# 1 Characterization of tandem aerosol classifiers for selecting

# particles: implication for eliminating multiple charging

# 3 effect

- 4 Yao Song<sup>1</sup>, Xiangyu Pei<sup>1</sup>, Huichao Liu<sup>1</sup>, Jiajia Zhou<sup>1</sup>, Zhibin Wang<sup>1,2,3,4\*</sup>
- 5 <sup>1</sup>College of Environmental and Resource Sciences, <u>Zhejiang Provincial Key Laboratory of Organic Pollution</u>
- 6 Process and Control, Zhejiang University, Hangzhou, 310058, China
- 7 2Hangzhou Global Scientific and Technological Innovation Center, Zhejiang University, Hangzhou, 311200,
- 8 China
- 9 <sup>3</sup>Zhejiang Provincial Key Laboratory of Organic Pollution Process and Control, Hangzhou 310058, China.
- Key Laboratory of Environment Remediation and Ecological Health, Ministry of Education, Zhejiang
   University, 310058 Hangzhou, China

12

14

15

16

17

18

19

20 21

22 23

24

25

2627

28

29

30 31

32

33

2

- 13 correspondence Correspondence to: Zhibin Wang (wangzhibin@zju.edu.cn)
  - Abstract. Accurate particle classification plays a vital role in aerosol studies. Differential mobility analyzer (DMA), centrifugal particle mass analyzer (CPMA) and aerodynamic aerosol classifier (AAC) are commonly used to select particles with a specific size or mass. However, multiple charging effects cannot be entirely avoided when either using either individual techniques or using tandem systems such as DMA-CPMA, especially when selecting soot particles with fractal structures. In this study, we demonstrate the transfer functions of the DMA-CPMA and DMA-AAC both in a static configurations systems, . We propose an equation that constrains the resolutions of DMA and CPMA to eliminate the multiple charging effect when selecting particles with a certain mass-mobility relationship using the DMA-CPMA system. The equation for the DMA-AAC system is also derived. as well as the potential multiple charging effect. Our results show that the ability to remove multiply charged particles mainly depends on the particles morphology and instruments setups resolutions of the DMA and CPMA-DMA cPMA system. Using measurements from soot experiments and literature data, a general trend in the appearance of multiple charging effect with decreasing size when selecting aspherical particles was is observed. Otherwise, our results indicated that the ability of the DMA-AAC in a static configuration to resolveeliminate particles with multiple charges is mainly related to the resolutions of classifiers. In most cases, the DMA-AAC in a static configuration can eliminate multiple charging effect regardless of the particle morphology, while particles with multiple charges can be selected when decreasing resolutions of DMA and AAC but multiply charged particles will be selected when decreasing the resolution of the DMA or AAC. We propose that the potential influence of multiple charging

Formatted: Justified

Formatted: Font: (Default) Times New Roman, (Asian) Calibri

effect should be taken into account considered when using the DMA-CPMA or DMA-AAC system in

estimating size\_ and mass\_ resolved optical properties in the field and lab experiments.

### 1 Introduction

34

35 Atmospheric aerosol particles span a wide size range from 1 nm to > 100 µm. A sSignificant size dependence 36 of aerosol physicochemical properties has been widely reported. Particle size can strongly alters the 37 hygroscopic behavior (Biskos et al., 2006), phase state (Cheng et al., 2015) and cloud-nucleating ability 38 (Dusek et al., 2006) of aerosol nanoparticles, indicating theits importance of particle size when assessing the 39 climate effect. Hence, accurate particle classification is essential to investigate the size dependence behavior 40 of aerosol particles. 41 At present, particles are generally classified by either size or mass in atmospheric aerosol studies. A 42 dDifferential mobility analyzer (DMA) is the most commonly used size classifier, which selects particles 43 based on the electrical mobility (Knutson and Whitby, 1975; Park et al., 2008; Stolzenburg and McMurry, 44 2008; Swietlicki et al., 2008; Wiedensohler et al., 2012). A Pparticle mass analyzer (PMA) includes the an 45 aerosol particle mass analyzer (APM) and the a\_centrifugal particle mass analyzer (CPMA), both of which classify particles based on their mass-to-charge ratio (Ehara et al., 1996; Olfert and Collings, 2005). However, 46 47 particles must be precharged are required to be pre charged when classified by the a DMA or PMA because DMA and PMA classify particles based on electrical mobility and mass-to-charge ratio, resulting in-that 48 49 particles with higher-order charges and identical apparent mobility or mass-to-charge ratio will bebeing 50 selected simultaneously, which are referred to as the multiple charging effect. This may introduce uncertainty 51 in the subsequence subsequent characterization. Radney et al. (2013) demonstrated that although the single-52 charged particles account for the highest number fraction (46.3%) of the DMA-classified particles (200 nm), 53 while their contributions to the total mass concentration and extinction are insignificant (10.8% and 7.96%, 54 respectively). Thus, the reported extinction of particles with a certain diameter was has been greatly 55 overestimated due to the multiple charging effect. 56 Previous studies (Shiraiwa et al., 2010; Rissler et al., 2013; Johnson et al., 2014; Johnson et al., 2021) tried to 57 utilize the combination of size and mass classifiers, like-such as DMA-APM or DMA-CPMA systems, to 58 obtain singly charged particles. Theoretically, the ability of DMA-APM to eliminate multiply charged 59 particles is governed by the particles morphology and setups of DMA-APM (Kuwata, 2015). This conclusion implies that it can hardly to achieved that all the multiply charged particles are cannot be effectively excluded 60 61 for aspherical particles, especially for soot particles. Radney and Zangmeister (2016) conducted investigated 62 the limitation of DMA-APM with three types of particles (polystyrene Latex (PSL) spheres (PSL), ammonia 63 ammonium sulfate (AS) and soot particles).; the Their results demonstrated that a DMA-APM can resolve 64 multiply charged particles for spherical particles (PSL and AS particles), but it failed for aspherical soot 65 particles. Multiply charged soot particles led to over 110% errors in retrieving the mass specific extinction 66 In contrast to DMA and PMA, an aerodynamic aerosol classifier (AAC) is a novel instrument which that 67 68 selects the aerodynamic equivalent diameter of aerosol particles based on their relaxation time. The advantage 69 of utilizing an AAC is that no charging process is needed in particle classification compared with the 70 aforementioned classifiers; hence, the multiple charging effects can be avoided (Tavakoli and Olfert, 2013).

- 71 However, the selected particles are not monodispersed in mobility diameter when an AAC is used to select
- 72 aspherical particles (Kazemimanesh et al., 2022).
- 73 Morphology information, such as effective density ( $\rho_{eff}$ ), mass-mobility exponent( $D_{fm}$ ) and dynamic shape
- factor ( $\chi$ ), can be inferred using the tandem systems of DMA-PMA system (Park et al., 2003; Zhang et al., 74
- 2008; Rissler et al., 2013; Pei et al., 2018; Zangmeister et al., 2018), DMA-AAC (Tavakoli and Olfert, 2014) 75
- 76 and AAC-CPMA systems (Johnson et al., 2018), respectively. The derived ρ<sub>eff</sub> and χ depend upon the
- 77 combination of used instruments used, while nonphysical values of  $\gamma$  (<1) and  $\rho_{\text{eff}}$  (>bulk) for aspherical
  - particles werecan be determined by the AAC-APM(Yao et al., 2020) and AAC-CPMA (Kazemimanesh et
- 79

- 80 The theoretical transfer functions of individual classifiers (DMA, CPMA and AAC) and the DMA-APM
- 81 system have been previously discussed (Knutson and Whitby, 1975; Ehara et al., 1996; Olfert and Collings,
- 82 2005; Stolzenburg and McMurry, 2008; Tavakoli and Olfert, 2013). In this study, we focus on a DMA-
- 83 CPMA and DMA-AAC in static configurations to eliminate multiply charged particles. The DMA-CPMA
- 84 and DMA-AAC systems mentioned below refer to the tandems of a DMA and CPMA or a DMA and AAC
- 85 in a static configuration, respectively. wWe calculated the transfer functions of DMA-AAC and DMA-
- 86
- CPMA systematically. Combined with soot experiments, we demonstrated that multiple charging effects may
- 87 still exist after DMA-CPMA classification when selecting aspherical particles, and evaluated the light
- 88 absorption of selected particles with different charging states using Mie theory. Furthermore, we proposed
- 89 the operating condition for DMA-CPMA and DMA-AAC to eliminate the multiply charged particles in-the 90 future studies. Our results suggest that the size- and mass-resolved optical properties may be overestimated
- 91 for small soot particles when using DMA-CPMA system, which will lower the accuracy of predictioning
- 92 accuracy of the fresh soot climate effect. In Sect. 3.1, we calculate the transfer functions of the DMA-CPMA
- 93 and DMA-AAC utilizing the literature data of soot particles from Pei et al. (2018). In Sect. 3.2, we measure
- 94 the multiple charging effect of the DMA-CPMA using laboratory-generated soot particles, and the bias of
- 95 optical measurement induced by multiply charged particles is evaluated in Sect. 3.3.

