



# 1 **Statistical analyzing the effect of ionospheric irregularity** 2 **on GNSS radio occultation atmospheric measurement**

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11

12 **Abstract.** The Global Navigation Satellite System (GNSS) atmospheric radio occultation (RO) has been  
13 an effective method for Earth's atmosphere exploring. RO signals propagate through ionosphere before  
14 reaching the neutral atmosphere. The GNSS signal is affected by the ionospheric irregularity including  
15 the sporadic E (Es) and the F region irregularity due to mainly multipath effect. The effect of ionospheric  
16 irregularity on atmospheric RO data has been demonstrated by several studies in terms of cases.  
17 However, its statistical effect has not been investigated comprehensively. In this study, based on the  
18 Constellation Observing System for Meteorology, Ionosphere, and Climate (COSMIC) RO data during  
19 2011-2013, the failed inverted RO events occurrence rate and the bending angle oscillation, which is  
20 defined as the standard deviation of the bias between the observed bending angle and the National Center  
21 for Atmospheric Research (NCAR) climatology model bending angle between 60 and 80 km, were used  
22 for statistical analysis. It is found that in middle and low latitudes during the daytime, the failed inverted  
23 RO occurrence and the bending angle oscillation show obvious latitude, longitude, and local time  
24 variations, which correspond well with the Es occurrence features. The F region irregularity (FI)  
25 contributes to the obvious increase of the failed inverted RO occurrence rate and the bending angle  
26 oscillation value during the nighttime over the geomagnetic equatorial regions. For high latitude regions,  
27 the Es can increase the failed inverted RO occurrence rate and the bending angle oscillation value during  
28 the nighttime. There also exists the seasonal dependency of the failed inverted RO event and the bending  
29 angle oscillation. Overall, the ionospheric irregularity effects on GNSS atmospheric RO measurement



30 exist in terms of failed RO event inversion and bending angle oscillation statistically. Awareness of these  
31 effects could benefit both the data retrieval and applications of RO in the lower atmosphere.

32

### 33 **1. Introduction**

34 The radio occultation (RO) is a technique originally developed in the late 1960s and early 1970s for  
35 planetary atmosphere exploring. With the great development of the Global Navigation Satellite System  
36 (GNSS) over the past 30 years, the GNSS signal has been an effective source for exploring the Earth's  
37 atmosphere. Several RO missions such as the Global Positioning System Meteorology (GPS/MET), the  
38 Challenging Minisatellite Payload (CHAMP) (Wickert et al., 2001), the Scientific Application Satellite-  
39 C (SAC-C), the Gravity Recovery and Climate Experiment (GRACE) (Beyerle et al., 2005), the  
40 Constellation Observing System for Meteorology, Ionosphere and Climate (COSMIC) (Schreiner et al.,  
41 2007), the Meteorological Operational Satellite Program (Metop) A/B, the Fengyun-3C (FY-3C) (Mao  
42 et al., 2016) and et al have proven the good capability of RO for observing the Earth's ionosphere and  
43 atmosphere. High-quality products of RO have been used for space weather, weather and climate  
44 research (Anthes et al., 2008).

45

46 The RO technique could be divided into the ionospheric RO and the atmospheric RO. For the former one,  
47 the GNSS signal propagates through the ionosphere. Dual-frequency pseudo-range and carrier phase can  
48 be observed by the receiver onboard the low Earth orbit (LEO) satellite and be used to invert the electron  
49 density profile. Besides, the amplitude and phase measurements can be used to calculate the ionospheric  
50 scintillation index such as the S4 index, which can represent the occurrence of the ionospheric irregularity  
51 (Yue et al., 2016). For the latter one, the GNSS signal propagates through both the ionosphere and the  
52 neutral atmosphere. The dual-frequency carrier phase can be used to calculate the bending angle and then  
53 invert the atmospheric parameters. As a result, the effects on signals caused by the ionosphere should be  
54 removed before deriving the atmospheric RO products.

55

56 For atmospheric RO, the GNSS signal is mainly affected by the ionosphere in two ways. Firstly, the  
57 existence of dense ionospheric electron density contributes to the bending of signals. Similar to the first-  
58 order ionospheric term calibration used in ground-based dual-frequency observations, a linear



