# Volcanic cloud detection using Sentinel-3 satellite data by means of neural networks: the Raikoke 2019 eruption test case

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### 12 Abstract

13 The accurate automatic volcanic cloud detection by means of satellite data is a challenging task and of great concern for both 14 scientific community and aviation stakeholder due to the well-known issues generated by a strong eruption events in relation 15 to aviation safety and health impacts. In this context, machine learning techniques applied to satellite data acquired from recent 16 spaceborne sensors acquired data have shown promising results in the last years. 17 This work focuses on the application of a neural network based model to Sentinel-3 SLSTR (Sea and Land Surface 18 Temperature Radiometer) daytime products in order to detect volcanic ash plumes generated by the 2019 Raikoke eruption. 19 AThe classification of meteorological the clouds and of the other surfaces comprising composing the scene is also carried out. 20 The neural network has been trained with MODIS (MODerate resolution Imaging Spectroradiometer) daytime imagery 21 collected during the 2010 Eyjafjallajökull eruption. The similar acquisition channels of SLSTR and MODIS sensors and the 22 events comparable latitudes of the eruptions allow to extend foster the robustness of the approach to SLSTR, which thereby 23 overcoming the lack in Sentinel-3SLSTR products collected in previous mid-high latitude eruptions. The results show that the 24 neural network model is able to detect volcanic ash with good accuracy if compared with RGB visual inspection and BTD 25 (Brightness Temperature Difference) procedures. Moreover, the comparison between the ash cloud obtained by the neural 26 network (NN) and a plume mask manually generated for the specific SLSTR considered images, shows significant agreement. 27 Thus, the proposed approach allows an automatic image classification during eruption events, and which it it is also 28 considerably faster than time-consuming manually algorithms (e.g. find the best BTD product specific threshold). 29 Furthermore, the whole image classification indicates an overall reliability of the algorithm, in particular for meteo-clouds 30 recognition and discrimination from volcanic clouds.

31 Finally, the results show that the NN developed for the SLSTR nadir view is able to properly classify also the SLSTR oblique

32 view images.

# 33 1 Introduction

34 FIn general, from the start of an eruptive eventthe eruption, volcanic emissions are composed of a broad distribution of ash 35 particles, ranging from very fine ash (particle diameters,  $d < 30 \,\mu$ m) increasing in size to tephra (airborne pyroclastic material) 36 with diameters from 2 mm up to 64 mm. Larger fragments areand are also generated which fall out quickly; these and ash 37 with  $d > 30 \,\mu$ m are not considered in this paper. The Ingeneral, from the start of the eruption, the volcanic emission is composed 38 by both coarse and fine particles. The coarser fall down to the volcanic edifice, while the finer are transported by the wind. 39 The solid part of the volcanic plume is basically composed by ash particles while the gaseous part is made mainly of water 40 vapour (H<sub>2</sub>O), carbon dioxide (CO<sub>2</sub>) and sulphur dioxide (SO<sub>2</sub>) gases (Shinohara, 2008)(Oppenheimer et al., 2011; Shinohara, 41 2008)(Oppenheimer et al., 2011; Shinohara et al., 2008), and also a liquid part consisting in sulphate aerosol is present. 42 Depending on the eruptive intensity, the volcanic cloud can reach different altitudes in the atmosphere thus affecting 43 environment (Craig et al., 2016; Delmelle et al., 2002) (Delmelle et al., 2002; Craig et al., 2016), climate (Bourassa et al., 44 2012; Haywood & Boucher, 2000; Solomon et al., 2011) (Haywood et al., 2000; Solomon et al., 2011; Bourassa et al., 2012), human health (Delmelle et al., 2002; Horwell et al., 2013; Horwell & Baxter, 2006; Mather et al., 2003). (Delmelle et al., 2002; 45 46 Mather et al., 2003; Horwell et al., 2006; 2013) and aircraft safety (Casadevall, 1994). (Casadevall et al., 1994; Zenher 2010). 47 The detection procedure consists in identifying the presence of certain species in the atmosphere and discriminating them 48 against other species. Thus, volcanic ash detection is related to the discrimination of the areas (pixels in an image), which are 49 affected by the -presence of these particles. First evidences about the possibility to detect-the volcanic cloud by means of 50 remote sensing data arise in the eighties (A. J. Prata, 1989a; A. J. PrataRATA, 1989b) (Prata, 1989a, b). The method used for the detection-problem of volcanic ash particles relies on in the ability to discriminate between volcanic clouds and 51 52 meteorological ice and water vapourliquid water clouds by exploiting the different spectral absorption in the Thermal InfraRed 53 (TIR) spectral range  $(7-14 \mu m)$ . In this interval the absorption of ash particles with radius between 0.5  $\mu m$  and 15  $\mu m$  at 54 wavelength of 11 µm is larger than the absorption of ash particles at 12 µm. The opposite happens for meteorological<del>weather</del> 55 clouds, which absorb more significantly at longer TIR wavelengths. Therefore, the Brightness Temperature Difference (BTD), i.e. the difference between the Brightness Temperatures (BTs) at 11 and 12 microns, turns out to be negative ( $\Delta T_{11}$   $\Delta T_{12}$ 56 57  $\Delta T = \frac{1}{\mu m} - \frac{1}{2\mu m} - 0$  °C) for regions affected by volcanic clouds and positive ( $\Delta T_{a \ l \mu m} - \frac{\Delta T_{a \ l \mu m}}{2\mu m} > 0$  °C) for regions affected by 58 meteorological clouds. 59 The BTD approach is the most used method for the volcanic cloud identification. It is effective and simple to applybe applied,

60 even if it can lead to false alarms in some cases, e.g.; over clear surfaces during night, on soils containing large amounts of 61 quartz (such as deserts), on very cold or ice surfaces, in <u>the</u> presence of high water vapour content (F. Prata et al., 2001) (Prata 62 et al., 2001a). As already mentioned, the discrimination between volcanic and <u>meteorologicalweather</u> clouds is a challenging 63 task, since the region of the overlap of the two objects shows a mixed behaviour not easily recognizable. In these mixed 64 scenarios, the BTD can be negative not only for volcanic clouds but also for meteorological clouds; thus, some false positive 65 results may occur, as the case of high <u>meteorologicalweather</u> clouds. False negative results may arise in the case of high ha formattato: Italiano (Italia) Codice campo modificato Codice campo modificato ha formattato: Danese Codice campo modificato Codice campo modificato

ha formattato: Pedice ha formattato: Pedice 66 atmospheric water vapour content: the water vapour contribution can hide and cancel out the ash particles effects on the BTD,

67 and then the ashy pixels cannot be revealed. In these cases a correction procedure can be applied\_(Corradini et al., 2008, 2009;

68 A. J. Prata & Grant, 2001) (Prata et al., 2001b; Corradini et al., 2008; 2009). In addition to Among the described procedures

69 described, other algorithms\_<del>, based on the use of different spectral algorithmschannels,</del> have been developed **[**Francis et al.,

- 70 2012; M. J. Pavolonis, 2010; M. Pavolonis & Sieglaff, 2012; Clarisse & Prata, 2016n.d.),
- 71 (Francis et al., 2012; Pavolonis et al., 2010a,b).
- 72 For these reasons, it seems appropriate to use advanced classification schemes to address the task of the ash detection, such as
- 73 approaches which make use of machine learning techniques, avoiding the need to find for each product the best BTD threshold
- 74 for creating the volcanic cloud mask manually, which can be a <u>considerable</u> time-consuming process.

