

1 Retrieval of Solar-induced Chlorophyll Fluorescence from [Satellite](#)

2 Measurements: Comparison of SIF between TanSat and OCO-2

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17 **Abstract.** Solar-induced chlorophyll fluorescence (SIF) is emitted during photosynthesis in plant leaves. It constitutes a
18 small additional offset to reflected radiance and can be observed by sensitive instruments [that with high SNR and spectral](#)
19 [resolution](#). The Chinese global carbon dioxide monitoring satellite (TanSat), as its mission, acquires greenhouse gas column
20 density. The advanced technical characteristics of the hyper-spectrum grating spectrometer (ACGS) onboard TanSat enable
21 SIF retrieval from space observations in the O₂-A band. In this study, one-year SIF data [at sounding scale](#) was processed
22 [globally](#) from Orbiting Carbon Observatory-2 (OCO-2) and TanSat using a physical-based algorithm. A comparison between
23 the SIF [results](#) retrieved from OCO-2 [spectra](#) and [the official OCO-2 SIF product \(OCO2 Level 2 Lite SIF.8r\)](#) shows their
24 strong linear relationship ($R^2 > 0.85$) and suggests the reliability of the [SIF retrieval](#) algorithm. The global distribution
25 showed that the SIF retrieved from the two satellites shared the same spatial pattern for all seasons with the [gridded](#) SIF
26 difference less than $0.3 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$, and they also agreed [well](#) with the official OCO-2 SIF product [with the difference](#)
27 [less than \$0.2 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}\$](#) . The retrieval uncertainty of seasonal-gridded TanSat SIF is less than $0.03 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$
28 whereas the uncertainty of each sounding ranges from 0.1 to $0.6 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$. The relationship between [annual averaged](#)
29 SIF [products](#) and [FLUXCOM](#) gross primary productivity ([GPP](#)) was also estimated [for six vegetation types in a \$1^\circ \times 1^\circ\$ grid](#)
30 [over the globe, indicating that the SIF data from the two satellites have the same potential in quantitatively characterizing](#)
31 [ecosystem productivity](#). The spatiotemporal consistency between TanSat and OCO-2 and their comparable data quality make
32 the comprehensive usage of the two mission products possible. Data supplemented by TanSat observations are expected to
33 contribute to the development of global SIF maps with more spatiotemporal detail, which will advance global research on
34 vegetation photosynthesis.

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41 1 Introduction

42 Terrestrial vegetation accounts for a large part of the ecosystem, with its photosynthesis and respiration processes playing
43 important roles in the global carbon cycle. Incoming radiation is absorbed, reflected, and/or transmitted by plant leaves. A
44 portion of the absorbed radiation is used by the chlorophyll in plant leaves for carbon fixation, while the rest is either
45 dissipated as heat or re-emitted as solar-induced chlorophyll fluorescence (SIF) at longer wavelengths (Frankenberg et al.,
46 2011a, 2014). In contrast to the traditional remotely sensed vegetation indices obtained from some studies (Frankenberg et
47 al., 2011b; Guanter et al., 2014; Li et al., 2018; Sun et al., 2017a; Yang et al., 2015; Zhang et al., 2014), SIF offers the
48 potential to measure photosynthesis activity and gross primary production (GPP), due to the strong correlation between these
49 measures (Frankenberg et al., 2011b; Guanter et al., 2012, 2014). The fluorescence emission (F_s) adds a low-intensity
50 radiance less than $10 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$ and fills in the solar absorption features of the reflected spectrum (Frankenberg et al.,
51 2011a). The filling-in effect of the solar lines (Fraunhofer lines) is the basic principle applied to measure SIF from space
52 using the capabilities of hyperspectral observation (Frankenberg et al., 2011b; Guanter et al., 2012).

53 The first attempt at SIF research based on space-based observations was performed using images acquired by the [Medium](#)
54 [Resolution Imaging Spectrometer \(MERIS\)](#) onboard the ENVironmental SATellite (ENVISAT) (Guanter et al., 2007). This
55 led to a new idea for conducting SIF studies on a global scale. The first global SIF map was retrieved with high-resolution
56 spectra from the Greenhouse-gases Observing SATellite (GOSAT) (Joiner et al., 2011; Frankenberg et al., 2011b). After that,
57 SIF retrievals were implemented from a variety of satellite measurements, such as those from the Global Ozone Monitoring
58 Experiment-2 (GOME-2) instruments onboard meteorological operational satellites, SCIAMACHY on board ENVISAT, and
59 Orbiting Carbon Observatory-2 (OCO-2) (Joiner et al., 2016; Köhler et al., 2015). The TROPOspheric Monitoring
60 Instrument (TROPOMI) on board Sentinel 5 Precursor (S-5P) provides more efficient SIF observations in terms of global
61 coverage and new opportunities for exploring the application potential of SIF data in the terrestrial biosphere as well as in
62 climate research (Doughty et al., 2019; Köhler et al., 2018b). Furthermore, an upcoming European Space Agency mission
63 called FLUorescence EXplorer (FLEX), the first satellite dedicated to SIF emission observation, will launch in the middle of
64 2024 (Drusch et al., 2017). Many studies on SIF applications have been initiated with the accumulation of SIF products in
65 recent years. The responses of satellite-measured SIF to environmental conditions have been applied to drought dynamics
66 monitoring and regional vegetation water stress estimation (Lee et al., 2013; Sun et al., 2015; Yoshida et al., 2015). As a
67 proxy of photosynthesis, SIF acts as a powerful constraint parameter in estimating carbon exchange in an ecosystem between
68 the atmosphere, ocean, and soil; as such, the analysis of the relationship between SIF and GPP has become an important
69 research topic (Li et al., 2018; Köhler et al., 2018a; Sun et al., 2017a; Zhang et al., 2018). The strong linear relationship
70 between them paves the way for improving terrestrial ecosystem model simulation of GPP, along with consequent
71 improvement of global carbon flux estimation (MacBean et al., 2018; Yin et al., 2020). GPP estimations based on satellite-
72 measured SIF have proven to be an effective method validated by in-situ flux observations (Joiner et al., 2018; Qiu et al.,
73 2020). However, uncertainty in the factors that determine the relationship between SIF and GPP still exists and is a key

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76 limitation in the application of SIF to flux estimation. Based on multi-satellite SIF products, eddy covariance flux tower
77 observations, and ecological models, the relationship between SIF and GPP under different environmental conditions has
78 been discussed in a number of studies to analyze the dominant factors for the growing status of different biomes, such as
79 temperature, soil moisture, and vegetation types (Chen et al., 2020; Doughty et al., 2019; Li et al., 2020; Qiu et al., 2020; Yin
80 et al., 2020).

