesting to add (further on in the manuscript) some details of their discussion, including the discussion on the downward bias in the profiler velocity measurements mentioned in Angevine et al., 1998: the 1-hour average is a way to mitigate this effect.

**Response:**

3-1. We have added an explanation for this comment (lines 56–59 and 136–138): “However, we do not consider the radial wind speed in our experiments, because strong clutter sometimes contaminates the Doppler spectrum and masks the atmospheric echo in the vertical beam observation. This issue is addressed in later sections.”, and “Indeed, the vertical velocity correction can decrease the accuracy of RASS in situations with calm wind and a lower reliability of vertical wind measurements (Görsdorf and Lehmann 2000).”

3-2. We have modified the manuscript to respond to this comment (lines 209–211): “Using the hourly mean may also reduce the daytime downward bias (e.g., Görsdorf and Lehmann 2000; Adachi et al., 2005), which could be attributable to insects or hydrometeors that are undetectable (Angevine, 1997).”

4-1. Line 63: I would add ‘accurate’ before ‘corrections’

4-2. Line 64: if relevant, I would add ‘thermodynamic’ before ‘constants’

**Response:**

4-1. Done (Line 82).

4-2. Done (Line 83).

5. Minor comment: in lines 57, 59 and 61 respectively, you use brackets for two different purposes: with ‘bias (systematic error)’, ‘standard deviation (precision)’, the brackets provide the meanings whereas in ‘bias (standard deviation)’, you present an alternative. The latter use of the brackets is continued through the manuscript. The first use could be presented in a different way.

**Response:** We have revised our text to respond to this comment (lines 60–62): “The systematic error or bias of the virtual temperature measurements”, and “while the standard deviation or precision has also been reported around 1°C.”.

6-1. Lines 61 to 64: I was not convinced by the results you cite (Görsdorf’s and Lehman’s work) as long as I had not read the paper. My reservation was about the one year time average that reduced the bias as expected. When reading this paper, I was convinced and I found additional interesting information that would deserve to be discussed in your own paper (but probably not in the introduction):

- the reason for the range correction would be helpful to comment (see my point, Page 16, line 245). Görsdorf and Lehman are not the only ones who mention this range correction: Angevine et al., 1998 also do, but in the references you cite, Görsdorf and Lehman discuss the benefit of the correction at the inversion levels, which is a major advance and could feed your discussion.
- the distinction between climatological investigation and individual profile comparison is also an important point to comment: you can hardly compare errors amounts when they are computed with

varying time-scales (unless you discuss the conditions).

To conclude, I would say that the use of this reference comes too early and that you could take more advantage in discussing it further on.

6.2. In general, I suggest that you give more details about the results and the uncertainties that are reported in the literature, concerning the RASS assessment. For instance, Angevine et al., 1998 made a comparison of  $T_v$  during 1 month, at one level (396m agl) between a RASS system (UHF) and tower instruments. The uncertainties that they reported are expected to be different from those obtained for instance by Moran and Strauch (1994) who used a RASS with a VHF profiler, during 5 weeks (400 profiles, compared to RS). Moreover, in each case, some information should be given about the corrections (vertical velocity correction, range correction ...).

**Response:**

6-1. We appreciate the reviewer's suggestion. However, we did not find any discussion on the benefit of the range correction at the inversion levels in Görsdorf and Lehmann (2000). Instead, we have added an explanation to respond to the comment (lines 387–388): “the discrepancies in and below the inversion could be mitigated by considering the effect of the vertical airflow and/or **applying a range correction** “

6-2. We have revised the manuscript to refer more details reported in the literature and respond this comment (line 62-83): “May et al. (1989) compared...”

7. Page 5, line 66: you could also add ‘the distortion of the acoustic wave due to turbulence and vertical temperature gradients (Lataitis, 1992)’

**Response:** We have revised the text to respond to the comment (lines 86–87): “...in addition to the effects of **turbulence and vertical temperature gradients** (Lataitis, 1992).”

8. Line 68 : ‘A wind profiler/RASS has been used’=>Joined measurements of wind profiler and RASS have been frequently used’.

**Response:** We have revised the text to respond to this comment (line 88): “A wind profiler with RASS has been frequently used”

9. Line 71: ‘Important limitations of this method include the emission’ => ‘Among the limitations of this method, an important one is the emission’

**Response:** Done (lines 91–92).

10. Line 80 : ‘is expected’ => ‘would be promising’

**Response:** We have replaced “is expected” with “would be ideal” in line 101.

11-1. Page 7, line 100: please provide the latitude of the site

11-2. Line 106: ‘The MRI wind profiler’ => ‘The MRI 1357-MHz wind profiler’

11-3. Line 113: see my previous remarks about w (p. 4)

**Response:**

11-1. We have added the latitude of the site in Table 1.

11-2. We added the frequency in the manuscript (lines 128–129): “The profiler used in this study operated at 1357.5 MHz with 100 m pulse lengths”.

11-3. Please see our response #3-1.

12-1. Page 8, line 119: ‘In the experiments’ => ‘For the experiment purpose’

12-2. Line 122-123: about pseudorandom frequencies. This is either too much or not enough explained for a non-expert reader. Is it necessary to mention? I suggest to move this specificity to Table 1.

**Response:**

12-1. Done (line 142).

12-2. We have copied this term to Table 1 but also added an explanation for this term to respond to the comment (lines 150–152): “The frequency sweeps were randomly shuffled within each frequency range to make acoustic spectrum almost uniform (Angevine et al., 1994)”.

13-1. Page 9, line 134-137: am I right to say that the beamwidth of the speaker should be larger than the MRI beamwidth? Does that mean that you start with degraded conditions with the default sound beam? Is it the point you raised when you spoke of the parametric speaker directivity (line 22, page 2)? I raise this point since I wondered at the beginning whether the directivity would be an advantage or a drawback.

13-2. Line 137: turbulence will broaden the sound beam width, especially inside the boundary layer, but it will also reduce the measurement range, as you said before.

13-3. Line 137: ‘aloft’ is not accurate enough and I wonder whether it is appropriate here.

**Response:**

13-1. We believe that the beam width of the speaker should match that of profiler, because if the former is much narrower than the latter, no RASS echo is observed. On the other hand, if the former is larger than the latter, the height coverage is reduced because of decrease of the peak power as shown in Fig 2. We have added an explanation to respond to this comment (lines 162-168): “Prior to the designing of the MRI PAA, we made...”.

13-2. The reviewer is right. However, we expect that the size of the sound spot matches the width of the radio wave width by the beam broadening due to turbulence. We have added an explanation to respond to the comment (line 172): “and match the radio beam width”.

13-3. We have removed “aloft” (line 171).

14-1. Line 138: ‘measured in the field’ I would add: ‘with a sound level meter’.

14-2. Could you describe the measurement protocol?

**Response:**

14-1. Done (line 175).

14-2. We have an explanation of the protocol to respond to this comment (lines 173-180): ”In order to measure the audible sound pressure level...” .

15. Line 143: ‘and is **therefore** significantly more annoying’ (therefore can be added if you find it relevant).

**Response:** We have added the term in line 186.

16. Page 11, line 161: at 10-m height?

**Response:** This was typo as the reviewer pointed out, and we have revised the manuscript to respond to this comment (lines 203–204): “at 20 m AGL”.

17-1. Lines 163-167 : my first reaction is that the launching occurred in a period of the day when the time temperature gradient is important and the boundary layer top level is rapidly increasing. You discuss this point later on, but you could already add a few comments.

17-2. I also suggest to add a column in Table 3, with the time difference between the launching and the sunrise time. I calculated 2h30 to 4h. Am I right?

**Response:**

17-1. We have revised the manuscript to respond to this comment (line 205): “which may be preferable for the formation of inversion layer”.

17-2. Since the analysis of the inversion layer evolution is beyond the scope of the present study, we did not provide the sunrise time for all the experiments in Table 3 but added it just for a sample profile for Fig. 8 (line 372): “This observation was made more than 3 h after sunrise (05:15 JST) on that day.” Yes, the reviewer’s estimation time was right.

18. Line 170: I do not understand the meaning of ‘availability’. Do you mean ‘practical relevance’?

**Response:** We have replaced “availability” with “applicability” (line 215).

19. Page 12, line 182: tell me if I am wrong, but it seems to me that the reference (ISO 1993) is not easily available. Could you provide (in an appendix) the equations to calculate the sound attenuation coefficient and the attenuation, derived from the RS measurements?

**Response:** The reference is available online at: <https://www.iso.org/standard/17426.html>. However,

we have added a derivation of equations and some results in an appendix to respond to this comment.

20-1. Page 13, line 200: 'the PAA also reached a minimum altitude of 1.1 km AGL' => 'the PAA reached an altitude of 1.1 km AGL'.

20-2. Lines 203-206: this unique example is not enough to draw a conclusion. So, I would use 'may reach' instead of 'can reach' and indicate that the propagation level will be systematically compared between the 2 systems. or, you can simply say that the example in Fig. 4 is promising....

**Response:**

20-1: Done (line 246).

20-2: We have replaced "can reach" with "may reach" in line 250, and added "The height coverage of the two speaker systems are discussed later." in lines 252–253 to respond to this comment.

21. Fig. 5: usually, the backscattered signal of wind profiler radars is artificially corrected at the first gate to avoid receiver saturation. Are you sure it is not the case for RASS systems? Could this be a reason for the increase of the echo power between the first and the second gate?

**Response:** We think that the reviewer is talking about the STC. Our wind profiler does not implement the STC since it is equipped with an A/D converter with wide (16-bit: 96dB) dynamic range. We believe the increase between the first and the second gate were caused by other reasons, as Lataitis (1992) pointed out. We have added an explanation to respond to this comment (lines 311–314): "factors including the recovery of the receiver and incomplete overlapping of the electromagnetic and acoustic beams due to the special separation between the antenna and speaker systems could lead to a significant gradient in the receiving power at this gate (Lataitis, 1992)."

22-1. Page 15, line 228: 'available' => 'convenient'

22-2. Line 231: 'immediately' => 'sharply'

**Response:**

22-1. We have replaced "available" with "applicable" in line 287.

22-2. Done (line 290).

23-1. Fig. 6: I suppose your sample of profiles is the best you could provide. Would it be possible to have a look on the corresponding Received Power profiles?

23-2. Why is there such a large difference in the number of data (320 and 237 in Fig. 6a) while the measurement range is the same for both systems? On the opposite, in Fig. 6d, 96-90=6 is small while the PAA vertical range is 200 m lower than the acoustic speaker. I probably miss something.

23-3. I suppose you tried comparisons with RS data averaged during 15 min or 30 min. Were the results so bad?

**Response:**

23-1. We have added profiles of  $P_r$  in Fig. 6, and an explanation to respond to this comment (lines 317–321): “It is noteworthy ...a received RASS echo power...”.

