Retrieval of UV-Visible aerosol absorption using AERONET and OMI-MODIS synergy: Spatial and temporal variability across major aerosol environments

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10 Abstract. Measuring spectral aerosol absorption remains a challenging task in aerosol studies, especially in the 11 UV region, where the ground and airborne measurements are sparse. In this research, we introduce an algorithm that synergizes ground measurements with satellite observations for the derivation of spectral single scattering 12 albedo (SSA, ω_0) of aerosols in the UV to visible range (340-670 nm). The approach physical basis consists in 13 explaining satellite measured near-UV radiances (340, 354 and 388 nm) by the Ozone Monitoring Instrument 14 (OMI), and visible radiances (466 and 646 nm) by MODerate Imaging Spectrometer (MODIS), in terms of 15 ground-based Aerosol Robotic Network (AERONET) measurements of total column extinction aerosol optical 16 17 depth (AOD, τ), and retrieved total column wavelength dependent SSA using radiative transfer calculations. 18 Required information on aerosol particle size distribution is taken from an AERONET-based seasonal climatology specifically developed for this project. This-The inversion procedure is applied over 110 AERONET 19 sites distributed worldwide, for which continuous, long-term AERONET measurements are available. Using the 20 derived data set we present seasonal and regional climatology of $\omega_{0}(\lambda)$ for carbonaceous, dust and 21 urban/industrial aerosol types. The UV-Visible spectral dependence of ω_0 obtained for the three major aerosol 22 23 types from the synergy algorithm is found to be consistent with the insitu measurements reported in the literature. A comparison to standard AERONET SSA product at 646-440 nm shows absolute differences within 0.03 (0.05) 24 25 for 40% ($\frac{5965}{0}$) of the compared observations. The derived aerosol $\omega_0(\lambda)$ data set provides a valuable addition 26 to the existing aerosol absorption record from AERONET by extending the absorption retrieval capability to the near-UV region. The combined UV-Visible data set, in addition to improving our understanding of spectral 27 aerosol absorption properties, also offers wavelength-dependent dynamic aerosol absorption models for use in the 28 satellite-based aerosol retrieval algorithms. 29

30 1 Introduction

Atmospheric aerosols play a significant role in the Earth's climate system through scattering and absorption of 31 solar radiation, thus capable of perturbing radiation budget. The ratio of the amount of the light scattering to the 32 33 total extinction referred to as single scattering albedo (SSA, ω_0) is a fundamental variable used to gauge the absorbing nature of aerosols. Mie-theory indicates ω_0 equals to one for purely scattering aerosols and less than 34 one towards zero for increasingly absorbing nature of aerosols. Studies show that the estimates of net aerosol 35 radiative forcing is sensitive to the aerosol ω_0 , and small changes to it could potentially alter the forcing on 36 atmosphere (Chyacutelek and Coakley, 1974; Hansen et al., 1997). Models-General circulation models are often 37 fed with essential aerosol properties to estimate the forcing on the atmosphere. These properties include aerosol 38 optical depth (AOD, τ), complex refractive index, and phase function. Here, the knowledge on spectral 39 dependence of such properties is crucial in quantifying the overall effects of aerosols. For example, absorbing 40 aerosols can lead up to a 50% increase decrease in the near-UV irradiance compared to the similar load of only 41 scattering aerosols in the atmosphere (Bais et al., 2005). A report by Intergovernmental Panel on Climate Change 42 suggests that the lack of spectral aerosol absorption is one of the major contributors leading to significant 43 uncertainties in quantifying the net aerosol radiative effects on the Earth's climate (IPCC, 2013). 44

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Developments in ground-based and satellite aerosol retrieval techniques have greatly improved our understanding 46 of atmospheric aerosols over the last two decades. However, knowledge on spectral aerosol absorption properties 47 is limited due to difficulties in measurements (e.g., Heintzenberg et al., 1997) and larger uncertainties in remote 48 sensing retrievals (e.g., Dubovik et al., 2000). Direct measurements of aerosol absorption can be obtained by 49 50 using instruments that measure scattering and extinction coefficients. Such measurements are limited to discrete 51 wavelengths and associated with few ground stations, laboratory measurements, or airborne field campaigns. In 52 addition insitu techniques often require making corrections of measurements to overcome instrumental challenges (e.g., Weingartner et al., 2003; Virkkula et al., 2005; Collaud Coen et al., 2010). Aerosol absorption can also be 53 inferred from the sky radiance and extinction measurements that rely on fitting ground observations to radiative 54 55 transfer calculations (Nakajima et al., 1996; Dubovik et al., 1998; Cattrall et al., 2003). The accuracy (or uncertainty) of the aerosol absorption retrieval through ground-based techniques primarily relies on the 56 calibration of measurements, while surface characterization has secondary effect on the overall accuracy of the 57 retrievals. Detailed reviews of measurements and techniques to retrieve aerosol absorption are available in 58 several papers (e.g., Clarke et al., 1967; Bond and Bergstrom, 2006; Moosmüller et al., 2009). Among the 59

ground-based sensors, currently, AERONET provides long-term aerosol absorption record at four discrete 60 wavelengths from the visible (Vis) to near Infrared (NIR) spectrum over many sites distributed worldwide. 61 Known limitation of the currently available AERONET inversion product (Version 3) is the lack of single 62 scattering albedo at near-UV wavelengths, and the aerosol load threshold ($\tau_{440} > 0.4$) required to obtain reliable 63 absorption in Vis-NIR spectrum. Like AERONET, a network of ground-based radiation measurement network 64 with sites in Asia and Europe referred as SKYNET (sky radiometer network) provides aerosol optical depth and 65 single scattering albedo in the near UV-NIR spectrum (Nakajima et al., 2007). However, the accuracy of the 66 SKYNET aerosol absorption product is affected by temporally constant and spectrally invariant surface 67 reflectance used in the inversion procedure (Jethva and Torres, 2019). These limitations incur knowledge gaps in 68 69 the complete characterization of aerosol absorption as a function of both wavelength and aerosol load. The known 70 limitation of AERONET absorption product is the lack of near-UV wavelengths, besides higher aerosol load (τ_{440} > 0.4) and high solar elevation angle required to obtain reliable absorption in Vis-NIR spectrum. These 71 72 limitations make it imperative to look for alternate data retrieval methods or sources to fill the knowledge gap. 73

For a few decades now, satellite remote sensing is used as an essential tool to gain a global perspective of aerosols distribution in the atmosphere. The physical basis of satellite aerosol retrievals is that under cloud-free conditions after accounting for Rayleigh (molecular) scattering and gaseous absorption effects, the upwelling topof-the-atmosphere (TOA) reflectance is a function of aerosol optical depth, particle sizes and composition (i.e., complex refractive index). Mathematically for a cloud-free atmosphere overlying an Lambertian surface the upwelling TOA reflectance (L_{TOA}) received by a nadir viewing satellite can be expressed in normalized units as (Chandrasekhar, 1960):

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$$L_{TOA}(\theta, \phi, \lambda) = L_0(\theta, \phi, \lambda) + \frac{\rho(\lambda) \cdot T(\tau, \theta, \lambda)}{(1 - s(\lambda) \cdot \rho(\lambda))}$$
(1)

82 where θ , ϕ , and λ are the zenith, azimuthal angles of the direction of propagation and wavelength of light, τ is the optical thickness of the atmosphere, ρ is the surface reflectivity, T is the total direct and diffuse transmittance of 83 84 the light in the atmosphere, and $s(\lambda)$ is the spherical albedo of the atmosphere when it is illuminated from below. The first and second term on the right side of the equation represents the atmospheric path radiance and the 85 amount of light that is reflected to the sensor after encountering the surface, respectively. The satellite measured 86 TOA reflectances are sensitive to both τ and ω_0 , in addition to the surface reflectance. Therefore, separating the 87 contributions of atmosphere and surface is of utmost importance to retrieve aerosols from satellite measurements. 88 89 For satellites with single-view measurements, aerosol retrieval algorithms rely on prior assumptions on particle

sizes (phase function) and ω_0 to retrieve τ . Most satellite aerosol retrieval techniques require making assumptions 90 on particle sizes (phase function) and ω_{0} to retrieve τ . On the other hand, several efforts have been made to 91 estimate aerosol ω_0 from direct satellite measurements at visible wavelengths (e.g., Kaufman, 1987; Kaufman et 92 al., 2002; Satheesh and Srinivasan, 2005; Zhu et al., 2011) and near-UV wavelengths (Torres et al., 1998, 2007, 93 94 2013 Torres et al., 2007, 2013). However, the variety of natural surface types, choice of wavelengths, and aerosol models pose limitations on such techniques. In terms of wavelength, enhanced molecular scattering in the near-95 UV region acts as a strong attenuating background and helps identify absorbing aerosols. However, to retrieve 96 aerosol absorption using near-UV measurements, quantitative information on aerosol layer height (ALH) is 97 required. The eExisting satellite aerosol retrieval techniques that rely on observations in the visible spectrum 98 99 assume a temporally constant value of ω_0 that varies regionally (Remer et al., 2005), and for a few algorithms, it is still assumed wavelength-independent (Hsu et al., 2013)value, and for a few sensors, it is still assumed 100 wavelength independent. A review on the commonly used satellite aerosol products singled out aerosol 101 102 absorption as an inherent problem common to all sensors (Li et al., 2009). Studies using the evolving ground-103 based aerosol record provides evidence that satellite retrieved τ can lead to large biases if the assumed aerosol 104 imaginary index, which derives ω_0 is wavelength-independent (Jethva and Torres, 2011), and constant-seasonally 105 invariant (Lyapustin et al., 2011; Eck et al., 2013). These studies highlight the importance of using wavelengthdependent aerosol ω_0 and account for its spatial and temporal variability in the assumptions made for satellite 106 107 retrieval of aerosol products.

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In the past, few studies used both ground and satellite measurements to retrieve aerosol absorption properties. Li 109 et al., (1999) used visible band radiances from AVHRR and in situ measured τ during SCAR-B experiment to 110 derive absorption from biomass burning aerosols. Sinvuk et al., (2003) used UV-radiances from TOMS and 111 aerosol extinction from AERONET to derive the imaginary refractive index of dust particles over a few stations 112 in the Sahara belt region. Lee et al., (2007) estimated the aerosol SSA across a few stations over China using 113 combined ground and satellite (MODIS) measurements at visible wavelengths. Nonetheless, these studies are 114 limited and do not provide a comprehensive characterization of absorbing aerosols from the UV-Visible 115 116 spectrum.

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The objectives of the present work are to derive columnar aerosol $\omega_0(\lambda)$, and its spectral dependence in the UV-Visible part of the spectrum. This approach The proposed inversion procedure makes use of the <u>AERONET</u> measured wavelength dependent τ , and derived retreived particle size distribution in conjunction with satellite 121 measured radiances at UV and visible wavelengths by A-train constellation sensors Aqua-MODIS and Aura-OMI 122 (Ozone Monitoring Instrument). from AERONET in an inversion procedure. The A-train constellation of 123 satellites that includes OMI and MODIS makes routine TOA measurements from the UV-Visible spectrum. The 124 <u>nN</u>ear-simultaneous measurements from A-train satellitesthese sensors provide an excellent opportunity to 125 combine satellite and ground measurements during the overpass times (local noontime, ~13:30 hrs) over the 126 AERONET sites.

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The organization of the remaining manuscript is as follows: section 2 describes the ground-based and satellite 128 129 measurements used in this work. Section 3 describes the methodology adopted to derive aerosol $\omega_0(\lambda)$. Section 4 provides the sensitivity analysis to estimate the expected accuracy of tests conducted to estimate the error 130 131 incurred in the proposed aerosol absorption retrievals. Section 5 presents a comparison of retrieved SSA to the AERONET aerosol absorption product. Section 6 presents the seasonal variability in regional aerosol $\omega_0(\lambda)$ 132 derived for sites distributed across major aerosol environments worldwide. Section 7 provides a discussion of the 133 regional variability of the UV-Vis aerosol absorption product derived in this work. Section 5 presents the seasonal 134 variability in aerosol $\omega_{0}(\lambda)$ derived for sites distributed across major aerosol environments worldwide. Section 6 135 136 provides a discussion of the regional aerosol absorption models derived in this work. Section 7 presents a comparison of retrieved SSA with the AERONET absorption product. Finally, section 8 provides a summary of 137 the work, along with the key findings and outlook for further studies. 138

139 2 Data sets

The details of ground-based and satellite data sets used in this work are provided in Table 1. Our usage of satellite data is strictly limited to the TOA reflectances, <u>the associate viewing satellite-sun</u> geometry, and other ancillary information <u>such as (quality flags, and aerosol index. type) but not the aerosol retrievals (τ) themselves from OMI and MODIS.</u>

144 **2.1 AERONET**

Aerosol Robotic Network (AERONET) employs an automatic sun-tracking photometer (CIMEL Electronique CE-318) to measure sun and sky radiances (Holben et al., 1998). The direct sun measurements are made with a 147 1.2° full field of view at nine nominal wavelengths of 340, 380, 440, 500, 675, 870, 940, 1020 and 1020-1640 nm 148 typically for every ~5 to 15 minutes interval. Columnar extinction τ is computed from these measurements for all 149 wavelengths except for the 940 nm, which is used to retrieve water vapour amounts. The extinction τ obtained from these measurements has an estimated uncertainty ± 0.01 (± 0.02) at the visible (near-UV) wavelengths, 150 primarily due to calibration uncertainty (Eck et al., 1999). The currently available AERONET Version 3 Level 2 151 AOD product uses improved cloud screening and quality checks to provide reliable data to the user community 152 (Giles et al., 2019). The extinction τ obtained from these measurements are accurate within ±0.01 (±0.02) at the 153 visible (near-UV) wavelengths (Dubovik and King, 2000). In addition to the direct sun measurements, the 154 photometer also measures multi-angular diffuse sky radiances along the almucantar plane at four distinct 155 wavelengths from visible to the near-Infrared spectrum (440, 675, 870 and 1020 nm) with near-hourly frequency. 156 157 In recent years the newer model of instruments also include sky radiance measurements at 380, 500 and 1640 nm (Sinyuk et al., 2020). An inversion procedure that uses both direct sun and angular sky radiances together is 158 159 implemented to derive aerosol particle size distribution and complex refractive indices (Dubovik and King, 2000; Dubovik et al., 2006) Dubovik et al., 1998; Dubovik and King, 2000). The uncertainty in the derived spectral 160 aerosol SSA provided by the AERONET inversion Level 2 product is estimated to be ± 0.03 for $\tau_{440} > 0.4$ 161 162 (Dubovik et al., 2000). Since 2018, the release of Version 3 inversion product implements several changes to the traditional AERONET aerosol absorption retrievals. A complete description of the changes implemented in 163 164 Version 3 inversion products along with the updated uncertainty estimates are available in Sinyuk et al., (2020). It should be noted here that in the currently available AERONET inversion products the shortest wavelength of 165 aerosol SSA is 440 nm. In this work, we use AERONET Version 2 inversion product for constructing a 166 representative aerosol model for the associated sites. For the AOD inputs to our retrieval algorithm and for the 167 comparison of SSA we use the latest Version 3 products. For the locations, where aerosol loading is usually low, 168 the derived aerosol absorption properties have much higher retrieval error (Level 1.5 inversion product). In this 169 work, we use AERONET measured columnar τ as a constraint to derive aerosol absorption from satellite TOA 170 measurements. The particle size distributions provided by AERONET products are used for constructing a 171 representative aerosol model for the associated sites. Aerosol absorption properties from AERONET Level 2 172 Version 3 product are used for comparison with our retrievals at visible wavelengths. Figure 1 shows the location 173 174 of the total 110 AERONET sites used in this work for which long-term (> 7 years) quality assured $\frac{1}{2}$ (version 3) measurements are available. 175

2.2 OMI 176

- 177 Launched in July 2004, the Ozone Monitoring Instrument (OMI) on board NASA's EOS Aura satellite is a nadir-
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viewing hyper-spectral imaging radiometer (Levelt et al., 2006). OMI measures the TOA radiances in the

wavelength range 270-500 nm with a ground pixel spatial resolution of 13 km x 24 km at nadir. OMI achieves 179 daily global coverage in 14-15 orbits with a swath of 2600 km scanning the entire earth's surface. In this work, 180 we use OMI radiances (340, 354, and 388 nm) provided in the in-house product OMLERWAVE and publicly 181 accessible OMI near UV OMAERUV Level 2 aerosol product (Version 1.8.9.1, Torres et al., 2018).OMAERUV 182 Level 2 aerosol product (V1.8.9.1). The OMLERWAVE product reports radiances and Lambertian equivalent 183 reflectivity (LER) at several discrete wavelengths in the near-UV and visible parts of the spectrum. Additionally, 184 we also use ancillary information on the quality of pixel (cloud contamination, land/sea mask, etc.), aerosol 185 typeindex, LER, surface pressure and ALH data set used in the operational OMAERUV product. The 186 187 OMAERUV product primarily relies on the measured near UV aerosol index and AIRS retrieved carbon monoxide information to categorize aerosols into Carbonaceous, Dust, and Urban/Industrial types. Since mid-188 189 2007, OMI suffers from an external obstruction that affects the quality of radiance measurements in a few rows (cross-track pixels). This is referred to as 'row anomaly' that restricts the current usage of OMI observations for 190 191 the scientific purpose to about half in a total of 60 cross-track rows (Schenkeveld et al., 2017). Extensive 192 documentation about how the row anomaly affects the OMAERUV aerosol product is available at Torres et al., (2018). 193

194 **2.3 MODIS**

195 The MODerate resolution Imaging Spectro-radiometer (MODIS) on board NASA's EOS Aqua and Terra 196 satellites are nadir-viewing, multi-spectral radiometer. MODIS measures the TOA radiances in 36 wavelength 197 bands ranging from 0.41-14.23 µm with a ground pixel spatial resolution between 250-1000 m (King et al., 198 1992). MODIS scans the earth's surface with a 2300 km wide swath to provide near-global coverage on a daily basis. In this work, we use Aqua-MODIS radiances (at 466 and 646 nm) provided in the 10-km aerosol product 199 (MYD04 L2) from the Deep-Blue (DB) aerosol algorithm. This aerosol product provides cloud-free radiances 200 and ancillary information on the terrain height/pressure, quality of pixel and estimated cloud fraction (Hsu et al., 201 202 2013). For surface characterization in visible wavelengths we use MODIS MAIAC (Multi-Angle Implementation of Atmospheric Correction) MCD19A1 daily 1-km sinusoidal gridded spectral BRF (Bidirectional reflectance 203 function) or surface reflectance product (Lyapustin and Wang, 2018).quality of pixel and estimated cloud 204 205 fraction.

