

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

Ground-based Temperature and Humidity Profiling: Combining Active and
Passive Remote Sensors

David D. Turner¹ and Ulrich Löhnert²

¹ NOAA / OAR / Global Systems Laboratory

² University of Cologne / Institute of Geophysics and Meteorology

Submitted 31 August 2020

Updated on 15 Feb 2021

*Special Issue for the 11th International Symposium on Tropospheric Profiling in
Atmospheric Measurement Technology*

Corresponding Author:

Dr. David Turner
NOAA Global Systems Laboratory
325 Broadway, Boulder, CO 80305
Voice: +1-303-497-6097
Email: dave.turner@noaa.gov

29 **Abstract**

30

31 Thermodynamic profiles in the planetary boundary layer (PBL) are important observations for a
32 range of atmospheric research and operational needs. These profiles can be retrieved from
33 passively sensed spectral infrared (IR) or microwave (MW) radiance observations, or can be
34 more directly measured by active remote sensors such as water vapor differential absorption
35 lidars (DIALs). This paper explores the synergy of combining ground-based IR, MW, and DIAL
36 observations using an optimal estimation retrieval framework, quantifying the reduction in the
37 uncertainty in the retrieved profiles and the increase in information content as additional
38 observations are added to IR-only and MW-only retrievals.

39

40 This study uses ground-based observations collected during the Perdigao field campaign in
41 central Portugal in 2017 and during the DIAL demonstration campaign at the Atmospheric
42 Radiation Measurement Southern Great Plains site in 2017. The results show that the
43 information content in both temperature and water vapor is higher for IR instrument relative to
44 the MW instrument (thereby resulting in smaller uncertainties), and that the combined IR+MW
45 retrieval is very similar to the IR-only retrieval below 1.5 km. However, including the partial
46 profile of water vapor observed by the DIAL increases the information content in the combined
47 IR+DIAL and MW+DIAL water vapor retrievals substantially, with the exact impact vertically
48 depending on the characteristics of the DIAL instrument itself. Furthermore, there is slight
49 increase in the information content in the retrieved temperature profile using the IR+DIAL
50 relative to the IR-only; this was not observed in the MW+DIAL retrieval.

51

52 1. Introduction

53 High temporal resolution thermodynamic profiles in the planetary boundary layer (PBL)
54 are needed for a wide range of research and operational weather forecasting needs
55 (Wulfmeyer et al. 2015). For example, the vertical distribution of water vapor and temperature
56 changes markedly over the diurnal cycle, the passage of synoptic features such as frontal
57 boundaries and dry lines can cause very rapid changes in the thermodynamic structure of the
58 PBL, and the evolution of convective weather with evaporation-driven cold pools impacts both
59 the temperature and humidity profiles and feeds back on the storm's evolution. Indeed, a large
60 number of groups have called for improvements in the thermodynamic profiling in the PBL, and
61 the establishment of ground-based networks to provide these datasets to the atmospheric
62 science community (e.g., Dabberdt et al. 2005; NRC 2009).

63 Progress is being made, albeit perhaps slowly. There are a large number of case studies
64 using PBL thermodynamic profiling systems to gain insight into how the convective properties
65 of atmosphere changes (e.g., Feltz et al. 2003; Cimini et al. 2015; Bluestein et al. 2017; Toms et
66 al. 2017; Mueller et al. 2017), analyses of long-time series to show the capability of these
67 systems (Löhnert and Maier 2012; Wagner et al. 2008), and utility for improving short-term
68 nowcasts and forecasts (e.g., Cimini 2011; Caumont et al. 2016; Hu et al. 2019; Coniglio 2019).

69 In Europe, there are a large number of microwave radiometers that are being
70 characterized and assimilated (experimentally) into numerical weather prediction models
71 (Cimini et al. 2018; De Angelis et al. 2017). Activities in the US have focused primarily on field
72 campaigns, and the Plains Elevated Convection at Night (PECAN; Geerts et al. 2017) in
73 particular, which deployed a small network of 6 infrared spectrometers in the central US. The
74 PECAN observations are being used to study a range of atmospheric phenomena both
75 observationally (e.g., Gasmick et al. 2018; Loveless et al. 2019) and via use in numerical weather
76 prediction models (Johnson et al. 2018; Degelia et al. 2019).

77 However, these different ground-based remote sensors have generally not been
78 collocated which makes evaluating the relative differences in the information content of the
79 observations difficult. This paper takes advantage of two field campaigns where multiple
80 ground-based remote sensing systems were collocated to evaluate the relative strengths and
81 weaknesses of these different observations for thermodynamic profiling in the PBL. The two
82 campaigns are Perdigao, which occurred in central Portugal in May-June of 2017 (Fernando et
83 al. 2019), and a campaign at the ARM Southern Great Plains site (Sisterson et al. 2016) in May-
84 June 2017 to compare a newly developed broadband differential absorption lidar for water
85 vapor profiling with other instruments (Newsom et al. 2020).

86 2. Instruments

87 While there are many different instruments that could be included in this analysis, we
88 will focus on four instruments that have been demonstrated to run operationally in unattended
89 modes for weeks or longer, and either already are or will likely soon become commercially
90 available. Two of these instruments are passive remote sensors (i.e., they do not transmit
91 electromagnetic energy to the atmosphere) while two are active remote sensors.

92 **2.1. Microwave radiometer**

93 One type of passive thermodynamic profiler is a microwave radiometer (MWR). MWRs
94 used for thermodynamic profiling typically have multiple channels along the high frequency
95 side of the 22.2 GHz water vapor absorption line (i.e., from 22.2 to 31 GHz) and on the low
96 frequency side of the 60 GHz oxygen absorption complex (i.e., from 51 to 60 GHz). Height
97 dependent pressure broadening of the water vapor line allows the retrieval of a coarsely
98 resolved water vapor profile, whereas temperature profile information is obtained from the
99 frequency dependent optical depth. Generally speaking, the more transparent frequencies
100 provide information through a deeper portion of the atmosphere and the optically thick
101 channels provide information closer to the MWR. Oxygen is well mixed in the atmosphere and
102 its concentration is known, thus the downwelling radiance observed in the channels that are
103 primarily sensitive to oxygen can be used to infer the temperature profile. Water vapor
104 concentration profiles can be determined from the channels that have sensitivity to water
105 vapor after the temperature profile is known. However, there is some level of absorption due
106 to oxygen in the 22-31 GHz range and water vapor in the 51-60 GHz range, so retrieval methods
107 need to account for this ‘cross-talk’, and provide some estimate of the correlated errors in the
108 retrieved profiles.

109 For this study, we used a 14-channel Humidity and Temperature Profiling (HATPRO)
110 microwave radiometer (Rose et al. 2005). This is a fourth-generation system, which is part of
111 the Collaborative Lower Atmospheric Mobile Profiling System (CLAMPS; Wagner et al. 2019).
112 The instrument specifications are given in Table 1. The radiometric uncertainty in these
113 observations were determined via a time-series analysis of the observed brightness
114 temperatures when the atmosphere could be assumed to be quasi-stationary. These values are
115 provided in Table 1. These radiometric uncertainties are assumed to be uncorrelated between
116 the different channels.

117 **2.2. AERI**

118 The second passive remote sensor studied here is the Atmospheric Emitted Radiance
119 Interferometer (AERI). The AERI is a Fourier transform spectrometer designed to measure
120 infrared radiation emitted by the atmosphere between 3.3 and 19 μm in wavelength (3000 to
121 520 cm^{-1}) with a spectral resolution of 0.5 cm^{-1} . The AERI was designed specifically for the
122 Department of Energy’s Atmospheric Radiation Measurement (ARM) program (Turner et al.
123 2016a; Knuteson et al. 2004 a,b). Its specifications can also be found in Table 1.

124 The radiometric uncertainty in the AERI observations is derived from the imaginary
125 component of the AERI’s calibration equation (Revercomb et al. 1988), and thus the noise
126 spectrum can be derived for each sky observation period. Turner and Blumberg (2019) have
127 demonstrated that the radiometric noise in the AERI observations is spectrally uncorrelated.
128

129 **2.3. NCAR water vapor DIAL**

130 Water vapor differential absorption lidar (DIAL) work by transmitting pulsed laser energy at
131 two wavelengths, one of which is selected to have markedly higher water vapor absorption
132 than the other. These two frequencies are typically referred to as the on-line and off-line

133 frequencies. If the two wavelengths are spectrally close to each other (e.g., within a nm in
134 wavelength), then many of the terms that describe the ratio of the strength of the
135 backscattered signals cancel out. The ratio of the on- to off-line return signals is directly related
136 to the water vapor concentration profile.

137 The National Center for Atmospheric Research (NCAR) has developed a micropulse water
138 vapor DIAL. The approach used by this lidar is the so-called “narrowband DIAL” approach
139 wherein the laser emits monochromatic pulses of energy. Thus, because the characteristics of
140 the absorption line are well known, the method is self-calibrating and no external calibration
141 source is needed. Narrowband DIAL systems require extremely high spectral purity in the
142 outgoing laser, as subtle changes in the wavelength (especially for the on-line channel) even for
143 a small number of laser pulses in the averaging window can introduce biases in the derived
144 water vapor profile because the incorrect absorption cross-section is used in the derivation.

145 The laser in the NCAR DIAL, henceforth called the nDIAL, emits low pulse energies at high
146 pulse repetition rate (Spuler et al 2015). The outgoing laser beam is expanded by a portion of
147 the primary telescope, which makes the lidar system eye-safe. The nDIAL system has its origins
148 at Montana State University (MSU), wherein commercially available laser diodes developed for
149 telecommunications were used as the laser source (Nehrir et al. 2012), and MSU continues to
150 collaborate with NCAR to advance the nDIAL technology. A single photon counting detector is
151 used to detect the backscattered signals in both the on-line and off-line channels. High
152 transmission, narrowband interference filters are used to reject energy (e.g., solar background)
153 outside the desired frequency range of the desired signals. The technical details of this system
154 are provided in Table 1.

155 The signal-to-noise ratio (SNR) in DIAL systems is strongly dependent upon the strength of
156 the backscattering signal as a function of range. Aerosol particles provide an efficient scattering
157 source, and because aerosol concentration decreases markedly above the top of the PBL, the
158 SNR also drops sharply above this level. However, the actual range wherein the lidar makes
159 good water vapor measurements is a function of the pulse energy, the efficiency of the
160 detector system (e.g., size of the telescope, transmission of the detection optics, sensitivity of
161 the detector), and the vertical profiles of both the aerosol and water vapor concentrations. For
162 this study, the backscattered photon data were coadded for 1-minute before deriving the water
163 vapor profile.

