

Sensitivity of Aeolus HLOS winds to temperature and pressure specification in the L2B processor

Matic Šavli¹, Vivien Pourret¹, Christophe Payan¹, and Jean-François Mahfouf¹

¹CNRM, Université de Toulouse, Météo-France, CNRS, Toulouse, France

Correspondence: Vivien Pourret (vivien.pourret@meteo.fr)

Abstract. The retrieval of wind from the first Doppler wind lidar of ~~European~~ European Space Agency (ESA) launched in space in August 2018 is based on a series of corrections necessary to provide observations of a quality useful for Numerical Weather Prediction (NWP). In this paper we examine ~~the~~ properties of the Rayleigh-Brillouin correction necessary for the retrieval of horizontal line-of-sight wind (HLOS) from a Fabry-Perot interferometer. This correction is taking into account the atmospheric stratification, namely temperature and pressure information that are provided by a NWP model as suggested prior launch. ~~Since NWP models contain errors the main goal is to launch. The main goal of~~ the study is to evaluate the impact of ~~these errors~~ errors in simulated atmospheric temperature and pressure information on the HLOS sensitivity by comparing the Integrated Forecast System (IFS) and Action de Recherche Petite Echelle Grande Echelle (ARPEGE) global model temperature and pressure short term forecasts collocated with the Aeolus orbit. ~~The model error is~~ ~~These errors are~~ currently not taken into account in the computation of the HLOS error estimate since its contribution is believed small. This study largely confirms this statement to be a valid assumption, although it also shows that model errors could locally (i.e. jet-stream regions, below 700 hPa over both earth poles and as well in stratosphere) be significant. For a future Aeolus follow-on missions this study suggests ~~to consider~~ considering realistic estimations of ~~model~~ errors in the HLOS retrieval algorithms, since this will lead to an improved estimation of the Rayleigh-Brillouin sensitivity uncertainty contributing to the HLOS error estimate and better exploitation of space lidar winds in NWP systems.

Copyright statement. TEXT

1 Introduction

The ESA's Aeolus wind satellite has been launched on 22 August 2018. Aeolus is one of the Earth Explorer missions proposed by ESA as ~~a~~ demonstration paving the way towards measuring wind from space globally (Stoffelen et al., 2005). In this view the continuous effort to better understand and to improve the wind retrieval is undertaken by ESA, Aeolus Data Innovation and Science Cluster (DISC) and Aeolus CAL/VAL teams since launch. In particular, an increasing effort is undertaken to better understand the various sources of observation errors. Such is a systematic error arising due to a so-called dark current signal anomalies of single Accumulation Charge-Coupled Device (ACCD) pixels (i.e. "hot pixel") (Weiler et al., 2020) or a significant

source of wind systematic error which has been found to be correlated with the temperature gradients across the Atmospheric
25 Laser Doppler Instrument (ALADIN) primary mirror M1 of the telescope (Rennie and Isaksen, 2020). Despite the relatively
large observation errors of the Aeolus wind observations compared to radiosondes or airborne lidar wind observations (e.g.
Witschas et al., 2020; Martin et al., 2020) a list of OSEs (Observation System Experiments) provided by various global and
regional models showed a significant impact on the NWP¹ such as was suggested by several ~~pre~~lunch ~~pre-launch~~ studies (e.g.
Žagar, 2004; Tan and Andersson, 2005; Weissmann and Cardinali, 2006; Stoffelen et al., 2006; Tan et al., 2007; Marseille
30 et al., 2007, 2008; Weissmann et al., 2012; Horanyi et al., 2015; Šavli et al., 2018). This ~~lead~~led several weather centers² to
already start with the operational assimilation of the line-of-sight wind observations.

The main product of the Level-2B processor (L2Bp) is the horizontal line-of-sight (HLOS) wind, which is inferred by the
Doppler shift of the backscattered light measured from a small volume in the atmosphere. The backscatter spectrum is sensed
35 by two unique interferometers; the Fabry-Perot (FP) which is used to measure Doppler shift mainly from moving molecules
(Rayleigh channel) and the Fizeau which is used to measure Doppler shift mainly from aerosols and small hydrometeors
(Mie channel) (e.g. Reitebuch, 2012). The Doppler shift measured from moving molecules is well described by the so-called
Rayleigh-Brillouin spectrum which ~~slightly~~ deviates from the ideal Gaussian spectrum ~~due to atmospheric stratification~~. Two
40 Brillouin peaks are introduced on each side of the Gaussian spectrum shifted in frequency by acoustic waves, as a consequence
of the increased density of molecules (i.e. through collisions) lower in the atmosphere (Gu and Ubachs, 2014). The information
on temperature and pressure, needed for properly modelling such Rayleigh-Brillouin spectrum characteristics, which should be
collocated with the Aeolus measurements, is, however, not provided by Aeolus, nor available from the current Global Observa-
45 tion System (GOS). ~~Therefor~~ Therefore, the suggestion of Dabas et al. (2008) was to infer this information from a Numerical
Weather Prediction (NWP) which, on the other hand, contains errors which typically consist of model and representativeness
errors and also errors from initial conditions.

50 The mean temperature ~~model~~forecast error is a well monitored quantity, e.g. it is monitored by the WMO Lead Centre for
Deterministic Forecast Verification (WMO-LCDNV³). The RMSE of the temperature forecast from various operational global
models is found typically to be less than 2 K for a 24h forecast range in the extra-tropics. This error is smaller in the Tropics,
being slightly above 1 K. The majority of the distribution of a global model temperature remains below 3K even for longer
forecast ranges. Estimations provided by Dabas et al. (2008) suggest that in a standard atmosphere the temperature sensitivity
55 of HLOS retrieval brings a relative HLOS error of about 0.2 % for 1 K error in temperature, which is well below the 0.7 %
relative error specified as an ESA requirement (ESA, 2016). On the other hand, HLOS sensitivity to pressure is suggesting to
provide about an order of magnitude smaller impact with a typical model pressure ~~errors~~error of few hPa. Compared to the
current HLOS observation errors which are of the order of about 4 ms⁻¹ (e.g. Martin et al., 2020) it appears to be a rather
small overall contribution. However, it is necessary to take into account ~~that NWP model the fact that NWP forecast~~ error
varies spatially and temporally due to weather regimes present on various spatial and temporal scales that are not always well

¹Aeolus CAL/VAL workshop: <https://nikal.eventair.com/QuickEventWebsitePortal/2nd-aeolus-post-launch-calval-and-science-workshop/aeolus>

²European Centre for Medium-Range Weather Forecasts (ECMWF) on 9 January 2019, Deutscher Wetterdienst (DWD) on 19 May 2020, Météo-France
on 30 June 2020 and Meteorological Office (Met Office) on 8 December 2020

³<https://apps.ecmwf.int/wmolcdnv/>

described. ~~For~~, but also due to errors in initial conditions. Overall, for optimal Rayleigh-Brillouin correction in L2Bp the most accurate source of temperature and pressure information should be used. ~~With the assumption that differences among various model realizations can provide an estimation of the mean characteristics of the model error (i.e. zonally and temporally averaged), the~~

60 The main goal of this paper is to explore the impact of ~~uncertainty in modelled~~ the uncertainty in model forecast of temperature and pressure fields for the retrieval of Rayleigh HLOS winds. ~~It is assumed that some properties of this sensitivity can be estimated by using two different model forecasts. Since the truth is not known it is not possible to estimate it adequately at each point in space and time. In particular, the two models chosen for this study share some similarities from the perspective of their design, data assimilation system and associated observation system leading to somewhat correlated forecast errors. This~~
 65 ~~has an effect of leading to an overall underestimation of the true uncertainty in modelled temperature and pressure fields when forecasts are compared. Taking this into account, in this study we analyse the spatially and temporally averaged differences in model forecast temperature and pressure fields and the subsequent sensitivity of HLOS retrieval.~~

A brief introduction of the Rayleigh-Brillouin correction algorithm is first given in Section 2. Then the methodology of the sensitivity study, is described in more detail in Section 3. Here the production of ~~AUX_MET~~ the auxiliary meteorological data
 70 ~~input~~ at Météo-France is described exploiting the local installation of L2Bp. Several statistical validation metrics are as well presented. Results of the sensitivity study are presented in Section 4 following by conclusions in Section 5.

2 Description of the Rayleigh-Brillouin correction algorithm

The thorough description of L2Bp algorithm is beyond the scope of this paper and the reader is invited to consult the existing literature (e.g. Tan et al., 2008; Stoffelen et al., 2005) and the L2Bp official documentation (Rennie et al., 2020). A brief overview of the Rayleigh HLOS retrieval from FP interferometer is, however, given to introduce the necessary methodology.
 75 The temperature and pressure information is used in a so-called Rayleigh-Brillouin correction algorithm. The information of the spectrometer counts is first used to compute a so-called Rayleigh-Response (*RR*), a quantity that is linearly related to the Doppler shift and hence to the HLOS wind, through a so-called response curve (Dabas et al., 2008). A Doppler shift ν_d is inferred from a calibration look-up table $\underline{\nu}_d(T, p, RR)$, which specifies the relation between a range of temperatures T ,
 80 pressures p , *RR* and Doppler shift values. This table is provided using the information of backscatter spectrum computed by the Tenti S6 model (Tenti et al., 1974) and the interferometer transmission curves. In particular, for each Aeolus observation the Doppler shift is computed as a linear interpolation by a Taylor expansion as specified by Eq. 1.

$$\nu_{d,cor}(T, p, RR) = \underline{\nu}_d(T_0, p_0, RR_0) + (T - T_0) \frac{\partial \nu_d}{\partial T} \bigg|_{T_0, p_0, RR_0} + (p - p_0) \frac{\partial \nu_d}{\partial p} \bigg|_{T_0, p_0, RR_0} + (RR - RR_0) \frac{\partial \nu_d}{\partial RR} \bigg|_{T_0, p_0, RR_0}, \quad (1)$$

where subscript 0 refers to ~~the~~ nearest values of measured *RR* and collocated T , ~~and~~ p available from the $\underline{\nu}_d$ look-up table.
 85 Three derivatives are estimated using a finite difference method, as well, from the look-up table.

