



1 **Cloud properties over the Southern Ocean during the MARCUS field**
2 **campaign**

3

4 Baike Xi¹, Xiquan Dong¹, Xiaojian Zheng¹, and Peng Wu²

5

6 ¹Department of Hydrology and Atmospheric Sciences, University of Arizona, Tucson, AZ, USA

7 ²Pacific Northwest National Laboratory, Richland, WA, USA

8

9 **Correspondence:** Baike Xi (baikex@arizona.edu)

10

11 **Abstract.** To investigate the cloud properties over the Southern Ocean (SO), the MARCUS field
12 campaign (41 to 69 °S; 60 to 160 °E) was conducted from October 2017 to March 2018, using
13 ship-based measurements. To examine cloud properties over the mid-latitude and Polar regions,
14 the study domain is separated into northern (NSO) and southern (SSO) parts of the SO with a
15 demarcation line of 60 °S. The total cloud fractions (*CFs*) were 77.9 %, 67.6 %, and 90.3 % for
16 the entire domain, NSO and SSO, respectively, indicating that higher *CFs* were observed in the
17 Polar region. Low-level clouds, deep cumulus, and shallow cumulus clouds are the three most
18 common cloud types over the SO. For single-layered low-level clouds, mixed-phase clouds
19 dominate with an occurrence frequency (*Freq*) of 54.5 %, while the *Freq* of the liquid and ice
20 clouds were 10.1 % (most drizzling) and 17.4 % (least drizzling). The meridional distributions of
21 low-level cloud boundaries are nearly independent of latitude, whereas the cloud temperatures
22 increased ~ 8 K and atmospheric precipitable water vapor increased from ~5 mm at 69 °S to ~18
23 mm at 43 °S. The mean cloud liquid water paths over NSO were much larger than those over SSO.



24 Most liquid clouds occurred over NSO with very few over SSO, whereas more mixed-phase clouds
25 occurred over SSO than over NSO. There were no significant differences for ice cloud *Freq*
26 between NSO and SSO. These results will be valuable for advancing our understanding of the
27 meridional and vertical distributions of clouds and can be used to improve model simulations over
28 the SO.

29

30 **1. Introduction**

31 The Southern Ocean (SO) is one of the cloudiest and stormiest regions on the Earth (Chubb et
32 al., 2013). The majority of the aerosols are naturally produced via oceanic sources given the remote
33 environment. However, we have limited knowledge about cloud formation processes within such
34 clean environments and their associated aerosol and cloud properties. The unique nature of the SO
35 region features low-level supercooled liquid and mixed-phase clouds, which is significantly
36 different from the subtropical marine boundary layer (MBL) clouds where warm liquid clouds are
37 dominant (Dong et al., 2014; Wu et al., 2020; Zhao et al., 2020). Large biases in cloud amount and
38 microphysics over the SO in the Coupled Model Intercomparison Project phase 5 (CMIP5) climate
39 models result in a near 30 W m^{-2} shortwave radiation deficit at the top of the atmosphere (TOA)
40 (Marchand et al., 2014; Stanfield et al., 2014, 2015), which further leads to unrealistic cloud
41 feedbacks and equilibrium climate sensitivity (Bony et al., 2015; Stocker et al., 2013). Meanwhile,
42 the efficiency of aerosol-cloud interaction (ACI) over the SO was found to be crucial for the
43 models' sensitivities to the radiation budget. A new aerosol scheme in the Hadley Centre Global
44 Environmental model can dampen the ACI and suppress negative clear-sky shortwave feedback,
45 both of which contribute to a larger climate sensitivity (Bodas-Salcedo et al., 2019).



46 A climate sensitivity study using CMIP6 general circulation models (GCMs) shows much
47 higher temperature variations across 27 GCMs in response to doubled CO₂ than those in CMIP5,
48 which may have resulted from the decreased extratropical low-level cloud cover and cloud albedo
49 over the SO in CMIP6 (Zelinka et al., 2020). Low-level clouds are a key climate uncertainty and
50 can explain 50 % of the inter-model variations (Klein et al., 2017) because conversion from liquid
51 cloud droplets to ice cloud particles decreases the cloud albedo and reduces the reflected shortwave
52 radiation at TOA. Models, however, have difficulties accurately partitioning the cloud phase
53 (Kalesse et al., 2016). The phase changes in mixed-phase clouds over the Arctic have proved to
54 affect the cloud lifetime and radiative properties significantly, that is, converting from ice cloud
55 particles to liquid cloud droplets may increase the cloud optical depth and the reflected shortwave
56 radiation at TOA (Morrison et al., 2012). In contrast, models that allow mixed-phase clouds to
57 glaciate rapidly can produce 30% more warming from doubling CO₂ (McCoy et al., 2014).

58 Phase transition processes have been investigated by several groups using both satellite and
59 ground-based measurements. A study (Mace and Protat, 2018) found that there are more mixed-
60 phase clouds over the SO measured from the ship than retrieved from CloudSat and CALIPSO
61 measurements because the satellites cannot accurately measure clouds below ~1 km. A previous
62 study (Lang et al., 2018) used a model to investigate the clouds under post cold frontal systems
63 and found large biases in model simulations and concluded that the cloud cover and radiative
64 biases over the SO are highly regime dependent. Of all cloud types, low-level clouds are primarily
65 responsible for the biases in the model simulations due to the lack of reliable measurements, which
66 leads to a poor understanding of the conditions where these clouds form and the phase(s) that result.
67 In other words, a physical representation of clouds, especially for low-level clouds, is unclear but
68 truly necessary for improving model simulations. Therefore, reliable observations of the cloud



69 macro- and micro-physical properties from ground-based active and passive remote sensors are
70 crucial for the improvement of model simulations.

71 Previous studies show that cloud phase is primarily dependent on cloud temperature, and the
72 transition from one cloud phase to another will modify the cloud optical properties, which further
73 affects the radiation budgets (Hu et al., 2010; Intrieri et al., 2002; Morrison et al., 2012). Based on
74 satellite observations and retrievals, they found that supercooled liquid water (SLW) clouds are
75 most common in the low-level clouds over the SO, where 80% of low-level clouds contain SLW
76 in a wide range of cloud temperatures from 0°C to -40°C (Hu et al., 2010). The formation of SLW
77 clouds is usually related to strong boundary layer convection. However, when ice nuclei exist in
78 the mixed-phase clouds, the ice particles can grow quickly and become bigger through consuming
79 supercooled liquid water drops. The SLW is inherently unstable due to the higher vapor pressure
80 over liquid than over ice and the quicker vapor deposition on ice particles than on liquid droplets
81 (Intrieri et al., 2002). As the supercooled liquid cloud droplets glaciate to ice particles, the cloud
82 layer becomes darker because the ice particles scatter less shortwave radiation and absorb more
83 radiation in the near IR wavelength regime. It is unclear, however, what role these ice particles
84 play in the low-level clouds over the SO, which includes the impact on drizzle development.
85 During HIAPER Pole-to-Pole Observation (HIPPO) campaigns, the study in Chubb et al. (2013)
86 found that there are rarely ice particles in non-drizzling and light drizzling clouds over the SO,
87 which may imply that the ice particles in the mixed-phase clouds may modulate the drizzle
88 formation.

89 To investigate the aerosol and cloud properties over the SO, a field campaign called the
90 Measurements of Aerosols, Radiation, and Clouds over the Southern Ocean (MARCUS) was
91 conducted using the ship-based measurements between Hobart, Australia, and the Antarctic during



92 the period October 2017-March 2018. The Department of Energy (DOE) Atmospheric Radiation
93 Measurement (ARM) Mobile Facility (AMF2) was installed on the Australian icebreaker *Aurora*
94 *Australis*, which voyaged from Hobart, Tasmania to the Australian Antarctic stations of Casey,
95 Mawson, and Davis, as well as Macquarie Island as illustrated in Fig. 1. Another field campaign,
96 called South Ocean Clouds, Radiation, Aerosol Transport Experimental Study (SOCRATES) field
97 campaign was conducted during austral summer from January 15 to February 26, 2018, which in-
98 situ measurements may use as a reference for this analysis. The SOCRATES domain has shown
99 as black dotted rectangle box in Fig. 1. The objectives of the MARCUS campaign are to investigate
100 the vertical distribution of boundary layer clouds and reveal the reasons why the mixed-phase
101 clouds are common in the warm season (McFarquhar et al., 2016), which will be our focuses for
102 this study.

103 MARCUS ship-based instruments include AMF2 cloud radar, lidar, microwave radiometer,
104 micropulse lidar, radiosonde sounding, precision solar pyranometer and precision infrared
105 radiometer, as well as aerosol sensors. Through these comprehensive observations over the SO,
106 we are tentatively answering the following three scientific questions:

107 (1) What is the total cloud fraction over the SO during MARCUS, as well as vertical
108 and meridional variations in cloud fraction?

109 (2) What are the dominant cloud types over the SO, their associated cloud properties,
110 as well as their vertical and meridional distributions?

111 (3) What are the vertical and meridional distributions of the low-level clouds over the
112 SO?



113 This manuscript is organized as follows: the data and method are introduced in section 2. The
114 statistical results for all clouds during MARCUS are summarized in section 3. The low-level cloud
115 properties are described in section 4, followed by a summary and conclusions in section 5.

116

117 **2. Data and Method**

118 The cloud properties analyzed in this study are derived from the data collected by AMF2,
119 including the 95-GHz W-band cloud radar, ceilometer, micropulse lidar, microwave radiometer,
120 aerosol observation system (AOS), meteorological measurements (MET, includes the following
121 data: temperature, pressure, specific humidity, wind direction, and speed) on the ship, rain gauge
122 and the radiosonde soundings. The combined cloud radar and ceilometer measurements can
123 provide the cloud boundaries as long as there are no optically thin clouds and the cloud-base
124 heights (H_{base}) are not greater than the upper limit (7.7 km) of the ceilometer. The micropulse lidar
125 will be used to identify optically thin clouds and the clouds with $H_{\text{base}} > 7.7$ km. A previous study
126 has shown that these additional clouds detected by the micropulse lidar can be a non-negligible
127 supplement to the total cloud fraction (Mace et al., 2021). There are about 4 to 5 radiosonde
128 soundings per day. We adopted a linear interpolation method based on these daily soundings to
129 achieve a better temporal resolution of temperature, pressure, and specific humidity. The method
130 considers MET measurements to ensure vertical continuity and adjacent soundings for temporal
131 continuity. Using these interpolated atmospheric profiles, cloud temperatures can be accurately
132 estimated.

133 The cloud occurrence frequency can be determined through two steps: the column cloud
134 fraction is simply the ratio of cloudy samples to the total observations in every 5-min; the
135 occurrence frequency for each type of cloud during the entire time period equals the ratio of the



136 number where column cloud fraction is greater than zero to the total 5-min samples. The cloud
137 liquid water path (*LWP*) and atmospheric precipitable water vapor (*PWV*) are retrieved based on a
138 physical-iterative algorithm using observations of the microwave radiometer brightness
139 temperature at 23.8 and 31.4 GHz with uncertainties ranging from 15 to 30 g m⁻² (Marchand et al.,
140 2003). It is important to note that the brightness temperature biases switch signs among different
141 climatological regions because a threshold of 5 °C in cloud-base temperature was used in their
142 physical retrievals. Therefore, we propose an extra step to determine the uncertainties during
143 MARCUS. Both the AOS and rain gauge measurements were used to determine whether rain is
144 reaching the surface qualitatively, but not quantitatively in this study. All the measurements were
145 averaged over 5 minutes except radar reflectivity, Doppler velocity, and spectrum width used in
146 Section 4.3. The detailed classification method will be introduced in Section 4.1. In brief, we used
147 the measurements of interpolated sounding, microwave radiometer retrieved *LWP*, radar
148 reflectivity, Doppler velocity and spectrum width to classify the cloud phase in each radar range
149 volume of low-level clouds during MARCUS. We also used ERA5 reanalysis data to study the
150 environmental conditions during MARCUS and calculated the lower tropospheric stability (*LTS*)
151 and estimated inversion strength (*EIS*) when the low-level clouds appeared along the shiptracks.

152 A classification method developed in Xi et al. (2010) was used to calculate the occurrence
153 frequencies of different types of clouds and their corresponding cloud macrophysical properties,
154 e.g., cloud base (H_{base}) and top (H_{top}) heights, cloud thickness (ΔH), and *LWP*. The relative
155 contributions of mixed-phase, liquid and ice clouds to the single-layered low-level clouds as well
156 as their drizzling status are analyzed in this study. To further investigate the drizzling status under
157 different cloud phases, we also calculated their *LTS* and *EIS*. The latitudinal and longitudinal



158 variations of the single-layered low-level clouds as well as their vertical distributions are also
159 explored in this study.