81 The Chinese global carbon dioxide monitoring satellite (TanSat) was launched in December 2016. [Aiming at acquiring CO₂](#)
82 [concentrations as OCO-2](#). TanSat flies in a sun-synchronous orbit at approximately 700 km in height with a 16-day repeat
83 cycle and an equator crossing time of ~1:30 p.m. local time (Cai et al., 2014; Liu et al., 2018; Yang et al., 2018). Onboard
84 TanSat, the hyperspectral Atmospheric Carbon-dioxide Grating Spectrometer (ACGS) is designed to separately record solar
85 backscatter spectra in three channels centered at 0.76 μm (O₂-A band), 1.61 μm (weak CO₂ absorption band), and 2.06 μm
86 (strong CO₂ absorption band). [With the recorded spectra, many Optimal Estimation Method \(OEM\) full physics retrieval](#)
87 [algorithms have been developed and applied for XCO₂ retrievals](#) (Boesche et al., 2009; Butz et al., 2009, 2011; O'Dell et al.,
88 2012; Reuter et al., 2010; Yang et al., 2015b; Yoshida et al., 2011, 2013). The Institute of Atmospheric Physics Carbon
89 Dioxide Retrieval Algorithm for Satellite Remote Sensing (IAPCAS) algorithm has been applied for TanSat retrieval (Yang
90 et al., 2018; Yang et al., 2021) and was also previously tested on GOSAT and OCO-2 missions (Yang et al., 2015b).
91 However, the fluorescence feature causes substantial biases when retrieving surface pressure and scattering parameters from
92 the O₂-A band, and the associated errors propagate into the XCO₂ retrievals. In previous XCO₂ retrieval, the surface
93 emissions were well modeled as a continuum zero offset of the O₂-A band to reduce errors (Frankenberg et al., 2011a, 2012;
94 Butz et al., 2009, 2010; Joiner et al., 2012). The high spectral resolution of ~0.044 nm and a signal-to-noise ratio of ~360 in
95 the O₂-A band makes it possible to obtain SIF from space measurements, with a spatial resolution of 2 km × 2 km in nadir
96 mode (Liu et al., 2018).

97 Various approaches have been used to infer SIF from satellite measurements (Frankenberg et al., 2011b, 2014a, 2014b;
98 Guanter et al., 2007, 2012, 2015; Joiner et al., 2011, 2013, 2016; Köhler et al., 2015, 2018b). The SIF signal induces a
99 filling-in effect of solar lines, which can be used for SIF retrieval, as the fractional depth of solar Fraunhofer lines does not
100 change during radiation transmission in the atmosphere. To recognize the filling-in features by SIF, high-resolution spectra
101 and an instrument spectral response function (ISRF) are required to describe subtle changes in the spectral absorption lines.
102 With the detailed [spectral](#) features, a method was developed based on solar line fitting and the Beer-Lambertian law. This
103 method is robust and accurate when the spectrum is out of the influence of telluric absorptions, even in the presence of
104 aerosols (Frankenberg et al., 2011a; Joiner et al., 2011); in the current study, this method was applied to develop the
105 IAPCAS/SIF algorithm. Another SIF retrieval method is the data-driven algorithm based on the singular value
106 decomposition (SVD) technique (Joiner et al., 2011; Guanter et al., 2012), which has been broadly applied in [GOSAT, OCO-](#)
107 [2, TanSat](#) and TROPOMI SIF retrieval (Joiner et al., 2011; Guanter et al., 2012, 2015; Frankenberg et al., 2014a; Du et al.,
108 2018; Köhler et al., 2018b). In the data-driven method, the spectrum is represented as a linear combination of the SIF signal
109 and several singular vectors that are trained from non-fluorescent scenes by SVD; thus, the SIF signal can be obtained with

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已上移 [1]: Many Optimal Estimation Method (OEM) full physics retrieval algorithms have been developed and applied for GOSAT, OCO-2, and TanSat XCO₂ retrievals (Boesche et al., 2009; Butz et al., 2009, 2011; O'Dell et al., 2012; Reuter et al., 2010; Yang et al., 2015b; Yoshida et al., 2011, 2013). The Institute of Atmospheric Physics Carbon Dioxide Retrieval Algorithm for Satellite Remote Sensing (IAPCAS) algorithm has been applied for TanSat retrieval (Yang et al., 2018; Yang et al., 2021) and was also previously tested on GOSAT and OCO-2 missions (Yang et al., 2015b). However, the fluorescence feature causes substantial biases when retrieving surface pressure and scattering parameters from the O₂-A band, and the associated errors propagate into the XCO₂ retrievals. In previous XCO₂ retrieval, the surface emissions were well modeled as a continuum zero offset of the O₂-A band to reduce errors (Frankenberg et al., 2011a, 2012; Butz et al., 2009, 2010; Joiner et al., 2012). .

