



Polarization lidar for detecting dust orientation: System design and calibration.

Alexandra Tsekeri¹, Vassilis Amiridis¹, Alexandros Louridas², George Georgoussis², Volker Freudenthaler³, Spiros Metallinos¹, George Doxastakis², Josef Gasteiger⁴, Nikolaos Siomos¹, Peristera Paschou¹, Thanasis Georgiou¹, George Tsaknakis², Christos Evangelatos², and Ioannis Binietoglou⁵

¹Institute for Astronomy, Astrophysics, Space Applications and Remote Sensing, National Observatory of Athens, Athens, Greece

²Raymetrics S.A., Athens, Greece

³Fakultät für Physik, Meteorologisches Institut, Ludwig-Maximilians-Universität, Munich, Germany

⁴University of Vienna, Faculty of Physics, Vienna, Austria

⁵National Institute of R&D for Optoelectronics, Magurele, Romania

Correspondence: Alexandra Tsekeri (atsekeri@noa.gr)

Abstract. Dust orientation is an ongoing investigation in recent years. Its potential proof will be a paradigm shift for dust remote sensing, invalidating the currently used simplifications of randomly-oriented particles. Vertically-resolved measurements of dust orientation can be acquired with a polarization lidar designed to target the off-diagonal elements of the backscatter matrix which are non-zero only when the particles are oriented. Building on previous studies, we constructed a lidar system emitting

- 5 linearly- and elliptically-polarized light at 1064 nm and detecting the linear and circular polarization of the backscattered light. Its measurements provide direct flags of dust orientation, as well as more detailed information of the particle microphysics. The system also employs the capability to acquire measurements at varying viewing angles. Moreover, in order to achieve good signal-to-noise-ratio in short measurement times the system is equipped with two laser sources emitting in interleaved fashion, and two telescopes for detecting the backscattered light from both lasers. Herein we provide a description of the optical and
- 10 mechanical parts of this new lidar system, the scientific and technical objectives of its design, and the calibration methodologies tailored for the measurements of oriented dust particles. We also provide the first measurements of the system.

1 Introduction

Dust particles have non-spherical irregular shapes and they have been reported to present preferential orientation (Ulanowski et al., 2007). If present, particle orientation will play a role in the radiation reaching the surface of the Earth and the top of the

15 atmosphere, as well as in the interpretation of the remote sensing observations used for dust monitoring from space, that cannot be described using the long-established assumption of randomly-oriented particles. The phenomenon of dust orientation has been extensively studied for space dust (e.g., Whitney and Wolff, 2002), whereas the investigation for the Earth's atmosphere is a relatively new field of research. Specifically, the only signature of dust orientation in the Earth's atmosphere comes from astronomical polarimetry measurements of dichroic extinction during a dust event at the Canary islands (Ulanowski et al.,





20 2007) and a dust event at the Antikythera island in Greece (Daskalopoulou et al., 2021). However these measurements refer to column-integrated values, not being capable for vertically-resolved retrievals.

Lidars (light detection and ranging) are capable of providing vertically-resolved measurements of dust orientation in the atmosphere. Previous studies have demonstrated the feasibility of using circularly- or linearly-polarized lidar measurements to detect the orientation of ice crystals in clouds (Kaul et al., 2004; Hayman et al., 2012; Balin et al., 2013; Volkov et al.,

- 25 2015; Kokhanenko et al., 2020) and it has been theoretically shown that these techniques can be extended to oriented dust particles (Geier and Arienti, 2014). Specifically, Geier and Arienti (2014) demonstrated that although the linearly-polarized measurements provided by most of the lidar systems are sufficient for discerning ice crystal orientation, this is not the case for smaller particles as dust, for which we expect much lower differences than the order(s) of magnitude reported for oriented particles in clouds. What they suggested is to use light that is linearly-polarized along a plane at an angle $\neq 0$, or circularly-
- 30 polarized light, and detect the backscattered light at different polarization planes. With this approach they showed that the off-diagonal elements of the backscatter phase matrix could be retrieved, providing information on the orientation of the particles.

In this work we propose a different approach for the polarization lidar we designed and constructed, aiming for direct measurements of dust orientation, without having to retrieve the individual off-diagonal elements of the backscatter phase matrix,

- and also aiming at sufficient information on dust microphysical properties. The new lidar, nicknamed WALL-E, employs two lasers, with the first emitting linearly-polarized light along a plane at 45° with respect to the horizon, and the second emitting elliptically-polarized light, with the angle of the polarization ellipse at 5.6° with respect to the horizon. The two laser sources emit interleavingly and their backscattered light is collected also interleavingly, for both, by two telescopes. At the detection side, after the first telescope, the linear polarization of the backscattered light is measured, whereas after the second telescope
- 40 the circular polarization of the backscattered light is measured. The operating wavelength is at 1064 nm for better probing the dust coarse mode. The system is capable of measuring at more than one zenith and azimuth viewing angles so as to provide more information on the dust orientation and microphysical properties, depending on the angle of the particle orientation. In order to derive the orientation properties of the particles with respect to the horizon, we define the polarization of the emitted and detected light with respect to the horizon. To achieve highly-accurate polarization measurements with high signal-to-noise
- 45 ratio (SNR), the lidar system uses high-power lasers, large aperture telescopes and small receiver field-of-view. For the design and calibration of the system we followed the high quality standards of the European Aerosol Research Lidar Network (EARLINET) (Freudenthaler, 2016).

In Section 2 we present a general description of the instrument, including mainly the optical and the mechanical parts of the system. In Section 3 we describe the methodology we followed for the system design, based on specific scientific and technical

50 objectives. In Sections 4 and 5 we present the various calibration procedures. In Section 6 we provide a methodology for comparing the measurements with the ones from commonly-used polarization lidars, using the volume linear depolarization ratio. In Section 7 we present the first measurements of the system, acquired during a dust case in Athens, Greece. In Section 8 we provide an overview of this work and we discuss its future perspectives. The detailed calculations for the methodologies



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presented herein are provided in the Appendices A, B and C, as well as in the Supplement. Moreover, a table containing all acronyms and symbols is also provided in the Supplement.

2 Overview of the lidar components

The lidar system is equipped with two emission units, and two detection units. The lasers in the emission units emit interleaved light pulses, and their backscattered light is measured interleavingly for each laser at the detectors of the detection units. Each of the detection units is comprised of a telescope, polarizing optics and two detectors. The system uses this "two-laser/two-telescope/four-detector" setup to record eight separate signals.

The lidar has been developed by Raymetrics S.A. and it is housed in a compact enclosure that permits the system to perform measurements in the field, under a wide range of ambient conditions. As shown in Fig. 1, it is comprised by the upper "head" part, containing the lasers, the telescopes and the detection units of the system, the bottom "electronics compartment", containing the power supplies of the lasers, the Transient Recorders (TRs), the Master Trigger Control Unit and the Lidar Peripheral

65 Controlling unit (LPC), and the "positioner" which holds the head and facilitates its movement along different zenith and azimuth angles. The electronics compartment and the head are connected with two umbilical tubes that contain the cooling lines of the lasers and the power and communication cables.



Figure 1. The lidar system, with the "head" part at the top (1), the "electronics compartment" at the bottom (2), and the "positioner" of the head (3).





2.1 The head

The head of the system contains the lasers, the telescopes, and the rest of the detection units. The lasers and telescopes areplaced in a diamond-shaped layout (Fig. 2, right), that ensures equal distances of both lasers from both telescopes, for the proper alignment of the laser beams with the field-of-view of both telescopes.

We use Nd:Yag lasers (CFR400 from Lumibird S.A.), emitting at 1064 nm, with energy per pulse of ~ 250 mJ (Table 1). We expand the laser beams by 5 times with beam expanders of Galilean type. Each laser and beam expander are mounted on a metallic plate which ensures their alignment and proper expanding of the outgoing expanded laser beam. In front of the lasers

- 75 we place appropriate optical elements in order to change the polarization state of the emitted light, as described in Section 3. Specifically, in front of the "laser A" we place a Half Wave Plate (HWP) to change the plane of its linear polarization to the plane at 45° with respect to the horizon, and in front of the "laser B" we place a Quarter Wave Plate (QWP) followed by a HWP, to change its linear polarization to elliptical polarization, with the angle of the polarization ellipse at 5.6° with respect to the horizon, and degree of linear polarization of 0.866. The QWP and the HWPs are mounted on stepping-index
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desired polarization states, as described in Section 4.

The telescopes are of Dall-Kirkham type, with an aperture of 200 mm and focal length of 1000 mm (F#5). The full overlap of the laser beams to the telescope field-of-views is achieved above 400-600 m.

rotational mounts (with accuracy of 0.1°), which enable us to accurately rotate them to the desired positions and produce the



Figure 2. The lidar head. Left: Cover of the lidar head, showing the windows in front of the two lasers and the two telescopes. Middle: Photorealistic image of the internal parts of the head, showing the lasers and their beam expanders, the telescopes and the rest of the detection units. Right: Front view of the head, showing the diamond-shaped layout of the lasers (LA and LB) and telescopes (TA and TB).





Table 1. Laser A and B parameters

	laser A	laser B
Nominal wavelength (nm)	1064	1064
Energy (mJ)	256.1	256.9
Near Field Beam Diameter (mm)	6.25	6.14
Pulse Width - FWHM (nsec)	7.29	7.46
Divergence at 86.5 % (mrad)	1.11	0.73
Pulse Rate (Hz)	20	20

The detection units after the telescopes (Fig. 3) contain the optical elements (e.g. *HWP*, *QWP*, Polarizing Beam Splitter cube *PBS*) that alter the Stokes vector of the collected backscattered light, so as to measure its polarization state effectively, as discussed in detail in Section 3. The signals are recorded by two cooled Avalanche PhotoDiodes (APDs) at each detection unit, which contain remote-controlled power supplies and cooling units. Each detection unit contains also a shutter for performing dark measurements, a camera for the alignment of the lasers with the telescopes, and an interference filter for reducing the background light in the measurements.







Figure 3. The detection unit after telescope A, containing the optical elements that are used for the detection of the polarization state of the backscattered light, with the signals recorded at the two APDs (1). It also contains a *PBS* cube (2), followed by cleaning polarizing sheet filters (3), a shutter for dark measurements (4), a camera for the alignment (5), a turning mirror for redirecting the light to the camera (6), an interference filter for the reduction of the background light (7), and a mechanical rotator (8) for accurately rotating a *HWP* for the system calibration (Section 4). The detection unit after telescope B is the same, with a *QWP* placed before the *PBS*.

