

1 **Reviewer 1#**

2 In this revised manuscript, the authors addressed most of my previous concerns.
3 Specifically, the revised manuscript now includes more details about the random forest
4 model as well as the relevant measures to mitigate overtraining; the authors also
5 discussed in greater detail the initial VOCs. I appreciate the authors' efforts and it is my
6 opinion that the quality of the manuscript is greatly improved. I recommend the
7 manuscript for publication after the following minor comments are addressed, which
8 are intended to further improve the clarity and the flow:

9 **Response:** Thank you for your good comments and suggestions. We have carefully
10 responded to all of your **point-by-point** comments and issues and have revised the
11 manuscript accordingly. These revisions are described in detail below.

12

13 Line 43: ... exhibiting

14 **Response:** Thank you. We have revised it to “exhibiting” in Line 43.

15

16 Line 41-53: consider combining this paragraph with the next for a smoother flow.

17 **Response:** Thank you. We have combined these two paragraphs to make it smoother.

18 “...Therefore, it is urgent to develop an accurate and highly efficient method for
19 timely assessing the sensitivity regime of O₃ production and evaluating the
20 effectiveness of a potential measure on O₃ pollution control. The sensitivity of O₃
21 formation can usually be analysed using observed indicators...”

22

23 Line 99-101: This sentence touches one common concern that some machine learning
24 models may be less transparent/interpretable compared to other conventional
25 techniques, thus giving the impression that the authors would discuss this concern in
26 greater detail in this paragraph. Yet, the rest of this paragraph drifted away. Then the
27 next paragraph opens with yet another statement on this “black box” concern. Please
28 rewrite these two paragraphs to improve the logic and flow.

29 **Response:** Thank you. We have rewritten these two paragraphs to improve the logic
30 and flow.

31 “Although ML is widely used to understand air pollution, many ML studies have

32 used total VOCs (TVOCs) to simulate O₃ formation and rarely considered the effect of
33 VOC species on O₃ formation sensitivity (Feng et al., 2019; Liu et al., 2021; Ma et al.,
34 2021a). Thus, they were unable to identify the chemical reactivity of a single species to
35 O₃ formation, which may lead to underestimations or even misunderstandings of the
36 role of VOCs in O₃ formation because the same concentration of TVOCs with different
37 compositions may lead to different OPEs. In addition, VOCs react with OH radicals
38 during atmospheric transport, which is the most important sink of VOCs (Carlo et al.,
39 2004; Liu et al., 2020b). Makar et al. (1999) reported that the isoprene emissions were
40 underestimated by up to 40% if the OH oxidation is not considered. Other studies
41 indicated that the initial concentrations of VOCs, which account for the photochemical
42 loss of VOCs during transport, were more representative of pollution levels in the
43 sampling area than the observed VOCs (Yuan et al., 2013; Zhan et al., 2021). However,
44 whether the ML model can identify the connection between the reactivity of VOC
45 species and O₃ formation sensitivity has not been clarified.

46 It should be noted that physical interpretability of the results is an important
47 question when ML models are applied in atmospheric studies (Hou et al., 2022).
48 However, explanations of ML results (e.g., RI) are somewhat vague because ML is a
49 “black-box” model from the point view of chemical mechanism (Hou et al., 2022;
50 Taoufik et al., 2022). . . .”

51

52 Line 235-237: This is a healthy start, but the outcome of this 12-fold cross validation is
53 missing. Please include a figure or table, perhaps in the SI, to archive the consistency
54 of the model performance across all 12 folds. Whether splitting the dataset randomly is
55 a good strategy for cross validation remains a subject of debate, but it is key to archive
56 all key details.

57 **Response:** Thank you. We have added the results of 12-fold cross validation in **Table**
58 **R1** or **S6** and performed Pearson correlation calculations as shown in **Table R2** or **S7**.
59 It can be seen in Table R2 that there is consistency in the results between the different
60 folds (ranged from 0.95 to 0.98).

