

First of all we would like to thank the reviewer for very detailed comments and useful suggestions, which helped us to improve the revised manuscript

The manuscript describes several case studies of lidar observations where fluorescence observations combined with lidar depolarization shows significantly different properties for pollen, smoke, dust and anthropogenic aerosol. I'm excited to see the potential of these new measurements, which give completely independent and orthogonal information about aerosol particles, at single bin resolutions, significantly increasing the information available for aerosol typing. The case studies are a nice selection of different types and mixtures and interesting to see.

The manuscript seems to suffer from an identity problem, however. Mostly it is an illustrative set of cases studies that demonstrate differences in the two-dimensional space of fluorescence capacity and particle depolarization. It includes nice analysis of some mixtures of types as well. However, the paper claims to be an algorithm description paper, and for that purpose, analysis of a few hand-selected case studies really isn't sufficient, and the mixture analysis doesn't exactly fit, because it is not part of the algorithm. Apparently in consequence of this uncertainty about the desired focus of the paper, some aspects of the paper seem superficial, or rather, inconsistent in depth. The inferences in the paper about the types seem very reasonable, but many are not backed up by any independent information or compared with other methods of classification, which should be done to demonstrate the validity of the new algorithm, particularly if this is the algorithm description paper. Also there's insufficient information about how the thresholds in the algorithm were chosen. In the analysis of the case studies, there should be a consistent effort to include complimentary information to validate the case identifications using other measurements (in situ or other lidar measurements that reveal type) and backtrajectories. And if a major focus of the paper is to showcase the performance of a new (and better) classification algorithm, then the results should be shown on a bulk of data in addition to the case studies, and comparisons with other classification methods should be made and discussed.

The goal of this manuscript is to demonstrate that the fluorescence – depolarization diagram allows to separate different types of aerosol and provides new independent information on aerosol type, which can be used in classification schemes. The reviewer is right, at current stage of research it is not appropriate to call it “algorithm”, so we escape this term in the revised manuscript.

In the revised manuscript we tried to follow the reviewer recommendations. We added a table, containing the particle intensive parameters for the cases considered (lidar ratios at 355 and 532 nm; depolarization ratios at 355, 532 and 1064 nm; and the backscattering and extinction Angstrom exponents). Another table provides the range of variation of particle intensive properties from different typing algorithms for the urban, smoke and dust particles. The table contains also the range of parameters variation for episodes from current study for the same aerosol types.

The back-trajectory analysis is included.

In Appendix we added four maps with SILAM pollen index, for the episodes where the presence of the pollen was revealed. We hope, that all this improved the manuscript.

Specific comments:

L24. "and their mixtures". The mixture analysis is an interesting part of the paper, and apparently new compared to the authors' other papers, but it appears it's not really part of the classification algorithm, in the sense that mixture analysis can only be done on a case-by-case basis. Any discussion about that? This could be clarified in the abstract. Also, the mixture analysis is not even mentioned in the introduction. Discussing it there would help to clarify the novel aspects of the paper.

The mixture analysis is an important but in the manuscript presented we just identify the main mixture components, based on the patterns in depolarization – fluorescence diagram. Quantification of the mixture composition is the next step in our research and corresponding algorithm is in preparation at the moment. We removed from Abstract the mentioning of mixture analysis.

L73-75. I very much agree that adding independent aerosol information will improve classification, but this specific point is unconvincing. Yes, the variables used for classification so far have variability within types but there's nothing to suggest that this won't also be true for fluorescence capacity, is there? So, I'm not sure this is exactly the right motivation.

The advantage of fluorescence is strong variation of fluorescence capacity between some aerosol types. For example, G_F of smoke can up to one order higher, comparing to urban aerosol, allowing to separate these particles. So we think, that synergy of existing algorithms with fluorescence measurements should improve identification. Another important advantage is that G_F and depolarization can be derived with high spatio – temporal resolution, so almost single pixel typing becomes possible.

L105. Good point that the resolution is higher since fluorescence capacity can be calculated using data at a single bin, unlike extinction or other quantities related to extinction. This seems particularly useful for Raman measurements.

Yes.

L105-107. Veselovskii et al. 2021a is referenced extensively in the introduction, including to say that it already demonstrates the ability of the 2-d measurement space to separate all the aerosol types. I couldn't follow how the purpose and scope of this paper is different from 2021a.

In that paper we just formulated the idea and plotted averaged data for several observations on the depolarization – fluorescence diagram. In this manuscript we evaluate the aerosol type mask with almost single pixel resolution. Corresponding paragraph is added to the revised manuscript.

L183-193. Calculation of the backscatter coefficient using a calibration constant sounds so straightforward, that I didn't realize that it hadn't been done before. This is great. It's good to see a relatively straightforward innovation discovered and put into practice that will produce a significant amount of additional retrievals, in profiles when the reference height is not accessible to the lidar.

We are very pleased, that Reviewer liked our approach

L231-232. Add an earlier reference for spectral dependence of the depolarization ratio, Burton et al. 2015.

Added

L240-241. Since line 223 just said that Veselovskii et al. 2021a already demonstrated that the two dimensional diagram can separate types, is the part about mixtures the main purpose of this manuscript? If so, the abstract and intro should make that clearer and the examples should be chosen to align with that purpose.

We modified Introduction, to show that the main goal is to provide aerosol type mask with high spatio-temporal resolution. The patterns at δ_{532} - G_F diagram help to identify the mixture, but at current stage we can not characterize it quantitatively.

L248-249. *Burton et al. (2012) or Burton et al. (2013), referenced elsewhere in the manuscript, is an earlier lidar aerosol classification methodology with depolarization ratio ranges listed for common types.*
Added

L247. *"The ranges are based on results obtained in LOA". The algorithm is a simple thresholding method in two dimensions, so the ranges are the single most important aspect of the algorithm description. This statement is much too vague to support and explain how the ranges were derived, and I'm eager to know more. What results? From cases published in other publications? From a completely independent subset of cases than the results shown in this manuscript? Are the results only inferences from the lidar measurements of depolarization and fluorescence capacity, or do they include other coincident measurements that provide stronger evidence for the type identifications? Is there a set of training cases that are classified using other external measurements and/or source information? Are the cases shown in this paper the training cases or are they independent cases that demonstrate the validation of the algorithm? All this should be part of the methodology discussion.*

We agree with reviewer and completely modified that section. We added:

Dust. The depolarization ratio δ_{532} of Saharan dust near the source regions is up to 35% (Veselovskii et al., 2020a), but after transportation and mixing with local aerosol δ_{532} can be as low as 20% (Rittmeister et al., 2017). In many studies, the dust with decreased depolarization ratio is classified as “polluted dust” (e.g. Burton et al., 2012, 2013). At a moment, we do not introduce the discrimination between the two subtypes and mark as “dust” the particles with $20\% < \delta_{532} < 35\%$, and $0.1 \times 10^{-4} < G_F < 0.5 \times 10^{-4}$.

Smoke. In 2021-2022 we regular observed over Lille the smoke layers originated from Californian and Canadian forest fires (Hu et al., 2021). The particle depolarization and fluorescence capacity of transported smoke changed from episode to episode and for classification we choose the ranges $2\% < \delta_{532} < 10\%$, $2 \times 10^{-4} < G_F < 6 \times 10^{-4}$. At this stage we do not discriminate “fresh” and “aged” smoke, and the range of δ_{532} variation is similar to the one, used in classification of Burton et al. (2012).

Pollen. The pollen over north of France is usually mixed with other aerosols, and the particles, which we mark as “pollen” are actually the mixtures. Depolarization ratio of clean pollen varies strongly for different taxa. For birch pollen, Cao et al. (2010) reported $\delta_{532}=33\%$, and in the measurements over Finland during birch pollination (Bohlmann et al., 2019), observed values of δ_{532} up to 26%. The observations over Lille during pollen season (Veselovskii et al., 2021a) rarely revealed values δ_{532} exceeding 20%. Based on that observations, we type as “pollen” the particles mixtures with $15\% < \delta_{532} < 30\%$, and $0.8 \times 10^{-4} < G_F < 3.0 \times 10^{-4}$.

Urban. This type of aerosol includes a variety of particle types (e.g. sulfates, soot) and its parameters may depend on the relative humidity. Based on our measurements inside the boundary layer, for classification we choose the ranges $1\% < \delta_{532} < 8\%$, and $0.1 \times 10^{-4} < G_F < 0.8 \times 10^{-4}$.

4. Similar range for δ_{532} is used in classification of Burton et al. (2012). Urban and smoke particles both have a low depolarization, but the fluorescence capacity of smoke is almost one order higher, so these particles can be reliably discriminated.

Ice and water clouds. Both types of the clouds have low fluorescence capacity $G_F < 0.01 \times 10^{-4}$. However, the ice clouds are usually observed at the heights, where fluorescence signal is low and can not be used for classification. Thus above ~ 8 km the ice cloud are identified by high depolarization ratio $\delta_{532} > 40\%$. Depolarization ratio of the liquid water clouds is usually affected by the effects of the multiple scattering, so for their identification we use $\delta_{532} < 5\%$.”

Figure 3. The mixing lines all go through the box that's marked "pollen". This highlights the unavoidable weakness of typing with just two dimensions. Presumably, anything that falls within this box needs context to distinguish between pollen, a pollen mixture, or a smoke-dust mixture that has nothing to do with pollen. Identification by context (particularly where supporting measurements are available) is fine for the purpose of case studies, but there must be significant potential for misidentification in the automated algorithm, I suppose. It would be good to discuss weaknesses as well as strengths of the approach.

Yes, aerosols are always the mixtures. So this problem is attributed not only to the presented, but also to all existing classification algorithms. Next step in our research is the increase of the number of parameters used and quantifications of mixture components.

It is true, that dust – smoke mixture, considered just at one point at depolarization – fluorescence diagram can be recognized as pollen. This is why it is important to consider all the data obtained during the session. We tried to show in this manuscript that the single pixel data for different mixtures provide different patterns, as shown in Fig.3. In our analysis we always observed this kind of patterns, and it helps to get idea about mixture composition.

L268. Clouds are also shown in the aerosol typing masks and line 308 mentions both ice and water droplets, so the thresholds values for ice and water droplets should also be included in Table 1. Figs 4,5. It's confusing that the ice cloud is only partially included in this example. It's shown in the type mask, but not discussed, and it's not shown in the scatter plot in Fig 5a. It's included in Fig 4, but apparently off-scale. The authors should decide whether they want to include the cloud in their analysis and discussion or not. If not, cut off the plots at an altitude below the cloud. If so, rescale Figure 4, include it in Fig 5 and add discussion about cloud.

The parameters for ice and water particles are added to the Table 1. The ice clouds, however, are normally observed at high altitudes, where fluorescence signal is very weak, so corresponding points at depolarization – fluorescence diagram demonstrate strong scattering. Usually we identified the ice crystals from depolarization measurements only, and this is why we don't show them in Fig.5a. Corresponding comment is added to the revised manuscript.

Figure 5 and similar figures. What's the purpose of the boxes and cross-hairs in the fluorescence vs. depolarization diagrams? The boxes would probably be more useful to readers if they were all the same, and used the values from Table 1. That way, we can see visually how the identified types fall into the broad category already established. I can guess that the crosshairs represent the mean and (probably) standard deviation of identified pure types, but those aren't discussed anywhere in the paper.

In our revised manuscript the boxes correspond to Table 1. The crosses show uncertainty of our measurements, due to statistical errors and uncertainty of calibration. Corresponding comment is added to revised manuscript.

L315-321. The explanation of the smoothing procedure is missing something. Z is a number, but the classification IDs are not numbers that can be added and weighted, but just labels. How are the classifications convolved with Z? Just guessing, I suppose the fluorescence capacity and depolarization ratio are what's averaged using the Z-weightings, and then the classification is done on these smoothed measurements instead? Please clarify in the text.

To make it more clear, we modified corresponding section in the revised manuscript and extended description.

Briefly:

We construct several 'raw' matrices with dimensions equal to primary data matrices (one matrix for each aerosol type (dust, pollen, etc)). If at the first stage some single pixel data point (i,j) is classified as, e.g., pollen, the corresponding value in the 'pollen' matrix is set to 1, otherwise it is set to 0. Then each of these matrices is separately convoluted with the Gauss kernel Z. And, after the convolution, the values for each pixel data (i,j) are being compared. If, e.g., the 'dust' matrix (after the convolution) contains maximal value at the point (i,j) among all the matrices (after the convolution), then the point (i,j) is finally classified as 'dust'.

L339 and 341 and elsewhere. I'd suggest avoiding describing values as "typical" and expand the description to be more specific. For instance, perhaps this is within the ranges seen in your previous publications and/or other publications for cases that have been identified as smoke and urban based on independent data? "Typical" is a bit dangerous, in that it implies a generality that is not established after only a few handfuls of case studies, particularly since the case study identifications seem to mostly be rather dependent on expectations about the typical values. Statements like this unfortunately seem to be quoted and referenced repeatedly so that they become ingrained without becoming better supported. After all, we now know that it is quite common for smoke (in the upper troposphere and stratosphere) to have depolarization values that are much larger than this, and previously published ranges of depolarization for urban aerosol also include significantly larger depolarization values than this.

Agree. We tried to follow this recommendation in revised manuscript

It is true, that aged smoke depolarization ratio at 532 nm in stratosphere can be as high as ~20%. We should mention also, that at 1064 nm the depolarization ratio of smoke in our measurements (even in upper troposphere) never exceeded 5%. This is one more reason to include this depolarization ratio in typing scheme at next stage.

L347. Says that the fluorescence capacity can decrease as a function of relative humidity, explaining a range of variables. Why does it produce variability rather than reducing the fluorescence capacity uniformly?

The water uptake increases the particle backscattering, but does not change the fluorescence. As a result, the fluorescence capacity decreases. The RH, changes with height, which can lead to increase of single pixel data scattering inside the cluster.

L361-367 and Figure 6-7. I agree that the shape of the curve in Figure 7a is very striking and reminiscent of a mixing line. However, I also just read in the previous section that fluorescence capacity is strongly impacted by relative humidity, making me wonder quantitatively how much impact RH has, compared to the impact of mixing. Is there a model (theoretical or empirical) of G_F dependence on relative humidity? The RH profile should be added to Figure 8 (and all the other profile figures). Another aspect that puzzles

and surprises me is the increased G_F specifically in parts of the curtain where the backscatter is lower. This hints that the variation in G_F might be quite strongly related to RH; alternately that the pollen is more diffuse and widespread than the urban aerosol, which I think would be unusual. A curtain of RH (perhaps from MERRA-2 since there is insufficient sonde data to produce a curtain) and/or backtrajectories might help make the scenario more clear.

Unfortunately, we had no collocated RH measurements. The sonde measurements in UK show that RH increased from 40% to 70% with height. The value of the fluorescence capacity changed for one order of magnitude, and such strong change in G_F can not be explained by the particle hygroscopic growth. For example, from the recent publication of Sicard et al., increase of β_{532} in this RH range for urban aerosol is below factor 1.5. (Sicard, M., Fortunato dos Santos Oliveira, D. C., Muñoz-Porcar, C., Gil-Díaz, C., Comerón, A., Rodríguez-Gómez, A., and Dios Otín, F.: Measurement Report: Spectral and statistical analysis of aerosol hygroscopic growth from multi-wavelength lidar measurements in Barcelona, Spain, Atmos. Chem. Phys. 22, 7681–7697, 2022). Corresponding comment is added to revised manuscript.

The hygroscopic growth can contribute to the backscattering near the PBL top. However, at low altitudes RH is about 40%, so increase of G_F is probably due to decrease of urban particles contribution to the total backscattering (thus pollen contribution becomes more visible). We tried to use MERRA-2 data, but at low altitudes the modeled parameters differed strongly from observations.

L368-369. It's good that 1064 nm depolarization is included here, because in general, the more data shown, the better the patterns can be understood. However, the text highlights larger values of 1064 nm depolarization to support the inference of pollen, but that's also true for urban aerosol (e.g. Burton et al. 2012). Then "both depolarization ratios decrease with height" as the pollen concentration decreases (L372), but 1064 continues to be larger than 532, so again this is not definitive. Any further comment about this?

Yes, urban aerosol may also have δ_{1064} exceeding δ_{532} . But absolute values of depolarization for pollen are significantly higher. So when at low altitudes we observe high G_F , and high depolarization, the observed $\delta_{1064} > \delta_{532}$ corroborates presence of pollen.

This case and the first case were also included in earlier publications by the same authors. The papers make different analyses of them, so that's fine, but does this mean they also contributed information relevant to producing the ranges used in the algorithm? If so, they are not such good examples to illustrate the performance of the typing algorithm.

The typing is performed on a base of G_F - δ_{532} measurements only. We used these examples, because the aerosol origin was analyzed in our previous publications. Besides, measurements on 30 May 2020 demonstrate very characteristic pattern for urban – pollen mixture.

The vertical profiles of particle parameters for 30 May were presented in our recent paper, so we decided to exclude Fig.8 from revised manuscript. We just provide the reference.

Figure 8 L 715. Why were the profiles created for 21:00-23:00 instead of a later time where the curtain shows pollen at lower altitudes and mixing is discussed? Is this a mistake?

Sorry, this was mistake.

L376-377. I'm not finding the explanation for the lack of variability in the backscatter angstrom exponent to be very convincing. It appears to be saying that the urban particles are growing due to humidification exactly in balance with the effective dry particle size decreasing due to less pollen? (if so, this needs support).

Perhaps some quantitative modeling would help. How small of a backscatter Angstrom exponent would be expected for high concentration of pollen, and just how much contribution to the backscatter is there (based on the mixing model) and how much change in Angstrom would you therefore expect? What confuses me is that the fluorescence capacity also mixes linearly according to the backscatter partition, so if there was really too little backscatter contribution to be noticeable, wouldn't that also mean there would be little variation in G_F as well?

In revised manuscript, this section was completely modified. We agree with reviewer, that behavior of backscatter Angstrom 532/1064 is puzzling. However, the observation presented, could be strongly influenced by hygroscopic growth, which decreases both depolarization and the fluorescence capacity. The backscattering Angstrom exponent strongly (and in complicated way) depends on refractive index, particle size and particle shape. The modeling of the BAE for different mixture compositions is important, but it is out of scope of this research. Just want to mention, that that in publication of Bohmann et al. (2019) the BAE (at depolarization ratio ~20%) is about 1.0. Which is quite high value and pollen content over Finland is significantly higher than over Lille. So this aspect needs additional research and additional measurements during strong pollen episodes.

L392-393. Unfortunately, the SILAM website only provides current forecast data, so please make the relevant data available as a supplement or shown in a figure. Also, what kind of pollen was it?

In situ measurements at the roof of the building demonstrate presence of significant amount of grass pollen. We added to the revised manuscript (as Appendix) the SILAM maps for four episodes, when presence of pollen was assumed.

L418-419. I'm not quite clear on what the author's intent is here. Is this saying that the algorithm misclassified a mixture as pure urban, or that the mixture only occurs where the classification puts it, but that the two urban layers have quite a lot of difference between them? It would be very helpful (in this case and others) to mark the points in the scatterplots according to the classification result or altitude. I would like to see exactly where the two layers classified as "urban" fall on the apparent mixing line. I think it's interesting that the two layers marked urban have different spectral dependence of depolarization. Backtrajectories would be helpful for this case too, to help understand why the two layers of urban aerosol might have different properties.

To make presentation more clear, we significantly modified this section. First of all, in depolarization – fluorescence diagram in Fig.12 we show the points related to the upper and lower layers by different colors. Back trajectories analysis shows that air masses in both layers are transported from England. So this is probably pollution. Points related to the upper layer are inside the range for 'urban' aerosol. Points in the lower layer, are partly outside of this range, so the aerosol type is undefined. We assume that this is the mixture of urban and pollen particles, because we have particles with high depolarization and fluorescence capacity (still not high enough to be classified as "pollen"). This mixture is marked by grey color and it is located below 750 m. The maps with SILAM pollen index are added to the revised manuscript as Appendix. On the midnight of 10-11 April 2020 the pollen loading is modeled by SILAM as moderate. Thus yes, properties of layers are different. Upper layer is urban, while in lower layer below 1 km the urban particles are mixed with pollen.

L420. "typical for urban-pollen mixture". Actually the mixing curve is significantly to the left of the curve in Figure 3, suggesting that the pure pollen in this mixture is not "typical" compared to the ranges given in the table, but is more of an edge case with relatively low fluorescence capacity.

Yes, fluorescence capacity is lower than usual, so this not pure pollen. We added corresponding comment to the text.