164 Virtually all lidar systems have difficulties accurately measuring atmospheric properties
165 close to the lidar itself. Ultimately, this is due to a mismatch between the outgoing laser beam
166 and the detector and leads to a systematic error that varies with height. This systematic error
167 reduces to zero at some range, and the region where the error is nonzero is referred to as the
168 “overlap” region. For many lidar systems, an empirically determined correction can be applied
169 to reduce the maximum range of the non-zero overlap error. For the current version of the
170 nDIAL, approximately the lowest 500 m suffers from a varying overlap correction (S. Spuler,
171 personal communication), and thus is not used in this analysis.

172 The uncertainty in the nDIAL observations is directly calculated by assuming that the
173 detected backscatter signal follows a Poisson distribution, and propagating the uncertainty in
174 the backscatter profile through the DIAL equation. A similar approach was used for the SGP
175 Raman lidar, and the noise estimate derived from Poisson statistics agrees with that derived
176 using an autocovariance analysis (Turner et al. 2014).

177 The nDIAL has been deployed in a number of different field campaigns. In particular, the
178 water vapor profile observed by the nDIAL have been compared to water vapor profiles
179 measured by radiosondes and independently retrieved from collocated AERI and MWR systems
180 (Weckwerth et al. 2016). These comparisons demonstrate that the nDIAL agrees well with these
181 other sensors (e.g., the bias error relative to radiosondes is less than 0.3 g/m^3) and has no
182 significant day vs. night differences in sensitivity (e.g. due to solar background). In 2018, NCAR
183 constructed 4 additional units (bringing the total number of nDIAL systems to five), which were
184 deployed in a network configuration at the Department of Energy’s Atmospheric Radiation
185 Measurement (ARM) Southern Great Plains site (SGP, Sisterson et al. 2016) from April through
186 July 2019.

188 *2.4. Vaisala water vapor DIAL*

189 Vaisala is also developing a micropulse water vapor DIAL (henceforth called the vDIAL). This
190 lidar system is based upon the CL51 ceilometer design; this ceilometer is used operationally
191 around the world. Unlike the nDIAL, the vDIAL transmits a spectrally broad pulse of laser
192 energy that encompasses several water vapor absorption lines (“on-line channel”) and in a
193 nearby spectral window with no absorption lines (“off-line”). This approach is less technically
194 demanding on the laser specifications (e.g., the requirement for high spectral purity is much
195 smaller), but the tradeoff is that the measurement is no longer self-calibrating (Newsom et al.
196 2020). For this particular broadband DIAL implementation, the reference measurement is a
197 well-calibrated surface level in-situ sensor integrated into the DIAL, and measurements from
198 this sensor are used in an iterative retrieval approach to derive the water vapor profile
199 (Newsom et al. 2020).

200 The vDIAL actually consists of two independent broadband DIAL systems integrated
201 together. The first system has a wide field-of-view, thereby resulting in a very small overlap
202 region and allowing the lidar to profile water vapor down to 50 m above ground level (AGL).
203 However, this wide field-of-view results in additional solar background photons and the SNR
204 decreases very rapidly with range. The second system has a much narrower field of view, which
205 results in a deeper overlap region but also enables the lidar to profile water vapor much higher.
206 Cross-talk between the two independent systems is eliminated by operating one system for 5-s,
207 and then operating the other for the next 5-s. The water vapor profiles are derived
208 independently for the wide and narrow field-of-view systems, and then they are merged
209 linearly between 300 and 400 m. Additional details on this system are provided in Newsom et
210 al. (2020).

211 The vDIAL system uses analog detection, and thus the uncertainties in the backscatter do
212 not follow a Poisson distribution like in the nDIAL. Instead, the uncertainties in the vDIAL water
213 vapor profile are estimated by deriving water vapor profiles every 2-minutes, and computing
214 the standard deviation from these data at each height across a 20-minute window to provide
215 the uncertainty in the standard 20-min average water vapor profile.

216 The vDIAL system was deployed to the ARM SGP in May-June 2017, where it was compared
217 against water vapor profiles observed by the ARM Raman lidar (Turner et al. 2016b; Turner and
218 Goldsmith 1999), radiosondes, and retrieved from the AERI.

219 **3. Retrieval algorithm**

220 Passive spectral radiometers, such as the MWRs and AERIs, measure radiance, and
 221 thermodynamic profiles must be retrieved from these observations. However, this is an ill-
 222 posed problem, as there could exist multiple solutions (e.g., different thermodynamic profiles)
 223 that would yield the observed radiance. Thus, the retrieval algorithm must incorporate
 224 additional information to constrain the solution to a potentially valid solution. Here, we have
 225 elected to use the optimal estimation approach (Rodgers 2000; Maahn et al. 2020), which is a
 226 1-dimensional variational method. We have modified the AERloe optimal estimation retrieval
 227 algorithm (Turner and Löhnert 2014) to use AERI and/or MWR data, together with *a priori*
 228 dataset that specifies how temperature and humidity covary with height, as input. This
 229 algorithm has already been modified to include additional observations, such as water vapor
 230 lidars (Turner and Blumberg 2019), and thus in these cases the retrieval is finding the
 231 temperature and humidity profiles that satisfies both the observed radiance and the (partial)
 232 profile of water vapor observed by the DIAL simultaneously.

233 We desire to retrieve the thermodynamic profile X (i.e., both the temperature and
 234 humidity profile, so $X = \left[[T_1, T_2, \dots, T_p]^T, [q_1, q_2, \dots, q_p]^T \right]$ where T_i and q_i are the temperature
 235 and water vapor mixing ratio in the i^{th} vertical bin. We will refer to X_n as the state vector on
 236 the n^{th} iteration. The observations from the AERI, MWR, and DIALs will form the observation
 237 vector Y . A forward model F is used to compute a pseudo observation $F(X)$, which is then
 238 compared with Y . If they disagree, then the state vector is modified to provide a new estimate
 239 (X_{n+1}) following

$$240 \quad X_{n+1} = X_a + (\gamma S_a^{-1} + K_n^T S_\epsilon^{-1} K_n)^{-1} K_n^T S_\epsilon^{-1} (Y - F(X_n) + K_n(X_n - X_a)) \quad (\text{Eq 1})$$

241 where K is the Jacobian of F , X_a is the mean *a priori*, and S_a is the covariance matrix of the *a*
 242 *priori* dataset (see Section 3.2). S_ϵ denotes the combined forward model and observation error
 243 covariance matrix. The observation error for the single instruments is considered as described
 244 in the subsection of Section 2 and the forward model uncertainty is discussed in Section 3.1.
 245 The superscripts T and $^{-1}$ denote matrix transpose and matrix inverse, respectively. Because F is
 246 moderately non-linear in X , optimal estimation is formulated as an iterative method, where the
 247 subscript n indicates the iteration number; for our studies, we typically start with $X_0 = X_a$. The
 248 scalar γ is used to stabilize the retrieval when n is small to improve the convergence rate and
 249 decreases to unity as n increases; the description on how γ is used is explained in Turner and
 250 Löhnert (2014). Note that due to the non-linearity of the forward models applied for the
 251 microwave and infrared radiative transfer, the Jacobians are required to be recomputed for
 252 each iteration. We continue to iterate Eq 1 until

$$253 \quad (F(X_{n+1}) - F(X_n))^T (K_n S_a K_n^T + S_\epsilon)^{-1} (F(X_{n+1}) - F(X_n)) \ll m \quad (\text{Eq 2})$$

254 where m is the dimension of Y .

255 **3.1. Forward models**

256 As shown by Eq 1, a forward model is needed to transform the current state vector X_n into
257 the observational domain so it can then be compared to the observation vector Y . In this
258 study, four different forward models are used (one for each instrument).

259 For the passive radiometers, the forward models are line-by-line radiative transfer models.
260 The monochromatic MonoRTM radiative transfer model (Clough et al. 2005; Payne et al. 2011)
261 is used to simulate MWR observations, and the line-by-line radiative transfer model LBLRTM
262 (Clough et al. 1995; Mlawer and Turner 2016) is used to simulate the AERI. In the latter, the
263 monochromatic spectra are convolved with a tophat function in the time domain and then
264 transformed to the spectral domain via a Fourier transform; this applies the AERI's lineshape
265 function to the calculation. The vertical grid used in these calculations is specified by the *a*
266 *priori* data. The pressure profile is computed from the temperature and humidity data from the
267 current state vector using the hypsometric equation. The spectral regions used in the retrieval
268 are given in Table 1. In the infrared, many trace gases have absorption bands, and while the
269 spectral regions used in the retrieval are primarily sensitive to water vapor and carbon dioxide
270 (where the latter provides the sensitivity to temperature), there are minor contributions to the
271 downwelling radiance by other gases. We utilize the US Standard Atmosphere to provide
272 profiles of these other trace gases for this study, but our results are insensitive to this choice.

273 To incorporate the DIAL data into the Eq 1, a forward model is needed for each lidar also.
274 The purest forward model would simulate the profiles of backscatter energy that would be
275 observed in both the on- and off-line channels for a given water vapor profile. We could have
276 also used the profile of differential optical depth between range bins as our observation.
277 However, we have elected to use the derived water vapor concentration from each lidar in the
278 observation vector. This results in a trivial forward model for each lidar: essentially, the
279 forward model just converts water vapor mixing ratio to water vapor number concentration for
280 the nDIAL. The output of the vDIAL is water vapor mixing ratio, so that forward model is just
281 the unity function.

282 **3.2. The *a priori* dataset**

283 There has been only one campaign that had an AERI, HATPRO, and water vapor DIAL
284 collocated with each other: the Perdigao campaign that was held in Portugal from 1 May to 15
285 June 2017 (Fernando et al. 2019). We specified a 48-level vertical grid for the retrievals,
286 starting at 0 m above ground level (AGL), the next level at 10 m AGL, and each subsequent
287 height bin is 10% thicker than the previous one. Although ~150 radiosondes were launched
288 during Perdigao, these are not enough to accurately compute the level-to-level covariance for
289 the 96-element state vector (i.e., X has 48 levels for temperature, and 48 for water vapor).
290 Therefore, we used 1571 radiosondes launched in the months of April, May, June, and July over
291 the last decade by the Portuguese weather service at Lisbon to compute X_a and S_a . This *a*
292 *priori* information was used in all of the retrievals shown here.

