

Differences in MOPITT surface-level CO retrievals and trends from Level 2 and Level 3 products in coastal grid boxes

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Abstract

Users of MOPITT data are advised to discard retrievals performed over water from analyses. This is because MOPITT retrievals are more sensitive to near-surface CO when performed over land than water, meaning that they have a greater measurement component and are less tied to the a priori CO concentrations (which are taken from a model climatology) that are necessarily used in their retrieval. MOPITT Level 3 (L3) products are a 1° x 1° gridded average of finer resolution (~22 x 22 km) Level 2 (L2) retrievals. In the case of coastal L3 grid boxes, L2 retrievals performed over both land and water may be averaged together to create the L3 product, with L2 retrievals over land not contributing to the average at all in certain situations. This conflicts with data usage recommendations. The aim of this paper is to highlight the consequences that this has on surface level retrievals and their temporal trends in “as-downloaded” L3 data (“L3O”), by comparing them to those obtained if only the L2 retrievals performed over land are averaged to create the L3 product (“L3L”), for all identified coastal L3 MOPITT grid boxes. First, the difference between surface level retrievals in L3L and the corresponding L2 retrievals performed over water (“L3W”) is established, for days when they are averaged together to create the L3O product for coastal grid boxes (yielding a L3O surface index of “mixed” (“L3O_m”). Mean retrieved VMRs in L3L differ by over 10 ppbv from those in L3W, and temporal trends detected in L3L are between 0.28 ppbv y⁻¹ and 0.43 ppbv y⁻¹ stronger than in L3W, on average. These L3L – L3W differences are clearly linked to retrieval sensitivity differences, with L3W being more heavily tied to the a priori CO profiles used in the retrieval, which are a model-derived monthly mean climatology that, by definition, has no trend year-to-year. VMRs in the resulting L3O_M are significantly different to L3L for 45 % of all coastal grid boxes, corresponding to 75 % of grid boxes where the L3L – L3W difference is also significant. Just under half of the grid boxes that featured a significant L3L – L3W trend difference also see trends differing significantly between L3L and L3O_M. Factors that determine whether L3O_M and L3L differ significantly include proportion of the surface covered by land/water, and the magnitude of land-water contrast in retrieval sensitivity. Comparing the full L3O dataset to L3L, it is shown

35 that if L3O is filtered so that only retrievals over land (L3O_L) are analysed – as recommended – there is a
36 huge loss of days with data for coastal grid boxes. This is because L2 retrievals over land are routinely
37 discarded during the L3O creation process for these grid boxes. There is less data loss if L3O_M retrievals are
38 also retained, but the resulting L3O “land or mixed” (L3O_{LM}) subset still has less data days than L3L for 61
39 % of coastal grid boxes. As shown, these additional days with data feature some influence from retrievals
40 made over water, demonstrably affecting mean VMRs and their trends. Coastal L3 grid boxes contain 33 of
41 the 100 largest coastal cities in the world, by population. Focusing on the L3 grid boxes containing these
42 cities, it is shown that mean VMRs in L3O_L and L3L differ significantly for 11 of the 27 grid boxes that can
43 be compared (there are no L3O_L data for 6 of the grid boxes studied), with 9 of the 18 grid boxes where
44 temporal trend analysis can be performed in L3O_L featuring a trend that is significantly different to that in
45 L3L. These differences are a direct result of the data loss in L3O_L – data that are available in L2 data (and
46 are incorporated into the L3L product created for this study). The L3L – L3O_{LM} mean VMR difference
47 exceeds 10 (22) ppbv for 11 (3) of these 33 grid boxes, significant in 13 cases, with significant temporal trend
48 differences in 5 cases. It is concluded that a L3 product based only on L2 retrievals over land – the L3L
49 product analysed in this paper, available for public download – could be of benefit to MOPITT data users.

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53 ~~MOPITT retrievals are more sensitive to near-surface CO when performed over land than water. Data users~~
54 ~~are therefore advised to discard retrievals performed over water from analyses to limit the a priori influence~~
55 ~~on results. Level 3 (L3) products are a 1° x 1° gridded average of finer resolution Level 2 (L2) retrievals. For~~
56 ~~coastal grid boxes, these are retrievals that are either performed over land, water, or a combination of the~~
57 ~~two, on any given day. L3 data users therefore have limited ability to filter for retrievals performed over~~
58 ~~water for these grid boxes. The consequences that this has on surface level retrievals and their temporal trends~~
59 ~~in “as-downloaded” L3 data (“L3O”) are examined in this paper, for all coastal L3 MOPITT grid boxes (n =~~
60 ~~4299), by comparison to separate land and water only grid box averaged L2 retrievals (“L3L” and “L3W”,~~
61 ~~respectively). First, it is established that mean retrieved VMRs in L3L and L3W differ by over 10 ppbv,~~
62 ~~significant (p < 0.1) at 60 % of the coastal grid boxes. Trends are also stronger in L3L (mean difference~~
63 ~~between 0.28 ppbv y⁻¹ and 0.43 ppbv y⁻¹), with the L3L – L3W trend difference significant at 36 % of grid~~
64 ~~boxes. These L3L – L3W differences are clearly linked to retrieval sensitivity differences, with L3W being~~
65 ~~more heavily tied to the a priori CO profiles used in the retrieval, which are a model-derived monthly mean~~
66 ~~climatology that, by definition, has no trend year to year. On days when L3O is created from the averaging~~
67 ~~together of L2 retrievals over both land and water (L3O_M), the result is VMRs that are significantly different~~

