

# Can a regional-scale reduction of atmospheric CO<sub>2</sub> during the COVID-19 pandemic be detected from space? A case study for East China using satellite XCO<sub>2</sub> retrievals

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**Abstract.** The COVID-19 pandemic resulted in reduced anthropogenic carbon dioxide (CO<sub>2</sub>) emissions during 2020 in large parts of the world. To investigate whether a regional-scale reduction of anthropogenic CO<sub>2</sub> emissions during the COVID-19 pandemic can be detected using space-based observations of atmospheric CO<sub>2</sub> we have analysed a small ensemble of OCO-2 and GOSAT satellite retrievals of column-averaged dry-air mole fractions of CO<sub>2</sub>, i.e. XCO<sub>2</sub>. We focus on East China and use a simple data-driven analysis method. We present estimates of the relative change of East China monthly emissions in 2020 relative to previous periods limiting the analysis to October to May periods to minimize the impact of biogenic CO<sub>2</sub> fluxes. The ensemble mean indicates an emission reduction by approximately 10% ± 10% in March and April 2020. However, our results show considerable month-to-month variability and significant differences across the ensemble of satellite data products analysed. For example, OCO-2 suggests a much smaller reduction (~1-2% ± 2%). This indicates that it is challenging to reliably detect and to accurately quantify the emission reduction with current satellite data sets. There are several reasons for this including the sparseness of the satellite data but also the weak signal; the expected regional XCO<sub>2</sub> reduction is only on the order of 0.1-0.2 ppm. Inferring COVID-19 related information on regional-scale CO<sub>2</sub> emissions using current satellite XCO<sub>2</sub> retrievals likely requires, if at all possible, a more sophisticated analysis method including detailed transport modelling and considering *a priori* information on anthropogenic and natural CO<sub>2</sub> surface fluxes.

## 1 Introduction

Carbon dioxide (CO<sub>2</sub>) is the most important anthropogenic greenhouse gas significantly contributing to global warming (IPCC, 2013). CO<sub>2</sub> has many natural and anthropogenic sources and sinks and our current understanding of them has significant gaps (e.g., Ciais et al., 2014; Chevallier et al., 2014; Reuter et al., 2017c; Crisp et al., 2018; Friedlingstein et al., 2019). Efforts are ongoing to improve the fundamental understanding of the global carbon cycle, to improve our ability to project future changes, and to verify the effectiveness of policies such as the Paris Agreement (<https://unfccc.int/process-and-meetings/the-paris-agreement/the-paris-agreement>, last access: 8-Sept-2020) aiming to reduce greenhouse gas emissions (e.g., Ciais et al., 2014, 2015; Pinty et al., 2017, 2019; Crisp et al., 2018; Matsunaga and Maksyutov, 2018; Janssens-Maenhout et al., 2020).

Retrievals of XCO<sub>2</sub> from the satellite sensors SCIAMACHY/ENVISAT (Burrows et al., 1995; Bovensmann et al., 1999; Reuter et al., 2010, 2011), TANSO-FTS/GOSAT (Kuze et al., 2016) and from the Orbiting Carbon Observatory-2 (OCO-2) satellite (Crisp et al., 2004; Eldering et al., 2017; O'Dell et al., 2012, 2018) have been used in recent years to obtain information on natural CO<sub>2</sub> sources and sinks (e.g., Basu et al., 2013; Chevallier et al., 2014, 2015; Reuter et al., 2014a, 2017c; Schneising et al., 2014; Houweling et al., 2015; Kaminski et al., 2017; Liu et al., 2017; Eldering et al., 2017; Yin et al., 2018; Palmer et al., 2019; Miller and Michalak, 2020), on anthropogenic CO<sub>2</sub> emissions (e.g., Schneising et al., 2008, 2013; Reuter et al., 2014b, 2019; Nassar et al., 2017; Schwandner et al., 2017; Matsunaga and Maksyutov, 2018; Miller et al., 2019; Labzovskii et al., 2019; Wu et al., 2020; Zheng et al., 2020a; Ye et al., 2020) and for other applications such as climate model assessments (e.g., Lauer et al., 2017; Gier et al., 2020) or data assimilation (e.g., Massart et al., 2016).

Here we use an ensemble of satellite retrievals of XCO<sub>2</sub> to determine whether COVID-19 related regional-scale (here ~2000<sup>2</sup> km<sup>2</sup>) CO<sub>2</sub> emission reductions can be detected and quantified using the current space-based observing system. This is important in order to establish the capabilities of current satellites, which have been optimized to obtain information on natural carbon sources and sinks, but not to obtain information on anthropogenic emissions. Nevertheless, data from existing satellites have already been used to assess anthropogenic emissions (see publications cited above). These assessments and the assessment presented in this publication are relevant for future satellites focussing on anthropogenic emissions such as the planned European Copernicus Anthropogenic CO<sub>2</sub> Monitoring (CO2M) mission (e.g., ESA, 2019; Kuhlmann et al., 2019; Janssens-Maenhout et al., 2020), which is based on the CarbonSat concept (Bovensmann et al., 2010; Velazco et al., 2011; Buchwitz et al., 2013; Pillai et al., 2016; Broquet et al., 2018; Lespinas et al., 2020).

We focus on China because regional-scale COVID-19 related CO<sub>2</sub> emission reductions are expected to be largest there early in the pandemic (Le Quéré et al., 2020; Liu et al., 2020). Satellite data have been used to estimate China's CO<sub>2</sub> emissions during the COVID-19 pandemic as shown in Zheng et al., 2020b, but that study inferred CO<sub>2</sub> reductions from retrievals of nitrogen dioxide (NO<sub>2</sub>) not using XCO<sub>2</sub>. Estimates of emission reductions have also been derived from bottom-up statistical assessments of fossil fuel use and other economic indicators. According to Le Quéré et al., 2020, China's CO<sub>2</sub> emissions

decreased by 242 MtCO<sub>2</sub> (uncertainty range 108 – 394 MtCO<sub>2</sub>) during January – April 2020. As China’s annual CO<sub>2</sub> emissions  
65 are approximately 10 GtCO<sub>2</sub>/year (Friedlingstein et al., 2019), i.e., approximately 3.3 GtCO<sub>2</sub> in a 4-month period assuming  
constant emissions, the average relative (COVID-19 related) change during January – April 2020 is therefore approximately  
7% ± 4% ( $0.242/3.3 \pm 0.14/3.3$ ). This agrees reasonably well with the estimate reported in Liu et al., 2020, which is 9.3% for  
China during the first quarter of 2020 compared to the same period in 2019. Liu et al., 2020, also indicate some challenges in  
70 terms of interpreting CO<sub>2</sub> emission reductions as being caused by COVID-19, e.g., the fact that the first months of 2020 were  
exceptionally warm across much of the northern hemisphere. CO<sub>2</sub> emissions associated with home heating may have therefore  
been somewhat lower than for the same period in 2019, even without the disruption in economic activities and energy  
production caused by COVID-19 and related lockdowns.

Our study is one of the first attempts to determine whether COVID-19 related regional-scale CO<sub>2</sub> emission reductions can be  
detected using existing space-based observations of XCO<sub>2</sub>. Tohjima et al., 2020, inferred estimates of China’s CO<sub>2</sub> emissions  
75 from modelled and observed ratios of CO<sub>2</sub> and methane (CH<sub>4</sub>) surface concentrations at Hateruma Island, Japan. They report  
for China fossil fuel emission reductions of  $32 \pm 12\%$  and  $19 \pm 15\%$  for February and March 2020, respectively, which is  
about 10% higher compared to the results shown in Le Quéré et al., 2020 (see Tab.1 of Tohjima et al., 2020). From model  
sensitivity simulations they conclude that even a 30% reduction of China’s fossil fuel CO<sub>2</sub> emissions would only result in a  
0.8 ppm XCO<sub>2</sub> reduction over China and that it therefore would be very challenging to detect any COVID-19 related signal  
80 with the existing remote sensing satellites GOSAT and OCO-2. Their conjecture has essentially been confirmed by  
Chevallier et al., 2020. They used XCO<sub>2</sub> from OCO-2 in combination with other data sets and the modelling of CO<sub>2</sub> emission  
plumes of localized CO<sub>2</sub> sources to obtain estimates of CO<sub>2</sub> emissions focussing on several COVID-19 relevant regions such  
as China, Europe, India and the USA. They concluded that these places have not been well observed by the OCO-2 satellite  
because of frequent or persistent cloud conditions and they give recommendations for future carbon-monitoring systems.  
85 Zeng et al., 2020, used modelling, GOSAT XCO<sub>2</sub> and other data sets. They conclude that GOSAT is able to detect a short-  
term global mean XCO<sub>2</sub> anomaly decrease of 0.2-0.3 ppm after temporal averaging (e.g., monthly) but for East China they  
could not identify a statistically robust COVID-19 related anomaly. Satellite-derived results related to this application are  
also provided in the internet (e.g., ESA-NASA-JAXA, 2020). Ground-based XCO<sub>2</sub> retrievals of the Total Carbon Column  
Observing Network (TCCON) have also been used to address this issue (Sussmann and Rettinger, 2020). Sussmann and  
90 Rettinger, 2020, studied XCO<sub>2</sub> retrievals to find out whether related atmospheric concentration changes can be detected by  
the TCCON.

Regional-scale reductions of tropospheric NO<sub>2</sub> columns have been reported for China (e.g., Zhang et al., 2020; Bauwens et  
al., 2020), but for CO<sub>2</sub> such an assessment is more challenging because of small XCO<sub>2</sub> changes on top of a large background.  
For example, over extended anthropogenic source areas such as East China, the XCO<sub>2</sub> enhancement due to anthropogenic  
95 emissions is typically only approximately 1 - 2 ppm (0.25% - 0.5% of 400 ppm) or even less (see, e.g., Schneising et al.,  
2008, 2013; Hakkarainen et al., 2016, 2019; Chevallier et al., 2020; Tohjima et al., 2020; and this study). A 10% emission

reduction would therefore only change the regional XCO<sub>2</sub> enhancement by 0.1 to 0.2 ppm. This is below the single measurement precision of current satellite XCO<sub>2</sub> data products (at footprint size, i.e., 10.5 km diameter for GOSAT (Kuze et al., 2016) and 1.3 x 2.3 km<sup>2</sup> for OCO-2 (O'Dell et al., 2018)), which is about 1.8 ppm (1-sigma) (e.g., Dils et al., 2014; Kulawik et al., 2016; Buchwitz et al., 2015, 2017a; Reuter et al., 2020) for GOSAT and around 1 ppm for OCO-2 (Wunch et al., 2017; Reuter et al., 2019). In our study we focus on XCO<sub>2</sub> monthly averages. Averaging reduces the noise of the satellite retrievals (e.g., Kulawik et al., 2016) but also eliminates day-to-day XCO<sub>2</sub> variations (e.g., Agustí-Panareda et al., 2019), which cannot be interpreted using our simple analysis methods. The accuracy of the East China satellite XCO<sub>2</sub> retrievals averaged over monthly timescales is difficult to assess because of limited reference data. The validation of the satellite data products is primarily based on comparisons with ground-based XCO<sub>2</sub> retrievals from the TCCON, a relatively sparse network with an uncertainty of about 0.4 ppm (Wunch et al., 2010).

The purpose of this study is to investigate - using satellite XCO<sub>2</sub> retrievals - if satellite-derived East China fossil fuel (FF) CO<sub>2</sub> emissions in 2020 (COVID-19 period) differ significantly compared to pre-COVID-19 periods. Ideally, we would like to know by how much emissions have been reduced due to COVID-19. This question, however, cannot be answered using only satellite data because they do not contain any information on how much would have been emitted without COVID-19. Instead, we aim at answering the following question: Are satellite-derived East China FF CO<sub>2</sub> emissions early in the pandemic (here: January – May 2020) significantly lower compared to pre-COVID-19 periods?

To answer this question, we analyse relative differences of estimates of East China monthly FF emissions during different time periods. We focus on October to May periods and we refer to different periods via the year where a period ends, i.e., we call the period October 2019 to May 2020 “year 2020 period” or simply “2020”, the period October 2018 to May 2019 is called 2019, etc. Specifically, we compute and analyse differences of monthly emissions in the year 2020 period relative to previous year 2016 to 2019 periods, i.e., we use 4 periods for comparison with the year 2020 period. To focus on the COVID-19 aspect, we subtract for each period the October to December (OND) mean value and we refer to these time series as OND anomalies. These OND anomalies are time series at monthly resolution of relative emission difference between different periods relative to OND. Negative OND anomalies during the COVID-19 period would then suggest (depending on uncertainty) that an emission reduction during the COVID-19 period has been detected.

The structure of our manuscript reflects this procedure: In the Data Section 2 we present the satellite and model input data used for this study. In the Methods Section 3 we present the analysis method, which consists of two main steps. The purpose of the first step is to isolate the East China FF emission signal from the XCO<sub>2</sub> satellite retrievals. This is done by subtracting appropriate XCO<sub>2</sub> background values from the XCO<sub>2</sub> retrievals to obtain XCO<sub>2</sub> anomalies,  $\Delta\text{XCO}_2$ . We use two methods to compute  $\Delta\text{XCO}_2$ . We describe one method, the “DAM method”, in detail in Sect. 3.1 and only shortly explain the second method (“TmS method”) referring for details to Appendix A. In the second step (Sect. 3.2) we compute estimates of East China monthly FF CO<sub>2</sub> emissions from the XCO<sub>2</sub> anomalies. These emission estimates are then used to compute the OND

anomalies explained above. In Results Section 4 we present and discuss the results, i.e., the application of the described  
130 methods to the satellite data. A summary and conclusions are given in Sect. 5.

