

Aircraft-engine particulate matter emissions from conventional  
and sustainable aviation fuel combustion: comparison of  
measurement techniques for mass, number, and size

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The combustion of sustainable aviation fuels in aircraft engines produces particulate matter (PM) emissions with different properties than conventional fuels due to changes in fuel composition. Consequently, the response of various diagnostic instruments to PM emissions may be impacted. We found no significant instrument biases in terms of particle mass, number, and size measurements for conventional and sustainable aviation fuel blends despite large differences in the absolute magnitude of emissions.

## 1 Abstract

Sustainable aviation fuels (SAFs) have different compositions compared to conventional petroleum jet fuels, particularly in terms of fuel sulphur and hydrocarbon content. These differences may change the amount and physicochemical properties of volatile and non-volatile particulate matter (nvPM) emitted by aircraft engines. In this study, we evaluate whether comparable nvPM measurement techniques respond similarly to nvPM produced by three blends of SAFs compared to three conventional fuels. Multiple SAF blends and conventional (Jet A-1) jet fuels were combusted in a V2527-A5 engine, while an additional conventional fuel (JP-8) was combusted in a CFM56-2C1 engine.

We evaluated nvPM mass concentration measured by three real-time measurement techniques: photoacoustic spectroscopy, laser-induced incandescence, and the extinction-minus-scattering technique. Various commercial instruments were tested including three LII 300s, one PAX, one MSS+, and two CAPS PM<sub>SSA</sub> instruments. Mass-based emission indices ( $EI_m$ ) reported by these techniques were similar, falling within 30% of their geometric mean for  $EI_m$  above 100 mg/kg<sub>fuel</sub> (approximately 10  $\mu\text{g PM m}^{-3}$  at the instrument), this geometric mean was therefore used as a reference value. Additionally, two integrative measurement techniques were evaluated: filter photometry and particle size distribution (PSD) integration. The commercial instruments used were one TAP, one PSAP, and two SMPSs. The TAP and PSAP were operated at 5% and 10% of their nominal flow rates, respectively, to extend the life of their filters. These techniques are used in specific applications, such as on-board research aircraft to

determine PM emissions at cruise.  $EI_m$  reported by the alternative techniques fell within approximately 50 % of the mean aerosol-phase  $EI_m$ .

In addition, we measured PM-number-based emission indices using PSDs and condensation particle counters. The commercial instruments used included TSI SMPSSs, a Cambustion DMS500, and an AVL APC, and the data also fell within approximately 50 % of their geometric mean. The number-based emission indices were highly sensitive to the accuracy of the sampling-line penetration functions applied as corrections. In contrast, the  $EI_m$  data were less sensitive to those corrections since a smaller volume fraction fell within the size range where corrections were substantial. A separate, dedicated experiment also showed that the operating laser fluence used in the LII 300 laser-induced incandescence instrument for aircraft engine nvPM measurement is adequate for a range of SAF blends investigated in this study. Overall, we conclude that all tested instruments are suitable for the measurement of nvPM emissions from the combustion of SAF blends in aircraft engines.

Keywords: non-volatile particulate matter, aircraft, emissions, sustainable aviation fuels, black carbon

## 2 Introduction

Aircraft engine particulate matter (PM) emissions are composed of non-volatile (black carbon, metal ash, oxygenated functional groups) and volatile components (volatile organic compounds, nitrates, sulphates) (Gagné et al., 2021; Masiol and Harrison, 2014; Petzold et al., 2011). The non-volatile particulate matter (nvPM) emissions are formed in the combustor, while volatile particulate matter (vPM) emissions, present in the gas phase at the engine exit, condense after emission. Aircraft engines emit vPM with similar or greater orders of magnitude as nvPM, especially after the vapour pressure of volatile species is lowered by oxidative aging (Kiliç et al., 2018) or by cooling (Beyersdorf et al., 2014). The nvPM and vPM are constituents of total PM which affects air quality, health, and climate. The International Civil Aviation Organization (ICAO) has developed standards and recommended practices (SARPs) for measuring the mass- and number-based emissions of nvPM emitted from aircraft engines with maximum rated thrust >26.7

83 kN (ICAO, 2017). Currently, SARPs have not been established for vPM or total PM  
84 (Lobo et al., 2020). The SARPs for nvPM specify standardized sampling and  
85 measurement protocols (SAE, 2013, 2018; ICAO, 2017), which have been  
86 extensively evaluated and validated (Lobo et al., 2015b, 2020; Kinsey et al., 2021).  
87 The nvPM regulatory limits are applicable for type certification of aircraft engines,  
88 but they do not address the vPM which may have substantial environmental  
89 impacts.

90  
91 To reduce CO<sub>2</sub> emissions, mitigate environmental impacts, and make the aviation  
92 sector more sustainable, a significant effort is underway to develop and deploy  
93 sustainable aviation fuels (SAFs). Various feedstocks and different conversion  
94 pathways can be used to produce SAFs (Hileman and Stratton, 2014), which differ  
95 in chemical and physical properties compared to conventional petroleum jet fuel  
96 (Vozka et al., 2019), most notably by lacking aromatic and sulfur species that are  
97 precursors to nvPM and vPM emissions. New SAF candidates must undergo a  
98 rigorous qualification and approval process (ASTM D4054) prior to being certified  
99 under the ASTM D7566 standard specification as a blending component. Currently,  
100 the ASTM D7566 standard allows SAF blend ratios of up to 50% with conventional  
101 fuel for drop-in fuels (Wilson et al., 2013).

102  
103 The combustion of neat SAFs and blends with conventional jet fuel has been shown  
104 to result in different PM emissions characteristics as a function of engine type and  
105 operating condition (Beyersdorf et al., 2014; Brem et al., 2015; Corporan et al.,  
106 2011; Lobo et al., 2011, 2015a, 2016; Moore et al., 2017; Schripp et al., 2018, 2019;  
107 Timko et al., 2010). In addition to changes in PM mass- and number-based  
108 emissions, SAF combustion results in changes to particle size distributions (PSD)  
109 (Beyersdorf et al., 2014; Cain et al., 2013; Kinsey et al., 2012; Lobo et al., 2011,  
110 2015a, 2016; Schripp et al., 2018; Timko et al., 2010), chemical composition (Elser  
111 et al., 2019; Kinsey et al., 2012; Timko et al., 2013; Williams et al., 2012),  
112 morphology (Huang and Vander Wal, 2013; Kumal et al., 2020; Liati et al., 2019),  
113 hygroscopic properties (Trueblood et al., 2018), and optical properties (Elser et al.,  
114 2019).

The standardized sampling and measurement protocol for aircraft engine nvPM emissions was designed and validated for engine certification tests using conventional jet fuel. The SARPs require that number-based nvPM emissions are measured with a butanol-based condensation-nuclei counter with a 50% cut-off size of, at most, 10 nm sampling in single-particle-counting mode downstream of a diluter and volatile particle remover. For mass-based nvPM emissions, the instrument must be insensitive to vPM and able to meet performance specifications for repeatability, zero drift, linearity, limit of detection, rise time, sampling interval, accuracy, and applicability. Limited information is available on aircraft engine nvPM emissions characteristics measured with the standardized system for different engine types burning SAFs and blends with conventional fuel (Durdina et al., 2021)(Durand et al., 2021; Elser et al., 2019; Lobo et al., 2015a, 2016).

The standardized system components are not easily adaptable for use on aircraft for measurement of cruise level nvPM emissions. Consequently, there are no comparable in-flight engine-emissions data available for developing and validating models that predict cruise nvPM-emissions based on engine certification data. Particle size distribution measurements are also not included in the standardized system, which are important for assessing the effects of fuels, operating conditions, and engine technologies on the environmental impacts of PM emissions. Thus to advance our understanding of aircraft engine emissions and the factors that control them as well as to develop a large and consistent observational data base, it is important to evaluate the relative performance of other diagnostic instruments that are not prescribed in the standardized protocol but meet these needs. Such instruments must be evaluated for their response to nvPM and total PM emissions from aircraft engines using standardized and non-standardized systems, and for measurements at the engine exit plane and downstream of the engine in the near field, since these instruments are typically used with minimal change to their operating parameters for a wide range of sampling conditions. Very limited data are available in the literature for this purpose, and no data have yet been published for SAFs.

Here, we present the inter-comparison of real-time measurements of aircraft engine nvPM emissions in terms of physical characteristics such as mass, number, and size distributions using different diagnostic instruments and measurement principles. The nvPM mass emissions were evaluated using three real-time measurement techniques: photoacoustic spectroscopy, the extinction-minus-scattering technique, and laser-induced incandescence (LII), and two alternative measurement techniques widely used in laboratories and on-board aircraft: filter-based photometry and PSD integration. We note that one of the photoacoustic instruments and the LII instruments have been demonstrated to be compliant with the ICAO SARPs performance specifications. The PM number-based emissions were measured using a condensation particle counter. The PSD characteristics measured by scanning mobility particle sizers and an electrical mobility spectrometer were also compared. The nvPM and total PM emissions were delineated using a thermal denuder and a catalytic stripper. We also report the effect of laser fluence on the laser-induced incandescence of nvPM for SAF combustion as changing carbon nanostructure is known to influence particle light absorption and consequently LII signals, and hence the derived nvPM mass concentration. The impact of fuel composition on PM emissions will be reported separately (Schripp et al., 2022).

### 3 Methods

The observations presented in this paper were collected during the NASA/DLR-Multidisciplinary Airborne Experiment (ND-MAX)/ Emission and Climate Impact of Alternative Fuel (ECLIF) 2 campaign that was conducted at Ramstein Air Base, Ramstein-Miesenbach, Germany in January-February 2018. The campaign included ground-based and in-flight measurements of emissions from the DLR Advanced Technology Research Aircraft (ATRA) A320 aircraft with V2527-A5 engines running on two conventional jet fuels and three blends with SAF. The main objective of the ground-based measurements was to characterize the nvPM, total PM, and hydrocarbon emissions as functions of engine thrust condition and fuel composition. Several identical instruments were included in the in-flight sampling aircraft (NASA DC-8) and ground measurement diagnostic instrument suites to enable comparisons of engine emissions during ground and airborne operations,

and create a data set for testing cruise emission models. The NASA DC-8 aircraft with CFM56-2C1 engines was also used as an emissions source to compare various emissions diagnostic instruments during the ground-based measurements.

### *3.1 Engine and fuels*

In the majority of this work, emissions were sampled from a single IAE mixed-flow V2527-A5 starboard engine of the DLR ATRA aircraft (Airbus A320-232). The engine was operated on two conventional, petroleum jet fuels, referred to as REF3 and REF4, and three sustainable aviation fuel blends, referred to as SAF1, SAF2, and SAF3. The abbreviations for the two conventional petroleum fuels are used to avoid confusion with the previous ECLIF campaign (Schripp et al., 2018).

A limited number of experiments were also performed with JP-8 fuel, combusted in the starboard CFM56-2C1 engine (#3) of the NASA DC-8 aircraft. Due to limited fuel availability, none of the other five fuels could be combusted in the CFM56-2C1 engine. The properties of the six fuels are summarized in Table 1.

### *3.2 Ambient conditions*

The measurements presented here were performed outdoors during winter in western Germany. Detailed meteorology for each test point is given in the Data Availability section. The minimum, median, and maximum temperatures were 2.3, 2.9, 8.3 °C, respectively. Conditions were humid (>83 % humidity) and sometimes rainy. Winds ranged from 0 to 15.5 km h<sup>-1</sup> and wind direction was sometimes variable. The median wind direction was south-westerly, while the source aircraft was oriented facing to the east. Consequently, winds blowing approximately 45° angle from the right rear of the source aircraft sometimes prevented the engine emissions from reaching the sampling probe at low engine thrust settings.

### *3.3 Emissions sampling*

An extensive suite of aerosol and gas-phase instruments operated by the members of six different institutions were deployed in two different shipping

211 containers to characterize the emissions (Table 2). The complete emission-  
212 sampling setup is discussed in a companion paper (Schripp et al., 2022). Briefly,  
213 emissions were sampled through a probe located 43 m downstream of the  
214 starboard engine of the aircraft. The probe was placed in front of a blast fence  
215 located on the western side of the Ramstein Air Force Base flight line, and the fence  
216 redirected the engine exhaust upwards for safety. The probe was connected to a  
217 18.5-mm ID, 20-m-long electrically-conductive sampling line heated to 60 °C, that  
218 transported flow to a sampling plenum maintained at 33 °C. To minimize residence  
219 time and particle losses in this sampling line, a pump ensured that a total of at least  
220 137 L min<sup>-1</sup> flowed through the sampling manifold at all times. Higher flows  
221 produce an unacceptably large pressure drop in the primary sampling line. The  
222 majority of this flow was discarded as excess.

