



Drone-based meteorological observations up to the tropopause

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Abstract. The main in-situ data base for numerical weather prediction currently relies on radiosonde and airliner observations, with large data gaps above the oceans and in polar regions. These gaps might possibly be patched by measurements with drones, which provide a significant improvement towards environment friendly additional data, but so far have not been regarded as a feasible alternative of performing measurements in the upper troposphere. In this report, the development of a system that is capable of sounding the atmosphere under Antarctic and mid-European conditions is presented. After an assessment of the environmental conditions, the design of the system and the measurements are presented, including the process to get permissions for such flight tests in Europe. The feasibility of reaching an altitude of 10 km with a small meteorologically equipped drone is shown, and the first data are compared to radiosonde measurements. The article closes with an outlook on the potential use of drones for filling data gaps in the troposphere.

10 1 Introduction

Accurate weather predictions are of high importance for humankind, from agriculture via air traffic, warning of severe weather events like storm and heavy rain to the personal activities of individuals. With increasing computational power, there have been significant improvements in operational weather models (Bauer et al., 2015). However, these global and mesoscale models require measurement data as input to tie the short-term forecast towards observations (Wang et al., 2000). In this computing-intensive process, data can be assimilated continuously, with high flexibility regarding spatial and temporal resolution and trajectory (Bonavita et al., 2016). The data to be assimilated originates from the World Meteorological Organisation (WMO) Global Observing System (Thépaut and Andersson, 2010; WMO, 2010, 2015a), consisting of measurements using both in-situ and remote sensing techniques. Atmospheric measurements of pressure, temperature, humidity, wind speed and wind direction are crucial to Numerical Weather Prediction (NWP). These measurements can partially be provided by ground based remote sensing techniques (Lindskog et al., 2004; Kotthaus et al., 2022), satellite based remote sensing techniques (Steiner et al., 2001; Karbou et al., 2005; Rennie et al., 2021), radiosondes (Ingleby et al., 2016a), aircraft (Fleming, 1996) and dropsondes (meteorological sensor packets dropped from high altitude platforms (Hock and Franklin, 1999). Each of these observing system types has its own peculiarities which have to be considered for implementing in weather models, and has a different impact on the forecast quality.

25 Ground based remote sensing instruments need significant financial effort to be deployed and operated. Their use around the globe is therefore quite limited. Satellite based remote sensing measurements provide superior global coverage and have a high



impact in NWP (Cardinali, 2009), especially over data-poor areas (Bouttier and Kelly, 2001). For calibration and validation of these satellite sensors and data products, satellite based observing systems (and in general remote sensing measurements) rely on in-situ data for calibration and validation (Goldberg et al., 2011; Chander et al., 2013; Boylan et al., 2015; Carminati et al., 30 2019). An increasing challenge (and also a potential opportunity, Palmer et al., 2021) for retrieving meteorological observations from satellite microwave instruments (e.g. Karbou et al., 2005) is the "society's insatiable need for the radio spectrum." (Palmer et al., 2021), potentially harming future measurements. Space-based Doppler Wind Lidar measurements are regarded as essential data for weather models, addressing the urgent need in providing wind profiles at all latitudes and altitudes (Baker et al., 2014).

35 Of major importance regarding in-situ observations are vertical profiles measured by radiosondes. They are launched at specific stations and at fixed launch times, from typically daily to 4 times per day. The measurement data are transferred to the ground via telemetry, and are sent to the network Global Telecommunication System (GTS) to be accessed by weather services in a specific data format (Ingleby and Edwards, 2015). Typical state of the art sounding systems provide measurements of altitude, pressure, temperature, humidity, wind speed and wind direction once per second. Depending on the sounding system and bal- 40 loon sizes used, radiosondes typically measure atmospheric profiles up to an altitude of 35 km or even 40 km, covering the entire troposphere and most of the stratosphere. Usually, radiosondes are not collected and re-used, but remain in the landscape as litter. The worldwide around 800 radiosonde launch sites are not evenly distributed around the globe. There are large areas with only few regular launches, in particular above the oceans and in the polar regions.

Another important source of in-situ data originates from the AMDAR (Aircraft Meteorological DATA Relay) and the U.S. re- 45 lated TAMDAR (Tropospheric Airborne Meteorological Data Reporting) programme (Moninger et al., 2003; Petersen et al., 2015, 2016; Petersen, 2016). In the vicinity of large cities, vertical profiles of temperature, wind speed and wind direction (and partly humidity) are measured frequently through commercial aircraft equipped with the AMDAR specific meteorological sensor package (WMO, 2003), whereas data from level flight is acquired on main aircraft routes. For this airborne method, a careful calibration and processing of the data is required (de Haan et al., 2022). Due to less coverage with enroute flights and 50 especially airports, regions like the Arctic and Antarctic as well as mid-Africa suffer from a lower data density regarding the AMDAR system.

Data comparable to aircraft and radiosonde measurements can be gathered using sondes dropped by aircraft - either crewed (Laursen et al., 2006) or uncrewed (Kren et al., 2018) - and balloons (Wang et al., 2013) from altitudes close to the ground up to 30 km (Cohn et al., 2013). Data from dropsonde measurements have recently been used for intense observation periods 55 (Redelsperger et al., 2006; Rabier et al., 2010; Kren et al., 2018; Schindler et al., 2020; Ralph et al., 2020; Zheng et al., 2021) but caused by their targeted use, they do not play a significant role in global observations.

To assess the impact on forecast quality and subsequently the importance of these different observing systems (single obser- vation systems) in order to further develop the Global Observing System, Observation System Experiments (Bormann et al., 2019), Sensitivity to Observation (Cardinali, 2013; Langland and Baker, 2004) and similar experiments (Ingleby et al., 2020; 60 Ota et al., 2013) can be carried out. As new observing systems are deployed, their impact on weather models (including the assimilation system) is evaluated and reviewed using these techniques (Rennie et al., 2021; Petersen, 2016; Petersen et al.,



2016; Bouttier and Kelly, 2001; Bormann et al., 2019; Riishojgaard, 2015). For example space-based Doppler Wind Lidar measurements are regarded as essential for numerical weather prediction (Baker et al., 2014). The examination of including wind retrievals using the first space-borne wind lidar (Rennie et al., 2021), showed a positive impact on forecasting quality.

65 Aircraft meteorological measurements complement radiosonde measurements when radiosonde data was not used in the forecast experiments (Gelaro and Zhu, 2009; Lorenc and Marriott, 2014; Kim and Kim, 2019). Aircraft wind and temperature reports show a significant improvement of model results at pressure levels between 700 - 400 hPa (around 3-7 km altitude) (Petersen, 2016). Aircraft humidity data have the highest impact between 1000 - 400 hPa (around 0.5-7 km altitude) (Petersen et al., 2016), whereas radiosonde in-situ humidity data has the highest influence on weather models at 700 - 600 hPa (around

70 3-4 km altitude) (Ota et al., 2013). In comparison with temperature and wind, the impact of aircraft humidity data showed lower influence on the results of weather models (Ingleby et al., 2020).