For aerosol and <u>meteorological</u> cloud detection, a neural network (NN)\_(Atkinson & Tatnall, 1997; Bishop, 1994; Di Noia & Hasekamp, 2018) (<u>Bishop et al., 1994; Atkinson et al., 1997; Di Noia and Hasekamp, 2018</u>) based algorithm allows <u>the</u> solution of a classification problem. Starting from inputs containing spectral radiance values acquired <u>in a specific wavelength</u> band<del>in</del> <del>specific wavelength</del>, the model generates a prediction in output by assigning to each pixel of the original image a predefined class. In previous research, neural networks have already shown significant effectiveness in terms of atmospheric parameter extraction (<u>Gardner & Dorling</u>, 1998) and specifically for volcanic eruption scenarios (<u>Di Noia et al., 2013; Gardner & Dorling</u>,

- 1998; <u>(Gray & Bennartz, 2015; Picchiani et al., 2011, 2014; Piscini et al., 2014; Sellitto et al., 2012)</u> (Gardner et al., 1998;
   Picchiani et al., 2011; Sellitto et al., 2012; Di Noia et al., 2013; Picchiani et al., 2014; Piscini et al., 2014). A strong advantage of using a NN based approach for volcanic cloud detection is that once the model is trained on a statistically representative
- 84 selection of test cases, new imagery acquired over new eruptions can be accurately (depending on the training phase) classified
- 85 in near real time allowing significant advantages in critical situations and in emergency management.

In this work, we developed a NN based algorithm for volcanic cloud detection using Sentinel-3 SLSTR (Sea and Land Surface Temperature Radiometer) daytime data with a model trained on MODIS (MODerate resolution Imaging Spectroradiometer) daytime images. This is possible since the two sensors have similar spectral bands and it represents an advantage as there isare currently limited uscamounts of SLSTR products available for eruptive events. The use of MODIS as a proxy for SLSTR was already successfully tested in a previous work investigating the complex challenge of distinguishing ice and meteorologicalweather clouds (also containing ice) using neural networks on SLSTR data\_(Picchiani et al., 2018). (Picchiani et al., 2018). As a test case, the Raikoke 2019 eruption has been considered in this work.

# 93 2 Case study: the Raikoke 2019 eruption

- 94 The Raikoke volcano is located in the Kuril Island chain, near the Kamchatka Peninsula in Russia (48.3° N, 153.2° E). On
- June 21, 2019 at about 18:00 UTC Raikoke started erupting and continued erupting until about 03:00 UTC on 22 June 2019).
- 96 During this period, Raikoke released large amount of ash and SO<sub>2</sub> into the stratosphere.

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97	Figure 1 shows a time-series of 11 µm originaless temperatures (B1s) determined nom the minawari-8 Ari <u>(Advanced</u>	
98	Himawari Imager) sensor at 10-minute intervals for the first 18 hrs of the eruption. With the purpose of searching for high	
99	(cold) vertically ascending clouds associated with a vertically ascending clouds due to an eruption, and not of meteorological	
100	origin, discrete eruptions were identified by comparing AHI BTs near the vent with those some distance upwind from the vent.	
101	The Himawari-8 time-series shows a sequence of eruptions (12 in all) and a sustained period of activity between 22:40 of 21	
102	June and 02:10 of 22 June, when the majority of ash and gas was emitted. The estimated time of an eruption event was	
103	determined by examining animated images and consequently the times of eruptions shown do not always coincide with the	
104	coldest cloud-top.	
105	It is estimated from the AHI data that June 2019 Raikoke eruption produced approximately 0.4–1.8 Tg of ash (Bruckert et al.,	_
106	2022; Muser et al., 2020; A. T. Prata et al., 2022) and 1–2 Tg of SO <sub>2</sub> (Bruckert et al., 2022; Gorkavyi et al., 2021). It is estimated	
107	from the AHI data that June 2019 Raikoke eruption produced approximately 0.4 1.8 Tg of ash and 1 2 Tg of SO2 (Prata,	
108	private communication)The amount of water vapour emitted is unknown, but would have been considerable, as is common	
109	in most volcanic eruptions (Glaze et al., 1997; McKee et al., 2021; Millán et al., 2022; Murcray et al., 1981; Xu et al., 2022)	
110	(Murcray et al., 1981; Glaze et al., 1997; McKee et al., 2021; Xu et al., 2022; Milan et al., 2022)These emissions would	

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have led to copious amounts of water and ice clouds being produced (McKee et al., 2021; Rose et al., 1995), making the

112 <u>composition of the transported clouds both complex and changing with time.</u>

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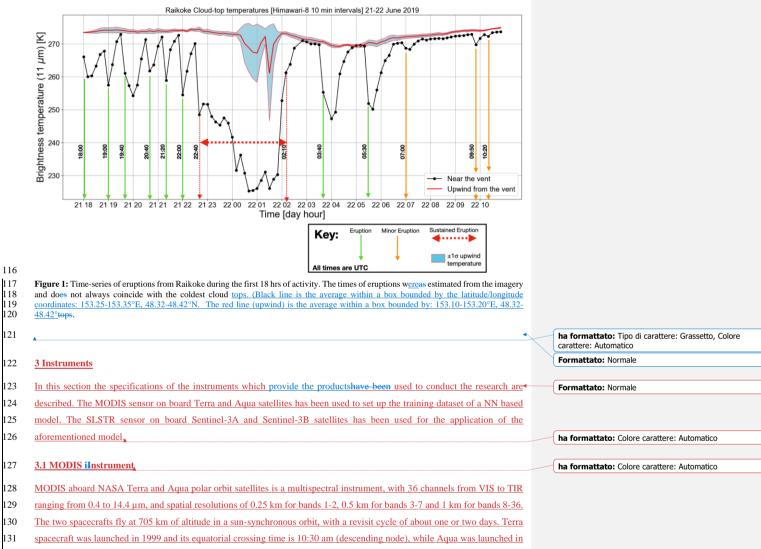
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114 (Rose et al., 1995; McKeee et al., 2021), making the composition of the transported clouds both complex and changing with

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115 <del>time.</del>

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132 2002 and its equatorial crossing time is 1:30 pm (ascending node).

133	In our work we used several Terra-Aqua/MODIS products: Level-1A Geolocation Fields (MOD/MYD03) (see (Nishihama et	
134	al., 1997)(L1B Documents / MCST, n.d.)[http://modis.gsfc.nasa.gov/data/atbd/atbd_mod28_v3.pdf] for details), Level-1B	ha formattato: Inglese (Stati Uniti)
135	Calibrated Radiances (MOD/MYD021KM) (see	
136	[https://mcst.gsfc.nasa.gov/sites/default/files/file_attachments/M1054E_PUG_2017_0901_V6.2.2_Terra_V6.2.1_Aqua.pdf]	
137	(Toller et al. Isaacman, 2017) for details), which has been used to generate the Brightness Temperatures (BTs), Level-2 Surface	
138	Reflectance (MOD/MYD09) (see (Vermote & Vermeulen, 1999) <u>[http://modis.gsfc.nasa.gov/data/atbd/atbd_mod08.pdf]</u> for	
139	details), Level-2 Cloud Product (MOD/MYD06_L2) (see [https://atmosphere-	
140	imager.gsfc.nasa.gov/sites/default/files/ModAtmo/MOD06-ATBD_2015_05_01_1.pdf](Menzel et al., 2015n.d.) for details).	
141	MODIS aboard the NASA-Terra/Aqua polar orbit satellites is a multispectral instrument, with 36 channels from VIS to TIR,	
142	a spatial resolution from 0.25 to 1 km, and a revisit time of 1 2 days.	
143	3.2 SLSTR iInstrument	
144	SLSTR is a dual view scanning radiometer, with 9 channels on board of Sentinel-3A and Sentinel-3B. The pixel size ranges	
145	from 500x500 m for VNIR and SWIR bands to 1x1 km for TIR bands.	
146	The Sea and Land Surface Temperature Radiometer (SLSTR) is one of the instruments on board the Sentinel-3A (S3A) and	
147	Sentinel-3B (S3B) polar satellites launched in 2016 and 2018, respectively.	
148	Sentinel-3 is designed for a sun-synchronous orbit at 814.5 km of altitude with a local equatorial crossing time of 10:00 am.	
149	The revisit time is 0.9 days at equator for two operational spacecrafts configuration. The orbits of the two satellites are equal	
150	but S3B flies +/- 140° out of phase with S3A. The basic SLSTR technique is inherited from the technique used by the series	
151	of conical scanning radiometers starting with the ATSR. The instrument includes the set of channels used by ATSR-2 and	
152	AATSR (0.555 – 0.865 µm for VIS channels, 1.61 µm for SWIR channel, 3.3.74 – 12 µm for MWIR/TIR channels), ensuring	
153	$continuity of data, together with two new channels at wavelengths of 1.375 and 2.25  \mu m in support of cloud clearing for surface$	
154	temperature retrieval. The SLSTR radiometer measures a nadir and an along track scan, each of which also intersects the	
155	calibration black bodies and the visible calibration unit once per cycle (two successive scans). Each scan measures two along	
156	track pixels of 1 km (four or eight pixels at 0.5500 km resolution for visible/NIR channels and SWIR channels, respectively)	
157	simultaneously. This configuration increases the swath width in both views, as well as providing 0.5500 km resolution in the	
158	solar channels.	
159	Our procedure makes use of the SLSTR Level-1 TOA (Top Of Atmosphere) Radiances and Brightness Temperature product	
160	from both platform S3A and S3B, see (Sentinel 3 SLSTR Level-1 Observables ATBD – Sentinel Online, n.d.) Cox et al., 2021)	ha formattato: Tipo di carattere: Non Corsivo
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162	++SLSTR+L1+ATBD.pdf/fb45d35c_0d87_dca6_ea3c_dc7c2215b5bc?t=1656685672747}-for_details_of_SLSTR_Level-1	
163	product.	
164		

