



# Diurnal carbon monoxide observed from a geostationary infrared hyperspectral sounder: First result from GIIRS onboard FY-4B

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**Abstract.** The Geostationary Interferometric Infrared Sounder (GIIRS) onboard FengYun-4 series satellites is the world's first  
10 geostationary hyperspectral infrared sounder. With hyperspectral measurement covering the carbon monoxide (CO) absorption window around 2150 cm<sup>-1</sup>, GIIRS provides a unique opportunity for monitoring the diurnal variabilities of atmospheric CO over East Asia. In this study, we develop the FengYun Geostationary satellite Atmospheric Infrared Retrieval (FY-GeoAIR) algorithm to retrieve the CO profiles from FY-4B/GIIRS data and provide CO maps at a spatial resolution of 12 km and a temporal resolution of 2 hours. The performance of the algorithm is first evaluated by conducting retrieval experiments using  
15 simulated synthetic spectra. The result shows that the GIIRS data provide significant information for constraining CO profiles. The degree of freedom for signal (DOFS) and retrieval error are both significantly correlated with thermal contrast (TC), the temperature difference between the surface and the lower atmosphere. Retrieval results from one month of GIIRS spectra in July 2022 show that the DOFS for the majority is between 0.6 and 1.2 for the CO total column and between 0 and 0.25 for the bottom 0-1 km layer. Consistent with CO retrievals from low-earth-orbit (LEO) infrared sounders, the largest observation  
20 sensitivity, as quantified by the averaging kernel (AK), is in the free troposphere at around 3-6 km. The diurnal changes in DOFS and vertical sensitivity of observation are primarily driven by the diurnal TC variabilities. Finally, we compare the CO total columns between GIIRS and IASI and find that the two datasets show good consistency in capturing the daily variabilities. This study demonstrates the capability of GIIRS in observing the diurnal CO changes in East Asia, which will have great potential in improving local and global air quality and climate research.

## 25 1 Introduction

Observing atmospheric composition from space provides critical data for forecasting air quality, assessing climate change, and monitoring the long-term variabilities in tropospheric and stratospheric compositions. In the last two decades, satellite-borne instruments onboard polar-orbiting satellites in Low-Earth Orbit (LEO) have demonstrated their full capabilities in observing the atmospheric composition (e.g., Clerbaux et al., 2003; Crevoisier et al., 2014; Shephard et al., 2015; Buchwitz et al.,  
30 2005; Borsdorff et al., 2018). However, a single LEO satellite has a revisit time of 12 hours over the equator. Only one (for



near-infrared or UV–visible instrument) or two (for thermal infrared sounder) observations are available each day for the same spot. Critical information on the diurnal cycle of atmospheric composition is, however, not available from LEO satellites. As an important advancement over current LEO instruments, measurements from geostationary (GEO) orbit can provide contiguous coverage with high spatial resolution and a revisit time of 1-2 hours, which would provide breakthrough measurements for numerical weather prediction and support high-temporal-resolution air quality forecasting (Schmit et al., 2009).

The Geostationary Interferometric Infrared Sounder (GIIRS) onboard FengYun-4 series satellites, launched in 2016 (FY-4A) and 2021 (FY-4B), respectively, is the world’s first geostationary hyperspectral infrared sounder (Yang et al., 2017). With a spectral resolution of  $0.625\text{ cm}^{-1}$ , similar to current LEO satellites, GIIRS provides a unique opportunity for observing the diurnal variabilities of atmospheric composition over East Asia, as has been demonstrated in retrieving atmospheric ammonia (Clarisse et al., 2021). Existing on-orbit GEO instruments for observing air quality also include the Geostationary Environment Monitoring Spectrometer (GEMS) by South Korea which was launched in Feb. 2020. GEMS was designed to measure air quality in Asia using ultraviolet and visible (UV/VIS) bands (Kim et al., 2020). Future GEO missions with hyperspectral capabilities include ESA’s Sentinel-4 mission onboard the Meteosat Third Generation Sounder platform, which is made up of the thermal Infrared Sounder (IRS) for providing profiles of temperature and humidity and the Ultraviolet Visible Near-infrared (UVN) spectrometer for monitoring air quality trace gases and aerosols in Europe (Ingmann et al., 2012; Holmlund et al., 2021), and NASA’s Tropospheric Emissions: Monitoring of Pollution (TEMPO; Zoogman et al., 2017) that will track air quality in North America. In addition, the to-be-launched greenhouse gas targeted mission: Geostationary Carbon Cycle Observatory (GeoCarb) by NASA was designed to measure carbon dioxide ( $\text{CO}_2$ ), methane ( $\text{CH}_4$ ), and carbon monoxide (CO) throughout the Americas (Polonsky et al., 2014).

As an important trace gas for understanding air quality and climate forcing, CO is a direct product of incomplete combustion primarily from biomass and fossil fuel on the surface and a by-product of oxidation of  $\text{CH}_4$  and non-methane hydrocarbons in the atmosphere (Brenninkmeijer and Novelli, 2003). Being a precursor to the formation of tropospheric ozone, CO also plays an important role in tropospheric chemistry (Chin et al., 1994). Because of its low background concentration and moderately long lifetime (weeks to months) in the troposphere, CO is an effective tracer for the long-range transport of pollution (Forster et al., 2001) and carbon emissions (Gamnitzer et al., 2006). Nadir observation of CO from space has been providing long-term global coverage from both thermal (TIR) and near-infrared (NIR) instruments. One of the earliest attempts to retrieve atmospheric CO was made by the Interferometric Monitor of Greenhouse gases (IMG) onboard the Japanese ADEOS satellite (Kobayashi et al., 1999). From the early 2000s, the Measurements Of Pollution in The Troposphere (MOPITT) instrument onboard NASA’s Terra satellite launched was the first to provide routine global maps of CO daily (Deeter et al., 2003). Following missions with CO nadir observation capability includes the Infrared Atmospheric Sounding Interferometer (IASI) onboard Metop-A/B (Hurtmans et al., 2012), the Scanning Imaging Absorption Spectrometer for Atmospheric Chartography (SCIAMACHY) onboard the European ENVISAT satellite (Buchwitz et al., 2005), the Tropospheric Emission Sounder (TES) onboard NASA’s Aura satellite (Luo et al., 2007), and the Cross-track Infrared Sounder (CrIS) onboard the Suomi National



65 Polar-orbiting Partnership platform (Goldberg et al., 2013; Gambacorta et al., 2014). More recently, TROPOMI and  
GOSAT-2, covering the NIR spectra, provides additional daily global views of CO (Borsdorff et al., 2018; Noël et al., 2022).  
However, none of the current instruments and missions provide diurnal CO measurements with high temporal resolution from  
a GEO platform.

70 In this study, we report the first result of diurnal CO retrieved from the hyperspectral infrared measurements by the GIIRS  
using the Feng-Yun Geostationary satellite Atmospheric Infrared Retrieval (FY-GeoAIR) algorithm. The retrieval algorithm  
uses the absorption feature of CO's fundamental 1-0 rotation-vibration band centered around  $4.7 \mu\text{m}$  ( $2150 \text{ cm}^{-1}$ ), which allows  
the measurement to be made during the daytime and the nighttime and provides important vertical information from the  
retrieval (Crevoisier, 2018). The clear-sky CO retrievals and uncertainties are produced as well as the averaging kernel (AK)  
matrix for each retrieval that quantifies its vertical observation sensitivity and information content.

75 This paper is organized as follows. In Sect. 2, the GIIRS instrument and the observed spectra data are introduced. In Sect.  
3, we describe the details of the forward model based on radiative transfer and the inverse model based on optimal estimation  
theory. We show results from a simulation experiment in Sect. 4 to assess the performance of the retrieval algorithm. Results  
of CO retrievals from applying the algorithm to GIIRS spectra in July of 2022 are demonstrated in Sect. 5, followed by  
discussions and conclusions in Sect. 6 and Sect. 7, respectively.

## 80 2 The Geostationary Interferometric Infrared Sounder (GIIRS)

### 2.1 GIIRS

The FY-4 satellites series are China's second-generation geostationary meteorological satellites with improved capabilities for  
weather and environmental monitoring. FY-4B, the second satellite in the FY-4 series was launched in June 2021, following  
FY-4A which was launched in December 2016. The GIIRS onboard FY-4 is an infrared Fourier transform spectrometer based  
85 on a Michelson interferometer, also the first space-borne interferometer in geostationary orbit, primarily aiming to measure  
the three-dimensional atmospheric structure of temperature and water vapor for the numerical weather forecast. FY-4B/GIIRS  
is located at an altitude of 35,786 km above the equator at  $123.5^\circ\text{E}$  after launch and was relocated to  $133^\circ\text{E}$  after April 11,  
2022. The observation domain of FY-4B/GIIRS is mostly over East Asia, with a focus on China, as shown in Fig. 1(a).  
Climatological maps of surface pressure and temperature of the observation domain are shown in Fig. A1 in the Appendix.  
90 FY-4B/GIIRS makes routine observations of the full region every 2 hours and 12 times per day (starting at 0, 2, 4, ..., 22h  
UTC, respectively). Note that the starting hours have been changed to 1, 3, 5, ..., 23h UTC after September 06, 2022. Each  
full region observation comprises 12 horizontal scans, and each scan sequence consists of 27 fields-of-regards (FORs) plus  
one deep space (DS) and one internal calibration target (ICT) measurement. The DS and ICT measurements are used as two  
known radiation sources to radiometrically calibrate the Earth-observing spectra. One full region coverage takes about 1.5  
95 hours, and in the following 0.5 hours of each 2-hour observing cycle, the sounder is operated in the external calibration mode



for instrument performance validation. The layout of the 2-dimension infrared plane array detector for each FOR is shown in **Fig. 1(b)**. The detector has  $16 \times 8$  pixels with a sparse arrangement. A pixel spans  $120 \mu\text{m}$  and the field of view (FOV) is  $336 \mu\text{rad}$ . The spatial sampling on the Earth's surface is about  $12 \text{ km}$  at Nadir. The observed Earth's upwelling infrared radiation covers two spectra regions: long-wave IR band from  $680$  to  $1130 \text{ cm}^{-1}$  and mid-wave IR band from  $1650$  to  $2250 \text{ cm}^{-1}$  with a spectral resolution of  $0.625 \text{ cm}^{-1}$ . With low instrument noise and a high spectral resolution and range similar to current LEO IR sounders, GIIRS is in principle capable of measuring trace gases, including CO, and providing full day-night diurnal cycle observations. **Fig. 1(c)** shows an example of GIIRS spectra for the CO retrieval window from  $2143$  to  $2181.25 \text{ cm}^{-1}$ , and the Jacobian for CO and the interference gas  $\text{H}_2\text{O}$  to demonstrate their contribution to the absorption features in the original spectra.