Summing up the components of the Global Observation System, in-situ data gaps of important observations are obvious, as radiosonde and aircraft based soundings are sparsely distributed over remote areas and oceans, associated with increased impact of radiosonde observations (Ota et al., 2013). The density of observations is not well balanced with the user requirements

75 for observations. Breakthrough requirements from data users exceed today's capabilities of the Global Observation System in terms of temporal and spatial resolution for the use case of global and high resolution numerical weather prediction. These requirements differ between their application area and the variable, e.g. for global NWP, the breakthrough requirement for the spatial resolution of temperature measurements is 100 km horizontally and 1 km vertically (Leuenberger et al., 2020). Generally spoken, more and higher resolution data lead to improved numerical simulations of both local and regional weather

80 forecast (Faccani et al., 2009). Numerical weather prediction models perform best with observations of similar temporal and spatial resolution as in the model (Dabberdt et al., 2005).

Besides regional data gaps (Ingleby et al., 2016b; WMO, 2020) and general data gaps (Houston et al., 2021), there is a data gap in the lower troposphere in atmospheric observing systems (Leuenberger et al., 2020; Pinto et al., 2021), and the potential of Uncrewed (Joyce et al., 2021) Aerial Systems (UAS) to fill the gap is currently being discussed. UAS (also called drones,

85 remotely piloted aircraft systems, RPAS) provide a flexible tool for atmospheric sensing. The use of small UAS as a platform for meteorological sensors dates back to the early 1960's (Konrad et al., 1970). Far from being mature back in the 60ies, their use was limited to augmented line of sight operations using binoculars and therefore the use in the lower troposphere. A comprehensive review of the historical and recent use of fixed wing uncrewed aircraft for meteorological sensing can be found in Elston et al. (2015). In consequence of the emergence of commercial off-the-shelf UAS (both fixed-wing and multicopter), the

90 use of UAS in different fields of research rapidly increased during the last decade.

The atmospheric boundary layer experiences high temporal changes, and as being closely connected to the spatially variable Earth surface, the boundary layer plays a key role in initiating or hindering weather events like convection or cloud and fog formation. Therefore UAS measurements in the boundary layer have a high potential for providing data of added value to weather forecast (Inoue and Sato, 2022), for example by determining the boundary layer altitude capped by a temperature inversion

95 (Jonassen et al., 2012; Flagg et al., 2018).

The improvement of assimilating UAS measurements of the atmospheric boundary layer in numerical weather predictions



during intensive meteorological campaigns has been demonstrated (de Boer et al., 2020), with improvements of modelling results for a distance up to 300 km (Sun et al., 2020). Significant benefit from regular UAS soundings even to limited altitudes of 1 km or 3 km has been demonstrated for precipitation (Chilson et al., 2019) and cloud coverage (Leuenberger et al., 2020), and a reduction of over 40 % for the root mean square error and bias in the 15 min forecasts of temperature, wind and humidity between the benchmark run and a model run with assimilated data of a coordinated fleet of UAS was observed (Jensen et al., 2021), despite the challenges of data assimilation in mountainous environments (Hacker et al., 2018). However, UAS measurements up to higher altitudes would be more beneficial (Sun et al., 2020).

For obtaining additional data similar to the classical radiosondes, balloon-launched UAS which are carried up to a certain altitude, which can be around 20-40 km, have been developed. Once reaching the target altitude, the systems are released from the balloons and then return to the starting location in restricted air space (Kräuchi and Philipona, 2016; Schuyler et al., 2019; Lafon et al., 2014) - at least for low wind speed conditions. These UAS further provide the advantage of controlling the direction of flight. In comparison to radiosondes, it is therefore possible to deploy more sophisticated instrumentation, as it can be used multiple times, and sensors can be calibrated before and after a sounding for quality checks. High data quality enables further use of the measurements such as climate applications (see ANNEX 12.B in WMO (2018)). However, balloon launched systems require the availability of helium and a certain launching infrastructure, like for the classical radiosonde launches, and are barely able to glide back to their starting location for wind speed exceeding 15 m s^{-1} .

For increasing the flexibility of the launching site, it is beneficial to deploy systems with own propulsion. Regular soundings of multicopter UAS to improve weather forecast for airports have been established in Switzerland (Leuenberger et al., 2020). Other studies to improve weather prediction include fixed-wing UAS as well (Koch et al., 2018). Further, no waste is left from such an UAS ascent, which is of high importance in particular in the Antarctic, where the Antarctic Treaty requires Environmental Impact Assessments to be developed for all activities and which sets rules for waste disposal and management (Secretariat of the Antarctic Treaty, 2019). Nevertheless, systems with own propulsion normally do not reach the altitudes of radiosondes, and therefore can be compared more easily to aircraft (e.g. AMDAR/TAMDAR). The main technical challenges of UAS operations up to high altitudes comparable to aircraft based observations on commercial aircraft are high wind speed, low temperatures and potential icing. Individual systems and concepts have been developed for applications in high wind speed, like for in-situ measurements of hurricanes and tornadic supercells (Cione et al., 2020; Elston et al., 2011) and for measurements up to and within the stratosphere (Runge et al., 2007).

Nowadays, the status of small uncrewed aerial systems for weather sensing in the lower troposphere is quite mature (Pinto et al., 2021) and close to being ready for operational applications in meteorology. As an experiment to collect experience on both the UAS operation as well as the NWP side, the WMO is preparing a worldwide coordinated demonstration campaign in 2024 (WMO, 2022). There is broad agreement that UAS are becoming an increasingly important tool for all sorts of meteorological tasks (Geerts et al., 2018; Vömel et al., 2018). Interestingly, UAS which are capable of reaching the upper troposphere and even lower stratosphere are viewed to play an important role and enable the community to address important scientific issues, but these systems did not receive much attention in the science discussion - the road to inexpensive high flying UAS seemed to remain unpaved.



The importance of aircraft measurements in the troposphere concomitant with in-situ data gaps in the troposphere and over remote areas was the starting point for a research project at the Technische Universität Braunschweig (Germany), in which a UAS was developed to augment in-situ data in Antarctica. The UAS represents a fairly unusual class (medium altitude, short endurance, Watts et al. (2012)). The propelled UAS technique presented here provides the capability of sounding the entire troposphere vertically or perform level legs at designated altitudes while measuring pressure, temperature, humidity, wind speed, wind direction and turbulence, and flexibly addressing the data needs (Houston et al., 2021). Regarding data assimilation, UAS data transferred to the GTS is similar to aircraft data (especially true for regional aircraft observations, Moninger et al., 2010) and radiosonde data (ascent and descent).

This feasibility study presents the concept, design and first applications of the system *LUCA* (Lightweight Unmanned high Ceiling Aerial system), which was developed to provide complementary in-situ data up to an altitude of 10 km at flexible locations. Simultaneous radiosonde ascents are used to validate the quality of the meteorological observations acquired during the first flights.

2 Methods

In the following, the process towards the design of the UAS *LUCA* is presented briefly. Requirements for the system concern data quality on the one hand, and dealing with the harsh environmental conditions and availability of measurements on the other hand. The environmental conditions for operations of the UAS are described. Based on this, the design of the mission and of the UAS is introduced. The sensor package is described, including measurement uncertainties. Finally, the process of flight permissions is presented.