#### 2 Theory and experiment 96

#### 97 2.1 Transfer function for individual aerosol classifier

- 98
- 99 The DMA, consisting of two coaxial electrodes, classifies particles based upon electrical mobility  $Z_p$
- 100 (Knutson and Whitby, 1975), which can be calculated as follows:

$$101 Z_p = qB = \frac{neCc(a_{mp})}{3\pi\mu a_{mp}}, (1)$$

- 102 where q is the particle charge, n is the number of particle elementary charges, B is the mobility of particle, e
- 103 is the elemental charge,  $\mu$  is the viscosity of the air, and  $Cc(d_p)$  is the Cunningham slip correction factor.
- 104 When the aerosol inlet flow rate equals to the aerosol sampling outlet flow rate, the  $Z_p^*$  selected by the DMA
- 105 is defined as

106 
$$Z_p^* = \frac{Q_{Sh}}{2\pi V_{DMA} L_{DMA}} \ln(\frac{r_{2,DMA}}{r_{1,DMA}})$$
, (2)

- 107 where  $Q_{\rm sh}$  is the sheath flow rate,  $V_{\rm DMA}$  is the voltage between the two electrodes,  $L_{\rm DMA}$  is the length of the
- DMA, and  $r_{1,DMA}$  and  $r_{2,DMA}$  are the inner and outer radii of the DMA, respectively. Assuming that the
- 109 aerosol inlet and aerosol sampling flow rates are equal, the transfer function of the DMA can be expressed
- 110 as follows when particle diffusion is negligible (Knutson and Whitby, 1975; Stolzenburg and McMurry,
- 111 2008)

112 
$$\Omega(\tilde{Z}_p, \beta_{DMA}) = \frac{1}{2\beta_{DMA}} [|\tilde{Z}_p - (1 + \beta_{DMA})| + |\tilde{Z}_p - (1 - \beta_{DMA})| - 2|\tilde{Z}_p - 1|],$$
 (3)

- Where, the  $\widetilde{Zp}\widetilde{Z}_p = Z_p/Z_p^*$ ,  $\beta_{DMA} = Q_a/Q_{sh}$ , and  $Q_a$  is the sample flow rate. The limiting electrical mobilities
- 114 that DMA can select are  $(1 \pm \beta_{DMA}) \cdot Z_p^*$ . The maximum and minimum values of  $d_m$  for particles with n charges
- 115 can be derived and denote as  $d_{m n,max}$  and  $d_{m n,min}$ , respectively. The transfer function is an isosceles triangle
- with value of 1 at  $Z_p^*$  and going to 0 at  $(1 \pm \beta_{DMA}) \cdot Z_p^*$ . It translates to asymmetric in  $A_m$  since the relationship
- between  $d_{\rm m}$  and  $Z_{\rm p}$  is nonlinear.
- 118 **CPMA**
- 119 The construction of the CPMA is similar to the APM, but its inner cylinder rotates faster than the outer one
- 120 cylinder to create a stable system of forces (Olfert and Collings, 2005). In the CPMA, the equation of particles
- 121 motion is expressed as

122 
$$\frac{m}{\tau} \frac{dr}{dt} = \frac{mv_{\theta}(r)^2}{r} - \frac{qV_{CPMA}}{rln(\frac{r_2}{r_1}, c_{PMA})},$$
 (4)

123 and the trajectory equation is

$$124 \qquad \frac{dr}{dz} = \frac{dr}{dt} \left(\frac{dz}{dt}\right)^{-1} = \frac{c_r}{v_r},\tag{5}$$

- where  $\tau$  is the relaxation time, m is the mass of the particle, t is time, V is the voltage difference between the
- 126 two electrodes, and  $r_{1\_CPMA}$  and  $r_{2\_CPMA}$  are the radii of inner and outer electrodes, respectively.  $c_r$  is the
- particle migration velocity,  $v_z$  is the axial flow distribution and  $v_\theta$  is the velocity profile in the angular
- 128 direction

129 
$$v_{\theta} = \omega_{1} \frac{\dot{r}^{2} - \hat{\omega}}{\dot{r}^{2} - 1} r + \omega_{1} r_{1,CPMA}^{2} \frac{\hat{\omega} - 1}{\dot{r}^{2} - 1} \frac{1}{r} = \alpha r + \frac{\beta}{r}, \tag{6}$$

- where  $\widehat{\omega} = \omega_2/\omega_1$  is the ratio of the rotational speed of the outer electrode to the inner electrode and  $\omega_1$  and
- 131  $\omega_2$  are the rotational speeds of the inner and outer electrodes, respectively.  $\hat{r}$  is the ratio of the inner and outer
- 132 radi<u>ius</u>.
- 133 Sipkens et al. (2019) gave the presented methods to calculate the transfer function of the CPMA. They
- 134 proposed considered that the Taylor series expansion at the about the center of the gap  $(r_c = (r_2 = r_1 = r_1)/2)$
- instead of the equilibrium radius to avoid problems with the scenario thatin which the equilibrium radius
- does not exist. This method is much simpler and more robust. In this case, the particle migration velocity in
- 137 the radical direction is

$$c_r \approx C_3 + C_4(r - r_c) \,, \tag{7}$$

139 where

Formatted: Font: Italic

Formatted: Subscript

Formatted: Subscript

Formatted: Subscript

Formatted: Font: Italic

Formatted: Subscript

140 
$$C_3 = \tau \left( \alpha^2 r_c + \frac{2\alpha\beta}{r_c} + \frac{\beta^2}{r_c^3} - \frac{c_0}{mr_c} \right),$$
 (8)

141 
$$C_4 = \tau \left( \alpha^2 - \frac{2\alpha\beta}{r_c} - \frac{3\beta^2}{r_c^4} + \frac{c_0}{mr_c^2} \right),$$
 (9)

142 
$$C_0 = \frac{qV_{CPMA}}{\ln(r_{2,CPMA}/r_{1,CPMA})},$$
 (10)

143 Assuming a plug flow, the transfer function should would be

$$144 \qquad \Omega = \frac{r_b - r_a}{2\delta} \,, \tag{11}$$

where  $\delta = (r_2\_CPMA} - r_1\_CPMA)/2$  is the half width of the gap between the two electrodes, and

146 
$$r_a = \min \left\{ r_{2,CPMA}, \max \left\{ r_{1,CPMA}, G_0(r_{1,CPMA}) \right\} \right\},$$
 (12)

147 
$$r_b = \min \left\{ r_{2\_CPMA}, \max \left\{ r_{1\_CPMA}, G_0(r_{2\_CPMA}) \right\} \right\},$$
 (13)

148 
$$G_0(r_L) = r_c + \left(r_L - r_c + \frac{c_3}{c_4}\right) \exp(-C_4 L \bar{v}) - \frac{c_3}{c_4},$$
 (14)

where  $G_0(\mathbf{r})$  is the operator used to map the final radial position of the particle to its position at the inlet and

- 150  $\bar{v}$  is average flow velocity.
- 151 Reavell et al. (2011) calculated the resolution of CPMA assuming that the gap between two electrodes is
- narrow enough that the variation of force in the gap can be ignored. The limiting mass can be calculated by

153 
$$m_{n,min}^{n,max} - n \cdot m_1 = \pm \frac{Q_{CPMA}}{2\pi B_{n,min}^{n,max} L_{CPMA} r_c^2 \omega^2},$$
 (15)

- where  $\omega$  is the equivalent rotational speed calculated by  $\omega = \alpha + \frac{\beta}{r_c^2}$ ,  $\frac{m_1}{m_2}$  is the nominal mass that the CPMA
- 155 <u>can select,</u>  $m_{\pm n,min}^{\pm n,max}$  and  $B_{n\pm,min}^{n\pm,max}$  are the maximum and minimum mass and corresponding mobility of
- 156 <u>particles bearing number of elementary charges of pwith single charge</u> that the CPMA can select, respectively.
- Further details can be found in Reavell et al. (2011) and Sipkens et al. (2019).
- 158 AAC
- 159 The AAC classifies particle based on relaxation time, which is defined by

160 
$$\tau = Bm = \frac{cc(d_{ae})\rho_0 d_{ae}^2}{18\mu},$$
 (16)

- 161 where  $\mu$  is the viscosity of air.  $Cc(d_{ae})$  is the slip correction factor.  $\rho_0$  is the standard density with a value of 1
- 162 g/cm³ (Johnson et al. 2018). When the aerosol inlet flow rate equals to the aerosol sampling outlet flow rate,
- it can be expressed as (Tavakoli and Olfert, 2013)

164 
$$\Omega = \frac{1}{2\beta_{AAC}} [|\tilde{\tau} - (1 - \beta_{AAC})| + |\tilde{\tau} - (1 + \beta_{AAC})| - 2|\tilde{\tau} - 1|], \qquad (17)$$

165  $\tau^*$  is the nominated nominal relaxation time, which is classified by the AAC,

166 
$$\tau^* = \frac{2Q_{sh}}{\pi\omega^2(r_{1\_AAC} + r_{\_2AAC})^2L},$$
 (18)

- where  $\beta_{AAC} = \frac{Q_a}{Q_{sh}}$ ,  $\tilde{\tau} = \frac{\tau}{\tau^*}$ ,  $r_{1\_AAC}$  and  $r_{2\_AAC}$  are the inner and outer radii of the AAC, respectively. The
- 168 <u>limiting  $\tau$  that AAC can select are  $(1 \pm \beta_{AAC}) \cdot \tau^*$ . The maximum and minimum values of  $d_{ae}$  can be derived</u>
- and denote as  $d_{\text{ae,max}}$  and  $d_{\text{ae,min}}$ , respectively.

