

## Anonymous Referee #1:

We greatly appreciate the positive feedback from the referee and the constructive comments. As described below, we have modified the manuscript according to suggestions and clarified where necessary. We hope that the revised manuscript has improved in respect to the original paper. Please find a rebuttal against each point below.

### ***Black, bold, italic: Referee's comments***

Black: Author's reply

Changes in the original discussion paper are highlighted in yellow and attached below

***1) There is concern about the assumption of vertical NO<sub>2</sub> profile in the APEX retrieval as well mixed profile of NO<sub>2</sub> through the boundary layer. There have been many observations and analysis in the literature proving that NO<sub>2</sub> is rarely 'well-mixed' in an urban environment (e.g., <http://dx.doi.org/10.1002/2015JD024203>). (1) There appears to be modeled high resolution model data available from the regional CAMS model that likely at last has some more realistic weighting of NO<sub>2</sub> nearer to the surface (negative vertical gradient in the boundary layer). The analysis would be strengthened if results were also shown with those a priori in the APEX retrieval.***

It is true that in most studies assumptions are made on the profile shape in the boundary layer as high resolution model profiles are not always available (and also can have significant errors). Most campaigns involving airborne spectrometers are also lacking measurements of the vertical gas distribution as it requires an additional set of in-situ instruments and specific flight patterns. Note that we are involved in a project to address/study this problem by combining a spectrometer and in-situ instruments in one aircraft (RAMOS - <http://environment.inoe.ro/article/179/about-ramos>). The aircraft will also execute flights over Bucharest, Romania in 2020-2021 in the context of TROPOMI validation. This data set will allow us to better assess the impact of measured, modelled or assumed well-mixed profiles.

As indicated in Sect. 4.3.1, the decision was taken to use box profiles for the reference APEX retrievals in order to be independent from both the standard TROPOMI product based on TM5-MP profiles, and the TROPOMI product based on CAMS profiles. In the paper a sensitivity study was already included where the box profiles were replaced by interpolated TM5-MP profiles. We have followed your suggestion and also assessed the impact of replacing the box profiles by CAMS profiles. The findings are in line with a previous study (Tack et al. 2017) where we assessed the impact on the APEX retrievals of using high resolution a priori NO<sub>2</sub> profiles from the 1 km x 1 km AURORA model instead of box profiles.

In Sect. 4.3.1, we have changed the paragraph accordingly:

"For the APEX retrievals, AEPs and a priori NO<sub>2</sub> profiles were constructed from the AOT and PBL height observations, as discussed in Sect. 4.2. In order to yield retrievals independent from the satellite, box profiles were used instead of the TROPOMI TM5-MP profiles, as displayed in Fig. 3a. When TM5-MP or CAMS profiles would be applied as a priori for the APEX retrievals, the AMF would increase with respectively 9% and 10% on average, which is largely consistent with a similar sensitivity study reported in Tack et al. (2017). For the APEX retrievals, we assumed a well-mixed NO<sub>2</sub>

and aerosol box profile scenario and urban aerosols with a high single-scattering albedo (SSA) of 0.93. This causes a multiple scattering scenario and an enhancement of the optical path length in the NO<sub>2</sub> layer, and results in an increase in the AMF. When instead considering a no aerosol scenario for the APEX retrievals, the AMF drops by 10% on average. We assume that the opposing effects of using (1) a priori profile shape assumptions different from the TROPOMI retrievals and (2) different aerosol assumptions tend to cancel each other out in the APEX retrievals.”

***2) Alternatively or in addition, the analysis would also be strengthened if there was some background on the validation of APEX NO<sub>2</sub> observations or perhaps independent validation with measurements from the MAX-DOAS measurements mentioned in this analysis. It is hard to evaluate TROPOMI bias if the reference measurement is not validated itself.***

Validation implies that the reference data has a better accuracy than the data set to be validated. This is indeed the case for the MAX-DOAS data when compared to the airborne APEX data. However, there is the issue of differences in horizontal representativity and potential sampling of different air masses.

For the overpasses over the MAX-DOAS station on 26 and 28 June we have compared the MAX-DOAS and APEX retrievals. We have only two overpasses in this data set, but we hope to include more (MAX-)DOAS instruments during the follow-up campaign in summer 2021.

Note as well that APEX NO<sub>2</sub> VCD retrievals have been assessed and validated by comparison with other airborne imagers, as well as GB DOAS measurements during the AROMAPEX intercomparison campaign reported in <https://doi.org/10.5194/amt-12-211-2019>. This is mentioned in the introduction of the study under review.

We have added a discussion on the comparison with MAX-DOAS at the end of Sect. 5.2.2:

“For the flights over the Brussels region, we have also compared the TROPOMI and APEX NO<sub>2</sub> VCD with the MAX-DOAS NO<sub>2</sub> VCD at the time of overpass and results are provided in Table 5. The TROPOMI NO<sub>2</sub> VCD is provided for the pixel in which the station resides for both the TM5-MP-based and CAMS-based product. The APEX NO<sub>2</sub> VCD is provided for the average within the TROPOMI pixel footprint over the MAX-DOAS station and for the specific APEX pixel over the station. As the MAX-DOAS is performing elevation scans in a fixed azimuth direction (35° N), APEX observations are also averaged along this line of sight (LOS) in order to take into account the instrument directivity and in order to reduce potential mismatches due to differences in spatial representativity. In this case, however, temporal mismatches can occur as APEX pixels, acquired in different flight lines, are averaged. Based on the study of Dimitropoulou et al. (2020), the horizontal sensitivity of the MAX-DOAS is estimated to be in the order of 10 km for measurements in Brussels in summer time and in the visible wavelength range. MAX-DOAS observations are filtered based on the degrees of freedom (DOFs) which should be larger than two. Secondly, the relative root mean square error (RMSE) of the difference between measured and calculated differential slant column densities with respect to the zenith spectrum of each scan should be smaller than 15 % (Dimitropoulou et al., 2020). On 26 June there is clearly a pollution event not seen over the station but further northeast along the MAX-DOAS LOS, as can be observed in the APEX NO<sub>2</sub> VCD grid (see Fig. 7a and Fig. 11). When averaging the APEX pixels along the MAX-DOAS LOS, the difference in MAX-DOAS and APEX NO<sub>2</sub> VCD is reduced from 4.8 to 0.1 x 10<sup>15</sup> molec cm<sup>-2</sup>. On June 28, the diurnal variation in the NO<sub>2</sub> field is much smaller. We see a slight underestimation of 0.3 x 10<sup>15</sup> molec cm<sup>-2</sup> for the APEX observation above the station

when compared to MAX-DOAS, while the latter is overestimated by  $1.2 \times 10^{15}$  molec cm<sup>-2</sup> when averaging along the LOS.”

**Table 5.** Co-located TROPOMI, APEX and MAX-DOAS observations for the flights over Brussels. The TROPOMI NO<sub>2</sub> VCD is provided for the pixel in which the MAX-DOAS station resides for both the TM5-MP-based and CAMS-based product. The APEX NO<sub>2</sub> VCD is provided for the average within the TROPOMI pixel footprint over the MAX-DOAS station and for the specific APEX pixel over the station. As the MAX-DOAS is performing elevation scans in a fixed azimuth direction (35° N), APEX observations are also averaged along this line of sight in order to take into account the instrument directivity.

	Flight #1 (26-06-2019)		Flight #3 (28-06-2019)	
NO <sub>2</sub> VCD <sub>TROPOMI</sub> pixel over MAX-DOAS station <sup>a</sup> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )	8.7		6.8	
NO <sub>2</sub> VCD <sub>TROPOMI-CRE</sub> pixel over station <sup>a</sup> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )	9.3		7.7	
NO <sub>2</sub> VCD <sub>APEX</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )				
Averaged in TROPOMI pixel over station	8.6		7.2	
APEX pixel over station	8.4		6.4	
APEX pixels averaged along MAX-DOAS viewing direction	13.1		7.9	
	TROPOMI overpass (14:56 LT)	APEX overpass (14:07 LT)	TROPOMI overpass (14:19 LT)	APEX overpass (14:25 LT)
NO <sub>2</sub> VCD <sub>MAX-DOAS</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )	25.0	13.2	6.7	6.7

<sup>a</sup> TROPOMI Pixel ID #2 in Table 7 for Flight #1 and Pixel ID #3 in Table 9 for Flight #3.

**3) There are some missing details about the APEX NO<sub>2</sub> tropospheric column algorithm. Please add discussion about the reference spectra (i.e., is there one per flight? One overall? Where is it? I saw the comment that it was estimated using a mobile MAXDOAS) also please add some text that discusses how APEX tropospheric vertical columns are computed (e.g., is it similar to Sect. 3.2.2 and 3.3 in Lamsal et al. <http://dx.doi.org/10.1002/2016JD025483> ?)**

APEX NO<sub>2</sub> VCD retrievals are deliberately not discussed in full detail here as this has been done extensively in Tack et al. (2017) (<https://doi.org/10.5194/amt-10-1665-2017>) and also partly in Tack et al. (2019) (<https://doi.org/10.5194/amt-12-211-2019>). Tack et al. (2017) focuses on the development of the APEX NO<sub>2</sub> retrieval algorithm (which is indeed similar in concept to Lamsal et al. (2017)) and is applied on data acquired in 2015 over the Antwerp and Brussels region. The developed retrieval algorithm has been applied to the data acquired for the study under review. We prefer to avoid repetition and a too lengthy paper and want to keep the focus on the actual comparison/validation and study on impact of spatial resolution. Having a full discussion again on the APEX retrieval would be out of scope for this paper and it would similarly require a full discussion on the TROPOMI retrievals. We assume that the retrieval algorithms are well documented for both, TROPOMI retrievals in the ATBD and APEX retrievals in Tack et al. (2017). We have adapted Sect. 4.1 and 4.2 in such a way to emphasize why we don't include a full discussion on the retrieval algorithm and highlighted explicit references to the relevant sections in Tack et al. (2017) for the readers, interested in more details about the APEX retrievals.

For each flight, a reference spectrum was selected in a clean background area, upwind of the main sources, and the residual amount of NO<sub>2</sub> in the reference was estimated from co-located mobile-DOAS measurements. This has also been added to Sect. 4.2.

We have updated Sect. 4.2 as follows:

“The APEX NO<sub>2</sub> VCD retrieval scheme is similar in concept to the TROPOMI one and the developed algorithm is well documented in Tack et al. (2017). A full discussion on the retrieval algorithm is beyond the scope of this paper. Therefore, we refer to Sect. 4.1, Sect. 4.2, Sect. 4.3, and Sect. 4.6 in Tack et al. (2017) for all details on the APEX DOAS analysis, reference spectrum, AMF computation, and NO<sub>2</sub> VCD error budget, respectively. The DOAS spectral fit is based on the QDOAS software (Fayt et al., 2016) applied in the 470-510 nm spectral range, optimal for NO<sub>2</sub> retrieval from APEX. Note that interference with unidentified instrumental artefacts or features prevents us from extending the fitting window to wavelengths lower than 470 nm as discussed in Popp et al. (2012) and Tack et al. (2017). Key parameters for the NO<sub>2</sub> SCD retrieval are provided in Table 3. For each flight, a reference spectrum was selected in a clean background area, upwind of the main sources, and the residual amount of NO<sub>2</sub> in the reference was estimated from co-located mobile-DOAS measurements. ...”

#### 4) How is *sigma*AMF<sub>APEX</sub> computed?

Similarly as for comment 3, the APEX NO<sub>2</sub> VCD uncertainty budget is not discussed in full detail here as this has been done extensively in Tack et al. (2017) (<https://doi.org/10.5194/amt-10-1665-2017>) and also partly in Tack et al. (2019) (<https://doi.org/10.5194/amt-12-211-2019>). However, we agree more details should be added here, as well as clear references for readers that would like to have a full discussion.

We would like to refer to Section 4.6 in Tack et al. (2017) (<https://doi.org/10.5194/amt-10-1665-2017>):

“The error in the calculation of the air mass factor  $\sigma\text{AMF}_i$  is caused by the uncertainties in the assumptions made for the radiative transfer model parameters (See Sect. 4.3.1). The contributing uncertainties can be summed in quadrature to obtain an overall error estimate  $\sigma\text{AMF}_i$ . According to Boersma et al. (2004), the error budget associated with the computation of the AMF is dominated by the cloud fraction, surface albedo and NO<sub>2</sub> profile shape: (1) as flights took place under clear-sky conditions, cloud fraction is not considered an error source in this case. (2) Sensitivity tests, performed in Sect. 4.3.2, indicate that the surface albedo has the most significant impact on the effective light path, thus on the AMF. Within the albedo  $1\sigma$  interval, the AMF variability can be up to 65 %. However, as absolute radiances can be directly derived from the APEX instrument, the albedo can be determined with relatively high accuracy. For a realistic estimate of the uncertainty, the following study was performed: several albedo types were measured in the field with an ASD FieldSpec-4 spectrometer (<http://www.asdi.com/products-and-services/fieldspec-spectroradiometers/fieldspec-4-hi-res>) and compared to the APEX surface albedo. For the wavelength 490 nm, the average albedo error over all targets is 10 %, which is assumed to be a realistic estimate of the uncertainty related to the a priori surface albedo. (3) Based on the sensitivity study performed in Sect. 4.3.2, the uncertainty related to the a priori NO<sub>2</sub> profile shape is lower than 8 %. (4) According to the performed simulations, the uncertainty related to the assumption of a pure Rayleigh atmosphere is estimated to be less than 10 %. (5) Both the viewing and sun geometry can be determined with high accuracy, thus the impact on the error in the AMF computation is expected to be small. Moreover, the performed sensitivity study, summarised in Table 5, has revealed that varying input for the viewing/sun geometry has a very low impact on the TAMF variability. Therefore it is assumed that the uncertainties related to RAA, VZA and SZA are less than 1 %. Finally, all error sources contributing to the overall error  $\sigma\text{AMF}_i$  are summed in quadrature and an estimate of approximately 15 % is obtained.”

We have added more details on this in the manuscript as follows: “A full error budget for APEX NO<sub>2</sub> VCD retrievals has been discussed in Sect. 4.6 in Tack et al. (2017). Like for TROPOMI, the overall error on the retrieved APEX NO<sub>2</sub> VCDs,  $\sigma\text{VCD}_{\text{APEX}}$ , is dominated by uncertainties related to the DOAS fit and AMF computation. The error on the retrieved DSCD or the slant error,  $\sigma\text{DSCD}_{\text{APEX}}$ , estimated

from the fit residuals in the DOAS analysis, is  $3.1 \times 10^{15} \text{ molec cm}^{-2}$ , on average. The error on the AMF computation,  $\sigma\text{AMF}_{\text{APEX}}$ , depends on uncertainties in the assumption of the RTM inputs with respect to the true atmospheric state and is dominated by systematic errors in the surface albedo,  $\text{NO}_2$  profile, and aerosol parameters. An estimate of approximately 15% is obtained for  $\sigma\text{AMF}_{\text{APEX}}$ , following the detailed error budget described in Sect. 4.6 in Tack et al. (2017)."

**5) It is interesting in Table 4 how the bias/slopes are different between the two cities. Antwerp has a lower slope for all three column comparisons as well as a larger negative bias. Any comment on this?**

Your observation is correct. We checked the individual correlation plots for the different flights and it is hard to give a conclusive explanation based on the current data sets. The main difference between the two data sets is the type of emissions: prevailing industrial emissions in Antwerp and more traffic emissions in Brussels. This leads to a larger dynamic range and heterogeneity in the  $\text{NO}_2$  field for the Antwerp region. Even if the APEX measurements are averaged within the TROPOMI pixel footprints, this still might have an effect for example due to the non-perfect time coincidence, point spread function, local albedo variability, etc. However, note that the correlation coefficient does not seem to be affected. It is hard to say as we don't have enough statistics. As new flights over both areas are expected in summer 2021, we hope to be able to check this again if it is a coincidence or really something geophysical.

**6) On page 5, there is discussion about AOT measurements. Were any observed in Antwerp or only in Brussels?**

Unfortunately no AOT measurements were done in Antwerp. Due to restricted national funding, this was a "lightweight" campaign and we relied on existing ground-based stations like the CIMEL and MAX-DOAS station we have in Uccle. A new S5P validation is scheduled in summer 2021 based on ESA funding which would give us more room to invite other teams and maybe add additional instruments in the two regions.

**7) In Figure 15 and Sect. 6.2: why does the color bar go to zero if the background is  $3 \times 10^{15}$  and the detection limit is assumed at  $5.1 \times 10^{15}$ ? I am not sure if this is an oversight or if the section needs some clarifying discussion about the interpretation of this figure.**

Thanks for pointing this out. We took indeed a standard color bar between 0 and  $5 \times 10^{16} \text{ molec cm}^{-2}$  while the data shown is only ranging between 0.51 and  $5 \times 10^{16}$ . Synthetic  $\text{NO}_2$  VCDs below the detection limit of  $5.1 \times 10^{15} \text{ molec cm}^{-2}$  are masked white and indeed even without masking, the lowest values would be  $0.3 \times 10^{16} \text{ molec cm}^{-2}$  and not 0. But note that no VCD values in the plot had the deep blue colors representing 0 to  $0.51 \times 10^{16} \text{ molec cm}^{-2}$ . To avoid any confusion we have adapted the colorbar with limits between 0.5(1) and  $5 \times 10^{16}$ .

**8) Page 1 Line 31 and generally in the paper: These biases are for these Belgian cities but are stated as general results for 'urban areas'. Could these results perhaps be different in other cities?**

Indeed, this can be certainly different for other cases, depending on the amount of heterogeneity in the  $\text{NO}_2$  field as well as the satellite pixel size (at nadir or more at edge of the swath). These nuances are well discussed in Sect. 6.1, also with reference to other studies. But indeed the statement in the abstract is "too strong" like this. We have adapted this in the abstract to (also following comment #11 from reviewer #2): "For a case study in the Antwerp region, the current TROPOMI data underestimates localised enhancements and overestimates background values by approximately  $1\text{--}2 \times 10^{15} \text{ molec cm}^{-2}$  (10–20%)."

For the same reason the related paragraph in the conclusion was adapted to: "The TROPOMI spatial resolution is limited to resolve fine-scale urban NO<sub>2</sub> plumes and can cause a considerable smoothing effect in case of the observation of strongly polluted scenes with steep gradients. This depends both on the instrument pixel size and the amount of heterogeneity in the NO<sub>2</sub> field. The high-resolution APEX retrievals allow to monitor the effective horizontal variability in the NO<sub>2</sub> field at much finer scale. In Sect. 6, the impact of smearing of the effective signal due to the finite satellite pixel size was studied for the Antwerp region based on a downsampling approach of the APEX retrievals. Assuming a pixel size of 25 to 20 km<sup>2</sup>, equivalent to the initial 3.5 km x 7 km and new TROPOMI 3.5 km x 5.5 km spatial resolution (at nadir), the TROPOMI data underestimates localised enhancements and overestimates urban background values by approximately  $1\text{--}2 \times 10^{15}$  molec cm<sup>-2</sup>, on average, or 10% - 20%, for the Antwerp case study. The average under- and overestimation is further reduced to  $0.6\text{--}0.9 \times 10^{15}$  molec cm<sup>-2</sup>, or smaller than 10%, when increasing the pixel size to 1 km<sup>2</sup>. Therefore, detailed air quality studies at the city scale still require observations at higher spatial resolution, in the order of 1 km<sup>2</sup> or better, in order to resolve all fine-scale structures within the typical heterogeneous NO<sub>2</sub> field."

Please see also a related comment (comment #8) from reviewer #2.

**9) Technical Comments: Page 1: Line 23: You refer to the slope of 0.93 after the introduction of the CAMS profile, however the original slope is not listed. Please add this to the abstract to be consistent.**

We suggest to change to "When replacing the coarse 1° x 1° TM5-MP a priori NO<sub>2</sub> profiles by NO<sub>2</sub> profile shapes from the CAMS regional CTM ensemble at 0.1° x 0.1°, R is 0.94 and the slope increases from 0.82 to 0.93. The bias is reduced to  $-0.1 \pm 1.0 \times 10^{15}$  molec cm<sup>-2</sup> or  $-1.0\% \pm 12\%$ ."

**10) Page 3 Line 1: please add the TROPOMI resolution sooner than is mentioned in page 3 line 15 as it is referenced in relation to other missions.**

You are right the resolution should be given here. We have moved the sentence from line 15 (initially 3.5 km x 7 km at nadir observations and 3.5 km x 5.5 km since 6 August 2019) and changed the sentence at line 15 to "The APEX spatial resolution is considerably higher than the typical resolution of spaceborne sensors. For example, one TROPOMI pixel of 3.5 km by 7 km comprises approximately 4000 APEX pixels."

**11) Page 3: Please consider swapping the placement of the second and third paragraphs in this page (Paragraph 2 being 'In this study. . .' and Paragraph 3 being 'Richter et al. . .'). It would improve flow as it talks about the challenges then state how this study addresses those challenges**

Thank you for the suggestion. We agree swapping the two paragraphs improves the flow.

**12) Page 3 Line 31: There is this reference also in AMTD. <https://amt.copernicus.org/preprints/amt-2020-151/> Perhaps make the statement more defining to the region studied or other details. Or remove/edit accordingly.**

The study <https://doi.org/10.5194/amt-2020-151> was indeed submitted to AMT in the same week as the study under review (<https://doi.org/10.5194/amt-2020-148>). We have adapted the paragraph in the manuscript and we have added a proper reference, now it is available:

This is one of the first publications assessing TROPOMI NO<sub>2</sub> retrievals over strongly polluted regions based on the comparison with airborne remote sensing observations and it is one of the first airborne spectrometer data sets coinciding in space and time with a large amount of fully sampled satellite pixels. At the same time the study of Judd et al. (2020) on the Long Island Sound Tropospheric Ozone Study (LISTOS) campaign in the New York City/Long Island Sound region has been submitted. Earlier studies reporting on the validation of spaceborne observations based on airborne spectrometer data, such as Heue et al. (2005), Constantin et al. (2016), Lamsal et al. (2017), Broccardo et al. (2018), and Merlaud et al. (2020) have shown high potential but are scarce, mainly due to the relatively large pixel footprint of TROPOMI's predecessors with respect to the area that can be covered with an airborne mapping spectrometer.

**13) Page 5 Line 30: AURA should be Aura. It is not an acronym. Same with PANDORA– >Pandora.**

Thanks for clearing this out. This is corrected throughout the manuscript.

**14) Page 7 Line 13-14: 'is based' is used twice in one sentence.**

Corrected to:

"The processor is based on a retrieval-data assimilation-modelling system using the 3-D global TM5-MP chemistry transport model (CTM) (Williams et al., 2017). It follows a 3-step approach: "

**15) Page 9 Final paragraph: This figure shows the difference in Box AMFs based on albedo, and therefore belongs better in the next section rather than Sect. 4.3.1 about A priori NO<sub>2</sub> profiles.**

We prefer to keep the discussion on the box AMFs (and Figure 3.b) in section 4.3.1 on the NO<sub>2</sub> vertical profiles. They are related as Figure 3.a provides the concentration at each altitude layer while the Box AMF in 3.b provides the vertical sensitivity to NO<sub>2</sub>. It is true that we provide the box AMF profiles for two different albedo scenarios, but the key discussion is on the vertical sensitivity. To make this more clear we suggest to change the title of Sect. 4.3.1 from "A priori NO<sub>2</sub> profile" to "NO<sub>2</sub> profile and vertical sensitivity".

**16) Page 12: Line 20: Word Choice: refer to Antwerp and Brussels as regions or cities, rather than separate campaigns.**

Indeed referring to it as separate campaigns is not appropriate. We suggest to refer to it as regions here

**17) Figure 7: please point out the airport for ease of identifying when discussed in the text on Page 13**

We have added a white square in Fig. 7 a) and b) and properly referred to it in the caption and text.

**18) Page 14 Line 21-22: It is premature to make a statement about the error bars in Figure 8 since the figure is not introduced until a couple pages later. I suggest removing that sentence here.**

True, we have removed the sentence in this section. Note that in the next section (Sect. 5.2.2), we added an explicit reference to Eq. 1 and 2: "Vertical error bars indicate the overall error in NO<sub>2</sub>

VCD<sub>TROPO</sub> (Eq. 1), while the horizontal whiskers represent the error in NO<sub>2</sub> VCD<sub>APEX</sub> retrievals (Eq. 2), averaged over all APEX pixels coinciding with a particular TROPOMI pixel.”

**19) Page 18 Lines 15-23: Please clarify this discussion on how the temporal variability between TROPOMI overpasses is computed, especially with the differences in pixel footprints. It is hard to follow what those statistics are referring to and how they are computed.**

Indeed some details for the comparison were missing here. Prior to the comparison we have regridded the data sets to a common grid of 0.1°. In a next step we compared the absolute and relative differences between the two overpasses (grids) on the same day for the full Belgian domain. So the statistics are the average for all “difference pixels” over Belgium. We have clarified this section as follows: “ Both on 26 June and 29 June 2019, there were two early-afternoon S-5P overpasses over Belgium with a time difference between the two orbits of approximately 100 min. To assess the impact of the temporal NO<sub>2</sub> variability, the changes in the NO<sub>2</sub> field have been studied in the subsequent overpasses for the Belgian domain. Prior to the comparison, the data sets have been regridded to a common grid of size 0.1°. On June 26, the absolute value of the differences observed over the full Belgian domain is  $3.8 \pm 5.3 \times 10^{14}$  molec cm<sup>-2</sup> or  $12\% \pm 10\%$ , on average. A maximum difference of  $5.8 \times 10^{15}$  molec cm<sup>-2</sup> or 57% was observed for a pixel over the harbor of Antwerp, most likely due to a combination of moving air masses in the key plumes and slight changes in the wind pattern. Additionally, the TROPOMI pixel footprints have different sizes and orientations which also has an effect when sampling the effective NO<sub>2</sub> patterns and when regridding to the common grid size of 0.1°. On June 29, the absolute value of the differences observed is  $3.6 \pm 3.2 \times 10^{14}$  molec cm<sup>-2</sup> or  $11\% \pm 8\%$ , on average, with a maximum of  $2.0 \times 10^{15}$  molec cm<sup>-2</sup>, again seen over the harbour of Antwerp.”

**20) Page 19 Line 10: delete ‘allow to’**

Corrected

**21) Figure 1: Adding a label for Stabroek as the other ground site where meteorology is measured in Antwerp could be helpful.**

Ok, a label was added for Stabroek, Antwerp.

**22) Figure 13: Please make the red dots more visible. (Perhaps white like in other Figures). Also in the caption write what they are. And as a suggestion, pull the color bar legend out of panel (a) and make larger since it refers to all four maps.**

We have made the red dots larger and white like in Fig. 6 and 7, and described it in the caption. We have extracted the legend from map a) and use it as a general legend for all maps. Note that we have put the different parts of the figure together in the word file. We will make a proper merged figure with the legend more central over the four plots for the final version.

For consistency we have applied the same to Figure 14 and its caption.

