

# 1 Differences in MOPITT surface-level CO retrievals and trends from Level 2 and Level 2 3 products in coastal grid boxes

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10

## 11 Abstract

12

13 MOPITT retrievals are more sensitive to near-surface CO when performed over land than water. Data users  
14 are therefore advised to discard retrievals performed over water from analyses to limit the a priori influence  
15 on results. Level 3 (L3) products are a 1° x 1° gridded average of finer resolution Level 2 (L2) retrievals. For  
16 coastal grid boxes, these are retrievals that are either performed over land, water, or a combination of the  
17 two, on any given day. L3 data users therefore have limited ability to filter for retrievals performed over  
18 water for these grid boxes. The consequences that this has on [surface-level](#) retrievals and their temporal trends  
19 in “as-downloaded” L3 data (L3O) are examined in this paper, for all coastal L3 MOPITT grid boxes (n =  
20 4299), by comparison to separate land- and water-only grid box averaged L2 retrievals (L3L and L3W,  
21 respectively). First, it is established that mean retrieved VMRs in L3L and L3W differ by over 10 ppbv,  
22 significant (p < 0.1) at 60 % of the coastal grid boxes. Trends are also stronger in L3L (mean difference  
23 between 0.28 ppbv y<sup>-1</sup> and 0.43 ppbv y<sup>-1</sup>), with the L3L – L3W trend difference significant at 36 % of grid  
24 boxes. These L3L – ~~L3W~~ ~~L3W~~ differences are clearly linked to retrieval sensitivity differences, with L3W  
25 being more heavily tied to the a priori CO profiles used in the retrieval, ~~which are,~~ ~~which is~~ a model-derived  
26 monthly mean climatology [that, by definition, has no trend year-to-year](#). On days when L3O is created from  
27 the averaging together of L2 retrievals over both land and water (L3O<sub>M</sub>), the result is VMRs that are  
28 significantly different to L3L for [45 % of all coastal grid boxes, corresponding to](#) 75 % of grid boxes where  
29 the L3L – L3W difference is also significant, ~~45 % of all coastal grid boxes~~. Just under half of the grid boxes  
30 that featured a significant L3L – L3W trend difference also see trends differing significantly between L3L  
31 and L3O<sub>M</sub>. Factors that determine ~~whether significance of difference between~~ L3O<sub>M</sub> and L3L [differ](#)  
32 [significantly](#) include proportion of the surface covered by land/water, and the magnitude of sensitivity  
33 contrast. Comparing the full L3O dataset to L3L, it is shown that if L3O is filtered so that only retrievals over  
34 land (L3O<sub>L</sub>) are analysed, there is a huge loss of days with data. This is because L2 retrievals over land are

35 routinely discarded during the L3O creation process, for coastal grid boxes. The problem can be lessened by  
36 also retaining L3O<sub>M</sub> retrievals, but the resulting L3O “land or mixed” (L3O<sub>LM</sub>) subset still has less data days  
37 than L3L for 61 % of coastal grid boxes. Moreover, ~~as already shown~~, these additional days with data feature  
38 some influence from retrievals made over water that can affect results. Coastal L3 grid boxes contain 33 of  
39 the 100 largest coastal cities in the world, by population. Focusing on the L3 grid boxes containing these  
40 cities, [we ask whether results of analyses are significantly different if using L3O compared to L3L.](#) It is  
41 shown that mean VMRs in L3O<sub>L</sub> and L3L differ significantly for 11 of the 27 ~~cities~~ [grid boxes](#) that can be  
42 compared (there are no L3O<sub>L</sub> data for 6 of the [grid boxes studied](#)~~cities~~). The L3L – L3O<sub>LM</sub> mean VMR  
43 difference exceeds 10 (22) ppbv for 11 (3) of the 33 ~~cities~~ [grid boxes](#), significant in 13 cases. 9 of the 18 ~~cities~~  
44 [grid boxes](#) where WLS analysis can be performed in L3O<sub>L</sub> feature a trend that is significantly different to  
45 L3L. The trends in L3O<sub>LM</sub> and L3L differ significantly for 5 of the 33 ~~cities~~ [grid boxes](#). It is concluded that a  
46 L3 product based only on L2 retrievals over land would be of benefit to MOPITT data users, given the ~~clear~~  
47 ~~and sometimes~~ significant differences in mean CO VMRs and trends that can be obtained for coastal grid  
48 boxes using L2 products in which retrievals performed over water can be more easily discarded.

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## 51 **1. Introduction**

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53 Carbon monoxide (CO) is directly emitted into the atmosphere from anthropogenic (e.g. fossil fuel burning)  
54 and natural (e.g. wildfire) sources, and also produced via the oxidation of hydrocarbons in the atmosphere.  
55 With an atmospheric lifetime of weeks to months (e.g. Duncan et al., 2007), it is an important tracer of  
56 pollutant transport and indicator of emission sources. While a health concern in its own right at high enough  
57 concentrations, CO also plays an important role in atmospheric chemistry, for example as a precursor to  
58 ozone formation and a primary sink for the hydroxyl radical. Atmospheric CO concentrations have decreased  
59 since the start of the 21<sup>st</sup> century, with a slowdown in the rate of decline observed in recent years (Buchholz  
60 et al., 2021). Trends also show substantial spatial variability (Hedelius et al., 2021). Satellite instruments  
61 have been central to our understanding of global change in CO concentrations, with the Measurement of  
62 Pollution in the Troposphere (MOPITT – Drummond et al., 2010, 2016) instrument well suited to this task,  
63 providing a nearly-unbroken and consistent data record since the year 2000.

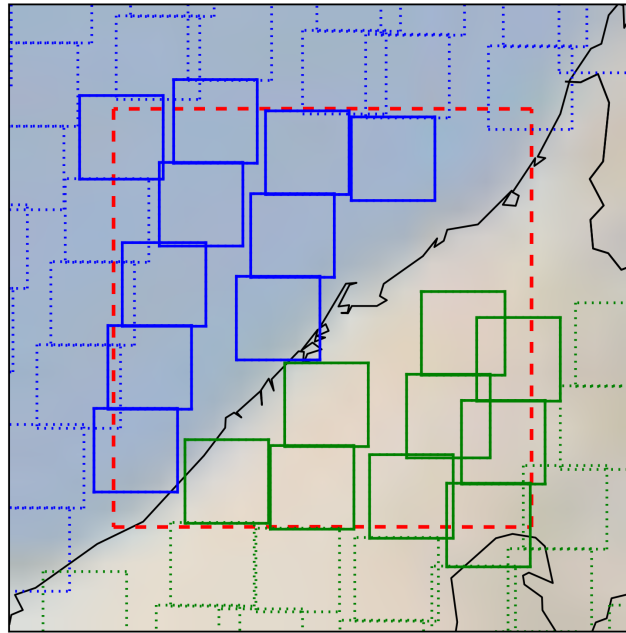
64 MOPITT observes upwelling radiances at thermal infrared (TIR) and near infrared (NIR) wavelengths  
65 and uses these in an optimal estimation retrieval algorithm to retrieve coarse vertical resolution CO profiles,  
66 which are integrated to give total column amounts. Among multiple additional inputs required by the retrieval  
67 algorithm, a priori CO profiles – which describe the most probable state of the CO profile at a given location  
68 – are necessary to constrain the retrieval to physically reasonable limits (Pan et al., 1998; Rodgers, 2000; the

69 retrieval algorithm is outlined in more detail in Sect. 2.1). For the most recent iterations of MOPITT products,  
70 these a priori CO profiles are based on a monthly climatology from a chemical transport model. The degree  
71 to which a given MOPITT retrieval reflects information obtained from the observed radiances – known as  
72 “information content” – is highly spatially and temporally variable, depending on scene-specific factors such  
73 as surface temperature, thermal contrast in the lower troposphere, and the actual (“true”) CO loading itself,  
74 as well as on instrumental noise (e.g. Deeter et al., 2015). The lower the retrieval information content, the  
75 closer the retrieved CO loading will be to the a priori; a model value.

76 Retrievals that take place over water are known to have a lower information content than retrievals  
77 that take place over land. ~~This is~~ Primarily, this is due to weak thermal contrast near to the surface hampering  
78 the instrument’s ability to sense CO absorption in the lowermost layers of the troposphere (Deeter et al.,  
79 2007; Worden et al., 2010), and this is confounded by a lack of NIR reflectance over water, which limits  
80 these retrievals to TIR wavelengths only. It is therefore recommended that MOPITT data users exclude these  
81 retrievals from any analyses they perform, to ensure that results are not biased by retrievals that have a heavy  
82 reliance on the a priori (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015). Such filtering  
83 is specifically emphasised where the focus of analysis is the identification of long-term CO trends, because  
84 any real trends in the data will be weakened by the inclusion of retrievals that are tied heavily to the a priori  
85 (Deeter et al., 2015). This is because the a priori CO profiles are taken from monthly modelled CO  
86 climatologies: for a given location and day of the year, they will be the same every year and therefore feature  
87 no temporal trend (Deeter et al., 2014).

88 MOPITT data are available as either Level 2 (“L2”) or Level 3 (“L3”) products. L2 products contain  
89 each individual retrieval, at ~22 x 22 km spatial resolution. L3 products are a 1° x 1° gridded area-average of  
90 the individual L2 retrievals that fall within each grid box (see Fig. 1), with some filtering criteria applied.  
91 One criterion is the surface type over which the L2 retrievals were performed – either land, water, or “mixed”.  
92 If more than 75 % of the bounded L2 retrievals were performed over the same surface type then only those  
93 retrievals are averaged to create the L3 product and the rest are discarded; otherwise, all bounded L2 retrievals  
94 are averaged, and the L3 product is given the surface type classification of “mixed” (L3 surface type  
95 classification is explained in more detail in Sect. 2.2). This creates a problem for L3 grid boxes that overlay  
96 coastlines: To a greater or lesser extent, these L3 products will have some contribution from L2 retrievals  
97 performed over water, as shown in Fig. 1. L3 product users have limited capability to discard them, at least  
98 without sacrificing temporal resolution, ~~(because each L3 grid box only has a single retrieval per day)~~. By  
99 contrast, with L2 products it is possible, for the same coastal grid boxes, to choose to retain only the retrievals  
100 performed over land. In practical terms, this means that, for coastal L3 grid boxes, valuable retrieval  
101 information over land, available in L2 products, can be lost to users of L3 products.

102 With a focus on the coastal L3 grid box containing the city of Halifax, Canada, Ashpole and Wiacek  
103 (2020) demonstrate the consequences of this loss of retrieval information in L3 products. They compare the  
104 results of analyses performed using L3 data and L2 data whereby only bounded retrievals performed over



**Figure 1.** Example of a coastal L3 grid box (red dashed box) and bounded L2 retrievals from which the L3 products for that grid box are created. Blue (green) boxes correspond to L2 retrievals with a surface index of “water” (“land”). Note that only L2 retrievals with a midpoint that falls within the boundaries of the L3 grid box will be used in L3 creation for that grid box. These are indicated by solid blue/green outlines – those not included in L3 creation for this grid box are shown with dotted blue/green outlines. More information on surface indexing and L3 product creation is given in Sect. 2.2. “Coastal” L3 grid box classification is outlined in Sect. 2.3. The coastal L3 grid box visualized here contains the city of Dubai (~centre = 55.296° E, 25.277° N), which features in the case study analysis of Sect 3.4. Background shading is from Nasa Blue Marble imagery.

105 land were retained, and find significant differences in both seasonal mean statistics and the magnitudes of  
106 trends identified in surface-level CO. These differences are a direct result of the L3 products being dominated  
107 by L2 retrievals over water, which feature a weaker trend than the L2 retrievals over land, demonstrably due  
108 to a greater a priori influence owing to their reduced true-profile sensitivity. In their conclusions, Ashpole  
109 and Wiacek (2020) suggest that L2 retrievals over water should not contribute to L3 products for coastal grid  
110 boxes, which would be consistent with previous data filtering recommendations (MOPITT Algorithm  
111 Development Team, 2018; Deeter et al., 2015). The primary aim of this paper is to explore the extent of the  
112 difference that this would make on a global scale. This is necessary to understand for two reasons: firstly, L3  
113 data are ~~better~~ more convenient for ~~suiting to~~ long timeseries analysis than L2 data owing to their smaller file  
114 size (~25 MB vs ~450 MB respectively, for a single daily, global file). It cannot be overlooked that working

115 with L3 data thus requires fewer computing resources and less technical proficiency, [with a range of simple-](#)  
116 [to-use tools available for working with gridded products](#). L3 products thus make the MOPITT data more  
117 easily accessible, especially to less-expert users, who may lack the expertise required to scrutinize the data  
118 for potential a priori bias. Secondly, many of the world’s largest agglomerations are situated within a coastal  
119 L3 grid box (5 of the top 10 and 33 of the top 100 largest agglomerations by population; derivation outlined  
120 in Sect. 2.5), making these likely targets for analyses of air quality indicators, especially their changes over  
121 time.

122 This paper presents a comparison of results from analyses performed using L3 data products and  
123 separate land-only and water-only area averages from L2 products for all MOPITT L3 grid boxes that overlay  
124 coastlines ([“L3L” and “L3W” respectively – derivation outlined in Sect. 2.4](#)). Section 3.1 demonstrates the  
125 magnitude of the sensitivity difference for retrievals over land and water, zooming in to focus on coastal grid  
126 boxes; (the classification of which is outlined in Sect. 2.3). Section 3.2 links the sensitivity contrast to  
127 differences in mean CO volume mixing ratios ([“VMRs”](#)) and their temporal trends for L2 retrievals  
128 performed over land and water within coastal L3 grid boxes; and evaluates the effect that the averaging  
129 together of these retrievals has on the statistics and trends in resulting L3 “mixed” values. Section 3.3  
130 quantifies the proportion of L2 retrievals performed over land within coastal L3 grid boxes that are lost to L3  
131 products, before finally comparing statistics and trends in L3 and L2 products for all coastal L3 grid boxes,  
132 outlining the magnitude and significance of differences for [the coastal grid boxes that contain the 33 of the](#)  
133 largest [100 coastal](#) cities in the world (Sect. 3.4).

## 136 2. Data and Methods

### 138 2.1. MOPITT Instrument and retrieval overview

140 Carried on board the polar-orbiting NASA Terra satellite that was launched in December 1999, MOPITT  
141 began measuring CO in March 2000 and has provided near-continuous measurements to date. With a native  
142 pixel resolution of ~22 x 22 km at nadir and a swath width of ~640 km, it offers near global coverage roughly  
143 every 3-days, crossing the equator at ~10:30 and ~22:30 local time. The instrument is a gas correlation  
144 radiometer that measures radiances in two CO-sensitive spectral bands: the TIR at 4.7  $\mu\text{m}$ , which is sensitive  
145 to both absorption and emission by CO and can provide information on its vertical distribution in the  
146 troposphere; and the NIR at 2.3  $\mu\text{m}$ , which constrains the CO total column amount and yields information  
147 on CO concentrations in the lower troposphere (LT), to which TIR radiances are typically less sensitive

148 (Drummond et al., 2010; Pan et al., 1995, 1998). For the work presented here, the TIR-NIR combined  
149 MOPITT product is used, owing to its demonstrably greater sensitivity to CO loadings near to the surface  
150 than the TIR- and NIR- only products which are also available (Deeter et al., 2013). Note, however, that  
151 retrievals over water and at night are limited to the TIR band only due to the lacking NIR signal. This analysis  
152 is based on daytime-only retrievals (more information on data selection and preparation is given in Sect. 2.4).

153 Multiple other sources describe the retrieval algorithm in detail (e.g., Deeter et al., 2003; Francis et  
154 al., 2017). In short, it uses optimal estimation (Pan et al., 1998; Rogers, 2000) and a fast radiative transfer  
155 model (Edwards et al., 1999) to invert measured radiances and retrieve the CO volume mixing ratio (VMR)  
156 profile on 10 vertical layers. The vertical grid consists of 9 equally spaced pressure levels from 900 to 100  
157 hPa (the uppermost level covers the atmospheric layer from 100 to 50 hPa), with a floating surface pressure  
158 level (if the surface pressure is below 900 hPa, less than 10 profile levels are retrieved). Retrieved values  
159 represent the mean CO VMR in the layer immediately above that level. These profile measurements are then  
160 integrated to provide total column CO amounts. Retrievals are only performed for scenes free of cloud (cloud  
161 clearing is based on coincident MODIS observations and MOPITT's own radiances).