Formatted: Font: Italic

### 2.2 Experimental setup

170

192

193

194

195

196

197

198

199

200

201

A schematic of the experimental setup is illustrated in Fig. 1. Soot particles were generated by a miniature 171 172 inverted soot generator (Argonaut Scientific Ltd., Canada) with thea propane flow of 74.8 SCPM and thean 173 air flow rate of 12 SLPM. Although this operation setting is not in the open-tip flame regime, the flame is 174 open-tip consistent with the Fig.2d in Moallemi et al. (2019). Detailed aerosol generation methods can be 175 found in Kazemimanesh et al. (2019b) and Moallemi et al. (2019). The poly-dispersed aerosols were dried 176 to a relative humidity of <20% by a silica dryer, and then were passed through a soft X-ray neutralizer (Model 177 3088, TSI, Inc., USA). Five mobility diameters (80 nm, 100 nm, 150 nm, 200 nm and 250 nm) of soot 178 particles were selected with the DMA (Model 3081, TSI Inc., USA,  $Q_{\rm sh}/Q_{\rm a}=10$ ). For the soot characterization, 179 the monodisperse aerosol flow was switched between two parallel lines and fed into the CPMA (Cambustion 180 Ltd., UK) and AAC (Cambustion, Ltd., UK,  $Q_{sh}/Q_a = 10)$ ; respectively meanwhile, the condensation particle 181 counter (CPC, Model 3756, TSI, Inc., USA, 0.3 L min<sup>-1</sup>) was switch between CPMA and AAC. The particles 182 mass (m) and aerodynamic diameter  $(d_{ae})$  were determined by the stepping scanning mode of the CPMA and 183 AAC while the condensation particle counter (CPC, Model 3756, TSI, Inc., USA)CPC recorded their 184 corresponding <u>number</u> concentration at each setpoint, respectively. For each  $d_m$ , the m and  $d_{ae}$  distributions 185 were measured three times, respectively. Between measurement of each  $d_m$ , the CPC was used behind the 186 DMA and the number size distribution of the generated soot particles was measured by SMPS to ensure the 187 generated soot particles did notn't change during the whole experiment. The m and  $d_{ae}$  distributions were 188 measured and fitted to log-normal distribution; thus the mode m and  $d_{ae}$  for the mobility-selected particles 189 were determined. The equation of log-normal distribution used in this study is expressed as,

190 
$$N(d_p) = \frac{N_0}{\sqrt{2\pi} \ln \sigma} \exp(\frac{-(\ln(d_p) - \ln(\mu))^2}{2(\ln \sigma)^2})$$
, (19)

191 where the  $\sigma$  is the geometric standard deviation and  $\mu$  is the geometric mean.

The CPMA and AAC were calibrated with certified PSL spheres (Thermo, USA) with sizes of 70 nm, 150 nm and 303 nm before the measurement. The measured m and  $d_{ae}$  were compared to  $m_{PSL}$  and  $d_{ae}$ ,  $p_{PSL}$  which were calculated with the nominal diameter and density of PSL (1050 kg m<sub>s</sub><sup>-3</sup>). The deviations between measured m and  $m_{PSL}$  or measured  $d_{ae}$  and  $d_{ae}$ ,  $p_{PSL}$  were 2.75% and 5.14%, respectively. In order tTo quantify the multiple charging effect of particles selected by DMA-CPMA system, In order to quantify the multiple charging effect of particles selected by DMA-CPMA system, firstly the soot particles were initially selected by the DMA-CPMA at different  $d_m$  and the corresponding m. Then the  $d_{ae}$  distribution of twice classified mobility and mass selected particles was obtained by stepping the AAC rotation speed of the cylinder with simultaneous measurement of the particle concentration at the AAC outlet using a CPC (Fig. 1b).

Formatted: Font: Italic

Formatted: Subscript

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Subscript

Formatted: Font: Italic
Formatted: Font: Italic

Formatted: Superscript

#### 3 Results and discussion

#### 3.1 Transfer function of the tandem system

- 204 The DMA, PMA and AAC select particles based on the electrical diameter, mass and aerodynamic diameter,
- respectively. These properties can be connected as follows (Decarlo et al. 2004)

$$206 \qquad \frac{cc(d_{ae})\rho_0 d_{ae}^2}{6} = \frac{cc(d_m)\rho_{eff} d_m^2}{6} = m \frac{cc(d_m)}{\pi d_m}, \tag{4920}$$

- 207 The transfer function of DMA-APM has been well documented, which and can be found in Kuwata (2015).
- 208 The convolution of transfer functions of DMA-CPMA and DMA-AAC were calculated by the following
- 209 equations.

202203

$$210 \qquad \Phi_{\text{DMA-CPMA}} = \Omega_{\text{CPMA}} \Omega_{\text{DMA}} , \qquad (2021)$$

211 
$$\Phi_{\text{DMA-AAC}} = \Omega_{DMA}\Omega_{AAC} , \qquad (2122)$$

- where  $\Phi$  and  $\Omega$  are the transfer functions of each classification system expressed by subscript. In the
- 213 following discussion, we explain the transfer functions of DMA-CPMA and DMA-AAC utilizing the
- literature data of soot particles (Pei et al., 2018). The  $d_{\rm m}$  and m of the representative particles are 100 nm and
- 215 0.33 fg, respectively, and the corresponding  $d_{ae}$  is 68.3 nm according to Eq. (2019). In the calculation, the
- 216 <u>following parameter set was employed:  $d_m = 80 \text{ nm}$ ,  $Q_{DMA} = 0.3 \text{ L min}^{-1}$ ,  $\beta_{DMA} = 0.1$ , m = 0.16 fg,  $Q_{CPMA} = 0.3$ </u>
- 217  $\underline{L} \min^{-1}$ ,  $R_{\rm m} = 8$ ,  $d_{\rm ae} = 68.3$  nm,  $Q_{\rm AAC} = 0.3$  L min<sup>-1</sup>,  $\beta_{\rm AAC} = 0.1$ . The transfer functions of DMA-CPMA and
- 218 <u>DMA-AAC</u> were solved iteratively using logarithmically spaced  $d_{\rm m}$ , m and  $d_{\rm ae}$ , which included 600 points,
- 219 respectively. The ranges of  $d_{\underline{m}}$ , m and  $d_{\underline{ae}}$  used in the calculations were from  $< d_{\underline{m1,min}}$  to  $> d_{\underline{m2,max}}$ , from  $< m_{\underline{1,min}}$
- 220  $\underline{\text{to} > m_{2,\text{max}}, \text{ from } < d_{\text{ae,min}} \text{ to } > d_{\text{ae,max}}, \text{ respectively.}}$  The dimensions of individual classifiers  $\underline{\text{are}}$  summarized
- 221 in Table 1.

### 222 DMA-CPMA

- DMA-CPMA transfer function was calculated in the  $\log(d_{\rm m})$ - $\log(m)$  space, as shown in Fig. 2. In the  $\log(d_{\rm m})$ -
- $\log(m)$  space, the mass-mobility relationship is

$$225 m = \rho k_f (d_m/nm)^{D_{fm}}, (2223)$$

226 
$$\log(m) = D_{\text{fm}} \log(d_m/nm) + \log(k\rho_f)$$
, (2324)

- 227 In theory,  $D_{\text{fm}}$  equals to 3 for spherical particles and smaller than 3 for aspherical particles. In the  $\log(d_{\text{m}})$ -
- log(m) space, the relationship of m and  $d_m$  is linear, with the slope expressed as the mass-mobility exponent
- 229  $(D_{\text{fm}})$  and the intercept representing the pre-exponential factor  $(k_{\text{pf}})$ . Under this specific operation condition,
- 230 no overlap was observed between the spherical particles population (black line) and the classification region
- 231 for doubly charged particles, implying that only the singly charged particles were selected. However, fEor
- aspherical particles with  $D_{\text{fm}} < 3$ , such as soot particles with aggregate structures, the particles population
- 233 may overlap the doubly charged region when the slope  $(D_{\rm fm})$  is small enough; however, the combination of
- DMA and CPMA is generally used to avoid the multiple charge effect in soot studies. The reported  $D_{\rm fm}$
- values are typically in the range of 2.2-2.4 for fresh soot particles (Rissler et al., 2013) and diesel soot particles
- (Park et al., 2003). In the exemplary case (Pei et al., 2018), the derived  $D_{\text{fm}}$  of premixed flame-generated

237 soot particles was 2.28, resulting in the particles population always goes-going through the transfer area of 238

doubly charged particles. This implies that the performance of the DMA-CPMA to eliminate multiplye-

239 charged particles to a certain extent depends on the particle morphology.

240 The DMA-CPMA system can eliminate the multiply charged particles only if the  $D_{\rm fm}$  of particles is larger

241 than the slope of a line connecting  $(d_m, m) = (d_{m2,min}, m_{2,max})(d_{m1}, m_1)$  (as PP<sub>0</sub> shown in Fig. 2). Since the

242 CPMA is used downstream of the DMA, the value of the mass limit of particles with a certain mobility of B

243 can be expressed as follows according to Eq. (15) assuming that all the classified particles have the same

244 mobility.

245 
$$m_{n,min}^{n,max} = n \cdot m_1 \pm \frac{Q_{\text{CPMA}}}{2\pi B B_{n,min}^{n,max}} l_{\text{CPMA}} r_c^2 \omega^2$$
, (2425)

where  $m_{n,min}^{n,max}$  and  $B_{n,min}^{n,max}$  are is the maximum and or minimum particle mass and mechanical mobility of 246

247 particles with the mobility of B which that would be selected by CPMA and DMA, respectively. The subscript

n is charge quantity. Accordingly, the ideal condition to completely eliminate the multiply charged particles

249

248

254

257

258

259

268

269

$$250 \qquad D_{fm} > PP_0 = \frac{\log(m_{x,max}/m_x)}{\log(d_{m_{x,min}}/d_{mx})} = \frac{\log(2 + \frac{3Q_{CPMA} + d_{mx}}{(1 - R_{DMA}) C_{CPMA} + C_{DMA}})}{\log(\frac{2}{(1 - R_{DMA})} C_{CPMA} + C_{DMA})},$$

251 
$$D_{fm} > PP_0 = \frac{\log(m_{2,max}/m_1)}{\log(d_{m_{2,min}}/d_{m_1})} = \frac{\log(2 + \frac{1}{R_m(1 + \beta_{DMA})})}{\log(\frac{2}{(1 + \beta_{DMA})} Cc(d_{m_2}))}$$
 (2526)

252 The ability of the DMA-CPMA to eliminate multiply charged particles depends on the selected  $d_m$ , m and

253 resolutions of both the DMA and CPMA. The Eq. (2526) gives instructions in actual operation to eliminate

multiple-y charged particles. When selecting particles of certain  $d_{\rm m}$  and m, the smaller by decreasing  $Q_{\rm CPMA}$ ,

255 as well as larger or increasing  $\omega$  and  $\beta_{DMA}$  are necessary to reduce the potential of multiply charged particles i.e.

