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Variations of Arctic winter ozone from the LIMS Level 3 dataset

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20 **Abstract**

21 The Nimbus 7 limb infrared monitor of the stratosphere (LIMS) instrument operated from
22 October 25, 1978, through May 28, 1979. Its Version 6 (V6) profiles and their Level 3 or zonal
23 Fourier coefficient products have been characterized and archived in 2008 and in 2011,
24 respectively. This paper focuses on the value and use of daily ozone maps from Level 3, based
25 on a gridding of its zonal coefficients. We present maps of V6 ozone on pressure surfaces and
26 compare them with several rocket-borne chemiluminescent ozone measurements that extend into
27 the lower mesosphere. Daily, synoptic maps of V6 ozone and temperature illustrate that they are
28 an important aid in interpreting satellite limb-infrared emission versus local measurements,
29 especially when they occur during dynamically active periods of northern hemisphere winter.
30 We then show a sequence of V6 maps of upper stratospheric ozone, spanning the minor
31 stratospheric warmings of late January and early February 1979. The map sequence of V6
32 geopotential height reveals how ozone was changing in the vortex and at the centers of adjacent
33 anticyclones. We also report on zonal variations of the tertiary ozone maximum of the upper
34 mesosphere and its associated temperature fields during winter. These several examples provide
35 a guide to researchers for further exploratory analyses of middle atmosphere ozone from LIMS.

36

37 **1 Introduction and objectives**

38 The historic Nimbus 7 Limb Infrared Monitor of the Stratosphere (LIMS) experiment provided
39 data on middle atmosphere ozone from October 25, 1978, through May 28, 1979, for scientific
40 analysis and for comparisons with atmospheric models (Gille and Russell, 1984). Ozone is an
41 excellent tracer of middle atmosphere transport processes at high latitudes. As an early example,
42 Leovy et al. (1985) showed how daily maps of the LIMS ozone fields correlate well with
43 geopotential height (GPH) fields on the 10-hPa pressure surface. They also reported on the
44 rapidly changing effects of wave activity on ozone, which led to a better understanding of
45 stratospheric transport processes within models. Hitchman et al. (1989) also analyzed the
46 temperature fields from LIMS and reported on Arctic observations of an elevated stratopause in
47 late autumn to early winter that they associated with momentum forcings from gravity waves.



48

49 Current research is focusing on the 3-dimensional character of ozone in the upper stratosphere
50 and mesosphere, based on more recent satellite datasets. Several studies report on how
51 temperature and ozone vary in association with sudden stratospheric warming (SSW) events (de
52 la Camara et al., 2018; Kim et al., 2020; Shams et al., 2021). Manney et al. (1995) and Harvey et
53 al. (2008) report on the development of low ozone pockets (LOPs) in the region of the Aleutian
54 anticyclone during winter. Siskind et al. (2005; 2021) report on the occurrence of a mesospheric
55 cooling associated with SSWs and on the role of gravity waves for modeling ozone in the upper
56 mesosphere. Chandran et al. (2013) developed a climatology of the Arctic elevated stratopause.
57 Sofieva et al. (2021) analyzed a multiyear dataset for regional trends in stratospheric ozone since
58 2001. LIMS provides similar data on ozone from an earlier decade for further comparisons.

59

60 The LIMS profiles have been retrieved with an improved Version 6 (V6) algorithm. Those V6
61 (or Level 2) profiles were archived in 2008 and include ozone, temperature, and GPH that extend
62 from 316 hPa to ~0.01 hPa. The co-located V6 profiles of water vapor (H₂O), nitric acid vapor
63 (HNO₃), and nitrogen dioxide (NO₂) extend through the stratosphere. Lieberman et al. (2004)
64 analyzed the V6 temperature profiles and found evidence for non-migrating tides, due to the
65 interaction of the diurnal tide and planetary zonal-wave 1, especially in late January 1979. Holt
66 et al. (2010) analyzed the descent of V6 NO₂ from the lower mesosphere to within the polar
67 stratospheric vortex, where it interacts with ozone. Remsberg et al. (2013) assimilated V6 ozone
68 profiles in a reanalysis model and gained improved estimates of column ozone, especially in
69 Arctic winter. Such reanalysis studies assimilate temperature and ozone profiles within a model
70 framework. However, the models only approximate the effects of small-scale variations, so it is
71 also useful to consider observed variations of the LIMS parameters without resort to a model.
72 Keep in mind that smaller-scale atmospheric variations also contribute to the analyzed
73 intermediate and large-scale fields from V6. This paper provides some examples of the larger-
74 scale variations of Arctic ozone, temperature, and GPH.

75



76 The SPARC Data Initiative (SPARC-DI) includes monthly zonal averages for the chemical
77 species from V6 (see Tegtmeier et al., 2013; SPARC, 2017; and Remsberg et al., 2021). In
78 Section 2 we show January zonal averages of V6 ozone and temperature profiles that extend
79 even higher or to near the mesopause. The V6 Level 3 (map) product provides a 3-dimensional
80 context for those zonal mean data. Daily V6 maps are also an aid in interpreting individual V6
81 profiles versus correlative data, especially during dynamically disturbed periods. Specifically, in
82 Section 3 we compare several nighttime V6 ozone profiles with those obtained with a rocket-
83 borne chemiluminescent (CHEM) technique (Hilsenrath et al., 1980). Those profile comparisons
84 are for December 15 and for January 27 and 28, when the temperature and ozone fields were
85 affected by planetary wave forcings. There is a corresponding cooling and variations of ozone in
86 the winter lower mesosphere associated with the warming in the upper stratosphere. Section 4
87 presents variations of ozone and GPH at northern extratropical latitudes during the minor SSW
88 events of late January and early February 1979, as a complement to the more comprehensive
89 findings of Harvey et al. (2008) on the occurrence of LOPs within anticyclones based on solar
90 occultation satellite data. Section 5 gives some details on the variability of the tertiary ozone
91 maximum in the upper mesosphere during that same period, as an adjunct to the monthly zonal
92 average values reported by Smith et al. (2018). Section 6 considers the variability of V6 ozone
93 within the lowermost extratropical stratosphere, but also cautions users about occasional,
94 pseudo-ozone features in the tropics. Section 7 concludes that the V6 Level 3 product represents
95 an important resource for further studies of the effects of transport and chemistry of middle
96 atmosphere ozone.

97

98 **2 Characteristics of V6 Level 3 data**

99 *2.1 LIMS measurements and analyses*

100 Nimbus 7 was in a near-polar orbit, and LIMS made measurements at ~1 pm local time along its
101 ascending (A or south-to-north) orbital segments and at ~11 pm on its descending (D or north-to-
102 south) segments. A-D time differences are of the order of 10 hours at most all latitudes because
103 LIMS viewed the atmosphere 146.5° clockwise of the spacecraft velocity vector, as seen from
104 above, or 33.5° counterclockwise from its negative velocity vector. The A-D differences narrow
105 from 10 to about 6 hours from 60°N to 80°N, due to the orbital geometry of Nimbus 7. The V6



106 processing algorithm accounts for low-frequency spacecraft motions that affect the LIMS view
107 of the horizon. As a result, its measured radiance profiles are registered well in pressure-altitude
108 (Remsberg et al., 2004). Retrieved V6 ozone, temperature, and GPH profiles extend from 316
109 hPa to ~0.01 hPa and have a vertical point spacing of ~0.88 km with an altitude resolution of
110 ~3.7 km. They occur every ~1.6 degrees of latitude along orbits, and LIMS made measurements
111 with a duty cycle of about 11 days on and 1 day off over its planned observing lifetime. The
112 LIMS algorithms (Remsberg et al., 2007) do not account for non-local thermodynamic
113 equilibrium (NLTE) effects in ozone (Solomon et al., 1986; Mlynchak and Drayson, 1990) and
114 in CO₂ (Edwards et al., 1996; Manuilova et al., 1998), so there are positive biases in the retrieved
115 V6 ozone throughout the mesosphere during daylight. However, the V6 nighttime ozone is
116 essentially free of NLTE effects below about the 0.05-hPa level.