- 206 **3 Methodology**
- A schematic flowchart shown in Figure 2 illustrates the method adopted in this work to derive wavelengthdependent aerosol absorption.
- A schematic flowchart of the method adopted in this work to derive wavelength-dependent aerosol absorption is
 shown in Figure 2.

211 **3.1** Computation of site-specific <u>Seasonal Look-up tables</u> of TOA reflectances

To start, we compile a seasonal climatology of aerosol particle size distributions and real part of the refractive 212 213 index (440 nm) for the entire τ range from the AERONET Level-2 Version-2 inversion product for each site 214 considered in the study. Here, we assume that the spectral variability of the real part of the aerosol refractive 215 index through UV-Visible is minimal and, therefore, values derived at 440 nm were assumed to be wavelength-216 independent across the UV-Visible spectrum range considered in this study. The resulting site-specific 217 elimatology climatologies of aerosol size distribution are fed to a radiative transfer model (RTM) to generate look up table (LUT's) of outgoing top of the atmosphere (TOA) reflectances at 340, 354, 388, 466, and 646 nm with 218 varying nodal points of satellite-sun geometry (i.e., SZA-solar zenith angle at 0°, 20°, 40°, 60°, 66°, 72° and 80°; 219 VZA-viewing zenith angle at 2° interval from 0–88°; RAA-relative azimuth angle at 15° interval from 0–180°). 220 221 Reflectance LUTs are created for two values of surface pressure (1013.25 and 600 mb), seven values of τ (0.0, 222 0.1, 0.5, 1.0, 2.5, 4.0 and 6.0), five nodal points on ALH (0, 1.5, 3.0, 6.0 and 10.0 km) for the referenced surface pressure nodes, and eight values of imaginary component of the refractive index (0.000, 0.008, 0.016, 0.024, 223 0.032, 0.040, 0.048 and 0.056). The aerosol profiles used in the RTM follow a quasi-Gaussian distribution 224 225 centred around the respective modes of ALH. We use a total column ozone of 275 Dobson unit in the RTM to account for ozone absorption. $\frac{1}{2}$ surface pressure, τ , ALH, and imaginary component of the refractive index. The 226 Gauss-Seidel radiative transfer code (Mie theory) used for this purpose accounts for gaseous absorption, 227 molecular and aerosol multiple scattering (Herman and Browning, 1965). Thus, a database of AERONET site-228 229 specific seasonal LUT of reflectances for the aerosols observed over each site in the study is created. Figure 3 230 shows an example of the calculated net aerosol reflectance at the TOA for selected sun-satellite geometry 231 (SZA=20°, VZA=40°, RAA=130°) and varying values of τ and ω_0 from our LUT developed for the GSFC site 232 (38.92° N, 76.84° W). These results illustrate that for a given satellite-sun geometry, observed radiance, and 233 assumed LUT, multiple combinations of τ and ω_0 can explain the satellite measurements. In addition, it is noted 234 that the net reflectances are mostly invariant at low optical depths (~0.1) regardless of variations in SSA for all

235 wavelengths. This is a typical scenario for the LUT approach to derive aerosol properties, suggesting retrieval of absorption is likely not reliable at low optical depths. These results also illustrate the critical reflectance concept 236 (Kaufman, 1987), as a particular upwelling reflectance value (also associated with a particular value of surface 237 reflectance) at which there is no sensitivity to aerosol optical depth and, therefore, theoretically suitable for the 238 retrieval of aerosol absorption from satellite observations. Nonetheless, to derive the best-fit or unique solution of 239 ω_0 from satellite measurements, an accurate characterization of τ , cloud-free radiances, and surface reflectances 240 are required.Figure 3 shows the calculated net aerosol reflectance at the TOA over the GSFC site (38.92° N, 241 76.84° W) using particle sizes derived from the AERONET product and varying values of τ and ω_0 . These results 242 243 illustrate that for a given satellite-sun geometry and observed radiance, multiple combinations of τ and ω_{e} can explain the satellite measurements. This simulation demonstrates that in order to derive ω_{0} from satellite 244 measurements, an accurate characterization of τ , cloud-free radiances, and surface reflectances are required. 245

247 The site-specific LUTs developed here assume spherical particle shapes (Mie theory) for carbonaceous and urban 248 aerosols. However, mineral dust particles are assumed non-spherical and modeled as randomly oriented spheroid 249 (Dubovik et al., 2006; Torres et al., 2018). To account for the non-spherical-sphericity behavior of dust particles, 250 a unified dust model LUT is created using particle sizes from selected AERONET sites over Sahara and Arabian Middle East region that include: Saada, SEDE BOKER, Solar Village, and Tamanrasset INM. These sites were 251 selected based on the observed prevailing dust aerosol type. The particle sizes and real refractive index obtained 252 at these sites are used with a pre-computed set of kernels that assume a spheroidal shape with a fixed distribution 253 254 of axis ratio to produce phase function (Dubovik et al., 2006). The obtained phase matrix elements are input to 255 the RTM to create reflectance LUT's. The process of acquiring a non-spherical unified dust-model LUT is necessary to account for the non-spherical shape of particles and save a considerable computational time, which 256 otherwise would require to create another set of site-specific LUTs. 257

258 **3.2** Collocation of satellite and ground measurements

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We use satellite measurements (ground pixels) located within the 50 km radius of each AERONET site. In essence, we treat the overlying atmospheric aerosols within a 50 km radius of the site as a representative of the AERONET measured τ . We look for valid AERONET τ measurements within ±2 hours of satellite overpass and assign the τ closest in time to all the ground pixels. It should be noted here that for OMI sensor, the OMAERUV product provides cloud-free radiances (340, 354 and 388 nm) in the native pixel resolution of 13 km x 24 km, while the MODIS sensor DB-product provides cloud-free radiances (466 and 646 nm) at 10 km x 10 km 265 resolution. To allow for more sampling, we associate the AERONET observations within ±2 hours of satellite 266 overpass to the measured TOA radiances. Here, we do not employ any averaging scheme for the AERONET data 267 and keep it intact. While with satellite measurements, we use native pixel resolution of 13 km x 24 km for the 268 OMI wavelengths (340, 354 and 388 nm) and 10 km x 10 km resolution radiances for the MODIS wavelengths 269 (466 and 646 nm).

270 **3.3 Retrieval of aerosol** $\omega_0(\lambda)$

The proposed technique to derive aerosol absorption follows the procedure of obtaining (a) the AERONET AOD at desired wavelengths for OMI and MODIS, (b) aerosol type, (c) optimal layer height, (d) surface pressure, (e) surface reflectance, and (f) best quality assured cloud free-TOA reflectances.

- the best quality assured cloud free-TOA reflectances, identifying the aerosol type, optimal layer height, and 274 characterize surface reflectance. We select over-land pixels from both sensors with the best quality flags ('0'-275 OMI, '3' MODIS-DB) and cloud fraction < 0.2 in the retrieval procedure. Aerosol type information for the OMI 276 wavelengths is directly adopted from the OMAERUV product. While for the MODIS wavelengths, our algorithm 277 looks for the nearest OMI footprint to obtain and assign the corresponding aerosol type. Once an absorbing 278 aerosol type i.e., carbonaceous smoke or mineral dust is identified, we choose the best estimate of ALH from the 279 joint OMI-CALIOP climatology derived from a 30-month long record of collocated observations (Torres et al., 280 2013). While for a weakly absorbing aerosol (Urban), ALH is characterized with a Gaussian distribution of 281 aerosols with a peak at the surface. This is similar to the procedure adopted in the OMAERUV aerosol retrieval 282 283 (Torres et al., 2013). For the surface characterization at OMI wavelengths, we use a near UV surface albedo database used in the OMAERUV algorithm. At MODIS wavelengths, surface reflectance provided by MAIAC 284 products (Lyapustin and Wang, 2018) is used. Our retrieval technique gathers all above mentioned information 285 for each pixel along with the associated AERONET τ to perform an inversion for each wavelength independently. 286 The inversion procedure solves for the best fit of radiances and τ with the prior computed site specific seasonal 287 288 LUT radiances to derive aerosol $\omega_{\theta}(\lambda)$.
- 290 <u>3.3.1 AERONET AOD at sensor wavelengths</u>

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291 The AODs at the nominal wavelengths measured by AERONET along with the Extinction Ångström Exponent 292 (EAE, α) for several wavelength pairs (340-440, 380-500, 440-675, 440-875, etc.) are provided in the AOD 293 product. We derive the τ at our interest of satellite wavelengths using the closest available measurement and α 294 through the power-law approximation (Ångström, 1929) as shown in equation 2. For OMI wavelengths, the 295 <u>AERONET 340 and 380 nm measurements are readily available while τ_{354} is obtained with $\lambda_{\text{Ref}} = 380$ nm and 296 $\alpha_{\lambda_{\text{Ref}}} = \alpha_{340-440}$. For the few sites with older models of AERONET sunphotometer that does not have direct sun 297 measurements at 340, and 380 nm (for example Banizoumbou, Avignon, etc.), we use $\lambda_{\text{Ref}} = 440$ nm and $\alpha_{\lambda_{\text{Ref}}} =$ 298 $\alpha_{440-675}$. Similarly, for MODIS wavelengths the τ at 466 and 646 nm are obtained using $\lambda_{\text{Ref}} = 440$ nm and $\alpha_{\lambda_{\text{Ref}}} =$ 299 $\alpha_{440-675}$.</u>

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$$\tau_{\lambda} = \tau_{\lambda_{Ref}} \left(\frac{\lambda}{\lambda_{Ref}}\right)^{-\alpha_{\lambda,\lambda Ref}}$$
(2)

302 3.3.2 Aerosol type and ALH

Aerosol type information is essential to derive absorption properties. We use a combination of Extinction 303 Ångström Exponent (α₄₄₀₋₈₇₀) derived from AERONET and near UV Aerosol Index (UVAI) from OMAERUV 304 product to categorize the observed aerosols into three basic types - dust, carbonaceous, and urban/industrial. 305 Initially, our algorithm uses $\alpha_{440-870}$ to identify the aerosols as coarse ($\alpha_{440-870} \leq 0.2$) and fine ($\alpha_{440-870} \geq 1.2$) mode 306 dominated particles. Threshold a440-870 of 0.2 chosen for coarse mode particles unambiguously identifies dust 307 aerosols. However, the sample of fine mode particles consists of both absorbing carbonaceous and weakly 308 absorbing urban type aerosols. The near-UV aerosol index is an excellent indicator to identify the presence of 309 absorbing aerosols. Threshold UVAI value adopted from OMAERUV algorithm is used to separate carbonaceous 310 311 $(UVAI \ge 0.8)$ and urban $(UVAI \le 0.8)$ aerosols, respectively. Based on extensive tests on the OMI signal strength on all surface types it is determined that a minimum UVAI of 0.8 is required to identify absorbing aerosols 312 313 (Torres et al., 2007, 2013). Although UVAI is an excellent indicator to identify the presence of absorbing aerosols, the large OMI footprint (13 km x 24 km) and sub-pixel contamination of signal strength might at times 314 315 underestimate UVAI categorizing the observed aerosols as urban type. To derive aerosol absorption for dust, 316 non-spherical LUT is selected while for carbonaceous and urban aerosols site-specific LUTs are used. In addition, for the absorbing types of aerosols i.e., carbonaceous and dust, we choose the best estimate of ALH 317 318 from the joint OMI-CALIOP (Cloud-Aerosol Lidar with Orthogonal Polarization) product. The joint OMI-319 CALIOP product was developed using 30-month long record of collocated observations to gather absorbing aerosol backscattering profiles at 1064 nm that were gridded on 1° x 1° resolution to produce global monthly 320 average ALH. Prescribed uncertainty in the ALH derived from joint OMI-CALIOP product primarily due to 321 322 limited sampling of the CALIOP lidar overpasses is expected to be within ± 1 km (Torres et al., 2013). For urban aerosols, an exponential aerosol profile peaking at the surface is employed to perform the inversion procedure. 323

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325 **<u>3.3.3 Surface reflectance and pressure</u>**

- For the surface characterization at OMI wavelengths, we use a near-UV surface albedo database at quarter degree 326 grid resolution provided in the OMAERUV product (Torres et al., 2007). The near-UV surface albedo employed 327 by OMAERUV is derived from minimum Lambertian equivalent reflectance obtained from available long-term 328 measurements. The uncertainty in the near-UV surface albedo from these measurements is expected to be within 329 ±0.01 (Torres et al., 2018). At MODIS wavelengths, surface reflectance or BRF provided by MAIAC MCD19A1 330 product is used. The MAIAC MCD19A1 provides spectral surface BRF over cloud-free and clear-to-moderately 331 turbid atmospheric conditions ($\tau_{466} \le 1.5$) for solar zenith angles below 80°. The measurement-based uncertainty 332 in MCD19A1 BRF at visible wavelengths is reported to be in the range of 0.002–0.003 for the combined sources 333 of errors including uncertainties from gridding, cloud detection, and aerosol model properties (Lyapustin et al., 334 335 2018). Additionally, the surface or terrain pressure reported in the OMAERUV, and terrain height (converted to pressure) reported in the MODIS aerosol products are used in our SSA retrievals. 336 337 338 Our retrieval technique gathers all the above-mentioned required inputs including the best quality assured cloudfree radiances reported in OMAERUV (Quality flag = 0) and MODIS-DB (Quality flag = 3) products to perform 339 340 an inversion for each wavelength independently. The inversion procedure interpolates the LUT radiances linearly
- 341 for the prescribed satellite-sun geometry, ALH, τ , and logarithmically over the surface pressure nodes. The 342 obtained LUT radiances as a function imaginary refractive index are then fitted with the satellite measured 343 radiances to derive aerosol $\omega_0(\lambda)$.

345 **<u>3.3.4 Illustration of retrieved SSA</u>**

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Figure 4 shows the retrieved aerosol SSA over the GSFC site as a function of AERONET measured τ . Located in 346 the vicinity of a metropolitan eityarea, the prevailing aerosols over the GSFC site are the urban or industrial types 347 that are relatively more scattering in nature. In general, retrieved SSA increases with aerosol loading, except for a 348 small decrease at large AOD's at 466 and 646 nm. The mean aerosol SSA retrieved at the GSFC site for all T 349 observations at 340, 354, 388, 466 and 646 nm are 0.91, 0.93, 0.93, 0.90 and 0.85, respectively. The variability of 350 the retrieved SSA is high at lower aerosol loading for all wavelengths. Particularly notable is the high variability 351 of retrieved SSA in most τ bins for the visible wavelengths (i.e., MODIS bands). This is due to the weaker 352 353 diminishing aerosol signal strength for weakly absorbing urban type aerosols at lower aerosol loading in the 354 visible spectrum, where the measured TOA radiances are dominantly contributed by the underlying surface, 355 notably at 646 nm. The mean aerosol SSA retrieved at the GSFC site for observations with $\tau_{440} > 0.4$ at 340, 354,

388, 466 and 646 nm are 0.94, 0.95, 0.95, 0.94 and 0.93, respectively. These results agrees well with the values 356 reported for GSFC site using AERONET products at 440 and 675 nm as 0.96 and 0.95, respectively (Giles et al., 357 2012). Also shown in figure 4 is the number of collocated observations that were used in the inversion and the 358 percent of observations for which SSA is retrieved. For about 12 years of the satellite and ground collocated 359 observations used here, it is clearly evident that the number of observations from OMI is less than MODIS 360 observations. The difference in the number of collocated observations stems partly from the OMI row-anomaly, 361 cloud contamination, and the coarser pixel resolution. The percent of SSA retrieved observations varies widely 362 even within the corresponding sensor wavelengths (OMI: 340, 354, 388 nm and MODIS: 466, 646 nm). At times 363 364 depending on the surface albedo used reflectance, the computed net aerosol reflectance might exceed the LUT limits and produce SSA values above one or less than the maximum absorption in the LUT, typically referred to 365 366 as out-of-bounds retreival. We avoid this by constraining our inversion procedure within the LUT limits and do not allow for any extrapolation of the inputs radiances. However, this leads to the unequal number of retrieved 367 368 observations within the sensor wavelengths. In other words, for a given observation within the OMI or MODIS 369 sensor, it is possible to have aerosol SSA retrieved at one wavelength and no retrieval (out-of-bounds) at other 370 wavelengths. Also, it is worth mentioning that for few sites located along the coasts or in the islands (e.g., 371 Mauna Lao, Ascension Island, Nauru), we were either unable to retrieve aerosol SSA or the number of days with retrieval is guite low. This is a consequence of OMI's large pixel size, where the satellite measured 372 radiances are often contaminated by clouds and mixed signal from the surface that are challenging to resolve and 373 374 lead to out-of-bounds in the inversion.