## Anonymous Referee #2:

Thank you very much for the useful and constructive remarks. As described below, we have modified the manuscript according to suggestions and provided clarifications where necessary. We hope that the revised manuscript has improved in respect to the original paper. Please find a rebuttal against each point below.

### ***Black, bold, italic: Referee's comments***

Black: Author's reply

Changes in the original discussion paper are highlighted in yellow and attached below

***1) p.3 l. 31: Is this really the first? Around the same time: Evaluating Sentinel- 5P TROPOMI tropospheric NO<sub>2</sub> column densities with airborne and Pandora spectrometers near New York City and Long Island Sound, Laura M. Judd et al.; <https://doi.org/10.5194/amt-2020-151>; I would suggest deleting this comment and possibly include a reference to this paper within the manuscript.***

The study <https://doi.org/10.5194/amt-2020-151> was indeed submitted to AMT in the same week as the study under review (<https://doi.org/10.5194/amt-2020-148>). We have adapted the paragraph in the manuscript and we have added a proper reference now it is available:

This is one of the first publications assessing TROPOMI NO<sub>2</sub> retrievals over strongly polluted regions based on the comparison with airborne remote sensing observations and it is one of the first airborne spectrometer data sets coinciding in space and time with a large amount of fully sampled satellite pixels. At the same time the study of Judd et al. (2020) on the Long Island Sound Tropospheric Ozone Study (LISTOS) campaign in the New York City/Long Island Sound region has been submitted. Earlier studies reporting on the validation of spaceborne observations based on airborne spectrometer data, such as Heue et al. (2005), Constantin et al. (2016), Lamsal et al. (2017), Broccardo et al. (2018), and Merlaud et al. (2020) have shown high potential but are scarce, mainly due to the relatively large pixel footprint of TROPOMI's predecessors with respect to the area that can be covered with an airborne mapping spectrometer.

However, we would find it more than fair that in the study <https://doi.org/10.5194/amt-2020-151>, the statement "This is the first airborne spectrometer dataset to be used to evaluate the TROPOMI tropospheric NO<sub>2</sub> product." would be changed as well and a proper reference to this study would be added.

***2) p. 4 l. 16: The TROPOMI tropospheric columns are up to \_12km. There is NO<sub>2</sub> above 6.5km, the NO<sub>2</sub> profile is not 0. Over cities and enhanced areas this will not be a big factor, but this should be discussed and mentioned. A typical amount of NO<sub>2</sub> from 6.5 to 12km over Belgium would be useful to mention – maybe using CAMS or TMP.***

Thanks for the interesting comment. As suggested we checked the partial NO<sub>2</sub> column between 6.5 km and tropopause, based on the interpolated TM5-MP a priori NO<sub>2</sub> profiles over Brussels and Antwerp for the four campaign days. The TM5-MP a priori NO<sub>2</sub> profiles are provided in Fig. 3a (the figure was updated in the manuscript as there was a mistake in the plot in the conversion from VMR to partial columns). Note that the tropopause is around 16 km (defined from the temperature

profile) instead of the suggested 12 km on these days. The partial column between 6.5 and 16 km ranges between  $2.8$  and  $4.7 \times 10^{14}$  molec  $\text{cm}^{-2}$ . We can also refer to a similar question/answer (comment 2) in <https://amt.copernicus.org/preprints/amt-2020-151/amt-2020-151-AC1-supplement.pdf>. Judd et al. (2020) reports a partial column of  $2 \times 10^{14}$  molec  $\text{cm}^{-2}$ , but the aircraft altitude is 9 km instead of 6.5 km.

The impact on the comparison and conclusions is expected to be small and would generally increase the bias between the TROPOMI  $\text{NO}_2$  VCD product and APEX retrievals, as the latter could be underestimated. Note that the effective impact is difficult to assess as airborne measurements are in fact sensitive to  $\text{NO}_2$  above the flight altitude of 6.5 km, however, indeed with reduced sensitivity as can be observed in Fig. 3b. Retrieved SCDs are the sum of the measured differential slant column and the residual amount of  $\text{NO}_2$  in a reference spectrum acquired over a clean area during the same flight ( $\text{SCD} = \text{DSCD} + \text{SCD}_{\text{ref}}$ ). The residual amount in the reference spectrum is a tropospheric VCD (corrected for the stratospheric content) estimated in this work from mobile DOAS measurements (but can also be derived from for example a model or MAX-DOAS observations like done in a number of other studies). In principle  $\text{SCD}_{\text{ref}}$  contains implicitly a contribution from the upper troposphere ( $> 6.5$  km). However, also these measurements, similar to MAX-DOAS measurements, have a reduced sensitivity to the upper troposphere. In case there are temporal/spatial changes in the  $\text{NO}_2$  field in the upper troposphere between reference area and measured area this should be implicitly measured in the DSCD.

We have added a discussion in the manuscript in Sect. 5.2.2: “Note that APEX observations have reduced sensitivity to the  $\text{NO}_2$  above the aircraft altitude of 6.5 km (see Fig. 3b), while the TROPOMI  $\text{NO}_2$  VCD is defined up to the tropopause (approximately 16 km on the campaign days). The TM5-MP  $\text{NO}_2$  partial columns between 6.5 and 16 km range between  $2.8$  and  $4.7 \times 10^{14}$  molec  $\text{cm}^{-2}$ . Retrieved APEX SCDs are the sum of the measured differential slant column and the residual amount of  $\text{NO}_2$  in a reference spectrum acquired over a clean area during the same flight.  $\text{SCD}_{\text{ref}}$  is derived from a tropospheric VCD, estimated in this work from mobile DOAS measurements. In principle  $\text{SCD}_{\text{ref}}$  contains implicitly a contribution from the upper troposphere. However, also these measurements have a reduced sensitivity to the upper troposphere. In case there are temporal or spatial changes in the  $\text{NO}_2$  field in the upper troposphere between the reference area and observed area, this should be implicitly measured in the DSCD. As the amount of  $\text{NO}_2$  in the upper troposphere appears to be small compared to the total column over polluted sites and as the APEX retrievals still have some sensitivity to it, we expect any impact on the comparisons to be minimal.”

**3) p. 4/5 I think the cloud fraction should be mentioned. It's mentioned that for the flights it was mainly clear sky, but what is the range of the cloud fractions for the TROPOMI observations? Some of this could be of course due to aerosols, but I think it would be good to know the cloud fraction (nitrogen dioxide window) assumed in the TROPOMI retrieval. I just noticed this is mentioned later in Sect. 4.3.3, but it would be good to include it in this section.**

Ok, we have specified the TROPOMI cloud fraction in Section 2: “Flights took place in mostly cloud-free conditions and on days with good visibility. For flights on 27 to 29 June, there was a cloud fraction of less than 1% for the TROPOMI  $\text{NO}_2$  retrieval window at 440 nm. Only on 26 June (Flight #1), conditions were not fully optimal with few scattered clouds and some light haze and aerosols (cloud fraction of 12%).”

**4) p.10 l. 1 Could the difference of the AMF come from the different height? APEX is from the surface to 6.5km; for TROPOMI it's higher.**

It has certainly an effect on the tropospheric AMF: due to scattering and absorption, the sensitivity to  $\text{NO}_2$  decreases towards the ground surface and the decrease in sensitivity is stronger with increasing

platform altitude due to the larger scattering probability above the absorbing layer. See for example Fig. 8 in <https://doi.org/10.5194/amt-12-211-2019>.

We have added the following sentence to clarify this: “This can be partly explained by a stronger decrease in sensitivity with increasing platform altitude due to the larger scattering probability above the absorbing layer.”

**5) Sect 4.3.2 Albedo: the TROPOMI AMF could be re-calculated using the APEX albedo and the impact can be directly estimated. I think the study would benefit from looking at the impact of correcting for the albedo. I'm not sure if this would be possible to do within a reasonable amount of time, if this is too time consuming, just mention it at least.**

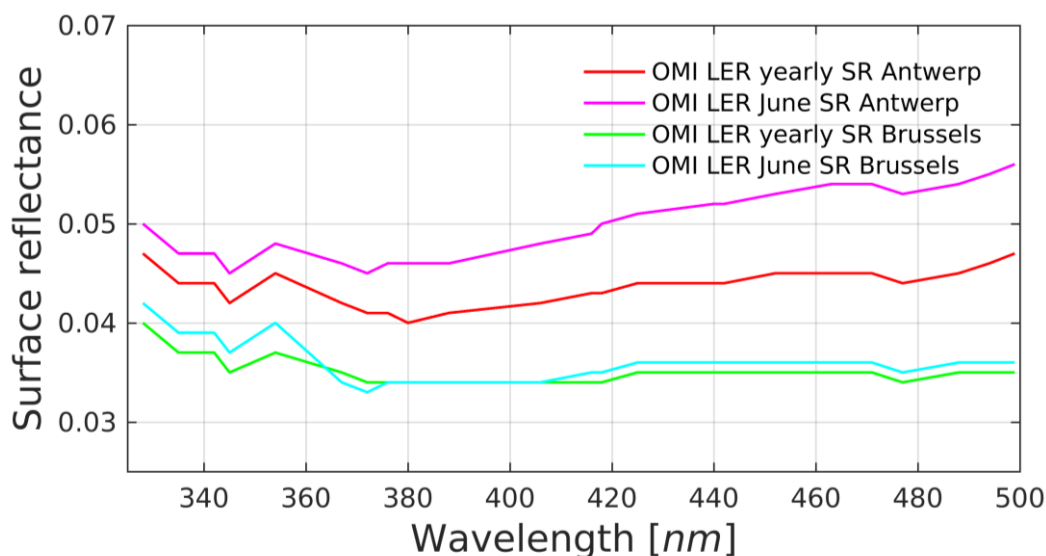
Recalculating the TROPOMI tropospheric AMF (and NO<sub>2</sub> VCDs) based on the APEX albedo is not as straightforward as for example replacing the a priori NO<sub>2</sub> profiles based on the provided AKs, especially as the main authors of this study are not involved in the operational TROPOMI NO<sub>2</sub> retrievals. We agree it would be an added-value to directly study the impact on the NO<sub>2</sub> retrievals, instead of the albedo comparison tests done in this study. However, we propose to keep this as part of a future study: new APEX flights are foreseen over the two target areas in summer 2021 (and also other validation activities will take place later this year and next year → see reply to comment 8). As the TROPOMI LER (under development – see last paragraph on page 11) should be available by then, we suggest to compare the new APEX retrievals with the TROPOMI retrievals based on the initial OMI LER and new TROPOMI LER product to assess the impact.

To make clear that a study on the direct impact of the albedo is in the pipeline we have added the following at the end of the last paragraph on page 11: “New APEX validation flights over the Antwerp and Brussels region are foreseen for summer 2021 and will be valuable to assess 1) the retrieval impact of replacing the OMI LER by the TROPOMI LER, and 2) the v2 reprocessing of the TROPOMI NO<sub>2</sub> product”.

**6) Sect. 4.3.2: albedo is wavelength dependent; albedos at 3 different wavelengths are compared. The. How big is the impact of? the wavelengths difference This should be discussed, e.g. look at the OMI albedo and include the relative difference for these different wavelengths (over Brussels and Antwerp).**

The albedo is indeed wavelength dependent and therefore a statement was present in the next to last paragraph to warn for the difficulties when comparing different albedo products: “Even if a direct comparison of different albedo products is not trivial due to BRDF-effects and albedo wavelength dependencies, among other...”

We followed your suggestion and tried to quantify the wavelength dependency based on the OMI LER. Below is a plot of the surface reflectance over Antwerp and Brussels for the 23 wavelength bands (and for both the yearly and monthly OMI LER product (June)). For TROPOMI NO<sub>2</sub> retrievals, the OMI LER product at 440 nm is used. Note that we used the MODIS MCD43A3 product at 470 nm and APEX SR is at 490 nm (middle of the APEX NO<sub>2</sub> fitting interval). The relative differences are 0 for the pixel over Brussels and 2.3% (yearly) – 3.8% (monthly) over Antwerp. Note that the relative difference between 440-470 and 440-490 is the same in all cases. Based on these tests, the impact of the wavelength dependency seems to be small at these wavelengths.



We have added the following in the paper: “The albedo is wavelength dependent and albedo products at different wavelengths have been compared: OMI LER at 440 nm, MODIS MCD43A3 at 470 nm and APEX albedo at 490 nm. The wavelength dependency has been assessed by analysing the relative difference of the OMI LER albedo over Brussels and Antwerp between 440 nm, and 470-490 nm for both the yearly and monthly OMI LER product (June). Overall, the OMI LER albedo increases slightly with wavelength but the increase is smaller than 4% between 440 and 490 nm.”

**7) p. 13, l. 16: it could be due to meteorology; e.g. lower wind speeds can increase the VCD enhancement even though emissions do not increase VCDs can be higher for stagnant winds, there could also be factors that potentially increased the lifetime of NO<sub>x</sub> (e.g. OH, O<sub>3</sub>, and NO<sub>x</sub> concentration) for that particular day. I think meteorology should be mentioned as a potential influence; look at the wind speeds and direction for these days (the wind speed is definitely lower). If both TROPOMI and APEX observed higher VCDs on June 29, this would not be due to the APEX instrument troubles.**

Good comment! Indeed, meteorology is certainly playing a role here, as well as other factors increasing the NO<sub>x</sub> lifetime. I was surprised at first glance not to see a “weekend effect”, which we usually see for example in MAX-DOAS data in Brussels, mainly monitoring traffic emissions. However, thinking about it we are mainly looking at emissions from petrochemical industry, which is probably constant each day of the week...this in contrast to traffic emissions. And like you comment lower wind speeds and other factors can increase the VCD, even when emissions are stable. We have altered the paragraph as follows: “Although June 29 is a Saturday, the NO<sub>2</sub> VCDs observed over the Antwerp harbour are slightly higher than on June 27, both in the APEX and TROPOMI data. The prevailing emissions in Antwerp from petrochemical industry are expected to be rather constant in contrast to traffic emissions, but meteorology, for example a more stagnant wind speed (3.7 m s<sup>-1</sup> on 27 June and 2.3 m s<sup>-1</sup> on 29 June, on average), and other factors that can potentially increase the lifetime of NO<sub>x</sub>, might explain the slight NO<sub>2</sub> VCD increase observed on June 29. However, when ...

Note that there is some misunderstanding here: the instabilities with the instrument are no explanation for the fact that we observe higher VCDs on June 29. We mentioned this to explain why we don’t have data over the city center on June 29, as we couldn’t analyse the first three flight lines. The data we show and compared with TROPOMI are not affected by the encountered instrumental

issues. In fact both were 2 different remarks related to the 29 June VCD map and we suggest to split it in two (small) paragraphs for clarity.

**8) p. 22, l. 21: Can you really conclude this if your comparison is done over a small area and over a short time period, seasons are not considered (e.g. snow)? Re-phrase this, or add “over Belgium in the summer time.”**

Thanks for pointing this out. We agree that the statement is “too strong” based on the data set we currently have. We have nuanced this in the conclusion: “The case study over polluted regions in Belgium in summer time demonstrates that the TROPOMI tropospheric NO<sub>2</sub> product meets the mission requirements in terms of precision and accuracy.”

Note however that some nuance was already present in the last paragraph of the conclusion, i.e. mentioning that more flights/data are needed under different geophysical conditions: “...The main focus was to quantify the TROPOMI retrieval uncertainties in polluted regions and results from the comparison with APEX data, acquired over Belgium in summer time, have shown that the TROPOMI tropospheric NO<sub>2</sub> product meets the mission requirements in terms of accuracy and precision. However, additional validation studies are required and are currently planned, focusing on more sites with different geophysical properties and varying pollution levels, including background areas, extreme albedo sites, other seasons, and cloudy scenes, among others, in order to assess as well the performance in suchlike conditions.”

Note as well that new flights will take place over the two sites in summer 2021. Also other validation activities, involving airborne instruments, will take place later this year and next year over several sites in Europe. For example, recurrent flights will take place over the cities of Bucharest and Berlin covering different seasons. Still under construction but here’s a link to upcoming TROPOMI validation activities: <https://s5pcampaigns.aeronomie.be/>

**9) p.1 l. 24-26: “When the absolute value . . . , when comparing APEX NO2 VCDs with TM5-MP based and CAMS-based NO2 VCDs, respectively.” I suggest re-wording this sentence, e.g.: The absolute difference is on average xx molec cm-2 (16%) and xx molec cm-2 (9%) compared to . . .**

We have applied the suggestion in the abstract (and also in the conclusion).

**10) p.1 l. 26: Which accuracy requirement; maybe change it to “mission accuracy requirement”**

Good suggestion and corrected throughout the paper.

**11) p.1 l. 29-30; suggest re-wording: Something like: The current TROPOMI data underestimate localized enhancements and overestimate background values by approximately 1-2x 10<sup>15</sup> molec cm-2 (10- 20%).**

Thank you for the suggestion! Note that for the same reason as for the earlier comment #8 (and also comment #8 from Reviewer #1) we have also added some nuance.

In the abstract: “For a case study in the Antwerp region, the current TROPOMI data underestimates localised enhancements and overestimates background values by approximately 1-2 x 10<sup>15</sup> molec cm<sup>-2</sup> (10- 20%).”

In the conclusion: “The TROPOMI spatial resolution is limited to resolve fine-scale urban NO<sub>2</sub> plumes and can cause a considerable smoothing effect in case of the observation of strongly polluted scenes

with steep gradients. This depends both on the instrument pixel size and the amount of heterogeneity in the NO<sub>2</sub> field. The high-resolution APEX retrievals allow to monitor the effective horizontal variability in the NO<sub>2</sub> field at much finer scale. In Sect. 6, the impact of smearing of the effective signal due to the finite satellite pixel size was studied for the Antwerp region based on a downsampling approach of the APEX retrievals. Assuming a pixel size of 25 to 20 km<sup>2</sup>, equivalent to the initial 3.5 km x 7 km and new TROPOMI 3.5 km x 5.5 km spatial resolution (at nadir), the TROPOMI data underestimates localised enhancements and overestimates urban background values by approximately 1-2 x 10<sup>15</sup> molec cm<sup>-2</sup>, on average, or 10% - 20%, for the Antwerp case study. The average under- and overestimation is further reduced to 0.6-0.9 x 10<sup>15</sup> molec cm<sup>-2</sup>, or smaller than 10%, when increasing the pixel size to 1 km<sup>2</sup>. Therefore, detailed air quality studies at the city scale still require observations at higher spatial resolution, in the order of 1 km<sup>2</sup> or better, in order to resolve all fine-scale structures within the typical heterogeneous NO<sub>2</sub> field."

**12) p.3 l. 13: "studied in Sect. 6" change to "see Sect. 6"**

Corrected.

**13) p.4 l. 4 Air pollution levels over Belgium. . . Do you have a reference that can be included here?**

This statement was mainly based on own experience while looking at satellite data, where in Europe always a hotspot over North of Belgium/South of The Netherlands is popping up, together with the Po valley, Paris, Ruhr area, etc.

I couldn't find a proper peer reviewed journal paper but we suggest to add following reference from a Greenpeace study where Flanders, or more specifically Antwerp, is mentioned as one of the 50 biggest NO<sub>2</sub> hotspots in the world. Only the German Ruhr and Paris are the other two European hotspots appearing in the list:

<https://www.greenpeace.org.au/research/new-satellite-data-reveals-worlds-largest-air-pollution-emission-hotspots-greenpeace-media-briefing/>

also the in-situ data from the environmental network on following website is showing how Belgium is flirting with or exceeding the EU annual limit value of 40 µg/m<sup>3</sup> for NO<sub>2</sub>:

<https://www.eea.europa.eu/themes/air/country-fact-sheets/2019-country-fact-sheets/belgium-air-pollution-country>

**14) p.6, l. 16 VNIR; this should be defined, maybe in the previous sentence were the two channels are mentioned**

As suggested, we have changed the previous sentence to: "APEX records backscattered solar radiation in the visible, (near-)infrared regions of the electromagnetic spectrum, covering the 370 to 2540 nm wavelength range in two channels, a visible/near-infrared channel (VNIR) and a short-wave infrared channel (SWIR)."

**15) p.7 l. 28, mention the height of the layers (between surface and xx km)**

Profile height has been added: "...and (2) daily NO<sub>2</sub> vertical profiles from the TM5-MP model on a 1° x 1° grid and covering 34 vertical layers (between surface and TOA)."

# Assessment of the TROPOMI tropospheric NO<sub>2</sub> product based on airborne APEX observations

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**Abstract.** Sentinel-5 Precursor (S-5P), launched in October 2017, carrying the TROPOspheric Monitoring Instrument (TROPOMI) nadir-viewing spectrometer, is the first mission of the Copernicus Programme dedicated to the monitoring of air quality, climate, and ozone. In the presented study, the TROPOMI tropospheric nitrogen dioxide (NO<sub>2</sub>) L2 product (OFFL v1.03.01; 3.5 km x 7 km at nadir observations) has been validated over strongly polluted urban regions by comparison with coincident high-resolution Airborne Prism EXperiment (APEX) remote sensing observations (~75 m x 120 m). Satellite products can be optimally assessed based on (APEX) airborne remote sensing observations as a large amount of satellite pixels can be fully mapped at high accuracy and in a relatively short time interval, reducing the impact of spatio-temporal mismatches. In the framework of the S5PVAL-BE campaign, the APEX imaging spectrometer has been deployed during four mapping flights (26-29 June 2019) over the two largest urban regions in Belgium, i.e. Brussels and Antwerp, in order to map the horizontal distribution of tropospheric NO<sub>2</sub>. For each flight, 10 to 20 TROPOMI pixels were fully covered by approximately 2800 to 4000 APEX measurements within each TROPOMI pixel. The TROPOMI and APEX NO<sub>2</sub> vertical column density (VCD) retrieval schemes are similar in concept. Overall for the ensemble of the four flights, the standard TROPOMI NO<sub>2</sub> VCD product is well correlated ( $R = 0.92$ ) but biased negatively by  $-1.2 \pm 1.2 \times 10^{15}$  molec cm<sup>-2</sup> or  $-14\% \pm 12\%$ , on average, with respect to coincident APEX NO<sub>2</sub> retrievals. When replacing the coarse  $1^\circ \times 1^\circ$  TM5-MP a priori NO<sub>2</sub> profiles by NO<sub>2</sub> profile shapes from the CAMS regional CTM ensemble at  $0.1^\circ \times 0.1^\circ$ , R is 0.94 and the slope increases from 0.82 by 14% to 0.93, and the bias is reduced to  $-0.1 \pm 1.0 \times 10^{15}$  molec cm<sup>-2</sup> or  $-1.0\% \pm 12\%$ . When the absolute value of the difference is taken, the bias is  $1.3 \times 10^{15}$  molec cm<sup>-2</sup> or 16%, and  $0.7 \times 10^{15}$  molec cm<sup>-2</sup> or 9% on average, when comparing APEX NO<sub>2</sub> VCDs with TM5-MP based and CAMS-based NO<sub>2</sub> VCDs, respectively. The absolute difference is on average  $1.3 \times 10^{15}$  molec cm<sup>-2</sup> (16%) and  $0.7 \times 10^{15}$  molec cm<sup>-2</sup> (9%), when comparing APEX NO<sub>2</sub> VCDs with TM5-MP-based and CAMS-based NO<sub>2</sub> VCDs, respectively. Both sets of retrievals are well within the mission accuracy requirement of a maximum bias of 25-50% for the TROPOMI tropospheric NO<sub>2</sub> product for all individual compared pixels. Additionally, the APEX data set allows the study of TROPOMI subpixel variability and impact of signal smoothing due to its finite satellite pixel size, typically coarser than fine-scale gradients in the urban NO<sub>2</sub> field. ~~The amount of underestimation of peak plume values and overestimation of~~

~~urban background values in the TROPOMI data is in the order of  $1-2 \times 10^{15}$  molec cm<sup>-2</sup> on average, or 10%–20%, in case of an urban scene. For a case study in the Antwerp region, the current TROPOMI data underestimates localised enhancements and overestimates background values by approximately  $1-2 \times 10^{15}$  molec cm<sup>-2</sup> (10- 20%).~~

## 1 Introduction

Sentinel-5 Precursor (S-5P), launched in October 2017, is the first of a series of atmospheric composition missions, planned within the European Commission’s Copernicus Programme. It carries the TROPOspheric Monitoring Instrument (TROPOMI) nadir-viewing spectrometer as its single payload. TROPOMI provides measurements of the atmospheric composition with an unprecedented combination of accuracy, spatial coverage, and spatio-temporal resolution, introducing new opportunities such as studying the variability of pollutants at the scale of cities, in addition to the monitoring of the global distribution of gases.

The new sensor technology and retrieval approach requires carefully assessing the quality and validity of the generated data products to see if they meet their requirements in terms of accuracy and precision, by comparison with independent reference observations. The TROPOMI operational validation consists in routine quality control and long-term monitoring of the TROPOMI level-1 (L1) and level-2 (L2) products. This is performed within the European Space Agency (ESA) Mission Performance Center (MPC) in a semi-automatic way and based on a limited number of Fiducial Reference Measurements (FRM) available from ground-based reference networks, complemented by balloon and satellite observations. Large uncertainties however remain, mainly due to the mismatch in spatial representativeness of point-size stations and global satellite products. Routine validation is therefore complemented with campaign-based activities to provide a more in-depth, complete insight into the S-5P instrument performance and the fitness for purpose of its data products. A series of campaign activities have been identified in the S-5P Campaign Implementation Plan (S-5P CIP) (Tack et al., 2018), established to address key validation priorities.

On this basis, a S-5P validation campaign over Belgium (S5PVAL-BE), focusing on nitrogen dioxide (NO<sub>2</sub>) column airborne observations, was identified as having high potential due to (1) the strong gradients in the NO<sub>2</sub> field over key Belgian cities, (2) the expertise built during the precursor BUMBA (Belgian urban NO<sub>2</sub> monitoring based on APEX remote sensing) campaigns over Belgium (Tack et al., 2017), and (3) the availability of the airborne prism experiment (APEX) hyperspectral imager and complementary ground-based infrastructure, such as mobile-DOAS, MAX-DOAS, and CIMEL stations. Aircraft remote sensing instruments, such as iDOAS (Heue et al., 2008), ACAM (Kowalewski and Janz, 2009), GeoTASO (Nowlan et al., 2016), AirMAP (Meier et al., 2017), Spectrolite (Vlemmix et al., 2017), SWING (Merlaud et al., 2018), GCAS (Nowlan et al., 2018) and APEX (Tack et al., 2017) are considered to be very valuable for satellite validation (van Geffen et al., 2018). The suitability of APEX to serve as independent reference for S-5P validation was assessed as part of the AROMAPEX project (Tack et al., 2019), a preparatory campaign activity focusing on the intercomparison of airborne atmospheric imaging systems (including APEX) and their mutual consistency, and the development of satellite validation strategies.

