

# Deriving column-integrated thermospheric temperature with the N<sub>2</sub> Lyman–Birge–Hopfield (2,0) band

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**Abstract.** This paper presents a new technique to derive thermospheric temperature from space-based disk observations of far ultraviolet airglow. The technique, guided by findings from principal component analysis of synthetic daytime Lyman–Birge–Hopfield (LBH) disk emissions, uses a ratio of the emissions in two spectral channels that together span the LBH (2,0) band to determine the change in band shape with respect to a change in the rotational temperature of N<sub>2</sub>. The two-channel ratio approach limits representativeness and measurement error by only requiring measurement of the relative magnitudes between two spectral channels and not radiometrically calibrated intensities, simplifying the forward model from a full radiative transfer model to only a vibrational-rotational band model. It is shown that the derived temperature should be interpreted as a column-integrated property as opposed to a temperature at a specified altitude without utilization of a priori information of the thermospheric temperature profile. The two-channel ratio approach is demonstrated using NASA GOLD Level 1C disk emission data for the period of 2–8 November 2018 during which a moderate geomagnetic storm has occurred. Due to the lack of independent thermospheric temperature observations, the efficacy of the approach is validated through comparisons of the column-integrated temperature derived from GOLD Level 1C data with the GOLD Level 2 temperature product as well as temperatures from first principle and empirical models. The storm-time thermospheric response manifested in the column-integrated temperature is also shown to corroborate well with hemispherically integrated Joule heating rates, ESA SWARM mass density at 460 km, and GOLD Level 2 column O/N<sub>2</sub> ratio.

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## 1 Introduction

Remote sensing of Earth's far ultraviolet (FUV) airglow from space provides important insights into the energetics, dynamics, and composition of the upper atmosphere (Meier et al., 1991; Paxton et al., 2017). The N<sub>2</sub> Lyman-Birge-Hopfield (LBH) bands (~127–280 nm) are prominent daytime FUV airglow features that emanate from the lower to middle thermosphere (~120–200 km). Currently operating instruments measuring the LBH bands include the Thermosphere-Ionosphere-Mesosphere Energetics and Dynamics (TIMED) satellite's Global Ultraviolet Imager (GUVI) launched in 2001 (Christensen et al., 2003), the Defense Meteorological Satellite Program (DMSP) Special Sensor Ultraviolet Spectrographic Imager (SSUSI) launched in 2003 (Paxton et al., 2002), the Global-scale Observations of the Limb and Disk (GOLD) launched in 2018 (McClintock et al., 2020a), and the Ionospheric Connection Explorer's Far UltraViolet imaging spectrograph (FUV) launched in 2019 (Mende et al., 2017).

The utility of the LBH bands for probing thermospheric temperature was demonstrated by Aksnes et al. (2006) with limb observations by the Advanced Research and Global Observation Satellite's (ARGOS) High Resolution Ionospheric and Thermospheric Spectrograph (HITS) instrument. Eastes et al. (2008) subsequently

showed that disk observations of LBH bands could be used for global monitoring of thermospheric temperature. These authors fit LBH laboratory spectra to observed emissions using an optimal estimation routine with varying parameters such as the N<sub>2</sub> rotational temperature, population rates of each vibrational band, [the N I 149.3 nm line](#) emission intensity, O<sub>2</sub> photoabsorption, and background emission rates. GOLD became the first mission to provide a

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45 Level 2 data product of thermospheric temperature ( $T_{DISK}$ ) using LBH disk emissions between ~132–162 nm with a similar retrieval implementation (Eastes et al., 2017). Thermospheric temperatures have also been derived from TIMED GUVI observations (Zhang et al., 2019) using an intensity ratio between the (0,0) band and (1,0) band that the authors found to be quasi-linearly dependent on the N<sub>2</sub> rotational temperature. The authors attributed the temperatures to the altitude at the peak of the LBH contribution function (~155 km) based on radiative transfer

50 calculations.

This paper presents a new technique to derive thermospheric temperature from spectrographic measurements of FUV airglow. The technique, unlike in past work, uses the ratio of two spectral channels that span a single LBH band to determine the change in band shape with respect to a change in the rotational temperature of N<sub>2</sub>. Section 2 provides background and exploration of the LBH temperature signal with principal component

55 analysis (PCA) to motivate the new technique. Section 3 details the techniques implementation and provides a discussion on the error sources and a rationale behind our interpretation of the derived temperature as a column-integrated property that we refer to as column-integrated thermospheric temperature,  $T_{ci}$ . Section 4 presents the demonstrative results of applying the technique to GOLD Level 1C radiance data for the period of 2–8 November 2018; during which a [moderate](#) geomagnetic storm event has occurred. The derived thermospheric temperatures are

60 compared to GOLD Level 2 [version 3](#)  $T_{DISK}$  data product over the same period. Due to the lack of independent remotely sensed or in situ temperature measurements in the lower to middle thermosphere, the derived column-integrated temperatures are also compared to (1) synthetically generated column-integrated temperatures from model simulations by NOAA’s Whole Atmosphere Model (WAM) (Akmaev et al., 2011), (2) Naval Research Lab Mass Spectrometer and Incoherent Scatter Radar Extended (NRLMSISE-00) empirical model temperatures (Picone

65 et al., 2002), and (3) observations of other thermospheric states, including GOLD Level 2  $\Sigma O/N_2$  data product (Correia et al., 2018) and mass density by ESA’s SWARM constellation (Astafyeva et al., 2017), as well as, hemispherically integrated Joule heating rates estimated from SuperDARN and ground-based magnetometer data by using the Assimilative Mapping of Geospace Observations (AMGeO) (AMGeO Collaboration, 2019; Matsuo, 2020).

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## 70 2 Thermospheric Temperature Signal in LBH Emissions

The thermospheric temperature signal exists in the rotational structure of the N<sub>2</sub> LBH bands. In the case of N<sub>2</sub>, the rotational temperature is equivalent to the ambient neutral temperature (Aksnes et al., 2006). [To](#) motivate the new approach [for extracting](#) this signal from the N<sub>2</sub> LBH (2,0) band, this section presents results from PCA

75 performed on simulated LBH emissions. Synthetic LBH emission data are generated by forward modeling WAM simulation results for the period of 2–8 November 2018. WAM simulation experiments are executed with solar and geomagnetic forcing conditions specified according to the actual values of the F10.7, Kp, and hemispheric power

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indices, solar wind velocities and densities, and interplanetary magnetic fields. Section 2.1 discusses forward modeling of LBH emissions and Section 2.2 presents the PCA results.

