- 1 Solar occultation measurement of mesospheric ozone by
- 2 SAGE III/ISS: Impact of variations along the line of sight
- 3 caused by photochemistry

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- 10 Abstract. Twilight gradients in the concentration of atmospheric species with short
- 11 photochemical lifetimes influence the transmission data obtained in a solar occultation
- instrument like the Stratospheric Aerosol and Gas Experiment III aboard the International Space
- 13 Station (SAGE III/ISS). These photochemically induced changes result in nonlinear asymmetries
- in the species distribution near the tangent altitude along the line of sight (LOS). The bias
- introduced by neglecting the effects of twilight variations in the retrieval of mesospheric ozone is
- 16 the focus of this study. O₃ in the mesosphere exhibits large variations near the terminator during
- sunrise and sunset based on current understanding of the photochemistry of this altitude region.
- 18 The algorithm used in the SAGE III/ISS standard retrieval procedure for mesospheric ozone does
- 19 not include the effects of these gradients. This study illustrates a method for implementing a
- 20 correction scheme to account for the twilight variations in mesospheric O₃ and gives an estimate
- 21 of the bias in the standard retrieval. We use the results from a diurnal photochemical model

conducted at different altitudes to develop a database of ratios of mesospheric O₃ at different solar zenith angles (SZA) around 90° to O₃ at a SZA of 90° for both sunrise and sunset conditions. These ratios are used to scale the O₃ at levels above the tangent altitude for appropriate SZA in the calculation of the optical depth along the LOS. In general, the impact of the corrections due to twilight variations is to increase the contribution of the overlying layers to the optical depth thereby reducing the retrieved O₃ concentration at the tangent altitude. We find that at sunrise the retrieved mesospheric O₃ including the diurnal corrections is lower by more than 30% compared to the archived O₃. We show the results obtained for different latitudes and seasons. In addition, for nearly collocated sunrise and sunset scans, we note that these corrections lead to better qualitative agreement in the sunrise to sunset O₃ ratio with the photochemical model prediction.

1 Introduction

The solar occultation measurement technique has been the workhorse among various methods used for monitoring the composition of the earth's atmosphere for over 4 decades. This is evidenced by many successful experiments such as SAGE, SAGE II, Halogen Occultation Experiment (HALOE), Atmospheric Trace Molecule Spectroscopy (ATMOS), Atmospheric Chemistry Experiment – Fourier Transform Spectrometer (ACE-FTS), Polar Ozone and Aerosol Measurement (POAM), SAGE III/M3M and SAGE III/ISS. Major advantages of this technique include high signal to noise ratio, high vertical resolution, and long-term accuracy provided by the 'self-calibrating' nature of the instrument operation. Limited global coverage ranks high among the disadvantages of this method. In the occultation experiments, the absorption of solar

radiance measured by the instrument as a function of tangent height altitude or pressure is related to the optical depth and hence the abundance of the species along the line of sight (LOS). The bulk of the absorption, in general, occurs around the tangent point because of the exponential decrease in atmospheric density with altitude and due to the slant path determined by the spherical geometry. Algorithms used in standard retrievals assume that the species distribution in atmospheric layers is homogeneous and, therefore, the variation along the LOS is symmetrical around the tangent point location. The column along the LOS is then made up of species concentrations at the tangent altitude and the layers above corresponding to a SZA of 90°. This assumption is quite valid for species such as CH₄, H₂O, and stratospheric O₃ because of their long photochemical lifetimes and the absence of chemically induced diurnal variations. In the case of species with short lifetimes, the sudden changes in the photolysis rates near day/night terminator trigger rapid variations in the concentration as a function of SZA. These variations result in nonlinear asymmetry along the LOS. In this case, the column along the LOS is made up of species concentration at a SZA of 90° at the tangent altitude and those from the layers above at SZA different from 90° on either side of the tangent point.

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The influence of twilight variations in NO and ClO on the interpretation of solar occultation measurements was described by Boughner et al. (1980). Correction factors based on photochemical models, as discussed in the above study, have been routinely applied in the retrievals of stratospheric NO and NO₂ profiles in HALOE (Gordley et al., 1996; Russell et al., 1988) and in ATMOS (Newchurch et al., 1996). Brohede et al. (2007) described the role of diurnal variations in the retrieval of NO₂ from OSIRIS measurements. The algorithm used in the retrieval of NO₂ in SAGE, SAGE II, SAGE III/M3M, and SAGE III/ISS neglects the twilight

variations. A recent study of the NO₂ retrieval from SAGE III/ISS by Dubé et al. (2021) describes the importance of considering the diurnal variations along the LOS.

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Mesospheric O₃ is also characterized by short photochemical lifetimes and steep twilight gradients and, therefore, it is a potential candidate species requiring appropriate corrections in a retrieval from solar occultation instruments. Natarajan et al. (2005) noted that the diurnal correction factors used in the retrieval of mesospheric ozone from HALOE (Version 19) needed to be updated. They derived new factors from a diurnal photochemical model of mesospheric ozone and illustrated the impact of the corrections using a small subset of retrieved HALOE mesospheric O₃ profiles. In the present study, we describe the application of similar corrections to the SAGE III/ISS retrieval of mesospheric O₃. Table 1 of the Data Product User's Guide for SAGE III/ISS (2021) lists the release status of mesospheric O₃ data as a Beta version that is yet to be validated, because it is still potentially impacted by spectral stray light within the instrument. Our goal is to quantify the impact of the corrections on the archived data and to see whether the changes can support other known criteria. A description of the mesospheric O₃ variations under twilight conditions as calculated with a diurnal photochemical model is given in section 2. The occultation geometry and the diurnal correction factors for mesospheric O₃ are described in section 3. Results from the application of the factors to correct the archived data are discussed in section 4. We also include the results from an approximate retrieval using the archived transmission data with and without diurnal corrections. A comparison of zonally averaged O₃ profiles with scaled data for the same period from the Microwave Limb Sounder (MLS) instrument on Aura satellite is described in the next section. This is followed by a discussion of sunrise to sunset mesospheric O₃ ratios using appropriate collocated scans and a

comparison to theoretical values. The final summary section reiterates the importance of corrections for photochemically induced twilight mesospheric O₃ variations in solar occultation retrievals.