90 The lidar head is protected from rain and dust with covers and with special glass windows in front of the lasers and the telescopes (Fig. 2, left). The covers can be easily removed to allow access to the internal parts of the head. Moreover, thermo-electric coolers are installed inside the head in order to stabilize the internal temperature, and to provide tolerance to ambient temperatures up to 45 °C.

2.2 The positioner

95 The positioner enables the lidar head to move along different zenith and azimuth angles. Due to constrains from the umbilical tubes, the head can be moved along -10° to $+90^{\circ}$ from the zenith, and at -150° to $+150^{\circ}$ around the vertical. The positioner consists of two side arms and a base (Fig. 4) which can be manually rotated. For changing the zenith angle, the one of the side arms is driving and the other is free. A break on the free arm reduces the backlash.







Figure 4. The fork-type positioner of the lidar head.

2.3 The electronics compartment

100 The electronics compartment (Fig. 5) contains the power supplies of the two lasers, the LPC, the LICEL rack containing the TRs for digitizing and recording the signals from the APDs, and the Master Trigger Control Unit that synchronizes the emission of the two lasers and the acquisition of the backscattered signals. Moreover, it contains a UPS and a precipitation sensor.



Figure 5. The enclosure with 1) the LPC unit, 2) the LICEL rack with the TRs and the Master Trigger Control, 3) the UPS, 4) the power supplies of the lasers, and 5) the precipitation sensor.

The synchronization of this complicated lidar system with two lasers emitting interleavingly and with their backscattered signals recorded interleavingly, requires a sophisticated triggering system. We use a master trigger control unit, produced by Licel GmbH (Fig. 6) that utilizes two trigger generators for the synchronization of the emission of the lasers and of the

repetition rate of the lasers of 20 Hz), with 38 ms idle time.





acquisition of the signals. As shown in Fig. 6b, the first trigger generator produces a pulse that starts the flash lamp. The laser builds up its maximum energy for 160 μ sec, and then a second pulse turns on the active Q-switch, which allows the release of the laser beam pulse. In the meantime, a third pulse triggers the acquisition of the backscattered light from laser A. Pre-trigger measurements are acquired until the emission of the laser A beam pulse. The same sequence is performed from the second trigger generator for laser B, starting 10 ms later, in order to avoid the recording of overlapping photons from the backscattered light of laser A. The duty cycle of lasers A and B is ~ 12 ms and the time between each cycle is 50 ms (fixed due to the

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Figure 6. a) The LICEL rack with the TRs and the Master Trigger Control Unit. b) The pulses from the Master Trigger Control Unit that synchronize the emission of the two lasers and the acquisition of the backscattered signals. The lengths of the pulses are in μ s.

The lidar system is controlled from the LPC unit. This is an "enhanced" embedded computer with specific I/Os that fits the lidar requirements, providing several ethernet interfaces that makes the controlling (local or remote) of the lidar easy and safe. Temperature and humidity conditions inside the enclosure and the head can be recorder with the LPC. Additionally, the precipitation sensor (Fig. 5) provides information about precipitation conditions. Moreover, several hardware interlocks are





connected to the LPC and shut down the lasers in case of emergency. The LPC also controls the mechanical rotators of the optical elements used for calibration purposes (Section 4).

3 Emission and detection design based on the measurement strategy

- The core of the new lidar system is its emission and detection design, based on our measurement strategy for monitoring the 120 oriented dust in the atmosphere. Our approach is different from the measurement strategy of previous works, which either focus on the retrieval of the individual elements of the backscatter matrix of the oriented particles utilizing moving elements in the system (e.g., Kaul et al., 2004), or use complicated designs that are difficult to be calibrated effectively (e.g., Geier and Arienti, 2014). We choose to avoid both, in order to achieve robust measurements along with their effective calibration. Moreover, most
- of the previous works utilize visible light measurements whereas we use near infrared light measurements at 1064 nm, to better 125 probe the larger dust particles (a more detailed discussion is provided in Tsekeri et al. (2021)).

Figure 7 shows the simplest design of a "two lasers/two telescopes/four detectors" lidar system that is able to detect the elliptically-polarized backscattered light from oriented particles in the atmosphere without using any moving parts. The linear polarization of the backscattered signal is detected using a linear-polarization-analyzer (a PBS) in the detection unit after

telescope A, and the circular polarization of the backscattered signal is detected using a circular-polarization-analyzer (a QWP 130 followed by a PBS) in the detection unit after telescope B. The calibration methodology is based on the solutions introduced by Freudenthaler (2016) for EARLINET lidar systems, as well as on new methodologies tailored for the detection of oriented particles, presented in Section 4.

Instead of retrieving the individual off-diagonal elements of the backscatter matrix \mathbf{F} (Eq. 1), we aim for measurements that are combinations of only the off-diagonal elements of the backscatter matrix that will be nonzero only in case of oriented 135 particles. This way we acquire direct measurements of dust orientation, in the form of flags of "yes" or "no" particle orientation. This first-level information of the oriented dust in the atmosphere is straightforward to achieve, since it does not require any inversion procedure. Moreover, it is important to have, considering that the phenomenon of dust orientation has not been extensively observed in the Earth's atmosphere, even at this elementary level. To achieve this, laser A should emit linearlypolarized light at 45° , as discussed in detail below. 140

$$\mathbf{F} = \begin{bmatrix} F_{11} & F_{12} & F_{13} & F_{14} \\ F_{12} & F_{22} & F_{23} & F_{24} \\ -F_{13} & -F_{23} & F_{33} & F_{34} \\ F_{14} & F_{24} & -F_{34} & F_{44} \end{bmatrix} = F_{11} \begin{bmatrix} 1 & f_{12} & f_{13} & f_{14} \\ f_{12} & f_{22} & f_{23} & f_{24} \\ -f_{13} & -f_{23} & f_{33} & f_{34} \\ f_{14} & f_{24} & -f_{34} & f_{44} \end{bmatrix}$$
(1)

Where, $f_{ij} = \frac{F_{ij}}{F_{11}}$.





Along with the measurements of "orientation flags", we aim for measurements that provide additional information for the particle orientation properties, as the particle orientation angle and the percentage of oriented particles in the atmosphere, as well as information on dust microphysics, i.e. an estimation of the particle size and refractive index. These are parameters that are necessary to have in order to explain the phenomenon of dust orientation in more detail. The methodology for defining the optimum measurements includes simulated atmospheric scenarios and machine learning tools, and is presented in Tsekeri et al. (2021). Briefly, the backscattered light is simulated for different orientation angles and mixtures of random and oriented particles, as well as irregular shapes and realistic sizes for dust particles. In order to derive signals that provide additional information for the dust orientation and microphysics, the light of laser B should be elliptically-polarized so as the backscattered 150 signals contain more elements of the backscatter matrix.







Figure 7. Sketch of the system design: two lasers shooting alternatively (L_A and L_B), with the backscattered signals correspondingly alternatively collected by two telescopes (T_A and T_B) and then redirected at two detectors for each telescope (D_S_j , S = T, R as of "Transmitted" and "Reflected" channels, j = A, B). The polarization of the light emitted from each laser is changed appropriately, using the HWP_{LA} for laser A and the QWP_{LB} followed by the HWP_{LB} for laser B. The laser beam of each laser is expanded with a beam expander (BEX). After the first telescope the light goes through PBS_{TA} and after the second telescope the light goes through QWP_{TB} and PBS_{TB} . The HWP_{TA} at telescope A is used to correct the rotation of the PBS_{TA} (Section 4.1). The HWP_{TB} at telescope B is used to check the position of the QWP_{TB} with respect to the PBS_{TB} (Section S4 in Supplement)). The shutter at each telescope is used for performing dark measurements. The camera at each telescope is used for the alignment of the laser beams with the field-of-view of the telescope.

This Section provides the methodology we followed to define the properties of the optical elements in the emission and the detection units of the lidar system, so as to fulfil the technical and scientific objectives of our measurement strategy. Considering the layout in Fig. 7 the only "free" parameters that we need to define are the polarization state of the light from the emission





(3)

units of laser A and B, and the position of the QWP_{TB} in the detection unit after telescope B (the HWP_{TA} and HWP_{TB} are used for calibration purposes (see Section 4 and Section S4 in the Supplement)).

The measured signal $I_{i_k_S}^*$ for laser i = LA, LB, at the detection unit after telescope k = TA, TB, at the detector S = T, R ("Transmitted" and "Reflected" channel after the PBS_k , respectively), is shown in Eq. 2-4.

$$I_{i,k,S}^* = E_{i,k}\eta_{S,k}e\mathbf{M}_k[(\mathbf{F}+\mathbf{G})\mathbf{i}_i + \mathbf{i}_g] + y_{N_{i,k,S}}$$
(2)

160 $\mathbf{M}_{TA} = \mathbf{M}_{S_TA} \mathbf{M}_{O_TA}$

$$\mathbf{M}_{TB} = \mathbf{M}_{S \ TB} \mathbf{M}_{QW \ TB} \mathbf{M}_{O \ TB} \tag{4}$$

In Eq. 2, E_{i_k} = A_kO_{i_k}T(0,r)⁻²E_{oi}, where A_k is the area of the telescope k, O_{i_k} is the overlap function of the laser beam receiver field-of-view with range 0-1 (for laser i and telescope k), T(0,r) is the transmission of the atmosphere between the lidar at range r = 0 and a specific range in the atmosphere, and E_{oi} is the pulse energy of laser i. η_{S_k} is the amplification of the signals at S = T or R detector of the detection unit after telescope k. e = [1,0,0,0]^T is used to select the first component of the Stokes vector, which corresponds to the signal intensity measured at the APDs. M_k is the Mueller matrix of the detection unit after telescope A (Eq. 3) and B (Eq. 4): M_{S_k} is the Mueller matrix of the PBS_k followed by cleaning polarizing sheet filters, M_{O_k} is the Mueller matrix of the receiver optics (i.e. telescope k, collimating lenses, bandpass filter), and M_{QW_TB} is the Mueller matrix of the QWP_{TB}. F and G are the backscatter Stokes phase matrices of the dust particles and of the gas vector of the Supplement. i_i is the Stokes vector of the Stokes vector of the Stokes vector of the light from the emission unit of laser i, and i_g is the Stokes vector of the background skylight. y_{N_{i,k,S}} is the electronic background of the S = T or R detector at the detection unit after telescope k, for detecting the backscattered signal of laser i.