## 85 2.1 Forward Modeling of LBH Emissions

The forward model used to produce synthetic LBH emissions is built with the Global Airglow Model (GLOW) and a radiative transfer model (Solomon, 2017). GLOW computes LBH volume emission rates as a function of altitude that are input into the radiative transfer model to produce line-of-sight emissions of the LBH band system. The most important component of the forward model for the purposes of deriving thermospheric  
 90 temperatures is the LBH vibrational-rotational band model (Budzien et al., 2001). The band model is a look-up table of laboratory spectra that specifies, for a given temperature, a unique spectrum for the upper vibrational states  $v'=0-9$  of  $N_2$ . In the current implementation of the forward model, the  $v'=0-9$  vibrational population rates are those provided in Ajello et al. (2020) that are based on GOLD observations and are held constant. The population rate  
 95 distribution can vary with the energy distribution of the electron flux in addition to variation in excitation sources other than direct excitation such as radiative cascade and collision-induced electronic transition (Ajello et al., 2020, Eastes et al., 2000a,b; Ajello et al., 1985). Ajello et al. (1985) states that excitation thresholding should be included in airglow models to accurately reproduce LBH band intensity. However, as discussed in the following section, absolute band intensity is not needed to extract the  $N_2$  rotational temperature.

## 100 2.2 PCA of Simulated LBH Emissions

PCA is a data reduction technique that is useful for identifying the dominant orthogonal modes of variability from data. PCA is applied here using eigenvalue decomposition of a sample covariance matrix,  $S_{\lambda\lambda}$ , of simulated LBH emissions,  $I_{LBH}^s$ , at wavelengths,  $\lambda$ , computed from aggregated data sets of simulated emissions of the LBH band system during 2–8 November 2018 for a total of  $N = 8.1 \times 10^4$  samples.

$$105 \quad S_{\lambda\lambda} = \frac{1}{N-1} \sum_{i=1}^N I_{LBH_i}^{s'} T I_{LBH_i}^{s'}$$

$$I_{LBH_i}^{s'} = I_{LBH_i}^s - \overline{I_{LBH}^s}$$

$\overline{I_{LBH}^s}$  is the mean LBH spectrum of the  $N$  samples. The useful results of PCA for this investigation are a set of eigenvectors (principal components),  $\mathbf{v}$ , that describe the mode of variability in the LBH band system, with associated eigenvalues,  $\sigma$ . Suppose that  $\mathbf{v}$  is an orthonormal set of spatiotemporally invariant basis and  
 110 spatiotemporal dependent coefficients,  $\mathbf{c}$ , represent the amplitude of the mode for each disk emission sample at a given time,  $t_i$ , and location,  $r_i$ , then  $I_{LBH_i}^{s'}$  can be expressed:

$$I_{LBH_i}^{s'}(\lambda, r_i, t_i) = c_1(r_i, t_i) \mathbf{v}_1(\lambda) + c_2(r_i, t_i) \mathbf{v}_2(\lambda) + \dots + c_n(r_i, t_i) \mathbf{v}_n(\lambda) + \mathbf{d}'(\lambda, r_i, t_i)$$

where  $\mathbf{d}'(\lambda, \mathbf{r}, t)$  is the residual after subtracting the mean and the sum of  $n$  weighted modes from  $\mathbf{I}_{LBH_i}^s$ . The total variance of  $\mathbf{c}$  matches  $\sigma^2$  for that mode.

115 Figure 1 shows the mean of simulated LBH radiance,  $\overline{I_{LBH}}$ , between 138–162 nm generated with a spectral  
pixel size of 0.04 nm and a spectral resolution of 0.19 nm full width at half maximum (FWHM). The first two  
120 leading modes of variability in the spectrum,  $\mathbf{v}_B$  and  $\mathbf{v}_T$ , scaled by their eigenvalues or total standard deviations,  $\sigma_B$   
and  $\sigma_T$ , are also shown. The leading mode  $\mathbf{v}_B$  is identified as the overall scaling of the LBH intensity. The value of  
 $\sigma_B^2$  suggests that this mode accounts for 98.3% of the total variability in the simulated LBH spectra. The second  
125 leading mode  $\mathbf{v}_T$  is identified as the temperature signal. According to the value of  $\sigma_T^2$  this secondary mode accounts  
for 1.6% of the total variability in the simulated LBH spectra. The correlation coefficient,  $R$ , between time-  
dependent coefficients for this temperature mode  $c_T$  and the simulated WAM temperatures at 155 km altitude over  
the course of 2–8 November 2018 is 0.71. Together these two principal components account for 99.9% of the  
variability in the simulated LBH spectra suggesting the LBH system is highly compressible.

125 Figure 2 focuses on the LBH (2,0) band identified in Fig. 1. Compared to the other LBH bands the (2,0)  
band is relatively bright and is isolated from the even brighter O emissions at 130.4 nm and 135.6 nm and the N  
emission at 149.3 nm (not shown in Fig. 1). The temperature signal in LBH emissions is apparent in the  
morphological shape of  $\mathbf{v}_T$  displayed in Fig. 2. As the rotational temperature,  $T_r$ , of  $N_2$  increases there is an effective  
skewing of the LBH (2,0) band to longer wavelengths. The close inspection of  $\mathbf{v}_T$  indicates that LBH (2,0) band  
130 emissions at wavelengths above 138.58 nm are positively correlated with temperature while those below are  
negatively correlated. Emissions at 138.58 nm are not affected by temperature variability so have zero amplitude in  
 $\mathbf{v}_T$ . This observation substantiates an approach of binning the LBH (2,0) band into two channels using 138.58 nm as  
a boundary to preserve how the temperature signal manifests in the LBH emission's morphological shape. Channel  
A is defined as the sum of all wavelengths negatively correlated with temperature ( $\sum_{\lambda=138.0}^{138.56} I_\lambda$ ), and channel B  
135 contains all wavelengths positively correlated with temperature ( $\sum_{\lambda=138.56}^{139.2} I_\lambda$ ). The two channel ratio, B/A, is a  
function of temperature. A similar two-channel ratio approach was adopted in Cantrall et al. (2019) for testing the  
feasibility of assimilating GOLD Level 1C data into the WAM but a justification of such an approach was not  
provided.

### 140 3 Determination of Column-integrated Temperature from the LBH (2,0) Band

This section details the derivation of column-integrated thermospheric temperature,  $T_{ci}$ , from the  $N_2$  rotational  
structure observed in top-of-atmosphere LBH emissions using the ratio of two channels that together span the LBH  
(2,0) band as motivated in Section 2. Section 3.1 explains the step-by-step procedure, followed by a discussion on  
potential error sources of  $T_{ci}$  in Section 3.2 and analysis in Section 3.3 that supports the interpretation of  $T_{ci}$  as a  
145 column-integrated temperature rather than a temperature attributed to a specific altitude.

#### 3.1 Procedure

The procedure to determine  $T_{ci}$  using the two-channel ratio consists of four steps as follows:

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1. Generate a set of synthetic LBH (2,0) bands at the instrument's spectral pixel size for a range of temperature using the vibrational-rotational band model (Budzien et al., 2001).
2. Apply an instrument model on each synthetic band to account for the instrument's spectral resolution and spectral registration.
3. Bin each band into channels A and B and fit the ratio, B/A, to temperature by least-squares.
4. Compute the ratio, B/A, from the observed LBH (2,0) band and determine  $T_{ci}$  by regressing the observed ratio on the predetermined relationship between the B/A ratio and temperature.