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2 Mesospheric O₃ variations at sunrise/sunset

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We use a time-dependent, one-dimensional photochemical model to obtain the diurnal variation in mesospheric O₃. A detailed description of the model used in this study is given in Natarajan et al. (2005). This version of the model extends from 56 km to 100 km at 1 km intervals. The photochemical reaction scheme, shown in the Appendix, includes reactions involving species from the Oxygen, Hydrogen, and Nitrogen families. Chlorine and Bromine reactions do not play a significant role in this region of the atmosphere. The adopted chemical rate constant data are from the JPL Publication 19-5 (2020). The diurnal model does not use a family approximation and reactive species O, O₃, N, NO, NO₂, H, OH, HO₂, and H₂O₂ are considered as independent variables. The concentrations of long-lived species are constrained by the results from a twodimensional chemical transport model (CTM) (Callis et al., 1997). Diffusion coefficients from the CTM are used to parameterize the vertical transport. The diurnal model uses a variable time step, variable order stiff equation solver (Byrne and Hindmarsh, 1975) to integrate the system of species continuity equations. The maximum time step is 600 seconds, and the algorithm automatically reduces the time step to very low values if needed. The model is run for 4 diurnal cycles so that the reactive species reach a steady diurnal behavior, and the results from the fifth cycle are used in the analysis. The model is run for every month at 11 latitudes, corresponding to the latitude nodes of the CTM, from 56.25° N to 56.25° S at an interval of 11.25°.

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Calculated O₃ diurnal variation in June at the latitude of 11.25° S and at different altitudes of interest to this work is illustrated in Fig.1. We are restricting our attention to altitudes below 74 km because the SAGE III/ISS O₃ data are noisy in the region above and the quoted uncertainty is also large. O₃ concentration is shown as a function of time starting at midnight. Nighttime O₃ has a constant value representing the total odd oxygen in the lower mesosphere. A sharp decrease at sunrise is mainly caused by photolysis of O₃ forming atomic oxygen. The recombination of atomic oxygen and O₂ quickly balances the loss of O₃ from photolysis. This reaction is pressure dependent and becomes slower at higher altitudes. The photolysis of O₂ generates additional odd oxygen ($O_X = O + O_3$) and in the morning hours this leads to an increase in both O_X and O₃. The formation of odd hydrogen species from the reaction of O(¹D) with H₂O during the day triggers the catalytic destruction of odd oxygen through reactions involving OH. It is noted that between 50 and 80 km the chemical time constant of O_x is of the order of few hours and O_x exhibits a diurnal variation caused by the competing production and destruction reactions. In the early morning there is a net gain of O_X and in the evening, there is net loss of O_X, which continues even after sunset until atomic oxygen is depleted. The partitioning of O_X into O and O₃ is mainly controlled by the photolysis of O₃ and the production of O₃ through the recombination of O and O₂. The large increase in O₃ seen around sunset is mainly due to the decrease in the photolysis of O₃ and the continuation of the recombination of O and O₂. O₃ reaches a steady value within an hour or so after sunset. The diurnal model extends to 100 km; however, since the quoted uncertainty above 70 km in the archived SAGE III/ISS O₃ is large, we will focus on the region below.

The results of the full diurnal cycle are of general interest about the model simulation. But, with reference to solar occultation measurements, the sharp gradients seen in the O_3 concentration near SZA of 90° are more critical. The significance of the twilight variations to the retrieval of mesospheric O_3 under sunrise/sunset conditions can be understood with the help of the schematic shown in Fig.2. This illustrates the occultation geometry in the plane containing the LOS. The red line denotes the LOS at a tangent altitude of Z_T . Points F and N represent the intersection of the LOS with an atmospheric layer at an altitude of Z shown in green. For a species with little or no twilight variations, the concentrations at the locations F and N are nearly equal to that at the location U, the tangent point at an altitude of Z. In this case, the concentrations at tangent height Z_T can be derived in a straightforward manner from the measured transmission using a retrieval algorithm. However, if the photochemistry causes significant gradients near SZA of 90° , as in the case of mesospheric O_3 , the distribution around the tangent point becomes nonlinearly asymmetric because the concentrations at F and N depend on the respective local SZA. This variation must be incorporated in the evaluation of O_3 specific optical depth along the LOS.

To illustrate the impact of diurnal variations on slant-path column of O₃, we selected a typical event from the SAGE III/ISS data and applied the calculated O₃ variations in the slant-path column evaluation. The required parameters include month, date, event type (sunrise or sunset), tangent altitude, latitude, longitude, spacecraft latitude and longitude. These data are taken from the current Version 5.2 SAGE III/ISS data available from the Atmospheric Sciences Data Center (ASDC) at NASA Langley Research Center. We used the model results for June at 11.25° S latitude to get the O₃ variation at sunrise along the LOS corresponding to different tangent altitudes from 56 to 76 km. The latitude of the chosen SAGE III/ISS measurement is 11.35° S.

The O₃ concentration along the LOS for tangent altitude of 64 km is shown as a function of distance along the LOS relative to the tangent point in the left panel of Fig.3. The dotted line corresponds to the O₃ concentration along the LOS when the diurnal variations are neglected and only the values corresponding to 90° SZA from the layers above the tangent altitude are used. The solid line represents the O₃ including the diurnal variations at the respective altitudes. The increased O₃ concentrations on the instrument side of the LOS are readily seen. The ratio of the O₃ column along the LOS with diurnal variations to the column without the diurnal variations is shown as a function of tangent altitude in the panel on the right side. The peak difference of the order of 30% occurs in the altitude range from 61 to 72 km. Underestimation of the partial O₃ slant-path column from layers above the tangent altitude in the standard retrieval translates to overestimation of the retrieved O₃ at the tangent altitude. The bias introduced by the neglect of twilight variations can be evaluated with the help of the diurnal model results.

