Reply to the comments provided by Anonymous Referee #1 on the manuscript amt-2020-228 entitled "LiSBOA: LiDAR Statistical Barnes Objective Analysis for optimal design of LiDAR scans and retrieval of wind statistics. Part II: Applications to ~~synthetic and~~ real LiDAR data of wind turbine wakes ", by S. Letizia, L. Zhan and G.V. Iungo

The authors sincerely thank the referee for the thorough review and the detailed comments. Our replies are reported in the following. References to pages and lines are based on the revised marked-up manuscript.

Comments:

In this study, the authors build on the theoretical work discussed and presented in the companion part 1 paper for reconstructing the wind fields downstream of wind turbines to measure the properties of the turbine wakes. Specifically, the velocity deficit and turbulence intensity are measured. The authors first demonstrate this capability using a virtual lidar simulator to quantify the expected errors, then also demonstrate the capability on measurements near a wind farm in Colorado. The results look compelling, and there is some comparison with in situ measurements to validate the wind field reconstruction here anemometers were installed. Overall, this is a nice demonstration of the novel technique and the analysis of the wind turbine wakes will be of interest to those in the wind energy field. Still, the virtual lidar simulator needs to be revised as there are several modifications that could be made to it to obtain more realistic results, which will yield a more accurate understanding of how to interpret real-world measurements. This analysis will require significant additional data analysis. Thus, I recommend major revisions to this manuscript after which it may be acceptable for full publication in AMT.
R: We thank the Reviewer for the positive feedback. The manuscript has been updated to address the comments arisen. It is noteworthy that the virtual LiDAR section has been moved to the companion paper Part I.

a)   *Line 15: It would be helpful to include all the symbols used in the paper in this list, not just those used in LiSBOA.*
R: We have added all the symbols to the nomenclature as suggested by the Reviewer.

b)   *Line 127: This should be projection of the wind vector, not velocity, onto the laser beam to really represent a lidar measurement.*
R: That sentence has been revised (L 507 of Part I): "This method minimizes the turbulence damping while retaining the geometry of the scan and the projection of the wind velocity vector onto the laser beam direction".

c)   *Eq. 1: What is u here? Since there is no arrow over it, I'll assume it is just the streamwise component of the wind within the LES simulator, and not the full 3-D vector. To truly simulate a measurement, it should be the full 3-D wind vector as the radial velocity is not only affected by the streamwise component, but also the vertical and crosswise components (whose means are zero, but instantaneous turbulent perturbations are not). This may have significant effects on the results.*

R: The symbol $\boldsymbol{u}$ **(Bold fonts)** indicates the 3D wind velocity vector. At L 513 of Part I, it is now reported, "… $\boldsymbol{u}$ is the instantaneous velocity vector and the dot indicates scalar product". Also, at L 163 of Part I it is reported: "…(bold symbols indicate vectorial quantities)…".

d)   *Line 157: Why is a freeslip enforced on the bottom of the domain? That does not produce a realistic logarithmic wind profile.*
R: That's correct. For the sake of generality, we used LES data with uniform incoming velocity to avoid typical wake distortion induced by wind shear and providing clearer data analysis. More realistic scenarios are then considered through the LiDAR data presented in Sect. 3 of Part II. At L 453 of Part I, it is now reported "For the sake of generality, a uniform incoming wind is generated by imposing freeslip conditions at the top and bottom of the numerical domain."

e)   *Line 164-166: The text becomes very confusing to this reader around here. The authors should make it clear that the optimal design of the lidar scan is based on the flow characteristics. Thus, the flow characteristics shown and discussed in the next several paragraphs come from the raw LES field. It might be helpful to make the analysis of the LES flow statistics its own subsection to provide clear separation from the lidar simulator itself. It was confusing to me to see lidar simulator results in Fig. 1 immediately followed by analysis of the LES field, before returning to the lidar simulator again. Sect. 2 could benefit from some reorganization as well, to mitigate alternating between the two separate subjects.*
R: We thank the Reviewer for this useful comment. This section has been re-organized by describing in detail the LES dataset and respective statistics first, then presenting the results obtained through the virtual LiDAR and the LiSBOA.

f) *Line 177: Is the integral time scale calculated using a time series of the streamwise velocity in the LES field?*
R: That is correct. At L 467 of Part I, it is now reported: "The integral time-scale is evaluated integrating the sample biased autocorrelation function of the time series of u up to the first zero-crossing (Zieba and Ramza, 2011).

g) *Line 185, Fig 2, Fig. 3: Clarify what is meant by the spectra (and other features) are averaged azimuthally. What does that mean exactly?*
R: By leveraging the wake axisymmetry (see e.g. Iungo et al. 2013), velocity statistics and spectra are averaged azimuthally for the sake of clarity and to increase statistical significance. Specifically, spectra of velocity and turbulence intensity are calculated in the 3D Fourier space, then averaged azimuthally by leveraging the wake axisymmetry and reported as a function of streamwise and radial wavenumbers, $[k_x, k_r]$. Similarly, the velocity statistics calculated at each point of the domain are averaged in the azimuthal direction.

h) *Line 203: Just to be clear, the constant angular resolution $\Delta\theta$ is for both azimuth and elevation, correct? That is $\Delta\theta = \Delta\beta$.*
R: The Reviewer is right. This is now better clarified in the manuscript at L 544 of Part I.

i)   *Line 225: State the equation for the equivalent velocity approach.*
R: The equation for the streamwise equivalent velocity is now added to the manuscript (Eq. (18)).

j) Fig. 6/7 (and discussion of it): It would be help to indicate over how much time these statistics are computed over. Based on the statistics, I think it's 160 sec but I may be wrong.

R: The statistics are calculated over the whole sampling time ($T = 750$ s, see L 454 of Part I). At L 592 of Part I, it is now reported: "The 3D fields of mean velocity and turbulence intensity calculated over $T = 750$ s through first optimal configuration, (i.e. $\Delta\theta = 2.5°, \sigma = 1/4, m = 5$), are rendered in Figs. 13 and 14, respectively".

k) Sect 2: Doppler wind lidar measurements are subject to error that increases with decreasing SNR; as SNR typically decreases with range, the velocity measurement also becomes less accurate. This error should be considered within the wind lidar simulator for more realistic results of true measurements.

R: We agree with the Reviewer that the accuracy of the LiDAR measurements is highly dependent on SNR. However, in the present analysis, we only consider quality-controlled LiDAR data, namely the data are initially filtered based on SNR (see e.g Dynamic Filter, Beck and Kühn 2017). Indeed, this analysis aims to assess the capabilities and accuracy of the LiSBOA, without including other sources of error. At L 531 of Part I, it is now reported: "It is noteworthy that the accuracy estimated through the present analysis only includes error due to the sampling in time and space, and data retrieval. Other error sources, such as the accuracy of the instrument (Rye and Hardesty, 1993; O'Connor, 2010), are not included and should be coupled to the LiSBOA estimates for a more general error quantification (Wheeler and Ganji, 2010b)".

l) Line 350: Clarify how the wind speed variability is corrected by making the LOS velocity non-dimensional, this is not obvious to the reader.

R: The wind velocity is made non-dimensional by dividing by the wind data by incoming wind velocity from met tower #1. Now, it is clarified in the text at L 209: "Specifically, the wind speed variability is corrected by making the line-of-sight velocity non-dimensional with the incoming wind speed. To this aim, the instantaneous velocity field measured by the LiDAR is divided by the synchronized mean wind speed obtained from the met tower #1, as explained above."

m) Figure 17: The timestamps above each PPI plot (panels c-e) are confusing and should be removed. It's unclear why each time stamps spans >6 hours.

R: Thank you for pointing out this mistake. Now the time stamp has been removed.

Editorial comments:

a)   Line 315: Need a space between 65deg and with.

R: We fixed the typo, thank you.

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Reply to the comments provided by Anonymous Referee #2 on the manuscript amt-2020-228 entitled "LiSBOA: LiDAR Statistical Barnes Objective Analysis for optimal design of LiDAR scans and retrieval of wind statistics. Part II: Applications to ~~synthetic and~~ real LiDAR data of wind turbine wakes", by S. Letizia, L. Zhan and G.V. Iungo

The authors thank the referee for the thorough review and the detailed comments. Our replies are reported in the following. References to pages and lines are based on the revised marked-up manuscript.

Comments:

This manuscript applies the LiSBOA algorithm introduced in the companion paper (Part I) to retrieve wind speed and turbulence intensity from Doppler lidar measurements in wind turbine wakes. A LES data set is used to investigate the quality of LiSBOA algorithm and LiSBOA retrievals from ground-based Doppler lidar measurements are compared to anemometer measurements. This study is within the scope of AMT, but there are some major issues that need to be addressed before it can be accepted. Overall, this manuscript is rather long and has a large number of figures. I suggest to move the LES discussion (Section 2) to Part I (where it can replace the synthetic data) to keep this manuscript focused on the actual measurements.

R: We appreciate the positive feedback on the assessment of the LiSBOA. We implemented the modifications suggested by the Reviewer to improve the quality of the manuscript. The virtual LiDAR section has been moved to Part I, as recommended.

Major comments:

1.   L160 Some measurements have been conducted with lidars located at the nacelle, but in this study only ground-based lidars are utilised. Please use the LES to assess the quality of ground-based lidar measurements.

R: The reviewer is right that the experimental data analyzed in this manuscript were collected only through ground-based LiDARs. However, performing the LiSBOA analysis of the LES dataset by simulating a ground-based LiDAR will only imply results and figures more difficult to interpret due to the inclination angle of the PPI measurement planes due to the necessity of limiting the elevation angle, necessary to apply the equivalent velocity approach (Zhan *et al.* 2019, 2020). In the sample figure below, results equivalent to those obtained for the nacelle-mounted LiDAR of Fig. 13-14 for step-stare LiDAR placed 1000 m ($\sim 8\,D$) downstream are reported. The AE_{95} is 4.9% and 5.1% for this optimal configuration, so just slightly higher than the error obtained for nacelle-mounted LiDAR. Such error is nevertheless sensitive to the location of the ground-based LiDAR. Since the scope of the virtual LiDAR analysis is to assess the accuracy of the LiSBOA for a widely-used wind energy application (e.g. Trujillo et al., 2010, Fuertes et al., 2018, Reiwardt et al., 2020), we would rather keep the analysis of the LES data by simulating a nacelle-mounted LiDAR to generate more compelling and general results for the assessment, as those reported in Figs. 10, 13, 14, 16, and 17. To underscore that the error is case-specific, at L 437 of Part I, it is now reported "The LiSBOA algorithm is applied to a synthetic dataset generated through the virtual LiDAR technique to assess accuracy in the calculation of statistics for a wind turbine wake probed through a scanning LiDAR installed at the turbine nacelle".
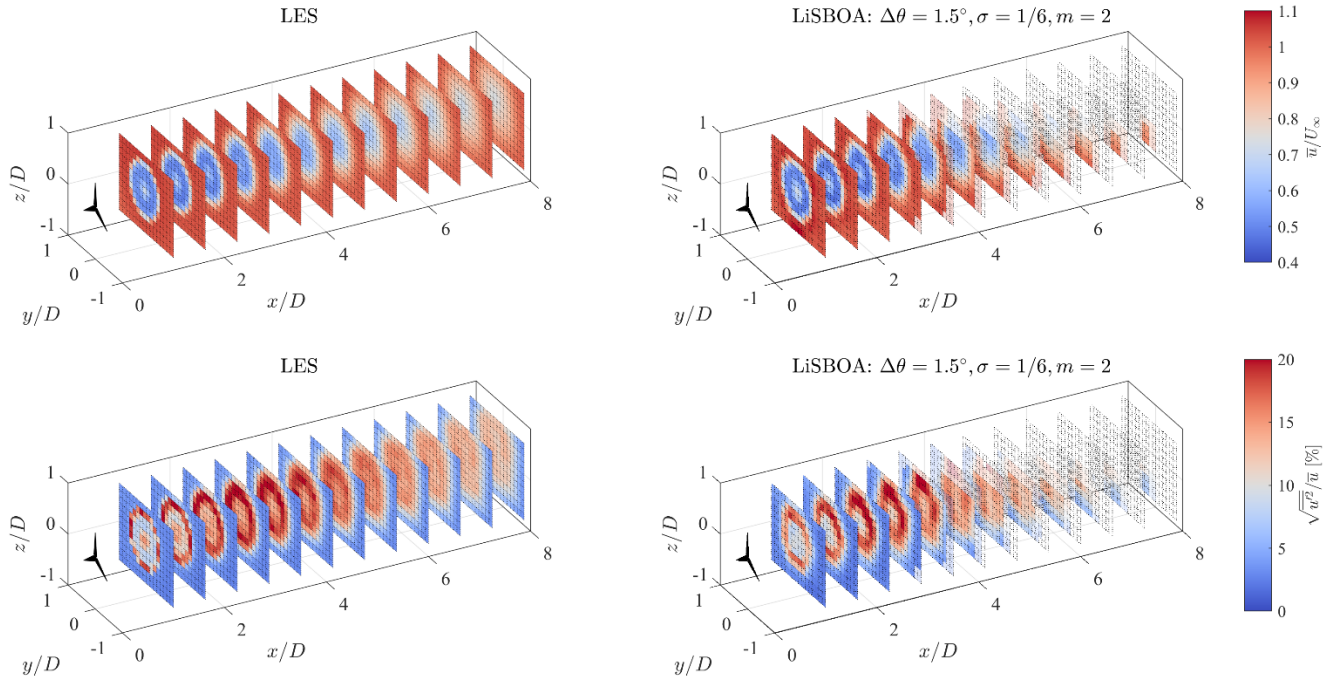
3D rendering of mean streamwise velocity and turbulence intensity retrieved through LiBSOA from a virtual step-stare LiDAR dataset installed 1000 m downstream the turbine described in the paper.

