



# Performance Characterization of Low-cost Air Quality Sensors for Off-grid Deployment in Rural Malawi

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Abstract. Low-cost gas and particulate sensor packages offer a compact, lightweight, and easily transportable solution to address global gaps in air quality (AO) observations. However, regions that would benefit most from widespread deployment of low-cost AQ monitors often lack the reference grade equipment required to reliably calibrate and validate them. In this study, we explore approaches to calibrating and validating three integrated sensor packages before a 1 year deployment to rural Malawi using collocation data collected at a regulatory site in North Carolina, USA. We compare the performance of five computational modelling approaches to calibrate the electrochemical gas sensors: k-Nearest Neighbor (kNN) hybrid, random forest (RF) hybrid, high-dimensional model representation (HDMR), multilinear regression (MLR), and quadratic regression (QR). For the CO, O<sub>x</sub>, NO, and NO<sub>2</sub> sensors, we found that kNN hybrid models returned the highest coefficients of determination and lowest error metrics when validated; they also appeared to be the most transferable approach when applied to field data collected in Malawi. We compared calibrated CO observations to remote sensing data in two regions in Malawi and found qualitative agreement in spatial and annual trends. However, the monthly mean surface observations were 2 to 4 times higher than the remote sensing data, possibly due to proximity to small-scale combustion activity not resolved by satellite imaging. We also compared the performance of the integrated Alphasense OPC-N2 optical particle counter to a filter-corrected nephelometer using collocation data collected at one of our deployment sites in Malawi. We found the performance of the OPC-N2 varied widely with environmental conditions, with the worst performance associated with high relative humidity (RH > 70%) conditions and influence from emissions from nearby biomass cookstoves. We did not find obvious evidence of systematic sensor performance decay after the 1 year deployment to Malawi; however, overall data recovery was limited by insufficient power and access to technical resources at deployment sites. Future low-cost sensor deployments to rural Sub-Saharan Africa would benefit from adaptable power systems, standardized sensor calibration methodologies, and increased regulatory grade regional infrastructure.





## 1 Introduction

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Ambient air pollution is a leading cause of morbidity and premature mortality in Sub-Saharan Africa (SSA) (Murray et al., 2020). Sources of air pollution in SSA are expected to increase over time given the regional growth in population and energy demand, a biomass fuel dominated energy mix, and slash and burn agricultural practices (Shikwambana and Tsoeleng, 2020; Stevens and Madani, 2016; Liousse et al., 2014; Amegah and Agyei-Mensah, 2017). However, regulatory air quality (AQ) monitoring is uncommon in many SSA countries, partially due to the high cost of reference grade equipment (Amegah, 2018; Petkova et al., 2013). Remote sensing is a valuable tool to address these data gaps, but satellite observations alone have various shortcomings relative to in situ measurements (Martin et al., 2019). Additional validation with reliable surface measurements is required, particularly in SSA (Malings et al., 2020). In the meantime, low-cost gas and particulate sensor packages provide an affordable, compact, and easily transportable approach to supplement air quality networks in regions where reference grade instrumentation is not accessible. Malawi, located in southeastern Africa, provides a relevant context to investigate how lowcost sensors (LCS) can be used to address the global dearth of AQ observations. The Malawi Bureau of Standards published ambient air quality limits based on World Health Organization guidelines in 2005 (Mapoma and Xie, 2013; MBS, 2005), but there is no regulatory air quality monitoring program in the country to date. Previous studies on AQ in Malawi have primarily focused on indoor air quality or were unable to capture long-term trends (Fullerton et al., 2009, 2011; Jary et al., 2017; Mapoma and Xie, 2013). A reliable, affordable LCS monitoring network in Malawi could provide data to monitor the evolution of air quality and establish baselines for future AO management.

Given the potential applications, LCS deployments are increasingly common (Giordano et al., 2021). However, as the cost of 50 LCS decreases, so may the selectivity, linearity, and accuracy. Electrochemical gas sensors are prone to interference and crosssensitivities; they may respond to changes in temperature (T) and relative humidity (RH) or to the presence of gases other than the target analyte (Lewis et al., 2016a; Mead et al., 2013). Failure to properly account for these during calibration can result in substantial measurement error under ambient conditions (Lewis et al., 2016a; Cross et al., 2017; Castell et al., 2017; Mead et al., 2013). The calibration and application of LCS technologies to augment existing regulatory monitoring networks has been 55 widely explored (Cross et al., 2017; Hagan et al., 2018; Malings et al., 2019a, b; Mead et al., 2013; Zimmerman et al., 2018; Li et al., 2021), but historically there has been little standardization in calibration approach or performance evaluation (Castell et al., 2017; Duvall et al., 2021; Morawska et al., 2018; Rai et al., 2017). In response to this, the U.S. Environmental Protection Agency (EPA) recently released two reports outlining testing protocols, metrics, and target values to evaluate the performance of ozone and fine particulate matter (PM<sub>2.5</sub>) sensors for non-regulatory supplemental and informational monitoring applications in the U.S. (Duvall et al., 2021a, b). Unfortunately, there is no similar guidance for validating LCS for deployments in settings without in situ regulatory monitors. The deployment and evaluation of LCS packages in areas without existing AQ monitoring infrastructure is limited, but is becoming increasingly common (Chatzidiakou et al., 2019; Hagan et al., 2019; Subramanian et al., 2020, 2018). A lack of in situ regulatory monitors requires collocation, calibration, and validation at another site, potentially





under a set of environmental conditions different from those of the target environment. Advancements in laboratory chamber calibration may help resolve this issue; studies have shown that gas sensors can be exposed to and calibrated for a range of environmental conditions (i.e., gas concentration, RH, T, pressure, etc.), which may allow LCS cross-sensitivity and interference to be measured and controlled for before deployment (Williams et al., 2014b; Spinelle et al., 2016; Lewis et al., 2016b; Spinelle et al., 2015). However, studies of low-cost particle sensors have observed better performance under laboratory versus field conditions (Rai et al., 2017). For example, previous long-term field assessments of the Alphasense OPC-N2 optical particle counter have observed large variability with changing seasons, environmental conditions, and background pollution levels (Bulot et al., 2019; Rai et al., 2017; Sousan et al., 2016). Low cost optical particle sensors can systematically overestimate mass concentrations under high RH (>75%) conditions due to hygroscopic growth of the particles (Crilley et al., 2018; Di Antonio et al., 2018), with errors ranging from 100 to 500% depending on the aerosol hygroscopicity (Hagan and Kroll, 2020). Further, the complex chemical, physical, and optical properties of aerosol can complicate the field evaluation of low-cost particle sensors. For the Alphasense OPC-N2, particle composition may impact the sensor output by as much as a factor 30 (Rai et al., 2017; Sousan et al., 2016). A recent modelling effort by Hagan and Kroll (2020) found that the optical properties and particle size distribution of the source aerosol can result in errors of up to 100% and 90%, respectively, in mass measurements made by low-cost optical particle sensors. Measurement errors were highest for strongly absorbing aerosol dominated by small (< 300 nm) particles. These traits can be characteristic of aerosol emitted by biomass-burning (Reid et al., 2005), a dominant source of ambient PM throughout SSA (Marais and Wiedinmyer, 2016; Queface et al., 2011; Liousse et al., 2014). Stringent quality assurance is necessary to ensure the validity of LCS particle measurements in this environment.

In this study, we calibrated and evaluated the "ARISense", a moderate-cost, integrated gas, particle, and meteorological sensor package (Aerodyne, Inc.) for long-term field deployment to Malawi where comparison to regulatory grade equipment is not currently possible. Our objectives are to 1) evaluate various modelling approaches to calibrate the gas sensors using field collocation data from North Carolina, USA, 2) identify one modelling approach that is best suited to interpret 1 year of deployment data collected in Malawi, 3) estimate error in the particle sensor using a mass-corrected nephelometer, 4) compare calibrated observations in Malawi to remote sensing data products and surface measurements from similar environments, 5) characterize the stability and longevity of the sensors and calibration models after 1 year in the field and after repeated exposure to high-concentration emissions, and 6) provide contextual evidence of the benefits, limitations, and durability of this technology and methodology for our application. Lastly, we provide guidance on considerations to improve future remote deployment efforts. Detailed analysis and discussion of the full year of measurements collected in Malawi will be presented in a forthcoming complementary publication.

#### 2 Methods

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The ARISense sensors were collocated with reference equipment in North Carolina (NC) before and after deployment to Malawi. One OPC-N2, the ARISense particle sensor, was collocated with a semi-reference instrument at a field site in Malawi.

https://doi.org/10.5194/amt-2021-372 Preprint. Discussion started: 23 November 2021

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Instrumentation, collocation, and calibration are covered in Sect. 2.1 - 2.4. Performance assessment metrics are given in Sect. 2.5. Calibrated ARISense were deployed to Malawi (Sect. 2.6) and compared to remote sensing data products (Sect. 2.7).