223  
224 The plenum was placed inside a modified shipping container (Container 1) behind  
225 the blast fence, along with the NRC, DLR, and NASA instruments. The North  
226 American Reference System (NARS) was connected to the plenum by a short  
227 section of heated line to the NARS dilutor box, which was heated to 60 ± 15 °C and  
228 contained a custom Dekati dilutor with a dilution factor of approximately 4 (less  
229 than the standard Dekati dilutor factor of 8 to 14). A 25 m line heated to 60 ± 15 °C  
230 transferred sample aerosols flow from the dilutor box to a second shipping  
231 container (Container 2), where the MST and ARI instruments were connected. The  
232 NARS components include the 25 m heated line, attached diluters and MST  
233 instrument suite; the system is compliant with specifications for the standardized  
234 nvPM sampling and measurement system (SAE, 2013; SAE, 2018; ICAO, 2017) and  
235 whose performance has been demonstrated and evaluated in previous studies  
236 (Lobo et al., 2015b, 2016, 2020). Additional instrumentation installed as part of  
237 the NARS included a fast electrical mobility spectrometer (Cambustion DMS500),  
238 an Aerodyne Aerosol Mass Spectrometer (results not presented here), and a CAPS  
239 PMssa monitor (Aerodyne Research Inc.). The details of the instruments installed  
240 inside these two containers are listed in Table 3.



### 241 3.3.1 *Gaseous measurements*

242 A suite of gaseous emissions was measured in this study, as summarized in Table  
243 2. The CO<sub>2</sub> measurements from the NASA LI-COR 7000 were in good agreement  
244 with those taken by DLR (MKS MultiGas 2030 FTIR Continuous Gas Analyzer) and  
245 MST (LI-COR model 840A), but had a faster response time and were therefore used  
246 as the reference for instruments in Container 1. Instruments in Container 2 used  
247 the MST measurements as reference.

### 248 3.3.2 *nvPM number and particle size distributions (PSDs)*

249 nvPM number concentration was measured directly by a certification-test-  
250 compliant, particle counter, APC (AVL Inc., which contains a TSI Model 3790E CPC  
251 and volatile particle remover), which was part of the NARS in Container 2. PSDs  
252 were measured with two technologies: scanning mobility particle sizers (SMPS, TSI  
253 Inc.) and electrical mobility sizers (EMS). Two types of EMS were used; the  
254 Cambustion DMS500 (in Container 2, measuring particles 5 to 1000 nm in  
255 diameter; data processed with a bimodal calibration matrix and log-normal  
256 inversion) and the TSI Engine Exhaust Particle Sizer (EEPS, Container 1, measuring  
257 particles from 6 to 523 nm). However, the EEPS data were excluded from this  
258 analysis due to unidentified problems with the instrument which led to anomalous  
259 PSDs.

260 Two SMPSs measured nvPM PSDs. An SMPS operated by NRC measured  
261 particles 10 to 278 nm in diameter downstream of a catalytic stripper (Model  
262 CS015, Catalytic Instruments GmbH), which heated samples to 350 °C before  
263 oxidizing gas-phase VOCs to prevent them from recondensing after exiting the  
264 device. Another SMPS operated by NASA measured particles 10 to 278 nm in  
265 diameter either directly or downstream of a NASA-constructed thermal denuder  
266 (TD) also operated at 350 °C. The TD employs a concentric activated charcoal filter  
267 downstream of the sample heater to prevent re-condensation of volatile species.  
268 TDs are commonly used on-board aircraft for measuring nvPM number  
269 concentration and size distributions (Clarke, 1991; Moore et al., 2017) and have  
270 been shown to effectively evaporate nucleation and accumulation mode sulfate  
271 and organic aerosols (Beyersdorf et al., 2014; Schripp et al., 2018).

### 272 3.3.3 *nvPM mass measurements*

273 In this study, most of the nvPM mass data were derived from light  
274 absorption coefficients (units of  $\text{m}^{-1}$ ), either determined in flow-through sample  
275 cells (the CAPS  $\text{PM}_{\text{SSA}}$ , PAX, and MSS introduced below) or after collecting particles  
276 onto a filter (the TAP and PSAP introduced below). Such absorption measurements  
277 can be converted to equivalent black carbon or eBC mass concentrations (eBC,  
278 units of  $\text{g m}^{-3}$ ; Petzold et al. (2013)) by dividing them by a reference mass  
279 absorption cross-section (MAC, units of  $\text{m}^2 \text{g}^{-1}$ ). The LII measurements also rely on  
280 light absorption, although the measurand is not absorption but incandescence at  
281 two wavelengths and is termed rBC (Petzold et al., 2013; Michelsen et al., 2014).

282 The reference MAC used to report eBC represents an assumed physical  
283 property of the nvPM emitted by the engine at a given time. The extensive review  
284 of Bond and Bergstrom (2006) concluded that the MAC at 550 nm of externally-  
285 mixed BC from a variety of sources could be summarized as  $7.5 \pm 1.2 \text{ m}^2 \text{g}^{-1}$ ; the  
286 more recent review of in-situ measurements by (Liu et al., 2020) recommended  
287  $8.0 \pm 0.7 \text{ m}^2 \text{g}^{-1}$  at 550 nm. In this study, we have used the Bond and Bergstrom  
288 value of  $7.5 \text{ m}^2 \text{g}^{-1}$  for consistency with earlier work and instrument software.  
289 These values are assumed to vary inversely with wavelength, with an Angstrom  
290 (power) exponent of 1; for example, the 660 nm CAPS  $\text{PM}_{\text{SSA}}$  monitor data were  
291 processed with a MAC of  $7.5 \text{ m}^2 \text{g}^{-1} \times (550 \text{ nm} / 660 \text{ nm})^1 = 6.5 \text{ m}^2 \text{g}^{-1}$ .

292 One eBC technique, the CAPS  $\text{PM}_{\text{SSA}}$  monitor (Aerodyne Research Inc.; Onasch et al.,  
293 2015) derives absorption coefficients as the difference between measured aerosol  
294 extinction and scattering coefficients, from which eBC concentrations were  
295 calculated as described above. The CAPS  $\text{PM}_{\text{SSA}}$  measures light extinction by the  
296 calibration-free cavity attenuation phase shift (CAPS) technique and light  
297 scattering with an integrating nephelometer. The CAPS technique measures the  
298 lifetime of photons in a high-finesse optical cavity comprised of two high  
299 reflectivity mirrors, from which the extinction coefficient can be calculated. An  
300 integrating nephelometer captures light scattered from a section of this cavity, and  
301 is calibrated using the measured extinction of small (Rayleigh regime) non-  
302 absorbing particles. In this study, two CAPS  $\text{PM}_{\text{SSA}}$  monitors were present, one  
303 operated at 630 nm wavelength by ARI and the other at 660 nm wavelength by

304 NRC. The scattering channel of the NRC CAPS PM<sub>SSA</sub> was calibrated on-site using  
305 nebulized and dried ammonium sulfate particles; the other instruments were  
306 similarly calibrated prior to the campaign at the manufacturer using 200 nm  
307 ammonium sulfate. For the sub-200 nm particles measured in this study, no  
308 truncation corrections (Modini et al., 2021) were necessary.

309 Two other eBC instruments were based on photoacoustic spectroscopy, namely  
310 the Photoacoustic Extinctionmeter (PAX, DMT Inc., Nakayama et al., 2015) and the  
311 Micro Soot Sensor (MSS; AVL GmbH; Schindler et al., 2004). In both of these  
312 instruments, aerosol absorption is measured by the periodic heating of particles  
313 using a modulated laser, resulting in the generation of pressure waves which are  
314 amplified by an acoustic cell and detected by a microphone. The PAX was  
315 calibrated using nebulized ammonium sulfate as well as graphitic nanoparticles  
316 (Aquadag).

317 During on-site calibration of the PAX using graphitic Aquadag nanoparticles, the  
318 PAX signals were observed to drift slowly upwards after each baseline. We were  
319 nevertheless able to obtain useful data by configuring the PAX to auto-baseline  
320 every 180 seconds, and only using the first 15 seconds of measurements after each  
321 baseline. After the campaign, it was found that a component of the circuit board  
322 was damaged during the initial shipment. In spite of this electrical problem, the  
323 PAX data do not represent outliers in the following analysis.

324 Two additional pairs of eBC instruments were deployed at the ground site and on-  
325 board the NASA DC-8 that measured aerosol absorption coefficients based on filter  
326 attenuation, namely a Particle Soot Absorption Photometer (PSAP, Radiance  
327 Research; Bond et al., 1999) and Tricolor Absorption Photometer (TAP, Brechtel  
328 Manufacturing Inc., ; Ogren et al., 2017). These instruments were designed as low-  
329 cost, low-maintenance devices for monitoring aerosol optical properties in the  
330 background atmosphere (i.e., at low concentrations) and have been used  
331 previously in airborne and ground-based studies (Moore et al., 2017). In these  
332 instruments, particles are continuously collected onto an internal filter while its  
333 light attenuation is measured. The change in light attenuation over time is used to  
334 calculate absorption coefficients. This calculation requires post-processing to

335 correct for filter loading effects (which do not require independent measurements)  
336 and may also be corrected for light attenuation due to scattering rather than  
337 absorption (which requires an independent nephelometer measurement)  
338 (Virkkula, 2010). Other sources of error include nonlinearities due to size-  
339 dependent penetration of particles into the filter media and the evaporation of  
340 volatile species over time (Lack et al., 2014; Nakayama et al., 2010). We note that  
341 the TAP automatically advances its filter when its transmission drops below 80%,  
342 whereas the PSAP requires a manual filter change. The PSAP filter was therefore  
343 changed manually before each set of experiments herein, to ensure that its filter  
344 transmission remained above 80% during all measurements.

345 Three Artium LII 300 (Artium Technologies) instruments measured rBC, based on  
346 two-colour pulsed laser-induced incandescence (LII) (Snelling et al., 2005). These  
347 instruments heat nvPM using a 1064 nm pulsed laser and measure the resulting  
348 incandescence at two wavelength bands. From this measurement, rBC temperature  
349 and mass concentrations can be calculated. One of the LII 300s was a component of  
350 the NARS. Of the other two, one was dedicated to an experiment where its  
351 operating conditions were varied (Section 4.6). Therefore, only two LII 300s were  
352 measuring real-time nvPM mass concentration simultaneously at any given time.  
353 The MSS+ and the LII 300s were calibrated by reference to the elemental carbon  
354 mass (defined by thermal-optical analysis) produced by a laboratory diffusion-  
355 flame combustion aerosol source using measurements at three mass  
356 concentrations spanning 0.1 to 0.5 mg m<sup>-3</sup> (SAE, 2018).

357 Finally, the SMPS PSDs were converted to equivalent mass concentrations by the  
358 integrated PSD approach, described in detail by Momenimovahed and Olfert  
359 (2015). In brief, the equivalent mass of each SMPS-reported mobility diameter was  
360 calculated using an effective density of 1000 kg m<sup>-3</sup>, which has been shown to  
361 produce better than 20% accuracy relative to more complete, size-resolved  
362 effective densities (Durdina et al., 2014).

### 363 3.4 Data analysis

#### 364 3.4.1 Emission index calculations

365 The raw data were analysed over comparable time intervals and cross-  
366 checked by independent calculations. The general analysis proceeded as described  
367 in this section. First, the time series of measured CO<sub>2</sub> concentrations was used as a  
368 reference against which to synchronize all time series, based on rapid rises and  
369 falls in the observed concentrations (measured at 1 Hz) when the engine thrust  
370 condition underwent large changes (as shown at 08:00 in Figure 2). All  
371 instruments were synchronized against the NASA CO<sub>2</sub> sensor except the  
372 instruments in container 2, which was synchronized against the MST LI-COR CO<sub>2</sub>  
373 sensor, because of the additional dilution stage. The time synchronization  
374 accounted for different lag times due to differences in the response times and clock  
375 accuracy of each instrument.

376 Second, the CO<sub>2</sub> concentrations [CO<sub>2</sub>] were baseline-subtracted and filtered as  
377 follows. The CO<sub>2</sub> baseline ([CO<sub>2</sub>]<sub>b</sub>) was calculated as the mean of the CO<sub>2</sub>  
378 concentrations measured before ([CO<sub>2</sub>]<sub>0</sub>) and after ([CO<sub>2</sub>]<sub>1</sub>) each test. The  
379 uncertainty in this baseline value was calculated as either ([CO<sub>2</sub>]<sub>b</sub> – [CO<sub>2</sub>]<sub>0</sub>) or  
380 ([CO<sub>2</sub>]<sub>b</sub> – [CO<sub>2</sub>]<sub>1</sub>), whichever was greater.

381 Due to the prevailing crosswind mentioned above, unstable CO<sub>2</sub> concentrations  
382 occurred during some test points at the idle engine thrust condition. These  
383 unstable conditions were identified and filtered using two separate methods. In  
384 the first method, the SMPS PSDs were inspected for reproducibility. In the second  
385 method, an algorithm was used to reject any test points with CO<sub>2</sub> uncertainties  
386 greater than 50%, CO<sub>2</sub> signals less than a factor of ten greater than uncertainty, or  
387 CO<sub>2</sub> signals less than 20% above baseline. We found that the first method rejected  
388 all of the points rejected by the algorithm, in addition to a few additional points.  
389 The analysis presented uses the first method.