256 by increasing the resolution of the measurement, the potential of multiply charged particles is reduced. Thus,

the key to evaluating whether there is multiple charging effect lies on in the particle morphology

 $(D_{\text{fm}})$  and the slope of PP<sub>0</sub> derived from the actual condition. Compared with the DMA-CPMA, the selection

of the DMA-APM is more susceptible to multiple charging effect. According to the theoretical calculation

260 described in Kuwata (2015), the slope of PP<sub>0</sub> of 3.55 was derived when the DMA-APM selects the same

261 example soot particles from Pei et al (2018) ( $d_m$  of 100 nm and m of 0.33 fg) with a  $D_{fm}$  of 2.28, indicating

262 that the DMA-APM is more subjected to the multiple charging effect.

263 Besides-In addition to the instruments setups, the particles morphology is also crucial for the DMA-CPMA.

264 Here we simulate the critical slope of PP<sub>0</sub> when selecting different  $d_m$  and m under the common selecting

265 conditions ( $\beta_{DMA} = 0.1$ ,  $Q_{CPMA} = 0.3$  L min<sup>-1</sup>,  $R_m = 8$ ), which is shown represented as contour lines in Fig. 3

266 (A black and white version is shown as Fig. S4). Under this these selecting conditions, the DMA-CPMA can

267 select monodispersed particles when the  $D_{\text{fm}}$  of the particles is larger than the critical slope of PP<sub>0</sub> which is

represented as background color. When selecting small aspherical particles or particles with extremely low

density, the slope of PPo is relatively higher, and the DMA-CPMA classification is sensitive to multiple

Formatted: Font: Italic

270 charging effect. As shown in Fig. 3, the  $d_{\rm m}$ , m and the corresponding  $D_{\rm fm}$  were taken from the literature (Park

271 et al., 2003; Rissler et al., 2013; Tavakoli et al., 2014; Ait Ali Yahia et al., 2017; Dastanpour et al., 2017;

272 Forestieri et al., 2018; Pei et al., 2018; Kazemimanesh et al., 2019a). Generally, for soot particles with Dim

273 of 2.2-2.4, multiple charging effect can be avoided for the DMA-CPMA whente selecting soot particles

with mobility diameter larger than 200 nm-, while it fails to eliminate multiply charged particles when

Formatted: Font: Italic

Formatted: Subscript

275 selecting small soot particles. These potential uncertainties will beare discussed in details with flame-flame-

276 generated soot particles in Sect. 3.2.

#### 277 DMA-AAC

274

278 The advantage of the AAC versus the CPMA is that there is no need for a neutralizer to charge aerosol

279 particles. Measuring solely with an AAC will avoid multiple charging. However, AAC cannot constrain the

280 properties of aspherical particles as monodisperse as DMA or CPMA classification (Kazemimanesh et al.,

281 2022). Multiple charging becomes a problem when the tandem measurement is made with a DMA or

282

<u>PMA</u>Therefore, the multiple charge effect could be avoided theoretically. The transfer function of the DMA-

283 AAC selecting the same representing particles was calculated and is shown in  $\log(d_{ae}) - \log(d_m)$  (Fig. 4a).

284 Moreover, according to Eqn. 19-20 and Eqn. 2223, aspherical particles can be expressed as follows,

$$285 \qquad log d_{ae} = \frac{1}{2} \left( D_{fm} - 1 \right) log d_m + \frac{1}{2} log \left( \frac{6}{\pi} \frac{cc(d_m) k_{f^*}}{cc(d_{ae}) \rho_0} \cdot 10^{9D_{fm} - 18} \right), \tag{2627}$$

286 which indicates that the relationship between  $d_{ae}$  and  $d_{m}$  is non-linear since the  $Cc(d_{m})$  and  $Cc(d_{ae})$  varyies

287 with  $d_{\rm m}$  and  $d_{\rm ae}$ , respectively. Particles morphology can be derived from the relationship between  $d_{\rm m}$  and  $d_{\rm ae}$ 

288 measured by a\_DMA and AAC, respectively. In order tTo simulate the transfer function of the DMA-AAC,

289 selecting the same particles as that those used in the calculations of the DMA-CPMA were selected. The

290 corresponding  $d_{ae}$  was numerically solved using the known mass-size-mobity relationship. Unlike the DMA-

291 CPMA system, the transfer functions of singly charged and doubly charged particles is are in parallel for the

292 DMA-AAC, suggesting that the particles population is less likely to overlap with the region of multiply

293 charged particles. Using the example setups of DMA-AAC, truly monodispersed particles are selected for

294 spherical particles and typical soot particles.

295 Similar to the DMA-CPMA system, to-eliminatinge multiply charged particles requires that the dae, max of the

296 AAC at  $d_{m2,min}$  must be smaller than the  $d_{ae}$  of particles of interest, which can be derived from  $d_{m2,min}$  and  $D_{fin}$ 

297

303

298  $d_{ae}(d_{m2,min},D_{fm}) > d_{ae,max}(d_{m2,min}),$ 

299 
$$\Rightarrow D_{fm} > \frac{\log(2 \cdot \frac{1 + \beta_{AAC}}{1 + \beta_{DMA}})}{\log[\frac{2}{1 + \beta_{DMA}} \frac{Cc(d_{m_2, min})}{Cc(d_{m_1})}]},$$
 (2728)

300 This equation describes the minimum value of  $D_{\rm fm}$  to eliminate the multiple charging effect. It is clearly

301 shown that the mobility resolution of the DMA and the relaxation time resolution of the AAC determine the

302 limiting condition, and the resolution of the AAC is more important compared with the resolution of the

DMA. The limiting condition is also related to the selected d<sub>m</sub> of the DMA but independent of the selected

304  $d_{ae}$  of the AAC (Fig. S1). Setting the same resolutions of for the DMA and AAC, particle selection is more susceptible to multiple charging effect when selecting small sizes. In Fig. 4a, the values of  $\beta_{\rm DMA}$  and  $\beta_{\rm AAC}$  are 0.1, resulting in thea minimum  $D_{\rm fm}$  of 1.41, which is the case for most atmospheric aerosol particles. Hence, the selected particles of the DMA-AAC are truly monodisperse regardless of the particles morphology. However, in actual operations, a larger sample flow rate is required to satisfy the apparatus downstream, while the maximum sheath flow rate of the classifier is restricted by the instrument design (e.g., 30 L min<sup>-1</sup> for the DMA and 15 L min<sup>-1</sup> for the AAC). Besides In addition, the maximum size ranges are also restricted by the sheath flow, so in some cases, a lower sheath flow rate is required to select larger sizes particles. When increasing  $\beta_{\rm AAC}$  to 0.3 and remaining leaving  $\beta_{\rm DMA}$  unchanged, the transfer function becomes broader (Fig. 4b). The minimum  $D_{\rm fm}$  is 2.44, which indicates that the multiple charging effect exists for typical soot particle with  $D_{\rm fm}$  of 2.2-2.4. The line representing soot particles overlaps with the region of doubly charged particles. Thus, reducing the resolutions of the DMA or AAC is not suggested in actual operations.

## 3.2 Evaluation of the multiple charging effect

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333334

335

336

337338

339

340

To quantify the possible uncertainties biases of the multiple charging effect in DMA-CPMA system, we conducted the a soot experiment, as demonstrated in Fig. 1. For each mobility-selected particles, the corresponding  $d_{ac}$  and m were determined using sean mode of of the AAC and CPMA scan modes, from which the effective densities were derived, respectively. Representative plots for the measured spectral density of mass and aerodynamic diameter of particles with  $d_m$  of 150 nm and 250 nm are shown in Fig. S2. The results are summarized in table Table 2. The fitted values of  $D_{\text{fm}}$  and  $k_{\ell}$  were 2.28 and 7.49×10<sup>-6</sup>, respectively. The fitted value of  $D_{\text{fim}}$  was 2.28, indicating a fractal structure, which is the same as the in previous studies (Pei et al., 2018). The effective densities of generated soot particles vary from >-500 kg m $^{-3}$  at  $d_m = 80$  nm to  $<300 \text{ kg m}^{-3}$  at  $d_{\rm m}$  of 250 nm for the two methods. In general, the deviation monotonically decreases along with increasing particle size. The deviation is 7.65% for particles of 80 nm, whereas it decreased to <1% of for particles lager than 200 nm. The results reveal a strict agreement between the two methods for retrieving the particle effective density. According to Fig. 3, the critical slopes of PP<sub>0</sub> for soot particles with d<sub>m</sub> of 80 nm, 100 nm, 150 nm, 200 nm and 250 nm are 2.46, 2.4041, 2.29, 2.17 and 2.0708, respectively. The measured  $D_{\rm fm}$  of 2.28 is smaller than the calculated PP<sub>0</sub> for particles with  $d_{\rm m}$  smaller than  $\frac{150 - 200}{100}$  nm, which suggests that the contributions from the multiply charged particles can't not be eliminated suggested that multiply charged particles When selecting particles with  $d_{\rm m}$  of 80 nm and m of 0.16 fg, the corresponding transfer function is shown in Fig. 5a. The particle population overlaps the transfer function region of doubly charged particles, suggesting the potential interferences of doubly charged particles in DMA-CPMA selection. Since the classification of the AAC is insusceptible to particle charging states different from the DMA and CPMA, the multiply charged aerodynamic size distributions of mobility and mass selected particles can be resolved were characterized in aerodynamic size distribution. Fig. 5b shows the particles number aerodynamic size distribution (PNSDae)

induced the peak at  $d_{ge}$  <40 nm. These residual particles were measured even if the sample flow is filtered. The mean  $d_{ae}$  were 53.89, 58.260.6 and 69.170.9 nm, and the corresponding  $d_{ae}$  were calculated as 51.1-5 nm, 61.22.0nm and 69.470.7 nm using Eq. (1) and Eq. (16), respectively. The experimental results are consistent with the theoretical results with deviations within 5.3%.