The technique is to express the O_3 variation as a function of SZA in terms of concentration normalized to O_3 at SZA of 90°. Figure 4 shows the distribution of the ratio $O_3(\theta)/O_3(\theta=90^\circ)$ near sunrise as a function of SZA and altitude obtained from the model results for 11.25° S latitude in June. For a given tangent height, the total slant-path O_3 column comprises of partial slant-path columns corresponding to the layers at and above the tangent height. Spherical geometry dictates that the partial pathlength along the LOS is maximum for the layer immediately above the tangent height (i.e., the lowest layer) and decreases dramatically for higher layers. This, combined with decreasing O_3 concentration with height in the lower mesosphere, results in a total slant-path column dominated by contributions from a few layers right above the tangent point. Therefore, only a small range of SZA, say between 86° and 94°,

centered at 90° are important. At 62 km the O₃ ratio is less than 1.0 for SZA less than 90° and it increases gradually for SZA greater than 90°. At higher altitudes, the ratio shows a much steeper increase for SZA greater than 92°. The ratio, in some cases, is even slightly larger than 1.0 at SZA less than 90°. From the occultation geometry shown in Fig.2, it is seen that as one moves away from a SZA of 90° along the LOS at any tangent altitude, the corresponding altitude layer of interest moves upwards. Figure 5 illustrates the O₃ twilight ratio as a function of SZA and altitude for sunset conditions for the same latitude and month. The changes in the ratio for sunset condition are smaller and more gradual especially for SZA greater than 90° compared to the sunrise case. It should be recalled that the daytime variation in the odd oxygen concentration in the lower mesosphere impacts the O₃ concentration differently at sunrise and sunset. The differences between the O₃ variations for sunrise and sunset conditions suggest that the effects on the retrievals are different for sunrise and sunset occultations. The twilight O₃ ratios for altitude layers above the tangent altitude can be used to get the O₃ concentration and hence the optical depth along the LOS more accurately.

Mesospheric O₃ concentrations are influenced by reactions involving HO_x species and therefore the distribution of H₂O used in the model is an important factor. An earlier study with HALOE mesospheric O₃ data (Natarajan et al. 2005) using the results from the same CTM showed that the monthly, zonal mean H₂O distribution from the CTM was in good agreement with the data taken from the UARS reference atmosphere project. Linear trend in mesospheric H₂O and solar cycle response have been addressed in literature (Remsberg et al., 2018; Yue et al., 2019). Yue et al. (2019) report a trend in mesospheric H₂O of the order of 4 to 6% per decade based on the data from the Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) and

MLS instruments. Long term variability in H₂O certainly impacts the absolute level of mesospheric O₃. But, for the present study, the factor of importance is the relative variation of O₃ very close to SZA of 90° during sunrise and sunset in the mesosphere. We have done a sensitivity study at 11.25° S in June using the diurnal model with a 25% increase in the H₂O concentration. Figure 6 displays the percent change in the twilight O₃ ratios for sunrise shown in Fig.4. The maximum impact below 74 km is less than 20% and it is very small in the lower regions. The twilight ratio in O₃ is quite robust and small changes in the atmospheric parameters such as temperature and H₂O do not impact this ratio much. The use of this ratio is a valid approximation in correcting the retrieval scheme.

3 SAGE III/ISS Mesospheric ozone

The Sage III/ISS instrument payload was launched in February 2017 and successfully attached to the ISS. The ISS occupies a low earth orbit at an inclination of 51.64° that provides occultation coverage of low- and mid-latitude regions. Description of the experiment and early validation of the O₃ measurements are given in McCormick et al. (2020) and Wang et al. (2020). More detailed information on the various wavelength channels and data used for retrieving a suite of atmospheric species including mesospheric O₃ are given in SAGE III Algorithm Theoretical Basis Document (ATBD, 2002) and in the SAGE III/ISS Data Products User's Guide Version 3.0 (2021) (DPUG). Among the three different O₃ profile measurements made by the instrument, the one based on short wavelengths in the Hartley-Huggins bands refers exclusively to mesospheric O₃. Three Charge-Coupled Device (CCD) pixel groups (PGs 0-2) are assigned to the short wavelengths in the 280-293 nm range, though only one (PG 1 centered at 286 nm) is

currently used for the retrieval. According to the DPUG, mesospheric O₃ data have not been fully validated. We also note that the uncertainty in the archived O₃ concentration becomes larger than 10% above 70 km and there are some spurious negative data pointing to uncertainties in the transmission. The present study focusses only on SAGE III/ISS O₃ in the lower mesosphere up to an altitude of 70 km even though the retrieval itself starts at 90 km. The diurnal model described in the previous section extends up to 100 km. We use the Version 5.2 transmission and species data obtained from ASDC at NASA LaRC. For each year and month, we have categorized the scans according to event type, sunrise, or sunset. The input data for our analysis include the tangent point latitude and longitude, spacecraft latitude and longitude, vertical profiles of neutral density, mesospheric O₃, and transmission. We use only the transmission data from the science pixel group 1 (PG1), which has a center wavelength of 286.124 nm, since the predominant species active in this wavelength region is O₃. We have generated a database of O₃ twilight ratios for sunrise and sunset conditions from the diurnal model results. These ratios cover for each month the latitude range from 56.25° N to

56.25° S at an interval of 11.25°, SZA from 84° to 96° at 0.5° intervals, and altitudes from 56 to

90 km at 0.5 km intervals. Using the input data from each of the SAGE III/ISS occultations and

spherical geometry relations, for every tangent altitude we compute the SZA as well as partial

pathlengths corresponding to overlying layers. This generates a pathlength matrix like the one

used in the standard retrieval. Appropriate O₃ twilight ratios are then obtained by interpolation

using the SZA and layer altitude. Multiplication of the standard pathlength matrix by the O₃

ratios yields the modified pathlength matrix including the effects of diurnal variations.

