



# Detection of non-linear effects in satellite UV/Vis reflectance spectra: Application to the Ozone Monitoring Instrument

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**Abstract.** Non-linear effects, such as from saturation, stray light, or obstruction of light, negatively impact satellite measured ultraviolet and visible Earthshine radiance spectra and downstream retrievals of atmospheric and surface properties derived from these spectra. In addition, excessive noise such as from cosmic ray impacts, prevalent within the South Atlantic Anomaly, can also degrade satellite radiance measurements. Saturation specifically pertains to observations of very bright surfaces such as sun glint over water surfaces or thick clouds. Related residual electronic cross-talk or blooming effects may occur in spatial pixels adjacent to a saturated area. Obstruction of light can occur within the zones of solar eclipses as well as from material located outside of the satellite instrument. The latter may also produce unintended scattered light into a satellite instrument. When these effects cannot be corrected to an acceptable level for science quality retrievals, it is desirable to flag the affected pixels. Here, we introduce a new detection method that is based on the correlation,  $r$ , between the observed Earthshine radiance and solar irradiance spectra over a 10 nm-spectral range; our Decorrelation Index (DI for brevity) is simply defined as  $DI=1-r$ . DI increases with non-linear effects or excessive noise in either radiances (the most likely cause in OMI data) or irradiances. DI is relatively straight-forward to use and interpret and can be computed for different wavelength intervals. We developed a set of DIs for two spectral channels of the Ozone Monitoring Instrument (OMI), a hyperspectral pushbroom imaging spectrometer. For each OMI spatial measurement, we define 14 wavelength-dependent DIs within the OMI visible channel (350-498 nm) and 6 DIs in its ultraviolet 2 (UV2) channel (310-370 nm). As defined, DIs reflect a continuous range of deviations of observed spectra from the reference irradiance spectrum that are complementary to the binary Saturation Possibility Warning (SPW) flags currently provided for each individual spectral/spatial pixels in the OMI radiance data set. Smaller values of DI are also caused by a number of geophysical factors; this allows one to obtain interesting physical results on the global distribution of spectral variations.

## 1 Introduction

The Ozone Monitoring Instrument (OMI) is a Dutch/Finnish ultraviolet (UV) and visible (Vis) wavelength spectrometer that is on board NASA's Aura satellite launched on July 15, 2004. It has provided one to two day global coverage for several important atmospheric trace gases including ozone ( $O_3$ ), sulfur dioxide ( $SO_2$ ), nitrogen dioxide ( $NO_2$ ), and formaldehyde (HCHO) as well as clouds and aerosols (Schenkeveld et al., 2017; Levelt et al., 2018). OMI has contributed to studies of atmospheric pollution, climate-related agents, and stratospheric chemistry (Levelt et al., 2018.), the first observation of glyoxal ( $C_2H_2O_2$ ) from space (Chan Miller et al., 2014), and precise observations of solar spectral irradiance (Marchenko



35 and Deland, 2014). OMI's near real-time (NRT) data are disseminated within 3 hours of sensing (Krotkov et al., 2015), while the very-fast-delivery (VFD) data are made available within 20 minutes of overpass, contributing to medium-range weather and air quality forecasts, as well as to detection and tracking of volcanic plumes (Hassinen et al., 2008; Levelt et al., 2018). OMI measurements also provides estimates of tropospheric ozone columns (e.g., Sellitto et al., 2011; Ziemke et al., 2017). Several sensors which are similar to OMI are currently in orbit, including the Tropospheric Monitoring Instrument  
40 (TROPOMI) on board the Copernicus Sentinel-5 precursor (S5P) satellite, Ozone Mapping and Profiler Suite/Nadir Mapper (OMPS/NM) on Suomi NPP and NOAA-20, and Global Ozone Monitoring Experiment 2 (GOME-2) instruments on European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT) MetOp platforms.

Non-linear effects, such as saturation and blooming, can degrade Earthshine radiance measurements from passive solar  
45 backscatter UV/Vis satellite spectra and thus impact retrievals of atmospheric constituents. Saturation occurs when bright light causes the number of electrons in a sensor pixel to exceed either the maximum charge capacity of an individual CCD photodiode, or the maximum charge transfer capacity of the sensor. Once the excessive electrons from the saturated pixel flow into neighboring pixels, saturation leads to CCD blooming, frequently rendering such data useless. Hereafter we refer to the spatial domain (30 or 60 simultaneously acquired scenes) as rows, and the spectral domain as  
50 columns. Per OMI design, during the CCD readout the excessive charge spreads between spatial pixels more easily, and therefore the blooming effect is predominantly observed between different rows.. Retrievals of atmospheric gases or aerosols can be compromised when observing very bright surfaces such as sun glint in low wind speed conditions (Cox and Munk, 1964; Kay et al., 2009; Butz et al., 2013; Feng et al., 2016), as well as over scenes predominantly covered by optically thick  
55 clouds. For example, the GOME2 (launched on 2007) UV band 1 detectors experienced saturation due to reflectance from clouds; this effect was predicted in the GOME-2 error assessment study (Siddans et al., 2002). Saturation caused by Sun glint routinely occurs in the visible imagery of the MODerate Resolution Imaging Spectroradiometer (MODIS) flying on NASA's Aqua and Terra satellites. MODIS data show a gradual increase of saturated data towards the red and NIR bands, reaching around 1500 pixels, or ~ 0.03% of pixels, in a granule at 869 nm (Singh and Shanmugam, 2014). The Orbiting  
60 Carbon Observatory-2 (OCO-2) and similar greenhouse gas monitoring instruments occasionally point directly at the sun-glint. The OCO-2 in-orbit checkout activities revealed an unexpectedly high signal from Lake Maracaibo, Venezuela on August 7, 2014. This signal saturated all 3 channels and was attributed to an oil slick on a wave-free lake. After this event, known as the Lake Maracaibo Saturation Incident, an automated saturation warning algorithm was incorporated into the OCO-2 processing to identify such events (Crisp et al., 2017). Solar glint from ocean and clouds, as well as "saturation tails" or blooming effects are also seen in many images from the Earth Polychromatic Imaging Camera on the Deep Space Climate  
65 Observatory (EPIC/DSCOVR) (Varnai et al., 2019). A blooming effect occurs when electrons from a highly illuminated pixel of the charge-coupled device (CCD) matrix jump to a neighboring pixel, causing distortion of its signal. TROPOMI also experiences detector saturation and blooming problems, typically caused by bright tropical clouds seen in bands 4 (400-499 nm) and 6 (725-786 nm). Bands 7 (2300-2343 nm) and 8 (2342-2389 nm) mostly react to sun glint. Currently, blooming



70 areas are not detected by the TROPOMI L0-1b processor. A flagging algorithm is under development (Rozemeijer and Kleipool, 2019; Ludewig et al., 2019).

75 A set of 16 operational flags, called the Saturation\_Possibility\_Warning (SPW) flags are currently included in the OMI level 1b data set. SPWs are designed to flag OMI pixels with 16 various radiation anomalies (e.g., saturation, stray light, nonlinearity). These flags are defined for each OMI wavelength: 751 wavelengths of the Vis spectrum and 557 wavelengths of the UV2 spectrum (GDPS, 2006). All of the 16 SPW flags are binary; a pixel with any degree of abnormality (e.g., saturation) at a given wavelength is marked as bad.

Here, we describe a new approach to identify potentially erroneous OMI data based on the correlation  $r$  between the observed back-scattered Earthshine spectrum and a reference solar spectrum computed over limited spectral regions. Earthshine spectra differ from the solar spectra due to Rayleigh, rotational-Raman, aerosol and surface scattering as well as absorption of radiation by ozone and other atmospheric components. Most of these factors, with the exception of strong ozone absorption in the UV, amount to secondary effects on the correlation coefficient between the solar and Earthshine spectra within a limited spectral window. The degree of correlation under normal conditions depends mainly on the strength (depth) of solar Fraunhofer features and is typically close to unity. In this case, the correlation coefficient is sensitive to any additional systematic additive deviation in the Earthshine spectra and rapidly decreases in saturated (solar glint or bright clouds) or other anomalous conditions such as cosmic ray hits on the detector. We apply our approach to OMI data and analyze individual cases and global distributions of flagged data. While these effects have been known for some time and dealt with by instrument teams by various methods, the prevalence of the different effects globally for a particular instrument has rarely been documented. This work provides a detailed analysis of non-linear effects specifically as they affect OMI, as well as a general and straight-forward approach that may be applied to similar instruments (TROPOMI, OMPS, GOME-2, etc.) to identify and filter out suspect or erroneous data.

## 2 Data and Methods

### 2.1 Ozone Monitoring Instrument (OMI) description

95 The Aura satellite that hosts OMI is in a polar Sun-synchronous orbit with a local equator crossing time of 13:45. OMI is a nadir-looking, push-broom UV/Vis grating spectrometer (Levelt et al., 2018). The light entering the telescope is depolarized using a scrambler and then split into two channels: the UV (wavelength range 264–383 nm) and the Vis (wavelength range 349–504 nm) (Schenkeveld et al., 2017). The UV channel is further divided into the two sub-channels, UV1 (264–311 nm, 0.63 nm resolution and 0.21 nm sampling) and UV2 (307–383 nm range, 0.42 nm resolution with 0.14 nm sampling). Measurements are collected on two-dimensional charge-coupled device (CCD) sensors used for the UV and 100 Vis channels. Spectral information is dispersed along one dimension of each CCD and spatial is imaged on the other. Each



channel has a devoted frame-transfer CCD detector with  $6e5$  electrons/pixel full-well capacity. To avoid blooming and ellipsoid effects, the pixel filling should be kept below  $3e5$  electrons (Dobber et al., 2006). OMI also measures the solar irradiance once per day through the solar port. Here, we use the UV2 sub-channel and Vis channel only; in the UV1 channel, strong, variable ozone absorption renders our approach impractical.

