



1 **Highly time-resolved characterization of carbonaceous aerosols using a two-wavelength**  
2 **Sunset thermo/optical carbon analyzer**

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4 Mengying Bao<sup>1,2,3</sup>, Yan-Lin Zhang<sup>1,2,3\*</sup>, Fang Cao<sup>1,2,3</sup>, Yu-Chi Lin<sup>1,2,3</sup>, Yuhang Wang<sup>4</sup>, Xiaoyan  
5 Liu<sup>1,2,3</sup>, Wenqi Zhang<sup>1,2,3</sup>, Meiyi Fan<sup>1,2,3</sup>, Feng Xie<sup>1,2,3</sup>, Robert Cary<sup>5</sup>, Joshua Dixon<sup>5</sup> and Lihua  
6 Zhou<sup>6</sup>

7 *1 Yale-NUIST Center on Atmospheric Environment, Joint International Research Laboratory*  
8 *of Climate and Environment Change (ILCEC), Nanjing University of Information Science and*  
9 *Technology, Nanjing 210044, China*

10 *2 Key Laboratory of Meteorological Disaster Ministry of Education (KLME), Collaborative*  
11 *Innovation Center on Forecast and Evaluation of Meteorological Disasters (CIC-FEMD), Nanjing*  
12 *University of Information Science and Technology, Nanjing 210044, China*

13 *3 School of Applied Meteorology, Nanjing University of Information Science and Technology,*  
14 *Nanjing 210044, China*

15 *4 School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta 30332,*  
16 *USA*

17 *5 Sunset Laboratory, 1080 SW Nimbus Avenue, Suite J/5 Tigard, OR 97223, USA*

18 *6 College of Global Change and Earth System Science, Beijing Normal University, Beijing*  
19 *100875, China*

20 *Correspondence: Yan-Lin Zhang (dryanlinzhang@outlook.com)*

21

22 **Abstract**

23 Carbonaceous aerosols have great influence on the air quality, human health and climate  
24 change. Except for organic carbon (OC) and elemental carbon (EC), brown carbon (BrC), mainly  
25 originates from biomass burning, as a group of OC with strong absorption from the visible to near-  
26 ultraviolet wavelengths, makes a considerable contribution to global warming. Large amounts of  
27 studies have reported long-term observation of OC and EC concentrations throughout the world,  
28 but studies of BrC based on long-term observations are rather limited. In this study, we established  
29 a two-wavelength method (658 nm and 405 nm) applied in the Sunset thermo/optical carbon  
30 analyzer. Based on one-year observation, we firstly investigated the characteristics, meteorological  
31 impact and transport process of OC and EC. Due to BrC absorbs light at 405 nm more effectively



32 than 658 nm, we defined the enhanced concentrations ( $dEC = EC_{405\text{ nm}} - EC_{658\text{ nm}}$ ) and gave the  
33 possibility to provide an indicator of BrC. The receptor model and MODIS fire information were  
34 used to identify the presence of BrC aerosols. Our results showed that the carbonaceous aerosols  
35 concentrations were highest in winter and lowest in summer. Traffic emission was an important  
36 source of carbonaceous aerosols in Nanjing. Receptor model results showed that strong local  
37 emissions were found in OC and EC aerosols, however dEC aerosols were significantly affected  
38 by regional or long-range transport. The dEC/OC and OC/EC ratios showed similar diurnal  
39 patterns and the dEC/OC increased when the OC/EC ratios increased, indicating strong secondary  
40 sources or biomass burning contributions to dEC. Two biomass burning events both in summer  
41 and winter were analyzed and the results showed that the dEC concentrations were obvious higher  
42 in biomass burning days, however, no similar levels of the OC and EC concentrations were found  
43 both in biomass burning days and normal days in summer, suggesting that biomass burning  
44 emission made a great contribution to dEC and the sources of OC and EC were more complicated.  
45 Large number of open fire counts from the northwest and southwest areas of the study site were  
46 monitored in winter, significantly contributed to OC, EC and dEC. In addition, the near-by YRD  
47 area was one of the main potential source areas of dEC, suggesting that anthropogenic emissions  
48 could also be important sources of dEC. The results proved that dEC can be an indicator of BrC in  
49 biomass burning days. Our modified two-wavelength instrument provided more information than  
50 traditional single-wavelength thermo/optical carbon analyzer and gave a new idea about the  
51 measurement of BrC, the application of dEC data need to be further investigated.

## 521. Introduction

53 Carbonaceous aerosols including organic carbon (OC) and elemental carbon (EC), which  
54 have significant influence on the global radiative transfer, human health and atmospheric visibility,  
55 have been the focus of research in the atmospheric environment field for many years (Lelieveld et  
56 al., 2015; Wu and Yu, 2016; Wang et al., 2018; Zhang et al., 2017; Liu et al., 2019; Zhang et al.,  
57 2019). EC mainly originates from fossil fuel and biomass combustion, and is estimated to be the  
58 second largest warming factor behind  $CO_2$  contributing to climate change (Liu et al., 2015; Zhang  
59 and Kang, 2019; Cao and Zhang, 2015). OC originates both from primary emissions and gas-to-  
60 particle conversion as secondary organic carbon (SOC) and can scatter the solar radiation which  
61 causes negative forcing globally (Zhou et al., 2014; Huang et al., 2014).



62 In the recent decades, brown carbon (BrC), as a kind of light-absorbing organic carbon which  
63 can absorb light especially from near-UV to visible wavelength, has caused global concern due to  
64 its positive climate effect (Andreae and Gelencsér, 2006; Zhang et al., 2020). BrC is mainly  
65 emitted from anthropogenic and biogenic emissions (Zhang et al., 2011). Previous studies have  
66 proved that biomass burning and biofuel combustion are the most important sources of primary  
67 BrC (Saleh et al., 2014; Wu et al., 2020; Lei et al., 2018). Recent researches reported that in  
68 developing countries such as China and India, the contribution of fossil fuel combustion to BrC  
69 can't be ignored (Satish et al., 2017; Yan et al., 2017; Kirillova et al., 2014). Secondary BrC is  
70 mainly emitted from heterogeneous photo-oxidation reactions or aqueous reactions of  
71 anthropogenic and biogenic precursors (Zhang et al., 2020; Li et al., 2020; Zhang et al., 2011).  
72 However, due to the lack of understanding of BrC at the molecular level and in situ BrC data, there  
73 are still large uncertainties in the estimates of the distribution and the magnitude of BrC climate  
74 effect in both remote sensing and modeling method (Arola et al., 2011; Feng et al., 2013).

75 The thermo-optical analysis (TOA) method is one of the most widely used quantitative  
76 method of OC and EC taking use of the difference between the thermo-optical properties of OC  
77 and EC (Birch and Cary, 1996; Chow et al., 2004). OC and EC will be volatilized at different  
78 heating protocol. The reflectance/transmittance of one laser source (near-infrared wavelength)  
79 through the sample filter are continuously monitored and return of the reflectance/transmittance to  
80 its initial value on the thermograph was taken as a split point between OC and EC. This way, the  
81 formation of pyrolyzed carbon which can also absorb the light and make the sample darker, is  
82 corrected. This method has been widely used in present studies applied in the thermal-optical  
83 transmittance (TOT) Sunset carbon analyzer based on NIOSH protocol or thermal-optical  
84 reflectance (TOR) Desert Research Institute (DRI) carbon analyzer based on IMPROVE\_A  
85 protocol (Ji et al., 2016). However, the thermo-optical approach assumed that EC is the only light-  
86 absorbing species, the presence of BrC, which is part of OC but also a light-absorbing component,  
87 shifts this separation towards EC, resulting in overestimated EC values and underestimated OC  
88 values (Chen et al., 2015; Birch and Cary, 1996).

