



# Integrated water vapor and liquid water path retrieval using a single-channel radiometer

Anne-Claire Billault-Roux<sup>1</sup> and Alexis Berne<sup>1</sup>

<sup>1</sup>Environmental Remote Sensing Laboratory, Swiss Federal Institute of Technology, Lausanne

**Correspondence:** Alexis Berne (alexis.berne@epfl.ch)

**Abstract.** Microwave radiometers are widely used for the retrieval of Liquid Water Path (LWP) and Integrated Water Vapor (IWV) in the context of cloud and precipitation studies. This paper presents a new site-independent retrieval algorithm for LWP and IWV, relying on a single-frequency 89-GHz ground-based radiometer. A statistical approach is used, based on a neural network, which is trained and tested on a synthetic data set constructed from radiosonde profiles worldwide. In addition to 89-GHz brightness temperature, the input features include surface measurements of temperature, pressure and humidity, as well as geographical information and, when available, estimates of IWV and LWP from reanalysis data. An analysis of the algorithm is presented to assess its accuracy, the impact of the various input features, as well as its sensitivity to radiometer calibration and its stability across geographical locations. The new method is then implemented on real data that were collected during a field deployment in Switzerland and during the ICE-POP 2018 campaign in South Korea. The new algorithm is shown to be quite robust, especially in mid-latitude environments with a moderately moist climate, although its accuracy is inevitably lower than that obtained with state-of-the-art multi-channel radiometers.

## 1 Introduction

Clouds play a key, though complex, role in the atmosphere's radiative balance and global circulation (Hartmann and Short, 1980; Slingo, 1990; Hartmann et al., 1992; Wang and Rossow, 1998; Stephens, 2005; Mace et al., 2006; McFarlane et al., 2008), and cloud studies have thus been propelled to the forefront of climate research. One of the core challenges is the monitoring, quantification and modelling of cloud liquid water, which has a significant contribution to radiative processes on a global scale. In this perspective, highly accurate methods were developed to retrieve liquid water path (LWP) as well as integrated water vapor (IWV) from microwave radiometer measurements, relying on the fact that water in its liquid and vapor phases is the main atmospheric contributor to brightness temperatures in millimeter wavelengths, outside of the oxygen window. On a different note, quantifying cloud liquid water content is also relevant to the field of snowfall studies. Identifying the presence of supercooled liquid water during a snowfall event is of paramount importance to the understanding of snowfall microphysics, for it drives riming of snow particles, which in turn affect the efficiency and the spatial distribution of precipitation (Saleeby et al., 2011), as well as wet deposition of aerosols (Poulida et al., 1998). Improving the monitoring of cloud liquid water processes is thus valuable to climatological, meteorological and hydrological applications.



25 The quantitative retrieval of LWP from ground-based or satellite measurements of brightness temperature ( $T_B$ ) at a single millimeter wavelength is an underdetermined problem. This brightness temperature results from the radiative contribution of gases, aerosols and hydrometeors across the atmospheric column, and depends on the vertical profile of temperature. To lift this underdetermination, state-of-the-art retrievals of LWP and IWV rely on multi-frequency radiometers, which provide  $T_B$  measurements in several microwave channels. This allows to separate the contributions of water vapor and liquid water (e.g. Westwater et al. (2001)) and, to some extent, retrieve the full profile of liquid water content and humidity in the atmospheric column (Löhnert et al., 2004).

Such multi-frequency instruments are however not always available. It was shown (Küchler et al., 2017) that a radiometer channel at 89 GHz could be added to a W-band cloud radar operating at 94 GHz, thus allowing to have collocated measurements of radar variables and brightness temperature. This paves the way for an improved understanding of cloud and precipitation physics. Küchler et al. (2017) proposed a method to derive LWP estimates from the single-frequency measurements of brightness temperature, and the present study builds on those findings.

Two approaches are commonly considered for the retrieval of LWP and IWV, as described in Turner et al. (2007) and Cadeddu et al. (2013). The first method relies on the reconstruction of atmospheric profiles, with a physical model that is iterated until modeled  $T_B$ s match the measured ones. Although this method is formally the most accurate (Turner et al., 2007), it is computationally heavy and cannot be implemented accurately when only one frequency is available. The other way to tackle the problem is to derive statistical relationships between  $T_B$  and LWP and/or IWV based on synthetic datasets. This approach has been widely used, both for ground-based and satellite applications, with varying degrees of complexity in the algorithms (linear, quadratic, log fitting or using neural network architectures) (Karstens et al., 1994; Löhnert and Crewell, 2003; Mallet et al., 2002; Cadeddu et al., 2009). The retrieval coefficients that are computed with this method are usually site-specific, since they incorporate during the learning or regression stage the climatological features at the location of the dataset. The geographical range within which a site-specific algorithm could be reliable is difficult to estimate, especially if the orography of the region is complex, as highlighted by Massaro et al. (2015). In general, implementing a site-specific algorithm in a location with a different climatology is likely to yield erroneous retrievals (Gaussiat et al., 2007). In order to implement such an algorithm at another site, a new parameterization should be performed using a suitable dataset; but there might not always be enough reliable data available for this purpose. In order to avoid this lengthy process, and in the case of instruments that are intended to be deployed in various locations, a site-independent algorithm is more adequate (Liljegren et al., 2001).

The purpose of this study is to present a new site-independent method for the retrieval of both LWP and IWV, that relies on a single radiometer frequency. The regression is performed through a neural network, whose input consists of brightness temperature at 89 GHz, as well as surface measurements and geographical information. Although it implies a loss of performance in comparison with state-of-the-art multi-frequency retrievals, the advantage of this method is to be applicable in any location with a constrained uncertainty.

The following section describes the data used in the different steps of this study, from the design steps to the validation of the new method. Section 3 outlines the forward model that is used to build the synthetic database on which the LWP and IWV retrieval algorithms are trained. In Sect. 4, the design of the algorithms is detailed, and the results on the synthetic dataset



60 are reviewed and analyzed in Sect. 5. An independent validation of the method is presented in Sect. 6 using two contrasted datasets that were collected during field deployments in Payerne (Switzerland) and in the Taebaek mountains (South Korea). A summary and conclusions are provided in Section 7.

## 2 Data

The present work is based on two types of data: a multiyear collection of radiosonde observations across the world (for training and testing of the retrieval algorithms) as well as sets of measurements from a 89-GHz radiometer deployed in various regions during field campaigns limited in time. Those two types of data are described below.

### 2.1 Radiosonde dataset

The design of a statistical algorithm requires a large dataset on which to perform statistical learning. Here, this database was built using radiosonde profiles collected in over 180 stations throughout the world, available through the University of Wyoming portal (Oolman, 2020). In total,  $\sim 10^6$  radiosonde profiles are used, from 20 years of data (2000-2019). It was ensured that the database included radiosonde stations from all climatic regions and covering a wide range of altitudes (0 to 4000 m). However, lack of data in some areas inevitably results in an unbalanced dataset, where polar and tropical areas are under-represented compared to mid-latitudes, especially Europe. The possible impact on the performance of the algorithm is further discussed in Sect. 5.

75 The vertical profiles of pressure, temperature and relative humidity are extracted from the radiosonde data and then used as input to the forward model, as described in Sect. 3. The vertical resolution is relatively low (0.37 km on average).

### 2.2 Field deployments

In the validation steps of this work, the new method was implemented on real 89-GHz radiometer data, that were collected during campaigns described below.

#### 80 2.2.1 Instrument

The main instrument that was used in this study for the implementation of the algorithm is the one described in K uchler et al. (2017), which is here referred to as WProf. This radar-radiometer system, conceived and built by RPG, consists of a 94-GHz Frequency-Modulated Continuous Wave (FMCW) cloud radar with an 89-GHz radiometer channel, which allows for joint active and passive retrievals of cloud and precipitation. In the data presented here, WProf was deployed together with a weather station that provided surface measurements of temperature, pressure and relative humidity.

#### 2.2.2 Payerne 2017

The first data set on which the new algorithm was evaluated was collected during a field deployment in Payerne (Switzerland), at 450 m of altitude, in late spring 2017 (May 15th – June 15th). As a means of comparison, data from the Swiss meteorological



institute (MeteoSwiss) was used. MeteoSwiss's facilities in Payerne comprise a multi-frequency radiometer with tipping-curve  
90 calibration, HATPRO (Rose et al., 2005; Löhnert and Maier, 2012). This state-of-the-art instrument retrieves LWP and IWV  
with a nominal accuracy of respectively  $20 \text{ g m}^{-2}$  and  $0.2 \text{ kg m}^{-2}$  (RPG Radiometer Physics GmbH, 2014). During this de-  
ployment, both WProf and HATPRO measured brightness temperatures with a high temporal resolution of the order of a few  
seconds.