# 165 3-4 Methodology

166 In this section the adopted methodology is described. The procedure has been developed in MatLab environment and the 167 source codes are available upon request, as explained in Code Availability section. In particular, the MatLab Deep Learning 168 Toolbox has been used to implement the NN. 169 A multilayer perceptron neural network (MLP NN) was trained with MODIS daytime data and then it was applied to Sentinel-170 3/SLSTR daytime products, in order to discriminate ashy pixels from others, following the scheme reported in Figure 2. 171 The MLP NN modelstructure (Atkinson & Tatnall, 1997; Gardner & Dorling, 1998) (Gardner et al., 1998, Atkinson et al., 172 1997) consists in a multi-layer architecture with three types of layers. The first type of layer is the input layer, where the nodes 173 represents the elements of a feature vector. The second type of layer is the hidden layer, and consists of only processing 174 unitswhich could be one or more layers composed of nodes. The third type of layer is the output layer and it represents the 175 output data, which are the classes to be distinguished and are set to one (that of the chosen class) or zero (all other nodes) in 176 image classification problems. All nodes (i.e. neurons) are interconnected and a weight is associated to each connection. Each 177 node in each layer passes the signal to the nodes in the next layer in a feed-forward way, and in this passage the signal is 178 modified by the weight. The receiving node sums the signals from all the nodes in the previous layer and elaborates themit 179 through an activation function before to passing them to the next layer. 180 The output of the proposed model is the SLSTR image fully classified in eight different surfacespecies: ash over sea, ash over 181 cloud, ash over land, sea, land and ice surfaces, water vapourliquid water clouds and ice clouds. This approach has been used 182 because of the long readily available time series of MODIS data, the quality of MODIS products (Picchiani et al., 2011, 2014; 183 Piscini et al., 2014), (Picchiani et al., 2011; 2014; Piscini et al., 2014) and the -spatial/spectral similarities between MODIS and 184 SLSTR (see Table 1). The SLSTR and MODIS channels which are used in our research are shown in Table 1 1 along 185 with the spectral characteristics of the two sensors. 186 MODIS aboard the NASA Terra/Aqua polar orbit satellites is a multispectral instrument, with 36 channels from VIS to TIR, 187 a spatial resolution from 0.25 to 1 km, and a revisit time of 1 2 days. SLSTR is a dual view scanning radiometer, with 9 188 channels on board of Sentinel-3A and Sentinel-3B. The pixel size ranges from 500x500 m for VNIR and SWIR bands to 1x1 189 km for TIR bands. The feasibility of this procedure has also been confirmed for high latitudes (Picchiani et al., 2018)(Picchiani 190 et al., 2018)., since our study area is located in medium high latitudes. 191 The first step of our procedure consists in generating the training patterns,- that is the "ground truth" to be passed to the NN 192 model during the training phase. This step represents a crucial aspect in building a NN model since the more the training 193 dataset is accurate and representative of the problem we want to address the more the NN would be efficient in solving that 194 problem. For this scope, MODIS products have been used as inputs to a semi-automatic procedure for identifying the different 195 classes species (i.e. classification classes) to be discriminated by the NN model in the output image-we want the NN model be 196 able to distinguish. Some of these classesspecies don't exist as MODIS standard products, for example the ash classes and the

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ha formattato: Inglese (Stati Uniti) Codice campo modificato ha formattato: Inglese (Stati Uniti) Codice campo modificato 197 ice surface class;, for this reason we derived them by means of different operations in our semi-automatic procedure developed

in MatLab. Other classesspecies are instead already present as MODIS standard product, for example the land/sea mask.

# **Table 1:** Correspondence between MODIS and SLSTR channels.

SLSTR Channel	λ Centre (μm)	<u>Bandwidth (nm)</u>	MODIS Channel	<u>λ Centre (μm)</u>	Bandwidth (µm)
S1	0.55 <u>4</u> 5	<u>19.26</u>	4	0.555	0.545-0.565
S2	0.659	<u>19.25</u>	1	<u>0.659</u>	0.620-0.670
<b>S</b> 3	0.86 <mark>8</mark> 5	<u>20.60</u>	2	<u>0.865</u>	0.8 <mark>62<u>41</u>-0.877<u>6</u></mark>
<b>S</b> 4	1.375	<u>20.80</u>	26	<u>1.375</u>	1.360-1.390
S5	1.61	<u>60.68</u>	6	<u>1.64</u>	1.628-1.652
S6	2.25	<u>50.15</u>	7	<u>2.13</u>	2.105-2.155
<b>S</b> 7	3.74	<u>398.00</u>	20	<u>3.75</u>	3.660-3.840
S8	10.85	776.00	31	<u>11.03</u>	10.780-11.280
S9	12 <u>.02</u>	<u>905.00</u>	32	<u>12.02</u>	11.770-12.270

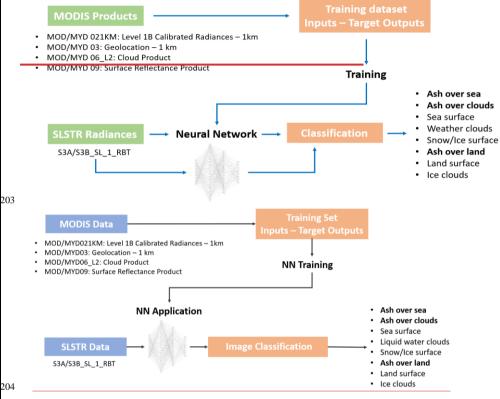


Figure 2: Overall diagram of the followed procedure followed for the classification process with NN model.

206 The training set from which we extracted the training patterns (i.e. identifying classification classes) consists of nine MODIS 207 granulesdata acquired over the Eyjafjallajokull volcano area during the 2010 eruption (from May 6th to May 13th), for a total 208 of about 5400 patterns for each class available for the training of the model.- The single training pattern (i.e.: training example) 209 corresponds to a single pixel of a specific target class as identified in MODIS images through the semi-automatic procedure 210 aforementioned, this means that one class is represented by several patterns. In particular, not all the pixels of the considered 211 MODIS images are contained in the training dataset (i.e.: the ensemble of the training patterns), but only a part of them are 212 randomly included. The total number of patterns we collected has been divided into three subsets: 75% training set, 20% 213 validation set, 5% test set. A NNneural network with two hidden layers of was trained and then it was applied to Sentinel-3

214 SLSTR RBT (Radiance and Brightness Temperature) Level 1(SL\_1\_RBT) images collected during the Raikoke 2019 eruption.