376 To examine the seasonal variation of aerosol SSA and its spectral dependence of aerosol absorption, we created a subset of the data that includes observations for which aerosol SSA is retrieved for all the corresponding sensor 377 wavelengths simultaneously (OMI: 340, 354, 388 nm and MODIS: 466, 646 nm) on any given day. This step 378 reduces the sample size drastically but eliminates the need for making prior assumptions on the wavelength 379 dependence of aerosol absorption angstrom exponent to fill those gaps. Instead, tThe obtained subset of aerosol 380 SSA in the UV-Visible range is used to compute the resulting spectral dependence of aerosol absorption of the 381 prevailing aerosols over the corresponding AERONET sites in terms of the Aerosol-Absorption Angström 382 Exponent (AAE), a measure of the spectral dependence of aerosol absorption absorption optical depth (Bond, 383 384 2001) using a power-law approximation, analogous to the Angstrom Extinction Angström Exponent (van de 385 Hulst, 1957). The spectral dependence of aerosol absorption AAE, is reported as Absorption Angstrom Exponent

375

 $\frac{(AAE)}{(AAE)}$ defined as the slope of aerosol absorption optical depth with wavelengths on a log-log scale. The aerosol absorption optical depth $\tau_{abs}(\lambda)$ is derived as shown in equation (<u>13</u>):

 $(\underline{13})$

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$$\tau_{abs}(\lambda) = (1 - \omega_0(\lambda)) \cdot \tau_{ext}(\lambda)$$

(24)

from which the AAE for wavelength range λ_1 , λ_2 is calculated as shown in equation (42).

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$$AAE(\lambda_1, \lambda_2) = -\frac{\ln(\tau_{abs}(\lambda_1)/\tau_{abs}(\lambda_2))}{\ln(\lambda_1/\lambda_2)}$$

The results presented hereafter include only a data subset that meets the following three conditions: (a) SSA retrievals are available for all five wavelengths on a given day, (b) $\tau_{440} > 0.4$, to ensure reliable accuracy spanning through UV-Visible wavelengths, and (c) there are at least 5 days of observations available per season per aerosol type.In addition, we make use of the AERONET extinction angstrom exponent (α) at 440-870 nm to distinguish the particles as coarse ($\alpha < 0.2$), fine ($\alpha > 1.2$), and in between as intermediate or mixed-mode. Since our aerosol identification strictly uses three primary types, the use of qualitative indicator α helps delineate the mixture of aerosols when applicable.

399 4 SSA retrieval sensitivity analysis

400 The inversion procedure employed hereproposed inversion procedure to derive spectral aerosol absorption from 401 the combined ground and satellite measurements is susceptible to several systematic and random errors. These 402 error sources include uncertainties in the following input parameters: (a) aerosol extinction measurements, (b) estimation of particle size distribution, (c) real part of refractive index, (d) calibration of satellite measured TOA 403 radiances, (e) sub-pixel cloud contamination, (f) any unaccounted trace gaseous absorption in the RTM, (g) 404 surface reflectance, (h) ALH, and (i) surface pressure. (e) surface reflectance, (f) aerosol layer height, and (g) sub-405 pixel cloud contamination. The retrieved aerosol absorption from our inversion procedure could be affected by all 406 these sources of uncertainties. Among these, error sources from (a d) are inevitable for which we do not have any 407 direct control over them. However, we do have control only for the sources from (e-g) in our retrieval. Errors 408 associated with surface reflectance, aerosol layer height, and cloud contaminations on the satellite retrieved 409 optical depths are well documented in the literature (e.g., Fraser and Kaufman, 1985; Torres et al., 1998; Jethva et 410 al., 2014). In summary it is known that an: (i) overestimation (underestimation) of surface reflectance leads to 411 lower (higher) aerosol SSA, (ii) overestimation (underestimation) of τ leads to lower (higher) aerosol SSA, (iii) 412 overestimation (underestimation) of ALH produces higher (lower) aerosol SSA -(significantly more pronounced 413 in the UV than in visible wavelengths), and (iv) an increase in TOA reflectance due to sub-pixel cloud 414

415 contamination produces higher aerosol SSA. We use sensitivity tests for these key input variables to derive a
 416 quantitative estimate of the error percolated in the aerosol SSA retrieval due to changes in these variables.

418 **4.1 Estimation of theoretical errors in the retrieved aerosol** $\omega_0(\lambda)$

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Here, we conduct sensitivity tests for all sources of errors in the input variables to derive a theoretical estimate of 419 the error percolated in the aerosol SSA retrieval due to uncertainties in the assumed values of these variables. To 420 421 have a controlled setup, we performed tests for a representative fixed satellite-sun geometry (SZA=20°, 422 VZA=20°, RAA=130°) over the GSFC, Mongu, and Tamanrasset INM. These sites were selected to represent three distinct aerosol types as well as surface conditions. We assume a fixed value of $\omega_0 = 0.9$ at 388 nm and 423 aerosol load $\tau_{440} = 0.2, 0.3$, and 0.4 as our references to estimate errors in the retrieved SSA. To derive 424 corresponding spectral AODs at remaining wavelengths, we assume an EAE₃₄₀₋₆₄₆ of 1.9, 0.2, and 1.9 for 425 carbonaceous, dust and urban aerosols, respectively. Similarly, spectral SSA at other wavelengths is estimated 426 assuming an AAE₃₄₀₋₆₄₆ of 1.7, 2.5 and 0.9 for carbonaceous, dust and urban aerosols, respectively. We calculate 427 428 the uncertainty of the derived spectral SSA for each aerosol type by perturbing, one at a time, the nominal values of the nine inputs parameters by an assumed or observationally known uncertainty. The absolute error is 429 computed as the SSA obtained with altered input minus the assumed SSA. The combined uncertainty of the 430 431 derived spectral SSA is given by square root of the summation of the squares of the errors associated with each 432 parameter. 433

434 Figure 5 shows the error analysis of the retrieved SSA as a function of wavelength and optical depth given a change in the input (a) $\tau_{ext}(\lambda)$, (b) particle sizes, and (c) real part of refractive index. We perturb the input τ with 435 an absolute value of ± 0.02 for $\lambda < 400$ nm and ± 0.01 for $\lambda > 400$ nm as prescribed by the AERONET AOD 436 product. As shown in Fig 5a, AOD over-estimations result in SSA under-estimations whereas AOD 437 438 underestimations yields SSA overestimations for all aerosol types over the considered spectral range. The magnitude of the SSA error ($\Delta \omega_0$) decreases with increasing AOD. It is noted that for all aerosol types an 439 underestimation (overestimation) of AOD, the magnitude of $\Delta \omega_0$ is positive (negative) and increases with 440 wavelength (340 nm to 388 nm, and 466 nm to 646 nm). The higher magnitudes of $\Delta \omega_0$ noted for visible 441 wavelengths are attributed to lower spectral AODs where the aerosol absorption signal is diminished for a stable 442 retrieval, particularly notable for weakly absorbing urban aerosols. For the reference $\tau_{440} = 0.4$, perturbation of 443

444 $\pm 0.02 + \tau$ at 340 nm yields an error $\Delta \omega_0$ within ± 0.002 , while a perturbation of $\pm 0.01 + \tau$ at 646 nm yields an error 445 within ± 0.011 .

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Uncertainties in assumed particle sizes are also expected to affect the retrieval of aerosol absorption. To estimate 447 the error incurred in our SSA retrieval, we perturb the particles size (volume mean radius, VMR) derived from all 448 449 AOD observations by 20%. We chose $\Delta VMR = 20\%$ based on examination of seasonal climatology of particle 450 sizes as a function of τ_{440} and the most frequently occurring τ_{440} bin. It is noted that an overestimation of particle 451 radii produces higher aerosol SSA leading to positive $\Delta \omega_{0}$ and vice-versa. However, the $\Delta \omega_{0}$ noted for dust 452 aerosols are quite small/negligible in UV wavelengths while the $\Delta \omega_0$ remains invariant at visible spectral range. 453 This owes to the size of dust particles that are much higher than the considered spectral range where extinction of 454 radiation reaches maximum efficiency and remains less variant with additional increase in particle sizes. It should be noted that at the AODs considered, particle size assumptions here have only a small effect on the retrieved 455 456 SSA. Spectrally the magnitude of $\Delta \omega_0$ is minimum in the UV and increases towards the visible wavelengths. The 457 magnitude and spectral behavior of $\Delta \omega_0$ noted here suggests aerosol scattering primarily drives the particle size 458 effect. For the reference $\tau_{440} = 0.4$, perturbation of $\pm 20\%$ VMR to all aerosol types yields an error $\Delta \omega_0$ within 459 ± 0.018 , and ± 0.044 at 340 nm and 646 nm, respectively.

Another aerosol intrinsic property input for our SSA retrieval algorithm obtained from AERONET inversion 461 product is the real part of refractive index (RRI) – which primarily contributes to the magnitude of scattering. The 462 463 prescribed uncertainty in aerosol RRI is estimated to be ± 0.04 (Dubovik et al., 2000). Our results indicate that an 464 overestimation of RRI produces lower aerosol SSA and vice-versa. The effect of aerosol RRI perturbation on retrieved SSA is noted to be higher in the UV-spectrum than in the visible. This is likely a result of strong 465 competing effects from molecular scattering and aerosol absorption, while aerosol load adds an additional weak 466 dependence. For the reference $\tau_{440} = 0.4$, perturbation of ± 0.04 +RRI yields an error $\Delta \omega_0$ within ± 0.009 and 467 ± 0.002 at 340 nm and 646 nm, respectively. 468

Figure 6 shows the error analysis of the retrieved SSA as a function of wavelength and optical depth given a change in the (a) TOA radiances due to sensor calibration, (b) sub-pixel cloud contamination, and (c) trace gaseous absorption. The prescribed uncertainty in the TOA radiance measurements for OMI and MODIS sensors are expected to be $\pm 1.8\%$ (Schenkeveld et al., 2017) and $\pm 1.9\%$ (Guenther et al., 2002), respectively. As expected, an overestimation of TOA radiances due to sensor calibration produces lower aerosol SSA and vice475versa. Errors in the retrieved SSA due to uncertainties in the sensor calibration increase with decreasing aerosol476optical depth. For the reference $\tau_{440} = 0.4$, perturbation of $\pm 1.8\%$ in TOA radiances at 340 nm yields an error $\Delta \omega_0$ 477within ± 0.027 , while a perturbation of $\pm 1.9\%$ in TOA radiances at 646 nm yields an error within ± 0.037 .478However, an overestimation in TOA radiances due to assumed optically thin cloud ($\tau_{cloud} = 0.5$) produces higher479aerosol SSA. The effect of cloud contamination in TOA radiances is more pronounced in the visible than in UV480spectrum. For observations with $\tau_{440} = 0.4$, the sub-pixel cloud contamination yields an error $\Delta \omega_0$ within ± 0.020 481and ± 0.056 at 340 nm and 646 nm, respectively.

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- 483 As described in section 1, for the retrieval of aerosol properties from satellite measured radiances it is important 484 to separate the TOA radiance signal from the underlying surface and atmospheric constituents including trace 485 gases. It should be noted that the RTM used in this work accounts only for the H₂O and O₃ gaseous absorption. For the UV channels from OMI, specific wavelengths at 340, 354 and 388 nm are chosen for aerosol retrieval 486 487 that has minimal absorption due to trace gases (Torres et al., 2007). However, for the visible channels at 466 and 488 646 nm from MODIS the absorption trace gases such as NO₂ could be significant. To account for the remaining 489 trace gases (for example O_2 , NO_2 , etc.), we use an estimate of the optical depth due to all trace gases in the 490 atmosphere to derive the error incurred in our SSA retrievals. The combined optical depth of all traces gases that 491 has absorption lines in visible wavelengths are estimated to be 0.0042 and 0.0344 for the MODIS wavelengths at 466 nm and 646 nm, respectively (Patadia et al., 2018). To estimate an error in our aerosol SSA retrieval due to 492 493 unaccounted trace gases we initially derive the LUT radiances for the prescribed τ_{gases} and retrieve SSA by perturbing the TOA radiance by that amount. Since our LUT radiances are developed by not accounting for all 494 495 trace gases, the retrieval of SSA matches higher radiances than the actual, producing lower aerosol SSA than the actual. Our analysis shows that for the prescribed τ_{gases} the error in the retrieved aerosol SSA for observations 496 with $\tau_{440} = 0.4$ are negligible at 466 nm and within 0.024 at 646 nm. 497
- Figure 7 shows the error analysis of the retrieved SSA as a function of wavelength and optical depth given a change in the (a) surface reflectance, (b) ALH, and (c) surface pressure. We perturb the surface reflectance by an absolute ± 0.01 for all wavelengths to derive an estimate of error incurred in the SSA retrieval. Our results indicate that $\Delta \omega_0$ increases with increasing wavelength and decreasing τ due to changes in surface reflectance for all aerosol types. For less-absorbing (urban) aerosols, the surface reflectance becomes increasingly important at the visible wavelengths than compared to absorbing (carbonaceous or dust) aerosols. For the observations with $\tau_{440} = 0.4$, it is noted that $\Delta \omega_0$ are within ± 0.011 and ± 0.050 at 340 nm and 646 nm, respectively. In contrast to the

- surface reflectance, the effect of ALH becomes prominent at near-UV wavelengths under the prescribed uncertainty of ± 1 km. The $\Delta \omega_0$ due to changes in ALH decreases with wavelength because of the gradually diminishing the intensity of Rayleigh scattering (proportional to λ^{-4}) and its radiative interactions with aerosols. For the observations with $\tau_{440} = 0.4$, it is noted that $\Delta \omega_0$ due to ± 1 km ALH are within ± 0.028 and ± 0.001 at 340 nm and 646 nm respectively for both absorbing and non-absorbing aerosols.
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512 Another essential input in our SSA retrieval algorithm employed here is the terrain or surface pressure that determines the contribution of molecular scattering in the simulated TOA radiances through pre-computed LUT. 513 We assume an uncertainty of ± 100 m in the terrain height equivalent to ± 12 mb or hPa pressure to derive an 514 estimate of error incurred in aerosol SSA. Our analysis indicates that an overestimation of surface pressure 515 516 produces lower aerosol SSA to compensate the higher radiances reaching the TOA. For absorbing (carbonaceous 517 or dust) aerosols, the effect of surface pressure on the retrieved SSA is high in the UV spectrum than in visible, 518 while for less-absorbing (urban) aerosols the error is retrieved SSA is spectrally invariant. Colarco et al., (2017) provides detailed examination of the effect of terrain pressure on OMI measurements and reported these effects 519 are prominent at sites over mountainous regions owing to the coarser OMI footprint. In addition to the surface 520 elevation uncertainty, the atmospheric effects also alter surface pressure. For the observations with $\tau_{440} = 0.4$, it is 521 noted that $\Delta \omega_0$ due to ± 12 hPa surface pressure is within ± 0.011 and ± 0.006 at 340 nm and 646 nm, respectively. 522 Sensitivity tests are performed on observations that were reported with best accuracy (minimal cloud 523 contamination in both OMI and MODIS data sets) for a few selected sites that include GSFC, Avignon, 524 Tamanrasset INM, Saada, Alta Floresta, and Mongu. These sites were selected to include different types of 525 aerosols observed over these sites. Figure 5 shows the error analysis of the retrieved SSA as a function of 526 wavelength and optical depth given a change in the surface reflectance and ALH by an absolute change of ± 0.01 527 and ± 1 km ALH. The absolute error is computed as the SSA obtained with altered input minus the actual SSA. 528 As expected, the error in retrieved SSA increases with increasing wavelength and decreasing τ due to changes in 529 surface reflectance for all aerosol types. For less absorbing (Urban) aerosols, the surface reflectance becomes 530

increasingly important at the visible wavelengths than compared to absorbing aerosols. Our analysis shows that for small τ_{440} (~0.2), the error in retrieved SSA is much higher (>±0.05) for visible wavelengths, while that in the

near-UV region reaches up to ± 0.03 . However, for observations with $\tau_{440} \sim 0.4$, a reliable accuracy of within

 ± 0.05 is achieved even for the non absorbing aerosols at 646 nm. In contrast to the surface reflectivity, the effect of ALH becomes prominent at near UV wavelengths. The error in retrieved SSA due to changes in ALH

decreases with wavelength because of the gradually diminishing the intensity of Rayleigh scattering and its

 $radiative interactions with aerosols. The errors in the retrieved SSA are estimated to be better than <math>\pm 0.03$ in the near UV and negligible at visible wavelengths for both absorbing and non-absorbing aerosols.

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Table 2 summarizes the SSA error analysis 340 nm and 646 nm due to uncertainties in most relevant input 540 541 variables. Among the nine input variables used in our algorithm, the $\Delta \omega_0$ at 340 nm arises mostly from (in descending order) the uncertainties in calibration of TOA radiances, sub-pixel cloud contamination, ALH, 542 particle sizes and so on. While for the visible wavelength at 646 nm the $\Delta \omega_0$ arises mostly from (in descending 543 order) cloud contamination, surface reflectance, calibration of TOA radiances, particle sizes, trace gases, and so 544 545 on. These sensitivity tests clearly indicate that $\Delta \omega_0$ is (a) spectrally dependent due to multiple variables, (b) decreases with increasing τ , and (c) varies with absorbing nature of aerosols. The combined error in retrieved 546 SSA can be now estimated as the square root of the sum of individual error squares (RMSE). Overall, for the 547 observations with $\tau_{440} = 0.4$, the combined error in the retrieved SSA for absorbing (less-absorbing) aerosols are 548 within ± 0.043 (± 0.038) and ± 0.073 (± 0.086) at 340 nm and 646 nm, respectively. However, it should be noted 549 550 that depending on the reliability of the input variables, the errors stemming from individual sources could be in 551 opposite direction resulting in cancellation of errors. Under such scenario, the combined error in the retrieved 552 spectral SSA is expected to be much lesser than the combined value reported here with our sensitivity tests. presents the achievable accuracy in our SSA retrievals at 340 nm and 646 nm. Overall, for the observations with 553 τ_{440} equals to 0.4, the estimated accuracy in our retrieved SSA is within ± 0.03 (± 0.05) for absorbing (non-554 absorbing) aerosols through 340-646 nm. While for the observations with τ_{440} up to 0.2, the achievable accuracy 555 556 in SSA reaches up to ± 0.05 (> ± 0.05) for absorbing (non absorbing) aerosols.

