



1 Mie-Raman-Fluorescence lidar observations of aerosols during pollen season in the north of

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14 Abstract

15 Multiwavelength Mie-Raman-fluorescence lidar of Lille University with the capability to 16 measure three aerosol backscattering, two extinction coefficients and three linear depolarization 17 ratios together with the fluorescence backscattering at 466 nm was used to characterize aerosols during the pollen season in the north of France for the period March – June 2020. The results of 18 19 observations demonstrate that the presence of pollen grains in aerosol mixture leads to an increase 20 of the depolarization ratio. Moreover, the depolarization ratio exhibits a strong spectral 21 dependence increasing with wavelength, which is expected for the mixture containing fine 22 background aerosols with low depolarization and strongly depolarizing pollen grains. High 23 depolarization ratio correlates with the enhancement of the fluorescence backscattering, 24 corroborating the presence of pollen grains. Obtained results demonstrate that simultaneous 25 measurements of particle depolarization and fluorescence allows to separate dust, smoke particles 26 and aerosol mixtures containing the pollen grains.

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28 **1. Introduction**

Pollen grains represent a significant fraction of primary biological particles emitted from the
biosphere into the atmosphere in certain seasons and locations (Fröhlich-Nowoisky et al., 2016).
There has been a growing interest in pollen study in recent years, because they can affect human





32 health by causing allergy-related diseases and contribute to the cloud formation by acting as giant 33 cloud condensation nuclei (CCN) (Diehl et al., 2001; Pope, 2010; D'Amato et al., 2014; Steiner et 34 al., 2015; Lake et al., 2017; Mack et al., 2020). To investigate the processes of pollen transport 35 and dispersion, the information about vertical distribution of pollen grains is needed, and this 36 information can be obtained from lidar measurements. Pollen grains are large irregularly shaped 37 particles of complicated morphology (Frenguelli, 2003), causing strong depolarization of the 38 backscattered laser radiation, which provides a basis for their identification. The first profiling of 39 pollen with depolarization lidar was reported by Sassen (2008, 2011). His measurements over 40 Alaska revealed that linear depolarization ratio of birch pollen plumes at 0.694 nm can exceed 41 30%. Further studies of pollen with elastic backscatter lidar at 532 nm were reported by Noh et al. (2013 a,b) and by Sicard et al. (2016). Their measurements confirmed high depolarization ratio of 42 43 pollen grains (particle depolarization ratios as high as 43% were observed for aerosol mixture 44 containing Platanus and Pinus pollen). Moreover, pollen grains backscattering demonstrated strong diurnal cycle, being highest near the noon. The use of multiwavelength observations 45 increases capability of lidar technique for aerosol characterization. In recent studies of Bohlmann 46 et al. (2019) and Shang et al., (2020) measurements performed with Polly^{XT} lidar allowed to 47 estimate mean values of the lidar ratios (about 45 sr and 55 sr at 355 and 532 nm respectively for 48 49 birch pollen grains). The decrease of extinction and backscattering Angstrom exponents (EAE and BAE) during pollen episodes was also reported. 50

51 Atmospheric biological particles efficiently produce wideband fluorescence emission, 52 when being exposed to UV radiation (Pohlker et al., 2012; Pan, 2015; Miyakawa et al., 2015), 53 which offers an opportunity for monitoring them with fluorescence lidars. Nowadays, lidar 54 spectrometers based on multianode photomultipliers allow a simultaneous detection of 55 fluorescence backscattering in 32 spectral bins (Sugimoto et al., 2012; Reichardt et al., 2014, 2017; 56 Saito et al., 2018). In particular, such lidar spectrometer was used in recent work of Saito et al. 57 (2018) for remote measurement of the fluorescence spectrum of atmospheric pollen grains. The 58 results demonstrate that, for 355 nm stimulating wavelength, the fluorescence spectra of different 59 pollen grains have maxima in the 400-600 nm range and the intensity peak at around 460 nm.

To achieve the highest sensitivity of fluorescence detection, in many tasks it is preferable to use a single channel monitoring, where a part of the fluorescence spectrum is selected with a wideband interference filter (Immler et al, 2005; Rao et al., 2018; Li et al., 2019). In our recent





63 publication (Veselovskii et al., 2020) we reported the results obtained from a modified Mie-Raman 64 lidar (LILAS lidar system in Laboratoire d'optique Atmosphérique) with one additional 65 fluorescence channel at 466 nm. Such an approach has proved high sensitivity, allowing to detect 66 fluorescence signals from weak aerosol layers and to calculate the fluorescence backscattering 67 coefficient from the ratio of fluorescence and nitrogen Raman backscatters, thus making it 68 potentially attractive for pollen monitoring.

69 In the present research we combine capability of multiwavelength Mie-Raman lidar for 70 providing three backscattering, two aerosol extinction coefficients and linear depolarization ratio 71 at three wavelengths with single channel fluorescence measurements for characterization of 72 aerosol mixtures containing pollen grains. The measurements reported were performed during 73 March-June 2020 period at the Lille Atmospheric Observation Platform (https://www-loa.univ-74 lille1.fr/observations/plateformes.html?p=apropos) hosted by Laboratoire d'Optique 75 Atmospherique, University of Lille, Hauts-de-France region.

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77 **2. Instrumentation**

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2.1 Mie-Raman-Fluorescence lidar

79 The measurements were performed using LILAS lidar system – a multiwavelength Mie-80 Raman lidar, based on a tripled Nd:YAG laser with a 20 Hz repetition rate and pulse energy of 70 81 mJ at 355 nm. The backscattered light is collected by a 40 cm aperture Newtonian telescope. The 82 full geometrical overlap of the laser beam and the telescope FOV is achieved at approximately 83 1000 m and to obtain the information about particles at lower altitudes, part of the measurements 84 were performed at an angle of 30 degrees to the horizon. The system is designed for a simultaneous 85 detection of elastic and Raman backscattering, allowing the so called $3\beta+2\alpha+3\delta$ data configuration, including three particle backscattering (β_{355} , β_{532} , β_{1064}), two extinction (α_{355} , α_{532}) coefficients 86 along with three particle depolarization ratios ($\delta_{355}, \delta_{532}, \delta_{1064}$). The particle depolarization ratio δ , 87 88 determined as a ratio of cross- and co-polarized components of the particle backscattering 89 coefficient, was calculated and calibrated the same way as described in Freudenthaler et al. (2009). 90 The description of the system can be found in the recent publication of Hu et al., (2019).