2.   L165-198 Determining the flow characteristics for LiSBOA is not trivial, as seen here and in Figs. 2-3. Please test LiSBOA sensitivity to the flow characteristics. In atmospheric applications they may not be known with good enough accuracy, or they may vary during measurements.
R: We agree with the Reviewer that the sensitivity of the LiSBOA to the input parameters should be discussed more in detail. A new section has been devoted to this topic, i.e. Sect. 6 in Part I, where the effects of the uncertainty on the flow characteristics is assessed and the robustness of the LiSBOA is discussed.

Specific comments

3.   L17 "angular resolution" which angle?
R: We corrected the sentence, it is the resolution in the azimuth angle.

4.   L156 Please state resolution in metres.
R: Thank you for pointing out this missing information. We expressed the resolution in rotor diameters instead, for the sake of generality (L 451 of Part I).

5.   L157 What is "A radiative condition is imposed at the outlet"?
R: We added the reference Orlanski 1976 for the radiative (or open boundary) boundary condition. Also called open boundary condition, it has the following form (Debnath et al., 2017):

$$\frac{\partial u_i}{\partial t} + C_i \frac{\partial u_i}{\partial x_i} = 0, i = 1,2,3$$

Where C is called phase velocity. It has been used in LES studies of turbulent wakes (e.g. Vollmer et al., 2016, Ciri et al, 2017, Debnath et al., 2017).

6.   L157 "freeslip is enforced on the top and bottom" This doesn't create a realistic wind profile. It may not hamper the use of the LES to validate LiSBOA algorithm but should be justified in the text.
R: That's correct. For the sake of generality, we used LES data with uniform incoming velocity to avoid typical wake distortion induced by wind shear and providing clearer data analysis. More realistic scenarios are then considered through the LiDAR data presented in Sect. 3, Part II. At L 453 of Part I, it is now reported "For the sake of generality, a uniform incoming wind is generated by imposing freeslip conditions at the top and bottom of the numerical domain."

7.   Fig. 1. Please state the integration time per radial measurement for panels b-d.
R: We added more information about the scan parameter in the caption and the text. In the caption of Fig. 10 in Part I, it is now reported: "Snapshot at the hub-height horizontal plane of the wake generated by the 5-MW NREL reference wind turbine: **(a)** LES streamwise velocity; **(b)** ideal virtual LiDAR with angular resolution $\Delta\theta = 2.5°$, zero elevation, accumulation time $\tau_a = 0.5$ s, gate length $\Delta r = 25$ m; **(c)** step-stare virtual LiDAR (same settings); **(d)** continuous mode virtual LiDAR (same settings)."

8.   L211 Please make it clear that the aliasing here is an issue for LiSBOA retrieval, not for the lidar itself.
R: That's correct. Correct, however now that part has been moved to Part I, so that the theory and virtual LiDAR descriptions are in the same manuscript, so this discussion should be clearer.

9.   L215 What is the total sampling time?
R: The total sampling time is 750 s, which is reported at L 454 and reported again now at L 592 of Part I.

10.   L226 Please define "equivalent velocity approach".
R: We added the equation for equivalent velocity as requested (Eq. 18) as suggested.

11.   L227 "turbulence intensity" could be defined at the first use.
R: The definition of turbulence intensity is now reported at L 103 of Part I.

12.   Table 2. Please give the actual operating specifications used in this study. E.g. "Scanning mode Step-stare or continuous", "Frequency [kHz] 10-40", "Minimum gate length [m]", "Maximum range [m]" do not tell what settings were actually used.
R: The table has been revised as suggested.

13.   Fig. 13. Is this relevant information for this study? If not, please remove.
R: The figure is cited at L 207 when discussing the stationarity of the coefficient of thrust for the selected subset of data, so we would prefer to keep it.

14.   L317 Which SNR limit does this correspond to?
R: The LiDAR data were filtered with the dynamic filter proposed by Beck and Kühn, 2018, which uses "normalized SNR and radial velocity" and a probabilistic approach to select valid data. Furthermore, we added an additional threshold of -18 dB for the SNR, which is the recommended value for Halo (Manninen et al., 2016).

15.   L333-335 Please see Manninen et al. (2016) and Vakkari et al. (2019) for postprocessing Halo Stream Line data.
R: We thank you the Reviewer for suggesting these references, which are already familiar to us. In these papers, a correction method to discriminate the LiDAR signal between instrumental noise, cloud and aerosol signal is proposed. Our data were collected at lower heights and much higher SNR and for a range lower than 1300 m, for which the dynamic filter proposed by Beck and Kühn, 2018 performs satisfactorily.

16.   L444 Please check "exposes the rotor to a non-homogeneous flow resulting during off-design operations"
R: Thank you for spotting this error, now it has been fixed. At L 305, it is now reported: "exposes the rotor to a non-homogeneous flow resulting in a severely off-design operation".

17.   L508-509 "This analysis has also confirmed that the optimal scanning strategy identified by the LiSBOA has been that producing the most accurate flow statistics." This was not shown. Especially, LiSBOA was not compared to any other retrieval from lidar data. Also, on L377-378 the authors state "Instead, an a posteriori analysis of the statistics retrieved is recommended to select the best sigma-m values."
R: The Reviewer is right, and these statements have been removed.

18.   L516-517 "The mean velocity and turbulence intensity extracted 1D upstream of the rotors have agreed well with the values provided by the nacelle anemometers, with maximum discrepancies as low as 3%." On the other hand, Fig. 21 indicates >10% differences in retrieved turbulence intensity depending on the parameters selected for LiSBOA. How well does the upstream comparison represent LiSBOA performance?
R: The error is expressed as a percentage of the incoming wind speed for velocity and in absolute terms for the turbulence intensity, because TI is already a non-dimensional quantity (e.g. TI=10% and TI=12% would have a 2% error). The agreement with the SCADA anemometer (i.e. upstream flow of the individual turbine) is a good parameter to assess the performance of the LiSBOA, since the flow under investigation is perturbed by wakes, except for the leading turbine F01. The most challenging quantity to reproduce is the turbulence intensity, which is indeed estimated satisfactorily by the LiSBOA. To clarify better this aspect, at L 377it is now reported: "The mean velocity and turbulence intensity extracted 1D upstream of the rotors have agreed well with the values provided by the nacelle anemometers, with maximum discrepancies as low as 3% of the undisturbed wind speed for the mean velocity and 3% (in absolute terms) for the turbulence intensity". In the abstract as well, a L 12: "Maximum discrepancies as low as 3% for the mean velocity (with respect to the freestream velocity) and turbulence intensity (in absolute terms) endorse the application of the LiSBOA for LiDAR-based wind resource assessment and diagnostic surveys for wind farms."

19.   L520-521 "Two noticeable advantages of the LiSBOA arise from the present work: first, the LiSBOA allows a straightforward yet effective design of LiDAR scans, which exploits only basic knowledge about the flow under investigation and the LiDARs used." This seems quite optimistic statement to me. The required "knowledge about the flow" is not really basic. For scan design, the integration time per measurement and elevation angle(s) of the PPI scans are not given by LiSBOA. When these are decided, LiSBOA does optimise the azimuth angle step. Furthermore, the requirement of stationary flow over an extended period (an hour or more) is a serious limitation.

R: We understand the comment and we toned down the statement that now reads (L 382): "Two noticeable advantages of the LiSBOA arise from the present work: first, once the wavelengths of interest and the LiDAR basic setup are selected, the LiSBOA allows a systematic and effective design of LiDAR scans, which includes all the essential information of the flow under investigation". Please refer to the newly added Sect. 6 in part I for a discussion about the sensitivity of the algorithm to the inputs and the issues connected with non-stationarity.

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LiSBOA: LiDAR Statistical Barnes Objective Analysis for optimal design of LiDAR scans and retrieval of wind statistics. Part II: Applications to ~~synthetic and real~~ LiDAR data measurements of wind turbine wakes

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Abstract. The LiDAR Statistical Barnes Objective Analysis (LiSBOA), presented in Letizia et al. (2020), is a procedure for the optimal design of LiDAR scans and calculation over a Cartesian grid of the statistical moments of the velocity field. ~~The LiSBOA is applied to LiDAR data collected in the wake of wind turbines to reconstruct mean and turbulence intensity of the wind velocity field. The proposed procedure is firstly tested for a numerical dataset obtained by means of the virtual LiDAR technique applied to the data obtained from a large eddy simulation (LES). The optimal sampling parameters for a scanning Doppler pulsed wind LiDAR are retrieved from the LiSBOA, then the estimated statistics are calculated showing a maximum error of about 4% for both the normalized mean velocity and the turbulence intensity. Subsequently,~~ LiDAR data collected during a field campaign conducted at a wind farm in complex terrain are analyzed through the LiSBOA for two different tests. In the first case, the wake velocity fields of four utility-scale turbines are reconstructed on a 3D grid, showing the capability of the LiSBOA to capture complex flow features, such as high-speed jet around the nacelle and the wake turbulent shear layers. For the second case, the statistics of the wakes generated by four interacting turbines are calculated over a 2D Cartesian grid and compared to the measurements provided by the nacelle-mounted anemometers. Maximum discrepancies as low as 3% for the ~~normalized~~ mean velocity (with respect to the freestream velocity) and turbulence intensity (in absolute terms) endorse the application of the LiSBOA for LiDAR-based wind resource assessment and diagnostic surveys for wind farms.

15   List of symbols

- x, y, z: streawmise, spanwise, vertical Cartesian coordinates

- t: time

- ρ: air density

20
- u, v, w: streamwise, spanwise, vertical velocity components

- L: number of realizations/scans

- θ: azimuth angle

- β: elevation angle

- $\Delta\theta$: azimuth-angle resolution

25
- τ_a: accumulation time

- Δr: gate length

- N_r: number of range gates along the laser per beam

- T: total sampling time

- σ: smoothing parameter

30
- m: number of iterations

- R_{max}: radius of influence

- $\boldsymbol{\Delta n}$: half-wavelength vector

- $\boldsymbol{\Delta n_0}$: fundamental half-wavelength vector

- Δd: random data spacing

35
- $\boldsymbol{dx}$: resolution vector in Cartesian coordinates

- D^m: response at the m-th iteration

- ϵ^I: cost function I (data loss)

- ϵ^{II}: cost function II (standard deviation of the sample mean)

- τ: integral time-scale

40 - $\tilde{\cdot}$: spatial variable in the scaled frame of reference

- D: rotor diameter

- U_{norm}: 10-minute-averaged normalized density-corrected hub-height wind speed

- P_{norm}: 10-minute-averaged normalized active power

- U_{∞}: 10-minute-averaged undisturbed incoming wind speed

45 - U_{SCADA}: 10-minute-averaged hub-height wind speed

- $U_{\mathrm{SD,\ SCADA}}$: 10-minute-based hub-height standard deviation of wind speed

- TI_{SCADA}: 10-minute-based hub-height turbulence intensity

- U_{met}: 10-minute-averaged wind speed from met tower

- L_{O}: Obukhov length

1 Introduction

The use of Doppler light detection and ranging (LiDAR) technology for wind energy applications has largely increased over the last decade (Clifton et al., 2018; Veers et al., 2019). Thanks to the achieved measurement accuracy, simpler and cost-effective deployments compared to traditional met-tower instrumentation, this remote sensing technique is now included in the international standards as a reliable tool for performance diagnostic of wind turbines and wind resource assessment (International Electrotechnical Commission 61400-12-1, 2017). Nonetheless, due to the limited spatio-temporal resolution and the distribution of the sample points in a spherical reference frame, the reconstruction of wind statistics from LiDAR samples still presents several challenges (Sathe et al., 2011; Newman et al., 2016).

In the companion paper (Letizia et al., 2020), we presented a revisited Barnes objective analysis (Barnes, 1964) for the calculation of wind statistics from scattered LiDAR data, which is referred to as LiDAR Statistical Barnes Objective Analysis (LiSBOA). This procedure enables the estimation over a Cartesian grid of the mean, variance and even higher-order central statistical moments of the radial velocity field probed by a scanning Doppler pulsed wind LiDAR. The LiSBOA performs also adequate filtering of small-scale variability in the mean field and mitigation of the dispersive stresses on the higher-order statistics provided that the algorithm is tuned based on the characteristics of the flow under investigation and the data collection strategy is optimally designed through the LiSBOA.

The LiSBOA capability to estimate statistics of an ergodic turbulent velocity field makes it a suitable tool for the analysis of wind turbine wakes and the resource assessment of sites characterized by heterogeneous wind conditions, such as in presence of flow distortions induced by complex terrain. Over the last decade, wind LiDARs have been used to investigate wind turbine wakes; for instance, Käsler et al. (2010) and Clive et al. (2011) measured the velocity deficit past utility-scale wind turbines, while Bingöl et al. (2010) used a nacelle-mounted LiDAR to detect wake displacements and validate the dynamic wake meandering model (Larsen et al., 2008). Fitting of the wake velocity deficit was successfully exploited to extract quantitative information about wake evolution from LiDAR measurements (Aitken and Lundquist, 2014; Wang and Barthelmie, 2015; Kumer et al., 2015; Trujillo et al., 2016; Bodini et al., 2017).

A deeper understanding on the physics of turbine wakes was achieved by calculating temporal (Trujillo et al., 2011; Iungo et al., 2013b; Iungo and Porté-Agel, 2014; Kumer et al., 2015; Machefaux et al., 2016; Van Dooren et al., 2016) or conditional (Aubrun et al., 2016; Machefaux et al., 2016; Garcia et al., 2017; Bromm et al., 2018; Iungo et al., 2018; Zhan et al., 2019, 2020) statistics of the velocity collected through LiDAR scans performed at different times. Using this approach, Iungo and Porté-Agel (2014) detected a significant dependence of the wake recovery rate on atmospheric stability based on time-averaged volumetric LiDAR scans. The same concept was expanded by other authors using ensemble statistics (Machefaux et al., 2016; Carbajo Fuertes et al., 2018; Zhan et al., 2019, 2020). Kumer et al. (2015) carried out a comparison between instantaneous, 10 minutes and daily-averaged velocity and turbulence intensity fields around utility-scale wind turbines, highlighting the presence of persistent turbulent wakes. Trujillo et al. (2011) used a nacelle-mounted LiDAR to quantify meandering-induced wake diffusion and added turbulence from statistics calculated over 10-minute periods.

