A study on the performance of low-cost sensors for source

2	apportionment at an urban background site
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19 Abstract

20 Knowledge of air pollution sources is important in policy making and air pollution mitigation. 21 Until recently, source apportion analyses were limited and only possible with the use of 22 expensive regulatory-grade instruments. In the present study we applied a two-step Positive Matrix Factorisation (PMF) receptor analysis at a background site in Birmingham, UK using 23 24 data acquired by low-cost sensors (LCS). The application of PMF allowed for the 25 identification of the sources that affect the local air quality, clearly separating different 26 sources of particulate matter (PM) pollution. Furthermore, the method allowed for the 27 contribution of different air pollution sources to the overall air quality at the site to be 28 estimated, thereby providing pollution source apportionment. The use of data from 29 regulatory-grade (RG) instruments further confirmed the reliability of the results, as well as 30 further clarifying the particulate matter composition and origin. Comparing the results from 31 a previous analysis, in which a k-means clustering algorithm was used, a good consistency 32 between the k-means and PMF results was found in pinpointing and separating the sources 33 of pollution that affect the site. The potential and limitations of each method when used 34 with low-cost sensor data are highlighted. The analysis presented in this study paves the 35 way for more extensive use of LCS for atmospheric applications, receptor modelling and 36 source apportionment. Here, we present the infrastructure for understanding the factors 37 that affect air quality at a significantly lower cost that previously possible. This should provide new opportunities for regulatory and indicative monitoring for both scientific and 38 39 industrial applications.

40 **1. Introduction**

41 Air pollution is a major problem not only affecting human health (Pascal et al., 2013; Rivas 42 et al., 2021; Shiraiwa et al., 2017; Wu et al., 2016; Zeger et al., 2008), but also causing 43 environmental deterioration and social disparity due to its effect on climate change 44 (Manisalidis et al., 2020; Mannucci and Franchini, 2017; Moore, 2009). Air pollution is 45 typically more problematic in urban environments which have multiple air pollution sources, or locations near pollution hot spots (Valavanidis et al., 2008, Bousiotis et al., 2021). The 46 47 knowledge of air pollution sources is vital in understanding the air quality at a given site as 48 well as for policy making and action to improve air quality. Such knowledge was provided, 49 until recently, by the analysis of data from expensive regulatory grade (RG) instruments. The 50 use of RG instruments was not extensive due to their high cost and bulky size limiting their 51 use almost exclusively for scientific research. As a result, there is limited knowledge of the sources that affect the air quality. This is in part due to the small number of deployments 52 53 and hence low spatial resolution of these expensive instruments (Kanaroglou et al., 2005), 54 especially in low- and middle-income countries. In these areas the problem of air quality and 55 its effect on human health is of great importance and expected to further increase in the 56 coming years as a result of their rapid industrial and population growth (Kan et al., 2009; 57 Petkova et al., 2013). To combat this, in the past decade, the development of low cost 58 sensors (LCS) measuring either PM or gas phase pollutant concentrations has intensified 59 (Lewis et al., 2018; Penza, 2019; Popoola et al., 2018). These LCS are still far from being an 60 equal alternatives to the more expensive RG instruments. Many limitations are associated 61 with their use, with the main shortcoming being the inconsistency of their measurements, even for similar sensors deployed at the same site (Austin et al., 2015; Sousan et al., 2016), 62 63 either due to operational and detector sacrifices that allow them to be inexpensive or from 64 the effect of meteorological conditions that affect their measurements (Crilley et al., 2020; Hagan and Kroll, 2020; Wang et al., 2021). Thus, consistent calibration (Kosmopoulos et al., 65 2020; De Vito et al., 2020) and data corrections (Crilley et al., 2018; Liang et al., 2021; Vajs et 66 67 al., 2021) are required for these sensors to provide reliable measurements, although 68 sometimes even this is not enough (Giordano et al., 2021). Nevertheless, these sensors have 69 the potential to change the state of air pollution monitoring by allowing wider use and 70 better spatio-temporal coverage.

71 Many applications of LCS have been found in recent years at sites that were previously inaccessible by regulatory instrumentation, either due to them being cost prohibitive 72 73 (Miskell et al., 2018; Omokungbe et al., 2020; Pope et al., 2018), or due to their physical size 74 limitations (Jovašević-Stojanović et al., 2015; Nagendra et al., 2019, Whitty et al., 2022). 75 Additionally, the use of LCS made possible higher spatial resolution measurements than RG 76 instruments (Feinberg et al., 2019; Krause et al., 2019; Prakash et al., 2021). Thereby greatly 77 improving the ability to measure air quality at multiple locations of interest, even down to 78 the neighbourhood scale (Schneider et al., 2017; Shafran-Nathan et al., 2019; Shindler, 79 2021). LCS have been shown to help supplement existing regulatory networks (Weissert et 80 al., 2020). While the applications of LCS provided the information of the level of air quality 81 at more sites, vital information on air pollution sources and the environmental conditions 82 that enable or inhibit air pollution, as well as their relative contributions is yet to be 83 exploited by LCS data. Pope et al., (2018) using PM ratios, managed to separate and identify 84 the effect of major sources of pollution in several cities in East Africa LCS data. Popoola et al, 85 (2018) identified the sources of pollution near Heathrow Airport, London using a network of LCS. Bousiotis et al., (2021) using k-means clustering on PM data from both a LCS and an RG 86 87 instrument, showed the strengths and limitations of the sensor, in measuring particle 88 number concentrations and using them to identify the sources of pollution at a background 89 site in Birmingham, UK. While these studies identified many sources and conditions that 90 affect air quality, they provided no information on their temporal variability and the relative 91 contributions of different sources.

