

We would like to thank the editor and reviewers for their helpful comments that improved this manuscript. Below in *italics* please, find our replies to the Reviewer #1 and editor's comments and suggested changes.

Reviewer #1 comments: In the revised version of the manuscript, the authors have added more comparisons between the old and the new data product and fixed a number of smaller issues. This has in my opinion significantly improved the manuscript, which now is fit for publication. I still find it disappointing that no case could be shown where the new data product is clearly superior to the old one in the sense of more realistic or more consistent tropospheric NO₂ columns. I also don't understand why the authors do not add V3.1 results in Table 2 - readers will be interested to know how the agreement to the validation data changed. In my opinion, this information should still be added before publication.

Editor's comments: I consider the manuscript to be almost ready for publication, but there is one small remaining point raised by referee #1, which I would like you to reconsider: The referee would have liked to see also results from V3.1 in Table 2 and argues that readers (and users of the new product) will be interested to know how the agreement with the validation data changed.

We realize that inclusion of results from V3.1 in Table 2 would allow an easy assessment of validation results, although some of these V3.1-related results were already published in our previous work by Choi et al., (2020) as we clarified in our earlier response. We now include corresponding results from V3.1. For clarity, we split the results presented in Table 2 into two tables (Table 2 and 3), with Table 2 showing the comparison of OMI V3.1 and V4.0 with the P-3B aircraft observations and Table 3 showing the comparison with Pandora observations. We have also made necessary changes in the text in Section 3.2.

Reviewer #1 comments: The authors also may want to check line 747 for a small editing issue. Thanks. The typographical error is corrected.

1 **OMI/Aura Nitrogen Dioxide Standard Product Version 4.0 with Improved Surface**
2 **and Cloud Treatments**

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5 Lok N. Lamsal ^{*,1,2}, Nickolay A. Krotkov², Alexander Vasilkov^{2,3}, Sergey Marchenko^{2,3},
6 Wenhan Qin^{2,3}, Eun-Su Yang^{2,3}, Zachary Fasnacht^{2,3}, Joanna Joiner², Sungyeon Choi^{2,3}, David
7 Haffner^{2,3}, William H. Swartz⁴, Bradford Fisher^{2,3}, Eric Bucsela⁵

8
9 ¹University Space Research Association, Greenbelt, MD 20770, USA

10 ²NASA Goddard Space Flight Center, Greenbelt, MD 20770, USA

11 ³Science Systems and Applications, Lanham, MD 20706, USA

12 ⁴Johns Hopkins University, Applied Physics Laboratory, Laurel, MD 20723, USA

13 ⁵SRI International, Menlo Park, CA 94025, USA

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17 ^{*}Corresponding author: Email: lok.lamsal@nasa.gov.

18 **Abstract**

19 We present a new and improved version (V4.0) of the NASA standard nitrogen dioxide (NO₂)
20 product from the Ozone Monitoring Instrument (OMI) on the Aura satellite. This version
21 incorporates the most salient improvements for OMI NO₂ products suggested by expert users and
22 enhances the NO₂ data quality in several ways through improvements to the air mass factors
23 (AMFs) used in the retrieval algorithm. The algorithm is based on geometry-dependent surface
24 Lambertian equivalent reflectivity (GLER) operational product that is available on an OMI pixel
25 basis. GLER is calculated using the vector linearized discrete ordinate radiative transfer
26 (VLIDORT) model, which uses as input high resolution bidirectional reflectance distribution
27 function (BRDF) information from NASA's Aqua Moderate Resolution Imaging
28 Spectroradiometer (MODIS) instruments over land and the wind-dependent Cox-Munk wave-
29 facet slope distribution over water, the latter with contribution from the water-leaving radiance.
30 The GLER combined with consistently retrieved oxygen dimer (O₂-O₂) absorption-based effective
31 cloud fraction (ECF) and optical centroid pressure (OCP) provide improved information to the
32 new NO₂ AMF calculations. The new AMFs increase the retrieved tropospheric NO₂ by up to 50%
33 in highly polluted areas; these differences arise from both cloud and surface BRDF effects as well
34 as biases between the new MODIS-based and previously used OMI-based climatological surface
35 reflectance data sets. We quantitatively evaluate the new NO₂ product using independent
36 observations from ground-based and airborne instruments. The new V4.0 data and relevant
37 explanatory documentation are publicly available from the NASA Goddard Earth Sciences Data
38 and Information Services Center (https://disc.gsfc.nasa.gov/datasets/OMNO2_V003/summary/),
39 and we encourage their use over previous versions of OMI NO₂ products.

40 **Introduction**

41 The Dutch/Finnish-built Ozone Monitoring Instrument (OMI) has been operating on board the
42 NASA EOS-Aura spacecraft since July 2004 (Levelt et al., 2006, 2018). The primary objectives
43 of OMI's mission are to continue the long-term record of total column ozone and to monitor other
44 trace gases relevant to tropospheric pollution worldwide. Observations of sunlight backscattered
45 from the Earth over a wide range of UV and visible wavelengths (~260-500 nm) made by OMI
46 allow for the retrieval of various atmospheric trace gases, including nitrogen dioxide (NO₂). NO₂
47 is a critically important short-lived air pollutant originating from both anthropogenic and natural
48 sources. It is the principal precursor to tropospheric ozone and a key agent for the formation of
49 several toxic airborne substances such as nitric acid (HNO₃), nitrate aerosols, and peroxyacetyl
50 nitrate. Satellite-based observations yield a global, self-consistent NO₂ data record that can
51 complement field measurements.

52 During more than 16 years of operation, OMI has provided a unique, practically uninterrupted
53 daily NO₂ data record that has been widely used for atmospheric research and applications,
54 accentuating demands for accurate NO₂ data products. The power of OMI to track NO₂ pollution
55 is demonstrated through observations of enhanced column amounts over polluted industrial areas
56 (*e.g.*, Boersma et al., 2011; Lamsal et al., 2013; Krotkov et al., 2016; Kim et al., 2016; Cai et al.,
57 2018; Montgomery and Halloway, 2018), weekly patterns with significant reduction on weekends
58 following energy usage (*e.g.*, Ialongo et al., 2016), and seasonal patterns (*e.g.*, van der A et al.,
59 2008) that reflect changes in NO_x emissions and photochemistry (*e.g.*, Shah et al., 2019).
60 Exploiting the close relationship between NO_x emissions and tropospheric NO₂ columns, OMI
61 NO₂ data have been used to detect and quantify the strength and trends of NO_x emissions from
62 power plants (Duncan et al., 2013; de Foy *et al.*, 2015; Liu et al., 2019), ships (*e.g.*, Vinken et al.,
63 2014a), lightning (*e.g.*, Picketing et al., 2016), soil (*e.g.*, Vinken et al., 2014b), oil and gas
64 production (*e.g.*, Dix et al., 2020), forest fires (Schreier et al., 2014), and other area sources such
65 as cities in the US (Lamsal *et al.*, 2015; Lu *et al.*, 2015; Kim et al., 2016), Europe (*e.g.*, Zhou et
66 al., 2012; Castellanos et al., 2012; Vinken et al., 14a), Asia (Ghude et al., 2013; Goldberg et al.,
67 2019a), and other world urban areas (Krotkov *et al.*, 2016; Duncan *et al.*, 2016; Montgomery and
68 Halloway, 2018). OMI NO₂ observations have frequently been used to evaluate chemical transport
69 models (CTMs) (*e.g.*, Herron-Thrope et al., 2010; Han et al., 2011; Hudman et al., 2012; Pope et
70 al., 2015; Rasool et al., 2016), to study atmospheric NO_x chemistry and lifetime (*e.g.*, Lamsal et

71 al., 2010; Beirle et al., 2011; Canty et al., 2015; Tang et al., 2015; Laughner and Cohen, 2019),
72 and to infer ground-level NO₂ concentrations (Lamsal et al., 2008; Gu et al., 2017), NO₂ dry
73 deposition (Nowlan et al., 2014, Geddes and Martin, 2017), and emissions of co-emitted gases
74 including carbon dioxide (CO₂) (Konovalov et al., 2016; Goldberg et al., 2019b, Liu et al., 2019).

75 Over the last decade, there have been considerable efforts to improve NO₂ data quality from OMI
76 and other satellite instruments (e.g., Boersma et al., 2018). A special emphasis has been placed on
77 improving auxiliary information (e.g., a priori NO₂ vertical profiles, surface reflectivity),
78 particularly with respect to spatial and temporal resolution. For instance, the global OMI NO₂
79 products are based on a priori NO₂ profiles from relatively coarse-resolution ($>1.0^{\circ} \times 1.25^{\circ}$) global
80 CTM simulations (Boersma et al., 2011; Krotkov et al., 2017, Choi et al., 2020). Many regional
81 studies suggest a general low-bias in the global tropospheric NO₂ column products, particularly
82 over polluted areas, that can be partially mitigated by using a-priori information from high-
83 resolution CTM simulations (Russell et al., 2011, McLinden et al., 2014; Lin et al., 2014; 2015;
84 Goldberg et al., 2018; Choi et al., 2020). Current global NO₂ retrievals are based on a low-
85 resolution ($0.5^{\circ} \times 0.5^{\circ}$) static climatology of surface Lambert-Equivalent Reflectivity (OMLER)
86 product (Kleipool et al., 2008), which is likely biased high due to insufficient cloud and aerosol
87 screening. This bias in surface reflectivity can lead to an underestimation of tropospheric NO₂
88 retrievals (Zhou et al., 2010; Lin et al., 2014; Vasilkov et al., 2017). In addition, the OMLER data
89 do not account for the significant day-to-day (orbital) variability in surface reflectance caused by
90 changes in sun-satellite geometry, a phenomenon often expressed by the bi-directional reflectance
91 distribution function (BRDF). Zhou et al. (2010) demonstrated the impact of both the spatial
92 resolution and the BRDF effect on OMI tropospheric NO₂ retrievals over Europe by using high-
93 resolution surface BRDF and albedo products from the Moderate Resolution Imaging
94 Spectroradiometer (MODIS). Taking advantage of the MODIS high resolution data, albeit
95 neglecting the BRDF and atmospheric effects, Russell et al (2011) and McLinden et al (2014)
96 created improved NO₂ products from the NASA Standard Product (Bucsela et al., 2013; Lamsal
97 et al., 2014) over the continental US and Canada, respectively. While these and subsequent studies
98 (e.g., Kuhlmann et al., 2015; Laughner et al., 2019) addressed the limitation of climatological LER
99 data on NO₂ retrievals, they did not account for the surface BRDF effect on the OMI cloud products
100 (cloud pressure/fraction), which are also inputs to the NO₂ algorithm. Applying the MODIS BRDF
101 data consistently to both the NO₂ and cloud retrievals demonstrably improves the quality of OMI

102 NO₂ retrievals over China (Lin et al., 2014, 2015, Liu et al., 2019). However, this approach is
103 computationally expensive and is applicable to land surfaces only. Our previous work (Vasilkov
104 et al., 2018) proposed an approach appropriate for satellite NO₂ data processing on a global scale
105 (a) by using MODIS BRDF information consistently in the cloud and NO₂ retrievals; (b) for both
106 land and water; and (c) in an efficient way. Here, we apply the approach globally for the first time
107 in the standard NASA OMI NO₂ algorithm.

108 In this paper we describe various updates made in the version 4.0 (V4.0) NASA OMI NO₂
109 algorithm, discuss their impact on the retrievals of tropospheric and stratospheric NO₂ column
110 amounts, and provide an initial quantitative assessment of NO₂ data quality. Section 2 describes
111 the OMI NO₂ algorithm and various auxiliary data used by the algorithm. We present validation
112 results in Section 3. Section 4 summarizes the conclusions of this study.

113 **2 OMI and the NO₂ Standard Product**

114 OMI is a ultraviolet-visible (UV-Vis) spectrometer on the polar-orbiting NASA Aura satellite
115 (Levelt et al., 2006, 2018). Aura, launched on July 15, 2004, follows a sun-synchronous orbit with
116 an equator crossing time near 13:45 local time. OMI employs two-dimensional CCD detectors and
117 operates in a push-broom mode, registering spectral data over a 2600 km cross-track spatial swath.
118 The broad swath enables global daily coverage within 14-15 orbits. In the OMI visible channel
119 used for NO₂ retrievals, each swath, measured every two seconds, comprises 60 cross-track fields
120 of view (FOVs) varying in size from ~13 km × 24 km near nadir to ~24 km × 160 km for the FOVs
121 at the outermost edges of the swath. Each orbit consists of ~1650 swaths from terminator to
122 terminator. OMI's full daily coverage has been affected by data loss due to an anomaly presumably
123 caused by material on the spacecraft outside the instrument that results in reduced coverage to
124 about half of its original swath as discussed in Section 2.4.

125 The OMI NO₂ Standard Product (OMNO2) algorithm provides retrievals of NO₂ column (total,
126 tropospheric, and stratospheric) amounts by exploiting Level-1B calibrated radiance and irradiance
127 data from the Vis channel (350-500 nm with 0.63 nm spectral resolution). The algorithm employs
128 a multi-step procedure that consists of 1) a spectral fitting algorithm to calculate NO₂ slant column
129 densities (SCDs) as discussed in Section 2.1; 2) determination of air mass factors (AMFs) to
130 convert SCDs to vertical column densities (VCDs) as discussed in detail in Section 2.2; 3) a
131 scheme to remove cross-track dependent artifacts or stripes; and 4) a stratosphere-troposphere
132 separation scheme to derive tropospheric and stratospheric NO₂ VCDs. The AMF depends upon a

133 number of parameters including optical geometry (solar and viewing azimuth and zenith angles),
134 surface reflectivity, cloud pressure and fraction, and the shape of the NO₂ a priori vertical profile.

135 Since the first release of OMNO2 in 2006 (Bucsela et al., 2006; Celarier et al., 2008), there have
136 been significant conceptual and technical improvements in the retrieval of NO₂ from space-based
137 measurements. Prior versions developed a new scheme for separating stratospheric and
138 tropospheric components in version 2.1 (V2.1) (Bucsela et al., 2013, Lamsal et al., 2014) and a
139 new algorithm for improved NO₂ SCD retrievals in V3.0 (Marchenko et al., 2015, Krotkov et al.,
140 2017), and included improved cloud products (Veefkind et al., 2016) in V3.1 (Choi et al., 2020).

141 The current version, V4.0, further improves on the retrievals in a number of significant ways for
142 NO₂ AMF and VCD calculations. Figure 1 shows a schematic diagram of the retrieval algorithm,
143 and Table 1 summarizes the differences and similarities between previous (V3.1) and current (V4)
144 versions. Some of the approaches in the V4 algorithm are similar to those used in V3.1, but there
145 are several important changes as discussed in detail in Sections 2.1 and 2.2.