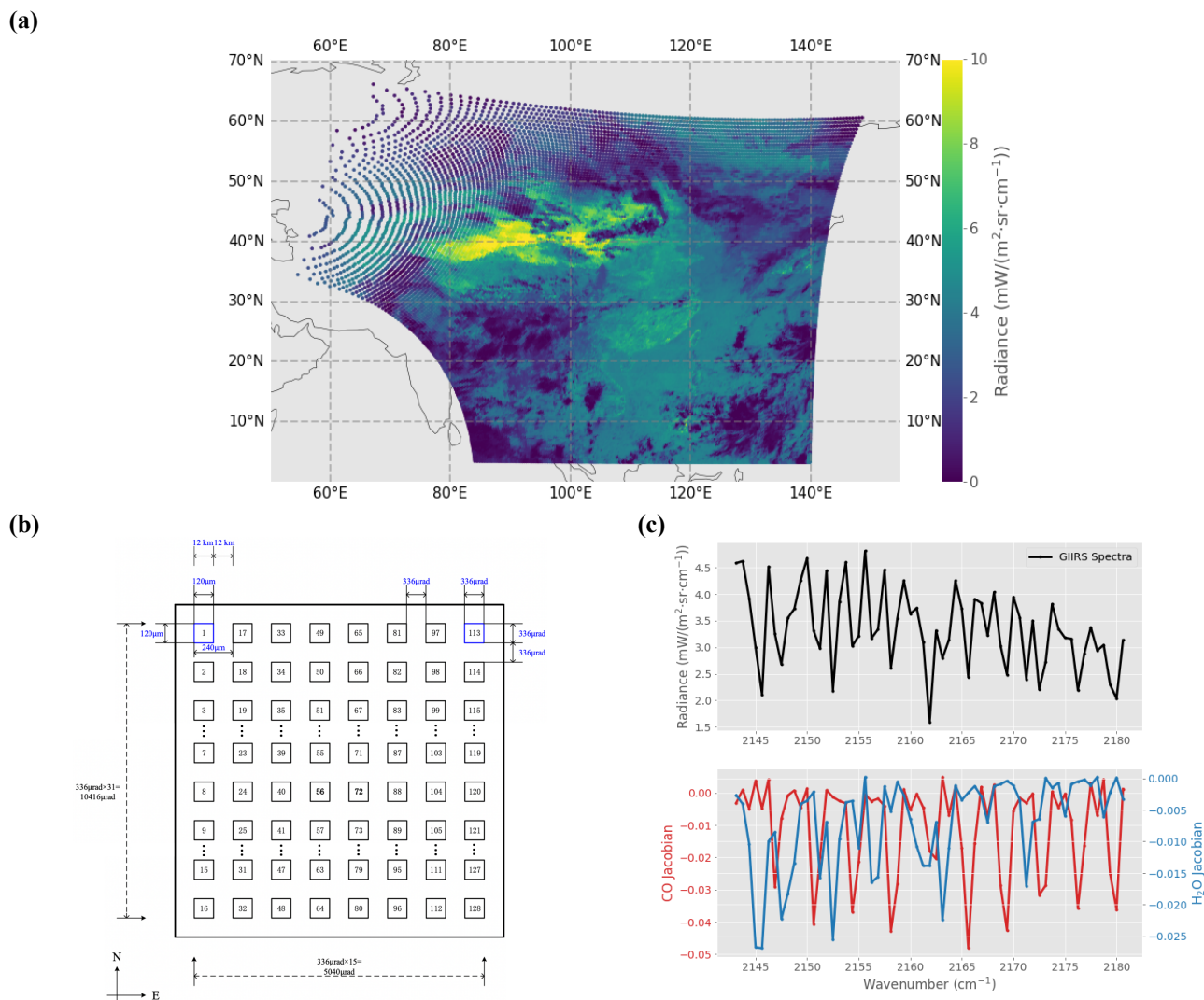
## 2.2 Assessment of GIIRS pre-launch instrument noise

For predicting FY-4B/GIIRS's post-launch performance, a series of blackbody calibration experiments have been conducted before launch in a laboratory thermal vacuum tank to evaluate the radiometric performances of the GIIRS instrument. As described in detail by Li et al. (2022), the evaluation results showed that the noise equivalent differential radiance (NedR) on average in the mid-wave IR bands, covering the CO absorption channel, is less than  $0.1 \text{ mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$ . As for the radiometric calibration, the mid-wave IR band is susceptible to noise when the instrument is used to observe low-temperature targets. Nevertheless, the radiometric noise in brightness temperature also met the  $0.7\text{K}$  requirement in the range of  $260\text{--}315\text{K}$ , which is comparable to existing infrared sounders. As a result, low instrument noise for GIIRS makes it possible to provide strong constrain on retrieving CO vertical distribution.

## 2.3 Filtering of cloudy GIIRS pixels

Only clear-sky or near-clear-sky pixels are considered in the retrieval algorithm. To filter out cloudy pixels, we adopted the higher-resolution ( $4 \text{ km}$ ) level-2 cloud mask (CLM) data product from the Advanced Geostationary Radiation Imager (AGRI) onboard FY-4B. AGRI uses multispectral threshold algorithms based on different spectral characteristics of VIS, NIR, and TIR bands under cloudy and clear conditions to obtain cloud mask information (Lai et al., 2019). The cloud mask of AGRI classifies pixels into four categories: clear, probably clear, probably cloudy, and cloudy. We collocated the GIIRS and AGRI footprints and assigned the GIIRS to be clear or near-clear when at least  $80\%$  of the collocated AGRI pixels are labeled as clear or probably clear.

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**Figure 1:** (a) FY-4B/GIIRS observation coverage from the tropics to  $\sim 60^\circ\text{N}$ , and from  $\sim 60^\circ\text{E}$  to  $\sim 140^\circ\text{E}$ . The footprints on the left are sparse because of the geometric effect related to the large viewing zenith angle. The color denotes the observed radiance at  $2132.5 \text{ cm}^{-1}$  in hour 04-05 UTC on July 24, 2022, as an example; (b) The layout of the 2-dimension infrared plane array detector of GIIRS. The detector has  $16 \times 8$  pixels with a sparse arrangement. A pixel spans  $120 \mu\text{m}$  and the field of view is  $336 \mu\text{rad}$ . The spatial sampling on the Earth's surface is about  $12 \text{ km}$  at Nadir; (c) (top) An example of GIIRS spectra in the CO retrieval window from  $2143$  to  $2181.25 \text{ cm}^{-1}$ ; (bottom) Jacobian for CO and the interference gas H<sub>2</sub>O in the 4<sup>th</sup> layer, where the averaging kernel value peaks.



### 3 The forward model in FY-GeoAIR for simulating observed spectra

130 An accurate radiative transfer (RT) model for simulating spectra is a prerequisite for constructing the inversion system for atmospheric composition retrieval. The thermal RT model is built based on radiative transfer theory with inputs of (1) atmospheric state including profiles of temperature, water vapor, atmospheric composition, and surface features; (2) the instrumental specifications, such as instrument spectral response function, and observing geometries; (3) spectroscopic database for computing the absorption cross-sections of gas molecules.

#### 135 3.1 Radiative transfer in the thermal infrared

The upwelling spectral radiance observed by GIIRS can be computed by integrating the RT Equation (1), which includes the same processes as the forward RT models by TES (Clough et al., 2006) and IASI (Hurtmans et al., 2012). Under clear conditions, scattering by clouds and aerosols can be ignored. For the CO absorption window around 2150 cm<sup>-1</sup>, the surface reflected solar radiation accounts for several percent in the total upwelling radiance and, therefore, cannot be neglected. The upwelling radiance received by a nadir-viewing satellite includes four main components: (a) surface emission (1<sup>st</sup> term in the r.h.s. of Eq. (2)); (b) upwelling atmospheric emission from the bottom- to the top- of the atmosphere [2<sup>nd</sup> term in the r.h.s. of Eq. (1)]; (c) surface-reflected downwelling atmospheric emission [2<sup>nd</sup> term in the r.h.s. of Eq. (2)]; and (d) surface-reflected solar radiation [3<sup>rd</sup> term in the r.h.s. of Eq. (2)]. All of these radiation sources are attenuated by the atmosphere. The RT Equation is given by:

$$145 \quad I_v^\uparrow(\tau = 0, \mu) = I_v^\uparrow(\tau_v^*, \mu) \cdot T_v\left(\frac{\tau_v^*}{\mu}\right) + \int_0^{\tau_v^*} B_v(t(\tau')) \cdot \frac{\partial T_v\left(\frac{\tau'}{\mu}\right)}{\partial \tau'} d\tau', \quad (1)$$

and  $I_v^\uparrow(\tau_v^*, \mu)$  is the upwelling radiance from the surface layer comprising three sub-processes given by:

$$I_v^\uparrow(\tau_v^*, \mu) = \epsilon_v \cdot B_v(t_{skin}) + (1 - \epsilon_v) \cdot \tilde{I}_v^\downarrow(\tau_v^*) + \alpha_v \cdot I_v^{\downarrow\odot}(\tau_v^*), \quad (2)$$

in which  $\tau$  is the optical depth, and  $\tau=0$  and  $\tau_v^*$  represents the top and the bottom of the atmosphere, respectively;  $\mu$  is the cosine of the satellite viewing zenith angle;  $B_v(t)$  is the Planck function for computing black-body radiation at temperature  $t$ ;  $T_v(\tau)$  is the transmission at level  $\tau$ ;  $\epsilon_v$  is the emissivity;  $\alpha_v$  is the surface reflectance;  $I_v^{\downarrow\odot}(\tau_v^*)$  is the solar radiation reaching the surface level;  $\tilde{I}_v^\downarrow(\tau_v^*)$  is the total downwelling flux reaching the surface, integrated upon all the geometries by considering a Lambertian surface. Similar to Clough et al. (2006) and Hurtmans et al. (2012), the evaluation of this equivalent downward flux integral can be simplified by computing an effective downward radiance with a zenithal angle of 53.51°, which gives a very accurate approximation of the integral for emissivity larger than 0.9 (Turner, 2004).

155 The monochromatic radiances at a high resolution of 0.05 cm<sup>-1</sup> (over-sampled by 12 times compared to GIIRS spectral resolution of 0.625 cm<sup>-1</sup>) are simulated and then convolved with the GIIRS instrument line shape (ILS) to obtain calculated radiances at the same resolution as GIIRS that can directly be compared with the GIIRS spectra. ILS for GIIRS is constructed using a standard SINC function with a Maximum Optical Path Difference (MOPD) of 0.8 cm. The original spectra are not



160 apodized to retain the original spectral absorption features. Instead, a wide ILS, with a width of  $40\text{ cm}^{-1}$ , is used to account for the contribution from oscillating side lobes on both sides of the SINC function (Gambacorta and Barnet, 2018).

### 3.2 A priori atmospheric and surface parameters

The RT model in FY-GeoAIR requires inputs of atmospheric state parameters including profiles of temperature, water vapor, and atmospheric composition and surface parameters. The data sources and their specifications are described below.

#### 3.2.1 Model-derived CO climatology

165 Since CO is the primary gas to be retrieved, constructing an appropriate a priori for the retrieval algorithm is important. Similar to the TES retrieval algorithms (Luo et al., 2007), we use a model-derived CO monthly climatology to create spatially and temporally varying a priori CO fields. A climatology-based a priori provides the best a priori knowledge from the model and was shown to have a better performance over regions with persisting high levels of CO throughout the year (George et al., 2015). We used the CO simulations from the ECMWF CAMS global reanalysis (EAC4) monthly averaged fields (Inness et al., 2019), which have a horizontal resolution of  $0.75^\circ \times 0.75^\circ$ , a temporal resolution of 3-hour, and 25 pressure levels from 1000 hPa to 1hPa. We computed the 3-hourly CO profile climatology for each month using 5 years of simulations from 2017 to 2021.

#### 3.2.2 Atmospheric profiles of H<sub>2</sub>O, CO<sub>2</sub>, N<sub>2</sub>O, and O<sub>3</sub>

175 In the spectral window from  $2120\text{ cm}^{-1}$  to  $2080\text{ cm}^{-1}$ , the interference gases include H<sub>2</sub>O, CO<sub>2</sub>, N<sub>2</sub>O, and O<sub>3</sub>. H<sub>2</sub>O and O<sub>3</sub> are extracted from ECMWF ERA5 reanalysis (Hersbach et al., 2020), which has a horizontal resolution of  $0.25^\circ \times 0.25^\circ$ , a temporal resolution of 1 hour, and 37 pressure levels from 1000hPa to 1hPa. N<sub>2</sub>O and CO<sub>2</sub> are extracted from ECMWF CAMS global inversion-optimized greenhouse gas fluxes and concentrations (ECMWF, 2022), which have a spatial resolution of  $1.9^\circ \times 3.75^\circ$ , a temporal resolution is 3-hour, and 39 pressure levels from 1000hPa to 1hpa. The latest available year of datasets in 2019 for N<sub>2</sub>O and 2021 for CO<sub>2</sub> are used.