23-2. This happens because the height coverage changes with time especially for the PAA as shown error bars in original Fig. 10. In that figure, the second left (2.1m/s) is the data for Fig. 6a. (see Table 3). The PAA has longer error bar than the ACS, showing wider variation of the height coverage. In contrast, for Fig. 6d (4<sup>th</sup> left, 3.1m/s in original Fig. 10), the error bars are relatively short for each system indicating small variation of the coverage. The height coverage in Fig. 6 reflects the maximum height coverage in the RASS duration. Therefore, the number of the data has weak relation with the height coverage; rather, it is related to mean height coverage as written in lines 348–349. To avoid confusion, we have revised the manuscript (lines 438–439 and 461–464): “which (is =>) may also be reflected by the fewer number of data in the statistics”, and “Note that the mean RASS height coverage shown in the figure is different from the height coverage of the mean virtual temperature profile in Fig. 6, because the latter reflects the maximum height coverage within the observed profiles after quality control in the duration of the RASS measurement.”

23-3. We have tried to average for 30 min from 0815 to 0845. For instance, on 15 October, when there was no inversion layer aloft, the statistical results for 30 min mean, (bias, STD, N) = ACS(-0.19, 0.13, 165), and PAA(-0.18, 0.14, 124) were not very different from those for 60 min in bias (Fig. 6a). However, in the case of 8 November, when inversions were evident, the statistics, ACS(-0.13, 0.26, 152) and PAA(-0.81, 0.32, 106), became worse in bias than that for 60 min (Fig. 6c). Standard deviations were slightly improved by averaging over 30 min for both cases because it may reflect the time evolution of the temperature profile. However, the maximum height coverage for PAA reduced 100 m (one gate) by decreasing mean time for both cases although those for the ACS did not change.

24-1. Page 16, line 245: ‘(e.g. Figs 6n and 6c)’ => 6a also.

24-2. Line 249 : I suggest that you give here the reason for the range corrections presented by Görsdorf and Lehmann and that you briefly explain the processing.

24-3. The range corrections should be applied to the whole profile (and not only at the first gate), to mitigate the discrepancies at the inversion levels.

**Response:**

24-1. Done (line 309).

24-2. We have added an explanation to respond to the comment (lines 314–317): “In addition, a range error (e.g., Angevine and Ecklund, 1994; Görsdorf and Lehmann, 2000; Johnston et al., 2002) caused by the height variation of the backscatter intensity may also contribute to the smaller received power.”

24-3. We have revised the text as mentioned above (#24-2) and in lines 387–388: “... the discrepancies in and below the inversion could be mitigated by ... **applying a range correction**.”

25. Lines 251 to 253 (page 16, ‘The RASS data were averaged for about an hour. The RS data were smoothed by 100 m running means to match the RASS observations)’ should be moved p15, just before the comments on Fig. 6.

**Response:** Done (line 301).

26-1. Page 18, line 278: as said before for Fig. 6, I’m not convinced by the argument of the link between the smaller data number for the PAA and the height coverage. In addition, the wind is relatively stronger at 1300m in Fig. 5a, while the height coverage is similar for both systems.

26-2. However, I also find it necessary to discuss the effects of time evolution on the temperature profiles and of wind.

**Response:**

26-1. Please see our response #23-2.

26-2. We have added an explanation to respond to this comment (lines 464–466): “The long error bars may reflect the large time evolution of the RASS height coverage, which may also be related to the evolution of the wind in the duration.”

27-1. Page 19, line 296: what is the standard deviation of the temperature increase?

27-2. Line 297: ‘In this case’ is awkward.

**Response:**

27-1. We have added “with a standard deviation of 1.0°C” in lines 366–367.

27-2. We have replaced “ In this case” with “Thus” in line 367.

28. Lines 298-300: The comparison with the RS is good for an average of one-hour and you well explain that such an average is necessary to mitigate the errors due to the lack of the vertical velocity measurement. I agree that there are several reasons that could explain the RASS standard deviation during one hour, but that should not affect the average (unless surface covers or advection processes would be drastically different between the RS launching site and the RASS site). So what do you mean by ‘degrading the statistics’? Increasing the standard deviation?

**Response:** We mean that an average period may affect not only the standard deviation but also the bias by “degrading statistics”. For instance, 10 min mean  $T_v$  [°C] observed at 1.2 m AGL from 08:00 JST for 1 hour on 15 October 2016 were as follows, (08:00, 14.175), (08:10, 14.900), (8:20, 15.840), (8:30, 17.185), (8:40, 17.158), (8:50, 17.572), and (9:00, 18.142). The mean for this hour is 16.42°C at 08:30 but this value may change with averaging period (Note 17.19°C was observed at 08:30). Although they are values observed near the ground, we believe that an average period may affect the bias and would like to retain “degrading the statistics” in the text (line 370).

29. Pages 19 and 20 and Fig. 8:

Are the 1-hour profiles of the RASS centered around 30 min after the RS launch (8h30 in the caption of Fig. 8)? Why did not you center them on the launch time since you are interested in the low layers?

**Response:** We centered the RASS duration on the launch time; The RSs were launched not at 08:00 JST but at 08:30 JST as written in line 201, and the most of RASS durations were from 08:01 to 09:00 to center the RS launch as shown in Table 3.

30-1. I do not find that the 1min-RASS profiles in Fig. 8 are closer to the RS profile than the RASS 1-hour average. It is true for some points, but not for all and it is not surprising:

30-2. I think it is not significant to compare the 1-min RASS profiles to the RS profile since 1-min RASS estimations include fluctuations (temperature fluctuations and fluctuations linked to the measurement process). 1-min is too short to include enough time (or space) scales of turbulence. So the 1-min profiles reveal some snapshots, which could be very different, 2 min later and a fortiori, different from a RS profile, measured 400-m apart (in addition to the fact that the radiosonde takes around 3.25 min to reach 1300 m).

30-3. It could be interesting to check the time evolution of the RASS profiles during the hour. Would this evolution seem erratic like in a turbulence process? Or could we see a slow increase of the inversion layer? (I do not require that you include this in your next manuscript, but it could be interesting to discuss this point).

30-4. As far as the inversion levels are concerned, I tried to join the points of the 1-min profiles in Fig. 8. The first inversion level that is detected at around 650 m with the RS is found at around 520 m and 500 m by the acoustic and parametric speakers respectively. This discrepancy could perhaps be decreased by a range correction, but there again, you could not conclude due to the lack of vertical velocity information (that can hardly be neglected when two successive 1-min profiles are compared).

**Response:**

30-1. We have revised the text to respond to the comment (lines 378–380): “By contrast, the 1 min raw RASS data recorded around the radiosonde launch time represented the inversion layer better than the mean RASS measurements **at some points**”.

30-2. As reviewer pointed out, 1-min is too short to include the time and space scales of turbulence and causes large error, if the temperature fluctuation due to turbulence is very large. However, in that case, the radiosonde data sampled at 1 s cannot be used as a standard reference temperature measurement because the mean time for radiosonde observation at each height is less than 17 s even after applying 100 m running means. Since there are some conformities between the radiosonde and RASS in measuring  $T_v$  profiles, we believe (and assume) the temperature fluctuation due to fluctuation was small and averaged out to some extent. We have revised the text to take the effect of turbulence into

account and to respond to this comment (lines 303–304 and 380–382): “The running mean may also play a role to mitigate the effect of the temperature fluctuation due to turbulence on the radiosonde measurements.”, and “which may have been due to the locality of the inversion layer, the effects of vertical air motion or **turbulence**, ...”.

30-3. We have tried to make a time-height cross section of  $Tv$  (Fig. 1R) just for the reviewer. Although a cold layer, which was a component of the inversion layer, was analyzed at about 600 m AGL from 08:05 JST (or before), the layer is evanescent in the figure. On the other hand, since the temperature observed at surface did not fluctuate as is seen at low altitudes (200 – 400 m AGL) in this figure, this fluctuation was not true but was likely biased by the vertical air flow. Thus, we expect that a long-lived cold layer would be analyzed longer in time if the correction for the vertical component of the wind can be applied. However, since it is beyond the scope of this study, we do not think it is interesting to discuss the evolution of the inversion layer with this figure.

30-4. We have added an explanation to respond to the comment (lines 387–388): “the discrepancies in and below the inversion could be mitigated by considering the effect of the vertical airflow and/or **applying a range correction.**”

31. Nonetheless I agree with your conclusion p. 20: ‘a comparison with measurements that have both small spatial difference and high time resolution is needed to evaluate the PAA-RASS measurements.’

**Response:** We appreciate the reviewer’s comment on this.

32. Page 22, lines 341 to 345: I do not agree with these arguments since they describe atmospheric phenomena whose time scales are larger than 1 min. I think, as said before, that the variability comes from the local turbulence and also perhaps from the physics of measurement that is probably different between the 2 systems. Could the difference in the beam widths play a role? The fact that the standard deviation (0.43) in the 1-min statistics of PAA vs acoustic is around the same as the standard deviation (0.4) of the 1-hour statistics of both RASS vs RS reinforces the idea that small scale processes are responsible for the variability (in addition to processes at larger scale like the increase of the inversion levels with  $z$  and time).

**Response:** We agree that the standard deviation (0.43) in the 1-min statistics of PAA vs. acoustic could be mostly due to local turbulence since the spatial difference was negligible and the time difference was quite small as the reviewer pointed out. We have revised the text to respond to this comment (lines 415–417 and 564–565): “Since the spatial difference was negligible and the time difference was quite small, the reason for this discrepancy could include **temperature fluctuation due to turbulence.**”, and “temperature fluctuation due to turbulence could contribute to deteriorate the statistics.” We do not think that the difference in the beam width play the role (as long as temperature is horizontally homogeneous, which we assumed in comparisons with radiosondes).

33-1. Page 23, line 364: see my questions about Fig. 6 (p. 15)

33-2. Line 368-369: 'I do not understand: On the other hand, the results also suggest that the reason may include the effect of wind aloft (e.g. Fig. 5a)' since the height coverage is the same for this specific case.

33-3. Line 369: 'wind aloft' should be defined here and not p. 24, lines 364-365.

33-4. Are you sure wind is measured at 1 m? (1 to 1200 m AGL). How did you compute the standard deviation of the wind?

**Response:**

33-1. Please see our response # 23-2.

33-2. See our response # 23-2. We have also revised the manuscript to avoid confusion (lines 293-295): "This suggests that the PAA **has enough peak power to reach the highest range gate but** is more susceptible to high winds than the acoustic speakers".

33-3. We would retain the location of the definition of "wind aloft" in line 456, because this range is defined just for Fig. 10, which is introduced first in line 452.

33-4. This was a typo, and we have corrected (lines 456-457): "the mean wind from **20** to 1200 m AGL (Table 3)". The standard deviation of the wind speed in Table 3 was derived from the radiosonde data by

$$\sigma = \sqrt{\frac{1}{N} \sum_{i=1}^N (x_i - \bar{x})^2}$$

, where  $x_i$  is the observed  $i^{\text{th}}$  wind speed data from the beginning of the observation, and the altitude of the  $N^{\text{th}}$  data is the closest to but less than or equal to 1200 m AGL.

34. Page 24, line 384: the linear regression for the acoustic speaker is not relevant. I would remove it from Fig. 10.

**Response:** We have revised Fig. 10 based on the reviewer's comment # 47-2.

35. Lines 388-389: 'This suggests that the acoustic speaker RASS **keeps on observing** at a high altitude **even** in relatively high wind conditions.'

