A Systematic Re-evaluation of Methods for Quantification of Bulk Particle-phase Organic Nitrates Using Real-time Aerosol Mass Spectrometry

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20 Abstract

Organic nitrate (RONO₂) formation in the atmosphere represents a sink of NO_x (NO_x = NO + NO₂) and termination of the NO_x/HO_x (HO_x = HO₂ + OH) ozone formation and radical propagation cycles, can act as a NO_x reservoir transporting reactive nitrogen, and contributes to secondary organic aerosol formation. While some fraction of RONO₂ is thought to reside in the particle phase, particle-phase organic nitrates

- 25 (pRONO₂) are infrequently measured and thus poorly understood. There is an increasing prevalence of aerosol mass spectrometer (AMS) instruments, which have shown promise for determining quantitative total organic nitrate functional group contribution to aerosols. A simple approach that relies on the relative intensities of NO⁺ and NO₂⁺ ions in the AMS spectrum, the calibrated NO_x⁺ ratio for NH₄NO₃, and the inferred ratio for pRONO₂ has been proposed as a way to apportion the total nitrate signal to
- 30 NH_4NO_3 and pRONO₂. This method is increasingly being applied to field and laboratory data. However, the methods applied have been largely inconsistent and poorly characterized, and therefore, a detailed evaluation is timely. Here, we compile an extensive survey of NO_x^+ ratios measured for various pRONO₂ compounds and mixtures from multiple AMS instruments, groups, and laboratory and field measurements. All data and analysis presented here is for using the standard AMS vaporizer. We show
- 35 that, in the absence of pRONO₂ standards, the pRONO₂ NO_x^+ ratio can be estimated using a ratio referenced to the calibrated NH₄NO₃ ratio, a so-called "Ratio-of-Ratios" method (*RoR*=2.75±0.41). We systematically explore the basis for quantifying pRONO₂ (and NH₄NO₃) with the *RoR* method using ground and aircraft field measurements conducted over a large range of conditions. The method is compared to another AMS method (positive matrix factorization, PMF) and other pRONO₂ and related
- 40 (e.g., total gas + particle RONO₂) measurements, generally showing good agreement / correlation. A broad survey of ground and aircraft AMS measurements shows a pervasive trend of higher fractional contribution of pRONO₂ to total nitrate with lower total nitrate concentrations, which generally corresponds to shifts from urban-influenced to rural/remote regions. Compared to ground campaigns, observations from all aircraft campaigns showed substantially lower pRONO₂ contributions at mid ranges
- 45 of total nitrate (0.01-0.1 up to 2-5 μg m⁻³), suggesting that the balance of effects controlling NH₄NO₃ and pRONO₂ formation and lifetimes such as higher humidity, lower temperatures, greater dilution, different sources, higher particle acidity, and pRONO₂ hydrolysis (possibly accelerated by particle acidity) favors lower pRONO₂ contributions for those environments and altitudes sampled.

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1 Introduction

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Organic nitrate (RONO₂) formation in the atmosnumphere, through oxidation of VOCs (volatile organic compounds) in the presence of NO_x (NO_x = NO + NO₂), represents a sink of NO_x and termination of the catalytic NO_x/HO_x (HO_x = OH + HO₂) ozone formation and radical propagation cycles, can act as a NO_x

- 55 reservoir transporting (or removing) reactive nitrogen, and contribute to secondary organic aerosol formation (Zare et al., 2018 and references therein). Particle-phase organic nitrates (pRONO₂) have been shown to contribute substantial mass to organic aerosol (OA) (Ng et al., 2017 and references therein), can provide insight into the chemistry controlling SOA formation (e.g., Pye et al., 2015; Xu et al., 2015b; Lee et al., 2016; Ng et al., 2017), may constitute a semivolatile component of OA and dynamically partition
- 60 between the gas- and particle-phases (e.g., Fry et al., 2013; Rollins et al., 2013; Pye et al., 2015), and represent a loss mechanism for RONO₂ or reactive nitrogen oxides (e.g., via hydrolysis or deposition) (Fisher et al., 2016; Lee et al., 2016; Zare et al., 2018). However, pRONO₂ have infrequently been measured in ambient air until recently and thus are still poorly understood (Ng et al., 2017).

The recent emergence of a variety of online and offline methods of both speciated and bulk pRONO₂ and their applications to ambient aerosol measurements are summarized in Ng et al. (2017). Instrumentation and methods include: (online bulk) aerosol mass spectrometry (AMS; (Jayne et al., 2000)) and its monitoring versions (known as Aerosol Chemical Speciation Monitors, ACSM; (Ng et al., 2011; Fröhlich et al., 2013)); thermal dissociation - laser induced fluorescence (TD-LIF; (Day et al.,

2002)); (online speciated) filter inlet for gases and aerosols (FIGAERO) - chemical ionization mass
spectrometry (CIMS) (Lopez-Hilfiker et al., 2014); (offline speciated) high-pressure liquid
chromatography - mass spectrometry (HPLC/MS) often with electrospray ionization (ESI) (Surratt et al., 2006); (offline bulk) Fourier Transform InfraRed (FTIR) spectroscopy (Maria et al., 2002). While
speciated methods can provide more detailed source or mechanistic information, they are slow and, to
date, none (online nor offline) has demonstrated quantitative measurement of the bulk of pRONO₂ for

75 ambient measurements. Therefore, bulk measurements provide useful constraints on the budgets, formation and loss rates of gas- and aerosol-phase RONO₂ in the atmosphere; and fast online methods are essential when ambient concentrations are rapidly changing, especially for aircraft sampling.

For most field applications of the AMS, typically aerosol nitrate concentrations have been reported as a single total (organic plus inorganic) concentration, due to the fact that nearly all of the signal of the nitrate functional group for any nitrate type (or nitrite) is measured at a couple of common ion peaks (NO⁺ and NO₂⁺ in high-resolution (HR) instruments or m/z 30 and m/z 46 in unit mass resolution (UMR) instruments) (Farmer et al., 2010). Early on in the application of the AMS, an implicit assumption was often made that ammonium nitrate (NH₄NO₃) typically dominated aerosol nitrate, based on early urban studies that showed semivolatile behavior consistent with NH₄NO₃ (e.g., Jimenez et al., 2003; Hogrefe et

- al., 2004; Zhang et al., 2004). However, a few early reports on field measurements using UMR AMS (Allan et al., 2004b, 2006) showed that the *m/z* 46 to *m/z* 30 ratio (hereinafter "46/30 ratio") was too low to be associated with only NH₄NO₃, suggesting substantial contributions from mineral nitrates (NaNO₃, Ca(NO₃)₂), pRONO₂, or possibly other reduced organo-nitrogen, or organic ion interferences. In a study focusing on cluster analysis of ambient (UMR) AMS spectra, Marcolli et al. (2006) also reported
- 90 46/30 ratios substantially smaller than NH₄NO₃ and found several spectra cluster categories with dominant m/z 30 peaks (but not m/z 46) and suggested that these signals may be associated with organic nitrates. Similarly, Alfarra et al. (2006) reported 46/30 ratios from chamber-generated SOA (photooxidation of trimethyl benzene and α -pinene) ~2–4 times lower than NH₄NO₃, which they

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attributed to pRONO₂ or nitro-compounds. A few years later, reports from chamber studies where pRONO₂-rich SOA was generated (β -pinene or isoprene +NO₃ radicals), using an HR-AMS, showed NO₂⁺/NO⁺ ratios (hereafter "NO_x⁺ ratio") ~2–4 times lower than pure NH₄NO₃ (Fry et al., 2009; Rollins et al., 2009).

Subsequently, broader surveys of the fragmentation patterns of aerosol nitrates (and nitrites) in the AMS were reported, including consistently low NO_x^+ ratios for pRONO₂ (Bruns et al., 2010; Farmer et al., 2010). Farmer et al. (2010) evaluated the fragmentation patterns of single-component pRONO₂

- 100 al., 2010). Farmer et al. (2010) evaluated the fragmentation patterns of single-component pRONO₂ isolated from SOA, and showed that ~95% the nitrogen-containing signal was observed as NO_x^+ ions with the balance as HNO_3^+ and very little signal at $C_xH_yO_zN^+$ ions. Farmer et al. evaluated several methods for constraining pRONO₂ contribution to AMS nitrate signal including using: 1) NO_x^+ ratios, 2) HNO_3^+ ions, 3) $C_xH_yO_zN^+$ ions, 4) "ammonium balance", and 5) AMS total nitrate comparison to inorganic nitrate-
- 105 only measured with another instrument (typically ion chromatography-based). For the urban dataset evaluated in that study, all methods appeared to be associated with relatively large uncertainties. Bruns et al. (2010) reported NO_x^+ ratios for SOA formed from several monoterpenes and isoprene (with NO_3 radicals) as well as NaNO₃ and NaNO₂ (with the sodium salts showing greatly reduced NO_x^+ ratios). Other studies have used the ammonium balance (hereafter NH_4_{Bal}) of AMS data, or comparisons to other
- 110 instruments to estimate pRONO₂ content (Aiken et al., 2009; Zaveri et al., 2010; Docherty et al., 2011; Häkkinen et al., 2012; Xu et al., 2015a); however, in most cases, uncertainties were large or not assessed. Since the Farmer et al. study, several other laboratory studies reported NO_x^+ ratios for pRONO₂containing SOA, which are summarized in <u>Sect. 3</u>. Additionally, a number of analyses of field studies have used the NO_x^+ ratios (or its 46/30 UMR equivalent) to support qualitative or semi-quantitative
- 115 statements about the presence (or low contribution) of pRONO₂ (Setyan et al., 2012; Brown et al., 2013; Xu et al., 2016; Schneider et al., 2017; Bottenus et al., 2018) or to quantify pRONO₂ (Fry et al., 2013, 2018; Ayres et al., 2015; Kostenidou et al., 2015; Xu et al., 2015a, 2021; Fisher et al., 2016; Kiendler-Scharr et al., 2016; Lee et al., 2016, 2019; Nault et al., 2016; Zhou et al., 2016; Zhu et al., 2016, 2021; Florou et al., 2017; Palm et al., 2017; Brito et al., 2018; de Sá et al., 2018, 2019; Reyes-Villegas et al.,
- 120 2018; Schulz et al., 2018; Avery et al., 2019; Dai et al., 2019; Huang et al., 2019a, 2019b; Yu et al., 2019; Chen et al., 2020, 2021; Lin et al., 2021). Yu et al. (2019) also used the particle size dependence of the 46/30 ratio to investigate particle size and temporal (diurnal and seasonal) trends in pRONO₂. Other studies have used positive matrix factorization (PMF) of AMS spectra including both the OA and NO_x⁺ signals to quantify pRONO₂ (Sun et al., 2012; Hao et al., 2014; Xu et al., 2015a; Zhang et al., 2016;
- 125 Kortelainen et al., 2017; Yu et al., 2019; Lin et al., 2021; Zhu et al., 2021). Recently, Xu et al., (2021) demonstrated another method, using AMS thermal denuder measurements. Thus there is promising use of AMS measurements for quantifying bulk pRONO₂ functional group contribution to ambient aerosols (and in addition, providing higher quality NH₄NO₃ concentrations). However, the methods have not been standardized and uncertainties of the different methods have not been well-characterized, and were
 130 reported to be large by at least some studies. Together with the increasing prevalence of AMS (and

ACSM) field measurements, a detailed evaluation of pRONO₂ quantification methods is timely.