162 In addition to the measured radiances, the retrieval requires multiple inputs including meteorological  
163 data, surface temperature and emissivity, and, of direct relevance to this study, a priori CO profiles, which  
164 are necessary to constrain the retrieval to physically reasonable limits. These a priori CO profiles come from  
165 a monthly CO climatology (years 2000-2009), simulated with the Community Atmosphere Model with  
166 Chemistry (CAM-chem) chemical transport model (Lamarque et al., 2012) at a spatial resolution of  $1.9^\circ \times$   
167  $2.5^\circ$ , which is then spatially and temporally interpolated to the time and location of each individual MOPITT  
168 observation. A priori profiles for a given location and day of the year are therefore the same every year and  
169 feature no temporal trend. To understand the physical significance of the MOPITT CO retrievals, it is  
170 necessary to examine the retrieval Averaging Kernels (AKs), available with all MOPITT data products,  
171 which quantify the sensitivity of the retrieved vertical profile to the "true" vertical profile. The lower the  
172 retrieval sensitivity, the greater the a priori weighting. Two different components of AKs are analysed in this  
173 paper: AK rowsums, which represent the overall sensitivity of the retrieved profile at the corresponding  
174 pressure level to the whole true profile; and AK diagonal values, which represent the sensitivity of the  
175 retrieved profile at the corresponding pressure level to the same level of the true profile (e.g. the AK diagonal  
176 value for the surface level of the retrieved profile represents its sensitivity to the surface level of the true  
177 profile).

178 From time-to-time, new MOPITT products become available as improvements are made to the  
179 retrieval algorithm and radiative transfer model, yielding superior validation statistics compared to earlier  
180 product versions (Worden et al., 2014). This analysis uses MOPITT Version 8 (V8) products (Deeter et al.,

2019). Note that Version 9 (V9) products became available shortly after this study was completed. V9 features cloud screening improvements that yield additional retrievals over land in comparison to V8 (the exact percent change varies significantly with geography). Validation results are comparable to V8. [An overview of MOPITT V9 is given by Deeter et al \(2021\).](#) ~~It is expected that the main conclusions of this paper to hold for V9, since the land-water sensitivity contrast remains and L3 processing method appears to be unchanged.~~ [A subset of the analysis presented in this paper has been duplicated using V9 data, and this confirms that the main conclusions drawn based on V8 data also hold for V9 \(this analysis is outlined in the Supp. Mat. \(SM1\)\). This is to be expected, given that the land-water sensitivity contrast remains in V9 and the L3 processing method is unchanged.](#) ~~An overview of MOPITT V9 is given by Deeter et al (2021).~~

## 2.2. MOPITT surface type classification

To aid in filtering and interpreting retrievals, all MOPITT data products are distributed with a range of diagnostic fields. As retrieval information content is known to be variable depending on the type of surface over which it is performed (Deeter et al., 2007), L2 retrievals are given a surface index according to whether they were performed over land, water, or a combination of the two (“mixed”). For a given 1° x 1° L3 grid box, how the L2 retrievals that fall within its boundaries are processed to produce the L3 product depends on how their surface indexes vary: If more than 75 % of the bounded L2 retrievals have the same surface index, only those retrievals are averaged to produce the L3 gridded value, and the L3 surface index is set to that surface type (the other L2 retrievals are discarded). Otherwise, all L2 retrievals available in the L3 gridbox are averaged together and the L3 surface index is set to “mixed”, as is the case in the example shown in Fig. 1 (this information is taken from the MOPITT Version 6 L3 data quality summary<sup>1</sup>, which at the time of writing, is the most recent data quality summary to detail exactly how L3 data are created, [despite more recent data quality summaries being available](#)). Note that the L2 VMR profiles that are averaged to produce the L3 retrieval are first converted to log(VMR) profiles, then averaged, and the mean log(VMR) profile is then converted back to a VMR profile.

Each L3 grid box only has one retrieval per day. This dictates that where the grid box overlies both land and water, its surface index ~~will~~ [could](#) vary through time, depending on the population of L2 retrievals from which it is created. The make-up of this population can also vary from day-to-day due to factors such as cloud cover, and screening for data quality issues: on day  $n$  the population could be predominantly L2 retrievals over land, on day  $n+1$  it could be predominantly L2 retrievals over water, and on day  $n+2$  it could

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<sup>1</sup> available here: [https://eosweb.larc.nasa.gov/sites/default/files/project/mopitt/quality\\_summaries/mopitt\\_level3\\_ver6.pdf](https://eosweb.larc.nasa.gov/sites/default/files/project/mopitt/quality_summaries/mopitt_level3_ver6.pdf)



213 be an even mix of the two. Given that the averaging together of retrievals with significantly different  
214 sensitivity profiles – as could be the case when averaging retrievals over land and water – serves to dilute the  
215 information coming from the MOPITT observed radiances with information coming from the a priori and is  
216 therefore discouraged (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015; Deeter et al.,  
217 2007); and that MOPITT data users are advised to exclude retrievals over water from analyses owing to the  
218 known reduced sensitivity, this introduces two potential problems for L3 data taken from coastal grid boxes:  
219 firstly, discarding all L3 retrievals with the surface index of water will result in a loss of temporal coverage;  
220 secondly, L3 retrievals with a surface index of mixed feature some contribution from L2 retrievals over water.  
221 The consequences of both of these problems are explored in this paper.

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### 224 **2.3. Coastal grid box classification for this study**

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226 Since the focus of this paper is on “coastal” L3 grid boxes, it is first necessary to isolate these from the  
227 remaining “land-only” or “water-only” L3 grid boxes in the MOPITT data set. The initial step is to identify  
228 all grid boxes that have a surface index of “mixed” at least once during the study period. This indicates that  
229 the ground area within those grid boxes was both land and water. However, analysis of the global distribution  
230 of L3 grid boxes featuring a surface index of mixed revealed that, in addition to actual coastlines, a large  
231 proportion of inland grid boxes that are clearly not coastal (“false coastal”) are given the surface index of  
232 mixed at least some of the time (Fig. 2a). The reason for this is unclear, but it could be for real physical  
233 reasons, such as land grid boxes sporadically flooding, or due to issues in the retrieval schemes caused by  
234 e.g. cloud screening problems or the presence of surface ice cover. One characteristic of these false coastal  
235 grid boxes is that, compared to the total number of days with L3, the relative frequency with which they are  
236 flagged as land is very high (expressed as the ratio “n\_days(L3O<sub>L</sub>/L3O)”, plotted in Fig. 2b). This relative  
237 frequency is much lower for “true” coastal grid boxes, to be expected given prior knowledge of 1) the fact  
238 that these grid boxes span both land and water surface types; and 2) how the surface index is determined for  
239 L3 data (as outlined in Sect. 2.2). Following iterative threshold testing, L3 coastal grid boxes are classified  
240 as grid boxes that:

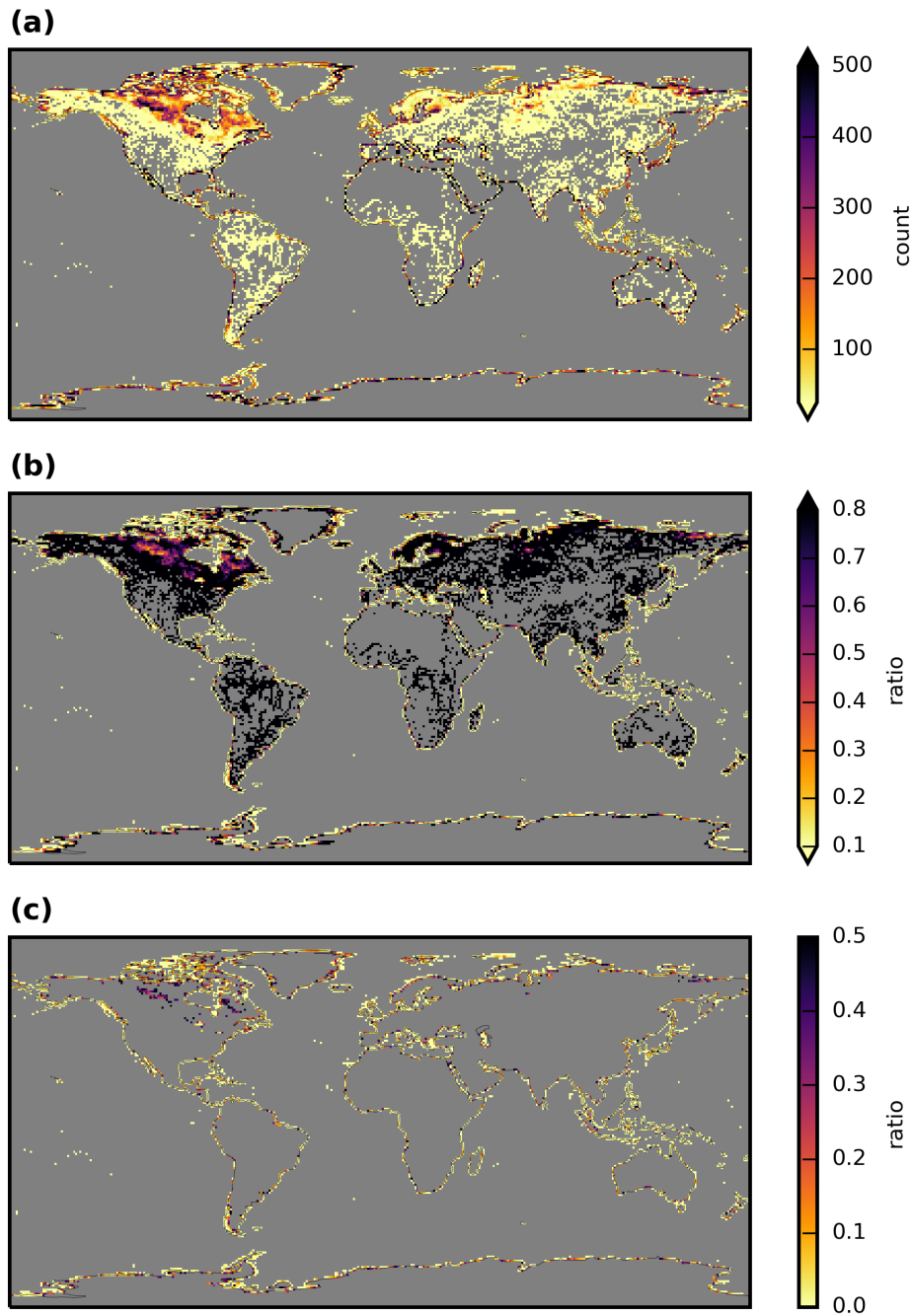
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- 242 1. Have at least one classification of “mixed” during the study period
- 243 2. Have an n\_days(L3O<sub>L</sub>/L3O) ratio < 0.5.

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245 The distribution of coastal grid boxes identified using these criteria is shown in Fig. 2c. Most false coastal  
246 grid boxes are removed, although there are still some erroneous classifications evident, mostly in the north  
247 of Canada and Russia. However, placing a more restrictive threshold on the  $n\_days(L3O_L/L3O)$  ratio to  
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**Figure 2.** Maps showing the stages of derivation of the coastal L3 grid box mask applied in this paper to MOPITT data. **(a)** Frequency with which L3 grid boxes are given the surface index of “mixed”, calculated from daily data between 2001-08-25 and 2019-02-28. **(b)** Frequency with which L3 grid boxes that have a surface index of “mixed” at least once in panel a have the surface index of “land”, compared to the total number of days with which L3 data are available for that grid box (expressed as  $n\_days(L3O_L/L3O)$ ). **(c)** As b, but with a threshold of  $n\_days(L3O_L/L3O) < 0.5$  applied. This is the coastal L3 grid box mask used in this paper.

250 remove these areas has diminishing returns since it results in the rejection of more true coastal grid boxes.  
251 These criteria therefore strike a balance between minimising false and maximising true classifications.  
252           Applying these criteria to the MOPITT L3 data yields 4299 coastal grid boxes, from a total of 64800  
253 L3 grid boxes (6.6 %). This mask is applied to all data, and only those L3 grid boxes that remain are classified

254 as coastal. Only data for these coastal grid boxes are analysed in this study (with the exception of global L3  
255 maps [analysed](#) in Sect. 3.1.1).

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## 2.4. MOPITT datasets analysed, and data processing methods

All available MOPITT V8 Level 2 (L2) and Level 3 (L3) [daily](https://search.earthdata.nasa.gov) TIR-NIR files (“MOP02J” and “MOP03J” files, respectively) were downloaded from the NASA Earthdata portal (<https://search.earthdata.nasa.gov>). Although the data record begins in March 2000, analysis is restricted to the period from 2001-08-25 to 2019-02-28. Data prior to 2001-08-25 are discarded due to an instrumental reconfiguration in 2001 creating an inconsistency in the data record (Drummond et al., 2010). Data post 2019-02-28 are flagged as “beta” at the time of writing, their use in scientific analysis (especially for examining long-term records of CO) being discouraged until final processing and calibration occurs (MOPITT Algorithm Development Team, 2018). For clarity, the original, “as-downloaded” L3 timeseries is referred to as “L3O” for the remainder of this paper. Only retrievals that were performed during daytime hours are retained (daytime and nighttime retrievals are stored as separate fields in MOP03J files). For this analysis, separate subsets of L3O are created according to surface index: L3O land-only (“L3O<sub>L</sub>”), L3O water-only (“L3O<sub>W</sub>”), L3O mixed (“L3O<sub>M</sub>”), L3O land-or-mixed (“L3O<sub>LM</sub>”). When the L3O dataset is analysed with no filtering by surface index applied, it is referred to as “L3O<sub>NF</sub>”.

The first step of L2 data processing for this study is to filter the retrievals as is done at the L3 processing stage. This involves:

- Discarding all observations for Pixel 3 (this corresponds to one of MOPITT’s four detectors);
- Discarding all observations where both (1) the channel 5A signal-to-noise-ratio (“SNR”) < 1000 and (2) the channel 6A SNR < 400 (5A and 6A correspond to the average radiances for MOPITT’s length-modulated cell TIR and NIR channels, respectively)

This filtering takes place because observations from specific elements on MOPITT’s detector array were found to exhibit greater retrieval noise than the other elements, and their inclusion therefore lowered overall L3 information content (MOPITT Algorithm Development Team, 2018). Only daytime L2 retrievals are retained, using a solar zenith angle filter of < 80°.

From the remaining set of filtered L2 retrievals, separate area averages are taken for those with a surface index of land and water, for every 1° x 1° L3 grid box. This effectively creates two new L3 “land only” and “water only” products, which are referred to herein as “L3L” and “L3W”. For clarity of analysis, remaining L2 retrievals with a surface index of mixed are discarded. These make up a very small proportion

289 of the overall L2 retrievals (e.g. < 5 % for the grid box containing Halifax, analysed in Ashpole and Wiacek,  
290 2020). Note that, as with the creation of L3O, L2 VMR profiles for each L3 grid box are first converted to  
291 log(VMR) profiles before averaging, and the mean log(VMR) profile is then converted back to a VMR profile  
292 to give the final L3L and L3W retrievals.

293 From these L3O, L3L, and L3W datasets, only grid boxes that are classified as “coastal” using the  
294 coastal grid box masked outlined in Sect. 2.3 are analysed.

295 Note that the analysis presented in this paper is restricted to daily products. Monthly L3 files are  
296 available, however the absence of a monthly L2 product precludes the analysis from being conducted on  
297 those data. Based on the results of the analysis of daily data, however, there is reason to also advise caution  
298 if working with coastal grid boxes in the monthly L3 product. This is because the data for those grid boxes  
299 will still be created from daily L2 retrievals over land and water, with the same implications that are discussed  
300 in this paper.

## 303 2.5. Timeseries preparation, ~~s~~Statistical methods used for this study, and additional data sources

304  
305 For every coastal L3 grid box, two separate timeseries from each of the L3O, L3L, and L3W datasets are  
306 analysed:

307  
308 1. The timeseries analysed in Sect. 3.1 and 3.2 ~~the timeseries analysed~~ only contain days where L3L  
309 and L3W are both present and the L3O surface index is mixed (“L3O<sub>M</sub>”). This is to ensure that the  
310 true CO profiles are as similar as possible when directly comparing L3L and L3W for a given coastal  
311 grid box. Furthermore, it allows for the analysis of the resulting L3O<sub>M</sub> data on these days with  
312 knowledge of the parent L2 retrievals over land and water and their differences.

313  
314 2. In Sect. 3.3 and 3.4 the full timeseries from each dataset is analysed with no temporal filtering applied.

315  
316 ~~Descriptive statistics are calculated from both timeseries across the whole study time period, and also~~  
317 ~~for individual years (full years only — 2002 to 2018 inclusive).~~

318 Descriptive statistics are calculated from both timeseries across the whole study time period, and also  
319 for individual years (full years only – 2002 to 2018 inclusive) in order to perform the regression analysis  
320 outlined below.