The two-channel ratio has a number of benefits, most importantly, it can limit the impact of the following uncertainties: (1) uncertainty associated with LBH excitation and extinction processes that affect the absolute intensity of each band, and (2) uncertainty associated with instrument performance variations across the LBH band system. This technique to derive  $T_{ci}$  only requires measurement of the relative magnitudes between two spectral channels (~0.5 nm pixel size) and a vibrational-rotational band model to map temperature to measurements. Measurement of a fully resolved, radiometrically calibrated LBH band system is not required nor is a forward model to produce absolute LBH intensity.

### 3.2 Sources of Error

There are two categories of error associated with determining physical parameters from observations: measurement error and representativeness error. Measurement error is the error associated with the measuring device while representativeness error is the difference between the observation and the physical model's representation of the observation (Rodgers, 2000). There are two dominant sources of systematic measurement error in  $T_{ci}$  stemming from variations in the instrument's spectral registration and resolution. Figure 3 shows the error in  $T_{ci}$  as a function of the error in the modeled spectral registration and the error in the spectral resolution. It is apparent in Fig. 3 that a significant temperature error of about 50 K (5–10%) can occur if the errors exceed a hundredth of a nanometer level for the spectral registration and a tenth of a nanometer level for spectral resolution. A discussion on mitigating these two sources of systematic measurement error when deriving  $T_{ci}$  from GOLD data is provided in Section 4.1.

The predominant source of random measurement error that determines the precision in  $T_{ci}$  is shot noise. The  $T_{ci}$  random measurement error due to shot noise is quantified using Monte Carlo samples of simulated  $T_{ci}$  derivations considering the instrument performance (McClintock et al., 2020a,b). Particle background counts is at times an additional random noise source. For the case study with GOLD data, the particle backgrounds were low as indicated by the "High\_Background" flag in the Level 1C data and therefore this error source is not considered. The statistics of background counts and the associated temperature errors should be quantified for the general application of this technique to any period.

Sources of representativeness error in deriving  $T_{ci}$  are those that cause relative differences in the channel intensity other than temperature that are not captured in the vibrational-rotational band model. Photoabsorption by  $O_2$  is one source to consider. There is only a 1.5% difference in the mean  $O_2$  absorption cross section between the two channels that corresponds to a negligible difference in transmittance along the line-of-sight considering the  $O_2$

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absorption cross section variation with temperature. Another source of representativeness error associated with the (2,0) band is due to the overlap of the bright (2,0) transition and the weak (5,2) transition. Inaccurate specification of the  $v'=2$  and  $v'=5$  vibrational population rates cause a slight change in shape of the band with respect to the observations that could be interpreted as a change in the rotational temperature. Figure 8 in Ajello et al. (2020) provides the  $v'=0-6$  population rates and their uncertainties. These uncertainties are used to determine the associated error in the derived temperatures using the (2,0) band due to inaccurate specification of the  $v'=2$  and  $v'=5$  population rates. It is important to note that this representativeness error does not exist if the (1,1) or (2,3) bands are used in the derivation instead of the (2,0) band because the (1,1) and (2,3) bands are isolated from other LBH bands. However, these bands are also much weaker and suffer from significantly larger random error due to shot noise. Figure 4 shows the total random measurement error and representativeness error in  $T_{ci}$  using the (2,0) band. The representativeness error is a function of temperature and can range from 15 K at  $T_{ci} = 400$  K to 48 K at  $T_{ci} = 1200$  K. Random measurement error from shot noise is a function of the (2,0) band intensity with values of 20 and 50 K for a photon counts of 2500 and 500, respectively.

### 230 3.3 Interpretation of Column-integrated Temperature

Interpretation of column-integrated temperature,  $T_{ci}$ , is addressed using synthetic LBH disk emission observations generated by forward modeling WAM simulation results. The column-integrated temperature computed from synthetic observations is hereafter denoted as  $T_{ci}^s$  to contrast to  $T_{ci}^G$  computed from GOLD LBH disk emission data that is introduced later. To examine if  $T_{ci}^s$  can be attributed to a certain pressure we compare the WAM pressure level with the temperature that most closely matches  $T_{ci}^s$ , denoted as  $p_{T_{ci}^s}$ , to the pressure level at the peak of the LBH contribution function,  $p_{\tau=1}$ , where the LBH optical depth,  $\tau$ , is unity.  $p_{T_{ci}^s}$  and  $p_{\tau=1}$  are computed over the entire simulation period of 2–8 November 2018.

The LBH contribution function peak,  $p_{\tau=1}$ , changes with solar zenith angle (SZA) and observing zenith angle (OZA) as shown in Fig. 5.  $p_{\tau=1}$  decreases in pressure (increases in altitude) for increases in SZA and OZA with a stronger dependence on SZA. Removing the OZA dependence, Fig. 6 shows there is a clear difference in  $p_{\tau=1}$  and  $p_{T_{ci}^s}$  in their respective dependences on SZA ( $p_{T_{ci}^s}$  ranges  $3 \times 10^{-5} - 5 \times 10^{-5}$  hPa and  $p_{\tau=1}$  ranges  $2 \times 10^{-5} - 5.5 \times 10^{-5}$  when SZA ranges  $5^\circ-70^\circ$ ). The weaker SZA dependence of  $p_{T_{ci}^s}$  can be explained by the FWHM of the contribution function that spans  $\sim 60$  km at low SZA and  $\sim 90$  km for high SZA (Laskar et al., 2020). The contribution function acts as an averaging kernel for temperature over these large vertical widths that tends to reduce the SZA effect. The net result is derived temperatures that are generally hotter than temperatures at  $p_{\tau=1}$  ( $p_{T_{ci}^s} < p_{\tau=1}$ ) for low SZA and temperatures that are generally cooler than temperatures at  $p_{\tau=1}$  ( $p_{T_{ci}^s} > p_{\tau=1}$ ) for high SZA. Figure 6 also shows variability in  $p_{T_{ci}^s}$  (up to  $1.5 \times 10^{-5}$  hPa or  $\sim 10$  km for the simulation conditions) at a given SZA that reflects considerable variability in the vertical temperature structure within the width of the contribution function given varying forcing conditions.

Figure 6 reinforces that  $T_{ci}$  derived from the procedural steps specified in Section 3.1 is a column-integrated quantity, containing information from a larger altitude range of the lower-middle thermosphere than just

at  $p_{\tau=1}$ . Perhaps,  $T_{ci}$  can be justified to be attributed to  $p_{\tau=1}$  when measurement and representativeness errors exceed the gap between  $T_{ci}$  and the temperatures at  $p_{\tau=1}$  at a given SZA and OZA. In general, specific pressure or altitude attribution of  $T_{ci}$  requires additional a priori knowledge of the thermospheric temperature profile.