117

118 A sequential-estimation (SE) algorithm generated daily, zonal Fourier coefficients (zonal mean
119 and up to 6-wavenumber, sine and cosine values) for Level 3 at every 2° of latitude and at up to
120 28 vertical levels (Remsberg and Lingenfelter, 2010). The V6 SE algorithm uses better
121 estimates of data uncertainty and a shorter relaxation time of ~2.5 days for the zonal wave
122 coefficients, as compared with the earlier algorithm of Remsberg et al. (1990). The SE analysis
123 is also insensitive to the very few large, unscreened ozone profiles values found in the lower
124 stratosphere, as noted in Remsberg et al. (2013, their Fig. 1a). The SE algorithm combines the
125 coefficients from both the A and D orbital segments and effectively interpolates the profile data
126 in time to provide a continuous, 216-day set of daily zonal coefficients versus pressure-altitude
127 for each of the retrieved LIMS parameters. Those combined (A+D) Level 3 coefficients are the
128 basis for a gridding of synoptic maps at 1200Z of ozone and related parameters. Note that Level
129 3 also contains coefficients from its separate A and D profiles; their ‘zonal mean’ values
130 correspond to the local time-of-day of their respective measurements. The Level 3 data are in
131 ASCII format for easy access and use.

132

133 *2.2 Monthly average V6 data*



134 One can generate monthly average distributions from the daily Level 3 files of temperature,
135 GPH, and species (ozone, H₂O, HNO₃, and NO₂); zonal averages for the V6 species were
136 supplied to the SPARC Data Initiative or SPARC-DI (SPARC, 2017; Hegglin et al., 2021).
137 Tegtmeier et al. (2013) compared the V6 monthly ozone distributions with ones from other
138 satellite-based limb sensors and reported good agreement throughout the stratosphere. Although
139 the species cross sections of SPARC-DI (2017) extend only up to the 0.1-hPa level (~64 km), V6
140 average ozone extends higher or to about 0.015 hPa (~75 km). Figure 1 shows the latitude-
141 pressure cross section for January from just the descending (D) orbital profiles, which avoids the
142 NLTE biases from the daytime ozone. Stratospheric ozone mixing ratios in Fig. 1 have largest
143 values at about 10 hPa near the Equator (> 9.2 ppmv), and they decrease sharply above and
144 below that level. Maximum mixing ratios for the middle to high latitudes occur between 3 to 5
145 hPa, due to the larger zenith angles and longer paths of the ultraviolet light for production of
146 atmospheric ozone. There is a nighttime ozone minimum of ~1.2 ppmv across most latitudes of
147 the middle mesosphere. A tertiary ozone maximum is present in the upper mesosphere near the
148 day/night terminator zones of the LIMS measurements for January (~50°S and ~65°N), in
149 accordance with the interpretation of Marsh et al. (2001). The location (~0.02 hPa) and
150 magnitude (~3.5 ppmv) of the NH maximum agree with those reported from subsequent satellite
151 studies by Smith et al. (2018, their Fig. 4). On the other hand, while the V6 ozone poleward of
152 ~60°S is also from descending orbital profiles, it corresponds to daylight conditions at the high
153 southern latitudes in January. Thus, the decrease of mesospheric V6 ozone at 0.1 hPa and
154 poleward of 60°S in Fig. 1 indicates merely a change from night to day values.

155

156 Radiances from two 15- μ m CO₂ channels are used for retrievals of V6 temperature versus
157 pressure or T(p), and they are also free of NLTE effects below about the 0.05-hPa level (~70 km)
158 (Lopez-Puertas and Taylor, 2001). Retrievals for T(p) in the stratosphere account for the effects
159 of horizontal temperature gradients to first order. Single profile errors for T(p) range from 1 K at
160 10 hPa to greater than 2 K in the upper mesosphere. Systematic errors from T(p) are the primary
161 source of bias error for ozone, which grow to of order 16% in the middle mesosphere (Remsberg
162 et al., 2021, Table 1). As a complement to the V6 ozone of Fig. 1, we show the descending
163 (~nighttime) V6 T(p) distribution for January in Figure 2, which extends to near the 0.01-hPa



164 level. The large-scale features of the $T(p)$ distribution compare well with climatological values
165 from the late 1970s (Fleming et al., 1990), having a maximum value of about 285 K at the high
166 latitude, SH stratopause and minimum values of < 200 K at the tropical tropopause and near the
167 summer mesopause. There is also an apparent elevation of the Arctic zonal-average stratopause.

168

169 Figure 3 shows average, zonal (wave) standard deviations (SD) about the daily zonal mean of the
170 combined-mode (A+D) V6 ozone for January, where the SD values are also part of the LIMS
171 SPARC-DI product. There are relatively small SD values at low latitudes from 7 to 10 hPa; it is
172 assumed that they are a result of both Kelvin and gravity wave activity. Effects of more
173 vigorous, planetary wave activity are most apparent at high northern latitudes throughout the
174 stratosphere and in the upper mesosphere. Ozone shows little zonal variation in the SH upper
175 stratosphere of Fig. 3, due to constraints on the upward propagation of waves through the
176 summer zonal easterlies. SD values near the tropical tropopause are due mostly to residual
177 effects of emissions from thin cirrus and represent spurious ozone variations (see Section 6).

178

179 **3. V6 comparisons with rocket-borne chemiluminescent ozone measurements**

180 In this section we consider V6 comparisons with three nighttime, rocket-borne ozone soundings
181 using the CHEM technique of Hilsenrath (1980)—one at White Sands, NM, (32.4°N , 253.5°E)
182 on December 15, 1978, and two more at Poker Flat, AK, (65.1°N , 212.5°E) on the successive
183 days of January 27 and 28, 1979. Estimated total error for CHEM ozone is 14% (precision plus
184 accuracy), according to Hilsenrath and Kirschner (1980).

185

186 Ozone comparisons for December 15 are in Figure 4, where the four V6 profiles are spaced
187 about 2.6° in latitude along the descending orbital segment with the LIMS instrument viewing in
188 the NNW direction. The short-dashed V6 profile is at 29.2° , and the long-dashed profile is at
189 37.2° . The location of the rocket ozone sounding lies midway between them. Horizontal bars on
190 the profiles are estimates of ozone error; they overlap between V6 and CHEM, except in the
191 upper stratosphere. However, the corresponding V6 polar ozone map at 4.6 hPa reveals a region



192 of elevated ozone near White Sands (blue dot) on that date. Note that while zonal variations in
193 the polar plot are from a gridding (2° latitude and 5.625° longitude) of the Level 3 coefficients,
194 there is no smoothing of the gridded field in the meridional direction; there is good continuity
195 across latitudes, nonetheless. The CHEM profile is a local measurement and has a vertical
196 resolution that ranges from 1.5 km at 60 km to 0.1 km at 20 km; the nearby V6 profiles have a
197 lower vertical resolution of ~ 3.7 km and are an average over the finite horizontal length (~ 300
198 km or $\sim 3^\circ$ latitude) of the LIMS tangent layer. Thus, an ozone field that varies in both space and
199 time may lead to a somewhat reduced quality for comparisons between the localized rocket and
200 limb-viewing satellite profiles in Fig. 4.

201

202 Because V6 ozone is obtained from retrievals of the measured V6 ozone radiance profiles, the
203 LIMS retrieved temperature profile must be representative of the atmospheric state for the
204 forward model of ozone radiance. Figure 5 shows the corresponding temperature comparisons
205 between V6 and the correlative rocket Datasonde instrument (*). Agreement between them is
206 very good throughout the upper stratosphere, which means that the retrieved V6 ozone is nearly
207 unaffected by temperature bias error. Temperatures do not agree as well in the lowermost
208 mesosphere. The map of V6 temperature in Fig. 5 clearly indicates that there are significant
209 variations in the temperature field at 0.68 hPa near 35°N on December 15. Still, there is little
210 evidence of disagreement between V6 and CHEM ozone in Fig. 4 at 0.68 hPa, indicating that the
211 temperature variations are determined well along the LIMS view path for the forward radiance
212 calculations of ozone.

