

An ~~improved~~ alternative cloud index for estimating downwelling surface solar irradiance from various satellite imagers in the framework of a Heliosat-V method

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Abstract. We develop a new way to retrieve the cloud index from a large variety of satellite instruments sensitive to reflected solar radiation, embedded on geostationary as non geostationary platforms. The cloud index is a widely used proxy for the effective cloud transmissivity, also called clear-sky index. This study is in the framework of the development of the Heliosat-V method for estimating downwelling solar irradiance at the surface of the Earth (DSSI) from satellite imagery. To reach its versatility, the method uses simulations from a fast radiative transfer model to estimate overcast (cloudy) and clear-sky (cloud-free) satellite scenes of the Earth's reflectances. Simulations consider the anisotropy of the reflectances caused by both surface and atmosphere, and are adapted to the spectral sensitivity of the sensor. The anisotropy of ground reflectances is described by a bidirectional reflectance distribution function model and external satellite-derived data. An implementation of the method is applied to the visible imagery from a Meteosat Second Generation satellite, for 11 locations where high quality in situ measurements of DSSI are available from the Baseline Surface Radiation Network. ~~Results For 15-minute means of DSSI, results~~ from our preliminary implementation of Heliosat-V and ground-based measurements show a ~~correlation coefficient reaching bias of 20 W m^{-2} , a root-mean-square difference of 93 W m^{-2} , and a correlation coefficient of 0.948, for 15-minute means of DSSI.~~ The statistics, except for the bias, are similar to operational and corrected satellite-based data products ~~(0.950 for HelioClim3 version 5 and 0.937 for CAMS Radiation Service).~~

15 1 Introduction

Downwelling surface solar irradiance (DSSI) is one of the Essential Climate Variables defined by the Global Climate Observing System (GCOS, 2016). It is the solar part of the downwelling irradiance at the surface of the Earth and on an horizontal unit surface. The solar irradiance is defined as the integration on the spectral interval 290-3000 nm, accordingly to WMO (2014). DSSI considers the irradiance coming from all directions of the hemisphere above the surface: the irradiance coming from the direction of the Sun, usually referred to as beam horizontal irradiance, plus a diffuse component due to scattering caused by the atmosphere (clouds, gases, aerosols) and reflection by the surface, usually referred to as diffuse horizontal irradiance.

The knowledge of DSSI variations in space and time is of primary importance for various fields such as Earth sciences, ~~renewable~~ solar energy industries, agriculture, or some medical fields. To meet all these needs, an ideal information on DSSI

would feature high spatio-temporal resolution, a coverage of the entire Earth's surface, and the longest time period possible.

25 Long time series of data are notably useful to identify statistics of long-term inter-annual to multi-decadal variability and possible trends, if bias and standard deviation of the error requirements are reached.

Different approaches already exist to produce such DSSI data. Sources of data mainly include ground pyranometric measurements ~~;~~ ~~e.g. from national meteorological and hydrological services,~~ [\(Driemel et al., 2018\)](#), numerical weather prediction (NWP) modeling ~~;~~ ~~e.g. the Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2)~~ [\(Gelaro et al., 2017\)](#) ~~and the European Centre for Medium-range Weather Forecasts (ECMWF) reanalyses ERA-5 (Hersbach et al., 2020)~~ ~~;~~ ~~and~~ [\(Gelaro et al., 2017; Hersbach et al., 2020\)](#), and [satellite-based remote sensing](#) ~~;~~ ~~e.g. methods listed by Sengupta et al. (2017)~~ [\(Sengupta et al., 2021\)](#). Satellite-based methods are an efficient and accurate way to produce kilometeric and sub-hourly resolved multidecadal time series of DSSI. A more comprehensive review of pros and cons of different ~~approaches~~ [methods](#) is notably described in Huang et al. (2019).

35 The imagery produced by satellite radiometers provides a unique perspective on DSSI. Upwelling radiances coming from each location on Earth are acquired several times per day by a wide set of satellite imagers. This can particularly be achieved thanks to imagers embedded on meteorological geostationary (GEOs) and polar orbiting satellites ~~like the Polar Operational Environmental Satellites (POES) from the National Oceanic and Atmospheric Administration (NOAA), and the MetOp series operated by the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT)~~. Another approach

40 exists since 2015, thanks to the Deep Space Climate Observatory (DSCOVR) satellite mission: its Lissajous orbit around the L1 Lagrangian point between the Earth and the Sun makes it possible to picture the whole sunlit hemisphere of the planet, with a single satellite radiometer (Marshak et al., 2018; Hao et al., 2020).

Imagery of the Earth produced by satellite sensors exists for about six decades, and led early to the development of methods for estimating DSSI (Tarpley, 1979).

45 Today, the information from multi-channel satellite measurements offers the possibility to derive cloud physical properties and then compute cloud attenuation of the solar radiation with methods like the Fast All-sky Radiation Model For Solar Applications (FARMS) (Xie et al., 2016), Heliosat-4 (Qu et al., 2017), Zhang et al. (2018), or Hao et al. (2019). Such methods are especially advantageous for highly reflective regions, where clouds are difficult to discriminate from the ground. Nevertheless, they require information on more than one spectral channel, limiting their versatility in the choice of satellite sensor.

50 The use of radiative transfer models and look-up tables is also quite common in the field of satellite-based estimation of DSSI, but usually requires pre-existing informations on cloud properties or a cloud mask (*e.g.* ISCCP-F (Zhang, 2004), GEWEX-SRB (Pinker and Laszlo, 1992; Cox et al., 2017), CLARA (Mueller et al., 2009), Cloud_cci (Stengel et al., 2020; Stephens et al., 2001), [SICCS \(Greuell et al., 2013\)](#)).

Another group of methods, labeled as "cloud-index methods", are able to produce estimates of downwelling surface solar irradiance from the visible imagery of satellite radiometers without external knowledge on cloud physical and optical properties. This gives them potential to retrieve multi-decadal time series including from the imagery of oldest 2-channel sensors like the Meteosat Visible and Infrared Imager (MVISIRI). Cloud-index methods emerged quite early, notably with the seminal work of Möser and Raschke (1983) and the first Heliosat method (Cano et al., 1986; Cano, 1982). The cloud index quantity derives

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from the radiances measured by spaceborne sensors, and relates them to the extinction of the DSSI caused by clouds. The greater the cloud index, the greater the extinction, and the smaller the DSSI. More precisely, the cloud index can be used as an empirical proxy for effective cloud transmissivity. The latter, also named "clear-sky index" within the scientific community of solar energy, is defined as the ratio of the all-sky surface irradiance to the clear-sky surface irradiance (Long and Turner, 2008; Beyer et al., 1996), *i.e.* the DSSI in cloud-free conditions.

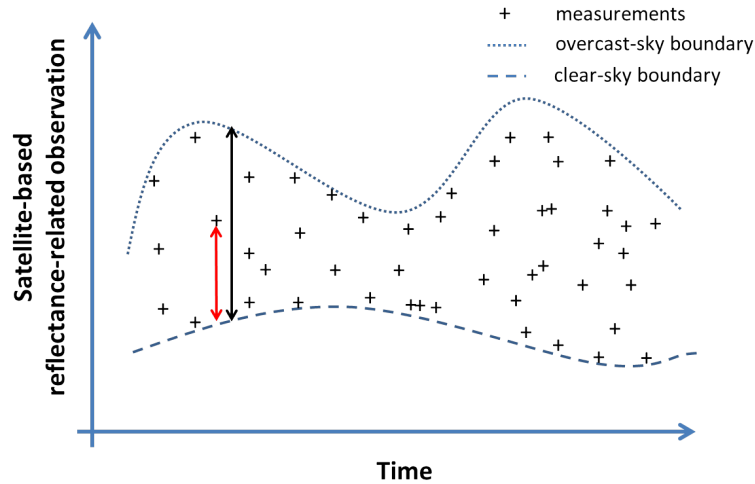


Figure 1. The calculation of a cloud index for a given location. The cloud index is the ratio between the distances "measurement to clear-sky" (red arrow) and "overcast-sky to clear-sky" (black arrow).

The cloud-index concept is based on the idea that the presence of a cloud brightens locally pixels of satellite shortwave imagery. In general, the value that quantifies reflectances of a given location observed from the top of the atmosphere (TOA), is comprised between a low and a high boundary values. The low boundary value X_{\min} is taken as the clear-sky case and the high one X_{\max} as the most cloudy case. The attenuation of downwelling surface solar irradiance by clouds is roughly given as a linear function of the difference between the measured value X_{sat} and the clear-sky boundary, relatively to the cloudy case - clear case difference, as illustrated in Figure 1. We name these variables X as they can be of slightly different nature from one work to another (reflectance, albedo, radiance, digital count, etc.). The cloud index n is then given as:

$$n = \frac{X_{\text{sat}} - X_{\min}}{X_{\max} - X_{\min}} \quad (1)$$

Differences between cloud-index methods mainly rely on :

- modifications of the relationship between the cloud index and the effective cloud transmissivity (Zarzalejo et al., 2009; Perez et al., 2002; Gupta et al., 2001; Rigollier and Wald, 1998);
- the choice of the variable used to calculate the cloud index, for example TOA albedo (Darnell et al., 1988), reflectance (Wang et al., 2014; Gupta et al., 2001; Möser and Raschke, 1984), Lambert equivalent reflectivity (LER) (Herman et al.,

2018; Dave, 1978) or raw satellite numerical counts (Pfeifroth et al., 2017; Perez et al., 2002)(Müller et al., 2015; Perez et al., 2002;

;

– the way to retrieve the X_{\max} and X_{\min} for the chosen variable.

80 ~~If very-~~

~~Very~~ different approaches are used to estimate the upper boundary, ~~the lower boundary is but for lower boundary,~~ "archive-based" ~~, methods are used~~ in most literature we reviewed: ~~it-~~ X_{\min} is a minimum based on a time series of past satellite imagery. ~~Such an approach is hardly applicable to non-geostationary~~ ~~This approach as some drawbacks. Firstly, it is hardly applicable to~~ ~~non-geostationary~~ satellites due to variable viewing geometries and a low revisit time. As an example, Wang et al. (2014) use a
85 climatology of surface albedo to derive DSSI from the Ozone Monitoring Instrument (OMI), embedded on the sun-synchronous satellite Aura.

~~In this paper, we aim at finding an alternative to the need for archives of satellite imagery. It would then be easier to consider imagery from non-geostationary spaceborne platforms and produce a worldwide coverage. It would also let the results more reproducible, by making each instantaneous estimate independent of the preceding time series. Other drawbacks are~~ ~~frequent in archive-based methods such as~~ ~~Secondly,~~ systematic underestimations of the lower boundary X_{\min} ~~(e.g. unusual dark shadows on the ground taken as the clear-sky reference)~~ ~~are commonly detected, for example due to dark shadowing caused by adjacent clouds on the surface, aerosol treatment (Mueller et al., 2015). On the other hand, contamination of X_{\min} by clouds for cloudiest regions and of course, the difficulty to find a trade-off between a~~ ~~on cloudiest regions can lead to systematic overestimation of X_{\min} . Finally, ensuring the observation of clear-sky instants by a sufficiently~~ large time window
90 ~~that ensures the observation of clear sky instants, and a small one that captures and capturing~~ the temporal variability of X_{\min} ~~by a sufficiently small time window is a difficult trade-off that can lead to biases if not well respected.~~

~~But the development of~~ ~~In this paper, we propose a cloud-index method based on radiative transfer modeling as~~ an alternative to ~~such the~~ archive-based ~~approaches also means dealing with new issues: previous methods based on archives can be less dependent on absolute calibration of the original imagery (Pfeifroth et al., 2017; Perez et al., 2002) and consider implicitly~~ ~~the anisotropy of X_{\min} . The pixel-to-pixel estimation of X_{\min} is a surrogate for modeling the influence of viewing geometry on measured reflectances, while the slot-by-slot temporal characterization of X_{\min} pictures the influence of varying solar viewing geometry for the diurnal cycle of each pixel's reflectivity.~~ ~~approach. This exploratory direction aims at reproducing the satellite measurements of reflectances in both clear-sky and overcast conditions based on description of surface, clear atmosphere and cloud properties. Radiative transfer simulations are able to reproduce how TOA reflectances depend on viewing and solar~~
100 ~~geometries, with also their spectral distribution. In addition, it is possible to provide to the radiative transfer model input data that describes variations in space and time of clear atmosphere composition and of surface properties. Thus, our approach is useful to identify and quantify sources of errors in cloud-index methods.~~

~~We aim at developing an alternative "stateless" method~~ ~~With a spectral and angular description, our method is also able~~ to extend the application field of the cloud-index approach to a wider variety of orbits and optical shortwave sensors. In
110 order to limit the effects of molecular scattering, ozone absorption and polarization present in the ultraviolet, and of the

absorption of radiation by clouds in the near infrared, the method ~~considers~~ focuses on satellite imagery in the spectral range 400-1000 nm ($\lambda < 1000$ nm). This range is ~~also~~ wide enough to ~~permit the use of imagery from many meteorological satellite imagers~~ consider imagers on many meteorological satellites launched since the beginnings of spaceborne Earth observation (~~e.g. different generations of the Advanced Very High Resolution Radiometer and of GOES, Meteosat and Himawari radiometers~~).

