# 1 Development and Testing of a Novel Sulfur Dioxide Sonde

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14 Abstract. A novel technique has been developed to measure sulfur dioxide (SO<sub>2</sub>) using a modification of the existing 15 electrochemical concentration cell (ECC) ozonesonde technology. The current sonde-based method to measure SO<sub>2</sub> (i.e., the 16 dual-sonde approach) involves launching two ozonesondes together with one of the sondes having a filter to remove SO<sub>2</sub> at 17 the inlet. The SO<sub>2</sub> profile is determined by taking the difference between the measurements from the two instruments. The dual-sonde method works well in typical tropospheric conditions when  $[O_3] > [SO_2]$  but saturates when  $[SO_2] > [O_3]$  and has 18 19 large uncertainties in the upper troposphere/lower stratosphere that would limit its effectiveness in measuring SO<sub>2</sub> from an 20 explosive volcanic eruption. Due to these limitations, several modifications were made to create a single-sonde system that 21 would directly measure SO<sub>2</sub> (i.e., the SO<sub>2</sub> sonde). These modifications included (1) a positively biased ECC current, (2) the 22 addition of an O<sub>3</sub> removal filter, and (3) the addition of a sample dryer. The SO<sub>2</sub> sonde measures SO<sub>2</sub> as a reduction in the cell current. There was a strong correlation ( $r^2 > 0.94$ ) between the SO<sub>2</sub> sonde and a Thermo 43c analyzer during controlled 23 24 laboratory tests and pre-flight tests. Varying humidity levels affected the SO<sub>2</sub> sonde's sensitivity (avg =  $84.6 \pm 31.7$  ppbv/µA, 25  $1\sigma$  RSD = 37%) during initial field tests, which was resolved by adding a sample dryer upstream of the O<sub>3</sub> removal filter and 26 pump inlet. This modification significantly reduced the variability and increased the sensitivity of the SO<sub>2</sub> measurements (avg 27 =  $47 \pm 5.8$  ppbv/ $\mu$ A,  $1\sigma$  RSD = 12%). Field tests included measurements near Kīlauea Volcano (before and during the 2018) 28 eruption of the Lower East Rift Zone), Costa Rica's Turrialba Volcano, and anthropogenic plumes from the Athabasca Oil 29 Sands region of Alberta, Canada. This single SO<sub>2</sub> sonde system is an effective, inexpensive instrument for measuring both 30 ground-based and vertical profiles of SO<sub>2</sub> from anthropogenic and natural sources (i.e., volcanic eruptions) over a wide range 31 of concentrations.

#### 32 **1 Introduction**

33 Sulfur dioxide (SO<sub>2</sub>) emissions result from anthropogenic activities, such as power generation and crude oil refining processes, 34 and natural sources, such as volcanoes. In gas form, SO<sub>2</sub> acts as a respiratory irritant leading to complications with asthma and 35 cardiovascular conditions (Chen et al., 2007; Sunyer et al., 2003; Tzortziou et al., 2015, 2018). Gaseous SO<sub>2</sub> can be converted 36 to sulfate aerosols (Zhang et al., 2015), which are highly scattering, reduce visibility, and can have a cooling effect on the 37 surface climate when injected into the stratosphere (Kiehl and Briegleb, 1993; Schmidt et al., 2010). SO<sub>2</sub> acidifies rain, 38 accelerating damage of infrastructure and vegetation, particularly near SO<sub>2</sub> sources such as volcanoes (Delmelle et al., 2002; 39 Krug and Frink, 1983; Tortini et al., 2017). Due to these various climate, environmental, and human health-related impacts, 40 anthropogenic SO<sub>2</sub> has been heavily monitored (Shannon, 1999; Zhang and Schreifels, 2011), and regulations have been 41 enacted to reduce these emissions (EPA, 2000).

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The largest natural sources of  $SO_2$  are volcanoes. The eruption of Mt. Pinatubo in the Philippines in June 1991 had global climatic effects and significant impacts on the tropospheric and lower stratospheric composition (Bluth et al., 1992; Parker et al., 1996). Apart from such catastrophic eruptions,  $SO_2$  can be continually emitted from volcanoes.  $SO_2$  plumes from over 90 46 volcanoes have been reliably detected by satellites, resulting in the injection of an estimated  $23 \pm 2$  Tg yr<sup>-1</sup> of SO<sub>2</sub> into the 47 atmosphere (Carn et al., 2017). However, unlike anthropogenic sources of SO<sub>2</sub>, most volcanoes lack routine ground monitoring 48 (Galle et al., 2010; Pieri et al., 2013) and few opportunities exist for routine validation of satellite retrievals of SO<sub>2</sub> with *in situ* 49 measurements. Small unmanned aerial vehicle (UAV) platforms can measure volcanic plumes at altitudes of 2 km above the take-off altitude (Galle et al., 2010; Diaz et al., 2015) while larger UAVs can measure stratospheric plumes (e.g., Global 50 51 Hawk). However, the lack and difficulty of monitoring and the possibility of another stratospheric injection of SO<sub>2</sub> motivated 52 the development of an inexpensive but reliable balloon-borne instrument that could be deployed quickly after an eruption to validate satellite observations with in situ measurements. 53

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55 Radiosondes and ozonesondes have been widely used for measurements of various atmospheric parameters (e.g., temperature, 56 air pressure, relative humidity [RH], and wind speed and direction, and O<sub>3</sub> concentrations). Electrochemical concentration cell 57 (ECC) ozonesondes produce vertical O<sub>3</sub> profiles and allow for the validation of satellite based O<sub>3</sub> vertical column density 58 (VCD). A schematic of the ECC is included in Figure S1. The current sonde-based method for measuring SO<sub>2</sub>, the dual-sonde 59 method, uses two En-Sci (Environmental Science Inc., Westminster, CO) ECC ozonesondes in tandem (Morris et al., 2010). 60 For the dual-sonde method, an SO<sub>2</sub> removal filter is placed at the pump inlet of one of the ozonesondes, scrubbing SO<sub>2</sub> from 61 the sampled air before it enters the ECC. The other sonde samples unfiltered air (i.e., air containing both  $SO_2$  and  $O_3$ ). Due to 62 the chemical reactions in the cathode cell, the filtered sonde measures O<sub>3</sub>, while the unfiltered sonde measures the difference between  $O_3$  and  $SO_2([O_3] - [SO_2])$  since  $SO_2$  has an equal (relative to  $O_3$ ) but negative signal in the ECC (Morris et al., 2010). 63 64 The  $SO_2$  concentrations are then determined from the difference between the two sonde measurements. This method works 65 well in the troposphere when the SO<sub>2</sub> concentration is less than the  $O_3$  concentration, but not as well in intense plumes, such 66 as those found in eruptive volcanic environments. When the  $SO_2$  concentration exceeds the  $O_3$  concentration, the cell current 67 in the unfiltered sonde becomes zero. The excess  $SO_2$  saturates the dual-sonde and distorts the calculated  $SO_2$  profile. Additionally, in the stratosphere, where the O<sub>3</sub> signal grows much larger than in the troposphere, the combined uncertainty of 68 69 the measurements of the filtered and unfiltered sondes results in a large lower limit of detection (LLOD), on the order of tens 70 of ppbv. Thus, a field deployment of the dual-sonde method more than a few days after an explosive, tropical volcanic eruption 71 such as Mt. Pinatubo would result in little useful data in the critical upper troposphere/lower stratosphere region.