213

214 The two late January comparisons occurred at the time of a stratospheric, zonal wave-1 warming
215 event and during a time of advection of air from lower latitudes to near the Pole (Leovy et al.,
216 1985). Figure 6 shows three co-located V6 ozone profiles from along a nearby descending
217 orbital segment at $\sim 2204\text{Z}$ (nighttime). The LIMS instrument was viewing from its sub-satellite
218 location of 80.5°N , 130°E , and the CHEM rocket launch was two hours earlier or at 2005Z ; there
219 is good agreement for ozone between them, even in the mesosphere. A second rocket launch
220 followed at 0833Z of the next day. Since the V6/CHEM ozone and $T(p)$ comparisons are similar
221 for the two days, we show results for January 27 only. The CHEM sonde recorded two ozone



222 maxima, one at about 0.6 hPa and another near 15 hPa, and the V6 profiles of Fig. 6 also have
223 maxima at those levels plus an ozone minimum at about 3 hPa. Agreement is good between the
224 profiles, although the CHEM profile has more vertical structure. The ozone maximum at about
225 15 hPa is primarily due to advection of ozone of higher mixing ratios from lower latitudes just
226 prior to the warming event. Leovy et al. (1985) provide a more detailed discussion of the
227 advective changes for ozone in the middle stratosphere during January 1979.

228

229 Figure 7 shows the V6 temperature profile comparisons; T(p) from the Datasonde also has more
230 vertical structure, as expected from a localized measurement. Although there is significant
231 horizontal structure in the temperature field surrounding Poker Flat, the V6 temperature profiles
232 agree reasonably with the Datasonde values and indicate that retrieved V6 ozone again has very
233 little bias due to temperature. T(p) values reach a maximum of order 250 K at about 3 to 4 hPa,
234 or where the ozone profiles in Fig. 6 reveal a relative minimum within a low-ozone pocket
235 (LOP) that extends to other levels or from about 7 hPa to 2 hPa.

236

237 The polar vortex is located over northern Europe and Asia on January 27; it is shifted off the
238 Pole because of effects from large-scale, planetary waves on the development of SSWs
239 (Andrews et al., 1987, Chapter 6). The polar vortex region has low ozone and relatively cold
240 temperatures; stratospheric temperatures over Alaska have a relative maximum (the SSW). The
241 sounding rocket profile from Poker Flat occurs near the center of an anticyclone and in the
242 region of relatively low ozone.

243

244 Figure 8 shows that there is concurrent cooling at 0.46 hPa or above the Alaskan anticyclone,
245 and the corresponding nighttime (or D) ozone field exhibits a local maximum at that same level.
246 Conversely, there is a major temperature increase in the Arctic region above the polar
247 stratospheric vortex over northern Europe, where ozone values remain relatively low. Since
248 ozone is an excellent tracer of transport processes in Arctic winter, it can reveal dramatic
249 changes with altitude, associated with this SSW event. In summary, Figs. 4 through 8 show the
250 utility of daily maps from LIMS Level 3 for the validation and interpretation of the ozone fields



251 during dynamically disturbed conditions. In the next section, we consider sequences of polar
252 plots of both GPH and ozone from January 27 through February 17, 1979, to illustrate the value
253 of the V6 Level 3 products for studies of ozone transport over time.

254

255 **4. Variations of upper stratospheric ozone during stratospheric warmings**

256 Manney et al. (1995) and Harvey et al. (2004, 2008) contain comprehensive analyses about the
257 occurrence of polar anticyclones and their associated LOPs from analyses of GPH and ozone
258 fields from several different satellites. They determined the extent and character of the polar
259 vortex based on meteorological data from the UK Met Office and as obtained from relatively
260 low, vertical resolution radiance profiles from operational, nadir temperature sounders. Those
261 meteorological analyses extend through the stratosphere but only up to the lower mesosphere.
262 The V6 GPH coefficients extend through both the stratosphere and mesosphere, as derived from
263 the V6 T(p) profiles that have a vertical resolution of order 3.7 km. Thus, the LIMS dataset
264 offers potentially more detail about the occurrence of LOPs.

265

266 The first panel of Figure 9 shows a map of NH GPH at 4.6 hPa on January 27 for comparison
267 with the ozone map in Fig. 6 and the temperature map in Fig. 7. Lowest ozone values are in the
268 polar vortex, which is asymmetric about the Pole. A second, relatively low value of ozone (or
269 LOP) is associated with the anticyclone over the Alaskan sector. One can determine horizontal
270 winds from gradients of GPH on the 4.6-hPa surface and thereby estimate the transport of ozone
271 to first order. Qualitatively, the direction and strength of the large-scale transport follows from
272 the character of the cyclonic and anticyclonic features on the GPH map. A large-scale cyclonic
273 circulation about the vortex transports air from middle latitudes to across the Pole on January 27.

274

275 The other three panels of Figure 9 are a sequence of three daily NH maps of GPH from February
276 3 to February 17; each successive map is spaced one week from the previous one. This sequence
277 shows that both the vortex and anticyclone weaken during the three weeks following January 27



278 at this level. The vortex is re-centered on the Pole on February 17, and the anticyclone is nearly
279 absent at this level following the two minor warming events.

280

281 The map sequence in Fig. 9 also indicates that there are significant changes in the horizontal
282 transport of ozone during this dynamically active period. Morris et al. (1998) conducted model
283 calculations to show that there can be chemical changes of ozone in the upper stratosphere at that
284 time. Ozone reactions are affected by changes with latitude in solar insolation, temperature, and
285 NO_x . As an example, Figure 10 (top left) is a map of the V6 descending (nighttime) NO_2 on
286 January 27, and it shows that air having larger NO_2 values was transported northward from
287 middle latitudes toward the anticyclone. Thus, it is likely that there is some loss of ozone due to
288 reactions with NO_x (and NO_2) in the middle stratosphere that is contributing to the LOP feature.
289 The other three panels of Figure 10 show the evolution of ozone for February 3 through 17,
290 following that of January 27 (in Fig. 6). Based on the GPH panels of Fig. 9, there was a
291 deepening of the LOP from January 27 to February 3, but a filling of it thereafter. Transport of
292 air from middle to higher latitudes decreased from February 3 to February 17, when the vortex
293 and anticyclone weakened. But the anticyclone also weakened from January 27 to February 3.
294 Thus, a chemical loss of ozone due to NO_x may also have occurred during that first week.
295 Although we do not show a sequence of maps for other levels, the V6 Level 3 product indicates
296 significant variations in ozone, temperature, GPH, and NO_2 throughout the upper stratosphere.

297

298 **5. Variations of the tertiary ozone maximum**

299 Smith et al. (2018) describe the changing monthly, zonally averaged character of the wintertime
300 tertiary ozone maximum of the polar upper mesosphere. They point out that the low latitude
301 edge of the tertiary ozone maximum is where HO_x radicals and the chemical loss of ozone due to
302 reactions with them are reduced. The Level 3 ozone and temperature products provide daily, 3-
303 dimensional information about those processes. The top two panels of Figure 11 are of upper
304 mesospheric ozone on the 0.022-hPa surface (~ 72 km) for January 13 and February 10,
305 respectively. The bottom two panels show the variations of temperature on those same days.
306 First, consider the two ozone plots, which are from only the descending (or nighttime) orbital



307 segments. V6 ozone radiance profiles have low signal-to-noise in the upper mesosphere; the
308 precision estimate for retrieved ozone and its Fourier coefficients is 0.32 ppmv at 0.022 hPa.
309 Therefore, we show gridded ozone maps in Fig. 11 based on just the zonal mean and the sine and
310 cosine coefficients for waves 1 and 2. Elevated values of ozone occur at a lower latitude on
311 January 13 than on February 10, which is consistent with the slightly different location of the
312 terminator on those two days. One can also see that the tertiary maximum has a larger value on
313 February 10 than on January 13.