Here we explore the application of the AMS NO_x^+ ratio method to separate and quantify inorganic and organic nitrate and discuss the methods in detail, as well as comparison to other methods, and some scientific applications. In addition to drawing from available literature whenever possible, new analyses

135 for several field and laboratory datasets are used extensively throughout this manuscript to explore and support findings. Descriptions of those datasets and data processing methods can be found in Supp. Info. Sect. S1 (including Fig. S1). All data, analysis, and recommendations presented here is for use with the

standard AMS vaporizer; while in practice, similar methods could be applied to explore the possibility of using data from an AMS equipped with the capture vaporizer to apportion nitrate, although it would likely have higher detection limits (Hu et al., 2017a).

2 Previous use and methods for pRONO₂ quantification using AMS NO_x⁺ ratios

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An equation for quantitative apportionment of the AMS nitrate signal into $pRONO_2$ and NH_4NO_3 using the NO_x^+ ratio was first presented by Farmer et al. (2010) (equation 1 from Farmer et al., and derived in their supporting information, here substituting different notation for some terms for consistency with this manuscript):

$$f_{pRONO_2} = \frac{(R_{ambient} - R_{NH_4NO_3})(1 + R_{pRONO_2})}{(R_{pRONO_2} - R_{NH_4NO_3})(1 + R_{ambient})}$$
(1)

where f_{pRONO2} is the fraction of total AMS nitrate (hereafter pNO₃) that is pRONO₂, and R_{NH4NO3}, R_{pRONO2}, and R_{ambient} are the NO_x⁺ ratios (NO₂⁺/NO⁺) for pure NH₄NO₃, pure pRONO₂, and the ambient aerosol nitrate mixture measured, respectively. Note that here we use the NO₂⁺/NO⁺ ratio for all terms, while
Farmer et al. and some others have used NO⁺/NO₂⁺. This formulation is preferred since NO₂⁺ tends to be lower than NO⁺ for all nitrates, and thus using NO₂⁺/NO⁺ avoids ratios trending toward infinity as detection limits are approached. This usage has been applied in several publications, such as Fry et al. (2013) and Kiendler-Scharr et al. (2016), as presented in equations 11 and 1 in those papers, respectively. The equation is identical regardless of the inversion of the NO_x⁺ ratio. That can be shown by simply
swapping all the instances of NO and NO₂ in the definitions and derivation shown in Farmer et al. or by substituting 1/R_x for each ratio term in Eq. 1 above, multiplying all parenthetical terms by R_{ambient}R_{NH4NO3}R_{pRONO2}, factoring out the same term in the numerator and denominator then canceling, and finally multiplying the first parenthetical terms in the numerator and denominator by -1. While typically

*R*_{NH4NO3} is measured frequently as pure NH₄NO₃ is periodically sampled by the AMS as a primary
 calibrant for sensitivity (Canagaratna et al., 2007), regular calibration using pRONO₂ is generally not practical. Moreover, it is not immediately clear that all pRONO₂ produce the same *R*_{pRONO2} in the AMS. Values reported in the literature for *R*_{NH4NO3} and *R*_{pRONO2} both appear to have a substantial range (factor of ~3) and generally *R*_{pRONO2} is 2–4 times lower than *R*_{NH4NO3} (see Sects. <u>1</u> and <u>3</u>).

Several studies have applied Eq. 1 to quantify pRONO₂ and NH₄NO₃, using different assumptions regarding R_{pRONO2} . Farmer et al. (2010) applied their measurements of R_{pRONO2} from their lab study to estimate an upper limit of 50% for the pRONO₂ contribution to pNO₃ for the urban SOAR campaign, substantially higher than with other methods they applied. They considered that method to be a high upper limit, due to the possible influence of non-refractory nitrates. However, we note that the R_{pRONO2} used in that early study was nearly a factor of two different than we suggest in this study, in the direction

- 170 favorable to higher pRONO₂ fractions. For calculation of pRONO₂ for the BEACHON-RoMBAS campaign, Fry et al. (2013) assert that $R_{\rm NH4NO3}$ and $R_{\rm pRONO2}$ likely co-vary for an instrument and therefore define the term "ratio-of-ratios" (hereafter $RoR = R_{\rm NH4NO3}/R_{\rm pRONO2}$) in order to estimate $R_{\rm pRONO2}$ from infield $R_{\rm NH4NO3}$ measurements and literature reports of $R_{\rm pRONO2}$ and $R_{\rm NH4NO3}$. The *RoR* value applied by Fry et al. (2013) was 2.25, based on the Farmer et al. (2010) average. On the other hand, in an analysis of
- 175 pRONO₂ contribution to OA throughout Europe, Kiendler-Scharr et al. (2016) applied a fixed R_{pRONO2} of 0.1 based on literature reports of R_{pRONO2} , and the argument that it was the minimum ratio observed in the ambient datasets examined (noting that "such low ratios of NO₂⁺/NO⁺ were also detected in some data sets where R_{NH4NO3} was reported high"). Those authors state that their approach represents a lower limit of pRONO₂. Similarly, Brito et al. (2018), Schulz et al. (2018), Huang et al. (2019a, 2019b), and Avery et al.

180 (2019), applied a fixed R_{pRONO2} of 0.1 (citing Kiendler-Sharr et al. (2016)) for aircraft measurements in West Africa, aircraft measurements in the Amazon, rural forest and urban sites in Germany, and seasonal variations of indoor/outdoor air, respectively. The same method has been applied to laboratory studies of biomass burning aging (Tiitta et al., 2016), composition from photooxidation of terpenes (Zhao et al., 2018; Pullinen et al., 2020), and the composition, optical properties, and aging of particles from a wide

185 variety of biomass burning fuel sources (Cappa et al., 2020; McClure et al., 2020). However, in the latter study, the organic component is classified as "organonitrogen", assuming it includes contributions from both organic nitrate and nitro-organic (i.e. nitroaromatics) functional groups (and assumed to have the same NO_x^+ ratio).

In a regional and seasonal survey of pRONO₂ in the SE US, Xu et al. (2015a) used the *RoR* concept.
 They estimated lower (2.2) and upper (4.4) limits for *RoR* (or *R*_{pRONO2} = 0.1-0.2 for their corresponding *R*_{NH4NO3}) from literature reports of SOA formed from isoprene+NO₃ radicals (Bruns et al., 2010) and β-pinene+NO₃ radicals (Fry et al., 2009; Bruns et al., 2010; Boyd et al., 2015), respectively. The rationale for their approach is that, for their region of study, those two BVOC may represent major contributions to the mixture of pRONO₂, and that the literature suggests there may be some source/composition

- dependence of R_{pRONO2} . For the same region, Chen et al. (2020) used bounds of R_{pRONO2} (0.1-0.2), based on similar logic, however not derived from a *RoR* calculation (however equivalent to a *RoR* of 1.7-3.3). In a study of pRONO₂ and SOA formation from Alberta oil sands extraction emissions from ground and aircraft measurements, Lee et al. (2019) used the same bounds of R_{pRONO2} (0.1-0.2), also not derived from a *RoR* calculation and citing Xu et al (2015a) and Farmer et al. (2010) (equivalent to a *RoR* of 1.4-2.9 and
- 200 1.5-3.0 for the two datasets). The same methods as Xu et al. (2015a) were used (applying the same range of *RoR*), for measurements conducted in Houston, TX (Dai et al., 2019) and the North China Plain (Xu et al., 2021). However Xu et al. (2021) adjusted the $R_{\rm NH4NO3}$ to match the highest NO₂⁺/NO⁺ ratios observed, since it was substantially higher than the calibration $R_{\rm NH4NO3}$ (assuming for those periods, nitrate was purely NH₄NO₃). Thus, those five studies report their concentrations and inorganic/organic nitrate split
- accordingly, and report lower and upper bounds; however, Lee et al., (2019) largely focused on results for the upper limit pRONO₂ concentrations for the scientific analysis (with equivalent *RoRs*: 1.4/1.5). Zhou et al. (2016), Zhu et al. (2016), and Yu et al. (2019) applied the *RoR* concept, citing a range of 2–4 from the literature, and thus reported estimated lower/upper limit averages for contribution of pRONO₂ to pNO₃ in New York City (summer, 67%/95%), a background site in China (spring, 15/22%), and an urban site in
- 210 China (during spring, 13%/21%; summer, 41%/64%; autumn, 16%/25%), respectively. Similarly Zhu et al., (2021) applied the *RoR* concept, citing a range of 1.4–4.0 from the literature reporting upper(12%)/lower(7.8%) bounds for contribution of pRONO₂ to pNO₃ at a rural site in the North China Plains during summer. Kostenidou et al. (2015), on the other hand, estimated the R_{pRONO2} as the minimum $R_{ambient}$ observed in ambient data during the campaigns, resulting in effective *RoRs* of 5.6 and 12 for the
- two campaigns investigated. The same method is used by Reyes-Villegas et al. (2018) (using 46/30, and resulting in an effective *RoR* of 5) and Florou et al. (2017) (resulting in high effective *RoR*s of 14 and 15 for the two campaigns investigated). Other field studies have followed the methods of Fry et al. (2013) (but using a few different fixed values for the *RoR*) using HR data (Ayres et al., 2015; Fisher et al., 2016; Lee et al., 2016; Palm et al., 2017; de Sá et al., 2018, 2019; Nault et al., 2018; Chen et al., 2021) or UMR data (Fry et al., 2018; Schulz et al., 2018).
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3 Survey of NO_x⁺ ratios for particle-phase nitrates

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Given the numerous applications of NO_x^+ ratios to separate pRONO₂ and NH₄NO₃ in AMS measurements, yet many variations in methods and the numerical values used within each method, we have conducted a systematic survey of literature values and trends of NO_x^+ ratios for different nitrates.

- 225 Such data compilation is aimed at evaluating the evidence that supports using a fixed *RoR* to estimate R_{pRONO2} from the calibration R_{NH4NO3} and to investigate the variability in R_{pRONO2} produced from different sources. Figure 1 shows a compilation of *RoR* values for pRONO₂ derived for chamber-generated SOA, isolated compounds (from chamber SOA or standards), and ambient measurements (using instrument comparisons or PMF separation). Figure 1 also shows the *RoR* for the same data as a histogram and
- 230 average, as well as the correlations of the pRONO₂ vs NH₄NO₃ (inverse) NO_x⁺ ratios. Details of the values used to compute the ratios and uncertainties, data sources, and any additional calculations for the information included in Fig. 1, are provided in Table S1.

The correlation between the R_{pRONO2} and R_{NH4NO3} is fairly strong (R²=0.54), considering the variety of data sources and substantial measurement uncertainties. It provides strong evidence that, to first order, the *RoR* method is consistent and supported by various methods, species/mixtures, instruments and operating conditions. The slopes of the linear regression constrained to a zero intercept using an ODR fit (2.66±0.11; assuming both variables contribute comparable uncertainty) is equivalent to an overall *RoR* and is similar to the average of the individual *RoR* datapoints (mean±standard error: 2.75±0.11).