Tropospheric NO<sub>2</sub> is one of the principal trace gas products of TROPOMI. It is a key pollutant with a direct impact on human health and an important precursor of tropospheric ozone and particulate matter. NO<sub>2</sub> is primarily emitted as nitrogen monoxide (NO) and then rapidly oxidized to NO<sub>2</sub>. In urbanized areas, the primary source is fuel combustion due to traffic, domestic heating and industrial activities. NO<sub>2</sub> is a short-lived species with a lifetime on the order of hours. Its distribution is characterised by a strong spatio-temporal variability when close to the emission sources. Due to its high spatial resolution (initially 3.5 km x 7 km at nadir observations and 3.5 km x 5.5 km since 6 August 2019), TROPOMI is expected to be much more adequate to monitor short-scale urban NO<sub>2</sub> plumes than its predecessors, like GOME (Global Ozone Monitoring Experiment; 40 km x 320 km spatial resolution at nadir; 1995-2011; Burrows et al., 1999), SCIAMACHY (Scanning Imaging Absorption Chartography; 30 km x 60 km; 2002-2012; Bovensmann et al., 1999), OMI (Ozone Monitoring Instrument; 13 km x 24 km; 2004-present; Levelt et al., 2006), and GOME-2 (40 km x 80 km, 2007-present; Munro et al., 2016).

Richter et al. (2014) discusses the challenges associated with the validation of tropospheric reactive gases. These challenges arise from the large spatio-temporal variability of short-lived reactive gases, the dependency of the products on different geophysical parameters (surface albedo, trace gases profiles, aerosols, etc.), different instrument sensitivities, and the presence of small signals close to the detection limit. In preparation of the Sentinel atmospheric missions S-5P and the forthcoming Sentinel-5 and Sentinel-4 missions (Ingmann et al., 2012), ESA has supported several projects to test newly developed airborne instruments and to develop satellite validation strategies, such as the AROMAT (airborne Romanian measurements of aerosols and trace gases; Meier et al., 2017; Merlaud et al., 2018; Merlaud et al., 2020) and AROMAPEX (Vlemmix et al., 2017; Tack et al., 2019) campaigns. The S5PVAL-BE campaign builds on the experience and lessons learned from these campaigns. For similar objectives, the National Aeronautics and Space Administration (NASA) has conducted a range of field campaigns including airborne imagers, such as the DISCOVER-AQ campaigns (<https://discover-aq.larc.nasa.gov>; Nowlan et al., 2016; Nowlan et al., 2018) and the KORUS-AQ campaign (<https://wwwair.larc.nasa.gov/missions/korus-aq>; Herman et al., 2018) in preparation of the geostationary TEMPO (Tropospheric Emissions: Monitoring Pollution; Zoogman et al., 2017), and the GEMS (Geostationary Environment Monitoring Spectrometer mission for Southeast Asia; Kim et al., 2020) missions, respectively.

In this study, tropospheric NO<sub>2</sub> vertical column densities (VCDs), retrieved from high resolution APEX observations (~75 x 120 m<sup>2</sup>), acquired during four flights (26-29 June 2019) over the two largest cities in Belgium, i.e. Brussels and Antwerp, have been compared with correlative retrievals from coincident S-5P overpasses. A single APEX flight typically covers a set of 10 to 20 TROPOMI pixels. The study focuses on the assessment of the TROPOMI L2 tropospheric NO<sub>2</sub> product (OFFL v1.03.01) in polluted regions, and more specifically on the accuracy and precision of the retrieved VCDs, and impact of intermediate products such as the slant column densities (SCDs), a priori NO<sub>2</sub> vertical profiles and surface reflectances (see Sect. 4 and 5). APEX provides a unique data set, allowing the study of TROPOMI subpixel variability, as well as the impact of signal smoothing (~~seestudied in~~ Sect. 6) due to the finite satellite pixel size of TROPOMI, which is typically much larger than the fine-scale gradients in heterogeneous city plumes. The APEX spatial resolution is considerably higher than the typical

resolution of spaceborne sensors. For example, one TROPOMI pixel of 3.5 km by 7 km (initially 3.5 km x 7 km at nadir observations and 3.5 km x 5.5 km since 6 August 2019) comprises approximately 2800 to 4000 APEX pixels.

~~Richter et al. (2014) discusses the challenges associated with the validation of tropospheric reactive gases. These challenges arise from the large spatio-temporal variability of short-lived reactive gases, the dependency of the products on different geophysical parameters (surface albedo, trace gases profiles, aerosols, etc.), different instrument sensitivities, and the presence of small signals close to the detection limit. In preparation of the Sentinel atmospheric missions S-5P and the forthcoming Sentinel-5 and Sentinel-4 missions (Ingmann et al., 2012), ESA has supported several projects to test newly developed airborne instruments and to develop satellite validation strategies, such as the AROMAT (airborne Romanian measurements of aerosols and trace gases; Meier et al., 2017; Merlaud et al., 2018; Merlaud et al., 2020) and AROMAPEX (Vlemmix et al., 2017; Taek et al., 2019) campaigns. The S5PVAL-BE campaign builds on the experience and lessons learned from these campaigns. For similar objectives, the National Aeronautics and Space Administration (NASA) has conducted a range of field campaigns including airborne imagers, such as the DISCOVER-AQ campaigns (<https://discover-aq.larc.nasa.gov>; Nowlan et al., 2016; Nowlan et al., 2018) and the KORUS-AQ campaign (<https://www.wair.larc.nasa.gov/missions/korus-aq>; Herman et al., 2018) in preparation of the geostationary TEMPO (Tropospheric Emissions: Monitoring Pollution; Zoogman et al., 2017), and the GEMS (Geostationary Environment Monitoring Spectrometer mission for Southeast Asia; Kim et al., 2020) missions, respectively.~~

This is one of the first publications assessing TROPOMI NO<sub>2</sub> retrievals over strongly polluted regions based on the comparison with airborne remote sensing observations and it is one of the first airborne spectrometer data sets well coinciding in space and time with a large amount of fully sampled satellite pixels. At the same time the study of Judd et al. (2020) on the Long Island Sound Tropospheric Ozone Study (LISTOS) campaign in the New York City/Long Island Sound region has been submitted. Earlier studies reporting on the validation of spaceborne observations based on airborne ~~mapping spectrometer~~ data, such as Heue et al. (2005), Constantin et al. (2016), ~~and~~ Lamsal et al. (2017), Broccardo et al. (2018), and Merlaud et al. (2020) have shown high potential but are scarce, mainly due to the relatively large coarse pixel footprint of TROPOMI's predecessors with respect to the area that can be covered with an airborne mapping spectrometer.

## 2 S5PVAL-BE campaign

Air pollution levels over Belgium are among the highest in Europe (<https://www.greenpeace.org.au/research/new-satellite-data-reveals-worlds-largest-air-pollution-emission-hotspots-greenpeace-media-briefing/>, last access: August 2020), with Brussels and Antwerp being key emission sources for anthropogenic nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>). In Antwerp, main NO<sub>x</sub> sources are related to (petro)chemical industry in the harbor area, while traffic emissions are dominant in Brussels. Strong gradients can be seen in Fig. 1 showing TROPOMI tropospheric NO<sub>2</sub> VCDs, ranging between 3 and 11 x 10<sup>15</sup> molec cm<sup>-2</sup>, observed over Belgium during a S-5P overpass on 27 June 2019 (orbit 8826). Markers indicate the five largest Belgian cities. Besides these NO<sub>2</sub> hotspots, long-range pollutant transport occurs regularly over Belgium. When wind is blowing from the

north-northeast, plumes can be observed, emitted from the strongly industrialised Rhine-Ruhr valley in Germany and the port of Rotterdam in The Netherlands, which was the case on 27 June 2019. Similarly, plumes can be observed, emitted from Lille and Dunkerque in France when the wind is south-southwest.

The S5PVAL-BE campaign took place in Belgium from 26 to 29 June 2019. In total four mapping flights, lasting between 1.5 and 2 hours each, took place on four consecutive days. The APEX hyperspectral imager was operated by the Flemish Institute for Technological Research (VITO) from a Cessna 208B Grand Caravan EX, with registration number HB-TEN, owned by Swiss Flight Services (SFS) at a nominal altitude of 6.5 km a.g.l. This is well above the planetary boundary layer (PBL), containing the bulk of the tropospheric NO<sub>2</sub>. The aircraft followed a regular mapping pattern consisting of adjacent straight flight lines, with slightly overlapping footprints, alternately flown from south to north and from north to south, with the first flight line in the west. A sufficiently large area was covered over and around the city in order to capture the emission plumes downwind of the key sources and also to cover a large amount of TROPOMI pixels in order to have a statistically relevant data set. For each flight, approximately 10 to 20 TROPOMI pixels were covered for at least half their extension by APEX observations.

The coincident APEX mapping flights were scheduled to take place within one hour of the S-5P overpass, limiting the temporal variability between APEX and TROPOMI acquisitions to less than one hour. This requirement ensures largely (see section 5.2.2) that the same NO<sub>2</sub> field was observed by both the satellite and aircraft instrument. Flights took place in mostly cloud-free conditions and on days with good visibility. For flights on 27 to 29 June, there was a cloud fraction of less than 1% for the TROPOMI NO<sub>2</sub> retrieval window at 440 nm. Only on 26 June (Flight #1), conditions were not fully optimal with few scattered clouds and some light haze and aerosols (cloud fraction of 12%). Two flights took place over the city and harbor of Antwerp on 27 and 29 June and two flights over Brussels on 26 and 28 June. The flights covered variable meteorological and air quality conditions, as well as different overpass configurations, i.e. target area close to the TROPOMI nadir viewing direction (27 and 28 June 2019 with only one early-afternoon S-5P overpass) or closer to the edge of the swath (26 and 29 June 2019 with two early-afternoon S-5P overpasses). All relevant flight characteristics are provided in Table 1, as well as the meteorological and environmental conditions during the flights. Note that the identifiers for the different flights (Flight #1 – Flight #4), as defined in Table 1, will be used in the continuation of this work to refer to the respective flights.

During all campaign days there was a light breeze between 2.6 and 3.7 m s<sup>-1</sup> at the surface, based on the average wind speed during the time of flight, and wind was usually blowing from the north-northeast, except for Flight #4 when there was a southeasterly wind. Wind and temperature data are collected from weather stations of the Royal Meteorological Institute of Belgium (RMI), i.e. Uccle station (50.8° N, 4.4° E, 100 m a.s.l.) for Brussels, and Stabroek station (51.3° N, 4.4° E, 4 m a.s.l.) for Antwerp, and measurements are averaged over the time of flight. Surface temperatures were high, ranging between 23° and 30° Celsius. All observations were performed close to solar noon and during the APEX acquisitions the solar zenith angle (SZA) ranged between 28° and 36° at maximum. The favourable high sun position during summer maximized the light backscattered to the sensor and minimized the signal smoothing occurring in case of shallow sun elevation angles (Lawrence

et al., 2015). On the other hand, the overall NO<sub>2</sub> signal is generally slightly lower in summer time due to the shorter NO<sub>2</sub> lifetime.

Due to the local noon overpass of TROPOMI, we assume a deep and well-developed boundary layer and a good vertical dispersion of the anthropogenic emissions in the PBL due to turbulent mixing from surface heating. During the overpasses, a PBL height between 700 and 900 m was retrieved from the backscatter profiles of a Vaisala CL51 ALC ceilometer operated by RMI in Uccle. A low aerosol optical thickness (AOT level 1.5) of less than 0.15, at 500 nm, was measured during Flight #2 – Flight #4 by a CIMEL sun photometer at the AERONET station (Holben et al., 1998) in Uccle. During Flight #1, an AOT of 0.51 at 500 nm was observed. On average the retrieved AOT was 0.17 for June 2019. Note that the Uccle station is located south of Brussels, so for 26 to 28 June we assume that the site was downwind of the Brussels city center and thus in a semi-polluted area. The CIMEL observations are largely consistent with measurements performed with a handheld Model 540 Microtops II sun photometer from Solar Lights (Porter et al., 2001). Measurements were performed from a car, looping around the city during the APEX overpasses. An average AOT (440 nm) of 0.65, 0.19 and 0.16 was observed by the Microtops on 26, 27 and 28 June, respectively.

### 3 Observation systems

#### 3.1 S-5P and the TROPOMI payload

The TROPOMI instrument is a nadir-viewing pushbroom imaging spectrometer, and was built by a joint venture between the Netherlands Space Office (NSO), Royal Netherlands Meteorological Institute (KNMI), Netherlands Institute for Space Research (SRON), Netherlands Organisation for Applied Scientific Research (TNO), Airbus Defence and Space Netherlands, and ESA. TROPOMI builds upon a rich heritage from similar instruments, such as SCIAMACHY (Bovensmann et al., 1999) on ESA's ENVISAT and OMI (Levelt et al., 2006) on NASA's ~~Aura~~ ~~URA~~ satellite. The main objective of the S-5P mission is to perform atmospheric measurements, relating to air quality, climate forcing, ozone and UV radiation. S-5P bridges the gap in continuity of observations between its ESA predecessors (GOME and SCIAMACHY) and the forthcoming Sentinel-5 and Sentinel-4 missions, planned to be launched in 2023.

The TROPOMI instrument consists of four spectrometers covering the UV-VIS-NIR-SWIR wavelength ranges at a spectral resolution of 0.45-0.65 nm in the UV-VIS range. S-5P is in a near-polar, sun-synchronous orbit of 824 km in altitude with an ascending node equatorial crossing at 13:30 Mean Local Solar time. The entrance telescope allows for a wide field of view (FOV) of 108°, corresponding to a swath width of approximately 2600 km, providing daily global coverage with a ground pixel size of approximately 3.5 km x 7 km at nadir (3.5 km x 5.5 km since 6 August 2019). For a full technical description, we refer to Veefkind et al. (2012), Loots et al. (2017) and Kleipool et al. (2018). The TROPOMI key specifications are provided and compared with the APEX specifications in Table 2.

### 3.2 APEX airborne imager

The APEX instrument is a pushbroom imaging spectrometer, designed and developed on behalf of ESA by a Swiss-Belgian consortium (Itten et al., 2008; D'Odorico, 2012; Schaepman et al., 2015). Currently, APEX is jointly owned and operated by the Remote Sensing department of the Flemish Institute for Technological Research (VITO-TAP, Mol, Belgium) and the Remote Sensing Laboratories from University of Zurich (RSL-UZH, Zurich, Switzerland). APEX records backscattered solar radiation in the visible, (near-)infrared regions of the electromagnetic spectrum, covering the 370 to 2540 nm wavelength range in two channels, [a visible/near-infrared \(VNIR\) and a short-wave infrared channel \(SWIR\)](#). In this study, only data from the VNIR channel (370-970 nm) was used. The radiance is spectrally dispersed by a prism. Hence, the full width at half maximum (FWHM) is a strongly non-linear function of the wavelength, broadening from 1.5 to 3 nm FWHM in the visible spectral range. The CCD (charge-coupled device) 14 bit depth area detector records data in 1000 pixels across-track (spatial dimension) and 335 bands in the spectral dimension. Based on the across-track field of view (FOV) of 28°, a swath width of 3.2 km is obtained at a nominal flight altitude of 6.5 km a.g.l. The native spatial resolution of 3 m x 4 m, across- and along-track respectively, is spatially aggregated to a resolution of approximately 75 m x 120 m in order to increase the signal to-noise ratio (SNR), while retaining sufficient spatial detail for atmospheric composition measurements (Tack et al., 2017). The APEX optical unit is enclosed by a thermoregulated box, while the pressure in the spectrometer is kept at 200 hPa above ambient pressure.

In Table 2, the provided NO<sub>2</sub> SCD detection limits are approximated by the average 1-sigma slant error on the DOAS fit, as instrument noise is the dominant source of errors in the spectral fitting. Using the same definition, NO<sub>2</sub> SCD detection limits are estimated to be  $5.6 \times 10^{14}$  molec cm<sup>-2</sup> for TROPOMI retrievals and  $2.6 \times 10^{15}$  molec cm<sup>-2</sup> for APEX retrievals at its native resolution of 75 m x 120 m ([see Sect. 5.2.1](#)). However, in Sect. 5.2.2 spatio-temporal coinciding TROPOMI and APEX NO<sub>2</sub> VCD grids are quantitatively compared by spatial averaging of all APEX NO<sub>2</sub> VCDs within each TROPOMI pixel footprint. One nadir TROPOMI pixel corresponds to approximately 2700 APEX pixels, providing good statistics in the comparison. Spatial aggregation of APEX retrievals results in a decrease of its random uncertainty. Following Poisson statistics and assuming only photon noise, the noise is expected to decrease with the square root of the number of aggregated retrievals, resulting in a noise reduction by a factor 52 or a noise level of  $5.0 \times 10^{13}$  molec cm<sup>-2</sup> on the aggregated APEX pixels. This is approximately one tenth of the TROPOMI random error. The effective APEX noise level is, however, expected to be slightly larger as the noise reduction due to spatial binning does not completely follow shot noise statistics due to occurring dark current and read-out noise and systematic errors in the DOAS fit.

Several studies have demonstrated the capabilities of APEX for atmospheric trace gas retrieval applications, in particular high-resolution mapping of the NO<sub>2</sub> variability over polluted regions (Popp et al., 2012; Kuhlmann et al., 2016; Tack et al., 2017; Tack et al., 2019).

## 4 NO<sub>2</sub> VCD retrieval algorithm

### 4.1 TROPOMI NO<sub>2</sub> processor

The TROPOMI NO<sub>2</sub> processor is based on the DOMINO v2 (Dutch OMI NO<sub>2</sub> data products of KNMI for OMI; Boersma et al., 2011) and QA4ECV (Quality Assurance for Essential Climate Variables; Boersma et al., 2018) processing systems, with a number of differences related to specific TROPOMI characteristics. The processor is based on a retrieval-data assimilation-modelling system using the 3-D global TM5-MP chemistry transport model (CTM) (Williams et al., 2017). ~~It follows a and is based on a~~ 3-step approach:

- (1) The retrieval of NO<sub>2</sub> slant columns, being the NO<sub>2</sub> concentration integrated along the effective light path, by application of the Differential Optical Absorption Spectroscopy (DOAS) baseline method (Platt and Stutz, 2008) on the Level-1b radiance and irradiance TROPOMI spectra. The DOAS retrieval follows a non-linear fitting approach similar to the one used for OMI (Boersma et al., 2011; van Geffen et al., 2015). Key retrieval parameters are provided in Table 3. Resulting SCDs are dependent on the optical light path through the atmosphere and thus on the viewing geometry, the assumed state of the atmosphere and solar radiative transfer.
- (2) Separation of the total slant column into its tropospheric and stratospheric contributions, based on data assimilation of the SCDs in the TM5-MP CTM (Williams et al., 2017).
- (3) Conversion of the retrieved SCDs into VCDs by application of appropriate air mass factors (AMFs). AMFs express the relationship between SCDs and VCDs, accounting for the effects of the viewing and sun geometry, NO<sub>2</sub> vertical distribution, surface albedo, cloud fraction, cloud height, aerosol scattering, and terrain height. They are obtained by the integrated product of (1) altitude-dependent AMFs (or box AMFs) expressing the vertical sensitivity of the measurement, and (2) daily NO<sub>2</sub> vertical profiles from the TM5-MP model on a  $1^\circ \times 1^\circ$  grid and covering 34 vertical layers ~~(between surface and TOA)~~. Box AMFs are computed based on the Doubling-Adding KNMI (DAK version 3.2) radiative transfer model (RTM) (De Haan et al., 1987; Stammes et al., 2001). TROPOMI surface albedo is based on a climatology made from ~~five~~5 years of OMI data, aggregated to a grid of  $0.5^\circ \times 0.5^\circ$  (Kleipool et al., 2008). For NO<sub>2</sub> retrievals, the lambert equivalent reflectance (LER) at 440 nm is used. The LER is defined as the required reflectance of an isotropic surface needed to match the observed top of the atmosphere (TOA) reflectance in a pure Rayleigh scattering atmosphere under cloud free conditions and no aerosols. Cloud parameters are retrieved based on the fast retrieval scheme for clouds from the oxygen A band algorithm (FRESCO+; Wang et al., 2008).

For a full description of the TROPOMI NO<sub>2</sub> retrieval algorithm, we refer to the algorithm theoretical basis document (ATBD) of the total and tropospheric NO<sub>2</sub> data products (van Geffen et al., 2018) and the recent study of van Geffen et al. (2020). Note that in the continuation of this work, NO<sub>2</sub> VCD<sub>TROPO</sub> refers to the TROPOMI tropospheric NO<sub>2</sub> VCD product based on the standard TM5-MP profiles.

## 4.2 APEX NO<sub>2</sub> processor

The APEX NO<sub>2</sub> VCD retrieval scheme is similar in concept to the TROPOMI one and the developed algorithm is well documented and is discussed in detail in Tack et al. (2017; 2019). A full discussion on the retrieval algorithm is beyond the scope of this paper. Therefore, we refer to Sect. 4.1, Sect. 4.2, Sect. 4.3, and Sect. 4.6 in Tack et al. (2017) for all details on the APEX DOAS analysis, reference spectrum, AMF computation, and NO<sub>2</sub> VCD error budget, respectively. The DOAS spectral fit is based on the QDOAS software (Fayt et al., 2016) applied in the 470-510 nm spectral range, optimal for NO<sub>2</sub> retrieval from APEX. Note that interference with unidentified instrumental artefacts or features prevents us from extending the fitting window to wavelengths lower than 470 nm as discussed in Popp et al. (2012) and Tack et al. (2017). Key parameters for the NO<sub>2</sub> SCD retrieval are provided in Table 3. For each flight, a reference spectrum was selected in a clean background area, upwind of the main sources, and the residual amount of NO<sub>2</sub> in the reference was estimated from co-located mobile-DOAS measurements. NO<sub>2</sub> box AMFs have been calculated with the LIDORT 2.6 RTM (Spurr, 2008). Sun and viewing geometry, defined by the SZA, viewing zenith angle (VZA), and relative azimuth angle (RAA) are computed by the APEX ortho-rectification module (Vreys et. al, 2016) for each observation. Pressure and temperature atmospheric profiles are taken from the AFGL standard atmosphere for mid-latitude summer (Anderson et al., 1986). Aerosol extinction profiles (AEPs) were constructed from the AOT and PBL height observations, measured by the CIMEL and ceilometer, respectively, during the respective flights (see Table 1). As APEX is radiometrically calibrated, a surface reflectance product can be retrieved from the at-sensor radiances by application of an atmospheric correction algorithm (Sterckx et al., 2016). Total AMFs are computed from the box AMFs based on integration along an a priori NO<sub>2</sub> box profile, with constant mixing ratio in the PBL and taking the PBL height from the ceilometer observations (see Table 1). In the continuation of this work, NO<sub>2</sub> VCD<sub>APEX</sub> refers to the retrieved APEX tropospheric NO<sub>2</sub> VCD product.

## 4.3 AMF dependence on key RTM parameters

### 4.3.1 NO<sub>2</sub> profile and vertical sensitivity ~~A priori NO<sub>2</sub> profile~~

A priori NO<sub>2</sub> profile shapes used in the TROPOMI retrieval algorithms are specified using the TM5-MP CTM, which is an improved version of the TM4 CTM operated for the OMI DOMINO v2.0 product. TM5-MP has a finer spatial resolution (1° x 1°), updated information on NO<sub>x</sub> emissions, and an improved description of relevant physical (photolysis rate constants) and chemical (reaction rate constants) processes (van Geffen et al., 2018). However, highly polluted areas typically exhibit strong NO<sub>2</sub> vertical and horizontal gradients (see e.g. Dieudonné et al., 2013; Ialongo et al., 2019; Zhao et al., 2019; Dimitropoulou et al., 2020; Pinardi et al., 2020). The sharp gradients between pollution plumes and background areas cannot be resolved properly at the horizontal scale of the model (~100 km x 100 km). In Dimitropoulou et al. (2020), TROPOMI tropospheric NO<sub>2</sub> VCDs were recalculated based on high-resolution MAX-DOAS profiles, while in Ialongo et al. (2019) a priori NO<sub>2</sub>

profiles were extracted from the Copernicus atmospheric monitoring service (CAMS) regional CTM (Marécal et al., 2015; <https://www.regional.atmosphere.copernicus.eu>). These transformations generally led to increased NO<sub>2</sub> VCDs, resulting in a better agreement with reference ground-based measurements. In this study, a custom TROPOMI tropospheric NO<sub>2</sub> product was also prescribed, based on NO<sub>2</sub> profile shapes from the CAMS-regional CTM ensemble. CAMS NO<sub>2</sub> profiles, being a merge of CAMS-regional (0.1° x 0.1°; surface to 3 km altitude in seven layers; hourly data) and CAMS-global (0.4° x 0.4°; 3 km to TOA; 3-hourly data), analysed at the 0.1° grid of CAMS-regional, were used to recompute the tropospheric AMFs and corresponding TROPOMI NO<sub>2</sub> VCDs, referred to as VCD<sub>TROPO-CRE</sub> in the continuation of this work. In general we find that the VCDs are increased by about 5% to 40% over the Brussels-Antwerp regions, depending on the day and location (see Fig. 2). In the absence of NO<sub>2</sub> hotspots and plumes, the impact of changing the a priori profile is small. Both the standard and the custom TROPOMI NO<sub>2</sub> product are compared with airborne APEX mapping data in Sect. 5.2.

For the APEX retrievals, AEPs and a priori NO<sub>2</sub> profiles were constructed from the AOT and PBL height observations, as discussed in Sect. 4.2. In order to yield retrievals independent from the satellite, box profiles were used instead of the TROPOMI TM5-MP profiles, as displayed in Fig. 3a. When TM5-MP or CAMS profiles would be applied as a priori for the APEX retrievals, the AMF would increase with respectively 9% and 10% on average, which is largely consistent with a similar sensitivity study reported in Tack et al. (2017). For the APEX retrievals, we assumed a well-mixed NO<sub>2</sub> and aerosol box profile scenario and urban aerosols with a high single-scattering albedo (SSA) of 0.93. This causes a multiple scattering scenario and an enhancement of the optical path length in the NO<sub>2</sub> layer, and results in an increase in the AMF. When instead considering a no aerosol scenario for the APEX retrievals, the AMF drops by 10% on average. We assume that the opposing effects of using (1) a priori profile shape assumptions different from the TROPOMI retrievals and (2) different aerosol assumptions tend to cancel each other out in the APEX retrievals.

Box AMFs were computed and plotted in Fig. 3b for APEX, operating at 6.5 km a.g.l., and TROPOMI, for both a low and high surface reflectance scenario and with fixed values for the other RTM parameters. The box AMFs describe the sensitivity of the observations as a function of altitude (Wagner et al., 2007). The shapes of both TROPOMI and APEX box AMFs are similar below the aircraft altitude (6.5 km a.g.l.), but APEX has a higher sensitivity. As can be seen, the nadir-looking airborne instrument has a peak in sensitivity in the layer directly under the sensor. Above the airborne platform, the sensitivity to NO<sub>2</sub> converges rapidly with increasing altitude to a constant box AMF of 1.6, a value which corresponds to the geometrical AMF at the SZA of 50° assumed for this simulation. Due to scattering and absorption, the sensitivity decreases towards the ground surface where the bulk of the tropospheric NO<sub>2</sub> is residing. The decrease in sensitivity is stronger for TROPOMI, due to the larger probability of scattering above the NO<sub>2</sub> layer. For a low albedo case, i.e. 0.02, the surface box AMF is about 50% larger in case of APEX, while this is ~15% for a very high albedo case, i.e. 0.2. For the ensemble of the four data sets, the ~~tropospheric~~ AMF is  $1.1 \pm 0.1$  on average for TROPOMI retrievals and  $1.7 \pm 0.1$  for APEX retrievals, or approximately 50% higher. This can be partly explained by a stronger decrease in sensitivity with increasing platform altitude due to the larger scattering probability above the absorbing layer.