314

315 The bottom two panels of Fig. 11 are maps of temperature for January 13 and February 10,
316 respectively, for comparison with the ozone fields. The temperature maps are gridded from the
317 zonal mean and coefficients out to zonal wavenumber 6. There is significant zonal structure in
318 them, and temperatures are much warmer in the Canadian sector on January 13 than on February
319 10. There are meridional gradients of temperature on both days. On January 13 there is also a
320 well-defined mesospheric vortex (not shown), and the highest values of ozone correlate
321 reasonably with it; by February 10 the vortex was disturbed at this level. Recall that there were
322 two minor warmings and associated lower mesospheric cooling events during late January and
323 early February. It is very likely that there were wave-driven disturbances in the upper
324 mesosphere during that time, due to filtering of gravity waves and their dissipation (e.g., Siskind
325 et al. 2005). We infer that the warmer temperatures of mid-January at this level led to a radiative
326 relaxation and a descent of relatively dry air into the vortex region. A reduction in water vapor
327 will mean that there are fewer HO_x radicals for the destruction of ozone near the terminator zone,
328 leading to an accumulation of ozone by February 10. Although the seasonal evolution of the
329 tertiary ozone maximum is understood reasonably well (Smith et al., 2018), there is more
330 information about this ozone feature from the daily maps of ozone, T(p), and GPH from Level 3.

331

332 **6. V6 ozone in the lowermost stratosphere**

333 Significant exchanges of air and ozone occur from the extratropical stratosphere to the
334 troposphere in winter and spring (Gettelman et al., 2011). Figure 3 also showed that there are
335 large zonal variations about the daily zonal means of ozone in the Arctic region of the lower



336 stratosphere. There are similar variations in GPH (and derived winds) and in zonal wave activity
337 that lead to ozone transport. Zonal variations are resolved in the daily ozone maps down to the
338 146-hPa level. In fact, Shepherd et al. (2014) integrated the V6 monthly zonal mean ozone
339 above the tropopause and subtracted it from observed total ozone, as part of their assessment of
340 long-term trends of tropospheric ozone from models. Their calculation of extratropical
341 tropospheric ozone based on LIMS agrees with that obtained from other ozone datasets.

342

343 There is also a relative excess of SD ozone values in Fig. 3 centered at 68 hPa at tropical
344 latitudes, and similar anomalies occur in other LIMS months, too (not shown). As an example,
345 Figure 12 shows an ozone map at 68 hPa (~18 km) for the correlative measurement day of
346 December 15, 1978, to give more insight about the source of the tropical variations. Ozone
347 mixing ratio values in Fig. 12 are of order 2 to 3 ppmv at high latitudes, becoming much smaller
348 in the subtropics. However, there is also an unexpected high value of 2 to 3 ppmv at about 15°N,
349 150°E. Limb measurements in the ozone channel include radiance effects from cirrus particles
350 that can occur along the view path, although the retrieved ozone mixing ratio profiles were
351 screened of those effects to first order (Remsberg et al., 2007). Even so, we note that ozone is
352 easily affected by any excess radiance because of highly non-linear effects for retrievals of ozone
353 from the radiances in the lower stratosphere. It is very likely that the anomalous ozone at 68 hPa
354 is a result of residual effects from subvisible cirrus, which is nearly ubiquitous over the western
355 tropical Pacific region (see SPARC, 2006, Fig. 1.8).

356

357 While individual V6 ozone profiles may include such spurious features in the tropics, the Level 3
358 ozone product at 68 hPa is affected mainly when there is organized convection and outflow of air
359 that persist for several days. The adjacent map of tropical ozone at 46 hPa appears unperturbed
360 (not shown), and tropical ozone at 100 hPa approaches zero. There are much smaller anomalies
361 in maps of nitric acid, as its mixing ratio retrieval is very nearly linear. Anomalies are not
362 present in maps of V6 H₂O at 68 hPa because the cloud screening algorithm for H₂O accounts
363 for the larger vertical field-of-view and extent over altitude for the measurements of the water
364 vapor channel of LIMS. To summarize, one must be mindful that the Level 3 product may show
365 pseudo ozone at 68 hPa in the tropics, but likely not in the extratropics.



366

367 **7. Conclusions on the utility of LIMS V6 Level 3 ozone**

368 This report provides guidance to researchers for their use of the LIMS V6 Level 3 product and
369 their generation of daily gridded distributions of its temperature, ozone, and GPH on pressure
370 surfaces. H₂O, NO₂, and HNO₃ are also available for the stratosphere from the Level 3 product.
371 The V6 dataset represents an early baseline for considering changes in the middle atmosphere
372 from 1979 to today and into the future. Atmospheric concentrations of the greenhouse gases
373 (GHG), CO₂, CH₄, and CFCs, were smaller in 1979 versus now. As an example, the LIMS
374 algorithm for retrieving T(p) profiles is based on a middle stratosphere CO₂ value of 327 ppmv,
375 compared with ~415 ppmv in 2021. As a result, middle atmosphere T(p) distributions were
376 warmer in 1979, which affects both the chemistry and transport of ozone. LIMS also made
377 measurements at a time when stratospheric effects from volcanoes were minimal and when
378 catalytic effects of chlorine on ozone were relatively small. Accordingly, Stolarski et al. (2012)
379 found small, but significant changes in the distribution of upper stratospheric ozone for recent
380 decades compared with 1978-1979.

381

382 The LIMS measurements in the winter Arctic region occurred when there was a lot of wave
383 activity for the transport and mixing of ozone. Ozone varies dramatically in winter, particularly
384 during times of stratospheric warming events. There was a so-called Canadian warming in early
385 December 1978, two minor SSW events in late January and early February, and a final warming
386 in late February 1979. We showed V6 comparisons with temperature and ozone profile data
387 obtained using rocket-borne chemiluminescent (CHEM) techniques, and we pointed out how an
388 examination of changes in their nearby fields are essential for the interpretation and validation of
389 V6 profiles against correlative measurements. The Level 3 dataset provides daily details on
390 those variations in latitude, longitude, and altitude, along with related variations in temperature,
391 geopotential height, and NO₂. We noted also that there are instances of spurious, excess ozone
392 from the Level 3 coefficients at 68 hPa in the tropics but not in the extratropical stratosphere.
393 One may find that there are regional changes in ozone in recent decades compared with that in
394 1978-1979 from V6 (see, e.g., Sofieva et al., 2021).



395

396 V6 nighttime ozone is accurate up through the middle mesosphere, and surface maps of the
397 large-scale variations of ozone are relatively accurate through the upper mesosphere. Daily
398 ozone and temperature maps reveal zonal wave features in the region of the wintertime, tertiary
399 ozone maximum of the upper mesosphere. Together with V6 maps of T(p) and GPH, one may
400 explore the daily evolution of that ozone maximum throughout the NH winter of 1978-1979.

401

402 **Data Availability**

403 The LIMS V6 Level 3 product is at the NASA EARTHDATA site of EOSDIS and its website:
404 https://disc.gsfc.nasa.gov/datacollection/LIMSN7L3_006.html (Remsberg et al., 2011). The
405 SPARC-Data Initiative data are located at <https://doi.org/10.5281/zenodo.4265393> (Hegglin et
406 al., 2021). We acknowledge the individual instrument teams and respective space agencies for
407 making their measurements available, and the Data Initiative of WCRP's (World Climate
408 Research Programme) SPARC (Stratospheric Processes and their Role in Climate) project for
409 organizing and coordinating the compilation of the chemical trace gas datasets used in this work.
410

411 *Author Contributions.* ER wrote the manuscript and prepared the figures with contributions from
412 his co-authors. EH provided his rocketsonde data on ozone and temperature along with their
413 error estimates.

414

415 *Competing interests.* The authors declare no competing interests for this study.

416

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422 3 coefficient dataset. They also thank V. Lynn Harvey for her comments on a draft version of



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424 Associates of the Science Directorate at NASA Langley.

