

The impact of Aeolus winds on near-surface wind forecasts over tropical ocean and high-latitude regions

Haichen Zuo¹, Charlotte Bay Hasager¹

¹Wind and Energy Systems, Technical University of Denmark, Roskilde, 4000, Denmark

5 *Correspondence to:* Haichen Zuo (hazu@dtu.dk)

Abstract. To detect global wind profiles and improve numerical weather prediction (NWP), the European Space Agency (ESA) launched the Aeolus satellite carrying a space-borne Doppler Wind Lidar in 2018. After the successful launch, the European Centre for Medium-Range Weather Forecasts (ECMWF) performed the observing system experiments (OSEs) to evaluate the contribution of Aeolus data to NWP. This study aims to assess the impact of Aeolus wind assimilation in the
10 ECMWF model on near-surface (10 m height) wind forecasts over tropical ocean regions by taking buoy measurements for reference and over high-latitude regions by taking weather station data for reference for the year 2020. The assessments were conducted mainly through inter-comparison analysis. The results show that Aeolus data assimilation has a limited impact on sea surface wind forecasts for tropical regions when compared with buoy measurements. For the high-latitude regions in the Northern Hemisphere, Aeolus has the potential to improve near-surface wind forecasts. This positive impact is more evident
15 as the forecast time step extends, during the first half-year of 2020, and during the winter months. In addition, the v component tends to benefit more from the Aeolus observations than the u component. For the Southern Hemisphere, a few error reductions are observed but exist randomly. Overall, this in situ data-based assessment expands our understanding of the role of Aeolus data assimilation with the global NWP model in predicting near-surface wind for tropical oceans and high-latitude regions.

20 **1 Introduction**

For characterizing global wind profiles and improving numerical weather prediction (NWP), the first space-borne Doppler Wind Lidar (DWL) carried by the Aeolus satellite was launched in August 2018 by the European Space Agency (ESA). The mission operated for more than four years and ended in April 2023. Following a sun-synchronous orbit, Aeolus passes over the equator at 06:00 local time (LT) during descending orbits and 18:00 LT during ascending orbits and samples the whole
25 globe every twelve hours with eight orbits. Wind retrieval of Aeolus is based on the Doppler shifted frequency between emitted light pulses and backscattered light from air molecules (i.e. Rayleigh scattering) as well as from large particles, such as cloud droplets and ice crystals, in the atmosphere (i.e. Mie scattering). By measuring this small difference, wind velocity along the line-of-sight (LOS) can be obtained, which is further converted to the approximately east-west horizontal LOS wind component using the off-nadir angle of 35° (Andersson et al., 2008). The detected wind profiles, ranging from the

30 surface to about 30 km in height with 24 vertical bins, can be used to improve NWP, capture gravity waves, track volcanic eruptions, etc. (Banyard et al., 2021; Rennie et al., 2021; Parrington et al., 2022).

After the successful launch, calibration and validation works have been widely carried out worldwide. Owing to the continually improved data processing chain, from Baseline 10 with M1-temperature-based bias correction and daily updates
35 of global offset bias removal (Data Innovation and Science Cluster, 2020), the systematic errors of both Rayleigh-clear winds and Mie-cloudy winds are almost within 0.5 m s^{-1} despite some cases in the polar regions, and the random errors mainly vary between 4 m s^{-1} and 8 m s^{-1} for Rayleigh-clear winds and between 2.0 m s^{-1} and 5 m s^{-1} for Mie-cloudy winds (Belova et al., 2021; Iwai et al., 2021; Witschas et al., 2022; Zuo et al., 2022). However, what should be noted is that Aeolus suffered unexpected signal loss since the launch, probably due to the decreasing emitted laser energy for the FM-A period
40 (August 2018 – June 2019) and/or laser-induced contamination for the FM-B period (July 2019 – September 2022) (Straume-Lindner et al., 2021). The data quality assessment based on the second reprocessed data set (2B11) by the European Centre for Medium-Range Weather Forecasts (ECMWF) revealed that the estimated random error of Rayleigh-clear wind increased by 40% from $\sim 5 \text{ m s}^{-1}$ to $\sim 7 \text{ m s}^{-1}$ during July 2019 – October 2020 due to the gradual signal reduction of DWL, while this instrument issue has less influence on Mie-cloudy winds with estimated random errors remaining at ~ 3.5
45 m s^{-1} (Rennie and Isaksen, 2022).

Although Aeolus suffers from unexpected signal loss and growing errors, its wind products have been employed to improve NWP through data assimilation, an approach that integrates recent observations with a previous forecast to achieve the best estimate of the current atmospheric state (ECMWF, 2020). For evaluating the contribution of Aeolus observations to NWP,
50 the observing system experiments (OSEs) with and without Aeolus data assimilation have been performed with global NWP models at many institutions, including the ECMWF, National Oceanic and Atmospheric Administration (NOAA), Deutscher Wetterdienst (DWD), Météo-France, UK Met Office, etc. (Cress et al., 2022; Garrett et al., 2022; Forsythe and Halloran, 2022; Pourret et al., 2022; Rennie and Isaksen, 2022). The assessment of the ECMWF OSEs demonstrated that Aeolus winds are able to improve wind vector and temperature forecasts, especially in the upper troposphere and/or lower stratosphere
55 over tropical and polar regions (Rennie et al., 2021). Similar results were also found from the OSEs with NOAA's Global Forecast System, the DWD model and the Environment and Climate Change Canada global forecast system (Cress et al., 2022; Garrett et al., 2022; Laroche and St-James, 2022). Moreover, regarding the weather and climate events, Aeolus is able to improve the track forecasts for tropical cyclones in the Eastern Pacific basin and Atlantic basin (Garrett et al., 2022) and benefits the forecasts of the West African Monsoon as well as the changes in the El Niño-Southern Oscillation (ENSO) state
60 over the Eastern Pacific by capturing the large-scale atmospheric circulation (Cress et al., 2022).

However, the existing assessments mainly focused on the forecasts at pressure levels or upper air, while the impacts of Aeolus data assimilation on near-surface wind forecasts lack detailed study. This research gap needs to be complemented

since the relevant scientific investigation could provide valuable information for future applications in wind-related activities, such as ocean shipping and wind farm operation and maintenance. Due to the relatively low spatial and temporal resolution of Aeolus wind observations, global models are more likely to benefit from Aeolus data assimilation than high-resolution regional models (Hagelin et al., 2021; Mile et al., 2022; Rennie and Isaksen, 2022). Therefore, as a starting point, we would like to focus on the ECMWF model first. This will give us a better understanding of the influence of Aeolus on near-surface wind forecasts, which in turn guides us to apply Aeolus winds to regional models for practical applications. Considering tropical oceans and polar regions are favourable to extreme weather but lack in situ measurements and the model performance is usually not satisfactory in these regions, such as large bias over Inter-Tropical Convergence Zone (ITCZ) (Sandu et al., 2020), we would like to investigate whether the Aeolus can contribute to more reliable wind forecasts for these regions.

Regarding the reference data set for evaluation, many verifications related to Aeolus OSEs were conducted by inter-comparing with model analysis that has global coverage and deals with the representation error between model scale and scales of observations (Garrett et al., 2022; Laroche and St-James, 2022; Rennie and Isaksen, 2022). However, there are fewer in situ measurements available over tropical and polar regions, and the mesoscale convections are not resolved well in the global NWP models, which leads to the large uncertainties of model analysis data in these regions (Sandu et al., 2020; King et al., 2022). Given this, taking in situ measurements as the reference can avoid this issue to some extent.

Hence, to complement the existing studies, this study aims to assess the impact of Aeolus wind assimilation on near-surface wind forecasts over tropical ocean regions between 30° N and 30° S by taking buoy measurements for reference. Furthermore, we investigated the high-latitude region $> 60^{\circ}$ N in the Northern Hemisphere (NH) and the high-latitude region $> 60^{\circ}$ S in the Southern Hemisphere (SH) by taking weather station data for reference. Our hypothesis is that the assimilation of Aeolus winds will reduce the forecast error. Since the overall data quality of Aeolus is reduced in the second half-year of 2020 compared to the first half-year due to the weakening signals, our hypothesis is that the assimilation of Aeolus winds can reduce the forecast error relatively more during the first half-year compared to the second half-year. In the tropics, seasonal effects are very limited, while in the high-latitude regions, the seasonal variability is high, so for those we also investigated the forecast for the seasons. The assessments were conducted mainly through inter-comparison analysis based on a high-resolution T_{co}639 OSE in the ECMWF model for the entire year of 2020.

Section 2 and Sect. 3 introduce the data and methods used in this study. Sect. 4 presents the main research findings, followed by Sect. 5 for discussions. The final Sect. makes a short summary of the study and draws conclusions.

2.1 Observing System Experiments with ECMWF model

This study is based on the ECMWF OSEs with the 2nd reprocessed Aeolus L2B baseline 11 data assimilated during the FM-B period (Rennie and Isaksen, 2022). The applied model version is CY47R2 with an atmosphere outer loop resolution of T_{co} 639 L137 (~ 18 km grid size). Observations from nominally operational satellites and conventional sources were assimilated.

100 The OSEs include a control experiment without Aeolus assimilation and an experiment with Aeolus Rayleigh-clear and Mie-cloudy wind assimilation through the four-dimensional variational (4D-Var) data assimilation technique. For the lower troposphere (> 850 hPa), only Mie-cloudy winds with an estimated error smaller than 5 m s^{-1} were assimilated into the model. Detailed information on quality control decisions for the OSEs is documented in Rennie and Isaksen (2022). Figure 1 illustrates the geographical distribution of the averaged number of L2B Mie-cloudy winds assimilated per cycle below 850

105 hPa. More low-level Aeolus winds are assimilated over the ocean regions than inland regions and over low-to-mid-latitude regions than high-latitude regions.