#### 2.1 ARISense sensor packages

The ARISense package integrated the following sensors from Alphasense Ltd., UK: carbon monoxide (CO-B4), nitric oxide (NO-B4), nitrogen dioxide (NO2-B43F), total oxidants (Ox-B421), and the OPC-N2 optical particle counter. The ARISense reported voltage readings from electrochemical gas sensor working electrodes (WE) and auxiliary electrodes (AE); the sensor differential voltage ( $\Delta$ V) was calculated as WE – AE. The Alphasense OPC-N2 recorded counts in 16 size bins spanning particle diameters from 0.38 to 17.5 µm, mainly coarse (> 2 µm) and some accumulation mode (0.1 to 2 µm) aerosols (Badura et al., 2018; Crilley et al., 2018; Sousan et al., 2016). Although the OPC-N2 had embedded algorithms to convert count measurements into mass concentrations of PM<sub>1.0</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> (particulate matter with aerodynamic diameters less than 1.0, 2.5, and 10 µm, respectively), here the bin count data were manually integrated, converted to number concentration (cm<sup>-3</sup>) assuming unity measurement efficiency across the bin range, and then to mass concentration assuming spherical particles with uniform density (1.65 g cm<sup>-3</sup>). The values reported for PM<sub>2.5</sub> are PM<sub>2</sub>; the location of the adjacent bin separations (at 2.0 and 2.99 µm) did not allow direct estimates of PM<sub>2.5</sub>. However, this was only one of many contributing sources of error in approximating true mass concentration with the Alphasense OPC-N2. Given the minimum cut-off diameter, we were unable to measure (nor did we try to estimate) the mass from particles smaller than 0.38 µm.

We used four ARISense monitors in this study: serial numbers ARI013, ARI014, ARI015 (Version 1.0, 2017), and ARI023 (Version 2.0, 2018). The monitors were powered by solar panels charging external batteries and recorded data to an internal USB device. Additional environmental and meteorological sensors (i.e., T, RH, pressure, solar intensity, and noise) and system design are described in Cross et al. (2017). Details and images are provided in Supplementary Information, Sect. 1.

#### 2.2 Reference instrumentation

Gas concentration measurements for NO<sub>x</sub>/NO/NO<sub>2</sub> (Teledyne Model T200UP), CO (Thermo Scientific Model 48i-TLE), and Ozone (Ecotech Federal Equivalent Method instrument) were obtained from reference instruments operated by the North Carolina Department of Environmental Quality (NC-DEQ) and the Environmental Protection Agency (EPA).

The semi-reference MicroPEM (RTI International) instrument was used to assess the OPC-N2 in Malawi. The MicroPEM, equipped with T and RH sensors, sampled (0.50 L/min, 100% duty cycle) via a PM<sub>2.5</sub> inlet into a nephelometer (0.1 Hz) and 25 mm PTFE filter. Previous validation studies found the MicroPEM performed well across a wide range of ambient PM concentrations and the real-time nephelometer, after gravimetric correction, agreed with fixed-site reference monitors (Du et al., 2019; Williams et al., 2014a). However, deployments observed baseline (zero) drift and poor performance at RH conditions above 94% (Williams et al., 2014a; Zhang et al., 2018). To account for baseline drift, the MicroPEM was zeroed before each



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deployment using a HEPA filter. Additional details on the MicroPEM sensor, filter analysis, and quality assurance are provided in Supplementary Information, Sect. 1.

## 2.3 Gas sensor collocation and calibration

Before deployment to Malawi, ARI013, ARI014, and ARI015 were collocated with EPA and NC-DEQ reference instruments at a near-highway site near Durham, North Carolina, USA (35.865°N, 78.820°W) between 29 May and 15 June 2017 (boreal summer – warm, mild season). ARI013 and ARI014 were collocated for 17 days. ARI015 was collocated for only 8 days due to a defect identified early in the deployment. All data were recorded at 1 minute resolution. After their return from Malawi, ARI013 and ARI014 were collocated at the same site from 22 August 2018 and 20 March 2019. The Ecotech Ozone monitor at this site was no longer operational. As a proxy, we obtained ozone data (Thermo Environmental Instruments, Inc. Model 49i) from the Millbrook NC-DEQ site (35.856°N, 78.574°W), located < 20 km away. ARI015 remained in Malawi and was relocated to a new site. Collocation details are provided in Supplementary Information, Sect. 2.

The pre-deployment collocation data were used to train, test, and compare the performance of five calibration models to convert the raw voltage data to concentration units and to account for sensor interference and cross-sensitivities. Outlying data points in the raw ARISense gas sensor voltage data due to noise and power cycling were visually identified and removed; raw NO sensor data collected within 8 hours of a power cycle were also removed due to the extended warmup time of the NO-B4 sensor. ARISense data were time aligned with the reference data and both datasets were averaged to 5-min resolution. A random 70% of the collocation data were used for model training and the remaining 30% were withheld for testing. Assessment metrics were calculated only for the withheld data.

Table 1: Description of the five calibration modelling approaches and data inputs for each gas sensor and model combination (CO = carbon monoxide, NO = nitrogen oxide, NO<sub>2</sub> = nitrogen dioxide, O<sub>3</sub> = ozone).  $\Delta V$  is the voltage difference between the working electrode (WE) voltage and the auxiliary electrode (AE) voltage measured by each electrochemical gas sensor; RH = relative humidity, T = temperature, DP = dew point.

Gas Sensor	Data Inputs to Model	Model(s) applied
СО	CO ΔV, RH, T, & DP	All
NO	NO $\Delta$ V, RH, T, DP, & NO WE <sup>a</sup>	All except QR
$NO_2$	$NO_2 \Delta V$ , RH, T, & DP	All except QR
O <sub>3</sub>	Ox ΔV, DP, & NO2 ΔV b	All except QR

akNN hybrid only

<sup>b</sup>RF hybrid only



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Individual calibration models were built for each gas sensor (O<sub>3</sub>, NO, NO<sub>2</sub>, CO) in each monitor (ARI013, ARI014, ARI015) using five modelling approaches: k-Nearest Neighbor (kNN) hybrid (Hagan et al., 2018), Random Forest (RF) hybrid (Malings et al., 2019a), High-Dimensional Model Representation (HDMR) (Cross et al., 2017), quadratic regression (QR) (Malings et al., 2019a), and multi-linear regression (MLR). The modelling approaches are summarized in Table 1. O<sub>3</sub> models were designed to account for sensor cross-sensitivity to NO<sub>2</sub> (Cross et al., 2017).

## 2.4 OPC-N2 collocation and calibration

ARI023 was collocated with a MicroPEM in an ambient, combustion source-influenced environment on a house rooftop (4 m above ground level) in Mikundi village in Mulanje District, Malawi (16.056°S, 35.535°E) between 25 July 2018 and 7 August 2018 (austral winter – cool, dry season). We collected 130 hours of collocation data over three multi-day collection periods (i.e., 3 PTFE filters). A 75% completeness requirement was applied before the raw 1 min data were averaged to 1 h and 24 h intervals. Sub-daily averaging intervals were used to assess the OPC-N2 for near real-time (1 min) and diurnal trend (1 h) monitoring applications. A bin-wise RH-correction algorithm based on  $\kappa$ -Köhler theory was applied to correct for hygroscopic growth under high RH conditions, initially assuming particle density ( $\rho$ ) equal to 1.65 g cm<sup>-3</sup> and aerosol hygroscopicity ( $\kappa$ ) of 0.6 (Di Antonio et al., 2018). To observe sensitivity of this correction to the assumed hygroscopicity, the density was held constant at 1.65 g cm<sup>-3</sup> and the  $\kappa$  value was varied ( $\kappa$  = 0.15, 0.6, and 1). To observe variability due to the assumed source of the aerosol, the density and hygroscopicity were varied to approximate ammonium nitrate, dust, wildfire, and background aerosols. Aerosol property assumptions ( $\kappa$  and density) are based on Hagan and Kroll (2020) & Petters and Kreidenweis (2007). More detail is available in Supplementary Information Sect. 3.

## 2.5 Assessment metrics

Metrics and target values adapted from recent U.S. EPA guidelines (Duvall et al., 2021a, b) were used to assess ARISense performance (Table S4). To assess linearity, the correlation between estimated and true concentrations was calculated using the coefficient of determination (R²). Instead of the EPA recommended Root Mean Square Error (RMSE) metric, the Mean Absolute Error (MAE) was used to assess error in the estimated measurements compared to the true values. The coefficient of variation (cV) was used to assess precision. To assess bias, a linear regression model was fit, and the slope (m) and intercept (b) were calculated.

To estimate confidence in hourly-averaged OPC-N2 measurements made under characteristic field conditions in Malawi, we calculated 68% (1-sigma) prediction intervals using the Malawi collocation data set (Fig. S9). The 60-min averaged observations were used to fit a linear model, which required a Box-Cox transformation (Box and Cox, 1964) to obtain normally distributed residuals (Fig. S10). Details and quantitative descriptions are given in Supplementary Information, Sect. 4.



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# 2.6 Deployment to Malawi

ARI013, ARI014, and ARI015 were deployed to their respective monitoring locations in Malawi from July 2017 to July 2018. The three locations were selected to provide regional variation. ARI013 ("Village 2" site) and ARI014 ("Village 1" site) were deployed < 5 km apart in two nearby rural villages in Mulanje, Malawi. ARI015 ("University" site) was deployed >375 km northwest of the village sites at a rural university campus ~30 km from the capital city (Lilongwe). Satellite images, maps, and site characteristics are given in Supplementary Information, Sect. 5.

## 2.7 Remote sensing data

Two publicly available NASA data products were obtained from the Goddard Earth Sciences Data and Information Services Center (GES-DISC) Interactive Online Visualization and Analysis Infrastructure (GIOVANNI): 1) area-averaged, monthly Multispectral CO Surface Mixing Ratio (Daytime/Descending) from MOPITT (a satellite observation), and 2) CO Surface Concentration - ENSEMBLE from MERRA-2 (a global reanalysis product); henceforth referred to as "MOPITT" and "MERRA-2". Monthly averaged MOPITT and MERRA-2 observations were compared to ARISense CO surface data collected at the Village and University locations. Given the physical proximity of Village 1 and Village 2, and the similarity in monthly mean CO concentration at each site (Fig. S17), the average of the data sets ("Village") was used. Additional details are given in Supplementary Information, Sect. 6.