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558 **<u>4.2 Estimation of theoretical errors in the derived AAE</u>**

Similar to the estimation of errors in the retrieval of SSA, we conduct sensitivity tests to determine the errors in the computed AAE due to certainties in the retrieved SSA. We assume a fixed $\omega_0(388) = 0.9$, aerosol load $\tau_{440} =$ 0.4, EAE₃₄₀₋₆₄₆ of 1.9, 0.2, and 1.9 for carbonaceous, dust and urban aerosols, respectively to derive the nominal AAE values at three wavelength pairs 354-388, 466-646, and 340-646. By using fixed $\tau(\lambda)$, we perturb the $\omega_0(\lambda)$ by ±0.01 intervals to compute the AAE. The errors are reported as difference in AAE from perturbed SSA minus the AAE derived from nominal SSA.

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566 Table 3 presents the theoretical uncertainty in computed AAE due to uncertainties in the SSA. As expected, the ΔAAE noted for all wavelength pairs increases with increasing $\Delta \omega_0$ for all aerosol types. It is noted that for fine 567 mode particles (carbonaceous and urban), an overestimation of ω_0 produces lower AAE (negative errors) and 568 underestimation of ω_0 produces higher AAE (positive errors). In contrary for coarse mode particles, an 569 overestimation of ω_0 produces higher AAE (positive errors) and underestimation of ω_0 produces lower AAE 570 (negative errors). This is due to the fact that large particle size drives the scattering effect producing low aerosol 571 absorption optical depths and, therefore, further overestimation of ω_0 yields lower single scattering co-albedo (1 – 572 $ω_{o}$). The magnitude of ΔAAE is higher for overestimation than those noted for underestimation of SSA. In 573 574 addition, it is noted that for fine mode particles the errors in $\triangle AAE_{354-388}$ (UV spectral range) are higher, while for 575 coarse mode particles the errors in $\Delta AAE_{466-646}$ (visible spectral range) are higher than the other two wavelength pairs. For the assumed carbonaceous aerosols, perturbation of $\Delta \omega_0 = \pm 0.04$ yields a ΔAAE within ± 0.13 for all 576 wavelength pairs. For the urban aerosols, perturbation of $\Delta \omega_0 = \pm 0.04$ yields a ΔAAE within ± 0.70 for all 577 wavelength pairs. However, for dust a perturbation of $\Delta \omega_0 = \pm 0.04$ yields a ΔAAE up to ± 1.3 for 354-388 578 wavelength pair and much higher in the 466-646, and 340-646 wavelength pairs. Additional tests were performed 579 580 by perturbing only one of the SSA while deriving AAE for any wavelength pair. The resulting $\triangle AAE$ is much 581 higher than for the tests where SSA is perturbed for all wavelengths. It is noted that for even a small perturbation of $\Delta\omega_0 = \pm 0.01$ at one of the wavelengths in a pair, the ΔAAE is $\pm 1.2, \pm 0.2$, and ± 0.4 for the wavelength pairs at 582 354-388, 466-646, and 340-646 respectively for all aerosol types. Overall, the errors noted for AAE are 583 consistent with the wavelength dependence of ω_0 that is function of both size and absorbing nature of the 584 585 particles.

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The results presented hereafter include only a data subset that meets the following three conditions: (a) SSA retrievals are available for all five wavelengths on a given day, (b) $\tau_{440} > 0.4$, to ensure reliable accuracy spanning through UV Visible wavelengths, and (c) there are at least 5 days of observations available per season per aerosol type. We include results of retrieved SSA for cases when $\tau_{440} \leq 0.2$ as supplementary materials. Table 3 presents seasonal averages of aerosol SSA and AAE for all sites considered in this work. The spectral dependence of absorption quantified as AAE is reported for three different wavelength pairs covering the near-UV (354, 388), Vis (466, 646) and UV Vis (340, 646) spectra.

595 5.1 Biomass Burning

596 5.1.1 South America

The AERONET sites located in South America include Alta Floresta, Arica, CEILAP-BA, CUIABA-Miranda, 597 Rio Branco, Ji Parana SE, SANTA CRUZ UTEPSA, Sao Paulo and Campo Grande SONDA. In general, 598 earbonaceous aerosols over South America are dominantly emitted from biomass burning during southern 599 hemisphere spring (JJA) and summer (SON), with distinct peaks in August and September. Most aerosol 600 emissions are associated with biomass burning for land and agricultural management practices. Further, densely 601 populated places like Sao Paulo and CEILAP-BA are also affected by vehicular and industrial emissions. 602 Aerosols from northern parts of the Amazon Basin advecting south or southeast, and long-range transport of aged 603 smoke from Southern Africa are not uncommon over few locations in South America. Figure 6a shows regional 604 average SSA for carbonaceous particles at 466 nm is noted to be 0.92 (0.93) during JJA (SON) months. Average 605 τ_{440} and $\alpha_{440,870}$ are about 1 and 1.8 respectively for both seasons, indicating dominantly fine mode nature of these 606 particles. Spectral SSA increases from 340 nm (0.90) to 388 nm (0.93) followed by a decrease toward the visible 607 wavelengths. For urban aerosols, the average τ_{440} (0.57) and $\alpha_{440,870}$ (1.70) are lower than those of carbonaceous 608 particles. The average SSA at 466 nm for urban aerosols is 0.88 (0.92) for JJA (SON) months. We also find that 609 the urban aerosols in South America are more absorbing during JJA than in SON. Examining individual sites 610 (Table 3) reveals high absorption during JJA at CUIABA and Sao Paulo. It is likely that the urban aerosol 611 612 samples shown here attribute to a mixture of aerosols.

613 5.1.2 Southern Africa

614 The AERONET sites located in the Southern Africa are Mongu, Pretoria, and Skukuza. In addition to the natural forest fires and emissions from crop residue burning, heavy industrial facilities and episodic dust commonly 615 dictate the aerosol amounts over Southern Africa. Figure 6b shows the regional average SSA derived for aerosols 616 over the Southern Africa. For the carbonaceous and urban aerosols observed over the region, maximum 617 absorption is found in JJA period. The average SSA for carbonaceous particles increases from 340 nm to 466 nm 618 and then decreases at longer wavelengths. Distinct seasonality in absorption for carbonaceous particles is 619 observed with maximum (minimum) value of 0.87 (0.90) at 466 nm for JJA (SON) months. The range of regional 620 average values of τ_{440} and $\alpha_{440,870}$ for carbonaceous particles are about 0.76 to 1.02 and 1.76 to 1.84 ranges 621 respectively, while for urban aerosols the variability ranges are 0.55 to 0.73 and 1.68 to 1.74, respectively. As 622 noted, τ_{440} and $\alpha_{440,870}$ are higher for carbonaceous particulate than for urban aerosols. For the latter, the average 623

SSA shows similar spectral behavior as carbonaceous particles. However, the AAE of carbonaceous aerosols in the UV-Vis range is noted to be ~1.73, while for urban aerosols it is ~2.2. Notable seasonality for urban aerosols is observed for DJF where the average SSA is almost flat from 340 nm to 466 nm with a slight increase thereafter. Aerosols organic components are likely the cause of such absorption spectral feature.

628 **5.1.3 Australia**

629 In general, inland Australia is categorized as arid region vastly covered with deserts. However, Northern and Western parts of the continent are covered with savanna grasslands, where biomass burning due to natural forest 630 fires and land management practices are known to produce high aerosol emissions during the dry season (May-631 October). The regional average SSA for the aerosols observed over Northern Australia at the sites Jabiru and 632 Lake Argyle is shown in figure 6c. For the sample obtained in this work, carbonaceous and urban aerosols are 633 found during spring (SON). The average τ_{440} and $\alpha_{440,870}$ for carbonaceous particles is 0.65 and 1.62, respectively. 634 Average SSA increases with wavelength from 0.87 (340 nm) to 0.89 (388 nm) and then decreases to 0.87 (646 635 nm). Such behavior of fine-mode particles is likely a result of a mixture of black carbon and organic carbon 636 amounts in the atmosphere, producing stronger (weaker) absorption in the UV (Vis) wavelengths. Although the 637 mean $\alpha_{440-870}$ for both carbonaceous and urban aerosols is similar, the mean τ_{440} for urban particles is relatively 638 low. The UV-Vis spectral dependence of urban aerosols (1.57) follows similar behavior as carbonaceous aerosols 639 640 (1.42). Unlike the typical urban aerosol absorption, the spectral SSA observed here indicates a mixture of urban 641 and carbonaceous particles.

642 **5.2 Dust**

643 **5.2.1 Sahara**

The seasonal average SSA of aerosols over the sites Saada and Tamanrasset is shown in figure 7a. As one would 644 expect from the region, dominantly dust aerosols are observed with average τ_{440} and $\alpha_{440,870}$ ranging from 0.63 to 645 0.85 and 0.11 to 0.16, respectively. Regional average aerosol SSA obtained at 466 nm is ~0.94. The spectral 646 dependence of dust aerosols shows increase in SSA with wavelength, i.e., 0.86 at 340 nm to 0.97 at 646 nm. The 647 UV-Vis AAE obtained for dust aerosols range from 2.8 to 3.3 with no distinct seasonality in the average spectral 648 SSA. As noted, in addition to the coarse dust both sites constitute intermediate range of aerosols with average 649 $\alpha_{440.870}$ up to 0.3. Compared to coarse particles the intermediate sizes exhibit typical 'dust' absorption curve but 650 has relatively low SSA (0.95) at 646 nm and therefore low UV Vis AAE (2.1 to 2.5). This is consistent with the 651 known dependence of scattering effects at longer wavelength for the dust particles. 652

653 5.2.2 Sahel

The AERONET sites located in the Sahel region are Agoufou, Banizoumbou, Dakar, IER Cinzana, Ilorin, 654 Ouagauodu, and Zinder Airport. Regional average SSA for the aerosols over Sahel region is shown in figure 7b. 655 For dust aerosols, the average spectral SSA resembles typical dust absorption curve (increase in SSA with 656 wavelength). No distinct seasonality in regional average absorption is observed. However, during SON months 657 relatively low τ_{440} (0.64) and UV-Vis AAE (1.57) is noted compared to other seasons. Aerosols with intermediate 658 659 size category ($0.2 < \alpha_{440.870} < 1.2$) are observed for all sites in the Sahel region. The spectral SSA obtained for these aerosols clearly indicate mixture of dust and carbonaceous particles. Eck et al. (2003) reported smoke 660 particles found toward southern parts of the West Africa are relatively coarse (a ranges 0.3 to 0.5) than those 661 found elsewhere likely a result of mixing with other aerosol types, coagulation, humidification or combination 662 of such processes. Typical carbonaceous absorption curve noted here for the wet season (JJA and SON) is 663 consistent with those reports. The average SSA for these (coarse-) carbonaceous particles is ~0.93 at 466 nm and 664 exhibit a UV-Vis AAE range 0.5 to 1.2. The average SSA observed for DJF and MAM (dry season) indicates a 665 mixture of prevailing dust and carbonaceous particles. For the sample obtained over Sahel region, fine-mode 666 carbonaceous and urban aerosols are observed at Horin during DJF and SON. Both these aerosol types show 667 significant absorption with the average SSA at 340 nm and 646 nm is ~0.86 and 0.87, respectively, with nearly 668 no spectral dependence. This likely indicates the presence of black carbon amounts over the Ilorin site during 669 DJF and SON. In addition to the biomass burning, fossil fuel combustion, and vehicular emissions, the vast 670 number of gas flaring stations (> 300) around the Niger Delta produces high emissions (Onveuwaoma et al., 671 2015). Highly absorbing black carbon amounts observed at Ilorin is possibly a result of such emissions. 672

673 5.2.3 Arabian Peninsula

The AERONET sites located in the Middle East/Arabian Peninsula region include Solar Village, 674 SEDE BOKER, Nes Ziona, and Cairo EMA 2. The regional average SSA of aerosols observed for these sites is 675 shown in figure 7c. For dust aerosols, as expected, the average SSA increases with increasing wavelength. The 676 regional average SSA ranges from 0.89 to 0.98 at 340 nm to 646 nm, with UV-Vis AAE in the range of 2.7 to 677 3.8. No distinct seasonality in SSA is found from our sample of observations. However, a slight increase in SSA 678 at UV wavelengths is noted during winter (DJF). Examining individual sites reveal this feature corresponds to the 679 aerosols over Solar Village. The increase in SSA and high AAE_{354 388} noted for Solar Village during DJF likely 680 681 indicates transport of aerosols from neighboring regions. Intermediate range of particles with $0.2 < \alpha_{440,870} < 1.2$ are observed over all sites. The regional average SSA observed for these particles clearly indicate mixture of aerosols. Carbonaceous aerosols found over Cairo from our sample have average SSA ranging from 0.89 to 0.91 at 340 nm to 646 nm. The UV-Vis AAE (1.91) obtained for these aerosols likely indicate mixture of black and organic carbon amounts. For the urban aerosols observed over Cairo and Nes Ziona the regional average SSA is found to be 0.89 at both 340 nm and 646 nm indicative of mixture of aerosols. While urban aerosols and pollution prevail over Nes Ziona, emissions from crop residue burning (rice straws) over the Nile delta region during winter (DJF) and heavy pollution dictate the aerosol absorption noted over Cairo.

689 **5.3 Urban/Industrial**

690 5.3.1 Western North America

Dominantly urban type aerosols are observed in Western North America primarily produced from industrial 691 692 activities and vehicle emissions. In addition, owing to the general meteorological and geographical setting of the western North America, the region experiences drier months in the summer and fall that initiates natural forest 693 fires. The regional average aerosol SSA derived from our sample over the western North America is shown in 694 figure 8a. The average aerosol absorption for urban aerosols decreases in the wavelength range 340-388 nm 695 696 followed by an increase at longer wavelengths. Carbonaceous aerosols are observed in our sample over the Missoula site located in the State of Montana. The average SSA retrieved for carbonaceous particles increases 697 with wavelengths from 340 nm to 466 nm (0.89 at 0.94) and then decreases towards 646 nm (0.88). The average 698 τ_{440} , $\alpha_{440,870}$ and UV Vis AAE obtained for the carbonaceous particles are 1.74, 1.8 and 1.72 respectively. 699

700 5.3.2 Eastern North America

Similar to the western part, atmospheric aerosols found over the eastern North America primarily originated from 701 the industrial activities and secondary aerosol processes (Malm, 1992). Biomass burning generated carbonaceous 702 particles and dust or mixture of aerosols over the eastern parts of the continent is a rare occurrence, except in the 703 events of long range transport of smoke from the west. Thus, the average aerosol SSA retrieved over the 704 AERONET sites in this region follows a typical 'Urban' spectral absorption curve, as shown in figure 8b. It is 705 observed that aerosol SSA increases from the 340 nm to 388 nm or 466 nm and then decreases attaining a 706 maximum absorption (~0.90) at 646 nm. The regional average SSA for the MAM and JJA months at 466 nm is 707 0.89 and 0.87 respectively. It should be noted that for urban aerosols the retrieval error at visible wavelength is 708 709 high (up to 0.05). Since there are no notable changes in the aerosol sources, the observed decrease absorption at 646 nm for JJA period is likely attributed from the retrieval uncertainty. 710

711 5.3.3 Europe

For the AERONET sites located in the Europe, dominantly urban aerosols are observed (figure 8c). The regional 712 average SSA increases from 340 nm to 388 nm and then decreases reaching a minimum value (0.83) at 646 nm 713 for most seasons. The observed aerosol absorption is similar for spring (MAM) and summer (JJA). However, 714 there is an increase in absorption at wavelengths other than 646 nm for fall (SON) that reaches a maximum 715 absorption 0.86 and 0.89 at 340 nm and 466 nm respectively. This likely suggests the presence of organic carbon 716 717 amounts emitted from local fuel combustion sources. This feature is consistent with Ilias et al., (2019), that reports annual cycle of aerosol absorption over Thessaloniki site. Individual site observations reveal this increase 718 719 in absorption is prominent for most locations in Europe during fall (Table 3) and winter (Table S1). While the aerosol loading is similar throughout the seasons, the average UV-Vis AAE for urban aerosols range from 1.0 to 720 721 1.26. In addition to the urban/industrial aerosols, long-range transport of dust from Sahara is not uncommon over central Europe and Mediterranean Basin. Although our sample over European sites do not constitute any coarse 722 mode particles, intermediate range ($0.2 < \alpha_{440-870} < 1.2$) of aerosols are observed for most sites. The average SSA 723 curve noted here indicates mixture of aerosols. 724

725 **5.4 Mixed aerosol types**

726 5.4.1 Mid-Atlantic North America

727 The seasonal average aerosol SSA obtained over the Mexico City is shown in figure 9a. Unlike typical urban aerosol absorption, the SSA curve obtained here shows steep decrease from 388 nm to 466 nm and remains flat or 728 729 slightly decreases till the 646 nm. Seasonality in aerosol absorption is observed at UV wavelengths (< 400 nm) with maximum (DJF, SON) and minimum (MAM) absorption of 0.86 and 0.91 at 354 nm. It is clearly evident 730 that such absorption curve and seasonal variation is a result of prevailing mixture of aerosols. Seasonal average 731 a440.870 indicates the prevalence of fine mode particles over the Mexico City. The average SSA at 466 nm for DJF, 732 MAM and SON months are 0.85, 0.86 and 0.84 respectively. Although the seasonal average UV-Vis AAE of 733 aerosols over Mexico City ranges from 0.94-1.27, the AAE_{354 388} is higher for (5.13) MAM than compared to 734 (2.2) DJF and (0.6) SON months. In general, Mexico City is a densely populated urban location that is well 735 known for its high pollution levels among the other megacities worldwide. In addition to the high concentration 736 of aerosols from fossil fuel combustion throughout the year, Mexico City also experiences biomass burning 737 aerosols during the relatively dry months of March-May from local sources (Eck et al., 1998). 738

