



# 1 Estimation of raindrop size distribution and rain rate with infrared 2 surveillance camera in dark conditions

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7 **Abstract.** This study estimated raindrop size distribution (DSD) and rainfall intensity with an infrared surveillance camera in  
8 dark conditions. Accordingly, rain streaks were extracted using a  $k$ -nearest neighbor (KNN)-based algorithm. The rainfall  
9 intensity was estimated using DSD based on physical optics analysis. The estimated DSD was verified using a disdrometer.  
10 Furthermore, a tipping-bucket rain gauge was used for comparison. The results are summarized as follows. First, a KNN-  
11 based algorithm can accurately recognize rain streaks from complex backgrounds captured by the camera. Second, the  
12 number concentration of raindrops obtained through closed-circuit television (CCTV) images was similar to the actual  
13 PArticle Size and VELocity (PARSIVEL)-observed number concentration in the 0.5 to 1.5 mm section. Third, maximum  
14 raindrop diameter and the number concentration of 1 mm or less produced similar results during the period with a high ratio  
15 of diameters of 3 mm or less. Finally, after comparing with the 15-min cumulative PARSIVEL rain rate, the mean absolute  
16 percent error (MAPE) was 44%. The differences according to rain rate can be determined. The MAPE was 32% at a rain rate  
17 of less than 2 mm h<sup>-1</sup> and 73% at a rate above 2 mm h<sup>-1</sup>. We confirmed the possibility of estimating an image-based DSD and  
18 rain rate obtained based on low-cost equipment during dark conditions.

## 19 1 Introduction

20 Precipitation data is vital in water resource management, hydrological research, and global change analysis. The primary  
21 means of measuring precipitation is to use a rain gauge (Allamano et al., 2015) to collect raindrops from the ground. Due to  
22 the restrictions on the installation environment of the rain gauge, it is difficult to understand the spatial rainfall distribution in  
23 mountains and urban areas (Kidd et al., 2017). Furthermore, the tipping-bucket-type rain gauge, which accounts for most  
24 rain gauges, has a discrete observation resolution (0.1 or 0.5 mm) for the discrete time-steps, producing uncertainty in  
25 temporal rainfall variation.

26 In contrast, it is possible to obtain spatial rainfall information on a global scale with remote sensing techniques  
27 (Famiglietti et al., 2015). However, remote sensing techniques provide only indirect measurements that must be continuously  
28 calibrated and verified through ground-level precipitation measurements (Michaelides et al., 2009). Recently, a disdrometer  
29 capable of investigating the microphysics characteristics of rainfall has been used for observation instead of the traditional



30 rainfall observation instrument (Kathiravelu et al., 2016). However, these devices cannot be widely installed because of their  
31 high cost and difficulty in accessing observational data. Consequently, a high-resolution and low-cost ground precipitation  
32 monitoring network has not yet been established.

33 With the advent of the Internet of Things (IoT) era, using non-traditional sources is attractive for improving the spatio-  
34 temporal scale of existing observation networks (McCabe et al., 2017). In recent years, such cases have been common in  
35 rainfall observation. For example, there have been attempts to estimate rainfall using sensors to capture signal attenuation  
36 characteristics in commercial cellular communication networks (Overeem et al., 2016), vehicle wipers (Raibei et al., 2013),  
37 and smartphones (Guo et al., 2019). Furthermore, crowdsourcing information has been used to confirm the utility of  
38 estimating regional rainfall (Haberlandt and Sester, 2010; Rabiei et al., 2016; Yang and Ng, 2017).

39 In a similar context, a surveillance camera is a sensor with high potential. Surveillance cameras are often referred to as  
40 closed-circuit television (CCTV). Compared with other crowdsourcing methods, the visualization data of surveillance  
41 cameras are highly intuitive (Guo et al., 2017). Therefore, they have been used in various fields (Cai et al., 2017; Nottle et al.,  
42 2017; Hua, 2018). In Korea, public surveillance camera installations have been rapidly increasing, from approximately  
43 150,000 in 2008 to 1.34 million in 2020—approximately a public CCTV camera per 0.07 km<sup>2</sup>. Thus, the potential for  
44 precipitation estimation using camera sensing is expected to be greater in Korea.

45 Recently, various studies have been conducted to estimate rainfall intensity using the rain streak image obtained from  
46 surveillance camera videos. Many studies attempted to use artificial intelligence to capture changes in the image captured by  
47 the camera when it rains (Zen et al., 2019; Avanzato and Beritelli, 2020; Wang et al., 2022). In contrast, some studies have  
48 tried to estimate rainfall intensity using geometrical optics and photographic analyses. Typically, the rain streak layer is  
49 separated from the raw image or video. A rain streak is the visual appearance of raindrops caused by visual persistence—  
50 raindrops falling because of the blur phenomenon of raindrop movement from the camera's exposure time appears as streaks  
51 on the image. Garg and Nayar (2005) made one of the first attempts to measure this rainfall.

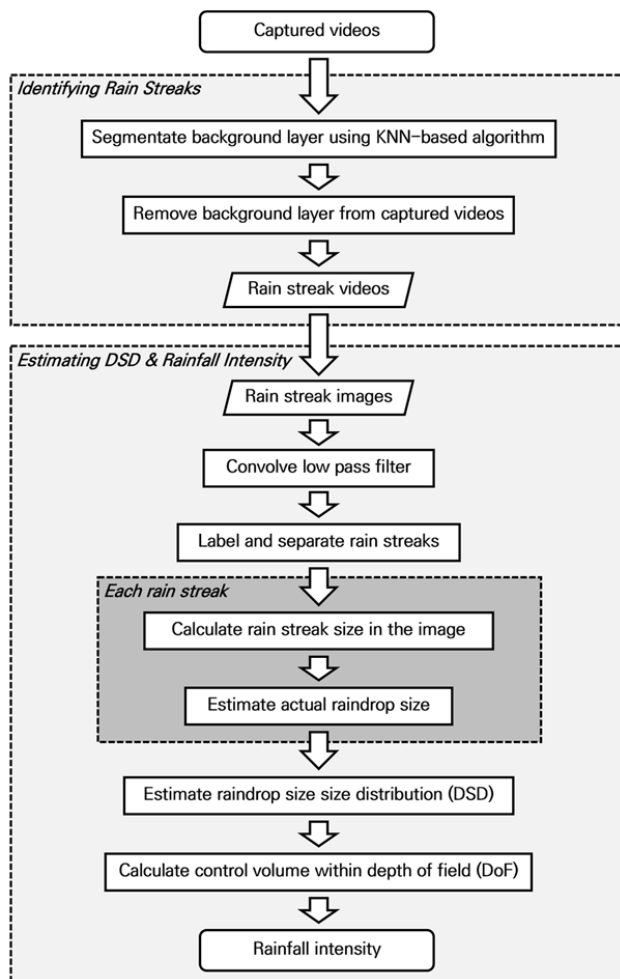
52 Since then, many studies have been conducted to develop and improve efficient algorithms. Allamano et al. (2015)  
53 proposed a framework to estimate the quantitative rainfall intensity using camera images based on physical optics from a  
54 hydrological perspective. Dong et al. (2017) proposed a more robust approach to identifying raindrops and estimating  
55 rainfall using a grayscale function, making grayscale subtraction nonlinear. Jiang et al. (2019) proposed an algorithm that  
56 decomposes rain-containing images into rain streak layers and rainless background layers using convex optimization  
57 algorithms and estimates instantaneous rainfall intensity through geometric optical analysis.