89 Wang et al. (2011) used a two-wavelength Aethalometer (370 and 880 nm) to identify the  
90 presence of residential wood combustion (RWC) particles which was closely associated with BrC.  
91 Organic components of wood smoke particles absorb light at 370 nm more effectively than 880  
92 nm in two-wavelength aethalometer measurements. They believed that the enhanced absorption



93  $(\Delta C = BC_{370\text{nm}} - BC_{880\text{nm}})$  can serve as an indicator of RWC particles. This method was further  
94 used by Wang et al. (Wang et al., 2012a; Wang et al., 2012b). Chen et al. (2015) used a modified  
95 seven-wavelength TOT/TOR instrument (Thermal Spectral Analysis – TSA) allowing the  
96 determination of the OC-EC split at different wavelengths and light absorption measurements to  
97 be made with wavelength-specific loading corrections, providing additional information including  
98 the optical properties of black carbon (BC) and BrC from the IR to UV parts of the solar spectrum  
99 and their contributions. Massabò et al. (2016) further corrected the OC/EC split point using the  
100 Multi-Wavelength Absorbance Analyzer (MWAA) which provides the aerosol absorbance values  
101 at five wavelengths from IR to UV together with a Sunset OC/EC analyzer to achieve the BrC  
102 concentration. With a set of samples collected wintertime in the Italian Apennines, clear  
103 correlations were found between the BrC and levoglucosan mass concentration. A further step of  
104 BrC quantification taking use of TSA was reported by Chow et al. (2018), further proving that the  
105 use of seven wavelengths in thermal–optical carbon analysis allows contributions from biomass  
106 burning and secondary organic aerosols to be estimated. Their results clearly demonstrated the role  
107 of BrC in the thermo-optical analysis. However, these techniques focus on the light absorption  
108 measurement of BrC and are still limited reported in previous researches, though they provide  
109 quartz-fiber filter samples that are currently being characterized for organic carbon (OC) and EC  
110 by thermal/optical analysis. These methods mentioned above still can't achieve the observation of  
111 long-term real-time BrC mass concentrations.

112 Since the establishment of the thermal–optical transmittance (TOT) method by the Sunset  
113 Laboratory, the Sunset OC/EC instrument, as part of the Chemical Speciation Network (CSN),  
114 where cover over 100 monitors across the United States over 15 years, offering long-term  
115 measurement of OC and EC concentrations, has been widely used in the United States and  
116 throughout the world providing important situ data of OC and EC aerosols (U.S.EPA, 2019; Birch  
117 and Cary, 1996). This instrument had been designed with a tuned diode laser (red 660 nm) to  
118 correct the formation of pyrolyzed carbon. In this study, we modified our instrument to a two-  
119 wavelength (658 nm and 405 nm) Sunset carbon analyzer by adding one more violet diode laser  
120 at  $\lambda=405$  nm. The violet diode laser together with the red diode laser, focus through the sample  
121 chamber then the laser beam passes through the filter to correct for the pyrolysis-induced error.  
122 BrC particles absorb light at 405 nm more effectively than 658 nm in two-wavelength Sunset  
123 carbon measurements. We define  $dEC = EC_{405\text{ nm}} - EC_{658\text{ nm}}$  and hope it can be an indicator of BrC



124 aerosols so that we can divide real-time BrC mass concentration measurement from the two-  
125 wavelength measurement.

126 Nanjing, as one of the largest cities in the Yangzi River Delta region, represents a heavy industry  
127 area with a dense population. In addition, due to its topography, Nanjing is very sensitive to  
128 regional transport of air masses from its surrounding areas. OC, EC and dEC aerosols were  
129 observed from June 2015 to July 2016 at Nanjing University of Information Science and  
130 Technology (NUIST). Based on the abundant data, together with MODIS fire information, we can  
131 analyze the temporal variation, transport processes and sources of carbonaceous aerosols in North  
132 Nanjing and evaluate the biomass burning impact on dEC aerosols, which can be the scientific  
133 basis of pollution control policy.

## 1342. **Methods**

### 135 **2.1 Sampling**

#### 136 2.1.1 Study site

137 In this study, the sampling site is located at Nanjing University of Information Science and  
138 Technology (NUIST) in the North Suburb of Nanjing (32°207'N, 118°717'E). The study site  
139 is surrounded by housing and industrial areas. Many chemical enterprises, for example, Yangzi  
140 Petrochemical, Nanjing Chemical Industry and Nanjing Iron and Steel Group are located at the  
141 northeast of the study region, which produces exhaust with large amounts of aerosol particles. The  
142 study site is adjacent to a heavily trafficked road (Ningliu Road) located near the site,  
143 approximately 600 m to the east. Therefore, this region has intense human activities, industrial  
144 emissions and heavy traffic flow.

#### 145 2.1.2 Real-time PM<sub>2.5</sub> observation

146 The real-time PM<sub>2.5</sub> concentrations were measured through the Tapered Element Oscillating  
147 Microbalance (TEOM) method (TEOM1405-DF, Thermo Scientific, America) during October-  
148 November in 2015, March-May, July in 2016 and January-April in 2017. The resolution of the  
149 measured data was 6 min. The instrumental operation maintenance, data assurance and quality  
150 control were performed according to the Chinese Ministry of Environmental Protection Standards  
151 for PM<sub>10</sub> and PM<sub>2.5</sub> which was named “HJ 653-2013” (Zhang and Cao, 2015b).

#### 152 2.1.3 Sample collections

153 PM<sub>2.5</sub> in the atmosphere were collected on prebaked quartz fiber filters (QFF, PALL, America)  
154 with 8\*10 inch by a high volume air sampler (KC-1000, Qingdao, China) at a flow rate of 999 L



155  $\text{min}^{-1}$  in four months: 4 June to 18 June, 6 October to 2 November and 10 December to 31  
156 December in 2015, 10 May to 31 May in 2016. Sampling started and ended at around 8:00 and  
157 20:00 every day; each sample was collected for 12 hours. A total of 148 samples were collected  
158 including four field bank filters in four seasons collected following 10 mins exposures to ambient  
159 air without active sampling.

160 All QFFs were pre-baked at 450 °C for 6 h before sampling to remove residual carbon. Before  
161 and after sampling, all QFFs were weighed by electronic balance (Sartorius, 0.1 mg, Germany).  
162 After weighting, the filters were wrapped in aluminum foils, packed in air-tight polyethylene bags  
163 and stored at -20°C for further analysis. All procedures during handling of filters were strictly  
164 quality controlled to avoid any possible contamination.