293 The vDIAL was not part of the Perdigao deployment, so we are using AERI and vDIAL data
294 collected between 15 May to 12 June 2017 at the SGP site instead. Both the Perdigao and SGP
295 datasets used here were collected in the spring, but the SGP climatology is different than that in
296 Portugal necessitating the use of a different *a priori* dataset. We have used over 2000

Deleted: W

298 radiosondes launched at the SGP during the months of April, May, and June over the past
 299 decade to derive the *a priori* information for this site.

300 3.3. Characterizing the information content in the retrieved profile

301 One advantage of the optimal estimation framework is that the uncertainties in the
 302 retrieval, which includes contributions from both the uncertainties in the observations and *a*
 303 *priori* as well as the sensitivity of the forward model, is a direct output of the framework. If the
 304 “optimal” solution is X_{op} , which is the solution after both $\gamma = 1$ and Eq 2 indicates that the
 305 solution has converged after nc iterations, then the covariance of the optimal solution is given
 306 by

$$307 S_{op} = (S_a^{-1} + K_{nc}^T S_\epsilon^{-1} K_{nc})^{-1} \quad (\text{Eq 3})$$

308 We will look at the square root of the diagonal elements of S_{op} to quantify how the $1-\sigma$
 309 uncertainties of the retrieved profiles change as different instrument combinations are used in
 310 the observation vector.

311 A second advantage of this method is that the averaging kernel A provides a direct estimate
 312 of the sensitivity of the retrieved profile at each height to perturbations at that height. This
 313 matrix is computed as

$$314 A = (S_a^{-1} + K_{nc}^T S_\epsilon^{-1} K_{nc})^{-1} K_{nc}^T S_\epsilon^{-1} K_{nc} = I - S_{op} S_a^{-1} \quad (\text{Eq 4})$$

315 The diagonal components of A provides the degrees of freedom for signal (DFS; Rodgers 2000)
 316 for each height in the retrieved profile. If the observations had very high information content
 317 at each level of the retrieved profile, then the diagonal elements of S_{op} would be small relative
 318 to the diagonal elements of the *a priori*, and thus the trace of A would approach the dimension
 319 of X . The total DFS, which is equal to the trace of A , provides a metric for how many
 320 independent pieces of information exist in the observation.

321 For this study, we recognize that the matrices A , S_{op} , and S_a really have four equal sized
 322 quadrants that correspond to

$$323 \begin{bmatrix} (T, T) & (T, q) \\ (q, T) & (q, q) \end{bmatrix}$$

324 We will look at the portions of A and S_{op} that correspond to (T, T) and (q, q) independently.
 325 Furthermore, as we will see, the DFS is typically much smaller than unity, so we will look at the
 326 profile of the cumulative DFS (cDFS), as this allows us to quickly determine how many
 327 independent levels are below some specified height, which is advantageous when talking about
 328 where in the vertical the different instruments provide sensitivity to changes in temperature
 329 and water vapor.

330 We want to highlight that even though lidars make explicitly range resolved measurements,
 331 their information content in the derived water vapor profile is not the same as the number of
 332 range bins. The actual information content at height z depends strongly on the noise level of
 333 the observation there. Even direct derivations of water vapor from lidar signals would benefit
 334 from being cast into a retrieval framework like what we’ve specified in Eq 1 because then the *a*
 335 *priori* information could be used to constrain the derived water vapor when the instrument’s
 336 SNR decreases (e.g., Sica and Haeefele 2016).

337 4. Results

338 Several studies have demonstrated that ground-based thermodynamic retrievals in the PBL
339 using only AERI observations have 2-4 times larger total DFS in both temperature and water
340 vapor than retrievals that use only microwave data (Löhnert et al. 2009; Blumberg et al. 2015;
341 Wulfmeyer et al 2015). However, what is not known is how the information content changes
342 when partial profiles of water vapor from a differential absorption lidar (since the DIAL
343 observations extend only from the top of the region where full overlap is achieved to a height
344 where its SNR becomes small) are included in a retrieval considering the synergy of AERI, MWR,
345 and nDIAL or vDIAL. For example, does including a partial water vapor profile in the retrieval
346 result in AERI+DIAL and MWR+DIAL having equivalent cDFS for water vapor? Does including a
347 partial water vapor profile in a simultaneous retrieval of $T(z)$ and $q(z)$ (as we are doing here in
348 Eq 1) improve the temperature profile in any way?

349 In order to answer these questions, we performed eight sets of retrievals using data from
350 the Perdigao field campaign in Portugal (Table 2): four were using passive-only measurements
351 (MWRz, MWRzo, AERI, and AERI+MWRz), and four included the nDIAL together with those
352 passive measurements. “MWRz” denotes the case when only zenith-pointing MWR brightness
353 temperature observations were used in the retrieval, whereas “MWRzo” denotes the case where
354 both zenith and off-zenith (i.e., “oblique” elevation scans) are used. Crewell and Löhnert (2007)
355 demonstrated that adding elevation scan observations at frequencies where the atmosphere is
356 optically thick, and assuming horizontal homogeneity of the PBL, resulted in a marked increase
357 in the information content and hence accuracy of the retrieved temperature profile. However,
358 only observations made at frequencies above 55 GHz are used in these elevation scans. Even at
359 low elevation angles, frequencies channels below 55 GHz are too transparent and thus the
360 assumption of horizontal homogeneity fails very frequently (Crewell and Löhnert 2007).

361 As the vDIAL will soon be the first commercially available DIAL instrument for water vapor
362 profiling (H. Winston, personal communication), a major objective is to evaluate how including
363 this lidar dataset with passive observations changes the information content in the retrieved
364 profiles. In addition, we show the impact of the vDIAL relative to the nDIAL on our retrievals.
365 However, vDIAL (ARM SGP) and nDIAL (Perdigao) observations are only available at different
366 locations with different *a priori* datasets. In order to overcome this issue, the comparisons were
367 carried out in relation to the AERI instruments, which operated at both sites. The comparison of
368 the AERI-only from ARM-SGP and Perdigao allows us to characterize the impact of the prior on
369 the retrievals, since the two AERI instruments deployed in Portugal and at the SGP site have
370 similar error characteristics (not shown). Ultimately, we have looked at the differences
371 between the AERI-only and AERI+xDIAL retrievals (where x is either “v” or “n”) at the two sites.

372 4.1. Case study example

373 To illustrate the differences between the various passive-only and passive+active retrievals,
374 we selected a case during Perdigao on 15 May 2017 at 05:07 UTC. This is a clear sky event, and
375 is representative of the retrieval quality during the entire field campaign. Figure 1 shows the
376 retrieved temperature (panel A) and water vapor mixing ratio (WVMR, panel B), and the
377 associated $1-\sigma$ uncertainties of each (panels C and D, respectively) derived from the square root
378 of the diagonal of the retrieval error covariance S_{op} . The black line in panels A and B denote

379 the coincident radiosonde, whereas the other colors denote the different passive-only
380 retrievals.

381 All three passive-only retrievals (MWRzo, AERI, and AERI+MWRzo) identify the surface-
382 based inversion, although the retrievals that include the AERI capture it more accurately (Fig
383 1A). Furthermore, the retrievals that include the AERI are able to better match the radiosonde
384 temperature observations above 1.5 km, whereas the MWRzo retrieval is showing a bias at
385 those altitudes. None of the three retrievals are able to capture the small-scale variability in the
386 vertical observed by the radiosonde due to the relatively coarse vertical resolution of the
387 retrievals. The uncertainties in the MWRzo temperature retrievals are about 50% larger (or
388 more) over the lowest 3 km relative to the AERI retrievals (Fig 1C), which agrees qualitatively
389 with the differences seen in Fig 1A.

390 The water vapor retrievals (Fig 1B) show two basic vertical patterns: the MWRzo retrieval is
391 markedly drier than the radiosonde below 1 km, whereas the AERI and AERI+MWRzo retrieval
392 starts dry, then becomes too wet (between 500 and 1000 m), and then becomes drier than the
393 radiosonde above 1500 m. Interestingly, the nDIAL water vapor profile is also drier than the
394 radiosonde below 1500 m, and agrees better with the MWRzo profile. However, the retrievals
395 that use the AERI data have markedly smaller uncertainties than the MWRzo below 1.5 km;
396 above that height, the uncertainty in the MWRzo is smaller than the AERI, although the
397 AERI+MWRzo retrieval has the smallest uncertainties over the entire lowest 3 km as would be
398 expected for a variational retrieval method.

399 Including the nDIAL data above 500 m into the retrieval, and thus finding a solution that
400 simultaneously fits both the observed radiance and the partial WVMR profile of the DIAL within
401 their uncertainties, yields the results shown in Fig 2. The largest impact, not surprisingly, is on
402 the retrieved water vapor profile (Fig 2B). The inclusion of the nDIAL data forces the retrievals
403 that also include the AERI to reduce the amount of water vapor between 500 and 1000 m
404 (where the AERI-based retrievals were too wet in Fig 1B), which has the impact of increasing
405 the amount of water vapor in the AERI retrievals below 500 m (Fig 2B), resulting in the
406 AERI+nDIAL and AERI+MWRzo+nDIAL agreeing much better with the radiosonde. Between 800
407 and 1500 m, the MWR+nDIAL retrieved profile is essentially the same as the nDIAL profile,
408 suggesting that the MWR is not adding any significant information to the DIAL's observation.
409 The impact of the nDIAL data on the water vapor uncertainty profiles can clearly be seen in Fig
410 2D, where all retrievals have the similar uncertainty above about 800 m where the DIAL data
411 are being used. Including the DIAL data into the retrievals has a minor impact on the retrieved
412 temperature profiles, as all three seem to agree a bit better qualitatively with the radiosonde
413 above 1000 m (comparing Fig 2A with Fig 1A), and the $1-\sigma$ uncertainties in temperature are
414 slightly smaller (Fig 2C with Fig 1C).