## 2 Data

In this section, we present a short overview about the input data used for this study.

### 135 2.1 Satellite XCO<sub>2</sub> retrievals

This study uses four satellite XCO<sub>2</sub> Level 2 (L2) data products. An overview about these data sets is provided in Tab. 1. The first product listed in Tab. 1 is the latest version of the bias-corrected OCO-2 XCO<sub>2</sub> product delivered to the Goddard Earth Science Data and Information Services Center (GES DISC) by the OCO-2 team (ACOS v10r Lite). The other three satellite XCO<sub>2</sub> datasets are different versions of the GOSAT XCO<sub>2</sub> product derived using retrieval algorithms developed by groups at  
140 the University of Leicester, U.K. (UoL-FP v7.3), the SRON Netherlands Institute for Space Research (RemoTeC v2.3.8), and the University of Bremen, Germany (FOCAL v1.0).

The XCO<sub>2</sub> estimates derived from OCO-2 (e.g., O'Dell et al., 2018) and GOSAT (e.g., Kuze et al., 2016) observations are complementary because these two spacecraft use different sampling strategies. OCO-2 has been operating since September 2014. Its spectrometers collect about 85000 cloud-free XCO<sub>2</sub> soundings each day along a narrow (< 10 km) ground track as  
145 it orbits the Earth 14.5 times each day from its sun synchronous 1:36 PM orbit. The OCO-2 soundings provide continuous measurements with relatively high spatial resolution (1.3 x 2.3 km<sup>2</sup>) along each track, but the individual ground tracks are separated by almost 25° longitudes in any given day. This spacing is reduced to approximately 1.5° longitude after a 16-day ground track repeat cycle. GOSAT has been returning 300 to 1000 cloud-free XCO<sub>2</sub> soundings each day since April 2009. Its TANSO-FTS spectrometer collects soundings with 10.5 km diameter surface footprints, separated by approximately 250 km  
150 along and across its ground track at it orbits from north to south across the sunlit hemisphere.

### 2.2 Model CO<sub>2</sub> data

We use data from NOAA's (National Oceanic and Atmospheric Administration) CO<sub>2</sub> assimilation system, CarbonTracker (CT2019) (Jacobson et al., 2020; Peters et al., 2007) to define the relationship between XCO<sub>2</sub> anomalies and fossil fuel emissions. CarbonTracker is a global atmospheric inverse model that assimilates atmospheric CO<sub>2</sub> measurements to produce  
155 modelled fields of atmospheric CO<sub>2</sub> mole fractions by adjusting land biosphere and ocean CO<sub>2</sub> surface fluxes. An overview about CT2019 set is provided in Tab. 2 including references and access information. In short, CarbonTracker has a representation of atmospheric transport based on weather forecasts, and modules representing air-sea exchange of CO<sub>2</sub>, photosynthesis and respiration by the terrestrial biosphere, and release of CO<sub>2</sub> to the atmosphere by fires and combustion of fossil fuels.

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### 3. Methods

#### 3.1 Methods to compute XCO<sub>2</sub> anomalies ( $\Delta$ XCO<sub>2</sub>)

165 Satellite XCO<sub>2</sub> retrievals contain information on anthropogenic CO<sub>2</sub> emissions (e.g., Schneising et al., 2013; Reuter et al., 2014b, 2019; Nassar et al., 2017) but extracting this information requires appropriate data processing and analysis. For a strong (net) source region XCO<sub>2</sub> is typically higher compared to its surrounding area. Our method is based on computing and subtracting XCO<sub>2</sub> background values. The purpose of this background correction is to isolate the regional emission signal by removing large-scale spatial and day-to-day temporal XCO<sub>2</sub> variations, which cannot be dealt with in our simple data-driven method to estimate emissions.

170 XCO<sub>2</sub> varies temporally and spatially (e.g., Agustí-Panareda et al., 2019; Reuter et al., 2020; Gier et al., 2020), for example, due to quasi-regular uptake and release of CO<sub>2</sub> by the terrestrial biosphere, which results in a strong seasonal cycle, especially over northern mid and high latitudes. Compared to fluctuations originating from the interaction of the terrestrial biosphere and the atmosphere, the spatio-temporal XCO<sub>2</sub> variations due to anthropogenic fossil fuel (FF) CO<sub>2</sub> emissions are typically much smaller (e.g., 1 ppm compared to 10 ppm (Schneising et al., 2008, 2013, 2014; Agustí-Panareda et al., 2019)).

A method used for background correction is the one described in Hakkarainen et al., 2019 (see also Hakkarainen et al., 2016, for a first publication of that method). We use two different methods for background correction. We refer to these methods as “Daily Anomalies via (latitude band) Medians” (DAM), which is essentially identical with the method described in Hakkarainen et al., 2019, and a second method called “Target minus Surrounding” (TmS).

180 Hakkarainen et al., 2019, applied their method to the OCO-2 Level 2 XCO<sub>2</sub> data product to filter out trends and seasonal variations in order to isolate CO<sub>2</sub> source/sink signals. For background correction, Hakkarainen et al., 2019, calculate daily medians for 10-degree latitude bands and linearly interpolate the resulting values to each OCO-2 data point. Instead of interpolation, we compute the median around each latitude (“running median”) using a latitude band width of  $\pm 15$  deg. We use a larger width compared to Hakkarainen et al., 2019, as we also apply our method to GOSAT data, which are much  
185 sparser than OCO-2 data. Our investigations showed that the width of the latitude band is not critical. The band needs to be wide enough to contain a statistically significant sample, but narrow enough to resolve large latitudinal gradients in CO<sub>2</sub>. We subtract the corresponding median from each single XCO<sub>2</sub> observation in the original Level 2 XCO<sub>2</sub> data product files to obtain a data set of XCO<sub>2</sub> anomalies,  $\Delta$ XCO<sub>2</sub><sup>DAM</sup>.

In order to verify that our results do not critically depend on the details of one method we also use the second TmS method.

190 Here we obtain the background by averaging XCO<sub>2</sub> in a region surrounding the target region (see Tab. 3 for the latitude and longitude corner coordinates of the target and its surrounding region).

We call these background corrected XCO<sub>2</sub> retrievals XCO<sub>2</sub> anomalies and satellite-derived maps and time series of these XCO<sub>2</sub> anomalies are presented and discussed in Sect. 4.1. These XCO<sub>2</sub> anomalies are then used to compute East China FF CO<sub>2</sub> emission estimates, CO<sub>2</sub><sup>FF</sup>, as described in the following sub-section.

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### 3.2 Computation of emission estimates (CO<sub>2</sub><sup>FF</sup>)

To determine whether satellite XCO<sub>2</sub> retrievals can provide information on relative changes of anthropogenic CO<sub>2</sub> emissions for the East China target region, we must establish a relationship between the XCO<sub>2</sub> anomalies (see Sect. 3.1) and the desired estimates of the target region fossil fuel (FF) emissions. To develop a method to convert the XCO<sub>2</sub> anomalies, ΔXCO<sub>2</sub>, to FF emission estimates, CO<sub>2</sub><sup>FF</sup>, we use an existing model data set, the CarbonTracker CT2019 data set described in Sect. 2.2, which contains atmospheric CO<sub>2</sub> fields and corresponding CO<sub>2</sub> surface fluxes during 2015 – 2018.

Figure 1 shows CT2019 XCO<sub>2</sub> maps (left) and corresponding surface CO<sub>2</sub> flux maps (right) for selected days in the January to May 2018 period. The XCO<sub>2</sub> has been computed by vertically integrating the CT2019 CO<sub>2</sub> vertical profiles (weighted with the surface pressure normalized pressure change over each layer). The model data are sampled at local noon, which is close to the overpass time of the satellite data sets used here. The spatio-temporal sampling of a specific satellite XCO<sub>2</sub> data product is not considered here, i.e., we use the CT2019 data set independent of any satellite data product apart for the sampling at local noon. As can be seen from Fig. 1, XCO<sub>2</sub> is clearly elevated over the East China target region (red rectangle) relative to its surrounding region on 15-January-2018 (Fig. 1(a)) and on 15-March-2018 (Fig. 1(c)). On 15-May-2018 (Fig. 1(e)) the target region and parts of the surrounding region contain large areas of lower than average XCO<sub>2</sub>, a pattern which primarily results from carbon uptake by vegetation during the growing season, which starts in eastern China around May each year. The CO<sub>2</sub> fluxes, which are shown on the right-hand side panels of Fig. 1, show similar spatial pattern as the XCO<sub>2</sub> maps but due to atmospheric transport and the long lifetime of atmospheric CO<sub>2</sub> there is no one-to-one correspondence between atmospheric XCO<sub>2</sub> and surface emissions. The CO<sub>2</sub> fluxes are the sum of several contributing fluxes including FF emissions, biogenic fluxes and other fluxes (fires, oceans).

Figure 2(a) shows time series obtained by applying the DAM method to CT2019 XCO<sub>2</sub> for the East China target region. The CT2019 data set not only contains atmospheric CO<sub>2</sub> concentrations but also its components due to fossil fuel (FF) emissions and biogenic (BIO) and other fluxes. From the CT2019 data set we computed total XCO<sub>2</sub> (TOT), and its FF and BIO components. From these components we subtracted the background using the DAM method and the corresponding monthly ΔXCO<sub>2</sub><sup>DAM</sup> time series are shown in Fig. 2(a). As can be seen from Fig. 2(a), total ΔXCO<sub>2</sub><sup>DAM</sup> (black line) is dominated by its FF (red line) and BIO (green line) components (their sum, i.e., FF + BIO (grey line), is close to TOT (black line)). As can also be seen, FF emissions for East China (red line) are larger than the BIO fluxes (green line) at least during October to April. During May to September the BIO fluxes are negative due to uptake of atmospheric CO<sub>2</sub> by the terrestrial biosphere and their absolute value is on the same order or may even significantly exceed the FF emissions. As a consequence, total ΔXCO<sub>2</sub><sup>DAM</sup>

(black line) gets negative. During these months, the total anomaly (black line) is closer to BIO (green line) than to FF (red line).

The task for the satellite inversion is to obtain estimates of East China FF CO<sub>2</sub> emissions from the satellite-derived (total) XCO<sub>2</sub> anomalies,  $\Delta\text{XCO}_2^{\text{DAM}}$  (black line in Fig. 2(a)). To determine to what extent this is possible, we fitted CT2019  $\Delta\text{XCO}_2^{\text{DAM}}$  (i.e., the quantity that we can also obtain from satellites) to the East China CT2019 FF CO<sub>2</sub> emissions (which are the known “true emissions” in this model data assessment exercise). The results are shown in Fig. 2(b) for October to May periods. The estimated emissions (black crosses) have been obtained via a linear fit of  $\Delta\text{XCO}_2^{\text{DAM}}$  to the CT2019 FF emissions (red dots). The two parameters of the linear fit are also shown in 2(b): Scaling factor A (= 0.90) and offset B (= 7.41). As can be seen, the estimated emissions agree reasonably well with the “true” emissions. The linear correlation coefficient R is 0.83 (see Fig. 2(c)) and the relative difference in terms of mean and standard deviation is  $0.2\% \pm 5\%$  (see Fig. 2(d)). However, for individual months the error can be as large as 10%. From this we conclude that the (approximately 2-sigma) uncertainty of our method is approximately 10%.

A similar figure but generated using the TmS method is shown in Appendix A as Fig. A1. As can be seen, the results shown in Fig. A1 (b) to (d) are similar to the ones shown in Fig. 2 (b) to (d) but the linear correlation is slightly worse and the errors are slightly larger. In contrast, the time series shown in panels (a) differ significantly. This is because of the different background corrections used for the two methods. But despite these significant differences the quality of the derived emissions is similar (the standard deviation of the monthly biases is 5.5% for TmS and 4.8% for DAM, see panels (d)). Nevertheless, the DAM method gives slightly better results compared to the TmS method and this is also confirmed by applying both methods to the satellite data (see Sect. 4). Therefore, the DAM method is our baseline method and we focus on results obtained with the DAM method.

It is perhaps surprising that the offset (fit parameter B, see above) is so large (7.41 for DAM and 7.63 for TmS). Probably one would assume that the XCO<sub>2</sub> anomalies  $\Delta\text{XCO}_2$  are directly proportional to the target region fossil fuel emissions, i.e., one would assume that FF is (approximately) equal to a constant multiplied by  $\Delta\text{XCO}_2$  (no offset added) (for example, for FF = 8 GtCO<sub>2</sub>/year and  $\Delta\text{XCO}_2 = 2$  ppm one would have expected that the conversion factor is 4 GtCO<sub>2</sub>/year/ppm). In that case, as we are only interested in relative changes in emissions, we would not need to know the exact value of the scaling factor. However, when analysing the satellite data, we found that  $\Delta\text{XCO}_2$  is around 2 ppm for January but decreases in subsequent months, nearly approaching zero in May (which is consistent with the CT2019 results shown in Fig. 2(a)). As anthropogenic emissions are not expected to change that much within a few months (and zero emissions around May are not realistic at all) we concluded that the simple proportionality assumption does not hold. To investigate this we used the CT2019 data set to test and improve our method and the results are reported in this section. We applied our method to CT2019 XCO<sub>2</sub> (as shown in Fig. 2) and compared the retrieved FF values with the (“true”) CT2019 FF values. We found large differences, which could be significantly reduced by adding an offset to the linear fit as discussed above. The reason for the large offset is the influence of



the biosphere. Around May the uptake of atmospheric CO<sub>2</sub> due to the biosphere is so large that  $\Delta\text{XCO}_2$  is close to zero - but the FF emissions are not - and the East China target regions is essentially “carbon neutral” or even a net sink (see also Fig. 1).