160

161 **3. Statistical results for all clouds during MARCUS**

162 The occurrence frequencies of total cloud cover and different types of clouds and their
163 associated properties over the entire study domain during MARCUS are presented in Figs. 2-4. In
164 order to examine the cloud properties over the mid-latitude and Polar regions, we separate the SO
165 domain into northern (NSO, north of 60°S) and southern (SSO, south of 60°S) parts using a
166 demarcation line of 60°S. A total of 2,447 hours cloud samples were collected during MARCUS
167 in this study, in which 1,181 hours of samples were located in the NSO and 1,266 hours of samples
168 were collected from the SSO. It is important to note that adding micropulse lidar measurements
169 increased the total samples of non-liquid-containing clouds by ~20% because micropulse lidar is
170 more sensitive to optically thin clouds than cloud radar. However, micropulse lidar signals are
171 usually attenuated and cannot provide a meaningful signal when the liquid cloud layer is thicker
172 than a couple of hundred meters (Sassen, 1991).

173 Figure 2 shows the vertical distributions of total cloud cover over the entire domain, as well as
174 over NSO and SSO. For the vertical distributions, the occurrence frequencies of total cloud
175 increase from the first radar gate (~ 226 m) to ~700 m, then monotonically decrease with altitude
176 with a few small increments at different levels, especially over SSO. We can draw the following
177 conclusions by comparing the occurrence frequencies of the total cloud between NSO and SSO. 1)
178 The SSO has more cloudiness than the NSO under 7 km, while the NSO has more cloudiness than
179 the SSO above 7 km. 2) Below 3 km, the occurrence frequencies of clouds over the NSO decrease
180 dramatically from 37 % at an altitude of ~700 m to 16 % at 3 km and from 45 % to 28 % over the



181 SSO, which is similar to the vertical distributions of the low-level clouds over some Northern
182 Hemisphere mid-latitude regions, such as Eastern North Atlantic (ENA, Dong et al., 2014). The
183 occurrence frequencies measured during MARCUS are much lower than these shown in Fig. 8 of
184 Mace et al. (2009) throughout the entire vertical column between the same range of latitudes,
185 especially, the occurrence frequencies during MARCUS are almost half of these measured by
186 CloudSat and CALIPSO from 1 to 3 km. The reason has been explained in Xi et al. (2010), that is,
187 a comparison of occurrence frequencies between measurements of two different platforms can
188 only be performed under an equivalent spatial-to-temporal resolution. In other words, our results
189 were calculated under 5-min temporal resolution, and the results in Mace et al. (2009) were
190 statistically in the 2° grid box. Therefore, the comparison between these two results is not
191 reasonable. To make a fair comparison, one has to know the cloud amount at each area or time
192 step, then the product of amount and frequency is independent of either temporal and spatial
193 measurement.

194 To compare with other studies, we calculated the cloud fractions (*CFs*) of total and different
195 types of clouds. The total *CFs* were 77.9 %, 67.6 %, and 90.3 % for the entire domain, NSO and
196 SSO, respectively, indicating that 22.7 % more clouds occurred in the Polar region than in the mid-
197 latitude region. The total *CF* over the entire domain is very close to the 76 % calculated by Mace
198 and Protat (2018) using ship-based measurements during the Cloud, Aerosols, Precipitation,
199 Radiation and Atmospheric Composition (CAPRICORN) field experiment. The total *CF* over the
200 SSO is very close to that estimated by using the complementarity of CALIOP lidar aboard
201 CALIPSO and CPR aboard CloudSat (DARDAR version 2 data) from Listowski et al. (2019).

202 Figure 3 shows the occurrence frequencies of categorized clouds and their cloud boundaries
203 using the maximum H_{top} and the minimum H_{base} . The definition of each type of cloud follows the



204 method of Xi et al. (2010). A brief description of the classification of cloud types is as follows.
205 The single-layered low-level clouds (LOW) is the fraction of time when low clouds with $H_{\text{top}} \leq 3$
206 km occur without clouds above them. Middle clouds (MID) range from 3 to 6 km without any
207 clouds below and above, while high clouds (HGH) have $H_{\text{base}} > 6$ km with no cloud underneath.
208 Other types of clouds are defined by different combinations of the above three types, middle over
209 low (MOL), high over low (HOL), high over mid (HOM), and the cloud column through the entire
210 troposphere is defined as HML. Three types, MOL, HOM, and HML, include both contiguous and
211 non-contiguous cloud layers, and their thicknesses may be overestimated when clear layer(s) are
212 present between any two cloud layers.

213 As illustrated in Fig. 3a, the single-layered low-level (LOW), deep cumulus or multi-layered
214 (HML), and shallow cumulus (MOL) clouds are the three dominant types of clouds over the SO.
215 Comparing the clouds between NSO and SSO, all types of clouds in SSO are higher than those in
216 NSO except HOL. The differences range from less than 1 % (LOW) to more than 10 % (MOL).
217 Comparing the clouds over mid-latitude oceans between the two hemispheres, i.e., between NSO
218 and ARM ENA site (Dong et al., 2014), we find: (1) The total cloud fractions (CF s) are close to
219 each other (67.6 % over NSO vs. 70.1 % at ARM ENA); (2) LOW CF s are 22.9 % vs. 27.1 %,
220 which is the dominant type of cloud in both regions; and (3) Both MOL and HML clouds, including
221 underneath low clouds, are 14.2 % and 16.5 % over NSO, much higher than those (4.2 % and
222 12.1 %) at ARM ENA site, indicating that there are more shallow and deep convective clouds over
223 NSO than over ENA.

224 Figure 3b shows the vertical locations of different types of cloud layers, which represent the
225 maximum H_{top} and minimum H_{base} , as well as their deepest ΔH for any type of cloud. Nearly all
226 H_{top} and ΔH values over NSO are higher or deeper than those over SSO, presumably due to stronger



227 solar radiation and stronger convection over NSO. H_{base} values basically followed their cloud-top
228 counterparts with a couple of exceptions. These cloud properties are closely associated with large-
229 scale dynamic patterns and environmental conditions. By analyzing the ERA-I reanalysis (not
230 shown), the 850 hPa geopotential heights show persistent westerlies with slightly higher
231 geopotential heights over the northwest corner of the domain, which may closely relate to the
232 higher H_{top} over NSO than over SSO. Furthermore, the boundary layer over NSO is relatively more
233 stable than over SSO based on lower troposphere stability analysis.

234 When we plot the probability density functions (PDFs) of cloud $LWPs$ for different types of
235 clouds, we find that the PDFs of $LWPs$ for HGH and HOM peak at less than 10 g m^{-2} . These results
236 make physical sense because HGH clouds should not contain any liquid droplets, and most HOM
237 clouds, especially those over SSO, should be ice phase dominant. In addition, the 10 g m^{-2} of LWP
238 is close to the uncertainty of the LWP retrieval in Marchand et al. (2003). Therefore, this value is
239 used as a threshold for all types of clouds, which leads to less than one percent reduction of the
240 total samples. As shown in Fig. 4a, the $LWPs$ ($> 10 \text{ g m}^{-2}$) for all types of clouds are much higher
241 over NSO than over SSO because the low-level and shallow convective clouds in the mid-latitudes
242 contain more liquid water than those in Polar regions. The mean $LWPs$ for liquid containing low-
243 level and middle-level clouds over NSO, such as LOW, MID and HOL, range from ~ 130 to 150 g m^{-2} ,
244 while the mean $LWPs$ for shallow and deep convective clouds, such as MOL and HML, are
245 two times higher ($\sim 270 \text{ g m}^{-2}$) than the mean LWP of LOW, MID and HOL. Note that the mean
246 $LWPs$ for most types of clouds over the SSO are much lower than those over the NSO, except for
247 the LOW clouds.

248 Table 1 provides a summary of the average, standard derivation, minimum and maximum for
249 cloud boundaries, liquid water path and the percentage of multi-layered cloud for each cloud type



250 over the SO. Non-contiguous clouds over the SO occur very frequently, especially for HOM and
251 HML. The *LWP* for single-layered clouds is greater than that for multi-layered clouds. The *LWP*
252 for single-layered HML almost doubles that for multi-layered HML.

253 The occurrence frequencies of *LWPs* ($> 10 \text{ g m}^{-2}$) over NSO and SSO contradict their cloud
254 *LWP* counterparts, as demonstrated in Fig. 4b. To further investigate the amount of available
255 precipitable water vapor (*PWV*), we found that mean *PWV* values in SSO are at least 2 to 3 times
256 less than those in NSO for the same types of clouds (figure not shown). Note that the samples of
257 MID, HGH, and HOM clouds are excluded from this study when they have *LWPs* less than 10 g
258 m^{-2} , since these low *LWPs* are within the retrieval uncertainty of cloud *LWP* and hence may not
259 contain any liquid cloud droplets. The higher *LWPs*, larger cloud droplets, drizzle drops and ice
260 particles, and greater drizzling occurrence frequencies over NSO (which is discussed later) will
261 lead to the quick dissipation of clouds over NSO. In contrast to NSO, the SSO cloud *LWPs* and
262 particle sizes are much smaller with fewer drizzling events, which increases cloud lifetime relative
263 to NSO. The 67.6 % and 90.3 % *CFs* over NSO and SSO provide strong evidence for this argument.
264 We can draw the following conclusions by comparing the cloud properties between NSO and SSO
265 in Figs. 3 and 4. The LOW fraction, thickness, and *LWP* over NSO and SSO are comparable to
266 each other. For other types of clouds, cloud thicknesses are similar to each other or slightly deeper
267 over NSO, but the cloud *LWPs* over NSO are much larger than those over SSO, resulting in more
268 precipitation events over NSO. As pointed out in (Albrecht 1989), more precipitation events may
269 reduce the cloud lifetime. This argument is consistent with the results shown in Figs. 2 and 3a for
270 all clouds except for HOL. Cloud lifetimes over NSO are shorter than those over SSO, which leads
271 to lower *CFs* over NSO than over SSO.

272



273 **4. Single-layered low-level clouds**

274 As discussed in Section 3, single-layered low-level clouds (LOW) are the dominant cloud type
275 in both northern (NSO) and southern (SSO) parts of the SO. Figs. 3 and 4 further reveal that LOW
276 cloud type is the only one having comparable *CF*, cloud, thickness, *LWP* over both NSO and SSO.
277 This warrants further study: Are the cloud phases, properties, and vertical and meridional
278 variations of LOW clouds over these two regions similar to each other or significantly different?

279 **4.1. Cloud phase**

280 In this study, cloud boundaries are determined by combining cloud radar, ceilometer and
281 micropulse lidar measurements at a temporal resolution of 5-min. The cloud phase, liquid water
282 droplets or ice particles, are determined in each radar range volume. A flow chart for classifying
283 the phases of single-layered low-level clouds is drawn in Fig. 5. The determination of warm liquid
284 clouds is straightforward using both cloud-base (T_{base}) and -top (T_{top}) temperatures greater than 0
285 °C, and cloud *LWPs* greater than the threshold (10 g m^{-2}). The determination of supercooled liquid
286 clouds is slightly complicated. When either T_{base} or T_{top} is below 0° C, and cloud *LWPs* are greater
287 than the threshold, the radar Doppler spectrum width (*WID*) and velocity (V_d) are used for the
288 determination of supercooled liquid water clouds. If the majority (10 seconds of original radar
289 measurements) of *WID* are less than 0.4 m s^{-1} and V_d are equal to or less than 0.0 m s^{-1} (updrafts)
290 in the volume, then this range volume is defined as supercooled liquid clouds.

291 Mixed-phase clouds are determined when the medians (calculated from 10 seconds of original
292 radar measurements) of *WID* is greater than 0.4 m s^{-1} and V_d is greater than 0.0 m s^{-1} (downdrafts)
293 due to the existence of large ice particles in the clouds. If cloud *LWP* is below the threshold, then
294 it is defined as an ice cloud, otherwise, it is defined as a mixed-phase cloud. It is worth mentioning
295 that large ice particles, which grow through vapor deposition or rime processes, dominate the radar



296 reflectivity and are heavier than cloud droplets. Therefore, these large ice particles not only
297 broaden the spectrum width but also have relatively large fall speeds.