415 **4.2. Comparing mean uncertainty profiles**

416 While the case study above may be representative, the quality of a retrieval (i.e., its
417 uncertainty and information content) is case specific. To provide a more complete picture of
418 how the different passive-only and active+passive retrievals compare, we computed the mean
419 $1-\sigma$ uncertainty profiles from all of the retrievals performed during Perdigao, as a wide range of
420 environmental conditions (e.g., the surface temperature ranged from approximately 9 to 33 °C
421 and the precipitable water vapor from 1.1 to 3.1 cm) were observed during the 5-week

422 campaign. Figure 3 shows these mean uncertainty profiles for temperature (left) and water
423 vapor (right) for the different passive-only (solid lines) and active+passive (broken lines), and
424 Table 2 provides the mean values at 3 different heights.

425 Considering the passive-only retrievals, combining the AERI and MWR together has little
426 impact on the resulting temperature retrieval in the lowest 3 km or on the water vapor retrieval
427 below 1.5 km, compared to the AERI-only retrieval. However, the MWRz and MWRzo
428 outperform the AERI for water vapor above 2 km. Most strikingly, the benefit of the passive
429 retrieval synergy can be seen for water vapor above 1.5 km, where the improvement is up to
430 30% compared to the single sensor retrievals. Adding the elevation scanning data to the MWR
431 retrieval (i.e., the MWRzo vs MWRz) results in a smaller uncertainty in the temperature profile,
432 especially below 400 m.

433 Including the nDIAL data into the retrievals greatly reduces the 1- σ uncertainty in the water
434 vapor profiles for all active+passive retrievals (relative to the passive-only results), and results
435 in a slight decrease in the temperature uncertainty also. The addition of the nDIAL data to
436 either the MWR- or AERI-based retrievals results in smaller uncertainties in water vapor than
437 either the lidar by itself (dotted black line) or the passive-only retrievals (Fig 3 right). The AERI-
438 based retrievals show smaller uncertainties than the MWR-based retrievals, with the exception
439 in the water vapor retrievals above 2 km where the MWR-based retrieval has a smaller
440 uncertainty than the AERI retrieval. The uncertainty in the AERI+nDIAL water vapor retrieval
441 between 500 m and 2 km, where the nDIAL data are used, is slightly smaller than the
442 uncertainty in the MWRz+nDIAL retrieval, suggesting that the AERI is adding more information
443 to the DIAL observations than the MWR. However, above 2 km the combination of all sensors
444 has distinguishably the best performance, indicating that all instruments are contributing to the
445 sensor synergy. In quantitative numbers, the WVMR can be retrieved via sensor synergy with
446 accuracies between 0.4 and 0.6 g kg⁻¹ in the lowest 3 km, which between 1 and 2 km (the region
447 where DIAL shows its optimal performance), is an uncertainty reduction of up to 50% compared
448 to the passive retrieval synergy.

450 4.3. Comparing bias profiles

451 Figure 4 shows the bias profiles in temperature and humidity relative to radiosondes
452 launched during Perdigao. The radiosondes were launched within 100 m of the remote
453 sensors, and 169 individual comparisons are included in these bias profiles.

454 The temperature bias profiles (Fig 4, left) demonstrate that the retrievals that include AERI
455 data have markedly smaller biases than the retrievals that did not. The inclusion of the nDIAL
456 observations with the AERI (i.e., AERI+nDIAL, AERI+MWRz+nDIAL) did not markedly change the
457 bias relative to the AERI-only and AERI+MWRz. However, for the retrievals that uses the MWR
458 data and not the AERI, the inclusion of the nDIAL data did result in smaller temperature biases
459 above approximately 1 km.

460 The water vapor mixing ratio bias profiles (Fig 4, right) illustrate the MWRz-only and MWRe-
461 only profiles had markedly larger magnitudes than the retrievals that included AERI data.
462 Including nDIAL data into these MWR-based retrievals (i.e., the MWRz+nDIAL and
463 MWRe+nDIAL) resulted in smaller mixing ratio biases above 500 m (recall the nDIAL data below
464 500 m were not used in this analysis due to known systematic issues), but that the water vapor

Formatted: Heading 2, Indent: First line: 0"

465 bias below 600 m was largely unchanged. Similarly, including the nDIAL data into the AERI-
466 based retrievals also reduced the size of the water vapor bias above 1 km, although the impact
467 of this additional dataset was smaller because the accuracy in the water vapor retrievals above
468 1 km is better for AERI-only retrievals relative to MWRz-only and MWRe-only retrievals.
469

470 **4.4. Comparing mean cDFS profiles**

471 The optimal estimation framework used in this study uses the *a priori* to help constrain the
472 ill-posed retrieval, thereby allowing the algorithm to converge to a realistic solution more
473 frequently. Looking at the DFS profile, especially when summed with altitude from the surface
474 (called here the cumulative DFS profile), enables one to understand where the independent
475 data in the observations are located vertically. Figure 5 shows the mean cumulative DFS
476 profiles for the different retrievals; mean values at three specific heights are provided in Table
477 3.

478 There are several important features in this figure. First, adding the elevation scanning data
479 to the MWR retrieval (i.e., comparing the MWRz-only vs. MWRzo-only) increases the total DFS
480 for temperature at 3 km by 0.4 (from 2.15 to 2.57), with almost all of this increase in the first
481 500 m. [Note, however, that we have only used a single elevation angle to the MWRzo (Table
482 1), and the inclusions of additional elevation angles would result in a slight increase the cDFS for
483 temperature.] The AERI-only temperature retrieval has more information (3.87) in the lowest
484 500 m than the MWRzo-only retrieval has in the lowest 3 km (2.57). Most of the information in
485 the temperature retrievals is below 1.5 km, as the cDFS profiles become relatively constant
486 above that level; this suggests that these passive-only and active+passive temperature
487 retrievals will have limited ability to retrieve the structure of the temperature profile above
488 that height.

489 The passive-only retrievals of water vapor show less total DFS (using the value at 3 km
490 height) during Perdigao relative to datasets at other field campaigns (e.g., Turner and Löhnert
491 2014; Blumberg et al. 2015). This is likely due to the spread in the covariance of the prior,
492 because if the prior had (hypothetically) negligible spread then the derived information content
493 from the observations would be vanishingly small. Nonetheless, we can still use this prior to
494 demonstrate how the addition of the DIAL data to the retrievals changes the information
495 content. The cDFS profiles for the water vapor retrievals clearly show the impact of including
496 the nDIAL data above 500 m, as the cDFS profiles for the active+passive retrievals are markedly
497 larger above that height than the passive-only retrievals (i.e., with values between 6 to 7
498 compared to 2 to 3 at 3 km). The additional information on water vapor in the AERI below 500
499 m relative to the MWR is clearly seen. However, the lidar does not always provide data to the
500 same altitude and its noise levels can depend on atmospheric conditions (e.g., if there is a cloud
501 above the lidar or not), and thus the spread in the cumulative DFS profiles was quite large (e.g.,
502 from 2.0 to 9.4 for the MWRz+nDIAL at 3 km height; Table 3).

503 **4.5. Impact of clouds**

504 One of the often-stated advantages of MWR-based retrievals, relative to infrared-based
505 retrievals, is the ability to profile through clouds because the optical thickness of the cloud is
506 markedly smaller in the microwave relative to the infrared for a given liquid water path (LWP).

Deleted: 4

508 Figure 6 shows cDFS profiles from the MWRz-only and AERI-only temperature and water vapor
509 retrievals during a 2h period when the sky transitioned from virtually clear sky to overcast.
510 Three profiles with different LWP amounts (2, 10, and 60 g m⁻², where the infrared is essentially
511 opaque for the last – Turner 2007) are shown. The cloud base was at 1100 m and was assumed
512 to be 100 m thick (there was no way to determine cloud top from other observations at the
513 site). First, notice that as the cloud becomes optically thicker, the retrievals have more
514 information about the temperature at cloud base. Second, the cloud becomes opaque in the
515 infrared quickly, hence the cumulative DFS profile becomes essentially constant (especially for
516 water vapor) above the cloud as the LWP values approach 60 g m⁻². Meanwhile, the cloud is
517 semi-transparent in the microwave for all LWP values, which is seen by the increasing cDFS
518 profile (especially for water vapor) above the cloud. However, there is still only a small amount
519 of information in the observations at heights above 1 km in the MWR (see left-hand panel of Fig
520 5), and thus the increase in the information content in the MWR retrieval above the cloud is
521 relatively limited.

Deleted: 5

522 The accurate understanding of where the information exists vertically is useful in order to
523 properly assimilate these profiles into a numerical weather prediction model. There is often
524 significant level-to-level correlation in the uncertainties of profiles retrieved from passive
525 remote sensors (e.g., see Figure 10 of Turner and Blumberg 2019), and most data assimilation
526 systems are not yet configured to handle correlated error in the observations. Coniglio et al.
527 (2019) used the cDFS profile to identify the heights that should be assimilated to minimize the
528 amount of correlated error from the retrieved profiles. Starting at a specified height (e.g., 50
529 m), they identified heights where the cDFS had increased by 1 above that height, and this
530 process continued until they either were unable to identify any other points or had reached the
531 maximum height that they wanted to assimilate. This is illustrated by the dots on the profiles in
532 Fig 6, with the first height taken at 50 m. For the AERI-retrieved profiles, three levels would be
533 assimilated below the cloud with an additional level at cloud base or just above; the height of
534 all of the temperature levels is pretty consistent for these three profiles. For the MWR, only
535 two levels would be assimilated due to the lower information content in the microwave
536 observations, with the height of the second point changing dramatically due to how the cloud
537 influences the vertical distribution of the DFS profile. Again, we remind the reader that the
538 total DFS seen in this example is lower than that seen using this same retrieval framework in
539 other field campaigns; we attribute this to the lack of spread in the *a priori* dataset used at
540 Perdigao.

Deleted: 4

Deleted: 5

541 4.6. Sensitivity to the nDIAL vs. vDIAL

542 The impact of adding any new observation depends partially on its error covariance matrix,
543 as observations with larger uncertainties will add less information to the retrieved profile than
544 observations with smaller uncertainties. For many lidars, coadding photon counting data in
545 either time or altitude reduces the random errors, and thus would increase the information
546 content and impact of using these lidar data in retrievals such as these. However, other
547 features of the observations are also important. For example, during Perdigao, the lowest
548 range gate that was considered useful from the nDIAL was at 500 m; data below that level
549 suffered from systematic errors associated with the overlap function of the lidar (S. Spuler,
550 personal communication). However, the vDIAL was designed to make good measurements at

554 50 m above the surface, although generally speaking its maximum range is much less (order 1
555 km; Newsom et al. 2020) than the nDIAL system (which frequently makes good water vapor
556 measurements to altitudes well above 2 km). A natural question is how would the results
557 already shown change if the vDIAL system was used instead of the nDIAL?