- Highlighted in the scatterplot in Fig. 1 are a couple of pairs of datapoints that are averages from several experiments conducted in our laboratory with two different AMS during two different years, with substantially different measured calibration $R_{\rm NH4NO3}$ while sampling the same chamber SOA (see S1.2). The trends in those points are similar to the overall trend and provide an example of the validity of the *RoR* method when only differences in instrument / operating conditions are present. Fig. S2 shows a complementary histogram to that in Fig. 1 for the $R_{\rm pRONO2}$, without normalizing to $R_{\rm NH4NO3}$. Compared to
- 245 the normalized values shown in Fig. 1 (i.e., *RoRs*), a factor of two larger relative variability is apparent, with a relative standard deviation of 49% compared to 25%. Also of note is that the average value is 0.21 ± 0.10 , twice as high as used in several literature studies. Finally, Fig. S3 shows a complementary plot to the scatter plot in Fig. 1, with the inverse NO_x⁺ ratios and axes swapped, which emphasizes different data and outliers, and yields similar but slightly higher (<10%), *RoR* slopes and the same degree of
- correlation. While the representation in Fig. S3 uses the inverse NO_x^+ ratio of that used throughout this manuscript, it places the R_{NH4NO3} on the x-axis, and thus a non-ODR fit may be appropriate under the assumption that most uncertainty is contributed by the pRONO₂ ratios. The ODR and non-ODR fits (2.83±0.12, 2.66±0.12, respectively) bracket the simple average value (2.75).

The compilation shown in Fig. 1 allows for consideration of dependencies of the *RoR* on
 species/mixtures or methods. Generally, the *RoR*s cluster around 1.5–4 for most studies. The variability within duplicated VOC-oxidant pairs (e.g., β-pinene+NO₃ SOA), similar compound classes (e.g., monoterpenes, isoprene, aromatics, long-chain alkanes or alkenes), or measurement methods (SOA mixtures, isolated compounds, ambient measurements) is similar to the variability between such groupings. Therefore, given the data currently available, there does not appear to be any strong evidence

260 to support any general chemical-dependence of the pRONO₂ *RoR*. While such a dependence may in fact exist, evaluation likely would require comparison of several organic nitrate molecules and/or mixtures systematically with the same instrumentation, operation conditions, and analysis methods, together with duplication by different instruments.

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Therefore, for applications and further evaluation described in this manuscript, we use the average and variability of the *RoR* determined from data highlighted in Fig. 1: 2.75 (mean) and standard deviation ($\pm 0.70, 25\%$) or standard error ($\pm 0.11, 4.0\%$). The 25th/50th/75th percentiles are 2.12, 2.73, 3.12 (interquartile range / median +14%/-22%). Given the approximate symmetry for the limited statistics available, we treat the variability and uncertainty of the *RoR* as approximately a normal distribution. The standard deviation should be considered an upper limit of the uncertainty of the applicable *RoR* and

- 270 corresponds to the assumption that the variability in reported values is primarily attributable to true differences in ratios for different types of pRONO₂. The lack of clear differences among different sources suggests that some of the variability may instead be instrument/operator related, and that the std. error may be a more relevant characterization of the uncertainty. Complex mixtures of pRONO₂ in the atmosphere would likely represent an ensemble of those ratios, and thus result in values closer to the
- 275 average. In fact, for the limited (7) examples of ambient-derived *RoRs*, the average is similar and the variability somewhat smaller (2.99±0.51, ±17%) compared to the overall survey data. The standard error of the overall survey can be considered a measure of the uncertainty under the assumption that the *RoR* is invariable with source/type and the $R_{\rm NH4NO3}$ for an instrument is a perfect predictor of $R_{\rm pRONO2}$. A separate manuscript will include further discussions on the *RoR* uncertainty and applications to estimation of the overall nitrate apportionment and concentrations uncertainties.

We recommend the use of the average *RoR* value computed here for future separations of pRONO₂ and NH₄NO₃ in ambient aerosol with AMS until there is additional information available to support a different or more complex formulation. On the other hand, where additional constraints on the expected pRONO₂ ratio response may be available, a more specific value may be applied. For example, Takeuchi and Ng (2019) measured *RoR*s during dry chamber experiments for different SOA types where only pRONO₂ nitrate was generated, and then used those system-specific *RoR*s to separate pRONO₂ and NH₄NO₃ during wet experiments where substantial NH₄NO₃ was also formed. We note that in a recent

study, Xu et al., (2021) inferred a substantial variability in *R*_{pRONO2} for ambient measurements on diurnal timescales and with varying pollution levels; however, that relied on comparison of the NO_x⁺ ratio
 method to a newly-proposed method using thermal denuder profiles, which they acknowledge has several potentially large uncertainties or biases that were not quantified.

It is important to emphasize that under strong influence of particle-phase *nitrites* or semi/nonrefractory nitrates (e.g., NaNO₃, Ca(NO₃)₂), quantitative separation of nitrate types may be hindered or simply not feasible (Schroder et al., 2018). As a few studies have reported, nitrites and mineral nitrates produce substantially lower NO₂⁺/NO⁺ ratios (thus higher *RoR*) in the AMS. For example, *RoR*s of ~10– 60 for NaNO₃ (Alfarra, 2004; Bruns et al., 2010; Hu et al., 2017b), 17 for Ca(NO₃)₂ (Alfarra, 2004), 3.9 for Mg(NO₃)₂ (Alfarra, 2004), 9.7 for KNO₃ (Drewnick et al., 2015), and ~300 for NaNO₂ (Alfarra, 2004) have been previously reported. We report additional measurements from our laboratory for NaNO₃, KNO₃, and KNO₂ showing similarly high values. Table S2 provides additional details and Fig. S4 shows

- 300 a graphical representation and comparison to pRONO₂ for literature reports and our new data. Consequently, even if the expected ratios of other compounds were accurately known, apportioning the different nitrates or nitrites using a formulation like Eq. 1 would be under-constrained, as there would be more unknowns than equations. Therefore, care must be taken to screen for measurements that may be substantially influenced by such interferences (e.g., seasalt, dust). Additionally, during a recent aircraft
- 305 campaign focused on biomass burning, we conducted regular calibrations with 4-nitrocatechol, a nitroaromatic (Pagonis et al., 2021). The *RoR* was relatively similar to pRONO₂ at 3.35 ± 0.81 (1 σ , standard deviation) (Table S2, Figs. S4, S5).

4 Evaluation of calibration R_{NH4NO3} and RoR using ambient data

A survey of NO_x^+ ratios for multiple field studies is explored here in order to assess the framework of

- 310 using measured calibration $R_{\rm NH4NO3}$ and a *RoR* to apportion NH₄NO₃ and pRONO₂ concentrations. See Sect. S1.1 and Table S3 for details and a summary of all field campaigns for which data is used within this manuscript. Figure 2 shows frequency distributions of $R_{\rm ambient}$ for ambient aerosol from two aircraftbased remote continental (SEAC⁴RS, DC3) and two ground-based forest campaigns (SOAS, BEACHON-RoMBAS). The data is shown as the calibration $R_{\rm NH4NO3}$ divided by $R_{\rm ambient}$, so that all data is comparable.
- 315 For all campaigns, the large majority of the data fall between the R_{NH4NO3} (1 on Fig. 2, indicating all NH₄NO₃) and the *RoR*-determined R_{pRONO2} (2.75 on Fig. 2, indicating all pRONO₂). The small fraction of data outside that range may be due to a combination of instrument noise, drifts in the instrument NO_x⁺ ratio response not captured by periodic calibrations, and/or the inability of the fixed *RoR* to perfectly capture the R_{pRONO2} response. However, these results show that under a large range of chemical conditions
- 320 and instrument R_{NH4NO3} (spanning a factor of 2.4 for these campaign averages), the data are generally consistent with the *RoR* apportionment model. Figure S6 shows the same distributions as Fig. 2, except as simple frequency distributions, rather than weighted by mass concentration as in Fig. 2. The broadening and shift to the right for simple frequency distributions (compared to those weighted by mass concentration), reflect the typical trend that pRONO₂ tends to constitute higher fractions of pNO₃ when
- 325 pNO₃ is lower. Distributions are similar for other campaigns (not shown in Figs. 2, <u>S6</u>), as can be inferred from Figs. 5 and <u>S9</u>, which are discussed below.

The effects of estimating R_{pRONO2} using time-variant vs constant R_{NH4NO3} is explored in Fig. S7. For the SEAC⁴RS campaign, the flight-to-flight calibration R_{NH4NO3} were highly variable due to some instrument instability (range: 0.40–1.49, mean±stdev: 0.80±0.31; Figs. S8, S9e), compared to the very

- 330 stable ratios measured during the other campaigns (see Fig. 2 caption). Therefore, two histograms are shown overlaid in Fig. S7, one normalized to flight-dependent calibration $R_{\rm NH4NO3}$ and the other normalized to the campaign-averaged $R_{\rm NH4NO3}$. For the standard frequency distributions (Fig. S7a), there is substantial narrowing when using the flight-dependent ratios, indicating that application of the timevariant ratios provides better constraints on the instrument response to the NH4NO3 — pRONO₂ mixture.
- Conversely, normalizing to arbitrary *R*_{NH4NO3} would be expected to broaden the distribution. The most prominent differences for the mass concentration-weighted distributions (Fig. S7b) are largely due to data with high NH₄NO₃ concentrations where the measured *R*_{ambient} were beyond the campaign-averaged *R*_{NH4NO3} (resulting in a substantial fraction of the distribution <1). There is also subtle broadening toward the pRONO₂ portion of the distribution. These comparisons support that using the variable calibration
 *R*_{NH4NO3} better represents ambient NH₄NO₃ ratios (left side of plots) and tying *R*_{pRONO2} to *R*_{NH4NO3} (i.e.
- using the *RoR* method, rather than fixed R_{pRONO2}) better represents pRONO₂ ratios (right side of plot).

Additional support for the practice of using the measured calibration R_{NH4NO3} and anchoring the R_{pRONO2} to those calibrations with a fixed *RoR* can be drawn from the R_{ambient} vs pNO₃ plots shown in Fig. S9a/b. Five studies shown in those figures had relatively constant (within each campaign), but differing
(among campaigns; factor of 3.2 range), calibration R_{NH4NO3} (SOAR, MILAGRO, SOAS, BEACHON-RoMBAS, KORUS-AQ; 0.47, 0.84, 0.44, 0.30, 0.97, respectively). However, as pNO₃ increases for the urban-influenced studies (SOAR, MILAGRO, SOAS, KORUS-AQ) or for the oxidation flow reactor (OFR) measurements during SOAS (Fig. S9c), R_{ambient} tends to approximately converge at the calibration R_{NH4NO3}. This suggests that NH₄NO₃ in mixed ambient aerosol is well-represented by offline-calibrations

350 for a range of conditions and instruments. Additionally, the corresponding average ratios at the lowest

pNO₃ concentration (same 5 studies in Fig. S9a/b) converge at a similar range of ratios (0.26, 0.52, 0.15, 0.10, 0.40, respectively; range of 4.0). If assuming that the low-pNO₃ observed R_{ambient} approximate pure pRONO₂ ratios, a relatively narrower range is computed for an inferred *RoR* (1.6–3.0, factor of 1.9; 2.36±0.63), which is also similar to expected *RoRs* (albeit low possibly due to urban ground studies never sampling pure pRONO₂).

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Further evidence supporting the use of calibration $R_{\rm NH4NO3}$ and the *RoR* using ambient data is presented in <u>Sect. S2</u> using campaign datasets where the calibration $R_{\rm NH4NO3}$ showed large variability (DAURE, SEAC⁴RS campaigns). Exploration of the NO_x⁺ ratios vs pNO₃ relationships showed similar relationships to those discussed above for campaigns where $R_{\rm NH4NO3}$ was constant or changed little, but with the curves shifting with the measured $R_{\rm NH4NO3}$. Similar values of *RoR* to those presented in the literature survey in <u>Sect. 3</u> were also inferred from the SEAC⁴RS dataset. Finally, both datasets were used to evaluate biases when using a fixed value of $R_{\rm pRONO2}$ vs estimation of a dynamic value using the *RoR* method. Additional evidence from ambient measurements supporting use of calibration $R_{\rm NH4NO3}$ and the *RoR* is presented in <u>Sect. 5.2</u> where applications of PMF separation are discussed.