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#### 4 Case Study

The two-channel ratio approach to derive the column-integrated temperature is demonstrated using NASA GOLD Level 1C disk FUV emission data,  $T_{ci}^G$ , for the period of 2–8 November 2018 during which a moderate geomagnetic storm ( $Kp = 5.8$ ,  $Dst = -55$  nT) has occurred. Due to the lack of independent thermospheric temperature observations, the efficacy of this approach is validated through comparisons with GOLD Level 2 version 3 temperature product ( $T_{DISK}$ ).  $T_{ci}^G$  and  $T_{DISK}$  are equivalent variables only differing in their approach.  $T_{ci}^G$  is also compared to two-channel column-integrated temperatures computed from the synthetic observations by forward modeling the WAM simulations ( $T_{ci}^S$ ) as described in Section 2, along with two-channel column-integrated temperatures computed from synthetic observations by forward modeling NRLMSISE-00 ( $T_{MSIS}$ ). The approach is further corroborated through comparisons of the storm-time changes of  $T_{ci}^G$  to hemispherically integrated Joule heating rates ( $Q_{JH}$ ) estimated from SuperDARN and ground-based magnetometer data using AMGeO, ESA SWARM mass density measurements at 460 km ( $\rho_{SWARM}^{460 km}$ ) based on calculation from precise orbit determinations using the Global Positioning System receivers on the spacecraft, and GOLD Level 2 version 3  $\Sigma O/N_2$  product ( $\Sigma O/N_2^G$ ). Section 4.1 provides a description of the GOLD LBH Level 1C disk emission data used in the  $T_{ci}^G$  derivation, Section 4.2 presents results comparing  $T_{ci}^G$  with  $T_{DISK}$ ,  $T_{MSIS}$ , and  $T_{ci}^S$ , and Section 4.3 presents results comparing the storm time response of  $T_{ci}^G$  with  $Q_{JH}$ ,  $\rho_{SWARM}^{460 km}$ , and  $\Sigma O/N_2^G$ . Table 1 defines each of the variable symbols introduced above.

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#### 4.1 GOLD LBH Disk Emission Data

GOLD observes the daytime FUV airglow from ~134–162 nm on Earth's disk between 6 and 23 UT from geostationary orbit at 47.5°W longitude (Eastes, 2020). GOLD produces a full disk image every ~30 minutes at a spatial resolution of 125×125 km by alternating between scans of the Northern and Southern hemisphere. The GOLD Level 1C radiance data with a spectral sampling of 0.04 nm are used to derive  $T_{ci}^G$  in this study. The GOLD Level 1C data is spatially binned by 2×2 (250×250 km spatial resolution) to improve the SNR by a factor of 2. Prior to deriving  $T_{ci}^G$ , efforts were made to reduce the impact of systematic biases that are present in version 3 of the GOLD Level 1C data product. Variations in spectral resolution along the GOLD detector are identified with the FWHM of the OI 135.6 doublet through fitting a 2-gaussian distribution. Variations in the spectral registration are identified by differencing the modeled peak wavelength given the fitted OI 135.6 doublet FWHM by the peak wavelength determined by fitting a log-normal distribution to the (2,0) band. Note that the degradation of the detector due to the strength of the OI 135.6 doublet can cause errors in the spectral resolution estimate, but significant degradation had not occurred by 2–8 November 2018. Corrections for spectral registration and resolution are incorporated into Step 2 of the  $T_{ci}$  algorithm (see Section 3).

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#### 4.2 Comparing $T_{ci}^G$ to $T_{DISK}$ , $T_{MSIS}$ , and $T_{ci}^S$

Figure 7 displays  $T_{ci}^G$  along with  $T_{DISK}$ ,  $T_{MSIS}$ , and  $T_{ci}^S$  over Earth's disk viewed by GOLD for a five day window from 3–7 November 2018 at 15 UT, noon LT at the center of the disk (47.5°W, 0°N). A moderate geomagnetic storm has commenced the evening of 4 November and lasted through 5 November (Gan et al., 2020). Figure 8 which shows the mean bias difference (MBD) of  $T_{ci}^G$  from  $T_{DISK}$ ,  $T_{MSIS}$ , and  $T_{ci}^S$  as a function of longitude (considering latitudes between  $\pm 10^\circ$ ) and latitude (considering all longitudes viewed by GOLD) for 2–8 November 2018 at 15 UT. During this period the temperatures derived from observations (i.e.,  $T_{ci}^G$  and  $T_{DISK}$ ) exhibit globally similar temperature amplitudes and display a similar morphological temperature response to geomagnetic activity over the disk. Note that there is slight banding near the equator in  $T_{ci}^G$  and  $T_{DISK}$  where the southern and northern hemisphere scans meet that is likely due to systematic errors at the top and bottom edge of the detector that were not completely corrected.  $T_{ci}^G$  and  $T_{DISK}$  show strong agreement near the center of the disk with an MBD less than 15 K (1-3%) increasing to a maximum of ~40 K (4-8%) near the disk edge. The slope of  $T_{ci}^G - T_{DISK}$  with respect to latitude and longitude indicates  $T_{ci}^G$  has a stronger south-north and west-east temperature gradient than  $T_{DISK}$ . There is also agreement in the temperature morphology over the disk between  $T_{ci}^G$  and  $T_{ci}^S$  prior to the storm, but the storm-time response simulated by WAM, as manifest in  $T_{ci}^S$ , shows considerably higher temperatures in the mid- and high-latitudes and a longer post-storm recovery time in comparison to  $T_{ci}^G$  and  $T_{DISK}$ .  $T_{ci}^G - T_{DISK}$  displays a similar west-east slope to  $T_{ci}^G - T_{DISK}$  except for the region just west of the sub-solar point ( $-80^\circ$ – $-50^\circ$  longitude) where  $T_{ci}^S$  is ~25 K cooler than  $T_{ci}^G$ .  $T_{MSIS}$  and  $T_{ci}^G$  show agreement in the temperature morphology over the disk but  $T_{MSIS}$  displays cooler temperatures, particularly just west of the subsolar point at low- and mid- latitudes (up to 60 K), and a stronger west-east temperature gradient.

The  $T_{ci}^G$  and  $T_{DISK}$  comparison is expanded in Fig. 9 to include all times in the range 7–22 UT for the period of 2–8 November 2018. Figure 9 shows that  $T_{ci}^G$  and  $T_{DISK}$  have different behavior with the viewing conditions determined by SZA and OZA.  $T_{ci}^G$  increases with both SZA and OZA with a stronger trend for SZA.  $T_{DISK}$  increases with OZA but remains relatively uniform with SZA even decreasing slightly for  $SZA > 25^\circ$ . There are two likely explanations for the dependence of the derived temperatures on viewing conditions: (1) The derived temperatures reflect real temperature changes with viewing conditions because of the contribution function peaking at different pressures (Fig. 5). (2) The derived temperatures reflect temperature biases with viewing conditions because of changes in the LBH emission intensity. Intensity decreases with increasing SZA due to reduced LBH excitation but increases with increasing OZA due to a larger airmass along the line-of-sight. To test which explanation best describes the dependence of  $T_{ci}^G$  and  $T_{DISK}$  on viewing conditions, Fig. 9 is correlated to the pressure at the peak of the LBH contribution function,  $p_{\tau=1}$ , (Fig. 5) and to the mean LBH intensity measured by GOLD over the same period as a function of SZA and OZA.  $T_{DISK}$  is weakly correlated ( $R = -0.15$ ) with  $p_{\tau=1}$  and strongly correlated ( $R = 0.72$ ) with LBH intensity. In contrast,  $T_{ci}^G$  is strongly correlated ( $R = -0.86$ ) with  $p_{\tau=1}$  and weak-moderately correlated ( $R = -0.32$ ) with LBH intensity. The stronger correlation between  $T_{ci}^G$  and  $p_{\tau=1}$  compared to  $T_{DISK}$  and  $p_{\tau=1}$  and weaker correlation between  $T_{ci}^G$  and LBH intensity compared to  $T_{DISK}$  and LBH intensity over this analysis