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130 linear least-squares fitting (Du et al., 2018; Guanter et al., 2012). [The first TanSat SIF map was obtained by the SVD](#)
 131 [method \(Du et al., 2018\),](#) in a previous study, a preliminary comparison between the TanSat SIF products retrieved by
 132 IAPCAS/SIF algorithm and the SVD data-driven method was performed, [and the comparison shows that the two SIF](#)
 133 [products share a similar global pattern and signal magnitude for all seasons while different biases still exist in four seasons](#)
 134 [\(Yao et al., 2021\).](#) The different biases in four seasons may be caused by the different training samples of the SVD method.
 135 [In order to obtain stable SIF data products from TanSat and other subsequent satellite missions, it is particularly important to](#)
 136 [establish a stable and high-precision SIF inversion algorithm. To validate the IAPCAS/SIF algorithm and test the potential of](#)
 137 [comprehensive usage of multi-satellites SIF data in analysis, in this study, we detailed the TanSat SIF retrieval using the](#)
 138 [IAPCAS/SIF algorithm and made the comparison of SIF products between TanSat and OCO-2.](#)

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删除的内容: and for further algorithm optimization (Yao et al., 2021). In this study, we introduce TanSat SIF retrieval using the IAPCAS/SIF algorithm and the comparison of SIF products between TanSat and OCO-2.

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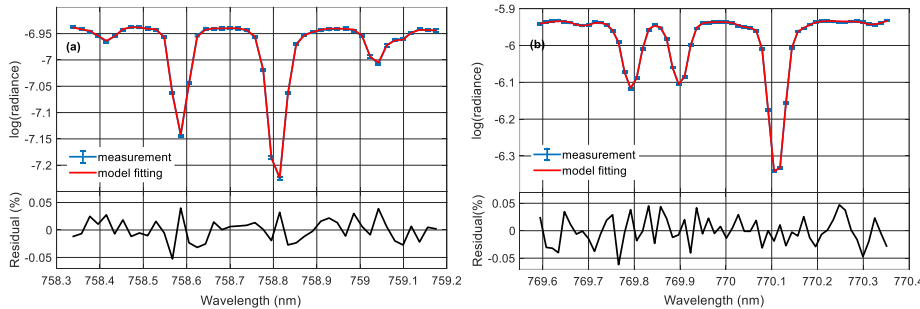
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139 2 Data and retrieval algorithm

140 2.1 Retrieval Principle and Method

141 We used TanSat version 2 Level 1B (L1B) nadir-mode earth observation data in the retrieval process. The measurements
 142 covered the period from March 2017 to February 2018. Polarized radiance in the O₂-A band with a spectral resolution of
 143 0.044 nm was provided in the L1B data, and two micro-windows near 757 nm (758.3-759.2 nm) and 771 nm (769.6-770.3
 144 nm) were chosen to retrieve the top-of-atmosphere (TOA) SIF while avoiding the contamination from strong lines of
 145 atmospheric gas absorption. The retrieval was independent for each micro-window as shown in Figure 1. [To avoid](#)
 146 [duplication of information, we use the SIF product at 757 nm as the example in the analysis.](#)

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147 **Figure 1: The fitted spectra and residuals for the (a) 757 nm and (b) 771 nm micro-windows of TanSat measurement. The error**
 148 **bar of the measured spectra depicts the estimated precision of each TanSat sounding.**
 149

150
 151 Filling-in on solar lines by chlorophyll fluorescence in the O₂-A band can be detected in the hyperspectral measurements
 152 from TanSat. This effect on spectral radiance is different from the impact of atmospheric and surface processes, e.g.,

162 scattering and absorption. For example, scattering by aerosols and clouds does not change the relative depth of clear solar
 163 lines, unlike the SIF emission signal. We applied the differential optical absorption spectroscopy (DOAS) technique to
 164 IAPCAS/SIF algorithm for TanSat measurement (Frankenberg, 2014b; Sun et al., 2018).

165 The TOA spectral radiance (L_{TOA}^λ) at wavelength λ can be represented as follows:

$$166 \quad L_{TOA}^\lambda = I_t^\lambda \cdot \mu_0 \cdot \left(\rho_0^\lambda + \frac{\rho_s^\lambda \cdot T_t^\lambda \cdot T_r^\lambda}{\pi} \right) + F_{TOA}^\lambda \quad (1)$$

167 where I_t^λ is the incident solar irradiance at the TOA, μ_0 is the cosine of the solar zenith angle (SZA), ρ_0^λ is atmospheric path
 168 reflectance, ρ_s^λ is surface reflectance, and T_t^λ and T_r^λ are the total atmospheric transmittances along the light-path in the
 169 downstream and upstream directions, respectively. F_{TOA}^λ is the SIF radiance at TOA.

170 The first term on the right of Eq. (1) represents the transmission process of solar radiance. In the micro-windows used in SIF
 171 retrieval, gas absorption is very weak and smooth, and hence, the atmosphere term $\mu_0 \cdot \left(\rho_0^\lambda + \frac{\rho_s^\lambda \cdot T_t^\lambda \cdot T_r^\lambda}{\pi} \right)$ can be simplified to a
 172 low-order polynomial that varies with λ (Joiner et al., 2013; Sun et al., 2018); this is always valid as long as the spectrum
 173 fitting range is out of sharp atmospheric absorptions. In the retrieval, the spectral radiance measurement was converted to
 174 logarithmic space by the instrument and the radiative transfer process $f(F_s^{rel}, \mathbf{a})$ was represented as follows:

$$175 \quad f(F_s^{rel}, \mathbf{a}) = \log(\langle I_t + F_s^{rel} \rangle) + \sum_{i=0}^n a_i \cdot \lambda^i \quad (2)$$

176 where $\langle \rangle$ denote the convolution with the ISRF from line-by-line spectra. The polynomial coefficient \mathbf{a} determines the
 177 wavelength dependence polynomial for the atmosphere term; in the retrieval, we used a second-order polynomial ($n = 2$).
 178 The radiance is normalized to the continuum level; hence, I_t is a normalized disk-integrated solar transmission model, and
 179 F_s^{rel} is the normalized relative SIF. In the micro-window, SIF was regarded as a constant signal due to its small changes.