115 ~~Heliosat-V makes an extensive use of simulations from a radiative transfer model to estimate the upper boundary variables X_{\min} and X_{\max} , which are here reflectances at the top of the atmosphere. This notably relies on the hypothesis that the absolute calibration of the satellite measurements is sufficiently well-known to ensure the quality of DSSI retrievals. It also means that the spectral sensitivity of the sensor and the anisotropy of reflectances caused by surface and atmospheric components have to be explicitly described to produce accurate estimates.~~

120 ~~The impact of the anisotropy of surface reflectance has notably been shown for estimates of a cloud index derived from measurements of the ultraviolet/visible Global Ozone Monitoring Experiment 2 (GOME-2) and OMI by Lorente et al. (2018). The latter study also highlights the improvement of simulated shortwave clear-sky reflectances at the TOA, when using a model of bidirectional reflectance distribution function (BRDF) parameterized with data derived from the Moderate Resolution Imaging Spectroradiometer (MODIS) spaceborne instruments.~~

125 The method foreseen to compute the cloud index of Heliosat-V and eventually the DSSI is described in Section 2, along with the protocol of validation. Validation results are presented and discussed in Section 3 for simulated reflectances at the top of the atmosphere and for downwelling surface solar irradiance estimates. Section 4 is dedicated to the ~~discussion of results, and Section 5 to the~~ conclusion and perspectives.

2 Methods

130 Previous methods based on archives can avoid dependency on absolute calibration of the original imagery (Müller et al., 2015; Perez et al., 2018) and consider implicitly the anisotropy of X_{\min} . The pixel-to-pixel estimation of X_{\min} is a surrogate for modeling the influence of viewing geometry on measured reflectances, while the slot-by-slot temporal characterization of X_{\min} pictures the influence of varying solar-viewing geometry for the diurnal cycle of each pixel's reflectivity. The development of an alternative to archive-based approaches means dealing with new issues: a challenge is to reproduce explicitly and accurately the TOA reflectances. For this, input data and models used need to satisfy the requirements for accurate DSSI estimations, as will be discussed thereafter.

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2.1 The cloud index n

As stated in the introduction, Heliosat-V is a method approximating the attenuation of DSSI radiation by clouds with a cloud index, n . Here, the cloud index components are reflectances considered at the top of the atmosphere (TOA), and corresponding

140 to the satellite radiometer viewing geometry and spectral sensitivity. Reflectances are defined by the relation:

$$\rho = \frac{\pi L}{E_0 \cos(\theta_s)} \quad (2)$$

with L the upwelling radiance at TOA for a given spectral channel, E_0 the downwelling spectral solar irradiance at the top of the atmosphere on a perpendicular plan weighted by the spectral response function (**SRF**) of the channel, and θ_s the solar zenith angle for a given location (*i.e.* latitude and longitude) and a given time. E_0 varies mainly with the Sun-Earth distance, computed here with the Solar Geometry 2 algorithm (Blanc and Wald, 2012). The cloud index is then defined as:

$$n = \frac{\rho_{\text{sat}} - \rho_{\text{clear}}}{\rho_{\text{ovc}} - \rho_{\text{clear}}} \quad (3)$$

where ρ_{sat} is the reflectance measured by the radiometer for the given spectral channel, while ρ_{clear} and ρ_{ovc} are estimates of the reflectance that would be measured by the same sensor for, respectively, a clear-sky scene, and an overcast scene, *i.e.* with an optically thick cloud covering the whole pixel considered. The notion of "optically thick cloud" will be described in detail in the subsection 2.3.

Because of its definition, the cloud index may also be calculated with radiances. We consider here reflectances in order to visualize the anisotropic nature of different scenes. It has also the advantage to be a normalized quantity, so we can compare results for different radiometric channels and different SZAs.

The relationship between n and DSSI varies slightly from one method to another, in particular for highest and lowest values of n . The core of the relationship for intermediate values of n follows usually:

$$G = G_c (1 - n) \quad (4)$$

where G is the all-sky DSSI and G_c is the DSSI in clear-sky conditions and is provided by an external model. The external model used in this paper will be presented and discussed in section 2.4. The clear-sky index K_c is largely used to simplify the reading and is defined as:

$$K_c = \frac{G}{G_c} \quad (5)$$

so we can rewrite Equation (4) as:

$$G = G_c K_c \quad (6)$$

~~Several modifications of the relation $K_c(n)$ have been proposed, e.g. by Rigollier and Wald (1998) (reported in Rigollier et al. (2004)); Gupta et al. (2001); Perez et al. (2002); Zarzalejo et al. (2009).~~ In this paper, we keep the original and simple relation $K_c = 1 - n$ introduced by Darnell et al. (1988) ~~as its optimization.~~ Its improvement is out of the scope of this work but has been explored by various studies e.g. by Rigollier and Wald (1998) (reported in Rigollier et al. (2004)); Gupta et al. (2001); Perez et al. (2002); Zarzalejo et al. (2009), notably to better characterize cloudy situations with $n \approx 1$. In the following subsections, we describe the method used to compute ρ_{clear} , ρ_{ovc} and G_c .

2.2 The clear-sky reflectances ρ_{clear}

We use a radiative transfer model to estimate what a spaceborne optical imaging system would measure in clear-sky conditions, for a given radiometric channel. Using simulations in cloud indices has previously been done notably to retrieve effective cloud

fractions from the OMI instrument (Lorente et al., 2018; Veefkind et al., 2016; Stammes et al., 2008). We apply the same approach to satellite radiometers.

175 Radiative transfer simulations are able to estimate reflected radiation at the top of the atmosphere (TOA) considering the non-lambertian nature of the atmosphere and of Earth's surfaces. For the implementation of the method applied here, we use the model *uvspec* within the software package libRadtran (version 2.0.2) (Emde et al., 2016) and the one-dimension solver DISORT (Buras et al., 2011). We chose to use 32 streams for DISORT as a good compromise between time computation and a good angular representativeness of simulated radiances. For the spectral description, radiative transfer simulations are made following the so-called REPTRAN spectral approximation (Gasteiger et al., 2014). This parameterization enables the
180 production of fast computations of radiative transfer adapted to the spectral sensitivity of satellite radiometric channels.

The spectral description of downwelling solar irradiance at the top of the atmosphere is provided by data from Kurucz (1992) for simulating ρ_{clear} . The composition of the atmosphere is ~~prescribed~~provided by time series of total atmospheric columns of ozone and water vapour, and partial aerosol optical depths (AOD) from the Monitoring Atmospheric Composition and Climate (MACC) reanalysis (Inness et al., 2013) distributed by the ECMWF. ~~The Data from MACC are extracted from the McClear service (http://www.soda-pro.com/web-services/radiation/cams-mcclear). MACC values are originally given on a 3-hour time step and with a spatial resolution of about 80 km (Inness et al., 2013; Lefèvre et al., 2013). The McClear service applies to MACC data a bilinear spatial interpolation onto the considered location, and a linear interpolation in time to a 1-min time step (Lefèvre et al., 2013).~~ The atmospheric abundance profiles of O₂, CO₂ and NO₂ are kept to the fixed values of the Air Force Geophysics Laboratory (AFGL) midlatitude summer profile (Anderson et al., 1986), along with the temperature, pressure and
190 air density profiles.

Partial ~~aerosol optical depths (AOD)~~ AOD from MACC are provided at the wavelength 550 nm, for 5 types of aerosols (black carbon, dust, sea salt, organic matter, sulfate)~~by the Monitoring Atmospheric Composition and Climate (MACC) reanalysis. A more recently developed product Copernicus Atmospheric Monitoring Service (CAMS) reanalysis exists, with. Even though two supplementary classes "ammonium" and "nitrate" added to the aerosol categories of the service. It is worth mentioning that we do not use it, even such change would not affect the method itself~~are now included in the Copernicus Atmospheric Monitoring Service (CAMS) reanalysis, these do not impact the method here proposed and were, thus, not considered.

An algorithm developed by Lefèvre et al. (2013) translates MACC partial aerosol optical depths information into aerosol mixtures designed for the Optical Properties of Aerosols and Clouds (OPAC) software package (Hess et al., 1998). These mixtures are associated to aerosol properties: scattering and absorbing coefficients, single scattering albedo, asymmetry parameter
200 and the Angström coefficient. The total AOD at 550 nm is then calculated as the sum of partial AOD at 550 nm provided by CAMS. As libRadtran needs a total AOD input for the simulated wavelength, the OPAC Angström coefficient of the given mixture is used to estimate the AOD at the required wavelength.

~~The~~ An important component to simulate ρ_{clear} is the reflection properties of surfaces. The impact of the anisotropy of surface reflectance has notably been shown for estimates of a cloud index derived from measurements of the ultraviolet/visible Global Ozone Monitoring Experiment 2 (GOME-2) and OMI by Lorente et al. (2018). The latter study also highlights the improvement of simulated shortwave clear-sky reflectances at the TOA, when using a model of bidirectional reflectance

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distribution function (BRDF) parameterized with data derived from the Moderate Resolution Imaging Spectroradiometer (MODIS) spaceborne instruments.

210 Here, we describe reflective properties of land surfaces ~~are described~~ with the RossThick-LiSparse (Ross-Li) model of bidirectional reflectance distribution function (Roujean et al., 1992; Lucht et al., 2000). It is then possible to consider the variations of the surface reflectance depending on viewing and solar zenith angles and on the azimuthal difference of both geometries $\Delta\phi$. The Ross-Li model decomposes the BRDF of a surface into a sum of three components: an isotropic contribution, independent of viewing and solar geometries; a volumic contribution, following a mathematical model of an idealized canopy ; and a geometric contribution, considering the shadows induced by the roughness of the surface.

215 Algorithms have been developed to estimate the parameters f_{iso} , f_{vol} and f_{geo} that weight respectively each of the contributors to the surface reflectance for all lands. This has notably been made with the imagery produced by the Moderate Resolution Imaging Spectroradiometer (MODIS) embedded on Terra and Aqua satellites (Wanner et al., 1997; Lyapustin et al., 2018).

We test here simulations with data from the Algorithm for Modeling MODIS Bidirectional Reflectance Anisotropies of the Land Surface (AMBRALS) (Wanner et al., 1997) with its derived product MCD43C1 v6 (Schaaf et al., 2002).

220 This product provides f_{iso} , f_{vol} and f_{geo} parameters with a 0.05° resolution (about 6 km at the equator), a daily sampling rate, 16-day average and for seven spectral channels, including 4 channels in the 400-1000 nm spectral interval considered for the Heliosat-V method (Fig. 3). Owing to libRadtran documentation (Mayer et al., 2017), the values of each parameter are assigned to the central wavelength of its channel and a linear spectral interpolation is applied for the radiative transfer calculations. For wavelengths shorter than the $0.47 \mu\text{m}$ MODIS channel, values are considered spectrally constant. For wavelengths longer than
225 $0.85 \mu\text{m}$, the interpolation is made between parameters at MODIS channels $0.86 \mu\text{m}$ and $1.24 \mu\text{m}$.

2.3 The overcast-sky reflectances ρ_{ovc}

Cloud-index methods in the literature use various ways to estimate the TOA reflectances in overcast conditions ρ_{ovc} (~~Perez et al., 2002; Lefè~~
(Perez et al., 2002; Lefèvre et al., 2007; Mueller and Träger-Chatterjee, 2014). One way to approximate it without the use of
archives of satellite imagery has been proposed within the Heliosat-2 framework (Lefèvre et al., 2007) ~~-An with an~~ empirical
230 relation based on the work of Taylor and Stowe (1984) ~~was developed, considering~~. It considers a dependency of ρ_{ovc} with the
single solar zenith angle θ_s .

$$\rho_{ovc,HS2} = 0.85 - 0.13 [1 - \exp(-4 \cos(\theta_s)^5)]$$

However, spectral radiative transfer simulations of ρ_{ovc} show that there is also a significant dependency between the TOA
cloudy reflectances and other variables. In Figure 2, we represent 2-dimension histograms of TOA reflectances calculated
235 from such simulations, with a solar zenith angle set to 30° as an example. For most wavelengths, a significant spread of
the distribution is observed (Fig. 2, two upper rows), corresponding only to different viewing geometries defined by a linear
meshgrid in cosine of viewing zentih angle (θ_v) and difference $\Delta\phi$ of solar and viewing azimuth angles (Table 1).

