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**Near Global Distributions of Overshooting Tops Derived from Terra and Aqua MODIS Observations**

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**Abstract**

Overshooting cloud tops (OT) form in deep convective storms when strong updrafts overshoot the tropopause. An OT is a well-known indicator for convective updrafts and severe weather conditions. Here, we develop an OT detection algorithm using thermal IR channels and apply this algorithm to about 20-year MODIS data from both Terra and Aqua satellites to form an extensive, near global climatology of OT occurrences. The algorithm is based on a logistic model which is trained using A-Train observations. We demonstrate that the overall accuracy of our approach is about 0.9 when the probability of the OT candidates is larger than 0.9. The OT climatology reveals a pattern that follows the climatology of deep convection, as well as shallow convection over the mid-latitude oceans during winter cold air outbreaks. OTs appear most frequently over the Intertropical Convergence Zone (ITCZ), central and southeast North America, tropical and subtropical South America, southeast and south Asia, tropical and subtropical Africa, and northern middle-high latitudes. OT spatial distributions show strong seasonal and diurnal variabilities. Seasonal OT variations shift with large-scale climate systems such as the ITCZ and local monsoonal systems, including the South Asian Monsoon, North American Monsoon and West African Monsoon, *etc.* OT diurnal variations agree with the known diurnal cycle of convection: Maximum OT occurrences are in the afternoon over most land area and around midnight over ocean; and the OT diurnal cycle is stronger and more varied over land than over ocean. OTs over land are usually colder than over ocean except around 10:30 am. The top 10 coldest OTs from both Terra and Aqua mostly occur over land and at night. This study provides OT climatology for the first time derived from two-decade MODIS data that represents the longest and stable satellite records.

39

40 **1. Introduction**

41 An overshooting cloud top (OT) forms when a convective-storm updraft penetrates the  
42 level of neutral buoyancy and thus extends into the upper troposphere-lower stratosphere  
43 (UTLS). OTs and their associated strong updrafts have been found to be an important transport  
44 mechanism for water vapor and other atmospheric constituents into the stratosphere, thus  
45 impacting the chemical composition and radiation budget of the UTLS (e.g. Gettelman et al.,  
46 2002, 2004). They are often used as indicators of hazardous weather conditions such as strong  
47 winds, large hail, flooding, and tornadoes at the Earth's surface (Bedka et al., 2018; Dworak et  
48 al., 2012; Marion et al., 2019). More generally, the characteristics of OTs express information  
49 about the characteristics of the related updrafts well below cloud top, including the convective  
50 mass flux through the troposphere, which is an important parameterized quantity used in global  
51 climate models.

52 In addition to the expectation of a connection between updraft strength and OT depth  
53 (Heymsfield et al., 2010), Trapp et al. (2017) has shown a strong link between updraft core area  
54 and OT area (OTA), indicating that a relatively intense and wide mid-tropospheric updraft core  
55 area will tend to have a large OTA. Given that the direct measurements of updrafts within  
56 intense convective environments are either from a few ground-based radars or several field  
57 campaigns, these studies suggest a pathway for characterizing global updraft and updraft-size  
58 distributions by quantifying the global OT distributions and characteristics from space.

59 Toward this end, the first step is to detect OTs. Geostationary satellite imagery provides  
60 the opportunity to study OT occurrence over a wide region with fine spatial and temporal  
61 resolutions. A series of OT detection algorithms have been developed based on geostationary  
62 satellite observations. A commonly used OT detection method utilizes the brightness temperature  
63 ( $T_b$ ) difference (BTD) between Infrared (IR) water vapor (WV) and IR window channels (IRW)  
64 (Schmetz et al., 1997). The WV-IRW BTD method is based on the fact that water vapor  
65 transported into the lower stratosphere absorbs and emits more radiation at a water vapor channel  
66 (such as  $6.7\mu\text{m}$ ) compared to a window channel (such as  $11\mu\text{m}$ ). Thus, positive BTD is usually  
67 observed in the OT regions. However, in convective anvils (e.g. Hong & Di Girolamo, 2020;  
68 Setvák et al., 2013) or in polar winter conditions when strong radiation inversions exist near the  
69 surface (Ackerman, 1996), positive BTDs are also observed, which pose challenges to  
70 differentiate OTs from these cases.

71 Another commonly used OT detection method is the IR Window (IRW) texture approach  
72 (Bedka et al., 2010). This method uses a threshold of 215 K  $T_b$  at IR window channel to first  
73 select OT candidates. These candidates are also colder than the tropopause temperatures. In the  
74 second step, surrounding anvil is sampled at a  $\sim 8$  km radius in 16 directions. At each direction,  
75 pixels with  $T_{b11}$  colder than 225 K are included in calculating cirrus mean  $T_{b11}$ . The selected  
76 candidate is considered as an OT if the  $T_{b11}$  difference between the pixel and its surrounding  
77 cirrus is larger than a threshold of 6.5 K. The IRW texture approach has been widely applied for  
78 OT detections observed from space such as geostationary satellite imagery and Moderate  
79 Resolution Imaging Spectroradiometer (MODIS) (Bedka, 2011; Dworak et al., 2012; Griffin,  
80 2017; Griffin et al., 2016; Monette et al., 2012; Proud, 2015). However, the strictly fixed  
81 thresholds of IRW texture method limit its ability to detect warm OTs that commonly occur in

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82 the mid-latitude regions, leading to seasonal and regional biases (Bedka & Khlopenkov, 2016).  
83 Based on the visible (VIS) and IR imagery, Bedka and Khlopenkov (2016) developed a new  
84 probabilistic OT detection algorithm to minimize the dependence of IRW texture method on  
85 thresholds. Khlopenkov et al. (2021) further updated this algorithm by incorporating the  
86 normalized tropopause temperature, surrounding anvil area and spatial uniformity. Improved  
87 accuracy is achieved with this probabilistic OT detection algorithm compared to the IRW texture  
88 method.

89 Observations from spaceborne active sensors have also been used for exploring OT  
90 detections. For instance, the cloud profiling radar (CPR) on CloudSat (Stephens et al., 2008) was  
91 used for validating the passive satellite-based OT detection methods (Bedka et al., 2010; Dworak  
92 et al., 2012; Rysman et al., 2017), calculating the heights of OTs (Griffin et al., 2016), and  
93 understanding WV-IRW BTD variability in OT regions (Setvák et al., 2013). The combined  
94 CloudSat-CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation) data  
95 was also used for detecting OTs, which led to the creation of a 12-year OT database (Li et al.,  
96 2022). As demonstrated by these studies, the CloudSat-CALIPSO observations are powerful in  
97 detecting OTs and gauging OT depths, but they are only available in a narrow swath that leads to  
98 a lack of knowledge of three-dimensional (3-D) OT structures and large uncertainties in their  
99 coverage (Astin et al., 2001). The precipitation radar on Tropical Rainfall Measuring Mission  
100 (TRMM) or Global Precipitation Mission (GPM) can provide 3-D depictions of storm structures.  
101 The precipitation radar observations have been used to investigate OT climatology including  
102 their geodistributions, area and diurnal cycles in the tropical regions (20°S – 20°N) (Alcala &  
103 Dessler, 2002; Liu & Zipser, 2005) and over broader areas (60°S – 60°N) (Hourngir et al., 2021;  
104 Liu et al., 2020; Liu & Liu, 2016).

105 In addition, using three water vapor channels of the Advanced Microwave Sounding Unit  
106 B (AMSU-B), convective overshooting detection method was developed through the microwave  
107 technique (Hong et al., 2005). A seven-year OT climatology based on AMSU-B was derived in  
108 the tropical and subtropical areas that shows OT interannual to diurnal variations (Hong et al.,  
109 2008).

110 While many OT detection algorithms have been developed either using passive or active  
111 remote sensing techniques, their use toward quantifying OT occurrences and attributes from  
112 space are mostly from datasets with ~~coarse~~ ~~large~~ spatial resolutions, e.g.  $\geq 2$  km for geostationary  
113 satellites, 4-5 km for TRMM precipitation radar, 5 km for GPM Ku radar, and 15 km for AMSU-  
114 B. Spatial resolution of observations significantly influences variations of WV-IRW BTD  
115 (Setvák et al., 2007) and thus influences the choice of  $T_b$  and BTD thresholds. Large spatial  
116 resolution also poses challenge in identifying OTs of small size and affects the accuracy of  
117 computing OT attributes such as OT area. Therefore, measurements from space with a higher  
118 spatial resolution will support a better characterization of OT climatology globally, which has  
119 not been derived so far.

120 The MODIS instrument (King et al., 1992) acquires data at a high spatial resolution ( $\leq 1$   
121 km) that allows to detect small OTs. This sensor has a wide view swath of 2330 km which is able  
122 to take a whole picture of a mesoscale system. It is operating on both Terra and Aqua satellites,  
123 overpassing the same latitude at four different times each day: around 1:30am/pm and  
124 10:30am/pm equator-crossing time (ECT). In the last twenty years, both Aqua and Terra

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125 satellites have a consistent equator-crossing time, making the MODIS data the longest stable  
126 climate records from space.

127 To utilize these climate records, the main objective of this study is to show a near global  
128 climatology of OT occurrence derived from about 20-yr Aqua and Terra MODIS data. Owing to  
129 the relatively high spatial resolution of MODIS, this climatology includes OTs in small size that  
130 missed by GPM radar. It includes both the tropical and mid-latitude regions, and thus makes  
131 complementary to the climatology by Liu & Zipser (2005) and Hong et al., (2008) that were  
132 only focused on tropical and subtropical regions. It also provides OT diurnal information at four  
133 observation times. To achieve these objectives, we first develop an OT detection algorithm that  
134 is specifically designed for MODIS, works for both day and nighttime [data](#), and is more flexible  
135 to thresholds compared to those used in Bedka et al. (2010) and Li et al. (2022). In sect. 2, we  
136 will present the details of data and methods used for developing the OT detection algorithm.  
137 Validation of the algorithm will be discussed in Sect. 3. Section 4 discusses the results produced  
138 from our OT detection algorithm. Finally, in sect. 5, we conclude the findings of this study.