On theIn contrast contrary, when selecting particles with  $d_{m}$  of 200 nm and m of 1.28 fg, the transfer function is shown in Fig. 6a. The slope of PPo slope of 2.07-17 is smaller than  $D_{fm}$  of 2.28, the generated particles population does not overlap with the block of doubly charged particles  $\frac{1}{2}$  thus, the DMA-CPMA classified particles were truly mono-dispersed. PNSDae measured by AAC is unimodal, implying that the classified particles were singly charged (Fig. 6b).

The results of other experiments are shown in Fig. S2S3. Although the critical slope of PPO when selecting 150 nm particles is close to  $D_{fm}$  and the transfer function of DMA-CPMA also showed that negligible multiply charged particles would be selected (Fig. S3d), doubly charged particles were measured in PNSDae (Fig. S3e). Although the critical slope of PO when selecting 150 nm particles would be selected (Fig. S3d), doubly charged particles were resolved by AAC

(Fig. S2e). These doubly charged particles were selected, probably owing to particles diffusion. The non-

diffusion models were used to calculate the transfer function, but actually the transfer function can be broader

because of diffusion. In summary, for a type of particles (with the same mass-mobility relationship), the

possibility of multiple charging increases for small particles when selected by DMA-CPMA system, which

to singly, doubly and triply charged particles were identified. Some small particles remaining in the AAC

3.3 Atmospheric implication

is consistent with the theoretical calculation in Sect. 3.1.

The DMA-APM and DMA-CPMA systems are usually adopted to eliminate multiply charged particles in soot aerosol studies. As previously discussed, aAlthough they might fail to select monodispersed particles, downstream measurements by instruments such as single\_particle soot photometer (SP2), will not be interfered with, which characterizes the distinct information of a single particle. Nevertheless, for techniques measuring the properties of an entire aerosol population, e.g., scattering coefficient by a nephelometer or absorption coefficient by a photoacoustic spectrometer, multiply charged particles can induce significant bias. A Pervious pervious study (Radney and Zangmeister, 2016) pointed outnoted that the DMA-APM failed to resolve multiply charged particles for soot particles when selecting 150 nm flame-generated particles, which caused a 110% error in extinction measurement. In order tTo investigate the multiple charging effect for DMA-CPMA classification, the optical absorption coefficient of particles with different charging states after DMA-CPMA classification was calculated from PNSDae. Mie theory was used to calculate the theoretical absorption coefficient at the a wavelength of 550 nm. Mie theory is probably not the "best" method to use here since soot particles are aspherical agglomerates. Realistically, however, the Mie comparison is only being used to prove a point about the impact of multiple charging. Therefore, in this instance, any errors in the calculated optical properties are somewhat inconsequential. The refractive index used in the Mie code

Formatted: Font: Italic

Formatted: Subscript

378 converted to volume equivalent diameter size distributions (PNSD<sub>ve</sub>) which were was used in Mie theory to 379 determine the absorption coefficient for particles with single, double and triple charging, respectively. The 380 method to calculate PNSD<sub>ve</sub> is described in section Sect. S1-of the Supplementary Material. Subsequently, 381 the absorption coefficient,  $\alpha_{abs}$ , was derived using the Mie theory and the PNSD<sub>ve</sub> of particles with different 382 charging states. Subsequently, absorption coefficient, and desired using the absorption coefficient and 383 total number concentration of particles with different charging states. For soot particles with diameter <-200 384 nm, the optical absorption contributions of particles with different charging state and the mass absorption 385 cross-section (MAC) overestimation are summarized in Table 3. For soot particles with diameter of 80 nm, 386 the contributions of particles with different charging states are shown in Fig. 5c. Doubly charged particles 387 only account for 29.66.7±3.0% of the total number concentration but provide the a largest fractional 388 contribution <u>toin</u> the total absorption ( $\underline{45.7 \pm 4.253.1\%}$ ). Also Additionally, small fraction ( $\underline{1.1 \pm 0.40.7\%}$ ) of 389 triply charged particles accounted for  $3.7\pm1.51.9\%$  of the absorption. As a result, the mass absorption cross-390 section (MAC) was overestimated by 43.0±2.754.8% and the directive radiative force (DRF) was 391 overestimated by 43.0±2.754.8%. DRF was calculated using previous global climate models (Bond et al., 392 2016). As fFor particles selected by the DMA-CPMA at  $d_{en}$  of 200 nm and m of 1.28 fg, the selected particles 393 were truly dispersed, and the measured optical properties were valid (Fig. 6c). 394 A Llarge Huge amount of 70 nm -90 nm soot particles was emitted from diesel engine (Wierzbicka et al., 395 2014), and neglecting of the multiple charging effect in the measurement of mass-specific MAC on this size 396 range will result in significant bias in estimation of radiative forcing of automobile-emitted soot particles, 397 which may lead to huge large error in climate model. 398 For soot particles with diameter < 200 nm, the optical absorption contributions of particles with different 399 charging state and the MAC overestimation are summarized in Table 3. According to Table 3, tThe number 400 fraction of doubly charged particles declines with the size of nominated particles, i.e. 26.7±3.053.1% and 401  $17.6\pm0.534.8\%$  for 80 and 100 nm particle, respectively, but only  $4.2\pm1.19.2\%$  for 150 nm particles. 402 Accordingly, the MAC was largely overestimated for 80 and 100 nm particles (43.0±2.754.8% and 403  $27.9\pm0.827.1\%$ , respectively) but moderately overestimated for 150 nm particles ( $9.3\pm2.60.69\%$ ). To

was 1.95+0.79i (Bond and Bergstrom, 2006). The PNSDae for different charging state particles were was

# 4 Conclusion

404

405

406

407

408

409

410

411

412

377

In this study, we demonstrate the transfer functions of DMA-CPMA and DMA-AAC and discuss their limitations to eliminate multiply charged particles. For aspherical particles, there is no guarantee that the multiple\_-charging effect can be avoided in DMA-CPMA or DMA-AAC systems. Usually, DMA-AAC can select truly monodisperse particles, but the method can suffer from multiple charging when the above suffered

summarize, our results indicated that the combination of tandem classifiers is not sufficient to completely

eliminate multiply charged particles when selecting small size flame-generated soot particle, which

introduced noticeablesevere bias for absorption measurement and leaded led to overestimation of the MAC<sub>7</sub>.

as As a result, the DRF of soot particles was also overestimated.

Formatted: Subscript

Formatted: Font: Italic

Formatted: Subscript

of particles with multiple charges when decreasing the resolutions of the DMA and AAC. The ability of the DMA-CPMA to eliminate multiple charging effect mainly depends on the particles morphology and the instrument resolutions. Under the same setups of DMA-CPMA, this tandem system is more sensitive to multiple charging effect with decreasing  $D_{\rm fm}$  and decreasing nominated nominal size of particles. DMA-CPMA failed to eliminate multiply charged particles when selecting soot particles with diameter < 150 nm. Although doubly charged particles accounted for a small fraction of the number concentration, they contributed most significantly to light absorption, which indicated that multiply charged particles can induce an obvious contribution on to light absorption and lead to an overestimation of DRF for flame-generated soot particles.

421 422

432

433

413

414

415

416

417

418

419

420

423 Code/Data availability. Code/Data is available upon request.

424 Author contributions. ZW determined the main goal of this study. YS and XP designed the methods. YS

425 carried them out and prepared the paper with contributions from all coauthors. YS, HL and JZ analyzed the

426 optical data.

427 *Competing interests.* The authors declare that they have no conflict of interest.