215 Table 2 shows the details of MODIS and SLSTR data used for this work.

216

217 Table 2: Training set (MODIS) from the Eyjafjallajökull 2010 eruption; Sentinel-3 Raikoke 2019 classified products.

Date	Time UTC	Platform	Training/Application
6 May 2010 (JD 126)	11:55	Terra	Training
9 May 2010 (JD 129)	12:25	Terra	Training
11 May 2010 (JD 131)	12:10	Terra	Training
11 May 2010 (JD 131)	12:15	Terra	Training
11 May 2010 (JD 131)	13:50	Terra	Training
11 May 2010 (JD 131)	14:05	Aqua	Training
12 May 2010 (JD 132)	12:55	Terra	Training
13 May 2010 (JD 133)	12:00	Terra	Training
13 May 2010 (JD 133)	13:40	Terra	Training
22 June 2019 (JD 173)	00:07	Sentinel-3A	Application
22 June 2019 (JD 173)	23:01	Sentinel-3B	Application

<sup>218</sup> 

In order to build the NN training patterns <u>a, the aforementioned</u> semi-automatic procedure, that exploits MODIS radiances and standard products, has been developed. The MODIS products considered for the extraction of the training patterns are the

and standard products, has been developed. The MODIS products considered for the extraction of the training patterns are the following:

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MOD/MYD-021KM, Level 1B Calibrated Radiances – 1 km, which gives the radiance values for each MODIS band;

MOD/MYD-03, Geolocation – 1 km, used for <u>creating</u> the Land/Sea Mask;

• MOD/MYD-06\_L2, Cloud Product, containing cloud parameters, used for creating the Cloud Mask;

 MOD/MYD-09, Surface Reflectance Product, containing an estimate of the surface spectral reflectance measured at ground level; it is used for <u>generating</u> the Ice Mask;

where "MOD" and "MYD" stands for MODIS-Terra and MODIS-Aqua products respectively.

228 The semi-automatic procedure for the extraction of training patterns starting from MODIS data; basically consists in using

MODIS products to create binary "masks" identifying the different objects/surfacesspecies, and then replaces them by

230 "classes". For each element of the class, the consisting of matrices containing radiance values (W/(m<sup>2</sup> sr μm)) are extracted

from the MODIS product MOD/MYD021KM. In this way each object is radiometrically characterized. The identification of

the ashy pixel is pursued by creating a mask according to specific BTD thresholds (from 0.0 to -0.4 °C) and a manual correction

performed through visual inspection forof each MODIS image. For this purpose, the MOD/MYD021KM product has been

234 used to derive the brightness temperatures required to compute the BTD. The MODIS products used for training the model

235 were acquired in near-nadir view only.

The other specieobjects are identified using both MODIS Level 1 radiancesbands and MODIS standard products. Once each

237 object/surface has been defined, they are associated with the corresponding class. Then a set of input-output samples for the

training phase is generated, where the input consists of the set of radiances measured for the given pixel and the output is a binary vector with value 1 associated with the corresponding class and value 0 for the other classes.

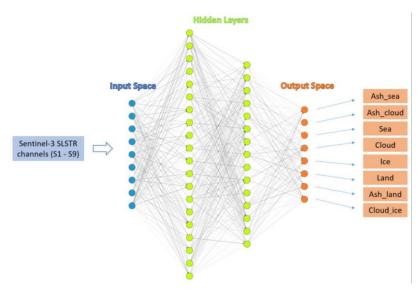
257 binary vector with value 1 associated with the corresponding class and value 0 for the other classes.

240 Table 3 shows the classification map legend for each classified product presented in this work, in which eight classes are

- 241 discriminated, each one representing a surface/object.
- 242

# 243 Table 3: Classification map legend.

Class ID	Surface/Object	Name	Colour
1	Ash over sea	Ash_sea	
2	Ash over clouds	Ash_cloud	
3	Sea surface	Sea	
4	<u>Liquid</u>	Cloud	
	water Weather		
	clouds		
5	Snow/Ice surface	Ice	
6	Ash over land	Ash_land	
7	Land surface	Land	
8	Ice clouds	Cloud_ice	
-	Masked out pixels	Not classified	



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246 Figure 3: NN topology for ash detection.

247 The NN final model consists of nine inputs, which are the radiances in the SLSTR selected channels, while the output space 248 is composed of eight classes, which are the objects/surfaces which the net has to classify. After doing several tests the optimum 249 topology of the NN turns out to be the combination of two hidden layers with 20 and 15 neurons, respectively. For each neuron 250 we set the hyperbolic tangent activation function (Vogl et al., 1988). The final neural network architecture used for ash 251 detection in this work is shown in Figure 3. The proposed algorithm includes a post processing operation in order to avoid 252 false positive results for land and sea classes. This *a\_posteriori* filter is applied both to the resulting NN land and sea classes. 253 It allows masking out the pixels which the NN classifies as land/sea which do not belong to the Sentinel-3/SLSTR land/sea 254 mask standard product, which is always available and thus it can be used to increase the precision of the algorithm. The filtered 255 out pixels have been inserted in a class named "not classified", as reported in Table 3-Table 3. 256 For classification problems approached with machine learning algorithms, one of the most used accuracy metrics for the

performance evaluation is the confusion matrix\_(Fawcett, 2006), where each predicted output class is compared to the corresponding ground truth considered in the validation dataset. An overall accuracy of 90.9% was obtained at the end of the

NN training phase for the proposed neural network model (see Figure 4Figure 4).

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1	959	61			0	0	17	0	92.1%		
1	959 11.7%	0.7%	2 0.0%	2 0.0%	0.0%	0.0%	0.2%	0.0%	7.9%		
2	45 0.5%	<b>1008</b> 12.3%	<b>2</b> 0.0%	27 0.3%	0 0.0%	3 0.0%	7 0.1%	3 0.0%	92.1% 7.9%		
3	<b>2</b> 0.0%	1 0.0%	987 12.0%	83 1.0%	<b>8</b> 0.1%	14 0.2%	0 0.0%	<b>8</b> 0.1%	89.5% 10.5%		
4	<b>0</b> 0.0%	33 0.4%	37 0.5%	<b>847</b> 10.3%	<b>9</b> 0.1%	<b>23</b> 0.3%	<b>6</b> 0.1%	65 0.8%	83.0% 17.0%		
5	<b>0</b> 0.0%	<b>0</b> 0.0%	25 0.3%	21 0.3%	<b>1062</b> 13.0%	5 0.1%	0 0.0%	<b>10</b> 0.1%	94.6% 5.4%	Class 1	Ash_sea
6	0.0%	0	25 0.3%	29 0.4%	13 0.2%	<b>1016</b> 12.4%	6 0.1%	9 0.1%	92.5% 7.5%	Class 2	Ash_clo
		(Section)								Class 3	Sea
7	9 0.1%	<b>10</b> 0.1%	0.0%	3 0.0%	0.0%	8 0.1%	580 7.1%	1 0.0%	94.9% 5.1%	Class 4	Cloud
	2	0	8	77	17	5	1	992	90.0%	Class 5	Ice
8	0.0%	0.0%	0.1%	0.9%	0.2%	0.1%	0.0%	12.1%	10.0%	Class 6	Land
	94.3%	90.6%	90.9%	77.8%	95.8%	94.6%	94.0%	91.2%	90.9%	Class 7	Ash_lar
- 1	5.7%	9.4%	9.1%	22.2%	4.2%	5.4%	6.0%	8.8%	9.1%	Class 8	Cloud i
		2	3		5	6	7	8			

261

262 Figure 4: Confusion matrix on validation set.

263 The target class represents the "ground truth" of each class, while the output class refers to the prediction of the NN. The

264 diagonal shows that most of the total of the pixels have been correctly classified (green boxes). The number of pixels incorrectly

classified are placed out of the diagonal. <u>False positives (false detection)</u> Commission and omission errorsfalse negatives

 $(\underline{\text{missed detection}})$  are reported in the last grey column and row, respectively.