59 combination of the two-band signal bending at the same impact parameter is usually used to remove the  
60 ionospheric effect (Vorob'ev and Krasil'nikova, 1994). However, after the linear combination of bending  
61 angles, there still exists a residual ionospheric error (RIE). The RIE could bring ionospheric variability  
62 such as solar cycle, local time, and seasonal variations into atmospheric RO products although its  
63 amplitude is relatively low (Li et al., 2020). It means that the climate research using atmospheric RO  
64 products would be affected by the ionosphere. Some efforts have been tried for the RIE calibration  
65 (Danzer et al., 2015; Liu et al., 2018; Li et al., 2020). In our previous study, we have characterized this  
66 effect statistically by both ray-tracing simulation and data analysis (Li et al., 2020). Secondly, the small-  
67 scale irregularities in the ionosphere also have an impact on the GNSS signal and finally affect the  
68 atmospheric RO products. The small-scale irregularities of which interest to this study are the sporadic  
69 E (Es) and the F region irregularity (FI). As indicated by former studies, the ionospheric irregularity will  
70 cause refraction or diffraction of the GNSS signal during its propagating through the ionosphere. The  
71 received signals could show temporal fluctuations in both amplitude and phase, which is known as the  
72 ionospheric scintillation. The impact of the small-scale irregularity on atmospheric RO can be significant  
73 but show quite different climatologically characteristics in comparison with the large-scale ionospheric  
74 effects (Li et al., 2020). Besides, it is difficult to model the ionospheric irregularity in a deterministic  
75 fashion for simulation research (Mannucci, et al., 2011). Previous studies only pointed out this small  
76 scale ionospheric effect in terms of cases (Zeng and Sokolovskiy, 2010). To our knowledge, there is no  
77 comprehensive study giving statistical analysis between ionospheric irregularity and atmospheric RO  
78 products, which is quite important to quantify this effect and therefore benefit atmospheric RO data  
79 retrieval and application. This is the main objective of this study.

80

81 In the following sections, we try to study the ionospheric irregularity effects on GNSS atmospheric RO  
82 measurement statistically. Based on previous related studies, the current study will make sense as  
83 following advantages: (1) the correlation between failed inverted COSMIC RO events and the  
84 ionospheric irregularity are analyzed; (2) morphology of the bending angle oscillation in the atmospheric  
85 RO measurement are presented in comparison with the occurrence rate of both Es and FI; (3) the seasonal  
86 dependency of failed inverted RO events and the bending angle oscillation is analyzed. We will describe  
87 the COSMIC observation and the statistical method in Section 2 and Section 3, respectively. Then the



88 ionospheric irregularity effects on single RO cases will be shown in Section 4. The statistical results of  
89 the failed inverted RO event and the bending angle oscillation will be depicted in comparison with the  
90 ionospheric irregularity occurrence rate in Section 5. Finally, the conclusions and implications will be  
91 presented in Section 6.

92

## 93 **2. RO Data Description**

94 COSMIC, as one of the most successful RO missions, was launched on 15 April 2006. The constellation  
95 with six LEO satellites has contributed millions of profiles for space weather, weather, and climate  
96 research in the past 14 years. Each COSMIC satellite has four separate antennas: two high gain  
97 occultation antennas received GNSS signals with a 50 Hz sampling rate to explore the neutral atmosphere  
98 from the top (~130 km) to bottom or reverse. The carrier phase modulated on signals can be used for  
99 excess phase calculating and atmospheric parameters retrieving. The other two antennas are precise orbit  
100 determination (POD) antennas with a 1 Hz sampling rate. The received signals are used for LEO orbiting,  
101 ionosphere electron density, slant total electron content (TEC), and scintillation index calculating  
102 (Schreiner et al., 2007, 2011). The COSMIC data are processed by the COSMIC Data Analysis and  
103 Archive Center (CDAAC) of the University Corporation for Atmospheric Research (UCAR) and  
104 available on the CDAAC website (<http://cdaac-www.cosmic.ucar.edu/>). In this study, the COSMIC RO  
105 observations during 2011-2013 were used for analysis. The S4 scintillation index in auxiliary data file  
106 (scnLv1) was used for the Es and FI occurrence rates calculation. The dry atmospheric profiles file  
107 (atmPrf) were used for the failed inverted RO occurrence rate calculation and the atmospheric bending  
108 angle oscillation morphology analysis. The specific calculating method will be introduced in the  
109 following section.

110

## 111 **3. Analysis Method**

112 To study the ionospheric irregularity effects on RO, we focus on analyzing two parameters: the failed  
113 inverted RO event occurrence rate and the bending angle oscillation defined as the mean standard  
114 deviation of the bias between the observed bending angle and the NCAR climatology model bending  
115 angle during the 60-80 km altitude interval. The failed inverted RO event means those events flunked  
116 the quality control during profiles inversion in CDAAC. They are identified by the 'bad' attribute in the



117 atmPrf file whose values are equal to 1. The oscillation of atmospheric RO bending angle is also provided  
118 by the atmPrf file. The S4 index contained in the scnLv1 file is used to represent the occurrence of the  
119 ionospheric irregularity. The occurrence rate of S4 index larger than 0.3 is set to represent the occurrence  
120 of ionospheric irregularity. It should be noted that we identify the occurrence altitude range between  
121 50~600 km as the contribution of both Es and FI together. We first calculated the failed inverted RO  
122 event occurrence rate in comparison with the ionospheric irregularity occurrence rate. After that, failed  
123 inverted RO events of COSMIC during 2011-2013 were screened out to study the Es and FI effects on  
124 the bending angle observation. Additionally, the ionPrf file of CDAAC is used for displaying the electron  
125 density profiles in single cases.

