

# Relative errors of derived multi-wavelengths intensive aerosol optical properties using CAPS $PM_{SSA}$ -SSA, Nephelometer, and TAP measurements

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**Abstract.** Aerosol intensive optical properties, including like the Ångström exponents for aerosol light extinction, (EAE), scattering (SAE), and absorption, (AAE), ~~or and~~ the single-scattering albedo (SSA), are indicators for aerosol size-distributions, chemical composition, and radiative behaviour, and ~~particle contain also also contain~~ source ~~information. The observation~~ Derivation of these parameters requires the measurement of aerosol optical properties at multiple wavelengths, which usually ~~implies involves~~ the use of several instruments. Our study aims to quantify the uncertainties ~~of in~~ the determination of ~~multiple wavelengthsthes~~ these intensive properties ~~by using~~ an optical closure approach, ~~using different test aerosols~~. In our laboratory closure study, we measured the full set of ~~aerosol~~ optical properties for a range of light-absorbing ~~aerosols-particles~~ with different properties ~~externally~~; mixed ~~externally~~ with ammonium sulphate to generate aerosols ~~of-with~~ controlled ~~single-scattering albedo~~ SSA values. The investigated ~~aerosol-absorbing particle~~ types were: fresh combustion soot emitted by an inverted flame soot generator (SOOT, fractal ~~aggregatesagglomerates~~), Aquadag (AQ, ~~spherical-shapecompact aggregates~~), Cabot industrial soot (BC, compact ~~agglomerateselusters~~), and an acrylic paint (Magic Black, ~~MBshape unknown~~). ~~One focus was on the validity of the Differential Method (DM: absorption = extinction minus scattering) for the determination of Ångström exponents for different particle loads and mixtures of a light absorbing aerosol with ammonium sulphate, in comparison to data obtained from single instruments.~~ The instruments used in this study were two ~~Cavity Attenuated Phase Shift Single Scattering Albedo monitors CAPS-PM<sub>ssa</sub>~~ (CAPS  $PM_{SSA}$  ~~Cavity Attenuated Phase Shift Single Scattering Albedo~~,  $\lambda = 450, 630$  nm) for ~~measuring~~ light extinction and scattering coefficients, one Integrating Nephelometer ( $\lambda = 450, 550, 700$  nm) for ~~the~~ light scattering ~~coefficientcoefficients~~, and one Tricolour Absorption Photometer (TAP,  $\lambda = 467, 528, 652$  nm) for filter-based light absorption ~~coefficientcoefficients measurement~~.

~~Our One~~ key finding is that the coefficients of light absorption- $\sigma_{ap}$ , scattering- $\sigma_{sp}$  and extinction- $\sigma_{ep}$  ~~derived from combing the measurements of two independent instruments from the Differential Method~~ agree with ~~data measurements~~ from single ~~reference~~ instruments, ~~and~~ the slopes of regression lines ~~are equal unity~~ within the ~~precision error reported uncertainties (i.e., closure is observed). Despite closure for measured absorption coefficients, we caution that the estimated uncertainties for absorption coefficients, propagated for the Differential Method (DM: absorption = extinction minus scattering), can exceed 100% for atmospheric relevant SSA values (>0.9). This~~

increasing estimated uncertainty with increasing SSA yields AAE values that may be too uncertain for measurements in the range of atmospheric aerosol loadings. We recommend using DM for measuring AAE values when the SSA < 0.9. We found, however, that the precision error for the DM exceeds 100% for  $\sigma_{ap}$  values lower than 10–20  $Mm^{-1}$  for atmospheric relevant single scattering albedo. This increasing uncertainty with decreasing  $\sigma_{ap}$  yields an absorption Ångström exponent (AAE) that is too uncertain/ambiguous for measurements in the range of atmospheric aerosol loadings. We recommend using DM only for measuring AAE values for  $\sigma_{ap} > 50 Mm^{-1}$ . Ångström exponents for scattering and extinction are reliable. EAE and SAE derived values achieved closure during this study within stated uncertainties for extinction coefficients greater than 15 from 20 up to 1000  $Mm^{-1}$ , and stay within 10% deviation from reference instruments, regardless of the chosen method. Single scattering albedo (SSA) values for 450 nm and 630 nm wavelengths internally agreed with each other within values from the reference method  $\sigma_{sp}$  (NEPH)/ $\sigma_{ep}$  (CAPS  $PM_{SSA}$ ) with less than 10% uncertainty for all instrument combinations and sampled aerosol types which fulfill the defined goals for measurement uncertainty of 10% proposed by Laj et al., 2020 for GCOS (Global Climate Observing System) applications.

## 1. Introduction

The precise determination of aerosol optical properties is crucial for the provision of reliable input data for chemistry transport models, climate models, and radiative forcing calculations (Myhre et al., 2013). This applies, in particular, to light-absorbing particles like black carbon (Petzold et al., 2013), which are produced by incomplete combustion processes and absorb visible light very efficiently. Aerosol light absorbing properties are also relevant for source appointment studies and the determination of anthropogenic influences on the atmospheric aerosols (Sandradewi et al., 2008). There are two common methods to generate aerosol light absorption data-values for long-term and short-term measurements/monitoring, each with its own disadvantages. One method is a filter-based technique, which operates by deriving light absorbing values from the attenuation of light through particle-loaded filter/filters (Rosen et al., 1978). A disadvantage of all filter-based methods is linked to effects-artifacts like multiple scattering inside the filter matrix, shadowing of light-absorbing particles in highly loaded filters, and humidity effects on the filter substrate (Lack, 2008; Moosmüller et al., 2009). Widely deployed filter-based light absorption measurement methods/instruments are include the Particle Soot Absorption Photometer (PSAP: Bond et al., 1999), and its further development, the Tri-colour Absorption Photometer (TAP), which has a similar design to the CLAP (Continuous Light Absorption Photometer) (TAP/CLAP; Ogren et al., 2017), the Aethalometer (Hansen et al., 1984), and the Multi-Angle Absorption Photometer (MAAP) (Petzold et al., 2005). The PSAP, TAP, CLAP, and TAP/Aethalometer share their measurement principle, by having utilize a reference spot technique, and similar correction schemes. Except for the MAAP, all filter-based methods require complex correction algorithms (Collaud Coen et al., 2010-#285; Virkkula et al., 2010-#234; Virkkula et al., 2005-#95). The MAAP utilizes a different approach, a two-stream radiative transport model, made possible by its measurement of both direct transmission and back scatter from the particle loaded filter substrate. Another method for deriving aerosol light absorption coefficients is the differential method, based on the subtraction of light scattering from light extinction coefficients. This method

75 is commonly conducted by comparing measurements from two separate instruments which results in large precision errors particularly for ~~lower aerosol-light absorption-coefficients, and/or high single scattering albedo (SSA) values.~~ In laboratory studies, however, the differential method is widely used as a reference technique because the applied light scattering and extinction instruments make measurements on freely floating particles (i.e., no filter-based artifacts) and are well characterised (Bond et al., 1999; Schnaiter et al., 2005; Sheridan et al., 2005). A significant  
80 improvement of aerosol measurement capacities is achieved by the recently developed Cavity Attenuated Phase Shift particle monitor for single scattering albedo (CAPS PM<sub>SSA</sub>) (Onasch et al., 2015b), which is able to measure light extinction and scattering simultaneously and is the focus of recent studies (Perim de Faria et al., 2021; Modini et al., 2021).

85 Intensive aerosol parameters like the Single Scattering Albedo (SSA) or Ångström exponents are often not directly measured, but calculated from multiple instrument datasets, which could lead to an increase in errors and uncertainties ~~concerning this parameter.~~ The importance of measuring reliable intensive parameters is undisputable, especially, when ~~the use of them~~their use is required for an experiment or sensitive climate related modelling. The Ångström exponents are widely used to adjust extensive parameters to a desired wavelength (Ångström, 1929); ~~Foster et al. (2019)~~  
90 ~~for instrument comparison~~comparisons (Foster et al. 2019), and more importantly for aerosol characterisation (Russell et al., 2010) like the refraction index ~~calculation-determination~~ of mineral dust (Petzold et al., 2009) or black carbon (Kim et al., 2015), or for source identification of mineral dust (Formenti et al., 2011). Ångström exponents vary with particle size, shape, and chemical composition, though the relative importance of these factors differ for each optical property. The scattering Ångström exponent (SAE) is most sensitive to particle size size-dependent and,  
95 therefore, used as an indication of the size distribution of measured aerosols ~~in the investigated medium.~~ ~~The A~~ SAE value of 4 indicates either ~~a-gaseous~~ Rayleigh scattering or medium or a medium with nanometernanometre-sized particles, whereas a value of 0 indicates coarse particles (Kokhanovsky, 2008). The absorption Ångström exponents (AAE) ~~dependsis sensitive to on~~ the chemical composition and size of the aerosol particles. A value of 1 indicates an aerosol which absorbs light strongly across the entire visible spectral range and is composed of ~~nanometernanometre-~~  
100 sized ~~spheres-spherules~~ (Berry and Percival, 1986). This behaviour is characteristic for fresh soot or black carbon fractal agglomerates (Kirchstetter and Thatcher, 2012; Xu et al., 2015). AAE values higher than unity indicate the presence of brown carbon (Kim et al., 2015) or mineral dust (Formenti et al., 2011), both of which are characterised by a stronger absorption in the blue and ultraviolet compared to the red spectral range. ~~The AAE~~The AAE values > 1 may also occur for coated light absorbing particles (e.g., coated soot) or larger, more compact light absorbing particles  
105 ~~could differ when the light absorpbng particle is coated or have a size distribution change (Lack, 2008- (Lack and Cappa, 2010), #290) #18}~~. The extinction Ångström exponent (EAE) is often used for aerosol classification by remote sensing methods such as Lidar and depends on particle size distribution and chemical composition (Kaskaoutis et al., 2007; Veselovskii et al., 2016). Combining ~~thesethese~~ Ångström exponents in ~~a cluster plot~~plots is a reliable method for classifying-classifying aerosol sources (Russell, 2010). The SSA of an aerosol is the key parameter for its direct and  
110 semi direct impact on ~~the~~ climate (Penner, 2001). ~~It~~The SSA describes the ratio of scattering to total extinction of a measured aerosola medium. ~~The An SSA~~ value of 1 indicates that light extinction relies-occurs exclusively ~~on~~due to

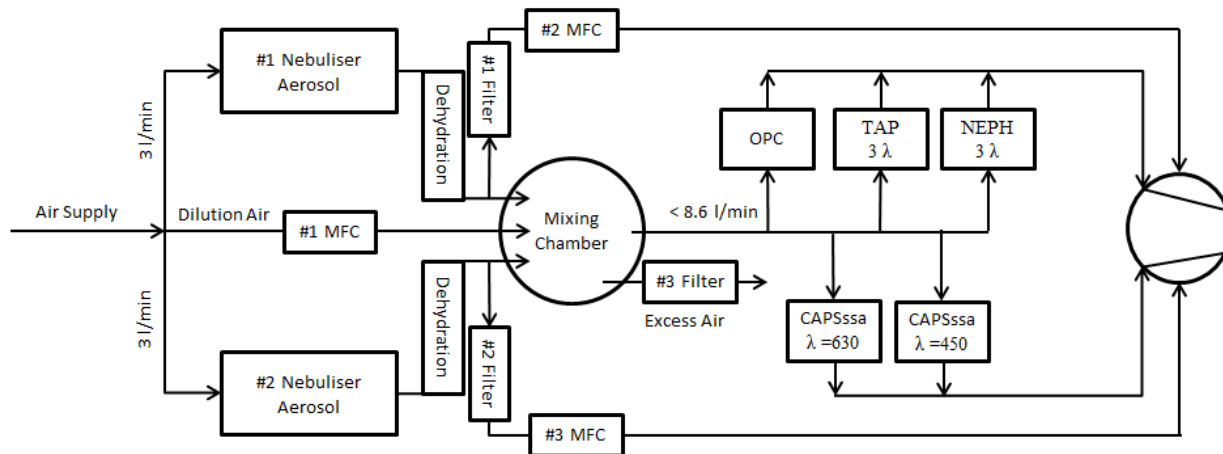
light scattering. In contrast, ~~low~~ SSA values  $< 1$  indicate an aerosol with a ~~large significant~~ fraction of light-absorbing components, which may cause heating of the atmosphere. The intensive parameters are ~~only commonly~~ available ~~only~~ through multiple-instrument approaches at different wavelengths, which calls for a detailed analysis of ~~their~~ measurement uncertainties. Our study contributes to this topic with a detailed optical closure study, in which we deploy standard and advanced instrumentation for measuring aerosol optical properties and sample mixtures of light absorbing and scattering aerosol to assess method uncertainties and precision errors.

## 120 2. Experimental Approach

### 2.1 Experimental Design

A schematic of the experimental setup is shown in Figure- 1. Briefly, aerosol flows, generated using two nebulizers or an inverted flame generator, are dehumidified (generally to below 7%) using ~~silica gel (? Nafion)~~ diffusion driers ~~filled with silica gel~~ and sent to a mixing chamber to ensure homogeneous mixing, ~~prior to being sampled using a suite of optical instruments and then onto several measurement instruments.~~ In order to avoid particle losses caused by electrostatic forces, all tubing and chambers are constructed of either stainless steel or conductive silicone tubing. ~~The individual optical instruments are connected using an iso-axial orientated and isokinetic operated nozzle located in the centreline of the supply line.~~ As shown ~~above~~ in Figure 1, aerosol ~~The aerosol~~ production was controlled by multiple Mass Flow Controllers (MFC, Bronkhorst High-Tech B.V., Ruurlo, Netherlands). A Labview based program ~~me~~ controlled the complete measurement system and ~~recorded~~ centrally ~~recorded~~ all data from the individual instruments. ~~Downstream of the aerosol production, the aerosol was injected in a mixing chamber assuring homogenous mixing.~~ ~~Downstream the production the aerosol was injected in a mixing chamber assuring homogenous mixing.~~ ~~The mixing chamber is attached to the aerosol supply line.~~ ~~Several instruments are connected to the central aerosol supply line.~~ Pressures in the aerosol delivery lines were maintained at that of the ambient atmosphere at all times. Aerosol flow rates to the individual instruments were provided at their specified levels (0.56 - 3.0 lpm) except for that of the TSI nephelometer. Given the limitations of the aerosol supply system, the flow to the nephelometer was reduced from 20 lpm to 2.2 lpm, causing the physical response time of that instrument to be increased to ten minutes. Complete details of the generation of aerosols ~~is~~ are provided in the following section.

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**Figure 1.** Experimental setup for the initial measurements. Additional Flame soot measurements were done with a combustion flame source replacing #2 Nebuliser.

145 The generated aerosol size distributions were characterized and monitored with either a Scanning Mobility Particle Sizer (SMPS) composed of the combination of a Differential Mobility Analyzer (DMA 5.400, Grimm Aerosol Technik GmbH Co & KG Germany) and Condensation Particle Counter (CPC 5.411, Grimm Aerosol Technik) system in a sequential mode of operation or a Grimm optical particle size spectrometer (SKY-OPC, model 1.129, Grimm Aerosol GmbH & Co. KG, Aining, Germany) was used to characterize and monitor the resulting size distribution.

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## 2.2 Optical Instruments and Uncertainties

~~where (The individual instruments are connected to using an iso axial orientated and isokinetic operated nozzle located in the centreline of the supply line. The suite of optical instruments used in this study included the following instruments. A Grimm optical particle size spectrometer (SKY-OPC, model 1.129, Grimm Aerosol GmbH & Co. KG, Aining, Germany) was used to characterize and monitor the resulting size distribution.~~

155 ~~The particle scattering coefficient,  $\sigma_{sp}$ , was measured with an integrating multi wavelength nephelometer (NEPH, Model 3563, TSI Inc., Shoreview, MN, USA;) (Bodhaine et al., 1991) and by with the scattering channel an integrating sphere used in of the CAPS PM<sub>SSA</sub> monitor (CAPS PM<sub>SSA</sub>, Aerodyne Research Inc., Billerica, MA, USA; Onasch et al. (2015))-, which is derived from a measurement of the total extinction and single scattering albedo.~~ For the particle light absorption

160 coefficient,  $\sigma_{ap}$ , we used the small-sized Tricolor Absorption Photometer (TAP (Brechtel Inc., Hayward, CA, USA), which is based on the well-known Particle Soot Absorption Particle Soot Absorption Photometer (PSAP, (ARM Research) and the Continuous Light Absorption Photometer (CLAP) developed by NOAA (Ogren et al., 2017). The particle light extinction coefficient,  $\sigma_{ep}$ , was directly measured with the phase shift channel of the CAPS PM<sub>SSA</sub> monitor.

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The light extinction channel of the CAPS instrument has an uncertainty of 5% and a precision of 2% and a scattering uncertainty of 8% and 2% precision, respectively (Onasch et al., 2015). The TAP has an uncertainty of around 8%, with a precision of 4% (Müller et al., 2014; Ogren et al., 2017), while the NEPH has an uncertainty of less than 10%

and a precision of about 3% (Anderson and Ogren, 1998; Massoli et al., 2009). These literature-derived uncertainty estimates for measurement accuracy will be used in this study for instrument closure, either directly or via error propagation. Individual point averages will be shown with corresponding precision variances.

### 2.3.2 Aerosol Generation

Table 12 provides a complete list of all aerosol species types used in the measurements study. Solutions of known concentrations of Aquadag (AQ, Aqueous Deflocculated Acheson Graphite; Acheson Industries, Inc., Port Huron, MI, USA), Cabot Black (BC) and the Acrylic Paint-Magic Black (MB), an acrylic based paint, were prepared on a daily basis by ultra-sonication before nebulization in a Constant Output Atomizer (Model 3076, TSI Inc.). The count median diameter (CMD) and geometric standard deviation (GSD) of the ammonium sulphate nebulized by the constant output atomizer depends on the concentration of the salt solution and the flows through the atomizer is operated with. Use of constant flow rates and particle concentrations also produced constant size distributions (Liu et al. 1975). The inverted flame soot generator (Argonaut Scientific Corporation, Edmonton, AB, Canada) was operated with a pre-determined propane to oxidation air ratio of 7.5 litre per minute air to 0.0625 litre per minute propane so that the flame produced a stable and low organic carbon soot. It has previously been shown that at least 30 min were necessary for the Argonaut flame to reach stable aerosol concentrations (Bischof et al., 2019; Kazemimanesh et al., 2018).

Initially, pure aerosol types were generated independently and measured to quantify their size distributions and optical properties. The main part of the study was focused on making external mixtures of ammonium sulphate and each of the absorbing particle types, separately. These mixtures were controlled to provide a stable aerosol with varying intensive optical properties.

With these sets of different aerosol types and shapes, the behaviour of instrument measurement is investigated. The results of the intercomparison of Aquadag is expected to be best described by Mie theory, since it has a near spherical spherically shape. and therefore Therefore, applied correction the correction schemes applied to the instruments apply best, since calibration is done by ideal PSL spheres (polystyrene latex beads), which were treated the same as all other aerosol solution samples and their size was approved confirmed by DMA and OPC measurements. Fractal agglomerates could have multiple internal scattering effects. Spherical shapes and several optical properties are determined by determined of the primary particle, this is expected to differ the most in intercomparison approaches (Barber and Wang, 1978; Moosmüller et al., 2009).