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In the global mode, each orbit spans the pole-to-pole sunlit portion, typically comprising 1644 along-orbit exposures, referred to as *i*Times hereafter. The  $114^\circ$  viewing angle of the telescope corresponds to a 2600 km wide swath on the Earth's surface and consists of 60 simultaneously acquired rows or ground pixels across the track. In this mode, the OMI pixel size is  $13 \times 24 \text{ km}^2$  at nadir. The in-flight performance of OMI is discussed in Schenkeveld et al. (2017). The radiometric degradation of the OMI radiances since launch ranges from  $\sim 2\%$  in the UV channel to  $\sim 0.5\%$  in the Vis channel, which is much lower than any similar sensor (Levelt et al., 2018). The one major disadvantage of OMI is the so-called row anomaly (Schenkeveld et al., 2017), which is presumably caused by a partial detachment of insulation material exterior to the instrument and produces a number of non-linear effects on sun-normalized radiances. The row anomaly is discussed in detail in Section 3.4.

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## 115 2.2 The Decorrelation Index (DI)

We introduce a new parameter, the decorrelation index (DI), and defined as  $1 - r$ , where the correlation coefficient  $r$  is derived for radiances and irradiances at each spectral region: for OMI, 14 regions of  $\sim 10 \text{ nm}$  (51 wavelengths for each spectral region) on the Vis channel and 6 regions of  $\sim 10 \text{ nm}$  (69 wavelengths for each region) on the UV2 channel. For the standard solar spectrum or reference irradiance, we take an average of all solar spectra obtained by OMI in 2005. We consider the atmospheric spectra of the UV2 and Vis channels separately. Each atmospheric spectrum is re-gridded via linear interpolation to match the wavelengths of the averaged irradiance spectrum. An exact match between the radiance and irradiance spectral features gives  $DI = 0$ , whereas when the features in the radiance and irradiance spectra deviate, the DI approaches 1 to 2, where values greater than 1 indicate that irradiance and radiance spectra exhibit anti-correlation. Hence, cases of  $DI > 0$  may indicate distortions of atmospheric spectra.

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In this initial version of the OMI DI, we use the spectral range 309.9-370.0 nm for UV2 and 349.9-498.4 nm for Vis. Overlapping of these ranges is useful for assessing the calibration between the UV2 and VIS channels. For solar zenith angles (SZA)  $> 90^\circ$ , the radiance level drops, noise begins to dominate, and the DI grows rapidly. Therefore, for the cases of SZA  $> 90^\circ$ , we do not compute the DI. The DI is sensitive to the degree of distortion of the reflectance spectrum, regardless of the cause of the distortion (saturation, crosstalk, noise etc), so that it detects distortions other than saturation. For example, the DI may detect electronic cross-talk (or blooming) effects in pixels adjacent to the saturated area. In a number of cases, the decorrelation index proves to be either more or less sensitive than the current SPW (Saturation\_Possibility\_Warning) flags reported in the OMI PixelQualityFlags filed of the Level 1b data, as shown in the next section. The DI provides a range

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of values that describes the deviation of observed spectra from the reference irradiance spectrum, while the SPW flag is a  
 135 binary value. The DI therefore allows flexibility in setting thresholds for different applications. The DI value for a given  
 spectral interval depends strongly on the number of Fraunhofer lines as well as presence of strong ozone absorption features  
 within the wavelength range. Therefore, the DI values corresponding to likely damaged spectra vary somewhat for each  
 spectral region. For example, the 14 DI divisions of the Vis spectrum generally fall into two distinct groups; for the first  
 group, the value of DI above 0.01-0.03 is a sign of a significant distortion of the spectrum, while for the second group a  
 140 typical distortion threshold value is larger (~0.1-0.4).

Table 1 summarizes the DI wavelength bands and suggested critical values corresponding to damage spectra. These critical  
 values should be treated as indicative. A user may define different thresholds, depending on their application. We chose row  
 20 to determine these critical values.

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**Table 1.** Chosen OMI DI spectral intervals and indicative DI thresholds for damaged spectra.

Interval (UV2)	Wavelengths (nm) (for row 20)	Value DI as signature of distortion of spectra	Comments
1	309.94-320.61	- *	Strong ozone effects
2	320.76-331.08	>0.20-0.25	Ozone effects
3	331.23-341.24	>0.35-0.45	Weak ozone effects
4	341.39-351.11	>0.02-0.03	Strong spectral lines
5	351.25-360.70	>0.02	Strong spectral lines
6	360.84-370.02	>0.01	Strong spectral lines
(Vis)			
1	349.93-360.33	>0.03	Strong spectral lines
2	360.54-370.93	>0.01	Strong spectral lines
3	371.14-381.52	>0.02	Strong spectral lines
4	381.73-392.11	>0.01	Strong spectral lines
5	392.32-402.70	>0.01	Strong spectral lines
6	402.91-413.29	>0.06-0.08	
7	413.50-423.89	>0.1-0.15	
8	424.10-434.50	>0.02-0.03	Strong spectral lines
9	434.71-445.12	>0.05-0.1	
10	445.32-455.74	>0.25	
11	455.95-466.39	>0.4	
12	466.60-477.05	>0.4	
13	477.26-487.72	>0.03	Strong spectral lines
14	487.93-498.41	>0.2	

\*The threshold depends on the row number.



150 The dependence of the threshold DI values on cross-track position is relatively minor, except for the first UV2 interval. For this interval, other cross-track position may carry different values, primarily due to ozone absorption (increasing towards the swath edges). The critical DI values depend on spectral resolution, hence the indicative thresholds from Table 1 may vary for different instruments.

### 3 Results

155 To study the DI, we first concentrate on scenes that are most likely to contain saturation and blooming effects: sun glint areas with relatively calm water surfaces and contiguous bands of deep convective clouds. Next, we examine the global distribution of the DI, which reveals other effects that damage observed spectra. We then investigate the impact of the row anomaly on the DI.

#### 3.1 Saturation over clouds

160 A typical problematic cluster of bright clouds in the Pacific Ocean is shown in Fig.1a, where two zones are highlighted, a small northern zone (denoted A) and a large southern zone (marked as B). Figure 1c shows the number of wavelengths for a given pixel marked with the SPW flag as saturated. Figure 1b -1d shows the corresponding DI values for the Vis interval 414-424 nm. The DI indicates that the spectra in zone A are weakly affected, and in zone B they are badly damaged. Figure 1c shows the number of wavelengths for a given pixel marked with the SPW flag as saturated.

165 Figures 2 and 3 illustrate the properties of the DI that characterize the quality of a given part of the spectrum using a single parameter. Figure 2 shows an example of a spectrum with slight distortions that are captured by the SPW flags, but nevertheless has low values of the DI. Small deviations of the DI from 0 can result from geophysical effects, for example, an increased amount of ozone, and minor damage to the spectrum, as shown in Fig. 2. Those users who have strict requirements for the quality of the spectra should use the SPW flag in this case, which detects minor damage to the spectrum. Figure 3 shows the Vis spectrum for a pixel in zone B (indicated by an arrow in Fig. 1) corresponding to  $i\text{Times} = 807$ ,  $\text{Row} = 20$ ). The radiance spectrum is saturated in the 400-465 nm range. In contrast with Fig. 2, damage in this spectrum is manifested in both the SPW flag and the DIs. The DIs reflects the degree of spectral damage, which in this case reaches a maximum near 450 nm. Based on the problem under study, a user can determine whether the spectrum is useful despite minor damage such as in zone A. In such cases, the SPW and DI may provide complementary information.

