

1 **Fill dynamics and sample mixing in the AirCore**

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5

6 **Abstract**

7 The AirCore is a long coiled tube that acts as a “tape recorder” of the composition of air as it is
8 slowly filled or flushed. When launched by balloon with one end of the tube open and the other
9 closed, the initial fill air flows out during ascent as the outside air pressure drops. During descent
10 atmospheric air flows back in. I describe how we can associate the position of an air parcel in the
11 tube with the altitude it came from by modeling the dynamics of the fill process. The conditions
12 that need to be satisfied for the model to be accurate are derived. The extent of mixing of air
13 parcels that enter at different times is calculated, so that we know how many independent
14 samples are in the tube upon landing, and later when the AirCore is analyzed.

15

16 **1. Introduction**

17 When the Aircore is filling with atmospheric air coming in through the open end the newly
18 sampled air pushes the air that is already in the tube deeper into the tube while compressing it.
19 This mode of sampling is entirely passive, relying on the pressure continuing to increase as the
20 altitude becomes lower during descent. The AirCore could also be flushed by a pump without
21 any need for pressure changes of the outside air that is being sampled. I conceived the idea of
22 AirCore in the late 1990s after we had found ~100 year old air, as indicated by the measured
23 levels of CO₂ and CH₄, near the bottom of the firn layer at a depth of ~90 m at the South Pole
24 (Battle, 1996). The air was very old despite the fact that there was still open contact with the
25 present-day atmosphere. Over distances of tens of meters or more molecular diffusion is
26 exceedingly slow! The root-mean-square (rms) molecular diffusion distance is $X_{rms} = (2Dt)^{0.5}$. D
27 is diffusivity in air, for CO₂ it is 0.140 cm² s⁻¹ at 1 bar and 0 degree C, t is time in seconds. After
28 one year the rms diffusion distance for CO₂ in air would be ~30 m which would be the scale of
29 spreading if there is no macroscopic air motion at all. In addition, diffusive mixing deep in the
30 firn is significantly slower than in open air because the air path from the bottom of the firn to the
31 atmosphere has many detours going through the pores that are still open.

32 With Jim Smith and Michael Hahn, two members of our group in those days, we verified that
33 there is very little mixing along the length of the tube by pushing slugs of air from two different
34 reference air cylinders, alternating between high and low CO₂, through a long coiled tube. We

35 also stored air for several hours before analysis. It all looked good. Then we tried a balloon
36 flight. In order to make the payload lighter we switched from stainless steel to aluminum tubing,
37 because of our excellent experience with long term gas storage in high pressure aluminum
38 cylinders. It did not work at all. The easily bendable tube was made of a soft aluminum alloy,
39 very different from the high pressure cylinders. We found that the tube consumed CO₂ very
40 effectively. It was going to take more effort to make it successful, and we did not have much
41 time to devote to it. So the project languished for several years until Anna Karion, Colm
42 Sweeney, and Tim Newberger of our group at GML were able to pick it up again. At the urging
43 of Sandy MacDonald, who was director of NOAA's Earth System Research Laboratory at the
44 time, I applied for a patent in August 2006. He pointed out that there are people trolling the
45 scientific literature, conference proceedings, etc. to find ideas that could be patented, so that we
46 might find ourselves having to pay somebody else to use our own idea. Instead, we wanted the
47 AirCore to be freely useable (and improved) by everyone, so that my patent (Tans, 2009) was
48 intended to be a defensive action!

49 We realized that AirCore technology could become extremely useful for the validation of
50 satellite retrievals of column-averaged mole fractions of greenhouse gases. The measurements of
51 a gas sample captured by the AirCore are calibrated, but care has to be taken, as with all air
52 samples in containers, that no artefacts are introduced by the container or by gas handling
53 procedures. In contrast, remote sensing estimates of greenhouse gases can in principle never be
54 calibrated. Metrology, the science of measurement, defines what a calibration is. Using a
55 measurement standard, one presents the measurement method with a known value, under
56 controlled conditions, so that the measurement indication is related to a quantity value
57 (paraphrased from VIM3, JCGM 200:2008). In the case of greenhouse gases in the atmosphere
58 the conditions cannot be controlled. In addition, we realized that the regular deployment of
59 AirCores could be a cost effective way to monitor and study an evolving atmospheric circulation
60 as climate change progresses, as proposed by Fred Moore (Moore et al., 2014).

61 Developments of the AirCore by various groups has been described in other papers, for example
62 by Wagenhäuser et al. (2021) and Membrive et al. (2017). However, there has not been a
63 comprehensive treatment of fill dynamics, nor a detailed look at mixing. Hence this paper.

64

65 **2. The physical principle that makes the AirCore work – molecular diffusion**

66 Diffusive mixing over large distances is exceedingly slow, but there is another use of diffusion.
67 Flow inside the tube is laminar, which has maximum speed in the center and zero speed at the
68 wall. With velocities that differ from zero to some finite value, why does laminar flow **not**
69 “smear out” our tape recorder signal by mixing air parcels that came in at different times? Again,
70 molecular diffusion comes to the rescue. Using the square root relationship above, if the inner
71 radius of the tube is 0.3 cm, it takes a CO₂ molecule on average only 0.03 s (at 1 bar pressure) to

72 diffuse from the wall to the radius where the velocity equals the average velocity inside the tube.
73 Any molecule will be close to the wall, as well as near the center, of the tube many times per
74 second. Therefore the speed of all molecules in the long direction of the tube, averaged over a
75 few seconds, is very nearly the same. However, the AirCore idea does not work so well for
76 liquids. In water the molecular diffusivity is ~10,000 times lower than in air at 1 bar, so that the
77 smearing of a tape recorder signal could be very large. To compensate for such low diffusivity
78 both the diameter of the tube and the flow speed will have to be kept low, and there will also be
79 capillary effects. Water may be attracted to, or repelled by, the tube wall, influencing the flow.

80 The AirCore collects a continuous sample. Instead of valves, distance in the tube is used to keep
81 separated the air that has been sampled from different pressure altitudes. The number of
82 independent samples (the inverse of vertical resolution) in the tube decreases as the time between
83 collection and measurement becomes longer. The measurement, or “read-out” of the vertical
84 profile, is carried out by attaching an analytical instrument to one end of the tube and a cylinder
85 with air of well-known composition to the other end. The latter pushes the sampled air slowly
86 through the analyzer. The procedure, as well as various tests of mixing, has been described by
87 Karion of GML (2010).

88

89 **3. Dynamics of the fill process**

90 How do we accurately associate position in the tube with the geometric altitude or pressure
91 altitude that the sample at that position came from? It is the first question we address in this
92 paper. The filling does not occur uniformly as a function of pressure altitude. The second
93 question is how far the mixing of adjacent air parcels extends as a result of molecular diffusion,
94 and secondarily as a result of the flow itself will be addressed in section 6. I wrote the first
95 version of the fill dynamics calculation to make the association of altitude with position in 2005,
96 called rocketfall.pro, coded in Interactive Data Language (IDL). Undergraduate students in the
97 engineering department at the University of Colorado were getting ready to put an AirCore on a
98 NASA rocket, and I was worried about there not being enough time to passively collect air from
99 the stratosphere as the rocket was falling at supersonic speeds. There have been several
100 successive versions of the algorithm since then. The significantly improved IDL version of July
101 2021 is described in this paper.

102 We use a fluid dynamics model and a subset of the flight data, namely the time, pressure and
103 temperature of outside air and the temperature of the tube as input data. The starting point is
104 Poiseuille’s equation for steady state laminar flow in a tube with circular cross section:

$$105 \quad Q_m = \frac{-\rho \pi r^4}{8 \eta} \frac{dP}{dz}, \quad \text{or} \quad Q_n = \frac{-\rho_n \pi r^4}{8 \eta} \frac{dP}{dz} \quad \text{Eq. (1)}$$

106 in which Q_m is mass flow (kg s^{-1}), $Q_n = Q_m/M$ is amount flow (mol s^{-1}) with M molecular weight
 107 of dry air ($0.02896 \text{ kg mol}^{-1}$), ρ is gas density (kg m^{-3}), ρ_n is amount density (ρ/M in mol m^{-3}), η
 108 is viscosity ($\text{kg m}^{-1} \text{ s}^{-1}$), r is tube radius (m), P is pressure in Pascal ($\text{kg m}^{-1} \text{ s}^{-2}$), and z is
 109 distance along the tube (m). Pressure is given by the ideal gas law as $P = (n/V) RT$, with $n/V = \rho_n$
 110 the number density in mol m^{-3} , T is temperature in degrees Kelvin (K), and R the universal gas
 111 constant, $8.3144 \text{ J mol}^{-1} \text{ K}^{-1}$. The flow velocity is parabolic as a function of radius, zero at the
 112 wall, and maximum in the center where the speed is twice the average speed.

113 The viscosity (η) depends on temperature, but it is very nearly independent of pressure in our
 114 range of interest. The latter is of primary importance to the fill process. A simple approximate
 115 molecular expression for viscosity is $\eta \cong (1/3) \rho \mathbf{c} \lambda$, in which \mathbf{c} is the average molecular speed
 116 and λ is the mean free path between collisions which is inversely proportional to ρ (Jeans, 1952)
 117 so that it cancels the factor “ ρ ” in $\eta \approx (1/3) \rho \mathbf{c} \lambda$. Since the volume flow ($\text{m}^3 \text{ s}^{-1}$) is $Q_v = Q_m/\rho$,
 118 Eq. (1) states that the volume flow depends on viscosity, but not on gas density. It takes the same
 119 amount of force (pressure difference) to push a volume flow irrespective of the density of air in
 120 that volume. During steady flow through any tube the flow needs to speed up at the low pressure
 121 end to conserve mass, so that the pressure gradient always steepens at the low pressure end.

122 The z -coordinate is for position along the length of the tube. The pressure change at any point in
 123 a small section of the tube with length dz can be due to temperature change or to more amount
 124 flow coming in from z than leaving from $z+dz$. The latter term is

$$125 \quad \frac{d\rho_n}{dt} = - \frac{1}{\pi r^2} \frac{dQ_n}{dz}, \quad \text{so that}$$

$$126 \quad \frac{dP}{dt} = \rho_n R \frac{dT}{dt} + RT \frac{d\rho_n}{dt} = \frac{P}{T} \frac{dT}{dt} - \frac{RT}{\pi r^2} \frac{dQ_n}{dz} \quad \text{Eq. (2)}$$

127 Because we assumed that the tube cross section is round (not elliptical for example) the amount
 128 flow Q_n is given by Poiseuille’s equation, and Eq. (2) can be represented numerically in a very
 129 efficient manner. In that case the flow is in effect solved as a succession of steady state flows
 130 that evolve slowly in time and along the length of the tube. In the rest of this section we will
 131 discuss a number of assumptions we are making for our “succession of steady state flows”
 132 approximation to Eq. (2) to be satisfactory.