180 Although the atmospheric gas absorption was very weak in the micro-window, the weak absorption and the far-wing effects
 181 (O_2 lines) can still change spectral features, which induces errors in spectrum fitting. Therefore, we used the European
 182 Centre for Medium-Range Weather Forecasts (ECMWF) interim surface pressure ($0.75^\circ \times 0.75^\circ$) to estimate O_2 absorption
 183 firstly and then modified the absorption feature by a scale factor. The scale factor is obtained simultaneously in SIF retrieval
 184 to reduce the error induced by the uncertainty in surface pressure. As described by Yang (2020), there is also a continuum
 185 feature in TanSat L1B data that needs to be considered for the high-quality fitting of the O_2 -A band. However, in this study,
 186 this continuum feature was not corrected, as the impact of such a smooth continuum variation in the micro-window is weak
 187 and the polynomial continuum model is capable of compensating for most of this effect.

188 The state vector list in the retrieval includes the relative SIF signal F_s^{rel} , a wavenumber shift, the scale of O_2 column
 189 absorption for surface pressure correction, and coefficients of the polynomial. The continuum level radiance I_{cont} within the
 190 fitting window is calculated using the radiance outside the absorption features in the micro-window and is then used for the
 191 actual SIF signal calculation thus: $F = F_s^{rel} \cdot I_{cont}$.

192 In the IAPCAS/SIF algorithm, we used an OEM for state vector optimization in the retrieval process. Unlike XCO₂ retrieval,
 193 SIF retrieval employs a state vector with fewer elements and a much simpler forward model, so there is no need to perform

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197 [complex radiation transmission calculations](#). Considering the [low](#) complexity of SIF retrieval, the Gauss-Newton method
198 was applied in inversion iteratively to find the optimal solution.

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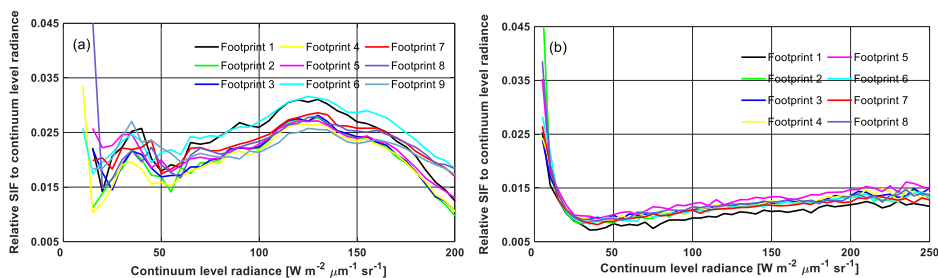
199 2.2 Bias Corrections

200 A [systematic](#) error remains in the raw SIF retrieval output if no bias correction is performed; similar results have been
201 reported in GOSAT and OCO-2 SIF retrieval studies (Frankenberg et al., 2011a, 2011b; Sun et al., 2018). This is because the
202 SIF signal is weak (e.g., typically ~1-2% of the continuum level radiance), which means that even a small issue in the
203 measurement, such as a zero-offset caused by radiometric calibration error, could induce significant bias. Unfortunately, the
204 lack of knowledge on in-flight instrument performance makes it difficult to perform a direct systematic bias correction in the
205 measured spectrum. In the retrieval, a continuum level radiance bin fit was used to estimate the bias. The bins have a
206 continuum level radiance interval of $5 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$. In each bin, the mean bias was estimated using all non-fluorescence
207 measurements, and a piecewise linear function was built from the mean bias of each continuum level radiance interval.

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208 The non-fluorescence soundings that were used in the bias estimation were based on the dataset “sounding_landCover” in
209 TanSat L1B data. This dataset depends on the MODIS land cover product and provides a scheme consisting of 17 land cover
210 classifications defined by the International Geosphere-Biosphere Programme. These retrieved measurements marked as
211 “snow and ice,” “barren,” and “sparsely vegetated” were chosen to estimate the bias. Calibrations compensated for most of
212 the instrument degradations, but this alone was not perfect. To reduce the impact from the remaining minor discrepancies,
213 we built the bias correction function daily to obtain bias for each sounding via interpolation of the continuum level radiance
214 (Sun et al., 2017b, 2018).

215 The bias curves shown in Figure 2 differ significantly between TanSat and OCO-2. This is mostly due to the differences in
216 instrument performance and radiometric calibration. In general, the TanSat bias curves exhibited two peaks at radiance levels
217 of approximately 40 and $125 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$, separately, and most biases were larger than 0.015. For OCO-2, the curves
218 dropped sharply at low radiance levels, reaching the valley at a radiance level of approximately $40 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$, and then
219 increased slowly with the radiance level.



220

224 **Figure 2: Variations in the bias correction curves of continuum level radiance from (a) TanSat on July 7, 2017, and (b) Orbiting**
225 **Carbon Observatory-2 (OCO-2) on June 16, 2017. The different colors in the legend present different footprints of the satellite**
226 **frame.**

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227 2.3 Data Quality Controls

228 Only data that passed quality control were used in further applications. There were two data quality control processes for the
229 SIF products: pre-screening and post-screening. Pre-screening focused mainly on cloud screening; only cloud-free
230 measurements were used in SIF retrieval. A surface pressure difference (SPD), defined as:

$$231 \Delta P_0 = |P_{retrieval} - P_{ECMWF}| \quad (3)$$

232 was used to evaluate cloud contamination along with a chi-square test

$$233 \chi^2 = \sum \frac{(y_{sim} - y_{obs})^2}{y_{noise}^2} \quad (4)$$

234 where y_{sim} , y_{obs} , and y_{noise} represent the model fitting spectrum, observation spectrum, and spectrum noise, respectively.

235 $P_{retrieval}$ is the apparent surface pressure obtained from O₂-A band surface pressure retrieval, assuming a single scattering
236 atmosphere. P_{ECMWF} is the ECMWF interim (0.75° × 0.75°) surface pressure. A “cloud-free” measurement was required to
237 simultaneously satisfy an SPD of less than 20 hPa and a χ^2 value of less than 80. Here, post-screening was applied to filter
238 out “bad” retrievals; this screening process involved the following steps: (1) SIF retrievals with reduced χ^2 (χ_{red}^2) values
239 ranging from 0.7 to 1.3 were considered “good” fitting, (2) continuum level radiance outside the range of 15 – 200 W m⁻²
240 μm⁻¹ sr⁻¹ was screened out to avoid scenes too bright or too dark, and (3) soundings with the SZA higher than 60° were also
241 filtered out.