In this paper, we assume a cloud optical thickness (COT) of 150 to define optically thick clouds and overcast conditions.
This assumption relies on COT statistics from ~~retrievals by the International Satellite Cloud Climatology Project (ISCCP) and~~

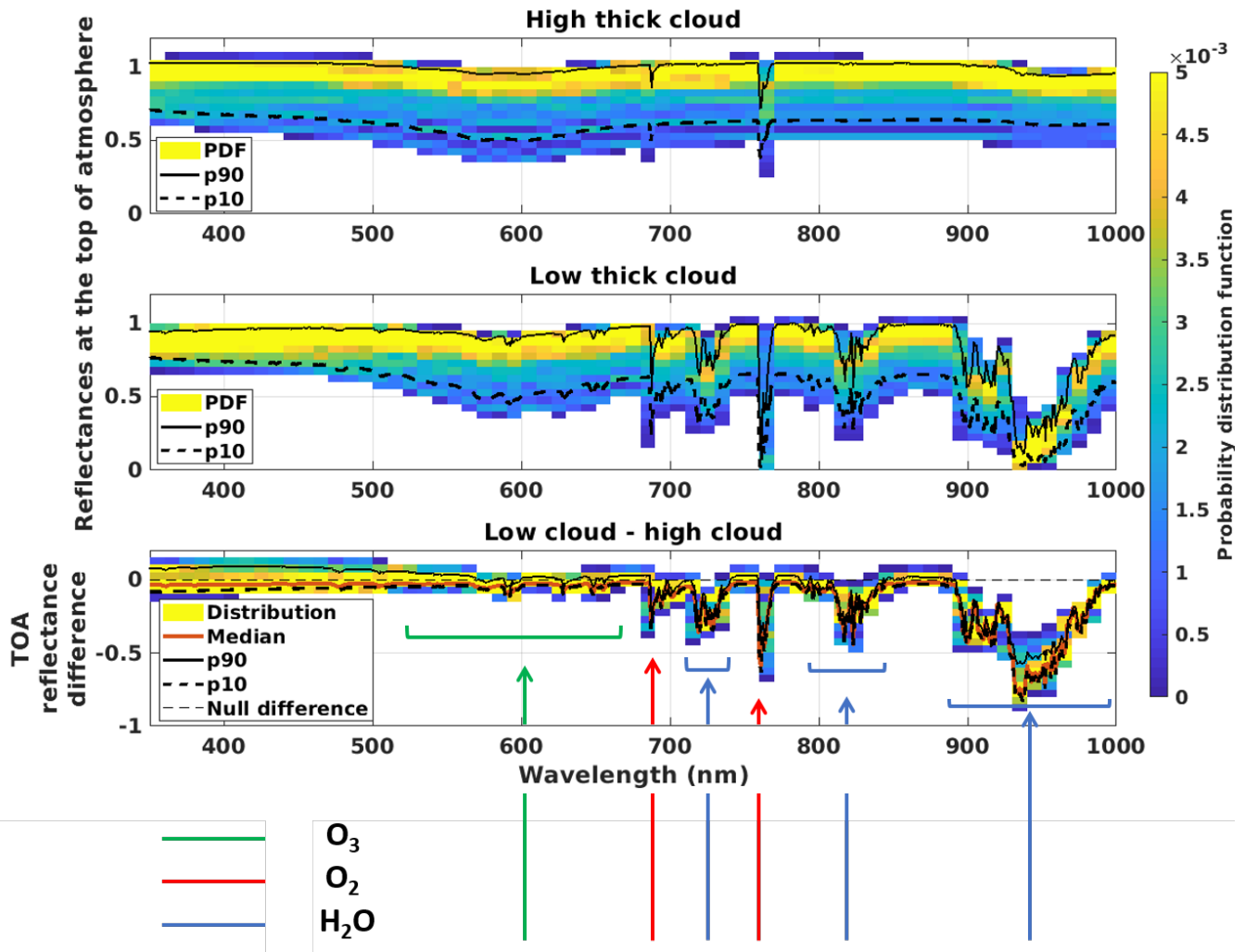


Figure 2. Two upper rows : distributions of simulated TOA reflectance spectra in overcast conditions ρ_{ove} for the different viewing geometries in the look-up table and for a solar zenith angle of 30° , with a thick liquid cloud ($COT = 150$). First row: $CTH = 15$ km ; cloud base height (CBH) = 2 km. Second row: $CTH = 0.5$ km ; $CBH = 0.2$ km . Third row: error on ρ_{ove} caused by a misattribution of cloud height to the "low thick cloud" category. Green, red and blue arrows indicate spectral regions with main absorption features from O_3 , O_2 and H_2O , respectively.

240 ~~surface measurements of irradiance shown by~~ Trishchenko et al. (2001). The simulations for a low thick cloud (cloud top height (CTH) at 500 m) and a high thick cloud (CTH at 15 km) show in general a good agreement ~~(Fig. 2, lower panel)~~, except in absorbing bands of O_2 (mainly at 690 nm (O_2 -B band) and 762 nm (O_2 -A band)) and H_2O (mainly at 725 nm, 820 nm and 950 nm) and for short wavelengths where scattering becomes increasingly significant (*e.g.* Jin et al. (2011)). For these wavelengths,

the TOA reflectances with low clouds can be much lower than for high clouds, for a given cloud optical thickness. But outside
245 these specific spectral regions, the height of clouds will not affect significantly the results of the method.

An alternative way is therefore to produce look-up tables (LUT) from radiative transfer simulations, an approach notably
applied in the framework of the ~~HelioMont~~ [HelioMont](#) cloud-index method ~~to the Spinning Enhanced Visible and Infra-Red
Imager (SEVIRI) High Resolution Visible channel (HRV)~~ (Stöckli, 2014). It is then possible to take into account the viewing
250 geometry and also the spectral variability of ρ_{ovc} . Assumptions have to be made on the properties of the optically thick clouds
as the Heliosat-V method is designed to work by using only one spectral channel in the range 400-1000 nm: cloud top height,
phase of cloud, cloud optical thickness, cloud droplet radius or ice crystal shape and size.

Here we construct a liquid cloud LUT of ρ_{ovc} , setting different cloud and atmosphere properties, geometry and spectral
grids, as described in Table 1. The optical properties of the clouds come from the precalculated Mie tables provided by the
libRadtran software package.

255 As no information is provided on the actual cloud vertical structure, ρ_{ovc} are calculated as :

$$\rho_{ovc} = \frac{1}{2}(\rho_{ovc,high} + \rho_{ovc,low}) \quad (7)$$

where $\rho_{ovc,high}$ and $\rho_{ovc,low}$ are respectively derived from the high and low liquid cloud LUTs, interpolated on the viewing
and solar geometries of the satellite time series and adapted to the spectral response function of radiometric channels.

An ice cloud LUT is also produced, to study the sensitivity of surface irradiance estimates to the assumed cloud phase. The
260 ice cloud characteristics follow the parameterization by Yang et al. (2013). We use the "aggregate of 8 columns" ice crystal
habit and the "severe" degree of roughness, which are notably used for the description of ice clouds in the look-up table of the
MODIS collection 6 cloud product (Amarasinghe et al., 2017).

2.4 The clear-sky model of surface irradiance G_c

The clear-sky surface irradiance is given by the the version 3 of the McClear model (Gschwind et al., 2019). The McClear model
265 is a fast and accurate model that provides clear-sky estimation of DSSI with an absolute bias below 21 W m^{-2} and a standard
deviation error below 25 W m^{-2} for ~~6 BSRN stations used in this paper~~ [six stations part of the reference Baseline Surface
Radiation Network \(BSRN\) \(Ohmura et al., 1998; Driemel et al., 2018\)](#), namely: Brasilia, Carpentras, Palaiseau, Payerne, Sede
Boker and Tamanrasset. The McClear model was fed with the partial optical depths at 550 nm for black carbon, dust, sea
salt, organic matter and sulfate from MACC reanalysis. It is also fed by water vapor atmospheric total columns ~~ant~~ [and](#) the
270 ozone total columns provided by ECMWF. Data was dowloaded from the McClear web service ([http://www.soda-pro.com/
web-services/radiation/cams-mcclear](http://www.soda-pro.com/web-services/radiation/cams-mcclear)).

2.5 Set-up ~~of~~ [and datasets for validation](#)

[The method has been tested on images from the Spinning Enhanced Visible and Infra-Red Imager \(SEVIRI\), aboard the
Meteosat-9 meteorological geostationary satellite belonging to the family of Meteosat Second Generation \(MSG\). We consider
275 measurements in the solar channels \$0.6 \mu\text{m}\$ and \$0.8 \mu\text{m}\$ channels, for the year 2011 and for 11 locations in the field of view](#)

Table 1. Characteristics of the look-up table of cloudy TOA reflectances

Characteristics	Values
Cloud phase	liquid (ice only for sensitivity tests)
Cloud optical thickness (COT)	150
Cloud droplet radius	vertical profile between 8 and 12 μm
Cloud top height (CTH)	500 m ; 15 km
<u>Cloud base height (CBH)</u>	<u>200 m ; 2 km</u>
Solar zenith angle (θ_s)	$0^\circ : 5^\circ : 85^\circ$
cosine of viewing zenith angle ($\cos \theta_v$)	0.1 : 0.1 : 1
difference of solar and viewing azimuth angles ($\Delta\phi$)	$0^\circ, 5^\circ, 10^\circ : 20^\circ : 170^\circ, 175^\circ, 180^\circ$
Spectral resolution	1 nm
TOA spectrum	Gueymard (2018)
Ozone total column	300 Dobson Units (DU)
Water vapour total column	20 kg m^{-2}
Aerosols	default aerosol described in Shettle (1990)
Temperature and pressure profiles	AFGL midlatitude summer

Table 2. Characteristics of the simulated reflectances at TOA in clear-sky conditions

<u>Characteristics</u>	<u>Values</u>
<u>Surface reflection model</u>	<u>RossThick-LiSparse</u>
<u>Surface reflection data</u>	<u>MODIS MCD43C1 v6</u>
<u>Surface elevation</u>	<u>Shuttle Radar Topography Mission</u>
<u>Spectral resolution</u>	<u>REPTRAN channel parameterization (Gasteiger et al., 2014)</u>
<u>TOA spectrum</u>	<u>Kurucz (1992)</u>
<u>Ozone total column</u>	<u>ECMWF</u>
<u>Water vapour total column</u>	<u>ECMWF</u>
<u>Aerosol optical depth at 550 nm</u>	<u>sum of MACC partial optical depths</u>
<u>Aerosol mixtures and properties</u>	<u>Lefèvre et al. (2013) and OPAC</u>
<u>Temperature and pressure profiles</u>	<u>AFGL midlatitude summer</u>

of the satellite, corresponding to locations of pyranometric in situ sensors from the BSRN network. We use the calibration gains provided by EUMETSAT that operates MSG. For sensors with a linear count response like MSG/SEVIRI (Doelling et al., 2018), the radiance L_{sat} is related to digital count C via: $L_{\text{sat}} = q(C - C_0)$ where C_0 is the so-called space count.

To study the validity of the method, we compare DSSI estimates from MSG satellite measurements with pyranometric DSSI data retrieved from ~~measurement stations part of the reference~~ Baseline Surface Radiation Network (BSRN) (Ohmura et al., 1998; Driemel-

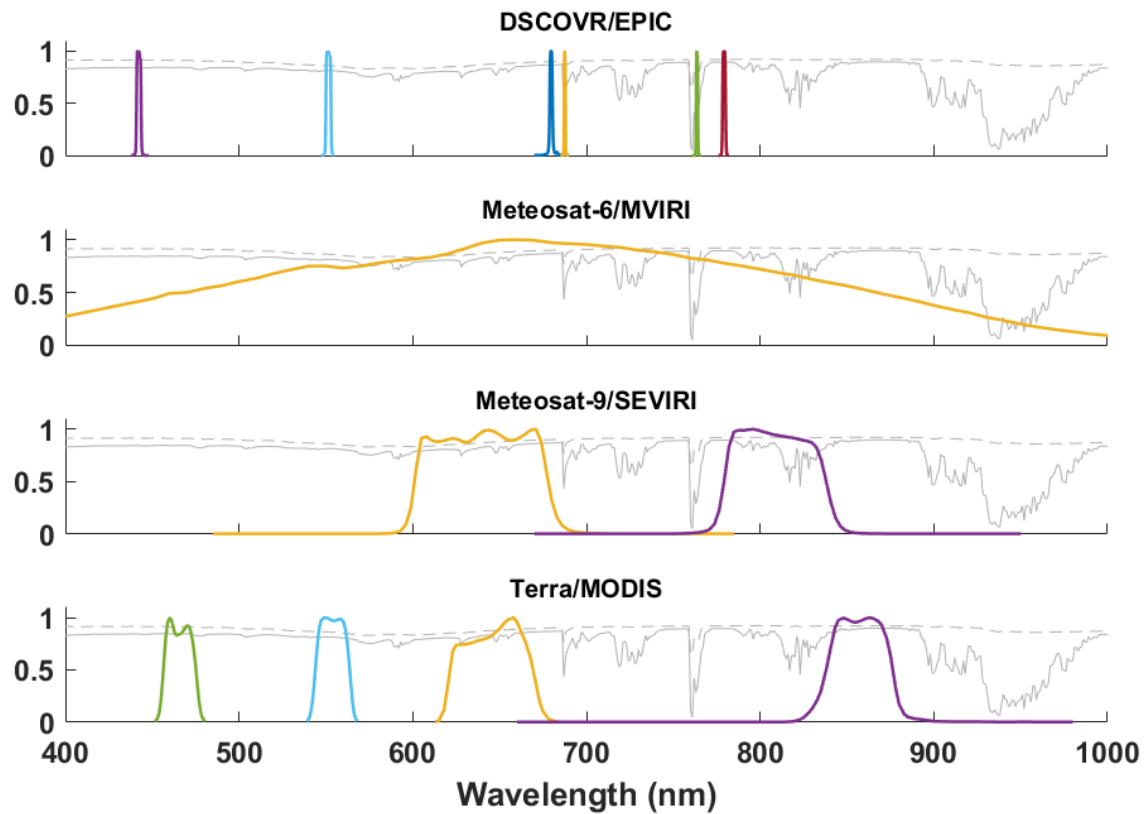


Figure 3. Colored lines: spectral response functions of different sensors in the spectral range considered by Heliosat-V. Gray lines : TOA reflectance spectra of typical scenes with a high (dashed line) and low altitude (solid line) thick cloud

[BSRN measurement stations](#). Considered stations are listed in Table 3 and displayed in the MSG field of view in Figure 4. Only the highest quality BSRN measurements of surface irradiance are used, having passed a quality check (Lefèvre et al., 2013). Figure 5 shows the time series when data are considered valid, for each station.