321 To identify and compare temporal trends for each coastal grid box in the datasets outlined above,  
322 weighted least squares (WLS) regression analyses is performed on yearly mean values, weighted by the  
323 inverse of the standard deviation of the measurements used in the yearly mean (i.e.  $1/\sigma$ ). For years that contain  
324 just a single retrieval, the weighting is set to  $1/100000$  to de-weight them in the fit. If there are more than 2  
325 years in a timeseries for a given grid box that have no data, the regression analysis is not performed. WLS is  
326 preferred over OLS because it is less sensitive to outliers. For simplicity, no other trend detection methods –  
327 e.g. the Thiel-Sen slope estimator – are applied to corroborate the trends that are detected with WLS, nor do  
328 we analyse additional datasets to verify them. Such extra steps would be necessary if the actual trend values  
329 were the focus of this study; however, the aim of this trend analysis is instead to identify whether the same  
330 method can yield different results depending on which of L3O, L3L or L3W is analysed.

331 To determine whether two trends identified are significantly different, their difference is evaluated  
332 using the Z test as follows:

333

$$334 \quad Z = \frac{Trend_1 - Trend_2}{\sqrt{SE_1^2 + SE_2^2}}$$

335

336 where  $SE_1$  and  $SE_2$  correspond to the standard errors of  $Trend_1$  and  $Trend_2$  respectively, and Z is the test  
337 statistic. Where Z is greater (less) than 1.645 (-1.645) the trend difference is statistically significant to at least  
338 90 % (i.e.  $p < 0.1$ ). In addition, two trends are classified as being significantly different if  $Trend_1$  is  
339 significantly different to zero ( $p < 0.1$ ) but  $Trend_2$  is not ( $p > 0.1$ ), and vice-versa (i.e. the conclusion would  
340 be that  $Trend_1$  is not zero, but  $Trend_2$  may be).

341 A list of the top 100 largest agglomerations by population in the world is obtained from  
342 <http://www.citypopulation.de/> (valid at time of writing). 33 of these are situated in a coastal grid box,  
343 according to the classification in Sect. 2.3. Time series of L3L, L3W, and L3O are extracted from each of  
344 these grid boxes for the analysis in Sect. 3.4.

345  
346  
347  
348  
349

### 350 **3. Results and Discussion**

#### 351 352 **3.1. Land-water contrast in MOPITT sensitivity**



353

354 This section demonstrates the land-water sensitivity contrast in MOPITT retrievals at levels throughout the  
355 vertical profile, and examines the magnitude of the difference within coastal L3 grid boxes.

356

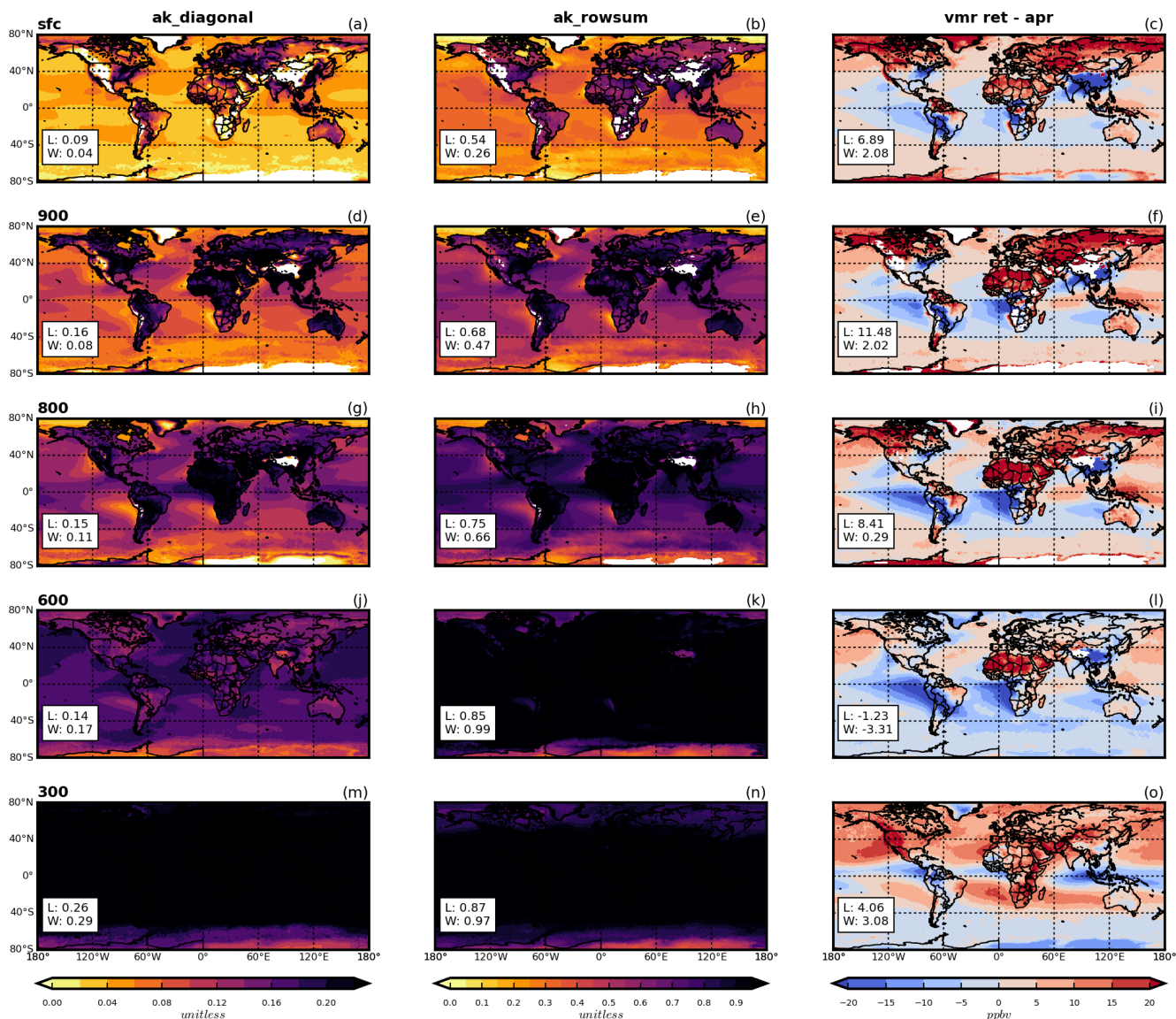
357

### 358 3.1.1. Global context

359

360 Figure 3 shows long-term mean maps for the retrieval sensitivity metrics AK diagonal value, AK rowsum,  
361 and retrieved minus a priori VMR (“VMR ret-apr”) at selected profile levels, created from L3O data averaged  
362 across the entire study period (September 2001 – February 2019, inclusive). All indicators show that retrieval  
363 sensitivity is greater over land than water in the lower troposphere (“LT”; represented by the surface, 900  
364 hPa and 800 hPa profile levels), with sharp differences evident at almost all land-water boundaries. The  
365 sensitivity contrast clearly decreases in strength with height. By mid-tropospheric levels (“MT”; represented  
366 by 600 hPa profile level), AK diagonal values and rowsums reach greater values on average over water than  
367 land. Some strong land-water gradients remain present in VMR ret-apr fields, most notably over North  
368 Africa, the Arabian peninsula, and south-east China, but on average these values are much more similar  
369 across land and water than in the LT. No clear land-water contrast is evident in the upper troposphere (“UT”;  
370 represented by the 300 hPa profile level), with retrieval sensitivity instead varying more with latitude,  
371 decreasing towards both poles (a companion to Fig. 3 with an altered colour bar to better show spatial patterns  
372 in AK diagonal values and rowsums at MT and UT levels is provided in the Supp. Mat. (SM2+)).

373 AK diagonal values and rowsums show that retrieval sensitivity increases across both land and water  
374 with height. It is lowest at the surface level, with little information content in the retrieval over water (AK



**Figure 3.** Mean sensitivity metrics from MOPITT L3 data, averaged across the entire study period (September 2001 – February 2019, inclusive). Shown are AK diagonal values (left column), AK rowsums (center column) and VMR retrieved minus a priori values (right column) for the following levels of the retrieved profile: surface (top row), 900 hPa (second row), 800 hPa (third row), 600 hPa (fourth row), and 300 hPa (bottom row). Values in white boxes correspond to mean values across all land (“L”) and water (“W”) L3 grid boxes.

375

376 diagonal values and rowsums over water are less than half what they are over land, on average). There is high  
 377 spatial variability over land: AK diagonal values and rowsums reach values comparable to those at higher  
 378 profile levels in some sensitivity hotspots (e.g. parts of central Europe, east Asia, eastern USA and tropical  
 379 west Africa), while being more comparable to values over water in other areas. By 800 hPa, AK diagonals

380 and rowsums over water reach values comparable to or greater than those reached over land at the surface  
381 level, in most places.

382 Spatial patterns in retrieved minus a priori VMRs are slightly more complex to interpret, because they  
383 are influenced both by retrieval sensitivity and the accuracy of the a priori. For example, while [VMR ret-apr](#)  
384 values close to zero can indicate a retrieval that is heavily weighted by the a priori and therefore low retrieval  
385 sensitivity, they can also indicate that the true VMR is close to the a priori value. Despite this, retrieved minus  
386 a priori VMR values clearly reach more strongly positive or negative values over land than water in the LT,  
387 with the contrast becoming less pronounced with height. Furthermore, there are clear land-water  
388 changepoints in the LT, ~~especially in the LT~~. This further demonstrates the impact of the land-water contrast  
389 in retrieval sensitivity.

390

391

### 392 3.1.2. Analysis of coastal L3 grid boxes

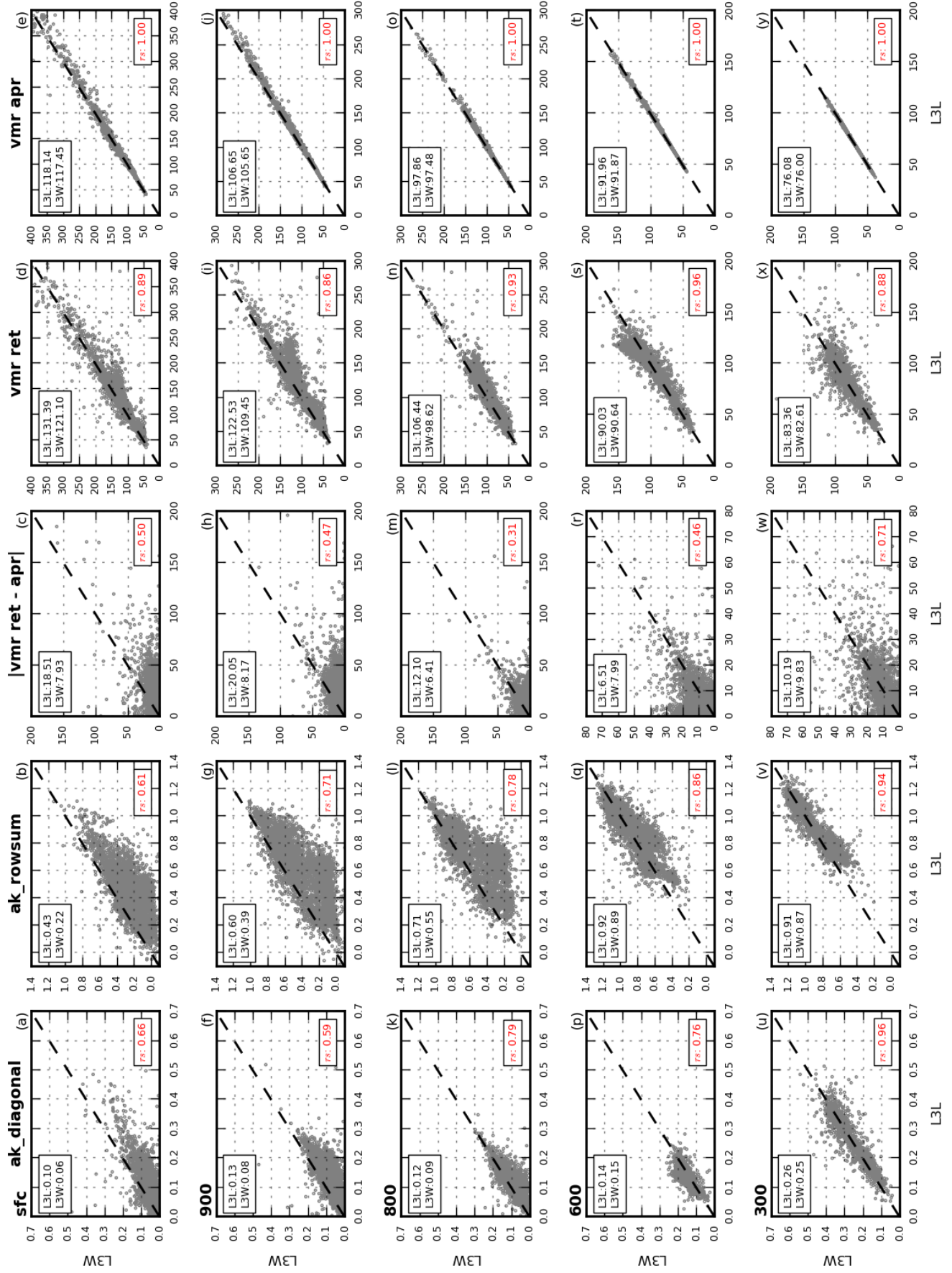
393

394 Scatterplots of sensitivity metrics at selected profile levels, for coastal L3 grid boxes only, are shown in Fig.  
395 4. Specifically, these plots show the sensitivity of the L2 land and water retrievals that are bounded by the 1°  
396 x 1° L3 grid boxes and used to create the L3O data. The values that are plotted correspond to the long-term  
397 mean from the L3L and L3W datasets for these grid boxes. ~~For this comparison, the L3L and L3W means  
398 are only calculated from days when L2 retrievals over both land and water are present and the L3O surface  
399 index is mixed. This minimises potential differences in the true CO profiles that could arise due to temporal  
400 variations for the L3L and L3W pairings being compared. Furthermore, it allows for the analysis of the  
401 resulting L3O<sub>M</sub> data on these days with knowledge of the parent L2 retrievals over land and water and their  
402 differences. For ease of interpretation, the absolute retrieved minus a priori VMR values are plotted, i.e.  
403 ignoring whether the result is positive or negative. However, the results hold if using signed values, and a  
404 duplicate of Fig. 4 with signed retrieved minus a priori VMR values is included in the Supp. Mat. for reference  
405 (SM2).~~

406 The AK diagonal value and rowsum plots clearly demonstrate greater sensitivity over land (L3L) than  
407 over water (L3W) at LT levels (a point below the diagonal line on these panels indicates greater values in  
408 L3L) for the majority of grid boxes, with the difference decreasing into the MT and UT. Correspondingly,  
409 retrieved VMRs also deviate more greatly from their a priori values in L3L than L3W in the LT, with smaller  
410 land-water differences in the MT and UT. Mean values are significantly different ( $p < 0.005$ ) apart from AK  
411 diagonal values and retrieved minus a priori VMR at 300 hPa ( $p = 0.13$  and  $0.07$  respectively). Sensitivity  
412 metrics are generally better correlated in the MT and UT than at LT levels.

413 This analysis clearly shows how L2 retrievals that are averaged together to create the L3O data over  
414 coastal grid boxes have differing degrees of sensitivity, especially in the LT. This is explicitly cautioned  
415 against in the MOPITT data user's guide (MOPITT Algorithm Development Team, 2018). The remainder of  
416 this paper focuses on the surface-level of the retrieved profile, since the LT is where discrepancies are  
417 greatest, and the cause of this sensitivity disparity is well established: differing thermal contrast conditions  
418 near to the surface over land and water; and a lack of NIR radiances being used in the retrieval over water.  
419 Furthermore, the surface-level is of most interest for identifying potential air quality impacts for humans (e.g.  
420 Buchholz et al., 2022).

421





**Figure 4.** Mean sensitivity metrics and VMRs (retrieved and a priori) from coastal L3 grid boxes. Values compared in the scatterplots are mean values from matched L3L and L3W retrievals within these grid boxes. “Matched” means that only days when both L3L and L3W are present, and the L3O surface index is mixed, are used to create the mean values analysed. Shown are AK diagonal values (left column), AK rowsums (second column), absolute VMR retrieved minus a priori values<sup>1</sup> (third column), retrieved (fourth column) and a priori (fifth column) VMRs, for the following levels of the retrieved profile: surface (top row), 900 hPa (second row), 800 hPa (third row), 600 hPa (fourth row), and 300 hPa (bottom row). Values in boxes in the top-left corner of each panel correspond to mean values across all L3L and L3W grid boxes. These means are significantly different using a 2-tailed t-test (unequal variance) with  $p < 0.005$  in all cases except `ak_diagonal` at 300 hPa where  $p = 0.13$ , `vmr_ret_minus_apr` at 300 hPa where  $p = 0.07$ , `vmr_ret` at 600hPa where  $p = 0.30$ , `vmr_ret` at 300hPa where  $p = 0.11$ . No `vmr_apr` mean differences are significant. Values in the bottom-right corner of each panel correspond to the Spearman’s rank correlation coefficient ( $p < 0.005$  in all cases).

