Analysis of mobile monitoring data from the microAeth® MA200 for measuring changes in black carbon on the roadside in Augsburg

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22 Abstract. The portable microAeth® MA200 (MA200) is widely applied for measuring black carbon in 23 human exposure profiling and mobile air quality monitoring. Due to its relatively new on the market, 24 the field lacks a refined assessment of the instruments performance under various settings and data 25 post-processing approaches. This study assessed the mobile real-time performance of the MA200 to 26 determine a suitable noise reduction algorithm in an urban area, Augsburg, Germany. Noise reduction 27 and negative value mitigation were explored via different data post-processing methods (i.e., local 28 polynomial regression (LPR), optimized noise reduction averaging (ONA), and centered moving 29 average (CMA)) under common sampling interval times (i.e., 5, 10, and 30 s). After noise reduction, 30 the treated-data were evaluated and compared by (1) the amount of useful information attributed to 31 retention of microenvironmental characteristics; (2) relative number of negative values remaining; (3) 32 reduction and retention of peak samples; and (4) the amount of useful signal retained after correction 33 for local background conditions. Our results identify CMA as a useful tool for isolating the central 34 trends of raw black carbon concentration data in real time while reducing non-sensical negative values 35 and the occurrence and magnitudes of peak samples that affect visual assessment of the data without 36 substantially affecting bias. Correction for local background concentrations improved the CMA 37 treatment by bringing nuanced microenvironmental changes into more visible. This analysis employs a 38 number of different post-processing methods for black carbon data, providing comparative insights for

- 39 researchers looking for black carbon data smoothing approaches, specifically in a mobile monitoring
- 40 framework and data collected using the microAeth® series of aethalometers.
- 41 Keywords: Black carbon; Mobile measurement; Noise reduction; peak sample; Background correction

42 1 Introduction

43 Black carbon particulate matter with size ranging from 0.01 to 1 µm (Zhou et al., 2020), is a pollutant 44 comprised of a range of carbonaceous materials produced by the incomplete combustion of fossil fuel 45 and biomass containing carbon (Goldberg, 1985), and is suspected of exerting significant impact on 46 health (Anenberg et al., 2012; Janssen et al., 2011; Nichols et al., 2013). Black carbon also has an 47 important role in climate systems due to its strong radiative forcing potential (Kutzner et al., 2018, 48 Sadiq et al., 2015). The International Agency for Research on Cancer (IARC) has classified black 49 carbon as a 2B carcinogen, while researchers have linked black carbon exposures to cardiovascular, 50 respiratory, and neurological diseases (e.g., Nichols et al., 2013). However, the high spatial variability 51 of black carbon among small-scale urban blocks is difficult to characterize with existing monitoring 52 networks which typically rely on fixed monitors (Apte et al., 2017), especially for on-road 53 concentrations. Recently, mobile monitoring has been widely applied for the collection of real-time air 54 quality measurements to assess local air quality, and air pollutant exposures (Liu et al., 2020, 2021). 55 This method can improve the spatiotemporal resolution of measurement data in the urban environment 56 and enables the collection of data such as the traffic-related air pollutant concentrations (Liu et al., 57 2019). Therefore, mobile measurements are favourably used in human exposure studies to quantify 58 individual exposures and to demonstrate the importance of exposure differences in different 59 microenvironments.

60 Instrument manufacturers in the USA have recently developed a new instrument for measuring black 61 carbon concentrations in a variety of exposure-related contexts, including personal exposure 62 assessment, ambient and vertical profiling, and indoor emissions concentration measuremnts, among 63 others. This instrument, the microAeth® MA200 (MA200; AethLabs, San Francisco, CA, USA), 64 continuously collects aerosol particles on a filter and measures the optical attenuation (ATN) at 5 65 wavelengths (880, 625, 528, 470, and 375 nm) with a data collection time base as frequent as 1 Hz. 66 This instrument supports the DualSpot® loading compensation method, which corrects the optical 67 loading effect (Virkkula et al., 2007) and provides more additional information about aerosol optical 68 properties. However, the raw data recorded by the MA200 at high frequencies (e.g., 1 Hz) can exhibit 69 noise that obscures nuanced signals surrounding the central tendency of the data, increasing the 70 difficulty of analysis in mobile settings or during rapidly changing micro-environmental characteristics. 71 These negative values usually contain valid information required for noise reduction or smoothing, and 72 so simply removing them may result in bias. Noise reduction of the raw data without direct removal of 73 negative values is thereby recommended to enhance data quality and temporal resolution (Liu et al., 74 2020). In addition, when the sampling equipment traverses from a highly polluted to a low polluted

area, such as a park, the instrument produces strong negative values due to the measurement principle of the instrument and the strength of the pollution gradient between microenvironments. Therefore, the raw black carbon concentrations collected by MA200 need to be post-processed to ensure that researchers can adequately analyse the spatiotemporal distribution of black carbon.