146 **2.1 NO₂ and O₂-O₂ spectral fitting**

147 **2.1.1 NO₂ spectral fitting algorithm**

148 The spectral fitting algorithm for the operational standard OMI NO₂ product is described in detail
149 in Marchenko et al. (2015). Briefly, the algorithm retrieves NO₂ slant column densities (SCDs) by
150 using a Differential Optical Absorption Spectroscopy (DOAS) approach (e.g., Platt and Stutz,
151 2006). In the DOAS approach, laboratory-measured spectra of NO₂ (Vandaele et al., 1998) and
152 glyoxal (Volkamer et al., 2005), HITRAN08-based water vapor spectra (Rothman et al., 2009),
153 and rotational Raman (RR; Ring effect) filling-in are sequentially fitted to the OMI-measured
154 reflectance spectrum in the 402-465 nm wavelength range. The slant column represents the
155 integrated abundance of NO₂ along the average photon path from the Sun, through the atmosphere,
156 to the satellite. The Ring spectra are calculated as a linear combination of the atmospheric (Joiner
157 et al. 1995) and the liquid-water (Vasilkov et al., 2002) RR spectra, convolved with the wavelength
158 and cross-track dependent OMI transfer function (Dirksen et al., 2006). The algorithm employs a
159 multi-step, iterative retrieval procedure for removal of the Ring and spectral under-sampling
160 (Chance, et al., 2005) patterns as well as a low-order polynomial smoothing prior to estimation of
161 SCDs for all interfering species. This is in contrast with the conventional DOAS approach that
162 treats the Ring effect as a pseudo-absorber and fits all absorbers simultaneously with the

163 polynomial functions. For accurate wavelength shifts (radiances vs. irradiances), the standard
164 product algorithm splits the entire fitting window into seven carefully selected, partially
165 overlapping micro-windows, iteratively evaluates the RR spectrum amplitudes, performs
166 wavelength adjustments for each segment, and then iteratively retrieves the NO₂, H₂O, and glyoxal
167 in the windows best suited for a particular trace-gas species.

168 The OMI NO₂ SCDs from the standard product were compared with improved SCD retrievals
169 from the Quality Assurance for Essential Climate Variables (QA4ECV, <http://www.qa4ecv.eu/>),
170 BIRA-IASB's (Royal Belgian Institute for Space Aeronomy) QDOAS software ([http://uv-
171 vis.aeronomie.be/software/QDOAS/](http://uv-vis.aeronomie.be/software/QDOAS/)), and the latest KNMI retrievals (van Geffen et al., 2015) and
172 are shown to agree within 2% (Zara et al., 2018). The typical NO₂ SCD uncertainties amount to
173 $\sim 0.8 \times 10^{15}$ molec cm⁻², or 5-7% in high-SCD areas and 15-20% in low-SCD values (Marchenko et
174 al., 2015).

175 **2.1.2 O₂-O₂ spectral fitting algorithm**

176 The oxygen dimer (O₂-O₂) slant column fitting algorithm shares many features of the NO₂ fitting
177 algorithm and is described in detail in Vasilkov et al. (2018). It consists of a multi-step, iterative
178 retrieval approach with three carefully selected micro-windows sampling the flanks and the core
179 of the broad O₂-O₂ feature centered at 477 nm. The algorithm exploits OMI-measured reflectance
180 spectra in the 451-496 nm range to determine the wavelength shifts and RR amplitudes. The Ring
181 patterns are removed from the original OMI reflectances during the iterative adjustments for
182 differences in the wavelength registration of radiances and irradiances. The O₂-O₂ slant columns
183 are retrieved after removal of the NO₂ and H₂O absorptions estimated by the algorithm discussed
184 in the previous section, and of the ozone absorption using total ozone data from Veeffkind et al.
185 (2006). After removal of the interfering signals, the 477 nm O₂-O₂ absorption profile is carefully
186 normalized to the adjacent O₂-O₂ absorption-free reflectance levels accounting for very different
187 wavelength dependencies of surface reflectances over various geographical sites (e.g., the open-
188 ocean and desert area), as described in Vasilkov et al. (2018). The normalized O₂-O₂ absorption
189 profiles are then iteratively fitted with the temperature-dependent cross-sections from Thalman
190 and Volkamer (2013) over the 463-488 nm range to derive O₂-O₂ SCDs. These are used to estimate
191 the cloud properties as discussed below in Section 2.2.2.

192 **2.2 Improved air mass factor calculations**

193 The AMF, which is defined as the ratio of SCD to VCD, is needed to calculate the retrieved NO₂
194 VCD. Details of the AMF and its calculation are given in Palmer et al. (2001). The AMF for each
195 FOV is calculated by combining altitude (z)-dependent scattering weights (w) computed with a
196 radiative transfer model and a local a priori vertical NO₂ profile shape (S), taken from a chemistry-
197 transport model:

198
$$AMF = \int_{z_1}^{z_2} w(z)S(z)dz. \quad (1)$$

199 For the tropospheric AMF, the integral extends from the surface to the tropopause, whereas the
200 integral from the tropopause to the top of the atmosphere provides the stratospheric AMF. The
201 scattering weight at a given altitude describes the sensitivity of the backscattered radiation to the
202 abundance of the absorber at that altitude. For an optically thin absorber like NO₂, scattering
203 weights are a function of atmospheric scattering and are considered to be independent of the
204 species' vertical distribution (Palmer et al., 2001). Factors affecting scattering weights include
205 wavelength, optical geometry (solar and viewing azimuth and zenith angles), surface reflectivity,
206 and cloud pressure and fraction. The wavelength dependence of scattering weights is accounted
207 for by creating an average of scattering weights derived from the values at multiple wavelengths
208 within the NO₂ spectral fitting window. To compensate for the effect of the assumed constant NO₂
209 temperature (220 K) in the NO₂ SCD retrievals, the scattering weights are corrected for the
210 atmospheric temperature effect using local climatological monthly temperature profiles as
211 discussed in Bucseła et al. (2013). These profiles are based on the meteorological field from the
212 Modern-Era Retrospective Analysis for Research and Applications (MERRA-2) (Gelaro et al.,
213 2017).

214 The a priori NO₂ profile shapes are computed from a monthly mean climatology of vertical NO₂
215 profiles constructed from the Global Modeling Initiative (GMI) CTM simulation (Douglass et al.
216 2004, Strahan et al., 2007, Strode et al., 2015) driven by MERRA-2 meteorology. The spatial
217 resolution of the model is 1.25° in longitude and 1.0° in latitude, and the atmosphere is divided
218 into 72 pressure levels extending from the surface to 0.01 hPa. The model output is sampled
219 between 13:00 - 14:00, local time, consistent with the OMI overpass time. The use of monthly
220 NO₂ profiles helps capture the seasonal variation in the NO₂ vertical distribution (Lamsal et al.,
221 2010). The simulation is based on yearly varying NO_x emissions, as discussed in Strode et al.,
222 (2015); this is necessary to account for the effect of rapidly changing NO_x emissions (e.g., Tong

223 et al., 2015; Duncan et al., 2016; Miyazaki et al., 2017) on local NO₂ profile shapes (Lamsal et al.,
224 2015; Krotkov et al., 2017).

225 For each FOV, AMFs are computed for clear (AMF_{clr}) and cloudy (AMF_{cld}) conditions. The AMF
226 of a partially cloudy scene is calculated by assuming the independent pixel approximation:

$$227 \quad AMF = (1 - f_r) \times AMF_{clr} + f_r \times AMF_{cld}, \quad (2)$$

228 where f_r is the cloud radiance fraction (CRF), defined as the fraction of the measured radiation
229 that comes from clouds and scattering aerosols, and is computed at 440 nm from the retrieved
230 effective cloud fraction (ECF), f_c using Equation 8 (see below). AMF_{clr} is calculated for the
231 ground reflectivity of R_s and at terrain pressure P_s , whereas AMF_{cld} is calculated assuming a
232 Lambertian surface of reflectivity 0.8 at the retrieved cloud pressure. Below we provide a detailed
233 discussion of each of these input parameters that are incorporated in the OMNO2 V4.0 algorithm.

234 **2.2.1 New surface reflectivity product for NO₂ and cloud retrievals**

235 Surface reflectivity is an important input parameter for UV/Vis satellite retrievals of trace gases
236 and cloud information. The surface reflectance over both ocean and land depend upon viewing and
237 illumination geometry and can be accurately described by the bidirectional reflectance distribution
238 function (BRDF). This effect is, however, neglected by most currently available trace gas and
239 cloud algorithms which use a climatological Lambert-equivalent reflectivity (LER) for the surface.
240 To account for surface BRDF effects in the NO₂ and cloud retrievals, here we use the geometry-
241 dependent surface LER (GLER) product derived using the Moderate Resolution Imaging
242 Spectroradiometer (MODIS) BRDF data and the Vector Linearized Discrete Ordinate Radiative
243 Transfer (VLIDORT) calculation (Vasilkov et al., 2017; Qin et al., 2019; Fasnacht et al., 2019).
244 The GLER allows for a computationally efficient approach that does not require major changes to
245 the existing trace gas and cloud algorithms.

246 We derive GLER by inverting the top-of-atmosphere (TOA) radiance (I) of a Rayleigh atmosphere
247 over a non-Lambertian surface for each specific FOV and Sun-satellite geometry within the
248 Lambertian framework, i.e.,

$$249 \quad I = I_0 + GLER \times T / (1 - GLER \times S_b), \quad (3)$$

250 where I_0 is the TOA radiance calculated for a black surface, T is the total (direct + diffuse) solar
251 irradiance reaching the surface converted to the ideal Lambertian-reflected radiance (by dividing
252 by π steradians) and then multiplied by the transmittance of the reflected radiation between the

253 surface and TOA in the direction of a satellite instrument, and S_b is the diffuse flux reflectivity of
 254 the atmosphere for the case of its isotropic illumination from below (Dave, 1978). The value of I_0 ,
 255 T , and S_b are pre-computed with VLIDORT and stored in a look-up table. The GLER values are
 256 calculated at wavelengths relevant for both NO₂ (440 nm) and cloud (466 nm) retrievals.

257 Over land, the BRDF is calculated using the Ross-Thick Li-Sparse kernel model (Lucht et al.,
 258 2000) in VLIDORT (Spurr, 2006):

$$259 \quad BRDF = a_{iso} + a_{vol}k_{vol} + a_{geo}k_{vol}, \quad (4)$$

260 where the coefficients, a_{iso} , a_{vol} , and a_{geo} come from the Moderate Resolution Imaging
 261 Spectroradiometer (MODIS) Collection 5 gap-filled, seasonal snow-free BRDF product
 262 MCD43GF (Schaaf et al., 2002, 2011) for band 3 (459-479 nm) available at 30 arc-second spatial
 263 resolution and 8-day temporal resolution. The term a_{iso} is the isotropic contribution describing the
 264 Lambertian part of light reflection from the surface, the volumetric kernel (k_{vol}) describes light
 265 reflection from a dense leaf canopy, and the geometric kernel (k_{geo}) describes light reflection from
 266 a sparse ensemble of surface objects casting shadows on the background assumed to be
 267 Lambertian. The kernels are the only angle-dependent functions, the expressions of which are
 268 given in Lucht et al. (2000). The band 3 BRDF coefficients spatially averaged over an actual
 269 satellite FOV are used to calculate TOA radiance and GLER at 466 nm. To calculate GLER at 440
 270 nm, we apply a scaling method using the ratio of OMI-derived lambert equivalent reflectivity
 271 (LER) data at 440 nm and 466 nm:

$$272 \quad GLER_{440} = GLER_{466} \times f_s. \quad (5)$$

273 The value of $f_s = \frac{LER_{440}}{LER_{466}}$ is taken from the gridded monthly LER ratio data at 1°×1° or coarser
 274 resolution. The LER is determined from OMI TOA radiance measurements as discussed in
 275 Vasilkov et al. (2017, 2018). We use clear-sky (effective cloud fraction <0.02) and aerosol free
 276 (OMI UV Aerosol Index (Torres et al., 2007) <0.5) OMI LER data to create the monthly gridded
 277 data. The cloud and aerosol screening is necessary because the spectral dependence of surface
 278 features differ from that of clouds and aerosols.

279 Over water, the surface reflectance is calculated at the two wavelengths, 440 nm and 466 nm, using
 280 VLIDORT. To calculate TOA radiance, we include light specularly reflected from a rough water
 281 surface as well as diffuse light backscattered by water bulk. We also account for contributions
 282 from oceanic foam that can be significant for high wind speeds. Reflection from the water surface

283 is described by the Cox–Munk slope distribution function, which depends on both the wind speed
284 and the wind direction (Cox and Munk, 1954). Polarization at the ocean surface is accounted for
285 by using a full Fresnel reflection matrix as suggested by Mishchenko and Travis (1997).

286 We use wind speed data from a pair of satellite microwave imagers that include the Advanced
287 Microwave Scanning Radiometer - Earth Observing System (AMSR-E) instrument onboard the
288 NASA Aqua satellite (Wentz and Meissner, 2004) for 2004-2011 and the Special Microwave
289 Imager/Sounder (SSMIS) onboard the Air Force Defense Meteorological Satellite Program
290 (DMSP) Satellite F16 (Wentz et al., 2012) afterwards. Wind direction data are taken from the
291 Global Modeling Assimilation Office (GMAO) Goddard Earth Observing System Model Forward
292 Processing for Instrument Teams (GEOS-5 FP-IT) near real time assimilation.

293 Diffuse light from the ocean is described by a Case 1 water model with a single input parameter
294 of chlorophyll concentration (Morel, 1988) taken from the monthly Aqua/MODIS data. The
295 common Case 1 water model developed for the Vis (Morel, 1988) was extended to the UV using
296 data from Vasilkov et al. (2002, 2005). To calculate water-leaving radiance, we require the
297 downwelling irradiance at the surface (i.e., atmospheric transmittance). Since the transmittance
298 and the water-leaving contribution are coupled, we develop a simple coupling scheme in
299 VLIDORT that ensures the value of water-leaving radiance used as an input at the ocean surface
300 will correspond to the correct value of the downwelling flux reaching the surface interface
301 (Fasnacht et al., 2019).

302 For OMI ground pixels covering land and water surfaces, the TOA radiance (I) is calculated as an
303 average of radiance for land (I_L) and water (I_w) weighted by the pixel land fraction (f):

$$304 \quad I = fI_L + (1 - f)I_w. \quad (6)$$

305 The value of f is determined by converting various surface categories in the MODIS data (note
306 that these are of much higher spatial resolution than the OMI data) into a binary land-water mask
307 (e.g., treating all shorelines and ephemeral water as the land category and classifying all other
308 water sub-categories simply as water). The areal fraction of land (or water) for each OMI pixel is
309 then computed as the statistics of the binary categories.