92 In the present study, a two-step PMF technique proposed by Beddows and Harrison (2019), an advanced version of a statistical method for source apportionment successfully applied 93 94 in many studies with RG instruments (Beddows et al., 2015; Harrison et al., 2011; Hopke, 95 2016; Leoni et al., 2018; Pokorná et al., 2016), is applied on data collected from various LCS. 96 This provides a quantitative separation of the different sources and their contributions to a 97 background site located in Birmingham. Furthermore, data from RG instruments and an 98 Aerosol Chemical Speciation Monitor (ACSM) were used to provide further nuance to the 99 analysis. This was done not only to compare the results from the two sets, but to further 100 characterise the sources of larger sized particles at the site as well. The results of the 101 present analysis are also compared with those from a previous study at the same site made 102 by Bousiotis et al., (2021) using k-means clustering, displaying the additional information

103 provided by the PMF as well as to check the consistency of the results between the two methods. To the authors' knowledge source apportionment with LCS data has only been 104 105 attempted previously by Hagan et al., (2019) using Non-negative Matrix Factorisation (NMF, 106 a version of PMF in which all components of the data matrix are weighted equally rather 107 than with individual errors) on a dataset from New Delhi, India. This study provided information about combustion and non-combustion air pollution sources as well as their 108 partial contributions in a three-factor solution. The present work prepares the ground for 109 110 future use of source apportionment with LCS in a variety of scientific and industrial 111 scenarios. This will make more feasible their wider use, either as standalone air pollution 112 sources data sources, or in combination with RG instruments for increasing spatial coverage. 113

114 **2. Methods**

115 **2.1 Location of the site and instruments**

116 The measurement site is the Birmingham Air Quality Supersite (BAQS) located at the grounds of the University of Birmingham (52.45°N; 1.93°W) (fig. 1). This is an urban 117 118 background site within a large residential area about 3 km southwest of the city centre of 119 Birmingham. For this site, PM concentration measurements in the range 0.35 to 40 μ m were 120 collected using an Alphasense OPC-N3 in a 10 second resolution (averaged in 1-hour 121 resolution) for the period between 16/10/2020 to 30/10/2020. Additionally, data from 122 several LCS were also collected. NO, NO₂ and ozone measurements were collected using the 123 Box Of Clustered Sensors (BOCS, Smith et al., 2019) in the same time resolution, as well as 124 black carbon (BC) concentrations using the MA200 sensor by Magee Scientific. Finally, the 125 data for the lung deposited surface area (LDSA) of particles in the range of 10 nm to 10 μ m, which is found to strongly correlate with BC emissions (Lepistö et al., 2022), was collected 126 127 using a set of two Naneos Partectors by Naneos Particle Solutions GmbH. One sensor 128 measured the surface of all particles in this size range, while the second is placed after a 129 catalytic stripper (Catalytic Instruments CS015) which removes the semi-volatile particles 130 (Haugen et al. 2022). 131 Apart from the data provided directly from the sensor before the catalytic stripper, the ratio

132 between the measurements of the two Naneos Partectors was also considered according to:

$$LDSA_{ratio} = \frac{LDSA after the catalytic stripper}{LDSA before the catalytic stripper}$$

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136 This was done to resolve whether such a configuration can provide additional information 137 for the origin of pollution or the age of the pollutants in the incoming air masses, as 138 increased concentrations of semi-volatile compounds are usually associated with 139 anthropogenic sources, especially in the urban environment (Mahbub et al., 2011, Schnelle-140 Kreis et al., 2007, Xu and Zhang, 2011). Thus, a high LDSA_{ratio} is expected to be associated 141 with fresher pollution which usually has a higher content of volatile compounds (i.e., 142 pollution sources at a close distance from the site), while lower ratios are probably 143 associated with either cleaner conditions or more regional and aged pollution with higher 144 concentrations of semi-volatile compounds, generally associated with sources at a greater 145 distance from the measuring site. This specific metric was also used in our previous study 146 (Bousiotis et al., 2021) and the consistency of the results between the two will be 147 compared. 148 For better characterisation of the larger particles, the Aerodyne ACSM was used, providing 149 information about its composition in the size range between 40 nm to 1 μ m for NO₃⁻, SO₄²⁻ 150 and organic content. For the comparison of the results, data from RG instruments were also 151 used, namely a Palas FIDAS (for PM), a Teledyne T500U (for NO_x), a Thermo 49i (for O₃) and 152 an AE33 aethalometer from Magee Scientific (for BC). Comparison of the regulatory 153 instruments and the LCS allows for consistency of the results between instrument types to 154 be checked. A detailed description of the operation and more information about the sensors 155 and instruments used in this study can be found in Bousiotis et al., (2021). 156