79 Some progress has been made in the study of black carbon monitoring (Apte et al., 2011; Dons et al., 80 2012; Cao et al., 2020), however, noise reduction algorithms have not been fully assessed for the new 81 generation of micro-aethalometers and for mobile monitoring contexts. In previous studies, Hagler et al. 82 (2011) and Cheng et al. (2013) evaluated optimized noise reduction averaging (ONA) for 83 post-processing mobile monitoring data. Due to the high spatial heterogeneity of black carbon, the 84 ONA algorithm may ignore important microenvironmental effects and lead researchers to perhaps 85 incorrectly conclude that resolution of microenvironmental source information cannot be determined 86 from their data.

87 In this study, we aim to determine a suitable noise reduction algorithm for the MA200 aethalometer, 88 starting with ONA, and moving on to two additional smoothing techniques offered by AethLabs in 89 their suite of free online data post-processing (i.e., noise reduction) tools: the local polynomial 90 regression (LPR) and centered moving average (CMA) algorithms. The interpretation accuracy of data 91 analysed and reported upon in black carbon mobile monitoring study can be increased by assessing the 92 relative performance of these post-processing methods to each other and to ONA. The quality of each 93 noise reduction approach was assessed on data collected in an urban environment and post-processed 94 with ONA, LPR, and CMA. Assessment criteria included (1) retention of detailed information 95 attributed to microenvironmental characteristic; (2) relative number of negative values remained; (3) 96 reduction and retention of peak samples; and (4) retention of detailed information on 97 microenvironmental characteristics after background correction.

98 2 Methods

99 2.1 Instrumentation

100 2.1.1 Sampling Equipment

101 The MA200 measures optical ATN from black carbon on a filter across 5 optical wavelengths: infrared, 102 red, green, blue, and ultra-violet (880, 625, 528, 470, and 375 nm, respectively). A common black 103 carbon metric called "equivalent black carbon" (eBC) is assessed via the 880 nm channel. The 104 detection limit of the MA200 is reported at 30 ng/m³ eBC under a 5 min time base and 150 mL/min 105 flow rate (SingleSpot[™] mode) and with resolution of 1 ng/m³ (AethLabs, 2018). In mobile monitoring, 106 the MA200 can be used to estimate personal exposure and quantify eBC mass concentrations in 107 different microenvironments. It should be noted that a predecessor instrument to the MA200, the AE51, 108 has demonstrated some sensitivity to mechanical shock during mobile measurements (Cai et al., 2013). 109 When AethLabs took control of manufacturing the AE51, which was originally produced by Magee

110 Scientific (Berkeley, CA, USA), instrument opto-electronics were redesigned to reduce such sensitivity.

- 111 Researchers using redesigned AE51 demonstrated only a small effect on data. Supporting this
- 112 improvement, Cai et al (2013) found evidence of a substantial improvement in data quality related to
- 113 vibration-related spikes after an equipment upgrade by AethLabs. In addition, there were no major
- 114 mechanical shocks to or unique vibrational effects on the instrument and no major differences of
- 115 accelerometer data in the raw data, precluding these as potential confounders on all instruments.

116 2.1.2 Instruments preparation

117 In this study, seven MA200 portable black carbon monitors (serial numbers MA200-0051, 118 MA200-0053, MA200-0059, MA200-0060, MA200-0155, MA200-0153, MA200-159) were used 119 simultaneously to measure black carbon levels at the city centre under different interval times (5 s, 10 s, 120 and 30 s). To evaluate the relative performance of MA200, this study analysed black carbon data 121 collected from multiple MA200 devices, identified individually by serial numbers. The instruments 122 were prepared and adjusted in our laboratory before each walk, consisting of "zero" calibration checks, 123 the examination of the MA200 filter cassette, battery, GPS, and memory checks. Flow calibrations 124 were adjusted with a factory-calibrated flow meter (Alicat Scientific, Inc. Tucson, AZ, USA).

125 Comparative measurements of the MA200 and a stationary Aethalometer (AE33, Magee Scientific, 126 Berkeley, USA) taken approximately 30 to 60 min between walks showed a good agreement (Pearson's 127 r = 0.933) (Liu et al., 2021). In addition, it is worth noting that when the AE33 was used for monitoring 128 black carbon at the same time as the MA200, the AE33 was placed in a fixed station, while the MA200 129 was used outdoors (in the stroller) during the individual walks, which may have presented different 130 relative humidity and temperature values. This condition did not influence the consistency of eBC 131 concentration measured with both instruments. Information about the date, duration, and time 132 resolution (time base) of each MA200 device are summarised in Table 1. To demonstrate the 133 unit-to-unit comparability between the MA200 units, we performed intercomparisons at fixed 134 monitoring stations (Table S1) and during collocated mobile measurements (Fig. S2). No wavelength 135 dependence was observed between different instruments for fixed and mobile monitoring 136 measurements.