310 Figure 2 shows an example of changes in surface reflectivity used in the previous (V3.1) and the
311 current (V4.0) version of the OMI NO₂ algorithm. The GLER data computed for OMI observations
312 as discussed above for March 20, 2005 differ considerably from the OMI-derived climatological

313 monthly LER data (Kleipool et al., 2008) for March. As shown in Figures 2 and 3(a), the GLERs
314 are generally lower than climatological LERs data except at swath edges with large viewing angles
315 and over areas affected by sunglint that correspond to higher values of GLER. Changes over the
316 sunglint areas are rather large, reaching up to 0.3. The climatological LER data derived by
317 analyzing histograms of five years of OMI-based LER data likely overestimate the actual surface
318 reflectivity due to residual cloud and aerosol contamination and underestimate over sunglint areas
319 as the procedure ignores sun glint affected observations. In contrast, the GLER data over land are
320 based on atmospherically corrected radiances from high-resolution MODIS observations,
321 minimizing the impact of both cloud and aerosols.

322 **2.2.2 Improved cloud products retrieval**

323 We develop a new algorithm that provides cloud parameters, namely cloud radiance fraction
324 (CRF) and cloud optical centroid pressure (OCP), and use them in the OMNO2 algorithm. Similar
325 to the standard OMCLDO2 algorithm (Veefkind et al, 2016), our cloud algorithm exploits the O₂-
326 O₂ absorption to retrieve O₂-O₂ SCD as discussed in Section 2.1.2, but derives the two cloud
327 parameters using the GLER and other ancillary data that are used in the NO₂ algorithm,
328 maintaining inter-algorithm consistency. The OMCLDO2 algorithm retrieves these parameters
329 using the climatological LER data from Kleipool et al. (2008). In the following, our new cloud
330 product is referred to as OMCDO2N.

331 The derivation of CRF and OCP is based on a simple cloud model called the mixed Lambertian-
332 equivalent reflectivity (MLER) model (Joiner and Vasilkov, 2006; Veefkind et al., 2016). The
333 MLER model treats cloud and ground as horizontally homogeneous, opaque Lambertian surfaces
334 and mixes them using the independent pixel approximation (IPA). According to the IPA, the
335 measured TOA radiance, I_m , is a sum of the clear-sky (I_g) and overcast (I_c) subpixel TOA
336 radiances that are weighted with an effective cloud fraction (ECF), f_c (e.g., Stammes et al., 2008):

$$337 \quad I_m = I_g(1 - f_c) + I_c f_c. \quad (7)$$

338 We choose the wavelength of 466 nm that is not substantially affected by rotational Raman
339 scattering (RRS) or atmospheric absorption to derive f_c . The parameters I_g and I_c are a function
340 of the ground and cloud LERs, respectively, and are calculated using VLIDORT (Spurr, 2006) and
341 obtained with an interpolated look up table. We use GLER discussed above for ground reflectivity

342 and a uniform cloud reflectivity of 0.8 (Koelemeijer et al., 2001; Stammes et al., 2008). The value
 343 of f_c is calculated by inverting Equation (7). Note that aerosols are implicitly accounted for in the
 344 determination of f_c , as they are treated (like clouds) as particulate scatters. CRF (f_r) defines the
 345 fraction of TOA radiance reflected by cloud:

$$346 \quad f_r = f_c \times \frac{I_c}{I_m}. \quad (8)$$

347 We use pre-computed look-up tables of the TOA radiances generated using VLIDORT. Due to its
 348 wavelength dependence, we calculate CRF at 466 nm for OCP at 440 nm for NO₂ retrievals.
 349 The MLER model compensates for photon transport within a cloud by placing the Lambertian
 350 surface somewhere in the middle of the cloud instead of at the top (Vasilkov et al., 2008). The
 351 pressure of this surface corresponds to OCP, which can be modeled as a reflectance-averaged
 352 pressure level reached by backscattered photons (Joiner et al., 2012). We retrieve cloud OCP from
 353 the O₂-O₂ SCD discussed above (Section 2.1.2). The cloud OCP, P_c , is estimated by inversion
 354 using the MLER method to compute the appropriate O₂-O₂ AMFs:

$$355 \quad SCD = AMF_g \times VCD_g \times (1 - f_r) + AMF_c \times VCD_c \times f_r, \quad (9)$$

356 where VCD ($= SCD/AMF$) is the vertical column density of O₂-O₂ over ground (VCD_g) and cloud
 357 (VCD_c). The clear-sky (AMF_g) and overcast or cloudy (AMF_c) subpixel AMFs are calculated at
 358 477 nm with ground (GLER) and cloud (0.8) reflectivity, respectively. Look-up tables for the
 359 AMFs were generated using VLIDORT. Temperature profiles needed for estimation of VCD and
 360 AMF are taken from the GEOS-5 global data assimilation system (Rienecker et al., 2011).

361 In addition to OCP, we retrieve the so-called scene pressure. The scene pressure is derived from
 362 Eq. (9) assuming that $f_r = 1$ and cloud reflectivity = scene LER. The scene LER is determined
 363 from the measured TOA radiance using the equation (Eq. 3) that defines TOA radiance in the
 364 Rayleigh atmosphere over a Lambertian surface. In the absence of clouds, aerosols, and any major
 365 gas absorptions, the scene pressure should be equal to the surface pressure. The scene pressure is
 366 therefore an important diagnostic tool for evaluation of the performance of cloud pressure
 367 algorithms.

368 Figure 4 shows an example of cloud products retrieved with our algorithm compared with those
369 retrieved from the standard OMCLDO2 algorithm (Veefkind et al., 2016). The retrieved OCP and
370 CRF from the two algorithms exhibit broadly consistent spatial patterns in both cloud altitude and
371 amount. The values of OCP generally range from 370 hPa to 1001 hPa in OMCD02N versus 150
372 hPa to 1011 hPa in OMCLDO2N. For both products, CRF varies from 0 for clear-sky to 1 for
373 overcast conditions. A systematic difference is evident with generally higher values in OMCD02N
374 for OCP by 147 hPa and CRF by 0.01 as compared to OMCLDO2. For OCP, there is a general
375 pattern in difference with OMCD02N OCP higher for low-altitude clouds (>700 hPa) and lower
376 values for high-altitude clouds (<300 hPa) (Figure 3(c)). The largest OCP differences occur for
377 cases where cloud pressures in OMCLDO2 are clipped to 150 hPa. For CRF, larger differences
378 occur for partially cloudy scenes with higher CRF values in OMCD02N by 0-0.1 for both land
379 and water surfaces (Figure 3(b)). Exceptions are over sun-glint areas, where CRF in OMCD02N
380 is lower by 0-0.3 with the mean difference of 0.13.

381 **2.2.3 Treatment over snow and ice surfaces**

382 Over ice and snow surfaces, identified by the Near-real-time Ice and Snow Extent (NISE) flags
383 (Nolin et al., 2005) in the OMI Level 1b data, the following treatments are made for surface
384 reflectivity. In case of permanent ice and snow surfaces, the MCD43GF product provides BRDF
385 parameters, allowing us to calculate GLER. Over seasonal snow area usually with data gaps in
386 MCD43GF, we calculate OMI-derived LER but capped by a constant snow albedo of 0.6 following
387 Boersma et al. (2011). In rare cases of pixels not flagged by NISE and gaps in MODIS data, we
388 use OMI LER climatology (Kleipool et al., 2008), regardless whether the surface is either snow/ice
389 covered but missed by NISE or snow/ice free.

390 The OMI-derived scene reflectivity and scene pressure are used for NO₂ and cloud retrievals over
391 seasonal snow covered areas. If the NISE flags are set as true, the following assumptions are made
392 in our CRF, OCP, and NO₂ retrievals. Over bright surfaces (scene reflectivity > 0.2), we consider
393 the scenes as snow or cloud covered and assign the scene pressure to OCP. In addition, if a
394 difference between the surface pressure and scene pressure is smaller than 100 hPa, the scene is
395 considered to be either cloud free or covered by optically thin clouds following the cloud over
396 snow classification by Vasilkov et al. (2010), and CRF for the pixel is set to zero. If the difference
397 between the surface pressure and scene pressure exceeds 100 hPa, the scene is considered to be
398 overcast by optically thick (shielding) clouds (Vasilkov et al., 2010), and CRF for the pixel is set

399 to one. To avoid a possible NISE misclassification (Cooper et al., 2018) for low-reflectivity scenes
400 (scene reflectivity < 0.2), we consider such scenes as being snow/ice-free and calculate CRF, OCP,
401 and NO₂ AMF using the standard procedure with GLER for those scenes.

402 **2.2.4 Improved terrain height/pressure calculation**

403 Terrain pressure is a critical parameter to the AMF in NO₂ and cloud algorithms as well as to the
404 total optical depth of the Rayleigh atmosphere in the GLER algorithm. Prior studies have shown
405 that errors in terrain pressure can introduce over 20% errors in retrieved NO₂ VCD, especially in
406 areas of complex terrain (Zhou et al, 2010; Russell et al., 2011).

407 Here, we use a 2-arc minute Global Relief Model of global land-water surface data (ETOPOv2,
408 National Geophysical Data Center, 2006) to derive terrain height for each individual OMI ground
409 pixel. We derive the pixel-average terrain height by collocating and averaging the high resolution
410 data as discussed in Qin et al. (2019). The corresponding terrain pressure for each OMI pixel (P_s)
411 is calculated from the terrain pressure-height relationship established based on MERRA-2 monthly
412 terrain pressure (P_{s_GMI}) at a spatial resolution of 1° latitude × 1.25° longitude used in the GMI
413 model discussed above:

$$414 \quad P_s = P_{s_GMI} e^{-\left(\frac{\Delta z}{H}\right)}, \quad (10)$$

415 where Δz ($= z - z_{GMI}$) represents the difference between the average terrain height for an OMI
416 pixel (z) and the terrain height at GMI resolution (z_{GMI}). The parameter, $H = \frac{kT}{Mg}$, represents the
417 scale height, where k is the Boltzmann constant, T is the temperature at the surface, M is the mean
418 molecular weight of air, and g is the acceleration due to gravity.

419 **2.3 Impact of the changes on AMF**

420 Figure 5 shows an example of how changes in each individual input parameter affect tropospheric
421 AMFs which, in turn, translate inversely to tropospheric NO₂ column retrievals. Replacing
422 climatological LER from OMLER with daily GLER data affects scattering weight profiles in the
423 lower troposphere, resulting in lower values of tropospheric AMF almost everywhere, except over
424 sun glint areas, where the use of GLER enhances scattering weights and tropospheric AMF (Figure
425 5(a)). The changes in tropospheric AMF with GLER usually range from -50% to 25%,
426 occasionally reaching up to -100%. The effect is small (-6% to 1%) for overcast scenes (CRF>0.9),

427 and increases (-28% to 17%) over clear and partially cloudy scenes (CRF<0.5), for unpolluted
428 regions, and surges (-62% to 3%) over polluted areas ($>5 \times 10^{15}$ molec. cm^{-2}). Figure 6(a) shows
429 GLER-driven changes in clear-sky (CRF<0.5) tropospheric AMF for different surface and scene
430 types, separated by tropospheric NO_2 column amounts. For 80% of cases over land, 97% over
431 water outside of sunglint areas, and 98% over sunglint areas, tropospheric NO_2 columns are $<$
432 1.5×10^{15} molec. cm^{-2} and the average GLER-driven differences are small at $-6.6 \pm 17.3\%$, $-$
433 $3.8 \pm 7.1\%$, and $4.0 \pm 12.9\%$, respectively. The differences increase gradually with column amount
434 over NO_x source regions (e.g., cities and highly polluted coastal areas) with binned (of size 1×10^{15}
435 molec. cm^{-2}) average differences ranging from $-10 \pm 20.1\%$ to $-30 \pm 19.7\%$. Over snow and ice
436 surfaces, changes are rather large, reaching up to a factor of two. The impact of change in the
437 surface reflection data on stratospheric AMFs is negligible ($<2\%$).

438 Figures 5(b) and 6(b) show how changes in the cloud parameters (CRF and OCP) affect
439 tropospheric AMF. Replacing OMCLDO2-based cloud parameters with those from OMCDO2N
440 changes scattering weight profiles in a complicated way. Higher values of OCP in OMCDO2N
441 will include additional portions of scattering weights between the OMCDO2N- and OMCLDO2-
442 based OCPs, especially in the lower troposphere, thereby reducing the tropospheric AMF. On the
443 other hand, the higher CRF values lead to an increased contribution of the cloudy AMF in the
444 calculation of tropospheric AMF, thereby increasing its value. Their combination causes a wide
445 range of scenarios as well as large variation in the AMF effect. Overall, the change in cloud
446 parameters causes enhancement of tropospheric AMFs for partially cloudy and overcast scenes
447 and reduction for clear-sky scenes, especially over polluted areas. The AMF differences are
448 generally large for low AMF values that are driven by enhanced differences in either OCP, CRF,
449 or both as discussed in Vasilkov et al (2017). The changes in tropospheric AMF with the
450 OMCDO2N-based cloud parameters usually range from -17% to 28% with a larger variation over
451 land (-34% to 40%) as compared to water (-12% to 25%), and for low (<1) AMF (-47% to 41%)
452 as compared to high (>3) AMF (-4% to 18%). The largest changes in AMF (-96% to 62%) occur
453 over snow and ice surfaces that result from the difference in the treatment of snow and ice for
454 cloud and NO_2 retrievals as discussed in Section 2.2.3. For clear-sky and partially cloudy scenes
455 with CRF < 0.5 , the effect of the changes in cloud parameters differs between land and water
456 surfaces as well as sunglint and non-sunglint geometries and becomes more pronounced over

457 polluted land and coastal areas (Figure 6b). As in the case of surface reflectivity, the impact of the
458 change in cloud parameters on stratospheric AMF is <1%.

459 Figure 5c presents an example of changes in tropospheric AMF differences between the previous
460 approach of using terrain pressure at OMI pixel centers and the pixel average terrain pressure
461 implemented in the current version (V4.0). In general, the AMF changes driven by the changes in
462 terrain pressure are within $\pm 1\%$ over ocean and $\pm 3\%$ over land, although at times they can reach
463 up to 30%, especially for observations over complex terrain such as mountainous regions (Figure
464 5c inset).

465 Figures 5d and 6c show the AMF differences arising from the combined effect of changes in all
466 parameters discussed above. The effect arising from the replacement of the climatological OMLER
467 with GLER is partially compensated by the effect arising from the change in cloud parameters in
468 places where the two parameters exhibit opposite trend. Exceptions are over polluted land and
469 coastal areas, the GLER effect on AMF is augmented by the cloud effect. The average AMF
470 changes arising from all parameters (2%) are lower than the changes arising from either GLER (-
471 2.3%) or cloud parameters (4.1%), although the combined effect leads to a wider range of variation
472 in AMF changes (-100% to 57%) as compared to the effect from individual parameters. The
473 changes arising from all parameters are somewhat smaller (-21% to 34%) for overcast scenes
474 (CRF>0.9) as compared to (-47% to 29%) over clear and partially cloudy scenes (CRF<0.5), and
475 are substantial (-137% to 30%) over highly polluted areas ($>5 \times 10^{15}$ molec. cm^{-2}) and over snow/ice
476 surfaces (-126% to 99%). Differences in the AMF effect are evident among land, water, and
477 sunglint areas (Figure 6c). The impact of the changes is below 1% for the stratospheric AMF.