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158 **2.2 Positive Matrix Factorisation and data analysis**

The PMF is a multivariate data analysis, developed by Paatero (Paatero and Tapper, 1993; 160 1994), which is the most commonly used method for source apportionment and has been 161 applied numerous times in the field of aerosol science. The method is a weighted least-162 squares technique that describes relationships among species measurements (Reff et al., 163 2007). It assumes that X is a matrix of observed data, typically either particle number size 164 distributions (PNSDs) or chemical composition data, and u is the known matrix of the experimental uncertainty of X. Both X and u are of dimensions $n \times m$ (where n is the number 165 of measurements and m is the number of species measured). The method solves the 166 167 bilinear matrix problem X = GF + E where F is the unknown right hand factor matrix 168 (sources) of dimensions $p \times m$, G is the unknown left hand factor matrix (contributions) of 169 dimensions $n \times p$, and E is the matrix of residuals. The problem is solved in the weighted 170 least-squares sense: G and F are determined so that the Euclidean norm of E divided 171 (element-by-element) by u is minimized. Furthermore, the solution is constrained so that all the elements of G and F are required to be non-negative (Paatero and Tapper, 1994). Higher 172 173 F values account for better association of the given variable with the factor it is assigned to, 174 while higher G values account for greater contribution of the factor at the given time period.

175 In the present analysis, a combination of both PNSD and particle composition data were 176 used. Such a combination may cause several shortcomings in the application of the PMF as 177 different types of data are used, due to the significant difference between the nature of each variable. While this could be overcome by increasing the total weights of the primary 178 179 group of measurements (the one considered better in driving the model), this could be 180 problematic in the treatment and importance of the auxiliary dataset in the model 181 (Beddows and Harrison, 2019). To overcome these shortcomings the two-step PMF method, 182 proposed by Beddows and Harrison (2019), was used. In the first step of the method, a part 183 of the dataset is PMF-analysed (i.e. composition) and a solution is provided. The time series 184 G values (and errors) of the solution from the first step are then used as input variables to 185 the second step, where they are combined with the additional measurements (i.e. PNSD 186 data) dataset applying a second PMF analysis (a flow diagram of the method used as 187 presented by Beddows and Harrison, 2019 is found in figure S1). In the present study the 188 opposite path was considered, with the first step using the PNSD provided by the OPC 189 sensor and the inclusion of particle composition data in the second step. This was explicitly 190 done for two reasons: 1. to test the capabilities of the LCS in source apportionment, 2. to 191 connect specific PNSD profiles with specific pollution sources. Furthermore, on the second 192 step of the analysis detailed in Beddows and Harrison (2019) the explained variance of the 193 factors from the first step were maximised. This directly connects the additional variables in 194 the second step with the PNSD profiles found in the first step, excluding the possible factors

formed with the data from the additional LCS data. In the present study, this step in this
method was omitted, as the aim is to present the results of the receptor model as they
occur in real life using a combination of LCSs measuring both particle number
concentrations and composition.

As PMF is a descriptive model there is no objective criterion in the choice of the optimal number of factors (Paatero et al., 2002). In all cases several solutions were tested, and the solution chosen was the one that provided factors with unique properties. Solutions with additional factors provided no extra information on additional sources, rather the additional factors separated factors that had already found into smaller groups with no significant covariation.

205 For the study site, particle number concentration data were available from the OPC for 206 particles of diameter < 40 μ m, but only data up to 10 μ m were used. This was due to the 207 lack of sufficient non-zero counts in the larger size bins above that size threshold, which 208 disfavours PMF analysis to be completed. Additionally, separate LCS data for NO and NO₂ 209 were available from the BOCS. The NO data showed sensible variation (which is the more 210 important factor in the PMF analysis), however, a great number of the NO data points had 211 low negative values due to their very low concentrations, which is impossible data for the 212 PMF algorithm. Rather than removing the negative numbers or artificially calibrating the 213 data upwards, we use NOx (NO + NO_2) as the variable of interest. 214 Finally, to avoid the increased uncertainties from the use of unavailable data (as missing 215 data are treated with increased uncertainties), a time window for which all data were

available was chosen. Thus, data availability is 100% and no special treatment was

217 considered for missing data.