## 165 **2.2 Two-wavelength TOT measurement**

166 Hourly concentrations of OC and EC in  $\text{PM}_{2.5}$  were sampled and measured by a semi-  
167 continuous carbon analyzer (Model-4, Sunset Lab, USA). Air samples were collected continuously  
168 with a sample flow of ~8 L/min through a  $\text{PM}_{2.5}$  cyclone. The collection time was set at 45 min  
169 for each cycle. The airstream passed through a parallel plate organic denuder to reduce the effect  
170 of volatile organic compounds and finally deposited on a quartz filter with a diameter of ~17mm.

171 After a sample was collected, OC and EC were analyzed using the thermal-optical  
172 transmittance (TOT) method and applied a modified NIOSH 5040 protocol. Figure 1 shows the  
173 structure and operational principle of the instrument. Briefly, it consists of two-stages. The oven  
174 was first purged with helium and the oven temperature increased in a stepped ramp to 840°C, OC  
175 was volatilized in this stage. Then the oven temperature kept at 840°C for a while and went down  
176 to 550°C. In the second stage, EC was volatilized in a second temperature ramp to 850°C while  
177 purging the oven with a mixture containing 2% oxygen and 98% helium. The pyrolysis products  
178 were converted to carbon dioxide ( $\text{CO}_2$ ) which was quantified using a self-contained nondispersive  
179 infrared (NDIR) system.

180 Also, in this study, we used two-diode lasers (658nm and 405nm) equipped Sunset analyzer,  
181 thus mass concentrations of OC and EC at different wavelengths can be measured with the 2-lasers  
182 system. BrC aerosols absorb light at 405nm more significantly than 658nm in the 2-lasers system.  
183 Due to the strong absorption of BrC in near-ultraviolet wavelength, thus this enhanced absorption  
184 at 405nm can serve as an indicator of BrC aerosols (Liu et al., 2015). We define dEC data as the  
185 difference of EC concentrations at two wavelengths ( $\text{dEC} = \text{EC}_{405\text{nm}} - \text{EC}_{658\text{nm}}$ ) to identify the



186 presence of BrC aerosols. Our study provided a one-year measurement of dEC mass concentrations.  
187 Besides, OC and EC represent the OC and EC concentrations at 658nm in this paper without a  
188 special explanation.

189 At the end of each analysis, a fixed volume of an internal standard containing 5% methane  
190 and 95% Helium was injected and thus a known carbon mass could be derived. The external sucrose  
191 standard ( $4.207 \mu\text{g } \mu\text{L}^{-1}$ ) calibration was conducted every week to insure repeatable quantification.  
192 Calibration with an instrument blank was conducted every day. Both detection limit for OC and  
193 EC of the instrument was  $0.5 \mu\text{g m}^{-3}$ . We also did the measurements of OC and EC in PM<sub>2.5</sub> filter  
194 samples using the same method followed by the NIOSH protocol. Figure S1 shows the correlations  
195 between the real-time OC, EC concentrations and sampling OC, EC concentrations at the same  
196 time. The results showed that the online and offline data during the corresponding periods had  
197 good correlations with  $R^2$  of 0.81 for OC,  $R^2$  of 0.41 for EC and  $R^2$  of 0.82 for TC.

### 198 **2.3 Identification of potential regional sources**

199 The Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSLPIT4.8) model, provided  
200 by the National Oceanic and Atmospheric Administration (NOAA) were used to investigate the  
201 air mass origins of carbonaceous aerosols. The 48-hour back trajectories at Nanjing ( $32.2^\circ\text{N}$ ,  
202  $118.7^\circ\text{E}$ ) were calculated every hour (Draxler and Hess, 1998). In order to evaluate the behavior  
203 of the air masses circulation in the planetary boundary layer (PBL), the trajectories at 500m  
204 corresponding to the upper-middle height of the PBL were calculated, representing well-mixed  
205 convective boundary layer for regional transport investigation (Xu and Akhtar, 2010). The  
206 National Center for Environmental Prediction Global Data Assimilation System (NCEP GDAS)  
207 data obtained from NOAA with a spatial resolution of  $1^\circ \times 1^\circ$  and 24 levels of the vertical resolution  
208 were used as meteorological data input to the model. The Potential Source Contribution Function  
209 (PSCF) model was usually applied to localize the potential sources of pollutants. The details about  
210 the setup of the model can be seen in the research reported by Bao et al. (2017).

## 211 **3. Results and discussion**

### 212 **3.1 Characteristics of carbonaceous aerosols**

#### 213 3.1.1 Concentrations of carbonaceous aerosols

214 The statistics for the PM<sub>2.5</sub>, OC, EC and dEC mass concentrations at the NUIST site are  
215 summarized in Table 1. The hourly OC concentrations ranged from 0.5 to  $45.8 \mu\text{g m}^{-3}$  (average of  
216  $8.9 \pm 5.5 \mu\text{g m}^{-3}$ ), and the EC concentrations ranged from 0.0 to  $17.6 \mu\text{g m}^{-3}$  (average of  $3.1 \pm 2.0$





217  $\mu\text{g m}^{-3}$ ). The results were comparable to those reported by Chen et al. (2017) in the Xianlin Campus  
218 of Nanjing University ( $5.7 \mu\text{g m}^{-3}$  for OC and  $3.2 \mu\text{g m}^{-3}$  for EC), which site was located in the  
219 southeast suburb of Nanjing and close to the G25 highway and were also affected by traffic sources.  
220 The higher OC concentrations in this study were probably due to the around chemical enterprise  
221 emissions. The average contributions of OC and EC to the total measured  $\text{PM}_{2.5}$  mass was 12.8%  
222 and 4.3%, respectively, suggesting that carbonaceous fraction made an important contribution to  
223 fine particulate matter. The average dEC mass concentration was  $0.8 \mu\text{g m}^{-3}$  contributing 10.0% to  
224 OC and 1.3% to  $\text{PM}_{2.5}$  concentrations with max concentration of  $8.1 \mu\text{g m}^{-3}$  contributing 48.2% to  
225 OC and 17.6% to total  $\text{PM}_{2.5}$  concentrations.

226 Compared with carbonaceous aerosols levels in other cities (Table S1), the OC and EC  
227 concentrations in Nanjing were generally lower than those observed in megacities such as Beijing  
228 and Shanghai and inland cities like Chengdu and Chongqing which was affected by the basin  
229 terrain characteristics with static wind and unfavorable diffusion conditions, but higher than those  
230 observed in the southern coastal cities such as Guangzhou and Hongkong. In general, the level of  
231 carbonaceous aerosols concentrations in China was higher than that in developed countries in the  
232 United States and Europe and lower than that in developing countries like India. The average  
233 OC/EC ratios in this study was 3.6, which was lower than most of those reported in other studies,  
234 indicating the important impact of vehicle emissions in our study site.