<sup>1</sup> [Note that for ease of interpretation, the absolute retrieved minus a priori VMR values are plotted, i.e. ignoring whether the result is positive or negative. However, the results hold if using signed values, and a duplicate of Fig. 4 with signed retrieved minus a priori VMR values is included in the Supp. Mat. for reference \(SM3\).](#)

423

~~This analysis clearly shows how L2 retrievals that are averaged together to create the L3O data over coastal grid boxes on days when the surface index is mixed have differing degrees of sensitivity, especially in the LT. This is explicitly cautioned against in the MOPITT data user’s guide (MOPITT Algorithm Development Team, 2018). The remainder of this paper focuses on the surface level of the retrieved profile, since the LT is where discrepancies are greatest, and the cause of this sensitivity contrast is well established (as outlined in the introduction).~~

430

431

## 3.2. Differences in retrieved VMRs and temporal trends, and their relation to the land-water sensitivity contrast

434

### 3.2.1. L3L vs L3W

436

*Retrieved VMR comparison between L3L and L3W*

438

In addition to the clear land-water LT sensitivity contrast in coastal grid boxes, there are [clear](#) ~~(sometimes large)~~ differences in the retrieved VMRs (Fig. 4; Fig. 5a (black boxplots)). The retrievals performed over land yield surface-level VMRs that are over 10 ppbv greater than over water, on average. As with sensitivity, land-water differences in retrieved VMRs decrease higher up in the profile. ~~Although a decrease in VMRs from land to water might be expected in the LT, given that most CO sources are land-based, the land-water difference in LT retrieved VMRs is well over 10 times greater than in the a priori VMRs used for the retrievals (also shown in Fig. 4; mean difference = 10.29 vs 0.69 ppbv, respectively, for surface level). Furthermore, this assumption only seems reasonable where large CO sources are proximal to the coastline, as it is unrealistic to expect such large gradients in background CO (which coastal grid boxes far from large CO~~

447

sources are more likely to represent) across a relatively small distance—as is verified by the a priori VMR comparison which suggests the land-water difference in CO concentrations should be negligible for the majority of the grid boxes compared.

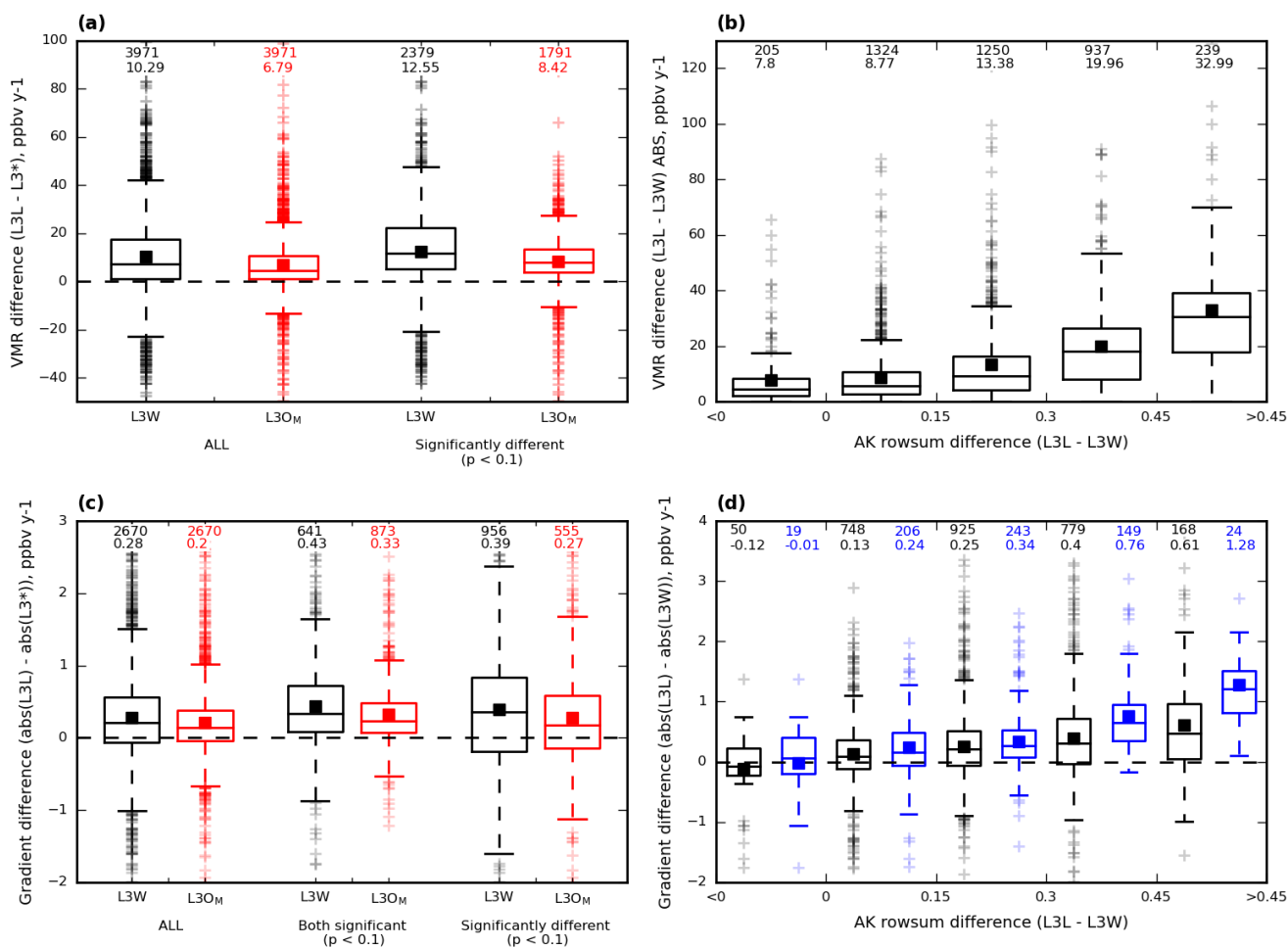
—Greater land-water sensitivity differences also tend to be associated with greater retrieved VMR differences. Figure 5b shows the distribution of retrieved surface level VMR differences (L3L – L3W) stratified by the corresponding surface level AK rowsum difference. Larger retrieved VMR differences are clearly associated with greater AK rowsum differences (some degree of spread in the results is expected, since the relationship also depends on the accuracy of the a priori, as outlined previously). ~~Absolute retrieved VMR difference values are shown in Fig. 5b for clarity, since L3L – L3W can be either positive or negative depending on whether a priori VMRs used in the retrieval are greater or less than the “true” VMR being retrieved, which complicates the analysis. The corresponding plot with raw values (i.e. not discarding the +/- sign) is included in the Supp. Mat. however, and the same conclusions can be drawn based on this figure (SM3).~~

Of the 3971 coastal grid boxes that are compared, 60 % (2379) show a significant difference ( $p < 0.1$ , determined using a 2-tailed student’s t-test) in mean VMRs in L3L and L3W (Fig. 5a). Compared to grid boxes where the mean VMR difference is not significant, there are several notable differences (detailed in Table 1). As expected from the previous analysis, the land-water sensitivity contrast is greater when mean VMRs are significantly different (“SIGDIFF”) than when not (“NOT\_SIGDIFF”). This is evident in AK rowsum and VMR retrieved minus a priori differences (the magnitude of difference between ~~significance~~ subsets is around 50 % and 100 %, respectively). Interestingly, the AK difference is due to sensitivity being lower over water in SIGDIFF than in NOT\_SIGDIFF ~~when VMRs are significantly different than when they are not~~; sensitivity over land is similar in both subsets. This may be explained as follows: when sensitivity over water is especially low, as is the case in ~~the significant difference subset~~ SIGDIFF, the retrieved VMR will be heavily weighted by the a priori and unable to match the variation present in the more sensitive retrieval over land. As sensitivity over water increases, this a priori weighting weakens and the retrieved VMR will more closely track the retrieval over land, resulting in a less significant difference. Also of note, a priori VMRs are much lower ~~when retrieved VMRs are significantly different than when they are not~~ in SIGDIFF than in NOT\_SIGDIFF, on average. Considered alongside the greater retrieved minus a priori differences, this suggests that the a priori VMR could be a less accurate estimate of the “true” VMR for the ~~significant difference~~ SIGDIFF subset, whereas it is closer to reality ~~when retrieved VMRs over land and water are not significantly different~~ for the NOT\_SIGDIFF subset. Intuitively, this makes sense: for a hypothetical situation where the a priori VMR is a perfect match for the “true” VMR, and both are uniform across a coastal L3 grid box, retrievals over the land and water portions of the grid box would be expected to



481 be identical irrespective of any differences in retrieval sensitivity over those surfaces. To summarise:  
482 assuming “true” VMRs are similar over land and water within coastal L3 grid boxes, differences in retrieved  
483 VMRs depend not only on the sensitivity of the retrieval, but also on the accuracy of a priori VMRs used in  
484 the retrievals.

485  
486



**Figure 5.** Boxplots showing how mean VMRs and trends from WLS analysis compare for coastal L3 grid boxes, calculated from matched retrievals within these grid boxes. “Matched” means that only days when both L3L and L3W are present and the L3O surface index are mixed are used to create the mean values analysed. Mean values are represented by filled squares, and values above the boxplots correspond to number of grid boxes with data for that boxplot, and the mean value, respectively. **(a)** Mean VMR differences for L3W (black) and L3O<sub>M</sub> (red) compared to L3L (L3L – L3\* in both cases). Shown are the differences for all coastal grid boxes, and only for those grid boxes where the difference is significant ( $p < 0.1$ ), determined using a 2-tailed t-test. **(b)** Absolute mean VMR differences<sup>1</sup> between L3L and L3W, stratified according to corresponding AK rowsum difference (L3L – L3W in both cases). **(c)** Absolute differences in gradients<sup>2</sup> detected using WLS regression analysis for L3W (black) and L3O<sub>M</sub> (red), compared to L3L (L3L – L3\* in both cases). Shown are differences for all coastal grid boxes where WLS analysis could be performed, for grid boxes where both trends compared are significantly different to zero ( $p < 0.1$ ), and for grid boxes where the trend difference is significant ( $p < 0.1$ ). **(d)** Absolute differences in gradients<sup>2</sup> detected using WLS regression analysis between L3L and L3W, stratified according to corresponding AK rowsum difference (L3L – L3W in both cases). Shown are the differences for all coastal grid boxes where WLS could be performed (black), and only for those grid boxes where the detected trend is significant ( $p < 0.1$ ) in both L3L and L3W (blue).

<sup>1</sup>Absolute retrieved VMR difference values are shown in Fig. 5b for clarity, since L3L – L3W can be either positive or negative depending on whether a priori VMRs used in the retrieval are greater or less than the “true” VMR being retrieved, which complicates the analysis. The corresponding plot with raw values (i.e. not discarding the +/- sign) is included in the Supp. Mat. however, and the same conclusions can be drawn based on this figure (SM4).

<sup>2</sup>For clarity, differences between the absolute trend values (i.e. ignoring the +/- sign of the trend) are presented, since this shows the degree of difference in the trend magnitude, irrespective of trend direction. A positive trend difference in this case signifies a stronger (faster) trend in L3L than L3\* (panel c) or L3W (panel d).

**Table 1.** Mean values for selected variables from L3L and L3W for coastal L3 grid boxes, matched retrievals only. “Matched” means that only days when both L3L and L3W are present and the L3O surface index are mixed are used to create the mean values analysed. Mean values are calculated and presented separately according to the results of a 2-tailed student’s t-test (unequal variance) performed on mean retrieved VMR values in L3L and L3W (n = 3971). [Mean L3L – L3W differences are also shown for each subset \(‘L-W’\)](#)

	P < 0.1 (n=2379, 60 %)			P > 0.1 (n=1592, 40 %)		
	land	water	d	land	water	d
Mean vmr_ret	129.97	117.41	12.55	133.52	126.60	6.90
<del>Mean vmr_apr</del>	<del>113.78</del>	<del>113.18</del>	<del>0.61</del>	<del>124.65</del>	<del>123.83</del>	<del>0.83</del>
Mean ret-apr	16.18	4.24	11.94	8.87	2.77	6.09
Mean ak rowsum	0.43	0.18	0.24	0.44	0.27	0.16

	P < 0.1 (“SIGDIFF”) (n=2379, 60 %)			P > 0.1 (“NOT_SIGDIFF”) (n=1592, 40 %)		
	L3L	L3W	L-W	L3L	L3W	L-W
Mean vmr_ret	129.97	117.41	12.55	133.52	126.60	6.90
Mean vmr_apr	113.78	113.18	0.61	124.65	123.83	0.83
Mean ret-apr	16.18	4.24	11.94	8.87	2.77	6.09
Mean ak rowsum	0.43	0.18	0.24	0.44	0.27	0.16

~~subsets would be expected to be identical irrespective of any differences in retrieval sensitivity over those surfaces. To summarise: assuming “true” VMRs are similar over land and water within coastal L3 grid boxes, differences in retrieved VMRs depend not only the sensitivity of the retrieval, but also on the accuracy of a priori VMRs used in the retrievals.~~ It should be noted that there are additional physical factors that could plausibly play a role in generating the L3L – L3W retrieved VMR difference that is observed, in addition to retrieval sensitivity. Given that most CO sources are land-based, a decrease in VMRs from land to water might be expected, especially in the LT. However, this assumption only seems reasonable where large CO sources are proximal to the coastline, as it is unrealistic to expect gradients as large as we observe in background CO (which coastal grid boxes far from large CO sources are more likely to represent) across the relatively small distance covered by a L3 grid box. Given the relatively long-lived, well-mixed nature of atmospheric CO, VMRs retrieved at a given location are a function of both local emissions and transport,

500 and the portion of coastal L3 grid boxes situated over water therefore do not represent pristine conditions in  
501 comparison to the adjacent land-based portion of the grid boxes. This is verified by comparing a priori VMRs  
502 (also shown in Figure 4), which suggest the land-water difference in CO concentrations should be negligible  
503 (mean L3L – L3W a priori VMR difference = 0.69 ppbv, compared to a mean retrieved VMR difference of  
504 10.29 ppbv). The above reasoning can also be applied to the question of whether wind direction is responsible  
505 for creating the observed L3L – L3W difference in retrieved VMRs: It could be hypothesised that a prevailing  
506 onshore wind may lead to CO concentrations being higher over land than water, yet the negligible L3L –  
507 L3W a priori VMR difference, the fact that atmospheric CO is well-mixed, and the clear land-water  
508 sensitivity gradient that has been demonstrated suggest that wind direction does not play a big role in creating  
509 the land-water difference observed in retrieved VMRs. To further rule out the role of wind direction, the L3L  
510 – L3W retrieved VMR comparison has been analysed alongside wind direction for several case study grid  
511 boxes, and there appears to be no notable shift in wind direction whether L3L or L3W is greater for a given  
512 grid box. Results for this analysis are given in the Supp. Mat. (SM5). The weight of evidence therefore points  
513 towards L3L – L3W retrieved VMR differences being a function of reduced retrieval sensitivity over water  
514 compared to land.

### 518 *Trend comparison between L3L and L3W*

519  
520 ~~Temporal trends detected in L3L and L3W are now compared. The aim here is to demonstrate that there are~~  
521 ~~trend differences between L3L and L3W datasets for coastal gridboxes that can be related to AK differences.~~  
522 ~~An underlying assumption is that the temporal trend in “true” VMRs should not vary much across a 1° x 1°~~  
523 ~~L3 grid box. Hedelius et al. (2021) lends credence to this assumption with the finding that CO trends are~~  
524 ~~similar within regions spanning a few thousand kilometres (L3 grid boxes are ~100 km<sup>2</sup>), and that trends~~  
525 ~~within urban areas are generally indistinguishable from the trend of the broader region encompassing the~~  
526 ~~urban area, despite an assumption that urban trends should exceed the regional background due to a~~  
527 ~~concentration of CO emissions here. Gradients obtained from WLS regression on each dataset are compared,~~  
528 ~~subtracting the trend in L3W from the trend in L3L. For clarity, differences between the absolute trend values~~  
529 ~~(i.e. ignoring the +/- sign of the trend) are presented, since this shows the degree of difference in the trend~~  
530 ~~magnitude, irrespective of trend direction. A positive trend difference in this case signifies a stronger (faster)~~  
531 ~~trend in L3L than L3W.~~

**Table 2.** Descriptive stats corresponding to the WLS trends detected in L3L, L3W, and L3O<sub>M</sub> that are compared in the boxplots of Fig. 5c.