95 In addition, radiosondes are launched twice daily in Payerne, allowing for the direct computation of IWV values, which are  
used as a further source of validation for the IWV retrieval algorithm.

### 2.2.3 ICE-POP 2018

The second dataset on which the new algorithm was tested was gathered during the ICE-POP 2018 campaign, which took  
place in South Korea during the 2017-2018 winter, in the context of the 2018 Olympic and Paralympic winter games in Pyeong  
100 Chang (Gehring et al., 2020). WProf was deployed from November 2017 to April 2018 in Mayhills, 50 km south-east of  
Pyeong Chang, at 789 m of altitude. This allows for an implementation of the algorithm in a different context than Payerne:  
i.e. in winter conditions and at a fully different geographical location, at a lower latitude and closer to the sea.

In this case, unlike in Payerne, no independent measurements of LWP are available; however, radiosondes were launched every  
3 hours, thus providing a means of comparison for IWV retrievals.

## 105 3 Forward model

In order to develop a statistical algorithm, a large amount of data is required to reliably perform the statistical learning phase. For  
this purpose, a synthetic database was built, using as a starting point the radiosonde profiles worldwide described in the previous  
section. A two-step forward model was implemented, first to identify clouds in each profile and derive the corresponding liquid  
water content, then to compute the resulting 89-GHz brightness temperature. The different steps of this forward model are  
110 illustrated in the flowchart in Fig. 1.

### 3.1 Cloud liquid model

To derive profiles of liquid water content (LWC) from radiosonde profiles of atmospheric variables, the cloud model from  
Salonen and Uppala (1991) was used. Cloud boundaries are identified using a threshold on relative humidity, this threshold  
being pressure- and temperature-dependent. Corrections from Mattioli et al. (2009) are used for the coefficients of the Salonen  
115 model. More sophisticated and accurate models can be defined on a local geographical scale (e.g. Pierdicca et al. (2006)), but  
given the stated objective of this study to design a non-site specific algorithm, it was considered preferable to assume a single  
universal liquid cloud model, in spite of its potential limitations.



### 3.2 Radiative transfer model

Ground-level brightness temperatures ( $T_B$ ) at 89 GHz are simulated for each profile using the Passive and Active Microwave  
120 TRansfer Model (PAMTRA (Maahn, 2015; Mech et al., 2020)) available at <https://github.com/igmk/pamtra> (last access: May  
27th, 2020). As input to the radiative transfer calculations, vertical profiles of temperature, pressure, hydrometeor mixing ratio  
and water vapor mixing ratio are used. The cloud droplet size distribution (DSD) is chosen as a gamma distribution following  
Karstens et al. (1994), and scattering calculations are performed with Mie equations, assuming spherical particles. Let us note  
here that the exact choice of the DSD has little impact on  $T_B$  modeling as long as the droplets are in the Rayleigh regime for  
125 the given frequency, since the emission cross-section in this regime is quasi-linearly related to the particle's volume. When the  
droplet size deviates from this regime, for instance as droplets grow larger near the onset of precipitation, then the Rayleigh  
assumption falls short and higher-order terms in the Mie equations become non-negligible, which alters the modeling of  $T_B$   
(e.g., Zhang et al., 1999). This implies that the algorithm will output biased results when applied to raining cases, and should  
not be trusted in those cases. This shall be considered as an intrinsic limitation to the algorithm.

130 While radiative emission from solid hydrometeors has a minor influence on brightness temperature when compared to emission  
from liquid droplets and water vapor, they do contribute to microwave brightness temperature through the backscattering of  
surface radiation. Scattering from snowfall particles is difficult to model accurately, but Kneifel et al. (2010) suggest that this  
effect could be notable during snowfall, in a way that is highly dependent on the microphysical properties of snowfall particles,  
and that would increase with their size. The present study does not take into account this process and could therefore yield  
135 biased results during intense snowfall events.

## 4 Design of the IWV and LWP retrieval algorithms

### 4.1 Input features

When a single frequency is available for the measurement of  $T_B$ , the problem's underdetermination can be partially relieved  
by including other available information in the retrieval's measurement vector. In this study, several categories of variables  
140 were included in the input features. The first category consists of  $T_B$  and higher order polynomials (up to fourth degree) and is  
expected to have the greatest importance in the retrieval. Secondly, surface measurements are included (temperature, sea-level  
pressure and relative humidity); in the case of the radar-radiometer set-up that is used here, a weather station is collocated,  
meaning those measurements are available at the location of the instruments. The third category of input features comprises  
geographical descriptors: latitude, longitude, altitude; the day of year is also included in this group of features, as a means to  
145 account for seasonal variability in atmospheric and meteorological conditions. When available, a fourth category is added to  
the input features with reanalysis data (precipitable water and liquid water) from ERA5 (Copernicus Climate Change Service,  
2020). The spatial and temporal resolution of this reanalysis data is too low for it to be held as ground truth, but it can serve as  
a reasonable rough estimate in the statistical learning process. Those four groups of features are used both for the retrieval of



IWV and that of LWP. In the case of LWP, an additional input feature can be added, which is the output of the IWV retrieval  
150 algorithm. The impact of each of those feature groups on the retrieval will be discussed in Sect.5.

## 4.2 Dataset preprocessing

Strong rain events should be excluded from the training set, since they are out of the scope of the algorithm's range of validity,  
as explained in Sect. 3. Profiles with  $LWP > 1000 \text{ g m}^{-2}$  are therefore removed (i.e. in the range of heavy rain according to  
Cadeddu et al., 2017). The resulting dataset contains  $\sim 10^6$  profiles and is used for the design of the IWV retrieval algorithm.

### 155 4.2.1 Further preprocessing for LWP dataset

In the case of LWP retrieval, additional pre-processing is needed, since the forward model produced a large majority of clear-  
sky cases. If left as such, the training phase will result in a strong bias of the retrieval toward low LWP values. In order to  
avoid this, the dataset was subsampled so that clear-sky and cloudy cases (up to  $600 \text{ g m}^{-2}$ ) would be equally represented;  
this threshold results from a trade-off between bias reduction and preservation of overall accuracy. The resulting histogram is  
160 shown in Fig. 2, and the LWP dataset thus contains  $\sim 10^5$  profiles. In the case of IWV, the distribution is also not uniform, but  
it suffers from a much smaller bias than the initial LWP data set. After some trials, it was considered preferable to use the full  
IWV data set rather than go through subsampling steps, which did not seem to bring significant improvements in this case. It  
should also be noted here that the additional pre-processing that was necessary for the LWP retrieval algorithm led us to design  
two separate algorithms, rather than a single one that would retrieve IWV and LWP at once. Indeed, while LWP retrieval is  
165 mostly relevant in cloudy cases, IWV can show some significant variability in clear-sky cases, which should therefore not be  
excluded from the training stage.

## 4.3 Statistical retrieval using a neural network

Both LWP and IWV datasets were randomly split into training, validation and testing set (70 %-15 %-15 %), and normalized  
using mean and standard deviation of each input feature in the training set. A densely-connected neural network architecture  
170 was chosen over linear regression and decision-tree-based retrieval techniques for it was found to produce more reliable results,  
with higher accuracy than the former and less prone to overfitting than the latter. The algorithm was designed using the Keras  
library in Python (Chollet et al., 2015). The neural networks' hyperparameters were tuned on the validation set. As comes  
across from the training curve of the LWP retrieval on Fig. 3, the training dataset is large enough to ensure that the algorithm is  
not prone to overfitting. Figure 4 and Table 1 summarize the resulting architecture and relevant parameters. Different versions  
175 of the algorithm were trained, using various sets of input features, to assess the importance of each category (discussed below).



## 5 Results on synthetic dataset

### 5.1 Error curves

Figure 5 illustrates the distribution of the error over the validation set. In panels c) and d), the target variable, respectively IWV and LWP, is binned to intervals on which the root mean square error (RMSE) is calculated. This illustrates the behavior of the algorithm across the entire range of values, rather than summarizing the performance with a single metric such as total RMSE, which can conceal specific behaviors related to the distribution of the target variable in the dataset. Along the same line, we emphasize that comparing those total RMSE values to those from other studies should be done carefully because they strongly depend on the dataset from which they are calculated.

Figure 6 shows how this total error, represented by RMSE (left panels) and by the square correlation coefficient ( $R^2$ ) (right panels), is affected by the addition or removal of input features. For each set of input features, a full tuning of the algorithm was performed, and the results that are presented correspond to those from the tuned – i.e. best – version on the validation set.