**Response:** Done (line 477).

36-1. Page 27, line 423: 'may be distorted' => 'may have been distorted'.

36-2. Line 424 : 'may be needed to be steered' => 'might have been steered'.

**Response:**

36-1. Done (lines 515-516).

36-2. Done (line 516).

37. Page 29, line 455: 'does' should be removed.

**Response:** Done (line 574).

38. Page 30, line 473: I do not understand 'but frequency is likely to be less'.

**Response:** This term (line 592) means the frequency of the occurrence in other seasons, not the frequency of the acoustic and/or radio wave.

39. Additional question: does an emission around 40 kHz require an authorization?

**Response:** There is no requirement for authorization at least in Japan. However, there are some recommendations from organizations including WHO. We have added Section 4.4 to respond to this comment.

40. As a conclusion, I would be pleased to see your future works with this system, with more favorable conditions such as: the possibility to measure  $w$ , some range corrections, measurements during the whole day. I am still wondering whether the parametric system would be more efficient than the acoustic system at inversion layers (due to its narrower bandwidth).

**Response:** We thank the reviewer for his/her positive conclusion.

41. Table 3: I would use U instead of W (even if W is not  $w$ ) and would add '(1 to 1200 m AGL)' after 'wind aloft'.

**Response:** Done (Table 3).

42. Figure 5: 'except for the first range' => '(except for the first range)'.

**Response:** Done (Fig. 5).

43-1. Figure 6: 'from 08:30 JST' is not convenient for the 4 profiles. See also my previous remark about Fig. 8, concerning the center of the 1-hour time average.

43-2. 'The error bars represent  $2\sigma$  in the RASS hourly observations' ('hourly' added).

**Response:**

43-1. Please see our comment #29; The RSs were launched not at 08:00 JST but at 08:30 JST in Japan.

43-2. Done (Fig. 6).

44. Figure 7: I would say RASS vs. radiosonde instead of radiosonde vs. RASS, but the editor will confirm or refute.

**Response:** Done (Fig.7).

45. Figure 8: ‘RASS with acoustic speakers (red) between 8h01 and 8h02’ ‘RASS with parametric speaker (blue) between 8h02 and 8h03’

**Response:** Since radiosonde was launched at 08:30, we did not change the time for the RASS measurement. Instead, we have revised the text to make it clear (Fig. 8): “closed circles represent 1 min raw data **from the time indicated.**”

46-1. Figure 9: Same remark as in Fig. 7 for the use of vs.

46-2. ‘(except for the first gate)’

46-3. ‘a normalized frequency diagram (**color scale**)’

46-4. ‘the mean **hourly** data were plotted in (b)’

**Response:**

46-1– 46-3. Done (Fig. 9).

46-4. We have replaced “mean data” with “hourly-mean data (Fig. 9).

47-1. Figure 10: ‘wind speed aloft (**1-1200m**)’

47-2. Please remove the linear regression for the acoustic speaker or present two legs: one horizontal from 1.5 to 5.5 m/s and another oblique one for stronger winds.

**Response:**

47-1. We have replaced “aloft” with “aloft (20–1200 m AGL)” in Fig. 10.

47-2. Done in Fig. 10. However, we have replaced the mean wind speed aloft with the horizontal displacement for the horizontal axis.

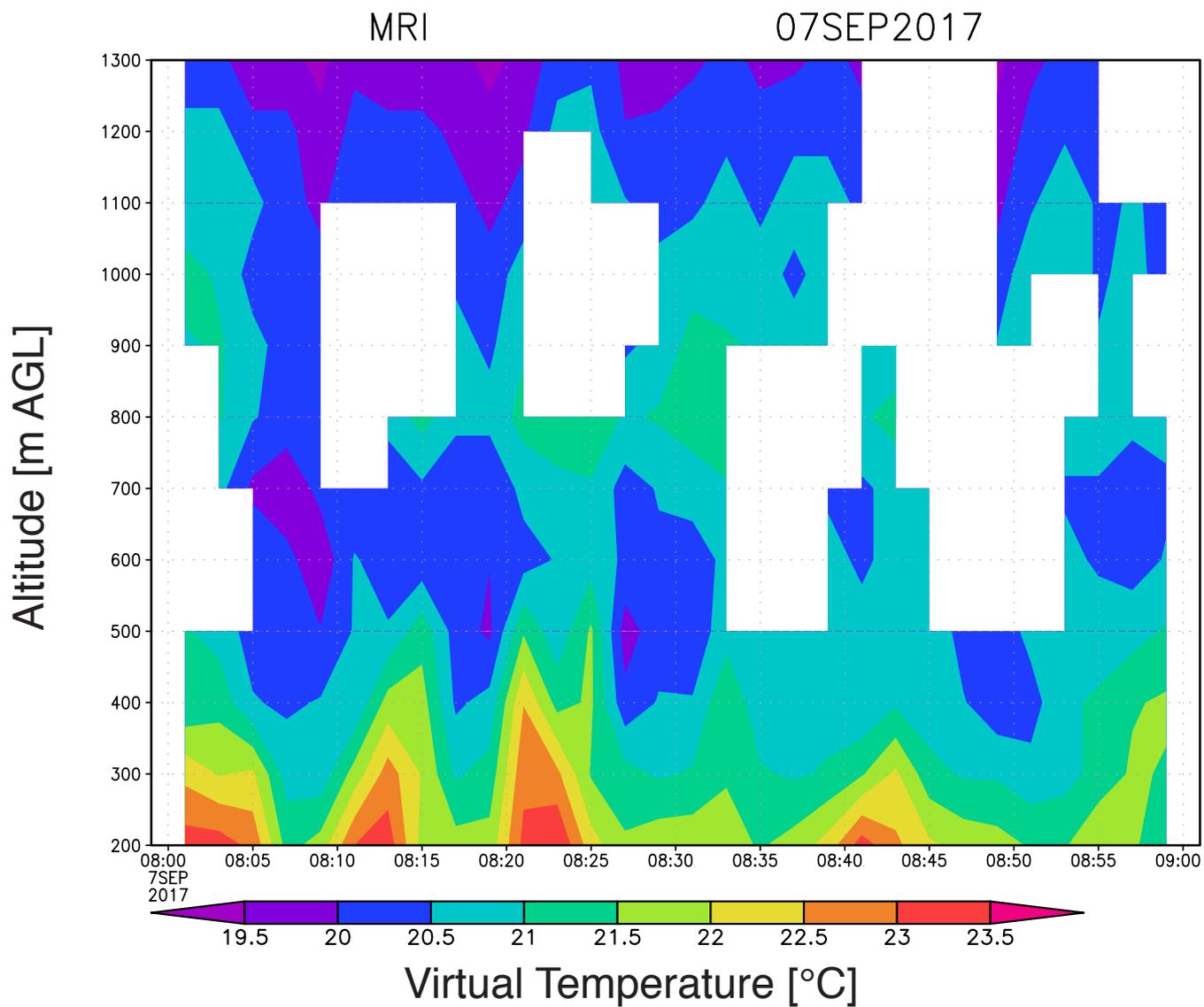


Fig. 1R. Time height cross section of virtual temperature observed with RASS averaged over 2 min.

## Response to Reviewer 2

We are grateful to the reviewer for the very careful and thorough examination of our manuscript. We think the revised manuscript is substantially improved as a result.

Specific Comments:

1. Line 54: In order to get the true acoustic speed in a particular antenna beam direction, the radial wind speed should be subtracted from the measured acoustic speed. Therefore, “vertical wind” should be replaced with “radial wind”.

**Response:** We have replaced “vertical wind” with “radial wind” in lines 50, 54 and 56.

2. Lines 138-144:

- 2-1. The sound pressure level (SPL) output of the PAA in the audible range is given in dB. It would be better to give the reference to weighting curve e.g. dBA or dBZ to make it more explicit.
- 2-2. In figure 2, the SPL is measured at a distance of 25 m from the PAA. As the general practice of measuring SPL for an acoustic source is at 1 m above the source, the reason for measurement having been done at 25 m should be explained.
- 2-3. Why is the SPL of PAA not available in the elevation angle range of  $0^\circ - 40^\circ$ . This measurement is of high relevance as the unique advantage of PAA is high directivity (meaning low transmission along the horizon when transmitting vertically). Effort should be made to provide these measurements.

**Response:**

2-1. We have added “dBA” (line182): “the SPL was less than 55 dB (**dBA**) at a zenith angle of  $40^\circ$ ”

2-2. We have added an explanation to respond to this comment (line 174): “The measurements were made ... at a distance of 25 m, because a range of 10 m would be necessary to complete producing audible sound from ultrasound with a PAA of this size (Prof. Kamakura, 2018, personal communication). Safety was also considered for the level-meter operators in determining the distance, as is discussed later.”

2-3. We have revised Fig. 11 to show the SPL of the PAA.

Section 3.1 :

3. Lines 175-177: It is stated that “the PAA radiates bifrequency primary waves that are around 37 kHz and 40 kHz”. However, Table 2 indicates Amplitude Modulation (DSB). It is not clear if these two frequencies were generated simultaneously by two halves of the PAA or the 40 kHz was modulated with the desired audio frequency. This should be clarified.

**Response:** The reviewer is right. The term DSB in the original Table 2 was wrong and removed. (We

don't think that the AM works well for RASS because ultrasound, the carrier, cannot propagate long distances.)

The two frequencies were generated simultaneously by all transducers of the PAA. To avoid confusion, we have revised our manuscript (lines 219–222): “The MRI PAA radiates bifrequency primary waves that are around 37 kHz and 40 kHz from all the transducers **simultaneously** to generate the parametric sound”.

4. Further, it is stated in line 122 that pseudorandom frequencies were chosen. What is the range of frequencies and how were they sequenced.

**Response:** We have added an explanation for this comment (lines 145–152): “Prior to every experiment, an acoustic wave with a wide frequency range (2715 to 3265 Hz corresponding to about  $\pm 50^\circ\text{C}$ ) was generated to detect center Doppler frequency of the RASS echo. Then, during each experiment, the emitted acoustic frequency range was automatically narrowed down to a shorter frequency span (130 Hz, corresponding to about  $\pm 12^\circ\text{C}$ ) around the detected center frequency to increase SNR and height coverage. The frequency sweeps were randomly shuffled within each frequency range to make acoustic spectrum almost uniform (Angevine et al., 1994).”

5. The ultrasonic SPL generated by the PAA is 200 dB which is extremely high. As per several studies (cf.[1] and [2]) physiological effects start manifesting in small animals at 120 dB and increase in severity with increasing SPL; exposure above 180 dB, death of a human could occur. Observations of insect, animal or bird mortality in the vicinity of the PAA should also be mentioned. Instances of hearing loss or any other discomfort faced by operators exposed to the PAA should be mentioned for the benefit of prospective users. In view of the high potential for biological hazard from this speaker, the paper should clearly mention the potential for harm from these high levels of ultrasound and give references to internationally accepted safety procedures to be adopted while using high power ultrasonic sources.

**Response:** We have added Section 4.4 for this comment. We have also added some considerations for safety in the revised manuscript (e.g., line 178 and Fig. 1d). We thank the reviewer for this comment and providing the references.