267 The code of the procedure ran with a CPU i7-9850H (6 core, processor base frequency at 2.60 GHz): and it takes less than 30

268 <u>minutes tofor training the adopted model and it takes few seconds to apply itfor applying the adopted model.</u>

269 The MODIS products used for training the model were acquired in nadir view only. The trained network was applied to SLSTR

270 products acquired both in nadir and oblique view(Copernicus Sentinel-3 SLSTR Land User Handbook, n.d.)(User Guides-

271 Sentinel 3 SLSTR - Product Grid Definitions - Sentinel Online - Sentinel Online, n.d.).

# 272 4-<u>5</u> Results and Discussion

273 The neural network algorithm previously described was applied to Sentinel-3/SLSTR daytime images acquired on Raikoke

during the 2019 eruption. The Sentinel-3A/SLSTR and Sentinel-3B/SLSTR products collected <u>on the</u> 22 June 2019 at 00:07

and 23:01 UTC have been considered (see Table 2).

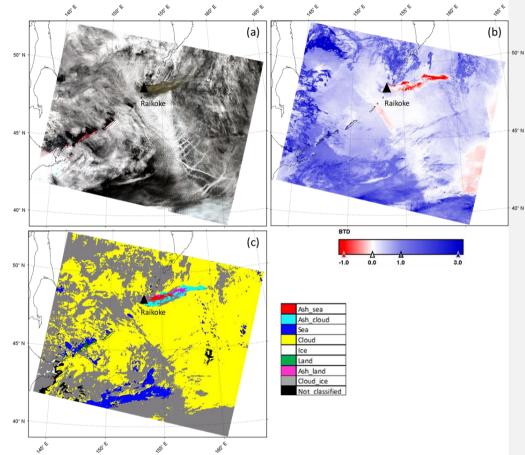


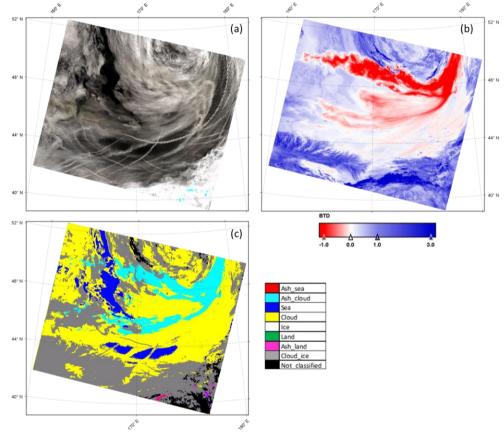


Figure 5: Sentinel-3A/SLSTR image collected on Raikoke <u>forthe</u> 22 Jun 2019 at 00:07 UTC, nadir view. (a) RGB; (b): BTD; (c): NN classification.

Figure 5(a) shows the RGB colour composite of the S3A/SLSTR image acquired on Raikoke <u>forthe</u> 22 June 2019 at 00:07 UTC. The RGB composite has been carried out by considering the SLSTR visible (VIS) channels S3 (868 nm), S2 (659 nm) and S1 (554 nm) for R, G and B, respectively. In Figure 5(b) the BTD map is displayed, where red and blue pixels represent negative and positive BTD<sub>a</sub> respectively. The BTD is computed by making the difference between the brightness temperature

 $\label{eq:stars} of the SLSTR thermal infrared channels S8 and S9 centred at 10.8 and 12 \ \mu\text{m}.$  The output of the NN classification is shown in

284 Figure 5(c) with the corresponding colour legend, where each colour represents the classified surface/object.





285

As Figure 5(a) shows, the RGB composite <u>showemphasizes</u> the presence of a wide distribution of meteorological clouds and a significant signal derived from the volcanic cloud (brown pixels). The BTD (Figure 5(b)), obtained with a threshold of 0 °C,

shows the presence of the volcanic cloud together with a significant number of false negatives (volcanic cloud pixels not

identified near the vents) and false positives (pixels identified as volcanic cloud <u>while actually they</u>but that are not, <u>see light</u>
 <u>red pixels</u> below the volcanic cloud and along the right edge of the scene)-<u>pixels</u>.

Despite the challenging scenario, the NN algorithm shows its ability to detect the volcanic cloud and to classify the whole image, by detecting with good accuracy meteorological clouds composed of water droplets (yellow) and ice (grey), sea (blue) and land (green) surfaces, and volcanic ash clouds, as reported in Figure 5(c). Looking at the cloud masks generated with the NN algorithm (yellow and grey) and by comparing them with the RGB natural colour composite of the SLSTR product, a high degree of agreement in terms of spatial features can been observed. From the comparison between NN output classes and RGB composite we can observe that also land (green) and sea (blue) pixels are properly detected in the areas where they actually lie.

From a qualitative comparison between the NN plume mask and the RGB composite, we can state that the NN correctly identifies the volcanic cloud class in the area where it seems actually present, even if some pixels are misclassified as ash\_overn-1\_land (magenta pixels), instead of ash above meteorological cloud. As Figure 5 shows, the NN algorithm is able to detect a wide volcanic cloud area and much less false positivesmore ash, especially in the opaque regions, compared to the BTD approach. In particular the difference found near the vents can be due to the complete opacity of the cloud. Here the ash cloud optical thickness is so high that there is no spectral difference and the BTD approach has no sensitivity-is-null.

306 Following the same visualization scheme of Figure 5, the results derived from the application of the trained NN model to the 307 S3B/SLSTR image acquired on the 22 June 2019 at 23:01 UTC are reported in Figure 6. In this second image, all the ashy 308 pixels are classified by the NN model as ash above meteorological clouds (cyan pixels). This seems reasonable being the 309 scenario mostly dominated by meteorologicalweather clouds, as we can also observe looking at the NN classification, which 310 assigns the majority of the pixels to the water vapourliquid water cloud class (yellow) and to the ice cloud class (grey). The 311 NN classification shows also the presence of sea pixels (blue), which are located in the same area identifiable using the RGB 312 composite. In this case, from the RGB composite (Figure 6(a)), unlike to what is seen in the 00:07 UTC-can be seen in the 313 midnight image, it is not straightforward to identify the volcanic plume by visual inspection. Indeed, this image was collected 314 about 24 hours later than the previous one and thus the plume has been transported through the atmosphere and dispersed. A 315 qualitative comparison between the NN classification (Figure 6(c)) and the BTD map (Figure 6(b)) shows considerable 316 differences between the two methods. The BTD, obtained with a threshold of 0 °C, identifies a wider area (red pixels) affected 317 by the volcanic cloud with respect to the NN ash mask (cyan pixels). We can notice that the BTD map includes some aerial 318 trailsaircraft condensation trails (recognizable by the shape in the RGB composite) in the ash mask, which represent of 319 coursecan be identified as false positive results ash detections. The reasons for these false positives misclassifications are not 320 fully understood, but may be due to multilayer cloud effects, pixel heterogeneity or viewing angle.