4

Second-order statistics are of great interest in wind energy. Iungo et al. (2013b) used velocity time-series extracted from LiDAR fixed scans performed downstream of a 2-MW wind turbine to detect enhanced turbulence intensity in the proximity
85 of the wake shear layers. More recently, temporal statistics over 30-minute periods allowed for the identification of turbulent wake shear layers from both numerical (Fuertes Carbajo and Porté-Agel, 2018) and experimental (Carbajo Fuertes et al., 2018) velocity fields. Aubrun et al. (2016) attempted to characterize the turbulence intensity using bin statistics, even though achieving values higher than expected, i.e. larger than 50%. Zhan et al. (2019) used clustered data of wake velocity fields to retrieve a proxy for the standard deviation of wind speed in the wake of utility-scale turbines. These authors reported significant
90 variability in the wake turbulent statistics depending on the atmospheric stability regime and operative conditions of the wind turbines.

For the above-mentioned technical features of LiDARs, these remote sensing instruments are now also used for wind resource assessment (Liu et al., 2019) enabling estimates of wind statistics for broad ranges of wind conditions and site typology, such as for flat terrains (Karagali et al., 2018; Sommerfeld et al., 2019; Sanchez-Gomez and Lundquist, 2019), complex terrains
95 (Krishnamurthy et al., 2011, 2013; Pauscher et al., 2016; Kim et al., 2016; Vasiljević et al., 2017; Karagali et al., 2018; Risan et al., 2018; Menke et al., 2019; Fernando et al., 2019), near-shore (Hsuan et al., 2014; Floors et al., 2016; Shimada et al., 2018) and off-shore locations (Pichugina et al., 2012; Koch et al., 2014; Gottschall et al., 2018; Viselli et al., 2019). LiDAR scanning strategies for wind resource assessment encompass Doppler beam swinging (DBS) (Hsuan et al., 2014; Pauscher et al., 2016; Kim et al., 2016; Shimada et al., 2018; Gottschall et al., 2018; Viselli et al., 2019; Sommerfeld et al., 2019; Sanchez-Gomez
100 and Lundquist, 2019), Plan Position Indicator (PPI) scans (Krishnamurthy et al., 2011, 2013; Pauscher et al., 2016; Floors et al., 2016; Vasiljević et al., 2017; Karagali et al., 2018), Range Height Indicator (RHI) scans (Pichugina et al., 2012; Floors et al., 2016; Menke et al., 2019; Fernando et al., 2019) or fixed scans (Risan et al., 2018). Statistics are generally calculated based on the canonical 10-minute periods assuming steady inflow conditions, while linear interpolation is widely used for data post-processing.

105 In the light of great relevance for the wind energy applications of the statistical analysis of wind LiDAR data, for this work, the LiSBOA procedure is applied to virtual and real LiDAR measurements of wind turbine wakes. The scope of this study is dual: first, assessing the capabilities provided by the LiSBOA for the optimal design of the LiDAR scanning strategy by maximizing the statistical accuracy of the measurements and coverage of the sampling domain with the prescribed spatial resolution; second, showing the potential of the LiSBOA to reconstruct mean velocity and turbulence intensity fields from
110 LiDAR data to unveil important flow features of wind turbine wakes.

With these aims, ~~the LiSBOA is initially applied to virtual LiDAR data generated by a LiDAR simulator scanning the wake of a turbine modeled through the actuator disk approach in LES environment. This numerical test case allows for an extensive error analysis enabling the quantification of the LiSBOA accuracy. Then,~~ real LiDAR data collected in the wakes generated by four 1.5-MW wind turbines are analyzed through the LiSBOA. Specific wake features, such as the high-speed jet around the
115 nacelle and the turbulent shear layers, as well as perturbations induced by the complex topography, are detected. ~~Finally~~ Then, to provide a quantitative comparison with the data retrieved by means of traditional anemometers, the LiSBOA is employed

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to calculate mean velocity and turbulence intensity fields of the wakes generated by four 1-MW turbines interacting with each other.

The remainder of the manuscript is organized as follows: ~~Sect. ?? reports the results of the virtual LiDAR data analysis, along with the description of the LiDAR simulator.~~ Sect. 2 provides a description of the site and the experimental setup of the field campaign. In Sect. 3, the scan design and the reconstruction of the statistics of the non-interacting wakes are discussed, while Sect. 4 presents the results of the comparison between nacelle anemometer statistics and LiSBOA for the multiple interacting wakes. Finally, conclusions are drawn in Sect. 5. The paper uses symbols introduced in the companion paper Letizia et al. (2020), which the reader is encouraged to review for a better understanding of the present manuscript.

2   Site description and experimental setup

LiDAR data collected during an experimental campaign carried out at an onshore wind farm are used to assess the potential of the LiSBOA algorithm for wind energy applications. The measurements were collected during a long-term experimental campaign conducted at a large wind farm located in North-East Colorado (Fig. 1). This wind park encompasses 221 Mitsubishi
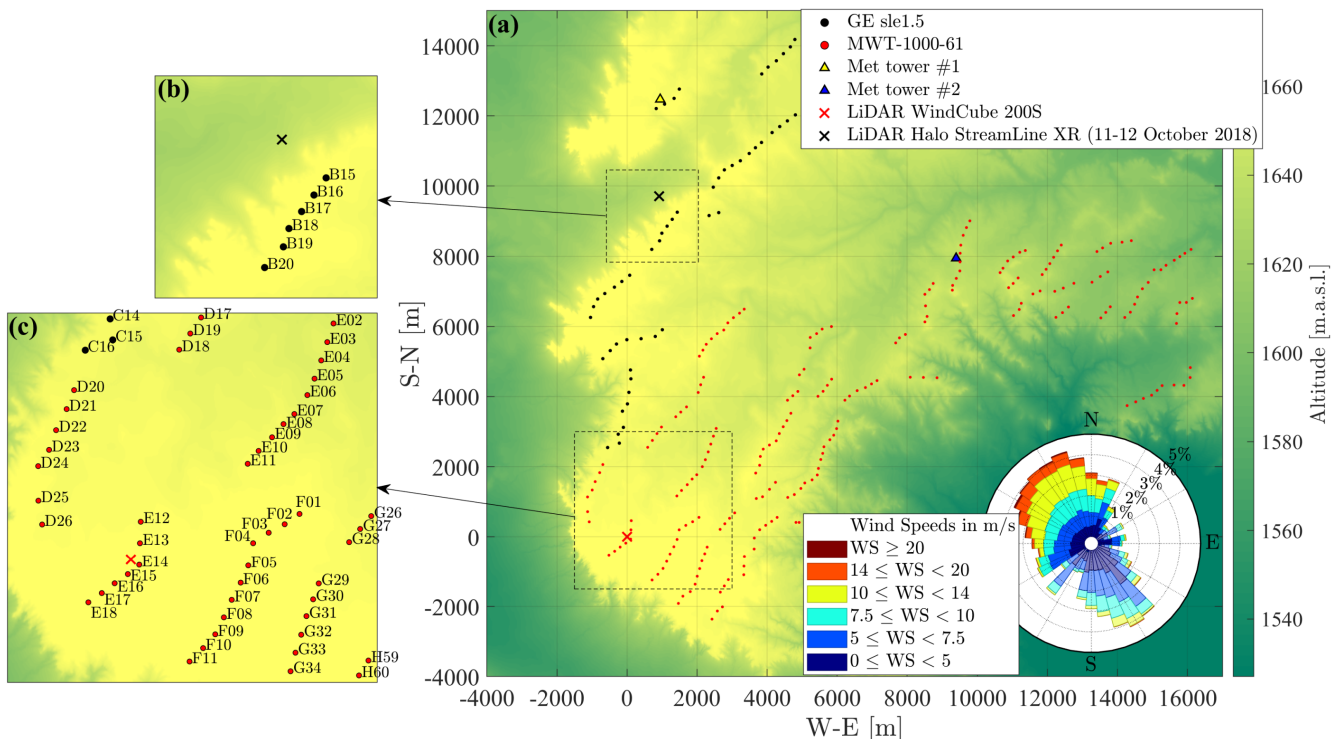
Figure 1. Map of the wind farm under investigation: **(a)** top view of the wind farm, with the diameter of the dots representing the turbine rotor diameter (in the wind rose, the sectors where both met-towers are potentially affected by turbine wakes are displayed in lighter color); **(b)** area probed through Streamline XR LiDAR on 11 and 12 October 2018; **(c)** typical field of view of the Windcube 200S LiDAR.

Figure 2. Photos of the LiDAR experiment: **(a)** LiDAR Windcube 200S and sonic anemometers Campbell Scientific CSAT3; **(b)** LiDAR Streamline XR; **(c)** GE 1.5sle turbines of the B row.

1-MW and 53 General Electric 1.5-MW wind turbines. More technical specifications of the wind turbines are provided in Table 1.

Table 1. Technical specifications of the wind turbines under investigation.

	MWT-1000-61	GE sle1.5
Rated power [kW]	1000	1500
Cut-in wind speed [m s^{-1}]	3.5	3.5
Cut-out wind speed [m s^{-1}]	25	25
Rated wind speed [m s^{-1}]	13.5	14
Type	Variable pitch/fixed speed	Variable pitch/variable speed
Hub height [m]	69	80
Rotor diameter [m]	61.4	77

The wind rose, based on 3 years of wind speed and direction measured by the two meteorological (met) towers present on the site, reveals a prevalence of north-westerly and south-easterly wind directions. A characteristic of this site is the presence of a steep escarpment with an average jump in altitude of about 80 m surrounding a relatively flat plateau where the turbines are installed.

Two pulsed Doppler scanning wind LiDARs were deployed: a Windcube 200S manufactured by Leosphere (Fig. 2a) was installed for the period May-December 2018 in the southern part of the farm with the scope of detecting turbine wakes and flow distortions induced by the topography. The LiDAR was connected to the UTD mobile LiDAR station (El-Asha et al., 2017; Zhan et al., 2019) for remote control, scan setup, and data acquisition. Furthermore, a StreamLine XR by Halo-Photonics (Fig. 2b) was deployed for the period 11-19 October 2018 at specific sectors to investigate wake interactions and topography-related

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140 flow features. Additional details about the LiDARs, including the settings adopted for the present study, are provided in Table 2.

Table 2. Technical specifications and settings of the wind LiDARs deployed during the field campaign.

	WindCube 200S	StreamLine XR
Type	Pulsed - scanning	Pulsed - scanning
Scanning mode	Continuous	Step-stare ~~or continuous~~
Wavelength [nm]	1543	1500
Pulse length [ns]	200	200
Frequency [kHz]	~~10-40~~ 20	10
~~Minimum~~ Gate length [m]	~~25~~ 50	18
~~Maximum range [m]~~ Number of gates	~~6000~~ 80	~~10000~~ 200
~~Maximum~~ Rotation speed [° s^{-1}]	~~8~~1	~~4~~0.85
Detection range [m s^{-1}]	± 30	± 20

The atmospheric stability is characterized through the Obukhov length (Monin and Obukhov, 1959) retrieved by two CSAT3 three-dimensional sonic anemometers manufactured by Campbell Scientific, which were deployed in the proximity of the UTD mobile LiDAR station at 1.4 m and 2.8 m above the ground. Two met-towers are installed in the northern part of the park, as

145 shown in Fig. 1. Each tower is equipped with 4 anemometers installed in paired configuration at heights of 50 m and 80 m for met tower #1, and 50 m and 69 m for met tower #2. Mean and standard deviation of wind speed and direction are stored every 10 minutes, along with the mean temperature and barometric pressure. In the present work, wind velocity data at each height are corrected for the flow distortion due to the tower following the guidelines provided by the IEC standards (International Electrotechnical Commission 61400-12-1 (2017) Annex G). Additionally, mean and standard deviation over

150 10-minute periods of nacelle wind speed, power, RPM, and blade pitch, collected and stored by the supervisory control and data acquisition (SCADA) system were made available. Normalized average power, P_{norm}, and C_p curves based on the nacelle anemometers are built by leveraging data for the period 2016-2018, and shown in Fig. 3 as a function of the density-corrected normalized wind speed (International Electrotechnical Commission 61400-12-1, 2017):

$$U_{\text{norm}} = \frac{U_{\text{SCADA}}}{U_{\text{rated}}} \cdot \left(\frac{\rho_{\text{met}}}{\rho_{\text{ref}}}\right)^{1/3}, \tag{1}$$

155 where $\rho_{\text{ref}} = 1.225$ Kg m^{-3} is the reference density at the sea level, U_{SCADA} is the 10-minute average of the wind speed measured by the nacelle-mounted anemometers, while the local air density ρ_{met} is calculated from the meteorological data according to the international standard (International Electrotechnical Commission 61400-12-1, 2017). Another important parameter derived form the SCADA data is the turbulence intensity at the rotor, defined as:

$$TI_{\text{SCADA}} = \frac{U_{\text{SD, SCADA}}}{U_{\text{SCADA}}} \tag{2}$$

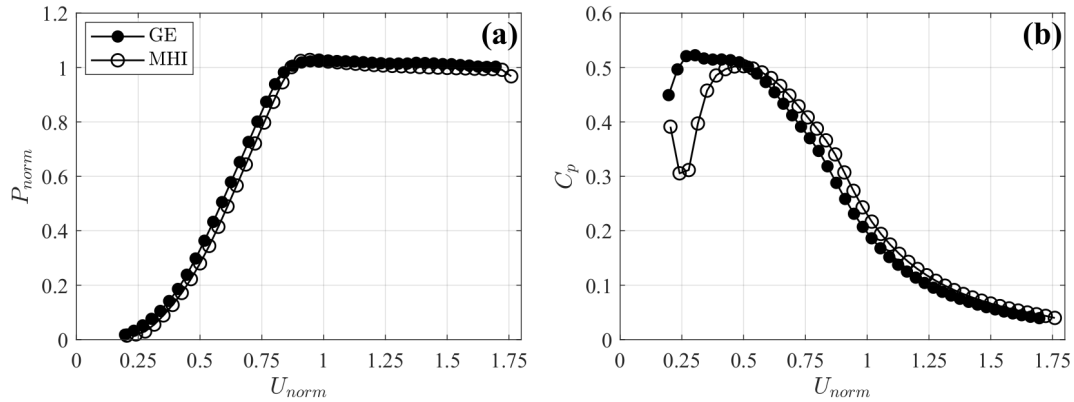where $U_{SD,SCADA}$ is the standard deviation of wind speed over 10-minute periods.