298 To further evaluate our classification method, we compared the classified mixed-phase and ice
299 clouds with the micropulse lidar linear depolarization ratios (*LDR*) as an extra measure. The *LDR*
300 ranges follow the method in Shupe et al. (2005), which are $0.11 < LDR < 0.15$ for mixed-phase
301 clouds, and $LDR > 0.15$ for ice clouds. Table 2a shows the quantitative comparison of the cloud
302 phase identifications between these two classification methods. The numbers represent the counts
303 of each matched 5-min sample, where the diagonal numbers indicate that both methods are
304 identifying the same type of cloud phase. In general, the two methods have 89 % agreement on the
305 phase identification. Secondly, we performed the phase classification directly from microphysical
306 probes onboard G-1 aircraft during SOCRATES and treated them as 'ground-truth' (Mohrmann et
307 al., 2021). By changing the time step of sampling to mimic what the radar may observe the cloud
308 for each range volume, Table 2b shows the statistics of the possibility of the cloud phase that may
309 be detected by cloud radar. As sampling time increases from 1 second to 30 seconds, more mixed-
310 phase clouds and fewer single-phase clouds can be observed.

311 Figure 6 shows the occurrence frequencies of the matched results for mixed-phase clouds (left
312 panel) and ice clouds (right column). For the classified ice clouds, most of the Doppler spectrum
313 widths range from 0.08 to 0.16 m s^{-1} and the *LDR* ratios can be up to ~ 0.5 , representing a narrow
314 range of ice particle size distribution with higher *LDR* ratios. Cloud liquid is identified by low *LDR*
315 of ~ 0.11 (Fig. 6d) and high lidar backscatter from 10^{-5} to $10^{-4} \text{ m}^{-1} \text{ sr}^{-1}$ (Fig. 6e). For the classified
316 mixed-phase clouds, most of the Doppler spectrum width range from 0.15 to 0.35 m s^{-1} and most
317 of the *LDR* signals are less than 0.4, representing a broad particle size distribution resulting from
318 the mixture of liquid droplets and ice particles but lower *LDR* ratios. Based on the Doppler velocity,



319 the mode values for both mixed-phase and ice clouds occur at $\sim 0.5 \text{ m s}^{-1}$, where the ice particles
320 are dominant in both types of clouds. The broader particle size distribution with lower *LDR* ratios
321 for mixed-phase clouds and narrower particle size distribution with higher *LDR* ratios for ice
322 clouds further corroborate that the classified results from this study are consistent with the
323 traditional micropulse lidar *LDR* method.

324 It is important to note that the micropulse lidar signals are usually attenuated and cannot
325 provide a meaningful signal when the liquid cloud layer is thicker than a couple of hundred meters
326 (Sassen, 1991). Arctic mixed-phase clouds are typical, with the liquid-dominant layer on the top
327 of the mixed-phase clouds and the ice-dominant layer underneath. The ceilometer-derived cloud-
328 base height represents the base of the liquid-dominant layer near the cloud top, while MPL-derived
329 cloud-base height represents the base of the lower ice-dominant layer (Qiu et al., 2015; Shupe,
330 2007; Shupe et al., 2005). Over the Arctic, the micropulse lidar signals can penetrate through the
331 ice-dominant layer to the liquid-dominant layer. However, the mixed-phase clouds over the
332 Southern Ocean are totally different from those over the Arctic region: they are well mixed (liquid
333 droplets and ice particles) from cloud base to cloud top, which is found in this study. Thus, the
334 micropulse lidar signals can be attenuated in the mixed-phase clouds over the Southern Ocean.
335 Statistical results show that 43 % of micropulse data were attenuated during MARCUS compared
336 to our classified results.

337 This classification method is further supported by the onboard cloud radar measurements
338 during the Southern Ocean Clouds Radiation Aerosol Transport Experimental Study (SOCRATES,
339 not shown). In that campaign, the reflectivity measurements were usually greater, and the spectrum
340 widths were much wider when the aircraft observed large ice particles compared to the time
341 periods when liquid cloud droplets were observed. It is also worth mentioning that about 5.5 % of



342 single-layered low-level cloud phases cannot be determined when the radar measurements were
343 not available during MARCUS. Therefore, using our classification method, a total of 6,934 5-min
344 single-layered low-level cloud samples were determined in this study, including 697 liquid cloud
345 samples, 3,777 mixed, 1,205 ice, and 1,255 'OTHER' clouds. The category of 'OTHER' clouds
346 represents more than one phase in each column.

347 Figure 7 (upper panel) shows the drizzling status for each categorized cloud type, e.g., no rain
348 (yellow-green), virga (brown) and rain (navy blue). The definition of drizzling status follows the
349 method in Wu et al., (2015, 2017), which used both ceilometer and cloud radar measurements to
350 determine the status of MBL clouds under non-drizzling, virga and rain conditions. The
351 percentages shown below the x-axis represent the portion of drizzling status in each type of clouds,
352 such as liquid, mixed-phase, ice and 'OTHER' clouds. Figure 7 (bottom panel) also shows the
353 percentages and vertical distributions of classified liquid, mixed-phase, ice, and 'OTHER' clouds
354 for each column in the single-layered low-level clouds, represented by different colors. After
355 classification, the samples in each category are sorted by their H_{top} . In detail, Fig. 7 demonstrates
356 that the mixed-phase clouds dominate the single-layered low-level cloud category with an
357 occurrence frequency of 54.5 %. The 'OTHER' and ice clouds have similar occurrence frequencies
358 of 18.1 % and 17.4 %, respectively, while the liquid clouds have the least occurrence frequency of
359 10.1 %. The liquid topped mixed-phase clouds (included in 'OTHER'), which frequently occur in
360 the Arctic region (Qiu et al., 2015), are rarely found over the SO. The existence of ice particles in
361 mixed-phase clouds should strongly depend on the distribution of ice nuclei (IN), whereas spatially
362 unevenly distributed IN may result in the OTHER type of clouds.

363 Based on the results in Fig. 7, we draw the following conclusions. Most of the ice clouds are
364 non-drizzling clouds, and the percentages with virga and drizzle below the cloud base are 12 %



365 and 15 %, respectively. The percentages of non-drizzling, virga and drizzling mixed-phase clouds
366 are 50 %, 21 %, and 29 %. The liquid and 'OTHER' clouds have similar percentages, they are 36 %,
367 25 % and 39 % for liquid clouds, and 35 %, 22 % and 44 % for 'OTHER' clouds. For liquid and
368 'OTHER' clouds, the drizzling frequencies are independent of H_{top} . In contrast, for mixed-phase
369 and ice clouds, the drizzling frequencies strongly depend on H_{top} , i.e., higher drizzling frequencies
370 occur mostly at higher H_{top} .

371 The properties of single-layered low-level clouds are summarized in Table 3. The liquid clouds
372 have the lowest H_{base} and H_{top} but more available water vapor than other types of clouds. Since the
373 'OTHER' clouds are a transitional stage among mixed-phase, liquid and ice clouds, they have the
374 highest H_{top} , deepest cloud layer and largest LWP . The ice clouds occur in relatively dry
375 environments and have the highest H_{base} at 1.218 km. The mixed-phase clouds have similar H_{base} ,
376 but lower H_{top} , LWP and PWV compared to those of 'OTHER' clouds. Since $LWPs$ in mixed-phase
377 clouds have larger standard deviations, which implies that SLW is more common at higher $LWPs$
378 and ice is more common at lower $LWPs$.

379 **4.2. Meridional variations of cloud properties**

380 Figure 8 shows the meridional variation in single-layered low-level cloud properties during
381 MARCUS. As illustrated in Fig. 8a, the meridional distributions of H_{base} , H_{top} and ΔH are nearly
382 independent of latitude, however, their corresponding temperatures (T_{base} and T_{top}) increased about
383 8 K from 69 °S to 43 °S, though there were slight fluctuations. These results suggest that the cloud
384 and sea surface temperatures have minimal impact on the cloud boundaries over the SO, which is
385 consistent with the findings in McFarquhar et al. (2016). The meridional variation of $LWPs$ mimics
386 those of T_{base} and T_{top} , with an increasing trend from south to north. It is important to point out that
387 a big drop in LWP at ~50 °S results from fewer occurrences of low-level clouds there, indicating



388 that the cloud samples at some latitudes are not statistically significant. The atmospheric *PWV*
389 increased dramatically from ~ 5 mm at 69 °S to ~18 mm at 43 °S, presumably due to increased sea
390 surface and atmospheric temperatures.

391 Figure 9 shows the latitudinal and meridional distributions of categorized liquid, mixed-phase,
392 ice and 'OTHER' in single-layered low-level clouds over the SO during MARCUS. Each circle
393 represents the exact location and time along the ship track. Mixed-phase clouds occurred
394 everywhere over the SO during the MARCUS field campaign and became dominant in November,
395 December and February. Liquid clouds dominated in March, while ice clouds dominated in
396 January. The 'OTHER' clouds are a kind of transitional phase falling in between the mixed-phase
397 and ice/liquid clouds because there are no stand-alone occurrences in any month during MARCUS.

398 **4.3 Vertical distribution of cloud properties**

399 The vertical distributions of classified liquid, mixed-phase, and ice clouds are presented in Figs.
400 10-12. The focus of this section will be comparisons of cloud properties between the north (NSO)
401 and south (SSO) regions of the domain. Figure 10a shows the vertical distributions of liquid clouds,
402 which were capped at ~ 1.6 km, mostly in the marine boundary layer. The vertical occurrence
403 frequencies are up to 27 % over NSO, while they were less than 4 % over SSO, i.e., liquid clouds
404 occurred fairly often over the mid-latitude region, but very few occurred over the Polar region. On
405 the contrary, the occurrence frequencies of mixed-phase clouds between NSO and SSO are
406 opposite to liquid clouds, as illustrated in Fig. 10b, though the differences are not so obvious.
407 Mixed-phase clouds increased with altitude until ~1.6 km, then decreased monotonically towards
408 3 km. The highest frequencies were ~37 % at 0.6 km over SSO and ~27 % at 1.5 km over NSO.
409 The vertical distributions of ice clouds are similar to those of mixed-phase clouds (Fig. 10c).
410 However, there were no significant differences between NSO and SSO. It is worth mentioning that



411 the vertical distributions of mixed-phased clouds over SO are quite different to those from DOE
412 ARM Northern Slope Alaska (NSA) site where the liquid topped mixed-phase low-level clouds
413 are common (e.g., Qiu et al., 2015).

414 To further investigate the vertical distributions of classified liquid, mixed-phase, and ice clouds
415 over NSO and SSO, we plot the normalized vertical distributions (cloud base as 0, cloud top as 1)
416 of radar reflectivity, Doppler velocity and spectrum width in Figs. 11 and 12, respectively. In this
417 study, the threshold of -50 dBZ was used to determine the cloud boundary over the SO instead of
418 the threshold of -40 dBZ radar reflectivity used at the ARM ENA site (Dong et al., 2014). If we
419 used the threshold of -40 dBZ over the SO, then there would be only 73 % cloud samples available
420 for this study. About 9.6 % of radar reflectivities during MARCUS are less than -50 dBz for all
421 single-layered low-level cloud samples. Thus all radar parameters used in this study are based on
422 90.4 % of the radar measurements with reflectivity greater than -50 dBz.

423 Figures 11a-11c represent the normalized vertical distributions of radar reflectivity, Doppler
424 velocity and spectrum width of liquid clouds. Liquid clouds had the lowest reflectivity near the
425 cloud top because of cloud-top entrainment., The reflectivity had a nearly constant median value
426 of ~ -22 dBZ from near cloud top height (~ 0.8 for normalized height) of the cloud layer to the
427 cloud base. Most of the reflectivities were less than -15 dBz, which is the threshold to distinguish
428 cloud and drizzle-sized particles in each radar range volume (Wu et al., 2020). Most of the Doppler
429 velocities were greater than 0.0 m s^{-1} , indicating that downwelling motion is dominant in liquid
430 clouds. The profiles of Doppler velocity and spectrum width increased smoothly from the cloud
431 top to base, suggesting that larger cloud droplets and broader size distributions exist near the cloud
432 base, which is attributable to more drizzle drops near the cloud base, as illustrated in Fig. 7.



433 The vertical distributions of mixed-phase clouds in Figs. 11d-11f are similar to those of liquid
434 clouds. The more occurrences of larger reflectivity measurements and larger median values of
435 spectrum width near the cloud base are most likely due to the presence of moderate ice particles
436 and/or drizzle drops. The nearly same median values of reflectivity, Doppler velocity and spectrum
437 width (but slightly larger standard deviations in each level in mixed-phase clouds) in both liquid
438 and mixed-phase clouds suggest that the ice particle sizes in mixed-phase clouds are comparable
439 to cloud droplets and drizzle drops. The nearly uniform vertical distributions of Doppler velocity
440 and spectrum width indicate well-mixed liquid cloud droplets and ice particles throughout the
441 cloud layer in the mixed-phase clouds over NSO.