133 The first one is that inertial effects, i.e. accelerations, die out very rapidly. Suppose we suddenly
 134 set the pressure gradient that is driving the flow to zero. What is the time scale for the flow to die
 135 down? We can estimate the time it takes for the flow to adjust by using Eq. (1). The average
 136 speed of the flow is $\mathbf{v}_{\text{avg}} = Q_v/(\pi r^2) = (r^2/8\eta) (\Delta P/\Delta z)$. The momentum of the flow in length Δz is
 137 $\mathbf{v}_{\text{avg}} \rho \pi r^2 \Delta z$ which equals $Q_m \Delta z$ (neglecting the sign). The rate of change of momentum is
 138 given by the frictional force which is equal and opposite to the pressure force that was driving
 139 the flow in Eq. (1). The adjustment time scale of the flow is momentum divided by the frictional
 140 force,

141
$$\tau = \frac{Q_m \Delta z}{\Delta P \pi r^2} = \frac{\rho r^2}{8 \eta} \quad \text{Eq. (3).}$$

142 For a tube with a radius of 3 mm and ρ corresponding to 1 bar and 285 K, $\tau \cong 0.07$ s. At an
 143 altitude where the density is 10 times lower (~ 18 km), $\tau \cong 0.007$ s. Recently NOAA GML has
 144 been flying AirCores with $r \cong 1.46$ mm, for which the adjustment time at 1 bar and 285 K is $\tau \cong$
 145 0.017 s. A succession of steady state flows is indeed a very close approximation.

146 Next we assume that the temperature of the gas is the same as that of the wall. How rapidly does
 147 the temperature of the gas equilibrate with the wall of the tube? The heat capacity of a volume of
 148 air is $c_p \rho_n \cong (7/2) R * P/RT$ in which c_p is the molar heat capacity at constant pressure and ρ_n is
 149 the number density (mol m^{-3}) of the gas, so that $c_p \rho_n$ has units of $\text{J m}^{-3} \text{K}^{-1}$. The heat
 150 conductivity of gas is $\kappa \cong (1/3) c_v \rho_n \mathbf{c} \lambda$ (Jeans, 1952) in which c_v is the molar heat capacity at
 151 constant volume, \mathbf{c} is the average speed of individual molecules and λ the mean free path. It has
 152 units of $(\text{J/s}) \text{m}^{-2} (\text{K/m})^{-1}$, the heat flow per area per temperature gradient. As in the previous
 153 paragraph we divide the heat energy change corresponding to ΔT in a volume of gas residing in a
 154 length Δz by the heat flow from the wall assuming the temperature gradient is close to
 155 $(\Delta T)/(0.5r)$. That gives

156
$$\tau = \frac{c_p \rho_n \pi r^2 \Delta z \Delta T}{(1/3) c_v \rho_n \mathbf{c} \lambda 2\pi r \Delta z \Delta T / (0.5r)} = \frac{c_p}{c_v} \frac{3 r^2}{4 \mathbf{c} \lambda} \quad \text{Eq. (4)}$$

157 which has units of seconds. For $r = 3$ mm and λ corresponding to 1 bar, and 285 K, the
 158 adjustment time is $\tau \cong 0.31$ s, and shorter at lower pressures. For $r = 1.46$ mm $\tau \cong 0.07$ s.

159 Is the flow always laminar as Eq. 1 assumes? If Reynolds number, $Re = (\rho v_{avg} d)/\eta$, in which d is
 160 the diameter of the tube, stays below 1000, the flow will remain laminar. Re is estimated from
 161 the calculated velocities, ρ/η , and tube dimensions for every flight. It is highest just before
 162 landing when it typically has a value of ~ 15 .

163 The tube is wound up in a coil with typical diameter 20 to 30 cm. As the flow goes around the
 164 coil there will be a centrifugal force away from the center of the coil. The centrifugal force is
 165 greatest where the flow has the maximum velocity, $2 v_{avg}$, very near the center of the tube. This
 166 sets up a secondary flow in the plane perpendicular to the main flow, outward in the center of the
 167 tube and back along the walls. The location of maximum velocity is also pushed outward a bit.
 168 This increases flow resistance leading to slightly lower Q_m for the same pressure gradient in the
 169 dimension z along the length of the tube. However, there are other subtle effects with the
 170 opposite sign that could facilitate the flow a little (Berg, 2005). Correction factors to flow in a
 171 straight tube have been calculated using Dean's number, $De = Re (r/R)^{0.5}$, in which Re is
 172 Reynolds number and R is the coil radius. NOAA GML has flown AirCores with r/R from 1/50
 173 to 1/70. Thus De is always smaller than $15 (0.02)^{0.5} \cong 2$ during a flight. Berg et al. (2005)
 174 present data to estimate that the relative flow correction is smaller than $+1 \cdot 10^{-5}$ for our

175 parameters. If we were to wind our coil much tighter, say with r/R of $1/20$, then the maximum
176 relative flow correction during a flight would be $+2 \cdot 10^{-4}$ for the same Reynolds number.
177 Therefore we can neglect the corrections for the tube coil curvature.

178 If the tube is elliptical (as a result of bending, for example) instead of circular, we can use a good
179 approximation for the change in flow resistance. Following Lekner (2019), Eq.1 can be written
180 for volume flow as $(\eta Q_v) / (dP/dz) = \pi r^4 / 8$, neglecting the sign. Note that $\pi r^4 / 8$ equals $A^3 / (2$
181 $P^2)$ for a circular cross section, with A the cross sectional area, and P the perimeter of the tube.
182 Lekner shows that $A^3 / (2 P^2)$ applies quite generally for many cross sectional shapes. So if the
183 tube is somewhat squashed into an ellipse with major axis 1.05 times the original radius, and a
184 minor axis slightly smaller (in order to keep the perimeter the same) than 0.95 times radius, the
185 term $A^3 / (2 P^2)$ has become $\sim 1\%$ smaller. This correction is not major, but easy to apply if
186 needed.

187 We assumed the ideal gas law. Non-ideality is often described by the virial expansion relating
188 pressure and density, $PV/nRT = 1 + B(n/V) + C(n/V)^2 + \dots$. Note that n/V is called ρ_n above.
189 Taking only the second (and largest) virial coefficient B ($\text{m}^3 \text{mol}^{-1}$) into account we can
190 approximate the number density ρ_n as $(P/RT)(1-BP/RT)$. The relative change of number density
191 is thus BP/RT which has dimension one. At 300 K and 1 bar, B is $-7.3 \cdot 10^{-6} \text{m}^3/\text{mol}$
192 (Sevast'yanov, 1986) which leads to a relative density increase of $2.9 \cdot 10^{-4}$. B increases to -18.9
193 $\cdot 10^{-6}$ and $-37.8 \cdot 10^{-6} \text{m}^3 \text{mol}^{-1}$ at 250 K and 200 K respectively, but at the higher altitudes the
194 density is lower so that the largest non-ideality effect occurs near the ground. Therefore the
195 fractional density increase relative to ideal gas during a flight remains well below 0.001

196 When the mean free path increases at lower pressures there could be “wall-slip”, non-zero
197 velocity at the wall which can be modeled as an effective decrease in viscosity increasing the
198 volume flow. Berg (2005) gives an approximate expression for the factor by which the flow
199 increases, $1 + 4 K_{\text{slip}} \text{Kn}$, where K_{slip} is a number close to 1 which depends on intermolecular
200 forces, and Kn is the Knudsen number, λ/d , with d being the internal diameter of the tube. At
201 high altitude, say 10 hPa, $\lambda \sim 7 \cdot 10^{-4} \text{cm}$, so that $\text{Kn} \sim 0.001$ for $d = 0.6 \text{cm}$. For $d = 0.3 \text{cm}$ the
202 flow would be increased by a factor 1.009 at 10 hPa.

203 When Kn becomes larger than ~ 0.01 a transition region of pressure is entered in which the flow
204 changes gradually from bulk flow of gases, laminar in our case, to molecular flow (O'Hanlon,
205 1980). In the latter flow regime the gas sample enters the tube as individual molecules, and gases
206 with higher molecular speed (lower mass) enter the tube more rapidly, so that the air sample may
207 not represent the composition of outside air, whereas in bulk flow an overwhelming fraction of
208 all molecules are equally swept along. As an example, for an AirCore with opening diameter of
209 0.3 cm this flow transition starts at a pressure altitude of $\sim 2 \text{hPa}$. Therefore, approximately 43
210 km might be the highest altitude that can be sampled with this diameter opening without first
211 quantitatively investigating molecular flow effects, although this limit depends also on the
212 sampling accuracy we require.

213 The above expressions for viscosity, $\eta \cong (1/3) \rho c \lambda$, and heat conductivity, $\kappa \cong (1/3) c_v \rho_n c \lambda$,
 214 and similar for diffusivity, $D \cong (1/3) c \lambda$ are approximate. More precise forms of these equations
 215 vary depending on the treatment of intermolecular forces. Instead, we use a curve fit to empirical
 216 data for viscosity in dry air as a function of temperature, as presented by Kadoya (1985). The
 217 empirical data show, as expected, that there is no dependence on pressure in our range of
 218 interest.

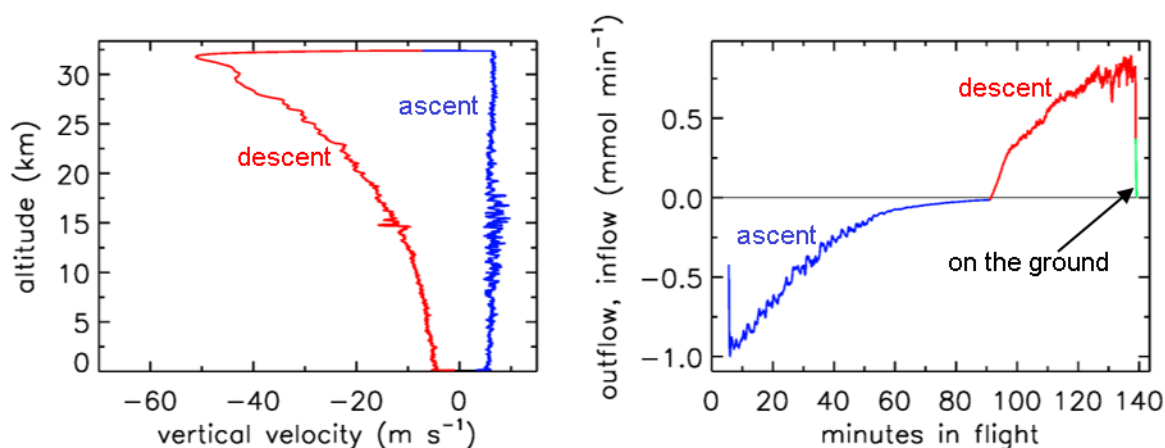
219 For diffusivity of trace gases in air as a function of temperature and pressure we use the
 220 empirical equation presented by Massman (1999), $D(T,P) = D_0 (P_0/P) (T/T_0)^{1.81}$. D_0 is the
 221 diffusivity, different for each trace gas in air, at 1 atmosphere air pressure (P_0) and 0 degrees
 222 centigrade (T_0). This will be used when we calculate mixing of air samples entering the AirCore
 223 sequentially. Mixing is caused both by molecular diffusion ($X_{rms} = (2Dt)^{0.5}$, see above) and by
 224 the quadratic velocity profile of laminar flow, with zero speed at the wall and maximum speed in
 225 the center. The latter is called Taylor diffusion (Karion, 2010), and is given by a diffusivity
 226 constant $D_T = v_{avg}^2 r^2 / (48 D)$ which has the same dimensions as D , $m^2 s^{-1}$.