After subtracting the background skylight signal offset, and considering noise-free measurements, the signals $I_{i_TA_S}$ and 175 $I_{i_TB_S}$, are provided in Eq. 5 and 6, respectively.

$$I_{i_TA_S} = E_{i_TA}\eta_{S_TA}e\mathbf{M}_{S_TA}\mathbf{M}_{O_TA}(\mathbf{F} + \mathbf{G})i_i$$
(5)

$$I_{i,TB} = E_{i,TB} \eta_{S} = E_{i,TB} \eta_{S} = M_{S} M_{QW} = M_{QW} M_{QW} = M_{QW} M_{QW}$$





Performing the Mueller matrix calculations, $I_{i_TA_S}$ and $I_{i_TB_S}$ can be written as a function of the Stokes parameters of the light from the emission units of the lasers $i_i = [I_i, Q_i, U_i, V_i]^T$, the fast-axis-angle ϕ_{TB} of the QWP_{TB} with the reference plane, and the backscatter Stokes phase matrix elements (all highlighted in Eq. 7 and 8). In Eq. 7 and 8 we consider ideal receiver optics (i.e. telescope, collimating lenses, bandpass filter), with no diattenuation, retardation and misalignment effects.

$$\frac{I_{i_TA_S}}{E_{i_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}} = I_i + (D_{S_TA}I_i + Q_i)f_{12} + U_if_{13} + V_if_{14} + D_{S_TA}Q_if_{22} + D_{S_TA}U_if_{23} + D_{S_TA}V_if_{24} + I_ig_{11} + D_{S_TA}Q_ig_{22}$$
(7)

$$\frac{I_{i_TB_S}}{E_{i_TB}\eta_{S_TB}T_{S_TB}T_{O_TB}F_{11}} = I_i + (D_{S_TB}c_{2\phi_{TB}}^2I_i + Q_i)f_{12} + (-D_{S_TB}c_{2\phi_{TB}}s_{2\phi_{TB}}I_i + U_i)f_{13} + \\ + (-D_{S_TB}s_{2\phi_{TB}}I_i + V_i)f_{14} + D_{S_TB}c_{2\phi_{TB}}^2Q_if_{22} + \\ + D_{S_TB}(-c_{2\phi_{TB}}s_{2\phi_{TB}}Q_i + c_{2\phi_{TB}}^2U_i)f_{23} + D_{S_TB}(-s_{2\phi_{TB}}Q_i + c_{2\phi_{TB}}^2V_i)f_{24} + \\ + D_{S_TB}c_{2\phi_{TB}}s_{2\phi_{TB}}U_if_{33} + D_{S_TB}(s_{2\phi_{TB}}U_i + c_{2\phi_{TB}}s_{2\phi_{TB}}V_i)f_{34} - D_{S_TB}s_{2\phi_{TB}}V_if_{44} - \\ + I_{ig_{11}} + D_{S_TB}c_{2\phi_{TB}}^2Q_{ig_{22}} + D_{S_TB}c_{2\phi_{TB}}s_{2\phi_{TB}}U_ig_{33} - D_{S_TB}s_{2\phi_{TB}}V_ig_{44}$$
(8)

Where, $g_{ij} = \frac{G_{ij}}{F_{11}}$, $c_{2\phi_{TB}} = cos(2\phi_{TB})$, $s_{2\phi_{TB}} = sin(2\phi_{TB})$, T_{S_k} is the unpolarized transmittance (S = T) or reflectance 185 (S = R) of the PBS_k , and D_{S_k} is the diattenuation parameter of the transmitted or reflected channels of the PBS_k followed by the cleaning polarizing sheet filters $(D_{T_k} = 1 \text{ and } D_{R_k} = -1$, respectively, see Section S1 in Supplement).

As can be deduced by Eq. 7 and 8, in order to use laser A to achieve measurements that contain only the off-diagonal elements of the backscatter matrix, the following conditions must be met: $Q_{LA} = 0$ for $I_{LA_TA_S}$, $V_{LA} = 0$ for $I_{LA_TB_S}$, and $\phi_{TB} = 45^{\circ}$. Thus, the Stokes vector of the light from the emission unit of laser A should be 45° -linearly polarized, **190** $i_{LA} = [1,0,1,0]^T$. This is achieved using the HWP_{LA} in front of laser A (Fig. 7), as discussed in Section 4.2. Moreover, the fast-axis-angle of the QWP_{TB} should be at $\phi_{TB} = 45^{\circ}$.

For calibration reasons, we use the ratios of the measurements of the reflected and transmitted channels after the PBS_k (Eq. 9 and 10). The calibrated backscatter signal ratios of laser A provide a direct flag of particle orientation (F_{LA_TA} and F_{LA_TB} in Eq. 12 and 13, respectively), when their values are $\neq 1$.

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$$\frac{I_{LA_TA_R}}{I_{LA_TA_T}} = \eta_{TA} \frac{1 - f_{12} + f_{13} - f_{23} + g_{11}}{1 + f_{12} + f_{13} + f_{23} + g_{11}}$$
(9)

$$\frac{I_{LA_TB_R}}{I_{LA_TB_T}} = \eta_{TB} \frac{1 + f_{13} + f_{14} - f_{34} + g_{11}}{1 + f_{13} - f_{14} + f_{34} + g_{11}}$$
(10)





The calibration factors $\eta_{TA} = \frac{\eta_{R,TA}T_{R,TA}}{\eta_{T,TA}T_{T,TA}}$ and $\eta_{TB} = \frac{\eta_{R,TB}T_{R,TB}}{\eta_{T,TB}T_{T,TB}}$ are derived as shown in Section 5. Due to the HWP_{TB} in the detection unit after telescope B (used for checking for systematic errors in measurements, as discussed in Section S4 in the Supplement)), Eq. 10 changes to Eq. 11.

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$$\frac{I_{LA_TB_R}}{I_{LA_TB_T}} = \eta_{TB} \frac{1 + f_{13} - f_{14} + f_{34} + g_{11}}{1 + f_{13} + f_{14} - f_{34} + g_{11}}$$
(11)

The orientation flags F_{LA_TA} and F_{LA_TB} are provided in Eq. 12 and 13.

$$F_{LA_TA} = \frac{1}{\eta_{TA}} \frac{I_{LA_TA_R}}{I_{LA_TA_T}}$$
(12)

$$F_{LA_TB} = \frac{1}{\eta_{TB}} \frac{I_{LA_TB_R}}{I_{LA_TB_T}}$$
(13)

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Having achieved the orientation flags with laser A, we use laser B to increase the information content of the measurements in terms of dust orientation properties (e.g. angle and percentage of oriented particles in the atmosphere) and of dust microphysical properties (e.g. size and refractive index). For this reason, the light from the emission unit of laser B is elliptically-polarized with the angle of the polarization ellipse at 5.6° and Stokes vector $i_{LB} = [1, 0.85, 0.17, 0.5]^T$. The derivation of the optimum polarization state of i_{LB} is provided in detail in Tsekeri et al. (2021), using an extended simulated dataset for various atmospheric scenes with oriented dust particles. In their work, the backscattered light is simulated for different orientation angles and mixtures of random and oriented particles, as well as irregular shapes and realistic sizes for dust particles. The elliptical 210 polarization of i_{LB} is set with the QWP_{LB} and the HWP_{LB} in front of laser B (Fig. 7), as discussed in Section 4.3. The corresponding signal ratios are shown in Eq. 14 and 15.

$$\frac{I_{LB_TA_R}}{I_{LB_TA_T}} = \eta_{TA} \frac{1 + 0.15f_{12} + 0.17f_{13} + 0.5f_{14} - 0.85f_{22} - 0.17f_{23} - 0.5f_{24} + g_{11} - 0.85g_{22}}{1 + 1.85f_{12} + 0.17f_{13} + 0.5f_{14} + 0.85f_{22} + 0.17f_{23} + 0.5f_{24} + g_{11} + 0.85g_{22}}$$
(14)

$$\frac{I_{LB_TB_R}}{I_{LB_TB_T}} = \eta_{TB} \frac{1 + 0.85 f_{12} + 0.17 f_{13} + 1.5 f_{14} + 0.85 f_{24} - 0.17 f_{34} + 0.5 f_{44} + g_{11} + 0.5 g_{44}}{1 + 0.85 f_{12} + 0.17 f_{13} - 0.5 f_{14} - 0.85 f_{24} + 0.17 f_{34} - 0.5 f_{44} + g_{11} - 0.5 g_{44}}$$
(15)

Due to the use of the HWP_{TB} in the detection unit after telescope B (Section S4 in the Supplement), Eq. 15 changes to Eq. 215 16.





$$\frac{I_{LB_TB_R}}{I_{LB_TB_T}} = \eta_{TB} \frac{1 + 0.85f_{12} + 0.17f_{13} - 0.5f_{14} - 0.85f_{24} + 0.17f_{34} - 0.5f_{44} + g_{11} - 0.5g_{44}}{1 + 0.85f_{12} + 0.17f_{13} + 1.5f_{14} + 0.85f_{24} - 0.17f_{34} + 0.5f_{44} + g_{11} + 0.5g_{44}}$$
(16)

4 Definition of the polarization of the emitted and detected light with respect to the horizon

The polarization of the light emitted and detected by the system should be defined with respect to the horizon, so as the retrieved properties of the oriented particles are defined with respect to the horizon. This is done by first leveling the head of the lidar along the horizon using a spirit level, which then enables us to use the frame of the lidar head as the reference coordinate system. The "frame coordinate system" (Fig. 8a) is a right-handed coordinate system, with x_F -axis parallel to the horizon and the z_F -axis pointing in propagation direction of the emitted light from lasers A and B, considering that both lasers are parallel.

The optical elements are considered to be perfectly aligned with eachother in the detection units after telescopes A and B (because their holders are manufactured and assembled in a mechanical workshop with high accuracy), but the detection units are possibly rotated around the optical axis with respect to the frame coordinate system by angles ω_{TA} and ω_{TB} , respectively (Fig. 8b and c). The Stokes vectors of the light collected at telescope A and B are consequently described including a multiplication with the rotation matrices $\mathbf{R_{TA}}(-\omega_{TA})$ and $\mathbf{R_{TB}}(-\omega_{TB})$, respectively (see Eq. S.5.1.7 in Freudenthaler (2016)), which affects the measurements of the polarized components after PBS_{TA} , but not after PBS_{TB} , as shown in Appendix A. 230 The rotation of the detection unit after telescope A is corrected using the HWP_{TA} , as shown in Section 4.1.