**Table 21.** Overview of aerosol types used.

<u>Substance</u>	<u>Aerosol type</u>	<u>Acronym</u>	<u>Shape</u>
<u>Ammonium Sulphate</u>	<u>salt</u>	<u>AS</u>	<u>spheroidal</u>
<u>Aquadag</u>	<u>colloidal graphite</u>	<u>AQ</u>	<u>compact aggregates</u>
<u>Cabot Black (Regal 400R)</u>	<u>powder</u>	<u>BC</u>	<u>compact agglomerates</u>

<u>Flame Soot</u>	<u>combustion aerosol</u>	<u>Soot</u>	<u>fractal agglomerates</u>
<u>Magic Black (Acrylic paint)</u>	<u>organic pigments</u>	<u>MB</u>	<u>spheroidal (?) unknown</u>

## 2.4.3.2.2 Data analysis Treatment

### 2.2.2.4.3.1 Instrument Corrections and Calibrations

- 205 The CAPS PM<sub>SSA</sub> instrument extinction channel was calibrated ~~with using~~ polystyrene latex beads (PSL) particles as a reference standard and Mie theory using ~~the~~ BHMIE Python code derived from Bohren & Hoffman (1983). Additionally, the 450 nm wavelength CAPS PM<sub>SSA</sub> calibration was validated using ~~was calibrated with measurements of CO<sub>2</sub> Rayleigh scattering for additionally calibrated with CO<sub>2</sub> for further validation of the same geometric factor for the CAPS sampling cells. validating the same factor and~~ The NEPH was calibrated using CO<sub>2</sub> ~~the calibration was applied to the nephelometer~~ (Anderson and Ogren, 1998; Modini et al., 2021). The scattering channel of the CAPS PM<sub>SSA</sub> ~~using the integrating sphere method~~ was internally adjusted to the extinction channel using ammonium sulphate as a light-scattering aerosol, assuming a single scattering albedo of 1.0. A truncation error correction was not necessary regarding the size of the aerosols used (Onasch et al., 2015a), since ~~the highest amount of aerosols were~~ was all the aerosols used had median diameter smaller than 200 nm ~~in diameter size, as well that the ammonium sulphate truncation correction is already done by the SSA adjustment.~~ The CAPS PM<sub>SSA</sub> monitor, which has a drifting shift of the base line is subject to baseline drift as the ~~as long as the system is heating heats up, which apparently stabilized after 30 min of operation (Faria et al., 2019). This was considered during the experiment, means that the instruments had a long HEPA Filter induced warm up period, were no data was taken.~~
- 210
- 215
- 220 The NEPH was calibrated using CO<sub>2</sub> (Anderson and Ogren, 1998; Modini et al., 2021). Truncation corrections were made using the approaches developed by Anderson and Ogren (1998) for purely scattering aerosols ~~The nephelometer (NEPH) and by~~ Massoli et al. (2009) for aerosol mixtures containing light ~~absorbing particles correction for light absorbing aerosols was calculated according to~~ (Massoli et al., 2009). ~~In any case, the~~ truncation corrections applied were always equal to or less than 5%. ~~Because of the reduced air flow, the nephelometer-NEPH needed at least 15~~
- 225 minutes to reach a stable plateau after changing aerosol production-generation settings.

- ~~After that, a~~ new Filter Spot for the TAP was selected; for each measurement in order to minimize measurements transmission ~~uncertainties increases by~~ due to particle loaded filters. The first correction regarding truncation is done by the included Software. The software has the capability to choose the correct Ogren correction scheme based on the filter type used (Quartz Fibre, BT-TAP-FIL100, ENVILYSE). Further corrections were made according to Virkkula, (2010). ~~not sure exactly what gives here. Does the monitor software perform any corrections?~~
- 230

**Table 42.** List of applied correction algorithms to optical instruments.

Instrument	Manufacturer	Properties	$\lambda$ (nm)	Reference
CAPS PM <sub>SSA</sub>	Aerodyne Research Inc.	$\sigma_{ep}$ ; $\sigma_{sp}$	450; 630	Onasch et al. (2015)

NEPH	TSI Inc.	$\sigma_{sp}$	450; 550; 700	Anderson and Ogren (1998); Massoli et al. (2009)
TAP	Brechtel Inc.	$\sigma_{ap}$	467; 530; 660	Virkkula (2010); <a href="#">Virkkula (2005)</a>

235 [2.22.43.2 Aerosol Optical Properties](#) derived from primary measurements

The extensive parameters for aerosol light interactions are extinction, scattering and absorption. When two of them are known, the missing one can be calculated with the help of this equation:

$$\sigma_{ep} = \sigma_{sp} + \sigma_{ap} \quad \text{Eq. (1)}$$

240 where  $\sigma_{ep}$  is the extinction coefficient,  $\sigma_{sp}$  the light scattering coefficient and  $\sigma_{ap}$  the coefficient for light absorption by particles. The unit of all these parameters is  $\text{Mm}^{-1}$  (“inverse Mega meters”;  $1 \text{ Mm}^{-1} = 10^{-6} \text{ m}^{-1}$ ). [When solving equation 1 for  \$\sigma\_{ap}\$](#) , it is possible to derive the absorption coefficient by combining [CAPS  \$\text{PM}\_{\text{SSA}}\$  extinction measurements](#) [CAPS  \$\text{SSA}\$  and with either CAPS  \$\text{PM}\_{\text{SSA}}\$  or nephelometer-NEPH scattering measurements](#) [ $\sigma_{ap}(\text{CAPS, CAPS})$  or  $\sigma_{ap}(\text{CAPS, NEPH})$ ] for comparison. In the following, this will be called [the](#) Differential Method (DM).

245 To calculate the Single Scattering Albedo (SSA), the particle light scattering must be divided by the particle light extinction:

$$(\lambda) = \frac{\sigma_{sp}}{\sigma_{ep}} \quad \text{Eq. (2)}$$

The Ångström exponents (AE) are calculated from:

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$$xAE = - \frac{\log \left( \frac{\sigma_{xp}(\lambda_1)}{\sigma_{xp}(\lambda_2)} \right)}{\log (\lambda_1 / \lambda_2)} \quad \text{Eq. (3)}$$

By solving Eq. 3 for  $\sigma_p(\lambda_1)$  and assuming a valid Ångström exponent the resulting equation (3a) is used for wavelength adjustments

$$\sigma_{xp}(\lambda_1) = \sigma_{xp}(\lambda_2) \cdot \left( \frac{\lambda_1}{\lambda_2} \right)^{-AE} \quad \text{Eq. (3a)}$$

255 For the particle coefficient  $\sigma_{xp}$ , the corresponding  $\sigma_{sp}$ ,  $\sigma_{ep}$  or  $\sigma_{ap}$  could be put into calculations (Eq. 3) to obtain the absorption Ångström exponent (AAE), extinction Ångström exponent (EAE) and scattering Ångström exponent (SAE) accordingly.

260 [2.43.232.2.3 Error propagation](#)

Error propagation for precision errors  $\Delta$  are determined by Gaussian error propagation:

$$SSA(\lambda, \sigma_{sp}, \sigma_{ep}) = \frac{\sigma_{sp}}{\sigma_{ep}} \xrightarrow{\text{yields}} \Delta SSA(\lambda, \sigma_{sp}, \sigma_{ep}) = \sqrt{\left( \frac{1}{\sigma_{ep}} \cdot \Delta \sigma_{sp} \right)^2 + \left( \frac{\sigma_{sp}}{\sigma_{ep}^2} \Delta \sigma_{ep} \right)^2} \quad \text{Eq. (4)}$$



$$SSA(\lambda, \sigma_{sp}, \sigma_{ap}) = \frac{\sigma_{sp}}{\sigma_{ap} + \sigma_{sp}} \xrightarrow{\text{yields}} \Delta SSA(\lambda, \sigma_{sp}, \sigma_{ap}) = \sqrt{\left(\frac{\sigma_{sp}}{(\sigma_{ap} + \sigma_{sp})^2} \cdot \Delta \sigma_{sp}\right)^2 + \left(\frac{\sigma_{ap}}{(\sigma_{ap} + \sigma_{sp})^2} \cdot \Delta \sigma_{ap}\right)^2} \quad \text{Eq. (5)}$$

$$265 \quad AE = -\frac{\log\left(\frac{\sigma_{xp}(\lambda_1)}{\sigma_{xp}(\lambda_2)}\right)}{\log(\lambda_1/\lambda_2)} \xrightarrow{\text{yields}} \Delta AE = \sqrt{\left(\frac{-1}{\log(\lambda_1/\lambda_2) \cdot \sigma_p(\lambda_1)} \cdot \Delta \sigma_{xp}(\lambda_1)\right)^2 + \left(\frac{1}{\log(\lambda_1/\lambda_2) \cdot \sigma_p(\lambda_2)} \cdot \Delta \sigma_p(\lambda_2)\right)^2} \quad \text{Eq (6)}$$

where  $\sigma_{xp} = \{\sigma_{ep}, \sigma_{sp}, \sigma_{ap}\}$

[Those equations could be expanded, if the instruments where not calibrated properly, as Sherman \(2015\) proposed, but are in accordance with the BIPM \(Bureau International des Poids et Mesures\)](#)

#### 2.4.4 Data Averaging

270 [For each experiment run, a different aerosol mixture was generated with different optical properties and allowed to reach steady state, including waiting ~15 minutes due to the slow time response of the low flow NEPH. At steady state conditions, we measured size and optical properties fluctuating <2% over time with the OPC, CAPS PM<sub>SSA</sub>, and NEPH. All instruments recorded data at a 1 second rate. Reported data points are given as averages of 100 seconds of stable aerosol production. This value was chosen to obtain a minimum in data precision and detection limits as](#)

275 [determined from Allan Standard Deviation plots by Massoli et al. \(2010\) for the CAPS extinction measurements and Ogren et al. \(2017\) for filter-based absorption measurements. Averaging for longer periods would only increase variances due to transmission \(TAP\) and baseline drift \(CAPS\).](#)

### 3. Measurements

280

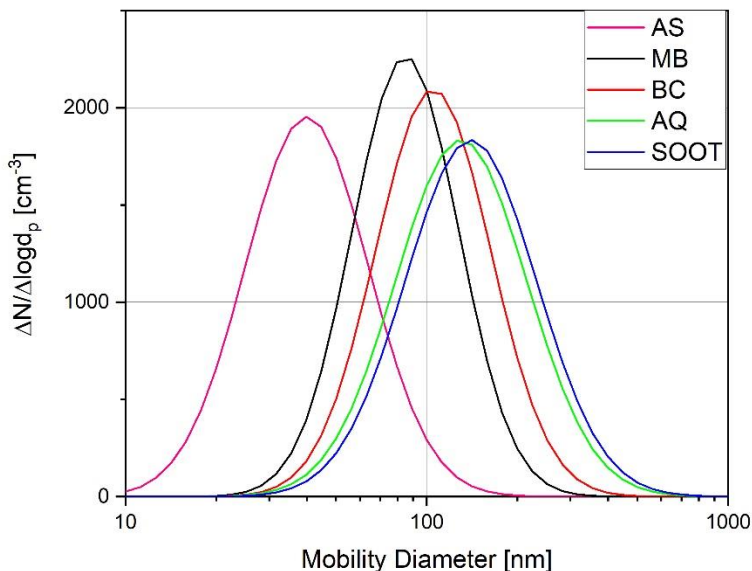
#### 3.1 Pure aerosol types

[The measured size parameters and calculated intensive parameters of the pure aerosol types are summarized in Table 3. The errors reported in Table 3 are calculated from ~~measurement precision~~-error propagation. The size distributions of the different aerosol types were measured with a Grimm SMPS and are shown in ~~Figure 2~~ \[Figure 2\]\(#\) normalized to](#)

285 [1000 particles per cubic centimetre. The Ångström exponents for the pure substances ~~are~~ fall within typical ranges for these types of aerosols and size distributions reported in literature. For example, the SAE decreases from a value of 3.22 for 40 nm AS particles which is close to the SAE value of 4 for air molecules with increasing particle diameter. Thus, the SAE drops to 0.76 for 130 nm compact AQ particles but increases to 0.99 ~~again~~ for 140 nm fractal agglomerate Soot. -The shape of AQ is assumed to be more compact than the soot agglomerates, such that their](#)

290 [scattering and electrical mobility behaviours are dependent mainly upon their physical diameters. In contrast, the scattering behaviour of the fractal soot agglomerates is due mainly to the distribution of primary particles, whereas their electrical mobility diameter is more dependent upon the major axis of the agglomerate. As expected by Eq. 3a, the SSA increases with ~~shorter~~ decreasing wavelength \(Bohren and Huffman, 1983\). The AAE for fractal combustion soot is close to 1 as reported by ~~e.g.~~ \[\\(Török \\(-2018\\) for the mini-CAST soot generator.\]\(#\)](#)

295



**Figure 2.** Measured size distributions by DMA and CPCSMPS for the pure aerosol types used, normalised to an assumed total concentration.

300 ~~The errors reported are either the instrument's uncertainties or are calculated from error propagation. The light extinction channel of the CAPS instrument has an uncertainty of 5% and a precision of 2% and a scattering uncertainty of 8% and 2% precision respectively (Onasch et al., 2015). The TAP has an uncertainty of around 8%, with a precision of 4% ((Müller et al., 2014; Ogren et al., 2017), while the nephelometer has an uncertainty of less than 10% and a precision of about 3% (Anderson and Ogren, 1998; Massoli et al., 2009).~~

305 **Table 3.** Overview of the measured intensive optical properties of the pure aerosol types.

	<u>AS</u>	<u>MB</u>	<u>BC</u>	<u>AQ</u>	<u>Soot</u>
<u>Count Median Diam.</u>	<u>40 nm</u>	<u>85 nm</u>	<u>105 nm</u>	<u>130 nm</u>	<u>140 nm</u>
<u>Geometric Standard Deviation</u>	<u>1.60</u>	<u>1.50</u>	<u>1.55</u>	<u>1.65</u>	<u>1.65</u>
<u>SSA 630 (NEPH, CAPS)</u>	<u>1.0</u>	<u>0.85 ± 0.02</u>	<u>0.26 ± 0.03</u>	<u>0.37 ± 0.03</u>	<u>0.20 ± 0.02</u>
<u>SSA 450 (NEPH, CAPS)</u>	<u>1.0</u>	<u>0.92 ± 0.07</u>	<u>0.32 ± 0.04</u>	<u>0.44 ± 0.02</u>	<u>0.26 ± 0.08</u>
<u>SAE (630/450) (NEPH)</u>	<u>3.22 ± 0.09</u>	<u>2.16 ± 0.37</u>	<u>1.71 ± 0.13</u>	<u>0.76 ± 0.06</u>	<u>0.99 ± 0.08</u>

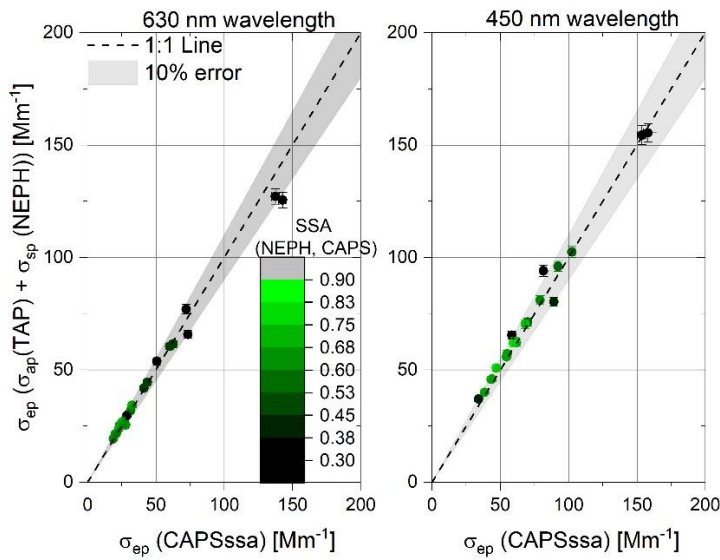
<u>AAE (630/450)</u> <u>(TAP)</u>	=	<u>1.34 ± 0.12</u>	<u>1.16 ± 0.03</u>	<u>0.44 ± 0.02</u>	<u>1.08 ± 0.02</u>
<u>EAE (630/450)</u> <u>(CAPS)</u>		<u>3.21 ± 0.08</u>	<u>2.03 ± 0.38</u>	<u>0.52 ± 0.10</u>	<u>1.10 ± 0.10</u>

330 **3.21 Extensive Parameters of Aerosol Mixtures**

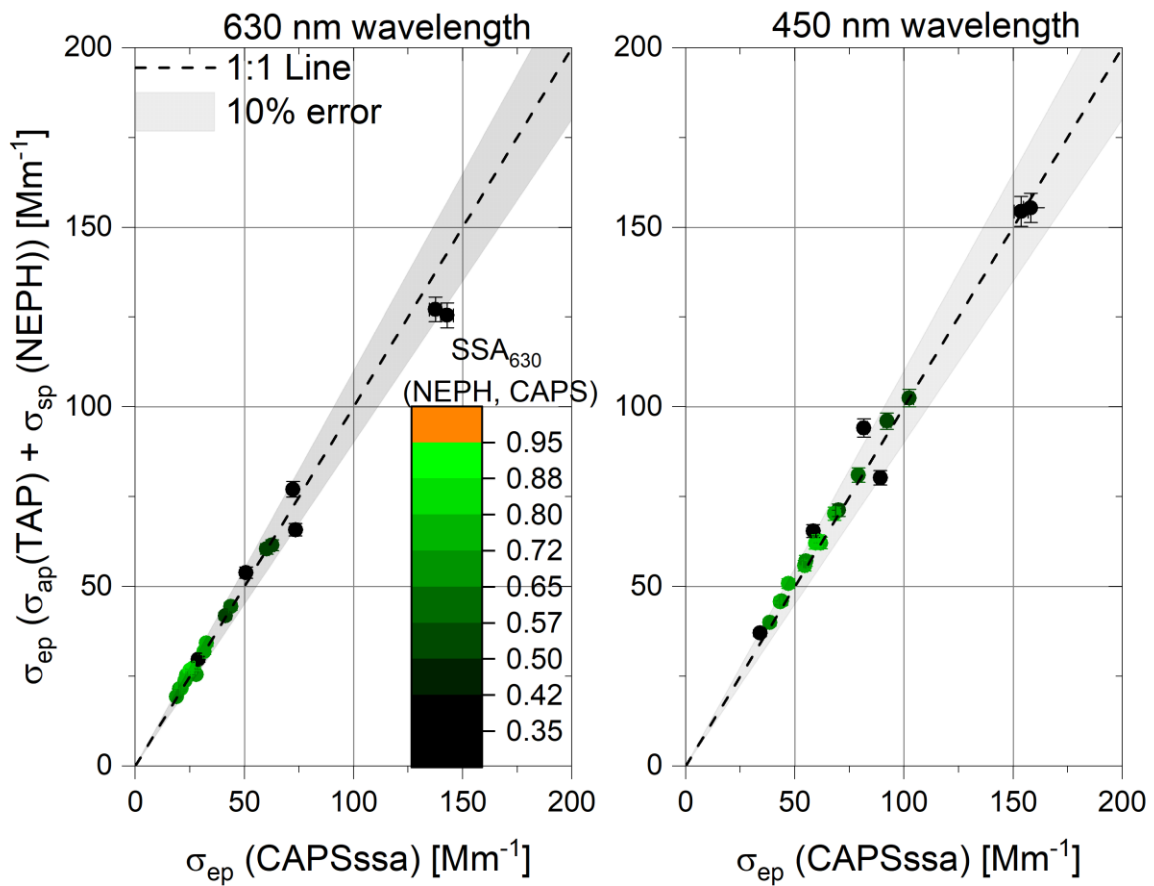
~~In a first step~~First, the extensive parameters must be validated for all instrument combinations to ensure the reliability of the intensive parameters derived from them. ~~We have chosen to use external mixtures of AS and AQ particles for these studies as they are both readily atomized, generating highly stable aerosols for the necessary time periods for averaging. We note that AQ absorbing aerosols are Aquadag is well known for its physical properties and it is easy to handle by nebulising. We have selected AQ as it is~~ commonly used as a reference material for instrument comparisons (Foster et al., 2019) ~~for all the viewgraphs.~~ The results for mixtures of AS with the other absorbing aerosol types are ~~added-included~~ in the associated ~~t~~Tables 6-9. ~~Respective data points are given as averages of at least 100 seconds of stable aerosol production. All instruments recorded data at a 1 second rate. We kept the aerosol in the line in a steady state, which had a fluctuation measured with the OPC, CAPS PMssa and NEPH below 2% deviation. We decided to average these 1Hz data for all instruments for intercomparison within the 100sec after the nephelometer becomes stable.~~

The two CAPS PM<sub>SSA</sub>~~CAPS\_SSA~~ monitors (450 nm and 630 nm wavelengths) ~~used~~ measured the extinction coefficient of particles directly with a small precision error of around 2% (Modini et al., 2021) ~~for 450 nm and 630 nm wavelengths.~~ In ~~Figure 3~~Figure 3, we show scatter plots of these direct extinction coefficient measurements (X-axis) in comparison to the ~~combined measurements of the~~ (absorption coefficient measured) using TAP and the scattering coefficient measured using ~~the nephelometer~~NEPH combined using Equation (Eq. 1) in the form:  $\sigma_{ep}(NEPH, TAP) = \sigma_{ap}(TAP) + \sigma_{sp}(NEPH)$  (y-axis) for wavelengths of 450 nm (right panel) and 630 nm wavelength (left panel).