227

228 4. Calculated in- and outflow results for some flights

229 In Figures 1– 4 the flight is shown of a small diameter (1/8 inch, internal diameter 2.92 mm)
 230 AirCore (GMD008), with 93 m length and internal volume 619 cc, near Trainou, France (48.0
 231 °N, 2.1 °E) on 20 June 2019. The ascent velocity of the helium balloon is nearly constant, while
 232 the rate of mass outflow decreases steadily as a function of time as the pressure outside and
 233 inside the AirCore drops. The descent velocity with parachute accelerates nearly linearly in the

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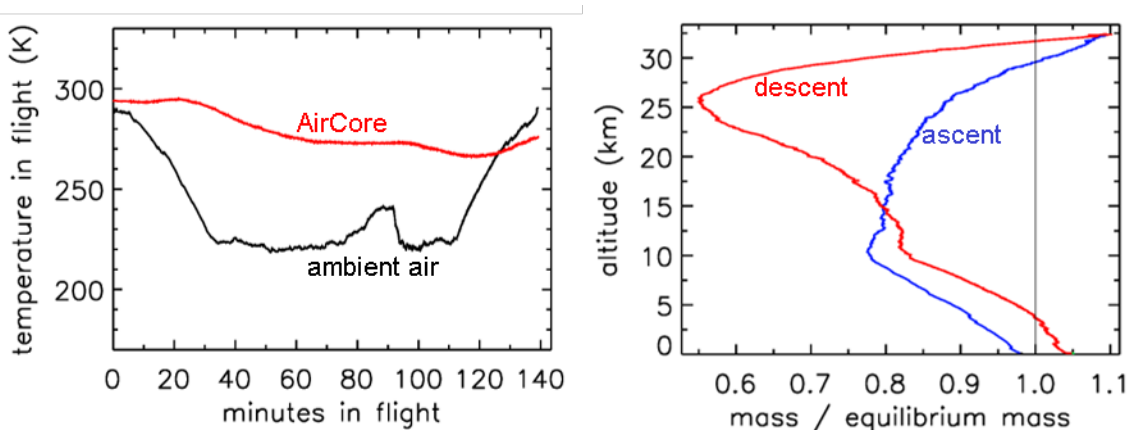


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236 *Figure 1. Descent velocity (negative) and rate of fill air outflow followed by air sample inflow*
 237 *during flight of GMD008.*

238 first 10 seconds to about 50 m s^{-1} as the air density at high altitudes is too low for air friction to
 239 slow it down enough. The initial descent can be a chaotic tumble until the parachute gets a
 240 “grip”. Outflow and inflow are calculated with the fill dynamics program described below in
 241 section 8.

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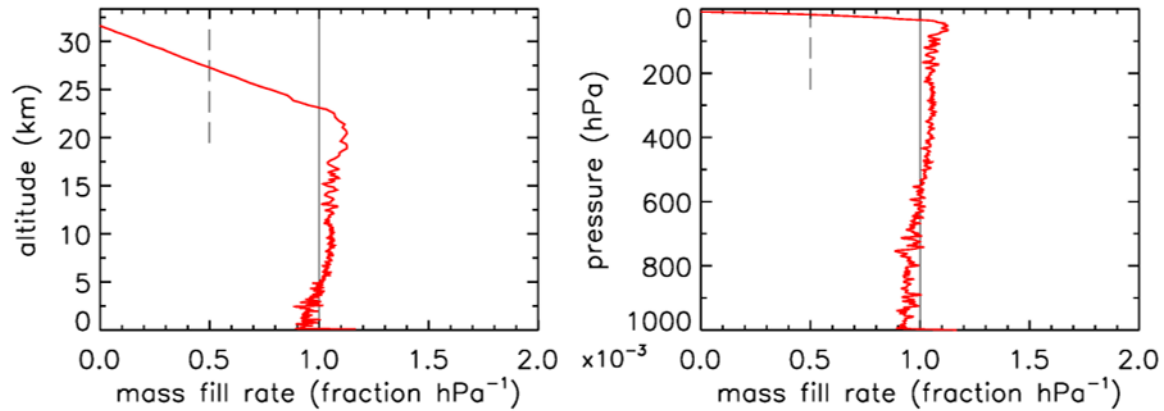
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244 *Figure 2. Flight of GMD008. Left panel: Temperatures in degrees Kelvin. Right panel: How far*
 245 *the mass inside the tube is out of equilibrium with ambient air.*

246 In Fig. 2 the outside air temperature first cools while in the troposphere, then becomes nearly
 247 constant in the tropopause, and starts increasing again higher into the stratosphere. GMD008 was
 248 well insulated but still partially followed the outside temperatures with a delay. In the right panel
 249 the total amount of air in the tube is plotted relative to how much it would be if it had the same
 250 pressure and temperature everywhere in the tube as the outside air. Vertical line: the ratio equals
 251 1 if they were the same. During ascent in the troposphere (up to about 10 km) the air in the tube
 252 is warmer, and thus less dense, than outside air. In the tropopause the tube continues to cool so
 253 that the “deficit” becomes smaller, but at higher altitudes, around ~ 25 km the amount by which
 254 the pressure in the tube is higher than outside becomes substantial relative to the low outside
 255 pressure – as a result the ratio at ~ 34 km altitude becomes a bit larger than 1. Then, during
 256 descent the outside pressure increases rapidly and the inflow cannot keep up because the
 257 viscosity of air at low pressure is the same as at 1 bar (see section 3). Back in the troposphere the
 258 tube warms up, but much more slowly than outside air. When the tube hits the ground, it is
 259 colder than ambient air temperature so that the ratio is greater than 1.

260 In Fig. 3 the fill rate is plotted (mol per hPa of ambient pressure gain) divided by the final fill
 261 (moles of air) at valve closure. At sea level the final pressure is close to 1013 hPa, so that the
 262 average fraction of final fill amount per hPa will be approximately 0.001. The uptick upon

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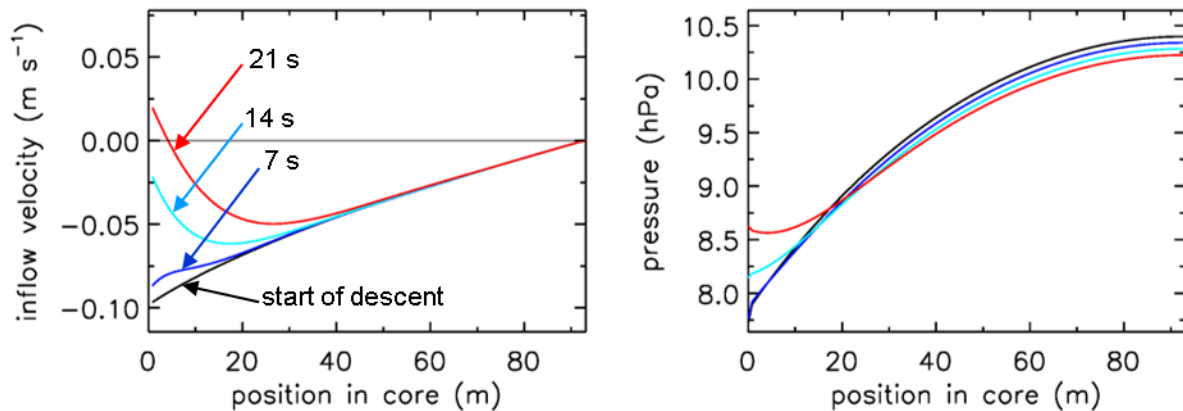


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265 *Figure 3. Flight of GMD008. The vertical line at $1.0 \cdot 10^{-3}$ is approximately the expected rate of*
 266 *sample inflow. The dashed line at $0.5 \cdot 10^{-3}$ represents the half-fillrate point (see main text).*

267 landing (very close to the x-axis) is the result of a bit of air still entering the tube initially while
 268 ambient pressure stops changing, neglecting high frequency noise. If the valve is not closed
 269 quickly this will reverse because as the tube warms up on the ground, the last air that came in
 270 will be expelled. At high altitudes it takes time for the fill to start because ambient pressure
 271 needs to build up enough to force the air in. The highest altitude was 32.4 km, at 7.7 hPa ambient
 272 pressure. The fill starts at 31.6 km and pressure 8.5 hPa, slowly at first, and gradually becomes
 273 faster. To compare the start of fill between AirCore designs with different diameters and valves,
 274 we could take the point at which the fill rate is $0.5 \cdot 10^{-3}$. In this case the “half-fillrate point” is at
 275 27.3 km and ambient pressure of 17.3 hPa. We will see below that the fill starts much faster with

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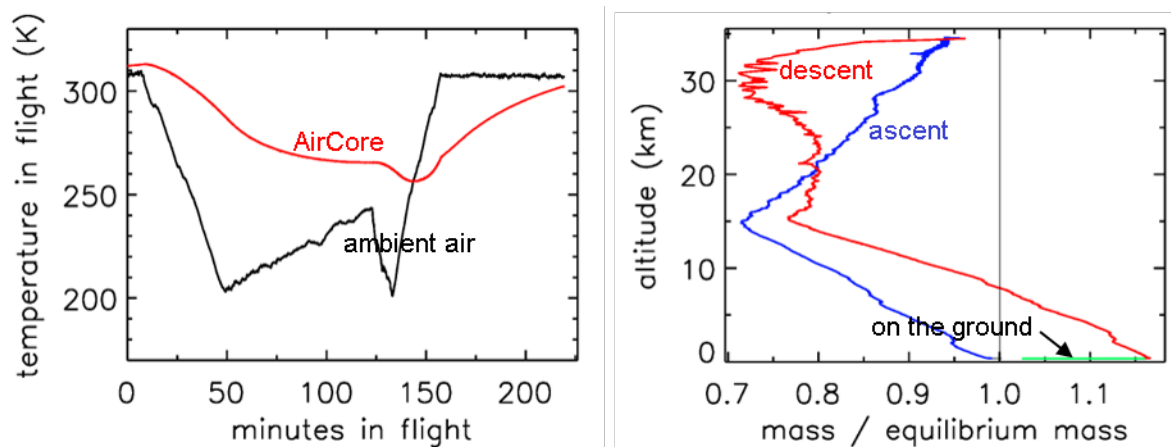
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278 *Figure 4. Flight of GMD008. The turnaround at high altitude. Inflow velocity and pressure*
 279 *inside the AirCore from the moment the ascent stops and descent begins. Times are in seconds*
 280 *after start descent.*

281 larger diameters. Fig. 4 shows detail of flow and pressure inside the tube for the flight on 20 June
282 2019 at the start of descent. Initially the inflow velocity is negative. It is outflow, zero at the
283 closed end and increasing toward the open end. After 14 seconds into the descent (light blue
284 curve) the outflow has weakened considerably and the pressure gradient near the open end is
285 much smaller. Inflow starts after 19 s, very slowly at first, while at the same time the flow in
286 most of the tube is still negative (outflow toward the open end), consistent with the pressure
287 gradients.