Table R1. The RI values of 2015 in all 12 folds

| species number | Fold number | | | | | | | | | | | |
|--------------------|-------------|------|------|------|------|------|------|------|------|------|------|------|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Ethane | 1.39 | 1.15 | 1.69 | 2.28 | 1.70 | 1.70 | 1.97 | 1.80 | 1.20 | 1.35 | 1.71 | 1.26 |
| ethene | 1.60 | 1.97 | 1.01 | 1.01 | 1.52 | 2.15 | 0.65 | 2.01 | 1.44 | 2.18 | 2.17 | 1.64 |
| Propane | 0.24 | 0.85 | 0.38 | 1.20 | 1.23 | 1.18 | 0.49 | 0.01 | 1.19 | 0.54 | 0.28 | 1.61 |
| propene | 5.70 | 5.95 | 6.30 | 5.88 | 6.06 | 5.14 | 6.59 | 6.18 | 5.94 | 5.99 | 6.10 | 5.28 |
| iso-Butane | 1.45 | 0.96 | 1.58 | 1.55 | 1.67 | 1.22 | 1.77 | 1.97 | 1.26 | 1.80 | 1.64 | 1.56 |
| n-Butane | 0.05 | 1.08 | 0.35 | 0.32 | 0.35 | 0.61 | 0.27 | 0.24 | 0.60 | 0.05 | 0.09 | 0.05 |
| Acetylene | 1.50 | 1.11 | 1.01 | 1.03 | 0.66 | 0.00 | 0.96 | 0.96 | 0.23 | 1.05 | 1.02 | 1.81 |
| trans-2-Butene | 1.30 | 2.26 | 2.37 | 2.09 | 1.51 | 2.22 | 2.23 | 2.30 | 1.93 | 2.42 | 2.60 | 2.34 |
| 1-Butene | 1.71 | 1.29 | 0.89 | 1.13 | 1.20 | 1.34 | 1.31 | 1.17 | 1.70 | 1.20 | 0.93 | 1.42 |
| Cyclopentane | 0.00 | 0.00 | 0.25 | 0.00 | 0.25 | 0.25 | 0.24 | 0.24 | 0.27 | 0.00 | 0.25 | 0.24 |
| cis-2-Butene | 0.86 | 1.05 | 1.33 | 1.09 | 1.43 | 1.65 | 1.65 | 1.23 | 1.60 | 0.81 | 1.44 | 1.15 |
| iso-Pentane | 0.00 | 0.00 | 0.30 | 0.00 | 0.25 | 0.25 | 0.25 | 0.24 | 0.23 | 0.00 | 0.23 | 0.27 |
| 1,3-Butadiene | 0.58 | 1.31 | 0.86 | 1.10 | 0.85 | 1.17 | 0.69 | 0.99 | 1.36 | 0.91 | 1.08 | 0.93 |
| trans-2-Pentene | 0.99 | 0.75 | 1.26 | 0.78 | 1.16 | 1.18 | 1.03 | 1.01 | 0.69 | 1.17 | 1.12 | 1.23 |
| 1-Pentene | 0.28 | 0.70 | 0.81 | 0.77 | 0.59 | 1.06 | 0.61 | 0.92 | 0.86 | 0.56 | 1.07 | 0.49 |
| cis-2-Pentene | 1.29 | 1.37 | 1.16 | 1.21 | 1.26 | 0.84 | 1.23 | 1.76 | 1.55 | 1.09 | 1.25 | 0.98 |
| 2,3-Dimethylbutane | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.24 | 0.00 | 0.26 | 0.00 | 0.00 |
| n-hexane | 1.27 | 1.42 | 1.69 | 1.46 | 1.42 | 1.47 | 1.30 | 1.62 | 0.82 | 2.00 | 1.08 | 0.91 |
| isoprene | 5.30 | 4.16 | 4.96 | 5.25 | 4.98 | 5.39 | 5.98 | 5.25 | 5.44 | 5.06 | 5.34 | 6.24 |
| 2-methyl-1-pentene | 2.27 | 2.51 | 2.08 | 1.93 | 2.36 | 2.09 | 2.10 | 1.74 | 2.35 | 2.28 | 2.26 | 2.17 |
| 2,2-Dimethylbutane | 0.78 | 0.63 | 0.65 | 0.68 | 0.87 | 0.63 | 0.41 | 0.57 | 0.81 | 0.71 | 0.63 | 0.60 |
| Benzene | 0.35 | 0.79 | 0.96 | 1.37 | 0.84 | 1.12 | 0.86 | 0.83 | 0.80 | 1.10 | 1.10 | 0.79 |
| cyclohexane | 1.71 | 1.40 | 1.18 | 1.20 | 1.46 | 1.06 | 1.55 | 1.09 | 0.86 | 1.21 | 1.22 | 1.61 |