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**Figure 3.** Scatter plots of the extinction coefficients for different Aquadag-AQ-AS external mixtures for at 630 nm (left) and 450 nm wavelengths (right). The y-axes show the extinction coefficients measured derived by the combined TAP absorption and Nephelometer-NEPH scattering data of absorption and scattering coefficients versus

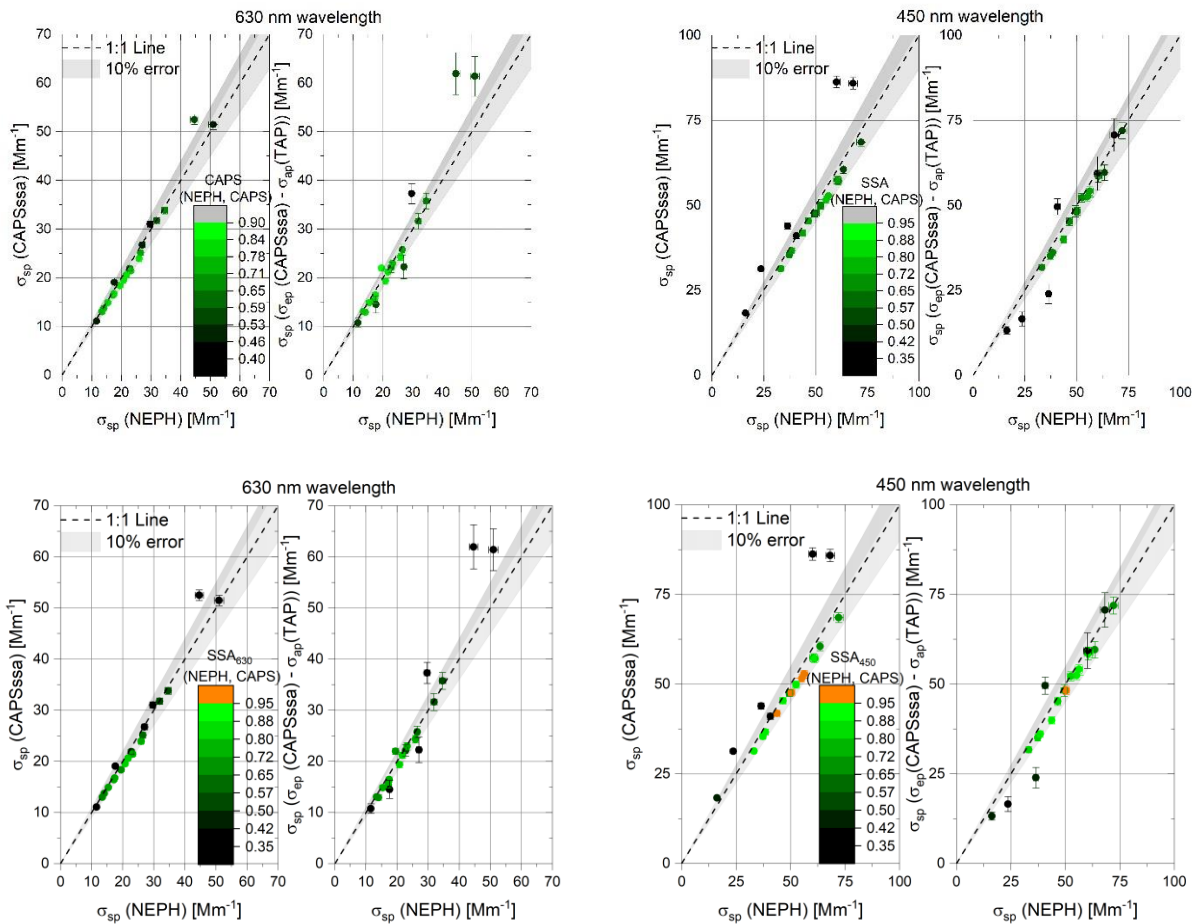
the ~~CAPS PM<sub>SSA</sub>~~ ~~CAPS\_SSA~~ monitor direct extinction coefficient measurements. The colour code represents the SSA  
375 of the analysed mixed aerosol ~~measured of the respective data point~~ at 630 nm wavelength. In addition, an error band  
of  $\pm 10\%$  was added to the 1:1 line.

Here, ~~the measured 630 nm SSA colour code serves as a proxy for the mixing ratio of the external~~ mixtures of  
nebulized ~~Aquadag-AQ particles and ammonium sulphate-AS particles are used as a proxy for the mixing ratio the~~  
380 ~~SSA is shown as colour code~~. The ~~measured 630 nm and 450 nm~~ extinction coefficients align ~~with~~ the 1:1 line within  
10% ~~in-across~~ a broad range ~~of the extinction coefficient for of extinction-450 and 630 nm wavelength values~~, as well  
as ~~for SSA values, of the mixtures~~ ranging from 0.3 ~~to~~ close to 1. ~~The 10% was chosen to show the fulfilment of the~~  
~~requirements of (Laj, 2020 #293) for aerosol properties~~. This shows that the instruments are not sensitive to the SSA  
of the particle type used ~~for at both either~~ wavelengths of interest.

385

~~As the next extensive parameter, (~~The ~~measured~~ scattering coefficients at 450 and 630 nm wavelengths are compared  
using scatterplots for ~~the~~ different techniques in ~~Figure 4~~ ~~Figure 4~~ ~~Figure 4~~. Here, we use the ~~Nephelometer-NEPH~~ and  
the integrating sphere channel of the ~~CAPS PM<sub>SSA</sub>~~ ~~CAPS\_SSA~~ instrument capable of measuring the scattering  
coefficient directly. In addition, we calculated the scattering coefficients using a Differential Method (DM), solving  
390 Eq.(1) for the scattering coefficient by subtracting the absorption coefficient measured by the TAP from the extinction  
coefficient measured by ~~CAPS PM<sub>SSA</sub>~~ ~~CAPS\_SSA~~. The ~~nephelometer-NEPH~~ is used as reference because it has well  
proven correction functions for light absorption particles, as described in Section 2.42.1.

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395 **Figure 4.** Comparisons of measured light scattering coefficients at 450 nm and 630 nm wavelengths for mixtures of Aquadag-AQ with and AS aerosols. The y-axes show for 450nm and 630 nm wavelengths for Differential method (DM), the CAPS PM<sub>SSA</sub>, CAPS<sub>SSA</sub> (integrating sphere) or the Differential Method (CAPS extinction minus TAP absorption) scattering techniques versus nephelometer-NEPH scattering measurements for at 450 nm and 630 nm wavelengths using scatter plots. The colour code represents the SSA value of the measured aerosol mixture. An error band of  $\pm 10\%$  was applied to the 1:1 line. Error bars shown represent one sigma of instrument precision ( $1\sigma$ ).

The measured scattering coefficients at both 450 nm and 630 nm wavelengths agrees within 10% for the majority of measurements between the reference instrument in comparison to the internal scattering signal measured with the CAPS PM<sub>SSA</sub> and  $\sigma_{sp}$  obtained by subtraction of  $\sigma_{ap}$ (TAP) from  $\sigma_{ep}$ (CAPS) shown in Figure 4 within 10% margin.

405 There is neither an apparent dependence of measured scattering coefficients with scattering coefficient magnitude (over the range measured) nor with aerosol SSA, an indicator of the external mixing ratio the mixture ratio with ammonium sulphate (which the SSA is the indicator) visible, nor a dependency as function of light absorbing aerosol loadings. There is neither a trend visible of the mixture ratio with ammonium sulphate, which the SSA is the indicator for, nor a strong shift for high or low volumetric cross section values. This is true for both examined wavelengths of

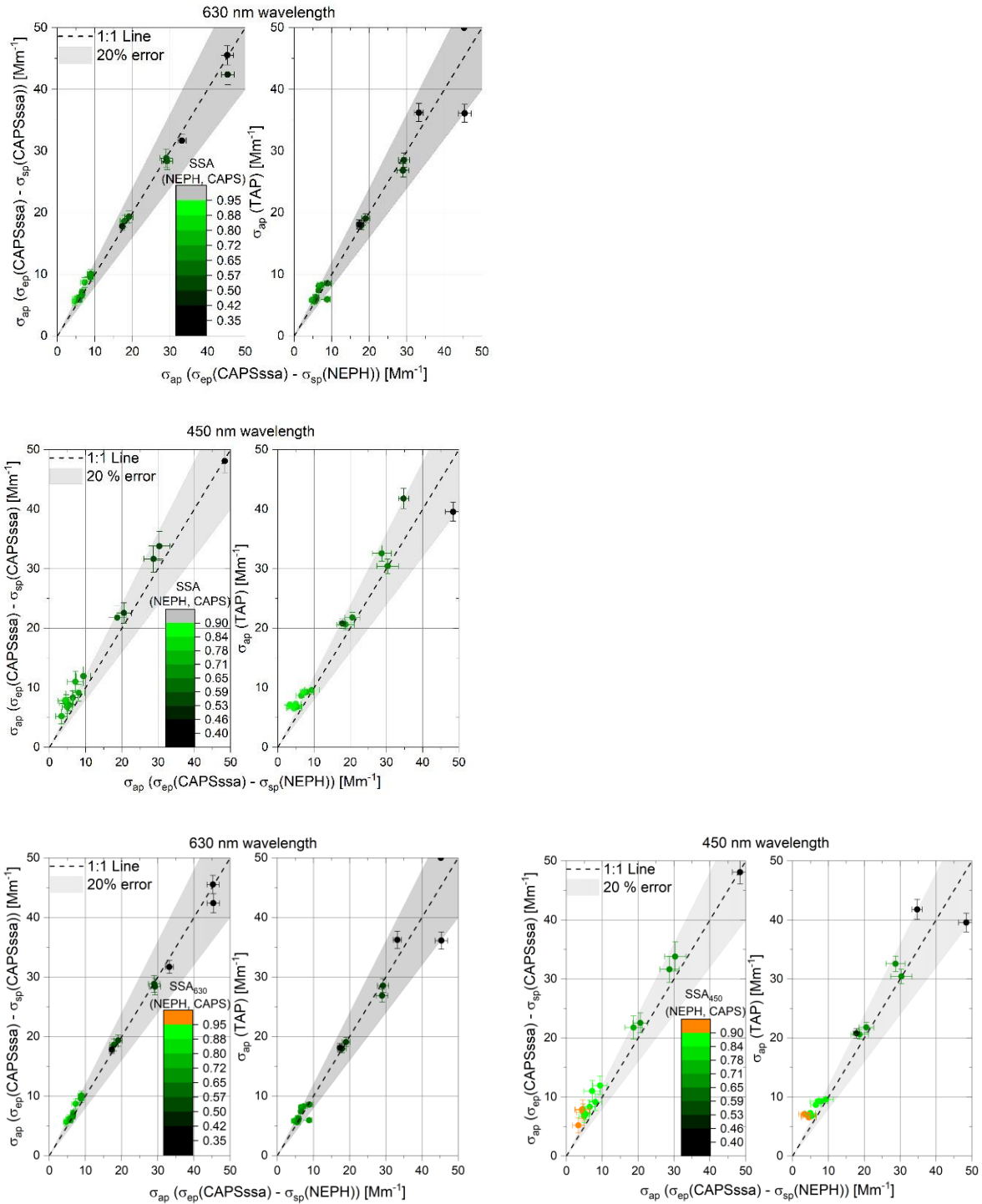
410 630 nm and 450 nm. Several outliers are visible, particularly for points with SSA values  $\sim 0.35$ , indicating nearly pure

AQ aerosols. For the scattering coefficients derived using the Differential Method (CAPS extinction minus TAP absorption), some of the scatter may be due to the larger uncertainties associated with the filter-based absorption measurements, as discussed in the Reno Study (Sheridan, 2005). The outliers in the CAPS vs NEPH plots, especially at 450 nm wavelength, are currently unexplained and are likely due to apparent stability issues for these points.

415 Overall, it is visible, that some data points scatter more roughly on the 1:1 line, which is true for mostly pure Aquadag aerosols, where the TAP contributes the biggest uncertainties due to higher values and deviates from high agreement, which was approved by the Reno Study (Sheridan, 2005) and although visible in our measurements. When the 1 $\sigma$  precision errors are tripled, it is still undistinguishable from the 1:1 line. (Neph truncation

420 Particle light absorption coefficient measurements are the most complicated, as none of our optical instrument techniques directly measure absorption. We have two methods for measuring absorption coefficients: (1) Differential Method following Eq.(1), using either  $\sigma_{ap}(CAPS,NEPH) = \sigma_{ep}(CAPS) - \sigma_{sp}(NEPH)$  or  $\sigma_{ap}(CAPS,CAPS) = \sigma_{ep}(CAPS) - \sigma_{sp}(CAPS)$ ; and (2) filter-based TAP measurements. As theAs a last extensive parameter, we focused on the particle

425 light absorption coefficient. This is the most complicated to measure, as for filter-based methods a bunch quantity ofrequires the application of multiple, empirical correction schemes, we have chosen  $\sigma_{ap}(CAPS,NEPH)$  as the reference for the comparison of the  $\sigma_{ap}(TAP)$  and  $\sigma_{ap}(CAPS,CAPS)$  values. must be applied. Using a differential method e.g. ( $\sigma_{ap}(CAPS,NEPH) = \sigma_{ep}(CAPS) - \sigma_{sp}(NEPH)$  following Eq.. 1) is used, we have to deal with large relative errors. Because of the availability of reference and calibration substances for filter-based methods,  $\sigma_{ap}(CAPS,NEPH)$  is given as the reference for the comparison to the  $\sigma_{ap}(TAP)$  values and the internal  $\sigma_{ap}(CAPS,CAPS)$ .



**Figure 5.** Scatter plots of measured 450 nm and 630 nm wavelength absorption coefficients of external mixtures of Aquadag-AQ and AS for 450 nm and 630 nm wavelengths for different instrument combinations. The colour code represents the SSA value of the respective data point. An error band of ±20% was applied to the 1:1 line, which is required by Laj (2020) for light absorption measurements. Error bars shown represent propagated instrument precisions (1 σ). Individual error bars represent variances during on-type of mixture produced.



In ~~Figure 5~~~~Figure 5~~~~Figure 5~~, the light absorption ~~values-measurements for at~~ wavelengths of 450 nm and 630 nm are ~~depicted~~~~compared~~. We chose to include 20% error bands for these comparisons, though ~~To compare instruments, the~~ overall uncertainty ~~for filter-based absorption measurements~~ is often estimated to be 30% (Bond et al., 1999). ~~In this work we stay within a 20% deviation for this parameter.~~ Most ~~of the~~ data points ~~shown fall within the 20% error band,~~ with some exceptions for aerosols with low absorption and high SSA values. ~~correlated for both the  $\sigma_{ap}(CAPS,CAPS)$  and  $\sigma_{ap}(TAP)$  reference, without any mixing ratio dependence. When the  $\sigma_{ap}(CAPS,CAPS)$  is compared to  $\sigma_{ap}(CAPS,NEPH)$ , the values agree within the uncertainty errors.~~

470

**Table 44.** Linear regression ~~analysis-results of~~ attenuation-scattering,  $\sigma_{sp}$ , extinction,  $\sigma_{ep}$ , and absorption,  $\sigma_{ap}$ , coefficients ~~from Figures 3-5 for of-external mixtures of AQ and AS particles, Aquadag and ammonium sulphate mixtures~~ given as slopes (m), Pearson  $R_p$  and y-axis ~~intersection-intercepts~~ (b).

	$\sigma_{sp}$ (CAPS)	$\sigma_{sp}(CAPS,TAP)$	$\sigma_{ep}(NEPH,TAP)$	$\sigma_{ap}(TAP)$
	vs.	vs.	vs.	vs.
	$\sigma_{sp}$ (NEPH)	$\sigma_{sp}(NEPH)$	$\sigma_{ep}(CAPS)$	$\sigma_{ap}(CAPS,NEPH)$
630 nm				
m	$1.07 \pm 0.03$	$1.08 \pm 0.05$	$0.99 \pm 0.03$	$0.92 \pm 0.07$
R	0.99	0.97	0.99	0.95
b [ $Mm^{-1}$ ]	$-1.84 \pm 0.57$	$-2.15 \pm 1.12$	$0.91 \pm 0.93$	$0.78 \pm 0.68$
450 nm				
m	$0.99 \pm 0.05$	$1.06 \pm 0.03$	$0.98 \pm 0.03$	$1.04 \pm 0.08$
R	0.97	0.99	0.99	0.96
b [ $Mm^{-1}$ ]	$1.14 \pm 2.27$	$-4.60 \pm 1.51$	$3.37 \pm 1.71$	$2.13 \pm 0.64$

475

The high Pearson correlation ( $R_p > 0.95$ ) coefficients in ~~Table 4~~~~Table 4~~ indicate that the correlations ~~is-are~~ highly linear ~~and reveals a stable behaviour of the instrument measurements characteristics.~~ ~~The light extinction reached over  $850 Mm^{-1}$  and light absorption over  $200 Mm^{-1}$  for 450 nm wavelengths with values starting from  $3 Mm^{-1}$  for light absorption for 450 nm wavelength.~~ ~~The primary~~~~primary~~ focus for this study was to have the most of the experimental runs exhibit light extinctions between  $5 Mm^{-1}$  and  $1500 Mm^{-1}$ , representative of atmospheric conditions for light extinction. ~~The slopes are all close to unity within the expected errors ranges, or at least single instrument uncertainty, indicating closure has been achieved for these optical measurements.~~ Thus, the extensive parameters can be trusted for instrument comparison, especially for the light scattering and light extinction information. ~~The slopes reported for light absorption coefficients are with  $0.92 \pm 0.07$  and  $1.04 \pm 0.08$  below the expected error from literature. Higher values influence linear regression slopes, for which the filter methods are drifting to lower values respective to~~

485

515 ~~intercomparison instruments (Sheridan, 2005).~~ We provide ~~further~~ regression analysis for all other absorbing aerosol types individually externally mixed with AS in Tables 7-9.