126

#### 127 **4. Ionospheric Irregularity Effect on Single RO Cases**

128 To have a preliminary knowledge of the ionospheric irregularity effect on atmospheric RO, we firstly  
129 show several typical single case examples. The results are shown in Figure 1. From top to bottom, each  
130 row show results of a case. The three cases represent the RO event without ionospheric irregularity, the  
131 RO event affected by the Es, and the event affected by the FI, respectively. From left to right columns,  
132 the panels represent the inverted bending angles, the signal-to-noise ratio (SNR) of L1 C/A signal, the  
133 related electron density profile, and the inverted dry temperature profile compared with the European  
134 Centre for Medium-Range Weather Forecasts (ECMWF) results. Please note that the grey lines in the  
135 rightmost panels denote the results of RO inverted temperature and the red lines represent those of  
136 ECMWF. The purple lines in case 1 and case 3 are the temperature bias multiplied by 10 for convenient  
137 comparison. The features of L1 C/A SNR profile and the electron density profile could identify whether  
138 a RO event is affected by the ionospheric irregularity (Yue et al., 2015). As depicted in the second column,  
139 the case 2 shows visible peaks in SNR fluctuation around 110 km, which correspond to the occurrence  
140 altitude range of Es and could reflect the Es effects on this event. Besides, the electron density profile of  
141 case 3 shows obvious scintillation between 200 km and 300 km, which implies the FI impact on signals.  
142 We also use the corresponding CDAAC scnLv1 file for verification. The S4 maximum values of cases  
143 1-3 are 0.03, 0.59, and 1.21, with S4 peaks around 111.69, 108.45, and 274.86 km, respectively. It can  
144 be seen that the normal case shows good inversion results. The value of the LC bending angle above 40  
145 km is much smaller than those of the L1 and L2 bending angles. It means that the ionosphere dominates



146 the bending of the RO signal in this tangent altitude interval and the linear combination method works  
147 well. The bias between the observed dry temperature and the ECMWF result is insignificant. However,  
148 the Es case shows bad results. Values of the inverted L2 bending angle are negative, which leads to larger  
149 values of LC bending angle after the linear combination. As a result, the temperature profile is failed  
150 inverted. Significant temperature bias between the observation and model result can be seen from the  
151 rightmost panel. For the FI case, oscillations can be seen in the LC bending angle profile in the leftmost  
152 panel as well as the temperature bias profile in the rightmost panel. The bending angle oscillation values  
153 of cases 1-3 are 0.66, 16.06, and 5.74  $\mu\text{rad}$ , respectively. It indicates that this oscillation could also be  
154 related to the ionospheric irregularity. The geometry of atmospheric RO observation determines that the  
155 ionospheric irregularity effects could propagate to a deep tangent height, where far below the altitude  
156 range of irregularity occurrence (Wu, 2020). We have gone through many cases and found that the failed  
157 inverted RO event and strong bending angle oscillation occurs usually along with Es and FI occurrence.  
158 But not all events affected by the Es and FI are failed inverted. The analysis of single cases motivates  
159 our further statistical study.

160

## 161 5. Statistical Results

162 The Es and FI have been investigated comprehensively in the past several decades (Hocke et al., 2001;  
163 Straus et al., 2003; Wu, 2005; Arras et al., 2008, 2009; Carter et al., 2013; Yue et al., 2015, 2016).  
164 Generally, the Es can be seen as thin layers with much higher plasma density than the normal E region  
165 density occurring during the altitude range of  $\sim 90\text{-}120$  km. The occurrence rate of Es is controlled by  
166 many factors such as the tidal wind, the Earth's geomagnetic field, and metal ions (Axford, 1963; Chu  
167 et al., 2014). These factors lead to the complicate variations of Es along with latitude, longitude, altitude,  
168 local time, and season (Hocke et al., 2001; Wu, 2005; Arras et al., 2008, 2009). FI is the plasma  
169 irregularity and inhomogeneity in the F region caused by plasma instabilities (Dungey, 1956; Fejer and  
170 Kelley, 1980). The scale sizes of the density irregularity range from a few centimeters to hundreds of  
171 kilometers and the irregularity can appear at all latitudes. Both Es and FI have been observed by  
172 ionosonde, incoherent/coherent scatter radars, and ground-based GNSS network. Since the success of  
173 GPS/MET, the GNSS RO has also been proven as an effective technique to detect the occurrence of Es  
174 and FI. Hocke et al. (2001) first derived the occurrence of Es from the GPS/MET observation and



175 confirmed its seasonal variation. Wu (2005) studied the latitude, local time, altitude, and seasonal  
176 dependency of Es by using CHAMP occultation data. Arras et al. (2008) further investigated the  
177 occurrence of Es using multiple RO missions including CHAMP, GRACE-A, and COSMIC. Chu et al.  
178 (2014) presented the morphology of Es based on COSMIC amplitude and phase fluctuations of L-band  
179 signals. For FI, Straus et al. (2003) made a statistical analysis of the GPS C/A code SNR fluctuations on  
180 L1 frequency based on observations onboard the PICOSat satellite. They found that the geographic and  
181 local time distributions of occultation having large values of the S4 index were consistent with known  
182 scintillation climatology. Brahmanandam et al. (2012) presented the three-dimensional global  
183 morphology and seasonal variations of S4 index measured from COSMIC for a low solar activity year  
184 2008 and found the latitude, altitude, and local time dependency of FI. Carter et al. (2013) further  
185 revealed the longitudinal and seasonal variations of equatorial FI using COSMIC S4 index. Besides, Yue  
186 et al. (2015, 2016) also studied the complex Es and the ionospheric irregularity related GPS RO loss of  
187 lock by COSMIC S4 index.