288 Let us look now at an AirCore with larger diameters (Fig. 5). This one had 26 m of 1/4 inch
289 (internal diameter 5.84 mm) tubing at the open end and 37.6 m of 1/8 inch (2.67 mm internal
290 diameter) tubing at the closed end, with a total internal volume of 890 cc. The high-altitude fill
291 history of the two AirCores is summarized in Table 1. In front of the open end was a valve, the
292 dryer (large magnesium perchlorate particles), and then another valve connecting to the AirCore
293 tube. It was flown in Oklahoma, U.S. (37.2 N, 97.8 W), on 23 July 2013. While the

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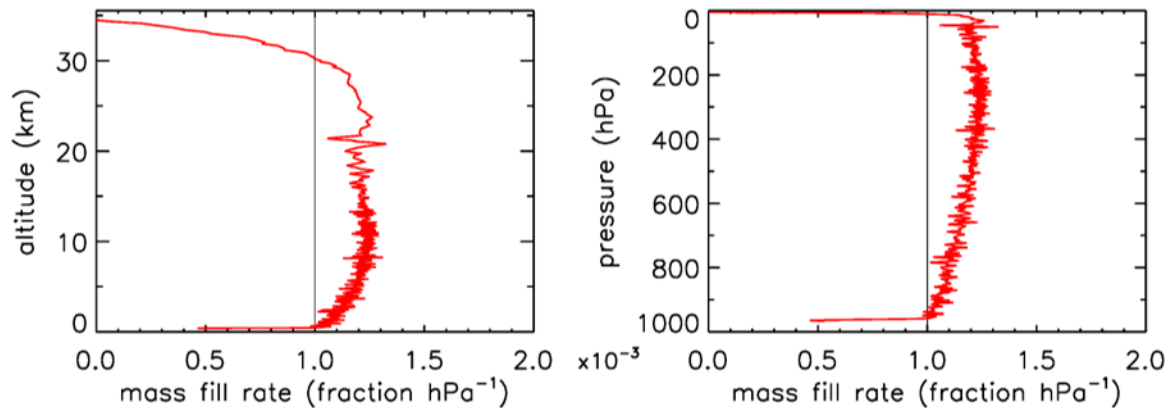


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296 *Figure 5. Flight of AC01 in Oklahoma.*

297 AirCore used near Trainou, France, experienced a temperature range 15 K, the less well
298 insulated AC01 in Oklahoma saw a range of 57 K. At the moment of landing the average
299 temperature of the tube was ~40 K cooler than ambient. Fig. 5 shows the flight data until the
300 moment of valve closure. The valve remained open for 62 minutes after landing, so that the
301 lowest portion of the atmospheric sample, between pressure altitudes of 844 and 967 hPa (1565
302 to 352 m), was expelled as the AirCore warmed up. The descent started at 34.6 km altitude
303 (4.6hPa). The lowest relative mass deficit (~27%) was reached around 30 km, in contrast to the
304 Trainou flight with 50% at 27 km altitude respectively. The half-fillrate point of $0.5 \cdot 10^{-3}$ per

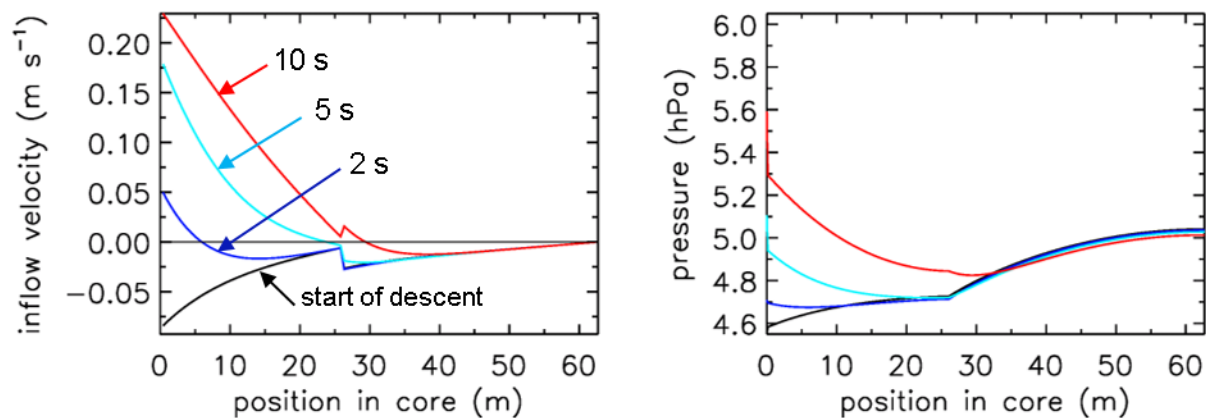
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307 *Figure 6. Flight of AC01 in Oklahoma. Compare with Figure 3.*

308 hPa is reached at 33.2 km altitude and 6.2 hPa of ambient pressure, a sampling altitude gain of
 309 almost 6 km compared to the Trainou flight. If the total amount of initial fill air that remained in
 310 the tube can be carefully measured it would give an independent estimate of the pressure altitude
 311 of the half-fillrate point. The fill rate below ~ 8 km falls off noticeably as the warming rate of the
 312 tube speeds up. The negative mass fill rate while on the ground cannot be portrayed in Fig. 6
 313 because ambient pressure remains constant. This AirCore design contains a larger fraction of
 314 stratospheric air than GML008, mostly because of the wider diameter, but also because it was
 315 allowed to cool more.



316

317 *Figure 7. Flight of AC01 in Oklahoma, showing inflow velocity and pressure gradients.*
 318 *Compare with Fig. 4. Note the much smaller fill delay than in Fig. 4. The pressure drop across*
 319 *the two valves and dryer is visible here.*

320 If one wants to sample still higher into the stratosphere the diameter of the first 10 to 20 m at the
 321 open end needs to be widened further than 6 mm diameter (Table 1). All of this is consistent with

322

323 Table 1. Comparison of start of fill process for two AirCore configurations

AirCore Trainou 2019	Int. Dia (mm)	Length (m)		Aircore Oklahoma 2013	Int. Dia (mm)	Length (m)
Aircore tubing	2.16	0.76		AirCore tubing	5.84	25.9
AirCore tubing	2.92	91.5		AirCore tubing	2.67	36.6
AirCore tubing	2.16	0.76				
internal volume		619 cc		internal volume		890 cc
Fill history	Time (s)	Altitude (hPa),(km)		Fill history	Time (s)	Altitude (hPa), (km)
start descent	0	7.7, 32.4		start descent	0	4.6, 34.6
start fill	19	8.5, 31.6		start fill	2	4.7, 34.4
half fillrate	123	17.4, 27.3		half fillrate	7	6.3, 33.2
full fillrate	266	34.2, 23.1		full fillrate	58	10.4, 30.2

324
 325 Fig. (7), where we also see that at the start of the descent the outflow velocity inside the tube
 326 drops by a factor of ~ 4 when, moving from the back to the open end, at 25.9 m the tube diameter
 327 becomes wider by a factor of ~ 2 . This applies of course also to the inflow as shown by the red
 328 curve. At the same point the pressure gradient becomes less steep by the same factor of 4. The
 329 fill starts at ambient pressure of 4.7hPa. We also note that in this case the pressure drop inside
 330 the two valves and the dryer is a large part of the overall pressure drop across the entire tube, an
 331 effect that becomes more pronounced as the tube diameter gets larger.

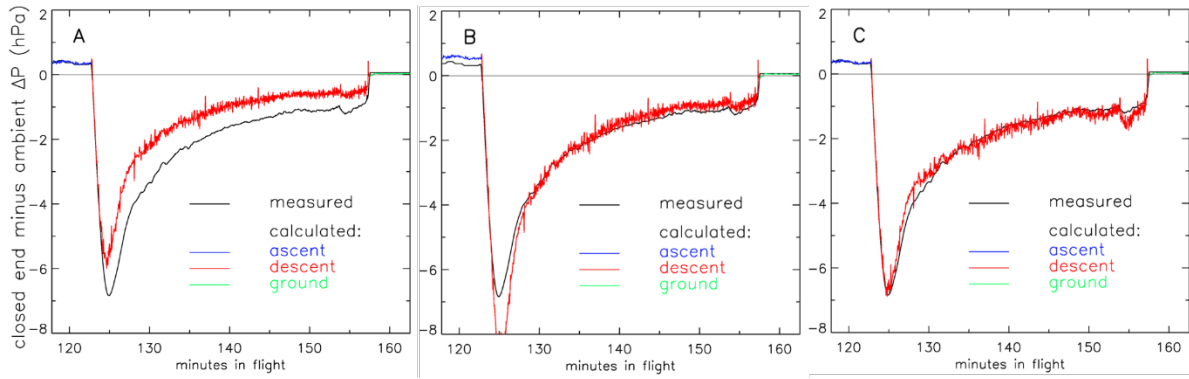
332 In these calculations I have experimented with another strategy to fill the AirCore. One could
 333 launch it with both valves open, but the one in the back is closed as soon as the descent starts.
 334 That would decrease the amount of fill air that remains in the back. However, the difference from
 335 having the back valve closed during the entire flight is negligible.

336

337 5. Valves

338 So far the treatment of valves and the dryer has been missing from this description. As a first
 339 approximation we could treat the valves as short pieces of tubing with reasonably “average”
 340 internal diameter and length such that their internal volume is correct. This does not provide
 341 enough flow resistance, when we compare it to differential pressure measurements made during
 342 flights between the closed end of the AirCore and the outside ambient air (Fig. 8).

343



344

345 *Figure 8. Pressure difference (ΔP) between closed end of tube and outside air during the*
 346 *descent portion of flight of AC01 in Oklahoma as a function of elapsed time in flight. Black:*
 347 *measured pressure difference (hPa). Red: calculated ΔP with three different treatments of the*
 348 *valves. A, fixed internal diameter and length; B, same as in A, but optimized; C, using optimized*
 349 *C_v and X_{TPR} (see main text) values.*

350 In panel A we calculate that during the descent the air enters the tube too easily, so that the
 351 altitudes assigned to the air sample in the stratosphere would be biased high. We could decrease
 352 the chosen internal average diameter (not a well-defined value) of the valves (panel B),
 353 optimized so that the difference between calculated and measured ΔP during the entire descent,
 354 from minute 123 to 157, is minimized. However, it is clear that this effective or apparent internal
 355 diameter needs to change during the flight. Using C_v values and a description of choked flow is
 356 clearly better. In panel C we have chosen the C_v and X_{TPR} (see below) values such that the
 357 average difference from minute 123 to 157 is zero and the standard deviation of differences is
 358 minimized. This implicitly includes any effects caused by the dryer in between the two valves.