#### 5.1.1 IWV algorithm

The IWV retrieval algorithm yields a RMSE of  $1.6 \text{ kg m}^{-2}$  on the testing set, which corresponds to a relative error of 5.2 %, and is reasonably well distributed across the dataset. There is however a bias for large IWV values ( $> 60 \text{ kg m}^{-2}$ ), which are underrepresented in the dataset, and which the algorithm thus tends to underestimate (see Fig. 5 a).

From Fig. 6 a) it comes across that the IWV retrieval is significantly improved by the addition of secondary input features. Including solely  $T_B$  measurements in the input deteriorates the RMSE to nearly  $6 \text{ kg m}^{-2}$ . It appears that the most important secondary feature is ERA5 estimates, but that IWV is also significantly correlated to climatological features such as surface atmospheric variables as well as geographical and temporal information.

Overall, the IWV retrieval is reliable and accurate, in particular when using all available secondary information.

#### 5.1.2 LWP algorithm

The LWP retrieval algorithm has a RMSE of  $86 \text{ g m}^{-2}$  at best on the testing set (see Fig. 6 c), i.e. 24.6 % in relative error on the total testing set. If clear-sky cases are removed using  $30 \text{ g m}^{-2}$  as a threshold value, following Löhnert and Crewell (2003), the relative error is 17.5 %. The RMSE is here again rather homogeneous across the range of LWP values, with however a bias for low LWP values, which are slightly overestimated, and for large LWP ( $> 800 \text{ g m}^{-2}$ ) which are underestimated (see Fig. 5 b and d). The latter point is due to the lack of data in this range, and is likely acceptable for it would correspond to raining events (light to moderate); yet this highlights once again that those cases are out of the algorithm's scope. As already mentioned, the total RMSE values given here should be taken with care since they depend on the data set's distribution. For comparison, when the retrieval is implemented on the full dataset, i.e. without the subsampling described in Sect. 4, the total RMSE drops to  $40 \text{ g m}^{-2}$ .

Figure 6 c) shows that the LWP retrieval is less affected than IWV by secondary input features: most categories other than



brightness-temperature-derived features have a relatively small impact on the error. This is reasonable since liquid water typically has a greater contribution to  $T_B$  than water vapor (Löhnert and Crewell, 2003). Furthermore, LWP at a given location can have a high temporal variability due to cloud dynamics in the atmospheric column, which might not always be captured in the  
210 time series of surface atmospheric variables. Similar reasons can help explain why the addition of reanalysis data significantly improves the IWV retrieval, but only in a minor way does it increase the LWP retrieval's accuracy. Liquid water content can vary on a shorter spatial and temporal scale than that captured by ERA5 models.

Still, the accuracy of the algorithm drops severely when no other features are considered than brightness temperature (RMSE of  $140 \text{ g m}^{-2}$ ). This means that, albeit second-order when taken individually, the secondary input features are efficient in  
215 incorporating statistical trends and climatological information to the retrieval during the training phase.

Adding IWV prediction as an input feature to the LWP retrieval has a very minor impact. This is not surprising, since it is itself the output of an algorithm that relies on essentially the same input features. However, the slight improvement that is seen can be understood by recalling that the IWV retrieval algorithm was trained on a much larger dataset, which includes in particular a larger number of clear-sky cases (cf. Sect. 3).

## 220 5.2 Sensitivity to instrument calibration

In order to assess the stability of the algorithm with respect to potential miscalibration or calibration drift of the radiometer,  $T_B$  offsets were virtually added to the testing dataset before implementing the retrieval. Figure 7 a) shows that a 5 K offset in  $T_B$  results in a 30 % increase in RMSE for the IWV estimations, which is non-negligible. Ensuring proper radiometer calibration thus seems crucial in constraining the error of this retrieval. For comparison, the 89-GHz radiometer presented in Kuchler et al.  
225 (2017) has a nominal accuracy of 0.5 K, after calibration.

In terms of relative impact, the LWP algorithm is less affected (Fig. 7 b) ) with an increase of the RMSE of less than 10 % for an offset of 5 K in  $T_B$ , which makes it reasonably stable to inaccuracy of  $T_B$  measurements. It also appears that the different versions are affected in a similar way by offset  $T_B$  values. When examined closely, the general trend follows the intuition that the retrieval is slightly more stable when more input features are included, which counterbalances the effect of  
230 the miscalibration.

## 5.3 Geographical distribution of the error

One of the motivations of this study was to design an algorithm that could be used across the globe with a constrained uncertainty. Figure 8 illustrates the geographical distribution of the error for both LWP and IWV retrievals, using the synthetic radiosonde-based dataset. Two approaches were used to assess this error: first, RMSE values were calculated on the entire set  
235 of data available for each location, excluding LWP greater than  $1000 \text{ g m}^{-2}$ . Second, the RMSE was normalized by the mean value of LWP (resp. IWV) for each site, excluding low values (LWP less than  $20 \text{ g m}^{-2}$ , i.e. using a conservative threshold to exclude clear-sky cases). Note that this normalized error is not equal to the relative error; rather, it gives an idea of how large the RMSE of the retrieval is, compared to the mean values that are observed at a given location.





240 From the non-normalized error (left panels of Fig. 8), it can be seen that most high- and mid-latitude locations have a constrained RMSE around 20-60  $\text{g m}^{-2}$ , while tropical sites are not as well captured, with RMSE exceeding 120  $\text{g m}^{-2}$  in some locations. The temperature and humidity conditions, as well as the strong precipitation events that typically occur in those regions, are probably responsible for this discrepancy.

The normalized error (right panels of Fig. 8) shows that the error is overall of the same order of magnitude across the globe. However, a few regions stand out from this analysis, which typically feature arid climates: the stations of Dalanzadgad (Mongolia), Salalah (Oman), Minfeng (China, north of Tibet), Jeddah (Saudi Arabia) all have a normalized error on LWP higher than 0.7, and are in the desert. In a similar way, it appears that the IWV retrieval algorithm performs poorly – in terms of normalized error – in cold environments where absolute humidity is low, as in Sermersooq (Greenland). In such regions, the new algorithm is not sensitive enough to capture accurately the fine variations of atmospheric vapor and liquid water content: if detailed studies of those areas were to be conducted, more than one radiometer frequency would likely be necessary, along with specific training sets on which to perform the statistical learning, as was done in the Arctic by Cadeddu et al. (2009).

## 6 Evaluation in two contrasted datasets

As a further step in the validation process, the algorithm was applied to data from two campaigns involving WProf, first in Payerne, Switzerland, then near Pyeong-Chang, South Korea (see Sect. 2 for the full description of the datasets). In both cases, the output of the retrieval is compared with values retrieved through other methods, either a multi-channel radiometer or – in the case of IWV – radiosonde data.

### 6.1 Payerne 2017

#### 6.1.1 IWV retrieval

The results of the new IWV retrieval algorithm are compared to those from MeteoSwiss' operational radiometer HATPRO, and to the radiosonde-derived values. From Fig. 9 a) and c) it appears that the IWV retrieval has relatively limited spread but has a constant bias, which is visible both in the comparison against HATPRO (a) and radiosonde-derived measurements (c). This might be due to a bias in ERA5 data, which is visible in ERA5 records during the entire campaign (not shown here) and for which there is no clear explanation at this stage. In spite of this, Fig. 10 and 11 shows that overall, the implementation of the different versions of the algorithm on the Payerne dataset matches the conclusions from the testing set results: the best retrieval is obtained with the full set of input features, and the greatest improvements result from the addition of ERA5 products, with surface measurements as the next most important category of input features.

However, the bias that is seen here points to the limitations of the IWV retrieval algorithm, which is sensitive not only to radiometer miscalibration but also to possible biases in other input variables, which can be difficult to monitor and assess – as in the case of ERA5 values in Payerne.



## 270 6.1.2 LWP retrieval

Figure 9 b) shows that LWP values retrieved with the new algorithm are in general agreement with those obtained thanks to HATPRO, although a larger spread is observed than in the IWV retrieval. A saturation effect can be seen near precipitation onset, when LWP values from HATPRO reach  $600 \text{ g m}^{-2}$ .