188

189 As investigated in the single case section, the failed inverted RO event and bending angle oscillation  
190 could be related to the ionospheric irregularity. So we carried out statistical research from all COSMIC  
191 atmospheric events during 2011-2013. Firstly, the RO event whose 'bad' attribute in the atmPrf file equals  
192 to 1 was selected as the failed inverted RO for the statistics. Then the failed inverted RO events were  
193 screened out for the bending angle oscillation study. Both the geographical and geomagnetic distributions  
194 of the ionospheric irregularity, the failed inverted RO event occurrence rate and the bending angle  
195 oscillation are shown in Figure 2. The grid resolutions are  $10^\circ \times 3^\circ$  for Lon $\times$ Lat and  $3^\circ \times 2$  h for  
196 MLat $\times$ MLT, respectively.

197

198 The global geographical distribution of both Es and FI occurrence rate together is depicted in the top left  
199 panel. For low and middle latitudes, two peaks of Es occurrence rate locate in the East Asia region and  
200 the North Africa region in the northern hemisphere. One peak locates near the South America region.  
201 The values of occurrence rate are greater than 30% in peak regions. One trough can be seen around the  
202 South Africa region with an occurrence rate lower than 10%. The result corresponds well with the Es  
203 characteristics derived from the GPS RO phase and SNR fluctuations (Wu, 2005). Besides, an occurrence



204 enhancement can be seen around the West Africa and the Atlantic Ocean region, which agrees with the  
205 previous studies base on COSMIC S4 index (Brahmanandam et al., 2012; Yue et al., 2016) and indicates  
206 the contributions of FI. For high latitudes, two peaks are available during 120° W-150° W and 0°-60° E  
207 in the northern hemisphere and one peak can be seen around 120° E near North Antarctica. In the top  
208 middle panel, we plotted the occurrence rate of failed inverted RO events during 2011-2013. The rate  
209 represents the failed inverted RO event in percentage which was calculated based on all observed  
210 COSMIC RO events during this time interval. Overall, the global distribution of the failed inverted RO  
211 event occurrence agrees with those of the Es occurrence in the top left panel. Two peaks in the northern  
212 hemisphere and one in the southern hemisphere match the locations of Es occurrence peaks around  $\pm 20^\circ$ .  
213 Besides, there exists an obvious increase in the failed inverted RO events in high latitudes. It should be  
214 noted that the occurrence rate distribution of failed inverted RO event can't match those of Es and FI  
215 completely because the inversion error is not only affected by the ionospheric irregularity but also  
216 affected by other factors such as the low SNR. However, the contribution of FI on the failed inverted RO  
217 event is not obvious in this panel. This might be due to the high occurrence regions of FI overlaps those  
218 of Es partly. In the top right panel, we plotted the global distributions of the median bending angle  
219 oscillation. The results are also in good agreement with those patterns of Es and FI occurrence rate.  
220 Strong oscillations can be seen around North Africa and the East Asia regions in the Northern Hemisphere  
221 and around South America in the Southern Hemisphere, with bending angle oscillation values of  $\sim 1.4$   
222  $\mu\text{rad}$ . The trough of bending angle oscillation can be seen around the South Africa regions with values  
223 less than 1  $\mu\text{rad}$ . Both the locations of peaks and troughs correspond well with those of Es occurrence  
224 rate. Besides, larger oscillation values are available in the Atlantic Ocean around the equator, which could  
225 be related to the high FI occurrence in these regions. Especially, both the failed inverted RO and the  
226 bending angle oscillation show obvious peaks around 120° E near North Antarctica. Peaks of the two  
227 parameters could be related to the high occurrence of both Es and FI in this region. Peaks of Es and FI  
228 occurrence in this region have also been observed by Wu (2020) based on S4 index from RO data sets.  
229  
230 We also plotted the geomagnetic local time and latitude (MLT-MLat) distribution of the three parameters  
231 in the bottom panels in Figure 2 for further comparison. In most regions of the bottom left panel, the  
232 distributions are similar to those of the Es. Irregularity occurs more around geomagnetic equator regions





233 and the aurora oval regions. Around the geomagnetic equator regions during 18-24 MLT, there is an  
234 occurrence enhancement caused by FI. Both Es and FI contributes to a ‘three peaks’ feature in the equator  
235 regions after sunset. These features correspond to the previous studies observed no matter by ground-  
236 based GNSS observations (Li et al., 2011) or COSMIC RO observations (Chen and Huang, 2017).  
237 Similar features can be seen in the bottom middle panel. The occurrence rate of the failed inverted RO  
238 event is higher around the equator regions from sunset to midnight, which can reach 20%. In high  
239 latitudes, two ovals are available. Besides, the failed inverted RO event also occurs more after midnight  
240 and during the noon in the Southern Hemisphere. In these regions, the FI could make contributions. For  
241 bending angle oscillation in the bottom right panel, three peaks of the mean oscillation value exist along  
242 the geomagnetic latitude, which denotes the contribution of Es and FI during the nighttime. The value of  
243 bending angle oscillation tends to be small within  $\pm 60^\circ$  during 2~10 MLT. For high latitudes, the  
244 bending angle shows strong oscillation even though the occurrence rate of Es and FI is lower than those  
245 of peak regions in middle and low latitudes.

