

1 **Estimation of secondary organic aerosol formation parameters for the**
2 **Volatility Basis Set combining thermodenuder, isothermal dilution**
3 **and yield measurements**

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8
9 **Abstract**

10 Secondary organic aerosol (SOA) is a major fraction of the total organic aerosol (OA)
11 in the atmosphere. SOA is formed by the partitioning onto pre-existent particles of low
12 vapor pressure products of the oxidation of volatile, intermediate volatility, and
13 semivolatile organic compounds. Oxidation of the precursor molecules results in a
14 myriad of organic products making the detailed analysis of smog chamber experiments
15 difficult and the incorporation of the corresponding results into chemical transport
16 models (CTMs) challenging. The volatility basis set (VBS) is a framework that has
17 been designed to help bridge the gap between laboratory measurements and CTMs. The
18 parametrization of SOA formation for the VBS has been traditionally based on fitting
19 yield measurements of smog chamber experiments. To reduce the uncertainty of this
20 approach, we developed an algorithm to estimate the SOA product volatility
21 distribution, effective vaporization enthalpy, and effective accommodation coefficient
22 combining SOA yield measurements with thermograms (from thermodenuders) and
23 areograms (from isothermal dilution chambers) from different experiments and
24 laboratories. The algorithm is evaluated with “pseudo-data” produced from the
25 simulation of the corresponding processes assuming SOA with known properties and
26 introducing experimental error. One of the novel features of our approach is that the
27 proposed algorithm estimates the uncertainty of the predicted yields for different
28 atmospheric conditions (temperature, SOA concentration levels, etc.). The [uncertainty](#)
29 [of these predicted yields uncertainty](#) is significantly [less smaller](#) than that of the
30 estimated volatility distributions for all conditions tested.

31 ~~We show that even though there is still significant remaining uncertainty in the~~
32 ~~estimates (volatility distribution of the products and effective accommodation~~
33 ~~coefficient), the situation is dramatically better for the predicted yields at different~~
34 ~~atmospheric conditions.~~

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36 1. Introduction

37 Submicrometer atmospheric particles are of great importance due to their negative
38 effects on public health (Pope and Dockery, 2006; Lim et al., 2012) and their uncertain
39 influence on Earth's climate (IPCC, ~~2013~~, 2021). Organic aerosol (OA) contributes 20–
40 90 % to the submicron particulate mass (Zhang et al., 2007) and is emitted directly in
41 the atmosphere as primary particles (POA) or formed as secondary organic aerosol
42 (SOA). SOA constitutes a major fraction of the total OA in the atmosphere contributing
43 more than 60 % on average (Kanakidou et al., 2005). SOA is formed by the
44 condensation of low vapor pressure products of the oxidation of volatile (VOCs),
45 intermediate volatility (IVOCs), and semi-volatile organic compounds (SVOCs).

46 Hundreds of mostly unknown products are formed during the oxidation of each
47 SOA precursor making the detailed description of the corresponding reactions and
48 eventual SOA formation extremely challenging. The volatility basis set (VBS) is one
49 approach that has been proposed to simplify the system and to allow the SOA
50 simulation in CTMs. The VBS describes the volatility distribution of OA using a set of
51 surrogate species with effective saturation concentrations that vary by one order of
52 magnitude (Donahue et al., 2006; Stanier et al., 2008). Volatility is one of the most
53 important physical properties of SOA components as it determines to a large extent
54 their gas-particle partitioning (Pankow, 1994a; 1994b). The parametrization of SOA
55 formation for the VBS requires the determination of the yields of each volatility bin
56 (volatility distribution of products) and the corresponding enthalpies of vaporization.

57 The SOA parametrizations for the VBS have been traditionally based on fitting
58 yield measurements (Lane et al., 2008). The major weakness of this approach is that
59 the resulting parametrization is limited to the range of OA concentrations and
60 temperatures of the measurements. In most cases, the concentration range does not
61 include the low concentrations relevant to the atmosphere and usually most of the
62 experiments take place in a relatively narrow temperature range. Pathak et al. (2007a)
63 needed 37 smog chamber experiments at different temperatures (0–45 °C) and
64 atmospherically relevant concentrations to constrain the α -pinene SOA temperature
65 sensitivity.

66 A number of approaches has been used to minimize the number of experiments
67 needed to characterize the temperature dependence of the SOA formation. Stanier et al.
68 (2007) developed an experimental technique with which the temperature-controlled

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69 smog chamber could be heated or cooled after the SOA formation moving the system
70 to new equilibrium favoring evaporation or condensation respectively. However,
71 interactions of the SOA with the walls of the system increased the uncertainties of the
72 approach. Stanier et al. (2008) presented an algorithm to fit the smog chamber
73 experiments using several volatility bins. However, the number of experiments needed
74 by the algorithm should cover a wide range of concentrations and temperatures to
75 effectively constrain the stoichiometric mass yields and the effective vaporization
76 enthalpy.

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77 In an effort to cover a wider concentration and temperature range,
78 thermodenuder measurements can be used. The thermodenuder (TD) is a common
79 instrument developed to characterize the volatility of atmospheric aerosols by heating
80 them and observing the resulting changes in size, mass, optical properties, etc.
81 (Burtscher et al., 2001; Wehner et al., 2002, 2004; An et al., 2007). TDs consist of a
82 heated tube in which the more-volatile particle components evaporate followed by a
83 cooling section with activated carbon to avoid vapor recondensation. The mass changes
84 in TDs depend on the initial SOA concentration, the residence time in the heating tube,
85 the vaporization enthalpy, and the mass transfer resistances. The latter are described
86 by the effective accommodation coefficient that has been traditionally used to
87 account for resistances to mass transfer not only at the surface of the particle but also
88 inside the particle. The evaporation rate for most particles is relatively insensitive to its
89 value when this value is around one. A typical way of reporting the TD measurements
90 is by calculating the aerosol mass fraction remaining (MFR) at a given temperature
91 after passing through the TD. The MFRs in a range of TD temperatures constitute the
92 thermogram.

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93 In TD applications in the field (Cappa and Jimenez, 2010; Huffman et al., 2009;
94 Lee et al., 2010; Louvaris et al., 2017a) and in the laboratory (Kalberer et al., 2004;
95 Baltensperger et al., 2005; An et al., 2007; Lee et al., 2011; Cain et al., 2020) the
96 particles system does not reach equilibrium with the gas phase inside the TD. Therefore,
97 dynamic aerosol evaporation models (Riipinen et al., 2010; Cappa, 2010; Fuentes and
98 McFiggans, 2012) are needed for the interpretation of TD measurements. Karnezi et al.
99 (2014) used the time-dependent evaporation model of Riipinen et al. (2010) to calculate
100 the OA volatility distribution, vaporization enthalpy, and mass accommodation
101 coefficient from TD measurements. The authors showed that a simple error
102 minimization approach may not be appropriate for such systems as very similar

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103 thermograms can be obtained for multiple combinations of different parameters. For
104 this reason, their approach estimates an ensemble of “good” solutions, from which the
105 best estimate and the corresponding uncertainties are derived.

106 Grieshop et al. (2009) suggested the combination of TD and isothermal dilution
107 to constrain the volatility distribution of SOA. Karnezi et al. (2014) proposed an
108 algorithm to include both ~~two~~ types of measurements. The authors concluded that the
109 combination of the two types of measurements can better constrain the OA volatility
110 than each set separately. Louvaris et al. (2017b) and Cain et al. (2020) applied this
111 algorithm to cooking OA (COA) and SOA, respectively. Louvaris et al. (2017b) showed
112 that the use of only TD measurements led to overestimation of the SVOC fraction of
113 COA, while the use of TD and isothermal dilution data reduces the uncertainty of the
114 volatility distribution and ~~of~~ the effective vaporization enthalpy. Cain et al. (2020)
115 ~~obtained—conducted experimentally—TD and isothermal dilution measurements~~
116 ~~experiments~~ for α -pinene and cyclohexene ozonolysis SOA. The SOA in these two
117 systems had similar thermograms, but different areograms. When only thermograms
118 were used in the model, the volatility distributions were quite similar. However, the
119 addition of areograms revealed that α -pinene ozonolysis SOA consists mostly of ~~low-~~
120 ~~volatility organic compounds (LVOCs)~~ and the cyclohexene ozonolysis SOA consists
121 mostly of SVOCs.

122 To constrain the volatility product distribution of SOA and its effective
123 vaporization enthalpy, we combine TD and isothermal dilution experiments with the
124 SOA yield measurements. We extend here the algorithm of Karnezi et al. (2014) by
125 introducing additional inputs (SOA yields) and ~~by~~ also providing additional outputs
126 (uncertainty of estimated yields in relevant atmospheric conditions). The algorithm is
127 tested with “pseudo-experimental” data generated from the use of models simulating
128 the corresponding measurement processes, ~~therefore~~ the true parameters are known.
129 The results of the “pseudo-experiments” are corrupted so that they include experimental
130 errors.

131

132 2. Model Description

133 2.1. SOA Formation

134 Gas-phase oxidation of VOCs involves a large number of reactions and produces a large
135 number of products that can condense in the particulate phase. Depending on their

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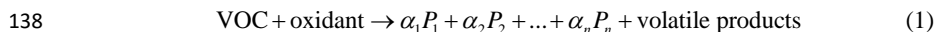
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136 effective saturation concentration, they can be represented in the 1D-VBS framework
137 by



139 where n is the number of the surrogate compounds (volatility bins in the VBS), P_i is the
140 surrogate product in the i -th volatility bin and α_i is the corresponding stoichiometric
141 mass yield. The total SOA mass yield can be then calculated as:

142
$$Y \equiv \frac{C_{\text{OA}}}{\Delta\text{VOC}} = \sum_i^n \frac{\alpha_i}{1 + (C_i^*/C_{\text{OA}})} \quad (2)$$

143 where C_{OA} is the total SOA concentration, ΔVOC is the consumed concentration of the
144 VOC and C_i^* is the effective saturation concentration of compound i . This yield
145 equation is an extension of the two-product model by Odum et al. (1996) replacing their
146 semi-empirical partitioning coefficients with the assumption of a pseudo-ideal solution
147 (Strader et al., 1999). This model assumes that the system has reached equilibrium when
148 the yield was measured and that the differences in molecular weights are small.