The 10-day forecasts based on the 00 UTC analysis of zonal (u) and meridional (v) wind components at 10 m height were obtained from the ECMWF Meteorological Archival and Retrieval System (MARS) for evaluation (ECMWF Research

110 Department, 2022). The interval of forecast steps is 24 hours. The data cover the completed year of 2020.

Averaged number of L2B Mie-cloudy winds assimilated per cycle

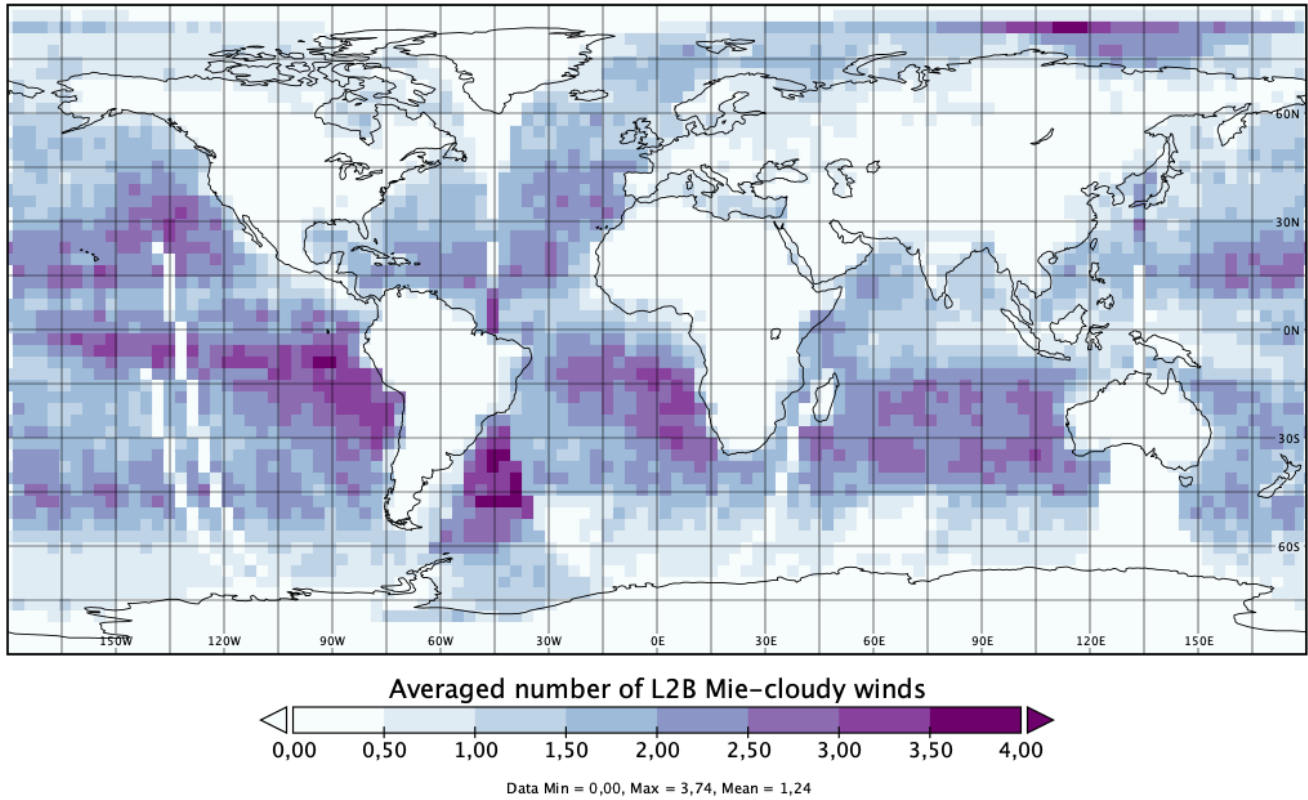


Figure 1: The averaged number of L2B Mie-cloudy winds at pressure > 850 hPa assimilated into the model

2.2 Buoy measurements

The tropical moored buoy measurements over the Atlantic Ocean, Indian Ocean and Pacific Ocean were obtained from
115 Global Tropical Moored Buoy Array (Pacific Marine Environmental Laboratory, n.d.). The extracted parameters include
zonal (u) and meridional (v) wind components, wind speed, and wind direction with a temporal resolution of 10 minutes or 1
hour. The missing value and data flagged low-quality have been removed. Finally, there are 11 buoys available in the
Atlantic Ocean, 9 in the Indian Ocean and 55 in the Pacific Ocean, the locations of which are displayed in Fig. 2. To make
all measurements have an identical temporal resolution, we averaged the 10 minutes wind speeds to hourly wind speeds.
120 Furthermore, to collocate with wind forecasts from the OSEs, the buoy winds were extrapolated from its anemometer height
of 3.5 m or 4 m to 10 m by using the method described in Bidlot et al. (2002).

2.3 Weather station data

Surface synoptic observations over high-latitude regions ($> 60^\circ \text{ N}$ and $> 60^\circ \text{ S}$) were extracted from Global Hourly - Integrated Surface Database (ISD) (National Centers for Environmental Information, n.d.). Only the wind speeds and directions passed all quality control checks were kept for further analysis. Additionally, we calculated the correlation coefficients (R) between in situ measurements and the control experiments at $T+0 \text{ h}$, and the stations with weak correlations ($R < 0.5$) were removed. One reason is that when the poor correlations are caused by very limited data samples during the study period, such as due to freeze or instrument malfunction, we consider the data quality of those available samples are still questionable. Another reason is that the weak correlations may imply the limited spatial representativeness of those stations, especially over the complex terrain. After quality control, there are 751 (223) and 56 (30) stations available (removed) over the high-latitude regions in the Northern Hemisphere and Southern Hemisphere, respectively (Fig. 2).

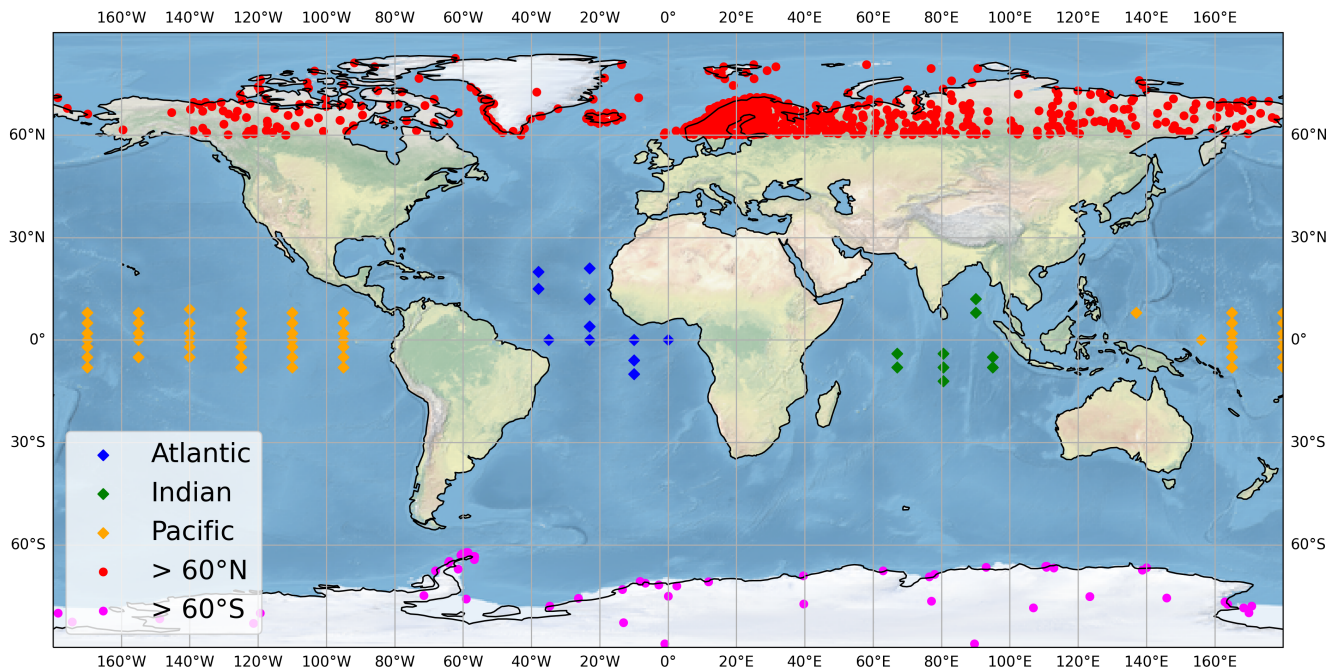


Figure 2: The geographical location of moored buoys in the tropical oceans and weather stations in the high latitude $> 60^\circ \text{ N}$ and high latitude $> 60^\circ \text{ S}$ (background image made with Natural Earth. Free vector and raster map data at naturalearthdata.com).

3 Method

To evaluate the wind forecasts from OSEs, we take buoy measurements or weather station observations as reference, respectively. We quantified the normalized change in the root-mean-square errors (RMSE) with and without Aeolus data

140 assimilation for all paired data samples, thus determining whether the Aeolus can improve the model performance or not over each study region. The normalized change in RMSEs (NCRMSE) is given as

$$NCRMSE = \frac{\sqrt{\frac{\sum_{i=1}^N (f_{i,with Aeolus} - o_{i,in situ})^2}{N}} - \sqrt{\frac{\sum_{i=1}^N (f_{i,no Aeolus} - o_{i,in situ})^2}{N}}}{\sqrt{\frac{\sum_{i=1}^N (f_{i,no Aeolus} - o_{i,in situ})^2}{N}}} \quad (1)$$

where $f_{i,with Aeolus/no Aeolus}$ is the wind forecast with or without Aeolus data assimilation; $o_{i,in situ}$ is the in situ measurements from either buoys or weather stations; and N is the total number of paired data samples for each study region or each case. The statistical significance of NCRMSE was quantified at the 95% confidence interval (not shown on plots).