58 Some studies (e.g., Dong et al., 2017) have sought to estimate raindrop size distribution (DSD) using a surveillance  
59 camera. However, no study has estimated the rain in dark conditions to our knowledge. Furthermore, previous studies did not  
60 verify the estimated DSD using a disdrometer. In contrast, this study estimated DSD with an infrared surveillance camera in  
61 dark conditions, based on which rainfall intensity was also estimated. Rain streaks were extracted using a k-nearest neighbor  
62 (KNN)-based algorithm. The DSD was used to calculate rainfall intensity with physical optics analysis and verified using a  
63 PArticle Size and VELocity (PARSIVEL) disdrometer.



## 64 2 Methodology

65 Image-based rainfall estimation can be divided into two processes: identifying rainfall streaks and estimating DSD. Fig. 1  
66 illustrates these processes in a flowchart. Identifying rain streaks requires an algorithm that separates the moving rain streaks  
67 from the background layer, as explained in Section 2.1. Next, in estimating DSD, raindrops are extracted from the image of  
68 the rain streaks, and the overall distribution is obtained. This process is explained in Section 2.2.



69 Figure 1: Flowchart of the methodology for estimating DSD and rainfall intensity

70



## 71 2.1 Algorithm for identifying rain streaks

72 Most existing algorithms aim to remove raindrops in images because raindrops are considered noise in object detection and  
73 tracking (Duthon et al., 2018). Such algorithms are categorized into multiple-image-based and single-image-based  
74 approaches (Jiang et al., 2018).

75 For example, Garg and Nayar (2007) classified the conditions in which the brightness difference between the previous  
76 pixel and that of the next pixel exceed a specific threshold over time, assuming that the background is fixed. Improved  
77 algorithms were then developed considering the temporal correlation of raindrops (Kim et al., 2015) and chromatic  
78 properties (Santhaseelan and Asari, 2015). Tripathi and Mukhopadhyay (2014) proposed a framework that removes rain that  
79 reduces the visibility of the scene to improve the detection performance of image feature information. However, single-  
80 image-based algorithms rely more on the properties of raindrops (Deng et al., 2018). The central idea of a single-image-  
81 based algorithm is to decompose rain-containing images into rainless layers (Li et al., 2016; Deng et al., 2018; Jiang et al.,  
82 2018).

83 An image including grayscale rainfall may be mathematically expressed in a two-dimensional (2D) matrix in which each  
84 element has a grayscale value. A single image ( $m \times n$ ) is expressed as follows (Jiang et al., 2018):

$$85 \quad O = B + R, \tag{1}$$

86 where  $O \in R^{m \times n}$ ,  $B \in R^{m \times n}$ , and  $R \in R^{m \times n}$  are the raw image, rain-free background layer, and rain streak layer.

87 Accordingly, various algorithms are available for rain streak identification. Different still image and video-based algorithms  
88 have been proposed to eliminate objects such as moving objects for application to actual surveillance cameras. However,  
89 most of these algorithms face optimization problems because of the vast number of decision variables (Jiang et al., 2019).  
90 This task is not easy to solve or requires excessive computation time. Therefore, existing studies present techniques suitable  
91 for post-analysis rather than application in real-time. The use of complex algorithms can increase versatility and accuracy,  
92 but there is a trade-off that reduces computational speed. The time required for such computing is a critical disadvantage in  
93 practical applications for estimating rainfall intensity.

94 In this study, a KNN-based segmentation algorithm (Zivkovic and Heijden, 2006), a popular non-parametrical method  
95 for background subtraction, was considered for segmenting the rain streaks (foreground) and background layers. KNN is  
96 used in classification and regression problems (Bouwman et al., 2010). The concept of KNN is that similar things are  
97 close—the KNN-based segmentation algorithm finds the closest  $k$  samples (neighbors) to the unknown sample using  
98 Euclidean distance to determine the class (i.e., foreground or background). Thus, the KNN-based segmentation method to  
99 detect foreground changes in the video was used to identify rain streaks by recording infrared videos under conditions with  
100 little background influence. In the algorithm, The KNN subtractor works by updating the parameters of a Gaussian mixture  
101 model for more accurate kernel density estimation (Trnovszký et al., 2017). KNN is more efficient for local density  
102 estimation (Qasim et al., 2021); therefore, the algorithm is highly efficient if the number of foreground pixels is low.



103 We used the package provided by OpenCV to implement the KNN-based segmentation algorithm (Zivkovic and Heijden,  
104 2006). Accordingly, three main parameters (history, dist2Threshold, detectShadows) needed to be set. Table 1 presents the  
105 description of the parameters used for the KNN background subtractor package.

106 **Table 1: Parameters in KNN background subtractor package in OpenCV**

Parameter	Description
history	Length of the history
dist2Threshold	Threshold on the squared distance between the pixel and the sample to decide whether a pixel is close to that sample. This parameter does not affect the background update.
detectShadows	If true, the algorithm will detect shadows and mark them. This decreases the speed slightly, so if you do not need this feature, set the parameter to false.

## 107 2.2 Estimation of DSD and rain rate

108 It is essential to capture raindrops within the camera's depth of field (DoF) to calculate the final DSD and rainfall intensity.  
109 Accordingly, this study proposed a novel algorithm to extract each rain streak from the rain streaks image. First, we applied  
110 a low-pass filter to the rain streaks image to remove unfocused raindrops that may remain in the image, which smooths each  
111 pixel using a 2D kernel. Highly detailed parts (e.g., out-of-focus raindrops and some noises) are erased, leaving some clear  
112 rain streaks. A background layer with a value of 0 and a part not in the image were separated to extract the rain streaks and  
113 labeled one by one to identify each rain streak from the image.

114 Because the rain streak observed in the surveillance camera image causes an angle difference (influenced by the wind), a  
115 diameter estimation process considering the angle of the rain streak (fall angle of a raindrop) is required. If the angle of rain  
116 streak is considered and converted to the raindrop diameter through the horizontal pixel size in the image, the shape change in  
117 the raindrop because of air buoyancy (i.e., during the falling of the raindrop) may not be reflected, and overestimation can  
118 occur.

119 Accordingly, the representative angle of each extracted rain streak was calculated. The border information of each rain  
120 streak was obtained, and center axis information of the rain streak was obtained based on the border information to calculate  
121 the drop angle. Moreover, the rain streak was rotated to set the long and short axes of the streak at 0° and 90°, using the  
122 angle information.

123 The size of raindrops in the rain streaks image can be estimated through the analysis of microphysical characteristics of  
124 raindrop and geometric optical analysis (Keating, 2002). The instantaneous velocity of a raindrop on the ground can be  
125 estimated from the exposure time and the size of the raindrop. However, the distance from the raindrop to the lens surface  
126 (i.e., the object distance) is unknown and should be inferred. Object distance can be calculated through physical optics  
127 analysis because it causes perspective distortion. Assuming a raindrop is spherical, the length of the trajectory where the



128 raindrop falls when the camera is exposed and the diameter of the raindrop can be inferred through the lens equation  
129 (Keating, 2002):

$$130 \quad L(s) = \frac{d_f - f}{d_f \cdot f} \frac{h_s}{h_p} l_p s, \quad (2)$$

$$131 \quad D(s) = \frac{d_f - f}{d_f \cdot f} \frac{w_s}{w_p} d_p s, \quad (3)$$

132 where  $s$  is the distance from the raindrop to the lens plane (mm).  $L(s)$  and  $D(s)$  are the length of falling trajectory during  
133 camera exposure (rain streak) and the raindrop's diameter.  $d_f$  is the focus distance (mm),  $f$  is focal length (mm).  $h_s$  and  $w_s$  are  
134 the vertical and horizontal sizes of the active area of the image sensor (mm), and  $h_p$  and  $w_p$  are the vertical and horizontal  
135 sizes of the captured image (in number of pixels).  $l_p$  and  $d_p$  are the length and width of the rain streaks in the image (in  
136 number of pixels).