390 Third, all data were arithmetically averaged over the test point periods defined in  
391 Table S1. For each instrument, the averaging periods were refined by inspection of  
392 the data since sampling-line residence times varied. The averaged data were

typically at 1 Hz sampling frequency initially, although the SMPS instruments measured PSDs at 45 second intervals (NRC instrument) or 30 second intervals (NASA). Emission indices (EIs) were then calculated from the averaged data following (SAE, 2013):

$$EI_m = PM_m \frac{RT_m}{[CO_2](M_c + \alpha M_H)P_m} \quad (1)$$

$$EI_{num} = PN \times 10^6 \frac{RT_m}{[CO_2](M_c + \alpha M_H)P_m} \quad (2)$$

Where  $EI_m$  and  $EI_{num}$  are mass and number-based EIs, respectively;  $PM_m$  and  $PN$  are mass and number concentrations, respectively, at standard reference temperature ( $T_m$ ; 273.15 K) and pressure ( $P_m$ ; 1 atm);  $\alpha$  is the hydrogen to carbon ratio of the fuel;  $M_c$  and  $M_H$  are the molar masses of carbon and hydrogen, respectively; and  $R$  is the ideal gas constant ( $0.082 \text{ L.atm.K}^{-1}.\text{mol}^{-1}$ ).

### 3.4.2 Penetration correction

Particles may be lost to the walls of sampling lines or to deposits on those walls. The fraction of particles penetrating a given system varies with size, according to a characteristic penetration function. Four penetration functions were applied in this study: 1) from the probe to the sampling plenum, 2) from the plenum to the NARS, 3) within the TD, and 4) within the CS (Figure 4). Function 1 was measured on site as described below. Function 2 was calculated using the standard equations for line penetration, as detailed in the loss calculation methodologies provided in SAE documents AIR6504 (SAE, 2017) and ARP6481 (SAE, 2019). Function 2 was adapted slightly for each instrument in the NARS due to the relatively small additional losses in the sampling lines of each instrument. Function 3 was experimentally determined in the laboratory by NASA. Function 4 was obtained from theoretical estimates and experimental measurements (Catalytic Stripper manual, 2014).

Penetration function 1 (probe-to-plenum penetration) was measured experimentally by nebulizing ammonium sulfate particles at the probe while all

instruments were sampling and all heated lines had reached thermal equilibrium. (Function 1 therefore also includes the smaller instrument sampling lines downstream of the plenum in its correction as well; however, these were considered negligible relative to the longer probe-to-plenum and plenum-to-Container-2 transport lengths.) For this measurement, the NRC SMPS was moved to the probe, while the NASA instrument remained in its standard position. The ratio of the NASA to NRC PSDs (GMD 30 nm, GSD 1.7) then provided a first estimate of the penetration function. However, this first estimate was not accurate, as the measurements were performed on a cold day (measured as approximately 5 °C outdoors and 15 °C in the instrument container) and as it does not account for performance differences between the NASA and NRC SMPSs. Therefore, two corrections were made. First, both measurements were corrected to standard temperature and pressure. Second, differences between the two instruments were directly measured by moving the NRC SMPS just outside of the sampling container (to keep it at 5 °C) and connecting it to an identical sampling line as the NASA SMPS. The ratio of the two measured PSDs in this setup was defined as equal to unity at all sizes, and used to correct the initial penetration function. Therefore, no further correction was made for sampling lines in Container 1. Losses in this additional line were negligible (calculated penetrations of 0.997 at 100 nm and 0.98 at 20 nm) relative to the long NARS line to Container 2 (i.e., Function 2).

All reported data were corrected using penetration functions. Size-resolved data (SMPS PSDs) were corrected using the size-resolved penetration functions shown in Figure 4. Size-integrated data were corrected using either number-based (for the APC) or mass-based (for all other instruments). The number-based line loss corrections were calculated as the ratio of the corrected to uncorrected PSDs. The mass-based corrections were calculated using the corresponding ratio of PVDs. Correction factors for each test point are given in the Data Availability section.

### 3.5 *Uncertainties*

All reported uncertainties and error bars represent standard errors, propagated through the calculation as necessary. When two independent sources

of uncertainty were available (for example, the standard error in the 10 second averages of  $[\text{CO}_2]$  and the uncertainty in the baseline value) they were added in quadrature. Our bottom-up calculations of uncertainty can be compared with the spread of the data points in our EI comparisons below. This spread represents a top-down uncertainty, and is similar in magnitude to the bottom-up uncertainties (i.e. error bars). This similarity lends confidence to our uncertainty estimates. In most figures, error bars have generally been omitted for clarity, but uncertainties are given for each instrument at each test point in Table S1.

## 4 Results and discussion

### 4.1 Experiment overview

A typical time series obtained when the emissions from the IAE V2527-A5 engine were sampled is shown in Figure 2. Nominal low-pressure jet-engine primary fan speeds (N1), expressed as a percentage of maximum continuous thrust, are shown by the labels at the top of the figure. Percent N1 (along with engine fuel flow rate) is another metric for representing the different engine thrust conditions and is used as a primary independent variable in this study. The  $\text{CO}_2$  concentrations (red line) were highly variable at  $\text{N1} = 23\%$  as the ambient wind shifted the aircraft exhaust plume toward and away from the sampling probe. Correspondingly, both nvPM mass and PSD measurements were highly variable, as shown by the blue trace and black symbols, respectively.

As shown in Figure 3a, nvPM mass concentrations, represented by  $\text{EI}_m$ , increased with increasing N1 before decreasing slightly at the highest N1, similar to the trends for other engine types reported by Lobo et al. (2015b, 2020). Figure 3b shows that the relationship for  $\text{EI}_{\text{num}}$  is less clear, with a slight increase at moderate N1 followed by a greater decrease at high N1. As discussed below (Section 4.2.3), the higher  $\text{EI}_m$  at higher N1 thrust was associated with larger particle sizes, and therefore smaller penetration-function corrections (Section 4.2.1). Finally, for context, Figure 3c shows the relationship of the PM mass concentrations, used to calculate  $\text{EI}_m$  (based on the geometric mean  $\text{EI}_m$  discussed in Section 4.4.1), with baseline-subtracted  $\text{CO}_2$  concentrations from the measured



plume. An effect of fuel composition is evident, and discussed in detail in Schripp et al., (2022).

## *4.2 Size distributions and penetration functions*

### *4.2.1 Penetration functions*

A typical PSD, and corresponding PVD, are shown in Figure 4, in the context of the penetration functions applied in this work. The PVD was calculated by assuming spherical particles, which incurs negligible error for aircraft-engine nvPM due to the small diameter of particles produced by such engines (Durdina et al., 2014; Saffaripour et al., 2020). For the example PSD and PVD in Figure 4 (shading), it is clear that a substantial fraction of the particle number was corrected for penetrations (lines) of roughly 0.5. In contrast, the larger mode of the PVD corresponds to penetrations larger than 0.8 in most cases. These differences led to a median number- and mass-based correction factors of 1.51 and 1.19, respectively for penetration Function 1 (probe to plenum) labelled in the figure. The remaining instrument-specific penetration corrections were applied according to the position of each instrument in the sampling system, as specified in Table 2. The magnitude of each correction is given in Table S1.

### *4.2.2 PSDs*

Figure 5 shows selected PSDs from the IAE V2527-A5 engine operated with SAJF1 (Figure 5a) and REF4 (Figure 5b) fuels. The PSDs are corrected for line penetration as described above. The plot illustrates a lower (40 % N1) and a higher thrust point (60 % N1) from the available data for two fuels. Note that the ordinate scales are harmonized across the upper and lower rows only. All abscissa scales are harmonized. The figure indicates roughly comparable PSDs from these two fuels. The companion paper (Schripp et al., 2022) compares the effects of fuel composition in detail.

The CFM56-2C1 engine on the DC-8 burning JP-8 emitted an order of magnitude more total particles per unit fuel burned than any of the fuels combusted in the

513 ATRA (data not shown). We attribute this difference to the relatively high sulfur  
514 content of the JP-8 fuel (1490 ppm sulfur versus  $\leq 105$  ppm for the other fuels).  
515 The CFM56-2C1 engine also emitted a factor of three lower nvPM mass and nvPM  
516 number than the V2527-A5 engine.

517 The presence of extremely small particles with  $d_m < 10\text{nm}$  was evident in the two  
518 nvPM PSDs (not shown due to the extremely large penetration function at these  
519 sizes; Figure 4). The CS-SMPS data extended to smaller diameters, and showed that  
520 the size range measured by these two instruments was insufficient to capture the  
521 full PSD for the CFM56-2C1 engine data at 22% N1 as well as 63% N1. The  $d_m <$   
522 10nm mode was not as prominent in the V2527-A5 engine exhaust at any thrust,  
523 although some evidence was observed for it (e.g. number distribution at 40% N1 in  
524 Figure 5b). Our data do not allow us to identify whether these small particles were  
525 non-volatile or represent an imperfect performance of the CS and TD.

526  
527 There is some evidence for an increase in SMPS-calculated volume at larger  
528 particle sizes in Figure 5a, at both 40% and 60% N1. If these large particles  
529 indicated the presence of a large aerosol mode which varied independently from  
530 the primary mode (e.g. if they were emitted by some other process than the engine  
531 itself), they would introduce a  $EI_m$ -dependent bias in the ratio of SMPS-based  $EI_m$   
532 to other instruments, which was not observed (Section 4.4.2).

533  
534 Since the CFM56-2C1-with-JP-8 data were strongly influenced by a nucleation  
535 mode, and were therefore not well described by the GMD and GSD of the data,  
536 these measurements have been omitted from all subsequent PSD analysis in this  
537 manuscript. Bimodal fits to the data were not possible as the nucleation mode was  
538 not captured by our size distributions. However, the nvPM mass measurements  
539 are much less sensitive to these small particles (Hinds, 1999) and have therefore  
540 been retained. PSDs from all instruments, test points, and fuels from both the  
541 CFM56-2C1 and V2527-A5 engines are included in the Data Availability section.

#### 542 4.2.3 Particle size statistics; GMD and GSD

543 Figure 6 summarizes the PSDs measured by three instruments in terms of their  
544 GMD and GSD. The data sets labelled SMPS and TD-SMPS were both obtained from  
545 NASA's SMPS, which was manually switched to a bypass line and the TD at each  
546 test point. The data set labelled CS-SMPS was obtained with NRC's SMPS.

547 Total PM is represented by the data sets labelled DMS500 and SMPS. However, the  
548 two are not directly comparable because the DMS500 measurements were  
549 obtained after an additional dilution by a factor of 4 in the NARS and the DMS500  
550 was not operated behind a volatile particle remover (CS or TD). Moreover, the  
551 inversion of DMS500 data requires more assumptions about the particle size  
552 distribution than the analogous SMPS calculation. Either volatiles or this inversion  
553 procedure may have caused the 10% larger GSDs observed for the DMS500 for  
554 some data (some measurements with GMDs over 35 nm) relative to the SMPS.  
555 Since volatiles would affect both GMD and GSD, but we primarily observed  
556 discrepancies in the DMS500 GSD, we suggest that the inversion was the major  
557 source of bias in these data.

558  
559 nvPM is represented by the open circles and filled squares in Figure 6. These two  
560 data sets show a different relationship (slope) between GMD and GSD, reflecting  
561 systematic differences in the corresponding PSDs. Relative to the mean of the two  
562 instruments, the NRC GMDs were higher (Figure 7a) while the NRC GSDs were  
563 higher at  $GSD < 1.75$  but lower at  $GSD > 1.75$  (Figure 7b). Inspection of the  
564 corresponding PSDs showed that the NASA and NRC instruments agreed at higher  
565  $d_m$  but that NRC number concentrations were higher at smaller  $d_m$ . This trend  
566 suggests that a bias in the penetration functions applied to each instrument  
567 (Figure 4, Table 2) led to the discrepancy in GMD and GSD. Such a bias would affect  
568 the nvPM concentration estimated from these PSDs (Figure 8b) and will be  
569 discussed further below.

570 In spite of these trends in GMD and GSD, the PSD measurements agreed to within  
571 20% (Figure 7a) for nvPM GMDs and within 5% for nvPM GSDs (Figure 7b).  
572 Furthermore, these measurements are consistent with previous measurements by

Lobo et al. (2015c), as illustrated by the line in Figure 6, which reproduces the polynomial best-fit line reported by those authors.

### *4.3 Consistency between number-based emission indices of nvPM and vPM*

Figure 7c compares the measured vPM and nvPM  $El_{num}$  with the mean nvPM  $El_{num}$  (i.e., mean of the NRC CS-SMPS, NASA TD-SMPS, and NARS APC. The grey shading shows that all instruments agreed to within a factor of 2. The APC and DMS500 nvPM  $El_{num}$  were both typically higher than the two similar SMPSs. Substantial variability between the two SMPSs was also observed.

