# Retrieval of greenhouse gases from GOSAT and greenhouse gases and carbon monoxide from GOSAT-2 using the FOCAL algorithm

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# Abstract.

Recently, We show new results from an updated version of the Fast atmOspheric traCe gAs retrievaL (FOCAL) algorithm has been retrieval method applied to measurements of the Greenhouse gases Observing SATellite (GOSAT) and its successor

GOSAT-2. FOCAL has been was originally developed for Orbiting Carbon estimating the total column carbon dioxide mixing

- ratio  $(XCO_2)$  from spectral measurements made by the Orbiting Carbon Observatory-2 (OCO-2) retrievals with the focus on 5 the derivation of earbon dioxide (). However, depending on the available spectral windows, FOCAL also successfully retrieves total column amounts for other atmospheric species . Here, we show new results from updated GOSAT and GOSAT-2 FOCAL retrievals and their uncertainties within one single retrieval. The main focus is placed of the current paper is on methane (XCH<sub>4</sub>; full physics and proxy product), water vapour (XH<sub>2</sub>O) and the relative ratio of semi-heavy water (HDO) to water vapour ( $\delta$ D).
- Due to the extended spectral range of GOSAT-2 it is also possible to derive information on carbon monoxide (XCO) and 10 nitrous oxide  $(XN_2O)$  for which we also show first results. We also present an update on  $XCO_2$  from both instruments.

Compared to the previous product version (v1), For XCO<sub>2</sub>, the new FOCAL retrieval (v3.0) significantly increases the number of valid data could be significantly increased in the updated version (v3.0 data compared with the previous FOCAL retrieval version (v1) by 50% for GOSAT and about a factor of two for GOSAT-2 - All-due to relaxed pre-screening and

15 improved post-processing. All v3.0 FOCAL data products show reasonable spatial distribution and temporal variations. Comparisons with TCCON (the Total Carbon Column Observing Network (TCCON) result in station-to-station biases which are generally in line with the reported TCCON uncertainties.

With this updated version of the GOSAT-2 FOCAL data, we provide a first total column average XN<sub>2</sub>O product. Global  $XN_2O$  maps show a gradient from the tropics to higher latitudes in on the order of 15 ppb, which can be explained by variations

in tropopause height. The new GOSAT-2 XN<sub>2</sub>O product compares well with TCCON. Its station-to-station variability is lower 20 than 2 ppb, which is about the magnitude of the typical  $N_2O$  variations close to the surface. However, both GOSAT-2 and TCCON measurements show that the seasonal variations in the total column average  $XN_2O$  are in-on the order of 8 ppb peakto-peak, which can be easily resolved by the GOSAT-2 FOCAL data. Noting that only few  $XN_2O$  measurements from satellites exist so far, the GOSAT-2 FOCAL product will be a valuable contribution in this context.

#### 25 1 Introduction

Global, long-term data sets of atmospheric constituents are essential to improve our understanding of the behavior behaviour of the Earth's atmosphere. Remote sensing by satellite instruments provides a way to derive large scale information from measurements. In a time of changing climate, reliable remote sensing data products gain have gained importance, as they are a crucial input, e.g. for models used for climate projections and air quality simulations. Information about the global distribution

of greenhouse gases and about their sources and sinks plays an important role in this context. 30

Several retrieval methods exist for the derivation of atmospheric information from satellite measurements. In many cases these approaches are based on spectral information from different wavelength regions, and they concentrate on, and are optimised for, a single product. However, the derivation of a specific different product usually requires the consideration of various additional atmospheric constituents and processes.

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Recently, Noël et al. (2021) presented a first version (v1.0) of a-an XCO<sub>2</sub> data product from GOSAT (Greenhouse gases Observing SATellite; Kuze et al., 2009, 2016) and GOSAT-2 (Suto et al., 2021) measurements in the near-infrared (NIR) and shortwave infrared (SWIR) spectral regions derived with the FOCAL (Fast atmOspheric traCe gAs retrievaL) method (Reuter et al., 2017a, b). FOCAL was originally applied to measurements of the Orbiting Carbon Observatory-2 (OCO-2; Eldering et al., 2017; Crisp et al., 2017) and is based on a full-physics retrieval in which scattering is approximated by a single layer. The

- 40 Noël et al. (2021) paper-focused on the XCO<sub>2</sub> results, but the application of FOCAL to the GOSAT instruments includes the determination of various other atmospheric quantities. In the current paper, we present results from an updated version (v3.0) of the GOSAT and GOSAT-2 FOCAL retrieval. Although we will also show the results for the new XCO<sub>2</sub> data, the main focus of the paper is on the presentation and initial validation of the additional quantities that can be derived with a single retrieval, thus showing the capabilities of the FOCAL method beyond XCO<sub>2</sub>. In the following, in addition to XCO<sub>2</sub>, we present the GOSAT
- 45 and GOSAT-2 FOCAL results for methane (XCH<sub>4</sub>; full physics and proxy product), water vapour (XH<sub>2</sub>O) and semi-heavy water (HDO, respectively its ratio to H<sub>2</sub>O denoted as  $\delta$ D). The relative amount of water vapour isotopes like HDO provides information about the age and origin of water vapour. For GOSAT-2, we will also show results for carbon monoxide (XCO) and first-nitrous oxide (XN<sub>2</sub>O) data. The final FOCAL data products also contain information about the uncertainties for each ground pixel.
- 50 For some of the gases A multitude of greenhouse gas products derived from GOSAT measurements , several data products existance available from a number of independent institutions. The Japanese National Institute for Environmental Studies (NIES) provides operational XCO<sub>2</sub>, XCH<sub>4</sub> (Yoshida et al., 2013) and XH<sub>2</sub>O products (Dupuy et al., 2016). NASA also released a an XCO<sub>2</sub> product based on the ACOS v9 retrieval, recently described by Taylor et al. (2022). A precursor of the FOCAL XCO<sub>2</sub> product v1.0 from Noël et al. (2021) is the BESD v01.04 product, also from the Institute of Environmental Physics (IUP) Bre-
- 55 men (Heymann et al., 2015). This is a near-real-time product produced for the Copernicus Atmospheric Monitoring Service (CAMS, https://atmosphere.copernicus.eu/ (last access: 30-July-2020)). It is planned to replace this Copernicus is the Earth observation program of the EU and ESA. Current plans call for a replacement of BESD with a near-real-time version of the FOCAL XCO<sub>2</sub> product described in this paper in the near future. Several GOSAT products are produced for the Copernicus Climate Change Service (C3S, https://climate.copernicus.eu/; last access: 30-July-2020). In this context, the Netherlands Insti-
- tute for Space Research (SRON) provides  $XCO_2$  and  $XCH_4$  data (Butz et al., 2011; Schepers et al., 2012). Similar products are also generated by the University of Leicester (Cogan et al., 2012; Parker et al., 2011, 2020). Water vapour results from GOSAT were presented by Trent et al. (2018). The ratio of HDO to H<sub>2</sub>O ( $\delta$ D) was derived for some case studies by Frankenberg et al. (2013) and Boesch et al. (2013).

For GOSAT-2, operational XCO<sub>2</sub>, XCH<sub>4</sub>, XCO and XH<sub>2</sub>O SWIR products have been released by NIES (see https://prdct.
gosat-2.nies.go.jp/, last visited access 6 June 2021). There is no XN<sub>2</sub>O product for GOSAT-2 from NIES available yet. Actually, there are only few measurements of N<sub>2</sub>O from satellite. There were some attempts to retrieve N<sub>2</sub>O from GOSAT measurements in the thermal infrared (TIR), see Kangah et al. (2017). Furthermore, Barret et al. (2021) presented results from the Infrared

- Atmospheric Sounding Interferometer (IASI) instrument on Metop. A dedicated satellite project, the Monitoring Nitrous Oxide Sources (MIN2OS; Ricaud et al., 2021) mission is currently planned.
- 70 The main aim of the current study is to give an overview of the large number of newly available FOCAL data products for GOSAT and GOSAT-2. To get an impression about the quality of these products, we compare them with ground-based

measurements from the Total Carbon Column Observing Network (TCCON; Wunch et al., 2011). For GOSAT we also include comparisons with other available  $XCO_2$  and  $XCH_4$  GOSAT data sets.

TCCON is a network of Fourier Transform Spectrometers, which measure spectra in the near-infrared spectral range while
 viewing directly at the sun. From these measurements, information about the abundance of several atmospheric constituents is obtained, including CO<sub>2</sub> CH<sub>4</sub>, N<sub>2</sub>O<sub>4</sub> CO, H<sub>2</sub>O and HDO. TCCON measurements are very accurate (see Wunch et al., 2010, e.g.) and thus well suited for the validation of satellite data.

The paper is structured as follows: After this introduction. we present the input data used in this study in section 2. We then describe the updated retrieval algorithm in section 3, followed by the results of the study (including first validation) in section

80 4. Finally, we summarise everything in the conclusions (section 5). Additional information is given in the appendix.

## 2 Input Data

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The input data used in this study are essentially the same as for the v1.0 product described in Noël et al. (2021) with some updates described in the following.

As input spectra, we use calibrated GOSAT and GOSAT-2 L1B radiances for both polarisation directions of the three
NIR/SWIR bands at around 0.76, 1.6 and 2.0 µm. All data until the end of 2020 are processed. For GOSAT, we use product version V220.220, extended by V230.230 for about the last two months of 2020. The GOSAT-2 L1B product version is now V102.102. The instrumental line shape (ILS) data are the same as in Noël et al. (2021).

The solar irradiance and solar induced fluorescence (SIF) reference spectra are unchanged. The cross sections have been updated; we now use data from HITRAN2016 (Gordon et al., 2017, downloaded on 23 March 2021) in combination with updated cross sections from the NASA (National Aeronautics and Space Administration) ACOS/OCO-2 project, i.e. ABSCO

90 updated cross sections from the NASA (National Aeronautics and Space Administration) ACOS/OCO-2 project, i.e. ABSCO v5.1 data (Payne et al., 2020).

As in Noël et al. (2021), surface properties are obtained from the Global Multi-resolution Terrain Elevation Data (GMTED2010; Danielson and Gesch, 2011) of the U.S. Geological Survey (USGS) and the National Geospatial-Intelligence Agency (NGA). Meteorology is taken from ECMWF (European Centre for Medium-range Weather Forecasts) ERA5 model-reanalysis data (Hersbach et al., 2020).

There has been a change in the a priori profile data used for  $XCO_2$  and  $XCH_4$ . These are now derived using a Simple cLImatological Model for atmospheric  $CO_2$  and  $CH_4$ , respectively, called SLIMCO2 and SLIMCH4 (see Appendix A for details). All other a priori data and the related uncertainties are unchanged compared to v1.0. The SLIMCO2 and SLIMCH4 data are also used in the bias correction for  $XCO_2$  and  $XCH_4$ , see section 3.3.3 below. As "truth", we use a subset of the

100 SLIM data from 2019 that has been selected based on a comparison with TCCON data (see Noël et al., 2021, for a detailed description).

We still use the same TCCON data version The same TCCON GGG2014 for comparisondata is used for comparison as in Noël et al. (2021), but now for the extended time period until the end of 2020. All involved TCCON stations and related references are listed in Table 1.

- In addition to the validation with ground-based data we also include comparisons with other GOSAT data sets for XCO<sub>2</sub> and XCH<sub>4</sub>, namely the ACOS v9r XCO<sub>2</sub> product from NASA (Taylor et al., 2022); the full physics and proxy products from the University of Leicester (UoL XCO<sub>2</sub> and XCH<sub>4</sub> FP v7.3, UoL XCH<sub>4</sub> proxy v9.0; Cogan et al., 2012); the full physics and proxy products from SRON (RemoTeC FP XCO<sub>2</sub> and XCH<sub>4</sub> v2.3.8, RemoTeC XCH<sub>4</sub> proxy product v2.3.9; Butz et al., 2011); and the operational bias-corrected GOSAT XCO<sub>2</sub> and XCH<sub>4</sub> products from NIES v02.9x (Yoshida et al., 2013). The
- 110 ACOS v9 data set is the "lite" product, downloaded in April 2020, which contains data up to end of 2019. We use only ACOS data with quality flag 0.

#### 3 Retrieval Algorithm

The retrieval used in this study is a three-step approach consisting of pre-processing, processing and post-processing. It uses as input the calibrated GOSAT / GOSAT-2 spectral radiances, independently for each polarisation direction. Since the retrieval

115 method is essentially the same as the one described in Noël et al. (2021) for product version 1.0 we will describe in the following only the differences applied for the updated product version (v3.0; v2 was an unreleased internal version). Most relevant changes for the current product version were in the pre- and post-processing parts.

The computational speed could be slightly improved in v3.0 compared to v1.0. For GOSAT, the retrieval for one ground pixel is typically done within about 20 s, GOSAT-2 processing takes a few seconds more due to the additional fitting windows.

120 Note that this time is for the simultaneous retrieval for all data products. Times for pre- and post-processing are negligible compared to the retrieval.

# 3.1 Pre-Processing

The pre-processing collects and prepares all data required for the processing. This step especially includes the measured GOSAT and GOSAT-2 spectra, and geolocation and matching meteorological and topographic information (from ECMWF

- 125 ERA5 and GMTED2010). Furthermore, some initial filtering (especially for clouds) is performed. The cloud filtering method is based on the derivation of an effective albedo and a water vapour absorption filter from the spectral data as described in Noël et al. (2021). This makes use of the facts that clouds are usually bright and are located above the surface such that the amount of water vapour above the cloud is low.
- For the new FOCAL products, some two filter limits of the pre-processing have been relaxed to increase final the the final
  130 data yield: We now use a maximum solar zenith angle of 90° and also latitudes up to ±90°. In v1.0, both limits were set to 70°. Note that these limits are applied for pre-processing; further filtering is done later during post-processing, depending on the different products (see section 3.3). All other filtering (including the cloud filter) is unchanged compared to v1.0. The main difference in pre-processing to v1.0 is, therefore, that for v3.0 high latitudes are not necessarily filtered out before processing. This allows for more flexibility in the definition of product specific post-processing filters by taking into account different
- 135 <u>sensitivities of each product</u>. Furthermore, as mentioned above, we now use SLIMCO2 and SLIMCH4 data as a priori for XCO<sub>2</sub> and XCH<sub>4</sub>.