91 To perform fluorescence lidar measurements, the water vapor Raman channel at 408 nm 92 was replaced by a fluorescence channel, whose spectrum is captured by a wideband filter centered 93 at 466 nm and of 44 nm width (Veselovskii et al., 2020). The fluorescence measurements were





94 performed during night time only. The aerosol extinction and backscattering coefficients at 355 95 and 532 nm were calculated from Mie-Raman observations (Ansmann et al., 1992), while β_{1064} 96 was derived by the Klett method (Klett, 1985). The fluorescence backscattering coefficient β_F is 97 calculated from the ratio of fluorescence and nitrogen Raman backscattering, as described in Veselovskii et al. (2020). This approach allows to evaluate the absolute values of $\beta_{\rm E}$ if the relative 98 99 sensitivity of the channels is calibrated and the nitrogen Raman scattering cross section is known. 100 Corresponding uncertainty we estimate to be below 50%. Parameters of detectors were not 101 changed during the campaign, so uncertainty of relative variations of β_F was significantly lower 102 and was determined by the statistical errors of fluorescence measurements.

103 To characterize the efficiency of the fluorescence in respect to elastic scattering, the 104 fluorescence capacity

 G_F

$$=\frac{\beta_F}{\beta_{532}} \tag{1}$$

106 is also used. This parameter depends on the relative humidity (RH), so information about RH is 107 important for data analysis. Radiosonde measurements are used to monitor water vapor, as the 108 water vapor channel is replaced by the fluorescence channel in current lidar configuration. The 109 closest available radiosonde data are from Herstmonceux (UK) and Beauvecchain (Belgium) 110 stations, located 160 km and 80 km away from the observation site respectively. These radiosonde 111 data are not collocated with the lidar measurements, so only qualitative analysis of humidification 112 effects was possible.

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2.2 Pollen in situ sampling

Airborne pollen grains and spores were collected by a Hirst-type volumetric sampler 115 116 (VPPS 2000, Lanzoni s.r.l). The pollen sampler was located on the campus of the University of Lille (France) on the rooftop of a 20-m-high building where the lidar instrument was operated. 117 118 Ambient air was sampled at 10 L.min⁻¹ flow rate, allowing the impaction of pollen and spores on 119 an adhesive strip mounted on a rotating clockwork-driven drum. The impaction surface moves at 120 2 mm.h⁻¹ behind the entrance slit, allowing a temporal resolution of 2 hours. The adhesive strip 121 was substituted every 7 days after a full rotation of the drum, which is splitted into 7 parts, each 122 corresponding to a day of monitoring. And then they are fixed on a microscope glass slide with 123 gelatin and fuchsine dye. Pollen taxa were identified by light microscopy on the basis of their





124 characteristic shape and size. Airborne pollen concentrations were expressed as a daily and dual125 hourly number of pollen grains per cubic meter of air.

- 126 Fig.1 shows the most abundant pollen taxa for the period from March to June 2020 in Lille. 127 These include: Betula (54.8% of total pollen taxa over the period), Fraxinus (8.2%), Quercus 128 (5.8%), Urticaceae (4.6%), Salix (4.5%) and Cupressaceae (4.1%). The same figure shows also 129 the fluorescence backscattering β_F measured by lidar. The results presented are obtained by 130 averaging all available data during the night and the maximal values in 500 - 1000 m range are shown. The highest fluorescence is observed in the end of March, when ash (Fraxinus) is the main 131 132 pollinator. The period of intense birch (Betula) pollination (3-15 April 2020) correlates also with 133 high β_F . Strong fluorescence observed for 5-10 May and 28 May–2 Jun periods, can be due to grass 134 (*Poaceae*) pollen contribution. By the end of June, β_F decreases and becomes comparable with 135 fluorescence backscattering of background aerosol. From Fig.1, we can conclude that there is no direct correlation between in situ and fluorescence lidar measurements, thus pollen observed in the 136 137 boundary layer by the lidar are probably transported from other regions. However, comparing lidar and in situ observations, we should keep in mind, that maximum of pollen emission occurs near 138 139 the noon, while lidar measurements were performed in the night.
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3. Discrimination of pollen from other types of aerosol

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3.1. Specific features of pollen containing aerosol mixture

143 In contrast to the observations performed over Alaska (Sassen, 2008, 2011) or Finland 144 (Bohlmann et al., 2011), where pollen concentration was high due to boreal forests surrounding, 145 the pollen loading in the north of France is significantly lower. Long-term lidar and sun photometer 146 observations performed at Lille University demonstrate that local aerosol is mainly of continental 147 type, with predominance of the fine mode particles and low depolarization ratio. The emission of 148 large pollen grains, should lead to strong spectral dependence of the depolarization ratio, because 149 the backscattering at 1064 is less sensitive to the fine background particles than at shorter 150 wavelengths, thus particle depolarization ratio at 1064 nm (δ_{1064}) should be more sensitive to the 151 presence of pollen grains, compared to δ_{355} and δ_{532} . The particle depolarization ratio δ of the 152 mixture, containing background aerosol (b) and pollen (p), with corresponding depolarization 153 ratios δ^{b} and δ^{p} , can be calculated as:





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$$\delta = \frac{\left(\frac{\delta^{p}}{1+\delta^{p}}\right)\beta^{p} + \left(\frac{\delta^{b}}{1+\delta^{b}}\right)\beta^{b}}{\frac{\beta^{p}}{1+\delta^{p}} + \frac{\beta^{b}}{1+\delta^{b}}}$$
(2)

155 Here total backscattering $\beta = \beta^b + \beta^p$.

156 To estimate the dependence of depolarization of the aerosol mixture on the contribution of pollen to the backscattering coefficient $\frac{\beta_{532}^p}{\beta_{532}}$ at 532 nm, a simplified simulation was performed. 157 Assuming that the depolarization ratios of pollen and background aerosol are spectrally 158 independent and that $\delta^{p}=30\%$ while $\delta^{b}=3\%$, the mixture depolarization ratios δ_{355} , δ_{532} , δ_{1064} were 159 calculated as a function of $\frac{\beta_{532}^p}{\beta_{532}}$ using expression (2). The backscattering Angstrom exponents for 160 background aerosol are assumed to be $A_{355/532}^{\beta} = A_{532/1064}^{\beta} = 1.5$, while for pollen 161 $A_{355/532}^{\beta} = A_{532/1064}^{\beta} = 0$. Results of computation are shown in Fig.2. For low $\frac{\beta_{532}^{p}}{\beta_{532}}$ the depolarization 162 ratio δ_{1064} significantly exceeds δ_{355} and δ_{532} . Spectral properties of the real mixture can be more 163 complicated, due to possible spectral dependence of both δ^{p} and δ^{b} . Information on laboratory 164 measured spectral dependence of depolarization ratios of pollen is rare. Cao et al. (2010) measured 165 166 the linear depolarization ratio of several types of pollen in a chamber at 355, 532 and 1064 nm wavelengths. The results demonstrate a strong variation of spectral dependence for different taxa, 167 and for most of the samples δ_{1064} exceeded δ_{355} . However, we should keep in mind that 168 169 measurements in the chamber were performed at low RH, and depolarization ratios at higher RH 170 may be different. Still, from Fig.2 we conclude that the increase of the particle depolarization ratio 171 with wavelength can be an indication of the presence of large, irregularly-shaped pollen grains. 172 We should recall that similar spectral dependence can be provided also by the dust particles in the aerosol mixture. However, as it will be shown later, pollen possesses significantly higher 173 174 fluorescence capacity and this is how these particles can be discriminated from dust.