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The twilight ratios can either be used to modify the O₃ profiles from the standard retrieval or be incorporated in a new retrieval from measured transmission profile. The first method is like the procedure described by Dubé et al. (2021) for making diurnal corrections to stratospheric NO₂ data from SAGE III/ISS. The archived SAGE III/ISS O₃ profile and the standard pathlength matrix are used to recreate the O₃ specific slant optical depth, as shown by the equation

$$\tau = \sigma S n, \qquad (1)$$

where τ is the O₃ slant optical depth profile, σ is the O₃ cross section corresponding to the center wavelength of PG1, and n is the O₃ profile from the standard retrieval. S represents the pathlength matrix with each row corresponding to a tangent point altitude. This can be written as a triangular matrix because of the geometric symmetry on opposite sides of the tangent point as can be seen from Fig.2. The slant optical depth can then be converted to a O₃ vertical profile corrected for diurnal variations using the modified pathlength matrix described earlier, as shown by the equation

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$$n_{wd} = (S_{wd})^{-1} \tau/\sigma = (S_{wd})^{-1} S n$$
 (2)

where S_{wd} is the modified pathlength matrix with diurnal correction and n_{wd} is the corrected O₃ profile. Here it is assumed that the O₃ absorption coefficient remains constant along the LOS. This procedure gives a quantitative estimate of the over-prediction by the standard retrieval. The results for a sunrise event on June 14, 2021 (Event ID =2021061438SR) are shown in Fig.7. The left panel displays the O₃ concentration profiles – the solid red line is the archived data from standard retrieval and the solid black line represents the profile after applying the diurnal correction ratios to the pathlength matrix. The percent difference between the standard and the modified profiles is shown by the solid line on the right panel. For this occultation, the difference exceeds 40% above 64 km. This is consistent with the change in O₃ slant column due to the

diurnal correction shown in Fig.3. We also note that the retrieval becomes noisy in the upper altitudes as O₃ concentrations reach near detection limits. In the second method, instead of evaluating the slant optical depth using Eq. (1), the archived slant-path transmission data, which corresponds to PG1, is used along with the standard and modified pathlength matrices to retrieve the vertical O₃ profiles. The change in the slant-path transmission corresponding to the science CCD channel PG1 for each tangent altitude below an upper boundary of 90 km is related to the total slant optical depth made up mainly of O₃ absorption and Rayleigh scattering contributions. After removing the Rayleigh scattering part corresponding to the center wavelength of 286.124 nm, the slant-path O₃ column can be estimated using the O₃ absorption coefficient at this wavelength taken from Bogumil et al. (2003), which is the same database used in the SAGE retrieval algorithm. The standard and modified pathlength matrices are then used to get the vertical O₃ profiles without and with corrections for diurnal variations respectively. The retrieved O₃ profiles for the sunrise event mentioned earlier are given by the dashed lines on the left panel of Fig.7, the red color denoting the standard retrieval without diurnal corrections and the black color the modified retrieval with diurnal corrections. We have used a very simple algorithm and assumed that the transmission data corresponds to a single wavelength to simplify the calculation. The actual retrieval procedure used for the archived products may have included more refinements. The agreement between results of the two different methods is very good, both for the vertical O₃ profiles and for the percent differences. Results for a sunset event, closer to the above sunrise event in location and within a day (Sunset event ID = 2021061515SS) are shown in Fig.8. The impact of the diurnal correction is much smaller for sunset conditions. The maximum difference between the standard and modified profiles is less than 10%. The two different procedures for incorporating diurnal effects yield very nearly same results.

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298 299 We have applied the diurnal corrections following the procedure described above to all the 300 SAGE III/ISS measurements from June 2021, categorized by the event type of sunrise or sunset. Individual O₃ profiles were grouped together in 11 latitude bands, 11.25° wide between 56.25° N 301 and 56.25° S. The percent difference between the standard retrieval profile and the 302 303 corresponding modified profile, defined as $(O_3/O_3, w_D - 1) *100$, was calculated and the mean for 304 each latitude band was evaluated. The subscript WD refers to the retrieval including the diurnal 305 corrections. Figure 9 shows the resulting distribution of the mean, which represents the over-306 estimation by the standard retrieval, as a function of latitude and altitude. There is a latitudinal dependence with peak values occurring near 64 km and the summer hemisphere showing smaller 307 308 difference. Values higher than 100% (dark violet region) are seen in the upper altitudes of the 309 winter hemisphere. The O₃ profile has a sharp gradient reaching a very low minimum in winter 310 between and 70 and 80 km. The retrieved data in this region are very noisy and thus sometimes 311 include negative concentrations. The percent difference between the two retrievals also displays 312 a very noisy distribution with large values of both signs. At altitudes above 85 km, the day-night terminator occurs at solar SZA greater than 96° and O₃ variation around 90° is small. The bias in 313 314 the standard retrieval (not shown) is also small and there is no need for diurnal correction. The 315 distribution of percent differences for sunset measurements is shown in Fig. 10. The values are 316 much smaller as discussed earlier, since the diurnal corrections are not significant for sunset. To look at the seasonal dependence of the impact of diurnal corrections on the retrieved O₃, we have 317 repeated the procedure with SAGE III/ISS data from January 2021. Figure 11 displays the results 318

for sunrise conditions. The differences between the standard and modified retrievals are larger

again in the winter hemisphere with peak values occurring near 64 km. This agrees qualitatively

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with Fig.11 of Natarajan et al. (2005), which showed the percent difference in retrieved HALOE sunrise O₃ for January 1995 with updated diurnal correction factors compared to the retrieval with HALOE version 19 correction factors. The archived HALOE version 19 retrieval used correction factors from a diurnal calculation at 61 km for all mesospheric tangent altitudes above. Since a partially corrected (version 19) retrieval was used as the basis, the contour levels are negative and smaller in magnitude.

4 Comparisons with other measurements

It is of interest to see whether the correction to the retrieval of mesospheric ozone described above can be validated by comparisons with other independent measurements. Mesospheric ozone mixing ratios at SZA of 90° during sunrise and sunset have been measured by other solar occultation experiments like HALOE and ACE-FTS. HALOE version 19 retrievals use correction factors based on diurnal model calculation near the stratopause. An update to these correction factors was discussed in Natarajan et al. (2005) but a modified version of the full ozone dataset was not generated. As far as we know, the retrieval scheme of ACE-FTS does not use any correction for twilight variations of mesospheric ozone. It should be emphasized that comparisons with data from other solar occultation experiments do not necessarily provide a robust independent validation of the need to make such corrections to reduce the bias in the measurements.