#### 3.2 Processing

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The processing for v3.0 is very similar to the one of Both v1.0. It is based on the Fast atmOspheric traCe gAs retrievaL (FOCAL) and v3.0 processing use the FOCAL algorithm described in Reuter et al. (2017b). We now use FOCAL is a full-physics

- 140 retrieval method, which approximates scattering in the atmosphere by a single layer. With this, the forward model to simulate radiation can be expressed as an analytical formula, which allows for a high computational speed. The v3.0 updates to FOCAL include the use of a modified version of FOCAL, which assumes isotropic instead of Lambertian scattering at the scattering layer. The fitting windows are the same as in v1.0, but, and we also fit  $H_2O$  in the NIR band (see Tab. 2).
- The FOCAL retrieval is based on an optimal estimation algorithm (Rodgers, 2000), taking as main input measured calibrated
  spectra and their uncertainties. The quantities to be retrieved are collected in the state vector and secondary inputs to the retrieval algorithm are corresponding a-priori values and their uncertainties in form of an a-priori error covariance matrix. The main output of the FOCAL retrieval are the values and uncertainties of the elements of the state vector. The state vector elements of v3.0 (see Tab. 3) are also almost the same as in v1.0; however, we increased the degrees of the background polynomials to improve the fit residuals such that now all fitted polynomials are of degree 3 except for the small solar induced fluorescence
  (SIF) windows where we use a degree of 1 and the XN<sub>2</sub>O window where a degree of 4 is used.

The latter is done, because the sensitivity of  $XN_2O$  to surface effects turned out to be larger than for the other products. All quantities in the state vector are retrieved simultaneously. For  $CO_2$ ,  $CH_4$  and  $H_2O$  we derive profiles on 5 layers which are then converted to total column averages.

δD, XCO and XN<sub>2</sub>O are derived via scaling factors. The XCH<sub>4</sub> proxy product is derived after the retrieval from these full
 physics products (see below). In the case of GOSAT-2, all scattering parameters as well as methane, water vapour and δD are only fitted in windows 1 to 6 (i.e. those spectral ranges which are also available for GOSAT). This is done for consistency reasons, to provide consistent products for the two sensors

As in v1.0, before the retrieval for GOSAT – but not GOSAT-2 – we compute a spectral correction factor to account for changes in the spectral calibration with time. This factor is now In v3.0 the factor is obtained from the spectral difference of Fraunhofer lines in the solar irradiance and measured radiance in the SIF window, which is more stable than the least-squares

- fitting procedure used in v1.0. This new method only corrects for shifts on the scale of one spectral sampling interval (0.2  $\text{cm}^{-1}$ ); this, however, is sufficient, as additional spectral shift and squeeze factors are determined in the later retrieval for both versions.
- We also use a noise model to correct the uncertainties of the GOSAT and GOSAT-2 spectra estimated during pre-processing and consider possible forward model uncertainties in the retrieval. This noise model is the same as in v1.0, but we re-computed the parameters for all fitting windows based on an input data set consisting of one day per month in 2019 for both GOSAT and GOSAT-2. The resulting parameters are, however, similar for v1.0 and v3.0.

#### 3.3 Post-Processing

The main changes between v1.0 and v3.0 occur in the post-processing. The overall concept of our new approach is that we

170 tried to establish a generic, mostly automated procedure that provides reproducible results and thus can be applied to all gases under consideration. However, it still allows for an optimisation for each product.

The following post-processing steps are in general applied to all products:

- 1. Basic filtering.
- 2. Quality filtering.
- 175 3. Bias correction (for  $CO_2$  and  $CH_4$  only).

Note that, in contrast to v1.0, there is no longer a filter on the derived bias applied after the bias correction.

The XCH<sub>4</sub> proxy product is computed during post-processing from:

$$XCH_4^{\text{proxy}} = XCH_4^{\text{retrieved}} \frac{XCO_2^{\text{apriori}}}{XCO_2^{\text{retrieved}}}$$
(1)

This means we normalise the retrieved full physics  $XCH_4$  by the retrieved full physics  $XCO_2$  (both without bias correction) and

- use as reference the a priori XCO<sub>2</sub>. Note that this is different to e.g. the SRON XCH<sub>4</sub> proxy product (Wu et al., 2021), which is derived from a dedicated non-scattering retrieval using a different wavelength region ( $6045 - 6138 \text{ cm}^{-1}$ ). The uncertainty of the proxy product is then determined via error propagation. The XCH<sub>4</sub> proxy product is then treated in post-processing as the other products.
- The general advantage of proxy products (see also Parker et al., 2011; Schepers et al., 2012; Parker et al., 2020) is, that
  they are less sensitive to light path effects like scattering. They therefore usually have a larger coverage. However, they usually depend on a model reference, in our case SLIMCO2 (see Appendix A). The uncertainty of the XCH<sub>4</sub> proxy product is also larger than for the full physics product, because it includes the uncertainty of the derived XCO<sub>2</sub>.

# 3.3.1 Basic filteringpost-processing filters

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In contrast to v1.0, the basic filtering does not involve filtering based on scientific knowledgeexternal information, e.g. by using pre-described limits of scattering parameters or product uncertainties. This is no longer done as these fixed limits removed too many possibly valid data points, especially in the case of GOSAT-2.

Therefore, the basic filtering now only includes the filtering for good convergence ( $\chi^2$  smaller than 2) and a maximum residual-to-signal ratio (RSR) as a function of the noise-to-signal ratio (NSR). This is done in the same way as for v1.0 (see Noël et al., 2021), but with the updated noise model parameters mentioned above. This part of the basic filtering is common for all products.

For GOSAT, the RSR filters for all fitting windows (1-6) are applied to all data products. In the case of GOSAT-2, for consistency we also apply only the RSR filters for windows 1-6 to those products, that are also available from GOSAT

(i.e.  $XCO_2$ , methane and water vapour products). For the <u>othersother two GOSAT-2 products</u>, i.e. XCO and  $XN_2O$ , we only apply RSR filters from the NIR (windows 1 and 2, where most of the scattering information comes from which contain the

200 <u>majority of the information related to scattering</u>) and those windows where these gases are retrieved, namely window 8 for XCO and window 7 for  $XN_2O$ . This is to avoid that e.g. inadvertently filtering out a valid  $XCO_2$  measurement is filtered out due to to to the total t

In addition to this, we apply a filter on a maximum solar zenith angle (SZA) of 75°, because we cannot expect good data products for too low lighting conditions at low solar illumination. This is a slightly higher limit than in v1.0, where all data

205 <u>above 70° were already filtered out during pre-processing</u>. This SZA filter is applied for all products except for water vapour, because requirements on water vapour are not as strict as e.g. for  $XCO_2$ . This is why we do not apply this strict filter already in pre-processing (where we only limit the SZA to 90°, see above).

# 3.3.2 Quality filtering

The quality filtering is product-specific, but follows the same strategy for each target gas. In general, we perform independent filtering for water and land surfaces.

The final data product contains only the filtered data. The filtering out of low-quality data was done in v1.0 by a random forest filter. However, as explained in Noël et al. (2021), the performance of this filter method was limited as it essentially method was not ideal as it filtered out fewer data than expected, i.e. less data were filtered out than were marked as 'bad' during the training of the random forest filter. Therefore, we replaced this filtering for v3.0 with a filter procedure that has

already been successfully used in OCO-2 retrievals; details can be found in Reuter et al. (2017a). This procedure is based on a minimisation of the local variance. This is done by computing, for a subset of the data, the variance of the difference between the retrieved quantity and its median on a  $15^{\circ} \times 15^{\circ}$  grid.

Based on this subset, we check which variables from a given list of the candidate variables perform best in reducing the local variance when removing data corresponding to the highest or lowest 1% of each variable. This action defines a new upper or

220 lower limit for this variable. We repeat this until a prescribed amount of data is removed. The output of this procedure is a list of "best" variables and their new filter limits.

This subset has been generated from data of 2019 for GOSAT and GOSAT-2, to which the basic quality filter as described above has been applied. Note that - in contrast to v1.0 - this subset no longer depends on the reference database used in the bias correction.

A general problem with this filtering method is that it tends to filter out values from regions with higher noise, which might result in reduced coverage at higher latitudes if too many data are to be filtered out.

Therefore, we apply this filtering in two steps: First, using the variance filter method, we only determine limits for (only ) only the scattering optical depth parameters derived in the retrieval for a given percentage of data to be filtered out contained in the state vector in order to filter out a set percentage  $(P_{\tau})$ .

230 <u>of the data</u>. After applying this filter, we further reduce the number of data by another percentage  $(P_V)$  by using the variance filter method again, but now for an extended list of possible filter candidates. This list of variables has been largely reduced

compared to v1.0. It now only comprises results from the retrieval, namely the uncertainties (but not values) of the retrieved target species,  $\chi^2$ , scattering parameters and their uncertainties, the polynomial coefficients and their uncertainties, wavelength shift/squeeze and their uncertainties, and surface roughness. We explicitly no longer include geolocation / viewing geometry

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parameters and surface elevation to avoid that data are filtered out due to e.g. a specific geographical region. The retrieved  $CO_2$  gradient at the surface is also not used anymore, as this might result in filtering out scenes with too high  $CO_2$  in the boundary layer close to a point source. However, because of the large number of fitting windows this still leaves a list of about 200 possible parameters. To reduce this to a reasonable number, we run this variance filter twice: first, with the full list, then with only the best 10 parameters. This number of 10 parameters is only an upper limit, which has been chosen by checking that adding more parameters does not further reduce the variance significantly. Depending on the relevance of individual quantities even fewer parameters are needed in some cases.

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The choice of the number of data to be filtered out is – as always – a trade-off between the remaining number of data points and data quality. For the v3.0 data, we determined suitable numbers for  $P_{\tau}$  and  $P_V$  by looking at the resulting data quality (maps and validation) for different settings. As for with the SZA filter, the optical depth filter is not applied for each product. We use the same values for GOSAT and GOSAT-2: these are listed in Tab. 4. The finally-final set of selected filter variables

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Note that the minimisation of the variance is done for the whole test data set, i.e. a year of global data. Small local sinks or enhancements should have no impact here, as long as there is no clear correlation between e.g. a filter variable and the retrieved value or the geolocation. This is why we only use a very restricted list of possible variables.

and their limits are specific for to each product, surface and instrument. They are given in the Appendix in Tab. A1 to A12.

#### 250 3.3.3 Bias correction

After filtering out data as described above, we apply a bias correction to XCO<sub>2</sub> and the XCH<sub>4</sub> full physics and proxy products. The overall procedure is the same as described in detail in Noël et al. (2021). The bias correction is based on a random forest regression using, as for v1.0, the ten most relevant parameters and a random forest database as input. These have been determined as described in Noël et al. (2021), using as input the variance-filtered test subset of data as mentioned above
and a reference database giving the "true" XCO<sub>2</sub> and XCH<sub>4</sub>. This reference database has been generated from a subset of daily SLIMCO2 and SLIMCH4 data (see Appendix A) for 2019, which agree within ±0.5 ppm for XCO<sub>2</sub> and ±10 ppb for XCH<sub>4</sub> with corresponding TCCON data. The "best" parameters have been chosen from essentially the same list of candidate variables used in the variance filter, but now extended with surface elevation and type, solar zenith angle, viewing zenith angle, continuum signal and flags for quality and instrument gain.

260 The final choice of bias correction parameters and their relevance is shown in Fig. A7 for GOSAT and Fig. A8 for GOSAT-2 (see Appendix B).

We also perform a correction of the retrieved XCO<sub>2</sub> and XCH<sub>4</sub> uncertainties  $(\Delta X_{retr})$  via a linear function:

 $\Delta X = a_c + b_c \; \Delta X_{\rm retr}$ 

(2)

 $\Delta X$  is the corrected uncertainty with X being either XCO<sub>2</sub> or XCH<sub>4</sub>. The coefficients  $a_c$  and  $b_c$  of this function (see Tab. 5) are determined in a similar way as described in Noël et al. (2021) by comparing the scatter of the data relative to a truth with the retrieved uncertainty, but instead of TCCON data we now use data from the SLIMCO2/SLIMCH4 reference database as "true" values.

#### 4 Results

All GOSAT data (from 2009) and GOSAT-2 data (from 2019) until the end of 2020 have been processed. Fig. ?? shows Figs. 1
and 2 show the final number of valid FOCAL data as a function of time for the different products. The numbers are different for each product because of the individual filtering (see above). For comparison, the numbers for the v1.0 XCO<sub>2</sub> products are also shown. Fig. ?? 1a compares the number of yearly GOSAT-FOCAL XCO<sub>2</sub> data with other available GOSAT data products from SRON, the University of Leicester (UoL), NIES and NASA (ACOS product) ACOS v9 product. A similar comparison is shown in Fig. ?? 1b for XCH<sub>4</sub> full physics and proxy products. The resulting amount of data for the GOSAT-FOCAL water vapour products is shown in Fig. ??e. 2a.

The yield of valid FOCAL products was improved in v3.0 compared to v1.0. The number of valid FOCAL  $XCO_2$  and methane results exceeds those of all other GOSAT data sets.

The Note that the increase in data yield from v1.0 to v3.0 is actually larger over water (about 60% for 2019) than over land surfaces (about 30% for 2019). The main reason for this increase is the improved post-processing quality filtering procedure

- 280 and especially for water vapour also relaxations in the latitudinal and solar zenith angle filtering during pre-processing. In general, the number of GOSAT data increases for all products with time, with typically more data after 2015. As discussed
  - in Noël et al. (2021), this is related to optimised GOSAT operations resulting especially in more data over water. In principle, GOSAT-2 should provide more valid data than GOSAT, because GOSAT-2 uses an "intelligent pointing" procedure to avoid cloudy scenes. However, although the total number of GOSAT-2 FOCAL products (see Fig. ??d2b) was
- also improved, but it is still lower than for GOSAT. This is because a larger fraction of data are already removed during the basic filtering due to larger residuals / less convergence. This hints at possible issues with the radiometric calibration or an incomplete instrument model used by FOCAL, neglecting important instrument features, e.g. currently unconsidered effects of remaining polarisation sensitivities of the instrument.