359 The flow inside a valve can be complicated, with sharp corners, turbulence, sudden acceleration
 360 through a flow restriction with its associated heating and cooling of the gas, etc. The industry has
 361 introduced flow coefficients (C_v in the U.S., and K_v elsewhere) as an empirical approach to flow
 362 calculations, as in the Swagelok brochure (2020). The expressions for air, slightly generalized
 363 from Swagelok, for gas flow are as follows. For low pressure drop flow, we have

364

365
$$Q_n = 6950 C_v P_1 \left(1 - \frac{X}{3 X_{TPR}}\right) \sqrt{\frac{X}{T_1}} \quad (\text{Eq. 5a}),$$
 where Q_n is in liters per minute at standard

366 conditions of 1 bar and 0°C , P_1 and T_1 are pressure (bar) and temperature (Kelvin) upstream of
 367 the valve, ΔP is the pressure drop across the valve, X is the pressure drop ratio $\Delta P/P_1$, and X_{TPR}
 368 is the terminal pressure drop ratio between (0 and 1) above which we have choked flow. Under
 369 choked flow conditions the flow is fully independent of P and T downstream of the valve. It is
 370 also important to know that the flow coefficient C_v is not a pure number, but has physical
 371 quantities and units embedded in it.

372 For a high pressure drop ($X > X_{\text{TPR}}$), we have

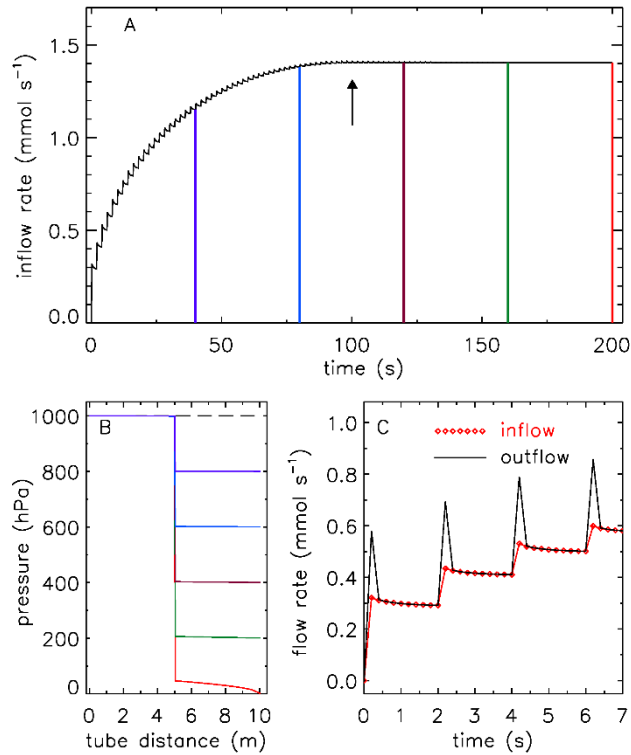
373 $Q_n = 6950 C_v P_1 \frac{2}{3} \sqrt{\frac{X_{\text{TPR}}}{T_1}}$ (Eq. 5b), which is obtained from the previous expression by

374 substituting X_{TPR} (a constant) for X . In these expressions we prefer to express the flow, instead
375 of in standard L/min as in the Swagelok brochure, as 0.04403 mol/min. This is the same, when
376 using the molecular weight of dry air (28.97 g/mol), as a mass flow of 1.276 g/min.

377 In Fig. 8C we optimized both C_v and X_{TPR} to get the best match for the calculated pressure
378 difference across the AirCore with the observed history during the descent. The value of X_{TPR}
379 depends on valve design, and may not be the same when flow goes in the opposite direction.
380 Many valves have an arrow for flow direction printed on them. For many AirCore flights
381 differential pressure measurements have not been recorded. However, the valves (and also
382 dryers) could be tested with a standard procedure (see Fig. 9 as one example). Alternatively, or
383 as a complementary check, a micro-spiking method during filling could be used (Wagenhäuser,
384 2021).

385 Figure 9 shows a potential test procedure for determining C_v and X_{TPR} values. The figure is
386 drawn using the two expressions for Q_n above, for low flow and choked flow. Starting from a
387 uniform pressure of 1 bar, the pressure at the downstream side is lowered in 10 hPa steps, at 2 s
388 intervals. In this example $C_v = 0.01$ and $X_{\text{TPR}} = 0.5$, so that the transition to choked flow occurs
389 at a pressure drop of 0.5 bar (panel A, upward arrow at 100 s). When the pressure at 10 m
390 approaches zero, the flow speed is high, causing a significant pressure drop between 5 and 10 m.

391



392

393 *Figure 9. A potential test procedure to determine C_v and X_{TPR} values for valves. In this example*
 394 *there is 5 m of 1/4" tubing (ID 5.84 mm) on each side. Outflow at the 10 m point (black curve) is*
 395 *shown in panels A and C. There is a flow pulse at every step because the downstream 5 m*
 396 *section empties quickly. The time resolution is 0.2 s. Inflow at 0 m is shown as red diamond*
 397 *symbols in panel C. Panel B, pressure in the tube from time 0 (dashed line) at 40 s intervals,*
 398 *corresponding to the colors in panel A.*

399

400 6. Mixing inside the tube

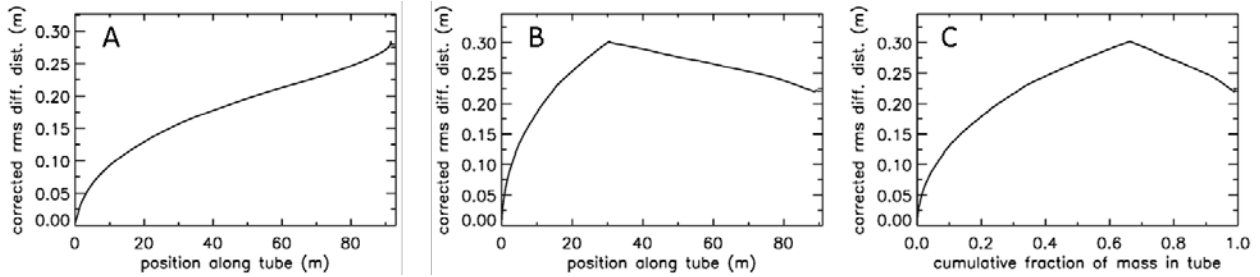
401 The fill dynamics calculation has produced time series of air density, pressure and temperature,
 402 and flow velocity everywhere in the tube as a function of time, from the start of the fill process,
 403 which begins a varying amount of time after the AirCore has started its descent, to the time of
 404 valve closure. We divide the final amount of air in the tube at closure into 400-500 equal mass
 405 packets. Starting from 400 we increase the number, which shrinks the size of each packet, until
 406 the remaining fill air in the back of the tube comprises an exact integer number of packets. For
 407 each mass packet, after it has entered the tube we follow it through the tube, as it is pushed
 408 toward the back while being compressed by packets entering later. The time steps are defined by
 409 when a new packet has fully entered, and they are longer at the start of the fill. The molecular
 410 diffusivity D and the Taylor diffusivity D_T are different at each step. However, the amount of
 411 spreading of a packet calculated at each time step "k" is decreased as the increasing pressure
 412 compresses the packet further. So the contribution of each step to the final spreading at valve

413 closure is calculated by dividing the density during that time step by the final density in the tube.
 414 We are thus accumulating the “2Dt” term of $X_{rms} = (2Dt)^{0.5}$, with Taylor diffusion added:

$$415 \quad X_{rms} = \sqrt{2 \sum_k (D_k + D_{T,k}) \frac{\rho_k}{\rho_{final}} t_k} \quad \text{Eq. 6}$$

416 For an AirCore with (almost) uniform diameter we get mixing as in Fig. 10 A. Close to the open
 417 end at position 0 m, there is very little mixing because the time to mix was short. Near the closed
 418 end at 93 m the spread of mixing deviates from what see in the first approximately 2/3 of the
 419 tube because the fill started slowly, giving extra mixing time for the high altitude samples that
 420 were later pushed to the back.

421



422

423 *Figure 10. Root-mean-square diffusive mixing when the valve at position 0 is closed. Panel A,*
 424 *Flight of GMD008 in Trainou. Panel B, the same flight data, but used to calculate the filling of a*
 425 *different AirCore, with 30.9 m of 1/4” tubing at the open end, and 60.1 m of 1/8” at the closed*
 426 *end. Panel C, same as B, but plotted as cumulative fraction of total mass, from 0 to 1.*

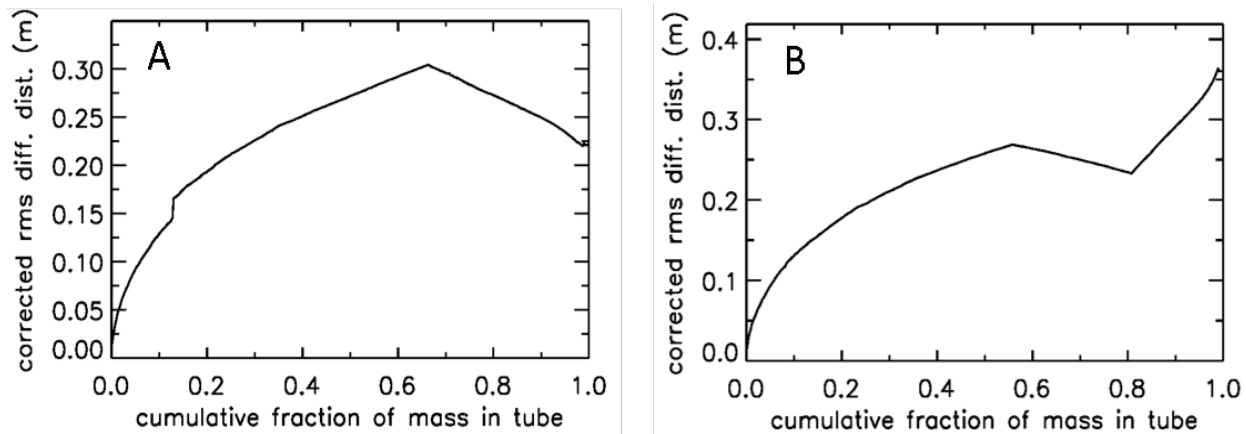
427 For an AirCore with two sections of different diameter we see an interesting effect (Fig.10 B).
 428 The air that comes in at high altitudes and ends up in the back of the tube, has to go through
 429 the 1/4” section first. When a packet enters the 1/8” section, its spread becomes approximately
 430 four times larger, while its 2Dt accumulation term stays the same. Approximately, because the
 431 inner diameters (ID) matters, not the outer (OD). To correct for the jump we add another factor
 432 to Eq. 6, and we will call this corrected rms diffusion distance:

$$433 \quad X_{rms} = \sqrt{2 \sum_k (D_k + D_{T,k}) \frac{\rho_k}{\rho_{final}} \frac{(dvol/dx)_k}{(dvol/dx)_{ref}} t_k} \quad \text{Eq. 7}$$

434 In Eq. 7 dvol/dx is the increment in volume per increment in length of the tube, while $(dvol/dx)_{ref}$
 435 is the total volume divided by the total length, both in units of m^2 . This prevents a jump at the 30
 436 m position, but more importantly, what matters for mixing is the spread relative to total mass in
 437 the tube, not whether it is in the 1/4 or 1/8” section. From now on we call this configuration “1/4
 438 -1/8”. Fig. 10 B shows that air closer to the back has been in the 1/4” section for a shorter time,
 439 and thus experienced less mixing relative to mass. When plotting mixing not as a function of

440 position, but as a function of cumulative mass in the tube, Fig. 10 C also shows that the 1/8”
441 section contains approximately 1/3 of the total air sample.