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Deleted: while  $T_{MSIS}$  and  $T_{ci}^S$  exhibit globally cooler and warmer temperatures to these observations, respectively. On first inspection,  $T_{ci}^G$  and  $T_{DISK}$  show general agreement in the temperature amplitudes and in the morphological temperature response to geomagnetic activity over the disk. Note that there is slight banding near the equator in  $T_{ci}^G$  and  $T_{DISK}$  where the southern and northern hemisphere scans meet that is likely due to systematic errors at the top and bottom edge of the detector that were not completely corrected.  $T_{ci}^G$  and  $T_{MSIS}$  show some agreement in the temperature morphology over the disk but  $T_{MSIS}$  displays cooler temperatures particularly at low SZA and OZA where the emissions originate deeper in the thermosphere. There is agreement in the temperature morphology over the disk between  $T_{ci}^G$  and  $T_{ci}^S$  prior to the storm, but the storm-time response simulated by WAM, as manifest in  $T_{ci}^S$ , shows considerably higher temperatures in the mid- and high-latitudes and a longer post-storm recovery time in comparison to  $T_{ci}^G$  and  $T_{DISK}$ .

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Figure 8 shows the mean bias difference (MBD) of  $T_{ci}^G$  from  $T_{DISK}$ ,  $T_{MSIS}$ , and  $T_{ci}^S$  as a function of longitude (considering latitudes between  $\pm 10^\circ$ ) and latitude (considering all longitudes viewed by GOLD) for 2–8 November 2018 at 15 UT. During this period the temperatures derived from observations (i.e.,  $T_{ci}^G$  and  $T_{DISK}$ ) exhibit globally similar temperatures while  $T_{MSIS}$  and  $T_{ci}^S$  exhibit globally cooler and warmer temperatures to these observations, respectively. The overall good agreement between  $T_{ci}^G$  and  $T_{DISK}$  relative to  $T_{MSIS}$  and  $T_{ci}^S$  is again apparent.  $T_{ci}^G$  and  $T_{DISK}$  show strong agreement near the center of the disk with an MBD less than 15 K (1-3%) increasing to a maximum of ~40 K (4-8%) near the disk edge. The slope of  $T_{ci}^G - T_{DISK}$  with respect to latitude and longitude indicates  $T_{ci}^G$  has a stronger south and west-east temperature gradient than  $T_{DISK}$ .  $T_{ci}^G - T_{DISK}$  displays a similar west-east slope to  $T_{ci}^G - T_{DISK}$  except for the region just west of the sub-solar point ( $-80^\circ$ – $-50^\circ$  longitude) where  $T_{ci}^S$  is ~25 K cooler than  $T_{ci}^G$ .

Moved up [1]: During this period the temperatures derived from observations (i.e.,  $T_{ci}^G$  and  $T_{DISK}$ ) exhibit globally similar temperatures while  $T_{MSIS}$  and  $T_{ci}^S$  exhibit globally cooler and warmer temperatures to these observations, respectively.

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period is suggestive that  $T_{ci}^G$  is more sensitive to real temperature changes as the probed pressures change with viewing conditions and less susceptible to biases due to a change in LBH intensity with viewing conditions. This is attributed to the fact that  $T_{ci}^G$  derivation does not require measurement of a fully resolved, radiometrically calibrated LBH band system nor a forward model to produce absolute LBH intensity. There is likely still biases in  $T_{ci}^G$  with LBH intensity as indicated by the weak-moderate correlation ( $R_{-} = -0.32$ ), particularly at low intensities (high SZA) where shot noise can lead to positive biases up to 15 K in the two-channel ratio approach.

#### 4.3 Storm Time Response

Figure 10 displays the response to the geomagnetic storm in  $T_{ci}^G$ ,  $Q_{JH}$ ,  $\rho_{SWARM}^{460 km}$ , and  $\Sigma O/N_2^G$ .  $T_{ci}^G$ ,  $\rho_{SWARM}^{460 km}$ , and  $\Sigma O/N_2^G$  are shown as percent differences from the quiet-time conditions on 2 November 2018. The global temporal evolution of these variables is in good agreement with each other and consistent with known storm time responses of thermospheric variables (e.g., Fuller–Rowell et al., 1994). A rise of magnetospheric energy influx as suggested by  $Q_{JH}$  leads to increased temperatures and upwelling of heavy molecular rich air in the high- and mid-latitudes as indicated by depletions of  $\Sigma O/N_2^G$  ( $-40\%$  near  $50^\circ$  latitude and  $-20\%$  near  $-50^\circ$  latitude) and enhancements of  $T_{ci}^G$  ( $\sim 20\%$  near  $\pm 50^\circ$  latitude) and  $\rho_{SWARM}^{460 km}$  ( $\sim 250\%$  near  $\pm 50^\circ$  latitude). Enhancements of  $\Sigma O/N_2^G$  ( $20\text{--}30\%$  near  $30^\circ$  latitude) in the low-latitudes suggests a subsequent development of downwelling following the pole to equator global circulation in response to the storm-time Joule heating rise. Global thermospheric expansion is also apparent on 5 November as suggested by an increase of  $T_{ci}^G$  and  $\rho_{SWARM}^{460 km}$  over all latitudes. Note that the first detection of the temperature change was on the evening of 4 November when Joule heating rates have started to increase but are still relatively low ( $< 50$  GW). The post-storm recovery times are also in good agreement and appear to be on the order of 2–3 days.

#### 5 Conclusions

A new technique to derive thermospheric temperature from space-based disk observations of FUV airglow is presented. The technique uses a ratio of the emissions in two spectral channels that together span the Lyman–Birge–Hopfield (LBH) (2,0) band to determine the change in band shape with respect to a change in the rotational temperature of  $N_2$ . While this study focused on the LBH (2,0) band to derive thermospheric temperature, the described technique can be applied to any LBH band or combination of bands. The derived temperature from this technique is shown to be a column-integrated property referred to as column-integrated thermospheric temperature,  $T_{ci}$ .  $T_{ci}$  should not be attributed to the peak of the LBH contribution function without consideration of the viewing conditions and  $T_{ci}$  derivation uncertainty. The definition of column-integrated thermospheric temperatures and other parameters used for comparison in the paper is given in Table 1. Specific findings of this work are as follows.