An additional correction factor is taken into account as the signal from the FP interferometer is contaminated by the Mie signal. The relative contribution of the Mie signal is described by scattering ratio $\rho = 1 + \beta_{aer}/\beta_{mol}$, where β_{aer} and β_{mol} are the particular and molecular backscatter ratio of the sensed volume of atmosphere. A tunable scattering ratio threshold parameter (ρ_t) is defined in the L2Bp (De Kloe et al., 2020) which further classifies in to a so-called "clear" and "cloudy" 90 wind observations, thus Rayleigh-clear for which scattering ratio is smaller than ρ_t and Rayleigh-cloudy for larger values of scattering ratio. The value of ρ_t has been carefully tuned since the start of the mission and did vary from values of 1.25 in version v3.00 up to 1.6 in version v3.20. This increase lead to generally improved classification (e.g. Rennie and Isaksen, 2020). For any value of scattering ratio larger than 1, an additional correction is applied on top of $\nu_{d,\overline{cor}}$ as specified in the following equation:

$$95 \quad \nu_{d,cor}(T, p, RR, \rho) = \nu_{d,\overline{cor}}(T, p, RR) + (1 - \rho) \frac{\partial \nu_d}{\partial \rho} \bigg|_{T, p, RR, \rho=1} . \quad (2)$$

Taking into account the relation in between line-of-sight wind LOS and Doppler shift, $LOS = -\nu_{d,cor}\lambda_0/2$ and λ_0 the lidar base wavelength, the sensitivity parameters $\partial_x HLOS = \partial HLOS / \partial X$ are provided, where X is T , p , RR or ρ . These are primarily used to estimate the Rayleigh HLOS wind observation instrumental error internally in the L2Bp, i.e. by assuming a typical error uncertainty in temperature and pressure and as well as for the scattering ratio. In addition, these sensitivities along 100 with reference values of T_{ref} , p_{ref} and ρ_{ref} (T , p and ρ used in Eq. 1-2) are provided as an output of the L2Bp which can be used for any additional correction of HLOS without the need for running L2Bp, considering the following equation:

$$\Delta HLOS = \Delta T \partial_T HLOS + \Delta p \partial_p HLOS + \Delta \rho \partial_\rho HLOS , \quad (3)$$

where $\Delta X = X - X_{ref}$ for X and X_{ref} being one of HLOS ($HLOS_{ref}$ is the output of the L2Bp), T , p or ρ . Only linear terms are taken into account thus the correction is expected to be valid for small differences in T , p and ρ . Several additional 105 correction schemes are applied in L2Bp (Rennie et al., 2020), however, these are not relevant for the present study.

Data needed for the above-mentioned above-mentioned correction are provided to L2Bp as a series of auxiliary files (Rennie et al., 2020) by the Aeolus Payload Data Ground Segment (PDGS). Three required data inputs have to be specified. First, the Aeolus Level-1B wind vector mode product which is the main input providing the information from the FP and Fizeau 110 interferometers (e.g. spectrometer counts), geolocation information, calibration informations information and error estimates for several variables. This file is an output of the Level-1B processor. The second one is the auxiliary meteorological data input (further denoted AUX_MET) which provides the necessary information on atmospheric temperature and pressure as described previously. The operational AUX_MET data are produced in near-real time by the Level-2 Meteorological Processing Facility (L2/Met PF) which is a part of PDGS and is hosted by the European Centre for Medium-Range Weather Forecasts (ECMWF).

The third one is the auxiliary input file which provides information of the calibration look-up table $\nu_d(T, p, RR)$ and additional 115 data used in the Rayleigh-Brillouin correction algorithm. Several optional additional input data provide information on the Aeolus predicted orbit ground track geolocation, climatology of lidar ratio and lidar signal calibration constants. Finally,

the additional necessary input file (further denoted AUX_PAR) provides the settings and parameters to control the L2Bp processing.

To validate the sensitivity of HLOS retrievals due to the Rayleigh-Brillouin scattering dependency on atmospheric temperature and pressure in operational L2Bp, we consider the Action de Recherche Petite Echelle Grande Echelle (ARPEGE) global NWP model (Courtier et al., 1991) to provide an independent realization of atmospheric temperature and pressure. This model will be used to provide the associated AUX_MET input files which are first assessed in a statistical inter-comparison with the default (i.e. operational) AUX_MET temperature and pressure of the ECMWF IFS model. Based on this comparison and on the sensitivity parameters ∂_T HLOS and ∂_p HLOS the characteristics of the HLOS uncertainty due to temperature and pressure differences is discussed. The ability of running L2Bp using ARPEGE derived AUX_MET allows to additionally evaluate the value of the correction specified by Eq. 3 and the Mie-contamination contribution. The validation is undertaken for the Rayleigh-clear HLOS observations only, as equivalent sensitivity to temperature and pressure is expected for the Rayleigh-cloud HLOS observations.

3 Methodology and data

130 3.1 Production of Level-2B auxiliary meteorological input at Météo-France

The AUX_MET auxiliary file provides profiles of several meteorological variables such as temperature, pressure, specific and relative humidities, wind as well as information on cloud cover and cloud liquid/ice water content) along the Aeolus orbit.

~~As Aeolus is operating at both~~ The AUX_MET data are available for nadir (laser is pointing perpendicular towards the earth surface) and off-nadir (laser is tilted 35 deg off nadir), ~~the AUX_MET data are available for both~~. Currently, Currently,

135 L2/Met PF provides meteorological quantities as vertical profiles interpolated spatially and temporarily from a short term IFS forecast having a validity from 6 to 30 hours and produced twice per day. ~~Thus for the Representation of a slanted~~ off-nadir mode, which is the mode used for wind measurements, the AUX_MET data do not follow the slant path in vertical. This leads to a data with a vertical profile has been found an acceptable simplification (e.g. Rennie et al., 2020). Indeed, the maximal difference in terms of geolocation between the model vertical profile and the Aeolus orbit ~~of is~~ about 21 km at 30 km altitude.

140 ~~However, such deviation seems acceptable (Rennie et al., 2020) since it is below the typical~~ which is not significant given the effective resolution of the model ~~which does not that cannot~~ resolve scales below around 100 km (e.g. Marseille and Stoffelen, 2017). ~~The profiles in operational AUX_MET are provided every about 3 seconds along the predicted Aeolus orbit. Given the satellite ground speed of about 7.4 , profiles are separated for about 22 .~~ This operational auxiliary meteorological file is denoted hereafter as AUX_MET_{oper}AUX_MET_{ecmwf}.

145 The production of auxiliary meteorological data at Météo-France (named AUX_MET_{mf}) is an off-line procedure ~~that allows to provide data when needed (i. e. specifically for the study described in this paper)~~. In that respect the production of meteorological auxiliary files did not follow exactly the production at L2/Met PF, but has been instead simplified allowing for minimal adaptation of existing operational ARPEGE routines at the time of the study.

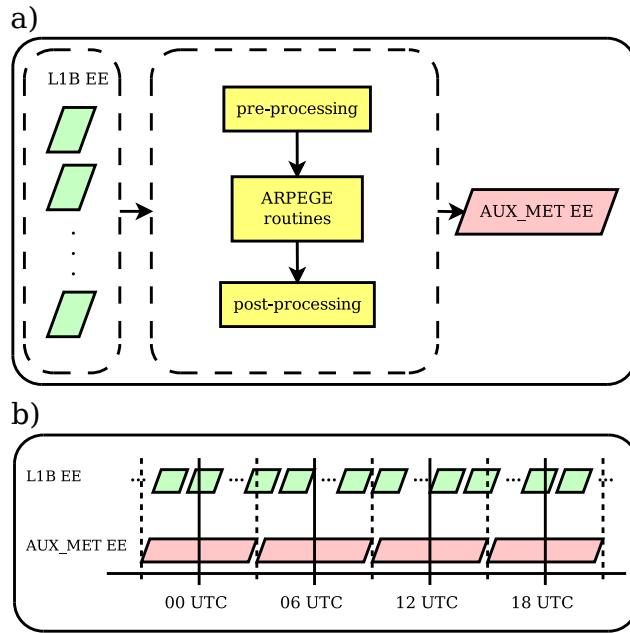


Figure 1. Production of AUX_MET files at Météo-France. A detailed description is given in section 3. The (EE) stands for Earth-Explorer file format.

A flowchart of the working processing chain is shown in Fig. 1. It is important to notice that a production of AUX_MET_{mf} is done every 6 hours, which is consistent with the ARPEGE data assimilation system (Fig. 1b). For a purpose of explaining the behaviour of the chain, a production at 06 UTC is discussed next.

At Météo-France the L1B measurement geolocation is used to provide an information on the Aeolus orbit needed for generating production of AUX_MET data. In particular, L1B output files store the geolocation for each measurement, which is ideally available every \sim 3 km being a baseline for the sampling distance of vertical profiles in the AUX_MET_{mf}. Similarly as in AUX_MET_{oper}, as in AUX_MET_{ecmwf}, AUX_MET_{mf} vertical profiles are provided both for the nadir and off-nadir, without accounting for the off-nadir slant path geometry. The geolocations are gathered from all available L1B data over the time period of 03 UTC to 09 UTC (typically of about 5-6 L1B files). This is the main input dataset for the production of auxiliary meteorological files.