Measurement	Date	Sorial number	Start time	End time	Time	Sito	
number	(dd/mm/yyyy)	Serial number	(hh:mm:ss)	(hh:mm:ss)	base (s)	Sile	
1	27/09/2018	MA200-0051	10:29:10	13:38:20	10		
2	15/11/2018	MA200-0059	11:53:42	16:13:12	10		
3	16/11/2018	MA200-0053	11:34:06	16:33:56	10	Augsburg	
4	26/08/2019	MA200-0060	11:01:56	15:44:46	10	Germany	
5	21/02/2020	MA200-0155	10:00:10	13:10:00	5	Germany	
6	21/02/2020	MA200-0153	10:00:10	13:10:00	10		
7	21/02/2020	MA200-0159	10:00:10	13:10:00	30		

137 **Table 1** Measurements of black carbon by different MA200 devices.

8	24/11/2020	MA200-0059	09:40:57	11:09:07	10	Munich
9	01/12/2020	MA200-0051	13:29:05	15:19:00	5	Commons
10	18/12/2020	MA200-0051	14:39:30	15:19:30	30	Germany

138 **2.2 Study design and routes**

139 The MA200 instrument is able to measure black carbon in 1 s, 5 s, 10 s, 30 s, 60 s, and 300 s interval 140 times. The 1 s time base exhibits the most challenging interpretation because of low signal to noise 141 ratio especially at low concentrations, which is similar to other optical black carbon monitors (Hagler 142 et al., 2011). Therefore, 1 s measurement resolution may be most useful when sampling in high 143 concentration environments, performing direct emissions testing and requiring high time resolution for 144 the application. However, the eBC average concentration is low in the city centre of Augsburg, 145 Germany, (measured at 2.62 μ g/m³ in winter by Gu, (2012)) thus we did not use the 1 s time base. 146 Moreover, 60 s and 300 s are too long distance for mobile monitoring, which may affect the accuracy of the spatial variation of pollutants, hence both time bases were also not selected in this study. In order 147 148 to better understand at which interval time of sampling might be most useful in this context - mobile 149 measurements at low eBC concentrations - three MA200 devices were used in parallel to measure eBC 150 concentrations with the interval times of 5 s, 10 s, and 30 s (Measurement numbers 5-7 in Table 1).

151 To account for the different land-use types of the microenvironments, a fixed walking route within the 152 centre of the city was determined. Wherever possible, the mobile measurements were carried out on the 153 right side of the road simulating people's common habits (driving and walking on the right side in 154 Germany). All walks along the route were conducted on weekdays, with clear skies and calm winds to 155 avoid misrepresentation of typical urban exposure conditions. The route started from Augsburg 156 University of Applied Sciences (UAS) and continued approximately 14 km for 3 h average walking 157 time, passing through different types of land-use to ensure that different microenvironments were 158 represented the entire areas and the validity of the results (Fig. S1). Meanwhile, as performed in our 159 previous study (Liu et al., 2021), we divided the monitoring route into four microenvironment groups 160 in Augsburg, including high traffic flow (H Traffic, average 500-1000 vehicles/h), medium traffic flow 161 (M Traffic, average 200-500 vehicles/h), low traffic flow (L Traffic, average 1-200 vehicles/h), and 162 park area (N Traffic, average 0 vehicles/h), according to the actual traffic density examined during the 163 daytime and determining from the traffic flow observed by street views.

Briefly, the study consisted of the following phases, (1) collecting raw black carbon data using the sampling instruments (MA200); (2) smoothing the acquired raw black carbon data under different post-processing methods (i.e., noise reduction); (3) comparing the noise reduction data based on the detail of microenvironmental characteristic and number of negative values; (4) following the peak samples identification by the coefficient of variation (COV) and (5) following the background estimation and correction by thin plate regression spline (TPRS); and (6) finally, selecting the best noise reduction approach.