## 3 Results and discussion

#### 3.1 Gas sensor performance during collocation

Raw gas sensor voltages from all three ARISense monitors (ARI013, ARI014, ARI015), excluding the  $O_x$  sensor in ARI015, were highly correlated ( $R^2 > 0.8$ ) during the pre-deployment collocation, suggesting changes in sensor response were due to environmental changes, not sensor-to-sensor variability (Fig. S3). The sensors in ARI013 and ARI014 were most closely correlated ( $R^2 > 0.9$ ). The raw ARI015  $O_x$  sensor data showed weaker temperature dependence and the lowest correlation ( $R^2 < 0.6$ ) with  $O_x$  sensors in ARI013 and ARI014.

Figure 1 shows two performance metrics representing each sensor-model combination; points toward the lower left corner of each panel indicate better performance. Gas sensors responded differently to calibration; the NO<sub>2</sub> sensors in all three ARISense were the least correlated with reference measurements (R<sup>2</sup> < 0.6) compared to the other gas sensors. Only one NO<sub>2</sub> sensor, calibrated by the RF hybrid model, achieved the target value for the linearity metric (R<sup>2</sup> > 0.8). The O<sub>x</sub> sensors returned the highest performance metrics of the gas sensors; all modelling approaches attained similar performance metrics (0.85 < R<sup>2</sup> < 0.98 and 1.5 < MAE < 3.5 ppb), well within the target values. The NO and CO sensors performed similarly, considering MAE values compared to the typical ambient concentration ranges; ambient CO concentrations are generally 1-2 orders of magnitude larger than NO<sub>x</sub>.



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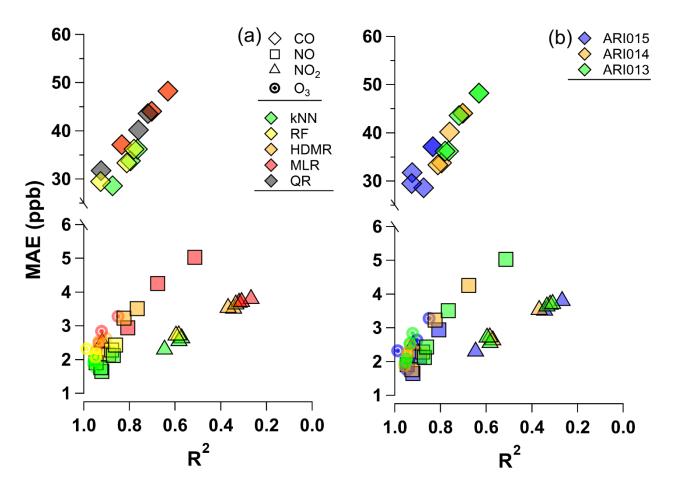


Figure 1: Performance comparison of gas sensors grouped by (a) modelling approaches and (b) ARISense monitor. Each data point represents the paired metrics (MAE and R²) for the four gas sensors (O₃, NO₂, NO, CO) in the three ARISense monitors (ARI013, ARI014, ARI015) as calibrated by five types of calibration models (kNN hybrid, RF hybrid, HDMR, MLR, QR). MAE is mean absolute error. R² is the coefficient of determination (-infinity ≤ R² ≤ 1). The lower left corner region of each panel indicates the highest performance based on these metrics.

For the suite of gas sensors in the ARISense monitors, we found the hybrid models (RF and kNN) to be the best among the modelling approaches used in the pre-deployment collocation testing, with the kNN hybrid slightly outperforming the RF hybrid for all four gas sensors (Figure 1a). The other modelling approaches (HDMR, MLR and QR) were similar in overall performance, however the MLR failed to meet target values for some ARISense-gas sensor combinations. The HDMR models were set to allow only first-dimensional interactions, as second-order interactions led to spurious results for data collected



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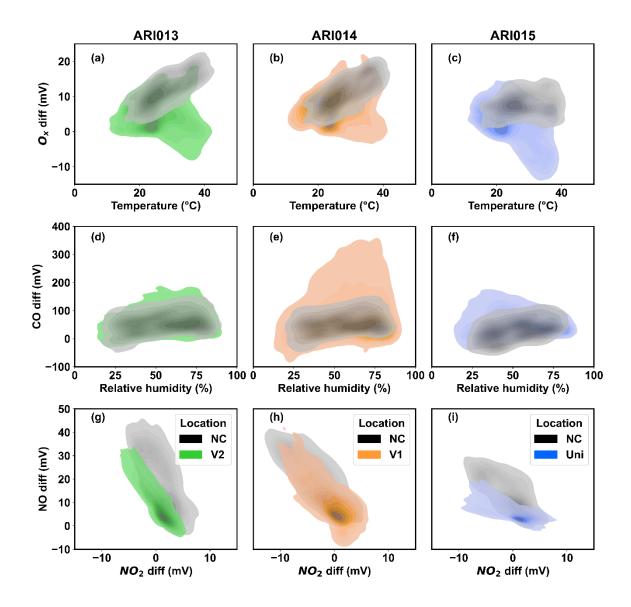
outside the bounds of training data (see Sect. 3.2 - on deployment conditions). For the CO sensors, this effectively made the HDMR model equivalent to the MLR model. The statistical metrics achieved by both models were identical, making these points darker in Figure 1. None of the ARISense consistently performed better than the others (Figure 1b); overall performance varied by gas sensor type and modelling approach.

## 235 3.2 Gas sensor performance during deployment

Given that RH, T, DP, and differential voltage were inputs to the calibration models, the ranges of these values during training and testing should mimic the ranges expected during deployment. Otherwise, the model is required to extrapolate beyond its training bounds, which could lead to non-physical results (e.g., negative concentration values). Further, the testing statistics derived from the collocation cannot be expected to hold for conditions far beyond those experienced during the performance characterization. Overall, the collocation and deployment settings exhibited a similar range of environmental conditions (Fig. S19-22), but T and RH ranges in NC (15 to 40°C and 20 to 80%) were less extreme than in Malawi (10 to 45°C and 10 to 95%). While in Malawi, the ARISense experienced more time at lower temperatures (T < 25°C), lower gaseous concentrations (other than CO), and lower ambient pressure (5 to 15 kPa lower depending on site). Although the ARISense were deployed at a higher elevation in Malawi than during the collocation in North Carolina (625 m vs 120 m ASL), all models were built using the differential voltages (WE-AE) of each electrochemical gas sensor. Therefore, the pressure-related shifts in the WE and AE baseline were not expected to pose an issue to the calibrated Malawi data; this variation in pressure was within the operating range given on the sensor specification sheets (80 to 120 kPa) and was stated not to have long term impacts by the manufacturer (Alphasense FAQs, 2021). Even so, we did not have the laboratory chamber data to investigate this potential issue.

Figure 2 shows bivariate distributions of T, RH, and gas sensor differential voltage data collected in NC and Malawi. In addition to capturing interactions between variables, Figure 2 shows that even when in the same environment during the NC collocation, the individual sensors in each ARISense responded differently. Compared to ARI013 and ARI014, the Ox sensor in ARI015 showed weaker temperature dependence (Fig. 2c). Since ARI015 had a shorter collocation period, it could be hypothesized that if ARI015 were present in the collocation environment for the same amount of time as ARI013 and ARI014, its response would look more like the ranges measured by the other sensors. However, this cannot fully explain the variation between individual sensors. For example, there is considerable variation between the ARI013 and ARI014 NO<sub>2</sub> differential voltage ranges (Fig. 2g-h), despite having identical collocation periods. Further, the raw CO sensor data for all three monitors showed much less inter-sensor variation (Fig. 2d-f), even despite the shorter collocation period of ARI015. This inter-sensor variation, which appears largest for the NO<sub>2</sub> sensors, may partially explain the lower performance of this gas sensor group during calibration model performance testing, compared to the other gas sensor types (Figure 1).





**Figure 2:** Bivariate distributions of gas sensor calibration model data inputs for each ARISense monitor using kernel density estimation. Density is reflected in the color scheme; Darker colors indicate more data points in that region. Training data collected in North Carolina are shown in grey; data collected during deployment to Malawi are shown in color. Regions where the deployment location distributions overlap with the NC distributions indicate the regimes for which the calibration models were trained. Regions where the deployment location distributions extend beyond the NC distributions indicate regimes where the calibration models extrapolated to estimate pollutant concentrations.



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There were notable regimes in Malawi that required the calibration models to extrapolate beyond NC training conditions. NO differential voltage responses in NC and Malawi did not completely overlap (Fig. 2g-i), especially in the low-concentration regime (i.e., V near 0 mV) which was more frequent in Malawi. The collocation site in NC was 10 m from an 8-lane freeway (Saha et al., 2018), therefore NO<sub>x</sub> concentrations were higher than in rural Malawi where vehicles and industry are rare. However, for ARI014 in Village 1, there was a higher NO<sub>2</sub> response in the deployment environment compared to the collocation environment. This could be partially explained by sensor interference by RH and T, which were more extreme (i.e., beyond the training ranges) in Malawi (Fig. S23). Figure 2e shows the maximum ARI014 CO differential voltage in Malawi (350 mV) was 3 times higher than the maximum voltage registered in NC (100 mV), but this difference was aligned with observations of nearby sources. We expected higher CO in Malawi than in NC, where biomass burning is less common and other sources (e.g., vehicles) are well controlled. ARI014 was deployed in a densely populated village, adjacent to more biomass cookstove activity than ARI013 or ARI015.