## 139 2. Data and Methodology

140 In order to develop a method that can detect OTs during both daytime and nighttime, this  
141 study uses observations from multiple sensors onboard multiple platforms as well as a machine  
142 learning method – logistic regression. The OT detection algorithm is developed in two main  
143 steps. First, we manually identified a number of OT candidates from the combined CloudSat-  
144 CALIPSO data. The infrared radiative characteristics of these OTs extracted from the combined  
145 Aqua MODIS infrared data serve as inputs to train the logistic regression. Second, we applied  
146 the regressed model to the Terra and Aqua MODIS data for automatic OT detection. We call this  
147 method an *IR algorithm*.

### 148 2.1 Satellite and Reanalysis Datasets

#### 149 2.1.1 CloudSat and CALIPSO

150 The CloudSat and CALIPSO satellites are two members of the afternoon constellation in  
151 a sun-synchronous orbit with an Equator-crossing time at 01:30/13:30 local time (LT). The cloud  
152 profiling radar (CPR) onboard CloudSat is a near-nadir-view radar operated at 94 GHz (~ 3.3  
153 mm). Measuring radar reflectivity factor, the CPR probes the vertical structure of hydrometeors  
154 with a minimum sensitivity of about -30 dBZ (Stephens et al., 2002, 2008). The radar's footprint  
155 is 1.8 km along track and 1.4 km cross track. Its vertical resolution is 480 m with a resampled  
156 resolution of 240 m. The radar is able to penetrate thick clouds and therefore is suitable for OT  
157 identification as demonstrated by previous studies (Chung et al., 2008; Rysman et al., 2017;  
158 Setvák et al., 2013). The radar reflectivity factor from the 2B-GEOPROF (Version P1) product  
159 (Marchand et al., 2008) that shows time-height cross sections (curtains) of clouds and  
160 precipitation was used for manual OT identification.

161 The CALIPSO flew about 15 s after CloudSat during the time period of observations  
162 used in this work. The lidar onboard CALIPSO operates at 532 nm, having a vertical resolution  
163 of 30 m below 8.2 km and 60 m above 8.2 km (Winker et al., 2003). The lidar is sensitive to  
164 optically thin clouds and aerosols. The 2B-CLDCLASS-LIDAR product, provided by the  
165 CloudSat Data Processing Center, reports cloud top and base heights for up to five layers (Wang  
166 et al., 2012). This product utilizes the complementary features of the CloudSat radar and the

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167 CALIPSO lidar, and thus includes thin cirrus clouds. The cloud top height of the topmost layer  
168 was used to aid identifying OTs. Two years of 2B-GEOPROF and 2B-CLDCLASS-LIDAR data  
169 (2007-2008) were used in this study.

### 170 2.1.2 MODIS

171 MODIS onboard both the Aqua and Terra platforms has 36 discrete spectral bands  
172 between 0.415 to 14.235  $\mu\text{m}$  with spectral-dependent spatial resolutions varying between 250 m  
173 to 1 km at nadir (Barnes et al., 1998; King et al., 1992). The Aqua satellite launched in May 2002  
174 is a member of A-Train satellite constellation. Terra was launched in December 1999 in a sun-  
175 synchronous orbit with an Equator-crossing time at 10:30/22:30 LT (Platnick et al., 2003).

176 To obtain OT radiative characteristics, the MODIS Collection 6.1 Level 1B calibrated  
177 radiance data, MYD021KM from Aqua and MOD021KM from Terra, were used. In this study,  
178 the bands selected have center wavelength at 6.715 and 11.03  $\mu\text{m}$  for OT detection that are used  
179 for deriving brightness temperature. The uncertainties associated with these two bands are within  
180 1% for both Terra and Aqua MODIS (Xiong et al., 2005, 2018). -Navigation files with 1 km  
181 resolution (MYD03 and MOD03) were used for the geolocation information. The Aqua MODIS  
182 data from 2007-2008 were collocated to the CloudSat-CALIPSO data for selecting OT cases as a  
183 training dataset for the logistic regression model (Sect. 2.2). The Terra MODIS data from  
184 February 2000 – 2021 and the Aqua MODIS data from July 2002 -2021 were used for deriving  
185 the OT climatology presented in Section 4.

### 186 2.1.3 GPM

187 The Global Precipitation Monitor (GPM) core observatory, launched in February 2014,  
188 carries the first space-borne Dual-frequency Precipitation Radar (DPR) that includes a Ka-band  
189 (35.5 GHz) radar (KaPR) and a Ku-band (13.6 GHz) radar (KuPR) (Hou et al., 2014). The KuPR  
190 measures 3-D structures of convective systems with a vertical resolution of 250 m and a footprint  
191 of 5 km over a swath of 245 km. The GPM KuPR echoes have been demonstrated to be effective  
192 in the study of deep convection reaching to tropopause (Liu et al., 2020; Liu & Liu, 2016). To  
193 utilize the GPM as an independent detection of OTs, we collocated the Ku-band echoes to the  
194 OT candidates identified from Terra MODIS as a validation of our IR algorithm (Sect. 2.2).  
195 About six years (March 2014 - 2020) of data from the 2A.GPM.DPR product (V06) was used.

### 196 2.1.4 Reanalysis Data

197 Tropopause temperature is needed for our IR algorithm. We used the tropopause  
198 information output from the Modern-Era Retrospective Analysis for Research and Applications,  
199 Version 2 (MERRA-2), instantaneous two-dimensional collections, hourly, single-level  
200 diagnostics (MERRA2\_400.inst1\_2d\_asm\_Nx) product (Bosilovich et al., 2016). The MERRA-2  
201 parameter ‘TROPT’ is a blended estimate of tropopause temperature ( $T_p$ ) based on a  
202 combination of the World Meteorological Organization (WMO) definition of the primary lapse-  
203 rate tropopause (Grise et al., 2010) and equivalent potential vorticity. The tropopause data has a  
204 spatial resolution of 0.625° x 0.5° longitude-latitude. The closest MERRA-2 grid in space and  
205 time was assigned to each MODIS observation.

## 206 2.2 OT Identification Algorithm

### 207 2.2.1 OT Selections from A-Train Observations

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208 The first step of the IR algorithm is to generate an OT training dataset. We manually  
209 selected OT candidates around the world from 2007 and 2008 by visualizing the CPR reflectivity  
210 factor from 2B-GEOPROF, topmost cloud top height from 2B-CLDCLASS-LIDAR, tropopause  
211 information from MERRA-2 and the collocated  $T_{b11}$  from Aqua MODIS. For the CloudSat-  
212 MODIS collocation, the nearest Aqua MODIS pixels were assigned to the CloudSat track. The  
213 distance of the collocated CPR–MODIS pixels is usually less than 700 m, allowing these two  
214 sensors to observe nearly the same cloud within one minute (Hong & Di Girolamo, 2020).

215 Parallax correction was examined, but not employed in this work. We found that parallax  
216 correction produced nearly identical  $T_{b11}$  values as those without parallax correction. This is  
217 consistent with Wang et al., (2011), who stated that the effect of parallax correction will be  
218 small if the cloud object occupies a large enough area and is relatively homogeneous over the  
219 range comparable to the parallax correction, which is certainly the case for the OTs being studied  
220 here. Additionally, the parallax correction introduces a small amount of noise in the along-track  
221  $T_{b11}$  values due to noise in the cloud top height used in the parallax correction. The noise is  
222 sufficient at times to create artifacts in our OT algorithm.

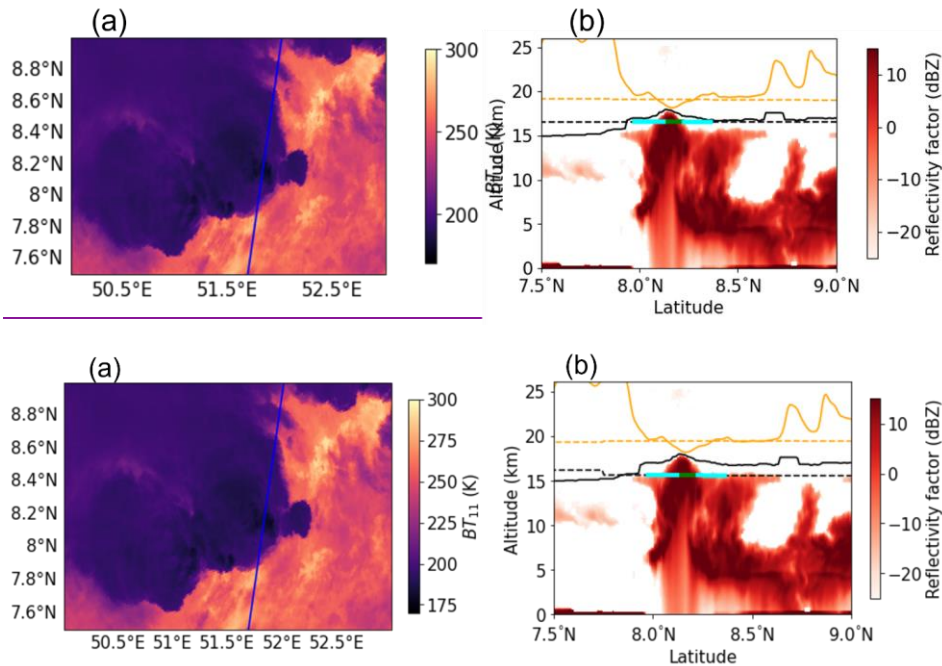
223 OTs were selected by visually inspecting the visualization rather than using a fix  
224 criterion. The OT selection basically followed four principles:  $T_{b11}$  colder than  $T_p$ , cloud top  
225 height above tropopause height,  $T_{b11}$  smaller than  $T_{b6.7}$ , and an obvious convective core. For  
226 instance, Figure 1 shows an example of how we manually select OTs from this visualization.  
227 Figure 1a displays that CloudSat overpassed a strong convective system with  $T_{b11}$  as low as 180  
228 K. Figure 1b shows the curtain of the radar reflectivity factor from CloudSat for this convective  
229 system, along with  $T_{b11}/10$  (orange-solid line), cloud top height (black-solid line) and  
230 tropopause information (orange-dash for tropopause temperature ( $T_p$ ) divided by 10, and black-  
231 dash for tropopause height-) along the transect. As Figure 1b shows, in the convective core,  
232 cloud top height is above the tropopause height, and the  $T_{b11}$  is colder than tropopause  
233 temperature ( $T_p$ ). This case is identified as an OT. In total, we have selected 209 OTs from A-  
234 Train observations. Additionally, 78 non-OTs (NOTs) were also selected for model training.  
235 The NOTs share very similar characteristics with OTs, i.e.  $T_{b11}$  is cold (close to or colder than  
236  $T_p$ ) and has a local minimum, but no obvious convective core overshoot top is observed from the  
237 visualization. Supplementary 1 displays four OT and three NOT cases. Figure 2 shows very  
238 similar OT and NOT  $T_{b11}$  distributions.