#### 4.1 Global maps Maps

- For each of the different data products an example map comprising a mean for April 2019, gridded to  $5^{\circ} \times 5^{\circ}$ , is shown in Fig. ?? Figs. 3 to 8 for GOSAT and in Fig. ?? for GOSAT-2. In all maps, grid points that were only based on a single measurement have been omitted to avoid outliers. The spatial patterns of XCO<sub>2</sub>, methane, water vapour , and and  $\delta D$  look very similar for GOSAT and GOSAT-2. GOSAT-2 data show in general fewer gaps over the oceans, but with smaller latitudinal coverage. The latter is due to the currently applied RSR filtering for GOSAT-2, which especially removes data over water
- surfaces. Note that over the year the spatial range of valid data varies according to <u>solar</u> illumination conditions.

The  $XCO_2$  data show higher values in the northern than in the southern hemisphere as expected during spring time. This is because plants absorb more  $XCO_2$  during growing season (i.e. hemispheric summer and autumn).

For methane, the known source regions in the US, Africa and Asia are clearly visible, as well as the inter-hemispheric gradient. The spatial coverage of the proxy product is much larger than for the full physics product, especially at higher latitudes. This is due to the relaxed filtering for the proxy product.

Water vapour (XH<sub>2</sub>O) also shows the expected behaviour: large values in the tropics and lower values at higher latitudes. The observed spatial distribution of  $\delta D$  is in line with the maps shown in Frankenberg et al. (2013). All  $\delta D$  values are in the expected range (about 0 to -300%); they also decrease from the tropics to higher northern and southern latitudes. This is because water vapour generated in the tropics by strong evaporation is transported to higher latitudes, during which the heavier

HDO decreases more rapidly via precipitation than  $H_2O$ . The observed spatial distribution of is in line with the maps shown

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#### in.

For GOSAT-2 there are also data for carbon monoxide (XCO) and  $XN_2O$ . In the XCO map the expected source regions in China, Indonesia and Africa (fossil fuel combustion, biomass burning) are apparent over the otherwise quite smooth and constant background. The transport of XCO from the equatorial African fire regions to the west over the Atlantic ocean due to

310 the trade winds is clearly visible, also some transport from Asia to the Pacific.

The  $XN_2O$  product shows an overall decrease of the background  $XN_2O$  from the tropics to higher latitudes in on the order of 15 ppb. Such gradients were also observed by the IASI (Infrared Atmospheric Sounding Interferometer) instrument on Metop (Barret et al., 2021), however, we see larger differences. This could be related to the sampling of the  $XN_2O$  data.

Furthermore, the IASI data shown in Barret et al. (2021) refer to the mid-troposphere over the ocean only, whereas the GOSAT-2 FOCAL data are total column averages over all surfaces. The latitudinal XN<sub>2</sub>O gradient can, in principle, be explained by the variation of the tropopause height. As most of the XN<sub>2</sub>O is contained (and well mixed) in the troposphere, the total column average is larger in the tropics (where the tropopause is high) than at higher latitudes. We also see increased XN<sub>2</sub>O over central Africa. This is also visible in IASI data and probably related to convection (see Ricaud et al., 2009).

## 4.2 Time series

320 Time series of all GOSAT-FOCAL data products for different latitudinal regions are depicted in Fig. 9. These plots show the expected temporal behaviour: A seasonal cycle is visible in all data sets; amplitudes and/or phase differ for northern and southern latitudes with usually more variability in the north.

The GOSAT FOCAL  $XCO_2$  results are shown in Fig. 9a. The overall increase of  $XCO_2$  from around 380 ppm in 2009 to about 415 ppm in 2020 is clearly visible, as well as an overlaying seasonal variation, which is most pronounced in the northern

hemisphere with a minimum in summer due to vegetational growth. In the southern hemisphere, the seasonality of  $XCO_2$  is shifted by 6 months but much lower since there are less land masses than in the North. The global variation is very similar to the tropical one.

The methane full physics and proxy products show a similar temporal variation with increasing  $XCH_4$  due to larger anthropogenic contributions (about 10 ppb per year, in line with recent annual changes from NOAA ground-based measure-

ments, see https://gml.noaa.gov/ccgg/trends ch4/ (last access 11 January 2022). Small differences between the average XCH<sub>4</sub> 330 full physics and the proxy products can be explained by the broader spatial coverage of the proxy product.

For water vapour (XH<sub>2</sub>O), the seasonal cycles in the northern and southern hemispheres are shifted by about six months, in line with the seasonal shift of the intertropical convergence zone (ITCZ). On the global scale, these seasonal variations largely average out. No-Some change in the seasonal cycle of  $XH_2O$  is seen after 2015. This is probably related to the increased

number of GOSAT data (especially over ocean) after 2015 (see Fig. 1), which changes the sampling. Taking this into account, 335 no clear trend is visible in the GOSAT water vapour data from 2009 to 2020, 2020, although there is some indication for a slow increase with time. This is in line with results from other data sets (see e.g. Borger et al., 2022, and references therein).

Average values of  $\delta D$  vary between about -180% and -120%. As for water vapour, seasonal variations are small in the global average, but year-to-year variations in the seasonal cycle are larger for  $\delta D$ . Especially, the peaks in July 2012 in the

southern hemisphere and in December 2018 in the northern hemisphere are due to very few data in these regions in these 340 months.

The GOSAT-2 time series (see Fig. 10) show similar temporal variations to the GOSAT data, but of course, they only cover the years 2019 and 2020.

Across different latitudes, GOSAT-2 XCO shows similar values and seasonal variationsfor all latitudinal regions except for , except in the southern hemisphere, where XCO is on average about 30 ppb lower than in the northern hemisphere, probably 345 because most sources are around the equator or in the northern hemisphere extra-tropics.

The GOSAT-2 XN<sub>2</sub>O also shows some seasonal variations of up to about 8 ppb peak-to-peak. However, this seasonality is at least partly a sampling effect. The background  $XN_2O$ , as shown in Fig. 22g8b, comprises larger values in the tropics than at higher latitudes. Because of the varying latitudinal coverage of GOSAT-2 ocean data throughout the year, the regions outside

350 the tropics are not covered during all seasons, which introduces an apparent variation in the averages. This effect in principle applies to all data, but is especially pronounced for  $XN_2O$ , for which other spatial variations are low. In the tropics, the  $XN_2O$ data are always high, and the variations are much smaller. In fact, we see a slight increase in  $XN_2O$  of about 1 ppb per year, which is about what is expected from ground-based measurements (see growth rate plots on the NOAA Global Monitoring Laboratory website; https://gml.noaa.gov/hats/combined/N2O.html, last access 30 June 2021). This result is also in line with 355 IASI data (Barret et al., 2021).

#### 4.3 **TCCON** comparisons Comparisons

To assess the quality of the data, for each GOSAT and GOSAT-2 FOCAL product we perform a comparison with TCCON data using the same procedure as in Noël et al. (2021); see also Reuter et al. (2020); Reuter and Hilker (2022) for details.

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For most gases, we also use the same collocation criteria: a maximum time difference of 2 h, a maximum spatial distance of 500 km and a maximum surface elevation difference of 250 m between satellite and ground-based measurement. However, for water vapour and carbon monoxide these limits are reduced to 1 h time difference and 150 km spatial distance to account for their higher variability. We only include stations with a minimum of 50 data points.

For  $XCO_2$  and  $XCH_4$  we also perform comparisons with other available GOSAT products from SRON, the University of Leicester, NASA (ACOS  $v_2$ ) and NIES.

- 365 From the comparisons, we derive the following main quantities (related formulas are given in Reuter and Hilker, 2022):
  - The mean station bias, defined as the mean of all biases at each station; this can be interpreted as a global offset to all stations.
  - The station-to-station bias, defined as the standard deviation of the individual station biases. This can be interpreted as regional bias.
- The mean scatter, defined as the square root of the mean of the variances at each station. This is a measure for the single sounding precision.
  - The seasonal bias, defined as the standard deviation (rms) of the seasonal variation of the difference FOCAL-TCCON at each station. This is equivalent to a temporal bias.

Figs. **??** to **??** show the resulting 11 and 12 show the results from the TCCON validation for all GOSAT XCO<sub>2</sub> and XCH<sub>4</sub> (full physics and proxy) products from the different retrievals. The validation for these products were performed using the same subset of stations for all data products of each gas, which allows for a direct comparisons of the results. In addition, Figs. 13 and 14 show the TCCON validation results (bias and scatterfor each) for each of the FOCAL v3.0 GOSAT and GOSAT-2 product and TCCON station products (including the FOCAL data from Figs. 11 and 12. We also use here the same subset of stations for the GOSAT-2 XCH<sub>4</sub> full physics and proxy product. Example time series for the TCCON station Lamont (US) are

shown in Figs. 15 and 16. This station was selected because it provides good temporal coverage of TCCON data also for the GOSAT-2 time frame (2019–2020). All results of the comparisons are summarised in Tab. 6.

The mean station bias is mainly given for reference, because it is usually not relevant for applications that are only interested in the spatial and temporal gradients of the gas (like for  $XCO_2$ ). The quantities station-to-station bias, seasonal bias, and mean scatter are more important as they describe the quality of regional and/or temporal gradients, which are, e.g., needed to quantify potential sources and sinks. The seasonal bias is derived from a trend model fit; therefore the corresponding values for GOSAT-2 are less reliable, because the time interval is only about two years. The number of stations and data points used in the comparison depends on the different products, the collocation criteria and the length of the time series. Therefore, there are

many fewer collocations for GOSAT-2. The  $XCH_4$  proxy products, as well as the  $XH_2O$  and XCO products, have the largest number of collocations because of the relaxed filtering.

# 390 4.3.1 XCO<sub>2</sub> results versus TCCON

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For GOSAT FOCAL v3.0 the XCO<sub>2</sub> station-to station bias is 0.51 ppm and the mean scatter 2.19 ppm-, as given by the pink numbers in the bottom of Fig. 11 and Tab. 6.

While the bias is slightly reduced, the scatter is slightly larger than the values for v1.0 (0.56 ppm, 1.89 ppm, see Noël et al., 2021). This higher scatter is still acceptable noting the increased number of data points, which always increases the scatter,

and an estimated 1- $\sigma$  TCCON uncertainty of 0.4 ppm for XCO<sub>2</sub> , see (Wunch et al., 2010). Note that this relation between scatter and number of data and data points is due to the filtering, which is based on reducing the local variance by removing data points (see above). As stronger filtering therefore results in less data with a lower scatter.

The FOCAL values are also in quite good agreement with those from the other data sets, but still do not reach the low bias and scatter of the NASA ACOS v9 product (0.44 ppm and 1.66 ppm) as given in dark grey color at the bottom of Fig. 11.

400 The GOSAT-2 XCO<sub>2</sub> comparison results for v1.0 were considered less reliable because of the shortness of the time series (less than one year). For v3.0, we now have almost two years of data and, due to the updated product version, also a higher data yield, which results in almost 10 times more collocations with TCCON than in v1.0. We As can be seen from Tab. 6 we now get a station-to station bias of 0.91 ppm, which is still slightly higher compared to GOSAT but lower than in v1.0 (1.14 ppm), For GOSAT-2, the biases are typically negative for southern stations and positive for northern stations (see Fig. 13). The derived mean scatter of 2.02 ppm (see Fig. 14 is somewhat lower than the v3.0 GOSAT value and slightly higher than the v1.0 scatter for GOSAT-2 (1.89 ppm). As mentioned above, this is related to the different number of data points.

The derived seasonal bias is low (0.33 ppm for GOSAT, 0.62 ppm for GOSAT-2, see Tab. 6). The seasonal variations of the TCCON data at Lamont are well reproduced by the GOSAT and GOSAT-2 FOCAL data with no apparent offset, but the satellite data show a larger scatter (see Figs. 15a and 16a). The lower scatter of TCCON data is expected, because in general

410 satellite instruments measure reflected sunlight as it passes twice through the atmosphere, while TCCON stations perform direct observation of the sun for which scattering is not relevant.

# 4.3.2 XCH<sub>4</sub> results versus TCCON

The FOCAL v3.0 full physics XCH<sub>4</sub> product for GOSAT has a station-to-station bias of 4.3 ppb as given in pink at the bottom of Fig. 12), which is similar to the estimated 1-σ TCCON uncertainty from Wunch et al. (2010) of 3.5 ppb and also compares
well to the other data products. The value for the GOSAT FOCAL proxy product is 6.1 ppb, which is about 1–2 ppb higher than all other products but still in an acceptable range as it is better than the Copernicus systematic error threshold requirement of 10 ppb and close to the breakthrough requirement of better than 5 ppb (see Table 3 in Buchwitz et al., 2021). For GOSAT-2, we get FOCAL v3.0 has a station-to-station bias of 4.7 ppb for the full physics XCH<sub>4</sub> product and 6.2 ppb for the proxy.

The mean scatter of the GOSAT and GOSAT-2 FOCAL XCH<sub>4</sub> product <u>versus TCCON</u> is around 12 ppb, which is slightly lower than for the other data products. The seasonal bias for all GOSAT and GOSAT-2 products <u>relative to TCCON</u> is around 3 ppb (<u>Tab. 6</u>). For both instruments, the temporal variations of the FOCAL full physics and proxy XCH<sub>4</sub> products agree well with the Lamont TCCON data (see Figs. 15b,c and 16b,c). In general, the FOCAL data are systematically lower by a few ppb, in line with the observed mean station bias of around -3–6 ppb, see Tab. 6.

