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3 **Top of the Atmosphere Reflected Shortwave Radiative Fluxes from GOES-R**

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11  
12 **Abstract.** Under the GOES-R activity, new algorithms are being developed at the National Oceanic and  
13 Atmospheric Administration (NOAA)/Center for Satellite Applications and Research (STAR) to derive  
14 surface and Top of the Atmosphere (TOA) shortwave (SW) radiative fluxes from the Advanced Baseline  
15 Imager (ABI), the primary instrument on GOES-R. This paper describes a support effort in the  
16 development and evaluation of the ABI instrument capabilities to derive such fluxes. Specifically, scene  
17 dependent narrow-to-broadband (NTB) transformations are developed to facilitate the use of observations  
18 from ABI at the TOA. Simulations of NTB transformations have been performed with MODTRAN4.3  
19 using an updated selection of atmospheric profiles and implemented with the final ABI specifications.  
20 These are combined with Angular Distribution Models (ADMs), which are a synergy of ADMs from the  
21 Clouds and the Earth's Radiant Energy System (CERES) and from simulations. Surface condition at the  
22 scale of the ABI products as needed to compute the TOA radiative fluxes come from the International  
23 Geosphere-Biosphere Programme (IGBP). Land classification at 1/6° resolution for 18 surface types are  
24 converted to the ABI 2-km grid over the (CONtiguous States of the United States) (CONUS) and  
25 subsequently re-grouped to 12 IGBP types to match the classification of the CERES ADMs. In the  
26 simulations, default information on aerosols and clouds is based on the ones used in MODTRAN.

27 Comparison of derived fluxes at the TOA is made with those from the CERES ~~and/or the Fast Longwave~~  
28 ~~and Shortwave Radiative Flux (FLASHFlux) data.~~ A ~~n~~-satisfactory agreement between the fluxes was  
29 observed and possible reasons for differences have been identified; the agreement of the fluxes at the  
30 TOA for predominantly clear sky conditions was found to be better than for cloudy sky due to possible  
31 time shift in observation times between the two observing systems that might have affected the position  
32 of the clouds during such periods. Differences in assumed cloud properties can also lead to differences in  
33 the fluxes derived from the two instruments.

## 35 1\_1-Introduction

36  
37 ~~When a new satellite is contemplated, the exact characteristics of the newly selected sensors are not fully~~  
38 ~~known; simulations of proposed sensors are also not readily available. Yet, there is a need to obtain a~~  
39 ~~priori information on the expected performance of the new instruments. This is usually accomplished by~~  
40 ~~using characteristics of instruments in closest resemblance to the proposed ones and performing~~  
41 ~~simulations that can provide insight on the expected performance of the new instrument. As such, an~~  
42 ~~evolutionary process can be expected precedes the final stage that is reported in this paper, and it did~~  
43 ~~precede activities reported in this manuscript.~~ One of the objectives at The ultimate objective at  
44 NOAA/STAR in respect to the utilization of observations from ~~is to be able to derive shortwave (SW) radiative fluxes~~  
45 ~~from the Advanced Baseline Imager (ABI) is to be able to derive shortwave (SW) radiative fluxes from.~~ To get to the  
46 surface SW from TOA satellite observations, there are two generic approaches: 1) the direct approach and  
47 2) the indirect approach. In the direct approach one uses all the necessary information needed for deriving  
48 the surface fluxes (some of which can be derived from satellites). Implementation of sSuch an approach  
49 is feasible, for instance, with observations from MODIS ~~where there is~~ which has a long history of product  
50 availability and evaluation. Examples of such an approach using MODIS observation are illustrated in Wang and  
51 Pinker (2009), Ma et al. (2016), Pinker et al. (2018), Pinker et al., (2017a), Pinker et al. (2017b), Niu and  
52 Pinker, (2015). GOES-R is a new instrument and as yet, similar information to the one available from

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53 MODIS is not yet available. Therefore, the indirect approach is used where one starts from satellite  
54 information at the TOA and models the atmosphere and surface with best available inputs (which do not  
55 have to be based on ABI). Examples of such an approach are discussed in Pinker, Zhang and Dutton  
56 (2005), Ma and Pinker (2012), and Zhang et al. (2019). The “indirect path method” is used at the Center  
57 for Satellite Applications and Research (STAR) (Laszlo et al., 2020) for deriving SW radiative fluxes  
58 from satellite observations: it requires knowledge of the SW broadband (0.2 – 4.0  $\mu\text{m}$ ) top of the  
59 atmosphere (TOA) albedo. The Advanced Baseline Imager (ABI) observations onboard of the NOAA  
60 GOES-R series of satellites provide ~~reflectances~~reflectance in six narrow bands in the shortwave spectrum  
61 (**Table 1**); these must be first transformed into broadband reflectance (the narrow-to-broadband, NTB,  
62 conversion process), and then the broadband reflectance must be transformed into a broadband albedo  
63 (the ADM conversion process).

64 During the pre-launch activity NTB transformations were developed based on theoretical radiative  
65 transfer simulations with MODTRAN-3.7 and 14 land use classifications from the International  
66 Geosphere-Biosphere Programme (*IGBP*) (Hansen et al., 2010). They were augmented with ADMs from  
67 (CERES) observed ADMs (Loeb et al., 2003) and theoretical simulations (Niu and Pinker, 2011) to  
68 compute TOA fluxes. The resulting NTB transformations and ADMs have been tested using proxy data  
69 and simulated ABI data. The proxy instruments used in the simulations include the GOES-8 satellite, the  
70 Advanced Very-High Resolution Radiometer (AVHRR) sensor on the Polar Orbiting satellites, the  
71 Spinning Enhanced Visible Infra-Red Imager (SEVIRI) sensor on the European METEOSAT Second  
72 Generation (MSG) satellites, and the Moderate Resolution Imaging Spectroradiometer (MODIS)  
73 instrument on the NASA Terra and Aqua Polar Orbiting satellites (Pinker et al., 2021, unpublished). For  
74 each of these satellites, the evaluation of the methodologies was done differently; some results were  
75 evaluated against ground observations while others, against TOA information from CERES as well as  
76 from the (ESA) Geostationary Earth Radiation Budget (GERB) satellite (Harries et al., 2005). The results  
77 obtained provided an insight on the expected performance of the new ABI sensor. Those procedures have

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78 been subsequently updated and applied to the new ABI instrument once it was built and fully  
79 characterized.

80 In this paper we describe activity in support of methodologies to derive surface shortwave (SW) radiative  
81 fluxes from the operational Advanced Baseline Imager (ABI) instrument on the GOES-R series of the  
82 NOAA geostationary meteorological satellites. We describe the physical basis and the development of  
83 the (NTB) transformations of satellite observed radiances and the bi-directional corrections to be applied  
84 to the broadband reflectance to obtain broadband TOA albedo. The methodology will be presented in  
85 section 2, [data used are described in section 3](#), results in section [3-4](#) and a summary and discussion in  
86 section 5.

## 87 2. Methodology

89 The following two flowcharts (**Figs. 1 and 2**) describe the necessary steps to derive the NTB  
90 transformations and the ADMs. Details of these two steps will follow.

92 The TOA narrowband and broadband reflectances can be calculated from the spectral radiances  
93 simulated from MODTRAN 4.3 and the response functions of the satellite sensor as shown in equations  
94 (1) and (2):

$$95 \quad \rho_{nb}(\theta_0, \theta, \phi) = \frac{\pi \int_{\lambda_1}^{\lambda_2} I(\lambda, \theta_0, \theta, \phi) G(\lambda) d\lambda}{\int_{\lambda_1}^{\lambda_2} \cos(\theta_0) S_0(\lambda) G(\lambda) d\lambda} \quad (1)$$

$$96 \quad \rho_{bb}(\theta_0, \theta, \phi) = \frac{\pi \int_{0.2\mu m}^{4\mu m} I(\lambda, \theta_0, \theta, \phi) d\lambda}{\int_{0.2\mu m}^{4\mu m} \cos(\theta_0) S_0(\lambda) d\lambda} \quad (2)$$

97

98 where  $\rho_{nb}$  is narrowband reflectance;  $\rho_{bb}$  is broadband reflectance;  $\theta_0$ : solar zenith angle;  $\theta$ : view  
99 (satellite) zenith angle;  $\phi$ : relative azimuth angle;

100  $I_\lambda$ : reflected spectral radiance;  $S_0(\lambda)$ : solar spectral irradiance;

101  $G_\lambda$ : spectral response functions of satellite sensors;  $\lambda_1$  and  $\lambda_2$  are the spectral limits of the sensor spectral  
102 band. This approach is widely used in the scientific community as also implemented in the work of Loeb  
103 et al (2005), Wielicki et al. (2008), Su et al. (2015) and Akkermans et al. (2020).

104 As stated previously, the ADMs from CERES-based observations (Loeb et al., ~~2003~~2005; Kato et al.  
105 2015) were augmented with theoretical simulations (Niu and Pinker, 2011) to compute TOA fluxes. This  
106 was done since due to the fact that CERES observations at that time higher latitudes are were under-  
107 sampled, or not existent, at higher latitudes.

108 The combined ADMs are developed for each angular bin by weighting the modeled and CERES ADMs  
109 based on the number of samples used to derive the ADMs of each type (Niu et al., 2011). Specifically:

$$110 \quad \bar{R}(\theta_0, \theta, \phi) = \frac{1}{m+n} (m \times R_{CERES}(\theta_0, \theta, \phi) + n \times R_S(\theta_0, \theta, \phi)) \quad (3)$$

111  $\bar{R}(\theta_0, \theta, \phi)$ : averaged ADMs at each angular bin;

112  $R_{CERES}$ : anisotropic factor from CERES ADMs;

113  $R_S$ : anisotropic factor from simulated ADMs;

114  $m$  and  $n$ : observation numbers at angular bins for CERES and simulated ADMs.

## 115

### 116 2.1 Selection of Atmospheric profiles for simulations

117

118 We have selected 100 atmospheric profiles covering the globe and the seasons, to use as input for  
119 simulations with MODTRAN4.3. A tool was developed to select profiles from a Training Data set known  
120 as SeeBor Version 5.0 ([https://cimss.ssec.wisc.edu/training\\_data/](https://cimss.ssec.wisc.edu/training_data/)) (Borbas et.al. 2005). Originally it  
121 consisted of 15704 global profiles of temperature, moisture, and ozone at 101 pressure levels for clear  
122 sky conditions. The profiles are taken from NOAA-88, and the European Centre for Medium-Range  
123 Weather Forecasts (ECMWF) 60L training set, TIGR-3, ozone-sondes from 8 NOAA Climate Monitoring  
124 and Diagnostics Laboratory (CMDL) sites, and radiosondes from the Sahara Desert during 2004. A  
125 technique to extend the temperature, moisture, and ozone profiles above the level of existing data was  
126 also implemented by the providers (University of Wisconsin-Madison, Space Science and Engineering  
127 Center, Cooperative Institute for Meteorological Satellite Studies (CIMSS). **Fig. 3** shows the selected  
128 profile locations; each season includes 25 profiles.

129 The SeeBor profiles are clear sky profiles. The top of the profiles is at 0.005 mb which is about 82.6 km.  
130 We did an experiment to check the impact of reducing the number of levels for a profile (initially,  
131 we have used only 40 levels). In the experiment computed were radiances from profiles with 50  
132 levels as well as radiances from profiles with 98 Levels. The difference between the two radiances  
133 (50 lev-98 lev) were below 5 % reaching 15 % around 2.5  $\mu\text{m}$ . In the experiment we used the odd  
134 number levels starting from surface (plus the highest level) to reduce the number of profile levels.  
135 Based on these experiments we have opted to keep all 98 profile levels.

136 The atmospheric profiles at each pressure level include temperature, water vapor and ozone. The surface  
137 variables include surface skin temperature, 2 m temperature, land/sea mask, and albedo. We have  
138 conducted a thorough investigation how the selected profiles represent the entire sample of 15704 profiles.  
139 An example showing the comparison of temperature, humidity and ozone profiles is shown in **Fig. 4**. As  
140 seen, there is a positive bias in the selected profile ~~of temperatures~~ due to their higher concentration at the  
141 lower latitudes. A positive bias can be found at the lower levels while a negative bias is seen above 1 mb.  
142 Since our domain of study is in such latitudes this selection should not have adverse effects on the  
143 simulations.

## 2.2 Surface conditions

Surface condition is one of the primary inputs into the MODTRAN simulations. The International Geosphere-Biosphere Programme (IGBP) land classification is used as data source (Hansen et al., 2010; Loveland et al., 2010). The dataset is at 1/6-degree resolution and includes 18 surface types. We have converted the 1/6° (~18.5 km) resolution to the ABI 2-km grid using the nearest grid method (Fig. 5). The surface type is fixed in time. The method for cloudy sky uses 4 surface types; these are also derived from 12 IGBP types (Table 2).

## 2.3 Clear and cloudy sky simulations

Under clear sky, multiple-scattering from aerosols is important. We have included 6 aerosol types (Table 3) to cover a range of possible conditions under clear sky. Aerosol models are selected based on the type of extinction and a default meteorological range for the boundary-layer aerosol models as listed below:

Aerosol Type 1: Rural extinction, visibility = 23 km

Aerosol Type 4: Maritime extinction, visibility = 23 km

Aerosol Type 5: Urban extinction, visibility = 5 km

Aerosol Type 6: Tropospheric extinction, visibility = 50 km

Aerosol Type 8: Advective Fog extinction, visibility = 0.2 km

Aerosol Type 10: Desert extinction, visibility based on wind speed

For the 6 aerosol types, the total number of MODTRAN simulations for each surface type is

~~462,000,288,000~~. It is obtained as follows: 6 aerosol types x 100 profiles x 770 angles.

