1	Residual Temperature Bias Effects in LIMS-Stratospheric Ozone and Water VaporSpecies
2	Distributions from LIMS
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4	Ellis Remsberg <sup>1</sup> , V. Lynn Harvey <sup>2</sup> , Arlin Krueger <sup>3</sup> , and Murali Natarajan <sup>1</sup>
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6 7	<sup>1</sup> Science Directorate, NASA Langley Research Center, 21 Langley Blvd, Mail Stop 401B, Hampton, VA 23681, USA
8 9	<sup>2</sup> Laboratory for Atmospheric and Space Physics, University of Colorado Boulder, 3665 Discovery Drive, Boulder, CO 80303, Colorado, USA
10 11	<sup>3</sup> Emeritus Senior Scientist, Code 614 Atmospheric Chemistry and Dynamics Laboratory, NASA Goddard Space Flight Center, Greenbelt, MD 20771, USA
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15	Correspondence to: Ellis Remsberg (ellis.e.remsberg@nasa.gov)
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#### 21 Abstract

The Nimbus 7 Limb Infrared Monitor of the Stratosphere (LIMS) instrument operated from 22 23 October 25, 1978, through May 28, 1979. Its Version (V6) profiles were processed and archived 24 in 2002. We present several diagnostic examples of the quality of the V6 stratospheric ozone 25 and water vapor dataspecies distributions based on their Level 3 zonal Fourier coefficient 26 products. In particular, we show that there are small differences in the ascending (A) minus 27 descending (D) orbital temperature-pressure or T(p) profiles (their A-D values) that affect (A-D) 28 ozone and water vapor. species values. Systematic A-D biases in T(p) can arise from small radiance biases and/or from viewing anomalies along orbits. There can also be (A-D) 29 30 differences in T(p) due to not resolving and correcting for all of the atmospheric temperature 31 gradient along LIMS tangent view-paths. An error in T(p) affects thespecies retrievals-of ozone 32 and water vapor through: (1) the Planck blackbody function in forward calculations of limb radiance that are part of the iterative retrieval algorithm of LIMS, and (2) the registration of the 33 measured LIMS species radiance profiles in pressure-altitude, particularly for the lower 34 stratosphere.mainly for the lower stratosphere. There are clear A-D differences for ozone, H<sub>2</sub>O, 35 36 and HNO<sub>3</sub>, but not for NO<sub>2</sub>. Percentage differences are larger in the lower stratosphere for ozone 37 and H<sub>2</sub>O because those species are optically thick. We evaluate V6 ozone profile biases in the upper stratosphere with the aid of comparisons against a monthly climatology of UV-ozone 38 39 soundings from rocketsondes. We also provide results of time series analyses of V6 ozone, water vapor $H_2O$ , and potential vorticity for the middle stratosphere to show that their average 40 41 (A+D) V6 Level 3 products provide a clear picture of the evolution of those tracers during northern hemisphere winter. We recommend that researchers use the average V6 Level 3 42 43 dataproduct for their science studies of stratospheric ozone and water vapor wherever diurnal 44 variations of themH<sub>2</sub>O, but keeping in mind that there are unexpected uncorrected NLTE effects in daytime ozone in the lower mesosphere and in daytime H<sub>2</sub>O in the uppermost stratosphere. 45 46 We also point out that the present-day Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) experiment is providing provides measurements and retrievals of 47 temperature and ozone, which that are essentially more nearly free of any anomalous diurnal 48 variations at low and middle latitudes. 49

### 51 1 Introduction and objectives

The historic Nimbus 7 Limb Infrared Monitor of the Stratosphere (LIMS) experiment provided 52 data on the middle atmosphere from October 25, 1978, through May 28, 1979, for scientific 53 analysis and for comparisons with atmospheric models (Gille and Russell, 1984). Remsberg et 54 al. (2007) describe characteristics of the ozone profiles of the LIMS Version 6 (V6) dataset. 55 Notably, V6 corrects for a high ozone bias in the lowermost stratosphere of the previous Version 56 5 (V5) profiles, as shown by comparisons of the V6 profiles with ozonesonde data in Remsberg 57 et al. (2007; 2013). Remsberg et al. (2009) also reported report on improvements in the profiles 58 and distributions of V6 water vapor ( $H_2O$ ) within the lower stratosphere, where temperature and 59 interfering radiances from the oxygen continuum are more accurate than in the processing of V5. 60 Finally, Remsberg et al. (2010) contain information on the V6 improvements of nitric acid 61

62 (HNO<sub>3</sub>) and, in particular, nitrogen dioxide (NO<sub>2</sub>).

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Frith et al. (2020) reported on modeled estimates of diurnal ozone variations, as a function of 64 65 latitude, altitude, and season. In general, their modeled results are in accord with observed ozone 66 variations from both satellite ultraviolet (uv) and microwave measurements. However, the ozone distributions from the infrared measurements of LIMS show some anomalously large day/night 67 differences in the middle stratosphere (Remsberg et al., 1984; 2007). LIMS ozone and H<sub>2</sub>O are 68 quite sensitive to small biases of the LIMS temperature versus pressure, or T(p), due to nonlinear 69 effects of the Planck blackbody function in forward radiance calculations that are part of the 70 71 LIMS retrieval algorithm (Gille et al., 1984; Remsberg et al., 2004). Consequently, temperature 72 bias is the largest source of ozone and  $H_2O$  error, by far, although such bias effects from T(p) are 73 hard to verify from correlative comparisons of individual profiles. The LIMS orbital line-of-site to its tangent layer is nearly in a meridional direction or along horizontal temperature gradients 74 (Gille et al., 1984). Roewe et al. (1982) showed that it is important to incorporate line-of-sight 75 76 T(p) gradient corrections for the LIMS species retrievals. While the LIMS algorithm makes first 77 order corrections for T(p) gradients, residual bias effects are still apparent in the V6 species 78 distributions.

80	This study considers the distributions of LIMS temperature, ozone, and H <sub>2</sub> O and V6 T(p) plus
81	plots of their-ascending (A) minus descending (D) orbital differences (A-D);) for both
82	temperature and species, as diagnostics for the effects of residual bias errors in T(p). We
83	evaluate those effects using plots of the LIMS V6 Level 3 (mapped) products (Remsberg and
84	Lingenfelser, 2010) and their monthly zonal mean distributions that are part of the SPARC Data
85	Initiative (SPARC, 2017). Section 2 gives a brief review of the characteristics of the V6 ozone,
86	temperature, and H <sub>2</sub> O and their retrieval algorithms for V6 temperature and species. Section 3
87	reviews the measurement, retrieval, and day/night differences for temperature. Section 4 relates
88	small temperature biases to the anomalous A-D values in the LIMS monthly species distributions
89	for March 1979. Section 5 compares V6 daytime ozone with rocketsonde UV-filter ozone
90	(ROCOZ) profile data for the upper stratosphere and lower mesosphere. We interpret the
91	comparisons according to their respective error estimates and by examining the profiles in the
92	context of hemispheric maps of the surrounding ozone and temperature and ozone fields from the
93	Level 3 productproducts. Section 6 contains results of time series of northern hemisphere (NH)
94	distributions of V6 ozone and $H_2O$ on the 850 K potential temperature surface (~10 hPa), as
95	indications of the quality of those averages of their V6 data. Section 7 summarizes our findings
96	about the V6 ozone and H2Ospecies and our recommendations for scientific studies of them. We
97	also point out why the follow-on experiment, Sounding of the Atmosphere using Broadband
98	Emission Radiometry (SABER) experiment is providing), provides measurements and retrievals
99	of temperature and ozone-that are of better quality than from LIMSnearly free of anomalous A-D
100	differences at low and middle latitudes.