137 It is then possible to infer the falling speed of raindrops using the camera's exposure time (Jiang et al., 2019), as follows:

$$138 \quad v(s) = \frac{L(s)}{1000\tau}, \quad (4)$$

139 where  $\tau$  is the exposure time of the camera (seconds) and  $v(s)$  is the fall velocity of the raindrop from the image. Furthermore,  
140 the fall velocity of a raindrop can be approximated by an empirical formula for raindrop diameter. The most frequently used  
141 equation is as follows (Atlas et al., 1973; Friedrich et al., 2013):

$$142 \quad v(D) = 9.65 - 10.3\exp(-0.6D), \quad (5)$$

143 where  $D$  is the raindrop diameter and  $v$  is the fall velocity of raindrop. The actual diameter of raindrops can be obtained by  
144 solving the equation with the fall velocity obtained through the exposure time and Eqs. (4) and (5). Furthermore, the DoF for  
145 the images using the camera's setting information can be calculated, and the effective volume for estimating rainfall intensity  
146 can be obtained. Details of the process are described in previous studies (Allamano et al., 2015; Jiang et al., 2019).

147 The control volume must be determined to estimate the rainfall intensity using the diameter of each raindrop. An  
148 understanding of DoF is required to achieve the volume. The DoF, is simply the range at which the camera can accurately  
149 focus and capture the raindrops. Calculating this range requires obtaining the near and far focus planes as follows:

$$150 \quad s_n = \frac{d_f \cdot f^2}{f^2 + N \cdot c_p \cdot (d_f - f)}, \quad (6)$$

$$151 \quad s_f = \frac{d_f \cdot f^2}{f^2 - N \cdot c_p \cdot (d_f - f)}, \quad (7)$$

152 where  $s_n$  and  $s_f$  are the distances from the near and far focus planes.  $c_p$  is the maximum permissible circle of confusion, a  
153 constant determined by the camera manufacturers.  $N$  is the F-number of the lens relevant to the aperture diameter.  
154 Accordingly, the theoretical sampling volume ( $V$ ,  $\text{m}^3$ ) indicate the truncated rectangular pyramid between the near and far  
155 focus planes:



$$156 \quad V = \frac{1}{3 \cdot 10^9} \left( \frac{d_f - f}{d_f \cdot f} \right)^2 w_s h_s (s_f^3 - s_n^3), \quad (8)$$

157

158 Then, we used the gamma distribution equation, Eq. (6), proposed by Ulbrich (1983), to calculate DSD parameters using  
159 data at every 1 min interval.

$$160 \quad N(D) = N_0 D^\mu \exp(-AD), \quad (9)$$

161 where  $N(D)$  ( $\text{mm}^{-1}\text{m}^{-3}$ ) is the number concentration value per unit volume for each size channel, and  $N_0$  ( $\text{mm}^{-1-\mu}\text{m}^{-3}$ ) is an  
162 intercept parameter representing the number concentration when the diameter has 0 value.  $D$  (mm) and  $A$  ( $\text{mm}^{-1}$ ) are the  
163 drop diameter (mm) and slope parameter. Raindrops smaller than 8.0 mm were used to avoid considering non-weather data  
164 such as leaps and bugs (Friedrich et al., 2013).

165 The gamma distribution relationship is a function of formulating the number concentration per unit diameter and unit  
166 volume. It was proposed by Marshall and Palmer (1948) as improved model of exponential distribution as a favorable form  
167 to reflect various rainfall characteristics. By including the term containing  $\mu$  in the distribution function, the shape of the  
168 number concentration distribution for small drops smaller than 1 mm is improved.

$$169 \quad N(D) = N_0 \exp(-AD), \quad (10)$$

170 As the  $A$  decreases, the slope of the distribution shape decreases and the proportion of large drop increases. Conversely,  
171 as the value increases, the distribution slope becomes steeper, and the weight of the large particles decreases. When  $\mu$  has a  
172 large value, the distribution is convex upward, and it has a distribution with a sharp decrease in number concentration at  
173 small diameters. Whereas when it has a negative value, the distribution is convex downward with an increase in the  
174 concentration of drops smaller than 1 mm. In the gamma distribution, the  $\mu$  is mainly affected by the difference in  
175 concentration of raindrops smaller than 3 mm (Vivekanandan et al., 2004).

176 Vivekanandan et al. (2004) explained the reason for using the gamma distribution as follows. First, it is sufficient to  
177 calculate the rainfall estimation equation using only the first, third, and fourth moments (Eq. (11)) (Smith, 2003). Second, the  
178 long-term raindrop size distribution has an exponential distribution shape (Yuter and Houze, 1997).

179 The raindrop size distribution observed from the ground is the result of the microphysical development of raindrops  
180 falling from precipitation clouds. The drop size distribution shape is changed during fall by microphysical processes such as  
181 collision, merging, and evaporation, and changes in the concentration of drops larger than 7.5 mm and small drops occur  
182 mainly. As a result, the drop size distribution observed on the ground mainly follows the gamma distribution shape (Ulbrich,  
183 1983; Tokay and Short, 1996). The gamma distribution relationship should be used to analyze the distribution of raindrops  
184 that are actually floating and falling.

$$185 \quad M_n = \int_{D_{min}}^{D_{max}} D^n N(D) dD, \quad (11)$$



186 Eq. (11) indicate a moment expression for the  $n^{\text{th}}$  order. For example, the second moment is calculated as the product of  
187 the square of the diameter of each channel and the number concentration and the diameter of each channel. Each moment  
188 value has a different microphysical meaning. Therefore, the gamma distribution including three dependent parameters is  
189 more advantageous in reflecting the microphysical characteristics of the precipitation system than the exponential  
190 distribution including two dependent parameters. Eq. (11) can be expressed in gamma distribution format as follows:

$$191 \quad M_n = \int_{D_{\min}}^{D_{\max}} D^n N(D) dD = N_0 \Lambda^{-(\mu+n+1)} \Gamma(\mu+n+1), \quad (12)$$

192 where  $N_T$  (total number concentration,  $\text{m}^{-3}$ ) is the zero-order moment ( $M_0$ ) and represents the total number concentration of  
193 raindrops per unit volume.  $\eta$  was determined for calculating  $\mu$  and  $\Lambda$ . In this study, a combination of moments in the ratio of  
194  $M_2$ ,  $M_4$ , and  $M_6$ , which accurately represents the characteristics of small rainfall particles, was applied (Vivekanandan et al.,  
195 2004):

$$196 \quad \eta = \frac{\langle M_4 \rangle^2}{\langle M_2 \rangle \langle M_6 \rangle} = \frac{(\mu+3)(\mu+4)}{(\mu+5)(\mu+6)}, \quad (13)$$

197  $\mu$  and  $\Lambda$  are calculated as follows:

$$198 \quad \mu = \frac{(7-11\eta) - [(7-11\eta)^2 - 4(\eta-1)(30\eta-12)]^{1/2}}{2(\eta-1)}, \quad (14)$$

$$199 \quad \Lambda = \left[ \frac{M_2 \Gamma(\mu+5)}{M_4 \Gamma(\mu+3)} \right]^{1/2} = \left[ \frac{M_2 (\mu+4)(\mu+3)}{M_4} \right]^{1/2}, \quad (15)$$