442 Ice clouds had much lower reflectivity and narrower spectrum width than liquid and mixed-
443 phase clouds, as shown in Figs. 11g-11i. Almost all reflectivity measurements were less than -25
444 dBz with a median value of -35 dBz at the cloud base, resulting from small or moderate ice
445 particles but much lower concentration. A nearly constant Doppler velocity within the cloud layer
446 further supports the discussion of mixed-phase clouds above, i.e., the ice particle sizes are
447 independent of cloud height and comparable to liquid cloud droplets in the low-level clouds over
448 the SO. Because there are no mechanisms for growing large ice particles in such shallow ice clouds,
449 the accretion process cannot take place. From the statistical results in Fig. 7, these ice particles
450 have relatively little chance to become virga or raindrops and usually dissipate or transition to
451 other types of clouds.

452 Since there are not enough liquid cloud samples over the Polar region, only the mixed-phase
453 and ice clouds results are plotted in Fig. 12. Compared to the vertical distributions of ice clouds
454 over NSO, the median values of reflectivity and Doppler spectrum width over SSO were lower
455 and narrower, indicating a lack of large ice particles in the Polar region. The small ice particles in



456 the Polar region were also reflected in their mixed-phase clouds. Compared to the vertical
457 distributions of the mixed-phased clouds over NSO, the median values of reflectivity and Doppler
458 spectrum width over SSO were dramatically lower (-35 dBz at SSO vs. -22 dBz at NSO; 0.25 m
459 s^{-1} at SSO vs. 0.32 m s^{-1} at NSO). Figure 12 illustrates that the ice particle sizes over SSO are
460 smaller, their size distributions are narrower than those over NSO, indicating of lack of large ice
461 particles over SSO.

462

463 **5. Summary and Conclusions**

464 In this study, we presented the statistical results of clouds over the Southern Ocean (SO) and
465 the northern (NSO) and southern (SSO) parts during MARCUS IOP. We used the method
466 developed in Xi et al. (2010) to calculate the occurrence frequencies of different types of clouds
467 and their corresponding cloud macrophysical properties. We developed a new method to classify
468 liquid, mixed-phased, and ice clouds in the single-layered low-level clouds as well as their
469 corresponding drizzling status. Lastly, we explored the meridional and vertical distributions of
470 these classified cloud properties. Analysis of the MARCUS cloud properties has yielded the
471 following conclusions.

472 1. The total cloud fractions (*CFs*) were 77.9 %, 67.6 %, and 90.3 % for the entire
473 domain, NSO and SSO, respectively, indicating that 22.7 % more clouds occurred in the Polar
474 region than in the mid-latitude region. The SSO had more clouds under 7 km, while the NSO
475 had more clouds above 7 km. Below 3 km, the occurrence frequencies of clouds over NSO
476 decrease dramatically from 37 % at an altitude of ~700 m to 16 % at 3 km, which is similar to
477 the vertical distributions of the low-level clouds over some Northern Hemisphere mid-latitude
478 regions, such as Eastern North Atlantic.



479 2. The single-layered low-level (LOW), deep cumulus or multi-layered (HML), and
480 shallow cumulus (MOL) clouds are the three dominant types of clouds over the SO. Comparing
481 the clouds between NSO and SSO, all types of clouds in SSO are higher than those in NSO
482 except HOL. The LOW fraction, thickness, LWP over both NSO and SSO are comparable to
483 each other. The mean *LWPs* for low clouds over NSO, such as LOW, MOL and HOL, range
484 from ~ 130 to 150 g m^{-2} , while the mean *LWPs* for shallow and deep convective clouds, such
485 as MOL and HML, are two times ($\sim 270 \text{ g m}^{-2}$) higher than the same types of clouds. The mean
486 *LWPs* of clouds over SSO are much lower than the *LWPs* over NSO. Over the Southern Ocean,
487 the single-layered or contiguous clouds usually have higher liquid water paths than their
488 counterpart of multi-layered or non-contiguous clouds. There are more non-contiguous HML
489 and HOM than contiguous ones.

490 3. A new method was developed to classify liquid, mixed-phase and ice clouds in the
491 single-layered low-level clouds (LOW) based on comprehensive ground-based observations.
492 The mixed-phase clouds are dominant in the single-layered low-level cloud category with an
493 occurrence frequency of 54.5 %. The 'OTHER' and ice clouds had similar occurrence
494 frequencies of 18.1 % and 17.4 %, respectively, while the liquid clouds had the least occurrence
495 frequency of 10.1 %. The percentages of non-drizzling, virga and drizzling for mixed-phase
496 clouds were 50 %, 21 %, and 29 %, and the drizzling frequencies of mixed-phase clouds
497 strongly depend on H_{top} , that is, higher drizzling frequencies occurred mostly at higher H_{top} .

498 4. The meridional distributions of H_{base} , H_{top} and ΔH are nearly independent on
499 latitude. However, their corresponding temperatures increased about 8 K from 69°S to 43°S .
500 The meridional variation of *LWPs* mimics that of cloud temperatures, having an increasing
501 trend from south to north. The mean *PWV* increased dramatically from $\sim 5 \text{ mm}$ at 69°S to ~ 18



502 mm at 43 °S due to increased sea surface and atmospheric temperatures. More liquid clouds
503 occurred over NSO but very few occurred over SSO, whereas more mixed-phase clouds
504 occurred over SSO than over NSO. There were no significant differences in ice clouds
505 occurrences between NSO and SSO.

506 5. The nearly same median values of reflectivity, Doppler velocity and spectrum
507 width in both liquid and mixed-phase clouds over NSO suggest that the ice particle sizes in
508 mixed-phase clouds are comparable to cloud droplets and drizzle drops. The uniform vertical
509 distributions of Doppler velocity and spectrum width suggest well-mixed liquid cloud droplets
510 and ice particles throughout the cloud layer in the mixed-phase clouds over NSO, which are
511 quite different from those over the DOE ARM NSA site where the liquid topped mixed-phase
512 low-level clouds are common. The median values of reflectivity and Doppler spectrum width
513 over SSO were lower and narrower than those over NSO, indicating lack of large ice particles
514 in the polar region.

515 These results provide comprehensive statistical properties of all clouds over the SO during
516 MARCUS, including the occurrence frequencies of different types of clouds and their
517 corresponding cloud macrophysical properties. We also examined the meridional and vertical
518 distributions of the classified cloud properties. These statistics can be used as ground truth to
519 evaluate satellite retrieved cloud properties and model simulations over the SO. The results of this
520 study will help to advance our understanding of these clouds, which may lead to improved model
521 simulations over the SO as well as a better representation of global climate.

522

523 *Data availability.* Data used in this study can be accessed from the DOE ARM's Data Discovery at
524 <https://adc.arm.gov/discovery/>

525



526 *Author contributions.* The idea of this study is discussed by BX, XD, and XZ. BX and XZ performed
527 the analyses and BX wrote the manuscript. BX, XD, XZ and PW participated in scientific discussions
528 and provided substantial comments and edits on the paper.

529

530 *Competing interests.* The authors declare that they have no conflict of interest.

531

532 *Acknowledgements.* The ground-based measurements were obtained from the Atmospheric
533 Radiation Measurement (ARM) Program sponsored by the U.S. Department of Energy (DOE)
534 Office of Energy Research, Office of Health and Environmental Research, and Environmental
535 Sciences Division. The data can be downloaded from <http://www.archive.arm.gov/>. Researchers
536 were supported by the NSF project under grant AGS-2031750 at the University of Arizona.
537 Specially thanks to Mr. Xingyu Zhang for providing analysis from CDP and 2DS microphysical
538 sensors during SOCRATES and Dr. Dale Ward at the University of Arizona for proofreading this
539 manuscript.

540

541

542

543 **References.**

544 Albrecht, B. A.: Aerosols, cloud microphysics, and fractional cloudiness, *Science*,
545 doi:10.1126/science.245.4923.1227, 1989.

546 Bodas-Salcedo, A., Mulcahy, J. P., Andrews, T., Williams, K. D., Ringer, M. A., Field, P. R. and
547 Elsaesser, G. S.: Strong Dependence of Atmospheric Feedbacks on Mixed-Phase
548 Microphysics and Aerosol-Cloud Interactions in HadGEM3, *J. Adv. Model. Earth Syst.*,
549 doi:10.1029/2019MS001688, 2019.



- 550 Bony, S., Stevens, B., Frierson, D. M. W., Jakob, C., Kageyama, M., Pincus, R., Shepherd, T. G.,
551 Sherwood, S. C., Siebesma, A. P., Sobel, A. H., Watanabe, M. and Webb, M. J.: Clouds,
552 circulation and climate sensitivity, *Nat. Geosci.*, doi:10.1038/ngeo2398, 2015.
- 553 Chubb, T. H., Jensen, J. B., Siems, S. T. and Manton, M. J.: In situ observations of supercooled
554 liquid clouds over the Southern Ocean during the HIAPER Pole-to-Pole Observation
555 campaigns, *Geophys. Res. Lett.*, doi:10.1002/grl.50986, 2013.
- 556 Dong, X., Xi, B., Kennedy, A., Minnis, P. and Wood, R.: A 19-month record of marine aerosol-
557 cloud-radiation properties derived from DOE ARM mobile facility deployment at the
558 Azores. Part I: Cloud fraction and single-layered MBL cloud properties, *J. Clim.*,
559 doi:10.1175/JCLI-D-13-00553.1, 2014.
- 560 Hu, Y., Rodier, S., Xu, K. M., Sun, W., Huang, J., Lin, B., Zhai, P. and Josset, D.: Occurrence,
561 liquid water content, and fraction of supercooled water clouds from combined
562 CALIOP/IIR/MODIS measurements, *J. Geophys. Res. Atmos.*,
563 doi:10.1029/2009JD012384, 2010.
- 564 Intrieri, J. M., Fairall, C. W., Shupe, M. D., Persson, P. O. G., Andreas, E. L., Guest, P. S. and
565 Moritz, R. E.: An annual cycle of Arctic surface cloud forcing at SHEBA, *J. Geophys. Res.*
566 *Ocean.*, doi:10.1029/2000jc000439, 2002.
- 567 Klein, S. A., Hall, A., Norris, J. R. and Pincus, R.: Low-Cloud Feedbacks from Cloud-Controlling
568 Factors: A Review, *Surv. Geophys.*, doi:10.1007/s10712-017-9433-3, 2017.
- 569 Kalesse, H., de Boer, G., Solomon, A., Oue, M., Ahlgrimm, M., Zhang, D., Shupe, M. D., Luke,
570 E. and Protat, A.: Understanding rapid changes in phase partitioning between cloud liquid
571 and ice in stratiform mixed-phase clouds: An arctic case study, *Mon. Weather Rev.*,
572 doi:10.1175/MWR-D-16-0155.1, 2016.