2.1 Environmental conditions

LUCA was designed to operate in mid-latitude and polar conditions. Therefore, the expected environmental constraints were evaluated from the radiosonde stations Neumayer in Antarctica and Lindenberg in Germany (Figure 1) for a time period of three years (2016-2018). The temperature range covering 90% of the operation conditions of the ascents at Lindenberg is between -60°C and 20°C and at Neumayer between -75°C and -2°C . For the station Lindenberg, the median wind speed is up to 20 m s^{-1} (39 kts), and the wind speed that is encountered in 90% of the cases (90% percentile) is up to 42 m s^{-1} (81 kts) for the altitude of the jet stream (7-15 km) (Pena-Ortiz et al., 2013). For the station Neumayer, the median wind speed is up to 14 m s^{-1} (27 kts), but the wind speed that can be expected in 90% of the cases is up to 28 m s^{-1} (54 kts).

Operational challenges at Neumayer arise from the surface wind speed, which is generally higher than in Europe. The most frequent surface wind conditions are either from East due to cyclonic activities near the polar front, with typical wind speed of 20 m s^{-1} (39 kts), or from S due to katabatic flow, with typical wind speed of 10 m s^{-1} (19 kts) (König-Langlo et al., 1998). High wind speed values are further reached at the altitude of the tropopause around 9-13 km (Evtushevsky et al., 2008) in the polar jet stream (Archer and Caldeira, 2008).



165 As a trade-off for *LUCA*, the system was designed for operation in the temperature range between -75°C and $+30^{\circ}\text{C}$ and
for a wind speed of up to 28 m s^{-1} (54 kts). Assuming the system is capable of operating in conditions exceeding the design
temperature and wind speed with 15 %, this would allow ascents in 87 % of the radiosonde days at Neumayer in the Antarctic
and 72 % at Lindenberg. At Neumayer Station, typically on 96% of the days radiosondes can be launched. Lindenberg has a
temporal coverage of 99% of all days. In addition, the development of the UAS addresses measurements during rainfall, snow,
heavy turbulence and within clouds.

170 Particular attention was payed to takeoff and landing under high surface wind conditions, which are accompanied at the Neu-
mayer Station by low visibility due to drifting and blowing snow. The probability of 23 % to operate under conditions with a
visibility below 500 m requires a highly automated take-off and landing procedure, which does not rely on visual contact of
the operator with the system, similar to operations shown by Reineman et al. (2016).

175 A possible threat for UAS measurements are inflight icing conditions, which depend on temperature, humidity and droplet
size (Jeck, 2002). An idea of the frequency of icing conditions might be available of icing forecast data using ADWICE
(Advanced Diagnosis and Warning system for aircraft Icing Environments, Tafferner et al., 2003) along with validation studies
using PIREPs (Pilot REports). Such comparisons exist for regions with dense air traffic, e.g. Europe (Kalinka et al., 2017), but
no validation studies are found for the Antarctic. In addition, icing differs strongly between manned aircraft (what ADWICE
180 is made for) and UAS (Hann, 2020). A report for Norway and surrounding regions explicitly focused on icing for UAS using
meteorological reanalysis data (ECMWF ERA5, Hersbach et al., 2020) and an ice accretion model (ICE3D, Sørensen et al.,
2021), and found icing frequencies of 45 % at an altitude between 1 km - 1.5 km between September and May, and a lower risk
with the highest frequency of 30 % peaking at 2.5 km altitude in June to August. These values are not directly applicable to
the Antarctic, but the process to determine the likelihood of icing might be used to preliminarily estimate icing frequency and
185 icing risk for UAS in the Antarctic.

UAS are usually operated without sophisticated anti-icing and de-icing concepts like in manned aviation, in particular as most
UAS have been operated in visual line of sight. However, icing is a threat that may lead to a complete loss of the system. Icing
protection for UAS has been demonstrated (Hann et al., 2021), but requires additional substantial energy for heating, even if
combined with specific icephobic coatings or liquids (Huang et al., 2019).

190 For the demonstration flights, the UAS *LUCA* was prepared to be equipped with an icing sensor to measure in situations with
a substantial risk of meeting icing conditions during the flight. Together with monitoring performance parameters, this allows
estimating the severity of icing and supports the decision of abandoning the mission in icing cases.

2.2 System design

Before designing the physical aircraft system, the mission to be accomplished by the UAS was defined. Although data assimi-
195 lation is nowadays highly flexible regarding time, the mission was designed according to radiosonde observations to hypotheti-
cally surpass the 100 hPa surface at 12 UTC (Ingleby et al., 2022) and ensure timeliness in foresight of future inflight reporting
analogue to radiosonde reporting, where a first dataset is sent to the GTS when the sonde reaches the 100 hPa level (Ingleby and