L430-436. This is a very nice case to demonstrate contrast in fluorescence between different types. But the type identification is entirely made by inference using the two classification dimensions without any other support such as in situ measurements, backtrajectories, or other lidar-measured quantities like 1064 nm depolarization, lidar ratio or angstrom exponents. It's great that two measurements used for the classification appear to give the ability to make these separations, but for such a key demonstration I think the case studies need to be very well supported. In general I suggest bolstering the verification of the identifications for all the cases (not just this one) by including all relevant data. I mean specifically, first of all, other lidar quantities that have been used in previous classification methodologies, including especially lidar ratios, and also 1064 nm depolarization and angstrom exponents for all cases. Also include RH, backtrajectories and any coincident in situ measurements (especially pollen) for all cases.

In the revised manuscript we added the Table 2, with main intensive particle parameters for all episode considered. The section is modified: we added backtrajectories and analysis of the intensive particle parameters. We have added also Table 3, which compares our observed intensive parameters for dust, smoke, urban with parameters used in existing typing algorithms.

L445 and Figs 15 and 16. The suggested mixing between layers doesn't look convincing. On the fluorescence vs. depolarization diagram, these intermediate points don't follow a nice mixing line like the other mixing cases, and the boundaries in the measurement curtains appear quite crisp. Could these points be artifacts of the smoothing instead?

Yes, at high gradients of backscattering, smoothing sometimes can provide oscillation. We reprocessed this case with decreased smoothing. The threshold value of β_{532} was increased up to 0.3 Mm⁻¹sr⁻¹. Now it is better.

Fig 15. The depolarization especially and perhaps also the fluorescence capacity (outside of the smoke plume) seems to be anti-correlated with backscatter, including in regions that seem unlikely to be pollen-dominated (such as the minimum between the smoke and urban layers). Particulate depolarization is especially susceptible to systematic error, particularly overestimation, at low values of backscatter (Freudenthaler et al. 2009, Burton et al. 2015). Have you done a systematic uncertainty calculation? (Also this is another case where color coding of the scatterplot by altitude would be useful).

Yes, calculation of depolarization at low β_{532} can lead to enhanced uncertainty, especially when high gradients of β_{532} present. In reprocessed data we increased threshold value of β_{532} up to 0.3 Mm⁻¹sr⁻¹. Oscillations decreased. The same is true for fluorescence capacity. We estimate uncertainty of our depolarization calibration to be below 15%.

Fig 15-18. Include the data for depolarization and angstrom exponent (and RH) for these cases also.

In the revised manuscript we have added Figures 16, 19 with vertical profiles for these episodes.

L455 "G_F increased ... probably due to the mixing with local pollution". Does this make sense? Nothing prior to this in the manuscript suggests that urban pollution has significant fluorescence capacity. Also, on the scatter plot on Figure 18, there's no suggestion that the higher values of G_F in the dust cluster are correlated with depolarization in any way; that is, they are not following any mixing line. What evidence is there that this is not simply normal variability within dust? Table 1 shows dust can have G_F up to 0.5. Why not 0.6? Also, could some of this variability be correlated with RH?

Dust may have very low fluorescence capacity ($0.1 \cdot 10^{-4}$), while urban particles for some episodes had G_F of $0.8 \cdot 10^{-4}$, or even higher. Thus mixing of dust with pollutions, in principle, can increase the capacity. But reviewer is right, for case presented, the depolarization ratio did not change significantly with height, while capacity strongly decreased in the center of the layer. It can be variation of dust composition (and so the absorption) through the layer. Unfortunately, at this stage we can not make definite conclusion. Corresponding section is strongly modified in revised manuscript.

For the available dust episodes the fluorescence capacity was mainly below $0.5 \cdot 10^{-4}$. This is why we used it in Table 1. We may reconsider this range, when more data will be available.

Normally properties of dust are not very sensitive to RH. Increase of RH can only decrease the capacity. However at a moment we don't have collocated RH measurements, so unable to make quantitative conclusions about RH influence.

L485. "during Spring-Autumn seasons". It would be helpful to show a timeseries demonstrating that the pollen signature (elevated depolarization and fluorescence capacity) does NOT occur in winter.

We agree, that this would be useful, but it is beyond the scope of this manuscript. Seasonal variation of aerosol composition over Lille will be the topic of separate research.

Typographical or wording:

L19. What is meant by "single" in "first single version of the algorithm". I suggest delete "single" or reword.

Corrected

L18 and L24. Change particle's to particle.

Corrected

L92. Be specific about which wavelength here.

Done

L247. Define LOA.

Done

L270-281. There should be some discussion or at least references to other analyses of mixtures of aerosols that derive similar equations (especially Eq. 7), e.g. Sugimoto and Lee 2006, Gross et al. 2011, Gasteiger et al. 2011, Tesche et al. 2009, Burton et al. 2014.

The references are added. Derivation of Eq.7 looks very straightforward, so probably no explanations are needed.

L280. Eq. 8. It probably would be good to remind the reader that fluorescence capacity and backscatter in this equation refer to particular wavelengths.

Done

L282. "We assume". I think this is meant to refer only to the demonstration in Figure 3, not a general assertion. If true, perhaps swap the first two sentences of the paragraph to make it less likely to be misread. As mentioned in the introduction, the quantities have a lot of variability even within types, so assuming single values wouldn't be well supported.

Done

L300. "the height resolution is 7.5 m". Is that really the resolution or only the grid spacing? That is, taking the detectors into account, are measurements at adjacent vertical grid points independent?

Yes, this is bin resolution of our detection electronics, and in many cases this resolution was used to calculate the particle properties. However, for elevated layers the fluorescence signal was splined. For typing, the Gaussian smoothing procedure was used. Thus ultimate resolution was about 60 m for height and less than 10 minutes for time.

L342. spell out FBC

The section was modified

L344. Add a reference to the reminder. (I think it is Veselovskii 2020?)

Done

L445. Typo in "0.2-0.3"

Corrected

L663-664. It would be helpful to add "using the reference height as Ansmann et al. 1992 (green) or the calibration constant as in Eq 5. (magenta)". (I read figure captions before the text, so having a bit more detail in the captions is very helpful)

Done

L708. Please add clarification to the caption whether the scatter plot shows data for the entire time period shown in the curtain or only the subset that's included in the profile plots of Figure 8.

This is for entire time period. Added to caption.

Figure 2 and 4. There is a lot of red in these plots hinting that the scales might be cutting off the data. Perhaps the scales should be expanded.

Yes, this is because depolarization and backscattering of clouds is very high, comparing to aerosol. We choose such scale, to make details of aerosol more visible. So we would prefer to keep as it is.

Figure 3. Also show the smoke + pollen mixing line, since one of the selected cases references mixing of those two types.

We thought to do it, but figure becomes overloaded with curves. Besides, behavior of this mixing line is quite obvious, so we think that it is not so necessary for reader.

Figures 4, 6, 912, 15, 17. It would be helpful if the curtains of intensive properties (depolarization and fluorescence capacity) had consistent scales across each of these plots, making it easier to compare one case to another.

Unfortunately, the cases are very different. In some elevated layers are considered, and in some only the PBL. So we used different scales to show the details. We would prefer to keep different scales for each episode.

References:

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Tesche, M., et al. (2009). "Vertically resolved separation of dust and smoke over Cape Verde using multiwavelength Raman and polarization lidars during Saharan Mineral Dust Experiment 2008." *J. Geophys. Res.* 114(D13): D13202.

References are added

Response to Reviewer 2

First of all, we would like to thank the Reviewer for reading the manuscript and useful comments.

This paper presents the potentiality of fluorescence measurements in Mie-Raman lidar systems to obtain aerosol type, with focus in biomass, dust, anthropogenic pollution and aerosols. The paper is well structured and discussions are appropriate. In general I am excited about the potential of fluorescence technique for aerosol profile characterizations. However, I agree with previous referee that authors claim the development of an algorithm and that is not straightforward from the paper. Indeed it seems an introduction with different study-cases. So my concerns prior the publication in AMT are:

- There is no mention to the physical principle of fluorescence and if fluorescence can be modeled for different aerosol particles. Maybe these models are not well developed. But if they exist, why not using them for training the model? If not, the authors should clarify this point. In summary, I miss a theoretical background for fluorescence
- The selections of the study cases are excellent, but I miss an overall conclusion that includes all your data. Why not presenting a plot that includes all data and even statistical analyses?

Minor comments

I agree with most of the comments raised by referee 1. I just would like to insist that backward-trajectories and other types of measurements (satellite, in-situ, models) would enrich the discussions. I really miss this information for the cases of Fig 1 and for the pure dust case. Also, the mention to SILAM must be clarified.

In the process of revision, the manuscript was significantly modified. We added a table, containing the particle intensive parameters for the cases considered (lidar ratios at 355 and 532 nm; depolarization ratios at 355, 532 and 1064 nm; and the backscattering and extinction Angstrom exponents). Another table provides the range of variation of particle intensive properties from different typing algorithms for the urban, smoke and dust particles. The table contains also the range of parameters variation for episodes from current study for the same aerosol types. The back-trajectory analysis is included, when the cases are analyzed. In Appendix we added four maps with SILAM pollen index, for the episodes where the presence of the pollen was revealed. Sections 3 and 4 were significantly extended and we hope, that all this improved the manuscript. Details of the manuscript revision are given in our extended response to Reviewer 1.

Reviewer is right, that at this stage we did not analyzed the fluorescence mechanisms. And this should be done at the next step of our research. We plan to increase the number of fluorescence channels, and choose of corresponding spectral intervals will demand this kind of analysis.

Statistical analysis of our observation over Lille is not done yet, but this is definitely one of our goals. And in this manuscript we tried to demonstrate that for different aerosol episode, the depolarization – fluorescence diagram allows to identify the particle type, and it also provides information about the aerosol mixture composition.

Combining of Mie-Raman and fluorescence observations: a step forward in aerosol classification with lidar technology

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Abstract

The paper presents an approach to reveal variability of aerosol type at high spatio-temporal resolution, by combining fluorescence and Mie-Raman lidar observations. The multi-wavelength Mie-Raman lidar system in operation at the ATOLL platform, Laboratoire d'Optique Atmosphérique, University of Lille, includes, since 2019, a wideband fluorescence channel allowing the derivation of the fluorescence backscattering coefficient β_F . The fluorescence capacity G_F , which is the ratio of β_F to the aerosol backscattering coefficient, is an intensive particle property, strongly changing with aerosol type, thus providing a relevant basis for aerosol classification. In this first stage of research, only two intensive properties are used for classification: the particle depolarization ratio at 532 nm, δ_{532} , and the fluorescence capacity, G_F . These properties are considered because they can be derived at high spatio-temporal resolution and are quite specific to each aerosol type. In particular, in this study, we use $\delta_{532} - G_F$ diagram to identify smoke, dust, pollen and urban aerosol particles. We applied our new classification approach to lidar data obtained during 2020 – 2021 period, which includes strong smoke, dust and pollen episodes. The particle classification was performed with height resolution about 60 m and temporal resolution better than 8 minutes.

1. Introduction

Atmospheric aerosol is one of the key factors influencing the Earth's radiation budget through absorption and scattering of solar radiation and by affecting cloud formation. The processes of aerosol–radiation and aerosol–cloud interaction depend on aerosol size, shape, morphology,

32 absorption, solubility, etc., thus knowledge of the chemical composition and mixing state of the
33 aerosol particles is important for modeling of aerosol impact (Boucher et al., 2013). The aerosol
34 properties may vary in a wide range, so in practice usually several main types of aerosols are
35 separated on a base of their origin: e.g. urban, dust, marine, biomass burning (Dubovik et al., 2002).
36 Successful remote characterization of column integrated aerosol composition from the
37 observations of Sun – sky photometers and space-borne multiangle polarimeters was demonstrated
38 in numerous publications (Dubovik et al., 2002; Giles et al., 2012; Hamill et al., 2016; Schuster et
39 al., 2016; Li et al., 2019; Zhang et al., 2020). The aerosol impacts, however, depends also on
40 vertical variations/distributions of particle concentration and composition, which cannot be
41 derived from these instruments.

42 One of the recognized remote sensing techniques for vertical profiling of aerosol properties
43 is a lidar. Multiwavelength Mie-Raman and HSRL (High Spectral Resolution Lidar) lidar systems
44 provide unique opportunity to derive height-resolved particle intensive properties, such as lidar
45 ratios, Angstrom exponents and depolarization ratios at multiple wavelengths. Based on this
46 information, particle type can be determined (Burton et al., 2012, 2013; Groß et al., 2013; Mamouri
47 et al., 2017; Papagiannopoulos et al., 2018; Nicolae et al., 2018; Hara et al., 2018; Voudouri et al.,
48 2019; Wang et al., 2021; Mylonaki et al., 2021 and references therein). However, there is a
49 fundamental difference between particle classification based on the Sun – sky photometer and on
50 lidar observations. From both direct Sun and azimuth scanning measurements of the photometer
51 more than 100 observations are available. From this information the spectrally dependent
52 refractive index and absorption Angstrom exponent can be determined, which is important for
53 aerosol classification (Schuster et al., 2016; Li et al., 2019). The commonly used multiwavelength
54 lidars are based on a tripled Nd:YAG laser and are capable of providing three backscattering (355
55 nm, 532 nm, 1064 nm), two extinction (355 nm, 532 nm) coefficients and up to three particle
56 depolarization ratios (so called $3\beta+2\alpha+3\delta$ set). Thus the number of available lidar observations is
57 eight or less, which limits the performance of the aerosol typing algorithms. Nevertheless, the
58 results obtained by different research groups demonstrate that lidar-based particle identification is
59 possible. In publications of Burton et al. (2012, 2013) classification was performed from four
60 intensive parameters measured by the HSRL system: the lidar ratio at 532 nm (S_{532}), the
61 backscattering Angstrom exponent for 532/1064 nm wavelengths ($BAE_{532/1064}$), and particle
62 depolarization ratios at 532 nm and 1064 nm (δ_{532} , and δ_{1064}). With these input parameters eight

63 aerosol types: smoke, fresh smoke, urban, polluted maritime, maritime, dusty mix, pure dust and
64 ice were discriminated.

65 Important information on aerosol vertical distribution comes from the
66 EARLINET/ACTRIS lidar-network, aiming at unifying multiwavelength Mie-Raman lidar
67 systems over Europe (Pappalardo et al., 2014). For the automation of aerosol classification, several
68 approaches were developed in the frame of EARLINET. These approaches include the
69 Mahalanobis distance-based typing algorithm (Papagiannopoulos et al., 2018), a neural network
70 aerosol classification algorithm (NATALI) (Nicolae et al., 2018), and algorithm based on source
71 classification analysis (SCAN) (Mylonaki et al., 2021). All these algorithms have demonstrated
72 their ability for aerosol classification. In particular, the NATALI is able to identify up to 14 aerosol
73 mixtures from $3\beta+2\alpha+1\delta$ observations.

74 Nevertheless, the above-mentioned algorithms have to deal with a fundamental limitation:
75 the particle intensive properties, even for pure aerosols (generated by a single source) exhibit
76 strong variations. For example, the lidar ratio S_{355} of smoke in publication of Nicolae et al. (2018)
77 varies in 38 sr – 70 sr range, and in our own measurements we observed for aged smoke S_{355} as
78 low as 25 sr (Hu et al., 2021). Strong variation of smoke lidar ratios in EARLINET/ACTRIS
79 observations is discussed also in the recent publication of Adam et al. (2021). Such uncertainty in
80 parameters of the aerosol model complicates the aerosol classification. Thus, it is desirable to
81 combine the Mie-Raman observations with another range resolved technique, providing additional
82 independent information about aerosol composition. Such information can be obtained from laser
83 induced fluorescence emission.

84 Application of fluorescence lidar technique was intensively considered during the last
85 decade to study aerosol particles. Lidar measurements of the full fluorescence spectrum with
86 multianode photomultipliers (Sugimoto et al., 2012; Reichardt et al., 2014, 2017; Saito et al., 2022)
87 provides an obvious advantage in particle identification. However, even a more simple
88 fluorescence lidar with a single wideband fluorescence channel, opens new opportunities for
89 aerosol characterization (Veselovskii et al., 2021; 2022; Zhang et al., 2021). Such fluorescence
90 configuration could be implemented in existing Mie-Raman lidars, and the fluorescence
91 backscattering coefficient β_F is calculated from the ratio of fluorescence and nitrogen Raman
92 signals. To characterize the aerosol fluorescence properties, the fluorescence capacity G_F is
93 introduced as the ratio of β_F to aerosol backscattering coefficient at one of laser wavelengths

94 (Veselovskii et al., 2020b). In this study, the backscattering at 532 nm was used. The fluorescence
95 capacity is an intensive particle parameter, which changes strongly with aerosol type, being the
96 highest for smoke and the lowest for dust. Thus, the combination of Mie – Raman and fluorescence
97 backscatter provides a basis to improve particle classification. A Mie – Raman lidar provides
98 several particle intensive parameters, however, the profiles of particle parameters associated with
99 the extinction coefficient, such as lidar ratio or extinction Angstrom exponent, may contain strong
100 noises, because the extinction coefficients are derived from the slope of Raman lidar signals, thus
101 averaging over significant spatio-temporal intervals is demanded. Meanwhile, the particle
102 depolarization and the fluorescence *capacity* can be calculated with high spatio-temporal
103 resolution.

104 Recently, we have demonstrated that the $\delta - G_F$ diagram allows to separate several aerosol
105 types, such as dust, pollen, urban (continental) and smoke (Veselovskii et al., 2021a). In the present
106 study, we use this technique to classify aerosol particle types in the troposphere at high spatio-
107 temporal resolution. We present results of aerosol classification on the basis of fluorescence and
108 Mie-Raman lidar measurements performed at the ATOLL (ATmospheric Observation at liLLe) at
109 Laboratoire d’Optique Atmosphérique, University of Lille, during 2020 – 2021 period, which
110 includes strong smoke, dust and pollen episodes. Paper starts with a description of the experimental
111 setup and data processing scheme in Sect.2. In Sect.3 we present the algorithm for aerosol
112 classification on a base of depolarization and fluorescence measurements. Results of the
113 application of the developed approach to different atmospheric situations, including smoke, dust
114 and pollen episodes are given in Sect.4.

115

116 2. Experimental setup and data analysis

117 2.1. Lidar system

118 The multiwavelength Mie-Raman lidar LILAS (Lille Lidar AtmosphereS) is based on a
119 tripled Nd:YAG laser with a 20 Hz repetition rate and pulse energy of 70 mJ at 355 nm.
120 Backscattered light is collected by a 40 cm aperture Newtonian telescope and the lidar signals are
121 digitized with Licel transient recorders with 7.5 m range resolution, allowing simultaneous
122 detection in the analog and photon counting mode. The system is designed for the detection of
123 elastic and Raman backscattering, allowing the so called $3\beta+2\alpha+3\delta$ data configuration, including
124 three particle backscattering (β_{355} , β_{532} , β_{1064}), two extinction (α_{355} , α_{532}) coefficients along with

125 three particle depolarization ratios (δ_{355} , δ_{532} , δ_{1064}). The particle depolarization ratio, determined
126 as a ratio of cross- and co-polarized components of the particle backscattering coefficient, was
127 calculated and calibrated in the same way as described in Freudenthaler et al. (2009). Many
128 calibration and operation procedures have been automated for the LILAS system to improve the
129 overall performance of the lidar in terms of observation frequency and data quality. The aerosol
130 extinction and backscattering coefficients at 355 and 532 nm were calculated from Mie-Raman
131 observations (Ansmann et al., 1992), while β_{1064} was derived by the Klett method (Klett, 1985).
132 **The full geometrical overlap was achieved at approximately 750 m range.** For calculation of α and
133 β at 532 nm we use the rotational Raman scattering instead of the vibrational one (Veselovskii et
134 al., 2015), which allows to increase the power of Raman backscatter and to decrease separation
135 between the wavelengths of elastic and Raman components. Additional information about
136 atmospheric parameters was available from radiosonde measurements performed at Herstmonceux
137 (UK) and Beauvechain (Belgium) stations, located 160 km and 80 km away from the observation
138 site respectively.