- 573 Lang, F., Huang, Y., Siems, S. T. and Manton, M. J.: Characteristics of the Marine Atmospheric
574 Boundary Layer Over the Southern Ocean in Response to the Synoptic Forcing, *J. Geophys.*
575 *Res. Atmos.*, doi:10.1029/2018JD028700, 2018.
- 576 Listowski, C., Delanoë, J., Kirchgaessner, A., Lachlan-Cope, T. and King, J.: Antarctic clouds,
577 supercooled liquid water and mixed phase, investigated with DARDAR: Geographical and
578 seasonal variations, *Atmos. Chem. Phys.*, doi:10.5194/acp-19-6771-2019, 2019.
- 579 Mace, G. G., Zhang, Q., Vaughan, M., Marchand, R., Stephens, G., Trepte, C. and Winker, D.: A
580 description of hydrometeor layer occurrence statistics derived from the first year of merged
581 Cloudsat and CALIPSO data, *J. Geophys. Res. Atmos.*, doi:10.1029/2007JD009755, 2009.
- 582 Mace, G. G. J. and Protat, A.: Clouds over the Southern Ocean as observed from the R/V
583 investigator during CAPRICORN. Part I: Cloud occurrence and phase partitioning, *J. Appl.*
584 *Meteorol. Climatol.*, doi:10.1175/JAMC-D-17-0194.1, 2018.
- 585 Mace, G. G., Protat, A., Humphries, R. S., Alexander, S. P., McRobert, I. M., Ward, J., Selleck,
586 P., Keywood, M. and McFarquhar, G. M.: Southern Ocean Cloud Properties Derived From
587 CAPRICORN and MARCUS Data, *J. Geophys. Res. Atmos.*, doi:10.1029/2020JD033368,
588 2021.
- 589 Marchand, R., Ackerman, T., Westwater, E. R., Clough, S. A., Cady-Pereira, K. and Liljegren, J.
590 C.: An assessment of microwave absorption models and retrievals of cloud liquid water
591 using clear-sky data, *J. Geophys. Res. Atmos.*, doi:10.1029/2003jd003843, 2003.
- 592 Marchand, R., Wood, R., Bretherton, C., McFarquhar, G., Protat, A., Quinn, P., Siems, S., Jakob,
593 C., Alexander, S., Weller, B.: The Southern Ocean Clouds, Radiation Aerosol Transport
594 Experimental Study (SOCRATES), whitepaper available from
595 http://www.atmos.washington.edu/socrates/SOCRATES_white_paper_Final_Sep29_201



- 596 [4.pdf](#), 2014.
- 597 McCoy, D. T., Hartmann, D. L. and Grosvenor, D. P.: Observed Southern Ocean cloud properties
598 and shortwave reflection. Part II: Phase changes and low cloud feedback, *J. Clim.*,
599 doi:10.1175/JCLI-D-14-00288.1, 2014.
- 600 McFarquhar, G., Bretherton, C., Alexander, S., DeMott, P., Marchand, R., Protat, A., Quinn, P.,
601 Siems, S., Weller, R., Wood, R.: Measurements of Aerosols, Radiation, and Clouds over
602 Sothern Ocean (MARCUS) Science Plan, DOE ARM Climate Research Facility.,
603 DOE/SC-ARM-16-011, available at: [http://arm.gov/publications/programdocs/doe-sc-](http://arm.gov/publications/programdocs/doe-sc-arm-16-011.pdf)
604 [arm-16-011.pdf](http://arm.gov/publications/programdocs/doe-sc-arm-16-011.pdf), 2016.
- 605 Mohrmann, J., Finlon, J., Atlas, R., Lu, J., Hsiao, I., Wood, R.: University of Washington Ice-
606 Liquid Discriminator single particle phase classifications and 1 Hz particle size
607 distributions/heterogeneity estimate, Version 1.0. UCAR/NCAR - Earth Observing
608 Laboratory., doi:10.26023/PA5W-4DRX-W50A, Last Access: Nov 01, 2021
- 609 Morrison, H., De Boer, G., Feingold, G., Harrington, J., Shupe, M. D. and Sulia, K.: Resilience of
610 persistent Arctic mixed-phase clouds, *Nat. Geosci.*, doi:10.1038/ngeo1332, 2012.
- 611 Qiu, S., Dong, X., Xi, B. and Li, J. L. F.: Characterizing Arctic mixed-phase cloud structure and
612 its relationship with humidity and temperature inversion using ARM NSA observations, *J.*
613 *Geophys. Res.*, doi:10.1002/2014JD023022, 2015.
- 614 Sassen, K.: The polarization lidar technique for cloud research: a review and current assessment,
615 *Bull. - Am. Meteorol. Soc.*, doi:10.1175/1520-0477(1991)072<1848:TPLTFC>2.0.CO;2,
616 1991.
- 617 Shupe, M. D., Uttal, T. and Matrosov, S. Y.: Arctic cloud microphysics retrievals from surface-
618 based remote sensors at SHEBA, *J. Appl. Meteorol.*, doi:10.1175/JAM2297.1, 2005.



- 619 Shupe, M.: A ground-based multisensory cloud phase classifier, *Geophys. Res. Lett.*,
620 doi:10.1029/2007GL031008, 2007.
- 621 Stanfield, R. E., Dong, X., Xi, B., Kennedy, A., Del Genio, A. D., Minnis, P. and Jiang, J. H.:
622 Assessment of NASA GISS CMIP5 and Post-CMIP5 Simulated Clouds and TOA
623 Radiation Budgets Using Satellite Observations. Part I: Cloud Fraction and Properties, *J.*
624 *Clim.*, doi:10.1175/jcli-d-13-00558.1, 2014.
- 625 Stanfield, R. E., Dong, X., Xi, B., Del Genio, A. D., Minnis, P., Doelling, D. and Loeb, N.:
626 Assessment of NASA GISS CMIP5 and post-CMIP5 simulated clouds and TOA radiation
627 budgets using satellite observations. Part II: TOA radiation budget and CREs, *J. Clim.*,
628 doi:10.1175/JCLI-D-14-00249.1, 2015.
- 629 Stocker, T. F., Qin, D., Plattner, G. K., Tignor, M. M. B., Allen, S. K., Boschung, J., Nauels, A.,
630 Xia, Y., Bex, V. and Midgley, P. M.: Climate change 2013 the physical science basis:
631 Working Group I contribution to the fifth assessment report of the intergovernmental panel
632 on climate change., 2013.
- 633 Wu, P., Dong, X. and Xi, B.: Marine boundary layer drizzle properties and their impact on cloud
634 property retrieval, *Atmos. Meas. Tech.*, doi:10.5194/amt-8-3555-2015, 2015.
- 635 Wu, P., Dong, X., Xi, B., Liu, Y., Thieman, M. and Minnis, P.: Effects of environment forcing on
636 marine boundary layer cloud-drizzle processes, *J. Geophys. Res.*,
637 doi:10.1002/2016JD026326, 2017.
- 638 Wu, P., Dong, X. and Xi, B.: A climatology of marine boundary layer cloud and drizzle properties
639 derived from ground-based observations over the azores, *J. Clim.*, doi:10.1175/JCLI-D-20-
640 0272.1, 2020.



- 641 Xi, B., Dong, X., Minnis, P. and Khaiyer, M. M.: A 10 year climatology of cloud fraction and
642 vertical distribution derived from both surface and GOES observations over the DOE ARM
643 SPG site, *J. Geophys. Res. Atmos.*, doi:10.1029/2009JD012800, 2010.
- 644 Zelinka, M. D., Myers, T. A., McCoy, D. T., Po-Chedley, S., Caldwell, P. M., Ceppi, P., Klein, S.
645 A. and Taylor, K. E.: Causes of Higher Climate Sensitivity in CMIP6 Models, *Geophys.*
646 *Res. Lett.*, doi:10.1029/2019GL085782, 2020.
- 647 Zhao, L., Zhao, C., Wang, Y., Wang, Y. and Yang, Y.: Evaluation of Cloud Microphysical
648 Properties Derived From MODIS and Himawari-8 Using In Situ Aircraft Measurements
649 Over the Southern Ocean, *Earth Sp. Sci.*, doi:10.1029/2020EA001137, 2020.



Table 1. Minimum cloud base heights (H_{base}), maximum cloud top heights (H_{top}), and liquid water paths ($LWPs$) (all samples, single-layered, multilayered) of all seven types of clouds over the Southern Ocean. Cloud heights have unit of kilometer, and LWP has unit of g m^{-2} .

	LOW	MID	MOL	HGH	HOM	HML	HOL
$H_{\text{base}} \pm \text{std}$	0.92 ± 0.57	4.14 ± 0.61	1.37 ± 0.96	8.51 ± 2.23	4.70 ± 0.80	1.22 ± 0.98	1.14 ± 1.12
min, max	0.06, 2.86	3.00, 5.84	0.06, 5.27	6.00, 18.67	3.01, 7.72	0.06, 7.81	0.07, 10.37
$H_{\text{top}} \pm \text{std}$	1.62 ± 0.63	4.88 ± 0.68	4.29 ± 0.89	9.75 ± 2.13	7.93 ± 1.27	7.81 ± 1.35	8.93 ± 1.66
min, max	0.29, 3.0	3.17, 6.0	1.39, 5.99	6.20, 18.79	5.47, 17.98	3.62, 17.38	1.79, 17.56
$LWP \pm \text{std}$	122.4 ± 134.2	86.7 ± 124.5	168.7 ± 236.7	/	40.9 ± 40.8	169.2 ± 238.4	$129.8 \pm 202.$
Max LWP	1470.8	501.1	1937.1	/	345.7	1819.3	1785.2
$LWP \pm \text{std}$ (single layer)	126.6 ± 138.1	88.7 ± 128.9	193.1 ± 271.9	/	48.7 ± 51.7	270.8 ± 349.5	/
max	1470.8	501.1	1937.1	/	345.7	1819.3	/
$LWP \pm \text{std}$ (multi-layer)	96.2 ± 103.4	77.2 ± 109.2	139.0 ± 180.7	/	32.3 ± 21.3	148.4 ± 202.4	$129.8 \pm 202.$
max	842.3	305.6	1830.2	/	86.8	1690.7	1785.2
Multi-layer percentage %	18.1	39.6	50.0	44.9	73.1	77.7	100

* The definition of the cloud types as follow: LOW (H_{base} and $H_{\text{top}} \leq 3 \text{ km}$); MID ($H_{\text{base}} > 3 \text{ km}$ and $H_{\text{top}} \leq 6 \text{ km}$); HGH ($H_{\text{base}} > 6 \text{ km}$); MOL ($H_{\text{base}} < 3 \text{ km}$ and $H_{\text{top}} \leq 6 \text{ km}$); HOM ($3 \text{ km} < H_{\text{base}} < 6 \text{ km}$ and $H_{\text{top}} > 6 \text{ km}$); HML ($H_{\text{base}} < 3 \text{ km}$, $H_{\text{top}} \geq 6 \text{ km}$ with a MID layer); HOL (LOW and HGH appear at the same time).



Table 2a. Comparison of cloud phase identifications between our classification method and Shupe et al. (2005) method in each 5-min measurements, the unit is number of 5-min samples.

Shupe / this study	Liquid (this study)	Mixed-phase (this study)	Ice (this study)
Liquid	468	490	0
Mixed-phase	98	3840	0
Ice	81	0	1195

*Numbers denote the cloud sample classifications between two methods. For example, the number 98 denote a total of 98 samples are classified as Mixed-phase using Shupe's method, while are classified as Liquid using this study's method.

Table 2b. The cloud phase partitioning from CDP and 2DS during SOCRATES. Cloud Droplet Probe (CDP) measures particle size from 2 to 50 um in diameter; Two-Dimensional Stereo Probe (2DS) measures particle size from 50 to 5000 um in diameter.

Phase partitioning	1 second	10 seconds	30 seconds
Samples #	27280	2255	836
Liquid, %	58.8	26.2	18.8
Mixed-phase, %	38.9	69.1	77.0
Ice, %	2.3	4.7	4.2



Table 3. Liquid, mixed, ice and OTHER phases of cloud properties within the single-layered low-level clouds

Phase	Samples	H_{base}, km	H_{top}, km	ΔH, km	LWP, g m⁻²	PWV, mm
Liquid	697	0.424±0.204	1.327±0.242	0.903	113.6±90.1	15.7±3.5
Mixed	3777	0.834±0.465	1.434±0.617	0.587	119.7±136.6	8.9±5.0
Ice	1205	1.218±0.635	1.737±0.651	0.519	0	8.4±4.5
OTHER	1255	0.700±0.454	1.774±0.571	1.074	141.9±137.5	11.4±5.9