#### 180 3.2.3 Atmospheric temperature profile

The atmospheric temperature profile is a key input in the forward RT model for computing the blackbody emission by the atmosphere. The atmospheric temperature data are extracted from ECMWF ERA5 reanalysis (Hersbach et al., 2020), which has a horizontal resolution of  $0.25^\circ \times 0.25^\circ$ , a temporal resolution of 1 hour, and 37 pressure levels from 1000hPa to 1hPa.

#### 3.2.4 Surface emissivity, surface skin temperature, and surface pressure



185 Surface emissivity and skin temperature are important parameters in computing surface blackbody emission. For the surface  
land data, we used the global infrared land surface emissivity database from the University of Wisconsin-Madison (UOW-M)  
(Seemann et al., 2007). The dataset has 10 bands in wavenumber, ranging from mid-wave infrared to long-wave infrared.  
Interpolation is performed to derive the emissivity in the CO channels. For ocean surface emissivity, we adopted the ocean  
emissivity model, which is a function of viewing zenith angle and wind speed, from Masuda et al. (1997). Surface skin  
190 temperature and surface pressure are extracted from ERA5 hourly data on single level (Hersbach et al., 2020), which has a  
spatial resolution of  $0.25^\circ \times 0.25^\circ$ . The surface pressure from ECMWF reanalysis has a typical accuracy of 2–3hPa (O'Dell et  
al., 2012).

The number of pressure grids in the forward RT model should be large enough to reduce the error associated with the  
discretization of the atmosphere. Here we define a 47 layers target atmosphere with an equal thickness of about 1 km from  
195 1000 hPa to 1hPa. All the above-described atmospheric a priori profiles are interpolated to the target pressure grids. The  
pressure grids are kept fixed except for the surface level which is determined by the surface pressure.

### 3.3 Spectroscopic database: Look-up tables of absorption cross-section

Deriving the absorption optical depth of gas molecules for the RT model would require the line-by-line calculation of  
absorption cross section based on spectroscopic line parameters and line shape. However, this line-by-line calculation at high  
200 spectral resolution for a wide spectral window is computationally expensive. Instead, to speed up the calculation, absorption  
coefficient (ABSCO) for different molecules at different pressures and temperatures are precalculated and stored in lookup  
tables (LUTs). For gas absorptions that have H<sub>2</sub>O dependence, the ABSCO dependence on H<sub>2</sub>O is also considered. This  
method of building ABSCO lookup tables has been adopted in previous retrieval algorithms, including the FORLI for IASI  
(Hurtman et al., 2012) and the ELANOR for TES (Clough et al., 2016).

205 In this study, ABSCO LUTs are built using the extensively validated Line-By-Line Radiative Transfer Model (LBLRTM  
v12.11; Clough et al., 2005). LBLRTM uses the HITRAN database as the basis for line parameters. These line parameters  
from HITRAN, plus additional line parameters from other sources, are combined for LBLRTM by a line file creation  
program called LNFL (v3.2). In addition to modeling individual spectral lines and absorption cross-sections, LBLRTM also  
takes into account the H<sub>2</sub>O, CO<sub>2</sub>, O<sub>2</sub>, and N<sub>2</sub> continua in the thermal infrared using the MT\_CKD continuum database  
210 (MT\_CKD\_3.4). The self-broadening absorption of H<sub>2</sub>O nonlinearly depends on its concentration which should be  
considered for calculating H<sub>2</sub>O ABSCO. It has been shown that the dependence is nearly linear for a given temperature and  
pressure. Therefore, to account for this effect in the LUT, we computed the H<sub>2</sub>O ABSCO at two H<sub>2</sub>O volume mixing ratio  
(VMR) values: 1ppm (dry air) and  $4 \times 10^4$  ppm (wet air). The ABSCO values at other H<sub>2</sub>O values can be calculated by linear  
interpolation. In LBLRTM, the line-by-line calculation resolution is set to be  $2.0 \times 10^{-4} \text{ cm}^{-1}$  and later integrated into the  
215 ABSCO LUT table resolution at  $5.12 \times 10^{-2} \text{ cm}^{-1}$ , which is oversampled by about 10 times compared to the GIIRS resolution





of  $0.625 \text{ cm}^{-1}$ . The LUTs are built for 49 atmospheric pressure levels from 1025 hPa to 1 hPa with a pressure step equivalent to 1 km, and 15 temperatures from 180 to 320 K with a step of 10K.

#### 4 Retrieval algorithm in FY-GeoAIR based on optimal estimation theory

220 The goal of the retrieval algorithm for retrieving CO from nadir-viewing instruments based on optimal estimation theory is to find a solution for the state vector, which consists of CO profile and auxiliary parameters, such that the RT simulations best fit the measured spectra. The optimal estimation method has been described thoroughly in **Rodgers (2000)** and applied in several previous studies by the group (**Zeng et al., 2017; Zeng et al., 2021; Natraj et al., 2022**).

##### 4.1 Atmospheric inversion based on optimal estimation

The general forward model that relates the state vector ( $\mathbf{x}$ ) to the measurement vector ( $\mathbf{y}$ ) is given by:

225 
$$\mathbf{y} = \mathbf{F}(\mathbf{x}, \mathbf{b}) + \boldsymbol{\varepsilon}, \quad (3)$$

where  $\mathbf{y}$  is the observed GIIRS spectral radiance at the CO retrieval window from  $2143 \text{ cm}^{-1}$  to  $2181.25 \text{ cm}^{-1}$ , which is found to be the best window that minimizes interferences by other gases while maximizing the information content for CO retrieval (**De Wachter et al., 2012**);  $\mathbf{x}$  is the state vector consisting a set of parameters to be retrieved, including CO profile, H<sub>2</sub>O profile, surface skin temperature and atmospheric temperature profiles.  $\mathbf{F}$  is the forward RT model for simulating radiance as introduced in **Sect. 3**;  $\mathbf{b}$  is a set of model parameters in the RT model that are not retrieved, such as profiles of interference gases (O<sub>3</sub>, CO<sub>2</sub>, and N<sub>2</sub>O), surface emissivity, and other relevant geophysical parameters.  $\boldsymbol{\varepsilon}$  is the spectral error vector containing the noise in the spectra observation. The goal of optimal estimation is to find the solution for the state vector that minimizes the following cost function (**Rodgers, 2000**):

230

$$J(\mathbf{x}) = \chi^2 = [\mathbf{y} - \mathbf{F}(\mathbf{x}, \mathbf{b})]^T \mathbf{S}_\varepsilon^{-1} [\mathbf{y} - \mathbf{F}(\mathbf{x}, \mathbf{b})] + (\mathbf{x} - \mathbf{x}_a)^T \mathbf{S}_a^{-1} (\mathbf{x} - \mathbf{x}_a), \quad (4)$$

235 where  $\mathbf{S}_\varepsilon$  is the measurement error covariance matrix;  $\mathbf{x}_a$  is the *a priori* state vector;  $\mathbf{S}_a$  is the *a priori* covariance matrix for the state vector. For simplicity in calculating  $\mathbf{S}_\varepsilon$ , we assume that the measurement noise dominates and there is no cross-correlation between different spectral channels, resulting in a diagonal matrix. The instrument noise (NedR) for each spectral observation, as described in **Sect. 2.2**, is used as the measurement noise. A commonly used statistic for quantifying the goodness of fit is the reduced  $\chi^2$ , which is computed as the cost function value after convergence divided by the degree of freedom, which is the number of channels in the absorption window (which is 64) minus the number of elements in the state vector (which is 4). After evaluating the reduced  $\chi^2$  from test runs, we found that the value on average is systematically lower than the theoretical mean value of 1.0, indicating that the measurement error has been underestimated. Therefore, in this study, we enlarge the measurement noise by 1.5 times such that the averaged reduced  $\chi^2$  value from the retrievals is close to 1.0. The extra noise added may represent the uncertainty from the forward model, spectroscopy, and the forward model inputs, which

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245 are not accounted for by the original instrument noise alone.  $\mathbf{S}_a$  is a very important parameter that should not be too tight or  
 loose to provide suitable constrain on the retrieval. It can be calculated based on the error in CO a priori profile and the  
 correlation matrix derived from model simulations. In this study, the error in the CO profile is set to be 30%, following **Deeter**  
**et al. (2010)** for MOPITT, which we found to be close to the error estimate (one standard deviation) in the CAMS CO  
 simulations; To construct the correlation matrix, we used a correlation length of 3 km based on our analysis using CAMS CO  
 250 simulations.

To obtain the solution for **Equation (4)**, we adopt the Levenberg-Marquardt method (**Rodgers, 2000**) to find the optimal  
 estimate of  $\mathbf{x}$  that minimizes the cost function through an iterative process:

$$\mathbf{x}_{i+1} = \mathbf{x}_i + [(1 + \gamma)\mathbf{S}_a^{-1} + \mathbf{K}_i^T \mathbf{S}_\varepsilon^{-1} \mathbf{K}_i]^{-1} \{ \mathbf{K}_i^T \mathbf{S}_\varepsilon^{-1} [\mathbf{y} - \mathbf{F}(\mathbf{x}_i, \mathbf{b})] - \mathbf{S}_a^{-1} [\mathbf{x}_i - \mathbf{x}_a] \}, \quad (5)$$

where the subscript  $i$  indicates the  $i^{\text{th}}$  iteration; The parameter  $\gamma$  is chosen at each iteration to minimize the cost function. The  
 255 strategy to choose  $\gamma$  is described in **Rodgers (2000)**.  $\mathbf{K}$  is the Jacobian matrix, which is the first derivative of the forward  
 model with respect to the state vector:

$$\mathbf{K}_i = \partial \mathbf{F}(\mathbf{x}_i, \mathbf{b}) / \partial \mathbf{x}_i, \quad (6)$$

where each element in  $\mathbf{K}_i$  quantifies the sensitivity of the simulated radiance to the corresponding geophysical variable in the  
 state vector. Although analytical expression can also be derived, we used the finite difference to compute  $\mathbf{K}$  for its simplicity.

260 At convergence, the *a posteriori* error matrix  $\hat{\mathbf{S}}$  can be estimated by:

$$\hat{\mathbf{S}} = (\mathbf{K}^T \mathbf{S}_\varepsilon^{-1} \mathbf{K} + \mathbf{S}_a^{-1})^{-1}, \quad (7)$$

#### 4.2 Averaging kernel matrix and Degree of Freedom for Signal (DOFS)

The quality of the retrieval can be characterized by two quantities: the AK matrix and the DOFS. AK matrix is an important  
 statistical metric for describing the sensitivity of the retrieval to the true state by the current observing system. The full  
 265 averaging kernel matrix ( $m \times m$ ) is given by:

$$\mathbf{A} = (\mathbf{K}^T \mathbf{S}_\varepsilon^{-1} \mathbf{K} + \mathbf{S}_a^{-1})^{-1} \mathbf{K}^T \mathbf{S}_\varepsilon^{-1} \mathbf{K}, \quad (8)$$

where  $m$  is the number of atmospheric layers.  $A_{ij}$  represents the derivative of the retrieved CO at level  $i$  with respect to the true  
 CO at level  $j$ , representing a relative contribution of the true state to the retrieved state. An ideal observing system would  
 produce an AK matrix close to the identity matrix, meaning the observations are sufficiently good to constrain each element  
 270 in the retrieval vector. In reality, the AK can be very different from an identity matrix, meaning that the information from the  
 true state is smoothed vertically over different layers by the retrieval algorithm. The rows of AK can be regarded as smoothing  
 functions. The trace of the AK matrix, representing the number of independent elements of information extracted by the  
 retrieval algorithm from the measurement, quantifies the DOFS. It is an important concept in describing the vertical resolution



275 of the retrieval profile. For example, a DOFS of 1 means that at least one independent piece of information on the vertical distribution of CO can be retrieved from the spectral measurement.

#### 4.3 Post-processing

280 All cloud-screened GIIRS spectra acquired over land and ocean at solar zenith angle less than  $70^\circ$  are used in the retrieval. In the post-processing, multiple filters are applied to ensure good retrieval quality. First, retrievals that fail to converge after 15 iterations are excluded. Second, retrievals with the goodness of fit, quantified by reduced  $\chi^2$ , less than 1.5 are excluded. Lastly, outliers of the CO total column defined as values that are more than 3 standard deviations away from the mean are excluded.