200 A larger value of  $D_m$  (mm) estimated using Eq. (16), the diameter of the average mass of raindrops contained in the unit  
201 volume, indicates that predominantly larger drops are distributed.

$$202 \quad D_m = \frac{M_4}{M_3}, \quad (16)$$

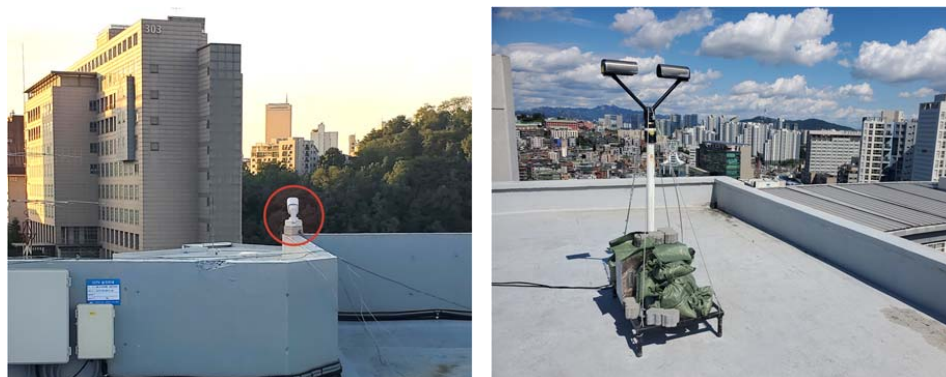
203  $R$  ( $\text{mm h}^{-1}$ ) is the rain rate calculated using Eq. (17).

$$204 \quad R = \frac{6\pi}{10^4} \int_{D_{\min}}^{D_{\max}} D^3 N(D) V(D) dD, \quad (17)$$

### 205 3 Study site and observation equipment

206 This study used a building's rooftop as the study site. The building is the Chung-Ang University's Bobst Hall, located in the  
207 central region of Seoul in Korea. It is located at  $37^\circ 30' 13''$  north latitude and  $126^\circ 57' 27''$  east longitude, at an elevation of  
208 42 m. Fig. 2 illustrates the CCTV (marked with a red circle) and PARSIVEL installed at the study point. The CCTV was  
209 used for the main analysis, and PARSIVEL was considered for verification purposes.





(a) Surveillance camera

(b) PARSIVEL

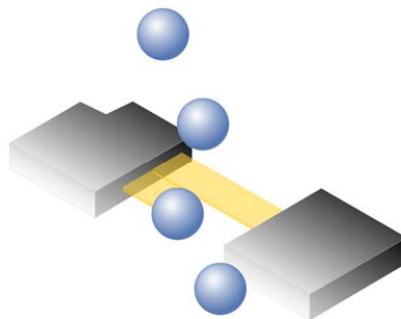
210 **Figure 2: Observation measurements considered in this study**

211 The CCTV model used in this study is DC-T333CHRX, developed by IDIS. The camera has a 1/1.7 inch complementary  
212 metal-oxide semiconductor (CMOS) with a height and width of 5.70 mm and 7.60 mm. The focal length is 4.5 mm, and the  
213 F-number of the lens is 1.6. The shutter speed was set to 1/250 s, and the frame per second (fps) was set to 30. The infrared  
214 ray distance is 50 m. The maximum permissible circle of confusion is 0.005 mm. The camera's resolution is 1,080 pixels for  
215 the height and 1,920 pixels for the width, but the cropped images (640×640 pixels) were considered for the analysis.

216 The PARSIVEL is a ground meteorological instrument that can observe precipitation particles' diameter and fall speed  
217 (e.g., raindrops, snow particles, hail) (Löffler-Mang and Joss, 2000). The meteorological information, including raindrop  
218 size, is used to estimate the quantitative precipitation amount and reveal the precipitation system's microphysical  
219 characteristics and development mechanism.

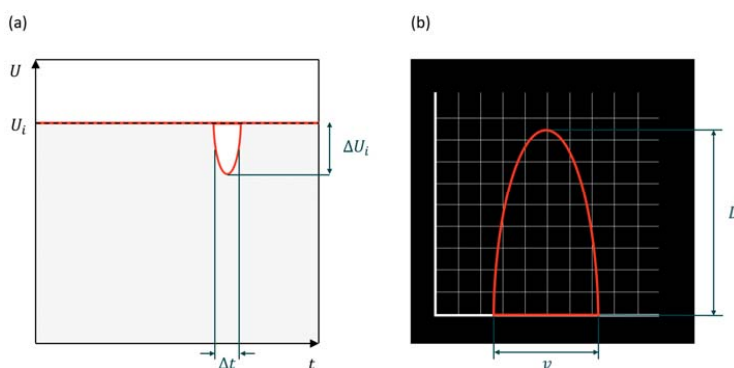
220 The PARSIVEL used in this study is the second version of the instrument manufactured by OTT in Germany, and it is  
221 improved observation accuracy of small particles. The PARSIVEL uses a laser-based optical sensor to send a laser from the  
222 transmitter and continuously receive it from the receiver (Fig. 3). As the laser beam moves from the transmitter to the  
223 receiver, the precipitation particle passes over the laser beam, and the size and velocity of the precipitation particle are  
224 observed (Nemeth and Hahn, 2005). The diameter and velocity of the particle are calculated by calculating the time the  
225 particle passes through the laser and the laser intensity that decreases during the passage (Fig. 4).

226



227

228 **Figure 3: Functional principle of the PARSIVEL disdrometer.**



229

230 **Figure 4: (a) Signal changes whenever a particle falls through the beam anywhere within the measurement area. (b) The degree of**  
231 **dimming is a measure of the particle's size; together with the duration of the signal, the fall velocity can be derived.**

232 Parameters such as rain rate, reflectivity, and momentum of raindrops are calculated through particle concentration  
233 values for each diameter and falling speed channel obtained through PARSIVEL observation. In this study, the temporal  
234 resolution of the observation data was set to 1 minute. The particle diameters from 0.2 to 25 mm (Table 2) and fall velocity  
235 from 0.2 to 20 m s<sup>-1</sup> (Table 3) can be observed by the PARSIVEL. The particle diameter and the fall speed each have 32  
236 observation channels, so the number of observed particles for the time resolution set in 1,024 channels (32×32) is observed.  
237 The first and second channels of diameter are not included in the observable range of the PARSIVEL and are treated as noise.  
238 Therefore, the observation data of the first and second diameter channels were not considered in the actual analysis. The  
239 detailed information on the specifications of the PARSIVEL is presented in Table 4.