In Figure 7c, the penetration-corrected APC  $El_{num}$  are approximately 50% larger than the SMPS  $El_{num}$  under all conditions. Our measured PSDs rule out the possibility that 50% of particles were not seen by the SMPS. Therefore, we attribute the difference between APC and SMPS results to uncertainties in the APC or SMPS penetration correction functions (Figure 4), i.e., we hypothesize that this difference would not have been observed had the instruments all sampled from the same plenum from comparable sampling lines.

We also attribute the larger nvPM  $El_{num}$  measured by the DMS500 to the same cause; to which a similar penetration function as the APC applies (Section 3.4.2). We note that the DMS500 measured total PN, not nvPN, so is expected to report higher number concentrations when volatile particles are present.

### *4.4 Consistency between mass-based emission indices*

#### *4.4.1 $El_m$ measurements by real-time sampling instruments*

Figure 8a presents scatterplots of the real-time  $El_m$  measurements acquired during this study for all fuels and both engine types. In Figure 8a, the individual  $El_m$  are plotted against the geometric mean of the instruments shown in the caption: three LII 300 instruments, two CAPS instruments, one PAX and one MSS+. The geometric mean was chosen over the more-common arithmetic mean because the data are not normally distributed; the arithmetic mean would therefore have over-

emphasized outliers.

Figure 9a presents the same data as Figure 8a except that the ordinate data have been normalized to the geometric-mean  $El_m$  from Figure 8a. Most data fall within 30 % of the mean (inner dashed lines) above 100 mg / kg<sub>fuel</sub>. We note that exhaust samples were diluted with background air by a factor of 40 or more before reaching the inlet probe, so at this lower limit, the actual concentration observed by the instruments was approximately 10  $\mu\text{g m}^{-3}$  (the exact conversion factor varies with CO<sub>2</sub> concentration and fuel properties), which is close to their detection limits, as expected. This lower limit may have been influenced by the ambient measurement conditions, where background nvPM concentrations were non-negligible.

The agreement of the real-time measurements to within 30 % is larger than the calibration uncertainties of the individual instruments, and suggests an influence of systematic biases (e.g. in instrument calibration or penetration corrections). There is no evidence of systematic differences between absorption and LII measurements, which might have been hypothesized if coatings of volatile PM on the light-absorbing nvPM had enhanced absorption. The larger scatter at lower  $El_m$  values reflects the noise levels of the instruments. Both of these observations are consistent with data reported previously for different engine types by Lobo et al. (2016, 2020). The LII 300 and MSS+ from the North American Reference System (NARS) have been widely used to characterize aircraft engine nvPM emissions. The two CAPS instruments were independently calibrated and operated. The MSS+ and PAX represent two photoacoustic spectrometers from different manufacturers, operated by different teams, with different principles of calibration. The PAX was also operated with a damaged capacitor on its printed circuit board. As noted in Methods, these instruments operate on a variety of physical principles, including photoacoustic spectroscopy (with two different designs), extinction-minus-scattering, and laser-induced incandescence (cf. Section 3.3.3). Agreement between these various principles also suggests that factors such as volatile coatings on nvPM did not influence the instrument responses.

#### 632 4.4.2 SMPS-based $El_m$

633 Figure 8b and Figure 9b are analogous to Figure 8a and Figure 9a, but for the  
634 integrative nvPM measurements that do not fall into the real-time sampling  
635 category. These data are plotted against the same geometric mean from Figure 8a.  
636 The dashed lines in Figure 9b represent the same ratios as in Figure 9a.  
637 Considering that the real-time instruments in Figure 8a were either calibrated to  
638 aerosol absorption or to aviation nvPM, we consider their accuracy as greater than  
639 the instruments in Figure 8b and consider departures from the 1:1 line as due to  
640 inaccuracy.

641 Most of the instruments in Figure 8b were accurate to within 30% of the reference,  
642 similar to Figure 8a, with the exception of the CS-SMPS and PSAP. This is  
643 summarized in Table 3, which shows the mean ratios of all data except engine idle  
644 (23% N1) with the geometric mean. Table 3 also includes the results of a linear  
645 regression against the geometric mean to facilitate comparison of our  
646 measurements with Kinsey et al. (2021), who performed linear regressions against  
647 simultaneous elemental carbon (EC) measurements (in our study, mass  
648 concentrations were too low to obtain EC measurements). The PSAP data are  
649 discussed in the next section. The CS-SMPS data were systematically higher than  
650 the geometric mean, potentially due to an overcorrection of the penetration of  
651 large particles to the SMPS or due to uncertainty in the effective density that must  
652 be assumed when converting SMPS data to  $El_m$ . As noted in Section 3.3.3, we  
653 assumed an effective density of  $1000 \text{ kg m}^{-3}$  based on the work of Durdina et al.  
654 (2014). Considerable uncertainty could be introduced due to this assumption, as  
655 the effective density of the nvPM particles (Momenimovahed and Olfert, 2015)  
656 may vary with the monomer diameter (Abegglen et al., 2014; Durdina et al., 2014)  
657 and/or shape of soot aggregates. With respect to the real-time measurements, the  
658 TD-SMPS data are also consistent with previous measurements of aviation engine  
659 PSDs, which, however, were not corrected for diffusional particle loss (Lobo et al.,  
660 2015b, 2020).

#### 4.4.3 Filter photometer-based $EI_m$ from TAP and PSAP

Figure 8b and Figure 9b show that the TAP measurements were within the 30 % range observed for the real-time instruments, with a relative standard deviation (RSD) of 14 % (Table 3) for all data excluding the engine idle condition (23% N1). This provides high confidence for the use of the TAP for in-flight or field measurements of aircraft-engine nvPM mass emissions, when filter-loading corrections (Section 3.3.3) are correctly applied.

The PSAP, on the other hand, showed much greater variability, with an RSD of 36% (Table 3). This is substantially higher than the variability reported by a laboratory intercomparison of PSAP and CAPS  $PM_{SSA}$  (Perim De Faria et al., 2021) (that study did not report a statistic comparable to RSD). Although the PSAP has been observed to deviate up to a factor of two higher in cases of high organic aerosol loading or reduced filter transmission (Lack et al., 2013), our data are restricted to transmissions above 0.8. The fact that the PSAP shows great variability rather than a fixed offset indicates that the issue is not due to a systematic error such as an inaccurate MAC or flow rate calibration. We note that the TAP and PSAP were operated with reduced sample flow rates of  $0.05 \text{ L min}^{-1}$  and  $0.1 \text{ L min}^{-1}$ , respectively, (5 to 10% of nominal settings) to extend the life of their filter media while sampling the high soot concentrations in the aircraft exhaust. Under these conditions, detector noise and small fluctuations in sample flow have a magnified effect on resulting derived absorption coefficients. We suspect that the measurements would have been significantly more precise if the instruments had been operated at nominal flows, although this would have required changing filters after each test point. Consistent with our hypothesis, we note that Nakayama et al. (2010) observed substantially larger variability in PSAP measurements at 0.3 than at 0.7 standard litres per minute. We also note that Bond et al. (1999) did not observe an impact of flow rate when changing from 1 to 2 litres per minute.

Figure 10 plots as a function of particle GMD the same relative TAP and PSAP  $EI_m$  data shown in Figure 9b. No clear trend of this ratio with size is evident, although the measurements become somewhat more scattered at smaller sizes for the SAF1

data set, where signal to noise is lower (GMD and  $El_m$  were correlated, see the below discussion of Figure 12). Figure 10b includes the size-dependent PSAP correction function reported by Nakayama et al. (2010) (their Equation 8), with shading representing a  $1\sigma$  uncertainty. Those authors predicted the true absorption values using Mie theory for nigrosin particles of diameter 100 to 600 nm and refractive index  $1.685 - 0.285i$ . Thus, their correction factor is conceptually equivalent to our  $El_m/\text{mean-}El_m$ . Extrapolating their correction function down from 100 nm to 15 nm gives values ranging from 4 to 8, whereas our measurements are close to 1.0. This discrepancy may be attributed primarily to the extrapolation, and possibly also to the fact that we have measured solid nvPM particles rather than liquid nigrosin. Overall, it is clear that the variability in our PSAP data is not sufficiently predicted by the GMD.

Overall, our data show that any possible size dependency in the TAP and PSAP response is smaller than the observed variability between samples. The TAP and PSAP data exhibit relative standard deviations (RSD) of 19% and 16%, respectively, for samples with GMD > 25 nm. Future studies may consider correcting PSAP and TAP measurements by the ratios shown in Table 3, if they are operated at similarly modified flow rates. The ratios in Table 3 represent the ratio between the calibrated aerosol-phase nvPM mass measurements and the previously uncalibrated PSAP and TAP measurements, for data above  $25 \text{ mg kg}_{\text{fuel}}^{-1}$  and N1 > 40%, and for respective flow rates at 5% and 10% of the nominal values.

#### 4.5 Instrument performance for fuels with different composition

Figure 11 shows a category plot of the ratio  $El_m/\text{mean-}El_m$  (that is, the ordinate of Figure 9) for the different instruments. Data below  $100 \text{ mg / kg}_{\text{fuel}}$  have been excluded as this ratio reflects only noise in that region (Figure 9). The symbols have been sized by mean N1. The data have been coded by symbol and colour to reflect the 6 fuels used in this study, although JP-8 measurements are few in number due to the  $El_m$  of the data set (CFM56-2C1 with JP-8) being typically below  $25 \text{ mg / kg}_{\text{fuel}}$ .

Figure 11 shows that no substantial difference can be seen for these instruments



for the nvPM  $El_m$  for fuels with different composition; the spread in the data for a given fuel is larger than the difference between fuels. Outliers tend to be associated with low N1 (small symbols). Because low N1 corresponds to both lower concentrations (lower signal-to-noise) and lower exhaust velocities relative to ambient wind speeds, these outliers are not surprising.

The instruments in Figure 11 show a linear response to nvPM mass and operate on a range of physical principles. This observation indicates that no instrument was uniquely sensitive to changes in particle size over the observed range, since  $El_m$  was correlated with GMD (Figure 12), as is typical of aviation engines (Saffaripour et al., 2020). We note that the response of all of these instruments is proportional to the MAC of the sample, so that it remains possible that the sample MAC changed with GMD or  $El_m$ .

#### *4.6 Influence of LII laser fluence*

An additional experiment was performed to test the hypothesis that the laser fluence of the LII 300 may not be sufficiently high to heat nvPM to incandescence in aircraft-engine PM emissions from SAFs at different engine thrusts. The experimental design was similar to that of Yuan et al. (2022). This hypothesis is related to electron microscopy evidence (Vander Wal et al., 2014) showing that the degree of graphitization of aircraft-engine soot may be substantially lowered at low thrusts. A lower degree of graphitization may result in a lower LII signal if the 1064 nm MAC is lower (resulting in a lower maximum temperature being reached) or if part of the laser energy leads to carbon annealing rather than thermal excitation (Botero et al., 2021; Ugarte, 1992; Vander Wal and Choi, 1999). If correct, this hypothesis would mean that the nvPM concentrations reported by an LII 300 operated at reduced fluence would be lower than those of a reference LII 300. Higher fluences are also required for nvPM internally mixed with volatile PM, as some laser energy may be lost to volatile evaporation (Michelsen et al., 2015).

Figure 13a illustrates the experiment we performed to test this hypothesis. The figure presents data for SAF1 only; results for other fuels were similar. One “reduced-fluence” LII 300 was programmed to change its Q-switch delay from

140  $\mu$ s to 240  $\mu$ s, with a randomized order. In this experiment, lower Q-switch delays corresponded to higher laser fluence; the lowest Q-switch delay was the optimal one for this system. Another “reference” LII 300 operated with no change to its Q-switch delay. Figure 13a shows that the reduced-fluence LII reported lower mass concentrations when its Q-switch delay was increased, but returned to the expected values when its Q-switch delay was reduced.

We defined  $R_{LII}$  as the ratio of nvPM mass concentrations reported by the reduced-fluence and reference LII 300 instruments. Figure 13b shows that  $R_{LII}$  was a function of Q-switch delay, and therefore laser fluence, for all engine thrust conditions. This observation is expected, since LII signals are lower at lower fluence (Michelsen et al., 2015) and since we calculated  $R_{LII}$  without taking this effect into account. We have verified in our laboratory that Q-switch delay is inversely proportional to laser fluence for this system and that saturation effects are negligible.

A trend of decreasing  $R_{LII}$  with decreasing N1 is evident at moderate and low Q-switch delays, which can be interpreted as indicating that the nvPM was more graphitic at higher N1 conditions (Vander Wal et al., 2014; Liati et al., 2014). However,  $R_{LII}$  reached a plateau at high fluence (smaller Q-switch delay), which is the region where the LII 300 normally operates. This plateau was reached at all engine thrusts, with a broader range for the plateau at higher thrusts and a decreasing range as the thrust was lowered. Therefore, the LII 300 has sufficient fluence and can be expected to perform well for SAF blends at all engine thrust conditions.