150 The analyses were performed for each ocean basin, regions $> 60^\circ$ N and $> 60^\circ$ S, respectively, aiming to provide error information geographically. We focus on error information of each wind component as well as wind speed instead of vector wind as the former is more relevant to practical applications. We also divided the study period into two half-years to evaluate the sensitivity of Aeolus data quality on wind forecast. For high-latitude regions, the study was also carried out for each season. Moreover, for the region $> 60^\circ$ N, we divided the data samples into four categories based on the in situ wind speeds (Table 2) and investigated the impact of Aeolus under different wind speed ranges (Met Office, 2023). Apart from these, the Pearson correlation coefficients of each two systems were also calculated as the additional statistical information to facilitate the study.

155 **Table 2. Wind speed categories.**

Category	Wind speed range [m s ⁻¹]	Description
a	wspd \leq 6.0	Light air to gentle breeze
b	6.0 < wspd \leq 11.0	Moderate breeze to fresh breeze
c	11.0 < wspd \leq 17.0	Strong breeze to near gale
d	wspd > 17.0	Gale to hurricane

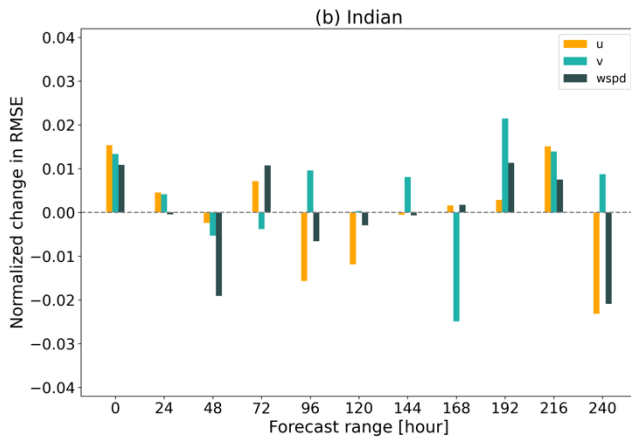
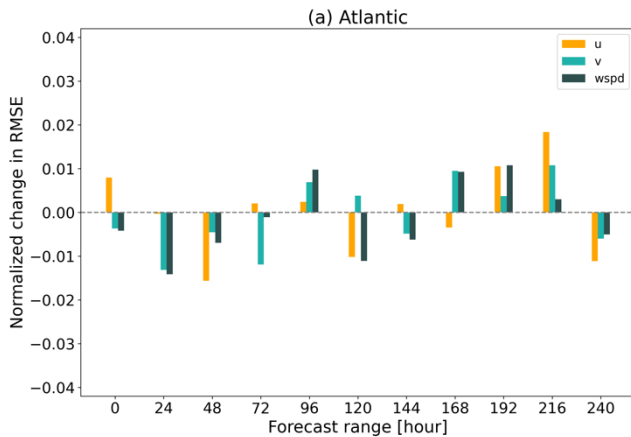
4 Results

4.1 Tropical oceans

4.1.1 Inter-comparison analysis

160 Figure 3 shows the NCRMSEs from inter-comparison analyses for three ocean basins. For the tropical Atlantic Ocean, the negative values are mainly found within T+72 h for the v vector and wind speed. The results for the tropical Indian Ocean do not show any trend in error reduction for wind components and wind speed. Compared to the tropical Atlantic Ocean and Indian Ocean, the Pacific witnesses negative values at more forecast steps, but the magnitude is weaker mainly within 1%. The negative values at T+48 h for both wind components and wind speed are common for the three ocean basins.

165 Unfortunately, all the negative NCRMSEs are not statistically significant at the 95% confidence interval; thus, the overall impact of Aeolus on sea surface wind forecast is neutral for tropical regions. In addition, Aeolus data quality appears to have no influence on improving surface wind forecasts over the tropical ocean regions, as shown in Fig.4 by taking the Pacific Ocean as an example.



170

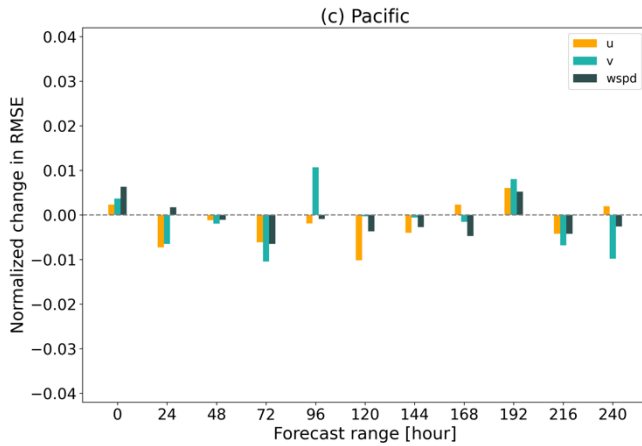


Figure 3: Normalized change in RMSE for u, v wind components and wind speed (wspd) for the tropical Atlantic Ocean (a), Indian Ocean (b) and Pacific Ocean (c) for the year 2020 based on ECMWF OSE forecasts with and without Aeolus against buoy data. Note that negative values indicate error reduction, implying the improvement in the forecast with Aeolus assimilation.

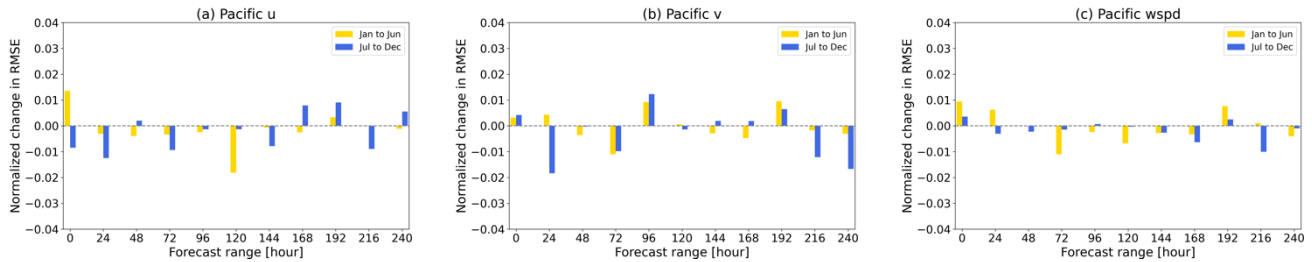


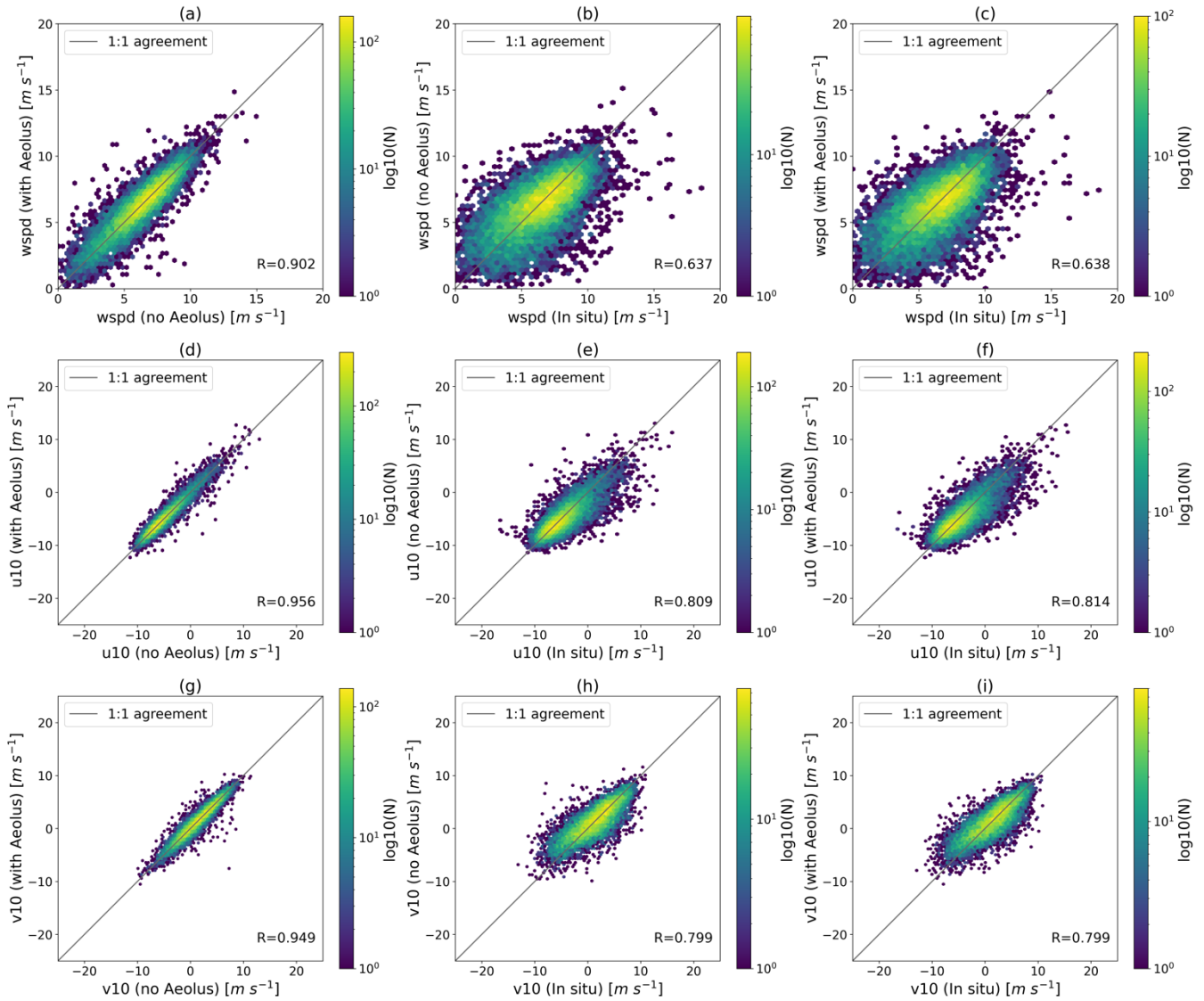
Figure 4: Normalized change in RMSE for u, v wind components and wind speed (wspd) during the first and the second half-year of 2020 for the tropical Pacific Ocean based on the ECMWF OSE forecasts with and without Aeolus data assimilation.