When doing NTB simulation, we use all 6 types of aerosols. The Rural, Ocean, Urban and Fog aerosols are distributed in the lower 0-2 km region. Tropospheric aerosol is distributed from 0 to 10 km tropopause. The Rural, Ocean, Urban and Tropospheric aerosol optical properties have Relative Humidity (RH) dependency. The Single Scattering Albedo (SSA) is given on 4 RH grids (0, 70, 80, 99) on a spectral grid

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of 788 points ranging from 0.2 to 300 microns. ~~The Desert aerosol is wind speed dependent and the optical properties are given for 4 wind speeds (0, 10, 20, 30).~~

Simulations were performed for ABI for all the cloud cases described in **Table 3**. To merge cloud layers with atmospheric profiles we have followed the procedure as described in *Berk et al.* (1985, 1998), namely: “Cloud profiles are merged with the other atmospheric profiles (pressure, temperature, molecular constituent, and aerosol) by combining and/or adding new layer boundaries. Any cloud layer boundary within half a meter of an atmospheric boundary layer is translated to make the layer altitudes coincide; new atmospheric layer boundaries are defined to accommodate the additional cloud layer boundaries.” 100% relative humidity is assumed within the cloud layers (default).

#### 2.4 Selection of angles

The total number of angles used in the simulations is given in **Table 4**. The selected spectral grids for solar zenith angles, satellite view angles and relative azimuth angles are at Gaussian quadrature points, plus 0° to solar zenith angles (sza) and satellite viewing angles (vza) and 0° and 180° (forward and backward view) to the satellite relative azimuth angles. Solar angle and satellite view angle are referenced to target or surface for satellite simulation with 0° meaning looking up (zenith). Relative azimuth angle is defined as when the relative azimuth angle equals 180°, the sun is in front of observer.

The definitions of solar zenith angle and azimuth angle in this table corresponds to the definitions of MODTRAN but that is not the case for the satellite zenith angle. MODTRAN uses nadir angle as 180°-satellite zenith angle, ignoring spherical geometry.

#### 2.5 Selection of optimal computational scheme

Computational speed is an issue for simulations that account for multiple scattering. MODTRAN4.3 provides three multiple scattering models (Isaacs, DISORT, and Scaled Isaacs) and three band models at



196 resolutions ( $1 \text{ cm}^{-1}$ ,  $5 \text{ cm}^{-1}$ , and  $15 \text{ cm}^{-1}$ ). The DISORT model (Stamnes et al., 1988) provides the most  
197 accurate radiance simulations but the runs are very time consuming. The Isaacs (Isaacs et al. 1987) 2-  
198 stream algorithm is fast but oversimplified. The Scaled Isaacs method performs radiance calculations at  
199 a small number of atmospheric window wavelengths. The multiple scattering contributions for each  
200 method are identified and ratios of the DISORT and Isaacs methods are computed. This ratio is  
201 interpolated over the full wavelength range, and finally, applied as a multiple scattering scale factor in a  
202 spectral radiance calculation performed with the Isaacs method.

203 To optimize simulation speed and accuracy, we performed various sensitivity tests, including  
204 combinations of multiple scattering models, band resolution, and number of streams. **Table 5** lists  
205 simulation options and their corresponding calculation speed. The most computationally extensive option  
206 is DISORT 8-stream with  $1 \text{ cm}^{-1}$  resolution which requires 930 seconds to finish one single run. The  
207 fastest is Scaled Isaacs with  $15 \text{ cm}^{-1}$  resolution which only needs 6.67 seconds. Number of streams does  
208 not affect the Scaled Isaacs calculation speed. This is different from Isaacs and DISORT for which both  
209 stream number and band resolution have notable effects.

210 Based on results presented in **Table 5**, the efficient options ( $< 40$  seconds) are Isaacs, DISORT 2-stream  
211 with  $15 \text{ cm}^{-1}$ , DISORT 4-stream  $15 \text{ cm}^{-1}$ , and Scaled Isaacs all streams at all resolutions. Although the  
212 ideal option is DISORT 8-stream with  $1 \text{ cm}^{-1}$  resolution, there is a trade-off between speed and accuracy.

213 **Fig. 6** compares DISORT simulated radiances at three band resolutions. We use two spectral ranges of  
214  $0.4 - 0.5 \mu\text{m}$  and  $1.5 - 2.0 \mu\text{m}$  to illustrate the differences. **Fig. 6** shows that the coarser band resolution  
215 has smoothed out the radiance variations. The  $15 \text{ cm}^{-1}$  has the smoothest curve among the three, and  $1$   
216  $\text{cm}^{-1}$  shows more variations than the other two. Another (scientific) criteria for selecting the spectral  
217 resolution is the ability to resolve/match the relative spectral response function (SRF) of a sensor. For  
218 example, the SRFs of channels 1-6 of ABI are given at every  $1 \text{ cm}^{-1}$ .

219 Accordingly, we have chosen the  $1 \text{ cm}^{-1}$  band model for the MODTRAN radiance simulations. Performed  
220 were also radiance simulations from different multiple scattering models at  $1 \text{ cm}^{-1}$  resolution. The whole  
221 spectrum of  $0.2 - 4 \mu\text{m}$  was separated to 14 sections so that the differences can be assessed clearly. For

222 wavelength below 0.3  $\mu\text{m}$  and beyond 2.5 no discernible differences were found among Isaacs, DISORT  
223 2-, 4-, and 8-stream, and Scaled Isaac. The largest differences occurred in the spectral range of 0.4 – 1.0  
224  $\mu\text{m}$ . Scaled Isaac 8-stream follows DISORT 8-stream closely across the whole spectral range; the Scaled  
225 Isaac method provided near-DISORT accuracy with the speed of Isaacs. Thus, the MODTRAN4.3  
226 simulations for GOES-R ABI were set-up with Scaled Isaac 8-stream with 1  $\text{cm}^{-1}$  band resolution.  
227 For illustration, in **Fig. 7** compared are radiances simulated by Isaac 2 stream, Scaled Isaac, and DISORT-  
228 4 stream for the case of Relative Azimuthal Angle=1.9°, View Angle=76.3°, Solar Zenith Angle=87.2°.  
229 The lines are differences between various settings and DISORT-8 stream (e.g. Isaacs minus DISORT-8).  
230 Isaac has the least accuracy since it is oversimplified, 4-stream showed some improvements when  
231 compared with Isaac while still has large differences for 0.4  $\mu\text{m}$  and is still computationally demanding.  
232 Scaled Isaac provides the smallest differences between DISORT-8. **Fig. 6** (lower) zoomed in to the large  
233 difference area of 0.3-0.35  $\mu\text{m}$  which indicates that Scaled Isaacs still provides satisfactory results.

## 235 2.6 Regression methodologies

236 We have derived coefficients of regression using a constrained least-square curve fitting methods of  
237 Matlab, “lsqnonneg”, which can solve a linear or nonlinear least-squares (data-fitting) problem and  
238 produce non-negative coefficients. Non-negative coefficients avoid generating negative TOA flux,  
239 which is not a physically valid.  
240 To ensure that information from all channels is used and avoid the complex cross-correlation problem,  
241 it was opted to generate Narrow to Broad (NTB) coefficients for each ABI channel separately (using  
242 “lsqnonneg”). These channel specific NTB coefficients are applied to each channel to convert ABI  
243 narrow-band reflectance to extended band. The final broad-band TOA reflectance is taken as the  
244 weighted sum of all 6-channel specific broad-band reflectance. The logic behind this approach is the  
245 assumption that the narrow-band reflectance from each channel is a good representative for a limited  
246 spectral region centered around the channel and the total spectral reflectance is dominated by the  
247 spectral region that contains the most solar energy

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248  
249 ~~We have derived coefficients of regression using a non-constrained and constrained least square curve~~  
250 ~~fitting methods of Matlab “stepwisefit” and “lsqnonneg”. The first one does is a stepwise regression by~~  
251 ~~adding terms to and removing terms from a multilinear model based on their statistical significance. It~~  
252 ~~may give negative coefficients that results in a negative TOA flux, which is not a physically valid result.~~  
253 ~~Subsequently, we have re-derived all the coefficients with “lsqnonneg” which can solve a linear or~~  
254 ~~nonlinear least squares (data fitting) problem and produce non-negative coefficients.~~

255 ~~To ensure that information from all channels is used and avoid the complex cross-correlation~~  
256 ~~problem, it was opted to generate Narrow to Broad (NTB) coefficients for each ABI channel~~  
257 ~~separately (using “lsqnonneg”). These channel specific NTB coefficients are applied to each channel~~  
258 ~~to convert ABI narrow band reflectance to extended band. The final broad band TOA reflectance is~~  
259 ~~taken as the weighted sum of all 6 channel specific broad band reflectance. The logic behind this~~  
260 ~~approach is the assumption that the narrow band reflectance from each channel is a good~~  
261 ~~representative for a limited spectral region centered around the middle of the channel and the total~~  
262 ~~spectral reflectance is dominated by the spectral region that contains the most solar energy.~~

263 To generate “separate-channel” NTB coefficients, each narrow-band ABI channel reflectance is  
264 converted to a reflectance  $\rho_{bb,i}$  separately,

$$\rho_{bb,i}(\theta_0, \theta, \phi) = c_{0,i}(\theta_0, \theta, \phi) + c_{1,i}(\theta_0, \theta, \phi) * \rho_{nb,i}(\theta_0, \theta, \phi) \quad (4)$$

266 where  $\rho_{bb,i}$  is the band reflectance for an interval around each channel  $i$ ;  $c_{0,i}$  and  $c_{1,i}$  are regression  
267 coefficients for channel  $i$ . These regression coefficients are derived separately for various combination of  
268 surface, cloud and aerosol types. The total shortwave broad band (0.25 – 4.0 $\mu$ m) reflectance  $\rho_{bb}^{est}$  is  
269 obtained by taking the weighted sum of all 6  $\rho_{bb,i}$  reflectance

$$\rho_{bb}^{est}(\theta_0, \theta, \phi) = \sum_i \rho_{bb,i}(\theta_0, \theta, \phi) \frac{S_{0,i}}{S_0} \quad (5)$$

271 Here,  $S_0$  and  $S_{0,i}$  are total solar irradiance and band solar irradiance for each channel, respectively. Band  
272 edges around the six ABI channels are: 49980–18723, 18723–13185, 13185–9221, 9221–6812, 6812–

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273 5292, 2500 cm<sup>-1</sup> (0.2001-0.5341, 0.5341-0.7584, 0.7584-1.0845, 1.0845-1.4680, 1.4680-1.8896,  
274 1.8896-4.0000 μm). The corresponding band solar irradiance values are 364, 360, 287, 168, 91, 87  
275 W m<sup>-2</sup>. Fig. 8 shows the sensor response function (SRF) and locations of the six ABI channels.  
276 Coefficients are generated for clear condition and 3 types of cloudy conditions. Comparison between ABI  
277 TOA flux and CERES products are shown in Figure Fig. 9. The “separate-channel” coefficients work  
278 well for predominantly clear sky (Fig.10). Differences are somewhat more scattered for cloudy cases.  
279 The reason may be due to the fact that the ABI observation time and CERES product time do not match  
280 perfectly since cloud condition change quickly. As discussed in Gristey et al. (2019) there are SW spectral  
281 reflectance variations for different cloud types. Possibly, for ABI bands some spectral variations  
282 associated with cloud variability are missed. It is important to have the correct cloud properties to be able  
283 to select correct ADM. Misclassification of cloud properties will therefore result in flux differences. They  
284 also argue that ADMs have an uncertainty due to within-scene variability and within-angular bin  
285 variability leading to additional flux differences.

### 288 3.0 Data used

#### 290 3.1 Satellite data for GOES-16 and GOES17

292 The GOES Imager data used (Table 6) were downloaded from <https://www.bou.class.noaa.gov/> and the  
293 SRF from <https://ncc.nesdis.noaa.gov/GOESR/ABI.php>

295 \* The CODC data were not always available from CLASS and had to be obtained from NOAA/STAR  
296 temporary archives. Also, not all the required angular information needed for implementation of  
297 regressions was available online and had to be recomputed.

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### 3.2 Reference data from CERES and-FLASHFlux Level2 (FLASH\_SSF) Version 3C

Near real-time CERES fluxes and clouds in the SSF format are available within about a week of observation (Kratz et al., 2014). They do not use the most recent CERES instrument calibration and thus contains some uncertainty. Before GOES data were transferred to the Comprehensive Large Array-data Stewardship System (CLASS) system, the NOAA/STAR archive was holding new data for about a week. Therefore, the initial evaluations had to be done only with data that overlapped in time. The CERES data known as the FLASHFlux Level2 (FLASH\_SSF) were available almost in real time and did overlap with GOES. These data were downloaded from:

<https://ceres.larc.nasa.gov/products.php?product=FLASHFlux-Level2>

Due to these limitations the early comparison was done between ABI data as archived at NOAA/STAR and the FLASHFlux products. The archiving of GOES-R at the NOAA Comprehensive Large Array-data Stewardship System (CLASS) started only in 2019, however, it contains data starting from 2017. Once the CLASS archive became available, we have augmented GOES-16 cases with observations from GOES-17; only those cases will be shown in this paper.