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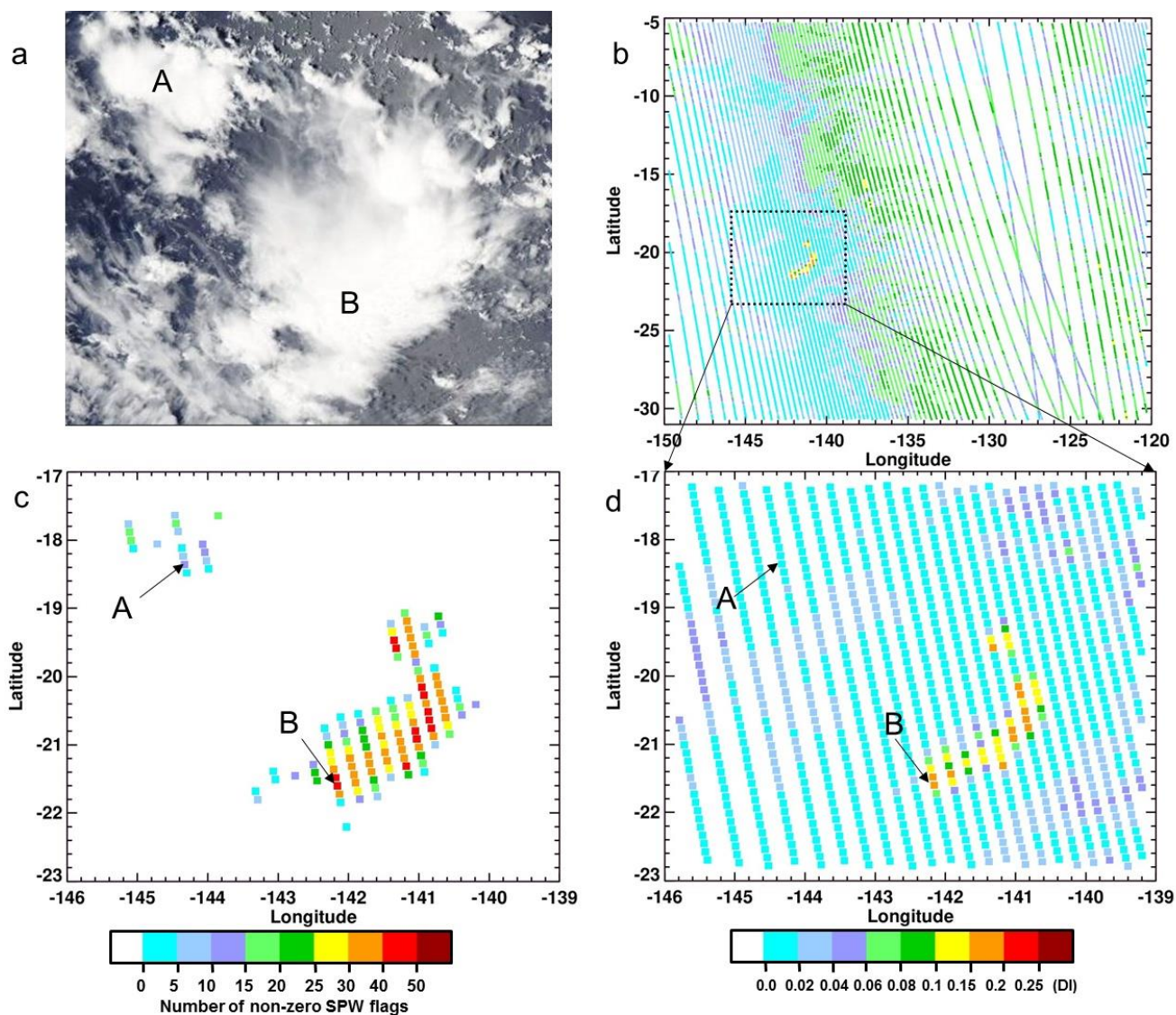
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Reflectance on Figures 2 and 3 is defined as  $\pi \cdot I / [F_0 \cdot \cos(\theta)]$ , where  $I$  is the top-of-the-atmosphere (TOA) radiance,  $F_0$  is the extraterrestrial solar flux,  $\theta$  is the solar zenith angle (SZA). The wavelength dependence of reflectance in a usual situation has the form of a fairly smooth curve, albeit with a small amount of high frequency structure due to rotational

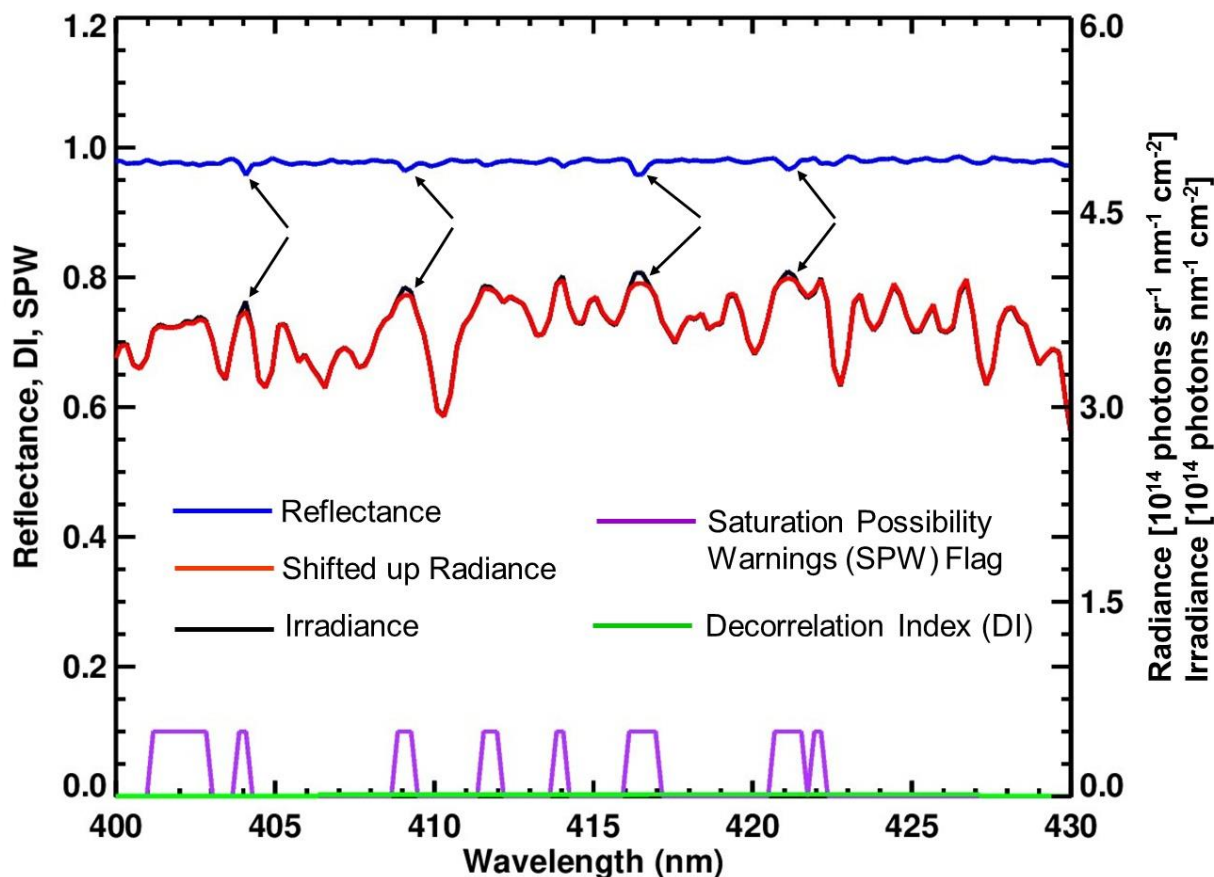


180 Raman scattering also known as the Ring effect (e.g., Joiner et al., 1995). Both zones in Fig.1 have high values of  
 reflectance; for zone A, reflectance is between 0.95 and 1.0 (Fig. 2), while for zone B, reflectance is between 1.0 and 1.1  
 (Fig. 3). In some viewing directions the reflectance can exceed unity due to anisotropic angular distribution of the TOA  
 radiance.

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190 **Figure 1:** a. Two cloud zones in the south Pacific on January 14, 2006 for orbit 7990: small northern zone labeled “A” and large  
 southern zone labeled “B” (a) Aqua MODIS image; (b), (d) DI maps for the Vis spectral region 414-424 nm; (c) The number of  
 wavelengths for a given pixel marked with the SPW (Saturation Possibility Warning) flag as saturated (the maximum number is  
 51 in this Vis spectral region).



195 Figure 2: Data for iTimes 839, row 15, orbit 7990, January 14, 2006 in zone A (this pixel is marked by arrows in Fig. 1(c,d). The  
200 red and black lines are the radiance and irradiance, respectively (the magnitude of the irradiances is shifted to line up  
with the radiance. Radiance is reported in photons  $\text{sr}^{-1} \text{nm}^{-1} \text{cm}^{-2}$ , irradiance – in photons  $\text{nm}^{-1} \text{cm}^{-2}$ . Both radiance and irradiance  
are divided by  $10^{14}$ . The blue line at the top of the picture is reflectance  $\pi I / [F_0 \cos(\theta)]$ , where  $\theta$  is solar zenith angle. Reflectance  
in this zone has slight variations caused by minor saturation in the atmospheric spectrum as indicated by the arrows. The purple  
line shows the binary SPW (Saturation Possibility Warning) flags multiplied by 0.1. The green line is the  $DI < 0.01$  for bands 403-  
413, 413-424, 424-434 nm. The intensity of the radiance spectrum is shifted upwards slightly for clearer comparison with the  
irradiance spectrum.