149 The effective saturation concentrations at different temperatures are given by
150 the Clausius-Clapeyron equation:

151
$$C_i^*(T) = C_i^*(T_{\text{ref}}) \frac{T_{\text{ref}}}{T} \exp \left[\frac{\Delta H_{\text{vap},i}}{R} \left(\frac{1}{T_{\text{ref}}} - \frac{1}{T} \right) \right] \quad (3)$$

152 where T_{ref} is the reference temperature in which the reference effective saturation
153 concentration is defined (298 K in this work), and $\Delta H_{\text{vap},i}$ is the enthalpy of vaporization
154 of surrogate compound i .

155

156 2.2. Thermodynamic Model

157 The time-dependent evaporation of SOA in the TD ~~used is described in this work is~~
158 ~~described~~ by the dynamic mass transfer model of Riipinen et al. (2010). The evolution
159 of the total particle mass, m_p , and the gas phase concentration of the compound i , C_i are
160 given by:

161
$$\frac{dm_p}{dt} = - \sum_{i=1}^n I_i \quad (4)$$

162
$$\frac{dC_i}{dt} = I_i N_{\text{tot}} \quad (5)$$

163 where n is the number of surrogate compounds, N_{tot} is the total number concentration
164 of particles (assuming monodisperse aerosol population) and I_i is the mass flux of

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165 compound i from the gas to the particulate phase for each particle calculated by
166 (Seinfeld and Pandis, 2016):

$$167 \quad I_i = \frac{2\pi d_p M_i \beta_{mi} D_i}{RT_{TD}} (p_i - p_i^0) \quad (6)$$

168 where d_p is the particle diameter, R is the ideal gas constant, M_i is the molecular weight
169 of compound i , D_i is the diffusion coefficient of compound i in the gas phase at
170 temperature T_{TD} , p_i and p_i^0 are the partial vapor pressures of i far away from the particle
171 and at particle surface, respectively, and β_{mi} is a factor for the correction of kinetic and
172 transition regime effects (Fuchs and Sutugin, 1970):

$$173 \quad \beta_{mi} = \frac{1 + Kn_i}{1 + \left(\frac{4}{3\alpha_{mi}} + 0.377 \right) Kn_i + \frac{4}{3\alpha_{mi}} Kn_i} \quad (7)$$

174 where Kn_i is the Knudsen number of compound i , and α_{mi} is the mass accommodation
175 coefficient of compound i on the particles. The partial vapor pressure of compound i at
176 the particle surface is given by:

$$177 \quad p_i^0 = x_{mi} \frac{C_i^* RT}{M_i} \exp\left(\frac{4M_i \sigma}{RT_{TD} \rho d_p} \right) \quad (8)$$

178 where x_{mi} is the mass fraction of compound i in the particulate phase, C_i^* is the effective
179 saturation concentration, σ is the surface tension (assumed 0.05 N m^{-1} in our
180 simulations), T_{TD} is the particle temperature assumed to be the same as in the TD, and
181 ρ is the particle density. The effective saturation concentrations at different TD
182 temperatures are given by Eq. (3).

183 [Processes other than organic aerosol evaporation may affect the TD](#)
184 [measurements. For example, thermal decomposition may accelerate the transfer of](#)
185 [organic compounds from the particulate to the gas phase and may lead](#) ~~Because aerosol~~
186 ~~particles are heated at relatively high temperatures in TD, thermal decomposition is a~~
187 ~~potential process preceding evaporation leading~~ to overestimation of the volatility of
188 ~~especially the least volatile components of the SOA (Epstein et al., 2010; Saha and~~
189 ~~Grieshop, 2016; Stark et al., 2017). However, the corresponding parameters for the~~
190 ~~SVOCs and the more volatile LVOCs and the SVOCs that are important for~~
191 ~~atmospheric SOA modeling should be a lot less uncertain given that they are measured~~
192 ~~in relatively low TD temperatures. The use of isothermal dilution measurements may~~
193 ~~also help identify cases in which the model does not include a process (e.g., thermal~~

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194 [decomposition](#)) that dominates the behavior of the aerosol during heating. In this case,
195 one expects that the overall algorithm will have difficulties reproducing all
196 measurements (yields, isothermal dilution, and evaporation in the TD).

197

198 2.3. Isothermal Dilution Model

199 In isothermal dilution experiments, a SOA sample is injected in a reactor filled with
200 clean air at room temperature. The concentrations of both the gas and particulate phase
201 components are lowered due to dilution leading the system out of equilibrium. The
202 evaporation of SOA as a result of isothermal dilution is also described by equations (3)-
203 (8) (Karnezi et al., 2014), but the temperature is equal to 298 K. Evaporation in a
204 dilution chamber depends on the initial SOA mass, time, and the α_m , but not on ΔH_{vap}
205 as the particles evaporate without a change in temperature.

206 ~~The dilution ratio is an important parameter in dilution processes, varying~~
207 ~~typically from 10 to 20 in SOA smog chamber experiments (Cain et al., 2020). Low~~
208 ~~dilution ratios result in little evaporation and little signal to be explored by the~~
209 ~~parameter estimation algorithm. High dilution ratios lead to very low initial~~
210 ~~concentrations in the dilution chamber and a lot of noise in the subsequent evaporation~~
211 ~~measurements.~~

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212

213 3. Algorithm for the Estimation of VBS Parameters

214 The algorithm of Karnezi et al. (2014) was first extended to include an SOA partitioning
215 model described by ~~Equations: (1) – (3)~~ together with the TD and isothermal dilution
216 models in order to estimate the volatility product distribution, vaporization enthalpy
217 and accommodation coefficient. We discretized the domain of the parameters and
218 simulated all combinations of stoichiometric mass yields (α_i), ΔH_{vap} , and α_m . The yields
219 α_i were allowed to vary from 0.0 to 0.8, with values of 0.0, 0.05, 0.1, 0.15, 0.2, 0.3, 0.4,
220 0.6, and 0.8. [The user of the algorithm can specify an upper limit for the sum of the](#)
221 [yields to reduce the number of the potential solutions that the algorithm will test. ~~C~~](#)
222 ~~For oxidation products in the volatility bins less than $10^3 \mu\text{g m}^{-3}$.~~ Combinations with sum
223 of the yields exceeding 1.0 were excluded from the analysis [originally](#). [The sensitivity](#)
224 [of our results to setting the upper limit of the sum of the yields equal to 2 is examined](#)
225 [in Section 4.6](#). For a 4-product system there are 3,153 and for 6-product system 66,636
226 acceptable combinations. The values used for ΔH_{vap} were from 20 to 200 kJ mol^{-1} with
227 a step of 20, and for α_m , the values used were 0.001, 0.01, 0.1, and 1. As a result 126,120

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228 simulations are needed [computational time of about 15 h in an office PC] for a 4-
 229 product VBS and 2,665,440 for a 6-product solution.

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230 For each simulation and each type of measurement, we calculated the
 231 *normalized mean square error* (NMSE) defined as

$$232 \quad \text{NMSE} = \frac{\sum_{i=1}^{N_o} (P_i - O_i)^2}{\sum_{i=1}^{N_o} O_i} \quad (9)$$

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233 where O_i represents the i th observed value (corresponding to a specific SOA
 234 concentration for yield measurements or temperature for TD, or time for isothermal
 235 dilution), P_i the corresponding model-predicted value, and N_o is the total number of
 236 observations from each type of measurement. For each simulation (denoted as s), the
 237 overall error was calculated by assuming equal weight to the set of yield, TD, and
 238 dilution measurements and summing the corresponding errors:

$$239 \quad E_s = \text{NMSE}_{Y,s} + \text{NMSE}_{\text{TD},s} + \text{NMSE}_{\text{Dil},s} \quad (10)$$

240 The parameter combinations for which the overall error E_s is less than 5% are
 241 identified. The best solution is then calculated by averaging these solutions using the
 242 inverse error E_s as a weighting factor. The solutions that are closer to the measurements
 243 have higher weight. [Therefore, for every combination of α_i , ΔH_{vap} , and α_m the algorithm
 244 calculates one overall NMSE following Eq. (10) and all data points for each solution
 245 get the same weighting factor.] More specifically the best estimate \bar{x} is given by:

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$$246 \quad \bar{x} = \frac{\sum_k^N x_k \frac{1}{E_k}}{\sum_k^N \frac{1}{E_k}} \quad (11)$$

247 where x_k is the estimated value of a property (mass yield of a volatility bin, effective
 248 vaporization enthalpy, or effective accommodation coefficient) and N is the number of
 249 combinations with error below the threshold value. The uncertainty range of the
 250 parameters is estimated by calculating the standard deviation (σ):

$$251 \quad \sigma = \sqrt{\frac{\sum_k^N \left[(x_k - \bar{x})^2 \cdot \frac{1}{E_k} \right]}{\sum_k^N \frac{1}{E_k}}} \quad (12)$$

252 following Karnezi et al. (2014).

253

254 4. Testing of the Algorithm

255 4.1. Generation of Data for Evaluation

256 In order to evaluate the algorithm, we generated data using the output of SOA
257 formation, thermodenuder and isothermal dilution models [described in Section 2](#) for
258 systems with known volatility distribution of the products, and properties. Then, these
259 data were “corrupted” with random errors to represent the “noise” observed in
260 laboratory measurements for yields, thermograms, and areograms. [As a result, there is](#)
261 [no set of model parameters that can reproduce all the “measurements”](#). The yields were
262 corrupted based on the variability of laboratory measurements of Pathak et al. (2007a),
263 by assuming a normal distribution and standard deviation (σ_Y) given by:

$$264 \sigma_Y = 0.1 Y_{\text{true}} + 0.02 \quad (13)$$

265 where Y_{true} are the correct yields.

266 For TD, the errors were calculated by assuming a normal distribution and the
267 standard deviation (σ_{TD}) suggested by Karnezi et al. (2014):

$$268 \sigma_{\text{TD}} = 0.51 MFR_{\text{TD,true}} - 0.5 (MFR_{\text{TD,true}})^2 \quad (14)$$

269 where $MFR_{\text{TD,true}}$ are the correct MFR values for each TD temperature.

270 For dilution, the errors were calculated by assuming a uniform distribution and
271 standard deviation (σ_{Dil}) suggested by Karnezi et al. (2014):

$$272 \sigma_{\text{Dil}} = 0.05 MFR_{\text{Dil,true}} + 0.03 \quad (15)$$

273 where $MFR_{\text{Dil,true}}$ are the correct MFR values for isothermal dilution.

274 Based on the above methodology, we generated “pseudo-measurements” of
275 yield, TD, and isothermal dilution for different SOA systems. The parameters used to
276 produce the pseudo-experimental data are summarized in Table S1. The “experimental”
277 conditions assumed for the TD and isothermal dilution measurements are shown in
278 Table S2.