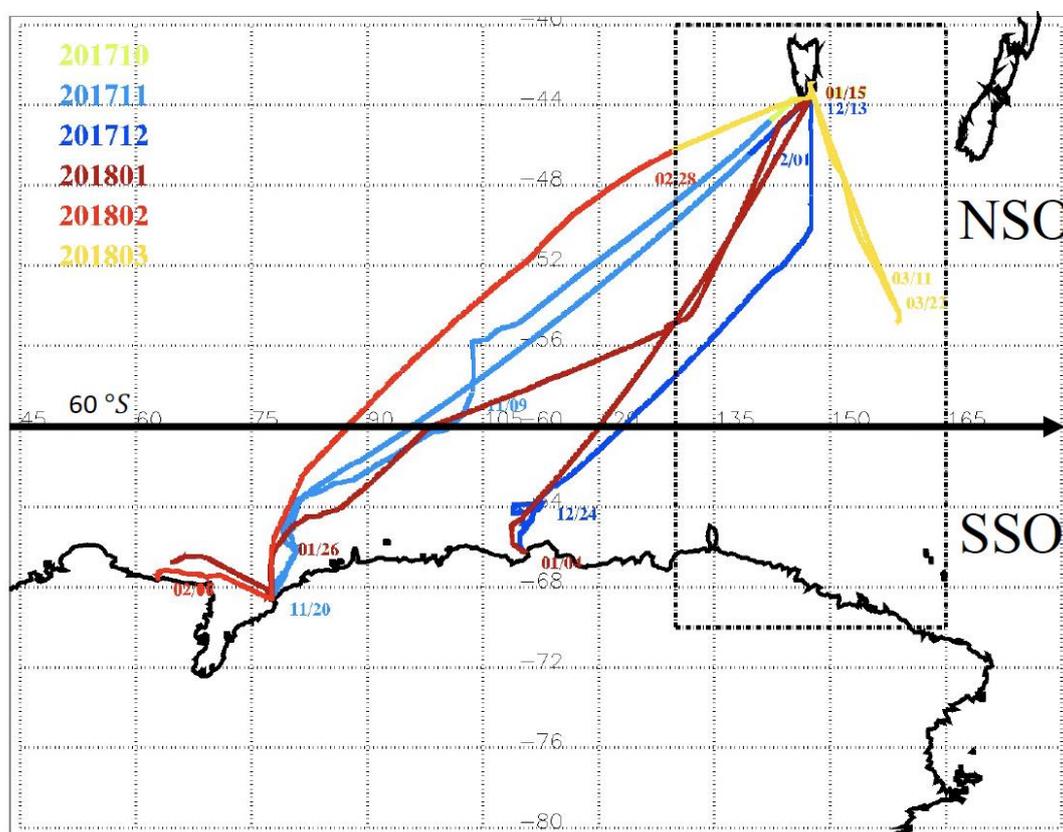


Figure 1. Shiptrack measurements between Hobart, Australia and Antarctica. Different colors represent different month's shiptracks from Oct. 29, 2017 to Mar. 23, 2018 during MARCUS. Along the shiptracks, the study domain is separated into northern (NSO) and southern (SSO) parts of the Southern Ocean with a demarcation line of 60 °S in order to study the clouds over the mid-latitudes (North of 60 °S) and Polar region (South of 60 °S). The black dotted rectangle represents the SOCRATES study domain. Some of the dates have labeled along the shiptracks, which can indicate the direction of the ship traveled.

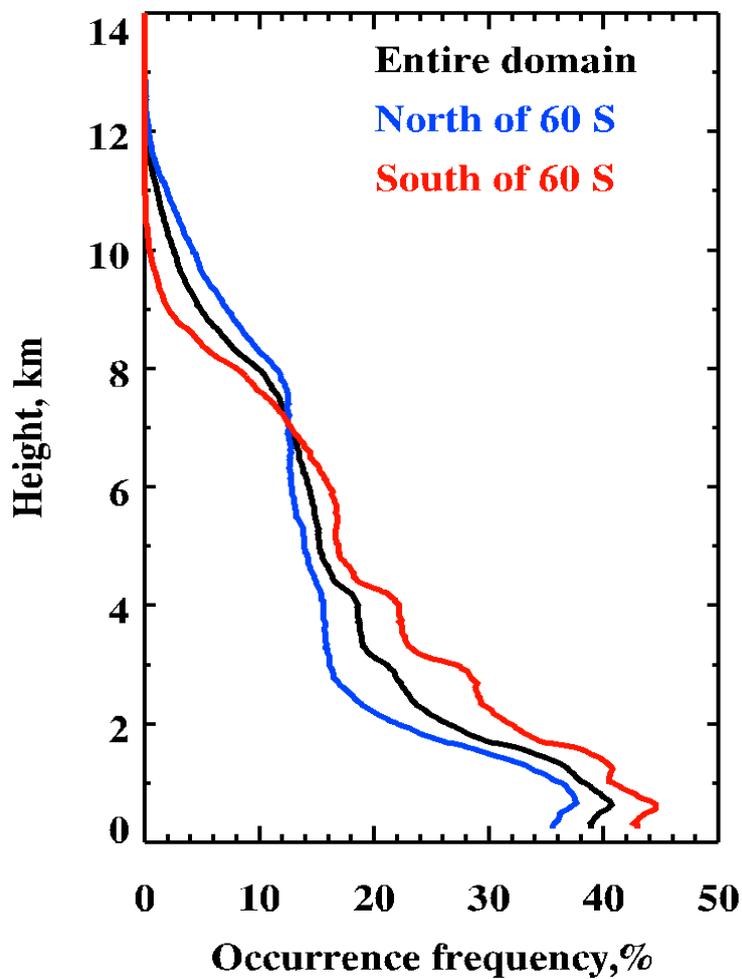


Figure 2. Mean vertical distributions of total clouds derived from ARM radar-lidar observations with a 5-min temporal resolution and a 30-m vertical resolution during MARCUS.

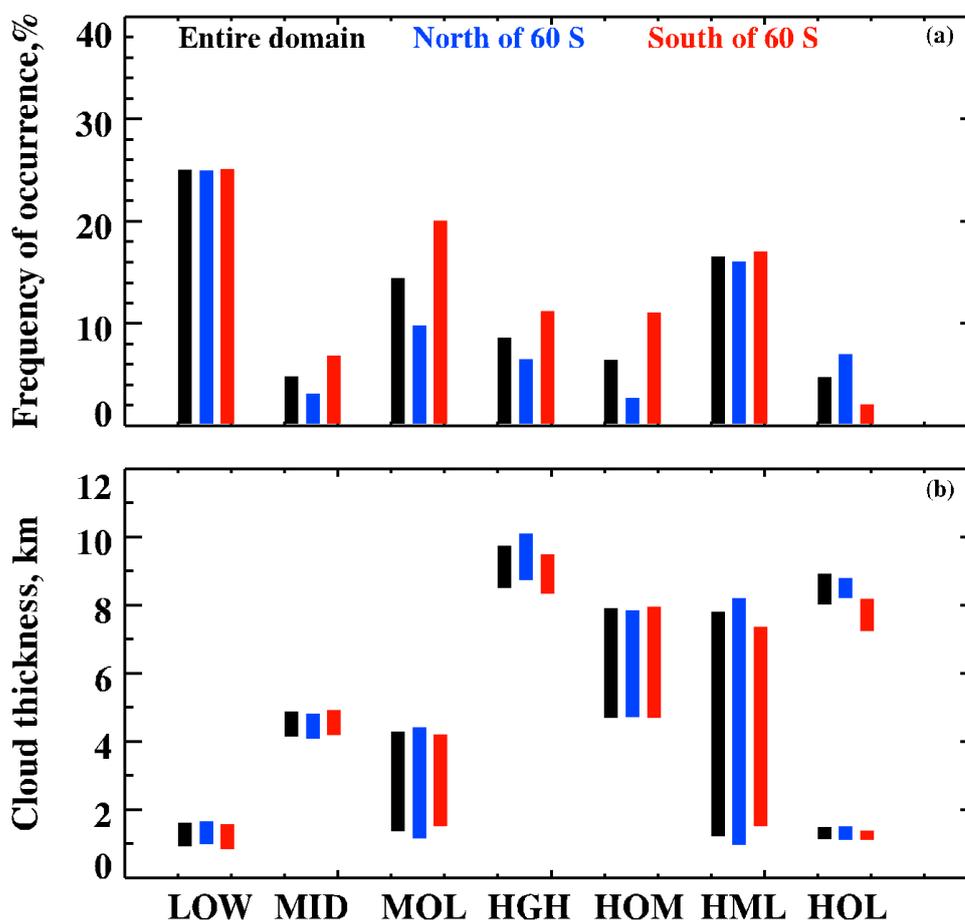


Figure 3. (a) Occurrence frequencies of categorized clouds by their vertical structures. LOW, single - layered low clouds (H_{base} and $H_{\text{top}} \leq 3 \text{ km}$); MID, single-layered middle clouds ($H_{\text{base}} > 3 \text{ km}$ and $H_{\text{top}} \leq 6 \text{ km}$); MOL, MID over LOW ($H_{\text{base}} < 3 \text{ km}$ and $H_{\text{top}} \leq 6 \text{ km}$); HGH, single-layered high clouds ($H_{\text{base}} > 6 \text{ km}$); HOM, HGH over MID ($3 \text{ km} < H_{\text{base}} < 6 \text{ km}$ and $H_{\text{top}} > 6 \text{ km}$); HML, HGH over MID and LOW ($H_{\text{base}} < 3 \text{ km}$, $H_{\text{top}} \geq 6 \text{ km}$ with a MID layer); and HOL, HGH over LOW (LOW and HGH appear at the same time). (b) Cloud thickness for each type of clouds (bar), the top and bottom of the bar represent the maximum cloud-top and minimum cloud-base heights, respectively. Black, blue, and red bars represent the entire domain (Lat:41-69 °S; Long: 60-160° E), north of 60 °S (NSO), and south of 60°S (SSO), respectively, during the MARCUS field campaign (10/2017-3/2018).

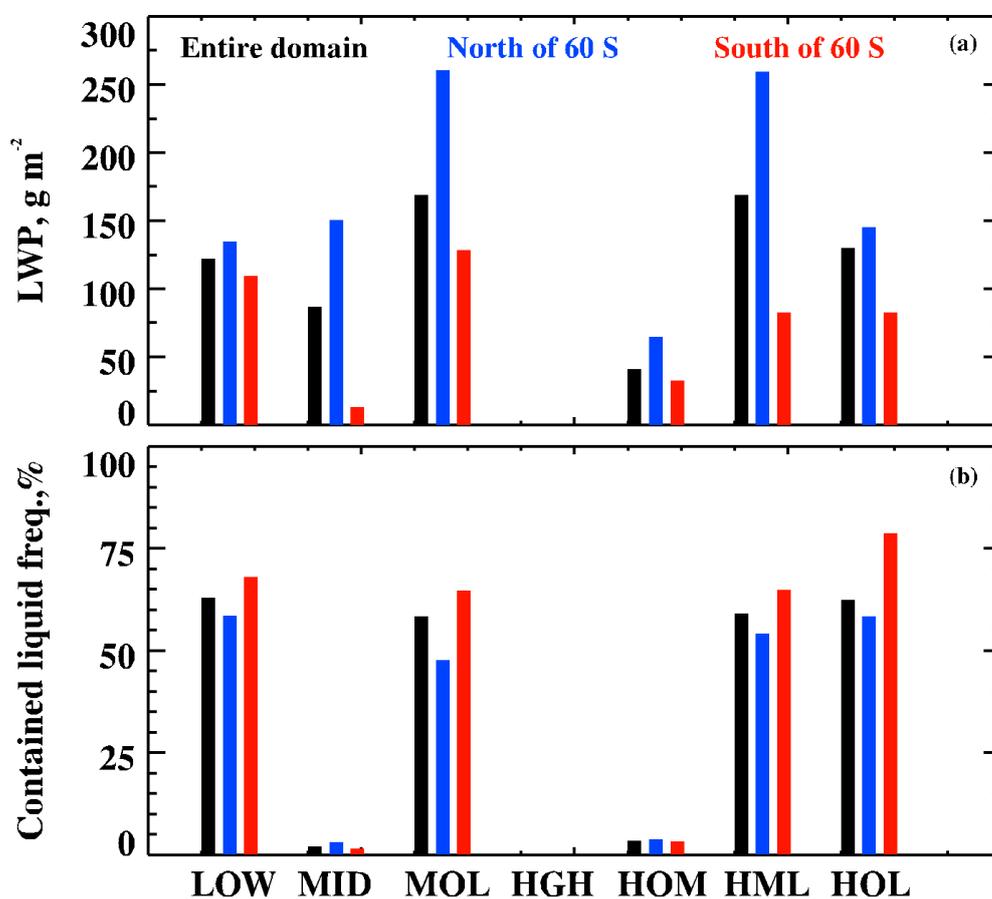


Figure 4. (a) Cloud liquid water paths (*LWPs*) retrieved from microwave radiometer (MWR) measured brightness temperature using a physical retrieval method for each type of cloud. (b) The occurrence frequencies of $LWPs > 10 \text{ gm}^{-2}$ for each type of clouds



Phase classification algorithm for single layered low level clouds

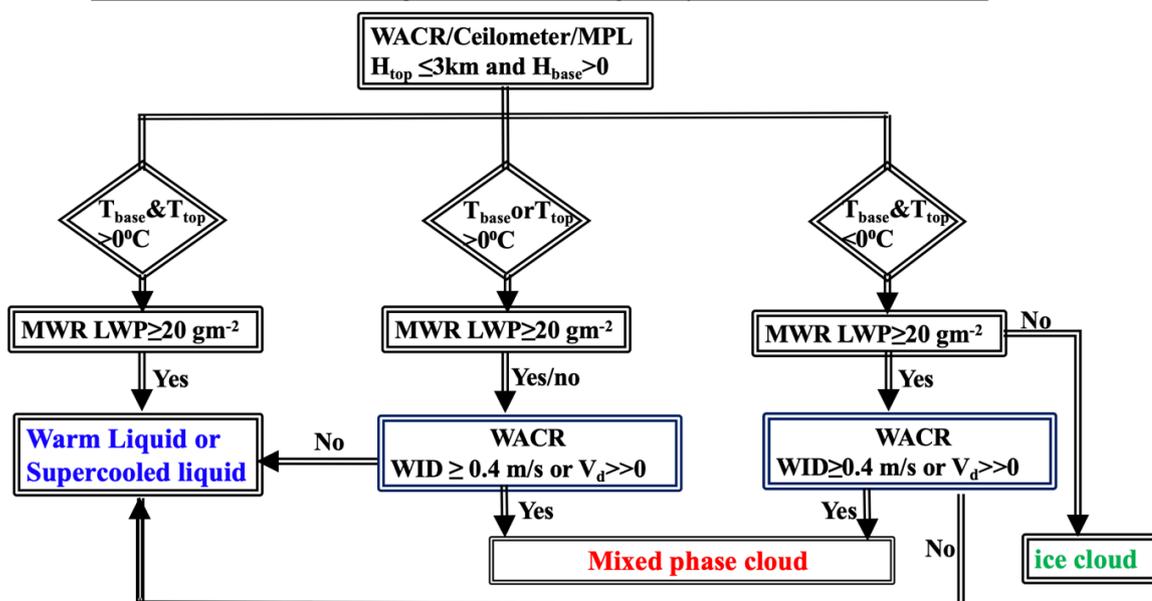


Figure 5. A flow chart for phase classification of single-layered low-level clouds. W-Band (95 GHz) ARM Cloud Radar (WACR) provides radar spectrum width (WID) and Doppler velocity (V_d).