The " DU_{TA} coordinate system" and the " DU_{TB} coordinate system" in Fig. 8b and c are the right-handed coordinate systems of the detection units after telescopes A and B, respectively. The $x_{DU_{TA}}$ and $y_{DU_{TA}}$ axis coincide with the incidence plane of PBS_{TA} , and the $x_{DU_{TB}}$ and $y_{DU_{TB}}$ axis coincide with the incidence plane of PBS_{TB} .

Equations A1-A6 in Appendix A show the formulation of Eq. 7 and 8 for *I_{i_k_S}* with respect to the frame coordinate system,
taking into account all the optical elements of the system, along with the rotation of the detection units after telescopes A and
B. The analytic derivations of Eq. A1-A6 are provided in Section S2 of the Supplement.







Figure 8. a) The "frame coordinate system" (black) is the reference coordinate system with x_F -axis parallel to the horizon. b) The " DU_{TA} coordinate system" (light blue) is the coordinate system of the detection unit after telescope A, which is rotated with respect to the frame coordinate system by an angle ω_{TA} . The effect of this rotation on the signals is corrected using HWP_{TA} , placed at $\theta_{TA} = -\frac{\omega_{TA}}{2}$ (red) with respect to the x_F -axis. c) The " DU_{TB} coordinate system" (orange) is the coordinate system of the detection unit after telescope B, which is rotated with respect to the frame coordinate system" (orange) is the coordinate system of the detection unit after telescope B, which is rotated with respect to the frame coordinate system by an angle ω_{TB} . The rotation does not affect the measured signals. The QWP_{TB} before PBS_{TB} , is placed at $\phi_{TB} = 45^{\circ}$ with respect to the $x_{DU_{TB}}$ -axis. d) The light emitted directly from laser A is linearly-polarized with unknown angle of polarization α_{LA} . As shown in Eq. 17, using the HWP_{LA} with fast-axis-angle $\theta_{LA} = 22.5^{\circ} + \frac{\alpha_{LA}}{2}$, we produce the light emitted from the emission unit of laser A with angle of polarization $2\vartheta_{LA} = 45^{\circ}$. e) The light emitted directly from laser B is linearly-polarized with unknown angle of polarization α_{LB} . As shown in Eq. 18, using the QWP_{LB} with fast-axis-angle $\phi_{LB} = \alpha_{LB} - 30^{\circ}$, and the HWP_{LB} with fast-axis-angle $\theta_{LB} = \frac{\alpha_{LB}}{2} - 12.2^{\circ}$, we produce the elliptically-polarized light emitted from the emission unit of laser A is shown in Eq. 18, using the QWP_{LB} with with fast-axis-angle $\phi_{LB} = \alpha_{LB} - 30^{\circ}$, and the HWP_{LB} with fast-axis-angle $\theta_{LB} = \frac{\alpha_{LB}}{2} - 12.2^{\circ}$, we produce the elliptically-polarized light emitted from the emission unit of laser B with angle of polarization 5.6^{\circ} and degree of linear polarization 0.866.

The Stokes vector of the light from the emission unit of laser A and B is provided by i_{LA} (Eq. 17) and i_{LB} (Eq. 18), respectively. The light emitted directly from laser A (i_{lsr_LA}) and laser B (i_{lsr_LB}) is considered to be 100% linearly-polarized, with angle of polarization ellipse with respect to the frame coordinate system α_{LA} and α_{LB} , respectively, i.e. $i_{lsr_LA}(\alpha_{LA}) = \begin{bmatrix} 1 & c_{2\alpha_{LA}} & s_{2\alpha_{LA}} & 0 \end{bmatrix}^T$ in Eq. 17, and $i_{lsr_LB}(\alpha_{LB}) = \begin{bmatrix} 1 & c_{2\alpha_{LB}} & s_{2\alpha_{LB}} & 0 \end{bmatrix}^T$ in Eq. 18. The angles α_{LA} and α_{LB} are





unknown a-priori. The polarization of the light from the whole emission unit is defined according to the position of the optical elements in front of the lasers with respect to the frame coordinate system, i.e. the fast-axis-angle θ_{LA} of the HWP_{LA} in front of laser A, and the fast-axis-angle ϕ_{LB} of QWP_{LB} followed by the HWP_{LB} with fast-axis-angle θ_{LB} in front of laser B (Fig. 8d and e; Eq. 17 and 18).

In order to simplify Eq. 17 and 18 we use the angles $\vartheta_{LA} = \theta_{LA} - \frac{\alpha_{LA}}{2}$ and $\varphi_{LB} = \phi_{LB} - \alpha_{LB}$. From Eq. 17 and 18 we deduce: $\vartheta_{LA} = 22.5^{\circ}$ and $\theta_{LA} = 22.5^{\circ} - \frac{\alpha_{LA}}{2}$ (Fig. 8d), $\varphi_{LB} = -30^{\circ}$, $\phi_{LB} = \alpha_{LB} - 30^{\circ}$ and $\theta_{LB} = \frac{\alpha_{LB}}{2} - 12.2^{\circ}$ (Fig. 8e).

$$\boldsymbol{i}_{LA} = \mathbf{M}_{HW_LA}(\theta_{LA}) \boldsymbol{i}_{lsr_LA}(\alpha_{LA}) = \begin{bmatrix} 1\\ c_{(4\theta_{LA}-2\alpha_{LA})}\\ s_{(4\theta_{LA}-2\alpha_{LA})}\\ 0 \end{bmatrix} = \begin{bmatrix} 1\\ c_{4\vartheta_{LA}}\\ s_{4\vartheta_{LA}}\\ 0 \end{bmatrix} = \begin{bmatrix} 1\\ 0\\ 1\\ 0 \end{bmatrix}$$
(17)

$$\boldsymbol{i}_{LB} = \mathbf{M}_{HW_LB}(\theta_{LB})\mathbf{M}_{QW_LB}(\phi_{LB})\boldsymbol{i}_{lsr_LB}(\alpha_{LB}) = \begin{bmatrix} 1\\ c_{2(\phi_{LB}-\alpha_{LB})}c_{(4\theta_{LB}-2\phi_{LB})}\\ c_{2(\phi_{LB}-\alpha_{LB})}s_{(4\theta_{LB}-2\phi_{LB})}\\ -s_{2(\phi_{LB}-\alpha_{LB})} \end{bmatrix} = \begin{bmatrix} 1\\ c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}\\ c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})}\\ -s_{2\varphi_{LB}} \end{bmatrix} = \begin{bmatrix} 1\\ 0.85\\ 0.17\\ 0.5 \end{bmatrix}$$
(18)

4.1 Correction of the signal $I_{i_TA_S}$, due to the rotation of the detection unit after telescope A

Equations A1 and A3 in Appendix A show that the rotation of the detection unit after telescope A changes the signals I_{LA_TA_S} (Eq. 9) and I_{LB_TA_S} (Eq. 14), respectively. In order to correct for this effect, we have to set the fast-axis-angle of the HWP_{TA} at θ_{TA} = -^{ω_{TA}/2} with respect to the x_{DU_{TA}}-axis (Fig. 8b), so that c_(4θ_{TA}+2ω_{TA}) = 1 and s_(4θ_{TA}+2ω_{TA}) = 0 in Eq. A1 and A3. Since the value of θ_{TA} with respect to the x_{DU_{TA}}-axis is unknown a priori, we assume that it deviates from the desired value by an unknown angle ε_{TA}, thus θ_{TA} = -^{ω_{TA}/2} + ε_{TA}. We derive ε_{TA} by using the measurements from laser A, after placing a linear polarizer in front of the window of laser A at 45° from x_F-axis (Fig. 9), and rotating the HWP_{TA} in order to perform a methodology similar to the "Δ90° calibration" of Freudenthaler (2016), as in Fig. 10 and described in detail in Appendix B. The methodology is applicable only when there are only randomly-oriented particles in the atmosphere.







Figure 9. Linear polarizer in front of the window of laser A, placed at 45° from x_F -axis.







Use HWP_{TA} to correct the rotation of the detection unit after telescope A, with respect to the horizon

Figure 10. Methodology for correcting the measurements $I_{Li_TA_S}$ due to the rotation of the detection unit after telescope A.

4.2 Definition of the polarization of the light from the emission unit of laser A with respect to the horizon

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In order to set the linear polarization of the light from the emission unit of laser A at 45° -degrees with respect to the horizon, as discussed in Section 3, we have to set $\vartheta_{LA} = 22.5^{\circ}$ with respect to x_F -axis (Eq. 17; Fig. 8d). Since the value of ϑ_{LA} is unknown a priori, we assume that it is equal to an unknown angle ε_{LA} . We derive ε_{LA} by performing the " $\Delta 90^{\circ}$ calibration" of Freudenthaler (2016) by rotating the HWP_{LA} in front of laser A, as shown in Fig. 11 and discussed in detail in Appendix C. Then, we rotate the HWP_{LA} by $22.5^{\circ} - \varepsilon_{LA}$ and set $\vartheta_{LA} = 22.5^{\circ}$. ($\vartheta_{LA} = \theta_{LA} - \frac{\alpha_{LA}}{2}$ (Eq. 17), thus in order to change ϑ_{LA} by angle x, it is sufficient to rotate the HWP_{LA} and change its fast-axis angle θ_{LA} by angle x.)

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Our methodology considers that the atmosphere consists of only randomly-oriented particles and that we have corrected the effect of the rotation of the detection unit after telescope A on signals $I_{Li_TA_S}$ (Section 4.1).







Use HWP_{LA} to define the polarization of laser A with respect to the horizon

Figure 11. Methodology for defining the polarization of the light from the emission unit of laser A, with respect to the horizon.