In the following stage, the extracted geolocation is first reformatted (pre-processing stage in Fig. 1a) in the way compatible with the ARPEGE data assimilation system through BUFR (Binary Universal Format for the Representation of meteorological data) files. Then, for each geolocation, model vertical profiles of several variables are extracted during the data assimilation window. These ARPEGE outputs in ODB (Observation Data Base) format are finally reformatted (in the post-processing stage in Fig. 1) into a single AUX_MET_{mf} file ready to be used in L2Bp. The chosen ARPEGE model configuration corresponds to the operational one (CY43T2). This spectral model has a stretched and tilted horizontal grid with the highest horizontal resolution over France (\sim 5 km) and the lowest (\sim 20 km) on the opposite pole (New-Zealand). The model consists of 105

vertical levels defined in between 10 m ~~to and~~ 0.1 hPa following a hybrid vertical coordinate. The model profiles are extracted from the ARPEGE model background trajectory in the so-called screening task. This is a part of the 4D-Var system where the model is run forward and compared to observations ~~with within~~ 30 min time-slots. ~~For the production of AUX_MET_{mf} files only part of this task is considered, which is the extraction of model profiles by interpolation of trajectory to the L1B ground geolocation.~~

170

There are several properties of this chain that need to be discussed in more details. ~~The amount of information in the final On top of various error sources affecting this sensitivity study (as discussed in section 1) additional errors arise due to the specific configuration displayed in Fig. 1. In particular, AUX_MET_{mf} is significantly increased compared to AUX_MET_{oper} files. Indeed, the input to the production chain uses all geolocations extracted from the L1B measurement resolution (that is ~ 3) data are representing a so-called first guess (a very short term forecast of 3-9 hours), whereas AUX_MET_{ecmwf} data represent a longer range forecast (6-30 hours). Thus, compared to the ARPEGE effective resolution, there is a significant amount of redundant data in the AUX_MET_{mf} files. This is, however, not an issue as L2Bp performs internally a nearest neighbour interpolation of AUX_MET_{mf} profiles. One of the main deficiencies of the flowchart statistics of their differences are affected by differences in forecast lead times. Furthermore, since the two datasets are produced from two different operational data assimilation system implementations, their differences will further increase the complex interplay of various error sources and therefore the estimate of the uncertainty in temperature and pressure fields. This statements support the initial proposal to perform the analysis of the spatially and temporally averaged differences in model forecast temperature and pressure fields that will reduce some of these effects on the HLOS sensitivity.~~

175

180

~~In addition, one side effect of the configuration presented in Fig. 1 is that AUX_MET_{mf} files are not partly overlapping in time as it is the case for the AUX_MET_{oper} files. This is since AUX_MET_{ecmwf} files. Indeed, the validity of AUX_MET_{mf} files is exactly 6 hours (i.e. it represents the 6-12 hour ARPEGE forecast) and are produced four times per day, whereas AUX_MET_{oper} AUX_MET_{ecmwf} represents 6-30 hour IFS forecast and are produced twice per day. When Therefore, when using AUX_MET_{mf} files in the L2Bp, thus a specific approach must be used when in case the L1B input file spans the time a time period in between two data assimilation windows of the ARPEGE system (i.e. validity of two AUX_MET_{mf} files). In such a case the L2Bp must be run two times twice for each of the AUX_MET_{mf} file. The information and finally, data from both L2B runs is finally must be merged into one single L2B output. This is not ideal from operational perspective, which, however, is not in the scope of this study.~~

185

190

3.2 Sensitivity study

195

From two distinct auxiliary meteorological data (AUX_MET_{oper} AUX_MET_{ecmwf} and AUX_MET_{mf}), covering the same Aeolus orbit section, it is possible to ~~analyze differences induced on analyse differences induced by~~ the two associated L2B HLOS retrievals. The necessary processing chain for performing such a study is displayed in Fig. 2. The part enclosed in the dashed lined box corresponds to the simplified operational L2B processing chain performed by the PDGS which essentially consists of running the L2Bp with all necessary input files and a configuration file. A similar chain of processes has been replicated locally at Météo-France, using the same L2Bp version, the same configuration file and the same input files, except

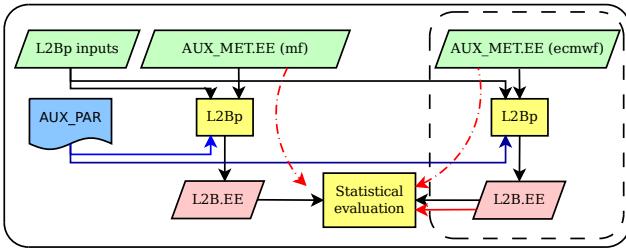


Figure 2. Flowchart of L2B generation and sensitivity study set-ups. The detailed description is given in section 3. The (EE) stands for Earth-Explorer file format.

200 for the $\text{AUX_MET}_{\text{mf}}$ input file which is produced as described in section 3.1. The statistical evaluation of the difference between the two HLOS wind products, to be explained in more details in section 3.4, is shown in Fig. 2 by the two black arrows pointing towards the "statistical evaluation" box.

The another evaluation approach is used as is depicted by the three red arrows in Fig. 2. In this particular case the L2Bp is not used but instead all the necessary information is directly extracted from the $\text{AUX_MET}_{\text{oper}}$ $\text{AUX_MET}_{\text{ecmwf}}$ and 205 $\text{AUX_MET}_{\text{mf}}$ files and the operational L2B product. As described in section 2 given the small model differences in terms of temperature and pressure with respect to reference values (from IFS) it is possible to express the HLOS difference (Eq. 3) ~~as a linear correction function by a Taylor expansion~~. It is first assumed that the third term in Eq. 3 can be neglected, i.e. $\Delta\rho = 0$. Then, the computation of temperature and pressure differences (i.e. ΔT and Δp in Eq. 3) is done by comparing the two 210 AUX_MET files. This has been possible by closely replicating the L2Bp algorithm that first interpolates temperature and pressure (the nearest profile from the AUX_MET) onto the HLOS measurement geolocation. Note that HLOS *measurement* is representative for ~ 2.8 km, whereas HLOS *observation* represents the accumulation (i.e. average) of up to about 30 (for Rayleigh-clear) of ~~these measurements considering a weighted average (currently performed with equal weights)~~ measurements. The same 215 ~~weighted averaging averaging approach~~ applies for temperature and pressure information representative of a particular HLOS observation. ~~In this second approach the temperature and pressure values collocated with a particular HLOS observation are computed separately for both $\text{AUX_MET}_{\text{oper}}$ and $\text{AUX_MET}_{\text{mf}}$. It is important to note that for the $\text{AUX_MET}_{\text{oper}}$ the reference temperature and pressure values are already available from the L2Bp output file along with all other meta-parameters for each HLOS observation. However, to reduce the error of the described (simplified) algorithm of computation of temperature and pressure at the HLOS observation level, they have been used only for an additional quality-control but not for the sensitivity study itself.~~ Finally, using the sensitivity values ($\partial_T \text{HLOS}$ and $\partial_p \text{HLOS}$) available in the operational L2B output the HLOS 220 difference has been computed.

3.3 Datasets and Level-2B processing

As Aeolus is an explorer mission the retrieval of HLOS winds from raw satellite data is continuously adapting and improving. The choice of selected dataset is ~~therefor therefore~~ an important factor that should be taken into a consideration. For this

particular study the dataset is part of the reprocessed one available for a period of July-December 2019 (i.e. baseline 2B10).
225 This is an official reprocessing from PDGS and contains a number of improvements of several deficiencies closely examined by ESA and DISC. This is an improved treatment for removing spurious outliers induced by dark current signals (hot pixels) (Weiler et al., 2020). A dark current signal is measured when no laser pulse is emitted. Moreover, orbit variable systematic errors have been removed by an innovative method based on a linear relation of the satellite primary mirror temperature gradient and the model estimated HLOS systematic error (Rennie and Isaksen, 2020). In addition, since 2019-08-01 the Rayleigh-
230 Brillouin correction has been affected by calibration update, having a direct impact on the properties studied in this paper. As a consequence, the dataset selected for this study is valid for the period of 2019-08-01 up to 2019-12-31. This dataset is used for the study, concerning the methodology of a second approach of the sensitivity validation (without using the L2Bp) as described in section 3.2. On the other hand, data from the first Aeolus laser period (so-called FM-A period) is used for the experiment using L2Bp. In this case the dataset consists of 464 orbits from the period of 2018-11-30 to 2019-01-13. As this particular
235 dataset has not been yet officially corrected it can impact results presented afterwards, however, as will be discussed later this effect is expected to be small.

The L2Bp used here is of version 3.01. The configuration file of the processor (AUX_PAR) is of version 8 (used during baseline 2B02), which consists of a scattering ratio threshold of 1.5-1.6 for Rayleigh classification on clear and cloudy scenarios and a Rayleigh-clear accumulation length (i.e. the distance along the orbit for which the observation is representative) of 89
240 86.4 km.

3.4 Statistical evaluation

From L2B outputs only Rayleigh-clear information is used after applying a basic quality-control. It consists of rejecting all observations identified as invalid (information available in the L2B output) or for which the L2B estimated observation error is larger than 10 ms^{-1} (similar to a range of values typically used in the QC of several NWP ~~centers~~ assimilating Aeolus
245 data). ~~Such~~In addition, a quality check against the ARPEGE background is performed such that HLOS observations that differ significantly from ARPEGE derived HLOS winds are discarded. This approach allows to study the sensitivity properties only for data that would be considered for data assimilation in NWP models.

Main properties of ΔHLOS , ΔT and Δp are examined by using typical robust statistical metrics. Such are median (50th percentile of the distribution) and median absolute difference (mad) evaluated spatially and/or temporally, along with several
250 other distribution percentiles. Median absolute difference is a robust measure of dispersion computed as a median of absolute difference of the original distribution with respect to its median (Wilks, 2011, chapter 3.1.2). These quantities are especially useful in case of outliers in the distribution. The so-called $\text{madn} = 1.48\text{mad}$ is used instead of mad since it agrees with the standard deviation when the sample distribution is Gaussian. The same approach is chosen to describe the statistical properties of the HLOS sensitivity terms, e.g. $\partial_T \text{HLOS}$.

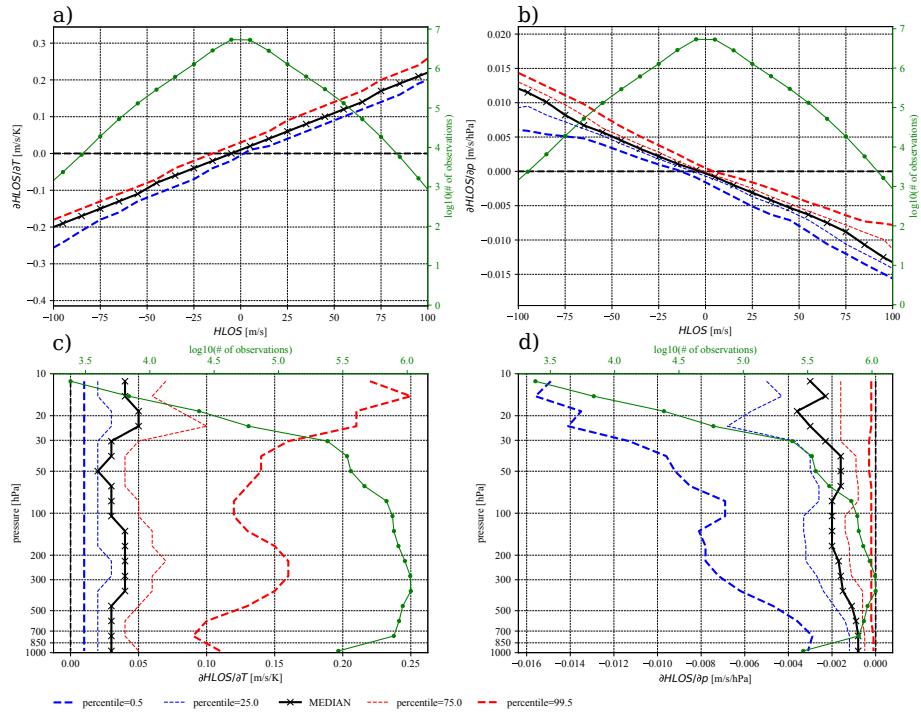


Figure 3. The HLOS sensitivity (a, c) with respect to temperature (i.e. $\partial_T HLOS$) and (b, d) with respect to pressure (i.e. $\partial_p HLOS$) over a 5-month period (2019-08-01 to 2019-12-31). (a, b) The sensitivity is shown as a function of HLOS wind velocity. The median and several percentiles are computed by gathering all available and valid data in HLOS bins of 10 ms^{-1} . (c, d) The sensitivity is shown as a function of pressure taking into account only data with positive HLOS wind velocity. (solid-green) The amount of available data in a bin.