The presence of pollen should lead also to the decrease of the extinction and backscattering Angstrom exponents. EAE depends mainly on particle size, while BAE is sensitive also to the particle complex refractive index and shape, thus the measured profiles of EAE and BAE can present significant difference (Veselovskii et al., 2015). In our study we analyze the EAE and BAE





for the wavelength pair 355/532 nm ($A_{355/532}^{\alpha}$ and $A_{355/532}^{\beta}$) only, because the extinction and backscattering coefficient involved are calculated from Mie–Raman observations.

When analyzing Mie-Raman-fluorescence lidar measurements of pollen containing aerosol mixtures, the numerous factors should be taken into account. These factors include the fluorescence of background aerosol and other non-pollen aerosols that have strong fluorescence capacity, for example, smoke particles. Dust particles can contribute to the increase of depolarization ratio and, finally, the hygroscopic growth can modify the particle parameters. All these factors will be considered in following sections.

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3.2. Characteristics of background aerosol over observation site.

189 Long-term observations in Lille University demonstrate that aerosol over the observation 190 site is mainly of continental type with predominance of the fine mode particles. Typical vertical 191 profiles of the background aerosol parameters, observed on 3 June 2020, are given in Fig.3, 192 showing aerosol elastic and fluorescence backscattering coefficients, lidar ratios, Angstrom 193 exponents and depolarization ratios at three wavelengths. The RH from Beauvecchain (Belgium) 194 radiosonde observations, was below 50% in the height range considered. Particle depolarization 195 ratios at all three wavelengths are below 7%, indicating that contribution of pollen to the total 196 backscattering is insignificant. This agrees with the low values of pollen concentration provided by in situ measurements (Fig. 1a). The lidar ratios at both wavelengths (S_{355}, S_{532}) are close, varying 197 in the 50-60 sr range, and the fluorescence capacity G_F is below 0.35×10^{-4} . The EAE and BAE 198 199 $(A_{355/532}^{\alpha}, A_{355/532}^{\beta})$ are in the 1.5–2.0 range. The presence of pollen should lead to a deviation of the 200 particle intensive parameters, such as the fluorescence capacity, depolarization ratio, EAE and 201 BAE, from the typical values of background aerosol.

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3.3 Identification of the smoke particles

During the observation period the smoke elevated layers transported over Atlantic were frequently detected. Smoke particles, are characterized by high fluorescence cross section (Reichardt et al., 2017; Veselovskii et al., 2020) and can interfere pollen fluorescence measurements. The temporal evolution of the range corrected lidar signal, volume depolarization ratio at 1064 nm and fluorescence backscattering for the smoke episode on the night 23 – 24 June 2020 are shown in Fig.4. During the night the smoke layer with low depolarization and high





210 fluorescence is observed at approximately 5000 m height. Back trajectories (not shown) indicate 211 that the layer is transported from Canada. Vertical profiles of the particle parameters for this 212 episode are shown in Fig.5. The lidar ratio is about 50 sr at 355 nm, while the lidar ratio at 532 nm 213 increases within the smoke layer from 60 sr to 80 sr. This increase of S_{532} occurs simultaneously 214 with decrease of $A_{355/532}^{\alpha}$ from 1.5 to 0.75, indicating that the particle size inside the layer growths 215 with height. Higher values of S_{532} in respect to S_{355} are typical characteristics for the aged smoke 216 (e.g. Müller et al., 2005; Nicolae et al., 2013; Hu et al., 2019). The depolarization ratio decreases 217 with wavelength from $\delta_{355}=10\%$ to $\delta_{1064}=1.5\%$. Strong spectral dependence of depolarization ratio 218 and, in particular, low values of δ_{1064} , are the features, allowing to identify the smoke layers. The extinction Angstrom exponent $A^{\alpha}_{355/532}$ in the center of layer is about 0.75, while $A^{\beta}_{355/532}$ is about 219 1.9 and shows no significant variation through the layer. High values of $A_{355/532}^{\beta}$ compared to 220 221 $A_{355/532}^{\alpha}$ is another feature that will be used for aged smoke discrimination. Smoke fluorescence capacity is high, reaching up to $G_F=5*10^{-4}$ for the period of observations, and this is one more 222 223 feature, allowing to separate smoke from other types of aerosol.

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3.4 Identification of the dust particles

226 Presence of dust particles and pollen in the fine background aerosol leads to some common 227 characteristics in the lidar data, such as the decrease Angstrom exponents and increase of 228 depolarization ratios. However, pollen and dust can be separated by the fluorescence capacity. The 229 vertical profiles of particle parameters during dust episode on 27 May are shown in Fig.6. The dust 230 containing layer extends from 2000 m to 7000 m and the particle depolarization ratios δ_{1064} and 231 δ_{532} in this layer are close to 20%. These values are lower than depolarization of pure dust. For 232 example, Freudenthaler et al. (2009) for pure dust provide the values of 27% and 31% at 1064 nm 233 and 532 nm wavelengths respectively, thus in our case transported dust particles may be mixed 234 with local aerosols. The particle depolarization at 355 nm is not shown in the figure, because the 235 scattering ratio in the dust layer was too low to compute δ_{355} reliably. The fluorescence capacity of particles in the dust layer is about 0.1×10^{-4} at 4000 m, which is factor 50 lower than G_F of the 236 smoke in Fig.5. There is also a weak aerosol layer at 1600 m with β_{532} about 0.035 Mm⁻¹sr⁻¹. The 237 fluorescence capacity in this layer is high ($G_F \approx 2.0^{*10^{-4}}$), suggesting that this layer may contain 238 239 smoke or pollen particles.