68 ~~to L3L for 45 % of all coastal grid boxes, corresponding to 75 % of grid boxes where the L3L—L3W~~
69 ~~difference is also significant. Just under half of the grid boxes that featured a significant L3L—L3W trend~~
70 ~~difference also see trends differing significantly between L3L and L3O_M. Factors that determine whether~~
71 ~~L3O_M and L3L differ significantly include proportion of the surface covered by land/water, and the~~
72 ~~magnitude of sensitivity contrast. Comparing the full L3O dataset to L3L, it is shown that if L3O is filtered~~
73 ~~so that only retrievals over land (L3O_L) are analysed, there is a huge loss of days with data. This is because~~
74 ~~L2 retrievals over land are routinely discarded during the L3O creation process, for coastal grid boxes. The~~
75 ~~problem can be lessened by also retaining L3O_M retrievals, but the resulting L3O “land or mixed” (L3O_{LM})~~
76 ~~subset still has less data days than L3L for 61 % of coastal grid boxes. Moreover, these additional days with~~
77 ~~data feature some influence from retrievals made over water that can affect results. Coastal L3 grid boxes~~
78 ~~contain 33 of the 100 largest coastal cities in the world, by population. Focusing on the L3 grid boxes~~
79 ~~containing these cities, we ask whether results of analyses are significantly different if using L3O compared~~
80 ~~to L3L. It is shown that mean VMRs in L3O_L and L3L differ significantly for 11 of the 27 grid boxes that~~
81 ~~can be compared (there are no L3O_L data for 6 of the grid boxes studied). The L3L—L3O_{LM} mean VMR~~
82 ~~difference exceeds 10 (22) ppbv for 11 (3) of the 33 grid boxes, significant in 13 cases. 9 of the 18 grid boxes~~
83 ~~where WLS analysis can be performed in L3O_L feature a trend that is significantly different to L3L. The~~
84 ~~trends in L3O_{LM} and L3L differ significantly for 5 of the 33 grid boxes. It is concluded that a L3 product~~
85 ~~based only on L2 retrievals over land—the L3L product analysed in this paper, available for public download~~
86 ~~—could be of benefit to MOPITT data users, given the significant differences in mean CO VMRs and trends~~
87 ~~that can be obtained for coastal grid boxes using L2 products in which retrievals performed over water can~~
88 ~~be more easily discarded.~~

91 **1. Introduction**

92
93 Carbon monoxide (CO) is directly emitted into the atmosphere from anthropogenic (e.g. fossil fuel burning)
94 and natural (e.g. wildfire) sources, and also produced via the oxidation of hydrocarbons in the atmosphere.
95 With an atmospheric lifetime of weeks to months (e.g. Duncan et al., 2007), it is an important tracer of
96 pollutant transport and indicator of emission sources. While a health concern ~~in its own right at~~ high enough
97 concentrations, CO also plays an important role in atmospheric chemistry, for example as a precursor to
98 ozone formation and a primary sink for the hydroxyl radical. Atmospheric CO concentrations have decreased
99 since the start of the 21st century, with a slowdown in the rate of decline observed in recent years (Buchholz
100 et al., 2021). Trends also show substantial spatial variability (Hedelius et al., 2021). Satellite instruments
101 have been central to our understanding of global change in CO concentrations, with the Measurement of

102 Pollution in the Troposphere (MOPITT – Drummond et al., 2010, 2016; [frequently used abbreviations are](#)
103 [defined in Appendix A](#)) instrument well suited to this task, providing a nearly-unbroken and consistent data
104 record since the year 2000.