For comparison, the method described in K uchler et al. (2017) was implemented (further on referred to as K17), by performing a quadratic regression on a dataset consisting solely of radiosonde profiles collected in Payerne. As proposed by the authors, a first version (K17A) relies on a measurement vector consisting of  $T_B$ ,  $T_B^2$ , as well as the IWV estimate from reanalysis data  $IWV_{ERA5}$  and  $IWV_{ERA5}^2$ . Another version (K17B) includes only  $T_B$  and  $T_B^2$ . Theoretical RMSEs derived for those quadratic regressions on the synthetic dataset (19 720 profiles) are  $21 \text{ g m}^{-2}$  and  $43 \text{ g m}^{-2}$ , respectively, which is similar to the values obtained by the authors on radiosonde data from De Bilt (the Netherlands), i.e.  $15 \text{ g m}^{-2}$  and  $44 \text{ g m}^{-2}$ .

275 K17A and K17B were applied to Payerne campaign dataset, and their results are compared to those from the new algorithm in Fig. 10. The error metrics are calculated using HATPRO's values as a reference. The algorithms perform in a similar way, with slightly better results for the new algorithm when at least one of the secondary input features is included. We remind that K17A and K17B were specifically tuned on Payerne data, while the new algorithm was tuned globally, on a dataset that did not include radiosonde profiles from Payerne.

## 285 6.2 ICE-POP 2018

As detailed in Sect. 2, the South Korean deployment of WProf in 2017-2018 also offers an opportunity to compare results from the IWV retrieval to IWV from radiosonde measurements.

The analysis of the  $T_B$  timeseries showed that a miscalibration of the radiometer led to unrealistic – negative – values for which a correction had to be implemented, through the addition of a constant offset to  $T_B$  measurements. The value of this offset (18 K) was determined by computing theoretical brightness temperatures from clear-sky radiosonde profiles and comparing them to measured TBs, following the approach of Ebell et al. (2017). This is however only a first-order correction whose output should be taken with care, especially after the analysis of Sect. 5.2 which underlined the importance of  $T_B$  accuracy for IWV retrieval.

After this correction, the IWV retrieval gives coherent results (see Fig. 12), with a total RMSE that is slightly lower than that obtained on the testing data set ( $1.2 \text{ kg m}^{-2}$  at best). Here again, the best results are found when several input features are included and drop severely when no secondary input features are used. From Fig. 12 it also comes through that the algorithm is consistently outperformed by ERA5 products, which have both a lower RMSE and a higher  $R^2$ , which makes the algorithm less relevant for the study of this specific campaign. Let us highlight that this was not the case in Payerne nor in the radiosonde database, where the algorithm has a greater accuracy than ERA5 values. Possibly, the dry and cold weather that was observed during the ICE-POP campaign (Gehring et al., 2020) featured little short-term variability and were associated with stable atmospheric conditions that were particularly well captured in ERA5 reanalyses.

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Bringing the analysis of the ICE-POP data set a step further, it appears that the IWV retrieval is most reliable in non-precipitating or cold conditions, i.e. when little liquid water is expected in the column. Periods with no precipitation are identified using WProf's radar measurements as time steps with low radar equivalent reflectivity ( $Z_e < -10$  dB) in the lower gates (first kilometer above the radar), and temperature time series are provided by the weather station coupled to WProf. It appears that the algorithm yields a larger bias in rain, as was expected in the design steps of the algorithm (Sect. 3), but also during snow events with relatively warm temperatures, close to or slightly above  $0^\circ\text{C}$  (Fig. 13). Changes in the dielectric properties of snowflakes during the melting process can explain this increased error; additionally, the process described by Kneifel et al. (2010) and which was recalled in Sect. 3 suggests that snowfall events with large snow particles could have a non-negligible contribution to brightness temperature, which might explain the enhanced error in those cases.

## 7 Summary and conclusions

A new site-independent method was designed for the retrieval of LWP and IWV from a single-channel ground-based radiometer. In addition to 89-GHz brightness temperature, additional input features were used for the retrieval, such as surface atmospheric variables (temperature, pressure and humidity) and information on the geographical location and season. A neural network architecture was chosen for the statistical learning.

Training and testing were performed on a synthetic data base that was built using radiosonde profiles worldwide. The geographical distribution of the error shows that the algorithm performs better in mid-latitudes and regions with a moderate climate than in areas with a extreme climates – either arid or very moist – which include both tropical and polar regions, that are not well represented in the training dataset due to lack of available data. Also, the forward model that was used should most likely be revised in order to capture finely the atmospheric conditions in such specific environments. In addition, the training dataset lacks data from locations with complex orography, and more in-depth investigations should be conducted regarding the reliability of the retrieval in such terrain (Massaro et al., 2015).

The algorithm was then applied to two contrasted data sets, one reflecting summertime weather conditions in Switzerland, and the other in winter conditions in South Korea. For this application, measurements from RPG's cloud radar-radiometer system were used.

In Payerne, the new LWP retrieval was found to perform slightly better than the algorithm proposed by K uchler et al. (2017) for the same instrument, which was specifically trained using radiosonde data from Payerne. When compared to radiosonde measurements of IWV, the IWV retrieval was found to be less accurate than that of a state-of-the-art multi-channel radiometer (HATPRO), although both instruments yield errors within the same order of magnitude. In the South-Korean winter dataset, the IWV retrieval proved in general quite robust, but a slight bias was present during some snowfall events, that could be related to the scattering properties of snow particles, which were not taken into account in the forward model.

Further steps in the improvement of the current algorithm would include coupling information from the radar and the radiometer channel (Ebell et al., 2010; Cadeddu et al., 2020). The detection of clear-sky cases with radar data (M atzler and



Morland, 2009) could help monitor the calibration of the radiometer, and introduce  $T_B$  offsets for correction when necessary (Ebell et al., 2017). Radar moments could be used to distinguish cloudy from drizzling or rainy cases, similar to the approach conducted by Cadeddu et al. (2020), and to use appropriate DSDs for each case to account for non-Rayleigh scattering by precipitating droplets. However, forward modeling of radar data requires further assumptions on microphysical properties and atmospheric conditions, for which generalization to a global geographical scale is a real challenge.

Overall, the LWP and IWV retrieval methods that were designed within this study were shown to perform reasonably well, both when applied to synthetic and to real datasets, although their performance is inevitably lower than that of multi-channel radiometers specifically designed for LWP and IWV retrieval. The new algorithms should be seen as a valuable tool for atmospheric liquid water and vapor monitoring in the context of radar-radiometer studies. They are non-site specific, and thus do not require further tuning before use on a new site, which makes them easy to implement, while their accuracy is well characterized.

*Code availability.* The code developed in this study can be made publicly available upon request to the authors.

*Author contributions.* ACB and AB designed and conducted the study. ACB processed the data and run the analyses. ACB prepared the manuscript with contributions and supervision from AB.

*Competing interests.* The authors declare that they have no competing interests.

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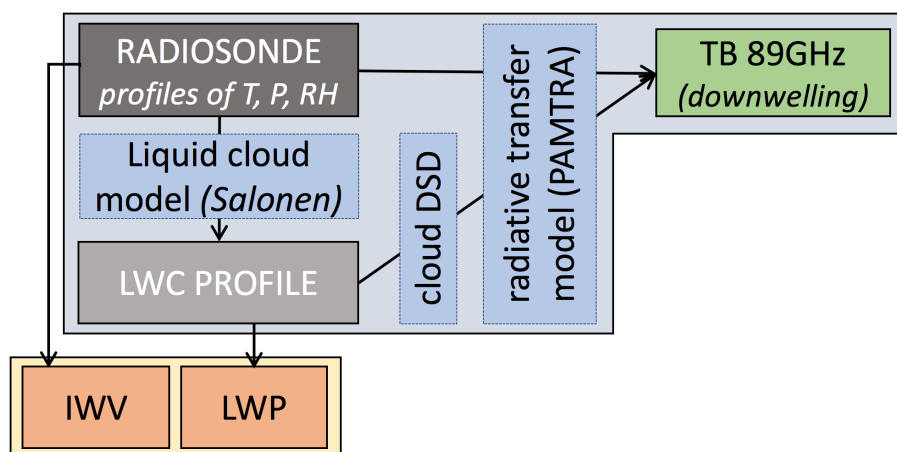
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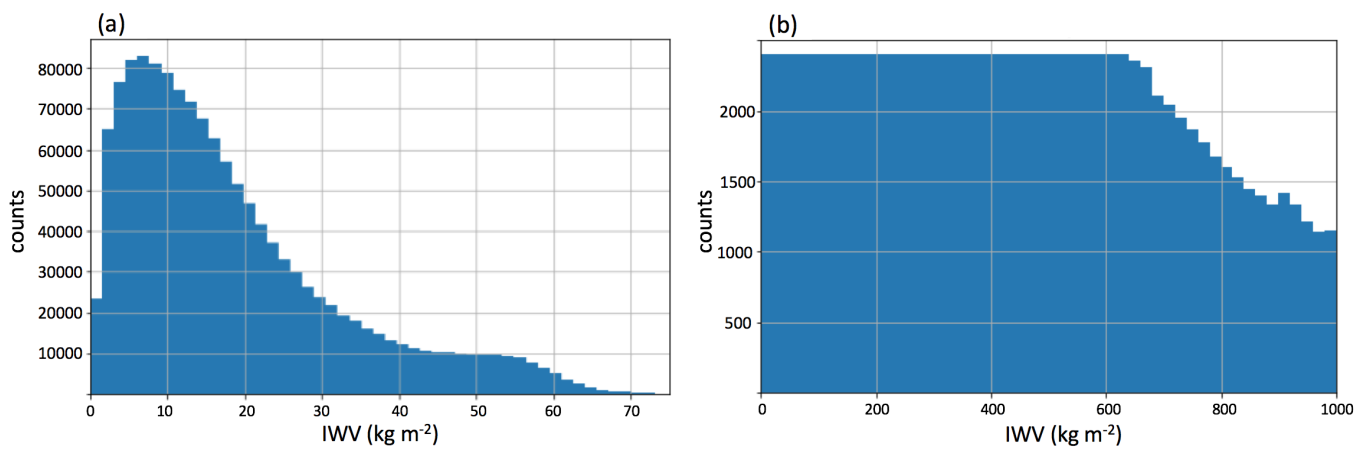