6. Section 4.3: The effect of horizontal wind on the height coverage can be estimated using acoustic ray tracing. Therefore, it is recommended that the discussion about height coverage should be given with reference to the ray tracing results.

**Response:** We have estimated the horizontal displacement of the sound from the radio wave by using acoustic ray tracing and revised Figs. 5 and 10 to discuss the effect of horizontal wind on height coverage in Section 4.3.

7. Line 408: How was the power decreased by 15 dB – by reducing the input drive or by using smaller aperture. This clarification should be added.

**Response:** The decrease in line 497 was not made manually but associated with the beam broadening as written in the same line. On the other hand, the peak power was reduced manually in measuring the SPL at multiple zenith angle (Fig. 11). We have added an explanation for this comment (line 503): “The peak power was decreased by about 7.5 dB by reducing the power supply to the PAA amplifier, which decreased not only the audible sound but also the ultrasound levels for practical reasons (noisy) and measurement safety.”

Minor corrections

8. Line 80: Replace “is expected” with “would be ideal”.

**Response:** Done (line 101).

9-1. Line 86: Replace “audible frequencies” with “frequencies in the audible range”.

9-2. Line 88 : Replace “Hence after” with “Thereafter”.

**Response:**

9-1. Done (line 106).

9-2. Done (line 109)

10. Line 107: Add “and” between Oceanic and Atmospheric

**Response:** Done (line 127).

11. Line 124: Replace “comprised” with “consists of”.

**Response:** Done (line 153).

12. Line 136: Replace “broaden” with “broadened”.

**Response:** Done (171).

13. Line 199: Replace “reached” with “were obtained from altitudes”

**Response:** Done (line 245).

14. Line 200: Replace “also reached” with “were obtained from”

**Response:** We have modified the text to response to a comment from another reviewer (line 246): “the PAA reached an altitude of 1.1 km AGL”.

15. Lines 396- 399: The sentence need to be rewritten. I suggest as follows,

“Since the four acoustic speakers were not adjusted in phase, this robustness could be explained by the higher aggregate sound power than that shown in Fig. 2 and possible location of sound waves above the antenna in spite of relatively high winds.”

**Response:** Done (lines 485–488). Thank you.

16. Line 429: Replace “availability” with “applicability”.

**Response:** Done (line 546).

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# Application of Parametric Speakers to Radio Acoustic Sounding System

by

Ahoro ADACHI<sup>1</sup> and Hiroyuki HASHIGUCHI<sup>2</sup>

<sup>1</sup>Meteorological Research Institute, Japan Meteorological Agency

1-1 Nagamine, Tsukuba, Ibaraki 305-0052, Japan

<sup>2</sup> Research Institute for Sustainable Humanosphere, Kyoto University

Gokasho, Uji, Kyoto 611-0011, Japan

*Correspondence to:* Ahoro ADACHI (aadachi@mri-jma.go.jp)

Tel: +81-29-853-8584 / Fax: +81-29-856-0644

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17

18 **Abstract**

19 In this study, a wind profiler with radio acoustic sounding system (RASS) and  
20 operational radiosonde measurements were used to investigate the technical  
21 practicability and reliability of using parametric speakers to measure the vertical profile  
22 of virtual temperature. Characteristics of parametric speakers include high directivity  
23 and very low sidelobes, which are preferable for RASS, especially those operating at  
24 urban areas. The experiments were conducted on fine days with light winds to mitigate  
25 the effects of the horizontal and vertical components of wind on acoustic waves used for  
26 RASS. The results of this study indicated that, although parametric speaker RASS is  
27 susceptible to horizontal winds due to the narrower acoustic beam, bias and standard  
28 deviation of parametric speaker RASS versus radiosonde virtual temperature difference  
29 ( $0.1^{\circ}\text{C}$ ,  $0.4^{\circ}\text{C}$ ) were close to that from acoustic speakers ( $0.0^{\circ}\text{C}$ ,  $0.4^{\circ}\text{C}$ ). In addition,  
30 when compared with acoustic speaker RASS, the values for the parametric speaker  
31 RASS were even smaller ( $0.1^{\circ}\text{C}$ ,  $0.2^{\circ}\text{C}$ ). Based on these results, it is concluded that the  
32 parametric speaker RASS has accuracy and precision comparable with acoustic speaker  
33 RASS despite its high directivity of sound.

34

## 35 **1 Introduction**

36 Accurate measurements of temperature are essential in weather forecasting and studies  
37 of atmospheric dynamics at all scales. The radio acoustic sounding system (RASS) is a  
38 ground-based remote sensing technique that provides vertical profiles of virtual  
39 temperature from a few hundred meters above the surface up to several kilometers in  
40 elevation (Marshall et al., 1972; Peters et al., 1985). RASS technique has been applied  
41 to wind profilers, whereby vertical profiles of virtual temperature can be measured with  
42 the same temporal and spatial resolution that the profiler uses to measure winds (e.g.,  
43 Adachi et al., 2005) with a relatively high degree of reliability (Matuura et al., 1986;  
44 Moran et al., 1991; Angevine and Ecklund, 1994).

45 When using RASS techniques, one or more acoustic sources are co-located with  
46 an antenna, and the profiler provides the vertical profile of the speed at which the  
47 acoustic disturbance propagates vertically (Angevine et al., 1994) from the  
48 measurement of Doppler spectrum. RASS temperature measurements can be obtained  
49 on the basis of the relationship between the virtual temperature  $T_v$  (°C), the local speed

50 of sound  $C_a$  (m s<sup>-1</sup>) and the measured **radial** wind speed  $w$  (m s<sup>-1</sup>), and a good  
51 approximation can be obtained by

$$52 \quad T_v = \left( \frac{C_a - w}{20.047} \right)^2 - 273.15. \quad (1)$$

53 Thus, a vertical profile of the speed of sound can be converted to a profile of virtual  
54 temperature. The **radial** wind speed is considered in Eq. (1) because the neglect of the  
55 wind velocity along the beam is the largest source of error in RASS measurements (e.g.,  
56 May et al., 1989; Angevine et al., 1994). **However, we do not consider the radial wind**  
57 **speed in our experiments, because strong clutter sometimes contaminates the Doppler**  
58 **spectrum and masks the atmospheric echo in the vertical beam observation. This issue is**  
59 **addressed in later sections.**

60 The systematic error **or bias** of the virtual temperature measurements from RASS  
61 observations have been shown to be less than 1°C, while the standard deviation **or**  
62 **precision** has also been reported around 1°C. **May et al. (1989) compared virtual**  
63 **temperatures obtained from 915 and 50 MHz RASS with those obtained from**  
64 **radiosonde measurements. The RASS data was averaged over approximately 6 min, and**  
65 **about 50 soundings covering both the summer and winter seasons were examined. Both**

66 the bias and the standard deviation were about 1°C, even without the application of the  
67 vertical velocity correction. On the other hand, Martner et al. (1993) assessed the  
68 performance of 915, 404 and 50 MHz wind profilers with RASS by comparing with  
69 about 150 radiosonde measurements. They found that the bias (standard deviation) was  
70 less than 0.3°C (about 1°C) for most systems, even though they did not make the  
71 correction for vertical air motions, as the comparison was made under low vertical wind  
72 conditions. Moran and Strauch (1994) compared temperature profiles obtained using a  
73 VHF wind profiler with RASS with those obtained from radiosondes during a 5-week  
74 period. They reported that the accuracy (standard deviation) was 0.9°C (less than 1°C),  
75 after the application of the vertical velocity correction. Moreover, Angevine et al.  
76 (1998) compared the virtual temperature measured by a 915 MHz wind profiler with  
77 RASS with *in situ* observations at 396 m AGL on a tower. They found that the precision  
78 of the RASS measurements was less than 0.9 K after the application of the vertical  
79 velocity correction and corrections for thermodynamic constants. In addition, Görsdorf  
80 and Lehmann (2000) reported that the bias (standard deviation) of the RASS  
81 measurements with a 1.3 GHz wind profiler is 0.1K (0.7K) from the data observed for a

82 year compared with radiosondes if **accurate** corrections for vertical velocity, range, and  
83 **thermodynamic** constants are applied. On the other hand, the height coverage of RASS  
84 depends on the radio wave frequency deployed (May et al., 1988; **Martner et al., 1993**)  
85 but is also limited by both the advection of the sound wave with the horizontal wind and  
86 the atmospheric attenuation of the acoustic signal **in addition to the effects of turbulence**  
87 **and vertical temperature gradients (Lataitis, 1992).**

88 A wind profiler **with** RASS has been **frequently** used to study the dynamics of the  
89 atmosphere, especially in the boundary layer (e.g., Neiman et al., 1992; Peters and  
90 Kirtzel, 1994; May, 1999; Bianco and Wilczak, 2002; White et al., 2003; Adachi et al.,  
91 2004; Hashiguchi et al., 2004; Chandrasekhar Sarma et al., 2008). **Among the**  
92 **limitations of this method, an important one is** the emission of strong sound waves,  
93 whose frequency cannot be arbitrarily selected, but determined by the wavelength of the  
94 radio wave used by the profiler to match the Bragg condition (the acoustic wavelength  
95  $\lambda_a$  is equal to half the electromagnetic wavelength  $\lambda_e$ ). Although the acoustic speakers  
96 used for RASS measurements are usually co-located with the antenna and directed  
97 vertically so that the generated sound wave propagates along the radio wave, a large

98 portion of the sound wave leaks horizontally because of the sidelobes of the speakers,  
99 which prevents the temporal and/or continuous operation of RASS in urban  
100 environments (Wulfmeyer et al., 2015). Thus, a new type of speaker that has extremely  
101 low sidelobes **would be ideal** for RASS measurements.

102 A theoretical study of parametric speakers (or parametric acoustic array, PAA)  
103 was established by Westervelt (1963). That study revealed that the nonlinear interaction  
104 between two collimated high-frequency sound beams in an ideal fluid medium produces  
105 two new waves with a sum and difference frequencies, and the latter may be used to  
106 produce narrow beams of sound at relatively low **frequencies in the audible range**.

107 Berkta and Leahy (1974) presented a theoretical description that can be used to  
108 compute the far field response of a parametric array for multiple sets of parameters.

109 **Thereafter**, the use of parametric arrays underwater has been the subject of a number of  
110 theoretical and experimental studies. On the other hand, an experimental investigation  
111 of the parametric array in air was first demonstrated by Bennett and Blackstock (1975),  
112 and recently, the parametric loud speaker has become available for audio and speech  
113 applications (Gan et al., 2012). The properties of parametric speakers include high

114 directivity and very low sidelobes, which are preferable for RASS measurements.  
115 However, to the best of our knowledge, there are few, if any, studies on RASS  
116 techniques using this type of speaker.

117 In this study, a detailed evaluation of the parametric speaker for RASS  
118 measurements was conducted by comparing temperature data derived from this type of  
119 speaker and those from both radiosonde and acoustic speaker RASS at the  
120 Meteorological Research Institute (MRI) field site in Tsukuba, Japan. Instrumentation  
121 and data analysis techniques are presented in Section 2. Results are presented in Section  
122 3 and discussed in Section 4. Finally, a summary of our conclusions are presented in  
123 Section 5.