As explained, scaling factor A and offset B are obtained empirically via a linear fit using CT2019 data (Fig. 2(b)) and used for the conversion of the satellite XCO<sub>2</sub> anomalies during the entire time period January 2015 to May 2020 (as will be shown in Sect. 4). As can be seen from Fig. 2 (b) and (c), the retrieval biases are within  $\pm 10\%$  during 2015-2018. We assume in our study that the same conversion is also appropriate for 2019 and 2020. However, it cannot be ruled out that 2019 or 2020 were significantly different compared to previous years with respect to aspects relevant for our study. To address this, we compare period October 2019 to May 2020 results with the corresponding results from previous October to December periods to find out to what extent the period of interest, i.e., October 2019 to May 2020, is significantly different taking into account the year-to-year variability, which we use to obtain uncertainty estimates.

The methods described in this section have been applied to convert satellite-derived target region XCO<sub>2</sub> anomalies,  $\Delta\text{XCO}_2$ , into estimated target region FF CO<sub>2</sub> emissions, CO<sub>2</sub><sup>FF</sup>. How this has been done using the DAM method for background correction is explained in the following Sect. 4, where we refer for the corresponding TmS method results to Appendix A.

## 4. Results and discussion

In this section, we present results obtained by applying the DAM method (see Methods Sect. 3.1) to the satellite data to obtain XCO<sub>2</sub> anomalies from which we derive FF emission estimates (see Methods Sect. 3.2).

### 4.1 Application of the DAM method to satellite XCO<sub>2</sub> retrievals

The DAM method has been applied to the OCO-2 and GOSAT satellite XCO<sub>2</sub> data products listed in Tab. 1. Figure 3 shows a global OCO-2 DAM XCO<sub>2</sub> anomaly map at 1°x1° resolution for the period 2015 – 2019. A similar map is shown in Hakkarainen et al., 2019 (see their Fig. 3, top panel). The degree of agreement confirms the finding reported in Sect. 3.1 that the generation of these anomaly maps does not critically depend on how exactly the median is computed and used to subtract “the background”. Hakkarainen et al., 2019, discuss their OCO-2 derived maps in quite some detail also in terms of seasonal averages and comparisons with model simulations. They show that positive anomalies correspond to fossil fuel combustion over major industrial areas including China. Their seasonal maps (see their Fig. 4) show a strong positive anomaly over East China (similar as shown here in Fig. 3) except for the June-August (JJA) summer season, where the XCO<sub>2</sub> anomaly can be negative. This is consistent with the CT2019 results presented in Sect. 3.2.

A zoom into Fig. 3 is presented in Fig. 4, which shows more details for China and its surrounding area. As can be seen from Fig. 4,  $\Delta\text{XCO}_2^{\text{DAM}}$  is positive especially in the region between Beijing, Wuhan and Hong Kong with highest values in the area between Beijing and Shanghai. This positive anomaly indicates that this region is a strong CO<sub>2</sub> source region as also

discussed in Hakkarainen et al., 2019. As already explained, there is no one-to-one correspondence (especially not for every grid cell) between local XCO<sub>2</sub> anomalies and local CO<sub>2</sub> emissions (or uptake) because the emitted CO<sub>2</sub> is transported and mixed in the atmosphere. Furthermore, the satellite data are typically sparse due to strict quality filtering to avoid potential XCO<sub>2</sub> biases, for example, due to the presence of clouds. Cloud contaminated ground scenes are identified to the extent possible via the corresponding retrieval algorithm (see references listed in Tab. 1) and flagged to be “bad” and are therefore not used for this analysis. The sparseness of the satellite data set is obvious from Fig. 5, which shows OCO-2 DAM XCO<sub>2</sub> anomaly maps for February during the six years 2015 to 2020.

A key difference between the OCO-2 and the GOSAT data products is the different sampling of the target region, with GOSAT having much sparser coverage compared to OCO-2. This is illustrated in Fig. 6, which shows February to March 2020 averages of the OCO-2 XCO<sub>2</sub> data product (Fig. 6a) and the three GOSAT data products (Fig. 6b – 6d) at 1°x1° resolution. The OCO-2 product shown in Fig. 6a is NASA’s OCO-2 operational “Atmospheric CO<sub>2</sub> Observations from Space” (ACOS) algorithm version 10r bias corrected XCO<sub>2</sub> product (the so called Lite product), which is referred to in this publication via the product identifier (ID) CO2\_OC2\_ACOS. The three GOSAT XCO<sub>2</sub> products are (see details and references as given in Tab. 1): Fig. 6b: University of Leicester’s GOSAT product (ID CO2\_GOS\_OCFP); Fig. 6c: SRON Netherlands Institute for Space Research GOSAT product (CO2\_GOS\_SRFP); Fig. 6d: University of Bremen’s GOSAT product (CO2\_GOS\_FOCA) as retrieved with the “Fast atmOspheric traCe gAs retrieval” (FOCAL) retrieval algorithm initially developed for OCO-2 (Reuter et al., 2017a, 2017b). As can be seen from Fig. 6, the spatial sampling of the target region is different for each product as only quality-filtered (i.e., “good”) data are shown and the quality filtering is algorithm specific (see references listed in Tab. 1).

Figure 6 also shows as red rectangle the East China target region as defined for this study (the geographical coordinates are listed in Tab. 3). The fossil fuel (FF) CO<sub>2</sub> emissions of this target region are approximately 8 GtCO<sub>2</sub>/year, i.e., the selected target region covers approximately 80% of the FF emissions of entire China, which are approximately 10 GtCO<sub>2</sub>/year (Le Quéré et al., 2018; Friedlingstein et al., 2019). In the following section we present East China FF emission estimates as derived from the satellite XCO<sub>2</sub> anomalies during and before the COVID-19 period.

315

## 4.2 Emission estimates

Carbon dioxide fossil fuel emission estimates, CO<sub>2</sub><sup>FF</sup>, have been derived from the XCO<sub>2</sub> anomalies, ΔXCO<sub>2</sub>, computed for each of the four satellite XCO<sub>2</sub> data products listed in Tab. 1. In this section the emission results are presented and discussed. We focus on results based on ΔXCO<sub>2</sub> derived with the DAM method and refer to Appendix A for results based on the TmS method.

325 **4.2.1 Emission estimates from NASA’s OCO-2 (version 10r) XCO<sub>2</sub>**

Figure 7 shows the results obtained by applying the DAM method to product CO2\_OC2\_ACOS (see Tab. 1) for the East China target region for the period January 2015 to May 2020 (the TmS version of this figure is shown as Fig. A2 in Appendix A). Figure 7(a) shows daily DAM XCO<sub>2</sub> anomalies as thin grey line and the corresponding monthly averages as red dots. The amplitude (approximately  $\pm 1$  ppm) and time dependence (e.g., the minimum in the middle of each year) is similar as for  
330 CT2019 (Fig. 2(a) black line). To ensure that there are a sufficiently large number of observations per month, two criteria need to be fulfilled: There must be a minimum number of days per month (here: 5) and a minimum number observations per day (here: 30). The latter criterion is also relevant for the daily data shown in Fig. 7(a) (grey line). We also used other combinations of these two parameters (as shown below, e.g., Fig. 9).

Figure 7(b) shows monthly  $\Delta XCO_2^{DAM}$  for different October to May periods and Fig. 7(c) shows the corresponding estimated  
335 FF emissions,  $CO_2^{FF(DAM)}$ . Figure 7(d) shows relative differences of the time series shown in Fig. 7(c). For example, the blue data are referred to as “(2020-2019)/2019” in Fig. 7(d), where 2019 refers to the blue data in Fig. 7(c), which corresponds to the period ending in May 2019. Shown are differences of year 2020 data (red in Fig. 7(c)) minus data from previous periods, i.e., Fig. 7(d) shows to what extent 2020 (strictly speaking the period 10.2019 – 5.2020, i.e., the period which ends in 2020) differs relative to previous October to May periods.

To find out if we can detect a difference between the COVID-19 period and pre-COVID-19 periods, we subtract from each  
340 time series shown in Fig. 7(d) the October to December (OND) mean value. The corresponding time series are shown in Fig. 7(e) and are referred to as “OND anomalies” in the following. As can be seen from Fig. 7(e), the OND anomalies vary within  $\pm 5\%$ . Values before January scatter around zero as the mean value of OND anomalies is zero by definition during October to December. In January the values also scatter around zero. After January most values are negative indicating reduced emissions  
345 compared to pre-COVID-19 periods. This can be seen more clearly in Fig. 8, where the same data as in Fig. 7(e) are shown but in addition the ensemble mean (light blue thick lines and dots) and median (royal blue thick lines and dots) has been added including uncertainty estimates as computed from the standard deviation of the ensemble members.

Figures 7 and 8 have been generated with the requirement that for each day at least 30 observations need to be available in the target region and for each month at least 5 days fulfilling this 30 observations/day requirement. Figure 9 is similar to Fig. 8  
350 except that also results for additional combinations have been added, i.e., other combinations of minimum number of observations per day and minimum number of days per month. As can be seen, the results depend somewhat on which combination of these parameters is used, but the ensemble median and its uncertainty (royal blue symbols and lines) is similar. The ensemble median values are similar and negative during February to May 2020. The large uncertainties (vertical lines; 1-sigma error estimates) reflect the scatter of the ensemble members. The errors bars (1-sigma) overlap with the zero (i.e., no  
355 reduction) line indicating that it cannot be claimed with confidence that a significant drop of the emissions during the COVID-19 period has been detected.

#### 4.2.2 Emission estimates from GOSAT XCO<sub>2</sub> data products

The same analysis method as applied to NASA's OCO-2 data product (Sect. 4.2.1) has also been applied to the three  
360 GOSAT XCO<sub>2</sub> data products listed in Tab. 1. The results are shown in Fig. 10 for product CO2\_GOS\_OCFP, in Fig. 11 for  
product CO2\_GOS\_SRFP, and in Fig. 12 for product CO2\_GOS\_FOCA. The month-to-month variations are larger for these  
GOSAT products compared to OCO-2 product (note the different scale of the y-axes compared to Fig. 9). This is because  
GOSAT products are much sparser compared to the OCO-2 product (as shown in Fig. 6) and because the single observation  
random error is larger for GOSAT compared to OCO-2. As can be seen from a comparison of the results obtained for the  
365 three GOSAT products (Figs. 10 - 12) there are large difference among the results obtained from these products. For  
example, product CO2\_GOS\_OCFP (Fig. 10) suggests that the largest emission reduction is in April, in contrast to the other  
two products. The large spread of the GOSAT results means that no clear conclusions can be drawn concerning East China  
emission reductions during the COVID-19 period.

#### 370 4.2.3 Ensemble mean and uncertainty

An overview about the results obtained from all four satellite data products using the DAM method is shown in Fig. 13 (the  
corresponding TmS version of this figure is shown as Fig. A3 in Appendix A). The results obtained from the individual  
products (as shown in royal blue in Figs. 9 - 12) are shown here using reddish colours (the corresponding numerical values  
are listed in Tab. 4). Also shown in Fig. 13 is the mean of the ensemble members and its estimated uncertainty (in dark blue);  
375 the corresponding numerical values are listed in the bottom row of Tab. 4. The ensemble mean suggests emission reductions  
by approximately 10%  $\pm$  10% in March and April 2020. However, as can also be seen, there are significant differences  
across the ensemble of satellite data products. For example, the analysis of the OCO-2 data suggests a much smaller  
emission reduction of only about 1-2%. Because of the large differences between the individual ensemble members it is  
concluded that the expected emission reduction cannot be reliably detected and accurately quantified with our method.  
380

### 5 Summary and conclusions

We have analysed a small ensemble of satellite XCO<sub>2</sub> data products to investigate whether a regional-scale reduction of  
atmospheric CO<sub>2</sub> during the COVID-19 pandemic can be detected for East China. Specifically, we analysed four XCO<sub>2</sub> data  
385 products from the satellites OCO-2 and GOSAT. For this purpose, we used a simple data-driven approach, which involves the  
computation of XCO<sub>2</sub> anomalies,  $\Delta$ XCO<sub>2</sub>, using a method called DAM (Daily Anomalies via (latitude band) Medians). This  
method, which is essentially identical with the method developed at Finnish Meteorological Institute (FMI, Hakkarainen et al.,  
2019), helps to isolate local or regional XCO<sub>2</sub> enhancements originating from anthropogenic CO<sub>2</sub> emissions from large-scale  
daily XCO<sub>2</sub> background variations (note however that the FMI method is not supposed to extract exclusively anthropogenic

390 emission contributions to XCO<sub>2</sub>, see Hakkarainen et al., 2019). In addition to the DAM method we also used a second method for the computation of  $\Delta$ XCO<sub>2</sub>, which is referred to TmS (Target minus Surrounding). Using model and satellite data we found that the results obtained with the DAM method provide better results compared to the TmS method. Therefore, we focussed on DAM-based results but also report selected results obtained with the TmS method (reported separately in Appendix A). We analysed satellite data between January 2015 to May 2020 and compared year 2020 monthly XCO<sub>2</sub> anomalies with the  
395 corresponding monthly XCO<sub>2</sub> anomalies from previous periods.