478 **2.4 Row anomaly and removal of stripes**

479 The retrieved NO₂ SCDs have persistent relative biases in the 60 cross-track FOVs and show a
480 pattern of stripes running along each orbital track. This instrumental artifact is corrected using the
481 “de-striping” procedure described in detail in Bucsela et al (2013). Briefly, the de-striping
482 algorithm estimates the mean cross-track biases using measurements obtained at latitudes between
483 30S and 5N and from orbits within 2 orbits of target orbit. These correction values, one for each
484 cross-track position, are then subtracted from the retrieved SCDs to derive the de-striped SCD
485 field.

486 Starting June 25, 2007 and presumably even earlier, OMI experienced a more severe form of
 487 anomaly that affects the quality of radiance data in certain rows at all wavelengths (Dobber et al.,
 488 2008; Schenkeveld et al., 2017). This effect, called the “row anomaly” (RA), has developed and
 489 changed over time. Currently, the RA has affected approximately half of the OMI’s FOVs,
 490 resulting in OMI’s global coverage now in two days instead of one before the onset of the RA.
 491 The quality of radiance data for the RA-affected FOVs is sufficiently poor as to prevent reliable
 492 NO₂ retrievals. Therefore, we abandon retrieval calculations for all measurements that are flagged
 493 by the RA-detection algorithm used in the Level-1 processing. We found that this RA-detection
 494 algorithm may not be sufficiently sensitive to the relatively small (but important for our purposes)
 495 RA changes. Figure 7 shows an example of anomalous rows not flagged by the RA-detection
 496 algorithm but observed in the NO₂ retrievals. Shown are time series of average NO₂ SCDs
 497 normalized by geometric AMFs over the Pacific Ocean for the RA-unaffected row of 20 (0-based)
 498 compared with three rows that show significant degradation in the quality of SCD retrievals. These
 499 particular rows are in the immediate proximity to the main RA area, thus showing the gradual RA
 500 evolution: at the present epoch the RA slowly shifts towards the high-numbered rows – note the
 501 sequential timing of the big drops in the retrievals in the rows 44-46. While the data from the three
 502 rows start deviating from row 20 beginning from summer 2016, the data quality degrades further
 503 for rows 44, 45, and 46 from September of 2017, 2018, and 2019, respectively, to the extent that
 504 they cannot be sufficiently corrected by the de-stripping algorithm. In such cases, we implement
 505 additional RA-flagging for those rows that start showing anomalous behavior, and exclude those
 506 data from Level-2 and higher level NO₂ products.

507 **2.5 Calculation of stratospheric and tropospheric NO₂ columns**

508 We use an observation-based stratosphere-troposphere separation scheme to estimate the
 509 stratospheric NO₂ field as discussed in detail in Bucsele et al. (2013), and the algorithm remains
 510 unchanged in the current version. Briefly, the stratospheric field for an orbit is computed by
 511 creating a gridded global field of initial stratospheric NO₂ VCD estimates (V_{init}) with data
 512 assembled from within ± 7 orbits of the target orbit:

$$513 \quad V_{init} = \frac{S_{strat}}{AMF_{strat}} = \frac{S - S_{trop_ap}}{AMF_{strat}}. \quad (11)$$

514 Here S_{strat} and AMF_{strat} represent stratospheric SCD and AMF, respectively. An a priori
 515 estimates of the tropospheric contribution (S_{trop_ap}) are subtracted from the measured, de-stripped

516 SCDs (S), and grid cells where this contribution exceeds 0.3×10^{15} molecules cm^{-2} are masked.
517 This masking ensures that the model contribution to the retrieval is minimal, especially in the
518 polluted areas. The residual field of the initial stratospheric VCDs measured outside the masked
519 regions mainly over unpolluted or cloudy areas is smoothed by a boxcar average and a 2-
520 dimensional interpolation, yielding an estimate for stratospheric NO_2 VCD (V_{strat}) for an
521 individual ground pixel.

522 The estimation of the stratospheric NO_2 VCD allows for the computation of the tropospheric NO_2
523 VCD (V_{trop}) from the de-striped NO_2 SCD (S) and the tropospheric AMF (AMF_{trop}):

$$524 \quad V_{trop} = \frac{S_{trop}}{AMF_{trop}} = \frac{S - S_{strat}}{AMF_{trop}}, \quad (12)$$

525 where stratospheric NO_2 SCD (S_{strat}) is calculated from stratospheric AMF (AMF_{strat}) and V_{strat}
526 computed in the previous step.

527 With the updates in surface and cloud treatments as discussed in Section 2.2, the current version
528 has made significant improvements particularly in tropospheric AMFs and consequently in VCD
529 estimates. Further improvement to the retrievals is possible by enhancing the quality of a priori
530 NO_2 profiles through improvements in model resolution, emissions, and chemistry, which remain
531 unchanged in the current version. If improved a priori NO_2 profiles become available, one can first
532 use Eq. 1 to readily re-calculate AMF_{trop} by combining them with scattering weights ($w(z)$)
533 archived in the data files and then use Eq. 12 together with other supplied parameters to re-
534 calculate V_{trop} . The same approach can be applied to remove the effect of a priori profiles used in
535 retrievals altogether, while comparing NO_2 columns from a model simulation with retrievals
536 (Eskes and Boersma, 2003; Lamsal et al., 2014).

537 Figure 8 shows a comparison of tropospheric and stratospheric NO_2 columns retrieved from V3.1
538 and V4.0 algorithms for 20 March, 2005. As expected, the updates implemented in V4.0 yield
539 higher (~ 10 – 40%) tropospheric NO_2 columns in polluted areas, with less-pronounced ($\pm 10\%$)
540 differences in background and low-column areas. These results are consistent with the observed
541 differences in the tropospheric AMF as discussed above in Section 2.2.4 as well as with other
542 previous regional studies over land surfaces (Zhou et al, 2010; McLinden et al, 2014; Lin et al.,
543 2014, 2015; Laughner et al., 2019; Liu et al., 2019) that implemented one or more of the changes
544 applied in V4.0. In contrast to changes in tropospheric NO_2 retrievals, changes in stratospheric
545 NO_2 estimates range between -3.6×10^{14} molec. cm^{-2} and 3.2×10^{14} molec. cm^{-2} and are close to the

546 range of expected uncertainties of stratospheric NO₂ estimates (Bucsela et al., 2013). The relative
547 differences in stratospheric NO₂ column between the two versions is close to 0% on average,
548 usually range between -2.5% and 2.0%, and occasionally reach up to ±13%. This difference in
549 stratospheric NO₂ estimates is much larger than the difference in stratospheric AMFs and is caused
550 by differences in tropospheric AMFs that influence NO₂ observations over unpolluted and cloudy
551 areas used by the stratosphere-troposphere separation scheme.

552 Figure 9 shows the seasonally averaged tropospheric NO₂ columns over the selected domains of
553 North America, Europe, southern Africa, and Asia for the months of June, July, and August in
554 2005. These domains contain highly polluted areas with significant NO_x emissions where the
555 impact of changes in surface reflectivity and cloud parameters on tropospheric NO₂ retrievals
556 becomes increasingly important. The use of more accurate pixel-specific information for surface
557 and cloud parameters in V4.0 results in significantly enhanced tropospheric NO₂ column retrievals
558 almost everywhere. The effect, however, varies with the vertical distribution of NO₂, with the
559 largest effects in high-column areas. This spatially-varying effect arising from algorithm changes
560 could have significant implications for estimates of trends and emissions of NO_x from satellite
561 observations.

562 Figure 10 shows the seasonal average tropospheric NO₂ columns for December through February.
563 While seasonal differences in NO₂ columns are evident owing to changes in NO_x lifetime and
564 boundary layer depth, the impact of algorithm changes in V4.0 remains similar. There are two
565 notable exceptions specifically related to observations over snow and ice surfaces. First, there are
566 significant data gaps in V3.1 but nearly none in V4.0. In V3.1, retrievals over snow and ice areas
567 were considered to be highly uncertain and therefore discarded, following the recommendation of
568 Boersma et al. (2011). As discussed above in Section 2.2.3, V4.0 incorporates changes in surface
569 and cloud treatment in NO₂ algorithm that allows us to retain more observations that we determine
570 to be our acceptable level of cloudiness. Next, these algorithm changes led to profound changes in
571 the calculated tropospheric AMFs and resulting NO₂ column amounts. The reduction in
572 tropospheric NO₂ retrievals in V4.0 over snow and ice covered surfaces arises from a combined
573 effect of enhanced values of surface reflectivity, their impact on the CRF and OCP retrievals, and
574 an inconsistent number of samples used in the calculation of the seasonal average. Nevertheless,
575 due to inferiority in the quality of BRDF data as well as complexities in separating snow from
576 clouds, caution is needed when interpreting winter time data at high latitudes.

577 Figure 11 shows some examples of how changes in the algorithm from V3.1 to V4.0 affect monthly
578 domain average tropospheric NO₂ columns over areas affected by various NO_x sources. In contrast
579 to minor changes over the pristine Pacific Ocean, month-to-month changes over source regions
580 vary considerably. The differences in tropospheric NO₂ columns between V4.0 and V3.1 range
581 from -11 to 15% over Beijing, China and from 0 to 29% over the Ruhr area in Germany, suggesting
582 variations in relative differences among cities and industrial areas. The changes over a major
583 biomass burning area of Democratic Republic of Congo, Angola, and Zambia range 13-56%
584 during the biomass burning season of May through August, but are <5% in other months.
585 Differences between the two versions are small over areas influenced by lightning NO_x emissions.
586

587 In Figure 12, we examine monthly variation of tropospheric NO₂ columns from the two versions
588 over five highly populated and polluted cities that vary in terrain types ranging from coastal (e.g.,
589 Shanghai, Tokyo) to mountainous (e.g., Mexico City). NO₂ columns in V4.0 are generally higher
590 than V3.1 by 0-30%, but the difference can occasionally reach up to 50% in some months. Changes
591 of that order of magnitude in highly polluted areas have implications for estimation of NO_x
592 emissions and trends using these data.

593 **3 Assessment of OMI NO₂ product**

594 In this section, we compare OMI NO₂ columns with total column retrievals from ground-based
595 Pandora measurements and integrated tropospheric columns from aircraft spirals at several
596 locations of the DISCOVER-AQ (Deriving Information on Surface Conditions from Column
597 and VERTically Resolved Observations Relevant to Air Quality) field campaign held between
598 2011 and 2014.

599 **3.1 Comparison between OMI and Pandora total column NO₂**

600 Here, we compare the total column NO₂ retrievals from OMI and the ground-based Pandora
601 spectrometer. Pandora is a compact sun-viewing remote sensing instrument that provides estimates
602 of NO₂ column amounts from the surface to the top of the atmosphere (Herman et al., 2009, 2018).
603 The NO₂ retrieval approach for Pandora is similar to that of OMI and consists of the DOAS spectral
604 fitting procedure to derive NO₂ SCD and its conversion to VCD using AMFs. However, the details

605 differ due to the lack of top-of-atmosphere radiance measurements for the spectral fitting and
606 simplicity in the AMF calculation for Pandora due to its direct sun measurements.

607 To compare with the OMI observations, we use Pandora data for sites listed in the Pandonia Global
608 Network (<https://www.pandonia-global-network.org/>). Out of 22 sites, we select 18 sites that we
609 determined to be suitable for comparison. Data from some of the sites (e.g., Rome, Italy) are
610 consistently higher than OMI by over a factor of two, suggesting that the sites may be in close
611 proximity to local sources that cannot be resolved by OMI. Although, some of the selected sites
612 have sporadic and short-term measurements (e.g., Ulsan, S. Korea), we consider them for
613 improved sampling and coverage. The collocation criteria include spatial and temporal matching
614 between OMI and Pandora observations by selecting the OMI pixels that encompass the Pandora
615 site and using Pandora 80-sec total NO₂ column data averaged over ±10 minutes of OMI
616 observations. We use high quality data obtained under clear sky conditions with root-mean-square
617 of spectral fitting residuals < 0.05 and NO₂ retrieval uncertainty < 0.05 DU (~1.3×10¹⁵ molec. cm⁻²)
618 for Pandora and with CRF < 0.5 for OMI.

619 Figure 13 shows a comparison of OMI total NO₂ columns (sum of tropospheric and stratospheric
620 columns) with coincidentally sampled Pandora direct-sun NO₂ column retrievals at a clean site of
621 Izaña in Tenerife Island, Spain, and a more polluted site in Greenbelt (Maryland, USA). The Izaña
622 Atmospheric Observatory is located on the top of a mountain plateau, with an elevation of 2373
623 meters above sea level. Since the site is free of local anthropogenic influences, Pandora
624 observations likely provide stratospheric and free tropospheric NO₂ amounts. In contrast, the
625 Greenbelt site in a suburban Washington DC area has traffic and air quality typical of polluted US
626 cities. As shown in Figures 13(a) and 13(b), OMI NO₂ retrievals from the two versions are highly
627 consistent (r>0.92) with somewhat higher values in V4.0 as compared to V3.1, by on average 13%
628 in Greenbelt and just 1% in Izaña. The variations of OMI NO₂ from both versions are also broadly
629 consistent with the Pandora measurements. The OMI and Pandora NO₂ columns are fairly
630 correlated (r = 0.32, N = 232) at Izaña, and moderately correlated (r = 0.51, N = 123) at Greenbelt;
631 often times the differences between each individual OMI and Pandora observations are significant.
632 Overall, the total column NO₂ data from OMI is higher than Pandora, with the average difference
633 of <16%. Occasional large discrepancies between OMI and Pandora reflect a combination of

634 spatial heterogeneity, differences in spatial and temporal sampling, differences in vertical
635 sensitivity of satellite and ground-based observations, and errors in OMI and Pandora retrievals.
636 Figures 13(c) and 13(d) show the multi-year monthly mean variation of OMI and Pandora NO₂
637 columns. The seasonal variation in Pandora and OMI NO₂ columns is highly consistent and
638 exhibits a summer maximum and a fall minimum at Izaña, and a winter maximum and summer
639 minimum in Greenbelt. The seasonal variation in the total column reflects that of the stratosphere
640 for Izaña and of the troposphere in Greenbelt. For Izaña, the monthly mean differences between
641 OMI and Pandora range from 8.2% in June to 38% in October for V4.0 and from 7.0% in June to
642 37% in October for V3.1. This discrepancy is likely due to the large aerial coverage of OMI pixels
643 including nearby cities, unlike the point measurements made by Pandora at the mountain top. The
644 average tropospheric NO₂ column observed by OMI is 8.9×10^{14} molec cm⁻², suggesting significant
645 NO₂ amounts in the troposphere with 20-32% contributions to total column NO₂ on a monthly
646 scale. For Greenbelt, the monthly mean differences between OMI and Pandora are within $\pm 12\%$
647 for the majority of the cases for both versions, with V4.0 improving agreement for February, April,
648 May and December, and worsening somewhat in other months, especially in September and
649 November, when the two versions exhibit larger differences in tropospheric NO₂ retrievals.
650 Figure 14 shows average total NO₂ columns measured by Pandora and OMI at the 18 selected
651 sites. Although there is a wide range of differences between individual sites, Pandora and OMI
652 observations exhibit a good spatial correlation, with slightly improved correlation for V4.0
653 ($r=0.65$, $N=1082$) as compared to V3.1 ($r=0.62$). The site-specific average values generally agree
654 to $\pm 35\%$ for columns $< 10^{16}$ molec. cm⁻². For more polluted sites, OMI retrievals tend to be lower
655 than the Pandora data. Although the relationship between Pandora and OMI has not changed
656 appreciably with the updates made in the OMI V4.0 product, the corrections are in the right
657 direction for a majority of the sites. The observed differences should not be interpreted as biases
658 in retrievals but rather as the combined effect of differences in spatial coverage, heterogeneity in
659 the NO₂ field, preferential placement of Pandora instruments, and potentially, a lack of site-
660 specific profile shapes assumed in OMI retrievals.