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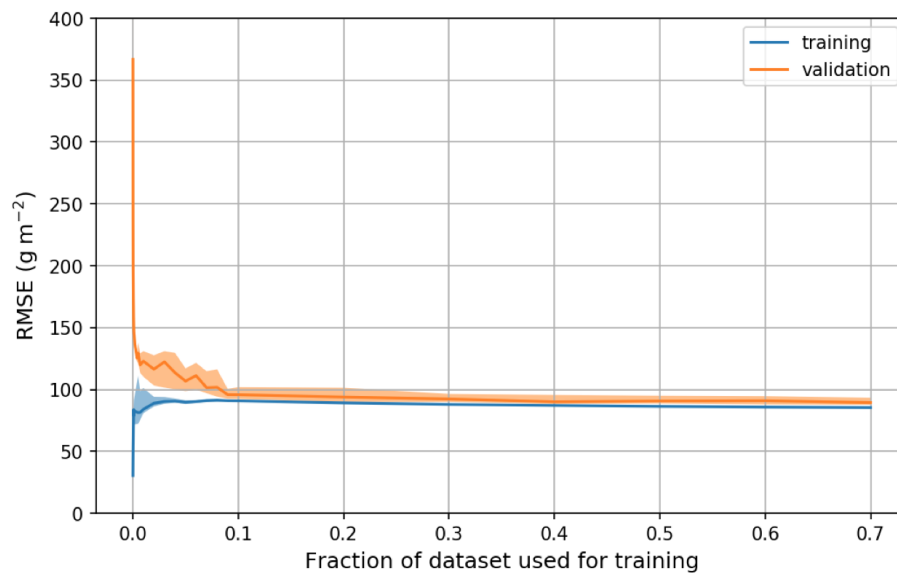


**Figure 1.** Illustration of the different steps of the forward model.

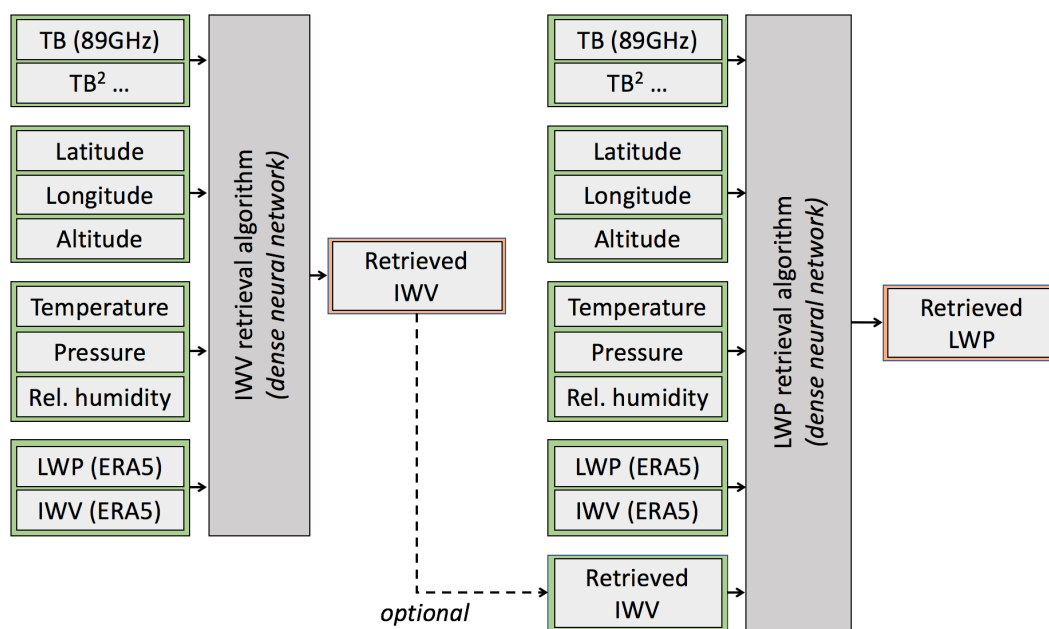




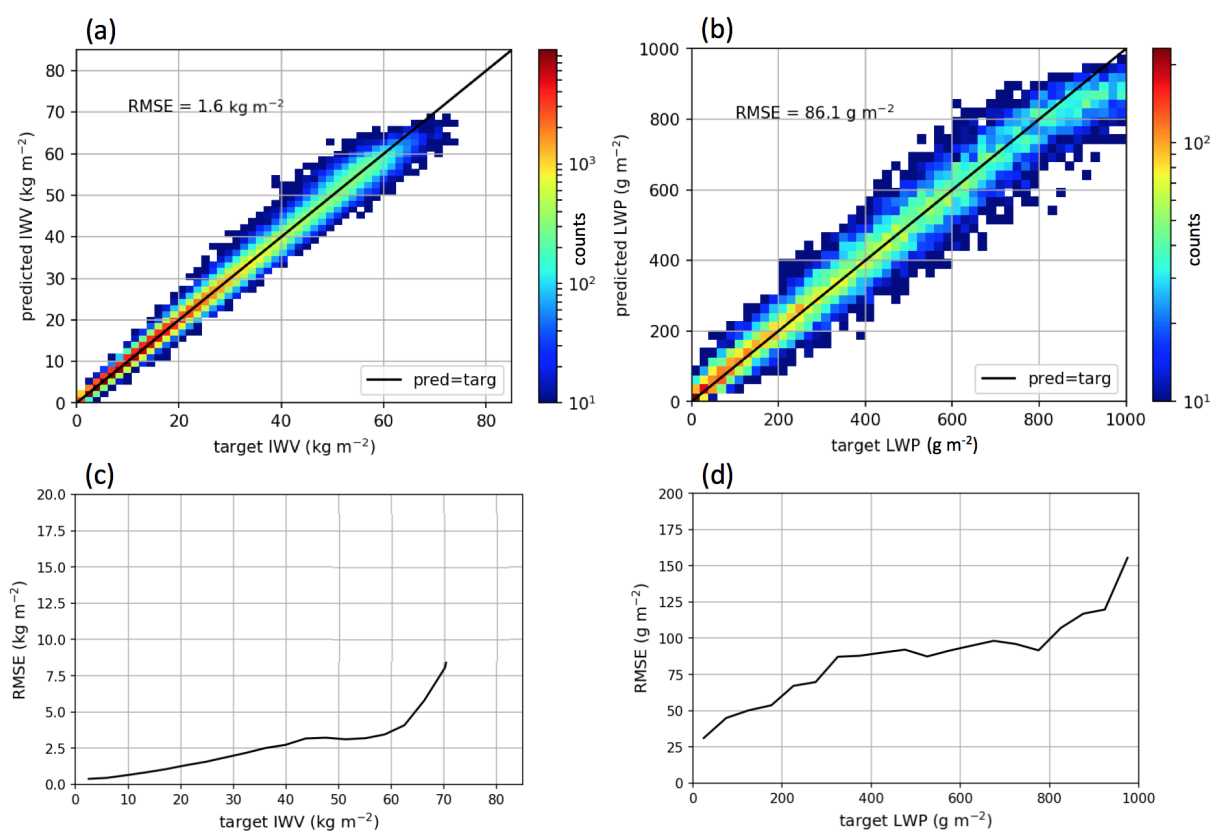
**Figure 2.** Distribution of the target variables (IWW and LWP, resp. in panels (a) and (b)) in the synthetic dataset, after preprocessing.