4.1.2 Correlations of datasets

175 180 The correlation coefficients show that the forecast experiment with and without Aeolus is highly correlated up to T+120 h, with R-values greater than 0.9 for both u and v components as well as wind speed. As the forecast extends, the correlations between each two systems weaken but do not decrease too much for tropical ocean basins with R-values greater than 0.7 at T+240 h for most cases. Figure 5 is an example for the tropical Pacific at T+120 h forecast step. The results show the u and v components with R-values around 0.95 for the forecasts with and without Aeolus, while for wind speed, R-value is around

185 0.90. The R-values for the u and v components are around 0.81 (Fig. 5 (e) and (f)) and 0.80 (Fig. 5 (h) and (i)) for the forecasts (with/without Aeolus data) versus buoy data, which indicates there is almost no increase in correlation after assimilating Aeolus winds. In summary, the zonal and meridional wind components are better resolved in the forecast model than the wind speed. The correlations do not reveal much improvement in forecast skill between the two forecasts. Similar results are also found for the tropical Atlantic Ocean and Indian Ocean (not shown).

Pacific (T+120 h, N=13389)



190

Figure 5: Hexagonal binning plots of u, v components and wind speed (wspd) at T+120 hour forecast for the tropical Pacific Ocean for the year 2020 based on ECMWF OSE forecasts with and without Aeolus and buoy data. The colour of each hexagon indicates the number of samples in it.

4.2 High-latitude region in the Northern Hemisphere (> 60° N)

195

4.2.1 Inter-comparison analysis

Over the high-latitude region in Northern Hemisphere, the NCRMSEs for u, v components and wind speed are almost negative and decrease as the forecast time extends, which implies Aeolus tends to make a positive contribution to medium-range near-surface wind forecast (Fig. 6). The significant positive impact is found at T+120 h, +216 h and 240 h for the u component, from T+192 h for the v component, and at T+192 h and T+216 h for wind speed. More positive impact of Aeolus was found on the v component, with the largest error reduction of 2.4% at T+216 h. Regarding the results for different wind speed categories (Fig.7), the noticeable error reductions tend to exist earlier from T+96 h forecast step for moderate to fresh breeze ($6 < \text{wspd} \leq 11 \text{ m s}^{-1}$) compared to the light wind category; for the category of strong breeze to near gale ($11 < \text{wspd} \leq 17 \text{ m s}^{-1}$), the negative NCRMSEs for v component exist from the T+120 h forecast step; while the largest impact on u and v components are observed at T+216 h and T+192 h, respectively, when wind speeds greater than 17 m s^{-1} , but a further demonstration is required due to limited amount of data samples in this category (N: around 1200). In terms of the results for two half-years, the NCRMSEs of u and v components are lower since T+120 h during the first half-year compared with those for the second half-year (Fig.8 (a) and (b)). This suggests that Aeolus's data quality is important for near-surface wind forecasts. With respect to the results for each season (Fig.9), Aeolus makes more contribution from T+120 h onwards to the u component forecasts during boreal winter (January, February and December) than during the boreal summer (June, July and August). For the v component, the most noticeable error reductions of 3.3% exist at T+168 h during winter months and 4.4% at T+216 h during spring (March, April and May).

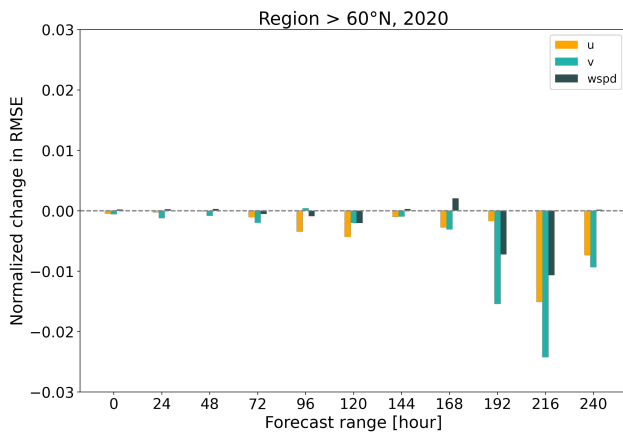
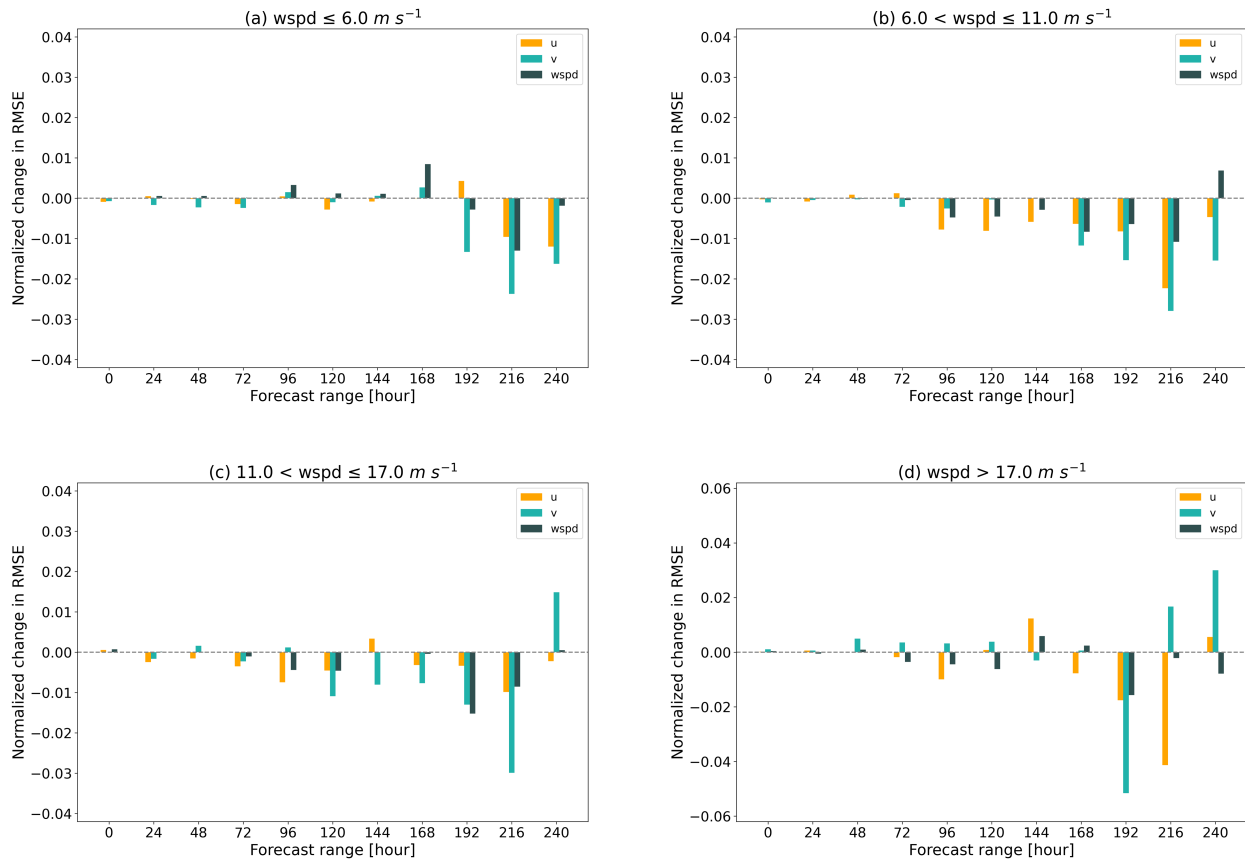
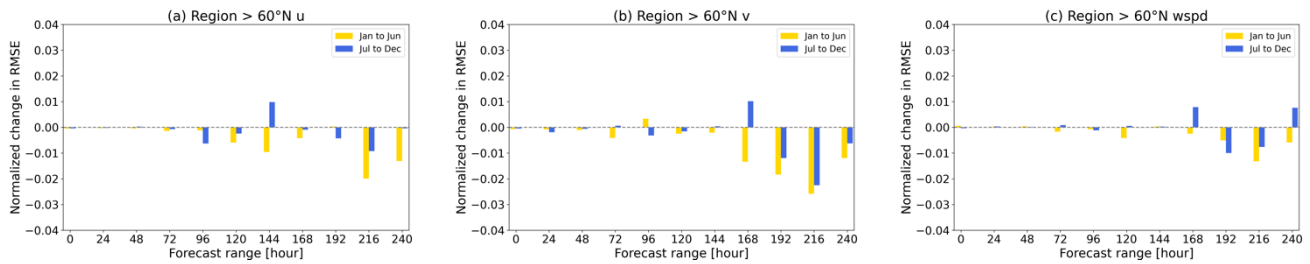


Figure 6: Normalized change in RMSE of u, v components and wind speed (wspd) as a function of forecast range for the region > 60° N for the year 2020 based on the ECMWF OSE forecasts with and without Aeolus and weather station data.