# 4.3.3 XH<sub>2</sub>O results versus TCCON

425 Since water vapour is highly variable, the comparison results depend strongly on the involved TCCON stations. Because of the less strict filter criteria for XH<sub>2</sub>O there are typically more data (and collocations) at higher latitudes than for the other full physics products. We get a similar mean scatter of about 300 ppm for GOSAT and GOSAT-2 FOCAL XH<sub>2</sub>O. The station-tostation bias is 116 ppm for GOSAT and 152 ppm for GOSAT-2, which is even lower than the TCCON uncertainty of 200 ppm estimated by Wunch et al. (2010). The seasonal bias for GOSAT-2 is 110 ppm; for GOSAT it is even smaller (66 ppm), see

430 <u>Tab. 6 for all values</u>. The derived station-to-station biases and mean scatter values are in line with results derived for the OCO-2 FOCAL product (206 ppm and 293 ppm, respectively, see Reuter et al., 2017a). As also mentioned there, these high values can at least partly be attributed to the large natural variability of water vapour. This variability can also be seen in the time series at Lamont (Figs. 15d and 16d), which show the same seasonal variations of around 4000 ppm peak-to-peak for all data sets.

#### 4.3.4 $\delta D$ results versus TCCON

- 435 For δD we get station-to station biases of only 8.6% for both instruments; the mean scatter is about 32% for GOSAT and GOSAT-2. The seasonal bias for GOSAT is 6%, the GOSAT-2 value is 13% (Tab. 6). The mean station bias is quite large (around -83% for GOSAT and GOSAT-2). This is slightly larger than corresponding values between about -20% and -70% derived from a GOSAT-TCCON comparison performed by Boesch et al. (2013) for data between April 2009 and June 2011. Note that there is no uncertainty estimate available for the TCCON δD data, so all numbers given here should be treated with caution. The Lamont time series (Figs. 15e and 16e) show a systematic offset between TCCON on GOSAT/GOSAT-2 in line
- with the mean station bias, but the seasonality is well reproduced, although the satellite data show a larger scatter.

#### 4.3.5 XCO results versus TCCON

and products are only available for GOSAT-2, which covers a larger spectral range. The TCCON comparison for XCO reveals a station-to-station bias of 4.3 ppb, a mean scatter of 7.7 ppb and a seasonal bias of 2.8 ppb (Tab. 6). In fact, the XCO bias
and scatter varies strongly between the different TCCON stations (see Fig. ??aFigs. 13 and 14), but the derived values agree quite well with the TCCON uncertainty for carbon monoxide of 2 ppb. The data at Lamont (Fig. 16f) show that the temporal variation of XCO is well captured by the FOCAL product, but there is a systematic offset in line with the mean station bias of about 15 ppb.

# 4.3.6 XN<sub>2</sub>O results versus TCCON

- 450 The FOCAL XN<sub>2</sub>O is a new data product that is so far not available from other GOSAT-2 retrievals groups performing retrievals on GOSAT-2 tracegas measurements. For XN<sub>2</sub>O we get from the TCCON comparison a station-to-station bias of 1.6 ppb and a mean scatter of 4.0 ppb (Fig. 13 and 14). The seasonal bias is 1.6 ppb (Tab. 6). Since the corresponding 1- $\sigma$  TCCON uncertainty from Wunch et al. (2010) is 1.5 ppb we consider this as a to be reasonable agreement. The values for XN<sub>2</sub>O are similar to the expected local XN<sub>2</sub>O variability of a few ppb (see e.g. García et al., 2018), but it should be considered
- 455 that the total column average has a larger variability due to e.g. than surface data due to variations in tropopause heightthan surface data. This can be seen from Fig. 16g: Both TCCON and GOSAT-2 observe total column seasonal variations with peakto-peak differences of about 8 ppb, in line with the time series results. There is no visible bias between TCCON and GOSAT-2, but the scatter of the GOSAT-2 data is larger.

#### 5 Conclusions

- 460 An updated version (v3.0) of the FOCAL retrieval algorithm has been applied to GOSAT and GOSAT-2 measurements in the NIR and SWIR spectral regions. This results in a variety of trace gas products, all derived within one retrieval and at comparably low computational costs. For both GOSAT instruments we determine full physics products for carbon dioxide, methane, water vapour and  $\delta D$  as well as a proxy methane productin addition to. For GOSAT-2, also carbon monoxide and a nitrous oxide product are retrieved.
- Overall, the yield of valid data could be is improved in GOSAT and GOSAT-2 FOCAL v3.0. The number of  $XCO_2$  full physics data has increased by about 50% for GOSAT and has even doubled for GOSAT-2. This is mainly due to relaxations in the filtering of data and improved post-processing. The proxy methane, carbon monoxide and  $XH_2O$  products even have about two times more data than the full physics products.

The new GOSAT and GOSAT-2 products have been compared with ground-based TCCON data to get a first quality assessment. All FOCAL data agree with TCCON within the uncertainties of both data sets.

The FOCAL XCO<sub>2</sub> data product is in line not only with TCCON but many other satellite data sets. A near real time version of this data set will be used in the Copernicus Atmospheric Monitoring Service (CAMS) as input for meteorological models. The FOCAL XCH<sub>4</sub> products fulfil the corresponding requirements of the EU/ESA Copernicus Earth observation program. The FOCAL data sets provide also useful input for ensemble studies, which have shown that additional information about e.g.

475 sources and sinks of greenhouse gases can be obtained by combination of different data sets (see e.g. Reuter et al., 2013, 2020).

The spatial distribution of all gases and their temporal variation looks reasonable. For the new look reasonable. We have presented the first results for a GOSAT-2  $XN_2O$  we observe a product. We observe an  $XN_2O$  gradient between the tropics and higher latitudes of about 15 ppb which can be explained by variations in the tropopause height. A similar gradient has been seen in IASI data.

The new GOSAT and GOSAT-2 products have been compared with ground-based TCCON data to get a first quality assessment. All FOCAL data agree with TCCON within the uncertainties of both data sets.

The accuracy of the GOSAT-2 FOCAL  $XN_2O$  is in the order of a few ppb for a single sounding. We expect this to be improved by averaging of data, such that e.g. monthly or annually gridded products can provide interesting information about  $XN_2O$ , especially since there are not many global satellite measurements available for this species.

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Data availability. The GOSAT and GOSAT-2 FOCAL v3.0 data sets are available on request from the authors.

## Appendix A: SLIMCO2 and SLIMCH4

The "Simple cLImatological Model for atmospheric  $CO_2$  or  $CH_4$ " SLIMCO2 or SLIMCH4 has been developed to provide estimates of dry-air mole fraction profiles and column averages of atmospheric  $CO_2$  or  $CH_4$  with reasonable accuracy at 490 minimum computational costs. A key application of SLIMCO2 or SLIMCH4 is to compute  $CO_2$  or  $CH_4$  a priori information for remote sensing algorithms which is why it provides also estimates of the corresponding error covariance matrix which can be used, e.g., by optimal estimation frameworks.

The climatology database of SLIMCO2 v2021 has been derived from 16 years (2003-2018) of CO<sub>2</sub> mole fraction data of NOAA's CarbonTracker model version CT2019B Jacobson et al. (2020). It has the same 3° × 2° spatial resolution as the used global CarbonTracker model fields. Temporally, it covers one year sampled in 36 time steps, corresponding to a grid resolution of about 10 days. The climatology database of SLIMCH4 v2021 has been derived from 13 years (2000-2012) of TM5-4DVAR CH<sub>4</sub> mole fraction data (Bergamaschi et al., 2013) with a spatial resolution of 6° × 4°. Temporally, it is sampled in 36 time steps, just as the climatology database of SLIMCO2 v2021. Both databases feature a height grid with 20 layers. The height gridding is done in a way that each layer consists of the same number of dry-air particles so that the column-average can simply be computed by averaging the mole-fraction profile. When reading the climatology database, SLIM allows either nearest neighbour or trilinear interpolation in longitude, latitude, and say day of year. Additionally, SLIM is able to convert the height gridding to the one that is used, e.g., for the FOCAL OCO-2 XCO<sub>2</sub> retrieval using five height layers for CO<sub>2</sub>.

First, we computed the global mean XGAS ( $XCO_2$  or  $XCH_4$ ) from the corresponding model for each January 1st (00:00 UTC) in the covered time period. In the next step, we went through all model time steps of the analysed period and subtracted the global mean XGAS, assuming linear growth within the years. Finally, we created the climatology databases by incremen-

tally computing the average and standard deviation of the gases mole-fraction of all growth corrected model time steps falling into the 10-days temporal grid-cells of the database. In this way, the created databases basically consist of growth-removed seasonal cycle anomalies.

In addition to the created 4D data fields, the database contains a table of annual growth rates obtained from NOAA (https://

- 510 gml.noaa.gov/ccgg/trends/gr.html, last access 03.07.20213 July 2021). Currently, the implemented table covers the time periods 1959-2020 for CO<sub>2</sub> and 1984-2020 for CH<sub>4</sub>, but it can be extended if needed to improve the quality of SLIM estimates in years before or after these periods. Fig. A1 shows the NOAA annual mean growth rates for CO<sub>2</sub> and CH<sub>4</sub> computed from global marine surface data as stored in the database. As visible in the figure, the NOAA growth rate agrees well with the growth computed from the model data as described above.
- In the following, we describe, how SLIM uses its database to estimate the  $CO_2$  or  $CH_4$  atmospheric dry-air mole fraction for a given longitude, latitude, and time. The database has been generated as follows: First, SLIM computes an estimate of the global average mole fraction by linear interpolation in the accumulated growth rates database. Note that extrapolation to dates outside of the spanned period is done by assuming a 10-years average growth rate (dashed lines in Fig. A1). This global average is added to the mole fraction anomaly interpolated from the corresponding 4D database field for the given longitude, lotitude, and day of user
- 520 latitude, and day of year.

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Figures A2 shows examples of a global  $XCO_2$  and  $XCH_4$  map as read from the models (panels c and d) and in panels a and b the corresponding maps of SLIM XGAS values. Since the SLIM layers are defined such that the they all contain the same number of dry air particles, the SLIM XGAS values can be computed as mean of all layer values. As one can also see in the difference maps (panels e and f), the large scale patterns such as north/south gradient are well reproduced and differences are 525 mainly due the specific synoptic situation in the model field, which usually change from year to year and which, therefore, cannot be reproduced by a simple climatology. At the example of  $CO_2$ , the largest natural surface fluxes occur during the northern hemispheric growing season. Therefore, the largest deviations between CT2019B and SLIMCO2 occur in the northern hemisphere in Fig. A2e.

By comparing one million randomly selected profiles in the period 2003-2018, we computed that the SLIMCO2 XCO<sub>2</sub> is on average 0.1 ppm lower than the corresponding CarbonTracker values. The , with a standard deviation of the difference amounts 0.57 ppm and the correlation coefficient between both quantities is a correlation coefficient of 0.998 (see Fig. A3a). The corresponding experiment for SLIMCH4 results in a mean difference of 3 ppb, a standard deviation of the difference of 7.2 ppb, and a correlation coefficient of 0.989 (see Fig. A3b).

The error covariance matrix for the 5-layered SLIMCO2 profiles shown in Fig. A4a shows the largest uncertainties in the lowermost layer (approx. 1000-800 hPa) which is strongest influenced by the surface fluxes and the smallest uncertainties in the uppermost layer (approx. 200–0 hPa) including the stratosphere. The largest error correlations exist between the layers 1–4, whilst the uncertainties of layer 5 are relatively independent (Fig. A4b). For CH<sub>4</sub>, the correlation structure is similar (Fig. A4d), but the largest uncertainties are observed in the stratosphere (Fig. A4c).

Also the comparison of SLIM with corresponding TCCON XGAS measurements show good overall agreement (Figs. A5 540 and A6).

Analysed in the same way as done in the validation study of Reuter et al. (2020), we find  $CO_2$  biases with a station-to-station standard deviation of 0.57 ppm and an average scatter of 1.14 ppm with respect to TCCON (Fig. A5a). For  $CH_4$ , we find biases with a station-to-station standard deviation of 7.5 ppb and an average scatter of 10.6 ppb (Fig. A5b). Especially for  $XCO_2$ , these values are similar to values found for comparisons of satellite retrieval data products with TCCON (e.g. Reuter et al., 2020).

#### Appendix B: Filter variables Variables and bias correction parameters Bias Correction Parameters

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Tables A1 to A12 show the filter settings for the various GOSAT and GOSAT-2 products. Figs. A7 and A8 show the bias correction parameters and their relevance for GOSAT and GOSAT-2.

Author contributions. S. Noël adapted the FOCAL method to GOSAT and GOSAT-2, generated the updated FOCAL data products and performed the validation. M. Reuter developed the FOCAL method and provided the  $XCO_2$  and  $XCH_4$  reference databases and the TCCON validation tools. J. Borchardt provided the used python implementation for the SLIM  $XCO_2$  and methane climatology. M. Hilker provided the original python implementation of FOCAL (OCO-2 version).

A. Di Noia and R. Parker provided the UoL, Y. Yoshida the NIES GOSAT data products. The following co-authors provided TCCON data: M. Buschmann, N. M. Deutscher, D. G. Feist, D. W. T. Griffith, F. Hase, R. Kivi, C. Liu,

555 Y. Oh, I. Morino, J. Notholt, H. Ohyama, C. Petri, D. F. Pollard, M. Rettinger, C. Roehl, C. Rousogenous, M. K. Sha, K. Shiomi, K. Strong, R. Sussmann, Y. Té, V. A. Velazco, M. Vrekoussis, T. Warneke. All authors provided support in writing the paper.

Competing interests. At least one of the (co-)authors is a member of the editorial board of Atmospheric Measurement Techniques.

Acknowledgements. GOSAT and GOSAT-2 spectral data have been provided by JAXA and NIES. CarbonTracker CT2019B and CT 560 NRT.v2020-1 results were provided by NOAA ESRL, Boulder, Colorado, USA from the website at http://carbontracker.noaa.gov. ABSCO cross sections for CO<sub>2</sub> were provided by NASA and the ACOS/OCO-2 team. GMTED2010 topography data were provided by the U.S. Geological Survey (USGS) and the National Geospatial-Intelligence Agency (NGA). We thank the European Center for Medium Range Weather Forecasts (ECMWF) for providing us with analysed meteorological fields (ERA5 data).