235 Figure 2 shows the mass fractions of hourly carbonaceous aerosols and OC/EC ratios at  
236 different  $\text{PM}_{2.5}$  concentration intervals during the study periods. During the study period, 84.2%  
237 of the  $\text{PM}_{2.5}$  samples exceeded the daily averaged Chinese national ambient air quality standard  
238 (NAAQS) of  $35.0 \mu\text{g m}^{-3}$  for the first grade and 40.1% of the total samples exceeded the NAAQS  
239 of  $75.0 \mu\text{g m}^{-3}$  for the second grade, reflecting heavy aerosol pollution in the study area. Generally,  
240 the fractions of carbonaceous components decreased with increasing  $\text{PM}_{2.5}$  pollution level. Larger  
241 mass fraction (about 32.3%) of carbonaceous aerosols in  $\text{PM}_{2.5}$  was found for period relatively  
242 lower  $\text{PM}_{2.5}$  levels ( $0\text{--}20 \mu\text{g m}^{-3}$ ) compared to high  $\text{PM}_{2.5}$  levels ( $300\text{--}500 \mu\text{g m}^{-3}$ ) with  
243 carbonaceous aerosols mass fraction of 5.2%. The result indicated other components like  
244 secondary inorganic aerosol (SIA) contributes more significantly to heavy haze events in Nanjing,  
245 which was also found in other cities in the Yangtze River Delta area (Yang et al., 2011; Zhang and  
246 Zhang, 2019). The contribution of dEC to OC decreased with the increase of  $\text{PM}_{2.5}$  concentrations  
247 between  $0\text{--}200 \mu\text{g m}^{-3}$ , and then increased with the increase of  $\text{PM}_{2.5}$  concentrations between  $200\text{--}$





248  $500 \mu\text{g m}^{-3}$ . The dEC contributed most significantly to OC of 14.3% when  $\text{PM}_{2.5}$  concentrations  
249 below  $20 \mu\text{g m}^{-3}$ . Similar trend was found in OC/EC ratios which showed a sharp increase along  
250 with enhanced  $\text{PM}_{2.5}$  level above  $150 \mu\text{g m}^{-3}$ . Previous studies had reported that high OC/EC ratios  
251 were related to SOC formation or biomass burning emissions whereas low OC/EC ratios were  
252 related to vehicle exhaust (Wang et al., 2015). We divided the dEC/OC at different intervals of  
253 OC/EC ratios and found that the dEC/OC increased when the OC/EC ratios increased in four  
254 seasons, indicating strong secondary sources or biomass burning contributions to dEC during  
255 heavy pollution periods (Fig. S2).

### 256 3.1.2 Seasonal variations of carbonaceous aerosols

257 As shown in Fig. 3, the OC, EC concentrations and dEC/OC ratios showed similar variations  
258 with highest in winter and lowest in summer. The average OC concentration in winter was  $\sim 1.4$   
259 times higher than that in summer and the average EC concentrations and dEC/OC in winter were  
260 approximately 1.5 and 1.6 times higher than those in summer. The seasonality of carbonaceous  
261 species in  $\text{PM}_{2.5}$  was strongly influenced by seasonal variations in emissions intensities and  
262 meteorological parameters. Table S2 summarizes the meteorological parameters in four seasons  
263 during the study period. The high carbonaceous aerosols concentrations in winter were mainly a  
264 result of relatively stable atmospheric conditions with low temperature, relative humidity and  
265 boundary layer on one hand, and on the other hand, increasing emissions from fossil-fuel  
266 combustion for heating from the chemical enterprises nearby. In summer, higher boundary layer  
267 resulted in the dispersion of aerosols in the atmosphere, and higher temperature promoted the  
268 partitioning of semi-volatile organic compounds (SVOCs) into gaseous phase (Yang et al., 2011).  
269 In addition, large precipitation in summer (586 mm in total) favored the wet scavenging processes  
270 of aerosols.

271 The OC/EC ratios in spring, summer, autumn and winter were 3.9, 4.0, 2.8 and 3.4,  
272 respectively. The OC/EC ratio could give some information about primary and secondary organic  
273 carbon (Turpin and Huntzicker, 1995; Lim and Turpin, 2002). In summer, strong convective  
274 activities in the atmospheric boundary layer and solar radiation, high temperature and plenty of  
275 moisture in the atmosphere were favorable for the formation of SOC. On the other hand, the high  
276 OC/EC ratios in June in this study were also strongly related to biomass burning which will be  
277 discussed in the 3.3 sections. The lower ratios of OC to EC in autumn and winter indicated that  
278 strong primary sources in these two seasons.



### 3.1.3 Diurnal variation of carbonaceous aerosols

The diurnal pattern of carbonaceous aerosols can be affected by both meteorological parameters and sources (Ji et al., 2016). Figure 4 depicts the diurnal variation of OC, EC, dEC/OC and OC/EC ratios during the study period. Clear diurnal variations were observed in OC and EC aerosols. Both the OC and EC concentrations kept high levels at night and low levels in the daytime, indicating the strong influence of the atmospheric boundary layer on air quality in the northern Nanjing. The peak occurred in the morning both in OC and EC indicating the significant impact of traffic source on the OC and EC concentrations. The dEC/OC and OC/EC ratios showed similar trends in the daytime with gradually increase from morning till afternoon, indicating the importance of the contribution of secondary sources to dEC. It should be noted that the vehicle emissions and the boundary layer height had no significant effect on the diurnal variation of dEC/OC, suggesting there was no significant local sources of dEC. There was a small peak in dEC/OC at 3:00 am, which might be related to the aqueous secondary organic aerosols formations during nighttime (Sullivan et al., 2016).

The relative humidity (RH) and Temperature (T) dependent distributions of OC, EC mass concentrations and dEC/OC and OC/EC throughout the study period are shown in Fig. 5. We also found similar distributions in dEC/OC and OC/EC. High dEC/OC (>30 %) could be found in three areas, first showed in the right area with relatively high T at 25-40 °C and RH at 40-60 %, which were usually found in the summer afternoon which was closely related to the strong formation of SOC. The second area was displayed in the upper region with RH over 80 % and T at 10-20 °C and the third area appeared when RH below 30 % and T at about 10 °C, corresponding to nighttime and winter afternoon. The OC and EC showed similar distributions with the highest mass loading (OC: > 20  $\mu\text{g m}^{-3}$ ; EC: > 8  $\mu\text{g m}^{-3}$ ) at relatively high RH at 60-80 % which usually occurred at night with relatively low boundary layer height, leading to the accumulation of aerosols.

## 3.2 Air mass transport

### 3.2.1 Windrose of carbonaceous aerosols

To investigate the influences of air masses transport to the study site, the wind rose of OC, EC and dEC/OC using hourly data in four seasons is illustrated in Fig. 6. Two points should be noted. First, high OC and EC mass concentrations were found near the field site (indicating by WS < 1  $\text{m s}^{-1}$ ), suggesting that local and primary emissions (e.g., industrial and vehicle emissions) were stable and important sources contributing to atmospheric OC and EC mass concentrations in



310 northern Nanjing. The OC mass concentrations from the southwest increased with the increase of  
311 WS in summer, indicating that sources of OC are complicated in summer including secondary  
312 reaction during long-range or regional transport. Second, compared with OC and EC, dEC aerosols  
313 showed no significant local sources. The dEC/OC increased with the increasing of WS and highest  
314 dEC/OC were found when WS over  $3 \text{ m s}^{-1}$ . Long-range or regional transport was highly likely  
315 the main sources contributing to dEC mass concentrations.