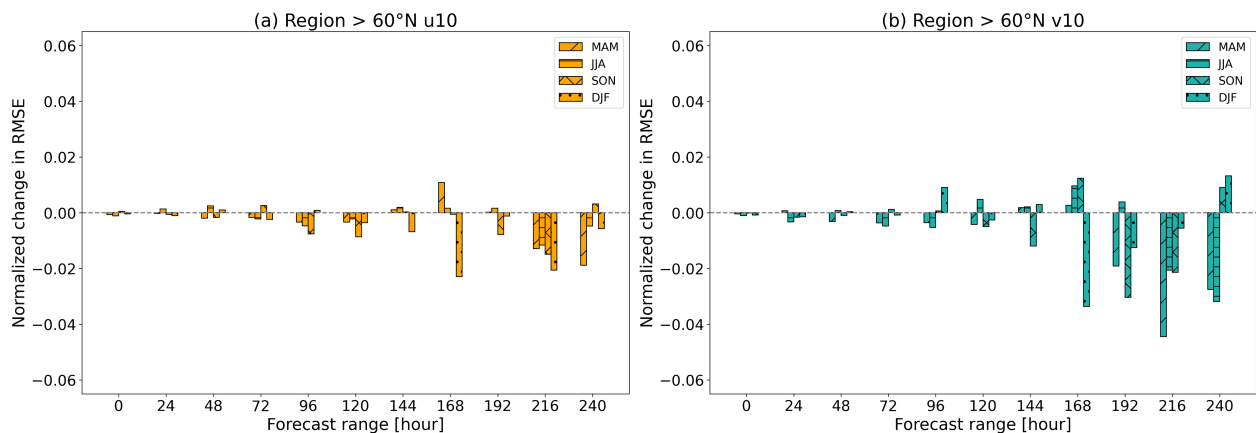
215

Figure 7: Same to Fig.8 but for different wind speed ranges.



220

Figure 8: Normalized change in RMSE of u, v components and wind speed (wspd) as a function of forecast range during each half-year of 2020 for the region $> 60^\circ$ N based on the ECMWF OSE forecasts with and without Aeolus and weather station data.



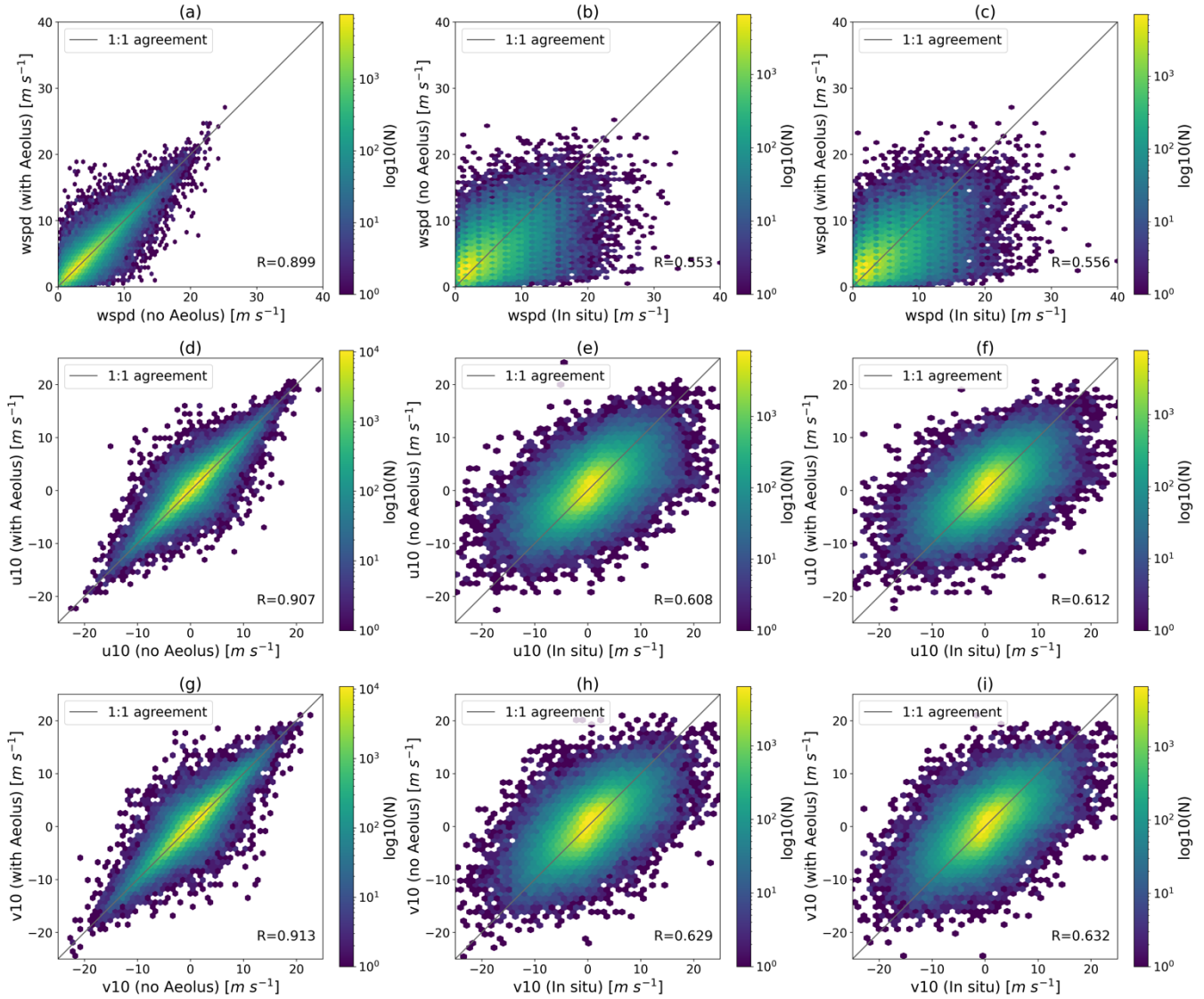
225 **Figure 9: Seasonal normalized change in RMSE of u and v components as a function of forecast range for the region > 60° N for the year 2020 based on the ECMWF OSE forecasts with and without Aeolus and weather station data. MAM: March, April, and May; JJA: June, July, and August; SON: September, October, and November; DJF: December, January, and February.**

4.2.2 Correlations of datasets

Regarding the correlations for the region > 60° N, the wind components and wind speed between the two OSEs with and without Aeolus assimilation are well correlated as the forecast extends, with R-values greater than 0.90 until T+120 h (Fig.10 (a), (d) and (g)). Moreover, with the forecast extending, the R-values of the forecasts with Aeolus versus in situ measurements are slightly larger than the ones without Aeolus data, which is in line with the inter-comparison analysis, suggesting a minimal improvement in wind forecast. However, different from the inter-comparison analysis, the R-value is

230

not sensitive to reflect which wind component can benefit more from Aeolus data assimilation.
 Region $> 60^\circ\text{N}$ (T+120 h, N=229049)

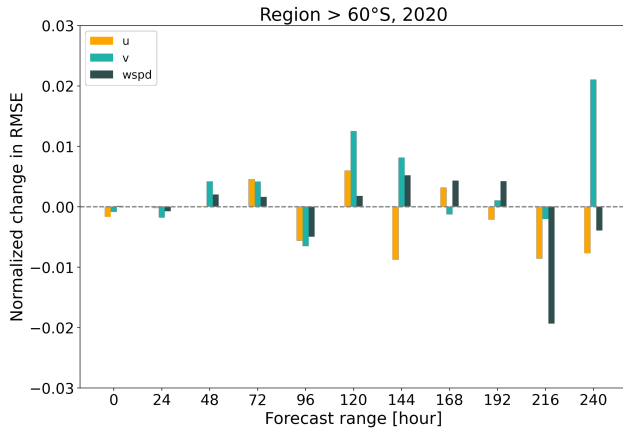


235 **Figure 10: Hexagonal binning plots of u, v components and wind speed at T+120 h for the region $> 60^\circ\text{N}$ for the year 2020 based on the ECMWF OSE forecast with and without Aeolus and weather station data. The colour of each hexagon indicates the number of samples in it.**

4.3 High-latitude region in the Southern Hemisphere ($> 60^\circ\text{S}$)

4.3.1 Inter-comparison analysis

For the Southern Hemisphere, the impact of Aeolus on wind forecast is nearly neutral when considering the whole study period, with the significant error reduction only at T+216 h for wind speed forecast (Fig. 11). Regarding the results for two different half-years, more negative NCRMSEs of u component and wind speed were found within T+96 h and at T+216 h and T+240 h during the first half-year of 2020 (Fig. 12). With respect to seasonal results (Fig. 13), as forecast range extends, there are more negative NCRMSEs on u component than on v component although these exist randomly on any season.