In order to link the satellite-derived XCO<sub>2</sub> anomalies to East China fossil fuel (FF) CO<sub>2</sub> emissions, we used output from NOAA's CO<sub>2</sub> assimilation system CarbonTracker (CT2019) covering the years 2015 to 2018. We focus on October to May periods to minimize the impact of the terrestrial biosphere. Using CT2019, we show that  $\Delta$ XCO<sub>2</sub> can be converted to FF emission estimates, denoted CO<sub>2</sub><sup>FF</sup>, via a linear transformation. The two coefficients slope and offset of this linear  
400 transformation have been obtained empirically via a linear fit, i.e., we established a linear empirical equation to relate the two quantities  $\Delta$ XCO<sub>2</sub> and CO<sub>2</sub><sup>FF</sup>. We show using CT2019 that the retrieved emissions during October to May periods agree within 10% with the CT2019 East China FF emissions.

For the analysis of the satellite data we focus on the October 2019 to May 2020 period, which covers months during the COVID-19 pandemic but also pre-COVID-19 months. We compare results obtained during this period with earlier October to  
405 May periods to find out to what extent year 2020 differs from previous years. Our analysis is limited to October to May periods because our simple data-driven analysis method cannot deal with the large and highly variable terrestrial biosphere CO<sub>2</sub> fluxes outside of this period. On the other hand this period is challenging for satellite retrievals because of the low sun angles especially during the winter months and cloudiness.

We applied our method to each of the four satellite XCO<sub>2</sub> data products to obtain monthly emission estimates, CO<sub>2</sub><sup>FF</sup>, for East  
410 China. We focus on changes relative to pre-COVID-19 periods. Our results show considerable month-to-month variability (especially for the GOSAT products) and significant differences across the ensemble of satellite data products analysed. The ensemble mean suggests emission reductions by approximately 10%±10% in March and April 2020. This estimate is dominated by the GOSAT ensemble members. Analysis of the OCO-2 product yields smaller values indicating a reduction of only about 1-2% with an uncertainty of approximately ±2%.

415 The large uncertainty, which is on the order of the derived reduction (i.e., 100%, 1-sigma), and the large spread of the results obtained for the individual ensemble members, indicates that it is challenging to reliably detect and to accurately quantify the emission reduction using the current generation of space based methods and the simple DAM-based analysis strategy adopted here.

These findings, which are consistent with other recent studies (e.g., Chevallier et al., 2020, Zeng et al., 2020), are not  
420 unexpected. Regional XCO<sub>2</sub> enhancements due to fossil fuel emissions are typically only 1 to 2 ppm and even a 10% emission reduction would therefore only correspond to a reduction of the fossil fuel related regional XCO<sub>2</sub> enhancement by 0.1 to 0.2

ppm. XCO<sub>2</sub> variations as small as 0.2 ppm are below the estimated uncertainty of the single footprint satellite XCO<sub>2</sub> retrievals. The uncertainty of single observations, which is typically around 0.7 ppm (e.g., Buchwitz et al., 2017a; Reuter et al., 2020), has been obtained by comparisons with ground-based Total Carbon Column Observing Network (TCCON) XCO<sub>2</sub> retrievals, which have an uncertainty of 0.4 ppm (1-sigma, Wunch et al., 2010). In this study we focus on monthly averaged data because our analysis method cannot properly deal with day-to-day variability and because of the sparseness of the satellite data. Averaging results in reducing the random error but investigations have shown that random errors do not simply scale with the inverse of the square root of number of observations added due to (unknown) systematic errors and error correlations (Kulawik et al., 2016). Of course also other sources of uncertainty are relevant in this context, in particular time dependent atmospheric transport and varying biogenic CO<sub>2</sub> contributions (e.g., Houweling et al., 2015, and references given therein).

We conclude that inferring COVID-19 related information on regional-scale CO<sub>2</sub> emissions using current (quite sparse) satellite XCO<sub>2</sub> retrievals requires, if at all possible, a more sophisticated analysis method including the use of detailed *a priori* information and atmospheric transport modelling.

The extent to which COVID-19 related emission reductions can be resolved on smaller scales - such as power plants or cities (e.g., Nassar et al., 2017; Reuter et al., 2019; Zheng et al., 2020a; Wu et al., 2020) has not been investigated in this study. For this purpose, XCO<sub>2</sub> retrievals from NASA's OCO-3 mission are promising, especially because of its Snapshot Area Map (SAM) mode, which permits the mapping of XCO<sub>2</sub> over ~80 km by 80 km areas around localized anthropogenic CO<sub>2</sub> emission sources (see <https://ocov3.jpl.nasa.gov/> (last access: 28-Aug-2020)). Even more complete coverage is planned for the Copernicus CO2M mission in the future (e.g., Janssens-Maenhout et al., 2020).

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We also acknowledge access to OCO-2 XCO<sub>2</sub> data product "OCO2\_L2\_Lite\_FP 10r" obtained from NASA's Earthdata GES DISC website (<https://disc.gsfc.nasa.gov/datasets?keywords=OCO-2%20v10r&page=1> (last access: 15-Aug-2020)).

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## Author contributions

M.B. designed the study, performed the analysis and led the writing of this paper in close cooperation with M.R., S.N., B.F.A., H.B., J.P.B., O.S. K.B. and M.H. Input data and corresponding advice has been provided by M.R., S.N., A.D.N., H.Boe., L.W., J. L., I.A., C.W.O'D. and D.C. All authors contributed to significantly improve the manuscript.

**Data availability.** The key results of this study are listed in this manuscript in numerical form (Tab. 4). Access information for the satellite data used as input for this study is provided in Tab. 1. The CT2019 data are available from NOAA (see access information given in Tab. 2).

## Competing financial interests

The authors declare no competing financial interests.

## Appendix A

As explained in the main text, a second method has been applied to the CT2019 and the satellite data. This method is called  
475 “Target minus Surrounding” (TmS) and differs from the DAM method in the approach to determine the XCO<sub>2</sub> background.  
Whereas the DAM method computes the (daily) background as the median of the XCO<sub>2</sub> values in latitude bands, the TmS  
background is computed from the XCO<sub>2</sub> values in an area surrounding the target region (the coordinates are listed in Tab. 3).

The TmS results are discussed in the main text. Here we only show three figures. Figure A1 is the same as Fig. 2 but using  
480 the TmS method instead of the DAM method. Figure A2 is the TmS version of Fig. 7 and Fig. A3 is the TmS version of Fig.  
13.



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Tables:

**Table 1. Overview of the satellite XCO<sub>2</sub> Level 2 (L2) input data products. (#) Products are available via the Copernicus Climate Data Store (CDS, <https://cds.climate.copernicus.eu/cdsapp#!/dataset/satellite-carbon-dioxide?tab=overview> (last access: 23-September-2020)) currently until end of 2019. Year 2020 data will be made available via the CDS in mid 2021 but are available from the authors on request (see contact information).**

Satellite	Algorithm	Product version	Product ID	References	Data provider and data access information
OCO-2	ACOS	v10r	CO2_OC2_ACOS	O'Dell et al., 2018; Kiel et al., 2019; Osterman et al., 2020	Product “OCO2_L2_Lite_FP 10r” obtained from NASA’s Earthdata GES DISC website: <a href="https://disc.gsfc.nasa.gov/datasets?keywords=OCO-2%20v10r&amp;page=1">https://disc.gsfc.nasa.gov/datasets?keywords=OCO-2%20v10r&amp;page=1</a> (last access: 15-Aug-2020)
GOSAT	UoL-FP	v7.3	CO2_GOS_OCFP	Cogan et al., 2012; Boesch et al., 2019	Generated by Univ. Leicester (contact: Antonio Di Noia: <a href="mailto:adn9@leicester.ac.uk">adn9@leicester.ac.uk</a> ) and available via the CDS (#)
GOSAT	RemoTeC	v2.3.8	CO2_GOS_SRFP	Butz et al., 2011; Wu et al., 2019	Generated by SRON (contact: Lianghai Wu: <a href="mailto:L.Wu@srn.nl">L.Wu@srn.nl</a> ) and available via the CDS (#)
GOSAT	FOCAL	v1.0	CO2_GOS_FOCA	Noël et al., 2020	Generated by Univ. Bremen and available on request (contact: Stefan Noël: <a href="mailto:Stefan.Noel@iup.physik.uni-bremen.de">Stefan.Noel@iup.physik.uni-bremen.de</a> )

885 **Table 2. Overview of the CarbonTracker CT2019 data set. For this study we used data from the period January 2015 to December 2018.**

Model / Version	Details	Reference	Access
CarbonTracker  CT2019	Atmospheric CO <sub>2</sub> molefraction profiles (spatio-temporal sampling: 3°x2°, 3-hourly) and CO <sub>2</sub> fluxes (spatio-temporal sampling: 1°x1°, 3-hourly)	Jacobson et al., 2020  DOI: <a href="http://dx.doi.org/10.25925/39m3-6069">http://dx.doi.org/10.25925/39m3-6069</a>  (last access: 22-July-2020)	CarbonTracker CT2019,  <a href="http://carbontracker.noaa.gov">http://carbontracker.noaa.gov</a>  (last access: 22-July-2020)

890 **Table 3. Corner coordinates of the East China target region as analysed in this study.**

Region ID	Comments	Latitude range [deg North]	Lontitude range [deg East]
East China	Target region for DAM and TmS methods	28 – 44	102 – 126
	Extended region for TmS method	18 -54	93 - 135

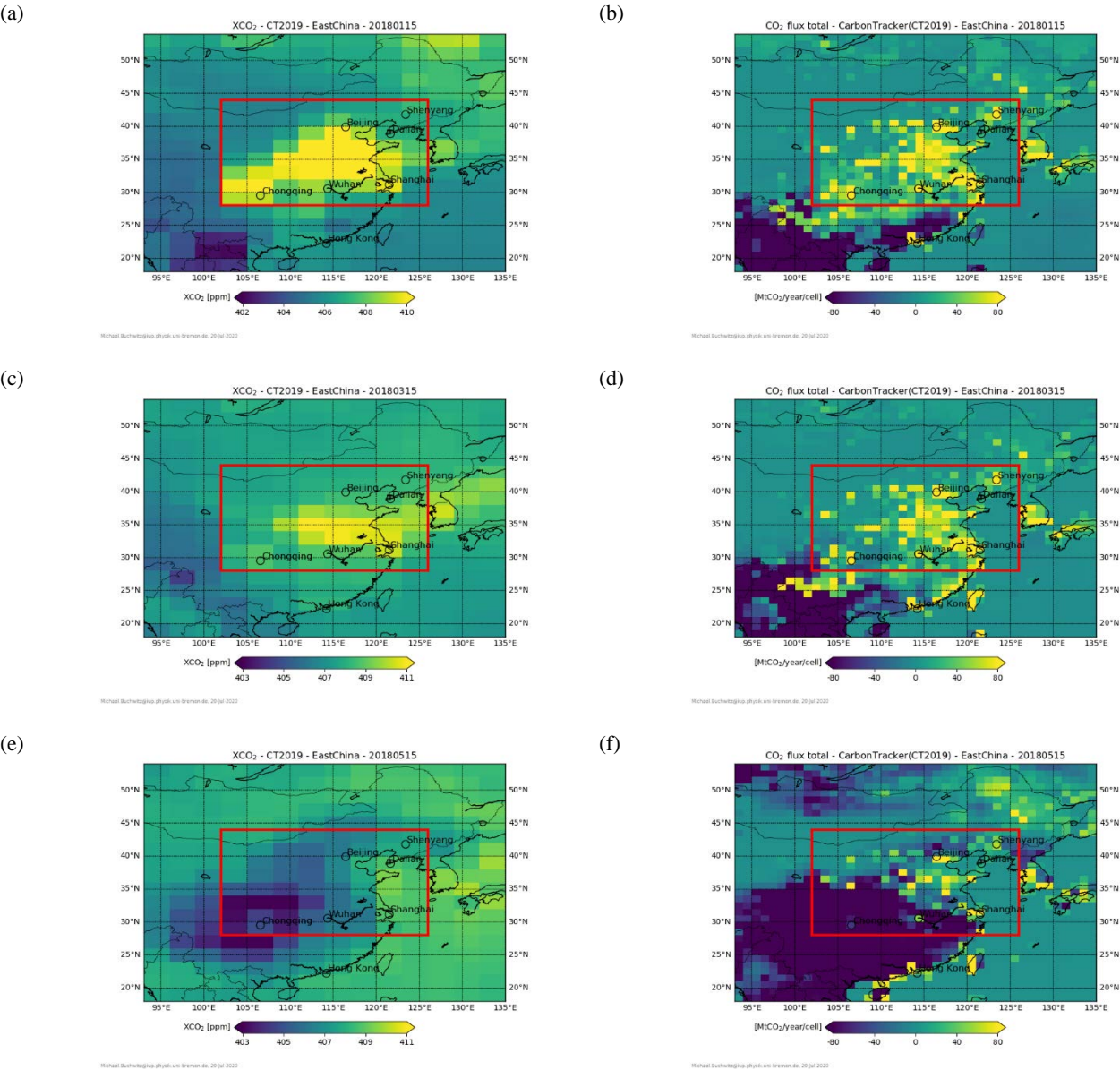
**Table 4.** Numerical values of the ensemble-based CO<sub>2</sub><sup>FF(DAM)</sup> results as shown in Fig. 13. Listed are the median values and corresponding 1-sigma uncertainties (in brackets). The dimensionless values listed here represent the relative CO<sub>2</sub><sup>FF(DAM)</sup> change for January-May 2020 relative to October-December 2019 and previous years (“OND” anomalies, see main text).