### 3.3 Data preparation

For the re-mapping, we adopted the ESMF re-gridding package. The detailed information can be found

at:

<http://earthsystemmodeling.org/regrid/>

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318 For an ideal situation, the ABI high-resolution TOA SW fluxes should be mapped into the CERES  
319 footprint for validation as suggested by the Reviewer. However, there are reasons that make it difficult to  
320 do so. For example, the case 12/26/2019 UTC 19. There can be more than 18000 pixels in a single swath  
321 of the SSF, when constrained to U.S. Different pixels have different times. Neglecting the seconds, there  
322 are still more than 30 mins differences (this changes case by case) between the first pixel and the one at  
323 the end and this brings up a time matching time issue. But if remapping the SSF to ABI, we can set up a  
324 unique time for ABI (ABI is at 5 min intervals) and then constrain the region and the time range of SSF.  
325 Both remapping the ABI to SSF and remapping SSF to the ABI bring up spatial matching errors as  
326 recognized by the scientific community. In **Fig. 11**, we show the SSF before re-gridding (Figs 11 (a) &  
327 (b)) and after re-gridding (Figs. 11 (c) and (d)). The fluxes after re-mapping CERES SSF to the ABI  
328 resolution resemble well the original mapping. Another consideration is the computational efficiency of  
329 re-mapping the curvilinear tripolar grid to unconstructed grid. For large arrays, it is more efficient to  
330 remap the unconstructed grid to the curvilinear tripolar grid.

331  
332 ~~The CERES FLASHFlux\_SSF data are re-gridded to match ABI spatial resolution by bi-linear~~  
333 ~~interpolation method from the Earth System Modeling Framework (ESMF) package. The full description~~  
334 ~~of the package can be found via <http://earthsystemmodeling.org/regrid/#overview>. The time difference~~  
335 ~~between CERES FLASHFlux\_SSF and GOES 16 data must be less than  $\pm 5$  min. e.g., if the GOES R~~  
336 ~~scanning time is 18:51, then the scripts search the FLASHFLUX points between 18:46–18:56, and use~~

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337 ~~the re-gridding method mentioned above to remap the FLASHFLUX to the GOES R (2 km) domain.~~

338 ~~Several cases will be illustrated.~~

339 ~~The statistics are based on all available points in overlap area. No outliers are removed. All sky, clear sky~~

340 ~~only, and cloudy only are compared for dates randomly selected. The hour was selected when both GOES-~~

341 ~~16 and GOES 17 had overlap with CERES FLASHFlux\_SSF (Aqua/Terra) data. The coefficients for~~

342 ~~GOES 17 were obtained by replacing the GOES 16 spectral response function (SRF) by the GOES 17~~

343 ~~SRF. All the regressions have been repeated for GOES 17. The GOES 17 SRF was downloaded from~~

344 ~~<https://ncc.nesdis.noaa.gov/GOESR/ABI.php>. Simultaneous evaluation for both satellites was performed.~~

345 ~~The evaluations against the CERES FLASHFlux\_SSF data is at footprint scale and covers one hour. The~~

346 ~~GOES 16 and 17 CONUS data have 5 min intervals, and there are 12 cases in one hour; this requires to~~

347 ~~test each case independently to find the best time match with CERES FLASHFlux\_SSF.~~

## 349 **4.0 Results**

### 351 **4.1 Comparison between ABI TOA fluxes to those from CERES SSFand/or FLASHFlux**

352 The CERES Single Scanner Footprint (SSF) is a unique product for studying the role of clouds, aerosols,

353 and radiation in climate. Each CERES footprint (nadir resolution 20-km equivalent diameter) on the SSF

354 includes reflected shortwave (SW), emitted longwave (LW) and window (WN) radiances and top-of-

355 atmosphere (TOA) fluxes from CERES with temporally and spatially coincident imager-based radiances,

356 cloud properties, and aerosols, and meteorological information from a fixed 4-dimensional analysis

357 provided by the Global Modeling and Assimilation Office (GMAO). Each file in this data product

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358 ~~contains one hour of full and partial-Earth view measurements or footprints at a surface reference level.~~

359 ~~Detailed information can be found via <https://ceres.larc.nasa.gov/data/#ssf-level-2>.~~

360 ~~The FLASHFLUX is in footprint format thus it is a variable in time [flux (time)].~~

361 ~~In the matching, points that fall in the  $\pm 5$  min interval of the GOES-R scanning time are used using~~

362 ~~bilinear interpolation method to get the values for GOES-R domain (e.g., if the GOES-R scanning time~~

363 ~~is 18:51, then the scripts search the FLASHFLUX points between 18:46-18:56, and use bilinear~~

364 ~~interpolation method to do the remapping to GOES-R (2 km) domain). A case for 2019/12/26 (doy 360)~~

365 UTC 19:36 is illustrated in ~~Figs. 1011-134~~. ~~Statistical summaries from an extended number of cases are~~

366 ~~presented in Table 7, and cover all four seasons.~~

367 The derivation and evaluation of TOA radiative fluxes as simulated for any given instrument are quite

368 challenging. In principle, there is a need to account for all possible changes in the atmospheric and surface

369 conditions one may encounter in the future. Yet, to know what these conditions are at the time of actual

370 observation when there is a need to select the appropriate combination of variables from the simulations,

371 is a formidable task. Therefore, error can be expected due to discrepancies between the actual conditions

372 and the selected simulations and these are difficult to estimate. The approach we have selected is based

373 on high-quality simulations using a proven and accepted radiative transfer code (MODTRAN) of known

374 configurations and a wide range of atmospheric conditions. We have also selected the best available

375 estimates of TOA radiative fluxes from independent sources for evaluation. However, the matching

376 between different satellites in space and time is challenging. In selecting the cases for evaluation, we have

377 adhered to strict criteria of time and space coincidence as described in section 3.3.

378 We have conducted several experiments to select an appropriate regression approach to the NTB

379 transformation ensuring that non-physical results are not encountered. Based on the samples used in this

380 study ([Table 7](#)) the differences found for Terra and GOES-16 were in the range of -0.5-(-12.10) for bias

381 and 43.28-82.09 for standard deviation; for Terra and GOES-17 they were 10.81-48.17 and 70.25-109.19,

382 respectively. For Aqua and GOES-16 they were 7.02-29.66 and 45.55-109.08 respectively while for Aqua

383 and GOES-17 they were 0.19-26 and 53.08-94.90, respectively (all units are  $W m^{-2}$ ). The evaluation

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384 process revealed the challenges in undertaking such comparisons. Both estimates of TOA fluxes (CERES  
385 and GOES) do not account for seasonality in the land use classification; the time matching for the different  
386 satellites is important and limits the number of samples that can be used in the comparison. Based on the  
387 results of this study recommendations for future work include the need to incorporate seasonality in land  
388 use and spectral characteristics of the various surface types. Possible stratification by season in the  
389 regressions could also be explored.

## 391 4.2 Causes for differences between ABI and CERES TOA fluxes

### 392 4.2.1 Differences in surface spectral reflectance

393  
394 In the MODTRAN simulations we use the spectral reflectance information on various surface types as  
395 provided by MODTRAN. MODTRAN version 4.3.1 contains a collection of spectral surface reflectance  
396 datasets from the Moderate Spectral Atmospheric Radiance and Transmittance (MOSART) model  
397 (Cornette et al., 1994) and others from Johns Hopkins University Spectral Library (Baldrige et al., 2009).  
398 When doing simulation, we call the built-in surface types and use the provided surface reflectance. As  
399 such, the spectral dependence of the surface reflectance used in the simulations and matched to the  
400 CERES surface types may not be compatible with the classification of CERES. [Also, seasonal changes  
401 in surface type classification can introduce errors due to changes in the spectral surface reflectances  
402 for different surface types \(Fig. 145\).](#)

### 404 4.2.2 Issues related to surface classification

405  
406 Another possible cause for differences between the TOA fluxes is the classification of surface types as  
407 originally identified by the IGBP and used in the simulations. No seasonality is incorporated in the surface  
408 type classification ~~and the impact can be illustrated in the following case study while such variability is  
409 part of the CERES observations. Simulation results for surface type 8 (open shrub) have been checked~~

410 in depth. The average simulated broad band reflectance is around 0.2. The regression residual for this  
411 surface type is reasonably small for sun angle <80 degrees, namely, the fitted broad band reflectance is  
412 very close to the simulated broad band reflectance. This would indicate that the regressions are  
413 performing properly. However, when we applied the regression coefficient to the GOES 16 ABI  
414 observations, the calculated TOA broad band reflectance was around 0.45, which seemed too high. To  
415 explain why the coefficient for channel 6 for “open shrub” was high we illustrate the filter function for  
416 channel 6 and spectral albedos for open shrub, desert, woody savanna and grassland in **Fig. 14**.  
417 In **Fig. 15** we show the TOA fluxes for the entire domain using the original IGBP classification (open  
418 shrub) in the area of interest and subsequent replacement with a desert surface. Due to seasonal changes  
419 in surface properties, “Desert” classification may be more appropriate for the surface type at the time of  
420 the observations. This would indicate the need for introducing seasonal variability in the classification of  
421 surface types before one selects the representative NTB transformations.

#### 422 423 **4.2.3 Issues related to match-up between GOES-R and CERES**

424  
425 Both Terra and Aqua have sun-synchronous, near-polar circular orbits. Terra is timed to cross the equator  
426 from north to south (descending node) at approximately 10:30 am local time. Aqua is timed to cross the  
427 equator from south to north (ascending node) at approximately 1:30 pm local time. The periods for Terra  
428 and Aqua are 99 and 98 minutes, respectively. Both have 16 orbits per day. CERES on Terra and Aqua  
429 optical FOV at nadir is 16 x 32 or 20 km resolution. Terra passes CONUS during 03-06 UTC (US night  
430 time), 16-20 UTC (US day time), and Aqua passes CONUS during 07-11 UTC (US night time), 18-22  
431 UTC (US day time).

432 Both Terra and Aqua have an instantaneous FOV values at SWATH level. There is no  
433 perfect overlap, temporally or spatially with ABI data. The ABI radiance and cloud data are on a regular  
434 grid of 2\*2 km over CONUS at each hour. To use CERES data for evaluation of ABI, there is a need to  
435 perform collocation in both time and space.

436

## 437 5.0 Summary

438

439 Critical elements of an inference scheme for TOA radiative flux estimates from satellite observations are:

440 1) transformation of narrowband quantities into broadband ones;

441 2) transformation of bi-directional reflectance into albedo by applying Angular Distribution Models  
442 (ADMs). In principle, the order in which these transformations are executed is arbitrary. However, since

443 well established, observation-based broadband ADMs derived from the Clouds and the Earth's Radiant

444 Energy System (CERES) project already exist, the logical procedure is to do the NTB transformation on

445 the radiances first, and then apply the ADM. This is the sequence that has been followed here. While the

446 road map to accomplish above objectives seems well defined, reaching the final goal of having a stable

447 up-to-date procedure for deriving TOA radiative fluxes from a new instrument like the ABI on the new

448 generation of GOES satellites is quite complicated. ~~The process of preparing for the usefulness of a new~~

449 ~~satellite sensor needs to be done in advance. Since~~ the final configuration of the instrument becomes

450 known at a much later stage. ~~As such,~~ the evaluation of ~~the~~ new algorithms is in a fluid stage for a long

451 time. ~~Agreement so early evaluation or disagreement~~ with ~~known~~ "ground truth" is not ~~fully~~

452 ~~informative/conclusive about on~~ the performance of ~~the~~ new algorithms. ~~to estimate desired geophysical~~

453 ~~parameters.~~ Additional complication is related to the lack of maturity of basic information needed in the

454 implementation process, such as a reliable cloud screened product which in itself is in a process of

455 development and modifications. The "ground truth", namely, the CERES observations are also

456 undergoing adjustments and recalibration. As such, the process of deriving best possible estimates of

457 TOA radiative fluxes from ABI underwent numerous iterations to reach its current status. An effort was

458 made to deal the best way possible with the fluid situation. All the evaluations against CERES were

459 repeated once the ABI data reached stability and were archived in CLASS and we used the most recent

460 auxiliary information. The prominence of certain issues surfaced from this study itself. One example is

461 the sensitivity to land classification which currently is static. Another issue is related to the representation

462 of real time aerosol optical depth which is important under clear sky conditions. It is believed that only  
463 now when NOAA/STAR has a stable aerosol retrieval algorithm, it would be timely to address the aerosol  
464 issue in the estimation of TOA fluxes under clear sky.

465

466 Data availability. The data are available upon request from the corresponding author.

467 Author contributions. The investigation and conceptualization were carried out by RTP, IL and JD. YM  
468 and WC developed the software. RTP prepared the original draft. All authors contributed to the writing,  
469 editing and review of the publication.

470 Competing interests. The authors declare that they have no conflict of interest.

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478 ([https://cimss.ssec.wisc.edu/training\\_data/](https://cimss.ssec.wisc.edu/training_data/)), and the final versions of the GOES Imager data were  
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484

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## Tables

Table 1. ~~Relevant information for the derivation of SW fluxes from selected satellites:~~  
Channel information and spectral bands for ABI.