Table 3. List of BSRN stations used for validation

Station	Code	Latitude	Longitude	Elevation
Brasilia	BRB	15.6010° S	47.7130° W	1023 m
Cabauw	CAB	51.9711° N	4.9267° E	0 m
Camborne	CAM	50.2167° N	5.3167° W	88 m
Carpentras	CAR	44.083° N	5.590° E	100 m
CENER	CNR	42.8160° N	1.6010° W	471 m
Lindenberg	LIN	52.2100° N	14.1220° E	125 m
Palaiseau	PAL	48.7130° N	2.2080° E	156 m
Payerne	PAY	46.8150° N	6.9440° E	491 m
Sede Boker	SBO	30.8597° N	34.7794° E	500 m
Sao Martinho da Serra	SMS	29.4428° S	53.8231° W	489 m
Tamanrasset	TAM	22.7903° N	5.5292° E	1385 m

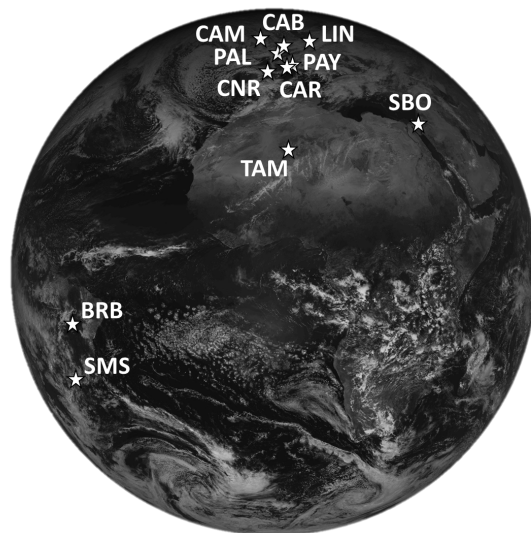


Figure 4. BSRN ground stations used for validation in this study, in the field of view of Meteosat Second Generation (0.6 μm channel).

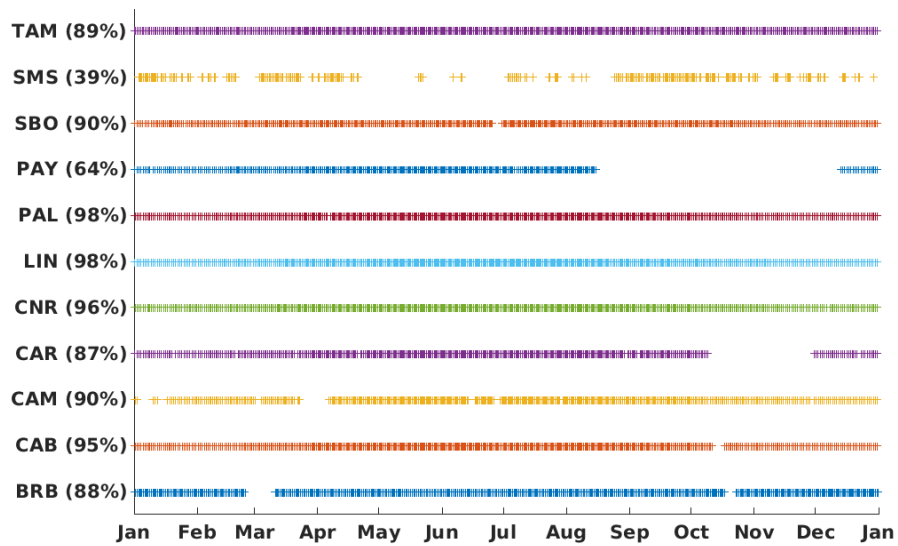


Figure 5. Time series used for the 15-min mean statistics between satellite estimates and quality-checked BSRN measurements during the year 2011. In parentheses : percentage of data conserved.

285 The method has been tested on images from the SEVIRI sensor, aboard the Meteosat-9 meteorological geostationary satellite
 belonging to the family of Meteosat Second Generation (MSG). We consider measurements in the solar channels 0.6 μm and
 0.8 μm channels, for the year 2011 and We also compare the results of our method to operational satellite-based products
 of surface irradiance. For this, we use data from HelioClim3 version 5 (HC3v5) and CAMS Radiation (CAMS-RAD) DSSI
 databases. Both are derived from the imagery of the SEVIRI sensor and are produced by a Heliosat method: a modified version
 of Heliosat-2 for 11 locations in the field of view of the satellite, and corresponding to locations of pyranometric in-situ
 290 sensors from the BSRN network. We use the calibration coefficients provided by EUMETSAT that operates MSG. This is
 worth noting as some calibration methods recommend to use significantly different gain factors to compute radiances from raw
 numerical counts (e.g. Doelling et al. (2018)). HC3v5 (Qu et al., 2014) and Heliosat-4 for CAMS-RAD. Both products and
 their descriptions are provided by the SoDa service (<http://www.soda-pro.com/>).

As this work is exploratory on a new method, we limit ourselves to conservative situations with solar zenith angles lower
 295 than 80° , covering most cases. For higher angles, some effects not considered by the method can occur, including shadowing
 and high parallax effects.

3 Results and discussions

3.1 Validity of cloud index components

The validity of cloud index components, ρ_{sat} , ρ_{clear} , and ρ_{ovc} , defines the uncertainty of n . From Equation (3), the uncertainty
 300 on the cloud index can be written as:

$$\delta n = \left(\frac{\partial n}{\partial \rho_{\text{sat}}} \right) \delta \rho_{\text{sat}} + \left(\frac{\partial n}{\partial \rho_{\text{clear}}} \right) \delta \rho_{\text{clear}} + \left(\frac{\partial n}{\partial \rho_{\text{ovc}}} \right) \delta \rho_{\text{ovc}} \quad (8)$$

This leads to

$$\delta n = \frac{1}{\Delta} (\delta \rho_{\text{sat}} - (1 - n) \delta \rho_{\text{clear}} - n \delta \rho_{\text{ovc}}) \quad (9)$$

where $\Delta = \rho_{\text{ovc}} - \rho_{\text{clear}}$. It appears that the "clear-sky error" $(1 - n) \delta \rho_{\text{clear}}$ will be more significant in clear-sky conditions
 305 (i.e., n is close to 0), and the "overcast-sky error" $n \delta \rho_{\text{ovc}}$ will be more important in overcast conditions (i.e., n is close to
 1). Besides, the error on cloud index will be inversely proportional to Δ , the difference between overcast and clear-sky TOA
 reflectances. Because of this relationship between the errors on cloud index and reflectances, the discussions in this section are
 focused on absolute values of reflectance errors.

3.1.1 Measured reflectances at the top of the atmosphere

310 A potential important source to the measurement error $\delta \rho_{\text{sat}}$ comes from the calibration gain. The operational calibration gains,
 that we use in this paper, have a claimed uncertainty of around 4% (EUMETSAT, 2019). On the other hand, Hewison et al. (2020)

assert that the alternative method by Doelling et al. (2018), used for GSICS corrected computation of calibration gain, limits its bias to below 1%.

The use of optimal calibration is out of the scope of our work. Still, we compared ~~gains~~ gain coefficients proposed by
315 EUMETSAT g_{EUM} with those provided by Doelling et al. (2018) g_{D2018} for the measurements produced by the Meteosat-9
0.6 and 0.8 μm channels in 2011. They show a mean relative disagreement, calculated as $(g_{EUM} - g_{D2018})/g_{D2018}$, of about -9
% for 0.6 μm and -8 % for 0.8 μm during this period (also illustrated on Fig. A1). ~~Such~~ We expect that these errors will affect
with the same magnitude the agreement between numerical simulations and measurements of clear-sky TOA reflectances;
~~underlining the importance.~~ This underlines that an accurate source of absolute calibration is important for the Heliosat-V
320 method.

~~To compare the results of our method to operational satellite-based products of surface irradiance, we use data from
HelioClim3 version 5 (HC3v5) and CAMS Radiation (CAMS-RAD) DSSI databases. Both are derived from the imagery
of the SEVIRI sensor and are produced by a Heliosat method: a modified version of Heliosat-2 for HC3v5 (Qu et al., 2014)
and Heliosat-4 for CAMS-RAD. Both products and their descriptions are provided by the SoDa service (-).~~

325 ~~All validation results thereafter are produced for solar zenith angles lower than 80°.~~

4 Results

3.1 The cloud index components

3.0.1 Simulated ~~TOA clear-sky~~ reflectances at the top of the atmosphere in clear-sky conditions ρ_{clear}

As an intermediate assessment, simulated clear-sky reflectances at the top of the atmosphere (TOA) ρ_{clear} are compared to satel-
330 lite measurements. Cloudy instants are manually filtered out of the satellite time series. Results comprising all the manually-
filtered clear-sky instants in 2011 for all the eleven sites, are shown in Figure 6 as 2D reflectance histograms.

For the 0.6 μm ~~channel, the~~ and 0.8 μm channels, correlation coefficients are both higher than 0.9, but the correlation is
much better for 0.6 μm with a value of 0.974. This means that the variability of ρ_{clear} is significantly better represented, with
almost 95 % of the total variance, for 0.6 μm than for 0.8 μm , with 82 % of the total variance. The root-mean-square differ-
335 ~~ence (RMSD) between simulated reflectances ρ_{clear} and reflectances ρ_{sat} measured in the simulated reflectance and measured
reflectance in the~~ clear-sky conditions is ~~about 0.03 (15 %), mainly due to a bias of about (%) for the 0.6 μm channel and 0.04
(12%) for the 0.8 μm channel. The bias is 0.02 (10 %).~~ The relative value of the (%) and -0.02 (-7%) for 0.6 μm and 0.8 μm
channels respectively, contributing a big part to the RMSD. The standard deviation of the difference (STD) is approximately
11 % for both 0.02 for the 0.6 μm and channel and 0.04 for the 0.8 μm channels. However reflectances in the near-infrared
340 0.8 μm channel are significantly higher, so is the absolute value of STD. Both higher STD and bias bias and STD for the 0.8
 μm will ~~cause a lower~~ contribute to lower the precision in the calculation of the cloud index ~~than for based on this channel,
compared to 0.6 μm . Correlation coefficients are significantly high, both larger than 0.9 but the correlation is much better for~~

0.6 μm with 0.974: the variability of ρ_{clear} is significantly better represented (almost 95 % of the total variance) than for 0.8 μm (82 % of the total variance).

345 Figure 7 shows that estimates are able to reproduce partly the diurnal variability observed in clear sky conditions. When studying station by station, the highest absolute standard deviation of the difference between simulations and measurements is reached for Sede Boker with 0.03, while the lowest is reached for Tamanrasset with 0.008. For bias, highest mean biases values reach +0.035 for 0.6 μm (Payerne) and -0.07 for 0.8 μm (Camborne) (see also Fig. B1). Using the gain coefficients developed by Doelling et al. (2018) for CERES-SYN1deg instead of EUMETSAT operational coefficients is sufficient to remove most of the mean bias observed between simulations and measurements of ρ_{clear} for the channel 0.6 μm . Besides, it increases the mean bias for the 0.8 μm channel.

It is worth noting that we use MCD43C1v6 BRDF data regardless of their quality flags. We observe ~~although though~~ that keeping only the highest quality data ~~slightly improves statistics~~, improves significantly statistics (Figure B2). Also, the choice of a spectral linear interpolation between MODIS channels to simulate surface reflectances in SEVIRI channels is supposed to contribute significantly to biases observed in ρ_{clear} simulations, in particular for the 0.8 μm channel with vegetated surfaces due to the red edge spectral pattern (low reflectivity below around 700 nm, high reflectivity above around 750 nm). Another part of the bias, difficult to quantify, is linked to the accuracy of the calibration of satellite measurements.