240

241

242



243 **Table 2: The representative diameter and spread for each diameter channel class.**

244

Class number	Class average (mm)	Class spread (mm)	Class number	Class average (mm)	Class spread in (mm)
245	1	0.062	17	3.250	0.500
246	2	0.187	18	3.750	0.500
247	3	0.312	19	4.250	0.500
248	4	0.437	20	4.750	0.500
249	5	0.562	21	5.500	1.000
250	6	0.687	22	6.500	1.000
251	7	0.812	23	7.500	1.000
252	8	0.937	24	8.500	1.000
253	9	1.062	25	9.500	1.000
254	10	1.187	26	11.000	2.000
255	11	1.375	27	13.000	2.000
256	12	1.625	28	15.000	2.000
257	13	1.875	29	17.000	2.000
258	14	2.125	30	19.000	2.000
259	15	2.375	31	21.500	3.000
260	16	2.750	32	24.500	3.000

256 **Table 3: The representative fall velocity and spread for each diameter channel class.**

257

Class number	Class average (m s <sup>-1</sup> )	Class spread (m s <sup>-1</sup> )	Class number	Class average (m s <sup>-1</sup> )	Class spread (m s <sup>-1</sup> )
258	1	0.050	17	2.600	0.400
259	2	0.150	18	3.000	0.400
260	3	0.250	19	3.400	0.400
261	4	0.350	20	3.800	0.400
262	5	0.450	21	4.400	0.800
263	6	0.550	22	5.200	0.800
264	7	0.650	23	6.000	0.800
265	8	0.750	24	6.800	0.800
266	9	0.850	25	7.600	0.800
267	10	0.950	26	8.800	1.600
268	11	1.100	27	10.400	1.600
269	12	1.300	28	12.000	1.600
270	13	1.500	29	13.600	1.600
271	14	1.700	30	15.200	1.600
272	15	1.900	31	17.600	3.200
273	16	2.200	32	20.800	3.200



268

269 **Table 4: Technical information of the PARSIVEL disdrometer.**

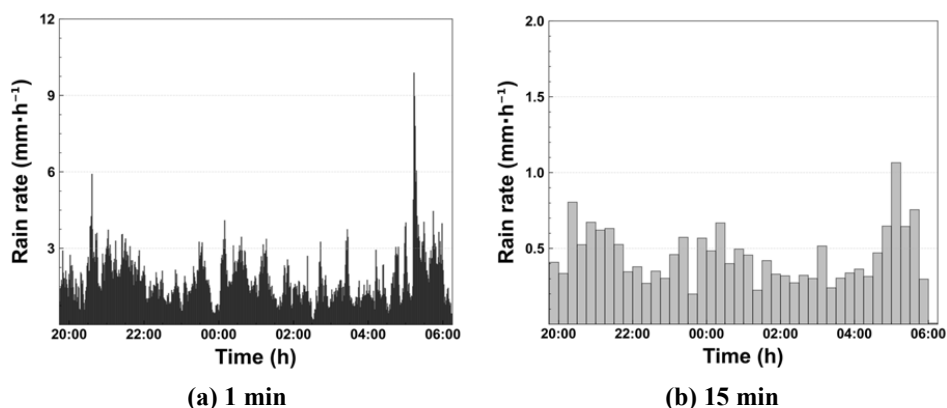
		Technical information
Wavelength of optical sensor		780 nm
Measuring area		30 × 180 mm (54 cm <sup>2</sup> )
Measuring range	Size	0.2 ~ 25 mm (32 channel class)
	Fall velocity	0.2 ~ 20 m s <sup>-1</sup> (32 channel class)
Precipitation intensity		0.001 ~ 1,200 mm h <sup>-1</sup>
Measurement time interval		10 sec ~ 60 min
Instrument dimensions (H×W×D)		670 × 600 × 114 mm

270

271 **4 Application result**

272 **4.1 Rainfall event**

273 We considered a rainfall event from 1945 LST on March 25, 2022, to 0615 LST on March 26, 2022. Fig. 5 illustrates the  
 274 hyetographs of the rainfall event considered in this study according to the time resolution. The total rainfall is 18.6 mm based  
 275 on the PARSIVEL. The maximum rain rate is 9.9 mm h<sup>-1</sup> based on the 1 min resolution and 1.1 mm h<sup>-1</sup> based on the 15 min  
 276 resolution.



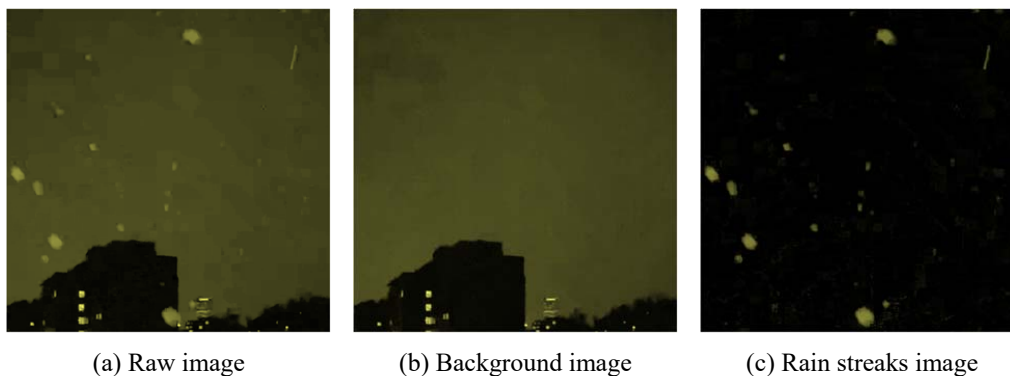
277 **Figure 5: Hyetograph of PARSIVEL and rain gauge observation data for the rainfall event considered in this study**

278



#### 279 4.2 Identifying rainfall streaks

280 The rain streaks were distinguished from the original raw images using the KNN-based algorithm described in Section 2.1.  
281 Accordingly, two parameters (history and dist2Threshold) were set to default values (500 and 400). The other parameter  
282 (detectShadows) was set to “false.” Fig. 6 illustrates the raw, background, and rain streaks images for an example time image  
283 (20:30:57 March 25, 2022), scaled in yellow to make it easier to verify the visual change.



284 **Figure 6: Segmentation example of raw image into background and rain streaks image based on KNN-based algorithm (20:30:57**  
285 **March 25, 2022)**

286 As confirmed in Fig. 6, adequate background separation performance can be achieved using the KNN-based method used  
287 in this study. Because it is an infrared camera and the camera’s exposure time is 1/250 s, the length of rain streaks is  
288 relatively short. The longer the exposure time, the longer the raindrops appear on the image (Schmidt et al., 2012; Allamano  
289 et al., 2015). If the exposure time is too long, some rain streaks may penetrate the image. In this case, it is difficult to  
290 estimate the rain streak length, a clue for estimating raindrop size.