### 102 2 Characteristics of the V6 Level 3 ozone, temperature, and water vapor

#### 103 2.1 Daily mapped data

The V6 algorithm accounts for low-frequency spacecraft motions that affect how the LIMS instrument views the horizon and the subsequent registration of its measured radiance profiles in pressure-altitude (Remsberg et al., 2004). Retrieved ozone, temperature, and geopotential height (GPH) profiles extend from 316 hPa to ~0.01 hPa and have a point spacing of ~0.88 km with a vertical resolution of ~3.7 km. H<sub>2</sub>O, <del>nitric acid vapor (</del>HNO<sub>3</sub>), and <del>nitrogen dioxide (</del>NO<sub>2</sub>) data are limited to the stratosphere (~100 hPa to 1 hPa). Processing of the original V5 T(p) profiles 110 occurred at a rather coarse vertical point spacing of  $\sim$ 1.5 km and for every  $\sim$ 4 degrees of latitude.

111Retrievals for V6 occur at every ~1.6 degrees of latitude along orbits and resolve the horizontal

112 temperature structure better. However, the horizontal line-of-sight T(p) gradients for both the

113 V5 and V6 processing algorithms are from daily maps of the combined V5 (A+D) temperature

114 fields on pressure surfaces.

115

116 The mapping Mapping of the V6 profiles to a Level 3 product occurs at 28 vertical levels, as opposed to just 18 levels for V5. The sequential-estimation mapping algorithm for V6 117 118 (Remsberg and Lingenfelser, 2010) employs a shorter relaxation time of about 2.5 days for its 119 zonal wave coefficients, compared with ~5 days for V5. The mapping algorithm is also 120 insensitive to the very few large, unscreened ozone mixing ratio values within the lower 121 stratosphere, as noted in Remsberg et al. (2013, Fig. 1a). LIMS made measurements with a duty 122 cycle of up to 11 days on and 1 day off, and the mapping algorithm interpolates the profile data 123 in time to provide a continuous, 216-day set of daily zonal coefficients. The dailyDaily maps 124 also provide a spatial context for the individual V6 profiles and are helpful for interpreting comparisons with auxiliary data sets, especially during dynamically disturbed periods. 125

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### 127 2.2 Monthly zonal average V6 ozone, temperature, and water vapor

128 We generated monthly zonal mean distributions from the daily Level 3 files of temperature and 129 species (ozone, H<sub>2</sub>O, HNO<sub>3</sub>, and NO<sub>2</sub>) and supplied them to the SPARC Data Initiative or SPARC-DI (SPARC, 2017). Since Although the V6 ozone for SPARC-DI extended extends up to 130 only the 0.1-hPa level (~64 km), Figure 1 updates the combined (A+D), monthly ozone for 131 132 March 1979 to its highest level of about 0.015 hPa (~75 km). Retrieved <del>V6</del> daytime ozone 133 contributes to the (A+D) ozone in Fig. 1 and has a large positive bias throughout the mesosphere because the LIMS algorithms do not account for non-local thermodynamic equilibrium (NLTE) 134 135 effects from botheither ozone (Solomon et al., 1986; Mlynczak and Drayson, 1990) andor CO2 (Edwards et al., 1996; Manuilova et al., 1998). However, the V6 nighttime ozone is essentially 136 137 free of those NLTE effects below about the 0.05-hPa level. We also screened the SPARC-DI

138 product of daily zonal mean ozone values (<0.1 ppmv) near the tropical tropopause, as

recommended in Remsberg et al. (2013). This study focuses on the quality of the V6 ozone in
the stratosphere.

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Figure 1 shows that ozone has largest mixing ratios at about 10 hPa near the Equator (~10.8 142 ppmv), decreasing sharply above and below that level. Maximum mixing ratios at the middle to 143 high latitudes occur closer to 3 hPa, due to larger zenith angles and longer paths of the uv light 144 145 for the production of its atmospheric ozone. Remsberg et al. (2007) compared V6 ozone and Solar Backscatter UltraViolet (SBUV) Version 8.0 ozone and reported that V6 ozone is larger 146 147 (by 4 to 12%) in the upper stratosphere, although the differences are within the combined errors 148 of V6 and SBUV. However, the monthly comparisons at 4 hPa indicate that thethose differences increasedincrease from November to May. Sun and Leovy (1990, their Fig. 1) also compared 149 Equatorial ozone time series from LIMS and SBUV, and they found that their monthly 150 151 differences for the upper stratosphere changed with the descent of the semi-annual oscillation (SAO). Most likely, LIMS and SBUV do not resolve the vertical response of ozone to the SAO 152 equally well. 153

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Figure 2 shows the March zonal mean V6 T(p) distribution from SPARC-DI. Monthly T(p) 155 extends to near the 0.01-hPa level and has values every 5° of latitude. T(p) has a maximum 156 value of about 275 K at the stratopause and minimum values approaching 195 K near the 157 158 mesopause and at the tropical tropopause. Radiances from the two 15-micrometerum CO2 159 channels for retrievals of T(p) are free of NLTE effects below about the 0.05-hPa level (~70 km) 160 (Lopez-Puertas and Taylor, 2001). Estimates of a bias in V6 T(p) are in Table 1 (row 2), 161 according to the error simulations of Remsberg et al. (2004, their Table 2, row g). Estimates of bias errors for ozone due to those T(p) errors are in Table 1 (row 3); a positive bias in 162 temperature leads to a negative bias in retrieved ozone (and in H2O) via the effect of the Planck 163 function on radiance calculations. In principle, one may also infer the quality of the V6 164 165 temperatures based on independent estimates of the quality of the retrieved ozone. Table 1 (last 166 row-4) is a comparison of compares V6 T(p) for March 1979 at 38°N with that from the temperature climatology at 40°N from Barnett and Corney (or BC, 1985). Those (V6 minus\_ 167 168 BC) temperature differences values include a five-point running average of the SPARC-DI V6

169	monthly T(p)	profile above	the 30-hPa le	vel to account f	for the broader	vertical weighting
		F				

170 functions of the satellite measurements of BC. The difference profiles, V6-BC, have values no

171 greater thanof the order of the bias estimates for <u>V6</u> T(p) (in row 2<del>)</del>). The larger difference of -

172 <u>4.4 K</u> at most pressure altitudes <u>3 hPa indicates the redistribution of northern hemisphere</u>

173 <u>temperature following the final stratospheric warming and split vortex that is specific to late</u>

174 <u>February 1979</u>.

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176 Figure 3 shows V6 zonal average H<sub>2</sub>O for March 1979 from SPARC-DI. Highest values of H<sub>2</sub>O 177 are at upper altitudes (> 6.0 ppmv) and are due to the oxidation of methane (CH<sub>4</sub>) to H<sub>2</sub>O, followed by its net transport and accumulation at higher latitudes. H<sub>2</sub>O is effectively a tracer of 178 179 the mean meridional circulation, which moves upward from the tropical tropopause to the middle 180 stratosphere and then poleward toward higher latitudes. Minimum zonal-mean values of H<sub>2</sub>O are 181 of order 3.5 ppmv in the tropics between 50 and 70 hPa. The sharply increasing H<sub>2</sub>O near the tropical tropopause ismay be due, in part, to residual emissionsradiance from cirrus cloud tops 182 183 that were not screened completely from the bottom. The V6 species have a first order screening for clouds at latitudes between  $\pm 30^{\circ}$  and for pressure-altitudes below 45 hPa, according to a 184 185 threshold criterion of the vertical slope of the LIMS H<sub>2</sub>O radiance co-located ozone mixing ratio 186 profiles prior to retrieval. Highest values of H2O are at upper altitudes (> 6.0 ppmv) and (see 187 Sections 2.2 in Remsberg et al., 2007; 2009). Locations of cloud tops are in separate daily files 188 that are due to a part of the oxidation of methane (CH4) to H2O, followed by its net transport and 189 accumulation at higher latitudes V6 Level 2 or daily profile data set. NLTE processes also cause enhancements of H<sub>2</sub>O radiance near the stratopause during daytime. Those uncorrected NLTE 190 191 effects extend downward to lower altitudes for retrieved V6 H2O, although the effects are small 192 for the middle and lower stratosphere (Mertens et al., 2002). Estimates of the effect of 193 temperature bias for V6 H<sub>2</sub>O are in Table 1 (row 54) from Remsberg et al. (2009). 194