The LBH spectrum quantified with PCA of synthetic daytime LBH disk emission data is found to be highly compressible (two principal components explain 99.9% of the variability). Analysis of the secondary principal component mode, that characterizes how the LBH temperature signal manifests as the change in band shape, substantiates the approach to bin a LBH spectral band into two channels such that the temperature-induced band

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430 shape change is best preserved. The study has shown that thermospheric temperatures can be derived from an  
observed two-channel ratio by using a precomputed relationship of the ratio to temperature from an LBH  
435 vibrational-rotational band model. In this two-channel ratio approach, representativeness errors originating from  
forward modeling are reduced because radiometrically calibrated LBH band intensities are not required in the  
derivation procedure, and negative impact of systematic measurement errors, stemming from variations across the  
band system in the instrument's spectral registration and resolution, are reduced because a fully resolved LBH band  
system is not required.

The derived temperature from the two-channel approach can have significant systematic biases of about 50  
K (5–10%) if the spectral registration and resolution are not known to the hundredth of a nanometer level and tenth  
of a nanometer level, respectively, as shown in Fig. 3. In addition to these known sources of systematic biases, there  
is intrinsic random error in  $T_{ci}$  due primarily to shot noise and representativeness error due to misspecification of the  
440  $v^{\prime}=2$  and  $v^{\prime}=5$  population rates in the vibrational-rotational band model. Random measurement error is estimated to  
be 20–~~60~~ K (3–~~9%~~) and representativeness error is estimated to be 15–30 K (2–5%) for the case study with GOLD  
L1C data.

~~F~~or the period of 2–8 November 2018 during which a moderate geomagnetic storm has occurred, the  
temperatures derived from observations (i.e.,  $T_{ci}^G$  and  $T_{DISK}$ ) exhibit globally similar temperatures.  $T_{ci}^S$  is in good  
445 agreement with  $T_{ci}^G$  and  $T_{DISK}$  at low latitudes but exhibits considerably higher temperatures at mid- and high-  
latitudes during the storm response.  $T_{MSIS}$  exhibits globally cooler temperatures to the observations. However, there  
are clear differences between  $T_{ci}^G$  and  $T_{DISK}$  with respect to viewing conditions. There is stronger correlation between  
 $T_{ci}^G$  and  $p_{\tau=1}$  ( $R=-0.86$ ) compared to  $T_{DISK}$  and  $p_{\tau=1}$  ( $R=-0.14$ ) and weaker correlation between  $T_{ci}^G$  and LBH intensity  
450 ( $R=-0.32$ ) compared to  $T_{DISK}$  and LBH intensity ( $R=0.72$ ) over the analysis period. These differences highlight a  
potential benefit of the two-channel ratio approach to reduce representativeness error by measurement of the relative  
intensities between two channels that only requires a vibrational-rotational band model for the forward model  
instead of a full radiative transfer model. The temporal evolution of global  $T_{ci}$  corroborates well with temporal  
changes of hemispherically integrated Joule heating rates  $Q_{JH}$ , SWARM mass density at 460 km  $\rho_{SWARM}^{460 km}$ , and  
GOLD  $\Sigma O/N_2^G$ , which is consistent with known storm time responses of thermospheric variables.

#### 455 Data Availability

The lookup table for the two-channel ratio versus  $N_2$  rotational temperature considering the GOLD spectral  
registration and resolution variation along the detector used to derive column-integrated temperatures and the  
resulting column-integrated temperatures for the period of November 2–8 2018 presented in this paper are available  
460 at <https://doi.org/10.17605/OSF.IO/KHNQ7>. GOLD L1C and L2 data can be accessed at the GOLD Science Data  
Center (<http://gold.cs.ucf.edu/search/>) and at NASA's Space Physics Data Facility (<https://spdf.gsfc.nasa.gov>). The  
code for NOAA's WAM model is available at <https://github.com/NOAA-SWPC/WAM>. The code for the  
NRLMSISE-00 neutral atmosphere model is available from the NASA CCMC, at  
<ftp://hanna.ccmc.gsfc.nasa.gov/pub/modelweb/atmospheric/msis/nrlmsise00/>. The Python interface for the  
465 NRLMSISE-00 neutral atmosphere model is available at <https://github.com/st-bender/pynrlmsise00>. Near-Earth

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475 solar wind data is provided by the Goddard Space Flight Center Space Physics Data Facility and is available at  
<https://omniweb.gsfc.nasa.gov/>. The density measurements (L2 DNSxPOD data product) from Swarm can be  
obtained through the web site at <https://earth.esa.int/web/guest/swarm/data-access> upon registration. AMGeO is an  
open source software available from <https://amgeo.colorado.edu> upon registration. SuperMAG ground  
magnetometer data is available at <https://supermag.jhuapl.edu/>. SuperDarn radar data is available at  
480 <http://vt.superdam.org>.

#### **Author Contribution**

CC developed the presented technique and performed the analyses. TM contributed AMGeO, determined the  
validation approach and provided interpretation of the analyses. CC and TM prepared the manuscript.

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#### **Competing Interests**

The authors declare that they have no conflict of interest.

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**Tables and Figures**

$T_{ci}^G$	Column-integrated thermospheric temperature derived from GOLD LIC disk data
$T_{ci}^S$	Column-integrated thermospheric temperature derived from simulated disk data <a href="#">using WAM</a>
$T_{DISK}$	GOLD Level 2 version 2 thermosphere temperature product
$T_{MSIS}$	<del>Column-integrated thermospheric temperature derived from simulated disk data using NRLMSISE-00</del>
$Q_{JH}$	AMGeO hemispherically integrated Joule heating rate
$\rho_{SWARM}^{460 km}$	SWARM A mass density at 460 km
$\Sigma O/N_2^G$	GOLD Level 2 version 2 column O/N <sub>2</sub> ratio

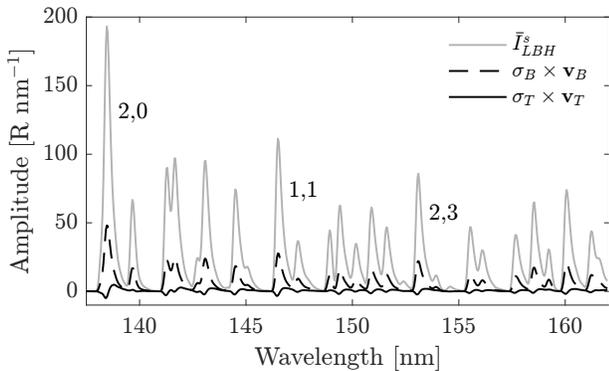
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**Table 1: Definitions for variables**

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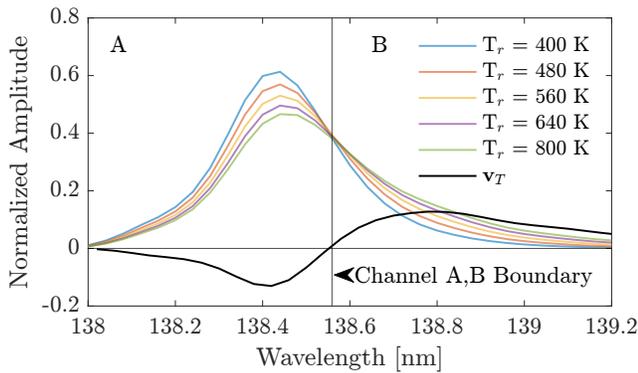