#### 5 Inversion experiments using simulated synthetic spectra

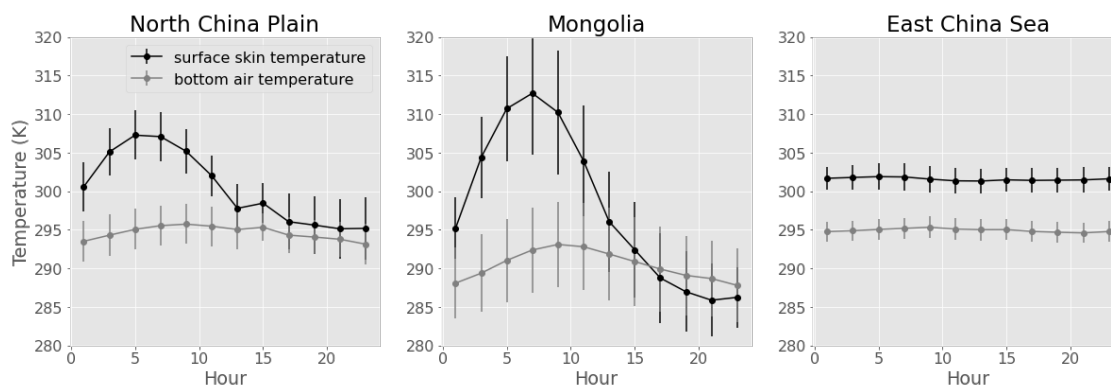
285 The goal of applying the FY-GeoAIR algorithm to simulated synthetic spectra is to assess the performance of the algorithm in retrieving CO profiles and to quantify the impacts on the accuracy due to the spatially and temporally varying thermal contrast (TC) in East Asia. TC is defined as the temperature difference between the surface and the lower atmospheric layer (Clarisse et al., 2010), and is found to be a key indicator of the information content for retrieving CO profile, especially the lower tropospheric CO, from infrared thermal radiance.

290 The synthetic spectra are generated using the same forward RT model as described in Sect. 3, except that we use the original ECMWF EAC4 3-hourly simulated CO as the "truth" and add Gaussian white noise with a standard deviation equal to  $\text{NedR} \times 1.5$ . The retrieval algorithm is then applied to these synthetic spectra using a priori CO from monthly climatology as described in Sect. 3.2. Since we assume no error in the forward RT model, the spectra error in the retrieval algorithm solely comes from the added noise. The retrievals are finally compared with the "truth" to investigate the impacts of TC and AK on their differences.

295 Three representative regions are specially selected for inter-comparisons, including (1) North China Plain (covering  $30^\circ$ - $40^\circ\text{N}$  and  $110^\circ$ - $120^\circ\text{E}$ ), which represents industrialized urban regions with persistently high CO emissions; (2) Mongolia (covering  $40^\circ$ - $50^\circ\text{N}$  and  $100^\circ$ - $115^\circ\text{E}$ ), which represents CO background regions; and (3) the East China Sea (covering  $25^\circ$ - $35^\circ\text{N}$  and  $122^\circ$ - $130^\circ\text{E}$ ), which represents ocean surface. As shown in Fig. 2, the diurnal changes of surface temperatures and bottom air temperatures show distinctive patterns over these three regions. Compared to the atmosphere, Earth's surface, especially over land, heats up and cools down more quickly because of its relatively low heat capacity. This mechanism results in a larger diurnal variation for the surface than for the atmosphere: TC is thus more pronounced during the day than the night. 300 Specifically, the East China Sea has a relatively flat change, as expected for ocean water due to its large heat capacity and ocean water mixing; The Mongolia region, covered by a mixture of grass and bare land, has the largest diurnal change; The North China Plain, surrounded by urban clusters with a mixture of residential and agriculture lands, has a moderate diurnal



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**Figure 2: The diurnal change of surface temperature and bottom layer air temperature extracted from ECMWF ERA5 reanalysis data for the three representative regions: North China Plain, Mongolia, and the East China Sea. These temperature values are averaged for every two-hour corresponding to the clear-sky GIIRS observations in July 2022.**

As shown in **Fig. 3 (a)** by taking North China Plain as an example, TC is significantly correlated with the DOFS from the CO retrievals for both the total column and the bottom 0-1 km layer partial column. A similar relationship can be found in LEO satellites (e.g., **Bauduin et al., 2017**). In addition, a higher DOFS leads to a smaller bias in the retrieval CO total columns, as shown in **Fig. 3(b)**. A similar pattern can be seen for the bottom layer CO partial columns, but slightly less obvious. A possible explanation is that, when the DOFS is small, the information for the bottom layer CO may be extrapolated from the free troposphere (**Bauduin et al., 2017; George et al., 2015**). This information extrapolation may lead to a higher bias in the retrieval for the bottom layer CO, as can be seen from **Fig. 3(b)** when DOFS is small. Overall, we can conclude that a higher (lower) TC leads to a higher (lower) DOFS for the retrieval, which in turn contributes to a smaller (larger) bias in the retrieval.

To evaluate the performance of the retrieval algorithm, we compare (1) the retrievals and the “truth” and (2) the retrievals and the a priori in North China Plain, Mongolia, and the East China Sea, respectively. From **Fig. 4**, we can see that the retrieved CO total columns have a higher correlation with the “truth” than with the a priori, suggesting that the retrieval algorithm are effective and the observed spectra are providing useful information to constrain the CO profiles. Since the sensitivity of the CO retrieval is pressure dependent, we implement a profile correction by applying the GIIRS AK to smooth the “truth” profile, to account for the different resolution between the retrieval and the “truth” (**Rodgers and Connor, 2003**):

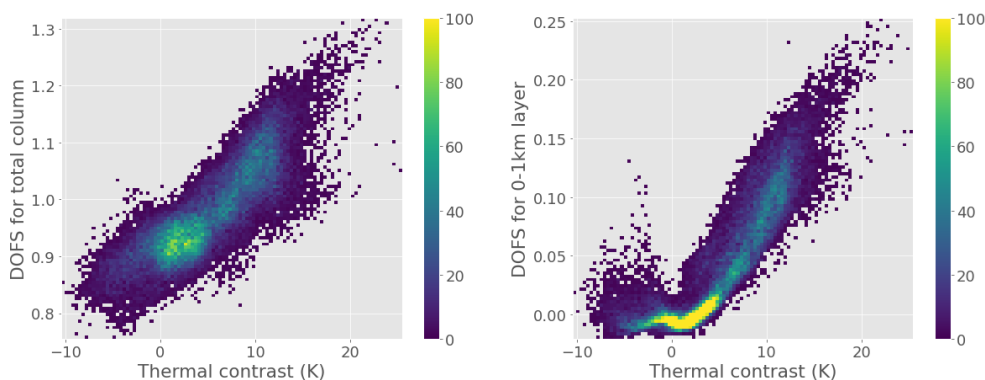
$$x_{true}^{AKcorrect} = x_a + \mathbf{A} \cdot (x_{true} - x_a), \quad (9)$$

where  $x_{true}^{AKcorrect}$  is the smoothed “truth” profile and  $x_a$  and  $\mathbf{A}$  are the a priori profile and AK matrix, respectively, from the GIIRS retrieval. The result is shown in the 3<sup>rd</sup> column in **Fig. 4**. The correlations are significantly improved, justifying the use of AK matrix smoothing for the CO profile from model simulations that have uniform vertical sensitivity. For example, if the

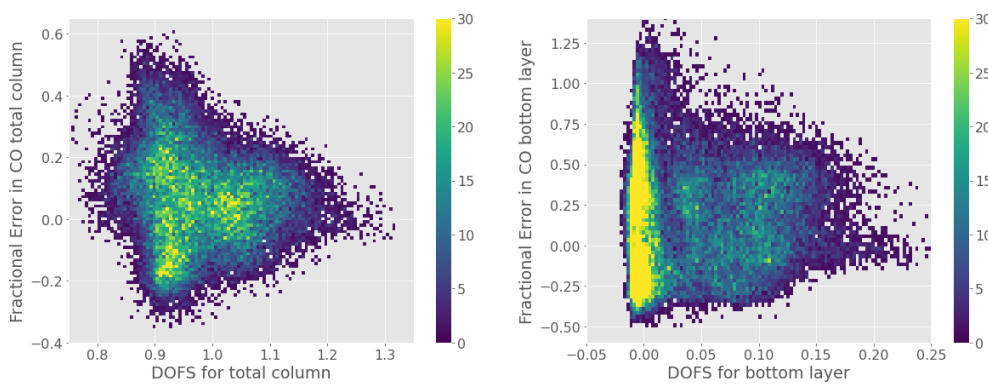


325 model simulation data are close to the “truth”, then after the AK matrix smoothing, the corrected data should be in high agreement with our GIIRS retrievals. However, for comparison with CO retrievals that have different a priori profiles and AK matrix, a different correction method needs to be implemented and is introduced in Sect. 6.4.

(a)



(b)

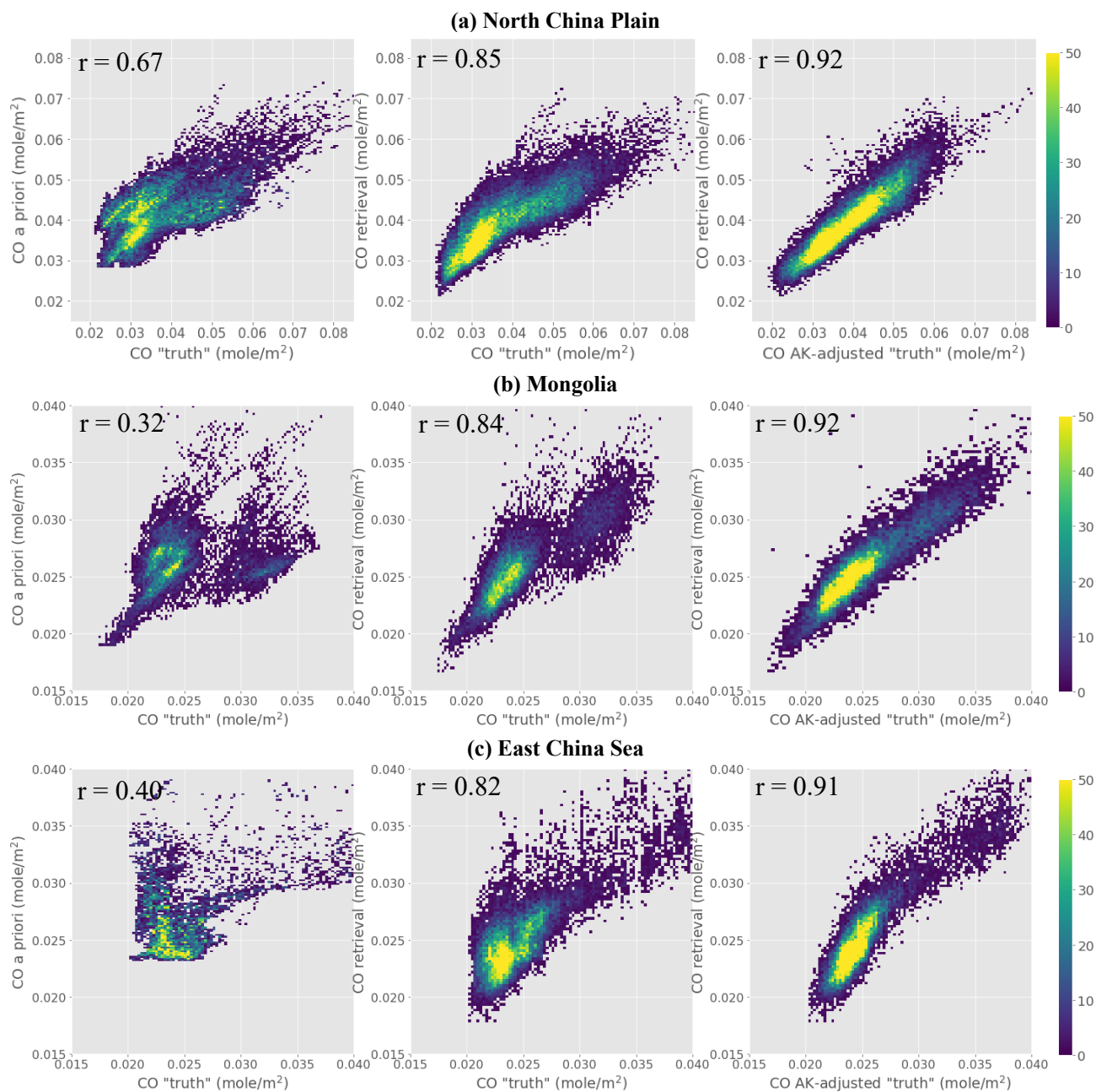


**Figure 3: (a) DOFS as a function of TC for CO total column (left) and bottom 0-1km layer CO partial column (right) in North China Plain; (b) Fractional retrieval error derived by the difference between the retrieval and the “truth” as a function of DOFS for CO total column (left) and bottom layer CO partial column (right) in North China Plain. The color bar indicates the number of data points.**