279 In “Experiment” A, we test the performance of the algorithm against α -pinene
280 ozonolysis data and examine the effect of TD and isothermal dilution data. For
281 “Experiment” A, the “true” values were taken from the parameterization derived by
282 Pathak et al. (2007b) for the ozonolysis of α -pinene at low NO_x , dark and low RH
283 conditions. [So-Therefore](#), these results are good fits of the measurements analyzed in
284 that study. The parametrization was derived assuming a 4-volatility bin system with
285 saturation concentrations ranging from 1 to $10^3 \mu\text{g m}^{-3}$. The effective vaporization

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286 enthalpy estimated in that study was equal to 30 kJ mol⁻¹. Because the effective
287 accommodation coefficient was not part of the Pathak et al. (2007b) parametrization,
288 we assumed a value of 0.5 in this work. We used a small number of yield measurements
289 at atmospherically relevant SOA concentrations of 1, 5, 10, 20 and 40 µg m⁻³ (Fig. 1).
290 For this SOA system, the yield at 40 µg m⁻³ did not exceed 20%. The thermogram
291 includes ten MFR data points in the temperature range of 20 to 200 °C. For the highest
292 temperature, more than 70% of the SOA mass was evaporated. The areogram shows
293 that the correspondent SOA evaporated almost by 70 % in the first 0.5 h and more than
294 90% in less than 3 h.

295 For “Experiment” B, the “true” values were taken from the alternative
296 parametrization proposed by Pathak et al. (2007b) for the same oxidation system as
297 described before. This time, the authors used a 7-volatility bin system with saturation
298 concentrations ranging from 10⁻² to 10⁴ µg m⁻³ in their parametrization. The effective
299 vaporization enthalpy of the parametrization was 30 kJ mol⁻¹, while for the
300 accommodation coefficient we assumed again a value of 0.5. The yield, TD and
301 isothermal dilution “measurements” of Experiment B are generated in the same SOA
302 mass concentration, temperature, and dilution time range as in the previous pseudo-
303 experiment (Fig. 2).

304 For “Experiment” C, the “true” values were based on the parameterization of
305 the SOA formed during α-humulene ozonolysis by Sippial et al. (2022). The authors
306 measured high SOA yields for α-humulene in the main smog chamber (~70% at 60 µg
307 m⁻³), and their corresponding thermogram suggested that the SOA particles fully
308 evaporated at 150 °C, while the areogram showed modest (20%) evaporation in the
309 dilution chamber after 3 hours. A 4-volatility bin set with saturation concentrations
310 ranging from 10⁻² to 10 µg m⁻³ was used in that study to fit the measurements. The
311 stoichiometric coefficients of the three least volatile bins (10⁻², 10⁻¹ and 1 µg m⁻³) were
312 around 0.1 and for the most volatile (10 µg m⁻³) 0.25. The vaporization enthalpy was
313 115 kJ mol⁻¹ and the accommodation coefficient was 0.01 (Table S1). We assumed five
314 yield “measurements” in the SOA concentration range of 1 to 100 µg m⁻³ with yield
315 values as high as 65 % at 100 µg m⁻³ (Fig. 3). The corresponding thermogram consisted
316 of 10 data and the particles fully evaporated at TD temperatures higher than 150 °C.
317 The areogram consisted of 17 data points and only 20 % of the SOA evaporated in the
318 dilution chamber.

319

320 4.2. Parameter Estimation for “Experiments” A, B, and C

321 We explored the performance of the algorithm for different choices of the number of
322 volatility bins, the range of saturation concentrations, and the range of SOA mass
323 concentration range in the yield measurements. For each test, the “true” and the
324 estimated properties are summarized in Table 1.

325 We evaluated the performance of our parameter estimation algorithm
326 comparing its predictions both against the “measurements” and ~~also against~~ the “truth”
327 defined as the predictions of the original parameterization. In both comparisons, *mean*
328 *normalized error* (MNE) (Emery et al., 2017) was used as the evaluation metric because
329 it has a simpler physical meaning and provides a more comprehensive understanding
330 for the reader than NMSE.

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331 For the evaluation against the “measurements”, the MNE_M was defined as

$$332 MNE_M = \frac{100}{N_o} \sum_{i=1}^{N_o} \frac{|EST_i - O_i|}{O_i} \quad (16)$$

333 where EST_i is the estimated by the algorithm value and corresponds to a specific
334 measured point O_i .

335 For the evaluation against the “truth”, which includes conditions (e.g.,
336 temperatures or concentrations) for which there are no available measurements, the
337 MNE_T was defined as:

$$338 MNE_T = \frac{100}{N_d} \sum_{j=1}^{N_d} \frac{|EST_j - TR_j|}{TR_j} \quad (17)$$

339 where EST and TR are the estimated and the “true” values respectively. N_d is the total
340 number of data points included in calculations and depends on the selected
341 discretization of the corresponding dependent variable (e.g., SOA concentration, TD
342 temperature, and dilution time). We used a linear discretization for the SOA
343 concentrations (from 0.01 to 50 $\mu\text{g m}^{-3}$ with a step of 0.01) and the TD temperatures
344 (20 to 200 $^{\circ}\text{C}$ with a step of 5 $^{\circ}\text{C}$ but ~~including TD MFR values greater than zero~~
345 excluding zero MFR values to avoid the division by zero). For the dilution time, the
346 sampling timestep was not constant. We used a higher resolution for the first 0.5 hour
347 (step of 2 min), in which the evaporation is usually faster, and a lower resolution
348 afterwards then (step of 10 min) ~~up to 3 hours~~.

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349 Finally, we used the average relative standard deviation (*ARSD*) as a metric to
350 quantify the uncertainty of the estimates (range of good solutions) using the same
351 discretization as in the *MNE_T* metric. The *ARSD* is given by:

$$352 \quad ARSD = \frac{100}{N_d} \sum_{j=1}^{N_d} \frac{\sigma_j}{EST_j} \quad (18)$$

353 where σ_j is the standard deviation for data point j .

354

355 4.2.1 Parameter Estimation for “Experiment” A

356 In Test A1, we applied the algorithm in the same range of saturation concentrations and
357 with the same number of volatility bins as these used to produce the “experimental”
358 data. The upper bin ($10^3 \mu\text{g m}^{-3}$) exceeded the maximum SOA concentration ($40 \mu\text{g}$
359 m^{-3}) in the measurement range by one order of magnitude.

360 Figure 1 depicts the estimated and the range of the ensemble of best solutions
361 for the three types of “measurements” for Test A1. ~~There were 148 number of “good”~~
362 ~~solutions under the 5% threshold were 148 out of the 126,120 simulations (Table S3).~~
363 ~~and the density distribution of the solutions is are depicted shown in Figure S1.~~ The
364 performance of the model for the yields at 25 °C was quite encouraging with a small
365 tendency of overprediction for SOA higher than $10 \mu\text{g m}^{-3}$. The *MNE_M* of the model for
366 the SOA yield “measurements” (given by Eq. 16) was equal to 25% (Table 2). The
367 corresponding discrepancy between the true parameterization and the measurements
368 (due to the measurement error that we introduced) was 21.2% (Table 2). This indicates
369 that a significant part of the algorithm error can be explained by the uncertainty
370 introduced in the measurements.

371 Our algorithm can be used to calculate the SOA yield at different concentrations
372 and temperatures. The yields were calculated in the atmospherically relevant range of
373 $0\text{--}50 \mu\text{g m}^{-3}$ SOA concentration and at four temperatures (5, 15, 25, and 35 °C) using
374 the true parameter values and the estimated parameters of Test A1 (Fig. 1-a-d). At 25
375 °C (Fig. 1c), the estimated yield curve is in good agreement with the “true” yield curve
376 for SOA concentrations lower than $6 \mu\text{g m}^{-3}$ (error of 8% at $6 \mu\text{g m}^{-3}$), but the
377 discrepancies increase at higher concentrations (error of 23% at $50 \mu\text{g m}^{-3}$). The average
378 *MNE_T* error between the true parametrization and the estimated values (given by Eq.
379 17) was equal to 17.3% for yields at 25 °C (Table 3). The uncertainties, as expected,
380 are larger at lower temperatures. However, the *MNE_T* error (estimated yields compared

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381 to the true value) remains less than 25% (Table 3) even at 5 °C, quite far from the
382 measurement temperature. ~~*MNE_T* and *MNE_M* were used to quantify the performance of
383 the algorithm. Both *MNE_T* and *MNE_M* were quite close to the introduced experimental
384 error. Their difference can be explained by both the “noise” introduced to the
385 “measurements” that affects *MNE_M* and the higher number of points used to calculate
386 *MNE_T*.~~

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387 ~~At this point, we should point out that the SOA model used in this work~~
388 ~~assumes that the stoichiometric coefficients (α_i) are temperature independent.~~
389 ~~Therefore, processes, such as formation of highly oxygenated organic molecules~~
390 ~~(HOMs) formation and oligomerization which are expected to be highly temperature-~~
391 ~~dependent temperature dependent (Quéléver et al., 2019; Gao et al., 2022), are not~~
392 ~~described by our algorithm.~~

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393 The algorithm provides a range of “good” estimates in addition to the best
394 estimate. The range can be defined by the lower and upper SOA yield limits of the
395 ensemble of the good solutions at each point. At 25 °C, the yield range increased, as
396 expected, at higher concentrations (yield range of 0.05 at 1 $\mu\text{g m}^{-3}$ to 0.17 at 50 μg
397 m^{-3}). The average relative standard deviation (*ARSD* of the estimated yields defined by
398 Eq. 18) was equal to 26% (Table 4) for the 25 °C case. For the rest of the temperatures,
399 the *ARSD* increased for the lower temperatures, ranging from 24% at 35 °C to 35% at
400 5 °C (Table 4) and including in all cases the true solution.

401 For the TD (Fig. 1e), the model reproduced well the correspondent thermogram
402 with low errors compared to the “measurements” with an error *MNE_M* of 7% (Table 2).
403 The error *MNE_T* compared to the “true” values was 5.5% (Table 3). The error of the
404 TD “measurements” compared to the true values was equal to 7.6% (Table 2).
405 Therefore, the error of the proposed algorithm is quite similar to the experimental error.
406 The error introduced into the “measurements” was transferred, as expected, to the error
407 metrics of the algorithm.