Finally, for the present study the PMF analysis was performed using the second iteration of
the PMF software developed by Paatero (2004a; 2004b). Data was analysed using the
Openair package for R (Carlslaw and Ropkins, 2012), and back trajectory data were
extracted by NOAA Air Resources Laboratory and calculated using the HYSPLIT model
(Draxler and Hess, 1998).

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225 **3. Results**

3.1 General conditions at the BAQS site and overall performance of the low cost sensors

The measuring period (16th to 30th of October 2020) was chosen as it is a period which 228 229 presented rather typical meteorological conditions in the area, had no missing data from 230 any of the instruments used, and because they were the last days before the second 231 lockdown due to COVID-19 was applied (31st of October 2020). General meteorological 232 conditions were rather typical for the period in Birmingham, UK. As a result, the conditions 233 and activities in the surrounding area found in this period are considered almost consistent 234 with the normal conditions at the site in the autumn season. Mean temperature was $10.0 \pm$ 235 2.5° C and mean relative humidity was 87.9 ± 7.5 % (standard deviations are calculated using hourly data) during the measurement period. The average wind profile (Fig. S2) was also 236 237 typical for the UK with mainly southwestern winds of relatively low speed $(2.1 \pm 1.1 \text{ m s}^{-1})$.

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239 Most of the LCS correlated well when compared to their more expensive RG counterparts, 240 using the Pearson correlation coefficient as the measure of correlation. The OPC-N3 241 presented a strong correlation for PM_1 (r = 0.88), though its performance weakened with 242 greater sized PM (r = 0.49 for PM_{2.5} and r = 0.46 for PM₁₀). The decreasing correlation from 243 PM_1 to $PM_{2.5}$ to PM_{10} is likely due to greater wall losses in the tubing for the bigger particles. 244 Strong correlations were also found from the BOCS sensors as well, with both O₃ and NO_x 245 concentrations presenting high r values when compared with their respective RG instrument measurements (0.95 and 0.82 respectively). Finally, the BC measuring LCS 246 247 presented lower agreement with the measurements from the RG instrument, with a 248 Pearson correlation value of 0.40. It is noted, in the present study the absolute performance 249 of the LCS is not of great importance and thus it is not analysed in depth. For the PMF model 250 to present meaningful results the representation of the relative values and variability of the 251 variables is crucial instead, and this is thoroughly tested in the present study."

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3.2 First step PMF analysis (PNSD analysis)

Following the discussed methodology a 4-factor solution was chosen for this analysis. The
PNSD profiles of the factors found are presented in Figure S3. Due to the limited variation of

the PNSD profiles when presenting all the size bins available, making some of them appear
identical (i.e. Factor 2 and 3, due to the increasing particle number concentration as the size
decreases), the smallest particle diameter size bin at 400 nm (particle diameter range
between 350 to 460 nm) was removed to better present the variation on the larger sizes.
Thus, the particle profiles without the smallest available size are presented in Figure 2. The
profiles in the range between 500 nm to 10 µm for the four factors, associated with unique
formations extracted from the method are:

- Factor 1, that presents no significant peaks in the measured range of the OPC, but
 does show a steady increasing trend with particle diameters below 1 μm
- Factor 2, with a distinct particle diameter peak at about 2 μ m
- Factor 3, with a distinct particle diameter peak at about 2 μm and an increasing
 trend below 750 nm
- Factor 4, accounting for particle diameter peaking at about 750 nm and 1.5 μm.
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270 **3.3 Second step PMF with LCS data (LC analysis)**

271 The four-factor solution was also chosen in the second step analysis, for which the results of 272 the first step are combined with the additional particle and gas phase composition datasets 273 from LCS. The addition of more factors instead of adding information or providing clearer 274 associations with the factors from the first step, it separated the existing factors and their 275 association with the particle composition data into mixed factor groups with less significant 276 contributions of the variables. The association of the variables with each factor is presented 277 in figure 3, while the temporal variation of the contributions G of all the factors from this 278 analysis is presented in figure 4, along with the wind profile for some periods when each 279 factor was dominant.

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281 The four new factors are:

LC1 (Local and city centre pollution on calm conditions): The LC1 is strongly associated with
the first factor from the initial PMF on the PNSD. For the period when the contribution of
this factor is higher (18th and 19th of October, see fig. 4) rather slow winds prevail from
many sectors (in this case mainly from the southwest). This factor has higher contributions
during calm conditions and during periods with north-eastern winds, though with lower

287 contribution (Fig. 5). It is highlighted that at the northeast of the specific site is the city centre of Birmingham which is one of the main sources of pollution as found from a 288 289 previous study (Bousiotis et al., 2021). Looking at the diurnal variation (Fig. S4) of this factor 290 we see increased contributions during early morning and evening hours, likely associating it 291 with the morning and evening rush hours. The increased contributions during night-time 292 should not be overlooked and are probably the result of the lower boundary layer height 293 (BLH) during this time of the day. Additional data analysis shows an increased association of 294 this factor with PM₁ (Fig. 3), though this association is reduced for particles of larger sizes, 295 further confirming the lack of additional peaks on greater sizes. This along with the 296 increased association with the LDSA indicates the presence of large number of particles 297 below the detection limit of the instrument. This factor is also associated with almost all the 298 pollutants used, such as NO_x, CO and BC, though not as strongly as factor LC3 that is 299 discussed below, probably associated with pollution sources in a closer range to the 300 measuring station, as well as to a smaller extent with pollution from the city centre. Its 301 connection with air masses from the northeast is also confirmed from the back trajectory 302 analysis (Fig. 6), in which the highest contributions of this factor were found for air masses 303 from the northeast.