239 The total 287 samples were randomly distributed over four seasons and in different  
240 locations on Earth. ~~The complexity of the dataset will help increasing model performance.~~  
241 Data is available in Supplementary 2.

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245 Figure 1. An OT case occurring at night over the Indian Ocean on June 1<sup>st</sup>, 2007: a) Brightness  
 246 vertical temperature at 11  $\mu\text{m}$  from Aqua MODIS, with blue line indicating the CloudSat track; b)  
 247 Vertical cross section of CloudSat radar reflectivity factor overlapped with MERRA-2  
 248 tropopause temperature divided by 10 (orange-dashed), tropopause height (black-dashed),  
 249 topmost cloud top height from 2B-CLDCLASS-LIDAR (black-solid), and  $T_{b11}/10$  (orange-  
 250 solid). The green line in b) indicates the OT region along CloudSat track determined by the  
 251 method from Marion et al. (2019), and the cyan line indicates the surrounding cirrus anvil.

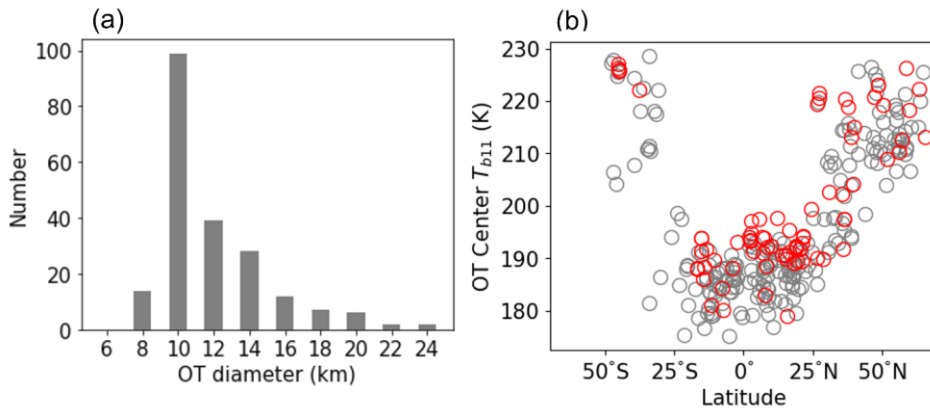
### 253 2.2.2 OT Edge and Cirrus Anvil

254 Once an OT was manually selected from the A-Train data, OT edges were determined  
 255 using the method described in Marion et al. (2019). Briefly, the local minimum  $T_{b11}$  along the  
 256 CloudSat track was set as the OT center. The 1-D second derivative along two radii along  
 257 CloudSat track ( $\frac{d^2 T_b}{dr^2}$ ) was computed using three-point Lagrange interpolation after Gaussian  
 258 filter was applied to smooth  $T_{b11}$  field. The OT edges along the two radii are defined as the first  
 259 point where  $\frac{d^2 T_b}{dr^2} \leq 0$ . With the OT edges determined, the diameter of the OT candidate can be  
 260 obtained. As an example, Figure 1b shows the OT diameter in green, indicating that this method  
 261 well catches the overshooting area.



262 The cirrus (Ci) anvil in this work was searched within 20 pixels around the OT center but  
 263 with the OT area excluded. Pixels starting from the OT edge and having  $T_{b11} < 260$  K contribute  
 264 to the surrounding cirrus. A value of 260 K was used to screen cold clouds. This threshold has  
 265 been commonly adopted for screening high clouds associated with deep convection (Chung et  
 266 al., 2007; Tian et al., 2004). Figure 1b indicates the cirrus anvil in cyan. Once two edges of an  
 267 OT and its cirrus anvil were determined, the OT center  $T_{b11}$ , the mean brightness temperature for  
 268 the OT region ( $\bar{T}_{b6.7}$  and  $\bar{T}_{b11}$ ), the mean brightness temperature ( $\bar{T}_{b11}$ ) for surrounding cirrus  
 269 averaged over two radii and the tropopause temperature ( $T_p$ ) for the OT case were recorded to  
 270 construct the training dataset. Note that cirrus anvil is only defined in a small area within 20  
 271 km from the OT center, which is sufficient to check Tb difference between the OT and its  
 272 surrounding region because OT's size is usually smaller than 15 km (Bedka and Khlopenkov,  
 273 2016). Pixels outside the 20-km radii can also contain cirrus, but do not contribute to our  
 274 calculation of cirrus  $T_b$ .

275 For the 209 OT candidates, all of them have their diameters less than 25 km, 180 OTs  
 276 (86%) have their diameters less than 15 km, and the peak in the OT diameter distribution is about  
 277 10 km (Fig. 2a), being agreeable with Bedka & Khlopenkov, (2016) which states that OTs are  
 278 typically less than 15 km in diameter. The  $T_{b11}$  of OT center along the CloudSat track is shown  
 279 in Fig. 2b which displays an asymmetric U-shape distribution along latitudes. Tropical OTs tend  
 280 to have their center  $T_{b11}$  less than 200 K, while mid-latitude OTs tend to have center  $T_{b11}$  colder  
 281 than 230 K. The NOT candidates share a very similar  $T_{b11}$  distribution with OTs. We rarely  
 282 found OTs outside the  $\pm 60$ -degree latitude range. The selected OTs and NOTs are available in  
 283 Supplementary 2. In addition, for all OT candidates, WV-IRW BTD ( $T_{b6.7} - T_{b11}$ ) is found to be  
 284 positive, and tropopause temperature is warmer than OT center  $T_{b11}$ . For NOTs, they also have  
 285 positive BTB, but 16% of them are warmer than tropopause temperature. WV-IRW BTB and  $T_p$   
 286 are two important variables used for our IR algorithm.



287 Figure 2. (a) OT diameter distribution of the 209 OT candidates selected from 2007 and 2008 A-  
 288 train data, and (b) brightness temperature at 11  $\mu\text{m}$  of OT (grey) and NOT (red) center along  
 289 CloudSat track.

291



292 **2.2.3 Logistic Regression**

293 Similar to Bedka & Khlopenkov (2016), a probability was generated for an OT  
 294 candidate. The 209 OTs and 78 NOTs selected from A-Train observations served as inputs for  
 295 the logistic model. The logistic regression is a statistical model that is used to model a certain  
 296 event through assigning a probability between 0 and 1 such as classification of OT and NOT.  
 297 The logistic model depends on several variables or predictors, shown as

298 
$$P = \frac{1}{1 + e^{-(b_0 + \sum_i^N b_i x_i)}} \quad , \quad (1)$$

299 where  $P$  is the probability of an OT candidate,  $b_0$  is the constant,  $x_i$  is the variable and  $b_i$   
 300 represents the regressed coefficient.

301 Three MODIS-based variables were settled on after a series of tests to optimize the  
 302 accuracy. They are  $x_1$ - the difference between Ci anvil mean  $T_{b11}$  and OT center  $T_{b11}$ ,  $x_2$ - the  
 303 difference of  $T_p$  and OT center  $T_{b11}$ , and  $x_3$ - the difference of mean  $T_{b6.7}$  ( $\bar{T}_{b6.7}$ ) and mean  $T_{b11}$   
 304 ( $\bar{T}_{b11}$ ) of OT. 156 OTs and 48 NOTs were used to train the model and the regressed results are  
 305 summarized in Table 1. The total accuracy is about 84% when probability  $> 0.6$  is predicted to  
 306 be an OT. 53 OTs and 30 NOTs were used to validate the regressed model with a total accuracy  
 307 about 82%. Note that we have obtain a stable model accuracy of ~0.84 when the total sample  
 308 size is larger than 150. A total sample size of 287 cases should ensure the robustness of the  
 309 regressed model. We have tested the accuracy as a function of sample size and found a stable  
 310 model accuracy of ~84% when total sample size is larger than about 150, indicating that total  
 311 samples of 287 should be sufficient for training a robust model in our study.