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408 For the isothermal dilution (Fig. 1f), the algorithm did reasonably well for the
409 first 30 minutes and then the evaporation was slightly underpredicted leading to an error
410 *MNE_M* of 16.7% (Table 2). This *MNE_M* value was roughly two times higher than the
411 corresponding error between the dilution measurements and the true parametrization
412 (Table 2). The error between the estimated and the “true” values *MNE_T* was 19%. The
413 *ARSD* of 24% (Table 4) was sufficient to include the true solution.

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414 The estimated volatility distribution of the products and the effective
415 vaporization enthalpy and accommodation coefficient using the three types of
416 measurements can be seen in Figure 4 and Table 1. The estimated volatility distribution
417 of the products was in a good agreement with the “true” values (α_i absolute difference
418 of 0.01 at $1 \mu\text{g m}^{-3}$, 0.03 at $10 \mu\text{g m}^{-3}$, 0.07 at $10^2 \mu\text{g m}^{-3}$, and 0.04 at $10^3 \mu\text{g m}^{-3}$) and
419 the estimated uncertainties contained the correct values. There is a large uncertainty
420 range for the two higher volatility bins (standard deviation higher than 0.13) indicating
421 that yield values at higher a wider range of SOA concentrations would be needed to
422 better constrain these volatility bins. The relative error of the estimated ΔH_{vap} is 10%.
423 The estimated accommodation coefficient was 0.17 compared to a true value of 0.5.
424 The estimated uncertainty for the effective accommodation was almost one order of
425 magnitude (from 0.06 to 0.51) indicating the difficulty of constraining this parameter
426 when it is close to unity and thus the resistances to mass transfer are small.

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427

428 4.2.2 Parameter Estimation for “Experiment” B

429 In this section, we used-analyze the pseudo-experimental data of Experiment B, which
430 were obtained from the parametrization of the same smog chamber results used in
431 Experiment A ~~experiment as described before, but for an SOA system~~ with more
432 components and a much wider range of volatilities including LVOCs, SVOCs and
433 IVOCs (10^{-2} – $10^4 \mu\text{g m}^{-3}$). In Test B1, the algorithm was applied using a 4-bin VBS with
434 saturation concentrations ranging from 1 to $10^3 \mu\text{g m}^{-3}$. This range is narrower than
435 the actual range used to obtain the pseudo-measurements of Experiment B. In this test,
436 we attempted to model the behavior of the system with a narrower volatility range than
437 the real one. The upper limit of the saturation concentration range that we tested did not
438 exceed the $10^3 \mu\text{g m}^{-3}$ because Experiment B took place in moderate SOA
439 concentration levels (up to $40 \mu\text{g m}^{-3}$), which means that it is practically impossible to
440 constrain the $10^4 \mu\text{g m}^{-3}$ or more-higher volatile bins.

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Reviewer #3, Comment #4

Commented [OП42]: Reviewer #2, Comments #6 and #7

441 Figure 2 shows the results of the fitting for the three types of “measurements”
442 in this experiment. There were 82 number of “good” solutions under the 5% threshold
443 were 82-out of 126,120 simulations (Table S3) and the density of the solutions are
444 shown in Figure S2. At 25 °C, the model performance for the yields is encouraging
445 ($MNE_M=20.6\%$). This is again pretty close to the measurement error (20.5%). By
446 comparing the estimated and the “true” yield curves at 25 °C, the error MNE_T is now
447 14%. The error increases to 31% at 5 °C, far from the available measurements. This is

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#20

448 reflected also in the increase of the uncertainty of our estimates with the *ARSD*
449 increasing from 17% at 35 °C to 37% at 5 °C (Table 4). Once more the uncertainty
450 range estimated by the algorithm includes the true values.

451 Both “measured” and “true” thermogram were well captured by the best
452 estimate (MNE_M of 6% and MNE_T of 4%) with an uncertainty *ARSD* of 20.5%. The
453 evaporation in the dilution chamber was a little underestimated for the first 2 h, but then
454 it was slightly overpredicted. The MNE_T for the areogram was 13.3% and the true values
455 were included within the range of the estimates (*ARSD* of 18%).

456 Figure 5 shows the results of Test B1 for the volatility distribution of the
457 products. The “true” stoichiometric coefficient for the $1 \mu\text{g m}^{-3}$ bin was overestimated
458 by 0.01 by the algorithm. This overestimation actually corresponds to the total material
459 of the 10^{-2} and $10^{-1} \mu\text{g m}^{-3}$ bins of the “true” system. This indicates that the algorithm
460 places the material of the two lowest bins that are not part of the solution to the bin with
461 the lower volatility. For the $10 \mu\text{g m}^{-3}$ and $10^2 \mu\text{g m}^{-3}$ bins, the relative errors between
462 the estimated and “true” were 58% and 277% respectively (Table S43), while for the
463 $10^3 \mu\text{g m}^{-3}$ bin, the relative error was 10 %. The ΔH_{vap} was predicted accurately (error
464 of only 4%), while α_m was underpredicted (0.1 instead of 0.5). The model compensates
465 for the missing volatility bins by increasing the material in the $10^2 \mu\text{g m}^{-3}$ bin and by
466 decreasing the accommodation coefficient.

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467 The results of Test B1 suggest that the mismatch between the actual SOA
468 volatility distribution and the range used for the fits can introduce significant errors in
469 the retrieved distribution for individual volatility bins. However, despite these
470 problems, the yields predicted by the derived parameterizations have a much lower
471 error than the volatility distribution. This is a valuable insight for the strengths and
472 weaknesses of this and other similar SOA parameter estimation algorithms.

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473

474 4.2.3 Parameter Estimation for “Experiment” C

475 In Test C1, we obtained the best fits for the pseudo-measurements of Experiment C by
476 applying the algorithm in the same range of saturation concentrations and with the same
477 number of volatility bins (4 volatility bins in the 10^{-2} – $10^1 \mu\text{g m}^{-3}$ saturation
478 concentration range) as the true volatility distribution.

479 Figure 3 shows the results of the fitting for the three types of “measurements”.
480 There were 3,479 number of “good” solutions under the 5% threshold were 3,479 out
481 of the 126,120 simulations (Table S3). ~~T~~and the density distribution of the solutions

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482 ~~isare~~ shown in Figure S3. The best estimate for the SOA yields at 25 °C was in a good
483 agreement with the “measurements” ($MNE_M=6.3\%$) and the “true” values
484 ($MNE_T=9.6\%$). For the rest of the temperatures, there was a decreasing trend of the
485 error as the temperature decreased varying from 15.5% at 35 °C to 6.2% at 5 °C. A
486 similar decreasing trend was observed for the uncertainty $ARSD$ of the estimates which
487 varied from 23% at 35 °C to 15% at 5 °C. This behavior is the opposite from what we
488 observed in the previous tests, in which both errors and uncertainties increased at lower
489 temperatures. However, the changes in both the error and the uncertainty are small
490 (change of around 7% between the upper and lower temperature for both metrics),
491 indicating that this system is less temperature-sensitive in this temperature range than
492 the previous ones.

493 The performance of the algorithm was satisfactory compared to the TD
494 “measurements” ($MNE_M=12.9\%$). The corresponding error of the algorithm for the true
495 values (MNE_T) was 4.4% for temperatures up to 110 °C and equal to 10.6% for the
496 lower values at higher temperatures. According to Figure 3, the evaporation due to
497 dilution was initially overestimated for the first 30 min, but then underestimated
498 (highest MFR discrepancy of 0.05) and there is a high uncertainty range of the
499 corresponding estimates (MFR range of 0.46 at 3 h). However, the low dilution values
500 resulted in low relative errors (MNE_M of 3.5% and MNE_T of 2.7%).

501 Figure 6 shows that the highest relative errors were calculated for the 10^{-1} and
502 $10^0 \mu\text{g m}^{-3}$ bins (23% and 33% respectively), and smaller relative errors for the other
503 two bins (less than 13%). The uncertainties were almost of the same magnitude for all
504 bins with standard deviations ranging from 0.09 to 0.13. The performance of the model
505 was good for the ΔH_{vap} (relative error of 7%), but with high uncertainty for α_m .

506

507 4.3. Effect of the Volatility Range

508 In in this section, we explore the performance of the algorithm for different choices of
509 the number of volatility bins and the range of saturation concentrations. The analysis
510 of the results of Test B1 has already quantified the effects of using a narrower volatility
511 distribution in the parameter estimation algorithm than the one of the investigated SOA
512 system. Additional sensitivity tests are performed here for all cases.

513 In Test A2, we used 3 volatility bins covering the $1-10^2 \mu\text{g m}^{-3}$ saturation
514 concentration range instead of the 4 bins used in Test A1 ~~and covering the $10^{-2} \mu\text{g m}^{-3}$~~
515 ~~material~~. The narrower assumed volatility range had a very small effect on the estimated

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516 yields at all temperatures (Table 3 and Fig. S44) compared to Test A1. The change in
517 MNE_T ranged from 3% at 5 °C to 0.3% at 35 °C. Minor changes were detected in the
518 predicted thermogram (change of 0.8%) and areogram (change of 0.5%) as well. The
519 uncertainty of the yield estimates increased by less than 2.5% at all temperatures. The
520 estimated volatility distribution of the SOA products of Test A2 changed by less than
521 5% in the two lower bins. The material in the $10^2 \mu\text{g m}^{-3}$ increased by 15% to account
522 for the SOA of higher volatility that could not be included otherwise in the estimated
523 distribution. The estimated ΔH_{vap} was in this case 32 kJ mol^{-1} (2.7% decrease) and the
524 α_m decreased by 12% with respect to Test A1.

525 In Test A3, we shifted the assumed 4-bin volatility distribution by one order of
526 magnitude to lower values (from $1\text{--}1000 \mu\text{g m}^{-3}$ in Test A1 to $0.1\text{--}100 \mu\text{g m}^{-3}$ in Test
527 A3), covering in that way the $0.1\text{--}100 \mu\text{g m}^{-3}$ saturation concentration range. In this
528 case, the algorithm distributed exactly the same material to the 1, 10 and $100 \mu\text{g m}^{-3}$
529 volatility bins as in Test A2, and it predicted correctly zero SOA in the $0.1 \mu\text{g m}^{-3}$ bin
530 (Table 1). The ΔH_{vap} and α_m estimated values were also unchanged with respect to Test
531 A2. This in turn, led to the same estimated yields at different temperatures (no change
532 in the error between the two tests).