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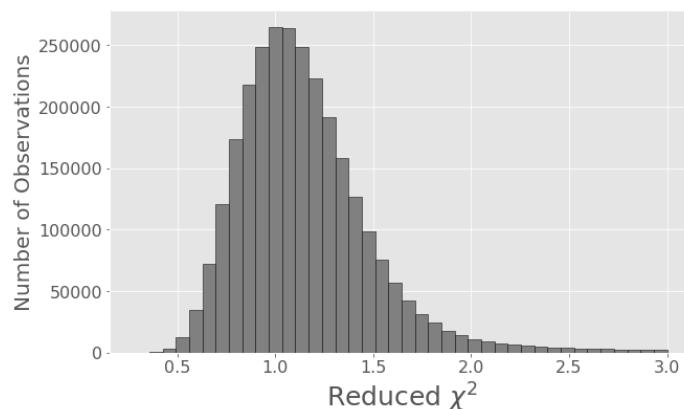


**Figure 4:** Retrieval result of CO total column from synthetic spectra for the three representative regions: (a) North China Plain, (b) Mongolia, and (c) the East China Sea. The first column compares CO a priori CO column with the “truth”, the second column compares the retrieved CO column with the “truth”, and the third column compares the retrieved CO column with the “truth” that has been smoothed by the GIRS AK matrix. The color bar indicates the number of data points.

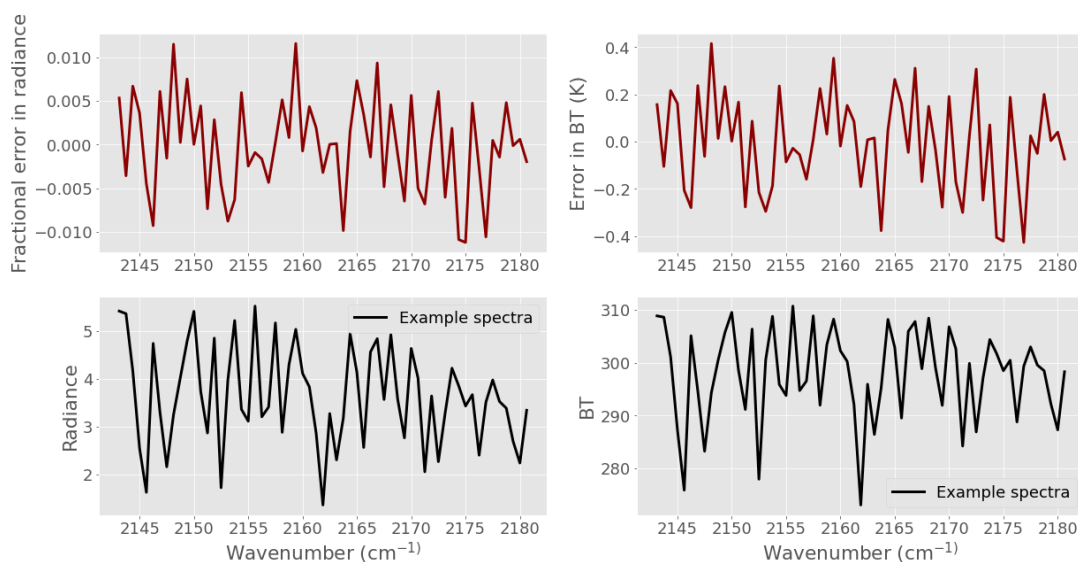
## 6. Characteristics of CO retrievals from GIRS

345 All cloud-screened GIRS spectra acquired over land and ocean at solar zenith angle less than  $70^\circ$  are used in the FY-GeoAIR retrieval algorithm. The retrieval results have been post-screened by the filters described in Sect. 4.3. In this section, we describe the characteristics of the CO retrievals, including the goodness of spectral fitting, DOFS and vertical sensitivity using AK matrix, and accuracy assessment by comparison with IASI CO retrievals.

(a)



(b)



**Figure 5: Statistics from spectral fitting.** (a) The histogram of reduced  $\chi^2$  for spectral fitting from all retrievals using GIRS spectra; (b) The upper panel shows the spectral fitting residual in the fractional error of radiance (left) and in BT (right) averaged over post-screened retrievals in hours 0-2 UTC. The results for the remaining observation hours are very similar and therefore not shown here. The lower panel shows examples of the observed spectra in the retrieval window in radiance (left) and BT (right). The standard deviations of fitting errors are very consistent across different channels, which are 0.017 for the fractional error in radiance and 0.6 K for the BT error.



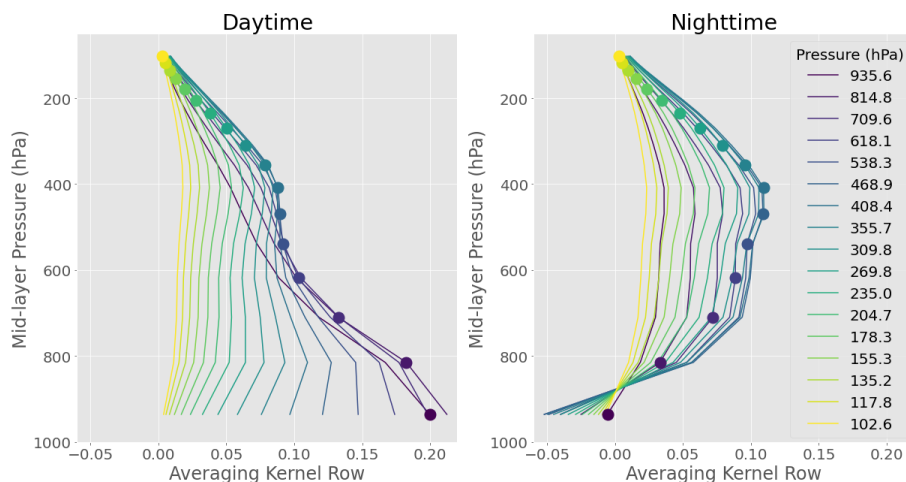
## 6.1 Statistics for spectral fitting

The goodness of spectral fitting is evaluated by two statistics: the spectral fitting residual and the reduced  $\chi^2$ . The latter  
350 measures how large is the spectral fitting residual compared to spectral noise. The histogram of the reduced  $\chi^2$  and the  
averaged fitting residuals for all the retrievals in July 2022 are shown in **Fig. 5**. The reduced  $\chi^2$  shows an expected distribution  
centering around 1.0. In the post-processing step, we filter the values that are larger than 1.5. The choice of the threshold may  
vary, here we chose 1.5 to retain more good quality data while removing retrievals that have an unsatisfactory fitting. The  
spectral fitting errors in radiance and brightness temperature (BT) show no significant bias. Although a systematic pattern, that  
355 is persistent among observations at different hours, can be seen from the averaged fitting residual from all spectra. However,  
this pattern is not correlated with the absorption feature of the target gas CO or the primary interference gas H<sub>2</sub>O (**Fig. 1(c)**).  
This suggests that the CO spectroscopy adopted from LBLRTM which has undergone extensive verifications is accurate for  
the purpose of this study. The standard deviations of fitting errors are very consistent across different channels, which are  
0.017 for the fractional error in radiance and 0.6 K for the BT error. The latter suggests that the majority of the channels have  
360 fitting errors of less than 1K. This result is consistent with the pre-launch assessment as described in **Sect. 2.2** and Li et al.  
(2022).

## 6.2 Information content analysis

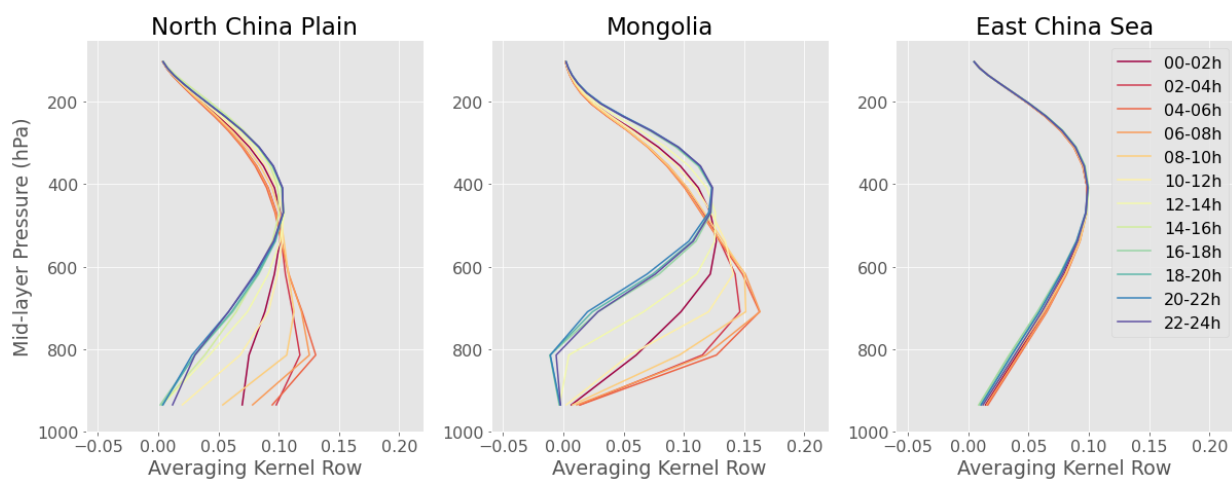
The information content of GIIRS observations is assessed by using the AK matrix and DOFS. The AK matrix represents the  
sensitivity of the retrieved state to the true state, in which the matrix row represents how a specific retrieved state vector  
365 element reacts to true changes of the state vector at different layers. In the case of the CO profile, for each retrieved layer, the  
AK peak at the altitude containing most information about the profile. The AK thus provides an estimation of the altitude of  
maximum sensitivity. **Fig. 6** shows examples of AK rows from two different scenarios of TC: higher TC in the daytime  
(TC=11.3K) and low TC in the nighttime (TC=-2.7K). The distinctive difference in the lower tropospheric AK values  
demonstrates the importance of high TC in providing information to the lower tropospheric CO retrievals. For the low TC  
370 scenario, little information is available from the lower troposphere and the altitude with maximum sensitivity is located around  
400 hPa (~6km). In such a case, the information for CO in the lower troposphere is thus extrapolated from the mid-troposphere,  
which may lead to high bias for the lower troposphere estimate. **Fig. 7** shows that the diurnal (every 2-hour) change of AK  
diagonal elements in North China Plain, Mongolia, and the East China Sea averaged over all days in July of 2022. The diurnal  
changes are primarily driven by the changes in TC as shown in **Sect. 5**. As the TC increases and peaks in the afternoon, the  
375 AK diagonal value increases, and the layer with the maximum moves closer to the surface. In contrast, the East China Sea  
region has little change among different hours because the TC is relatively flat throughout the day. These results demonstrate  
that the TC is significantly correlated with the vertical structure of AK rows from GIIRS retrievals.