255 4 Results

4.1 Main characteristics of the HLOS sensitivity to temperature and pressure

Main properties of the sensitivity (i.e. $\partial_T HLOS$ and $\partial_p HLOS$) valid for the period from 2019-08-01 up to 2019-12-31 are shown in Fig. 3. Statistics [as a function of HLOS wind velocity](#) are displayed in Fig. 3(a, b) [as a function of HLOS wind velocity](#). These are computed by grouping available data in to 10 ms^{-1} HLOS wind velocity bins. In addition to the average 260 sensitivity, measured by the median the percentiles of 0.5 and 99.5 (as well 25 and 75 for the sensitivity against pressure) are also computed to give an estimate of its variability for a particular range of HLOS wind velocities.

One property of the HLOS sensitivity to temperature is its approximate linear dependency with HLOS wind velocity. The slope of the median curve is $\sim 0.002 \text{ K}^{-1}$ which is in good agreement with the value for the standard atmosphere given by Dabas et al. (2008). The variability shown by the two percentiles is mostly induced by the fact that inside each bin HLOS wind 265 velocity varies by 10 ms^{-1} and is less effected by the fact that atmospheric conditions, i.e. temperature and pressure, vary significantly over the same range bin. The variability is rather consistent over the whole range of HLOS wind velocity.

As discussed in section 2, by using properties of the sensitivity presented in Fig. 3a it is possible to approximately estimate the random error contribution since temperature information is not known exactly (i.e. based on Eq. 3). Typically, for a random error of 1 K in temperature the random error in HLOS would increase by only of about 0.2 ms^{-1} for a 100 ms^{-1} HLOS wind.
270 However, for temperature differences of about 10 K, up to about 2 ms^{-1} increase in HLOS (for 100 ms^{-1} HLOS wind) is expected, i.e. a relative error of 2 %. As a consequence, outliers in the model temperature distribution are going to produce significant differences in HLOS retrievals.

Moreover, sensitivity varies in the vertical, as shown in Fig. 3c, as a function of pressure (i.e. altitude) but only for positive HLOS winds since it is symmetric for negative HLOS. The largest values are expected near the upper-troposphere-lower-stratosphere (UTLS) both of which are associated in average with globally stronger winds. Similarly, the sensitivity increases with altitude in the stratosphere due to the positive temperature gradient in this region.
275

On the other hand, the HLOS sensitivity to pressure is significantly less, given the small uncertainties in model pressure information. In particular, the sensitivity shown in Fig. 3(b, d) indicates a median value (over all positive HLOS) of about $-0.002 \text{ ms}^{-1} \text{ hPa}^{-1}$ which is in range of expected values (e.g. $0.003 \text{ ms}^{-1} \text{ hPa}^{-1}$ for the standard atmosphere as shown by
280 Dabas et al. (2008)). The variability of the sensitivity (Fig. 3b) becomes stronger with larger absolute HLOS winds, which is induced by the effect of variable temperature and pressure conditions in a given HLOS bin. Therefore, a significant slope (especially for percentile 0.5) of the sensitivity with altitude is shown in Fig. 3d. With a 10 hPa (a typical root-mean-squared error of 24 hour global model mean-sea-level forecast against analysis⁴) error in pressure, the random error in HLOS would increase by about 0.1 %, whereas a 100 hPa random error would increase the HLOS error by 1 %. The effect of the pressure
285 sensitivity is thus expected to be at least one order of magnitude smaller compared to the temperature sensitivity when the NWP model pressure is provided in AUX_MET.

Overall the statistics shown in Fig. 3 is very consistent over the chosen time period of 5 months, i.e. no significant variations have been observed when shorter time periods have been ~~analyzed~~analysed separately (not shown). The bulk analysis of the sensitivity, as presented above, is therefore sufficient.
290

4.2 Evaluation of model temperature and pressure uncertainty

The comparison of the ~~AUX_MET_{oper}~~AUX_MET_{ecmwf} and AUX_MET_{mf} files allows ~~to estimate mean estimating~~ uncertainties in model pressure and temperature with their effects on HLOS sensitivities. For that purpose a second approach described in section 3.2 has been proposed. The temperature and pressure differences between ARPEGE and IFS (i.e. ΔT and Δp) have been computed from AUX_MET by mimicking the accumulation algorithm in L2Bp.
295

The average difference is less than about 0.5 K in temperature and 0.25 hPa in pressure below 30 km altitude (Fig. 4), showing an overall good consistency among the two model atmospheric temperature and pressure averaged globally and in time. Nonetheless, the small differences also reflect the fact that the two models share several design features, i.e. the underestimation of actual errors due to a likely correlation of forecast errors of temperature fields. The difference in temperature is in average the largest over a pressure layer of 100-300 hPa, mainly over both poles (see Fig. 5c). In the tropics the mean

⁴<https://apps.ecmwf.int/wmoldnv/scores/mean/msl>

300 difference is the largest above and below the tropical stratosphere near the minimum temperature core (see Fig. 5a) and higher in the stratosphere. Larger average difference is also noticed near the surface in polar regions. For this particular dataset the IFS zonal mean temperature and its variability are shown in Fig. 5(a and b). These are broadly consistent with available reanalysis statistics (i.e. Hersbach et al., 2020), taking into account the rather short period of the current dataset (5 months). Namely, the largest temperatures are found in the tropical troposphere and the lowest temperatures are observed in UTLS. The amount of 305 data used for statistics (Fig. 5e) becomes reduced especially above about 10-15 hPa, where they become unreliable. The largest temperature zonal variability (Fig. 5b) over the 5-month-5-month period is found over the south pole which coincides with the lowest zonal mean temperature (Fig. 5a) area in the stratosphere. This is a typical feature of the Southern Hemisphere winter period (e.g. Matsushita et al., 2020). The ARPEGE model has a higher polar tropopause-tropopause and a slightly reduced temperature gradient in tropical stratosphere, when compared to the IFS model.

310 A good consistency between model temperature fields is not only observed for the mean distribution. For 50 % of the distribution the differences remain below 1 K and 0.5 hPa almost everywhere below 30 km altitude (Fig. 4). For temperature this uncertainty is rather similar at all altitudes, although it is slightly larger in the stratosphere. A noticeable variability in temperature difference exists in the tropical stratosphere as shown in Fig. 5(d). The largest amplitude in temperature difference, although spatially very localized, is observed near the ground over the two poles (Fig. 5d). Given that the chosen 315 dataset describes primarily the southern-hemisphere-Southern Hemisphere winter months slightly increased variability is as well observed high in the stratosphere over the south pole (Fig. 5d). This is consistent with the largest variability in IFS model temperature observed over that area as shown in Fig. 5b. These regions, therefore, represent the most sensitive (in respect of temperature) areas for the HLOS retrieval in the studied period.

320 A scenario displayed in Fig. 7e presents typical differences of 6c presents the difference between two model temperature fields. Here data (each coloured box represents a valid observation) is extracted from a section of the Aeolus orbit crossing the regions of South Indian Ocean, tropical Indian Ocean and Southeast Asia. As shown in Fig. 76b several deep cloud systems exist on the path of Aeolus, increasing the lidar signal attenuation, as denoted by increased scattering ratio. In such situations temperature differences are found fairly consistent with the overall statistics shown in Fig. 5c, this is an overall positive ΔT along with vertical layers where ΔT becomes negative (e.g. especially above the tropical troposphere). A significant amount 325 of granulation in Fig. 7e accounts for the model error. Only few events of $|\Delta T|$ larger than 1-2 K is observed.

330 The largest deviations between the two NWP models can be further identified by analyzing-analysing outliers of the difference probability density function (PDF) better shown in Fig. 4. Tails of the PDF are presented by the percentiles 0.5 and 99.5 which includes 99 % of data. It can be seen that model temperature difference will exceed about 2 K in the mid-troposphere and 3-4 K near the ground and in higher layers of troposphere and stratosphere for only 1 % of the distribution. For pressure this goes to about 1.5 hPa near the ground and decreases with altitude. In less than 1 % of cases, differences are even larger, although, the overall summary of the results shown in Fig. 4 is that differences in temperature and pressure between the models are overall small.