139 The LILAS system can also profile the laser induced fluorescence of aerosol particles. A
140 part of the fluorescence spectrum is selected by a wideband interference filter of 44 nm width
141 centered at 466 nm. The strong sunlight background at daytime restricts the fluorescence
142 observations to nighttime hours. The fluorescence backscattering coefficient β_F , is calculated from
143 the ratio of fluorescence and nitrogen Raman backscattering signal, as described in Veselovskii et
144 al. (2020b). This approach allows us to evaluate the absolute values of β_F , if the relative sensitivity
145 of the channels is calibrated and the nitrogen Raman scattering differential cross section is known.
146 All β_F profiles presented in this work were smoothed with the Savitzky – Golay method, using
147 second order polynomials with 21 points in the window. For the calculation of the fluorescence
148 capacity G_F , in principle, backscattering coefficients at any laser wavelength can be used. In our
149 study we always used β_{532} , because it is calculated with the use of rotational Raman component

150 and is considered to be the most reliable, thus the fluorescence capacity is calculated as $G_F = \frac{\beta_F}{\beta_{532}}$.

151 ***2.2. Calculation of the particle backscattering coefficient from Mie-Raman measurements***

152 Mie – Raman lidar measurements allow independent evaluation of aerosol extinction and
153 backscattering coefficients. Commonly used approach for β calculation was formulated in the
154 paper of Ansmann et al. (1992). This approach includes the choice of a reference height, where the

155 scattering is purely molecular. However, such height range is not always available, for example,
 156 in the presence of the low level clouds. Moreover, when long-term spatio-temporal variations of
 157 backscattering coefficients are analyzed, the uncertainty in the choice of the reference height leads
 158 to oscillations in β profiles. To resolve this issue, we modified the Raman method as described
 159 below.

160 In an elastic channel, the backscattered radiative power P_L , at wavelength λ_0 and distance
 161 z is described by the lidar equation:

$$162 \quad P_L = O(z) \frac{1}{z^2} C_L (\beta_L^a + \beta_L^m) \exp \left\{ -2 \int_0^z (\alpha_L^a + \alpha_L^m) dz' \right\} = O(z) \frac{1}{z^2} C_L (\beta_L^a + \beta_L^m) T_L^2, \quad (1)$$

163 while in a Raman channel, it can be written as:

$$164 \quad P_R = O(z) \frac{1}{z^2} C_R \beta_R \exp \left\{ - \int_0^z (\alpha_L^a + \alpha_R^a + \alpha_L^m + \alpha_R^m) dz' \right\} = O(z) \frac{1}{z^2} C_R \beta_R T_L T_R. \quad (2)$$

165 Here $O(z)$ is the geometrical overlap factor, which is assumed to be the same for elastic and Raman
 166 channels. C_L and C_R are the range independent constants, including efficiency of the detection
 167 channel. T_L and T_R are one-way transmissions, describing light losses on the way from the lidar to
 168 distance z at laser λ_L and Raman λ_R wavelengths. Backscattering and extinction coefficients contain
 169 aerosol and molecular contributions: $\beta_L^a + \beta_L^m$ and $\alpha_L^a + \alpha_L^m$, where the superscripts “a” and “m”
 170 indicate aerosol and molecular scattering, respectively. Raman backscattering coefficient is:

$$171 \quad \beta_R = N \sigma_R, \quad (3)$$

172 where N is the number of Raman scatters (per unit of volume) and σ_R is the Raman differential
 173 scattering cross section in the backward direction.

174 Dividing equation (1) on (2) we get:

$$175 \quad \frac{P_L}{P_R} = \frac{C_L}{C_R} \frac{(\beta_L^a + \beta_L^m) T_L}{\beta_R T_R} \quad (4)$$

176 Backscattering coefficient is calculated from (3) and (4) as:

$$177 \quad \beta_L^a = \frac{P_L}{P_R} \frac{C_R}{C_L} \sigma_R N \frac{T_R}{T_L} - \beta_L^m = \frac{P_L}{P_R} KN \frac{T_R}{T_L} - \beta_L^m \quad (5)$$

178 The differential transmission $\frac{T_L}{T_R}$ can be calculated the same way, as it is done for the water vapor
 179 measurements (Whiteman, 2003). For rotational Raman signal, which we use in our 532 nm
 180 channel (Veselovskii et al., 2015), $\lambda_L \approx \lambda_R$, so $\frac{T_L}{T_R} = 1$.

181 The calibration constant $K = \frac{C_R}{C_L} \sigma_R$ can be found by comparing β_L^a in Eq.5 with the
 182 backscattering coefficient $\tilde{\beta}_L^a$ computed with the traditional Raman method, using the reference
 183 height (Ansmann et al., 1992).

$$184 \quad K = (\tilde{\beta}_L^a + \beta_L^m) \frac{P_R}{P_L} \frac{1}{N} \frac{T_L}{T_R} \quad (6)$$

185 For simplicity, hereinafter we will use notation β_L instead β_L^a . Thus, if during the measurement
 186 session we have a temporal interval, where the reference height is available, we can determine the
 187 calibration constant K and use it for β_L calculations from eq.5, assuming that relative sensitivity of
 188 channels during the session is not changed. Even if cloud layers occur during the whole session,
 189 we can use K from the previous cloud-free profiles (assuming, again, that the relative sensitivity
 190 of channels is the same). We will call this approach for β calculation as “modified Raman method”,
 191 to distinguish it from traditional one (Ansmann et al., 1992).

192 To estimate variations of the relative sensitivity of the channels, we analyzed long-term
 193 cloudless measurements when the reference height was available for every individual profile. The
 194 results demonstrate that variations of calibration constant during the session (about 8 hours) were
 195 below 3%. Fig.1 and 2 present the application of this modified Raman method to the measurements
 196 on 2 March 2021. The dust layer extended from 2 km to 8 km height and inside this layer the ice
 197 and liquid clouds were formed during the 00:00 – 05:00 UTC interval, thus β_{532} could not be
 198 calculated with traditional Raman technique. The temporal interval 19:00 – 20:00 was used to find
 199 calibration constant K . Fig.1 shows vertical profiles of backscattering coefficient $\tilde{\beta}_{532}$ calculated
 200 with traditional Raman method (with reference height), and β_{532} calculated with modified method
 201 (with the calibration constant). Profiles of $\tilde{\beta}_{532}$ and β_{532} coincide for the whole height range. The
 202 calibration constant K , shown on the same plot, does not demonstrate height dependence, though

203 oscillations around the mean value increase with height. For computations, we choose the value of
204 K at low altitudes averaged inside some height interval.

205 Fig.2 provides spatio-temporal variations of β_{532} , particle depolarization δ_{532} and the
206 fluorescence capacity G_F . Depolarization measurements reveal the presence of dust ($\delta_{532}\approx 30\%$)
207 and the ice cloud above 4 km ($\delta_{532}>40\%$). The liquid cloud below 4 km after midnight can be
208 identified by a low depolarization ratio $\delta_{532}<3\%$. The fluorescence capacity of dust is low, about
209 0.2×10^{-4} . However, below 2 km, G_F is significantly higher, up to 1.2×10^{-4} . In combination with a
210 high depolarization ratio (up to 20%), it can indicate the presence of pollen at low altitudes. On
211 the fluorescence capacity panel, we can see that after 01:00 UTC the dust and pollen layers are
212 mixed below 2 km, resulting in a value of G_F about 0.5×10^{-4} . The fluorescence capacity inside ice
213 and liquid clouds is below 0.01×10^{-4} . Fig.2 clearly demonstrates the advantage of simultaneous
214 depolarization and fluorescence measurements for the study of cloud formation in the presence of
215 aerosol. All spatio-temporal distributions of β_{532} presented in this paper were calculated from Eq.5
216 with a modified Raman method.

217

218 **3. Aerosol classification based on fluorescence measurements**

219 **3.1. Approach for aerosol classification.**

220 As was discussed in our recent publication (Veselovskii et al., 2021), the δ - G_F diagram
221 allows to separate several aerosol types, including smoke, dust, pollen, urban, ice and liquid water
222 particles. Smoke and urban aerosols both have a small depolarization ratio, but the fluorescence
223 capacity of smoke is almost one order higher, so these particles can be separated. Dust and pollen
224 both have high depolarization ratio (up to 30%), but G_F of dust is significantly lower, which again
225 provides basis for discrimination. The depolarization ratio of some aerosol types is characterized
226 by strong spectral dependence. For example, the depolarization ratio of aged smoke decreases with
227 wavelength. It is below 5% at 1064 nm but at 355 nm in upper troposphere it may exceed 20%
228 (Burton et al., 2015; Haarig et al., 2018; Hu et al., 2019; Veselovskii et al., 2022), which
229 complicates smoke and dust separation. For pollen, on the contrary, the depolarization ratio at
230 1064 nm can be the highest (Veselovskii et al., 2021). Thus, choice of δ_{1064} for δ - G_F diagram could
231 be advantageous. However, as mentioned, the backscattering coefficient at 1064 nm is calculated
232 with Klett method (Klett, 1985), which, besides assumption about lidar ratio, needs reference

233 height and cannot be used in cloudy situations. This is why in our study we used the δ_{532} - G_F
234 diagram.

235 In our present work, we consider a simple classification scheme since we use only two
236 intensive parameters G_F and δ_{532} . Our goal is to demonstrate that in the δ_{532} - G_F diagram, our lidar
237 observations form clusters and characteristic patterns which can be attributed to different aerosol
238 types or their mixtures. We consider four aerosol types: dust, smoke, pollen and urban, and two
239 cloud types: liquid and ice clouds. Dust and pollen are large particles of complicated shape,
240 characterized by high depolarization ratio, while smoke and urban pollution are small particles
241 with low depolarization. In our classification “urban aerosol” includes continental aerosol, sulfates
242 and soot. At this stage, we do not yet consider absorption to discriminate particles.

243 The choice of the range of particle properties variation for each aerosol type is an important
244 aspect of the approach. Typical ranges of G_F and δ_{532} variations used in our classification scheme
245 are given in Table 1 and are shown in Fig.3. These ranges are based on results obtained in LOA
246 (Laboratoire d’Optique Atmosphérique) and on results presented in aerosol classification studies
247 (Burton et al., 2012, 2013; Nicolae et al., 2018; Papagiannopoulos et al., 2018, Mylonaki et al.,
248 2021).

249 **Dust.** The depolarization ratio, δ_{532} , of Saharan dust near the source regions is up to 35%
250 (Veselovskii et al., 2020a). However, after transportation and mixing with local aerosol δ_{532} can
251 be as low as 20% (Rittmeister et al., 2017). In many studies, the dust events having with smaller
252 depolarization ratio are classified as “polluted dust” (e.g. Burton et al., 2012, 2013). At the moment,
253 we do not introduce the discrimination between the two subtypes and mark as “dust” the particles
254 with $20\% < \delta_{532} < 35\%$, and $0.1 \times 10^{-4} < G_F < 0.5 \times 10^{-4}$.

255 **Smoke.** In 2021-2022, we regularly observed, over ATOLL platform, smoke layers
256 originated from Californian and Canadian forest fires (Hu et al., 2022). The particle depolarization
257 and fluorescence capacity of this transported smoke varied from episode to episode and, for
258 classification, we selected the ranges $2\% < \delta_{532} < 10\%$, $2 \times 10^{-4} < G_F < 6 \times 10^{-4}$. At this stage, we do not
259 discriminate “fresh” and “aged” smoke, and the range of δ_{532} variation is similar to the one used
260 in classification of Burton et al. (2012).

261 **Pollen.** The pollen over north of France is usually mixed with other aerosol and the
262 particles which we mark as “pollen” are actually the mixtures. Depolarization ratio of clean pollen
263 varies strongly for different taxa. For birch pollen, Cao et al. (2010) reported $\delta_{532}=33\%$, and in the

264 measurements over Finland during birch pollination (Bohlmann et al., 2019), observed values of
 265 δ_{532} up to 26%. The observations over Lille during pollen season (Veselovskii et al., 2021a) rarely
 266 revealed values δ_{532} exceeding 20%. Based on that observations, we type as “pollen” the particles
 267 mixtures with $15\% < \delta_{532} < 30\%$, and $0.8 \times 10^{-4} < G_F < 3.0 \times 10^{-4}$.

268 **Urban.** This type of aerosol includes a variety of particle types (e.g. sulfates, soot) and its
 269 properties may depend on the relative humidity. Based on our measurements inside the boundary
 270 layer, for classification we choose the ranges $1\% < \delta_{532} < 10\%$, and $0.1 \times 10^{-4} < G_F < 1.0 \times 10^{-4}$. Similar
 271 range for δ_{532} is used in classification of Burton et al. (2013). Urban and smoke particles both have
 272 a low depolarization, but the smoke fluorescence capacity can be up to one order higher, so these
 273 particles can be reliably discriminated.

274 **Ice and water clouds.** Both cloud types have low fluorescence capacity $G_F < 0.01 \times 10^{-4}$.
 275 However, the ice clouds are usually observed at the heights, where fluorescence signal is low and
 276 can not be used for classification. Thus above ~ 8 km, the ice cloud are identified by high
 277 depolarization ratio $\delta_{532} > 40\%$. Depolarization ratio of the liquid water clouds is usually affected
 278 by the effects of the multiple scattering, so for their identification we use $\delta_{532} < 5\%$.

279 The analysis of aerosol mixtures is an important subject and, the possibility to separate the
 280 mixture components based on lidar measurements was discussed in publications of Sugimoto and
 281 Lee (2006), Gross et al. (2011), Gasteiger et al. (2011), Tesche et al. (2009), Burton et al. (2014).
 282 The information about mixture composition can be also revealed in δ_{532} - G_F diagram. For example,
 283 pollen can be mixed with urban particles. At different heights the pollen contributes differently to
 284 β_{532} , so at δ_{532} - G_F diagram, the data points will form the pattern, which extends from location,
 285 attributed to “pure” urban aerosol to location, attributed to “pure” pollen. To estimate, how such
 286 pattern looks like, a simplified modeling for fixed particle parameters was performed.
 287 Corresponding results are shown in Fig.3 by symbols (circles). The particle depolarization ratio δ
 288 of the mixture, containing urban aerosol (u) and pollen (p), with depolarization ratios δ^u and δ^p ,
 289 can be calculated as:

$$290 \quad \delta = \frac{\left(\frac{\delta^p}{1 + \delta^p} \right) \beta^p + \left(\frac{\delta^u}{1 + \delta^u} \right) \beta^u}{\frac{\beta^p}{1 + \delta^p} + \frac{\beta^u}{1 + \delta^u}} \quad (7)$$

291 The fluorescence capacity of the mixture is given by:

292
$$G_F = \frac{\beta^u G_F^u + \beta^p G_F^p}{\beta} \quad (8)$$

293 Here total backscattering $\beta = \beta^u + \beta^p$.

294 The computations in Fig.3 were performed for values of pollen contribution $\frac{\beta_{532}^p}{\beta_{532}}$ in 0 - 1.0
 295 range with step 0.1. We assume that the depolarization ratios of pollen and urban aerosol are δ_{532}^p
 296 =30% and $\delta_{532}^u=3\%$, while the fluorescence capacities are $G_F^u=0.2\times 10^{-4}$ and $G_F^p=2.5\times 10^{-4}$. We
 297 remind that the fluorescence capacities are calculated at 532 nm wavelength. In the $\delta_{532}-G_F$
 298 diagram the computed points provide a characteristic curve, which in the next section will be
 299 compared with experimental results. The same computations were performed for a smoke (s) and
 300 dust (d) mixture, assuming $\delta_{532}^d=30\%$, $\delta_{532}^s=3\%$, $G_F^d=0.2\times 10^{-4}$ and $G_F^s=4.0\times 10^{-4}$. Corresponding
 301 results are shown in Fig.3 with stars. In a similar way, the characteristic curves for other mixtures
 302 can be also represented.

303 We are also able to identify liquid water and ice layers. Liquid water cloud layers have low
 304 fluorescence capacity ($G_F<0.01\times 10^{-4}$) and $\delta_{532}<3\%$. Ice particles also have low G_F , but at heights
 305 where ice clouds are usually observed, the signal of fluorescence backscattering is noisy. Thus at
 306 high altitudes ice particles are discriminated by a high depolarization ratio $\delta_{532}>40\%$.

307

308 **3.2. Classification of spatio-temporal observations**

309 The input parameters in our classification scheme are the spatio-temporal distributions of β_{532} ,
 310 δ_{532} and G_F , which are presented as matrices $\beta_{532}^{i,j}$, $\delta_{532}^{i,j}$, $G_F^{i,j}$, where $i=1\dots N_T$; $j=1\dots N_H$. Values
 311 N_T and N_H are the numbers of temporal and height intervals in the analyzed dataset. In a single
 312 measurement we accumulate 2×10^3 laser pulses, so temporal resolution of the measurements is
 313 about 100 s, while the height resolution is 7.5 m.

314 The particle intensive properties cannot be evaluated reliably when the backscattering
 315 coefficient is low. Thus, we set a threshold value for β_{532} (normally $0.2 \text{ Mm}^{-1}\text{sr}^{-1}$); namely, when
 316 $\beta_{532}^{i,j} < 0.2 \text{ Mm}^{-1}\text{sr}^{-1}$ the elements of the matrices $\delta_{532}^{i,j}$ and $G_F^{i,j}$, are classified as “low signal” and
 317 ignored. For the remaining elements, we determine the aerosol type, using our approach. A primary
 318 typing is being made for each point (i,j) separately, in accordance with parameter ranges given in

319 the Table 1. The elements, which are out of all these ranges, are marked as “undefined”. We
 320 consider 6 types of the particles, respectively dust, smoke, pollen, urban, ice crystals and water
 321 droplets. Moreover, there can be two additional results of primary typing: “undefined” and “low
 322 signal”. Thus, there are altogether 8 possible results of primary typing. For every aerosol type, a
 323 $N_T \times N_H$ dimension matrix is constructed. If at this first stage of classification some single pixel
 324 point (i, j) is classified as, e.g., dust, the corresponding value in the 'dust' matrix is set to 1,
 325 otherwise it is set to 0.

326 The single pixel particle parameters contain statistical noise, which influences the results of
 327 the primary typing, thus producing high frequency oscillations of non-physical character. From a
 328 physical point of view, the aerosol single-type areas should form smooth regions, so a special
 329 smoothing procedure (stage 2 of our algorithm) was developed to remove the oscillations. The
 330 smoothing procedure is based on a convolution with Gaussian kernel

$$331 \quad Z = \exp\left(-\left(\frac{t^2}{s_T^2} + \frac{h^2}{s_H^2}\right)\right) \quad (9)$$

332 where t and h are temporal and height coordinates. The resolution of typing is being controlled by
 333 the parameters s_T and s_H , which are set as the number of temporal and height bins.

334 On the second stage of classification each of these matrices is separately convoluted with
 335 the Gauss kernel Z . After the convolution, the values for each pixel (i,j) are being compared. If,
 336 e.g., the 'dust' matrix contains maximal value at the pixel (i,j) , in respect to all other matrices, then
 337 the pixel (i,j) is finally classified as dust. The choice of smoothing parameters depends on aerosol
 338 loading and aerosol type. For the measurements inside the boundary layer in many cases the single
 339 pixel typing ($s_T=1, s_H=1$) is possible, while for analysis of the weak elevated layers the smoothing
 340 should be applied. All results presented in this study were obtained for $s_T=3$ and $s_H=5$, thus the
 341 temporal and range resolutions of our typing procedure are estimated to be about 8 minutes and
 342 60 m respectively.

343

344 4. Application of classification approach to LILAS data

345 The classification approach, described in the previous section, was applied to the data of
 346 the Mie-Raman- Fluorescence lidar at the ATOLL platform, located on the campus of Lille
 347 University, during 2020 – 2021 period. Here we present results of aerosol classification for several

348 relevant atmospheric situations, to demonstrate that different aerosol types are well separated
349 based on δ_{532} - G_F diagram.

350 ***12 September 2020: Wildfire smoke***

351 Fig.4 presents the spatio-temporal variations of aerosol and fluorescence backscattering
352 coefficients (β_{532} and β_F) together with the particle depolarization ratio δ_{532} and the fluorescence
353 capacity G_F during smoke episode on the night 12-13 September 2020. The smoke layer extends
354 from approximately 2 km to 5 km height, and it is characterized by high fluorescence capacity
355 $G_F > 3.0 \times 10^{-4}$ and low depolarization ratio $\delta_{532} < 7\%$. The cirrus clouds occurred above 11 km height
356 during the whole night. The smoke layer was transported from North America; detailed analysis
357 of the layer origin and transportation is given in the recent publication of Hu et al. (2022). The
358 results of aerosol typing for this episode are shown in Fig.5. On the δ_{532} - G_F diagram these data
359 form two clusters. First cluster includes points in the range $2.0 \times 10^{-4} < G_F < 6.0 \times 10^{-4}$ and
360 $2\% < \delta_{532} < 7\%$, such high fluorescence and low depolarization should be attributed to smoke
361 particles. The second cluster consists of points localized inside $0.1 \times 10^{-4} < G_F < 0.8 \times 10^{-4}$ and
362 $1\% < \delta_{532} < 3\%$ intervals which corresponds to urban particles in Table 1. After cluster localization,
363 the observations can be plotted as aerosol types, using the parameters in Table 1 and the approach,
364 described in section 3.2. The aerosol types in Fig.5b are spatially separated and contain no high
365 frequency oscillations. Urban particles are localized at low heights, below 1 km. We would like to
366 remind that, at the condition of high relative humidity (RH), the fluorescence capacity can decrease
367 due to the particle's hygroscopic growth (Veselovskii et al., 2020). In accordance with radiosonde
368 data the relative humidity below 1 km was quite high (about 70% at 500 m) and decreased with
369 height, which can explain the wide range of G_F variation observed for urban particles in Fig.5a.