312 Table 1. A summary of the regressed coefficients (significant at the 99% level) for the  
 313 variables selected for OT detection used in Equation 1.

Variables	Coefficients for the variables* (constant = <u>-3.2397 ± 0.753</u> )
<del><math>b_0</math></del>	<u>-3.2397</u>
$x_1$ - difference between Ci mean $T_{b11}$ and OT center $T_{b11}$	<u>0.2075 ± 0.053</u>
$x_2$ - difference of tropopause $T_p$ and OT center $T_{b11}$	<u>0.3516 ± 0.085</u>
$x_3$ - difference of averaged OT $T_{b6.7}$ and averaged OT $T_{b11}$	<u>0.4996 ± 0.188</u>

314 \* The Logistic Regression is based on python module – Statsmodels. The P values for the  
 315 regressed coefficients are smaller than 0.008 based on z-test. The uncertainties for each  
 316 coefficient are represented by standard errors.

317 **2.2.4 Application of IR Algorithm to MODIS**

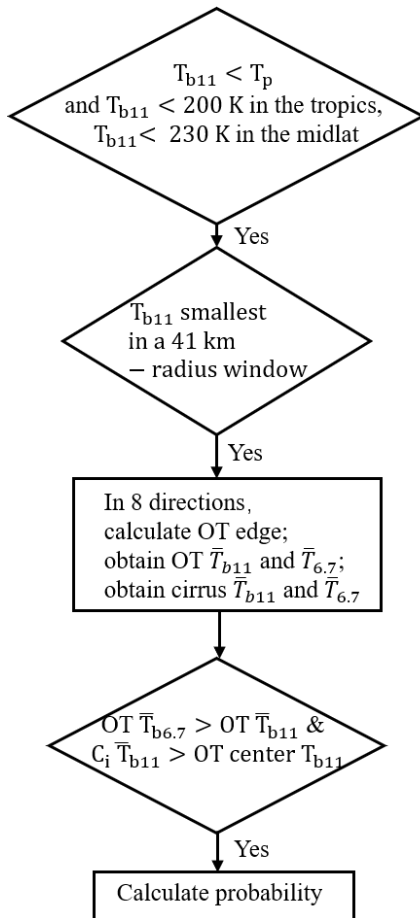
318  
 319 The logistic regression in Sect. 2.2.3 forms the basis of our IR algorithm, which aims to  
 320 automatically identify OTs from Terra and Aqua MODIS in the daytime and at nighttime. The  
 321 application of the IR algorithm starts from pixel search with  $T_{b11}$  colder than  $T_p$ , and  $T_{b11}$  less  
 322 than 200 K in the tropics (within 25° latitude) or less than 230 K in the midlatitudes (outside 25°  
 323 latitude). These  $T_{b11}$  thresholds selected to ensure that all OTs identified in Fig. 2b would pass

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324 this first OT candidate selection criteria. If the pixel passed these thresholds and is a local  
325 minimum in  $T_{b11}$  field in a 41 km x 41 km window, we continued to find the OT edges in eight  
326 directions using the method by Marion et al. (2019), as mentioned in Sect. 2.2.2.  $\Theta - \bar{T}_{b11}$  and  
327  $\bar{T}_{b6.7}$  of the OT area are further computed over the pixels along eight radii once OT edges have  
328 been determined.  $\bar{T}_{b11}$  of the surrounding cirrus is also computed in eight directions in the cirrus  
329 area as defined in Sect. 2.2.2. When the surrounding cirrus  $\bar{T}_{b11}$  is warmer than OT center  $T_{b11}$   
330 and this OT case shows positive WV-IRW BTD (i.e.  $\bar{T}_{b6.7} - \bar{T}_{b11} > 0$ ), OT probability is  
331 calculated according to the logistic regression from Sect. 2.2.3. If one of the mentioned  
332 conditions does not satisfy, the algorithm will search for next pixel. The flowchart of the IR  
333 algorithm application is summarized in Fig. 3.

334 The window size of 41 km was adopted considering that 98% of the OTs (Fig. 2) have  
335 their diameters less than 20 km according to A-Train observations (Sect. 2.2.2). This window  
336 makes sure that two OT centers are at least 20 km apart and that enough pixels contribute to the  
337 cirrus anvils. If multiple OTs occurred in the same window, the one with the coldest  $T_{b11}$  was  
338 selected.  
339

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340  
341

342 Figure 3. Flowchart for the application of IR algorithm to MODIS data. The  $\bar{T}_b$  represents the  
343 mean of brightness temperature.

344

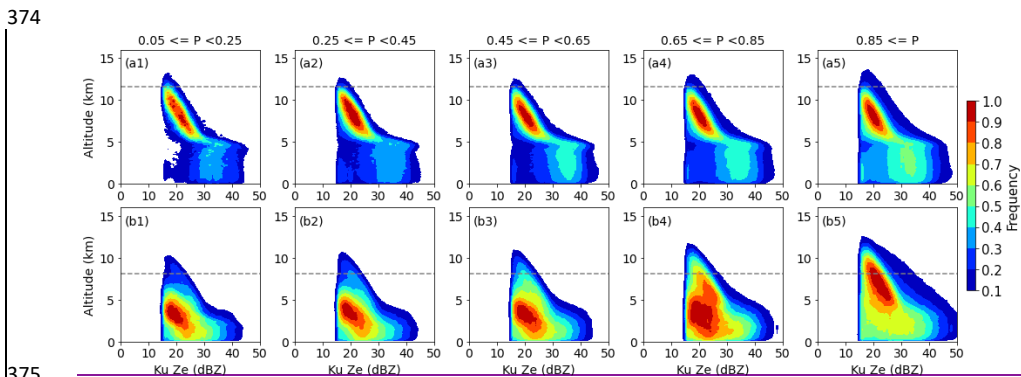
### 345 3. Validation of OT Detection Algorithm

#### 346 3.1 Comparison with GPM

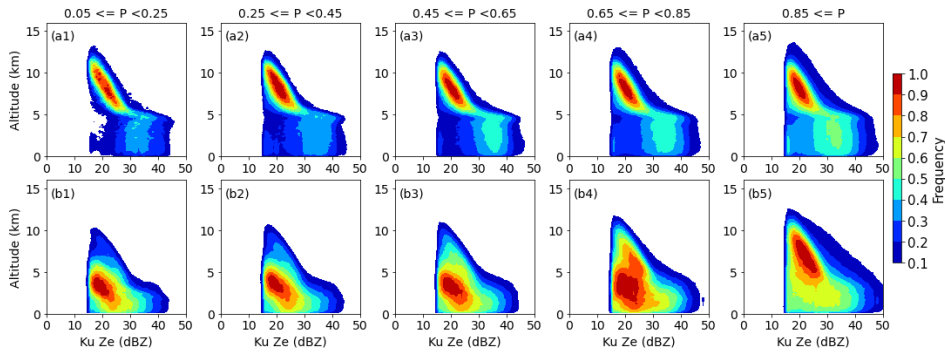
347 GPM has been demonstrated to be an effective tool in studying intense storms and  
348 overshooting top events (Hourngir et al., 2021; Liu et al., 2020; Liu & Liu, 2016). Here, we used  
349 the GPM observations for two purposes: To compare the performance of OT detection between  
350 GPM KuPR and Terra MODIS, and to investigate the cloud structure of detected OTs. The  
351 colocation between GPM KuPR and MODIS data was achieved when the time difference  
352 between them was within 5 minutes and the spatial difference between them was less than 10  
353 km. A 5-minute time window was used because the life cycle of OTs can be as small as several

354 minutes (Setvák et al., 2013). The collocating process was performed only when OT candidates  
 355 were identified from Terra MODIS. We obtained 6949 collocations for the period of March 2014  
 356 – December 2020.

357 Ku-band radar reflectivity factor ( $Z_e$ ) in an area with a radius less than 40 km around the  
 358 collocated radar pixel were collected to construct the contour frequency by altitude diagram  
 359 (CFAD; Yuter & Houze, 1995). The parallax error between KuPR and MODIS could be more  
 360 than 20 km according to the method described in Wang et al. (2011). Also, OT diameter is likely  
 361 less than 20 km. An area with a 40 km radius for the collocated KuPR data is likely able to  
 362 encompass the OT event identified by MODIS. Figure 4 shows the CFADs contributed by all  
 363 (6949) collocated OT cases. The CFADs were segregated into 5 OT probability intervals for the  
 364 tropical and mid-latitude areas. As shown, the largest frequency occurs above 5 km in tropical  
 365 areas (Figs. 4a1-a5). As the OT probability increases, the frequency increases for large  $Z_e$  ( $> 30$   
 366 dBZ) below 5 km. In the midlatitudes (Figs. 4b1-b5), higher frequency of the  $Z_e$  occurs below 5  
 367 km when OT probability is less than 0.85. For those OT cases with  $P > 0.85$ , large frequency is  
 368 mostly above 5 km, and large  $Z_e$  ( $> 30$  dBZ) occurs more frequently below 5 km. With an  
 369 analysis of DPR rain type product, we noticed that the large  $Z_e$  (e.g.  $> 30$  dBZ) below 5 km tend  
 370 to associate with convective rain in both the tropics and midlatitudes. An increase of convective  
 371 rain in the CFADs with larger OT probability indicates more likely OT occurrence. These  
 372 CFADs demonstrate that the probability generated from our IR algorithm indicates storm  
 373 intensity and a confidence level of OT detection.