246  
247 For a better display of the high-latitude results, we plotted the irregularity and failed inverted RO  
248 occurrence rates as well as the bending angle oscillation variation with MLT-MLat in northern and  
249 southern polar regions in Figure 3. As depicted in the left two panels, the ionospheric irregularity mainly  
250 occurs during 18-24 MLT, with occurrence peaks existing in aurora regions around midnight and moving  
251 toward the polar cap regions when approaching the sunset time. Values of the irregularity occurrence rate  
252 are around 10-20% during the nighttime and can reach 30% for peak regions. The middle two panels  
253 show the occurrence rate of failed inverted RO events. It is depicted that the peaks are located in aurora  
254 regions and extent to the polar cap regions from sunset time to midnight. The increase in the occurrence  
255 rate around these regions might be affected by the high occurrence rate of Es. The failed inverted RO  
256 occurrence rates are also higher during the daytime in both hemispheres although the irregularity  
257 occurrence rates are lower than 10% during this period. The right panels show the bending angle  
258 oscillation results. Its value is larger in aurora regions around midnight, which can reach 2.1  $\mu\text{rad}$ . For  
259 those values in the South Hemisphere, strong bending angle oscillation can be seen in the polar cap  
260 regions no matter during the daytime or nighttime. Besides, the peak regions locate mainly between  
261  $80^\circ\text{ S}$ - $90^\circ\text{ S}$  instead of the  $70^\circ\text{ S}$ - $80^\circ\text{ S}$  as the irregularity occurrence peak shown in the bottom middle



262 panel.

263

264 We also use the scatter plot to study correlations between the ionospheric irregularity and the two  
265 parameters. The results were plotted in Figure 4. Considering patterns of the failed inverted RO  
266 occurrence rate and the bending angle oscillation could not agree very well with those of the irregularity  
267 in high latitude regions, we mainly pay attention to the results in low and middle latitudes ( $60^{\circ}$  S- $60^{\circ}$  N).  
268 Correlations during the daytime (6~18 MLT) and the nighttime (0~6, 18~24 MLT) were displayed  
269 respectively. Overall, the correlations between the ionospheric irregularity and the two parameters are  
270 significant although they are not strictly linear especially for the bending angle oscillation during the  
271 daytime. The scatters in the panels are probably due to that the bending angle oscillation is not only  
272 affected by the Es and FI but also related to other factors. Meanwhile, the observation and inversion noise  
273 could also make contributions.

274

275 In Figures 2-4, we mainly concern about the yearly average pattern. As stated above, the seasonal  
276 variation of irregularity has been confirmed by previous studies (Arras et al., 2008; Chen and Huang,  
277 2017). So the seasonal dependency of the failed inverted RO event and the bending angle oscillation  
278 could also exist. For further investigating, the occurrence rate variation with Lon-Lat and MLT-MLat  
279 were depicted in Figure 5 and Figure 6, respectively. Equinox (March, April, September, and October),  
280 Northern Summer (May, June, July, and August), and Northern Winter (January, February, November,  
281 and December) are considered here. Generally, in Figure 5, the distributions of the failed inverted RO  
282 event occurrence are in good agreement with those of the irregularity. Both parameters are larger within  
283  $\pm 30^{\circ}$  in Equinox and larger in summer than in winter. For Northern Summer, the occurrence peaks are  
284 near the North Africa area and the East Asia area. For Northern Winter, the peaks are available in the  
285 Pacific Ocean regions nearby South America. Similar to the average pattern in Figure 2, the failed  
286 inverted RO occurrence rate is high in polar regions even though the Es and FI occurrence is not obvious  
287 in comparison with those of peak regions in low latitudes. The MLT-MLat distributions of the irregularity  
288 and the failed inverted RO in Figure 6 also show similar seasonal variations. But for the southern polar  
289 region in Equinox and the northern polar region in Northern Winter, the failed inverted RO occurs  
290 significantly on condition that the occurrences of Es and FI are not obvious. This might be due to that



291 the FI occurrence is lower than those of Es in high latitudes but it plays the main role in the failed inverted  
292 RO occurrence in these months. For the bending angle results in both bottom panels in Figures 5 and 6,  
293 it is apparent that the bending angle oscillation value also follows a similar seasonal variation with the  
294 ionospheric irregularity occurrence, which is larger in summer than in winter with the equinox as the  
295 transitory season. It is noticeable that for the geographic distribution, larger values exist around Northern  
296 Antarctica in all seasons. For the geomagnetic distributions, the three peaks along geomagnetic latitudes  
297 are available in all seasons.