355 Figure 7 shows the diurnal variations of measured and simulated reflectances for the SMS and CAM stations. Both SMS and CAM are surrounded mainly by various types of vegetation and some urban area for the case of CAM (Figure B3). We observe that simulations are able to reproduce partly the diurnal variability observed in clear-sky conditions (also refer to Figure B4 for channel 0.6 μm and 0.8 μm under different surface conditions). On Figure 8, we compare ρ_{clear} values with the surface reflectance ρ_{surface} , computed with the RossThick-LiSparse model applied to BRDF parameters derived from MODIS 646 nm channel, and using viewing and solar geometries considered. Firstly, we see that ρ_{clear} values are significantly higher than ρ_{surface} with a different diurnal pattern. This shows the importance of considering the atmosphere anisotropic reflectance to reproduce TOA reflectances. We also can see the contribution from the surface anisotropy in the ρ_{clear} simulations. This appears in particular close to the backscattering direction where surface reflectance is enhanced: around noon in Camborne and the morning in São Martinho da Serra.

365 For CAM, some higher values of ρ_{clear} are observed in January. This can be attributed to high aerosol optical depth during this period, as illustrated in Figure 9. It shows that ρ_{clear} is not only sensitive to time variations of surface properties but also to atmospheric composition changes.

3.0.2 Simulated reflectances at the top of the atmosphere in overcast conditions ρ_{ovc}

The validity of ρ_{ovc} is more difficult to test than that of ρ_{clear} by comparing with satellite measurements as the occurrence of optically thick clouds can be rare depending on the location, the season and the hour of the day. We therefore use 9 years of Meteosat measurements, between 2011 and 2019 to extract the 1% most reflective scenes for each station, month and hour of the day (orange dots on Fig. 7). ~~The On the first row of Figure 6 shows a good agreement between most reflective satellite scenes of 7, we can see that some patterns are similar in simulated ρ_{ovc} and 99th percentile of measurements over the São~~

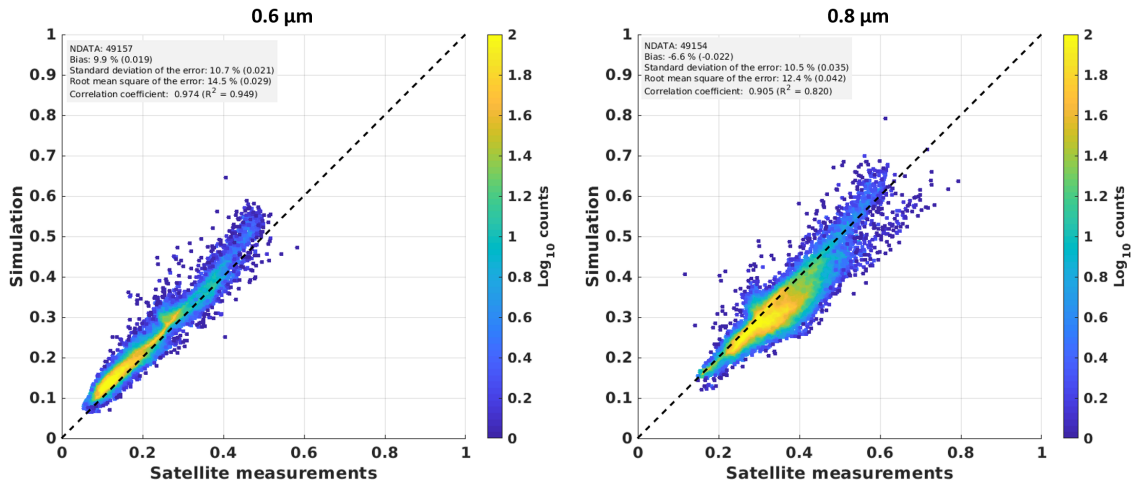


Figure 6. Simulation of clear-sky reflectances at the TOA (ρ_{clear}) for MSG 0.6 μm (left panel) and 0.8 μm (right panel) spectral channels compared with actual satellite measurements. ~~The comparison is done~~ Represented data include simulations and measurements for all 11 locations, for the year 2011.

Martinho da Serra pixel ~~and~~: in the forward scattering conditions (evening on the West edge of Meteosat disc), both agree on increased values of ρ_{ovc} . On the other hand, some stations show regularly values of measured reflectances beyond the ρ_{ovc} simulated boundary, as in the ~~example example~~ of Camborne (Fig. 7, second row). Figure 7 illustrates also how ρ_{ovc} depends on the liquid or ice phase of the cloud, due to their different scattering phase functions. Our ability to reproduce reflectances at the top of the atmosphere in overcast conditions depends therefore on our knowledge of cloud properties, including their scattering phase function and top height. Other effects like the tridimensional structure of clouds probably explain part of the discrepancies between measurements and plane-parallel simulations in overcast conditions (Horvath and Davies, 2004).

3.1 ~~Comparison of satellite-based estimates with ground-based measurements~~

385 3.0.1 Difference between simulated overcast and clear-sky reflectances

The difference Δ between overcast and clear-sky reflectances is bigger when the overcast reflectance is relatively low and clear-sky reflectance is relatively high. High values of Δ mean a good quality of cloud index estimation (cf. Equation 9). We study the dependencies of Δ with the simulated reflectances to identify conditions that will cause high uncertainties on the computation of the cloud index. In general, we observe that the computed value of Δ is higher for the 0.6 μm channel than for 0.8 μm , as a combination of surface, cloud and clear atmosphere spectral signatures. This is illustrated in Figure 10 for

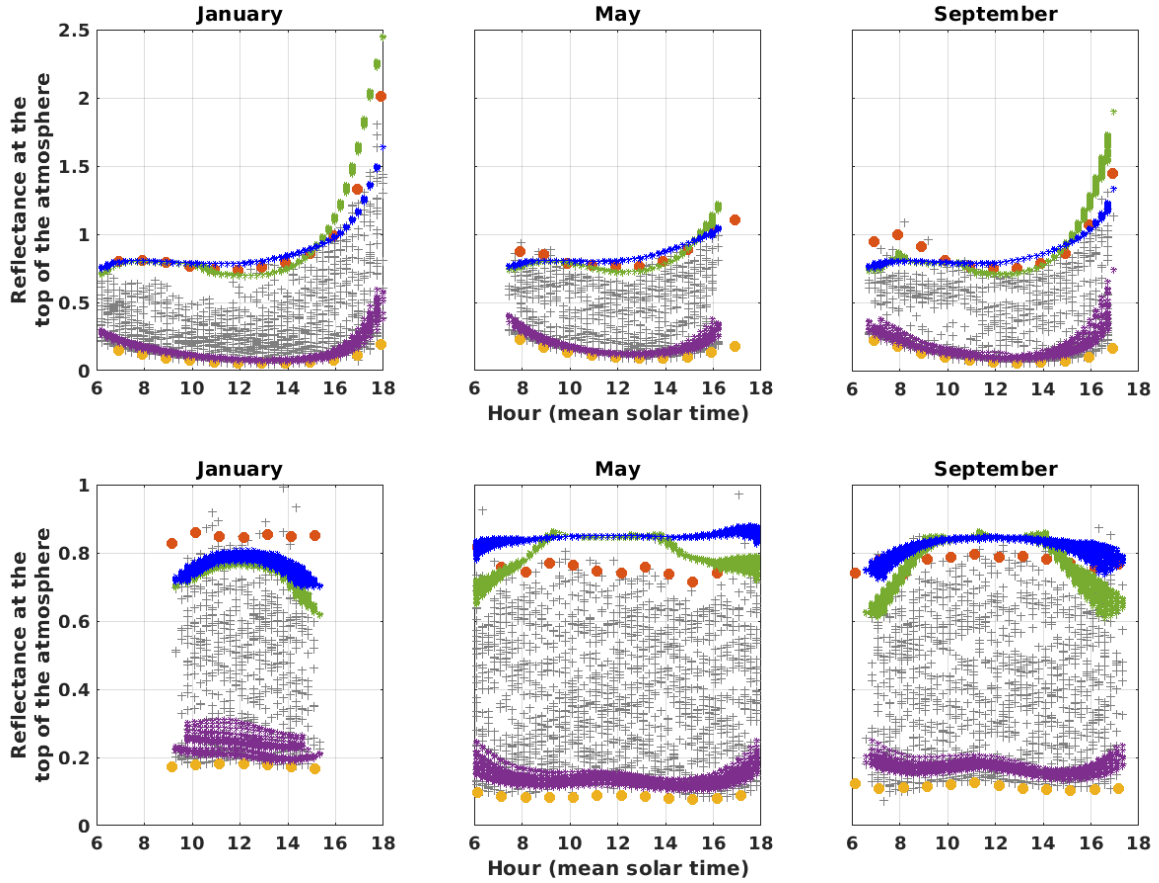


Figure 7. Simulated and measured reflectances at the top of the atmosphere above São Martinho da Serra (Brazil, upper row) and Camborne (United Kingdom, lower row) locations, for MSG $0.6 \mu\text{m}$ channel and for January, May and September calendar months. Grey plus signs: MSG measurements (2011, Meteosat-9). Green asterisks: reflectances in overcast conditions ρ_{ovc} , derived from the liquid-cloud look-up table. Blue asterisks: same from the ice-cloud look-up table. Purple asterisks: reflectances in clear-sky conditions ρ_{clear} , derived from radiative transfer simulations. Yellow and orange dots are respectively hourly percentiles 1 and 99 of MSG satellite measurements from year 2011 to 2019.

stations SMS and CAM. We observe however for the desert stations TAM and SBO that both channels present similar values of Δ (Fig. B5). Δ depends also on the viewing and solar geometries because of ρ_{ovc} and ρ_{clear} different angular signatures. It leads for example for SMS station and channel $0.8 \mu\text{m}$ to low values of Δ in January morning and high values of Δ in the evening, which can be explained by the strong forward scattering of clouds occurring in these conditions.

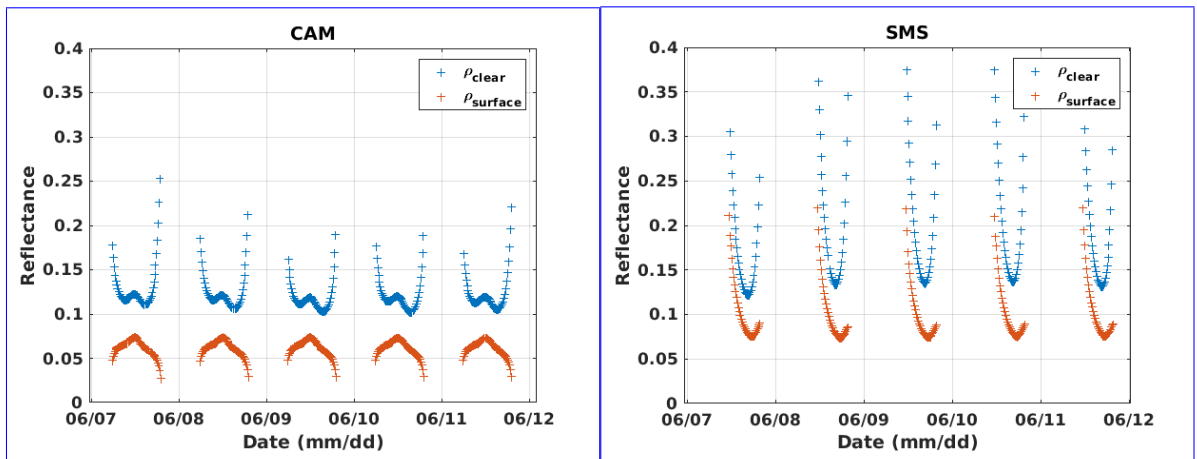


Figure 8. Comparison between simulations of clear-sky reflectances at the top of the atmosphere for MSG 0.6 μm channel (ρ_{clear} , blue plus signs) and corresponding surface reflectances computed with the RossThick-LiSparse model applied to MODIS MCD43C1v6 BRDF parameters for the channel 646 nm (ρ_{surface} , red plus signs) for five days in June 2011. Left panel: Camborne station (CAM); right panel: São Martinho da Serra station (SMS).

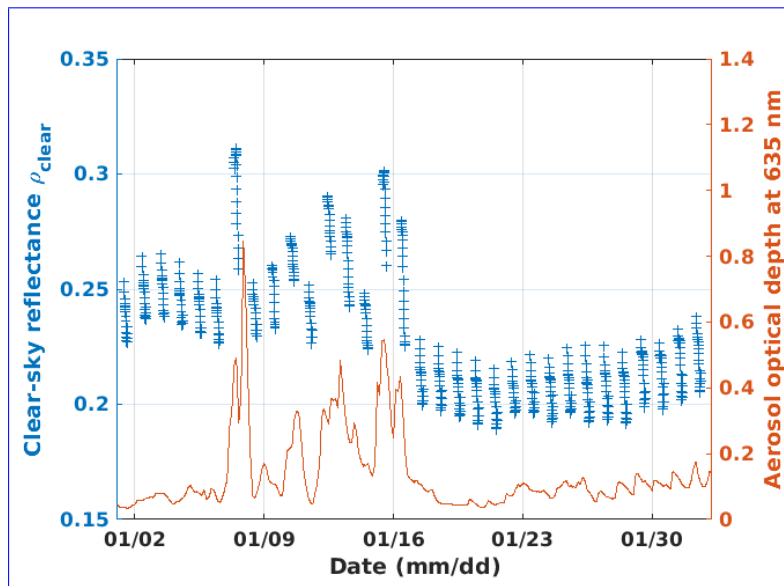


Figure 9. Blue plus signs: simulated reflectances at the top of the atmosphere in clear-sky conditions ρ_{clear} in January 2011 at Camborne station (CAM) and for MSG 0.6 μm . Red line: aerosol optical depth at 635 nm used for simulations.