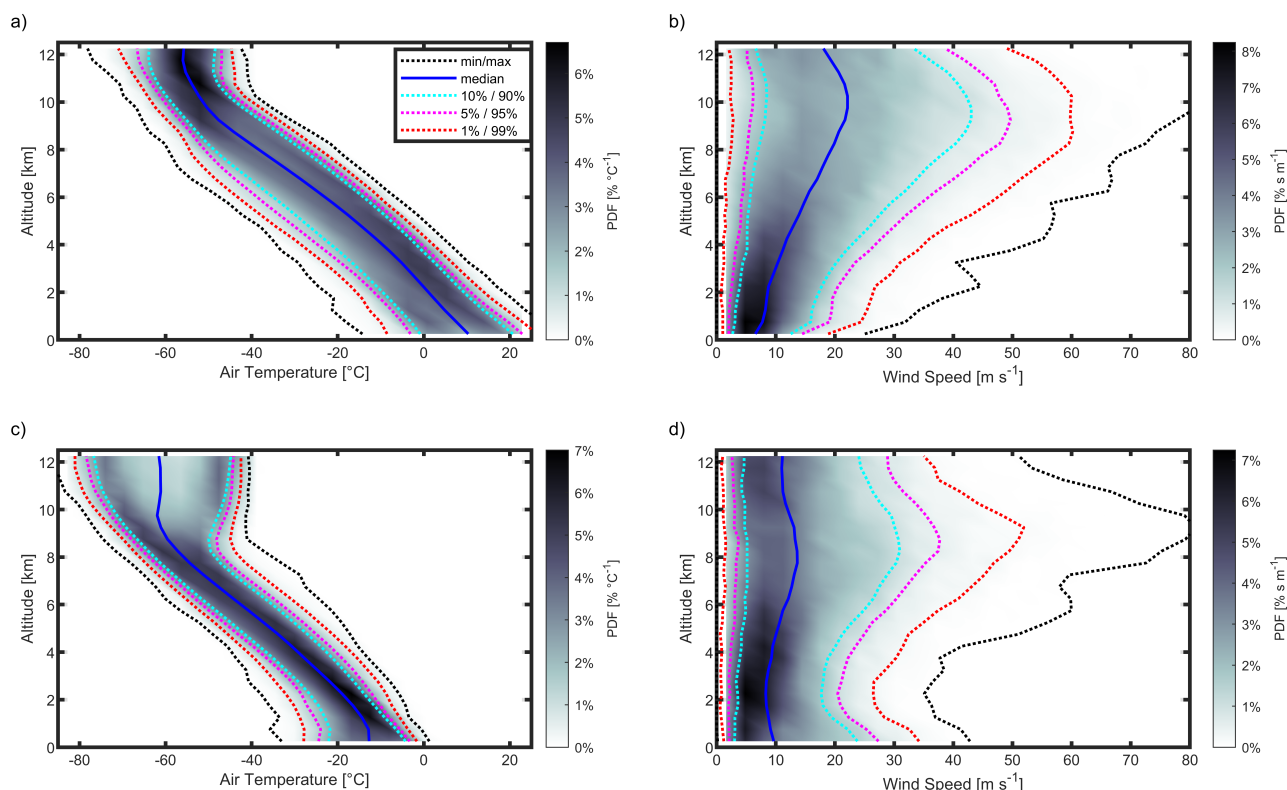


Figure 1. Analysis of environmental constraints at the radiosonde stations Lindenberg in Germany (upper panels) and Neumayer in the Antarctic (lower panels). The left panels show the probability distribution function (PDF) of air temperature with altitude in grey scale, the right panels the PDF of wind speed with altitude. The median is indicated in blue, the minimum and maximum values as black dotted line, and the percentiles including 80% (light blue), 90% (magenta) and 98% (red) of the data are indicated. The plot is based on the time period from the year 2016 to 2018, including all radiosonde launches at Neumayer around 12 UTC, and all launches around 00 UTC as well as 12 UTC at Lindenberg.

Edwards, 2015). With a targeted climb rate of 10 m s^{-1} arbitrarily chosen from simple analytical estimates, the UAS has to be launched around 1133 UTC, and reaches the design ceiling of 10 km at 1150 UTC. After descending with a vertical rate similar to the climb rate, an approach procedure is flown in the vicinity of the landing site to determine wind direction and wind speed, and subsequently the approach trajectory is calculated automatically on the onboard computer. After the "splashdown" into a horizontal landing net, the UAS can be recovered, and data processing including quality checks and transcoding begins. The observations of the complete flight are finally transferred into the GTS around 13:00. Figure 2 illustrates the mission design.

As key design driving parameters, the ability to fly against high wind speed, an efficient electric propulsion chain and a highly flight-state independent position for the sensor package are essential. These requirements led to the development of a tailless fixed-wing configuration with a pusher propeller. As the result of a multi-variant optimization for profiling the atmosphere vertically up to 10 km, the design weighs 5-6 kg, depending on the deployed sensor package, and spans less than

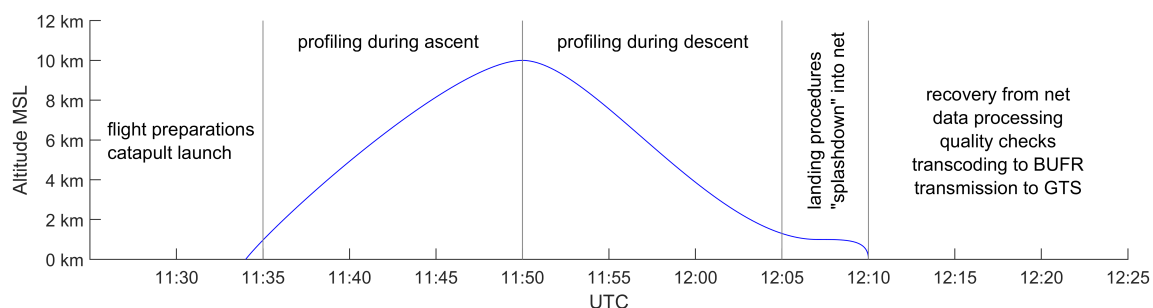


Figure 2. Mission design for the UAS *LUCA*. In order to be able to provide a first observation data set for assimilation at 12:00 UTC, the UAS is launched from the catapult at 11:33 (as shown in Figure 3a) and starts with the vertical sounding in the form of spirals at 11:35, in order to hypothetically cut the 100 hPa level at 12:00 UTC. After reaching its target altitude of 10 km at 11:50, the UAS descends with a sink rate equally to the rate of climb (10 m s^{-1}) until it arrives at the designated approach altitude around 12:05. After circles to determine the speed and direction of the near-surface wind, *LUCA* begins with the approach and lands in a horizontal landing net. Data processing, quality checks and transcoding into BUFR start directly after landing to enable data transfer of the complete flight to the GTS around 13:00 UTC.

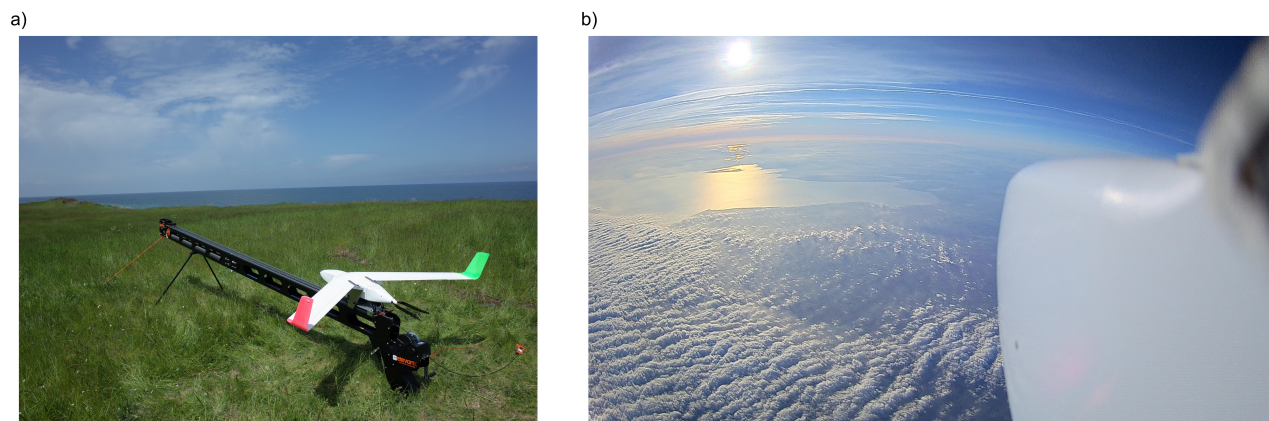


Figure 3. a) The UAS *LUCA* mounted on the catapult to get airborne for measurements over the Baltic Sea
b) A picture out of the UAS *LUCA* 10 km above the Lübecker Bight, Baltic Sea, on 28 October 2021.

210 2 m. Thus, the system has a minimum airspeed of 18 m s^{-1} , exceeding the minimum airspeed of crewed ultralight aircraft. Subsequently, it is not feasible to launch the UAS by hand, and a dedicated mechanical catapult has been adapted and used during the measurement campaign (Figure 3a). To support automatic landings, a horizontal landing net has been developed and an appropriate maneuver to get the UAS safely into the net was implemented in the autopilot firmware. The maneuver itself can be considered as a vertical dive. On the avionics side, the systems on the UAS were widely predefined by national regulations (e.g. the redundancy of the command and control link).