The GOSAT ACOS v9 Level 2 XCO<sub>2</sub> product from the NASA/OCO-2 team has been obtained from https://oco2.gesdisc.eosdis.nasa.gov/ 565 data/GOSAT\_TANSO\_Level2/ACOS\_L2\_Lite\_FP.9r/, https://doi.org/10.5067/VWSABTO7ZII4, last access: 16 October 2020. The UoL and SRON GOSAT data products have been obtained from the Copernicus Climate Data Store (https://cds.climate.copernicus.eu/, last assess: 15-Oct-2020). GOSAT Level 2 data from NIES have been provided by the GOSAT Data Archive Service (GDAS; https://data2.gosat.nies. go.jp/, last access: 17 January 2022).

RJP is funded via the UK National Centre for Earth Observation (NE/N018079/1). This research used the ALICE High Performance 570 Computing Facility at the University of Leicester for the UoL GOSAT retrievals.

The Paris TCCON site has received funding from Sorbonne Université, the French research centre CNRS, the French space agency CNES, and Région Île-de-France. The Réunion Island station is operated by the Royal Belgian Institute for Space Aeronomy with financial support since 2014 by the EU project ICOS-Inwire and the ministerial decree for ICOS (FR/35/IC1 to FR/35/IC6) and local activities supported by LACy/UMR8105 – Université de La Réunion. The TCCON stations at Rikubetsu, Tsukuba, and Burgos are supported in

- 575 part by the GOSAT series project. Local support for Burgos is provided by the Energy Development Corporation (EDC, Philippines). The Eureka measurements were made at the Polar Environment Atmospheric Research Laboratory (PEARL) by the Canadian Network for the Detection of Atmospheric Change (CANDAC), primarily supported by the Natural Sciences and Engineering Research Council of Canada, Environment and Climate Change Canada, and the Canadian Space Agency. The Anmyeondo TCCON station is funded by the Korea Meteorological Administration Research and Development Program "Development of Monitoring and Analysis Techniques for Atmospheric
- 580 Composition in Korea" under grant (KMA2018-00522). The TCCON Nicosia site has received support from the European Unions' Horizon 2020 research and innovation programme under grant agreement No. 856612 (EMME-CARE), the Cyprus Government, and by the University of Bremen. NMD is supported by an Australian Research Council (ARC) Future Fellowship, FT180100327. The Darwin and Wollongong TCCON sites have been supported by a series of ARC grants, including DP160100598, DP140100552, DP110103118, DP0879468 and LE0668470, and NASA grants NAG5-12247 and NNG05-GD07G.
- 585 Large parts of the calculations reported here were performed on HPC facilities of the IUP, University of Bremen, funded under DFG/FUGG grant INST 144/379-1 and INST 144/493-1. The work was supported by the Copernicus Atmosphere Monitoring Service (CAMS) via project CAMS2-52b.

This work has received funding from JAXA (GOSAT and GOSAT-2 support, contracts 19RT000692 and JX-PSPC-527269), EUMETSAT (FOCAL-CO2M study, contract EUM/CO/19/4600002372/RL), ESA (GHG-CCI+ project, contract 4000126450/19/I-NB), and the State and

590 the University of Bremen.

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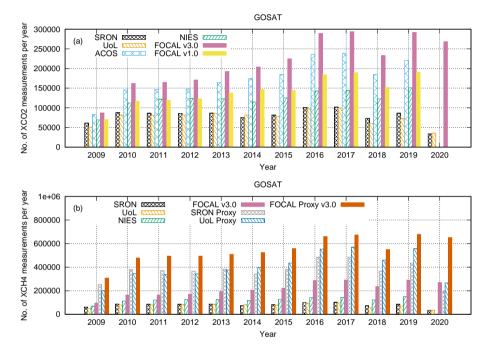
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**Figure 1.** Number of GOSAT and GOSAT-2-data for different products as function of time (see Tab. 6 for details on version numbers). (a) GOSAT XCO<sub>2</sub>. (b) GOSAT XCH<sub>4</sub>. (c) GOSAT FOCAL and . (d) GOSAT-2 FOCAL products-

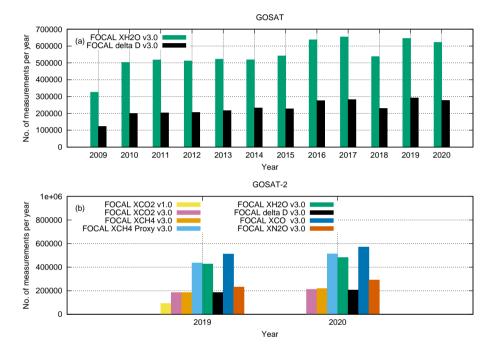


Figure 2. <u>Maps-Number</u> of <u>gridded-FOCAL</u> GOSAT and <u>GOSAT-2</u> data for <u>April 2019</u>: (a) . (b) full physics product. (c) proxy productas function of time. (da) GOSAT FOCAL XH<sub>2</sub>O and  $\delta$ D. (eb) -GOSAT-2 FOCAL products

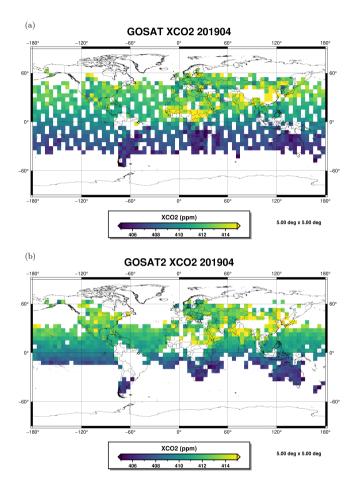


Figure 3. Maps of gridded GOSAT-2- $XCO_2$  data for April 2019: (a) -GOSAT, (b) full physics product. (c) proxy product. (d) . (e) . (f) . (g) GOSAT-2.

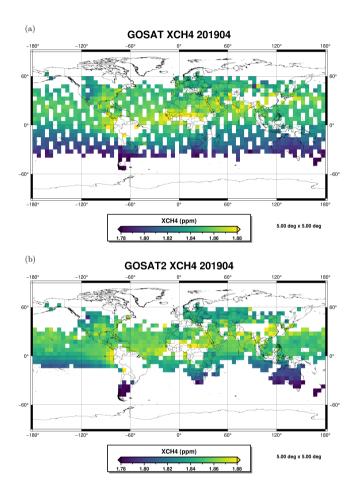


Figure 4. Maps of gridded XCH<sub>4</sub> data for April 2019: (a) GOSAT, (b) GOSAT-2.

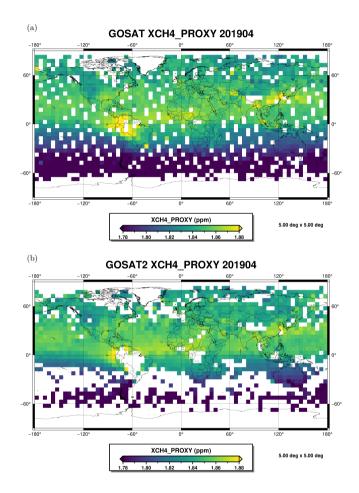


Figure 5. Maps of gridded XCH<sub>4</sub> Proxy data for April 2019: (a) GOSAT, (b) GOSAT-2.

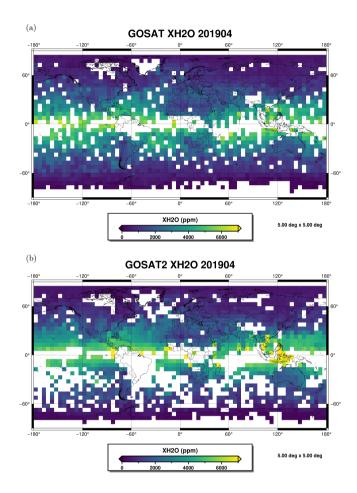
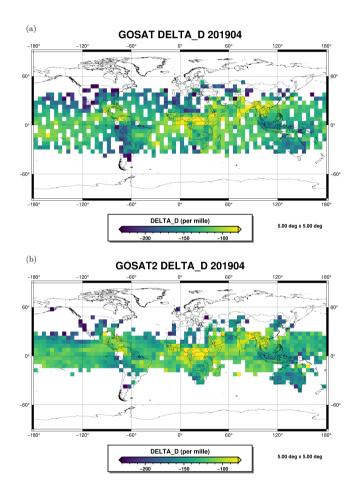


Figure 6. Maps of gridded XH<sub>2</sub>O data for April 2019: (a) GOSAT. (b) GOSAT-2.



**Figure 7.** Maps of gridded  $\delta D$  data for April 2019: (a) GOSAT, (b) GOSAT-2.

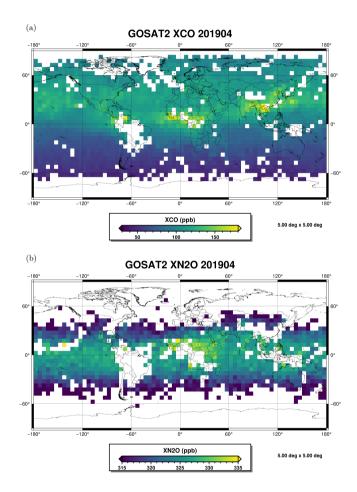
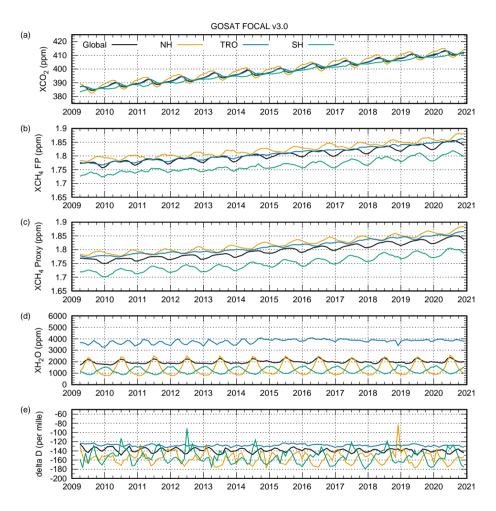
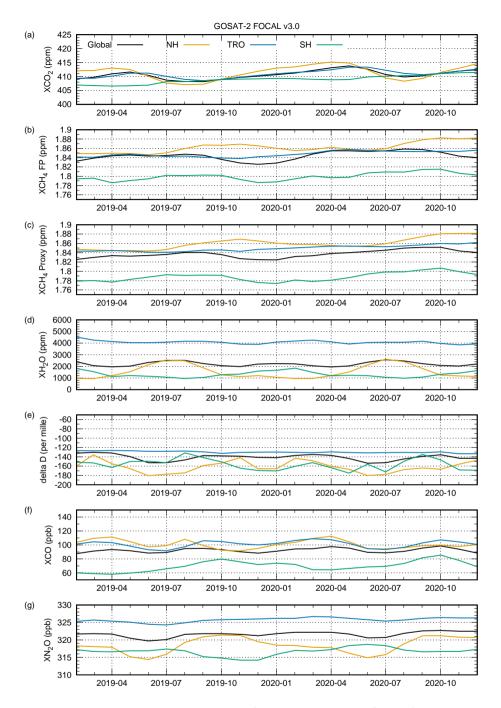


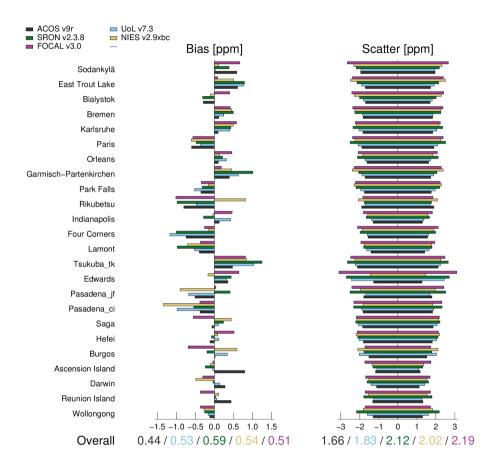
Figure 8. Maps of gridded GOSAT-2 data for April 2019: (a) XCO (b) XN<sub>2</sub>O.



**Figure 9.** GOSAT time series. NH = Northern hemisphere (>  $25^{\circ}$ N). TRO = Tropics ( $25^{\circ}$ S -  $25^{\circ}$ N). SH = Southern hemisphere (<  $25^{\circ}$ S). (a) XCO<sub>2</sub>. (b) XCH<sub>4</sub> full physics product. (c) XCH<sub>4</sub> proxy product. (d) XH<sub>2</sub>O. (e)  $\delta$ D.



**Figure 10.** GOSAT-2 time series. NH = Northern hemisphere (>  $25^{\circ}$ N). TRO = Tropics ( $25^{\circ}$ S -  $25^{\circ}$ N). SH = Southern hemisphere (<  $25^{\circ}$ S). (a) XCO<sub>2</sub>. (b) XCH<sub>4</sub> full physics product. (c) XCH<sub>4</sub> proxy product. (d) XH<sub>2</sub>O. (e)  $\delta$ D. (f) XCO. (g) XN<sub>2</sub>O.



**Figure 11.** Overview of comparison results between different GOSAT  $XCO_2$  products and TCCON data: Scatter and bias for different TCCON stations. Note that the mean station bias has been subtracted to better illustrate the local station differences. (c) See Tab.(d) 6 for a summary of all TCCON validation results.

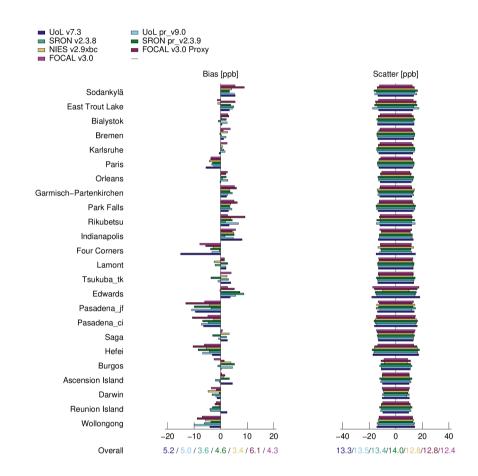


Figure 12. As Fig. ?? 11, but for : (a) . (b) GOSAT XCH<sub>4</sub> full physics and proxy products.