428 Acknowledgements. The study was supported by the National Natural Science Foundation of China (grant

429 No.-91844301 and 41805100) We specially acknowledge useful comments and suggestions on MATLAB

430 script of CPMA transfer function from Timothy A. Sipkens. The study was supported by the National Natural

431 Science Foundation of China (grant No. 91844301 and 41805100).

# Appendix A

## Table A1. Symbol used in this study

μ	Air viscosity
β	The ratio of flow rates of aerosol flow and sheath flow, $Q_a/Q_{\rm sh}$
τ	Relaxation time
$\omega_1$	Rotational speed of the inner electrode
$\omega_2$	Rotational speed of the outer electrode
$\widehat{\omega}$	$\omega_1/\omega_2$
δ	Half width of the gap between the two electrodes
Ω	Transfer function
$ ho_0$	Standard density, which equals to 1kg/m <sup>3</sup>
τ	Relaxation time
$ au^*$	$\tau$ at the maximum of the transfer function
$ ilde{ au}$	Dimensionless particle relaxation time, $\tilde{\tau} = \tau/\tau^*$
$ ho_{ m eff}$	Effective density
<i>₽<u>k</u></i> f	Mass-mobility pre-exponential factor

ocanso car	resorption electricisms resorption electron calculated with the theory
€CAPS-ALB	Absorption cross-section measured by CAPS-ALB
B	Mechanical mobility
$C_{\rm c}(d_{ m p})$	Cunningham slip correction factor
$c_{\rm r}$	Particle migration velocity
$D_{ m fm}$	Mass-mobility exponent
$d_{\mathrm{ae}}$	Aerodynamic equivalent diameter
$d_m$	Mobility equivalent diameter
$d_{ m ve}$	Volume-equivalence size
e	Elementary charge
L	Length of DMA, CPMA or AAC
m	Particle mass
n	Number of elementary charges on the particle
PNSD	Particle number size distribution
PNSDae	Particle number aerodynamic size distribution
$PNSD_{ve}$	Particle number volume-equivalence size distribution
$Q_{\mathrm{a}}$	Sample flow rate
$Q_{ m sh}$	Sheath flow rate
q	Electrical charge on the particle
$R_{ m m}$	Mass resolution of CPMA
$r_{\rm a}$	Lower initial radial position that passes through the classifier
$r_{ m b}$	Upper initial radial position that passes through the classifier
$r_1$	Inner radium
$r_2$	Outer radium
r̂	$r_1/r_2$
t	Time
V	Voltage between the two electrodes of DMA or CPMA
$\bar{v}$	Average flow velocity
$v_{z}$	Axial flow distribution
$\mathcal{V}_{\theta}$	Velocity profile in the angular direction
$Z_p^*$	$Z_p$ at the maximum transfer function of DMA
$Z_{\mathrm{p}}$	Electrical mobility
$ ilde{Z}_p$	$Z_p/{Z_p}^*$

Absorption coefficient Absorption cross section calculated with Mie theory

 $\underline{\alpha}_{\mathrm{abs}} \sigma_{\mathrm{cal}}$ 

#### References

- 435 Ait Ali Yahia, L., Gehin, E., and Sagot, B.: Application of the The Rmophoretic Annular Precipitator (TRAP)
- 436 for the study of soot aggregates morphological influence on their thermophoretic behaviour, J. Aerosol Sci.,
- 437 113, 40-51, https://doi.org/10.1016/j.jaerosci.2017.07.018, 2017.
- 438 Biskos, G., Malinowski, A., Russell, L. M., Buseck, P. R., and Martin, S. T.: Nanosize Effect on the
- 439 Deliquescence and the Efflorescence of Sodium Chloride Particles, Aerosol Sci. Technol., 40, 97-106,
- 440 https://doi.org/10.1080/02786820500484396, 2006.
- 441 Bond, T. C., and Bergstrom, R. W.: Light Absorption by Carbonaceous Particles: An Investigative Review,
- 442 Aerosol Sci. Technol, 40, 27-67, https://doi.org/10.1080/02786820500421521, 2006.
- 443 Bond, T. C., Doherty, S. J., Fahey, D. W., Forster, P. M., Berntsen, T., DeAngelo, B. J., Flanner, M. G., Ghan,
- 444 S., Kärcher, B., Koch, D., Kinne, S., Kondo, Y., Quinn, P. K., Sarofim, M. C., Schultz, M. G., Schulz, M.,
- 445 Venkataraman, C., Zhang, H., Zhang, S., Bellouin, N., Guttikunda, S. K., Hopke, P. K., Jacobson, M. Z.,
- 446 Kaiser, J. W., Klimont, Z., Lohmann, U., Schwarz, J. P., Shindell, D., Storelvmo, T., Warren, S. G., and
- 447 Zender, C. S.: Bounding the role of black carbon in the climate system: A scientific assessment, J. Geophys.
- 448 Res.: Atmos.: Atmospheres, 118, 5380-5552, https://doi.org/10.1002/jgrd.50171, 2013.
- 449 Cheng, Y., Su, H., Koop, T., Mikhailov, E., and Pöschl, U.: Size dependence of phase transitions in aerosol
- anoparticles, Nat. Commun., 6, https://doi.org/10.1038/ncomms6923, 2015.
- 451 Dastanpour, R., Momenimovahed, A., Thomson, K., Olfert, J., and Rogak, S.: Variation of the optical
- 452 properties of soot as a function of particle mass, Carbon, 124, 201-211,
- 453 https://doi.org/10.1016/j.carbon.2017.07.005, 2017.
- 454 Dusek, U., Frank, G. P., Hildebrandt, L., Curtius, J., Schneider, J., Walter, S., Chand, D., Drewnick, F., Hings,
- 455 S., Jung, D., Borrmann, S., and Andreae, M. O.: Size Matters More Than Chemistry for Cloud-Nucleating
- 456 Ability of Aerosol Particles, Science, 312, 1375-1378, https://doi.org/10.1126/science.1125261, 2006.
- 457 Ehara, K., Hagwood, C., and Coakley, K. J.: Novel method to classify aerosol particles according to their
- 458 mass-to-charge ratio—Aerosol particle mass analyser, J. Aerosol Sci, 27, 217-234,
- 459 https://doi.org/10.1016/0021-8502(95)00562-5, 1996.
- 460 Johnson, T. J., Nishida, R., Irwin, M., Symonds, J. P.R., Olfert, J. S., Boies, A.: Agreement Between Different
- 461 Aerosol Classifiers Using Spherical Particles, https://doi.org/10.13140/RG.2.2.30999.27043, 2018.
- Johnson, T. J.; Nishida, R. T.; Zhang, X.; Symonds, J. P. R.; Olfert, J. S.; Boies, A. M., Generating an aerosol
- of homogeneous, non-spherical particles and measuring their bipolar charge distribution. J. Aerosol Sci, 153.
- 464 https://doi.org/10.1016/j.jaerosci.2020.105705, 2021.
- 465 Johnson, T. J.; Olfert, J. S.; Cabot, R.; Treacy, C.; Yurteri, C. U.; Dickens, C.; McAughey, J.; Symonds, J. P.
- 466 R., Steady-state measurement of the effective particle density of cigarette smoke. J. Aerosol Sci, 75, 9-16,
- 467 https://doi.org/10.1016/j.jaerosci.2014.04.006, 2014.
- 468 Kazemimanesh, M., Dastanpour, R., Baldelli, A., Moallemi, A., Thomson, K. A., Jefferson, M. A., Johnson,
- 469 M. R., Rogak, S. N., and Olfert, J. S.: Size, effective density, morphology, and nano-structure of soot particles

- 470 generated from buoyant turbulent diffusion flames, J. Aerosol Sci., 132, 22-31,
- 471 https://doi.org/10.1016/j.jaerosci.2019.03.005, 2019a.
- 472 Kazemimanesh, M., Moallemi, A., Thomson, K., Smallwood, G., Lobo, P. and Olfert, J.S.: A novel miniature
- 473 inverted-flame burner for the generation of soot nanoparticles. Aerosol Sci. and Technol., 53(2), 184-195,
- 474 https://doi.org/10.1080/02786826.2018.1556774, 2019b.
- 475 Kazemimanesh, M., Rahman, M.M., Duca, D., Johnson, T.J., Addad, A., Giannopoulos, G., Focsa, C. and
- 476 Boies, A.M.; A comparative study on effective density, shape factor, and volatile mixing of non-spherical
- 477 particles using tandem aerodynamic diameter, mobility diameter, and mass measurements. J. Aerosol Sci.,
- 478 161, 105930, https://doi.org/10.1016/j.jaerosci.2021.105930, 2022.
- 479 Knutson, E. O., and Whitby, K. T.: Aerosol classification by electric mobility: apparatus, theory, and
- 480 applications, J. Aerosol Sci., 6, 443-451, https://doi.org/10.1016/0021-8502(75)90060-9, 1975.
- 481 Kuwata, M.: Particle Classification by the Tandem Differential Mobility Analyzer–Particle Mass Analyzer
- 482 System, Aerosol Sci. Technol., 49, 508-520, https://doi.org/10.1080/02786826.2015.1045058, 2015.
- 483 Moallemi, A.; Kazemimanesh, M.; Corbin, J. C.; Thomson, K.; Smallwood, G.; Olfert, J. S.; Lobo, P.,
- 484 Characterization of black carbon particles generated by a propane-fueled miniature inverted soot generator.
- 485 J. Aerosol Sci., 135, 46-57, https://doi.org/10.1016/j.jaerosci.2019.05.004, 2019.
- 486 Olfert, J. S., and Collings, N.: New method for particle mass classification—the Couette centrifugal particle
- 487 mass analyzer, J. Aerosol Sci., 36, 1338-1352, https://doi.org/10.1016/j.jaerosci.2005.03.006, 2005.
- 488 Park, K., Cao, F., And, D. B. K., and Mcmurry, P. H.: Relationship between Particle Mass and Mobility for
- 489 Diesel Exhaust Particles, Environ. Sci. & Technol., 37, 577-583, https://doi.org/10.1021/es025960v, 2003.
- 490 Park, K., Dutcher, D., Emery, M., Pagels, J., Sakurai, H., Scheckman, J., Qian, S., Stolzenburg, M. R., Wang,
- 491 X., Yang J., and McMurry P. H.: Tandem Measurements of Aerosol Properties—A Review of Mobility
- 492 Techniques with Extensions. Aerosol Sci. and Techno., 42, 801-816
- 493 <u>https://doi.org/10.1080/02786820802339561, 2008.</u>
- 494 Pei, X., Hallquist, M., Eriksson, A. C., Pagels, J., Donahue, N. M., Mentel, T., Svenningsson, B., Brune, W.,
- 495 and Pathak, R. K.: Morphological transformation of soot: investigation of microphysical processes during
- 496 the condensation of sulfuric acid and limonene ozonolysis product vapors, Atmos. Chem. Phys., 18, 9845-
- 497 9860, https://doi.org/10.5194/acp-18-9845-2018, 2018.
- 498 Radney, J. G., Ma, X., Gillis, K. A., Zachariah, M. R., Hodges, J. T., and Zangmeister, C. D.: Direct
- 499 Measurements of Mass-Specific Optical Cross Sections of Single-Component Aerosol Mixtures, Anal.
- 500 Chem., 85, 8319-8325, https://doi.org/10.1021/ac401645y, 2013.
- 501 Radney, J. G., and Zangmeister, C. D.: Practical limitations of aerosol separation by a tandem differential
- 502 mobility analyzer-aerosol particle mass analyzer, Aerosol Sci. Technol., 50, 160-172,
- 503 https://doi.org/10.1080/02786826.2015.1136733, 2016.
- 504 Rissler, J., Messing, M. E., Malik, A. I., Nilsson, P. T., Nordin, E. Z., Bohgard, M., Sanati, M., and Pagels,
- 505 J. H.: Effective Density Characterization of Soot Agglomerates from Various Sources and Comparison to
- 506 Aggregation Theory, Aerosol Sci. Technol., 47, 792-805, 10.1080/02786826.2013.791381, 2013.