2.3 Sensor package

215 For the measurements shown, the combined resistance temperature and capacitive humidity sensor HMP110 (Vaisala, Finland) was installed within a shielded housing inside the fuselage, providing measurements of temperature and relative humidity in the closed sensing path, as sensor installation is known to influence the measurements (Jacob et al., 2018; Inoue and Sato, 2022). The enclosure furthermore protects the sensors from damage due to ground contact even during rough landings. The sensor readings have been corrected by an inverse filtering method similar to the algorithm presented in Miloshevich et al. (2004). Static pressure ports and a total pressure port were implemented in the nose section of *LUCA* to enable the autopilot sensors to measure static and dynamic pressure. Horizontal wind components were calculated using the heading output of the autopilot system (Cube Orange, HexAero, Singapore). As a drawback, the inertial navigation algorithm relies on industrial grade sensors, which limits the accuracy of the location and attitude estimation and therefore the wind calculation, depending on the flight trajectory. In addition, magnetic vector measurements to be fused in the attitude estimation might be deteriorated by electromagnetic interference from the power train. A camera was installed into the cutout in the left wing, which is foreseen for an icing detector. The camera captured video and audio during the measurements, see Figure 3b, and helped to analyse the behaviour of the flight controller and the motor controller of the UAS.

Table 1. Sensor comparison between the radiosonde, the UAS *LUCA*, and the prospective uncertainty of *LUCA* using an established sensor package (Bärfuss et al., 2018; Lampert et al., 2020). Calibration and removal of installation errors are an own branch of science with an increased need of effort according to data quality, e.g. for climate monitoring (Dirksen et al., 2014, 2020). Raw observation errors originating from the increasing response time of capacitive humidity sensors with decreasing temperature and the temperature corruption originating from the increased pressure at the sensing element for temperature have to be considered and corrected (Bärfuss et al., 2018).

Sensor uncertainty	Radiosonde GRAW DFM-09	LUCA	LUCA (prospective) ^{a)}
position	< 5 m ^{b)}	2.5 m ^{b)}	2.5 m ^{b)}
pressure	< 30 Pa	< 50 Pa	< 30 Pa
temperature	< 0.2 °C	0.4 °C	0.1 °C
humidity	< 4 % RH	< 4 % RH ^{c)}	< 4 % RH ^{c)}
wind speed	< 0.2 m s ⁻¹	1 m s ⁻¹	0.2 m s ⁻¹
wind direction	n/a ^{d)}	10 ° ^{d)}	3 ° ^{d)}

^{a)} similar sensor package as deployed onboard the UAS ALADINA (Bärfuss et al., 2018)

^{b)} slightly differing between vertical and horizontal

^{c)} including non-linearity and repeatability

^{d)} depending on wind speed

2.4 Permissions for operation

The most important aspects for UAS flights is safety. Operational requirements are different for each region of the world, however, in Europe, there are now unified regulations for UAS operation (EASA, 2022). The requirements concerning redundancy



and the level of integrity of the UAS depend on the overall risk analysis. For relatively small and light-weight UAS performing flights beyond visual line of sight, which is the case for any system operating up to an altitude of 10 km, the UAS falls in the category "specific", and precautions to avoid damage to third party have to be met. An operations handbook includes e.g. regular checks and maintenance of vital parts like motors and propellers, redundancy in the electric system, regular training
235 of the crew, independent control links, and many more aspects defined in the so-called Operational Safety Objectives. The flight tests were therefore done in military restricted areas, in this case close to the Baltic Sea, Germany, and the internationally recognised high quality portable radiosonde system of GRAW, Germany (Nash et al., 2011) was deployed from the launching site for direct comparison. In the restricted areas, a cooperation with the German Federal Armed Forces is required, and flight permission of the German Federal Agency for Air Traffic Control (Bundesamt für Flugsicherung, BAF) is necessary.
240 For the operation in the Antarctic, a thorough risk assessment was performed with particular emphasis on safety and redundancy to avoid damage to third party even in such a sparsely populated area. Further, for the deployment in the Antarctic, a permission of the German Environmental Agency (Umweltbundesamt) is required, ensuring that no material stays in the pristine environment, and that the penguin population near the Neumayer Station is not disturbed.

3 Results

245 In the following section, the technical achievements and the resulting potential of UAS measurements are presented. The importance of measurements up to an altitude of 10 km is shown by an analysis of the dynamics of the atmosphere for the altitude range of 0-20 km based on ERA5 reanalyses data (Hersbach et al., 2018, 2020).

3.1 Technical Achievement: First flight up to 10 km with battery powered meteorological UAS

LUCA designates a new type of small fixed-wing UAS. The combination of a relatively small wingspan below 2 m and a total
250 weight of more than 6 kg leads to a high minimum flight speed of 18 m s^{-1} at sea level for obtaining the lift required to fly, and therefore complicates the process of getting airborne and landing safely compared to aircraft with larger wing area related to the total mass. During the flight test and measurement campaign, the design altitude of 10 km was reached. Ascending to such altitudes can be regarded as unique for electrically powered fixed-wing UAS without solar panels. To the authors' knowledge it is the first time that a meteorological drone powered only by electrical batteries reached the altitude of 10 km.
255 During flight tests at sea level, a maximum airspeed of more than 60 m s^{-1} has been reached, indicating that the UAS is able to be operated in equally high wind speed (Pinto et al., 2021). For operations, a safety margin has been applied and the designated horizontal wind speed limit for normal operations was set to 28 m s^{-1} , which equals the minimum horizontal component of the true airspeed during the ascent. Drifting away from the measurement location is prevented by forcing the minimum ground speed to 2 m s^{-1} . The flight controller increases automatically the airspeed, when the minimum ground speed falls below
260 the threshold because of high wind speed - potentially trading the climb rate for airspeed. Resisting high wind speed and the corresponding turbulence has been demonstrated during the flight on 25 October 2021 starting at 12:12 UTC, where the maximum measured wind speed was 28 m s^{-1} (55 kts respectively) during ascent and descent at an altitude of 7800 m MSL



(mean sea level). To address the risk of disintegration in turbulence, the airframe was constructed to resist gusts according to the EASA Certification Specifications 23.333. Operations in BVLOS (beyond visual line of sight) conditions and in the presence of closed cloud layers have been conducted successfully. As automatic take-off and landing is required for future operations in zero visibility conditions, procedures were developed for both cases. Take-off is realised by a catapult start. The landing maneuver consists of an automated dive into a horizontally arranged net, which has been proven to be repeatable during test flights without manual control. An article revealing technical details of the UAS, which is not the scope of this journal, will be published in an aerospace journal.