| | | | | | | | | | | | | |
|-------------------------|------|------|------|------|------|------|------|------|------|------|------|------|
| 2,3-Dimethylpentane | 2.26 | 1.61 | 2.39 | 1.65 | 2.23 | 1.93 | 1.68 | 1.56 | 1.89 | 2.16 | 2.01 | 2.21 |
| 3-Methylhexane | 1.05 | 0.36 | 0.63 | 0.98 | 0.48 | 0.92 | 0.84 | 0.69 | 0.54 | 0.45 | 0.90 | 0.66 |
| 2,2,4-Trimethylpentane | 1.59 | 1.64 | 1.62 | 1.72 | 1.28 | 1.33 | 1.46 | 1.59 | 1.85 | 2.07 | 1.84 | 1.71 |
| n-heptane | 1.19 | 1.13 | 1.15 | 1.16 | 1.36 | 0.97 | 0.95 | 0.60 | 1.06 | 1.27 | 1.17 | 0.83 |
| methylcyclohexane | 0.34 | 0.56 | 0.97 | 0.69 | 0.50 | 0.55 | 0.75 | 0.42 | 0.57 | 0.70 | 0.81 | 0.86 |
| 2,3,4-trimethylpentane | 0.76 | 0.76 | 0.87 | 1.11 | 0.89 | 0.90 | 0.81 | 0.67 | 0.95 | 0.81 | 0.88 | 0.97 |
| Toluene | 0.79 | 0.47 | 0.63 | 0.50 | 0.75 | 0.39 | 0.49 | 0.64 | 0.91 | 0.71 | 0.68 | 0.52 |
| 2-methylhexane | 1.56 | 1.69 | 1.39 | 1.32 | 1.61 | 1.73 | 1.37 | 1.50 | 1.02 | 1.93 | 1.08 | 1.25 |
| 3-methylhexane | 0.47 | 0.31 | 0.51 | 0.83 | 0.79 | 0.70 | 0.54 | 0.78 | 0.47 | 0.50 | 0.67 | 0.61 |
| n-octane | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| ethylbenzene | 0.73 | 0.81 | 1.10 | 0.83 | 0.48 | 0.72 | 0.51 | 0.61 | 0.68 | 0.79 | 0.79 | 0.92 |
| m,p-Xylene | 0.52 | 0.31 | 0.83 | 0.22 | 0.32 | 0.24 | 0.45 | 0.36 | 0.01 | 0.53 | 0.57 | 0.22 |
| styrene | 0.31 | 0.41 | 0.23 | 0.27 | 0.25 | 0.26 | 0.33 | 0.33 | 0.37 | 0.17 | 0.29 | 0.28 |
| o-xylene | 0.59 | 0.83 | 0.88 | 0.61 | 0.62 | 0.98 | 0.63 | 0.64 | 0.86 | 0.83 | 0.66 | 0.30 |
| n-nonane | 1.19 | 1.30 | 1.38 | 1.68 | 1.34 | 1.57 | 1.30 | 1.14 | 1.48 | 1.27 | 1.27 | 1.73 |
| iso-Propylbenzene | 0.33 | 0.13 | 0.49 | 0.32 | 0.51 | 0.25 | 0.29 | 0.11 | 0.01 | 0.34 | 0.39 | 0.22 |
| a-pinene | 2.10 | 1.47 | 1.67 | 2.02 | 1.87 | 1.97 | 1.77 | 2.43 | 1.53 | 1.72 | 1.60 | 1.83 |
| n-Propylbenzene | 2.03 | 1.91 | 1.88 | 2.15 | 1.89 | 2.24 | 1.69 | 1.86 | 1.69 | 1.88 | 1.91 | 2.23 |
| m-ethyl toluene | 1.54 | 0.95 | 0.91 | 1.08 | 0.79 | 0.90 | 0.64 | 1.02 | 0.99 | 0.97 | 0.64 | 0.95 |
| p-ethyltoluene | 0.55 | 0.64 | 1.22 | 0.74 | 1.06 | 0.98 | 0.74 | 1.01 | 0.73 | 0.84 | 0.59 | 0.53 |
| 1,3,5-Trimethylbenzene | 0.80 | 1.30 | 0.80 | 1.06 | 0.78 | 1.01 | 1.35 | 0.97 | 1.18 | 1.18 | 0.86 | 1.00 |
| o-ethyl toluene | 1.00 | 0.98 | 0.98 | 0.73 | 0.71 | 0.91 | 1.09 | 0.59 | 0.84 | 0.60 | 0.88 | 1.03 |
| β-pinene | 0.20 | 0.06 | 0.23 | 0.01 | 0.25 | 0.14 | 0.02 | 0.46 | 0.05 | 0.04 | 0.25 | 0.01 |
| 1,2,4-trimethyl benzene | 1.38 | 1.67 | 1.54 | 1.65 | 2.69 | 1.34 | 1.69 | 1.56 | 1.03 | 1.43 | 1.60 | 0.91 |
| n-decane | 1.60 | 1.84 | 2.02 | 0.93 | 1.52 | 1.88 | 1.91 | 1.58 | 1.97 | 1.53 | 1.93 | 1.58 |
| 1,2,3-trimethyl benzene | 1.14 | 1.05 | 1.80 | 1.22 | 0.96 | 1.70 | 1.37 | 1.11 | 0.67 | 0.88 | 1.53 | 1.32 |