171 **2.3 Post-processing methods**

172 In order to reduce the noise of concentration data obtained using high time resolutions, post-processing

173 algorithms can be used. AethLabs offers tools for applying several noise reduction algorithms (ONA,

- 174 LPR, and CMA) to MA-series device data on its website (https://aethlabs.com [note: a free account is
- 175 required]). The relative utility of the different post-processing methods is determined by (1) the ability
- 176 to perceive nuanced differences between microenvironmental pollution characteristics after noise
- 177 reduction; (2) the relative number of negative eBC values remaining; (3) the reduction and retention of
- 178 peak samples; and (4) the ability to perceive nuanced differences between microenvironmental
- 179 pollution characteristics with the noise-reduced data after background correction.

180 **2.3.1 ONA (optimized noise reduction averaging)**

181 ONA is based on the time series of three parameters in the original observation data, namely the 182 observation time, the original eBC concentration, and the amount of change in optical ATN over time, 183 as specifically described by Hagler et al. (2011). Briefly, a ΔATN threshold is manually set to prevent 184 the algorithm from recalculating eBC until a certain amount of ATN has been detected (e.g., enough 185 black carbon has deposited on the filter to "confidently" calculate an eBC concentration). The aim is to 186 reduce erroneous and spurious estimation by dynamically extending the effective sample time base, 187 hence, there is sufficient ATN to significantly reduce the error effects of instrument noise. This 188 effective time base will be longer in low concentrations than at higher concentrations and, hence, when 189 operating properly, *no* negatives and less eBC noise will be reported. When using the ONA 190 algorithm, this Δ ATN threshold needs to be manually assigned. Hagler et al., (2011) implemented a 191 ΔATN threshold of 0.05 to post-process data from a fixed monitoring site by different Aethalometer 192 models (AE21, AE42, and AE51). However, when applied to MA200 data, a Δ ATN threshold of 0.05 193 results in a very smooth curve and may obscure more information than is necessary to provide a 194 usefully smoothed curve. For this reason, a lower Δ ATN threshold of 0.01 was selected for the mobile 195 measurement data of our study (Fig. S3).

196 **2.3.2 LPR (local polynomial regression)**

The LPR algorithm is a non-parametric tool similar to a moving average, but it operates on polynomial regression rather than simple averaging (Masry, 1996, Breidt and Opsomer, 2000, Kai et al., 2010). In LPR, the number of points across which to smooth must be manually identified. This value should be chosen to balance effective smoothing of the measured values and the sensitivity required to provide spatial resolution in mobile measurements (e.g., the distance over which the average was taken). The distance resolution was chosen at approximately 100 m. Assuming the sampling speed is 1.3 m/s, when the interval time is 5 s, 10 s, and 30 s, the smoothing number of points are 15, 7, and 3, respectively.

204 **2.3.3 CMA (centered moving average)**

The CMA algorithm is a smoothing technique used to make the long-term trends of a time series clearer (Easton and McColl, 1997). Unlike a simple moving average, CMA has no shift or group delay in the data processing, as it incorporates data from both before and after the datapoint that is being
 smoothed. The smoothing number of points was determined as previously described in the LPR
 algorithm, assuming a sampling speed of 1.3 m/s.

210 **2.4** Comparison analysis after noise reduction approach

211 **2.4.1** The nuance of microenvironmental characteristics and the proportion of negative values.

212 After post-processing data, the characteristic change of the treated data is used as criterion to select the 213 best method. In this regard, when the treated data provide more detailed microenvironmental 214 characteristics, the data reflect the actual situation of air pollutants and facilitate the identification of 215 pollution sources. However, if microenvironmental trends are less pronounced, it may hinder the 216 identification of the pollution source. Therefore, more detailed microenvironmental features result in 217 more accurate information. In addition, the number of remaining negative values is determined as 218 another criterion to propose the best method. Specifically, the method with the smallest proportion of 219 the negative values is selected as the best method. The proportion of negative values (NV) remaining 220 was calculated as the number of negative values divided by the total sample size.

221 2.4.2 Peak sample identification

An earlier study by Brantley et al. (2014) compared several methods for identifying and eliminating peak samples in mobile air pollution measurements. These include identifying samples outside of a threshold based on a median produced using road segmentation, an α -trimmed arithmetic average (Van den Bossche et al., 2015), a running coefficient of variation (COV) (Hagler et al., 2012), an estimate of background standard deviation (Drewnick et al., 2012), a running low 25 % quantile (Choi et al., 2012) and 3 times the standard deviation (Wang et al., 2015). The formula for the running method used in this analysis is previously described by Hagler et al. (2012) with minor modification (Eq. 1):

229
$$COV_t = \frac{\sqrt{\frac{1}{7}\sum_{i=t-3}^{i=t+3} (x_i - \bar{x})^2}}{\bar{x}_{all}}$$
 (1)

230 where COV_t is the 70 s sliding COV of the t-th eBC sample under a 10s time base (representing 30 s prior to the sample, the sample, and 30 seconds after the sample), x_i is the i-th eBC sample, \overline{x} is the 231 average of the t-th eBC sample and the three samples before and after it, and \bar{x}_{all} is the average of all 232 233 eBC data in one experiment. The 99th quantile of the 70 s sliding COV of all eBC data is used as the 234 threshold for determining "peak sample". The eBC samples that are greater than this threshold are 235 flagged as peak samples along with the eBC samples 3 data points before and after. However, under 236 different time bases (e.g., 5 s, and 30 s), the sliding COV of the t-th black carbon sample is different. 237 Accordingly, the COV equation is required for modification under different time base.