245 **Figure 11: Normalized change in RMSE of u, v components and wind speed (wspd) as a function of forecast range for the region > 60° S for the year 2020 based on the ECMWF OSE forecasts with and without Aeolus and weather station data.**

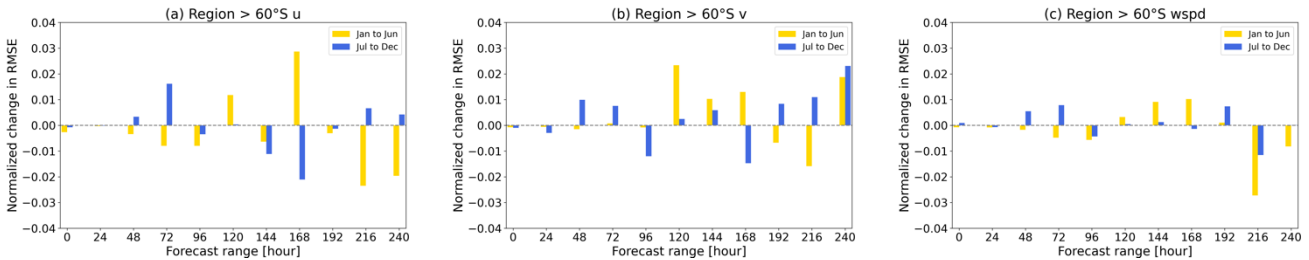
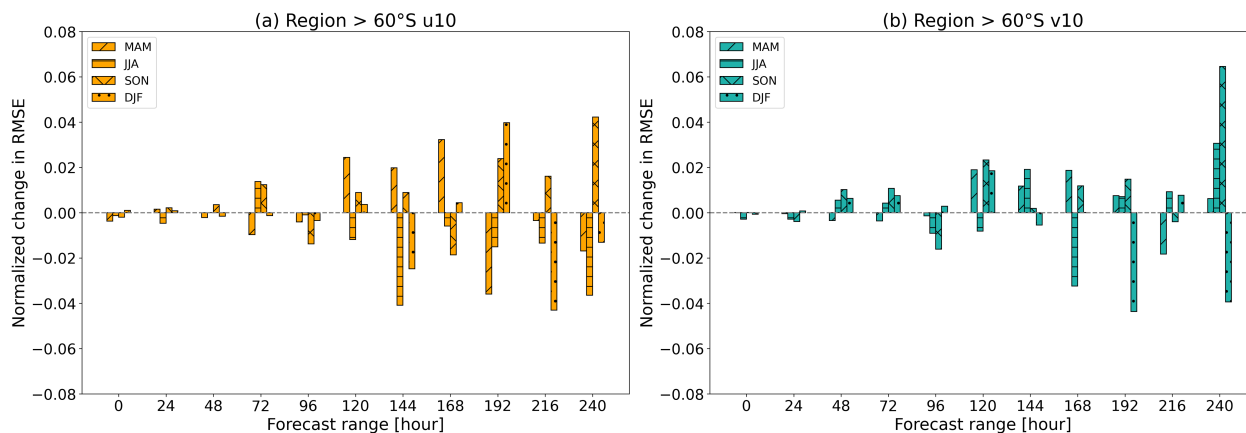


Figure 12: Normalized change in RMSE of u, v components as a function of forecast range for two different half years of 2020 for the region > 60° S based on the ECMWF OSE forecasts with and without Aeolus and weather station data.



250

Figure 13: Seasonal normalized change in RMSE of u and v components as a function of forecast range for the region > 60° S for the year 2020 based on the ECMWF OSE forecasts with and without Aeolus and weather station data. MAM: March, April, and May; JJA: June, July, and August; SON: September, October, and November; DJF: December, January, and February.

4.3.2 Correlations of datasets

255 About the correlations for the region > 60° S, the wind components and wind speed between the two OSEs show strong agreement as the forecast extends, with R values consistently greater than 0.89 up to T+120 h (Fig.14 (a), (d) and (g)). This pattern is comparable with the results for the region > 60° N, although the number of data samples is much lower in the region > 60° S. Moreover, the R-values of each two systems decrease gradually with forecast time, but the correlations for the u and v components are stronger than those for the wind speed for all forecast steps. In addition, the correlations between

260 the OSEs and the in situ measurements are consistent with the inter-comparison results, with R-values of the forecast with Aeolus versus in situ data higher than the ones without Aeolus corresponding to the negative NCRMSEs.

Region > 60°S (T+120 h, N=12516)

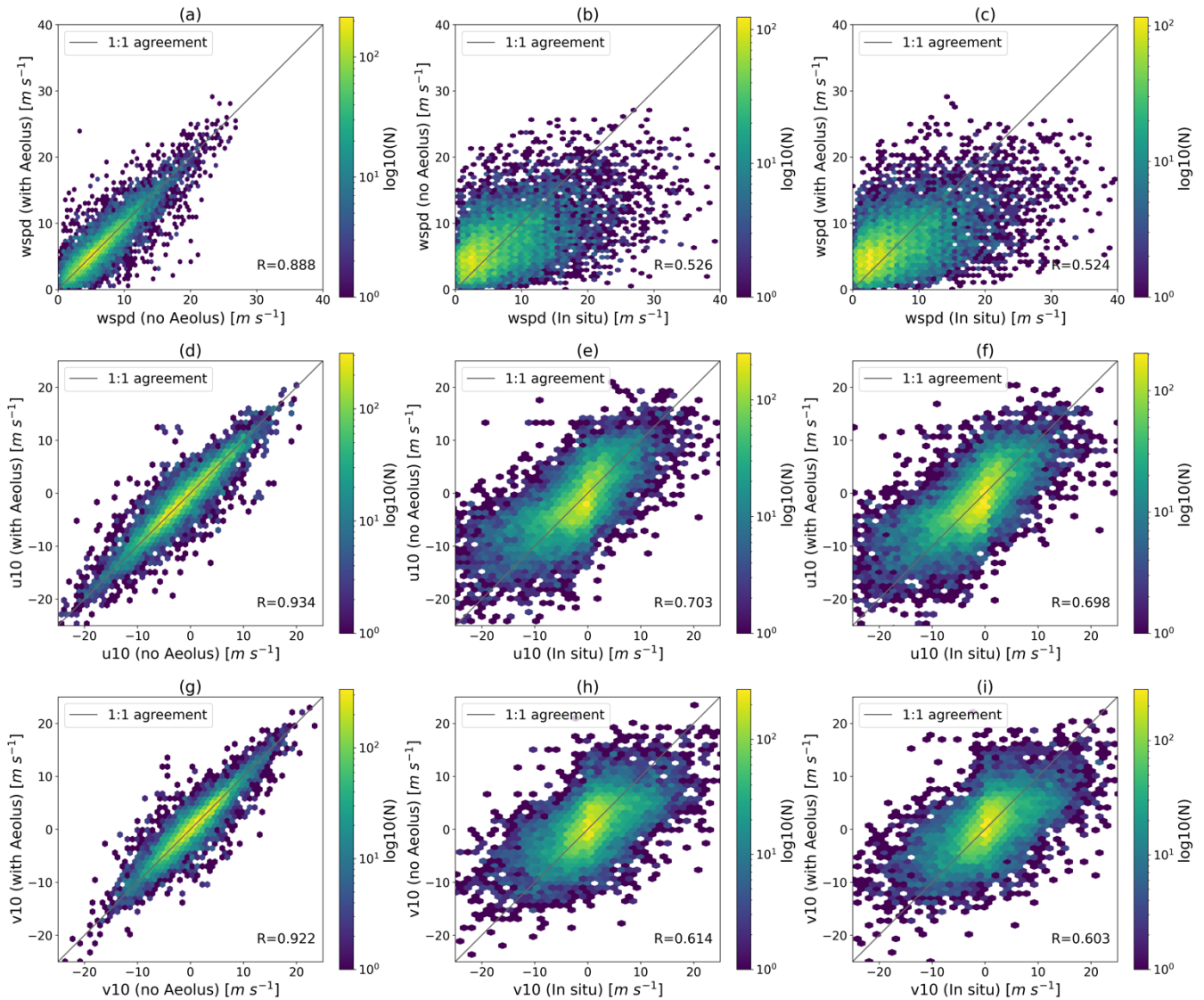


Figure 14: Scatter plots of u, v components and wind speed at +120 h forecast for the region > 60° S for the year 2020 based on the ECMWF OSE forecasts with and without Aeolus and weather station data. The colour of each hexagon indicates the number of samples in it.

265

5 Discussion

By taking in situ measurements as the reference, we evaluated the impact of Aeolus data assimilation on wind forecast at the near-surface level based on the ECMWF OSEs. According to the results of inter-comparison analyses for tropical oceans, the

270 impact of Aeolus on sea surface wind forecast is nearly neutral overall. However, negative NCRMSE values are observed across all three ocean basins at the T+48 h forecast step. Despite not being statistically significant, this result is consistent with the verifications based on the model analysis at ECMWF (Rennie and Isaksen, 2022), but further demonstration is required with more in situ measurements.

275 For the NH high-latitude region, Aeolus makes more positive impacts as the forecast extends. This result is partly comparable with the analysis-based verifications at ECMWF, with a noticeable positive impact obtained at the T+216 h forecast step (Rennie and Isaksen, 2022). The main difference is that in our study, this evident positive impact exists at more forecast steps from T+192 h to T+240 h, which is in part due to the different reference data we are based on and the different spatial coverage they have. In addition, since there are a limited number of low-level Aeolus winds inland assimilated into the ECMWF model, we suspect that this positive impact is probably associated with the downward propagation of Aeolus
280 increments to the surface as the changes in stratospheric initial conditions can affect tropospheric circulation on subsequent forecasts (Kodera et al., 1990; Christiansen, 2001; Charlton et al., 2004; Tripathi et al., 2015). Moreover, the greater positive impact is found for v component at many forecast steps. One possible reason is that at higher latitudes, Aeolus measurements are closer to meridional winds, thus leading to more impact on the v component.

285 To assess the impact of Aeolus data quality on its contribution to wind forecast, we also divided the study period into two half-year periods. There are more evident error reductions during the first half-year than during the second half-year for the high-latitude region in the NH, which suggests that the increasing random errors of Aeolus due to signal loss may degrade its impacts on wind forecast at the surface level. With respect to the impact of different seasons, the results for the region $> 60^\circ$ N show that Aeolus tends to have a more positive impact on wind forecast during the winter months than during the summer
290 months. This is partly attributed to the seasonal variation of solar background noise, which leads to larger random errors of Rayleigh-clear winds during summer months over polar regions and in the stratosphere (Reitebuch et al., 2022), thus resulting in larger forecast errors correspondingly. Another possible reason for the seasonal variation in error reduction is the different contributions of Aeolus data assimilation under different wind speed ranges. According to Fig.7, more error reductions are found when wind speeds are greater than 6 m s^{-1} for the region $> 60^\circ$ N. Thus, during the stormy season,
295 which is usually the wintertime for the high-latitude regions, there could be more evident error reductions.