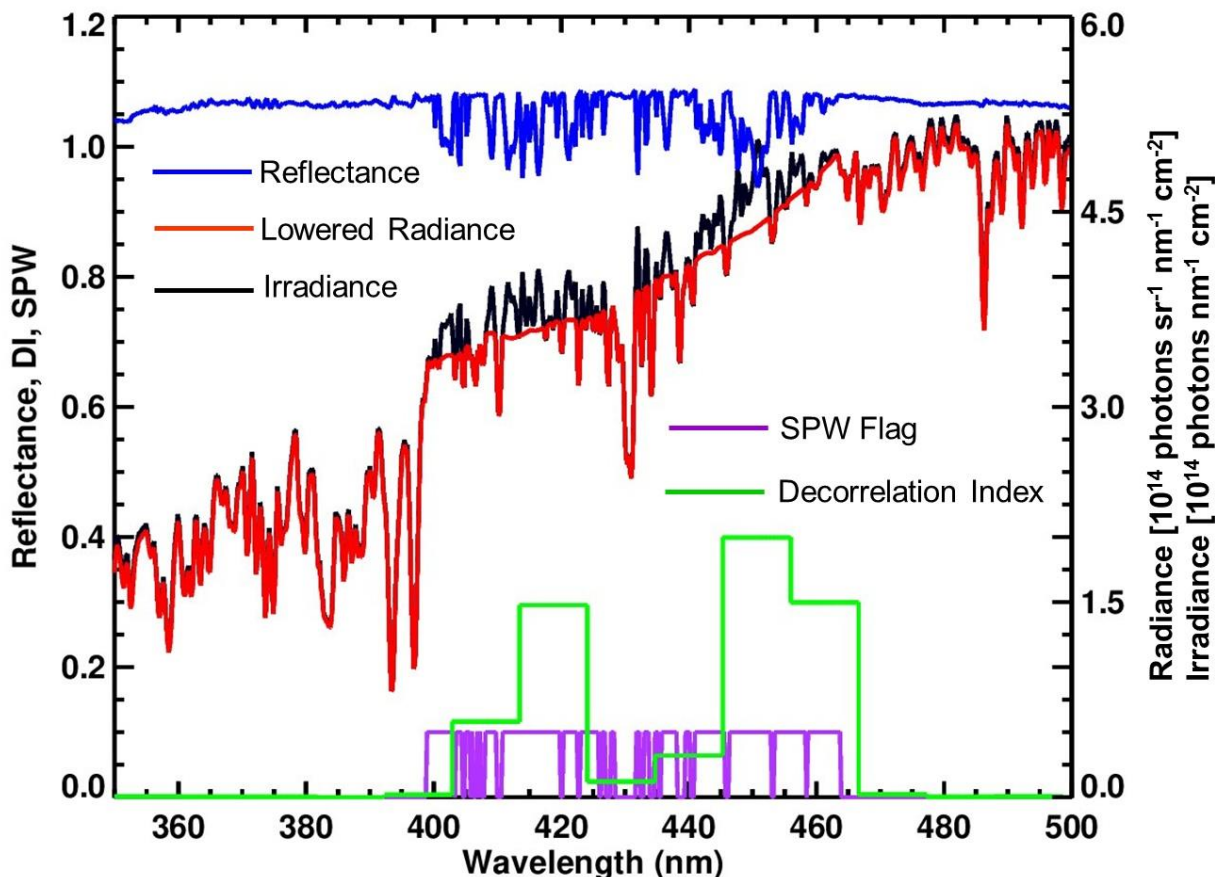


Figure 3: Similar to Fig. 2 but for iTimes - 807, Row - 20, orbit 7990, January 14, 2006 in cloud region B (see arrows in Fig.1(c,d). The radiance was lowered by a few percent for better comparison.

### 205 3.2 Saturation over lakes and ocean

The South American lake Salar de Uyuni is used for calibration of many satellite sensors (Lamparelli et al., 2003; Fricker et al., 2005). Salar de Uyuni is dry for most months of the year, but during the rainy season, it is filled with shallow water with strong direct reflectance from the sun. This may cause saturation of OMI's detectors. The lake, covered with shallow water, generated strong solar glint, for example, on orbit 7987 (January 14, 2006). Figure 4a shows this shallow lake on January 14, 2006 as observed by the Aqua MODIS sensor. The SPW flags (Fig. 4c) and DIs (Fig. 4b,d) for this case show that the lake generates two bright spots: southern and northern. The solar glint from the northern spot is so bright that the signal extends to nearby pixels (iTimes 823-825, Rows # 11-14). The resulting spectral distortions, called blooming, are caused by artificially increased radiance and are detected by the DI (see also Cao et al., 2019; Shen et al., 2019 for examples of

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blooming in other sensors). Significant portions of the pixels that underwent blooming effects have zero values for the SPW  
 215 flag; the SPW flag typically marks only the saturated pixels (see Fig. 4).

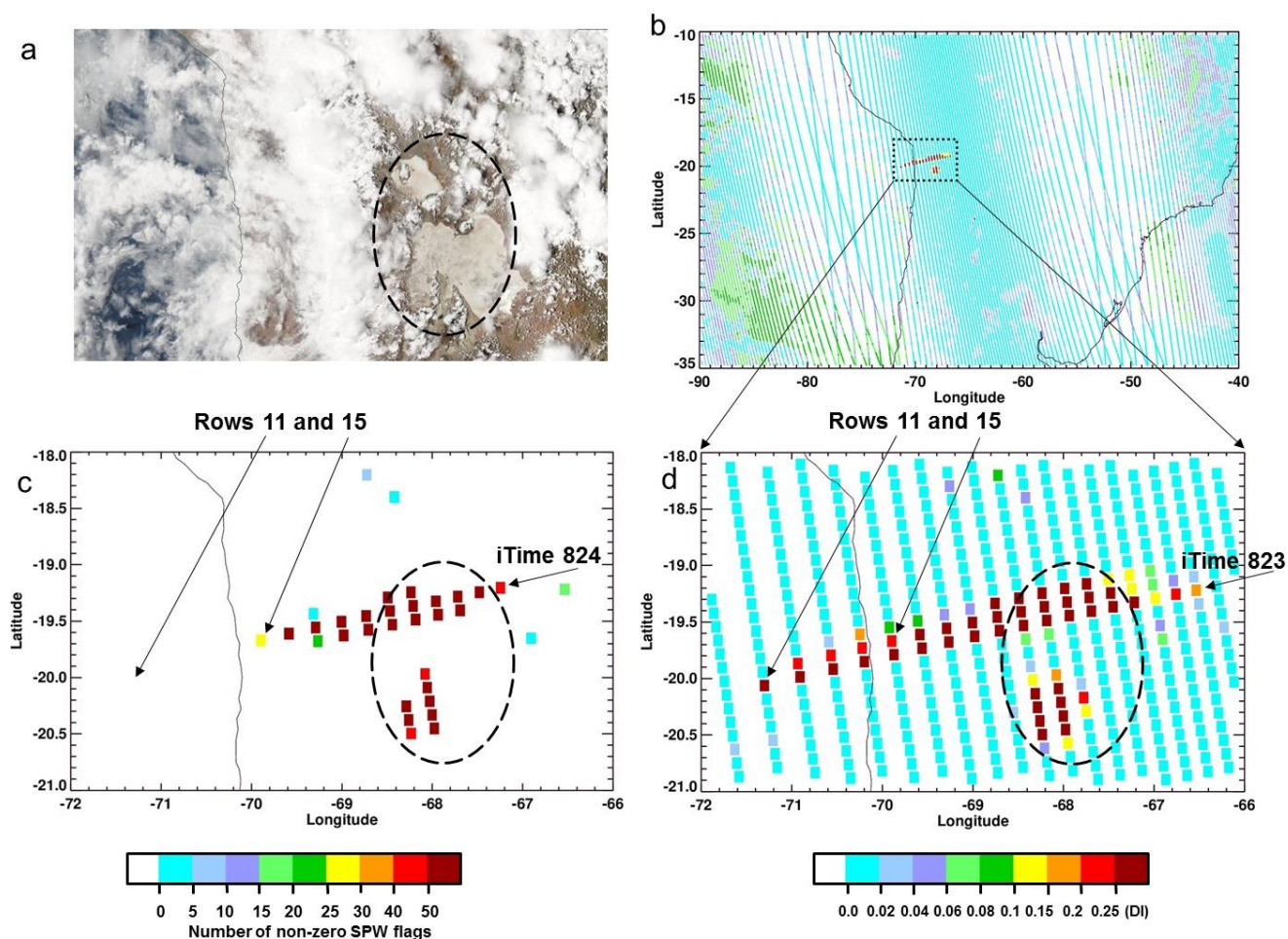


Figure 4: Similar to Fig. 1 but for an area near Salar de Uyuni, January 14, 2006, orbit 7987.

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Figure 5 shows the Vis spectrum for a pixel where a strong distortion due to a blooming effect produced amplification in the radiance spectrum (iTimes - 824, Row - 15). Most of the reflectance values for these pixels are in the normal range (0.3-0.6). However, perturbations, in the form of peaks in the reflectance due to blooming, often exceed unity. The DI highlights the affected parts of the spectrum.