298

## 299 **6. Conclusions and Implications**

300 In this paper, we focus on the ionospheric irregularity effects on GNSS atmospheric RO. The failed  
301 inverted RO events and the bending angle oscillation are the two main parameters we concerned about.  
302 The COSMIC S4 index provided by CDAAC during 2011-2013 is used to characterize the ionospheric  
303 irregularity occurrence rate such as the Es and the F region irregularity. The 'bad' attribute in the atmPrf  
304 file is used to identify the failed inverted RO events on condition that its value equals to 1. The mean  
305 bending angle oscillation also from the atmPrf file is used to reflect the degree of bending angle  
306 oscillation. Results from single cases are analyzed firstly. Then the distribution patterns and seasonal  
307 variations of the ionospheric irregularity occurrence rate, failed inverted RO event occurrence rate, and  
308 the bending angle oscillation are presented for the correlation study. The main conclusions and  
309 implications of the paper are summarized in the following:

- 310 (1) The ionospheric irregularity such as the Es and the F region irregularity could affect the GNSS  
311 atmospheric RO in terms of causing failed inverted RO events and the bending angle oscillation in  
312 both cases and statistically.
- 313 (2) In middle and low latitudes, during the daytime, both the failed inverted RO event and the bending  
314 angle oscillation are mainly affected by the Es. During the nighttime, the F region irregularity  
315 contributes to the obvious increases of the failed inverted RO occurrence rate and the bending angle  
316 oscillation around the geomagnetic equatorial regions.



317 (3) In the polar regions, the Es mainly affect the two parameters in the aurora regions from sunset to  
318 midnight. But the correlations between the ionospheric irregularity and the two parameters are not  
319 as obvious as those in middle and low latitudes.

320 (4) Seasonal dependency of the failed inverted RO occurrence and the bending angle oscillation exists,  
321 which also accord well with the seasonal variation of the Es and the F region irregularity.

322 (5) The occurrence rate of the failed inverted RO can reach 15% in low latitudes and even 20% in peak  
323 regions. It means that hundreds of COSMIC RO events per day will be ruled out during quality  
324 control. The bending angle oscillation between 60 and 80 km also varies from  $\sim 0.6 \mu\text{rad}$  in trough  
325 regions to  $\sim 2.5 \mu\text{rad}$  in peak regions. Although 60~80 km is not the main altitude range of RO data,  
326 the small-scale effects in atmospheric RO exist in all altitudes and could affect the atmospheric  
327 research related to RO products. Awareness of the ionospheric irregularity effect on RO could be  
328 beneficial to improve the data retrieval, quality control of GNSS atmospheric RO data processing  
329 and data assimilation application in numerical weather prediction (Cardinali and Healy, 2014).

330

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339 could be downloaded from the CDAAC website (<http://cdaac-www.cosmic.ucar.edu/>).