Figure 3. Performance curves for the General Electric and Mitsubishi wind turbines: **(a)** normalized power, P_{norm}; **(b)** power coefficient, C_p.

The two LiDARs performed a great variety of scans during the campaign, based on the specific phenomena under investigation. For the present analysis, we focus on the 3D reconstruction of non-interacting wakes using the high-resolution data collected with the Halo Streamline XR LiDAR and the 2D reconstruction of multiple overlapping wakes detected by the Windcube 200S.

3 Application of the LiSBOA to volumetric LiDAR data

The present section aims to explore the potential of the LiSBOA for the optimal design of a LiDAR experiment, data post-processing, and reconstruction of 3D flow statistics. The dataset used in this section was collected on 11 October 2018 over the farm region shown in Fig. 1b through a StreamLine XR LiDAR. The goal of the experiment is to investigate the evolution of multiple turbine wakes advected over complex terrain. Figure 4 shows the site of the deployment and the relative distances between the LiDAR and the turbine hubs.

Figure 4. Satellite map of deployment of Halo StreamLine XR on October 11 2018. Source: Google Maps.

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The deployment location was chosen to scan the wakes generated by the wind turbines B16-B19 for south-south-east wind directions. The LiDAR was deployed off a county road that connects the plateau with the surrounding plains, with a consequent difference in altitude between the instrument and the base of the turbines of about 40 m. To probe the wake region of turbines B16-B19 (Fig. 2b) and the leeward side of the ridge, seven PPI scans were performed by sweeping an azimuthal range of 65°

175 with elevations angles, β, set to 5°, 6°, 7°, 8°, 10°, 12° and 15°. The total sampling time was selected equal to $T = 1h$, since the local weather forecast service provided by the wind farm operator predicted one hour of steady wind conditions blowing with SSE mean direction and speed of $U_\infty \approx 6$ m s^{-1}. The aerosol concentration allowed for the selection of a gate length of $\Delta r = 18$ m and accumulation time of 1.2 s.

As reported in Sect. 4 of Letizia et al. (2020), several parameters of the flow under investigation are required for the optimal

180 design of the LiDAR scans. The fundamental half-wavelengths typical for wind turbine wakes were selected equal to those used in Sect. 5 of Letizia et al. (2020), i.e. $\Delta n_{0,x} = 2.5D$, $\Delta n_{0,y} = \Delta n_{0,z} = 0.5D$. Similarly, the integral time-scale was chosen equal to $\tau U_\infty / D = 0.4$ ($\tau \sim 5$ s). Finally, a measurement volume with dimensions of 1,000 m, 950 m, 130 m in the streamwise, transverse, and vertical directions, respectively, was selected to probe wakes generated from the turbines B16-B19 and the downwind region of the escarpment. The expected ~~typical~~ characteristic velocity standard deviation was estimated to

185 be $\sqrt{\overline{u'^2}} = 0.125\, U_\infty$ based on previous field measurements of turbine wakes under stable conditions (Zhan et al., 2019).
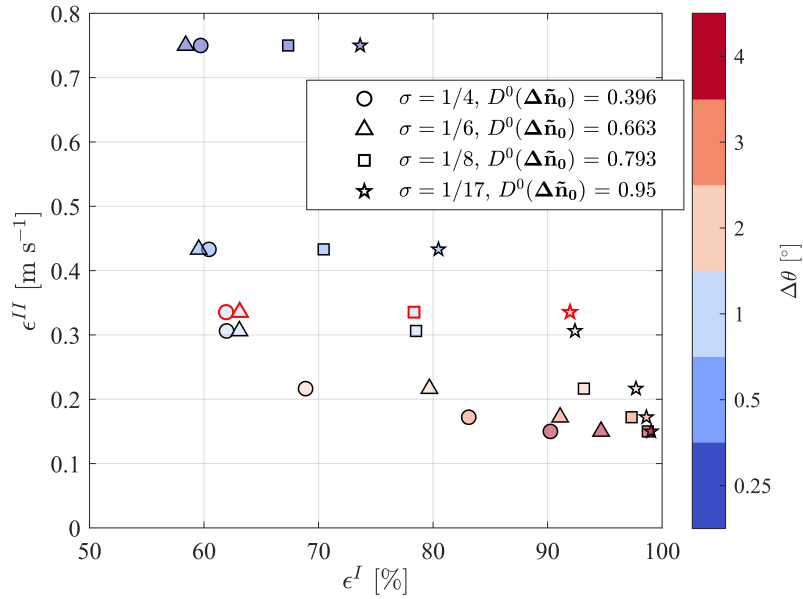
Figure 5. Pareto front for the design of the optimal LiDAR scan for the reconstruction of the wakes generated by the wind turbines B16-B19. The markers highlighted in red correspond to the respective parameters obtained from the actual LiDAR data after the quality control process.

For the selection of the optimal azimuthal angular resolution of the LiDAR scan, the LiSBOA is applied to produce a Pareto front for six possible angular resolutions, $\Delta\theta$, between 0.25° and 4°, and four values of the smoothing parameter,

$\sigma = [1/4, 1/6, 1/8, 1/17]$. As shown in Fig. 5, the optimal LiDAR scan is that with angular resolution $\Delta\theta = 1°$ and $\sigma = 1/4$ or $\sigma = 1/6$. Generally, an increasing $\Delta\theta$ entails a reduction of the standard deviation of the mean, ϵ^{II}, yet values higher than $\Delta\theta = 1°$ do not lead to significant reductions of ϵ^{II} while worsening the data loss, ϵ^{I}, indicating a larger number of grid points not satisfying the Petersen-Middleton constraint.
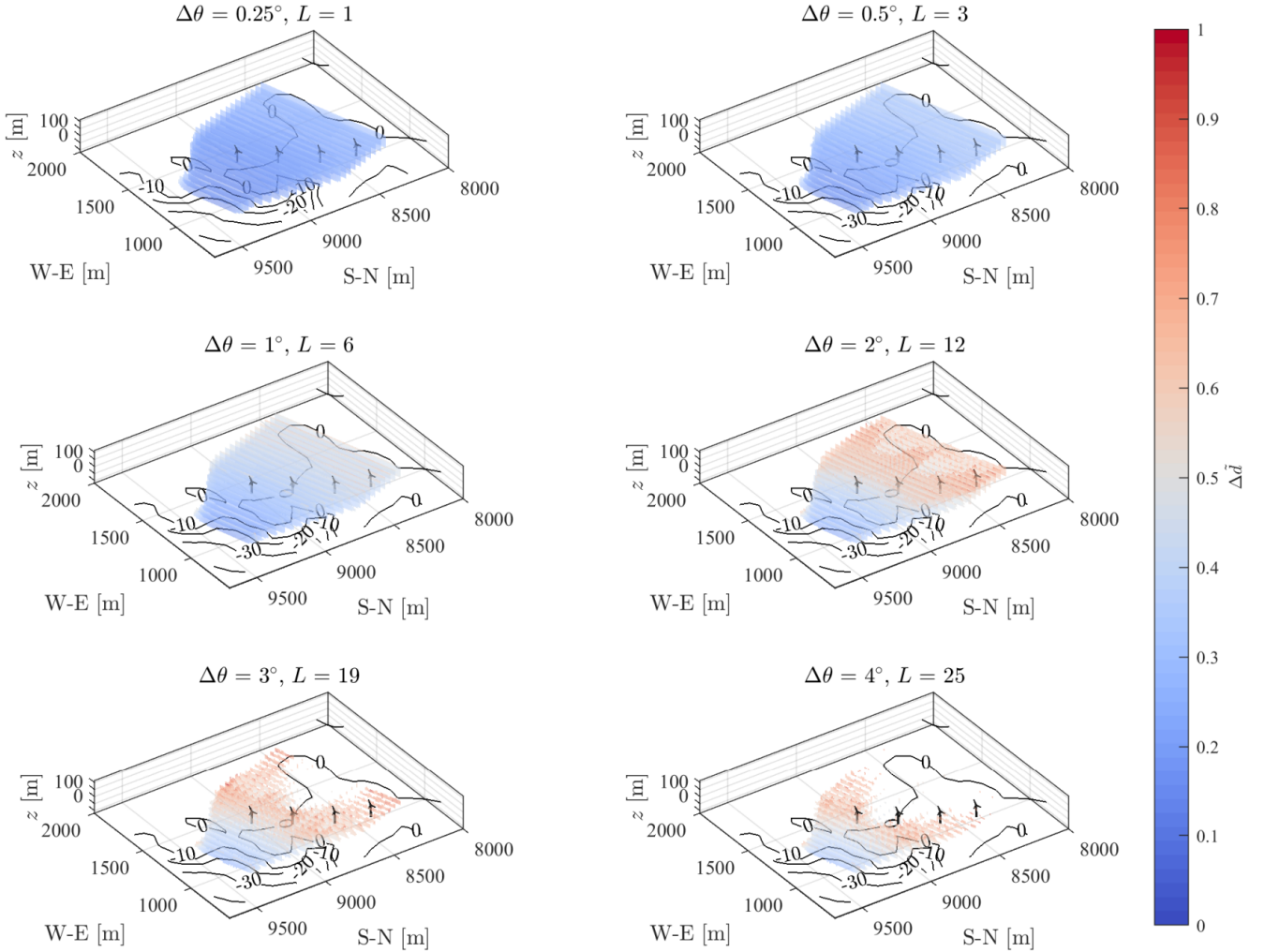
Figure 6. Random data spacing, $\Delta\tilde{d}$, for 6 volumetric scans with different angular resolution and $\sigma = 1/4$. Points violating the Petersen-Middleton constraint ($\Delta\tilde{d} > 1$) are not displayed.

In Fig. 5, the values of the cost function ϵ^{I} and ϵ^{II} calculated from the LiDAR data after the quality-control process (Beck and Kühn, 2017) are also reported for the optimal angular spacing of the LiDAR $\Delta\theta = 1°$. It is noteworthy that there is negligible difference between the values calculated before and after the quality control of the LiDAR data, indicating that the data loss due to the acquisition error is negligible in the domain of interest. The spatial distributions of the grid points satisfying

the Petersen-Middleton constraint for different values of $\Delta\theta$ and $\sigma = 1/4$ are reported in Fig. 6. It can be observed as $\Delta\theta = 1°$ represents the highest angular step ensuring an acceptable coverage of the spatial domain.
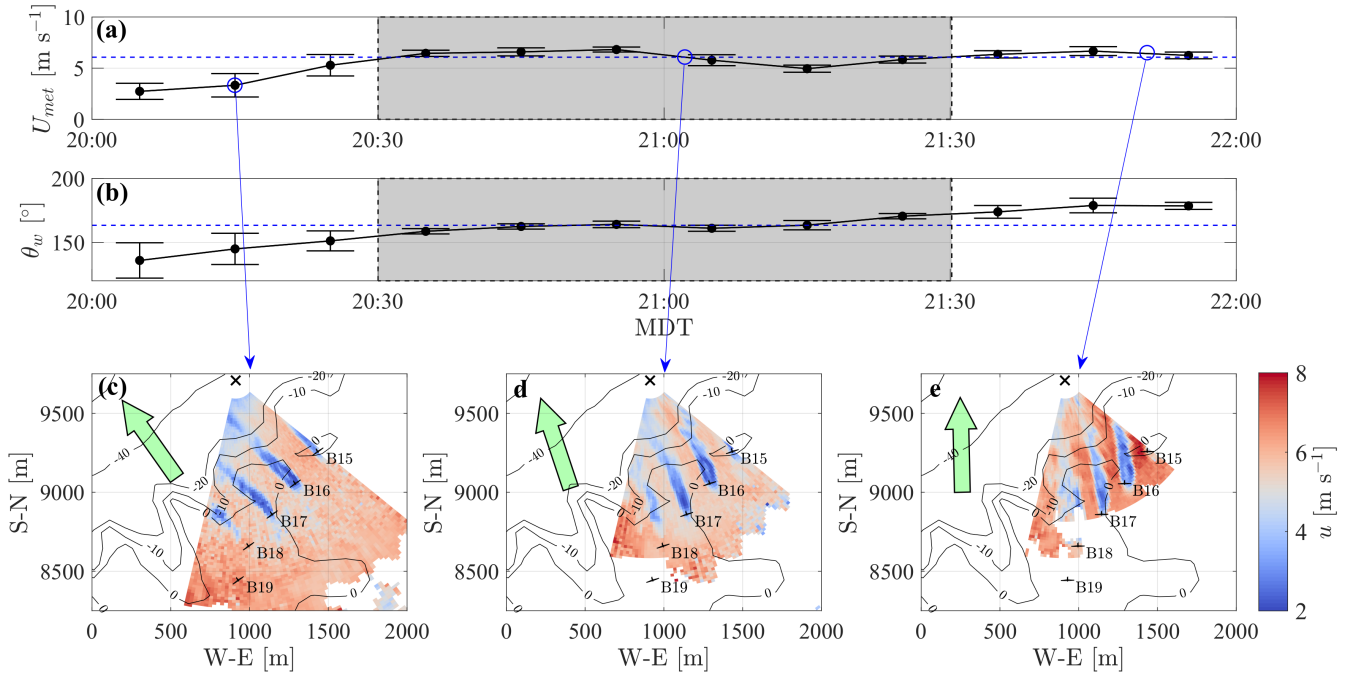
Figure 7. 3D LiDAR scans of five wind turbines: **(a)** 10-minute average wind speed measured from the anemometers installed at 50-m and 80-m height on the met-tower #1; the error bar represents the standard deviation over 10 minutes; the shaded area represents the interval selected for the LiSBOA application; **(b)** 10-minute average wind direction in geophysical reference system measured from the vanes installed at 50 m and 80 m on met-tower #1; **(c)**, **(d)** and **(e)** equivalent velocity fields measured with PPI scans at different times; the green arrow is oriented as the mean wind direction measured by the met-tower #1, while the black cross indicates the LiDAR location.