~~An e~~Excellent agreement ( $R^2=0.979$ ) is shown for  $\sigma_{sp}$  measurements of the ~~nephelometer-NEPH~~ and the CAPS PM<sub>SSA</sub> scattering channel. ~~Thus, indicating that the CAPS PM<sub>SSA</sub> gives reliable scattering coefficient measurements for aerosol mixtures and scattering channels~~ could be considered as a substitute for the nephelometer scattering measurement and delivers reliable SSA measured simultaneously in one volume of the same instrument. Trade-offs in the CAPS PM<sub>SSA</sub> versus NEPH comparison include the three wavelengths and backscatter measurements of the NEPH versus the single wavelength of the CAPS PM<sub>SSA</sub>, countered by the additional extinction measurement of the CAPS PM<sub>SSA</sub> allowing for absorption and SSA values to be simultaneously measured.

525 ~~Instead of using~~ In addition to regression analysis, where outliers and/or high values ~~can be~~ dominating the fitted slope of the regression, ~~another more robust~~ statistical analysis approach is to investigate of the ensemble averaged instrumental ratios ( $\sigma_{ap}$  (instrument #1) /  $\sigma_{ap}$  (instrument #2)), which is more sensitive to small errors at low values will be shown in the following section. Resulting 630 nm and 450 nm wavelength absorption coefficient ratios are

530 tabulated in Table 5 and 6, respectively. The average ratios are calculated from the points shown in Figure 5 for AQ and AS mixtures and from results obtained for the other absorbing particle types externally mixed with AS particles. ~~For the table 5—table 6 the ratios are calculated using averaged 1Hz measurement data. The average intervals are adapted for constant conditions, waiting 15 minutes until the production and nephelometer were settled/relaxed lasting for about 5 minutes until the next mixture was setup in the sample line restarting the procedure.~~

535 **Table 55.** Ensemble average as a ratios of  $\sigma_{ap}$  (TAP) /  $\sigma_{ap}$  (CAPS, NEPH) at 630 nm wavelength. N denotes the number of experiments used for the average.

630 nm wavelength	BC	AQ	SOOT	MB
$\sigma_{ap}$ (TAP) / $\sigma_{ap}$ (CAPS,NEPH) <del>with</del> <u>variance</u>	$1.22 \pm 2.57$ (N=36)	$0.97 \pm 0.22$ (N=28)	$1.10 \pm 1.22$ (N=25)	$0.88 \pm 0.17$ (N=8)
$\sigma_{ap}$ (TAP) / $\sigma_{ap}$ (CAPS,NEPH) for samples with $\sigma_{ap} > 10 \text{ Mm}^{-1}$ <del>variance</del>	$1.08 \pm 0.19$ (N=24)	$0.94 \pm 0.10$ (N=11)	$0.86 \pm 0.13$ (N=6)	-

540 Table Table Table 55 demonstrates that the light absorption values agree for the different methods in general. With an ensemble average for the ratio  $\sigma_{ap}$  (TAP) /  $\sigma_{ap}$  (CAPS,NEPH) ~~close to 1 of  $0.97 \pm 0.22$ ,~~ a good agreement is achieved confirmed with and over 60% of all datapoints for external mixtures of Aquadag-AQ and AS fits falling within a range of  $\sigma_{ap}$  (TAP) /  $\sigma_{ap}$  (CAPS,NEPH) = {0.8 – 1.2}. These results support the linear regression results in Table 4, though exhibit larger scatter due to the greater sensitivity to small errors at low values.

545 ~~The average ratios for other externally mixed absorbing aerosol types deviate more from unity than AQ mixtures. Most of this scatter can be ascribed to the greater sensitivity of the ratio to small errors at low values. By filtering these ratios Regarding fractal soot particles this ratio deviates most from 1, while using Cabot Black over 50% of all data to fit in the range of  $\sigma_{ap}(TAP) / \sigma_{ap}(CAPS, NEPH) = \{0.8 - 1.2\}$ . Filtering these instrument ratios for points with  $\sigma_{ap} \leq 10 \text{ Mm}^{-1}$ , the relative frequency distribution shows almost no modal value. Filtering the data for  $\sigma_{ap} > 10 \text{ Mm}^{-1}$~~   
 550 ~~about approximately 80% of these the data are within the range of 0.8-1.2. The ratios for  $\sigma_{ap} < 10 \text{ Mm}^{-1}$  exhibited almost no modal value in the relative frequency distributions, confirming that scatter in low values significantly affects the average ratios. The Filtering is done by excluding this low values as soon the  $\sigma_{ap}$  surpasses this for any wavelength. For each experiment run a different aerosol mixture was generated with different overall extinction levels. We used a 100 sec average (1 HZ sampling rate) per run, after the nephelometer reached a steady state. N denotes the number of experiments used for the average. 100 seconds were chosen to fit a minimum of data uncertainty and detection limits shown in Allan plots by {Massoli, 2010 for the CAPS extinction measurement and {Ogren, 2017 for the TAP. Averaging for longer periods would increase variance values of TAP or CAPS regarding the long term drifts due to transmission (TAP) and baseline drift (CAPS).#230}#72}~~

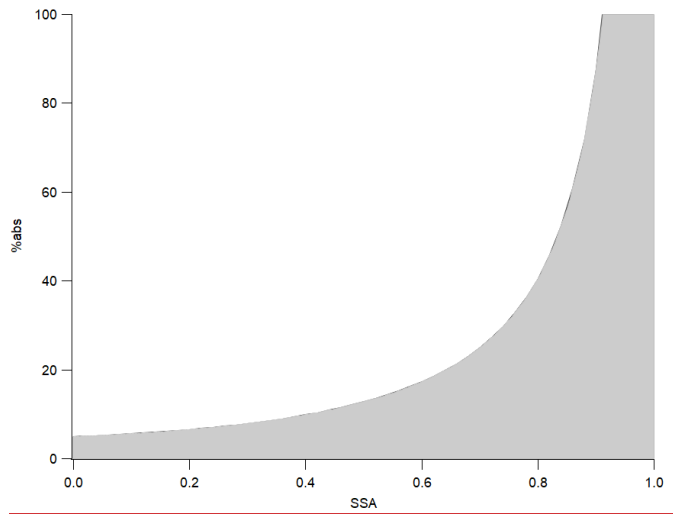
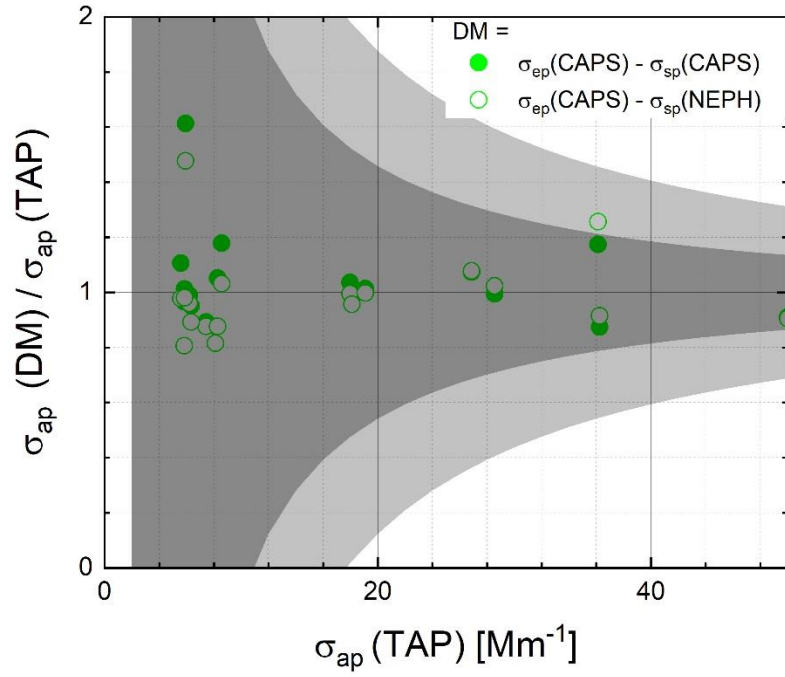
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**Table 66.** Ensemble average ratios of  $\sigma_{ap}(TAP) / \sigma_{ap}(CAPS, NEPH)$  at 450 nm wavelength. N denotes the number of experiments used for the average. Ensemble averages as a ratio of  $\sigma_{ap}(TAP) / \sigma_{ap}(CAPS, NEPH)$  at 450 nm wavelength.

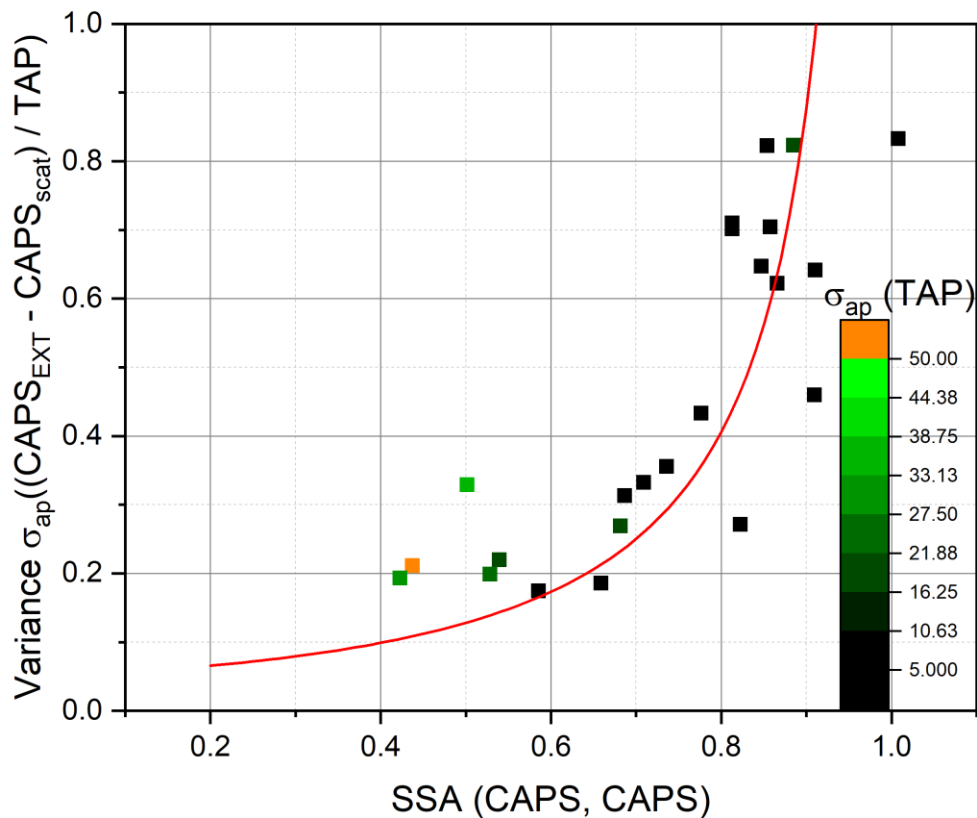
450 nm wavelength	BC	AQ	SOOT	MB
$\sigma_{ap}(TAP) / \sigma_{ap}(CAPS, NEPH)$ with variance	$1.03 \pm 1.72$ (N=36)	$1.06 \pm 0.38$ (N=28)	$0.89 \pm 1.05$ (N=25)	$1.28 \pm 2.91$ (N=8)
$\sigma_{ap}(TAP) / \sigma_{ap}(CAPS, NEPH)$ for samples with $\sigma_{ap} > 10 \text{ Mm}^{-1}$ variance	$1.08 \pm 0.33$ (N=24)	$1.01 \pm 0.13$ (N=11)	$0.84 \pm 0.27$ (N=6)	-

565 Redoing this analysis for 450 nm wavelength, the light extinction and scattering of smaller particles increases compared to the values at 630 nm wavelength. As a result, the errors in calculating the 450 nm wavelength absorption coefficients from the Differential Method also increase. this also increases also the errors associated with the differential method, since, which we observed accordingly. As demonstrated in Table Table 66, only the variance for the ratio  $\sigma_{ap}(TAP) / \sigma_{ap}(CAPS, NEPH)$  for spherical compact AQ particles deviate less from unity was less than 1  
 570 (i.e., <100%), with over 50% of the data being within the range of 0.8-1.2. Still, all All ensemble average ratios were close to 1; however, but with an associated error of up to  $\pm 1.7$  (i.e.,  $\pm 170\%$ ), these values are not significant, which means, that the ratios scatter widely with no clear modal value.

Again, filtering the 450 nm data for ~~Again filtering~~ only for  $\sigma_{\text{ap}} > 10 \text{ Mm}^{-1}$  greatly improves the results, the methods agree well with ~~significant~~ ratios  $\sigma_{\text{ap}}(\text{TAP}) / \sigma_{\text{ap}}(\text{CAPS, NEPH}) = 1.08 \pm 0.33$  for BC. The best instrumental ratio with  
575 of  $1.01 \pm 0.13$  is shown for AQ mixtures in Table 6 at 450 nm wavelength.



580



**Figure 6.** Ratios of the variance of the measured absorption coefficients  $[\sigma_{ap}(\text{DMCAPS, CAPS}) / \sigma_{ap}(\text{TAP})]$  for both Differential Methods calculation relative to TAP measurements, the absorption coefficient  $\sigma_{ap}(\text{DM}) = \{\sigma_{ap}(\text{CAPS, CAPS})$  see filled symbols, and  $\sigma_{ap}(\text{DM}) = \sigma_{ap}(\text{CAPS, NEPH})$  see open symbols, the ratios of  $\sigma_{ap}(\text{DM}) / \sigma_{ap}(\text{TAP})$  for measurements for AQ and AS external mixtures Aquadag aerosol type mixtures as function of  $\sigma_{ap}(\text{TAP})$  are shown. The ratios are plotted against the aerosol measured SSA values  $[\text{SSA}(\text{NEPHCAPS, CAPS})]$ . The dark grey error band The red line represents the calculated relative errors using Gaussian error propagation of the uncertainties of the DM Method with 1 as 100%, assuming  $\sigma_{ep} = 50 \text{ Mm}^{-1}$ ; the light grey error band represents calculated relative errors assuming constant  $\sigma_{ep} = 200 \text{ Mm}^{-1}$ .

In order to demonstrate the dependency of the uncertainties associated with the Differential Methods for deriving  $\sigma_{ap}$  values on the SSA, the ensemble averaged variance ratios of on the magnitude of  $\sigma_{ap}$ , the instrumental ratios of  $\sigma_{ap}(\text{TAP}) / \sigma_{ap}(\text{CAPS, NEPH}) / \sigma_{ap}(\text{TAP})$  and  $\sigma_{ap}(\text{CAPS, CAPS}) / \sigma_{ap}(\text{TAP}) / \sigma_{ap}(\text{CAPS, CAPS})$  are shown as functions of  $\sigma_{ap}(\text{TAP})$  SSA in Figure 6. For  $\sigma_{ap}$  SSA values lower greater than  $20 \text{ Mm}^{-1} 0.9$ , light absorption coefficients derived for the DM methods have errors-propagated uncertainties even over 100% independently of their load, for light absorption coefficient are derived for the DM methods assuming for  $\sigma_{ep} = 200 \text{ Mm}^{-1}$ . The experimental

615 data stays ~~fall~~align within the ~~ese~~is calculated relative uncertainties. For  $\sigma_{ap}$  values over  $50 \text{ Mm}^{-1}$ , the instrumental ratio deviates from 1 less than 10-20%. Both differential methods show an excellent agreement with each other on a point by point basis as already demonstrated in ~~Figure 5~~Figure 5 thus, open and filled marks representing the two different methods are always in close proximity.

620

**Table 77.** Linear regression results of scattering,  $\sigma_{sp}$ , extinction,  $\sigma_{ep}$ , and absorption,  $\sigma_{ap}$ , coefficients for external mixtures of BC and AS particles, given as slopes (m), Pearson R, and y-axis intercepts (b). ~~Linear regression analysis of attenuation coefficients using Cabot Black and ammonium sulphate mixtures are shown. Presenting: the slope (m),~~  
625 ~~Pearson (r) and y axis intersection (b) for different instruments combinations.~~

BC	$\sigma_{sp}(\text{CAPS})$ vs $\sigma_{sp}(\text{NEPH})$	$\sigma_{sp}(\text{CAPS,TAP})$ vs. $\sigma_{sp}(\text{NEPH})$	$\sigma_{ep}(\text{TAP,NEPH})$ vs $\sigma_{ep}(\text{CAPS})$	$\sigma_{ap}(\text{TAP})$ vs. $\sigma_{ap}(\text{CAPS,NEPH})$
630 nm				
m	$1.02 \pm 0.03$	$0.99 \pm 0.05$	$0.94 \pm 0.02$	$0.90 \pm 0.02$
$r$	0.98	0.96	0.99	0.99
b [ $\text{Mm}^{-1}$ ]	$-0.69 \pm 0.7$	$-2.13 \pm 1.01$	$3.59 \pm 0.60$	$2.57 \pm 0.11$
450 nm				
m	$0.99 \pm 0.02$	$1.06 \pm 0.06$	$0.94 \pm 0.03$	$0.86 \pm 0.05$
$r$	0.99	0.95	0.98	0.97
b [ $\text{Mm}^{-1}$ ]	$5.36 \pm 1.45$	$-0.59 \pm 3.86$	$0.97 \pm 3.17$	$2.98 \pm 0.48$

**Table 88.** Linear regression results of scattering,  $\sigma_{sp}$ , extinction,  $\sigma_{ep}$ , and absorption,  $\sigma_{ap}$ , coefficients for external mixtures of SOOT and AS particles, given as slopes (m), Pearson R, and y-axis intercepts (b). ~~Linear regression analysis attenuation coefficients slopes for fresh combustion soot and ammonium sulphate mixtures given as slopes~~  
630 ~~(m), Pearson (r) and y axis intersection (b).~~

SOOT	$\sigma_{sp}(\text{CAPS})$ vs $\sigma_{sp}(\text{NEPH})$	$\sigma_{sp}(\text{CAPS,TAP})$ vs. $\sigma_{sp}(\text{NEPH})$	$\sigma_{ep}(\text{TAP,NEPH})$ vs $\sigma_{ep}(\text{CAPS})$	$\sigma_{ap}(\text{TAP})$ vs. $\sigma_{ap}(\text{CAPS,NEPH})$
630 nm				
m	$1.06 \pm 0.04$	$0.9 \pm 0.20$	$0.99 \pm 0.08$	$0.76 \pm 0.11$
$r$	0.99	0.74	0.97	0.92
b [ $\text{Mm}^{-1}$ ]	$0.05 \pm 0.56$	$1.57 \pm 3.21$	$1.80 \pm 1.72$	$3.93 \pm 1.68$
450 nm				

m	$0.81 \pm 0.03$	$0.77 \pm 0.07$	$0.92 \pm 0.04$	$0.70 \pm 0.10$
$R^2$	0.99	0.97	0.98	0.91
b [ $Mm^{-1}$ ]	$1.73 \pm 0.45$	$2.64 \pm 0.91$	$3.26 \pm 2.24$	$1.75 \pm 0.82$

**Table 99.** Linear regression results of scattering,  $\sigma_{sp}$ , extinction,  $\sigma_{ep}$ , and absorption,  $\sigma_{ap}$ , coefficients for external mixtures of MB and AS particles, given as slopes (m), Pearson R, and y-axis intercepts (b). Linear regression analysis of volumetric cross sections slopes of the acrylic paint (Magic Black (MB)) and ammonium sulphate mixtures given as slopes, Pearson R and y axis intersection.