739 5.4.2 North-Eastern Asia

The AERONET sites located in the Northeastern Asia include: Beijing, Osaka, Shirahama and XiangHe. Figure 740 9b shows the regional average SSA derived over Northeastern China. For the samples obtained, dust aerosols 741 possibly mixed with regional pollution are observed over Beijing and XiangHe during spring (MAM). The 742 spectral curve of regional average SSA shows an increase from 0.87 at 340 nm to 0.95 at 646 nm. The UV-Vis 743 744 AAE obtained for the dust aerosols is 1.56. Among sites considered here, carbonaceous aerosols are observed 745 throughout the year at Beijing and XiangHe. The spectral behavior of carbonaceous aerosols shows increase in SSA from 340 nm to 466 nm and thereafter remains near constant or slightly decreases with an UV-Vis 746 747 dependence ranging from 1.84 to 2.14. However, significant seasonality is noted with minimum (0.95 at 466 nm) and maximum (0.90 at 466 nm) absorption during JJA and DJF respectively. The increase in SSA likely caused 748 the humidification and secondary aerosol processes during JJA. Carbonaceous and urban aerosols over the region 749 show high absorption in winter (DJF), likely due to high amounts of local fossil fuel combustion and agricultural 750 waste burning. The spectral behavior of urban aerosols is similar to carbonaceous aerosols with decrease in 751 magnitude of average SSA, AOD, and UV-Vis AAE. As expected from the local sources, mixture of aerosols 752 eategorized by particle sizes ($0.2 < \alpha_{440, 870} < 1.2$) is observed at all sites in the region with widely varying spectral 753 dependence. 754

755 5.4.3 Northern India

The AERONET sites located in Northern India include Jaipur, Gandhi College and Kanpur. Major source of 756 aerosols over the region includes industrial and vehicular emissions, combustion of biomass and fossil fuels. In 757 addition, desert dust passage from arid and semiarid regions of northwestern India, Pakistan, and Arabian 758 Peninsula is commonly observed during spring and summer months. Figure 9c shows the regional average 759 aerosol absorption observed at Kanpur. As expected from the source regions, dust aerosols are observed during 760 spring (MAM) and summer (JJA) months over Jaipur and Kanpur. The average SSA shows a steep increase from 761 340 nm (0.88) to 466 nm (0.95), and a relatively smaller increase from 466 nm to 646 nm (0.97). The dust 762 aerosols noted here has average T440 0.73 to 0.77 and exhibit UV-Vis AAE between from 2.9 to 3.4. For our 763 sample, carbonaceous aerosols are observed over Kanpur and Gandhi College with similar regional average 764 absorption during SON and DJF. The spectral behavior of carbonaceous aerosols shows increase in average SSA 765 from 340 nm (0.91) to 466 nm (0.93) and slight decrease till 646 nm (0.91). The regional average UV-Vis AAE 766 for carbonaceous aerosols range 1.2 to 1.5. Emissions from crop residue burning during SON and biomass 767

768 burning for residential heating in DJF prevail over the entire Indo-Gangetic plain and likely result in such absorption. In addition the vehicular and industrial emissions add an extra burden of aerosols to the atmosphere. 769 In other words, urban aerosols over the Northern India can be categorized as carbonaceous aerosols resulting 770 from various carbon emitting, both black and organic carbon, sources such as crop residue burning, local biomass 771 burning for house-hold heating purposes in DJF months, vehicular and industrial emissions. These urban aerosols 772 are relatively more absorbing ($\omega_{\theta}(466) \sim 0.89$) than the carbonaceous aerosols ($\omega_{\theta}(466) \sim 0.93$) from 773 industrial/vehicular activities observed over Northern India. Throughout the seasons, influence of pollution 774 aerosols is clearly evident in the observed urban aerosol absorption. Although the UV-Vis AAE is found to be in 775 the similar range, the AAE₃₅₄₋₃₈₈ values are low for urban than carbonaceous aerosols indicative of high organic 776 amounts in crop residue/biomass burning emissions. For the group of aerosols in between the fine and coarse 777 mode the spectral variation of SSA varies widely. 778

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780 <u>6 Spectral Aerosol Absorption in Major Aerosol Environments</u>

781 <u>In this section, we describe regional average aerosol absorption and AAE derived from our subset of results over</u>

782 worldwide regions dominated by carbonaceous, dust, and urban aerosols. The AERONET sites selected for this

783 <u>analysis are based on the dominant samples observed here and well-known aerosol sources from the literature.</u>

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The average of aerosol SSA and AAE derived for all sites considered in this work are presented in Table S1 as
 supplementary materials. In addition, the corresponding particle size distributions used for developing the LUT
 radiances for these sites are presented in supplementary materials as well.

788 <u>6.1 Biomass Burning</u>

Emissions from biomass burning are one of the major contributors of carbonaceous aerosols found in the atmosphere. These carbonaceous aerosols are primarily composed of black carbon and organic carbon components in addition to minor fractions of inorganic components (Andreae and Merlet, 2001). Studies show that black carbon amounts in the atmosphere are high absorbers of solar radiation and have near unity AAE due to invariant imaginary part of refractive index in the UV-Visible spectrum (Bergstrom, 1973; Bohren and Huffman, 1983; Bergstrom et al., 2002). The typical spectral behavior for carbonaceous aerosols has decreasing SSA with increasing wavelength in the visible spectrum (Eck et al., 1998; Reid and Hobbs, 1998). Additionally, the presence of organic carbon amounts shows enhanced absorption in the UV region (Kirchstetter et al., 2004).
 Our observations for the carbonaceous aerosols from worldwide biomass burning regions depict these
 characteristics very well. The seasonal average of spectral SSA for carbonaceous aerosols found over major
 aerosol environments are shown in Figure 11.

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- For the Missoula site located in Northwestern United States (US), carbonaceous aerosols are observed during 801 802 JJA. Aerosols observed over Missoula are primarily emitted from natural forest fires of the northwestern US in 803 the dry season (JJA). The spectral SSA of these aerosols is noted to increase from 340 nm (0.89±0.02) to 466 nm (0.94±0.03) followed by a decrease toward the 646 nm (0.90±0.06). Average τ_{440} and $\alpha_{440-870}$ are about 1.2 and 804 805 1.8 respectively, while the average $AAE_{340-646}$ is noted as 1.8. Our results are consistent with the insitu 806 measurements of wildfire smoke at the Missoula ground station for 2017 and 2018 summer, that reports an average SSA of 0.93-0.94 at 401 nm and AAE 1.7-1.9 over the spectral range 401-870 nm (Selimovic et al., 807 808 2020).
- Over South America, our subset of carbonaceous aerosols is observed for sites at the Alta Floresta, Cuiaba, Ji 810 Parana, and Santa Cruz. In general, carbonaceous aerosols over South America are dominantly emitted from 811 biomass burning during southern hemisphere spring (JJA) and summer (SON), with distinct peaks in August and 812 September. Most aerosol emissions are associated with biomass burning for land and agricultural management 813 814 practices. The regional average SSA of these aerosols at 466 nm is noted to be 0.92±0.03 (0.93±0.02) during JJA 815 (SON) months. Spectral SSA increases from 340 nm (0.90±0.02) to 388 nm (0.93±0.03) followed by a decrease 816 toward the visible wavelengths. Average τ_{440} and $\alpha_{440-870}$ are about 1 and 1.8 respectively with mean AAE₃₄₀₋₆₄₆ ranging between 1.5-1.8 for both JJA and SON months. Among the sites considered here, Cuiaba located in the 817 cerrado ecosystem exhibit highest aerosol absorption ($\omega_0 \sim 0.89 \pm 0.03$ at 466 nm), while the remaining sites are 818 819 surrounded by tropical rainforest exhibit relatively less absorption ($\omega_0 \sim 0.93 \pm 0.03$ at 466 nm). Burning of cerrado 820 (wooded savanna) and rainforest dominantly happens through flaming and smoldering phase combustion respectively, resulting in the noted variation of aerosol absorption over these sites (Schafer et al., 2008). 821

Over Southern Africa, our subset of carbonaceous aerosols is observed for sites at the Mongu, and Skukuza.
 Emissions from biomass burning primarily for agricultural and land management practices are major source of
 aerosols over Southern Africa (Eck et al., 2001, 2003). In addition, crop residue burning, heavy industrial
 facilities and episodic dust commonly dictate the aerosol amounts over Southern Africa. Fine mode carbonaceous

- aerosols noted over these sites shows high average absorption during JJA period. The average SSA for these aerosols increases from 340 nm to 466 nm and then decreases at longer wavelengths. Distinct seasonality in absorption for carbonaceous particles is observed with maximum (minimum) value of 0.87 ± 0.02 (0.90 ± 0.03) at 466 nm for JJA (SON) months. The range of regional average values of τ_{440} and $\alpha_{440-870}$ for these aerosols are about 0.76 to 1.02 and 1.76 to 1.84 ranges, respectively. Average AAE₃₄₀₋₆₄₆ of these carbonaceous aerosols is noted to be ~1.73 and 1.58 for JJA and SON months.
- For the sample obtained over Sahel region, carbonaceous aerosols are observed at Ilorin during DJF. Fine mode 834 835 aerosols observed over Ilorin are primarily emitted from the biomass burning of the grasslands and savanna in 836 Sahelian and Sudanian zones during the dry season (November through March). Our results show these aerosols 837 exhibit significant absorption with the average SSA ~0.86±0.02 and 0.87±0.03 at 340 nm and 646 nm, 838 respectively. Average τ_{440} and $\alpha_{440-870}$ are about 1 and 1.3 respectively with mean AAE₃₄₀₋₆₄₆ 1.38 for DJF period. 839 The high aerosol absorption noted here is consistent with the AERONET data analysis that reports high aerosol 840 absorption with increasing fine-mode fraction (FMF) of particles (SSA ~0.80-0.87 and 0.81-0.85 at 440 nm and 841 675 nm for observations with FMF of 0.75-0.54 at 675 nm) over Ilorin during the dry season (Eck et al., 2010). 842 Spectrally the SSA is noted to be nearly invariant, suggesting high fractions of black carbon amounts in the aerosols over Ilorin during DJF. Emissions from burning of grasslands and savanna in the Sahelian and Sudanian 843 844 zones dominantly happens through flaming phase combustion producing high amounts of soot (Eck et al., 2010). In addition to the biomass burning, fossil fuel combustion, and vehicular emissions, the vast number of gas 845 846 flaring stations (> 300) around the Niger Delta produces high emissions (Onyeuwaoma et al., 2015). Highly 847 absorbing black carbon amounts observed at Ilorin is likely a result of such emissions.
- For the Cairo site in the Middle East, carbonaceous aerosols are noted in our sample. Cairo in the Middle East is one of the highly polluted places among the megacities worldwide. Carbonaceous aerosols over Cairo are primarily emitted from burning of the agricultural waste in the Nile delta during the burning season from September through December (El-Metwally et al., 2008). The average SSA for these aerosols ranges from 0.89±0.03 to 0.91±0.05 exhibiting weak spectral dependence from 340 nm to 646 nm. Average τ_{440} and $\alpha_{440-870}$ are about 0.6 and 1.4 respectively with AAE₃₄₀₋₆₄₆ about 1.9 during DJF. The spectral dependence noted for these aerosols likely indicate mixture of black and organic carbon amounts.
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Over Northeastern China, carbonaceous aerosols are observed throughout the year at the sites Beijing and XiangHe. The spectral behavior of carbonaceous aerosols at these sites shows increase in SSA from 340 nm to 466 nm and thereafter remains near constant or slightly decreases with an UV-Vis dependence ranging from 1.84 to 2.14. However, significant seasonality is noted with minimum (0.95±0.03 at 466 nm) and maximum (0.90±0.04 at 466 nm) absorption during JJA and DJF, respectively. The increase in SSA is likely result of humidification and secondary aerosol processes during JJA. The high aerosol absorption noted during winter (DJF) is likely contributed from high amounts of local fossil fuel combustion and agricultural waste burning.

For the sample obtained over Northern India, carbonaceous aerosols are observed over Kanpur and Gandhi 865 College during SON and DJF. Emissions from crop residue burning during SON and biomass burning for 866 867 residential heating in DJF prevail over the entire Indo-Gangetic plain and likely result in such absorption. In addition, industrial activities and vehicular emissions are observed throughout the seasons. The spectral behavior 868 869 of these aerosols shows increase in average SSA from 340 nm (0.91±0.02) to 466 nm (0.93±0.03) and slight 870 decrease till 646 nm (0.91 \pm 0.04). The regional average AAE₃₄₀₋₆₄₆ for carbonaceous aerosols ranges 1.2 to 1.5. 871 The weak spectral dependence of SSA noted here is consistent with AERONET SSA analysis. For the aerosols 872 observed over the Kanpur site, spectral dependence of aerosols becomes nearly invariant (SSA ~ 0.89 at 440 and 873 675 nm) for high fine mode fraction (FMF ~0.85) of aerosols (Eck et al., 2010).

875 Over Northern Australia, carbonaceous aerosols are observed at the sites Jabiru and Lake Argyle during SON. In 876 general, Northern and Western parts of the Australia are covered with savanna grasslands, woodlands, and 877 forests, where biomass burning due to natural fires and land management practices are known to produce high aerosol emissions during the dry season (Scott et al., 1992; Mitchell et al., 2013). Our results indicate the average 878 879 SSA for carbonaceous aerosols over Northern Australia increases with wavelength from 0.87 ± 0.02 (340 nm) to 880 0.89±0.03 (388 nm) and then decreases to 0.87±0.06 (646 nm). Average τ_{440} and $\alpha_{440-870}$ for these aerosols is 0.65 881 and 1.62, respectively, while the UV-Vis spectral dependence (AAE₃₄₀₋₆₄₆) is noted to be ~1.42. Such behavior of 882 fine-mode particles is likely a result of a mixture of black carbon and organic carbon amounts in the atmosphere, producing stronger (weaker) absorption in the UV (Vis) wavelengths. 883

884 <u>6.2 Dust</u>

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885 For dust aerosols, minerals such as hematite and other form of oxides play role in scattering/absorption of 886 particles. The absorbing nature of pure dust aerosols close to the source is sensitive to the presence of hematite than other minerals at shorter wavelengths (Sokolik and Toon, 1999). In addition to the sedimentation of coarse aggregates, the dust aerosols observed away from the source are sometimes found to have mixed (internally or externally) with anthropogenic aerosols altering its absorbing nature. Studies show that the typical spectral behavior of dust absorption decreases with increasing wavelength primarily due to attributed to the larger size of the particles (Sokolik and Toon, 1996, 1999). However, the absorption of dust from different sources is known to vary depending on the mineral composition of the soil origin (Di Biagio et al., 2019). The seasonal average of spectral SSA for coarse-mode dust aerosols found over major aerosol environments are shown in Figure 12.

895 For the dust aerosols sample obtained at Saharan region at the sites Tamanrasset and Saada, the average SSA is 896 ~ 0.94 at 466 nm. The average SSA for these dust aerosols increase with wavelength from about 0.86 ± 0.03 at 340 897 nm to 0.97±0.02 at 646 nm. The seasonal average AAE₃₄₀₋₆₄₆ for dust aerosols derived at these sites range from 2.8 to 3.3 with no distinct seasonality in the average spectral SSA. Dust aerosols over the Middle East are 898 899 observed for the sites Cairo, Solar Village and SEDE BOKER with an average SSA ~0.95±0.02 at 466 nm. From 900 UV (340 nm) to visible (646 nm), the regional average SSA for dust over the Middle East sites ranges from 901 0.89 ± 0.03 to 0.98 ± 0.02 , while the AAE₃₄₀₋₆₄₆ ranges from 2.7 to 3.8. No distinct seasonality in SSA is found from 902 our sample for the dust aerosols over Middle East. However, a slight increase in SSA at UV wavelengths is noted 903 during winter (DJF). Examining individual sites reveal this feature corresponds to the aerosols over Solar Village (Table S1). The increase in SSA and high AAE354-388 noted for Solar Village during DJF likely indicates transport 904 905 of aerosols from neighbouring regions.

907 Over Sahel, dust aerosols are observed for several sites that include Agoufou, Banizoumbou, Dakar, IER Cinzana, Ilorin, Ouagauodu, and Zinder Airport. Located in the middle of Sahelian region through the west 908 Africa, these sites are influenced by both dust and biomass burning emissions (Basart et al., 2009). It should be 909 910 noted that for the identifying dust in this work, we use $\alpha_{440.870} \leq 0.2$ derived from AERONET. The regional 911 average spectral SSA for dust aerosols derived here resembles typical dust absorption curve (increase in SSA 912 with wavelength, $\sim 0.87-0.91\pm0.03$ at 340 nm to $0.95-0.97\pm0.02$ at 646 nm) with absorption ranging $\sim 0.93-0.93-0.91\pm0.03$ 0.94±0.02 at 466 nm. No distinct seasonality in absorption of dust aerosols is noted over Sahel. Average AAE₃₄₀-913 914 646 for dust over Sahel ranges 2.0-2.3 for all seasons with an exception during SON where AAE₃₄₀₋₆₄₆ is noted to 915 be relatively less 1.57.