The data collected adopting the optimal scanning strategy with $\Delta\theta = 1°$ are now post-processed to calculate mean stream-wise velocity and turbulence intensity. The time series of wind speed and direction recorded by the ~~4 anemometers~~ sensors installed on the met tower #1 at hub height and located at a distance of 2,700 m in the north direction from the test site are leveraged ~~averaged~~ to characterize the incoming wind. The evolution of wind speed and direction along with the velocity field measured with three specific PPI scans are reported in Fig. 7. For the period between 20:30 and 21:30 local time (MDT) and indicated by the shaded area in Figs. 7a and b, the wind speed remained within the range between 5.1 m s^{-1} and 7.1 m s^{-1}, while the wind direction departed less than 10° from its mean value of $\overline{\theta}_w = 163.4°$. The wind and power data, which are recorded by the SCADA (Fig. 8), confirms that the turbines experienced fairly homogeneous inflow conditions, with differences in power capture 5% smaller than the rated value. The values of normalized velocity together with the performance curves (Fig. 3) indicate that the turbines were operating in region II of the power curve for the whole interval of interest.
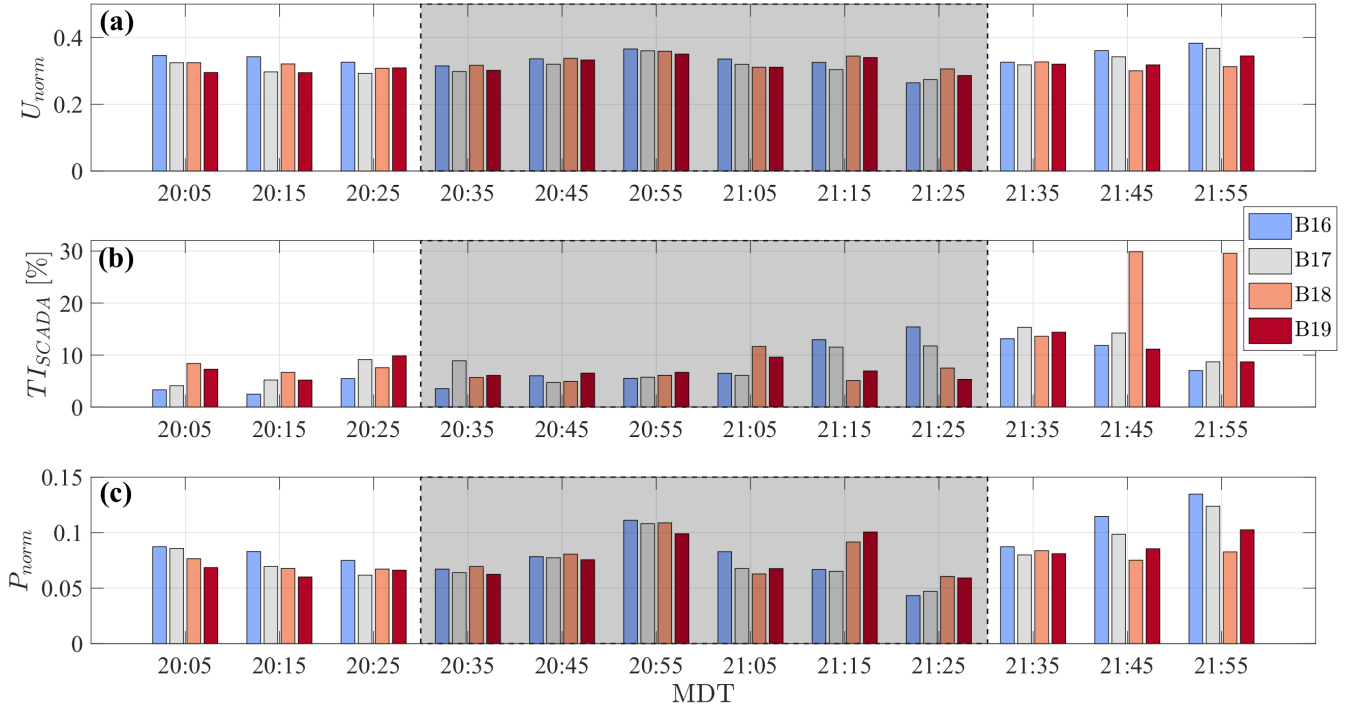
Figure 8. SCADA data during the selected testing period: **(a)** normalized hub-height velocity; **(b)** turbulence intensity; **(c)** normalized power.

Since statistical stationarity is an important assumption for the LiSBOA applications, adequate post-processing of the LiDAR data is needed to avoid effects on the reconstructed flow statistics due to the wind variability. Specifically, the wind speed variability is corrected by making the line-of-sight velocity non-dimensional with the incoming wind speed. with the wind speed measured from the met tower #1. To this aim, the instantaneous velocity field measured by the LiDAR is divided by the synchronized mean wind speed obtained from the met tower #1, as explained above. Furthermore, scans performed when the wind direction was outside of the range $\overline{\theta_w} \pm \Delta\theta_w/2$, with $\Delta\theta_w = 10°$, are excluded. After the quality control based on the dynamic filtering (Berg et al., 2011), 169,000 data points out of 455,000 are made available for the LiSBOA reconstruction on a Cartesian grid with resolution equal to $\boldsymbol{dx} = 0.25\boldsymbol{\Delta n_0}$. Isolated grid regions violating the Middleton-Petersen constraint ($<$ 2% of the total number of grid points) are rejected and their respective values are interpolated through Laplacian interpolation (*inpaint_nans.m* in Matlab). This analysis is restricted to the streamwise component of the wind velocity, which is estimated using the equivalent velocity approach (Zhan et al., 2019). The non-dimensional equivalent velocity is referred to as $\overline{u}/U_\infty$ in the remainder of the paper, while the associated turbulence intensity is referred to as $\sqrt{\overline{u'^2}}/\overline{u}$.

Figures 9 and 10 show 3D renderings of the non-dimensional velocity and turbulence intensity fields obtained by using the parameters $\sigma = 1/4$ - $m = 5$. Wake features, such as turbulent diffusion, the high-momentum jet in the hub region and the turbulent shear layer at the wake boundary, are well-captured. Two highly turbulent regions are located on both sides of the wakes, which is a distinctive signature of wake meandering occurring mostly horizontally in the ABL (España et al., 2011). The
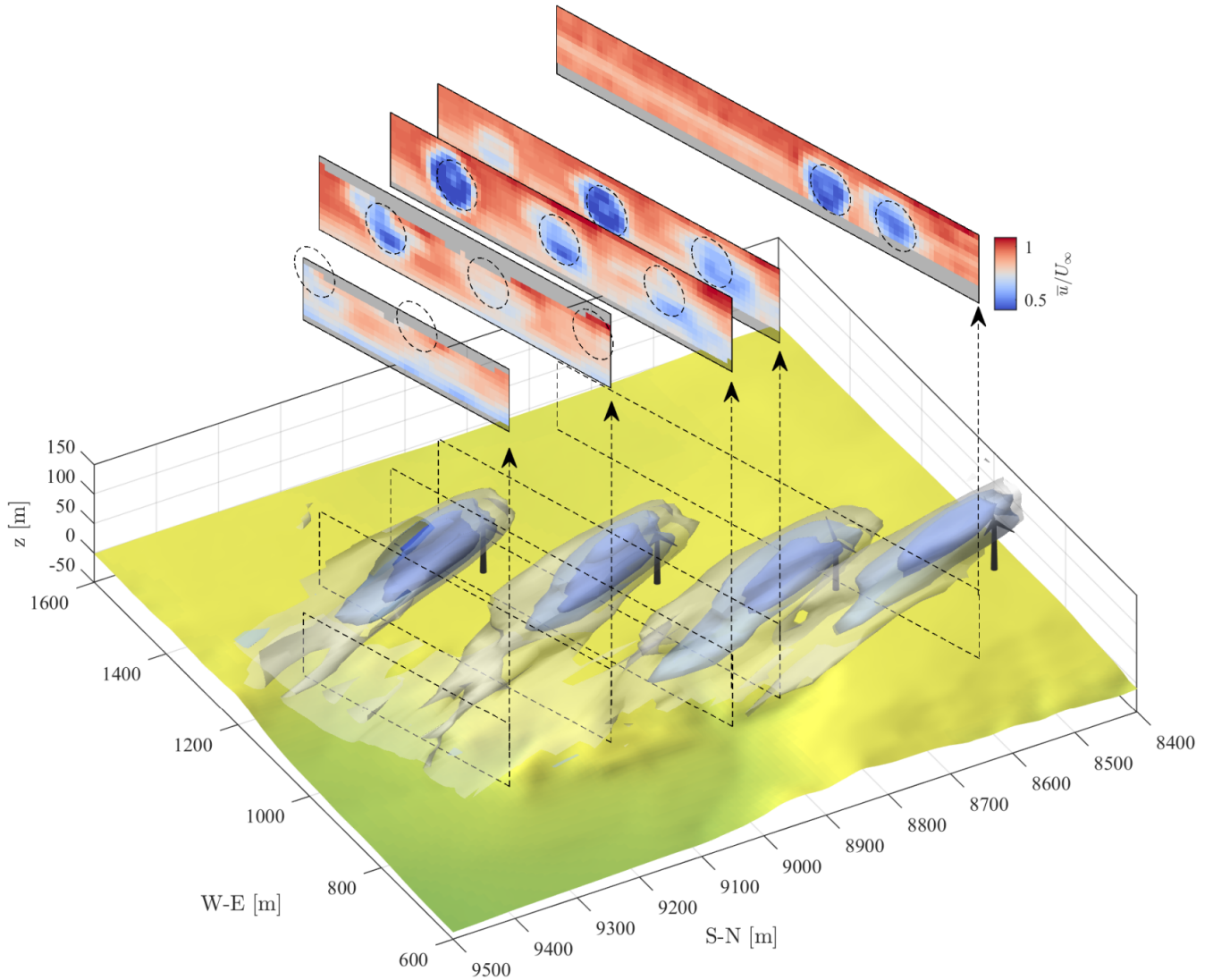
Figure 9. 3D rendering of the normalized mean equivalent velocity field reconstructed with $\Delta\theta_w = 10°$. The three isosurfaces represent $\overline{u}/U_\infty = 0.45$, 0.6 and 0.75, while the color maps represent cross-sections of the mean velocity field over the respective planes reported in the rendering. The dashed circles correspond to the rotor swept area of turbines B16-B19 (from left to right) projected onto the specific cross-plane.

lack of symmetry and similarity among different turbines, however, suggests that full statistical convergence is not achieved on the second-order statistics for the available dataset. The low-speed region hovering over the down-slope represents most probably the upper part of the low momentum zone that occurs past sharp escarpments (Berg et al., 2011).
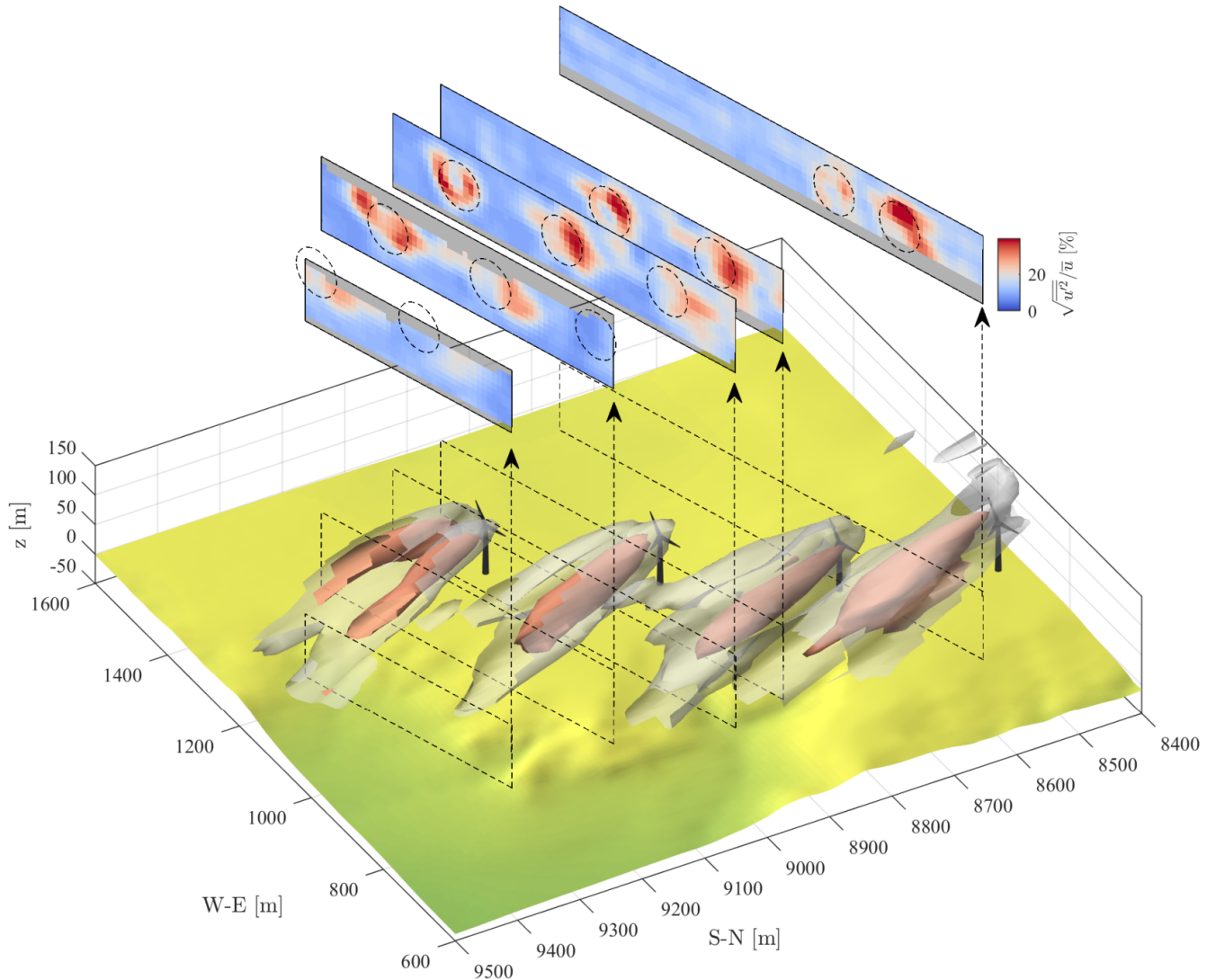
Figure 10. 3D rendering of the turbulence intensity field reconstructed with $\Delta\theta_w = 10°$. The two isosurfaces represent $\sqrt{\overline{u'^2}}/\overline{u} =$ levels of 20% and 30%, while the color maps represent cross-sections of the turbulence intensity field over the respective planes reported in the rendering. The dashed circles correspond to the rotor swept area of turbines B16-B19 (from left to right) projected onto the specific cross-plane.