### 195 **3** Measurement, retrieval, and day/night differences for temperature

Nimbus 7 was in a near-polar orbit, and LIMS made measurements at ~1 pm local time along its
ascending (A or south-to-north traveling) orbital segments and at ~11 pm for its descending (D

198 or north-to-south traveling) segments. The A-D time difference is of the order of 10 hours because LIMS viewed the atmosphere 146.5° clockwise of the spacecraft velocity vector or 33.5° 199 200 counterclockwise from its negative velocity vector, as seen from overhead. In other words, LIMS viewed atmospheric tangent layers in opposing meridional directions for the NH and 201 202 through the tropics or toward the SSE along A segments and toward the NNW along D segments (Gille and Russell, 1984). The A and D view paths for middle latitudes of the SH are more 203 nearly in a zonal direction and toward the NNW, respectively, due to the orbital inclination of 204 Nimbus 7. 205

206

207 Figure 4 shows V6 A-D temperatures for March. The differences inof the upper stratosphere indicate how well the effects of the temperature tides have been resolved (Remsberg et al., 208 209 2004). Tropical differences are due mainly to diurnal tides, and they become large in the 210 mesosphere. Tidal amplitudes for the tropics increase with altitude in Fig. 4, ranging from -2 K at 15 hPa to +4 K at 1.5 hPa. Those V6 tidal variations agree qualitatively with ones from rocket 211 212 Datasonde profiles (Hitchman and Leovy, 1985; Finger et al., 1975). Fig. 4 also shows the 213 expected 180° change of tidal phase for A-D T(p) from the tropics to subtropics. Accurate determinations of T(p) versus latitude depend critically on knowledge of the Nimbus 7 spacecraft 214 215 attitude. That information for a complete orbit comes empirically from profiles of calculated-to-216 measured radiance ratios for the LIMS narrow CO2 channel and can lead to a bias error for A-D 217 T(p). Any bias in the Although orbital attitude bias will affect T(p) at all altitudes; that error source is small according to the good comparisons of the LIMS-derived geopotential heights 218 versus those from operational analyses at both the 10-hPa and 46-hPa levels (Remsberg et al., 219 220 2004). Even so, Fig. 4 also shows that there are residual A-D T(p) differences at 70 hPa that are 221 opposite in sign at 40°S and 30°N, or just where there are large, opposing meridional gradients in T(p) in Fig. 2. 222

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The measured Measured ozone radiance profiles contain the full effects of any atmospheric
variations in T(p). As an example, Figure 5 shows zonal mean, ozone radiance differences (AD) for one day (March 15). Radiance differences in the tropics have a change in sign from
positive at 3 hPa to negative in the lower mesosphere, and they correspond directly with the A-D

changes inof temperature in Fig. 4. Positive A-D radiances at middle latitudes of the lower
mesosphere are due to the dominance of NLTE daytime radiances from CO<sub>2</sub> and O<sub>3</sub>, as
compared with the tidal effects from T(p).

#### 231

232 There are negative A-D ozone radiances of up to -5% in the stratosphere at the northern middle 233 latitudes of the stratosphere, and they are a result of the meridional decrease of T(p) (in Fig. 2) 234 from the northern subtropics toward higher latitudes. More of the measured radiance in that region comes from the front end of the tangent layer or from the colder side on the A orbital 235 236 segment and from the warmer side on the D segment, leading to negative A-D radiances. Gille 237 et al. (1984) found that the corresponding A-D temperature differences extend to 4 K or even 238 greater at high northern latitudes. The LIMS algorithms for temperature and species account for 239 horizontal temperature gradients, to first order on a pressure surface, but the A-D T(p) 240 differences may still be of order 1 to 2 K after correction (Roewe et al., 1982; Gille et al., 1984; Remsberg et al., 2004 and 2007). Kiefer et al. (2010) analyzed for the effects of a T(p) gradient 241 242 in more detail using data from the limb-infrared, Michelson Interferometer for Passive 243 Atmospheric Sounding (MIPAS) experiment. They confirm the need to correct for T(p) 244 gradients for in the respective A and D views for accurate retrievals of species from the MIPAS 245 A and D radiance profiles. T(p) gradients for LIMS V6 are from daily surface maps from theof average (A+D) V5 temperature fields, where the meridional resolution of the V5 fields is no 246 better than half that of V6, or 4° versus 2° of latitude. Those <u>V5</u> average (A+D) T(p) gradients 247 248 from V5 underestimate the true atmospheric gradients and result in slight biases between the A 249 and D T(p) values at the same latitude. Kiefer et al. (2010) analyzed for effects of a T(p) 250 gradient in more detail using data from the limb infrared, Michelson Interferometer for Passive 251 Atmospheric Sounding (MIPAS) experiment. They confirm that it is important to correct for 252 T(p) gradients in the respective A and D views for accurate retrievals of species from their 253 corresponding A or D radiance profiles.

255	V6 retrievals of T(p) employ a starting reference pressure level Po near 20 hPa (~26 km relative
256	altitude) and plus hydrostatic conversions to pressure-altitude that extend both upward and
257	downward from Po. The algorithm makes forward radiance calculations for the two broadband

258  $CO_2$  channels and compares them with their measured radiance profiles. T(p) (A-D) differences (A-D) of the same sign will impart growing A-D radiance versus pressure differences away from 259 260 Po. Both Po and T(p) undergo iteration until the calculated and measured, tangent layer radiances agree to within the noise levels of the measured radiances over the pressure range of 2 to 20 hPa. 261 262 Yet, the noise value for the narrow CO<sub>2</sub> channel is nearly 2% of the signal at 2 hPa- for the 263 narrow CO<sub>2</sub> channel. This pressure level is where the diurnal temperature tide has a larger 264 amplitude and can impart a systematic, A-D bias in Po. An-A-D bias errorerrors in radiance 265 isversus pressure are also significant; a radiance calibration error of 1% causes a 0.6 K error in T(p) for the middle and upper stratosphere (Remsberg et al., 2004, Table 3). Another possible 266 source of (A-D) bias for T(p) can arise from a residual uncertainty of the viewing attitude of 267

268 LIMS along an orbit, its empirical "twist factor".

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270 Roewe et al. (1982) showed that adjustments for horizontal gradients in T(p) affect species 271 retrievals fromthrough calculations of the Planck blackbody radiance-throughout the 272 stratosphere, as well as from the registration of their radiance versus pressure profiles, mainly in the lower stratosphere. The region of negative, A-D ozone channel radiance in Fig. 5 has values 273 that increase toward the lower stratosphere because of persistent A-D T(p) biases plus the 274 275 hydrostatic registration of the measured radiance profiles with pressure-altitude. The radiance 276 differences are negative at middle latitudes of the NH but positive in the SH. Ozone radiance at 10 hPa (not shown) increases from 40°N to 18°N, holds nearly steady in the tropics, and 277 decreases from 20°S to 40°S, mainly due to the changing ozone with latitude (Fig. 1). Gordley 278 and Russell (1981) showed that the bulk of the LIMS broadband ozone radiance for the middle 279 280 and lower stratosphere also-comes from the near side of the tangent layer (displaced toward the 281 satellite by about 300 to 500 km or ~3° to 6° of latitude). Such That tangent layer asymmetries 282 explainweighting explains part of the observed change of sign of the A-D radiances between the 283 two hemispheres in Fig. 5. Nevertheless, the mass path algorithm of the V6 forward model 284 simulates radiance along a well-resolved limb path, using rigorous ray tracing methods, 285 including refraction effects and the first-order corrections for temperature gradients, and assigns 286 an observed tangent altitude corresponding to the center of the measurement field-of-view.

Roewe et al. (1982) showed that adjustments for the path gradients of the ozone mixing ratio
itself imparts only small A-D mixing ratio differences (~2%). Thus, the V6 retrievals do not
account for species gradients. The V6 algorithms are no longer operational for further detailed
studies of the effects of T(p) gradients on ozone and H<sub>2</sub>Ofor the LIMS species. Instead, in the
next section we present diagnostic plots based on the V6 Level 3 data themselves to indicate that
there are residual biases in the distributions of V6 T(p) and that they carry over to the V6 ozone
and H<sub>2</sub>Ospecies.