To calculate the reduction of peak samples (RP), the number of peak samples was calculated before and after post-processing data, and the difference value was obtained. Then the change in the number of peak samples was divided by the total number of peak samples before post-processing data. After noise reduction, we compared the reduction and the number of peak samples to further evaluate post-processing methods. In short, if the reduction of peak samples is high, the treated data has a high peak noise reduction without removing the numbers of peak samples. Therefore, the method with high reduction of peak samples and retaining the number of peak samples after post-processing is considered as the better method.

246 **2.4.3 Background estimation and correction**

The ability of a processing method to adequately remove the estimated background concentration was used to evaluate which method provides the most useful information related to microenvironmental effects. A noise reduction method that appears to better facilitate background estimation and correction (as described below calculated from noise-reduced data via a defined background estimation and evaluation approach) is assessed to select a better post-processing method.

252 Background correction methods include the single sample standardization method, the sliding 253 minimum method, the linear regression post-processing method, and the spline (of minimum) 254 regression post-processing method. Brantley et al. (2014) suggests that a thin plate regression spline 255 (TPRS) method can reliably evaluate the background value of mobile measurements, and be used to 256 examine the "useful" information in the noise-reduced data (i.e. non-spurious, non-background 257 pollution trends). Briefly, the TPRS approach includes three steps: first, the noise reduction data of 258 pollutant was processed by a 30 s moving average; second, the results of the 30 s moving average were 259 sequentially processed by the specified time window (i.e., 5 and 10 min), and the position of the 260 minimum sample of pollutant concentration was identified in each window; and finally, thin-plate 261 spline regression was used to fit the sample of minimum pollutant concentration obtained in the 262 previous step, then the background concentration at each time point was obtained.

263 3 Results and discussion

The average eBC concentrations of raw, ONA-processed, LPR-processed, and CMA-processed data (Measurements 1-10) monitored by all instruments were compared in this study (Table S2). The results show that the three post-processing methods accounted of approximately 1 % bias from the average of raw concentrations (except measurement 5, ONA-processed data at 5 s). This indicates that the average concentration under each post-processing method did not affect the average concentration of the raw unprocessed data.

270 **3.1 Post-processing data under different interval time**

As shown in Figure 1, three MA200s were used at the time bases of 5 s, 10 s, and 30 s. The proportion of negative values in the raw data collected under different time base of was 42.1 %, 37.6 %, and 30.5 %, for 5 s, 10 s, and 30 s, respectively (Fig. 1a, Table 2, Fig S4a). Following this, the raw data

were processed using ONA, LPR, and CMA (Fig. 1b, 1c, and 1d).

275 In the 5 s time base, the eBC values changed very rapidly (Fig. 1a), and the ONA processing of the data 276 resulted in only one value (which was negative) (Fig. 1b). Thus, the microenvironmental characteristics 277 of the eBC concentration were not reproduced. We found all ΔATN ($ATN_{t(0)+\Delta t}$ - ATN_0) data were 278 negative in the raw data collected at 5 s, which, according to the ONA method described above, 279 resulted in only a single value. In short, after the first measurement, the **AATN** threshold (which is 280 positive) for calculating the next value was never reached. The first value was likely a negative value 281 due to a combination of instrument noise, coincidence, and a low background concentration (i.e., low 282 baseline instrument signal), which is consistent with both the raw data measurements and the typical 283 low eBC concentrations in the city centre of Augsburg, Germany (Gu, 2012). It is unclear why ΔATN 284 remained negative, but, given the long series of low concentration vales at the beginning of the 285 sampling and the initial negative measurement, it is possible that the summed ΔATN became 286 increasingly negative as a result of the initial negative ΔATN measurement. The subsequent 287 measurements at low-concentration did not exceed the magnitude of the initial negative ΔATN value. 288 Under these conditions, a cumulative negative sum of ΔATN would prevent the positive ΔATN 289 threshold from being achieved at all. If true, this condition highlights one potential weakness of the 290 ONA algorithm, such as difficulty registering a signal under low concentrations and requires further 291 investigation of the conditions under which ONA is truly unbiased. The observed event prevented the 292 use of ONA in the 5 s time base (Fig. 1b). Previous studies in which ONA was successfully applied 293 implemented a 1 s time base (Hagler et al., 2011; Van den Bossche et al. 2015). After post-processing 294 with LPR and CMA, the microenvironmental characteristics retained more detailed information of the 295 eBC concentration. Further comparison of their negative values revealed that the remaining negative 296 values comprised 28.1 % and 22.9 % of the dataset for LPR and CMA, respectively, after 297 post-processing.