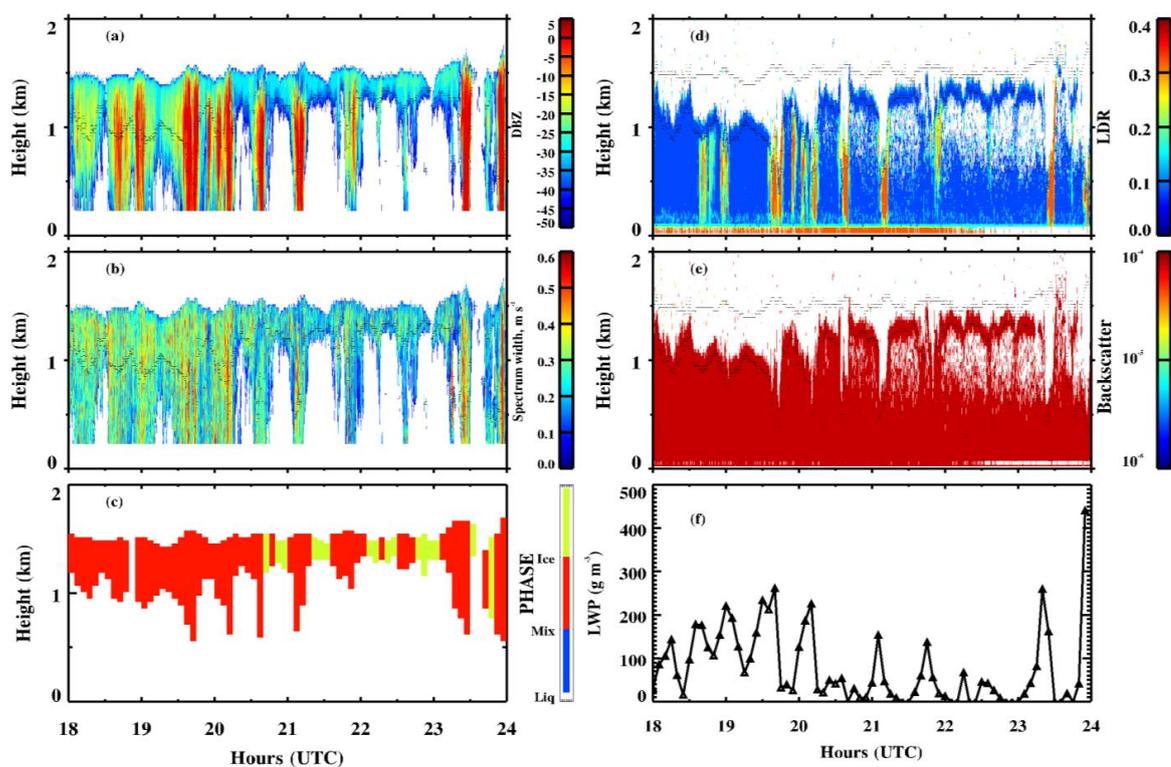


Figure 6. A case study that shows our phase classification (left column) and Micropulse Lidar linear depolarization ratios (*LDR*) and backscatter. W-Band (95 GHz) ARM Cloud Radar (WACR) reflectivity shows in (a) and spectrum width shows in (b); The phase classification shows in (c); MPL *LDR* shows in (d) and backscatter shows in (e); and *LWP* shows in (f).

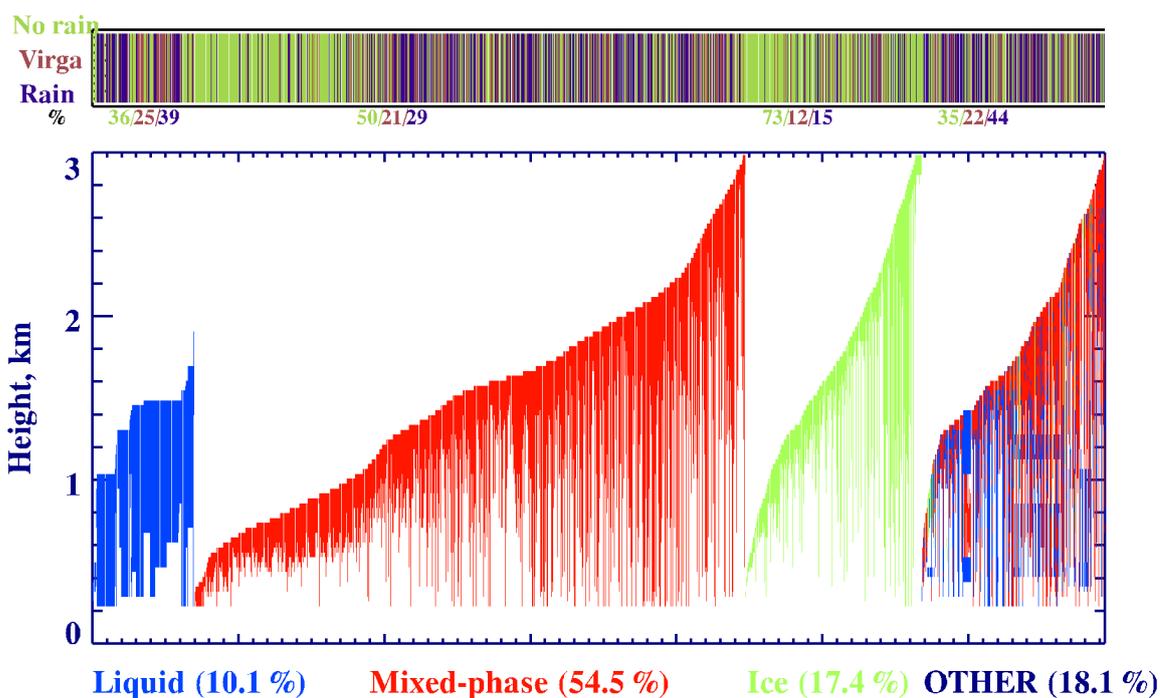


Figure 7. (Upper Panel) The drizzling status for each categorized cloud type, e.g., no rain (yellow-green), virga (brown) and rain (navy blue), the percentages shown below the x-axis represent the portion of drizzling in each type of clouds; And (Bottom Panel) the percentages and vertical distributions of classified liquid, mixed-phase, ice, and 'OTHER' clouds for each column in the single-layered low-level clouds, represented by different colors, over the entire domain during MARCUS. Each line represents one 5-min sample.

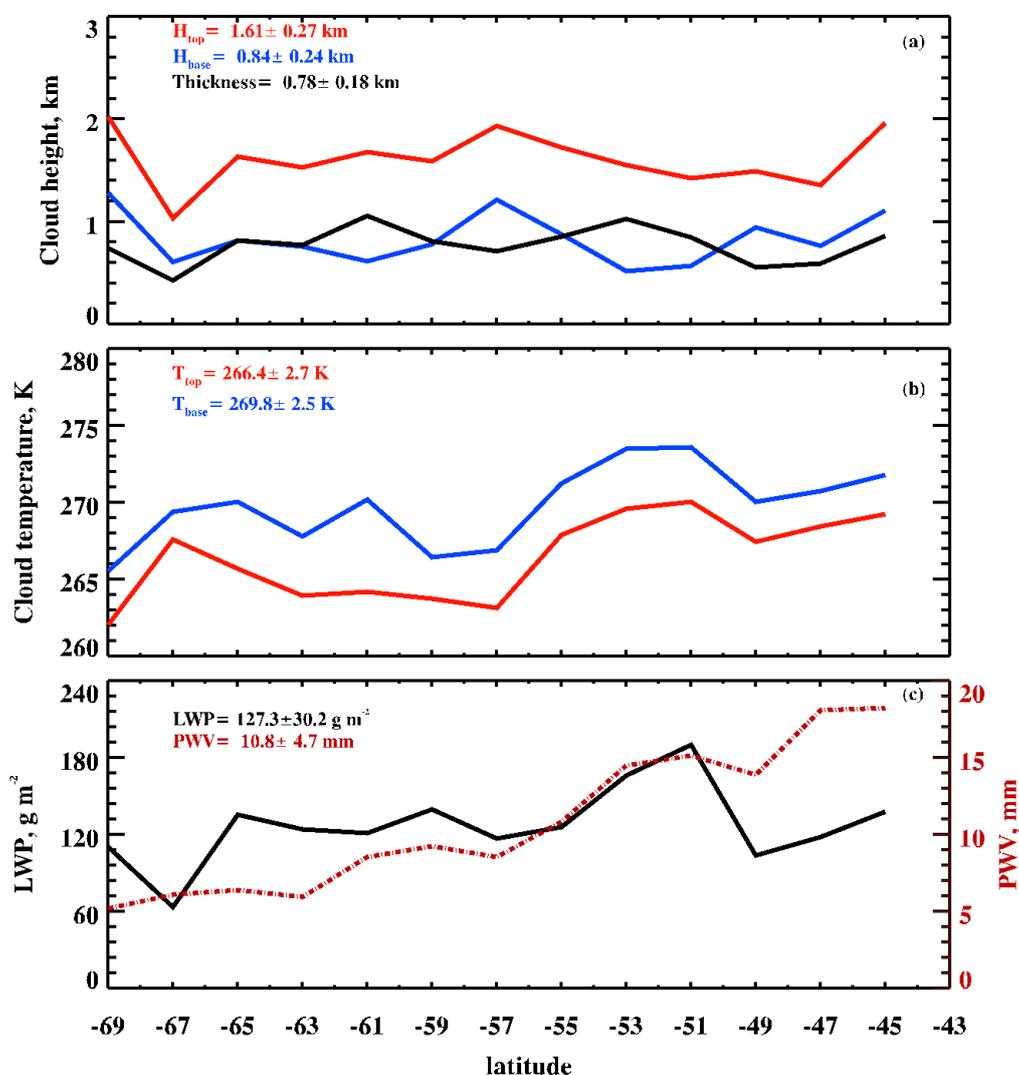


Figure 8. Meridional variations of single-layered low-level cloud properties: (a) cloud-base (H_{base}) and -top (H_{top}) heights, and cloud thickness (ΔH), (b) cloud-base (T_{base}) and -top (T_{top}) temperatures, and (c) cloud liquid water path (LWP) and precipitable water vapor (PWV) over the entire domain during MARCUS.