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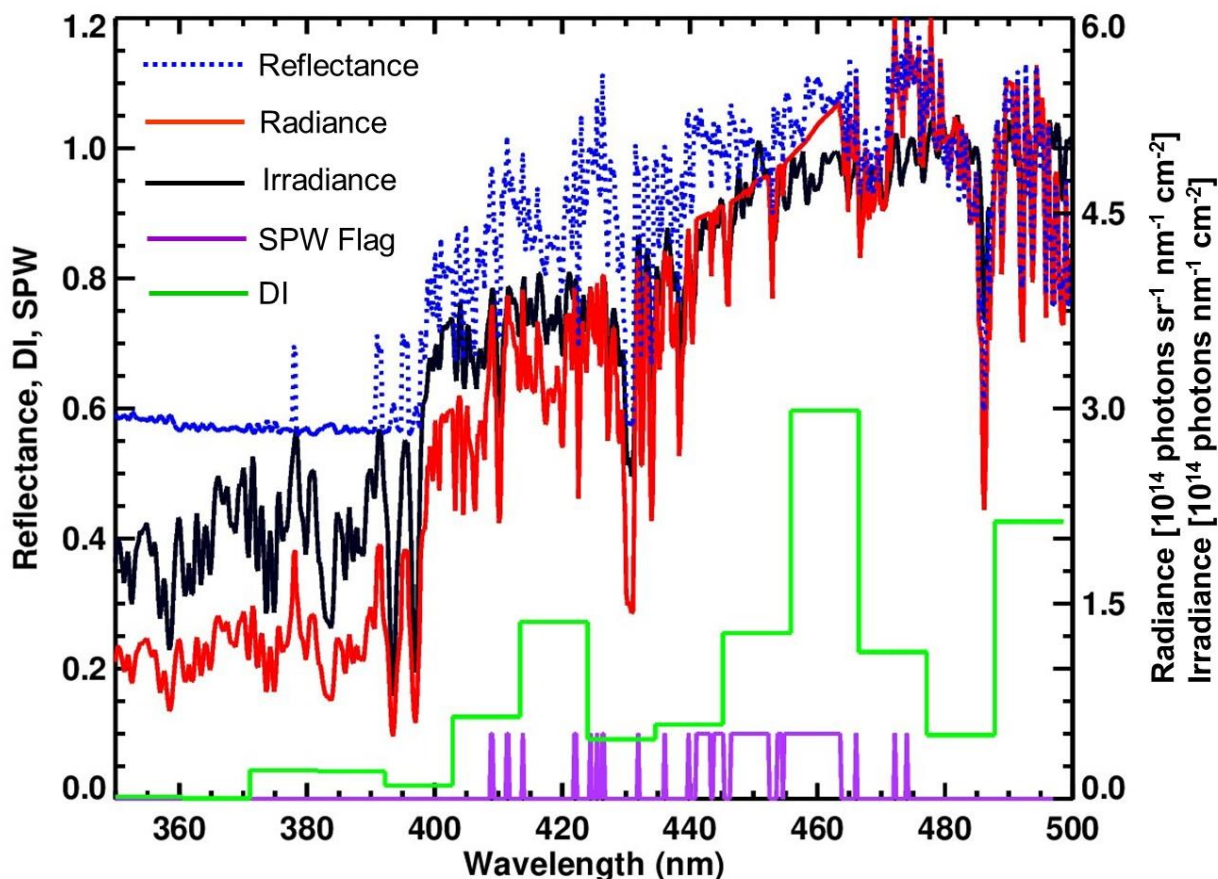


Figure 5: Similar to Fig. 2 but for pixel iTimes 824, row 15, orbit 7987 indicated by arrows in Fig. 4(c,d) showing solar glint from Lake Salar de Uyuni.

230 Figure 6 shows the spectrum for a pixel where the spectral distortion due to the blooming effect causes peaks in the radiance and reflectance (iTimes - 824, Row -11). High DI values are seen for a number of corrupted parts of the spectrum where the SPW flags are zero. Users who make special demands on the quality of the spectra can use spectra whose quality is confirmed by both parameters.

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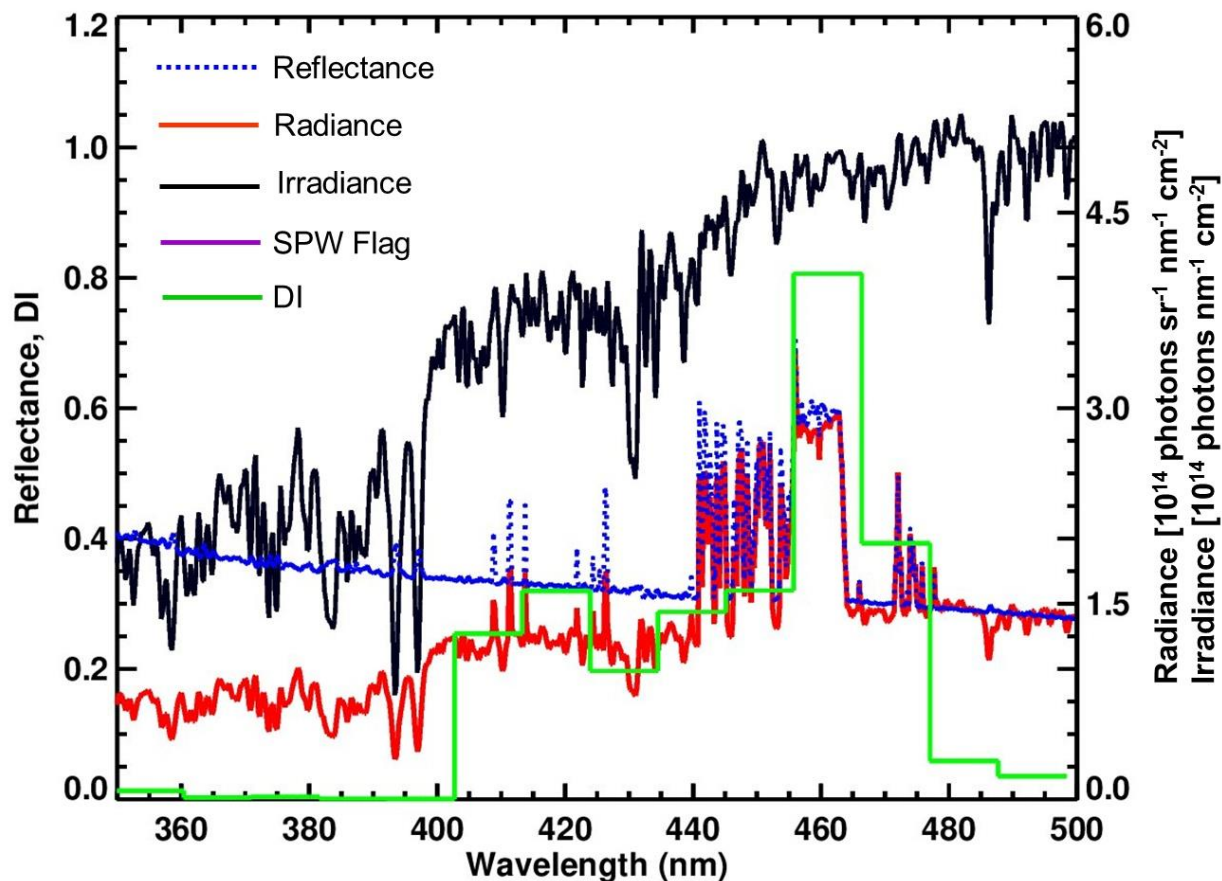
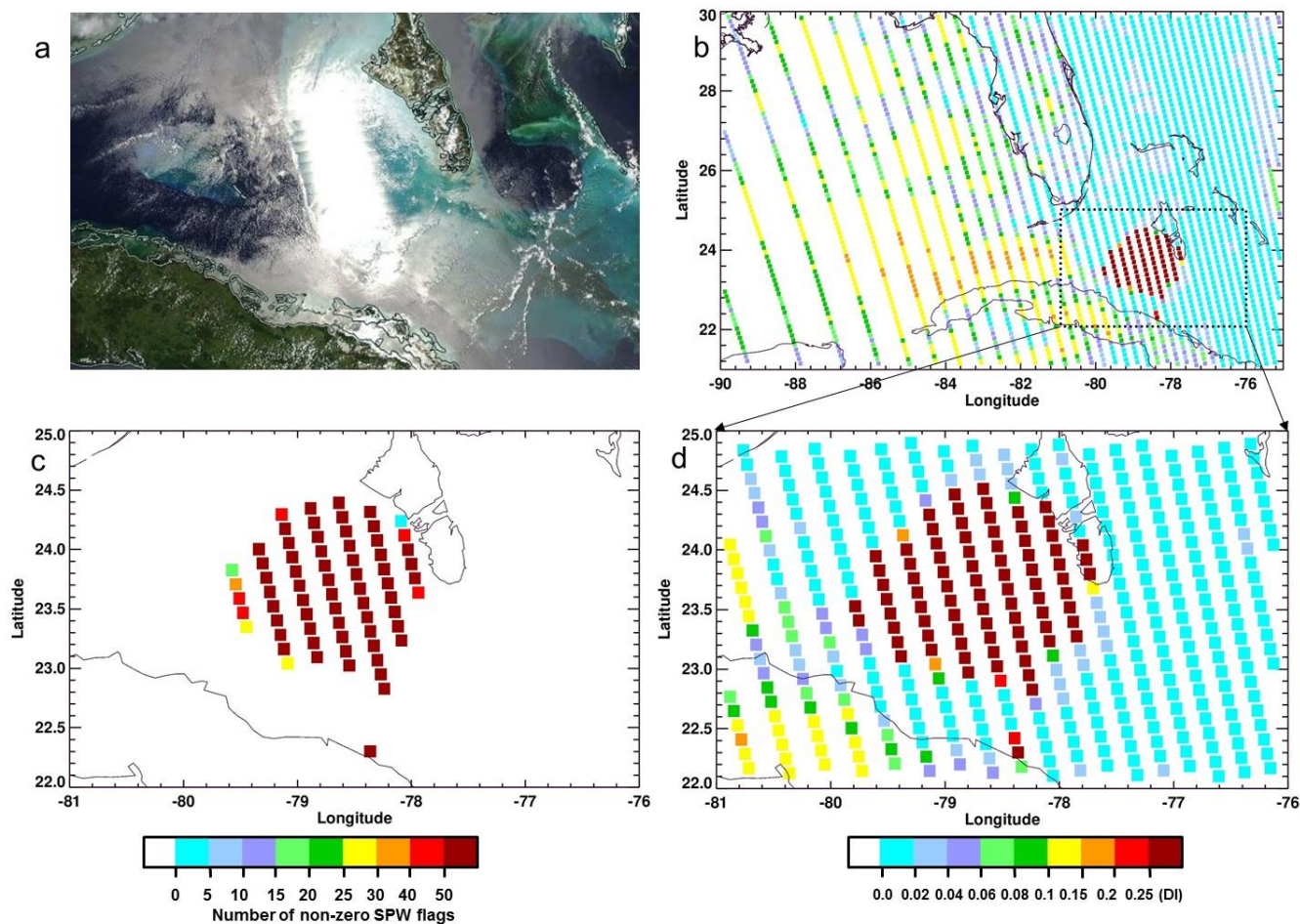