533 In Test C2, we applied the algorithm against the Experiment C “measurements”
534 using a 4-volatility bin system in the 1 to $10^3 \mu\text{g m}^{-3}$ range, that is two orders of
535 magnitude higher than the actual range of the “true” values. Figure 7 shows the results
536 of the fitting for the three types of “measurements”. Despite the significant mismatch
537 of the volatility distributions the MNE_M increased by only 2.3% for the estimated SOA
538 yields. The error for the TD measurements increased by 20% while it actually decreased
539 a little (1.2%) for the dilution data. The errors compared to the true values increased by
540 less than 3% for the temperature range 15–35 °C while it increased by 12% at 5 °C.
541 These results suggest that the estimated yields are quite robust in this case to the
542 assumed volatility range. The major effect of the mismatch in volatility ranges was
543 evident in the predicted thermogram with overestimation of the MFR for the 60–120
544 °C temperature range and underprediction in higher temperatures. The increase in
545 MNE_T for the TD MFR was 17.2% (Table 3). The change in the predicted areogram
546 was marginal and led to a small increase of MNE_T (error increase by 0.7%) (Table 3).
547 The algorithm underestimated again the α_m (0.004 instead of 0.01) but also recognized
548 the high uncertainty of the corresponding estimate. The algorithm distributed
549 significant material to the $1 \mu\text{g m}^{-3}$ bin (3.6 times higher than the actual), in an effort to

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550 account for the absence of the 10^{-2} and 10^{-1} $\mu\text{g m}^{-3}$ bins. The ΔH_{vap} was underestimated
551 with an error of 21%.

552 The results of the above tests indicate that a mismatch between the true and
553 assumed volatility ranges of the SOA increases in general the estimation error but the
554 increase is small to modest. This is reassuring for the robustness of the proposed
555 algorithm.

556

557 **4.4. Effect of Measurements at High SOA Levels**

558 During the last decade there has been a significant shift of the performed SOA smog
559 chamber towards lower SOA concentrations. This is needed to increase the accuracy at
560 the ambient concentration levels. The high SOA concentration experiments that once
561 represented the majority of the performed experiments are becoming increasingly rare.
562 In this paragraph we examine the value of these high concentration experiments for the
563 estimation of SOA yields at ambient conditions.

564 To examine the effect of “measurements” at SOA levels much higher than the
565 atmospheric ones, we included an extra yield measurement at $200 \mu\text{g m}^{-3}$ in the yield
566 data of Experiments A and B. In Test A4 and B2, we applied the algorithm once again
567 against the three types of “measurements” by using a 4-volatility bin system with
568 saturation concentrations ranging from 1 to $10^3 \mu\text{g m}^{-3}$.

569 In Test A4, the additional experiment at high SOA concentration led to an MNE_T
570 of 15.7% for the yields at 25°C (Table 3 and Fig. S52), which is by 1.6% lower than
571 that without this experiment in Test A1. The improvement was more significant at
572 lower temperatures e.g., the MNE_T at 5°C was reduced from 24.4% to 20.4%. The
573 reduction in the $ARSD$ for the SOA yields ranged from 3.8% at 5°C to 0.9% at 35°C
574 (Table 4). Figure 8 depicts the results of the model for the yields and the volatility
575 distribution of the products for Test A4. The accuracy of the predicted volatility
576 distribution increased especially for the higher volatility material. For example, the
577 error for the $10^2 \mu\text{g m}^{-3}$ bin was reduced from 41% in Test A1 to 6% in this case (Table
578 S3). Minor changes in the errors were detected for the ΔH_{vap} and α_m between the two
579 tests (3% increase and 6% decrease respectively).

580 Similar to Test A4, in Test B2 we added a yield measurement at $200 \mu\text{g m}^{-3}$ in
581 the Experiment B set of “measurements”. Figure 9 depicts the results of the model for
582 the SOA yields at 25°C and the estimated volatility distribution of the products. The
583 use of the additional data point led to a reduction of the NME_T from 13.9% in Test B1

584 to 9% in Test B2 at 25 °C (Table 3). Similar reductions in the NME_T were observed for
585 the other temperatures, with the highest one observed at 5 °C (lower error by 7%)
586 (Figure 10). The reduction in the $ARSD$ for the estimated yields ranged from 3.3% at 5
587 °C to 1.2% at 35 °C (Table 4). Minor changes were observed for the estimated
588 thermogram (Fig. S63) (change in the NME_T of 1.5%) and the uncertainty of the
589 estimates (change in the $ARSD$ of 2.5%). The error in the estimated areogram was also
590 small but in this case the error increased by 5%. The additional data point helped
591 decrease the errors for the estimated mass of the more volatile SOA products (Fig. 9)
592 and especially for the $10^2 \mu\text{g m}^{-3}$ bin. The ΔH_{vap} and α_m estimated values were only
593 slightly affected by the additional measurement.

594 ~~By comparing the results Tests B1 and B2 with the Case True-A, one would~~
595 ~~expect that the retrieved volatility distribution of the products will be quite similar.~~
596 ~~However, the differences present are due to a large extent to the different random~~
597 ~~experimental errors introduced into the two sets of “measurements” for Experiments~~
598 ~~A and B were different. A second reason for the differences is that In addition, although~~
599 ~~the parametrizations of the two “Experiments” by Pathak et al. (2007b) even if they~~
600 ~~were derived from the same realistic smog chamber experiments have some differences.~~
601 ~~As a result, the correspond “true” yields, thermogram, and areogram in Cases A and B~~
602 ~~are not exactly the same turned to be relatively different (see Figs. 1 and 2).~~

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603 These results suggest that an additional yield measurement at high SOA can
604 lead to a substantial reduction of the error for the estimated yields at low temperatures
605 (Fig. 10) and also a better estimation of the SOA products with higher volatility (10^2
606 and $10^3 \mu\text{g m}^{-3}$). These products may contribute little to the SOA concentration at 25
607 °C, but their reactions (aging) could lead to significant additional SOA in later stages.

609 4.5. Significance of Each Type of Measurements for the Parametrization

610 ~~To quantify the effect of each type of measurement for the parameter estimation into~~
611 ~~parametrization and their subsequent effect on the estimated SOA yields, we repeated~~
612 ~~the tests A1, B1, and C1 withholding one set of measurements. More specifically, we~~
613 ~~used the algorithm providing it the following combination of measurements: without~~
614 ~~providing as input the type of measurements concerned. Therefore, we repeated all the~~
615 ~~previous tests for the following three combinations of measurements: TD and~~

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Reviewer #3, Comment #2

616 isothermal dilution, SOA yields and isothermal dilution, and finally SOA yields and
617 TD.

618 ~~We started with the~~The use of only the ~~combination of~~ TD and isothermal
619 dilution data corresponds for all practical purposes to the previous algorithm of ,which
620 is practically the same as that of Karnezi et al. (2014) which has been the starting point
621 of this work. In Test A1, the absence of the yield measurements led to a significant
622 deterioration of the ability of the algorithm to estimate SOA yields at all temperatures
623 and concentrations (Fig. S7). The SOA yield error of the algorithm in the 5–35 °C
624 temperature range increased from 14-24% (when all measurements are provided) to
625 approximately 100% (Table S5). The corresponding uncertainty range also increased
626 by a factor of 4-6 (Table S6). Similar results were obtained in the other tests.

627 Figure S8 shows the volatility distribution of the products, ΔH_{vap} and α_m in Test
628 A1. High discrepancies and uncertainties were observed for the estimated
629 stoichiometric coefficients (α_i), with an increase in the relative error by a factor of ~~about~~
630 3 ~~and 4~~ for the 140^0 and $10^4 \mu\text{g m}^{-3}$ bins ~~respectively~~ (Table S7) ~~when compared to~~
631 the ~~with the case when~~of all three types of measurements ~~are used~~.

632 Figures S9 and S10 shows the results of the algorithm for Test A1 when only
633 the SOA yields and isothermal dilution measurements are provided as inputs to the
634 algorithm. In this case ~~Test A1~~, the algorithm ~~had difficulties at~~cannot constraining well
635 the ΔH_{vap} (relative error of almost 270% ~~with~~ respect to the true value) as a result of
636 ~~not providing~~the missing TD measurements. This led to significant increase in the
637 MNE_T for the estimated yields when moving far from the ~~measurement~~temperature of
638 the measurements (MNE of 65% at 15 °C and 122% at 5 °C).

639 Figures S11 and S12 shows the results of the algorithm for Test A1 when only
640 yield and TD measurements are provided as inputs. In this case, there was a significant
641 reduction in the error for the ΔH_{vap} respect to the previous case (from 270% to 50%),
642 but it was still much higher than the 10% error when all three types of measurements
643 were used. This led to better agreement between the true and estimated yields at lower
644 temperatures (MNE_T of 23% and $ARSD$ of 44%).

645 When comparing TD/Dilution, Yields/Dilution, and Yields/TD results, the
646 Yield/TD combination gave the best results out of the three pairs. The isothermal
647 dilution measurements are the least valuable of the three because only a relatively small
648 fraction of the SOA evaporates and therefore the information provided is relatively
649 limited and focuses on the more volatile components of the particles. Also, TD

650 measurements are important to constrain well ΔH_{vap} and allow the more accurate
651 extrapolation of the results to other temperatures. also provides information for the
652 volatility distribution of the OA. However, our results suggest that the combination of
653 the three types of measurements does need to a major improvement over either the
654 TD/Dilution approach or the Yield/TD approach.

655 4.6. Sensitivity Tests to a Different Choice of the Upper Limit of the Sum of 656 Product Yields

657 The maximum sum of the VBS product yields is one of the parameters that the user of
658 the algorithm chooses. In the analysis so far a value of 1 had been selected to reduce
659 the computational cost of the algorithm. Selected tests were repeated using a maximum
660 sum of 2 to quantify the effects of this choice on the estimated parameters and more
661 importantly on the SOA yields predicted by the parameterization. To test the sensitivity
662 of our results we have repeated certain tests using 2.0 (instead of 1.0 as described in
663 Section 3) as an upper limit for the sum of the yields. For a 4-product system there are
664 9,191 product yield combinations and. c Considering the discretization of ΔH_{vap} and α_m ,
665 this leads to a total of 367,120 simulations (Table S3).