661 3.2 Assessment using DISCOVER-AQ observations

662 We also use NO₂ observations from the DISCOVER-AQ field program to assess OMI NO₂
663 retrievals. The DISCOVER-AQ campaign was composed of four field deployments: Baltimore-
664 Washington area in Maryland (MD) in July 2011; the San Joaquin Valley in California (CA) in

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666 January-February 2013; Houston, Texas (TX) in September 2013; and Denver, Colorado (CO) in
667 July-August 2014. An observing strategy of the campaign was to carry out systematic and
668 concurrent in situ and remote sensing observations from a network of ground sites and research
669 aircraft that spiraled over each site 2-4 times a day. The payload of the P-3B research aircraft
670 included in situ measuring instruments to measure NO₂ profiles in the 0.3-5 km altitude range.
671 Each campaign hosted ground-based networks of surface monitors to provide in situ NO₂
672 observations as well as Pandora spectrometers to measure NO₂ column amounts.

673 We use Pandora NO₂ column observations and in situ NO₂ spiral data spatially and temporally
674 matched to OMI on clear and partially cloudy (cloud radiance fraction < 0.5) days. Airborne
675 measurements were carried out using the 4-channel chemiluminescence instrument from the
676 National Center for Atmospheric Research (Ridley and Grahek, 1990) and the Thermal
677 Dissociation Laser-Induced Florescence from the University of Berkeley (Thornton et al., 2000).
678 Despite differences in the measurement technique and sampling strategy, NO₂ measurements from
679 the two instruments are highly consistent and generally agree within 10%, with the exception of
680 ~32% difference for Houston (Choi et al., 2020). Here, we use the 1-second merged data from the
681 chemiluminescence instrument only, taking advantage of its high frequency measurements. The
682 spiral data are extended to the ground by using coincident in situ surface NO₂ measurements
683 sampled over the duration of spiral (~20 minutes). To account for NO₂ amounts in the missing
684 portion from the highest aircraft altitude to the tropopause, we use NO₂ from the GMI simulation.
685 Like the surface data, the Pandora total column NO₂ data are averaged over the duration of each
686 aircraft spiral. For OMI, we include data from all cross-track positions that are not subject to the
687 row anomaly.

688 Figure 15 and Table 2 show a summary of the comparison of OMI V4.0 and V3.1 NO₂ columns
689 with vertically integrated tropospheric columns from the P-3B aircraft at 20 spiral locations.
690 Overall, tropospheric NO₂ columns from OMI and aircraft spirals suggest a poor agreement but a
691 good correlation (r=0.74, N=100), with slightly improved agreement for V4.0 as compared to
692 V3.1. The agreement and correlations between OMI and P-3B observations vary by campaign
693 locations (e.g., r=0.4 for MD to r=0.81 for CA for V4.0). The level of improvements from V3.1 to
694 V4.0 also vary from 1.2% in TX to 9.8% in CA. OMI retrievals are usually lower than the aircraft
695 data, with larger differences for sites with larger NO₂ gradients and columns (e.g., Denver La Casa,
696 CO; Fresno, CA). OMI is rarely higher than the aircraft data as this usually happens over relatively

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699 cleaner sites (e.g., Fairhill, MD). This alternating nature of the variation in results in polluted
700 versus clean areas suggests that OMI's large footprint size and narrow spiral radius (~4 km) of the
701 aircraft are likely the primary cause for the observed differences. This was demonstrated in Choi
702 et al. (2020) by using high-resolution Community Multi-scale Air Quality Model (CMAQ)
703 simulations. Additional contributions to the observed differences could come from OMI retrieval
704 errors arising from the use of a coarse resolution GMI-based a priori NO₂ profile shapes in the
705 AMF calculation. Such profile-related retrieval errors can be partially accounted for by replacing
706 GMI profiles with the aircraft observed NO₂ profiles (OMI_{obs}). The use of observed profiles in the
707 OMI retrievals from both versions leads to a slight change in correlation, but 20-35% reduction in
708 mean difference between OMI and aircraft observations, highlighting the role of a priori profiles
709 in NO₂ retrievals as suggested by previous studies (Russell et al., 2011; Lamsal et al., 2014;
710 Goldberg et al., 2017; Laughner et al., 2019; Choi et al., 2020). The campaign-average difference
711 between OMI and aircraft observations is -38.8% in V3.1 and -23.1% in V4.0, resulting in a net
712 improvement of 15.7% with V4.0. We note here that the aircraft observed profiles can be very
713 different from the actual profiles over OMI's FOVs (pixels) due to a difference in the sampling
714 domains for the two measurements.

715 Figure 15 and Table 3, also show the comparison between the OMI and Pandora total column
716 retrievals at the 20 DISCOVER-AQ sites. The correlations between collocated OMI V4.0 and
717 Pandora observations for individual campaign locations vary from fair (r=0.13 for MD) to good
718 (r=0.70 for CO), with a moderate correlation (r=0.56, N=83) for all observations from the four
719 locations. The changes in correlation from V3.1 to V4.0 are generally small with a minor
720 improvement in CA and deterioration in MD. As compared to the aircraft observations, the OMI
721 data generally show better agreement with the Pandora retrievals, with the smallest difference in
722 MD and the largest difference in CO. As compared to V3.1, the agreement is improved for V4.0
723 by up to 9%. The use of aircraft-observed NO₂ profiles in AMF calculations leads to higher OMI
724 column retrievals than those from Pandora for MD and TX, and lower columns than Pandora for
725 CA and CO. Overall, total column retrievals from OMI V3.1 and V4.0 are respectively 33.5% and
726 16.3% lower than Pandora; this change is consistent with the comparison between OMI and P-3B
727 observations. The observed discrepancy between the OMI, aircraft spiral, and Pandora data points

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729 to general difficulties in comparing observations of different spatial resolutions for a short-lived
730 trace gas like NO₂ that has large spatial gradients, especially in the boundary layer.

731 **4 Conclusions**

732 We have described a series of significant improvements made to the operational OMI NO₂
733 Standard Product (OMNO2) algorithm. The new version, version 4.0 (V4.0), of the OMNO2
734 product, released recently to the public at the NASA Goddard Earth Sciences Data and Information
735 Services Center (GES DISC), mainly relies on improved methods and high-resolution inputs for a
736 more accurate determination of air mass factors (AMFs). Major improvements include (1) a new
737 O₂-O₂ cloud algorithm to estimate cloud radiance fraction (CRF) and cloud optical centroid
738 pressure (OCP), both required for the AMF calculation; 2) a new MODIS BRDF-derived
739 geometry-dependent surface Lambertian Equivalent Reflectivity (GLER) input data used in both
740 the NO₂ and cloud retrievals; (3) improved terrain pressure calculated for OMI's footprint; and (4)
741 improved surface and cloud treatments over snow and ice surfaces. Over open-water areas, inputs
742 to the GLER calculations include chlorophyll concentrations from MODIS, the wind speed data
743 from the Advanced Microwave Scanning Radiometer–Earth Observing System (AMSR-E) and
744 the Special Microwave Imager–Sounder (SSMIS) instruments, and the wind direction data from
745 the NASA GEOS-5 model. The following algorithmic steps remain unchanged: the scheme for
746 separating stratospheric and tropospheric components, first implemented in Version 2.1 (Bucsela
747 et al., 2013; Lamsal et al., 2014); an optimized spectral fitting algorithm used for NO₂ slant column
748 density retrievals (Marchenko et al., 2015); and the use of annually varying monthly mean Global
749 Modeling Initiative (GMI) derived inputs (e.g., NO₂ vertical profile shapes), as implemented in
750 Version 3.0 (Krotkov et al., 2017).

751 The changes in inputs result in substantial changes tropospheric AMFs (and thus VCDs) in V4.0
752 relative to the previous version (V3.1). The geometry-dependent GLER data computed for OMI
753 observations used in V4.0 differ considerably from the OMI-derived climatological LER data
754 (Kleipool et al., 2008) used in V3.1. The data from GLER (a unitless value with 0.0-1.0 range) are
755 generally lower, by <0.05, than the climatological LER data over land and ocean outside of
756 sunglint areas; GLER is much higher over the sunglint areas that reaches more than 0.3 due to the
757 geometry-dependent Fresnel reflection. The cloud parameters (OCP and CRF) retrieved from ~~the~~
758 new O₂-O₂ cloud algorithm described here and those from the operational cloud algorithm

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760 (Veefkind et al., 2016) used in V3.1 exhibit significant differences with generally larger values for
761 both parameters in V4.0 as compared to V3.1, with noticeable exceptions over sunglint areas,
762 where CRFs in V4.0 are lower than V3.1 by <0.3 . Over snow and ice surfaces, identified by the
763 Near-real-time Ice and Snow Extent (NISE) flags in the OMI L1b data, various adjustments are
764 made in V4.0 for GLER, OCP, and CRF by using other diagnostic parameters (e.g., scene pressure)
765 retrieved by the new cloud algorithm. The scattering weights and tropospheric AMFs for NO₂
766 respond to the changes in these input parameters in a complicated way. Typically, tropospheric
767 AMFs decrease with the use of GLER and increase with the use of the new cloud parameters, with
768 exceptions over water surfaces affected by sunglint, where we observe the opposite effect. Over
769 highly polluted areas, the effect from GLER is augmented by the effect from the new cloud
770 parameters, resulting in a considerable decrease in the tropospheric AMF. Changes in tropospheric
771 AMFs resulting from the updates in treatment of the snow and ice-covered areas are also
772 significant. Changes in the adopted terrain pressure (V4.0 vs V3.1) can also have a sizable effect
773 on tropospheric AMFs, particularly over areas with a complex terrain. In contrast, for stratospheric
774 AMFs the combined impact of all of these algorithmic updates is negligible.

775 The changes in tropospheric AMFs translate directly into changes in tropospheric NO₂ retrievals
776 and indirectly into stratospheric NO₂ estimates. Over background and low column NO₂ areas,
777 tropospheric NO₂ column estimates have not changed appreciably from V3.1 to V4.0. Over more
778 polluted areas, the tropospheric NO₂ retrievals have typically increased by 10-40% from V3.1 to
779 V4.0, mostly in a direct proportion to the pollution level. Most of the increase in the highly polluted
780 areas is driven by the change in the surface reflectivity data used in the AMF calculation, with
781 additional increase due to changes in the cloud parameters. Changes in the stratospheric NO₂
782 estimates are usually within $\pm 2.5\%$, which is close to the range of estimated uncertainties of
783 stratospheric NO₂ estimates.

784 A global assessment of V4.0 tropospheric and stratospheric NO₂ products was performed by a
785 thorough evaluation of their consistency with the data from V3.1, which was carefully evaluated
786 in our previous works (e.g., Krotkov et al., 2017; Choi et al., 2020). In addition, we use
787 NO₂ measurements made by independent ground- and aircraft-based instruments to evaluate the
788 V4.0 product. The comparison of OMI total column NO₂ data with collocated Pandora
789 observations at its 18 global network and 20 DISCOVER-AQ locations suggests that OMI and
790 Pandora are generally highly consistent, exhibit similar seasonal variation, and agree within their

791 expected uncertainties of 2.7×10^{15} molec cm⁻² for Pandora (Herman et al., 2009) and ~30% for
792 OMI under clear-sky conditions (Boersma et al., 2011; Bucsela et al., 2013). Individual data points
793 differ considerably, and OMI tends to be lower than Pandora over highly polluted areas with
794 spatially inhomogeneous NO₂. The comparison of OMI tropospheric NO₂ column retrievals with
795 columns derived from the aircraft spirals and surface data during the DISCOVER-AQ campaign
796 also suggests general agreement in spatial variation, but OMI values are about a factor of two
797 lower in polluted environments. This difference is due partly to inaccurate a priori assumptions,
798 but primarily to OMI's relatively large pixels. The use of observed NO₂ profiles as a priori
799 information reduces the bias from ~50% to 23%, on average. The Multiple-Axis Differential
800 Optical Absorption Spectrometer (MAX-DOAS) (e.g., Chan et al., 2019) or high spatial resolution
801 measurements from aircraft (e.g., Nowlan et al., 2016; Lamsal et al., 2017; Judd et al., 2019) would
802 provide a more comprehensive validation by mapping the NO₂ distributions over the complete
803 areas of aircraft spirals and the satellite FOVs.

804 In this study, we focused on improving the surface and cloud parameters in the NASA standard
805 NO₂ product retrievals. To further improve the retrieval accuracy, it is important to incorporate
806 improved retrieval methods and auxiliary information, such as high resolution a priori NO₂
807 profiles. For instance, current cloud algorithms based on the MLER model treat aerosols implicitly
808 by providing effective (cloud + aerosol) CRF and effective cloud OCP, both necessary inputs for
809 AMF calculations. Cloud effects on trace gas retrievals can be compromised by the unknown
810 aerosol effects, which lead to errors in AMF calculations. Therefore, the use of the GLER product
811 in the NO₂ algorithm will greatly benefit from an explicit accounting for aerosol effects,
812 particularly over polluted regions. We have recently developed an explicit and consistent aerosol
813 correction method which can be applied consistently in both the cloud and NO₂ retrievals
814 (Vasilkov et al. 2020); it uses a model of the aerosol optical properties from a global aerosol
815 assimilation system paired with radiative transfer calculations. This approach allows us to account
816 for aerosols within the OMI cloud and NO₂ algorithms with relatively small changes and will be
817 used in the next version of the NO₂ algorithm.