Figure 6: Similar to Fig. 5 but for pixel iTimes 823, row 11, orbit 7987 (see arrows in Fig. 4d). SPW flags are zero for this case and are not shown.

240 An example of solar glint in the Caribbean Sea is shown in Fig. 7 for July 26, 2013. Effects of the glint for this case are detected in both the DI and SPW flags. Some of the pixels not marked by the SPW flags show high DI values that are most likely related to blooming.

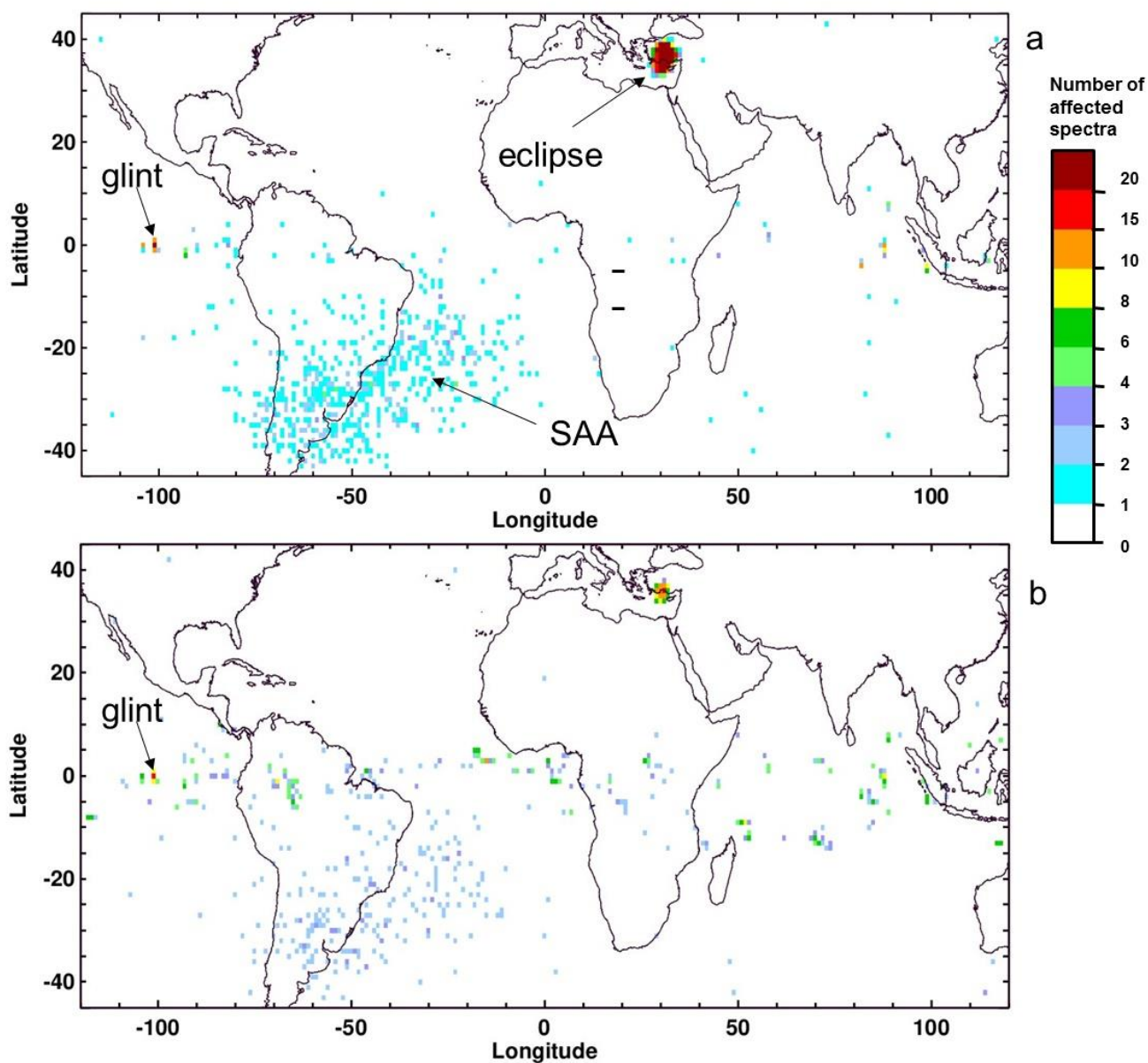


245 **Figure 7:** Similar to Fig. 1 but for an area showing solar glint near the Bahamas (orbit 48034, July 26, 2013). Glint positions in (c) and (d) do not exactly match those in the RGB image (a).

### 3.3 Orbital and Global Distribution

250 Figures 8-10 show global distributions of the number of affected Vis spectra with  $DI >$  thresholds for spectra for March 2006 (Figs. 8 and 9) and for the entire 2006 (Fig. 10). The global distributions of DI show that it depends on many geophysical and instrumental processes. For example, Fig. 8a shows the spatial distribution of the number of spectra where  $DI > 0.03$  for the 424.1-434.5 nm range. Figure 8b similarly shows distributions for where  $DI > 0.25$  for 445.3-455.7 nm. Despite the different threshold DI values that characterize the distorted spectra, these two DIs show approximately the same distribution of corrupted spectra associated with enhanced cosmic ray hits on the detectors within the South Atlantic Anomaly (SAA) region, glint, and a solar eclipse zone.

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260 **Figure 8:** Gridded ( $1^\circ \times 1^\circ$ ) distributions of the number of affected spectra for March 2006; (a) Vis band 424.1-434.5 nm, DI > 0.03; (b) Vis 445.3-455.7 nm, DI > 0.25. The South Atlantic Anomaly (SAA) and a region affected by solar eclipse are clearly visible; the remaining pixels with high DI values are mostly associated with sun glints and bright clouds.