442



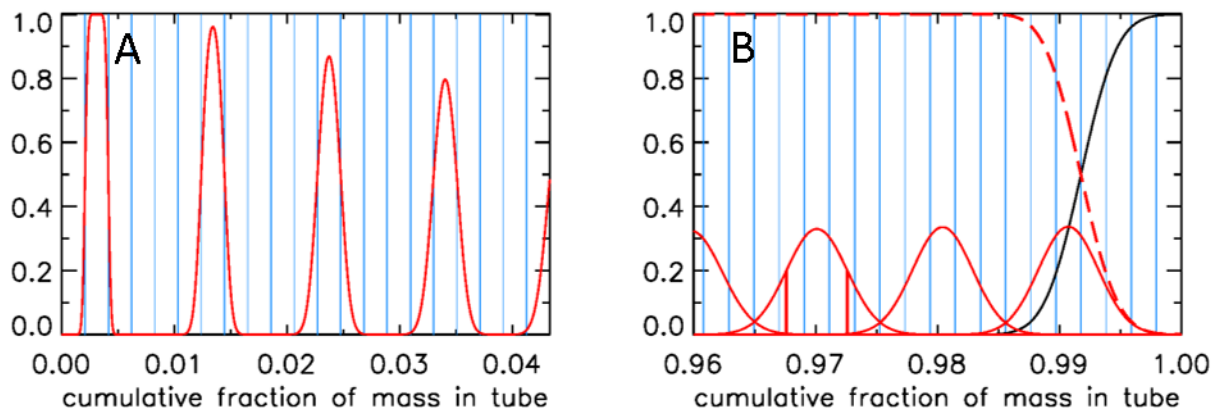
443
444 *Figure 11. Two additional cases of mixing upon valve closure. Panel A, same AirCore 1/4 -1/8,*
445 *but the flight data have been changed. Panel B, same flight data as in Fig. 10A, but the AirCore*
446 *configuration is 1/4 - 1/8 - 1/4.*

447 In Fig. 11A when the tube had descended to 850 mb, the atmospheric pressure data were
448 changed to simulate an updraft (lowering outside pressure) followed by a downdraft. The most
449 recent 7 mass packets were lost from the tube during the updraft, and replaced by new air during
450 the downdraft (above average rate of increase of outside pressure). As a result, the air sample
451 that just escaped from being lost is now adjacent to the replacement air, creating the jump in rms
452 mixing because it has been ~15 s longer in the tube than the first replacement air entering. In Fig.
453 11B the AirCore has now three sections, from the open to the closed end, first 30.1 m of 1/4”,
454 then 52.1 m of 1/8”, and 10.1 m of 1/4” diameter, which we will call “1/4 - 1/8 - 1/4”. This was
455 done solely to illustrate clearly the effects of using different diameters. Similar to what we saw in
456 Fig. 10A, the spread of mixing steepens near the closed end. Also those samples resided not long
457 enough in the 1/8” section to have much benefit in terms of slowing down the mixing, but
458 between 0.80 and 0.85 they had been long enough in the 1/8” section to have experienced less
459 mixing than air ending up at the 0.57 point, the first transition between 1/4 and 1/8”.

460 We will now express the amount of spreading (in both directions – twice the rms distance) of
461 each equal-mass “packet” of air as a fraction of the total mass of air in the tube, assuming that
462 the temperature inside the tube has become uniform. If that fraction were 0.01 everywhere in the
463 tube there would be slightly less than 100 independent samples in the AirCore. Slightly less
464 because the remaining fill air in the back takes up space. Fig. 12 shows a more realistic situation.
465 Each sample takes up the same volume, separated by the blue vertical lines, producing vertical
466 boxes. If there is almost no mixing, as in the case of the last sample that entered the AirCore, the
467 sample almost completely fills the first volume (or box in Fig. 12A), which is indicated by the

468 value 1.0 on the y-axis. The red curve centered on the second box has started to “leak” some
 469 sample into the adjoining boxes. The next samples shown are the 7th, 12th, and 17th. For the latter,
 470 the sample is just starting to leak into boxes 15 and 19. To plot the start of this process correctly,
 471 each packet is subdivided into 13 equal portions. Narrow Gaussian spreading, slowly increasing
 472 further into the tube, is calculated for each portion, and then summed. The width of each
 473 Gaussian is shown in Fig. 10C as a function of fraction of cumulative mass in the tube, and the
 474 area of each curve is 1/13 of the area of the box. This produces a constant value of 1.0 in the
 475 center and only the outer portions reach into the neighboring boxes.

476

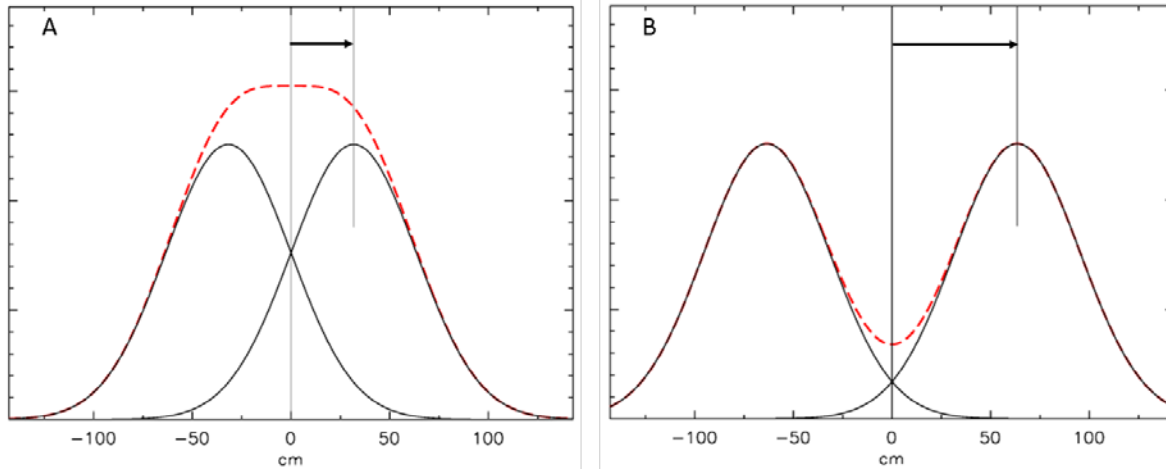


477

478 *Figure 12. A, Mixing of individual air “packets” (red) near the open end with their neighbors*
 479 *after valve closure for the case shown in Fig. 10C; B, mixing near the closed end (red), vertical*
 480 *red lines centered on 0.97 show the $\pm 1 \sigma$ points, black curve is remaining fill air, and the sum of*
 481 *all actual sample packets, also of those not shown, is the red dashed line.*

482 In Fig. 12B we plot the situation near the closed end. As in Fig. 12A, the mixing of only every
 483 fifth air packet is plotted, here ending with the first that came in at the highest altitude, centered
 484 approximately at 0.991. The remaining fill air in this case has the mass of four packets, and the
 485 curves of fill air and of the total air sample (sum of all packets) cross over at exactly the point
 486 where the fourth box from the right starts. How we calculate mixing at a closed end (at $x = 0$) is
 487 shown in Fig. 13.

488



489

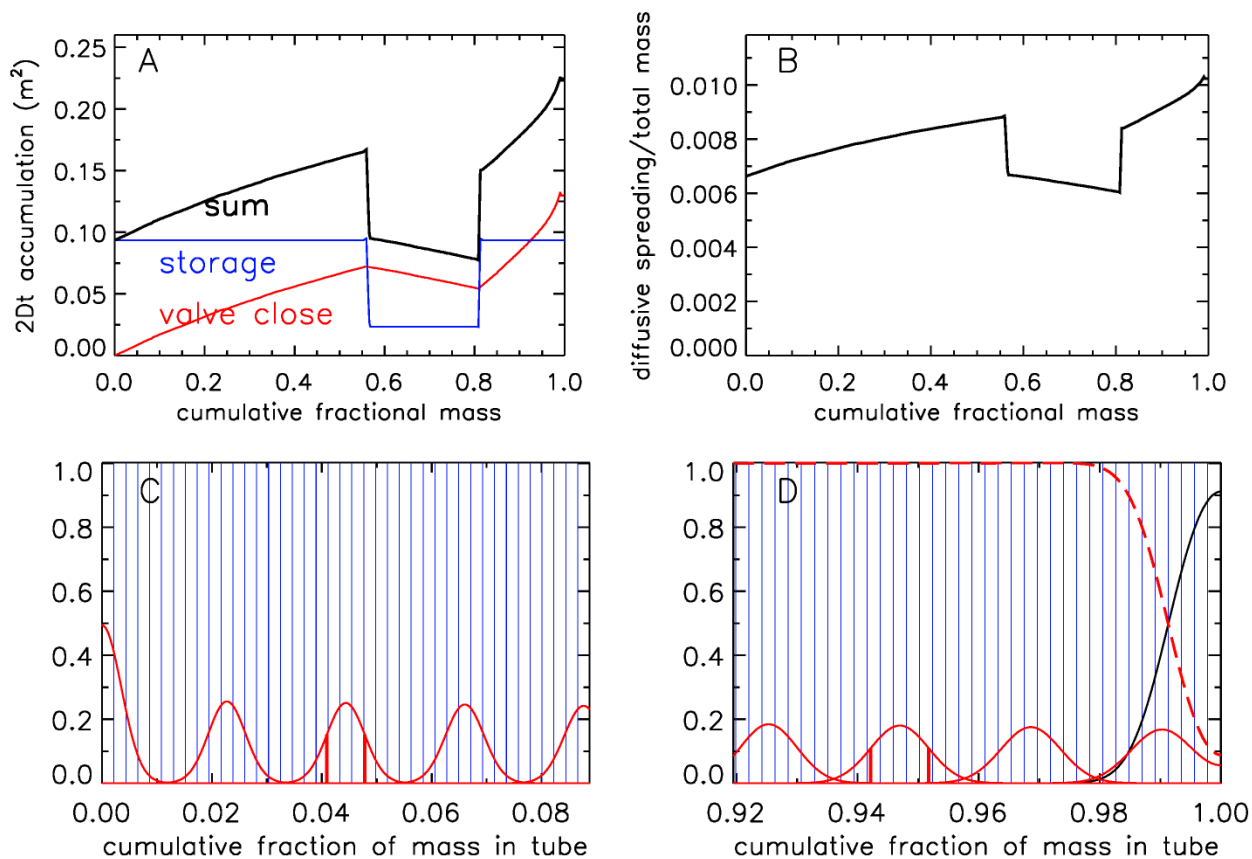
490 *Figure 13. Mixing at a closed end. The Aircore is to the right of the zero centimeter point. A, the*
 491 *distribution of mixing started one hour ago from a plane at 31.8 cm (one root-mean-square of*
 492 *the distribution), indicated by the arrow. A fictitious “mirror” distribution is centered at -31.8*
 493 *cm. The red dashed curve is the sum of the two distributions; B, same calculation, but the center*
 494 *of the distribution is twice as far from the end as in A.*

495 Diffusive mixing that would be to the left of $x = 0$, is reflected toward positive values of x . The
 496 slope of the distribution must be zero at $x = 0$ because any non-zero slope would imply a
 497 diffusive flux out of, or into, the tube. This is conveniently modeled by assuming a fictitious
 498 distribution mirrored relative to $x = 0$, then the two are added, and the portion of the sum for
 499 positive values of x represents the mixing distribution near a closed end.