## 5 Conclusions

For multiple instruments measuring nvPM number, size, and mass, we observed no evidence of anomalous instrument responses to the exhaust emissions produced by SAF blends relative to petroleum jet fuel (REFs) combustion in an IAE V2527-A5 engine. The GMD, GSD, and  $EI_{num}$  data for all fuels fell within 20%, 5%, and a factor of 2 of their mean, respectively. Anomalous instrumental responses would have resulted in two groups of data for these parameters, which was not observed. However, a difference between  $EI_{num}$  for instruments located on

different-length sampling lines was noted and attributed to a greater sensitivity of  $El_{num}$  than  $El_m$  to the penetration function.

The majority of nvPM mass measurements by the real-time instruments (CAPS  $PM_{SSA}$ , LII 300, MSS+, PAX) agreed to within 30% of their geometric mean (reference mean), for  $El_m$  above 100 mg/kg<sub>fuel</sub>. This lower limit corresponded to a mass concentration of approximately 10  $\mu\text{g m}^{-3}$  (the conversion of  $El_m$  to mass varies because the emitted  $[\text{CO}_2]$  varies), which was the noise level of these instruments in our sampling setup. The ratio of each real-time measurement with the reference mean was close to unity (maximally 1.24, minimally 0.78) and indicated good precision (all RSDs  $\leq 17\%$ ).

Integrative nvPM  $El_m$ , calculated from PSD measurements or filter attenuation (TAP and PSAP), fell within a factor of two of the reference mean. The ratio of each integrative measurement with the reference mean was further from unity (maximally 1.50, minimally 0.88) and variability was higher precision (all RSDs  $\leq 36\%$ ). The variability in TAP data was notably low at 14%, and the variability in PSAP data was notably high at 36%, likely due to its operation at a reduced flow rate (as noted, the TAP and PSAP were operated at 5% and 10% of their nominal flow rates, respectively).

Two other instrument- and fuel composition-specific observations were made. A dedicated experiment showed that changing the laser fluence of an LII 300 could influence its reported nvPM mass concentrations at low to moderate fluences. By maintaining sufficiently high fluence a plateau region was established, irrespective of thrust or fuel, where reported nvPM mass concentrations were stable and not influenced by experimental conditions. Second, additional measurements of emissions from JP-8 fuel combusted in a CFM56-2C1 engine indicated the presence of very high concentrations of volatile nucleation-mode particles with diameter  $< 20$  nm. These measurements reflect a different engine, as well as a fuel with a factor 20 higher sulfur content, and the increased total PM number concentration is most likely attributable to the sulfur.

816 Overall, this study found that real-time instruments for the measurement of nvPM  
817 emissions in aviation turbine engines are comparable whether conventional fuels  
818 or SAFs are used. Since all real-time measurements were influenced by the MAC  
819 and no independent measurement of nvPM mass was made, no conclusions about  
820 the variability thereof can be made from this study.

821 *5.1 Author contributions*

822 BEA, PLC, TS, PL, GJS, PDW, and RML designed the study. JCC, TS, PLC, GJS, ECC, SA,  
823 PDW, RML, ZY, AF, MT, DS, WL, CR, PO, MS, and PL took the measurements. JCC, TS,  
824 BEA, RHM, MAS, ECC, SA, ZY analyzed the data with input from GJS, PL, RML, and  
825 AF. JCC prepared the figures. JCC and PL drafted the manuscript. All authors  
826 discussed the data interpretation and presentation.

827 *5.2 Competing interests*

828 RML and AF are employed by Aerodyne Research Inc., which produces the CAPS  
829 PM<sub>SSA</sub> commercially. ZY was employed by Aerodyne Research Inc. at the time of the  
830 study.

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## 5.5 Data availability

The data presented in Figures 4 to 10 are available in the Zenodo repository at <https://doi.org/10.5281/zenodo.5504098> as a spreadsheet file. Other data are available from the authors upon request.

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1118

1119 7 Figures and Tables

1120 Table 1. Properties of the fuels used for the ground-based measurements (fuel  
1121 samples acquired from wing-tank after test).

Property	Method	JP-8	REF3	REF4	SAF1	SAF2	SAF3
Aromatics	ASTM	19.9	18.6	16.5	8.5	9.5	15.2
[vol%]	D1319						
Hydrogen H	ASTM	13.86	13.65	14.08	14.40	14.51	14.04
[mass%]	D7171						
Sulphur, total	ISO	1240	105	5.7	56.8	4.1	58.6
[ppm]	20884						
Naphthalenes	ASTM	1.49	1.17	0.13	0.61	0.05	0.64
[mass%]	D1840						
Smoke point	ASTM	23.0	23.0	27.0	30.0	30.0	28.0
[mm]	D1322						

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Table 2. Instruments used to measure nvPM and key measured properties. All instruments reported data at 1 second intervals except the SMPSSs (45 second intervals for NRC and DLR, 60 seconds for NASA) and filter samplers. Instrument abbreviations are defined in the text.

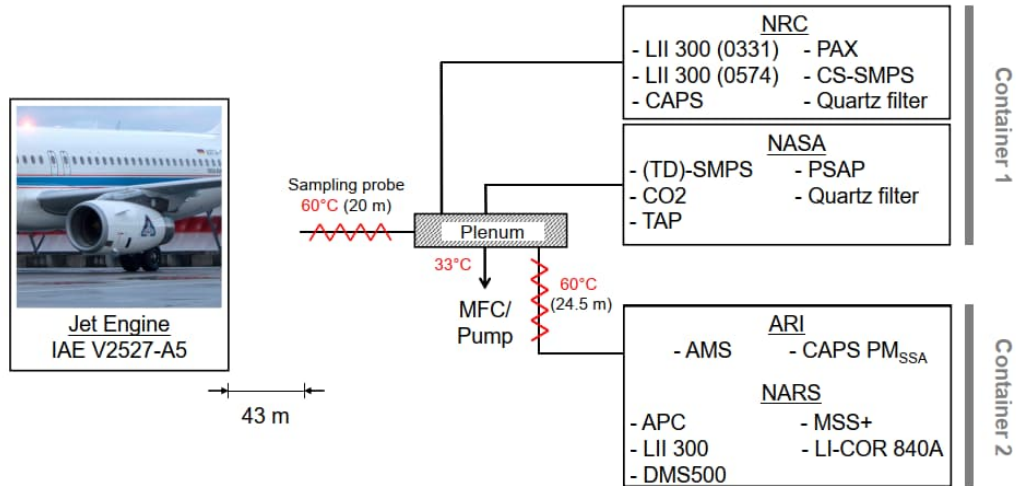
Operator	Instrument	Acronym	Species measured	Sampling duration [s]	Units	Penetration functions <sup>d</sup>
NASA	Particle soot absorption photometer	PSAP	nvPM <sup>a</sup> mass	1	$\mu\text{g m}^{-3}$	1
	Tricolor absorption photometer	TAP	nvPM <sup>a</sup> mass	1	$\mu\text{g m}^{-3}$	1
	Scanning mobility particle sizer	SMPS	Total PSD (10 to 278 nm)	45	particles $\text{cm}^{-3}$ , and $\mu\text{g m}^{-3}$	1
	Thermo-denuder with SMPS	TD-SMPS	nvPM PSD <sup>b</sup> (10 to 278 nm)	45	$\mu\text{g m}^{-3}$	1, 4
NRC	CO <sub>2</sub> sensor	LI-COR 7000	CO <sub>2</sub>	1	ppmv	-
	Cavity-attenuated phase shift PM <sub>SSA</sub> monitor (660 nm)	CAPS (NRC)	nvPM <sup>a</sup> mass	1	$\mu\text{g m}^{-3}$	1
	Photoacoustic extinctionmeter	PAX	nvPM <sup>a</sup> mass	1	$\mu\text{g m}^{-3}$	1
	Laser-induced-incandescence	LII 300 (NRC; 2x)	nvPM <sup>b</sup> mass	1	$\mu\text{g m}^{-3}$	1
	Catalytic stripper SMPS	CS-SMPS	nvPM PSD (8.6 to 278 nm)	45	particles $\text{cm}^{-3}$	1, 3
MST (NARS)	AVL Particle Counter Advanced	APC	nvPM number	1	particles $\text{cm}^{-3}$	1, 2
	Micro Soot Sensor	MSS Plus	nvPM <sup>a</sup> mass	1	$\mu\text{g m}^{-3}$	1, 2
	Laser-induced-incandescence	LII-300 (NARS)	nvPM <sup>c</sup> mass	1	$\mu\text{g m}^{-3}$	1, 2
	CO <sub>2</sub> sensor	LI-COR 840A	CO <sub>2</sub>	1	ppm	-
	Differential mobility spectrometer	DMS500	Total PSD (5 to 1000 nm)	1	particles $\text{cm}^{-3}$	1, 2
ARI	Cavity-attenuated phase shift PM <sub>SSA</sub> monitor (630 nm)	CAPS (ARI)	nvPM <sup>a</sup> mass	1	$\mu\text{g m}^{-3}$	1, 2

1125 <sup>a</sup>nvPM measured via particulate absorption as equivalent BC (eBC). <sup>b</sup>Particle size distribution, here measured with respect to mobility diameter. <sup>c</sup>nvPM measured  
1126 via laser-induced incandescence as refractory BC (rBC). <sup>d</sup>Numbers are indices corresponding to the penetration functions shown in Figure 4.

Table 3. Summary of the ratios between the  $EI_m$  of individual instruments and the geometric mean of the Group 1 (real time) instruments. The corresponding raw data are shown in Figure 11. Regression: linear regression against Group 1 geometric mean weighted by standard deviations, with  $k = 2$  uncertainties from fit. SD: standard deviation. RSD: Relative SD. Group 1: real time instruments. Group 2: integrative instruments.

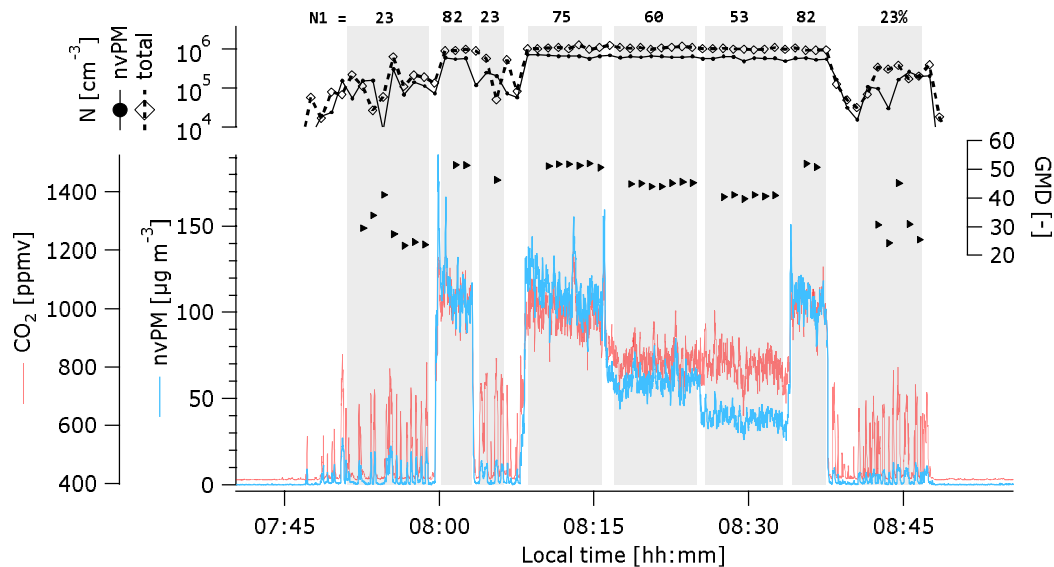
Group	Instrument	$EI_{mass}$ Ratio vs. Group 1			Regression vs. Group 1	
		Mean	SD	RSD [%]	Intercept	Slope
1	CAPS $PM_{SSA}$ ( <i>ARI</i> )	0.84	0.08	10	$12 \pm 19$	$0.8 \pm 0.1$
1	CAPS $PM_{SSA}$ ( <i>NRC</i> )	0.99	0.09	9	$-0.3 \pm 0.8$	$1.01 \pm 0.04$
1	LII ( <i>NARS</i> )	1.24	0.18	15	$27 \pm 6$	$1.03 \pm 0.04$
1	LII ( <i>NRC-0331</i> )	1.07	0.1	9	$-15 \pm 42$	$1.17 \pm 0.16$
1	LII ( <i>NRC-0574</i> )	0.78	0.08	10	$-17.1 \pm 2$	$0.88 \pm 0.08$
1	MSS+	1.07	0.14	13	$17.8 \pm 5$	$0.92 \pm 0.04$
1	PAX	1.06	0.18	17	$-15 \pm 1$	$1.21 \pm 0.02$
2	CS-SMPS	1.50	0.27	18	$12 \pm 22$	$1.02 \pm 0.12$
2	TD-SMPS	1.14	0.26	23	$-5 \pm 1$	$1.47 \pm 0.04$
2	PSAP <sup>a</sup>	0.89	0.32	36	$8 \pm 16$	$0.82 \pm 0.08$
2	TAP <sup>b</sup>	0.88	0.12	14	$6 \pm 6$	$0.75 \pm 0.02$

<sup>a</sup>PSAP operated at 10% of its nominal flow rate. <sup>b</sup>TAP operated at 5% of its nominal flow rate.