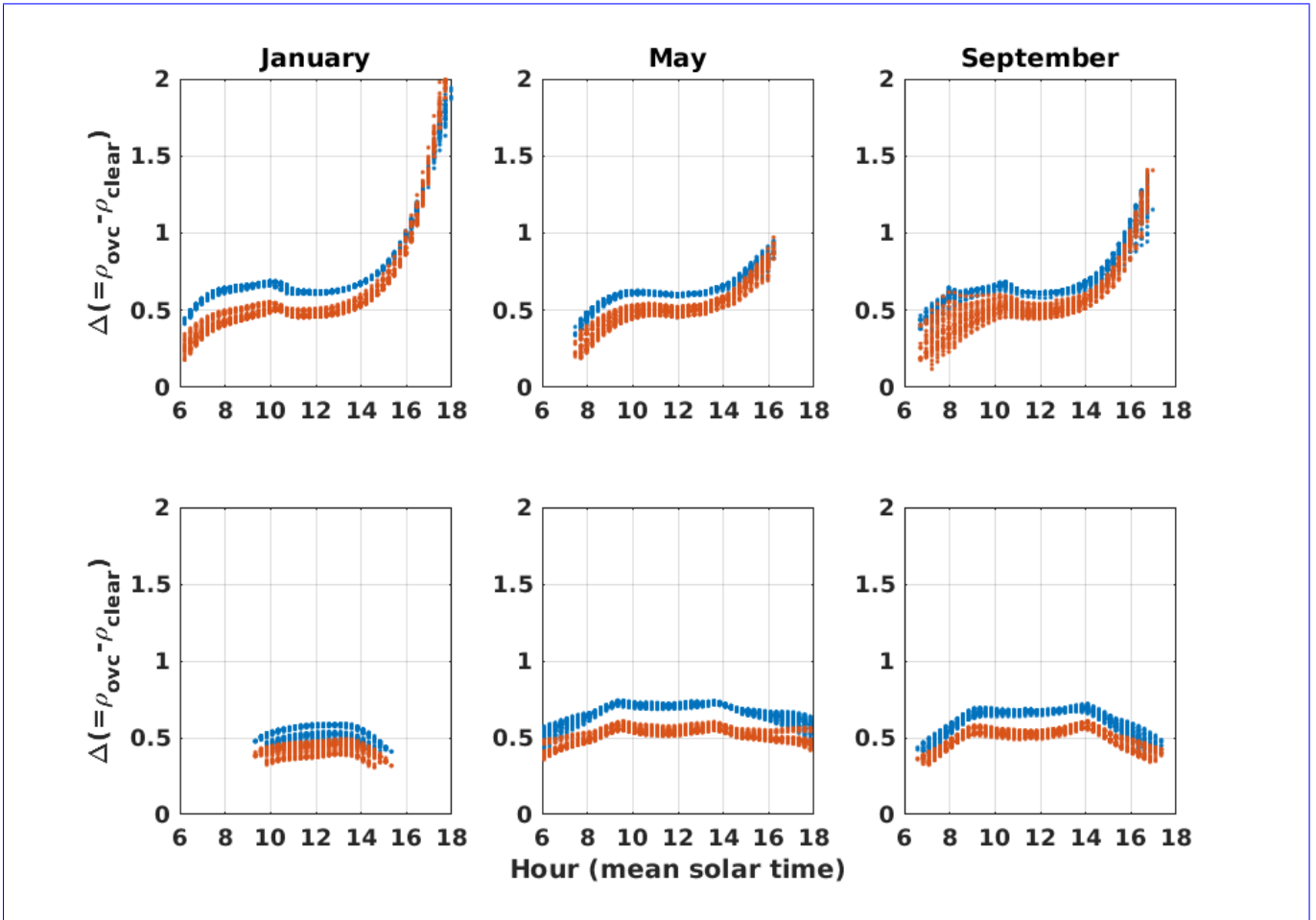


Figure 10. Difference between simulated reflectances at the top of the atmosphere in overcast and in clear-sky conditions $\Delta = \rho_{ovc} - \rho_{clear}$ for São Martinho da Serra (Brazil, upper row) and Camborne (United Kingdom, lower row) locations and for January, May and September calendar months (three columns from left to right). In blue dots: MSG 0.6 μm channel ; in red dots: MSG 0.8 μm channel.

395 3.1 Comparison of satellite-based estimates of DSSI with ground-based measurements

Validation results are shown in Table 4, for 15-min averaged DSSI estimates. Satellite-based estimates are obtained with MSG 0.6 μm imagery. Results for MSG 0.8 μm imagery show generally lower quality in terms of correlation and STD (Fig-11) as shown in Figure 11.

400 The simple relationship between the cloud index and the clear-sky index used here explains the significant amount of negative values of DSSI estimates. The improvement of this relation will be the object of a future study.

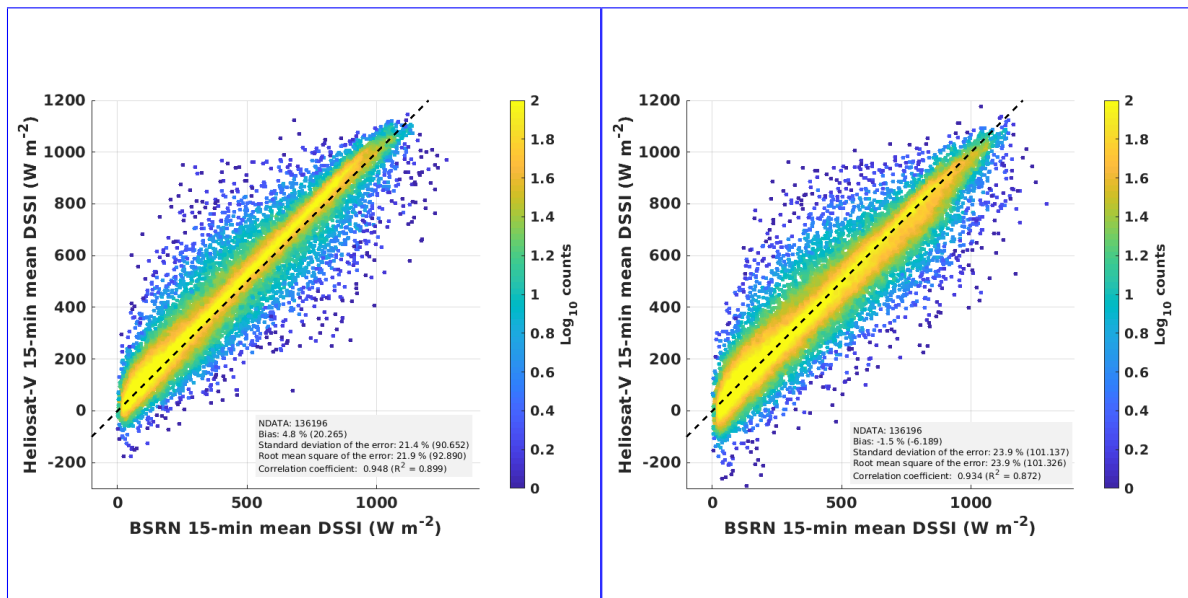


Figure 11. 2D-histograms of satellite-based DSSI estimates from the Heliosat-V method versus ground-based BSRN measurements for MSG 0.6 μm channel (left panel) and 0.8 μm channel (right panel).

We tested the sensitivity of the DSSI estimates to the cloud phase by using in one case the reference look-up table, featuring a liquid cloud, and for the test case, an ice cloud as described in Section 2.3. Results show only minor differences, pointing out a limited influence of the cloud phase on DSSI estimates (Fig. B6).

405 Finally, the quality of the results depends also on the quality of the clear-sky surface irradiance model. Gschwind et al. (2019) report for example relative mean biases of the McClear model from -3.6% (Barrow, Alaska, USA) to +3.2% (Payerne, Switzerland), when compared to BSRN irradiance measurements. The improvement towards a least biased estimation of the downwelling surface solar irradiance based on a cloud index will require better estimates of the attenuation of the solar radiation by the clear atmosphere.

3.2 Comparison of satellite-based estimates of DSSI with ~~operational products~~ HelioClim3 and CAMS Radiation products

410

The results of the method are also compared to satellite-based DSSI products HelioClim3 version 5 (HC3v5) and CAMS Radiation Service (CAMS-RAD) on Table 5. Results for the new HSV method show statistics similar to HC3v5 and CAMS-RAD, for both estimates based on 0.6 μm and 0.8 μm channels, in terms of correlation and of STD. One may note very low values of bias for operational products. This is expected because CAMS-RAD and HC3v5 estimates are calibrated with DSSI measurements from a similar set of BSRN stations.

415

Table 4. Validation results for 15-min means of all-sky DSSI, for the year 2011. Results based on the imagery of Meteosat-9/SEVIRI 0.6 μm channel.

Station	Number of samples	Mean BSRN -DSSI (BSRN) W m^{-2}	Bias W m^{-2} (%)	RMSD W m^{-2} (%)	Correlation coefficient (R)
Brasilia	13570	504	25 (5)	137 (27)	0.883
Cabauw	13222	301	4 (1)	72 (24)	0.949
Camborne	12731	310	-3 (-1)	103 (33)	0.901
Carpentras	12642	452	41 (9)	80 (18)	0.969
CENER	14164	412	21 (5)	89 (22)	0.946
Lindenberg	13637	317	9 (3)	81 (26)	0.938
Palaiseau	13993	335	12 (4)	79 (24)	0.948
Payerne	9191	387	29 (8)	88 (23)	0.955
Sede Boker	13574	589	46 (8)	90 (15)	0.960
Sao Martinho da Serra	5864	501	8 (2)	102 (20)	0.936
Tamanrasset	13609	579	26 (5)	88 (15)	0.958
Total	136197	436	20 (5)	93 (22)	0.948

Table 5. Comparison between validation results of HSV with those of HC3v5 and CAMS-RAD, each one versus BSRN measurements. Statistics on 15-minute means of DSSI for the stack of 11 stations and the year 2011. $N = 135107$; BSRN mean = 424 W m^{-2}

Method/data product	Bias W m^{-2} (%)	STD W m^{-2} (%)	RMSD W m^{-2} (%)	Correlation coefficient (R)
HSV 0.6 μm	20 (5)	91 (21)	93 (22)	0.948
HSV 0.8 μm	-6 (-2)	101 (24)	101 (24)	0.934
HC3v5	2 (0)	88 (21)	88 (21)	0.950
CAMS-RAD	0 (0)	98 (23)	98 (23)	0.937

Better results from the channel 0.6 μm could be attributed to a smaller influence of the cloud top height, compared to the 0.8 μm channel which is affected by water vapour absorption (Fig. 3). Biases discussed for the computation of clear-sky and overcast TOA reflectances could also affect significantly DSSI estimates.

4 Discussion

420 ~~Cloud-index methods are sensitive to estimates of clear-sky reflectances at the top of the atmosphere (TOA) ρ_{clear} , to the accuracy of overcast reflectances ρ_{ovc} and to the contrast between clear-sky and overcast scenes.~~

425 Better results from the channel $0.6 \mu\text{m}$ could be attributed to a smaller influence of the cloud top height, compared to the $0.8 \mu\text{m}$ channel which is affected by water vapour absorption (Fig. 3). Also, the choice of a spectral linear interpolation between MODIS channels to simulate surface reflectances in SEVIRI channels is supposed to contribute significantly to biases observed in ρ_{clear} simulations, in particular for the $0.8 \mu\text{m}$ channel with vegetated surfaces due to the red edge spectral pattern. Such biases could affect significantly DSSI estimates.

The surface reflectivity is lower for shorter wavelengths in general. Selecting a channel for which the surface reflectivity is low will favor a high contrast between clear-sky and overcast scenes, and improve the precision in the computation of the cloud index.

430 Our ability to reproduce reflectances at the top of the atmosphere in overcast conditions depends also on our knowledge of cloud properties, including their scattering phase function, tridimensional structure and top height.

But the introduction

4 Conclusion and perspectives

435 Heliosat-V is a cloud-index method for estimating downwelling surface solar irradiance from satellite imagery. In the framework of its development, we proposed an alternative way to retrieve the components of cloud index, this index being used to quantify the attenuation of DSSI by clouds. The method takes advantage of radiative transfer simulations in the computation of the cloud index also enhances the importance of an accurate calibration of satellite radiance measurements. Using the gain coefficients developed by Doelling et al. (2018) for CERES-SYN1deg instead of EUMETSAT operational coefficients is sufficient to remove most of the mean bias observed between simulations and measurements of reflectances at the top of the atmosphere in clear-sky conditions, for the channel $0.6 \mu\text{m}$. Besides, this increases the mean bias for the $0.8 \mu\text{m}$. It is possibly due to a weaker compensation of the errors on the calibration and caused by the linear spectral interpolation applied between MODIS channels to reproduce reflexion properties of vegetated surfaces.