500 Let us assume that after the valve has been closed there has been a half hour delay before
 501 analysis starts. Therefore, additional diffusion has taken place, as shown in Fig. 14 for the case
 502 $1/4 - 1/8 - 1/4$ (Fig. 11B). The $2Dt$ term has been increased by an amount dependent on the
 503 diameter of the tube, normalized as in Eq. 7. In the upper right (panel B) the square root of the
 504 sum has been taken, and then transformed into the spreading width relative to total mass in the

505

506



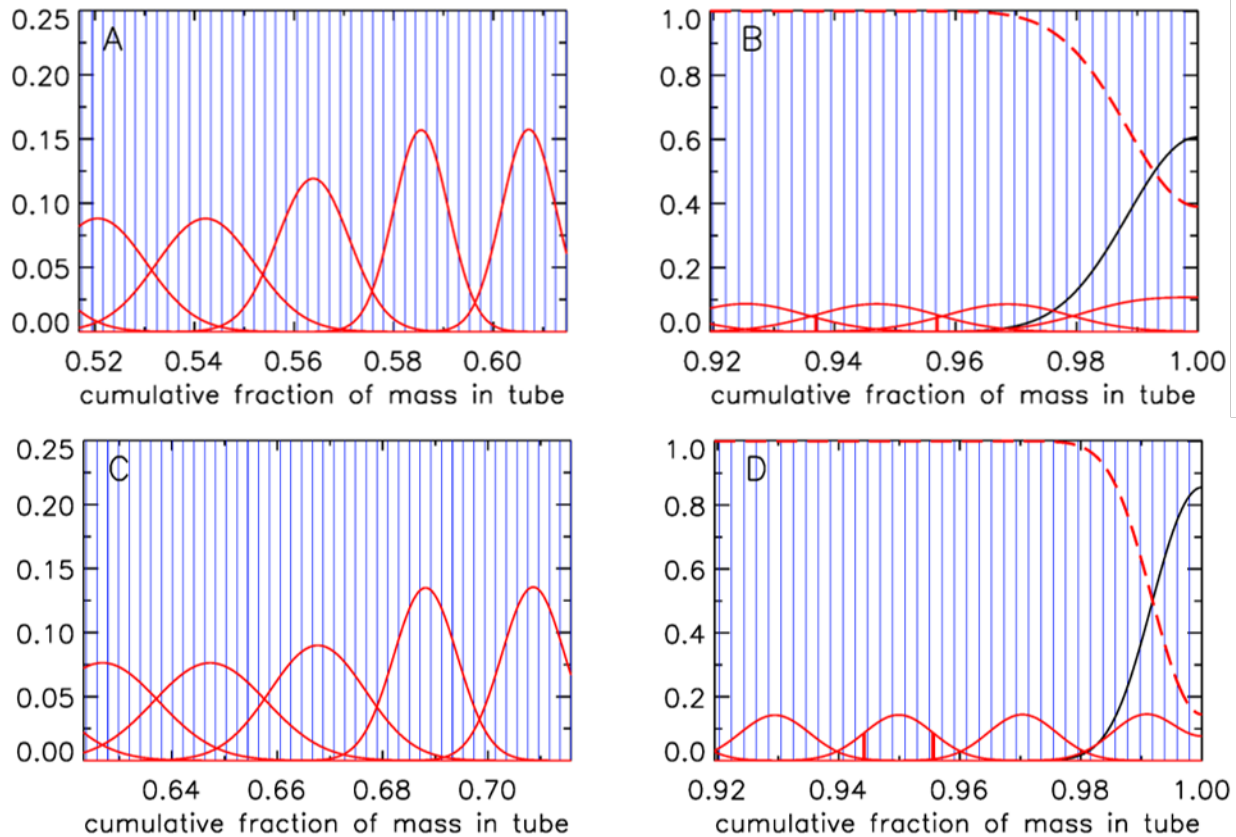
507

508 *Figure 14. Mixing after 30 minutes of storage, for AirCore 1/4 - 1/8 -1/4. A, Sum (black) of the*
 509 *2Dt accumulation during the flight (red) and during storage (blue), in units of m²; B, spreading*
 510 *width expressed as a fraction of total mass in the tube; C, amount of spreading near what was*
 511 *the open end, for clarity only every 10th packet is shown; D, same, near the closed end. Vertical*
 512 *red lines show the ± 1 σ distances from the peak.*

513 tube. The width is defined here as the distance between the ± 1 σ points of the Gaussian which
 514 contains ~68% of the probability distribution, shown in Fig. 14C at x = 0.0410 and 0.0478
 515 around the center at x = 0.0444, and in fig. 14D at x = 0.9422 and 0.9518 around the center at x =
 516 0.9470. These numbers correspond to the full widths shown in fig. 14B. The last packet to enter
 517 the tube is centered at x = 0.0011 and 1 σ = 0.0033. Most of the diffusive spreading is to the
 518 right, so that the peak is almost twice as high and the full width a little over half as wide as the
 519 one centered on x = 0.023.

520 Often the AirCore is analyzed significantly later than 30 min. after valve closure, and the
 521 measurement process itself may take half an hour. In Fig. 15 the state of mixing four hours after
 522 valve closure has been calculated, and two AirCore configurations are compared. The

523



524

525 *Figure 15. Mixing after 4 hours of storage. A, at the transition from 1/4" diameter to 1/8", for*
 526 *AirCore 1/4 - 1/8 - 1/4; B, near closed end, for 1/4 - 1/8 - 1/4; C, at the transition from 1/4"*
 527 *diam. to 1/8", for AirCore 1/4 - 1/8; D, near closed end, for 1/4 - 1/8.*

528 spreading width of air “packets” near the closed end is nearly twice as large for the 1/4 - 1/8 –
 529 1/4 case as for the 1/4 - 1/8 case, and the initial fill air penetrates almost 50% further into the
 530 tube. It would in most cases not be a good idea to have a wide bore section at the closed end. If
 531 one waits 24 hours (6 times longer) before starting the analysis, the spreading width near the
 532 closed end, centered at $x = 0.9470$, is 2.32 times larger than after 4 hours, not quite $\sqrt{6}$ because
 533 after 4 hours the spreading that occurred during the descent still makes a small, but still
 534 noticeable, contribution.

535

536 7. Potential information content of the AirCore

537 The mixing calculated above allows for a realistic and precise estimate of the altitude resolution
 538 of the full air sample, both when the AirCore is analyzed in the field promptly after landing, or
 539 hours or even days later. When the air is slowly pushed through an analyzer, we obtain a quasi-
 540 continuous curve for the mole fraction of the gases of interest as a function of fractional
 541 cumulative mass in the tube which is linked to flight data such as pressure altitude, geometric

542 altitude, latitude, longitude, etc. as calculated from the filling dynamics. We define the
543 information content as the number of independent air samples that are inside the tube, or the
544 number of degrees of freedom (DoF). Longer wait times before analysis decrease DoF. For
545 example, half an hour after landing DoF is potentially 154 for the Trainou flight, while after
546 another delay of four hours DoF has dropped to 67. This is “potential DoF” because it could be
547 decreased further by additional mixing in the measurement cell, or by successive analyzer cells
548 measuring different gas species. In the section above we chose more than 400 equal mass packets
549 to calculate mixing. This was done to prevent a possibly low numerical resolution of the mixing
550 calculation which would unnecessarily create a low bias in DoF estimates. Ideally, the
551 measurement process could be modeled in a way similar to the fill and mixing calculation above,
552 convolving the packets leaving the AirCore with a pulse response of the measurement cell. The
553 response could be measured separately by introducing a sharp spike just before the cell, and
554 recording how it is mixed and flushed out. This would be similar to the spiking method described
555 by Wagenhäuser et al. (2021). In the worst case the measurement cell would be perfectly mixed
556 giving rise to exponential flushing. In that case, after one cell volume has entered from the
557 AirCore into the measurement cell, the latter still contains a fraction $1/e$ of what went through
558 the cell before, so that the new volume comprises $(1 - 1/e) = 0.63$ of the cell loading. On the
559 other hand, “plug flow” (like in the AirCore itself) would produce very little additional mixing,
560 but there could still be some turbulent eddies near the entrance and exit of the cell. The actual
561 influence of the measurement cell on mixing lies somewhere in between those two extremes.

562

563 8. Numerical implementation

564 The AirCore can consist of one or more sections of different length, each with a different inner
565 diameter. For example, GML has flown AirCores with a wider bore at the open end and a narrow
566 bore at the closed end, in order to get better vertical resolution for the stratosphere. The sections
567 can be divided into a number of smaller segments when Eq. 2 is discretized for numerical
568 solution (Fig. 16):

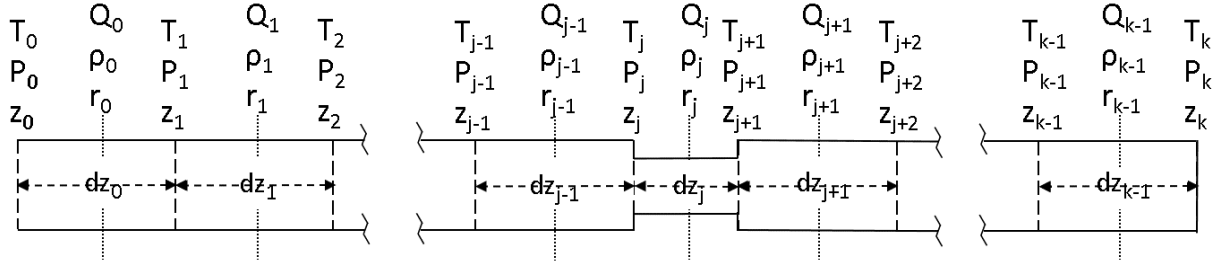
$$569 \quad Q = -\rho \frac{\pi r^4}{8\eta} \frac{dP}{dz} \Rightarrow Q_j = -\frac{P_j + P_{j+1}}{R(T_j + T_{j+1})} \frac{\pi r_j^4}{8\eta_j} \frac{P_{j+1} - P_j}{dz_j}$$