<i>ABI Band #</i>	<i>Central wavelength ( <math>\mu\text{m}</math> )</i>	<i>Spectral band ( <math>\mu\text{m}</math> )</i>
1	VIS 0.47	0.45-0.49
2	VIS 0.64	0.60-0.68
3	<del>VIS-NIR</del> 0.86	0.847-0.882
4	NIR 1.38	1.366-1.380
5	NIR 1.61	1.59-1.63
6	NIR 2.26	2.22-2.27

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581 Table 2. Surface classification description for IGBP 18 types, IGBP 12 types, CERES clear sky 6  
 582 types, and NTB cloudy sky 4 types

<u>IGBP (18 types)</u>	<u>IGBP (12 types)</u>	<u>CERES clear-sky (6 types)</u>	<u>NTB cloudy-sky (4 types)</u>	
<u>Evergreen Needleleaf</u>	<u>Needleleaf Forest</u>	<u>Mod-High Tree/Shrub</u>	<u>Land</u>	
<u>Deciduous Needleleaf</u>				
<u>Evergreen Broadleaf</u>				
<u>Deciduous Broadleaf</u>	<u>Mixed Forest</u>			
<u>Mixed Forest</u>				
<u>Closed Shrublands</u>				
<u>Woody Savannas</u>	<u>Woody Savannas</u>			<u>Low-Mod Tree/Shrub</u>
<u>Savannas</u>	<u>Savannas</u>			
<u>Grasslands</u>	<u>Grasslands</u>			
<u>Permanent Wetlands</u>				
<u>Tundra</u>				
<u>Croplands</u>				
<u>Open Shrublands</u>	<u>Open Shrub</u>			
<u>Urban and Built-up</u>	<u>Open Shrub</u>	<u>Dark Desert</u>	<u>Desert</u>	
<u>Bare Soil and Rocks</u>	<u>Barren and Desert</u>	<u>Bright Desert</u>		
<u>Snow and Ice</u>	<u>Snow and Ice</u>	<u>Snow and Ice</u>	<u>Snow and Ice</u>	
<u>Water Bodies</u>	<u>Ocean</u>	<u>Ocean</u>	<u>Water</u>	

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586 Table 2.—Surface classification description for IGBP 18 types, IGBP 12 types, CERES clear sky 6  
 587 types, and NTB cloudy sky 4 types

IGBP (18 types)	IGBP (12 types)	CERES clear sky (6 types)	NTB cloudy sky (4 types)
Evergreen Needleleaf	Needleleaf Forest	Mod-High Tree/Shrub	Land
Evergreen Broadleaf	Broadleaf Forest		
Deciduous Needleleaf	Needleleaf Forest		
Deciduous Broadleaf	Broadleaf Forest		
Mixed Forest	Mixed Forest		
Closed Shrublands	Closed Shrub		
Open Shrublands	Open Shrub	Dark Desert	
Woody Savannas	Woody Savannas	Mod-High Tree/Shrub	
Savannas	Savannas	Low-Mod Tree/Shrub	
Grasslands	Grasslands		
Permanent Wetlands			
Croplands	Croplands		
Urban and Built-up	Open Shrub	Dark Desert	Desert
Cropland Mosaics	Croplands	Low-Mod Tree/Shrub	Land
Snow and Ice	Snow and Ice	Snow and Ice	Snow and Ice
Bare Soil and Rocks	Barren and Desert	Bright Desert	Desert
Water Bodies	Ocean	Ocean	Water
Tundra	Grasslands	Low-Mod Tree/Shrub	Land

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591 Table 3. The various classes for which NTB coefficients are generated.

Parameter	Clear condition	Cloudy condition
Aerosol or cloud type	6 aerosol types (rural, maritime, urban, tropospheric, fog, desert)	3 cloud types (cirrus, stratocumulus, altostratus)
Optical depth (OD)	Typical VIS (km) values for each aerosol types (no OD grid for each aerosol type). Rural: 23, maritime: 23, urban: 5, tropospheric: 50, fog: 0.2, desert: (default VIS for wind speed 10m/s)	Cirrus: [0, 0.8, 1.2, 1.8, 3.2] Stratocumulus: [0, 0.8, 1.2, 1.8, 3.2, 5.8, 8.2, 15.8, 32.2, 51.8, 124.2] Altostratus: [0, 15.0, 30.0, 50.0, 80.0]
Surface type	12 IGBP surface types	4 types (Water, Land, Desert, Snow/Ice)

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Table 4. Angles used in simulations. To be consistent with what is presented in the ABI Shortwave Radiation Budget (SRB) Algorithm Theoretical Basis Documents (ATBD) (Laszlo et al, 2018) the additional angles used in the simulations are not given in this Table.

Angle Type	Angles
Solar Zenith Angle [°]	0.0, 12.9, 30.8, 41.2, 48.3, 56.5, 63.2, 69.5, 75.5, 81.4, 87.2
Satellite Zenith Angle [°]	0.0, 11.4, 26.1, 40.3, 53.8, 65.9, 76.3
Azimuth Angle [°]	0.0, 1.9, 10.0, 24.2, 44.0, 68.8, 97.6, 129.3, 162.9, 180

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Table 5. MODTRAN simulation speed test (CPU MHz 2099.929).

Algorithm	Stream	Band Resolution (cm <sup>-1</sup> )	Speed (~seconds)
Isaacs	2	1	40
DISORT	2	1, 5, 15	280, 70, 30
	4	1, 5, 15	560, 120, 40
	8	1, 5, 15	930, 300, 110
Scaled Isaac	2	1, 5, 15	30, 10, 6.67
	4	1, 5, 15	30, 10, 6.67
	8	1, 5, 15	30, 10, 6.67

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608 Table 6. Details on data used as input for calculations.

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Short Name	Long Name	MODE	ABI-Channel	Scan Sector	Spatial Resolution
RadC	L1b Radiance	M6	C01-C06	CONUS	5000x3000
AODC	L2 Aerosol	M6	--	CONUS	2500x1500
ACMC	L2 Clear Sky Masks	M6	--	CONUS	2500x1500
ACTPC	L2 Cloud Top Phase	M6	--	CONUS	2500x1500
CODC*	L2 Cloud Optical Depth	M6	--	CONUS	2500x1500

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613 Table 7. Statistical summary for all selected cases intercompared at instantaneous time  
 614 scale.

<u>Case</u>	<u>CERES</u>	<u>GOES- R</u>	<u>Corr</u>	<u>Bias</u>	<u>Std</u>	<u>RMSE</u>	<u>N</u>
<u>07/31</u>	<u>Terra</u>	<u>G16</u>	<u>0.82</u>	<u>0.81</u>	<u>69.81</u>	<u>69.81</u>	<u>0.22 x10<sup>6</sup></u>
<u>2019</u>		<u>G17</u>	<u>0.87</u>	<u>29.13</u>	<u>90.10</u>	<u>94.70</u>	<u>1.78 x10<sup>6</sup></u>
<u>UTC</u>	<u>Aqua</u>	<u>G16</u>	<u>0.76</u>	<u>33.87</u>	<u>117.43</u>	<u>122.22</u>	<u>1.58 x10<sup>6</sup></u>
<u>19</u>		<u>G17</u>	<u>0.78</u>	<u>31.53</u>	<u>129.42</u>	<u>133.21</u>	<u>0.29 x10<sup>6</sup></u>
<u>09/13</u>	<u>Terra</u>	<u>G16</u>	<u>0.87</u>	<u>-17.37</u>	<u>81.72</u>	<u>83.54</u>	<u>0.13x10<sup>6</sup></u>
<u>2019</u>		<u>G17</u>	<u>0.71</u>	<u>47.09</u>	<u>108.73</u>	<u>118.48</u>	<u>1.73x10<sup>6</sup></u>
<u>UTC</u>	<u>Aqua</u>	<u>G16</u>	<u>0.76</u>	<u>18.22</u>	<u>108.50</u>	<u>110.02</u>	<u>1.46x10<sup>6</sup></u>
<u>20</u>		<u>G17</u>	<u>0.73</u>	<u>25.14</u>	<u>81.95</u>	<u>85.72</u>	<u>0.53x10<sup>6</sup></u>
<u>09/21</u>	<u>Terra</u>	<u>G16</u>	<u>0.85</u>	<u>6.78</u>	<u>66.66</u>	<u>67.00</u>	<u>0.35x10<sup>6</sup></u>
<u>2019</u>		<u>G17</u>	<u>0.83</u>	<u>26.41</u>	<u>87.64</u>	<u>91.57</u>	<u>1.75x10<sup>6</sup></u>
<u>UTC</u>	<u>Aqua</u>	<u>G16</u>	<u>0.82</u>	<u>29.66</u>	<u>105.09</u>	<u>109.20</u>	<u>1.67x10<sup>6</sup></u>
<u>19</u>		<u>G17</u>	<u>0.76</u>	<u>6.03</u>	<u>94.70</u>	<u>94.89</u>	<u>0.15x10<sup>6</sup></u>
<u>09/30</u>	<u>Terra</u>	<u>G16</u>	<u>0.88</u>	<u>4.49</u>	<u>64.79</u>	<u>64.94</u>	<u>0.40x10<sup>6</sup></u>
<u>2019</u>		<u>G17</u>	<u>0.80</u>	<u>19.35</u>	<u>86.41</u>	<u>88.55</u>	<u>1.74x10<sup>6</sup></u>
<u>UTC</u>	<u>Aqua</u>	<u>G16</u>	<u>0.80</u>	<u>19.87</u>	<u>100.45</u>	<u>102.40</u>	<u>1.69x10<sup>6</sup></u>
<u>19</u>		<u>G17</u>	<u>0.72</u>	<u>2.71</u>	<u>91.79</u>	<u>91.83</u>	<u>0.12x10<sup>6</sup></u>

<u>10/23</u>	<u>Terra</u>	<u>G16</u>	<u>0.86</u>	<u>5.84</u>	<u>51.44</u>	<u>51.77</u>	<u>0.35x10<sup>6</sup></u>
<u>2019</u>		<u>G17</u>	<u>0.87</u>	<u>22.47</u>	<u>70.25</u>	<u>73.76</u>	<u>1.75x10<sup>6</sup></u>
<u>UTC</u>		<u>G16</u>	<u>0.89</u>	<u>17.10</u>	<u>75.95</u>	<u>77.85</u>	<u>1.67x10<sup>6</sup></u>
<u>19</u>	<u>Aqua</u>	<u>G17</u>	<u>0.78</u>	<u>8.98</u>	<u>72.52</u>	<u>73.07</u>	<u>0.15x10<sup>6</sup></u>
<u>11/08</u>	<u>Terra</u>	<u>G16</u>	<u>0.87</u>	<u>-0.5</u>	<u>43.28</u>	<u>43.28</u>	<u>0.35x10<sup>6</sup></u>
<u>2019</u>		<u>G17</u>	<u>0.82</u>	<u>17.18</u>	<u>71.27</u>	<u>73.31</u>	<u>1.75x10<sup>6</sup></u>
<u>UTC</u>		<u>G16</u>	<u>0.90</u>	<u>10.08</u>	<u>71.27</u>	<u>71.98</u>	<u>1.67x10<sup>6</sup></u>
<u>19</u>	<u>Aqua</u>	<u>G17</u>	<u>0.68</u>	<u>1.53</u>	<u>47.55</u>	<u>47.58</u>	<u>0.15x10<sup>6</sup></u>
<u>11/24</u>	<u>Terra</u>	<u>G16</u>	<u>0.79</u>	<u>7.98</u>	<u>49.10</u>	<u>49.75</u>	<u>0.35x10<sup>6</sup></u>
<u>2019</u>		<u>G17</u>	<u>0.87</u>	<u>14.10</u>	<u>78.35</u>	<u>79.61</u>	<u>1.76x10<sup>6</sup></u>
<u>UTC</u>		<u>G16</u>	<u>0.82</u>	<u>7.63</u>	<u>58.68</u>	<u>59.17</u>	<u>1.67x10<sup>6</sup></u>
<u>19</u>	<u>Aqua</u>	<u>G17</u>	<u>0.65</u>	<u>0.19</u>	<u>63.14</u>	<u>63.14</u>	<u>0.15x10<sup>6</sup></u>
<u>12/26</u>	<u>Terra</u>	<u>G16</u>	<u>0.88</u>	<u>5.24</u>	<u>53.28</u>	<u>53.54</u>	<u>0.35x10<sup>6</sup></u>
<u>2019</u>		<u>G17</u>	<u>0.76</u>	<u>11.26</u>	<u>73.95</u>	<u>74.80</u>	<u>1.76x10<sup>6</sup></u>
<u>UTC 19</u>		<u>G16</u>	<u>0.83</u>	<u>9.79</u>	<u>58.90</u>	<u>59.56</u>	<u>1.67x10<sup>6</sup></u>
	<u>Aqua</u>	<u>G17</u>	<u>0.73</u>	<u>0.85</u>	<u>52.53</u>	<u>52.54</u>	<u>0.15x10<sup>6</sup></u>

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621 Table 7. Statistical summary for all selected cases intercompared at instantaneous time  
 622 scale.