Month	October	November	December	January	February	March	April	May
Product ID	2019	2019	2019	2020	2020	2020	2020	2020
CO2_OC2_ACOS	-0.004 (0.025)	0.001 (0.024)	-0.010 (0.015)	0.008 (0.026)	-0.010 (0.024)	-0.003 (0.020)	-0.018 (0.023)	-0.019 (0.027)
CO2_GOS_OCFP	-0.049 (0.046)	0.026 (0.038)	0.071 (0.050)	-0.110 (0.077)	-0.055 (0.087)	-0.151 (0.101)	-0.281 (0.055)	-0.141 (0.158)
CO2_GOS_SRFP	-0.076 (0.031)	0.111 (0.030)	-0.061 (0.054)	0.038 (0.101)	-0.064 (0.053)	0.011 (0.081)	-0.082 (0.059)	0.024 (0.077)
CO2_GOS_FOCA	-0.057 (0.042)	0.053 (0.029)	0.008 (0.040)	-0.044 (0.062)	0.046 (0.081)	-0.176 (0.066)	-0.041 (0.069)	-0.080 (0.064)
Ensemble	-0.047 (0.031)	0.048 (0.047)	0.002 (0.054)	-0.027 (0.065)	-0.021 (0.050)	-0.085 (0.091)	-0.106 (0.120)	-0.054 (0.072)

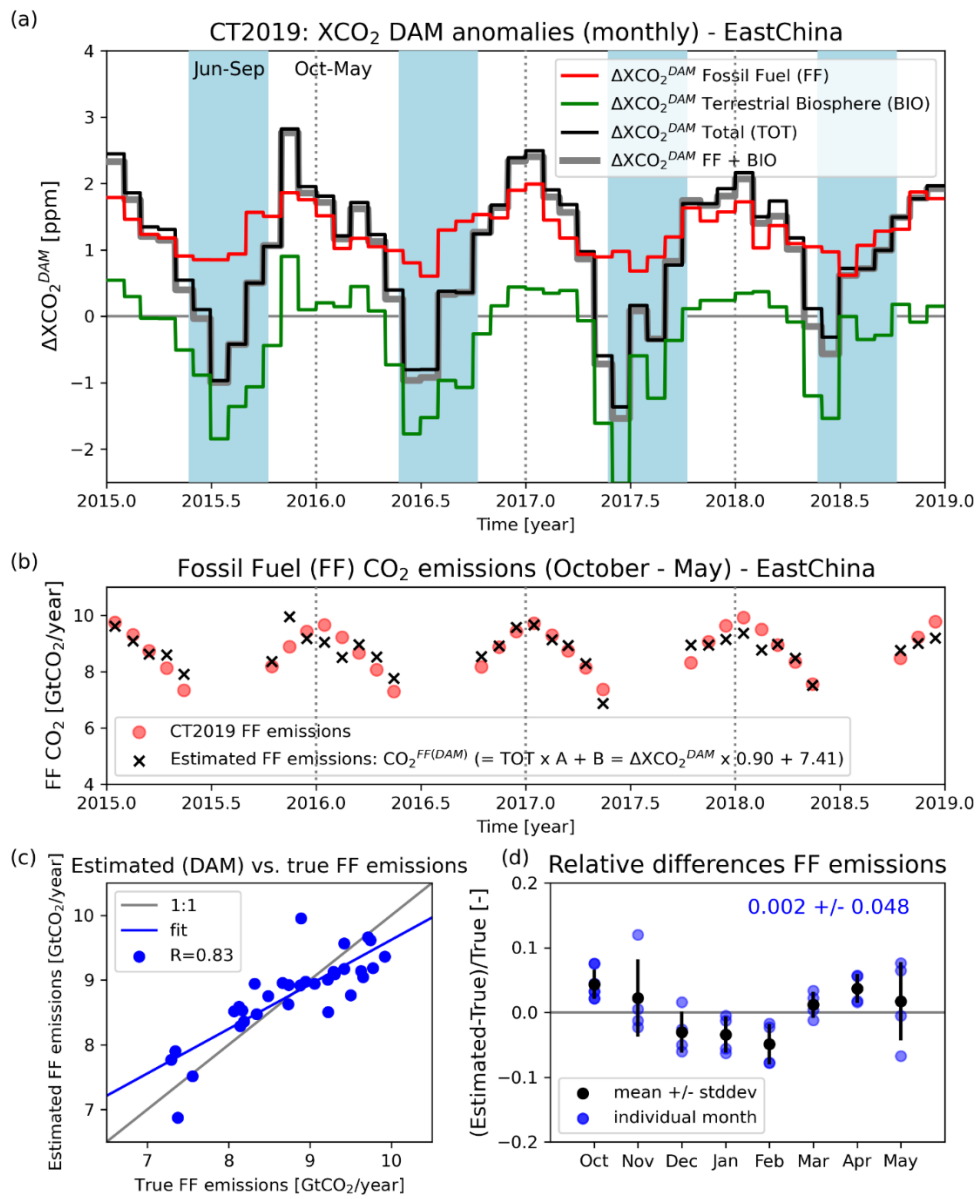


Figures:

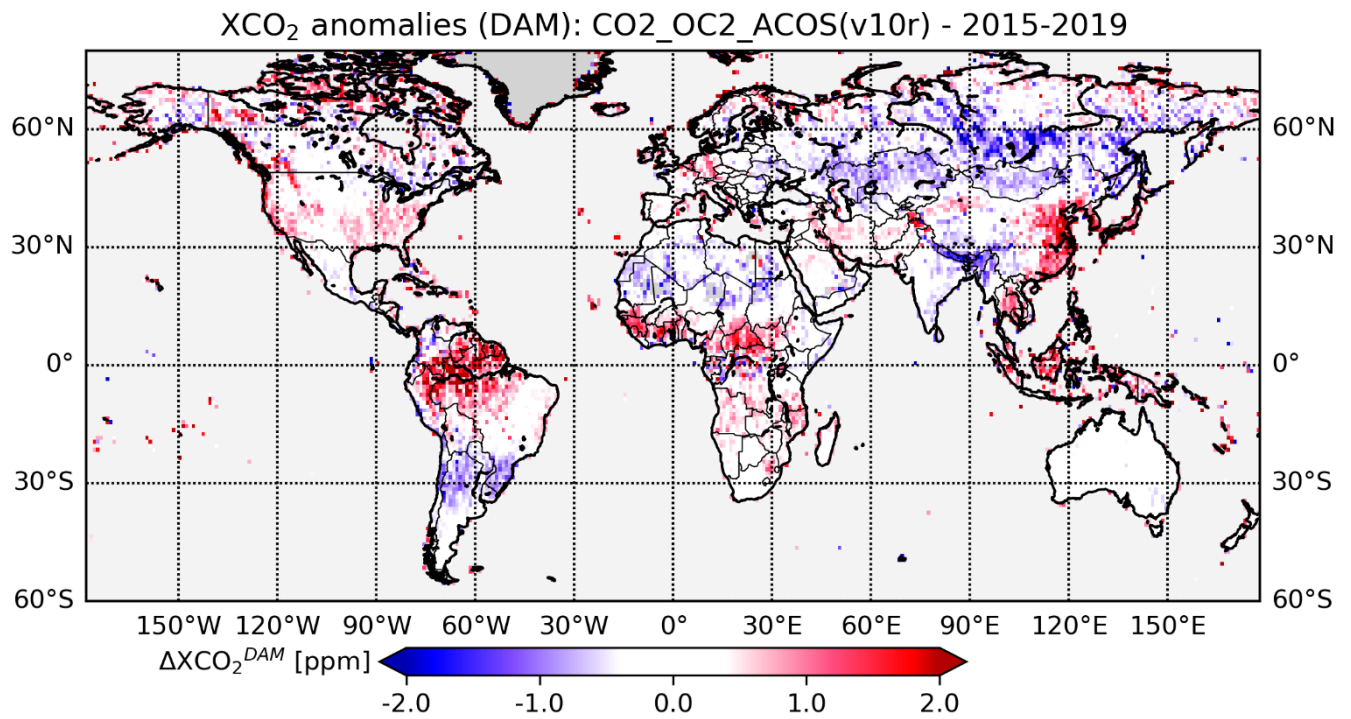
905



**Figure 1: Left: CT2019 XCO<sub>2</sub> (left, in ppm) and corresponding CO<sub>2</sub> surface fluxes (right, in MtCO<sub>2</sub>/year/cell) for 15-Jan-2018 (first row), 15-Mar-2018 (middle) and 15-May-2018 (bottom). The red rectangle encloses the East China target region as defined for this study.**



**Figure 2: Results obtained by applying the DAM method to CT2019 XCO<sub>2</sub> for East China. (a): Different monthly  $\Delta\text{XCO}_2^{\text{DAM}}$  components: Total  $\Delta\text{XCO}_2^{\text{DAM}}$  (TOT) and its FF (red) and biogenic (BIO, green) components and their sum (FF + BIO). The non-shaded time periods October to May indicate the periods analysed in this publication. (b) East China October to May FF CO<sub>2</sub> emissions (red dots) and estimated emissions CO<sub>2</sub><sup>FF(DAM)</sup> (black crosses) as obtained from total  $\Delta\text{XCO}_2^{\text{DAM}}$  (TOT as shown in panel (a)) using the formula shown in (b). (c) Scatter plot of estimated versus true (i.e., CT2019) FF emissions. (d) Relative difference of estimated and true emissions.**



920

**Figure 3: DAM XCO<sub>2</sub> anomaly map at 1° x 1° resolution generated from OCO-2 Level 2 XCO<sub>2</sub> (v10r, land) for 2015 to 2019.**

XCO<sub>2</sub> anomalies (DAM): CO2\_OC2\_ACOS(v10r) - 2015-2019

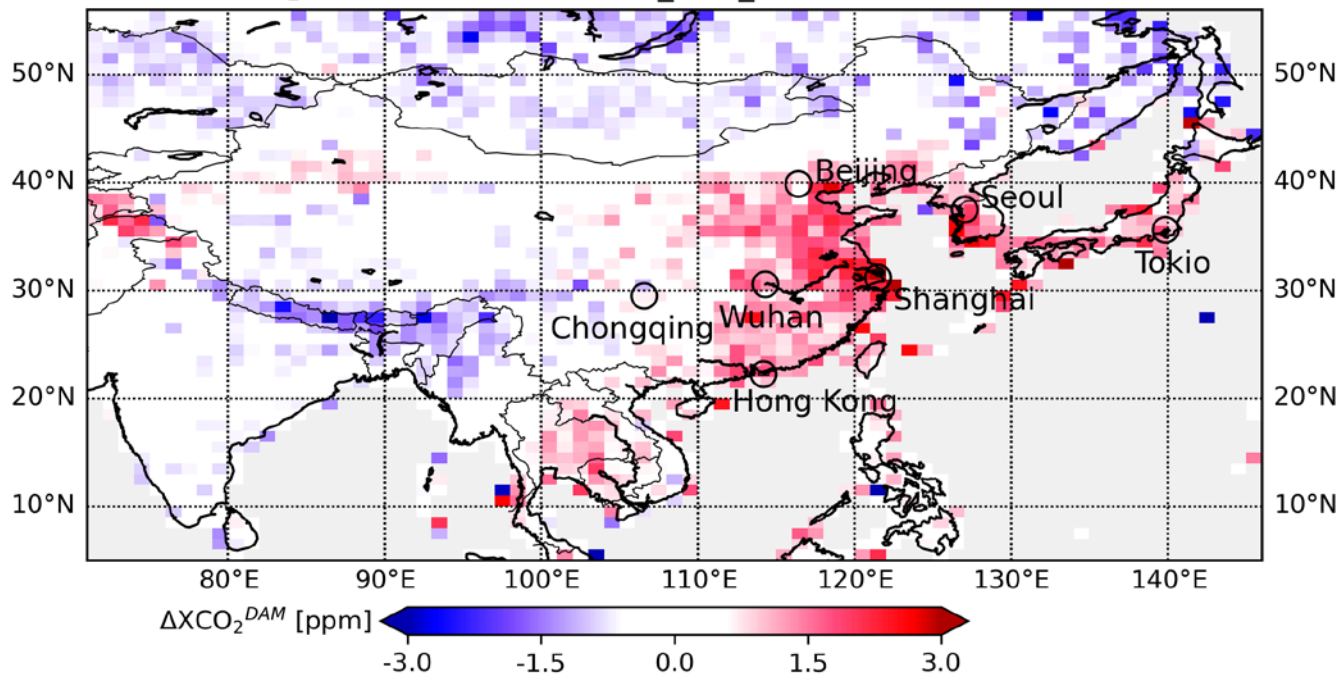


Figure 4: As Fig. 3 but for China and surrounding areas.