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This study reports on the development of a single instrument capable of *in situ* SO<sub>2</sub> measurements in the presence or absence of O<sub>3</sub>. This sonde can measure SO<sub>2</sub> at much greater concentrations than O<sub>3</sub> without saturating the system and can be configured for a sub-ppbv LLOD (calculated using  $3\sigma$ ) at sea level. Since O<sub>3</sub> is removed from the sample stream, this SO<sub>2</sub> sonde avoids the compounded uncertainties of the dual-sonde method. Field deployments of the SO<sub>2</sub> sonde include sampling of volcanic emissions from Kīlauea on the Big Island of Hawai'i, U.S., Turrialba Volcano in Costa Rica, and the emissions from petroleum extraction and processing at the Athabasca Oil Sands, Canada. Results from these field tests, covering a wide range of SO<sub>2</sub> 79 concentrations from both natural and anthropogenic emission sources, are described below. The SO<sub>2</sub> sonde has been used for

80 tethered and free-release balloons but can also be adapted for UAV platforms.

#### 81 **2 Instrumentation**

## 82 **2.1 Ozonesondes**

The standard and modified ECC En-Sci ozonesondes were used for the  $O_3$  and  $SO_2$  sonde measurements in this study. The basic functioning of the ECC ozonesonde is described in Komhyr (1969) and Morris et al. (2010). The ECC sensor is composed of platinum cathode and anode electrodes, each in its own cell, immersed in a diluted and saturated solution of potassium iodide (KI), respectively. The cells are connected by an ion bridge allowing for the transfer of electrical charges while maintaining the separation of the solutions (Eq. 1 and 2). When the cells are charged with the solution, a transient potential difference is generated that is dissipated through the redistribution of charge across the ion bridge. The following equilibria are established from these reactions:

90 
$$3I^- \rightleftharpoons I_3^- + 2e^- \text{ (anode)}$$
 (1)

91 
$$I_2 \rightleftharpoons 2e^- \to 2I^- \text{ (cathode)}$$
 (2)

92

Sampled air is pumped into the cathode cell, and the presence of  $O_3$  initiates a reaction (Eq. 3) that causes an imbalance in favor of  $[I_2]$  in the cathode solution.

$$95 \quad 2KI + O_3 + H_2O \to 2KOH + I_2 + O_2 \tag{3}$$

To rebalance the electrochemical potential of the cell, the iodine/iodide redox reactions in Ep. 4 and 5 result in a flow of electrons from the anode to the cathode via the ion bridge. This cell current, measured by an external ammeter, is proportional to the O<sub>3</sub> concentration.

$$99 \quad 3I^- \to I_3^- + 2e^- \text{ (anode)} \tag{4}$$

$$100 \quad I_2 + 2e^- \to 2I^- \text{ (cathode)} \tag{5}$$

When  $SO_2$  is present in the sample air, an additional reaction (Eq. 6) occurs in the cathode cell of the ECC, supplying the two electrons needed to rebalance the cathode cell after the  $O_3$  reaction (Eq. 3) (Komhyr, 1969; Morris et al., 2010).

$$103 \quad SO_2 + 2H_2O \to SO_4^{2-} + 4H^+ + 2e^- \tag{6}$$

Thus, each SO<sub>2</sub> molecule in the sampled air has the effect of cancelling the measurement of one O<sub>3</sub> molecule. In effect, the standard ECC ozonesonde reports  $[O_3]$  -  $[SO_2]$  for its measurement. In most places and at most times,  $[SO_2] \ll [O_3]$ , so there 106 is not a significant impact on the O3 measurements, but in places downwind of SO2 sources (e.g., coal-burning power plants

107 or volcanos), the O<sub>3</sub> measurement will be negatively impacted.

#### 108 2.2 Instrumentation

109 Several SO<sub>2</sub> and O<sub>3</sub> instruments were used for validation of the SO<sub>2</sub> sonde during laboratory and field testing. A calibration 110 system was used to produce controlled concentrations of  $SO_2$  and  $O_3$ . The calibration system relied on the operation of flow controllers or restrictors, an SO<sub>2</sub> ultra-high purity (UHP) gas cylinder (4.87 ppm; Scott-Marrin, Inc., Riverside, CA) and/or a 111 112 U.V. Photometric O<sub>3</sub> calibrator (49C PS; Thermo Fisher Scientific, Franklin, MA), and zero air to produce desired pre-set 113 concentrations of SO<sub>2</sub> and/or O<sub>3</sub>. The zero-air setup used for the field and laboratory testing was achieved using a dry zero air 114 UHP gas cylinder or else generated by scrubbing ambient air through activated charcoal and Purafil SP (Purafil, Inc., Doraville, 115 GA) canisters. The Thermo 43i-TL SO<sub>2</sub> analyzer (LLOD: 60-90 pptv at 5 min averaging) and the 49i O<sub>3</sub> analyzer (LLOD: 1.5 116 ppbv at 5 min averaging) were also used during laboratory testing, while a Thermo 43c-TL SO<sub>2</sub> analyzer was used during field 117 testing in Hawai'i. These instruments were set to report 10 s average measurements.