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376  
 377 Figure 4. Contoured frequency by altitude diagram, showing the frequency normalized by the  
 378 maximum bin of radar reflectivity. Data were binned at 1dBZ intervals at each level. The upper  
 379 panels are for the tropics (within 25° latitude), and the lower panels are for the midlatitudes  
 380 (between 25° and 60° latitude). The dashed lines in upper and lower panels represent the mean  
 381 tropopause height in the tropics and in the midlatitudes, respectively, derived from MERRA-2.  
 382

383 To compare the performance of OT detection between GPM and MODIS, we need to  
 384 determine when GPM detects an OT. If the maximum altitude of 15 dBZ in the 40-km radius  
 385 area was higher than 2 km below the MERRA-2 tropopause, an OT flag was assigned to the  
 386 collocated GPM pixel. Previous studies also adopted a level below the tropopause as the OT  
 387 reference considering the tropopause height variability (Sun et al., 2019; Zhuge et al., 2015) such  
 388 as the noted double tropopause observed in deep convection (Vergados et al., 2014). Here, 2 km  
 389 was selected due to an agreement of 67% between MERRA-2 and ERA-5 tropopause height  
 390 (from ECMWF-AUX (Partain, 2007)) for the 287 OTs and NOTs cases used in Sect. 2. Once OT  
 391 flags were assigned to the collocated GPM cases, agreement of OT detection between MODIS  
 392 and GPM was calculated for a wide range of OT probability generated by the IR algorithm. The  
 393 agreement is expressed as

394

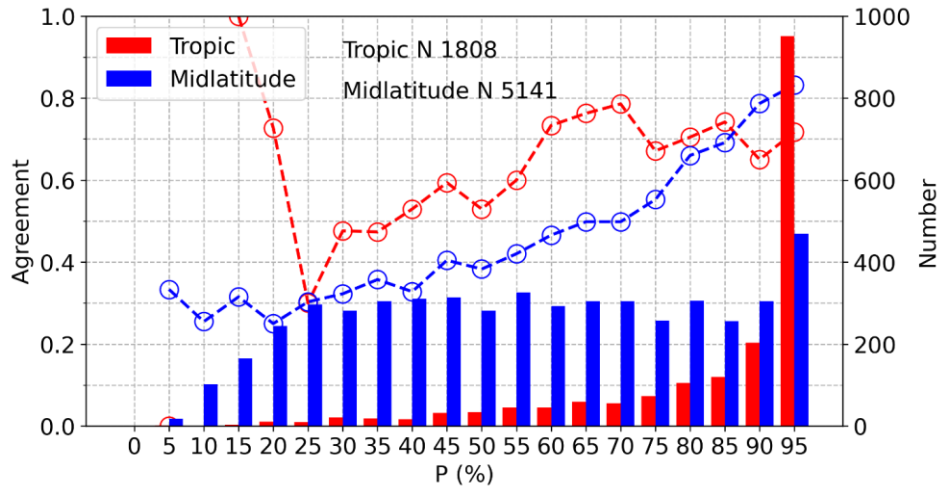
$$Agreement = \frac{N(H > H_p - 2 \cap P_1 < P < P_2)}{N(P_1 < P < P_2)} \quad (2)$$

395 where  $H$  is the maximum altitude (in km) of 15 dBZ in the 40-km radius area,  $H_p$  is tropopause  
 396 height from MERRA-2, and  $N$  is the OT numbers with OT probability between  $P_1$  and  $P_2$ .

397 Figure 5 shows the agreement in OT detection between MODIS and GPM which  
 398 increases with OT probability. In the tropics, the agreement is about 70% when  $P > 90\%$  with  
 399 enough samples, while in the midlatitudes, the agreement is larger than 80% when  $P > 0.90$ .

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402

403 Figure 5. Comparison of OT detection between GPM and Terra MODIS. Curves represent  
 404 agreement of OT detection between MODIS and GPM in various probability intervals, red for  
 405 the tropics and blue for the midlatitudes; the numbers of potential OT candidates are shown in  
 406 bars. N stands for sample number.

407

408 **3.2 Manual Check**

409 As a complement to GPM-MODIS comparison for assessing IR algorithm accuracy, we  
 410 manually checked 1158 daytime OT candidates (selected randomly across the year) from Terra  
 411 MODIS from 2018-2020 ([data is available in Supplementary 3](#)). These OT candidates are with a  
 412 wide range of probability. OT and NOT flags were assigned to the candidates by visually  
 413 inspecting the IR and visible images from the NASA Worldview website  
 414 (<https://worldview.earthdata.nasa.gov>). The fraction of OT and NOT segregated at a 0.1  
 415 probability (generated from the IR algorithm) interval was calculated (Fig. 6). As displayed, the  
 416 fraction of OT substantially increases when the probability is greater than 0.8 in both the tropics  
 417 and midlatitudes. In the tropics, the fraction of NOT is about 30% when P is between 0.8 and  
 418 0.9, and it decreases to about 10% when  $P \geq 0.9$ . In the midlatitudes, when the P is small (e.g. <  
 419 0.8), NOT fraction is higher than OT fraction. Only when  $P \geq 0.9$ , NOT fraction drops to about  
 420 10%. With a manual check of about 900 OT candidates selected from July, 2018 Aqua MODIS,  
 421 similar accuracy was obtained (~ 90% when  $P \geq 0.9$ ). This manual check is consistent with the  
 422 OT comparison with GPM as discussed in Sect. 3.1, i.e., higher OT probability gives higher  
 423 confidence in our IR algorithm for OT detection.  
 424

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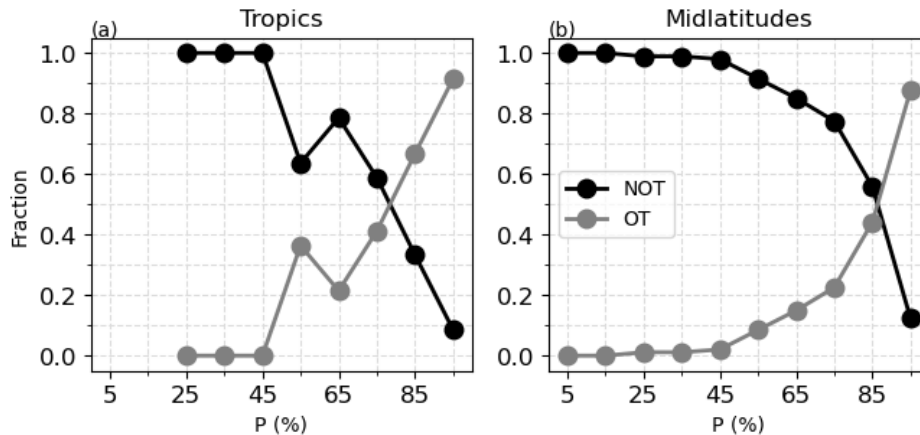


Figure 6. Fraction of OT candidates with a wide range of probability in the Tropics (a), and midlatitudes (b). X-axis shows in a probability interval of 0.1.

Overall, we choose a P threshold of 0.9 in both the tropical and mid-latitude regions, which ensures a total detection accuracy of  $\sim 0.9$  (better than 0.9 in the tropics and slightly lower than 0.9 in the midlatitudes) as demonstrated in Sect. 3.2. For the Terra MODIS data from February 2000 to December 2021 and Aqua MODIS data from July 2002 to December 2021, OT candidates that pass the probability threshold of 0.9 account for about 30% and 35%, respectively, of all candidates over regions within  $60^{\circ}\text{S} - 60^{\circ}\text{N}$ . In the tropics, 58% (62%) of the candidates from Terra (Aqua) MODIS have  $P > 0.9$ , while in the midlatitudes, only 13% (16%) of the candidates were retained. Note that we do not consider polar regions because our manual selected OTs in Sect. 2.2 rarely occur outside  $60^{\circ}$  latitudes.

## 4. Results and Discussions

In this section, we show an OT climatology of those OT candidates with  $P \geq 0.9$ . Candidates with  $P < 0.9$  were excluded due to a high fraction of NOTs as discussed in Sect. 3.

### 4.1 Case Analysis

Before showing the climatology, we first show four cases including all OT candidates with a variety of probabilities for a detailed view of the performance of our IR algorithm in different storm environments.

Figure 7 shows visible reflectance overlapped with OT centers, which are colored by OT probability.  $T_{b11}$  for each case is also shown overlapped with the pixels colder than tropopause and having positive WV-IRW BTD (marked in white). The rain type and precipitation rate averaged between 2-4 km from GPM are shown in the third and fourth columns.

Overshooting tops in tropical cyclones (TC) are common. They are found closely linked to intense convection and rapid intensification in TCs (Griffin, 2017; Monette et al., 2012; Tao and Jiang, 2013). Figures 7a1-7a4 displays a tropical cyclone over the north Indian Ocean on Nov. 08<sup>th</sup>, 2019. OTs are detected in the area with very cold  $T_{b11}$  associated with strong



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453 convection and precipitation as GPM identifies convective rain type near OT areas. Our  
454 algorithm usually generated high probability for OT candidates detected in TCs.

455 In the mesoscale convective system case (Figs. 7b1-b4), OTs are detected in the clusters  
456 that associate with cold  $T_{b11}$  and positive WV-IRW BTD. Strong precipitation is indicated by  
457 GPM. Our algorithm also usually produces high probability for OTs detected in mesoscale  
458 convective systems.

459 Cold air outbreaks can produce shallow convection when cold air blows from frozen  
460 surfaces to warmer ocean. The Cold-Air Outbreaks in the Marine Boundary Layer Experiment  
461 (COMBLE) found that these convective clouds are commonly lower than 5 km associated with  
462 updrafts of 4-5  $\text{m s}^{-1}$  (Geerts et al., 2022). In the cold air outbreaks, the tropopause is low, which  
463 is often at a level below 500 hPa (Papritz et al., 2019; Terpstra et al., 2021), compared to the  
464 mid-latitude tropopause climatology of 200-300 hPa (Wilcox et al., 2012). Thus, updrafts in  
465 these shallow convective clouds are able to penetrate the tropopause and produce overshooting  
466 cloud tops. In the third case (Figs. 7c1-c4), overshooting tops from convective turrets over the  
467 north Atlantic within a cold air outbreak occur with high OT probability. GPM identifies  
468 convective precipitation surrounding by stratiform precipitation in these shallow convective  
469 clouds. Our method allows for the detection of these OTs that can occur in unstable conditions  
470 with shallow tropopauses.