340

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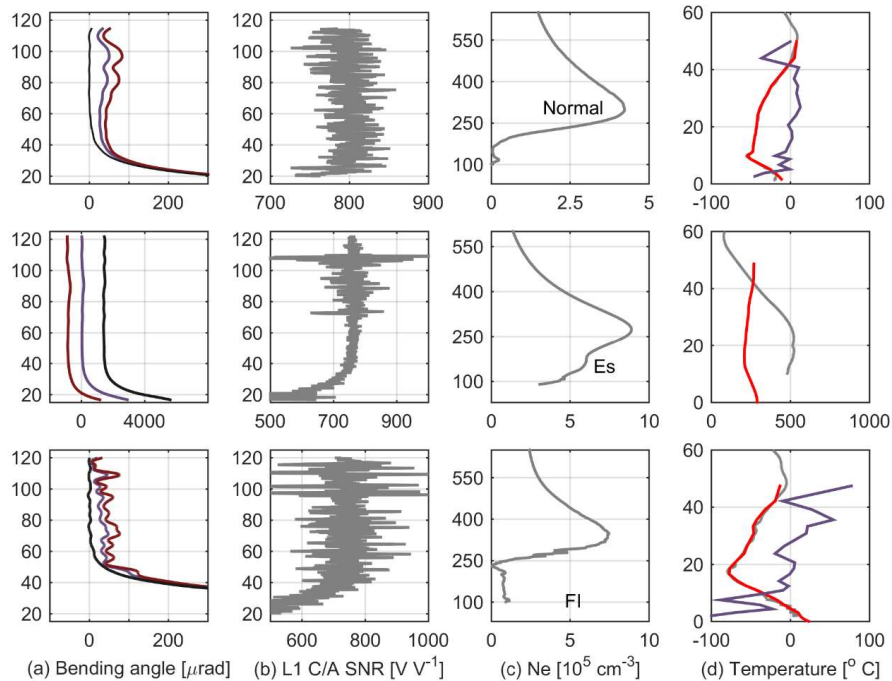
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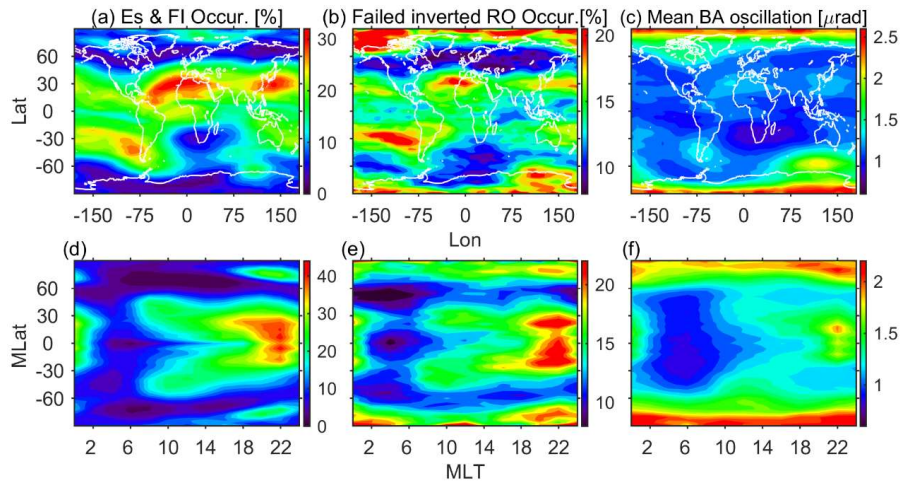
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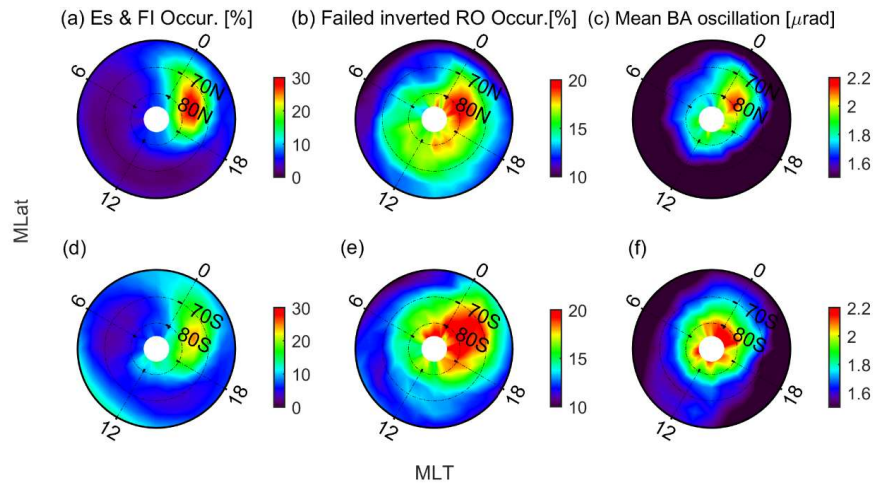
426 **Figure 1.** Example of three cases occurred in 2013 made by COSMIC. Panels from top to bottom  
 427 are normal example without the ionospheric irregularity occurrence, the Es example, and the F  
 428 region irregularity example. Panels from left to right are the inverted L1 (purple line), L2 (brown  
 429 line), and LC (black line) bending angles; the L1 C/A SNR, the electron density profile at the RO  
 430 tangent points; the inverted dry temperature (grey line) versus the ECMWF results (red line) as  
 431 well as their bias multiplied by 10 (purple line).





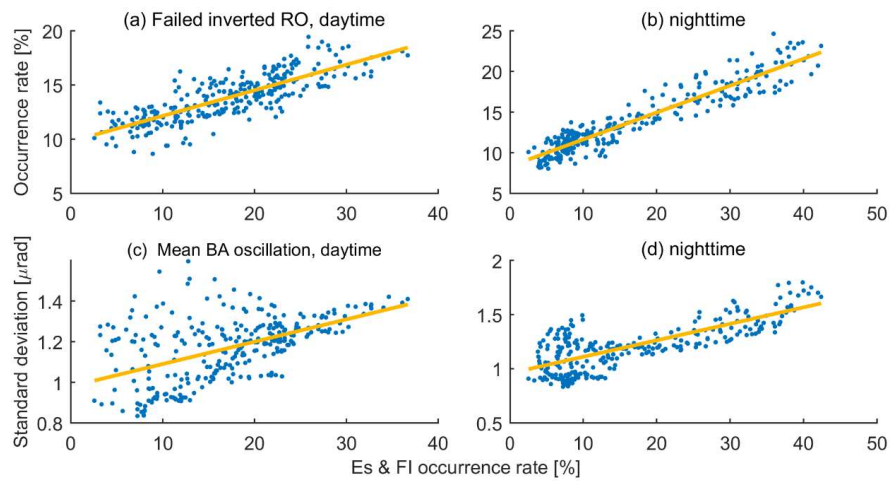
432

433 **Figure 2. Global geographical (top panels) and geomagnetic distributions (bottom panels) of the**  
434 **Es and F layer irregularity occurrence rate (left panels), the failed inverted RO event occurrence**  
435 **rate (middle panels), and the mean bending angle oscillation (right panels) during 2011-2013.**