### 4.3.2 Surface reflectance

The surface albedo used in the TROPOMI retrievals is currently based on a climatology made from 5 years of OMI data, aggregated to a grid of  $0.5^\circ \times 0.5^\circ$ , as discussed in Sect. 4.1. In this section, we first compare the TROPOMI albedo at 440 nm with the surface reflectance product retrieved from the APEX at-sensor radiance at 490 nm (see Sect. 4.2). Similar to the assessment of coincident NO<sub>2</sub> VCDs in Sect. 5.2.2, the spatio-temporal coinciding TROPOMI and APEX albedo grids are quantitatively compared by spatial averaging of all APEX albedo values within each TROPOMI pixel footprint for the ensemble of the APEX data sets. The latter is defined by the pixel corner coordinates provided in the L2 product, while the APEX albedo locations are defined by their respective pixel center coordinates. TROPOMI pixels currently take the albedo values from the coarse OMI LER (~50 km x 50 km), implying that groups of neighboring TROPOMI pixels are assigned the same value. As a result, usually one APEX data set over a particular city covers only one to two different OMI LER albedo values. As APEX measures the albedo at high resolution (75 m x 120 m), we consider it as a good approximation of the effective albedo. By comparing the APEX albedo to the TROPOMI albedo, we can have an indication of the effective albedo variability over an urban area and how this is smoothed out in the TROPOMI/OMI LER, due to its coarser resolution.

Analysing the ensemble of the four acquired APEX data sets provided in Table 1, the APEX albedo is 0.040 on average and the variability within one TROPOMI pixel, expressed as the standard deviation (SD), is 0.022 on average or ~55%, but can be up to 100% for certain pixels (see Fig. 4). When considering the entire APEX scenes instead of single pixels, the variability of the APEX-derived albedo, resampled at TROPOMI resolution, is 0.012 on average or ~30%, with values ranging between 0.015 and 0.065. The OMI-based TROPOMI albedo variability is low, i.e. 0.001, as only 4 different  $0.5^\circ$  OMI LER pixels are sampled over the APEX scenes. The strong effective albedo variability over urban areas, as illustrated by the APEX albedo, is not captured by the OMI LER. This is likely to introduce a noise in the NO<sub>2</sub> VCD retrieval since this variability is not accounted for in the computed AMFs. In Sect. 5.2.2 it is shown that the comparison of APEX with coincident TROPOMI tropospheric NO<sub>2</sub> SCDs exhibits a slightly smaller spread than when comparing APEX and TROPOMI VCDs.

The albedo for coincident TROPOMI pixels over the APEX scenes is 0.051, on average, or 0.011 (~27%) higher than APEX. This is somewhat surprising at first glance as one would expect that high albedo values, typically observed over urban areas (Heiden et al., 2007), would be smoothed out in the OMI LER low-resolution albedo product and that this would result in a lower overall albedo when compared to the high-resolution APEX product. However, Kleipool et al. (2008) discusses that a statistical analysis approach is used to yield a climatologically averaged reflectance in the OMI LER, instead of using an absolute minimum reflectance method or so-called minimum Lambertian equivalent reflectance (MLER). The statistical analysis approach results in a higher reflectance value than provided by the MLER. This is to take into account the presence of boundary layer haze and persistent cloud features. It seems that for clear-sky conditions, the OMI LER overestimates the surface reflectance and that for these conditions the MLER would be a better approximation. Over Belgium, OMI MLER (not provided in the TROPOMI L2 NO<sub>2</sub> product) is approximately 0.005 lower than the OMI LER reflectance value, which would reduce the overestimation of TROPOMI reflectance to 0.006 when compared to APEX for the clear-sky flights. According to

Boersma et al. (2004), for albedo values smaller than 0.200, an overestimation of the albedo by 0.005-0.010 can result in a 5-10% increase of the tropospheric AMF, and thus in a potential underestimation of the retrieved TROPOMI NO<sub>2</sub> VCD.

The APEX and TROPOMI albedo have been both compared with Moderate Resolution Imaging Spectroradiometer (MODIS) albedo data, and more specifically with the MODIS MCD43A3 black-sky albedo daily L3 500 m v006 product at 470 nm. Coincident APEX and MODIS albedo pixels are compared for the data set acquired over Antwerp on 27 June 2019 and the scatter plot is shown in Fig. 5a. The regression analysis shows a high correlation ( $R = 0.96$ ) and a slope close to unity on a total of 2800 compared pixels, while the absolute difference is smaller than 0.005, on average. When comparing TROPOMI and MODIS albedo, both data sets are regridded to 0.5°, being the gridsize of the OMI LER. Albedo pixels are compared for the whole of Belgium on 27 June 2019 and the scatter plot is shown in Fig. 5b. The dynamic range is much lower than for the comparison between APEX and MODIS albedo and high albedo values ( $> 0.06$ ), typically observed over urban areas, are smoothed out. The regression analysis shows a lower correlation ( $R = 0.84$ ) and the TROPOMI albedo is approximately 0.012 higher than MODIS. Similar statistics were found when comparing the data sets acquired on the other campaign days. The albedo is wavelength dependent and albedo products at different wavelengths have been compared: OMI LER at 440 nm, MODIS MCD43A3 at 470 nm and APEX albedo at 490 nm. The wavelength dependency has been assessed by analysing the relative difference of the OMI LER albedo over Brussels and Antwerp between 440 nm, and 470-490 nm for both the yearly and monthly OMI LER product (June). Overall, the OMI LER albedo increases slightly with wavelength but the increase is smaller than 4% between 440 and 490 nm.

The observed overestimation of the OMI LER seems to be consistent with comparison studies reported in Kleipool et al. (2008). In the study, the OMI LER has been assessed for the entire globe by comparison with a similar LER map, based on data from the Total Ozone Mapping Spectrometer (TOMS) at 331, 340, 360, and 380 nm. The TOMS LER was approximately 0.015 lower than the OMI LER on average. GOME albedo at 335, 380, 440, and 494 nm was ~0.005 lower on average. The OMI LER was approximately 0.020 higher than the black-sky albedo, derived from MODIS at 470 nm. According to Kleipool et al. (2008) this is partly related to viewing geometry effects of the bidirectional reflectance distribution function (BRDF) of the surface. The TROPOMI and MODIS reflectance products are also not provided at the same wavelength and a statistical analysis approach is used to determine the reflectance value, instead of the OMI MLER.

Even if a direct comparison of different albedo products is not trivial due to BRDF-effects and albedo wavelength dependencies, among others, there is an indication that the OMI LER is overestimating the effective albedo in certain conditions, requiring a revision of the product and algorithm. Retrievals over strongly polluted areas also require an albedo product at higher resolution in order to resolve the typically strong albedo variability. A global gapless geometry-dependent LER (G3\_LER) daily map product at 0.1°, retrieved from the TROPOMI L1B radiances, is currently under development and discussed in Loyola et al. (2020). Also KNMI is working on a new TROPOMI LER product, extended compared to the OMI LER by including a viewing angle dependency, and will become available after the L1B product has been reprocessed to v2. As soon as a TROPOMI LER product becomes available, and its impact has been tested, this will be implemented to replace the OMI albedo climatology (Henk Eskes, personal communication, 15 March, 2020). New APEX validation flights over the

Antwerp and Brussels region are foreseen for summer 2021 and will be valuable to assess 1) the retrieval impact of replacing the OMI LER by the TROPOMI LER, and 2) the v2 reprocessing of the TROPOMI NO<sub>2</sub> product.

Furthermore, for the NO<sub>2</sub> retrieval a surface albedo adjustment scheme has already been implemented and will become operational from v2.0 onwards (upgrade planned for the second half of 2020; Eskes et al., 2020). In this approach the reflectivity measured in the NO<sub>2</sub> fitting window will be compared with a computed reflectivity based on the LER climatology. In case the observed reflectivity is lower, the albedo value will be reduced to match the observation, and the AMF will be computed with the adjusted albedo. This approach should remedy part of the shortcomings of the current albedo climatology.

### 4.3.3 Cloud fraction

Due to the cloud-free conditions for Flight #2 to #4, cloud parameters do not contribute to the uncertainties here. Nevertheless, the effective cloud-radiance fraction for the NO<sub>2</sub> retrieval window at 440 nm, computed by FRESKO (Wang et al., 2008) and provided in the L2 product, was checked. For Flights #2 to #4, a cloud cover of less than 10.5% on average was computed over Belgium. During Flight #1, scattered clouds were present and a cloud fraction of on average 12% was computed over Belgium.

A small cloud fraction of 12% indicates that there is more scattering in the atmosphere than computed based on the LER value. In the TROPOMI NO<sub>2</sub> retrieval such small “cloud fractions” are used to implicitly compensate for aspects like too small LER values (e.g. often the case over cities which have a higher reflectivity than the surroundings not resolved in the OMI map), or the presence of scattering aerosols, haze or residual clouds. Ideally the cloud pressure will indicate the altitude at which the scattering takes place. In practice this is a challenge because cloud pressure uncertainties are large for small cloud fractions.

## 5 Results

### 5.1 Analysis of the APEX NO<sub>2</sub> VCD grid product

The retrieved APEX NO<sub>2</sub> VCD maps are provided in Figs. 6 and 7 for the Antwerp (Flight #2 and #4), and Brussels (Flight #1 and #3) region campaign, respectively. Flight characteristics, and meteorological and environmental parameters of the four APEX flights were already discussed in Sect. 2 and are summarized in Table 1. They assist the geophysical interpretation of the observed NO<sub>2</sub> field. On the maps, white dots indicate the key point sources which are mostly chimney stacks from the prevailing petrochemical industry in the harbour of Antwerp. They are retrieved from the emission inventory 2017, provided by the Belgian Interregional Environmental Agency and a threshold was set at a minimum emission of 10 kg of NO<sub>x</sub> per hour in order to discriminate and visualize the main emitters. Key line sources such as the highways and city ring roads are indicated by white lines. TROPOMI tropospheric NO<sub>2</sub> VCD retrievals are overlaid as color-coded polygons, defined by the pixel

corner coordinates provided in the L2 product, and exhibit in general a good consistency with the APEX retrievals. However, elevated levels of NO<sub>2</sub> from isolated hotspots or narrow and confined plumes, visible in the APEX retrievals, cannot be spatially resolved anymore by TROPOMI and are averaged out within the TROPOMI pixel. This is for example the case for the plume in the north of the APEX data set acquired over Antwerp on 27 June 2019. This smoothing effect will be studied in more detail in Sect. 6.

The spatial resolution of the APEX retrievals allows to reveal the urban fine-scale NO<sub>2</sub> horizontal variability, and to resolve individual emission sources. Strong patterns of enhanced NO<sub>2</sub> can be discerned and linked to the key point and line sources. The maps reveal that the NO<sub>2</sub> field is highly variable in urban areas in both space and time. The NO<sub>2</sub> VCDs retrieved by APEX range between 1 and 40 x 10<sup>15</sup> molec cm<sup>-2</sup> in Antwerp with an average of  $7.6 \pm 3.8 \times 10^{15}$  molec cm<sup>-2</sup> for Flight #2 and  $9.9 \pm 6.1 \times 10^{15}$  molec cm<sup>-2</sup> for Flight #4. In Antwerp, the anthropogenic emissions are mainly related to industrial activities in the harbour. Some fine-scale plumes from individual stacks can be observed, while clusters of stacks contribute together to larger plumes. The observed plumes, narrow and confined close to their sources, are transported downwind for several tens of kilometers, as can also be observed in the TROPOMI retrievals (see Fig. 1). The primary emitted pollutant is NO, which is typically oxidised to NO<sub>2</sub> after entering the atmosphere. Further downwind, the NO<sub>2</sub> mixes and accumulates in the PBL and the plumes get more dispersed. Part of the emissions can also be related to traffic: increased values can be observed in the city center of Antwerp as well as along and downwind from the ring road R1 and junctions with the key highways E313 in the east and E19 in the west. Note that the main emission sources are largely the same as observed during previous APEX flights over Antwerp, as discussed in Tack et al. (2017).

Although June 29 is a Saturday, the NO<sub>2</sub> VCDs observed over the Antwerp harbour are slightly higher than on June 27, both in the APEX and TROPOMI data. The prevailing emissions in Antwerp from petrochemical industry are expected to be rather constant in contrast to traffic emissions, but meteorology, for example a more stagnant wind speed (3.7 m s<sup>-1</sup> on 27 June and 2.3 m s<sup>-1</sup> on 29 June, on average), and other factors that can potentially increase the lifetime of NO<sub>x</sub>, might explain the slight NO<sub>2</sub> VCD increase observed on June 29. However, when averaging the NO<sub>2</sub> levels for the whole of Belgium, TROPOMI observes a slightly lower tropospheric NO<sub>2</sub> VCD on June 29 ( $3.3 \pm 1.2 \times 10^{15}$  molec cm<sup>-2</sup>) than on June 27 ( $3.8 \pm 1.3 \times 10^{15}$  molec cm<sup>-2</sup>).

Note that some instrumental problems were encountered during the flight on June 29. The APEX instrument switched to an unstable state during the acquisition of the first three flight lines in the East over the city center of Antwerp, hampering the application of the retrieval algorithm on the corrupted spectra. The problem occurred as well in some parts of flight lines 4 to 6 explaining the gaps in the data set. The reasons for these instrument instabilities are currently unidentified.

The observed NO<sub>2</sub> VCDs range between 1 and 24 x 10<sup>15</sup> molec cm<sup>-2</sup> in Brussels with an average of  $9.8 \pm 4.2 \times 10^{15}$  molec cm<sup>-2</sup> for Flight #1 and  $6.9 \pm 2.8 \times 10^{15}$  molec cm<sup>-2</sup> for Flight #3. Here, the observed anthropogenic emissions are predominantly related to traffic and relatively small-scale industrial activity along the Brussels canal, indicated by the blue line. In this area, a considerable contribution is expected to come from a waste-to-energy plant. The station is indicated by the white dot in the north of the data set and is emitting approximately 15 kg of NO<sub>x</sub> per hour according to the emission inventory

(2017). For Flight #1, a plume originating from the Antwerp harbor and transported over the eastern part of Brussels can be observed in both the TROPOMI and APEX data. A large city plume, moving downwind in southwestern direction, can be observed in the Flight #3 data, as well as hotspots near the Brussels city center and increased NO<sub>2</sub> levels along the R0 Brussels ring road and the junctions with the key highways E40 and E19. The R0 is one of the busiest highways in Belgium with traffic volumes of more than 70 000 cars per day. NO<sub>2</sub> hotspots can also be observed in the area of the Brussels international airport in the northeast (indicated by a white square in Fig. 7), related to aircraft and airport traffic operations.

## 5.2 Assessment of the TROPOMI NO<sub>2</sub> product

### 5.2.1 Error budget - precision assessment

The TROPOMI L2 tropospheric NO<sub>2</sub> product (OFFL v1.03.01) has been assessed based on independent high-resolution airborne APEX data, acquired over the target areas within one hour of the S-5P overpass time. The mission accuracy and precision requirements for the TROPOMI L2 products have been formulated by the L2 Quality Working Group (QWG) and agreed on with the S-5P Mission Advisory Group (MAG). The accuracy of the tropospheric NO<sub>2</sub> VCD product is targeted to be around 25-50%, with a precision of 0.7 x 10<sup>15</sup> molec cm<sup>-2</sup> (Fehr, 2016).

The TROPOMI NO<sub>2</sub> processing chain allows to provide a realistic error budget. The total TROPOMI tropospheric NO<sub>2</sub> VCD error,  $\sigma_{VCD_{TROPOMI}}$ , is driven by (1) error propagation of the slant column errors, (2) errors associated with the separation of the stratospheric and tropospheric contributions, and (3) tropospheric AMF errors (van Geffen et al., 2018). The overall error in the TROPOMI tropospheric NO<sub>2</sub> columns can be quantified based on Boersma et al. (2004):

$$\sigma_{VCD_{TROPOMI}} = \sqrt{\left(\frac{\sigma_{SCD_{TROPOMI}}}{AMF_{TROPOMI}}\right)^2 + \left(\frac{\sigma_{SCD_{TROPOMI}^{strato}}}{AMF_{TROPOMI}}\right)^2 + \left(\frac{SCD_{TROPOMI} - SCD_{TROPOMI}^{strato}}{AMF_{TROPOMI}^2} \times \sigma_{AMF_{TROPOMI}}\right)^2} \quad (1)$$

The overall error variance is provided for each retrieval in the L2 product and is fully described in van Geffen et al. (2018). Analysis of the TROPOMI L2 NO<sub>2</sub> VCDs, coinciding with the APEX data sets, reveals a mean VCD and absolute error of 6.8 (VCD<sub>TROPOMI</sub>) and 7.9 (VCD<sub>TROPOMI-CRE</sub>) ± 2.1 x 10<sup>15</sup> molec cm<sup>-2</sup> or a relative error of approximately 31% and 27%, respectively. The maximum relative error observed was 42%. ~~In Fig. 8, the vertical error bars indicate the overall error for each TROPOMI VCD retrieval.~~ In general, larger relative errors are seen mostly over semi-background areas, reflecting mainly uncertainties in the slant and stratospheric column retrieval. Over polluted regions, the absolute errors increase while the

relative errors drop. Here, the retrievals are largely dominated by systematic errors in the computation of the AMFs. These are related to uncertainties in the assumptions made for the RTM parameters with respect to the true atmospheric state, and are dominated by the NO<sub>2</sub> profile shape, surface albedo and cloud parameters (cloud fraction and height). Uncertainties propagated due to the NO<sub>2</sub> profile assumptions and surface albedo have been discussed in section 4.3. The effect of clouds, however, was not considered in this study as data acquisition took place in mostly clear-sky conditions.

The TROPOMI precision is targeted to be better than  $7.0 \times 10^{14}$  molec cm<sup>-2</sup> (Fehr, 2016). We looked into the fitting error,  $\sigma_{\text{SCD}_{\text{TROPOMI}}}$ , as a proxy to assess compliance with the mission precision requirement, as instrument noise is the dominant source of errors in the spectral fitting of TROPOMI Level-1b spectra. Averaged over the four campaign days over Belgium, the precision is estimated to be  $5.6 \pm 0.4 \times 10^{14}$  molec cm<sup>-2</sup>, thus well within the requirement. This is consistent with an assessment performed over the Pacific Ocean and discussed in van Geffen et al. (2018), reporting precision levels between 5.0 and  $6.0 \times 10^{14}$  molec cm<sup>-2</sup>. Note that the TROPOMI noise level is approximately 30% lower than the initial OMI noise level (as measured in 2005). This is due to the higher radiometric SNR of TROPOMI, which is around 1400–1500 (~900-1000 for OMI) for an individual Level-1b spectrum in the 400–500 nm range (van Geffen et al., 2018).

A full error budget for APEX NO<sub>2</sub> VCD retrievals has been discussed in Sect. 4.6 in Tack et al. (2017). Like for TROPOMI, the overall error on the retrieved APEX NO<sub>2</sub> VCDs,  $\sigma_{\text{VCD}_{\text{APEX}}}$ , is dominated by uncertainties related to the DOAS fit and AMF computation. The error on the retrieved DSCD or the slant error,  $\sigma_{\text{DSCD}_{\text{APEX}}}$ , estimated from the fit residuals in the DOAS analysis, is  $3.1 \times 10^{15}$  molec cm<sup>-2</sup>, on average. The error on the AMF computation,  $\sigma_{\text{AMF}_{\text{APEX}}}$ , depends on uncertainties in the assumption of the RTM inputs with respect to the true atmospheric state and is dominated by systematic errors in the surface albedo, NO<sub>2</sub> profile, and aerosol parameters. An estimate of approximately 15% is obtained for  $\sigma_{\text{AMF}_{\text{APEX}}}$ , following the detailed error budget described in Sect. 4.6 in Tack et al. (2017). Due to the negligible spatio-temporal variability of the stratospheric NO<sub>2</sub> field in the time between the acquisition of the reference spectrum and the measurements, i.e. less than one hour, the stratospheric NO<sub>2</sub> contribution to the signal is expected to cancel out in case of APEX retrievals and is consequently not treated as a key error source. On the other hand, the error originating from the estimation of the NO<sub>2</sub> residual amount in the reference spectrum,  $\sigma_{\text{SCD}_{\text{ref}}}$ , can be considerable as discussed in Tack et al. (2017).  $\text{SCD}_{\text{ref}}$  is derived from co-located mobile-DOAS measurements and the error can be up to  $1.8 \times 10^{15}$  molec cm<sup>-2</sup>. The overall error in the APEX tropospheric NO<sub>2</sub> columns can be quantified based on the following error propagation method ~~and is discussed in detail in Tack et al. (2017):~~

$$\sigma_{\text{VCD}_{\text{APEX}i}} = \sqrt{\left(\frac{\sigma_{\text{DSCD}_{\text{APEX}i}}}{\text{AMF}_{\text{APEX}i}}\right)^2 + \left(\frac{\sigma_{\text{SCD}_{\text{ref}}}}{\text{AMF}_{\text{APEX}i}}\right)^2 + \left(\frac{\text{SCD}_{\text{APEX}i}}{\text{AMF}_{\text{APEX}i}^2} \times \sigma_{\text{AMF}_{\text{APEX}i}}\right)^2} \quad (2)$$

Analysis of all coincident APEX NO<sub>2</sub> VCD reference measurements for the ensemble of the four flights reveals a mean VCD and absolute error of  $8.0 \pm 2.3 \times 10^{15}$  molec cm<sup>-2</sup> or a relative error of approximately 29%. This is consistent with the

retrieval errors found during previous APEX campaigns, e.g. BUMBA (Tack et al., 2017) and AROMAPEX (Tack et al., 2019) and is also in line with the typical error found for similar airborne hyperspectral imaging instruments (Tack et al., 2019). Spatio-temporal coinciding TROPOMI and APEX NO<sub>2</sub> VCD grids are quantitatively compared in Sect. 5.2.2 by spatial averaging of all APEX NO<sub>2</sub> VCDs within each TROPOMI pixel footprint, resulting in a decrease of the overall random uncertainty on APEX retrievals. As discussed in Sect. 3.2, the average APEX noise level is expected to decrease from  $\sim 2.6 \times 10^{15}$  to  $\sim 5.0 \times 10^{13}$  molec cm<sup>-2</sup> after spatial aggregation. Propagating this into the mean APEX VCD error,  $\sigma \text{VCD}_{\text{APEX}}$ , the latter is expected to be reduced from 2.3 to  $1.6 \times 10^{15}$  molec cm<sup>-2</sup>, or a reduction of the relative error from  $\sim 29\%$  to  $\sim 21\%$  on the retrieved APEX NO<sub>2</sub> VCDs. The noise reduction has the biggest impact on retrievals over (urban) background areas, as the errors here are dominated by uncertainties in the slant column retrieval.

## 5.2.2 Comparison of coincident NO<sub>2</sub> VCDs – accuracy assessment

Satellite products can be optimally assessed based on airborne observations as a large amount of satellite pixels can be fully mapped at high resolution in a relatively short time interval, reducing the impact of spatio-temporal mismatches. The spatio-temporal coinciding TROPOMI and APEX NO<sub>2</sub> VCD grids are quantitatively compared by spatial averaging of all APEX NO<sub>2</sub> VCDs within each TROPOMI pixel footprint. The latter is defined by the pixel corner coordinates provided in the L2 product, while the APEX VCD locations are defined by their respective pixel centre coordinates. Note that TROPOMI pixels are only considered in the further analysis when they are covered for more than 50% by APEX pixels in order to reduce undersampling. Prior to the comparison, TROPOMI retrievals were checked based on their quality assurance (QA) value. Only pixels with a QA value equal to or larger than 0.75 were selected, removing cloudy pixels (cloud radiance fraction > 0.5) and erroneous retrievals (van Geffen et al., 2018). Note that all TROPOMI retrievals over the target scenes were compliant with the QA threshold.

In appendix A, tropospheric NO<sub>2</sub> VCD statistics for coincident TROPOMI and APEX pixels are provided for Flight #1 to #4 in Table 76 to 109, respectively. In total, 58 TROPOMI pixels were assessed. For each TROPOMI pixel acquired over the target area, the tropospheric NO<sub>2</sub> VCD is provided for both the TM5-MP-based (NO<sub>2</sub> VCD<sub>TROPO</sub>) and CAMS-based (NO<sub>2</sub> VCD<sub>TROPO-CRE</sub>) product. On average, NO<sub>2</sub> VCD<sub>TROPO</sub> is  $6.8 \times 10^{15}$  molec cm<sup>-2</sup> and NO<sub>2</sub> VCD<sub>TROPO-CRE</sub> is  $7.9 \times 10^{15}$  molec cm<sup>-2</sup>. For the APEX NO<sub>2</sub> retrievals, the mean and median NO<sub>2</sub> VCD are provided for each TROPOMI pixel, as well as the standard deviation (SD), relative standard deviation or coefficient of variation (RSD) and minimum and maximum NO<sub>2</sub> VCD. On average over all flights, NO<sub>2</sub> VCD<sub>APEX</sub> is  $8.0 \times 10^{15}$  molec cm<sup>-2</sup>, which is in good agreement with the average CAMS-based TROPOMI NO<sub>2</sub> VCDs. The SD and RSD are on average  $2.3 \times 10^{15}$  molec cm<sup>-2</sup> or 29%, respectively. They provide a measure for the sub-pixel variability or spatial heterogeneity of the NO<sub>2</sub> field within a TROPOMI pixel, which is studied in more detail in Sect. 6. Highest concentrations are observed in the plume over the Antwerp harbour with maxima of up to  $40 \times 10^{15}$  molec cm<sup>-2</sup>.

Corresponding scatterplots and linear regression analyses of co-located TROPOMI and averaged APEX NO<sub>2</sub> VCD retrievals are provided in Fig. 8, for the ensemble of all four data sets. In Fig. 8a, TROPOMI pixels are only included in the comparison when they are covered for more than 50% by APEX pixels, in order to reduce undersampling. However, for reference, linear regression analysis is also applied on all TROPOMI pixels having coincident APEX pixels and is provided in Fig. 8b. The data points are color-coded based on the number of APEX pixels averaged within a particular TROPOMI pixel. Vertical error bars indicate the overall error in NO<sub>2</sub> VCD<sub>TROPO</sub> (Eq. 1), while the horizontal whiskers represent the error in NO<sub>2</sub> VCD<sub>APEX</sub> retrievals (Eq. 2), averaged over all APEX pixels coinciding with a particular TROPOMI pixel. Regression lines are color-coded grey and black for the comparison of NO<sub>2</sub> VCD<sub>APEX</sub> with NO<sub>2</sub> VCD<sub>TROPO</sub>, and NO<sub>2</sub> VCD<sub>TROPO-CRE</sub>, respectively. Note that data points are shown for the comparison of NO<sub>2</sub> VCD<sub>APEX</sub> with VCD<sub>TROPO-CRE</sub> only. Corresponding correlation statistics are provided in Table 4 for each individual data set, as well as for the ensemble of the four data sets.