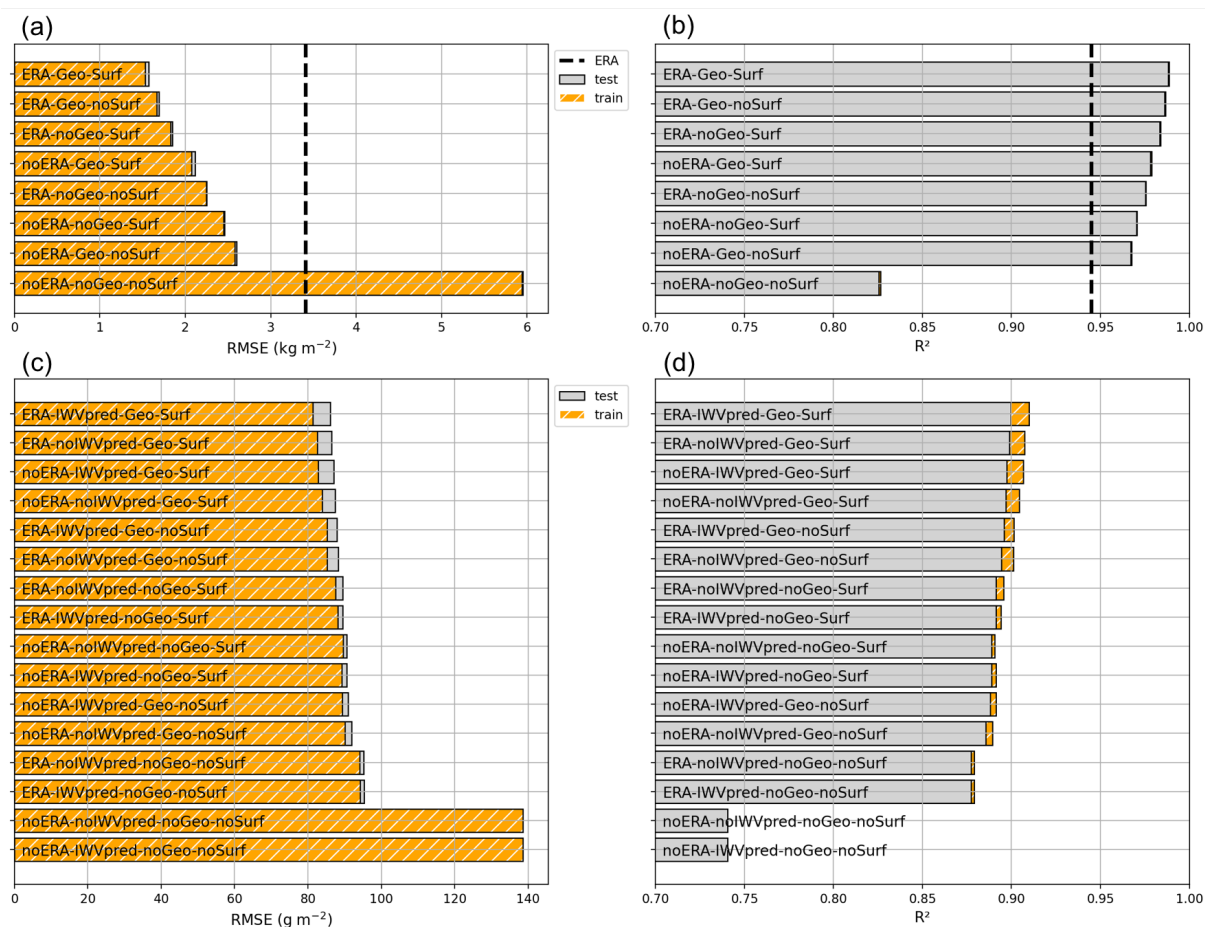
**Figure 3.** Learning curves for the LWP retrieval, showing the RMSE on training and validation set with varying training set size. Shaded areas correspond to the interquartile range calculated over 50 realizations of random splitting of the dataset into training and validation sets, bold lines are the median.



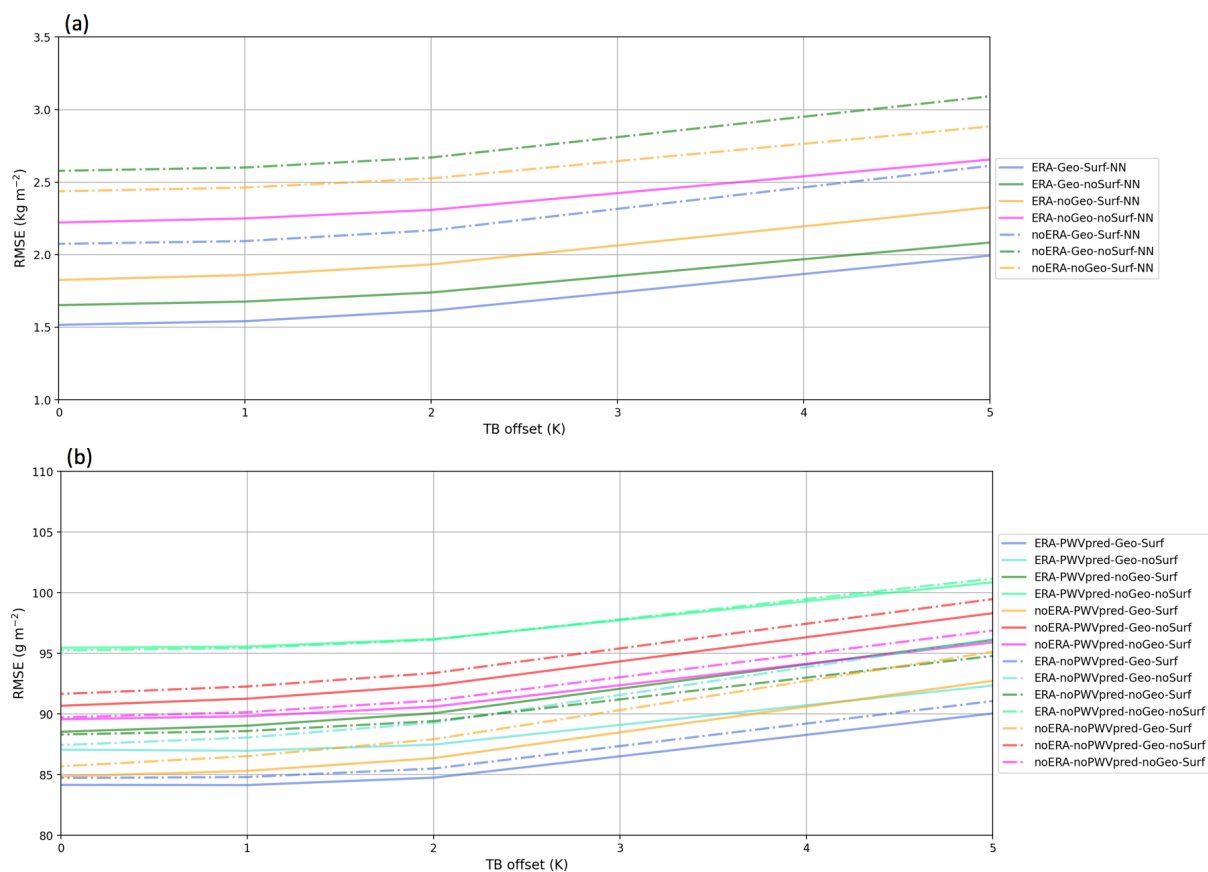
**Figure 4.** Structure of the retrieval algorithms. Some versions of the LWP retrieval include, among the input features, the output of the IWV retrieval. Note that the IWV and LWP algorithms are trained on different datasets.