The effect of the combination $\sigma-m$ on higher-order statistics is investigated by extracting the turbulence intensity at different cross-stream planes. The optimal pairs $\sigma-m$ identified by the Pareto front analysis (Fig. 5), viz. $\sigma = 1/4$ - $m = 5$ and $\sigma = 1/6$ - $m = 2$, are tested here. One may expect that due to the difference in the response of the high-order moments of the fundamental mode between the two pairs, $D^0(\Delta\tilde{n}_0)$, the first case would exhibit a significantly lower $\sqrt{\overline{u'^2}}/\overline{u}$ with respect to the second one. However, as shown in Fig. 11, the peaks of turbulence intensity are quite similar between the two cases. The main
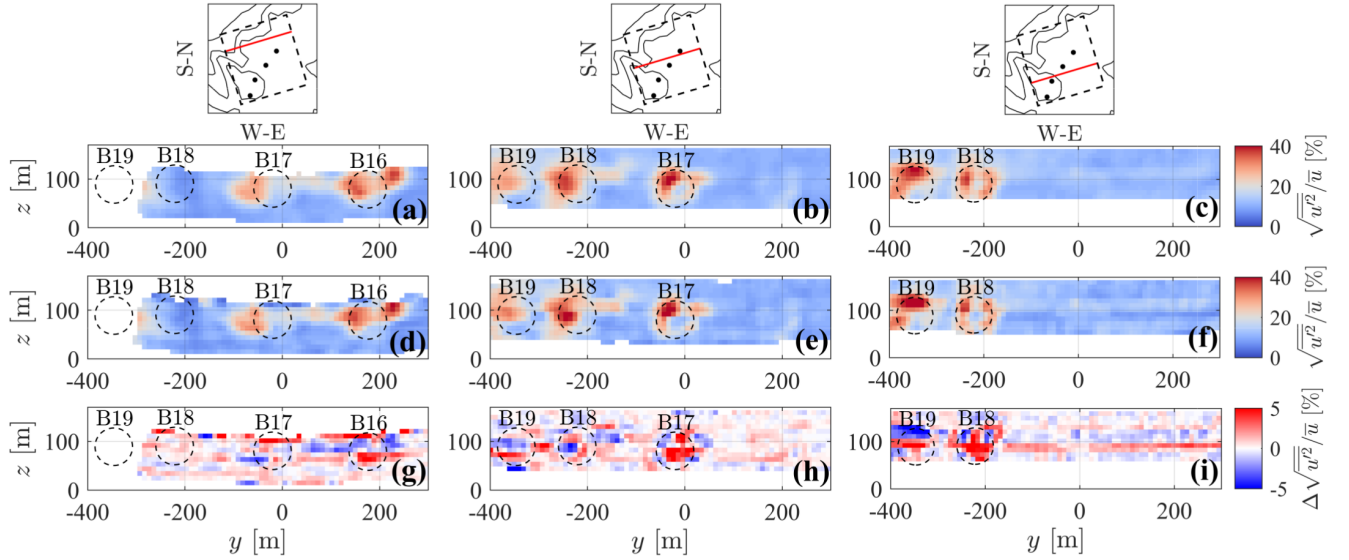
Figure 11. Comparison of the turbulence intensity reconstructed with $\sigma = 1/4 - m = 5$ **(a, b, c)** vs. $\sigma = 1/6 - m = 2$ **(d, e, f)** and their difference **(g, h, i)** for three selected streamwise locations indicated by the red lines in the top maps.

difference between the two reconstruction processes is a smoother distribution of $\sqrt{\overline{u'^2}}/\overline{u}$ for $\sigma = 1/4 - m = 5$. The similarity between the two cases is due essentially to two reasons: first, the smallest energy-containing length scales of the turbulence intensity field (i.e. shear layer thickness) are larger than the selected fundamental mode $\Delta n_{0,y} = \Delta n_{0,z} = 0.5D$; second, the larger number of points per grid node averaged for the $\sigma = 1/4$ case, leads to a higher variance due to the reduction of the bias of the estimator of the variance, which partially compensates the lower theoretical response. Summarizing, this sensitivity analysis suggests that the choice of the $\sigma - m$ pair cannot be based purely on the theoretical response, since it does not take into account non-ideal effects deriving from the discrete and non-uniform data distribution. Instead, an a posteriori analysis of the statistics retrieved is recommended to select the best $\sigma - m$ values.

Turbine-wake statistics are extremely sensitive to the width of the selected wind sector (Barthelmie et al., 2009; Hansen and Barthelmie, 2014). It is well-known that widening the wind direction range can lead to an enhanced wake diffusion and turbulence intensity (Trujillo et al., 2011; Kumer et al., 2015), compensated by higher data availability and statistical significance. A sensitivity analysis to the wind sector width for reconstructing the statistics through the LiSBOA for two additional values of $\Delta\theta_w$ is now presented. Besides the baseline value of $10°$, effects of a narrower ($\Delta\theta_w = 5°$) and wider ($\Delta\theta_w = 15°$) range are investigated. The standard deviation of the wind direction associated with the different sectors is $1.08°$, $1.93°$ and $2.74°$ for $\Delta\theta_w = 5°, 10°, 15°$, respectively. Figure 12 shows the rotor-averaged velocity and turbulence intensity for each turbine as a function of the downstream distance from the rotor. The profiles of the mean and standard deviation obtained for different $\Delta\theta_w$ are practically the same, indicating that the effects of wind direction variability on wake flow statistics are not significant.
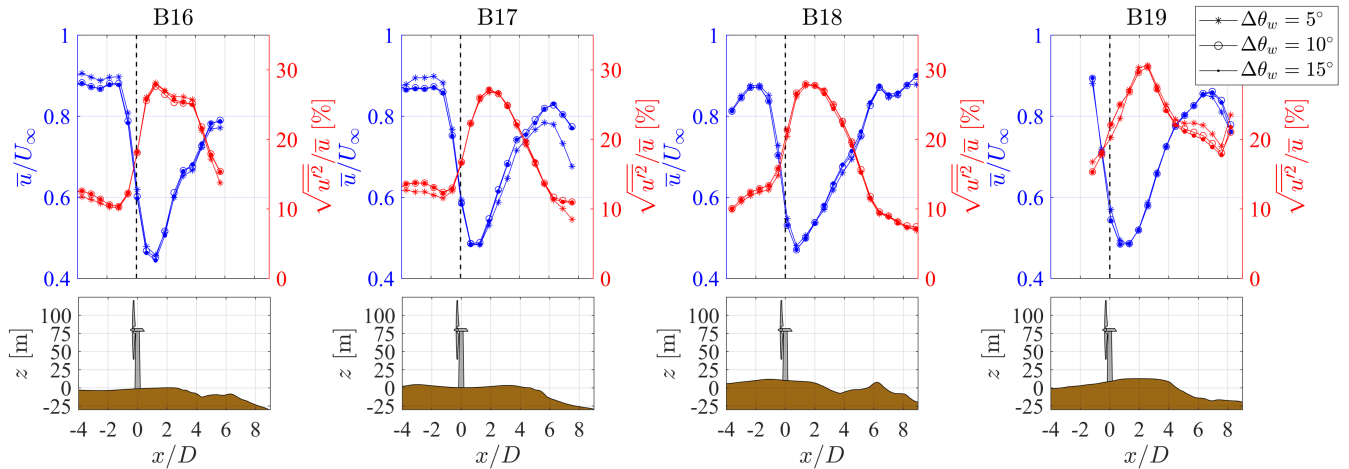
16

Figure 12. Rotor-averaged streamwise mean velocity and turbulence intensity as a function of the downstream distance from the turbine and associated altitude profile.
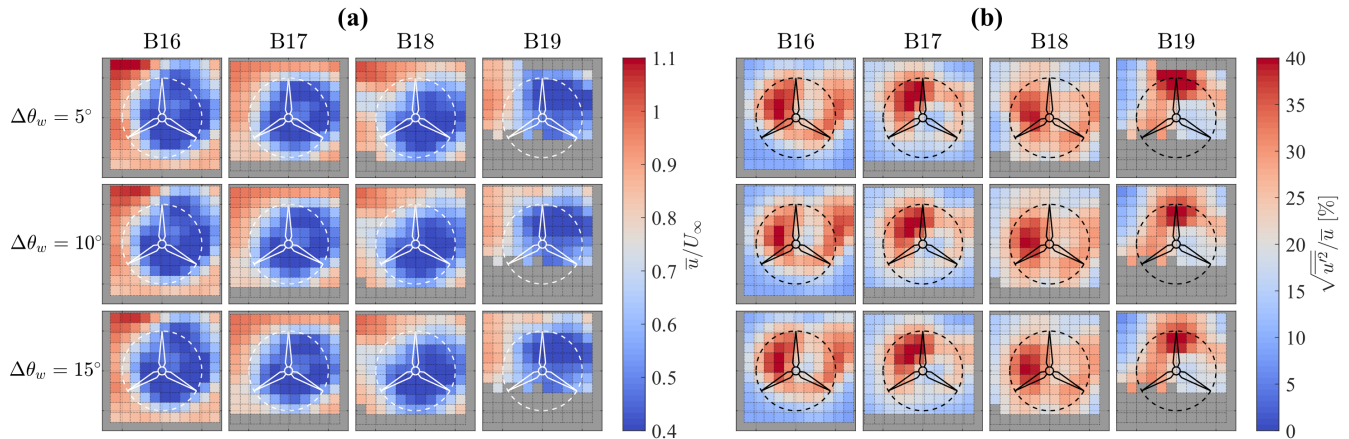

Figure 13. Fields reconstructed adopting several $\Delta\theta_w$ values and sampled at $x/D \sim 1.3$ downstream of turbines B16, B17, B18 and B19: (a) mean streamwise velocity; (b) streamwise turbulence intensity.

For the sake of completeness, the velocity and turbulence intensity sampled in the cross-stream plane where the maximum velocity deficit occurs ($x/D \sim 1.3$) for all the turbines and the $\Delta\theta_w$ are shown in Fig. 13. The discrepancies due to different $\Delta\theta_w$ are negligible. A more evident mismatch can be observed in the shape of the wakes among different wind turbines, with the wake of turbine B19, in particular, showing the velocity deficit and turbulence peak that are displaced above the hub height. Turbine B19 is also the only one facing a slightly inclined terrain (see Fig. 12), which may have caused a skewed inflow.

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4   Application of the LiSBOA to interacting wind turbine wakes

An assessment of the accuracy of the LiSBOA in the calculation of mean wind speed and turbulence intensity is now provided

for LiDAR measurements performed during the occurrence of wake interactions. To this aim, point-wise measurements pro-

vided by the nacelle-mounted anemometers and saved in the SCADA data of four closely spaced Mitsubishi wind turbines,

roughly aligned with the wind direction, are compared with the statistics obtained from the post-processing of the LiDAR data

260   with the LiSBOA.
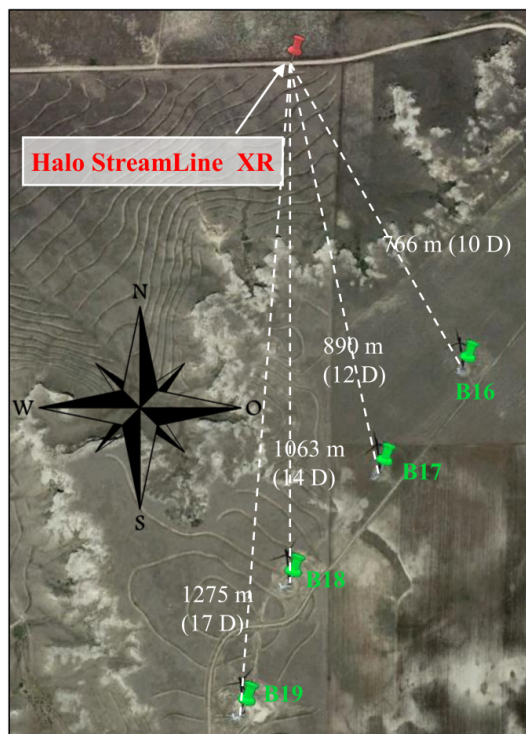
Figure 14. Satellite map of the site for the deployment of the Windcube 200S LiDAR, including the four Mitsubishi wind turbines under investigation. Source: Google Maps.

Figure 14 reports a satellite image of the site of this experiment. The tests were performed during the occurrence of a nearly

steady north-easterly wind ($U_\infty \sim 8$ m s^{-1}) from 9 pm to 1 am local time ($T = 4h$) in the night between 5 and 6 September

2018. This wind condition created a good alignment of the wakes emitted by the turbines F01 to F04. The aerosol conditions

allowed us to run the Windcube 200S LiDAR with a gate length of 50 m and an accumulation time of 0.5 s. The LiDAR is

265   located at a distance of about $25D$ from the wind turbine F04, which is the most downstream turbine for that specific wind

condition, while the average streamwise spacing between the turbines is $3.6D$. The velocity and turbulence intensity fields are

reconstructed over a horizontal plane including only points within the vertical range spanning from the bottom- to top-tip of the

turbine rotors. The 2D reconstruction here adopted implies that a uniform weight is applied for points displaced at different z,

which means the reconstructed statistics represent time and vertically-averaged fields. This 2D approach is deemed convenient
270 for the comparison with point-wise measurements recorded by the SCADA through nacelle-mounted instruments representing an average of the wind characteristics over the rotor.