Overall for the ensemble of the four flights, a good agreement can be observed for both low and high retrievals. The standard TROPOMI NO<sub>2</sub> VCD product is well correlated ( $R=0.92$ ) and has a slope and intercept of 0.82 and  $0.3 \times 10^{15}$  molec cm<sup>-2</sup> with respect to APEX NO<sub>2</sub> reference observations. The observed negative bias is expected to be due to a combination of 1) the limited TROPOMI spatial resolution with respect to the occurring fine-scale gradients in polluted areas, and 2) the limited spatial resolution of a priori input for the AMF computation, i.e. NO<sub>2</sub> profiles at 1° from the TM5-MP CTM and surface albedo at 0.5° from the OMI LER. When replacing the TM5-MP a priori NO<sub>2</sub> profiles by CAMS-based profiles, the correlation coefficient increases to 0.94 and the slope increases by 11% to 0.93. Correcting for the estimated systematic bias of 0.005 to 0.010 in the TROPOMI/OMI LER in case of clear-sky days, as discussed in Sect. 4.3.2, would scale up the TROPOMI VCD retrievals by 5 to 10%. In Fig. 8b, a less favorable slope (0.77) and a reduced correlation ( $R=0.86$ ) can be observed due to the effect of undersampling when considering all covered TROPOMI pixels. When considering only TROPOMI pixels which are fully covered by APEX observations (only 31 instead of 58 pixels), the statistics are of the same order as when applying the condition that TROPOMI pixels should be covered at least half by APEX observations. This assures us that the data set based on the latter condition is representative, while increasing the amount of TROPOMI pixels that can be assessed.

Note that Table 4 also shows correlation statistics for the comparison of the tropospheric NO<sub>2</sub> slant column product, which has been compared in the same way as the VCDs. The slope is around 0.5 as the APEX airborne retrievals have a higher sensitivity to the NO<sub>2</sub> layer than the TROPOMI retrievals, resulting in larger SCDs. This is properly accounted for by the AMFs when converting to the vertical columns. When looking at the scatter, the SCDs exhibit a slightly larger correlation coefficient and lower root mean square error (RMSE), i.e.  $7.8$  and  $8.1 \times 10^{14}$  molec cm<sup>-2</sup>, for the comparison of SCDs and VCDs, respectively. As discussed in Sect. 4.3.2, this could be related to noise introduced in the VCD retrieval by the difference between the effective albedo variability and the albedo from the coarse OMI LER climatology, used in the computation of the AMFs.

The NO<sub>2</sub> VCD bias, defined by  $VCD_{TROPO(-CRE)} - VCD_{APEX}$ , and NO<sub>2</sub> VCD relative bias, defined by  $(VCD_{TROPO(-CRE)} - VCD_{APEX}) / VCD_{APEX} \times 100$ , has been calculated as well for the ensemble of the four data sets and is provided in Fig. 9 and Table 4. Data points and statistics are color-coded grey and black for the comparison of TM5-MP-based and CAMS-based

TROPOMI NO<sub>2</sub> VCDs with APEX NO<sub>2</sub> VCDs, respectively. On average, the bias is  $-1.2 \pm 1.2 \times 10^{15}$  molec cm<sup>-2</sup> or  $-14\% \pm 12\%$  for the difference between the standard TROPOMI NO<sub>2</sub> VCD product and APEX. The bias is substantially reduced when replacing the coarse TM5-MP a priori NO<sub>2</sub> profiles by CAMS-based profiles, being  $-0.1 \pm 1.0 \times 10^{15}$  molec cm<sup>-2</sup> or  $-1\% \pm 12\%$ . When the absolute value of the difference is taken, the bias is  $1.3 \times 10^{15}$  molec cm<sup>-2</sup> or 16%, and  $0.7 \times 10^{15}$  molec cm<sup>-2</sup> or 9% on average, when comparing VCD<sub>APEX</sub> with VCD<sub>TROPO</sub> and VCD<sub>TROPO-CRE</sub>, respectively. In general a stronger bias can be observed for high VCDs, related to the larger uncertainties on both the APEX and TROPOMI retrievals. Both sets of retrievals are well within the mission accuracy requirement of a maximum bias of 25-50% for the TROPOMI tropospheric NO<sub>2</sub> product for all individual compared pixels. These thresholds are indicated by the red dashed (25%) and full (50%) horizontal lines in Fig. 9b. Nevertheless, the standard tropospheric NO<sub>2</sub> product is clearly biased low over polluted areas when compared to reference observations at higher resolution and this is consistent with the findings in other studies (Griffin et al., 2019; Ialongo et al., 2019; Zhao et al., 2019; Dimitropoulou et al., 2020; Verhoelst et al., 2020).

Remaining disagreements between the data sets can be potentially attributed to:

(1) ~~D~~ifferent sensitivities to the NO<sub>2</sub> layer due to instrumental and algorithmic differences, and the a priori input in the radiative transfer simulations (studied in Sect. 4.3).~~5~~

(2) ~~D~~ifferences in observation geometry and height. Note that APEX observations have reduced sensitivity to the NO<sub>2</sub> above the aircraft altitude of 6.5 km (see Fig. 3b), while the TROPOMI NO<sub>2</sub> VCD is defined up to the tropopause (approximately 16 km on the campaign days). The TM5-MP NO<sub>2</sub> partial columns between 6.5 and 16 km range between  $2.8$  and  $4.7 \times 10^{14}$  molec cm<sup>-2</sup>. Retrieved APEX SCDs are the sum of the measured differential slant column and the residual amount of NO<sub>2</sub> in a reference spectrum acquired over a clean area during the same flight. SCD<sub>ref</sub> is derived from a tropospheric VCD, estimated in this work from mobile DOAS measurements. In principle SCD<sub>ref</sub> contains implicitly a contribution from the upper troposphere. However, also these measurements have a reduced sensitivity to the upper troposphere. In case there are temporal or spatial changes in the NO<sub>2</sub> field in the upper troposphere between the reference area and observed area, this should be implicitly measured in the DSCD. As the amount of NO<sub>2</sub> in the upper troposphere appears to be small compared to the total column over polluted sites and as the APEX retrievals still have some sensitivity to it, we expect any impact on the comparisons to be minimal.~~5~~~~and~~

(3) ~~T~~emporal differences in the observation of a dynamic NO<sub>2</sub> field. Concerning the latter point, APEX data was acquired over the target areas within one hour of the S-5P overpass time. Nevertheless, the potential impact of temporal NO<sub>2</sub> variability due to the time offset between the acquisition of the APEX and TROPOMI data sets has been investigated. In Fig. 10a, the same scatterplot and linear regression analysis is shown as in Fig. 8a, however, with the data points color-coded based on the absolute time offset between the TROPOMI overpass and the mean acquisition time of APEX retrievals within the pixel. The data set does not exhibit a clear dependency on increasing time offset. In Fig. 10b, the observed NO<sub>2</sub> VCD bias, defined by  $VCD_{TROPO-CRE} - VCD_{APEX}$ , has been plotted against the absolute time offset. The data set seems to be uncorrelated with a correlation coefficient of 0.02. Relatively low and high biases occur

at both small and large time offsets, which is pointing at a low impact of the temporal NO<sub>2</sub> variability under the current conditions.

Both on 26 June and 29 June 2019, there were two early-afternoon S-5P overpasses over Belgium with a time difference between the two orbits of approximately 100 min. To assess the impact of the temporal NO<sub>2</sub> variability, the changes in the NO<sub>2</sub> field have been studied in the subsequent overpasses for the Belgian domain. Prior to the comparison, the data sets have been regridded to a common grid of size 0.1°. On June 26, the absolute value of the differences observed over the full Belgian domain is  $3.8 \pm 5.3 \times 10^{14}$  molec cm<sup>-2</sup> or  $12\% \pm 10\%$ , on average. A maximum difference of  $5.8 \times 10^{15}$  molec cm<sup>-2</sup> or 57% was observed for a pixel over the harbor of Antwerp, most likely due to a combination of moving air masses in the key plumes and slight changes in the wind pattern. Additionally, the TROPOMI pixel footprints-grids have different ~~pixel~~ sizes and orientations which also plays a role~~has an effect~~ when sampling~~acquiring and averaging~~ the effective NO<sub>2</sub> patterns and when regridding to the common grid size of 0.1°~~within the larger TROPOMI pixels~~. On June 29, the absolute value of the differences observed is  $3.6 \pm 3.2 \times 10^{14}$  molec cm<sup>-2</sup> or  $11\% \pm 8\%$ , on average, with a maximum of  $2.0 \times 10^{15}$  molec cm<sup>-2</sup>, again seen over the harbour of Antwerp.

When analyzing the tropospheric NO<sub>2</sub> VCD diurnal variation, retrieved from the Uccle MAX-DOAS station (50.8° N, 4.4° E, 100 m a.s.l.) for the four campaign days, we see a low variability during the merged APEX flight time (11:00 - 13:44 UTC) for Flight #2 to Flight #4 (see Fig. 11). The relative standard deviation is lower than 10%. However, during Flight #1 we observe a strong increase of the VCD from  $1.5$  to  $2.9 \times 10^{16}$  molec cm<sup>-2</sup> and a RSD of 32%. The instrument location is indicated by a white triangle in Fig. 7 and is pointed towards the Brussels city center (35° N).

For the flights over the Brussels region, we have also compared the TROPOMI and APEX NO<sub>2</sub> VCD with the MAX-DOAS NO<sub>2</sub> VCD at the time of overpass and results are provided in Table 5. The TROPOMI NO<sub>2</sub> VCD is provided for the pixel in which the station resides for both the TM5-MP-based and CAMS-based product. The APEX NO<sub>2</sub> VCD is provided for the average within the TROPOMI pixel footprint over the MAX-DOAS station and for the specific APEX pixel over the station. As the MAX-DOAS is performing elevation scans in a fixed azimuth direction (35° N), APEX observations are also averaged along this line of sight (LOS) in order to take into account the instrument directivity and in order to reduce potential mismatches due to differences in spatial representativity. In this case, however, temporal mismatches can occur as APEX pixels, acquired in different flight lines, are averaged. Based on the study of Dimitropoulou et al. (2020), the horizontal sensitivity of the MAX-DOAS is estimated to be in the order of 10 km for measurements in Brussels in summer time and in the visible wavelength range. MAX-DOAS observations are filtered based on the degrees of freedom which should be larger than two. Secondly, the relative RMSE of the difference between measured and calculated differential slant column densities with respect to the zenith spectrum of each scan should be smaller than 15% (Dimitropoulou et al., 2020). On 26 June there is clearly a pollution event not seen over the station but further northeast along the MAX-DOAS LOS, as can be observed in the APEX NO<sub>2</sub> VCD grid (see Fig. 7a and Fig. 11). When averaging the APEX pixels along the MAX-DOAS LOS, the difference in MAX-DOAS and APEX NO<sub>2</sub> VCD is reduced from  $4.8$  to  $0.1 \times 10^{15}$  molec cm<sup>-2</sup>. On June 28, the diurnal variation in the NO<sub>2</sub> field is much smaller. We see a slight underestimation of  $0.3 \times 10^{15}$  molec cm<sup>-2</sup> for the APEX observation above the

station when compared to MAX-DOAS, while the latter is overestimated by  $1.2 \times 10^{15}$  molec cm<sup>-2</sup> when averaging along the LOS.

## 6 Sub-pixel NO<sub>2</sub> variability and spatial smearing

Urbanised-industrialised areas are characterised by a strong spatial heterogeneity in the NO<sub>2</sub> field and steep spatial gradients. Current spaceborne observations typically have a resolution which is much coarser than the fine spatial structures in urban NO<sub>2</sub> plumes. The resulting smearing effect of the signal tends to bias the observed NO<sub>2</sub> field: urban cores are systematically underestimated, while NO<sub>2</sub> is overestimated over urban background areas. Note that in this work urban background is defined as an area in a polluted environment, which is not directly affected by pollution plumes. The same can be observed over large industrial plumes that can extend over several tens of kilometers downwind of its source. When spaceborne observations are compared with ground-based station observations, such as Pandora-ANDORA (Judd et al., 2019) and MAX-DOAS (Dimitropoulou et al., 2020; Pinardi et al., 2020), the agreement is degraded with resolution as high concentrations in the pollution plumes are averaged out over a large area in the satellite data. Judd et al. (2019) downsample airborne GeoTASO VCDs (0.25 km x 0.25 km) to pseudo-TROPOMI (5 km x 5 km) and pseudo-OMI VCDs (18 km x 18 km). When compared to an ensemble of ten Pandora-ANDORA stations, the initial NO<sub>2</sub> VCD correlation drops from 0.91 to 0.88 and 0.61, respectively, while the slope is reduced from 1.03 to 0.77 and 0.57, respectively.

The high-resolution APEX retrievals ~~allow to~~ monitor the effective variability in the NO<sub>2</sub> field at much finer scale than based on current and near-future spaceborne observations. One nadir TROPOMI pixel of 3.5 km x 7 km consists of approximately 2700 APEX observations. In case of fine-structured NO<sub>2</sub> plumes, the airborne data is expected to measure a larger variability, and stronger horizontal gradients, while we expect more blurring of the signal in the coarser TROPOMI data. This is illustrated in Fig. 12, based on a 15 km long southwest-northeast cross-section of the APEX and TROPOMI NO<sub>2</sub> VCD grids, retrieved over Antwerp on 29 June 2019. APEX data shows considerably more spatial detail and observes higher columns over NO<sub>2</sub> hotspots when compared to TROPOMI. APEX measures peak NO<sub>2</sub> values which are  $6 \times 10^{15}$  molec cm<sup>-2</sup> or ~50% higher than seen by TROPOMI, while urban background pixels on their turn are overestimated up to  $4 \times 10^{15}$  molec cm<sup>-2</sup> or ~100% in the TROPOMI retrievals.

The SD and RSD, computed in Sect. 5.2.2 for coincident TROPOMI and APEX pixels, can be used as measures for the sub-pixel variability or spatial heterogeneity of the NO<sub>2</sub> field within TROPOMI pixels, and are provided in appendix A. The RSD is obviously high for pixels that contain a steep gradient from urban background levels to NO<sub>2</sub> plume levels, e.g. pixel 7 in Flight #2, which has a  $\mu \pm \sigma$  of  $8.4 \pm 4.3 \times 10^{15}$  molec cm<sup>-2</sup> or a variability of ~51%. The variability is usually low when a pixel is entirely in the plume: e.g. for pixel 5 in Flight #2,  $\mu \pm \sigma$  is  $12.9 \pm 2.2 \times 10^{15}$  molec cm<sup>-2</sup> or a variability of ~17%. TROPOMI pixels classified as urban background, such as pixel 16 in Flight #2 can also exhibit considerable variability, with a  $\mu \pm \sigma$  of  $5.0 \pm 2.0 \times 10^{15}$  molec cm<sup>-2</sup> or a variability of ~41%. This is due to high heterogeneity and the presence of small areas with moderate emissions, like a key road, industrial facility or small residential area. Note that in some conditions the

(R)SD can be used as an indicator for the instrument precision. However, we assume that the data sets acquired over the cities do not contain areas where the NO<sub>2</sub> field is homogeneous enough to use it as a measure for the noise of the instrument.

## 6.1 Downsampling APEX to pseudo-TROPOMI NO<sub>2</sub> VCDs

In this section we investigate and quantify the impact of smearing of the effective signal due to the finite satellite pixel size. This is done based on the high-resolution APEX observations and under the assumption that the retrieved VCDs represent the true state of the NO<sub>2</sub> field. We have adopted a downsampling method described in Kim et al. (2016) and Judd et al. (2019): First we construct a pseudo-TROPOMI VCD grid (VCD<sub>pTROPOMI</sub>) by aggregating the APEX NO<sub>2</sub> VCDs (VCD<sub>APEX</sub>) according to a weighted average technique within grid cells of 5 km x 5 km, 4.4 km x 4.4 km and 1 km x 1 km. The pixels are square in shape in order to avoid an orientation bias. Note that the original APEX VCD grid at 75 m x 120 m was regridded first to 100 m x 100 m for the same reason. The first two cases cover approximately the same area as a 7 km by 3.5 km (before 6 August 2019), and 5.5 km by 3.5 km (since 6 August 2019) TROPOMI nadir observation, respectively. The third case resembles a potential spatial resolution of future satellite or high-altitude pseudo-satellite (HAPS) missions, and is still a factor 10 larger than the APEX resolution. The respective NO<sub>2</sub> VCD grids are shown in Fig. 13 for the data set acquired over Antwerp on 29 June. At the resolution of 1 km x 1 km, different plumes can still be resolved and they can be largely linked to the key emission sources, such as the stacks in the harbour and the Antwerp ring road. However, at the resolution of 4.4 km x 4.4 km, and 5 km x 5 km, only one merged plume can be distinguished downwind while it is not trivial to pinpoint its source(s). Note that the highest NO<sub>2</sub> levels are not observed for the pixels containing the sources, as NO<sub>2</sub> plumes are usually narrow and confined close to its source, resulting in a stronger smoothing effect for these pixels.

After regridding, the APEX NO<sub>2</sub> VCDs are subtracted from the pseudo-satellite VCDs. The resulting absolute and relative VCD differences allow to quantify the under- and overestimation bias in TROPOMI NO<sub>2</sub> retrievals over strongly polluted regions, due to the smearing of the NO<sub>2</sub> signal. The approach allows to assess the impact solely related to the geometric effects resulting from the finite satellite pixel resolution. In the following, the approach is applied on two data sets acquired over Antwerp on 27 and 29 June 2019. The observed columns are larger for 29 June, while this day is also characterized by a larger variability.

In Fig. 14, the NO<sub>2</sub> VCD (relative) biases between pseudo-TROPOMI NO<sub>2</sub> VCDs at 4.4 km x 4.4 km and APEX NO<sub>2</sub> VCDs at 0.1 km x 0.1 km are illustrated for the data set acquired over Antwerp on 29 June 2019. Negative differences or red-coloured pixels point at an underestimation of NO<sub>2</sub> hotspots, while positive values or blue pixels point at overestimation of the urban background areas within the pseudo-TROPOMI VCDs. Whitish-coloured pixels represent no or very small bias. Obviously the strongest under- and overestimation appears over and in the vicinity of the main plumes, and more specifically over transition regions, and it is expected that the smoothing will be stronger when spatial gradients become stronger. Further away from the patterns of enhanced NO<sub>2</sub>, e.g. in the northeast and the south, the variability gets lower, resulting in a better agreement between the airborne high-resolution pixels and the relatively coarse pseudo-satellite pixels. However, the relative

bias can still be substantial in the urban background areas due to the low NO<sub>2</sub> VCDs in the APEX data at the native resolution. The same behaviour was observed in Richter et al. (2005) when comparing NO<sub>2</sub> retrievals from GOME (40 km x 320 km) and SCIAMACHY (30 km x 60 km): while coincident observations agree very well over large areas of relatively homogeneous NO<sub>2</sub> signals, they show considerable differences for areas with steep gradients in the NO<sub>2</sub> field.

Statistics, characterizing the NO<sub>2</sub> field, are provided for the two different APEX data sets acquired over Antwerp, in Table 65. The data set acquired on 27 June 2019 has a rather low mean VCD and variability of  $7.6 \pm 3.0 \times 10^{15}$  molec cm<sup>-2</sup>, when compared to the data set acquired on 29 June 2019 ( $9.9 \pm 5.4 \times 10^{15}$  molec cm<sup>-2</sup>). Nevertheless, both areas represent an urban NO<sub>2</sub> field characterized by relatively strong spatial gradients. Based on the study in Sect. 5.2.2, the sub-pixel variability can be up to 50% when covering a typical gradient in the urban NO<sub>2</sub> field.

For both data sets, statistics are provided as well for the computed pseudo-satellite VCDs at 5 km x 5 km, 4.4 km x 4.4 km, and 1 km x 1 km. When increasing the pixel size, the overall variability drops and the minima and maxima are less extreme due to the occurring smoothing.

In the last part of Table 65, statistics for the absolute value of the VCD differences are provided after subtracting the APEX NO<sub>2</sub> VCDs at 0.1 km x 0.1 km from the pseudo-satellite VCDs. The amount of under- or overestimation is around 1 to  $2 \times 10^{15}$  molec cm<sup>-2</sup> on average (5 km x 5 km grid), for the data set with relatively low (Flight #2) and high (Flight #4) urban NO<sub>2</sub> variability, respectively. The bias can be as high as  $20 \times 10^{15}$  molec cm<sup>-2</sup>. The amount of under- or overestimation is still around 8%-10% on average for the pseudo-VCDs at 1 km x 1 km resolution. The difference seems, however, small between the two data sets acquired over Antwerp pointing out that 1) low or high variability is captured in more or less an equal way at the resolution of 1 km x 1 km and 2) this is a near-optimal resolution to capture strong urban emissions and associated gradients from space, at least under the current conditions. The bias increases with pixel resolution up to ~13% (Flight #2) and ~23% (Flight #4) for the gridsize at 5 km x 5 km. At this spatial resolution, the amount of variability in the data has clearly a stronger effect on the amount of smoothing of the effective signal. Maximum differences can be up to ~1900% and are due to the overestimation of retrievals with very low background values in the original APEX data ( $\sim 0.3 \times 10^{15}$  molec cm<sup>-2</sup>). Based on a similar study applied on OMI data (13 km x 24 km) over the Contiguous United States (Kim et al., 2016), it was found that under- or overestimation biases are in the order of  $5\text{-}10 \times 10^{15}$  molec cm<sup>-2</sup> or 20-30% for major cities like Washington D.C. and New York. Biases are more than 100% for small-scale cities like Norfolk and Richmond. The stronger spatial smoothing observed in this study can be mainly explained by the coarser pixel resolution of OMI when compared to TROPOMI.

## 6.2 Simulations based on synthetic TROPOMI NO<sub>2</sub> VCDs

In Fig. 15, an approach is illustrated based on synthetic satellite NO<sub>2</sub> VCD data in order to study 1) the impact of spatial smoothing of the NO<sub>2</sub> signal, and 2) to which level spatial NO<sub>2</sub> features can still be resolved from space. Here satellite NO<sub>2</sub> VCDs are simulated assuming that they contain an isolated NO<sub>2</sub> hotspot of certain strength and size. The remaining part of the

pixel is assigned a fixed value of  $3 \times 10^{15}$  molec cm<sup>-2</sup>, representative for urban background. The NO<sub>2</sub> hotspots are defined by its relative size on the x-axis, expressed as the fraction of a 5.5 km by 3.5 km TROPOMI nadir pixel, and average hotspot NO<sub>2</sub> signal on the y-axis, ranging between 1 and  $5 \times 10^{16}$  molec cm<sup>-2</sup>. In Fig. 15, the color-coded matrix values define the satellite NO<sub>2</sub> VCD based on a given NO<sub>2</sub> hotspot of certain size (x-axis) and strength (y-axis) within the satellite pixel. A threshold is used to identify whether or not a NO<sub>2</sub> signal within a TROPOMI pixel is still detectable, and is defined as the sum of the urban background VCD of  $3 \times 10^{15}$  molec cm<sup>-2</sup> and a NO<sub>2</sub> VCD detection limit of  $2.1 \times 10^{15}$  molec cm<sup>-2</sup>, defined as three times the TROPOMI theoretical precision requirement. The separation between the white area and synthetic NO<sub>2</sub> VCDs visualizes the threshold of  $5.1 \times 10^{15}$  molec cm<sup>-2</sup>.

In case of a moderate source of  $1 \times 10^{16}$  molec cm<sup>-2</sup>, the plume needs to fill 30% of the pixel, equivalent to 5.8 km<sup>2</sup>, in order to be detectable, while in case of a strong source of 2.5 or  $5 \times 10^{16}$  molec cm<sup>-2</sup>, the hotspot needs to fill only 10% (1.9 km<sup>2</sup>) or 5% (1.0 km<sup>2</sup>) of the pixel, respectively. Note that in case of a TROPOMI pixel size of 7 km by 3.5 km (product resolution at nadir until 6 August 2019), the size of the NO<sub>2</sub> hotspot needs to be 7.4 km<sup>2</sup>, 2.3 km<sup>2</sup> and 1.2 km<sup>2</sup>, respectively, in order to be detectable. In case a pixel is filled half by a NO<sub>2</sub> hotspot with a strength of  $1 \times 10^{16}$  molec cm<sup>-2</sup>, its value will be 35% lower than the hotspot value due to smoothing, while this will be approximately 45% lower in case of a hotspot value of  $5 \times 10^{16}$  molec cm<sup>-2</sup>.

## 7 Conclusions

Independent validation of the end-to-end mission performance is essential for the determination of S-5P data quality. It also provides critical information to identify and decide where and how to improve the overall data acquisition and processing chain. This is one of the first studies assessing TROPOMI tropospheric NO<sub>2</sub> retrievals over strongly polluted urban areas, based on the comparison with airborne high-resolution remote sensing observations, and it is one of the first airborne spectrometer data sets coinciding in space and time with a large amount of fully sampled satellite pixels. Current satellite products can be optimally assessed with airborne data as a large amount of satellite pixels can be fully mapped at high resolution in a relatively short time interval, reducing the impact of mismatches in spatial and temporal representativeness. NO<sub>2</sub> VCDs retrieved from APEX, acquired on four consecutive clear-sky days (26-29 June 2019) over the cities of Brussels and Antwerp, have been compared with retrievals from coincident TROPOMI overpasses. On average a TROPOMI pixel has been fully covered by approximately 2700 APEX pixels and time differences between APEX and TROPOMI acquisitions were limited to less than one hour.