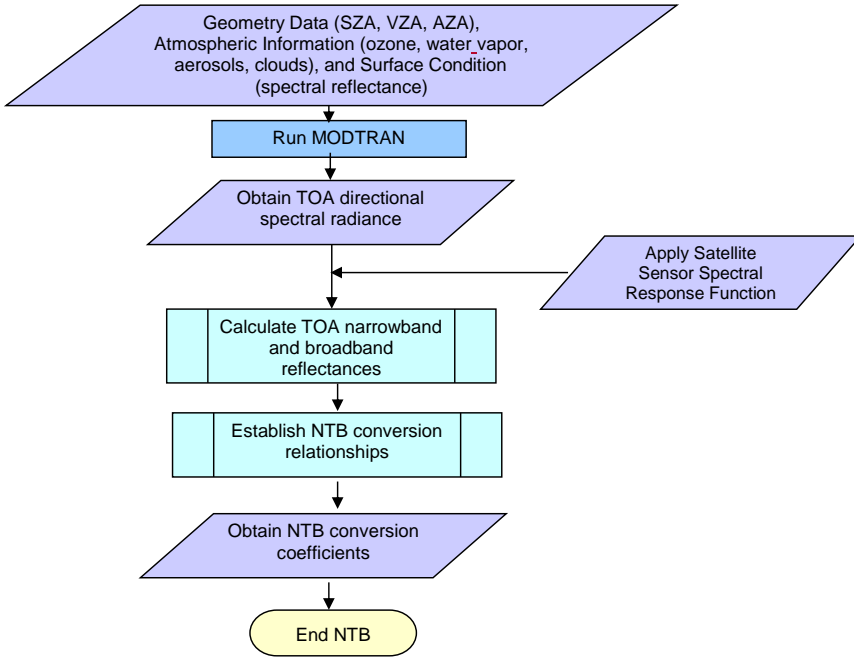
Case	CERES	GOES-R	Corr	Bias	Std	RMSE	N
09/13 2019 UTC 20	Terra	G16	0.87	-12.10	82.09	82.98	0.13x10 <sup>6</sup>
		G17	0.71	48.17	108.19	118.42	1.73x10 <sup>6</sup>
	Aqua	G16	0.76	17.38	109.08	110.45	1.46x10 <sup>6</sup>
		G17	0.73	26.00	81.96	85.98	0.53x10 <sup>6</sup>
09/21 2019 UTC 19	Terra	G16	0.85	6.78	66.66	67.00	0.35x10 <sup>6</sup>
		G17	0.83	26.41	87.64	91.57	1.75x10 <sup>6</sup>
	Aqua	G16	0.82	29.66	105.09	109.20	1.67x10 <sup>6</sup>
		G17	0.76	6.03	94.70	94.89	0.15x10 <sup>6</sup>
09/30 2019 UTC 19	Terra	G16	0.88	4.49	64.79	64.94	0.40x10 <sup>6</sup>
		G17	0.80	19.35	86.41	88.55	1.74x10 <sup>6</sup>
	Aqua	G16	0.81	19.99	99.98	101.96	1.67x10 <sup>6</sup>
		G17	0.70	1.22	94.90	94.91	0.12x10 <sup>6</sup>
10/23 2019 UTC 19	Terra	G16	0.86	5.84	51.44	51.77	0.35x10 <sup>6</sup>
		G17	0.87	22.47	70.25	73.76	1.75x10 <sup>6</sup>
	Aqua	G16	0.89	17.10	75.95	77.85	1.67x10 <sup>6</sup>
		G17	0.78	8.98	72.52	73.07	0.15x10 <sup>6</sup>
11/08 2019	Terra	G16	0.87	-0.5	43.28	43.28	0.35x10 <sup>6</sup>
		G17	0.82	17.18	71.27	73.31	1.75x10 <sup>6</sup>

UTC 19	Aqua	G16	0.90	10.08	71.27	71.98	1.67x10 <sup>6</sup>
		G17	0.68	1.53	47.55	47.58	0.15x10 <sup>6</sup>
11/24 2019	Terra	G16	0.79	7.98	49.10	49.75	0.35x10 <sup>6</sup>
		G17	0.87	14.10	78.35	79.61	1.76x10 <sup>6</sup>
UTC 19	Aqua	G16	0.82	7.63	58.68	59.17	1.67x10 <sup>6</sup>
		G17	0.65	0.19	63.14	63.14	0.15x10 <sup>6</sup>
12/26 2019	Terra	G16	0.89	7.6	52.79	53.33	0.35x10 <sup>6</sup>
		G17	0.77	10.81	73.14	73.93	1.76x10 <sup>6</sup>
UTC 19	Aqua	G16	0.83	7.02	59.16	59.58	1.67x10 <sup>6</sup>
		G17	0.73	-1.09	53.08	53.09	0.15x10 <sup>6</sup>

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626 **Figures**

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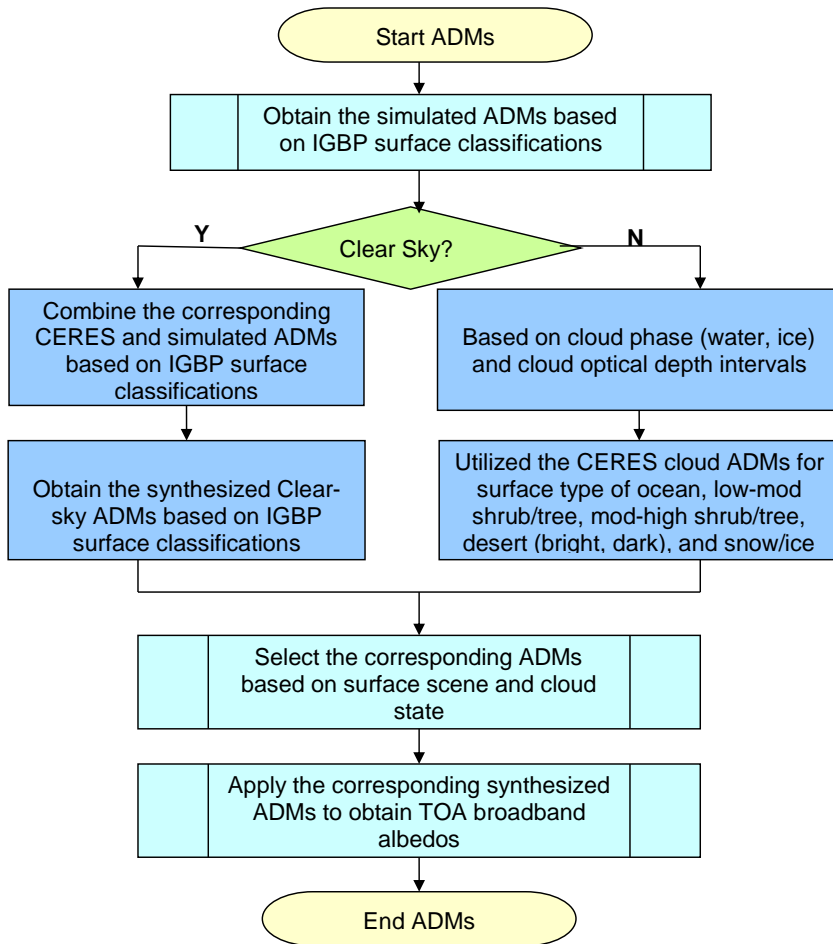
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629 Figure 1. Flowchart of the NTB transformations illustrating the main processing sections.

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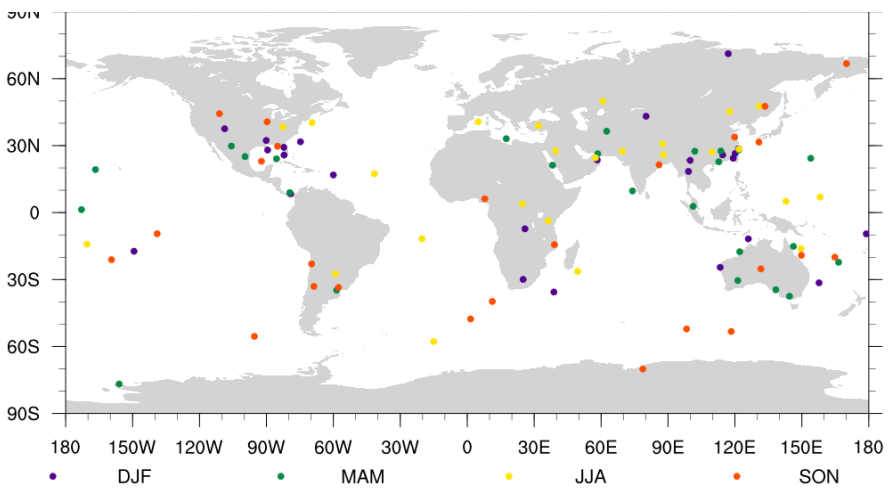
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634 Figure 2. Schematic illustration of the logic employed to synthesize modeled and observed ADMs.

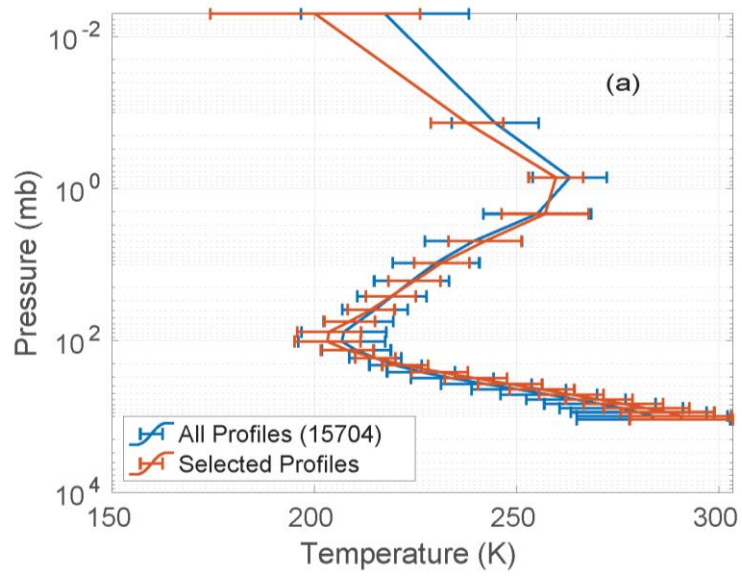
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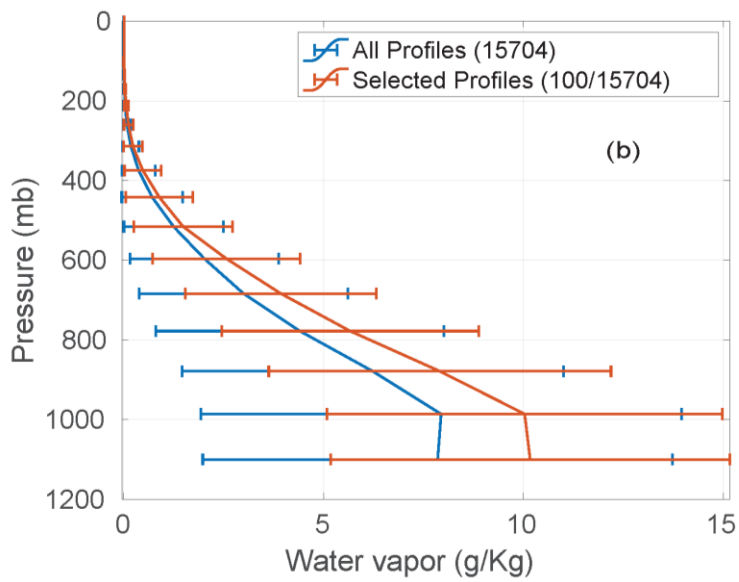
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Figure 3. The location of the 100 selected clear sky profiles from SeeBor used in [the simulations](#).





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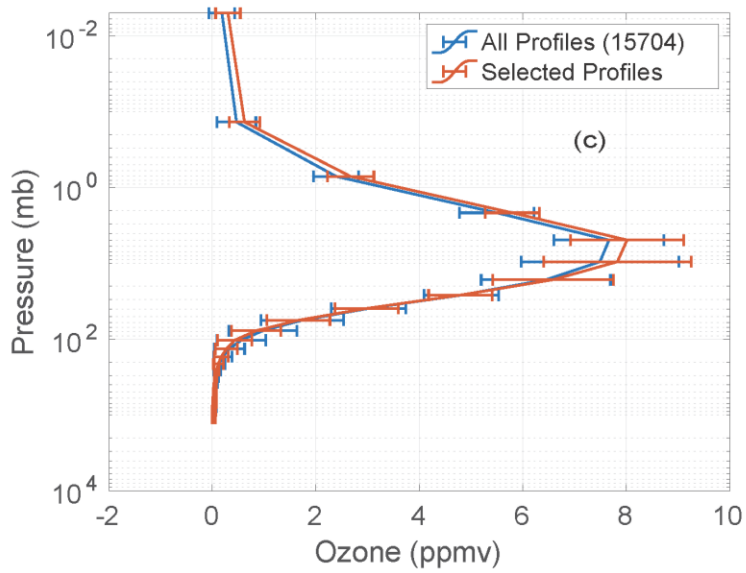
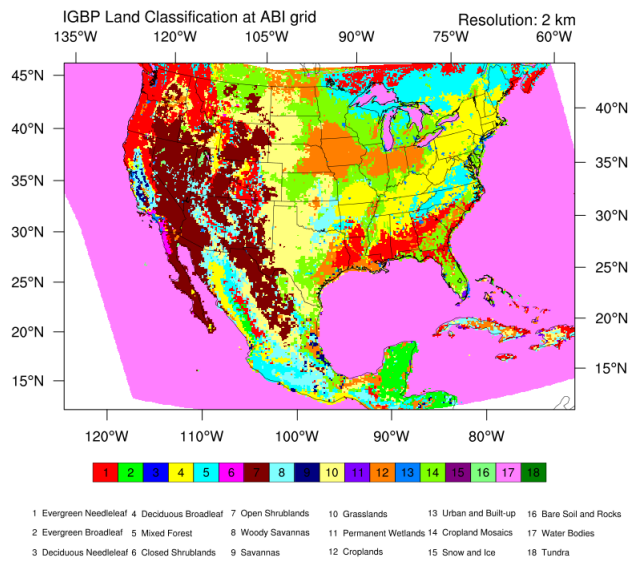


Figure 4. Profile statistics of: (a) temperature; (b): water vapor; (c) ozone for the entire available sample and for the reduced sample used in this study. Error bar is 1 standard deviation. (logarithmic scale).