370 The particle intensive properties, such as the lidar ratios at 355 nm and 532 nm wavelengths
371 (S_{355} , S_{532}), the particle depolarization ratios (δ_{355} , δ_{532} , δ_{1064}), the extinction ($A_{355/532}^\alpha$) and the
372 backscattering ($A_{355/532}^\beta$, $A_{532/1064}^\beta$) Angstrom exponents for the episodes analyzed in this study, are
373 summarized in Table 2. For this measurement session, in the smoke layer the lidar ratio at 532 nm
374 significantly exceeds corresponding value at 355 nm ($S_{532} = 80 \pm 12$ sr and $S_{355} = 50 \pm 7$ sr). The
375 particle depolarization ratio decreases with wavelength from 4.5% at 355 nm to 2% at 1064 nm.
376 Such spectral dependence of the lidar ratio and depolarization ratio for the aged smoke is in
377 agreement with previous studies (e.g. Haarig et al., 2018; Hu et al., 2022 and references therein).

378

379 *30 May 2020: Urban vs Pollen*

380 Pollen grains represent a significant fraction of primary biological materials in the
381 troposphere and fluorescence induced emission provides an opportunity for their identification.
382 Fig.6 presents spatio-temporal variations of β_{532} , β_F , δ_{532} , G_F during pollen season on the night 30-
383 31 May 2020. Presence of different types of pollen over Lille in Spring – Summer 2020 was
384 discussed in our recent publication (Veselovskii et al., 2021). In particular, on 30 May 2020 the in
385 situ measurements at the roof of the building demonstrate the presence of significant amount of
386 grass pollen. The transport of pollen can be analyzed with a global-to-meso-scale dispersion model
387 SILAM (Sofiev et al., 2015). In Appendix we show the maps of the pollen index, for four sessions
388 from this study at 22 UTC. On 30 May the pollen index in Lille region is about 5.0, indicating high
389 content of pollen.

390 The aerosol is located inside the planetary boundary layer (PBL) below 2.5 km. At altitudes
391 below 1 km, the depolarization ratio δ_{532} after 23:00 increases up to ~15% simultaneously with an
392 increase of the fluorescence capacity up to 2.0×10^{-4} , which can be an indication of pollen presence.
393 On the δ_{532} - G_F diagram in Fig.7a, the single pixel data points spread from the values typical for
394 the urban particles to the values typical for the pollen. Contribution of pollen to the total
395 backscattering changes with height and the points form the pattern, similar to characteristic curve,
396 calculated for urban – pollen mixture in Fig.3. In accordance with radiosonde data from
397 Herstmonceux station, the RH at midnight was about 40% at 500 m and it increased up to 70% at
398 2000 m, thus the spatio – temporal variations of RH could influence the observed values of the
399 backscattering coefficient and depolarization ratio. In particular, the hygroscopic growth can
400 decrease the values of both δ_{532} and G_F . However, the value of the fluorescence capacity in Fig.7a
401 changes for almost one order of magnitude, and such strong change in G_F can not be explained by
402 the particle hygroscopic growth only. For example, from the recent publication of Sicard et al.
403 (2022), increase of β_{532} of urban aerosol for this range of RH, is below the factor 1.5. Thus, we
404 suppose that the pattern in Fig.7a is due to the mixing urban and pollen particles. The spatio-
405 temporal distribution of aerosol types is shown in Fig.7b. The urban particles (brown) are
406 predominant, while pollen (yellow) is localized below 1 km height. The grey color corresponds to
407 unidentified aerosol type which, in our case, is the mixture of urban particles and pollen.

408 An indicator of pollen presence in an aerosol mixture, along with high depolarization ratio,
409 can be a higher value of δ_{1064} in respect to δ_{532} or δ_{355} (Cao et al., 2010; Veselovskii et al., 2021).

410 Vertical profiles of the particle depolarization ratio at all three wavelengths for this episode are
 411 given in Fig.8c of Veselovskii et al. (2021). At 0.75 km height, where δ_{1064} is about 15%, the ratio
 412 $\frac{\delta_{1064}}{\delta_{532}}$ is 1.5, which corroborates suggestions about pollen presence. For urban aerosol the
 413 depolarization spectral ratio $\frac{\delta_{1064}}{\delta_{532}}$ can be also above 1.0 (Burton et al., 2013), but absolute values
 414 of depolarization are significantly lower than for pollen particles (below 10%).

415

416 *14 September 2020: wildfire smoke vs pollen mixture*

417 Another strong smoke episode occurred in the night 14-15 September 2020, and
 418 corresponding distributions of β_{532} , β_F , δ_{532} , and G_F are shown Fig.8. The elevated smoke layer
 419 with low depolarization ratio ($\delta_{532}<5\%$) and high fluorescence capacity (up to 4.0×10^{-4}) was
 420 observed at approximately 6 km height during the whole night. Inside the boundary layer the
 421 depolarization ratio is higher, up to 15%, while fluorescence capacity is lower (about 1.0×10^{-4}),
 422 compared to the elevated layer. On the $\delta_{532}-G_F$ diagram in Fig.9a we can see the cluster of data
 423 points, corresponding to the smoke. The same time, a part of the points are inside the range of
 424 parameters attributed to the pollen (Table 1). The remaining points should be attributed to the
 425 mixture of pollen, smoke and urban aerosol. On the distribution of the particle types (Fig.9b) this
 426 mixture is marked with gray color. The pollen particles are localized below 1 km. Presence of
 427 pollen over Lille in September is not common, but it can be transported from other regions. The
 428 SILAM pollen index in Fig.A1 for this date demonstrates the transport of pollen to northern France
 429 from the southeast of France and the east Mediterranean.

430 Fig.10a presents profiles of δ_{532} and δ_{1064} together with β_{532} for the temporal interval 00:00
 431 – 04:00 UTC. The relative humidity, in accordance with radiosonde data from Herstmonceux
 432 station, did not exceed 50% below 1.7 km. Above that height RH increased up to 75% at 2.5 km,
 433 thus the observed increase of β_{532} above 1.5 km can be partly related to RH growth. The relative
 434 humidity inside the smoke layer did not exceed 10%. Similarly to Fig.8, δ_{1064} exceeds δ_{532} at low
 435 heights. The ratio $\frac{\delta_{1064}}{\delta_{532}}$ is about 1.5 at 1 km and inside the smoke layer $\frac{\delta_{1064}}{\delta_{532}} \approx 0.4$. Higher values
 436 of depolarization ratio at 532 nm compared to 1064 nm are reported for aged smoke by Haarig at
 437 al. (2018), Hu et al. (2019, 2022). The BAE does not present significant height variations: $A_{532/1064}^\beta$

438 is about 1.0 inside the PBL and it increases to 1.25 inside the smoke layer (Fig.10b).
439 Simultaneously, the fluorescence capacity in the smoke layer increases about a factor 4, comparing
440 to the PBL, which demonstrates efficiency of the fluorescence technique for discriminating smoke
441 from other aerosol types.

442

443 *10 April 2020: Urban vs Pollen*

444 In the beginning of April, we experienced several atmospheric situations, for which
445 elevated layers were classified as urban aerosols. One of such cases, on the night 10 -11 April 2020,
446 is shown in Fig.11. Lidar observations were performed at an angle of 45 degrees to the horizontal,
447 so the minimum height reachable in the analysis is 350 m. The relative humidity, in accordance
448 with radiosonde data from Herstmonceux station, increased with height from 54% at 1.0 km to 65%
449 at 2.2 km. The layer with depolarization ratio δ_{532} below 5% was observed at about 2 km height
450 during the night. The fluorescence capacity in the layer is low (below 0.5×10^{-4}), so it is identified
451 as urban aerosol. HYSPLIT backward trajectories (not shown) indicate that the air masses at 750
452 m and 2000 m heights were transported from England (HYSPLIT, 2022). For the period 21:00 –
453 23:00 UTC the depolarization ratio below 500 m has increased simultaneously with the
454 fluorescence capacity, which can be an indication of pollen presence.

455 On the δ_{532} - G_F diagram (Fig.12a) the single pixel measurements in 350 m – 1500 m and
456 1500 m – 2500 m height ranges are shown by different colors. The data points related to the upper
457 layer are within the range of parameters expected for urban aerosol. The points in the lower layer
458 (below 1500 m), are partly out of this range, so the aerosol type for these points is undefined. We
459 assume that this is the mixture of urban and pollen particles, because we observe particles with
460 high depolarization ($\delta_{532} > 15\%$) and fluorescence capacity up to 0.7×10^{-4} . This mixture is marked
461 by grey color on aerosol mask in Fig.12b. The pollen index provided by SILAM over Lille on the
462 midnight, is above 4.0, so the presence of pollen particles is expectable.

463 The presence of pollen is supported also by the profiles of δ_{532} and δ_{1064} , shown in Fig.13.
464 At low heights δ_{1064} exceeds δ_{532} and the ratio $\frac{\delta_{1064}}{\delta_{532}}$ is about 1.4 at 0.5 km. However, inside the
465 elevated layer this ratio decreases and becomes about 0.8 at 2.25 km, which indicates that mixture
466 composition changed. For the same height range, the fluorescence capacity decreases from 0.6×10^{-4}

467 ⁴ to 0.3×10^{-4} while $A_{532/1064}^{\beta}$ gradually increases from 0.75 to 1.25 which can be due to decrease of
468 pollen contribution.

469 As follows from Table 2, in the lower layer the values of S_{355} and S_{532} are close (about 48 ± 7
470 sr). However, in elevated layer S_{532} increases to 70 ± 7 sr, while S_{355} remains the same. Higher
471 values of S_{532} , in respect to S_{355} , are typical for aged smoke (e.g. Müller et al., 2005; Hu et al.,
472 2022). Moreover, $A_{355/532}^{\beta}$ significantly exceeds $A_{355/532}^{\alpha}$, which was also reported for aged smoke.
473 Thus, based on intensive properties only, we could classify this layer as “smoke”. However, due
474 to low fluorescence capacity, in our approach we identify it as “urban”.

475

476 *11 August 2021: contacting layers of smoke and urban aerosol*

477 Separation of smoke and urban particles is a challenging task for Mie – Raman lidar,
478 because both types have small effective radius, and similar depolarization ratios δ_{532} . However,
479 the fluorescence capacity of smoke is about factor 4-5 higher than that of urban aerosol, which
480 allows their reliable separation. The analyses of the measurements in the night 11-12 August 2021
481 are shown in Fig.14. The RH decreases with height from 70% to 40% inside 500 m – 2250 m range.
482 The main part of aerosol is concentrated below 2500 m and two height intervals can be
483 distinguished. Above approximately 1500 m the layer with high fluorescence capacity (up to
484 3.0×10^{-4}) is observed, while in the layer below 1500 m, the G_F is low, (below 0.8×10^{-4}). HYSPLIT
485 backward trajectories (not shown) indicate that the air masses at 1800 m heights were transported
486 from North America, so these may contain wild fire smoke.

487 On the δ_{532} - G_F diagram (Fig.15a) the single pixel measurements in 500 m – 1400 m and
488 1400 m – 2500 m height ranges are shown by different colors. The cluster of points, corresponding
489 to the upper layer, is localized mainly inside the interval $1.8 \times 10^{-4} < G_F < 4.0 \times 10^{-4}$ and $4\% < \delta_{532} < 10\%$,
490 and can be attributed to smoke. The points corresponding to the lower layer are partly identified
491 as urban particles, but a part of the points is out of the range and forms a pattern typical for urban
492 – pollen mixture. The SILAM pollen index in Fig.A1 is above 5.0, so contribution of pollen can
493 be noticeable. The smoke and urban layers are in contact and the particle mixing occurs, which
494 increases dispersion within the clusters.

495 Vertical profiles of δ_{532} and $A_{532/1064}^{\beta}$ in Fig.16 do not demonstrate significant difference for
496 upper and lower layers. Meanwhile, the fluorescence capacity increases by factor 4. The lidar ratios

497 S_{355} and S_{532} in the upper layer, as follows from Table 2, are 45 ± 7 sr and 72 ± 11 sr respectively.
498 The $A_{355/532}^{\beta}$ significantly exceeds $A_{355/532}^{\alpha}$ (2.2 ± 0.2 and 1.0 ± 0.2 respectively), so based on
499 intensive parameters, the upper layer can be also identified as smoke.

500

501 *1 April 2021: Dust*

502 Dust layers transported from Africa are regularly observed over North of France. One such
503 dust episode took place in the night 1-2 April 2021 and the corresponding spatio-temporal
504 variations of β_{532} , β_F , δ_{532} , and G_F are shown in Fig.17. The dust layer, with depolarization ratio
505 exceeding 30%, and low fluorescence, extends from approximately 1.0 km to 5.0 km height. The
506 fluorescence capacity varied inside the layer. In the center it was the lowest (about 0.1×10^{-4}), but
507 at the bottom of the layer and near the top, G_F increased up to $(0.2\div 0.3)\times 10^{-4}$. In Fig.18a, (δ_{532} -
508 G_F diagram), we observe a cluster of particles, which can be identified as dust. There is also a
509 second small cluster, attributed to urban aerosols. On the distribution of particle types in Fig.18b
510 the urban aerosol occurs below 800 m after 23:00 UTC.

511 Fig.19 provides vertical profiles of β_{532} , δ_{532} , δ_{355} , β_F , G_F and $A_{355/532}^{\beta}$. Measurements at
512 1064 nm were not available for this episode. Depolarization ratios at 355 nm and 532 nm are close
513 to 30% through the layer, though at heights below 1.5 km there is small enhancement of δ_{532} up to
514 34%. The fluorescence capacity is about 0.4×10^{-4} at 1.5 km and it decreases with height to 0.1×10^{-4}
515 at 2.5 km. However, this decrease is not accompanied by changes in depolarization ratio. The
516 backscattering Ångstrom exponent $A_{355/532}^{\beta}$ is sensitive to the enhancement of dust absorption in
517 UV and can be negative (Veselovskii et al., 2020a). For this episode $A_{355/532}^{\beta}$ decreases with height
518 (together with G_F) to -0.3 at 2.5 km. Similar values of $A_{355/532}^{\beta}$ were observed during SHADOW
519 campaign in Western Sahara (Veselovskii et al., 2020a). Above 3.75 km both $A_{355/532}^{\beta}$ and G_F start
520 to increase. Hence, dust properties change with height and this change is not revealed on δ_{532}
521 profile. We should mention, that in publication of Veselovskii et al. (2020a), increase of the dust
522 imaginary part in UV also did not lead to changes in δ_{532} .

523 Application of our new “Fluorescence – Depolarization” based approach to six episodes
524 considered in this section, demonstrates its ability to discriminate several aerosol types. The first
525 step in validation of the results presented, could be comparison of the particle properties for

526 obtained aerosol types with corresponding values, used in existing typing algorithms. Table 3
527 provides the range of variation of particle intensive properties from publications of Burton et al.,
528 (2013), synthetic values used in NATALI algorithm (Nicolae et al., 2018) and parameters used in
529 the algorithm of Papagiannopoulos et al. (2018) for the urban, smoke and dust particles. The table
530 contains also the range of properties variation for the episodes considered in current study for the
531 same aerosol types. Parameters chosen in different algorithms, even for the same aerosol type,
532 vary in a wide range, and the values observed in this study mainly match this range of variation.
533 We observe higher values of $A_{355/532}^{\beta}$ for urban and smoke particles, and for dust, $A_{355/532}^{\beta}$ could be
534 negative. Still, the values obtained in this study and the values used by other algorithms are in
535 reasonable agreement.

536

537 **Conclusion**

538 The results presented in this study can be considered as the first important step in the
539 combination of Mie – Raman and fluorescence lidar data. In approach presented, only two
540 intensive parameters are used for classification: the particle depolarization ratio δ_{532} and the
541 fluorescence capacity G_F . These parameters are chosen because they are specific for different types
542 of aerosol and can be calculated with high spatio-temporal resolution. Moreover, δ_{532} and G_F can
543 be calculated at lower altitudes, compared to extinction related parameters, such as lidar ratio and
544 extinction Angstrom exponent. Thus classification, in principle, is possible at ranges with
545 incomplete geometrical overlap. Finally, computation of β_F does not demand the use of reference
546 height, only calibration of relative sensitivity of the channels is needed. Thus, aerosol classification
547 is possible, even in the presence of low-level clouds.

548 Though only two aerosol properties are considered, the use of fluorescence provides
549 advances in aerosol classification. Analysis of numerous observations, performed at Lille
550 University for the period 2020 – 2021, demonstrates the possibility to separate four types of
551 aerosols, such as dust, smoke, pollen and urban. Moreover, we are able to identify the layers
552 containing the liquid water particles and ice. The number of determined aerosol classes can be
553 increased, by considering the particle mixtures. In particular, “pure” dust can be considered
554 separately from “polluted” one, which can be discriminated by lower values of the depolarization
555 ratio.

556 Fluorescence technique is especially promising for separation of smoke and urban particles,
557 because fluorescence capacity of smoke is about factor five higher. The important advantage of
558 fluorescence measurements is the ability to identify the biological particles in the atmosphere, such
559 as pollen, which are usually not included in the classification schemes, based on Mie-Raman
560 observations. At the same time, our observations demonstrate that biological particles are
561 frequently observed during Spring – Autumn seasons and may contribute significantly to the
562 aerosol composition inside the PBL. The developed approach allows to identify aerosol types with
563 high spatio-temporal resolutions, which is estimated to be 60 m for height and less than 10 minutes
564 for time, for the current instrumental configuration. Such resolution provides an opportunity for
565 investigating the dynamics of aerosol mixing in the troposphere.

566 The next step in algorithm development will be to include additional particle properties.
567 We plan to include the backscattering Angstrom exponents and the depolarization spectral ratios
568 ($\delta_{355}/\delta_{532}$ and $\delta_{532}/\delta_{1064}$), which can be also calculated with high spatio-temporal resolutions. The
569 fluorescence capacity depends on the relative humidity, due to the effects of hygroscopic growth.
570 Thus, information about spatio-temporal distribution of RH should be included in the analysis. It
571 is also important to combine our algorithm with existing classification schemes, which we plan to
572 consider in the near future.

573

574 **Data availability.** Lidar measurements are available upon request
575 (philippe.goloub@univ-lille.fr).

576

577 **Author contributions.** IV processed the data and wrote the paper. QH and TP performed the
578 measurements. PG supervised the project and helped with paper preparation. BB prepared
579 algorithm for aerosol classification. MK developed software for data processing.

580 .

581 **Competing interests.** The authors declare that they have no conflict of interests.

582

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591

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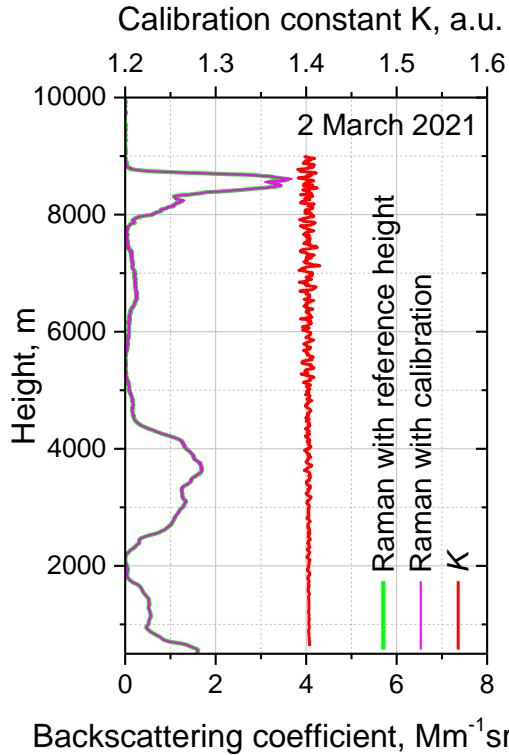
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779 Fig.1. Backscattering coefficients at 532 nm for period 19:00 – 20:00 UTC on 2 March 2021

780 calculated from Mie-Raman observations using the reference height as Ansmann et al. (1992)

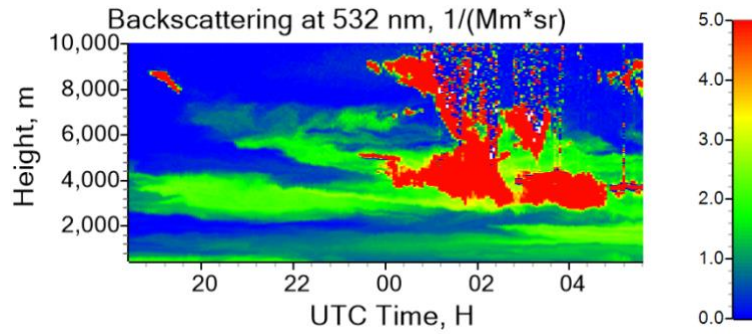
781 (green) or the calibration constant as in Eq 5. (magenta). The profile of calibration constant K is

782 shown with red line.