~~The comparison and assessment, discussed in Sect. 5, The case study over polluted regions in Belgium in summer time demonstrates~~ shows that the TROPOMI tropospheric NO<sub>2</sub> product meets the mission requirements in terms of precision and accuracy. Averaged over the four campaign days over Belgium, the precision of the TROPOMI NO<sub>2</sub> VCD product is estimated to be  $5.6 \pm 0.4 \times 10^{14}$  molec cm<sup>-2</sup>, thus within the targeted requirement of  $7.0 \times 10^{14}$  molec cm<sup>-2</sup>. Overall for the ensemble of the four flights, the standard TROPOMI NO<sub>2</sub> VCD product is well correlated ( $R = 0.92$ ) but biased negatively by  $-1.2 \pm 1.2 \times$

$10^{15}$  molec  $\text{cm}^{-2}$  or  $-14\% \pm 12\%$ , on average, with respect to coincident APEX  $\text{NO}_2$  retrievals. When replacing the coarse  $1^\circ \times 1^\circ$  TM5-MP a priori  $\text{NO}_2$  profiles by  $\text{NO}_2$  profile shapes from the CAMS regional CTM ensemble at  $0.1^\circ \times 0.1^\circ$ , the slope increases by 11% to 0.93 and the bias is reduced to  $-0.1 \pm 1.0 \times 10^{15}$  molec  $\text{cm}^{-2}$  or  $-1.0\% \pm 12\%$ . The absolute difference is on average  $1.3 \times 10^{15}$  molec  $\text{cm}^{-2}$  (16%) and  $0.7 \times 10^{15}$  molec  $\text{cm}^{-2}$  (9%)~~When the absolute value of the difference is taken, the bias is  $1.3 \times 10^{15}$  molec  $\text{cm}^{-2}$  or 16% and  $0.7 \times 10^{15}$  molec  $\text{cm}^{-2}$  or 9% on average~~, when comparing APEX with TM5-MP-based and CAMS-based  $\text{NO}_2$  VCDs, respectively. Both sets of retrievals are well within the TROPOMI mission accuracy requirement of a maximum bias of 25-50% for all individually compared pixels.

Nevertheless, TROPOMI is generally biased low over polluted areas when compared to ground-based or airborne observations and this is consistent with the findings in other studies, such as Griffin et al. (2019), Ialongo et al. (2019), Zhao et al. (2019), Dimitropoulou et al. (2020), and Verhoelst et al. (2020). This is largely due to a combination of 1) the limited spatial resolution of TROPOMI with respect to the strong  $\text{NO}_2$  horizontal and vertical gradients, 2) the limited spatial resolution of a priori input for the AMF computation, i.e.  $\text{NO}_2$  profiles at  $1^\circ$  from the TM5-MP CTM and surface albedo at  $0.5^\circ$  from the OMI LER, and 3) the estimated bias of 0.005-0.010 in the TROPOMI/OMI LER. Since 6 August 2019, the spatial resolution is upgraded from  $3.5 \text{ km} \times 7 \text{ km}$  at nadir observations to  $3.5 \text{ km} \times 5.5 \text{ km}$ . The  $\text{NO}_2$  product could be further improved for retrievals over polluted regions by making use of 1) a priori  $\text{NO}_2$  profiles from a high-resolution CTM, if available, such as the CAMS-regional ensemble at  $0.1^\circ$  and 2) an improved albedo product. A G3\_LER daily map product at  $0.1^\circ$ , directly retrieved from the TROPOMI L1B radiances, is currently under development. Furthermore, a surface albedo adjustment scheme will become operational after reprocessing the L1B product to v2, planned for the second half of 2020.

The TROPOMI spatial resolution is limited to resolve fine-scale urban  $\text{NO}_2$  plumes and can cause a considerable smoothing effect in case of the observation of strongly polluted scenes with steep gradients. This depends both on the instrument pixel size and the amount of heterogeneity in the  $\text{NO}_2$  field. The high-resolution APEX retrievals allow to monitor the effective horizontal variability in the  $\text{NO}_2$  field at much finer scale. In Sect. 6, the impact of smearing of the effective signal due to the finite satellite pixel size was studied for the Antwerp region based on a downsampling approach of the APEX retrievals. Assuming a pixel size of 25 to 20  $\text{km}^2$ , equivalent to the initial  $3.5 \text{ km} \times 7 \text{ km}$  and new TROPOMI  $3.5 \text{ km} \times 5.5 \text{ km}$  spatial resolution (at nadir), the TROPOMI data underestimates localised enhancements and overestimates urban background values by approximately  $1-2 \times 10^{15}$  molec  $\text{cm}^{-2}$ , on average, or 10% - 20%, for the Antwerp case study~~the amount of underestimation of peak plume values and overestimation of urban background in the TROPOMI data is expected to be in the order of  $1-2 \times 10^{15}$  molec  $\text{cm}^{-2}$ , on average, or 10% - 20%, depending on the amount of heterogeneity in the  $\text{NO}_2$  field.~~ The average under- and overestimation is further reduced to  $0.6-0.9 \times 10^{15}$  molec  $\text{cm}^{-2}$ , or smaller than 10%, when increasing the pixel size to  $1 \text{ km}^2$ . Therefore, detailed air quality studies at the city scale still require observations at higher spatial resolution, in the order of  $1 \text{ km}^2$  or better, in order to resolve all fine-scale structures within the typical heterogeneous  $\text{NO}_2$  field.

A validation strategy for TROPOMI tropospheric  $\text{NO}_2$  retrievals has been presented based on airborne mapping data, which can be valuable for future assessments of S-5P and upcoming satellite missions, such as S-5, S-4, GEMS and TEMPO. The main focus was to quantify the TROPOMI retrieval uncertainties in polluted regions and results from the comparison with

~~independent airborne~~ APEX ~~data, acquired over Belgium in summer time, retrievals~~ have shown that the TROPOMI tropospheric NO<sub>2</sub> product meets the mission requirements in terms of accuracy and precision. However, ~~additional~~further validation studies are required and are currently planned, focusing on more sites with different geophysical properties and varying pollution levels, including background areas, extreme albedo sites, other seasons, and cloudy scenes, among others, in order to assess as well the performance in suchlike conditions.

*Data availability.* The data are available upon request to the corresponding author.

Author contributions. FT undertook the validation study and writing of the manuscript under supervision of MVR. FT, AM, BB, and MVR planned and organised the measurement campaign. MDI, GP, and ED contributed to the campaign. MDI and BB preprocessed the APEX spectra. HE processed the customized TROPOMI tropospheric NO<sub>2</sub> product based on CAMS a priori profiles. FT performed the APEX VCD retrievals and comparison study. AM, MDI, GP, ED, HE, and MVR contributed in scientific discussions. All co-authors reviewed, discussed the results and commented on the final manuscript.

*Competing interests.* The authors declare that they have no conflict of interest.

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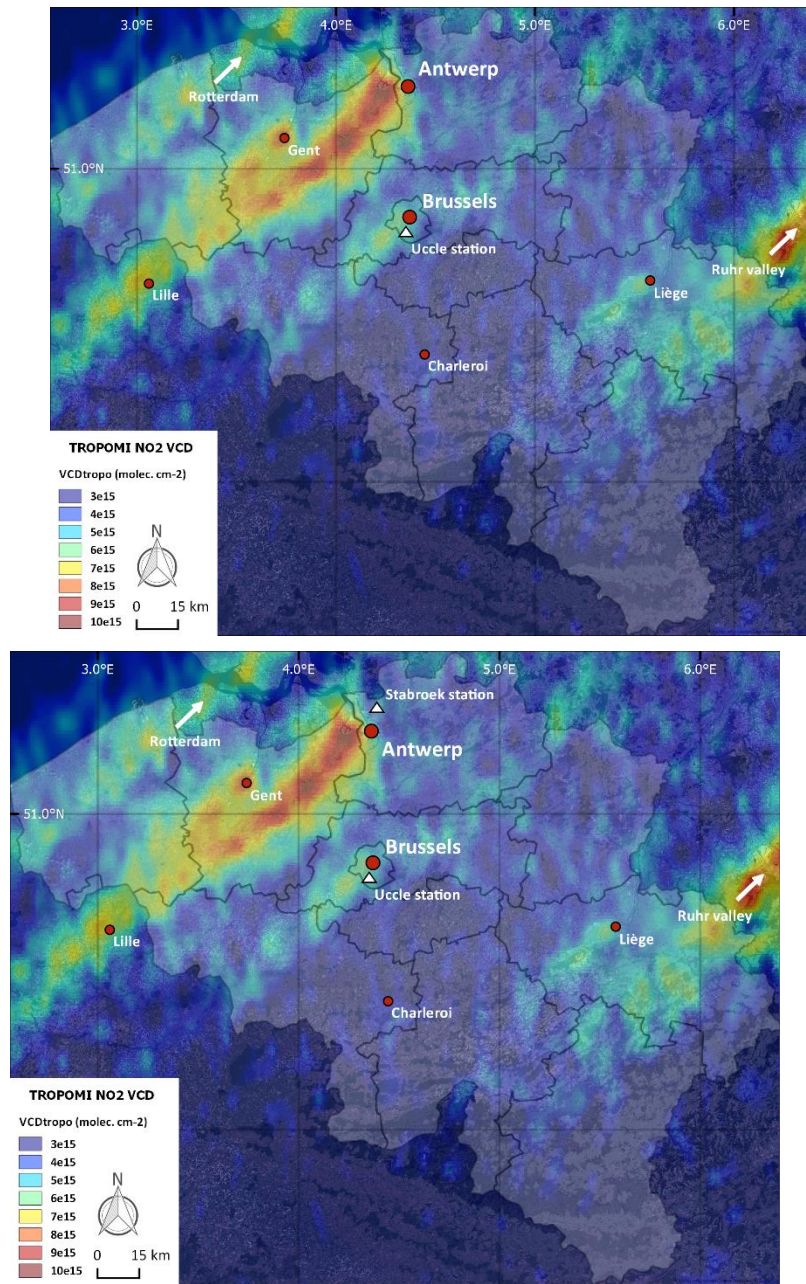
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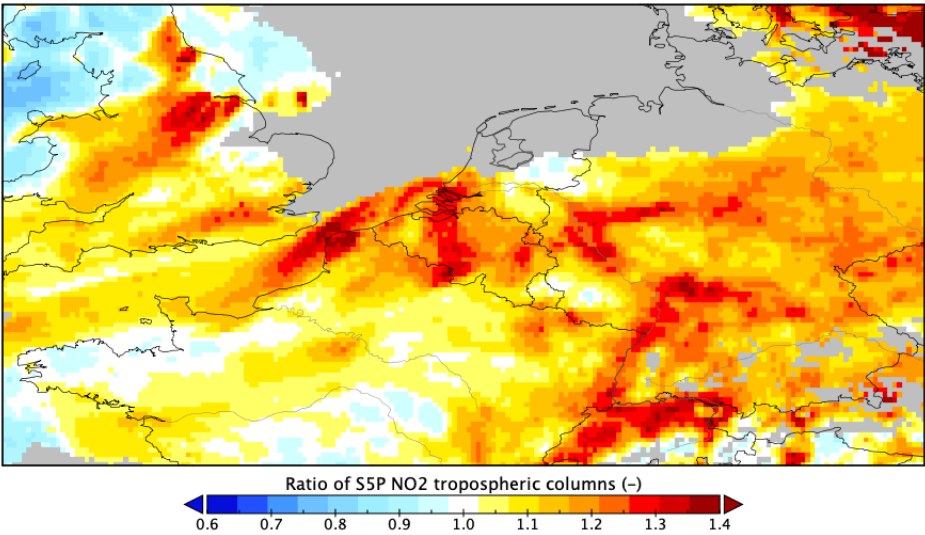
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**Figure 1.** Tropospheric NO<sub>2</sub> hotspots observed over Belgium by TROPOMI, based on an early afternoon S-5P orbit (8826) on 27 June 2019 (OFFL v1.03.01 – thin plate spline interpolation at 0.01°) (© Google Maps). Red markers indicate the five largest Belgian cities. The white triangle indicates the location of the Uccle station (50.8° N, 4.4° E, 100 m a.s.l.) and Stabroek station (51.3° N, 4.4° E, 4 m a.s.l.). White arrows indicate the source locations for long-range transport plumes over Belgium. On 27 June 2019, there was a northeasterly wind (36°).

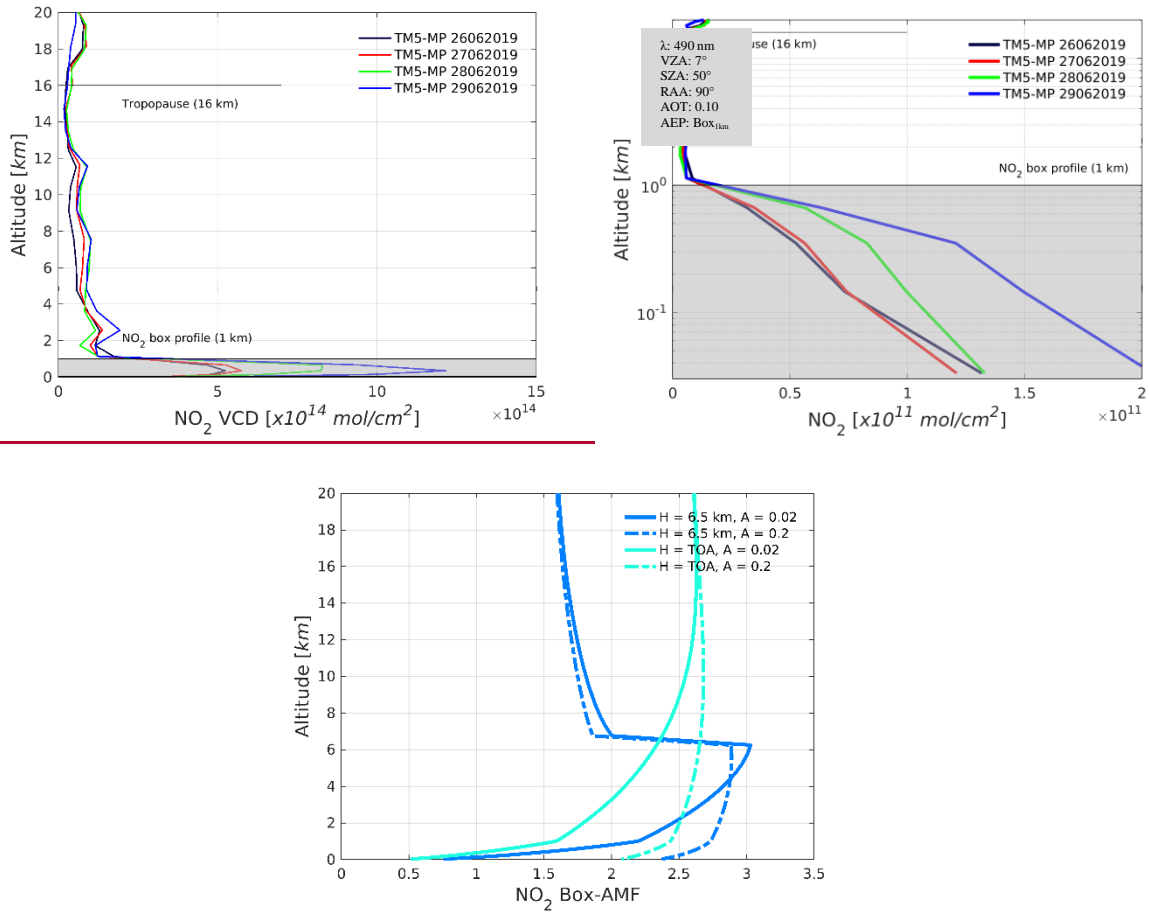
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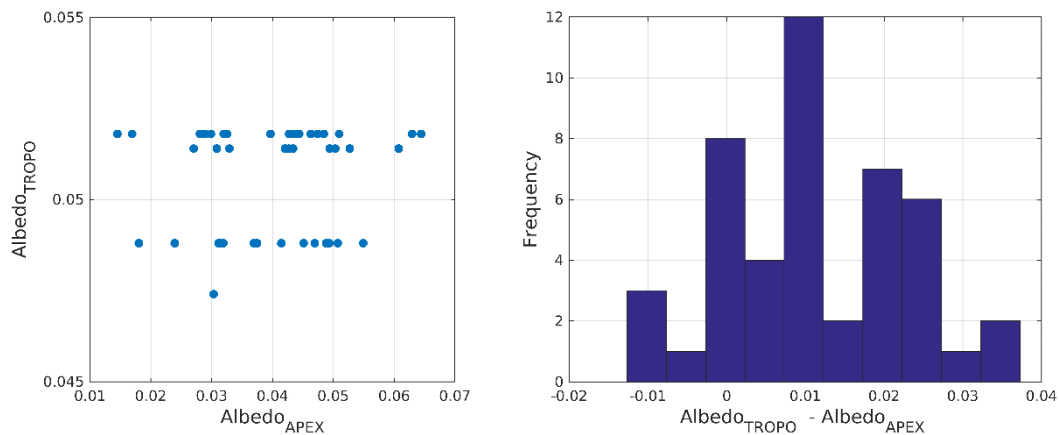
**Figure 2.** Ratio of TROPOMI tropospheric NO<sub>2</sub> columns when using the CAMS-regional a priori NO<sub>2</sub> profiles with respect to TM5-MP a priori profiles over Belgium and neighboring countries on 27 June 2019 (orbit 8826).

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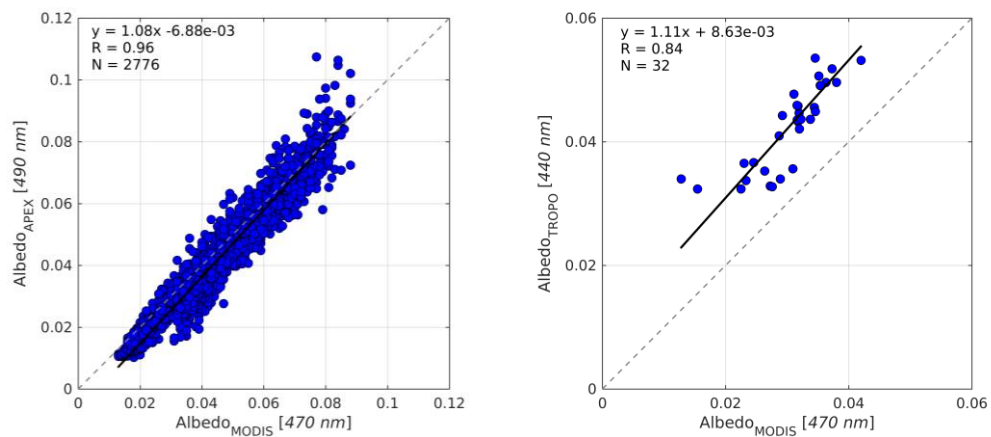
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**Figure 3.** (a) Representation of a well-mixed  $\text{NO}_2$  box profile of 1 km thickness and TM5-MP  $\text{NO}_2$  profiles interpolated over the campaign sites for Flight #1 to #4, and (b) height-dependent box AMFs representing the vertical sensitivity to  $\text{NO}_2$ , illustrated for APEX, operating at 6.5 km a.g.l., and TROPOMI, for both a low and high surface reflectance scenario.



**Figure 4.** (a) Scatterplot and (b) histogram for the comparison between TROPOMI and APEX albedo for the ensemble of the four APEX data sets.

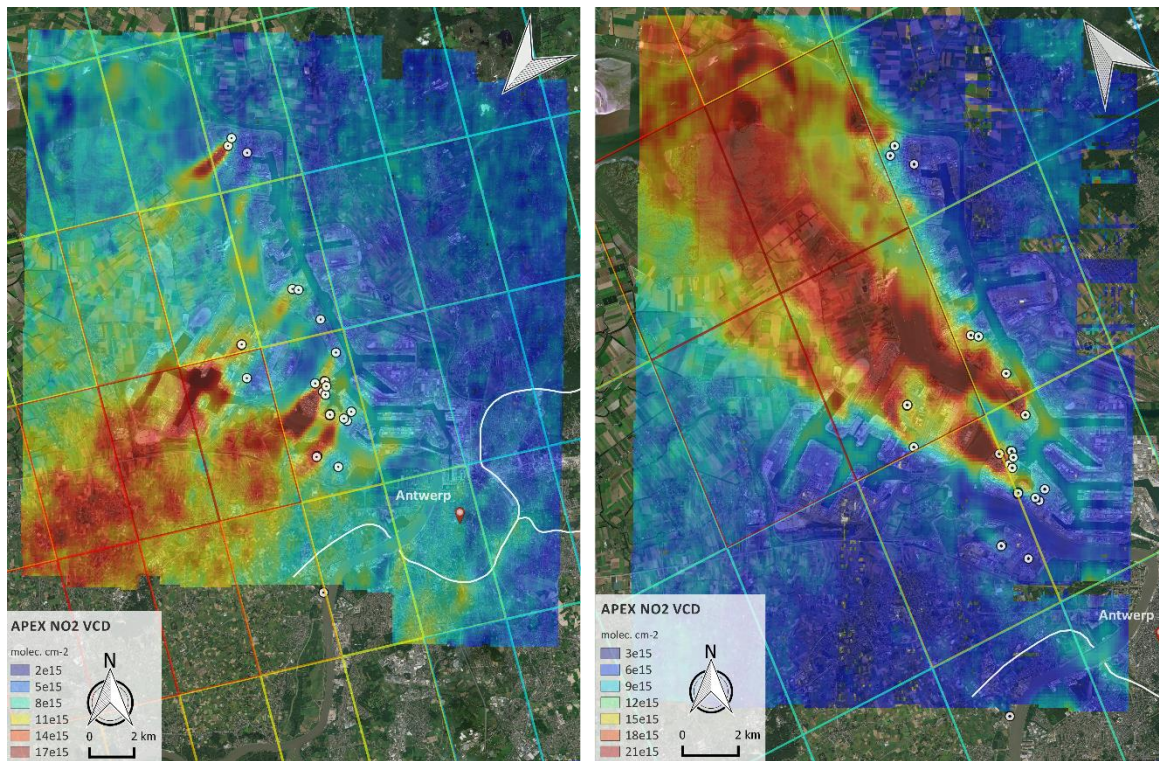


**Figure 5.** Scatterplots and linear regression analyses of co-located (a) APEX and MODIS, and (b) TROPOMI and MODIS albedo pixels for 27 June 2019.

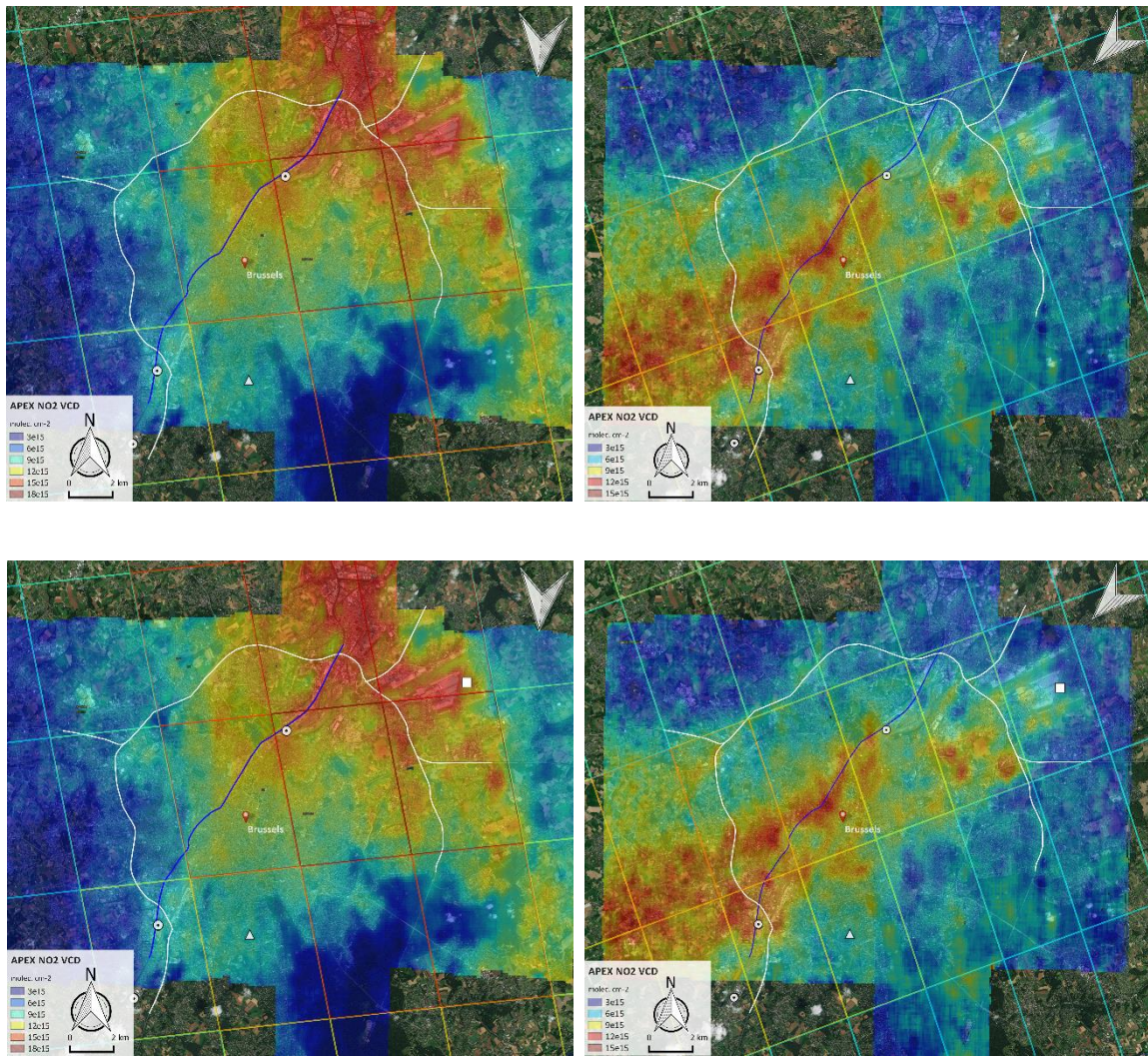
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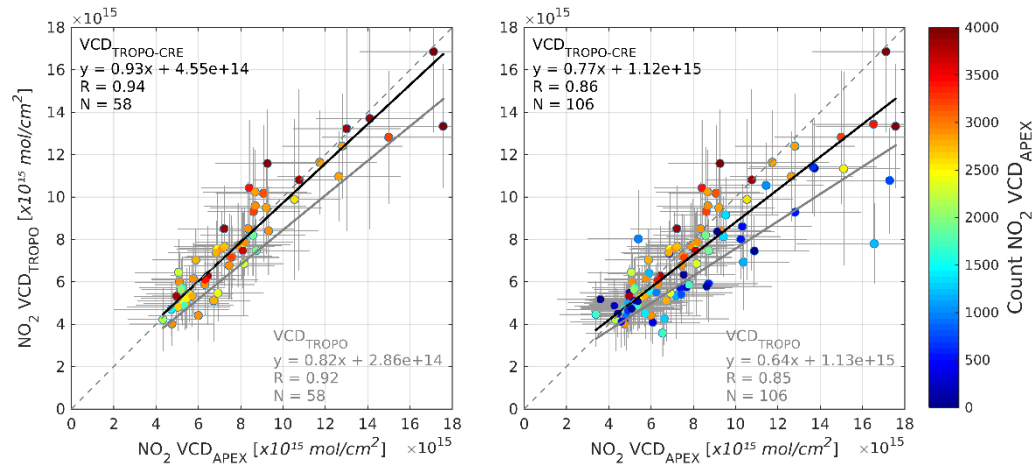
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**Figure 6.** Tropospheric NO<sub>2</sub> VCD grids retrieved over Antwerp on (a) 27 June (Flight #2) and (b) 29 June (Flight #4), 2019. Note that different color scales were applied in order to optimize the dynamic range of each data set. White dots indicate the point sources, emitting more than 10 kg of NO<sub>x</sub> per hour, according to the emission inventory (2017) of the Belgian Interregional Environment Agency. Line sources such as the key highways and city ring road are indicated by white lines. Coinciding TROPOMI tropospheric NO<sub>2</sub> VCD retrievals are overlaid as color-coded polygons. White wind vectors indicate the surface wind, averaged over the APEX acquisition time, as provided in Table 1 (© Google Maps).