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3.5 Impact of particle hygroscopic growth

242 The vertical variation of observed aerosol properties may be a result of particle water 243 uptake, which should be separated from the features related to pollen presence. Fig.7 shows the 244 profiles of the particle parameters for the episode on 15 June 2020, when the aerosol hygroscopic 245 growth could take place. In the height range 900–1500 m the fluorescence backscattering β_F is 246 stable, while the elastic backscattering β_{532} increases by a factor 3. Radiosonde measurements in 247 Herstmonceux (UK) in this height range demonstrate an increase of RH from about 75% to 85%, 248 while lidar measured extinction and backscattering Angstrom exponents decrease from 1.5 to 1.3, 249 corroborating the presence the particle hygroscopic growth. The depolarization ratio δ_{1064} at low 250 altitudes exceeds δ_{355} and δ_{532} , which can be an indication of pollen presence. This is supported by significant fluorescence capacity ($G_F=0.9\times10^{-4}$ at 750 m). 251

The number of fluorescent particles in the 900–1500 m range, does not present significant changes (β_F is stable), so observed vertical variations, i.e. the decrease of depolarization ratios at all three wavelengths and the increase of lidar ratios S₃₅₅ and S₅₃₂ from 50 sr to 65 sr, are probably the result of water uptake by the particles. Water uptake does not change the number of fluorescent molecules, however the fluorescence capacity decreases in the process of the hygroscopic growth, so *G_F* can be a representative parameter of aerosol types only at the condition of low RH.

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4. Results of lidar measurements in the presence of pollen

260 During March–June 2020, we had numerous measurement cases demonstrating the features in the profiles of the particle parameters, that can be attributed to pollen. For representative 261 262 cases we have chosen observations with high depolarization ratio and high fluorescence 263 backscattering. The same time, we omitted the days with high relative humidity, to minimize the 264 impact of the hygroscopic growth effects. Below we consider several measurement cases 265 representing different scenarios, in particular, the episodes when pollen concentration decreases 266 with height (30-31 May, 1-2 June) and the episodes when pollen grains are well mixed inside the 267 boundary layer (27-28 March and 21 April).

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269 4.1. 30-31 May and 1-2 June 2020 observations





270 The results of lidar measurements during the campaign in many episodes can be interpreted 271 as decrease of pollen concentration with height. Vertical profiles of the main particle parameters 272 for two representative cases in the nights of 30-31 May and 1-2 June 2020, are shown in Fig.8. 273 The HYSPLIT back trajectory analysis (Stein et al., 2015) demonstrates that in 1000--2000 m 274 height range the air masses were transported from the Northern Europe. At the ground level, the 275 grass could be the main pollen contributor for this period, as shown in Fig 1. On 31 May (at 00:00 276 UTC) the RH measured by the radiosonde in Herstmonceux (UK) was about 40% at 500 m and it 277 increased up to 70% at 2000 m. On 2 June the RH increased from approximately 40% to 60% in the same height range. For both nights the fluorescence backscattering decreases with height, 278 279 indicating the decrease of the concentration of fluorescent particles (presumably pollen). This 280 decrease of β_F correlates with decrement of the depolarization ratio at all three wavelengths. 281 Particle depolarization δ_{1064} is the highest (about 15% at 750 m), while δ_{355} and δ_{532} are significantly lower. Such spectral dependence of depolarization ratio agrees with model 282 calculation in Fig.2. The lidar ratios are available above 1250 m and for both cases, S_{355} and S_{532} 283 284 increase with height. It indicates that the lidar ratios of pollen in the two considered cases can be 285 quite low: below 40 sr at 355 nm and below 30 sr at 532 nm, considering that pollen concentration 286 decreases with height, which is inferred from the features of depolarization ratio and fluorescence 287 backscattering

The EAE for both nights is about 2.0 and does not show significant changes with height. The BAE is lower (about 1.5 at 1000 m) and for both nights it shows some increase in 1250–2250 m range. The BAE, in contrast to EAE, depends strongly on the particle refractive index and shape, thus it may demonstrate higher sensitivity to the changes in aerosol mixture composition. Recall that backscattering and extinction Angstrom exponents are related as:

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$$A_{355/532}^{\beta} = A_{355/532}^{\alpha} + \frac{\ln(S_{532}/S_{355})}{\ln(355/532)}$$
(3)

Thus for $S_{355} > S_{532}$, which has been observed during pollen episodes, the $A^{\beta}_{355/532}$ is lower than $A^{\alpha}_{355/532}$. This is in contrast with smoke episodes, where $S_{355} > S_{532}$ and $A^{\beta}_{355/532} > A^{\alpha}_{355/532}$ (Fig.5).

If the depolarization ratios of pollen δ^{p} and background aerosol δ^{b} are known, the pollen backscattering coefficient β^{p} can be calculated. Such approach is widely used for the separation of contributions of dust and smoke particles (Sugimoto and Lee, 2006; Tesche et al., 2009) and





the same technique was applied to separate pollen and background aerosol (Noh et al. 2013a;
Sicard et al., 2016; Shang et al., 2020). For height independent depolarization ratios of pollen and
background aerosol the pollen backscattering coefficient can be calculated as suggested by Tesche
et al. (2009):

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$$\beta^{p} = \beta \frac{(\delta - \delta^{b})}{(\delta^{p} - \delta^{b})} \frac{(1 + \delta^{p})}{(1 + \delta)} , \qquad (4)$$

304 Here β and δ are backscattering coefficient and particle depolarization ratio of the mixture. The profiles of β_{532}^p and the relative contribution $\frac{\beta_{532}^p}{\beta_{532}}$ are shown in Fig.8(b,e). Computations were 305 performed in assumption of height independent $\delta_{532}^p = 30\%$. For background aerosol, the values 306 $\delta_{532}^{b} = 3\%$ for 30-31 May and $\delta_{532}^{b} = 5\%$ for 1-2 June were used. On 30 – 31 May contribution of 307 pollen $\frac{\beta_{532}^p}{\beta_{532}}$ at 750 m is estimated as 30%. From Fig.2 the expected ratio $\delta_{1064}/\delta_{355}$ is about 2.3. It 308 309 agrees with observed ratio, which is about 2.4. The profiles of β_F and β_{532}^p in Fig.8(b, e) behave similarly, decreasing with height. Above 310 2000 m the decrease of β_F slows down due to the fluorescence of background aerosol. The profiles 311 of the fluorescence capacity G_F and relative contribution $\frac{\beta_{532}^p}{\beta_{532}}$ also demonstrate a good correlation. 312 313 Thus both depolarization and fluorescence techniques lead to the same conclusion: pollen 314 concentration in the boundary layer for the considered episodes decreases with height. 315 4.2. 27 – 28 March and 21 April 2020 observations. 316 317 According to the in-situ pollen sampling at rooftop level, the maximal pollen content was 318 detected during birch pollination period on 4-20 April. However, the maximal fluorescence 319 backscattering of lidar data was observed in the end of March, when sampling shows an increase

320 of ash (*fraxinus*) pollen emission. The temporal evolution of range corrected lidar signal, volume

depolarization ratio at 1064 nm and fluorescence backscattering on 27-28 March night is shown

322 in Fig.9. The main part of the aerosol is localized below 2000 m. The back trajectory analysis

demonstrates the air masses in this episode were transported from the East Europe. In contrast with Fig.8, where fluorescence decreases with height, on 27 -28 March the fluorescent particles are





rather well mixed inside the PBL (planetary boundary layer). The fluorescence backscattering is high, exceeding 2.5×10^{-4} Mm⁻¹sr⁻¹ and the volume depolarization at 1064 nm is above 15%. The vertical profiles of the particle parameters are shown in Fig.10. Radiosonde measurements (at both Beauvecchain and Herstmonceux sites) show that RH gradually increased with height from approximately 40% to 70% in 500–1750 m range.