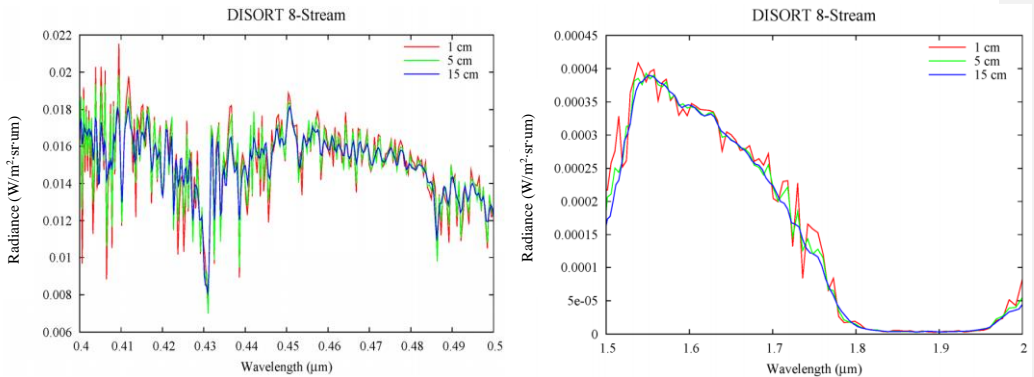
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653 Figure 5. Re-mapped IGBP surface classifications over the CONUS at 2-km ABI grid.

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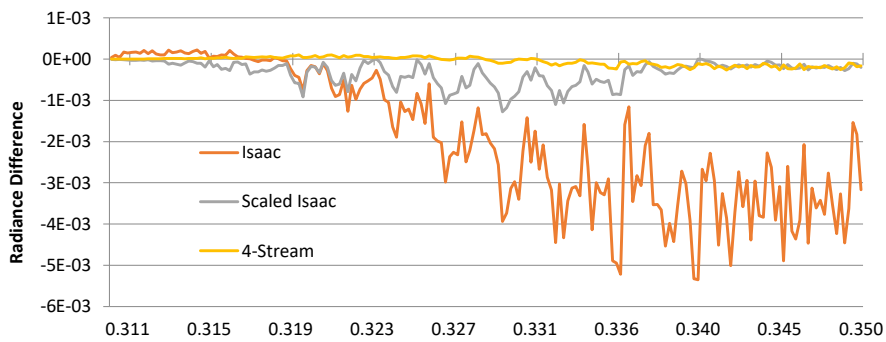
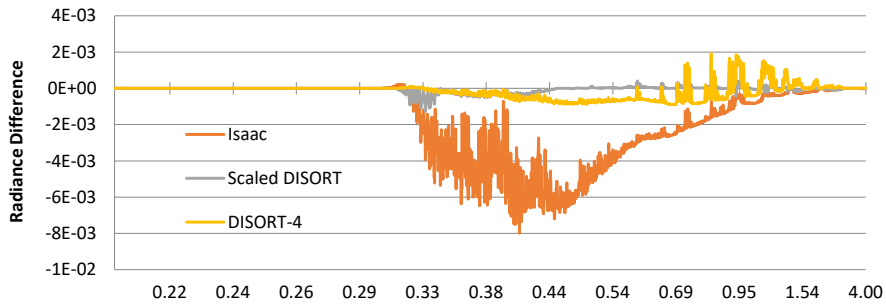
658 Figure 6. Simulated Radiances from DISORT 8-stream (with 1, 5, and 15 cm<sup>-1</sup> resolution band  
659 model for spectral range of 0.4 – 0.5 μm (left) and 1.5 – 2.0 μm (right).

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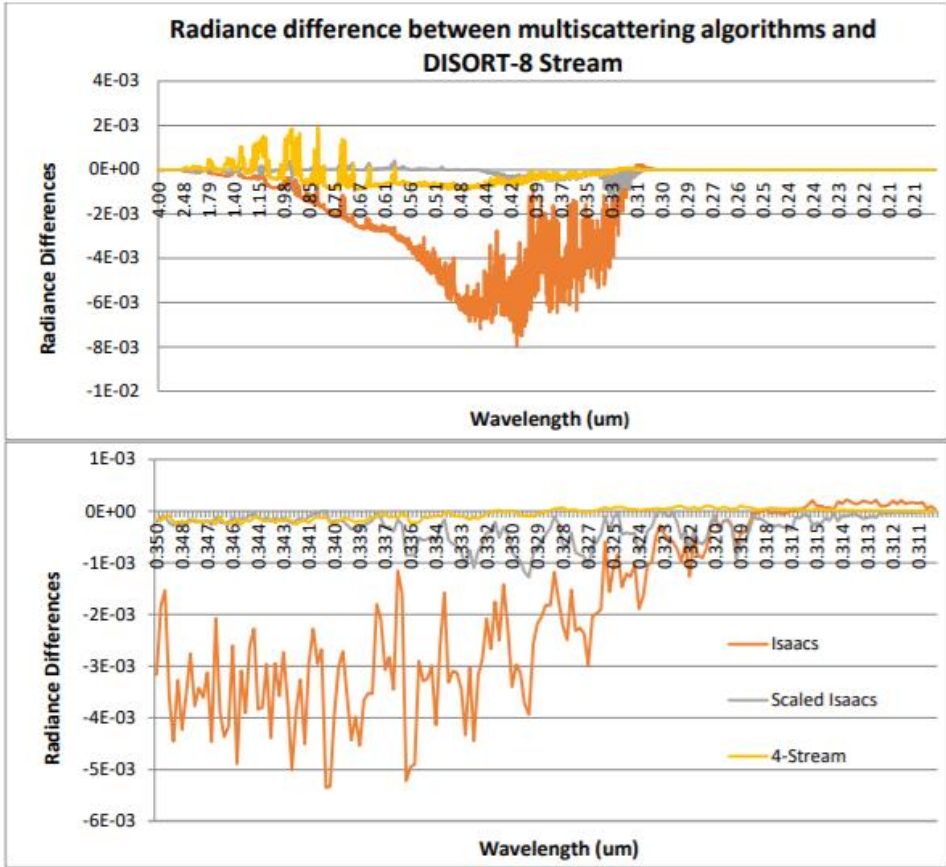
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### Radiance difference between multiscattering algorithms and DISORT-8 Stream



665 Figure 7. Radiance differences between various multi-scattering algorithms and DISORT-8 stream.

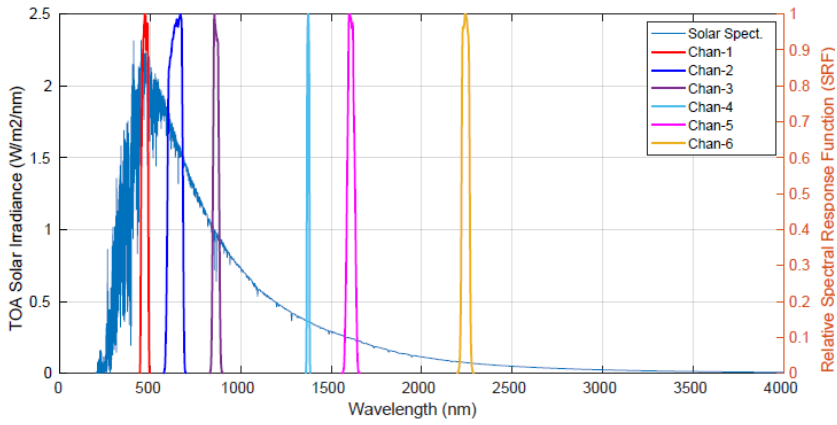
666 Upper: the whole simulated spectrum of 0.2-4  $\mu\text{m}$ ; Lower: zoom on 0.3-0.35  $\mu\text{m}$  (Relative  
667 Azimuthal Angle=1.9°, View Angle=76.3°, Solar Zenith Angle=87.2°)



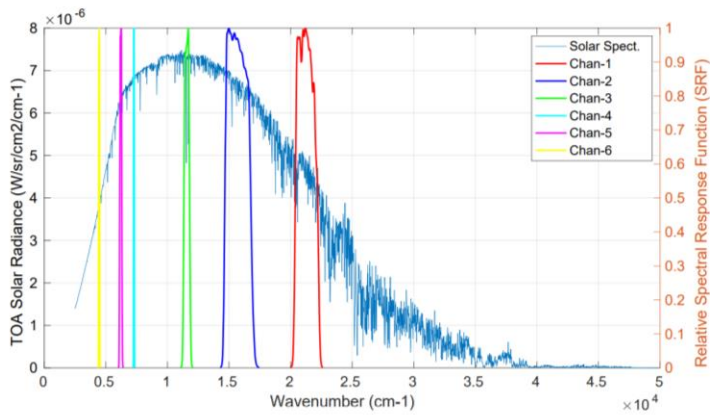
670 ~~Figure 7. Radiance differences between various multi-scattering algorithms and DISORT 8 stream-~~  
671 ~~Upper: the whole simulated spectrum of 0.2–4  $\mu\text{m}$ ; Lower: zoom on 0.3–0.35  $\mu\text{m}$  (Relative Azimuthal~~  
672 ~~Angle=1.9°, View Angle=76.3°, Solar Zenith Angle=87.2°).~~



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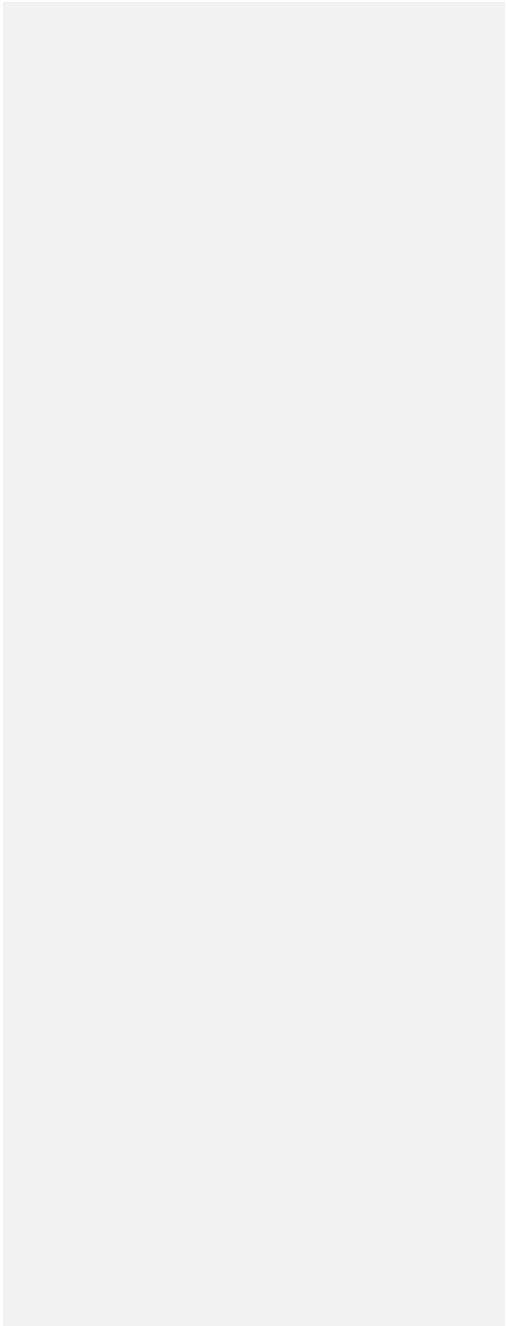