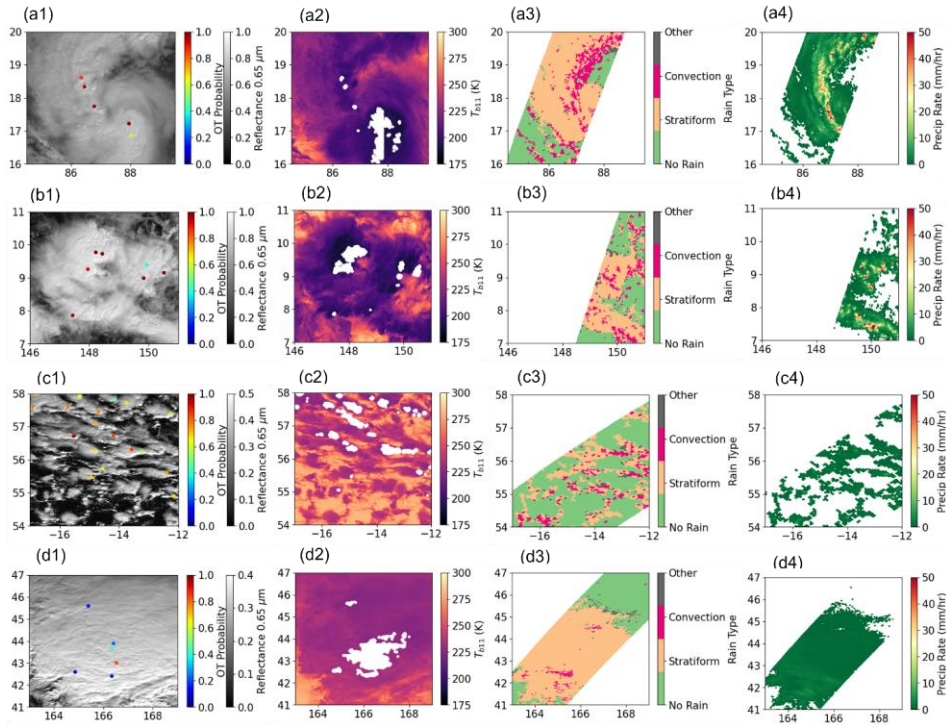
471 Mid-latitude winter cyclones are associated with mostly stratiform cloud systems  
472 (Stewart et al., 1998), as also demonstrated by the GPM rain type that shows mostly stratiform  
473 precipitation (Figs. 7d1-d4). The tops of stratiform clouds associated with the fronts usually  
474 reach to tropopause without ~~no~~ strong convective cores. However, they can occur associated  
475 with lightning and heavy precipitation when fueled by potential instability, with updrafts of 6-8  
476  $\text{m s}^{-1}$  (Murphy et al., 2017; Rauber et al., 2014, 2015). Our algorithm detects OT candidates in  
477 this cloud system usually with low probability which will be excluded in our OT climatology  
478 analysis except for some rare situations with high OT probability.

479

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482

483 Figure 7. Four selected cloud systems with OTs detected by our IR algorithm. First column  
 484 shows the reflectance at  $0.65 \mu\text{m}$  (dots indicate OT probability), the second column shows the  
 485 brightness temperature at  $11 \mu\text{m}$  (white dots indicate pixels colder than tropopause temperature  
 486 and having positive WV-IRW BTD). Columns 3 and 4 represent rain type and precipitation rate  
 487 from GPM, respectively. Case 1 (a1-a4) [is](#) for the tropical cyclone over Bay of Bengal on Dec.  
 488 8<sup>th</sup>, 2019, case 2 (b1-b4) [is](#) for a mesoscale convective system over East of Philippines on Dec.  
 489 03<sup>rd</sup>, 2019, case 3 (c1-c4) (Mar. 10<sup>th</sup>, 2019 over the north Atlantic Ocean) [is](#) for shallow post-  
 490 frontal convection, and case 4 (d1-d4) (Dec. 15<sup>th</sup>, 2018 over the north Pacific Ocean) [is](#) for the  
 491 cloud system in the midlatitude cyclone.

492

### 493 4.2 Near Global OT Distributions

494 Figure 8 shows the seasonal distributions of OT occurrences contributed by those OT  
 495 candidates with  $P \geq 0.9$ , derived from Terra (February 2000 - 2021) and Aqua (July 2002 - 2021)  
 496 MODIS. As displayed, OT distributions and their seasonal variations follow the expected pattern  
 497 based on the known climatology of convection (Alcala and Dessler, 2002; Funk et al., 2015). In  
 498 JJA (Fig. 8b), as revealed by both Aqua and Terra MODIS, OTs primarily distribute over north  
 499 of the equator in the intertropical convergence zone (ITCZ). A large population of OTs over  
 500 India, Bay of Bengal, and southeast Asia are associated with the summer South Asian monsoonal

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501 system. Our algorithm also detects considerable OTs in Asia between 45°-60° latitudes and in  
502 Europe, where severe storms occur in local summer (Groenemeijer et al., 2017; Shikhov et al.,  
503 2021). These profound OTs agree with what GPM has found in the northern mid and high  
504 latitudes (Liu et al., 2020). However, a  $T_{b11}$  threshold of 215 K usually filter out these OTs (e.g.  
505 Li et al., 2022). Another hot spot of OTs occurs in central North America. In addition, we  
506 observe a narrow belt of large OT occurrences over the west Atlantic Ocean, which are  
507 associated with the location of tropical cyclones.

508 Aqua MODIS also shows frequent OT occurrences over the southeastern United States  
509 associated with the afternoon convection. In regions over the U.S. southwest and northwestern  
510 Mexico, OTs are detected associated with the summer North America Monsoon (Adams and  
511 Comrie, 1997).

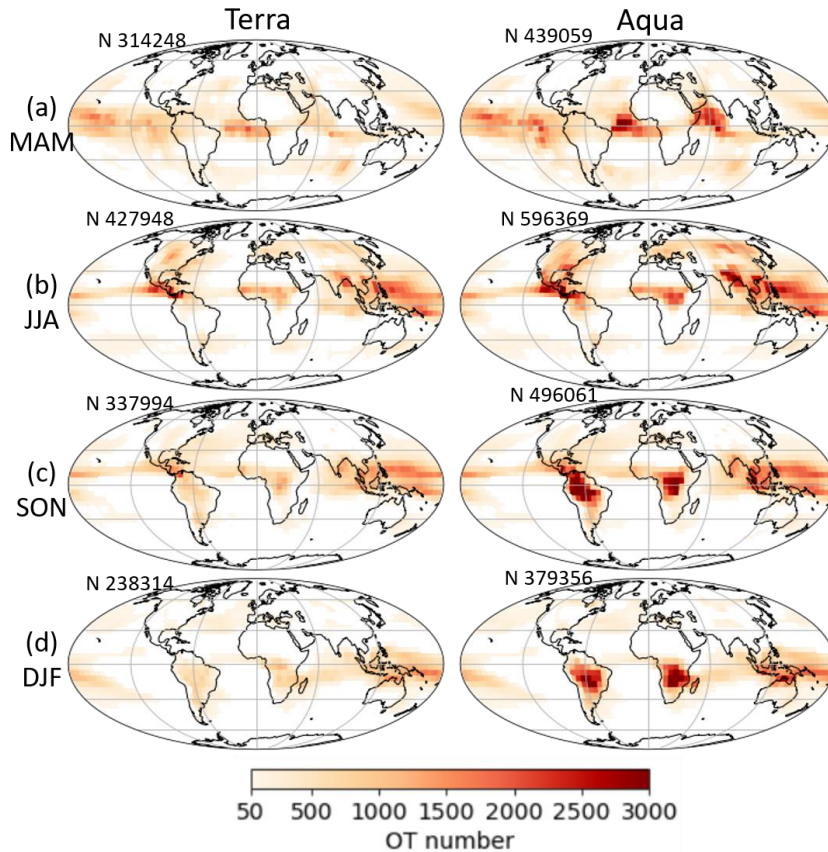
512 During DJF (Fig. 8d), OT occurrences are about 44% at 10:30 LT (Terra equator crossing  
513 time) and 36% at 1:30 LT (Aqua equator crossing time)  $((N_{summer}-N_{winter})/N_{summer})$  less  
514 than that in JJA. OTs are primarily located over the Southern Hemisphere as the ITCZ moves to  
515 the south of the equator. A large number of OTs are detected by Aqua MODIS over tropical and  
516 subtropical South America and Africa. In the Northern Hemisphere, OTs become infrequent  
517 over land. Note that ice clouds have an occurrence frequency about 70% over mid- and high-  
518 latitude Asia during winter (e.g. Hong and Liu 2015), which often pose challenges for OT  
519 identification. These cold ice clouds are rarely classified as OTs in our analysis, demonstrating  
520 the ability of our IR method to avoid the misclassification of cold ice clouds to OTs. In contrast,  
521 over the mid-latitude ocean in winter, we see some OT occurrences. These OTs are associated  
522 with isolated convective clouds occurring in the cold air outbreaks as discussed in Sect. 4.1.  
523 These OTs are also observed over Southern Ocean during JJA (Austral winter). We also notice a  
524 small number of OTs extending from northwest to southeast North America in DJF. These OTs  
525 are associated with the convection in winter mid-latitude cyclones as discussed in Sect. 4.1.