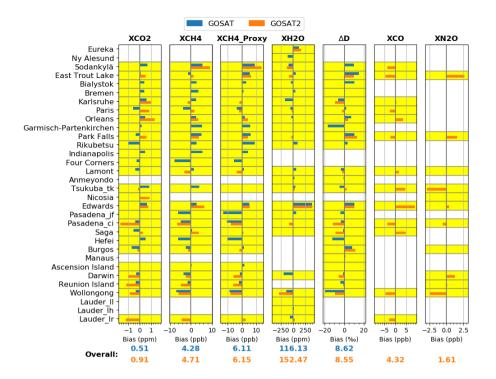
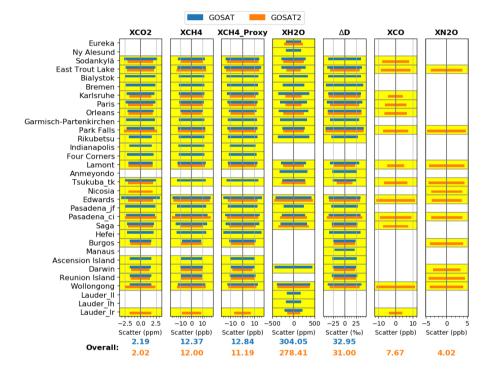
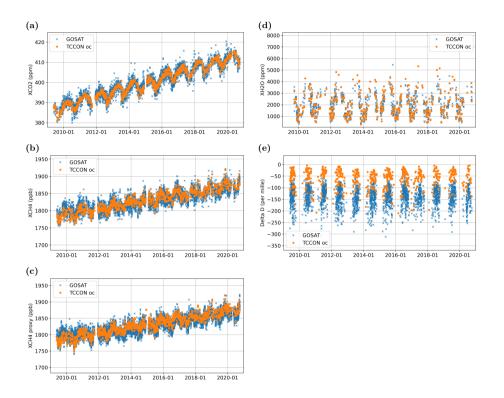


Figure 13. Bias of FOCAL data products for GOSAT (blue) and GOSAT-2 (orange) at different TCCON stations. Involved stations for each product are marked by a yellow background. Note that small biases (close to zero) may not be visible in the plot. The mean station bias has been subtracted to better illustrate the local station differences. See Tab. 6 for a summary of all TCCON validation results. As Fig. ??, but for: (a). (b).

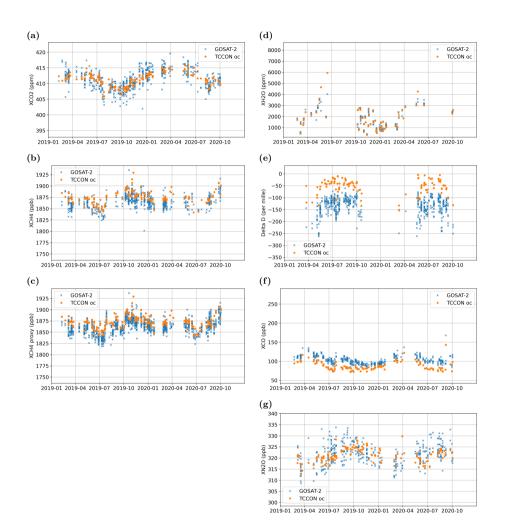
Overview of comparison results between GOSAT-2 FOCAL products and TCCON data: Scatter and bias for different TCCON stations. Note that the mean station bias has been subtracted to better illustrate the local station differences. (a) . 840 (b) (full physics and proxy products).



**Figure 14.** As Fig. **??**, but Scatter of FOCAL data products for **:** GOSAT (ablue) - and GOSAT-2 (borange) at different TCCON stations. Involved stations for each product are marked by a yellow background. See Tab. 6 for a summary of all TCCON validation results.



**Figure 15.** Example time series of TCCON and GOSAT FOCAL data at Lamont (station code oc). (a) XCO<sub>2</sub>. (b) XCH<sub>4</sub> full physics product. (c) XCH<sub>4</sub> proxy product. (d) XH<sub>2</sub>O. (e) *δ*D.



**Figure 16.** Example time series of TCCON and GOSAT-2 FOCAL data at Lamont (station code oc). (a)  $XCO_2$ . (b)  $XCH_4$  full physics product. (c)  $XCH_4$  proxy product. (d)  $XH_2O$ . (e)  $\delta D$ . (f) XCO. (g)  $XN_2O$ .

## Table 1. TCCON stations used in this study (update of similar table in Noël et al. (2021)).

Site	Lon. (deg)	Lat. (deg)	Elev. (km)	Reference(s)
Anmeyondo (KR)	126.33	36.54	0.03	Goo et al. (2014)
Ascension Island (SH)	-14.33	-7.92	0.01	Feist et al. (2014)
Bialystok (PL)	23.03	53.23	0.18	Deutscher et al. (2019)
Bremen (DE)	8.85	53.10	0.04	Notholt et al. (2019a)
Burgos (PH)	120.65	18.53	0.04	Morino et al. (2018b)
Darwin (AU)	130.89	-12.42	0.03	Griffith et al. (2014a)
Edwards (US)	-117.88	34.96	0.70	Iraci et al. (2016a)
East Trout Lake (CA)	-104.99	54.35	0.50	Wunch et al. (2017)
Eureka (CA)	-86.42	80.05	0.61	Strong et al. (2019)
Four Corners (US)	-108.48	36.80	1.64	Dubey et al. (2014)
Garmisch-Partenkirchen (DE)	11.06	47.48	0.74	Sussmann and Rettinger (2018a)
Hefei (CN)	117.17	31.90	0.04	Liu et al. (2018)
Indianapolis (US)	-86.00	39.86	0.27	Iraci et al. (2016b)
Izaña (ES)	-16.50	28.30	2.37	Blumenstock et al. (2017)
Karlsruhe (DE)	8.43	49.10	0.11	Hase et al. (2014)
Lamont (US)	-97.49	36.60	0.32	Wennberg et al. (2016)
Lauder (NZ)	169.68	-45.04	0.37	Sherlock et al. (2014a, b)
				Pollard et al. (2019)
Nicosia (CY)	33.38	35.14	0.19	Petri et al. (2020)
Ny Ålesund (NO)	11.90	78.90	0.02	Notholt et al. (2019b)
Orleans (FR)	2.11	47.97	0.13	Warneke et al. (2019)
Paris (FR)	2.36	48.85	0.06	Te et al. (2014)
Park Falls (US)	-90.27	45.95	0.44	Wennberg et al. (2017)
Pasadena (US)	-118.13	34.13	0.21	Wennberg et al. (2015)
Reunion Island (FR)	55.49	-20.90	0.09	De Mazière et al. (2017)
Rikubetsu (JP)	143.77	43.46	0.36	Morino et al. (2017)
Saga (JP)	130.29	33.24	0.01	Kawakami et al. (2014)
Sodankylä (FI)	26.63	67.37	0.18	Kivi et al. (2014)
Tsukuba (JP)	140.12	36.05	0.03	Morino et al. (2018a)
Wollongong (AU)	150.88	-34.41	0.03	Griffith et al. (2014b)
Zugspitze (DE)	10.98	47.42	2.96	Sussmann and Rettinger (2018b)

**Table 2.** Definition of GOSAT/GOSAT-2 spectral fit windows (same for S and P). Windows 7 and 8 are only available for GOSAT-2. Cross sections are from HITRAN2016 except for those marked with "<sup>a</sup>", which are from ABSCO v5.1, and those marked with "<sup>b</sup>", which are from Gorshelev et al. (2014); Serdyuchenko et al. (2014).

No.	Primary target	Waveno. range $(cm^{-1})$	Considered gases
1	SIF	13170 - 13220	$\mathrm{O_2}^a,\mathrm{H_2O}^a,\mathrm{O_3}^b$
2	$O_2$	12930 - 13170	$\mathrm{O_2}^a,\mathrm{H_2O}^a,\mathrm{O_3}^b$
3	HDO	6337 - 6410	$\mathrm{CO}_2,\mathrm{H}_2\mathrm{O},\mathrm{HDO},\mathrm{CH}_4$
4	$\rm CO_2$	6161 - 6297	$\mathrm{CO}_2{}^a$ , H <sub>2</sub> O, HDO, CH <sub>4</sub>
5	$CH_4$	5945 - 6135	$\mathrm{CO}_2,\mathrm{H}_2\mathrm{O},\mathrm{HDO},\mathrm{CH}_4$
6	$\mathrm{CO}_2$	4801 - 4907	$\mathrm{CO}_2{}^a,\mathrm{H}_2\mathrm{O},\mathrm{HDO}$
7	$N_2O$	4364 - 4449	$N_2O, H_2O, HDO, CH_4$
8	CO	4228 - 4328	$\rm CO$ , $\rm H_2O$ , $\rm HDO$ , $\rm CH_4$

**Table 3.** State vector elements and related retrieval settings. A priori values are also used as first guess. "Fit windows" lists the spectral windows (see Tab. 2) from which the element is determined. "each" means that a corresponding element is fitted in each fit window. A priori values labelled as "PP" are taken from pre-processing; "est." denotes that they have been estimated from the background signal.

Element	Fit windows	A priori	A priori uncertainty	Comment
			Gases	
co2_lay	3,4,5,6 (S&P)	РР	10.0	$\mathrm{CO}_2$ profile (5 layers), in ppm
ch4_lay	3,4,5 (S&P)	PP	0.045	$\mathrm{CH}_4$ profile (5 layers), in ppm
h2o_lay	3,4,5,6 (S&P)	PP	5.0	$\rm H_2O$ profile (5 layers), in ppm
sif_fac	1 (S&P)	0.	5.	SIF spectrum scaling factor
delta_d	3,4,5,6 (S&P)	-200.	1000.	$\delta D$ profile scaling factor
n2o_scl	7 (S&P)	1.	0.1	$\rm N_2O$ profile scaling factor, only GOSAT-2
co_scl	8 (S&P)	1.	1.0	CO profile scaling factor, only GOSAT-2
			Scattering parameters	3
pre_sca_s	1–6 S	0.2	1.	Layer height (pressure), S
tau_sca_0_s	1–6 S	0.01	0.1	Optical depth, S
ang_sca_s	1–6 S	4.0	1.	Ångström coefficient, S
pre_sca_p	1–6 P	0.2	1.	Layer height (pressure), P
tau_sca_0_p	1–6 P	0.01	0.1	Optical depth, P
ang_sca_p	1–6 P	4.0	1.	Ångström coefficient, P
		Polynoi	nial coefficients (surfac	ce albedo)
poly0	each	est.	0.1	estimated surface albedo
poly1	each	0.0	0.01	
poly2	each	0.0	0.01	not in SIF window (1)
poly3	each	0.0	0.01	not in SIF window (1)
poly4	each	0.0	0.01	only in $N_2O$ window (7)
			Spectral corrections	
wav_shi	each	0.0	0.1	Wavenumber shift
wav_squ	each	0.0	0.001	Wavenumber squeeze

Gas	SZA Filter	$P_{\tau}$	$P_V$
	Land		
$\rm XCO_2$	75°	40%	50%
$\mathrm{XCH}_4$	75°	40%	50%
$\mathrm{XCH}_4$ Proxy	75°	-	20%
$\rm XH_2O$	-	-	30%
$\delta \mathrm{D}$	75°	40%	50%
$\rm XN_2O$	75°	40%	50%
XCO	$75^{\circ}$	-	20%
	Water		
$\rm XCO_2$	75°	40%	40%
$\mathrm{XCH}_4$	75°	40%	40%
$\rm XCH_4$ Proxy	75°	-	20%
$\rm XH_2O$	-	_	30%
$\delta \mathrm{D}$	$75^{\circ}$	40%	40%
$\rm XN_2O$	$75^{\circ}$	40%	40%
XCO	75°	-	20%

**Table 4.** Filter settings for all products. "--" denotes that no limit is applied.

Gas	Surface	Offset $\underline{a}_{\mathcal{C}}$ (ppm)	Slope $\underline{b}_{c}$				
GOSAT							
XCO <sub>2</sub>	land	1.030937	1.27				
$\rm XCO_2$	water	0.568207	0.83				
$\mathrm{XCH}_4$	land	0.002487	2.07				
$\rm XCH_4$	water	0.005121	0.83				
XCH <sub>4</sub> Propy Proxy	land	0.007951	0.67				
$\rm XCH_4$ Proxy	water	0.006026	0.59				
	GOSA	T-2					
XCO <sub>2</sub>	land	0.292586	2.27				
$\rm XCO_2$	water	0.596544	0.77				
$\rm XCH_4$	land	0.004791	2.02				
$\rm XCH_4$	water	0.006171	0.60				
XCH <sub>4</sub> Propy Proxy	land	0.008328	0.58				
$\rm XCH_4$ Proxy	water	0.006286	0.53				

 Table 5. Coefficients of linear uncertainty correction.

Product (unit)	$N_{\rm stations}$	$N_{\rm data}$	Mean station bias	Station-to-station bias	Mean scatter	Seasonal bia
	(	GOSAT 20	009–2020 XCO <sub>2</sub> pro	ducts vs. TCCON		
ACOS v9r (ppm)	24	35827	0.08	0.44	1.66	0.34
UoL v7.3 (ppm)	24	24223	0.21	0.53	1.83	0.39
SRON v2.3.8 (ppm)	24	22907	0.41	0.59	2.12	0.40
NIES v02.9xbc (ppm)	24	31323	0.61	0.54	2.02	0.40
FOCAL v3.0 (ppm)	24	32505	0.40	0.51	2.19	0.33
	(	GOSAT 20	009–2020 XCH <sub>4</sub> pro	lucts vs. TCCON		
UoL v7.3 (ppb)	24	23661	-1.89	5.15	13.33	3.57
UoL Proxy v9.0 (ppb)	24	72849	-0.78	4.97	13.46	3.01
SRON v2.3.8 (ppb)	24	22907	3.24	3.64	13.39	2.92
SRON Proxy v2.3.9 (ppb)	24	74615	1.34	4.60	13.96	2.62
NIES v02.9xbc (ppb)	24	31334	-0.61	3.38	12.76	2.87
FOCAL v3.0 (ppb)	24	30245	-3.04	4.28	12.37	2.83
FOCAL v3.0 Proxy (ppb)	24	72954	-4.75	6.11	12.84	2.52
	GOSAT 20	09–2020	FOCAL v3.0 water v	apour products vs. TCCC	DN	
XH <sub>2</sub> O (ppm)	24	19739	-78.82	116.13	304.05	65.79
$\delta \mathrm{D}$ (‰)	24	21892	-83.41	8.62	32.95	6.29
	GOS	AT-2 2019	9–2020 FOCAL v3.0	products vs. TCCON		
XCO <sub>2</sub> (ppm)	17	5251	-0.01	0.91	2.02	0.62
$XCH_4 (ppb)$	15	4400	-6.61	4.71	12.00	2.45
$XCH_4 \operatorname{Proxy}^a (ppb)$	15	10370	-6.02	6.15	11.19	3.05
$XH_2O$ (ppm)	14	3500	-20.89	152.47	278.41	109.91
δD (‰)	14	2762	-82.76	8.55	31.00	12.69
XCO (ppb)	13	3777	14.80	4.32	7.67	2.84
$XN_2O$ (ppb)	11	3151	0.63	1.61	4.02	1.56

**Table 6.** Results from TCCON comparisons.  $N_{\text{stations}}$  denotes the number of TCCON stations involved in the comparison,  $N_{\text{data}}$  is the number of collocated data points. All products are full physics products except for those marked as 'Proxy'.