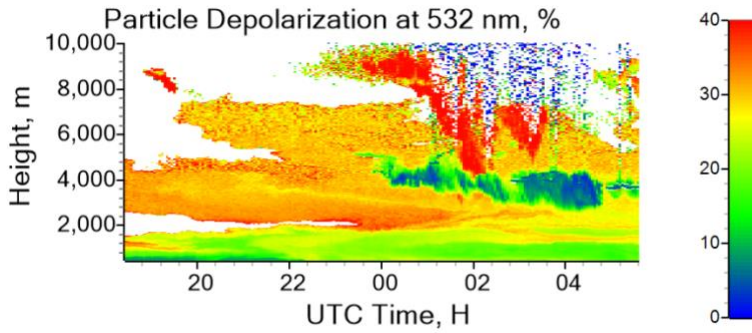
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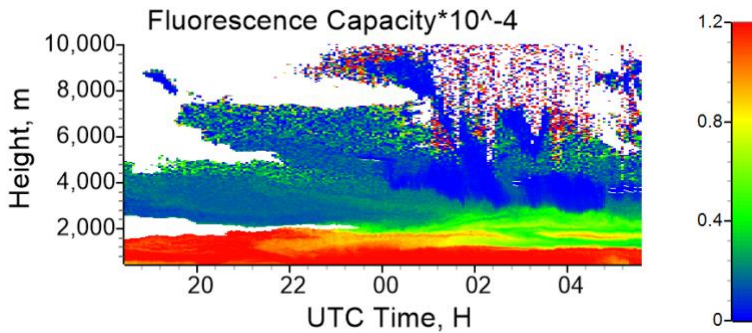
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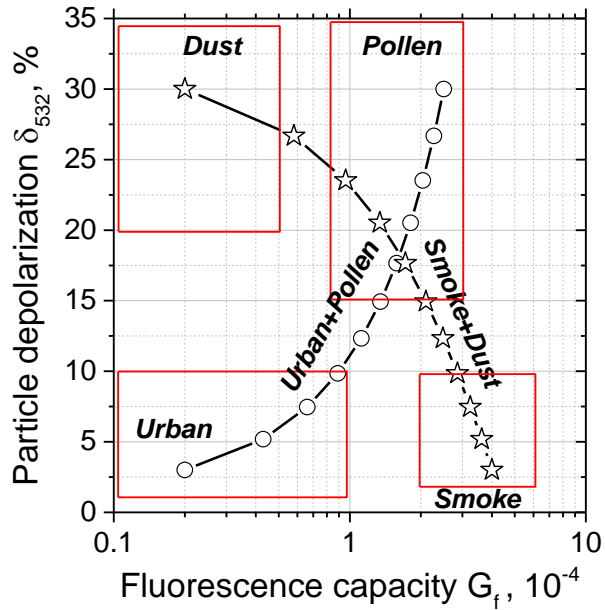


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790 Fig.2. Spatio-temporal distributions of the backscattering coefficient β_{532} , the particle
791 depolarization ratio δ_{532} and the fluorescence capacity G_F in the night 2-3 March 2021. The
792 backscattering coefficient β_{532} is calculated with the modified Raman method. The values of δ_{532} ,
793 and G_F are shown for $\beta_{532} > 0.2 \text{ Mm}^{-1} \text{sr}^{-1}$.
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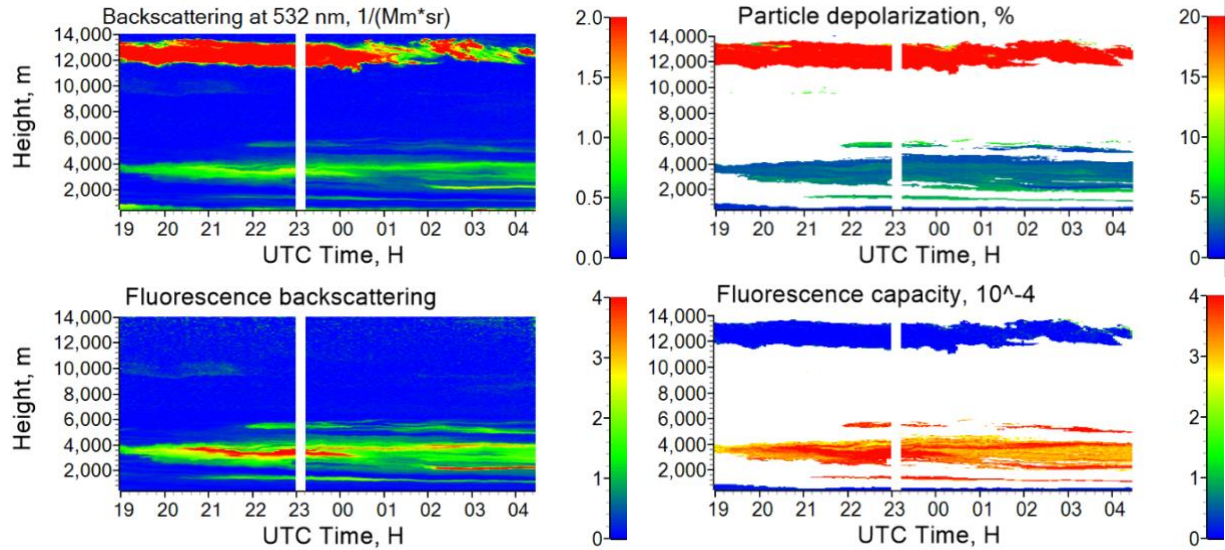


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797 Fig.3. Aerosol typing with δ_{532} - G_F diagram. The ranges of the particle parameters variation for
 798 dust, pollen, smoke and urban aerosol are given by rectangles. The symbols show the results of
 799 simulation performed for pollen+urban (circles) and smoke + dust (stars) mixtures. Relative
 800 contribution of pollen (smoke) to the total backscattering β_{532} varied in 0 – 1.0 range with step 0.1.
 801 Particle parameters used in calculations are given in the text.

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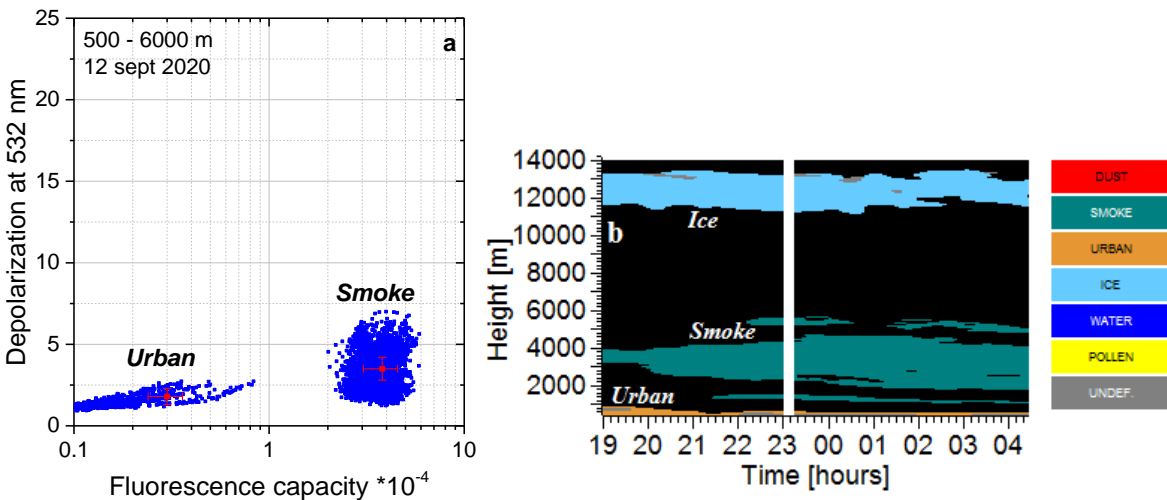
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Fig.4. Spatio-temporal distributions of the backscattering coefficient β_{532} , the fluorescence backscattering coefficient β_F (in $10^{-4} \text{ Mm}^{-1}\text{sr}^{-1}$), the particle depolarization ratio δ_{532} ; and the fluorescence capacity G_F in the night 12-13 September 2020. Calculation of δ_{532} and G_F was not performed for $\beta_{532} < 0.2 \text{ Mm}^{-1}\text{sr}^{-1}$.



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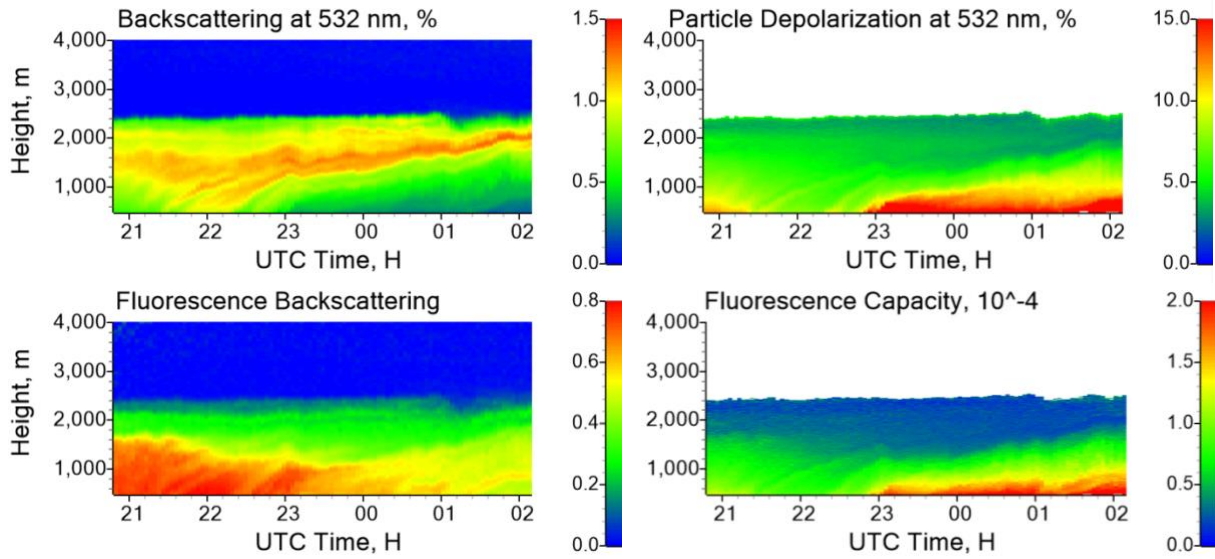
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Fig.5 (a) The δ_{532} - G_F diagram for data from Fig.4 in 500 – 6000 m height range, red crosses show the uncertainty of the measurements. (b) Spatio-temporal distribution of aerosol types in the night 12-13 September 2020. Grey color shows undefined aerosol type, while measurements with $\beta_{532} < 0.2 \text{ Mm}^{-1}\text{sr}^{-1}$ are marked by black color.

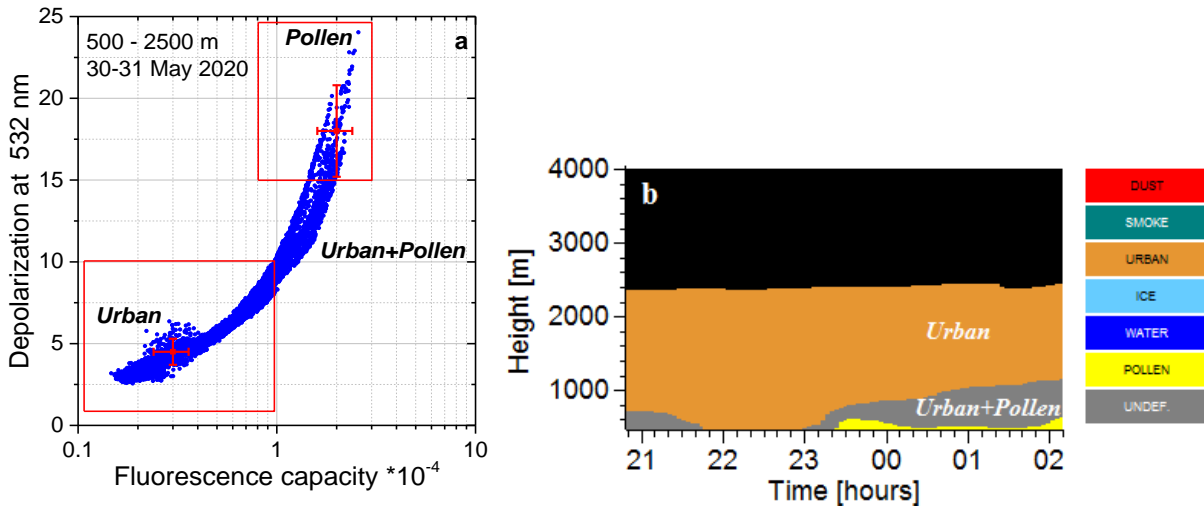
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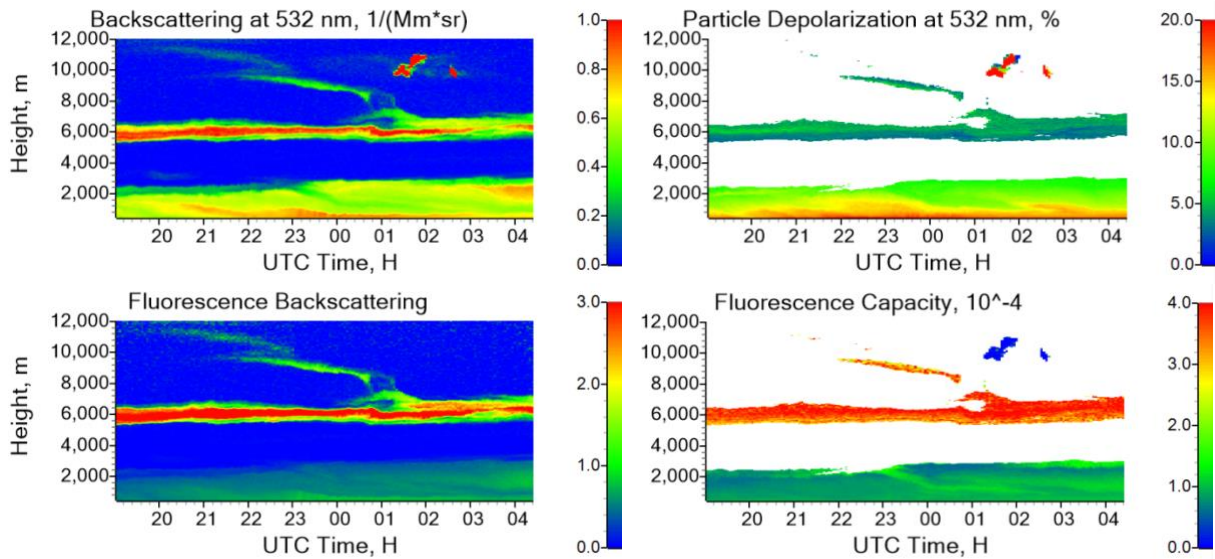
Fig.6. Spatio-temporal distributions of the backscattering coefficient β_{532} ; the fluorescence backscattering coefficient β_F (in $10^{-4} \text{ Mm}^{-1}\text{sr}^{-1}$); the particle depolarization ratio δ_{532} ; and the fluorescence capacity G_F in the night 30-31 May 2020.



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Fig.7. (a) The δ_{532} - G_F diagram for observations in 500 m – 2500 m height range and (b) spatio-temporal distribution of aerosol types on the night 30-31 May 2020. Grey color shows undefined aerosol type, which is a mixture of urban and pollen for this case. Measurements with $\beta_{532} < 0.2 \text{ Mm}^{-1}\text{sr}^{-1}$ are marked by black color.

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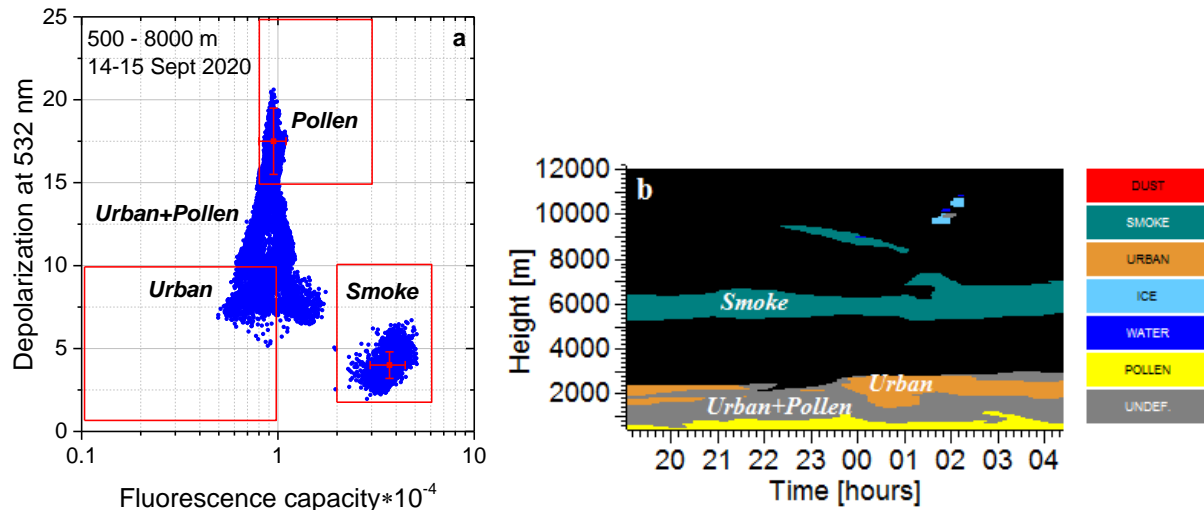


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836 Fig.8. Spatio-temporal distributions of the backscattering coefficient β_{532} , the fluorescence
 837 backscattering coefficient β_F (in $10^{-4} \text{ Mm}^{-1}\text{sr}^{-1}$), the particle depolarization ratio δ_{532} , and the
 838 fluorescence capacity G_F in the night 14 – 15 September 2020. Measurements with $\beta_{532} < 0.2 \text{ Mm}^{-1}$
 839 sr^{-1} are marked by black color.

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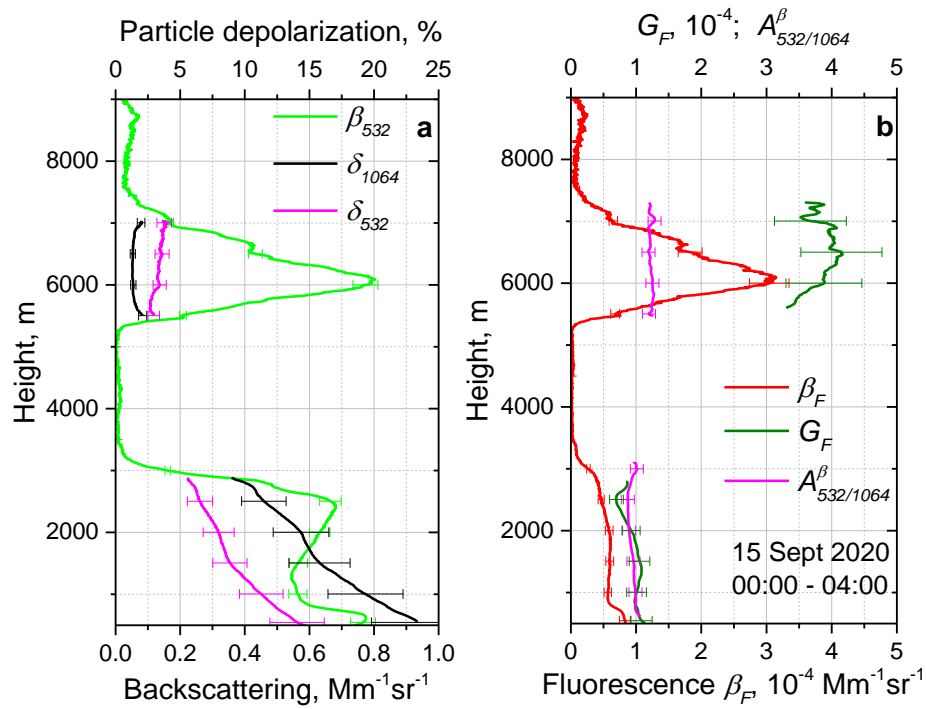
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842 Fig.9. (a) The δ_{532} - G_F diagram for observations in 500 m – 8000 m height range and (b) spatio-
 843 temporal distribution of aerosol types in the night 14 – 15 September 2020. Grey color shows
 844 undefined aerosol type, which is a mixture of pollen, urban and smoke particles.