Both the fluorescence backscattering and depolarization ratios do not demonstrate strong variations inside the 600–1500 m range. The maximum of fluorescence capacity exceeds 1.2×10^{-1} which is significantly higher than G_F for background aerosol in Fig.3. The profiles of G_F and behave reasonably similar, the slight decrease of $\frac{\beta_{532}^p}{\beta_{532}}$ with height in respect to G_F can be due to dependence of depolarization ratio of pollen on RH.

335 Agreements between results obtained from depolarization and fluorescence techniques in 336 Fig.8,10, corroborates the suggestion that the observed fluorescence is mainly due to the presence 337 of pollen. However, in some episodes the particles with high fluorescence cross section, other than 338 pollen, could interfere. In particular, such interference occurred in 20-23 April 2020 period. Fig.11 339 shows the vertical profiles of particle parameters measured on 21 April. The depolarization ratio 340 δ_{1064} =22% at 750 m was one of the highest during campaign. The RH is low, increasing from 30% 341 to 45% in 800–1500 m range, according to Herstmonceux radio sounding. The back trajectory 342 analysis demonstrates, below 1500 m the air masses are transported from Spain, while at 2000 m 343 the transportation is from the Northern Europe.

Fluorescence backscattering is stable in 500–1500 m range and the fluorescence capacity at 1000 m is about 1.5×10^{-4} , which is a typical value for the pollen. However, above 1250 m G_F starts to rise, reaching the value of 2.5×10^{-4} at 1750 m, meanwhile depolarization ratio decreases. Such high G_F is more typical for the smoke particles. The lidar ratios above 1250 m, as well as BAE, also increase. Such vertical variation of the intensive particle parameters was observed during 20-23 April period and it may indicate the presence of the biomass burning aerosol near the boundary layer top.

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4.3. Separation of pollen and smoke layers

During the campaign we observed narrow layers with strong fluorescence. Two examples of such observations, in the nights 13-14 April and 16-27 May 2020, are shown in Fig.12. The





355 white arrows on this figure point to the fluorescent layers. On 13 April, a weak aerosol layer 356 $(\beta_{532} \approx 0.6 \text{ Mm}^{-1} \text{ for } 23:00 - 00:00 \text{ UTC})$ is observed at the top of the PBL. This layer demonstrates 357 volume depolarization ratios exceeding 10% and high fluorescence backscattering. On 26-27 May 358 a weak layer with high fluorescence backscattering occurs between 3 km and 4 km. However, in 359 contrast with the first case, it has low depolarization ratio, so the layers may have different nature. 360 Fig.13 shows the vertical profiles of the particle parameters for these two cases. On 13-14 April 361 the fluorescence backscattering below 1000 m is stable, while β_{532} rises, which can be the result 362 of the particle water uptake. Above 1000 m, the depolarization ratio δ_{1064} increases up to 8%. 363 Results in Fig.13a are averaged over 21:15–00:40 UTC temporal interval, but peak values of δ_{1064} 364 between 23:00 and 00:00 exceeded 12%. Fluorescence backscattering increases simultaneously 365 with the depolarization. The aerosol backscattering coefficient of fluorescent layer is too low for 366 a reliable calculation of δ_{355} and δ_{532} , so only the profile of δ_{1064} is provided.

367 On 26-27 May the backscattering coefficient of the fluorescent layer at 3400 m is lower than in Fig.13a ($\beta_{532}\approx 0.14$ Mm⁻¹sr⁻¹), so the depolarization ratio δ_{1064} can be calculated only in the 368 369 center of the layer and it is about 2%, which is significantly lower than that on 13-14 April. However, the fluorescence capacity on 26-27 May is up to 3.5×10^{-4} , which is typical for smoke. 370 371 Thus, we can conclude that the fluorescent layer on 26-27 May contains the smoke particles, due 372 to high G_F and low δ_{1064} . On 13-14 April, the fluorescence capacity is significantly lower (about 373 0.9×10^{-4}) and depolarization ratio δ_{1064} exceeds 10%, which is more typical for pollen. Due to low 374 backscattering coefficients of the fluorescent layers in Fig.12, we are not able to provide a 375 complete set of intensive parameters, such as Angstrom exponents and particle depolarization 376 ratios at three wavelengths. However, based on the obtained fluorescence capacities and δ_{1064} values, we conclude that the fluorescent layers probably contain pollen grain in Fig.12a, and smoke 377 378 particles in Fig.12b.

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4.4 Aerosol classification based on polarization and fluorescence measurements.

Table 1 summarizes the results in the campaign, showing the aerosol parameters, such as particle depolarization and lidar ratios, extinction Angstrom exponent, fluorescence backscattering and capacity for several days in March–June 2020 observations period, when the contribution of pollen to the total particle backscattering was significant. All available night observations were





385 averaged and results are given for heights with the highest particle depolarization. Lidar ratios varied approximately in 40--70 sr range, wherein normally S_{355} is greater than S_{532} . It must be 386 emphasized that pollen lidar ratios may differ for different taxa and that the observed lidar ratios 387 388 are not attributed to pure pollen, but to the aerosol-pollen mixture, so the values provided are 389 influenced by the properties of background aerosol. Moreover, the shape of pollen grains depends 390 on RH, (Heidemarie et al., 2018), which may also lead to the variation of pollen lidar ratios. In 391 most of the cases, the depolarization ratio presents strong spectral dependence and increases with 392 wavelength. This spectral dependence is probably the result of mixing of strongly depolarizing 393 pollen grains with fine background aerosol. The maximal value of observed fluorescence capacity 394 of pollen-aerosol mixture is 1.6×10^{-4} , which is significantly higher than that of background aerosol, 395 but lower than fluorescence capacity of smoke.