 $^{a}\mathrm{XCH}_{4}$  Proxy validated together with full physics product, i.e. for same subset of TCCON stations

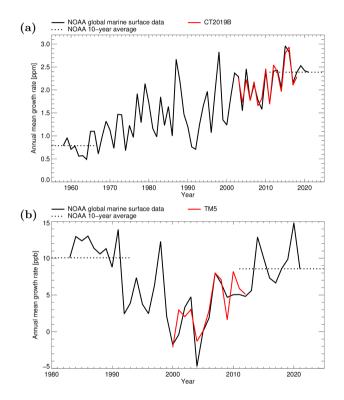
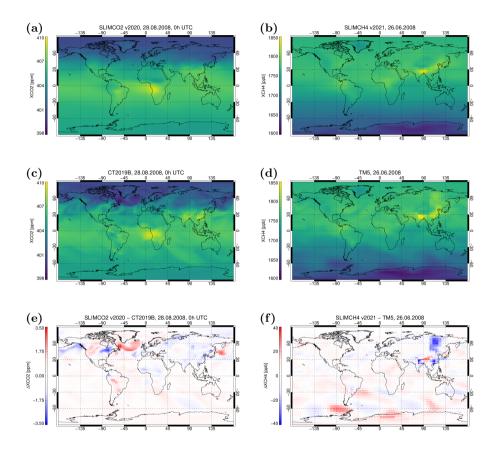
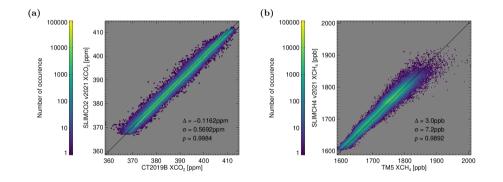


Figure A1. Global growth rates for  $CO_2$  (a) and  $CH_4$  (b).



**Figure A2.** Example Maps of SLIMCO2 (a) and SLIMCH4 (b) data. Panels (c) and (d) show corresponding data from the underlying models (CT2019B, TM5). The differences between the SLIM results and these model data are shown in panels (e) and (f).



**Figure A3.** Scatter plot of the data shown in Fig. A2. (a) SLIMCO2 data vs. CT2019B. (b) SLIMCH4 vs. TM5.  $\sigma$  corresponds to the standard deviation of the difference  $\delta$  corresponds to the average bias, and  $\rho$  is the Pearson correlation coefficient.

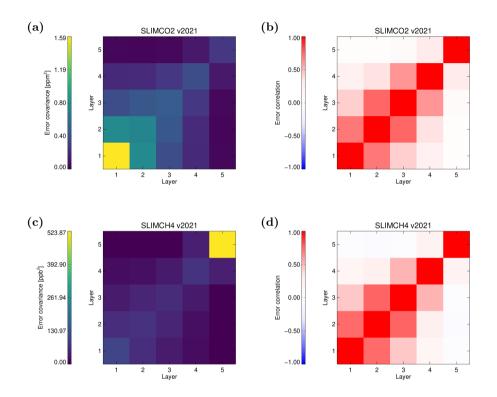
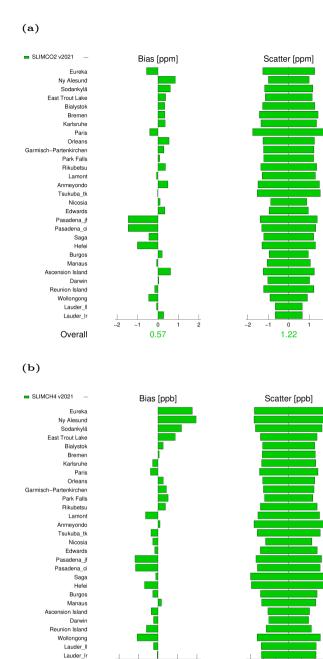
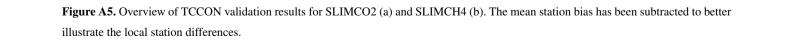


Figure A4. Error covariance matrices for SLIMCO2 (a) and SLIMCH4(c) and corresponding error correlation matrices (b, d).



2



10 20

0

7.5

-15 -10 -5 0

5 10 15

11.4

-20 -10

Overall

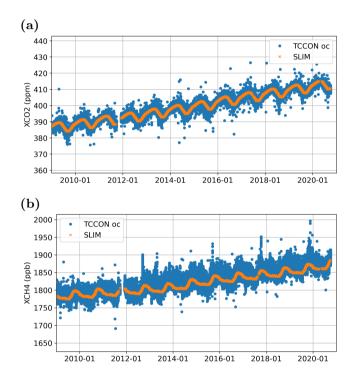
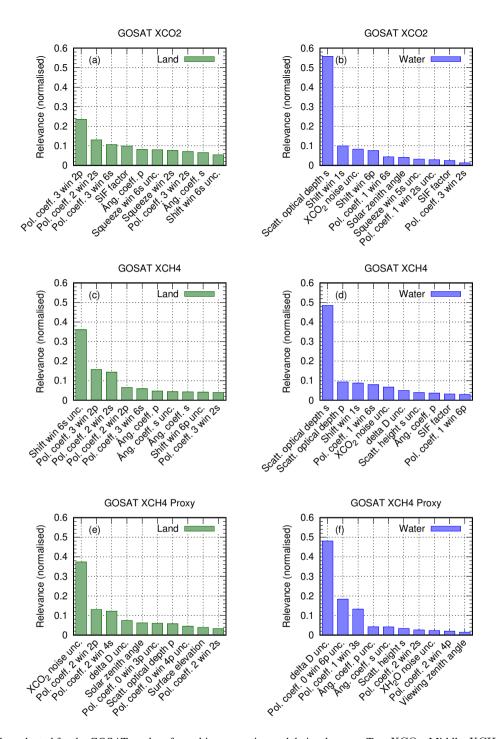


Figure A6. Time series of  $XCO_2$  (a) and  $XCH_4$  (b) from TCCON and SLIM at Lamont (station code oc).



**Figure A7.** Variables selected for the GOSAT random forest bias correction and their relevance. Top: XCO<sub>2</sub>. Middle: XCH<sub>4</sub>. Bottom: XCH<sub>4</sub> Proxy. Left/right: For land/water surface.

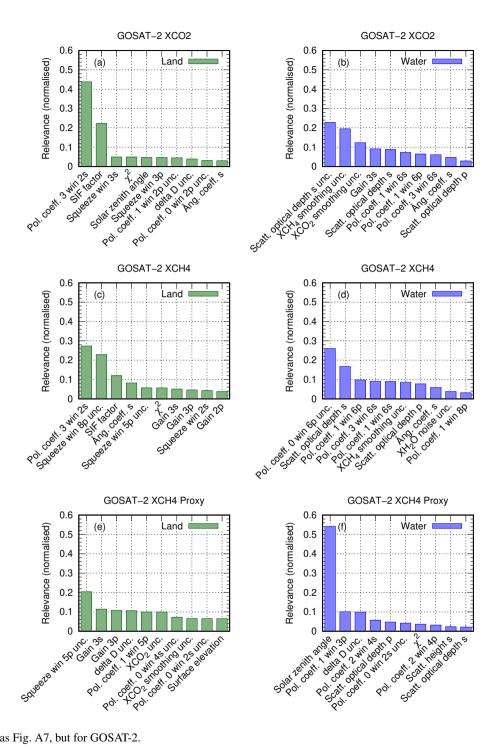


Figure A8. Same as Fig. A7, but for GOSAT-2.

Land			Water			
Variable	valid	range	Variable	valid	range	
	min.	max.		min.	max.	
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00	
Scatt. optical depth s	$1.0910^{-3}$	$5.3710^{-2}$	Scatt. optical depth p	$-7.2810^{-2}$	3.53 10-	
Scatt. optical depth p	$-5.0910^{-3}$	$2.8010^{-2}$	Scatt. optical depth s	$4.4010^{-3}$	5.76 10-	
Pol. coeff. 3 win 2s	$-6.9810^{-3}$	$-6.4210^{-5}$	Pol. coeff. 3 win 2s	-	$1.8710^{-1}$	
Pol. coeff. 3 win 2p	$-7.3210^{-3}$	$2.9110^{-4}$	XCO <sub>2</sub> noise unc. (ppm)	0.58	1.45	
Surface roughness (m)	-	54.00	Pol. coeff. 1 win 6p	$2.6610^{-4}$	_	
XCH <sub>4</sub> noise unc. (ppm)	$3.8910^{-3}$	$6.5810^{-3}$	Pol. coeff. 1 win 5p	$8.0110^{-4}$	_	
Scatt. Ångström coeff. p	1.07	-	Pol. coeff. 1 win 5s	$7.67  10^{-5}$	_	
Spectral squeeze win 3p	$-1.2010^{-3}$	$1.2110^{-3}$	Pol. coeff. 0 win 3s unc.	_	3.05 10-	
Pol. coeff. 1 win 4s	$-1.4610^{-2}$	$-3.0510^{-3}$	Pol. coeff. 0 win 4p unc.	_	$4.5010^{-1}$	
Spectral squeeze win 3s	$-1.21  10^{-3}$	$1.2410^{-3}$	$\delta D$ unc. (per mille)	_	391.41	
Pol. coeff. 1 win 6s	$-3.6210^{-3}$	-	Pol. coeff. 0 win 5s unc.	-	5.72 10-	
Scatt. Ångström coeff. s	$-8.7110^{-2}$	_	$\chi^2$	_	1.02	

**Table A1.**  $XCO_2$  filter variables and limits for GOSAT. "-" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

Land	Water				
Variable	valid	range	Variable	valid range	
	min.	max.		min.	max.
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00
Scatt. optical depth s	$-6.5910^{-3}$	$3.4510^{-2}$	Scatt. optical depth p	$-7.2810^{-2}$	$3.5210^{-1}$
Scatt. optical depth p	$2.0010^{-3}$	$2.8010^{-2}$	Scatt. optical depth s	$4.4010^{-3}$	$7.5510^{-1}$
Pol. coeff. 3 win 2p	$-7.3210^{-3}$	$4.1210^{-4}$	Pol. coeff. 3 win 2p	$-8.8010^{-3}$	9.59 10-
Scatt. Ångström coeff. p unc.	0.16	-	Pol. coeff. 1 win 5p	$7.9710^{-4}$	_
Surface roughness (m)	_	55.00	Pol. coeff. 1 win 6p	$2.2310^{-4}$	4.51 10-
Pol. coeff. 3 win 2s	$-6.9810^{-3}$	$4.9010^{-4}$	Pol. coeff. 0 win 2p unc.	-	5.32 10-
Pol. coeff. 1 win 4p	_	$-4.8510^{-3}$	Pol. coeff. 1 win 5s	$4.2610^{-5}$	_
Pol. coeff. 1 win 4s	$-1.4610^{-2}$	$-4.9910^{-3}$	Pol. coeff. 0 win 5p unc.	$5.9810^{-5}$	3.61 10-
Spectral squeeze win 5s unc.	$2.0210^{-4}$	$3.9910^{-4}$	Pol. coeff. 0 win 3s unc.	-	$2.6310^{-1}$
Pol. coeff. 1 win 6s	$-3.7910^{-3}$	-	XCO <sub>2</sub> noise unc. (ppm)	0.58	1.47
Scatt. Ångström coeff. s unc.	0.14	1.00	Pol. coeff. 0 win 5s unc.	-	$5.8810^{-1}$
Spectral squeeze win 3p	$-1.5010^{-3}$	$1.61  10^{-3}$	Pol. coeff. 1 win 6s	$4.8310^{-5}$	$4.5310^{-1}$

**Table A2.**  $XCH_4$  filter variables and limits for GOSAT. "-" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

Land			Water		
Variable	valid	range	Variable	valid	range
	min.	max.		min.	max.
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00
Pol. coeff. 1 win 4s	-	$-4.1110^{-3}$	$\rm XCO_2$ smoothing unc. (ppm)	_	1.21
XH <sub>2</sub> O noise unc. (ppm)	-	20.08	Spectral shift win 3p unc.	_	$1.2910^{-3}$
XCH <sub>4</sub> noise unc. (ppm)	_	$1.4810^{-2}$	XCO <sub>2</sub> unc. (ppm)	_	5.14
$\chi^2$	_	0.97	XCO <sub>2</sub> noise unc. (ppm)	_	2.40
Spectral squeeze win 5s unc.	_	$5.9310^{-4}$	Pol. coeff. 0 win 4p unc.	$7.1610^{-5}$	$5.9810^{-4}$
Scatt. optical depth p	-0.24	0.13	Pol. coeff. 2 win 4p	-	$1.0010^{-4}$
Spectral squeeze win 3p	_	$1.67  10^{-3}$	Pol. coeff. 0 win 2s	$3.64  10^{-2}$	_
Pol. coeff. 0 win 6p unc.	_	$1.0410^{-3}$	$\delta D$ unc. (per mille)	-	183.57
Pol. coeff. 1 win 2p	$-7.5610^{-3}$	$4.4810^{-2}$	Scatt. Ångström coeff. s unc.	$4.1110^{-2}$	1.00
Pol. coeff. 1 win 4p	-	$-3.9510^{-3}$			

**Table A3.**  $XCH_4$  Proxy filter variables and limits for GOSAT. "-" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

**Table A4.** XH<sub>2</sub>O filter variables and limits for GOSAT. "–" means that no limit is applied. The variables are ordered by their relevance, i.e. by the number of data filtered out.