## 118 **3 Single-sonde SO<sub>2</sub> System and Laboratory Testing**

#### 119 **3.1 SO<sub>2</sub> sonde system description**

120 The single-sonde SO<sub>2</sub> system included three major modifications to the En-Sci ECC ozonesonde: (1) the application of a 121 positively biased current to the cathode cell, (2) the addition of an  $O_3$  removal filter, and (3) a sample dryer (Fig. S1). The first 122 version of the SO<sub>2</sub> system (SO<sub>2</sub> sonde v1.0) included the first two modifications: the bias current and an O<sub>3</sub> removal filter. The 123 bias current sets the upper limit of detection (ULOD) for the SO<sub>2</sub> sonde and is set prior to measurement. The O<sub>3</sub> removal filter 124 is placed in line with the inlet allowing  $O_3$ -free air to be sampled in the SO<sub>2</sub> sonde. In the ECC,  $O_3$  produces a positive response 125 signal while  $SO_2$  produces a negative signal when sufficient  $O_3$  is present (i.e., positive signal). With these two modifications, 126 SO<sub>2</sub> can be measured directly as the reduction of the cell current from the pre-set biased current (Flynn and Morris, 2021). 127 Unlike the dual-sonde system, this approach allows for direct SO<sub>2</sub> measurements rather than an inference by subtraction of 128 signals from two separate instruments. A sample dryer was added to the  $SO_2$  sonde in the second version (v1.1) to combat 129 humidity issues discovered after initial field tests. The addition of the dryer corrected the highly varying instrument sensitivity 130 observed in the field. All components of the SO<sub>2</sub> sonde fit within a standard ozonesonde foam box (approximately 8" x 8" x 131 10") except for the inlet filter. The free-release balloon payload's total mass is approximately 1 kg. The patent publication and 132 Fig. S1 provides a detailed description and schematic of the SO<sub>2</sub> sonde (Flynn and Morris, 2021).

## 134 **3.2 Testing of the bias current**

135 The bias current is supplied by inserting into the cathode cell an additional platinum electrode powered by a 9V battery (Fig. 136 S1) (Flynn and Morris, 2021). To maintain consistent power, the circuit uses a 5V regulator. Varying the resistance allows for 137 a range of bias currents to be introduced. The current version of the SO<sub>2</sub> sonde uses a fixed resistor which requires a priori 138 knowledge of the desired SO<sub>2</sub> concentration range. The desired resistor is installed in series with the battery and the electrode. 139 An earlier laboratory test compared the SO<sub>2</sub> sonde measurements (initially configured without an  $O_3$  removal filter) to those 140 made by a 43*i*-TL SO<sub>2</sub> analyzer (Fig. 1, Table 1). O<sub>3</sub> and SO<sub>2</sub> gases were introduced using the laboratory calibration setup and 141 a manifold to allow the sonde and the Thermo trace gas instruments to sample the same air. Results in Fig. 1 show 60 s averaged 142 data. The test included (A) input of  $O_3$  without an added bias current; (B) the same input of  $O_3$  with the addition of a bias 143 current (equivalent to approximately 90 ppbv of  $O_3$ ); and the addition of  $SO_2$  to the  $O_3$  with the enhanced bias signal where 144 the SO<sub>2</sub> concentration was either (C) smaller or (D and E) larger than the O<sub>3</sub> concentration. During (A), measurements made 145 by O<sub>3</sub> and SO<sub>2</sub> sondes compare well to measurements made by the Thermo instruments (Fig.1, Table 1). The test included (E) the response of the  $SO_2$  sonde to a reduction of the  $O_3$  concentration resulting in an equivalent decrease in signal, followed by 146 147 (G-I) a reduction in the SO<sub>2</sub> concentration resulting in an equivalent increase in signal. At (F), the SO<sub>2</sub> concentration exceeded 148 the bias current (90 ppby), producing a signal equivalent to  $2.9 \pm 0.1$  ppby. The sonde successfully measured SO<sub>2</sub> both with 149 and without O<sub>3</sub> with approximately 97% efficiency.

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151 Examination of the SO<sub>2</sub> sound data showed that noise was proportional to the measured signal, with  $1-\sigma$  noise at approximately 0.2 - 0.3% of the measured signal. Because increases in the SO<sub>2</sub> concentrations result in decreases in the signal (i.e., lower 152 153 cell currents), the magnitude of the applied bias current determines the saturation point (i.e., ULOD) of the SO<sub>2</sub> sonde; 154 saturation occurs when the measured cell current drops to zero. Applying a higher bias current increases the ULOD but also 155 increases noise and the LLOD. The reported LLODs of bias currents are calculated as  $3\sigma$  relative to the baseline signal when 156 sampling zero air. During laboratory testing, the LLOD ( $3\sigma$ ) was calculated for a range of applied bias currents (0.25 to 10.0 157  $\mu$ A). The LLOD for the varying bias current of 0.25 to 10.0  $\mu$ A ranged from approximately 0.002 to 0.084  $\mu$ A, respectively. 158 Results of calculated LLOD of a 0.25 µA bias current at varying replicated altitudes is included in Table S1. At the surface, 159 the LLOD of 20s averaged measurements is 0.17 ppby. The final version of the SO<sub>2</sub> sonde (v1.1) requires the bias current to 160 be selected prior to measurement. If the bias current is set too low, a measurement of larger than expected SO<sub>2</sub> concentrations 161 can saturate the sensor while a bias current that is set too high will have higher LLOD due to the increase in noise. The applied 162 magnitude of the bias current can be best determined based on known SO<sub>2</sub> sources including volcanic emissions, urban and/or 163 industrial emissions.