MB	$\sigma_{sp}(CAPS)$ vs $\sigma_{sp}(NEPH)$	$\sigma_{sp}(CAPS,TAP)$ vs. $\sigma_{sp}(NEPH)$	$\sigma_{ep}(TAP,NEPH)$ vs $\sigma_{ep}(CAPS)$	$\sigma_{ap}(TAP)$ vs. $\sigma_{ap}(CAPS,NEPH)$
630 nm				
m	$0.96 \pm 0.03$	$1.05 \pm 0.03$	$0.96 \pm 0.03$	$0.57 \pm 0.10$
$R^2$	0.99	0.99	0.99	0.94
b [ $Mm^{-1}$ ]	$0.42 \pm 0.79$	$-0.95 \pm 0.53$	$0.99 \pm 0.51$	$1.06 \pm 0.38$
450 nm				
m	$1.02 \pm 0.02$	$1.00 \pm 0.16$	$0.89 \pm 0.11$	$0.21 \pm 0.14$
$R^2$	0.99	0.95	0.97	0.58
b [ $Mm^{-1}$ ]	$-1.85 \pm 0.78$	$-0.82 \pm 6.04$	$4.58 \pm 4.88$	$3.43 \pm 0.91$

The linear regression analysis is reporting fitted slopes, Pearson coefficients, and y-offsets for attenuation coefficients for external mixtures of AS and the different light absorbing aerosol types are presented in Table Table Table 77 (BC), Table Table Table 88 (sootSOOT), and Table Table Table 99 (MB). In general, for 630 nm wavelength results, high Pearson ~~rates~~ coefficients ( $R^2 > 0.96$ ) with negligible offsets ( $b < 1 Mm^{-1}$ ) and slopes ranging from 0.90 to 1.05 demonstrates a good agreement (i.e., closure) for scattering and extinction coefficient measurements. Especially for MB and sootSOOT, the TAP measurements tend to overshoot the Differential method tends to underestimate the value compared to TAP measurements by 20–40 %, whereas for BC the difference is only 10%. The reason could be that soot is a fractal agglomerate and in-situ methods as well as filter-based methods give different results as a function of the primary particle size (Sorensen et al., 2010) as well as of the previous mentioned filter-based artifacts, including changes of the slope at higher  $\sigma_{ap}$  (TAP) values. We measured values for BC ranging from 14 to 400  $Mm^{-1}$  for  $\sigma_{ep,630nm}$ , 1 to 322  $Mm^{-1}$  for  $\sigma_{ap,630nm}$ , and 12 to 174  $Mm^{-1}$  for  $\sigma_{sp,630nm}$ . For SOOT, we measured values ranging from 12 to 158  $Mm^{-1}$  for  $\sigma_{ep,630nm}$ , 1 to 322  $Mm^{-1}$  for  $\sigma_{ap,630nm}$ , and 5 to 80  $Mm^{-1}$  for  $\sigma_{sp,630nm}$ .

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For 450 nm wavelength results, similar slopes, Pearson R, and y-offset values could be reported for these aerosol types mixtures with ammonium sulphate. Linear regression slopes for SOOT Fresh soot particle mixtures values decreasing with at the lower wavelength to a slope value of 0.77 for light scattering intercomparison and  $\sigma_{ap}$  of 0.7



for light absorption. This decrease may well be as well an effect of the primary particles size of agglomeration, since those relationships changes with the wavelength.

For ~~Magie Black~~MB, the light absorption measurements using the DM method ~~for 450 nm~~ shows the highest difference compared to the TAP measurement with a regression slope of  $0.21 \pm 0.14$ . The reasons could be include different absorption behaviour (i.e., filter-based artifacts) for filter-based method relative to in-situ to filter measurements (Lack, 2008). Unfortunately, ~~and~~ no clear indications understanding of the MB particle shape, phase, or uniformity could be made during this study.

~~We measured values for BC ranging from 14 to 400  $Mm^{-1}$  for  $\sigma_{ep,630}$ , 1 to 322  $Mm^{-1}$  for  $\sigma_{ap,630}$  and 12 to 174  $Mm^{-1}$  for  $\sigma_{sp,630}$ . For Soot we measured values ranging from 12 to 158 (one value with 1437  $Mm^{-1}$  excluded from regression analysis)  $Mm^{-1}$  for  $\sigma_{ep,630}$ , 1 to 322  $Mm^{-1}$  for  $\sigma_{ap,630}$  and 5 to 80  $Mm^{-1}$  for  $\sigma_{sp,630}$ .~~

## 32.32 Intensive Parameters of Aerosol Mixtures

### 32.2.1 Single scattering Albedo (SSA)

The Single Scattering Albedo (SSA), as an important climate parameter, is investigated here as a relative measurement using multiple different methods of derivation to determine if closure between the different methods can be achieved. used for instrument validation in this section. To obtain this parameter, different methods are shown in Table 10. Each method excludes at least one instrument from the calculation, thus, instrument intercomparison is possible.

The SSA for different combinations of instruments are derived using Eq. (2) as follows. In the following with the instruments used are denoted in parentheses in Equations 7-10.

$$SSA(NEPH, TAP) = \frac{\sigma_{sp}(NEPH)}{\sigma_{ap}(TAP) + \sigma_{sp}(NEPH)} \quad \text{Eq. (7)}$$

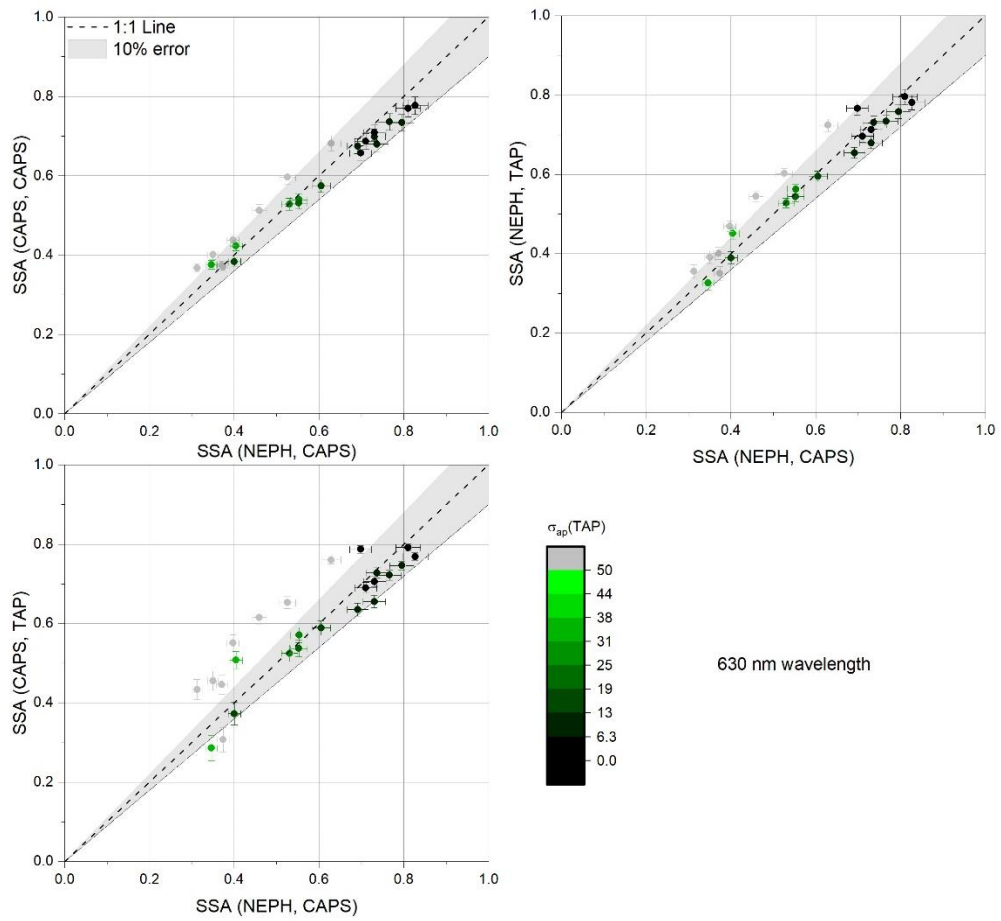
$$SSA(CAPS, TAP) = \frac{\sigma_{ep}(CAPS) - \sigma_{ap}(TAP)}{\sigma_{ep}(CAPS)} \quad \text{Eq. (8)}$$

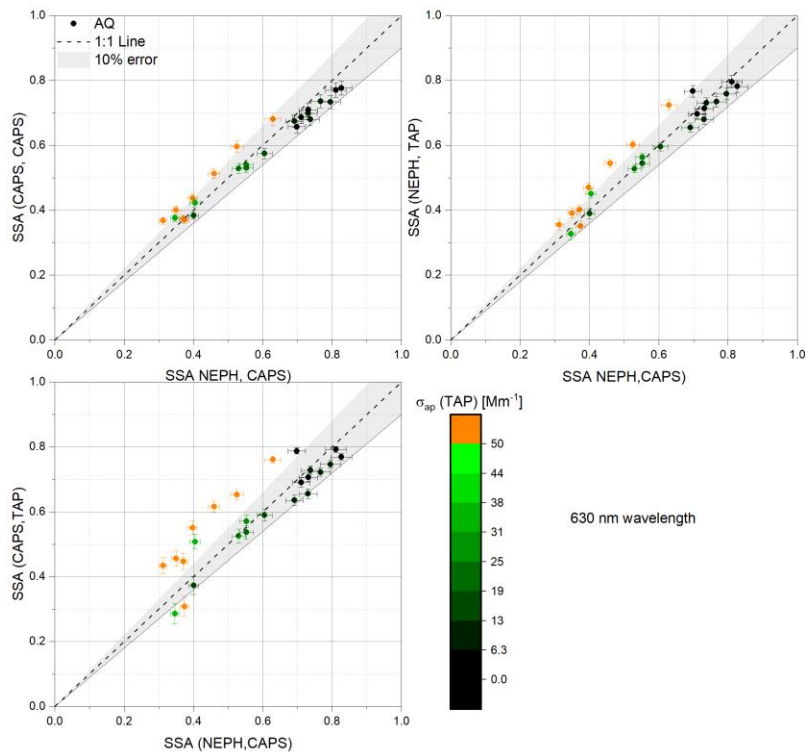
$$SSA(CAPS, CAPS) = \frac{\sigma_{sp}(CAPS)}{\sigma_{ep}(CAPS)} \quad \text{Eq. (9)}$$

As reference we use the following often used combination:

$$SSA(NEPH, CAPS) = \frac{\sigma_{sp}(NEPH)}{\sigma_{ep}(CAPS)} \quad \text{Eq. (10)}$$

We have chosen to use the SSA(NEPH, CAPS) derived SSA values as a reference for these studies, as this method This allows us to test the CAPS measured SSA with an in-dependent, established method, which is used for example in (Sheridan, 2005) as a reference with the nephelometer and another cavity ring down method delivering aerosol light extinction values. However, a strong argument could be made that the CAPS PM<sub>SSA</sub> Monitor derived SSA values should be the true reference here, as It is a clear advantage, that the CAPS<sub>ssa</sub> Monitors can give the CAPS-derived SSA values were obtained by simultaneously measuring the scattering and extinction of same aerosol sample within a single instrument at the same time with the volume. #39}





740 **Figure 7.** This figure shows scatter plots of derived SSA instrument-to-instrument measurement ratios values from various combinations of measurements for at 630 nm wavelength reported-obtained for AQ/AS mixtures (y-axis) versus SSA(NEPH, CAPS) as the reference on the x-axis. (see Equations 7-11). The colour code indicates  $\sigma_{ap}$ (TAP) values shown in  $Mm^{-1}$ .

745 **Figure 7** Figure 7 shows the SSA parameters-values obtained by the three combinations of instruments measurements for at 630 nm wavelength. The correlations show reasonable results within relative to a  $\pm 10\%$  error band, with the best correlation obtained for the SSA(CAPS, CAPS) versus SSA(NEPH, CAPS) measurements. In general, the higher the SSA values, the lower the measured absorption coefficients,  $\sigma_{ap}$ . Spotting for dark colours in the colour code—Low  $\sigma_{ap}$  values are only seen for SSA > 0.6 as expected—reflecting that there are just fewer particles of Aquadag in the external aerosol mixture. The exception to this trend and the points exhibiting the greatest number of outliers (>10% from 1:1 line) are the points with General, the load of absorbing particles seems not to not influence the accuracy of the method, except for high absorption coefficients (>over 50  $Mm^{-1}$ ). Here, the largest outliers are observed in the instrument combinations that include the TAP and may be due to TAP shows a nonlinear response in the TAP under high aerosol loadings which is visible in Figure 7 as offset of 0.1 higher than the SSA reference calculated using Eq. (10).

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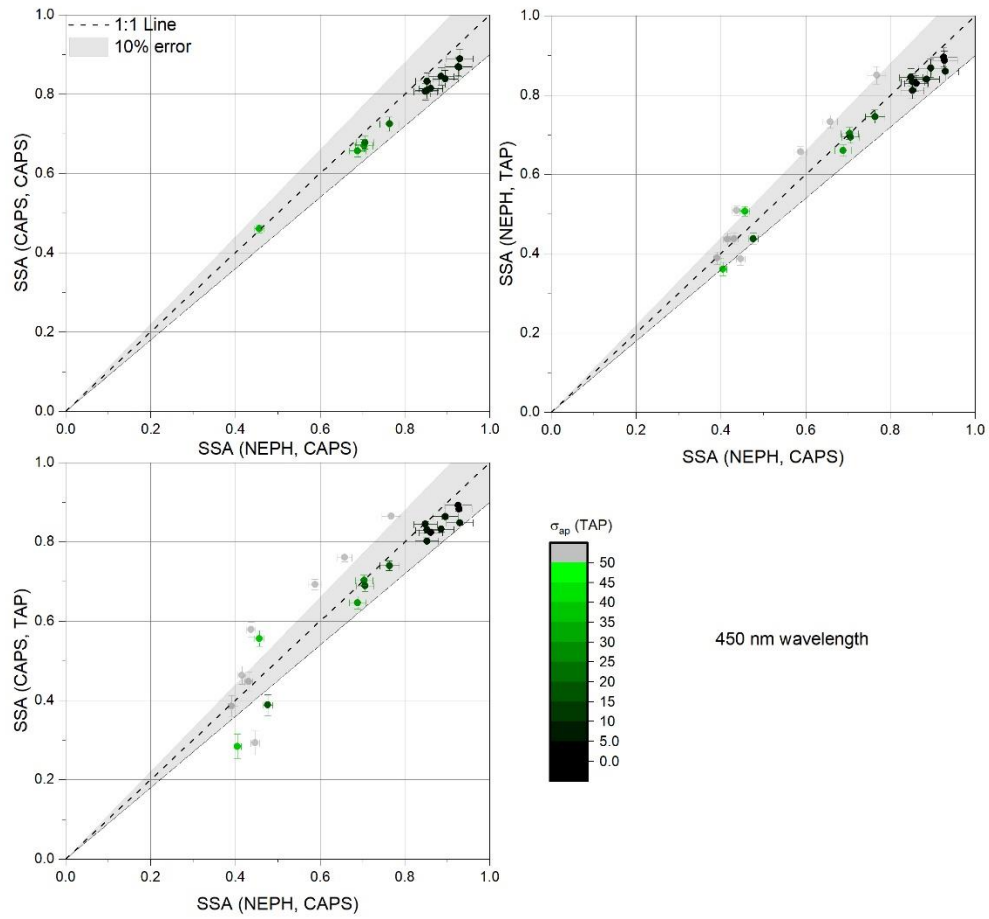
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Like Similarly to in the previous section, we calculated the ensemble average average of instrument-to-instrument measurement ratio averages, using the SSA(NEPH, CAPS) values for reference, as another way of examining the

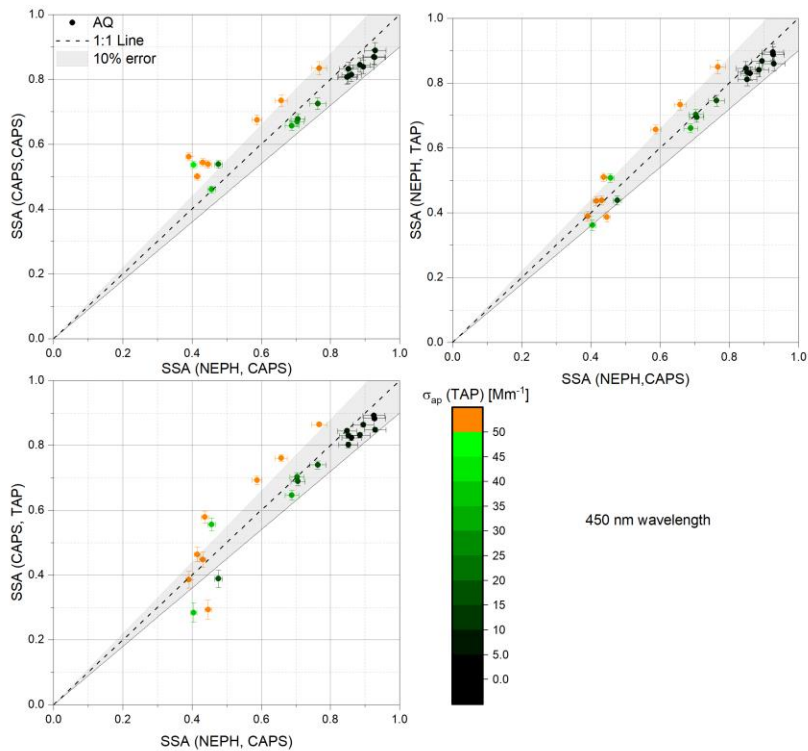
760 ~~correlations. ) was calculated to show a robust measure for the overall agreement of this parameter. In Table 10 (The SSA values for all absorbing aerosol types (externally mixed with AS) are summarized in Table 10. We use The nephelometer and CAPS extinction was used again as reference for reference once again. The highest-largest variance deviation is visible with combustion soot for TAP related data. The deviations of the reported mean from 1 are less than the relative uncertainties which range around 0.09.~~

765 **Table 1010.** Ensemble ~~average of~~ instrument-to-instrument measurement ratio averages and standard deviations for different instrument combinations ~~used to derive to obtain the~~ SSA values at 630 nm wavelength using *SSA(NEPH, CAPS)* as reference.

Instrument combinations used for SSA calculations	BC	AQ	SOOT	MB
<i>SSA (CAPS, CAPS)</i> <del>∠</del>	1.00 ± 0.08	1.01 ± 0.07	1.07 ± 0.07	1.00 ± 0.04
<i>SSA(NEPH, CAPS)</i>				
<i>SSA(NEPH, TAP)</i> <del>∠</del>	0.96 ± 0.08	1.02 ± 0.08	1.04 ± 0.29	1.00 ± 0.03
<i>SSA(NEPH, CAPS)</i>				
<i>SSA(CAPS, TAP)</i> <del>∠</del>	0.98 ± 0.16	1.05 ± 0.16	1.07 ± 0.51	1.00 ± 0.03
<i>SSA(NEPH, CAPS)</i>				



770



790 **Figure 8.** This figure shows scatter plots of Instrument to instrument ratios for Differential Method derived the SSA for values for different instrument combinations at 450 nm wavelength using AQ/AS mixtures versus for different instrument combinations as functions of the references SSA (NEPH, CAPS). The colour code indicates  $\sigma_{ap}$ (TAP) values shown in  $Mm^{-1}$ .