666 The increase in the $\Sigma(\alpha_i)$ upper limit of the sum of the yields from 1.0 to 2.0 led
667 to an increase in the “good”~~accepted~~ solutions in Tests A1, A4, B1, B2, and C2. The
668 additional~~new~~ solutions had different yields mostly ~~inaffected~~ the $10^3 \mu\text{g m}^{-3}$ bin. This
669 led to ~~leading to~~ an increase of the mass yield of this bin by 37% in Test A1, 47% in
670 Test B1, and 29% in Test C2 (Table S8). ~~However, the~~ uncertainties were even higher
671 showing once again the difficulty to constrain the IVOCs range where there are no SOA
672 measurements at very high SOA concentrations. The new parametrizations had a minor
673 effect on the estimated yields at different temperatures with maximum change in the
674 MNE_T found at 5 °C (change of 1.8% in Test A1 and 1.2% in Test B2) and much smaller
675 otherwise (Table S9). Therefore, the use of the higher upper limit has an effect on the
676 estimate of the $10^3 \mu\text{g m}^{-3}$ bin which is quite uncertain in all cases, but has a minor
677 effect on the predicted SOA yields at ambient conditions.

678 **5. Conclusions**

679
680
681 An algorithm was developed to estimate VBS parameters for SOA formation
682 combining yield measurements from atmospheric simulation chambers with
683 thermodenuder and isothermal dilution measurements chambers. An additional feature

684 of this approach is that the algorithm estimates the uncertainty of the predicted SOA
685 yields for different SOA concentrations and temperatures, assisting in this way in the
686 design of future experiments.

687 The algorithm was evaluated against pseudo-experimental data for SOA
688 systems with known properties. The algorithm performed quite well at reproducing the
689 SOA yields at atmospherically relevant concentrations and temperatures with errors
690 less than 20% for practically all cases. This was the case even at temperatures as low
691 as 5 °C and also when the volatility range used for the parameter estimation was
692 narrower than that of the simulated SOA system. One should note that this error was
693 quite similar in most cases to the experimental error assumed in the construction of the
694 “measurement” datasets.

695 The errors in the retrieved SOA volatility distributions were in general higher
696 than those of the SOA yields. This is due to a large extent to the existence of multiple
697 solutions that can result in similar yields. The accuracy of the estimated mass fractions
698 of the more volatile SOA components improved when an additional yield measurement
699 at high SOA (e.g., at 200 $\mu\text{g m}^{-3}$). The addition of this measurement also improved the
700 estimated yields at low temperatures. This therefore suggests that data points at high
701 SOA concentrations should also be obtained experimentally, together with the data
702 points at atmospherically relevant atmospheric SOA levels.

703 In all cases the algorithm results in good estimates of the effective evaporation
704 enthalpy. On the other hand, the estimates of the effective accommodation coefficient
705 are usually quite uncertain. The effect of the mass accommodation coefficient on the
706 measured quantities is relatively small compared to the other parameters (volatility
707 distribution, effective evaporation enthalpy) making it difficult to constrain. This
708 conclusion is consistent with the results of Karnezi et al. (2021). The addition of the
709 SOA yields to the inputs does not make much of a difference, because these are not
710 affected by the accommodation coefficient.

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711 The approach combining yield, TD (thermograms), and isothermal dilution
712 (areograms) measurements is recommended for future parametrizations of SOA
713 formation.

714 ~~————~~ The use of the results of these experiments that have been designed for the
715 measurement of SOA yields to other applications (e.g., new particle formation) should
716 be performed ~~done~~ with caution. Our results indicate that the derived parameterizations
717 are able to predict the SOA yields under different atmospheric conditions with errors

718 of around 20% or less, but the derived volatility distributions can be quite uncertain.
719 These uncertainties are higher for the tails of the distribution (the low volatility and the
720 intermediate volatility organic compounds). Different experiments should be probably
721 performed for the derivation of the VBS distribution if for example one is interested in
722 new particle formation and therefore the low volatility organics in this case focusing on
723 low SOA concentration levels and the least volatile SOA components.

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725

726 **6. Code and data availability**

727 The code and simulation results are available upon request
728 (spyros@chemeng.upatras.gr).

729

730 **7. Supplementary information**

731

732 **8. Author contribution**

733 PU, and SNP designed the research. PU developed the final model code. AD developed
734 a first version of the code and performed preliminary feasibility tests. DS and SNP
735 designed the experiments for the α -humulene ozonolysis and DS carried them out. PU
736 performed the simulations, the formal analysis, and wrote the original draft. Paper
737 review and editing was performed by SNP.

738

739 **9. Competing interests**

740 The authors declare that they have no conflict of interest.

741

742 **10. Financial support**

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748

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Table 1: True and estimated volatility distribution of the products for 8 different tests. The uncertainty of the estimates ($\pm\sigma$) is also included.

TEST	ΔH_{vap} (kJ mol ⁻¹)	$\log(\alpha_m)$	Stoichiometric Coefficients (α_i) at C_i^* ($\mu\text{g m}^{-3}$)						
			10 ⁻²	10 ⁻¹	10 ⁰	10 ¹	10 ²	10 ³	10 ⁴
True A	30	-0.30	-	-	0.070	0.038	0.179	0.300	-
A1	32.9±9.6	-0.77±0.47	-	-	0.059 ±0.022	0.071 ±0.052	0.252 ±0.130	0.255 ±0.191	-
A2	32.0±9.8	-0.72±0.45	-	-	0.062 ±0.021	0.067 ±0.053	0.286 ±0.132	-	-
A3	32.0±9.8	-0.72±0.45	-	0.000 ±0.000	0.062 ±0.021	0.067 ±0.053	0.286 ±0.132	-	-
A4	34.0±9.2	-0.70±0.46	-	-	0.062 ±0.021	0.082 ±0.050	0.191 ±0.084	0.259 ±0.198	-
True B	30	-0.30	0.001	0.012	0.037	0.088	0.099	0.250	0.800
B1	33.8±9.2	-0.95±0.21	-	-	0.052 ±0.011	0.037 ±0.039	0.374 ±0.122	0.226 ±0.176	-
B2	36.5±7.6	-0.93±0.26	-	-	0.050 ±0.000	0.051 ±0.039	0.292 ±0.103	0.234 ±0.196	-
True C	115	-2.02	0.118	0.094	0.116	0.247	-	-	-
C1	104.6±24.0	-1.74±0.97	0.126 ±0.086	0.116 ±0.090	0.154 ±0.116	0.216 ±0.126	-	-	-
C2	91.2±19.2	-2.36±0.83	-	-	0.415 ±0.099	0.143 ±0.117	0.137 ±0.113	0.115 ±0.095	-

Table 2: The *mean normalized error* (MNE) between the “measurements” and “true” values, and between the “measurements” and the model estimated values for the different tests.

Test	“Measurements” vs “True” ^a			“Measurements” vs Estimated <i>MNE_M</i> ^b		
	Yield	TD	Dilution	Yield	TD	Dilution
A1	21.2	7.6	9.4	25.0	7.0	16.69
A2	21.2	7.6	9.4	25.1	7.1	16.71
A3	21.2	7.6	9.4	25.1	7.1	16.71
A4	17.8	7.6	9.4	22.4	7.1	19.7
B1	20.5	6.9	5.6	20.6	6.0	14.7
B2	18.1	6.9	5.6	19.1	7.8	18.1
C1	8.4	11.6	1.8	6.3	12.9	3.5
C2	8.4	11.6	1.8	8.6	32.4	2.3

^a Calculated by $\frac{100}{N_o} \sum_{i=1}^{N_o} \frac{|O_i - TR_i|}{O_i}$.

^b Calculated by Eq. (16).

Table 3: The *mean normalized error* between the “true” and estimated values (MNE_T) for the different tests.

Test	Yield				TD	Dilution
	5 °C	15 °C	25 °C	35 °C		
A1	24.4	21.0	17.3	13.8	5.5	19.0
A2	21.4	19.5	16.9	14.1	4.7	18.5
A3	21.4	19.5	16.9	14.1	4.7	18.5
A4	20.4	18.3	15.7	12.9	6.0	22.5
B1	31.3	21.7	13.9	8.7	4.0	13.3
B2	24.4	15.6	9.0	6.4	2.5	18.4
C1	6.2	6.8	9.6	15.5	4.4 (110 °C)* 10.6 (140 °C)*	2.7
C2	18.1	9.6	7.2	11.5	9.0 (110 °C)* 27.8 (140 °C)*	3.4

* The errors for TD were calculated up to the denoted temperature in the parenthesis.

Table 4: The *average relative standard deviation* ($ARSD$) for the different tests.

Test	Yield				TD	Dilution
	5 °C	15 °C	25 °C	35 °C		
A1	34.6	29.7	26.0	24.2	21.0	23.6
A2	32.1	28.5	25.2	23.3	21.1	23.2
A3	32.1	28.5	25.2	23.3	21.1	23.2
A4	30.8	27.2	24.5	23.3	21.0	22.1
B1	37.1	27.2	20.0	16.9	20.5	18.0
B2	33.8	25.0	18.5	15.7	18.0	15.9
C1	15.0	14.9	16.2	22.9	20.7*	16.5
C2	20.1	15.6	14.1	21.3	20.6*	9.8

* The $ARSD$ for the TD MFR values were calculated in the 20–120 °C temperature range.

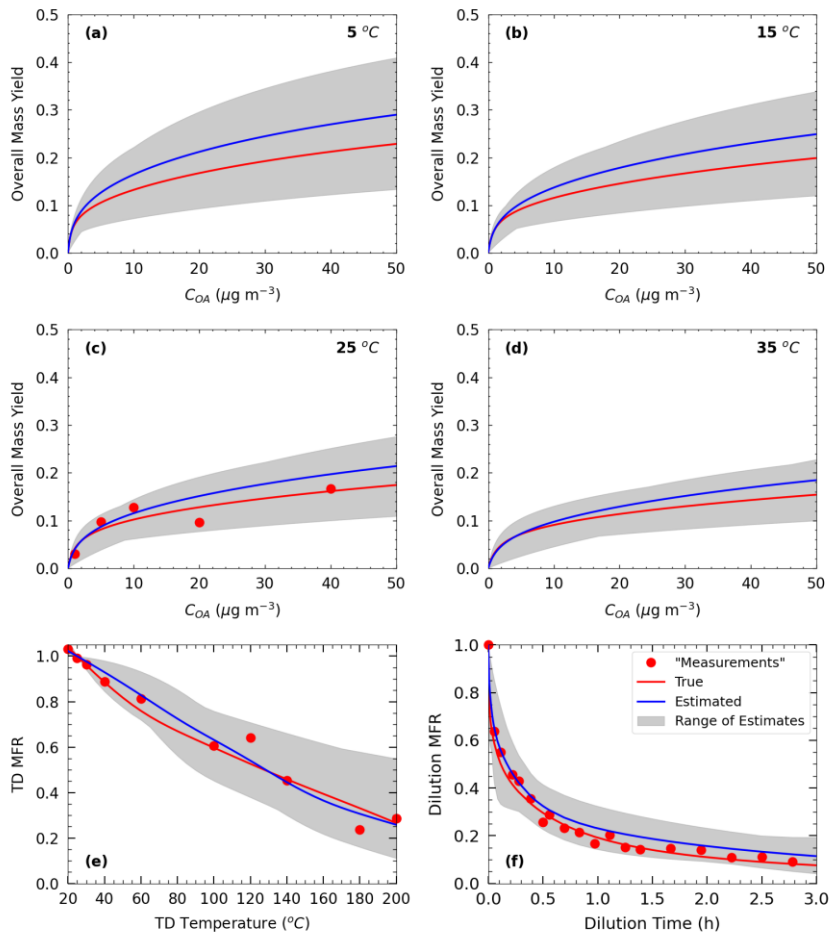


Figure 1: “Measurements” of Test A1 in Experiment A (red dots), true (red line) and estimated (blue line) yields at four temperatures (at (a) 5 °C, (b) 15 °C, (c) 25 °C, and (d) 35 °C), (e) TD (thermogram), and (f) dilution (areogram) values. The grey area shows the range of good solutions obtained by our algorithm.