MLS aboard the Aura satellite also provides vertical profiles of O₃ extending into the mesosphere. MLS measurements occur twice a day, once in the early afternoon and the other past midnight. Strode et al. (2022) have used the MLS data scaled with factors derived from Goddard Earth Observing System (GEOS) model coupled with the Global Modeling Initiative (GMI) chemistry mechanism for comparisons with SAGE III/ISS O₃ in the stratosphere. We have done similar comparisons for a selected subset of the data in the lower mesosphere using the results from the mesospheric diurnal model described earlier. We limited our attention to the data in altitude range from 56 to 70 km. We used the information provided in the MLS-V5 data quality document (Livesey et al., 2022) to properly screen the O₃ data. The vertical resolution for MLS O₃ varies from 3 to 5.5 km in the lower mesosphere. The reported accuracy varies from 8% at 0.21 hPa to 40% at 0.02 hPa. We used the MLS V-5 O₃ profiles from a 11.25° latitude band centered at 11.25° S from June 13 to June 15 of 2021. The native units of MLS measurements are mixing ratios on pressure levels. We used the MLS temperature and geopotential height data to get O₃ concentrations on an altitude grid. We derived the mean and the standard deviation profiles for both day and night MLS measurements. Results from diurnal model calculations were used to convert MLS day and night measurements to SZA of 90 ° during sunrise and sunset conditions. Figure 12 shows the O₃ concentration at sunrise based on MLS night data by asterisks and that based on MLS day data by diamonds. The horizontal lines represent the standard deviations at different altitudes. We also obtained the mean and standard deviation profiles using SAGE III/ISS data from the same latitude band and period in June 2021 like the selected MLS data. The solid black line in Fig. 12 shows the mean sunrise profile from standard retrieval and the standard deviation is represented by the yellow color band. The dashed black line is the modified retrieval with the green band showing the standard deviation. The twilight

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corrections to the mesospheric O₃ retrieval brings the profile in better agreement with that derived from MLS day and night data. Above 68 km the MLS day measurements have large variability, and the standard deviation is larger than the mean. Figure 13 shows the comparison of the profiles for sunset conditions. The difference between the modified and the standard retrievals is much smaller for the sunset conditions compared to the sunrise condition. Overall SAGEIII/ISS mesospheric O₃ has a positive bias. The vertical resolution of SAGE III/ISS data is about 0.7 km which is finer than the MLS vertical resolution. We found that the application of the MLS O₃ averaging kernel to smooth the SAGE III/ISS data has a minimum impact on the comparison.

There have been several ground-based microwave measurements of atmospheric O₃ and its diurnal variations (Connor et al., 1994; Parrish et al., 2014; Sauvageat et al., 2022). The microwave radiometry (MWR) in Switzerland (Sauvageat et al., 2022) provides data temporally overlapping the SAGE III/ISS data. These data are from measurements made at 2 ground stations and they extend into the mesosphere. The vertical resolution of ground based MWR is very coarse in the lower mesosphere, about 17 km (Connor et al., 1994). Therefore SAGE III/ISS O₃ data should be convolved with the averaging kernels of MWR prior to comparisons. In addition, MWR provides hourly data and, unless the local measurement time coincides with SZA of 90° during sunrise and sunset, the data must be converted using factors based on diurnal model. We feel that comparison with MWR data is outside the scope of this paper.

5 Sunrise to Sunset Ratio

387 388 Brühl et al. (1996), in their paper on HALOE O₃ channel validation, discussed the sunrise to 389 sunset differences in O₃ around 0.1 hPa (about 64 km). Mesospheric layers are under sunlit 390 conditions even at SZA slightly greater than 90° at dawn and dusk. As explained earlier, the 391 viewing geometry in solar occultation observations leads to an increase in the contribution of overlying layers to the O₃ optical depth because O₃ concentrations corresponding to varying SZA 392 greater than 90° are seen along the LOS. We have noted that the impact is larger during sunrise 393 394 than sunset measurement. The sunrise to sunset O₃ concentration ratio becomes larger if the 395 diurnal variations along the LOS are not considered in the retrieval. Solar occultation experiments occasionally offer the opportunity to approximately check this ratio as a test of 396 397 consistency of measurement and agreement with theory. This is possible when sunrise and 398 sunset orbits cross over each other within a reasonably short interval of time and physical 399 proximity. Such near coincidences are quite rare. We selected sunrise/sunset pairs of 400 measurements by SAGE III/ISS having tangent locations within 1.5° latitude, and 15° longitude 401 of each other and separated by a maximum of 36 hours. The effect of advection by the prevailing 402 westerly wind requires that the time and longitude differences are in the correct direction. There 403 are just 10 pairs of sunrise /sunset measurements in June 2021 that satisfy the above criteria, all 404 of them in low latitudes with a mean latitude of 10.46° S at sunrise and 10.27° S at sunset. The mean of the sunrise to sunset ratios of O₃ concentrations from these scans is shown in Fig.14. 405 The solid line corresponding to the standard retrieval shows ratios greater than 1.1 above 60 km. 406

The green color shade represents the standard deviation. The modified retrieval yields a ratio

lines are the standard deviations. The asterisk symbols represent the ratio from the diurnal

shown by the dashed line decreasing from 1.01 at 60 km to lower values above. The horizontal

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model. The model value is in good agreement with the ratios from both the standard and modified retrievals near 58 km but above this altitude there is some difference. The variation with altitude in the model ratio is more like that shown by the modified retrieval. The modified retrieval qualitatively reflects the pattern that photochemistry of O₃ suggests in this altitude region. This comparison serves as an independent criterion to highlight the importance of including the LOS twilight variations in the retrieval of mesospheric O₃ in solar occultation measurements. We noticed that very few such pairs of measurements, which satisfied the criteria we have chosen, occurred during other months in SAGE III/ISS data. We have also looked at the latitudinally averaged sunrise and sunset data for June 2021 obtained for generating figures 9 and 10. For the latitude band centered at 11.25° S, the sunrise to sunset ratio as a function altitude (not shown) is like Fig.14, which used only collocated data. The small sampling size of the collocated pairs of data and regions of overlapping standard deviations seen in the Fig.14 make this at best an approximate comparison. Other independent measurements are needed to verify the altitude variation of the ratio of sunrise to sunset O₃ concentrations.