365 5 Demonstrations of *RoR* apportionment and comparisons to other measurements/methods

5.1 pRONO₂ - NH₄NO₃ separation compared to total (gas+particle) RONO₂ (Tot-RONO₂)

Figure 3 shows time series of AMS pRONO₂ and NH₄NO₃ concentrations for a SEAC⁴RS flight (RF16) in the Southeast US. The nitrate components were apportioned according to Eqs. 2/3 and a *RoR* of 2.75. "Total RONO₂" (gas+particle; hereafter Tot-RONO₂) concentrations, as measured by thermal dissociation

- 370 laser induced fluorescence (TD-LIF) (Day et al., 2002; Perring et al., 2009), are shown for comparison. A wide range of sources were sampled including (and indicated by) biogenic (monoterpenes and/or isoprene and photochemical products such as IEPOX, MVK), anthropogenic (e.g., NO_x, NO_y, aromatics), biomass burning (e.g., acetonitrile and f_{60} , an AMS tracer (Cubison et al., 2011)), likely agricultural, as well as mixtures of these sources or relatively clean free tropospheric air. Flight tracks are shown in Fig.
- 375 <u>S10</u> and approximate periods and corresponding source influences are listed in the caption. A large and variable range of pNO₃ was observed (<10 ng m⁻³ or <4 ppt up to ~5 μ g m⁻³ or ~1800 ppt) and ranging from pRONO₂-dominated to NH₄NO₃-dominated. The pRONO₂ and Tot-RONO₂ tracked remarkably closely. NH₄NO₃ concentrations exhibited more plume-like behavior, rapidly increasing and decreasing, often while both pRONO₂ and Tot-RONO₂ remained relatively constant or in some cases showed
- 380 moderate and similar increases. Overall, pRONO₂ was correlated with Tot-RONO₂ ($R^2=0.49$ for all data, $R^2=0.69$ for data with $f_{pRONO2} > 0.3$) with a regression slope of 0.029 (0.033), indicating that on average ~3% of RONO₂ was in the particle phase (Fig. 3, bottom left). NH₄NO₃ showed little overall relationship to Tot-RONO₂ beyond the trend that at higher altitudes, well above the boundary layer and outside of plumes, both concentrations tended to be low (Fig. 3, top and bottom right). Note that the reference
- 385 $R_{\rm NH4NO3}$ in the particle nitrate apportionment here (in Eqs. 2/3) was 0.70 which was based on the measured calibration $R_{\rm NH4NO3}$ and PMF results (see Sect. 5.2 just below). Measured $R_{\rm NH4NO3}$ during calibrations in days bracketing this flight were 0.96 (2 days before) and 0.71 (1 day after). PMF results support a value of 0.70 (see Sect. 5.2.2), which was used here since it was similar to the nearest calibrations and provides an additional constraint on the otherwise variable calibration $R_{\rm NH4NO3}$
- 390 characteristic of this campaign (see Sect. 4). Using a higher R_{NH4NO3} increases the pRONO₂ vs Tot-RONO₂ slope in Fig 4 (bottom left) and can improve the correlation a bit (mainly by moving the low values at low f_{pRONO2} toward the regression line).

Taken together, these observations indicate that the AMS nitrate apportionment method effectively separated pRONO₃ and NH₄NO₃ over a large range of concentrations, relative contributions, and source

- 395 influences. However, it is clear that there are limitations when the f_{pRONO2} is very low (see Sect. 5.2). It would not be surprising if the pRONO₂ and Tot-RONO₂ showed large variability in relative ratios for different sources and locations, since: 1) pRONO₂ is only a small subset of Tot-RONO₂ and 2) changes in chemical composition and ambient conditions (e.g., OA concentration, temperature) could have large impacts on gas-particle partitioning. However, in this case those effects do not appear to be large factors
- 400 (or fortuitously cancel out), which in part may be due to relatively similar temperatures and OA concentrations combined with regionally consistent biogenic chemical sources of RONO₂ compounds. Regardless of the exact reasons for the relatively invariant partitioning, it provides an excellent test case, since it would be very unlikely that the strong temporal/spatial correlation would be observed if there were major artifacts in either or both the AMS and TD-LIF methods.
- 405 There were no measurements of inorganic nitrate onboard the aircraft with fast enough time resolution to compare with the rapidly changing NH₄NO₃ concentrations calculated from the AMS. Therefore, as a rough indicator of possible changes in the NH₄ related to NH₄NO₃, "Excess NH₄" was calculated as the AMS-measured NH₄ - 1.2 x SO₄ (as molar concentrations). A molar ratio of 1.2 was roughly consistent with the observed ratio when no indications of NH₄NO₃ were present (NH₄=1.2 x SO₄)
- 410 and substantial concentrations of SO₄ were present, as shown in Fig. S11. That ratio represents a mixture of $(NH_4)_2SO_4$ and ammonium bisulfate or an ammonium balance (NH_4_Bal) of ~0.7 $(NH_4_Bal = molar ratio of NH_4/(NO_3+2SO_4))$. During periods of elevated NH₄NO₃ concentrations, the measured NH₄NO₃ tracked the estimated "Excess NH₄" very closely with roughly half the concentration (Fig. S11). As suggested by some negative "Excess NH₄" values and the factor of two between NH₄NO₃ and "Excess NH₄", the
- 415 assumption of constant NH₄/SO₄ ratios based on composition in the absence of NH₄NO₃ is not always valid (and not surprising) and clearly a more sophisticated thermodynamic model would be required to accurately predict NH₄NO₃ concentrations. Nonetheless, the similar features suggest the assignment of NH₄NO₃ is consistent with variations in the other AMS-measured inorganic compounds. The factor of two suggests that ~half of the "Excess NH₄" was associated with sulfate and half with nitrate. During this
- flight, with the exception of the large biomass burning plume, the elevated NH₄NO₃ concentrations were observed when the aircraft flew at altitudes of ~2000–4000 m and never during the low-altitude (~300–400 m) legs (S20 bottom left/middle). This effect may have been due to the substantially cooler temperatures (0–15°C vs 25–30°C) at those altitudes, favoring partitioning to the particle-phase, since there did not appear to be any clear relationship between NH₄NO₃ and gas-phase HNO₃ (Fig. S11, bottom right). Increases in available NH₃ gas (not measured) could also be a factor (and consistent with both
- 425 right). Increases in available NH₃ gas (not measured) could also be a factor (and consistent with both more sulfate- and nitrate-associated ammonium).

Another example for a different flight (RF18) during the SEAC⁴RS aircraft campaign is shown in Fig. S12, and was also selected due to large relative and absolute variability in calculated pRONO₂ and NH₄NO₃ concentrations and diverse source types sampled (see Fig. S13 for flight track and description).
Similarly, the pRONO₂ and Tot-RONO₂ track remarkably well during periods when NH₄NO₃ concentrations are low or elevated and variable, and there is little correlation between NH₄NO₃ and Tot-RONO₂. Overall, pRONO₂ was correlated with Tot-RONO₂ (R²=0.51 for all data, R²=0.71 for data with *f*_{pRONO2}>0.3) with a regression slope of 0.050 (0.068), indicating that on average ~5–7% of RONO₂ was in the particle phase (Fig. S12a, bottom left). The measured NH₄NO₃ tracked the estimated "Excess NH₄"

435 reasonably well and showing similar sharp features (and roughly half the concentration; Fig. S12b, top).

In contrast to RF16 discussed above, for RF18 most of the elevated NH₄NO₃ was observed in the warm boundary layer and often coincident with elevated pRONO₂ (Fig. S12a,b).

5.2 Positive Matrix Factorization separation of AMS nitrate

5.2.1 Prior studies using PMF for pRONO₂ separation

For the vast majority of analyses of AMS data using PMF, only traditional OA ions have been included in the input data matrices. Ions typically associated with nitrate, sulfate, ammonium, and chloride have generally been excluded, with the mindset that they are already separated as unambiguous inorganic species using the standard AMS analyses. However, since organic molecules (e.g., organic nitrates, organosulfates, reduced organic nitrogen) can in fact produce some of the same ions as those inorganic species, inclusion with the OA ions in PMF analysis may allow for separation of inorganic and organic components, as well help identify associations with more well-established source factors.

A few studies have reported results for using PMF of ambient AMS spectra including both the OA and NO_x^+ signals to quantify pRONO₂ (and sometimes NH₄NO₃), with mixed results (Sun et al., 2012; Hao et al., 2014; Xu et al., 2015a, 2021; Zhang et al., 2016; Kortelainen et al., 2017; Yu et al., 2019; Zhu

et al., 2021). Additionally, a couple other studies have reported results where NO_x⁺ ions or calculated pRONO₂ (using the NO_x⁺ ratio method) are included in PMF analysis, while not explicitly apportioning the inorganic-organic nitrate directly with the PMF results in the laboratory (Tiitta et al., 2016) and field (Kim et al., 2018; Reyes-Villegas et al., 2018). Lin et al. (2021) conducted PMF using only the NO_x⁺ ions and nitro-polycyclic aromatic hydrocarbon (NPAH) ions. Details and discussions of those studies are

455 presented in <u>Sect. S3</u> and key results are summarized in <u>Table S4</u>, as related to the PMF analyses.

5.2.2 New results for PMF separation of pRONO₂ and comparison to RoR method

We conducted PMF on the combined OA and NO_x^+ ion time series for the same two flights from the SEAC⁴RS campaign (as discussed above in <u>Sect. 5.1</u>; RF16, RF18) to test PMF separation of nitrates and the information it can provide, explore strategies, and compare to the *RoR* method. Details and an extended discussion of that analysis is documented in Sect. S4 and key results are summarized in Table

<u>S4</u> alongside previous published analyses. A brief summary is provided here.

As discussed in <u>Sect. 5.1</u>, those two flights included sampling of a wide range of source types and concentrations. PMF was conducted initially on 1-s data; however, although robust overall factors were separated, results suggested that the S/N was not adequate to apportion the NO_x^+ ions to secondary factors

- 465 at ratios that reflected pRONO₂ ratios. Therefore, all analyses discussed here are from 1-min measurements (which were more effective). Several strategies were used to explore the separation of OA, nitrate, and the NO_x⁺ ratios (in separate and combined factors), including: number of factors, rotations (varying FPEAK), upweighting and downweighting NO_x⁺ ions, bootstrapping, seeding, constraining NO_x⁺ ratios, and removing large biomass burning plumes. For both flights, five factors were robustly separated:
- NH4NO3, BBOA (biomass burning OA), IEPOX-SOA (IEPOX-derived SOA), LO-OOA (less-oxidized oxygenated OA), and MO-OOA (more-oxidized OOA) (Figs. <u>\$14-\$28</u>). See the Glossary and Sects.
 <u>\$33/\$4</u> for more details on factor types. Generally, the best separations with the most information were for FPEAK at or near 0, using standard NOx⁺ ion S/N (no downweighting/upweighting), not constraining NOx⁺ ratios, not removing any plume data, and using bootstrapping to extract averages and assess
- 475 uncertainty/robustness.