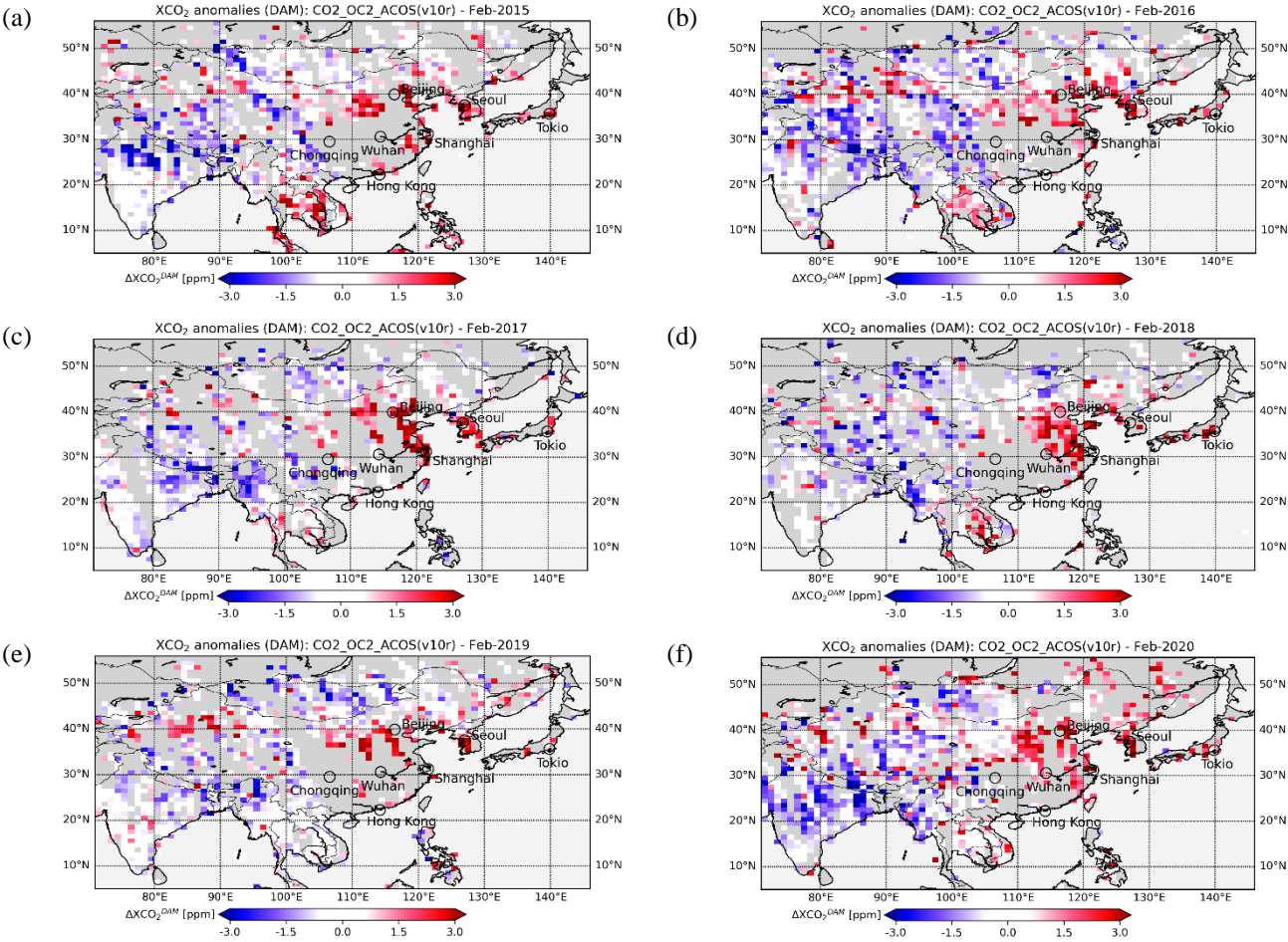
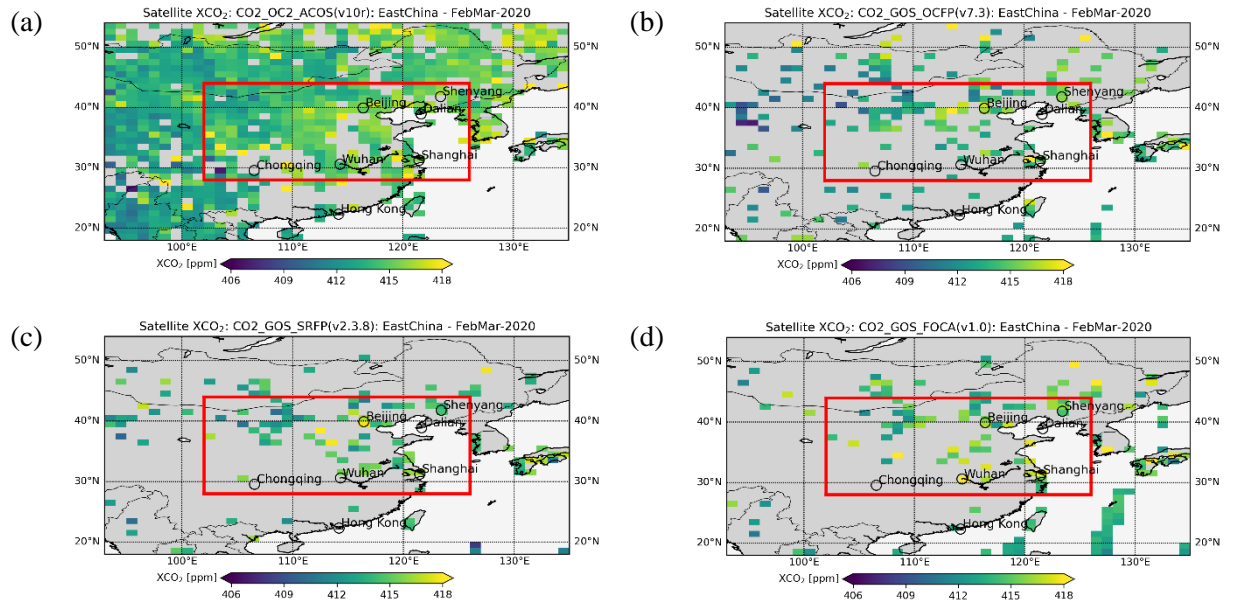
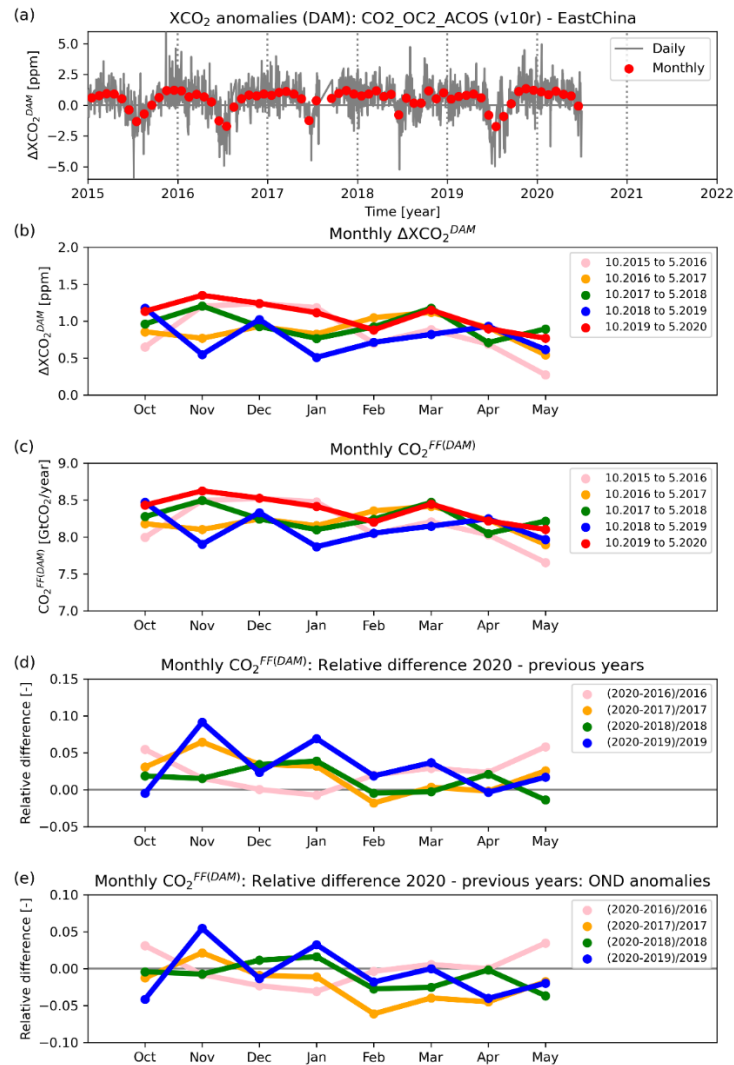


Figure 5: As Fig. 4 but for (a) February 2015 to (f) February 2020.



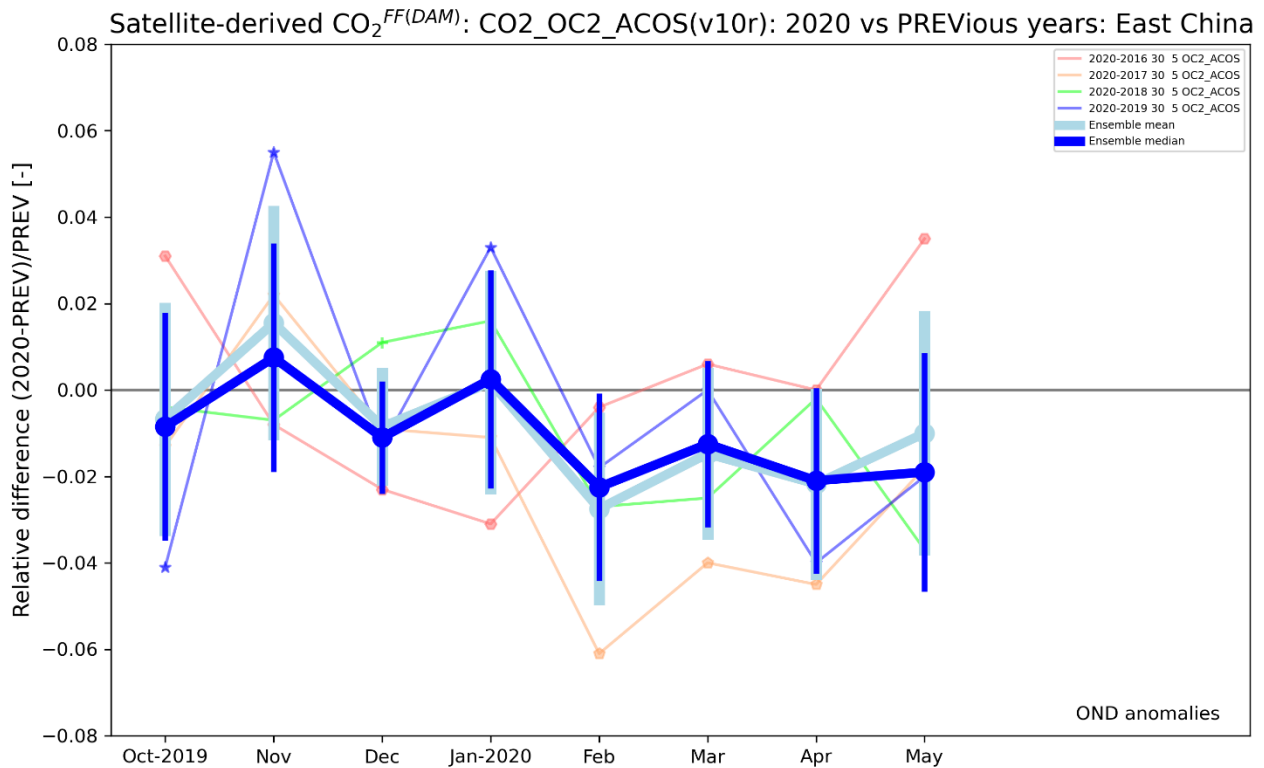
**Figure 6: Panel (a): OCO-2 XCO<sub>2</sub> (version 10r, product ID CO2\_OC2\_ACOS) over land at 1°x1° resolution for February-March 2020. The red rectangle encloses the investigated East China target region. Panels (b)-(d) as panel (a) but for products**  
 940 **CO2\_GOS\_OCFP (b), CO2\_GOS\_SRFP (c), and CO2\_GOS\_FOCA (d) (see Tab. 1 for details).**





TAR: Lon:102,126 Lat:28,44; MinNobs/day:30; MinNdays/month:5  
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**Figure 7: DAM analysis of the OCO-2 ACOS version 10r XCO<sub>2</sub> product (CO<sub>2</sub>\_OC2\_ACOS) for the region East China from January 2015 to May 2020. (a) The thin grey line shows the daily DAM XCO<sub>2</sub> anomalies, i.e., daily  $\Delta\text{XCO}_2^{\text{DAM}}$ . The red dots are the corresponding monthly values, which are also shown in (b) for different October – May periods. (c) As (b) but for CO<sub>2</sub><sup>FF(DAM)</sup>, i.e., for the estimated East China monthly FF emissions (see main text). The data for October 2019 – May 2020 (10.2019-5.2020) are shown in red (see annotation for other periods). (d) Relative CO<sub>2</sub><sup>FF(DAM)</sup> differences for different periods. In blue, for example, the differences correspond to the period 10.2019-5.2020 (shown in red in panel (c)) minus 10.2018-5.2019 (shown in blue in (c)). (e) As (d) but after the October to December mean value ("OND anomalies"). The following parameters have been used to generate this figure: Minimum number of observations/day: 30, minimum number of days/month: 5.**