Different from the results for the high-latitude region in the NH, Aeolus winds seem to have a limited impact on improving wind forecast for the region $> 60^\circ$ S. This may be due to the poor spatial coverage of weather stations in Antarctica. Apart from this, the model may have a different performance when apply to the region $> 60^\circ$ S due to the coarse model resolution
300 in representing ice sheets and mountainous terrain in Antarctica (Bromwich et al., 2005), which could impair the contribution of Aeolus to surface wind forecasts.

In this study, the normalized change in the RMSEs between the control experiment and the experiment with Aeolus are not statistically significant at a significance level of 0.05 for many cases and forecast steps. We consider this in part to be due to the limited number of buoys and weather stations distributed over the study regions. Another possible reason could be the representativeness of the point-based measurements compared to the coarse model resolution, which makes the errors between in situ measurements and model outputs large and random.

In terms of the evaluation method, apart from the conventional inter-comparison analysis like what we used in this study, triple collocation (TC) analysis is another beneficial method for environmental parameter evaluation when there are three independent data sets (Stoffelen, 1998; Vogelzang and Stoffelen, 2012). Different from the inter-comparison analysis that regards a reference data set free of errors, TC analysis assumes that each data set is linearly correlated with the truth. Following the equation derivation documented in Vogelzang and Stoffelen (2012), the primary output of TC is the error standard deviation (ESD) of each data set, which allows us to compare the quality of different data sets. We made an attempt to implement TC method to our cases (results are not shown). The results can generally reflect the impact of Aeolus on wind forecast, with the ESD from the forecast with Aeolus lower than the one without Aeolus implying the positive impact of Aeolus. But the ESD values are inaccurate since the errors of the two forecasts are not independent because they are from the same NWP model. Theoretically, without taking this dependence into account may lead to the ESDs of two forecasts underestimated and the ESD of in situ measurements over-estimated since the error covariance of the two forecasts are greater than zero (Caires and Sterl, 2003). Therefore, to obtain accurate results when implementing the TC method to assess two correlated data sets, quantifying the non-zero covariance or making a further modification of the method is required.

6 Conclusions

With the help of in situ measurements, the contribution of Aeolus wind assimilation to near-surface wind forecast was assessed for tropical oceans (between 30° N and 30° S) and high-latitude regions (> 60° N and > 60° S) through both inter-comparison analysis and correlation analysis. The wind predictions come from the high-resolution T_{co}639 OSEs with the ECMWF model.

The results indicate that Aeolus wind assimilation has limited impact on the sea surface wind forecasts for the tropical oceans, however, which requires further demonstration with more data samples. For the high-latitude region in the NH, error reductions are observed for many forecast steps, and this positive impact becomes more evident with forecast extending. Moreover, more error reductions are found during the first half-year of 2020 and during the winter months owing to the better behaviour of the Aeolus and its greater contribution to the moderate-to-strong wind forecasts. Furthermore, the v wind component is likely to benefit more from Aeolus data assimilation than the u component for the region > 60° N. Unlike the

NH, the contribution of Aeolus to the region $> 60^{\circ}$ S is not obvious, and further investigation with more in situ
335 measurements is required. Correlation analysis also reflects the influence of Aeolus on surface wind forecasts to some extent.

Notwithstanding the limited spatial coverage of the reference data, the research findings of this study provide information on
the role of Aeolus data assimilation with the ECMWF model in near-surface wind forecasts over the tropical ocean and the
high-latitude regions.

340 **Data availability.** The OSEs were conducted by Michael Rennie and Lars Isaksen from the ECMWF, and the u and v wind components
were extracted from MARS (<https://apps.ecmwf.int/mars-catalogue/>, last access: 28 July 2022, ECMWF). The buoy measurements were
obtained from Global Tropical Moored Buoy Array (<https://www.pmel.noaa.gov/tao/drupal/disdel/>, last access: 04 August 2022, National
Oceanic and Atmospheric Administration Pacific Marine Environmental Laboratory). Wind information at weather stations is accessed via
345 Global Hourly - Integrated Surface Database ([https://www.ncei.noaa.gov/products/land-based-station/integrated-surface-
database#:~:text=Global%20Climate%20Station%20Summaries%20Summaries%20are%20simple%20indicators,or%20longer%20time%
20periods%20or%20for%20customized%20periods.](https://www.ncei.noaa.gov/products/land-based-station/integrated-surface-database#:~:text=Global%20Climate%20Station%20Summaries%20Summaries%20are%20simple%20indicators,or%20longer%20time%20periods%20or%20for%20customized%20periods.), last access: 11 August 2022, National Centers for Environmental Information).

Author contributions. HZ obtained the data, performed the data analysis, and drafted the manuscript. CH helped in interpreting the
research findings. HZ revised the manuscript critically.

Competing interests. The authors declare that they have no conflict of interest.

350 **Acknowledgement.** This study is a part of PhD project Aeolus satellite lidar for wind mapping, a sub-project of the Lidar Knowledge
Europe (LIKE) Innovative Training Network (ITN) Marie Skłodowska-Curie Actions funded by European Union Horizon 2020 (Grant
number: 858358). We would like to thank the Royal Netherlands Meteorological Institute (KNMI) for being the secondment host
institution. Our special appreciation goes to Ad Stoffelen from KNMI who gave us the idea to conduct this study and to Gert-Jan Marseille
355 from KNMI for his assistance with OSE data retrieval. We would also like to show our gratitude to Michael Rennie and Lars Isaksen from
the ECMWF for conducting the OSEs and MARS for data access. We thank the National Oceanic and Atmospheric Administration Pacific
Marine Environmental Laboratory for buoy data and the National Centers for Environmental Information for wind measurements at
weather stations.

360 **Financial support.** This research is a part of the PhD project Aeolus Satellite Lidar for Wind Mapping, a sub-project of the Innovation
Training Network Marie Skłodowska-Curie Actions: Lidar Knowledge Europe (LIKE) supported by the European Union Horizon 2020
(Grant number: 858358). Otto Mønsted Foundation supports half of the expenses for the secondment at KNMI.

References

- Andersson, E., Dabas, A., Endemann, M., Ingmann, P., Källén, E., Offiler, D., and Stoffelen, A.: ADM-Aeolus Science
Report, SP-1311, ESA, 121 pp., <https://esamultimedia.esa.int/multimedia/publications/SP-1311/SP-1311.pdf> (last access: 2
September 2022), 2008.
- 365 Banyard, T. P., Wright, C. J., Hindley, N. P., Halloran, G., Krisch, I., Kaifler, B., and Hoffmann, L.: Atmospheric Gravity
Waves in Aeolus Wind Lidar Observations, *Geophys. Res. Lett.*, 48, e2021GL092756,
<https://doi.org/10.1029/2021GL092756>, 2021.
- Belova, E., Kirkwood, S., Voelger, P., Chatterjee, S., Satheesan, K., Hagelin, S., Lindskog, M., and Körnich, H.: Validation
of Aeolus winds using ground-based radars in Antarctica and in northern Sweden, *Atmos. Meas. Tech.*, 14, 5415–5428,
370 <https://doi.org/10.5194/amt-14-5415-2021>, 2021.

- Bidlot, J.-R., Holmes, D. J., Wittmann, P. A., Lalbeharry, R., and Chen, H. S.: Intercomparison of the Performance of Operational Ocean Wave Forecasting Systems with Buoy Data, *Wea. Forecasting*, 17, 287–310, [https://doi.org/10.1175/1520-0434\(2002\)017<0287:IOTPOO>2.0.CO;2](https://doi.org/10.1175/1520-0434(2002)017<0287:IOTPOO>2.0.CO;2), 2002.
- 375 Bromwich, D. H., Monaghan, A. J., Manning, K. W., and Powers, J. G.: Real-Time Forecasting for the Antarctic: An Evaluation of the Antarctic Mesoscale Prediction System (AMPS), *Monthly Weather Review*, 133, 579–603, <https://doi.org/10.1175/MWR-2881.1>, 2005.
- Charlton, A. J., O'Neill, A., Lahoz, W. A., and Massacand, A. C.: Sensitivity of tropospheric forecasts to stratospheric initial conditions, *Q. J. R. Meteorol. Soc.*, 130, 1771–1792, <https://doi.org/10.1256/qj.03.167>, 2004.
- 380 Christiansen, B.: Downward propagation of zonal mean zonal wind anomalies from the stratosphere to the troposphere: Model and reanalysis, *J. Geophys. Res.*, 106, 27307–27322, <https://doi.org/10.1029/2000JD000214>, 2001.
- Caires, S. and Sterl, A.: Validation of ocean wind and wave data using triple collocation, *J. Geophys. Res.*, 108, 3098, <https://doi.org/10.1029/2002JC001491>, 2003.
- 385 Cress, A., Martin, A., Born, M., and Weismann, M.: Impact of Aeolus HLOS winds in the global NWP System of DWD, Towards an operational Doppler Wind Lidar Programme, Darmstadt, Germany, 8-9 September 2022, https://www.eventsforce.net/eumetsat/frontend/reg/tAgendaWebsite.csp?pageID=15588&ef_sel_menu=247&eventID=38&mode=, 2022.
- Data Innovation and Science Cluster (DISC): Summary of Quality of Aeolus Data Products from 1st Reprocessing Campaign covering June to December 2019, ESA, <https://earth.esa.int/eogateway/documents/20142/0/Aeolus-Summary-Republishing-1-DISC.pdf> (last access: 02 November 2022), 2020.
- 390 ECMWF: Fact sheet: Earth system data assimilation, ECMWF, <https://www.ecmwf.int/sites/default/files/medialibrary/2020-05/ecmwf-fact-sheet-data-assimilation.pdf> (last access: 10 November 2022), 2020.
- ECMWF: ECMWF Research Experiments (RD), ECMWF [data set], <https://www.ecmwf.int/en/forecasts/dataset/ecmwf-research-experiments> (last access: 20 July 2022), 2022.
- 395 Forsythe, M. and Halloran, G.: Impact of Aeolus Doppler Wind Lidar at the UK Met Office, Towards an operational Doppler Wind Lidar Programme, Darmstadt, Germany, 8-9 September 2022, https://www.eventsforce.net/eumetsat/frontend/reg/tAgendaWebsite.csp?pageID=15588&ef_sel_menu=247&eventID=38&mode=, 2022.
- 400 Garrett, K., Liu, H., Ide, K., Hoffman, R. N., and Lukens, K. E.: Optimization and impact assessment of Aeolus HLOS wind assimilation in NOAA's global forecast system, *Quart. J. Royal. Meteorol. Soc.*, 148, 2703–2716, <https://doi.org/10.1002/qj.4331>, 2022.
- Hagelin, S., Azad, R., Lindskog, M., Schyberg, H., and Körnich, H.: Evaluating the use of Aeolus satellite observations in the regional numerical weather prediction (NWP) model Harmonie-Arome, *Atmos. Meas. Tech.*, 14, 5925–5938, <https://doi.org/10.5194/amt-14-5925-2021>, 2021.
- 405 Iwai, H., Aoki, M., Oshiro, M., and Ishii, S.: Validation of Aeolus Level 2B wind products using wind profilers, ground-based Doppler wind lidars, and radiosondes in Japan, *Atmos. Meas. Tech.*, 14, 7255–7275, <https://doi.org/10.5194/amt-14-7255-2021>, 2021.