## 164 **3.3 Testing of O<sub>3</sub> removal filter**

165 Since the ECC responds to both  $O_3$  and  $SO_2$ , an  $O_3$  removal filter was developed to remove interference from  $O_3$  in the sample. 166 This proprietary O<sub>3</sub> removal filter is placed upstream of the sonde inlet (Flynn and Morris, 2021). During laboratory testing, 167 the O<sub>3</sub> removal filter was exposed to a continual concentration of  $487 \pm 3$  ppbv of O<sub>3</sub> and a varying concentration of SO<sub>2</sub> 168 ranging from 0 to  $111 \pm 1$  ppbv (Fig. 2). The O<sub>3</sub> was effectively and consistently removed from the sampled air by the O<sub>3</sub> 169 removal filter as SO<sub>2</sub> was diluted. The testing included measurements with (gray background) and without (white background) 170 the O<sub>3</sub> removal filter. The SO<sub>2</sub> and O<sub>3</sub> concentrations measured by the Thermo 43*i*-TL and 49*i* instruments, respectively, and changes in SO<sub>2</sub> dilution levels are also indicated in Fig. 2. The O<sub>3</sub> removal filter destroyed the O<sub>3</sub> at all SO<sub>2</sub> dilution levels to 171 172 below the detection limit of the O<sub>3</sub> instrument. By comparing the Thermo 43*i*-TL SO<sub>2</sub> analyzer measurements with and without 173 the O<sub>3</sub> removal filter, SO<sub>2</sub> passed through the filter with 88% efficiency (Fig. 3a). The transmission efficiency was calculated 174 by taking the ratio of SO<sub>2</sub> measured by the sonde to that measured by the analyzer. The SO<sub>2</sub> transmission efficiency increased 175 to 97% when testing the O<sub>3</sub> removal filter with the dry zero air UHP gas cylinder (Fig. 3b) instead of the zero-air generator 176 that processes ambient laboratory air (Fig. 3a). Additional testing of the O<sub>3</sub> removal filter demonstrated that the filter removed 177 approximately 1 ppm of  $O_3$  at sea level with > 99.9% in  $O_3$  removal efficiency, concentrations below the detection limit of the 178 Thermo 49*i* O<sub>3</sub> monitor.

179 **3.4 Sample Dryer** 

180 The SO<sub>2</sub> sonde v1.0 had highly varying sensitivities during the initial field tests. The instrument sensitivity was determined by 181 regression analysis of the sonde's cell current to the SO<sub>2</sub> concentration measured by an SO<sub>2</sub> analyzer. The variability in the 182 sensitivities was hypothesized to be due to differing levels of humidity during each SO<sub>2</sub> sonde launch. SO<sub>2</sub> is soluble in water 183 and through multiphase reactions can be oxidized to sulfuric acid in the atmosphere in the presence of water vapor (e.g., 184 precipitation, clouds, fog, etc.) (Carmichael and Peters, 1979; Zhang et al., 2013; Terraglio and Manganelli, 1967). Factors 185 including liquid water content, aerosol composition, aerosol loading, and pH of the water are important in determining the 186 adsorption and oxidation rates of SO<sub>2</sub> (Liu et al., 2021). When air with elevated humidity is flowing through a filter, SO<sub>2</sub> gas 187 is likely adsorbing on the filter causing lower  $SO_2$  transmission efficiency due to the potential uptake of  $SO_2$  in water on the 188 filter. Several laboratory tests confirmed the need to remove water from the sample upstream of the O<sub>3</sub> removal filter to improve 189 the measurement of SO<sub>2</sub>. A desiccant membrane dryer (Perma Pure LLC, Lakewood, NJ) composed of a Nafion<sup>TM</sup> tube in 190 silica gel desiccant was placed in-line upstream of the  $O_3$  removal filter. This sample dryer is lightweight, relatively 191 inexpensive, and does not require power.

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Laboratory tests included exposing the SO<sub>2</sub> sonde, with and without a sample dryer, to controlled levels of humidity and SO<sub>2</sub>.
Without removing water vapor, the SO<sub>2</sub> transmission efficiency decreases as humidity increases, particularly above 50% RH
(Fig. 6). As the O<sub>3</sub> removal filter is humidified, the SO<sub>2</sub> transmission efficiency decreases. With the sample dryer in place,

each of the laboratory SO<sub>2</sub> transmission efficiency (May 17-18 and 21, 2018) tests varied by an average of <1% across a range of 0-85% RH (Fig. 6).

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199 The dryer's useful lifetime was determined by continuously exposing it to high humidity (> 95% RH at approximately 23 °C) sample stream. The downstream RH climbed from 5% to 16% after 2.3 h and to 25% after 6.3 h. At these downstream RH 200 levels, the SO<sub>2</sub> transmission efficiency remained above 95%. A typical SO<sub>2</sub> sonde's measurement time per flight, including 201 202 pre-flight calibration, is approximately three hours. The dryer's useful lifetime is likely much longer than required for a balloon 203 flight since exposure to 95% RH conditions for several hours is highly unusual outside of hurricanes and tropical systems. SO<sub>2</sub> 204 sonde and Thermo 43*c*-TL measurements were strongly correlated ( $r^2 = 0.99$ ) during a multipoint calibration conducted using 205 the O<sub>3</sub> removal filter and the dryer under relatively high humidity levels. During that calibration, the SO<sub>2</sub> sonde's sensitivity 206 was  $45.43 \pm 0.17$  ppbv/µA. By comparison, the average sensitivity during the initial Hawaii deployment was  $84.6 \pm 31.7$ 207 ppbv/uA across 10 sondes. The sample dryer, therefore, improved both the sensitivity and stability of the measurements 208 observed. The addition of the sample dryer is necessary for providing accurate ambient SO<sub>2</sub> measurements.

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## 210 4. Field Deployments with SO<sub>2</sub> sonde v1.0

211 The SO<sub>2</sub> sonde v1.0, single-SO<sub>2</sub> sonde without the sample dryer, was deployed and tested in Hawai'i and Costa Rica (Fig. S2). 212 The field sites were close to active volcanoes, which are significant sources of natural SO<sub>2</sub> (Tang et al., 2020; Carn et al., 213 2017). In Hawai'i, field measurements were made near Kīlauea Volcano on the south-eastern shore of Island of Hawai'i, the 214 largest of Hawai'i's islands. Kīlauea is the voungest volcano on the island and one of Earth's most active volcanoes (Kern et 215 al., 2015; Nadeau et al., 2015). Kīlauea had been in a state of eruption since 1983 (Patrick et al., 2019) with an average SO<sub>2</sub> 216 release rate of approximately 5,500 T/d measured during 2014 – 2017 (Elias et al., 2018). In Costa Rica, field measurements 217 were made near Turrialba Volcano, one of the most active volcanoes in the Central American Volcanic Arc. Studies of 218 emissions from Turrialba prior to 2013 reported SO<sub>2</sub> release rates of up to 4,000 T/d (de Moor et al., 2016; Xi et al., 2016). 219 The activity of Turrialba increased after 2014, raising concerns for air quality and environmental health (de Moor et al., 2016; 220 Tortini et al., 2017).