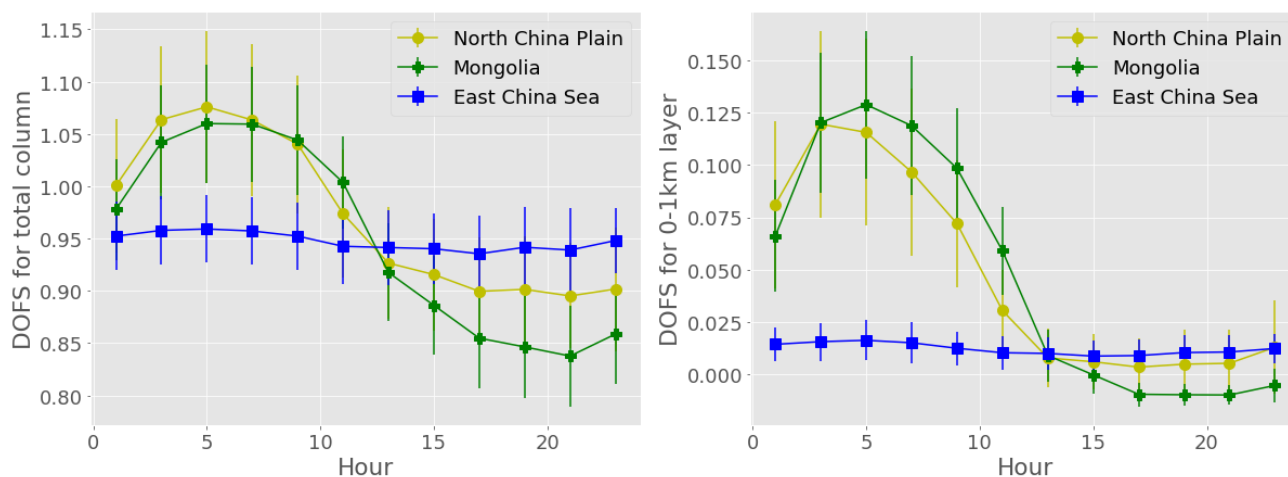


**Figure 6:** Examples of averaging kernel rows from two different scenarios of TC: (left) high thermal contrast in the daytime (TC=11.3K) and (right) low thermal contrast around Beijing in the nighttime (TC=-2.7K).

380



**Figure 7:** Diurnal (every 2-hour) change of averaging kernel diagonal elements in North China Plain (left), Mongolia (center), and East China sea (right) averaged over all days in July of 2022.



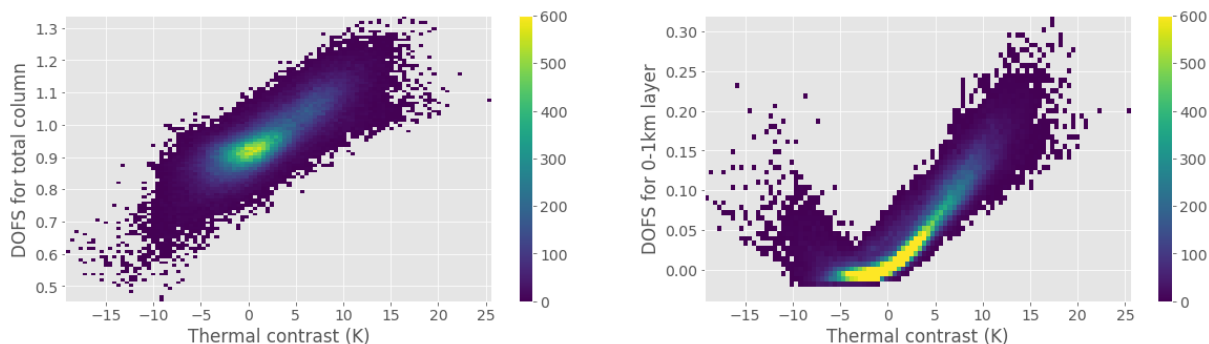
**Figure 8: The diurnal changes of the DOFS mean and standard deviations for (left) CO total column and (right) the bottom 0-1km layer partial column in the three representative regions: North China Plain, Mongolia, and the East China Sea. These data are averaged over all days in July 2022.**

385 DOFS represents another important metric for information content from GIIRS spectra. It quantifies the number of independent information available from the measurement. **Fig. 8** shows the diurnal changes of the DOFS mean and standard deviation in the three representative regions averaged over all days in July of 2022. In general, the diurnal changes of DOFS track the TC changes over these regions (**Fig. 2**). Interestingly, we see that the North China Plain has comparable DOFS to Mongolia, although the latter has a significantly larger TC. This is because, besides TC, the high CO concentration in North China Plain has a positive contribution to the DOFS, as suggested by **Bauduin et al. (2017)**.

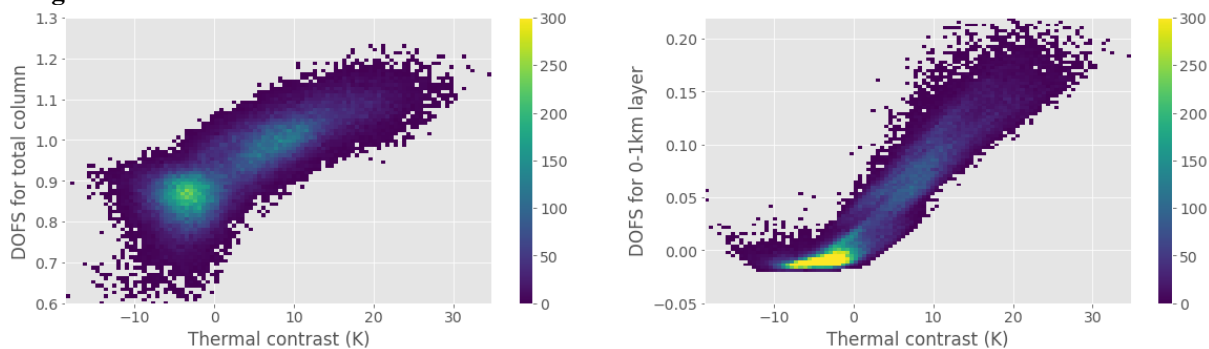
390 To investigate the relationship between TC and DOFS, the DOFS from the retrievals as a function of TC for the CO total column and bottom 0-1km layer CO partial column for the three representative regions are plotted in **Fig. 9**. While the DOFS for the CO total column shows a monotonic change with TC, the DOFS for the 0-1km shows a bowl-shape, with DOFS increases as the TC becomes more negative. This pattern is consistent with findings by **Bauduin et al. (2017)**, which showed that large negative TC values allow the decorrelation between the low and the high troposphere by capturing the emission of radiation from the lower troposphere. Overall, the DOFS for the total CO column retrieval is between 0.6 and 1.2 for the majority, with a mean value around 1.0, meaning that about one independent piece of information is retrieved from GIIRS spectra. The highest DOFS exists over the land region with the largest TC. Similarly, for the DOFS for the bottom 0-1km layer, increasing the TC value favors the sensitivity to surface CO. The DOFS can be as large as 0.3, providing strong constrain on the bottom 0-1 km CO. The value in the North China Plain is generally larger than Mongolia and the East China Sea even for the same TC. This is because the persistently high CO concentration in North China Plain is another important contributor to the total DOFS besides TC (**Bauduin et al., 2017**).



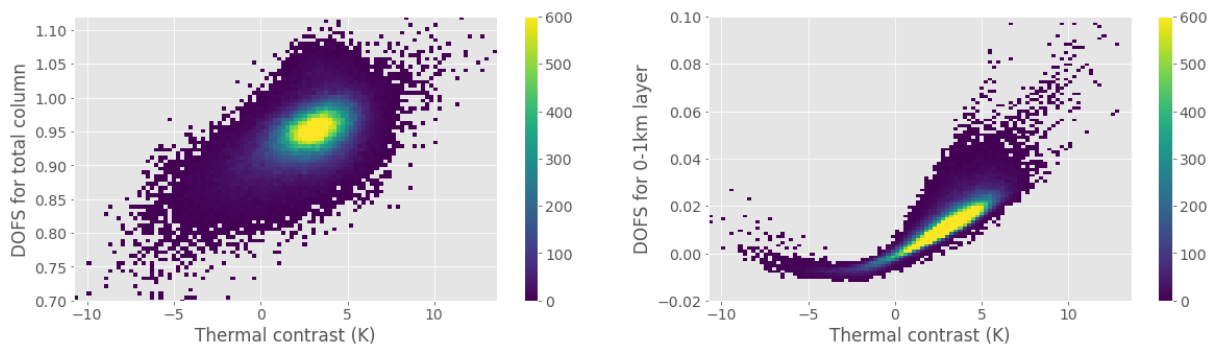
**(a) North China Plain**



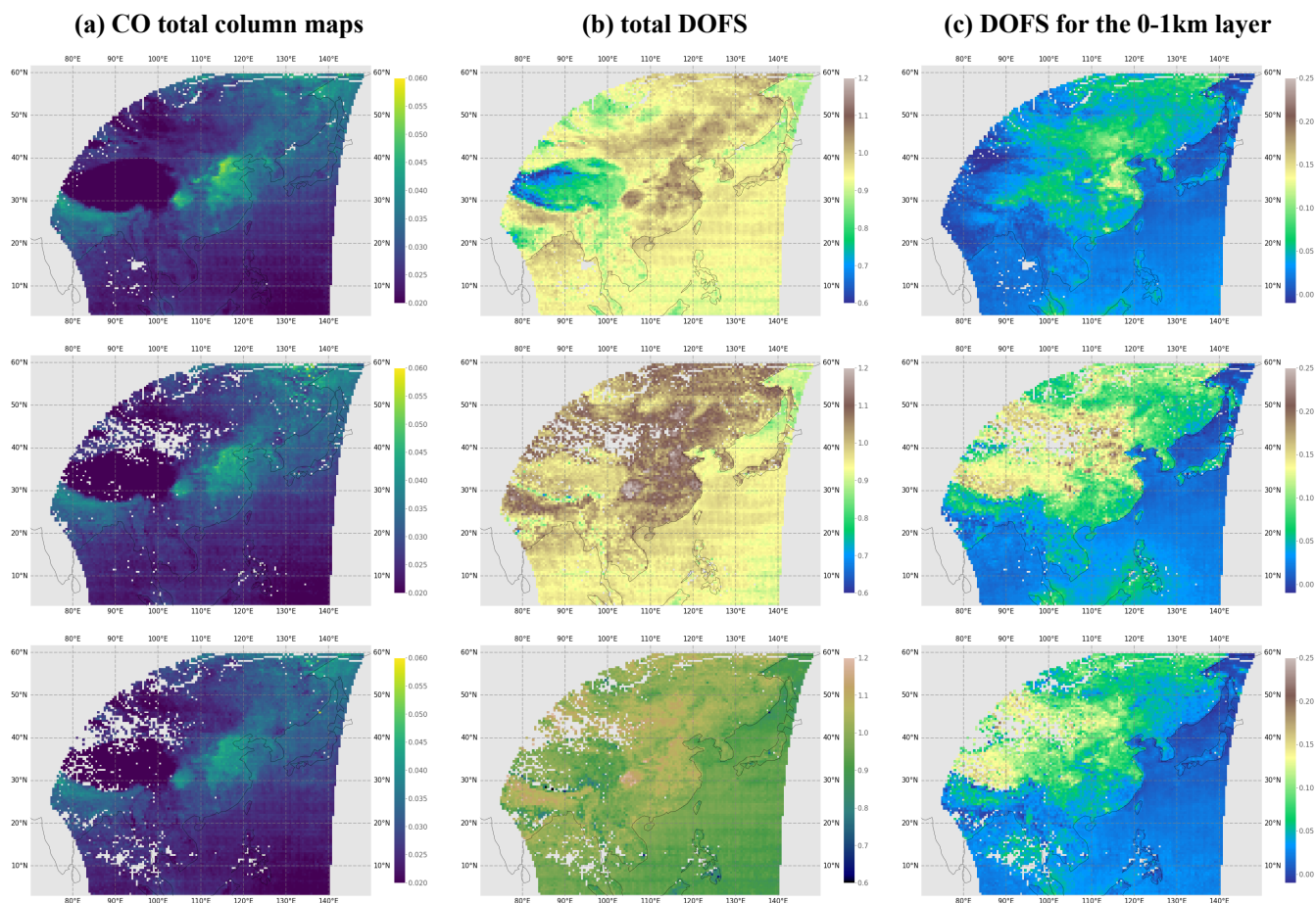
**(b) Mongolia**



**(c) East China Sea**



**Figure 9: DOFS from the retrievals as a function of TC for CO total column (left) and bottom 0-1km layer CO partial column (right) for the three representative regions: (a) North China Plain, (b) Mongolia, and (c) the East China Sea.**



**Figure 10:** (a) Maps of retrieved CO total columns from GIRS for hours 0-2, 4-6, and 8-10 UTC. The CO total columns are monthly averages in July of 2022 in  $0.5^\circ \times 0.5^\circ$  grids; (b) The distribution of DOFS for the CO total column; and (c) The distribution of DOFS for the CO partial column at the bottom 0-1km layer.