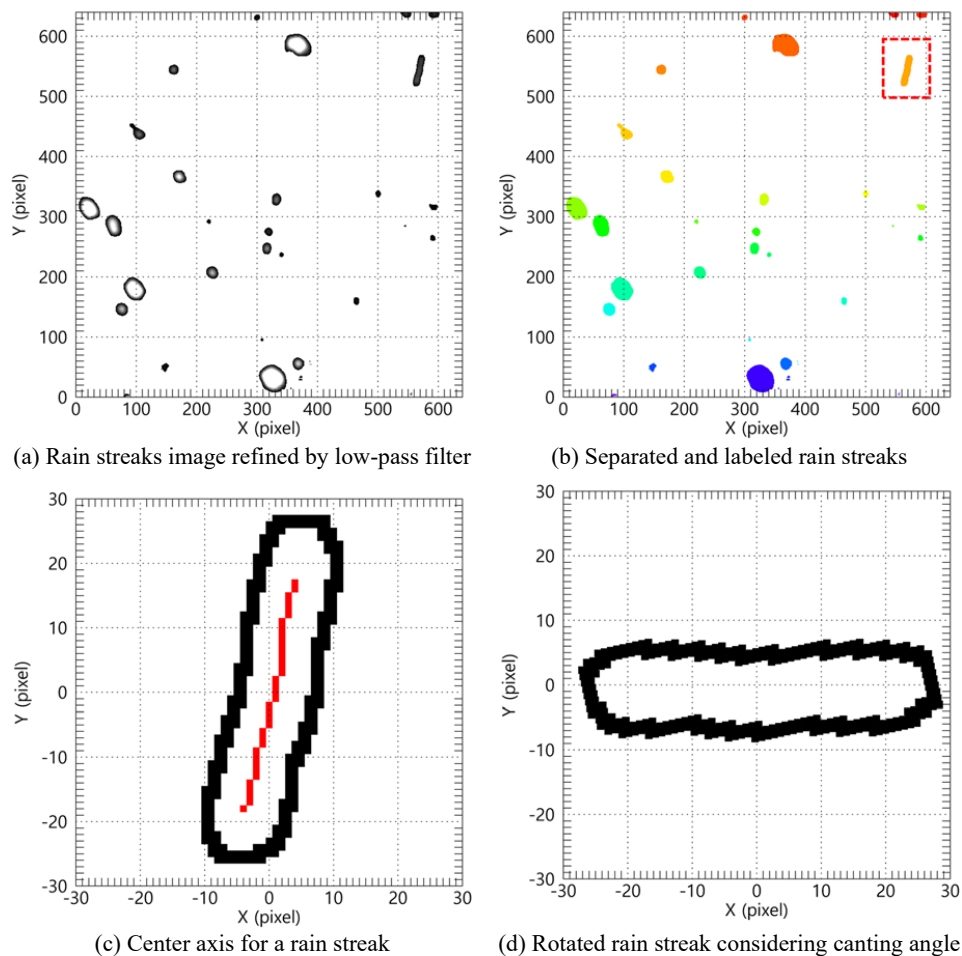
291 The identification algorithm was implemented using Anaconda Software Distribution on a workstation with an AMD  
292 Ryzen 5 5600X 6-Core Processor and 32 GB RAM. The computing time for the 15 min video was approximately 50 s using  
293 only CPU computation. As described previously, the KNN-based algorithm used in this study has high-speed computing  
294 performance compared with various algorithms based on optimization, so it will likely have an advantage in real-time  
295 applications.

#### 296 4.3 Estimation of DSD and rain rate

297 The rain streaks image presented in Fig. 6(c) was not considered for the final DSD estimation because of noise and factors  
298 other than rain caused by the sudden brightness change. As described in Section 3, a low-pass filter was first applied rain  
299 streaks image.



300 The  $10 \times 10$  kernel was applied considering the total image size ( $640 \times 640$ ), and each grid value of the kernel was set to  
301 0.01. The set kernel was filtered by convolution pixel by pixel. Moreover, the convolution was performed once more using  
302 the following 2D kernel  $\begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}$  to highlight the rim of the rain streaks. A background layer with a value of 0  
303 and a part not in the image were separated to extract the rain streaks, which were labeled one by one to identify each rain  
304 streak from the image. Fig. 7(a) illustrates the example result after performing the processes described above to Fig. 6(c).  
305 Each rain streak was then separated and labeled, as in Fig. 7(b).



306 **Figure 7. Extraction example of rain streak based on the proposed algorithm**



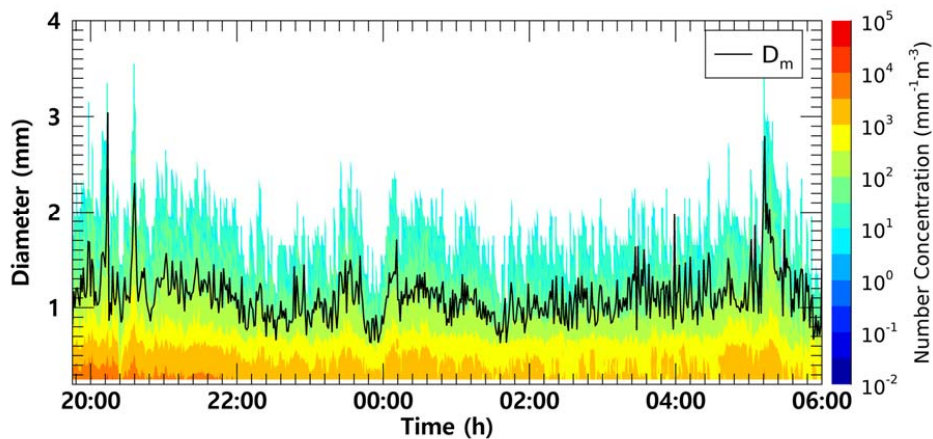
307 The border information of each rain streak needed to be obtained. The center axis was calculated by connecting the  
308 center (median) of the minimum pixel and maximum pixel values of the x-axis for each y-axis using border information. The  
309 angle of rain streak was obtained from the slope value obtained by calculating the linear function through the center axis's x  
310 and y pixel number values. Fig. 7(c) is an example of the extraction of a rain streak extracted from the image of Fig. 7(b).

311 The drop angle was then calculated, and the rain streak was rotated using the angle information. Raindrops can be broken  
312 up by strong wind or collisions between raindrops during falling. The maximum difference value between the minimum and  
313 maximum pixel number values of y-axis calculated using border information of the rotated rain streak was used to calculate  
314 the raindrop diameter and exclude the influence of the distorted shape of rain streak by break up (Fig. 7d) (Testik, 2009;  
315 Testik and Pei, 2017). Fig. 7(d) illustrates the result of the final process.

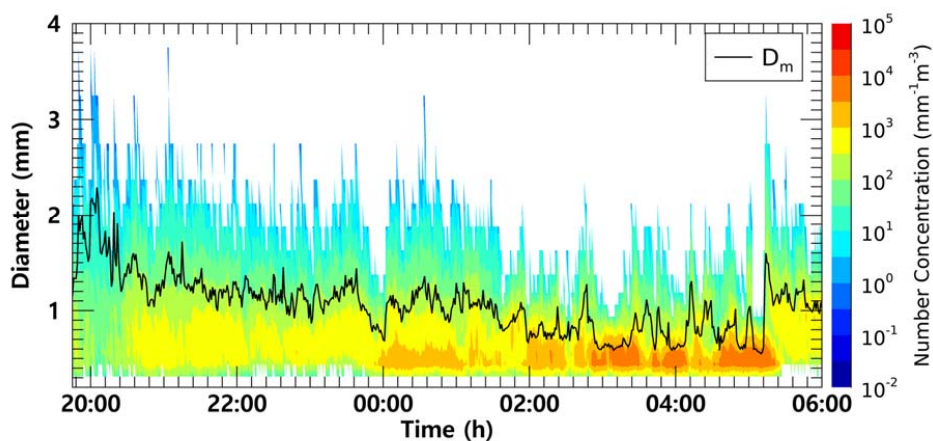
316 Fig. 8 illustrates the time series of the number concentration and  $D_m$  obtained from CCTV and PARSIVEL. From 1945  
317 LST to 2350 LST, the maximum number concentration of lower than  $1,000 \text{ mm}^{-1}\text{m}^3$  was observed from the PARSIVEL  
318 observation, and from 2000 LST to 2100 LST, a number concentration lower than  $100 \text{ mm}^{-1}\text{m}^3$  was observed. At 2005 LST,  
319 large raindrops (of 3.8 mm) were observed, resulting in a sharp increase in  $D_m$  above 2 mm. In contrast, in the results based  
320 on CCTV images, the number concentration of less than  $10,000 \text{ mm}^{-1}\text{m}^3$  was continuously demonstrated during the entire  
321 analysis period, and a number concentration greater than  $5,000 \text{ mm}^{-1}\text{m}^3$  was observed before 2200 LST. Because the  
322 proportion of small drops was high,  $D_m$  was predominantly less than 1.5 mm.