124

## 125 **2 Instrumentation and data analysis techniques**

126 The MRI wind profiler, a four-panel LAP-3000 with RASS (Fig. 1a), is the type  
127 originally developed at the National Oceanic and Atmospheric Administration (NOAA)  
128 Aeronomy Laboratory (Carter et al., 1995; Ecklund et al., 1988). The profiler used in  
129 this study operated at 1357.5 MHz with 100 m pulse lengths and a minimum  
130 (maximum) gate of 200 m (1300 m) from the antenna in RASS mode. The vertical

131 resolution was set to 100 m based on the requirements for the Global Climate Observing  
132 System (GCOS) Reference Upper-Air Network (GRUAN) by the WMO (2007). The  
133 effect of the vertical air motion was not considered for RASS measurements in the  
134 experiments because strong clutter caused by automobiles on a nearby highway  
135 sometimes contaminated the Doppler spectrum and masked the atmospheric echo  
136 (Adachi et al., 2004). Indeed, the vertical velocity correction can decrease the accuracy  
137 of RASS in situations with calm wind and a lower reliability of vertical wind  
138 measurements (Görsdorf and Lehmann 2000).

139 The configuration and operating parameters of the wind profiler with RASS are  
140 summarized in Table 1. The antenna of the profiler was co-located with four acoustic  
141 speakers in cylindrical enclosures and a parametric speaker, which was mounted on top  
142 of a shed (Fig. 1a). For the experiment purpose, the RASS measurements were made  
143 continuously for about an hour without wind observations. Since the wind profiler  
144 operated at 1.3 GHz, the frequency of the acoustic source for the RASS measurement  
145 was set at about 3 kHz to match the Bragg condition. Prior to every experiment, an  
146 acoustic wave with a wide frequency range (2715 to 3265 Hz corresponding to about

147  $\pm 50^\circ\text{C}$ ) was generated to detect center Doppler frequency of the RASS echo. Then,  
148 during each experiment, the emitted acoustic frequency range was automatically  
149 narrowed down to a shorter frequency span (130 Hz, corresponding to about  $\pm 12^\circ\text{C}$ )  
150 around the detected center frequency to increase SNR and height coverage. The  
151 frequency sweeps were randomly shuffled within each frequency range to make  
152 acoustic spectrum almost uniform (Angevine et al., 1994).

153 The MRI parametric speaker, 100FM-001, consists of an array of more than  
154 10,000 piezoelectric ceramic transducers configured on a semi-circular board with a  
155 diameter of 1.8 m (Fig. 1b). The transducers were divided into 278 segments, with each  
156 one mounted on the hexagonal board (Fig. 1c). The FPGA modules in the speaker  
157 system were used to control the phase of the signals fed into the segments to generate  
158 the acoustic beam with a particular preferred width and direction like other PAAs (e.g.,  
159 Wu et al., 2012). The configuration and operating parameters of the speaker are  
160 summarized in Table 2.

161 One of the desirable features of the PAA for RASS measurements is high  
162 directivity of the sound beam. Prior to the designing of the MRI PAA, we made a

163 preliminary field sensitivity test for RASS using a prototype PAA with a beam width  
164 smaller than  $2^\circ$  and relatively small power, but no RASS echo was observed. We  
165 modified the prototype to broaden the beam width to about  $6^\circ$  or more, and the RASS  
166 echo was observed up to a few range gates. We concluded that too narrow a beam is not  
167 good for the RASS observation, and the PAA beam width should match that of the  
168 profiler radio wave. Because the beam width of the MRI profiler is less than  $7^\circ$  (Table  
169 1), the default sound beam width of the speaker was designed to be  $5^\circ$  (Table 2).  
170 Although the latter width is somewhat smaller than that of the former, the RASS focal  
171 spot determined by the sound beam width may be broadened by turbulence (Lataitis,  
172 1992) and match the radio beam width, which is preferable for RASS measurements.

173 In order to measure the audible sound pressure level (SPL) pattern, we installed  
174 the PAA on a flame (Fig. 1b) to radiate sound horizontally. The measurements were  
175 made on fine (=no rain) days under calm wind ( $<2$  m/s) with a sound level meter set at a  
176 distance of 25 m, because a range of 10 m would be necessary to complete producing  
177 audible sound from ultrasound with a PAA of this size (Prof. Kamakura, 2018, personal  
178 communication). Safety was also considered for the level-meter operators in

179 determining the distance, as is discussed later. The PAA was installed on top of a shed  
180 after the measurements (Fig. 1a). The audible sound pressure level (SPL) pattern (Fig.  
181 2) measured in the field indicated that the PAA exhibited high directivity and low  
182 sidelobes, as expected; the SPL was less than 55 dB (dBA) at a zenith angle of 40°,  
183 which was close to the value of the background noise level of 50 dB despite the fact that  
184 the peak power (100 dB) was close to that of an acoustic speaker (105 dB). By contrast,  
185 for the acoustic speaker, the SPL was as high as 70 dB even at a zenith (elevation) angle  
186 of 85° (5°) and is therefore significantly more annoying to the ear than a PAA.

187 To evaluate the parametric speaker for RASS measurements, temperature data  
188 derived from the PAA-RASS were compared with values derived both from radiosonde  
189 and from the acoustic speaker RASS. The dwell time for each RASS measurement was  
190 set at about 57 s followed by an intermediate cessation operation time of 3 s, in which  
191 the two speaker systems were alternately switched every minute for comparison. Each  
192 RASS data set obtained with the two speaker systems was independently processed with  
193 quality control to confirm the consistency in the height and time field values.

194 The profiles of virtual temperature derived from operational radiosonde  
195 measurements were used as the standard reference data for comparison. The  
196 radiosondes (the Meisei RS-11G used until September 2017, followed by the Meisei  
197 iMS-100; Kizu et al., 2018) were launched from the Aerological Observatory, which is  
198 located about 400 m northeast of the profiler (for the layout of the relative locations, see  
199 Adachi et al., 2004). The time resolution of the radiosonde data used for the comparison  
200 was 1 s, which corresponded to the height resolution of about 6 m. The radiosondes  
201 were launched operationally at 08:30 JST (Japan Standard Time: JST=UTC+9 h), and  
202 most of the RASS experiments included the launch time (Table 3). The RASS data were  
203 taken during morning hours, on fine (= no rain) days, with light winds ( $< 3 \text{ m s}^{-1}$  at 20  
204 m AGL), mostly in autumn, when the region was under the influence of a high-pressure  
205 system, which may be preferable for the formation of inversion layer. In the radiosonde  
206 comparison, the RASS data were averaged over about an hour for each experiment to  
207 mitigate both the effects of vertical velocity (Angevine and Ecklund, 1994; G6rsdorf  
208 and Lehmann 2000) and the spatial difference between the radiosonde and the profiler  
209 with RASS. Using the hourly mean may also reduce the daytime downward bias (e.g.,

210 Görsdorf and Lehmann 2000; Adachi et al., 2005), which could be attributable to  
211 insects or hydrometeors that are undetectable (Angevine, 1997). Contrastingly, the 1  
212 min raw RASS data were used to compare the two speaker systems.

213

### 214 **3 Results of comparison**

#### 215 **3.1 Applicability of parametric speaker to RASS**

216 As there are few, if any, studies on RASS using parametric speakers, preliminary  
217 experiments were first conducted to confirm whether the secondary audible waves  
218 produced by this type of speaker can propagate long distances along the radio wave  
219 while satisfying the Bragg condition before evaluating it for RASS application. The  
220 MRI PAA radiates bifrequency primary waves that are around 37 kHz and 40 kHz from  
221 all the transducers simultaneously to generate the parametric sound of the secondary  
222 difference frequency, which was around 3 kHz for RASS. Since sound absorption  
223 generally increases with frequency, the ultrasound may be substantially dissipated as  
224 altitude increases, although the peak SPL of the ultrasonic sound close to the PAA  
225 (Table 2) was about 100 dB larger than that of audible sound generated by the acoustic

226 speaker (Fig. 2). The atmospheric absorption is a function of the sound frequency,  
227 temperature, humidity, and pressure of the air (ISO, 1993). Example profiles of the  
228 sound attenuation coefficient and attenuation at 3 kHz and 40 kHz derived from  
229 radiosonde measurements are shown in Fig. 3. In the derivation, only the effect of  
230 atmospheric absorption related to viscosity and thermal conductivity of the air,  
231 molecular relaxation of rotation, and vibration of O<sub>2</sub> and N<sub>2</sub> was considered (see  
232 Appendix), and other physical effects (e.g., reflection from the surface; ISO, 1996) were  
233 disregarded. Figure 3a shows that the attenuation for the audible wave of 3 kHz  
234 propagating from the surface to an altitude of 1 km above ground level (AGL) was 14.7  
235 dB, which indicated that the sound wave at this frequency with an SPL of 105 dB on the  
236 ground decreased to 90.3 dB at this altitude. By contrast, this figure also suggests that  
237 the sound wave at 40 kHz with an SPL of 200 dB generated on the ground was reduced  
238 to less than 0 dB at 160 m AGL. Thus, the primary wave of the PAA was not expected  
239 to reach beyond this altitude. However, the difference-frequency component could  
240 propagate to a higher altitude because it was audible sound.

241 Figure 4 shows a set of spectra obtained with the acoustic speakers and the PAA  
242 at the time when the radiosonde measurement in Fig. 3 was made. The plots were  
243 obtained by the LAP-XM, which is a software program developed on the basis of the  
244 Profiler On-line Program (POP; Carter et al., 1995). The RASS echoes associated with  
245 the acoustic speakers were obtained from altitudes as high as 1.3 km AGL. On the other  
246 hand, those associated with the PAA reached an altitude of 1.1 km AGL. Although the  
247 PAA-RASS height coverage was somewhat lower than that associated with acoustic  
248 speakers, this was much higher than the altitude where the primary ultrasound waves  
249 were expected to dissipate. This result suggests that the secondary difference-frequency  
250 component may reach the altitude comparable with the audible wave generated by  
251 acoustic speakers while satisfying the Bragg condition and propagating along the radio  
252 wave as an audible wave. The height coverage of the two speaker systems are discussed  
253 later.

254 Another conformity of the secondary audible wave formed by the PAA to the  
255 sound wave by the acoustic speaker for the RASS measurement can be seen in the  
256 vertical profiles of the received echo power. Samples of the RASS echo power profiles

257 are shown in Fig. 5, along with profiles of radiosonde wind speed and horizontal  
258 displacement of the sound beam center for RASS from that of the radio wave. The  
259 samples were selected from the days (Table 3) when surface winds were light ( $< 2$  m  
260  $s^{-1}$ ) except on 19 October (Fig. 5a). The displacement of the sound wave with horizontal  
261 wind was estimated by acoustic ray tracing based on radiosonde measurements. In this  
262 estimation, the sound speed was estimated using Eq. (1), assuming a stationary  
263 atmosphere, in which the virtual temperature was obtained from the radiosonde data,  
264 and the initial displacement of the PAA from the profiler antenna on the ground was set  
265 at 4 m (Fig. 1a). The RASS echo power shown here is a relative value, not absolute,  
266 because the profiler is not calibrated for received power.