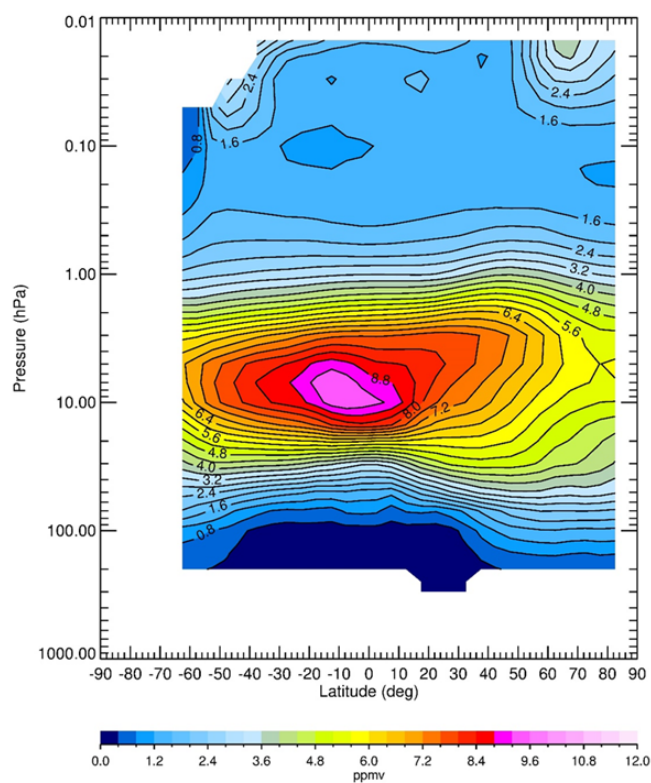


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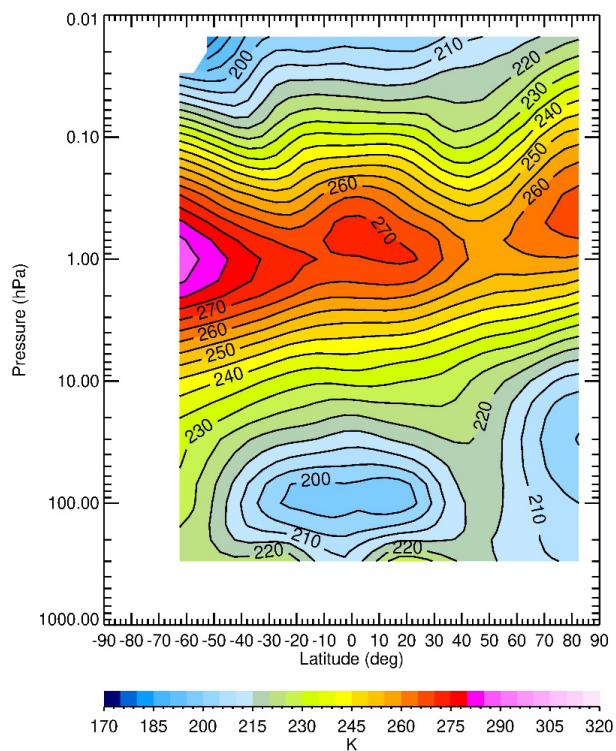
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430 Figure 1—LIMS V6 Level 3 monthly zonal mean ozone for descending-mode only (or nighttime
431 equatorward of ~55°S) for January 1979. Contour interval (CI) is 0.4 ppmv.

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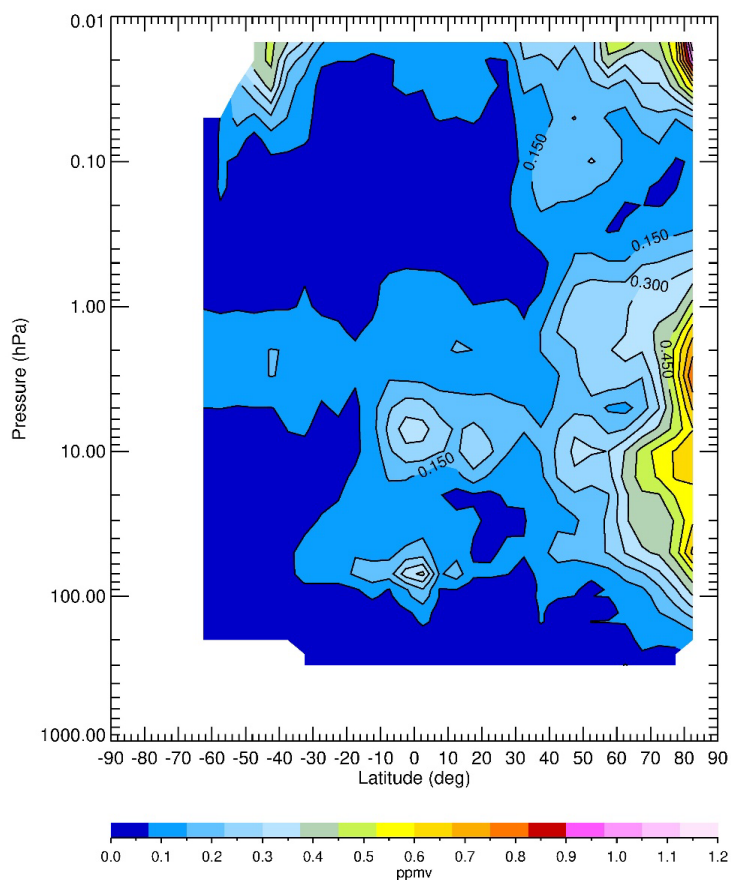
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435 Figure 2—Zonal average, descending-mode, temperature for January 1979. CI is 5 K.

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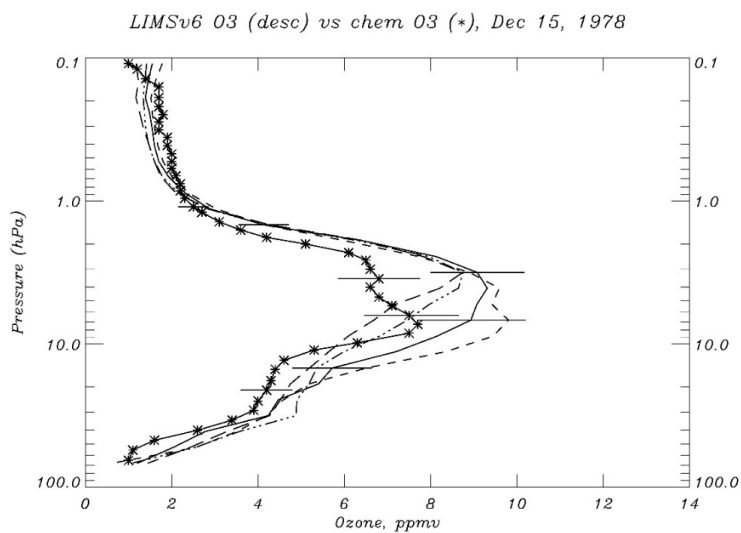
439 Figure 3—Zonal standard deviation about the average of (A+D) ozone for January 1979.