### 316 3.2.2 The potential source areas of carbonaceous aerosols

317 The possible source contributions were evaluated using the PSCF model and the PSCF map  
318 are shown in Fig. 7. The areas with high PSCF values were highly likely the potential pollution  
319 source areas. As shown in Fig. 7, PSCF results further proved the strong regional transport  
320 contribution to dEC aerosols and local contributions to OC and EC aerosols. In spring, the potential  
321 source areas of OC and EC were mainly from the southwest of Nanjing, however, the potential  
322 source areas of dEC aerosols were from the east of Nanjing, indicating obvious different sources  
323 between OC, EC and dEC. In summer, Local areas were the main sources areas of EC and the  
324 near-by Yangtze River Delta City Group from southeast of Nanjing including developed cities like  
325 Shanghai were the main sources areas of OC and dEC. The anthropogenic emissions from these  
326 areas might be important sources of OC and dEC. Besides, both the potential sources areas of dEC  
327 and EC were displayed in the northwest of Nanjing in summer, suggesting strong primary sources  
328 of dEC from this area which were very likely associated to biomass burning, more details were in  
329 the section 3.3. In autumn, strongest local sources from the study site of OC and EC were found.  
330 However the dEC mainly originated from regional transport from the northwest and southeast  
331 areas of Nanjing. Biomass burning has been proved to be an important source of air pollutants in  
332 the Yangtze River Delta (YRD) area, especially in the wheat harvest seasons (e.g., June and  
333 October) (Cheng et al., 2014; Zhang and Cao, 2015a). In addition, the YRD area is the most  
334 economically developed region in China and has lots of industrial cities, which means that  
335 industrial emissions and anthropogenic sources contributed to high carbonaceous aerosols  
336 pollution levels. In winter, dEC were mainly from long-range transport from northern cities and  
337 regional transport from the southwest areas of Nanjing while both long-range transport and local  
338 sources were found in OC and EC concentrations.

### 339 3.3 The characteristics of carbonaceous aerosols during biomass burning periods

340 The biomass burning emission has been proved to be an important source of BrC on a globe



341 scale, it is consistently observed in large-scale forest fire events (Laskin et al., 2015). Based on the  
342 Fire Information for Resource Management System (FIRMS) derived from the Moderate  
343 Resolution Imaging Spectroradiometer (MODIS), we found that the fire points reached to 2028,  
344 1773 and 967 on 11 Jun 2015, 7 February 2016 and 2 Mar 2016 in the areas around our study site,  
345 respectively, suggesting there were strong biomass burning events on these days (Fig. S3). To  
346 further investigate the biomass burning impact on dEC aerosols, we analyzed the temporal trends  
347 of carbonaceous aerosols from 4 June 2015 to 19 June 2015 and 7 February 2016 to 3 Mar 2016,  
348 respectively. Combining the observed aerosols concentrations and fire information, we divided the  
349 periods into normal days and biomass burning days. It should be noted that the biomass burning  
350 days are not determined based only on fire points. We also considered the 48-h backward  
351 trajectories and open biomass burning areas. For example, we did find lots of fire points from 11  
352 June 2015 to 12 June 2015 and from 7 February 2016 to 10 February 2016, respectively, and the  
353 48-h back trajectories went through these biomass burning areas (Fig. S4b, c). However, although  
354 there were large amounts of fire points in northwest of Nanjing from 8 June 2015 to 9 June 2015,  
355 the backward trajectory showed air mass during the periods came from the southeast areas where  
356 no open fire points were found (Fig. S4a). In contrast, there were only a few fire points found near  
357 the study site from 26 February 2016 to 27 February 2016, the 48-h backward trajectory showed  
358 the air mass was exactly from the area (Fig. S4d).

359 As shown in Fig. 8 and Fig. 9, we found that dEC concentrations, dEC/OC and OC/EC ratios  
360 showed peaks during each biomass burning periods which was not that obvious in OC and EC  
361 concentrations, suggesting the unique biomass burning impact on dEC and the sources of OC and  
362 EC were more complicated. It should be noted that there were peaks of dEC appeared on 9 June  
363 2015 and 13 February 2016, which were not biomass burning days, suggesting that biomass  
364 burning was not the only sources of dEC. As mentioned in the 3.1 and 3.2 section, anthropogenic  
365 emissions could be the sources of dEC and the secondary sources couldn't be ignored, too.  
366 Summarized in Table 2 are the average and standard deviation values of OC, EC, OC/EC, dEC  
367 and dEC/OC during biomass burning and normal days. The OC/EC, dEC concentrations and  
368 dEC/OC were obvious higher in biomass burning days than those in normal days, but similar levels  
369 of the OC and EC concentrations were found both in biomass burning days and normal days in  
370 summer, suggesting the great contribution of biomass burning emissions to dEC aerosols and there  
371 were other sources of OC and EC in summer. All the carbonaceous aerosols were higher in biomass



372 burning days in winter, in addition, the location of open fire counts were mainly in the northwest  
373 and southwest area of the study site (Fig. S4c, d), which were the potential source areas of OC, EC  
374 and dEC in winter as discussed in the section 3.2.2, indicating strong contributions of biomass  
375 burning emissions to all the carbonaceous aerosols in winter.

#### 376 **4. Conclusion**

377 In this study, the characteristics and sources of carbonaceous aerosols in North Nanjing were  
378 investigated and we introduced a two-wavelength method by modifying the Sunset carbon analyzer.  
379 We incorporated a new diode laser at  $\lambda=405$  nm in the instrument, making it possible to detect the  
380 laser beam passing through the filter at both wavelength at  $\lambda=658$  nm and  $\lambda=405$  nm, so we can  
381 obtain the dEC concentrations. Our study illustrated the feasibility of using dEC to characterize  
382 the BrC aerosols, providing a new idea about the measurement of BrC. The results showed that  
383 high (low) OC, EC and dEC concentrations were found in Winter (summer), indicating the  
384 significant impact of the increase of various emission sources in winter and wet scavenging of rain  
385 in summer. Similar diurnal cycles for OC and EC concentrations were found with high at night  
386 and low in daytime, strongly affected by the boundary layers. Traffic emissions were found to have  
387 significant influence on the concentrations of OC and EC. Similar trends were found in the diurnal  
388 cycle of dEC/OC and OC/EC and the dEC/OC increased when the OC/EC ratios increased,  
389 indicating strong secondary sources or biomass burning impact on dEC. The wind rose and  
390 receptor model results showed that strong local emissions were found in OC and EC aerosols,  
391 however dEC aerosols were significantly affected by regional or long-range transport. The near-  
392 by YRD area was one of the main potential source areas of dEC, suggesting that anthropogenic  
393 emissions could be the sources of dEC. Together with the back trajectories analysis and MODIS  
394 fire informations, we analyzed two biomass burning events both in summer and winter. The results  
395 showed that the sources of OC and EC were more complicated than those of dEC aerosols in  
396 summer. Biomass burning emission made a great contribution to dEC concentrations in summer.  
397 Large number of open fire counts from the northwest and southwest areas of the study site were  
398 monitored, significantly contributed to all the carbonaceous aerosols pollutions in winter.

399 Our modified two-wavelength instrument provided more information than traditional single-  
400 wavelength thermo/optical carbon analyzer. The results proved that dEC can be an indicator of  
401 BrC in biomass burning days. It should be noted that the sources of dEC were complicated and the  
402 anthropogenic emissions and secondary formations of dEC aerosols couldn't be ignored, further



403 chemical analysis need to be conducted in the future. We also hope that the dEC data can be further  
404 applied in more researches.