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The NH₄NO₃ factors and the BBOA factors had very similar NO_x⁺ ratios that were consistent with calibration $R_{\rm NH4NO3}$, with little variability across the 100 bootstrapping runs (Figs. <u>S17</u>, <u>S25</u>). While the apportionment of nitrate between the NH₄NO₃ and BBOA factors was very consistent across bootstrapping runs, changes in FPEAK had large effects on that relative apportionment as well as the

- 480 amount of OA ions in the NH₄NO₃ factor spectrum. For the OOA/SOA factors (IEPOX-SOA, LO-OOA, and MO-OOA) the NO_x⁺ ratios for LO-OOA and the combination of all three factors were consistent with expected pRONO₂ NO_x⁺ ratios using the *RoR* (Figs. <u>\$17, \$25</u>). Across bootstrapping runs, there was modest variability for those ratios (Figs. <u>\$17, \$25</u>), including some solutions where the LO-OOA had only NO⁺ (but not for the combined OAA/SOA factor). The averages and standard deviations of the NO_x⁺
 485 ratios for the combined OOA/SOA factor are included in the survey of pRONO₂ *RoRs* (Fig. 1, Table S1). For calculation of NH₄NO₃ and pRONO₂ concentrations, the nitrate contributions from the NH₄NO₃ and BBOA factors were summed as were the three OOA/SOA factors, respectively. The majority of the pRONO₂ was contributed by the LO-OOA factor, followed by MO-OOA and then IEPOX-SOA (Figs. <u>\$18, \$27</u>). The variability in the factor spectra NO_x⁺ ratios and nitrate concentration apportionment across
- 490 bootstrapping tended to follow the same trend (higher variability for factors with lower pRONO₂ contribution; e.g., Figs. <u>S17</u>, <u>S18a</u>, <u>S25</u>, <u>S27</u>). Additionally, substantial trends were observed between factor spectra NO_x^+ ratios and the amount of nitrate apportioned to that factor for some OOA/SOA factors. Bootstrapping and exploration of FPEAK was useful to investigate those dependencies.
- Comparisons of NH₄NO₃ and pRONO₂ concentrations using the *RoR* and PMF methods are shown
 for each flight in Figs. <u>4</u> and <u>S12a</u> as time series and scatter plots. For both flights there is very good agreement (near unity slope, 0.99–1.04, and R²>0.99) between methods for NH₄NO₃, certainly in part due to the dominance of NH₄NO₃ during higher concentrations periods. There is reasonable agreement for pRONO₂ (slopes of 0.86–1.50, R² of 0.51–0.65 depending of the flight and fitting method; and improved to slopes of 1.04–1.42, R² of 0.68–0.84 for *f*_{pRONO2}>0.3) but with notable differences. pRONO₂
 concentrations tended to be noisier for the *RoR* method compared to the PMF method when nitrate was dominated by NH₄NO₃ or when pNO₃ was very low. This may be due to the additional S/N and
- constraints that the inclusion of the other OA ions provide, as well as the sensitivity (for both precision and accuracy) of apportionment for the *RoR* method when ratios approach the *R*_{NH4NO3} limit. On the other hand, the PMF method may dampen some real variability due to the fact that the factor spectra are fixed
 and cannot chemically evolve in the PMF model. In order to assess the true accuracy of either method, an independent and reliable determination of pRONO₂ would be required. Finally, the comparison between the PMF-determined pRONO₂ and the TD-LIF Tot-RONO₂ showed substantially-improved correlation (compared to using the *RoR* method) for one of the two flights (Fig. 4 vs 3).

5.2.3 Summary of PMF method for nitrate separation

- 510 The results from our investigation of PMF and analyses described in the literature summarized above highlight some general aspects, as well as some potential advantages and disadvantages of using PMF to apportion nitrate between organic and inorganic. One major potential advantage is that with PMF, the nitrates can be immediately associated with different source factors. On the other hand, the NO_x^+ ratio method can be used first and then correlations of nitrates with OA-only factors can be explored and even
- 515 apportioned. PMF may provide additional resolving power and S/N by inclusion of associated OA ions, potentially more precisely separating nitrate concentrations, especially when either pRONO₂ or NH₄NO₃ dominate the nitrate. Also, prior knowledge of the NO_x^+ ratio for NH₄NO₃ (or pRONO₂) may not be necessary if the ratios are robustly resolved with PMF. Additionally, the NO_x⁺ ratios resolved for PMF

factors is a product for exploring ratios for ambient aerosol response, and validating application of offline calibration R_{NH4NO3} and RoRs derived largely from laboratory studies. PMF may also be useful in separating other species that produce NO_x^+ ions (e.g. nitrites, nitro-organics, mineral nitrates), from just NH₄NO₃ and pRONO₂, when they are present and have a unique NO_x⁺ ratio.

Some potential drawbacks or cautionary aspects are as follows. Since the PMF model requires fixed profile spectra, this means that nitrate-to-OA ratios are fixed for each factor. Therefore, if this ratio is in fact substantially variable over the period/space of analysis, for example driven by processes such as pRONO₂ hydrolysis or gas-particle partitioning, substantial biases or uncertainties in nitrate apportionment can be introduced. While consideration of additional factors could help mitigate such effects, PMF is not designed to concisely separate profiles that are a continuum. Sometimes factors with clear NH₄NO₃ or pRONO₂ NO_x⁺ ratio signatures are not resolved. We suspect that datasets where neither type of nitrate is dominant for some periods may be more susceptible to that issue; however, those issues may sometimes be resolvable with more extensive investigation with available PMF exploration tools (e.g., seeding, bootstrapping, FPEAK, constraining a NH₄NO₃ factor from offline calibrations). Otherwise, apportioning nitrate using results with profile spectra that do not have clear nitrate signatures may introduce large uncertainties which are difficult to estimate. Variable NO_x⁺ ratios due to instrument

535 drifts or changes (e.g., vaporizer bias voltage drifts or tuning) may lead to uncertainty in nitrate apportionment since PMF computes fixed factor spectra. In practice, for using the NO_x^+ ratio method this is not problematic, as long as regular offline NH_4NO_3 calibrations were performed. For PMF, separating the dataset into periods where the NO_x^+ ratio was stable/constant and performing PMF separately for each period is one option to mitigate instrument drift issues; however, this can be very laborious if the dataset

540 requires separate analysis of multiple periods. Another option may be to apply the "rolling method" recently made available with ME-2/SoFi, where a sub-window is moved across the PMF input along the time coordinate, allowing factor profiles to vary with each sub-window shift (Canonaco et al., 2021). Theoretically, offline calibration ratios of NH₄NO₃ may not be necessary for such application, although they would be preferable to have for validation.

A few other notable trends and observations are as follows (with details provided in Sect. S3, S4). PMF-resolved pRONO₂ often tends to have the largest contribution from (and association with) LO-OOA/SV-OOA, followed by MO-OOA/LV-OOA, especially for biogenically-influenced locations (Sun et al., 2012; Hao et al., 2014; Xu et al., 2015a; Zhang et al., 2016; Kortelainen et al., 2017; Yu et al., 2019; Sect. S3, Table S4). That is consistent with pRONO₂ forming in fresh SOA (i.e. LO-OOA/SV-

- 550 OOA) and being partly lost as the OA ages and/or MO-OOA/LV-OOA consisting of a mix of aged OA, some of which was not associated with pRONO₂. Nitrate associated with aged ambient BBOA can be dominated by NH₄NO₃ (shown with aircraft data with PMF in this study, and discussed more broadly in Nault et al. (2021)); however, primary and secondary pRONO₂ (or other oxidized organic nitrogen) associated with BBOA emission has been reported in the laboratory and field, sometimes as large
- 555 contributions (Tiitta et al., 2016; Reyes-Villegas et al., 2018; McClure et al., 2020; Lin et al., 2021). When NH₄NO₃ factors are resolved, they tend to contain substantial contributions (~15–80%) of OA (non-NO_x⁺) ions (Sun et al., 2012; Hao et al., 2014; Xu et al., 2015a; Zhang et al., 2016; Kortelainen et al., 2017). Generally, those non-NO_x⁺ contributions seem to be higher for strongly biogenicallyinfluenced measurements and less so during cooler wintertime periods when NH₄NO₃ comprises a larger
- 560 fraction of nitrates (Xu et al., 2015a; this study). Our experience through exploration of various approaches (e.g., upweighting the NO_x^+ ions, increasingly positive FPEAK, increasing number of factors) suggests that efforts at "cleaning" the NH_4NO_3 factor tends to be ineffective and/or lead to degradation of

the overall PMF solutions. Since the OA contained in the NH_4NO_3 tends to not be a large overall fraction of the OA, this does not appear to be a major issue. Finally, evidence suggests that inclusion of NO_x^+ ions

- 565 in PMF does not tend to have much influence on overall OA-dominated factors (factor spectra nor concentration time series), which is not surprising given that their overall contribution to the S/N among the many OA ions is fairly small. Consequently, there does not appear to be any drawbacks or complications associated with also including NO_x⁺ ions when running PMF on AMS data.
- Overall, PMF appears to be a useful tool for apportioning nitrates and investigating their associations with sources. The case for quantitative apportionment of nitrate with PMF is strongly bolstered when the NO_x^+ ratios resolved for both the NH₄NO₃ factor and separate or combined pRONO₂-associated factors are similar to NH₄NO₃ calibration and expected pRONO₂ NO_x⁺ ratios. When those criteria are not met, using the NO_x⁺ ratio method may be better, as it is likely less prone to such biases or ambiguities, and uncertainties can be better defined.

575 5.3 Comparison of pRONO₂ quantification with AMS and other instruments in the lab and field

Several studies have reported quantitative comparisons of pRONO₂ concentrations, as measured by AMS vs other instrumental methods (alternate AMS-based methods, FTIR, TD-(LIF/CRDS/CAPS), and FIGAERO-CIMS). <u>Section S5</u> provides details and discussions and <u>Table S5</u> presents a summary of key aspects of those comparisons. Overall, those comparisons show good agreement in most cases (1:1 within

- 580 known uncertainties) and substantial differences in a few cases (factors up to 2–4). In some of the cases where substantial differences were observed, possible explanations were discussed and sometimes explored. There do not appear to be any consistent reasons for the differences. In some of the field comparisons and all of the laboratory experiments, the nitrate sampled was dominated by (or exclusively) pRONO₂, and thus largely serve as a test of pRONO₂ quantification (general calibration/quantification)
- 585 factors, RIE, collection efficiency, etc.). Consequently, taken together the evidence available does not support use of an RIE for pRONO₂ quantification with AMS that is significantly different from that measured for (and regularly calibrated with) NH₄NO₃. In order to narrow the uncertainties in pRONO₂ quantification (in the field and laboratory), controlled laboratory-based intercomparisons of total and speciated organic nitrates using AMS and other methods are needed.

590 6 Physical basis for NO_x⁺ ratios observed for nitrate types and variability among instruments

As Farmer et al. (2010) points out, it is probable that a large fraction of RONO₂ molecules thermally decompose to RO and NO₂ at the AMS vaporizer after which NO₂ gas is ionized. For example, the TD-LIF technique (and CRDS/CAPS equivalent methods) rely on quantitative thermal dissociation of RONO₂ to NO₂ in the gas phase, which occurs at ~350 °C in ~50 ms at near ambient pressures (Day et al., 2002).