Model differences properties The initial hypothesis assuming that statistical properties of the difference between two model forecasts can be used as a proxy of forecast errors is evaluated by a comparison against the ensemble spread of the ECMWF

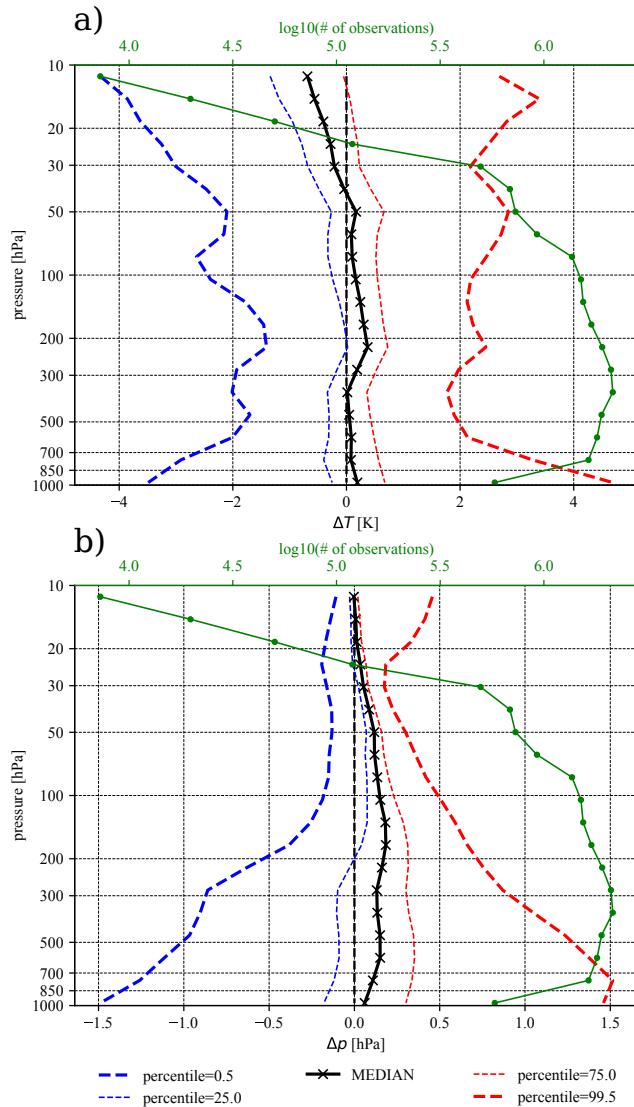


Figure 4. The (a) temperature and (b) pressure difference statistics between ARPEGE and IFS produced for the same period as in Fig. 3 and with all valid data available globally along the Aeolus orbits. Temperature and pressure values are computed as in L2Bp, i.e. weighted average over the accumulation of Rayleigh-clear observations.

335 Ensemble of Data Assimilation (EDA) (Isaksen et al., 2010). Even though EDA does not provide an information on long forecast range errors, the spatial patterns of the temporally averaged temperature forecast differences (Fig. 5) are rather similar to those represented by the EDA (in particular Fig. 5a of Isaksen et al. (2010)), comforting our assumptions.

Properties of the forecast differences displayed in Figures 4-5 and sensitivities shown in Fig. 3 can be combined to estimate the largest expected HLOS variations due to the Rayleigh-Brillouin effect. The largest variations in HLOS are expected for

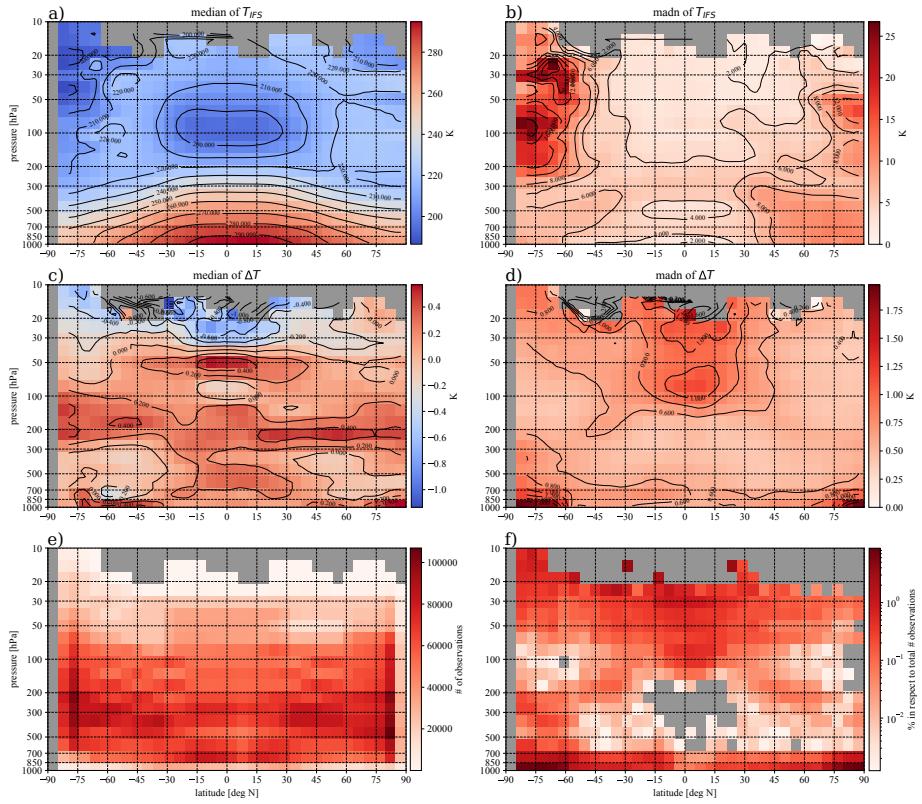


Figure 5. The zonal and temporal statistics of the IFS temperature (a and b) and temperature differences between ARPEGE and IFS (c and d) computed for the same period as in Fig. 3-4. (a and c) The median and (b and d) madn = 1.48mad are shown. In addition (e) shows the associated number of data for the statistics and (f) the number of samples found with a temperature difference $\Delta T > 4$ K used in the discussion of the Fig. 8.

340 temperature particularly in the stratosphere, due to larger HLOS sensitivity, but also near the ground, due to significant temperature differences between the two models. For an HLOS value of about 100 ms^{-1} the HLOS variations could exceed 0.6 ms^{-1} in less than 1 % of the situations. On the other hand the maximum expected variation of HLOS due to differences in pressure fields could be about 0.015 ms^{-1} at HLOS of 100 ms^{-1} but only near the ground (which is very unlikely) in less than 1 % of the cases. Therefore, the pressure component has no significant impact on the HLOS sensitivity and will not be analyzed

345 analyzed further.

4.3 Level-2B HLOS derived by using ARPEGE model temperature

By combining the HLOS sensitivity of the Rayleigh interferometer for Aeolus (section 4.1) with temperature differences between ARPEGE and IFS models (section 4.2), the HLOS correction (ΔHLOS as defined in Eq. 3) can be next evaluated.

Similar statistics as for ΔT are computed for $\Delta HLOS$ (Fig. 67). The spatial and temporal statistics lead to a symmetric PDF as shown in Fig. 67a, with madn larger than about 0.2 ms^{-1} above 30 hPa. The curve for the madn almost overlaps with the 75th percentile curve, which along with the 25th percentile presents 50 % of the distribution. Since a sensitivity $\partial_T HLOS$ strongly depends on HLOS wind amplitude (Fig. 3) statistics for $\Delta HLOS$ should display this dependency. This is confirmed by Fig. 8a. Here the median is slightly larger only for HLOS winds larger than about 100 ms^{-1} . On the other hand, several outliers with significantly larger values (up to more than 5 ms^{-1} - not shown) of $\Delta HLOS$ are observed. However, these outliers do not significantly affect have no significant effect on the statistical properties of the $\Delta HLOS$, in particular, 99.9 % of the distribution is still confined within the 1 % HLOS slope.

The largest difference (i.e. 1 % of the PDF) in Fig. 67a exceeds 0.1 ms^{-1} below about 30 hPa and increases to about 0.4 ms^{-1} above. Although temperature differences are in 99 % of the time smaller than about 4 K (Fig. 4a), the remaining 1 % of the distribution introduces differences of several 10 K. To better distinguish such outliers from the remaining distribution, statistics is reevaluated for events with a temperature difference lower than 4 K (Fig. 8b). This simple filter efficiently removes all situations for which a relative difference $\Delta HLOS/HLOS > 1 \%$. The comparison between Fig. 8a and Fig. 8b reveals that the largest differences in HLOS are associated with the outliers of the temperature difference ΔT distribution. Fig. 8b shows that over the whole range of HLOS values the difference $\Delta HLOS$ is well confined below a 1 % slope of HLOS, and that in 99 % of situations it is well confined below a 0.7 % slope (i.e. ESA mission requirements).

The remaining question concerns the main characteristics of situations with $\Delta T > 4 \text{ K}$. This can be discussed first by examining where such cases typically take place. Fig. 5f is displaying the percentage of such cases relative to the total number of cases found in each latitude-pressure bin (i.e. Fig 5e). The percentage shown in Fig. 5f is closely related to the madn of the ΔT shown in Fig. 5d. The largest contribution of the outliers (up to 10 % locally) is thus observed near the ground on both poles. A relatively large contribution is also noticeable in tropical stratosphere, south pole stratosphere (above $\sim 50 \text{ hPa}$) and as well around 200 hPa at latitudes 30-40 N/S. The later-latter is closely correlated with the position of the subtropical jet-stream as can be confirmed by the median of IFS HLOS in Fig. 67c.

The largest values of $\Delta HLOS$ are produced by two mechanisms as is revealed by the outliers of the distribution displayed in Fig. 67b. First, the difference in HLOS is larger for in absolute larger HLOS winds, as is a consequence of the linear relation of $\partial_T HLOS$ presented in Fig. 3a. This effect is confirmed by comparing it with the average HLOS shown in Fig. 67c. Thus, the largest differences in HLOS are expected near the location of subtropical jet-streams. Due to the southern-hemisphere Southern Hemisphere winter months prevailing in the dataset the stratosphere polar night jet is clearly evident over the south pole (Fig. 67c). The second effect producing large values comes from the largest temperature differences (i.e. Fig. 5f). This contribution is the strongest near the ground over both poles, but it also contributes to increased HLOS differences in the tropical stratosphere and over the subtropical jet-streams. Overall the largest relative differences $\Delta HLOS/HLOS$ are expected near the ground over both poles where the HLOS is in average small in absolute values.