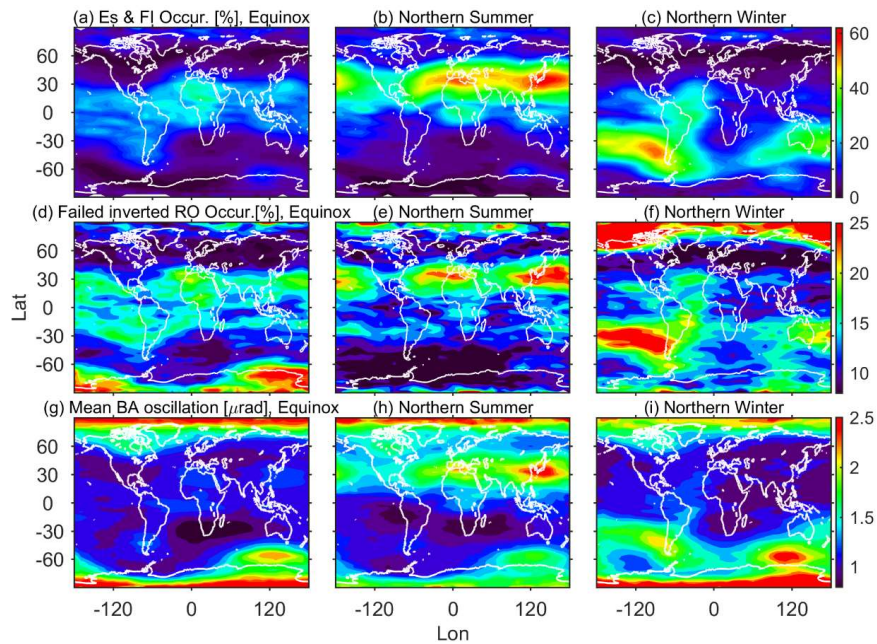
436

437 **Figure 3. MLT-MLat variation of the Es and F layer irregularity occurrence rate (left panels), the**  
438 **failed inverted RO occurrence rate (middle panels), and the mean bending angle oscillation (right**  
439 **panels) in polar regions. Please note that the top panels represent the results in northern polar**  
440 **regions while the bottom panels denote the southern polar regions.**



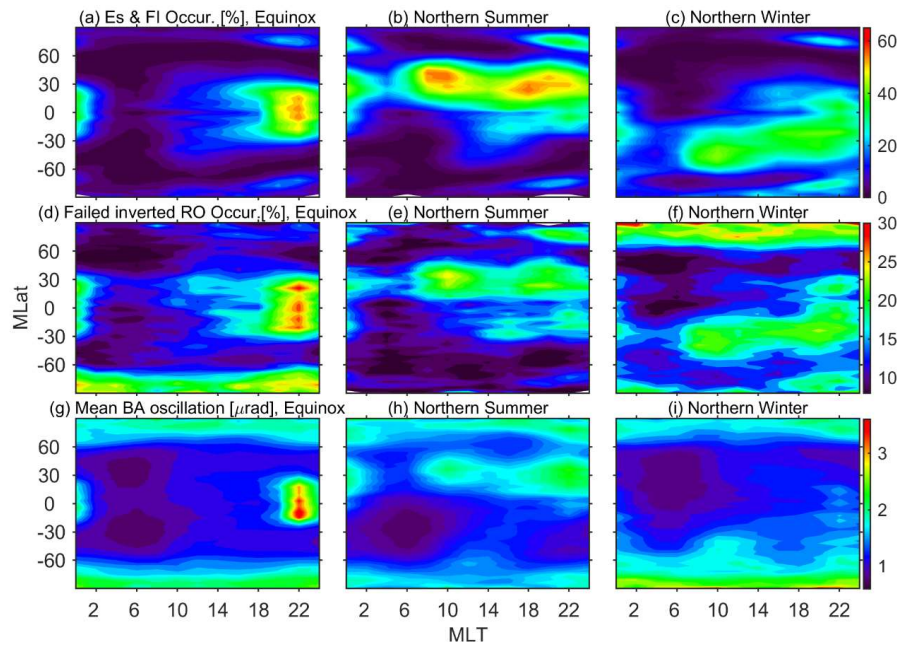
441

442 **Figure 4.** Correlations between the ionospheric irregularity and the two parameters in middle and  
443 low latitudes ( $60^{\circ}$  S- $60^{\circ}$  N) during the daytime (6~18 MLT, left panels) and nighttime (0~6 &  
444 18~24 MLT, right panels) of 2011-2013. The yellow line is the corresponding linear least square  
445 fitting results.



446

447 **Figure 5.** Global geographical distribution of the Es and F layer irregularity occurrence rate (top  
448 panels), the failed inverted RO occurrence rate (middle panels), and the mean bending angle  
449 oscillation (bottom panels) for Equinox (left panels), Northern Summer (middle panels), and  
450 Northern Winter (right panels).



451

452 **Figure 6. The same as Figure 5, but for geomagnetic local time (MLT) and geomagnetic latitude**

453 **(MLat) variation.**