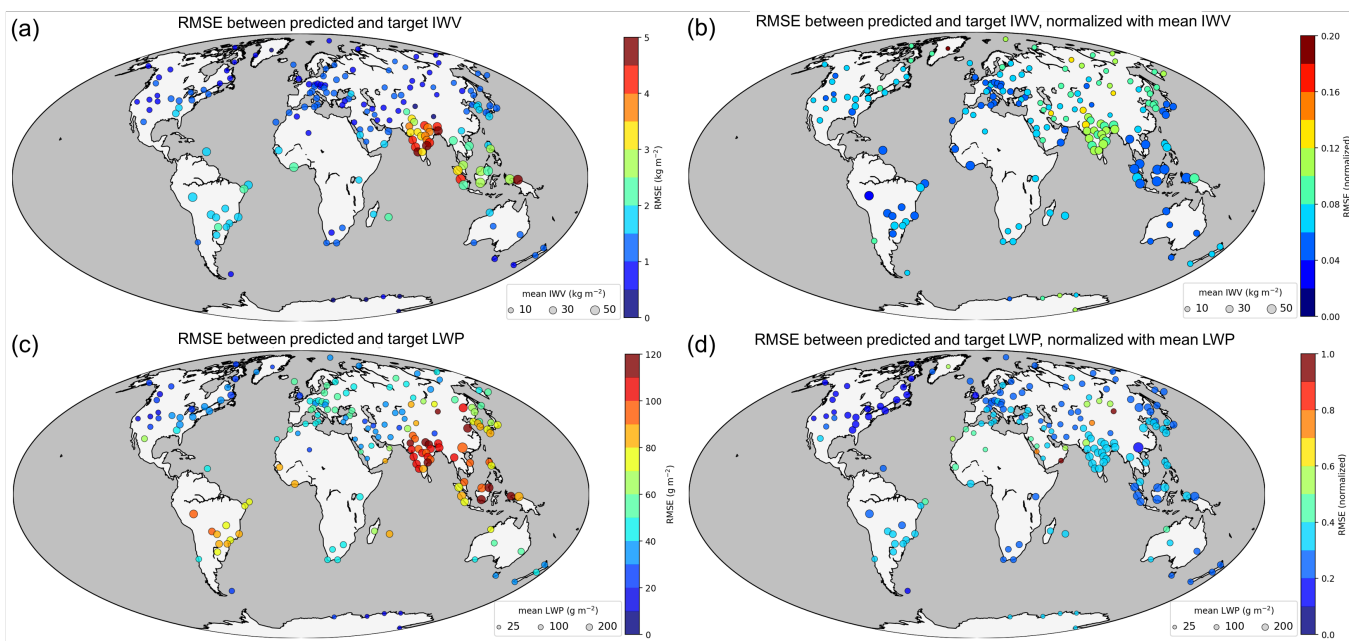
**Figure 5.** Results of the retrieval algorithms on the synthetic testing dataset. Panels (a) and (b) show the distribution of predicted vs. target values of resp. IWV and LWP. Panels (c) and (d) illustrate the distribution of the RMSE across the range of IWV and LWP values, binned into intervals of resp. 4 kg m<sup>-2</sup> and 50 g m<sup>-2</sup>.



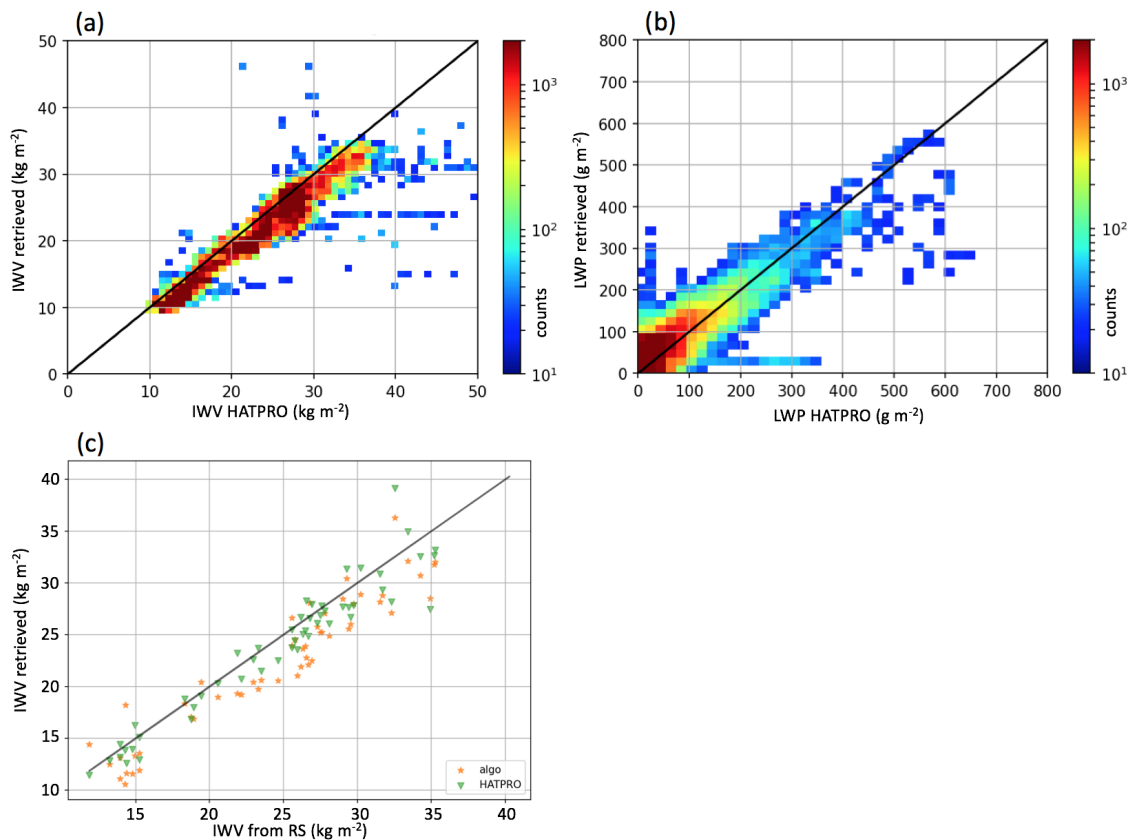
**Figure 6.** Global error metrics (RMSE on the left panels and correlation coefficient R<sup>2</sup> on the right) computed on testing (grey) and training (orange) sets for different versions of the (a,b) IWV and (c,d) LWP retrievals. Each bar shows the result of a version whose input features are specified in the label. For example, "ERA-IWVpred-Geo-Surf" corresponds to the version of the LWP retrieval algorithm that uses the following categories of input features: ERA5 variables, IWV obtained from the IWV retrieval, geographical information and surface measurements. The bars are sorted with increasing RMSE. For the IWV retrieval, the accuracy of the algorithm is compared to that of reanalysis data alone (dashed lines).



**Figure 7.** RMSE on testing set of the different versions of the (a) IWV and (b) LWP retrieval, after addition of a constant  $T_B$  offset in the input. Dashed lines include the prediction of IWV in the input features.