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1137 Figure 1. Schematic of sampling configuration behind the DLR ATRA aircraft. The length  
 1138 and flow rate of sampling lines from the manifold to the various instruments varied as  
 1139 described in the text. The NRC and NASA instruments were all placed within Container 1,  
 1140 while the NARS and ARI instruments were placed in Container 2. For simplicity, the figure  
 1141 omits a short heated line connecting the first plenum to the NARS. The ARI instruments  
 1142 were downstream of all NARS instruments except the DMS500 (see Lobo et al., 2016 for  
 1143 detailed NARS diagram). NARS = North American Reference System.



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1145 Figure 2. Illustration of a typical test run. Variation in the  $CO_2$  concentration was not due  
 1146 to instrument noise, as illustrated by the  $CO_2$  measurements prior to and following  
 1147 sampling. A representative nvPM mass instrument is shown by the blue trace. Sizing  
 1148 information (GMD) is shown by the black symbols (triangles: GMD; diamonds with dashed  
 1149 line: total PM number; spheres with solid line: nvPM number measured with the CS-  
 1150 SMPS).

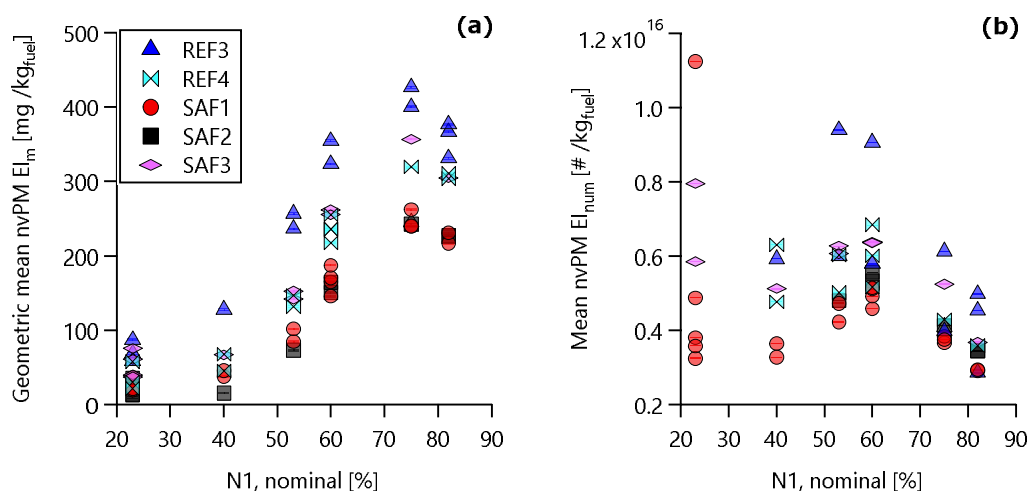


Figure 3. Relationship between nvPM (a) EI<sub>m</sub> and (b) EI<sub>num</sub> with N1 for all data obtained with the V2527-A5 engine. The trends shown in this plot are discussed further in the companion article (Schripp et al., 2022). The ordinate values are the geometric mean discussed in the text.

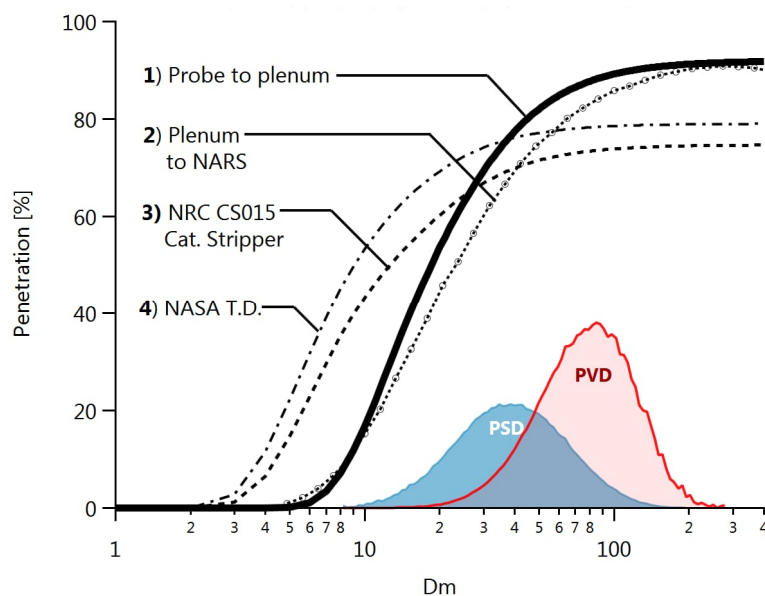
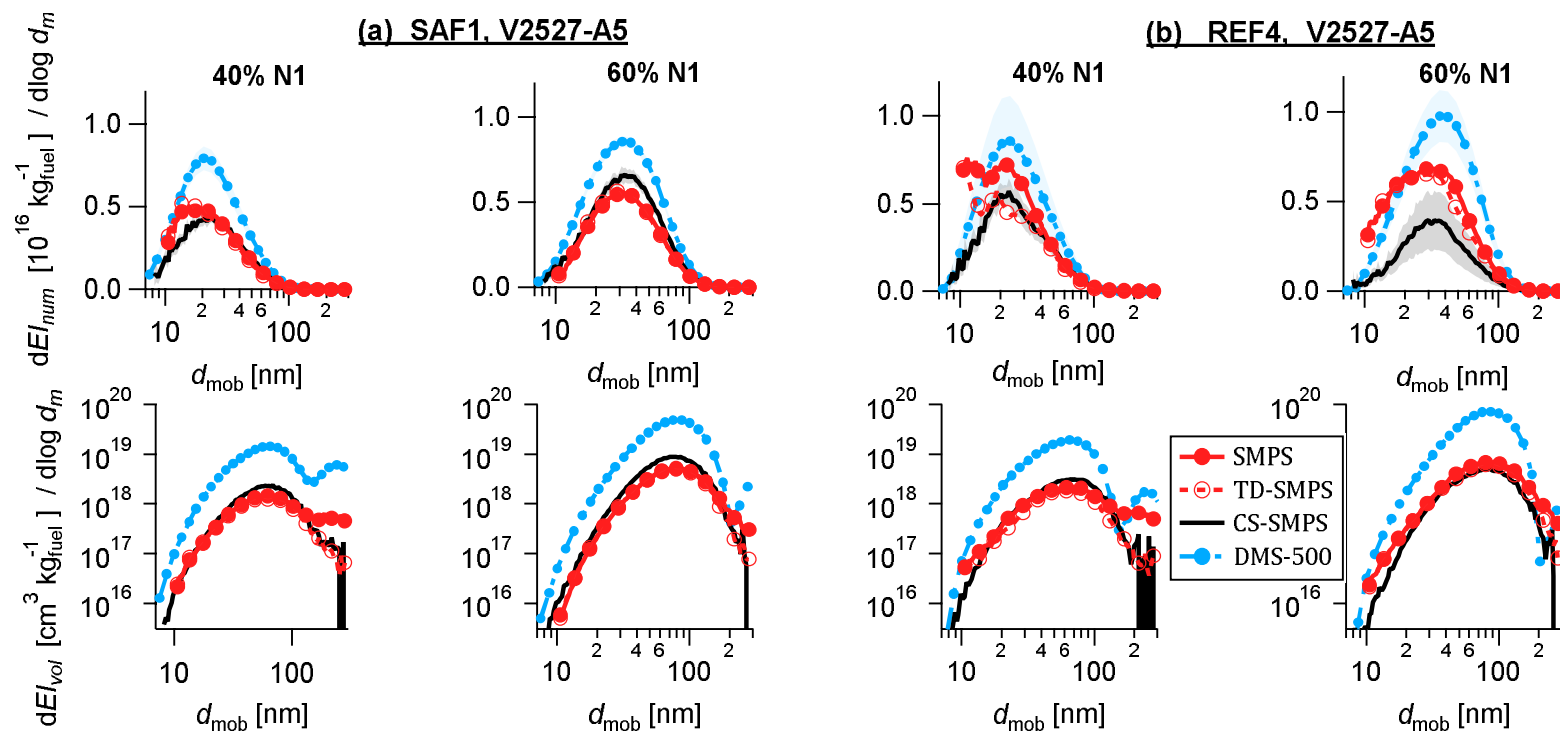


Figure 4. Penetration functions for the main probe-to-plenum sampling line as well as other components in the sampling system. Shaded areas illustrate a representative particle size (PSD) and volume (PVD) distribution measurement with GMD 34 nm and GSD 1.72. PSD data for all test points and instruments are provided in the Data Availability section. NARS: North American Reference System; CS015: Catalytic Stripper; T.D.: thermodenuder.



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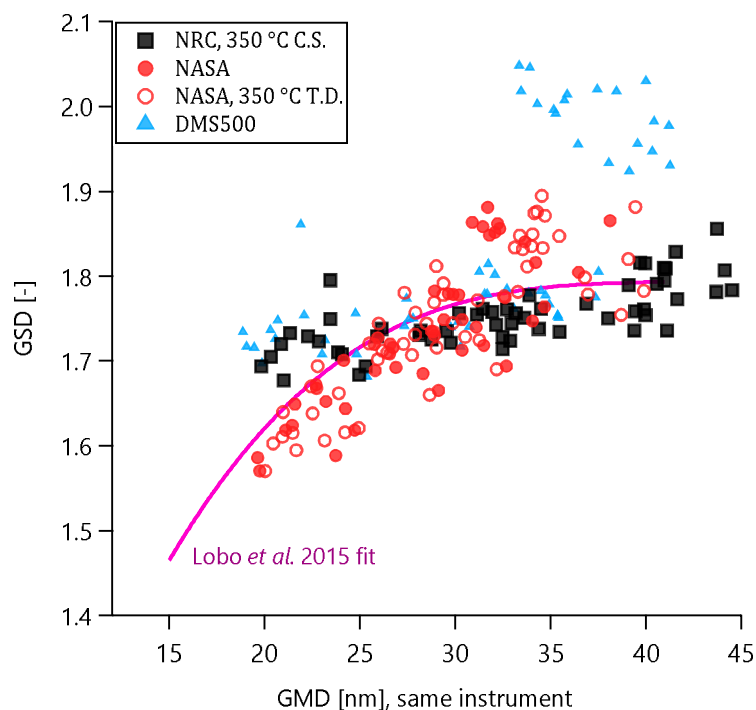
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Figure 5. Selected PSDs illustrating the V2527-A5 engine with (a) SAF1 fuel and (b) REF4 fuel. Each panel shows 60% N1 on the right and a lower N1 on the left: 40% for (a), 60% for (b). Note that the TD-SMPS and CS-SMPS (red open circles and black line) represent nvPM, while the SMPS and DMS500 represent vPM.



1170

1171 Figure 6. GSD versus GMD data as by measured by each particle sizer for all test points.  
 1172 Higher GSDs for the DMS500 correspond to bimodal PSDs (non-volatile and volatile  
 1173 modes). Note that size-dependent particle losses (see penetration functions in Figure 4)  
 1174 may affect both GSD and GMD. Based on Figure 12, the TD-SMPS (NASA) data may be more  
 1175 accurate than the CS-SMPS data (see text). Fit is from Lobo et al. (2015c).