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678 **Figure 8.** Locations of the six ABI channel SRFs. X-axis is wavenumber. Y-axis is solar irradiance.  
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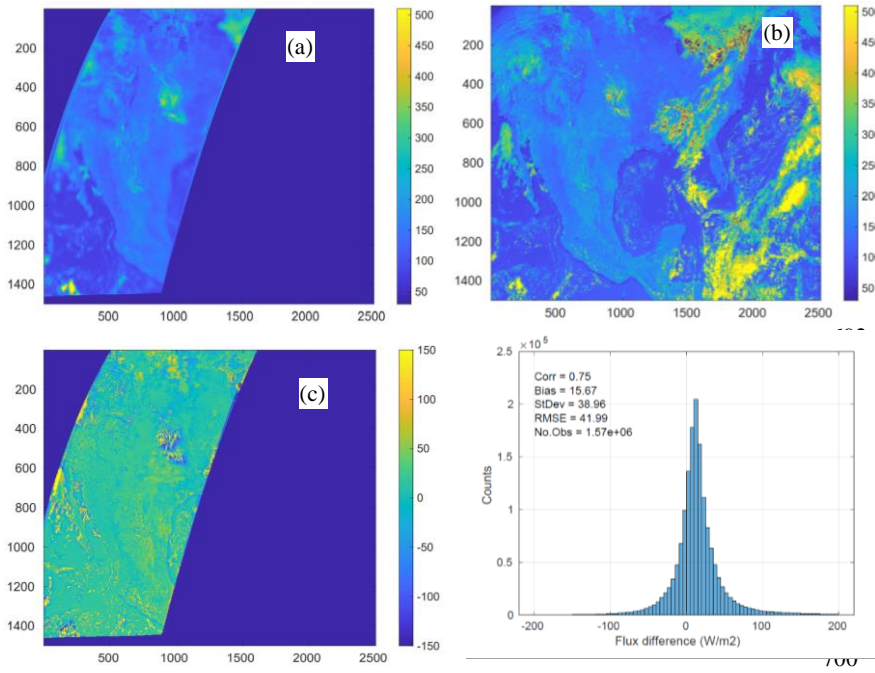
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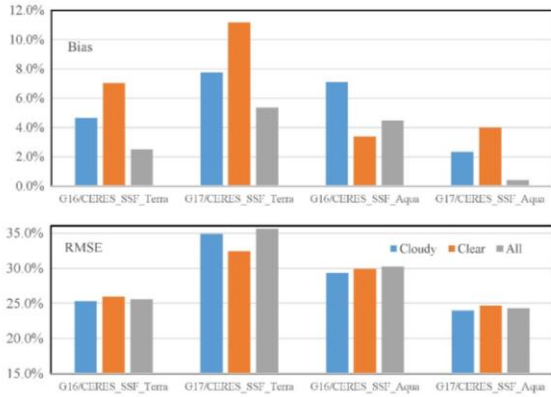


701 **Figure 9.** Comparison of TOA flux from ABI and CERES based FLASHFlux for 2017/11/25, 17:57Z.  
 702 (a) CERES Terra product; (b): results with “separate-channel” coefficients. (c): difference (ABI-  
 703 CERES); (d): histogram of ABI-CERES differences (this is the only case illustrated in this paper with  
 704 data from FLASHFlux)-

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Figure 10. Statistics for relative Bias and RMSE. The y-axis is percentage. The x-axis is the case used in the intercomparison. Blue - cloudy orange - clear sky and gray - all sky.

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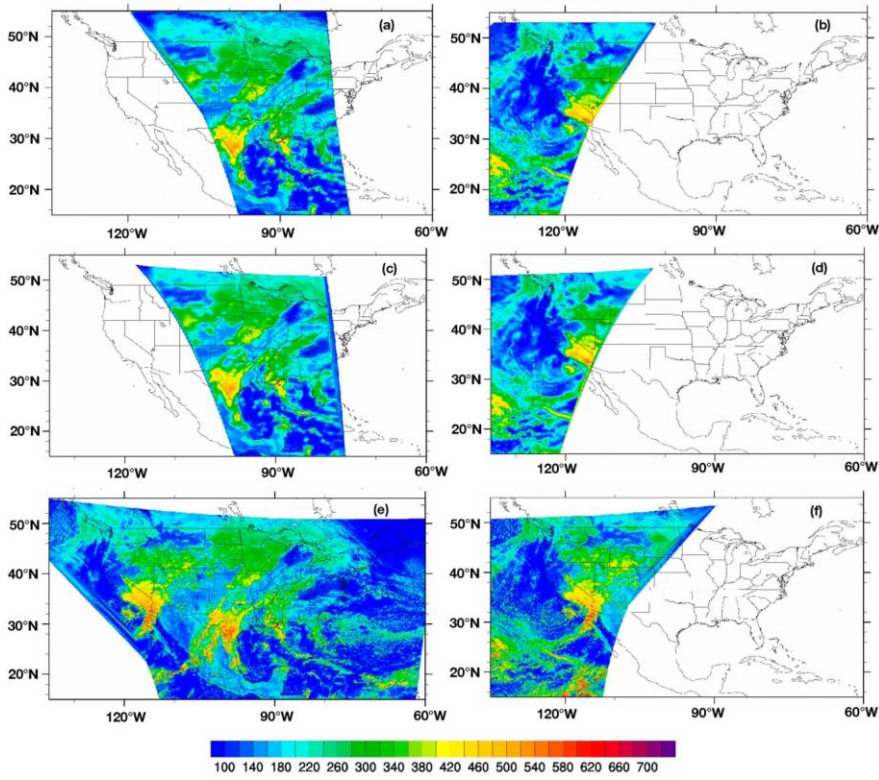
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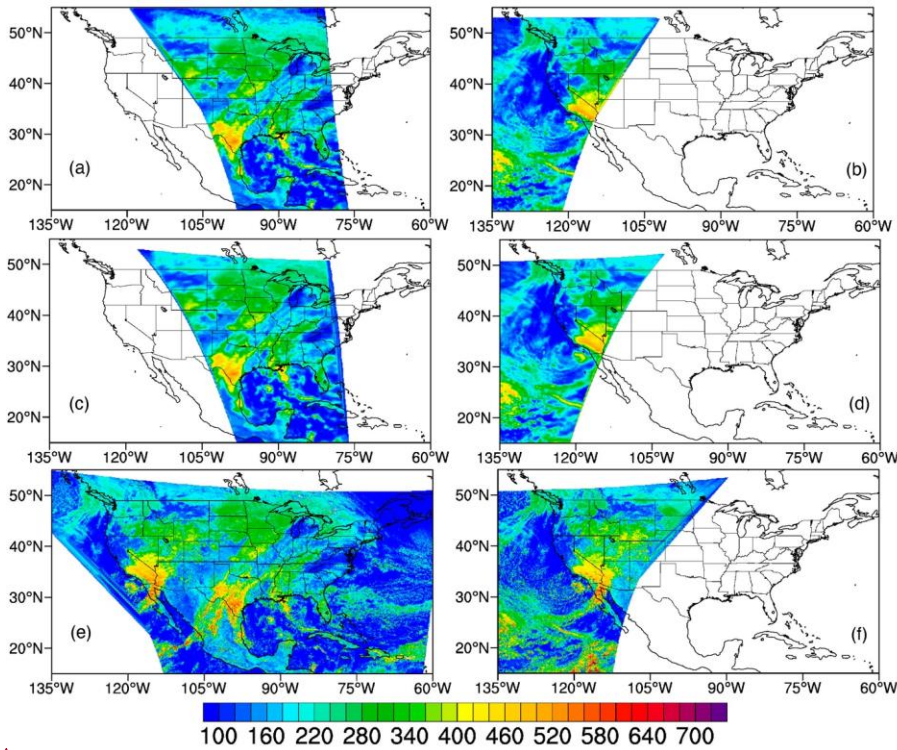
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719 ~~Figure 10. All sky TOA SW from CERES FLASHFlux/Aqua (a), CERES FLASHFlux/Terra (b), re-~~  
 720 ~~gridded CERES FLASHFlux/Aqua (c), CERES FLASHFlux/Terra GOES-16 (d) and GOES-17 (f) on~~  
 721 ~~12/26/2019 at UTC 19:36.~~



725 Figure 11. (a) All sky TOA SW from CERES SSF/Aqua, (b) CERES SSF/Terra, (c) re-gridded  
 726 CERES SSF/Aqua, (d) re-gridded CERES SSF/Terra, (e) GOES-16 and (f) GOES-17 on  
 727 12/26/2019 at UTC 19:36.  
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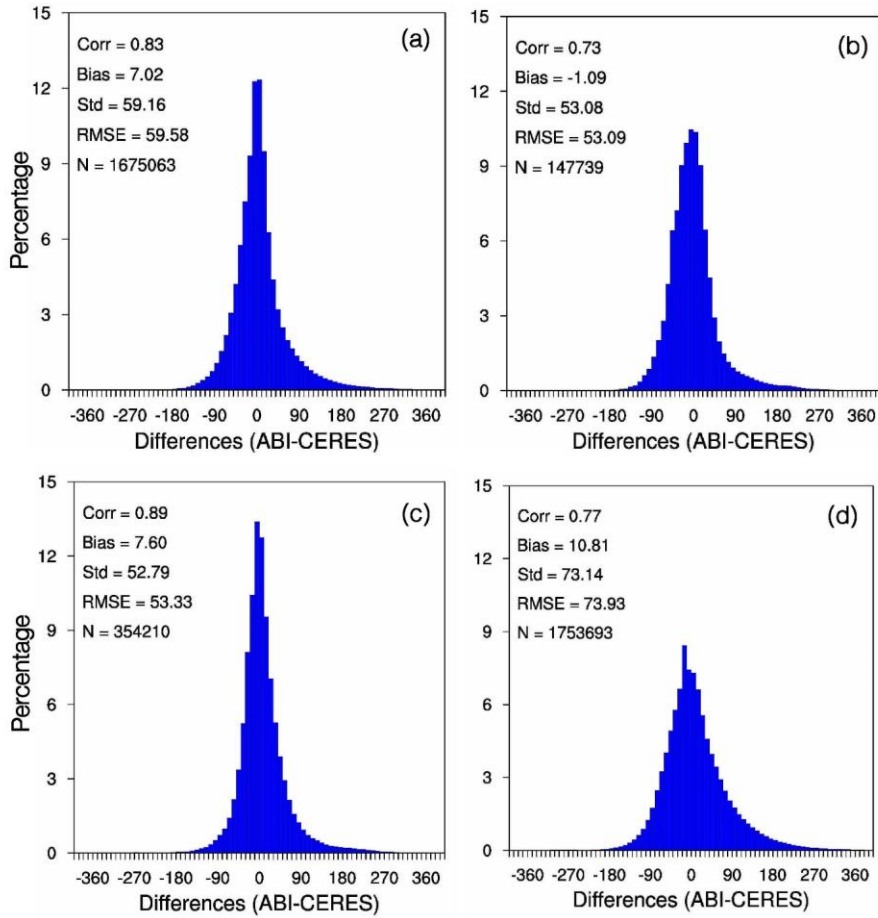
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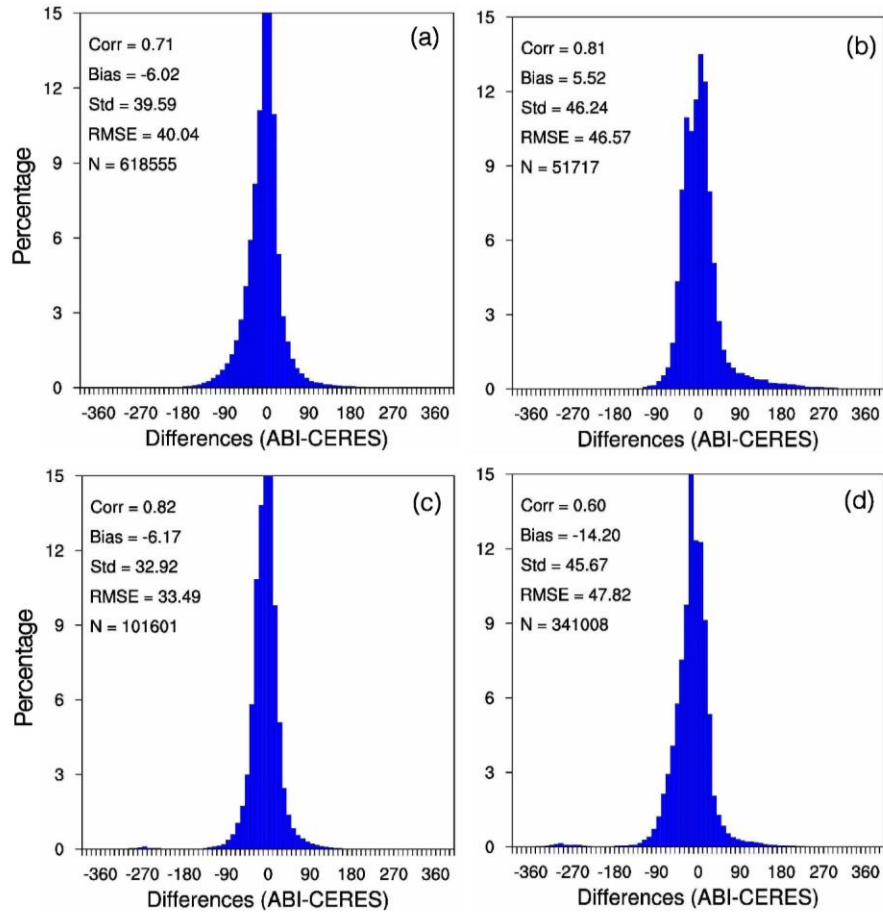
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732 **Figure 11.** Frequency distribution of all sky TOA SW differences between ABI on GOES-16 and CERES  
 733 (Left) and ABI on GOES-17 and CERES (Right) using Aqua (Upper) and Terra (Lower). All observations  
 734 were used (clear and cloudy) on 12/26/2019 at UTC 19:36.  
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738 ~~Figure 12. Same as Figure 11 but for clear TOA SW differences.~~