396 The simultaneous observations of depolarization ratio and fluorescence capacity for 397 different types of aerosol are summarized by Fig.14. On this plot, particle depolarization δ_{532} is 398 plotted versus G_F . The diagram allows to separate four types of the particles: (i) dust particles – 399 high δ_{532} and low G_F ; (ii) pollen – high δ_{532} and high G_F ; (iii) smoke – low δ_{532} and low G_F ; (iiii) 400 background aerosol (continental type) - low δ_{532} and low G_F . Points corresponding to the pollen 401 mixture provide extended pattern, because parameters depend on the concentration of pollen in the 402 aerosol mixture. The dust measurements are also scattered, because dust over the instrumentation 403 site is long transported and mixed with local aerosol. Minimum G_F for dust is about 0.1×10^{-4} while 404 for smoke maximal G_F is about factor 50 higher. The fluorescence capacity depends on the relative 405 humidity, so strong scattering of measurement points can be partly also due to RH variations. Maximal values of G_F for pollen mixture were about 1.5×10^{-4} , and the corresponding 406 407 depolarization ratios δ_{532} are about 18%. Thus, assuming that depolarization ratio of pure pollen is 408 30%, we can expect G_F for pure pollen to be about 2.5×10^{-4} , which is comparable with values for 409 smoke.

410

411 Conclusion

We analyzed the measurements from a multiwavelength Mie-Raman-fluorescence lidar during March–June 2020 in the north of France, to reveal the features that can be attributed to pollen grains. Contrary to previous studies, where pollen was identified by the enhanced depolarization ratio at a single wavelength, our lidar system allowed to measure depolarization





416 ratios at three wavelengths, simultaneously with the fluorescence backscattering at 466 nm. In 417 numerous episodes during the campaign, high values of the particle depolarization ratio at 1064 418 nm, exceeding 15%, were observed. Moreover, depolarization ratio had strong spectral 419 dependence, being the highest at 1064 nm and lowest at 355 nm, which is expectable for big 420 particles of irregular shape mixed with fine, low depolarizing background aerosol. The increase of 421 particle depolarization correlated with enhancement of the fluorescence backscattering 422 corroborating that in these episodes we observed aerosol mixtures containing pollen.

The lidar ratios of aerosol-pollen mixtures observed during campaign varied in a wide range. At low altitudes, where particle presented strong depolarization and fluorescence, in many cases we observed lidar ratios below 40 sr at both wavelengths. However, we had also cases when the lidar ratios at both wavelengths were in 50–60 sr range. Thus, at the moment we are not capable to specify lidar ratios for pure pollen and additional measurement campaigns in the locations with high pollen content are strongly desirable.

429 Obtained results demonstrate, that simultaneous measurements of particle depolarization 430 and fluorescence allows to separate dust, smoke particles and pollen grains. Moreover, the 431 fluorescence measurements provide additional information that can be used in aerosol 432 classification schemes. However, further studies are needed to make this technique applicable for 433 the quantitative pollen characterization. In the data analysis it is important to account for the 434 process of water uptake by the particles, because hygroscopic growth increases backscattering of 435 background aerosol and influences the pollen grain shape. In the presented lidar configuration, the 436 water vapor channel was absent and radiosonde RH data were not collocated with lidar, which 437 prevented us from performing a quantitative analysis of the hygroscopic effects. Since December 438 2020, we recovered the water vapor channel in upgraded configuration of the lidar. Moreover, we 439 added one more fluorescence channel centered at 549 nm, which will be used in the next pollen 440 campaign in 2021. This additional channel should improve discrimination of the pollen from other 441 aerosols. In coming campaign we will try to correlate our results with pollen concentration at 442 different location in Europe by using the transport model, e.g. SILAM (System for Integrated 443 modeLling of Atmospheric coMposition) (Sofiev et al, 2013, 2015). The use of this model should 444 help in identification of pollen type in our observations.

445

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- 451 gratefully acknowledged for providing Hirst-collected pollen grains identification and for
- 452 assistance with the pollen data handling.
- 453





454

- 455 Table 1. Lidar measured aerosol parameters, such as particle depolarization ratios (δ_{355} , δ_{532} , δ_{1064}),
- 456 lidar ratios (S_{355} , S_{532}), extinction Angstrom exponent ($A_{355/532}^{\alpha}$), fluorescence backscattering
- 457 coefficient (β_F) and fluorescence capacity (G_F) for several days during March June 2020 period, 458 when contribution of pollen to the total particle backscattering could be significant.

Day	Height, m	δ355, %	δ532, %	$\delta_{1064}, \%$	S ₃₅₅ , sr	S ₅₃₂ , sr	Aα	$\beta_{\rm F}*10^{-4}$,	G _{F*} 10 ⁻⁴
Day	fieight, m	0355, %	0532, %	01064, %	5355, 51	5532, 51	$A^{lpha}_{_{355/532}}$	$Mm^{-1}sr^{-1}$	OF*10
27 Mar	1150	9	12	13	50	42	1.5	2.5	1.2
7 Apr	1150	13	13	13	60	60	1.25	1.0	1.3
8 Apr	1000	11	10	9	50	60	1.0	1.9	1.0
15 Apr	750	15	15	17	40	40	0.7	0.4	0.9
16 Apr	1250	15	15	15	-	-	-	0.6	1.6
19 Apr	650	8	10	14	58	48	1.35	1.2	0.9
20 Apr	1000	18	18	22	55	45	1.2	0.75	1.3
21 Apr	750	15	17	22	66	47	1.25	1.1	1.4
22 Apr	1000	18	18	22	70	55	1.2	0.9	1.5
23 Apr	1000	6	14	11	53	65	1.25	1.5	1.05
30 May	750	7	10	16	-	-	-	0.8	1.2
1 June	750	7	10	16	-	-	-	1.25	1.5

459





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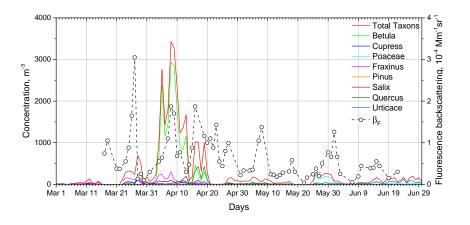
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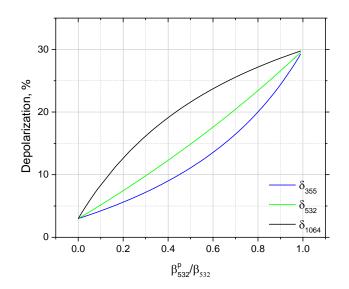
Fig.1. Daily concentration of most abundant pollen taxa, for the period March–June 2020 in Lille from in situ measurements on the rooftop. Open symbols show fluorescence backscattering β_F measured by lidar. Lidar measurements are averaged over night and maximal value in 500–1000 m range is shown.