304 LC2 (Marine): This factor is strongly associated with the fourth PNSD factor from the initial 305 analysis (fig. 3). It presents relatively high association with PM which increases as the size 306 increases. No other significant association is found rather than relatively weak ones with 307 ozone, CO and the LDSA_{ratio}. It does not have a clear diurnal variation (fig. S4), though it has 308 slightly increased contributions during night-time. Higher contributions for this factor are 309 found with south and south-eastern winds of high speed (fig. 4 and 5). This can be seen in 310 Figure 4, where the highest contributions of this factor are associated with strong southern 311 winds. The marine nature of this factor is clearly highlighted through the back trajectory 312 analysis for this factor (Fig. 6) in which higher contributions are mostly found with air masses originating from the north Atlantic Ocean, while some contributions from southern 313 314 Spain and Africa, which may be associated with Saharan dust and pollution from these 315 areas.

LC3 (midday city centre and southwest pollution): This factor does not have any significant
association with any of the factors from the PMF analysis of the PNSD (fig. 3). It presents
greater contributions during the midday (fig. S4), and it is associated with north-eastern and

319 southwestern winds (fig. 5). It has high contributions with all the pollutants included in the 320 analysis and the LDSA_{ratio}, which points to fresher pollution (pollution sources closer to the 321 measuring station). Such sources of pollution in most cases are associated with particles of 322 sizes smaller than that measured by the OPC, hence the lack of association with any of the 323 factors found from the PNSD analysis. The back trajectory analysis provides no clear origin 324 for the air masses of this factor (fig. 6), which may indicate a relatively smaller pollution 325 lifetime, which is associated with incoming air masses from all directions. 326 LC4 (Urban background): This factor has a rather strong association with the second factor 327 from the PNSD analysis and a weaker one with the third one (Fig. 3). It does not have a clear 328 diurnal variation (fig. S4) and it is mainly associated with north-eastern winds (Fig. 5). It 329 presents weak associations with all the variables inputted in the PMF analysis making it hard 330 to distinguish either a source or conditions for which this factor is enhanced. The back

trajectory analysis though shows that this factor is associated with air masses from
continental Europe as well as Scandinavia (Fig. 6), which for the UK, usually contain aged
and hence typically larger secondary PM pollutants.

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335 **3.4 Second step PMF with RG data (RG analysis)**

336 While the primary aim of the present study is to highlight the capabilities of LCS in source 337 apportionment, the measurements provided by these devices are mainly focused on gas 338 phase pollutants which are in most cases associated solely with ultrafine particles. The OPC 339 measurements used for this site have a particle diameter range between 400 nm to 10 μ m. 340 Thus, apart from using data from RG instruments measuring gas phase pollutants, it was considered sensible to add data from an ACSM, which measures compounds associated with 341 342 larger particles, such as nitrate, sulphate, and organic compounds (used in this analysis). 343 Some of the factors in this analysis are rather similar with those formed from the analysis 344 using LCS dataset. Thus, the **RG1** factor in this analysis is mainly associated with the first 345 factor from the PNSD analysis in the first step (Fig. 7), similar to that found also in LC1 (Fig. 346 3). The wind conditions are also similar for which these factors from the two analyses 347 present their highest contribution (Fig. 8), as well as their temporal variation (Fig. S5) and 348 diurnal variation (Fig. S6). The additional information granted using the ACSM data is the 349 strong association of this factor with nitrate, and a stronger association with NO_x and BC are

also found, compared to the LC analysis. This further associates this factor with nearby
sources of pollution which prevail with low wind speeds and may associate the conditions of
this factor with the low BLH height found during that time, though high contributions were
also found for early morning and evening hours, as in the LC analysis for the similar factor.
Finally, the back trajectory analysis (fig. 9) shows higher contributions associated with air
masses from the northeast, further confirming its similarity with the first factor from the LC
analysis and its urban origins.

357 The RG2 is unique and has no association with the factors from the PMF on PNSD data and 358 is strongly associated only with sulphate (Fig. 7). It does not have a clear diurnal variation 359 (fig. S6) and seems to have higher contributions with southwestern winds of rather high 360 speed and to a lesser extent with north-easterly winds (Fig. 8). The back trajectory analysis 361 (Fig. 9), while presenting few relatively high contributions from continental Europe, mainly 362 associates this factor with incoming air masses from all sea origins surrounding the UK. This 363 is expected as the ocean is a source of sulphate containing compounds (for the particles at 364 the size range measured by the OPC), either sea-salt sulphate or marine biogenic sulphate 365 (Lin et al., 2012; Raes et al., 2000).