6 Summary

Photochemically induced changes in species concentration at twilight can cause asymmetries in the distribution along the LOS of a solar occultation observation, variations that must be considered in the retrieval algorithm. Prominent among the species that need corrections for twilight variations are NO and NO₂ in the stratosphere and O₃ in the mesosphere. The SAGE III/ISS instrument uses the measurements in the short-wave Hartley-Huggins band to get mesospheric O₃ profiles. The standard retrieval procedure does not consider the LOS variations

in O₃ caused by photochemistry. This study describes a procedure to use results from diurnal photochemical model simulations to develop correction factors for different altitudes, latitudes, and months. These factors were used along with the archived SAGE III/ISS mesospheric O₃ data for selected time periods to obtain modified O₃ profiles. For the month of June 2021, it is shown that neglecting the diurnal variations can result in nearly 50% overestimation of O₃ at 64 km at lower latitudes. An approximate retrieval using the transmission data from SAGE III/ISS also indicates similar behavior in the profiles obtained with and without diurnal corrections. The retrievals were repeated for January 2021 to study the seasonal impact. Larger differences are generally seen near 70 km in high latitude winter hemisphere, and this is most likely due to a combination of very low O₃ concentrations, large twilight correction factors, and large uncertainties in the data. The results from this study are in good agreement with those obtained for the retrieval of HALOE mesospheric O₃ data.

SAGE III/ISS data include a few nearly collocated sunrise and sunset measurements, mostly in the low latitudes and about a day apart. There are 10 pairs of such sunrise and sunset measurements in June 2021. An analysis of the sunrise to sunset ratio profile from these data indicates that the retrievals that include the diurnal variations show qualitatively better agreement with theoretical prediction.

Appendix

Photochemical reactions considered in the mesospheric diurnal model:

(1) O 456 O_2 hυ O ++ \rightarrow 457 O_3 O O_2 (2) +hυ + \rightarrow $O(^{1}D) +$ O_2 458 (3) O_3 +hυ \rightarrow NO_2 NO 459 (4) $h\upsilon$ O + \rightarrow 460 H_2O (5) OHΗ $h\upsilon$ + \rightarrow + H_2O_2 + ОН 461 (6) OH $h\upsilon$ + \rightarrow 462 NO O (7) +hυ \rightarrow N + H_2O 463 (8) $h\upsilon$ H_2 O + \rightarrow O(1D) + (9) O_2 O + O_2 464 \rightarrow O(1D) + 465 (10) N_2 N_2 O + \rightarrow O(1D) + H_2O ОН 466 (11)OH \rightarrow +O 467 (12)M O_2 O M ++ \rightarrow +(13) O O_2 M O_3 M 468 ++ \rightarrow +469 (14) O O_3 O_2 O_2 + \rightarrow +470 OH(15)O Н O_2 ++ \rightarrow 471 O HO_2 ОН O_2 (16)++ \rightarrow NO_2 472 O NO O_2 (17)+ \rightarrow

473	(18)	Н	+	O_2	+	M	\rightarrow	HO ₂ +	M
474	(19)	O ₃	+	ОН	\rightarrow	HO ₂	+	O_2	
475	(20)	O ₃	+	NO	\rightarrow	NO ₂	+	O_2	
476	(21)	O ₃	+	Н	\rightarrow	ОН	+	O_2	
477	(22)	ОН	+	HO ₂	\rightarrow	H ₂ O	+	O_2	
478	(23)	HO ₂	+	NO	\rightarrow	ОН	+	NO ₂	
479	(24)	ОН	+	H_2O_2	\rightarrow	H ₂ O	+	HO ₂	
480	(25)	HO ₂	+	HO ₂	\rightarrow	H_2O_2	+	O_2	
481	(26)	HO ₂	+	O ₃	\rightarrow	ОН	+	2 O ₂	
482	(27)	O(1D)	+	H_2	\rightarrow	ОН	+	Н	
483	(28)	N	+	O_2	\rightarrow	NO	+	О	
484	(29)	N	+	NO	\rightarrow	N_2	+	0	
485	(30)	N	+	NO ₂	\rightarrow	N_2O	+	0	
486	(31)	Н	+	HO ₂	\rightarrow	ОН	+	ОН	
487	(32)	Н	+	HO ₂	\rightarrow	H ₂ O	+	O	
488	(33)	Н	+	HO ₂	\rightarrow	H_2	+	O_2	
489	(34)	ОН	+	H ₂	\rightarrow	H ₂ O	+	Н	

490 **Data Availability** 491 SAGE III/ISS version 5.2 data is available from https://asdc.larc.nasa.gov/project/SAGE%20III- 492 ISS/g3bssp 52. MLS O₃ data are available from https://disc.gsfc.nasa.gov/. O₃ twilight ratios 493 used in this study are available from the author. They can also be obtained from any diurnal 494 photochemical model of the mesosphere. 495 **Author Contribution** 496 497 MN conducted the photochemical model calculations, SAGE III/ISS O₃ retrievals, and the 498 analyses described in the study, and he wrote the manuscript. RD and DF provided information 499 and guidance on the use of SAGE III/ISS mesospheric O₃ data as well as comments on the 500 manuscript. 501 502 **Competing Interests** 503 The authors declare that there is no competing interest for this study. 504 505 Acknowledgements 506 SAGE III/ISS data used in this study were obtained from the NASA Langley Research Center 507 Atmospheric Science Data Center. MN carried out this work while serving as a Distinguished 508 Research Associate of the Science Directorate at NASA Langley Research Center. MN thanks 509 Ellis Remsberg for reading and commenting on the draft version of this manuscript. 510 511 512

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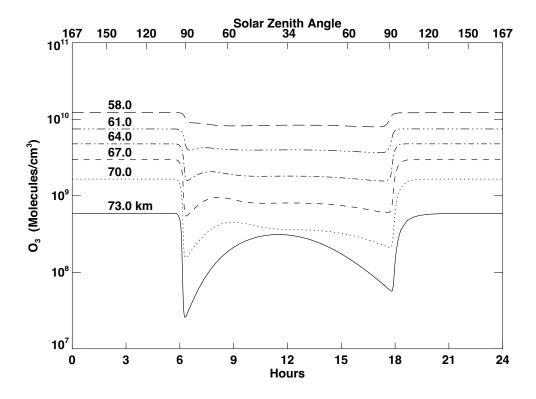
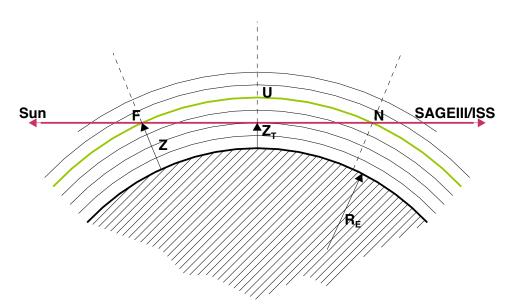


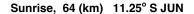
Figure 1. Diurnal variation in O₃ at 11.25° S in June at altitudes from 58 to 73 km. 0 hours denote midnight. The upper X axis shows the variation of SZA.