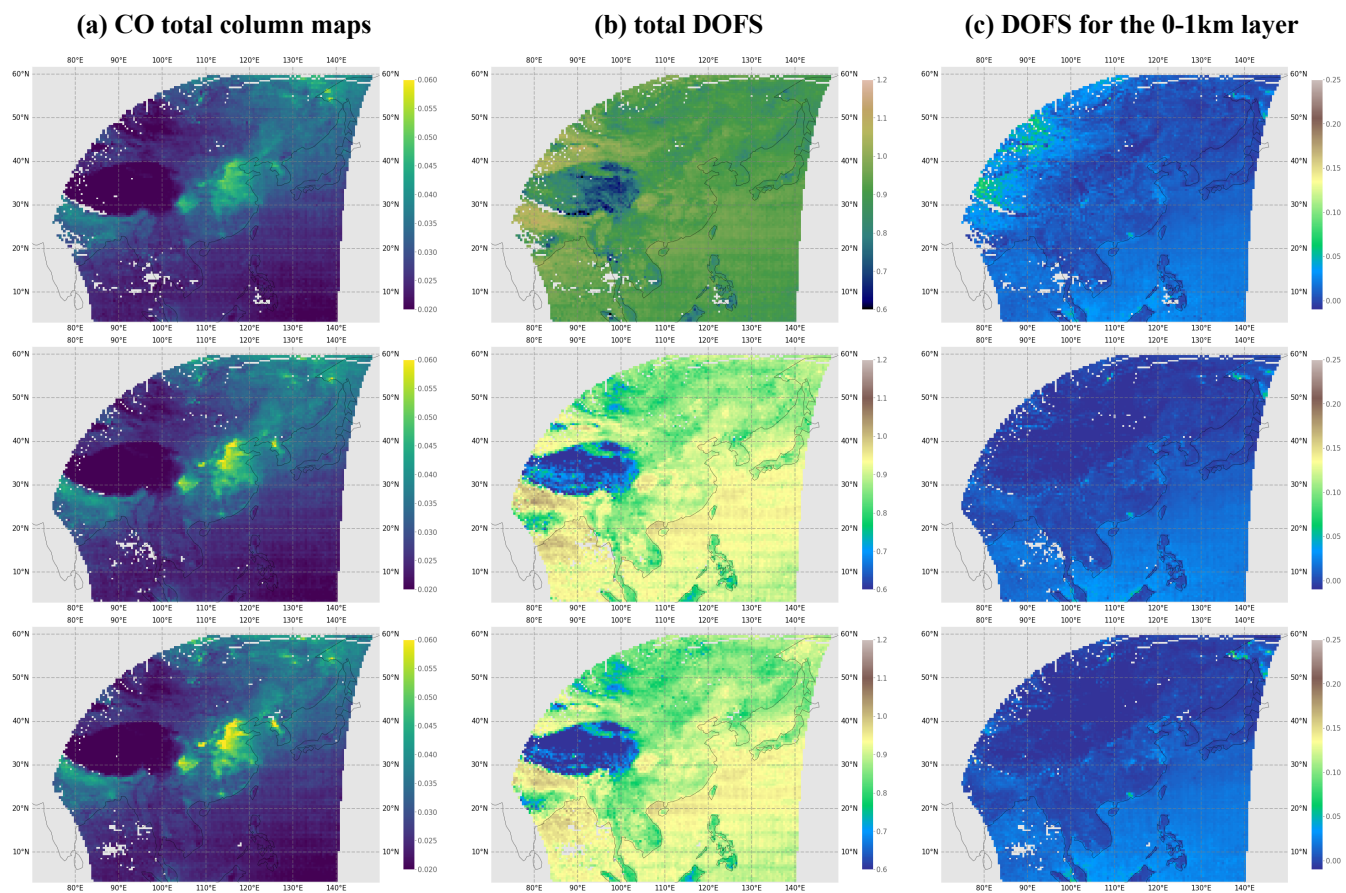


Figure 11: The same as Fig. 10 but for hours 12-14, 16-18, and 20-22 UTC.



### 6.3 Spatial distribution of CO total column and the DOFS from retrieval

The CO total column and the DOFS from the retrieval algorithm are averaged for every scan of East Asia (2-hour duration) by aggregating the data from all days in July 2022 into  $0.5^{\circ} \times 0.5^{\circ}$  grids. The goal is to compare the diurnal changes of the spatial distribution of (1) the retrievals and (2) the DOFS values for both the total column and the bottom 0-1km layer partial column. The results are shown in **Fig. 10(a)** and **Fig. 11(a)** for the CO total column retrievals. In total, 12 full domain measurement cycles in East Asia are available for every 2 hours. Here, we only show every other scan as examples, in total 6 full domain measurements for hours 0-2h, 4-6h, 8-10h, 12-14h, 16-18h, and 20-22h UTC. Overall, the spatial distribution of the CO total column shows expected spatial patterns, with high values clustered in industrialized urban regions in northern and eastern China, the Sichuan basin in central China, and northern India. Although the CO changes in a day but the spatial pattern is very persistent.

To evaluate the information content that is available in constraining the CO total column and the bottom 0-1km layer partial column, the maps of the DOFSs are also shown in **Fig. 10(b)(c)** and **Fig. 11(b)(c)**. In the daytime, when TC is high, the DOFS values over land, especially over eastern and northern China, are higher than 1.0, suggesting that the GIIRS spectra provide more than 1 piece of independent information to the retrieval. In the nighttime, when the land region cools down quickly and the ocean surface is still warm, a larger TC over the ocean leads to a higher DOFS compared to the land region. A similar pattern can be observed in the DOFS for the bottom 0-1 km layer, but with smaller DOFS values. Not surprisingly, the DOFS for the bottom 0-1km layer also shows a local pattern as the CO emissions (e.g., in northern China). This is because the DOFS from the retrieval is also affected by the CO concentration besides TC, as discussed in **Section 6.2** and **Bauduin et al. (2017)**.

### 6.4 Accuracy assessment by comparison with IASI CO retrievals

Different from FY-4B/GIIRS, IASI onboard Metop-B, which was launched in 2012, is a sun-synchronous polar-orbiting infrared spectrometer designed to measure the upwelling spectral radiance in the infrared using a nadir viewing geometry, with equator crossing times at 10:30 am and 10:30 pm LT, respectively, in the morning and evening. IASI has a dedicated CO retrieval algorithm (**Hurtmans et al., 2012**) that was improved over time and has benefited from cross-comparisons with other products (e.g., **George et al., 2009; Wachter et al., 2012; Worden et al., 2013; George et al., 2015**). Therefore, a comparison would shed light on the difference between GIIRS infrared CO retrievals and the state-of-the-art retrievals from IASI. In this section, we carry out the comparison using publicly available CO from IASI/Metop-B.

Because the GIIRS and IASI have a different footprints and grid configurations, it is not straightforward to make a point-by-point comparison. Instead, we focus on the three representative regions (North China Plain, Mongolia, and the East China Sea) and compare the regionally averaged CO total columns between GIIRS and IASI. Two kinds of comparisons are carried out: (1) a direct comparison of daily changes between the CO total columns; (2) comparing the CO total columns after considering their differences in the a priori and AK in the retrievals. Since GIIRS and IASI retrievals have different a priori and AK, a direct comparison may not be appropriate (**Luo et al., 2007**). Following **Rodgers and Connor (2003)**, we



implement a data correction, including an a priori adjustment and an AK smoothing, to account for the difference in a priori and AK, respectively, between IASI and GIIRS retrievals. In step 1, we adjust the GIIRS retrievals to the IASI a priori, given  
445 by:

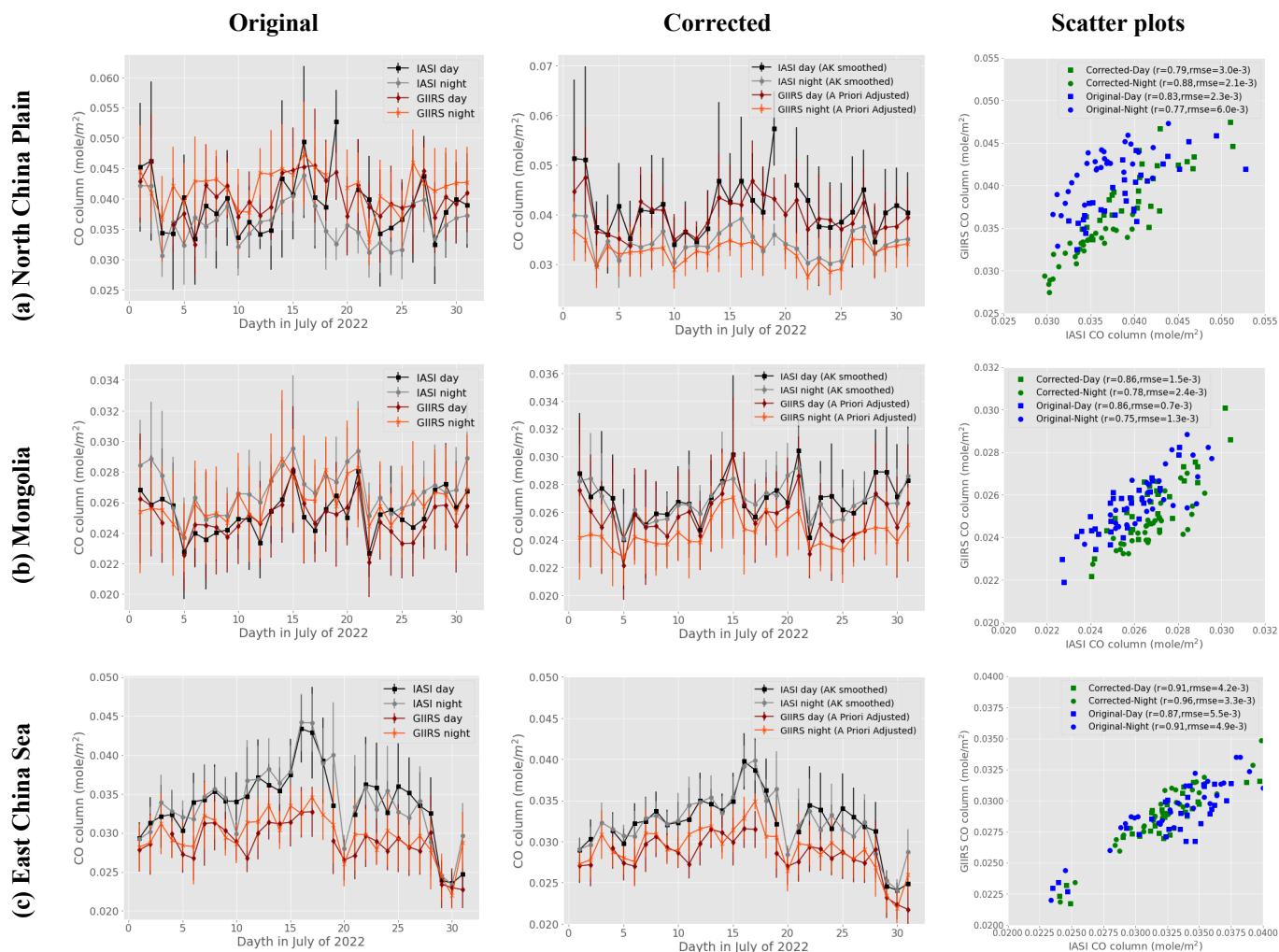
$$x_{adj}^{GIIRS} = x_{ret}^{GIIRS} + (A^{GIIRS} - I)(x_a^{GIIRS} - x_a^{IASI}), \quad (10)$$

Since the IASI FORLI algorithm uses a fixed a priori profile, this adjustment can be easily implemented. In step 2, we smooth the IASI retrievals using the GIIRS AK matrix, given by:

$$x_{smooth}^{IASI} = A^{GIIRS} x_{ret}^{IASI} + (I - A^{GIIRS}) x_a^{IASI}, \quad (11)$$

450 since GIIRS and IASI have different footprint sizes and spatial resolutions, for every IASI retrieval, the closest GIIRS AK matrix is used. After the correction, we average the data for all the days in July 2022. The results of daily means are shown in **Fig. 12** for North China Plain, Mongolia, and the East China Sea.