366 The RG3 is similar to the LC2 and is mainly associated with the fourth factor from the PNSD 367 analysis and to a lesser extend with the third (Fig. 7). This factor has slightly increased 368 contributions during night-time (Fig. S6) and south and southwestern winds (Fig. 8). It 369 presents increased associations with increasing PM size, though in this case it is also 370 strongly associated with O₃. Unfortunately, no Cl or Na data were available to further 371 determine the marine nature of this factor. The back trajectory analysis though once again presents higher contributions with marine air masses (Fig. 9), though some hot spots are 372 373 also found from continental Europe, which probably explain to an extent the small

associations found with NO_x and organic compounds from the ACSM.

Finally, the **RG4** is mainly associated with the second factor and to a lesser extent with the
third from the PNSD analysis (Fig. 7). It presents higher contributions with north-eastern
winds (Fig. 8), has an unclear diurnal variation (Fig. S6), and presents higher contributions
with air masses from continental Europe (Fig. 9), like the LC4 from the second-step analysis.
While in that analysis it was difficult to characterise the sources for that factor, the strong
association with organic compounds found here with the addition of the ACSM data helps in
its clearer characterisation.

383 **4. Discussion**

4.1 Comparison of the results from the second-step analysis

385 It should be noted that regardless of any possible similarities between the two (secondstep) analyses, a direct comparison of the results should be conducted with great care. As 386 387 different variables are considered, even minor differences may result in different trends, 388 contribution of variables and the sources described. Regardless, the results of the two 389 analyses have great similarities especially on specific factors that are associated with the same particle size distribution profiles (from the PNSD analysis), contribution of chemical 390 391 compounds and diurnal variation. Three factors were found to have great similarities and 392 were associated with similar particle profiles. Specifically, these are the factors describing 393 the sources of particles which are either in close proximity to the measuring station or occur 394 with almost calm conditions (Factor 1 on both analyses), the marine factor (Factor 2 on LC 395 analysis and 3 on RG analysis) and the continental factor (Factor 4 on both analyses). 396 Looking at their temporal contributions (Fig. 4 and S5), the first factors on both analyses 397 appear to consistently peak on periods when the second set of factors (LC2 and RG3) 398 presents lower G contributions (and vice versa), which is expected due to the nature of their 399 sources. The factors on both sets though have almost identical temporal variation of their G 400 contributions regardless of the dataset. For the fourth factors on both analyses, though 401 presenting similar associations with their variables, differences are found in their temporal 402 variations with the addition of the ACSM data. This shows that while these factors appear to be almost identical, small differences can still be found in their temporal variation and 403 404 variable associations, when different datasets are considered. Nevertheless, the addition of 405 the ACSM data shows a very high contribution of NO_3^- on the first RG factor, SO_4^{2-} for the 406 second factor and the organic component on the fourth factor. 407 The remaining factor from both analyses though is completely different between the two

analyses and point towards the differences on the variables used for each. In the LC analysis
the factor formed consists of sources that are associated with fresher pollution sources.
Thus, a factor with strong associations with all the pollutants available was formed, it was
not associated with any of the PNSD formations from the first-step analysis and presented a
unique diurnal variation peaking midday. This should be expected as the particle size

measured by the OPC is much larger compared to the size of the particles these chemical
compounds are usually associated with. The occurrence of this factor was probably included
partially to the first and fourth factor of the RG analysis, as these present relatively higher
associations with NO_x and BC and more enhanced contributions during midday hours
compared to their LC analysis counterparts.

418 Finally, using the RG instrument data, the additional factor is associated with sulphate 419 alone. This is a result that was consistent regardless of the number of factors used, either 420 greater or smaller. Sulphate containing compounds have a lower volatility compared to the 421 other chemical compounds used in the analysis and is relatively more stable with a rather 422 small seasonal variation (Utsunomiya and Wakamatsu, 1996), thus having a longer lifespan 423 and distance of travel. As a result, sulphate was found not to be associated with any other 424 chemical compound and always formed a factor of its own (regardless of the number of 425 factors chosen).

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427 **4.2** Comparison with the results from a previous study.