			Mean	Std	Median	IQR
All	L3L – L3W (n = 2670)	L3L	-0.55	1.27	-0.47	1.00
		L3W	-0.49	1.08	-0.34	0.65
	L3L – L3O <sub>M</sub> (n = 2670)	L3L	-0.55	1.27	-0.47	1.00
		L3O <sub>M</sub>	-0.51	1.03	-0.38	0.73
Both significant (p < 0.1)	L3L – L3W (n = 641)	L3L	-1.39	1.66	-1.15	1.08
		L3W	-1.06	1.56	-0.78	0.92
	L3L – L3O <sub>M</sub> (n = 873)	L3L	-1.24	1.64	-1.06	1.07
		L3O <sub>M</sub>	-1.02	1.38	-0.83	0.88
Significantly different (p < 0.1)	L3L – L3W (n = 956)	L3L	-0.64	1.39	-0.65	0.92
		L3W	-0.52	1.06	-0.43	0.67
	L3L – L3O <sub>M</sub> (n = 555)	L3L	-0.69	1.36	-0.67	0.85
		L3O <sub>M</sub>	-0.60	1.00	-0.51	0.68

533

### 534 [Trend comparison between L3L and L3W](#)

535

536 [We now compare temporal trends detected in L3L and L3W for coastal gridboxes, and relate differences to](#)  
 537 [the land-water sensitivity contrast outlined previously.](#)

538 [\\_\\_\\_\\_\\_](#)—On average, across all grid boxes where WLS can be performed in both datasets following the  
 539 criteria outlined in Sect. 2.5 (n = 2670), trends are stronger in L3L than L3W (Fig. 5c (black boxplots)), with  
 540 the range of differences around 2.5 ppbv y<sup>-1</sup> (~-1 ppbv y<sup>-1</sup> to 1.5 ppbv y<sup>-1</sup>). When the comparison is restricted  
 541 to grid boxes where both trends are significantly different to zero (p < 0.1; 641 of the 2670 grid boxes, 24  
 542 %), a greater proportion of those grid boxes have a stronger trend in L3L than L3W (> 75%), but the overall  
 543 range of differences doesn't shift by much. The L3L – L3W trend difference is significant in 956 of the 2670  
 544 coastal grid boxes for which the analysis can be performed (36 %), with the range in differences spanning  
 545 around 4 ppbv y<sup>-1</sup>. ~~Analysis of the distribution of differences between the raw trend values (i.e. including the~~  
 546 ~~+/– sign) is complicated because negative differences can have multiple meanings. However, The t~~trends are  
 547 negative at 75 % of coastal grid boxes in both datasets, [\(this value increases to 95% when the trend in](#)  
 548 [both L3L and L3W is significant.\)](#), ~~with retrieved surface CO concentrations over land decreasing at a faster~~

rate than over water, on average (Descriptive stats corresponding to the trends values compared associated with raw trend values are detailed in Table 2).

To determine whether differences in trend can be linked to differences in retrieval sensitivity, L3L – L3W trend differences (again, based on absolute WLS trend values) are stratified by L3L – L3W surface level AK rowsum differences (Fig. 5d). As with mean VMR differences, the size of the trend difference tends to increase as the difference in AK rowsums increases. In addition, as the magnitude of AK rowsum difference increases in the positive direction (i.e. increasingly greater sensitivity over land), a greater proportion of trend differences are positive (i.e. a stronger trend over land). This pattern is even more pronounced when restricted to grid boxes where both trends are significant (also shown in Fig. 5d).

All together In summary, these results show a general tendency for trend underestimation in surface level retrievals over water compared to retrievals over land in the same coastal grid boxes obtained at the same times, which appears to be linked to differences in retrieval sensitivity. show that within coastal L3 grid boxes, differences in retrieval sensitivity over land and water are related to differences in temporal trends identifiable in corresponding surface level retrievals. The relationships found in these analyses are not perfect because trend differences are sensitive to several other factors, in addition to differences in retrieval sensitivity. For example, a greater trend difference would be evident if the rate of change in “true” CO concentrations is faster than if it is slow/negligible, for a given sensitivity difference. Similarly, there should be zero trend difference if “true” CO concentration levels are stable over time, irrespective of the magnitude of difference in retrieval sensitivity. The accuracy of the a priori is a further complicating factor. However, the results presented do imply a general tendency for trend underestimation in retrievals over water within coastal grid boxes compared to retrievals over land in the same grid boxes obtained at the same times, which appears to be linked to differences in retrieval sensitivity. An underlying assumption is also that the temporal trend in “true” VMRs should not vary much across a 1° x 1° L3 grid box. Hedelius et al. (2021) lends credence to this assumption with the finding that CO trends are similar within regions spanning a few thousand kilometres (L3 grid boxes are ~ 100 km<sup>2</sup>), and that trends within urban areas are generally indistinguishable from the trend of the broader region encompassing the urban area, despite an expectation that urban trends should exceed the regional background due to a concentration of CO emission sources here.

### 3.2.2. Consequences for L3O data with a surface index of mixed (“L3O<sub>M</sub>”)

To recap, L3O data are given the surface index “mixed” when neither land nor water is the dominant surface type of the bounded L2 retrievals, for a given retrieval time. When this is the case, the retrievals over land

582 and water are averaged together. Users of L3O data do not have the option of choosing to only analyse the  
583 subset of retrievals made over land (L3L) or water (L3W), as was done in the preceding analysis. To do so  
584 requires the original L2 retrievals. In this section, the L3O<sub>M</sub> retrievals are compared to the L3L retrievals that  
585 were analysed in the previous section. The aim here is to demonstrate how, for some L3 grid boxes,  
586 information on “true” VMRs and temporal trends that is available in the L2 retrievals over land (L3L) is  
587 effectively lost to users of L3O data by their averaging together with the less sensitive L2 retrievals over  
588 water (L3W).

### 589 590 *Retrieved VMRs in L3O<sub>M</sub>*

591  
592 For long-term mean VMRs, L3O<sub>M</sub> unsurprisingly represents a mid-point between L3L and L3W, with lower  
593 VMRs ~~and weaker trends~~ than L3L, but a smaller difference range overall than L3W (Fig. 5a, [red boxplots](#)).  
594 The L3L – L3O<sub>M</sub> differences in long-term mean VMR are significant at 45 % (1791) of coastal grid boxes.  
595 All but 3 of these [grid boxes](#) also see a significant difference between long-term mean VMRs in L3L and  
596 L3W. This makes sense: retrievals in L3L would not be expected to differ significantly from those in L3O<sub>M</sub>  
597 if they do not also differ significantly from L3W. In total, 75 % of grid boxes that feature a significant  
598 difference between L3L and L3W also see a corresponding significant difference between L3L and L3O<sub>M</sub>.  
599 There are several notable differences between this subset of coastal grid boxes (“BOTH”), compared to those  
600 that see a significant difference between L3L – L3W but not between L3L and L3O<sub>M</sub> (“L3L\_L3W\_ONLY”) [L3L\\_L3W\\_ONLY](#)  
601 detailed in Table 3a: [L3L\\_L3W\\_ONLY](#).

- 602  
603 • The grid boxes of BOTH see greater retrieved VMR differences between L3L and L3W than the grid  
604 box subset of L3L\_L3W\_ONLY (mean L3L – L3W difference of 13.84 vs 8.67 ppbv). This is logical:  
605 L3O<sub>M</sub> only differs significantly from L3L if the underlying L3L – L3W difference is sufficiently large  
606 to persist through ~~the~~ averaging.
- 607 • The grid boxes of BOTH also feature a greater land-water sensitivity contrast than those of  
608 L3L\_L3W\_ONLY. This is indicated both by L3L – L3W AK rowsum differences, driven  
609 predominantly by decreased sensitivity over water in BOTH; and by L3L – L3W retrieved minus a  
610 priori VMR differences.
- 611 • The grid boxes of BOTH tend to have a greater proportion of their surface covered by water than land  
612 [when compared to L3L\\_L3W\\_ONLY](#). This is quantified by comparing the mean number of L2  
613 retrievals over land and water that are averaged together to make L3L and L3W each day  
614 (“n\_ret(L3L)” and “n\_ret(L3W)”), for each coastal grid box compared. A mean n\_ret(L3L/L3W) ratio



615 of 0.87 for BOTH indicates a greater water influence on L3O<sub>M</sub> than for the grid boxes of  
616 L3L\_L3W\_ONLY, for which a mean  $n_{ret}(L3L/L3W)$  ratio of 1.00 indicates a more even land/water  
617 split. Thus, L3O<sub>M</sub> more closely resembles L3W – which is significantly different to L3L – in BOTH  
618 than in L3L\_L3W\_ONLY.

619  
620 It is easy to understand how each of these can lead to a L3O<sub>M</sub> retrieval that differs significantly from  
621 the corresponding L3L retrieval. ~~Interestingly, In addition to the differences between the grid boxes of BOTH~~  
622 ~~and L3L\_L3W\_ONLY outlined above,~~ it is also notable that retrieved and a priori VMRs are lower in BOTH  
623 than in L3L\_L3W\_ONLY, and that retrieved minus a priori VMR values are greater in BOTH than in  
624 L3L\_L3W\_ONLY. This could imply that the a priori VMRs are closer to reality for the grid boxes of  
625 L3L\_L3W\_ONLY than those of BOTH, however further information on “true” VMRs is required to properly  
626 assess this.

### 627 628 629 *Trends in L3O<sub>M</sub>*

630  
631 Temporal trends detected in L3O<sub>M</sub> are now compared to those in L3L (Fig. 5c, red boxplots). Overall, a  
632 greater number of grid boxes feature a significant trend in both L3L and L3O<sub>M</sub> than in L3L and L3W (873  
633 vs 641; 33 % vs 24 %), and fewer see a significant difference between trends (555 vs 956; 21 % vs 36 %).  
634 This is to be expected, given that the L2 retrievals contributing to L3L also contribute to L3O<sub>M</sub>. The trends  
635 in L3L and L3O<sub>M</sub> are significantly different in just under half (47 %) of the grid boxes where the trend is also  
636 significantly different between L3L and L3W (“BOTH”; Table 3b). These grid boxes are clearly more water-  
637 dominated than the remaining 53 % of grid boxes where the trend difference between L3L and L3W is  
638 significant (“L3L\_L3W\_ONLY”) but the L3L – L3O<sub>M</sub> difference is not. This is indicated by a mean  
639  $n_{ret}(L3L/L3W)$  ratio of 0.77 for BOTH vs 0.99 for L3L\_L3W\_ONLY. ~~The ratio  $n_{ret}(L3L/L3W)$  clearly~~  
640 ~~shows that these grid boxes are more water dominated (mean ratio = 0.77) than the remaining 53 % grid~~  
641 ~~boxes that feature a significant difference between trends in L3L and L3W but not L3O<sub>M</sub>~~  
642 ~~(“L3L\_L3W\_ONLY”; mean ratio = 0.99).~~ Additionally, detected trends in the grid boxes of BOTH are  
643 slightly stronger, with a greater difference between L3L and L3W, than for the L3L\_L3W\_ONLY subset.  
644 Those L3 grid boxes featuring the strongest land-water trend difference are therefore most likely to also see  
645 a significant trend difference between L3L and L3O<sub>M</sub>. Again, this is logical. Unlike with the retrieved VMR  
646 comparison above, however, there are no clear differences in mean retrieved or a priori VMRs, nor sensitivity  
647 metrics, between these two grid box subsets (also detailed in Table 3b). However, it is not necessarily

648 expected that there would be clear differences in these parameters for this analysis, since trend magnitudes  
649 themselves are also a variable (i.e. the trend in “true” CO varies across space, independent of retrieval  
650 sensitivity or CO concentration, complicating the relationships outlined above).

651 Most of the grid boxes where the L3L and L3O<sub>M</sub> trends are significantly different also feature a  
652 significant difference between L3L and L3W (453 of 555; 82 %). There are no clear differences between  
653 these and the remaining 18 % of grid boxes that, counter-intuitively, feature a significant difference between  
654 trends in L3L and L3O<sub>M</sub> but not between trends in L3L and L3W. However, small discrepancies are to be  
655 expected for results based on statistical thresholds, especially where the variables being compared are subject  
656 to multiple different factors (e.g. land-water surface cover ratio in L3O<sub>M</sub>; land-water sensitivity contrast;  
657 retrieved VMR differences; differences in the “true” CO concentration being retrieved and its change over  
658 time).

659

660

**Table 3a.** Descriptive stats corresponding to matched retrievals over land and water (L3L and L3W) where the long-term mean retrieved surface level VMR in L3L and L3W is significantly different ( $p < 0.1$ ,  $n = 2379$ ). Grid boxes are divided into two subsets depending on whether long-term mean VMRs in L3L and L3O<sub>M</sub> are significantly different ( $p < 0.1$ ; “BOTH”) or not ( $p > 0.1$ ; “L3L\_L3W\_ONLY”).  $n\_ret(L3L)$  ( $n\_ret(L3W)$ ) = the number of L2 retrievals over land (water) used to make a retrieval in L3O<sub>M</sub>. A ratio  $n\_ret(L3L/L3W)$  value  $> 1$  ( $< 1$ ) implies that more of the L3 grid box surface is covered by land (water).

	<b>BOTH</b> (n = 1788, 75 %)			<b>L3L_L3W_ONLY</b> (n = 591, 25 %)		
<b>Mean n_ret(L3L/L3W)</b>	0.87			1.00		
	<b>Land</b>	<b>Water</b>	<b>L-W</b>	<b>Land</b>	<b>Water</b>	<b>L-W</b>
<b>Mean vmr_ret</b>	127.21	113.37	13.84	138.30	129.64	8.67
<b>Mean vmr_apr</b>	109.11	108.62	0.49	127.94	126.96	0.98
<b>Mean ret-apr</b>	18.11	4.75	13.36	10.36	2.68	7.68
<b>Mean AK rowsum</b>	0.42	0.16	0.26	0.46	0.26	0.20

**Table 3b.** Descriptive stats corresponding to matched retrievals over land and water (L3L and L3W) where the temporal trend detected using WLS regression analysis on yearly-mean retrieved surface level VMR in L3L and L3W is significantly different ( $p < 0.1$ ,  $n = 956$ ). Grid boxes are divided into two subsets depending on whether the trend in L3L is significantly different to the corresponding trend detected in L3O<sub>M</sub> ( $p < 0.1$ ; “BOTH”) or not ( $p > 0.1$ ; “L3L\_L3W\_ONLY”).  $n\_ret(L3L)$  ( $n\_ret(L3W)$ ) = the number of L2 retrievals over land (water) used to make a retrieval in L3O<sub>M</sub>. A ratio  $n\_ret(L3L/L3W)$  value  $> 1$  ( $< 1$ ) implies that more of the L3 grid box surface is covered by land (water).

	<b>BOTH</b> (n = 447, 47 %)			<b>L3L_L3W_ONLY</b> (n = 509, 53 %)		
<b>Mean n_ret(L3L/L3W)</b>	0.77			0.99		
	<b>Land</b>	<b>Water</b>	<b>L-W</b>	<b>Land</b>	<b>Water</b>	<b>L-W</b>
<b>Mean WLS trend</b>	-0.72	-0.58	-0.14	-0.58	-0.47	-0.11
<b>Mean ABS WLS trend</b>	1.18	0.76	0.42	1.04	0.68	0.35
<b>Mean trend standard error</b>	0.55	0.39	0.16	0.58	0.36	0.22
<b>Mean vmr_ret</b>	128.25	121.36	6.90	129.22	120.20	9.02
<b>Mean vmr_apr</b>	117.21	117.13	0.08	116.01	115.73	0.29
<b>Mean ret-apr</b>	11.05	4.22	6.82	13.21	4.47	8.74
<b>Mean AK rowsum</b>	0.46	0.22	0.25	0.44	0.20	0.24

### 3.3. Implications for users of L3O data

So far, this paper has shown a clear difference in retrieval sensitivitys over land and water for coastal grid boxes, demonstrated how long-term VMR statistics and temporal trends calculated results—using these retrievals (L3L and L3W) differ, and outlined consequences (~~in terms of long-term VMR statistics and temporal trends~~) of averaging these retrievals together to create L3O<sub>M</sub>. The full timeseries of available data in L3O is now compared with L3L and L3W, without the constraint that a retrieval needs to be present in both L3L and L3W for it to be included in the analysis. This replicates what a user of the L3O data would do, i.e., work with all available data.

Users of MOPITT data are advised to restrict their analysis to retrievals performed over land. This poses a quandary for users of L3O: what to do about days with a surface index of mixed? Therefore, the implications of choosing to include or discard these days are also considered. In the subsequent sections, the following subsets of the full L3O timeseries for each coastal gridbox are analysed: the full L3O timeseries with no filtering by surface index (“L3O<sub>NF</sub>”); only days with a surface index of land (“L3O<sub>L</sub>”); and days where the surface index is land or mixed (“L3O<sub>LM</sub>” – i.e., only days with a L3O surface index of water are discarded).