558 Unfortunately, this isn't straight-forward to answer as the vDIAL was not collocated with the
559 other Perdigao instruments. Instead, we use the 6-week deployment of the vDIAL at the ARM
560 SGP site (Newsom et al. 2020), which has an AERI with similar noise characteristics as the AERI
561 deployed at Perdigao, as a surrogate. However, different *a priori* datasets were used for the
562 retrievals at the two sites, which impacts the retrievals and hence the analysis. To help adjust
563 for the contribution of the two priors, we performed AERI-only retrievals and AERI+vDIAL
564 retrievals at the SGP so that we could look at the difference between the two, and compare
565 that to the difference between the AERI-only and AERI+nDIAL retrievals at Perdigao (Figure 7).

566 The impact of the vDIAL data on the water vapor retrieval is most significant between 300
567 and 1500 m and reaches relative values of up to 50% uncertainty reduction compared to the
568 AERI-only retrieval. Above 1500 m, the AERI+vDIAL WVMR uncertainties increase quickly with
569 height and approach the AERI-only uncertainties at 3 km. The AERI+nDIAL uncertainties are
570 very similar to the AERI-only below 500 m (because the nDIAL data is not available at those
571 levels), but are approximately 2x smaller than the AERI-only for all height between 500 m and 3
572 km. Further, the change in the cDFS between 500 m and 3 km is larger for the nDIAL system
573 relative to the vDIAL (Table 3). Thus, the ability of the nDIAL to see deeper into the troposphere
574 than the vDIAL is clearly shown. Interestingly, the water vapor uncertainty in the AERI+vDIAL is
575 smaller than the AERI+nDIAL in the 500 to 900 m range; however, this could easily be changed
576 by adjusting how the DIAL data were coadded in the nDIAL (which had 1-min temporal
577 resolution relative to the 20-min temporal resolution of the vDIAL – see Table 1).

578 Perhaps most noteworthy is the relative impact of the two DIALs on the retrieved
579 temperature profile. The addition of the vDIAL data has almost no impact on the uncertainty or
580 the cDFS profile relative to the AERI-only (Fig 7, Tables 2 and 3), whereas the nDIAL has a
581 marked impact on the retrieved temperature profile in the range from 500 m to 2.5 km with an
582 reduction of the uncertainty of up to 0.25 K compared to the AERI-only retrieval. Here, the
583 instrument synergy is obtained through a more exact determination of the water vapor profile
584 by the nDIAL, which enables the AERI to reach a higher DFS value for temperature.

585 5. Conclusions

586 Many applications require profiles of temperature and humidity in the PBL. However, the
587 accuracy and information content from different ground-based remote sensing instruments is
588 not the same. Previous work (e.g., Löhnert et al. 2009; Blumberg et al. 2015) demonstrated
589 that there is more information content in both temperature and water vapor from spectral
590 infrared measurements (such as made by the AERI) than in spectral microwave radiometer
591 measurements. These results depend strongly on the characteristics of the instrument systems
592 being used; for example, if future generation MWRs are improved to have smaller random
593 errors, then the information content in the observations would increase. The on-line python
594 modules provided by Maahn et al. (2020) can be used to explore how the information content
595 would change for different assumed random error levels in the MWR.

Deleted: 6

Deleted: 6

598 This study investigated the impact of ground-based sensor synergy for PBL thermodynamic
599 profiling, and in particular, how the information content and random errors would change if an
600 active remote sensor such as a water vapor DIAL was included into the retrieval. An open
601 question going into this research was whether the inclusion of the water vapor DIAL
602 observations with MWR radiance observations would have the same information content as
603 retrievals that used the DIAL with the AERI observations. An important aspect of this study is
604 that the same *a priori* data and retrieval framework were used for all of the different retrievals
605 shown in this paper, which is crucial to truly quantify the differences as different retrieval
606 frameworks can result in markedly different retrievals (Maahn et al. 2020). Furthermore, the
607 2017 NASA Decadal Survey recommended an increased focus on thermodynamic profiling of
608 the atmospheric boundary layer from space (National Academies 2018), and coupling passive
609 microwave and infrared with active DIAL remote sensing is one possible solution. We have
610 shown that including the DIAL data increases the water vapor information content and reduces
611 water vapor errors in both the AERI+DIAL and MWR+DIAL retrievals, relative to the passive-only
612 retrievals. However, the AERI+DIAL continues to have more information on water vapor than
613 the MWR+DIAL. The best retrieval performance is observed when all three instruments are
614 combined in one retrieval. Improvements are shown that decrease the uncertainty by 50%
615 compared to passive-only retrievals between 1 and 2 km. At Perdigao, the AERI is shown to
616 dominate retrieval accuracy in the lowest 500 m, from 500 m to 2 km it is the DIAL that
617 primarily determines the accuracy, and above 2 km the three instruments complement each
618 other optimally to obtain the best solution. Furthermore, the addition of the water vapor DIAL
619 observations (slightly) improves the information content in temperature retrievals from the
620 AERI+DIAL, but has no impact on the temperature profiles for the MWR+DIAL.

621 Passive ground-based remote sensors are relatively common, as these technologies are
622 more mature, have been commercially available for several decades, and have been operated
623 in networks (e.g., Caumont et al. 2016; Geerts et al. 2017; Yang and Min 2018). The recent
624 advances in water vapor DIAL (e.g., Spuler et al. 2015; Newsom et al. 2020) are leading to the
625 possibility that the two DIALs used in this study could be commercially available in the next
626 several years, which is why they formed the focus of this study. There are other
627 thermodynamic profiling active remote sensors that could be combined with MWRs and AERIs:
628 for example, Raman lidar and Radio Acoustic Sounding Systems (RASS). Studies have been
629 conducted combining Raman lidar with both MWR data (e.g., Barrera-Verdejo et al 2016; Foth
630 and Pospichal 2017) and AERI data (e.g., Turner and Blumberg 2019); however, these studies
631 were in different environments using different *a priori* datasets, which makes quantitatively
632 comparing their accuracy and information content problematic. There are currently efforts
633 underway to evaluate the impact of RASS virtual temperature profile observations on both AERI
634 and MWR observations. Developing improved synergistic retrievals and sensor synergy are the
635 goals of many groups, including the PROFiling of the Atmospheric Boundary layer at European
636 scale (PROBE; Cimini et al. 2020).

637 Sensor synergy does not have to just involve ground-based sensors. Ground-based MWR
638 and AERI observations can also be combined with satellite observations to improve information
639 content and accuracy, especially in the middle- and upper troposphere. Feltz et al. (2003)
640 showed the impact on AERI retrievals and how these improved profiles could be used for
641 evaluating thermodynamic structure near storms, while Ebell et al. (2013) performed a more

Deleted: s

643 classical information content study. Additional efforts (e.g., such as Toprov and Löhnert 2020)
644 are needed, which show the impact of the high-temporal and high-spectral resolution
645 geostationary infrared sounders with ground-based remote sensing systems and the impact on
646 stability indices and other parameters.

647 It is possible that readers will consider this study as a suggestion about the optimal ground-
648 based solution for thermodynamic profiling, especially for future operational networks. This
649 paper provides insights into only one aspect of the cost-benefit solution (i.e., the relative
650 differences of information content); considerations as to ease of use, durability and hardness,
651 calibration stability, and other scientific traits (e.g., does the instrument provide information on
652 macro- or microphysical cloud properties, aerosol properties, trace gases, etc.) also need to be
653 considered.
654

655 Acknowledgments

656 This research was supported in part by the Department of Energy's Atmospheric System
657 Research (ASR) program (DE-SC0014375 and 89243019SSC000034) and NOAA's Atmospheric
658 Science for Renewable Energy program. We thank the groups that helped to collect the two
659 primary datasets from the Perdigao field campaign in used in this paper: Petra Klein, Elizabeth
660 Smith, Josh Gebauer, and Tyler Bell at the University of Oklahoma; and Scott Spuler, Matt
661 Hayman, and Tammy Weckwerth at the National Center for Atmospheric Research.
662 Additionally, we thank Raisa Lehtinen, Reijo Roininen, and Christoph Münkel at Vaisala and Rob
663 Newsom at Pacific Northwest National Laboratory for the collection of the DIAL dataset at the
664 SGP site. This article supports activities associated with COST (European Cooperation in Science
665 and Technology) Action CA18235 "PROBE" (<http://www.probe-cost.eu>). We would like to
666 thank Jason English for constructive comments on an earlier version of this manuscript. This
667 paper does not imply endorsement for any particular instrument, nor reflect the views or
668 official position of NOAA or the U.S. government.

669 References

- 670 Barrera-Verdejo, M., S. Crewell, U. Löhnert, E. Orlandi, and P. Di Girolamo, 2016: Ground-based
671 lidar and microwave radiometry synergy for high vertical resolution absolute humidity
672 profiling. *Atmos. Meas. Techniq.*, **9**, 4013-4028, doi:10.5194/amt-9-4013-2016.
- 673 Bluestein, H.B., Z.B. Wienhoff, D.D. Turner, D.W. Reif, J.C. Snyder, K.J. Thiem, and J.B. Houser,
674 2017: A comparison of the fine-scale structures of a prefrontal wind-shift line and a
675 strong cold front in the Southern Plains of the U.S. *Mon. Wea. Rev.*, **145**, 3307-3330,
676 doi:10.1175/MWR-D-16-0403.1.
- 677 Blumberg, W.G., D.D. Tuner, U. Löhnert, and S. Castleberry, 2015: Ground based temperature
678 and humidity profiling using spectral infrared and microwave observations. Part II:
679 Actual retrieval performance in clear-sky and cloudy conditions. *J. Appl. Meteor.*
680 *Climatol.*, **54**, 2305 – 2319.
- 681 Caumont, O., D. Cimini, U. Löhnert, L. Alados-Arboledas, R. Bleisch, F. Buffa, M.E. Ferrario, A.
682 Haefele, T. Huet, F. Madonna, and G. Pace, 2016: Assimilation of humidity and

683 temperature observations retrieved from ground-based microwave radiometers into a
684 convective-scale NWP model. *Q. J. Roy. Meteor. Soc.*, doi:10.1002/qj.2860.