267         The RASS echo power of both speaker systems decreased with altitude except for  
268 the first range gate. The reason for the decrease may include atmospheric attenuation of  
269 the acoustic signal and displacement of the acoustic wave from the radar antenna by the  
270 wind (Lataitis, 1992), as shown by the displacement profiles (Fig. 5). The echo power  
271 with the acoustic speakers was almost always larger than that of the PAA (Figs. 5a–5d).  
272 This could be explained by the acoustic speaker's larger peak power than that of the

273 PAA (Fig. 2), and the integrated peak power of the acoustic system, which comprises  
274 four speaker units (Fig. 1), could be much larger. The echo power with the PAA was  
275 slightly larger than that of the acoustic speakers at the first gate in Fig. 5a. This could be  
276 because the sound from the PAA was advected above the antenna as shown by no  
277 displacement at that height in the figure, suggesting that acoustic ray tracing was  
278 reliable. The estimation of RASS echo power (e.g. Adachi et al., 1993) was beyond the  
279 scope of this study. However, the echo power with both speaker systems in light-wind  
280 conditions (Figs. 5b–5d) decreased almost linearly (in dB) with altitude above the first  
281 gate, and the difference in the gradient between the two systems was relatively small  
282 (less than 15 % on average), although this small difference may also be attributable to  
283 the wind. From the facts mentioned above, we concluded that the secondary audible  
284 waves formed by the PAA can propagate over a long distance along the radio wave  
285 while satisfying the Bragg condition and are applicable to the RASS measurements as  
286 the sound wave generated by the acoustic speaker.

287 Since the PAA was shown to be applicable to the RASS measurements, we next  
288 explored the reliability of the PAA-RASS measurements by comparing with radiosonde

289 observations. It is noteworthy, however, that in Fig. 5a, the echo power with the PAA  
290 decreased with altitude more sharply than that associated with the acoustic speaker at  
291 altitudes between 300 and 700 m AGL, where relatively high winds were observed,  
292 despite the fact that the PAA-RASS echo reached the highest range gate (1300 m AGL)  
293 as the acoustic speaker RASS. This suggests that the PAA has enough peak power to  
294 reach the highest range gate but is more susceptible to high winds than the acoustic  
295 speakers. Thus, the effect of wind on the PAA-RASS measurements is discussed later in  
296 this paper.

297

### 298 **3.2 Comparisons with radiosonde**

299 Profiles of virtual temperature ( $T_v$ ) derived from radiosonde, the PAA-RASS, and the  
300 acoustic speaker RASS observations are shown in Fig. 6 along with the corresponding  
301 statistics for the data. The RASS data were averaged over approximately an hour. The  
302 radiosonde data were smoothed by 100 m running mean to match the RASS  
303 observations. The running mean may also play a role in mitigating the effect of the  
304 temperature fluctuation due to turbulence on the radiosonde measurements. The  $T_v$   
305 derived from the radiosondes was in good agreement with the RASS measurements

306 derived from both speaker systems, lying within the error bar of most of the range gates.  
307 In addition,  $T_v$  derived with both speaker systems were close to each other. However,  
308 bias and standard deviation tended to be large at inversion layers and at the first gate  
309 (e.g., Figs. 6a, 6b, and 6c), the latter of which may corresponded to the smaller received  
310 power at that gate as shown in Fig. 5. This could be attributable to the fact that the first  
311 gate is too close to the antenna, and factors including the recovery of the receiver and  
312 incomplete overlapping of the electromagnetic and acoustic beams due to the special  
313 separation between the antenna and speaker systems could lead to a significant gradient  
314 in the receiving power at this gate (Lataitis, 1992). In addition, a range error (e.g.,  
315 Angevine and Ecklund, 1994; Görsdorf and Lehmann, 2000; Johnston et al., 2002)  
316 caused by the height variation of the backscatter intensity may also contribute to the  
317 smaller received power. It is noteworthy that the most of the highest range gates  
318 correspond to a received RASS echo power of about  $-10$  dB for both speaker systems in  
319 Figs. 5 and 6, suggesting that the received power is one of the factors determining the  
320 height coverage, although factors that determine the received power including the sound  
321 attenuation may be different for each system.

322 Scatter diagrams comparing radiosonde virtual temperature with that from  
323 RASS for all experiments are shown in Fig. 7 along with statistics. The first range gate  
324 data of the RASS measurements were not considered because they are less reliable. This  
325 figure shows that both the PAA and acoustic speaker RASS measurements of virtual  
326 temperature were generally in good agreement with those derived from radiosonde  
327 measurements, as expected. The linear regressions for both speaker systems were close  
328 to the one-to-one relation, and correlation coefficients were close to unity. In addition,  
329 the systematic error was less than 0.1 °C and the standard deviation was 0.4°C for both  
330 systems, suggesting that both systems are reliable for RASS measurements.

331

#### 332 **4 Discussions**

333 As reported above, we found many instances in which the PAA speaker system  
334 exhibited comparable performance with the acoustic speakers with respect to the RASS  
335 measurements in observing profiles of the Doppler spectrum and the virtual temperature,  
336 as shown in the statistics for the comparisons both with radiosonde and with the  
337 acoustic speaker RASS. Indeed, the bias and standard deviation for each speaker system  
338 RASS with respect to radiosonde were in good agreement with results reported in

339 previous studies (e.g., Görsdorf and Lehmann 2000), despite no correction for vertical  
340 velocity, which could have been partly because of the experiments being conducted on  
341 fine days with light wind and the application of a relatively long averaging time. In  
342 addition, removing the first gate data from the statistics may also contribute to the  
343 results.

344         Although applying a long averaging time could mitigate the effect of vertical  
345 airflow on bias (e.g., Moran and Strauch, 1994), it may degrade the statistics when the  
346 virtual temperature profile evolves within the duration of the RASS measurement. On  
347 the other hand, the statistics also indicated that the data number associated with the PAA  
348 was smaller than that of the acoustic speakers (e.g., Fig. 6), implying that the mean  
349 height coverage with the former was lower than that of the latter presumably because of  
350 wind in addition to the low peak power mentioned previously. Thus, we independently  
351 focus our attention on both the effects of the time evolution of the temperature profile  
352 on the statistics and of wind on the height coverage of the RASS measurement in the  
353 following sections.

354

#### 355 4.1 Effect of rapid time evolution of temperature profile

356 In the comparisons, the RASS data were averaged for a relatively long time to minimize  
357 the effects of both vertical velocity and the spatial difference between the radiosonde  
358 and the profiler with RASS. However, the temperature profiles derived from radiosonde  
359 observations may not be well suited for use as standard reference data if the temperature  
360 profile evolved rapidly within the hour-long RASS observation duration. In the  
361 experiments, since the operational radiosondes were launched in the morning of fine  
362 days, an inversion layer was frequently observed (Fig. 6) as expected. In fact, 12  
363 inversions including multiple inversion layers (e.g., Fig. 6b) were observed in 8 of the  
364 16 experiments. Inversion layers can evolve in a relatively short time due to surface  
365 heating and cooling and/or the development of the boundary layer in the morning.  
366 Indeed, the surface virtual temperature increased by  $2.3^{\circ}\text{C}$  on average with a standard  
367 deviation of  $1.0^{\circ}\text{C}$  within an hour for the experiments shown in Fig. 6. Thus, the  
368 temperature profile measured with the radiosonde can differ from the mean temperature  
369 profile obtained from RASS even though both measurements represented an actual  
370 profile, which may result in degrading the statistics for the RASS evaluation.

371 A sample of the temperature profile observing an inversion layer is shown in Fig.

372 8. This observation was made more than 3 h after sunrise (05:15 JST) on that day. The  
373 *T<sub>v</sub>* profiles with error bars were the mean RASS measurements averaged over an hour  
374 from acoustic (red) and PAA (blue) speakers. Both RASS profiles represented the  
375 radiosonde profile to some extent but did not follow the profile well, especially around  
376 the inversion layer. The large standard deviations indicated by long error bars may  
377 reflect the time evolution of the temperature profile in addition to the measurement  
378 precision of RASS. By contrast, the 1 min raw RASS data recorded around the  
379 radiosonde launch time represented the inversion layer better than the mean RASS  
380 measurements at some points, although there were still some discrepancies, which may  
381 have been due to the locality of the inversion layer, the effects of vertical air motion or  
382 turbulence, or the time difference between RASS and radiosonde in addition to the  
383 accuracy and precision of the RASS measurements. The discrepancy above the  
384 inversion layer may be caused by the locality of the temperature, because the MRI  
385 observation field covered by vegetation (Adachi et al., 2005) ends about 500 m from the  
386 profiler, which corresponds to the horizontal displacement at that height. On the other  
387 hand, the discrepancies in and below the inversion could be mitigated by considering

388 the effect of the vertical airflow and/or applying a range correction. In terms of the time  
389 difference, it is noteworthy that the radiosonde measurement is not a snapshot but  
390 sequential; it took more than 2 min for the radiosonde to ascend to an altitude of 800 m  
391 AGL, and the temperature profile may evolve even during this time. Thus, a comparison  
392 with measurements that have both small spatial difference and high time resolution is  
393 needed to evaluate the PAA-RASS measurement.

394

#### 395 **4.2 Comparison with acoustic speaker RASS**

396 To suppress the effects of the spatial and time difference between the two  
397 platforms on the evaluation, we next compared the temperatures derived from the  
398 PAA-RASS with that from the acoustic speaker RASS. Of course, this comparison does  
399 not provide an absolute but relative evaluation of the PAA-RASS measurement. This  
400 issue should be kept in mind in examining the intercomparisons presented in this  
401 section. In the intercomparison, the requirements for high-quality upper-air reference  
402 data (bias  $\leq 0.1\text{K}$  ,  $\sigma \leq 0.2\text{K}$ ) proposed by WMO (2007) for the GRUAN were used as  
403 criteria for the evaluation, although they are not for virtual temperature but for real  
404 temperature.

405 A normalized frequency diagram and scatterplot of virtual temperature obtained  
406 by the acoustic speaker RASS versus the PAA-RASS are shown in Fig. 9. The 1 min  
407 raw data obtained alternately are presented in Fig. 9a, whereas the data averaged for  
408 about an hour are plotted in Fig. 9b. Figure 9a shows that the PAA-RASS  
409 measurements of virtual temperature were generally in good agreement with those of  
410 the acoustic speaker RASS despite disregarding the time difference in the two systems.  
411 The linear regression line was close to the one-to-one relation, and the correlation  
412 coefficient was close to unity. Moreover, the mean bias and standard deviation of the  
413 difference between the two speaker systems were less than  $0.1^{\circ}\text{C}$  and close to  $0.4^{\circ}\text{C}$ ,  
414 respectively, which are comparable with those obtained by the comparison with  
415 radiosonde (Fig. 7) despite the higher time resolution. Since the spatial difference was  
416 negligible and the time difference was quite small, the reason for this discrepancy could  
417 include temperature fluctuation due to turbulence. Indeed, the mean (max and min)  
418 increase of the virtual temperature at the surface for all the experiments was  
419  $0.2 \pm 0.5^{\circ}\text{C}/10 \text{ min}$  ( $1.4^{\circ}\text{C}/10 \text{ min}$ ,  $-1.3^{\circ}\text{C}/10 \text{ min}$ ), which suggests that temperature  
420 fluctuation aloft was occurring.