#### 3.3.1. Loss of available data

The guideline to only analyse retrievals performed over land results in a huge loss of data for coastal grid boxes when using the L3O dataset. We quantify this by comparing the total number of days with data for analysis at each coastal grid box in L3O<sub>L</sub> (“n\_days(L3O<sub>L</sub>)” and L3O<sub>NF</sub> (“n\_days(L3O<sub>NF</sub>)”; Fig. 6a). Strikingly, 35 % of coastal grid boxes (total coastal grid boxes = 4299) have zero days in L3O<sub>L</sub>, and 67 % have a surface classification of land less than 5 % of the time in L3O (yielding a n\_days(L3O<sub>L</sub>/L3O<sub>NF</sub>) ratio of 0.05 or less in Fig. 6a). This section analyses how L3L and the L3O subsets compare in terms of the overall number of days with retrievals available for analysis at coastal grid boxes (total coastal grid boxes = 4299). To begin with, these are compared to the total number of days available for analysis in L3O<sub>NF</sub> (“n\_days(L3O<sub>NF</sub>)”; Fig. 6a). Most strikingly, 67 % of coastal grid boxes see an L3O surface classification of land less than 5% of the time (yielding a n\_days(L3O<sub>L</sub>/L3O<sub>NF</sub>) ratio of 0.05 or less). Just less than half of these (35 % of the 4299 total coastal grid boxes) have zero days classified as land in the L3O dataset (note that as a result of how coastal grid boxes are classified (outlined in Sect. 2.3), all n\_days(L3O<sub>L</sub>/L3O<sub>NF</sub>) ratios are below 0.5 (i.e. at best, L3O has a surface classification of land on 50% of days)). The guideline to only

696 analyse retrievals performed over land thus results in a huge loss of data for coastal grid boxes when using  
697 the L3O dataset. Importantly, retrievals over land are made on a large proportion of these filtered days; but  
698 they are either discarded ~~altogether, or~~ altogether or averaged together with retrievals made over water to  
699 create L3O<sub>M</sub>. This point is demonstrated by ~~comparison~~ comparison to the total number of days with data for  
700 analysis at coastal grid boxes in L3L (“n\_days(L3L)” ~~to the n\_days(L3L/L3O<sub>NF</sub>) ratio~~). In contrast to a mean  
701 (median) n\_days(L3O<sub>L</sub>/L3O<sub>NF</sub>) ratio of 0.08 (0.01), a mean (median) n\_days(L3L/L3O<sub>NF</sub>) ratio of 0.44 (0.40)  
702 demonstrates the stark loss of available data. ~~This, something which~~ is further highlighted by the fact that  
703 well over half (56%) of coastal grid boxes have at least 25 times more days with retrievals made over land  
704 than are available for analysis in the L3O dataset if filtering guidelines are followed ~~see a n\_days(L3L/L3O<sub>L</sub>)~~  
705 ~~ratio > 25 (as shown by the ratio n\_days(L3L/L3O<sub>L</sub>) in Fig. 6b (green line)), indicating that these L3 grid~~  
706 ~~boxes have at least 25 times more days with retrievals made over land than are available for analysis in the~~  
707 ~~L3O dataset, if filtering guidelines are followed.~~

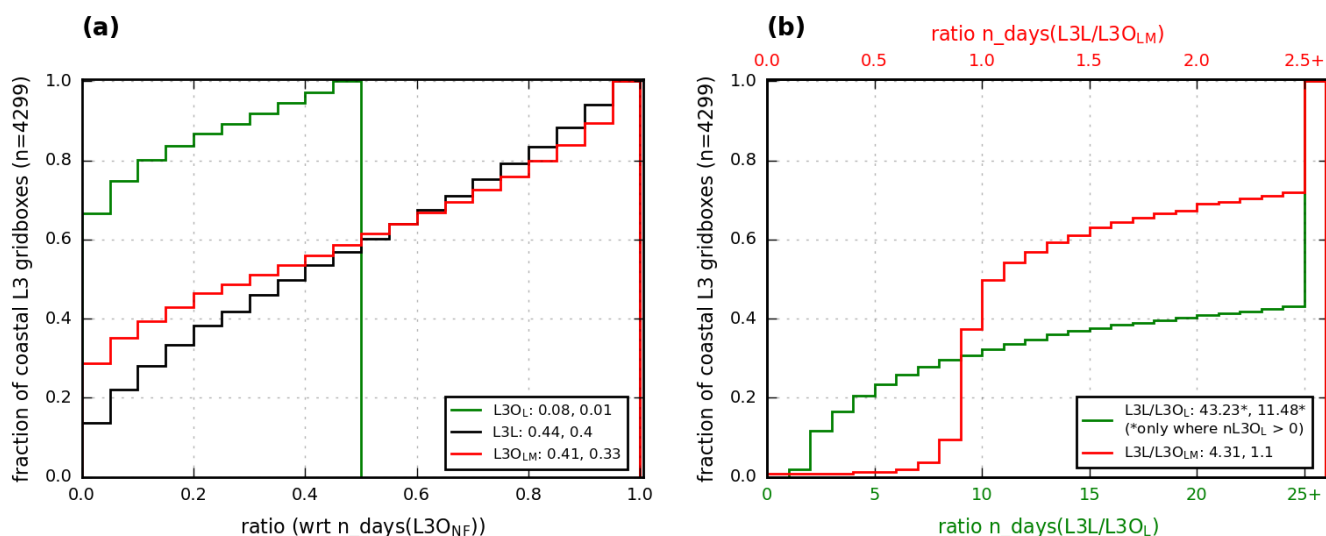
708 The situation can be improved for L3O users by keeping days when the L3O surface index is classified  
709 as mixed, in addition to land (“L3O<sub>LM</sub>”). Even in this best-case scenario however, L3O<sub>LM</sub> sees less days with  
710 data than L3L for over 60% of coastal grid boxes (ratio n\_days(L3L/L3O<sub>LM</sub>) in the ratio n\_days(L3L/L3O<sub>LM</sub>)  
711 is > 1 for 61% of coastal grid boxes; Fig. 6b (red line)). Moreover, the large proportion of these L3O<sub>LM</sub> days  
712 where have the surface index of is mixed and therefore suffer from the averaging together of retrievals over  
713 land with retrievals over water which, as has been shown, can significantly impact the results of analyses  
714 using these data. This point is returned to in following sections.

715 Intuitively, it is to be expected that the ratio n\_days(L3L/L3O<sub>LM</sub>) should *never* be < 1. L2 retrievals  
716 over land obviously contribute to days when L3O is classified as land, and should, by definition, also  
717 contribute to days when L3O is classified as mixed. In these cases, L3L will therefore also be present.  
718 However, there are two instances where L2 retrievals over land in fact do not contribute to a L3O retrieval  
719 classified as mixed. Firstly, L2 retrievals themselves also have a classification of mixed, when the L2 retrieval  
720 does not predominantly overlie water or land. L3O can thus have a surface classification of mixed when  
721 created from bounded L2 retrievals that are either only retrieved over a mixed surface, or a combination of  
722 mixed and water: in both cases, there are no L2 retrievals over land, and therefore no L3L. Secondly, analyses  
723 performed for this paper identified numerous instances where L3O is classified as mixed, but the only  
724 contributing L2 retrievals are retrievals over water. In these instances, L3O would therefore seem to be  
725 misclassified. On days when this is the case, there will be no corresponding L3L retrieval. This is documented  
726 further in the Supp. Mat. (SM64). Attempting to quantify the extent of this misclassification influence is  
727 beyond the scope of this paper. In the vast majority of cases where a given gridbox has a n\_days(L3L/L3O<sub>LM</sub>)  
728 ratio < 1, the difference is negligible (i.e. 75 % of these grid boxes have a ratio between 0.9 and 1).

729 Irrespective, in terms of the number of days with retrievals available for analysis, L3L is an improvement  
 730 over L3O<sub>LM</sub> for more grid boxes than it is not.

731

732



**Figure 6.** Cumulative frequency histograms comparing the number of days with data for different L3O subsets and L3L at coastal L3 grid boxes. A ratio < 1 (> 1) indicates the plotted dataset has less (more) days with data than the comparison dataset that is, indicated on the x-axis. **(a)** L3O<sub>L</sub> (Green), L3L (black), and L3O<sub>LM</sub> (red) are compared to the “as-downloaded” L3O dataset, without any filtering by surface index (“L3O<sub>NF</sub>”). Values in legend correspond to mean and median ratio for indicated dataset, respectively. Note, as a result of how coastal grid boxes are classified (outlined in Sect. 2.3), all n\_days(L3O<sub>L</sub>/L3O<sub>NF</sub>) ratios are below 0.5 (i.e. at best, L3O has a surface classification of land on 50 % of days) **(b)** L3L is compared with L3O<sub>L</sub> (green line, bottom x-axis) and L3O<sub>LM</sub> (red line, top x-axis). Values in legend correspond to mean and median ratios, respectively.

### 733 3.3.2. Scientific implications

734

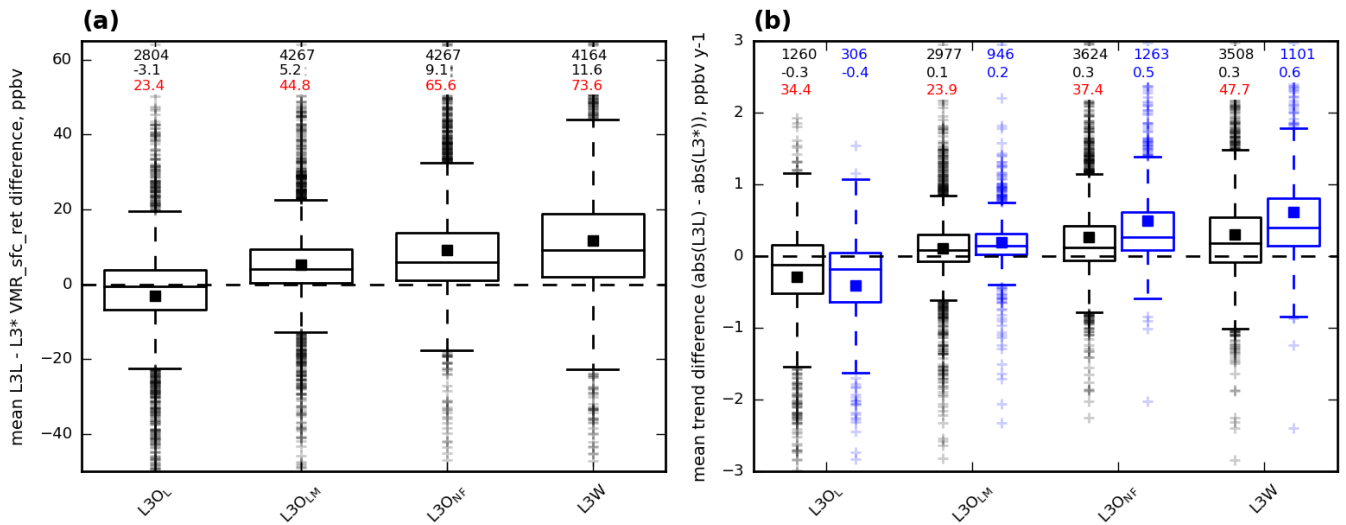
735 Here, long-term mean (ltm) retrieved VMR values from the different L3O subsets are compared to L3L for  
 736 all coastal grid boxes. As expected from the analyses in Sect. 3.2, all L3O subsets that have some influence  
 737 from L2 retrievals over water have a ltm retrieved VMR that is below that in L3L, on average (Fig. 7a); note  
 738 that the n value is different for each boxplot because not all L3 subsets are present at every coastal grid box,  
 739 as discussed in Sect. 3.3.1). Unsurprisingly, the closest match to L3L is L3O<sub>L</sub> (mean difference -3.1 ppbv),  
 740 with the mean difference increasing for each L3O subset as the influence of retrievals over water increases  
 741 (e.g. L3O<sub>LM</sub> differs less on average from L3L (mean difference = 5.2 ppbv) than L3O<sub>NF</sub> (mean difference =  
 742 9.1 ppbv), which additionally features days when L3O is solely created from L2 retrievals performed over  
 743 water).

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Note that ltm retrieved VMRs in L3O<sub>L</sub> and L3L are not a perfect match because L3O<sub>L</sub> is only a subset of L3L for each grid box considered in the analysis: L3L may be present on a day when L3O<sub>L</sub> is not owing to the way that the L3O data are created (i.e., classified based on the ratio of L2 retrievals over land and water, with retrievals over land potentially being discarded if these are not the majority). Apart from L3O<sub>L</sub>, less than 25 % of the coastal grid boxes have a retrieved ltm VMR that is greater in an L3O subset than in L3L. The range of ltm differences for each of these L3O subset comparisons to L3L exceeds 35 ppbv (excluding outliers), with over 25 % of coastal grid boxes compared having ltm differences exceeding 9 ppbv (as indicated by boxplot upper quartile values).

The percentage of coastal grid boxes that feature a significant difference between ltm retrieved VMRs in L3L and each L3O subset (indicated in red above each boxplot) is high: strikingly, it is found that, for the two subsets that L3O users could realistically choose to analyse if following data filtering guidelines ([L3O<sub>L</sub>](#) or [L3O<sub>LM</sub>](#)), almost a quarter (L3O<sub>L</sub>) or almost half (L3O<sub>LM</sub>) of coastal grid boxes see a significant difference to L3L.

The results of WLS regression analysis on yearly mean values from each dataset are now compared. As expected from the earlier analysis, trends are strongest, on average, in L3L and L3O<sub>L</sub> – this is especially so when the comparison is restricted only to trends that are significantly different from zero ( $p < 0.1$ ) (Table 4). These datasets also have the largest measures of spread, indicating their tendency to yield stronger trends than the other L3O subsets (and L3W), and these measures lessen for each L3O subset as the influence of retrievals over water increases (~~e.g. standard deviations and inter-quartile ranges are smaller for L3O<sub>WF</sub> and L3W than L3O<sub>L</sub>~~). Concomitant with trends decreasing in strength as the influence of retrievals over water increases in each L3O subset, overall retrieval sensitivity also decreases, as indicated by the mean averaging kernel metrics shown in Table 4. Comparing the magnitude of trends at each coastal grid box, [significant](#) trends are stronger in L3L for at least 75% of grid boxes for all comparison datasets apart from L3O<sub>L</sub> (Fig. 7b). L3O<sub>L</sub> sees stronger trends than L3L on average, but the comparison of these two datasets needs to be interpreted with caution due to L3O<sub>L</sub> being a subset of L3L that features far fewer days with data, as discussed previously. Like with ltm retrieved VMRs discussed above, the percentage of coastal grid boxes that feature a significant difference between trends detected in L3L and each L3O subset is high, with over a third (almost a quarter) of the trends in L3O<sub>L</sub> (L3O<sub>LM</sub>) being significantly different to L3L.



**Figure 7.** Boxplots showing how mean VMRs and trends compare from selected L3O subsets and L3W to L3L. Values compared are calculated from all available data across the study period. Mean values are represented by filled squares, and values above the boxplots correspond to number of grid boxes with data for that boxplot, the mean value, and the percentage of grid boxes represented in that boxplot that feature a significant difference with L3L (shown in red), respectively. The comparison is calculated as  $L3L - L3^*$  in both cases; therefore a point above (below) the black  $y=0$  line indicates that the value being compared is greater (lower) in L3L. **(a)** Mean VMR differences between L3L and the indicated L3O subset or L3W. [Note that the n value is different for each boxplot because not all L3 subsets are present at every coastal grid box, as shown in Sect. 3.3.1.](#) **(b)** Differences in gradients (absolute values) detected using WLS regression analysis between L3L and the indicated L3O subset or L3W. Shown are the differences for all coastal grid boxes where WLS could be performed for both datasets compared (black), and only for the sample of those grid boxes where the detected trend is significant ( $p < 0.1$ ) in both (blue).

**Table 4.** Descriptive stats corresponding to the WLS trends detected in L3L, L3W, and selected L3O subsets. Also shown are mean averaging kernel rowsums and diagonal values corresponding to the retrievals from which trends are calculated.