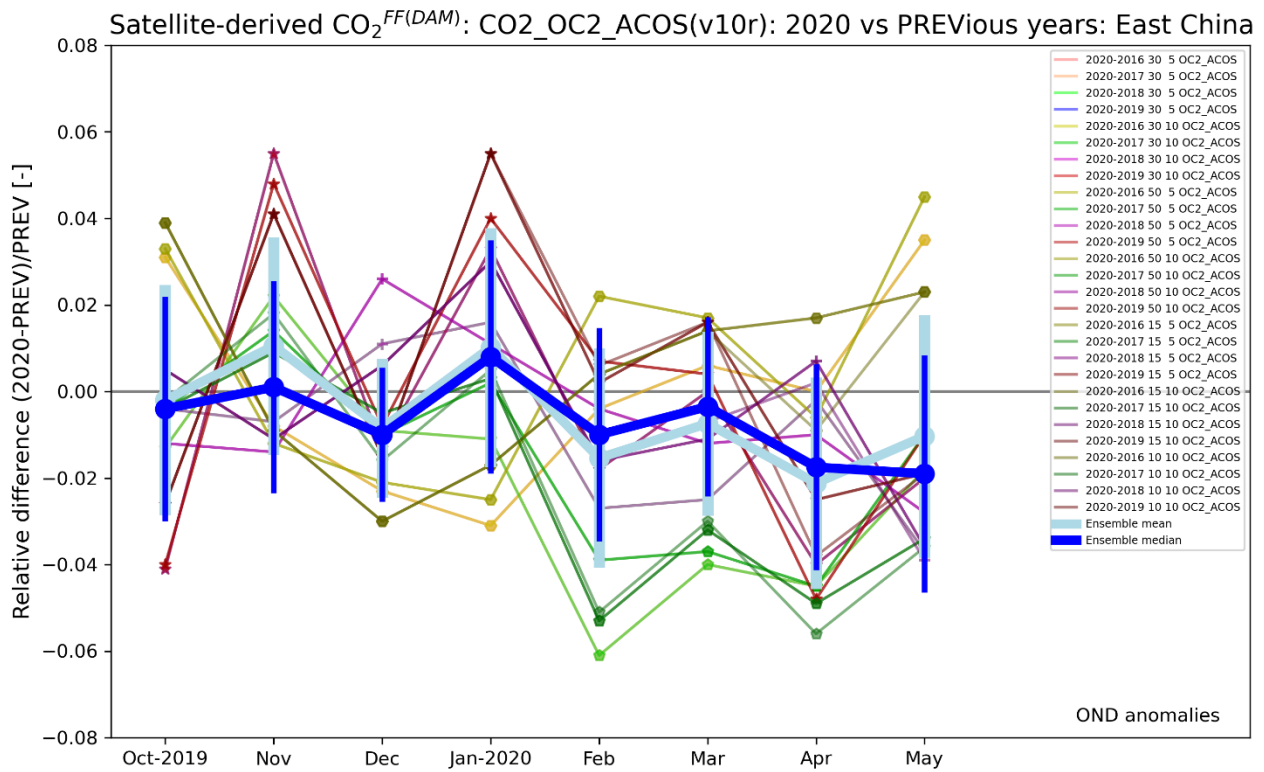
955

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**Figure 8: Ensemble members  $\text{CO}_2^{\text{FF(DAM)}}$  OND anomalies derived from satellite product  $\text{CO}_2\text{\_OC2\_ACOS}$ . The thin lines and small symbols show the same data also shown in the bottom panel of Fig. 7. The thick dots and lines show the corresponding ensemble median, mean and scatter. The following parameters have been used to generate this figure (see also annotation): Minimum number of observations/day: 30; minimum number of days/month: 5.**

960

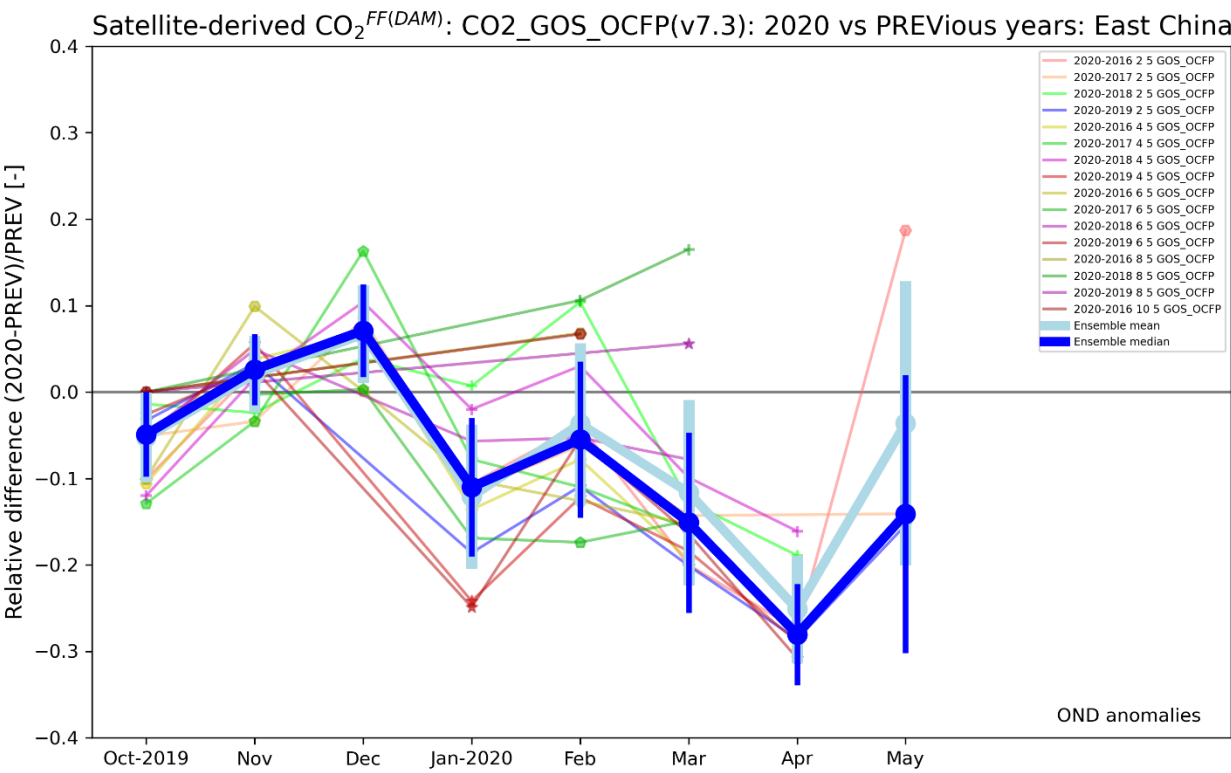




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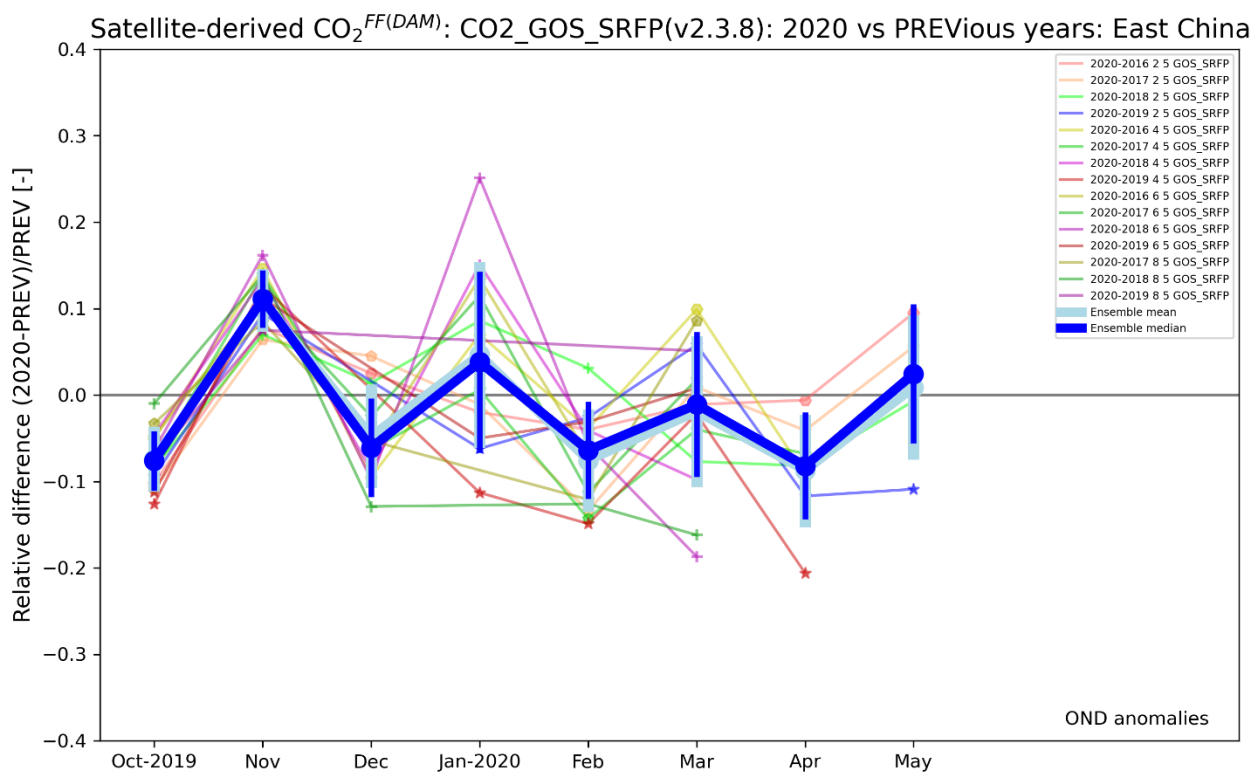
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**Figure 9:** The same as Fig. 8 but with additional combinations of minimum number of observations/day (30 as in Fig. 8 and in addition: 50, 15 and 10) and minimum number of days/month (5 as in Fig. 8 and in addition 10) (see annotation).



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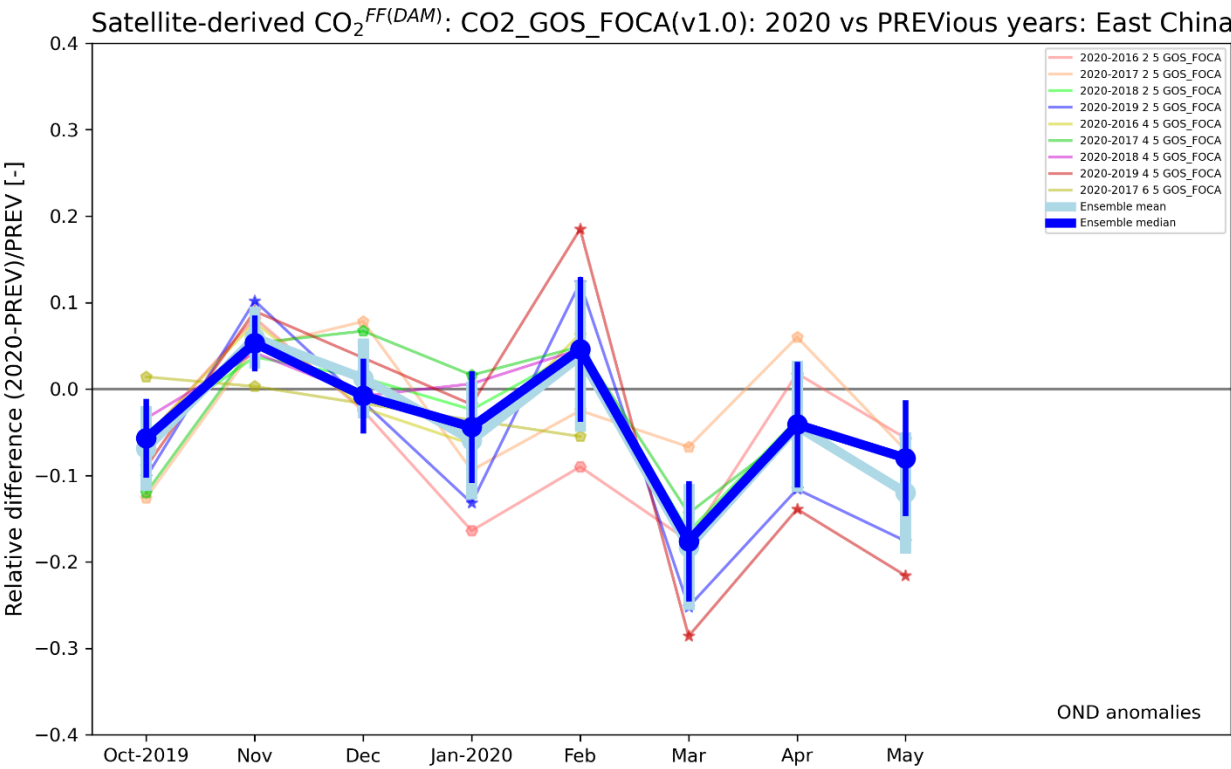
**Figure 10:** The same as Figs. 9 but for the product  $\text{CO}_2\text{\_GOS\_OCFP}$ . Results are shown for several values of the required minimum number of observations/day: 2, 4, 6, 8, 10 and 15. The required minimum number of days/month is 5.