298 In the 10 s interval time base, negative values were not found after ONA processing, suggesting that a 299 reasonable smoothing effect is obtained at low black carbon concentration. The microenvironmental 300 characteristic presented strong changes against the raw data, remaining less detailed information of air 301 pollution. After post-processing with LPR and CMA, the microenvironmental characteristics revealed 302 more detailed information of air pollution, with 30.2 % of negative values for LPR and 25.3 % for 303 CMA. In the 30 s interval time base, the negative values comprised 0 % of the post-processed data for 304 ONA, 25.5 % for LPR, and 22.4 % for CMA. The 30 s interval dataset presented the lowest proportion 305 of negative values before and after post-processing, due to the longer interval times of sampling. 306 However, the longer 30 s measurement period results in more distance covered during each 307 measurement, given the mobile nature of the sampling device. Thus, 30 s black carbon measurements 308 may be too long to detect local concentration peaks in urban contexts that supported another study 309 (Kerckhoffs et al., 2016).

The ONA algorithm showed a strong tendency to remove negative values and, depending on the Δ ATN threshold employed by the user, can remove potentially meaningful low peaks. As a result, the ONA-treated data may present bias that obscure nuanced microenvironmental trends (Fig. 1b). Interestingly, LPR and CMA post-processing are capable of decreasing negative values while retaining microenvironmental trends. Both methods are promising for the analysis of spatiotemporal changes in pollutant concentrations with sensitivity to local sources. Previous studies have shown that the spatiotemporal variability of black carbon is highly heterogeneous (Liu et al., 2019; Liu et al., 2021); the ability to capture spatiotemporal variability of microenvironments is critical for assessing differential exposures among populations.



Figure 1 The temporal fluctuations of the black carbon levels measured with the MA200 at sampling time bases of 5 s, 10 s, and 30 s during a typical sampling period (about 190 min), (a), raw data without noise reduction, (b), data treated with optimized noise reduction averaging, (c), data treated with local polynomial regression, and (d), data treated with centered moving average. The analysis was carried out on data streams from three MA200s all collected during a single sampling run (Measurements 5, 6 and 7).

Table 2 The proportion of negative values and average reduction of peak samples under the different
 post-processing methods (values are shown as (%), NV [%]: Proportion of negative values remained,
 RP [%]: Average reduction of peak samples. -, no data, measurements 1-10).

Interval time	Factor	RAW	ONA	LPR	CMA
5 5	NV	42.1	-	28.1	22.9
58	RP	0	100	72.0	87.4
10 s	NV	37.6	0	30.2	25.3

	RP	0	5.54	22.3	47.7
30 s	NV	30.5	0	25.5	22.4
	RP	0	0.62	6.24	39.1

329 **3.2** Reduction and number of peak samples after post-processing methods

The processing of peak sample is a pivotal evaluation index for the measurement of time-averaged roadside air quality. Passing vehicles, for example, may bias estimates of typical local concentrations due to their contribution to the dataset of peak concentrations that may substantially related to arithmetic averages. Therefore, after noise reduction, we compare the reduction and the retained number of peak samples to further evaluate the post-processing methods.

335 In the interval time 5 s, the average reduction of peak samples (RP) for the LPR and CMA algorithms 336 was 72.0 % and 87.4 %, respectively (as discussed above, the ONA method could not be used). In this 337 interval time, the reduction of peak samples was relatively high, indicating that when monitoring black 338 carbon at low concentrations and high sample frequencies, drastic noise may occur in the raw data, and 339 higher noise reduction may affect the actual values. Therefore, a suitable interval time should be 340 considered when monitoring low eBC concentrations. In the interval time 10 s, the average reduction of 341 peak samples for the CMA (47.7 %) is higher than ONA (5.54 %) and LPR (22.7 %). In the interval 342 time 30 s, CMA presented the greatest average reduction of peak samples (39.1 %) compared to ONA 343 (6.24 %) and LPR (0.62 %) (Table 2, Fig. S4b). The retention of peak samples remaining after 344 post-processing was also assessed using the COV method (Measurements 1-10). The result showed that 345 all three algorithms retained all peak samples before and after post-processing. In this regard, CMA 346 retained all peak samples despite the highest reduction in their magnitude. Therefore, CMA highlights 347 microenvironmental trends while preserving the identity of peak samples, facilitating the identification 348 of local pollution sources, and may thus be a better post-processing method than ONA or LPR (Table 2, 349 Fig. S4b).