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595 Fig.2. Depolarization ratios at 355, 532 and 1064 nm as a function of pollen contribution to the

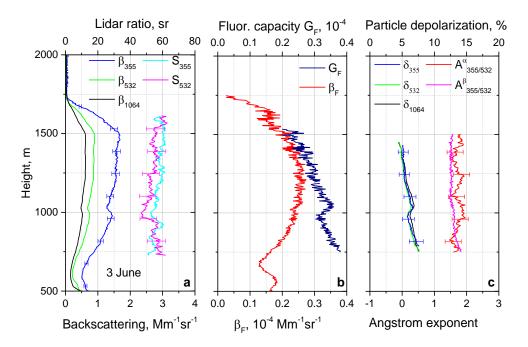
total backscattering coefficient $\frac{\beta_{532}^p}{\beta_{532}}$. Depolarization ratios of pollen (δ^p) and background aerosol (δ^b) are assumed to be spectrally independent, with values of $\delta^p=0.3$ and $\delta^b=0.03$. The backscattering coefficient of pollen is spectrally independent, while for background aerosol the backscattering Angstrom exponents $A_{555/532}^{\beta} = A_{532/1064}^{\beta} = 1.5$ were used.





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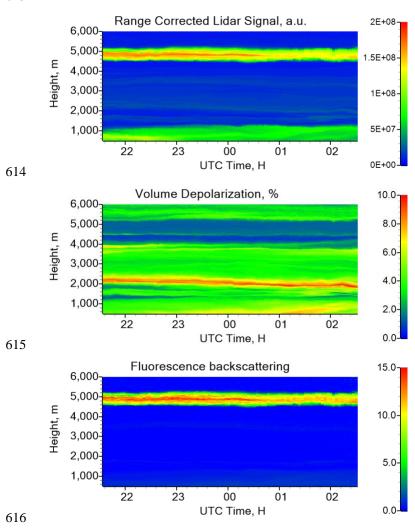
Fig.3. Measurements in the condition of background aerosol predominance. Vertical profiles of (a) backscattering coefficients β_{355} , β_{532} , β_{1064} and lidar ratios S_{355} , S_{532} ; (b) fluorescence backscattering β_F and fluorescence capacity $G_F = \beta_F / \beta_{532}$; (c) particle linear depolarization ratios δ_{355} , δ_{532} , δ_{1064} together with extinction and backscattering Angstrom exponents $A^{\alpha}_{355/532}$, $A^{\beta}_{355/532}$ on 3 June 2020 for 20:30 – 23:00 UTC. Measurements were performed at 30 degree to the horizon.

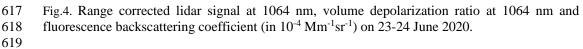












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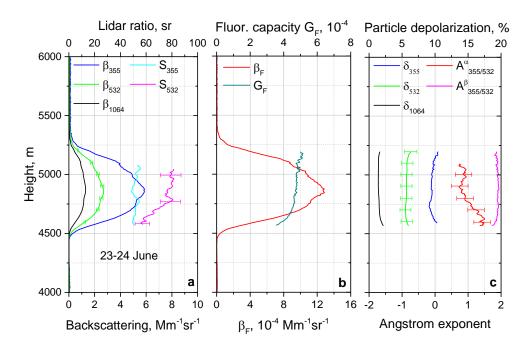
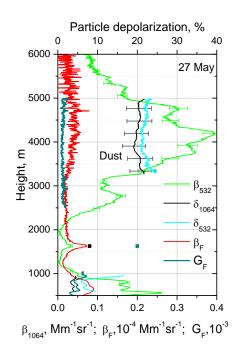




Fig.5. Vertical profiles of (a) backscattering coefficients β_{355} , β_{532} , β_{1064} , lidar ratios S_{355} , S_{532} ; (b) fluorescence backscattering coefficient $\beta_{\rm F}$, fluorescence capacity $G_{\rm F}$; and (c) particle depolarization ratios δ_{355} , δ_{532} , δ_{1064} together with the extinction and backscattering Angstrom exponents $A^{\alpha}_{355/532}$, $A^{\beta}_{355/532}$ on the night 23-24 June 2020 for 21:30 – 02:30 UTC.







631 Fig.6. Lidar measurements during dust episode. Vertical profiles of particle β_{1064} and fluorescence

 β_F backscattering coefficients, fluorescence capacity G_F and particle depolarization ratios δ_{1064} ,

 δ_{532} on 27 May 2020 for 21:00–23:00 UTC.







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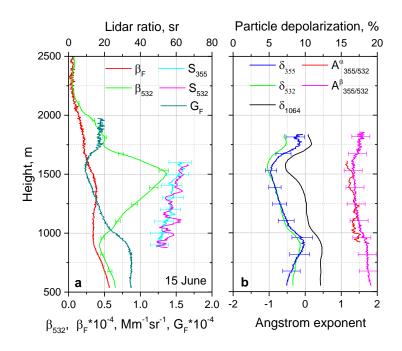




Fig.7. Lidar measurements in the condition of the aerosol hygroscopic growth in 900-1500 m height range. Vertical profiles of (a) particle β_{532} and fluorescence β_F backscattering coefficients, fluorescence capacity G_F , lidar ratios S_{355} , S_{532} and (b) particle depolarization ratios δ_{355} , δ_{532} , δ_{1064} together with extinction $A_{355/532}^{\alpha}$ and backscattering $A_{355/532}^{\beta}$ Angstrom exponents measured on 15 June 2020 for 22:00 – 24:00 UTC.

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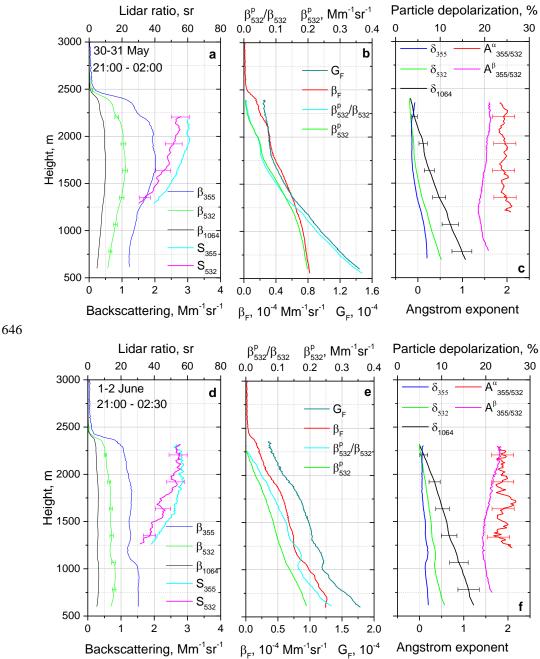




Fig.8. Vertical profiles of (a, d) particle backscattering coefficients β_{355} , β_{532} , β_{1064} and lidar ratios *S*₃₅₅, *S*₅₃₂, (b, e) fluorescence backscattering coefficient β_F , fluorescence capacity *G_F*, pollen