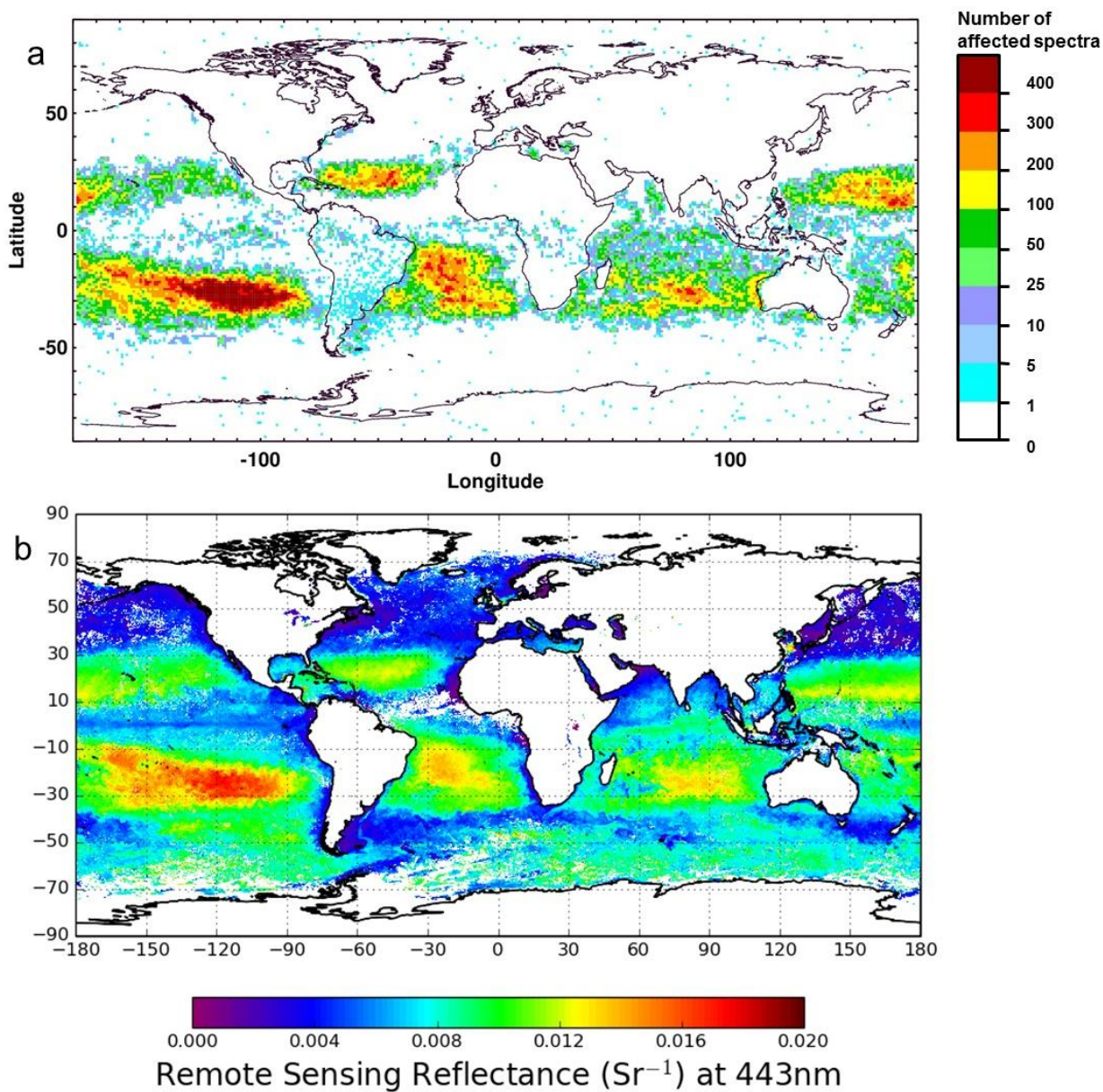
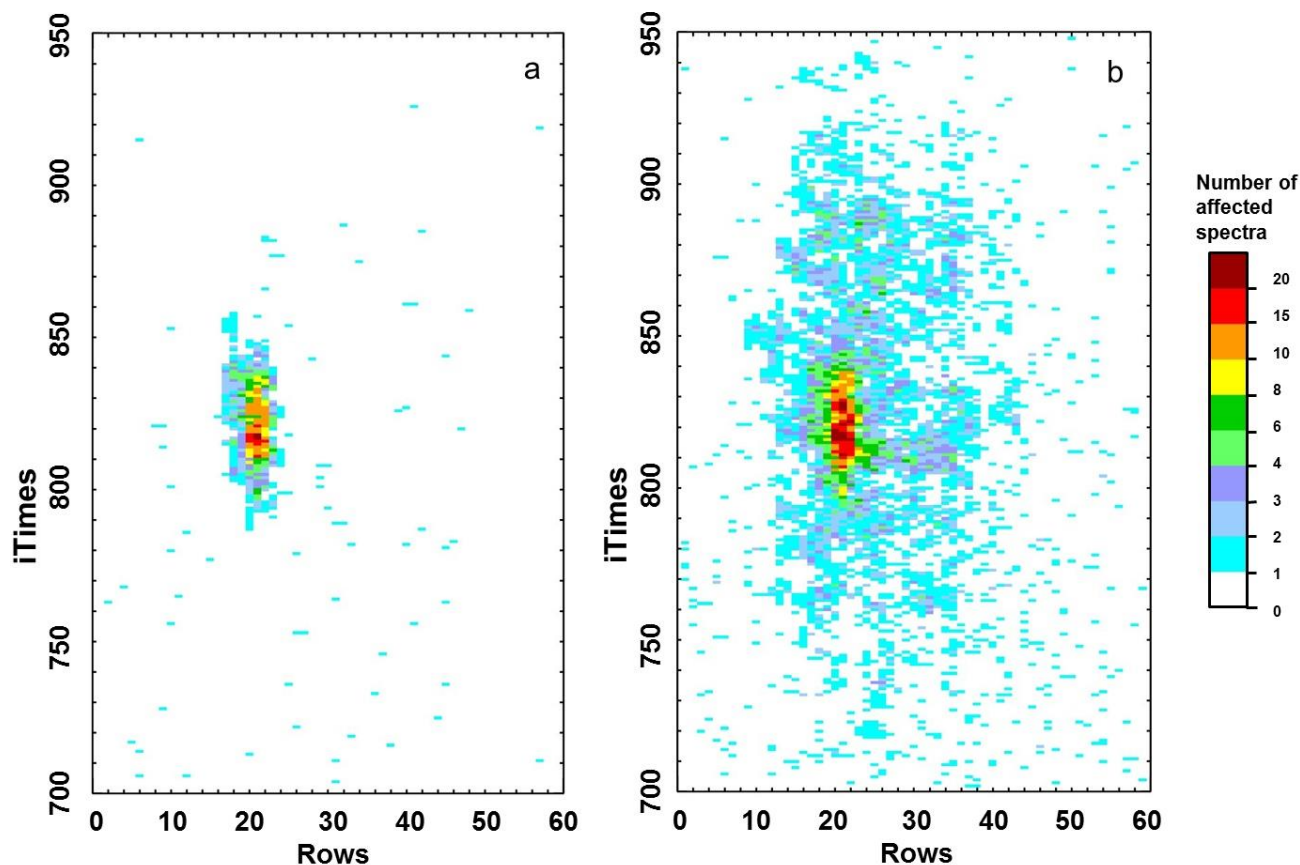


Figure 9: (a) Gridded ( $1^\circ \times 1^\circ$ ) distribution of a number of spectra with  $DI > 0.1$  for Vis 445.3-455.7 nm in March 2006; (b) ocean remote sensing reflectance for March 2006 at 443 nm from Aqua MODIS.



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**Figure 10:** Distribution of a number of affected spectra for 2006, Vis 445.3-455.7 nm; (a)  $DI > 0.6$ ; (b)  $DI > 0.25$ . Usually each pixel collects ~ 5000 spectra per year.

The interpretation of low DI for normal spectra (for example, spectra with  $DI > 0.1$  for Vis 445.3-455.7 nm) is quite complicated as low DI values depend on many factors. Figure 9 shows the spatial distribution of the number of spectra with  $DI > 0.1$  in the 445.3-455.7 nm region compared with ocean reflectance at 443 nm. There is obvious spatial correlation between the spectra the DI identifies and ocean reflectance: larger numbers of such spectra correspond to ocean areas with higher reflectance. This is particularly pronounced in the southern Pacific Gyre whose waters exhibit extremely low bio-productivity and thus are very bright in the blue region (Tedetti et al., 2007). The strong spectral dependence of water-leaving reflectance in the blue region in these extremely clear waters results in lower correlation with the solar spectrum. This may be attributed in part to vibrational Raman scattering that is prevalent in clear ocean waters (Vasilkov et al., 2002; Westberry et al., 2013). Additionally, the Pacific Gyre area is characterized by low cloudiness and low aerosol loadings. Therefore, Rayleigh and Raman scattering in the atmosphere contributes significantly to the top-of-atmosphere radiance. The

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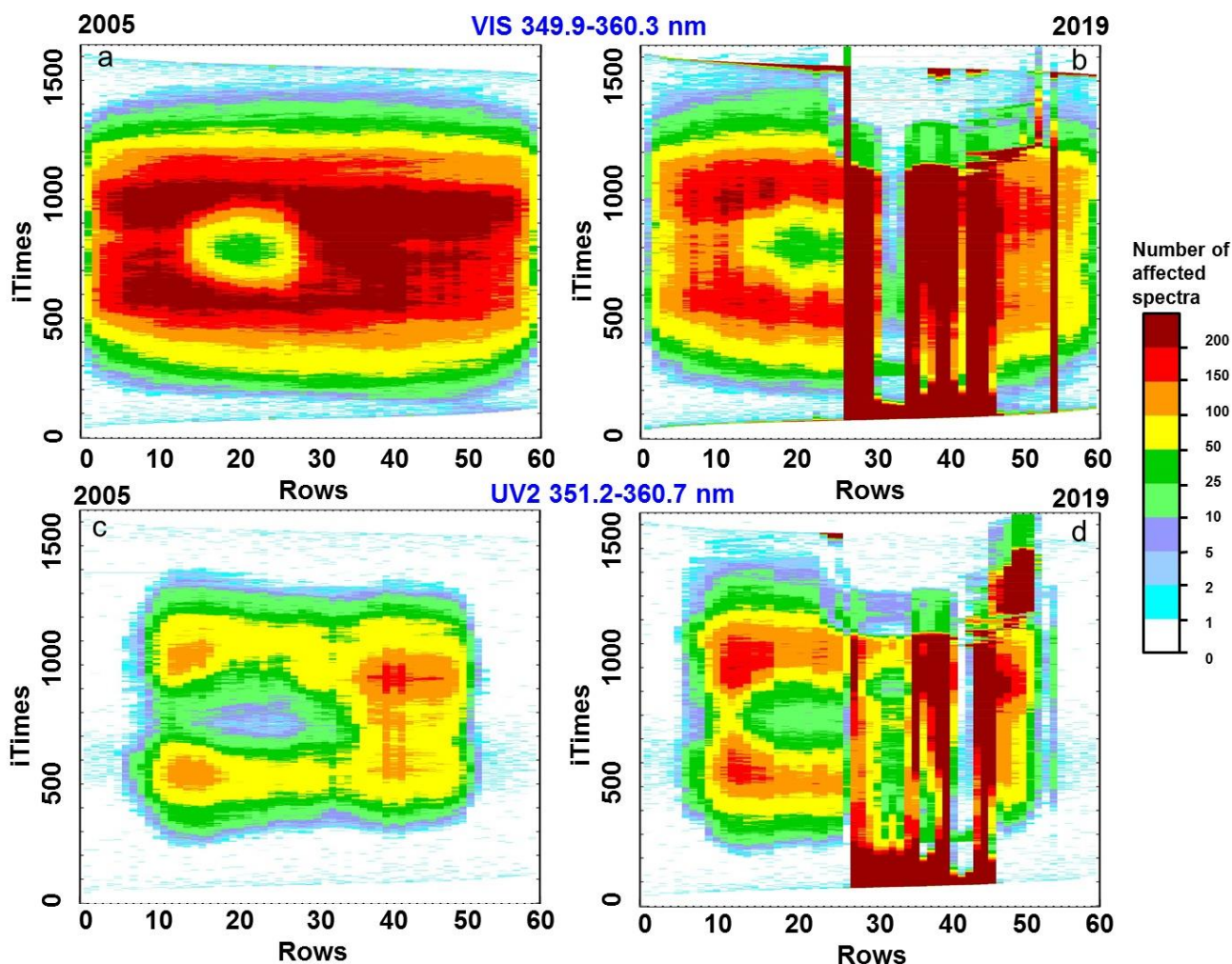