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917	Over Northern India, dust aerosols are observed during spring (MAM) and summer (JJA) months for the sites at
918	Jaipur and Kanpur, where the former site is in proximity to the Thar desert and the later site is influenced by the
919	dust transport. The average SSA shows a steep increase from 340 nm (0.88±0.02) to 466 nm (0.95±0.03), and a
920	relatively smaller increase from 466 nm to 646 nm (0.97±0.02). The dust aerosols noted here has average τ_{440}
921	0.73 to 0.77 and exhibit AAE ₃₄₀₋₆₄₆ between 2.9 to 3.4. Compared to AERONET the absorption for dust aerosols
922	derived here agrees well. Eck et al (2010) reports the coarse mode particles noted over Kanpur during pre-
923	monsoon (MAM) months exhibit climatological average SSA ~0.89 and 0.95 at 440 and 675 nm, respectively.
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925	For the samples obtained over northeastern China, dust aerosols are observed over Beijing and XiangHe during
926	spring (MAM). The spectral curve of regional average SSA shows an increase from 0.87±0.03 at 340 nm to
927	0.95±0.03 at 646 nm. The average AAE ₃₄₀₋₆₄₆ obtained for the dust aerosols at these sites is 1.56. Among the
928	regional dust observations presented here (Figure 12), northeastern China exhibit high absorption in visible
929	wavelengths ($\omega_{0} \sim 0.93 \pm 0.03$ and 0.95 ± 0.03 at 466 and 646 nm) and low AAE ₃₄₀₋₆₄₆ . It is likely that these coarse
930	particles are influenced by black carbon components over such highly polluted environments and exhibit
931	anomalously low AAE than dust particles noted for other regions. However, due to large particle size (a440-870
932	~0.09) the spectral SSA noted still shows increasing SSA with wavelength. Chaudhry et al., (2007) reported
933	insitu measurements of coarse mode particles over XiangHe during March-2005 that exhibits high absorption in
934	visible wavelengths (mo ~0.70-0.94 at 450, 550 and 700 nm). Li et al., (2007) explained the variation in SSA for
935	coarse particles during March-2005 over XiangHe is a result of synoptic fluctuation – passage of cold fronts that

values for coarse mode ($\alpha_{440-870} \le 0.2$, dust) are noted for few sites over the Sahelian region during DJF (burning season). It is likely that these coarse particles are influenced by black carbon amounts emitted from biomass burning.

uplifted ground-level pollution to higher altitudes influencing the aerosol absorption. Similar low AAE₃₄₀₋₆₄₆

940 <u>6.3 Urban aerosols</u>

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941 Urban aerosols dominantly constitute sulfates and other forms of nitrate particles. Additionally, industrial 942 emissions and fossil fuel combustion produces various forms of carbon that contribute to the overall optical 943 properties of urban aerosols. Further the aerosol size growth due to increase in relative humidity in the 944 atmosphere and coagulation processes are known to alter the absorbing nature of aerosols. The typical spectral 945 SSA of urban aerosols decreases with increase in wavelength from UV-Vis spectrum (Bergstrom, 1972). The seasonal average of spectral SSA for carbonaceous aerosols found over major aerosol environments are shown in
 Figure 13.

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Aerosols observed over the Central United States (US) at the sites Sioux Falls and Bondville are primarily 949 produced from industrial activities and vehicle emissions. The average aerosol SSA for these urban aerosols 950 951 shows increase $(0.93-0.95\pm0.03)$ in the wavelength range 340-388 nm followed by a decrease $(0.89\pm0.06 \text{ at } 646$ 952 nm) towards the visible wavelengths. Average τ_{440} and $\alpha_{440-870}$ are about 0.48 and 1.7 respectively, while the average AAE₃₄₀₋₆₄₆ noted as 1.1. Urban aerosols over the Mid-East US are noted for the sites at GSFC, 953 954 MD Science Center, and SERC. The average aerosol SSA noted for these sites increases from (0.93±0.02) 340 955 nm to (0.95 ± 0.03) 388 nm and then decreases attaining a maximum absorption (~0.87\pm0.06) at 646 nm. The 956 regional average SSA for the MAM and JJA months at 466 nm is 0.94±0.03 and 0.92±0.03, respectively. Seasonally, the average SSA noted for JJA follows typical 'urban' absorption curve, while the spectral SSA 957 958 shows decrease in aerosol absorption in the visible wavelengths for MAM. Since there are no significant changes 959 in aerosol sources during MAM and JJA over Mid-East US, the seasonal variation in SSA noted for visible 960 wavelengths are likely stemming from weak absorption signal insufficient for a robust retrieval. Recall, for weakly absorbing aerosols the error in SSA retrievals at visible wavelengths are high due to identified factors 961 962 from our analysis such as cloud contamination, surface reflectance, particle size, etc.

For the site at the Mexico City, Central America urban aerosols are noted in our sample. In general, Mexico City 964 is a densely populated urban location that is well known for its high pollution levels among the other megacities 965 worldwide. In addition to the high concentration of aerosols from fossil fuel combustion throughout the year, 966 Mexico City also experiences biomass-burning aerosols during the relatively dry months of March-May from 967 local sources. The seasonal average aerosol SSA over Mexico City shows decrease in absorption (0.90±0.03 and 968 969 0.91±0.05 at 340 and 388 nm) and increases towards the visible wavelengths during MAM. However, the spectral 970 SSA noted for DJF (0.88±0.04 and 0.87±0.05 at 340 and 388 nm) and SON (0.88±0.04 and 0.85±0.05 at 340 and 971 388 nm) deviates from known pattern and exhibit high absorption in the UV spectral range with nearly constant or slight increase in the visible spectral range. The average SSA at 466 nm for DJF, MAM and SON months are 972 0.85±0.06, 0.86±0.06 and 0.84±0.06, respectively with average $\alpha_{440-870}$ about 1.7 throughout the seasons. 973 974 Although the seasonal average AAE₃₄₀₋₆₄₆ of aerosols over Mexico City ranges from 0.94-1.27, the AAE₃₅₄₋₃₈₈ is 975 higher for (5.13) MAM than compared to (2.2) DJF and (0.6) SON months. It is likely that our aerosol samples 976 obtained during MAM are influenced by biomass burning emissions from local neighbour sources exhibiting high

AAE₃₅₄₋₃₈₈ values suggesting the absorption is driven by organic components (Barnard et al., 2008). While for the
 samples noted during SON and DJF the aerosol absorption is primarily driven by the black carbon components
 emitted from the heavy industrial and vehicular fleet over the region.

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981 Our subset of samples noted urban aerosols at the site Sao Paulo, South America. Sao Paulo is the largest South 982 American megacity with population exceeding 21 million inhabitants. Heavy industrial and vehicular emissions 983 are the dominant source of aerosols observed over Sao Paulo. In addition, aerosols from northern parts of the 984 Amazon Basin advecting south or southeast over Sao Paulo is not uncommon during the peak burning season 985 (August through September). Average τ_{440} and $\alpha_{440-870}$ noted for these aerosols are ~0.57 and 1.5, respectively 986 during JJA and SON months. Spectral SSA noted here resembles typical urban absorption curve during SON. 987 However, during JJA spectral SSA shows steep decrease from 340 nm to 388 nm (0.87±0.03 to 0.85±0.03) and remains nearly invariant towards the visible spectral range from 466 to 646 nm (0.85±0.05 to 0.84±0.07). The 988 average SSA noted at 466 nm is 0.88±0.06 (0.92±0.06) for JJA (SON) months. It is noted that the urban aerosols 989 990 at Sao Paulo are more absorbing during JJA than in SON.

For the urban aerosols observed over Cairo and Nes Ziona in the Middle East, the average SSA is noted to be 0.89±0.03 at both 340 nm and 646 nm. While urban aerosols and pollution prevail over Nes Ziona, emissions from crop residue burning (rice straws) over the Nile delta region during winter (DJF) and heavy pollution dictate the aerosol absorption noted over Cairo. Average τ_{440} and $\alpha_{440-870}$ noted for these aerosols are ~0.60 and 1.3, respectively throughout the seasons, with mean AAE₃₄₀₋₆₄₆ ranging between 1.5-2.0. Unlike typical urban aerosol absorption curve, the spectral SSA noted for these aerosols do not exhibit steep decrease from 388 to 646 nm indicating mixture of black carbon and organic carbon amounts.

1000 Over Europe, dominantly urban aerosols are observed at the sites Avignon, Carpentras, Ispra, IMS-METU-ERI, Lille, Lecce University, Minsk, Modena, Moldova, Moscow MSU, Palaiseau, Rome, and Thessaloniki. 1001 1002 Primarily industrial activities, vehicular emissions are dominant sources of aerosols over Europe. In addition, fuel 1003 combustion for residential heating during winter and outbreak of episodic dust aerosols during spring-summer 1004 over Iberian Peninsula and Mediterranean basin are known to influence the aerosol loading (Basart et al., 2009; 1005 Mallet et al., 2013). The regional average SSA increases from 340 nm (0.91±0.02) to 388 nm (0.93±0.03) and 1006 then decreases reaching a minimum value (0.87 ± 0.07) at 646 nm for most seasons. The observed aerosol 1007 absorption is similar for spring (MAM) and summer (JJA). However, there is an increase in absorption at

- 1008wavelengths other than 646 nm for fall (SON) that reaches a maximum absorption 0.86 ± 0.03 and 0.90 ± 0.04 at1009340 nm and 466 nm, respectively. These highly observing aerosols in our sample are noted over polluted urban1010sites at Ispra, Modena, and Rome indicating the mixture of organic and black carbon amounts. Average τ_{440} and1011 $\alpha_{440-870}$ noted for these aerosols are ~0.50 and 1.5, respectively throughout the seasons, with mean AAE₃₄₀₋₆₄₆1012ranging between 1.0-1.3.
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1014 Over Northeastern China urban aerosols are noted for the sites Beijing, and XiangHe. Significant seasonality in 1015 aerosol SSA is noted with minimum (0.93±0.04 at 466 nm) and maximum (0.88±0.05 at 466 nm) absorption during JJA and DJF, respectively. Seasonal variability in aerosol absorption noted here is likely caused by the 1016 1017 humidification and secondary aerosol processes. In addition to the high industrial and vehicular emissions 1018 throughout the year, fuel combustion for residential heating purposes and agricultural waste burning during DJF adds additional aerosol burden over the Northeastern China. High aerosol absorption noted during DJF is likely a 1019 result of such emissions. Average τ_{440} and $\alpha_{440-870}$ noted for these aerosols are ~0.65 and 1.4, respectively 1020 1021 throughout the seasons, with mean $AAE_{340-646}$ ranging between 1.2-1.7. For the urban aerosols noted at the sites 1022 Shirahama, and Osaka in Japan during MAM, the spectral aerosol SSA noted follows typical urban absorption 1023 curve with slight increase in SSA from (0.90 ± 0.02) 340 nm to (0.92 ± 0.02) 388 nm and then a steep decrease 1024 towards (0.87 \pm 0.07) 646 nm. Average τ_{440} and $\alpha_{440-870}$ noted for these aerosols are ~0.5 and 1.5, respectively with 1025 mean AAE₃₄₀₋₆₄₆ about 1.2.

1027Over Northern India urban aerosols are noted for the sites at Jaipur, Kanpur, and Gandhi College. Major source1028of aerosols over the region includes industrial and vehicular emissions, combustion of biomass and fossil fuels.1029The average aerosol SSA increases from (0.88 ± 0.02) 340 nm to (0.90 ± 0.04) 466 nm and decreases towards 6461030nm (0.87 ± 0.07) 646 nm. These aerosols are noted to exhibit relatively high absorption $\omega_o \sim 0.89\pm0.04$ at 466 nm.1031Average τ_{440} and $\alpha_{440-870}$ noted for these aerosols are ~ 0.60 and 1.4, respectively throughout the seasons, with1032mean AAE₃₄₀₋₆₄₆ about 1.3. Throughout the seasons, influence of pollution aerosols is clearly evident in the1033observed aerosol absorption.

1034 **6-7 Discussion**

Through extensive studies in the literature, it is known that optical properties of biomass burning aerosols depend on fuel/vegetation type, combustion processes, available moisture content (e.g., Ward, 1992; Reid and Hobbs,

1998; Reid et al., 1998; Eck et al., 2001). Such studies reported varying properties of aerosols emitted from two 1037 phases of vegetation burning: flaming and smoldering. While flaming phase rapidly oxidizes the available 1038 volatile hydrocarbons in the biomass, smoldering phase mostly requires a surface where slow diffuse oxygen 1039 1040 converts the biomass through exothermic reaction. In general, burning of grasslands happens dominantly through 1041 flaming phase combustion process that emits high amounts of sootblack carbon, while smoldering combustion 1042 prevail the burning of woodlands/deciduous forest that emits less sootblack carbon and more organic carbon. The 1043 observed aerosol absorption at the biomass burning sites (figure Figure 611) clearly makes this distinction. Over South America, in addition to the emissions from burning rainforest (near-by Alta Floresta and Ji Parana), 1044 1045 Cerrado (wooded grasslands) type vegetation dominates at most sites considered here (Schafer et al., 2008). 1046 Biomass burning of tropical forests occur through smoldering combustion exhibiting aerosol ω_0 (0.93±0.03 at 466 nm). Such biomass burning occurs through smoldering combustion exhibiting relatively high aerosol ω_{e} 1047 1048 (0.93 at 466 nm). Compared to South America, the aerosols over Southern Africa have distinct seasonality and 1049 high absorption ($\omega_{0} \sim 0.88\pm 0.02$ at 466 nm figure -6b). Eck et al (2013) demonstrated that this seasonality in aerosol absorption is likely a result of shift in fuel type and combustion process. At the beginning of dry season 1050 1051 (starting June), the central region is prone to undergo a rapid burning through flaming process, while in the late 1052 dry season (ends November) the wooded lands located southeastern parts begins to burn through dominantly 1053 smoldering processphase. For the savanna with open grasslands in the northern Australia, biomass burning 1054 happens dominantly through flaming phase combustion producing high amounts of soot, as also noted in our 1055 retrievals. Figure 140 shows the regional averagerange of AAE obtained for carbonaceous aerosols at three wavelength pairs. Overall, the average slope of absorption in visible (AAE_{466 646}) and UV-Vis (AAE_{340 646}) for 1056 1057 carbonaceous aerosols is found to be within 2. This is consistent with the studies that report AAE of biomass 1058 burning aerosols from several field campaigns in the range 1 to 3 (Kirchstetter et al., 2004; Schnaiter et al., 2005; Bergstrom et al., 2007; Clarke et al., 2007). However, the average AAE_{354 388} obtained is high up to 4 for most 1059 regions. This is likely a result of higher organic matter in the regional biomass types and highlights the 1060 1061 importance of UV spectral region in delineating such group of aerosols. Studies show that spectral dependence of 1062 aerosol absorption in the UV-visible range can be high up to 6 for aerosols with organic compounds (Kirchstetter et al., 2004; Bergstrom et al., 2007 and references therein). Among the biomass burning regions, for the 1063 emissions where contribution of flaming phase combustion is high, the mean AAE₃₅₄₋₃₈₈ noted is relatively low-1064 Northern Australia (2.1) and Sahel (1.6). For the savanna grasslands in Australia where flaming combustion 1065 prevails the mean AAE₃₅₄₋₃₈₈ is relatively low (2.2 or less) compared to other biomass burning regions. Further, it 1066 1067 is noted that carbonaceous aerosols observed over Northern India, Northeastern China, Sahel, and the Middle
$\frac{\text{East For the sample obtained at Ilorin in Sahel and Cairo in the Arabian Peninsula, the mean AAE was found up}{1069}$ to 2 for all wavelength pairs. Further, it is noted that carbonaceous aerosols observed over Northern India, Northeastern China, Sahel, and Arabian Peninsula has an average $\alpha_{440-870} \sim 1.4$ (i.e, at the lower end of the finemode range), while in South America, South Africa and Australia has average ~1.8. This indicates the role of aerosol mixing and secondary processes in emanating the observed variability in aerosol absorption other than its composition alone.