The  $O_x$  differential voltage ranges were the most dissimilar between the collocation and deployment environments. The most frequent regimes, the heaviest shaded regions in Fig. 2a-c, did not overlap for any of the ARISense. In NC, the relationship between the  $O_x$  sensor voltage and ambient temperature was positive and approximately monotonic. Generally, higher temperatures facilitate ozone production, therefore this relationship fit our expectation for an urban site in a single season. However, the positive relationship between  $O_x$  sensor voltage and temperature did not always hold in the deployment sites. Figure 2a-c shows a high temperature-low ozone regime in Malawi that was not present in the NC data, presumably due to differences in ozone precursor regimes. Further, for all three Malawi sites, the minimum  $O_x$  sensor voltages were lower (-10  $< V_{min} < 0$ ) than minima in the NC collocation.

Given the absence of reference monitors in Malawi, we calculated and compared the annual mean diurnal trends of each pollutant, at each site, as predicted by the five models to assess the transferability of the calibration models to Malawi. Our definition of a transferable model required that it produce: (a) non-negative concentration values and (b) diurnal trends consistent with our observations and knowledge of nearby emission sources, regional air quality, and atmospheric chemistry. Non-physical predictions from a given model may indicate that differences between the collocation and deployment environments were too large to reasonably extrapolate and therefore any field results calibrated by that model are likely not reliable. Alternatively, coherency among the concentration values and trends estimated by the models may suggest that the field results are robust against variation in the modelling approaches and can contribute to our confidence in the estimated concentration values and trends. Diurnal trends in Figure 3 suggest the kNN hybrid model was the most transferable for interpreting field data for all gas sensors. However, both the kNN and RF hybrid models predicted similar trends and values for most sensors. The MLR and HDMR models also predicted similar trends, but frequently predicted negative values.

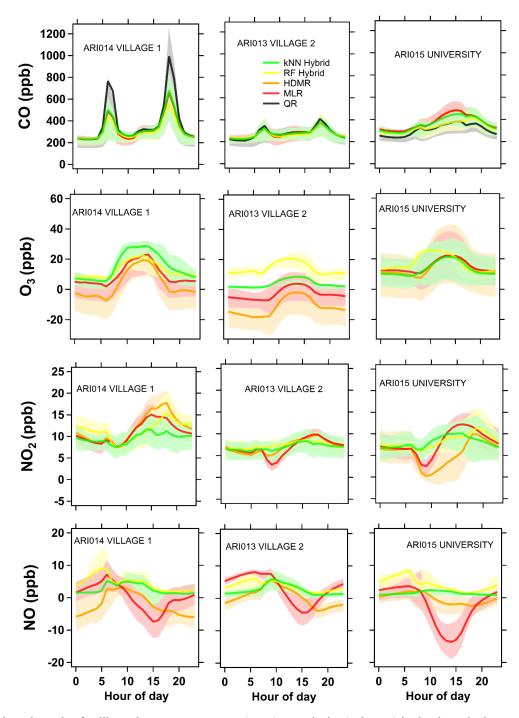


Figure 3: Diurnal trends of calibrated gas measurements (rows) at each site (columns) in the three deployment environments. QR model built for and applied to CO data only. The thick line indicates hourly mean, the shaded region indicates interquartile range. Midnight is the zero hour. The hours are in local time.





Calibrated CO data showed the highest coherency across model predictions (Fig. 3). Calibrated CO data were rarely nonphysical. All models predicted similar diurnal trends, specific to each site. Knowledge of the nearby emission sources and activity patterns lend support to the calibrated CO data; for example, the village monitors were adjacent to widespread household biomass cookstove activity, coincident with the concentration peaks seen in the diurnal trend. This diurnal cooking pattern was observed in both CO and OPC-N2 (Figure 7) data at both village sites and was measured in complementary emissions monitoring work (Bittner et al, in prep). Further, ARI014 was in a more densely populated village than ARI013, contributing to higher CO peaks. The QR model overestimated CO peaks compared to other models for the Village 1 data, likely because the model training set did not include high concentration data (Fig. 2e) and the quadratic term was not well constrained. Despite the calibrated CO measurements in Malawi being higher than the concentrations experienced in NC, particularly for ARI014 in Village 1, we expect that the calibrated CO measurements from Malawi are credible. We provide the following reasons for justification: a) the manufacturers report that the sensor response is expected to be linear up to 500 ppm (Alphasense, LTD., 2019), b) we expect that RH/T interference has less influence on sensor readings in the higher concentration regime, c) all modelling approaches (other than QR) predicted highly similar diurnal trends and concentration values, and d) there were known CO emission sources, with diurnal usage patterns matching the observed trends, near the monitoring sites. This suggests, for this specific sensor under these conditions, that these modelling approaches (other than QR) could reliably extrapolate beyond the training data limits to provide reasonable measurements in the deployment environment.

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The calibrated NO<sub>x</sub> data showed less coherency than the CO data. NO<sub>2</sub> trends were largely similar across the sites and concentrations were rarely negative, but calibrated NO trends varied across models and the lower performing models (HDMR and MLR) often predicted negative values. The better models identified in the NC collocation, kNN and RF hybrid, suggested that mean ambient NO<sub>x</sub> levels in Malawi were low (< 15 ppb). We have lower confidence in the calibrated NO<sub>x</sub> measurements in Malawi for the following reasons: a) the calibrated observations (5 to 20 ppb) were on the same order of the noise level reported on the sensor specification sheets (15 ppb) and b) the lack of coherency observed between model predictions. Low ambient NO<sub>x</sub> levels and a lack of representative data in the NC collocation data likely contributed to the non-physical concentrations predicted by the models in Malawi.

The calibrated O<sub>3</sub> sensors performed the best during collocation testing compared to the other gas sensors, but in Malawi the calibration models frequently returned non-physical values and showed inconsistent annual diurnal trends between the models and across the sites. For ARI014 and ARI015, the O<sub>3</sub> trends were roughly consistent in shape and magnitude and were aligned with the expected diurnal trend (i.e., peaking at midday). Peaks in the mean concentration were between 10 and 30 ppb, plateauing from 10 AM and 3 PM LT. The RF hybrid model at the ARI015 University site estimated the O<sub>3</sub> peak to occur earlier in the day compared to the other models and sites. This may be the result of a spurious relationship between O<sub>x</sub> voltage and DP in the collocation data set on which the RF Hybrid model was trained, which held at the Village sites but not at the



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University site. At the Village 2 site (ARI013), there was a change in raw differential voltage response after December 2017 that caused all models to fail for the second half of the deployment. All models either consistently predicted negative values, values < 1 ppb, or failed to reproduce the expected diurnal trend (i.e., peaking around 9am rather than midday). Only ozone data collected before Dec 2017 resulted in reasonable calibrated values and trends (Fig. S24). Notably, ozone data collected after Dec 2017 corresponded with the high temperature-low ozone regime (Fig. S25) shown in Figure 2a-c. Despite the O<sub>x</sub> differential voltage data spanning a similar range in both NC and Malawi, there was little overlap in the O<sub>3</sub> dimension at comparable concentration, RH, and T conditions. Since ozone is a secondary pollutant driven by complex atmospheric processes and multiple precursors, the ambient conditions that increase or decrease ozone formation in one region may not hold in another environment. Ultimately, although the calibrated ozone sensors performed better than the other gas sensors in NC, the models were tuned for a set of conditions that did not hold in Malawi. This suggests that for these O<sub>x</sub> sensors and these modelling approaches, a lack of environmentally similar collocation data compromised our ability to reliably interpret calibrated O<sub>3</sub> measurements in this specific deployment environment.

## 3.3 Comparison of ARISense CO to remote sensing data

Given that calibrated CO observations were the most reliable of the ARISense gas data, we compared ARISense values to 355 remote sensing data products available for Malawi. Figure 4 shows the mean monthly CO from the University (ARI015) and Village (ARI013 and ARI014) sites compared to that from two area-averaged remote sensing products: CO surface mixing ratio from MOPITT and CO surface concentration from MERRA-2. All three data sets were compared from July 2017 to July 2018, focusing on differences between the peak agricultural burning (Sept to Oct) and non-burning (Dec to Jul) seasons. 360 November and August were excluded from either description (peak burning or non-burning) for the following reasons: (a) a review of fire studies in the region consistently reported Sept and Oct as the dominant months of the burning season (Nieman et al., 2021), (b) Aug and Nov mark the beginning and end of the fire season, respectively, therefore cannot be considered nonburning months, (c) the exclusion of Aug and Nov better captures strong seasonal differences, providing a measurable benchmark to compare the satellite and surface data, and (d) ARISense data for the Village sites was unavailable for Nov 2017 365 (see Sect. 3.7 - on difficulties in deployment). The MERRA-2 data set was complete for the full year of interest, but MOPITT was missing data for the Village region in February and March 2018. The remote sensing data sets were more similar to one another at the Village site compared to the University site. At both sites, MOPITT reported higher CO concentrations than MERRA-2, especially in the peak season.