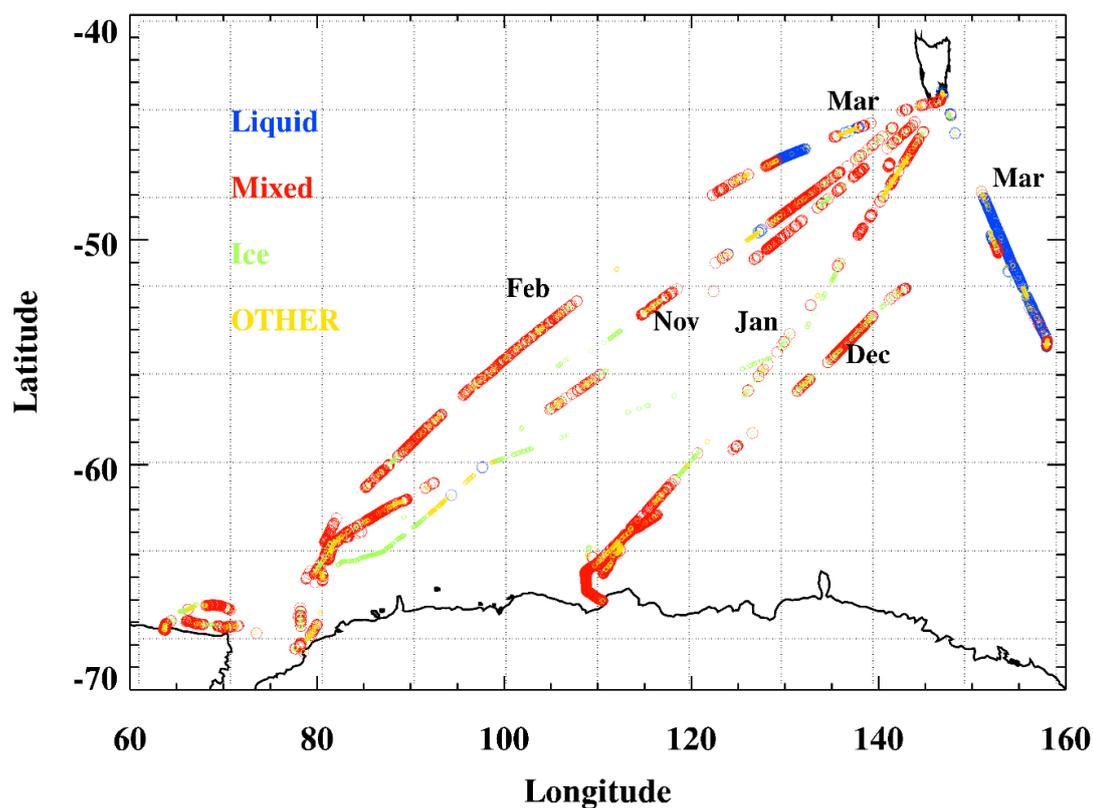


Figure 9. The latitudinal and longitudinal distributions of classified mixed-phase, liquid, and ice clouds in the single-layered low-level clouds. The liquid (blue), mixed (red), ice (light green), and OTHER (yellow) are shown along each shiptrack from October 2017 to March 2018 during MARCUS.

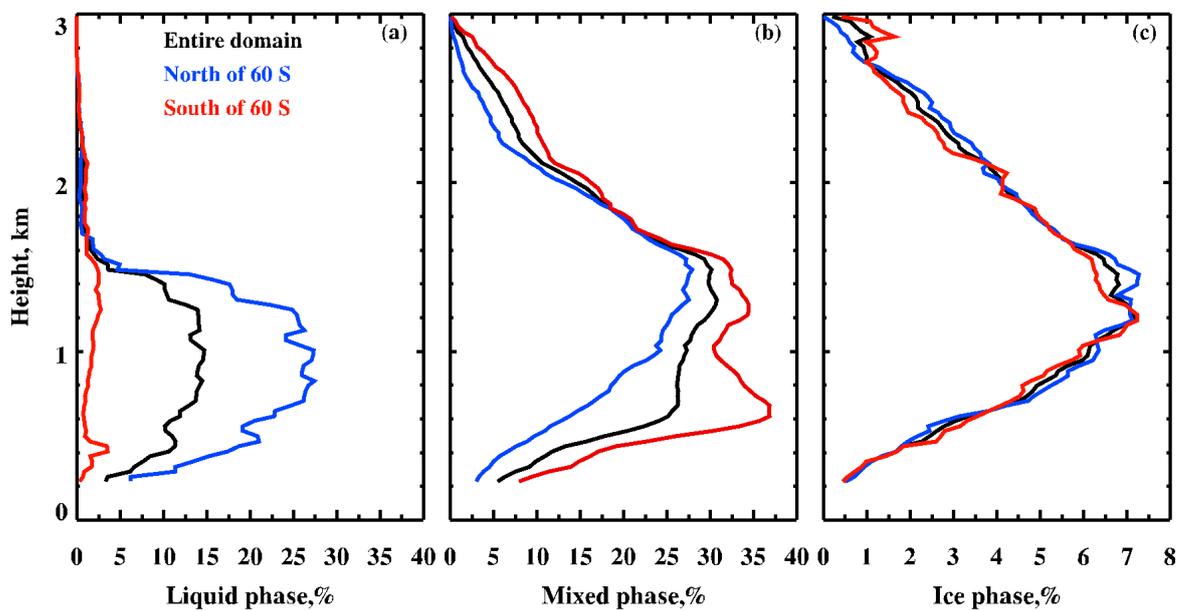


Figure 10. Occurrence frequencies of classified mixed-phase, liquid, and ice clouds over the entire domain (black), North of 60°S (blue) and South of 60°S during MARCUS.

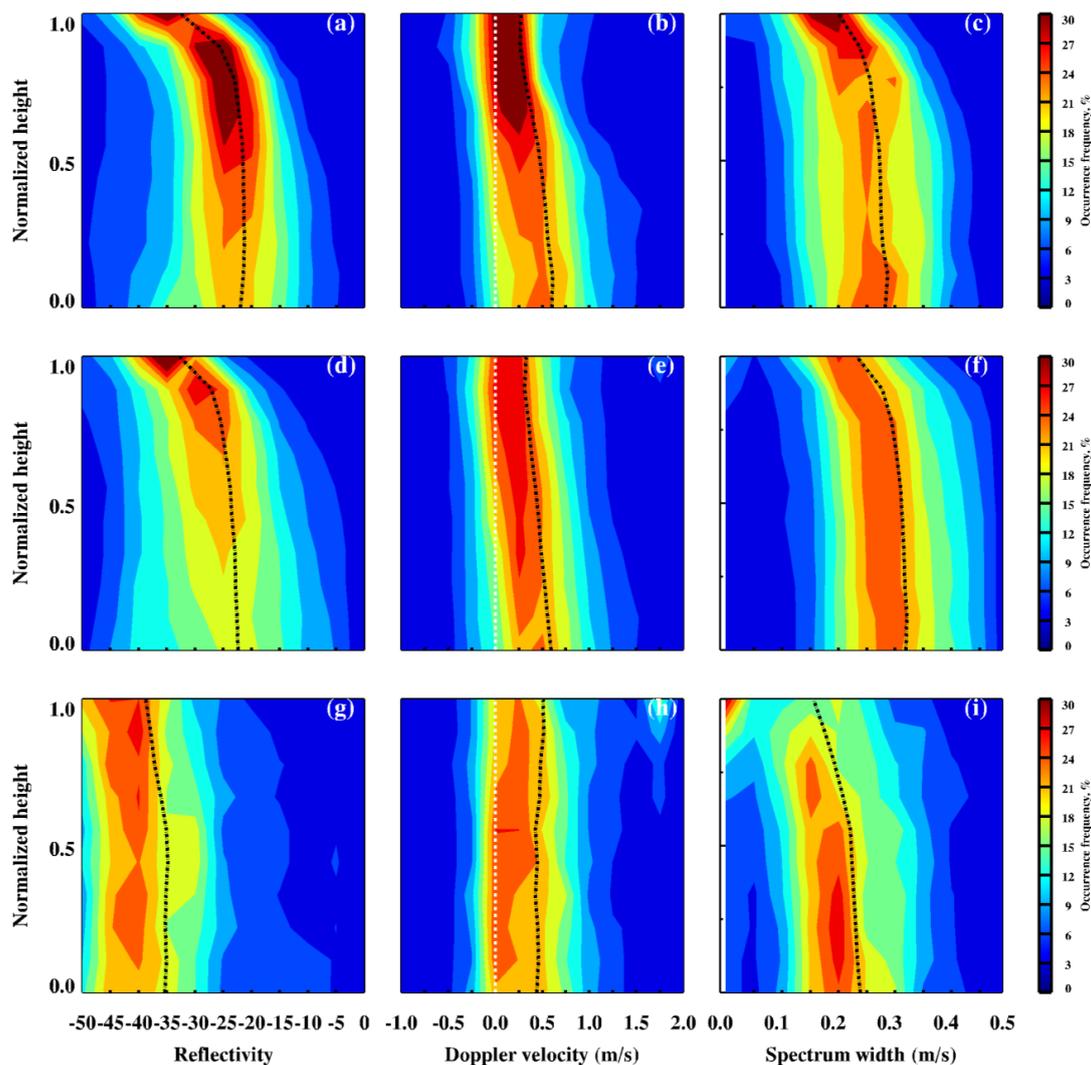


Figure 11. Normalized vertical distributions of a) radar reflectivity, b) Doppler velocity and c) spectrum width for the classified liquid (upper panel), mixed-phase (d to f, middle panel) and ice (g to i, bottom panel) clouds over the North of 60 °S during MARCUS IOP. Normalized height is defined as $\frac{H-H_{base}}{H_{top}-H_{base}}$ where cloud base is denoted as 0 and cloud top is 1. The black lines represent the median values and the white lines in Doppler velocity represent the reference of 0.0 m s⁻¹.

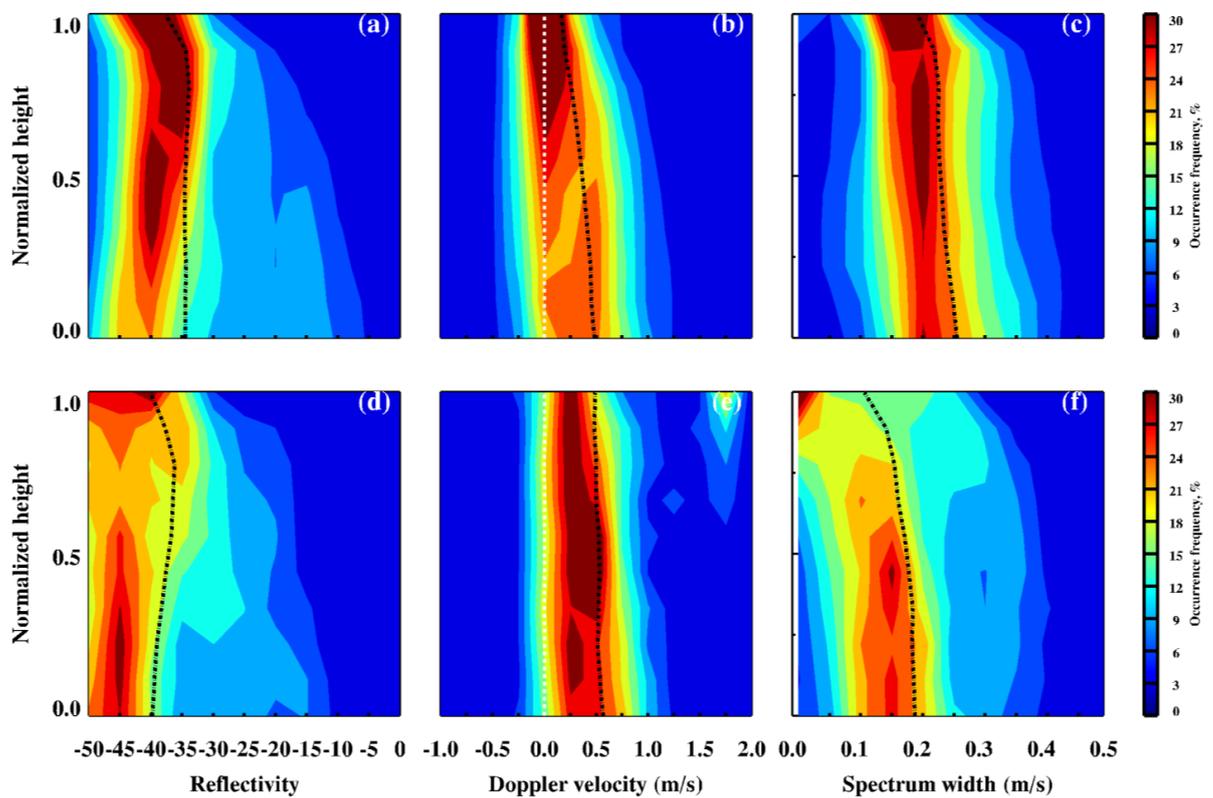


Figure 12. Same as Fig. 11 but only for mixed-phase (a to c, upper panel) and ice (d to f, bottom panel) over the south of 60°S during MARCUS.