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1075 Figure 15 shows the range of AAE obtained for dust aerosols at three wavelength pairs. Regional average of the 1076 UV-Vis spectral dependence (AAE₃₄₀₋₆₄₆) is found to be close to or greater than 3 for all regions, except for the 1077 Sahel and Northeastern China, where average value ranges 1.5 to 2.5. Although no distinct seasonal variation in spectral absorption of dust is noted, the variability in spectral dependence over the regions is quite evident. The 1078 regional average of SSA for dust aerosols from 340 nm to 646 nm are 0.87±0.02 to 0.98±0.02, 0.89±0.03 to 1079 0.96±0.02, 0.89±0.02 to 0.98±0.01, 0.87±0.02 to 0.97±0.02, and 0.87±0.03 to 0.95±0.03 over Sahara, Sahel, 1080 Middle East, Northern India, Northeastern China, respectively. While the average SSA ranges 0.87-0.89±0.03 at 1081 1082 340 nm, differences in retrieved SSA are evident with increasing wavelength. The regional average spectral SSA 1083 noted at 466 nm are 0.94±0.02, 0.93±0.02, 0.96±0.02, 0.95±0.02 and 0.93±0.02 for Sahara, Sahel, MiddleEast, 1084 Northern India, and Northeastern China, respectively. A recent study uses soil samples collected from over 1085 different arid regions worldwide to characterize the mineral composition of dust and estimate the spectral SSA using the measured scattering absorption coefficients through aethalometer operating at seven discrete 1086 1087 wavelengths from 370-950 nm (Di Biagio et al., 2019). Their study reports high absorption (~ 0.70-0.75 at 370 nm) for dust samples obtained over Niger, Mali, Southern Namibia, and Australia due to the presence of higher 1088 amounts of iron oxides. While for the samples collected over Bodélé, Northern Namibia, Arizona the estimated 1089 absorption (~ 0.91 -0.96 at 370 nm) and amounts of iron oxides are relatively low. In comparison, our retrievals 1090 1091 indicate the dust aerosols noted at Sahel and northeastern China are highly absorbing, while those noted over 1092 MiddleEast, and Northern India are less absorbing and dust over Sahara shows intermediate absorption. These results can be explained by combination of varying mineral composition (iron oxide amounts) and mixing of dust 1093 with other sources along the transport pathway. However, the magnitude of SSA and AAE reported by Di Biagio 1094 1095 et al., (2019) are lower than those retrieved here for all regions. The reason for the differences noted in SSA is 1096 unknown and needs further investigation. In contrary, our retrieved SSA for coarse mode particles agrees well with AERONET SSA (59% and 87% observations within ± 0.05 envelope at 466 nm and 646 nm respectively). 1097 1098 For dust aerosols, minerals such as hematite and other form of oxides play role in scattering/absorption of

1099 particles. The absorbing nature of pure dust aerosols close to the source is sensitive to the presence of hematite 1100 than other minerals at shorter wavelengths (Sokolik and Toon, 1999). In addition to the sedimentation of coarse 1101 aggregates, the dust aerosols observed away from the source are often found to have mixed (internally or 1102 externally) with anthropogenic aerosols altering its absorbing nature. For example, ω_{o} of dust aerosols were 1103 reported as 0.83 to 0.87 near the source and ~0.9 far away from the source over the tropical North Atlantic Ocean using satellite measurements at 331 nm (Torres et al., 2002). For the dust aerosol samples obtained in this work, 1104 1105 high absorption at 340 nm with a regional average of ~0.86 is noted for Sahara, while relatively lower absorption ~0.90 is noted for the Sahel region. The mixing of dust with biomass burning emissions and local pollutants over 1106 the Sahel likely attributed to the observed low absorption. Similar low absorption (0.90) of dust at 340 nm is 1107 observed for the Arabian Peninsula region. However, the UV-Vis spectral dependence noted at the Sahel, and 1108 Arabian Peninsula varies significantly. Figure 11 shows the regional average AAE obtained for dust aerosols at 1109 three-wavelength pairs. Among the dust-prone regions considered here, the regional average of the UV-Vis 1110 1111 spectral dependence (AAE_{340.646}) is found to be close to or greater than 3 for all, except the Sahel and Eastern 1112 China, where average value ranges 1.5 to 2.5. Although no distinct seasonal variation in spectral absorption of 1113 dust is noted, the variability in spectral dependence over the regions is quite evident. Overall the regional average 1114 of AAE for dust aerosols observed here is consistent with insitu measurements that report values ranging 1.5-2.0 1115 to 3.5 (Bergstrom et al., 2004, 2007; Müller et al., 2009; Petzold et al., 2009). However, observations at individual sites (Table 3) show that the spectral dependence of the observed dust for few sites is relatively high 1116 1117 than those reported by insitu measurements. Considering our retrieval method where aerosol absorption is 1118 derived independently for each wavelength and have computed the dependence, our results agree reasonably well 1119 with the insitu measurements reported in the literature.

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1121 Figure 16 shows the regional average AAE obtained for urban aerosols at three-wavelength pairs. Urban aerosols in highly polluted environments such as over the Mexico City have near unity spectral dependence. While the 1122 1123 passage of biomass burning emissions over such environment show unusual decrease in absorption at the UV region attributing to high AAE₃₅₄₋₃₈₈. While urban aerosols constitute dominantly sulfates and other forms of 1124 nitrate particles, industrial emissions and fossil fuel combustion produces various forms of carbon that contribute 1125 to the overall optical properties. Further the aerosol size growth due to increase in relative humidity in the 1126 1127 atmosphere and coagulation processes are known to alter the absorbing nature of aerosols. Figure 12 shows the 1128 regional average AAE obtained for urban aerosols at three wavelength pairs. Urban aerosols in highly polluted 1129 environments such as over the Mexico City have near unity spectral dependence. While the passage of biomass

burning emissions over such environment show unusual decrease in absorption at the UV region attributing to 1130 high AAE_{354 388}. This is consistent with studies that report relatively high AAE in UV region and near unity in 1131 visible region for the aerosol mixture consisting of organic matter and black carbon amounts (Barnard et al., 1132 1133 2008; Vanderlei Martins et al., 2009; Bergstrom et al., 2010; Jethva and Torres, 2011). The urban aerosols found 1134 in our sample over Northern Indian and Eastern-Northeastern China are highly absorbing exhibiting AAE_{340,646} ~ 1.5 than the carbonaceous aerosols with AAE_{340 646} ~ 2 . These results suggest the combination of magnitude of 1135 1136 aerosol absorption and its spectral dependence in UV, visible and UV-Visible spectrum could be used to partition 1137 mixture of aerosol types found in such environments. OverallOverall, the regional average UV-Visible AAE for 1138 the urban aerosols is found to be near 2.

1|139 **<u>57</u>** Comparison with AERONET SSA product

1140 We compare of our aerosol SSA retrievals at the visible wavelengths with that available those from the 1141 AERONET data set. The comparison of SSA retrievals is limited AERONET Level 2 reliable retrievals as 1142 determined the aerosol load at 440 nm ($\tau_{440} > 0.4$)to $\tau_{440} > 0.4$ and where AERONET Level-2 inversion is available. It should be noted We emphasize here that since AERONET SSA is a derived quantity and cannot be 1143 1144 considered as 'ground truth', this comparison serves as a consistency check rather than a strict validation 1145 exercise. The nearest AERONET wavelength available for comparison with OMI wavelength (388 nm) retrievals 1146 is at 440 nm. To facilitate the comparison, we use the AAE computed from our retrievals at 388-466 wavelength 1147 pair to transform the retrieved SSA at 388 nm to 440 nm (440_{OMI}). While at the MODIS wavelengths 466 and 646 1148 nm, the AERONET SSA were computed by linear interpolation of the values reported at 440 and 675 nm. For ease in comparison, we transform the AERONET SSA at 440 and 675 nm to MODIS wavelengths of 466 and 1149 1150 646 nm respectively following interpolation. This conversion will unlikely introduce any notable bias in our 1151 comparison as the difference in the nearest wavelengths of both data sets is very low (< 30 nm).

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First, we investigate the consistency of retrieved SSA for selected sites for which the prevailing aerosol types and local source environment are well known as documented in several studies in the literature. We use the AERONET SSA from time period 2005-2016 for the comparison. Figure <u>13-8</u> shows the comparison of average spectral SSA (box plots showing lower and upper quartile of observations with white line representing its mean value) for three distinct aerosol types sampled from the selected sites. <u>The mean AAE derived for the visible</u> wavelength pair at 466-646 nm agrees within 0.5 or less with the AERONET values for all aerosol types and sites

1159 considered. However, it should be noted that AAE is highly susceptible to small changes in the retrieved SSA for both data sets. The vertical bars shown in the figure corresponds to the uncertainty estimate provided by 1160 AERONET (± 0.03) and the estimate due to change in ± 0.01 surface reflectance for our retrieved SSA (± 0.05). 1161 For dust aerosols, the retrieved average SSA show good agreement with AERONET SSA obtained at Dakar and 1162 Ouagadougou (within ±0.008), while the differences in SSA (retrieved minus AERONET) at Tamanrasset and 1163 1164 Solar Village are ± 0.02 and ± 0.05 , respectively at 466 nm. Although our sample is limited for few days that met 1165 our criteria for subset, the observed differences in SSA are within the overall uncertainty estimates as also noted from the overlapping error barspresented in the previous section. For carbonaceous particles, the retrieved and 1166 AERONET SSA agree well with differences of less than 0.015 for Alta Floresta, CUIABA and Mongu. 1167 However, notable difference in SSA (0.045) is observed at the Lake Argyle with high absorption for AERONET 1168 1169 than our retrieved value at both 466 nm and 646 nm. It should be noted that, while AERONET version 3 employs surface reflectance following BRDF parameters from MODIS BRDF/Albedo CMG Gap Filled Snow-Free 1170 1171 Product MCD43GF (Sinyuk et al., 2020), we use MODIS MCD19A1 surface reflectance product. For absorbing 1172 aerosols, the SSA differences observed here is likely a result of different surface reflectances data employed by 1173 the two data sets. For urban/industrial aerosols at GSFC, Avignon, Moldova and Cairo the retrieved SSA agrees 1174 within the uncertainty estimates. Particularly notable difference (-0.05) is found for Avignon at 646 nm. It should 1175 be noted that, while AERONET Version 3 employs surface reflectance using BRDF parameters from MODIS 1176 BRDF/Albedo CMG Gap-Filled Snow-Free Product MCD43GF (Sinyuk et al., 2020), we use MODIS 1177 MCD19A1 BRF/surface reflectance product. The use of different surface reflectances data in the two retrieval 1178 algorithms likely contributes to some of the observed SSA differences. As discussed in the sensitivity analysis, 1179 surface reflectance is the second higher source of errors in the retrieved SSA at visible wavelengths after cloud contamination. Additionally, for our retrievals as well as with AERONET, uncertainties in SSA increases with 1180 wavelength for fine mode particles (carbonaceous and urban) with high EAE, notably for weakly absorbing 1181 aerosols. For example, the ± 0.03 uncertainty in AERONET SSA at 440 nm for $\tau_{440} \sim 0.4$ is achieved for the NIR 1182 1183 wavelength (1020 nm) at $\tau_{440} \sim 0.6$ for the fine mode particles observed over the GSFC site (Sinyuk et al., 2020). 1184

Figure 14-9 shows the <u>absolute difference in retrieved SSA</u> comparison of retrieved SSA-with AERONET as a function of τ_{440} for all collocated observations. For the SSA at 440 nm_{OMI}, the observations within ±0.03 (±0.05) envelopes are 38% (62%), 42% (66%) and 39% (66%) for dust, carbonaceous, and urban aerosol types, respectively. As expected, the SSA difference is highest for lower AOD's and decreases with increasing aerosol load for all aerosol types. For the SSA at 466 nm, the observations within ±0.03 (±0.05) envelopes are 25%

1190 (59%), 38% (63%) and 34% (56%) for dust, carbonaceous, and urban aerosol types, respectively. In terms of particle sizes, the difference in SSA for fine-mode ($\alpha_{440-870} > 1.2$) are noted to be more scattered than the 1191 difference in SSA noted for coarse mode particles. For the SSA at 646 nm, the observations within $\pm 0.03 (\pm 0.05)$ 1192 envelopes are 73% (87%), 39% (60%) and 28% (45%) for dust, carbonaceous, and urban aerosol types, 1193 1194 respectively. Spectrally, there is significantly more scatter at 646 nm with SSA differences in the range -0.3 to 0.2 for urban aerosols. The RMSE of the SSA ranges from 0.04 to 0.09 with lowest error for dust particles at 646 nm 1195 and highest error for urban aerosols at the same wavelength. This owes to the high spectral AODs for coarse 1196 1197 mode particles through UV-Visible where sufficient absorption signal is available for the retrieval of SSA. While 1198 for fine mode particles with the decreasing spectral AODs from UV-Visible the absorption signal becomes weak, 1199 particularly notable for the less absorbing (urban) aerosols. For the SSA at 466 nm, the observations within ± 0.03 (±0.05) envelopes are 26% (59%), 38% (63%) and 34% (56%) for dust, carbonaceous, and urban aerosol types, 1200 1201 respectively. It is observed that for dust aerosols, the observations are well concentrated in a narrow range of SSA 1202 (retrieved values range from 0.92 to 0.99 at 466 nm), while for carbonaceous (0.86 to 0.95 at 466nm) and urban types (0.84 to 0.98 at 466 nm) the observations become increasingly scattered through wide range of SSA. In 1203 1204 terms of wavelength, the observations at 646 nm are more widely scattered than for the SSA at 466 nm for all 1205 aerosol types. For the SSA at 646 nm, the observations within ± 0.03 (± 0.05) envelopes are 73% (87%), 39% 1206 (60%) and 28% (45%) for dust, carbonaceous, and urban aerosol types, respectively. The root mean square error 1207 (RMSE) of the SSA ranges from 0.04 to 0.09 with lowest error for dust particles at 646 nm and highest error for 1208 urban aerosols at 646 nm. Among the aerosol types, it is noted that our retrieved SSA for dust at 466 nm has 1209 relatively high scattering than AERONET, while for other types the results are found to be consistent. 1210

Figure 10a shows the absolute difference in retrieved and AERONET SSA as a function of optical depth by combining all aerosol types together. The differences in SSA for all wavelengths at 440_{OMI} , 466 and 646 nm are higher for lower τ and become negligible for higher τ . It is observed that at 440_{OMI} and 466 nm the observations with positive differences are relatively more than that at 646 nm. Comparison of the retrieved SSA with AERONET SSA for all aerosol types is shown in Figure 10b. Our retrieved SSA at 440_{OMI} , 466 and 646 nm agree within ± 0.03 of AERONET SSA for 39% (0.05), 34% (0.06) and 34% (0.08) of observations (RMSE) respectively.

Figure 15a shows the comparison of retrieved SSA with AERONET by combining all aerosol types together. Our
 retrieved SSA at 466 and 646 nm agree with AERONET SSA for 34% (0.06) and 40% (0.07) of observations
 (RMSE) respectively. The absolute difference in retrieved and AERONET SSA as a function of optical depth is

1221 shown in figure 15b. The differences in SSA for both wavelengths at 466 nm and 646 nm are higher for lower τ 1222 and become negligible for higher τ . It is observed that at 466 nm, the observations with positive differences are 1223 relatively more than that at 646 nm.

1224 It is important to note here that our retrieval method and that used in the AERONET inversion differ 1225 fundamentally in several aspects. (i) different source of surface reflectance data used, (ii) instantaneous particle sizes derived from sky radiances measurements by AERONET and climatological average particle sizes used in 1226 our retrievals, (iii) the use multi-spectral sky radiance measurements by AERONET along the almucantar plane 1227 (multi-angular) and the single-view TOA radiance measurements by the satellites in our retrievals, and (iv) the 1228 use of weak constraint on spectral variation of imaginary refractive index for the fine mode particles in 1229 1230 AERONET SSA retrievals, while our retrievals of SSA are carried for each wavelength independently. The use 1231 of weak constraint on spectral variation of imaginary refractive index for the fine mode particles with high $\alpha_{440-870}$ for AERONET inversion owes to the lower spectral AODs and diminished absorption signal strength at higher 1232 wavelengths insufficient for a robust absorption retrieval (Dubovik et al., 2006). The differences noted between 1233 our SSA retrievals and that from AERONET at different wavelengths could stem from one or more sources of the 1234 1235 differences listed above. It is important to note here that our retrieval method and that used in the AERONET 1236 inversion differ fundamentally in several aspects. Other than the different source of surface reflectance data used, 1237 one major distinction between the two methods is that the present inversion algorithm retrieves SSA at different 1238 UV and Vis wavelengths independently, whereas the AERONET algorithm internally applies a condition 1239 ensuring the spectral shape of SSA follows an expected pattern for the observed aerosol type (private 1240 communication with Thomas Eck, NASA GSFC). The differences noted between our SSA retrievals and that 1241 from AERONET at different wavelengths could be attributed, at least partially, to this treatment of reported 1242 values of SSA.

1243 8 Summary

Ground-based measurements of direct <u>and diffuse</u> solar radiation under cloud-free conditions over worldwide sites are providing valuable insights into regional aerosol characteristics. Long-term measurements obtained from such network, such as from AERONET, are widely used to develop regional aerosol climatology and investigate seasonal/annual variability, <u>in addition to providing validation data set for the satellite-based AOD retrievals</u>. Satellite measurements of TOA radiances are able to provide global distribution of columnar aerosol amounts. However, deriving aerosol optical properties from satellite measurements require constraints on particle sizes and optical properties. Reliable aerosol measurements from ground-networks and airborne/field campaigns are
 traditionally used to validate and improve the constraints in satellite aerosol retrievals.

1253 In this work, we use AERONET measured extinction τ as constraint in a robust inversion technique that uses 1254 satellite measured TOA radiances from OMI and MODIS to derive spectral aerosol absorption in the UV-Vis part of the spectrum. Other than cloud contamination of the TOA radiances, major sources of error in our retrieved 1255 1256 SSA come from surface reflectance, and aerosol layer height. We use TOA radiance observations with minimal or no cloud-contamination reported by both OMI and MODIS products. Sensitivity tests show that our retrieved 1257 1258 aerosol SSA has reliable accuracy up to ± 0.043 from UV-Visible wavelengths for absorbing aerosols 1259 (carbonaceous and dust) with $\tau_{440} > 0.4$. However However, for less-absorbing aerosols the error in SSA retrieval 1260 reaches up to ± 0.075 . For the sites with low aerosol loading (i.e., τ_{440} up to 0.2) the accuracy of retrieved SSA 1261 reaches up to ± 0.05 at the UV wavelengths, while in the visible it exceeds ± 0.05 . Using a subset of results where 1262 SSA is retrieved independently for 340, 354, 388, 466 and 646 nm wavelengths for the same day with 1263 observations $\tau_{440} > 0.4$, we examine the seasonal variability in aerosol SSA and derive spectral dependence (AAE) at three wavelength pairs in the UV-Vis spectrum. 1264

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1266 Key observations noted from the spectral aerosol absorption data set derived here are highlighted below:

1267 Biomass burning aerosols

- 1268a) Among sites dominated by biomass burning aerosols, Mongu in Southern Africa has high absorption ω_0 1269 $\sim 0.85 \pm 0.02$ and 0.84 ± 0.05 at 340 nm and 646 nm, respectively.
- 1270 a) ~0.85 to 0.84 from 340 nm to 646 nm.
- b) Strong seasonality in absorption of carbonaceous aerosols is evident in Southern Africa indicating the role of biomass types and combustion process. The average ω_0 from 340 nm to 646 nm during JJA and SON are $\sim 0.85 \pm 0.02$ (0.88 ± 0.02) and 0.83 ± 0.05 (0.87 ± 0.05), respectively. ~ 0.85 to 0.84, and ~ 0.88 to 0.89, respectively.
- 1275c) Carbonaceous aerosols found over Northern Australia are as strongly absorbing ($\omega_o \sim 0.87 \pm 0.03$ and1276 0.86 ± 0.06 at 340 nm and ~ 0.87 to 0.86 from 340 nm to 646 nm) as smoke over Southern Africa but has1277relatively constant absorption from UV-Vis spectra.
- 1278d) Carbonaceous aerosols found over Alta_Floresta in the Amazon Basin have similar absorption (ω_0 1279 $\sim 0.89 \pm 0.02$ and 0.91 ± 0.06 at 340 nm and 646 nm ~ 0.89 to 0.91 from 340 nm to 646 nm) and AAE₃₄₀.1280 ω_{46} UV-Vis AAE (1.8) to those found over Missoula in Northestern US-America.