The effect of, mainly, the first mechanism is well observed in the scenario displayed in Fig. 76d, taking into account associated temperature differences (Fig. 76c) and HLOS amplitude (Fig. 76a). Largest The largest increments $\Delta HLOS$ are observed

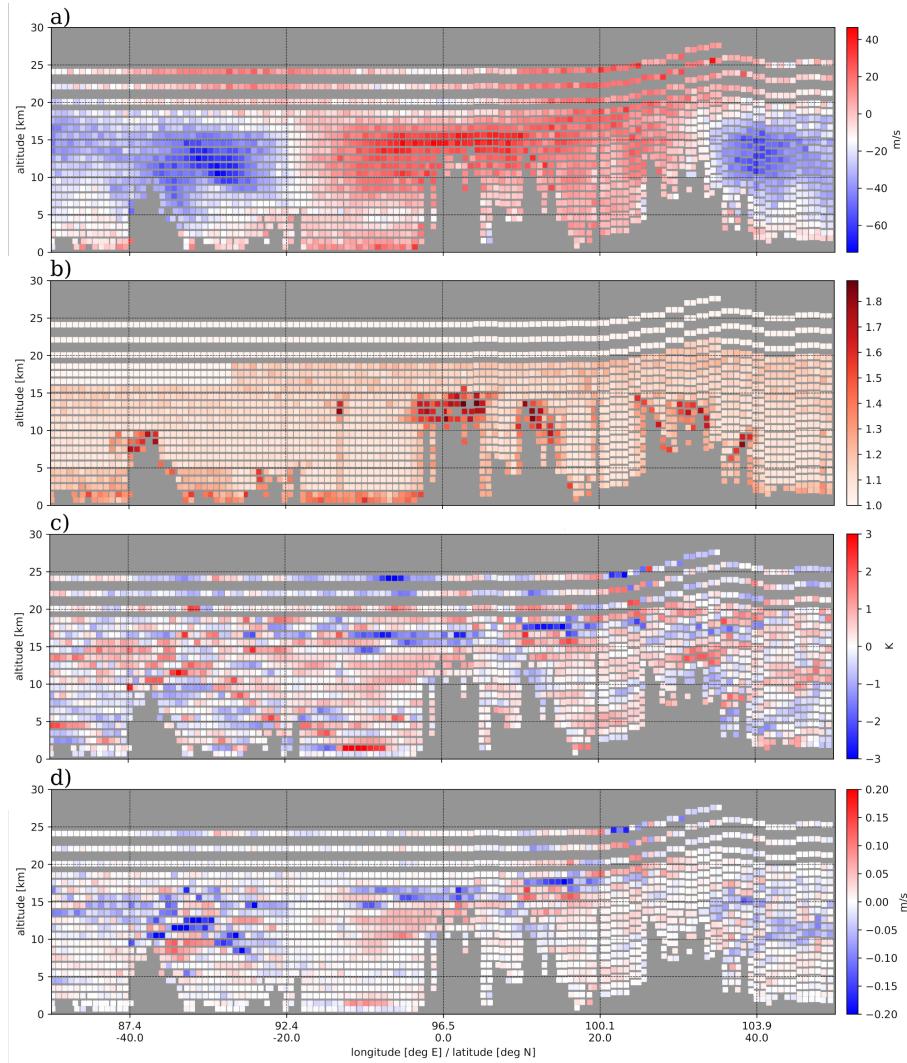


Figure 6. Vertical cross-section of an Aeolus orbit extending over the area of South Indian Ocean, tropical Indian Ocean and Southeast Asia on 13th September 2019 at about 23 hour UTC. (a) A $HLOS_{ecmwf}$ wind amplitude, (b) scattering ratio, (c) ΔT , i.e. a temperature difference between ARPEGE and IFS and the resulting (d) $\Delta HLOS$ as specified by Eq. 3. Note: dimensions of colored boxes are symbolic, hence, not representing the area of representativeness of observations.

over the subtropical jet-stream regions (especially in the South Hemisphere) and as well in the tropical UTLS. In particular situation the largest differences in $HLOS$ are found near the active deep cloud systems.

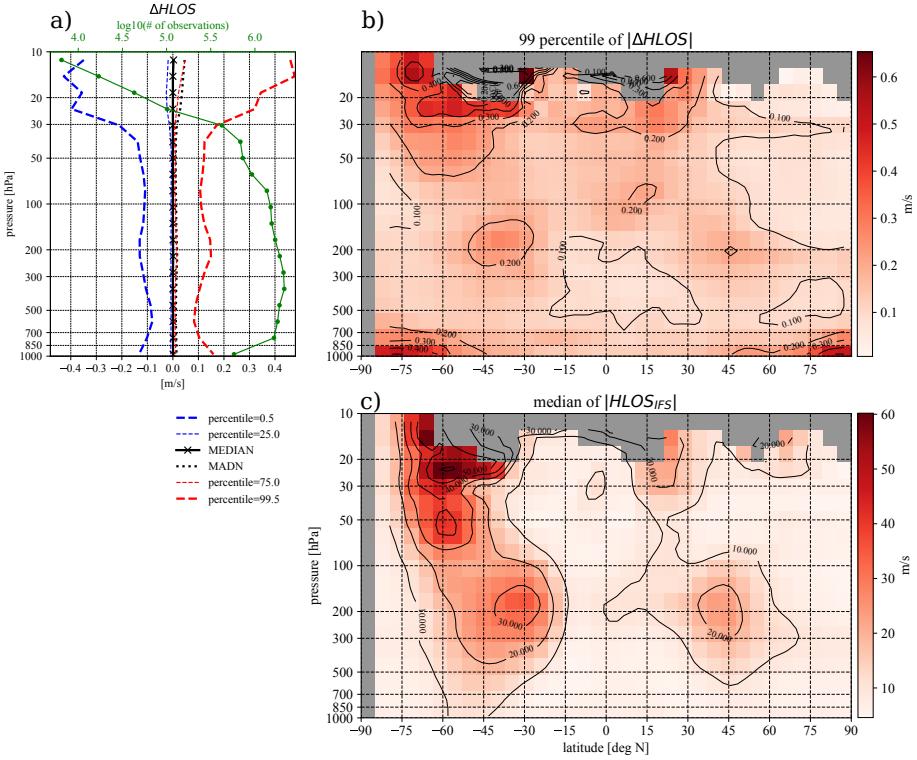


Figure 7. The $\Delta HLOS$ statistics related to the dataset presented in Fig. 3-5 and computed by Eq. 3. (a) The spatial and temporal statistics are displayed as a function of pressure showing the median, madn and several percentiles. (solid green) The number of available data at specific pressure layers. (b) 99th percentile of $|\Delta HLOS|$ and (c) the median of the $|HLOS_{ecmwf}|$ from the operational L2B output files.

385 4.4 The contribution of the Mie contamination

The advantage of running L2Bp is that it allows to cross-validate Eq. 3 and thus to estimate the relative importance of the Mie contamination sensitivity term (i.e. in Eq. 2). To examine this contribution a second dataset is used (the FM-A period) to first produce the AUX_MET_{oper} AUX_MET_{ecmwf} and AUX_MET_{mf} files and then L2Bp is run to generate HLOS_{oper} HLOS_{ecmwf} and HLOS_{mf} as described in section 3, respectively. In addition, HLOS_{mf,estimate} = HLOS_{oper} + $\Delta HLOS$ = HLOS_{oper} + Δ 390 HLOS_{mf,estimate} = HLOS_{ecmwf} + $\Delta HLOS$ = HLOS_{ecmwf} + $\Delta T \partial_T HLOS|_{ecmwf}$ + $\Delta p \partial_p HLOS|_{ecmwf}$ is computed using Eq. 3, with metadata provided from the L2B output of HLOS_{oper} HLOS_{ecmwf} and ΔT , Δp from the two AUX_MET files. Taking into account Eq. 1-2 and $v_{LOS} = -\nu_d \lambda_0 / 2$, the following equation can be derived.

$$HLOS_{mf,estimate} - HLOS_{mf} \approx - (1 - \rho) \left(\partial_p HLOS|_{mf} - \partial_p HLOS|_{oper} \right) = -\Delta \quad (4)$$

where, subscripts mf and oper ecmwf essentially define conditions of T , p , ρ and RR at for which derivatives are computed. 395 So, the difference between the methodology of Eq. 3 and L2Bp allows to estimate estimating properties of the contribution of

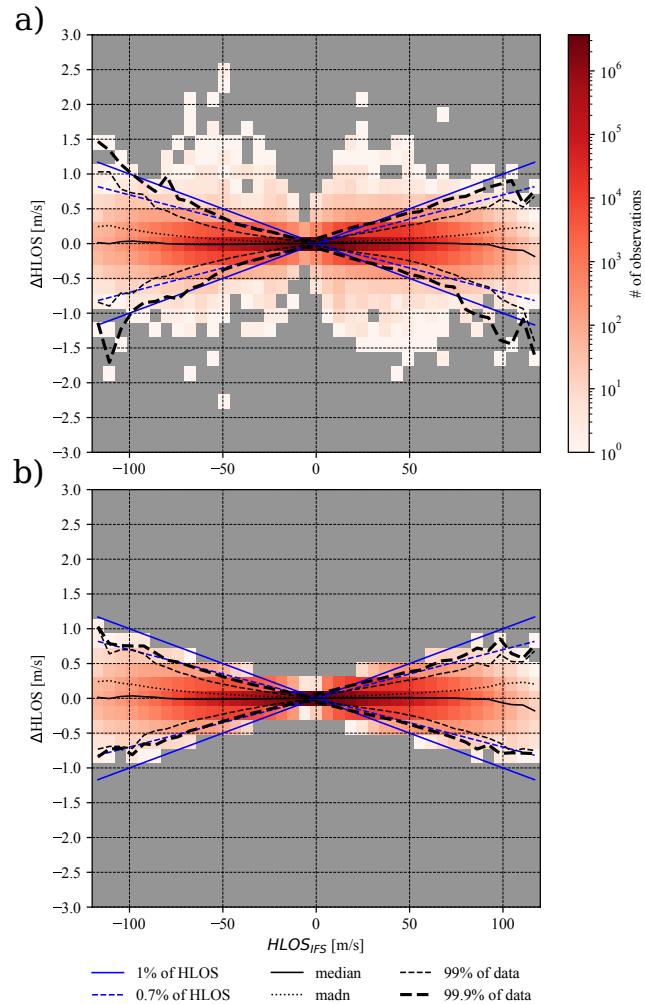


Figure 8. (a) Statistics of L2B HLOS differences $\Delta\text{HLOS} = \text{HLOS}_{\text{ARPEGE}} - \text{HLOS}_{\text{IFS}}$ as a function of HLOS_{IFS} wind. (b) Similar statistics but including only events associated with a temperature difference $\Delta T = T_{\text{ARPEGE}} - T_{\text{IFS}}$ lower than 4 K in absolute values. Color shading is associated with the density of events in a particular x-y area, with gray color showing when data are not available. Several statistics are provided for a range of HLOS winds such as (solid black) median and (dotted black) madn. The two percentiles (dashed black) indicate the range of values included in 99 % (or 99.9 %) of the ΔHLOS distribution for a particular HLOS value, highlighting outliers. (blue) The 1 % (and 0.7 %) HLOS slopes used as a reference in the discussion.

the Mie contamination due to uncertain temperature and pressure information that effects the HLOS scattering ratio sensitivity term.