The simple relationship between the cloud index and the clear-sky index used here explains the significant amount of negative values of DSSI estimates. The improvement of this relation will be the object of a future study.

445 Finally, the quality of the results depends also on the quality of the clear-sky surface irradiance model: the example of the McClear model shows typical biases of 3 % for the studied stations, when compared to BSRN irradiance data (Fig. ??). The improvement towards a least-biased estimation of the downwelling surface solar irradiance based on a cloud index will require better estimates of the attenuation of the solar radiation by the clear atmosphere modeling to provide versatility to the concept of cloud index. It provides advantages: it is applicable for optical sensors on geostationary and non-geostationary orbits, flexible for future improvements to describe surface, clear atmosphere and clouds and investigates physical solutions for limitations observed in previous cloud-index methods.

5 Conclusion and perspectives

~~A method to compute the cloud index~~ the method can be applied to different satellite optical sensors embedded on geostationary as non-geostationary orbits, it provides flexibility for future improvements to describe surface, clear atmosphere and clouds and solves some limitatio. Also, it provides an explicit An alternative cloud-index method is described in the framework of the development of the ~~future~~ Heliosat-V method for estimating downwelling surface solar irradiance from satellite imagery. The ~~cloud index~~ proposed method uses a radiative transfer model to compute the theoretical lower and upper boundaries of satellite measurements, corresponding to the clear-sky and overcast reflectances at the top of the atmosphere. These simulations, along with the satellite measurements, are used to compute the cloud index needed to quantify the attenuation of DSSI by clouds.

455

460 is built to deal with a single radiometric channel in the spectral range 400-1000 nm. It also does not need archives of data to quantify the cloud effective transmissivity. This approach has advantages. First, the concept of the Heliosat-V cloud index enables the use of imagery from geostationary and non-geostationary platforms, an asset to reach an extended spatial coverage. Moreover, the approach has the potential to deal with long time series of imagery from radiometers characterized by different spectral sensitivities and viewing geometries.

465 Validation results using SEVIRI imagery show that DSSI can be estimated by a cloud index method that does not rely on archives of imagery, with a quality similar to operational satellite-based data products like CAMS Radiation Service and HelioClim3, in terms of RMSD and correlation. This is an encouraging step toward the application of a Heliosat method to non geostationary satellite sensors. However, we note that there are differentiated errors depending on the spectral channel considered. This could be attenuated notably by an external knowledge on cloud top height and by improving the spectral

470 interpolation of reflexion properties of vegetated surfaces.

To clarify the potential of the method for long time series of imagery, we will need to explore how sensitive to the quality of input data the results are. The knowledge on atmospheric composition in absorbing and scattering species and on surface reflectivity properties is notably lower for past periods like 1980's than for today. Also, the absolute calibration of satellite imagery can be more uncertain, without on-orbit calibrated instruments. Many inputs of the method have very different degrees

475 of quality, depending on the period considered: the composition of the clear-sky atmosphere (aerosols and gases), surface properties, external clear-sky irradiance model. Further work is still to be done on multidecadal time series to study how the quality of such ancillary data affect the estimates of DSSI.

Also, producing global maps of DSSI requires to deal with non geostationary satellite imagery. First tests of the method have been made on the imagery of the Earth Polychromatic Imaging Camera (EPIC) embedded on the DSCOVR platform. They

480 show encouraging results that will be extended and detailed in a future publication.

Global coverage of DSSI information obviously requires also to deal with ocean surfaces and snow covered regions, and this will need to be treated in the future.

Code availability. Excerpts of code are available at <https://cloud.mines-paristech.fr/index.php/s/HAWmw7Fs927EtME>

Data availability. DSSI results derived from the implementation of Heliosat-V for validation on all 11 stations are available at <https://cloud.mines-paristech.fr/index.php/s/HAWmw7Fs927EtME>, along with simulated and MSG measured reflectances, cloud and clear-sky indices and clear-sky irradiance estimates from the McClear model. The manually filtered clear-sky instants are also provided for all 11 locations.

Appendix A: Methods

A1 Set-up of validation

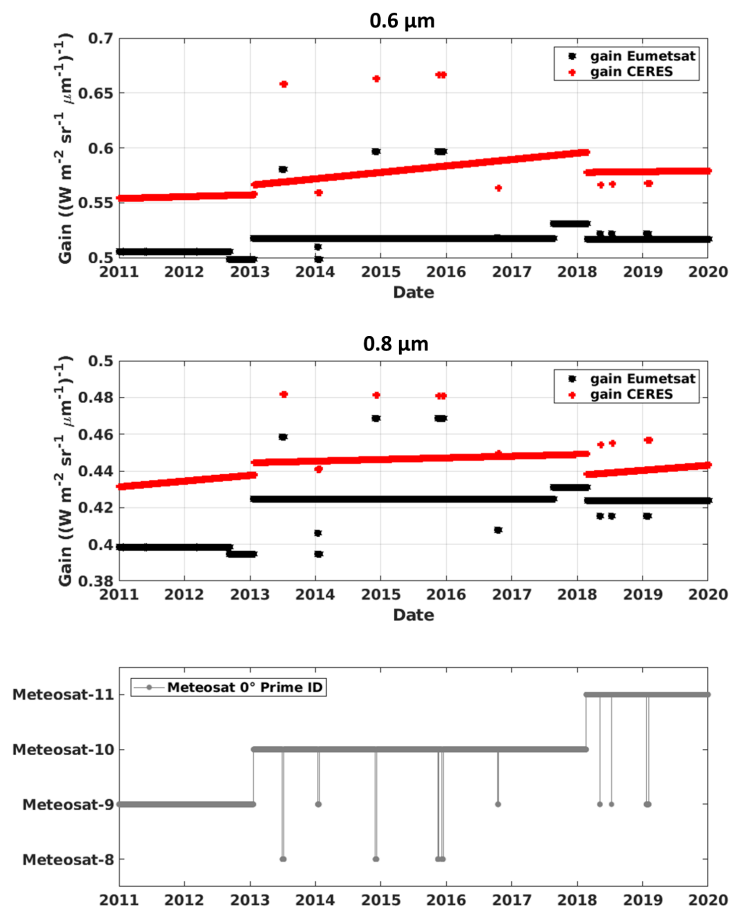


Figure A1. First two rows: calibration gains provided by EUMETSAT (black stars) and by CERES-SYN1deg (Doelling et al., 2018) (red stars) for 0.6 μm channel (first row) and 0.8 μm (second row) of SEVIRI sensor aboard Meteosat-9, between 2011 and 2019. Third row: ID of the operational satellite at the longitude 0°.

Appendix B: Results

490 B1 Simulated TOA clear-sky reflectances ρ_{clear}

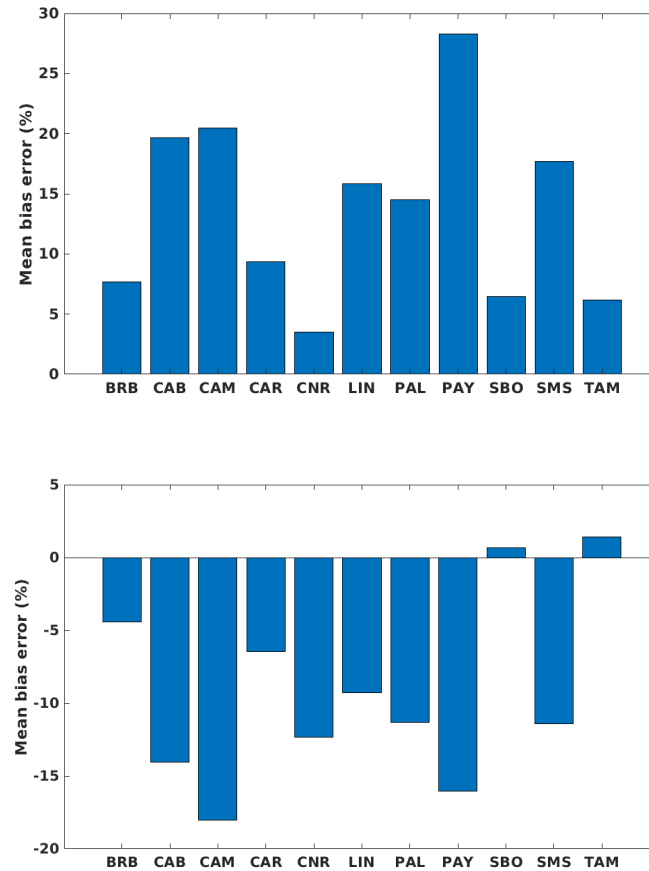


Figure B1. Relative mean bias errors of simulated clear-sky reflectances at the top of the atmosphere ρ_{clear} ((simulation-measurement)/measurement) for channels $0.6 \mu\text{m}$ (upper panel) and $0.8 \mu\text{m}$ (lower panel), and for each BSRN site.

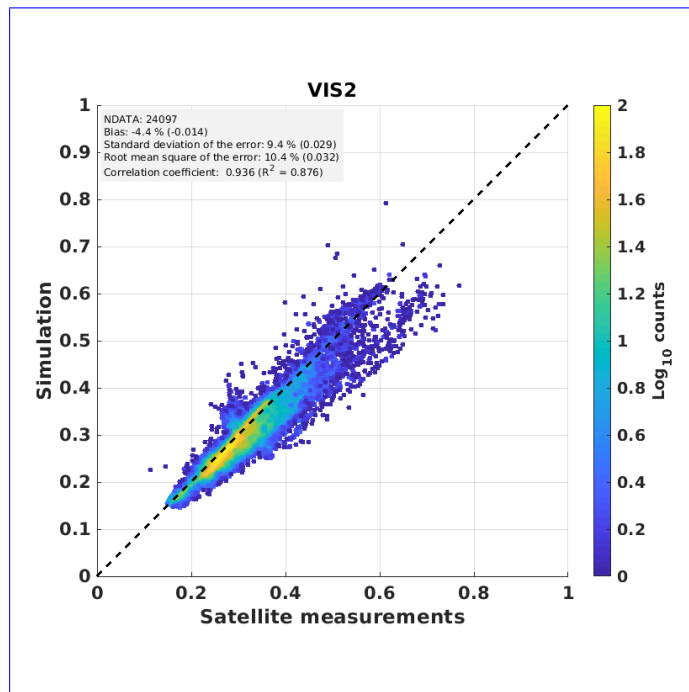
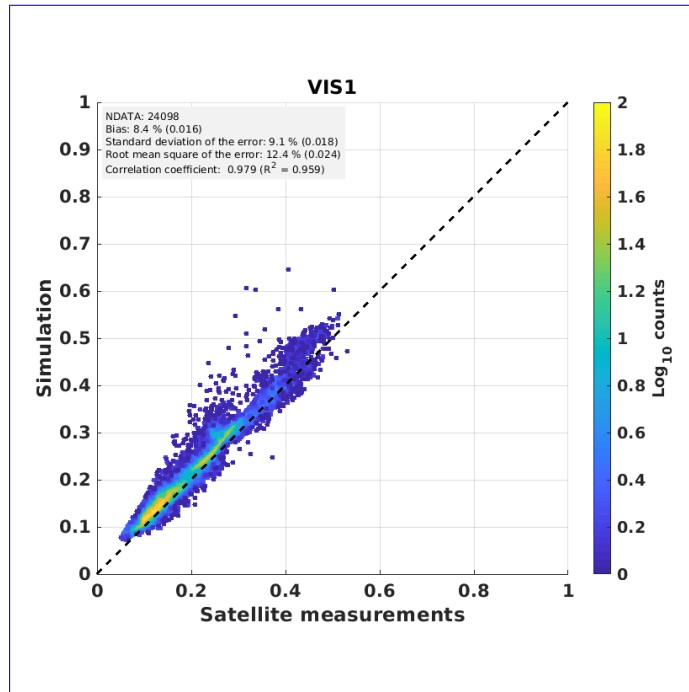


Figure B2. Simulation of clear-sky reflectances at the top of the atmosphere (ρ_{clear}) for MSG 0.6 μm (upper panel) and 0.8 μm (lower panel) spectral channels compared with actual satellite measurements. The comparison is done for all 11 locations, for the year 2011. Only instants with BRDF data of best quality are used (quality flag 0 of MCD43C1, "Best quality, 100% with full inversion")