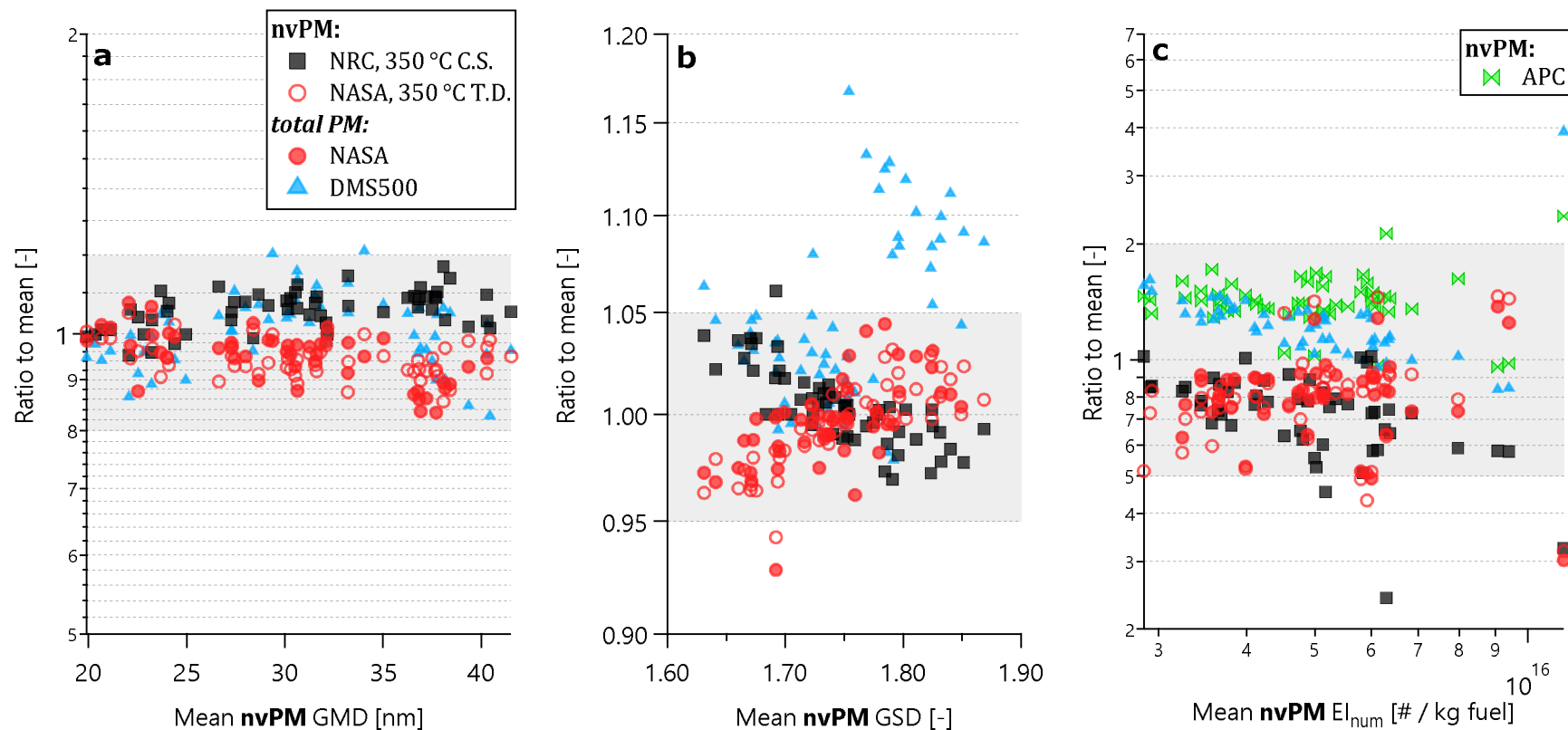


Figure 7. Comparison of size and number measurements in terms of GMD, GSD, and EI<sub>n</sub>. Grey shading shows 20%, 5%, and 200% in GMD, GSD, and EI<sub>n</sub>, respectively. In panels (a) and (b), mean is defined from the CS-SMPS (NRC) and TD-SMPS (NASA) data. In panel (c), the mean additionally includes the APC (NARS) data (the APC is in the NARS and uses a TSI 3790E CPC).

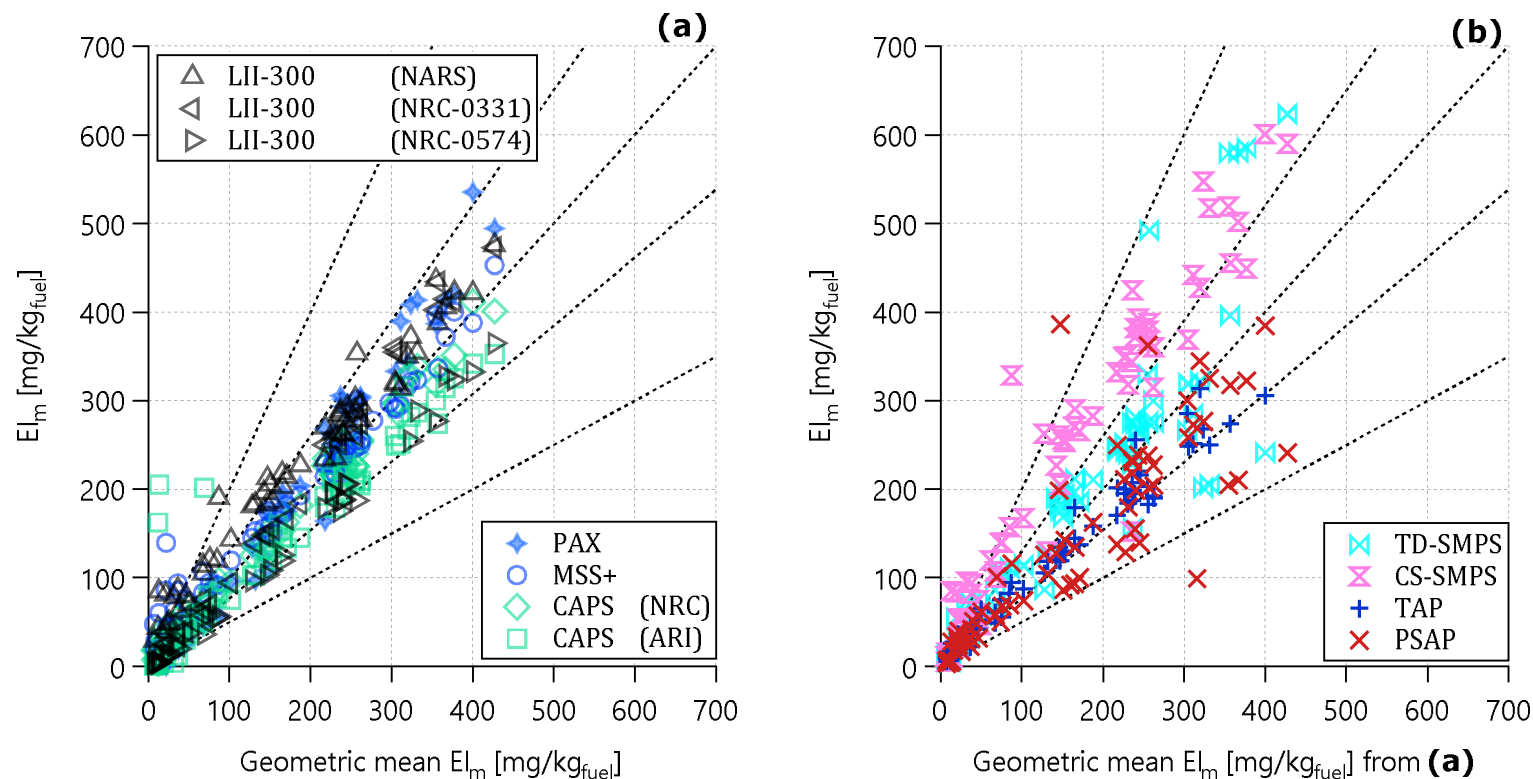


Figure 8.  $EI_m$  scatterplot for (a) real-time and (b) integrative nvPM measurements. The term integrative refers to SMPS measurements (mass concentrations estimated by assuming unit-density spheres) and filter photometer measurements (mass concentrations estimated using standard empirical relationships between light attenuation and light absorption). The abscissa of both panels is the geometric mean of all available data from the 7 real-time sampling instruments plotted in (a). Angled lines illustrate slopes of 2,  $2^{-1}$ , 1.3,  $1.3^{-1}$ , and 1.0.

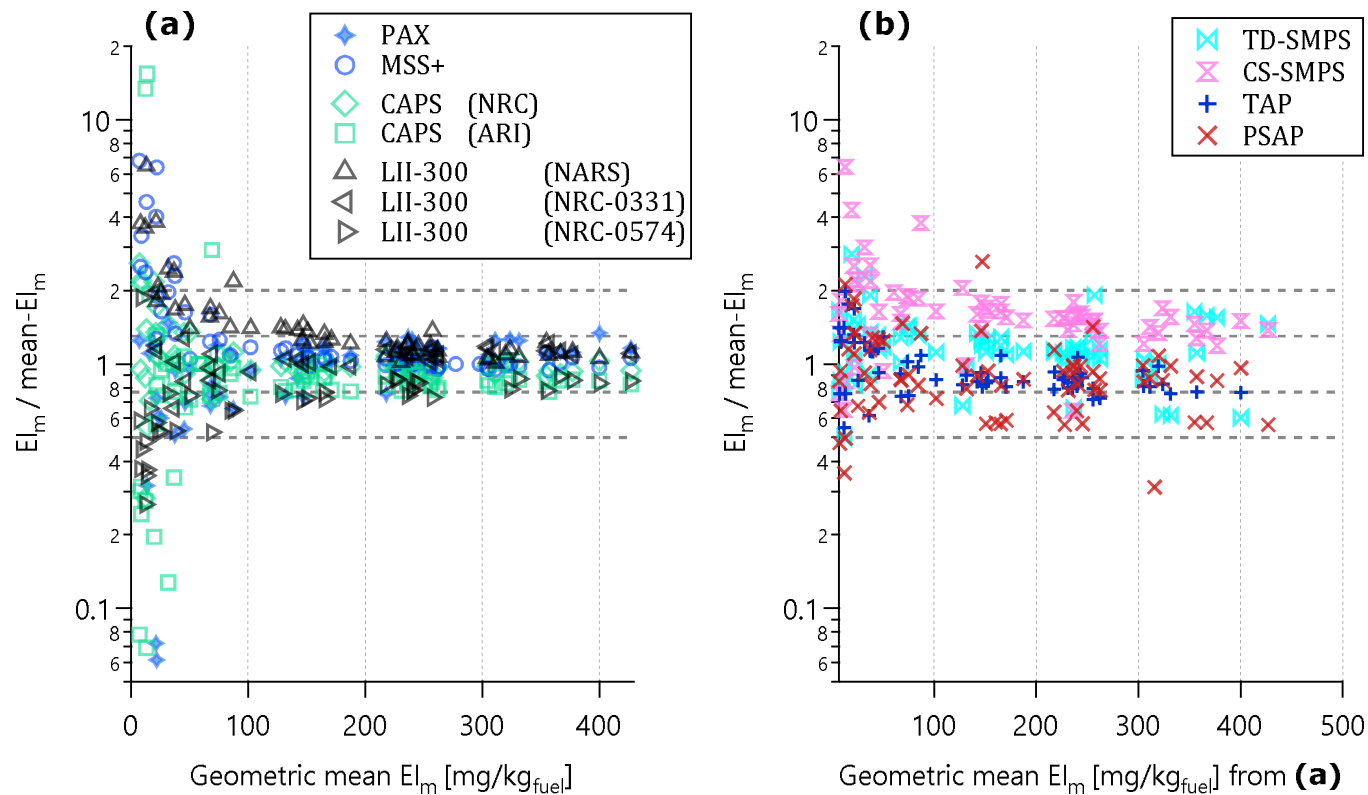
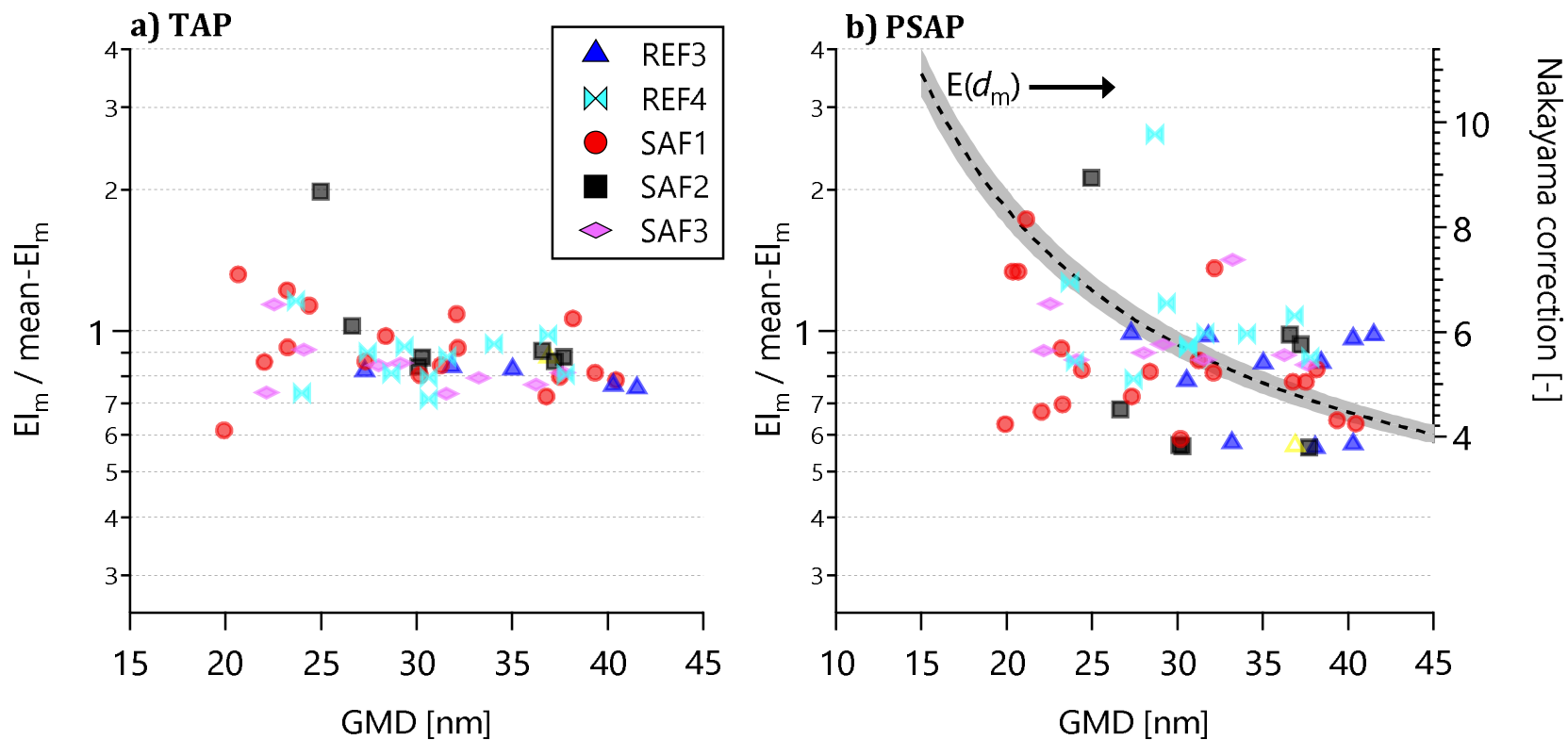
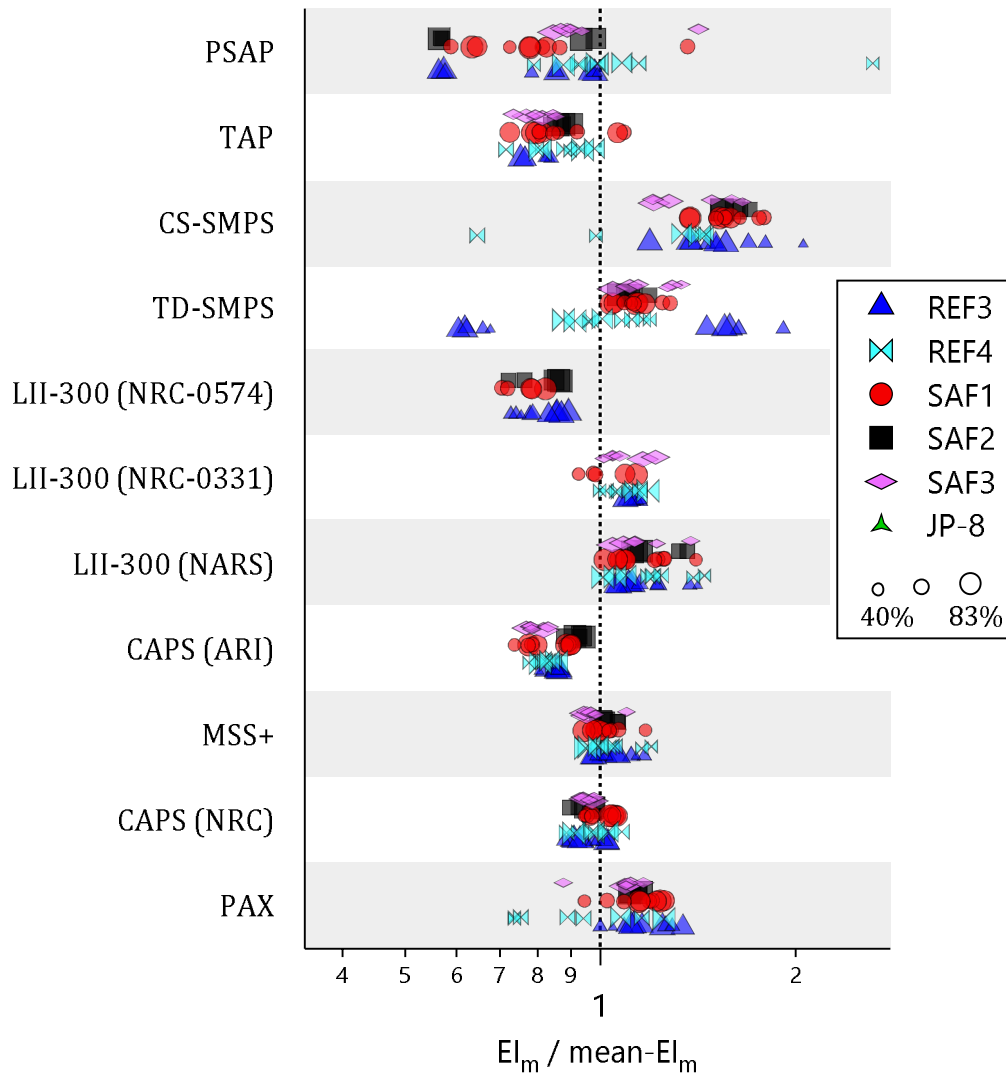