- 595 The timescale of evaporation/decomposition/ionization/detection for the AMS are on order tens of μs (Drewnick et al., 2015; Jimenez et al., 2016); however, at 600°C the dissociation rate coefficient for pRONO₂ is ~4 orders of magnitude larger (compared to 350 °C). That said, it is not clear what the pressures or temperatures of the gases are in the evaporation plume. Nevertheless, Farmer et al. note that thermal decomposition of pRONO₂ to NO₂ in the AMS would be consistent with the higher NO⁺/NO₂⁺
- 600 ratios observed for pRONO₂ than NH₄NO₃. Their reasoning is that reported ratios of NO₂ gas ionization (3.0) are substantially higher than those reported for HNO₃ (0.5) gas as well as their measurements of particle-phase NH₄NO₃. Using the simplest assumption that only NO₂ (from RONO₂ thermal decomposition) and HNO₃ (from NH₄NO₃ evaporation) are ionized would yield a *RoR* of 6, which is double that observed. Moreover, fixed values would be expected for pRONO₂ and NH₄NO₃ rather than

- 605 the observed range of ~4. Clearly, the behavior is more complicated than this simple model. Given that mass discrimination (ion transmission or detector efficiency differences) for the m/z range of the NO⁺ and NO₂⁺ ions is expected to be minor for the AMS (Hu et al., 2017b), the values and variability in NO_x⁺ ratios likely originate in the vaporizer and/or ionizer region. As discussed in Hu et al. (2017b), the values and range of NO_x⁺ ratios observed for NH₄NO₃ (combined with other observations) are consistent with EI
- 610 from a combination of HNO_3 , NO_2 , and NO gases that are formed through thermal decomposition. They show the greatly-enhanced importance of such neutral gas-phase decomposition for measurements where a "capture vaporizer" is substituted for the standard AMS vaporizer. The capture vaporizer has a different geometry (optimized for limiting particle bounce) that results in longer gas-phase residence time near the hot vaporizer surfaces. Consequently, an order of magnitude lower NO_2^+/NO^+ ratio is observed for
- NH₄NO₃ (0.04–0.07), likely due to a shift in ionization toward primarily NO gas. Similar thermal decomposition processes would be expected for RONO₂. However, thermal decomposition to RO and NO₂ may occur much faster and always to near completion, given the thermal instability of the O-NO₂ bond and near absence of C_xH_yO_zN⁺ fragments in AMS pRONO₂ spectra (Farmer et al., 2010). Hu et al. (2017a) report a large reduction in the NO₂⁺/NO⁺ ratios for pRONO₂ when using the capture vaporizer compared to the standard vaporizer (with a pRONO₂ ratio ten times lower than for NH₄NO₃ with the

capture vaporizer).

As shown in Drewnick et al. (2015) and Jimenez et al. (2016), single-particle detection timescales for ions when sampling NH₄NO₃ show a range of a factor of two (and ~25 µs differences), primarily with NO⁺ being longer than NO₂⁺ and NH_x⁺ ions. Those observations are interpreted as evidence for additional processes occurring at longer timescales than flash vaporization at the nominal temperature such as vaporization at lower effective temperatures, slower vaporization or thermal decomposition, and adsorption/desorption from ionizer surfaces. They also showed that the signal-particle detection timescales were insensitive to vaporizer temperatures above 300°C. On the other hand, Hu et al. (2017b) showed a small dependence of the *R*_{NH4NO3} on vaporizer temperature decreasing by 25% from 200°C to
800°C, consistent with more thermal decomposition to NO₂ and NO gases. Other studies have reported no dependence of NO_x⁺ ratios on vaporizer temperature (~200–600°C) for pRONO₂-containing chamber

- dependence of NO_x^{-1} ratios on vaporizer temperature (~200–600°C) for pRONO₂-containing chamber SOA (Fry et al., 2009) or ambient (mixed nitrate) aerosol (Docherty et al., 2015). Overall, these observations point toward the timescales of interaction, and effects of spatial distribution of competing processes, playing a more important role in affecting observed ion ratios, rather than vaporizer
- 635 temperature. In part, this relative insensitivity to vaporizer temperature may be because the physical process of particle vaporization occurs at lower temperature than the nominal vaporizer temperature due to evaporative cooling (Saleh et al., 2017). Another observation that Hu et al. reported for using the capture vaporizer was that the vaporization timescales (based on UMR PToF distributions) for NO⁺ was much longer than for NO₂⁺ for NH₄NO₃, but the reverse for pRONO₂. Such apparent spatiotemporal
- 640 differences in thermal decomposition and ionization could potentially be used as another method for differentiating nitrates. However, low S/N of NO_2^+ , differences in sizes and broader distributions for ambient aerosol nitrates, and the possibility that some of the differences Hu et al. observed were from $CH_2O_x^+$, may seriously limit such approach and would require further evaluation (using HR-PToF).
- A few other evaluations of $R_{\rm NH4NO3}$, described in Hu et al., (2017b) (using the standard vaporizer), showed dependencies of NO_x⁺ ratios of only <20% including varying the location on which particles impact the vaporizer (by horizontally translating the aerodynamic lens position) and varying the vaporizer bias voltage over ranges expected for typical AMS operation. On the other hand, varying the vaporizer bias voltage over a wider range, such as slightly beyond the settings where the aerosol signal peaks and

where the gaseous "airbeam" signal peaks, can result in nearly a factor of two shift in the $R_{\rm NH4NO3}$ (Fig.

- 650 <u>S29</u>). This behavior reflects the ability of the vaporizer bias voltage tuning to preferentially sample ions produced in different regions of the ionizer. It has also been shown for the signals of other ions, such as CO_2^+ (Jayne et al., 2015). While proper tuning of the AMS vaporizer bias voltage typically aims at optimizing the aerosol signal, that may not always be performed by AMS operators and likely in some cases the airbeam signal may be optimized instead (which can be different than the particle signal peak as
- 655 in Fig. S29, although not always). Therefore, variability in this tuning parameter may explain a substantial fraction of the range in NH₄NO₃ (and possibly pRONO₂) NO_x⁺ ratios shown in Fig. 1. Another effect that appears to be able to substantially alter the NO_x⁺ ratios is related to exposure to high concentrations of OA for extended periods, possibly coating the vaporizer (and is possibly related to the "Pieber Effect" where nitrate aerosol produces CO_2^+ signal from interactions at the vaporizer surface), and will be
- discussed in a future publication. Taking all the evidence available at present, the range in NO_x⁺ ratios for NH₄NO₃ and pRONO₂ among instruments, settings, and operating conditions appears to be driven by changes in the amount of chemical decomposition and the overlap of those products with the ionizing electron beam. This aspect highlights the importance of periodic measurement of the NO_x⁺ ratios with a standard (i.e., NH₄NO₃), especially after making significant instrument changes, when quantifying pRONO₂ and NH₄NO₃ with the AMS.

7 Multisite survey of inorganic/organic nitrate fractionation

An overview of the inorganic vs organic nitrate apportionment for all of the campaigns discussed in this manuscript is shown in <u>Fig. 5</u>. The apportionment was conducted using the *RoR* method. The campaigns span: late-winter to summer across the northern hemisphere and wet/dry seasons near the equator; from ground level to the upper troposphere; and urban to remote locations. Overall, the *f*_{pRONO2} shows an inverse relationship with the pNO₃, approaching 100% at low pNO₃, primarily at rural/remote locations. At high pNO₃ and strongly urban-influenced locations, the nitrate is dominantly NH₄NO₃. However, urban and urban-influenced locations can often exceed 50% contributions from pRONO₂, when pNO₃ is lower (<1-2 µg m⁻³). At the urban ground sites (MILAGRO, SOAR), the modulation of the variability in pNO₃ tended to be driven by large increases in NH₄NO₃ from photochemical production of HNO₃ during

- morning to early afternoon, followed by evaporation at higher temperatures during afternoon driving concentrations to minima that were generally sustained through nighttime (Aiken et al., 2009; Docherty et al., 2011). At the rural/remote sites, nitrate is nearly always dominated by pRONO₂ and with low concentrations. At the mid-latitude sites (BEACHON, SOAS), a large contribution to the variability in
- 680 concentrations was attributed to nighttime production of pRONO₂ from BVOC (Fry et al., 2013; Xu et al., 2015b). For the Amazon studies, substantial variability was observed on sub-day and synoptic timescales, especially during the lower-concentration wet season measurements, with episodic elevated inorganic contributions (de Sá et al., 2018, 2019). Thus, variability may have largely been driven by transport changes and large-scale regional processes; however, the factors controlling particle-phase nitrate for
- 685 those studies have not been thoroughly explored. For DAURE, an urban-downwind site with high pNO₃, consistent diurnal patterns were not observed, and pNO₃ variability was likely dominantly driven by variability in transport (Minguillon' et al., 2011; Zhang and Jimenez, 2021).

The aircraft campaigns span the entire range of the urban and rural/remote sites combined, since they include urban and biomass burning sampling, as well as rural/remote and free tropospheric sampling. However, there are notable differences among them and compared to ground-based studies. A major

difference is the shift toward lower f_{pRONO2} or pNO₃ in the intermediate ranges by factors of ~2 or ~10,

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respectively. The large divergence as pNO₃ decreases from ~2 to ~0.2 µg m⁻³ coincides with the range where the aircraft measurements show NH_{4_Bal} transitions from balanced ($NH_{4_Bal} \sim 1$) to a modest deficit in ammonium ($NH_{4_Bal} \sim 0.75-0.9$) (see Fig. S30). Lower NH_{4_Bal} can be indicative of more acidic aerosol

- 695 (Nault et al., 2021; Schueneman et al., 2021), making particle-phase NH₄NO₃ less thermodynamically stable. In comparison, the NH_{4_Bal} for the ground-based urban-influenced studies, (SOAR, MILAGRO, DAURE) were consistently near unity (Aiken et al., 2009; Docherty et al., 2011; this work for DAURE, not shown). However, such effects alone would result in higher f_{pRONO2} in the aircraft studies, not lower as observed, due to sulfate not balanced by ammonium and acidity making ammonium nitrate
- 700 thermodynamically unstable. Therefore, other factors must be at play, such as very different sources being sampled, lower temperatures and higher RH for the aircraft measurements (making NH₄NO₃ more thermodynamically stable; see <u>Sect. 5.1</u>, Fig. S11), dilution shifting the curves, or higher acidity shortening the lifetime of pRONO₂ (such as accelerating hydrolysis). At the lower range of pNO₃ (<0.2 μ g m⁻³) the *f*_{pRONO2} is substantially different following the order KORUS < DC3 < SEAC⁴RS. Considering
- again the *NH*_{4_Bal} (Fig. S30), for SEAC⁴RS the aerosol inorganics are much less balanced by ammonium (*NH*_{4_Bal} ~ 0.08–0.75) compared to DC3 (*NH*_{4_Bal} ~ 0.5–0.8) and KORUS (*NH*_{4_Bal} ~ 0.5–0.9) at the lower pNO₃ range, suggesting a possible role of acidity and NH₃ availability. On the other hand, it does not appear that acidity plays a dominant role in favoring the high *f*_{pRONO2} at the rural/remote ground-based studies, as BEACHON tended to be fully balanced (*NH*_{4_Bal} ≥ 0.9) while SOAS was not (*NH*_{4_Bal} ~ 0.5–710 0.7) (Fry et al., 2013; Hu et al., 2016).