## 221 4.1 Kīlauea, Hawai'i - February 2018

The first deployment of the SO<sub>2</sub> sonde v1.0 was during NASA's HyspIRI HyTES Hawaii Campaign (H3C) from February 3-10, 2018, near Kīlauea Volcano. The instrument was tested in flights on free-release balloons and a tethered balloon system (TBS), and at ground level with measurements in Hawaii Volcanoes National Park (HVNP) downwind of Kīlauea's summit crater, Halema'uma'u. During the ground-level testing, an SO<sub>2</sub> sonde and a Thermo 43*c*-TL SO<sub>2</sub> analyzer's sample inlet were mounted on the top of a van for co-located sampling.

227 Figure 4a depicts the measurements taken during the first encounter with an SO<sub>2</sub> plume while driving through the HVNP on February 3, 2018. The strongly correlated SO<sub>2</sub> sonde and Thermo 43*c*-TL measurements ( $r^2 = 0.99$ ) reached upward of ~940 228 229 ppbv. The SO<sub>2</sub> sonde had a sensitivity of  $118.4 \pm 0.4$  ppbv/ $\mu$ A, determined by regression analysis of the sonde's cell current 230 with the Thermo 43*c*-TL concentrations (Fig. 4a). The SO<sub>2</sub> sonde sensitivity varied significantly during the field deployment. 231 During surface measurements on February 10, 2018, earlier zero-air calibrations measured a sensitivity of  $86.5 \pm 1.5$  ppby/uA, while measurements during an SO<sub>2</sub> plume event, with peak concentrations of up to 400 ppbv, found the SO<sub>2</sub> sonde's sensitivity 232 233 was  $73.9 \pm 0.6$  ppby/µA (Fig. 4b). Although the SO<sub>2</sub> sonde sensitivity varied significantly in ten subsequent calibrations (84.6  $\pm$  31.7 ppbv/ $\mu$ A), the measurements remained strongly correlated (range:  $r^2 = 0.94 - 0.99$ ). The variability in the sensitivity in 234 235 the field was due to changes in the ambient RH impacting the  $SO_2$  transmission efficiency of the  $O_3$  removal filter. This 236 hypothesis was confirmed by laboratory RH testing and discussed in Sect. 3.3 and 3.4.

## 4.2 Turrialba, Costa Rica (Dual-sonde versus SO<sub>2</sub> sonde comparison)

238 On March 23, 2018, a traditional SO<sub>2</sub> dual-sonde payload (Morris et al., 2010) as well as the SO<sub>2</sub> sonde v1.0 were launched 239 using a free-release balloon flight from the Universidad de Costa Rica's campus in San Jose (approximately 31 km downwind 240 of Turrialba Volcano). This flight provided the first direct in situ comparison of the two SO<sub>2</sub> sonde methods. Figure 5 shows 241 the response of the  $SO_2$  sonde v1.0 and the calculated  $SO_2$  dual-sonde profile. The dual-sonde  $SO_2$  method can only report 242 concentrations of  $SO_2$  up to a maximum of the concentration of  $O_3$  present. Furthermore, because the  $SO_2$  concentration is 243 determined by subtracting the signals from two instruments, its uncertainty is higher than the uncertainty of a measurement 244 from a single instrument. When  $[SO_2] > [O_3]$ , the dual sonde's unfiltered ozonesonde signal goes to zero, as happened for the 245 Turrialba sonde launch between 3-5 km (Fig. 5). The SO<sub>2</sub> saturates the cathode solution in the unfiltered sonde, not recovering 246 until enough ambient  $O_3$  has been processed to rebalance the cell, resulting in a distorted profile (Fig. 5). For this flight, the 247 SO<sub>2</sub> sonde was configured to its maximum range (ULOD of approximately 450 ppbv at standard pressure) and was able to 248 capture both the small plume below 2 km above mean sea level (AMSL) (approximately 18 ppbv) as well as the primary plume 249 between 3 - 4 km AMSL (approximately 230 ppby). The SO<sub>2</sub> sonde v1.0 was able to capture the full shape of the profile, 250 including the peak values and structure of the plume. The SO<sub>2</sub> sonde v1.0 reports the top of the plume around 4 km AMSL, 251 whereas the dual-sonde remains saturated until closer to 5 km AMSL. Thus, the dual-sonde SO<sub>2</sub> profiles, when saturated by 252 high concentrations of  $SO_2$ , erroneously appear to have a greater vertical extent. Further, the  $SO_2$  sonde v1.0 showed no 253 interference from O<sub>3</sub> at altitudes from the surface to 24.4 km AMSL, with O<sub>3</sub> concentrations in the stratospheric O<sub>3</sub> layer 254 reaching > 4 ppmv (not shown), demonstrating the effectiveness of the O<sub>3</sub> filter. The SO<sub>2</sub> VCD was 8.3 DU (Dobson Units, 1 255  $DU = 2.69 \times 10^{16}$  molecules cm<sup>-2</sup>) for the SO<sub>2</sub> sonde but was only 3.4 DU for the dual-sonde measurement. Thus, once saturated, 256 the dual-sonde method is likely to underestimate the SO<sub>2</sub> VCD.

#### 257 5 Field Deployments with SO<sub>2</sub> Sonde v 1.1

258 The updated  $SO_2$  sonde ( $SO_2$  sonde v1.1) with the dryer filter was deployed and tested near Ft. Mackay, Canada, and again in 259 Hawai'i in June 2018. Ft. Mackav is in the Alberta province of Canada and is home to the Athabasca Oil Sands, a large area 260 of bitumen and heavy crude oil surface deposits high in sulfur content. Local processing of these products (e.g., surface mining) 261 and resulting by-products (e.g., tailing ponds) can release significant amounts of SO<sub>2</sub> into the atmosphere (Bari et al., 2020; 262 McLinden et al., 2016; Simpson et al., 2010). A second field deployment to Hawai'i followed immediately after the deployment 263 to Canada. On May 3, 2018, Kīlauea Volcano on Hawai'i entered a new eruptive phase with an outbreak of a series of fissures 264 in the lower Puna area (Liu et al., 2021; Anderson et al., 2019; Gansecki et al., 2019; Patrick et al., 2020). The active phase 265 volcanic gas emissions resulted in localized evacuations in the Lower East Rift Zone (LERZ), destroying more than 700 homes 266 and displacing thousands of residents, and resulting in poor air quality for much of the southern and western portions of the 267 island (Tang et al., 2020). The eruption event entered a paused phase in early August, and was declared over on December 5. 268 2018 (Kern et al., 2020).