685 Cimini, D., E. Campos, R. Ware, S. Albers, G. Giuliani, J. Oreamuno, P. Joe, S.E. Koch, S. Cober,
686 and E. Westwater, 2011: Thermodynamic atmospheric profiling during the 2010 winter
687 Olympics using ground-based microwave radiometry. *IEEE Trans. Geosci. Remote Sens.*,
688 49, 4959-4969, doi:10.1109/TGRS.2011.2154337.

689 Cimini, D., M. Nelson, J. Güldner, and R. Ware, 2015: Forecast indices from a ground-based
690 microwave radiometer for operational meteorology. *Atmos. Meas. Tech.*, 8, 315-333,
691 doi:10.5194/amt-8-315-2015.

692 Cimini, D., P.W. Rosenkranz, M.Y. Tretyakov, M.A. Koshelev, and F. Ramano, 2018: Uncertainty
693 of atmospheric absorption model: Impact on ground-based radiometer simulations and
694 retrievals. *Atmos. Chem. Phys.*, 18, 15231-15259, doi:10.5194/acp-18-15231-2018.

695 [Cimini, D., M. Haeffelin, S. Kotthaus, U. Löhnert, P. Martinet, E. O'Connor, C. Walden, M. Coen,
696 and J. Preissler, 2020: Towards the profiling of the atmospheric boundary layer at
697 European scale—introducing the COST action PROBE. *Bull. Atmos. Sci. Tech.*, 1, 23-42,
698 doi:10.1007/s42865-020-00003-8.](#)

699 Clough, S.A., M.W. Shephard, E.J. Mlawer, J.S. Delamere, M.J. Iacono, K. Cady-Pereira, S.
700 Boukabara, and P.D. Brown, 2005: Atmospheric radiative transfer modeling: A summary
701 of the AER codes. *J. Quant. Spectrosc. Radiative Trans.*, 91, 233-244,
702 doi:10.1016/j.jqsrt.2004.05.058.

703 Coniglio, M.C., G.S. Romine, D.D. Turner, and R.D. Torn, 2019: Impacts of targeted AERI and
704 Doppler lidar wind retrievals on short-term forecasts of the initiation and early evolution
705 of thunderstorms. *Month. Wea. Rev.*, 147, 1149-1170, doi:10.1175/MWR-D-0351.1

706 Crewell, S., and U. Löhnert, 2007: Accuracy of boundary layer temperature profiles retrieved
707 with multi-frequency, multi-angle microwave radiometry, *IEEE Trans. Geosci. Remote
708 Sens.*, 45(7), 2195–2201.

709 Dabberdt, W.F., and coauthors, 2005: Multifunction mesoscale observing networks. *Bull. Amer.
710 Meteor. Soc.*, 86, 961-982, doi:10.1175/BAMS-86-7-961.

711 De Angelis, F., D. Cimini, U. Löhnert, O. Caumont, A. Haeefe, B. Pospichal, P. Martinet, F. Navas-
712 Guzman, H. Klein-Baltink, J.-C. Dupont, and J. Hocking, 2017: Long-term observations minus
713 background monitoring of ground-based brightness temperatures from a microwave
714 radiometer network. *Atmos. Meas. Tech.*, 10, 3947-3961, doi:10.5194/amt-10-3947-2017.

715 Degelia, S.K., X. Wang, and D.J. Stensrud, 2019: An evaluation of the impact of assimilating AERI
716 retrievals, kinematic profilers, rawinsondes, and surface observations on a forecast of
717 nocturnal convection initiation event during the PECAN field campaign. *Month. Wea.
718 Rev.*, in press.

719 Ebell, K., E. Orlandi, A. Hünerbein, U. Löhnert, and S. Crewell, 2013: Combining ground-based
720 with satellite-based measurements in the atmospheric state retrieval: Assessment of
721 the information content, *J. Geophys. Res. Atmos.*, 118, 6940–6956,
722 doi:10.1002/jgrd.50548.

723 Feltz, W. F., W.L. Smith, H.B. Howell, R.O. Knuteson, H. Woolf, and H.E. Revercomb, 2003: Near-
724 continuous profiling of temperature, moisture, and atmospheric stability using the
725 Atmospheric Emitted Radiance Interferometer (AERI). *J. Appl. Meteor*, 42, 584-597.

726 Fernando, H.J., and 48 coauthors (including D.D. Turner), 2019: The Perdigao: Peering into
727 microscale details of mountain winds. *Bull. Amer. Meteor. Soc.*, **100**, 799-819,
728 doi:10.1175/BAMS-D-17-0227.1.

729 Foth, A., and B. Pospichal, 2017: Optimal estimation of water vapour profiles using a
730 combination of Raman lidar and microwave radiometer. *Atmos. Meas. Tech.*, **10**, 3325-
731 3344, doi:10.5194/amt-10-3325-2017.

732 Geerts, B., and coauthors, 2017: The 2015 Plains Elevated Convection At Night field project.
733 *Bull. Amer. Meteor. Soc.*, **98**, 767 – 786.

734 Grasmick, C., B. Geerts, D.D. Turner, Z. Wang, and T.M. Weckwerth, 2018: The relation between
735 nocturnal MCS evolution and its outflow boundaries in the stable boundary layer: An
736 observational study of the 15 July 2015 MCS in PECAN. *Month. Wea. Review*, **146**, 3203-
737 3226, doi:10.1175/MWR-D-18-0169.1

738 Hu, J., N. Yussouf, D.D. Turner, T.A. Jones, and X. Wang, 2019: Impact of ground-based remote
739 sensing boundary layer observations on short-term probabilistic forecasts of a tornadic
740 supercell event. *Wea. Forecasting*, **34**, 1453-1476, doi:10.1175/WAF-D-18-0200.1.

741 Johnson, A., X. Wang, K. Haghi, and D.B. Parsons, 2018: Evaluation of forecasts of a convectively
742 generated bore using an intensively observed case study from PECAN. *Month. Wea.*
743 *Rev.*, **146**, 3097-3122, doi:10.1175/MWR-D-18-0059.1

744 Knuteson, R. O., and coauthors, 2004a: Atmospheric Emitted Radiance Interferometer. Part I:
745 Instrument design. *J. Atmos. Oceanic Technol.*, **21**, 1763-1776.

746 Knuteson, R. O., and coauthors, 2004b: Atmospheric Emitted Radiance Interferometer. Part II:
747 Instrument performance. *J. Atmos. Oceanic Technol.*, **21**, 1777-1789.

748 Loveless, D.M., T.J. Wagner, D.D. Turner, S.A. Ackerman, and W.F. Feltz, 2019: A composite
749 perspective on bore passages during the PECAN campaign. *Month. Wea. Rev.*, **147**,
750 1395-1413, doi:10.1175/MWR-D-18-0291.1.

751 Löhnert, U., D.D. Turner, and S. Crewell, 2009: Ground-based temperature and humidity
752 profiling using spectral infrared and microwave observations. Part 1: Simulated retrieval
753 performance in clear sky conditions. *J. Appl. Meteor. Clim.*, **48**, 1017-1032,
754 doi:10.1175/2008JAMC2060.1

755 Löhnert, U., and O. Maier, 2012: Operational profiling of temperature using ground-based
756 microwave radiometry at Payerne: Prospects and challenges. *Atmos. Meas. Techniq.*, **5**,
757 1121-1134, doi:10.5194/amt-5-1121-2012.

758 Maahn, M., D.D. Turner, U. Löhnert, D.J. Posselt, K. Ebell, G.G. Mace, and J.M. Comstock,
759 2020: [Optimal estimation retrievals and their uncertainties: What every atmospheric](#)
760 [scientist should know. *Bull. Amer. Meteor. Soc.*, **101**, E1512-1523, doi:10.1175/BAMS-D-](#)
761 [19-0027.1.](#)

762 Mlawer, E.J., and D.D. Turner, 2016: Spectral radiation measurements and analysis in the ARM
763 program. *The Atmospheric Radiation Measurement Program: The First 20 Years*.
764 Meteor. Monograph, 57, Amer. Meteor. Soc., 14.1-14.17,
765 doi:10.1175/AMSMONOGRAPH5-D-15-0027.1

766 Mueller, D., B. Geerts, Z. Wang, M. Deng, and C. Grasmick, 2017: Evolution and vertical
767 structure of an undular bore observed on 20 June 2015 during PECAN. *Month. Wea.*
768 *Rev.*, **145**, 3375-3794, doi:10.1175/MWR-D-16-0305.1

769 [National Academies, 2018: Thriving on our changing planet: A decadal strategy for Earth](#)

Deleted: R

Deleted: accepted

772 [observation from space. National Academies Press, doi:10.17226/24938, available from](https://doi.org/10.17226/24938)
773 <http://nap.edu/24938>.

774 National Research Council (NRC) Committee on Developing Mesoscale Meteorological
775 Observational Capabilities to Meet Multiple National Needs, 2009: Observing Weather
776 and Climate from the Ground Up: A Nationwide Network of Networks. National
777 Academies Press, 234 pp.

778 Nehrir, A.R., K.S. Rapasky, and J.L. Carlsten, 2012: Micropulse water vapor differential
779 absorption lidar: Transmitter design and performance. *Opt. Express*, **20**, 137-151.

780 Newsom, R.K., D.D. Turner, R. Lehtinen, C. Muenkel, J. Kallio, and R. Roininen, 2020: Evaluation
781 of a compact broadband differential absorption lidar for routine water vapor profiling in
782 the atmospheric boundary layer. *J. Atmos. Oceanic Technol.*, **37**, 47-65,
783 doi:10.1175/JTECH-D-18-0102.1.

784 Payne, V.H., E.J. Mlawer, K.E. Cady-Pereira, and J.-L. Moncet, 2011: Water vapor continuum
785 absorption in the microwave. *IEEE Trans. Geosci. Remote Sens.*, **49**, 2194-2208,
786 doi:10.1109/TGRS.2010.2091416.

787 Revercomb, H.E., H. Buijs, H.B. Howell, D.D. LaPorte, W.L. Smith, and L.A. Sromovsky, 1988:
788 Radiometric calibration of IR Fourier transform spectrometers: Solution to a problem
789 with the high-resolution interferometer sounder. *Appl. Opt.*, **27**, 3210-3218.

790 Rodgers, C.D., 2000: *Inverse Methods for Atmospheric Sounding: Theory and Practice*. Series on
791 Atmospheric, Oceanic, and Planetary Physics, Vol. 2, World Scientific, 238 pp.