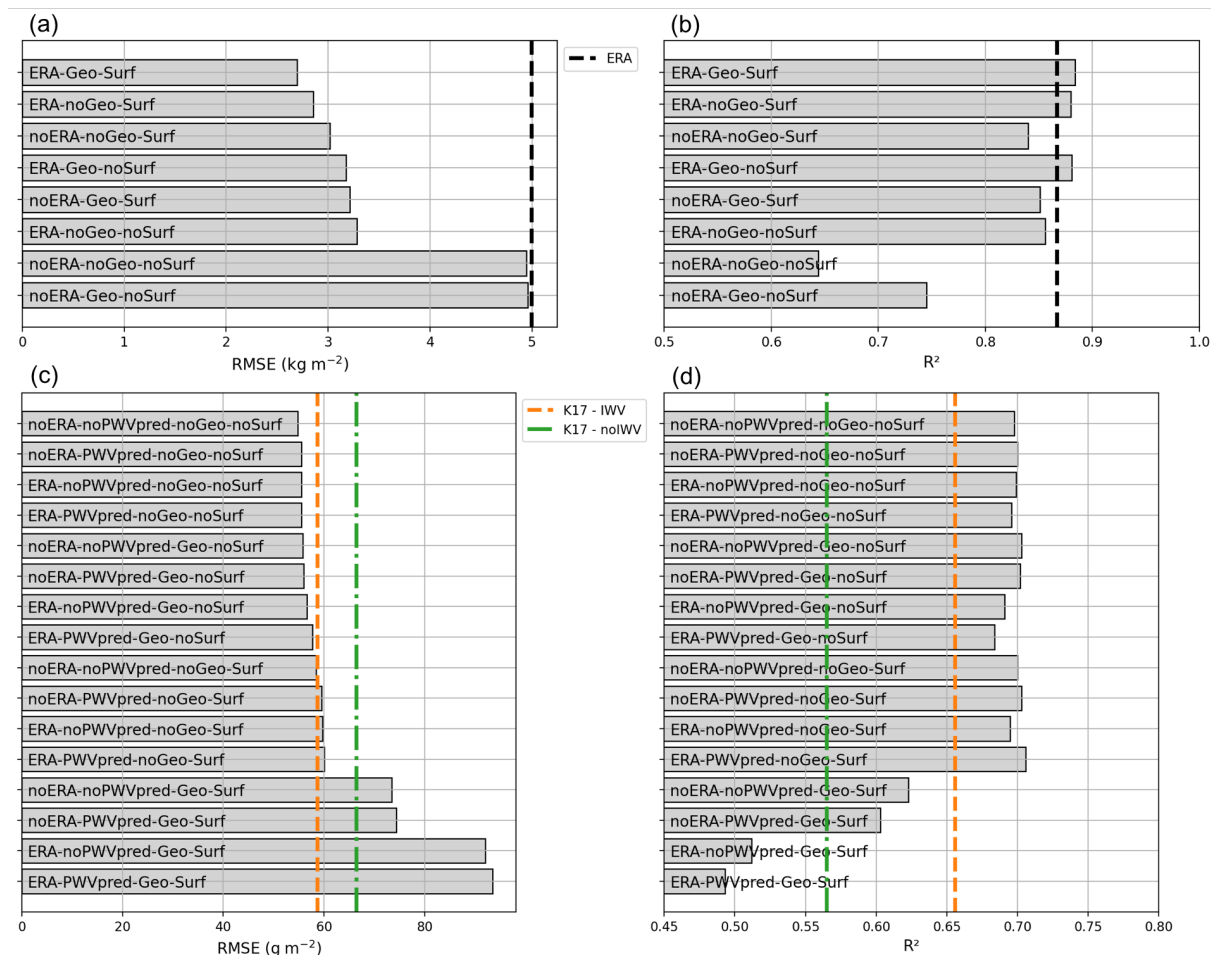


**Figure 8.** Geographical distribution of the error on the synthetic dataset. Left panels (a) and (c) illustrate the total RMSE on resp. IWV and LWP. In panels (b) and (d) is shown the normalized error, i.e. the RMSE normalized by the mean value of IWV (resp. LWP) at each location. For the evaluation of LWP, clear-sky as well as strong rainy cases are removed (resp.  $\text{LWP} < 20 \text{ g m}^{-2}$  and  $\text{LWP} > 1000 \text{ g m}^{-2}$ ). The size of the disks represents the mean value of IWV or LWP at each site, while the color codes for the error of the retrieval.

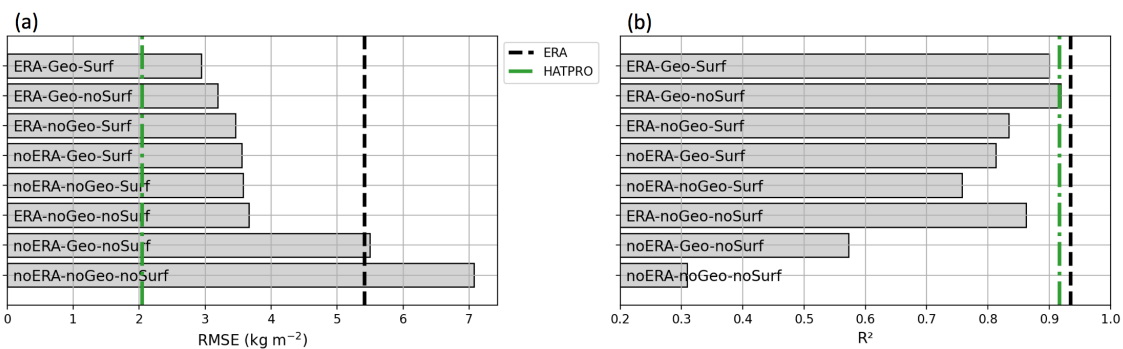


**Figure 9.** Comparison of (a) IWV and (b) LWP retrieved over Payerne with the new algorithm, using the full set of input features, against the retrieval from MeteoSwiss’ radiometer HATPRO. Panel (c) shows IWV retrieved from the new algorithm and from HATPRO against the from radiosonde measurements; a 30 minute time averaging is used for radiometer measurements.

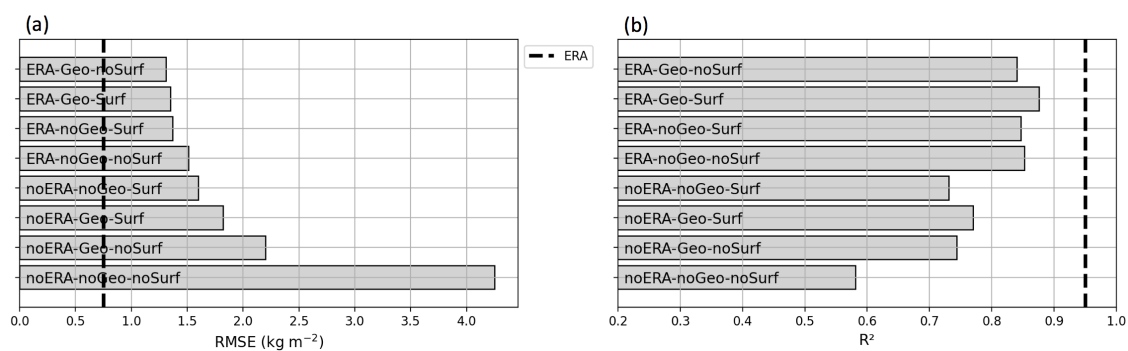




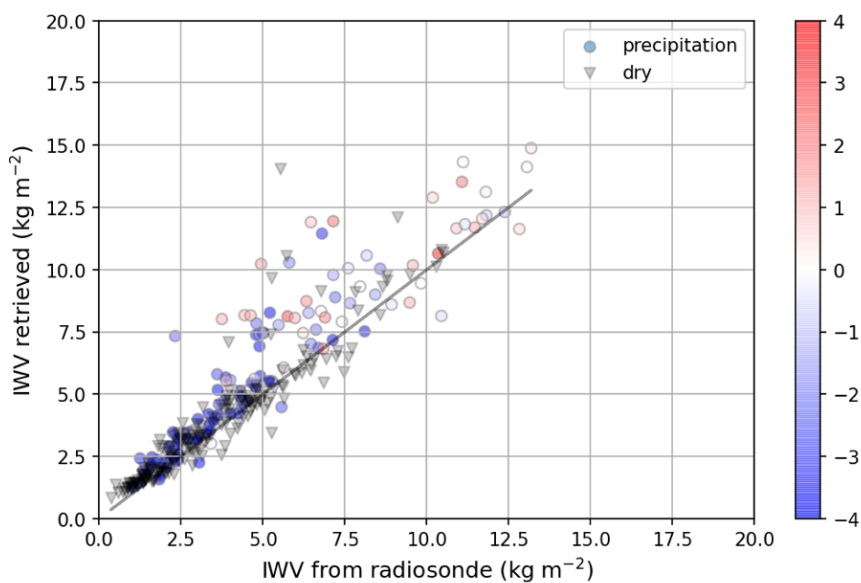
**Figure 10.** Error of the new retrieval algorithms over Payerne. In panels (a, c) the RMSE of resp. IWV and LWP is calculated in comparison with HATPRO retrievals. Similarly, R<sup>2</sup> is shown in panels (b, d). In panels (a, b), the black dashed line shows the error of IWV from ERA5 reanalysis data. In (c, d), the dashed lines present the results from K17A and K17B, as defined in the body text.



**Figure 11.** Results of the IWV retrieval in Payerne (a: RMSE and b:  $R^2$ ) compared to radiosonde measurements. The radiometer measurements are averaged over 30 minutes. For comparison, the dashed lines illustrate the error of HATPRO (green) and ERA5 (black).



**Figure 12.** Error of the IWV retrieval during the ICE-POP campaign with different versions of the algorithm. The RMSE is computed against IWV from radiosonde profiles, after 30 minutes of temporal averaging in the radiometer data. The dashed line shows the error of IWV from ERA5 reanalysis data.



**Figure 13.** Scatter plot of retrieved IWV vs. IWV computed from radiosonde profiles. The color indicates the surface temperature (in degrees Celsius). Dry conditions are identified with the equivalent radar reflectivity in the first kilometer above the radar (with a -10 dB threshold), and are coded as black triangles; precipitating conditions are denoted with circles.



**Table 1.** Main parameters of the neural networks and training process.

Target	Neurons	Layers	Cost function	Optimizer	Activation	Epochs	Batch size
IWV	120	7	Mean square error	RMS propagation	ReLU	70	512
LWP	150	6	Mean square error	RMS propagation	ReLU	90	512