Many different chemical and physicochemical processes interplay to control the concentrations and relative proportions of NH_4NO_3 and $pRONO_2$ in the atmosphere. Fig. 6 shows a schematic of those key processes. The differentiation can be viewed as effectively beginning with the branching of the radical-radical reaction of NO_x with OH vs RO₂ or VOCs (NO+RO₂, NO₂+RC(O)O₂, NO₃+RC=CR') to produce gas-phase HNO₃ vs RONO₂. The relative amount of these pathways can vary widely, in large part

- 715 gas-phase HNO₃ vs RONO₂. The relative amount of these pathways can vary widely, in large part controlled by relative amounts of NO_x concentrations compared to VOC reactivity; the RONO₂ formation pathway can become dominant below modest NO_x concentrations, particularly at biogenically-influenced rural sites (e.g., Browne and Cohen, 2012; Romer, 2018). However, the partitioning of HNO₃ and RONO₂ into the particle phase can depend on numerous factors such as NH₃ availability, RH, temperature,
- 720 particle acidity, RONO₂ volatility, or OA concentrations. Subsequent chemical, photochemical, evaporation, and deposition losses of gas and particle components will also exert controls on concentrations and lifetimes. In large part, the general trend shown in <u>Fig. 5</u>, over more than three orders of magnitude pNO₃, may be driven by the ability of HNO₃ formation in the presence of sufficient NH₃ at increasing pollutions levels (i.e., NO_x) to overwhelm more modest pRONO₂ formation, combined with
- 725 the high volatility of NH₄NO₃ prone to evaporation upon dilution. In contrast, at rural and remote locations, the formation of RONO₂ becomes more favorable, producing pRONO₂ of which a substantial portion is not prone to rapid chemical or evaporative loss, thus dominating widespread background nitrate composition. However, this is a very simplified picture of the complex processes at play and more detailed investigations combining corresponding measurements with modeling to better understand the
- 730 dominant processes controlling the trends shown in Fig. 5 are needed. In a recent study of eleven aircraft campaigns from throughout the globe, Nault et al. (2021) showed overall trends of decreasing pH and NH_{4_Bal} with remoteness (as indicated by decreasing total inorganic PM₁), which was not well-represented in many current models. While there may be some connections between that phenomena and the one shown in Fig. 5 (e.g., via acidity and NH₃ availability), inorganic PM₁ concentration is more closely
- related to remoteness than pNO₃, as it is often dominated by sulfate, which is less chemically reactive and

less volatile than pRONO₂ and NH₄NO₃, and its formation is less coupled to VOC conditions. For a ground-based study in a Chinese megacity during fall, a strong trend of increasing inorganic fraction of pNO₃ with increasing calculated aerosol pH (pH=1.5-3.5) was observed, which was attributed to numerous coincident factors during pollution episodes favoring NH₄NO₃ precursor availability and gas-to-particle partitioning (Chen et al., 2021).

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We note that the data included in Fig. 5 are generally weighted toward warmer periods or regions. Xu et al. (2015a) reported wintertime (within Nov-Feb) measurements of organic and inorganic nitrate at two urban and one rural site in the southeast US. Campaign averages of pNO₃ ranged 0.8–1.4 μ g m⁻³ (with 1 σ variability of ±90–100%) and average f_{pRONO2} was 0–30% across the sites and the apportionment methods considered. pNO₃ and inorganic nitrate showed strong diurnal cycles, peaking mid-morning with minima mid-to-late afternoon. Nitrate apportionment vs pNO₃ was not reported, so it is unclear if similar trends to those in Fig. 5 were present (e.g., if f_{pRONO2} increased during afternoon pNO₃ minima). However, on

- average all three campaigns fell in the chemical coordinate space of the urban-influenced studies shown in Fig. 5. The fact that the rural site was similar to the urban sites may be due to the cooler winter
- temperature (and higher RH) as well as reduced biogenic influences, compared to warm rural studies shown in Fig. 5. A few other studies have shown AMS data as supplementary material, that suggest similar relationships to those in Fig. 5 for individual studies. Those include plots of NO⁺ vs NO₂⁺ ions which appear to have higher ratios of NO⁺/NO₂⁺ at lower signals (Docherty et al., 2015; Zhou et al., 2016) or decreasing NO₂⁺/NO⁺ ratios with decreasing pNO₃ (Kiendler-Scharr et al., 2016). Additionally, a
 recent analysis of three datasets in the North China Plain (urban summer/winter, rural winter), showed a strong decreasing trend in *f*₋RONO2 vs PM₁ during the urban summer measurements and weak trends for the
 - strong decreasing trend in f_{pRONO2} vs PM₁ during the urban summer measurements and weak trends for the wintertime measurements (and lower overall f_{pRONO2}) (Xu et al., 2021). Those observations are generally consistent with the trends with pNO₃ during summer and with seasonality discussed above.

8 Further discussion of the efficacy and support for NO_x⁺ ratio apportionment

From simply inspecting the relationships of f_{pRONO2} and NO_x⁺ ratios vs pNO₃ in Figs. 5 and S9, or the variability of ratios shown in Fig. 2, it could be postulated that such trends could simply be driven by changing pNO₃ concentrations or some other confounding factor such as matrix effects. Thus, here we review several pieces of evidence presented in this manuscript and prior literature that, taken together, provide overwhelming support that the variability of measured *R*_{ambient} between the calibrated *R*_{NH4NO3} and the *RoR*-derived *R*_{pRONO2} values is dominantly controlled by the continuum of inorganic/organic nitrate contributions. We emphasize that this discussion is relevant only to conditions where refractory nitrates (NaNO₃, Ca(NO₃)₂, e.g., from dust or seasalt) or nitrites are not substantial components of the aerosol, since they produce different NO_x⁺ ratios and the apportionment equation becomes underconstrained.

Kiendler-Sharr et al., (2016) present laboratory data of NO_x⁺ ratios for over a range of NH₄NO₃
 concentrations and mixtures (Sect. S1, Fig. S1 in that paper). They conclude that "fragmentation behaviour as a function of mass concentration, composition of the particles and particle size of NH₄NO₃ and mixtures of NH₄NO₃ with (NH₄)₂SO₄ and glutaric acid, were observed to be constant, independent of mass concentration down to 0.1 µg/m³ in the laboratory aerosol". We regularly generate scatterplots of the two NO_x⁺ ions over a range of NH₄NO₃ concentrations recorded during calibrations. This is the typical

775 method we use and recommend for quantifying the $R_{\rm NH4NO3}$ and inspecting for any irregularity in the relationships (such as non-linearity). The insensitivity of $R_{\rm NH4NO3}$ with concentration is a consistent feature. We have systematically explored concentration and matrix effects of NH4NO3 and pRONO2 in the laboratory and with field data and show that under typical ambient conditions, effects, if present, are small. This will be presented as part of a future manuscript exploring the uncertainties of these
 apportionment and quantifications methods. We note that this result contrasts with a similar study that assessed the viability of apportioning inorganic and organic sulfate using H_ySO_x⁺ and SO_x⁺ ion ratios (Schueneman et al., 2021). Strong dependencies on aerosol composition (i.e. acidity and nitrate mass fraction, but generally not OA concentration) were found for those ions, making sulfate apportionment not possible under a substantial fraction of conditions found in the atmosphere.

- 785 Inspection of the NO_x^+ ratios vs pNO₃ shown in Fig. S9a for the three urban field studies shows that ratios generally plateau at R_{NH4NO3} when the nitrate is only ~30% of the bulk aerosol — and thus still dominated by other compounds — supporting that mixing with other complex ambient components does not alter the NO_x^+ ratio produced from NH_4NO_3 . Furthermore, at lower pNO₃, NO_x^+ ratios for all campaigns generally approach expected pRONO₂ ratios. While this certainly does not prove that at the
- 790 lower pNO₃ range, the nitrates are primarily organic, and primarily NH₄NO₃ at the higher pNO₃ range, such consistent behavior would be highly coincidental. We also point to the comparisons of AMS-apportioned pRONO₂ with independent measurements of total RONO₂, shown in Figs. 3, S12a. There is a high level of tracking between the two independent organic nitrate components, while flying through intermittent elevated nitrate plumes, which were sometimes correlated with elevated OA while in other
- 795 cases not (Figs. S11, S12b). This provides strong evidence that the use of NO_x^+ ratios are indeed effectively apportioning nitrate, and changing non-nitrate fractions are not hindering the method. Similarly, the apportioned NH₄NO₃ tracks well with estimates of NH₄ not associated with sulfate for those same aircraft flights (Figs. S11, S12b).

Finally, the exploration of NO_x^+ ratio apportionment with PMF, shows the distinct signature of 800 pRONO₂ NO_x⁺ ratios for secondary OA factors and that of NH₄NO₃ for the other components (Figs. S17, S25). That result would be highly unlikely if the continuum of NO_x⁺ ratios in the total aerosol were dominantly controlled by concentration or matrix artifacts. While this preponderance of evidence strongly supports the effectiveness of this method, further laboratory and field data studies and analyses, including instrument comparisons, should be conducted to better constrain uncertainties and improve the method.

805 9 Conclusions

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We have explored the viability of using the NO_x^+ ion ratios produced in the AMS spectrum from nitrates to separate and quantify NH_4NO_3 and $pRONO_2$ concentrations in ambient aerosols. The use of NH_4NO_3 calibration NO_x^+ ratios and an inferred NO_x^+ ratio for $pRONO_2$ that tracks the NH_4NO_3 ratio ("Ratio-of-Ratios") is investigated and tested. An extensive range of data and approaches are utilized for this investigation including: a diverse collection of ambient field datasets, chamber studies, oxidation flow reactors, pure compounds, comparisons to AMS PMF methods and other $pRONO_2$ or related

measurements, and a compilation of a broad literature survey.

It is shown that the method is robust and effective under typical ambient sampling conditions.
 Methods and practical considerations for calculating concentrations are described. The Ratio-of-Ratios
 815 NO_x⁺ ratio method produced similar results to conducting PMF on the expanded mass spectra series (including both OA and NO_x⁺ ions) to apportion nitrates. While using the PMF method may have advantages of improved signal-to-noise and can provide connections between pRONO₂ and OA sources, it is much more labor-intensive and can lead to substantial biases if not explored and applied carefully.

A broad survey of nitrate apportionment shows a pervasive relationship of increasing (decreasing)
 pRONO₂ relative contributions to nitrate with decreasing (increasing) total nitrate concentrations. Those

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trends generally follow from urban-influenced to rural/remote regions. However, there are some clear differences in those trends between different sampling regions and conditions.

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Previous studies reporting nitrate quantification using AMS NO_x^+ ratios (or PMF using NO_x^+ ions) have employed a range different approaches and assumptions, based on generally limited information. In some instances, likely substantial biases were present and rarely has the accuracy of the results been considered. This investigation will help provide a more consistent, accurate and transparent approach to quantification and exploration of bulk particle-phase nitrates in the atmosphere with AMS (and related instrumentation). Comparisons of this method to other instrumentation capable of quantifying bulk or speciated particle-phase organic nitrates, in the laboratory and field, should be an ongoing focus to help

830 better constrain uncertainties, identify biases, and improve this method (and others).