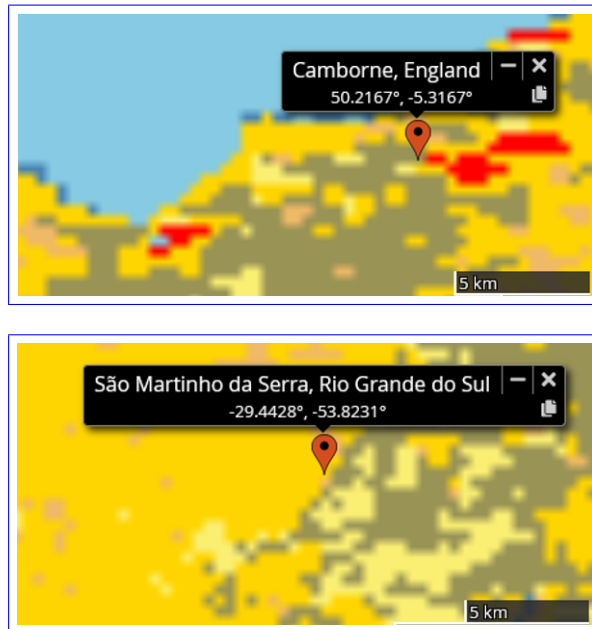


Figure B3. Land cover types around measurement stations São Martinho da Serra (Brazil, upper panel) and Camborne (United Kingdom, lower panel) for 2011. In red: urban and built-up lands; in gray: croplands/natural vegetation mosaics; in light yellow: croplands; in dark yellow: savanna; in beige: grasslands; in blue: water bodies. Data from Terra + Aqua MODIS product MCD12Q1 version 6, following the International Geosphere-Biosphere Programme classification scheme. Credit: NASA Worldview

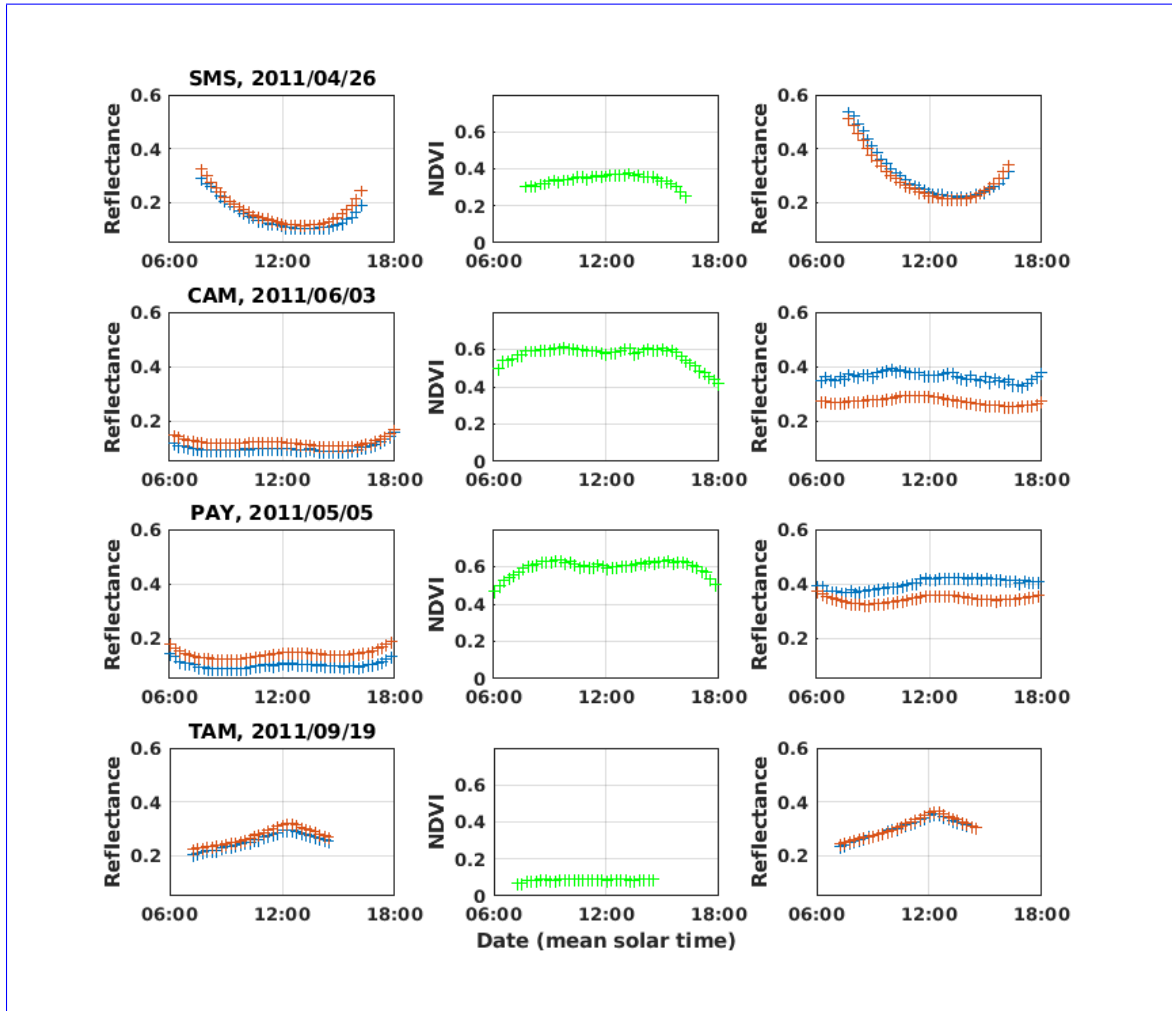


Figure B4. Comparison between simulated (red plus signs) and measured reflectances (blue plus signs) at the top of the atmosphere for one day in clear-sky conditions, for 0.6 μm (first column) and 0.8 μm channels (third column). NDVI computed from satellite measurements is shown on second column. Rows from top to bottom: locations of São Martinho Da Serra, Camborne, Payerne and Tamanrasset BSRN stations.

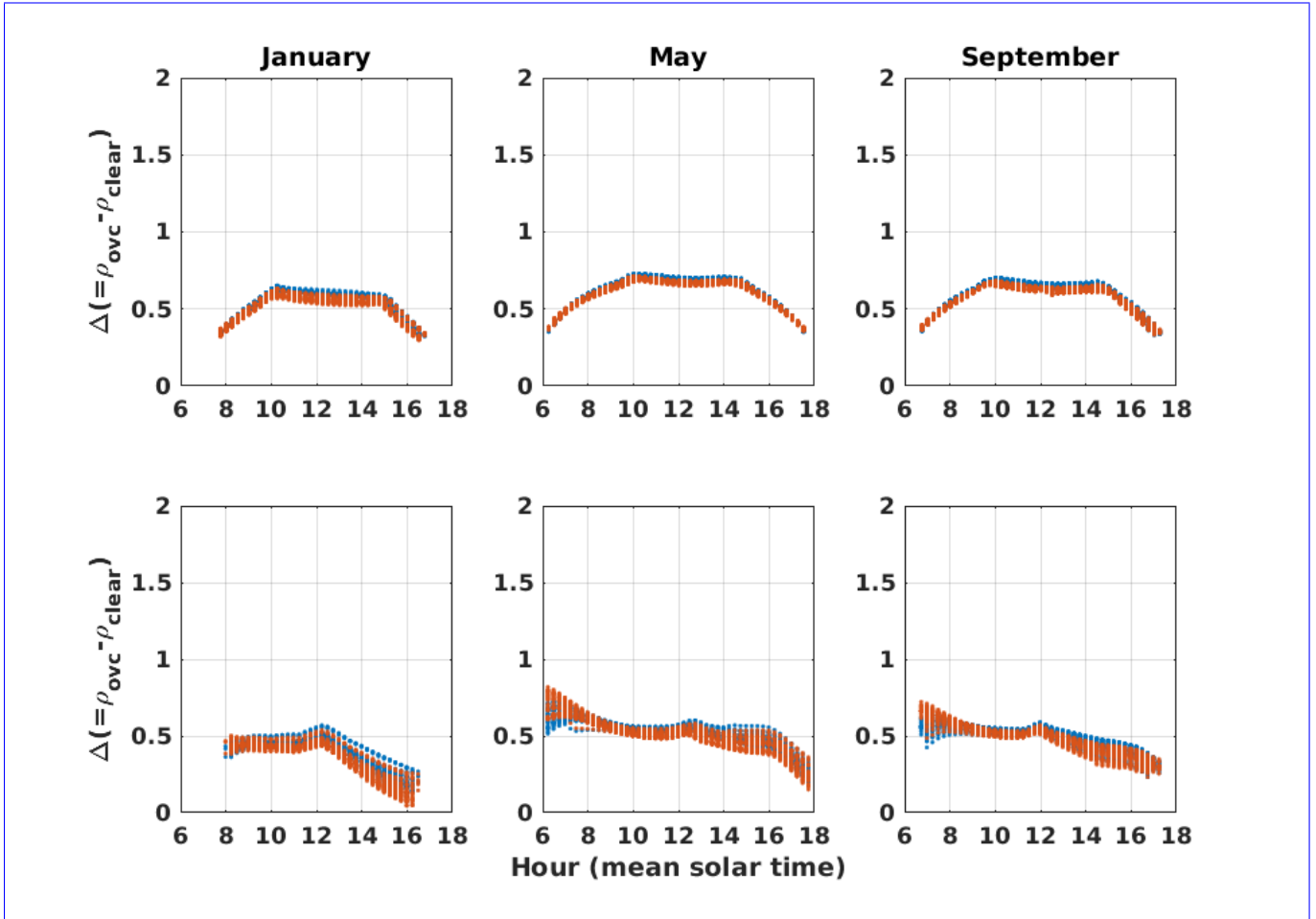


Figure B5. Difference between simulated reflectances at the top of the atmosphere in overcast and in clear-sky conditions $\Delta = \rho_{\text{ovc}} - \rho_{\text{clear}}$ for Tamanrasset (Algeria, upper row) and Sede Boker (Israel, lower row) locations and for January, May and September calendar months (three columns from left to right). In blue dots: MSG 0.6 μm channel ; in red dots: MSG 0.8 μm channel.

Table B1. Validation results for 15-min means of all-sky DSSI, for the year 2011. Results based on the imagery of Meteosat-9/SEVIRI 0.8 μm channel.

Station	Number of samples	Mean BSRN W m^{-2}	Bias W m^{-2} (%)	RMSD W m^{-2} (%)	Correlation coefficient (R)
Brasilia	13570	504	13 (3)	142 (28)	0.871
Cabauw	13222	301	-27 (-9)	93 (31)	0.919
Camborne	12731	310	-28 (-9)	117 (38)	0.875
Carpentras	12642	452	19 (4)	81 (18)	0.958
CENER	14164	412	-9 (-2)	96 (23)	0.932
Lindenberg	13637	317	-18 (-6)	92 (29)	0.920
Palaiseau	13993	335	-14 (4)	88 (26)	0.934
Payerne	9191	387	-22 (-6)	99 (26)	0.936
Sede Boker	13574	589	23 (4)	91 (16)	0.947
Sao Martinho da Serra	5864	501	-49 (-10)	124 (25)	0.918
Tamanrasset	13609	579	15 (3)	89 (15)	0.954
Total	136197	424	-6 (-2)	101 (24)	0.934

B2 Comparison of satellite-based estimates with ground-based measurements

~~2D-histograms of satellite-based DSSI estimates from the Heliosat-V method versus ground-based BSRN measurements for MSG 0.6 μm channel (left panel) and 0.8 μm channel (right panel).~~

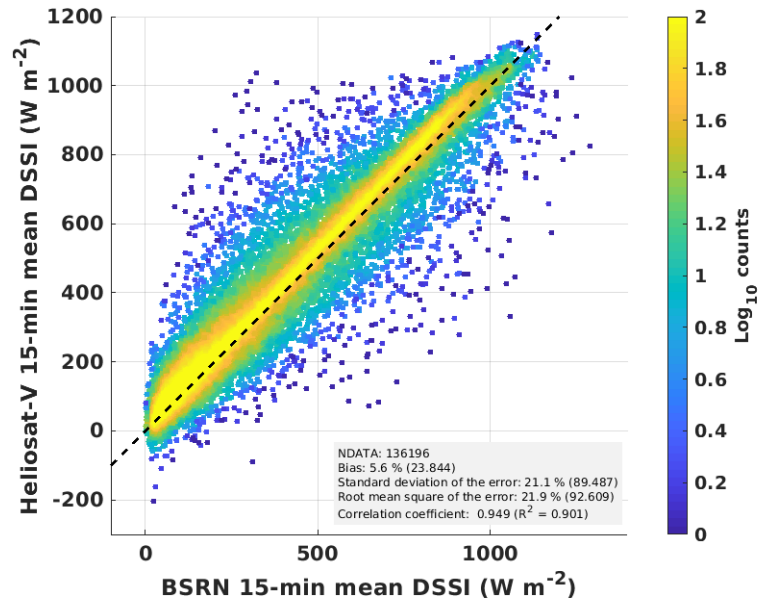


Figure B6. Impact of cloud phase on DSSI estimates. 2D-histogram of satellite-based DSSI estimates from the Heliosat-V method versus ground-based BSRN measurements for the MSG $0.6 \mu m$ channel. The liquid cloud look-up table of overcast-sky TOA reflectances is replaced for the ice cloud LUT.

Appendix C: Discussion

495 2D histogram of 15-min mean clear-sky DSSI from the McClear model versus BSRN measurements from the 11 locations and year 2011. Cloudy instants filtered out with the mask used for Fig. 6.

Author contributions. Conceptualization by BT, YMSD and PB. Investigation, validation and writing -original draft by BT. Visualization by BT and PB. BT and BG did software, with contributions from PB. Supervision by PB and BG. Methodology by BT, PB and YMSD. All authors brought contributions in the writing process.

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