4.3 Definition of the polarization of the light from the emission unit of laser B with respect to the horizon

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The desired elliptical polarization of the light from the emission unit of laser B with respect to the horizon is shown in Eq. 19, with angle of the polarization ellipse $\alpha_{em} = 5.6^{\circ}$ and degree of linear polarization $b_{em} = 0.866$. $b_{em} = c_{2\varphi_{LB}}$, thus in order to set it to the desired value, we rotate the QWP_{LB} and change φ_{LB} appropriately ($\varphi_{LB} = \phi_{LB} - \alpha_{LB}$ (Eq. 18), thus for changing φ_{LB} by angle x, it is sufficient to rotate the QWP_{LB} and change its fast-axis angle ϕ_{LB} by angle x.). After we have set b_{em} , we set $\alpha_{em} = 2\theta_{LB} - \phi_{LB}$ to the desired value, by rotating the HWP_{LB} in front of laser B. The angles α_{LB} , φ_{LB} , ϕ_{LB} and θ_{LB} are shown in Fig. 8e.

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Our methodology is described in Fig. 12. We consider randomly-oriented particles in the atmosphere and we use the measurements of laser B at the detection unit after telescope A. The detection unit is aligned with the frame coordinate system (Section 4.1). First, we consider that the polarization of the light from the emission unit of laser B with respect to the frame coordinate system is unknown, with unknown angle of the polarization ellipse α and degree of linear polarization b. In order to set them to α_{em} , b_{em} , we perform the following steps:



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- 1. We derive α and b by turning the HWP_{LB} by appropriate angles, using a similar methodology to the " $\Delta 90^{\circ}$ calibration" of Freudenthaler (2016), as shown in Fig. 12, and in detail in Appendix D.
- 2. We change $\varphi_{LB} = \frac{acos(b)}{2}$ by turning the QWP_{LB} by $\frac{acos(b_{em}) acos(b)}{2}$. Then, $\varphi_{LB_new} = \frac{acos(b_{em})}{2}$ and the degree of linear polarization is set to the desired value b_{em} .
- 3. The turning of QWP_{LB} in (2), changes the angle of the polarization ellipse to an unknown value of α_{new} . We calculate α_{new} using the methodology in (1).
- 285 4. After deriving α_{new} , we set the angle of the polarization ellipse to the desired value α_{em} , by turning HWP_{LB} by $\frac{\alpha_{em}-\alpha_{new}}{2}$.

$$\boldsymbol{i}_{LB} = \begin{bmatrix} 1 \\ c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})} \\ c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})} \\ -s_{2\varphi_{LB}} \end{bmatrix} = \begin{bmatrix} 1 \\ b_{em}c_{2\alpha_{em}} \\ b_{em}s_{2\alpha_{em}} \\ \sqrt{1-b_{em}^{-2}} \end{bmatrix} = \begin{bmatrix} 1 \\ 0.85 \\ 0.17 \\ 0.5 \end{bmatrix}$$

(19)









Figure 12. Methodology for defining the polarization of the emitted light from laser B with respect to the horizon.



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5 The derivation of the calibration factors η_{TA} and η_{TB}

The calibration factors η_{TA} and η_{TB} are derived considering randomly-oriented particles in the atmosphere. We calculate η_{TA} using the ratio of the signals from laser A at the detection unit after telescope A, considering that the effect of its rotation on the signals is corrected (Section 4.1). The η_{TA} is calculated as shown in Eq. 20 (using Eq. A2 in Appendix A).

$$\frac{I_{LA_TA_R(\theta_{TA}=-\frac{\omega_{TA}}{2})}}{I_{LA_TA_T(\theta_{TA}=-\frac{\omega_{TA}}{2})}} = \frac{\eta_{R_TA}T_{R_TA}[f_{11}+g_{11}]}{\eta_{T_TA}T_{T_TA}[f_{11}+g_{11}]} \Rightarrow \eta_{TA} = \frac{I_{LA_TA_R(\theta_{TA}=-\frac{\omega_{TA}}{2})}}{I_{LA_TA_T(\theta_{TA}=-\frac{\omega_{TA}}{2})}}$$
(20)

We derive the calibration factor η_{TB} using the ratio of the signals from laser A at the detection unit after telescope B, as shown in Eq. 21 (using Eq. A5 in Appendix A).

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$$\frac{I_{LA_TB_R}}{I_{LA_TB_T}} = \frac{\eta_{R_TB}T_{R_TB}[f_{11}+g_{11}]}{\eta_{T_TB}T_{T_TB}[f_{11}+g_{11}]} \Rightarrow \eta_{TB} = \frac{I_{LA_TB_R}}{I_{LA_TB_T}}$$
(21)

6 The derivation of the volume linear depolarization ratio

The volume linear depolarization ratio (VLDR) is a useful optical parameter for comparing the measurements of the new polarization lidar with measurements from the commonly-used polarization lidars, which emit linearly-polarized light and measure the corresponding cross- and parallel-polarized components of the backscattered light. The VLDR is calculated using the atmospheric polarization parameter *a* as shown in Eq. 22.

$$\delta = \frac{1-a}{1+a} \tag{22}$$

We derive VLDR considering an atmosphere containing only randomly-oriented particles. Moreover, we consider that the effect of the rotation of the detection unit after telescope A with respect to the frame coordinate system is corrected (Section 4.1) and that the calibration factor η_{TA} is calculated using measurements of laser A, as shown in Section 5.

We turn HWP_{TA} by 22.5°, so as $\theta_{TA} = -\frac{\omega_{TA}}{2} + 22.5°$. Then, the measurements from laser A at the detection unit after telescope A are provided from Eq. 23, and the VLDR is calculated as shown in Eq. 24, using Eq. 22.

$$\frac{I_{LA_TA_S_(\theta_{TA}=-\frac{\omega_{TA}}{2}+22.5)}}{E_{LA_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}(f_{11}+g_{11})} = \frac{1}{2} \Big[1 - aD_{S_TA} \Big]$$
(23)





$$\frac{I_{LA_TA_R_(\theta_{TA}=-\frac{\omega_{TA}}{2}+22.5)}}{I_{LA_TA_T_(\theta_{TA}=-\frac{\omega_{TA}}{2}+22.5)}} = \eta_{TA}\frac{1+a}{1-a} = \frac{\eta_{TA}}{\delta} \Rightarrow \delta = \frac{1}{\eta_{TA}}\frac{I_{LA_TA_T_(\theta_{TA}=-\frac{\omega_{TA}}{2}+22.5)}}{I_{LA_TA_T_(\theta_{TA}=-\frac{\omega_{TA}}{2}+22.5)}}$$
(24)

Due to the rotation of HWP_{TA} , the VLDR measurements cannot be acquired simultaneously with F_{LA_TA} (Eq. 12) and 310 $\frac{I_{LB_TA_R}}{I_{LB_TA_T}}$ (Eq. 14), but they are acquired simultaneously with F_{LA_TB} (Eq. 13) and $\frac{I_{LB_TB_R}}{I_{LB_TB_T}}$ (Eq. 16).

7 First measurements

We present measurements from Athens, Greece, on November 16, 2020, showing a dust layer. Figure 13 shows the 15-min averaged signals I_{LA_TA_T} at 16:22-18:19 UTC (Fig. 13a), with the corresponding orientation flags F_{LA_TA} (Eq. 12) shown in Fig. 13c. The orientation flag F_{LA_TB} (Eq. 13) was not measured, due to the removal of one of the APDs of the detection unit after telescope B on that day. The 15-min averaged signals I_{LB_TA_T} at 16:22-18:19 UTC are shown in Fig. 13b. The instrument points vertically. The minimum height of 600 m of the signals in the plots is the full-overlap height, derived by the telecover test (Freudenthaler et al., 2018).

The dust layer is at 1-2.5 km, as shown in the VLDR profile in Fig. 13d. The orientation flag shows no indication of particle orientation for this case. The VLDR values are 0.1 for the dust layer and 0.003 at aerosol-free heights of 5-6 km
(not shown here), in accordance to the value of 0.0035 provided in literature for molecular atmospheres (Freudenthaler et al., 2018).



Figure 13. Lidar measurements at 1064 nm during a dust case at Athens, Greece, on 16 November 2020. The legend shows the time range in UTC for the 15-min averaged signals: a) $I_{LA_TA_T}$, b) $I_{LB_TA_T}$ and c) F_{LA_TA} . d) The VLDR measurements at 18: 27 - 18: 41 UTC. The heights are above the surface level.





8 Overview and future perspectives

The new polarization lidar nicknamed WALL-E is designed to monitor possible dust particle orientation in the Earth's atmosphere. This work describes in detail the conceptual design, its mechanical and optical parts, the calibration procedures, and finally the first measurements of the system.

The design extends the boundaries of lidar polarimetry, considering the various states of polarization emitted and detected by the system, their interleaved emission and acquisition, which enables the detection of eight signals with two lasers/two telescopes/four detectors. Moreover, an important part of the design is the development of new methodologies for the calibration of the measurements and their alignment with the horizon, so as to define a reference system for the particle orientation.

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The mechanical developments include the compact design of the system, its mobility, its ability to perform measurements at the field under a wide range of ambient conditions, and its ability to perform measurements at various zenith and azimuth viewing angles.

Finally, the first measurements are shown for a dust plume on November 16 2020 in Athens, Greece. The overlap height is at 600 m and the VLDR measurements reproduce the molecular VLDR in aerosol-free heights, as this is provided in the 335 literature.

The future perspectives of this work, on the technical level, include the modification of the design to provide measurements at further ranges, so as to study particle orientation for desert dust at higher altitudes as well as ice crystals in cirrus clouds. With respect to the analysis of the measurements, we plan to develop a retrieval algorithm that will utilize the measurements from the linearly-polarized and elliptically-polarized emitted light and it will provide not only flags of particle orientation, but

340 also the particle orientation angle, the percentage of oriented particles in the atmosphere and estimations of particle size and refractive index.

The novel two-laser/two-telescope configuration utilized here, has been also implemented on the recently developed EVE lidar system (Paschou et al., 2021). The system was developed to provide ground reference measurements for the validation, assessment and improvement of the L2A products of the Aeolus mission of the European Space Agency (ESA), by deploying

the traditional polarization lidar system with linearly polarized emission at 355 nm on the one hand, and the operation of

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Aeolus that relies on the circularly polarized emission at 355 nm on the other.

The detection and monitoring of dust particle orientation in the Earth's atmosphere will unlock our ability for realistic simulations of the desert dust radiative impact, and optimize parameterizations for the natural aerosol component in Earth System Models, in front of today's challenges posed by climate change. The applications of the new polarization lidar do not limit on this work but are anticipated to open new horizons for atmospheric remote sensing.