- King, G. P., Portabella, M., Lin, W., and Stoffelen, A.: Correlating extremes in wind divergence with extremes in rain over the Tropical Atlantic, <https://mdc.coaps.fsu.edu/scatterometry/meeting/docs/2022/King-IOVWST-2022.pdf> (last access: 06 March 2022), 2023.
- 410 Kodera, K., Yamazaki, K., Chiba, M., and Shibata, K.: Downward propagation of upper stratospheric mean zonal wind perturbation to the troposphere, *Geophys. Res. Lett.*, 17, 1263–1266, <https://doi.org/10.1029/GL017i009p01263>, 1990.
- Laroche, S. and St-James, J.: Impact of the Aeolus Level-2B horizontal line-of-sight winds in the Environment and Climate Change Canada global forecast system, *Quart. J. Royal. Meteor. Soc.*, 148, 2047–2062, <https://doi.org/10.1002/qj.4300>, 2022.
- 415 Met Office: Beaufort wind force scale, <https://www.metoffice.gov.uk/weather/guides/coast-and-sea/beaufort-scale>, last access: 24 February 2023.
- Mile, M., Azad, R., and Marseille, G.: Assimilation of Aeolus Rayleigh-Clear Winds Using a Footprint Operator in AROME-Arctic Mesoscale Model, *Geophysical Research Letters*, 49, 1–11, <https://doi.org/10.1029/2021GL097615>, 2022.
- 420 National Centers for Environmental Information (NCEI): Global Hourly - Integrated Surface Database (ISD), NCEI [data set], National Oceanic and Atmospheric Administration, <https://www.ncei.noaa.gov/products/land-based-station/integrated-surface-database#:~:text=Global%20Climate%20Station%20Summaries%20Summaries%20are%20simple%20indicators,or%20lon ger%20time%20periods%20or%20for%20customized%20periods>. (last access: 11 August 2022), n.d.
- 425 Parrington, M., Rennie, M., Inness, A., and Duncan, D.: Monitoring the atmospheric impacts of the Hunga-Tonga eruption, ECMWF, <https://www.ecmwf.int/en/newsletter/171/news/monitoring-atmospheric-impacts-hunga-tonga-eruption>, last access: 2 November 2022, 2022.
- Pacific Marine Environmental Laboratory (PMEL): Global Tropical Moored Buoy Array, PMEL [Data set], National Oceanic and Atmospheric Administration, <https://www.pmel.noaa.gov/gtmba/> (last access: 03 August 2022), n.d.
- 430 Pourret, V., Šavli, M., Mahfouf, J., Raspaud, D., Doerenbecher, A., Bénichou, H., and Payan, C.: Operational assimilation of Aeolus winds in the Météo-France global NWP model ARPEGE, *Quart. J. Royal. Meteor. Soc.*, 148, 2652–2671, <https://doi.org/10.1002/qj.4329>, 2022.
- 435 Reitebuch, O., Krisch, I., Lemmerz, C., Lux, O., Marksteiner, U., Masoumzadeh, N., Weiler, F., Witschas, B., Filomarino, V. C., Meringer, M., Schmidt, K., Huber, D., Nikolaus, I., Fabre, F., Vaughan, M., Reissig, K., Dabas, A., Flament, T., Lacour, A., Mahfouf, J.-F., Seck, I., Trajon, D., Abdalla, S., Isaksen, L., Rennie, M., Benedetti, A., McLean, W., Henry, K., Donovan, D., de Kloe, J., Marseille, G.-J., Stoffelen, A., Wang, P., van Zadelhoff, G.-J., Perron, G., Jupin-Langlois, S., Pijnacker-Hordijk, B., Veneziani, M., Bucci, S., Gostinicchi, G., Di Ciolo, L., Bley, S., Geiss, A., Kanitz, T., Straume, A.-G., Wernham, D., Krisna, T., von Bismarck, J., Colangeli, G., Trivigno, V., Romanazzo, M., Aprile, S., and Parrinello, T.: Contributions from the DISC to accomplish the Aeolus mission objectives, Aeolus 3rd Anniversary Conference, Taormina, Italy, 23-27 March 2022, <https://elib.dlr.de/186034/>, 2022.
- 440 Rennie, M. and Isaksen, L.: The NWP impact of Aeolus Level-2B winds at ECMWF, ECMWF, 227 pp., https://confluence.ecmwf.int/display/AEOL/L2B+team+technical+reports+and+relevant+papers?preview=/46596815/288355970/AED-TN-ECMWF-NWP-025--20220810_v5.0.pdf (last access: 20 October 2022), 2022.
- Rennie, M. P., Isaksen, L., Weiler, F., Kloe, J., Kanitz, T., and Reitebuch, O.: The impact of Aeolus wind retrievals on ECMWF global weather forecasts, *Q. J. R. Meteorol. Soc.*, 147, 3555–3586, <https://doi.org/10.1002/qj.4142>, 2021.

- 445 Sandu, I., Bechtold, P., Nuijens, L., Beljaars, A., and Brown, A.: On the causes of systematic forecast biases in near-surface wind direction over the oceans, ECMWF, 21 pp., <https://www.ecmwf.int/sites/default/files/elibrary/2020/19545-causes-systematic-forecast-biases-near-surface-wind-direction-over-oceans.pdf> (last access: 22 February 2023), 2020.
- Stoffelen, A.: Toward the true near-surface wind speed: Error modeling and calibration using triple collocation, *J. Geophys. Res.*, 103, 7755–7766, <https://doi.org/10.1029/97JC03180>, 1998.
- 450 Straume-Lindner, A. G., Parrinello, T., Von Bismarck, J., Bley, S., Wernham, D., Kanitz, T., Alvarez, E., Fischey, P., De Laurentis, M., Fehr, T., Ehlers, F., Duc Tran, V., Krisch, I., Reitebuch, O., and Renni, M.: ESA’S Wind Mission Aeolus - Overview, Status and Outlook, in: 2021 IEEE International Geoscience and Remote Sensing Symposium IGARSS, IGARSS 2021 - 2021 IEEE International Geoscience and Remote Sensing Symposium, Brussels, Belgium, 12-16 July 2021, 755–758, <https://doi.org/10.1109/IGARSS47720.2021.9554007>, 2021.
- 455 Tripathi, O. P., Baldwin, M., Charlton-Perez, A., Charron, M., Eckermann, S. D., Gerber, E., Harrison, R. G., Jackson, D. R., Kim, B., Kuroda, Y., Lang, A., Mahmood, S., Mizuta, R., Roff, G., Sigmond, M., and Son, S.: The predictability of the extratropical stratosphere on monthly time-scales and its impact on the skill of tropospheric forecasts, *Q.J.R. Meteorol. Soc.*, 141, 987–1003, <https://doi.org/10.1002/qj.2432>, 2015.
- Vogelzang, J. and Stoffelen, A.: Triple collocation, Royal Netherlands Meteorological Institute, 22 pp., https://cdn.knmi.nl/system/data_center_publications/files/000/068/914/original/triplecollocation_nwpsaf_tr_kn_021_v1.0.pdf?1495621500 (last access: 27 January 2022), 2012.
- Witschas, B., Lemmerz, C., Geiß, A., Lux, O., Marksteiner, U., Rahm, S., Reitebuch, O., Schäfler, A., and Weiler, F.: Validation of the Aeolus L2B wind product with airborne wind lidar measurements in the polar North Atlantic region and in the tropics, *Atmos. Meas. Tech.*, 15, 7049–7070, <https://doi.org/10.5194/amt-15-7049-2022>, 2022.
- 465 Zuo, H., Hasager, C. B., Karagali, I., Stoffelen, A., Marseille, G.-J., and de Kloe, J.: Evaluation of Aeolus L2B wind product with wind profiling radar measurements and numerical weather prediction model equivalents over Australia, *Atmos. Meas. Tech.*, 15, 4107–4124, <https://doi.org/10.5194/amt-15-4107-2022>, 2022.

470