321 Figure 7 shows the RGB composite and the NN classification for the SLSTR oblique view product collected the 22 June 2019

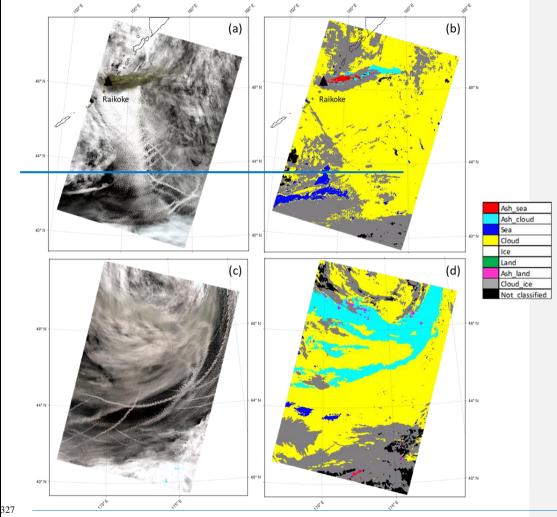
322 at 00.07 UTC (Figure 7(a) and Figure 7(b)) and 23.01 UTC (Figure 7(c) and Figure 7(d)) respectively.

Looking at results obtained for the oblique view (Figure 7), we can observe that for the S3B/SLSTR image collected the 22

June 2019 at 23.01 UTC the NN model produces good results, which are also in accordance to the NN output obtained for the



326 UTC, the NN results are instead less accurate; this is due to the opacity of the volcanic cloud.



328 329

Figure 7: Sentinel-3A/SLSTR image collected on Raikoke the 22 Jun 2019 at 00:07 UTC, oblique view ((a) and (b)); Sentinel-3B/SLSTR image collected on Raikoke the 22 June 2019 at 23:01 UTC, oblique view ((c) and (d)). (a) and (c): RGB; (b) and (d): NN classification.

550	A significant point to be discussed is that the results obtained in this work nightighted the robustness and transferability for a	
331	NN model learning from one single event in a specific location in the world with specific background condition (latitude,	
332	longitude, geometry of acquisition, atmospheric condition, season, etc) and successfully operating in a different scenario. Our	
333	results suggest that the NN technique is robust and has shown that it is possible to transfer the NN model from one single	
334	eruption event to others occurring at similar latitudes. However, the complexity of the application suggests that the	
335	generalization of the methodology to all types of eruptions is not straightforward. For example, the change of latitude has an	
336	impact on the characteristics of the atmosphere. At the same time different volcanoes emit different types of ash affecting the	
337	variability of the radiance values detected by the sensors, A possible solution to give to the proposed technique a broader	
338	applicability, could be the training of different NN models for specific latitude belts which can be defined to cover the whole	
339	<u>globe.</u>	$\backslash$
340	Overall, we can summarize the main uncertainties and the limitations of the presented model in the following points:	
341	1. model transferability is significantly related to the spatial-temporal data availability for the generation of a training	
342	dataset which is statistically representative of all the possible scenarios;	
343	2. lack of standard ground truth data for training and validation phases requires the BTD threshold selection by an	
344	operator which prevents the method from being fully objective.	

#### 346 4<u>5</u>.1 <u>Vicarious v</u>¥alidation

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348 a reference plume mask generated manually (hereafter MPM) in order to obtain the best accurate ground truth as possible in 349 each SLSTR product. The choice of taking the MPM as reference derives from the lack of ash standard products. 350 For the image collected at 00:07 UTC the MPM creation was performed by selecting a region around the volcanic cloud 351 (clearly recognizable as it is at the beginning of the eruption) and then considering only the pixels with 11 µm brightness 352 temperature < 270 K (see Figure 1). In this case the BTD alone it is not very useful as the high value of the ash optical thickness 353 of the cloud (especially close to the vent) produces many pixels with BTD values near zero, not distinguishable from adjacent 354 pixels characterized by meteorological clouds. For the image collected at 23:01 UTC, the identification of the volcanic cloud 355 is much more difficult due to its larger spread and dilution; in this case the MPM was obtained considering the pixels with 356 BTD < -0.25 °C, even if probably this choice implies that some ashy pixels were discarded. On the other hand, using an higher 357 BTD threshold will produce a lot of false positive pixels. In general, the creation of an accurate manual plume mask is time 358 consuming and case-sensitive and often requires the presence of an operator; so the generation of a volcanic cloud mask with 359 a fast, automatic and case-independent procedure would be a rather significant improvement. 360 Because the MPM doesn't distinguish between the different surfaces under the ash cloud, the validation is performed by 361 considering the total of the ashy pixels detected from the NN (i.e. the sum between ash\_land, ash\_sea and ash\_cloud).

The capability of the NN to correctly detect pixels containing ash was validated by making a pixel per pixel comparison with

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**ha formattato:** Car. predefinito paragrafo, Colore carattere: Automatico

ha formattato: Colore carattere: Automatico

**ha formattato:** Car. predefinito paragrafo, Colore carattere: Automatico

Formattato: Paragrafo elenco, Numerazione automatica + Livello:1 + Stile numerazione: 1, 2, 3, ... + Comincia da:1 + Allineamento: A sinistra + Allinea a: 0.25" + Imposta un rientro di: 0.5" Figure 7Figure 8 shows the MPM, created as described above, and the comparison between NN plume mask (hereafter NNPM)
 and MPM for the S3A/SLSTR image collected on Raikoke for the 22 June 2019 at 00:07 UTC (Figure 7Figure 8(a) and Figure 3(b)) and S3B/SLSTR image collected on Raikoke for the 22 June 2019 at 23:01 UTC (Figure 7Figure 8(c) and Figure 3(c) and Figure 3(c)).

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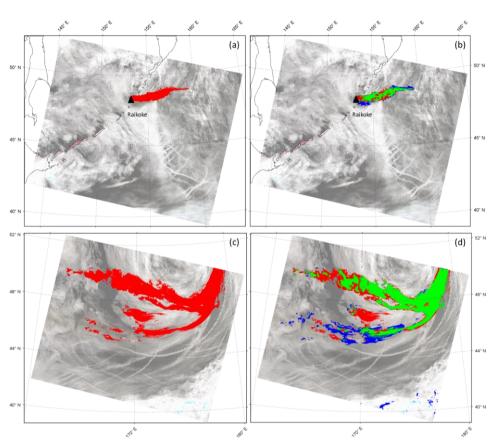
375

367 In relation to the images which display the comparison between NN output and MPM (Figure 8(b) and Figure 8(d)), green areas indicate the pixels for which both the MPM and NN ash masks detect the presence of volcanic cloud, red pixels represent 368 369 the areas classified as ash only by the MPM; blue ones are the pixels classified as ash only according to the NN model. We 370 can observe that most of the volcanic cloud is displayed in green for both products (00.07 UTC and 23.01 UTC), indicating 371 good matching between the two approaches. This is also confirmed by the scores in Table 4, which shows the number of pixels 372 classified as ash by both NN and MPM (green pixels), the number of pixels classified as ash only by NN (blue pixels), the 373 number of pixels classified as ash only by MPM (red pixels). We can observe that the two approaches are in accordance for 374 the majority of the pixels, albeit they differ in discriminating volcanic cloud in some regions.

Table 4: <u>NN and BTD volcanic cloud detection accuracies using classification metrics derived from the c</u>Comparison between the <u>NN</u>
 plume mask <u>obtained from the two approaches and (NNPM) and the manual plume mask (MPM) for each SLSTR considered</u>
 product (pixels number for each class), respectively. The total number of classified pixels is 1614405 for the S3A/SLSTR at 00.07 UTC
 image and 1701319 for the S3B/SLSTR at 23.01 UTC image respectively.