## 269 5.1 Athabasca Oil Sands, Canada

270 The SO<sub>2</sub> sonde v1.1 was tested in Ft. Mackay (57.1206° N, 111.4241° W), Alberta, in the Athabasca Oil Sands from June 10 271 - 16, 2018 (Fig. S2c). This field project, conducted in conjunction with Environment Canada and York University, evaluated 272 SO<sub>2</sub> emissions from industrial activities in and near the oil sands region using a combination of TBS and ground-based 273 measurements. The SO<sub>2</sub> sonde v1.1 was flown on the York TBS payload recording measurements from the ground to 300 m 274 above ground level (AGL; 650 m AMSL). This deployment provided a dilute anthropogenic plume to test the SO<sub>2</sub> sonde in a 275 high-sensitivity, low-range configuration. The average sensitivity of the SO<sub>2</sub> sonde v1.1 during the project was  $51 \pm 1.2$ 276 ppbv/ $\mu$ A. The SO<sub>2</sub> sonde was configured to sample in a range from ~0.5-25 ppbv of SO<sub>2</sub>. The TBS SO<sub>2</sub> sonde's vertical 277 profiles were averaged into 10 m altitude bins that measured SO<sub>2</sub> concentration ranges that are more representative of 278 anthropogenically-impacted SO<sub>2</sub> rather than large volcanic plumes (Fig. 7). This field deployment also demonstrated the 279 performance of the sonde at sub-ppbv levels of ambient SO<sub>2</sub>.

## 280 **5.2 Kīlauea, Hawai'i - June 2018**

In response to the larger eruption that started in May 2018, the SO<sub>2</sub> sonde v1.1 was deployed to Hawai'i for the NASA-funded Big Island SO<sub>2</sub> Survey (BISOS). The SO<sub>2</sub> sonde launches occurred from Kahuku Ranch (19.0549° N, 155.6934° W) and Na'alehu Elementary School (19.0610° N, 155.5788° W) approximately 90 km downwind of Kīlauea's LERZ (Fig. S2d). The site's distance from the source allowed the plume to disperse and dilute as compared with measurements at the vent. An SO<sub>2</sub> plume was detected during seven of the nine free-release balloon launches during the June 2018 BISOS campaign. The ten SO<sub>2</sub> sonde v1.1 calibrations performed during BISOS had an SO<sub>2</sub> sensitivity of 47.0 ± 5.8 ppbv/ $\mu$ A and were similar to the laboratory results (45.43 ± 0.17 ppbv/ $\mu$ A).

289 With the anticipated levels of SO<sub>2</sub>, the sondes were configured to sample in the range of 10-450 ppby of SO<sub>2</sub>. Figure 8 shows 290 four distinctive SO<sub>2</sub> profiles, and Table 2 includes the VCDs for each flight. No plumes above 5 km AMSL were detected. 291 All but one of the observed SO<sub>2</sub> plumes were below the capping inversion of the planetary boundary layer (PBL). On June 292 22 (Fig. 8a), the ascent profile shows SO<sub>2</sub> below 3 km AMSL peaking at nearly 100 ppby and additional features between 3-293 4 km AMSL peaking at 20-35 ppbv (Tang et al., 2020). The latter peaks were correlated with higher RH, perhaps the result 294 of steam from a vent or the ocean entry points having broken through the inversion. The early afternoon June 28 profile (Fig. 295 8b) shows the highest concentration (325 ppbv) for a resolved SO<sub>2</sub> plume during the BISOS campaign. Typical for the trade 296 winds, NOAA HYSPLIT trajectories (Stein et al., 2015) showed the winds were out of the NE, consistent with the plume's 297 transport from vents in the LERZ or the lava ocean entry points. Although the descent profile from a June 29 early afternoon 298 launch lost the signal at 0.58 km AMSL, Fig. 8c shows an SO<sub>2</sub> plume over the ocean with a peak concentration of 188 ppbv 299 at 0.74 km AMSL. HYSPLIT trajectories again showed the winds were out of the NE. Lastly, the SO<sub>2</sub> plume detected during 300 the ascent of the June 30 launch (Fig. 8d) exceeded the ULOD between 1-3 km AMSL for the SO<sub>2</sub> sonde configuration used. 301 The distorted SO<sub>2</sub> enhancement extending above the PBL as determined by the temperature inversion is most likely an 302 artifact of the saturated sonde, similar to what was seen in the dual-sonde profile from Costa Rica (Fig. 5). As the RH 303 remains low above the PBL, it is most likely that the SO<sub>2</sub> is contained entirely within the PBL.

#### **6. Conclusion and Future Work**

305 An innovative new method for measuring vertical profiles of SO<sub>2</sub> from TBS and free-release balloons was successfully tested 306 and demonstrated in controlled laboratory experiments and during four different field deployments covering SO<sub>2</sub> 307 concentrations ranging from 0.5-325 ppbv during flights and up to 940 ppbv during ground measurements. This new method 308 requires three major modifications to the standard ECC ozonesonde: the addition of a positive bias current in the cathode cell, 309 an O<sub>3</sub> removal filter, and a sample dryer. Relative to the previous dual-sonde method, the new method measures SO<sub>2</sub> using a 310 single-sonde system (i.e., the SO<sub>2</sub> sonde). The SO<sub>2</sub> sonde and Thermo 43c-TL measurements were strongly correlated during 311 laboratory ( $r^2 > 0.99$ ) and field-based ( $r^2 > 0.94$ ) comparisons. Initial field tests and subsequent laboratory testing of SO<sub>2</sub> sonde 312 v1.0 highlighted the need to dry the sample upstream of the O<sub>3</sub> removal filter to achieve consistent results. Follow-up field 313 measurements in the Athabasca Oil Sands and Hawai'i clearly demonstrated the improvement in the SO<sub>2</sub> sonde v1.1's 314 sensitivity and consistency ( $51 \pm 1.2$  and  $47 \pm 5.8$  ppbv/ $\mu$ A, respectively) as a result of drying the sample.