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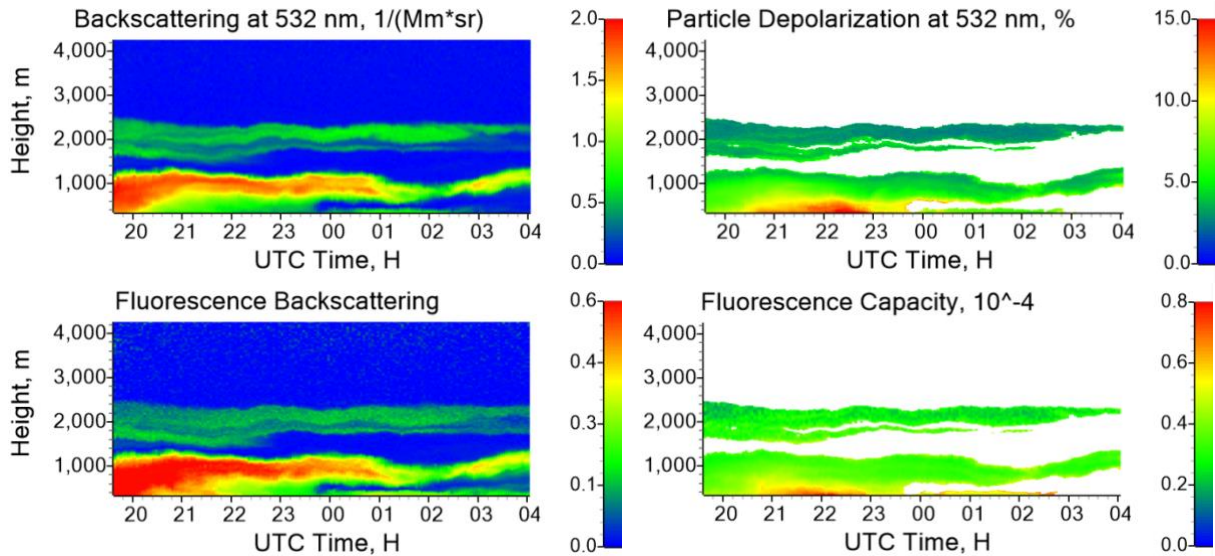
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850 Fig.10. Vertical profiles of (a) backscattering coefficient β_{532} and particle depolarization ratios δ_{532} ,851 δ_{1064} ; (b) fluorescence backscattering β_F , fluorescence capacity G_F and backscattering Angstrom852 exponent $A_{532/1064}^\beta$ on 15 September 2020 for period 00:00 – 04:00 UTC.

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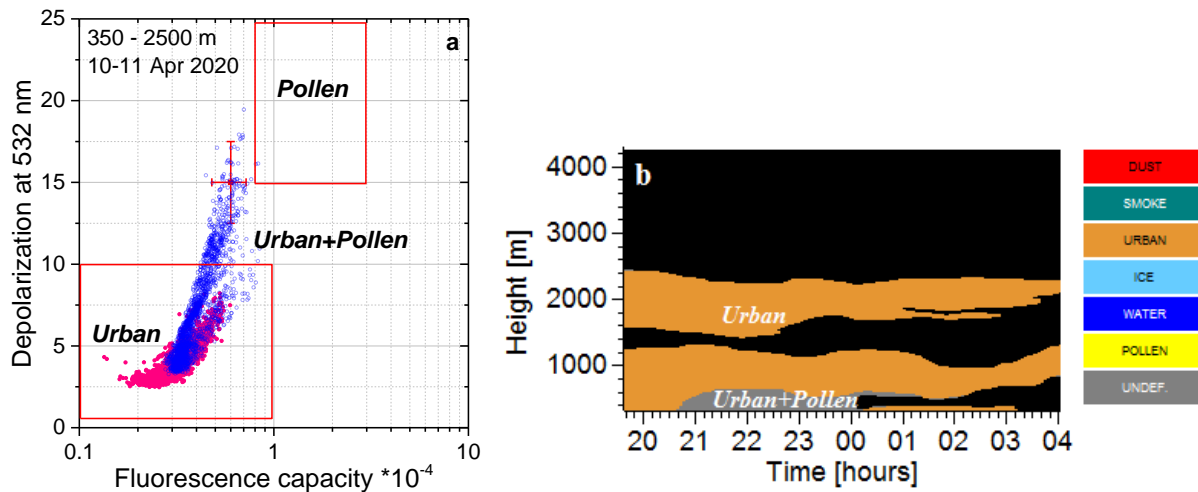
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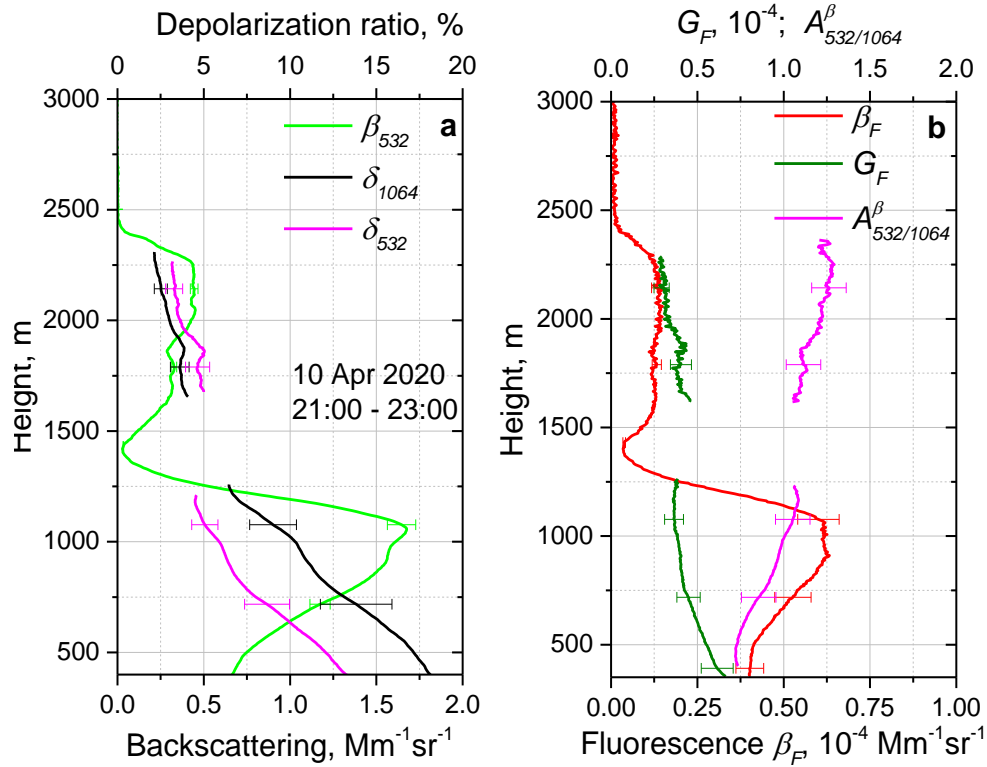
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858 Fig.11. Spatio-temporal distributions of the backscattering coefficient β_{532} , the fluorescence
 859 backscattering coefficient β_F (in $10^{-4} Mm^{-1}sr^{-1}$), the particle depolarization ratio δ_{532} ; and the
 860 fluorescence capacity G_F in the night 10 – 11 April 2020. Measurements are performed at an angle
 861 of 45 dg to horizon.
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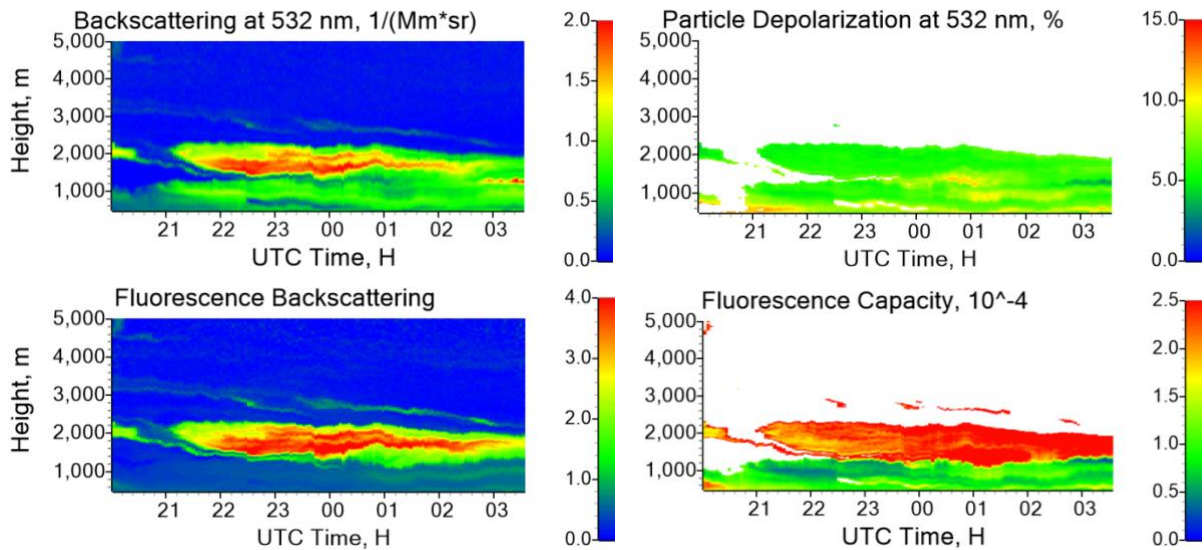
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864 Fig.12. (a) The $\delta_{532}-G_F$ diagram for observations in 350 – 1500 m (blue symbols) and 1500 – 2500
 865 m (pink symbols) height ranges. (b) Spatio-temporal distribution of aerosol types in the night 10
 866 – 11 April 2020. Grey color shows undefined aerosol type, which is a mixture of urban and pollen
 867 for this case. Measurements with $\beta_{532} < 0.2 Mm^{-1}sr^{-1}$ are marked by black color.
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 870 Fig.13. Vertical profiles of (a) backscattering coefficient β_{532} and particle depolarization ratios δ_{532} ,
 871 δ_{1064} ; (b) fluorescence backscattering β_F , fluorescence capacity G_F and backscattering Angstrom
 872 exponent $A_{532/1064}^\beta$ on 10 April 2020 for period 21:00 – 23:00 UTC.
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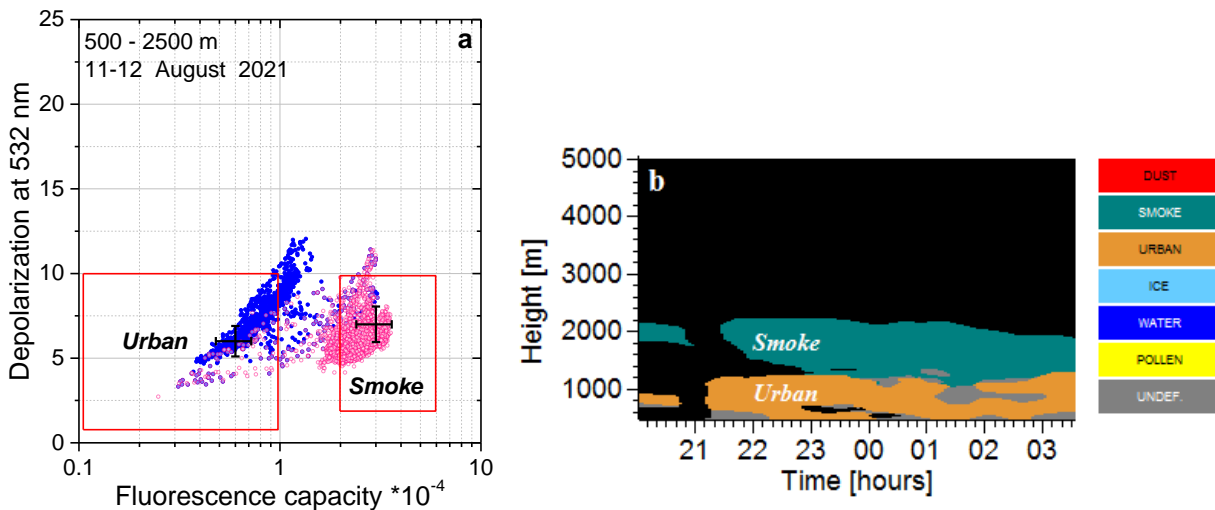
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Fig.14. Spatio-temporal distributions of the backscattering coefficient β_{532} , the fluorescence backscattering coefficient β_F (in $10^{-4} \text{ Mm}^{-1}\text{sr}^{-1}$), the particle depolarization ratio δ_{532} , and the fluorescence capacity G_F in the night 11 – 12 August 2021. Measurements with $\beta_{532} < 0.2 \text{ Mm}^{-1}\text{sr}^{-1}$ are marked by black color.



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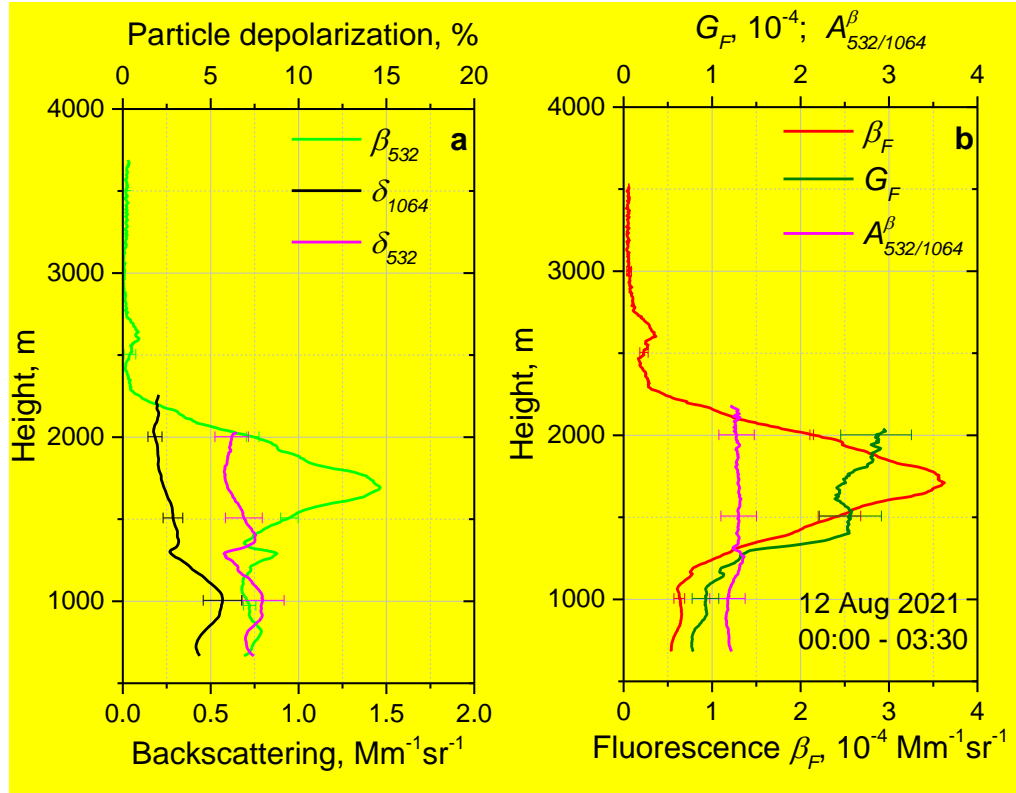
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Fig.15. (a) The δ_{532} - G_F diagram for observations in 500 – 1400 m (blue symbols) and 1400 – 2500 m (pink symbols) height ranges. (b) Spatio-temporal distribution of aerosol types in the night 11-12 August 2021.

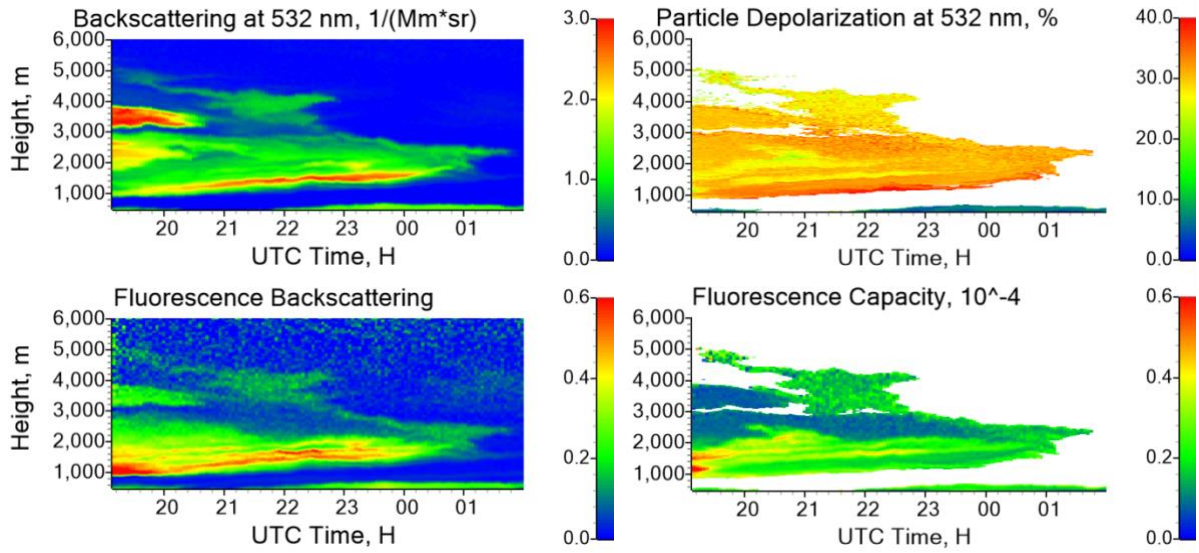
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891 Fig.16. Vertical profiles of (a) backscattering coefficient β_{532} and particle depolarization ratios δ_{532} ,
892 δ_{1064} ; (b) fluorescence backscattering β_F , fluorescence capacity G_F and backscattering Angstrom
893 exponent $A_{532/1064}^\beta$ on 12 August 2021 for period 00:00 – 03:30 UTC.

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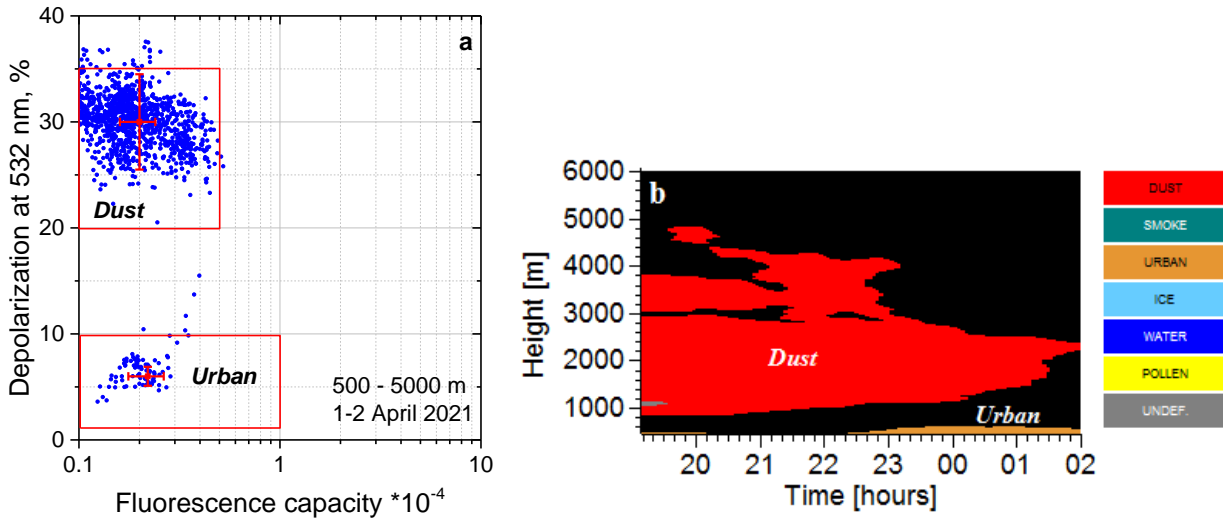
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Fig.17. Height – temporal distributions of the backscattering coefficient at 532 nm β_{532} , the fluorescence backscattering coefficient β_F (in $10^{-4} Mm^{-1}sr^{-1}$), the particle depolarization ratio at 532 nm δ_{532} , and the fluorescence capacity G_F in the night 1-2 April 2021.