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#### 296 <u>4</u> Day/night differences in V6 species

### 297 **4** <u>4.1 Upper stratospheric ozone and water vaporH2O</u>

#### 4.1 Upper stratosphere

Remsberg et al. (1984; 2007) reported on the occurrence of day/night, or the A-D ozone values; 299 those results are similar for V5 and V6. Figure 6 shows the distribution of V6 A-D ozone for 300 301 March as divided by the zonal mean ozone, such that the pattern of systematic differences is a 302 percentage of the zonal average ozone. Photochemical calculations by Haigh and Pyle (1982) predict about a -2% change in ozone for a +1 K change in T(p) at 1.5 hPa. The V6 tropical 303 ozone differences in Fig. 6 grow to nearly -3% near 1 hPa and are opposite in sign to the 304 temperature tides of Fig. 4. Thus, the V6 ozone of the tropical upper stratosphere agrees 305 306 reasonably with effects from the observed temperature tides.

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308	Sakazaki et al. (2013, their Fig. 4) also reported diurnal model calculations of tropical day-night
309	ozone values of -3.5% at 44 km (~1.7 hPa) at the local times of the LIMS observations; their
310	microwave observations of ozone agree with them. They also obtained A-D ozone variations of
311	+3.5% at 34 km (~6 hPa) from the photochemistry of odd oxygen during daytime, and those
312	differences decay away from the Equator. Yet, the V6 A-D tropical ozone differences of Fig. 6
313	are nearly twice as large at 6 hPa-in Fig. 6, and they disagree with modeled changes in Frith et al.
314	(2020). There are also separate, rather large V6 ozone differences at middle latitudes of the
315	upper stratosphere, where effects from temperature tides are small. The rather large A-D ozone

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values (~4 to 6%) at SH middle latitudes correspond to where the A-D ozone radiances in Fig. 5
are increasing with altitude by +2 to +4% and where A-D T(p) is weakly negative. While these
results are consistent with the effects of temperature on retrieved ozone through the V6
algorithm, the ozone radiances may also have an A-D pressure registration bias due to the
persistently, negative A-D T(p) in that region. The axis of the positive A-D ozone anomaly at
NH middle latitudes in Fig. 6 overlays the region of rather large, meridional T(p) gradients in
Fig. 2.

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324 Figures 7 and 8 provide supporting evidence that uncorrected, residual temperature gradients are 325 a likely cause of the A-D ozone anomalies in Fig. 6. Fig. 7 shows zonal (wave) standard 326 deviations (SD) about the zonal average of the combined (A+D) temperature fields for March, 327 where the SD values are from the LIMS SPARC-DI data product. There is significant zonal 328 wave activity at middle to high latitudes in both the NH and SH, and one must account for their 329 separate A and D horizontal gradients for accurate ozone retrievals. Fig. 8 is the corresponding, zonal wave standard deviations for ozone that have a maximum value of 0.40 ppmv near 65°N 330 331 and 1 hPa, or where transport affects the ozone as well as chemistry.

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333 The V6 H<sub>2</sub>O retrievals are more sensitive than ozone to biases in T(p) (Table 1-at 3 hPa (in Table 334 1) because most of the V6 H<sub>2</sub>O radiance comes from its strong, nearly saturated lines. Figure 9 335 shows-the H<sub>2</sub>O A-D mixing ratio values for March. Both species are altered by horizontal 336 gradients in T(p) in the same way in calculations of their Planck radiances. The locus of 337 maximum percentage difference for  $H_2O$  in the SH middle to upper stratosphere differs from that offor ozone (Fig. 6) in the middle to upper stratosphere because their respective mixing ratios 338 339 also have gradients that differ. The effect of the tropical temperature tide on  $H_2O$  is not apparent 340 at 1.5 hPa because of the excess of NLTE radiances of radiance for V6 daytime H2O at and above 341 that level-during daytime.

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4.2 Middle and lower stratosphere ozone and H<sub>2</sub>O

344 V6 A-D ozone mixing ratio in Fig. 6 is near zero at 20 hPa. This feature occurs where V6 A-D 345 for T(p) in Fig. 4 is also small, or where there is iteration of Po and from which a hydrostatic 346 integration-occurs both above and below that level. The ozone differences become negative below that level across the tropics and in the NH, where the vertical gradient of ozone (Fig. 2) is 347 348 large and subject to small A-D differences infor the registration of the ozone radiance profiles. However, the ozone differences at SH middle latitudes remain positive down to the 100-hPa 349 350 level; only tangent views along the descending orbital path are in a nearly meridional direction at those latitudes. In particular, the A-D ozone values in Fig. 6 are rather large at 40°S and at 30°N 351 352 (40 to 100 hPa), and they are opposite in sign to the A-D T(p) differences of order  $\pm 1$  K in Fig. 4. 353 This finding agrees with the estimates of T(p) effects at 50 hPa in Table 1, where a bias of -1.3 K 354 leads to a +20% bias in ozone. The A-D temperature biases are large just where the meridional 355 temperature gradients are also large (Fig. 2) and where corrections for them are too small.

A-D values for H<sub>2</sub>O in Fig. 9 have an opposite character from those of ozone from 50 to 100 hPa
because the vertical gradient of H<sub>2</sub>O in Fig. 3 is also opposite that of ozone in the lowermost
stratosphere. This finding is a clear indication of how the same A-D T(p) biases can affect
retrieved ozone and H<sub>2</sub>O differently. The few correlative balloon measurements of H<sub>2</sub>O during
1978/1979 are too uncertain to judge whether the V6 H<sub>2</sub>O A or D-H<sub>2</sub>O profiles are more
accurate.

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One particular feature is that both A-D ozone and  $H_2O$  are positive and approach 8% at about 10 364 365 hPa and 25°N. The SD values for temperature and ozone show local increases there, too. Fig. 10 gives details of the NH distribution of V6 ozone on the 10-hPa surface for one day, March 15, 366 for from a gridding (at 2° lat; 5.625° long) from of its 13 zonal Fourier coefficients (a zonal mean 367 and 6 cosine and sine values) of the Level 3 product (Remsberg and Lingenfelser, 2010). 368 There is a meridional ozone gradient in the ozone field at the equatorward edge (~25°N) of a 369 370 much larger mid latitude region of near zero gradient—a result of the effects of thean efficient 371 mixing of with air from higher latitudes during late winter. Zonal average, A-D temperatures at 10 hPa in Fig. 4 are of order -1 K at 15°N but then change to weakly positive at 25°N. The 372 corresponding NH field of T(p) on March 15 is in Fig. 11, and it shows a narrow belt of slightly 373

374	higher temperature near 25°N, or just where the A-D meridional $\frac{T(p)}{T(p)}$ gradient of $T(p)$	
375	changeshas a change sign in Fig. 4. Such small T(p) differences also affect the registration of the	
376	ozone and H2O radiance profiles. There are unexpected, tropical A-D ozone mixing ratios of	
377	order 5% at 10 hPa for all the LIMS months. Those anomalies appear to migrate seasonally	
378	across the tropics and subtropics-with the season, perhaps indicating that the residual biases in	
379	the T(p) distributions are related to seasonal changes for the Brewer-Dobson circulation (see	
380	temperature and ozone results for other selected months in the Supplemental Material).	
381		
382		
383	4.3 Stratospheric HNO <sub>3</sub> and NO <sub>2</sub>	
384	LIMS $HNO_3$ is optically thin and its retrievals are much less sensitive to temperature bias via the	
385	Blackbody function (Remsberg et al., 2010). Table 1, row 5). Its radiance profile measurements	
386	also come more nearly from the center of the tangent layer, unlike those of ozone and water	
387	vapor. Maximum mixing ratios for $HNO_3$ occur at about 20 hPa in the tropics and 30 hPa at high	
388	latitudes (e.g., as in Fig. 1 of Remsberg et al., 2010) or similar to those of ozone. Figure 12 is a	
389	plot of A-D for $\underline{V6}$ HNO <sub>3</sub> (in %) for March for comparison with that of ozone in Fig. 6, and there	
390	are two important differences between them. First, A-D for HNO3 in the middle and upper	
391	stratosphere is uniformly negative because of due to its photolysis during daytime in the middle	
392	to upper stratosphere, whereas A-D for ozone is slightly positive because of its from enhanced	
393	production during the day. Secondly, there are no apparent changes variations in A-D for HNO3	
394	in the upper stratosphere at 40°S or and near 10 hPa at 25°N from the effects of the co-located,	
395	horizontal temperature gradients. Yet, the patterns with latitude of A-D for HNO3 and ozone are	
396	very similar-in the lower stratosphere are very similar for both HNO3 and ozone and indicate the	
397	effects of A-D temperatures on the registration of the radiance profiles, prior to their	
398	retrievals <u>retrieval</u> to mixing ratios.	
399		
400	LIMS measured NO <sub>2</sub> radiances at around 1300 and 2300 hours from the Equator to 60°N but	
401	changed quickly to 1445 and 2118 hours by 80°N. There is a more gradual change in viewing	
402	times for the southern hemisphere from 1323 to 2237 hours at 20°S and then from 1545 and	