The region of interest was probed through a volumetric scan consisting of three PPI scans with elevation angles $\beta = 2.1°, 2.6°$, and $3.3°$. The fundamental half-wavelengths were selected as $\Delta n_{0,x} = 2.25D$, $\Delta n_{0,y} = 0.75D$. According to the previous cases, the integral time-scale was estimated to be $\tau U_\infty / D = 0.4$ ($\tau \sim 3$ s). The ~~typical~~ characteristic velocity stan-
275 dard deviation was set to $\sqrt{\overline{u'^2}} = 0.2\, U_\infty$. The value of the associated turbulence intensity is higher than that used for non-overlapping wakes to account for the turbulence build-up, which is known to occur for turbines ~~operating~~ experiencing wake interactions (Chamorro and Porté-Agel, 2011; Iungo et al., 2013a).

The incoming wind is characterized by averaging measurements collected from all the anemometers and wind vanes installed on both met towers, which are located 12 km and 10.4 km away from the leading turbine F01 (Fig. 15). The Obukhov length
280 is calculated from both sonic anemometers indicating a stable stratification regime. The SCADA data exhibits the typical signature of multiple wake interactions with reduced wind speed and power for downstream turbines, while turbulence intensity is enhanced, in particular for the F02 and F04 wind turbines.

The optimal design of the LiDAR scan is performed considering six values of $\Delta\theta$ and four values of σ. The obtained Pareto front is shown in Fig. 16, which indicates $\Delta\theta = 0.5°$ and $\sigma = 1/3, 1/4$ or $1/6$ as the optimal scanning parameters. The
285 equivalent velocity retrieved by the LiDAR is made non-dimensional with the freestream velocity provided by the met-towers. The wind direction range is set to $\Delta\theta_w = 10°$, resulting in a total measuring period of 150 minutes. Data points lying above the top-tip or below the bottom-tip heights are excluded for this data analysis. The dynamic filter technique is used to reject
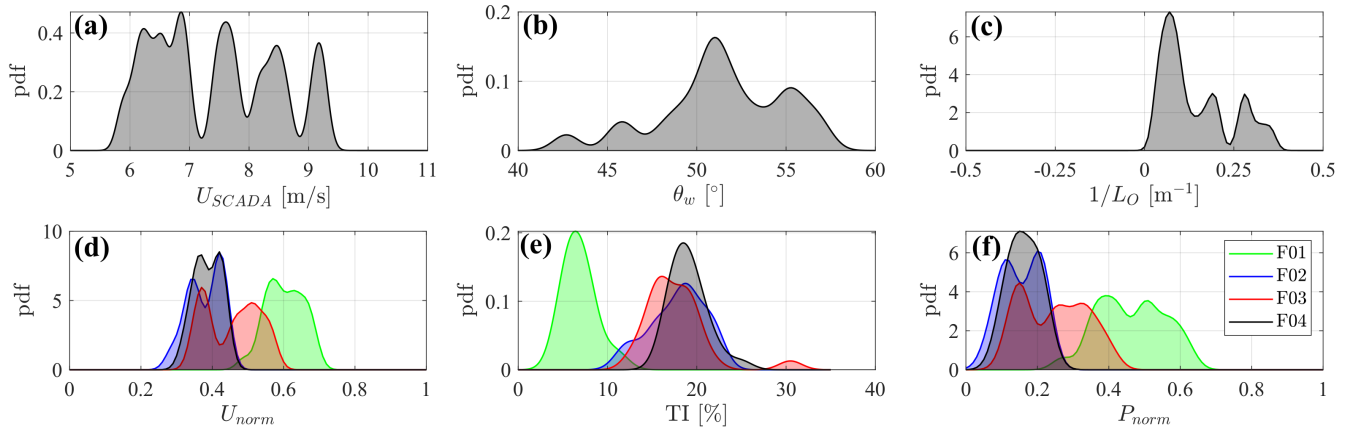

Figure 15. Probability density functions of the met and SCADA data recorded from 21:00 to 1:00 MDT on the night between September 5 and 6 2018: **(a)** wind speed from met-towers; **(b)** wind direction from met-towers; **(c)** inverse Obukhov length from our sonic anemometers; **(d)** normalized wind speed from SCADA; **(e)** turbulence intensity from SCADA; **(f)** normalized power from SCADA.
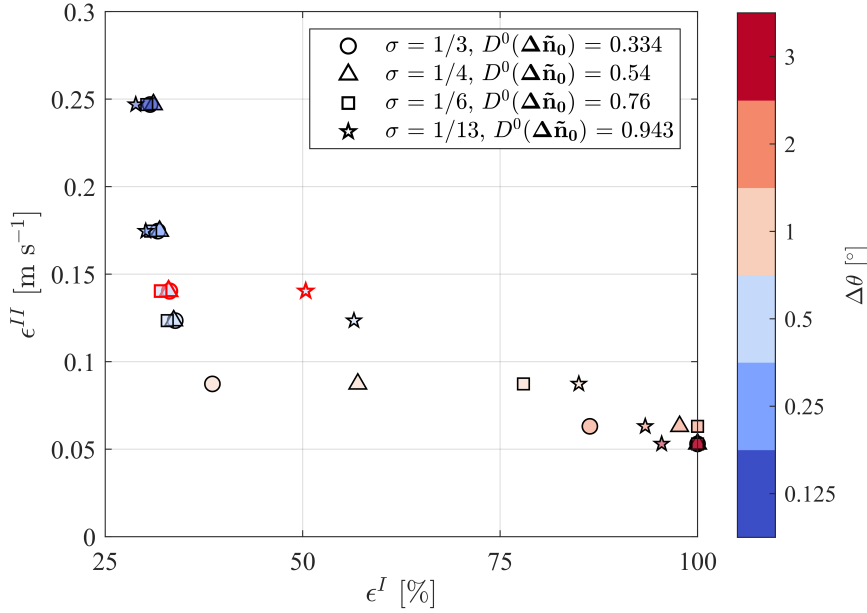
Figure 16. Pareto front for the design of the optimal LiDAR scan for the reconstruction of the wakes statistics for the turbine F01-F04. The markers highlighted in red represent the actual LiDAR data after the quality control.

corrupted LiDAR data, producing a total of 544,000 quality-controlled LiDAR samples over 1,327,000 collected LiDAR data within the selected wind-direction range.

290   The LiSBOA is carried out on a grid with resolution $dx = 0.25\Delta n_0$, using the combination smoothing parameters - number of iterations $\sigma = 1/6$ - $m = 1$, which is, among the allowable combinations, the one providing the largest response of the higher-order moments. The obtained velocity and turbulence intensity fields over the horizontal plane at hub height are displayed in Fig. 17. The velocity deficit of F02 appears slightly larger than that detected behind the unwaked turbine F01, which is most probably due to the wake superimposition. An even deeper velocity deficit can be observed behind F03, which operates in a

295   partially waked condition for this specific wind direction. Downstream of the third turbine, the wake deficit build-up saturates, confirming results from previous studies on close wake interactions (Barthelmie et al., 2010; Chamorro and Porté-Agel, 2011). Finally, the relatively fast recovery of the wake of the trailing turbine, F04, can be ascribed to the enhanced mixing due to the wake-generated turbulence. Indeed, Fig. 17b shows significant wake-generated turbulence increasing past the leading turbine that reaching its maximum at a distance of $1D$ downstream of the rotor of F03. Interestingly, wake-generated turbulence is

300   concentrated on the sides of the wake of F01, which experiences undisturbed flow, while it spreads among the whole wake region for the downstream turbines. This feature might be related to the presence of coherent wake vorticity structures in the near wake of turbine F01 (Iungo et al., 2013a; Viola et al., 2014; Ashton et al., 2016), while further downstream, the perturbed inflow promotes the breakdown of such coherent structures leading to more homogeneous turbulence. Finally, the large velocity
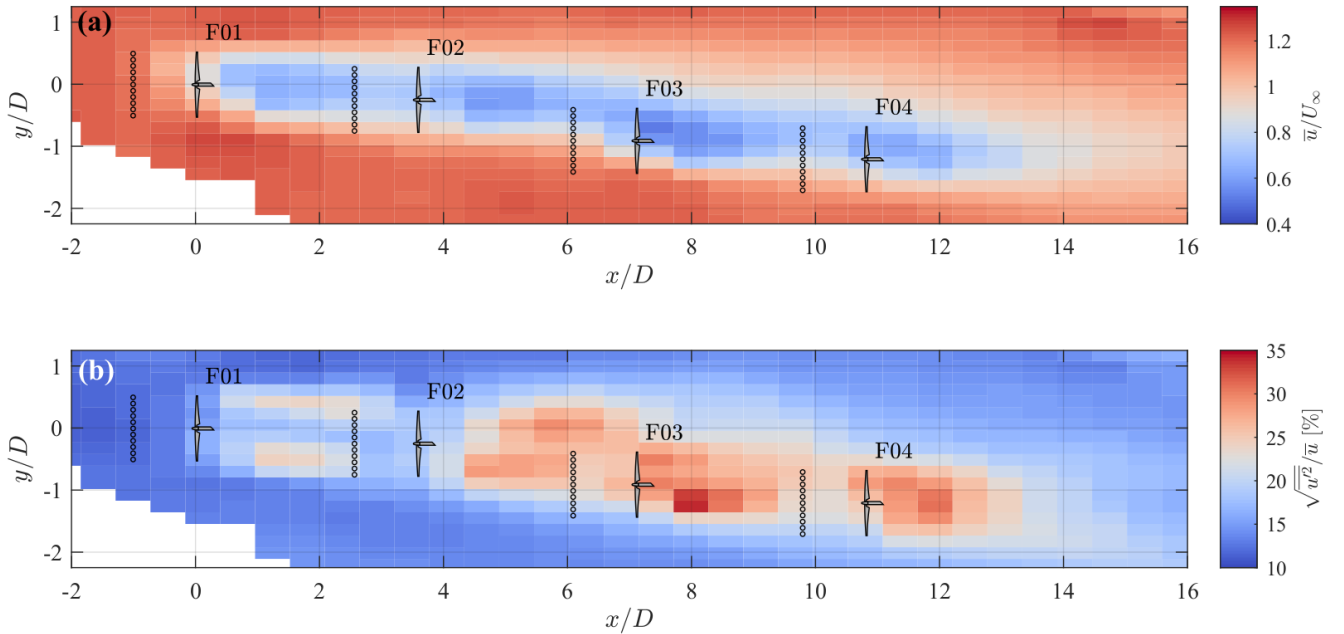
Figure 17. Velocity statistics of the wakes generated by the turbines F01-F04 reconstructed over the horizontal plane at hub height: **(a)** mean streamwise velocity; **(b)** streamwise turbulence intensity. The black dots indicate the sampling locations used for the estimation of the incoming flow for the respective turbine.

deficit/high turbulence detected in the wake of F03 may be a consequence of the mentioned partial wake interaction, which exposes the rotor to a non-homogeneous flow resulting ~~during~~ in a severely off-design operation.

From a more quantitative standpoint, the incoming wind conditions experienced by each turbine are characterized to perform a direct comparison with the nacelle-anemometer data. To this aim, the mean velocity and turbulence intensity profiles are extracted from the LiDAR statistics at a distance of $1D$ upstream of the rotors over a segment spanning the whole rotor diameter. The sampling location is chosen based on previous studies (Politis et al., 2012; Hirth et al., 2015), since $1D$ is generally considered the minimum distance upstream of the rotor where the influence of the induction zone can be neglected for normal operative conditions. The averaged values of $\overline{u}/U_\infty$ and $\sqrt{\overline{u'^2}}/\overline{u}$ of each upstream profile are then used for the comparison with the respective values recorded through the SCADA.

A well-posed comparison of the wind statistics obtained from the LiSBOA, the SCADA and met-data requires two important elements: firstly, the statistical moments compared have to be equivalent; secondly, both the LiSBOA and the SCADA data must be representative of the freestream conditions experienced by each turbine.

Regarding the first issue, the mean field obtained through the LiSBOA, $\overline{u}$, can be expressed as:

$$\left\langle \frac{u}{U_\infty} \right\rangle_T = \left\langle \left\langle \frac{u}{U_\infty} \right\rangle_{\hat{T}} \right\rangle_T \sim \left\langle \frac{U_{\text{SCADA}}}{U_{\text{met}}} \right\rangle_T \tag{3}$$

21

where $\langle . \rangle_T$ is the average calculated over the whole sampling period of 150 minutes, while $\langle . \rangle_{\hat{T}}$ is the 10-minute average performed by the SCADA and the met-tower acquisition system. U_{SCADA} and U_{met} are the 10-minute averaged velocities recorded from the SCADA and met-tower, respectively, while the symbol $\sim$ indicates statistical equivalence.

Similarly, for the comparison between the velocity variance calculated through the LiSBOA and the respective values recorded through the SCADA, we have the following relationship:

$$\left\langle \frac{u'^2}{U_\infty^2} \right\rangle_T = \left\langle \left\langle \frac{\hat{u}'^2}{U_\infty^2} \right\rangle_{\hat{T}} \right\rangle_T + \left\langle \left\langle \frac{u}{U_\infty} \right\rangle_{\hat{T}}^2 \right\rangle_T - \left\langle \left\langle \frac{u}{U_\infty} \right\rangle_{\hat{T}} \right\rangle_T^2 \sim \left\langle \frac{U_{\text{SD, SCADA}}^2}{U_{\text{met}}^2} \right\rangle_T + \left\langle \frac{U_{\text{SCADA}}^2}{U_{\text{met}}^2} \right\rangle_T - \left\langle \frac{U_{\text{SCADA}}}{U_{\text{met}}} \right\rangle_T^2 \qquad (4)$$

where u' and $\hat{u}'$ are the velocity fluctuations with zero mean calculated over the period T and $\hat{T}$, respectively. The parameter $U_{\text{SD, SCADA}}^2$ is the velocity variance recorded by the SCADA over the period $\hat{T}$ of 10 minutes.