280 atmospheric rotational Raman scattering effect additionally lowers the correlation of the TOA radiance with the solar spectrum.

285 Figure 10 a,b shows the orbital distributions of the 445.3-455.7 nm DI for  $DI > 0.25$  and  $DI > 0.6$ , respectively, plotted for OMI detector rows (generally oriented east-west across the satellite track) versus *i*Times (north-south orbital direction) for 2006. A block of 250 along-orbit exposures (*i*Times) approximately covers 30 degrees in latitude. The middle of this band falls on the equator on March 22. During the year, this band shifts by  $22.4^\circ$  to both the north and south. The zone around row 21 and *i*Times 820 is an area of solar glint from the ocean surface (case  $DI > 0.6$ , Fig.10b) that does not change with season. The distribution of bright clouds with  $DI > 0.25$  also shows a strong propensity for the geometrical conditions of solar glint (Fig.10a). This is consistent with EPIC/DSCOVR' data showing solar glint from clouds that contain oriented ice plates (Varnai et al., 2019). These strongly saturated (or damaged) spectra with  $DI > 0.60$  number about 2500 ( $\sim 0.0005\%$ ) or  $\sim 7$  spectra/day. Slightly affected spectra ( $0.25 < DI < 0.6$ ) occur at a rate of  $\sim 0.002\%$  or  $\sim 33$  spectra/day.

### 3.4 Row Anomaly

The row anomaly (RA) renders a significant portion of the OMI rows as unusable. The anomaly was clearly detected in two rows in June 2007. In May 2008 the row anomaly spread to several other rows on the sensor. The row anomaly has continued to develop since then, with particularly swift changes around January 2009 and early fall of 2011. Currently about 295 33% of the UV2 rows are affected in the southern hemisphere parts of the OMI orbit. This increases to  $\sim 57\%$  in the northern hemisphere. These estimates are comparable in the Vis channels (Schenkeveld et al., 2017). Figure 11 similarly shows DI distributions in the space row by *i*Times, but for the overlapping region of the UV-2 and Vis detectors. This shows that the row anomaly is well detected by the DI in both detectors though with more impact on the Vis detector. The row anomaly is a complex phenomenon that may result in artificially low or high values of radiances, depending on the interplay of two major factors: the blocking of the incoming Earth shine and the solar light scattering. The former generally increases 300 Dis. At the same time, the additive component related to the scattered (most likely, by the instrument's thermal blanket) solar light is known to predominantly affect the radiances in the northern part of OMI orbit. This leads to the significant decrease of Dis in the RA-affected areas seen in Fig. 11. Figure 11 shows that the number of spectra with  $DI > 0.01$  generally increases from polar to equatorial regions. This may be explained by an increase in the contribution from the oceans that undergoes vibrational Raman scattering (see Fig. 9). But in the area of rows 10-30 and *i*Times 650-1000, there is a region with lower DI values. This spot is clearly associated with the growth of bright sun glare from the sea and cloud that reduces the amount of Raman scattered light from the atmosphere and ocean and thus reduces the DI. We expect that the value of the DI in these regions depends on the average slopes and wave heights in a given month as well as on wind speed. This behavior contrasts with the increased DI values at the center of these regions in the Vis (Fig. 10). This is because an increase 310 in the fraction of solar radiation decreases the DI, but when the solar radiation exceeds the limits of saturation, the spectra will begin to be damaged and a local zone with a high DI (see Fig. 10) will appear in the center of the zone with reduced DI.



315 Figure 11: Distribution of the number of affected spectra ( $DI > 0.01$ ) for March 2005 (left) and 2019 (right) for the Vis 349.9-360.3 nm (top) and UV2 351.2-360.7 nm (bottom). The row anomaly is responsible for the stripes shown in 2019.

#### 4 Discussion and Conclusions

We are convinced of the completeness of the PixelQualityFlags (PQF) designed to characterize each wavelength of the OMI spectrum (SPW flag is just one of the 16 bits in the PQF). The DI, developed on the basis of the correlations between  
320 observed and solar spectra, can serve as a simple but effective and complementary method for detecting and discarding anomalous UV and Vis satellite spectra, for example associated with saturation, blooming, excessive noise, low reflectivity (as in solar eclipse), or the OMI row anomaly. The DI summarizes all changes in the spectrum in one parameter and



eliminates the need to examine all the available flags for a given pixel. An important motive for introducing such an index is the convenience of handling it. For example, to infer enhanced information of the quality of spectra in the Vis region, we  
325 introduce 14 scalar-valued DIs for regions of the spectrum. For comparison, there are 751 binary saturation flags per spectrum in the level 1b. Similarly, we use 6 DIs for the UV2 spectrum; much less than the 577 flags assigned in the level 1b. Interpreting a large number of flags can be difficult. The DI product gives an indication of spectral quality based on overall correlation that is easier to interpret. The continuous nature of the DI allows data users to assign lower confidence to regions of the spectra that may not be completely saturated as detected by an electronic saturation algorithm. DI values vary  
330 for spectra that do not experience any anomalies. These variations of the DI may carry information that can be used for other purposes. For instance, the DI can be used to search for areas of clear ocean water, in which the spectra are not abnormal, but experience significant deviations from the solar spectrum due to geophysical reasons.

The DI can be a useful tool for analyzing spectra obtained from other current and future space-borne sensors that may suffer  
335 from saturation and blooming such as TROPOMI (launched in 2017) or the similar Environmental trace gases Monitoring Instrument (EMI) on the GaoFen-5 satellite (Chen, 2016) (launched in 2018). Similar sensors operate on OCO-2 (launched in 2014) and OCO-3 (launched in 2019) (Eldering et al., 2019), and South Korea's Geostationary Environment Monitoring Spectrometer (GEMS) (launched Feb. 18, 2020) and will fly in the future on NASA's geostationary Tropospheric Emissions: Monitoring of Pollution (TEMPO) (Zoogman et al., 2017) (planned for launch in 2022), the geostationary Sentinel-4  
340 (planned for launch in 2023), and Sentinel-5 (planned for launch in 2023). Many of these sensors have a smaller pixel size and/or smaller field of view (FOV) than OMI. For such instruments, this may lead to an increase in the effects of sun glint. Studies utilizing the DI with current instruments may benefit the design of future instruments by identifying how often and under what conditions spectra are impacted by non-linear effects.

### **Data availability**

345 The Decorrelation Index data for OMI Collection 3 data will be available at GES DISC. The OMI Level 1b data used for calculations of the DI are available at [https://aura.gesdisc.eosdis.nasa.gov/data/Aura\\_OMI\\_Level1/](https://aura.gesdisc.eosdis.nasa.gov/data/Aura_OMI_Level1/). MODIS data are available at <https://worldview.earthdata.nasa.gov/>.

### **Competing interests**

The authors declare that they have no conflicts of interest.



### 350 **Authors contributions**

NG developed a computer code, analyzed the DI results and wrote the manuscript. ZF supported the development and implementation of the algorithms and comparison DI results with the ocean reflectance (Fig.9). DH proposed a concept of DI and wrote the manuscript. SM proposed a concept of DI and supported the development and implementation of the algorithms. JJ set the task of developing an effective method for determining solar glints, supported the development of the algorithm, and wrote the manuscript. AV supported the development of the algorithm, and wrote the manuscript (chapters 3.1-3.4).

### **Financial support**

This work was supported by the NASA Aura project (OMI core team) managed by Ken Jucks.

### 360 **Acknowledgements**

The authors thank the OMI and MODIS teams for providing the OMI and MODIS data presented, respectively. We dedicate this work to Remco Braak.

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