421 A scatter diagram comparing the mean acoustic speaker RASS measurements  
422 with those from the parametric speaker RASS is shown in Fig. 9b. The data were  
423 averaged over about an hour to minimize the effect of temporal fluctuation of  
424 temperature and improve the statistics. Indeed, the linear regression was close to the  
425 one-to-one relation, and the correlation coefficient was closer to unity. In addition, both  
426 the bias ( $0.06^{\circ}\text{C}$ ) and standard deviation ( $0.16^{\circ}\text{C}$ ) improved and satisfied the WMO  
427 requirements.

428 From the evaluations mentioned above, we conclude that the accuracy and  
429 precision of the parametric speaker RASS are comparable with those of the acoustic  
430 speaker RASS for measuring the vertical profile of virtual temperature. The reliability  
431 of the parametric speaker RASS could be improved by applying the time average over  
432 the appropriate period, advanced quality control, and/or corrections for both range and  
433 vertical airflow as long as the effect of the ground clutter is negligibly small.

434

#### 435 **4.3 Effect of horizontal wind on the height coverage of the RASS measurement**

436 The reliability of the parametric speaker RASS measurement was shown to be  
437 equivalent to the acoustic speaker RASS. However, we found many instances in which

438 the former tended to have less height coverage than the latter (Figs. 4, 5, and 6), which  
439 may also be reflected by the fewer number of data in the statistics (Figs. 6, 7, and 8).  
440 Although the parametric speaker system exhibited less peak power than the acoustic  
441 speaker system, the weak power cannot be the only reason for the lower height coverage  
442 because the results show that the former can observe up to the highest range gate as the  
443 latter in some conditions (e.g., Figs. 5a, 5b, 6a, and 8). On the other hand, the results  
444 also suggest that the reason may include the effect of wind aloft (e.g., Fig. 5a). Because  
445 the acoustic beam generated by the parametric speaker is narrow, it could be susceptible  
446 to the horizontal airflow, which displaces the acoustic wave from the radar antenna as  
447 shown in Fig. 5. Thus, the effect of horizontal wind on the height coverage of the  
448 parametric speaker RASS measurement was evaluated by comparing it with the  
449 radiosonde wind data.

450 A scatter diagram comparing the mean RASS height coverage and horizontal  
451 displacement of the center of the sound for RASS from that of radio wave at 1200 m  
452 AGL is shown in Fig. 10, as well as the mean wind speed aloft. The horizontal  
453 displacement was estimated by acoustic ray tracing. In the estimation, the initial

454 displacement of the acoustic speaker system from the profiler antenna on the ground  
455 was set at 0 m, because the antenna is surrounded by the four acoustic speakers,  
456 whereas that of the PAA was set at 4 m (Fig. 1a). The wind speed aloft is the mean wind  
457 from 20 to 1200 m AGL (Table 3), which is the highest mean coverage of the  
458 parametric speaker RASS measurements in calm wind conditions ( $< 2 \text{ m s}^{-1}$ ) as shown  
459 in the figure. The data measured on 30 November 2016 are not considered in the  
460 analysis because the RASS measurement was made more than 40 min later than the  
461 radiosonde observation (Table 3). Note that the mean RASS height coverage shown in  
462 the figure is different from the height coverage of the mean virtual temperature profile  
463 in Fig. 6, because the latter reflects the maximum height coverage within the observed  
464 profiles after quality control in the duration of the RASS measurement. The long error  
465 bars may reflect the large time evolution of the RASS height coverage, which may also  
466 be related to the evolution of the wind in the duration.

467 The parametric speaker RASS measurements tended to reach less altitude than the  
468 acoustic speaker RASS, even when the horizontal displacement is less than 10 m  
469 (corresponding to a wind speed of around  $4 \text{ m s}^{-1}$ ). The reason for the lower coverage

470 under small displacement (light wind) conditions may include the parametric speaker's  
471 lower peak power than that of the acoustic speaker system. The height coverage  
472 decreased with the displacement and/or wind speed for the parametric speaker RASS, as  
473 indicated by the linear regression analysis. In contrast, when the displacement is less  
474 than 16 m (corresponding to a wind speed of around  $6 \text{ m s}^{-1}$ ), most of the acoustic  
475 speaker RASS measurements achieved a height coverage of around 1300 m AGL,  
476 which was the highest range gate for the RASS measurement (Table 1). This suggests  
477 that the acoustic speaker RASS keeps on observing at a high altitude even in relatively  
478 high wind conditions, as also indicated by the short error bars.

479 It is noteworthy, however, that the height coverage of RASS with acoustic  
480 speakers drops sharply to 1000 m AGL at a horizontal displacement of 15–16 m and  
481 exhibits a tendency to decrease with the displacement afterward as the parametric  
482 speaker RASS. By contrast, the height coverage of the parametric speaker tends to  
483 decrease monotonically with the displacement at almost all ranges. These results  
484 suggest that the parametric speaker RASS is more sensitive to wind because of the  
485 narrow beam, whereas the acoustic speaker RASS is surprisingly robust. Since the four

486 acoustic speakers were not adjusted in phase, this robustness could be explained by the  
487 higher aggregate sound power than that shown in Fig. 2 and possible location of sound  
488 wave above the antenna in spite of relatively high winds.

489 To compensate for the lower wind tolerance, two additional experiments were  
490 performed, in which the acoustic beam was broadened and steered. The parametric  
491 speaker system employed for the RASS experiments was equipped with FPGA that  
492 controlled the beam pattern of the sound, including beam width and direction. We  
493 broadened the beam width from  $5^\circ$  to  $12^\circ$  (Fig. 2) when the parametric RASS echo was  
494 observed up to an altitude of 1200 m AGL. However, this experiment resulted in a  
495 decrease of the height coverage to 500 m AGL. The height coverage decrease could  
496 have been due to the decrease of the peak power associated with beam broadening. In  
497 fact, the measured peak power was decreased by 15 dB in our system by broadening the  
498 beam (Fig. 2). Therefore, by using this technique, a parametric speaker with more peak  
499 power was needed in our case to acquire equivalent height coverage with the acoustic  
500 speaker system, which may result in increasing both the size and cost of the system.

501 On the other hand, the peak power does not decrease significantly with the  
502 angle of the beam as long as the angle is small. The SPL pattern at multiple zenith  
503 angles measured in the field is shown in Fig. 11. The peak power was decreased by  
504 about 7.5 dB by reducing the power supply to the PAA amplifier, which decreased not  
505 only the audible sound but also the ultrasound levels for practical reasons (noisy) and  
506 measurement safety. The results indicated that the peak power decreased by only 3.8 dB  
507 when the beam was steered to a zenith angle of  $10^\circ$ , which corresponds to a horizontal  
508 wind speed of  $60 \text{ m s}^{-1}$ . The sound wave might be displaced by the horizontal wind but  
509 advected to above the antenna if the wave is generated windward with an appropriate  
510 zenith angle. Thus, we conducted another experiment with the acoustic beam zenith  
511 angle of  $2^\circ$  windward on a day when a mean wind speed of about  $12 \text{ m s}^{-1}$  between 200  
512 and 1200 m AGL was observed with the wind profiler. Unfortunately, no RASS echo  
513 was observed, which may be partly because the sound wave did not propagate vertically  
514 to the ground, and the advected sound wave front above the antenna was not normal to  
515 the propagation direction of the radio wave. Additionally, the acoustic wave front may  
516 have been distorted by wind shear. In that case, the radio wave might have been steered

517 to the direction normal to the sound wave front by considering the advection and  
518 distortion of the sound wave front from the wind profiler measurements.

519

#### 520 **4.4 Health effects of ultrasound exposure**

521 Since the ultrasonic SPL generated by the PAA is extremely high (>200 dB), the health  
522 effects of ultrasound exposure in the area close to the PAA should be considered. In  
523 studies involving small animals (WHO, 1982), mild biological changes have been  
524 reported during prolonged exposure to airborne ultrasound with levels in the range of  
525 95–130 dB at frequencies ranging 10–54 kHz, which become more severe with  
526 increasing SPL. Thus, the PAA should not be installed on or under the ground level, as  
527 it can be easily accessed by animals. Because the PAA for RASS emits sound vertically,  
528 animals aloft, including birds and/or insects, can be exposed to the sound beam.  
529 However, those animals are capable of avoiding the risk quite easily, because they can  
530 perceive the audible sound from the PAA, and the beam width is very narrow. In fact,  
531 no animals, including bugs and/or birds, died so far on the PAA after more than 100 h  
532 of operation.

533 On the other hand, no adverse physiological or auditory effects appear to occur in  
534 humans exposed to sound pressure levels up to about 120 dB (WHO, 1982; Health  
535 Canada, 1991). At 140 dB, mild heating may be felt in the skin clefts. With increasing  
536 sound pressure levels, the human body becomes warmer until death from hyperthermia  
537 has been estimated to occur at levels greater than 180 dB. This lethal threshold value  
538 corresponds to a distance of less than 17 m from the PAA, with an ultrasonic SPL of  
539 200 dB, assuming an atmospheric attenuation of  $1.2 \text{ dB m}^{-1}$  (Fig. 3). To avoid  
540 ultrasound exposure, we installed the PAA on top of a shed with a height of 2 m so that  
541 the speaker won't be accessed by anyone. Moreover, rotational warning lights were  
542 installed on the wall of the shed (Fig. 1d) to alert people to the emission of ultrasound  
543 more than 50 dB (yellow) and/or 100 dB (red).

544

## 545 5 Conclusions

546 We investigated the applicability of parametric speakers to RASS for measuring  
547 the vertical profile of virtual temperature by comparing the data with those obtained  
548 from both radiosonde and the acoustic speaker RASS. In the experiments, the  
549 operations of the two speaker systems were swapped every minute alternately for the

550 comparison. A detailed analysis of the profiles of both the acoustic attenuation and the  
551 Doppler spectrum suggest that although the primary ultrasound generated by the  
552 parametric speaker may be dissipated greatly as altitude increases, the secondary  
553 audible waves generated from the bifrequency ultrasound can propagate long distances  
554 while satisfying the Bragg condition.

555 We have also compared parametric speakers with both radiosonde and acoustic  
556 speakers to estimate the reliability of RASS in measuring the virtual temperature ( $T_v$ ).  
557 The results indicated that  $T_v$  measured with parametric speaker RASS has comparable  
558 reliability with the acoustic speaker RASS measurements; the bias and standard  
559 deviation ( $0.1^\circ\text{C}$ ,  $0.4^\circ\text{C}$ ) for the parametric speaker were close to those for the acoustic  
560 speaker ( $0.0^\circ\text{C}$ ,  $0.4^\circ\text{C}$ ) with respect to radiosonde, which was consistent with the results  
561 reported in previous studies, although the conditions in those studies, including the  
562 corrections for the vertical wind and/or range, were different from ours. We also found  
563 that not only the spatial difference between the two platforms but also both the  
564 evolution of the temperature profile during the RASS measurement and temperature  
565 fluctuation due to turbulence could contribute to deteriorate the statistics. To mitigate

566 these effects, a comparison of virtual temperature obtained from the two speaker  
567 systems was also performed. The results indicated that the bias and standard deviation  
568 (0.1°C, 0.2°C) of the parametric speaker RASS were quite small and satisfied the  
569 requirements for high-quality upper-air reference data proposed by the WMO (2007).  
570 Taken together, we conclude that parametric speaker RASS has comparable accuracy  
571 and precision with acoustic speaker RASS with respect to the measurement of the  
572 virtual temperature profile.