**Figure 1: Simulated top-of-atmosphere mean LBH emissions (gray),  $\overline{T_{LBH}^S}$ , and the principal components associated with overall brightening of emissions (dashed black),  $v_B$ , and the temperature signal (solid black),**

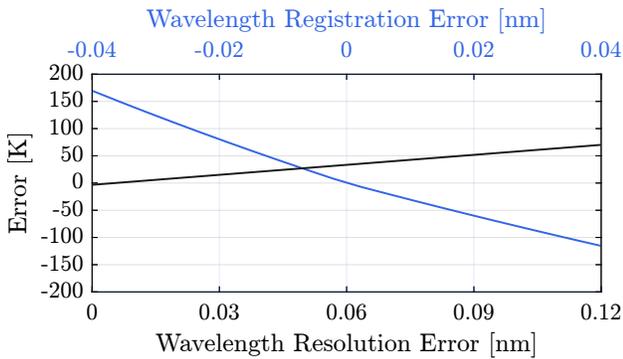
645  $v_T$ , scaled by their respective eigenvalues,  $\sigma_B$  and  $\sigma_T$ . These two principal components account for 99.9% of  
 the variability about the mean for the period of 2–8 November 2018. The LBH emissions are generated with a  
 spectral pixel size of 0.04 nm and a spectral resolution of 0.19 nm FWHM.

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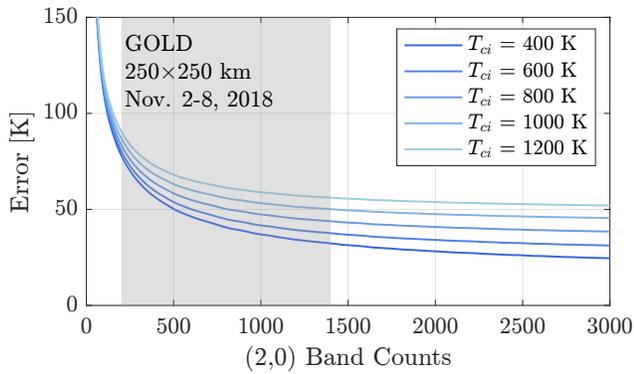


655 **Figure 2:** The second principal component (black line),  $v_T$ , over the LBH (2,0) band and the normalized  
 amplitude of the LBH (2,0) band at five  $N_2$  rotational temperatures,  $T_r$ . Emissions at 138.56 nm, where  $v_T$   
 changes the sign, are independent of temperature, and provide a boundary to split the (2,0) band into  
 channels A and B.

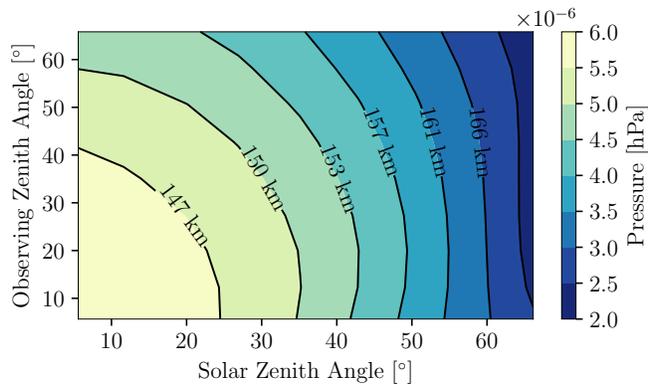


660 **Figure 3:** Expected bias errors in  $T_{ct}$  as a function of the two dominant known sources systematic  
 measurement errors: the instrument's spectral registration (blue) and variations in the spectral resolution  
 (black).

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670 **Figure 4: Total  $T_{ci}$  random measurement error (not including particle noise) and representativeness error for the (2,0) band. The range of (2,0) band counts for GOLD data ( $250 \times 250$  km resolution at nadir) used in the case study in Section 4 is highlighted by the grey box.**



675 **Figure 5: Pressure at the peak of the LBH contribution function,  $p_{\tau=1}$ , as a function of SZA and OZA determined from forward modeling WAM simulations for the period of 2-8 November 2018 considering realistic forcing conditions. LBH emissions are on constant pressure level surfaces given the solar and observing zenith angles. Approximate corresponding altitudes in the WAM simulations are also provided but note that these altitudes will vary depending on the forcing conditions.**

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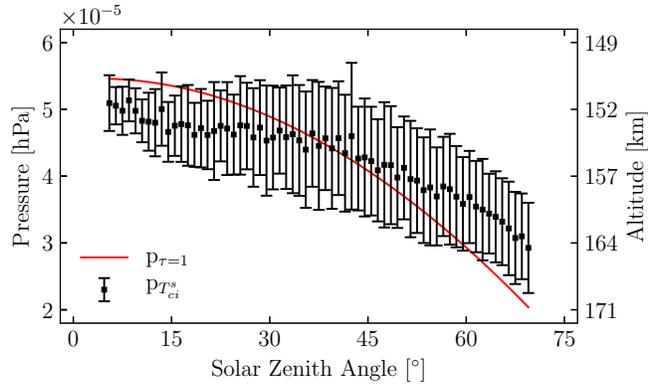


Figure 6: The mean and standard deviation of the pressure for the simulated WAM temperature that is closest to  $T_{ci}^s$ ,  $p_{T_{ci}^s}$ , as a function of SZA averaged over all OZA for the simulation period of 2–8 November 2018 (black). The peak of the LBH contribution function,  $p_{\tau=1}$ , is shown as a function of SZA based on forward modeling of LBH disk emissions using the same WAM simulation (red). This peak is constant with respect to pressure level for a given SZA and OZA.