| | | | | | | | | | | | | |
|-------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| m-diethyl benzene | 0.26 | 0.00 | 0.25 | 0.26 | 0.24 | 0.36 | 0.00 | 0.34 | 0.00 | 0.26 | 0.00 | 0.00 |
| p-diethyl benzene | 1.19 | 0.95 | 0.95 | 0.69 | 0.92 | 0.80 | 0.79 | 0.92 | 0.80 | 0.97 | 0.75 | 0.86 |
| NO _x | 16.35 | 16.32 | 14.22 | 15.74 | 15.93 | 11.94 | 14.37 | 14.00 | 15.79 | 14.78 | 13.75 | 14.23 |
| T | 10.25 | 9.69 | 9.45 | 9.69 | 9.18 | 10.77 | 10.61 | 10.74 | 9.81 | 8.76 | 8.71 | 9.50 |
| RH | 3.93 | 4.27 | 3.36 | 4.31 | 3.85 | 3.68 | 3.63 | 3.62 | 3.96 | 3.61 | 3.11 | 4.10 |
| SR | 3.35 | 3.64 | 3.94 | 3.86 | 3.34 | 3.73 | 3.77 | 3.72 | 3.44 | 3.30 | 4.25 | 3.83 |
| WS&WD | 4.28 | 3.08 | 4.29 | 3.15 | 2.63 | 3.59 | 3.42 | 4.05 | 4.13 | 3.52 | 4.63 | 3.71 |
| PM _{2.5} | 1.33 | 1.23 | 1.00 | 1.24 | 2.46 | 1.78 | 1.81 | 1.03 | 2.20 | 2.99 | 1.79 | 2.00 |
| CO | 3.00 | 4.03 | 2.97 | 2.72 | 2.91 | 3.88 | 3.78 | 3.64 | 3.41 | 3.05 | 3.54 | 2.49 |

62 **Note:** To verify the consistency between the different folds, we calculated the Pearson correlation coefficient (r) between the different folds as
63 shown in **Table R2**.

64

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Table R2. The Pearson correlation coefficient (r) between different folds in 2015

| Fold number | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|-------------|------|------|------|------|------|------|------|------|------|------|------|------|
| 1 | 1.00 | 0.98 | 0.98 | 0.98 | 0.98 | 0.95 | 0.98 | 0.98 | 0.98 | 0.98 | 0.97 | 0.98 |
| 2 | 0.98 | 1.00 | 0.98 | 0.98 | 0.97 | 0.95 | 0.97 | 0.97 | 0.98 | 0.98 | 0.96 | 0.96 |
| 3 | 0.98 | 0.98 | 1.00 | 0.98 | 0.97 | 0.96 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.97 |
| 4 | 0.98 | 0.98 | 0.98 | 1.00 | 0.98 | 0.96 | 0.98 | 0.98 | 0.98 | 0.97 | 0.97 | 0.98 |
| 5 | 0.98 | 0.97 | 0.97 | 0.98 | 1.00 | 0.96 | 0.98 | 0.97 | 0.98 | 0.98 | 0.97 | 0.97 |
| 6 | 0.95 | 0.95 | 0.96 | 0.96 | 0.96 | 1.00 | 0.98 | 0.98 | 0.96 | 0.95 | 0.97 | 0.96 |
| 7 | 0.98 | 0.97 | 0.98 | 0.98 | 0.98 | 0.98 | 1.00 | 0.98 | 0.98 | 0.97 | 0.98 | 0.98 |
| 8 | 0.98 | 0.97 | 0.98 | 0.98 | 0.97 | 0.98 | 0.98 | 1.00 | 0.98 | 0.97 | 0.98 | 0.97 |
| 9 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.96 | 0.98 | 0.98 | 1.00 | 0.98 | 0.97 | 0.98 |
| 10 | 0.98 | 0.98 | 0.98 | 0.97 | 0.98 | 0.95 | 0.97 | 0.97 | 0.98 | 1.00 | 0.97 | 0.97 |
| 11 | 0.97 | 0.96 | 0.98 | 0.97 | 0.97 | 0.97 | 0.98 | 0.98 | 0.97 | 0.97 | 1.00 | 0.97 |
| 12 | 0.98 | 0.96 | 0.97 | 0.98 | 0.97 | 0.96 | 0.98 | 0.97 | 0.98 | 0.97 | 0.97 | 1.00 |