3.2 Comparison of meteorological observations between UAS and radiosonde data

A measurement campaign with *LUCA* and radiosondes was performed at the Baltic Sea (54.4 N, 10.6 E) from 25 to 29 October 2021. An overview of the development of the meteorological conditions with altitude is shown in Figure 4 based on hourly ERA5 reanalysis data (Hersbach et al., 2018, 2020). The distribution of relative humidity with time and altitude was highly variable during the measurement period. Also wind speed and wind direction varied with height and time. The temporal distribution of the *LUCA* flights and parallel additional radiosondes can be seen in the overview (Figure 4). *LUCA* was tested during a time period with high relative humidity, corresponding to cloudy conditions, and with high wind speed up to 100 knots, corresponding to 51 m s^{-1} , but the UAS was not operated at the exact time of this wind speed peak at 9 km altitude. The overall wind direction was from West with surface wind speed around 10 m s^{-1} (19 kts) with absence of precipitation. Low and high scattered cloud layers were present, and a broad jet stream was forecasted just in the North of Denmark with the jet core expected at 400 km distance to the North of the measurement campaign location.

Figure 5 shows measurements of temperature, relative humidity, wind speed and wind direction recorded by *LUCA* up to 10 km. The flights took place on 25 October 2021 at 09:21 UTC and 10:07 UTC, and on 26 October 2021 at 08:38 UTC. Data of the simultaneous radiosonde ascents are shown for the first and the third flight. No radiosonde was launched for direct comparison on 25 October 2021 at 10:07, and therefore the data of the radiosonde ascent launched simultaneously with the first flight on the 25 October 2021 was used for comparison. Subsequently, the profiles differ significantly due to the time gap of almost 3 hours.

Each profile provided by *LUCA* shows qualitatively the same atmospheric structures as the radiosonde measurements with a similar sensor setup as in the radiosondes. In general, the relative humidity was highly variable during the observation periods. All measurements agree that there was high relative humidity up to the temperature inversion at around 1 km for both days, followed by several layers of enhanced relative humidity, a relatively dry altitude range between an altitude of 3 km and 5-6 km, and again a layer of enhanced relative humidity above. In the lower troposphere, fine layers of humidity are identically resolved by the UAS in altitude and magnitude as it is shown in Figure 5a, and the temperature inversion at the top of the boundary layer at 800 m has been captured by the system. These features are also obvious in the measurements of the simultaneously launched radiosonde. Figure 5b shows measurements 3 hours later than the measurements in Figure 5a and indicates fast changes of the atmospheric parameters during the measurement period. In Figure 5c, relative humidity measurements using the UAS are in

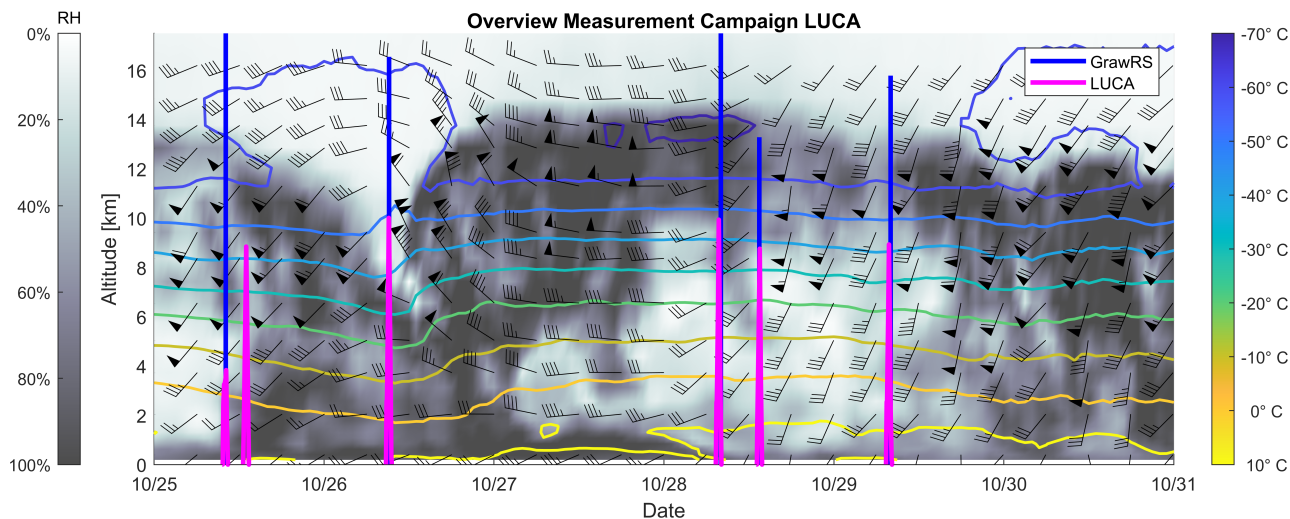


Figure 4. Overview of the meteorological situation between 25 and 31 October 2021 using ERA5 reanalysis data at the gridpoint closest to the UAS measurements. The background in grey indicates relative humidity (linearly interpolated between times and levels), whereas the colored isolines indicate air temperature. The measurement times using the UAS *LUCA* are shown in magenta, the measurements with a timely and spatially collocated radiosonde (type DFM-09, GRAW, Germany) for comparison with the UAS data is marked in blue. The wind barbs indicate the high wind speed during the measurements, which coincide with the high variability in humidity over time.

good agreement with radiosonde data up to 500 hPa (around 5 km altitude). Above 500 hPa, an increasing deviation between radiosonde and UAS measurements can be observed in relative humidity. This is likely caused by the dramatically increasing response time of the humidity sensor at lower temperatures (Choi et al., 2018) in addition to the delaying effect of the implemented closed path sensor setup. Moisture is known as a critical parameter to measure in the upper troposphere (Nash et al., 2011; Dupont et al., 2020). The temperature inversion at 400 m as well as the transition to a different temperature gradient at an altitude of around 6500 m on 26 October 2021 are equally captured by the UAS and the radiosonde measurements. An increasing difference between the measurements of the UAS and the radiosonde can be observed above 5 km altitude. This is likely caused by heat transfer inside the fuselage to the sensor head. Wind measurements are consistent between the UAS and the radiosonde measurements and demonstrate directly the ability of *LUCA* to operate in high wind speed. Furthermore, UAS wind measurements provide additional information about turbulence, since the wind vector is derived multiple times per second. When comparing the measurements directly, one has to take in account that the radiosonde was launched 53 min after the UAS. A systematic deviation can be expected for higher altitudes due to the drifting of the radiosonde with the wind, therefore towards North-East, which resulted in a spatial distance of 40 km from the launch point when reaching the altitude of 10 km.