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242 2.4 IAPCAS versus IMAP-DOAS OCO-2 SIF Retrieval

243 Before applied to TanSat retrievals, we tested the IAPCAS/SIF algorithm on the OCO-2 L1B data first
244 (OCO2_L1B_Science.8r) and then compared the retrieval results with the OCO-2 L2 Lite SIF product (OCO2_Level
245 2_Lite_SIF.8r) retrieved by the Iterative Maximum A Posteriori-Differential Optical Absorption Spectroscopy (IMAP-
246 DOAS) algorithm (Frankenberg, 2014b). The Lite product provides the SIF value for each sounding on a daily basis and
247 hence the SIF comparison could be performed on the sounding scale for each month.

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248 Table 1 displays the relationship of OCO-2 SIF values between the IAPCAS/SIF and IMAP-DOAS at 757 nm micro-
249 window for each month. Overall, the two SIF products were in good agreement. The linear fitting of the two SIF products
250 suggests that they are highly correlated, as indicated by the strong linear relationship with R² mostly larger than 0.85 and the
251 root mean square error (RMSE) of about 0.2 W m⁻² μm⁻¹ sr⁻¹. Good consistency between the two SIF products implies the
252 reliability of the IAPCAS/SIF algorithm; thus, it was further applied to TanSat SIF retrieval. However, there was still a small
253 bias in the comparisons, which was due, most likely, to the impact of differences in the bias correction method, retrieval
254 algorithm, and fitting window.

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263 **Table 1:** Summary of the relationship between the Institute of Atmospheric Physics Carbon Dioxide Retrieval Algorithm for
 264 Satellite Remote Sensing (IAPCAS) OCO-2 and Iterative Maximum A Posteriori-Differential Optical Absorption Spectroscopy
 265 (IMAP-DOAS) OCO-2 solar-induced chlorophyll fluorescence (SIF) products at 757nm micro-window.

month	Number of soundings	Slope	Intercept	R ²	RMSE/ W m ⁻² μm ⁻¹ sr ⁻¹
2017/03	1097277	0.85	0.034	0.86	0.18
2017/04	1119464	0.86	0.045	0.87	0.19
2017/05	1054235	0.88	0.041	0.88	0.19
2017/06	1014848	0.91	0.032	0.90	0.19
2017/07	965309	0.92	0.011	0.91	0.19
2017/09	211219	0.88	0.005	0.81	0.23
2017/10	473359	0.88	0.031	0.88	0.17
2017/11	579009	0.87	0.022	0.85	0.19
2017/12	645134	0.87	0.020	0.88	0.16
2018/01	788655	0.87	0.019	0.88	0.17
2018/02*	629995	0.86	0.024	0.87	0.18

266 * Due to the lack of OCO-2 measurements in August 2017, the comparison is only performed for 11 months.

267 3 Results and Discussion

268 3.1 Comparison between TanSat and OCO-2 SIF Measurements

269 Directly comparing OCO-2 and TanSat SIF measurements could provide information on joint data application at the
 270 sounding scale for further studies. However, an identical sounding overlap barely exists because the two satellites often have
 271 different nadir tracks on the ground, which is induced by the different temporal and spatial intervals of the two satellite
 272 missions. Fortunately, the ground tracks of the two satellites were relatively close from April 17 to April 23, 2017. A couple
 273 of overlapping orbits were found in the measurements obtained from Africa with the orbit number of 1733 from TanSat and
 274 14890a from OCO-2 (Figure 3). In the comparison, the OCO2 Level 2 Lite SIF.8r product was used to present the SIF
 275 emission over the study area. These overlapping measurements encompassed multiple land cover types, in which the SIF
 276 varied within an acceptable time difference (<5 min).

277 Overall, measurements from the two satellites indicated SIF variation with land cover type. The SIF emission over evergreen
 278 broadleaf forests was larger than that over savannas, and grasslands exhibited the lowest SIF emission in April (Figure 3a,b).
 279 The mean SIF emission over evergreen broadleaf forests was approximately 0.9-1.1 W m⁻² μm⁻¹ sr⁻¹, whereas those over
 280 savannas and grasslands were 0.5-0.7 W m⁻² μm⁻¹ sr⁻¹ and less than 0.1 W m⁻² μm⁻¹ sr⁻¹, respectively (Figure 3c,d).
 281 Furthermore, we also found a significant difference in the SIF emission intensity over tropical savannas, which was observed
 282 by both satellites (Figure 3c,d).