105 MOPITT observes upwelling radiances at thermal infrared (TIR) and near infrared (NIR) wavelengths
106 and uses these in an optimal estimation retrieval algorithm to retrieve coarse vertical resolution CO profiles,
107 which are integrated to give total column amounts. Among multiple additional inputs required by the retrieval
108 algorithm, a priori CO profiles – which describe the most probable state of the CO profile at a given location
109 – are necessary to constrain the retrieval to physically reasonable limits (Pan et al., 1998; Rodgers, 2000; the
110 retrieval algorithm is outlined in more detail in Sect. 2.1). For the most recent iterations of MOPITT products,
111 these a priori CO profiles are based on a monthly climatology from a chemical transport model. The degree
112 to which a given MOPITT retrieval reflects information obtained from the observed radiances – known as
113 “information content” – is highly spatially and temporally variable, depending on scene-specific factors such
114 as surface temperature, thermal contrast in the lower troposphere, and the actual (“true”) CO loading itself,
115 as well as on instrumental noise (e.g. Deeter et al., 2015). The lower the retrieval information content, the
116 closer the retrieved CO loading will be to the a priori; a model value.

117 Retrievals that take place over water are known to have a lower information content than retrievals
118 that take place over land. Primarily, this is due to weak thermal contrast near to the surface hampering the
119 instrument’s ability to sense CO absorption in the lowermost layers of the troposphere (Deeter et al., 2007;
120 Worden et al., 2010), and this is confounded by a lack of NIR reflectance over water, which limits these
121 retrievals to TIR wavelengths only. It is therefore recommended that MOPITT data users exclude these
122 retrievals from any analyses they perform, to ensure that results are not biased by retrievals that have a heavy
123 reliance on the a priori (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015). Such filtering
124 is specifically emphasised where the focus of analysis is the identification of long-term CO trends, because
125 any real trends in the data will be weakened by the inclusion of retrievals that are tied heavily to the a priori
126 (Deeter et al., 2015). This is because the a priori CO profiles are taken from monthly modelled CO
127 climatologies: for a given location and day of the year, they will be the same every year and therefore feature
128 no temporal trend (Deeter et al., 2014).

129 MOPITT data are available as either Level 2 (“L2”) or Level 3 (“L3”) products. L2 products contain
130 each individual retrieval, at ~22 x 22 km spatial resolution. L3 products are a 1° x 1° gridded area-average of
131 the individual L2 retrievals that fall within each grid box (see Fig. 1), with some filtering criteria applied.
132 One criterion is the surface type over which the L2 retrievals were performed – either land, water, or “mixed”.
133 If more than 75 % of the bounded L2 retrievals were performed over the same surface type then only those
134 retrievals are averaged to create the L3 product and the rest are discarded; otherwise, all bounded L2 retrievals

135 are averaged, and the L3 product is given the surface type classification of “mixed” (L3 surface type
136 classification is explained in more detail in Sect. 2.2). This creates a problem for L3 grid boxes that overlay
137 coastlines: To a greater or lesser extent, these L3 products will have some contribution from L2 retrievals
138 performed over water, as shown in Fig. 1. L3 product users have limited capability to discard them, at least
139 without sacrificing temporal resolution, because each L3 grid box only has a single “retrieval” per day. By
140 contrast, with L2 products it is possible, for the same coastal grid boxes, to choose to retain only the retrievals
141 performed over land. In practical terms, this means that, for coastal L3 grid boxes, valuable retrieval
142 information over land, available in L2 products, can be lost to users of L3 products.

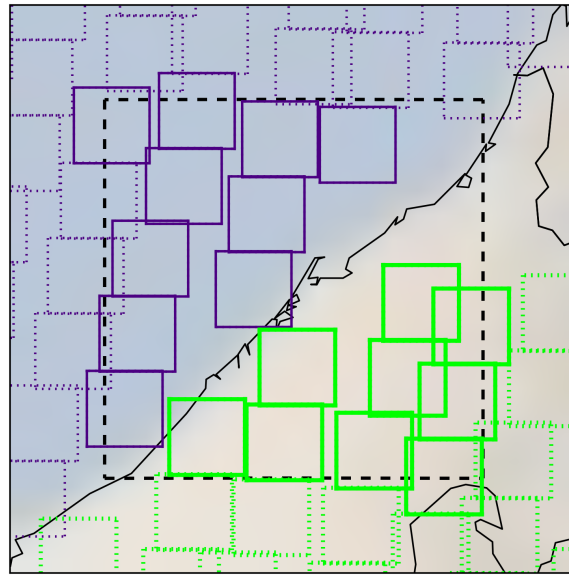


Figure 1. Example of a coastal L3 grid box (black dashed box) and bounded L2 retrievals from which the L3 products for that grid box are created. Purple (green) boxes correspond to L2 retrievals with a surface index of “water” (“land”). Note that only L2 retrievals with a midpoint that falls within the boundaries of the L3 grid box will be used in L3 creation for that grid box. These are indicated by solid purple/green outlines – those not included in L3 creation for this grid box are shown with dotted purple/green outlines. More information on surface indexing and L3 product creation is given in Sect. 2.2. “Coastal” L3 grid box classification is outlined in Sect. 2.3. The coastal L3 grid box visualized here contains the city of Dubai (~centre = 55.296° E, 25.277° N), which features in the case study analysis of Sect 3.4. Faint background shading is from [Nasa-NASA](#) Blue Marble imagery.