Data availability

Data from the field campaigns are archived as follows: for the NASA airborne campaigns (DC3, SEAC⁴RS, KORUS-AQ) at <u>https://www-air.larc.nasa.gov/index.html</u> (see "missions"); for SOAS at

- 835 <u>https://data.eol.ucar.edu/project/SAS;</u> for BEACHON-RoMBAS at <u>http://manitou.acom.ucar.edu/#data;</u> for DAURE (and also for AMS data from other ground-based campaigns) at <u>https://sites.google.com/site/amsglobaldatabase;</u> for SOAR at <u>http://cires.colorado.edu/jimenez-group/Field_Data/SOAR_1/SOAR%20data;</u> for MILAGRO at <u>https://www.eol.ucar.edu/field_projects/milagro;</u> and for GoAmazon at
- 840 <u>https://www.arm.gov/research/campaigns/amf2014goamazon</u>. All figures presented in the manuscript and data used to construct them are archived at <u>http://cires1.colorado.edu/jimenez/group_pubs.html</u>. Additional data used for or generated during intermediate stages of the analysis are archived on a data server at the University of Colorado and can be provided upon request by the corresponding authors.

845 Author contributions

DAD, PCJ, and JLJ designed the analysis; DAD, BAN, PCJ, and JLJ wrote the paper; All authors collected and analyzed data; All authors reviewed and provided comments for the paper.

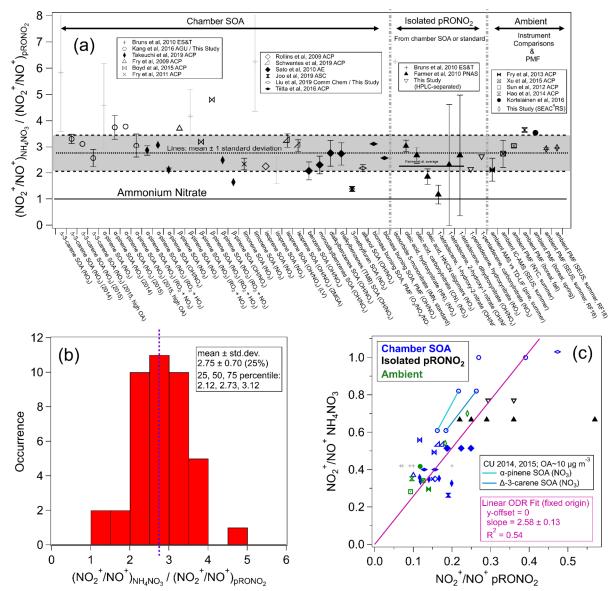
Competing interests

850 The authors declare that they have no conflict of interest.

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Figures



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Figure 1. (a) Survey of "Ratio-of-Ratios" (*RoR*) computed from NO₂⁺/NO⁺ ratios reported for chamber studies, pure organic nitrates, and field observations (using instrument comparisons or PMF separation). The mean (2.75) and standard deviation ($\pm 0.70, \pm 25\%$) are also shown (standard error for n=41: ± 0.11 , $\pm 4.0\%$). The light grey shading ("+" markers) indicates data that were not used in the average here, nor in the fits below (see Table S1 for rationale). Details of the values used to compute the ratios and

- the fits below (see <u>Table S1</u> for rationale). Details of the values used to compute the ratios and uncertainties, data source, and any additional calculations for the information included in <u>Figure 1</u> are provided in <u>Table S1</u>. (b) Histogram and statistics of *RoR*. (c) scatter plot of R_{NH4NO3} vs. R_{pRONO2} . Linear least-squares lines are shown with orthogonal distance regression ODR fit (with intercept constrained through the origin since offsets from unconstrained fits were not significant and for consistency with
- 870 apportionment equation). The data connected by cyan and green lines are averages from experiments conducted in our lab with two different AMSs (with substantially different calibration R_{NH4NO3}) while sampling the same SOA particles produced using the same two precursors mixtures. See Fig. S3 for the equivalent scatter plot, instead using NO⁺/NO₂⁺ ratios and swapping the axes (R_{pRONO2} vs R_{NH4NO3}).

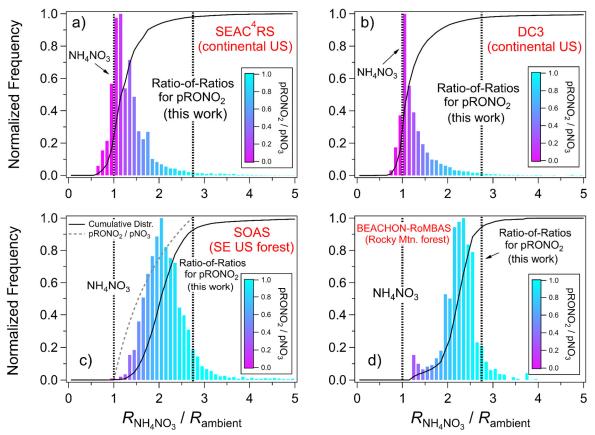
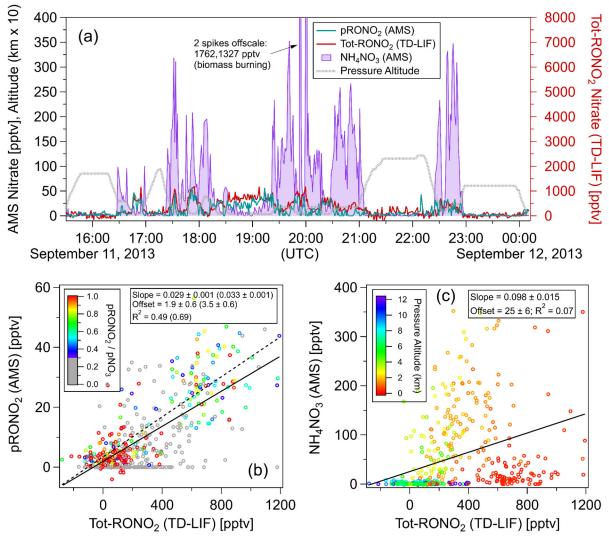
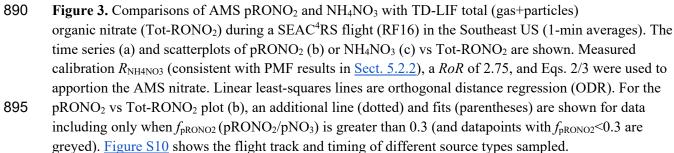
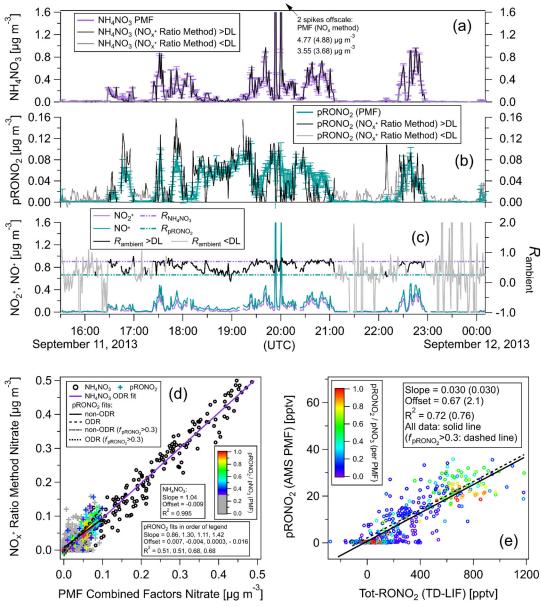


Figure 2. Histograms of ambient NO_x⁺ ratios for aircraft and ground-based campaigns. The data is shown as the calibration *R*_{NH4NO3} divided by *R*_{ambient}, so that all data are on the same reference coordinates. The histograms are weighted by pNO₃ concentration. Cumulative distributions are shown in all plots and an additional curve only on the SOAS panel shows the *f*_{pRONO2} (pRONO₂/pNO₃) for these coordinates (would be identical on all panels). The data used were 1-minute averages and screened for pNO₃ detection limits for the aircraft campaigns (SEAC⁴RS, DC3), and 1-hour averages for the ground-based campaign (SOAS, BEACHON-RoMBAS). Measured *R*_{NH4NO3} for these studies were as follows: SEAC⁴RS (range 0.40–1.49, mean and stdev. 0.80±0.31); DC3 (0.71±0.04); SOAS (0.44±0.02); BEACHON-RoMBAS:

885 (0.295±0.005). See Fig. S6 for equivalent plots where distributions are *not* weighted by mass concentration).







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Figure 4. Comparison of NO_x^+ ratio vs PMF methods for calculation of NH_4NO_3 and pRONO₂ as time series (a-c) and as scatter plots (d) for same flight shown in <u>Fig. 3</u>. Concentration time series calculated using the *RoR* method (as well as the measured NO_x^+ signals and ratios) are shown for all data as well as only when above the *R*_{ambient} detection limit (DL; approximated as when both NO_x^+ ions are above standard AMS detection limits (Drewnick et al., 2009)). (e) : PMF pRONO₂ vs TD-LIF Tot-RONO₂ (equivalent to Fig. 3b, which instead shows pRONO₂ from *RoR* method). pRONO₂ in scatterplots are

colored by the *f*_{pRONO2} (pRONO₂/pNO₃) as computed using the PMF method. Regression line fits/slopes/offsets and correlation coefficients are shown using different fitting methods and criterion as indicated in legends (where "filt" indicates fits where data is limited to *f*_{pRONO2}>0.3). All PMF-derived
 concentrations are averages (and standard deviations) of 100 bootstrapping runs (similar results using seeding runs shown in Fig. S21).

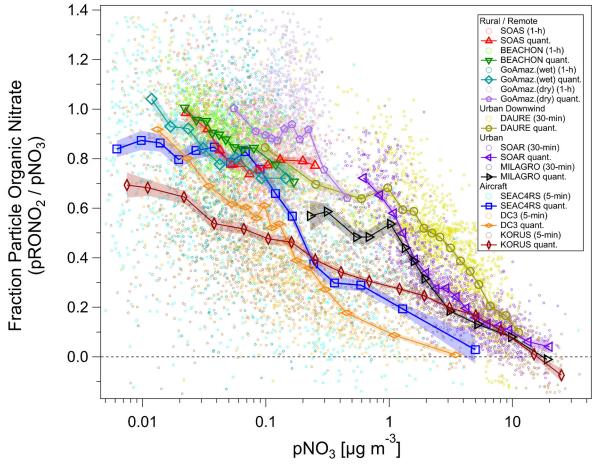


Figure 5. Fraction of total non-refractory submicron nitrate that is organic (f_{pRONO2}) vs. total nitrate concentration (pNO₃) for several ground and aircraft campaigns. Campaigns span: late-winter to summer across the northern hemisphere and wet/dry seasons near the equator; from ground level to the upper troposphere; and urban to remote locations. NO_x⁺ ion signals were first averaged and then data was conservatively screened for detection limits (S/N>1-3) using both NO_x⁺ ions (small circles). Quantile averages (means, 7–15 bins) are also shown for each campaign. Additionally, for all campaigns, one additional average was calculated and included with the quantile averages for the highest 1% (3%) of pNO₃ for urban/aircraft (rural/remote) campaigns in order to extend the pNO₃ by a factor of ~1.3–3

(undersampled chemical regime, but with sufficiently high S/N). The average of the lowest 3% of pNO₃ for the MILAGRO campaign is also included. Shaded swaths indicate the standard error for the quantile averages. Many are no larger than the markers and thus may not be very apparent. See Fig. S31 for a simplified version, showing only binned averages and standard error bars.

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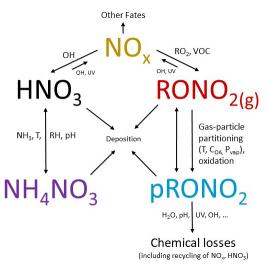


Figure 6. Schematic of key processes controlling particle-phase NH₄NO₃ and pRONO₂.

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