405

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416

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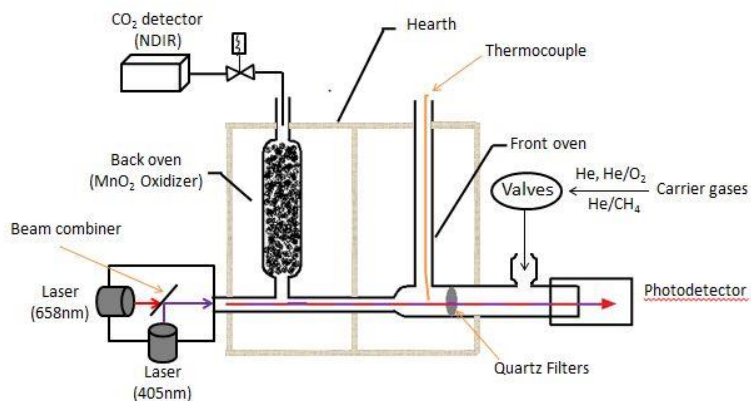
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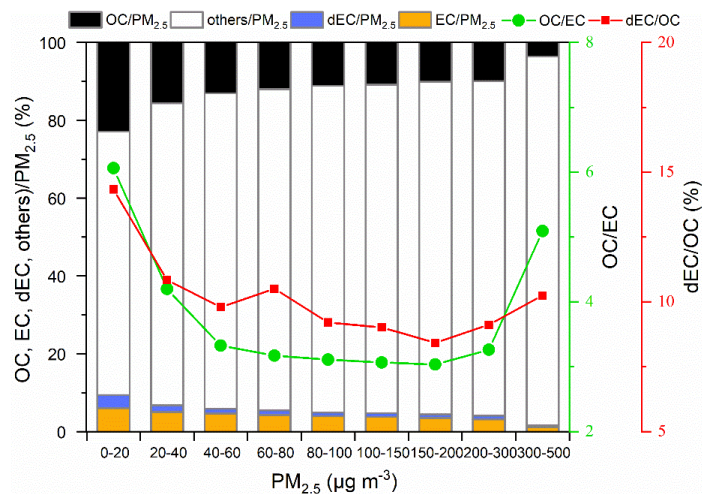


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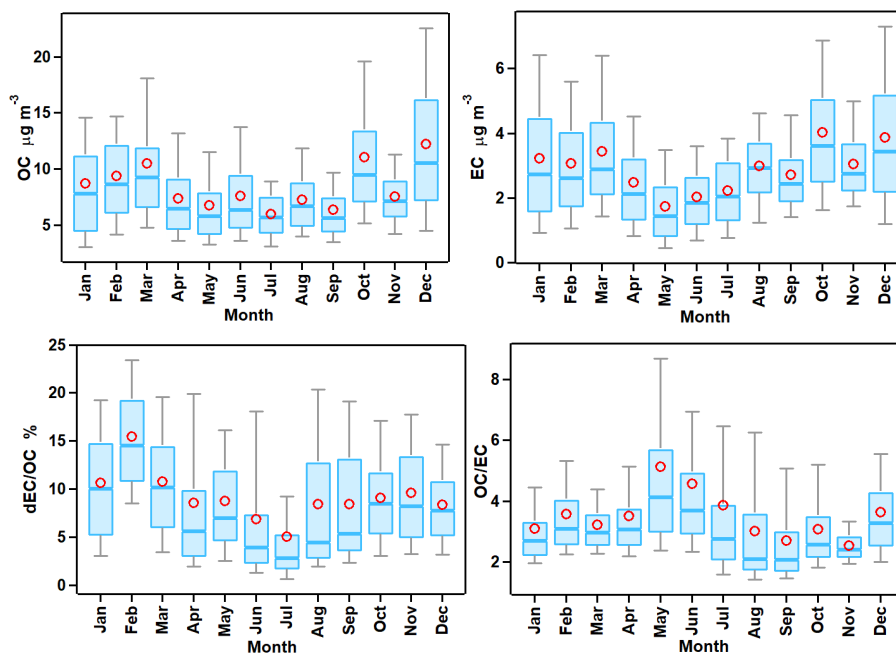
559 **Figure 1.** Principle and structure of the sunset semi-continuous carbon analyzer.



560  
561 **Figure 2.** Carbonaceous species fractions of PM<sub>2.5</sub> and OC/EC ratios at different PM<sub>2.5</sub>  
562 concentration intervals at NUIST from June 2015 to August 2016.



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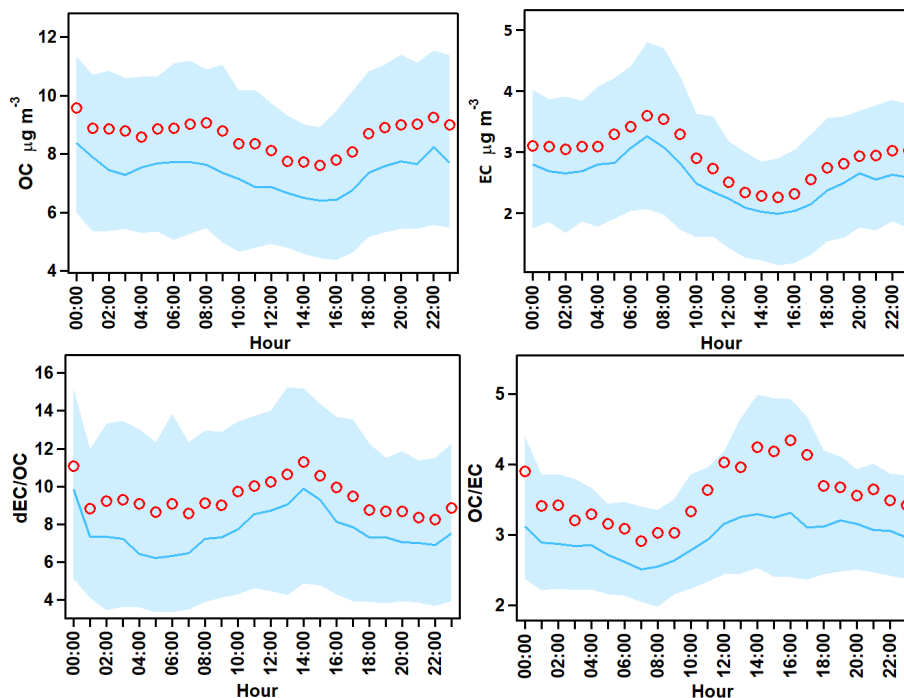
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**Figure 3.** Monthly variations of OC, EC, dEC/OC and OC/EC ratios at NUIST from June 2015 to August 2016. The boundary of the box indicates the 25% and 75% percentile, respectively. The lower and upper whiskers indicate the 10% and 90% percentile, respectively. The red circle within the box marks the average while the line within the box marks the median.