526 Convective activity over land is weak at Terra overpass time in the morning (~ 10:30 am)  
527 and it becomes more frequent and intense in the afternoon when Aqua satellite overpasses. This  
528 is revealed by the differences of OT occurrences between Terra and Aqua, indicating the  
529 variability of OT diurnal cycles.

530

531

532



533  
 534 Figure 8. The global distributions of OT occurrences derived from Terra and Aqua MODIS in  
 535 four seasons: (a) March-April-May (MAM), (b) June-July-August (JJA), (c) September-October-  
 536 November (SON) and (d) December-January-February (DJF). Grid resolution is 5° longitude by  
 537 5° latitude. Samples in grids less than 50 are shown in white. N over the upper right corner in  
 538 each panel stands for sample number.

539

#### 540 4.3 OT Diurnal Cycle

541 This section discusses OT diurnal cycles based on the four observation times by Aqua  
 542 and Terra MODIS. The OT occurrences in the daytime (~10:30 am and ~1:30 pm) and at night  
 543 (~10:30 pm and ~1:30 am) are displayed in Fig. 9. According to previous studies on the diurnal  
 544 cycle of convection (Alcala and Dessler, 2002; Nesbitt and Zipser, 2003), convective activity  
 545 over land is generally more frequent and intense in the afternoon and evening compared with  
 546 early morning. Over oceans near the coastlines, morning convection is more intense (Johnson,

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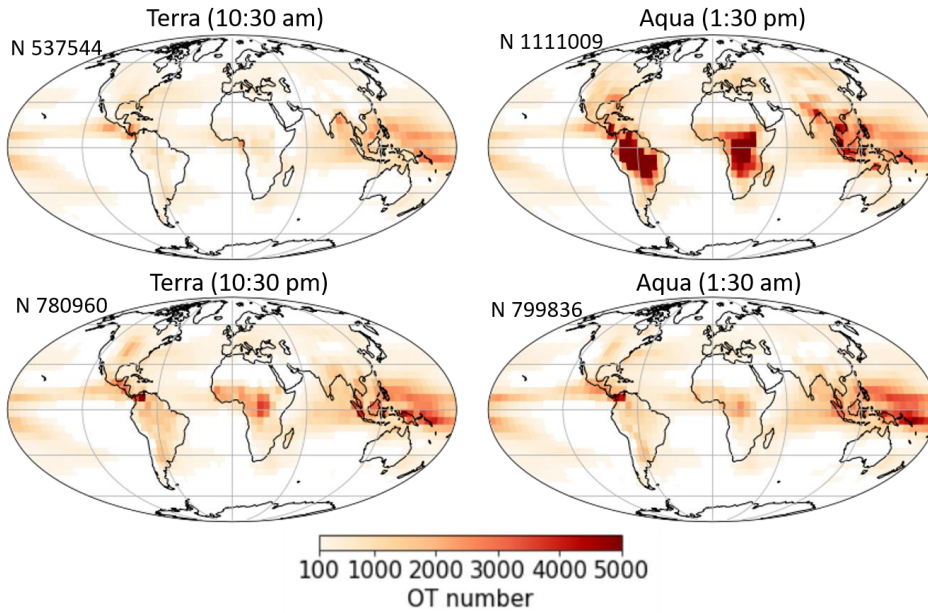
2011). In agreement with previous studies, we observe the most OT occurrences at about 1:30 pm from Aqua MODIS, primarily contributed by land areas including tropical South America, tropical Africa, the Maritime continent and the southern foothills of Himalayas. Over Bay of Bengal, South China Sea, Gulf of Guinea, Gulf of Mexico, Panama and its surrounding regions, OTs away from coastlines have been observed, commencing in the morning (~ 10:30 am) and continuing into afternoon (~ 1:30 pm). Over the west Pacific Ocean, OTs occur the most around midnight at ~ 1:30 am.

To better view the OT diurnal cycles, Figure 10 shows when maximum and minimum OT occurrences occur in the four-observation time. Diurnal cycle intensity defined by the difference of maximum and minimum OT numbers normalized by the mean is shown in Figs.10 e and f. As expected (Figs. 10a and 10b), the largest OT occurrences over land occur at about ~ 1:30 pm except for central North America and west Africa where have a midnight maximum in convection during JJA (Janiga and Thorncroft, 2014; Nesbitt and Zipser, 2003; Tian et al., 2005). Ocean areas consistently have maximum OT occurrence at ~ 1:30 am (Figs.10a and 10b). The minimum OT occurrence over land usually occurs at ~ 10:30 am except for some regions over North America and Asia where the minimum OT occurrence is at ~1:30 am during JJA (Fig. 10c). The time for minimum OT occurrence over ocean has a large variability.

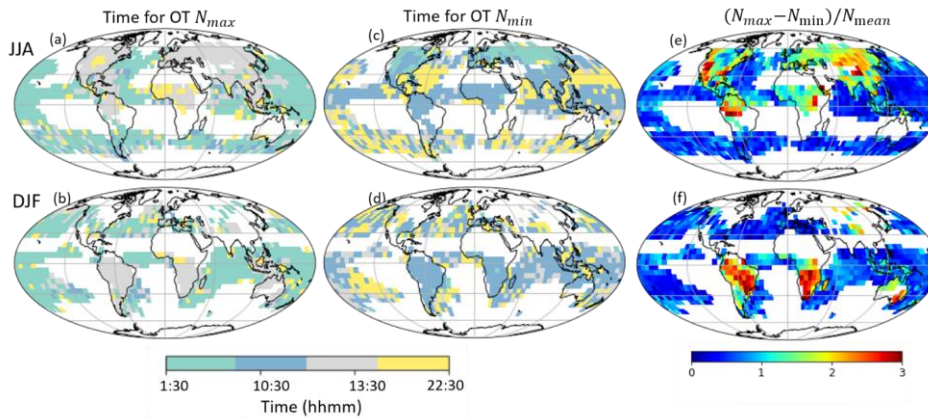
The diurnal cycles of OT occurrences over ocean are generally weak (Figs. 10e and 10f), being consistent with previous convection diurnal cycle analysis (Alcala & Dessler, 2002; Liu & Zipser, 2005; Nesbitt & Zipser, 2003). In contrast, the OT diurnal cycles over land are much stronger than over ocean. Strong regional variations are also discovered over land areas. Relatively strong OT diurnal cycles are found during JJA over southwest North America, southeast United States, Tibetan High, tropical South America, and during DJF over southeast Australia, tropical and subtropical South America and subtropical Africa. Relatively weak diurnal cycles over land are observed in central North America and west Africa in JJA. Strong regional variations in OT diurnal cycle over land are consistent with previous studies based on convection and precipitation that demonstrate the diurnal cycles are complicatedly modulated by land-sea contrast, topography, coastline curvature and response to solar heating to surface (Janiga and Thorncroft, 2014; Tian et al., 2005).

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577  
 578 Figure 9. The global distributions of OTs at four observation times. Grids with OT number < 100  
 579 are shown in white. N stands for sample number.



580  
 581 Figure 10. Panels a-d are for the time when maximum and minimum OT occurrence  
 582 occurs across the four-observation time. Panels e-f are for diurnal intensity of OT occurrences,  
 583 defined as the difference of maximum and minimum OT occurrences, normalized by the mean.  
 584 Only when the minimum OT occurrences > 10 in each 5°x5° grid, data is shown.



585 **4.4 Land-Sea Contrast**

586 From the diurnal cycle analysis in Sect. 4.3, we have noticed some land-sea contrast in  
587 OT characteristics. For instance, OTs occur more frequently in the afternoon over land, whereas  
588 they are more frequent at midnight over ocean, and OT occurrence diurnal cycle is stronger over  
589 land than over ocean. In this section, attention is placed on OT center  $T_{b11}$ , which indicates  
590 storm intensity. By checking the geospatial distributions of OT center  $T_{b11}$ , we observe  
591 extremely cold OT center  $T_{b11}$  (e.g.  $< 180$  K) appearing over the tropical regions, including  
592 regions near northern Australia, east of Papua New Guinea, India and nearby Arabian sea,  
593 tropical and subtropical Africa, and tropical and subtropical South America, derived from both  
594 Aqua and Terra MODIS (Figs. 11a and 11b). The locations of cold OTs are also aligned with the  
595 places where occur intense convection based on TRMM (Zipser et al., 2006).

596 Particularly, the first 10 coldest OTs (marked in red triangles and summarized in Table 2)  
597 from Aqua and Terra MODIS nearly occur in Southern Hemisphere with more cases over land  
598 than over ocean. The top 10 coldest OTs from Aqua are colder than 167 K with the coldest OT of  
599 165.6 K over east of Papua New Guinea, whereas Terra shows the coldest OT of 167.2 K  
600 occurring in northern Australia. This finding agrees with the cold OT distributions discussed in  
601 Proud & Bachmeier, 2021, which states that an extremely cold tropopause coupled to an  
602 energetic overshooting top produced such a cloud top temperature.