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Appendix A: Formulas of lidar signals $I_{i_k_S}$

Equations A1-A6 show the formulation of Eq. 7 for the signals $I_{i_k_S}$, taking into account all the optical elements of the system, along with the rotation of the detection units after telescopes A and B, with respect to the frame coordinate system (Fig. 8). The analytic derivations of Eq. A1-A6 are provided in Section S2 of the Supplement.

355 Al $I_{LA_TA_S}$

$$I_{LA_TA_S} = E_{LA_TA}\eta_{S_TA}\boldsymbol{e}\mathbf{M}_{S_TA}\mathbf{M}_{HW_TA}\mathbf{M}_{O_TA}\mathbf{R}_{TA}[\mathbf{F}(\boldsymbol{x}) + \mathbf{G}]\boldsymbol{i}_{LA} \Rightarrow$$

$$\Rightarrow \frac{I_{LA_TA_S}}{E_{LA_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}} = f_{11} + g_{11} + c_{4\vartheta_{LA}}f_{12} + s_{4\vartheta_{LA}}f_{13} + D_{S_TA}c_{(4\vartheta_{TA}+2\omega_{TA})} [f_{12} + c_{4\vartheta_{LA}}(f_{22}+g_{22}) + s_{4\vartheta_{LA}}f_{23}] + D_{S_TA}s_{(4\vartheta_{TA}+2\omega_{TA})} [-f_{13} - c_{4\vartheta_{LA}}f_{23} + s_{4\vartheta_{LA}}(f_{33}+g_{33})]$$
(A1)

After correcting $I_{LA_TA_S}$ for the rotation of the detection unit after telescope A, by setting the fast-axis-angle of HWP_{TA} at $\theta_{TA} = -\frac{\omega_{TA}}{2}$ (Section 4.1), Eq. A1 is written as Eq. A2.

$$\frac{I_{LA_TA_S_(\theta_{TA}=-\frac{\omega_{TA}}{2})}}{E_{LA_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}} = f_{11} + g_{11} + c_{4\vartheta_{LA}}f_{12} + s_{4\vartheta_{LA}}f_{13} + D_{S_TA}\left[f_{12} + c_{4\vartheta_{LA}}(f_{22} + g_{22}) + s_{4\vartheta_{LA}}f_{23}\right]$$
(A2)

A2 $I_{LB_TA_S}$

360

 $I_{LB_TA_S} = E_{LB_TA}\eta_{S_TA}\boldsymbol{e}\mathbf{M}_{S_TA}\mathbf{M}_{HW_TA}\mathbf{M}_{O_TA}\mathbf{R}_{TA}[\mathbf{F}(\boldsymbol{x}) + \mathbf{G}]\boldsymbol{i}_{LB} \Rightarrow$

$$\Rightarrow \frac{I_{LB_TA_S}}{E_{LB_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}} = f_{11} + \left[D_{S_TA}c_{(4\theta_{TA}+2\omega_{TA})} + c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}\right]f_{12} + \\ + \left[c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})} - D_{S_TA}s_{(4\theta_{TA}+2\omega_{TA})}\right]f_{13} \\ - s_{2\varphi_{LB}}f_{14} + D_{S_TA}c_{(4\theta_{TA}+2\omega_{TA})}c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}f_{22} + \\ + D_{S_TA}c_{2\varphi_{LB}}\left[c_{(4\theta_{TA}+2\omega_{TA})}s_{(4\theta_{LB}-2\phi_{LB})} - s_{(4\theta_{TA}+2\omega_{TA})}c_{(4\theta_{LB}-2\phi_{LB})}\right]f_{23} + \\ - D_{S_TA}c_{(4\theta_{TA}+2\omega_{TA})}s_{2\varphi_{LB}}f_{24} + D_{S_TA}s_{(4\theta_{TA}+2\omega_{TA})}c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})}f_{33} + \\ - D_{S_TA}s_{(4\theta_{TA}+2\omega_{TA})}s_{2\varphi_{LB}}f_{34} + \\ + g_{11} + D_{S_TA}c_{(4\theta_{TA}+2\omega_{TA})}c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}g_{22} + \\ + D_{S_TA}s_{(4\theta_{TA}+2\omega_{TA})}c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})}g_{33}$$





(A3)

After correcting $I_{LB_TA_S}$ for the rotation of the detection unit after telescope A, by setting the fast-axis-angle of HWP_{TA} 365 at $\theta_{TA} = -\frac{\omega_{TA}}{2}$ (Section 4.1), Eq. A3 is written as Eq. A4.

$$\frac{I_{LB_TA_S_(\theta_{TA}=-\frac{\omega_{TA}}{2})}}{E_{LB_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}} = f_{11} + \left[D_{S_TA} + c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}\right]f_{12} + c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})}f_{13} - s_{2\varphi_{LB}}f_{14} + D_{S_TA}c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}f_{22} + D_{S_TA}c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})}f_{23} - D_{S_TA}s_{2\varphi_{LB}}f_{24} + g_{11} + D_{S_TA}c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}g_{22}$$
(A4)

A3 $I_{LA_TB_S}$

 $I_{LA_TB_S} = E_{LA_TB}\eta_{S_TB}e\mathbf{M}_{S_TB}\mathbf{M}_{QW_TB}\mathbf{M}_{HW_TB}\mathbf{M}_{O_TB}\mathbf{R}_{TB}[\mathbf{F}(\boldsymbol{x}) + \mathbf{G}]\boldsymbol{i}_{LA} \Rightarrow$

 $\Rightarrow \frac{I_{LA_TB_S}}{E_{LA_TB}\eta_{S_TB}T_{S_TB}T_{O_TB}F_{11}} = f_{11} + c_{4\vartheta_{LA}}f_{12} + s_{4\vartheta_{LA}}f_{13} + D_{S_TB}f_{14} + D_{S_TB}c_{4\vartheta_{LA}}f_{24} - D_{S_TB}s_{4\vartheta_{LA}}f_{34} + g_{11}$ (A5)

A4 $I_{LB_TB_S}$

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 $I_{LB_TB_S} = E_{LB_TB}\eta_{S_TB}e\mathbf{M}_{S_TB}\mathbf{M}_{QW_TB}\mathbf{M}_{HW_TB}\mathbf{M}_{O_TB}\mathbf{R}_{TB}[\mathbf{F}(\boldsymbol{x}) + \mathbf{G}]\boldsymbol{i}_{LB} \Rightarrow$

$$\Rightarrow \frac{I_{LB_TB_S}}{E_{LB_TB}\eta_{S_TB}T_{S_TB}T_{O_TB}F_{11}} = f_{11} + c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}f_{12} + c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})}f_{13} + \left[D_{S_TB} - s_{2\varphi_{LB}}\right]f_{14} + D_{S_TB}c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}f_{24} - D_{S_TB}c_{2\varphi_{LB}}s_{(4\theta_{LB}-2\phi_{LB})}f_{34} - D_{S_TB}s_{2\varphi_{LB}}f_{44} + g_{11} - D_{S_TB}s_{2\varphi_{LB}}g_{44}$$
(A6)





375 Appendix B: Derivation of ε_{TA} for correcting $I_{LA_TA_S}$ and $I_{LB_TA_S}$, for the rotation of the detection unit after telescope A.

After placing a linear polarizer in front of the window of laser A at 45° from x_F -axis (Fig. 9), we acquire the measurements $I_{LA_TA_S_45^{\circ}}$ (Eq. S28 in the Supplement). Considering $\theta_{TA} = \frac{-\omega_{TA}}{2} + \varepsilon_{TA}$ we derive $I_{LA_TA_S_45^{\circ}_(\theta_{TA}=-\frac{\omega_{TA}}{2}+\varepsilon_{TA})}$ as shown in Eq. B1.

$$\begin{aligned} \frac{I_{LA_TA_S_45^{\circ}_(\theta_{TA}=-\frac{\omega_{TA}}{2}+\varepsilon_{TA})}{E_{LA_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}} &= \frac{1}{2} \Big[f_{11} + g_{11} + D_{S_TA}s_{(4\theta_{TA}+2\omega_{TA})}(f_{33} + g_{33}) \Big] (1 + s_{4\vartheta_{LA}}) = \\ &= \frac{1}{2} \Big[f_{11} + g_{11} + D_{S_TA}s_{(4(-\frac{\omega_{TA}}{2}+\varepsilon_{TA})+2\omega_{TA})}(f_{33} + g_{33}) \Big] (1 + s_{4\vartheta_{LA}}) = \\ &= \frac{1}{2} \Big[f_{11} + g_{11} + D_{S_TA}s_{4\varepsilon_{TA}}(f_{33} + g_{33}) \Big] (1 + s_{4\vartheta_{LA}}) = \\ &= \frac{1}{2} \Big[f_{11} + g_{11} + D_{S_TA}s_{4\varepsilon_{TA}}(f_{33} + g_{33}) \Big] (1 + s_{4\vartheta_{LA}}) \Rightarrow \end{aligned}$$
(B1)

380

$$\Rightarrow \frac{I_{LA_TA_S_45^{\circ}_(\theta_{TA}=-\frac{\omega_{TA}}{2}+\varepsilon_{TA})}}{E_{LA_TA}\eta_{S_TA}T_{S_TA}T_{S_TA}T_{O_TA}F_{11}(f_{11}+g_{11})} == \frac{1}{2} \Big[1 - aD_{S_TA}s_{4\varepsilon_{TA}} \Big] (1 + s_{4\vartheta_{LA}})$$

a is the atmospheric polarization parameter with $a = \frac{f_{22}+g_{22}}{f_{11}+g_{11}} = -\frac{f_{33}+g_{33}}{f_{11}+g_{11}}$.