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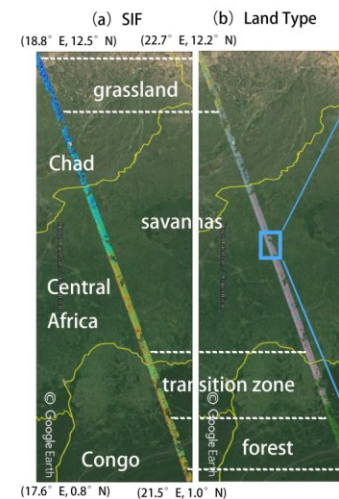
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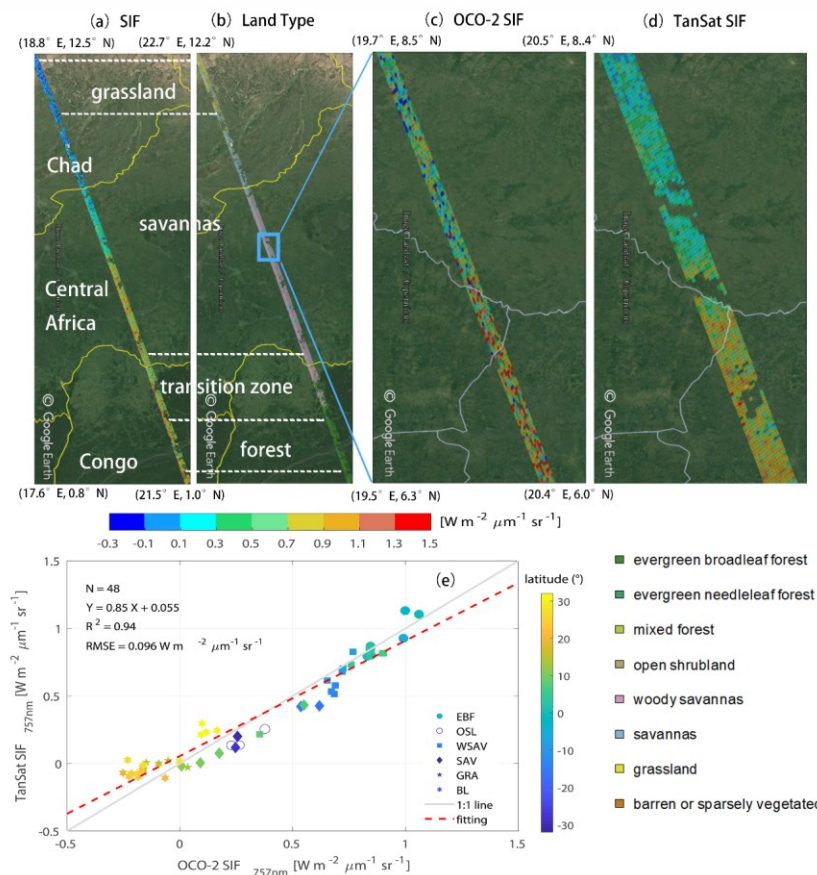
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298 Figure 3: Overlapping orbits of TanSat and OCO-2 on April 19, 2017 over Africa displayed in Google Earth. (a) the SIF
 299 measurements from both the two satellites and (b) the footprint land cover type were compared. Compared to OCO-2, TanSat has
 300 a wider swath width. A zoom-in view over savannas shows variations in the SIF signal measured by (c) OCO-2 and (d) TanSat.
 301 The land surface image shown in Google earth is provided by Landsat/Copernicus team. [Following the International Geosphere-](#)
 302 [Biosphere Programme classification scheme, the vertical legend on the bottom right corner depicts the land cover type that occurs](#)
 303 [in the study area. The middle horizontal color bar represents the intensity of the SIF radiance.](#) (e) Small-area SIF comparison
 304 between OCO-2 and TanSat; each data point represents the mean SIF of a degree in latitude (colors) along the track. The marker
 305 legend that is shown on the bottom right of the plot indicates the dominant land cover (defined as the majority land cover type of
 306 each sounding) in each small area. There are six land cover types including evergreen broadleaf forest (EBF), open shrubland
 307 (OSL), woody savanna (WSAV), savanna (SAV), grassland (GRA), and barren land (BL). The red dashed line represents the

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314 linear fit between the two SIF products with statistics shown in the upper left of the plot. The gray line indicates a 1:1 relationship
315 for reference.

316

317 Because the footprint sizes of the two satellites are different, it is difficult to make a direct footprint-to-footprint comparison.

318 Therefore, we made the comparison between the two satellite measurements based on a small area average. Each small area

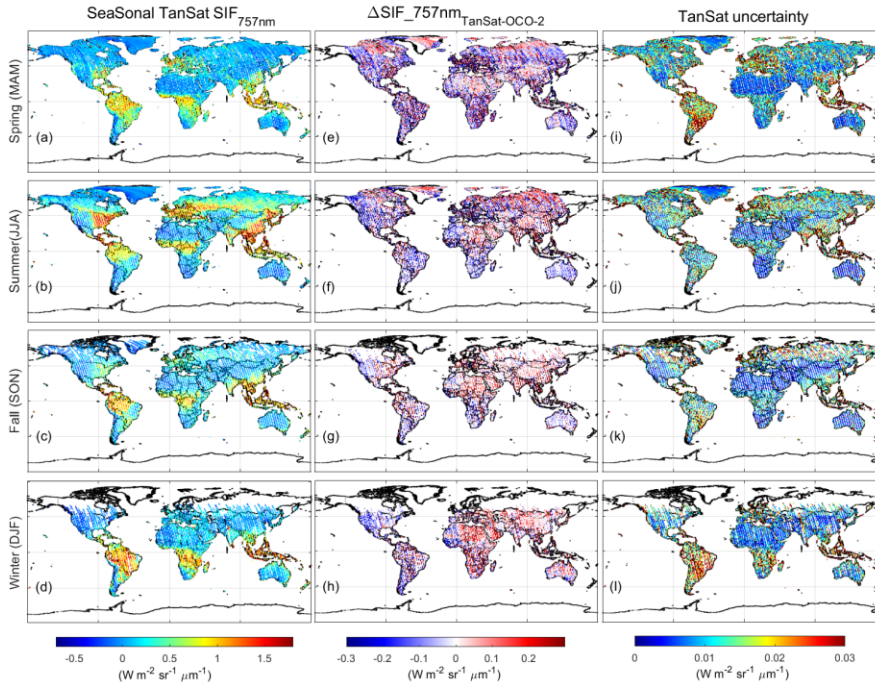
319 spans a degree in latitude and continues along the track. The small area-averaged SIF comparison is in Figure 3e. The

320 results indicate good agreement, with an R^2 of 0.94 and an RMSE of $0.096 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$. Additional ground-based SIF

321 measurement setups (Guanter et al., 2007; Liu et al., 2019; van der Tol et al., 2016; Yang et al., 2015a; Yu et al., 2019)

322 should allow for direct evaluation of satellite retrieval accuracy in the future.