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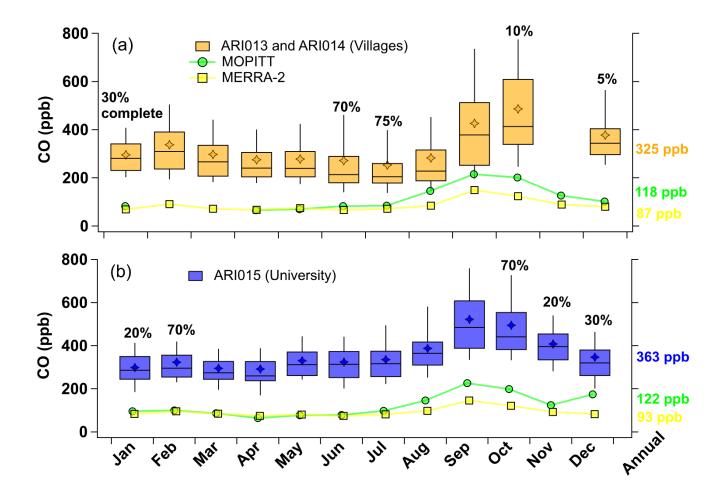


Figure 4: Monthly carbon monoxide (CO) concentration (ppb) reported by the surface ARISense (Tukey box plots) and remote sensing data products (lines and markers indicating mean monthly value) at the (a) Villages and (b) University sites. Top and bottom of boxes indicate 75th and 25th percentiles, whiskers show 9th and 91st percentiles, midline indicates median, and stars indicate mean. The ARISense surface data were at least 80% complete for each month except where noted with a percentage text label. Data for July 2017 and July 2018 were averaged. Villages data include data from ARI014 (Village 1) and ARI013 (Village 2). The annual mean from each data source is given on the right axis. MOPITT (Multispectral CO Surface Mixing Ratio Daytime/Descending) is a satellite measurement; MERRA-2 (CO Surface Concentration -ENSEMBLE) is a global reanalysis product.

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All three datasets (MOPITT, MERRA-2, and ARISense) indicated that annual mean CO concentrations were higher overall at the University site than at the Village site, although this was less pronounced in MERRA-2. Although ARISense surface observations showed the diurnal trends at the Villages differ from the University site, and the peaks associated with cooking emissions were much more pronounced, the overall mean concentrations at the University were higher. Similarly, all three



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385 data sets showed increased ambient concentrations during the peak burning season compared to the non-burning season at both sites. For ARISense, MOPITT, and MERRA-2 observations, respectively, peak season means were larger than non-burning season means by 160 ppb, 130 ppb, 60 ppb (Village) and 190 ppb, 115 ppb, 50 ppb (University). Although the ARISense indicated larger absolute differences between seasons, the relative increase at both sites was only about 50% of the non-burning season mean, while MOPITT and MERRA-2 reported increases of 125% and 75%, respectively. This could be explained by 390 ARISense proximity to small-scale combustion activity not resolved by satellite imaging. Satellite-based observations approximate ambient background concentrations, which increased during the peak season due to regional agricultural burning. Meanwhile, the ARISense were exposed to ambient background concentrations as well as nearby biomass emissions, which likely remained consistent throughout the year, showing a lower relative seasonal increase. Quantitative disagreement between surface and remote CO observations was highest during the burning season (Figure 4). Remote sensing data suggested higher 395 CO concentrations at the University compared to the Villages during non-burning periods, but during the peak burning season this difference shrank and similar concentrations were observed across the sites. Conversely, differences between ARISense sites grew by about 6% during the peak season. MERRA-2 and MOPITT concentrations were highest in September, consistent with ARISense data at the University site, but not the Village site which peaked in October. However, 90% of the October CO data were missing for the Village site.

Monthly mean CO ARISense values were 2 to 4 times higher than those reported by MOPITT and MERRA-2. We found differences of 175 to 200% between the annual mean CO concentration from ARISense and MOPITT, depending on the site, and even larger differences (up to 360%) with MERRA-2. Differences between MOPITT and MERRA-2 were smaller (30 to 35%). There are few comparable studies available to explain these differences, which are greater than previously reported in the literature available for SSA. Some studies in SSA reported good agreement (within 20% bias) between ground measurements and MOPPIT/AIRS observations, however this was usually for Total Column CO (Buchwitz et al., 2007; Toihir et al., 2015). Others reported differences of 60 to 80% between the ground-based and satellite observations, and negative satellite bias when intense biomass plumes affected observations, when CO levels were low in the Southern Hemisphere, or when atmospheric CO levels changed rapidly (Buchholz et al., 2017; Emmons et al., 2004; Yurganov et al., 2008, 2010). Presently, comparisons between satellite and surface aerosol observations in Africa report moderate to poor agreement (Hersey et al., 2015; Malings et al., 2020).

The observed differences in estimated CO, although large, do not disqualify the ARISense or MOPITT/MERRA-2 observations. Satellite retrievals and real-time surface measurements do not result in directly comparable quantities. Satellite data are generally collected as a once-daily flyover observation, averaged over a ~12,000 square kilometer area. In contrast, the ARISense data were 1 min resolution, fixed-site, long-term point measurements at the surface. Further, the ARISense data were collected near emission sources and were not representative of background conditions. Despite this, the MOPITT, MERRA-2 and ARISense data sets agreed on the long-term seasonal trends present in this region, and even corroborated basic



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site-to-site differences (e.g., higher mean CO at University compared to Village site). These findings suggest the ARISense captured synoptic-scale variation in CO, but comparison to remote sensing data did not allow for a quantitative assessment of data collected at higher temporal resolutions.

#### 3.4 OPC-N2 performance during collocation

Pre-deployment collocation PM<sub>2.5</sub> measurements in North Carolina (where no reference monitor/data were available) from ARI013, ARI014, and ARI015 suggest the Alphasense OPC-N2 sensors in each monitor responded similarly (R<sup>2</sup> > 0.9) when in the same environment (Fig. S4). ARI013 PM<sub>2.5</sub> mass concentration measurements were biased high compared to measurements made by ARI014 and ARI015 (slope > 1), and ARI015 underestimated the mass at low concentrations compared to ARI013 and ARI014 (negative intercept and non-linear clustering at concentrations < 5 μg m<sup>-3</sup>). The OPC-N2 sensors in ARI014 and ARI015 showed the highest similarity (slope = 1 ± 0.05, R<sup>2</sup> = 0.96). Inter-unit variation in the slope and intercept and in low-concentration behaviour suggests the three sensors would require individualized calibrations to account for their differences.

Figure 5 shows scatter plots of the ARI023 OPC-N2 and MicroPEM data collected during collocation at the Village 2 site in Malawi. RH-correction partially mitigated the impact of overestimation due to hygroscopic growth but did not remove the artifact entirely (Fig. S6). RH-correction improved the precision and error metrics, bringing MAE within the target value (≤ 7 μg m<sup>-3</sup>) for all averaging intervals (Table S1). Increased averaging interval had a similar effect, but alone was insufficient to bring MAE within the target range. Changes in bias and linearity appeared driven by averaging interval. OPC-N2 RH-corrected 1 min data met three of the five suggested EPA target values (*m*, *b*, MAE) but 24 h averaged data only met one (MAE). The small sample was leveraged by a few points which drove metric values; however, close 1:1 agreement was observed for 4 of the 7 data points (Figure 5c). These results highlight the value of longer and more representative collocations; at least two 30 day collocations would likely be needed, during the hot-dry (Sep to Oct) and during the warm-wet (Nov to Apr) season, to characterize this specific site.

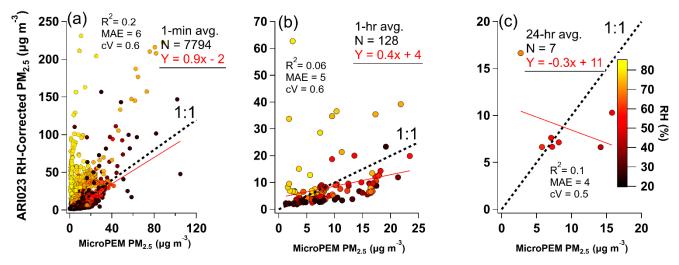
Even after RH-correction, the OPC-N2 overestimated mass concentrations compared to the nephelometer when RH was  $\geq$  70%. Conversely, the OPC-N2 often underestimated mass when RH was  $\leq$  30%. These effects were most noticeable at higher time resolutions (Figure 5a-b). The effects of RH were tempered by a longer averaging interval, however for a particularly humid day at this site, the 24 h mass concentration was overestimated by a factor of three (Figure 5c). Notably, the moderate-RH outliers in the 24 h average scatter plot suggest that other factors, in addition to RH, were contributing to error in the OPC-N2 observations.



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450 **Figure 5:** Scatter plots of RH-corrected PM<sub>2.5</sub> mass concentration measurements from the OPC-N2 versus mass-corrected PM<sub>2.5</sub> measurements from the MicroPEM at 1 min (a), 1 h (b), and 24 h (c) averaging intervals. Data points are colored according to RH (%) conditions. The number of data points (N) and linear fit lines and regression coefficients (*m*, *b*) are given in red as Y = *m*x + *b*. Additional metric values are inset: R<sup>2</sup> is the coefficient of determination, MAE is mean absolute error assuming the MicroPEM is the reference instrument (units of μg m<sup>-3</sup>), and cV is coefficient of variation. The black, dashed line is a 1:1 line.