66

67 Line 286-291: This is interesting. Is the decrease in RH usually accompanied with
68 changes in other parameters/conditions? Perhaps a change in weather system, cloud
69 cover (i.e. change in radiation), etc? Keep in mind that the features are not always
70 independent variables (certainly not the case in this work, which is perfectly fine). Say,
71 if two features A and B are equally important, the algorithm may give high importance
72 to A (or B) but very low importance to B (or A), thus giving the wrong impression that
73 A (or B) is important but B (or A) is not. I would be a little surprised if the negative
74 response of ozone to RH is really driven by NO₂ uptake under high RH, after all NO₂
75 is only moderately soluble.

76 **Response:** Thank you for your good comments. We agree with you that the features are
77 not always independent variables. RH may change accompanied by other
78 parameters/conditions. We correlated RH with solar radiation (SR) as you suggested.
79 However, RH and SR showed a weak correlation ($r < 0.1$). Meanwhile, we tested the
80 independence of RH and SR as shown in Table R3 or S4, which showed that RH and SR
81 are independent of each other. As shown in Table R2, the algorithm in this work is pretty
82 stable, which indirectly suggests the independence of RH on other parameters, unless
83 great variations of the RI of RH should be observed. In addition, Hu et al. (2011) found
84 that RH was negatively related to the rate constant of HONO formation. Thus, RH also
85 affects O₃ formation by influencing atmospheric OH radicals from HONO photolysis.
86 Therefore, the negative response of O₃ to RH was not just driven by NO₂ uptake under
87 high RH, but also by the deposition of O₃ and the decrease of HONO formation rate
88 constant. We added the discussion in Lines 289-296.

89 “In addition, it has been shown that RH is negatively related to the rate constant
90 of HONO formation (Hu et al., 2011). Thus, RH might also affect the O₃ formation by
91 influencing atmospheric OH radicals from photolysis of HONO. It should be noted that
92 the negative response of ozone to RH might also be resulted from the dependence of
93 RH on other parameters/conditions, such as SR. However, RH and SR showed a bad
94 correlation ($r < 0.1$). We further tested the dependence of the RI on RH and SR with or
95 without the counterpart as input. The stable RI values (Table S4) mean that RH and SR
96 are independent from each other.”

Table R3. Independence test between RH and SR

| name | RI value | | |
|------|--------------------|-------------|-------------|
| | RH and SR as input | RH as input | SR as input |
| RH | 0.68 | 0.68 | / |
| SR | 0.76 | / | 0.76 |

98

99 Code and data availability: The authors mentioned in the response that the random
100 forest model used in this work is not based on widely used software packages/platforms
101 (such as python/scikit-learn) but is developed in-house in MATLAB (this is impressive,
102 if implemented properly). The vast majority of the dataset used in work is also not
103 publicly accessible as of now. Please refer to the journal policy/guidelines on code and
104 data availability. Given the absolute critical role the random forest model is playing in
105 this work, I strongly recommend that the authors deposit the random forest model code
106 in FAIR (Findable, Accessible, Interoperable, and Reusable)-aligned reliable public
107 repositories.

108 **Response:** Thank you. We have deposited the random forest model code on GitHub
109 (<https://github.com/z-12/amt-2021-367.git>). We have revised it to “**The code can be**
110 **seen in GitHub** (<https://github.com/z-12/amt-2021-367.git>).” in Lines 354-355.

111

112 References

113 Hu, G., Xu, Y., Jia, L. Effects of relative humidity on the characterization of a photochemical smog
114 chamber. *J. Environ. Sci.*, 23, 2013-2018, [https://doi.org/10.1016/S1001-0742\(10\)60665-1](https://doi.org/10.1016/S1001-0742(10)60665-1),
115 2011.

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