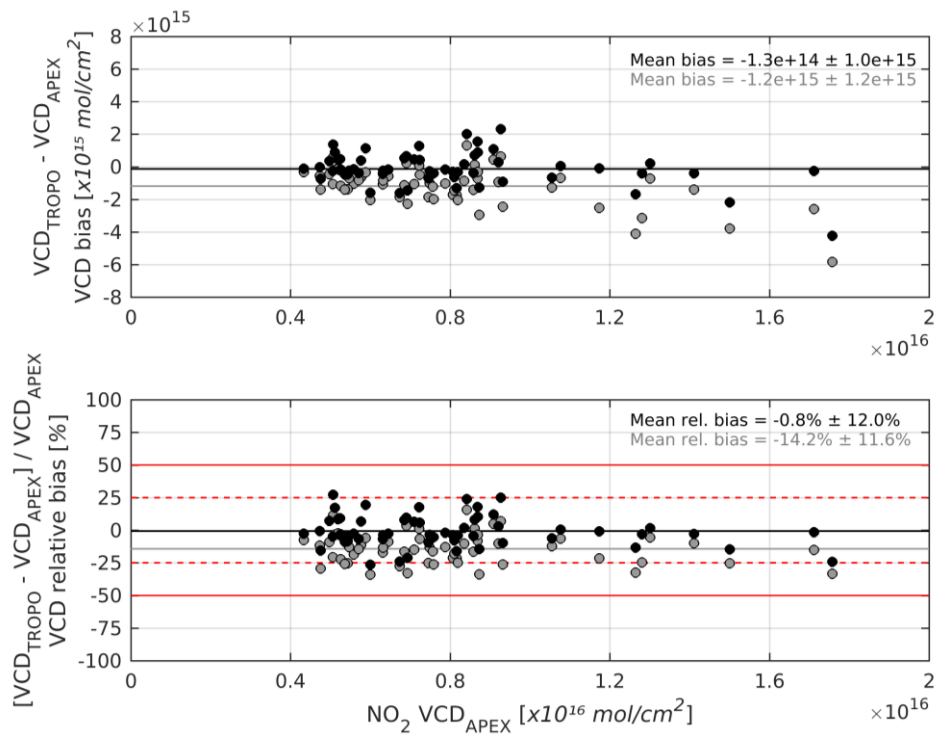


**Figure 7.** Tropospheric NO<sub>2</sub> VCD grids retrieved over Brussels on (a) 26 June (Flight #1) and (b) 28 June (Flight #3), 2019. Note that different color scales were applied in order to optimize the dynamic range of each data set. White dots indicate the point sources, emitting more than 10 kg of NO<sub>x</sub> per hour, according to the emission inventory (2017) of the Belgian Interregional Environment Agency. The white triangle and white square indicates the location of the Uccle MAX-DOAS station and the international airport, respectively. Line sources such as the key highways and city ring road are indicated by white lines. Coinciding TROPOMI tropospheric NO<sub>2</sub> VCD retrievals are overlaid as color-coded polygons. White wind vectors indicate the surface wind, averaged over the APEX acquisition time, as provided in Table 1 (© Google Maps).



**Figure 8.** Scatterplots and linear regression analyses of co-located TROPOMI and averaged APEX NO<sub>2</sub> VCD retrievals for the data sets acquired on 26-29 June 2019. Regression lines and statistics are color-coded grey and black for the comparison of NO<sub>2</sub> VCD<sub>APEX</sub> with NO<sub>2</sub> VCD<sub>TROPO</sub> and VCD<sub>TROPO-CRE</sub>, respectively. Note that data points are shown for the comparison of NO<sub>2</sub> VCD<sub>APEX</sub> with VCD<sub>TROPO-CRE</sub> only. Vertical error bars indicate the overall errors in NO<sub>2</sub> VCD<sub>TROPO</sub>, while the horizontal whiskers represent the errors in NO<sub>2</sub> VCD<sub>APEX</sub> retrievals, averaged over all APEX pixels within the footprint of a co-located TROPOMI pixel. Data points are color-coded based on the number of APEX pixels averaged within a TROPOMI pixel. In (a), TROPOMI pixels are only included in the comparison when they are covered for more than 50% by APEX pixels in order to avoid undersampling, while in (b), as a reference, all TROPOMI pixels having coincident APEX pixels are analysed.

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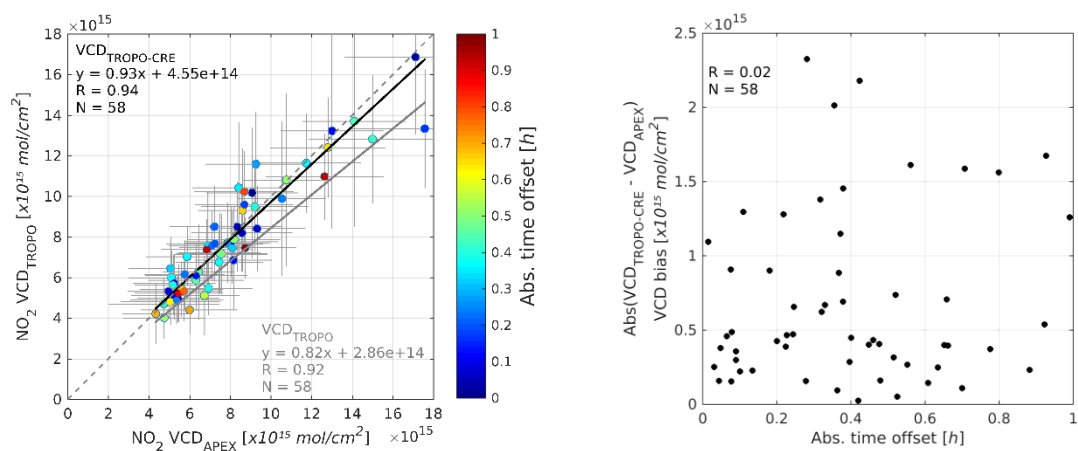


**Figure 9.** (a) NO<sub>2</sub> VCD bias ( $\text{VCD}_{\text{TROPO}(-\text{CRE})} - \text{VCD}_{\text{APEX}}$ ) and (b) NO<sub>2</sub> VCD relative bias ( $(\text{VCD}_{\text{TROPO}(-\text{CRE})} - \text{VCD}_{\text{APEX}}) / \text{VCD}_{\text{APEX}} \times 100$ ) for the ensemble of the four data sets, acquired during the S5PVAL-BE campaign. Data points and statistics are color-coded grey and black for the comparison of TM5-MP-based, and CAMS-based TROPOMI VCD retrievals with APEX, respectively, in analogy to Fig. 8. The grey and black horizontal lines represent the average (relative) bias. The red dashed and full horizontal lines represent the 25% and 50% bias between coincident TROPOMI and APEX NO<sub>2</sub> VCDs, respectively.

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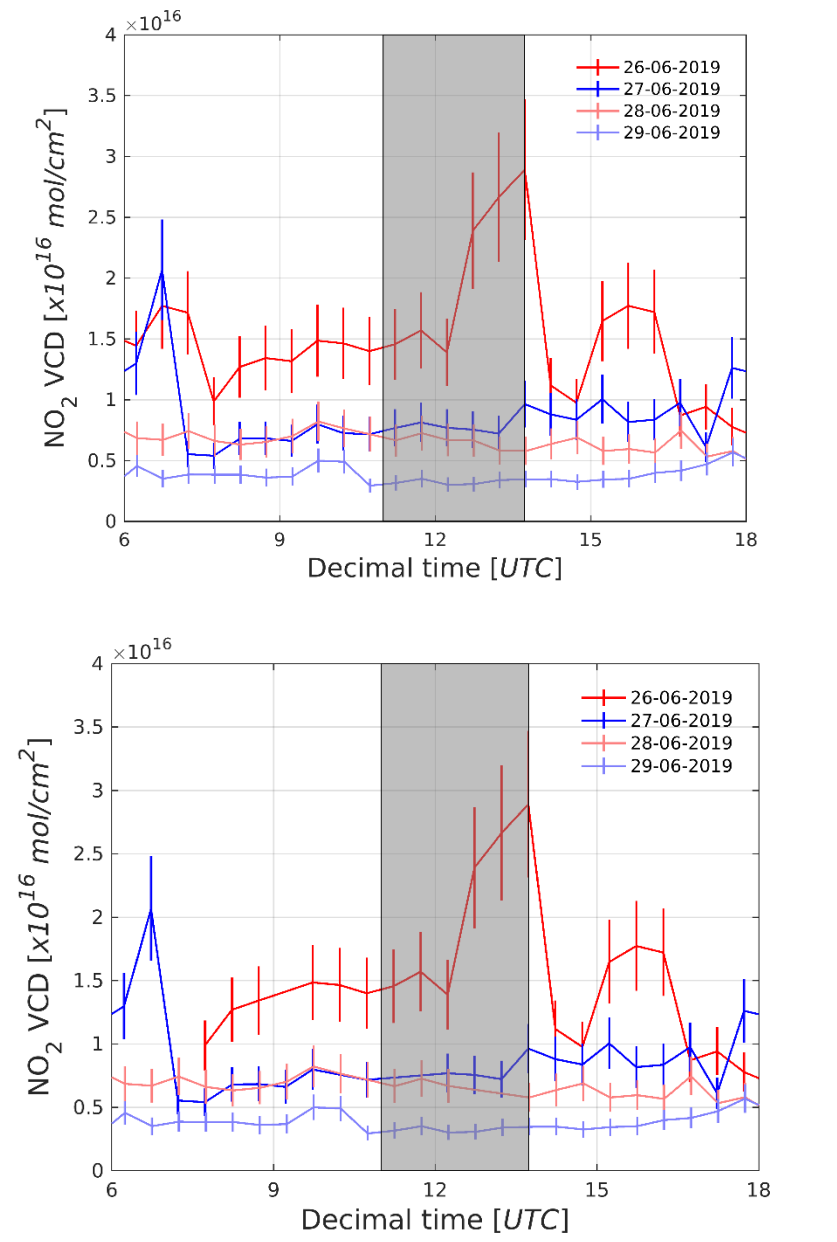


10 **Figure 10. (a)** Same as Fig. 8.a, but data points are color-coded based on the absolute time offset between TROPOMI overpass and mean acquisition time of APEX retrievals within the TROPOMI pixel, and in **(b)** the observed  $\text{NO}_2$  VCD bias, defined by  $\text{VCD}_{\text{TROPO-CRE}} - \text{VCD}_{\text{APEX}}$ , has been plotted against the absolute time offset.

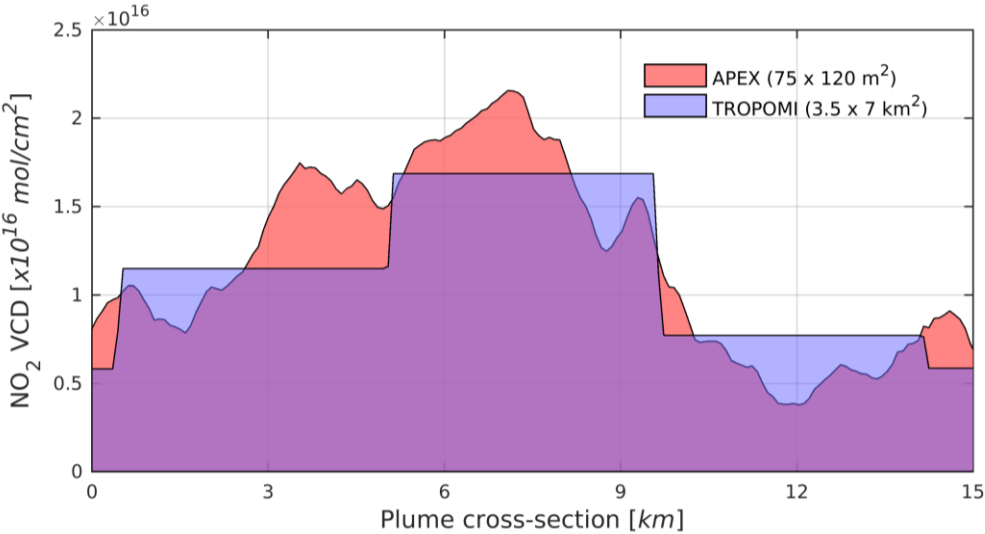
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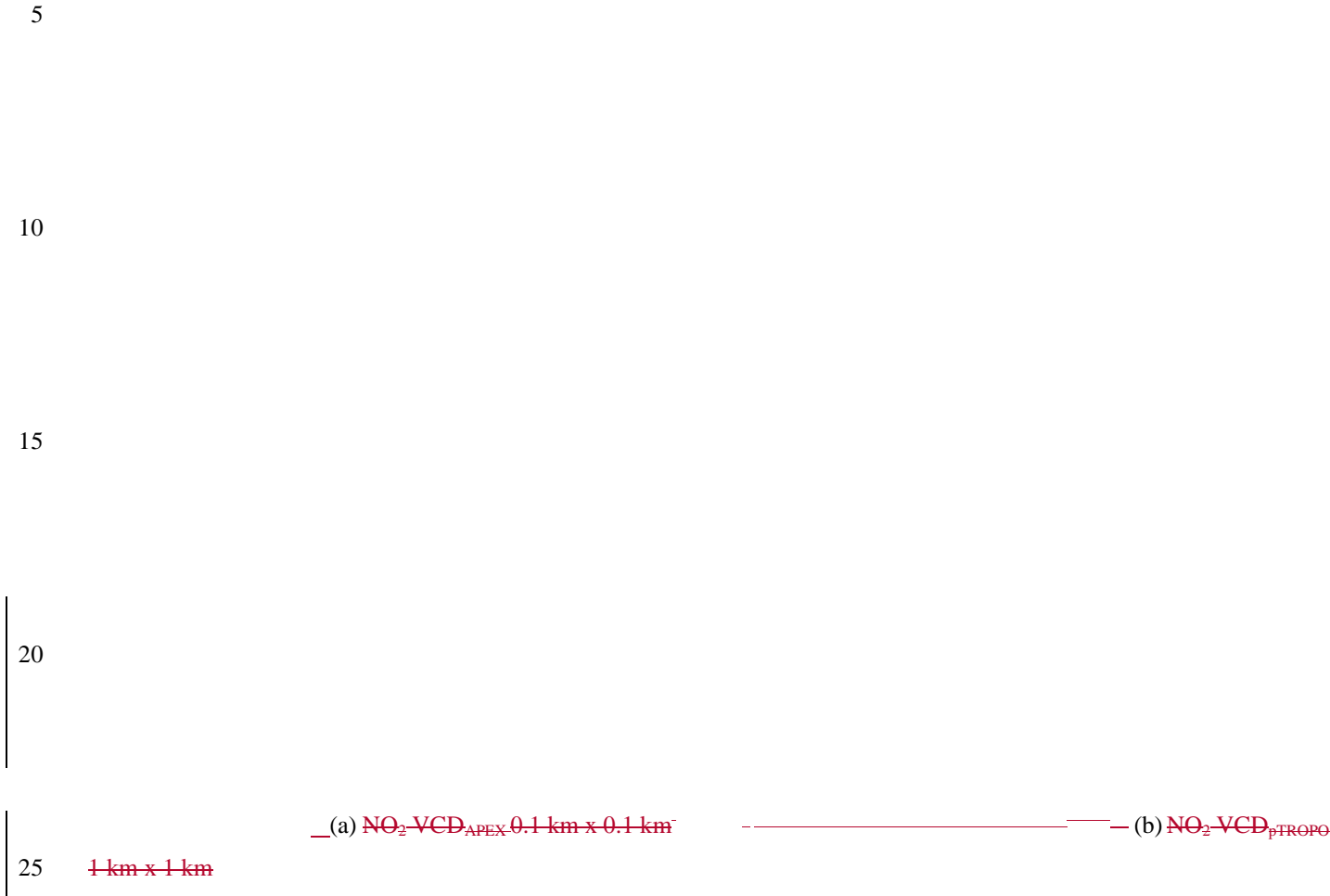
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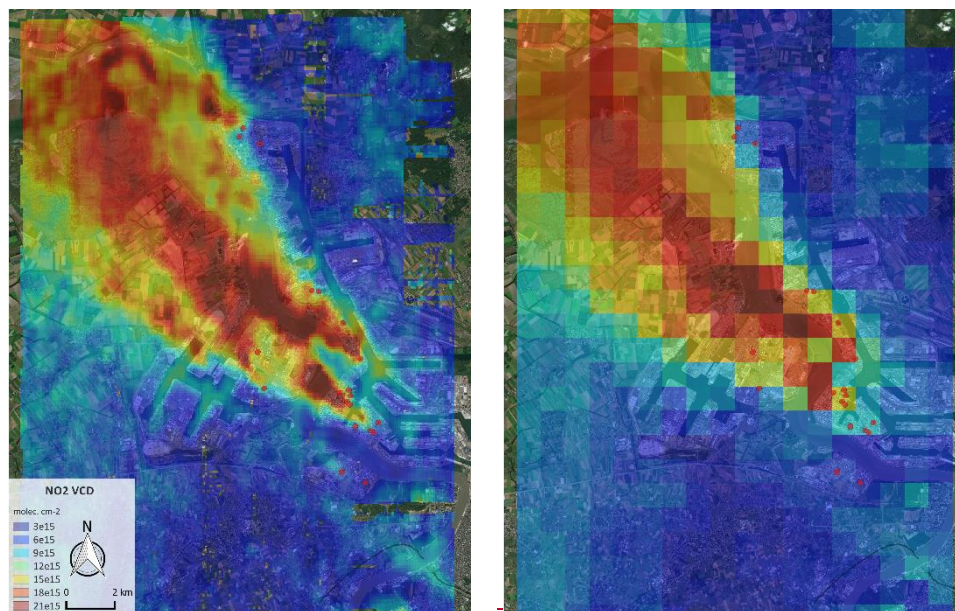
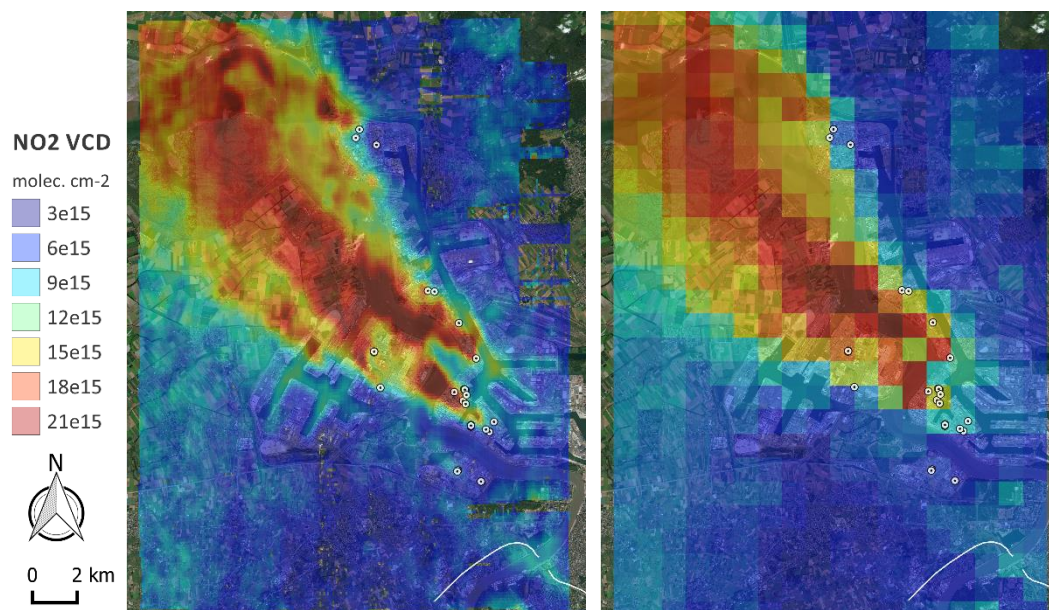


**Figure 11.** Tropospheric NO<sub>2</sub> VCD diurnal variation between 80° sunrise and sunset, retrieved from the Uccle MAX-DOAS station on 26-29 June 2019. The instrument is pointed towards the Brussels city center (35° N). Vertical error bars indicate the NO<sub>2</sub> VCD error for each retrieval. The grey zone indicates the merged APEX flight time (11:00 - 13:44 UTC) for 26 to 29 June.



**Figure 12.** APEX and TROPOMI NO<sub>2</sub> VCDs along a southwest-northeast 15 km long cross-section taken perpendicular to the major NO<sub>2</sub> plume retrieved over Antwerp on 29 June 2019. Approximately five TROPOMI pixels and 150 APEX pixels are sampled.



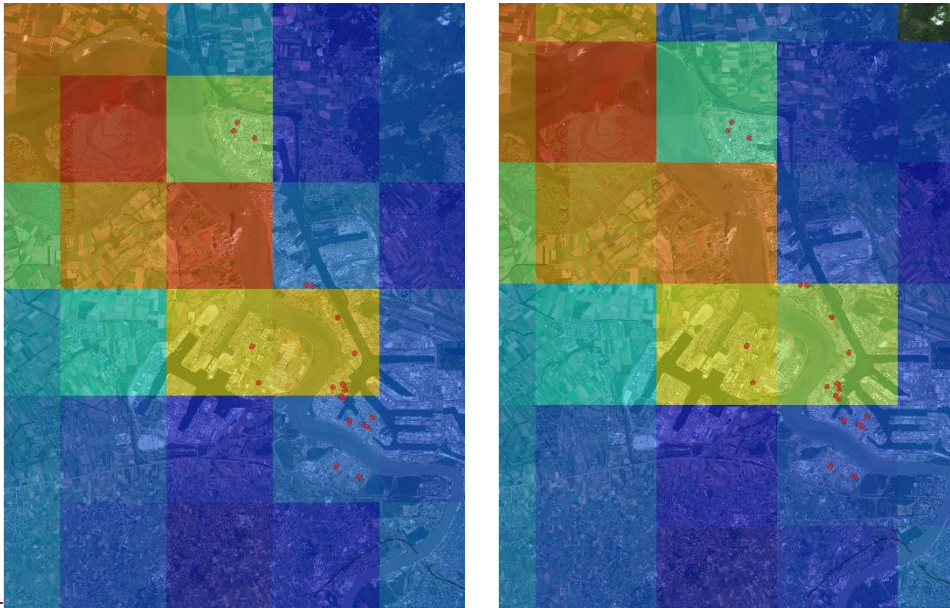
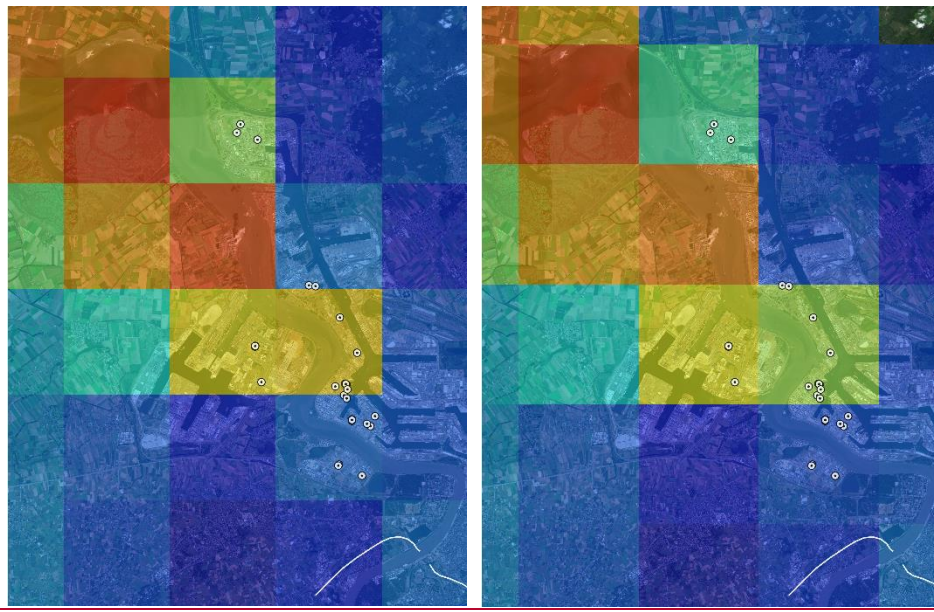