		L3L	L3O <sub>L</sub>	L3O <sub>LM</sub>	L3O <sub>NF</sub>	L3W
Calculated from all gridboxes where WLS could be performed	Number of grid boxes	3624	1260	2999	4288	4169
	Mean (std) trend	-0.59 (1.22)	-0.52 (1.38)	-0.50 (0.95)	-0.54 (0.67)	-0.54 (0.66)
	Median (IQR) trend	-0.45 (0.89)	-0.46 (1.08)	-0.37 (0.67)	-0.42 (0.53)	-0.40 (0.54)
	Mean AK rowsum	0.45	0.45	0.33	0.28	0.22
	Mean AK diagonal value	0.10	0.10	0.08	0.07	0.06
Calculated only from gridboxes where WLS trend is significant ( $p < 0.1$ )	Number of grid boxes	1447	453	1265	2588	2499
	Mean (std) trend	-1.23 (1.55)	-1.17 (1.90)	-0.95 (1.18)	-0.79 (0.73)	-0.78 (0.72)
	Median (IQR) trend	-0.98 (0.94)	-1.09 (1.28)	-0.74 (0.75)	-0.62 (0.56)	-0.62 (0.57)
	Mean AK rowsum	0.51	0.48	0.39	0.33	0.29
	Mean AK diagonal value	0.11	0.10	0.08	0.07	0.06



### 3.4. Illustrative examples comparing L3O and L3L: analysis of the most populous coastal cities

~~Ltm VMRs and temporal trends from L3O<sub>L</sub> and L3O<sub>LM</sub> (the L3O subsets that data users would realistically choose to analyse) are compared to those from L3L for the 33 coastal grid boxes that contain cities classified amongst the 100 most populous in the world (derivation outlined in Sect. 2.5).~~

In this section, we analyse time series from the 33 L3 coastal grid boxes that contain cities classified amongst the 100 most populous in the world (derivation outlined in Sect. 2.5) to illustrate the potential consequences of working with the L3O dataset, compared to L3L. We focus our comparison on L3O<sub>L</sub> and L3O<sub>LM</sub>, as these are the L3O subsets that data users would realistically choose to analyse if following the data filtering guidelines. For clarity, we hereon refer to these grid boxes by the name of the city that they contain.

#### 3.4.1. Number of days with data

The number of days with data in L3L, L3O<sub>L</sub>, L3O<sub>LM</sub>, and L3W (included for comparison purposes) for each of the 33 L3 coastal grid boxes analysed is displayed on the right-hand y-axis of Fig. 8. The loss of data in L3O if filtering out retrievals over water is clear: 6 of the cities cannot be studied at all using L3O<sub>L</sub>, as there are zero days in that L3O subset. There are retrievals for all 6 in the L3O<sub>LM</sub> subset, but in every case there are more days with data in L3L. Of the remaining 27 cities with data in L3O<sub>L</sub>, only a single city (Osaka) has more 50 % of the L3L observation days. The mean  $n\_days(L3O_L/L3L)$  ratio for these 27 cities is 0.19 (this raises slightly to 0.23 if an additional 5 cities with only a few days (< 5) of data coverage are excluded).

L3O<sub>LM</sub> compares more favourably to L3L in terms of number of days with data, due to the inclusion of days when the L3O surface index is “mixed”, with a mean  $n\_days(L3O_{LM}/L3L)$  ratio of 0.85.  $n\_days(L3O_{LM}) > n\_days(L3L)$  for 11 of the 33 cities, although the ratio is less than 1.05 for all of these except San Francisco and Istanbul (ratio = 1.14 and 1.35, respectively). L3O<sub>M</sub> is the dominant component of L3O<sub>LM</sub> in all cases here, being the classification on 84 % of days, on average, across all 33 cities (max = 100 %, min = 45 %).

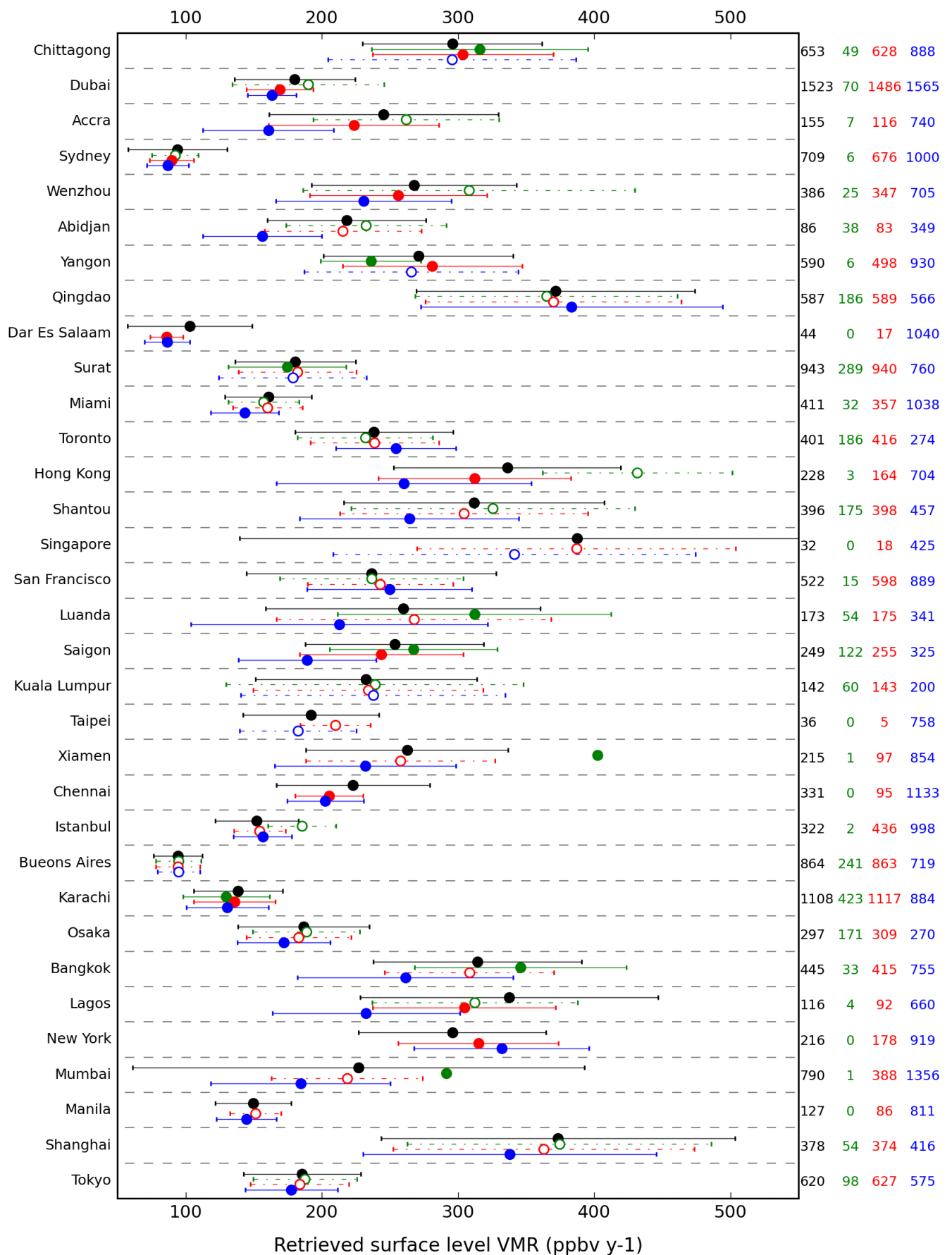
#### 3.4.2. VMR comparison~~VMR comparison:~~

Mean VMRs calculated across the entire study period are shown in Fig. 8 for L3L, L3O<sub>L</sub>, L3O<sub>LM</sub>, and L3W<sub>L</sub> (included for comparison purposes). Comparing L3O<sub>L</sub> to L3L, 6 of the 33 grid boxes analysed have no data in the L3O<sub>L</sub> subset. The mean  $n\_days(L3O_L/L3L)$  ratio for the remaining 27 cities is 0.19 (this raises slightly to 0.23 if an additional 5 cities with only a few days (< 5) of data coverage are excluded). Only a single city (Osaka) has more than 50 % of the L3L observation days in L3O<sub>L</sub>. The consequence of the ~~is~~ loss of data in L3O<sub>L</sub> is clear: compared to L3L, mean VMR in L3O<sub>L</sub> is higher, and the magnitude of this difference generally depends upon how much data is lost in L3O<sub>L</sub>. Mean VMR across all cities (excluding the 6 cities where  $n\_days(L3O_L) = 0$ ) is 17.2 ppbv higher in L3O<sub>L</sub> than in L3L (Table 5a). This falls to 9.8 ppbv if restricted to cities where the  $n\_days(L3O_L/L3L)$  ratio is greater than 0.05 (n=17), and 6.8 ppbv if restricted to cities where the  $n\_days(L3O_L/L3L)$  ratio is above 0.2 (n=11). The mean VMR difference (L3L – L3O<sub>L</sub>) is significantly different (p < 0.1) for 11 of the 27 cities that can be compared with  $n\_days(L3O_L) > 0$ ; in these cases, L3O<sub>L</sub> is a smaller subset of L3L than for the cities where mean VMR difference is not significant ( $n\_days(L3O_L/L3L) = 0.15$  vs 0.22, respectively), and the mean VMR difference is unsurprisingly much greater (-36.49 vs -3.93 ppbv). ~~comparing these with the remaining cities that see no significant difference, cities with a significant difference have a lower  $n\_days(L3O_L/L3L)$  ratio (i.e. relatively fewer retrieval days than L3L: ratio 0.15 vs 0.22), and much greater mean VMR differences (-36.49 vs -3.93 ppbv) (Table 5b).~~

~~L3O<sub>LM</sub> compares more favourably to L3L in terms of number of observations, thanks to the inclusion of days when the L3O surface index is “mixed”, with a mean  $n\_days(L3O_{LM}/L3L)$  ratio of 0.85.  $n\_days(L3O_{LM}) > n\_days(L3L)$  for 11 of the 33 cities, although the ratio is less than 1.05 (5 %) for all of these except San Francisco and Istanbul (ratio = 1.14 and 1.35, respectively). The L3L – L3O<sub>LM</sub> mean VMR difference is relatively small, by comparison (3.7 ppbv, all 33 cities; Table 5a). However, this does hide some much larger discrepancies between L3L and L3O<sub>LM</sub> for certain cities, with the difference exceeding 10 ppbv in for 11 of the 33 cities cases and 20 ppb for 3 of them. The difference is significant (p < 0.1; “SIGDIFF”) for 13 of 33 cities (39 %). Compared to the subset where the L3L – L3O<sub>LM</sub> mean difference is not significant (n = 20, 61 %; “NOT\_SIGDIFF”), the following characteristic differences are found (also detailed in Table 5):~~

- The grid boxes in SIGDIFF have a greater proportion of their surface covered by water than NOT\_SIGDIFF: this is evidenced by there being relatively more retrievals over water than land in SIGDIFF than NOT\_SIGDIFF (the ratio  $n\_ret(L3L/L3W) = 0.51$  vs 1.02 respectively); and also by the fact that on average, L3O<sub>L</sub> only contributes to L3O<sub>LM</sub> in SIGDIFF on 9 % of days, vs 20 % of days for NOT\_SIGDIFF (which means that retrievals over water contribute via L3O<sub>M</sub> more frequently to L3O<sub>LM</sub> in SIGDIFF than NOT\_SIGDIFF).

- §44 • The L3L – L3W VMR\_RET differences are larger in SIGDIFF than NOT\_SIGDIFF (mean = 31.15  
§45 vs 18.44 ppbv), meaning they are less likely to be hidden by averaging to create L3O<sub>M</sub>.
- §46 • Although analysis of mean averaging kernels over land and water suggest there is not a large  
§47 sensitivity contrast between the SIGDIFF and NOT\_SIGDIFF subsets (mean L3L – L3W rowsum  
§48 (diagonal value) differences are 0.25 vs 0.21 (0.10 vs 0.08) for SIGDIFF and NOT\_SIGDIFF cities,  
§49 respectively), the L3L – L3W ret-apr difference, which is another indicator of sensitivity difference,  
§50 is much greater for SIGDIFF than NOT\_SIGDIFF: 21.66 vs 3.22 ppbv respectively (21.98 vs 11.88  
§51 ppbv if using absolute values). There is some evidence that this may be a function of the a priori  
§52 VMRs being closer to “true” VMRs in NOT\_SIGDIFF: mean L3L retrieved minus a priori VMR  
§53 values fall from -19.82 ppbv for SIGDIFF to -7.07 ppbv for NOT\_SIGDIFF (39.86 ppbv and 18.79  
§54 ppbv respectively, if using absolute values). A similar pattern is seen in L3W, although less  
§55 pronounced (-14.75 and -6.73 ppbv, respectively (18.21 and 15.57 ppbv if using absolute values)).
- §56
- §57
- §58



**Figure 8.** Comparison of long-term mean retrieved surface level VMR in L3L (black), L3O<sub>L</sub> (green), L3O<sub>LM</sub> (red), and L3W (blue), for the 33 largest coastal cities (ordered ~~by population~~ on the y-axis by population). The long-term mean value (in ppbv) is indicated by the filled/open circle on each row, and its standard deviation by the error bars. The L3L marker is always filled and lines are always solid. For other datasets, the marker style (whether the marker is filled/open) or not, and line style whether the lines are (solid or dash/dot) indicates the significance of difference against L3L, ~~depends on the outcome of~~ based on an independent, 2-tailed t-test assuming unequal variance (aka “Welch’s test”) ~~against L3L~~: filled markers and solid lines indicate the mean is significantly different to L3L ( $p < 0.1$ ); open markers and dash/dot lines indicate there is no significant difference to L3L. The number of retrieval days in ~~each the~~ time series analysed for each city is given on the right-hand y-axis, color-coded accorded to dataset.

**Table 5.** Selected parameters from L3 grid boxes containing cities where mean VMR in L3L and L3O<sub>LM</sub> is significantly different ( $p < 0.1$ ).

	P < 0.1 (“SIGDIFF”) (n = 13)	P > 0.1 (“NOT_SIGDIFF”) (n = 20)
ratio n_ret(L3L/L3W)*	0.51	1.02
% days from L3O <sub>L</sub>	9	20
$\Delta$ VMR_RET (L3L – L3W) (ppbv)	31.15	18.44
$\Delta$ AK rowsum (L3L – L3W)	0.25	0.21
$\Delta$ AK diagonal (L3L – L3W)	0.10	0.08
$\Delta$ VMR (RET - APR) (L3L – L3W) (ppbv)	21.66	3.22
$\Delta$ VMR (RET - APR)  (L3L – L3W) (ppbv)	21.98	11.88
L3L VMR (RET - APR)	-19.82	-7.07
L3L VMR (RET - APR)	39.86	18.79
L3W VMR (RET - APR)	-14.75	-6.73
L3W VMR (RET - APR)	18.21	15.57

**Table 5. (a)** Summary stats for mean VMRs across all cities analysed in Sect 3.4. “L3O<sub>x</sub>” = L3O subset. **(b)** Selected parameters from L3 grid boxes containing cities where mean VMR in L3L and L3O<sub>L</sub> is significantly different ( $p < 0.1$ ). **(c)** Selected ~~parameters from L3 grid boxes containing cities where mean VMR in L3L and L3O<sub>LM</sub> is not significantly different ( $p > 0.1$ )~~ **(d)** Selected parameters from L3 grid boxes containing cities where mean VMR in L3L and L3O<sub>LM</sub> is not significantly different ( $p > 0.1$ ). Compared to the subset where the L3L – L3O<sub>LM</sub> mean difference is not significant (n = 20, 61%; “NOT\_SIGDIFF”), the following characteristic differences are found (also detailed in Table 5c):

§60  
§61  
§62  
§63

- ~~The grid boxes are more water-dominated in SIGDIFF than NOT\_SIGDIFF: this is evidenced by the ratio of  $n_{ret}(L3L/L3W) = 0.51$  vs  $1.02$  respectively; and also by the fact that on average,  $L3O_L$  only contributes to SIGDIFF on 9 % of days, vs 20 % of days for NOT\_SIGDIFF (which means that retrievals over water contribute via  $L3O_M$  more frequently to SIGDIFF than NOT\_SIGDIFF).~~
- ~~The  $L3L - L3W$  VMR\_RET differences are larger in SIGDIFF than NOT\_SIGDIFF (mean =  $31.15$  vs  $18.44$  ppbv), meaning they are less likely to be hidden by averaging to create  $L3O_M$ .~~
- ~~Although analysis of mean averaging kernels over land and water suggest there is not a large sensitivity contrast between the SIGDIFF and NOT\_SIGDIFF subsets (mean  $L3L - L3W$  rowsum (diagonal value) differences are  $0.25$  vs  $0.21$  ( $0.10$  vs  $0.08$ ) for SIGDIFF and NOT\_SIGDIFF cities, respectively), the  $L3L - L3W$  ret apr difference, which is another indicator of sensitivity difference, is much greater for SIGDIFF than NOT\_SIGDIFF:  $21.66$  vs  $3.22$  ppbv respectively ( $21.98$  vs  $11.88$  ppbv if using absolute values). There is some evidence that this may be a function of the a priori values being closer to “true” VMRs in NOT\_SIGDIFF: mean  $L3L$  retrieved minus a priori VMR values fall from  $19.82$  ppbv for SIGDIFF to  $7.07$  ppbv for NOT\_SIGDIFF ( $39.86$  ppbv and  $18.79$  ppbv respectively, if using absolute values). A similar pattern is seen in  $L3W$ , although less pronounced ( $-14.75$  and  $-6.73$  ppbv, respectively ( $18.21$  and  $15.57$  ppbv if using absolute values)).~~

~~————— These findings are all consistent with what was shown in Sect. 3.2.2 when identifying factors that determine whether the averaging of  $L2$  retrievals over land and water to create  $L3O_M$  (the dominant component of  $L3O_{LM}$  in all cases here, being the classification on 84 % of days, on average (max = 100 %, min = 45 %)) can yield a statistically significantly different retrieval to  $L3L$ .~~

These findings are all consistent with what was shown in Sect. 3.2.2 when identifying factors that determine whether the averaging of  $L2$  retrievals over land and water to create  $L3O_M$  can yield a statistically significantly different retrieval to  $L3L$ . As outlined above,  $L3O_M$  is the dominant component of  $L3O_{LM}$  in all cases considered here (being the classification on 84 % of days, on average (max = 100 %, min = 45 %)).