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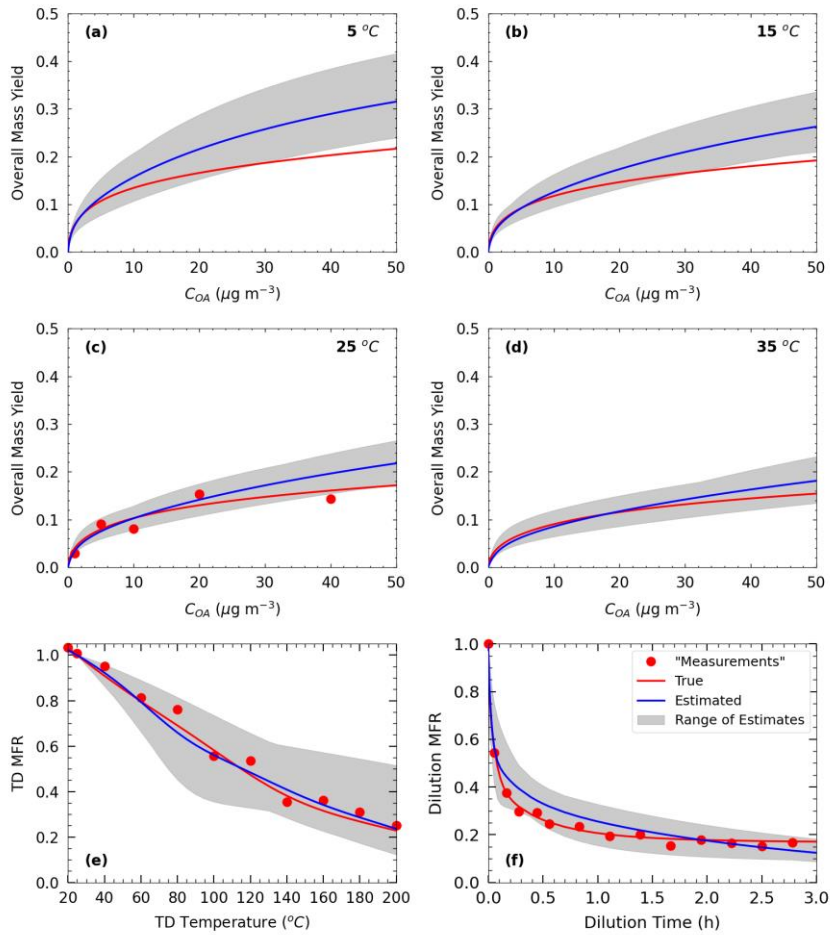


Figure 2: “Measurements” of Test B1 in Experiment B (red dots), true (red line) and estimated (blue line) yields at four temperatures (at (a) 5 °C, (b) 15 °C, (c) 25 °C, and (d) 35 °C), (e) TD (thermogram), and (f) dilution (areogram) values. The grey area shows the range of good solutions.

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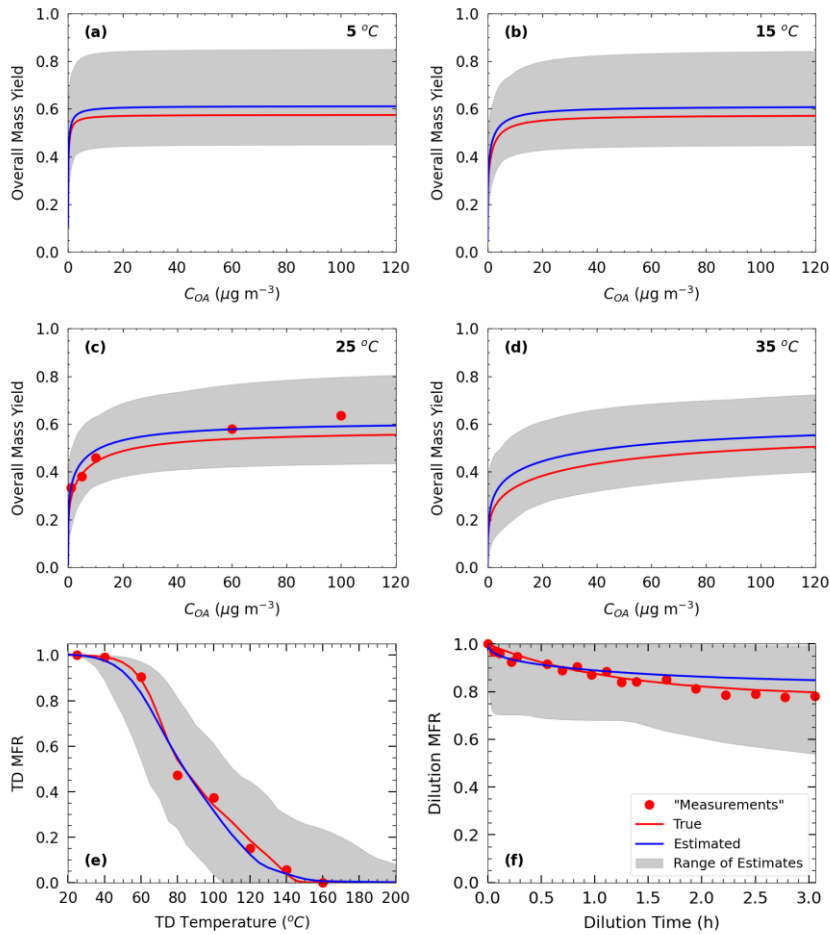


Figure 3: “Measurements” of Test C1 in Experiment C (red dots), true (red line) and estimated (blue line) yields at four temperatures (at (a) 5 $^{\circ}\text{C}$, (b) 15 $^{\circ}\text{C}$, (c) 25 $^{\circ}\text{C}$, and (d) 35 $^{\circ}\text{C}$), (e) TD (thermogram), and (f) dilution (areogram) values. The grey area shows the range of good solutions.

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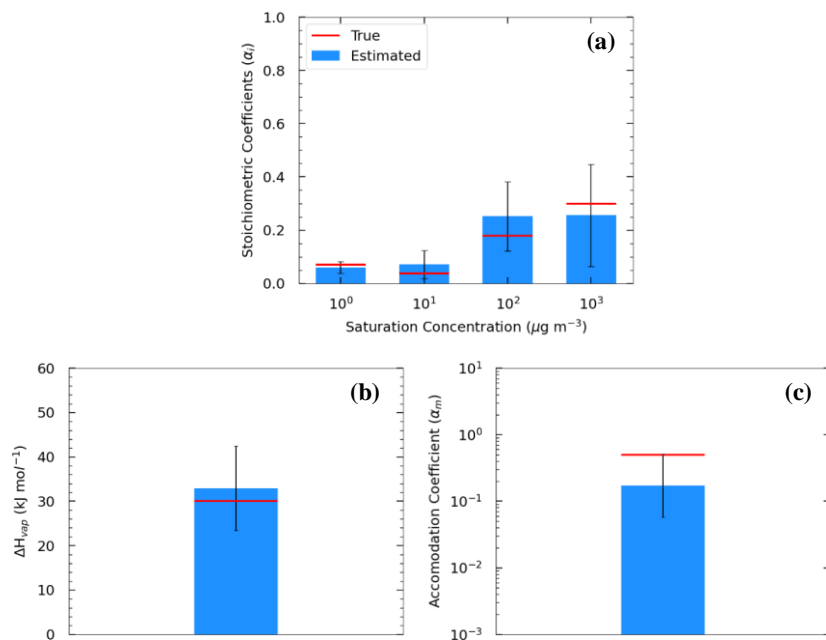


Figure 4. Estimated (bars) and true (red lines) parameter values of Experiment A in Test A1 combining yield, TD, and isothermal dilution measurements for: (a) the volatility distribution of the products, (b) ΔH_{vap} , and (c) α_m . The error bars represent the uncertainty of the estimated values.

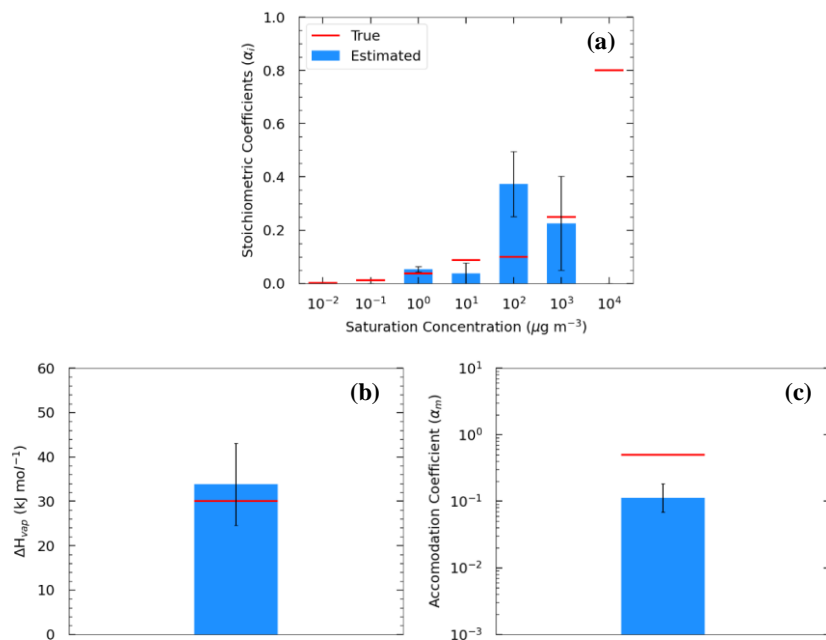


Figure 5: Estimated (bars) and true (red lines) parameter values of Experiment B in Test B1 combining yield, TD, and isothermal dilution measurements for: (a) the volatility distribution of the products, (b) ΔH_{vap} , and (c) α_m . The error bars represent the uncertainty of the estimated values.