Land		Water			
Variable	valid range		Variable	valid range	
	min.	max.		min.	max.
$\delta \mathrm{D}$ unc. (per mille)	26.77	-	$\delta D$ unc. (per mille)	21.29	_
Spectral squeeze win 2p unc.	$6.2510^{-4}$	_	XH <sub>2</sub> O noise unc. (ppm)	_	30.47
Pol. coeff. 2 win 6p unc.	$7.2110^{-5}$	_	Pol. coeff. 0 win 6p unc.	$1.6110^{-4}$	_
Pol. coeff. 0 win 2s unc.	$1.3410^{-4}$	_			
Pol. coeff. 0 win 5p unc.	$8.7110^{-5}$	_			

**Table A5.**  $\delta D$  filter variables and limits for GOSAT. "-" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

Land			Water		
Variable	valid range		Variable	valid range	
	min.	max.		min.	max.
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00
Scatt. optical depth s	$1.3710^{-2}$	_	Scatt. optical depth s	$1.3410^{-2}$	$6.7710^{-2}$
$\delta D$ unc. (per mille)	-	36.02	Scatt. optical depth p	$1.4810^{-2}$	$6.1810^{-2}$
$XH_2O$ noise unc. (ppm)	7.27	62.48	$\delta D$ unc. (per mille)	-	38.89
$XH_2O$ unc. (ppm)	8.25	64.63	$\rm XH_2O$ noise unc. (ppm)	9.29	104.62
SIF factor unc.	0.43	_	Pol. coeff. 1 win 1p unc.	$3.2210^{-4}$	$1.0910^{-3}$
Pol. coeff. 1 win 6p	$-9.4310^{-3}$	$1.6510^{-2}$	Pol. coeff. 1 win 6s	$-9.81  10^{-3}$	$3.66  10^{-3}$
Spectral squeeze win 2s unc.	$3.5810^{-4}$	$6.1210^{-4}$	Pol. coeff. 1 win 6p	$-3.1310^{-3}$	$3.5810^{-3}$

Land			Water		
Variable	valid	range	Variable	valid	range
	min.	max.		min.	max.
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00
Scatt. optical depth s	-0.18	$1.9710^{-2}$	Scatt. optical depth s	$8.8210^{-3}$	$2.9710^{-2}$
Scatt. optical depth p	$1.1010^{-3}$	$2.6410^{-2}$	Scatt. optical depth p	$7.66  10^{-3}$	$5.4110^{-2}$
Scatt. Ångström coeff. p	0.56	4.52	Pol. coeff. 1 win 6s	$7.0510^{-5}$	$3.1910^{-3}$
Surface roughness (m)	_	40.00	$\delta D$ unc. (per mille)	-	76.39
Scatt. Ångström coeff. s unc.	0.12	1.00	Pol. coeff. 0 win 2s unc.	$9.0210^{-5}$	$1.6910^{-4}$
Pol. coeff. 1 win 1s	-	$5.1610^{-3}$	Pol. coeff. 2 win 6s unc.	$4.3210^{-5}$	$1.5810^{-4}$
Spectral shift win 5s unc.	_	$3.7110^{-4}$	Spectral squeeze win 2s	$-3.4410^{-3}$	$1.4810^{-3}$
Scatt. Ångström coeff. s	0.71	8.21	Pol. coeff. 3 win 2p	-	$2.6810^{-3}$
Pol. coeff. 3 win 2s	$-1.7210^{-3}$	$2.4810^{-3}$	Scatt. Ångström coeff. s unc.	$7.1110^{-2}$	1.00
Spectral squeeze win 3s	$-5.9610^{-4}$	$1.0010^{-3}$	Pol. coeff. 1 win 2s	$6.7010^{-4}$	$8.1510^{-3}$
Pol. coeff. 2 win 2s unc.	$7.2410^{-5}$	$2.3610^{-4}$	Pol. coeff. 3 win 4s unc.	$2.1410^{-5}$	$4.8910^{-4}$
Spectral squeeze win 3p	$-5.6710^{-4}$	$1.7610^{-3}$	Pol. coeff. 3 win 6s unc.	$4.0510^{-5}$	$5.7610^{-4}$

Table A6.  $XCO_2$  filter variables and limits for GOSAT-2. "–" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

Land			Water			
Variable	valid range		Variable	valid range		
	min.	max.		min.	max.	
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00	
Scatt. optical depth s	-0.18	$1.9110^{-2}$	Scatt. optical depth s	$8.8210^{-3}$	$2.7910^{-2}$	
Scatt. optical depth p	$-8.1910^{-4}$	$2.4010^{-2}$	Scatt. optical depth p	$3.3610^{-3}$	$3.5910^{-2}$	
Scatt. Ångström coeff. s unc.	0.14	1.00	Pol. coeff. 0 win 2s unc.	$9.0610^{-5}$	$1.7210^{-4}$	
Surface roughness (m)	_	40.00	Pol. coeff. 1 win 6s	$-4.1910^{-5}$	$3.8510^{-3}$	
$\chi^2$	0.52	1.04	$\delta D$ unc. (per mille)	8.03	56.34	
Pol. coeff. 3 win 2p	_	$5.3510^{-3}$	Pol. coeff. 0 win 6p	$3.3410^{-2}$	0.36	
Scatt. Ångström coeff. p	0.17	-	Pol. coeff. 3 win 2p	-	$4.5610^{-3}$	
XCH <sub>4</sub> unc. (ppm)	_	$5.2710^{-3}$	Spectral squeeze win 2s	$-2.8910^{-3}$	$1.4110^{-3}$	
Pol. coeff. 1 win 4p	$-1.5610^{-2}$	$-4.8010^{-3}$	Scatt. Ångström coeff. s unc.	$8.6410^{-2}$	1.00	
Pol. coeff. 1 win 1s	-	$4.57  10^{-3}$	Pol. coeff. 1 win 2s	$1.7810^{-4}$	$1.1710^{-2}$	
Scatt. Ångström coeff. s	0.29	8.21	Pol. coeff. 0 win 5s unc.	$4.1910^{-5}$	$1.5310^{-4}$	
Pol. coeff. 3 win 2s	$-1.7210^{-3}$	$3.4110^{-3}$	Pol. coeff. 0 win 8p	$4.8810^{-2}$	0.28	

**Table A7.**  $XCH_4$  filter variables and limits for GOSAT-2. "–" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

Land			Water			
Variable	valid range		Variable	valid range		
	min.	max.		min.	max.	
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00	
$XH_2O$ unc. (ppm)	2.84	13.70	XCO <sub>2</sub> noise unc. (ppm)	_	1.84	
$\chi^2$	0.49	1.17	Pol. coeff. 0 win 5s unc.	_	$3.3510^{-4}$	
$\rm XH_2O$ noise unc. (ppm)	-	16.64	Pol. coeff. 0 win 8p	$3.3210^{-2}$	_	
Pol. coeff. 0 win 4p unc.	-	$1.03  10^{-3}$	Pol. coeff. 0 win 4s unc.	-	$5.9610^{-4}$	
Pol. coeff. 0 win 3s unc.	$5.9710^{-5}$	$3.5510^{-4}$	XH <sub>2</sub> O noise unc. (ppm)	_	39.77	
Pol. coeff. 0 win 4s unc.	$4.5310^{-5}$	$2.4910^{-4}$	Pol. coeff. 2 win 6s	$-3.2610^{-4}$	$3.7810^{-3}$	
Spectral shift win 5s	$-6.6410^{-2}$	-	Scatt. Ångström coeff. s unc.	$3.3310^{-2}$	1.00	
Spectral shift win 1p	-0.14	-	Pol. coeff. 1 win 2s	$-9.5110^{-4}$	$3.2010^{-2}$	
Pol. coeff. 1 win 2s	$-5.63  10^{-3}$	-				
Spectral squeeze win 8p	_	$1.1210^{-3}$				

**Table A8.**  $XCH_4$  Proxy filter variables and limits for GOSAT-2. "-" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

**Table A9.** XH<sub>2</sub>O filter variables and limits for GOSAT-2. "–" means that no limit is applied. <u>The variables are ordered by their relevance</u>, i.e. by the number of data filtered out.

Land			Water			
Variable	valid range		Variable	valid range		
	min.	max.		min.	max.	
$\delta D$ unc. (per mille)	22.17	_	$\delta D$ unc. (per mille)	16.47	_	
Pol. coeff. 1 win 7p unc.	$1.1810^{-4}$	_	XH <sub>2</sub> O noise unc. (ppm)	_	33.31	
$\chi^2$	0.78	_	Pol. coeff. 0 win 3s unc.	$8.8410^{-5}$	_	
Pol. coeff. 0 win 4s unc.	$6.8610^{-5}$	_	Pol. coeff. 2 win 6p unc.	$4.6610^{-5}$	-	
Surface roughness (m)	-	177.00	XCH <sub>4</sub> smoothing unc. (ppm)	$7.5210^{-4}$	$3.7010^{-2}$	
Pol. coeff. 0 win 2s unc.	$9.8910^{-5}$	_	Scatt. Ångström coeff. s	0.71	9.62	

**Table A10.**  $\delta D$  filter variables and limits for GOSAT-2. "-" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

Land			Water			
Variable	valid range		Variable	valid range		
	min.	max.		min.	max.	
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00	
Scatt. optical depth p	$7.7010^{-3}$	-	Scatt. optical depth p	$1.6010^{-2}$	$7.64  10^{-2}$	
$\delta D$ unc. (per mille)	-	30.24	Scatt. optical depth s	$8.8110^{-3}$	$5.1410^{-2}$	
XH <sub>2</sub> O noise unc. (ppm)	6.58	52.74	$\delta D$ unc. (per mille)	-	27.86	
XH <sub>2</sub> O unc. (ppm)	7.12	53.71	XH <sub>2</sub> O noise unc. (ppm)	6.78	125.86	
SIF factor unc.	0.34	1.03	Pol. coeff. 3 win 2p	$-6.47  10^{-3}$	$1.57  10^{-3}$	
Spectral squeeze win 2s unc.	$3.0010^{-4}$	$5.4210^{-4}$	Pol. coeff. 1 win 2s unc.	$8.9710^{-5}$	$3.3810^{-4}$	
Pol. coeff. 1 win 6s	$-4.0110^{-3}$	$3.76  10^{-3}$				

**Table A11.** XCO filter variables and limits for GOSAT-2. "–" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.

Land			Water			
Variable	valid range		Variable	valid range		
	min.	max.		min.	max.	
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00	
Scatt. Ångström coeff. s unc.	$5.4510^{-2}$	_	XCO unc. (ppm)	_	$8.6010^{-3}$	
Pol. coeff. 1 win 5s	$-1.2710^{-2}$	$2.1910^{-3}$	Pol. coeff. 1 win 2s	$7.5710^{-4}$	$3.5010^{-2}$	
Pol. coeff. 2 win 5s	$-1.0610^{-3}$	-	XH <sub>2</sub> O noise unc. (ppm)	-	22.72	
Scatt. Ångström coeff. p unc.	$6.1310^{-2}$	-	Pol. coeff. 0 win 7s unc.	$5.4010^{-5}$	_	
Pol. coeff. 1 win 2s	$-5.8010^{-3}$	-	Scatt. height s unc.	$4.9910^{-3}$	_	
XCH <sub>4</sub> smoothing unc. (ppm)	$7.9910^{-4}$	_	Pol. coeff. 2 win 7s unc.	$1.4110^{-4}$	_	
XCO unc. (ppm)	_	$9.6210^{-3}$	Scatt. Ångström coeff. s unc.	$3.7610^{-2}$	_	

Land			Water			
Variable	valid range		Variable	valid range		
	min.	max.		min.	max.	
Solar zenith angle (deg)	0.00	75.00	Solar zenith angle (deg)	0.00	75.00	
Scatt. optical depth s	-	$1.7410^{-2}$	Scatt. optical depth s	-	$2.4310^{-2}$	
Scatt. optical depth p	_	0.11	Scatt. optical depth p	-	0.11	
Spectral squeeze win 6s unc.	_	$1.7410^{-4}$	Pol. coeff. 0 win 4s	0.11	_	
Spectral squeeze win 7s unc.	_	$4.2410^{-4}$	Spectral squeeze win 3p unc.	-	$9.8110^{-4}$	
Spectral shift win 7p unc.	_	$5.6310^{-4}$	Spectral shift win 2s unc.	-	$6.7710^{-4}$	
Spectral squeeze win 7p unc.	-	$4.1610^{-4}$	Pol. coeff. 0 win 8s	$3.7110^{-2}$	_	
Spectral shift win 8s unc.	$3.4610^{-4}$	$4.6810^{-4}$	N <sub>2</sub> O unc. (ppm)	$4.3410^{-3}$	$7.8810^{-3}$	
Pol. coeff. 1 win 1s	_	$4.57  10^{-3}$	XCO <sub>2</sub> unc. (ppm)	_	4.23	
N <sub>2</sub> O unc. (ppm)	$3.9010^{-3}$	$9.05  10^{-3}$	Pol. coeff. 0 win 6s	0.11	_	
Scatt. Ångström coeff. s unc.	$9.3210^{-2}$	_	$\delta D$ unc. (per mille)	_	55.78	
Spectral shift win 7s unc.	_	$7.1110^{-4}$	Pol. coeff. 2 win 2p unc.	$1.0810^{-4}$	$3.2410^{-4}$	
XCO unc. (ppm)	$2.03  10^{-3}$	$6.2510^{-3}$	Pol. coeff. 1 win 8s	$2.1510^{-3}$	-	

**Table A12.** XN<sub>2</sub>O filter variables and limits for GOSAT-2. "–" means that no limit is applied. Except for the solar zenith angle limits, the variables are ordered by their relevance, i.e. by the number of data filtered out.