428 Although different methodologies were used with the previous analysis for the BAQS site 429 (Bousiotis et al., 2021), as well as for different time periods, many similarities were found 430 for the sources of particles at the site. The main source of smaller particles at the site in the 431 previous analysis is found to be the city centre in the northeast, for which relatively high 432 concentrations of NO_x were found. Similar is the case in the present analysis, as for the 433 sources found to be associated with north-easterly winds an association was also found with 434 NO_x and the LDSA_{ratio}. Additionally, a source of sulphate found with southerly winds was also 435 confirmed in the present study, with the association of high sulphate concentrations with a 436 factor, which presents higher contributions with winds from the southern sector. While in 437 the previous analysis the sources responsible for this source could not be pinpointed, in the 438 present analysis, using a back trajectory analysis, the sulphate factor was associated with 439 marine particle sources from all directions. Furthermore, a factor in the present analysis, 440 which identifies hot spots south of the measuring station with strong presence of PM of all 441 sizes, was also found with the k-means analysis in the previous study, though in that case it 442 was more associated with the pollution sources from that side rather than the long-range 443 transport found here.

These similarities are very encouraging, as even though the analyses were made for
different periods and using different methods, there is consistency between the results. This
means that regardless of the different seasons studied (previous analysis was performed
during winter to early spring), the sources of particles (and pollution) are relatively uniform,
without significant changes.

449 Additionally, the k-means method identified sets of conditions that either promote or 450 supress the pollution at the sites (as this can be illustrated with the variable particle 451 concentrations between the clusters found from the analysis), rather than separate sources 452 of pollution that affect the site. While this provides a more realistic picture of the conditions 453 it makes it harder to distinguish the specific sources and their effect in its air quality. On the 454 other hand, the PMF not only provides clearer separation of the sources, but the temporal 455 contribution of each source as well, which shows the real extent of the effect of each source 456 of particles or pollutants, thus achieving source apportionment rather than just the 457 identification of pollution sources that the k-means offers. The k-means approach identifies 458 the effect of the sources of particles, but it also separates cleaner periods as separate 459 clusters. These two effects gives a more complete overall picture of the air quality at a site. 460 PMF could also provide this information, but it would be more difficult to obtain looking at 461 the different sources and the conditions that keep them to low contributions (this would 462 also require a much greater number of factors).

Furthermore, due to the complexity of the clusters from the k-means, pinpointing the sources that the particles are associated with is difficult. This is due to the clusters, being a set of different sources and conditions rather than clearly separated sources, were not clearly associated with distinct wind directions, speeds or hot-spots. Contrary to that, the factors formed by the PMF present clearer association with specific sectors, thus making it easier to define the sources associated with them, as in the results they are presented as hot spots within the polar plots.

The analysis of atmospheric data using either k-means or PMF are proven to provide
adequate and trustworthy information for the sources of particles and by extension of
pollution at a site, even with the sole use of LCS as shown in this paper and the preceding
Bousiotis et al. 2021 paper. The combined use of both approaches provides a clearer picture
of the different sources and their effect, as the PMF is able to better separate and provide
the effect of the sources of pollution that affect the air quality at a site and the k-means

476 provides a more realistic representation of the conditions at a site, by showing the combined effect of these sources. The relative consistency of the results found between the 477 478 two analyses, even being in different time periods, is very encouraging and shows that the 479 very important information of pollution receptor modelling is viable with LCS, providing a 480 much-needed alternative for countries or scenarios where the use of regulatory-grade 481 instruments is not feasible. The significantly lower price point of LCSs means that in addition 482 to hyperlocal measurement of air pollution, it should now be possible to deliver hyperlocal 483 source apportionment of air pollution though as highlighted within this study, there are 484 some limitations for specific sources associated with pollutants with certain properties. 485 Further exploration of these limitations and design of methodologies to overcome them, 486 can enhance their capability and open new research and industrial abilities to pinpoint air 487 pollution sources and subsequently manage them. 488 Finally, the LDSA_{ratio}, a variable that was introduced in the previous analysis, was included in

the present one as well. As in the previous analysis, this ratio was found to be more
associated with fresher pollution from combustion sources near to the measuring station,
for which it has reliably performed in both analyses.

492

493 **5. Conclusions**

494 To solve air quality problems and to deliver the associated policy making effectively, it is 495 vital to have a methodology to measure the sources of air pollution, and their relative 496 importance. Historically, this has been achieved using expensive RG instruments. The cost 497 implications of these studies make assessment at dense spatial resolutions limited. In this 498 study, data from a low-cost OPC and other LCS, measuring gas phase pollutants, black 499 carbon and the lung deposited surface area of particles in BAQS were analysed using the 500 two-step PMF analysis. Four factors were formed from this analysis and were associated 501 with their respective sources and to a great extent with unique PNSD profiles. The following 502 factors were found: a factor associated with either combustion sources in close proximity of 503 the measurement site or associated with calm conditions, a marine factor, a factor 504 associated with midday activities from the city centre and a more constant factor from the 505 northeast. The same analysis was also performed using data from RG instruments and the 506 same PNSD factors. This was done to evaluate the results from the low-cost sensor analysis,