The direct comparison between GIIRS and IASI shows good agreement, with all correlation coefficients larger than 0.7 for the three representative regions. Specifically, the daily variabilities are highly consistent between the two datasets. Since  
455 in their retrieval algorithms the a priori CO total columns used in GIIRS and IASI do not vary with days, the daily changes of the retrieved CO total columns directly reflect the available information in the observations from both instruments. Given that the GIIRS and IASI are two different instruments and that the former uses spatially and temporally varying CO climatology while the latter uses a fixed profile as the a priori, this consistency between GIIRS and the state-of-the-art IASI CO retrievals demonstrate the effectiveness of FY-GeoAIR algorithm in constraining CO profiles from GIIRS. After  
460 correction using a priori adjustment and AK smoothing, their consistencies generally improve. In the North China Plain, the difference in nighttime measurements is significantly reduced. The large decreases in both their absolute CO columns after correction are related to, in the lower troposphere, the smaller IASI a priori compared to GIIRS and the lower GIIRS AK values compared to IASI. In the Mongolia region, no significant changes can be seen mainly because over this background region the a priori and AK values are close between IASI and GIIRS. However, systematic bias can be seen in the East  
465 China Sea. After the correction, the bias is reduced and the correlation coefficients have been improved, although a small bias remains, suggesting this correction may not be as effective over ocean surface as over land.



**Figure 12: Comparison of time series of daily CO total column between GIIRS and IASI averaged over (a) North China Plain, (b) Mongolia, and (c) the East China Sea. GIIRS retrievals in the corresponding daytime and nighttime hours of IASI data are extracted. The first column shows a direct comparison of the retrievals; The second column shows the comparison after corrections, including a priori adjustment and AK matrix smoothing (see text for details). The third column shows their scatter plots, with correlation coefficients indicated.**





## 470 7 Discussions

### 7.1 Improving the algorithm to detect CO from wildfires

Using spatially and temporally varying CO a priori, as in the current FY-GeoAIR algorithm, has the advantage of constraining CO in dense urban areas with persistently high emissions such as East Asia as demonstrated in this study. The algorithm's capability to capture anomalous events, such as wildfire CO emissions, remains to be tested. As suggested by **George et al.** (2015), using such a priori with a smaller error range for  $S_a$ , a strategy also adopted by MOPITT and TES retrieval algorithms, makes the retrieval system too tight to capture anomalously high or low CO values. Instead, the algorithm using a fixed a priori and a large error range for  $S_a$ , such as the FORLI algorithm for IASI, is suggested to be more capable of detecting CO from anomalous events. Therefore, the FY-GeoAIR algorithm may be subject to adjustment in its a priori and its correlation matrix  $S_a$  for the purpose of detecting high CO emissions from wildfires.

### 480 7.2 Importance of AK smoothing for comparing retrievals

The importance of the AK matrix for intercomparison of retrievals has been described in detail by **Rodgers and Connor (2003)** using retrievals from MOPITT, an LEO IR sounder, as an example of a space-born instrument. Because of the highly variable TC over the diverse land cover in East Asia, the GIIRS retrievals present distinctive vertical sensitivity for different hours at different locations, which makes the interpretation of the retrieval results more difficult and, therefore, better use of the AK matrix more important. For comparison with model simulations, as illustrated in the simulated synthetic experiments in **Sect. 5**, a correction as in **Eq. (9)** is necessary given the model simulated profile can be assumed to have uniform vertical sensitivity. For comparison with retrievals from other remote sounding instruments, which have different a priori and AK matrices, a two-step correction as in **Eq. (10)** and **Eq. (11)** is necessary to reconcile the retrievals. Because of the mismatch in observation footprints and the heterogeneity of the AK matrix over land, the collocation of sounding measurements from different instruments should also be carefully implemented to make sure the comparison is not biased due to inappropriate spatial interpolation.



## 495 8. Conclusions

Using hyperspectral infrared measurements from GIIRS onboard FY-4B, we showed the first results of diurnal CO, an important trace gas in the atmosphere, measured from a GEO orbit. The performance of the algorithm is first evaluated by conducting retrieval experiments using simulated synthetic spectra. Retrieval results from one month of GIIRS spectra in July 2022 show that the DOFS for the majority is between 0.6 and 1.2 for the CO total column and between 0 and 0.25 for the bottom 0-1 km layer, which strongly depends on TC. Comparing the CO total column between GIIRS and IASI shows that the two datasets have good consistency in capturing the daily variabilities. These results demonstrate the capability of GIIRS in constraining the diurnal CO changes in East Asia. Since CO plays an important role in tropospheric atmospheric chemistry and is an effective tracer of CO<sub>2</sub>, the CO retrievals at a spatial resolution of 12 km and a temporal resolution of 2 hours from GIIRS have great potential in improving local and global air quality and climate research. The operational geostationary observation by GIIRS represents an important advancement over the once/twice-per-day observations provided by current LEO instruments.

In the coming future, CO observations from planning GEO missions, e.g., ESA's IRS and NASA's GeoCarb, along with GIIRS onboard future Fengyun satellite series, will greatly expand our capability in monitoring global CO emissions at high temporal resolution across Asia, Europe, and America. Moreover, combining NIR and TIR to measure CO could further improve constraining the CO profile from GEO orbits, as the NIR adds information in the boundary layer while the TIR is more capable of distinguishing near-surface and mid-troposphere (Fu et al., 2016; Natraj et al., 2022), which will be another very important advancement in the future.



### Data availability

515 The CO retrieval data from FY-4B/GIIRS (<https://doi.org/10.18170/DVN/M7DKKL>) in this study are publicly available from the Peking University Open Research Data Platform at <https://opendata.pku.edu.cn/>; Future updates on FY-4B/GIIRS CO data will be posted on <https://opendata.pku.edu.cn/dataverse/FYGEOAIR>; FY-4B/GIIRS Level 1 data are publicly available from the FengYun Satellite Data Center at <http://satellite.nsmc.org.cn/portalsite/default.aspx>; IASI/Metop-B CO level 2 retrieval data are downloaded from IASI AERIS database portal at <https://iasi.aeris-data.fr/co/>; IASI is a joint mission of EUMETSAT and the Centre National d'Etudes Spatiales (CNES, France). The authors acknowledge the AERIS data infrastructure for providing access to the IASI data in this study, ULB-LATMOS for the development of the retrieval algorithms, and Eumetsat/AC SAF for CO/O<sub>3</sub> data production; The surface emissivity datasets are downloaded from the Global Infrared Land Surface Emissivity: UW-Madison Baseline Fit Emissivity Database at <https://cimss.ssec.wisc.edu/iremis/>; The ECMWF ERA5 reanalysis datasets are available from the Copernicus Climate Data Store at <https://cds.climate.copernicus.eu/>; The

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525 ECMWF atmospheric composition datasets are available from the Copernicus Atmosphere Data Store at <https://ads.atmosphere.copernicus.eu/>.

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### 530 Author contribution

Z.Z. designed the study, developed the forward model and retrieval codes, carried out the experiments and results analysis, and prepared the manuscript. L.L. and C.Q. provided guidance on using the FY-4B/GIIRS L1 spectra data. All authors reviewed the manuscript.

### Competing interest

535 The authors declare that they have no conflict of interest.



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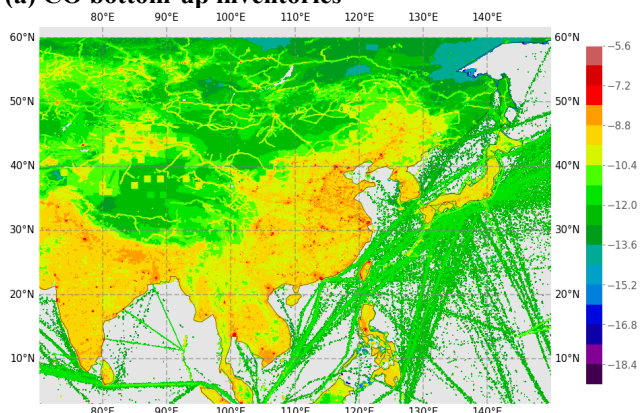


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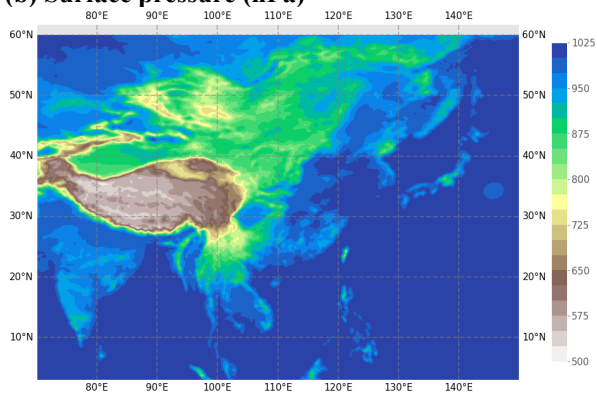


## 700 Appendix A

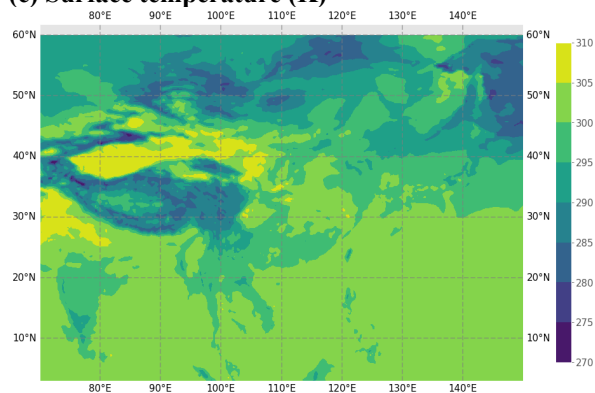
(a) CO bottom-up inventories



(b) Surface pressure (hPa)



(c) Surface temperature (K)



**Figure A1: (a) Bottom-up estimated CO emissions on July 07, 2022, from the CAMS model. These emissions include anthropogenic CO emissions from fossil fuel use on land, shipping, and aviation, and natural CO emissions from vegetation, soil, the ocean, and termites. The emissions are in a unit of  $[\text{kg m}^{-2} \text{s}^{-1}]$  at  $\log_{e10}$  scale; (b) Surface pressure from ECMWF ERA5 reanalysis for the surface layer; (c) The surface temperature from ECMWF ERA5 reanalysis for the surface layer.**