350 To further characterise the distribution of peak sample concentration under CMA, we performed an 351 intensive graphical analysis on a single data stream (Measurement 4; Fig. 2). As shown in Figure 2, 352 eBC values along the main roads and intersections were higher than other locations, presumably due in 353 large part to stop-and-go traffic and cars in close proximity to the mobile monitor (Fig. 2). It can be 354 seen from Figure 2a that the peak samples of black carbon were mainly found in 4 locations, 355 represented by red triangles. Vehicle counts and traffic in these locations vary depending on the time of 356 measurement. The highest eBC values were repeatedly found in the streets with moderately high traffic 357 volumes and dense coverage with relatively high buildings (street canyon situation), indicating that 358 heterogeneity in air pollution concentrations in Augsburg and similar settings is largely caused by a 359 combination of effects from traffic and topography (Buonanno et al., 2011). To determine whether 360 peak samples are due to local sources or instrumental artefacts, and to provide further evidence that 361 traffic and topography effects are primary contributors to spatial heterogeneity in pollution 362 concentrations, we compared the data measurements of the three collocated MA200 units during

- 363 Measurements 5, 6, and 7. The results showed that there were no major differences in the hot spot areas
- 364 (an indicator of considerable peak samples) identified by the measurements of the three instruments
- 365 (Fig. S5).



366

Figure 2 Identification of the spatial (a) and temporal (b) distribution characteristics of black carbon
peak samples based on the coefficient of variation method (the analysis based on measurement 4), ©
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370 **3.3** Comparison of background estimation and correction after noise reduction

371 Local air pollution can be highly affected by long-range and regional transport. The timing and 372 magnitude of such transports vary in space and time and are highly dependent upon the stochasticity of 373 meteorology. As a result, local background concentration changes may vary, affecting the 374 comparability of measurements made at the same location at different times (Brantley et al., 2014). For 375 this reason, reliable comparison of time-variable mobile measurements across a city (and thus reliable 376 pinpointing of hotspots and pinpointing of key local sources) requires effective methods to estimate, 377 isolate, and remove the effects of fluctuations in background concentration. Our analysis indicates that 378 the effectiveness of background correction is affected by the noise reduction method chosen during 379 post-processing.

380 After post-processing, the data were evaluated using the TPRS method. We calculated the 5 min and 10 381 min background concentrations under different post-processing approaches. As shown in Figures 3a 382 and b, the background concentration after LPR processing has both the largest proportion of negative 383 values and the most negative values (i.e. negative values of the greatest absolute magnitude), resulting 384 in estimates of background-corrected concentrations that are greater than actual monitored 385 concentrations. Background concentrations calculated after ONA and CMA post-processing presented 386 fewer and lower negative values than LPR, but were not convincingly different from each other. 387 Therefore, to further compare the ONA and CMA algorithm, we also compared concentrations after 388 background correction (Fig. 3c and d). As shown in Figures 3c and d, when the concentration is lower

- than 1 μ g/m³, the background-corrected results after the ONA processing are smoother than after CMA.
- This result dampens the signal of local pollutant sources, resulting in a lower utility of post-processeddata.





Figure 3 Background concentration of black carbon under different time-series :(a), spline of 5 min minimums, (b), spline of 10 min minimums; and background correction of black carbon under different time-series (c) spline of 5 min minimums; (d), spline of 10 min minimums. Analyses are based on Measurement 4.

397 In order to verify the CMA applicability and its advantages, this study further analysed the eBC 398 concentrations measured by a fixed background monitoring station at the University of Applied 399 Sciences (UAS) (Fig. S6) (Cyrys et al., 2006). The background value under the 5 min window exhibits 400 wave-like characteristics, and the fitting curve in the 10 min window is relatively smooth. However, the 401 TPRS-based background value often does not fluctuate greatly over short periods, and the black carbon 402 background value curve under the 5 min window does not conform to the "actual" urban background 403 situation as estimated using the fixed-site monitor data, which are assumed to primarily represent the 404 fluctuations in background concentrations. Moreover, by comparing the curve produced by the spline 405 of 10 min minimums with the eBC background concentration (Background-UAS, Fig. S6), it can be

- 406 found that the background correction method based on the time series can well characterize the 407 time-varying characteristics of background pollution in each experiment, suggesting that, of the two 408 options, 10 min showed the better window for fitting the background value curve of black carbon.
- 409 Under the TPRS method, the background concentration of eBC can be fitted at any sampling time. The 410 TPRS-estimated background contribution of the observed eBC concentration averaged 37.8 % of the 411 total measured concentration. However, when the contribution of background concentration to a single 412 measurement was examined, a large fluctuation (10.4 -71.3 %) was observed, which may be closely 413 related to sizeable changes in the meteorological conditions, traffic conditions along the road (and over 414 time at the same point in the road), and urban street canyon effects in each measurement. Therefore, 415 based on the comparison of background correction, the CMA showed better applications for estimating
- 416 the background concentration and location source contribution.