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403	2015 hours at 60°S, all due to the orbital viewing geometry of LIMS (Gille and Russell, 1984).
404	V6 NO <sub>2</sub> mixing ratios decrease rapidly after sunrise and then increase sharply again at sunset.
405	There is also a slow conversion of $NO_2$ to $NO_3$ and $N_2O_5$ after sunset, mainly in the middle
406	stratosphere (Brasseur and Solomon, 2005). Figure 13 shows a slightly different, but more
407	standard diagnostic of $NO_2$ A to D ratios for March, and they vary according to the local times of
408	the measurements. However, note that the LIMS observations occurred beyond the day/night
409	terminator at the highest latitudes. V6 NO <sub>2</sub> has low S/N below about the 30-hPa level and is not
410	accurate there; elsewhere the A to D ratios should be representative.
411	
412	V6 NO <sub>2</sub> is also sensitive to temperature bias (Table 1, row 6, and Remsberg et al., 2010). Fig. 13
413	shows a slight asymmetry of the 0.7 contour about the equator; that ratio are smaller in the
414	northern subtropics or opposite in magnitude to that expected from the effects of the T(p) bias in
415	Fig. 4. There is significant interfering radiance from $H_2O$ in the $NO_2$ channel from the middle to
416	the lower stratosphere (Russell et al., 1984), and recall that H <sub>2</sub> O has its own T(p) bias effects (see
417	Fig. 9). Radiance from H <sub>2</sub> O is also a larger correction for day versus night V6 NO <sub>2</sub> . Thus,
418	although we expected to find temperature bias effects in V6 NO <sub>2</sub> , indications of them are

419 somewhat ambiguous in Fig. 13.

420

### 421 5 Ozone comparisons with rocket-borne measurements

This section considers the quality of the V6 ascending (daytime) ozone of the middle and upper 422 stratosphere at NH middle latitudes; there is only one corresponding comparison for the 423 424 descending (nighttime) ozone (not shown, but see Fig. 13 of Remsberg et al., 1984, their Fig. 425 13). Krueger (1973) developed meteorological rocket-borne, UV-absorption ozonesonde 426 (ROCOZ) instruments in the 1960s and 1970s and made routine soundings of middle atmosphere 427 ozone. To measure absorption of sunlight in three altitude regions between 15 and 60 km, 428 ROCOZ used four interference filters procured commercially in batches for uniformity. There were launches of ROCOZ instruments for the validation of LIMS (seven flights) and of SBUV 429 ozone at low- (Natal, Brazil), mid- (Wallops Island, VA) and high- (Fort Churchill and Primrose 430 431 Lake, Canada) latitudes. Remsberg et al. (1984) reported on comparisons of the V5 ozone with

ROCOZ soundings and found mean differences (V5 minus ROCOZ) that varied from 5% in the
upper stratosphere to 16% in the lower stratosphere. The RMS differences were rather large
though (12% to 23%, respectively), and there were concerns about the stability of the batch of

435 UV interference filters used in the ROCOZ instruments from late 1978 through mid-1979.

#### 436

437 An early 'ozone climatology' was produced from the greater than 200 ROCOZ soundings 438 launched between 1965 and 1990 at rocket ranges from the equator to high latitudes of both hemispheres (Krueger, 1984; WOUDC). The ROCOZ flights include a SH latitude survey, 439 440 calibration flights for the Orbital Geophysical Observatory (OGO-4) UV spectrometer (London 441 et al., 1977), low latitude baseline flights from Antigua, high latitude flights from Fort Churchill and Primrose Lake, validation flights for the Backscatter Ultraviolet (BUV) experiment on 442 Nimbus 4, and a regular monthly series of measurements from Wallops Island, VA. In fact, the 443 444 1976 U.S. Standard Atmosphere mid-latitude ozone model makes use of rocket data from seven international experimenters, including ROCOZ (Krueger and Minzner, 1976). 445

#### 446

447 Krueger (1984) also compiled separate monthly averages of soundings from Wallops Island 448 (38°N) during the period of March 1976 through September 1978. Uncertainty about the UV filters was not at issue for those soundings. As an example, Fig. 1314 compares the April 449 450 average from ROCOZ with the monthly zonal mean V6 Level 3 daytime ozone at 38°N for April 451 1979, when wave activity and zonal variations about the V6 daily zonal means are <3%. Even 452 though the V6 profiles contain 18 values per decade of pressure (spaced  $\sim 0.88$  km), we plot only 453 every other point because the V6 data carry an effective vertical resolution of  $\sim 3.7$  km. The 454 horizontal bars at 0.3, 1, 2, and 10 hPa represent estimates of bias error for V6 ozone from Remsberg et al. (2007, their Table 1). The ROCOZ profiles are averages of the three April 455 soundings for 1976-1978, and the horizontal bars at 0.5, 1.5, 3, 7, and 15 hPa are their estimated 456 uncertainty of <10% (or <7% for ozone number density versus altitude, plus <3% for the 457 conversion to mixing ratio versus pressure, as taken from Table II-7 of Krueger (1984)). Fig. 458 459 1314 indicates agreement to within the estimates of bias error for V6 ozone at most altitudes of the stratosphere. V6 ozone is higher than ROCOZ ozone from ~2.0 to 0.3 hPa. 460

462	Figure 1415 shows V6 daytime minus ROCOZ average profiles at Wallops Island (38°N) for
463	November, March, April, and May. The ozone differences are within their combined error
464	estimates for the middle stratosphere but are larger in the upper stratosphere and, especially, the
465	mesosphere. The increasingly positive, V6 day minus ROCOZ differences in the lower
466	mesosphere from winter to late spring in the lower mesosphere are due to uncorrected NLTE
467	emissions effects for V6 from CO2 and ozone that increase toward lower solar zenith angles
468	(EdwardsSolomon et al., 1996; Manuilova et al., 19981986; Mlynczak and Drayson, 1990). On
469	the other hand, the V6 daytime ozone of April and May is also larger than ROCOZ ozone in the
470	uppermost stratosphereat 1.5 to 3 hPa, where NLTE should not be an issue. This finding implies
471	that (Edwards et al., 1996). While there may be excess V6 ozone due to a slight negative bias
472	for V6 T(p) at those <u>pressure-</u> altitudes (see Table 1). Another possibility is, it may also be that
473	the limited ROCOZ climatology at Wallops Islands mayis not be truly representative of zonal
474	average ozone for those months of 1979. In the next section, we report on time series of fields of
475	potential vorticity, ozone, and $H_2O$ from their Level 3 combined (A+D) products for the middle
476	stratosphere, where those parameters are not expected to have diurnal variations and should serve
477	as tracers of atmospheric transport.

478

### 479 6 Seasonal transport of V6 ozone and water vapor

Dunkerton and DeLisi (1986) made use of LIMS V5 GPH and temperature data to calculate
potential vorticity (PV) and then to show how PV evolved in the NH on the 850 K potential
temperature (~10 hPa) surface during January and February 1979. Butchart and Remsberg (BR,
1986) also calculated PV from the V5 data and plotted its evolution during the winter of 19781979 in terms of the fractional area of the NH enclosed by the horizontal projection of a given
PV contour on the 850 K surface. These so-called, area diagnostic analyses of BR work well for
a parameter like PV that is monotonic with latitude, having its highest value at the Pole.