440 Contour interval is 0.075 ppmv.

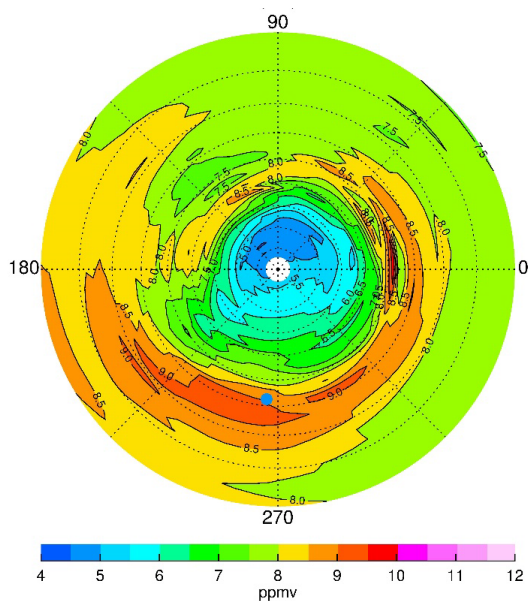
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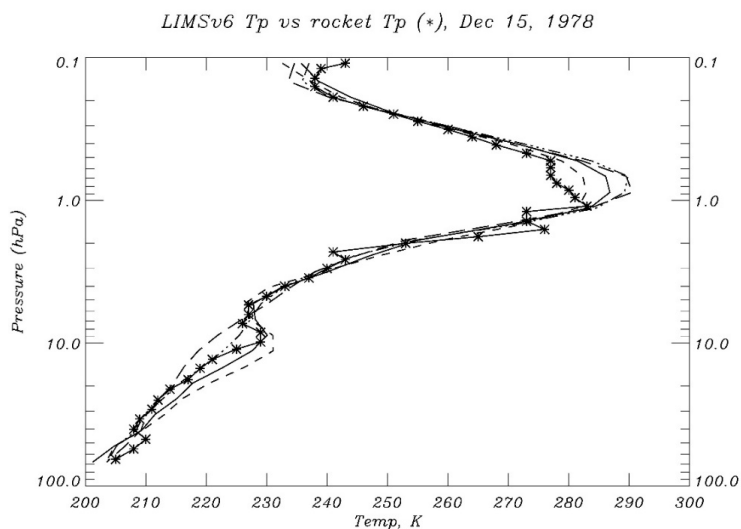


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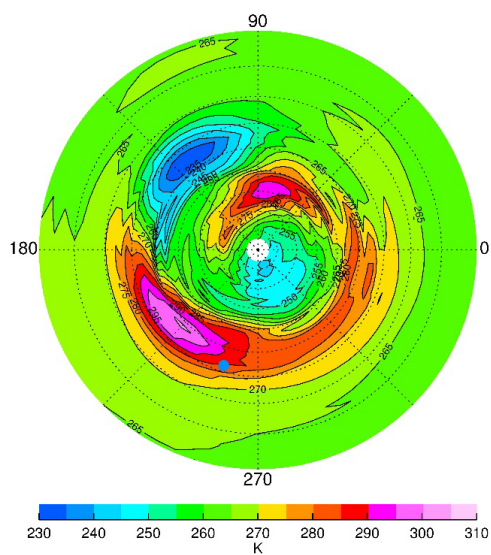
445 Figure 4—(top) Profiles of V6 ozone compared with CHEM sonde ozone (*) on December 15.
446 The four V6 profiles are separated by about 2.5° latitude on the descending orbit. Horizontal
447 bars are estimates of ozone error. (bottom) NH V6 ozone distribution at 4.6 hPa; Greenwich
448 (0°E) is at right, and contour interval (CI) is 0.5 ppmv. Latitudes (dotted circles) are spaced
449 every 10°. The blue dot denotes White Sands.



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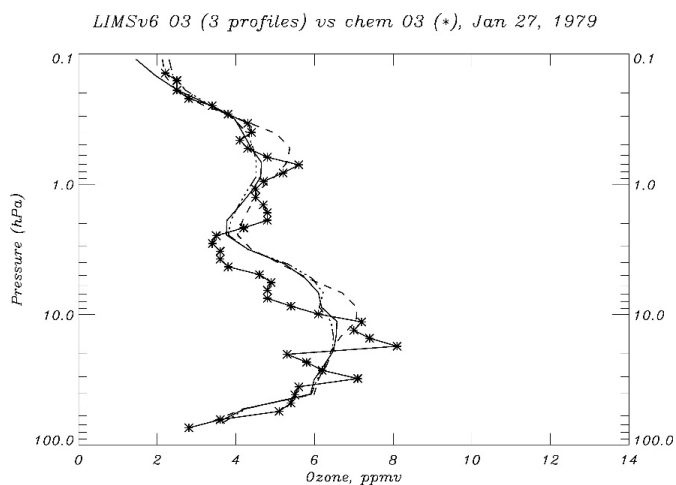


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453 Figure 5—(top) Profiles of V6 temperature compared with Datasonde values (*) on December
454 15. The four V6 profiles are separated as in Fig. 4, where the short-dashed curve is for 29.2° and
455 the long-dashed curve is for 37.2°. (bottom) NH V6 temperature distribution at 0.68 hPa;
456 contour interval is 5 K, and blue dot denotes White Sands.

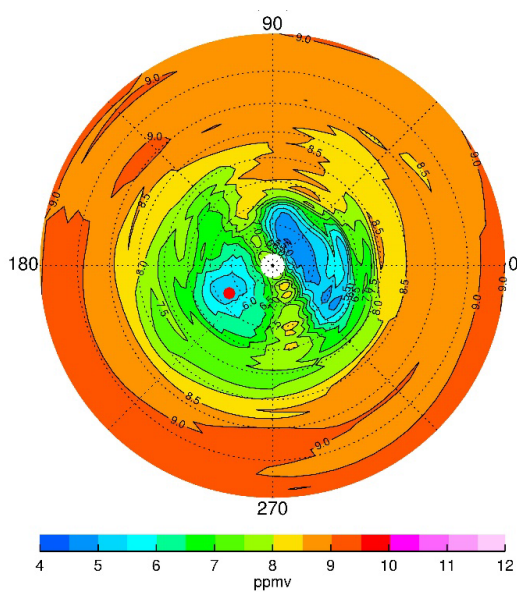


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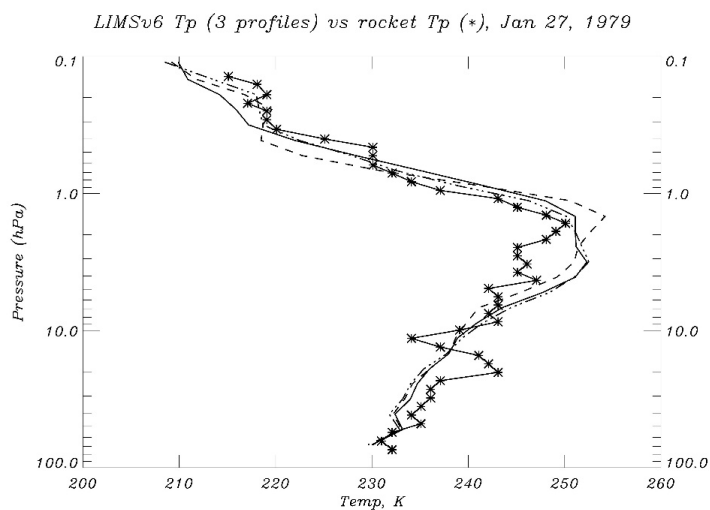
461 Figure 6—(top) As in Fig. 4, but for January 27, 1979, at Poker Flat, AK (65°N, 212.5°E);

462 (bottom) NH V6 distribution of ozone at 4.6 hPa; CI is 0.5 ppmv and red dot is Poker Flat.