To explore other possible contributors to variable OPC-N2 performance, Figure 6 shows performance for RH-corrected data stratified by environmental conditions (wind direction, ambient concentration, and RH). Figure 6a-b (wind direction and concentration) were selected to characterize the effect of nearby cookstove emissions, while Fig. 6c highlights the remaining effect of RH after correction. We hypothesized that ambient concentration and wind direction might impact OPC-N2 performance given that the site was periodically exposed to cookstove emissions from the household kitchen (within 15 m to NW) and from adjacent residences (within 50 m to the S-SW in Fig. S14). Figure 6a shows that wind direction was associated with performance variation, although to a lesser degree than RH (Fig. 6c). Slightly increased performance was observed for northerly winds. Nearby cookstove use potentially explained the decreased performance associated with southerly winds; four of the five morning cooking periods observed in the time series data were associated with wind blowing from the SE-S-SW (Fig. S8). Figure 6b shows that ambient concentration had a modest impact on OPC-N2 performance metrics; linearity and absolute error were expected to increase with concentration. Precision within each concentration bin was within or near the recommended target value (cV < 30%). However, the OPC-N2 frequently underestimated the ambient mass concentration compared to the MicroPEM, particularly during periods dominated by near-field biomass burning (i.e., slope = 0.4 for 20 to 105 µg m<sup>-3</sup>), likely due to its relatively high minimum cut-off diameter. During periods of cookstove influence, the size distribution, hygroscopicity, and optical properties of the measured aerosol were likely altered. Assumptions about the source aerosol, used to inform the RH-correction, were found to affect inferred OPC-N2 performance compared to the MicroPEM, though not predictably. For example, higher linearity and lower MAE were observed when the particle composition was



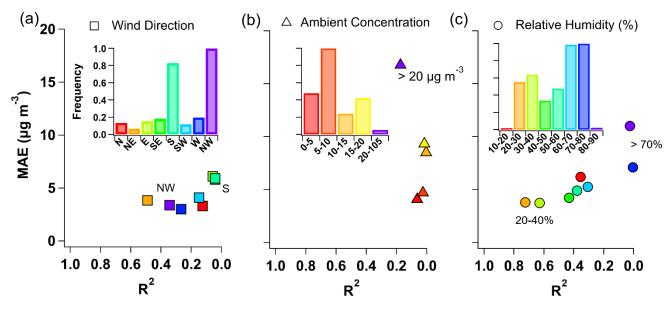
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assumed to be highly hygroscopic ( $\kappa = 1$ ), yet the least bias was observed at the lowest hygroscopicity tested ( $\kappa = 0.15$ ). Further, when the aerosol was assumed to be characteristic of wildfire (rather than ammonium nitrate, dust or background in origin), the bias between the OPC-N2 and MicroPEM disappeared (slope = 1.02), yet the error metric was the highest among the four aerosol categories, above the target value (Table S2-S3).



**Figure 6:** Performance (MAE and R<sup>2</sup>) of the Alphasense OPC-N2 compared to the RH-corrected MicroPEM under different environmental conditions: (a) wind direction, (b) ambient concentration, and (c) relative humidity during collocation at the Village 2 site in Mulanje, Malawi. The histograms (inset) show the normalized frequency distributions for the ranges of each condition recorded during the collocation period. The colored markers in each panel correspond to the histogram bin colors. The metrics were calculated from 60-min averaged RH-corrected OPC-N2 PM<sub>2.5</sub> concentrations compared to the MicroPEM mass-corrected nephelometer. MAE is mean absolute error, assuming the MicroPEM concentrations as the true values; R<sup>2</sup> is the coefficient of determination.

In this deployment site, the OPC-N2 performed the best compared to the MicroPEM during dry conditions (20 to 40% RH) and when measuring background aerosol rather than source emissions (Fig. S8 - presumed based on time series data). However, this result might be partially due to the coincident effects of high RH (Fig. 7). Figure 6c shows OPC-N2 behaviour was largely determined by changes in ambient RH (Fig. S7). In general, performance decreased with increasing RH, and this effect remained even after RH correction. For RH = 20 to 40%, RH-corrected OPC-N2 performance approached the target values for every metric except for precision (Table S1). After RH increased past 70%, the R<sup>2</sup> value approached 0 and the MAE increased beyond the target value. Unfortunately, the inset of Fig. 6c shows that an RH range of 60 to 80% was typical for this site during collocation.



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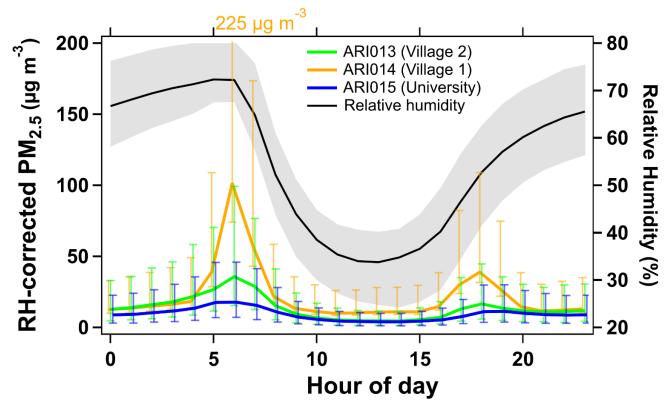
We found that the OPC-N2 at this specific site generally underestimated mass concentration compared to the MicroPEM, based on less than unity slope values, and the performance was variable at low ambient concentrations (Fig. S7). However, outside of very humid (RH > 70%) or very dry (RH < 20%) conditions, the RH-corrected OPC-N2 could estimate  $PM_{2.5}$  mass concentration within 6  $\mu$ g m<sup>-3</sup> of the MicroPEM value for real-time, hourly, and daily monitoring purposes. The findings from this section highlight the importance of quality assurance for low-cost optical particle sensor mass concentration measurements, especially those made in environments with highly variable meteorology and nearby ultrafine aerosol sources. For this site, contextual information on meteorology and emissions sources and their diurnal patterns helped interpret and evaluate the measurements.

# 3.5 OPC-N2 performance during deployment

To evaluate the long-term performance of the OPC-N2 during deployment in Malawi, we examined the representativeness of the collocation conditions for the full year of conditions experienced during deployment. Figures S26-27 show normalized histograms of the T, RH, and PM<sub>2.5</sub> mass concentration observed during the collocation and the full-year deployment in Malawi, suggesting the two data sets generally spanned a similar range of environmental conditions. However, the collocation occurred during the cool, dry season, and RH minima and maxima (regimes associated with poor performance during collocation – see Section 3.4) were more extreme during the 1 year deployment in Malawi.

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**Figure 7:** Diurnal trends of the (left axis) integrated mean PM<sub>2.5</sub> mass concentration measured by the OPC-N2 in each ARISense at each deployment site and the annual relative humidity at the Village 2 site, (right axis). Error bars represent the calculated 1σ (68%) prediction interval of the hourly mean value. The orange (ARI014) text annotation indicates the upper limit of the Village 1 prediction interval at 6 AM (beyond the range of shown y-axis). Thick lines indicate hourly mean and shaded regions indicate interquartile range.

Figure 7 shows the annual diurnal trend of the mean PM<sub>2.5</sub> mass concentration, with 1-sigma prediction intervals, using hourly-averaged data from each deployment location. Peak PM<sub>2.5</sub> concentrations were observed around 6 AM LT at all sites, when morning biomass cookstove activity coincided with high RH (and more atmospherically stable) conditions. Figure 3 shows that the diurnal trends of ambient CO (also emitted by biomass burning) were similar to the PM<sub>2.5</sub> diurnal trends at each site. Again, the largest peaks were observed at the more densely populated ARI014 Village 1 site. The prediction intervals were widest between 5 and 7 AM LT, indicating overall low confidence in OPC-N2 measurements during this period. Afternoon and overnight means, coinciding with drier conditions, were similar across all three sites and prediction intervals were narrowest during afternoons. These results suggest background concentrations of PM<sub>2.5</sub> in rural Malawi were low (5 to 15 μg m<sup>-3</sup>), but the OPC-N2 could not reliably quantify peak concentrations that were high and variable, dependent on the nearby sources and covariance with ambient meteorology (RH). Despite this, qualitative data from the OPC-N2 sensors was sufficient



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to identify nearby source activity and indicate periods when ambient concentrations were likely high enough to be harmful to 530 human health.