Product Classified Product	Ash—Pplume mask	Precision NNP	<del>Only</del>	<del>Only</del>	Accuracy
	source	M and MPM	NNPMReca	MPMF-	
			<u>II</u>	<u>measure</u>	
S3A/SLSTR at 00:-07 UTC	NN classification	<u>0.709</u> 13545	<u>0.683</u> 5568	<u>0.696</u> 6275	0.993
S3A/SLSTR at 00:07 UTC	$BTD < 0 \ ^{\circ}C$	<u>0.164</u> 136435	<u>399910.647</u>	7 <u>1223</u> 0.261	<u>0.955</u>
S3B/SLSTR at 23:01 UTC	NN classification	<u>0.773</u>	<u>0.657</u>	<u>0.710</u>	<u>0.935</u>
S3B/SLSTR at 23:01 UTC	$BTD < 0 \circ C$	<u>0.417</u>	<u>0.998</u>	<u>0.588</u>	<u>0.829</u>

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**Figure 78:** Sentinel-3A/SLSTR image collected on Raikoke <u>for-the</u> 22 June 2019 at 00:07, nadir view (a),(b); Sentinel-3B/SLSTR image collected on Raikoke <u>for-the</u> 22 June 2019 at 23:01, nadir view (c),(d). (a)<sub>c</sub>(c): red pixels display the manual plume mask (MPM) obtained from the analysis on the specific image; (b),(d): comparison between volcanic ash detected by NN and MPM; green pixels indicate the areas for which both NN and MPM detect ashy pixels, red pixels indicate the areas for which only MPM detects ashy pixels.

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Besides the NN plume mask validation, we also compared the pixels which the NN model classified as affected by meteorologicalweather clouds (hereafter NNCM) with the SLSTR standard product for meteorological clouds.

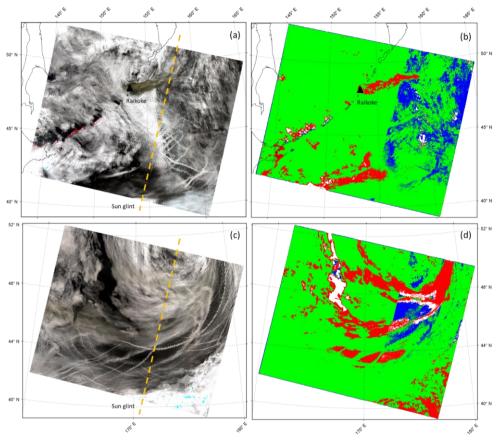




Figure 89: Sentinel-3A/SLSTR image collected on Raikoke forthe 22 June 2019 at 00:07, nadir view (a),(b); Sentinel-3B/SLSTR image
 collected on Raikoke forthe 22 June 2019 at 23:01, nadir view (c),(d). (a),(c): RGB view; (b),(d): comparison between cloud mask retrieved
 by NN and standard Sentinel-3 confidence in summary cloud mask (CSCM); green pixels indicate the areas for which both NN and CSCM
 detect cloudy pixels, red pixels indicate the areas for which both NN and CSCM detects cloudy pixels, blue pixels indicate the areas for which only
 NN detects cloudy pixels, white pixels indicate the areas for which both NN and CSCM don't detect the presence of cloudy pixels.

In relation to the images which display the comparison between NN output and MPM (Figure 7Figure 8(b) and Figure 7Figure

400 <u>8(d)</u>), green areas indicate the pixels for which both the MPM and NN ash masks detect the presence of volcanic cloud, red

401 pixels represent the areas classified as ash only by the MPM; blue pixels are classified as ash only according to the NN model.

402 We can observe that most of the volcanic cloud is displayed in green for both products (00:07 UTC and 23:01 UTC), indicating

403 good agreement between the two approaches. This is also confirmed by the scores in Table 4, which allow quantitative

404 conclusions on the accuracy of the proposed NN model –approach compared to the MPM considered as ground truth. The

405 classification metrics considered are precision, recall, F-measure and accuracy (Fawcett, 2006) which range from 0 to 1 (perfect
 406 classifier).

<u>The-performance score differences for the two classified products are mainly related to the significant higher number of</u>
 <u>correctly classified ashy pixels contained in the 23:01 UTC (136435 pixels) with respect to 00:07 UTC (13545 pixels), if</u>
 compared to the total number of classified pixels in the images which is similar (1614405 pixels for the S3A/SLSTR at 00:07

410 UTC image and 1701319 for the S3B/SLSTR at 23:01 UTC image respectively). However, the metrics are aligned for both

411 the classified data with encouraging values for each index suggesting the reasonability of the results. In particular, the F-

412 measure results of around 0.7 for both the classifications. Moreover, using MPM as a benchmark, the comparison of the metrics

obtained with the BTD  $< 0^{\circ}$ C approach with those derived with the NN model indicates that the neural network performs a

414 more accurate volcanic cloud detection for both-the considered test cases.

Besides the NN plume mask validation, we also compared the pixels which the NN model classified as affected by

416 meteorological clouds (hereafter NNCM) with the SLSTR standard product for meteorological clouds.

Attraction Among the cloud masks available in the SLSTR L1RBT product, the *confidence\_in\_summary\_cloud* mask (hereafter CSCM)

418 is considered. The CSCM is a cloud mask which discriminates cloud pixels (*true*) and cloud-free pixels (*false*); it is an ultimate

419 cloud mask product derived from several separated cloud tests (Polehampton et al., 2021)(Copernicus Sentinel - 3 SLSTR Land

420 User Handbook, n.d.)(Sentinel 3 SLSTR Land Handbook, 2021). AsBecause of the CSCM doesn't distinguish between 421 meteorological liquid watermeteo clouds and meteorologicalmeteo ice clouds as the NN algorithm does, the comparison is

realized by considering the whole NN meteorologicalmeteo cloud classes (i.e. the sum between *Cloud* and *Cloud\_ice*).

Figure 8 Figure 9 displays the RGB composite, in which the Sentinel-3 sun glint mask is highlighted (right part of the scene),
 and the comparison between NN cloud mask and S3 cloud mask for S3A/SLSTR image collected on Raikoke for the 22 June
 2019 at 00:07 UTC (Figure 8 Figure 9(a) and Figure 8 Figure 9(b)) and for S3B/SLSTR image collected on Raikoke for the 22

June 2019 at 23:01 UTC (Figure 8Figure 9(c) and Figure 8Figure 9(d)).

Also in this case, for the images displaying the comparison between the two types of cloud masks (Figure 8Figure 9(b) and Figure 8Figure 9(d)), green indicates the pixels classified as meteorological cloud for both procedures, while red and blue indicate the pixels classified as meteorological cloud only from the SLSTR standard product and NN, respectively. Pixels that are not coloured are associated to a cloud-free condition for both the NN and the S3 cloud mask. Looking at the comparison, a very good agreement between the NN meteorologicalmeteo cloud mask and the SLSTR standard cloud mask can be observed.

The metrics in Table 5, Table 5 show very good performances, reaching an F-measure around 0.9 with high amount of pixels

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433 classified as affected by clouds by both products (see Table 5). Moreover, looking at the red pixels in the 23:01 UTC image

434 especially, it can be noted that the SLSTR cloud mask includes also includes the volcanic cloud.

435

Table 5: <u>NN meteorological cloud detection accuracy using classification metrics derived from the cComparison between the NN cloud mask (NNCM) and the confidence in summary cloud mask (CSCM) for each SLSTR considered assified product (pixels number for each class) which has been assumed as ground truth. The total number of classified pixels is 1614405 for the S3A/SLSTR at 00.07 UTC image</u>

439 and 1701319 for the S3B/SLSTR at 23.01 UTC image respectively.

Product Classified Product	PrecisionNNCM and CSCM	<del>Only</del> NNCM <u>Recall</u>	Only CSCM <u>F-</u> measure	Accuracy
S3A/SLSTR at 00:-07 UTC	<u>0.891</u> 1332632	<u>0.936</u> 163225	<u>0.913</u> 91768	0.842
S3B/SLSTR at 23:-01 UTC	<u>0.952</u> <del>1291989</del>	<del>65359<u>0.820</u></del>	<del>284193<u>0.881</u></del>	<u>0.795</u>

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From the validation procedure we have carried out, a considerable point which has to be underlined is that, unlike adopting a time consuming and case-specific approach as MPM which also needs a manual operation by setting various thresholds for each case under examination, the NN model can be used to discriminate ash plume in satellite images with good accuracy in a fast and automatic way, which saves a significant amount of time. The extra speed is obtained by eliminating the need for manual intervention.