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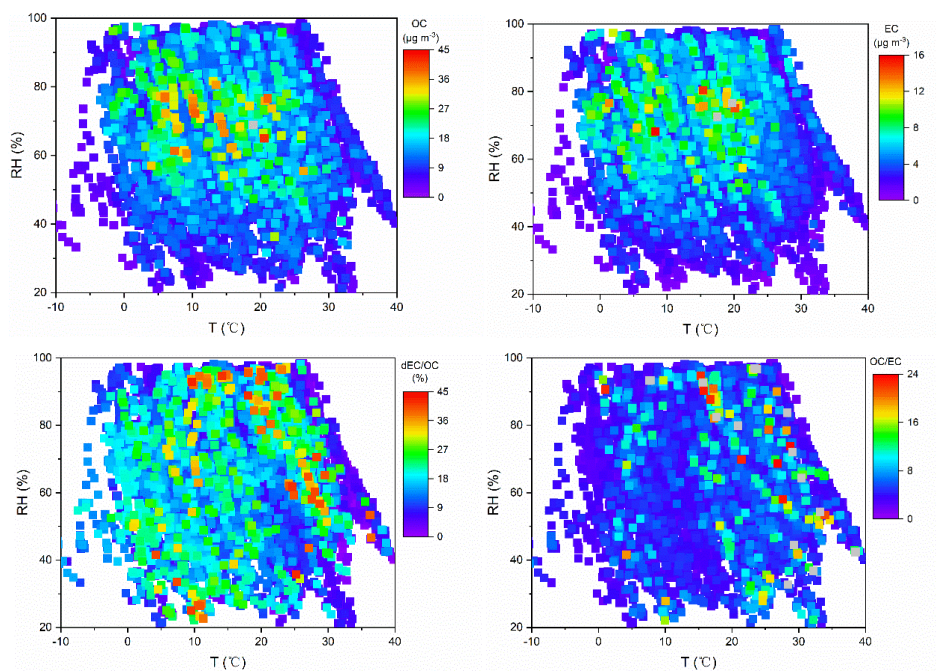
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**Figure 4.** Diurnal variations of OC, EC concentrations, dEC/OC and OC/EC ratios during the study period. The boundary of the shaded area indicates the 25% and 75% percentile, respectively. The red circle marks the average while the blue line marks the median.





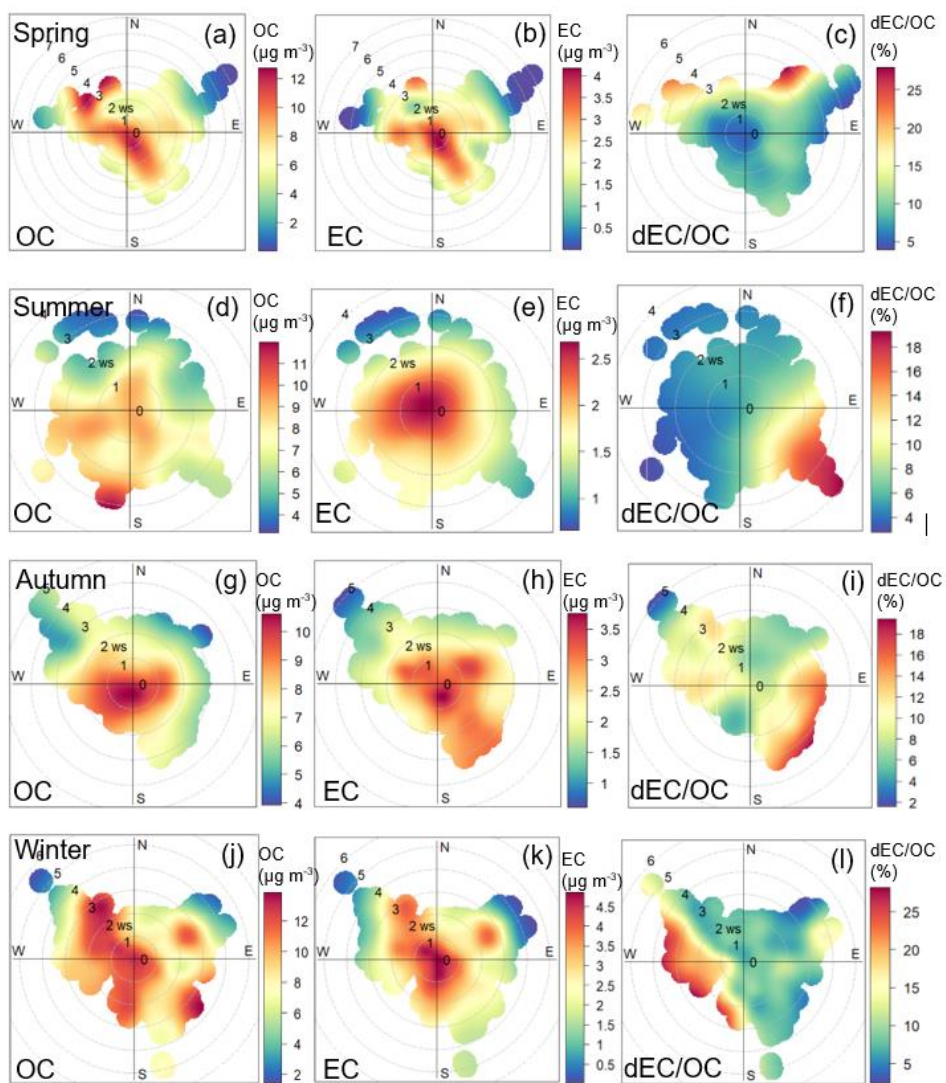
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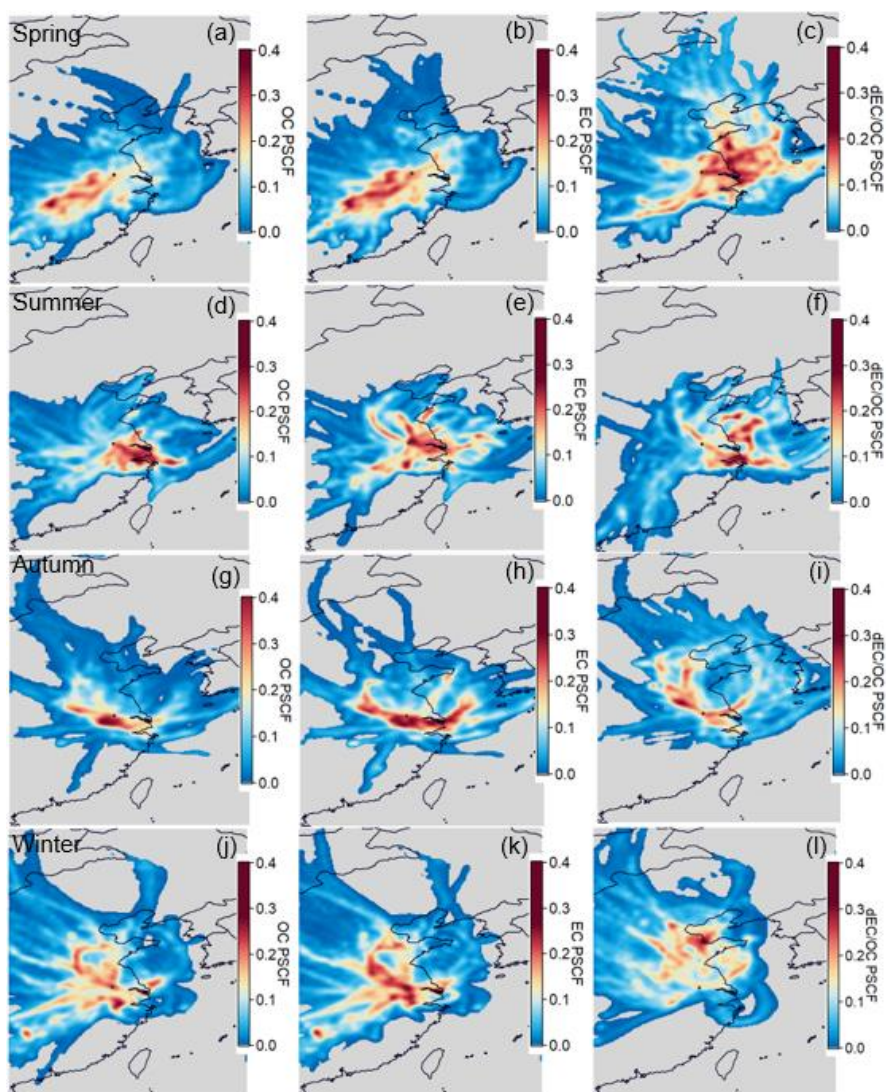
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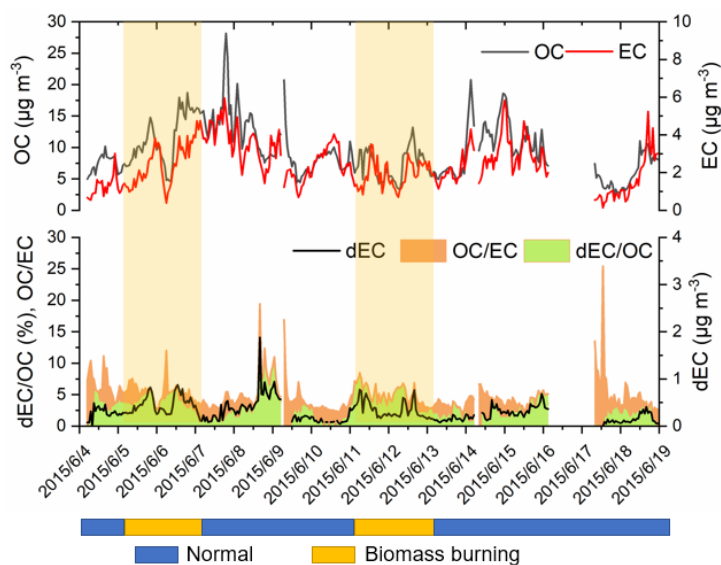
**Figure 5.** RH/T dependence of OC, EC, dEC/OC and OC/EC ratios during the study periods.