980

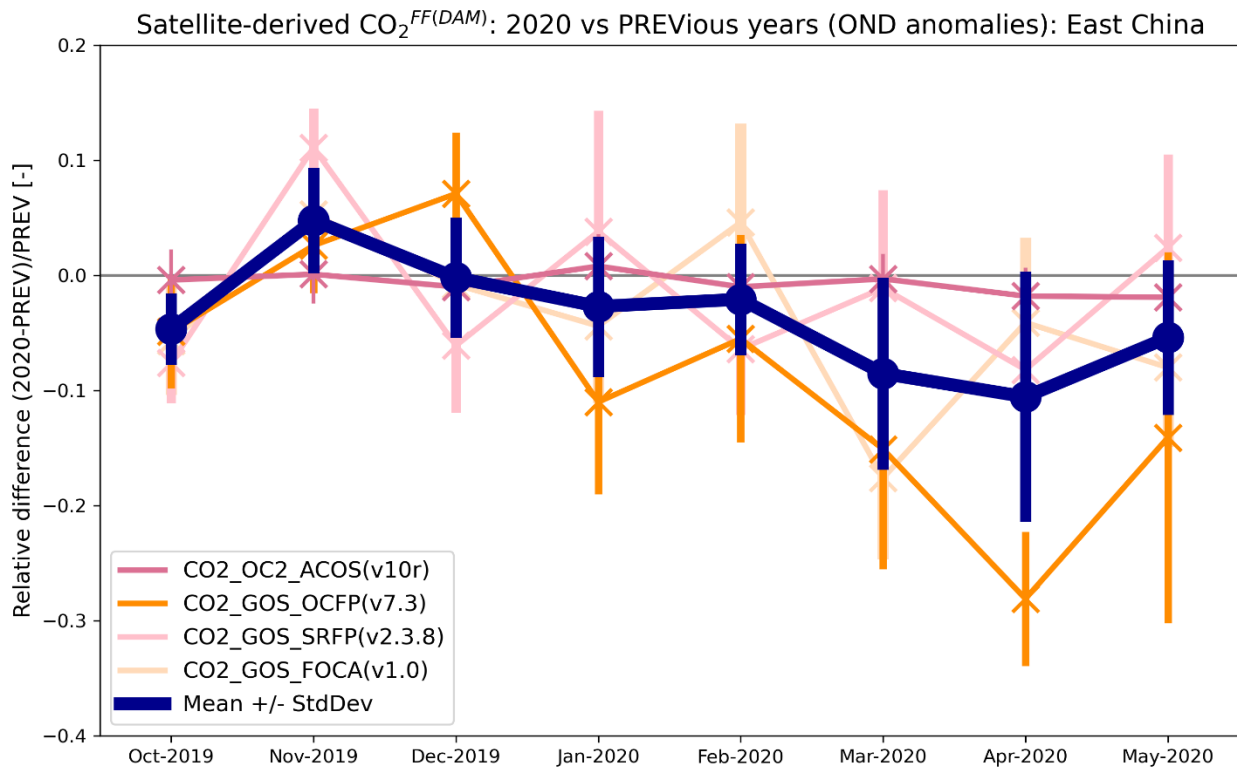
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**Figure 11:** The same as Fig. 10 but for the product  $\text{CO2\_GOS\_SRFP}$ .



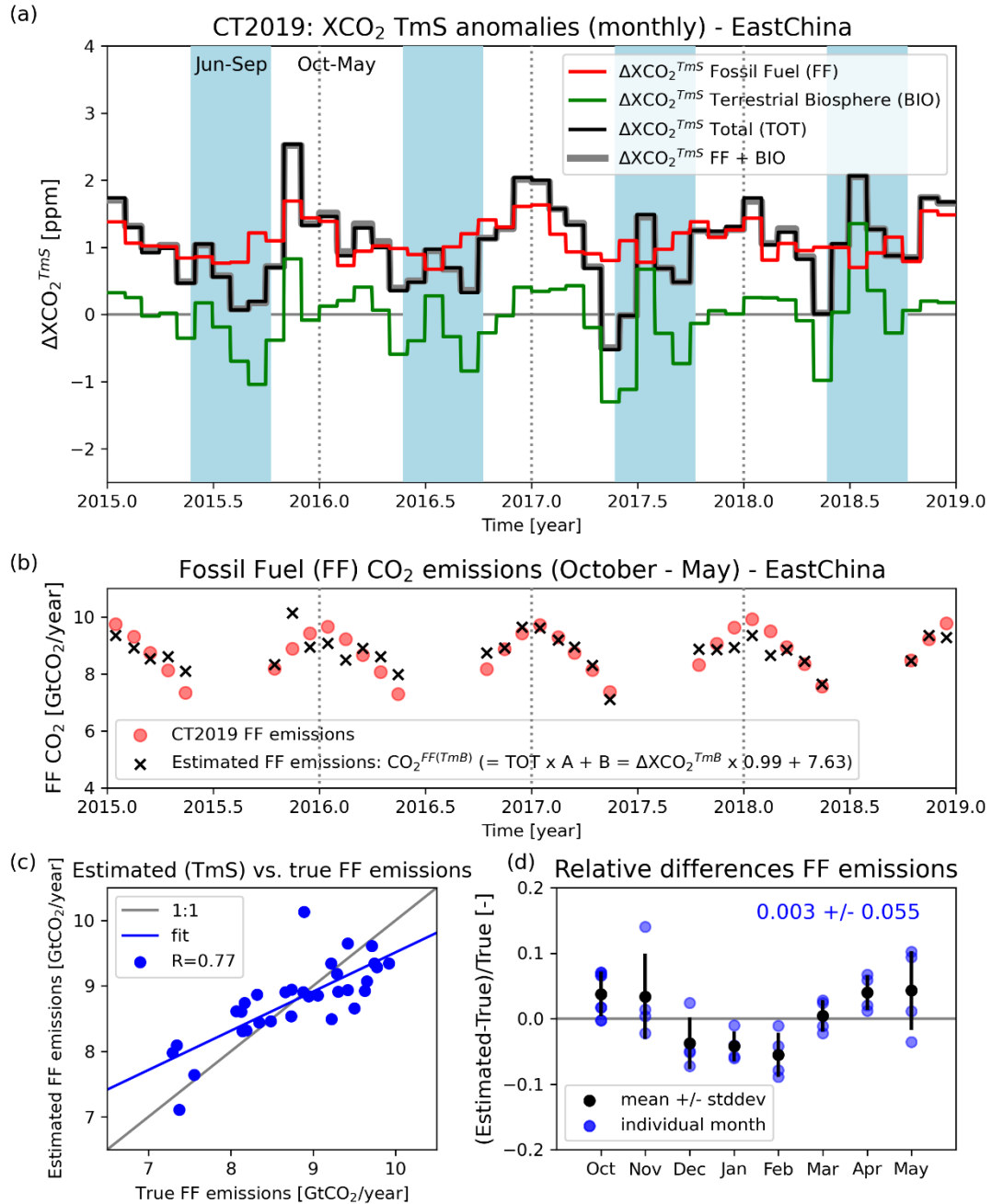
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**Figure 12:** The same as Fig. 10 but for the product CO2\_GOS\_FOCA.



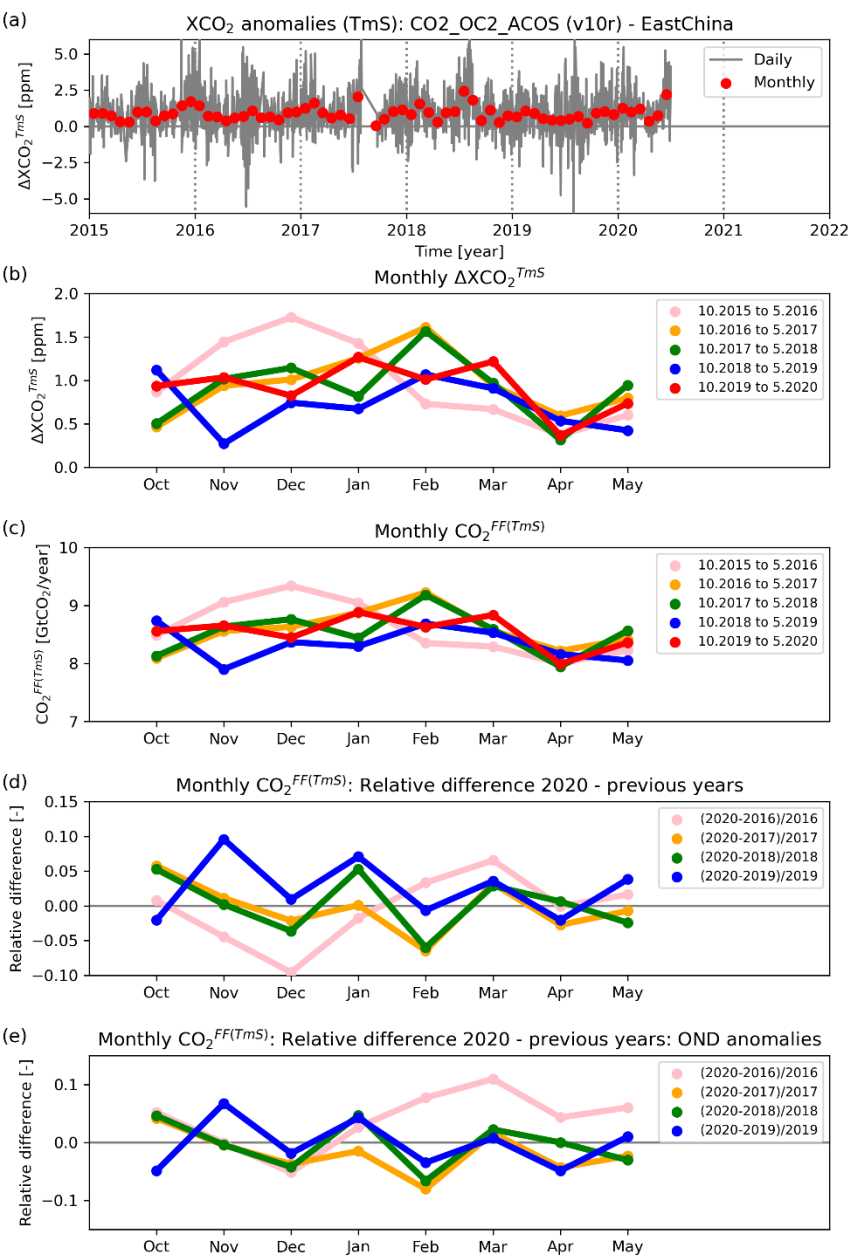
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995 **Figure 13: Overview of the ensemble-based  $\text{CO}_2^{\text{FF(DAM)}}$  results for January-May 2020 relative to October-December 2019 and**  
**previous years (also shown in Figs. 9 – 12) via reddish colours for each of the four analysed satellite  $\text{XCO}_2$  data products (see Tab.**  
**1). The corresponding ensemble mean value and its uncertainty is shown in dark blue. The uncertainty has been computed as**  
**standard deviation of the ensemble members. The corresponding numerical values of the ensemble members are listed in Tab. 4.**



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**Figure A1: The same as Fig. 2 but using the Target minus Surrounding (TmS) method.**



TAR: Lon:102,126 Lat:28,44; MinNobs/day:30; MinNdays/month:5  
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Figure A2: The same as Fig. 7 but using the TmS method.

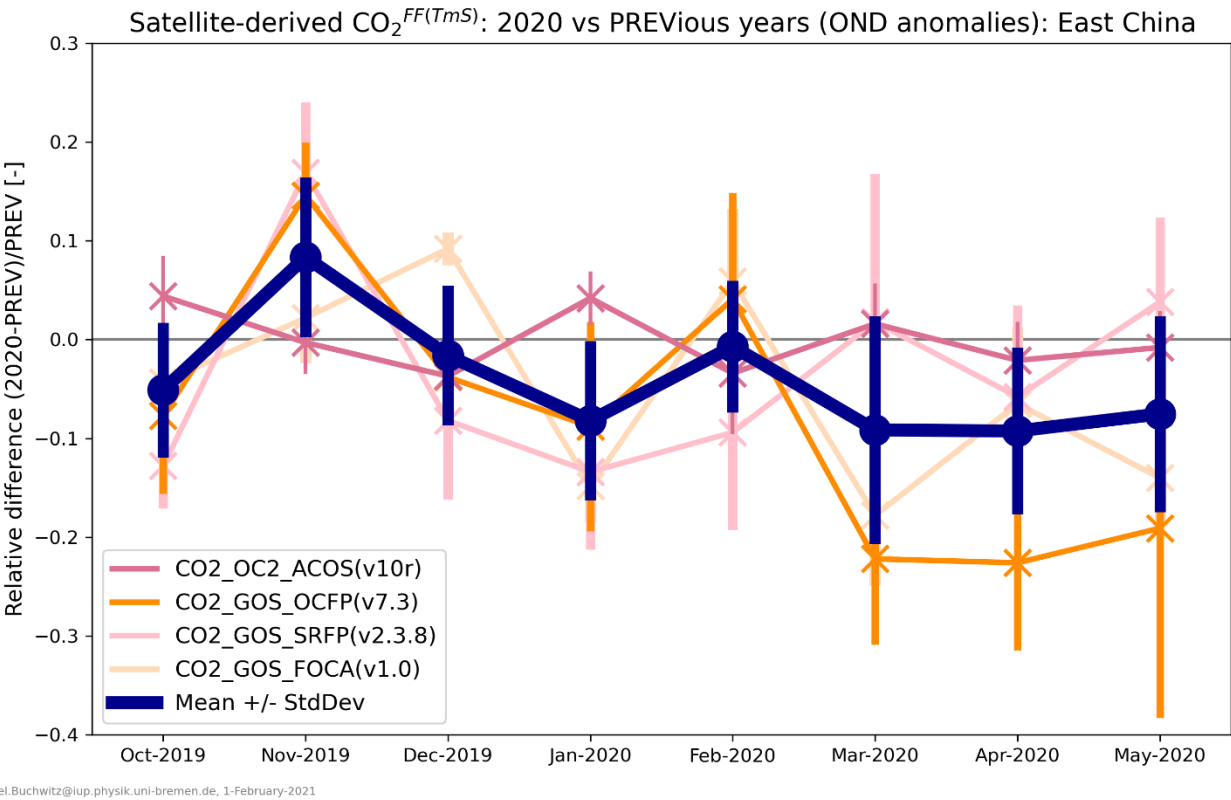


Figure A3: The same as Fig. 13 but using the TmS method.