Since the FM-A dataset used here has not yet been officially reprocessed, it was first necessary to evaluate the sensitivity $\frac{\partial T_{\text{HLOS}}}{\text{oper}} \frac{\partial T_{\text{HLOS}}}{\text{ecmwf}}$. Its properties have been found (not shown) very similar and consistent with the one from the 400 FM-B dataset (i.e. 3a). In particular the slope of $\sim 0.002 \text{ K}^{-1}$ is evident, although, overall the sensitivity in FM-A dataset is for

a value of about $0.05 \text{ ms}^{-1} \text{K}^{-1}$ smaller. Regarding the results presented further this, which has been found ~~insignificant~~ small enough to be not significant for the rest of the study.

The Δ value has been found overall small as expected. Its PDF distribution is symmetric with values smaller than 0.05 ms^{-1} for 99 % of cases in absolute value for the dataset of interest. This suggests that the computation of correction using Eq. 3 compares well with the direct use of L2Bp in the particular case with the two AUX_MET files. This result suggests that in practice it is preferable to compute the HLOS correction using Eq. 3 when small differences in model temperature and pressure are expected, since it is faster and technically less demanding than rerunning the L2Bp using a different AUX_MET file. On the other hand, a portion of the distribution of Δ (i.e. 1 %) have been found having values of 0.4 ms^{-1} in absolute. These differences are a consequence of differences that arise in the HLOS sensitivity to scattering ratio evaluated with different atmospheric situations (i.e. temperature and pressure) as reflected by Eq. 4.

The Δ values are in average smaller than the HLOS differences due to temperature and pressure (i.e. Δ HLOS estimated by Eq. 3) as shown in Fig. 9. In average the HLOS sensitivity to scattering ratio brings an additional 10-20 % to HLOS correction when Δ HLOS $> 0.2 \text{ ms}^{-1}$. The larger the value of Δ HLOS is the smaller the relative contribution becomes. However, for large values of Δ HLOS, the amount of data used in the statistics becomes small (green line in figure), so the estimation is not significant, but this decreasing trend with increasing Δ HLOS is apparent. When Δ HLOS is small a value of Δ can increase up to about 70 % for the distribution median or even more than 100 % in about 1 % of cases. However, for small Δ HLOS values the overall correction to the HLOS correction is not of significant practical importance. Overall the sensitivity to scattering ratio is of less importance, even for the relatively large scatter ratio threshold of 1.6 used in the L2Bp, compared to the sensitivity to temperature.

420 5 Discussion and Conclusions

We conducted the sensitivity study on the Aeolus HLOS wind product to atmospheric temperature and pressure fields using the operational Aeolus data, ARPEGE model temperature and pressure short-term forecasts and the Level-2B processor (L2Bp). The main goal was to evaluate the impact of the uncertainty in modelled temperature and pressure fields on the Rayleigh-Brillouin correction of the HLOS wind retrieval in L2Bp mainly from a perspective of data assimilation of HLOS winds in NWP. The two methods have been proposed. The first one estimates the possible HLOS correction through metadata provided by the operational L2Bp output files and the second one consists of running the L2Bp locally at Météo-France.

The main assumption of the study has been that basic overall (e.g. time and zonally averaged) characteristics of NWP model temperature and pressure forecast uncertainties can be estimated by comparing atmospheric fields from two different global models (ARPEGE and IFS). For the L2B retrieval these uncertainties are propagated through the Rayleigh-Brillouin correction algorithm and are also affecting the Mie-contamination process. They reflect on the HLOS amplitude as an additional source to the random observation error. In comparison to the known Aeolus Rayleigh-clear HLOS observation errors, which is about 4 ms^{-1} (Martin et al., 2020), the uncertainty due to the Rayleigh-Brillouin correction represents only a small contribution. The main characteristics of this additional HLOS error distribution is that in 99 % of cases the HLOS amplitude differs by only 1

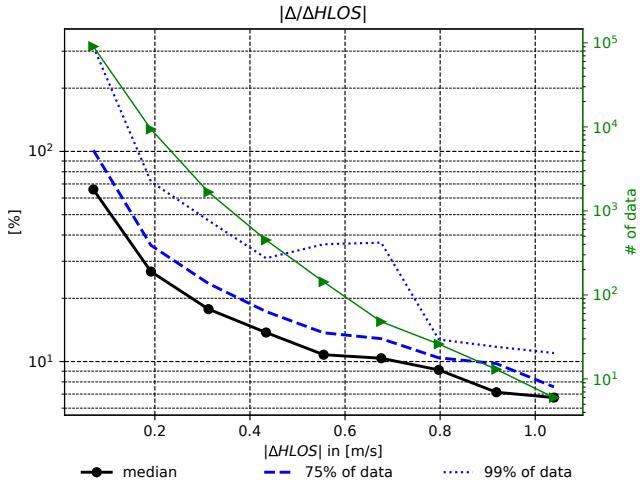


Figure 9. The relative contribution to the HLOS total correction of the HLOS sensitivity to the scattering ratio (Δ) compared to the contribution of the HLOS sensitivity to temperature and pressure ($\Delta HLOS$). A complete description is given in Section 4.4. For each value on the x-axes (bin spacing of 0.12 ms^{-1}) statistics (median, 75th and 99th percentile) are presented together with the amount of data available (green solid line).

% leading to a maximal difference of about 1 ms^{-1} for an HLOS wind value of 100 ms^{-1} . On the other hand, in about 1 % of 435 the cases the HLOS retrieval will differ by up to about 3 % with few cases having differences of several ms^{-1} . These outliers are associated with temperature differences larger than about 4 K. The sensitivity to pressure is at least an order of magnitude less important and was not studied in details. The overall small absolute differences in HLOS amplitude, which are in 99 % of cases less than 0.15 ms^{-1} and mostly located below 30 hPa, coming from model temperature and pressure uncertainties are in good agreement with expected values from the study of Dabas et al. (2008).

440 The largest differences in temperature between the two models over a ~~6 month~~-6-month period have been noticed over the poles near the surface which is believed to at least partly reflect ~~the~~-differences in model orography as the ARPEGE model has a stretched horizontal grid with the highest resolution over Europe. On the other hand, differences in the lower to upper stratosphere and near the subtropical jet are more realistically reflecting on the ~~model~~-forecast temperature errors linked to dynamical and physical processes. ~~The model~~-They are also expected to arise from differences in the two operational data 445 assimilation systems and also because the two datasets represent different forecast lead times. The temporally and zonally averaged forecast error patterns are found to be fairly realistic, e.g. when compared to ~~the~~ the spread of the ECMWF Ensemble of Data Assimilation (EDA) system as presented by Isaksen et al. (2010)(~~their~~ Fig. 5a), comforting our initial assumption. However, their amplitudes ~~might be underestimated~~-are expected to be underestimated since the comparison of the two NWP 450 datasets is affected by forecast error correlations due to similarities in the design of both NWP systems. This also affects the HLOS sensitivity which is therefore expected to be overall underestimated. Despite this limitation results showed the main impact of model temperature errors in ~~the~~ results showed how temperature forecast errors can impact the L2Bp on HLOS wind retrievals ~~HLOS wind retrieval~~.

By running the L2Bp using different temperature and pressure input information it has been possible to study the impact of the uncertainty in ~~modeled~~modelled temperature and pressure on Mie-contamination HLOS correction. This correction 455 is applied after the Rayleigh-Brillouin correction in the L2Bp. In particular the sensitivity of the HLOS to the scattering ratio reveals that the HLOS retrieval algorithm slightly differs for different atmospheric conditions. Results showed that this contribution brings about ~~an~~an additional 10 % error on top of the Rayleigh-Brillouin correction. Since the ~~later~~latter is already a small contribution to the HLOS error, the impact of the Mie-contamination correction sensitivity is not seen as a significant contribution.

460 The most appropriate option to quantify the sensitivity to temperature and pressure on HLOS retrievals is to use the best collocated information on temperature and pressure. The study mostly confirms the expected values from (Dabas et al., 2008) who showed the relatively small contribution of the ~~model temperature~~temperature ~~forecast~~forecast errors (around 1-2 K for a ~~24 hour~~24-hour forecast) to the overall HLOS error. However, results have highlighted the necessary quantification of the spatio-temporal variability of these errors that can be locally ~~non-negligible~~non-negligible. Since the current HLOS random observation 465 errors from the Aeolus mission are significantly larger than expected due to a number of instrumental drawbacks, there is not much improvement to be expected from a better quantification of ~~model temperature~~temperature ~~forecast~~forecast errors in L2Bp. Currently, the L2Bp assumes no error in temperature and pressure fields ~~from~~(provided by the IFS model), which, as shown by this study, appears to be a very good approximation for the purpose of NWP data assimilation of HLOS winds. However, it is recognized that uncertainties related to the Rayleigh-Brillouin corrections will become of more significance for the Aeolus 470 follow-on missions where the quality of observations is expected to be improved. At the same time it is as well recognized that ~~model forecast~~forecast errors should decrease or be better quantified in the coming years, which again reduces the significance of this particular uncertainty in the HLOS retrieval.

Data availability. The reprocessed Aeolus dataset of baseline 2B10 is publicly available at the ESA Aeolus dissemination system. The Aeolus data are publicly available since May 2020.

475 *Author contributions.* The majority of the set-up of the experiment, the statistical analysis and the publication has been prepared by MŠ. The framework for the production of the auxiliary meteorological files inside the ARPEGE data assimilation system has been designed by a collaboration of all authors. All co-authors engaged in the discussion, suggestions for improvements and contribution to the writing process of the publication.

Competing interests. The authors declare that they have no conflict of interest.

480 *Disclaimer.* The results presented in section 4.4 are preliminary since data that have been used are not yet publicly available since they have
not been yet properly calibrated and validated.

Financial support. This work was supported by grants from the French Space Agency CNES (Centre Nationale des Etudes Spatiales) under
contract 5461-MTO-4500064868

485 *Acknowledgements.* The authors want to thank to the ESA and DISC (Data, Innovation and Science Cluster) for the support and the provision
of the preliminary datasets. Especially we would like to thank Michael Rennie (ECMWF) and Jos De Kloe (KNMI) for their support and
feedback during the study.