792 Rose, T., S. Crewell, U. Löhnert, and C. Simmer, 2005: A network suitable microwave radiometer
793 for operational monitoring of the cloudy atmosphere. *Atmos. Res.*, **75**, 183-200,
794 doi:10.1016/j.atmosres.2004.12.005.

795 Sica, R.J., and A. Haeefe, 2016: Retrieval of water vapor mixing ratio from a multiple channel
796 Raman-scatter lidar using an optimal estimation method. *Appl. Opt.*, **55**, 763-777,
797 doi:10.1364/AO.55.000763.

798 Sisterson, D.L., R.A. Pepler, T.S. Cress, P.J. Lamb, and D.D. Turner, 2016: The ARM Southern
799 Great Plains (SGP) site. *The Atmospheric Radiation Measurement Program: The First 20*
800 *Years*, Meteor. Monograph. Amer. Meteor. Soc. **57**, 6.1-6.14,
801 DOI:10.1175/AMSMONOGRAPH-D-16-0004.1.

802 Spuler, S. M., K.S. Repasky, B. Morley, D. Moen, M. Hayman, and A.R. Nehrir, 2015: Field-
803 deployable diode-laser-based differential absorption lidar (DIAL) for profiling water
804 vapor. *Atmos. Meas. Tech.* **8**, 1073-1087, DOI:10.5194/AMT-8-1073-2015.

805 Toms, B.A., J.M. Tomaszewski, D.D. Turner, and S.E. Koch, 2017: Analysis of a lower-tropospheric
806 gravity wave train using direct and remote sensing measurement systems. *Mon. Wea.*
807 *Rev.*, **145**, 2791-2812, doi:10.1175/MWR-D-0216.1.

808 Toporov, M., and U. Löhnert, 2020: Synergy of Satellite- and Ground-Based Observations for
809 Continuous Monitoring of Atmospheric Stability, Liquid Water Path and Integrated
810 Water Vapor, *J. Appl. Meteor. Climatol.*, early-online release,
811 <https://doi.org/10.1175/JAMC-D-19-0169.1>

812 Turner, D.D., and J.E.M. Goldsmith, 1999: Twenty-Four-Hour Raman Lidar Water Vapor
813 Measurements during the Atmospheric Radiation Measurement Program's 1996 and
814 1997 Water Vapor Intensive Observation Periods. *J. Atmos. Oceanic Technol.* **16**, 1062-
815 1076.

816 Turner, D.D., 2007: Improved ground-based liquid water path retrievals using a combined
817 infrared and microwave approach. *J. Geophys. Res.*, **112**, D15204,
818 doi:10.1029/2007JD008530

819 Turner, D.D., R.O. Knuteson, H.E. Revercomb, C. Lo, and R.G. Dedecker, 2006: Noise reduction
820 of Atmospheric Emitted Radiance Interferometer (AERI) observations using principal
821 component analysis. *J. Atmos. Oceanic Technol.*, **23**, 1223-1238

822 Turner, D. D., and U. Löhnert 2014: Information content and uncertainties in thermodynamic
823 profiles and liquid cloud properties retrieved from the ground-based Atmospheric
824 Emitted Radiance Interferometer (AERI). *J. Appl. Meteor. Climatol.*, **53**, 752-771,
825 doi:10.1175/JAMC-D-13-0126.1.

826 Turner, D.D., V. Wulfmeyer, L.K. Berg, and J.H. Schween, 2014: Water vapor turbulence profiles
827 in stationary continental convective mixed layers. *J. Geophys. Res.* **119**, 1-15,
828 DOI:10.1002/2014JD022202.

829 Turner, D.D., E.J. Mlawer, and H.E. Revercomb, 2016a: Water vapor observations in the ARM
830 program. *The Atmospheric Radiation Measurement Program: The First 20 Years*.
831 Meteor. Monograph, 57, Amer. Meteor. Soc., 13.1-13.18,
832 doi:10.1175/AMSMONOGRAPHS-D-15-0025.1

833 Turner, D.D., J.E.M. Goldsmith, and R.A. Ferrare, 2016b: Development and applications of the
834 ARM Raman lidar. *The Atmospheric Radiation Measurement Program: The First 20*
835 *Years*, Meteor. Monograph. Amer. Meteor. Soc. **57**, 18.1-18.15,
836 DOI:10.1175/AMSMONOGRAPHS-D-15-0026.1.

837 Turner, D.D., and W.G. Blumberg, 2019: Improvements to the AERLoe thermodynamic profile
838 retrieval algorithm. *IEEE Selected Topics Appl. Earth Obs. Remote Sens.*, **12**, 1339-1354,
839 doi:10.1109/JSTARS.2018.2874968.

840 Wagner, T. J., W. F. Feltz, and S. A. Ackerman, 2008: The temporal evolution of convective
841 indices in storm-producing environments. *Wea. Forecasting*, **23**, 786 – 794.

842 Wagner, T.J., P.M. Klein, and D.D. Turner, 2019: A new generation of ground-based mobile
843 platforms for active and passive profiling of the boundary layer. *Bull. Amer. Meteor.*
844 *Soc.*, **100**, 137-153, doi:10.1175/BAMS-D-17-0165.1.

845 Weckwerth, T.M., K. Weber, D.D. Turner, and S.M. Spuler, 2016: Validation of a new water
846 vapor micropulse differential absorption lidar (DIAL). *J. Atmos. Oceanic Technol.* **33**,
847 2353-2372, DOI:10.1175/JTECH-D-16-0119.1.

848 Wulfmeyer, V., R.M. Hardesty, D.D. Turner, A. Behrendt, M. Cadetdu, P. Di Girolamo, P.
849 Schluessel, J. van Baelen, and F. Zus, 2015: A review of the remote sensing of lower-
850 tropospheric thermodynamic profiles and its indispensable role for the understanding
851 and simulation of water and energy cycles. *Rev. Geophys.*, **53**, 819-895,
852 doi:10.1002/2014RG000476

853 Yang, J., and Q. Min, 2018: Retrieval of atmospheric profiles in the New York State Mesonet
854 using one-dimensional variational algorithm. *J. Geophys. Res.*, doi:
855 10.1029/2018JD028272

856

857

858
859
860

Table 1:
Important specifications of the instruments used in this paper

Instrument	Specifications
MWR (HATPRO G4)	<ul style="list-style-type: none"> • 7 frequencies between 22.2 and 31.4 GHz • 7 frequencies between 51.2 and 58.0 GHz • Off-zenith data collected at elevations of 18° and 162° • 1-s sky average, with elevation scans performed every 5 min; retrieval used single spectrum (both zenith and off-zenith) at desired time (e.g., close to sonde launch time) • Reference: Rose et al. 2005
AERI	<ul style="list-style-type: none"> • 324 wavenumbers in these intervals: 612-618, 624-660, 674-713, 713-722, 538-588, 860.1-864.0, 872.2-877.5, 898.2-905.4 cm⁻¹ • 15-s sky average every 30-s; retrieval used single spectrum at desired time (e.g., close to sonde launch time) • Principal component noise filter used to reduce random error (Turner et al. 2006) • Reference: Knuteson et al. 2004 a,b
nDIAL	<ul style="list-style-type: none"> • Narrowband DIAL, transmitting at 830 nm • Temporal resolution: 1-min • Vertical resolution: 75-m • Minimum height: 500 m; Maximum height was approx. 3 km (typical) • Telescope receiver area (far field): 935 cm² • Average transmitted pulse power: 5 μJ pulses at 9 kHz (45 mW) • Reference: Spuler et al. 2015; Weckwerth et al. 2016
vDIAL	<ul style="list-style-type: none"> • Broadband DIAL, transmitting at 911 nm • Temporal resolution: 20-min • Vertical resolution: variable from 100 m at 100 m AGL to 200 m at 1 km • Minimum height: 50 m; Maximum height was approx. 1 km (typical) • Telescope receiver area (far field): 615 cm² • Average transmitted pulse power: 5.5 μJ pulses at 8 kHz (44 mW) • Reference: Newsom et al. 2020

861
862
863

864
865
866
867
868
869

Table 2: Average uncertainty values (derived from S_{op}) at three levels for temperature and humidity for the different instrument combinations used in this study. The passive-only retrievals are highlighted in gray, whereas the active+passive are in white. The values in parentheses at 3 km show the 10th and 90th percentile at that height, thereby providing a measure of the amount of variability in these statistics for each retrieval.

	Temperature Uncertainty [°C]			Water Vapor Uncertainty [g kg ⁻¹]		
	500 m	1000 m	3000 m	500 m	1000 m	3000 m
MWRz-only	1.1	1.6	1.4 (1.3,1.4)	1.1	1.4	0.9 (0.8,0.9)
MWRzo-only	1.1	1.5	1.4 (1.3,1.4)	1.1	1.3	0.9 (0.8,0.9)
AERI-only	0.6	0.9	1.0 (0.9,1.2)	0.7	1.0	1.0 (0.8,1.1)
AERI+MWRz	0.6	0.9	0.9 (0.8,1.3)	0.7	1.0	0.7 (0.6,0.8)
MWRz+nDIAL	1.0	1.4	1.3 (1.3,1.4)	0.7	0.7	0.7 (0.5,0.9)
MWRzo+nDIAL	1.0	1.3	1.3 (1.3,1.4)	0.7	0.7	0.7 (0.5,0.8)
AERI+nDIAL	0.5	0.8	0.9 (0.8,1.2)	0.6	0.6	0.7 (0.5,1.1)
AERI+MWRz+nDIAL	0.5	0.8	0.9 (0.8,1.2)	0.6	0.6	0.6 (0.4,0.8)
AERI-only (SGP)	0.4	0.6	1.0 (0.8,1.4)	0.7	1.0	1.8 (0.9,1.5)
AERI+vDIAL (SGP)	0.4	0.6	1.0 (0.8,1.4)	0.4	0.7	1.1 (0.8,1.4)

870
871
872
873
874
875

Table 3: Average cDFS values at three levels for temperature and humidity for the different instrument combinations used in this study. The passive-only retrievals are highlighted in gray, whereas the active+passive are in white. The values in parentheses at 3 km show the 10th and 90th percentile at that height, thereby providing a measure of the amount of variability in these statistics for each retrieval.