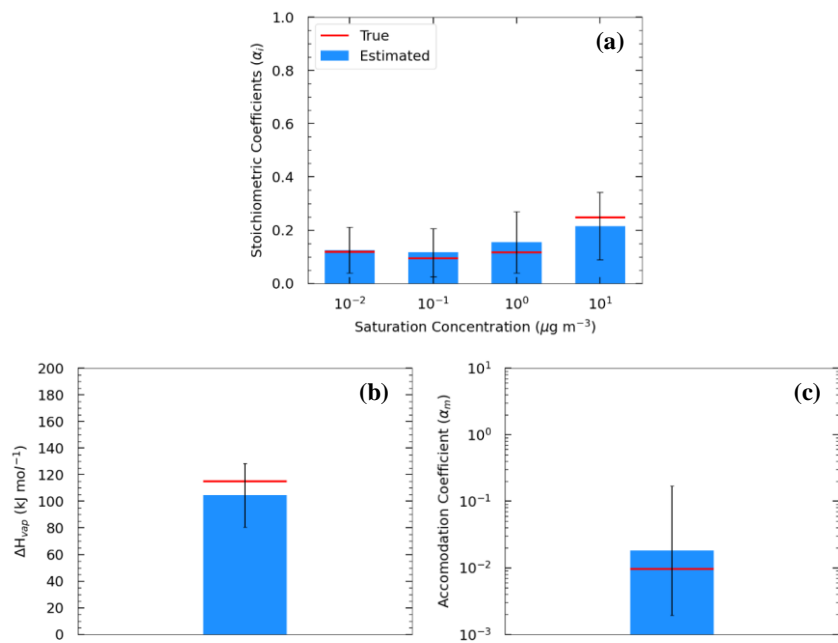


Figure 6: Estimated (bars) and true (red lines) parameter values of Experiment C in Test C1 combining yield, TD, and isothermal dilution measurements for: (a) the volatility distribution of the products, (b) ΔH_{vap} , and (c) α_m . The error bars represent the uncertainty of the estimated values.

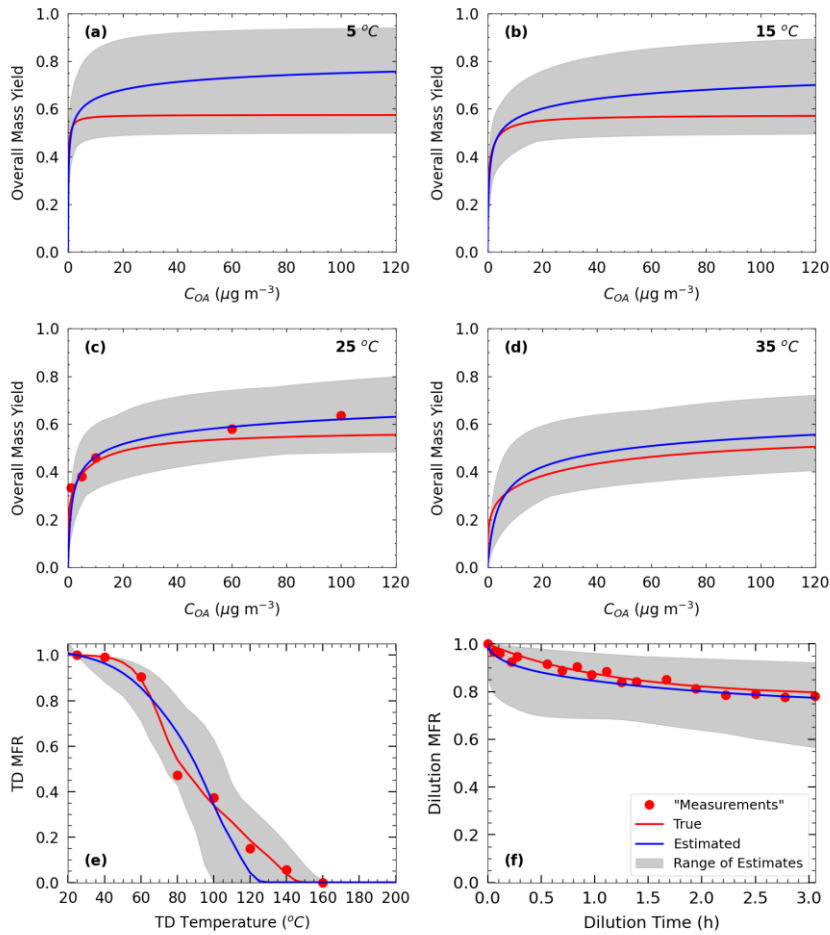


Figure 7: Yields calculated using the “true” parameters of Experiment C (red line) and the estimated (blue line) using the parameters of Test C2 for the following temperatures: (a) 5 °C, (b) 15 °C, (c) 25 °C, and (d) 35 °C. Also shown the (e) thermogram and (f) aerogram. The grey area shows the range of good solutions obtained by our algorithm.

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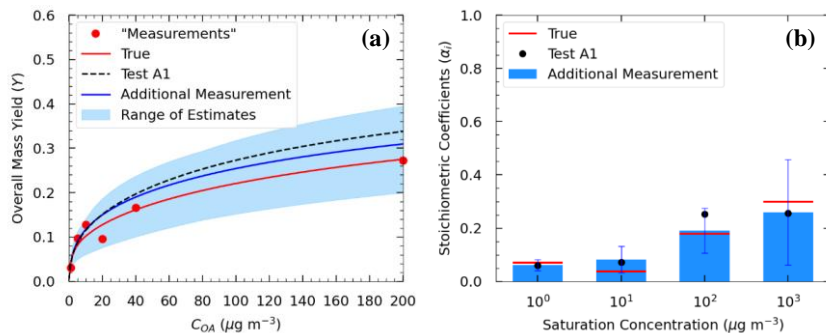


Figure 8: (a) True (red line) and estimated (blue line) yields in Test A4, and the “measurements” of Experiment A (red dots) including an additional yield “measurement” at $200 \mu\text{g m}^{-3}$. The black dashed line corresponds to the estimated yields in Test A1. (b) Estimated volatility distribution of the products (bars) of Test A4 and the true (red lines) parameter values. The black dots correspond to the estimated volatility distribution of the products in Test A1.

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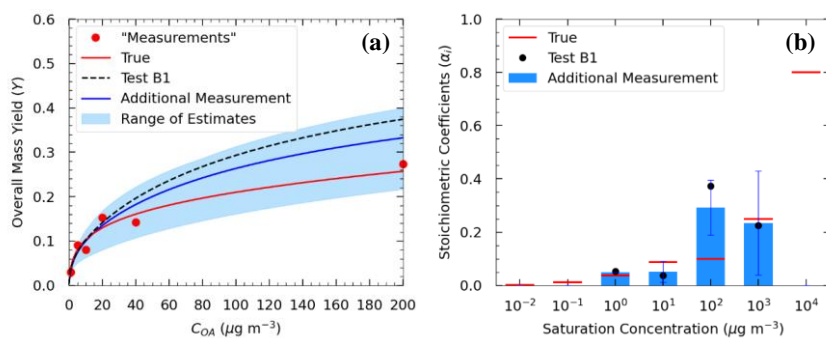


Figure 9: (a) Estimated yields (blue line) in Test B2 and “measurements” of Experiment B (red dots) including an additional yield “measurement” at $200 \mu\text{g m}^{-3}$. The black dashed line corresponds to the estimated yields in Test B1. (b) Estimated volatility distribution of the products (bars) of Test B2 and the true (red lines) parameter values. The black dots correspond to the estimated volatility distribution of the products in Test B1.

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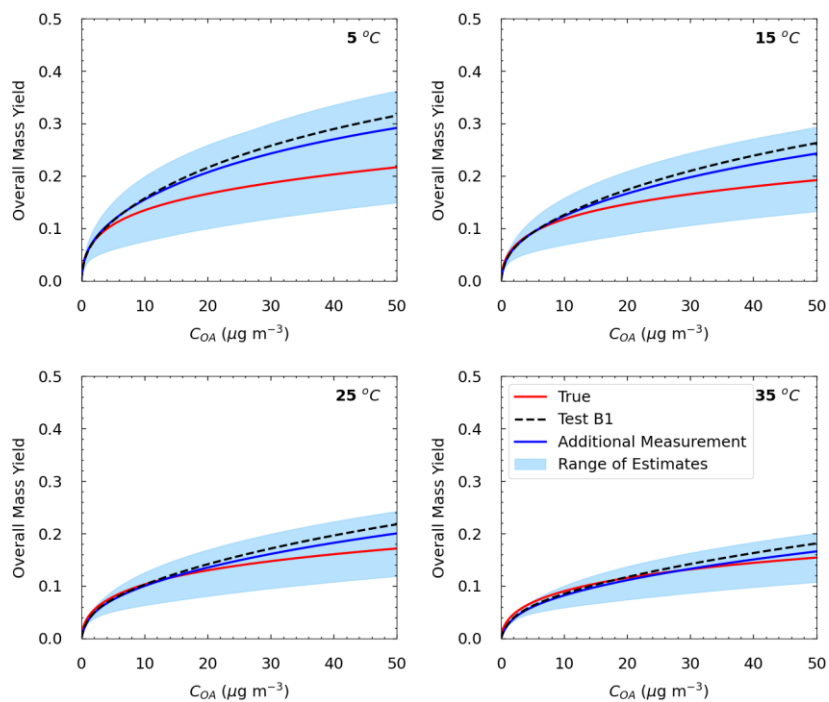


Figure 10: Yields calculated using the “true” parameters of Experiment B (red line) and the estimated (blue line) using the parameters of Test B2 for the following temperatures: 5 °C, 15 °C, 25 °C, and 35 °C. The grey-blue area shows the range of good solutions obtained by our algorithm. The black dashed line corresponds to the estimated yields in Test B1.

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