577  
578 **Figure 6.** Wind rose of OC, EC and dEC/OC in spring ((a), (b), (c)), summer ((d), (e), (f)), autumn  
579 ((g), (h), (i)) and winter ((j), (k), (l)).



580  
581 **Figure 7.** PSCF map for OC, EC and dEC/OC in spring ((a), (b), (c)), summer ((d), (e), (f)), autumn  
582 ((g), (h), (i)) and winter ((j), (k), (l)).

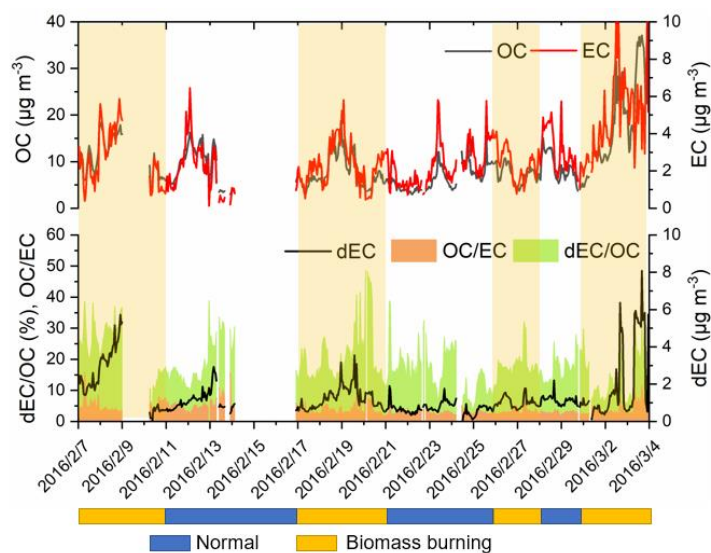


583

584 **Figure 8.** Time series of OC, EC, dEC/OC, dEC and OC/ EC from 4 June 2015 to 19 June 2015.

585 The period was divided into normal days (blue bar) and biomass burning days (yellow bar). The

586 yellow shadow represents the biomass burning periods.



587  
588 **Figure 9.** Time series of OC, EC, dEC/OC, dEC and OC/ EC from 7 February 2016 to 3 Mar 2016.  
589 The period was divided into normal days (blue bar) and biomass burning days (yellow bar). The  
590 yellow shadow represents the biomass burning periods.





591 **Table 1.** Statistical summary on the PM<sub>2.5</sub> and carbon species concentrations.

N=5113	Average	Standard Deviation	Media	Min	Max
PM <sub>2.5</sub> (µg m <sup>-3</sup> )	77.2	48.6	65.0	2.5	458.1
OC (µg m <sup>-3</sup> )	8.9	5.5	7.5	0.5	45.8
EC (µg m <sup>-3</sup> )	3.1	2.0	2.6	0.0	17.6
OC/EC	3.5	2.4	2.9	1.0	29.3
dEC (µg m <sup>-3</sup> )	0.8	0.8	0.6	0.0	8.1
dEC/OC (%)	10.0	7.2	8.6	0.0	48.2
OC/PM <sub>2.5</sub> (%)	12.8	5.6	11.6	0.7	66.2
EC/PM <sub>2.5</sub> (%)	4.3	2.3	3.9	0.0	33.2
dEC/PM <sub>2.5</sub> (%)	1.3	1.2	0.9	0.0	17.6

592



593 **Table 2.** Statistics of OC, EC, OC/EC, dEC and dEC/OC during biomass burning days and normal  
594 days. The values represent average  $\pm$  standard deviation.

		OC ( $\mu\text{g m}^{-3}$ )	EC ( $\mu\text{g m}^{-3}$ )	OC/EC	dEC ( $\mu\text{g m}^{-3}$ )	dEC/OC (%)
June 4 <sup>th</sup> to	Normal days	9.5 $\pm$ 4.5	2.6 $\pm$ 1.3	4.3 $\pm$ 2.3	0.2 $\pm$ 0.1	2.5 $\pm$ 1.3
19 <sup>th</sup>	Biomass burning days	9.0 $\pm$ 3.6	2.0 $\pm$ 0.9	4.8 $\pm$ 1.6	0.4 $\pm$ 0.2	4.6 $\pm$ 1.4
February	Normal days	7.5 $\pm$ 3.3	2.5 $\pm$ 1.2	3.3 $\pm$ 1.3	0.8 $\pm$ 0.3	12.7 $\pm$ 5.6
7 <sup>th</sup> to Mar	Biomass burning days	11.2 $\pm$ 7.2	3.1 $\pm$ 1.9	4.0 $\pm$ 1.8	1.7 $\pm$ 1.4	15.4 $\pm$ 7.8
3 <sup>rd</sup>						

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