- 1281 e) Highly absorbing carbonaceous aerosols $(\omega_e \sim 0.86 \text{ to } 0.87 \text{ from } 340 \text{ nm to } 646 \text{ nm})$ with weak spectral 1282 dependence are found in Cairo ($\omega_0 \sim 0.89 \pm 0.02$ and 0.91 ± 0.06 at 340 nm and 646 nm) and Ilorin (ω_0 1283 ~0.86±0.02 and 0.87±0.03 at 340 nm and 646 nm) in the Middle East and Sahel region during winter 1284 (DJF). These carbonaceous particles exhibit mean $\alpha_{440,870} < 1.4$, indicating possible mixture of fine and 1285 coarse modes. 1286 f) Carbonaceous aerosols found over Northern India ($\omega_0 \sim 0.91 \pm 0.02$ and 0.92 ± 0.04 at 340 nm and 646 nm), 1287 Eastern Northeastern China ($\omega_0 \sim 0.87-0.90\pm0.03$ and $0.90-0.94\pm0.05$ at 340 nm and 646 nm), Sahel (ω_0 1288 $\sim 0.86\pm 0.02$ and 0.87 ± 0.03 at 340 nm and 646 nm), and Arabian Peninsula exhibiting Middle East (ω_{o} 1289 ~0.89±0.03 and 0.92±0.05 at 340 nm and 646 nm~0.86 to 0.89 at 340 nm) has low average $\alpha_{440-870}$ (< 1.4) than over other prominent biomass burning regions, suggesting mixture of fine and coarse modes. 1290
- g) Distinct seasonality in spectral absorption of carbonaceous and urban aerosols is noted for Eastern China.
 For carbonaceous aerosols, tThe maximum and (minimum) absorption is noted during DJF (JJA)
 exhibiting ω_o ~0.87±0.02 and 0.90±0.05 at 340 nm and 646 nm (ω_o ~0.91±0.02 and 0.94±0.04 at 340 nm
 and 646 nm), respectively.at 466 nm are found during DJF ~0.90, and JJA ~0.95, respectively.
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1297 **Dust aerosols**

- a) For desert dust aerosols, the SSA is known to increase with wavelength from UV to Visible spectrum. No distinct seasonality in SSA is noted. The regional average of SSA for dust aerosols from 340 nm to 646 nm are 0.87±0.02 to 0.98±0.02, 0.89±0.03 to 0.96±0.02, 0.89±0.02 to 0.98±0.01, 0.87±0.02 to 0.97±0.02, and 0.87±0.03 to 0.95±0.03 over Sahara, Sahel, Middle East, Northern India, Northeastern China, respectively. The regional averages of SSA for dust aerosols from 340 nm to 646 nm are 0.86 to 0.98, 0.88 to 0.96, and 0.90 to 0.99 over Sahara, Sahel and Arabian Peninsula respectively.
- 1304b) Among the dust dominated regions considered here, our retrievals indicate relatively high absorption (ω_0 1305 $\sim 0.93 \pm 0.03$ at 466 nm) for aerosols noted at Sahel and northeastern China, while those noted over1306MiddleEast, and Northern India ($\omega_0 \sim 0.97 \pm 0.03$ at 466 nm) are less absorbing and dust over Sahara (ω_0 1307 $\sim 0.95 \pm 0.02$ at 466 nm) shows intermediate absorption. These results can be explained by combination of1308varying mineral composition (iron oxide amounts) and mixing of dust with other sources along the1309transport pathway.

b) For regions where sources are nearby the sites (Sahara, Middle East/Arabian Peninsula) the AAE for all three wavelength pairs considered exhibit high average values (>> 3), while for regions where lofted dust

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through long-range transport is observed (Northern India, Eastern China, parts of Sahel) the average AAE has a range between 2 to 3.

1315 Urban aerosols

- a) Urban aerosols (ω_o ~0.87±0.04 and 0.84±0.08 at 340 nm and 646 nm) are highly absorbing and exhibit
 distinct seasonality (higher absorption during JJA than SON) at Sao Paulo, South America. The urban
 aerosols noted here are likely mixtures of carbonaceous particles transported over the region and
 prevailing pollution from local sources.
- b) Polluted aerosols observed over Mexico City show high absorption in UV extending to visible spectrum
 during DJF (ω_o ~0.88±0.04 and 0.84±0.09 at 340 nm and 646 nm) and SON (ω_o ~0.88±0.04 and
 0.80±0.08 at 340 nm and 646 nm) months.
- c) Polluted aerosols noted over the Middle East are highly absorbing and exhibit weak spectral dependence
 (ω_o ~0.87-0.89±0.03 and 0.87-0.90±0.07 at 340 nm and 646 nm).
- d) Polluted aerosols noted over the Northern India are highly absorbing and exhibit weak spectral
 dependence (ω₀ ~0.88±0.03 and 0.87±0.07 at 340 nm and 646 nm).
- 1327a) Urban aerosols ($\omega_{0}(340) \sim 0.87$) are more absorbing than the carbonaceous aerosols ($\omega_{0}(340) \sim 0.90$) and1328exhibit distinct seasonality (higher absorption during JJA than SON) in South America at CUIABA and1329Sao Paulo. The urban aerosols noted here are likely mixtures of carbonaceous particles transported over1330the region and prevailing pollution from local sources.
- 1331b) High absorption from UV ($\omega_{0}(340) \sim 0.87$) extending to the blue spectral region ($\omega_{0}(466) \sim 0.90$) is noted1332for most sites in Europe during SON and/or DJF months indicating the presence of organic matter due to1333local fuel combustion sources.
 - c) Polluted aerosols observed over Mexico City shows high absorption in UV ($\omega_{\circ}(340) \sim 0.88$) extending to visible ($\omega_{\circ}(466) \sim 0.85$) spectrum during DJF and SON months.
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As mentioned, the results presented here are limited to our subset of retrievals, where SSA is retrieved for all five wavelengths from UV-Visible range with $\tau_{440} > 0.4$. However, relaxing the τ_{440} up to 0.2 (see supplementary materials) does not yield significant changes in the derived variability of aerosol absorption and its spectral dependence. Since one of our objectives is to derive UV-Visible spectral dependence (AAE) of aerosols, without prior assumptions, and given the inherent sampling bias of the OMI, MODIS and AERONET colocations – the analysis method employed here is well justified. In other words, we made use of the best available data synergy

and derive unique aerosol absorption data set with no prior assumptions on wavelength dependence, which 1343 otherwise is assumed in the standard satellite-based aerosol retrieval algorithm. Although It should be noted that 1344 1345 our results may be biased toward dense pollution/industrial, smoke, and dust events, less absorbing low aerosol 1346 amounts seldom have dependency on the ω_{e} . In addition, the regional aerosol absorption derived here may not be 1347 representative of the entire region due to limited sampling and fewer sites used. However, these absorption models offer essential guidance for selecting spectral absorption in satellite aerosol retrievals using UV (OMI). 1348 1349 Vis (MODIS), and even spanning the UV-Vis spectrum, such as planned under the upcoming PACE mission. Therefore, the regional aerosol absorption models derived here offer essential guidance for selecting 1350 spectral absorption in satellite aerosol retrievals spanning the UV-Vis spectrum. From our analysis of worldwide 1351 inland sites: (a) it is suggested that satellite aerosol retrieval techniques could employ regional dynamic 1352 1353 absorption models to avoid potential bias in τ retrievals noted in earlier studies, and (b) the spectral dependence of aerosol absorption noted here for the UV (354-388 nm), visible (466-646 nm) and UV-Visible (340-646 nm) 1354 1355 range for all aerosol types other than black carbon varies considerably. Overall, the UV absorption data set well 1356 compliments and provides more information on the regional aerosol absorption than with the visible data set 1357 alone.

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1359 Given the lack of aerosol absorption information at near-UV wavelengths in the currently existing AERONET 1360 record-inversion products and limited availability of insitu measurements, the UV-Vis aerosol absorption data set developed here, perhaps for the first time, offers a valuable source of information useful for a variety of aerosol 1361 1362 and trace gas studies. As mentioned earlier the newer models of AERONET sunphotometers include sky radiance 1363 measurements at 380 nm, and the derived SSA at this near UV wavelength is expected in the future upgrade of AERONET inversion product. The analysis presented here focuses on regional aerosol absorption using a subset 1364 of results. The derived spectral dependency can be used with either subset of the results or all SSA retrievals to 1365 construct and investigate long-term trends in UV-Visible aerosol absorption. Further, the spectral aerosol SSA 1366 derived here could be used to parameterize absorption in models and better understand the radiative effect of 1367 aerosols. Our ongoing investigation utilizing the complete data set developed here will explore some of these 1368 applications in the future. 1369

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1375 Competing Interests

1376 The authors declare no conflict of interests.

1377 Author Contributions

1378 Omar Torres (OT) and Hiren Jethva (HJ) had conceptualized the research. Vinay Kayetha (VK) developed the

- 1379 data set, performed formal analysis, and wrote the manuscript with inputs from OT and HJ. All authors reviewed
- results, helped with the data interpretation and edited the manuscript to make a final version.

1381 Data Availability

The spectral aerosol absorption data set developed here will be made available upon request to the authors.

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1644 Tables

Purpose	Instrument	Product	Level & Version	Parameter(s)
	AERONET	AOD	L2, V3	AOD and extinction Ångström exponent.
		Inversion	L2, V2	Particle size distributions, and real part of refractive index at 440 nm.
For SSA retrieval in	OMI	OMLERWAVE, OMAERUV	L2, V1.8.9.1	TOA reflectances (with QFs), Aerosol type, LER, Aerosol layer height obtained from CALIPSO.
this work	MODIS	MYD04	L2, C006	TOA reflectances (with QFs) provided by Deep-Blue algorithm.
		MAIAC - MCD19A1	L2, C006	Surface reflectance at 466 and 646 nm.
Comparison	on AERONET Inversion L2, V		L2, V3	SSA at 440 and 675 nm.

Table 1: Description of the ground and satellite data products used in this work.

1649 Table 2: Theoretical estimated uncertainties in the retrieval of aerosol SSA due to error in the input 1650 variables. Configuration of sensitivity tests are: SZA = 20°, VZA = 40°, RAA = 130°, and $\omega_0(388) = 0.9$.

	Input Uncertainty Theoretical SSA Un				ertainty ($\Delta \omega_0$) for $\tau_{440} = 0.4$			
		$\lambda = 340 \text{ nm}$			$\lambda = 646 \text{ nm}$			
		Carb.	Dust	Urban	Carb.	Dust	Urban	
Extinction AOD	$\lambda < 400 \text{ nm}, \Delta \tau = \pm 0.02$	0.002	0.001	0.002	0.009	0.007	0.011	
	$\lambda > 400 \text{ nm}, \Delta \tau = \pm 0.01$							
Particle sizes	$\Delta VMR = \pm 20\%$	0.018	0.003	0.014	0.044	0.0006	0.040	
Real part of RI.	$\Delta RRI = \pm 0.04$	0.007	0.007	0.009	0.001	0.002	0.002	
Calibration of TOA	$OMI = \pm 1.8\%$	0.026	0.021	0.027	0.020	0.027	0.037	
measurements	$MODIS = \pm 1.9\%$							
Surface reflectance	$\Delta \rho_{surf} = \pm 0.01$	0.006	0.011	0.006	0.032	0.022	0.050	
Aerosol layer hgt.	$\Delta ALH = \pm 1 \text{ km}$	0.021	0.028	0.006	0.001	0.001	0.0006	
Presence of cloud	$\tau_{cloud} = 0.5$	0.016	0.020	0.017	0.042	0.041	0.056	
Trace gaseous	$\lambda = 466 \text{ nm}, \tau_{gas} = 0.004$	-	-	-	0.011	0.009	0.024	
absorption	$\lambda = 646 \text{ nm}, \tau_{gas} = 0.034$							
Surface pressure	±12 mb/hPa	0.011	0.011	0.011	0.004	0.0004	0.006	
Combined	±0.043	±0.043	±0.038	± 0.073	±0.055	±0.088		

Table 3: Theoretical uncertainties in the computation of Absorption Ångström Exponent.

			ω_0 overestimation		ω ₀ underestimation			
		$\Delta \omega_0$	Δ AAE 354-388	∆AAE 466-646	Δ ΑΑΕ ₃₄₀₋₆₄₆	Δ AAE 354-388	$\Delta AAE_{466-646}$	ΔΑΑΕ340-646
Carbon.	$\tau_{440} = 0.4$	0.01	-0.022	-0.021	-0.021	0.018	0.017	0.018
	$EAE_{340-646} = 1.9$	0.02	-0.051	-0.046	-0.048	0.034	0.031	0.032
	$\omega_{0}(388) = 0.90$	0.03	-0.087	-0.078	-0.081	0.046	0.044	0.045
	$AAE_{340-646} = 1.7$	0.04	-0.135	-0.119	-0.126	0.058	0.054	0.056
	$\tau_{440} = 0.4$	0.01	0.228	0.688	0.488	-0.190	-0.423	-0.325
Dust	$EAE_{340-646} = 0.2$	0.02	0.506	2.067	1.369	-0.351	-0.711	-0.562
	$\omega_{0}(388) = 0.90$	0.03	0.854	8.769	5.024	-0.489	-0.922	-0.744
	$AAE_{340-646} = 2.5$	0.04	1.302	-	-	-0.609	-1.082	-0.889
Urban	$\tau_{440} = 0.4$	0.01	-0.117	-0.077	-0.092	0.095	0.066	0.077
	$EAE_{340-646} = 1.9$	0.02	-0.265	-0.166	-0.204	0.173	0.124	0.144
	$\omega_{0}(388) = 0.90$	0.03	-0.458	-0.272	-0.343	0.239	0.175	0.201
	$AAE_{340-646} = 0.9$	0.04	-0.722	-0.399	-0.522	0.295	0.221	0.250

1663 Figures



Figure 1: The geo-distribution of AERONET sites whose AOD data are used for the retrieval of spectral aerosol single scattering albedo in this work.

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Figure 2: Schematic flow chart of the methodology used to retrieve aerosol spectral single scattering albedo.





1675 Figure 3: Simulated TOA radiances for the aerosols over the GSFC site as a function of τ and SSA in the 1676 UV-Visible range.



Figure 4: Retrieved aerosol SSA over the GSFC site for the satellite observations period of 2005 - 2016. Average and standard deviation of retrieved SSA for the observations with $\tau_{440} > 0.4$ is shown in red.

Average and standard deviation of retrieved SSA for the observations with 1440 > 0.4 is shown in red. Abbreviations used: n is the number of satellite-AERONET collocated observations, and ret. is the number

1683 of observations for which SSA is retrieved.

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Figure 7: Theoretical uncertainty in SSA retrievals due to changes in (a) ±0.01 surface reflectance, (b) ±1
 km ALH, and (c) ±12 hPa surface pressure.



Figure 8: Comparison of retrieved aerosol SSA with that of AERONET for selected sites. Box plots here represent lower and upper quartile of observations with mean values shown as white line.



1708 Figure 9: Absolute difference in retrieved minus AERONET SSA versus AOD with observations $\tau_{440} > 0.4$

for coarse-mode dust, intermediate-mode mixtures, fine-mode carbonaceous, fine-mode urban aerosols at 440 nm, 466 nm, and 646 nm.



Figure 10: (a) Absolute difference in retrieved minus AERONET SSA versus τ_{440} , and (b) Retrieved SSA versus AERONET for observations with $\tau_{440} > 0.4$ for the combined aerosol types at 440 nm (OMI), 466 nm and 646 nm.



1719 Figure 11: Seasonal average of spectral aerosol SSA derived for observations with $\tau_{440} > 0.4$ over regions

1720 dominated by fine-mode carbonaceous aerosols. The error bars represent the standard deviation of the 1721 observations.

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 $\alpha \geq$ 1.20, Carbonaceous (τ_{440} > 0.4)





Sahel

DJF MAM

JJA

1724

Retrieved SSA

1723

1725Figure 12: Seasonal average of spectral aerosol SSA derived for observations with $\tau_{440} > 0.4$ over regions1726dominated by coarse-mode dust aerosols. The error bars represent the standard deviation of the1727observations.



 $\alpha \ge 1.20$, Urban ($\tau_{440} > 0.4$)



Figure 13: Seasonal average of spectral aerosol SSA derived for observations with $\tau_{440} > 0.4$ over regions 1731 dominated by fine-mode urban or mixture of aerosols. The error bars represent the standard deviation of

- 1733 the observations.
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 $\alpha \ge 1.20$, Carbonaceous ($\tau_{440} > 0.4$)

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1737 Figure 14: Absorption Angstrom exponent derived at three wavelength pairs for observations with τ_{440} > 0.4 for the fine-mode carbonaceous aerosols. Boxplot represents lower and upper quartile of observations

- with mean as white line. 1739
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1743 Figure 15: Absorption Angstrom exponent derived at three wavelength pairs for observations with τ_{440} >

1744 0.4 for the coarse-mode dust aerosols. Boxplot represents lower and upper quartile of observations with
 1745 mean as white line.

 $\alpha \le 0.20$, Dust ($\tau_{440} > 0.4$)



 $\alpha \ge 1.20$, Urban ($\tau_{440} > 0.4$)

Figure 16: Absorption Angstrom exponent derived at three wavelength pairs for observations with $\tau_{440} >$ 1750 0.4 for the fine-mode urban or mixture of aerosols. Boxplot represents lower and upper quartile of observations with mean as white line.