818

819 **Code/Data availability:** The Level-2 swath type column NO₂ products (OMNO2) is available
820 from the NASA Goddard Earth Sciences Data and Information Services Center (GES DISC)
821 website (https://disc.gsfc.nasa.gov/datasets/OMNO2G_003/summary). Other OMNO2-associated

822 NO₂ products such as the Level-2 gridded column product, OMNO2G, and the Level-3 gridded
823 column product, OMNO2d, both sampled at regular 0.25° latitude x 0.25° longitude wide grids are
824 distributed through the NASA GES-DISC
825 (https://disc.gsfc.nasa.gov/datasets/OMNO2d_003/summary) and GIOVANNI
826 (<https://giovanni.gsfc.nasa.gov/giovanni/>) websites. An additional high spatial resolution (0.1° x
827 0.1° latitude-longitude grid) OMNO2d product (OMNO2d_HR) is also made available through
828 the NASA AVDC website
829 (https://avdc.gsfc.nasa.gov/pub/data/satellite/Aura/OMI/V03/L3/OMNO2d_HR/). The AVDC
830 website also hosts overpass files for several hundred sites around the globe
831 (<https://avdc.gsfc.nasa.gov/pub/data/satellite/Aura/OMI/V03/L2OVP/OMNO2/>).

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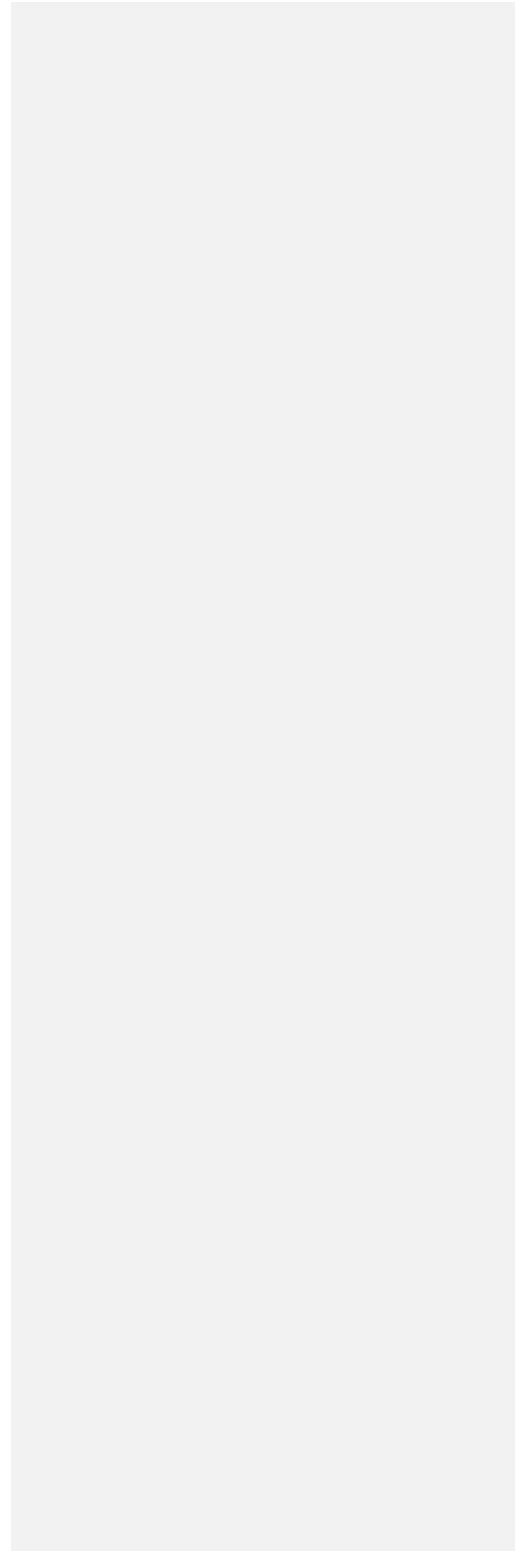
833 **Author contributions.** LNL, NAK, JJ, and AV designed the data analysis. WQ, ZF, NAK, DH,
834 and AV developed and evaluated the GLER product. EY, SM, AV, NAK, JJ, and BF developed
835 and evaluated the cloud product. LNL, NAK, SM, WHS, and EB have developed and evaluated
836 the NASA NO₂ Standard Product. LNL and SC conducted validation of the OMI NO₂ products
837 using Pandora and other independent observations. LNL, AV, SM, and ZF wrote the manuscript
838 with comments from all coauthors.

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841

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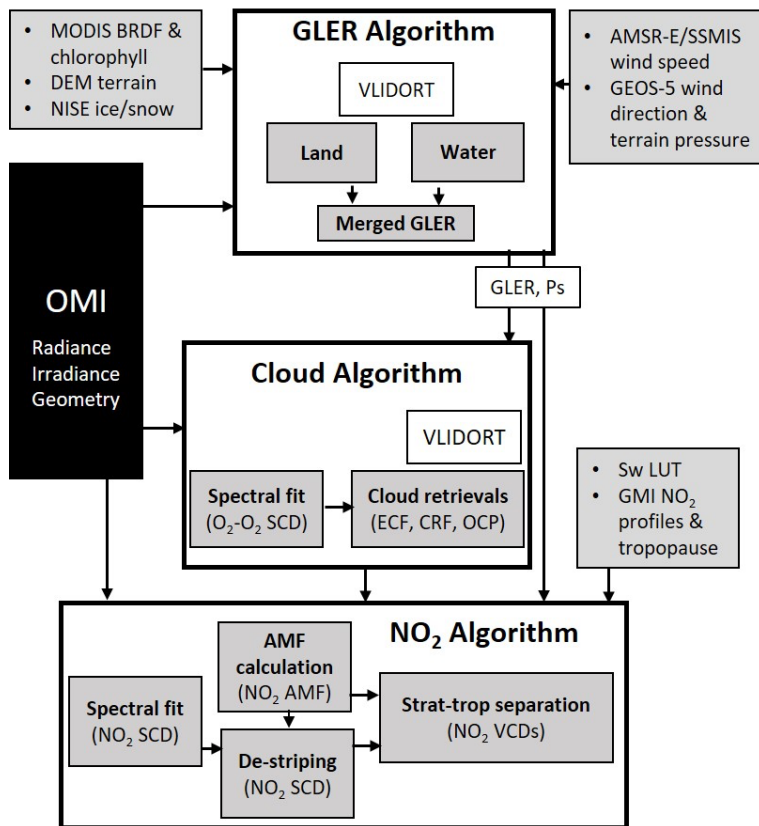
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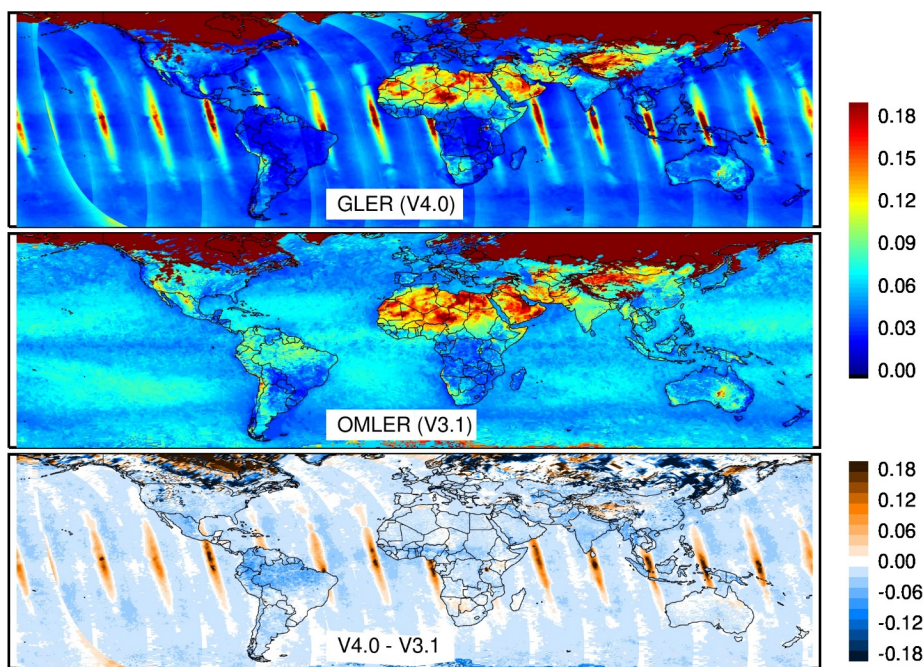
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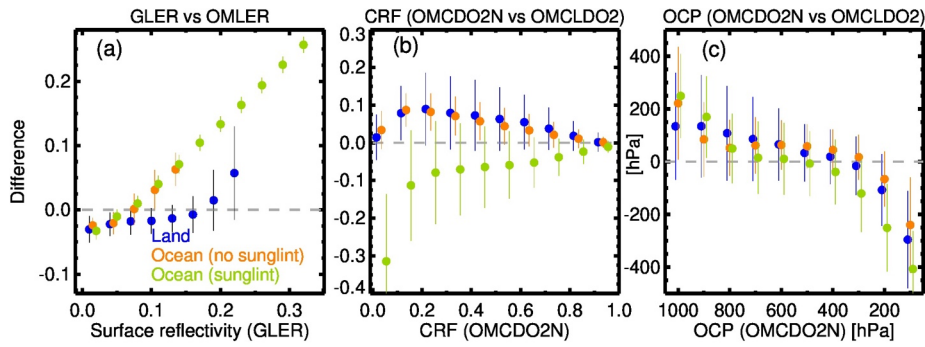
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1291 **Figure 1:** Schematic diagram of the NASA OMI NO₂ algorithm, version 4.0, which is coupled
 1292 with the cloud and geometry-dependent surface Lambertian Equivalent Reflectivity (GLER)
 1293 algorithms that ultimately produces stratospheric (strat) and tropospheric (trop) NO₂ vertical
 1294 column densities (VCDs). Acronyms used here are described in relevant sections below.
 1295 VLIDORT: Vector Linearized Discrete Ordinate Radiative Transfer; MODIS: Moderate

1296 Resolution Imaging Spectro-radiometer; BRDF: bidirectional reflectance distribution function;
1297 DEM: Digital Elevation Model; NISE: Near-real-time Ice and Snow Extent; AMSR-E: Advanced
1298 Microwave Scanning Radiometer for Earth Observing System (EOS); SSMIS: Special Sensor
1299 Microwave Imager / Sounder; GEOS-5: Goddard Earth Observing System, Version 5; Ps: surface
1300 (terrain) pressure over OMI pixel; ECF: Effective Cloud Fraction; CRF: Cloud Radiance Fraction;
1301 OCP: Optical Centroid Pressure; Sw: Scattering weight; LUT: Look-up table GMI: Global
1302 Modeling Initiative; AMF: Air Mass Factor; SCD: Slant Column Density.
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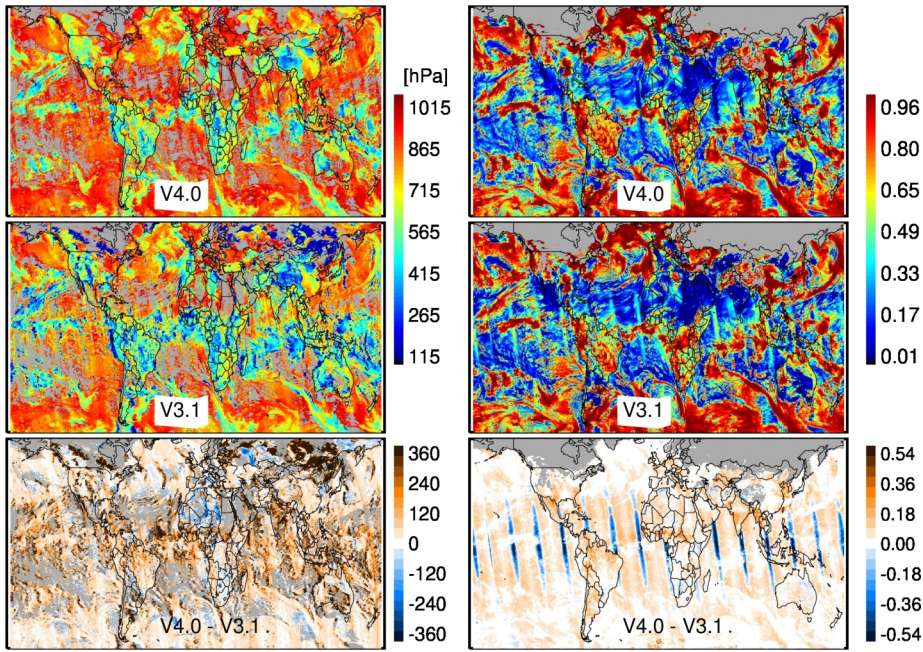
1304
1305 **Figure 2:** Surface reflectivity at 440 nm (top) derived using MODIS BRDF data with OMI
1306 geometry (GLER) on March 20, 2005 compared with (middle) OMI-based monthly LER
1307 climatology (OMLER) for the month of March (Kleipool et al., 2008). The bottom panel shows
1308 the difference between MODIS-based and climatological surface reflectivity data.



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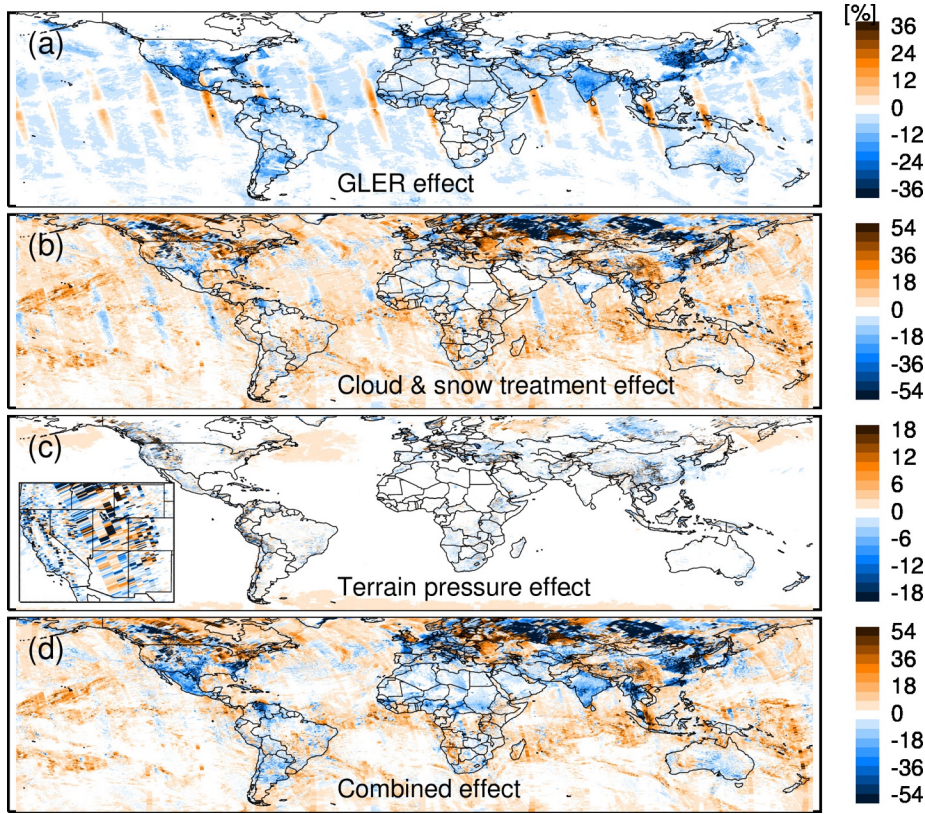
1310 **Figure 3:** Differences (V4.0 – V3.1) in (a) surface reflectivity, (b) cloud radiance fraction, and (c)
 1311 cloud optical centroid pressure for March 20, 2005, as used in V3.1 and V4.0 algorithms and
 1312 binned by the values of corresponding parameters from V4.0. Data are separated for land (blue)
 1313 and ocean surfaces, and by sunglint (green) and non-sunglint (orange) geometry over ocean. The
 1314 vertical bars represent the standard deviation for each bin of those parameters.