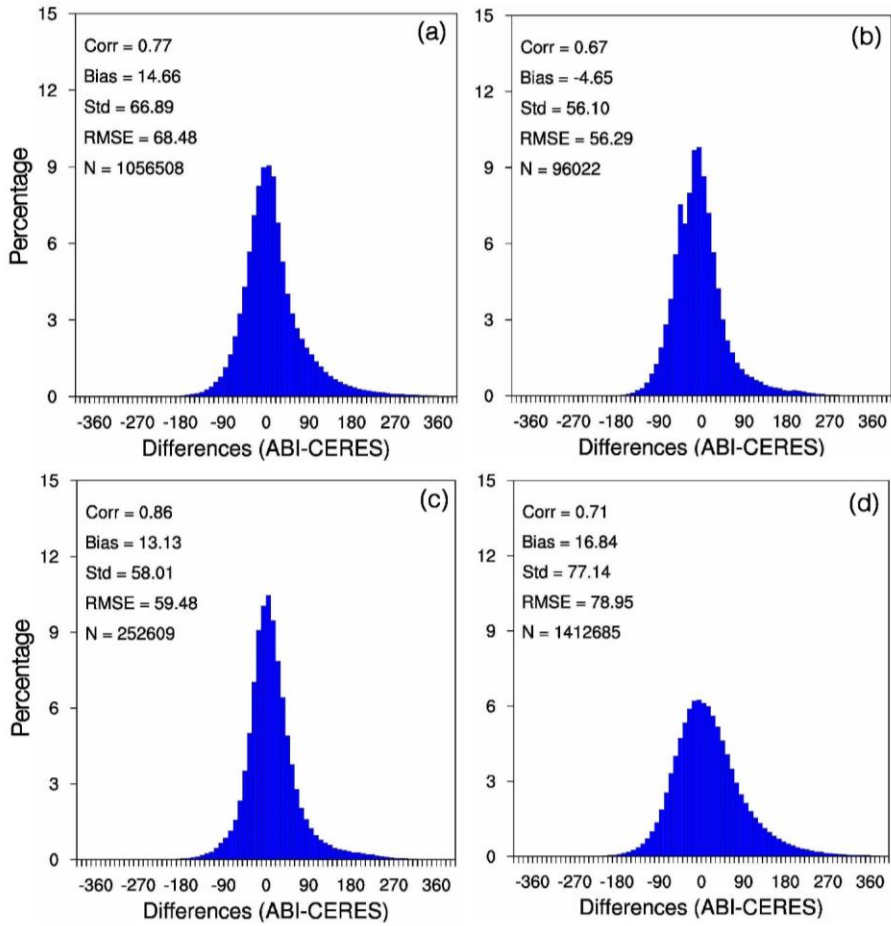


Figure 13. Same as Figure 11 but for cloudy TOA SW differences.

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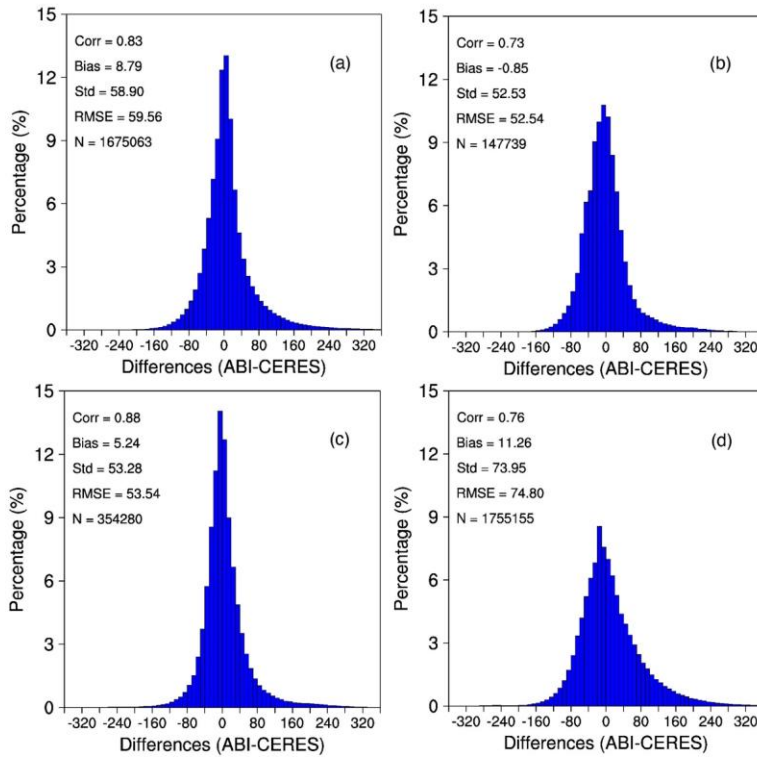
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Figure 12. (a) Frequency distribution of all-sky TOA SW differences between ABI on GOES-16 and CERES. (b) ABI on GOES-17 and CERES SSF using Aqua (Upper) and Terra (Lower). All observations were used (clear and cloudy) on 12/26/

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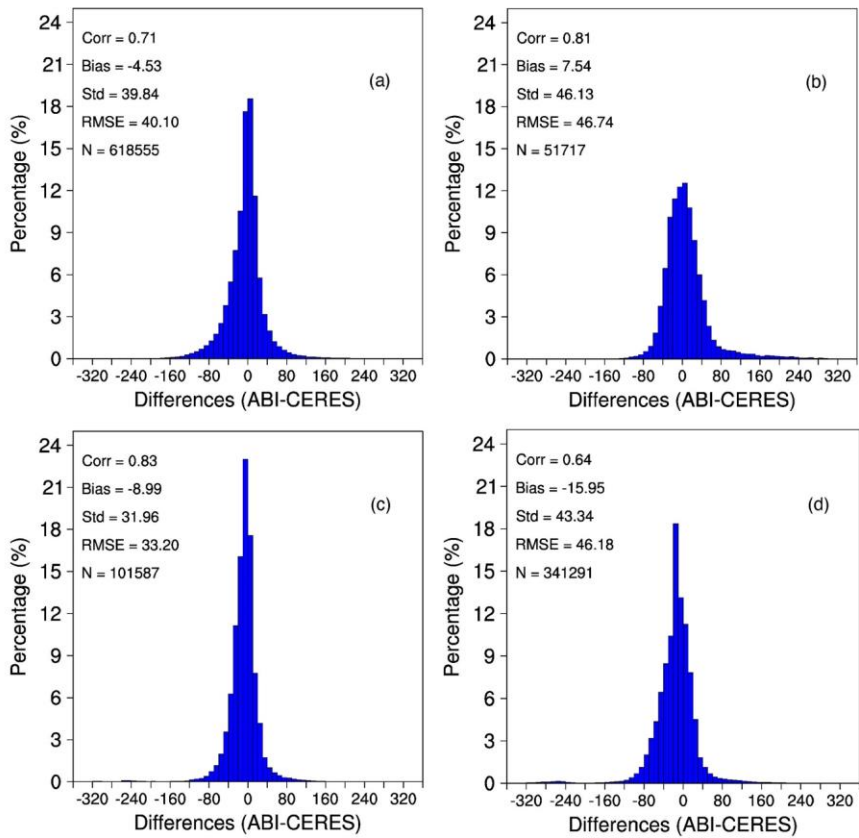


Figure 13. Same as Figure 11 but for clear TOA SW differences.

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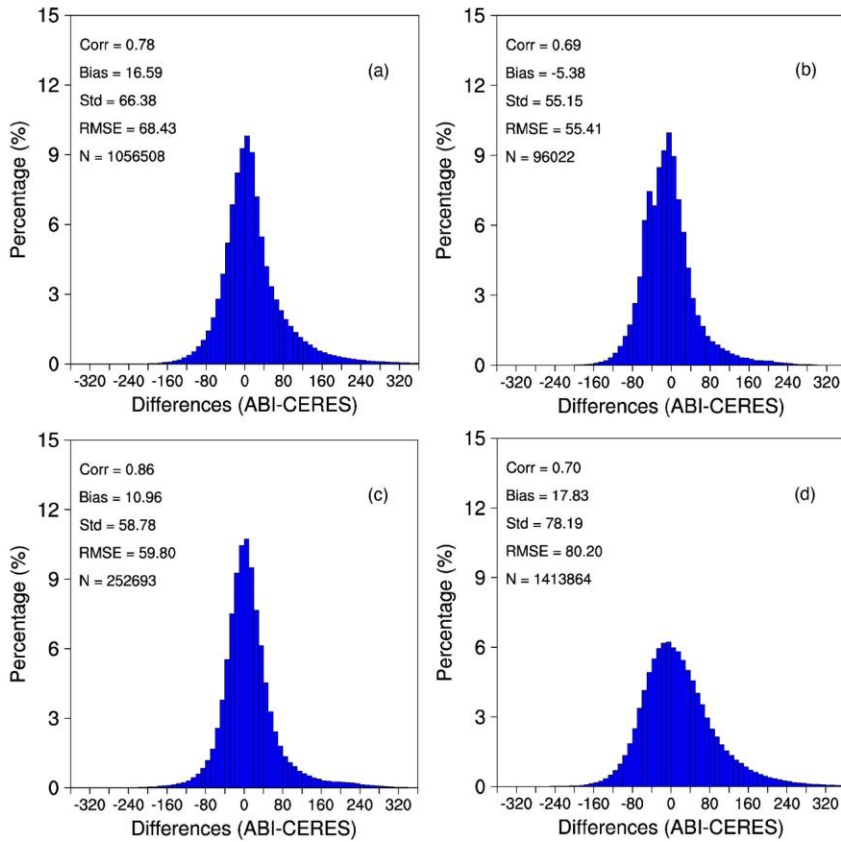
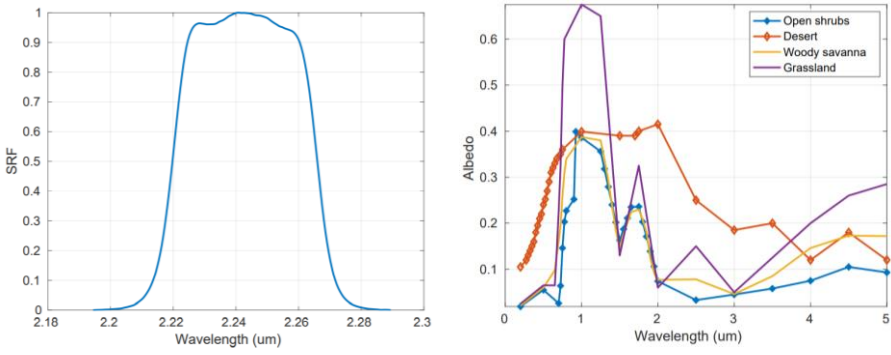


Figure 14. Same as Figure 11 but for cloudy TOA SW differences.

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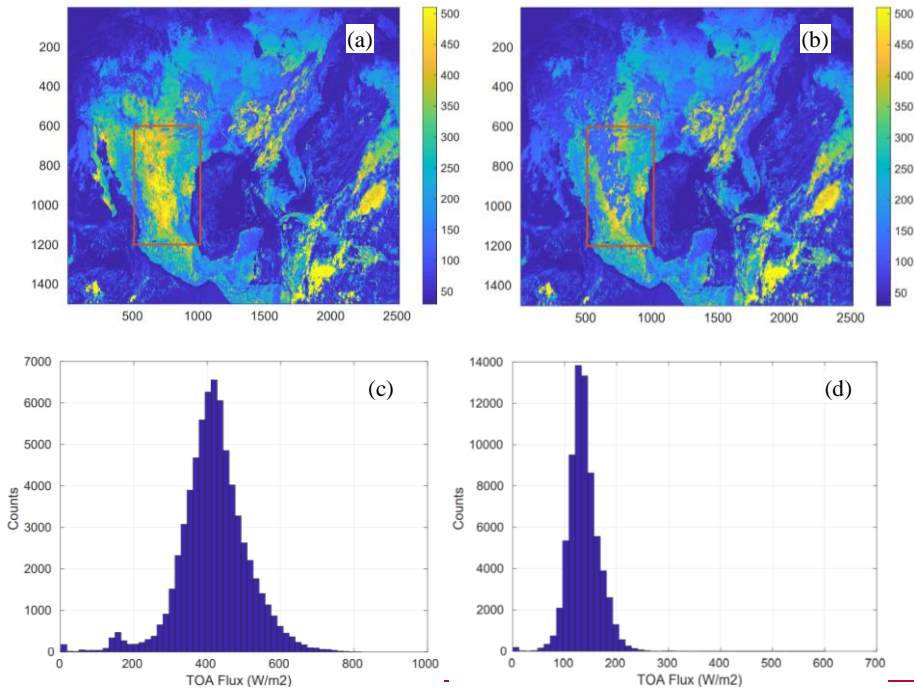
**Figure 1415.** *Left:* Sensor response function for ABI channel 6; *Right:* Spectral albedo for desert and open shrubs. Desert albedo value is much higher than open shrubs at 2.2 μm.

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765 **Figure 15.** TOA fluxes using two different NTB coefficients: *Left:* used “open shrub” coefficients;  
766 *Right:* “Desert” coefficients. Lower panels show the frequency distribution of TOA fluxes for a reduced  
767 domain (over Mexico in the orange boxes) that includes the open shrub/desert classification. Case time  
768 stamp is 2017/11/25 17:32Z.

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