487

New time series analyses of PV from the combined V6 data are in Fig. <u>1516</u>, calculated from the
Level 3, daily 6-wavenumber, zonal coefficients of GPH and temperature. Equivalent latitude

(on the right ordinate) represents the latitude at which a zonally symmetric PV contour would lie 490 491 if it enclosed the given fractional area shown on the left ordinate. PV data for Fig. 1516 have a 492 7-day smoothing, and the NH fractional area extends only to 20° equivalent latitude, since 493 because calculations of absolute vorticity are not so accurate for latitudes near the Equator. The 494 PV results for V6 are nearly identical to those for V5 in BR (their Fig. 4). Notably, the polar vortex (defined by highest PV values) erodes during winter and the adjacent 'surf-zone', having 495 much lower PV gradients, expands in area due to the 'breaking' of planetary waves and the 496 associated meridional mixing of vortex and lower latitude air. 497

498

499 Ozone is an effective tracer of the transport of air in and around the winter polar vortex on the 500 850 K surface (~10 hPa) (Leovy et al., 1985). Ozone also varies nearly monotonically at this 501 level, but with highest values at low latitudes and lowest values near the Pole. BR analyzed the 502 evolution of V5 ozone (see their Fig. 10b). They compared its changes with those of PV and 503 found good correspondence for the large-scale features of the two distributions. Fig. <u>1617</u> is the new ozone time series at 850 K from the gridded V6 data, and it compares well with the 504 calculations of BR from the V5 ozone. One significant change with V6 is that the ozone 505 contours of 6.8 through 7.2 ppmv of early February indicate very weak gradients within the surf 506 507 zone, as it expands following the major warming event of late January. There is also an associated, diabatic cross-isentropic transport of ozone within the surf zone during that time 508 (e.g., Butchart, 1987). The improved continuity of the ozone time series from V6 is a result of 509 the better spatial sampling for the radiances, of the retrievals of T(p) profiles, and of the 510 511 corresponding changes for the registration of the ozone radiances radiance profiles and retrieved 512 ozone mixing ratio profiles ratios.

513

Water vapor is also a tracer of the net transport in the middle stratosphere. Figure <u>1718</u> shows
the corresponding time series of V6 H<sub>2</sub>O at 850 K. <u>The V6 H<sub>2</sub>O mixing ratio contours vary</u>
more smoothly than those from the V5 data in BR (their Fig. 12); the retrieved V6 H<sub>2</sub>O profiles
are better resolved spatially and have better precision. There is good correspondence between
H<sub>2</sub>O, PV, and ozone for the location and evolution of the edge of the polar winter vortex and for
the expansion of the region of weak gradients at middle latitudes. Low values of H<sub>2</sub>O extend to

the northern middle latitudes and high values of H<sub>2</sub>O descend within the polar vortex from
November through January, indicating an acceleration of the Brewer/Dobson circulation during
that winter. There is also a modest expansion of weak H<sub>2</sub>O gradients between 40°N to 60°N
equivalent latitude from mid-November to mid-December. This region coincides with the time
of the Canadian warming and an exchange of air between polar and middle latitudes.

525

### 526 7 Summary and recommendations

This study provides some insight about the quality of the LIMS V6 Level 3 product and about 527 528 the generation of daily gridded ozone and H<sub>2</sub>Ospecies distributions on pressure surfaces. 529 Monthly zonal mean distributions are available within the SPARC-DI database for comparisons 530 with model simulations of middle atmosphere ozonespecies. We also provide the corresponding 531 monthly zonal mean distributions of temperature for SPARC-DI and diagnostic evidence of 532 effects of residual temperature biases in the V6 ozone,  $H_2O$ , and  $H_2OHNO_3$  distributions. 533 BothThose species exhibit small, ascending minus descending (A-D or day minus night at most 534 latitudes) anomalies, especially in the middle and lower stratosphere. In particular, the A-D 535 ozone and H<sub>2</sub>O values are larger than expected due to not accounting for all of the horizontal 536 temperature structure, which affects forward radiance calculations through the Planck blackbody 537 function, the retrievals of T(p), and the registration of the species radiance profiles with pressure. It may be that the V6 species distributions within the SH have better accuracy from along its 538 539 ascending (A) orbital segments, since the tangent view paths for its profiles are more nearly in a 540 zonal direction and do not have significant T(p) gradients. Finally, we found no clear evidence 541 of temperature bias in V6 NO<sub>2</sub>.

542

Remsberg et al. (2013) reported that an assimilation of SBUV ozone along with the V6 A and D
Level 2 ozone profiles provides ozone distributions that agree well with balloon-sonde ozone in
the lower stratosphere. Yet, we do not recommend assimilation studies based on only the V6
ozone profiles because of their small, but persistent A-D differences, particularly at the edge of
and within the winter polar vortex. (*Krzysztof Wargan*, private communication, 2017). The. V6
H<sub>2</sub>O profiles-will present similar assimilation problems. Instead, we recommend that researchers

549 make use of the average (A+D) V6 Level 3 product and/or the SPARC-DI monthly, zonal 550 average distributions for their science studies of both stratospheric ozone and H2O wherever 551 diurnal variations, at least where NLTE effects are not expected. an issue. As an example, Tegtmeier et al. (2013) compared the combined V6 monthly stratospheric ozone distributions 552 553 with ones from other satellite-based limb sensors, and they found good agreement. Thereafter, Shepherd et al. (2014) integrated the SPARC-DI V6 monthly zonal mean ozone above the 554 tropopause and subtracted it from observed total ozone as part of their assessment of long-term 555 trends of tropospheric ozone from models for 1978 and onward. 556

557

558 Remsberg et al. (2007, their Fig. 8b) found that zonal average V6 ozone in the middle 559 stratosphere is higher than SBUV ozone by 4%, which is well within the combined systematic 560 errors of both experimental datasets. Correlative ozone measurements for the middle to upper 561 stratosphere are too few and too inaccurate in 1978/1979 to determine whether the V6 A or D ozone is more accurate. Thus, we considered V6 monthly profile data versus a monthly daytime 562 ozone climatology of the late 1970s obtained with the rocket-borne, uv-absorption (ROCOZ) 563 technique at Wallops Island, VA. We found agreement within their respective errors, except for 564 the uppermost stratosphere and the lower mesosphere. We also calculated time series of V6 565 566 Level 3 ozone and H<sub>2</sub>O at 850 K and looked for consistency between their fields and those of 567 PV. In general, we found good agreement with similar studies of BR (1986) that use thebased on V5 datasetdata. However, the  $\frac{V6}{V6}$  time series from V6 show better continuity during dynamically 568 active periods. 569

570

571 The LIMS experience has been of benefit for the design of follow-on broadband, limb infrared measurements. One satellite experiment, the Sounding of the Atmosphere using Broadband 572 Emission Radiometry (SABER), has been obtaining measurements of temperature, ozone, and 573 574 H<sub>2</sub>O from 2002-2020 (e.g., Remsberg et al., 2008; Rong et al., 2009). Improvements offrom 575 SABER overcompared to LIMS includeare: (1) reductions in electronics and detector noise for 576 its narrow-band and wide-band CO<sub>2</sub> channels by factors of 5 and 16, respectively, and for its ozone channel by a factor of 20; (2) common, 2-km IFOVs for its CO<sub>2</sub> (for temperature) and 577 578 species channels to account for diurnal temperature signals in the retrievals of ozone and  $H_2O$ ;

579	(3) an ozone filter bandpass of about 1000 to 1150 cm <sup>-1</sup> to avoid the NLTE emissions from the
580	$\rm CO_2$ laser band at 960 cm <sup>-1</sup> ; and (4) NLTE algorithms for retrievals of T(p), ozone, and H <sub>2</sub> O in
581	the mesosphere. SABER instrument operation is stable and its orbital attitude information is
582	accurate. Its (Mlynczak et al., 2020). SABER tangent view paths are 90° away from the
583	spacecraft velocity vector or in nearly a zonal direction for the low and middle latitudes, where
584	zonal temperature gradients are weak. There is little need to correct for T(p) gradients in the
585	SABER algorithms, except when viewing the high latitudes. Accordingly, the diurnal
586	temperature and ozone variations from SABER compare reasonably with those from microwave
587	measurements and with model estimates (e.g., Huang et al., 2010a and 2010b; Frith et al., 2020).