We derive ε_{TA} in a similar way as the " $\Delta 90^{\circ}$ calibration" (Section 11 in Freudenthaler (2016)), by rotating the HWP_{TA} by an additional angle of 0° and 45° with respect to the $x_{DU_{TA}}$ -axis (Fig. 10). The respective calculations are provided in Eq. B2-B9:

$$385 \quad \eta^* \left(\theta_{TA} = -\frac{\omega_{TA}}{2} + \varepsilon_{TA} \right) = \frac{I_{LA_TA_R_45^\circ_}(\theta_{TA} = -\frac{\omega_{TA}}{2} + \varepsilon_{TA})}{I_{LA_TA_T_45^\circ}(\theta_{TA} = -\frac{\omega_{TA}}{2} + \varepsilon_{TA})} = \eta_{TA} \frac{(1 + as_{4\varepsilon_{TA}})(1 + s_{4\vartheta_{LA}})}{(1 - as_{4\varepsilon_{TA}})(1 + s_{4\vartheta_{LA}})} = \eta_{TA} \frac{1 + as_{4\varepsilon_{TA}}}{1 - as_{4\varepsilon_{TA}}} \tag{B2}$$

$$\eta^{*} \left(\theta_{TA} = +45^{o} - \frac{\omega_{TA}}{2} + \varepsilon_{TA} \right) = \frac{I_{LA_TA_R_45^{o}_(\theta_{TA}=45 - \frac{\omega_{TA}}{2} + \varepsilon_{TA})}}{I_{LA_TA_T_45^{o}(\theta_{TA}=45 - \frac{\omega_{TA}}{2} + \varepsilon_{TA})}} = \eta_{TA} \frac{\left(1 + as_{4(45 + \varepsilon_{TA})}\right) \left(1 + s_{4\vartheta_{LA}}\right)}{\left(1 - as_{4(45 + \varepsilon_{TA})}\right) \left(1 + s_{4\vartheta_{LA}}\right)} = \eta_{TA} \frac{1 - as_{4\varepsilon_{TA}}}{1 + as_{4\varepsilon_{TA}}}$$
(B3)

$$Y(\varepsilon_{TA},a) = \frac{\eta^* \left(\theta_{TA} = -\frac{\omega_{TA}}{2} + \varepsilon_{TA}\right) - \eta^* \left(\theta_{TA} = +45^o - \frac{\omega_{TA}}{2} + \varepsilon_{TA}\right)}{\eta^* \left(\theta_{TA} = -\frac{\omega_{TA}}{2} + \varepsilon_{TA}\right) + \eta^* \left(\theta_{TA} = +45^o - \frac{\omega_{TA}}{2} + \varepsilon_{TA}\right)} = \frac{2as_{4\varepsilon_{TA}}}{1 + a^2 s_{4\varepsilon_{TA}}^2}$$
(B4)





Following the tangent half-angle substitution (Section S.12.1 in Freudenthaler (2016)) we derive ε_{TA} and a by successive approximation, as shown in Eq. B5-B9.

$$390 \quad \varepsilon_{TA} = \frac{1}{4} \arcsin\left[\frac{1}{a} \tan\left(\frac{\arcsin\left(Y(\varepsilon_{TA}, a)\right)}{2}\right)\right] \tag{B5}$$

$$a = \frac{1}{s_{4\varepsilon_{TA}}} tan\left(\frac{arcsin(Y(\varepsilon_{TA}, a))}{2}\right)$$
(B6)

As a first approximation of ε_{TA} we calculate ε_{TA_l} with Eq. B7.

$$\varepsilon_{TA_l} = \frac{1}{4} \arcsin\left[\tan\left(\frac{\arcsin\left(Y(\varepsilon_{TA}, a)\right)}{2}\right) \right] < \varepsilon_{TA}$$
(B7)

After adjusting the HWP_{TA} by $-\varepsilon_{TA_l}$, which results in $\theta_{TA} = -\frac{\omega_{TA}}{2} + \varepsilon_{TA} - \varepsilon_{TA_l}$, we derive $Y(\varepsilon_{TA} - \varepsilon_{TA_l}, a)$ (Eq. B8). 395 Then, ε_{TA} is calculated by Eq. B9.

$$Y(\varepsilon - \varepsilon_{TA_l}, a) = \frac{2as_{4(\varepsilon - \varepsilon_{TA_l})}}{1 + a^2 s_{4(\varepsilon - \varepsilon_{TA_l})}^2}$$
(B8)

$$\frac{Y(\varepsilon_{TA} - \varepsilon_{TA_l}, a)}{Y(\varepsilon_{TA}, a)} = \frac{s_{4(\varepsilon_{TA} - \varepsilon_{TA_l})}(1 + s_{4\varepsilon_{TA}}^2 a^2)}{s_{4\varepsilon_{TA}}(1 + s_{4(\varepsilon_{TA} - \varepsilon_{TA_l})}^2 a^2)} \approx \frac{s_{4(\varepsilon_{TA} - \varepsilon_{TA_l})}}{s_{4\varepsilon_{TA}}} \approx \frac{\varepsilon_{TA} - \varepsilon_{TA_l}}{\varepsilon_{TA}} = 1 - \frac{\varepsilon_{TA_l}}{\varepsilon_{TA}} \Rightarrow$$
(B9)

$$\Rightarrow \varepsilon_{TA} \approx \frac{Y(\varepsilon_{TA}, a)}{Y(\varepsilon_{TA}, a) - Y(\varepsilon_{TA} - \varepsilon_{TA_l}, a)} \varepsilon_{TA_l}$$

Figure B1 shows the test performed for zeroing ε_{TA} and setting $\theta_{TA} = \frac{-\omega_{TA}}{2}$ (step 3 in Fig. 10), by the successive steps described above. Specifically, with zero rotation of the HWP_{TA} , $\varepsilon_{TA_l} = 4.69^{\circ}$, with -4.69° rotation of the HWP_{TA} , $\varepsilon_{TA_l} = 4.69^{\circ}$, and finally with $-4.69^{\circ} + 0.22^{\circ} = -4.47^{\circ}$ rotation of the HWP_{TA} , $\varepsilon_{TA_l} = 0^{\circ}$.







Figure B1. The test performed for zeroing ε_{TA} and setting of $\theta_{TA} = \frac{-\omega_{TA}}{2}$, for the correction of $\mathbf{I_{LA_TA_S}}$ and $\mathbf{I_{LB_TA_S}}$, for the rotation of the detection unit after telescope A.

Appendix C: Derivation of ε_{LA} for defining the polarization of i_{LA} with respect to the horizon

For the derivation of the ε_{LA} we use the signals $I_{LA_TA_S}$ (Eq. A2), after they are corrected for the effect of the rotation of the detection unit after telescope A, by setting $\theta_{TA} = -\frac{\omega_{TA}}{2}$ (Section 4.1). Moreover, we consider that the atmosphere consists of randomly-oriented particles, thus the off-diagonal elements in Eq. A2 are zero. Then, the signals $I_{LA_TA_-S(\theta_{TA}=-\frac{\omega_{TA}}{2})}$ are provided in Eq. C1.

405

$$I_{LA_TA_S(\theta_{TA}=-\frac{\omega_{TA}}{2})} = E_{LA_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}\left[f_{11} + g_{11} + D_{S_TA}c_{4\vartheta_{LA}}(f_{22} + g_{22})\right] = E_{LA_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}(f_{11} + g_{11})\left[1 + aD_{S_TA}c_{4\vartheta_{LA}}\right]$$
(C1)

Where, $\vartheta_{LA} = \varepsilon_{LA}$ and a is the atmospheric polarization parameter, $a = \frac{f_{22} + g_{22}}{f_{11} + g_{11}}$.

We rotate the HWP_{LA} by $+22.5^{\circ}$ and -22.5° with respect to the x_F -axis and we derive ε_{LA} by performing the " $\Delta 90^{\circ}$ calibration" of Freudenthaler (2016), as shown in Eq. C2-C8.

$$\eta^{*}(\vartheta_{LA} = +22.5^{o} + \varepsilon_{LA}) = \frac{I_{LA_TA_R(\theta_{TA} = -\frac{\omega_{TA}}{2})_(\vartheta_{LA} = 22.5 + \varepsilon_{LA})}}{I_{LA_TA_T(\theta_{TA} = -\frac{\omega_{TA}}{2})_(\vartheta_{LA} = 22.5 + \varepsilon_{LA})}} = \eta_{TA} \frac{1 - ac_{4(22.5 + \varepsilon_{LA})}}{1 + ac_{4(22.5 + \varepsilon_{LA})}} = 0$$
(C2)

410

$$=\eta_{TA}\frac{1-ac_{(90+4\varepsilon_{LA})}}{1+ac_{(90+4\varepsilon_{LA})}}=\eta_{TA}\frac{1+as_{4\varepsilon_{LA}}}{1-as_{4\varepsilon_{LA}}}$$





$$\eta^{*}(\vartheta_{LA} = -22.5^{o} + \varepsilon_{LA}) = \frac{I_{LA_TA_R}(\theta_{TA} = -\frac{\omega_{TA}}{2})_{-}(\vartheta_{LA} = -22.5 + \varepsilon_{LA})}{I_{LA_TA_T}(\theta_{TA} = -\frac{\omega_{TA}}{2})_{-}(\vartheta_{LA} = -22.5 + \varepsilon_{LA})} = \eta_{TA} \frac{1 - ac_{(-90+4\varepsilon_{LA})}}{1 + ac_{(-90+4\varepsilon_{LA})}} = \eta_{TA} \frac{1 - as_{4\varepsilon_{LA}}}{1 + as_{4\varepsilon_{LA}}}$$
(C3)

$$Y(\varepsilon_{LA}, a) = \frac{\eta^* (\vartheta_{LA} = +22.5^o + \varepsilon_{LA}) - \eta^* (\vartheta_{LA} = -22.5^o + \varepsilon_{LA})}{\eta^* (\vartheta_{LA} = +22.5^o + \varepsilon_{LA}) + \eta^* (\vartheta_{LA} = -22.5^o + \varepsilon_{LA})} = \frac{2as_{4\varepsilon_{LA}}}{1 + a^2 s_{4\varepsilon_{LA}}^2}$$
(C4)

Following the tangent half-angle substitution (Section S.12.1 in Freudenthaler (2016)) we derive ε_{LA} , as shown in Eq. C5-C8.

415
$$\varepsilon_{LA} = \frac{1}{4} \arcsin\left[\frac{1}{a} \tan\left(\frac{\arcsin\left(Y(\varepsilon_{LA}, a)\right)}{2}\right)\right]$$
 (C5)

As a first approximation of ε_{LA} we calculate ε_{LA_l} with Eq. C6.

$$\varepsilon_{LA_{l}} = \frac{1}{4} \arcsin\left[\tan\left(\frac{\arcsin\left(Y(\varepsilon_{LA}, a)\right)}{2}\right) \right] < \varepsilon_{LA} \tag{C6}$$

After adjusting the HWP_{LA} by $-\varepsilon_{LA_l}$, which results in $\vartheta_{LA} = \varepsilon_{LA} - \varepsilon_{LA_l}$ with respect to the x_F -axis, we derive $Y(\varepsilon_{LA} - \varepsilon_{LA_l}, a)$ (Eq. C7). Then, ε_{LA} is calculated by Eq. C8.