323



324

325 Figure 4: Global TanSat SIF (left, a-d), differences between TanSat and IAPCAS OCO-2 SIF values (middle, e-h), and the grid-
326 cell retrieval uncertainty estimated from TanSat (right, i-l) at $1^\circ \times 1^\circ$ spatial resolution. The maps in each row represent a
327 Northern Hemisphere season, i.e., spring (MAM), summer (JJA), fall (SON), and winter (DJF).

328

329 Figure 4 shows the global SIF comparison between [IAPCAS/SIF retrieved](#) OCO-2 and TanSat; this comparison is only
330 performed at $1^\circ \times 1^\circ$ spatial resolution. In general, the difference in SIF globally is mostly less than $0.3 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$ for
331 all seasons, and on average, the smallest difference appears in fall. There are regional biases observed in North Africa, South
332 Africa, South America, and Europe in all seasons except fall. [This is mainly caused by the differences in instrument](#)
333 [performance between TanSat and OCO-2, such as the Instrument Respond Function and the Signal-to-Noise. The instrument](#)
334 [performance difference is represented by the different structural characteristics of the bias curves.](#) The bias correction
335 compensates for most of the bias caused by instrument performance; however, small biases could remain. Furthermore, the
336 hundreds of kilometers of distance between the OCO-2 and TanSat footprints, for example, over different vegetation regions,
337 will also cause some measurement discrepancies. [The global distribution of the two satellites was also compared with the](#)
338 [official OCO-2 SIF data on the global scale, the results show that the difference between the retrieved SIF maps and the](#)
339 [official map is less than \$0.2 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}\$, indicating that the retrieved SIF data from OCO-2 and TanSat both have good](#)
340 [SIF characterization capabilities on a global scale.](#) The uncertainty σ of each sounding was estimated to validate SIF
341 reliability and is provided in the product. σ is derived from the retrieval error covariance matrix, $S_e = (K^T S_0^{-1} K)^{-1}$, where K
342 is the Jacobian matrix from the forward model fitting and S_0 is the measurement error covariance matrix that is calculated
343 from the instrument spectrum noise. In general, σ ranges from 0.1 to $0.6 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$ for both TanSat and OCO-2
344 measurements in the 757 nm fitting window, which is of a similar magnitude and data range as those of previous studies (Du
345 et al., 2018; Frankenberg et al., 2014a). Meanwhile, the [standard error of the mean SIF in each grid](#), σ_{meas} , was estimated to
346 [represent the gridded retrieval error and natural variability, which is calculated](#) from TanSat SIF values with $\sigma_{meas} = \frac{\sigma_{std}}{\sqrt{n}}$
347 and $\sigma_{std} = \sqrt{\frac{\sum_{i=1}^n (SIF_i - \overline{SIF})^2}{n}}$, where σ_{std} represents the standard deviation of the grid cell with n soundings, SIF_i is the
348 retrieved SIF values of each sounding, and \overline{SIF} is the mean SIF value for all measurements in the grid. As depicted in the
349 right column of Figure 4, the σ_{meas} of each grid cell is much lower than the precision of a single sounding. The σ_{meas} for
350 South America is larger than that for any other region on the globe (Figure 4i-l). This is similar to that of OCO-2 SIF
351 retrieval and caused by fewer effective measurements due to the South Atlantic Anomaly (Sun et al., 2018). The difference
352 in SIF emission values between the two satellites indicates that the collaborative usage of two satellite SIF products still
353 requires analysis of the impact of instrument differences, although the two satellite SIF products share the same
354 spatiotemporal pattern on a global scale.

355 3.2 SIF Global Distribution and Temporal Variation

356 The SIF emission intensity reflects the growth status of vegetation due to its correlation with photosynthetic efficiency;
357 hence, the overall global vegetation status can be represented by global SIF maps for each season. TanSat SIF over a whole
358 year's cycle, from March 2017 to February 2018, is represented seasonally as a $1^\circ \times 1^\circ$ grid spatially. The seasonal variation

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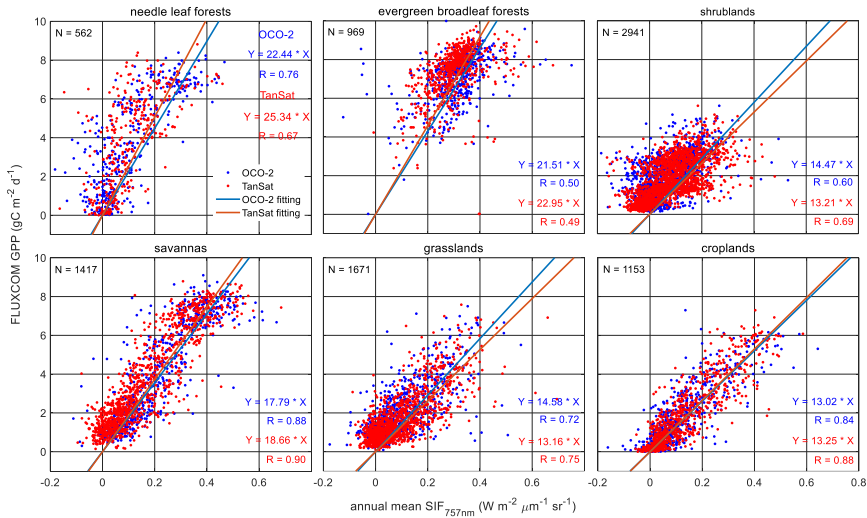
365 in SIF emission is clear in the Northern Hemisphere, i.e., it is enhanced from spring to summer and then decreases (Sun et al.,
366 2018).

367 In general, the SIF emission varied with latitude and the vegetation-covered areas near the equator maintained a continuous
368 SIF emission throughout the year. Large SIF emissions in the Northern Hemisphere, above $1.5 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$, mostly from
369 the eastern U.S., southeast of China, and southern Asia in summer, were due to the large areas of cropland. There was also
370 an obvious SIF emission of $1\text{-}1.2 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$ observed over Central Europe and northeastern China during the summer.
371 In these regions, croplands and deciduous forests contribute to SIF emissions. In the Southern Hemisphere, the strongest SIF
372 emission occurred in the Amazon, with a level of approximately $1\text{-}2 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$ in DJF (Northern Hemisphere winter),
373 where there is an evergreen broadleaf rainforest. Africa, which is covered by evergreen broadleaf rainforests and woody
374 savannas, had an average SIF value of $0.7\text{-}1.5 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$ during the year.