### 589 Data Availability

- The LIMS V6 data archive is at the NASA EARTHDATA site of EOSDIS and its website: 590 https://search.earthdata.nasa.gov/search?q=LIMS ). The ROCOZ ozone climatology at Wallops 591 Island is available from co-author, Arlin Krueger, upon request. The SPARC-Data Initiative site 592 is located at https://www.sparc-climate.org/data-centre/data-access/sparc-data-initiative/. We 593 acknowledge the individual instrument teams and respective space agencies for making their 594 measurements available, and the Data Initiative of WCRP's (World Climate Research 595 Programme) SPARC (Stratospheric Processes and their Role in Climate) project for organizing 596 and coordinating the compilation of the chemical trace gas datasets used in this work. 597 598 Author Contributions. ER prepared most of the figures and wrote the manuscript with 599 contributions from all co-authors. MN produced plots of the ascending minus descending 600 601 radiances. VLH prepared the time series plots of PV, ozone, and H<sub>2</sub>O. AK provided his early 602 rocketsonde data on ozone and temperature and their estimated errors. 603 604 Acknowledgements. The authors We are grateful to Krzysztof Wargan, who communicated to 605 author ER in 2017 that he had concerns about the assimilation of alternating V6 A and D polar
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## Table 1

# 814 Estimates of Ozone and Water VaporSpecies Errors dueDue to Temperature Biases

Pressure (hPa)	100	50	10	3	1	0.4	0.1	Formatted Table
Temperature <u>Bias</u> (K)	1.1	1.3	1.0	1.6	2.4	2.5	2.3	
Ozone (%)	20	20	11	10	12	16	16	Formatted Table
<del>T(p) (V6-BC) (K)</del>	- 1	.4 1	.7 -4	.4 -1	.6 3.	.1	_	
Water vapor (%)	16	18	8	15				Formatted Table
Nitric acid (%)	<u>5</u>	<u>1</u>	<u>1</u>	<u>6</u>				
Nitrogen Dioxide (%)		<u>22</u>	<u>8</u>	<u>6</u>	<u>10</u>			
<u>T(p) Diff (V6-BC) (K)</u>		<u>1.4</u>	<u>1.7</u>	-4.4	<u>-1.6</u>	<u>3.1</u>		



### 



Figure 1—Zonal average ozone for March 1979 from the combination of the LIMS V6

<sup>823</sup> ascending and descending orbital data. Contour interval (CI) is 0.4 ppmv.



827 Figure 2—Zonal average temperature for March 1979. CI is 5 K.



831 Figure 3—Zonal average water vapor for March 1979. CI is 0.5 ppmv.



- Figure 4—LIMS V6 Level 3 ascending minus descending (A-D) temperature differences (in K)
- 835 for March 1979. CI is 1 K and solid contours show positive differences.





Figure 5—Ascending minus descending ozone radiance differences (in %) for March 15, 1979.

840 Contour interval is 1%.





### 

Figure 6—LIMS V6 Level 3 monthly zonal mean (A-D) ozone differences divided by average

ozone (and given in %) for March 1979. Solid contours are positive and CI is 1% from 0 to 10,

5% from 10 to 15, and then skipping to the 50% contour.



849

850 Figure 7—Average zonal (wave) standard deviation of temperature for March 1979. Contour

851 interval is 0.33 K.





Figure 8—Average zonal (wave) standard deviation of ozone for March 1979. Contour interval

856 is 0.<del>08<u>075</u> ppmv.</del>







- average H<sub>2</sub>O (and given in %) for March 1979. CI is  $\frac{21}{9}$ % from 0 to 10 and then 5% from 10 to
- 862 15; solid contours show positive differences.





- Figure 10—V6 ozone at 10 hPa for March 15, 1979, in the NH. Ozone contour interval is 0.5
- 868 ppmv, and latitude spacing (dotted circles) is  $10^{\circ}$ .





Figure 11—V6 temperature at 10 hPa for March 15, 1979, in the NH; contour interval is 3 K.









1978. Horizontal bars are error estimates for LIMS (solid) and for a ROCOZ sounding (dashed).





- 898 Figure <u>1315</u>—Monthly zonal mean V6 daytime ozone minus ROCOZ ozone (in %) for four
- 899 months at 38°N.



Figure <u>1416</u>—Area diagnostic plot of time series of NH potential vorticity (PV) contours on the
850 K potential temperature surface for comparison with Butchart and Remsberg (1986, their
Figure 4). PV comes from LIMS V6 Level 3 geopotential height and temperature data. Contour
interval (CI) is 0.25 PV units (units of PV are 10<sup>-4</sup> m<sup>2</sup> s<sup>-1</sup> K kg<sup>-1</sup>). Tic marks on the abscissa
denote the 15<sup>th</sup> of each month.





912 Ozone contour interval is 0.2 ppmv. Tick marks on the abscissa indicate the 15<sup>th</sup> of each month.



916 Figure  $\frac{1618}{10}$ —As in Fig.  $\frac{1517}{10}$ , but for V6 H<sub>2</sub>O at 850 K; contour interval is 0.15 ppmv.

Replies (denoted by asterisks \*) to comments from Anonymous Referee #1

### Anonymous Referee #1

Received and published: 24 November 2020

This study revisits the quality of Limb Infrared Monitor of the Stratosphere (LIMS) V6 temperature ozone, and water vapour products by means of several diagnostics examples based on their L3 zonal Fourier coefficient products. A main result is the detection of systematic ascending (A) -descending (D) biases that can be related to A-D biases in T(p) due to unresolved temperature gradients along the LIMS viewing path. It is shown that such T(p) biases can affect the retrievals of ozone and water vapour either through non-linear effects via the Planck function or through the registration of radiance profiles in pressure altitude. Upper stratospheric V6 ozone profile biases are further evaluated against a climatology from rocketsondes. In addition, time series of ozone, water vapor and PV (computed from LIMS T(p) and GPH) are compared to demonstrate their consistency regarding tracer evolution during NH winter. Finally, recommendations regarding the scientific use of LIMS V6 L3 data are provided.

The paper is written very concisely and the results are of high relevance in particular for the data user community. I recommend publication in AMT after addressing a few very minor comments listed below:

\*Thank you for your careful review and for recommending publication of our manuscript.

1120: Isn't it A+D ozone shown in Fig.1 (and not only daytime O3)? Probably you mean "daytime ozone CONTRIBUTING to the A+D ozone in Figure 1".

\*Your conclusion is correct; ascending (A or daytime) ozone contributes to Fig. 1. We will make the change.

1156: It seems to me that V6-BC is larger than the bias estimates for T(p) at 3 out of 5 altitudes in Table 1. Maybe the the statement "…have values no greater than the bias estimates for T(p) (in row 2) at most altitudes" could be revised accordingly.

\*Thank you for pointing out this misstatement. Instead, we will say that the difference profiles, V6-BC, have values of the order of the bias estimates for V6 T(p) (in row 2). The larger difference of -4.4 K at 3 hPa indicates the redistribution of temperature in the northern hemisphere following the final stratospheric warming and split vortex that is specific to late February 1979.

1189: "Fig. 4 also shows the expected 180° change of phase for A-D T(p) from the tropics to subtropics." I guess this refers to tidal phase. Maybe ".....change of tidal phase as inferred from A-D..." would be clearer.

\*We will refer to "change of tidal phase" in our revised manuscript.

I516: ". or in nearly a zonal direction for the low and middle latitudes, where temperature gradients are weak." It is not clear whether you refer to temperature gradients at low and middle latitudes or gradients along the zonal direction.