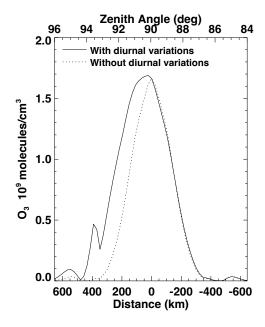
Solar Occultation Geometry



 \mathbf{Z}_{T} Tangent altitude, Z Altitude of a layer above the tangent path \mathbf{R}_{E} Earth radius

Figure 2. Schematic representation of the solar occultation measurement. Z_T is the tangent altitude, red line is the LOS, Z is the altitude of a layer above the tangent altitude, F (towards sun) and N (towards SAGE III/ISS) are the points of intersection of layer at Z with the LOS, and R_E is the earth radius.





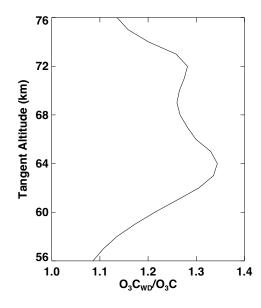


Figure 3. (Left) O₃ concentration along the LOS for a tangent altitude of 64 km at sunrise at 11.25° S latitude in June. Solid line shows O₃ with diurnal variations and the dotted line represents O₃ without diurnal variations. The X-axis represents the distance along the LOS relative to the tangent point with positive direction towards the instrument and negative direction towards the Sun. The upper axis shows the corresponding SZA. (Right) Ratio of the O₃ column along the LOS with appropriate diurnal variations to the O₃ column without diurnal variations, plotted as a function of altitude at 11.25° S in June.

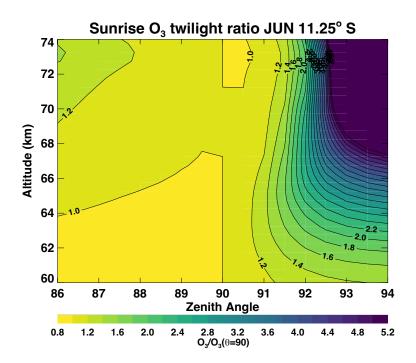


Figure 4. Ozone twilight ratio, defined as O_3 at solar zenith angle θ/O_3 at $\theta=90^\circ$, as a function of SZA and altitude for sunrise in June and 11.25° S latitude.

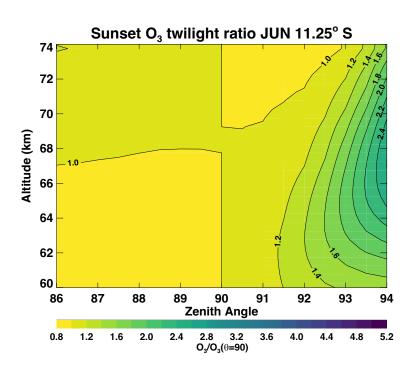


Figure 5. Ozone twilight ratio, defined as O_3 at solar zenith angle θ/O_3 at θ =90°, as a function of SZA and altitude for sunset in June and 11.25° S latitude.

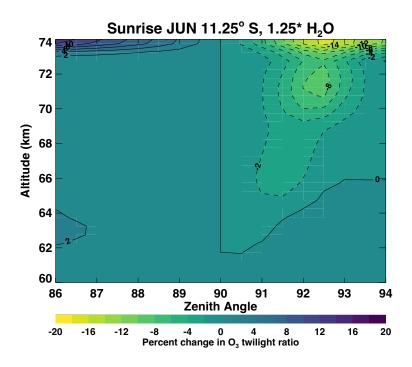


Figure 6. Percent change in the O₃ twilight ratio shown in Fig.4 when the H₂O in the diurnal model is increased by 25% at all altitudes. This figure corresponds to sunrise at 11.25° S in June.

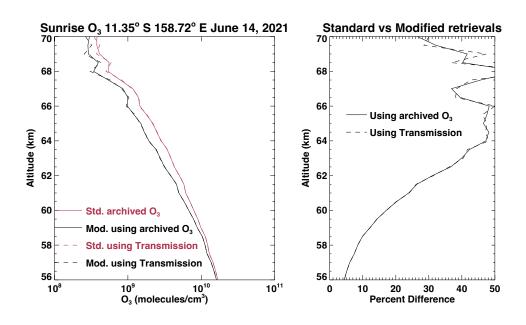


Figure 7. SAGE III/ISS O₃ for a sunrise event at 11.35° S and 158.72° E on June 14, 2021 (Event ID 2021061438SR). (Left panel) Red solid line shows the standard SAGE III retrieval, and the black solid line represents the retrieval including the diurnal variations along LOS. The dashed lines represent the retrievals using the transmission data, the red color for the standard retrieval and the black denoting the retrieval with diurnal corrections. (Right panel) Percent difference between the standard retrieval and the one with diurnal corrections; solid line using the archived standard retrieval of O₃ concentration, and the dashed line based on the approximate retrieval using the transmission data.