375 The SIF-GPP relationship over different vegetation types was also investigated by comparing the annual mean satellite SIF
376 measurements with the FLUXCOM GPP (Jung et al., 2020; Tramontana et al., 2016) dataset in a $1^\circ \times 1^\circ$ grid over the globe.
377 The FLUXCOM GPP dataset used in the study comprises monthly global gridded flux products with remote sensing and
378 meteorological/climate forcing (RS+METEO) setups, which are derived from mean seasonal cycles according to MODIS
379 data and daily meteorological information (Jung et al., 2020; Tramontana et al., 2016). The satellite-measured SIF is an
380 instantaneous emission signal that varies with incident solar radiance within the day. To reduce the differences caused by the
381 observation time and SZA at different latitudes, we applied a daily adjustment factor to convert the instantaneous SIF
382 emission into a daily mean SIF (Du et al., 2018; Frankenberg et al., 2011b; Sun et al., 2018). The daily adjustment factor d is
383 calculated as follows:

$$384 \quad d = \frac{\int_{t=t_0-12h}^{t=t_0+12h} \cos(SZA(t)) dt}{\cos(SZA(t_0))} \quad (5)$$

385 where t_0 is the observation time in fractional days and $SZA(t)$ is a function of latitude, longitude, and time for calculating
386 the SZA of the measurements. The annual averaged SIF is calculated from the daily mean SIF. To evaluate the relationship
387 between SIF and GPP on the periodic scale of vegetation growth status, annually-averaged data were used in the regression
388 fitting analysis.



389

390 **Figure 5: Relationship between annual mean SIF and FLUXCOM gross primary production (GPP) from March 2017 to February**
 391 **2018. Blue and red dots represent OCO-2 and TanSat SIF grids, respectively. Fitted lines and statistics for OCO-2 and TanSat are**
 392 **shown in each plot.**

393 Figure 5 shows the linear fits for six vegetation types, including needle leaf forest, evergreen broadleaf forest, shrubland,
 394 savanna, grassland, and cropland. Recent studies have shown a strong linear correlation between SIF and GPP. [The TanSat](#)
 395 [SIF and the OCO-2 official SIF data were used to estimation the SIF-GPP correlation.](#) To make a direct comparison of the
 396 relationship between SIF and GPP among various vegetation types, we used non-offset linear fitting to indicate the
 397 correlation between satellite SIF and FLUXCOM GPP. For savanna and cropland, there were strong relationships between
 398 the mean SIF and GPP with an R-value above 0.84. The fitting results show that the SIF products of the two satellites have
 399 similar capabilities in characterizing GPP, especially for the evergreen broadleaf forest, savanna, and cropland, with slopes
 400 of approximately 21, 18, and 13, respectively. [For shrubland and grassland, the slope of OCO-2 SIF with GPP is higher than](#)
 401 [that of TanSat and has a worse correlation. For forests, OCO-2 SIF present a better correlation with GPP, especially in the](#)
 402 [needle leaf forest.](#) The markedly different fitting slopes across various biomes suggest that the application of SIF in GPP
 403 estimation needs more detailed analysis although the evidence of the strong linear relationship between them.

404 4 Conclusions

405 In this paper, we introduced the retrieval algorithm IAPCAS/SIF and its application in TanSat and OCO-2 measurements.
 406 One-year (March 2017-February 2018) TanSat SIF data was introduced and compared with OCO-2 measurements in this

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410 study. The TanSat and OCO-2 SIF products based on the IAPCAS/SIF algorithm are available on the Cooperation on the
411 Analysis of carbon SAtellites data (CASA) website, www.chinageoss.org/tansat. Comparisons between TanSat and OCO-2
412 measurements directly, using a case study, and indirectly, with global $1^{\circ}\times 1^{\circ}$ grid data, showed consistency between the two
413 satellite missions, indicating that the coordinated usage of the two data products is possible in future studies. With increasing
414 satellites becoming available for SIF observations, space-based SIF observations have recently expanded in range to provide
415 broad spatiotemporal coverage. The next-generation Chinese carbon monitoring satellite (TanSat-2) is now in the
416 preliminary design phase, [which is designed to be a constellation of six satellites to measure different kinds of greenhouse](#)
417 [gases and trace gases in a more efficient way, including CO₂, CH₄, CO, NO_x, as well as SIF](#). SIF measurements from
418 TanSat-2 will provide global data products over broader coverage areas with less noise. The improvement in the
419 spatiotemporal resolution of SIF data will benefit GPP predictions based on the numerous studies of the linear relationship
420 between SIF and GPP. In future work, the measurement accuracy should be validated directly using ground-based
421 measurements to ensure data quality.

422 **Data availability**

423 The SIF products of TanSat and OCO-2 by IAPCAS/SIF algorithm are available on the Cooperation on the Analysis of
424 carbon SAtellites data (CASA) website (www.chinageoss.org/tansat).
425

426 **Author contributions**

427 L.Y. and D.Y. developed the retrieval algorithm, designed the study, and wrote the paper. Y.L. led the SIF data process and
428 analysis. Y.L., D.Y., Z.C., and J.W. contributed to manuscript organization and revision. C.L. and Y.Z. provided information
429 on the TanSat instrument performance. L.T. provided TanSat in-flight information. M.W. and S.W. provided information on
430 the scientific requirement for data further application. N.L. and D.L. led the TanSat data application. Z.Y. led the TanSat in-
431 flight operation.

432 **Competing interests**

433 The authors declare that they have no conflict of interest.

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437 Level-1B data and Level-2 SIF data products. The authors thank the FLUXCOM team for providing global GPP data. The
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439 the Landsat/Copernicus team for providing land surface images for Google Earth.

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