570 Q_j is centered in the middle of segment dz_j . The first factor in Q_j is the average amount density
571 (ρ_j). The pressure change at the boundary between segments dz_{j-1} and dz_j caused by the
572 imbalance of the flows Q_{j-1} and Q_j is equal to that imbalance divided by the volume between the
573 mid points of dz_{j-1} and dz_j . Adding in the pressure change due to temperature (Eq. 2) we get for
574 the change at boundary j :

$$575 \quad \frac{dP_j}{dt} = \frac{P_j}{T_j} \frac{dT_j}{dt} + \frac{T_j}{0.5(dz_{j-1}r_{j-1}^2 + dz_jr_j^2)} \frac{P_j + P_{j+1}}{T_j + T_{j+1}} \frac{r_j^4}{8\eta_j} \frac{P_{j+1} - P_j}{dz_j}$$

$$576 \quad - \frac{T_j}{0.5(dz_{j-1}r_{j-1}^2 + dz_jr_j^2)} \frac{P_{j-1} + P_j}{T_{j-1} + T_j} \frac{r_{j-1}^4}{8\eta_{j-1}} \frac{P_j - P_{j-1}}{dz_{j-1}} \quad \text{Eq. 8}$$

577



578

579 *Figure 16. Coordinate system in the AirCore. The coordinate along the length of the tube is z (m).*
 580 *There are k segments, starting from the open end at z₀ to the closed end at z_k, between the*
 581 *vertical dashed lines. Amount flow (Q_n, mol s⁻¹), amount density ρ_n (mol m⁻³), simply written as*
 582 *Q and ρ from here on out, are defined in the middle of each segment, pressures (P) and*
 583 *temperatures (T) are defined at the borders of each segment. The length (dz) as well as radius*
 584 *(r) of the segments may differ.*

585 The first term (P/T)(dT/dt) is handled separately from the two other terms describing the amount
 586 change. We write the latter two with the time step going from n to n+1 (superscript):

$$587 \quad P_j^{n+1} - P_j^n = \left[\frac{2T_j^n (P_{j+1}^n + P_j^n)}{T_{j+1}^n + T_j^n} \frac{r_j^4}{\eta_j} \frac{P_{j+1}^{n+1} - P_j^{n+1}}{dz_j} \right. \\ 588 \quad \left. - \frac{2T_j^n (P_{j-1}^n + P_j^n)}{T_{j-1}^n + T_j^n} \frac{r_{j-1}^4}{\eta_{j-1}} \frac{P_j^{n+1} - P_{j-1}^{n+1}}{dz_{j-1}} \right] \frac{t^{n+1} - t^n}{8(dz_{j-1}r_{j-1}^2 + dz_jr_j^2)} \quad \text{Eq. 9}$$

589 On the right hand side we have defined the pressure *differences* at the *end* of the time step. The
 590 reason is to make the solution of the matrix equation described below unconditionally stable.
 591 This method has been described as “fully implicit” or “backward time” (Press, 1992). We leave
 592 the pressure and temperature *averages* as defined at the start of the time step. They determine the
 593 average amount density of the air and do not create any numerical instability. Eq. 9 can be
 594 further re-arranged, for j = 1 to k-1, as

$$595 \quad P_j^n = - \frac{t^{n+1} - t^n}{8(dz_{j-1}r_{j-1}^2 + dz_jr_j^2)} \left[\frac{2T_j^n (P_{j+1}^n + P_j^n)}{T_{j+1}^n + T_j^n} \frac{r_j^4}{\eta_j dz_j} \right] P_{j+1}^{n+1} +$$

$$\begin{aligned}
596 \quad & \left(1 + \frac{t^{n+1} - t^n}{8(dz_{j-1}r_{j-1}^2 + dz_j r_j^2)} \right) \left[\frac{2T_j^n(P_{j+1}^n + P_j^n)}{T_{j+1}^n + T_j^n} \frac{r_j^4}{\eta_j dz_j} + \frac{2T_j^n(P_{j-1}^n + P_j^n)}{T_{j-1}^n + T_j^n} \frac{r_{j-1}^4}{\eta_{j-1} dz_{j-1}} \right] P_j^{n+1} \\
597 \quad & - \frac{t^{n+1} - t^n}{8(dz_{j-1}r_{j-1}^2 + dz_j r_j^2)} \left[\frac{2T_j^n(P_{j-1}^n + P_j^n)}{T_{j-1}^n + T_j^n} \frac{r_{j-1}^4}{\eta_{j-1} dz_{j-1}} \right] P_{j-1}^{n+1} \quad \text{Eq. 10}
\end{aligned}$$

598 This is a tridiagonal matrix equation, $\mathbf{A} \cdot \mathbf{P}^{n+1} = \mathbf{P}^n$, linking the $k+1$ dimensional pressure vector
599 \mathbf{P}^{n+1} at the end of the time step to the pressure vector \mathbf{P}^n at the start of the time step. The solution
600 is $\mathbf{P}^{n+1} = \mathbf{A}^{-1} \cdot \mathbf{P}^n$, in which \mathbf{A}^{-1} is the inverse matrix calculated by the subroutine TRISOL which is
601 the IDL version of TRIDAG described by Press et al (1992). If the tube is closed at $z = 0$, then in
602 the first line of \mathbf{A} the first (diagonal) and second (above the diagonal) element (all others are
603 zero) are respectively

$$604 \quad 1 + \frac{t^{n+1} - t^n}{8(dz_0 r_0^2)} \frac{2T_1^n(P_1^n + P_0^n)}{T_1^n + T_0^n} \frac{r_0^4}{\eta_0 dz_0} \quad \text{and} \quad - \frac{t^{n+1} - t^n}{8(dz_0 r_0^2)} \frac{2T_1^n(P_1^n + P_0^n)}{T_1^n + T_0^n} \frac{r_0^4}{\eta_0 dz_0}$$

605 If the tube is open at $z = 0$, then the first element of the first line equals 1, and all others are zero.
606 In this case P_0 is defined at all times by the outside atmospheric pressure, or by a defined
607 pressure from a cylinder. There is no influence from any place inside the tube. The algorithm
608 also allows the other end to be either closed or open to outside air. If closed, then the last two
609 elements of the $(k+1)^{\text{st}}$ row are respectively

$$\begin{aligned}
610 \quad & - \frac{t^{n+1} - t^n}{8(dz_{k-1}r_{k-1}^2)} \frac{2T_{k-1}^n(P_{k-1}^n + P_k^n)}{T_{k-1}^n + T_k^n} \frac{r_{k-1}^4}{\eta_{k-1} dz_{k-1}} \quad \text{and} \\
611 \quad & 1 + \frac{t^{n+1} - t^n}{8(dz_{k-1}r_{k-1}^2)} \frac{2T_{k-1}^n(P_{k-1}^n + P_k^n)}{T_{k-1}^n + T_k^n} \frac{r_{k-1}^4}{\eta_{k-1} dz_{k-1}}
\end{aligned}$$

612 If both sides are open, each with a different defined constant pressure, then after an initial
613 transient the flow settles to steady state flow corresponding to Poiseuille's equation.

614 This describes the core algorithm, of which there are two versions, called tubeflowstep3.pro and
615 tubeflowstep3Cv.pro. They have been programmed in Interactive Data Language (IDL). These
616 algorithms have the flexibility to accommodate segments of the tube that have different lengths
617 as well as diameters, flows in both directions, one or two valves open, a temperature gradient
618 along the tube with its corresponding viscosity gradient, and variable time steps. Another
619 routine, called analyzefill_Gaus_ict.pro, reads the lengths and diameters of tube sections, valves
620 and dryer, and the relevant flight data, namely outside air pressure and temperature, the
621 temperature of the AirCore at different locations along the tube, all as a function of time. If Cv
622 and X_{TPR} values of valves are defined they will be used. In that case tubeflowstep3Cv.pro nudges
623 the apparent internal diameter of one or more valves for a given flow toward satisfying Eq. 5 (see
624 section 5). This needs to be iterated because when we change the internal valve diameter the
625 pressures and flows will then adjust elsewhere in the tube. The analyzefill_Gaus_ict.pro program

626 also reads altitude, latitude, and longitude, but they are not needed for the flow dynamics
627 calculation per se. Analyzefill_Gaus_ict.pro also sets up the coordinate system and initializes
628 variables. By calling tubeflowstep3.pro at every time step, or tubeflowstep3Cv.pro if Cv and
629 X_{TPR} values are defined, it calculates the pressure in the tube, the amount of air and the amount
630 flow, and the flow velocity, all as a function of time and location in the tube. This is how
631 altitude, pressure altitude, latitude, and longitude are tied to position in the tube. The _Gaus
632 portion of the name indicates that Gaussian mixing is used as described in this paper, and _ict
633 indicates that the program expects the needed information about the tube and the flight in the
634 ICARTT (International Consortium for Atmospheric Research on Transport and Transformation)
635 file format, which is the format currently used by the GML AirCore project.

636 Although developed simultaneously with analyzefill_Gaus_ict.pro for the passively filled
637 AirCore, the tubeflowstep3Cv program can also be used to model flow when the AirCore is
638 actively filled with a pump and some form of flow and pressure control. In that case a program
639 equivalent to analyzefill_Gaus_ict.pro would need to be developed.

640 Importantly, the code in analyzefill_Gaus_ict.pro also produces diagnostic graphics showing
641 how the fill proceeded. In fact, all figures in this paper have been produced by
642 analyzefill_Gaus_ict.pro except for Figs. 9 and 13.

643

644 **9. Some recommendations for improvements in the analysis of AirCores**

645 Laboratory measurements of the flow properties of valves, as expressed in the flow coefficient
646 Cv and the terminal pressure drop ratio X_{TPR} , as well as the flow properties of dryers
647 (permeability is more important than porosity) could be helpful for further improving dynamics
648 code as described in this paper, and will be especially helpful for potential revisions of sample
649 altitude assignments of older flights.

650 The precision of the sample mixing estimates could be improved by laboratory measurements of
651 the pulse response of analyzers, especially when an AirCore is analyzed quickly in the field
652 because very little mixing has yet occurred for the air that came in last.

653 In addition to measuring the pressure inside the tube during a flight at the closed end, one could
654 consider measuring the pressure inside at a place closely behind the valve(s) plus dryer at the
655 open end. It does not need to be done routinely, but it would give a history of the total pressure
656 drop across the valve and dryer only.

657 In cases where people want to fly AirCores without a dryer it could be helpful to study wall
658 effects. Water vapor tends to adhere tightly to many surfaces, and as anyone experienced with
659 vacuums knows, it can take a long time to pry it off the walls. One possible experiment would be
660 to inject a short pulse of wet air at one end of a dry tube and register what comes out at the other

661 end. How much stays behind, and for how long? How does that affect other species? In general,
662 wall effects could make the AirCore into a (very poor) gas chromatograph if gases have
663 sufficiently different adsorption/desorption properties.

664

665 *Code availability.* The main flight analysis program and subroutines in Interactive Data
666 Language (IDL) are available at: <https://doi.org/10.25925/nt84-s826>

667

668 *Competing interests.* I have no conflict of interest.

669

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