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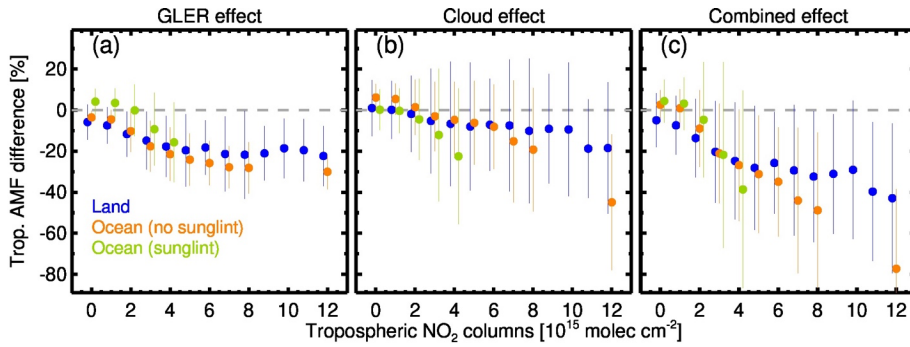
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1317 **Figure 4:** Cloud optical centroid pressure at 477 nm (left) and cloud radiance fraction at 440 nm
 1318 (right) retrieved for March 20, 2005 with OMNO2 V4.0 (top) and V3.1 (middle) algorithms,
 1319 respectively. The bottom rows show their differences. The gray color represents the OMI pixels
 1320 with retrieved cloud pressure equal to terrain pressure in V4.0 on the left and over snow/ice surface
 1321 identified by the NISE flag on the right.



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1323 **Figure 5:** Impact on tropospheric AMF (i.e., V4.0 – V3.1) from changes in (a) surface reflectivity,
 1324 (b) cloud and surface treatment, (c) terrain pressure, and (d) their combination on March 20, 2005.
 1325 The figure 5(c) inset shows zoomed view of impact over complex terrain in the western US.



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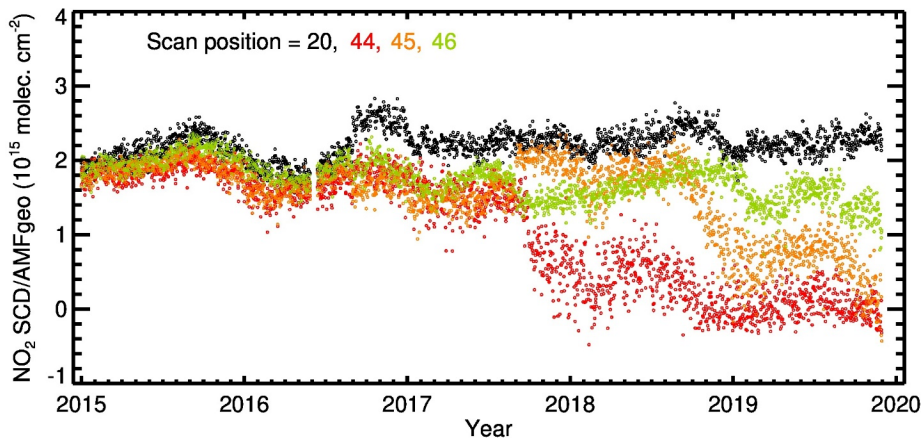
1327 **Figure 6:** The impact on tropospheric AMF (i.e., V4.0 – V3.1) from changes in (a) surface
 1328 reflectivity, (b) cloud, and (c) their combination for clear and partially cloudy scenes (CRF<0.5)
 1329 on March 20, 2005. Percent differences in tropospheric AMF are sorted by tropospheric NO₂
 1330 columns, separating them by land (blue) and ocean, and by sunglint (green) and non-sunglint
 1331 (orange) geometry over ocean. The vertical bars represent the standard deviations for the
 1332 tropospheric NO₂ column bins.

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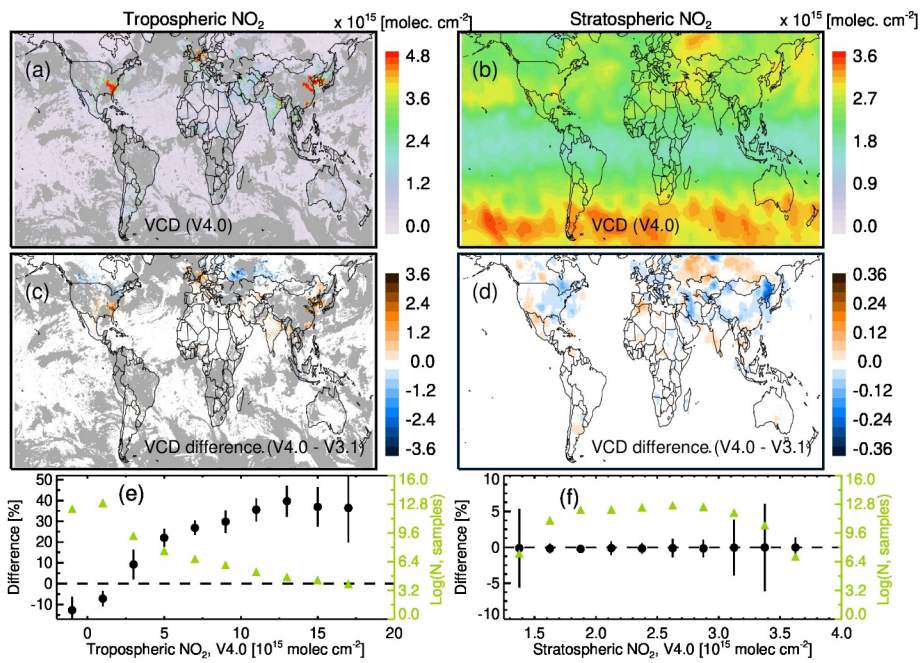
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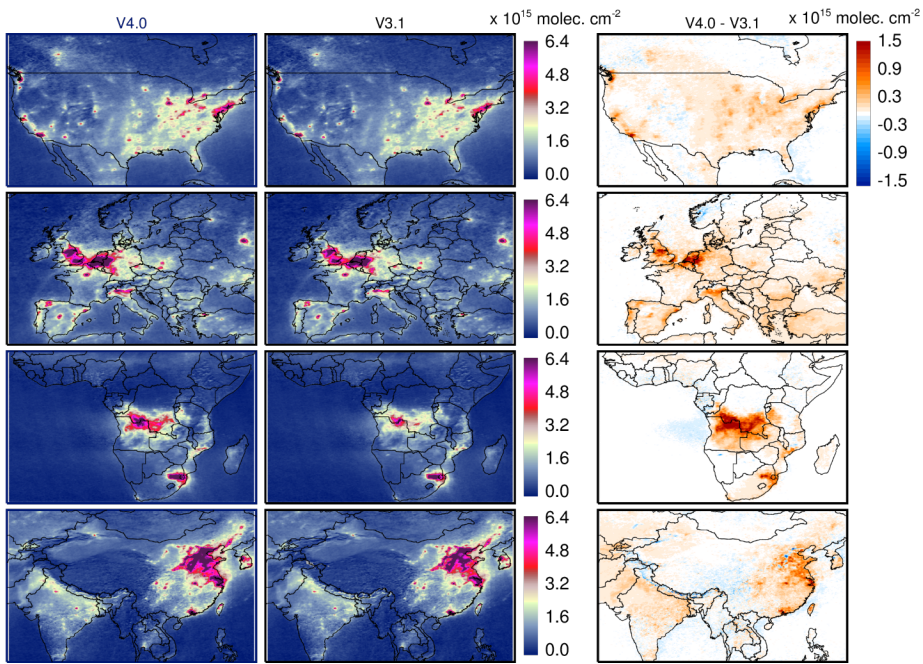
1338 **Figure 7:** The time series of OMI NO₂ SCD normalized by the geometric AMF for clear-sky and
 1339 partially cloudy conditions (CRF<0.5) over the Pacific Ocean. The data are separated by cross-
 1340 track scan position, comparing the presumably RA-free row 20 (black) with rows 44 (red), 45
 1341 (orange), and 46 (green). The row numbers are 0-based.

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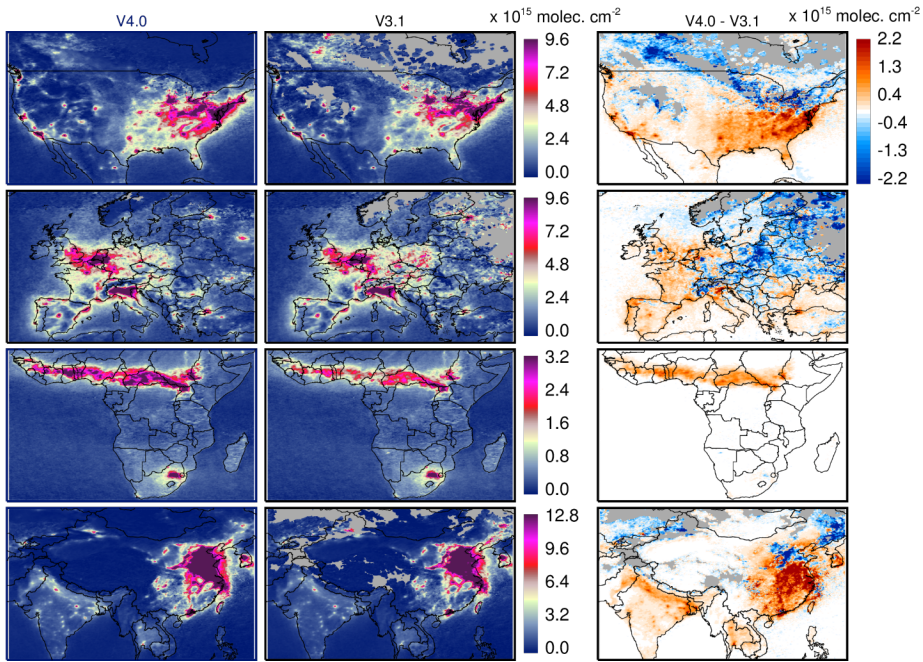
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1344 **Figure 8:** Tropospheric (a) and stratospheric (b) NO₂ VCD from V4.0 and their differences (c, d)
 1345 with V3.1 data (V4.0 – V3.1) for March 20, 2005. The gray color in the tropospheric NO₂ maps
 1346 represent cloudy areas (CRF>0.5). Bottom panels show average (black circles) and standard error
 1347 (vertical bars) of the relative difference, $100 \times (V4.0 - V3.1)/V3.1$, for tropospheric (e) and
 1348 stratospheric (f) NO₂ VCDs plotted as a function of respective NO₂ column amounts. The green
 1349 symbols represent the logarithm of the number of samples.



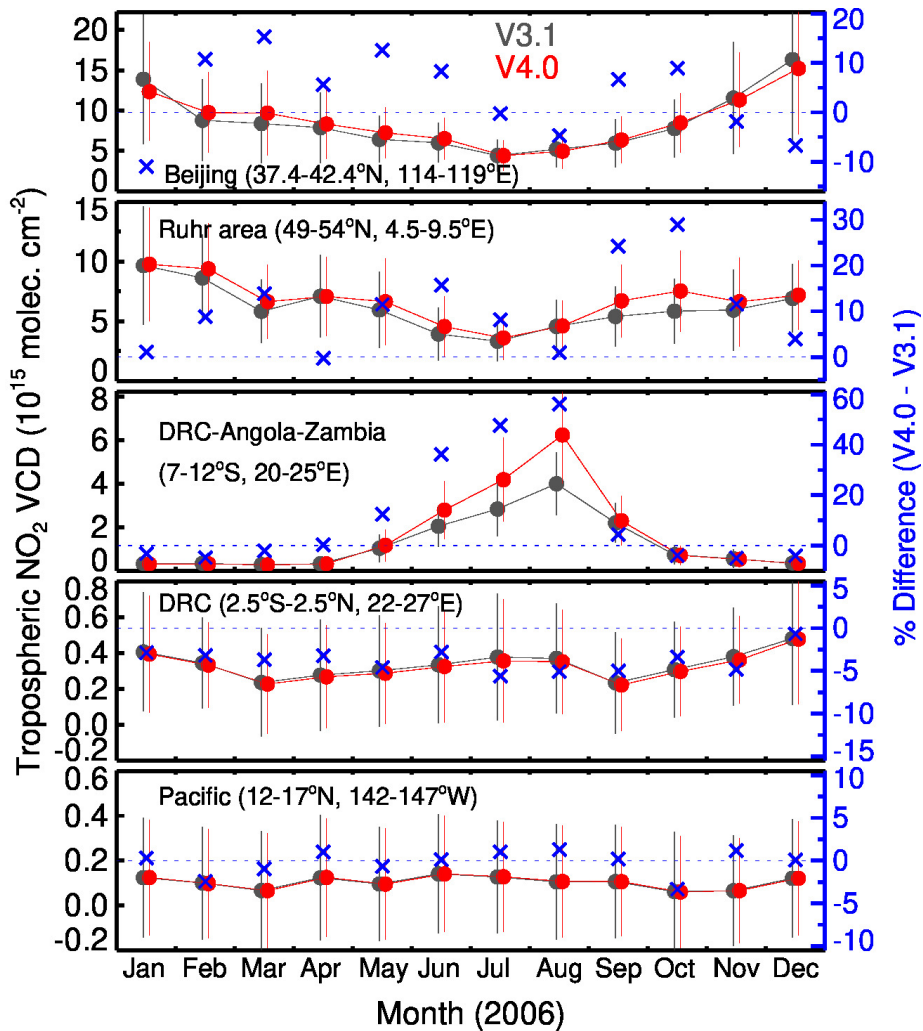
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1351 **Figure 9:** Three-month (June, July, August) average tropospheric NO₂ columns for low cloud
 1352 conditions (CRF<0.5) in 2005 over North America (1st row), Europe (2nd row), southern Africa
 1353 (3rd row), and Asia (4th row) from V4.0 (1st column), V3.1 (2nd column), and their difference (V4.0
 1354 – V3.1).