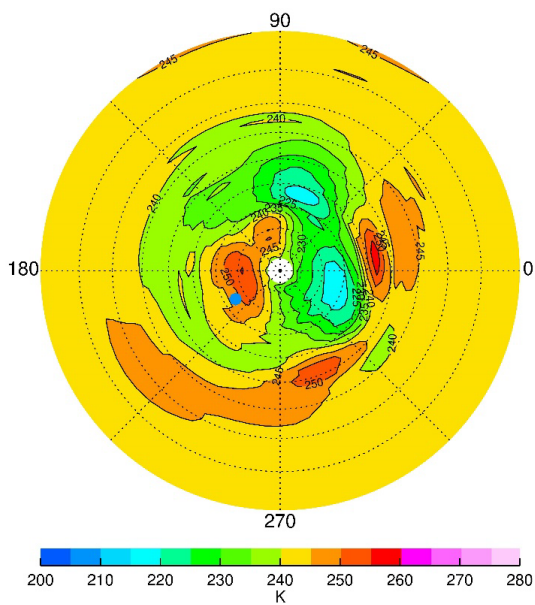
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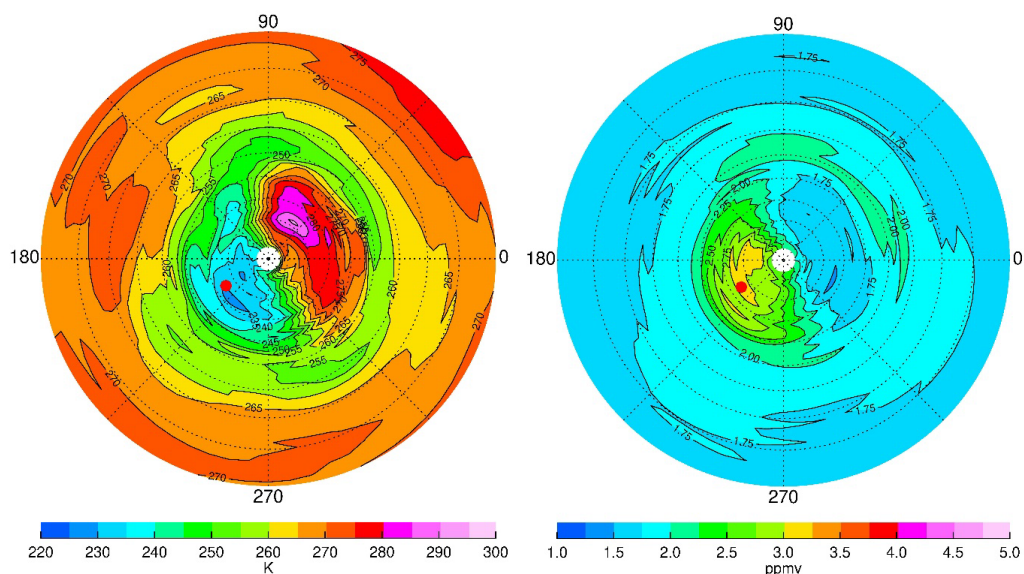
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468 Figure 7—(top) As in Fig. 5, but for January 27, 1979. (bottom) NH V6 temperature at 4.6 hPa
469 on January 27; Contour interval is 5 K. Blue dot is location of Poker Flat.

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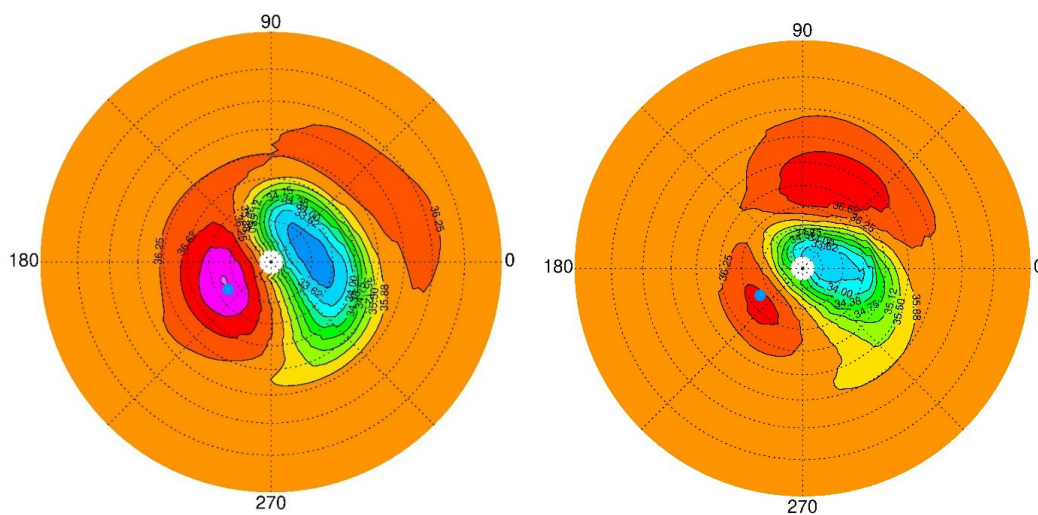
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474 Figure 8—(left) Temperature at 0.46 hPa for January 27, 1979, for comparison with Fig. 7.
475 (right) Ozone at 0.46 hPa for comparison with Fig. 6. Contour interval for $T(p)$ is 5 K and for
476 ozone is 0.25 ppmv. Red dot is location of Poker Flat.

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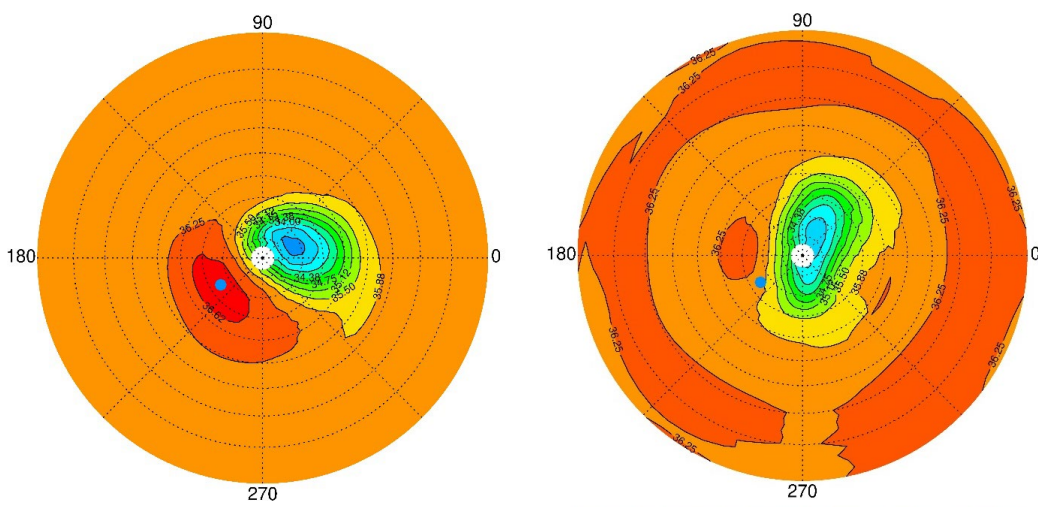


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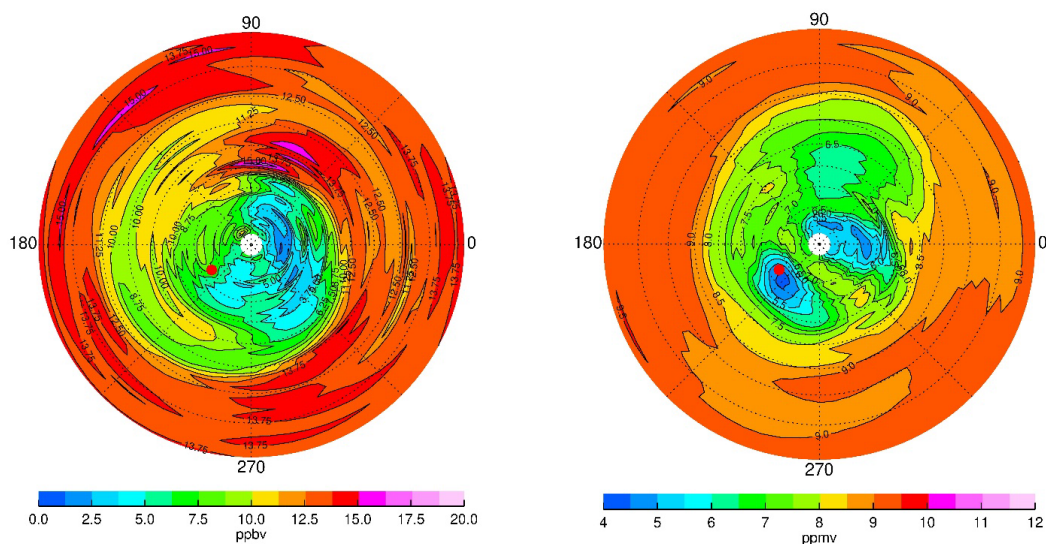
482 Figure 9-- NH V6 GPH at 4.6 hPa; contour interval (CI) is 0.375 gpkm. Blue dot is location of
483 Poker Flat. Panels are spaced one week apart; (top left) January 27; (top right) February 3;
484 (bottom left) February 10; and (bottom right) February 17.