507 as well as to further characterise and clarify the sources associated with the factors formed. Significant agreement was found between the results of the two analyses, highlighting that 508 509 the LCS are capable for carrying out such analyses. The additional ACSM data from the 510 second analysis further helped in the characterisation of the composition of the particles of 511 each factor, clarifying the sources associated with nitrate, sulphate and organic compounds 512 at the site, as well as strongly associating some with unique PNSD profiles. While in their 513 present state, the LCSs do not possess the full capability of the RG instruments for providing 514 high accuracy measurements, considering the limitations they were found to be adequate in 515 providing with the trends of the particles and pollutants measured which are important for 516 source apportionment studies. This is done at a fraction of the equipment cost; see

517 Bousiotis et al. 2021 for cost estimates.

Furthermore, comparing the results from the PMF to those from the k-means analysis showed the different strengths and weaknesses of each approach. The PMF is better in pinpointing the effect of separate sources of pollution, but it is difficult to give a clear representation of the actual conditions when each factor affects the site. The k-means is not as efficient in clearly separating the different sources, but it does provide a more realistic picture of the air quality at a site in relation to the ambient conditions. The combined use of both methods though provided a clearer picture for the conditions at the site.

525 The methodologies developed and used in this study will help to reliably facilitate source 526 apportionment studies in the future, with either the sole use of LCS or their combination 527 with RG instruments. As for a given site, specific PNSD formations are associated with 528 specific conditions and sources (Harrison et al., 2011), by creating a repository of unique 529 PNSDs at a site and associating them with their respective sources, in the future the source 530 apportionment may be done to an extend using only PNSD profiles and meteorological data 531 alone. This will do much in simplifying the source apportionment process allowing its wider 532 application and help in dealing with environmental challenges, though it can be challenging in sites with particle emissions smaller than what the OPC can measure (ex. vehicle exhaust 533 534 emissions). For this though, further testing in more diverse environments and scenarios is needed which, along with the anticipated development of the LCS, will provide a denser and 535 536 reliable measuring network even for countries with lower incomes and help for cleaner and 537 healthier environmental conditions.

540 Author Contributions

- 541 The study was conceived and planned by FDP who also contributed to the final manuscript,
- and DB who carried out the analysis and prepared the first draft. AS, MH, DCSB and SD
- 543 provided data for the analysis. DCSB provided help with the analysis of the data. RMH, PME
- and AB contributed to the final manuscript.
- 545

546 Competing Interests

- 547 The authors have no conflict of interests.
- 548

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850	FIGURE LEGENDS		
851			
852	Figure 1:	Map of the measuring station.	
853			
854	Figure 2:	Particle profiles of the factors from the PMF analysis (> 500 nm). The lines	
855		indicate the average particle count per second for each particle size bin.	
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857	Figure 3:	Variable association for the factors from the LC analysis. Grey bars indicate	
858		the values of F, while red bars indicate the explained variations for each	
859		variable.	
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861	Figure 4:	Temporal variation of the contributions of the factors from the LC analysis. The	
862		windroses refer to the wind conditions for the corresponding periods when	
863		specific factors presented higher G contributions.	
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865	Figure 5:	Polar plot of the average G contributions of the factors from the LC analysis.	
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867	Figure 6:	Average G contribution of the factors from the LC analysis for incoming air	
868		masses. Higher contributions indicate better association of the given factor	
869		with the corresponding air mass origin.	
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871	Figure 7:	Variable association for the factors from the RG analysis. Grey bars indicate the	
872		values of F, while red bars indicate the explained variations for each variable.	
873			
874	Figure 8:	Polar plot of the average G contributions of the factors from the RG analysis.	
875			
876	Figure 9:	Average G contribution of the factors from the RG analysis for incoming air	
877		masses. Higher contributions indicate better association of the given factor	
878		with the corresponding air mass origin.	
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Figure 1: Map of the measuring station. Imagery @2022 Bluesky, Getmapping plc, Infoterra
Ltd & Bluesky, Maxar Technologies, The GoeInformation Group, Map data
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Figure 2: Particle profiles of the factors from the PMF analysis (above 500 nm). The linesindicate the average particle count per second for each particle size bin.





Figure 3: Contribution of the factors from the LC analysis. Grey bars indicate the values of F,while red bars indicate the explained variations for each variable.





901 Figure 4: Temporal variation of the contributions of the factors from the LC analysis. The902 windroses refer to the wind conditions for the corresponding periods when specific factors

- 903 presented higher G contributions.
- 904



906 Figure 5: Polar plot of the average G contributions of the factors from the LC analysis.











912 Figure 6: Average G contribution of the factors from the LC analysis for incoming air masses.

- 913 Higher contributions indicate better association of the given factor with the corresponding
- 914 air mass origin.











923 Figure 7: Variable association for the factors from the RG analysis. Grey bars indicate the924 values of F, while red bars indicate the explained variations for each variable.



928 Figure 8: Polar plot of the average G contributions of the factors from the RG analysis.











933 Figure 9: Average G contribution of the factors from the RG analysis for incoming air masses.

- 934 Higher contributions indicate better association of the given factor with the corresponding
- 935 air mass origin.