323 From 0000 LST to 0100 LST, both CCTV and PARSIVEL-based data had a predominant maximum diameter of about  
324 2.4 mm. At 0035 LST, raindrops larger than 3.2 mm were observed in PARSIVEL, but raindrops less than 3 mm were not  
325 observed in CCTV. However, the number concentration of small diameters of 0.5 mm or less had similar values between  
326 1,000 and  $5,000 \text{ mm}^{-1}\text{m}^3$ . Despite the difference in the maximum size of the drops, there was no predominant difference in  
327 the  $D_m$  because the number concentration of raindrops smaller than 1 mm had similar values.

328 From 0300 LST to 0530 LST, number concentrations higher than  $5,000 \text{ mm}^{-1}\text{m}^3$  in the raindrops smaller than 1 mm  
329 were observed using PARSIVEL. However, CCTV data revealed that number concentrations less than  $5,000 \text{ mm}^{-1}\text{m}^3$  were  
330 consistently observed. At 1714 LST, raindrops of up to 3.2 mm were observed through PARSIVEL, but the maximum  
331 diameter was overestimated to be greater than 3.5 mm based on CCTV. In CCTV images, the  $D_m$  was close to 3 mm because  
332 of the overestimation of diameter and underestimation of number concentration for raindrops less than 1 mm. There was a  
333 difference with the  $D_m$  value obtained through PARSIVEL observation.



(a) CCTV



(b) PARSIVEL

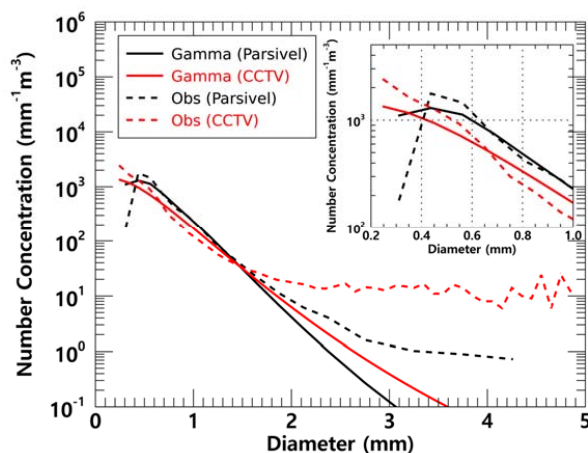
334 Figure 8: Time series of number concentration and  $D_m$  (black coloured line) from (a) the surveillance camera images, (b) the  
335 PARSIVEL observation data from 2145 LST on March 25 to 0600 LST on March 26, 2022.

336 Fig. 9 illustrates the average number concentration versus diameter of raindrops calculated using CCTV image and  
337 PARSIVEL observation data from 1945 LST on March 25 to 0600 LST on March 26, 2022. The PARSIVEL disdrometer  
338 data has a fixed raindrop diameter channel; thus, it can differ in number concentration depending on the diameter channel  
339 setting. Therefore, in this study, the simulated DSD through the gamma model was also analyzed to compare the distribution  
340 of rainfall particles.





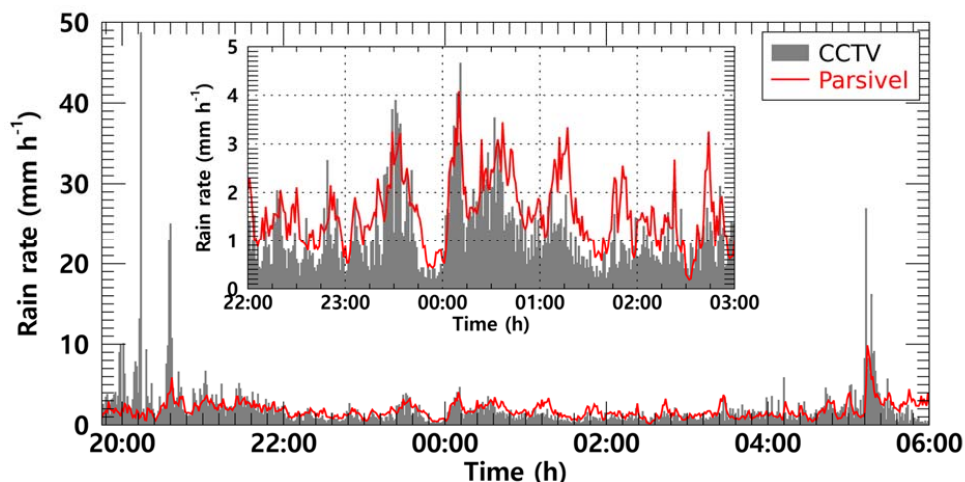
341 For raindrop diameters from 0.7 to 1.5 mm, the simulated and observed number concentrations produced similar values.  
342 However, above 1.5 mm, the model-based number concentration was under-simulated. From these results, in the  
343 precipitation cases selected in this study, the gamma model appears limited in simulating the number concentration of  
344 raindrops larger than 3 mm. In diameters from 0.5 to 1.5 mm, the number concentration obtained from CCTV images tended  
345 to be lower than that from PARSIVEL observation and higher in diameters above 1.5 mm. PARSIVEL observation data  
346 decreased sharply for diameters smaller than 0.3 mm. In contrast, CCTV gradually increased the number concentration as the  
347 diameter decreased.



348 **Figure 9: Average number concentration versus diameter from the surveillance camera images and the PARSIVEL.**

349 Rainfall intensity was estimated based on the obtained number concentration from CCTV images and PARSIVEL. The  
350 near ( $s_n$ ) and far ( $s_f$ ) focus planes were calculated as 718 and 1,648 mm from Eqs. (8) and (9). The DoF was calculated as  
351 930 mm. The focal distance was set to 1 m, referring to previous studies (Dong et al., 2017; Jiang et al., 2019). The control  
352 volume was  $2.9 \text{ m}^3$ , applying Eq. (10) with the variables determined above. Fig. 10 illustrates the rain rate time series  
353 calculated using CCTV images and PARSIVEL observation data. The increase or decrease in rain rate according to time  
354 change based on CCTV data followed the trend of rainfall intensity change based on PARSIVEL observation data.

355 At 0400 LST and 0516 LST, PARSIVEL observation data revealed rain rates of up to  $1.5 \text{ mm h}^{-1}$  and  $9.8 \text{ mm h}^{-1}$ , but  
356 CCTV image-based rain rates were overestimated to be larger than  $3.5 \text{ mm h}^{-1}$  and  $15 \text{ mm h}^{-1}$ . During the period where the  
357 difference in rain rate is large, compared with the PARSIVEL observation data, relatively larger raindrops were applied to  
358 the rain rate calculation, resulting in an error. At 2014 LST on March 25 and at 0516 LST on March 26, raindrops larger than  
359 3.5 mm were considered in the rain rate calculation, which increased the rain rate error.



360 **Figure 10:** The rain rate time series calculated from the surveillance camera images (gray bar) and PARSIVEL observation data  
361 (red line) from 2145 LST on March 25 to 0600 LST on March 26, 2022.

362 Fig. 11 illustrates the scatter plot of the average rain rate every 15 min from the PARSIVEL observation and the CCTV  
363 images. Uncertainty exists in the resolution of the rain gauge in the 1 min step. Accordingly, the time step for analysis is set  
364 to 15 min. The slope of the rainfall intensity was close to 1 except for the period when the rain rate was overestimated by the  
365 raindrops larger than 3 mm. During the entire analysis time, the rain rate slope was 1.33, revealing that the error increased  
366 with rainfall intensity.