315

The SO<sub>2</sub> sonde v1.1 offers several advantages over the dual-sonde method, including the ability to measure  $[SO_2]$  independent of  $[O_3]$ , the capability of sub-ppbv detection limits, faster response and recuperation time when exposed to larger SO<sub>2</sub> plumes, and reduced uncertainty. The lighter weight of the payload requires a smaller balloon and less helium to lift, which may prove advantageous for deployment under some field conditions, particularly where helium supplies are limited. It's compactness 320 and weight can also make it a candidate for UAV campaigns. Field deployments revealed specific issues and areas for 321 improvement. The present design requires pre-setting the sonde's bias current prior to the launch. Thus, some *a priori* estimates 322 of the plume are required to determine the appropriate bias current so that the instrument can measure the full range of  $SO_2$ 323 concentrations present. In the current  $SO_2$  sonde v1.1, increasing the ULOD by applying a larger bias current also increases the LLOD. Further laboratory experiments are needed to identify the factors that cause the remaining observed variability in 324 325 the SO<sub>2</sub> transmission efficiency in the latest instrument version that includes the sample dryer. Much of the testing and 326 calibration completed to date assessed the complete SO<sub>2</sub> sonde system (i.e., sonde, filter, dryer). Building a database of the 327 various individual factors, including pump speeds and filter transmission efficiency, will help us to better characterize the 328 causes of sonde-to-sonde variability and allow future versions of the system to improve performance characteristics so that the 329 system can be made available for operational use. Additionally, future manuscripts topics include intercomparison studies of 330 the SO<sub>2</sub> sonde's vertical profile measurements with other column measurements (i.e., Pandora) and satellite measurements and 331 more in-depth analysis of the SO<sub>2</sub> sonde measurements at the various field deployments.

## 332 Data Availability

333 Data and code related to this article are available upon request to corresponding author.

#### 334 Author Contributions

- 335 Conceptualization by J.H.F. and G.M. Data curation by J.H.F., A.K., S.L.A., M.G.S., E.K., P.W., G.M., E.C., A.A., and J.A.D.
- Formal analysis by A.K., S.L.A., S.Y. and P.W. Funding acquisition by J.H.F. Investigation by A.K., S.L.A., M.G.S., and E.K.
- 337 Methodology by J.H.F. and G.M. Writing original draft preparation by S.Y. Writing review and editing by P.W. G.M.,
- 338 J.A.D. and J.H.F. Supervision by J.H.F.

339 **Conflict of Interest:** The authors declare that they have no conflict of interest.

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- 346 a roof and helping us with a launch. Also, we thank the two anonymous reviewers for helpful comments on the original draft
- of this manuscript.

| $\begin{array}{c} O_{3} \text{ Ther} \\ (ppbv) \\ \hline \mathbf{A} & 105 \pm 0 \\ \mathbf{B} & 105 \pm 0 \end{array}$ | (ppbv) $(pbv)$ $100 \pm 1.3$ | (ppbv)<br>6 0.0 ± 0.06 | SO <sub>2</sub> Sonde<br>(ppbv)<br>$96 \pm 1.3$<br>$188 \pm 2.3$ |
|--|------------------------------|------------------------|--|
|  |                              |                        |  |
| $\mathbf{B} \qquad 105 \pm 0$  | $101 \pm 0.4$                | $0.0\pm0.06$           | 100 + 2 2  |
|  |                              |                        | $100 \pm 2.3$  |
| $\mathbf{C}$ 103 ± 0   | $9.4 		99 \pm 0.4$           | $57\pm0.37$            | $135\pm1.0$  |
| $\mathbf{D} \qquad 105 \pm 0$  | $9.5 		97 \pm 0.6$           | $116\pm1.9$            | $78\pm1.0$   |
| E -  | -                            | -                      | -  |
| $\mathbf{F} \qquad 1.3 \pm 0$  | $-0.13 \pm 0.0$              | 08 116 ± 1.4           | $2.9\pm0.1$  |
| <b>G</b> $1.1 \pm 0$   | $-0.51 \pm 0.1$              | $1 58 \pm 0.7$         | $29\pm0.5$   |
| $\mathbf{H} \qquad 0.61 \pm 0$   | $0.39 \qquad 0.15 \pm 0.01$  | $3 		 24 \pm 0.8$      | $64\pm0.6$   |
| $I \qquad 0.31 \pm 0$  | $0.31 \qquad 0.64 \pm 0.2$   | 7 $0.25 \pm 0.22$      | $89\pm0.6$   |

Table 1: Averaged O<sub>3</sub> and SO<sub>2</sub> concentration measured by the SO<sub>2</sub> sonde version 1.0 and Thermo instruments during different stages of testing indicated in Fig. 1.

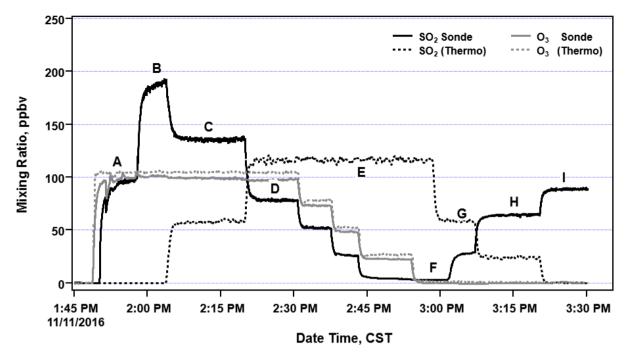
352

Table 2. The SO<sub>2</sub> vertical column density (VCD) for profiles shown in Fig. 8 from BISOS in June 2018. For profile c, the descent profile VCD is reported for the flight without extrapolation (shown without parentheses) and using linear extrapolation assuming the SO<sub>2</sub> concentration to be 0 ppbv at sea level (shown in parentheses).

| Profile           | Launch Time (UTC) | SO <sub>2</sub> VCD        |
|-------------------|-------------------|----------------------------|
| <b>a</b> (ascent) | 06/22/2018 00:32  | 8.6 DU                     |
| <b>b</b> (ascent) | 06/28/2018 20:45  | 12.5 DU                    |
| c (descent)       | 06/29/2018 21:36  | 6.2 (9.8 <sup>*</sup> ) DU |
| d (ascent)        | 06/30/2018 20:48  | 79.1 DU**                  |

\* VCD from extrapolated data

\*\* Saturation of SO<sub>2</sub> at altitudes of 1 to 3 km AMSL



356

Figure 1: Test of the SO<sub>2</sub> sonde v1.0 (without an O<sub>3</sub> removal filter) with an applied bias current responding to O<sub>3</sub> and SO<sub>2</sub>. See the text for further details.