	Temperature cDFS value [unitless]			Water vapor cDFS value [unitless]		
	500 m	1000 m	3000 m	500 m	1000 m	3000 m
MWRz-only	1.5	1.8	2.2 (2.1,2.2)	0.9	1.1	1.9 (1.7,2.0)
MWRzo-only	1.9	2.2	2.6 (2.6,2.6)	0.9	1.1	1.9 (1.7,2.0)
AERI-only	3.9	4.6	5.5 (5.0,5.7)	1.5	1.8	2.7 (1.9,3.4)
AERI+MWRz	3.9	4.6	5.6 (5.2,5.7)	1.5	2.0	3.2 (2.7,3.8)
MWRz+nDIAL	1.5	1.8	2.2 (2.1,2.2)	1.1	2.6	6.2 (2.0,9.4)
MWRzo+nDIAL	1.8	2.2	2.6 (2.5,2.6)	1.1	2.6	6.2 (2.0,9.4)
AERI+nDIAL	3.9	4.5	5.5 (5.3,5.6)	1.7	3.3	7.0 (2.8,10.1)
AERI+MWRz+nDIAL	3.9	4.5	5.5 (5.3,5.6)	1.7	3.3	7.2 (3.2,10.2)
AERI-only (SGP)	4.8	5.5	6.6 (5.4,7.2)	1.7	2.1	3.0 (1.9,3.8)
AERI+vDIAL (SGP)	4.8	5.5	6.6 (5.5,7.1)	2.5	4.2	5.5 (2.4,8.4)

881
882
883

884 **Figures:**
885

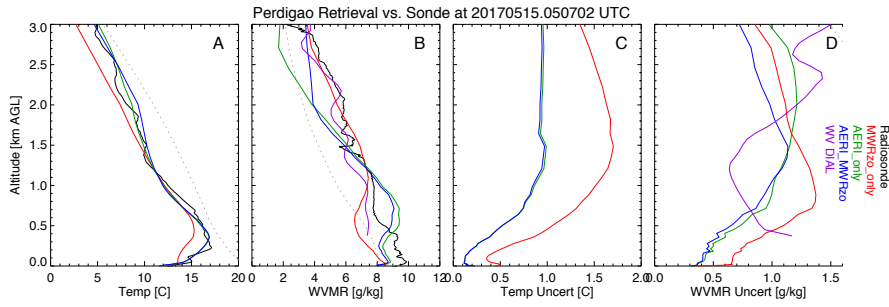


Fig 1: The retrieved profiles of temperature (A) and water vapor (B), with the uncertainties in these profiles (panels C and D, respectively), for the passive-only retrievals with the MWRzo only (red), AERI only (green), and AERI+MWRzo (blue) on 05:07 UTC on 15 May 2017 during Perdigo. The collocated radiosonde temperature and water vapor profiles are shown in black in (A) and (B), respectively. The water vapor observed by the DIAL and its uncertainty are included in the figure, although it is not used in any of these retrievals. The dotted black lines in A and B are the mean prior profiles.

886
887
888
889
890
891
892

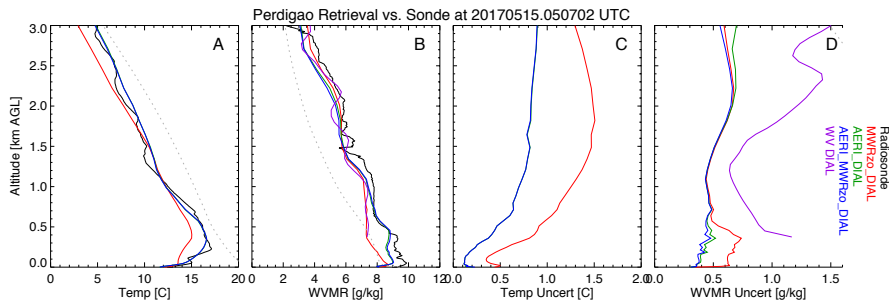


Fig 2: Same as Fig 1, except that the retrievals combine active and passive data with the MWRzo+DIAL (red), AERI+DIAL (green), and AERI+MWRzo+DIAL (blue). The water vapor observed by the DIAL and its uncertainty are included in the retrievals. See text for more details.

893

894
895

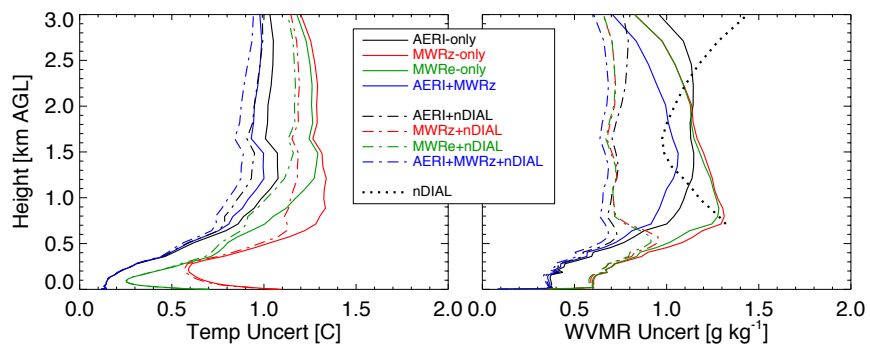


Fig 3: The mean uncertainty in temperature (left) and water vapor mixing ratio (right) for passive-only (solid lines) and active+passive (broken lines) retrievals during Perdigao. The black dotted line is the mean uncertainty from the nDIAL.

896
897
898
899
900
901
902
903

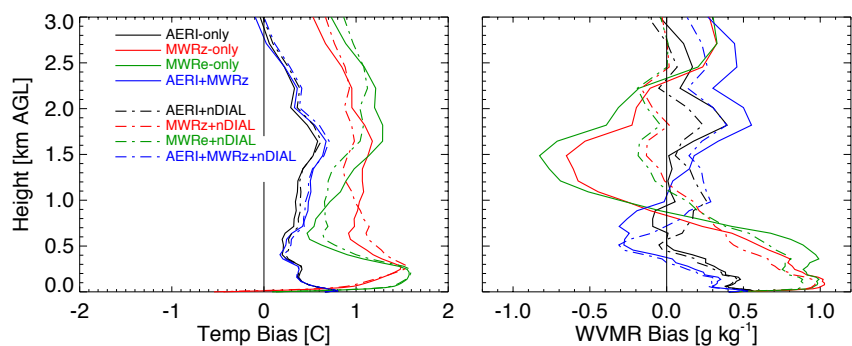


Fig 4: The bias in temperature (left) and water vapor mixing ratio (right) for passive-only (solid lines) and active+passive (broken lines) retrievals relative to radiosondes during Perdigao.

904

905
906
907
908

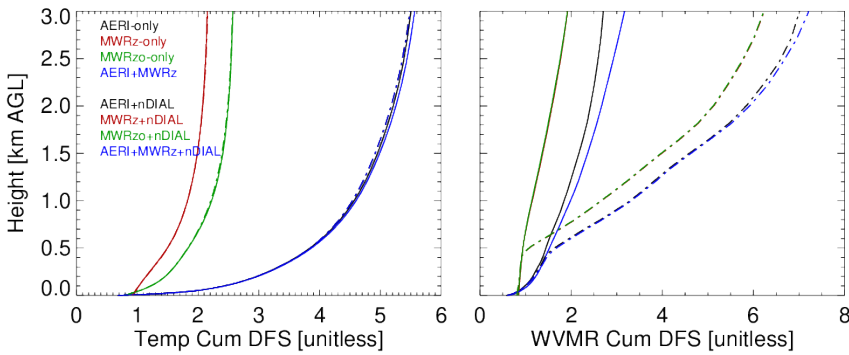


Fig 5: The mean cumulative degrees of DFS for temperature (left) and water vapor mixing ratio (right) for passive-only (solid lines) and active+passive (broken lines) retrievals during Perdigao. Note that the water vapor cumulative DFS profiles for MWRz and MWRzo retrievals are virtually identical (see Table 3) and hence overlap.

Deleted: 4

909
910
911
912
913

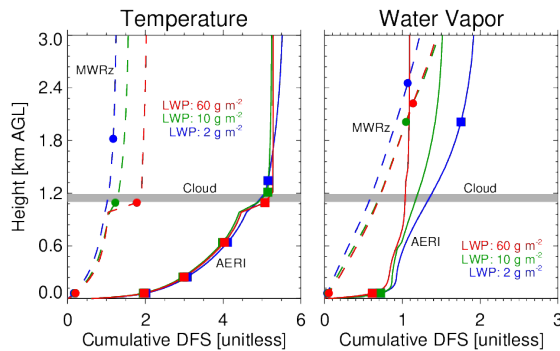


Fig 6: Profiles of cumulative degrees of freedom of signal from MWRz-only (dashed curves with dots) and AERI-only (solid curves with squares) temperature (left) and water vapor (right) retrievals for three samples between 03:00 and 05:00 UTC on 27 May 2017 during Perdigao. The different colors correspond to different LWP path values in the overhead cloud, whose height is indicated with the horizontal gray bar. The solid symbols indicate

Deleted: 5

heights that would be assimilated, if the first level started at 50 m AGL and each level was separated by a unit of DFS. See the text for more details.

916
917
918

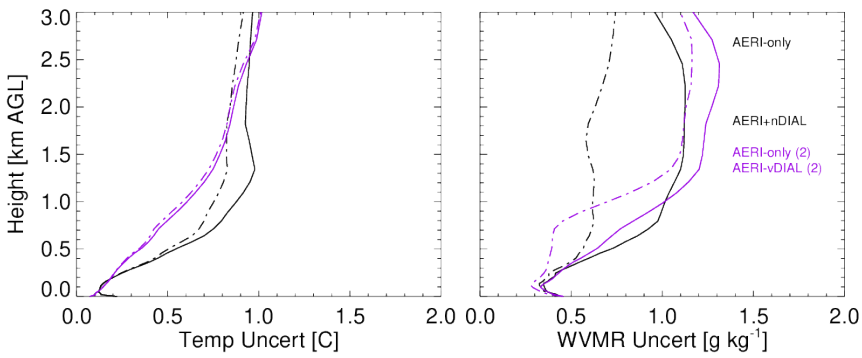


Fig 7: The mean uncertainty in temperature (left) and water vapor mixing ratio (right) for AERI-only (solid lines) and AERI+xDIAL (broken lines) retrievals during Perdigao (black) and SGP (purple), where the former used nDIAL data and the latter used vDIAL data. Note that different priors were used for the two locations; this impact is seen in the AERI-only retrievals as the noise levels of the two AERIs were similar.

919

Deleted: 6