795 **Figure 7** Figure 8 shows the SSA values obtained by the three combinations of measurements at 450 nm wavelength for all AQ/AS external mixtures. Figure 8 presents scatter plots of Instrument to instrument ratios for the SSA values for 450 nm wavelength using AQ/AS mixtures for all instrument combinations. Observed patterns are comparable to the 630 nm wavelength results of in Figure 7 Figure 7 for 630 nm wavelength. For absorption coefficients up to  $50 Mm^{-1}$ , all methods agree within 10%. Above  $50 Mm^{-1}$ , again the largest outliers are again observed in the instrument combinations that include the TAP instrument non-linear response of TAP is visible again showing an offset of 0.1 for the instrument to instrument ratio.

800 **Table 4.11.** Ensemble instrument-to-instrument measurement ratio averages and standard deviations for different instrument combinations used to derive SSA values at 450 nm wavelength using SSA (NEPH, CAPS) as reference. Ensemble averages of instrument to instrument measurement ratios for different instrument combination to obtain the SSA at 450 nm wavelength using SSA (NEPH, CAPS) as reference (see Eq. 7-10).

Instrument combination used for SSA calculation	BC	AQ	SOOT	MB
SSA (CAPS, CAPS) <i>SSA(NEPH, CAPS)</i>	1.17 ± 0.21	1.04 ± 0.13	1.11 ± 0.13	0.98 ± 0.02
SSA (NEPH, TAP) <i>SSA(NEPH, CAPS)</i>	1.07 ± 0.08	1.02 ± 0.08	0.96 ± 0.19	1.04 ± 0.13
SSA (CAPS, TAP) <i>SSA(NEPH, CAPS)</i>	1.11 ± 0.13	1.03 ± 0.14	0.64 ± 0.38	1.05 ± 0.14

Table 11 summarizes the 450 nm wavelength ensemble instrument-to-instrument measurement ratio averages, using the SSA(NEPH, CAPS) values for reference. The pattern, that ~~more~~ fractal aerosol optical properties appear to differ most from the ~~ensemble average~~ reference values as the wavelength decreases is visible here, too. The fresh combustion soot aerosol shows with  $0.64 \pm 0.38$  highest the largest deviation from 1 ( $0.64 \pm 0.38$ ) for SSA (CAPS, TAP) measurements. But, overall, all the instrument-to-instrument ratios are close to unity within the observed variances.

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### 3.2.3.2 Ångström Exponents: EAE

In this section, we will now focus on the next important and climate model relevant aerosol parameter. The Ångström exponents are calculated from extensive parameters of measured at different wavelengths. Even a small precision error in the extensive parameter measurements can results in a high significant deviation uncertainty in the derived Ångström exponents, considering error propagation. Some of optical instruments used in the current study operated at slightly different wavelengths, such that the derived Ångström exponents will exhibit slight biases due to these wavelength difference; these biases are small relative to the observed variances and are thus assumed negligible. To demonstrate the overall variability, all aerosol types measured are shown simultaneously in all plot in this section.

825 The following equations, based on Eq.(3), are used to derive the Ångström exponents for extinction, scattering, and absorption using different instrument combinations with their specific wavelengths indicated:

$$xAE(\text{Instrument 1, Instrument 2}) = - \frac{\log \left( \frac{\sigma_{xp\lambda_1}(\text{Instrument 1, Instrument 2})}{\sigma_{xp\lambda_2}(\text{Instrument 1, Instrument 2})} \right)}{\log(\lambda_1 / \lambda_2)} \quad \text{Eq. (11)}$$

$$830 \quad EAE(\text{CAPS}) = - \frac{\log \left( \frac{\sigma_{ep\lambda_1}(\text{CAPS})}{\sigma_{ep\lambda_2}(\text{CAPS})} \right)}{\log(450 / 630)} \quad \text{Eq. (12)}$$

$$EAE(\text{NEPH, TAP}) = - \frac{\log \left( \frac{\sigma_{ep\lambda_1}(\sigma_{ap}(\text{TAP}) + \sigma_{sp}(\text{NEPH}))}{\sigma_{ep\lambda_2}(\sigma_{ap}(\text{TAP}) + \sigma_{sp}(\text{NEPH}))} \right)}{\log(450 / 630)} \quad \text{Eq. (13)}$$

$$\text{SAE}(\text{NEPH}) = -\frac{\log\left(\frac{\sigma_{sp\lambda_1}(\text{NEPH})}{\sigma_{sp\lambda_2}(\text{NEPH})}\right)}{\log(450/700)} \quad \text{Eq. (14)}$$

$$\text{SAE}(\text{CAPS, TAP}) = -\frac{\log\left(\frac{\sigma_{sp\lambda_1}(\sigma_{ep}(\text{CAPS})-\sigma_{ap}(\text{TAP}))}{\sigma_{sp\lambda_2}(\sigma_{ep}(\text{CAPS})-\sigma_{ap}(\text{TAP}))}\right)}{\log(450/630)} \quad \text{Eq. (15)}$$

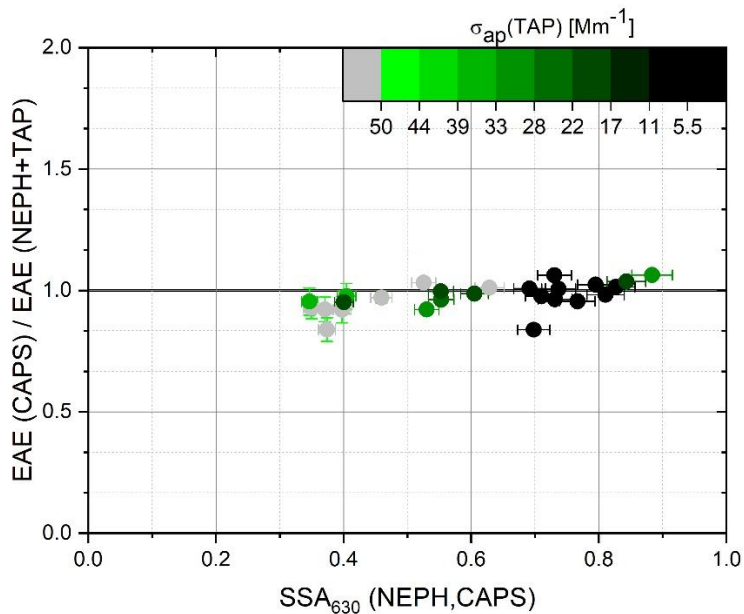
$$\text{AAE}(\text{TAP}) = -\frac{\log\left(\frac{\sigma_{ap\lambda_1}(\text{TAP})}{\sigma_{ap\lambda_2}(\text{TAP})}\right)}{\log(467/652)} \quad \text{Eq. (16)}$$

$$835 \quad \text{AAE}(\text{CAPS, NEPH}) = -\frac{\log\left(\frac{\sigma_{ap\lambda_1}(\sigma_{ep}(\text{CAPS})-\sigma_{sp}(\text{NEPH}))}{\sigma_{ap\lambda_2}(\sigma_{ep}(\text{CAPS})-\sigma_{sp}(\text{NEPH}))}\right)}{\log(450/630)} \quad \text{Eq. (17)}$$

### 3.2.3 Extinction Ångström Exponents (EAE)

840 The derived EAE(NEPH, TAP) and EAE(CAPS) values are shown in Figure 9 as a scatter plot and in Figure 10 as a ratio versus the 630 nm wavelength SSA(NEPH, CAPS) values. The EAE(CAPS) values were used as the reference measurement. When directly comparing EAE(NEPH, TAP) to EAE(CAPS), the EAE values agree within 10% variance. The highest measured EAE values for the AQ and AS mixtures, ~3, were close to the EAE values measured for the pure AS particles distributions with CMD ~ 40 nm (Table 3).

The measured EAE(NEPH, TAP) / EAE(CAPS) ratios exhibited no systematic dependence on the  $\sigma_{ap}$



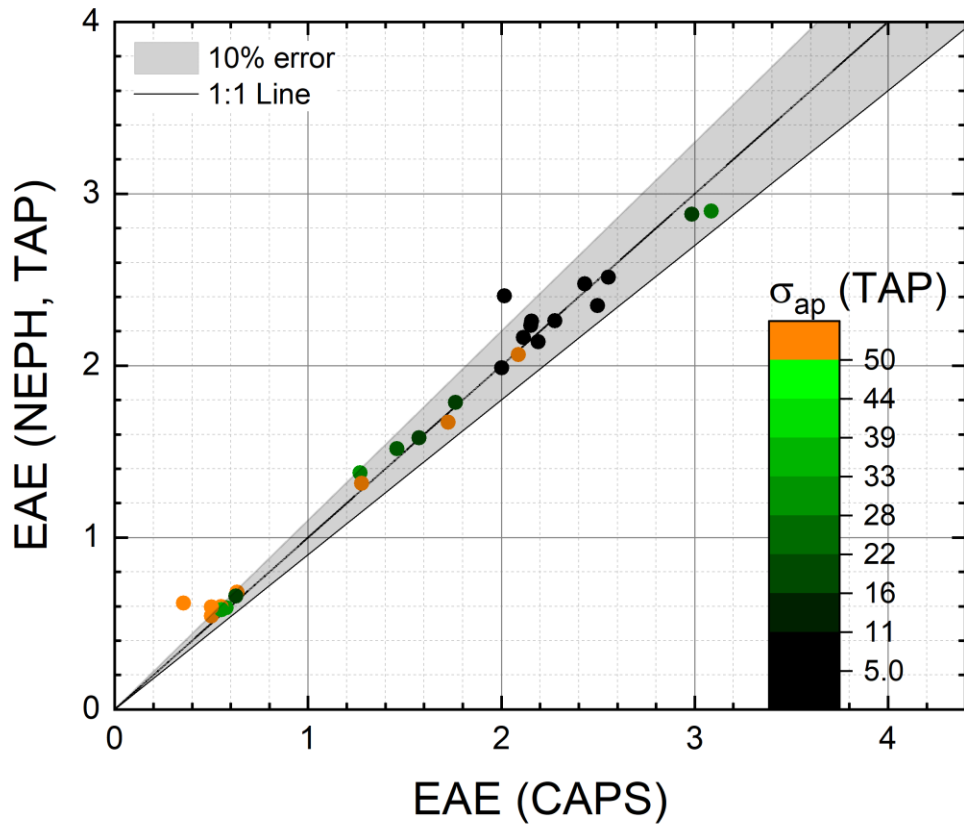
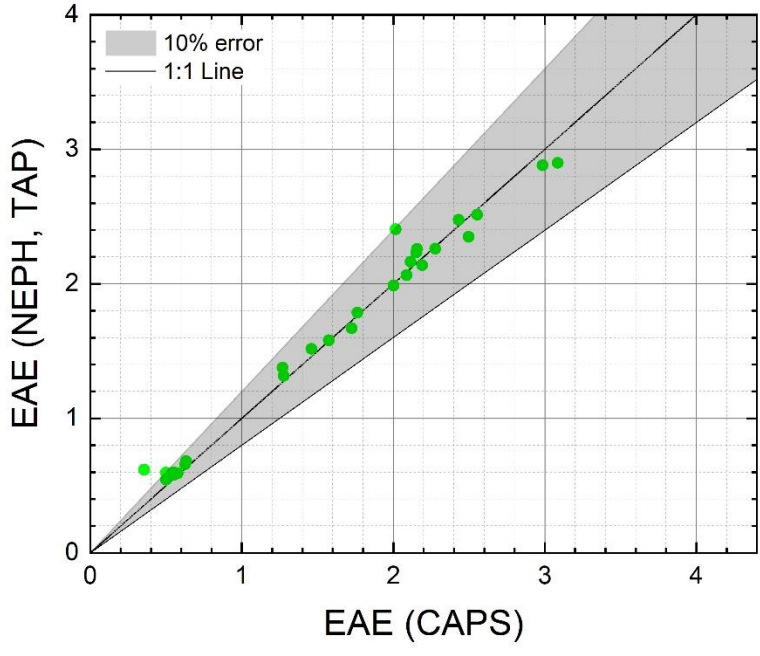
845 **Figure 9.** This figure shows the ratio of the extinction Ångström exponent EAE(CAPS) / EAE(NEPH, TAP) as a function of SSA(CAPS, NEPH) at 630nm wavelength and the light absorption coefficient  $\sigma_{ap}$ (TAP) at 630 nm in the colour code for AQ/AS mixtures.

850  $N$ (TAP), Figure 9, or SSA(NEPH, CAPS), Figure 10, values. Measured EAE(NEPH, TAP) / EAE(CAPS) ratios for all absorbing aerosol types (externally mixed with AS) are listed in Table 12. either the SSA, nor  $\sigma_{ap}$  show a

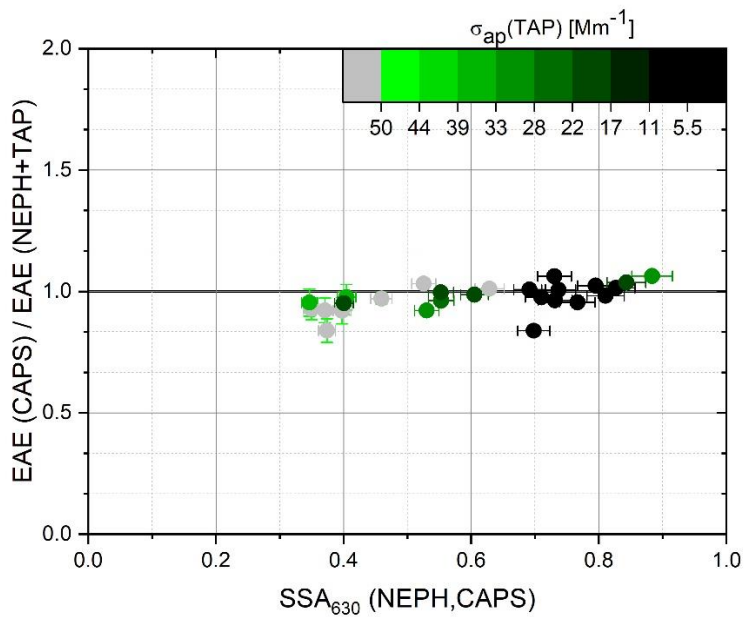


systematically dependence on the EAE ratios  $EAE(CAPS) / EAE(NEPH, TAP)$ . Only method to method ratios for fresh flame soot deviate up to a ratio of 1.5, indicating higher EAE(CAPS) values compared to EAE(NEPH, TAP) visible in Figure 9. Possible explanations for this may be some smaller aerosol bursts which occur while the flame flickers, or the particle shape influencing the measurement accuracy. A possible explanation for this could be that variances in a small timescale are smoothed out by the bigger volume of the Nephelometer compared to the CAPS instrument, where these fluctuations are seen within the timeline.

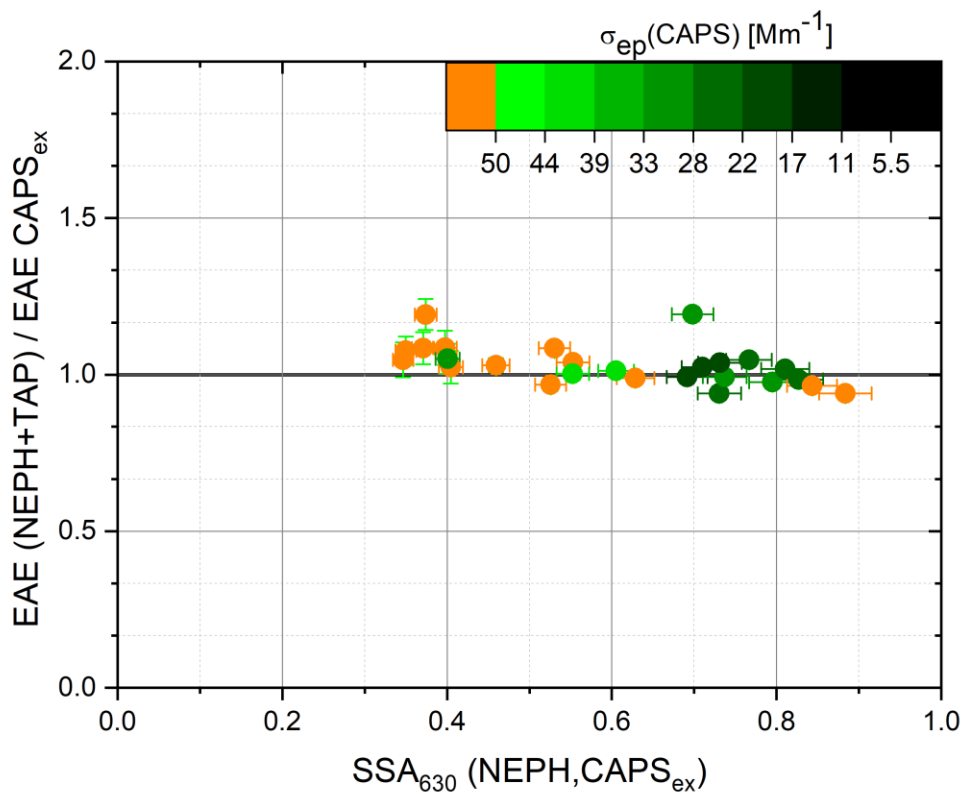
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**Figure 910.** Scatter plot of EAE(NEPH, TAP) values-measurements compared to EAE(CAPS) obtained by CAPS compared to EAE values obtained from TAP + NEPH measurements showing for AQ/AS mixtures. An error band of 120% is shown.



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**Figure 10.** The extinction Ångström exponent  $EAE(NEPH, TAP) / EAE(CAPS)$  ratios as a function of 630nm wavelength  $SSA(NEPH, CAPS)$  values for AQ/AS mixtures. The 630 nm wavelength light absorption coefficient,  $\sigma_{epap}(TAPCAPS)$ , is used as the colour code.

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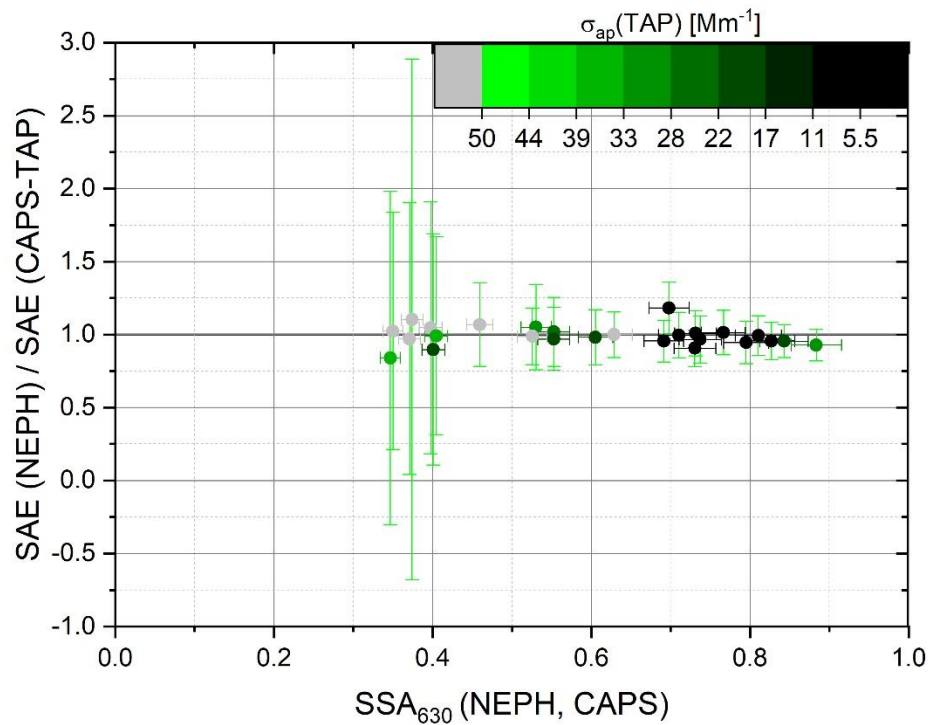
When directly comparing EAE(TAP, NEPH) to EAE(CAPS), the EAE values agree within 10% deviation visible in Figure 10. Again, the best correlation is visible with Aquadag mixture particles. For EAE(CAPS) > 2.5 the EAE(TAP, NEPH) tends to underestimate the EAE. Nevertheless EAE(NEPH, TAP) shows the highest values close 3.21 which corresponds to EAE values reported in Table 3 for the pure AS particles which are small in size of about 40nm.