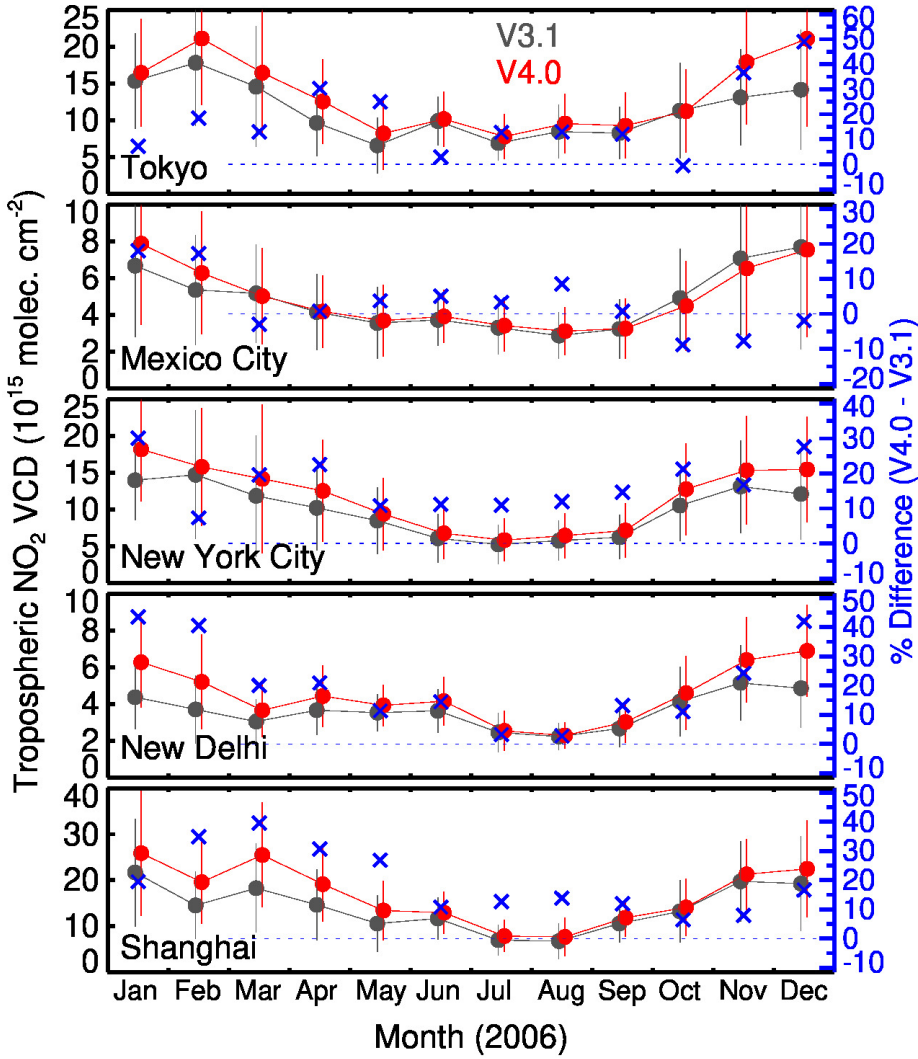
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 1356 **Figure 10:** Same as Figure 9, but for December, January, and February. The gray areas represent
 1357 a lack of good observations as determined by data quality flags.

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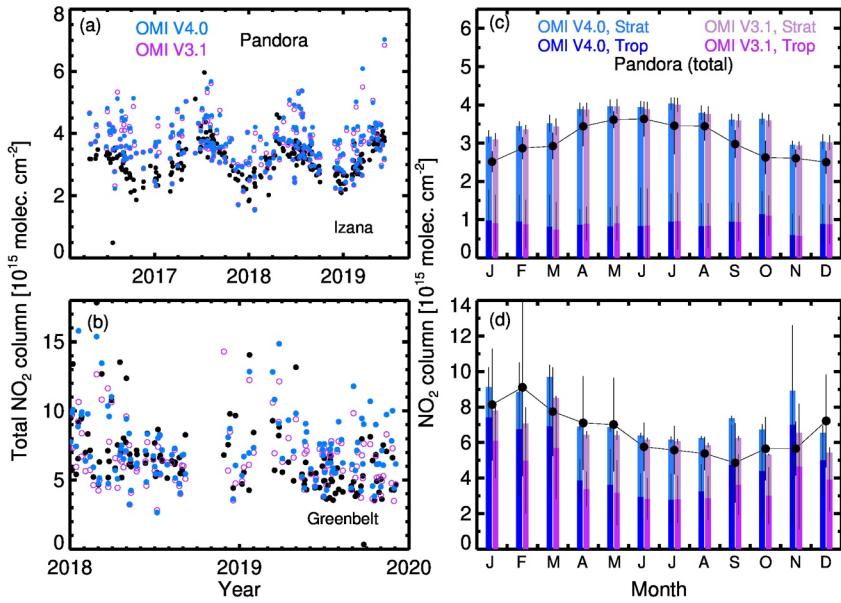
1371
 1372 **Figure 11:** Monthly average tropospheric NO₂ columns in 2006 calculated from V3.1 (black)
 1373 and V4.0 (red) data over selected 5° latitude × 5° longitude boxes from locations that are
 1374 dominated by either anthropogenic (Beijing, China and Ruhr area, Germany), biomass burning
 1375 (Democratic Republic of Congo (DRC), Angola, and Zambia), lightning (DRC), or no significant
 1376 (Pacific) NO_x sources. The vertical bars show the monthly standard deviation. The blue symbols

1377 that correspond to the right y-axis show monthly relative difference (in percent) between V4.0
 1378 and V3.1.



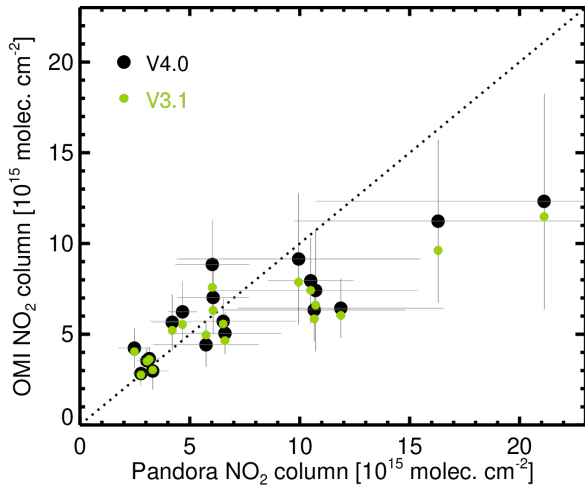
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 1380 **Figure 12:** Same as Figure 11, but for 1° latitude × 1° longitude wide box over the five highly
 1381 populated and polluted cities.
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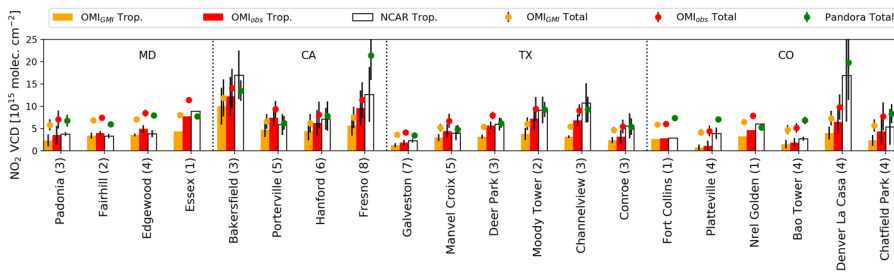


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1386 **Figure 13:** The time series of NO₂ total columns retrieved from Pandora (black circles) and OMI
1387 at (a) Izaña, Spain and (b) Greenbelt, Maryland, USA, with the OMI retrievals represented by the
1388 filled blue (V4.0) and open purple (V3.1) circles. Right panels show monthly variation of NO₂
1389 total columns at (c) Izaña for 2016–2019 and (d) Greenbelt for 2018–2019, as calculated from
1390 Pandora (black line with filled circles) and OMI measurements (bars). OMI NO₂ total columns

1391 retrieved with V4.0 (blue) and V3.1 (purple) are separated into tropospheric and stratospheric
 1392 components. The vertical lines represent the standard deviation from the average.
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 1395 **Figure 14:** The scatter plot of Pandora versus OMI V4.0 (black) and V3.1 (green) average total
 1396 column NO₂ for 18 Pandora sites. The vertical and horizontal lines represent the standard
 1397 deviations for Pandora and OMI, respectively. The dotted line represents the 1:1 relationship.
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 1400 **Figure 15:** Site average total (circles) and tropospheric (bars) NO₂ column data from P-3B spiral
 1401 (white bars), Pandora (green circles), and OMI (orange and red). The OMI tropospheric columns

1402 are derived using GMI-simulated (OMI_{GMI} , orange) and P-3B (OMI_{obs} , red) NO_2 profiles. The
 1403 vertical bars for sites with over 2 observations represent the standard deviations.

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1405 **Table 1.** Summary of algorithms and approaches used in the NASA NO_2 algorithms versions 3.1 and 4.0

Algorithm Component		Version 3.1 (Released 2018)	Version 4.0 (Released 2019)
Spectral fit	NO_2	Modified DOAS fit (Marchenko et al, 2015)	Same as in V3.1
	O_2-O_2	DOAS fit from KNMI (Veeffkind et al, 2016)	Modified DOAS fit (Vasilkov et al, 2018)
AMF	Terrain reflectivity	Monthly climatology (Kleipool et al., 2008)	Daily GLER data (Vasilkov et al., 2017; Qin et al., 2019; Fasnacht et al., 2019)
	Terrain pressure	At pixel center (calculated from terrain height and GMI terrain pressure)	Average over pixel (calculated from terrain height and GMI terrain pressure)
	Cloud pressure and fraction	Operational O_2-O_2 cloud product (OMCLDO2) v2.0 (Veeffkind et al., 2016)	New O_2-O_2 cloud product (OMCDO2N) derived using the GLER product (Vasilkov et al., 2018)
	Cloud radiance fraction	Calculated at 440 nm from OMCLDO2 v2.0 cloud fraction using VLIDORT-based look-up-table	Calculated at 440 nm from OMCDO2N cloud fraction using VLIDORT-based look-up-table
	Scattering weights	TOMRAD-based look-up table	Same as in V3.1
	A-priori NO_2 profiles	GMI-derived yearly varying monthly mean profiles at $1^\circ \times 1.25^\circ$	Same as in V3.1
Stripe correction		Based on data from $30^\circ S$ - $5^\circ N$ of 5 orbits	Same as in V3.1
Stratosphere-troposphere separation		Spatial filtering and interpolation (Bucsela et al., 2013), but with minor changes in box sizes	Same as in V3.1

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1411 **Table 2:** Comparison of OMI V3.1 and V4.0 NO₂ retrievals based on a priori NO₂ profiles from
 1412 GMI (OMI) and P-3B aircraft observations (OMI_{obs}) with P-3B, observations during the
 1413 DISCOVER-AQ field campaign. Shown here are correlation coefficient (r) and mean difference,
 1414 which is calculated as OMI minus validation data.

Deleted: V4.0
 Deleted: and Pandora column

<u>Campaign locations</u>	<u>V3.1</u>				<u>V4.0</u>			
	<u>OMI vs P-3B</u>		<u>OMI_{obs} vs P-3B</u>		<u>OMI vs P-3B</u>		<u>OMI_{obs} vs P-3B</u>	
	<u>Mean diff. (%)</u>	<u>r</u>	<u>Mean diff. (%)</u>	<u>r</u>	<u>Mean diff. (%)</u>	<u>r</u>	<u>Mean diff. (%)</u>	<u>r</u>
<u>Marvland</u>	<u>-40.7</u>	<u>0.39</u>	<u>-23.7</u>	<u>0.61</u>	<u>-33.9</u>	<u>0.40</u>	<u>-5.0</u>	<u>0.69</u>
<u>California</u>	<u>-53.8</u>	<u>0.77</u>	<u>-42.4</u>	<u>0.73</u>	<u>-44.6</u>	<u>0.81</u>	<u>-18.7</u>	<u>0.83</u>
<u>Texas</u>	<u>-54.9</u>	<u>0.65</u>	<u>-25.5</u>	<u>0.82</u>	<u>-53.7</u>	<u>0.68</u>	<u>-18.8</u>	<u>0.85</u>
<u>Colorado</u>	<u>-67.5</u>	<u>0.73</u>	<u>-54.2</u>	<u>0.70</u>	<u>-66.2</u>	<u>0.70</u>	<u>-45.4</u>	<u>0.70</u>
<u>All</u>	<u>-55.5</u>	<u>0.75</u>	<u>-38.8</u>	<u>0.74</u>	<u>-50.3</u>	<u>0.74</u>	<u>-23.1</u>	<u>0.79</u>

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 1416 **Table 3:** Same as Table 2, but with Pandora NO₂ column observations.

<u>Campaign locations</u>	<u>V3.1</u>				<u>V4.0</u>			
	<u>OMI vs Pandora</u>		<u>OMI_{obs} vs Pandora</u>		<u>OMI vs Pandora</u>		<u>OMI_{obs} vs Pandora</u>	
	<u>Mean diff. (%)</u>	<u>r</u>	<u>Mean diff. (%)</u>	<u>r</u>	<u>Mean diff. (%)</u>	<u>r</u>	<u>Mean diff. (%)</u>	<u>r</u>
<u>Marvland</u>	<u>-21.8</u>	<u>0.21</u>	<u>2.4</u>	<u>0.30</u>	<u>-13.0</u>	<u>0.13</u>	<u>25.6</u>	<u>0.27</u>
<u>California</u>	<u>-58.5</u>	<u>0.24</u>	<u>-47.9</u>	<u>0.20</u>	<u>-49.8</u>	<u>0.33</u>	<u>-24.6</u>	<u>0.49</u>
<u>Texas</u>	<u>-26.9</u>	<u>0.65</u>	<u>21.6</u>	<u>0.81</u>	<u>-25.3</u>	<u>0.67</u>	<u>31.7</u>	<u>0.81</u>
<u>Colorado</u>	<u>-68.2</u>	<u>0.72</u>	<u>-55.2</u>	<u>0.69</u>	<u>-67.6</u>	<u>0.70</u>	<u>-46.7</u>	<u>0.65</u>
<u>All</u>	<u>-52.3</u>	<u>0.53</u>	<u>-33.5</u>	<u>0.47</u>	<u>-46.9</u>	<u>0.56</u>	<u>-16.3</u>	<u>0.63</u>

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