References

Courtier, P., Freydier, C., Geleyn, J.-F., Rabier, F., and Rochas, M.: The Arpege Project at Météo-France, in: Proc ECMWF Workshop. Numerical methods in atmospheric modelling, vol. 2, 193–232, ECMWF, Shinfield Park, Reading, UK, 1991.

490 Dabas, A., Denneulin, M. L., Flamant, P., Loth, C., Garnier, A., and Dolfi-Bouteyre, A.: Correcting Winds Measured with a Rayleigh Doppler Lidar from Pressure and Temperature Effects, *Tellus, Series A: Dynamic Meteorology and Oceanography*, 60 A, 206–215, <https://doi.org/10.1111/j.1600-0870.2007.00284.x>, 2008.

De Kloe, J., Stoffelen, A., Rennie, M., Tand, D., Andersson, E., Dabas, A., Poli, P., and Hubert, D.: ADM-Aeolus Level-2B/2C Processor Input/Output Data Definitions Interface Control Document, <https://confluence.ecmwf.int/display/AEOL/L2B+processor+documentation+and+datasets>, Documentation for Level-2B processor version 3.30, 2020.

495 ESA: ADM-Aeolus Mission Requirements Document, Tech. Rep. EOP-SM/2047, ESA, https://esamultimedia.esa.int/docs/EarthObservation/ADM-Aeolus_MRD.pdf, 2016.

Gu, Z. and Ubachs, W.: A Systematic Study of Rayleigh-Brillouin Scattering in Air, N₂, and O₂ gases, *Journal of Chemical Physics*, 141, 1–11, <https://doi.org/10.1063/1.4895130>, 2014.

500 Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., Nicolas, J., Peubey, C., Radu, R., Schepers, D., Simmonds, A., Soci, C., Abdalla, S., Abellán, X., Balsamo, G., Bechtold, P., Biavati, G., Bidlot, J., Bonavita, M., De Chiara, G., Dahlgren, P., Dee, D., Diamantakis, M., Dragani, R., Flemming, J., Forbes, R., Fuentes, M., Geer, A., Haimberger, L., Healy, S., Hogan, R. J., Hólm, E., Janisková, M., Keeley, S., Laloyaux, P., Lopez, P., Lupu, C., Radnoti, G., de Rosnay, P., Rozum, I., Vamborg, F., Villaume, S., and Thépaut, J.-N.: The ERA5 global reanalysis, *Quarterly Journal of the Royal Meteorological Society*, 146, 1999–2049, <https://doi.org/https://doi.org/10.1002/qj.3803>, 2020.

505 Horanyi, A., Cardinali, C., Rennie, M., and Isaksen, L.: The Assimilation of Horizontal Line-of-Sight Wind Information into the ECMWF Data Assimilation and Forecasting System. Part I: The Assessment of Wind Impact, *Quarterly Journal of the Royal Meteorological Society*, 141, 1223–1232, <https://doi.org/10.1002/qj.2430>, 2015.

Isaksen, L., Bonavita, M., Buizza, R., Fisher, M., Haseler, J., Leutbecher, M., and Raynaud, L.: Ensemble of data assimilations at ECMWF, <https://doi.org/10.21957/obke4k60>, ECMWF technical memorandum, Number 636, 2010.

510 Marseille, G.-J. and Stoffelen, A.: Toward Scatterometer Winds Assimilation in the Mesoscale HARMONIE Model, *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*, 10, 2383–2393, <https://doi.org/10.1109/JSTARS.2016.2640339>, 2017.

Marseille, G.-J., Stoffelen, A., and Barkmeijer, J.: A Cycled Sensitivity Observing System Experiment on Simulated Doppler Wind Lidar Data during the 1999 Christmas Storm 'Martin', *Tellus, Series A: Dynamic Meteorology and Oceanography*, 60 A, 249–260, <https://doi.org/10.1111/j.1600-0870.2007.00290.x>, 2007.

515 Marseille, G.-J., Stoffelen, A., and Barkmeijer, J.: Impact Assessment of Prospective Spaceborne Doppler Wind Lidar Observation Scenarios, *Tellus, Series A: Dynamic Meteorology and Oceanography*, 60 A, 234–248, <https://doi.org/10.1111/j.1600-0870.2007.00289.x>, 2008.

Martin, A., Weissmann, M., Reitebuch, O., Rennie, M., Geiß, A., and Cress, A.: Validation of Aeolus winds using radiosonde observations and NWP model equivalents, *Atmospheric Measurement Techniques Discussions*, 2020, 1–24, <https://doi.org/10.5194/amt-2020-404>, 2020.

520 Matsushita, Y., Kado, D., Kohma, M., and Sato, K.: Relation between the interannual variability in the stratospheric Rossby wave forcing and zonal mean fields suggesting an interhemispheric link in the stratosphere, *Annales Geophysicae*, 38, 319–329, <https://doi.org/10.5194/angeo-38-319-2020>, 2020.

Reitebuch, O.: The Spaceborne Wind Lidar Mission ADM-Aeolus, Springer, Berlin, Heidelberg, https://doi.org/10.1007/978-3-642-30183-4_49, in: Schumann U. (eds) Atmospheric Physics. Research Topics in Aerospace., 2012.

Rennie, M. and Isaksen, L.: The NWP impact of Aeolus Level-2B Winds at ECMWF, <https://doi.org/10.21957/alift7mhr>, ECMWF technical memorandum, Number 864, 2020.

Rennie, M., Tan, D., Andersson, E., Poli, P., Dabas, A., De Kloe, J., Marseille, G.-J., and Stoffelen, A.: Aeolus Level-2B Algorithm theoretical basis document - Mathematical description of the Aeolus Level-2B processor, <https://confluence.ecmwf.int/display/AEOL/L2B+processor+documentation+and+datasets>, Documentation for Level-2B processor version 3.30, 2020.

Šavli, M., Žagar, N., and Anderson, J.: Assimilation of Horizontal Line-of-Sight Winds with a Mesoscale EnKF Data Assimilation System, Quarterly Journal of the Royal Meteorological Society, 144, <https://doi.org/10.1002/qj.3323>, 2018.

Stoffelen, A., Pailleux, J., Kallen, E., Vaughan, J. M., Isaksen, L., Flamant, P., Wergen, W., Andersson, E., Schyberg, H., Culoma, A., Meynart, R., Endemann, M., and Ingmann, P.: The Atmospheric Dynamics Mission for Global Wind Field Measurement, Bulletin of the American Meteorological Society, 86, 73–87, <https://doi.org/10.1175/BAMS-86-1-73>, 2005.

Stoffelen, A., Marseille, G.-J., Bouttier, F., Vasiljevic, D., de Haan, S., and Cardinali, C.: ADM-Aeolus Doppler Wind Lidar Observing System Simulation Experiment, Quarterly Journal of the Royal Meteorological Society, 132, 1927–1947, <https://doi.org/10.1256/qj.05.83>, 2006.

Tan, D. G. H. and Andersson, E.: Simulation of the Yield and Accuracy of Wind Profile Measurements from the Atmospheric Dynamics Mission (ADM-Aeolus), Quarterly Journal of the Royal Meteorological Society, 131, 1737–1757, <https://doi.org/10.1256/qj.04.02>, 2005.

Tan, D. G. H., Andersson, E., Fisher, M., and Isaksen, L.: Observing-System Impact Assessment Using a Data Assimilation Ensemble Technique: Application to the ADM–Aeolus Wind Profiling Mission, Quarterly Journal of the Royal Meteorological Society, 133, 381–390, <https://doi.org/10.1002/qj.43>, 2007.

Tan, D. G. H., Andersson, E., De Kloe, J., Marseille, G.-J., Stoffelen, A., Poli, P., Denneulin, M. L., Dabas, A., Huber, D., Reitebuch, O., Flamant, P., Le Rille, O., and Nett, H.: The ADM-Aeolus Wind Retrieval Algorithms, Tellus, Series A: Dynamic Meteorology and Oceanography, 60 A, 191–205, <https://doi.org/10.1111/j.1600-0870.2007.00285.x>, 2008.

Tenti, G., Boley, C. D., and Desai, R. C.: On the kinetic model description of Rayleigh-Brillouin scattering from molecular gases, Canadian Journal of Physics, 52, 285, <https://doi.org/10.1139/p74-041>, 1974.

Weiler, F., Kanitz, T., Wernham, D., Rennie, M., Huber, D., Schillinger, M., Saint-Pe, O., Bell, R., Parrinello, T., and Reitebuch, O.: Characterization of Dark Current Signal Measurements of the ACCDs Used On-Board the Aeolus Satellite, Atmospheric Measurement Techniques (Preprint), 2020, 1–39, <https://doi.org/10.5194/amt-2020-458>, 2020.

Weissmann, M. and Cardinali, C.: The impact of airborne Doppler lidar observations on ECMWF forecasts, <https://doi.org/10.21957/3zjzqeh1a>, ECMWF technical memorandum, Number 505, 2006.

Weissmann, M., Langland, R. H., Cardinali, C., Pauley, P. M., and Rahm, S.: Influence of airborne Doppler wind lidar profiles near Typhoon Sinlaku on ECMWF and NOGAPS forecasts, Quarterly Journal of the Royal Meteorological Society, 138, 118–130, <https://doi.org/https://doi.org/10.1002/qj.896>, 2012.

Wilks, D. S.: Statistical Methods in the Atmospheric Sciences, Academic Press, 3nd edn., 2011.

Witschas, B., Lemmerz, C., Geiß, A., Lux, O., Marksteiner, U., Rahm, S., Reitebuch, O., and Weiler, F.: First Validation of Aeolus Wind Observations by Airborne Doppler Wind Lidar Measurements, Atmospheric Measurement Techniques, 13, 2381–2396, <https://doi.org/10.5194/amt-13-2381-2020>, 2020.

Žagar, N.: Assimilation of Equatorial Waves by Line-of-Sight Wind Observations, *Journal of the Atmospheric Sciences*, 61, 1877–1893,
[https://doi.org/10.1175/1520-0469\(2004\)061<1877:AOEWBL>2.0.CO;2](https://doi.org/10.1175/1520-0469(2004)061<1877:AOEWBL>2.0.CO;2), 2004.