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32.32.34 Scattering Ångström exponent (s:SAE)

The derived SAE(CAPS, TAP) and SAE(NEPH) values are shown in Figure 11 as a scatter plot and in Figure 12 as a ratio versus the 630 nm wavelength SSA(NEPH, CAPS) values. The SAE(NEPH) values were used as the reference measurement. All SAE(CAPS, TAP) and SAE(NEPH) values agree within 10% variance and the measured SAE(CAPS, TAP) / SAE(NEPH) ratios exhibited no systematic dependence on the  $\sigma_{\text{sap}}$ (TAPCAPS), Figure 11, or SSA(NEPH, CAPS), Figure 12, values. The measured SAE(CAPS, TAP) / SAE(NEPH) ratios for all absorbing aerosol types (externally mixed with AS) are listed in Table 12.

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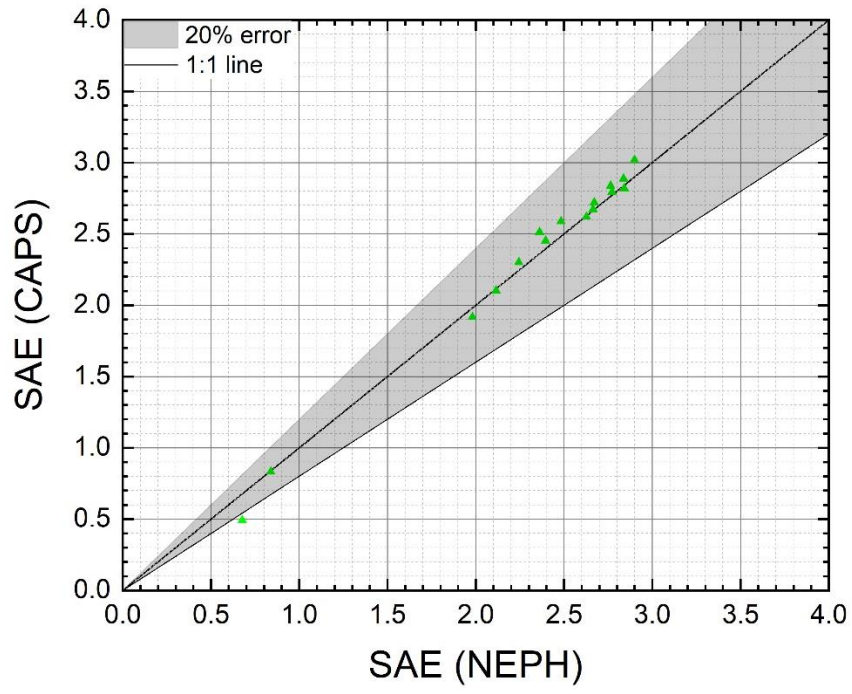
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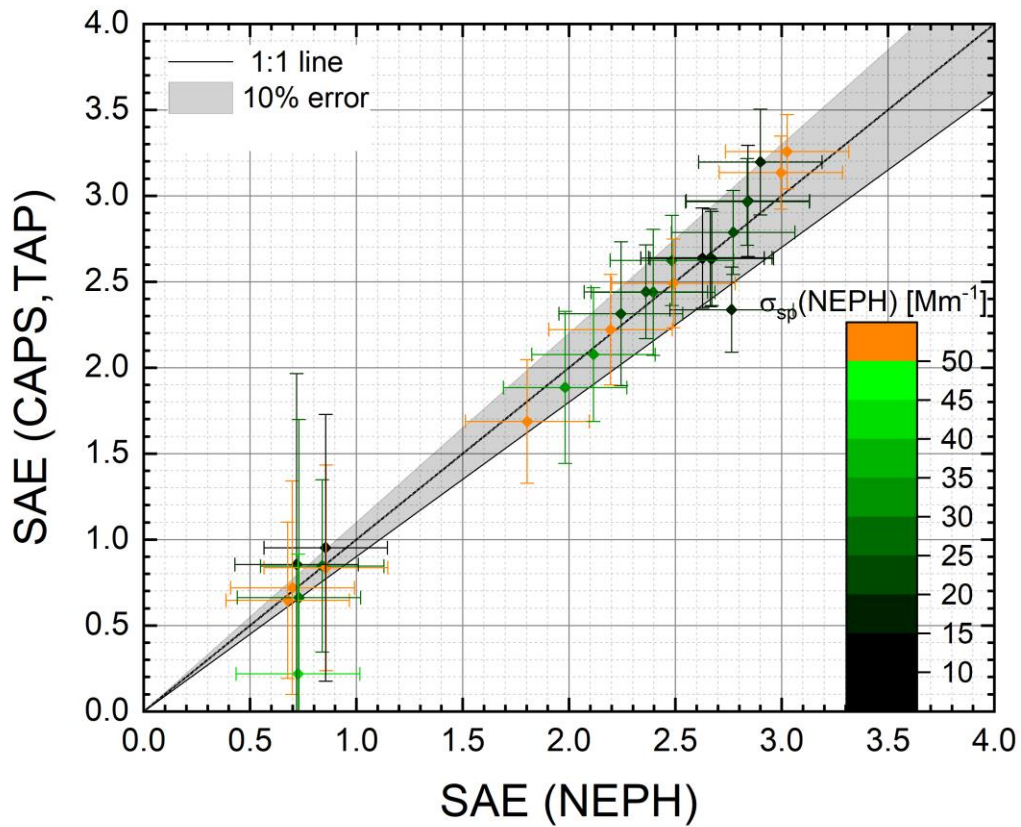
885 **Figure 11.** This figure shows the instrument to instrument ratio of the Scattering Ångström exponent SAE(NEPH) / SAE(CAPS, TAP) as function of SSA(CAPS, NEPH) wavelength and as function of  $\sigma_{\text{ap}}$ (TAP) as a colour code both for at 630 nm.

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~~The instrument to instrument ratios of SAE were calculated for each particle type. Most data points show a ratio of close to 1 not biased by  $\sigma_{ap}$  or by the SSA shown in and Figure 11.~~

~~Looking for the instrument to instrument SAE ratios for the different absorbing species individually in Table 12, only soot shows an instrument to instrument ratio of about  $1.43 \pm 0.61$ , which, is statistical, is not significant significantly different from 1.~~



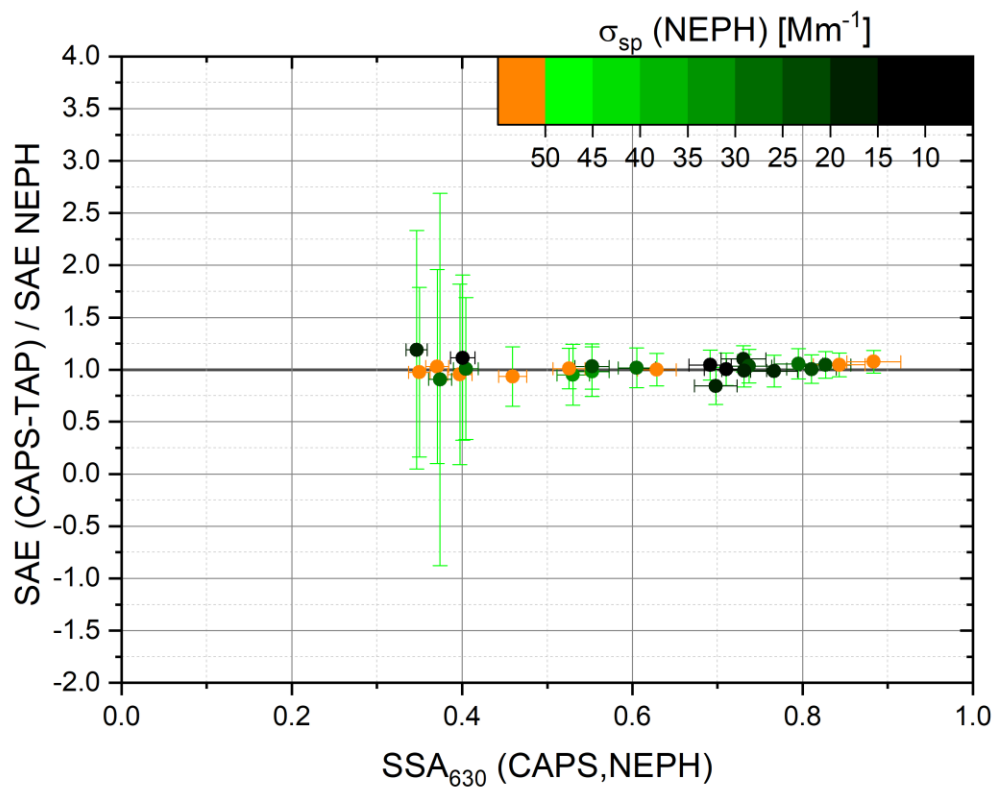
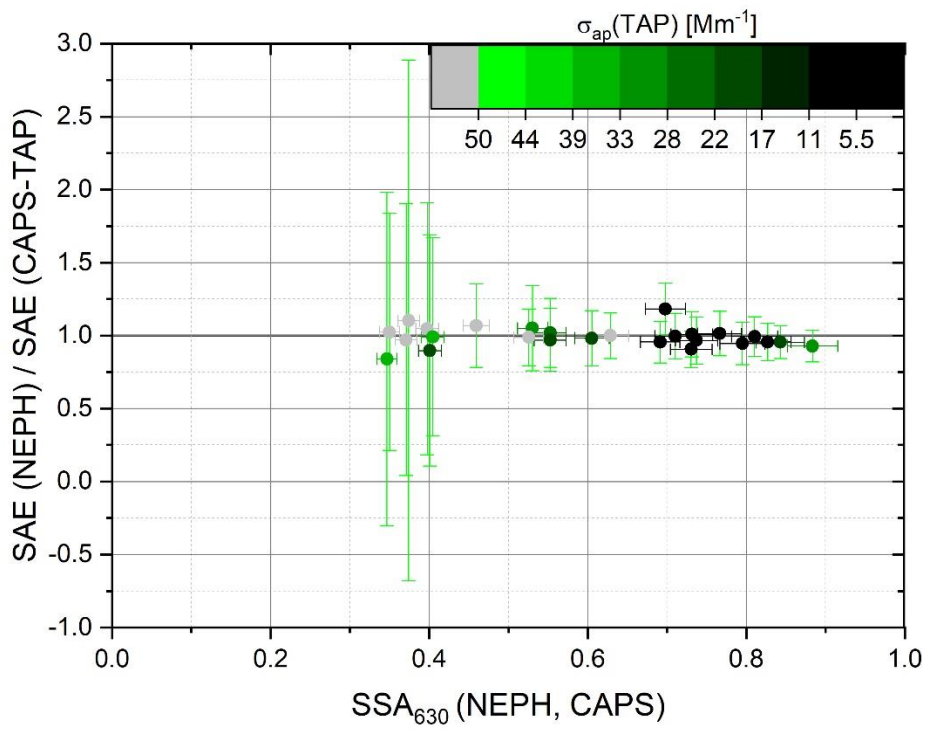


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**Figure 9121.** Scatter plot of SAE(CAPS,TAP) measurements compared to SAE(NEPH) measurements for AQ/AS mixtures. An error band of 10% is shown.

Scatter plot of SAE values obtained by CAPS is compared to SAE values obtained by NEPH for AQ/AS mixtures.





**Figure 1012.** The scattering Ångström exponent ratio,  $SAE(CAPS, TAP) / SAE(NEPH)$ , as a function of 630nm wavelength SSA(NEPH, CAPS) values for AQ/AS mixtures. The 630 nm wavelength light absorption coefficient,  $\sigma_{ap}(TAP)$ , is used as the colour code.

905 When comparing the SAE dataset obtained by using Nephelometer and CAPS is measurements in Figure 12 and Table 12, Aquadag shows the best instrument to instrument ratio of  $0.99 \pm 0.15$ . A small nonlinearity for SAE values higher 3.0 begins to deviate from the 1:1 line but stays within 15% deviation, as already seen for EAE. Here, again, NEPH shows higher SAE values compared to CAPS by a factor 0.9. This factor corresponds as with the observed factor for the EAE values and is linked to nephelometer measurements for fine AS particles. Since the Nephelometer correction is calculated based on the scattering angstrom exponent, which contains a vague size distribution information, it could fail to give correct values for aerosol mixtures and for different sizes.

#### 32.32.5 Absorbing Ångström exponent (AAE)

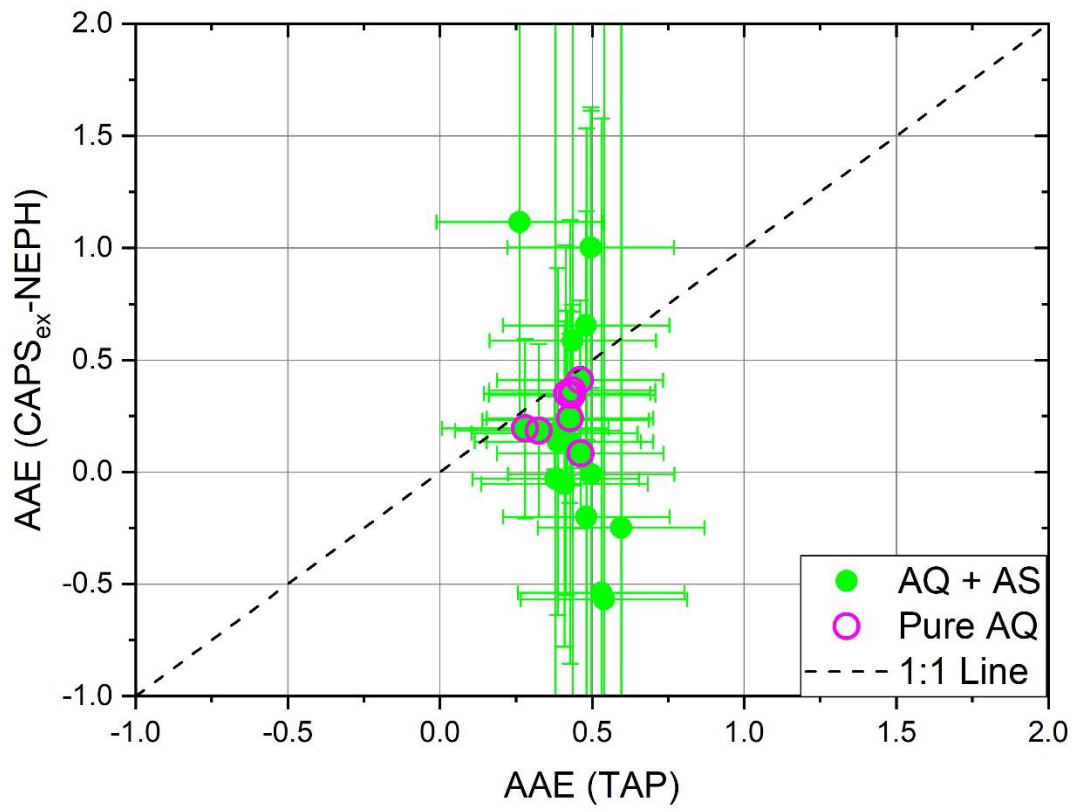
The absorption Ångström exponent (AAE) depends entirely on the absorbing particle type and coatings and should not differ when the light absorbing particles is-are externally mixed with non-light absorbing particles. Thus, scatter plots of AAE values should exhibit a single point. Figure 13 shows the derived AAE(CAPS, NEPH) values relative to the derived AAE(TAP) values for pure AQ and for AQ/AS external mixtures. The AAE(TAP) values were chosen as the reference measurements here, despite the potential for known filter-based artifacts. The pure AQ measurements in Figure 13 exhibit a compact cluster around  $AAE \sim 0.4$ , indicating a well-defined (i.e., small variance) set of AAE measurements were obtained for both AAE measurements. The measured AAE for pure AQ particles of 0.4 is consistent with the “close to zero” result reported by Aiken, (2016 #294) Aiken et al. (2016).

The externally mixed AQ/AS results show a significantly different result. For the AQ/AS mixtures, the AAE(TAP) exhibited a similar variance as for the pure AQ aerosols, while the AAE(CAPS, NEPH) values exhibited a much larger variance, including unphysical negative values. One reason for the larger AAE(CAPS, NEPH) variances observed for the externally AQ/AS mixtures relative to the pure AQ is that the mixed AQ/AQ samples were conducted at significantly lower AQ loadings (i.e., lower  $\sigma_{ap}$  values). Another reason is that the pure AQ aerosols exhibited the lowest SSA values ( $\sim 0.37$  from Table 3) relative to the AQ/AS external mixtures.

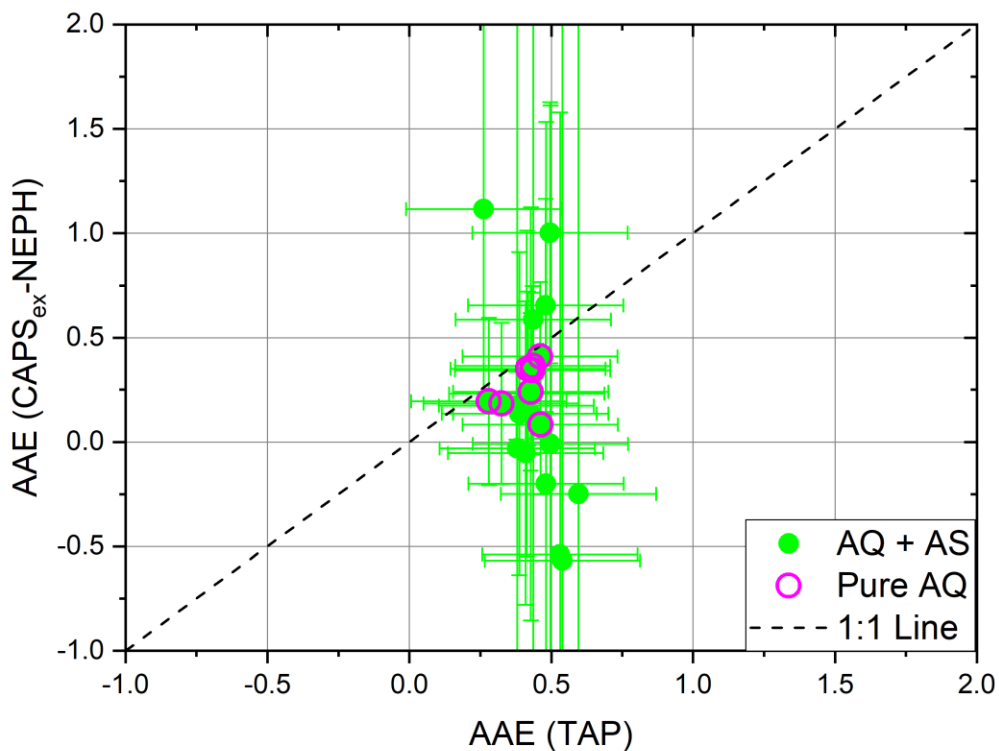
930 Figure 14 shows the ratio  $AAE(CAPS, NEPH) / AAE(TAP)$  versus the 630 nm wavelength SSA(NEPH, CAPS) values. As predicted in the propagated error analysis shown in Figure 6, higher SSA values cause higher uncertainties in Differential Method calculated light absorption coefficients,  $\sigma_{ap}(DM)$ , and, therefore, the derived AAE(CAPS, NEPH) values. In fact, since the derived AAE(CAPS, NEPH) values depend upon  $\sigma_{ap}(DM)$  measurements at two different wavelengths, the AAE uncertainties will be significantly higher than the corresponding  $\sigma_{ap}(DM)$  uncertainties, especially at high SSA values. Figure 14 indicates that lowering the absorption coefficients below  $50 \text{ Mm}^{-1}$  or increasing the SSA above 0.5, the derived AAE(CAPS, NEPH) values begin to vary strongly relative to the

AAE(TAP) values. For laboratory studies, aerosols with similar low SSA values and high absorbing particle concentrations can be readily achieved, but are rarely present in the ambient atmosphere. Therefore, extreme caution is justified when attempting to derive AAE(CAPS, NEPH) values for atmospheric measurements.