420
$$Y(\varepsilon_{LA} - \varepsilon_{LA_l}, a) = \frac{2as_{4(\varepsilon_{LA} - \varepsilon_{LA_l})}}{1 + a^2 s_{4(\varepsilon_{LA} - \varepsilon_{LA_l})}^2}$$
(C7)

$$\frac{Y(\varepsilon_{LA} - \varepsilon_{LA_l}, a)}{Y(\varepsilon_{LA}, a)} = \frac{s_{4(\varepsilon_{LA} - \varepsilon_{LA_l})}(1 + s_{4\varepsilon_{LA}}^2 a^2)}{s_{4\varepsilon_{LA}}(1 + s_{4(\varepsilon_{LA} - \varepsilon_{LA_l})}^2 a^2)} \approx \frac{s_{4(\varepsilon_{LA} - \varepsilon_{LA_l})}}{s_{4\varepsilon_{LA}}} \approx \frac{\varepsilon_{LA} - \varepsilon_{LA_l}}{\varepsilon_{LA}} = 1 - \frac{\varepsilon_{LA_l}}{\varepsilon_{LA}} \Rightarrow$$
(C8)

$$\Rightarrow \varepsilon_{LA} \approx \frac{Y(\varepsilon_{LA}, a)}{Y(\varepsilon_{LA}, a) - Y(\varepsilon_{LA} - \varepsilon_{LA_l}, a)} \varepsilon_{LA_l}$$

Figure C1 shows the test performed for zeroing ε_{LA} and setting $\vartheta_{LA} = 22.5^{\circ}$ (step 2 in Fig. 11), by the successive steps described above. Specifically, with zero rotation of the HWP_{LA} , $\varepsilon_{LA_l} = 4.03^{\circ}$, with -4.03° rotation of the HWP_{LA} , $\varepsilon_{LA_l} = 4.03^{\circ}$, with -4.03° rotation of the HWP_{LA} , $\varepsilon_{LA_l} = 4.03^{\circ}$, with -4.03° rotation of the HWP_{LA} , $\varepsilon_{LA_l} = 4.03^{\circ}$, with -4.03° rotation of the HWP_{LA} , $\varepsilon_{LA_l} = 4.03^{\circ}$.





 0.56° , with $-4.03^{\circ} - 0.56^{\circ} = -4.59^{\circ}$ rotation of the HWP_{LA} , $\varepsilon_{LA_l} = 0.13^{\circ}$, and finally with $-4.59^{\circ} - 0.13^{\circ} = -4.72^{\circ}$ 425 rotation of the HWP_{LA} , $\varepsilon_{LA_l} = 0^o$.



Figure C1. The test performed for zeroing ε_{LA} , for defining the polarization of i_{LA} with respect to the horizon, by setting the angle of polarization at $2\vartheta_{LA} = 45^{\circ}$.

Appendix D: Derivation of the angle of the polarization ellipse α and of the degree of linear polarization b, for defining the polarization of i_{LB} with respect to the horizon.

D1 Derivation of the angle of the polarization ellipse α

430

The signals $I_{LB_TA_S}$ (Eq. A4) are provided by Eq. D1, considering an atmosphere with randomly-oriented particles (all offdiagonal elements of the backscatter matrix are zero), and that the detection unit after telescope A is aligned with the system frame (Section 4.1). Note that in Eq. D1 "a" is the atmospheric polarization parameter, whereas " α " is the the angle of the polarization ellipse of the light from the emission unit of laser B.

$$\frac{I_{LB_TA_S(\theta_{TA}=-\frac{\omega_{TA}}{2})}}{E_{LB_TA}\eta_{S_TA}T_{S_TA}T_{O_TA}F_{11}(f_{11}+g_{11})} = 1 + D_{S_TA}c_{2\varphi_{LB}}c_{(4\theta_{LB}-2\phi_{LB})}\frac{f_{22}+g_{22}}{f_{11}+g_{11}} = 1 + abD_{S_TA}c_{2\alpha}$$
(D1)





In order to derive the angle α we rotate the HWP_{LB} by +22.5° and -22.5° with respect to the x_F -axis, so as $\alpha_{+45^\circ} =$ 435 $\alpha + 45^\circ$ and $\alpha_{-45^\circ} = \alpha - 45^\circ$, respectively (since $\alpha = 2\theta_{LB} - \phi_{LB}$, Eq. 19). We then perform a methodology similar to the " $\Delta 90^\circ$ calibration" of Freudenthaler (2016) to derive α , as shown in Eq. D2-D8.

$$\eta^* \left(\alpha_{+45^o} = \alpha + 45^o \right) = \frac{I_{LB_TA_R(\theta_{TA} = -\frac{\omega_{TA}}{2})_\alpha_{+45^o}}}{I_{LB_TA_T(\theta_{TA} = -\frac{\omega_{TA}}{2})_\alpha_{+45^o}}} = \eta_{TA} \frac{1 - abc_{(2\alpha+90)}}{1 + abc_{(2\alpha+90)}} = \eta_{TA} \frac{1 + abs_{2\alpha}}{1 - abs_{2\alpha}} \tag{D2}$$

$$\eta^* \left(\alpha_{-45^o} = \alpha - 45^o \right) = \frac{I_{LB_TA_R}(\theta_{TA} = -\frac{\omega_{TA}}{2})_\alpha_{-45^o}}{I_{LB_TA_T}(\theta_{TA} = -\frac{\omega_{TA}}{2})_\alpha_{-45^o}} = \eta_{TA} \frac{1 - abc_{(2\alpha - 90)}}{1 + abc_{(2\alpha - 90)}} = \eta_{TA} \frac{1 - abs_{2\alpha}}{1 + abs_{2\alpha}} \tag{D3}$$

$$Y(\alpha, a, b) = \frac{\eta^*(\alpha_{+45^o} = \alpha + 45^o) - \eta^*(\alpha_{-45^o} = \alpha - 45^o)}{\eta^*(\alpha_{+45^o} = \alpha + 45^o) + \eta^*(\alpha_{-45^o} = \alpha - 45^o)} = \frac{2abs_{2\alpha}}{1 + a^2b^2s_{2\alpha}^2}$$
(D4)

Following the tangent half-angle substitution (Section S.12.1 in Freudenthaler (2016)) we derive α by successive approximation, as shown in Eq. D5-D9.

$$\alpha = \frac{1}{2} \arcsin\left[\frac{1}{ab} \tan\left(\frac{\arcsin\left(Y(\alpha, a, b)\right)}{2}\right)\right] \tag{D5}$$

As a first approximation of α we calculate α_l with Eq. D6.

$$\alpha_l = \frac{1}{2} \arcsin\left[\tan\left(\frac{\arcsin\left(Y(\alpha, a, b)\right)}{2}\right) \right] \tag{D6}$$

After adjusting the HWP_{LB} by $-\frac{\alpha_l}{2}$, which results in $\alpha_{new} = \alpha - \alpha_l$ (Eq. 19), we derive $Y(\alpha - \alpha_l, a, b)$ (Eq. D7). Then, α is calculated by Eq. D8.

$$Y(\alpha - \alpha_l, a, b) = \frac{\eta^* (+45^o + \alpha - \alpha_l) - \eta^* (-45^o + \alpha - \alpha_l)}{\eta^* (+45^o + \alpha - \alpha_l) + \eta^* (-45^o + \alpha - \alpha_l)} = \frac{2abs_{2(\alpha - \alpha_l)}}{1 + a^2b^2s_{2(\alpha - \alpha_l)}^2}$$
(D7)

$$\frac{Y(\alpha - \alpha_l, a, b)}{Y(\alpha, a, b)} = \frac{s_{2(\alpha - \alpha_l)} \left(1 + a^2 b^2 s_{2\alpha}^2\right)}{s_{2\alpha} \left(1 + a^2 b^2 s_{2(\alpha - \alpha_l)}^2\right)} \approx \frac{\alpha - \alpha_l}{\alpha} = 1 - \frac{\alpha_l}{\alpha} \Rightarrow$$

$$\Rightarrow \alpha \approx \frac{Y(\alpha, a, b)}{Y(\alpha, a, b) - Y(\alpha - \alpha_l, a, b)} \alpha_l$$
(D8)





D2 Derivation of the degree of linear polarization b

450 As shown in Fig. 12, after calculating α in Section D1, we rotate the HWP_{LB} by $-\frac{\alpha}{2}$ and by $22.5^{\circ} - \frac{\alpha}{2}$, so as $\alpha_1 = 0^{\circ}$ and $\alpha_2 = 45^{\circ}$ with respect to the x_F -axis, respectively (Eq. 19).

Then, b is derived from Eq. D9-D11 (using Eq. D1), considering that we have already derived the atmospheric polarization parameter a using the measurements of laser A at the detection unit after telescope A, as shown in Section 6.

$$\eta^*(\alpha_1 = 0^o) = \frac{I_{LB_TA_R(\theta_{TA} = -\frac{\omega_{TA}}{2})_\alpha_1}}{I_{LB_TA_T(\theta_{TA} = -\frac{\omega_{TA}}{2})_\alpha_1}} = \eta_{TA} \frac{1 - abc_0}{1 + abc_0} = \eta_{TA} \frac{1 - ab}{1 + ab}$$
(D9)

$$455 \quad \eta^*(\alpha_2 = +45^o) = \frac{I_{LB_TA_R(\theta_{TA} = -\frac{\omega_{TA}}{2})_\alpha_2}}{I_{LB_TA_T(\theta_{TA} = -\frac{\omega_{TA}}{2})_\alpha_2}} = \eta_{TA} \frac{1 - abc_{90}}{1 + abc_{90}} = \eta_{TA} \tag{D10}$$

$$b = \frac{1}{a} \frac{\eta^*(\alpha_2 = +45^o) - \eta^*(\alpha_1 = 0^o)}{\eta^*(\alpha_2 = +45^o) + \eta^*(\alpha_1 = 0^o)}$$
(D11)

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GD, CE and VF. AT, GD and GG performed simulations for defining the capabilities of the system in terms of SNR, JG provided guidance for the scattering calculations used in these simulations and TG provided the corresponding technical support. PP, NS and IB provided software for the quality assurance tests of the measurements. VA supervised and directed the whole project. AT wrote the manuscript and VF, VA, IB, GG, AL, JG and PP provided corrections and suggestions.

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