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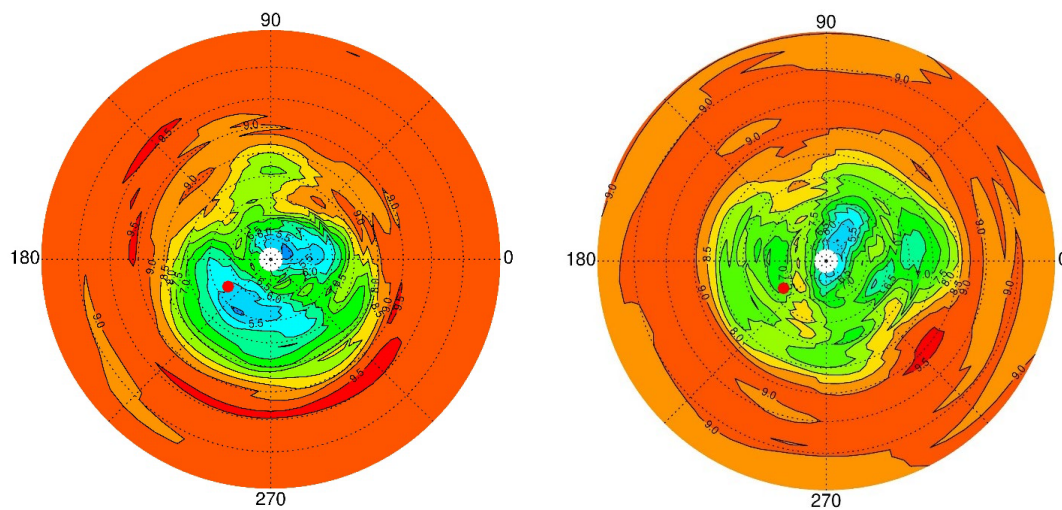
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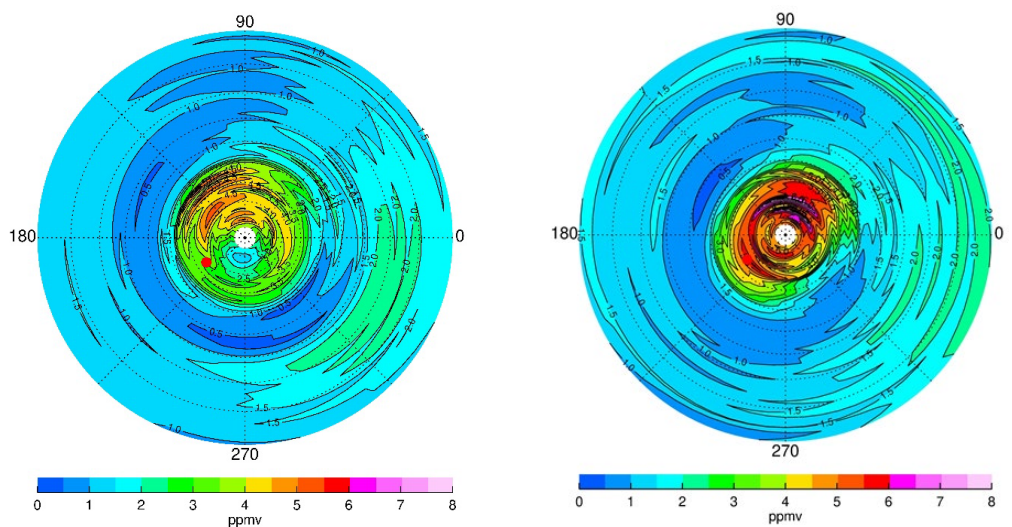


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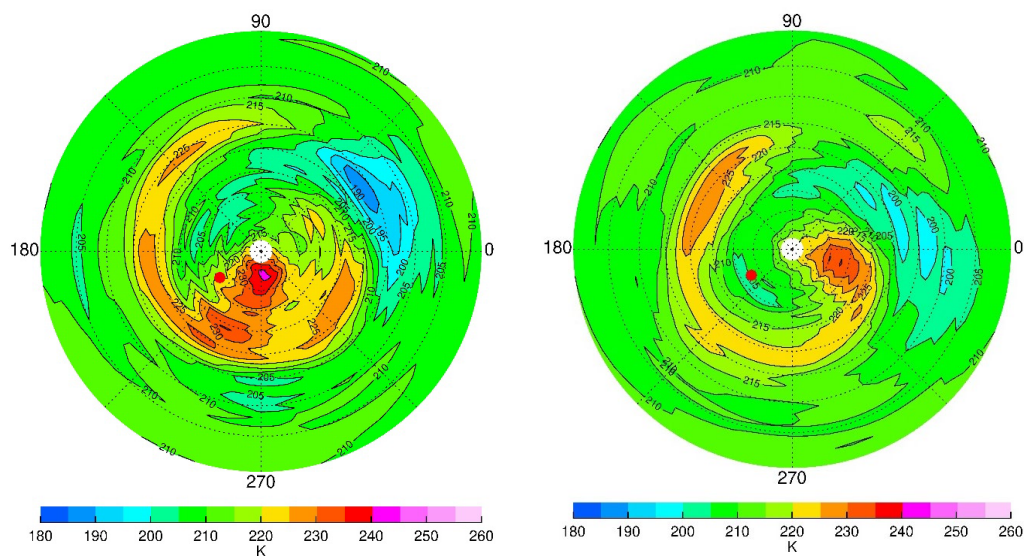
491 Figure 10—Maps for 4.6 hPa of (top left) nighttime NO₂ on January 27, CI is 1.25 ppbv and red
492 dot is Poker Flat; (top right) ozone on February 3; (bottom left) ozone on February 10; and
493 (bottom right) ozone on February 17; CI is 0.5 ppmv. Ozone color bar applies to the bottom two
494 panels, as well.

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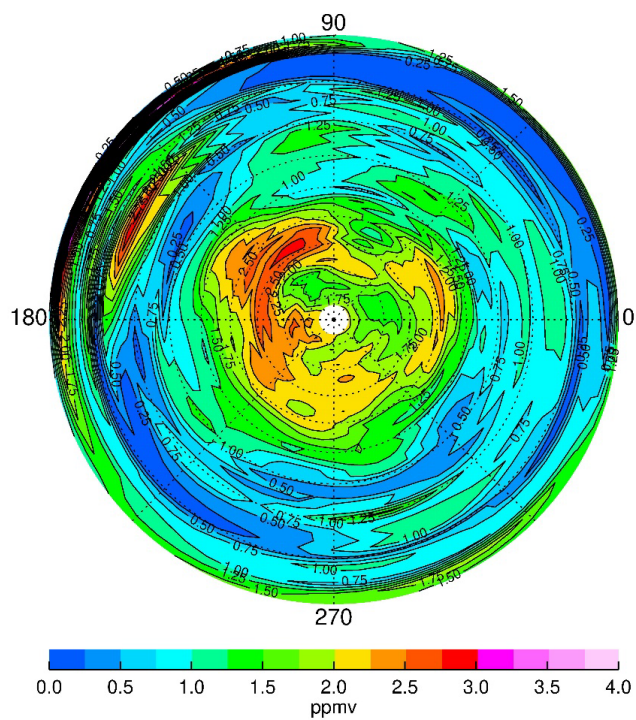
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500 Figure 11—(top) Distributions of NH ozone at 0.022 hPa on January 13 (left) and February 10
501 (right), respectively; contour interval is 0.5 ppmv. (bottom) NH temperature at 0.022 hPa on
502 January 13 (left) and February 10 (right); contour interval is 5 K.

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506 Figure 12—NH V6 ozone distribution at 68 hPa for December 15, 1978. Contour interval is
507 0.25 ppmv.

508



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