573       We examined the height coverage of RASS and found that the parametric speaker  
574 deployed in the experiments tended to have less coverage than the acoustic speakers,  
575 which may be a result of the parametric speaker having high directivity, and the  
576 generated sound was more susceptible to the displacement from the radar antenna by  
577 horizontal wind than the sound wave by the acoustic speakers. Thus, we broadened the  
578 beam width of the parametric speaker, which resulted in degrading height coverage  
579 because this operation deteriorates the peak power of the audible sound. The sound  
580 wave was then steered windward so that the advected sound was located above the  
581 antenna. However, no echo was observed, presumably because the sound wave front

582 advected to above the antenna was not normal to the propagation direction of the radio  
583 wave in the experiments. In addition, the sound wave front may have been distorted by  
584 wind shear. This issue might be solved by using wind profilers that are capable of  
585 steering the radio wave (e.g., Adachi and Kobayashi, 2001; Law et al., 2002; Palmer et  
586 al., 2005) to the direction normal to the sound wave front as Masuda (1988) proved with  
587 the MU radar (Fukao et al., 1985).

588         The results of this study including the statistics do not necessarily apply to all  
589 locations, altitudes, and seasons; in particular, we note that the comparisons in this case  
590 study were made in the morning on fine days with light wind when the effects of  
591 horizontal and vertical wind would be less expected. This condition is possible even in  
592 other seasons, but frequency is likely to be less.

593         In summary, we confirm that a parametric speaker is applicable to RASS  
594 measurement with a reliability comparable with acoustic speakers. Although it is  
595 sensitive to horizontal wind, this type of speaker could be installed to wind profilers  
596 located in urban areas for continuous-operational observations (e.g., Ishihara et al.,

597 2006) to improve weather forecast because it has high directivity and no horizontal  
598 sound wave leaks to annoy nearby residents.

599

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612

613 **Appendix**

614 **Calculation of the atmospheric attenuation**

615 The method of estimating attenuation coefficient for atmospheric absorption from  
616 temperature, humidity, and pressure is summarized here based on ISO 9613-1 (ISO,  
617 1993). The attenuation coefficient  $\alpha$  (dB m<sup>-1</sup>) is expressed by the sum of four terms in  
618 good approximation as

$$619 \alpha = \alpha_{cl} + \alpha_{rot} + \alpha_{vib,O} + \alpha_{vib,N}, \quad (A1)$$

620 where  $\alpha_{cl}$  represents the classical absorption caused by the transport processes,  $\alpha_{rot}$  is  
621 the molecular absorption by rotational relaxation, and  $\alpha_{vib,O}$  and  $\alpha_{vib,N}$  indicate the  
622 molecular absorption caused by vibrational relaxation of oxygen and nitrogen,  
623 respectively. The molecular absorption by other compositions of the air including  
624 carbon dioxide is small and neglected in the calculation.

625 The first two terms of Eq. (A1) related to the classical and rotational absorption is  
626 given by their sum,  $\alpha_{cr}$

$$627 \alpha_{cr} = \alpha_{cl} + \alpha_{rot} = 1.60 \times 10^{-10} \left(\frac{T}{T_0}\right)^{\frac{1}{2}} \left(\frac{P_a}{P_r}\right)^{-1} f^2, \quad (A2)$$

628 where  $T$  (K) is the atmospheric temperature,  $T_0$  is the reference air temperature (293.15  
629 K),  $P_a$  (hPa) is the atmospheric pressure,  $P_r$  (hPa) is the reference air pressure (1013.25  
630 hPa), and  $f$  (Hz) is the sound frequency.

631 The two vibrational relaxation terms in Eq. (A1) are given respectively by,

$$632 \alpha_{vib,O} = [(\alpha\lambda)_{max,O}] \times \frac{f}{c_s} \times \left\{ 2 \left( \frac{f}{f_{rO}} \right) \left[ 1 + \left( \frac{f}{f_{rO}} \right)^2 \right]^{-1} \right\}, \quad (A3)$$

633 and

$$634 \alpha_{vib,N} = [(\alpha\lambda)_{max,N}] \times \frac{f}{c_s} \times \left\{ 2 \left( \frac{f}{f_{rN}} \right) \left[ 1 + \left( \frac{f}{f_{rN}} \right)^2 \right]^{-1} \right\}, \quad (A4)$$

635 where subscripts O and N represent oxygen and nitrogen, respectively,  $[(\alpha\lambda)_{max}]$  (dB  
636  $m^{-1}$ ) represents the maximum attenuation by a vibrational relaxation over a distance of a  
637 wavelength,  $\lambda$  (m),  $c_s$  ( $m s^{-1}$ ) is the sound speed, and  $f_r$  (Hz) is the relaxation frequency.

638 The maximum attenuation by a vibrational relaxation for oxygen and nitrogen are  
639 given respectively by,

$$640 [(\alpha\lambda)_{max,O}] = \left( \frac{40\pi}{35} \right) (\log_{10} e) X_O \left( \frac{\theta_O}{T} \right)^2 \exp \left( -\frac{\theta_O}{T} \right), \quad (A5)$$

641

$$642 [(\alpha\lambda)_{max,N}] = \left( \frac{40\pi}{35} \right) (\log_{10} e) X_N \left( \frac{\theta_N}{T} \right)^2 \exp \left( -\frac{\theta_N}{T} \right), \quad (A6)$$

643 where  $X_O$  (= 0.209476) and  $X_N$  (=0.78084) represent the standard molar concentrations  
 644 of dry air, and  $\theta_O$  (=2239.1 K) and  $\theta_N$  (=3352.0 K) are the characteristic vibrational  
 645 temperature for oxygen and nitrogen, respectively.

646 The sound speed  $c_s$  in Eq. (A3) and (A4) at a molecular concentration of water  
 647 vapor of  $h$  (%) is given by

$$648 \quad c_s = c_a \times \sqrt{1 - \frac{h}{100} \left( \frac{\gamma_w}{\gamma_a} - \varepsilon \right)} = c_0 \times \sqrt{\frac{T}{T_0}} \times \sqrt{1 - \frac{h}{100} \left( \frac{\gamma_w}{\gamma_a} - \varepsilon \right)}, \quad (A7)$$

649 where  $C_a$  is the sound speed for dry air,  $\gamma_w$  (=1.33) and  $\gamma_a$  (=1.40) are heat capacity ratio  
 650 for water vapor and dry air, respectively,  $\varepsilon$  (=0.662) is the ratio of the molecular weight  
 651 of water vapor to the molecular weight of air, and  $C_0$  is the sound speed for dry air at  
 652 the reference air temperature,  $T_0$ . The value of  $h$  is given from the relative humidity,  $h_r$   
 653 (%) by

$$654 \quad h = h_r \left( \frac{P_{sat}}{P_r} \right) / \left( \frac{P_a}{P_r} \right) = h_r \left( \frac{P_{sat}}{P_a} \right), \quad (A8)$$

655 where  $P_{sat}$  (hPa) is the saturation vapor pressure given by

$$656 \quad P_{sat} = P_r \times 10^{\left( -6.8346 \times \left( \frac{T_{01}}{T} \right)^{1.261} + 4.6151 \right)}, \quad (A9)$$

657 and  $T_{01}$  (=273.16 K) is the triple-point isotherm temperature. The sound speed in dry air  
 658  $C_a$  is given by

659  $c_a = \sqrt{\frac{\gamma_a R}{M_d} T}$ , (A10)

660 where  $R$  ( $= 8.314 \text{ J mol}^{-1} \text{ K}^{-1}$ ) is the universal gas constant, and  $M_d$  ( $= 2.896 \times 10^{-2} \text{ kg}$   
 661  $\text{mol}^{-1}$ ) is the molecular weight for dry air. By substituting values of  $R$ , and  $M_d$  to Eq.  
 662 (A10), we may derive

663  $c_a = 20.048\sqrt{T}$ , (A11)

664 and  $C_0 = 343.25 \text{ m s}^{-1}$  at a temperature of  $T_0$ . Note that Eq. (A11) corresponds to Eq. (1)  
 665 in stationary atmosphere because air temperature  $T$  is equal to virtual temperature  $T_v$  in  
 666 dry air.

667 The relaxation frequency for O and N are given by,

668  $f_{rO} = \left(\frac{P_a}{P_r}\right) \left(24 + 4.04 \times 10^4 h \frac{0.02+h}{0.331+h}\right)$ , (A12)

669 and

670  $f_{rN} = \left(\frac{P_a}{P_r}\right) \left(\frac{T}{T_0}\right)^{-\frac{1}{2}} \times \left[9 + 280h + \exp\left[-4170 \left\{\left(\frac{T}{T_0}\right)^{\frac{1}{3}} - 1\right\}\right]\right]$ , (A13)

671 respectively.

672 By substituting Eqs. (A2) — (A11) to Eq. (A1), we may derive,

673  $\alpha \approx 8.686 f^2 \left[ \left\{ 1.84 \times 10^{-11} \left(\frac{P_a}{P_r}\right)^{-1} \left(\frac{T}{T_0}\right)^{\frac{1}{2}} \right\} + \left(\frac{T}{T_0}\right)^{-\frac{5}{2}} \times \left\{ 0.01275 \times \right.$   
 674  $\left. \exp\left(\frac{-2239.1}{T}\right) \right\} \left\{ f_{rO} + \left(\frac{f^2}{f_{rO}}\right) \right\}^{-1} + 0.1068 \times \exp\left(\frac{-3352.0}{T}\right) \left\{ f_{rN} + \left(\frac{f^2}{f_{rN}}\right) \right\}^{-1} \right]$ , (A14)

675 where  $f_{r0}$  and  $f_{rN}$  are given by Eqs. (A12) and (A13), respectively.

676 The attenuation coefficients at 3 kHz and 40 kHz as a function of temperature and  
677 relative humidity estimated using Eq. (A14), is shown in Fig. A1. This figure indicates  
678 that the attenuation coefficient for ultrasound at 40 kHz is larger than that for audible  
679 sound at 3 kHz, as expected. In addition, the attenuation coefficient depends on the  
680 temperature and humidity for both frequencies. Note that the attenuation coefficient for  
681 audible sound peaks at lower temperatures ( $<10^{\circ}\text{C}$ ) than those for ultrasound,  
682 suggesting that the attenuation coefficient could increase with altitude for the former,  
683 while it decreases for the latter (e.g., Fig. 3,  $>1$  km AGL). In contrast, the contribution  
684 of air pressure to the attenuation coefficient on the ground does not differ very much  
685 from that at an altitude of 1100 m AGL ( $\sim 900$  hPa).

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