**Formatted:** Font: (Asian) +Body Asian (等线), (Asian) Chinese (PRC)

- 507 Shiraiwa, M., Kondo, Y., Iwamoto, T., and Kita, K.: Amplification of Light Absorption of Black Carbon by
- 508 Organic Coating, Aerosol Sci. & Technol., 44, 46-54, https://doi.org/10.1080/02786820903357686, 2010.
- 509 Sipkens, T. A., Olfert, J. S., and Rogak, S. N.: New approaches to calculate the transfer function of particle
- 510 mass analyzers, Aerosol Sci. Technol., 54, 111-127, https://doi.org/10.1080/02786826.2019.1680794, 2019.
- 511 Stolzenburg, M. R., and McMurry, P. H.: Equations Governing Single and Tandem DMA Configurations
- 512 and a New Lognormal Approximation to the Transfer Function, Aerosol Sci. Technol., 42, 421-432,
- 513 https://doi.org/10.1080/02786820802157823, 2008.
- 514 Swietlicki, E., Hansson, H. C., Hämeri, K., Svenningsson, B., Massling, A., McFiggans, G., McMurry, P. H.,
- 515 Pet ä ä T., Tunved, P., Gysel, M., Topping, D., Weingartner, E., Baltensperger, U., Rissler, J., Wiedensohler,
- 516 A., and Kulmala, M.: Hygroscopic properties of submicrometer atmospheric aerosol particles measured with
- 517 H-TDMA instruments in various environments a review, Tellus B, 60, 432-469,
- 518 <u>https://doi.org/10.1111/j.1600-0889.2008.00350.x, 2008.</u>
- 519 Tavakoli, F., and Olfert, J. S.: An Instrument for the Classification of Aerosols by Particle Relaxation Time:
- 520 Theoretical Models of the Aerodynamic Aerosol Classifier, Aerosol Sci. Technol., 47, 916-926,
- 521 https://doi.org/10.1080/02786826.2013.802761, 2013.
- 522 Tavakoli, F., and Olfert, J. S.: Determination of particle mass, effective density, mass-mobility exponent,
- 523 and dynamic shape factor using an aerodynamic aerosol classifier and a differential mobility analyzer in
- 524 tandem, J. Aerosol Sci., 75, 35-42, https://doi.org/10.1016/j.jaerosci.2014.04.010, 2014.
- 525 Wiedensohler, A., Birmili, W., Nowak, A., Sonntag, A., Weinhold, K., Merkel, M., Wehner, B., Tuch, T.,
- 526 Pfeifer, S., Fiebig, M., Fj äraa, A. M., Asmi, E., Sellegri, K., Depuy, R., Venzac, H., Villani, P., Laj, P., Aalto,
- 527 P., Ogren, J. A., Swietlicki, E., Williams, P., Roldin, P., Quincey, P., Hüglin, C., Fierz-Schmidhauser, R.,
- 528 Gysel, M., Weingartner, E., Riccobono, F., Santos, S., Grüning, C., Faloon, K., Beddows, D., Harrison, R.,
- 529 Monahan, C., Jennings, S. G., O'Dowd, C. D., Marinoni, A., Horn, H.-G., Keck, L., Jiang, J., Scheckman, J.,
- McMurry, P. H., Deng, Z., Zhao, C. S., Moerman, M., Henzing, B., de Leeuw, G., Löschau, G., and Bastian,
- 531 S.: Mobility particle size spectrometers: harmonization of technical standards and data structure to facilitate
- 532 <u>high quality long-term observations of atmospheric particle number size distributions, Atmos. Meas. Tech.,</u>
- 533 <u>5, 657–685, https://doi.org/10.5194/amt-5-657-2012, 2012.</u>
- 534 Yao, Q., Asa-Awuku, A., Zangmeister, C. D., and Radney, J. G.: Comparison of three essential sub-
- 535 <u>micrometer aerosol measurements: Mass, size and shape, Aerosol Sci. Technol., 1-18,</u>
- $536 \qquad https://doi.org/\underline{10.1080/02786826.2020.1763248,\,2020.}$
- 537 Zangmeister, C. D., You, R., Lunny, E. M., Jacobson, A. E., Okumura, M., Zachariah, M. R., and Radney, J.
- 538 G.: Measured in-situ mass absorption spectra for nine forms of highly-absorbing carbonaceous aerosol,
- 539 Carbon, 136, 85-93, https://doi.org/10.1016/j.carbon.2018.04.057, 2018.
- 540 Zhang, R., Khalizov, A. F., Pagels, J., Zhang, D., Xue, H., and McMurry, P. H.: Variability in morphology,
- 541 hygroscopicity, and optical properties of soot aerosols during atmospheric processing, Proc. Natl. Acad. Sci.,
- 542 105, 10291, https://doi.org/10.1073/pnas.0804860105, 2008.

Table 1 Dimensions of the three classifiers used for transfer function calculation

Parameter	DMA	CPMA	AAC	
r <sub>1</sub> (mm)	9.37	100	43	
$r_2 (mm)$	19.61	103	45	
L (mm)	44.369	200	210	
$\omega_2/\omega_1$	_	0.945	_	

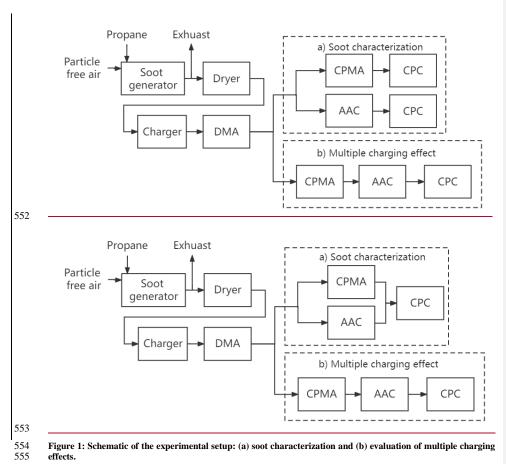
Table 2. Mobility diameter, mass, aerodynamic diameter, effective densities calculated by DMA-AAC and DMA-CPMA, and the deviation between them for fresh soot particles in the size range of 80-250 nm.

$d_{\rm m}({\rm nm})$	m(fg)	$d_{ae}(nm)$	$\rho_{\mathrm{DMA-AAC}}(\mathrm{kg}\;\mathrm{m}^{-3})$	$ ho_{ m DMA-CPMA} ({ m kg m}^{-3})$	Deviation
80	0.16±0.01 <del>0.16</del>	48.2±0.348	551.2±6.9551.2	596.8±37.30596.8	7.65% 7.65%
100	$0.27 \pm 0.01$ $0.27$	$54.8 \pm 0.355$	488.0±5.32488.0	515.7±19.10515.7	<u>5.38%</u> 5.38%
150	$0.66 \pm 0.07$ $0.66$	67.8±0.367	359. 1±3.22 <mark>359. 1</mark>	373.5±39.61373.5	3.86% 3.86%
200	$1.28\pm0.10$ $1.28$	$82.1 \pm 0.6 \frac{82}{82}$	303.2±4.44 <del>303.2</del>	305.6±23.87 <mark>305.6</mark>	<u>0.77%</u> 0 <del>.77%</del>
250	2.17±0.162.17	95.9±0.9 <del>96</del>	262.8±4.92 <mark>262.8</mark>	265.2±19.56265.2	<u>0.90%</u> 0.90%

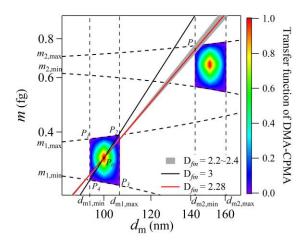
Formatted Table

 $Table \ 3. \ Number \ concentration \ fractions \ and \ absorption \ contributions \ for \ different \ size \ fresh \ soot \ particles \ with \ single, \ double \ or \ triple \ charges \ and \ the \ overestimation \ of \ MAC \ accordingly.$ 

$d_{ m m}$	singly cha	rged particles	doubly char	rged particles	triply char	ged particles	MAC
(nm)	$f_{\rm N}(\%)$	$f_{ m abs}(\%)$	$f_{\rm N}(\%)$	$f_{\rm abs}(\%)$	$f_{\rm N}(\%)$	$f_{ m abs}(\%)$	overestimation
80	72.2±2.569.7	50.6±2.745.0%	26.7±3.029.6 %	45.7±4.2 <mark>53.1%</mark>	1.1±0.40.7 %	3.7±1.51.9%	43.0±2.754.8 %
100	82.4±0.582.9	64.4±0.865.2%	17.6±0.517.1 %	35.6±0.834.8%	=	=	27.9±0.827.1 %
150	95.8±1.297.0	87.7±3.1 <sub>90.8%</sub>	4.2±1.13.0%	12.3±3.19.2%	=	==	9.3±2.60.69 %



 $\label{lem:condition} \textbf{Figure 1: Schematic of the experimental setup: (a) soot characterization and (b) evaluation of multiple charging effects. } \\$ 



557 558

559

560

Figure 2: Example of DMA-CPMA transfer function of flame-generated soot particles (Pei et al., 2018). The following parameter set was employed for the calculations:  $d_{\rm im}=100$  nm,  $\beta_{\rm DMA}=0.1$ , m=0.33 fg,  $Q_{\rm CPMA}=0.3$  L min<sub>k</sub><sup>1</sup>,  $R_{\rm im}=8$ . The color blocks are the transfer function of DMA-CPMA, with the rainbow color representing the transfer function for singly charged (lower left block) and doubly charged (upper right block) particles. The black and red solid lines are particles populations with  $Q_{\rm fm}$  values of 3 and 2.28, respectively. The grey region is the particle population with  $Q_{\rm fm}$  of 2.2-2.4, which is typical for soot aerosols. The dashed lines are the limits of  $d_{\rm fm}$  and  $d_{\rm fm}$  of DMA and CPMA. The DMA-CPMA transfer function for +2 particles does not overlap with the line for spherical particles with single charge  $(Q_{\rm fm}=3)$ .