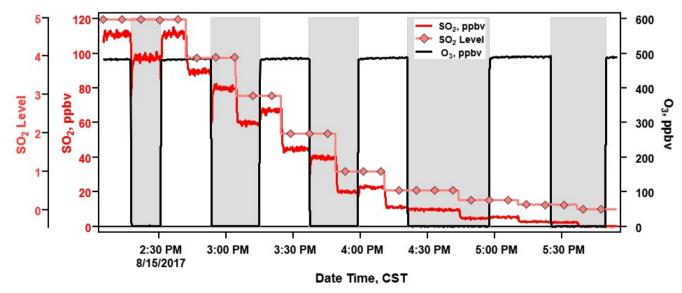
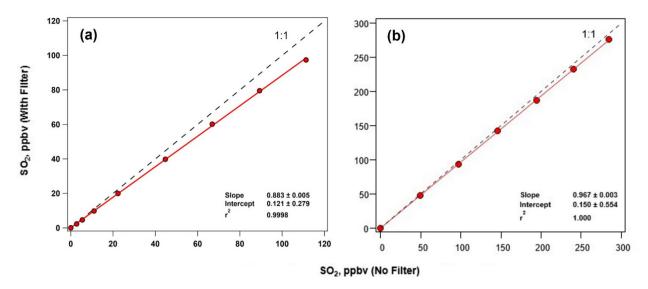
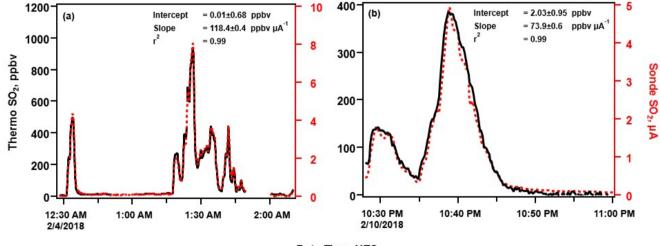


Figure 2: Time of series of a multipoint test of the O<sub>3</sub> filter removal efficiency and impact on SO<sub>2</sub> measurements taken by a Thermo 43*i*-TL SO<sub>2</sub> analyzer. Changes in SO<sub>2</sub> dilution levels are indicated by the pink lines (diamond markers).



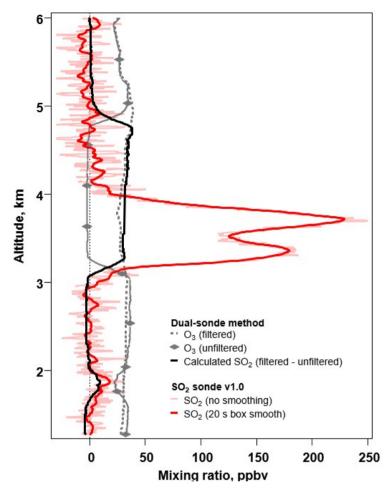
362

Figure 3: Response of Thermo 43*i*-TL SO<sub>2</sub> analyzer with (y-axis) and without (x-axis) an O<sub>3</sub> removal filter using a calibration system with (a) a processed zero air system and (b) a dry zero air gas cylinder.



Date Time, UTC

- Figure 4: SO<sub>2</sub> sonde v1.0 and Thermo Environmental SO<sub>2</sub> analyzer measurements at Kīlauea, Hawai'i during H3C for (a) initial SO<sub>2</sub> plume encounter on February 3, 2018, and (b) a pre-flight measurement on February 10, 2018, approximately 6 km downwind
- 368 SO<sub>2</sub> plume encounter on Febr369 of Kīlauea's summit crater.



370

Figure 5: The profiles of a triple-sonde payload, which consisted of a dual-sonde in tandem with an SO<sub>2</sub> sonde v1.0, launched from the Universidad de Costa Rica's campus in San Jose (approximately 31 km downwind of the volcano Turrialba) on March 23, 2018.

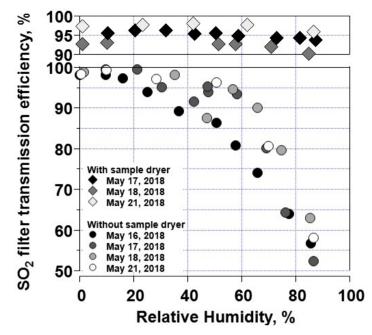
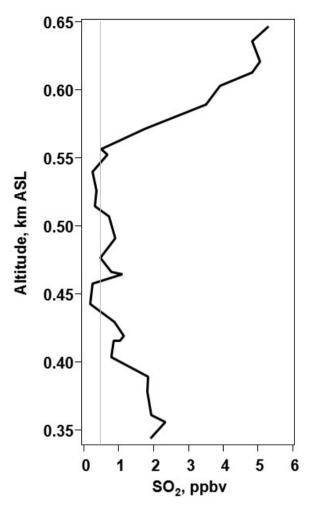
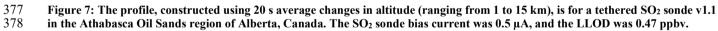
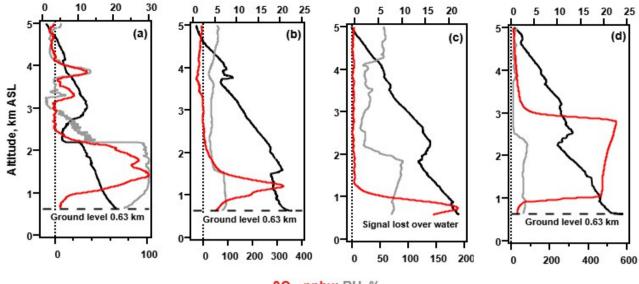


Figure 6: Tests of SO<sub>2</sub> transmission efficiency as a function of relative humidity without (circles) and with (diamonds) an upstream sample dryer.





# Ambient Tempterature, °C



379

SO<sub>2</sub>, ppbv; RH, %

380 Figure 8: Vertical profiles of SO<sub>2</sub> (20 s box smoothing) from the SO<sub>2</sub> sonde v1.1 during BISOS in June 2018 with free-release balloon

launches occurring at the Kahuku Ranch on the Big Island of Hawai'i. Profiles are from (a) 6/22/2018 00:32; (b) 6/28/2018 20:45; (c)
 6/29/2018 21:36; and (d) 6/30/2018 20:48. All times are UTC.

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