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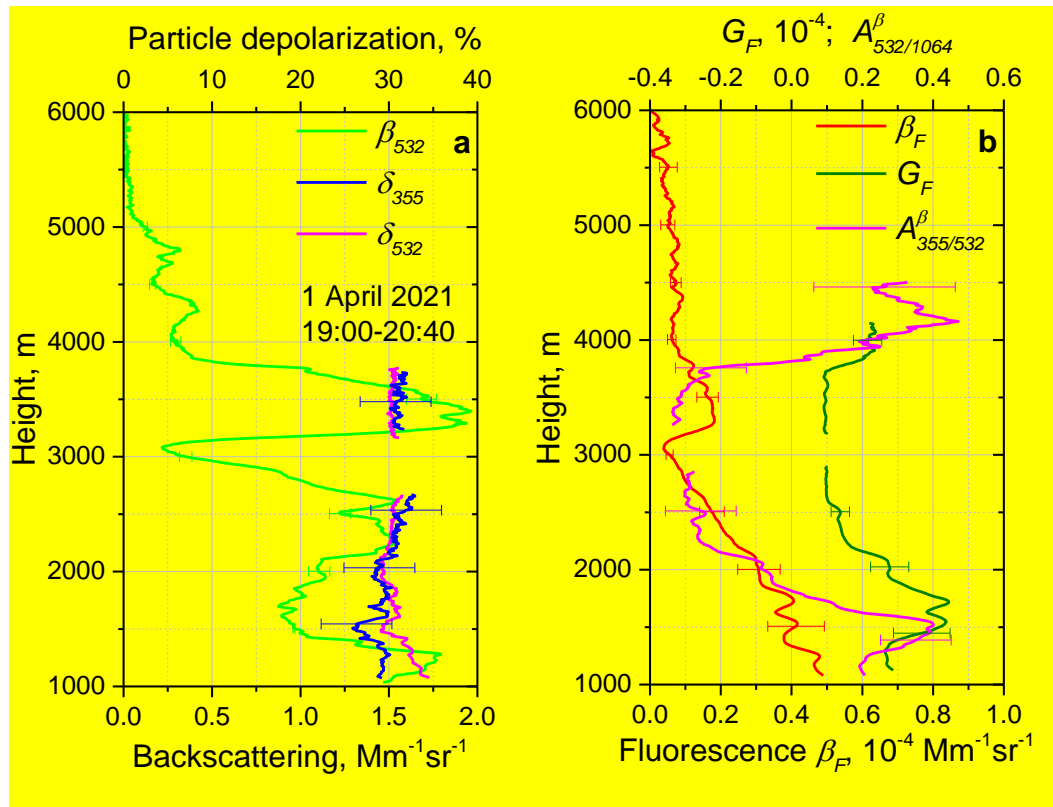
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Fig.18. (a) The $\delta_{532}-G_F$ diagram for observations in 500 – 5000 m height range and (b) spatio-temporal distribution of aerosol types in the night 1-2 April 2021.



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910 Fig. 19. Vertical profiles of (a) backscattering coefficient β_{532} and particle depolarization ratios δ_{532} ,911 δ_{355} ; (b) fluorescence backscattering β_F , fluorescence capacity G_F and backscattering Angstrom912 exponent $A_{355/532}^\beta$ on 1 April 2021 for period 19:00 – 20:40 UTC.

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916 Table 1. Ranges of particle depolarization δ_{532} and fluorescence capacity G_F , which were used for aerosol classification.

Aerosol type	δ_{532} , %	G_F , ($\times 10^{-4}$)
Dust	20 - 35	0.1 – 0.5
Pollen	15 - 35	0.8 – 3.0
Urban	1 - 10	0.1 – 1.0
Smoke	2- 10	2.0 – 6.0
Ice	>40	<0.01
Water	<5	<0.01

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920 Table 2. Intensive particle parameters such as the lidar ratios (S_{355} , S_{532}), particle depolarization ratios (δ_{355} , δ_{532} , δ_{1064}), extinction
 921 ($A_{355/532}^\alpha$) and backscattering ($A_{355/532}^\beta$, $A_{532/1064}^\beta$) Angstrom exponents for six episodes, analyzed in this work. Parameters are given for
 922 chosen height – temporal intervals and the types of aerosol are determined from fluorescence measurements.

Date	Time, UTC	H, km	Type	S_{355} , sr	S_{532} , sr	δ_{355} , %	δ_{532} , %	δ_{1064} , %	$A_{355/532}^\alpha$	$A_{355/532}^\beta$	$A_{532/1064}^\beta$
10.04.2020	21:00-23:00	0.9-1.1	Urb.+Poll.	48±7	48±7	5.0±1.0	6.0±1.0	10±1.5	1.3±0.2	1.4±0.2	1.0±0.2
		2.0-2.2	Urban	50±7	70±10	7.0±1.0	3.5±0.7	3.0±0.6	1.1±0.2	2.0±0.2	1.2±0.2
30.05.2020	21:00-02:00	1.8-2.0	Urban	60±9	55±8	3.6±0.8	4.0±0.8	5.7±1.0	2.0±0.2	1.6±0.2	1.2±0.2
12.09.2020	20:00-23:00	3.2-3.8	Smoke	50±7	80±12	4.5±1.0	3.0±0.6	2.0±0.4	1.0±0.2	2.2±0.2	1.2±0.2
15.09.2020	00:00-04:00	1.4-1.6	Pollen	40±6	37±6	9.5±1.5	8.0±1.5	15±2.5	1.6±0.2	1.4±0.2	0.9±0.2
		5.8-6.2	Smoke	45±7	70±10	9.0±1.5	3.5±0.7	1.4±0.3	0.8±0.2	2.0±0.2	1.2±0.2
01.04.2021	19:00-20:40	2.25-2.5	Dust	57±8	52±8	30±4.5	30±4.5	-	0±0.2	-0.3±0.2	-
11.08.2021	22:00-24:00	1.0-1.2	Urban	42±7	55±8	-	8.0±1.2	5.7±0.8	1.3±0.2	1.5±0.2	1.1±0.2
		1.5-2.0	Smoke	45±7	72±11	-	6.0±0.9	2.5±0.5	1.0±0.2	2.2±0.2	1.2±0.2

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Table 3. Intensive particle parameters from publications of Burton et al., (2013); Nicolae et al., (2018); and Papagiannopoulos et al., (2018) together with values observed in current study for the urban, smoke and dust particles.

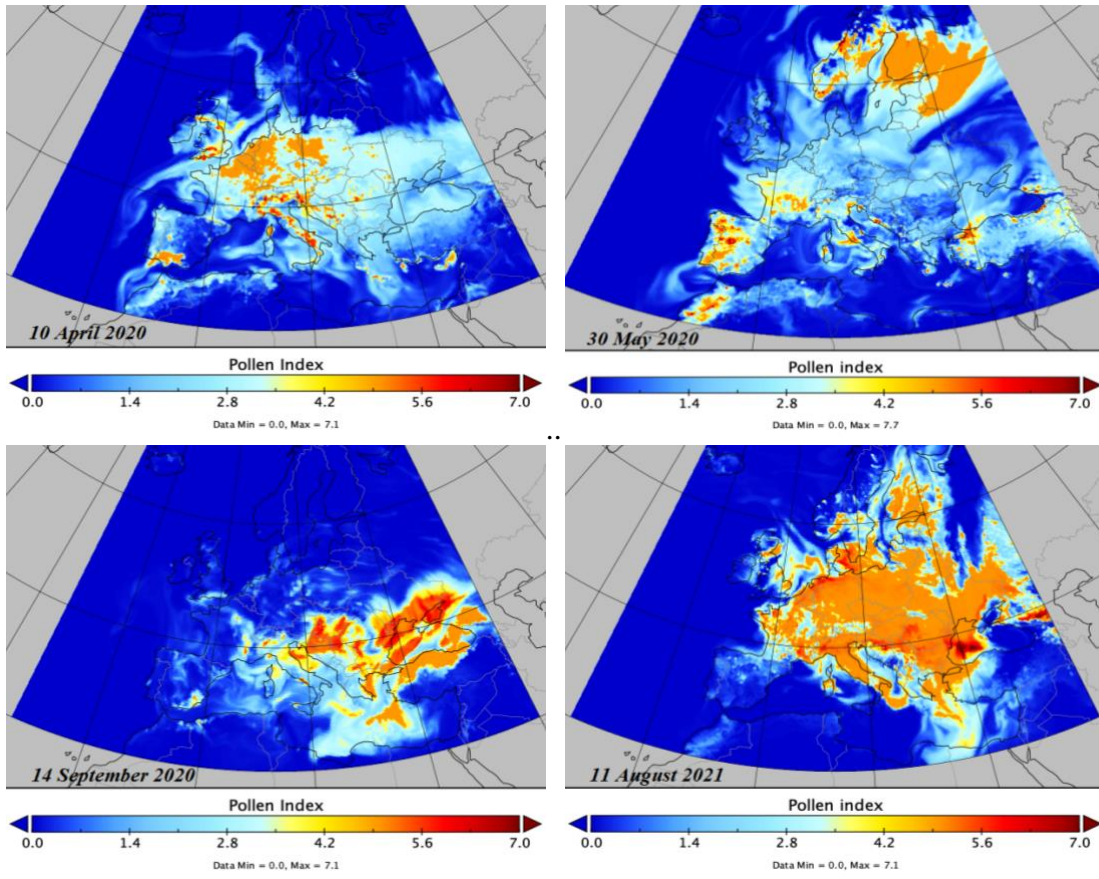
	Burton et al., 2013	Nicolae et al., 2018	Papagiannopoulos et al., 2018	This study
	Urban	Continental (rural)	Clear continental	Urban
$S_{355, sr}$		43-54	50±8	42 - 60
$S_{532, sr}$	43-81	52-53	41±6	55 - 70
$A_{355/532}^{\alpha}$	-	1.2-1.3	1.7±0.6	1.1 - 2.0
$A_{355/532}^{\beta}$	-	1.0-1.6	1.3±0.3	1.5 - 2.0
$A_{532/1064}^{\beta}$	0.49-1.3	0.54 - 1.0	1.0±0.3	1.1 - 1.2
Smoke				
$S_{355, sr}$	-	56-72	81±16	40 - 50
$S_{532, sr}$	46-87	81-92	78±11	70 - 80
$A_{355/532}^{\alpha}$	-	1.1-1.3	1.3±0.3	0.8 - 1.0
$A_{355/532}^{\beta}$	-	1.4 - 2.1	1.2±0.3	2.0 - 2.4
$A_{532/1064}^{\beta}$	0.48-1.6	0.7-0.8	1.3±0.1	1.2
Dust				
$S_{355, sr}$	-	43-46	58±12	57
$S_{532, sr}$	41-57	44-49	55±7	52
$A_{355/532}^{\alpha}$	-	0.88-0.92	0.3±0.4	0
$A_{355/532}^{\beta}$	-	0.91-0.97	0.3±0.2	-0.3
$A_{532/1064}^{\beta}$	0.49-0.68	0.16-0.22	0.4±0.1	-

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931 **Appendix.** Pollen index provided by SILAM

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933 The SILAM is a chemical transport model, developed by the [Finnish Meteorological](#)
934 [Institute](#) (Sofiev et al., 2015). It provides information on atmospheric composition, air quality, and
935 pollen. In the pollen module of SILAM, six pollen types (alder, birch, grass, mugwort, olive,
936 ragweed) are considered. The pollen index is defined as a quantitative measure of the severity of
937 the pollen season and a proxy of the allergenic exposure (Sofiev et al., 2012, 2017). This higher
938 the pollen index is, the more pollen grains in the atmosphere and the higher allergy risk. Fig. A1
939 shows the maps of pollen index in 4 cases. According to the description of SILAM model, the
940 pollen index is labeled as “very high”, when its value is greater than 4.0.

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945 Fig.A1. Pollen index provided by SILAM for 10 April 2020, 30 May 2020, 14 September 2020
946 and 11 August 2021. The levels of pollen index are – very low (<1.0), low (<2.0), moderate (<3.0),
947 high (< 4.0) and very high (>=4.0).

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First of all we would like to thank the reviewer for very detailed comments and useful suggestions, which helped us to improve the revised manuscript

The manuscript describes several case studies of lidar observations where fluorescence observations combined with lidar depolarization shows significantly different properties for pollen, smoke, dust and anthropogenic aerosol. I'm excited to see the potential of these new measurements, which give completely independent and orthogonal information about aerosol particles, at single bin resolutions, significantly increasing the information available for aerosol typing. The case studies are a nice selection of different types and mixtures and interesting to see.

The manuscript seems to suffer from an identity problem, however. Mostly it is an illustrative set of cases studies that demonstrate differences in the two-dimensional space of fluorescence capacity and particle depolarization. It includes nice analysis of some mixtures of types as well. However, the paper claims to be an algorithm description paper, and for that purpose, analysis of a few hand-selected case studies really isn't sufficient, and the mixture analysis doesn't exactly fit, because it is not part of the algorithm. Apparently in consequence of this uncertainty about the desired focus of the paper, some aspects of the paper seem superficial, or rather, inconsistent in depth. The inferences in the paper about the types seem very reasonable, but many are not backed up by any independent information or compared with other methods of classification, which should be done to demonstrate the validity of the new algorithm, particularly if this is the algorithm description paper. Also there's insufficient information about how the thresholds in the algorithm were chosen. In the analysis of the case studies, there should be a consistent effort to include complimentary information to validate the case identifications using other measurements (in situ or other lidar measurements that reveal type) and backtrajectories. And if a major focus of the paper is to showcase the performance of a new (and better) classification algorithm, then the results should be shown on a bulk of data in addition to the case studies, and comparisons with other classification methods should be made and discussed.

The goal of this manuscript is to demonstrate that the fluorescence – depolarization diagram allows to separate different types of aerosol and provides new independent information on aerosol type, which can be used in classification schemes. The reviewer is right, at current stage of research it is not appropriate to call it “algorithm”, so we escape this term in the revised manuscript.

In the revised manuscript we tried to follow the reviewer recommendations. We added a table, containing the particle intensive parameters for the cases considered (lidar ratios at 355 and 532 nm; depolarization ratios at 355, 532 and 1064 nm; and the backscattering and extinction Angstrom exponents). Another table provides the range of variation of particle intensive properties from different typing algorithms for the urban, smoke and dust particles. The table contains also the range of parameters variation for episodes from current study for the same aerosol types.

The back-trajectory analysis is included.

In Appendix we added four maps with SILAM pollen index, for the episodes where the presence of the pollen was revealed. We hope, that all this improved the manuscript.

Specific comments:

L24. "and their mixtures". The mixture analysis is an interesting part of the paper, and apparently new compared to the authors' other papers, but it appears it's not really part of the classification algorithm, in the sense that mixture analysis can only be done on a case-by-case basis. Any discussion about that? This could be clarified in the abstract. Also, the mixture analysis is not even mentioned in the introduction. Discussing it there would help to clarify the novel aspects of the paper.

The mixture analysis is an important but in the manuscript presented we just identify the main mixture components, based on the patterns in depolarization – fluorescence diagram. Quantification of the mixture composition is the next step in our research and corresponding algorithm is in preparation at the moment. We removed from Abstract the mentioning of mixture analysis.

L73-75. I very much agree that adding independent aerosol information will improve classification, but this specific point is unconvincing. Yes, the variables used for classification so far have variability within types but there's nothing to suggest that this won't also be true for fluorescence capacity, is there? So, I'm not sure this is exactly the right motivation.

The advantage of fluorescence is strong variation of fluorescence capacity between some aerosol types. For example, G_F of smoke can up to one order higher, comparing to urban aerosol, allowing to separate these particles. So we think, that synergy of existing algorithms with fluorescence measurements should improve identification. Another important advantage is that G_F and depolarization can be derived with high spatio – temporal resolution, so almost single pixel typing becomes possible.

L105. Good point that the resolution is higher since fluorescence capacity can be calculated using data at a single bin, unlike extinction or other quantities related to extinction. This seems particularly useful for Raman measurements.

Yes.

L105-107. Veselovskii et al. 2021a is referenced extensively in the introduction, including to say that it already demonstrates the ability of the 2-d measurement space to separate all the aerosol types. I couldn't follow how the purpose and scope of this paper is different from 2021a.

In that paper we just formulated the idea and plotted averaged data for several observations on the depolarization – fluorescence diagram. In this manuscript we evaluate the aerosol type mask with almost single pixel resolution. Corresponding paragraph is added to the revised manuscript.

L183-193. Calculation of the backscatter coefficient using a calibration constant sounds so straightforward, that I didn't realize that it hadn't been done before. This is great. It's good to see a relatively straightforward innovation discovered and put into practice that will produce a significant amount of additional retrievals, in profiles when the reference height is not accessible to the lidar.

We are very pleased, that Reviewer liked our approach

L231-232. Add an earlier reference for spectral dependence of the depolarization ratio, Burton et al. 2015.

Added

L240-241. Since line 223 just said that Veselovskii et al. 2021a already demonstrated that the two dimensional diagram can separate types, is the part about mixtures the main purpose of this manuscript? If so, the abstract and intro should make that clearer and the examples should be chosen to align with that purpose.

We modified Introduction, to show that the main goal is to provide aerosol type mask with high spatio-temporal resolution. The patterns at δ_{532} - G_F diagram help to identify the mixture, but at current stage we can not characterize it quantitatively.

L248-249. Burton et al. (2012) or Burton et al. (2013), referenced elsewhere in the manuscript, is an earlier lidar aerosol classification methodology with depolarization ratio ranges listed for common types. Added

L247. "The ranges are based on results obtained in LOA". The algorithm is a simple thresholding method in two dimensions, so the ranges are the single most important aspect of the algorithm description. This statement is much too vague to support and explain how the ranges were derived, and I'm eager to know more. What results? From cases published in other publications? From a completely independent subset of cases than the results shown in this manuscript? Are the results only inferences from the lidar measurements of depolarization and fluorescence capacity, or do they include other coincident measurements that provide stronger evidence for the type identifications? Is there a set of training cases that are classified using other external measurements and/or source information? Are the cases shown in this paper the training cases or are they independent cases that demonstrate the validation of the algorithm? All this should be part of the methodology discussion.

We agree with reviewer and completely modified that section. We added:

Dust. The depolarization ratio δ_{532} of Saharan dust near the source regions is up to 35% (Veselovskii et al., 2020a), but after transportation and mixing with local aerosol δ_{532} can be as low as 20% (Rittmeister et al., 2017). In many studies, the dust with decreased depolarization ratio is classified as "polluted dust" (e.g. Burton et al., 2012, 2013). At a moment, we do not introduce the discrimination between the two subtypes and mark as "dust" the particles with $20\% < \delta_{532} < 35\%$, and $0.1 \times 10^{-4} < G_F < 0.5 \times 10^{-4}$.

Smoke. In 2021-2022 we regular observed over Lille the smoke layers originated from Californian and Canadian forest fires (Hu et al., 2021). The particle depolarization and fluorescence capacity of transported smoke changed from episode to episode and for classification we choose the ranges $2\% < \delta_{532} < 10\%$, $2 \times 10^{-4} < G_F < 6 \times 10^{-4}$. At this stage we do not discriminate "fresh" and "aged" smoke, and the range of δ_{532} variation is similar to the one, used in classification of Burton et al. (2012).

Pollen. The pollen over north of France is usually mixed with other aerosols, and the particles, which we mark as "pollen" are actually the mixtures. Depolarization ratio of clean pollen varies strongly for different taxa. For birch pollen, Cao et al. (2010) reported $\delta_{532}=33\%$, and in the measurements over Finland during birch pollination (Bohlmann et al., 2019), observed values of δ_{532} up to 26%. The observations over Lille during pollen season (Veselovskii et al., 2021a) rarely revealed values δ_{532} exceeding 20%. Based on that observations, we type as "pollen" the particles mixtures with $15\% < \delta_{532} < 30\%$, and $0.8 \times 10^{-4} < G_F < 3.0 \times 10^{-4}$.