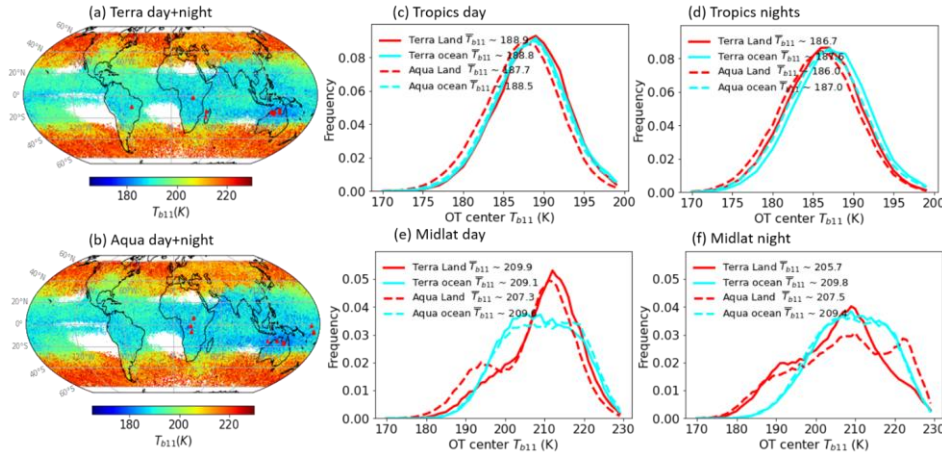
603 Additionally, Figs. 11a and 11b reveal colder OTs over land than over ocean at the same  
604 latitudes. By checking the probability density distributions (PDFs) of OT center  $T_{b11}$ , we find  
605 that land-sea contrast in OT  $T_{b11}$  also relies on diurnal cycle. In the daytime morning ( $\sim 10:30$   
606 am) when convection over land is weak,  $T_{b11}$  over land is slightly warmer than over ocean in  
607 both the tropics and midlatitudes (Figs. 11c and 11e). Land-sea contrast in  $T_{b11}$  is small at this  
608 time. At  $\sim 1:30$  pm as convection becomes stronger over land,  $T_{b11}$  over land is on average 0.8 K  
609 and 2.3 K colder than over ocean in the tropics and midlatitudes, respectively (Figs. 11c and  
610 11e). At nighttime (Figs. 11d and 11f), land-sea contrast in  $T_{b11}$  becomes stronger than in the  
611 daytime. In the tropics,  $T_{b11}$  over land is about 1 K on average colder than that over ocean,  
612 whereas in the midlatitudes, it is about 2 K colder over land than over ocean.

613 Our findings indicate that OTs over land are more intense than over ocean except for the  
614 early morning ( $\sim 10:30$  am) when convection over land is weak. These findings agree with  
615 previous studies that have shown more intense convection over land area, associated with  
616 stronger updrafts than the oceanic counterpart (Jeyaratnam et al., 2021; Liu & Zipser, 2005).

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618

619 Figure 11. a and b are for spatial distributions of OT center  $T_{b11}$ . Red triangles indicate the  
 620 locations of top ten coldest OTs. Panels c-f are for OT center  $T_{b11}$  PDFs in the tropics and  
 621 midlatitudes, segregated in day and nighttime.

622 Table 2. Summary of the top 10 coldest OTs from Terra and Aqua, respectively.

	Terra				Aqua			
	$T_{b11}(K)$	Location(lon,l at)	Time <sup>1</sup>	D/N <sup>2</sup>	$T_{b11}(K)$	Location(lon,l at)	Time	D/ N
1	167.2	129.75,-14.54	2016365.13 50	N	165.6	172.65,-6.66	2018365.14 15	N
2	167.6	128.62,-15.76	2006023.14 10	N	166.4	169.81,-0.99	2018365.14 10	N
3	167.6	125.47,-14.62	2014004.02 05	D	166.5	21.34,-0.74	2015082.00 30	N
4	167.8	27.28,-1.46	2013023.20 20	N	166.6	22.29,-6.15	2020053.23 55	N
5	167.8	-48.54,-9.98	2013029.01 30	N	166.7	172.54,-7.35	2018365.14 15	N
6	167.8	136.12,-14.72	2019003.13 20	N	166.7	138.75,-17.12	2018332.04 20	D
7	167.8	44.58,-19.05	2006074.19 35	N	166.8	138.71,-15.78	2012080.16 25	N
8	168.1	44.63,-13.74	2004023.19 25	N	166.8	129.75,-14.64	2003020.05 00	D
9	168.3	135.39,12.79	2008006.14 05	N	166.9	118.45,-14.93	2016359.06 00	D
10	168.3	128.49,-16.31	2018001.14 05	N	166.9	24.93,5.63	2008123.11 40	D

623 1. Time in the format of year.day.hhmm

624 2.D for day and N for night

625

## 626 5. Conclusions

627 To utilize about two-decade MODIS records in study of convective overshooting tops,  
628 we developed an IR algorithm to detect OTs from MODIS. The resultant OT climatology was  
629 used to understand OT regional and seasonal distributions, OT diurnal cycles and OT land-sea  
630 contrast.

631 The approach to detect OTs uses IR radiances from MODIS water vapor ( $6.7 \mu\text{m}$ ) and  
632 window ( $11 \mu\text{m}$ ) channels. This approach was built upon the logistic regression which was  
633 trained and validated with  $\sim 287$  OT candidates identified from the combined CloudSat-  
634 CALIPSO-MODIS (CCM) data. As demonstrated by six-year collocated GPM observations, the  
635 OT probability generated by the IR algorithm indicates storm intensity and represents a  
636 confidence level of OT detection. When OT probability is higher than 0.9, the accuracy for OT  
637 detection is better than about 0.9 as validated by manual check.

638 The global and seasonal distributions of OT occurrences follow the expected pattern  
639 based on the known climatology of deep convection and precipitation, shifting with the ITCZ  
640 and monsoonal systems. Frequent OTs are also observed over central North American, Europe,  
641 northern Asia and the northwest Atlantic Ocean in summer. Our OT climatology also includes  
642 those OTs observed in the shallow convection over the mid-latitude ocean during [spring](#)-winter  
643 cold air outbreaks.

644 MODIS observations at four different times were used to derive part of the OT diurnal  
645 cycle. The diurnal cycle follows the known diurnal cycle of convection: The most OT  
646 occurrences are observed at about 1:30 pm (ECT) over most land area, including tropical and  
647 subtropical South America, tropical and subtropical Africa, southeast North America, foot of  
648 Himalayas and Maritime continent, etc. Over ocean, maximum OT occurrences are usually at  
649 around midnight ( $\sim 1:30$  am) except for offshore ocean. OT occurrences in the morning ( $\sim 10:30$   
650 am) over coastal ocean are apparent which continue to the afternoon at  $\sim 1:30$  pm. Minimum OT  
651 occurrences are usually at  $\sim 10:30$  am over land. Over ocean, however, minimum occurrences  
652 can be at any time except 1:30 am. Also, the OT diurnal cycle is stronger and more varied over  
653 land than over ocean.

654 Jeyaratnam et al., (2021) indicated that tropical convection is deeper than mid-latitude  
655 convection. This is also revealed by the midlatitude-tropics contrast in OT center  $T_{b11}$  shown in  
656 this study, i.e. tropical OTs are colder than mid-latitude OTs. In the tropics, the ~~the~~ OT center  
657  $T_{b11}$  tends to be colder over land than over ocean ~~ex~~cept at  $\sim 10:30$  am when convection over  
658 land is weak. Also, the top 10 coldest OTs from either Terra or Aqua mostly occur over land.  
659 These results agree with previous studies that have confirmed that tropical land areas exhibit  
660 more intense overshooting convection than the tropical oceans (Alcala & Dessler, 2002; Liu &  
661 Zipser, 2005). Mid-latitude OTs have stronger land-sea contrast in  $T_{b11}$  than in the tropics with  
662 OTs over land being 2.3, 4.1 and 1.9 K colder than ~~that~~ over ocean at about 1:30 pm, 10:30 pm  
663 and 1:30 am, respectively.

664 This study has displayed a comprehensive analysis of OT occurrences [over near the globe](#)  
665 for the ~~first time~~[first-time](#) using MODIS data. ~~As MODIS~~[that](#) has a ~~fine~~[better](#) spatial resolution

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666 (1 km) and ~~provides about two-decade stable climate record~~~~covers the longest time period than~~  
667 ~~previous OT climatologies that were derived from either GPM, GOES or AMSU-B, results in~~  
668 ~~this study are an important complementary to the current OT climatology in literature derived~~  
669 ~~from GPM, GOES and AMSU-B. This study also lays a foundation to understand near global~~  
670 ~~climatological distributions of hazardous thunderstorms. Our ongoing work seeks to use this OT~~  
671 ~~climatology to quantify OT aera, which will leading~~ to valuable insights into intense updraft size  
672 distributions in deep convection over the globe.

### 673 **Data availability**

674 CloudSat data including 2B-GEOPROF, 2B-CLDLASS-LIDAR and ECMWF-AUX, were  
675 downloaded from <https://www.cloudsat.cira.colostate.edu/>.

676 GPM radar data is available at [https://disc.gsfc.nasa.gov/datasets/GPM\\_2ADPR\\_07/summary](https://disc.gsfc.nasa.gov/datasets/GPM_2ADPR_07/summary).

677 MODIS data is available at <https://ladsweb.modaps.eosdis.nasa.gov/>.

678 MERRA-2 data can be downloaded at  
679 <https://goldsmr4.gesdisc.eosdis.nasa.gov/data/MERRA2/M2I1NXASM.5.12.4/>.

680 [The dataset used for training and cross-validating Logistic Regression is available in](#)  
681 [Supplementary 2.](#)

682 [The dataset used for manually validating Logistic Regression is available in Supplementary 3.](#)

683

### 684 **Author contribution**

685 YH, JT, SN and LDL conceived this study. YH performed the analysis, collected data, and wrote  
686 the manuscript. SN collected data, helped with data analysis and edited the manuscript. JT  
687 helped with interpretation of results and edited the manuscript. LD joined result discussions and  
688 edited the manuscript.

### 689 **Competing interests**

690 The authors declare that they have no conflict of interest.

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