To ensure that the SCADA mean and standard deviation of velocity are representative of the undisturbed wind conditions at each rotor, these velocity statistics are corrected for the flow distortion induced by the turbine through appropriate nacelle transfer functions (NTF), which converts the velocity statistics measured at the nacelle of a wind turbine to the corresponding freestream values measured from a met-tower located nearby. The IEC standard 61400-12-2 (International Electrotechnical Commission, 61400-12-2, 2013) prescribes to calculate the NTF from the bin average with bin size 0.5 m s^{-1} of the velocity measured by a reference anemometer as a function of the nacelle wind speed. In the present work, besides correcting the mean wind speed as indicated by the IEC standards, a linear correction of the wind speed standard deviation is also applied, as suggested by Argyle et al. (2018). We adopted as reference anemometer that installed at 69 m above the ground on met tower #2. The SCADA data of Mitsubishi turbines H05 and H06, both falling in the range of distances from the met-tower recommended by the IEC 61400-12-1 (International Electrotechnical Commission 61400-12-1, 2017), are used. Only the unwaked wind sectors calculated based on the same standard are considered. The described layout is shown in Fig. 18, while Fig. 19 shows the result of this analysis. There is a high correlation between the velocity measured by the met-tower and the nacelle-mounted anemometer ($\rho = 0.976$). Nevertheless, the NTF of the velocity reveals consistently lower values occurring at the nacelle compared to the met-tower, with a peak at 20 m s^{-1}. Concerning the standard deviation of velocity, the agreement between SCADA and met-tower data is significantly lower ($\rho = 0.828$), yet a linear correction can be still calculated with acceptable significance (error on slope and intercept are 0.0038 and 0.0034 with 95% confidence).

The results of the comparison between LiSBOA and SCADA are provided in Fig. 20. The mean velocity is accurately captured and confirms that F02 and F04 are the turbines mainly affected by the upstream wakes. The slightly higher momentum impinging F03 is mostly due to the imperfect alignment of that rotor with the upstream turbine wakes, which creates a condition of partial-wake interaction. A slightly larger discrepancy between LiSBOA and SCADA data is observed for the turbulence intensity, with a maximum difference of $\sim 3\%$ for F03. Nonetheless, the main trend is well reproduced and the overall agreement is satisfactory. The observed difference in turbulence intensity can be related to several factors, such as turbulence damping due to the LiDAR measuring process and LiSBOA calculations, the accuracy of the NTF, estimate of the streamwise velocity from the LiDAR radial velocity or vertical dispersive stresses.

The effect of the sampling location upstream of the turbines in the LiSBOA field is investigated by quantifying the discrepancy of the LiSBOA statistics with respect to the reference SCADA values for all the turbines through the 95-th percentile of
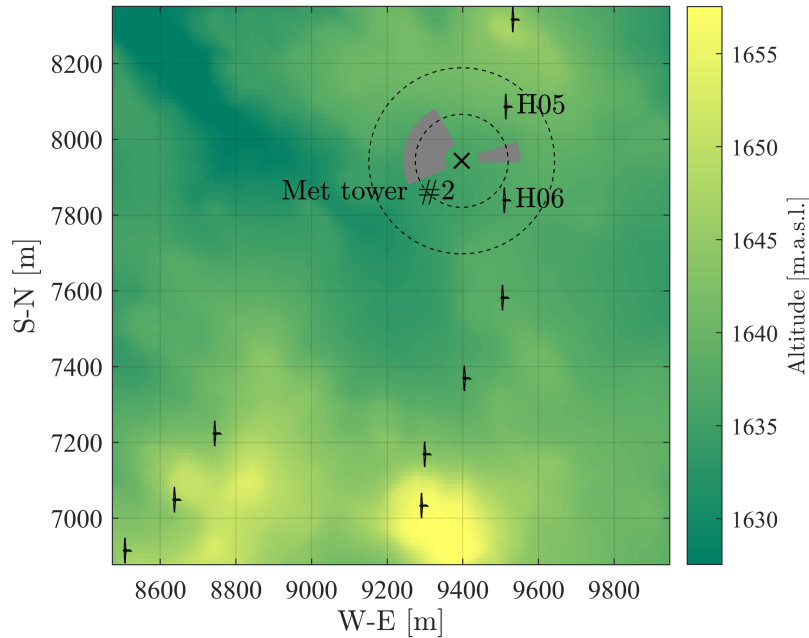
Figure 18. Met-tower and turbines selected for the nacelle transfer function estimation. The directions highlighted in grey represent the valid wind sectors unaffected by turbine wake interactions. The dashed circles bound the allowed range of distances from the tower in compliance with IEC standard 61400-12-1 (International Electrotechnical Commission 61400-12-1, 2017).

the absolute error, AE_{95}. Figure 21 shows AE_{95} as a function of the distance upstream where the incoming flow is extracted from the LiSBOA statistics. For the mean velocity, it is confirmed that the value suggested by the literature ($x = -1D$) is sufficiently far from the rotor to limit the effects of the induction zone on the definition of the reference freestream velocity. Furthermore, the rotor thrust does not seem to have noticeable effects on the incoming turbulence, being the induction zone essentially devoid of significant turbulent fluctuations due to the loads of the turbine blades. The discrepancy between the turbulence intensity retrieved through LiSBOA and SCADA steeply increases for sampling locations further than $2D$ from the rotor.

Summarizing, the satisfactory agreement between LiSBOA and SCADA data achieved in the present study indicates the proposed procedure as a promising candidate for wind resource assessment, especially for complex terrains, and investigations of the intra-wind-farm flow.

5 Conclusions

The LiDAR Statistical Barnes Objective Analysis (LiSBOA) has been applied to ~~three~~ two different cases of wind turbine wakes to estimate the optimal LiDAR scanning strategy and retrieve mean velocity and turbulence intensity fields.
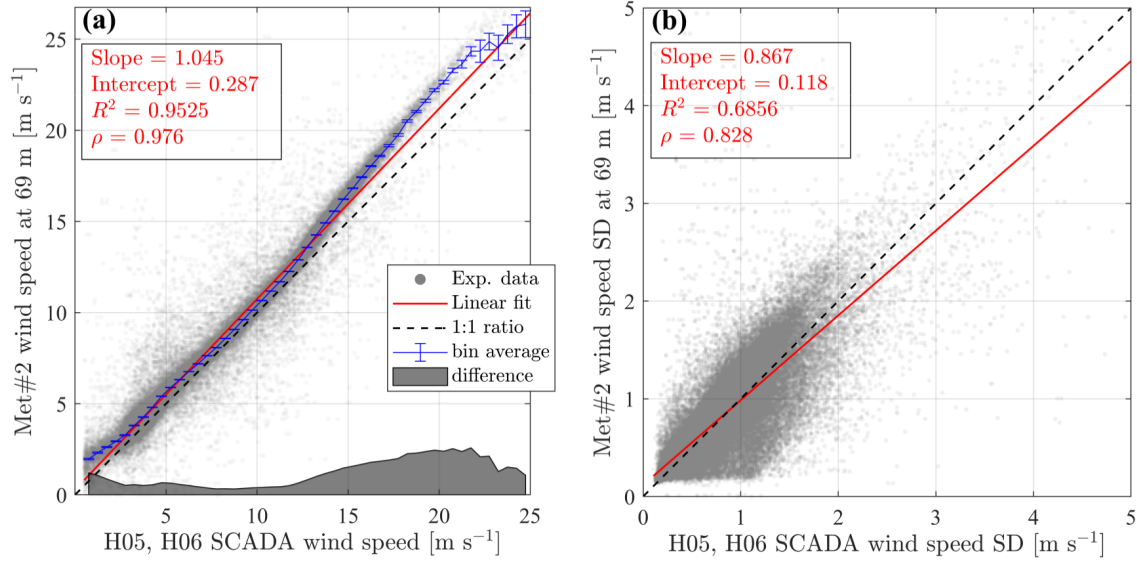
Figure 19. Nacelle transfer function for mean **(a)** and standard deviation **(b)** of wind speed. The error bars represent the standard error on the mean with 95% confidence level.
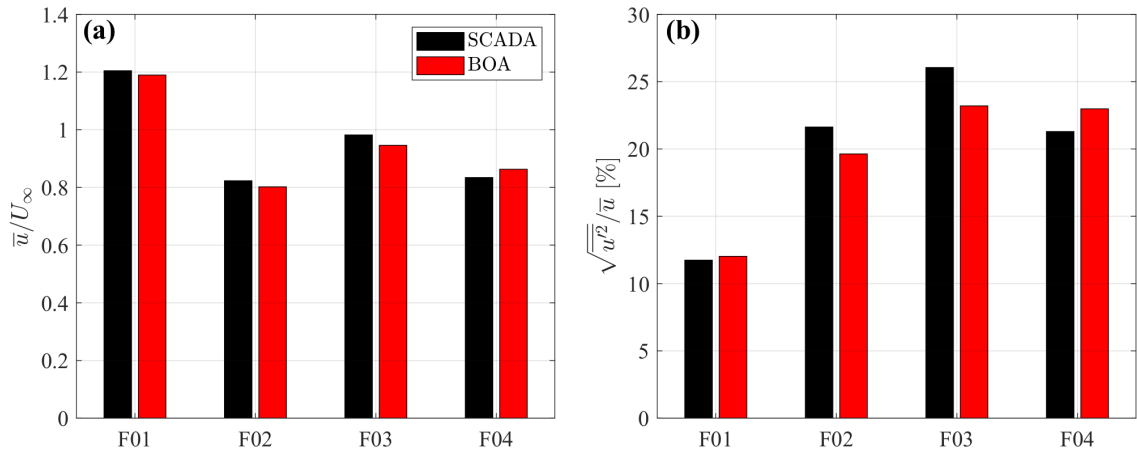

Figure 20. Comparison between LiSBOA and SCADA wind statistics for a case with wake interactions: **(a)** mean streamwise velocity normalized by freestream velocity; **(b)** streamwise turbulence intensity.

365 ~~The first dataset objectively analyzed has been generated through the virtual LiDAR technique, namely by numerically sampling the turbulent velocity field behind the rotor of a 5 MW turbine obtained from a large eddy simulation (LES). The 3D mean normalized streamwise velocity and turbulence intensity fields have shown a maximum error with respect to the LES statistics of about 4%. Wake features, such as the high-velocity stream in the hub region and the turbulent shear layer at~~
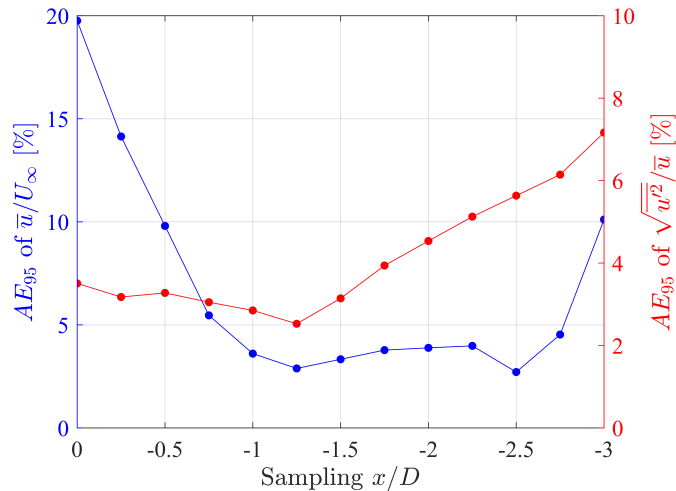
Figure 21. AE_{95} of mean velocity and turbulence intensity for F01-F04 as a function of the upstream sampling location of the LiSBOA fields.

~~the wake boundary, have been accurately reconstructed. This analysis has also confirmed that the optimal scanning strategy~~
370 ~~identified by the LiSBOA has been that producing the most accurate flow statistics.~~

~~Subsequently,~~ First, the LiSBOA has been used to process real LiDAR data collected for a utility-scale wind farm. For the first test case, the statistics of the wakes of four non-interacting 1.5-MW turbines placed at the brink of an escarpment have been reconstructed. The optimal LiDAR scanning strategy has been selected through the LiSBOA, while the mean velocity and turbulence intensity fields retrieved through the LiSBOA have offered a detailed insight of the wake morphology. Furthermore,
375 a sensitivity analysis of the wind direction range has confirmed the robustness of the data selection and quality control methods.

~~Finally,~~ Subsequently, the complex velocity field arising from the interaction of four 1-MW turbines has been analyzed by calculating first and second-order moments on the horizontal plane. The mean velocity and turbulence intensity extracted $1D$ upstream of the rotors have agreed well with the values provided by the nacelle anemometers, with maximum discrepancies as low as 3% of the undisturbed wind speed for the mean velocity and 3% (in absolute terms) for the turbulence intensity.

380 The applications of the LiSBOA discussed in this work aims to showcase the potential of the proposed procedure for the optimal design of LiDAR scans and to provide guidelines for the utilization of the LiSBOA for the analysis of LiDAR data. Two noticeable advantages of the LiSBOA arise from the present work: first, once the wavelengths of interest and the LiDAR basic setup are selected, the LiSBOA allows a ~~straightforward~~ systematic and ~~yet~~ effective design of LiDAR scans, which ~~exploits only basic knowledge about~~ includes all the essential information of the flow under investigation and the LiDARs
385 used. This feature can be of interest, especially when planning field experiments that involve multiple LiDARs, complex topography, or articulated turbine configurations. In such situations, the use of the proposed quantitative and comprehensive scan design approach may be beneficial to narrow down a great deal of arbitrariness and uncertainty associated with the campaign planning. Second, the LiSBOA offers complete control over the response of the spatial wavelengths of the velocity

field for the statistical moments with various order. This feature is crucial when dealing with turbulent and multi-scale flows

390 because it allows extracting meaningful information from the flow while filtering out small-scale variability.

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