367 The cumulative average rainfall intensity every 15 min was weaker than  $10 \text{ mm h}^{-1}$ , concentrated at a rain rate less than 4  
368  $\text{mm h}^{-1}$ , so the correlation coefficient (CC) was 0.58. Furthermore, the mean absolute error (MAE), root mean square error  
369 (RMSE), and mean absolute percent error (MAPE) were  $0.84 \text{ mm h}^{-1}$ ,  $1.43 \text{ mm h}^{-1}$ , and 44%. Differences according to rain  
370 rate can also be determined. The accuracy is higher at a rain rate smaller than  $2 \text{ mm h}^{-1}$  as a boundary. The MAE, RMSE,  
371 and MAPE were  $0.32 \text{ mm h}^{-1}$ ,  $0.67 \text{ mm h}^{-1}$ , and 32% for a rain rate of  $2 \text{ mm h}^{-1}$  or less, and  $1.49 \text{ mm h}^{-1}$ ,  $2.37 \text{ mm h}^{-1}$ , and 73%  
372 for a rain rate above  $2 \text{ mm h}^{-1}$ .

373 The statistical values of the rain rate and DSD parameters for the rainfall cases analyzed in this study are summarized in  
374 Table 5. The rain rate and  $D_m$  calculated using CCTV images were  $0.16 \text{ mm h}^{-1}$  and  $0.05 \text{ mm}$  more than the values calculated  
375 using PARSIVEL observation data on average, respectively. A high rain rate and  $D_m$  were caused by overestimating the  
376 number concentration for raindrops larger than 1.5 mm confirmed in Fig. 9. The number concentration for the small diameter  
377 (less than 0.3 mm) was higher in the CCTV data than in the PARSIVEL data.

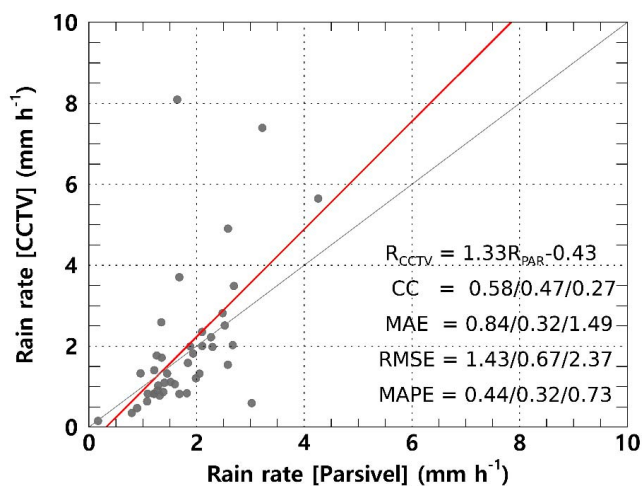
378 However, the rain rate was not significantly affected by small raindrops. Although  $D_m$  calculated from the PARSIVEL  
379 observation data had a low value ( $1.061 \text{ mm}$ ), the CCTV data revealed a high skewness (of 1.793) because of the high



380 number concentration for raindrops smaller than 0.1 mm. the high kurtosis (104.945 and 7.849) for the rain rate and  $D_m$  of  
 381 the CCTV-based data were caused by the overestimated number concentration of 1.5 mm or larger. Moreover, as the  
 382 distribution spread widely,  $\mu$  was as low as 1.312. Because of the high number concentration for raindrops larger than 3 mm  
 383 of CCTV, the PARSIVEL observation data had a  $\Lambda$  value of 9.982  $\text{mm}^{-1}$ , whereas the CCTV data had a low value (5.187  
 384  $\text{mm}^{-1}$ ).

385 **Table 5: Statistical values of the rain rate and DSD parameters for the rainfall case in this study.**

		R ( $\text{mm h}^{-1}$ )	$D_m$ (mm)	$\text{Log}_{10}N_0$ ( $\text{mm}^{-1}\mu\text{m}^{-3}$ )	$\mu$ (unitless)	$\Lambda$ ( $\text{mm}^{-1}$ )
PARSIVEL	Mean	1.829	1.061	6.583	5.103	9.982
	Variance	1.013	0.088	11.768	24.124	69.899
	Skewness	2.341	0.814	2.447	2.11	2.687
	Kurtosis	12.295	1.562	7.226	5.335	8.54
CCTV	Mean	1.994	1.116	4.405	1.312	5.187
	Variance	9.274	0.07	0.422	0.913	3.527
	Skewness	8.528	1.793	1.427	1.075	1.441
	Kurtosis	104.945	7.849	2.731	1.664	2.802





386 **Fig. 11. Scatter plot of average rain rate every 15 minutes from the PARSIVEL observation and the surveillance camera images.**  
387 **Red line is linear regression. Scatter plot displays CC, MAE, RMSE, MAPE for  $R > 0 \text{ mm h}^{-1}$ ,  $R < 2 \text{ mm h}^{-1}$ , and  $R \geq 2 \text{ mm h}^{-1}$**   
388 **(sequentially from left to right).**

## 389 **6 Conclusion**

390 This study estimated DSD with an infrared surveillance camera, based on which rainfall intensity was also estimated. Rain  
391 streaks were extracted using a KNN-based algorithm. The rainfall intensity was estimated based on DSD using physical  
392 optics analysis. A rainfall event was selected, and the applicability of the method in this study was examined. The estimated  
393 DSD was verified using a PARSIVEL. Furthermore, a tipping-bucket rain gauge was used for comparison. The results from  
394 this study can be summarized as follows.

395 KNN-based algorithm illustrates suitable performance in separating the rain streaks and background layers. Furthermore,  
396 the possibility of separation for each rain streak and estimation of DSD was sufficient.

397 The number concentration of raindrops obtained through the CCTV images was similar to the actual PARSIVEL  
398 observed number concentration in the 0.5 to 1.5 mm section. In the small raindrops in the section of 0.4 mm or less, the  
399 PARSIVEL observation data underestimates the actual DSD. However, the CCTV image-based rain rate had an advantage  
400 over the raindrop-based data—the number concentration decreased rapidly as the number concentration gradually increased  
401 in the 0.2–0.3 mm diameter section.

402 The maximum raindrop diameter and number concentration of less than 1 mm produced similar results during the period  
403 with a high ratio of diameters less than 3 mm. However, the number concentration was overestimated during the period  
404 when raindrops larger than 3 mm were observed. The CCTV image-based data revealed that the rain rate was overestimated  
405 because of the overestimation of raindrops larger than 3 mm. After comparing with the 15-min cumulative PARSIVEL rain  
406 rate, the CCs—MAE, RMSE, and MAPE—were  $0.84 \text{ mm h}^{-1}$ ,  $1.43 \text{ mm h}^{-1}$ , and 44%. The differences according to rain rate  
407 can be identified. The accuracy is higher at a rain rate smaller than  $2 \text{ mm h}^{-1}$  as a boundary.

408 The rain rate and  $D_m$  calculated using CCTV images exhibited similar average values. The overestimated number  
409 concentration of 1.5 mm or larger caused high kurtosis for the rain rate and  $D_m$  of CCTV-based data and a low  $\mu$  value.  
410 Because of the high number concentration for raindrops larger than 3 mm of CCTV, the PARSIVEL observation data had a  
411 higher  $A$  value than the result based on the CCTV data.

412 In this study, DSD was estimated using an infrared surveillance camera; the rain rate was also estimated. Consequently,  
413 we could confirm the possibility of estimating an image-based DSD and rain rate obtained based on low-cost equipment in  
414 dark conditions.



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