Figure 9. Ratio plots corresponding to Figure 8. The inner and outer horizontal lines show ratios of 2,  $2^{-1}$ , 1.3,  $1.3^{-1}$ , and 1.0. Agreement between the instruments is poorer at  $El_m < 100 \text{ mg/kg}_{\text{fuel}}$ , which corresponds to an approximate concentration of  $10 \mu\text{g m}^{-3}$  (the exact conversion factor varies with  $\text{CO}_2$  concentration and fuel properties) and close to the limit of detection for most instruments.



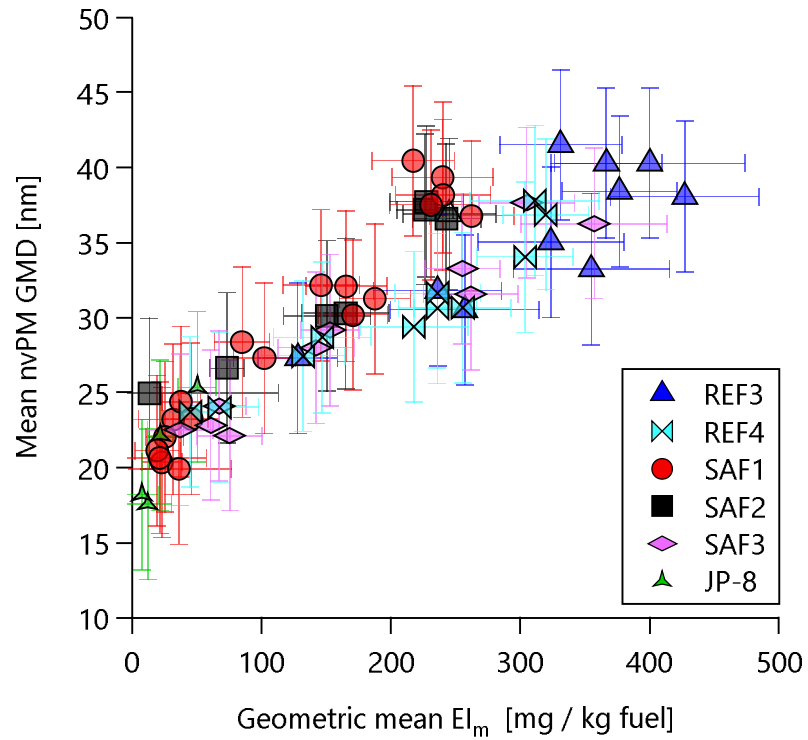
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1191 Figure 10.  $El_m / \text{mean-}El_m$  ratios from Figure 9 for the TAP and PSAP (the filter-based photometers) only, plotted as a function of geometric mean  
 1192 mobility diameter (GMD) to highlight potential size-dependent sensitivities of these instruments. The curve labelled  $E(d_m)$  in b) plots the size-  
 1193 dependent PSAP correction factor given by Nakayama et al. (2010; Eq. 8) with  $1\sigma$  uncertainties shaded. Note that the TAP and PSAP were operated  
 1194 at 5% and 10% of their nominal flow rates, respectively, for all measurements in this study.



1195

1196 Figure 11. Ratios of Figure 9 grouped by fuel. All fuels except JP-8 were combusted in the  
 1197 V2527-A5 engine; JP-8 was combusted in the CFM56-2C1 engine. Shading is to guide the  
 1198 eye. Symbols are sized by N1 thrust. Plot excludes data where  $EI_m < 25 \text{ mg/kg}_{\text{fuel}}$  and N1  
 1199 thrust below 40% to minimize the effects of instrument noise and wind speed,  
 1200 respectively, on the ratios.



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1202 Figure 12. Scatterplot of the mean nvPM GMD within test points against geometric  
 1203 mean nvPM  $EI_m$  from Figure 8a. The correlation with GMD and  $EI_m$  indicates that Figure 9  
 1204 implicitly represented different particle sizes.

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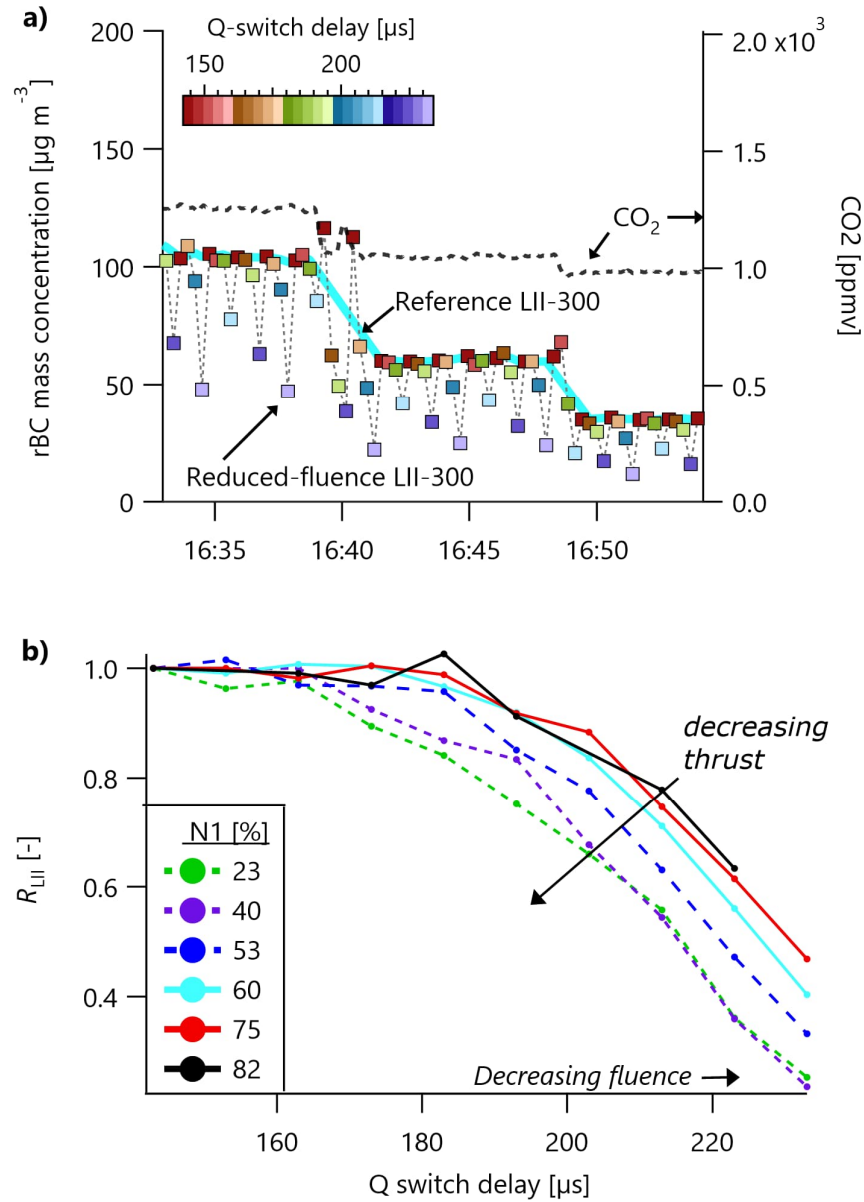


Figure 13. (a) LII 300 experiment time series, where one LII 300 was operated with increased Q-switch delays to reduce its laser fluence (squares) and the other was operated at standard fluence (solid line).  $\text{CO}_2$  data are also shown for context. (b) The ratio  $R_{\text{LII}}$  of the concentration reported by the reduced-fluence LII divided by the reference LII. It is evident from (b) that the standard high-fluence conditions generate data that are independent of N1 thrust, and that moderate- and low-fluence conditions (Q-switch delays greater than about 165 to 185  $\mu\text{s}$ ) display a weak dependence on thrust.