- 940 ~~The particles are external mixtures. Two separate nebulizers were used, as well as two separate dryer tubes. After that they are mixed in a chamber. Thus, the AAE for all mixtures should depend only on the absorbing aerosol distributions and be independent of the non-absorbing particles. This independency of adding AS to the mixture was observed for the filter based instrument TAP, visible as x axes in the scatter plot Figure 13, but when the AAE was calculated using measurements of the in situ instruments Nephelometer and CAPS, the AAE deviates more with increasing SSA and lowering  $\sigma_{ap}$ , shown in Figure 14. For mixtures of AQ, the AAE (CAPS, NEPH) is calculated could reach even unphysical negative values. As a result of the error propagation for precision errors, shown as individual error bars in both figures, it can be stated that AAE(CAPS,NEPH) values are not trustworthy especially for AQ mixtures with AAE(TAP) < 1.~~
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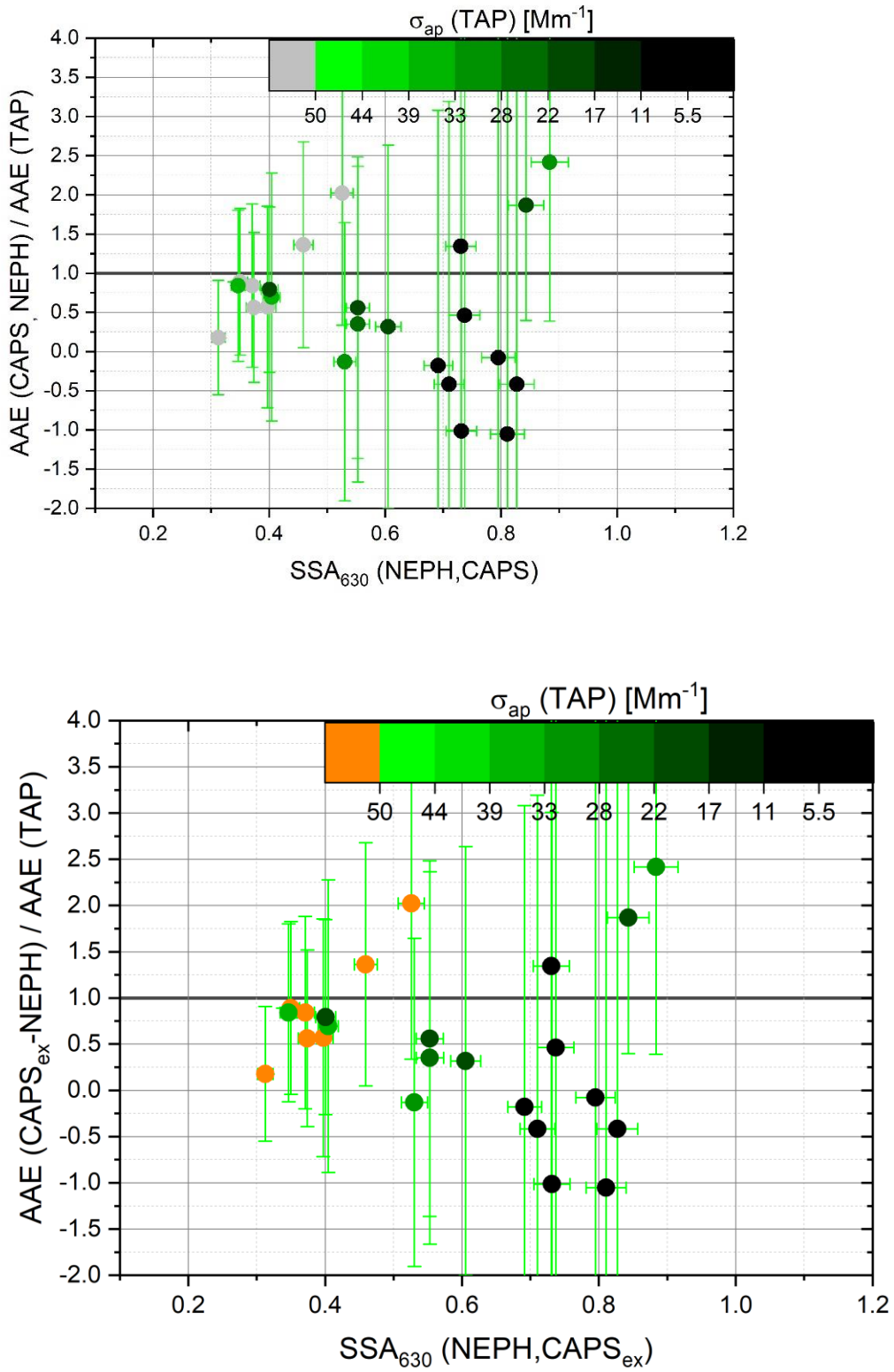


**Figure 1113.** Scatter plot of AAE(CAPS, NEPH) measurements compared to AAE(TAP) measurements for pure AQ and AQ/AS external mixtures. Measured precision error bars are shown for individual AQ/AS externally mixed measurements. **Scatter plot of AAE values obtained by AAE(TAP) compared to AAE(CAPS, NEPH).**

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The reason for this is the high relative precision error associated with low absorption particle loads for AAE (CAPS, NEPH), which we had crosschecked by calculating the variation of the AAE by varying the input variables by their possible max errors, showing the same results. Pure Aquadag particles are made visible by an open circle and it is visible, that those does not stray far from the 1:1 line in Figure 13 including AAE vales for Aquadag. For the pure substance a higher particle load could be used and no other negative interfering non-absorbing aerosols influence the measurements.

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**Figure 1214.** The absorbing Ångström exponent ratio,  $AAE(\text{CAPS, NEPH}) / AAE(\text{TAP})$ , as a function of 630 nm wavelength  $SSA(\text{NEPH, CAPS})$  values for AQ/AS mixtures. The 630 nm wavelength light absorption coefficient,

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$\sigma_{ap}(TAP)$ , is used as the colour code. Measured precision error bars are shown for individual measurements. This figure shows the instrument to instrument ratio of the absorption Ångström exponent  $AAE(CAPS, NEPH) / AAE(TAP)$  are shown as functions of  $SSA(CAPS, NEPH)_{630nm}$  and information of light absorption coefficient  $\sigma_{ap}(TAP)$  as a colour code are shown.

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In Figure 14, showing the Method to method ratios  $AAE(CAPS, NEPH) / AAE(TAP)$  there is a strong dependency as function of  $\sigma_{ap}(TAP)$  and  $SSA(CAPS, NEPH)_{630}$  visible. Lowering the absorption coefficients below  $100 Mm^{-1}$  or a SSA higher than 0.5, the AAE begins to differ strongly and up to tends up to triple the AAE value calculated from TAP coefficients only. As long as for laboratory studies, these high particle concentrations could be archived, but are rarely present in atmospheric conditions EAE(CAPS, NEPH) method is not applicable for atmospheric measurements

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Table 12 summarizes the averages and standard deviations of the measured Ångström exponent ratios,  $EAE(NEPH, TAP) / EAE(CAPS)$ ,  $SAE(CAPS, TAP) / SAE(NEPH)$ , and  $AAE(CAPS, NEPH) / AAE(TAP)$ . The average Ångström exponent ratios for light extinction (EAE) and scattering (SAE) fall within 10% of unity, with SOOT exhibiting the large variances. The average Ångström exponent ratios for light absorption (AAE) exhibit large deviations from unity with even larger variances. A large deviation for the AAE ratios value is associated with weak absorption coefficients of the aerosol mixtures used. Therefore, the AAE values show the biggest differences within the instrument-to-instrument ratio analysis.

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**Table 1422.** Ensemble averages and standard deviations for the instrument-to-instrument ratios of the Ångström exponents (EAE, SAE, AAE) derived from multiple instruments relative to those derived from single instruments as reference, using Ångström exponent calculated using instrument calculations and Ångström exponents (EAE, SAE, AAE) using single instrument data as reference.

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Ångström coefficient ratio	BC	AQ	SOOT	MB
$EAE(NEPH, TAP) / EAE(CAPS)$	$0.92 \pm 0.07$	$1.05 \pm 0.15$	$0.99 \pm 0.56$	$0.97 \pm 0.15$
$SAE(CAPS, TAP) / SAE(NEPH)$	$1.13 \pm 0.10$	$0.99 \pm 0.15$	$1.43 \pm 0.61$	$1.09 \pm 0.15$
$AAE(CAPS, NEPH) / AAE(TAP)$	$1.72 \pm 0.85$	$0.39 \pm 1.70$	$1.19 \pm 0.93$	$0.91 \pm 2.32$

To compare the overall accuracy of the instrument to instrument ratios are compiled results for EAE(NEPH,TAP)/EAE(CAPS), SAE(CAPS,TAP)/SAE(NEPH) and AAE(CAPS,NEPH)/AEA(TAP) are shown in Table 12. Here, an ensemble average and the associated variance was considered as a good reference. The instrument to instrument ratios for Ångström exponents for light extinction and Ångström exponents for scattering correspond within 10% deviation. The most prominent exception is, is again, freshly produced combustion soot. For light absorption, a large deviation for the AAE ratios value is associated with weak absorption coefficients of the mixtures used. Therefore, the AAE shows the biggest differences within the instrument to instrument ratio analysis.

### 1000 **4.3. Conclusions**

A major goal of this study was to determine if the the errors associated with instrumental uncertainties of intensive optical aerosol parameters, such as single scattering albedo and Ångström exponents, for externally mixed absorbing and non-absorbing aerosols could be measured within reported optical instrument extensive measurement uncertainties (i.e., optical closure). Closure within reported instrument uncertainties was achieved for all measured extensive optical properties (i.e., extinction, scattering, and absorption) and most intensive optical properties (i.e., single scattering albedo, extinction Ångström exponent and scattering Ångström exponent). Unsurprisingly, the measurements with the largest variances were the absorption coefficient measurements derived from the Differential Method (i.e., absorption = extinction minus scattering) and the related absorbing Ångström exponent (AAE). While the absorption coefficient measurements were within reported uncertainties, the derived AAE values exhibited average values and standard deviations greater than expected far greater than the other Ångström exponent but are within expected range.

We conducted an Basis was an instrument intercomparison laboratory study of employing several widely used measurement techniques that are suitable for long-term ambient observations. The optical instrument suite included two CAPS PM<sub>SSA</sub> monitors measuring extinction and scattering at 450 and 630nm, a TSI integrating Nephelometer (NEPH) measuring scattering at 450, 550, and 700 nm, and a Brechtel Tricolor Absorption Photometer (TAP) measuring absorption at 467, 528, and 652 nm. External mixtures of absorbing (Aquadag, combustion soot from a laboratory flame generator, Cabot carbon black, and acrylic Magic Black paint) particles and non-absorbing ammonium sulphate particles were generated with single scattering albedo (SSA) values between 0.2 and 1.0 and extinction values between 15 – 150 Mm<sup>-1</sup>, representative of atmospheric aerosols. However, our study does not explicitly address real-world ambient aerosols that can be internally or externally mixed or both, contain particles with liquid, solid, and/or semi-solid phases, and may contain multiple sources of absorbing material.

The methods used agreed the most for with a mixture of the spherical shaped colloidal graphite (Aquadag) as light absorbing and ammonium sulphate as a light scattering aerosol component. Results for this mixture have low uncertainties and agree within 10% deviation between the methods for single scattering albedo, extinction Ångström exponent and scattering Ångström exponent. Laj et al.,2020 recently stated requirements for GCOS (Global Climate



Observing System) applications. Here, he proposed uncertainties lower than the 20% measurement uncertainty for single scattering albedo measurements for attributing and detecting changes to a climate feedback. The uncertainties and deviations shown in this work are with 8–10% measurement uncertainty fulfil the required limit. Overall, we were able to show ~~study~~ that measured extensive optical parameters agree within the limits of uncertainty for the individual or combined instruments. In particular, we report that the scattering coefficient measurement by the CAPS PM<sub>SSA</sub> agrees with the TSI integrating Nephelometer within 10% relative error (i.e., optical closure). Therefore, The CAPS PM<sub>SSA</sub> monitor could be considered as a replacement for the TSI Nephelometer, as the NEPH is no longer produced. Trade-offs in the CAPS PM<sub>SSA</sub> versus NEPH comparison include the three wavelengths and backscatter measurements of the NEPH versus the single wavelength of the CAPS PM<sub>SSA</sub>, countered by the additional extinction measurement of the CAPS PM<sub>SSA</sub> allowing for absorption and SSA values to be simultaneously measured.

Measurement differences were observed as a function of absorbing particle type. For light absorbing compact aggregates, we achieved the highest correlations for light extinction, scattering, and absorption coefficients. For fractal-like absorbing combustion soot particles, the correlation for light absorption between the in-situ and filter methods weakened but stayed within instrument uncertainty ranges. These observed differences might be due to the combined effects of small flickers from the inverted flame generator during the experiment, the overall filter correction schemes, and/or the physical behaviour of agglomerates. For more compact particles, the scattering is stronger (Radney et al., 2014). For the TAP filtered-based method, changes in the backscattering of light is not considered in the correction schemes, which might be responsible for the disagreement.

For compact aggregates/spherical particles, we achieved the highest correlations for each light extinction, scattering and absorption coefficients. For fractal-like particles, the correlation for light absorption between the in-situ and filter method weakens but stays within instrument uncertainty ranges.

Uncertainties increased for intensive optical parameters, especially for the absorption Ångström exponent (AAE) parameter ~~that relied on~~ obtained with the differential method ~~that calculate~~ to calculate light absorption as the difference between light extinction and light scattering. The intensive parameters for the scattering and extinction Ångström exponent were within 10% relative error (i.e., optical closure), regardless of which instrument combination was used for parameter derivation. In contrast, absorption Ångström exponent (AAE) values required ~~In addition, extinction Ångström exponents, scattering Ångström exponents, and single scattering albedo were not as much affected by the uncertainties associated with the differential method used for  $\sigma_{sp}$  compared to the absorption Ångström exponent. Using the differential method, AAE was rarely within typical physical values for the differential method. Low single scattering albedo/SSA values (<0.5) and, more importantly, high particle loads of particulate absorption values -at least (>50 Mm<sup>-1</sup>)- are necessary to reach satisfactory levels of measurement uncertainty levels. for the DM ~~Low single scattering albedo values (<0.5) and, more importantly, high particle loads of at least 50 Mm<sup>-1</sup> are necessary to reach satisfactory uncertainty levels. Similar AAE results were recently reported for rural background sampling (Asmi et al., 2021).~~~~

1065 ~~Even with the strong deviation within absorption values, the intensive parameters for the scattering and extinction Ångström exponent stay within 10% deviation, regardless of which instrument combination is used for calculation. With this approach the intensive aerosol properties showed a high rate of agreement between different instrument sets for the determination of these properties for techniques used for long term measurements, except for the absorption angstrom exponent.~~

1070 ~~It was recently shown, that for rural areas these values are not achievable and show high deviations for detection limits and environmental monitoring for DM and filter based measurements (Asmi et al., 2021). Additionally, our study does not explicitly address real world ambient aerosols that can be internally or externally mixed or both, contain particles with liquid, solid, and semi solid phases, and may contain multiple sources of absorbing material (Lack, 2008, that environmental measurements could be troublesome with filter methods, but we are most certain, that for intensive Parameter calculation these values could still be used. #18). In our study, Freshly generated combustion soot differs the most, with results disagreeing up to 30% between filter based absorption coefficient data and in situ methods. This is due to the combined effects of small flickers of the inverted flame generator during the experiment, the overall filter correction schemes, and the physical behaviour of agglomerates. For more compact particles, the scattering is stronger (Radney et al., 2014 #291). A stronger backscattering is not considered in the correction schemes which might be responsible for the disagreement. The single scattering albedo for 630 nm wavelength could be determined within 10% deviation between the instrument combinations of CAPS, TAP and Integrating Nephelometer, but tends to differ by at least 0.1 for light absorption coefficients of over 50 Mm<sup>-1</sup>. A similar accuracy could be achieved with a wavelength of 450 nm, for which a 15% deviation between the instrument combinations must be considered. Even with the strong deviation within absorption values, the intensive parameters~~

1075 ~~for the scattering and extinction Ångström exponent stay within 10% deviation, regardless of which instrument combination is used for calculation. With this approach the intensive aerosol properties showed a high rate of agreement between different instrument sets for the determination of these properties for techniques used for long term measurements, except for the absorption angstrom exponent. As an additional result, we can present that in our study, the scattering coefficient measurement by the CAPS PM<sub>SSA</sub> agrees with the integrating Nephelometer within~~

1080 ~~10% deviation. Therefore, it could be substituting the TSI Nephelometer 3563 for light scattering measurements since it is not produced any longer.~~

1085 ~~This does not mean that nephelometer are not of interest anymore because they provide additional information on backscattering information at multiple wavelength in one instrument. As an additional result, we can present that for stable aerosol production, the internal scattering coefficient measurement by the CAPS PM<sub>SSA</sub> agrees with the integrating Nephelometer within 10% deviation and therefore could be substitute the TSI Nephelometer 3563 for light scattering measurements which is not produced any longer.~~

1090 ~~Finally, Laj et al. (2020) recently stated measurement requirements for GCOS (Global Climate Observing System) applications for attributing and detecting changes to climate feedback. The reported requirements for the climate-critical intensive optical properties, specifically the single scattering albedo (SSA), are measurement techniques with relative measurement uncertainties less than 20%. In our study, SSA values were measured for all instrument combinations of CAPS, TAP, and NEPH within 10% relative error at 630 nm wavelength and within 15% at 450 nm~~

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wavelength. Therefore, the measured SSA averages and variances using our optical instrument suite for externally mixed laboratory particles indicates that these instruments meet these proposed requirements.

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1110 *Contributions of co-authors.* PW performed all instrument calibrations, the instrumental set up, and the data analysis. UB and BF designed the LabVIEW environment of the experimental set up. MB helped during instrument preparations. AF and TO provided technical details of the instrumentation. PW, OB, [AF, TO](#), UB and AP contributed to the manuscript and the interpretation of the results.

1115 *Conflict of interest.* The authors declare that they have no conflict of interest.

[Data availability.](#)

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