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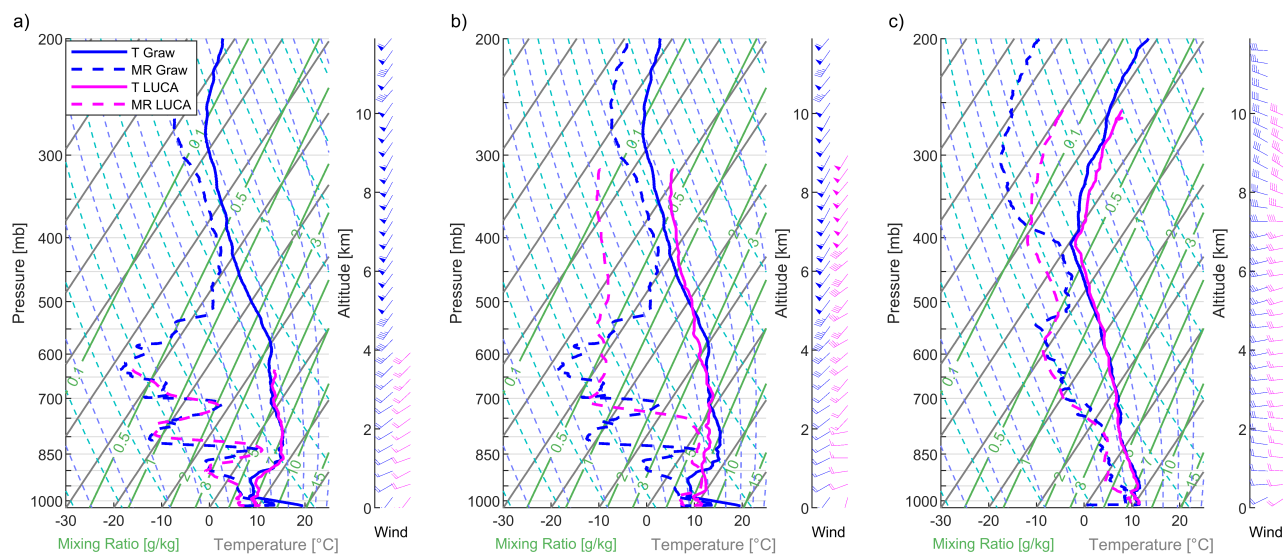


Figure 5. Skew-T Log-p diagrams of the vertical profiles of temperature (solid lines), dew point temperature (dashed lines) and wind (wind barbs) measured with *LUCA* in magenta and for cases a) and c) with the simultaneously launched radiosonde in blue. In a), the launch time of *LUCA* was 09:21 UTC on 25 October 2021, the radiosonde on the same day at 10:07 UTC. In b), the UAS was launched at 12:12 UTC on 25 October 2021 and the same radiosonde as for a) was used. In c), *LUCA* was launched at 08:38 UTC on 26 October 2021, and the corresponding radiosonde was released at 09:11 UTC.

3.3 Potential for covering data gaps with UAS based on atmospheric dynamics

The UAS has the capability of measuring frequently, e.g. hourly. More frequent observations increase the forecast quality (Facani et al., 2009; Dabberdt et al., 2005), as special atmospheric features like the diurnal cycle can be resolved. The required frequency of measurements strongly depends on the temporal variability of the atmosphere, which is highly variable for different altitude ranges, and different for each meteorological parameter. In the following, the temporal variability of temperature, wind speed and relative humidity up to 35 km is investigated based on ERA5 reanalyses.

To describe the dynamics of the atmosphere at a specific location and altitude for one parameter, time series of the parameter can be transformed from the time domain into the frequency domain (Fiedler and Panofsky, 1970; Vinnichenko, 1970) e.g. by applying a Fourier transformation. Mapping the results in the frequency domain for every altitude on colors and stacking them over the corresponding altitudes reveals the height dependent variance of an atmospheric parameter on different time scales (herein, the time scale is denoted by cycles per day). E.g. a bright spot for the variable temperature at 1 cycle per day (period 24 hr) close to the surface reveals the diurnal cycle of the temperature in the boundary layer (forced by the sun). Such an analysis of temperature, humidity and wind speed is shown in Figure 6 for the location of the Lindenberg Meteorological Observatory of the German Weather Service using hourly ERA5 reanalysis data (Hersbach et al., 2018, 2020) for a timespan of one year.

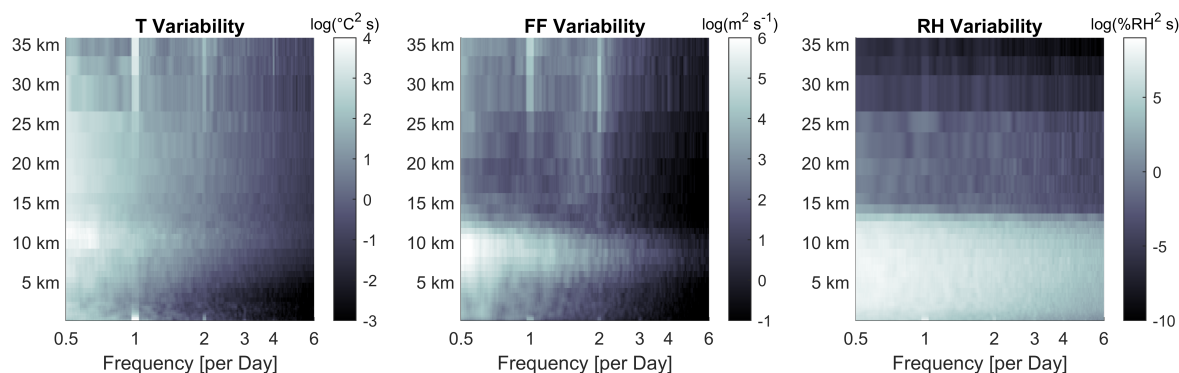


Figure 6. Color coded mesoscale (periods from 1 to 48 hr (Fiedler and Panofsky, 1970)) variance densities of time series of atmospheric variables at specific pressure levels (heights). The variances are representative for the atmospheric variability dependant on height and cycles per day (1 cycle per day corresponds to a period of 24 hr, 2 cycles per day to 12 hr). The data for this analysis origins of the ERA5 reanalysis product. Bright colors indicate high variance density and hence high variability. a) represents temperature, b) represents wind speed, and c) represents humidity. In a), one can clearly see the diurnal cycle of temperature close to the surface and in the stratosphere through the bright lines at one cycle per day. The bright lines at two cycles per day shall not confuse the reader, as the analysis relies on decomposing the time signal in sinusoids with differing frequencies (cycles per day), and higher harmonics (natural products of the fundamental frequency) reveal the non-sinusoidal waveform of the diurnal cycle. Besides in the atmospheric boundary layer, increased variability can be seen around 10 km altitude for temperature, and, after a dip in activity, around 20 km. Interestingly, temperature variability at a cycle of 6 per day is low below 5 km altitude, pronouncing the importance of profiling the atmosphere to higher altitudes.

325 As one would expect, the atmospheric boundary layer experiences high temporal changes in temperature, wind speed and humidity. Besides the these diurnal variations in the lowermost region, temporal changes in temperature and wind speed are seen in the upper troposphere and the lower stratosphere. Processes in this layer ± 5 km around the tropopause (the virtual boundary between the troposphere and the stratosphere, e.g. Gettelman et al., 2011) are known as being important for global (mass) exchange (Holton et al., 1995). Humidity in contradiction varies highly from the ground up to the lower stratosphere
330 with no preference on timescales, representing a chaotic system.