#### 446

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# 447 5-6 Conclusions

In this work the results of a new neural network based approach for volcanic cloud detection are described. The algorithm, developed to process Sentinel-3/SLSTR daytime images, exploits the use of MODIS daytime data as training. The procedure allows the full characterization of the SLSTR image by identifying, besides the the volcanic cloud, the surfaces under the cloud tistelf, the meteorological clouds (and phases), land, and sea surfaces. As test cases, the S3A-S3B/SLSTR images collected over the Raikoke volcano area during the June 2019 eruption have been considered.

453 The proposed neural network based approach for volcanic ash detection and image classification shows an overall good

accuracy for the ash class, which is the main target of the algorithm, and for the meteorological cloud class as wellalso. A

455 strong effectiveness of the NN classification is indeed also related to the cloudy pixel recognition, with the ability to distinguish 456 two different types of meteorological clouds composed of water droplets and ice respectively. It has to be reminded that the

457 wide distribution of meteorological clouds in the scenario under consideration makes the ash detection task particularly

458 complex., since meteorologicalweather ice clouds and volcanic clouds exhibit similar spectral behaviour,

459 A point to be underlined is the valuable advantage of the developed procedure related to the creation of products (the eight

classes) not all <u>currentlyalready</u> available as SLSTR standard products; this fact represents a considerable step forward for

461 generation of novel types of S3/SLSTR products.

A post processing has been applied to NN outputs by exploiting the land/sea mask <u>available in theof SLSTR standard products</u>,
 in order to mitigate the insurgence of NN land/sea failure, <u>being the land/sea mask which is always available as SLSTR</u>
 standard product.

The comparison between the NN plume mask and a reference plume mask (MPM) taken as *ground truth*, shows a good agreement between the two techniques. The significant result lies in the fact that the overall good performance of the NN output is achieved in an automatic way and with a brief processing time, compared to the plume mask <u>specifically</u> generated ad hoe, which instead requires <u>a</u> longer time, is case-specific and <u>it</u> needs the presence of an operator. The other considerable achievement of the NN developed procedure <u>indeed</u> is that, once the NN model has been properly trained, it has been used to detect the ash plume for each SLSTR image related to the Raikoke eruption, while the creation of the MPM has to be made separately for each image.

472 The comparison between the NN cloud mask and the cloud mask derived from SLSTR standard products has been-also been

carried out, resulting in <u>a</u> high percentage of agreement between the two products.

474 We also aim at further investigating some aspects in order to improve the classification accuracy, as the introduction of other

475 output classes, such as volcanic ice cloud, and the integration of other variables in the model, such as the sensor view angle.

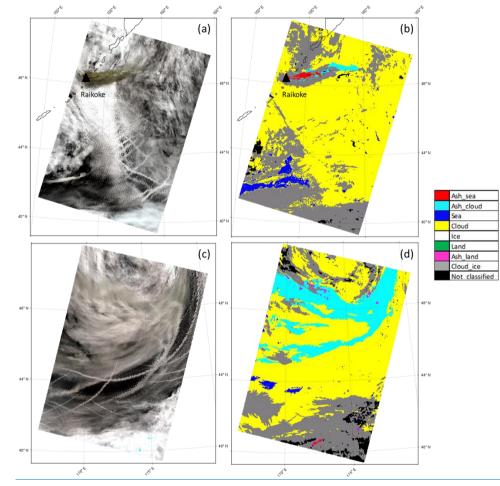




Figure 97: Sentinel-3A/SLSTR image collected on Raikoke for 22 Jun 2019 at 00:07 UTC, oblique view ((a) and (b)); Sentinel-3B/SLSTR
 image collected on Raikoke for 22 June 2019 at 23:01 UTC, oblique view ((c) and (d)). (a) and (c): RGB; (b) and (d): NN classification.

479 A promisingly outcome is related to the ability of the NN model to generalize over different data in terms of spatio\_temporal 480 and geographical characteristics, being the NN model trained with data collected over the Iceland region in 2010 and then 481 applied to data acquired over the Kamchatka Peninsula in Russia in 2019. Something One of the point under consideration for 482 future improvements is to enhance the ability of the NN to generalize over various eruptive scenarios, by integrating different 483 training dataset (in terms of regions, type of eruption, time interval, etc). In fact, the current methodology has been applied just 484 to just a few test cases and more validation is required in order to give the technique broader applicability. For example, the 485 effects of varying moisture and atmospheric conditions has not been fully explored. On the other hand, the generation of an 486 appropriate number of examples, which must be statistically representative of all the possible scenarios, to be included in the 487 training dataset may represent a very difficult task. A possible approach could be the design of different neural networks, each 488 associated with a specific scenario.

489 We also aim at further investigate some aspects in order to improve the classification accuracy, as the introduction of other

490 output classes, such as volcanic ice cloud, and the integration of other variables in the model, such as the sensor view angle.

491 Moreover, a fully comprehensive study about the sensitivity of the NN detection onto the observation angle could be another

- 492 possible future developments of the study. Here we addressed briefly this point applying the trained network to SLSTR oblique 493 view products, characterized by a zenith view angle of about 55° (Polehampton et al., 2021). Figure 7 shows the RGB 494 composite and the NN classification for the SLSTR oblique view product collected on 22 June 2019 at 00:07 UTC (Figure 495  $\mathcal{F}(a)$  and Figure 7(b)) and 23:01 UTC (Figure 7(c) and Figure 7(d)) respectively. It is interesting, as a preliminary result, to 496 show how, especially for the 23:01 UTC image where the opacity of the volcanic cloud is slighter, the main features of the 497 classification map obtained using a NN model trained only on near nadir view acquired products and used for classifying
- 498 oblique view data are mostly conserved. However, the complexity brought in by the difference in the slant optical depth, which

499 may translate to a noticeable difference in top-of-atmosphere signal levels, needs to be investigated in a full dedicated study.

500 Finally, the possibility to use S3/SLSTR products as training dataset instead of using MODIS data is an essential point to be 501 taken into account in order to increase the accuracy of the algorithm. Finally, the possibility to use S3/SLSTR products to 502 trainfor training a neural network able to detect volcanic clouds in Sentinel-3/SLSTR granules might improve the overall 503 accuracy of the classification.

504

#### 505 Code availability

506 The whole methodology is developed in MatLab environment. The source codes are available upon request to ilaria.petracca@uniroma2.it.

- 507
- 508

#### 509 Data availability

- 510 Terra-Aqua/MODIS data are distributed from the Level-1 and Atmosphere Archive & Distribution System (LAADS)
- 511 Distributed Active Archive Center (DAAC) and they are available at: https://ladsweb.modaps.eosdis.nasa.gov/search/.

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512 Sentinel-3/SLSTR data are distributed from the Copernicus Open Access Hub and they are available at:

513 <u>https://scihub.copernicus.eu/dhus/#/home</u>.

The dataset used for this study are freely available on the Zenodo platform (https://doi.org/10.5281/zenodo.7050771).

# 515 Author contributions

516 IP and DDS developed algorithms, analyzed data and results and wrote the manuscript; MP developed algorithms and 517 methodology, analyzed data and results and reviewed the manuscript; SC and LG analyzed data and results, provided reference

518 data for validation task and wrote-reviewed the manuscript; FP supported the analysis of data and results, worked on the

519 Himawari-8 analysis and on the relative-part of the manuscript, and reviewed the manuscript; LM and DS supported the

analysis of data and results; FDF reviewed the manuscript, supervised the research and contributed to funding acquisition;

521 GSal supported the analysis of data and results and worked on validation; GSch supports the research and contributed to

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523 All authors have read and agreed to the published version of the manuscript.

# 524 Competing interests

525 The authors declare that they have no conflict of interest.

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# 529 Special issue statement

- 530 This article is part of the special issue "Satellite observations, in situ measurements and model simulations of the 2019 Raikoke
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