### 3.4.3. Trend comparison *Trend comparison:*

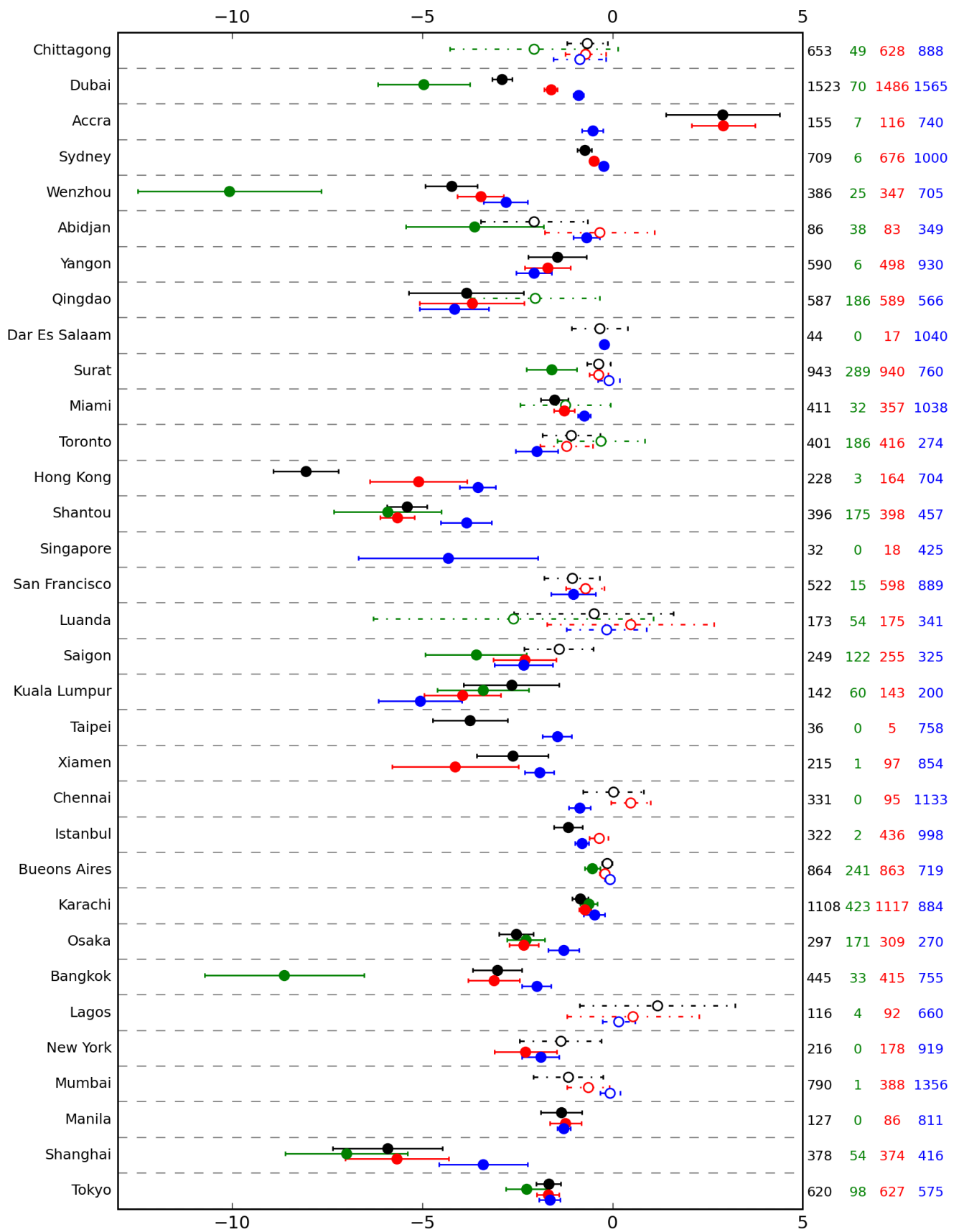
The above analysis is repeated with temporal trends detected using WLS regression, as outlined in Section 2.5. The trend values, their associated standard errors, and an indication of their statistical significance ( $p < 0.1$ ) are presented for each city in Fig. 9. Where trend information is not plotted from a dataset for a given city, this means that there were too few data points to perform the regression analysis.

897 On average, the strongest trends are seen in L3O<sub>L</sub>. However, this often appears as an outlier compared  
898 to the other datasets – likely a consequence of the comparatively few L3O<sub>L</sub> data points that the regression  
899 analysis is based on. As expected from previous sections, the weakest trends are detected in L3W, with  
900 L3O<sub>LM</sub> representing a mid-point between this and L3L.

901 Of the 18 cities where WLS analysis can be performed in L3O<sub>L</sub> ~~according to the criteria outlined in~~  
902 ~~Seet. 2.5~~, there are 9 ~~cases~~ where the resulting trend – and thus conclusion drawn from the analysis – is  
903 significantly different to that in L3L. In 3 of these cases (Dubai, Wenzhou, Bangkok), ~~despite being~~  
904 ~~significant~~, the trend in L3O<sub>L</sub> can be judged to be a huge-strong over-estimate given the large difference to  
905 the corresponding trends in L3L ~~e-trend-comparison to L3L~~ (trend standard errors do not overlap), and the  
906 very small number of days with data that these trends are based on when compared to L3L  
907 (n\_days(L3O<sub>L</sub>/L3L) ratio < 0.08 in each case). There are 4 additional cities where a significant trend in L3O<sub>L</sub>  
908 appears to be an over-estimate, when compared the L3L ~~on further analysis~~: Abidjan, Surat, Saigon, and  
909 Buenos Aires. This is because ~~T~~the trend for these cities ~~in L3O<sub>L</sub> is much stronger than in L3L (-1.6, -1.2,~~  
910 ~~2.2, and -0.4 ppbv/y, respectively), and the trend~~ in L3L is ~~also~~ not significantly different to 0 which, ~~G~~given  
911 the higher number of days with data in L3L ~~however~~ (n\_days(L3O<sub>L</sub>/L3L) ratio = 0.44, 0.31, 0.49, 0.28,  
912 respectively), ~~this~~ appears to be the more reliable result. The L3O<sub>L</sub> trend for Miami is insignificant and  
913 derived from very low n. L3O<sub>L</sub> is also the only dataset to yield an insignificant trend for Qingdao.

914 As with mean VMRs, trends in L3O<sub>LM</sub> compare better than L3O<sub>L</sub> to L3L. However, there are still 5  
915 cases where L3O<sub>LM</sub> and L3L yield significantly different results. For 3 of these (Dubai, Hong Kong, and  
916 Istanbul), interpretation of the difference is simple: L3O<sub>LM</sub> is a significant under-estimate of the CO change  
917 over time. This is, very likely due to the inclusion fluence ~~of retrievals over water~~ ~~in this~~ dataset, as  
918 evidenced by ~~(L3W yieldings a significantly weaker trend than L3L in all 3 cases)~~. In the remaining 2 cases  
919 – New York and Saigon – interpretation is more complicated. For both these cities, the trend detected in L3L  
920 is not significantly different from zero, whereas the trend in L3O<sub>LM</sub> is. Does this mean that the trend in L3O<sub>LM</sub>  
921 is an over-estimate? Possibly. However, in both cases, the trends are within one standard error of each other  
922 and therefore within the range of sampling uncertainty. There are an additional 2 cities where WLS could be  
923 performed in L3L but not L3O<sub>LM</sub> (Dar Es Salaam and Taipei), but n\_days(L3L) is so low (44 and 36,  
924 respectively) that these results are not deemed to be trustworthy.





trend from WLS regression analysis on ymean vmr\_sfc\_ret (ppbv y-1)

927

**Figure 9.** Comparison of temporal trend (detected using WLS, as outlined in Section 2.5) in retrieved surface level VMR in L3L (black), L3OL (green), L3OLM (red), and L3W (blue), for the 33 largest coastal cities (ordered ~~by population~~ on the y-axis by population). The trend value (in ppbv y<sup>-1</sup>) is indicated by the filled/open circle on each row, and its standard error by the error bars. For all datasets, whether the marker is filled or not, and whether the lines are solid or dash/dot, depends on the significance of the trend: filled markers and solid lines indicate the trend is significant (p < 0.1); open markers and dash/dot lines indicate that the trend is not significantly different to zero. The number of retrieval days in ~~each the~~ time series analysed for each city is given on the right-hand y-axis, color-coded accorded to dataset.

928

As outlined in Sect. 2.5, it is important to note that the trends presented in this section are for illustrative purposes only, with the intention of demonstrating that different results can be obtained depending on whether L3O or L3L (and, by extension, L2) data are analysed. More focused analysis is needed to verify these trends, which is beyond the scope of this paper.

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#### 4. Summary and Conclusions

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Motivated by the work of Ashpole and Wiacek (2020) which demonstrated, for the MOPITT L3 grid box containing the coastal city of Halifax, Canada, that mean VMR statistics and temporal trends differ depending on whether L2 or L3 data are analysed, this paper has examined what proportion of all coastal L3 grid boxes also see differences between results from analyses performed with L2 and L3 data. While it is recommended to MOPITT data users that analyses are restricted to retrievals performed over land owing to known sensitivity issues over water (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015), such

950 recommendations cannot practically be followed by users of L3 data for coastal grid boxes owing to the way  
951 the data are created from their bounded L2 retrievals. In short, this study has sought to answer the question:  
952 “does it matter”? The main results are summarised below.

953 First, a direct comparison of the L2 retrievals performed over land (L3L) and water (L3W) that are  
954 averaged together to create L3 products on days when the L3 surface index is “mixed” (L3O<sub>M</sub>) identified  
955 that:

- 956  
957 • Retrieval information content is clearly greater in L3L than L3W. The corresponding mean L3L –  
958 L3W VMR difference is over 10 ppbv, significant ( $p < 0.1$ ) at 60 % of the coastal grid boxes  
959 compared.
- 960 • Temporal trends are also stronger, on average, in L3L (mean diff = 0.28 ppbv y<sup>-1</sup>, 0.43 ppbv y<sup>-1</sup> if  
961 only considering trends significantly different to zero), with the L3L – L3W trend difference  
962 significant ( $p < 0.1$ ) at 36 % of grid boxes where a trend comparison was possible.
- 963 • ~~The~~ Largest L3L – L3W differences in mean VMRs and trends are clearly associated with greater  
964 differences in retrieval sensitivity.
- 965 • The resulting VMRs in L3O<sub>M</sub> are significantly different to L3L for 75 % of grid boxes where the  
966 L3L – L3W difference is also significant; this corresponds to 45 % of all coastal grid boxes  
967 compared. Whether or not L3O<sub>M</sub> and L3L differ significantly depends on multiple factors including  
968 the ratio of land/water surface cover in the grid box, the strength of the land-water sensitivity contrast  
969 and VMR difference, and, potentially, the accuracy of the a priori.
- 970 • Just under half of the grid boxes that featured a significant L3L – L3W trend difference also see  
971 trends differing significantly between L3L and L3O<sub>M</sub>. As with the mean VMR comparison, these  
972 grid boxes are more water-dominated than the subset whereby the L3L – L3W trend difference is  
973 significant but the L3L – L3O<sub>M</sub> trend difference is not. They also feature stronger L3L – L3W trend  
974 differences overall, but no other variables (such as I<sub>tm</sub> VMRs and sensitivity metrics) show clear  
975 differences.

976  
977 Having established the degree of difference in L3O<sub>M</sub> and L3L retrievals that is caused directly by  
978 averaging L3L with the less-sensitive L3W, the full L3O dataset with differing surface filtering options was  
979 compared to L3L:

- 980  
981 • If L3O is filtered so that only retrievals over land (L3O<sub>L</sub>) are analysed, as has been recommended  
982 (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015), there is a huge loss of data, in

983 terms of days with data to analyse. This is a direct result of L2 retrievals over land routinely being  
984 discarded during the L3O creation process, [or averaged with L2 retrievals over water, creating L3O<sub>M</sub>](#)  
985 (at least for coastal grid boxes). The problem can be alleviated by also retaining L3O<sub>M</sub> retrievals, but  
986 these additional days with data feature some influence from retrievals made over water that can affect  
987 results, as outlined. The resulting L3O<sub>LM</sub> subset still has less days with data than in L3L for 61 % of  
988 coastal grid boxes.

- 989 • Almost a quarter (half) of coastal grid boxes see a significant difference in I<sub>tm</sub> VMR between L3L  
990 and L3O<sub>L</sub> (L3O<sub>LM</sub>). Over a third (almost a quarter) of the trends in L3O<sub>L</sub> (L3O<sub>LM</sub>) are significantly  
991 different to L3L.
- 992 • Focusing on the [L3 grid boxes containing the](#) 33 largest coastal cities in the world, mean VMRs in  
993 L3O<sub>L</sub> and L3L differ significantly for 11 of the 27 [cities-grid boxes](#) that can be compared (40 %;  
994 there are no L3O<sub>L</sub> data for the remaining 6 cities). The L3L – L3O<sub>LM</sub> mean VMR difference across  
995 all 33 [cities-grid boxes](#) is relatively small (3.7 ppbv), but this does hide some much larger  
996 discrepancies, with the difference exceeding 10 ppbv for 11 of the 33 [cities-grid boxes](#) and 20 ppbv  
997 for 3 of them. The difference is significant for 13 of 33 [cities-grid boxes](#) (39 %). Of the 18 [cities-grid](#)  
998 [boxes](#) where WLS analysis can be performed in L3O<sub>L</sub>, there are 9 cases where the trend is  
999 significantly different to that in L3L. The trends in L3O<sub>LM</sub> and L3L differ significantly for 5 of the  
1000 33 [citiesgrid boxes](#).

1001  
1002 From these results, it can be concluded that, yes, for at least a quarter of all MOPITT coastal L3 grid  
1003 boxes, it does matter that there is limited capacity to filter out the influence of retrievals over water in L3  
1004 data – at least without a huge loss of temporal coverage. Demonstrably, there are significant differences in  
1005 [the](#) mean VMRs and temporal trends [that can be obtained between-using](#) L3O and L3L, sometimes very  
1006 large. These differences could have tangible consequences, depending on the purpose for which the MOPITT  
1007 data are being used. While acknowledging that this analysis has also shown that there is a sizeable proportion  
1008 of coastal grid boxes where statistically, mean VMRs and trends do not differ significantly between L3L and  
1009 L3O, there is enough evidence to support the suggestion from Ashpole and Wiacek (2020) that an additional  
1010 L3 “land-only” product, created only from averaging bounded L2 retrievals performed over land – the L3L  
1011 dataset that has been analysed in this paper – would be beneficial to the research community. This dataset  
1012 would enable L3 users to maximize retrieval information content for coastal L3 grid boxes, as is currently  
1013 only possible with L2 data, while also preserving the benefits of L3 products, [such as smaller file size and](#)  
1014 [greater accessibility of gridded products](#). Although this [analysis-paper](#) has focused only on analysis of  
1015 MOPITT data, it is reasonable to question whether the findings are applicable to data products from other

L016 satellite instruments that make CO retrievals based on observed thermal-infrared radiances, such as AIRS  
L017 (Atmospheric InfraRed Sounder), TES (Tropospheric Emission Spectrometer), and IASI (Infrared  
L018 Atmospheric Sounding Interferometer).

### L023 **Data availability**

L024  
L025 MOPITT data were downloaded from the NASA Earthdata portal (<https://search.earthdata.nasa.gov/>). The  
L026 L3L and L3W products analysed in this study are available on request from the corresponding author.

### L029 **Author contributions**

L030  
L031 IA and AW jointly conceived of and designed the study. IA performed data analysis; both authors examined  
L032 and interpreted the results, and prepared the manuscript.

### L035 **Competing interests**

L036  
L037 The authors declare that they have no conflict of interest.

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