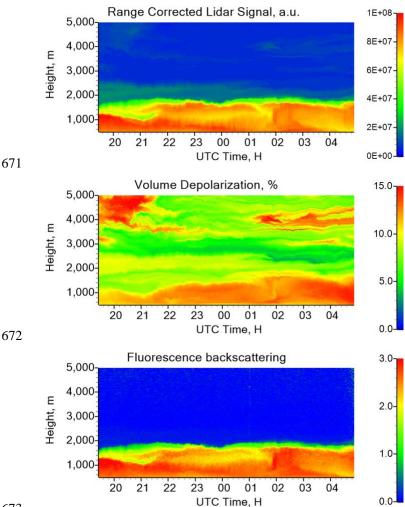




backscattering coefficient β_{532}^p and its contribution to the total backscattering $\frac{\beta_{532}^p}{\beta_{532}}$; (c, f) particle depolarization ratios δ_{355} , δ_{532} , δ_{1064} together with extinction $A^{\alpha}_{355/532}$ and backscattering $A^{\beta}_{355/532}$ Angstrom exponents on (a-c) 30 - 31 May 2020 for 21:00 - 02:00 UTC and on (d-f) 1-2 June 2020 for 21:00 – 02:30 UTC. Profiles of β_{532}^p and $\frac{\beta_{532}^p}{\beta_{532}}$ were computed in assumption of $\delta_{532}^p = 30\%$. The depolarization ratios of the background aerosol $\,\delta^{\scriptscriptstyle b}_{\scriptscriptstyle 532}\,$ is measured/assumed to be 3% on 30 May and 5% on 1 May.







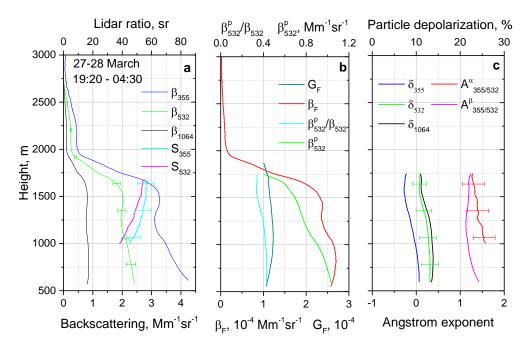
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Fig.9. Range corrected lidar signal at 1064 nm (upper panel), volume depolarization ratio at 1064 nm (middle panel) and fluorescence backscattering coefficient (in 10⁻⁴ Mm⁻¹sr⁻¹, lower panel)
measured on 27-28 March 2020.





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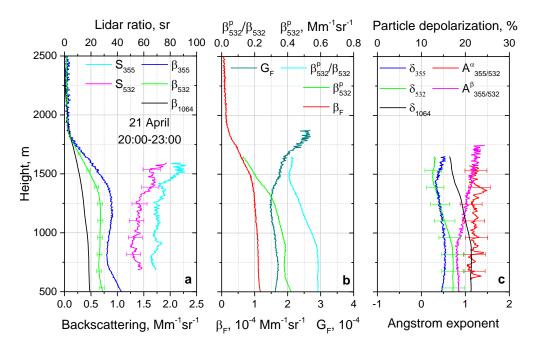
Fig.10. Vertical profiles of (a) particle backscattering coefficients β_{355} , β_{532} , β_{1064} and lidar ratios S_{355} , S_{532} ; (b) fluorescence backscattering coefficient β_F , fluorescence capacity G_F , pollen backscattering coefficient β_{532}^p and its contribution to the total backscattering $\frac{\beta_{532}^p}{\beta_{532}}$; (c) particle depolarization ratios δ_{355} , δ_{532} , δ_{1064} together with extinction $A_{355/532}^{\alpha}$ and backscattering $A_{355/532}^{\beta}$

Angstrom exponents measured on 27 - 28 March 2020 for 19:20 – 04:30 UTC.









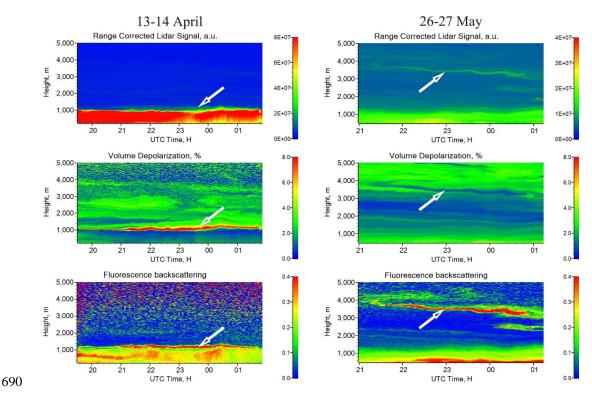
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688 Fig.11. The same particle parameters as in Fig.10 for 21 April 2020, 20:00-23:00 UTC.

689 Measurements were performed at 30 deg to horizon.







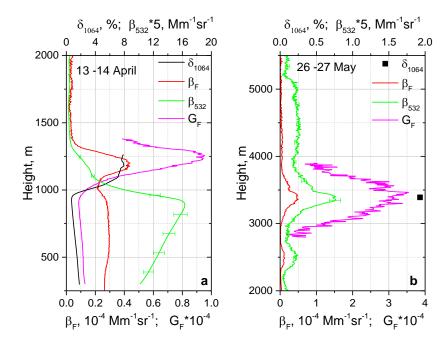
691 Fig.12. Range corrected lidar signal at 1064 nm, volume depolarization ratio δ_{1064}^{ν} and 692 fluorescence backscattering coefficient (in 10⁻⁴ Mm⁻¹sr⁻¹) measured on 13-14 April (left column) 693 and 26-27 May 2020 (right column). Arrows point to the fluorescent layers.

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698 Fig.13. Vertical profiles of elastic $β_{532}$ and fluorescence $β_F$ backscattering coefficients, 699 fluorescence capacity G_F and particle depolarization ratio $δ_{1064}$ measured on (a) 13-14 April for 700 21:00 – 01:00 UTC and (b) 26-27 May 2020 for 23:30 – 00:40 UTC. Values of $β_{532}$ are multiplied 701 by factor 5.

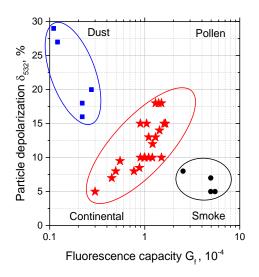
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Fig.14. Particle depolarization δ_{532} versus fluorescence capacity G_F . This diagram allows to identify dust (blue), smoke particles (black) and aerosol mixtures containing pollen (red).

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