\*We will revise the sentence to say "... or in nearly a zonal direction for the low and middle latitudes, where zonal temperature gradients are weak."

### Anonymous Referee #2

Received and published: 4 December 2020

This paper deals with biases in the distributions of ozone, water vapour and nitric acid from observations of the LIMS satellite instrument and derived within the V6 retrieval version. The trace gas biases are due to biases in the temperature profiles T(p) that are caused be horizontal gradients in temperature that are not fully accounted for in the retrievals. The approach chosen compares the retrieved profiles from the descending and ascending orbit branches that are, at the same time, day and night observations. However, the assessment of biases in the trace gas fields is complicated by the fact that either real diurnal variations occur, or the retrievals are further biased by neglected Non-LTE effects.

General comment: The paper provides a theoretical assessment based on assumed horizontal temperature gradients along the light path through the atmosphere, and confronts these numbers with observed A-D differences. Comparisons to reference measurements are presented in order to validate the bias assessments. Over all, the paper is clearly written, concise and to the point. It fits very well into the scope of AMT. I recommend publication after some minor revisions.

\*Thank you for your careful review of the manuscript and for your constructive comments.

Specific comments: Specific comments: As already said, the paper is clearly written. The only overarching issue I could not resolve is the quantitative assessment of the temperature bias caused by not fully accounted horizontal gradients (second row in Table 1). The authors state in the introduction (I67 - 69): "While the LIMS algorithm makes first order corrections for T(p) gradients, residual bias effects are still apparent in the V6 species distributions.", and in section 2.2 they state (I146 - 147): "Estimates of a bias in V6 T(p) are in Table 1 (row 2), according to the error simulations of Remsberg et al. (2004).". I have checked this paper, but I could not identify the numbers in Table 1 of this manuscript in the Remsberg et al. (2004) paper. I suggest that a short outline of the assessment of the temperature bias due to horizontal gradients should be included in this manuscript.

\*Table 1 and the paragraph beginning at line 141 describe how the LIMS retrieved species profiles are sensitive to temperature profile or T(p) bias. Then, the paragraph at line 207 discusses analyzed A-D temperature differences on a pressure surface, both before and after their first order correction for horizontal temperature gradients. To be clearer, we will revise lines 146-147 to say "Estimates of a bias in V6 T(p) are in Table 1 (row 2), in accord with the temperature bias estimates in Remsberg et al. (2004, their Table 2, row g)". We will also add to the discussion in the following paragraph at line 207 and refer to the findings of Gille et al. (1984) from their Section 5 entitled "Corrections for Atmospheric Gradients".

Abstract, I38 - 40: The authors state here: "We recommend that researchers use the average V6 Level 3 data for their science studies of stratospheric ozone and water vapor wherever diurnal variations of them are unexpected." However, pseudo-diurnal variations appear for ozone, and, to a lesser degree, to water vapour, due to the neglect of NLTE effects (I57 - 59 and I120 - 123). A simple averaging of day and night values does not help here. I suggest that a more careful wording is used in the abstract.

\*Abstract, at lines 38-40, instead of "wherever diurnal variations of them are unexpected", we will say "except for daytime ozone in the lower mesosphere and for daytime water vapor down to the uppermost stratosphere, both of which have uncorrected NLTE effects". While we agree that there are real diurnal effects in stratospheric ozone, they are not determined accurately for V6 because of small biases in T(p).

L161 - 163: "The sharply increasing H2O near the tropical tropopause is due, in part, to residual emissions from cirrus cloud tops that were not screened completely from the bottom of the LIMS H2O radiance profiles prior to retrieval." Is this just a presumption, or have you demonstrated this within an other publication? In the first case you should indicate that you assume this, in the latter case you should provide the reference.

\*Lines 161-163—The presence of radiant emission from cirrus cloud tops was detected, based on a threshold criterion for the vertical slope of retrieved ozone mixing ratio profiles at pressurealtitudes below 45 hPa and between  $\pm 30^{\circ}$  latitude (see Section 2.2 of Remsberg et al. (2007)). Those cloud top estimates apply to the other LIMS species, as well (see also Section 2.2 of Remsberg et al. (2009) for H<sub>2</sub>O). Locations of cloud tops are in separate daily files that are a part of the LIMS V6 Level 2 or daily profile data set. We will add several sentences to this paragraph about the cloud sensing approach of V6.

L341 - 342: I do not understand the following argument: "... the residual biases in the T(p) distributions are related to seasonal changes for the Brewer-Dobson circulation ...". Some clarification would be helpful.

\*Lines 341-342—We agree that this argument is not developed well and appears speculative. We will delete the sentence at line 340 and move its parenthetical phrase to the end of the sentence at line 339.

L345 ff: HNO3 does not appear in the title, abstract or any section heading. I suggest to give HNO3 the appropriate place in the manuscript.

\*Lines 345ff—We will generalize the title of the manuscript to "Residual Temperature Bias Effects in Stratospheric Species Distributions from LIMS" and include findings for HNO<sub>3</sub> and NO<sub>2</sub> in Section 7 and in the Abstract. We will change the Section 4 heading to "Day/night differences in V6 species". Subsections 4.1 and 4.2 will keep their original focus on ozone and water vapor. We will then add Subsection 4.3 about HNO<sub>3</sub> and NO<sub>2</sub> and include brief discussions about temperature bias effects in both species. This reply includes a plot of March NO<sub>2</sub>, based on a more standard diagnostic of the distribution of its A to D ratios. NO<sub>2</sub> has a large diurnal variation that changes with solar zenith angle slightly, as shown in the plot. V6 NO<sub>2</sub> has low S/N below about the 30-hPa level and is inaccurate there. The LIMS observations cross the day/night terminator at the highest latitudes; the distribution of ratios should be accurate elsewhere. There is a slight asymmetry of the 0.7 contour about the equator—a feature that may be due to our account of the distribution of interfering radiance from methane, which is dominant in the tropical stratosphere. That interfering radiance represents a larger correction in retrievals of day versus night NO<sub>2</sub> mixing ratios. Thus, we report no clear indications of temperature bias effects in V6 NO<sub>2</sub>.

L 404: "... due to uncorrected NLTE emissions from CO2 and ozone ...": has this been assessed quantitatively? If so, please provide the reference.

\*Line 404—First-order assessments of uncorrected NLTE effects in CO<sub>2</sub> and in LIMS ozone are from Mlynczak and Drayson (1990) and Solomon et al. (1986).

L407 - 408: Is it reasonable to assume a negative T(p) bias? Is the comparison with ROCOZ ozone sondes the only indication for that? Could it be that this result is caused by an over- or underestimation of the Non-LTE effect?

\*Lines 407-408—We are commenting on the results in Figure 14 for April and May at 1.5 to 3 hPa. Although NLTE is an unlikely factor at those pressure-altitudes (see Edwards et al., 1996), we agree that we did not confirm that V6 T(p) has a negative bias. More likely, there is a real ozone difference at Wallops Island for those months of 1979 versus the ozone climatology of the mid-1970s. We will revise the text, accordingly.

L435 - 436: For me, having scientifically "grown up under the ozone hole" the statement in the first sentence of this para is a bit strange - although it might have been true (at least within some limits) at the time of the LIMS measurements. Maybe a link to the pre-ozone hole area of the LIMS observations should be made here.

\*Lines 435-436—We are referring to the behavior of ozone transport in the middle stratosphere or near 10 hPa. LIMS made no measurements poleward of 64°S for June through late October. Even so, Remsberg et al. (2020, ACP, <u>https://doi.org/10.5194/acp-20-3663-2020</u>) reports evidence from the V6 species for chemically induced loss of ozone in subpolar regions of the lowermost stratosphere from late October through November 1978.

L483 - 486: Similar to the abstract, the neglected non-LTE effects in ozone and water vapour retrievals should be kept in mind, and the statement about averaging the A and D observations needs a bit more caution.

\*Lines 483-486—We will add a cautionary statement to that effect.

Technical comments:

L 183: ... temperatures for (or in) March.

References: several dois are incorrect.

\*Line 183—We will make the change.

\*References—Although you do not point out any specific doi errors, we will check about them.