— (c)  $\text{NO}_2\text{-VCD}_{\text{pTROPO}}$  4.4 km x 4.4 km —

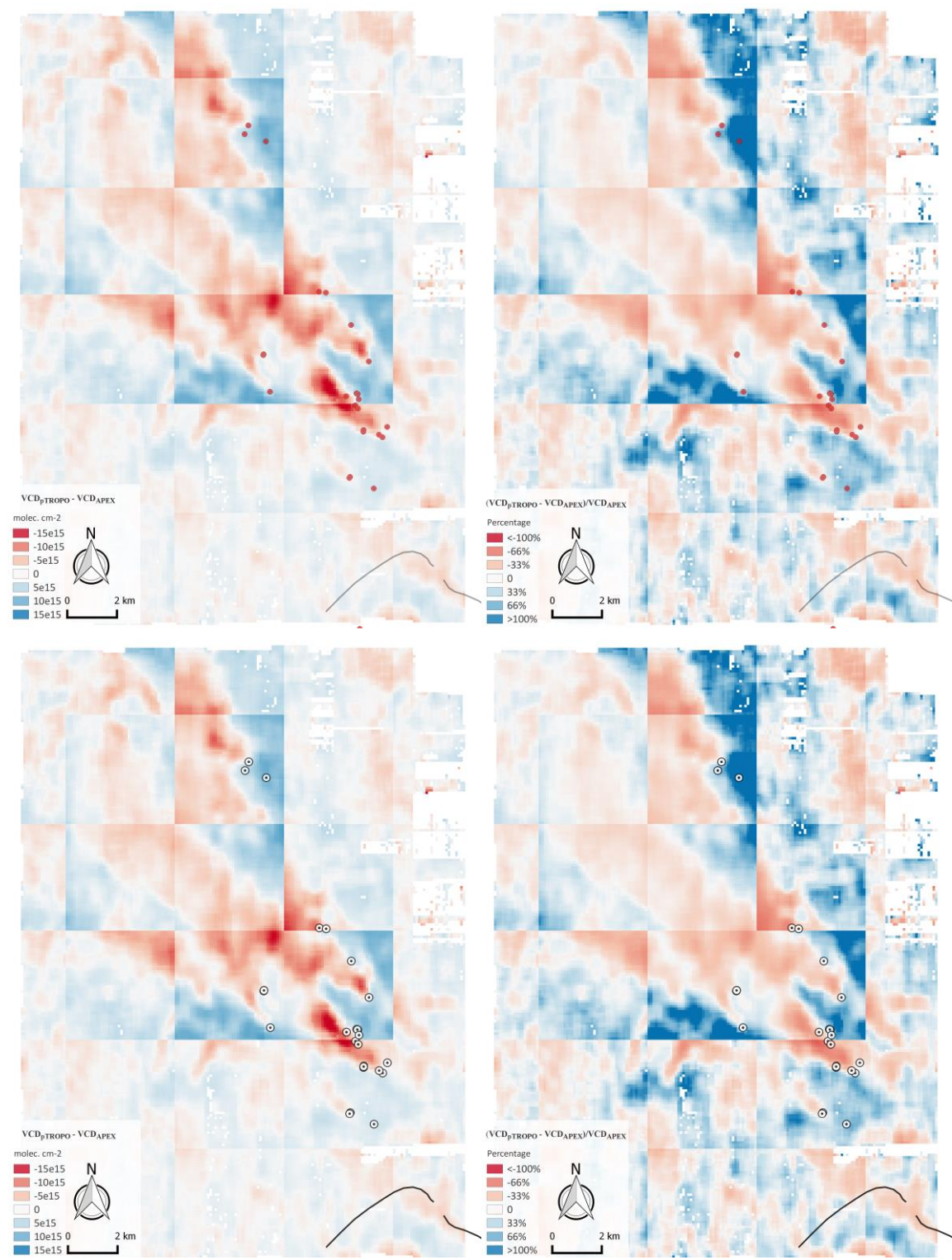
— (d) —

$\text{NO}_2$

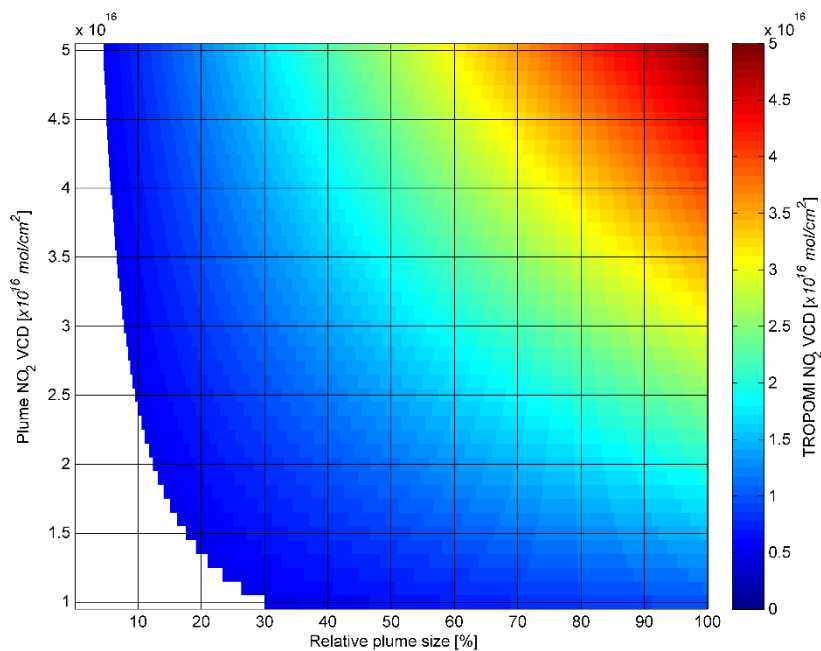
$\text{VCD}_{\text{pTROPO}}$  5 km x 5 km

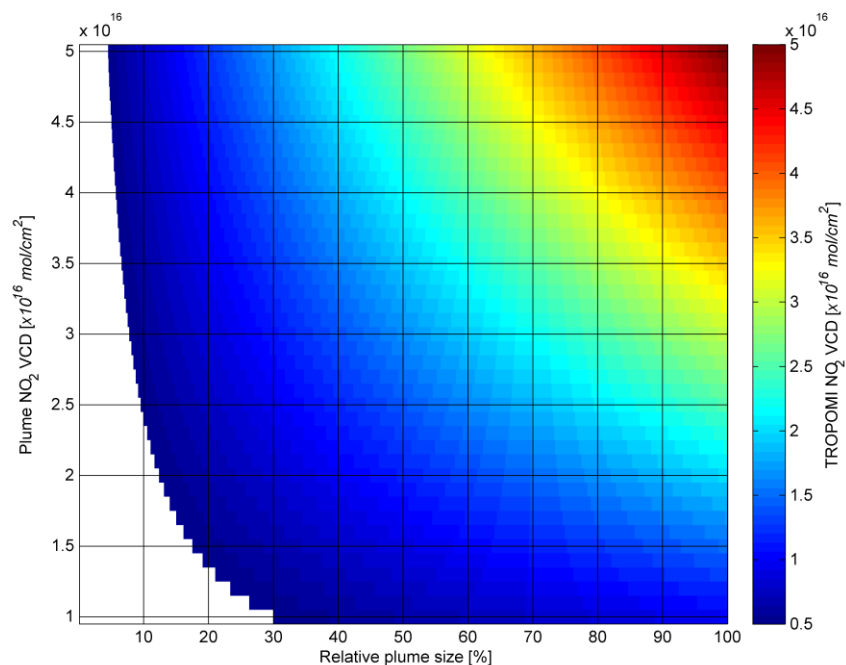


**Figure 13.** (a) APEX NO<sub>2</sub> VCD grid retrieved over Antwerp on 29 June, at 0.1 km x 0.1 km resolution, and the computed pseudo-satellite NO<sub>2</sub> VCDs grids at (b) 1 km x 1 km, (c) 4.4 km x 4.4 km, and (d) 5 km x 5 km, respectively. White dots indicate the point sources, emitting more than 10 kg of NO<sub>x</sub> per hour, according to the emission inventory (2017) of the Belgian Interregional Environment Agency. Part of the city ring road is indicated by the white line. In the 4 plots, the same color code is applied with the legend provided in (a). (© Google Maps).



**Figure 14. (a)** The NO<sub>2</sub> VCD bias ( $VCD_{pTROPOMI} - VCD_{APEX}$ ) and **(b)** relative bias  $((VCD_{pTROPOMI} - VCD_{APEX}) / VCD_{APEX} \times 100)$  for the APEX data set acquired over Antwerp on 29 June 2019.  $VCD_{pTROPOMI}$  are pseudo-TROPOMI NO<sub>2</sub> VCDs, constructed by averaging the APEX NO<sub>2</sub> VCDs within grid cells of 4.4 km x 4.4 km. White dots indicate the point sources, emitting more than 10 kg of NO<sub>x</sub> per hour, according to the emission inventory (2017) of the Belgian Interregional Environment Agency. Part of the city ring road is indicated by the black line.





**Figure 15.** Simulations of NO<sub>2</sub> VCDs based on an isolated NO<sub>2</sub> hotspot surrounded by urban background pixels ( $3 \times 10^{15}$  molec cm<sup>-2</sup>). The NO<sub>2</sub> hotspot is defined by its relative size on the x-axis, expressed as the fraction of a 3.5 km by 5.5 km TROPOMI nadir pixel, and average NO<sub>2</sub> signal strength on the y-axis. The separation between the white area and synthetic NO<sub>2</sub> VCDs corresponds to the hotspot detection threshold of  $5.1 \times 10^{15}$  molec cm<sup>-2</sup>.

**Table 1.** Mapping flights characteristics, and meteorological and environmental conditions for the four APEX flights, acquired over the cities of Antwerp and Brussels, in the framework of the S5PVAL-BE campaign.

	Flight #1	Flight #2	Flight #3	Flight #4
Site <sup>a</sup>	Brussels	Antwerp	Brussels	Antwerp
Date	26-06-2019	27-06-2019	28-06-2019	29-06-2019
Day of year / week	177 / Wednesday	178 / Thursday	179 / Friday	180 / Saturday
Flight time LT (UTC+2)	14:07–15:44	13:37–15:23	13:52–15:26	13:00–14:34
TROPOMI overpass LT (UTC+2)	13:16 (orbit 08811) 14:56 (orbit 08812)	14:37 (orbit 08826)	14:19 (orbit 08840)	14:00 (orbit 08854) 15:41 (orbit 08855)
# flight lines	12	11	12	11
Flight pattern (Heading )	0°, 180°	0°, 180°	0°, 180°	0°, 180°
SZA	28°–36°	28°–34°	28°–34°	29°–30°
Average wind direction	4°	36°	49°	143°
Average wind speed	3.7 m s <sup>-1</sup>	3.7 m s <sup>-1</sup>	2.6 m s <sup>-1</sup>	2.6 m s <sup>-1</sup>
Average temperature	26° C	23° C	24° C	30° C
Average PBL height	684 m	888 m	798 m	No Data
Average AOT (440 nm)	0.57	0.16	0.15	0.09
Average AOT (500 nm)	0.51	0.15	0.15	0.10
Lat / Long	50.8° N / 4.4° E	51.2° N / 4.4° E	50.8° N / 4.4° E	51.2° N / 4.4° E
Average terrain altitude (a.s.l.)	76 m	10 m	76 m	10 m

<sup>a</sup> Wind and temperature data are collected from weather stations of the Royal Meteorological Institute of Belgium (RMI), i.e. Uccle station (50.8° N, 4.4° E, 100 m a.s.l.) for Brussels, and Stabroek station (51.3° N, 4.4° E, 4 m a.s.l.) for Antwerp and measurements are averaged over the time of flight. PBL height was obtained from the backscatter profiles of a Vaisala CL51 ALC ceilometer operated by RMI in Uccle. The aerosol optical thickness (AOT level 1.5) was measured by the CIMEL AERONET station (Holben et al., 1998) in Uccle.

**Table 2.** TROPOMI and APEX specifications for the S5PVAL-BE campaign, defined for APEX for a nominal altitude of 6.5 km a.g.l. Spectrometer characteristics are provided for the APEX VNIR detector and the TROPOMI UV-VIS channel only. The effective APEX spatial resolution is provided after applying spatial aggregation of the spectra for signal-to-noise enhancement.

	TROPOMI (UV-VIS)	APEX (VNIR)
Orbit	Polar, sun-synchronous	-
Temporal resolution	Daily global coverage (13:30 local solar time)	-
Wavelength range	305–499 nm	370–970 nm
Spectral resolution (FWHM)	0.45–0.65 nm	0.9–3.2 nm
FOV across-track	108°	28°
IFOV across-track	0.24°	0.028°
Flight altitude	824 km	6.5 km
Swath width	2600 km	3.2 km
Ground speed	7800 m s <sup>-1</sup>	72 m s <sup>-1</sup>
Across-track spatial resolution (nadir)	3500 m	75 m
Along-track spatial resolution (nadir)	7000 m <sup>a</sup>	120 m
Signal-to-noise ratio	800-1000	2500
NO <sub>2</sub> SCD detection limit (molec cm <sup>-2</sup> )	~5.6 x 10 <sup>14</sup>	~2.6 x 10 <sup>15</sup>
Temperature stabilisation	Yes	Yes
Radiometric calibration	Yes	Yes
Weight	220 kg	354 kg
Size (LxWxH)	0.75x0.56x1.4 m <sup>3</sup>	0.83x0.64x0.56 m <sup>3</sup>
Power consumption	170 W	2100 W
Scanning	Pushbroom	Pushbroom

<sup>a</sup> 5500 m since 6 August 2019. This scenario has been successfully tested during the S-5P Commissioning Phase and it was recommended during the In-Orbit Commissioning Review (IOCR) to be implemented during the operational phase.

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**Table 3.** Overview of the key parameters for the DOAS spectral fitting and NO<sub>2</sub> slant column retrieval.

	NO <sub>2</sub> VCD <sub>TROPO</sub>	NO <sub>2</sub> VCD <sub>APEX</sub>
$\lambda$ calibration	Solar irradiance and earthshine radiance	Solar spectrum (Chance and Kurucz, 2010)
Spectral fitting code	TROPOMI DOAS software based on optimal estimation solver (van Geffen et al., 2018)	QDOAS (Fayt et al., 2016)
Fitting interval	405–465 nm	470–510 nm
Cross-sections		
NO <sub>2</sub>	Vandaele et al. (1998), at 220K	Vandaele et al. (1998), at 294K
O <sub>3</sub>	Gorshchev et al. (2014) and Serdyuchenko et al. (2014), at 243 K	n/a
O <sub>4</sub>	Thalman and Volkamer (2013), at 293 K	Thalman and Volkamer (2013), at 293 K
H <sub>2</sub> O <sub>vap</sub>	HITRAN 2012 (van Geffen et al., 2015)	n/a
H <sub>2</sub> O <sub>liq</sub>	Pope and Fry (1997)	n/a
Ring effect	Chance and Spurr (1997)	Chance and Spurr (1997)
Polynomial term	Order 5	Order 5

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**Table 4.** Correlation statistics between coincident APEX and TROPOMI NO<sub>2</sub> SCD and VCD products (OFFL v1.03.01) for the different flights. The last row “All data” considers all four data sets together. TROPOMI pixels are only compared with the average of all APEX pixels within the footprint, when they are covered for more than 50% by APEX pixels. The NO<sub>2</sub> VCD bias is defined by  $VCD_{TROPOMI-CRE} - VCD_{APEX}$  and NO<sub>2</sub> VCD relative bias is defined by  $(VCD_{TROPOMI-CRE} - VCD_{APEX}) / VCD_{APEX} \times 100$ . Alpha ( $\alpha$ ) and beta ( $\beta$ ) are the intercept and slope of the linear regression fit.

	NO <sub>2</sub> SCD <sub>TROPOMI</sub> vs SCD <sub>APEX</sub>				NO <sub>2</sub> VCD <sub>TROPOMI</sub> vs VCD <sub>APEX</sub>					NO <sub>2</sub> VCD <sub>TROPOMI-CRE</sub> vs VCD <sub>APEX</sub>				
	N	R	$\beta$	$\alpha$ x10 <sup>15</sup>	R	$\beta$	$\alpha$ x10 <sup>15</sup>	Bias x10 <sup>15</sup>	Bias %	R	$\beta$	$\alpha$ x10 <sup>15</sup>	Bias x10 <sup>15</sup>	Bias %
<b>Flight #1</b> (orbit 08812)	12	0.96	0.66	-0.67	0.94	0.98	-0.40	-0.54	-6.1	0.94	1.08	-0.64	0.04	0.2
<b>Flight #2</b> (orbit 08826)	21	0.95	0.43	0.68	0.95	0.70	0.64	-1.63	-20.8	0.95	0.94	0.30	-0.15	-1.5
<b>Flight #3</b> (orbit 08840)	15	0.93	0.52	-0.15	0.92	0.93	-0.26	-0.73	-10.5	0.91	1.11	-0.70	0.04	0.8
<b>Flight #4</b> (orbit 08854)	10	0.94	0.45	1.03	0.93	0.71	1.13	-1.77	-15.4	0.93	0.83	1.18	-0.54	-2.8
<b>All data</b>	58	0.94	0.46	0.46	0.92	0.82	0.29	-1.20	-14.2	0.94	0.93	0.46	-0.13	-0.8

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**Table 5.** Co-located TROPOMI, APEX and MAX-DOAS observations for the flights over the Brussels region. The TROPOMI NO<sub>2</sub> VCD is provided for the pixel in which the MAX-DOAS station resides, for both the TM5-MP-based and CAMS-based product. The APEX NO<sub>2</sub> VCD is provided for the average within the TROPOMI pixel footprint over the MAX-DOAS station and for the specific APEX pixel over the station. As the MAX-DOAS is performing elevation scans in a fixed azimuth direction (35° N), APEX observations are also averaged along this line of sight in order to take into account the instrument directivity.

	<u>Flight #1 (26-06-2019)</u>	<u>Flight #3 (28-06-2019)</u>		
<u>NO<sub>2</sub> VCD<sub>TROPOMI</sub> pixel over MAX-DOAS station <sup>a</sup> (x 10<sup>15</sup> molec cm<sup>-2</sup>)</u>	<u>8.7</u>	<u>6.8</u>		
<u>NO<sub>2</sub> VCD<sub>TROPOMI-CRE</sub> pixel over MAX-DOAS station <sup>a</sup> (x 10<sup>15</sup> molec cm<sup>-2</sup>)</u>	<u>9.3</u>	<u>7.7</u>		
<u>NO<sub>2</sub> VCD<sub>APEX</sub> (x 10<sup>15</sup> molec cm<sup>-2</sup>)</u>				
<u>Averaged in TROPOMI pixel over station</u>	<u>8.6</u>	<u>7.2</u>		
<u>APEX pixel over station</u>	<u>8.4</u>	<u>6.4</u>		
<u>APEX pixels averaged along MAX-DOAS viewing direction</u>	<u>13.1</u>	<u>7.9</u>		
	<u>TROPOMI overpass (14:56 LT)</u>	<u>APEX overpass (14:07 LT)</u>	<u>TROPOMI overpass (14:19 LT)</u>	<u>APEX overpass (14:25 LT)</u>
<u>NO<sub>2</sub> VCD<sub>MAX-DOAS</sub> (x 10<sup>15</sup> molec cm<sup>-2</sup>)</u>	<u>12.6</u>	<u>13.2</u>	<u>6.7</u>	<u>6.7</u>

<sup>a</sup> TROPOMI pixel ID #2 in Table 7 for Flight #1 and pixel ID #3 in Table 9 for Flight #3.

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**Table 65.** NO<sub>2</sub> VCD statistics for (1) two different APEX data sets acquired over Antwerp on 27 and 29 June 2019, (2) pseudo-TROPOMI grids (5 km x 5 km, 4.4 km x 4.4 km, and 1 km x 1 km) constructed by aggregating the native APEX NO<sub>2</sub> VCDs from both former data sets, and (3) absolute and relative differences between the constructed pseudo-TROPOMI NO<sub>2</sub> VCDs and original APEX VCDs.

		Antwerp Flight #2 (27-06-2019)			Antwerp Flight #4 (29-06-2019)		
NO <sub>2</sub> VCD <sub>APEX</sub>		0.1 x 0.1 km <sup>2</sup>			0.1 x 0.1 km <sup>2</sup>		
Mean (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		7.6			9.9		
SD (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		3.0			5.4		
Min (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		0.3			1.5		
Max (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		27.4			32.7		
NO <sub>2</sub> VCD <sub>pTROPOMI</sub>		5 x 5 km <sup>2</sup>	4.4 x 4.4 km <sup>2</sup>	1 x 1 km <sup>2</sup>	5 x 5 km <sup>2</sup>	4.4 x 4.4 km <sup>2</sup>	1 x 1 km <sup>2</sup>
Mean (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		7.6	7.6	7.6	9.9	9.9	9.9
SD (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		2.6	2.7	2.8	4.4	4.6	5.2
Min (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		4.6	4.5	3.4	4.4	3.8	3.6
Max (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		13.9	14.0	20.1	18.2	18.6	24.2
Abs(VCD <sub>pTROPOMI</sub> – VCD <sub>APEX</sub> )		5 x 5 km <sup>2</sup>	4.4 x 4.4 km <sup>2</sup>	1 x 1 km <sup>2</sup>	5 x 5 km <sup>2</sup>	4.4 x 4.4 km <sup>2</sup>	1 x 1 km <sup>2</sup>
Mean (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		1.0	0.9	0.6	2.0	1.8	0.9
SD (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		1.1	1.0	0.6	2.2	2.0	1.0
Max (x 10 <sup>15</sup> molec cm <sup>-2</sup> )		16.9	16.0	14.6	19.7	19.2	17.5
Mean (%)		13	13	8	23	21	10
SD (%)		15	14	9	29	25	11
Max (%)		1887	1759	1104	352	342	235

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Appendix A: Tropospheric NO<sub>2</sub> VCD statistics for coincident TROPOMI and APEX pixels

**Table 76.** Tropospheric NO<sub>2</sub> VCD statistics for coincident TROPOMI and APEX pixels for Flight #1, orbit 08812. APEX statistics are computed for all TROPOMI pixels covered by more than 50% by APEX pixels.

NO <sub>2</sub> VCD <sub>TROPO</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )				NO <sub>2</sub> VCD <sub>APEX</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )					
Pixel ID	VCD <sub>TROPO</sub>	VCD <sub>TROPO-CRE</sub>	Count	Mean	Median	SD	RSD(%) <sup>a</sup>	Min <sup>b</sup>	Max <sup>b</sup>
1	7.1	7.6	2477	6.9	6.9	1.9	26.9	3.2	10.6
2	8.7	9.3	2305	8.6	8.6	1.6	18.9	5.4	11.9
3	9.7	10.4	3394	8.4	8.2	2.5	29.6	3.4	13.4
4	9.5	10.2	3173	9.1	9.3	2.4	26.5	4.3	13.9
5	4.0	4.2	2133	4.3	4.3	1.5	34.1	1.4	7.3
6	5.9	6.3	3787	6.4	6.5	1.7	26.2	3.1	9.8
7	10.1	10.8	3814	10.8	10.8	1.7	15.7	7.4	14.1
8	12.7	13.7	3835	14.1	14.1	1.7	12.4	10.6	17.6
9	12.3	13.2	3855	13.0	12.9	2.2	16.6	8.7	17.3
10	6.4	6.9	2349	8.2	8.2	1.5	18.9	5.1	11.2
11	4.9	5.1	2801	6.7	6.8	1.6	23.8	3.5	9.9
12	9.3	9.9	2568	10.5	10.7	2.2	20.7	6.2	14.9

<sup>a</sup> Relative standard deviation (RSD) or coefficient of variation defined as the ratio of the standard deviation (SD) to the mean. <sup>b</sup> The minimum and maximum are defined here as  $\mu - 2\sigma$  and  $\mu + 2\sigma$ , in order to reduce the impact of outliers.

**Table 87.** Tropospheric NO<sub>2</sub> VCD statistics for coincident TROPOMI and APEX pixels for Flight #2, orbit 08826.

NO <sub>2</sub> VCD <sub>TROPO</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )				NO <sub>2</sub> VCD <sub>APEX</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )					
Pixel ID	VCD <sub>TROPO</sub>	VCD <sub>TROPO-CRE</sub>	Count	Mean	Median	SD	RSD(%)	Min	Max
1	6.3	7.8	2082	8.1	8.0	1.8	22.6	4.4	11.6
2	5.6	6.8	2882	7.5	7.4	1.7	22.4	4.1	10.8
3	4.5	5.4	2522	5.6	5.5	1.7	31.7	2.0	8.9
4	8.5	11.0	2843	12.6	12.8	2.7	21.3	7.3	18.3
5	9.7	12.4	2870	12.8	12.9	2.2	17.1	8.5	17.3
6	9.2	11.6	2871	11.7	12.0	3.2	26.6	5.6	18.3
7	6.9	8.4	2882	9.3	8.4	4.3	51.4	-0.2	17.0
8	5.1	6.1	2887	5.8	5.5	1.9	33.5	1.8	9.2
9	3.4	4.0	2887	4.8	4.7	1.5	31.2	1.8	7.6
10	5.8	7.5	1882	8.7	8.7	2.2	25.6	4.2	13.1
11	8.0	10.2	2874	8.7	8.6	1.9	22.4	4.7	12.4
12	6.1	7.9	2881	8.2	8.1	2.1	26.2	3.8	12.3
13	6.0	7.6	2888	7.1	6.9	2.2	32.1	2.5	11.3
14	4.1	5.0	2888	5.4	5.4	1.5	28.2	2.3	8.4
15	4.9	6.0	2896	5.1	4.9	1.8	35.5	1.4	8.5
16	4.0	4.8	2637	5.1	5.0	2.0	41.0	0.9	9.0
17	5.7	7.4	2810	6.8	6.9	1.9	28.2	3.0	10.7
18	5.6	7.2	2886	7.6	7.3	2.3	31.8	2.6	11.9
19	5.5	7.0	2771	5.9	5.6	2.4	42.6	0.8	10.4
20	4.1	5.1	2406	5.3	5.2	2.0	38.5	1.2	9.1
21	4.0	4.9	1746	5.4	5.3	2.1	38.9	1.2	9.5

**Table 98.** Tropospheric NO<sub>2</sub> VCD statistics for coincident TROPOMI and APEX pixels for Flight #3, orbit 08840.

NO <sub>2</sub> VCD <sub>TROPO</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )				NO <sub>2</sub> VCD <sub>APEX</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )					
Pixel ID	VCD <sub>TROPO</sub>	VCD <sub>TROPO-CRE</sub>	Count	Mean	Median	SD	RSD(%)	Min	Max
1	4.2	4.7	1562	4.7	4.6	2.3	47.6	0.2	9.2
2	7.2	8.2	1929	8.6	8.6	2.1	24.0	4.5	12.7
3	6.8	7.7	2693	7.2	7.2	1.9	26.7	3.4	11.1
4	5.2	5.9	2912	6.3	6.3	1.7	26.6	3.0	9.7
5	4.0	4.4	2898	6.0	6.0	1.8	29.8	2.4	9.6
6	4.8	5.2	2511	5.5	5.5	1.7	31.9	2.0	8.9
7	8.3	9.5	2870	9.2	9.2	2.0	21.3	5.3	13.1
8	8.4	9.6	2926	8.7	8.6	2.0	22.6	4.8	12.6
9	7.5	8.5	2919	8.3	8.4	1.9	22.2	4.6	12.0
10	6.9	7.7	2910	7.9	7.9	1.6	20.9	4.6	11.2
11	6.5	7.2	2907	7.5	7.5	1.9	26.0	3.6	11.4
12	4.9	5.3	2792	5.7	5.6	1.7	30.4	2.2	9.2
13	5.6	6.4	2290	5.1	5.0	1.8	35.7	1.5	8.7
14	5.0	5.7	1668	5.2	5.2	1.8	35.0	1.6	8.9
15	5.1	5.6	2018	5.2	5.2	1.5	28.7	2.2	8.2

**Table 109.** Tropospheric NO<sub>2</sub> VCD statistics for coincident TROPOMI and APEX pixels for Flight #4, orbit 08854.

NO <sub>2</sub> VCD <sub>TROPO</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )				NO <sub>2</sub> VCD <sub>APEX</sub> (x 10 <sup>15</sup> molec cm <sup>-2</sup> )					
Pixel ID	VCD <sub>TROPO</sub>	VCD <sub>TROPO-CRE</sub>	Count	Mean	Median	SD	RSD(%)	Min	Max
1	4.7	5.5	2378	6.9	6.8	2.1	30.7	2.7	11.2
2	4.5	5.3	3786	5.0	4.8	1.9	37.7	1.2	8.7
3	7.3	8.5	3871	7.2	5.9	5.0	69.0	1.0	17.2
4	6.3	7.2	3230	7.6	6.8	3.5	45.8	0.6	14.5
5	10.0	11.6	3998	9.3	8.5	3.8	41.0	1.7	16.9
6	14.5	16.9	3973	17.1	17.5	5.0	29.5	7.0	27.2
7	6.6	7.5	3686	8.1	6.7	4.6	57.1	1.0	17.3
8	11.2	12.8	3194	15.0	15.2	3.3	22.3	8.3	21.7
9	11.7	13.3	3976	17.6	17.6	3.1	17.4	11.5	23.7
10	5.5	6.1	3418	6.3	5.3	3.7	59.0	1.0	13.8