417 **3.4 Generalizability**

418 To verify the generalizability of our assessment, we performed another three measurement runs in 419 Munich (Measurement 8, 9, 10). Raw data were post-processed for noise reduction using CMA (Fig. 420 S7). The results showed that the following method is equally applicable in a city like Munich as in our 421 study site in Augsburg, two cities that differ in location and environmental characteristics (e.g., 422 population, economy, traffic density etc.). After treated by CMA, the peak samples can be identified in 423 different interval times (Fig. S8), and the estimated background concentrations showed few negative 424 values (Fig. S9). Further research into the transferability of our results to a more diverse set of contexts 425 is still needed.

426 **3.5 Practical implications**

427 The MA200 is widely used to measure human exposure to black carbon and for mobile air quality 428 monitoring. In this study the MA200 were applied in mobile measurements in an urban area 429 (Augsburg), and the sensitivity of the final analysis to various data post-processing methods was 430 investigated. In contrast to our findings, Hagler et al., (2011) suggested the use of the ONA algorithm 431 to post-process Aethalometer data from microAeth AE51, portable AE42, and rackmount AE21 432 aethalometers (Magee Scientific, Berkeley, CA, USA). In their analysis, ONA demonstrated a strong 433 noise reduction in all datasets and retained spatiotemporal variation. ONA also reduced the occurrence 434 of negative data values in low concentration sampling environments. However, for the microAeth® 435 series of black carbon monitoring instruments, our study showed that ONA under reasonable delta ATN 436 thresholding may lead to a considerable dampening of spatiotemporal resolution in local black carbon 437 signals at street level - an effect that is lower under CMA post-processing.

In addition, our analysis highlights that the selection of an appropriate data post-processing method is crucial to the proper assessment and interpretation of exposure-relevant microenvironmental contributors to pollution concentrations in urban areas. This analysis is important when estimating exposures that occur during transit, where spatiotemporal variability in pollution concentrations is vast, 442 like in commuter traffic (Snyder et al., 2013). Due to the typically low-but-heterogeneous nature of 443 eBC concentrations in many areas like Augsburg, noisy measurement with the MA200 under 444 high-frequency sampling may obscure actual trends in measured values. This study demonstrated that 445 post-processing MA200 data using CMA can reliably extract the actual signals from such noise and, 446 alternatively, that post-processing via ONA and LPR could be less reliable. Future researchers and 447 agencies may find a distillation of our results in the form of the flow diagram in Scheme 1 useful in 448 determining how to reliably assess spatiotemporal variability of MA200 measurements for black 449 carbon in different microenvironments.



450



452 4 Conclusion

453 A mobile monitoring campaign was conducted in the city centre of Augsburg, Germany to determine a 454 suitable noise reduction algorithm for the MA200 aethalometer. Our results showed that, at the interval 455 time of 5 s, 10 s, and 30 s, CMA post-processing effectively removed spurious negative concentrations 456 without major bias and reliably highlighted effects from local sources, effectively increasing 457 spatiotemporal resolution in mobile measurements. Evaluation of the effects of each method on peak 458 sample reduction and the estimation of background concentrations further support the reliability of the 459 CMA. Further analysis is needed to understand how well these findings apply in different seasons; 460 across different diurnal patterns; and in more-rural, more-urban, and non-German locations.

461 Data availability

462 The data are available upon request by contacting the first author of the paper.

463 Author contribution

X.L: Data curation, Methodology, Software, Writing original draft. H.H: Methodology, Writing
original draft. X.Z: Funding acquisition, Project administration. L. DH: Discussion, Writing review &
editing. J.SK: Investigation, Supervision. J.B and G.L: Methodology. A. HAW and B.SH: Writing
review & editing. RZ: Investigation.

468 **Competing Interest**

L. Drew Hill is an employee of AethLabs (San Francisco, CA, USA) and Andrew H.A. White was, at
the time of contribution, an intern at AethLabs. These affiliations did not affect the conclusions of the
paper.

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