Formatted: Font: Italic Formatted: Subscript Formatted: Font: Italic Formatted: Subscript Formatted: Font: Italic Formatted: Font: Italic Formatted: Subscript Formatted: Superscript Formatted: Font: Italic Formatted: Subscript Formatted: Font: Italic Formatted: Font: Italic Formatted: Subscript

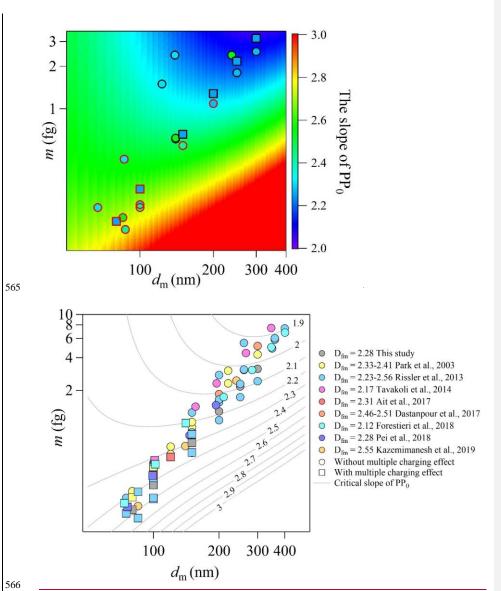


Figure 3: Variations of the slope of PP<sub>0</sub> as a function of classified  $d_m$  and m. The following parameter set was employed for the calculations:  $\beta_{\rm DMA} = 0.1$ ,  $Q_{\rm CPMA} = 0.3$  L min<sup>-1</sup>,  $R_{\rm m} = 8$ . The background color codingcontour lines denotes the slope of PP<sub>0</sub>, with red represents the slope of PP<sub>0</sub>  $\gg$  3values labeled on them. The data points are soot particles measured in the The circles and squares represent the reported  $D_{\rm fur}$  values from literatures (Park et al., 2003; Rissler et al., 2013; Tavakoli et al., 2014; Ail Yahia et al., 2017; Datalapour et al., 2017; Forestieri et al., 2018; Fei et al., 2018; Kazemimanesh et al., 2019) and measured soot particles generated in this study (See details in section Sect. 3.2), respectively. Symbol colors indicate the particle  $D_{\rm fm}$ , The  $D_{\rm fm}$  values of these data points are listed in the legend. The data points become redsquare when the  $D_{\rm fm}$  is smaller than the critical slope of PP0 in

Formatted: Font: Italic
Formatted: Subscript
Formatted: Font: Italic
Formatted: Subscript

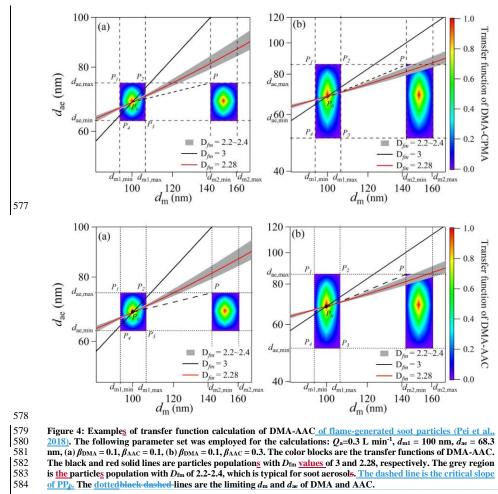
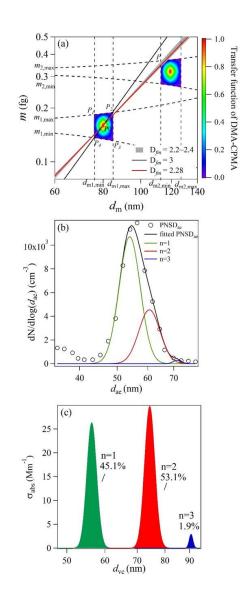


Figure 4: Examples of transfer function calculation of DMA-AAC of flame-generated soot particles (Pei et al., 2018). The following parameter set was employed for the calculations:  $Q_n$ =0.3 L min<sup>-1</sup>,  $d_{m1}$  = 100 nm,  $d_{ac}$  = 68.3 nm, (a)  $\beta_{\rm DMA} = 0.1$ ,  $\bar{\beta}_{\rm AAC} = 0.1$ , (b)  $\beta_{\rm DMA} = 0.1$ ,  $\bar{\beta}_{\rm AAC} = 0.3$ . The color blocks are the transfer functions of DMA-AAC. The black and red solid lines are particles populations with  $D_{\rm fm}$  values of 3 and 2.28, respectively. The grey region is the particles population with  $D_{\text{fm}}$  of 2.2-2.4, which is typical for soot aerosols. The dashed line is the critical slope of PP<sub>0</sub>. The dotted black dashed lines are the limiting  $d_m$  and  $d_{ae}$  of DMA and AAC.

Formatted: Subscript



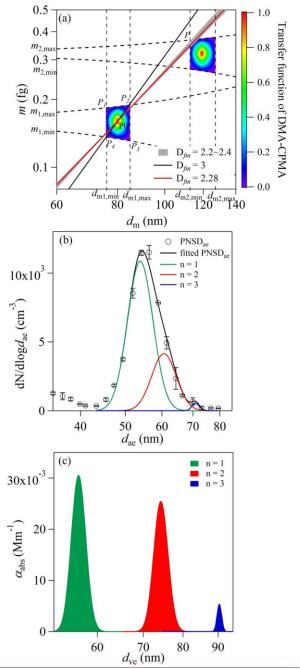
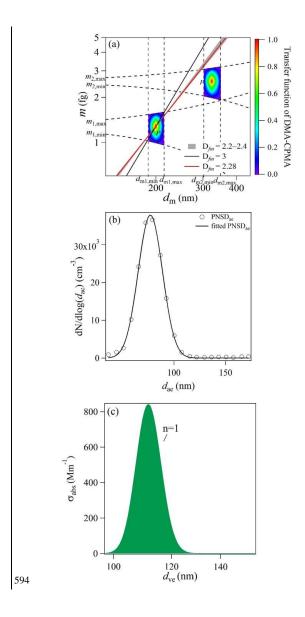


Figure 5: (a) The transfer functions of DMA-CPMA when selecting 80 nm and 0.16 fg particles. The following parameter set was employed for the calculations:  $d_{m1} = 80$  nm,  $\beta_{DMA} = 0.1$ ,  $m_1 = 0.16$  fg,  $Q_{CPMA} = 0.3$  L min<sup>-1</sup>,  $R_m = 8$ . The red solid line is the generated soot particle population. (b)The aerodynamic size distribution of particles classified by DMA-CPMA. The circles are data measured by AAC-CPC<sub>1</sub> and the black, green red and blue lines are log-normal fitted distributions of bulk, singly charged, doubly charged and triply charged particles populations. (c)The contributions to light absorption of particles with single, double and triple charges calculated with Mie theory.



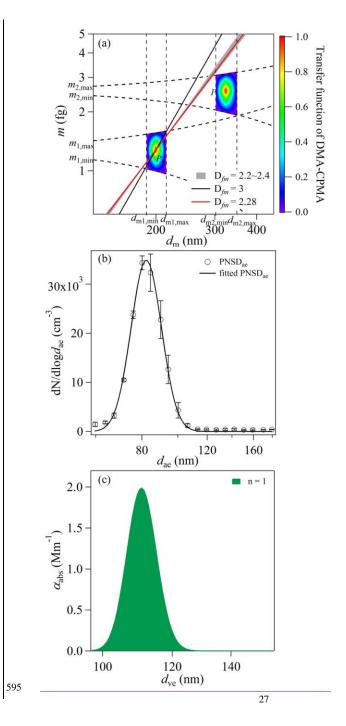


Figure 6: (a)The transfer functions of DMA-CPMA when selecting 200 nm and 1.28 fg particles. The following parameter set was employed for the calculations:  $d_{\rm m1}=200$  nm,  $\beta_{\rm DMA}=0.1$ ,  $m_1=1.28$  fg,  $Q_{\rm CPMA}=0.3$  L min<sup>-1</sup>,  $R_{\rm m}=8$ . The red solid line is the generated soot particle population. (b)the aerodynamic size distribution of particles classified by DMA-CPMA. The circles are data measured by AAC-CPC, and the solid line is the log-normal fitted distribution. (c)the contributions to light absorption of particles with single charge calculated with Mie theory.