#### 3.6 Comparison to other ambient measurements in SSA

Surface concentrations and diurnal trends of ARISense CO and PM in Malawi were comparable to studies in Kenya, Rwanda, Ethiopia, Uganda and South Africa (Scheel et al., 1998; Toihir et al., 2015; Laakso et al., 2008; DeWitt et al., 2019; Subramanian et al., 2020; Nthusi, 2017; McFarlane et al., 2021). Comparison of O<sub>3</sub> concentrations suggested the calibrated ARISense observations likely underestimated actual concentrations. Little comparable data were available to assess NO<sub>x</sub> concentrations. The annual median (July 2017 to July 2018) ARISense surface concentrations estimated by the ARISense sensors were 9 to 11 ppb for NO<sub>x</sub>, 4 to 15 ppb for O<sub>3</sub> and 240 to 330 ppb for CO. A long-term ambient study at the Rwanda Climate Observatory found a mean CO concentration of 215 ppb from May 2015 to January 2017 (DeWitt et al., 2019), similar to our findings in Malawi. Another LCS study in Kigali, Rwanda observed a range in ambient CO concentration, from 225 to 500 ppb, at their rural and urban sites (Subramanian et al., 2020). Both studies of Rwanda found mean ambient O<sub>3</sub> concentrations of 30 to 40 ppb (DeWitt et al., 2019; Subramanian et al., 2020). The annual mean ARISense O<sub>3</sub> values were up to a factor of 10 lower, however, we identified quality assurance issues in the calibrated O<sub>3</sub> values, particularly for the second half of the deployment data. For a "relatively clean background site located in dry savannah in South Africa: the annual median (July 2006 to July 2007) trace gas concentrations were equal to 1.4 ppb for NO<sub>x</sub>, 36 ppb for O<sub>3</sub> and 105 ppb for CO" (Laakso et al., 2008). Background levels of NO<sub>x</sub> and CO at this site were 2 to 5 times lower than the ARISense annual means, yet background O<sub>3</sub> was in line with the Rwanda studies. The corresponding PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> median concentrations at the South Africa background site, 9.0, 10.5 and 18.8 µg m<sup>-3</sup>, respectively (Laakso et al., 2008), were comparable to ARISense observations. The annual median ARISense RH-corrected PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> concentrations were 4 to 7, 6 to 10, and 13 to 20 µg m<sup>-3</sup> depending on the site. These concentrations were also comparable to measurements from Kenya and to U.S. embassy measurements in Ethiopia and Uganda (DeWitt et al., 2019; Nthusi, 2017). Average ambient PM<sub>2.5</sub> concentrations (measured with an Alphasense OPC-N2) were found to be 11 to 24 µg m<sup>-3</sup> at various sites in Kenya, with pollution episode concentrations ranging from 35 to 51 µg m<sup>-3</sup> (Nthusi, 2017). These comparisons did not allow for quantitative evaluation of the ARISense, but nonetheless improved confidence in the CO and PM data.

## 3.7 Performance of ARISense sensor packages over time

Total data recovery for the 1 year deployment varied by site, season, and sensor, with rates ranging from 30% to 80%. Average recovery for the 1 year deployment was around 60%, with highest recovery at the University site (~80%) and lowest at Village 1 site (~40%) (Fig. S16). Data across all sites had the highest completeness (>70%) in the cool-dry (Jun-July-Aug 2017 and 2018) and the cool-wet season (Mar-Apr-May 2018). Data losses were mostly explained by power outages, software failures, and sensor warmup times after a power outage. Power outages were common in the warm-wet season (Dec-Jan-Feb) due to insufficient solar intensity resulting from extended periods of heavy cloud cover. At the ARI014 site, insufficient power led to



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an unanticipated diurnal cycle wherein the monitor would shut off in the early morning hours and require a few hours of solar power before turning on again. This daily cycle, coupled with the 8-hour long NO sensor re-equilibration time, led to almost 0% NO data recovery in the second half of the deployment for Village 1. In all, nearly 50% of data losses at the ARI014 site were due to system power failure or failure to write data to file. Corrupt USB storage devices, which we were slow to replace due to ongoing civil unrest (Lilongwe, 2017), resulted in significant data losses in the hot, dry season (Sept-Oct-Nov) at the two Village sites. Individual sensor failure was rare; however, some gas data were lost to electrochemical sensor drift and noise artifacts resulting from frequent power outages, and one OPC-N2 (ARI013) failed in the last 3 months of deployment due to an insect nest clogging the OPC inlet. In all, we recorded 6992 hours of data at the University site (ARI015), 5860 hours for Village 2 (ARI013), and 4720 hours for Village 1 (ARI014). Future deployments should include insect screens over all sensor inlets and improved battery storage and power systems that run at a longer duty cycle in the case of insufficient solar (e.g., power on only once battery is fully charged) to minimize the impact of sensor equilibration times on data recovery.

Since the monitors were deployed to their sites for >1 year, there was some observation overlap in seasonally similar data collected one year apart. To gain insight into sensor stability, we compared the data collected in the first month (July 2017) to the final month (July 2018) of the deployment, given that ambient environmental conditions were similar in July of both years (additional details in Supplementary Information, Sect. 9). It is not possible to know if the range of gas concentrations were significantly different between July 2017 and July 2018; we explored this analysis on the assumption that inter-annual variability in ambient concentrations was minimal. Bivariate distributions of the raw differential voltage readings from July 2017 and July 2018 showed that the most frequent observations (i.e., heaviest shaded regions) were approximately the same in both years (Fig. S29). Observable differences in the voltage measurements could be partially explained by known environmental differences. For example, the O<sub>x</sub> sensor voltages in July 2018 were lower on average than in 2017, but this was consistent with lower temperatures and higher RH in 2018 compared to 2017. However, there was potential evidence of slightly reduced or altered responses in individual sensors, particularly the NO sensors in ARI013 and ARI015 and the CO sensors in ARI013 and ARI014. For these sensors, the 2018 distributions had less spread than the 2017 distributions, suggesting either less variation in ambient concentrations in 2018 or decreased sensitivity in the sensors. Diurnal plots from both years showed that the raw mean voltages and trends were largely consistent (Fig. S30). However, again the most noticeable differences were in the individual CO and NO sensors identified from the bivariate distributions. For example, the CO peaks measured at mealtimes by ARI013 and ARI014 were about 50 mV lower in 2018 than 2017. These differences could be explained by lower concentrations in 2018 than 2017, changes in the raw sensor response over the 1 year period, or by both. Without reference equipment, we were unable to investigate sensor drift and decay more rigorously. This preliminary analysis suggests individual sensor responses likely were altered during the 1 year deployment, but there was no clear evidence for systematic deterioration within or across the electrochemical sensor groups used in the ARISense.





Calibrated CO data trends were consistent for both years, with the model responding as expected to the lower voltage readings in 2018 compared to 2017; for ARI013 and ARI014, the calibrated CO peaks at mealtimes were accordingly lower, by about 100 ppb, in 2018 (Fig. S31). However, although the raw  $O_x$  sensor trends in 2018 and 2017 were consistent for all the ARISense, the kNN hybrid model calibrated  $O_3$  data were highly irregular between the two years (Fig. S31). For example, the calibrated  $O_3$  data for July 2017 showed the expected diurnal pattern (concentration increasing with solar intensity) with plateaus between 15 and 40 ppb, depending on the site. Yet in July 2018, although the raw  $O_x$  diurnal data looked similar to 2017, the calibrated data for ARI013 and ARI015 showed noon-time values between 0 and 5 ppb, and the diurnal trend for ARI013 showed a flat line (i.e., not correlated with solar activity). This finding, that raw  $O_x$  sensor voltages were similar year to year while the calibrated  $O_3$  values were not, provides further evidence that the lack of comparable T/RH/ $O_3$  collocation data contributed to the non-physical  $O_3$  measurements made in the second half of the deployment at the ARI013 and ARI015 sites.

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Before their return to NC, ARI013 and ARI014 were used for high-concentration emissions monitoring experiments in rural Malawi in July and August 2018, after the 1 year ambient monitoring campaign was completed. The details (i.e., number of experiments, duration, approximate CO concentrations) of those experiments are discussed in Supplementary Information Sect. 10. ARI013 and ARI014 were then returned to NC and were collocated with reference instruments at the near-highway NC-DEQ site used in the pre-deployment collocation. The reference monitor data from the post-deployment collocation in NC (Aug 2018 to May 2019) were intended to enable investigation of changes in raw sensor response and model performance. However, the resulting data instead demonstrated that sensors had been severely degraded during the high-concentration exposures. In the post-collocation data, the raw differential voltage gas sensor responses in ARI013 and ARI014 were well correlated with each other ( $R^2 = 0.7$  to 0.9) (excluding the ARI013 O<sub>x</sub> sensor which was clearly degraded; Fig. S32), but less correlated than during the pre-collocation comparison (R<sup>2</sup> = 0.9 to 0.99). To facilitate comparison with the pre-collocation performance metrics shown in Fig. 1, the MAE and R<sup>2</sup> values for the post-deployment collocation are given in Table S7. Despite showing inter-sensor consistency, the raw differential voltage sensor measurements (other than CO) made by ARI013 and ARI014 were poorly correlated with reference measurements (Fig. S33-34). Inspection of the time series showed that the ARISense NO sensors tracked some spikes in the time-aligned NO reference data, but the NO<sub>2</sub> and O<sub>3</sub> sensors did not track reference data trends (Fig. S35-36). The time series of the differential voltage and temperature data suggest the gas sensors in ARI013 and ARI014 were responding similarly to changes in T and RH, but they were no longer sensitive to changes in the target gas (Fig. S35). This likely explains why the sensors in ARI013 and ARI014 were still well correlated with each other, but why they were not correlated with reference measurements. The calibrated CO data were the only sensor data still correlated with CO reference measurements, although the calibrated CO data showed aberrant features (Fig. S37-38). These ambient sensors (except for possibly the CO sensor) were not appropriate for high-concentration emissions monitoring and they did not survive extended exposure to near-source concentrations of biomass combustion emissions, leading to the poor performance during post-deployment collocations with reference instruments in NC. Given these dramatic changes in sensor





responses, models were unable to generate reasonable concentration values from sensor signals. The partial exception to this was for the kNN hybrid calibrated CO data, which was roughly correlated with the reference data ( $R^2 = 0.5$ ), suggesting that the CO sensors might retain some function after additional collocation and recalibration.