In NWP, physical processes are modeled to predict a future atmospheric state. The modelling of these processes perform quite well on distinct timescales to reproduce the variability of the atmosphere. The need for observations can therefore not only be defined by the atmospheric variability to avoid undersampling, but rather has to be seen complementary to the stability of the modeled physical processes and regional data gaps. Specified by data users, the WMO provides data requirements for observation spacing and uncertainty (WMO, 2015b; Leuenberger et al., 2020), which interestingly differ only little between
335 the boundary layer and the free troposphere for both global and high resolution NWP, emphasizing the benefits of profiling the atmosphere vertically with a UAS up to approximately 10 km and above. Filling the in-situ observation gap both in the atmospheric boundary layer and the free troposphere likely results in much better forecast quality and even has the potential to further adjust and develop the modelling of the underlying physical processes.



340 4 Discussion and Conclusion

The in-situ data gap in the Global Observing System has been reviewed in the introduction. Experiments on the impact of vertical profiles on NWP suggest that in-situ observations of the complete tropospheric column in remote areas improve forecast quality, as well as more frequent sampling of the atmosphere would do so. Besides the effort in filling the observation gap in the near-surface boundary layer with UAS (Pinto et al., 2021; Leuenberger et al., 2020; Inoue and Sato, 2022), the data gap
345 with UAS measurements in the free troposphere and the lower stratosphere has not been addressed - the technology of small UAS has not yet been ready for observing at such high altitudes (Geerts et al., 2018; Pinto et al., 2021).

This article presents atmospheric soundings up to 10 km altitude using an electrically powered fixed-wing drone. The developed system demonstrates the capability of fixed-wing UAS to perform tropospheric soundings, and measurements with a basic atmospheric sensor package were conducted. The UAS measurements of temperature, humidity and wind reported here
350 generally agree with temporally and spatially coinciding radiosonde measurements. Minor drawbacks in the measurements occurred as expected due to the simple sensor setup.

Moisture is generally the most challenging parameter of in-flight atmospheric observations, which demands significant post-processing. This is also a known issue for standard radiosonde systems where various corrections need to be applied as well (Dirksen et al., 2014). Using a more sophisticated measurement package, standard radiosonde accuracy is expected to be
355 reached or even surpassed. By design, the UAS technology bears the pivotal advantage of re-using sensors and the possibility of pre- and post-flight calibration.

More advanced sensor techniques that are available could easily be integrated into this platform. This enables to extend the measured parameters or focus on high quality measurements of basic atmospheric parameters, e.g. by using dew point mirrors for humidity (Fujiwara et al., 2003) or fine wire temperature sensors with sophisticated shielding and protection (Stickney et al.,
360 1994; Bärffuss et al., 2018). Sensor limitations and challenges are known, the needs regarding data management (Wyngaard et al., 2019) are not addressed within this article.

The system *LUCA* was designed to reach its target altitude (10 km) in conditions with continuous wind speed of up to 28 m s^{-1} (54 kts) which results in a minimum flight speed of 18 m s^{-1} . In order to ensure the aforementioned operability, *LUCA* was constructed with suitable ground systems for take-off and landing. The mechanical start catapult enables robust starts, even in
365 challenging conditions of high wind speed and virtually zero horizontal visibility. The landing net was designed for an automated landing manoeuvre, which allows for landings during high surface wind and low visibility conditions.

As for now, *LUCA* does not incorporate measures to actually prevent icing, but features a dedicated sensor to detect icing. This enables save operations of the flights, but limits the operability significantly. In future developments this issue needs further attention.

370 The reported flights that were carried out demonstrate the general suitability of the technology for the envisaged purpose, explicitly covering rather challenging environmental conditions. However, systematic and extensive tests in adverse weather still need to be performed in the future. Nevertheless, the system *LUCA* was successfully operated above the design wind speed and through closed cloud layers.



Receiving permissions for UAS operations beyond visual line of sight is a demanding prerequisite for atmospheric measure-
375 ment systems like *LUCA*. With its design mass of 5-6 kg *LUCA* is fairly light compared to crewed aircraft, but significantly
heavier than typical radiosondes. Hence, the operational risk is an issue. By further miniaturisation of the system, both air and
ground risk can be reduced, and hence is expected to simplify the process of granting permissions.

Compared to existing in-situ observing systems, the vertical profiles are similar to radiosonde ascents and descents (in some
NWP centers, radiosonde descents are already assimilated, Ingleby et al., 2022) and aircraft based observations close to air-
380 ports. Assimilation of UAS data in the BUFR format (Ingleby and Edwards, 2015; Ingleby et al., 2022) shall therefore be quite
straightforward compared to new and complex assimilation processes of e.g. radio occultation data (Eyre, 2008). In comparison
with observations from crewed aircraft, UAS typically operate at a lower airspeed, which tends to result in an increased wind
observation accuracy (Pätzold, 2018). Even though reaching 10 km can never replace the well established radiosonde network,
the system bears the chance to augment radiosoundings with more frequent UAS measurements. Furthermore, in the future
385 it might be feasible to setup atmospheric in-situ monitoring programs by combining profiling UAS as the one presented here
with solar powered semi-permanent systems in the stratosphere.

There are several possible applications and opportunities for the use of small UAS measuring the complete tropospheric column
and potentially the lower stratosphere - sampling the atmosphere with an increased number of observations per day with re-
usable individual sensors has to be highlighted here. Re-using sensors inevitably leads to substantial knowledge about stability,
390 strengths and flaws of an individual sensor, and bears the chance of increasing the ability to precisely specify the uncertainty of
observations, which is substantial for data assimilation. This effect is regularly observed regarding satellite sensors assessments
(Rennie et al., 2021).

The use of reference radiosondes to characterize the uncertainty of NWP models to improve satellite validation and calibration
is an application (Carminati et al., 2019), to which UAS measurements potentially can contribute. The quality of UAS obser-
395 vations for sampling the complete troposphere is of superior importance, and could possibly contribute to climate applications.
Regarding the involved variables, one finds that climate users tend to focus on temperature and humidity data (Ingleby et al.,
2022).

For NWP applications, wind has arguably more than twice the impact on the quality of short range forecast (Ingleby et al.,
2021). In order to augment and compete with the well established vertical profile observations (radiosondes, ABO) and being
400 used operationally, a UAS must be operable by station staff of existing atmospheric observatories. Furthermore, the system
needs to cope with a variety of challenging environmental conditions, including high wind speed, poor surface visibility or
icing during the flight.

Targeted observations were discussed controversially, as their impact strongly depends on the assimilation scheme and the
NWP system (Schindler et al., 2020). Unquestionable is the use of UAS measurements in contributing to scientific campaigns
405 and the resulting reduction of cost and waste when used to replace frequent radiosoundings during intense observation periods.
Envisaged extensive test campaigns (like the WMO UAS Demonstration Campaign, WMO, 2022) are needed to assess the
impact of UAS in forecast skills and shall increase and demonstrate the reliability of *LUCA* performing reliable and safe tro-



ospheric profiling.

410 *Data availability.* The data will be published at PANGAEA. Similar data sets obtained with *LUCA* up to an altitude of 4.5 km are already publicly available (Bärfuss et al., 2021a) together with radiosonde data obtained during parallel launches (Bärfuss et al., 2021b).

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