Urban. This type of aerosol includes a variety of particle types (e.g. sulfates, soot) and its parameters may depend on the relative humidity. Based on our measurements inside the boundary layer, for classification we choose the ranges $1\% < \delta_{532} < 8\%$, and $0.1 \times 10^{-4} < G_F < 0.8 \times 10^{-4}$.

4. Similar range for δ_{532} is used in classification of Burton et al. (2012). Urban and smoke particles both have a low depolarization, but the fluorescence capacity of smoke is almost one order higher, so these particles can be reliably discriminated.

Ice and water clouds. Both types of the clouds have low fluorescence capacity $G_F < 0.01 \times 10^{-4}$. However, the ice clouds are usually observed at the heights, where fluorescence signal is low and can not be used for classification. Thus above ~ 8 km the ice cloud are identified by high depolarization ratio $\delta_{532} > 40\%$. Depolarization ratio of the liquid water clouds is usually affected by the effects of the multiple scattering, so for their identification we use $\delta_{532} < 5\%$.”

Figure 3. The mixing lines all go through the box that's marked "pollen". This highlights the unavoidable weakness of typing with just two dimensions. Presumably, anything that falls within this box needs context to distinguish between pollen, a pollen mixture, or a smoke-dust mixture that has nothing to do with pollen. Identification by context (particularly where supporting measurements are available) is fine for the purpose of case studies, but there must be significant potential for misidentification in the automated algorithm, I suppose. It would be good to discuss weaknesses as well as strengths of the approach.

Yes, aerosols are always the mixtures. So this problem is attributed not only to the presented, but also to all existing classification algorithms. Next step in our research is the increase of the number of parameters used and quantifications of mixture components.

It is true, that dust – smoke mixture, considered just at one point at depolarization – fluorescence diagram can be recognized as pollen. This is why it is important to consider all the data obtained during the session. We tried to show in this manuscript that the single pixel data for different mixtures provide different patterns, as shown in Fig.3. In our analysis we always observed this kind of patterns, and it helps to get idea about mixture composition.

L268. Clouds are also shown in the aerosol typing masks and line 308 mentions both ice and water droplets, so the thresholds values for ice and water droplets should also be included in Table 1. Figs 4,5. It's confusing that the ice cloud is only partially included in this example. It's shown in the type mask, but not discussed, and it's not shown in the scatter plot in Fig 5a. It's included in Fig 4, but apparently off-scale. The authors should decide whether they want to include the cloud in their analysis and discussion or not. If not, cut off the plots at an altitude below the cloud. If so, rescale Figure 4, include it in Fig 5 and add discussion about cloud.

The parameters for ice and water particles are added to the Table 1. The ice clouds, however, are normally observed at high altitudes, where fluorescence signal is very weak, so corresponding points at depolarization – fluorescence diagram demonstrate strong scattering. Usually we identified the ice crystals from depolarization measurements only, and this is why we don't show them in Fig.5a. Corresponding comment is added to the revised manuscript.

Figure 5 and similar figures. What's the purpose of the boxes and cross-hairs in the fluorescence vs. depolarization diagrams? The boxes would probably be more useful to readers if they were all the same, and used the values from Table 1. That way, we can see visually how the identified types fall into the broad category already established. I can guess that the crosshairs represent the mean and (probably) standard deviation of identified pure types, but those aren't discussed anywhere in the paper.

In our revised manuscript the boxes correspond to Table 1. The crosses show uncertainty of our measurements, due to statistical errors and uncertainty of calibration. Corresponding comment is added to revised manuscript.

L315-321. The explanation of the smoothing procedure is missing something. Z is a number, but the classification IDs are not numbers that can be added and weighted, but just labels. How are the classifications convolved with Z? Just guessing, I suppose the fluorescence capacity and depolarization ratio are what's averaged using the Z-weightings, and then the classification is done on these smoothed measurements instead? Please clarify in the text.

To make it more clear, we modified corresponding section in the revised manuscript and extended description.

Briefly:

We construct several 'raw' matrices with dimensions equal to primary data matrices (one matrix for each aerosol type (dust, pollen, etc)). If at the first stage some single pixel data point (i,j) is classified as, e.g., pollen, the corresponding value in the 'pollen' matrix is set to 1, otherwise it is set to 0. Then each of these matrices is separately convoluted with the Gauss kernel Z. And, after the convolution, the values for each pixel data (i,j) are being compared. If, e.g., the 'dust' matrix (after the convolution) contains maximal value at the point (i,j) among all the matrices (after the convolution), then the point (i,j) is finally classified as 'dust'.

L339 and 341 and elsewhere. I'd suggest avoiding describing values as "typical" and expand the description to be more specific. For instance, perhaps this is within the ranges seen in your previous publications and/or other publications for cases that have been identified as smoke and urban based on independent data? "Typical" is a bit dangerous, in that it implies a generality that is not established after only a few handfuls of case studies, particularly since the case study identifications seem to mostly be rather dependent on expectations about the typical values. Statements like this unfortunately seem to be quoted and referenced repeatedly so that they become ingrained without becoming better supported. After all, we now know that it is quite common for smoke (in the upper troposphere and stratosphere) to have depolarization values that are much larger than this, and previously published ranges of depolarization for urban aerosol also include significantly larger depolarization values than this.

Agree. We tried to follow this recommendation in revised manuscript

It is true, that aged smoke depolarization ratio at 532 nm in stratosphere can be as high as ~20%. We should mention also, that at 1064 nm the depolarization ratio of smoke in our measurements (even in upper troposphere) never exceeded 5%. This is one more reason to include this depolarization ratio in typing scheme at next stage.

L347. Says that the fluorescence capacity can decrease as a function of relative humidity, explaining a range of variables. Why does it produce variability rather than reducing the fluorescence capacity uniformly?

The water uptake increases the particle backscattering, but does not change the fluorescence. As a result, the fluorescence capacity decreases. The RH, changes with height, which can lead to increase of single pixel data scattering inside the cluster.

L361-367 and Figure 6-7. I agree that the shape of the curve in Figure 7a is very striking and reminiscent of a mixing line. However, I also just read in the previous section that fluorescence capacity is strongly impacted by relative humidity, making me wonder quantitatively how much impact RH has, compared to the impact of mixing. Is there a model (theoretical or empirical) of G_F dependence on relative humidity? The RH profile should be added to Figure 8 (and all the other profile figures). Another aspect that puzzles

and surprises me is the increased G_F specifically in parts of the curtain where the backscatter is lower. This hints that the variation in G_F might be quite strongly related to RH; alternately that the pollen is more diffuse and widespread than the urban aerosol, which I think would be unusual. A curtain of RH (perhaps from MERRA-2 since there is insufficient sonde data to produce a curtain) and/or backtrajectories might help make the scenario more clear.

Unfortunately, we had no collocated RH measurements. The sonde measurements in UK show that RH increased from 40% to 70% with height. The value of the fluorescence capacity changed for one order of magnitude, and such strong change in G_F can not be explained by the particle hygroscopic growth. For example, from the recent publication of Sicard et al., increase of β_{532} in this RH range for urban aerosol is below factor 1.5. (Sicard, M., Fortunato dos Santos Oliveira, D. C., Muñoz-Porcar, C., Gil-Díaz, C., Comerón, A., Rodríguez-Gómez, A., and Dios Otín, F.: Measurement Report: Spectral and statistical analysis of aerosol hygroscopic growth from multi-wavelength lidar measurements in Barcelona, Spain, Atmos. Chem. Phys. 22, 7681–7697, 2022). Corresponding comment is added to revised manuscript.

The hygroscopic growth can contribute to the backscattering near the PBL top. However, at low altitudes RH is about 40%, so increase of G_F is probably due to decrease of urban particles contribution to the total backscattering (thus pollen contribution becomes more visible). We tried to use MERRA-2 data, but at low altitudes the modeled parameters differed strongly from observations.

L368-369. It's good that 1064 nm depolarization is included here, because in general, the more data shown, the better the patterns can be understood. However, the text highlights larger values of 1064 nm depolarization to support the inference of pollen, but that's also true for urban aerosol (e.g. Burton et al. 2012). Then "both depolarization ratios decrease with height" as the pollen concentration decreases (L372), but 1064 continues to be larger than 532, so again this is not definitive. Any further comment about this?

Yes, urban aerosol may also have δ_{1064} exceeding δ_{532} . But absolute values of depolarization for pollen are significantly higher. So when at low altitudes we observe high G_F , and high depolarization, the observed $\delta_{1064} > \delta_{532}$ corroborates presence of pollen.

This case and the first case were also included in earlier publications by the same authors. The papers make different analyses of them, so that's fine, but does this mean they also contributed information relevant to producing the ranges used in the algorithm? If so, they are not such good examples to illustrate the performance of the typing algorithm.

The typing is performed on a base of G_F - δ_{532} measurements only. We used these examples, because the aerosol origin was analyzed in our previous publications. Besides, measurements on 30 May 2020 demonstrate very characteristic pattern for urban – pollen mixture.

The vertical profiles of particle parameters for 30 May were presented in our recent paper, so we decided to exclude Fig.8 from revised manuscript. We just provide the reference.

Figure 8 L 715. Why were the profiles created for 21:00-23:00 instead of a later time where the curtain shows pollen at lower altitudes and mixing is discussed? Is this a mistake?

Sorry, this was mistake.

L376-377. I'm not finding the explanation for the lack of variability in the backscatter angstrom exponent to be very convincing. It appears to be saying that the urban particles are growing due to humidification exactly in balance with the effective dry particle size decreasing due to less pollen? (if so, this needs support).

Perhaps some quantitative modeling would help. How small of a backscatter Angstrom exponent would be expected for high concentration of pollen, and just how much contribution to the backscatter is there (based on the mixing model) and how much change in Angstrom would you therefore expect? What confuses me is that the fluorescence capacity also mixes linearly according to the backscatter partition, so if there was really too little backscatter contribution to be noticeable, wouldn't that also mean there would be little variation in G_F as well?

In revised manuscript, this section was completely modified. We agree with reviewer, that behavior of backscatter Angstrom 532/1064 is puzzling. However, the observation presented, could be strongly influenced by hygroscopic growth, which decreases both depolarization and the fluorescence capacity. The backscattering Angstrom exponent strongly (and in complicated way) depends on refractive index, particle size and particle shape. The modeling of the BAE for different mixture compositions is important, but it is out of scope of this research. Just want to mention, that that in publication of Bohmann et al. (2019) the BAE (at depolarization ratio ~20%) is about 1.0. Which is quite high value and pollen content over Finland is significantly higher than over Lille. So this aspect needs additional research and additional measurements during strong pollen episodes.

L392-393. Unfortunately, the SILAM website only provides current forecast data, so please make the relevant data available as a supplement or shown in a figure. Also, what kind of pollen was it?

In situ measurements at the roof of the building demonstrate presence of significant amount of grass pollen. We added to the revised manuscript (as Appendix) the SILAM maps for four episodes, when presence of pollen was assumed.

L418-419. I'm not quite clear on what the author's intent is here. Is this saying that the algorithm misclassified a mixture as pure urban, or that the mixture only occurs where the classification puts it, but that the two urban layers have quite a lot of difference between them? It would be very helpful (in this case and others) to mark the points in the scatterplots according to the classification result or altitude. I would like to see exactly where the two layers classified as "urban" fall on the apparent mixing line. I think it's interesting that the two layers marked urban have different spectral dependence of depolarization. Backtrajectories would be helpful for this case too, to help understand why the two layers of urban aerosol might have different properties.

To make presentation more clear, we significantly modified this section. First of all, in depolarization – fluorescence diagram in Fig.12 we show the points related to the upper and lower layers by different colors. Back trajectories analysis shows that air masses in both layers are transported from England. So this is probably pollution. Points related to the upper layer are inside the range for 'urban' aerosol. Points in the lower layer, are partly outside of this range, so the aerosol type is undefined. We assume that this is the mixture of urban and pollen particles, because we have particles with high depolarization and fluorescence capacity (still not high enough to be classified as "pollen"). This mixture is marked by grey color and it is located below 750 m. The maps with SILAM pollen index are added to the revised manuscript as Appendix. On the midnight of 10-11 April 2020 the pollen loading is modeled by SILAM as moderate. Thus yes, properties of layers are different. Upper layer is urban, while in lower layer below 1 km the urban particles are mixed with pollen.

L420. "typical for urban-pollen mixture". Actually the mixing curve is significantly to the left of the curve in Figure 3, suggesting that the pure pollen in this mixture is not "typical" compared to the ranges given in the table, but is more of an edge case with relatively low fluorescence capacity.

Yes, fluorescence capacity is lower than usual, so this not pure pollen. We added corresponding comment to the text.

L430-436. This is a very nice case to demonstrate contrast in fluorescence between different types. But the type identification is entirely made by inference using the two classification dimensions without any other support such as in situ measurements, backtrajectories, or other lidar-measured quantities like 1064 nm depolarization, lidar ratio or angstrom exponents. It's great that two measurements used for the classification appear to give the ability to make these separations, but for such a key demonstration I think the case studies need to be very well supported. In general I suggest bolstering the verification of the identifications for all the cases (not just this one) by including all relevant data. I mean specifically, first of all, other lidar quantities that have been used in previous classification methodologies, including especially lidar ratios, and also 1064 nm depolarization and angstrom exponents for all cases. Also include RH, backtrajectories and any coincident in situ measurements (especially pollen) for all cases.

In the revised manuscript we added the Table 2, with main intensive particle parameters for all episode considered. The section is modified: we added backtrajectories and analysis of the intensive particle parameters. We have added also Table 3, which compares our observed intensive parameters for dust, smoke, urban with parameters used in existing typing algorithms.

L445 and Figs 15 and 16. The suggested mixing between layers doesn't look convincing. On the fluorescence vs. depolarization diagram, these intermediate points don't follow a nice mixing line like the other mixing cases, and the boundaries in the measurement curtains appear quite crisp. Could these points be artifacts of the smoothing instead?

Yes, at high gradients of backscattering, smoothing sometimes can provide oscillation. We reprocessed this case with decreased smoothing. The threshold value of β_{532} was increased up to 0.3 Mm⁻¹sr⁻¹. Now it is better.

Fig 15. The depolarization especially and perhaps also the fluorescence capacity (outside of the smoke plume) seems to be anti-correlated with backscatter, including in regions that seem unlikely to be pollen-dominated (such as the minimum between the smoke and urban layers). Particulate depolarization is especially susceptible to systematic error, particularly overestimation, at low values of backscatter (Freudenthaler et al. 2009, Burton et al. 2015). Have you done a systematic uncertainty calculation? (Also this is another case where color coding of the scatterplot by altitude would be useful).

Yes, calculation of depolarization at low β_{532} can lead to enhanced uncertainty, especially when high gradients of β_{532} present. In reprocessed data we increased threshold value of β_{532} up to 0.3 Mm⁻¹sr⁻¹. Oscillations decreased. The same is true for fluorescence capacity. We estimate uncertainty of our depolarization calibration to be below 15%.

Fig 15-18. Include the data for depolarization and angstrom exponent (and RH) for these cases also.

In the revised manuscript we have added Figures 16, 19 with vertical profiles for these episodes.

L455 "G_F increased ... probably due to the mixing with local pollution". Does this make sense? Nothing prior to this in the manuscript suggests that urban pollution has significant fluorescence capacity. Also, on the scatter plot on Figure 18, there's no suggestion that the higher values of G_F in the dust cluster are correlated with depolarization in any way; that is, they are not following any mixing line. What evidence is there that this is not simply normal variability within dust? Table 1 shows dust can have G_F up to 0.5. Why not 0.6? Also, could some of this variability be correlated with RH?

Dust may have very low fluorescence capacity ($0.1 \cdot 10^{-4}$), while urban particles for some episodes had G_F of $0.8 \cdot 10^{-4}$, or even higher. Thus mixing of dust with pollutions, in principle, can increase the capacity. But reviewer is right, for case presented, the depolarization ratio did not change significantly with height, while capacity strongly decreased in the center of the layer. It can be variation of dust composition (and so the absorption) through the layer. Unfortunately, at this stage we can not make definite conclusion. Corresponding section is strongly modified in revised manuscript.

For the available dust episodes the fluorescence capacity was mainly below $0.5 \cdot 10^{-4}$. This is why we used it in Table 1. We may reconsider this range, when more data will be available.

Normally properties of dust are not very sensitive to RH. Increase of RH can only decrease the capacity. However at a moment we don't have collocated RH measurements, so unable to make quantitative conclusions about RH influence.

L485. "during Spring-Autumn seasons". It would be helpful to show a timeseries demonstrating that the pollen signature (elevated depolarization and fluorescence capacity) does NOT occur in winter.

We agree, that this would be useful, but it is beyond the scope of this manuscript. Seasonal variation of aerosol composition over Lille will be the topic of separate research.

Typographical or wording:

L19. What is meant by "single" in "first single version of the algorithm". I suggest delete "single" or reword.

Corrected

L18 and L24. Change particle's to particle.

Corrected

L92. Be specific about which wavelength here.

Done

L247. Define LOA.

Done

L270-281. There should be some discussion or at least references to other analyses of mixtures of aerosols that derive similar equations (especially Eq. 7), e.g. Sugimoto and Lee 2006, Gross et al. 2011, Gasteiger et al. 2011, Tesche et al. 2009, Burton et al. 2014.

The references are added. Derivation of Eq.7 looks very straightforward, so probably no explanations are needed.

L280. Eq. 8. It probably would be good to remind the reader that fluorescence capacity and backscatter in this equation refer to particular wavelengths.

Done

L282. "We assume". I think this is meant to refer only to the demonstration in Figure 3, not a general assertion. If true, perhaps swap the first two sentences of the paragraph to make it less likely to be misread. As mentioned in the introduction, the quantities have a lot of variability even within types, so assuming single values wouldn't be well supported.

Done

L300. "the height resolution is 7.5 m". Is that really the resolution or only the grid spacing? That is, taking the detectors into account, are measurements at adjacent vertical grid points independent?

Yes, this is bin resolution of our detection electronics, and in many cases this resolution was used to calculate the particle properties. However, for elevated layers the fluorescence signal was splined. For typing, the Gaussian smoothing procedure was used. Thus ultimate resolution was about 60 m for height and less than 10 minutes for time.

L342. spell out FBC

The section was modified

L344. Add a reference to the reminder. (I think it is Veselovskii 2020?)

Done

L445. Typo in "0.2-0.3"

Corrected

L663-664. It would be helpful to add "using the reference height as Ansmann et al. 1992 (green) or the calibration constant as in Eq 5. (magenta)". (I read figure captions before the text, so having a bit more detail in the captions is very helpful)

Done

L708. Please add clarification to the caption whether the scatter plot shows data for the entire time period shown in the curtain or only the subset that's included in the profile plots of Figure 8.

This is for entire time period. Added to caption.

Figure 2 and 4. There is a lot of red in these plots hinting that the scales might be cutting off the data. Perhaps the scales should be expanded.

Yes, this is because depolarization and backscattering of clouds is very high, comparing to aerosol. We choose such scale, to make details of aerosol more visible. So we would prefer to keep as it is.

Figure 3. Also show the smoke + pollen mixing line, since one of the selected cases references mixing of those two types.

We thought to do it, but figure becomes overloaded with curves. Besides, behavior of this mixing line is quite obvious, so we think that it is not so necessary for reader.

Figures 4, 6, 912, 15, 17. It would be helpful if the curtains of intensive properties (depolarization and fluorescence capacity) had consistent scales across each of these plots, making it easier to compare one case to another.

Unfortunately, the cases are very different. In some elevated layers are considered, and in some only the PBL. So we used different scales to show the details. We would prefer to keep different scales for each episode.

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Freudenthaler, V., et al. (2009) Depolarization ratio profiling at several wavelengths in pure Saharan dust during SAMUM 2006. *Tellus B* 61, 165-179 DOI: 10.1111/j.1600-0889.2008.00396.x

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Sugimoto, N. and C. H. Lee (2006) Characteristics of dust aerosols inferred from lidar depolarization measurements at two wavelengths. *Applied Optics* 45, 7468-7474 DOI: 10.1364/AO.45.007468

Tesche, M., et al. (2009). "Vertically resolved separation of dust and smoke over Cape Verde using multiwavelength Raman and polarization lidars during Saharan Mineral Dust Experiment 2008." *J. Geophys. Res.* 114(D13): D13202.

References are added