#### 4 Conclusions

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Our experience provided lessons regarding the design and deployment of low-cost AQ monitoring systems for off-grid applications. The ARISense packages survived the 1 year deployment to Malawi, however they suffered individual sensor failures and frequent power losses. Given that 30 to 50% of the deployment data were lost due to insufficient power and corrupt data storage systems, for future solar-powered deployment efforts we suggest that the power system be designed to allow for primary and secondary data recovery goals (i.e., a back-up plan to prioritize the most desirable data in the event of insufficient power). Further, we were frequently restricted in troubleshooting and repair operations by spotty cellular connection, limited human resources, and our inability to remotely locate and procure appropriate equipment. A repair kit with basic equipment (e.g., pre-programmed USB devices, alternate SIM cards, hand tools with attachments specific to each LCS, etc.) stored in a nearby, secure location would have allowed for quicker troubleshooting and repair. We suggest that in addition to solar power limitations, other potential confounding factors like extreme weather and limited technical capacity and assistance availability be considered before deployment to remote locations. We found that the more closely located the monitor was to a trained local assistant, the lower the overall data losses were.

The responses of the LCS were not remarkably different after 1 year in the field (Fig. S29-30), assuming actual concentrations did not vary significantly from 2017 to 2018. However, except for CO, repeated exposure to fresh biomass emissions completely degraded the sensors. Key manufacturer specifications indicated that the CO sensor was the most robust; the exposure limit was 40 times higher than that of the O<sub>x</sub>, NO, and NO<sub>2</sub> sensors. Further, the maximum temperature and RH range for the CO sensor was 50°C and 90%, respectively, and 40°C and 85% for the O<sub>x</sub>, NO, and NO<sub>2</sub> sensors. During deployment, the maximum ranges were occasionally exceeded for every sensor except CO. Operation beyond specified conditions, combined with repeated, although relatively short (< 100 hours), exposure to high concentration gases during the post-deployment emissions monitoring experiments, made the three less robust sensors unsuitable for future use. We caution end users to carefully select an appropriate sensor package given pilot information about the emission sources in their target site.

For this deployment site, detailed information on nearby sources, ambient environmental conditions and diurnal emission patterns was needed to help interpret the calibrated measurements from Malawi and assess their validity. Performance assessment in NC suggested that the ARISense sensor package (excluding the NO<sub>2</sub> sensor) calibrated by four of the five calibration models (excluding MLR) was be appropriate for supplemental monitoring based on U.S. EPA guidelines. However, performance during the pre-deployment NC assessment did not reflect performance in Malawi. A lack of coherency between calibration model predictions and frequent non-physical concentration values (Fig. 3) showed that LCS measurements made



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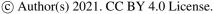


in deployment environments different from the collocation environment can be unreliable and may lead to biased information about the deployment environment. For example, although the  $O_x$  sensors showed the highest performance of all sensor types during collocation and the measured RH, temperature, and Ox voltage ranges were similar in the collocation and deployment environments, the calibrated O<sub>3</sub> data in Malawi was unreliable. The collocation data were collected in an urban area near a highway, likely a VOC-limited ozone regime, whereas the ozone chemistry in rural Malawi was more likely NO<sub>x</sub>-limited. This difference in chemical regime could have contributed to the poor performance of the calibration models in the deployment environment. Similarly, poor performance was observed for OPC-N2 mass concentration measurements made in a strongly seasonal environment near ultrafine aerosol sources. These findings indicate that the collocation environment should ideally be the same as the deployment environment to simplify calibration and improve performance. If in situ calibration is possible, evidence from this study supports the need for at least two collocation periods taking place during different seasons. For example, the high-temperature low-ozone regime (Fig. 2), which resulted in non-physical concentration predictions (Fig. 3), appeared to be associated with the second half of our deployment period (the wetter months). If in situ calibration is not feasible, laboratory calibration may enable calibration under a variety of environmental conditions and concentrations, however the effectiveness of lab-based calibration should be verified. For example, an effective laboratory chamber calibration would require some level of a priori knowledge of typical ambient conditions and local ozone chemistry. We expect our experience in Malawi may generalize to other regions, suggesting that additional research is needed to address the issue of LCS calibration for secondary pollutants.

Although there have been advancements in calibration methods, the difficulty of identifying and applying a singular best infield calibration model remains a common issue among LCS users (Topalović et al., 2019; Lewis and Edwards, 2016; Giordano
et al., 2021). From an end user perspective, the burden of calibration easily becomes overwhelming; there is presently no clear
guidance on which model would be appropriate for which sensor under which circumstances. This limits the potential user
base of LCS technologies, complicates our ability to generalize findings across different studies, and may even lead to poor
quality measurements. Given the wide range in potential LCS technologies and deployment conditions, it is not possible to
fully generalize the viability and sensitivity of the ARISense to another LCS package deployed in a different area. However,
the main lesson is clear; LCS are most useful when they are carefully selected and calibrated for a single purpose and location,
for which the environmental and pollutant conditions are at least partially characterized.

A growing body of literature highlights the potential value of LCS technologies for Sub-Saharan Africa and other low-resource settings (Subramanian and Garland, 2021; Wernecke and Wright, 2021; Rahal, 2020; Sewor et al., 2021; Awokola et al., 2020). Advancements in machine learning techniques show how LCS can be used for source identification and attribution in regions where little quantitative information currently exists on dominant emission sources (Hagan et al., 2019; Thorson et al., 2019). While LCS in SSA show promise, many of the issues experienced in this study stemmed from a lack of in situ reference monitors. Additional reference grade monitors throughout the region may help circumvent some issues related to calibration

https://doi.org/10.5194/amt-2021-372
Preprint. Discussion started: 23 November 2021







modelling and quality assurance. A regional, shared facility would enable periodic, regionally representative collocations without requiring every country to establish its own regulatory network. Recent research has improved our ability to synthesize data from networks of LCS through computational calibration solutions which minimize the need to transport and collocate each individual monitor separately and increase the spatiotemporal resolution beyond that of reference networks (Buehler et al., 2021; Malings et al., 2019a; Kelly et al., 2021; Considine et al., 2021; Sahu et al., 2021). Concurrently, policy-focused researchers are helping to bridge the gap between governments and AQ scientists by creating comprehensive frameworks which provide systematic procedures to establish AQ monitoring networks in low and middle income countries (Gulia et al., 2020; Pinder et al., 2019). In the meantime, we found support from local universities, which helped maintain the pilot deployment of this LCS network. We expect that any AQ program in SSA will benefit from building long-term, local capacity and knowledge transfer systems for training on-site staff and for receiving their feedback and guidance.

Code availability

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The basic random forest hybrid and quadratic regression model codes are available in the supplemental information of the original manuscript (doi:10.5281/zenodo.1482011). The k-nearest neighbor, high dimensional model representation, and multi-linear regression model codes are proprietary products of QuantAQ, Inc.; contact David H. Hagan regarding inquiries.

Data availability

The dataset used in this analysis is available as an open-access Dryad depository (doi:10.5061/dryad.cz8w9gj4n). The depository hosts pre-processed ARISense and reference datasets from the pre-deployment and post-deployment collocations, pre-processed RH-corrected OPC-N2 and MicroPEM datasets from the Malawi collocation, and collated ARISense datasets from the 1-year deployment at each of the three monitoring sites in Malawi. Please contact the corresponding author regarding raw data inquiries.

**Author contribution** 

AG was responsible for conceptualization and funding acquisition. AG, EC, DH, and AB developed the methodology. EL, AG, and AB executed the field experiments. EC, DH, and AG provided supervision. DH and CM developed software. AB, EC, EL, and AG performed data analytics and visualization. AB wrote the original draft. CM, DH, EL, EC, and AG participated in review and editing.

**Competing interests** 

Eben Cross and David Hagan are the co-founders of QuantAQ, a for-profit company which marketed the ARISense (since discontinued) and is actively developing and marketing sensor-based instrumentation.

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## 725 Acknowledgements

We would like to acknowledge funding from the National Science Foundation under Coupled-Natural Human Systems Award Number: 1617359. Carl Malings would like to thank Naomi Zimmerman and the Carnegie Mellon University RAMPs Team for their assistance in developing low-cost sensor calibration approaches and acknowledge the EPA funding source under assistance agreement no. 83628601 and EPA Grant Number R836286, as well as the Heinz Endowment Fund Grants E2375 730 and E3145. He would also like to acknowledge his support by an appointment to the NASA Postdoctoral Program at the Goddard Space Flight Center, administered by USRA through a contract with NASA. This work benefited from State assistance managed by the National Research Agency under the "Programme d'Investissements d'Avenir" under the reference "ANR-18-MPGA-0011" ("Make our planet great again" initiative). Ashley Bittner would like to thank Jeff Bean of Phillips 66 Research Center for his original R code used to generate the prediction intervals presented in Fig. 7, Elliott Hall for his contribution to analysis of the MicroPEM and OPC-N2 collocation data set, Jillian McNaught for her contribution to analysis of the Giovanni data sets, Ky Tanner for conducting the gravimetric filter analysis, and all members of the Grieshop Atmosphere and Environment Lab for their feedback on figures. For their assistance in coordinating the collocation periods in North Carolina, we would like to thank the North Carolina Department of Environmental Quality and the Environmental Protection Agency and their dedicated employees including Sue Kimbrough (EPA), Richard Snow (EPA), Kay Roberts 740 (NCDEQ), Timothy Skelding (NCDEQ), Joette Steger (NCDEQ), and Vitaly Karpusenko NCDEQ). Finally, we would like to thank all project principal investigators: Dr. Pamela Jagger, Dr. Rob Bailis, Dr. Jason West, and Dr. Adrian Ghilardi; field assistants: Dominic Raphael and Twana Ghambi, project coordinators and assistants at the Lilongwe University of Agriculture and Natural Resources: Dr. Thabbie Chilongo, Dr. Charles Jumbe, and Misheck Mtaya, and all study participants from the villages of Mikundi and Makaula in Mulanje, Malawi.

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