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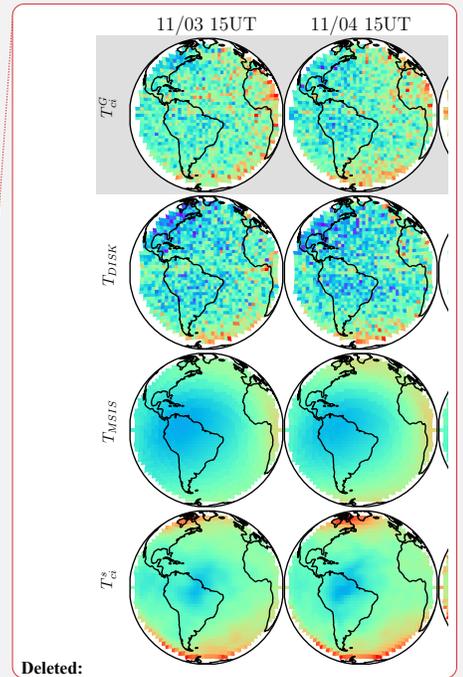
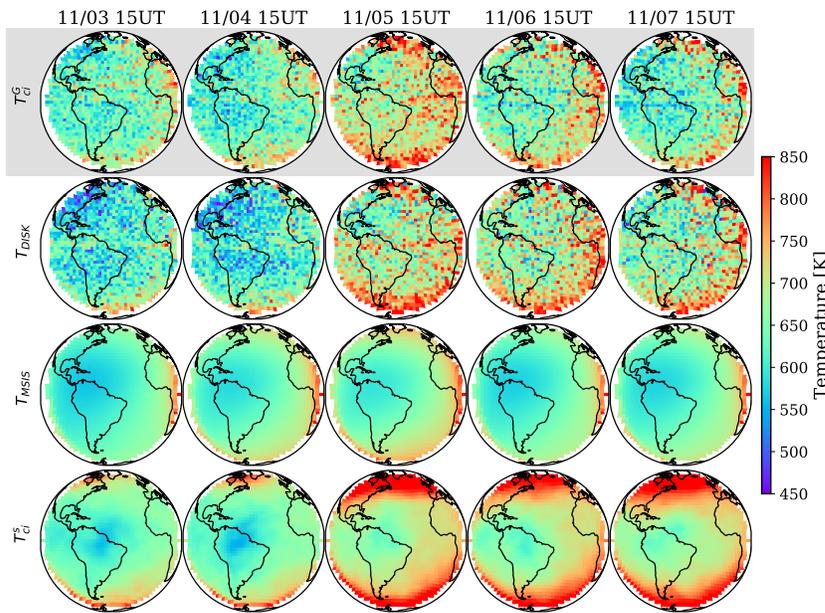
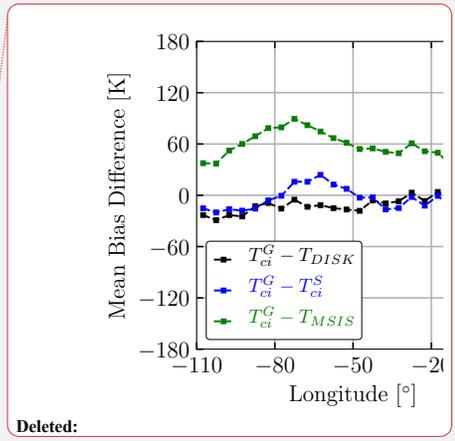
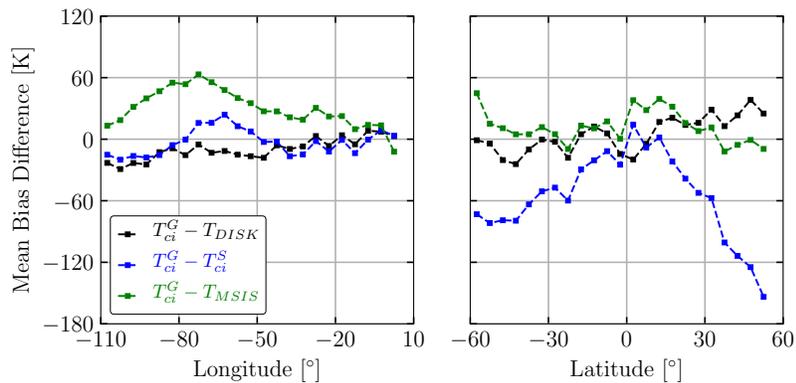


Figure 7: Comparison of  $T_{cl}^G$  with  $T_{DISK}$ ,  $T_{MSIS}$ , and  $T_{cl}^S$  over Earth's disk viewed by GOLD for a five-day window from 3-7 November 2018 at about 15 UT, noon LT at the center of the disk ( $47.5^\circ W$ ,  $0^\circ N$ ). A

**moderate** geomagnetic storm ( $K_p = 5.8$ ,  $Dst = -55$  nT) has commenced the evening of 4 November and lasted through 5 November.

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Figure 8: Mean bias difference (MBD) of  $T_{ci}^G$  from  $T_{DISK}$ ,  $T_{MSIS}$ , and  $T_{ci}^S$  for 5° bins as a function of longitude (left) and latitude (right) during 2–8 November 2018 at 15 UT. All longitudes viewed by GOLD are considered when computing MBD as a function of latitude and only equatorial latitudes between  $\pm 10^\circ$  are considered when computing MBD as a function of longitude.

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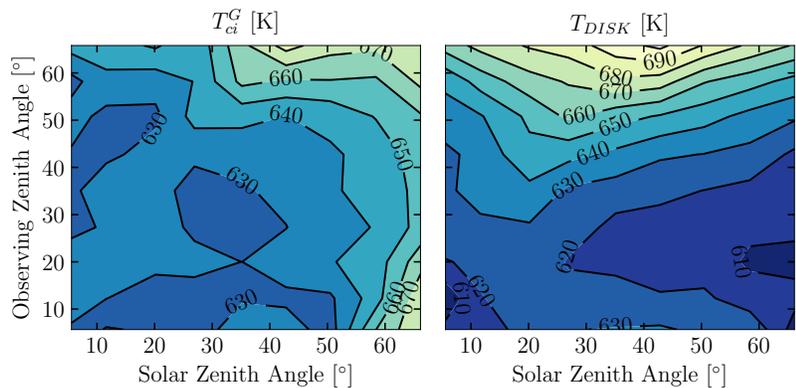
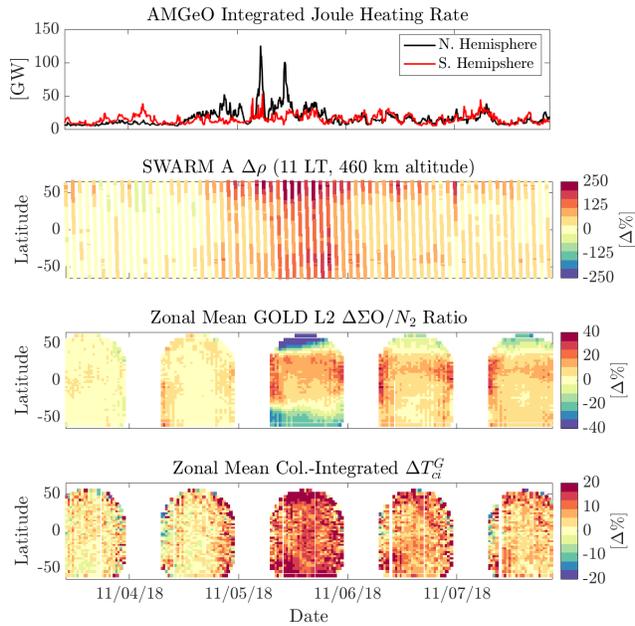


Figure 9: Mean  $T_{ci}^G$  and  $T_{DISK}$  temperatures as a function of SZA and OZA for the period of 2–8 November 2018 with 5° binning in SZA and OZA.

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715 **Figure 10: Storm-time response of the thermosphere as observed in three thermospheric variables as well as the hemispherically integrated Joule heating estimated using AMGeO. The percent change in mass density, ( $\Delta\rho_{SWARM}^{460\text{ km}}$ ), column O/N<sub>2</sub> ratio ( $\Delta\Sigma\text{O}/\text{N}_2$ ), column-integrated temperature ( $\Delta T_{ci}^G$ ) are computed with quiet-time conditions on 2 November 2018.**

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