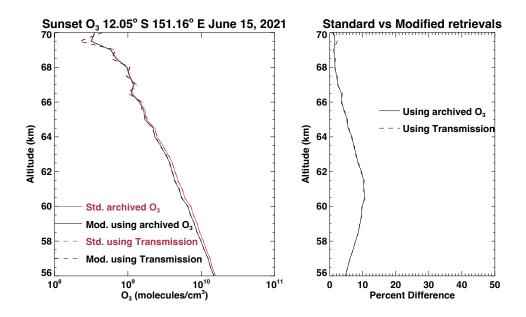


Figure 8. SAGE III/ISS O₃ for a sunset event at 12.05° S and 151.16° E on June 15, 2021 (Event ID 2021061515SS). (Left panel) Red solid line shows the standard SAGE III retrieval, and the black solid line represents the retrieval including the diurnal variations along LOS. The dashed lines represent the retrievals using the transmission data, the red color for the standard retrieval and the black denoting the retrieval with diurnal corrections. (Right panel) Percent difference between the standard retrieval and the one with diurnal corrections; solid line using the archived standard retrieval of O₃ concentration, and the dashed line based on the approximate retrieval using the transmission data.

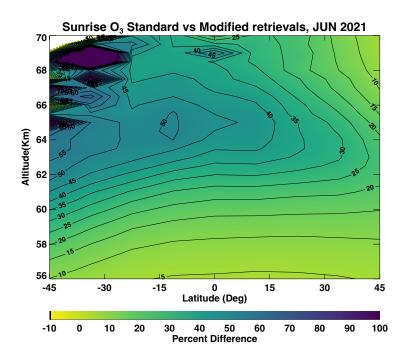


Figure 9. Latitudinal average of the percent difference in sunrise O₃ between the standard (archived) retrieval and a retrieval including diurnal variations along the LOS, as a function of latitude and altitude for June 2021.

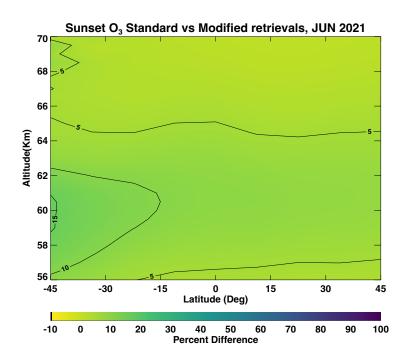


Figure 10. Latitudinal average of the percent difference in sunset O₃ between the standard (archived) retrieval and a retrieval including diurnal variations along the LOS, as a function of latitude and altitude for June 2021.

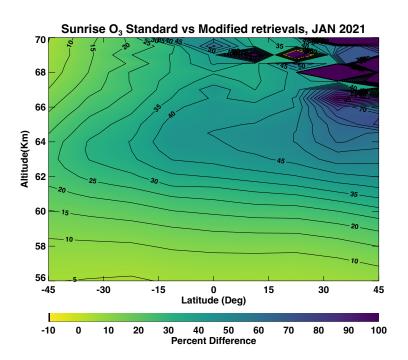


Figure 11. Latitudinal average of the percent difference in sunrise O₃ between the standard (archived) retrieval and a retrieval including diurnal variations along the LOS, as a function of latitude and altitude for January 2021.

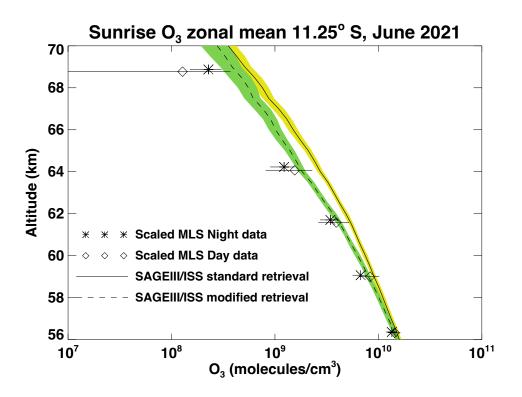


Figure 12. Comparison of sunrise SAGE III/ISS and MLS mesospheric O₃ zonal mean at 11.25° S in June 2021. Solid line – mean of SAGE III/ISS standard retrieval with the standard deviation shown by the yellow shade; Dashed line – mean of SAGE III/ISS modified retrieval with the standard deviation shown by the green shade; Asterisks – mean MLS night data scaled to sunrise; Diamonds – mean MLS day data scaled to sunrise; Horizontal lines represent the standard deviations.

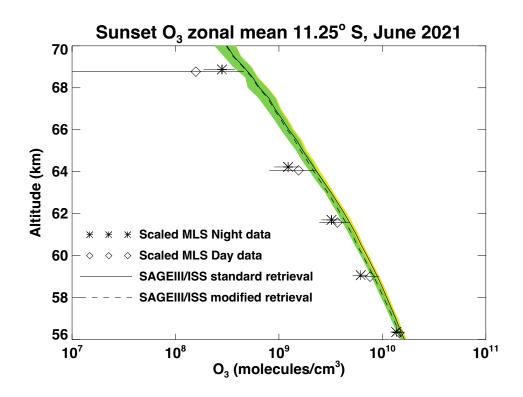


Figure 13. Comparison of sunset SAGE III/ISS and MLS mesospheric O₃ zonal mean at 11.25° S in June 2021. Solid line – mean of SAGE III/ISS standard retrieval with the standard deviation shown by the yellow shade; Dashed line – mean of SAGE III/ISS modified retrieval with the standard deviation shown by the green shade; Asterisks – mean MLS night data scaled to sunset; Diamonds – mean MLS day data scaled to sunset; Horizontal lines represent the standard deviations.

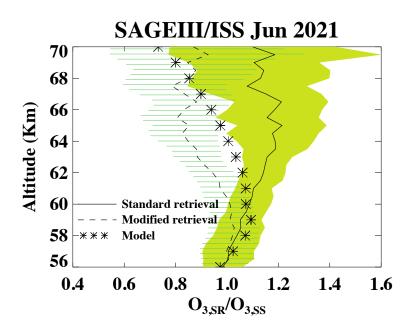


Figure 14. Vertical profile of O₃ sunrise to sunset ratio in June 2021. Nearly collocated 10 pairs of sunrises (mean latitude 10.46° S) and sunsets (mean latitude 10.27° S) data are used for this plot. Solid line shows the mean ratio from standard (archived) retrieval and the green shade represents the standard deviation; Dashed line shows the mean ratio from the retrieval including diurnal variations along the LOS and the horizontal lines represent the standard deviation. The asterisk symbols are the ratios from diurnal photochemical calculations at 11.25° S for June.