143 With a focus on the coastal L3 grid box containing the city of Halifax, Canada, Ashpole and Wiacek
144 (2020) demonstrate the consequences of this loss of retrieval information in L3 products. They compare the
145 results of analyses performed using L3 data and L2 data whereby only bounded retrievals performed over
146 land were retained, and find significant differences in both seasonal mean statistics and the magnitudes of
147 trends identified in surface-level CO. These differences are a direct result of the L3 products being dominated
148 by L2 retrievals over water, which feature a weaker trend than the L2 retrievals over land, demonstrably due

49 to a greater a priori influence owing to their reduced true-profile sensitivity, especially close to the surface.
150 In their conclusions, Ashpole and Wiacek (2020) suggest that L2 retrievals over water should not contribute
151 to L3 products for coastal grid boxes, which would be consistent with previous data filtering
152 recommendations (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015). The study presented
153 here expands that work to the global scale.

154 The aim of this paper is to compare surface level retrievals and their temporal trends in “as-
155 downloaded” L3 data (“L3O”; a list of dataset short names is given in Table 1) with those that could be
156 obtained if only the L2 retrievals performed over land are averaged to create the L3 product (“L3L”, Ashpole
157 and Wiacek (2022) – outlined in Sect. 2.4), for all identified coastal L3 MOPITT grid boxes around the
158 globe.~~The primary aim of this paper is to explore the extent of the difference that this would make on a global~~
159 ~~scale. It is~~ This is necessary to identify understand whether there are differences for two reasons: firstly, L3
160 data are more convenient for long time series analysis than L2 data owing to their smaller file size (~25 MB
161 vs ~450 MB respectively, for a single daily, global file). It cannot be overlooked that working with L3 data
162 thus requires fewer computing resources and less technical proficiency, with a range of simple-to-use tools
163 available for working with gridded products. L3 products thus make the MOPITT data more easily accessible,
164 especially to less-expert users, who may lack the expertise required to scrutinize the data for potential a priori
165 bias. Secondly, many of the world’s largest agglomerations are situated within a coastal L3 grid box (5 of
166 the top 10 and 33 of the top 100 largest agglomerations by population; derivation outlined in Sect. 2.5),
167 making these likely targets for analyses of air quality indicators, especially their changes over time. The paper
168 focuses on the surface level of the retrieved profile specifically because this can yield information that is of
169 use in identifying potential air quality impacts for humans (e.g. Buchholz et al., 2022), and also because this
170 is the profile level where the greatest land-water differences in retrieved VMR statistics and trends were
171 found in Ashpole and Wiacek (2020).

172 This paper is structured as follows: ~~presents a comparison of results from analyses performed using~~
173 ~~original, “as downloaded” L3 data products, and a new land-only L3 product (“L3L”, Ashpole and Wiacek~~
174 ~~(2022) — outlined in Sect. 2.4) that has been created from L2 products, for all MOPITT L3 grid boxes that~~
175 ~~overlay coastlines (a water-only L3 product “L3W” has also been created for comparison purposes).~~ Section
176 2 describes the datasets and methods used, including outlining the creation of the new “land-only” L3 product
177 (L3L), and its “water-only” counterpart (“L3W”) created for comparison purposes, which are ~~data products~~
178 analysed in this paper. A method for determining which L3 grid boxes are “coastal” is also outlined (Sect.
179 2.3); these grid boxes are selected as the focus of analysis. Section 3.1 demonstrates the magnitude of the
180 sensitivity difference for retrievals over land and water, zooming in to focus on coastal grid boxes ~~(the~~
181 ~~classification of which is outlined in Sect. 2.3).~~ Although this paper focuses on the surface level of the

182 [retrieved vertical profile, higher levels in the profile are also briefly considered here to contextualise the land-](#)
183 [water sensitivity contrast at the surface.](#) -Section 3.2 links the [surface](#) sensitivity contrast to differences in
184 mean CO volume mixing ratios (“VMRs”) and their temporal trends for L2 retrievals performed over land
185 and water within coastal L3 grid boxes; and evaluates the effect that the averaging together of these retrievals
186 has on the statistics and trends in resulting L3 “mixed” values. Section 3.3 quantifies the proportion of L2
187 retrievals performed over land within coastal L3 grid boxes that are lost to L3 products, before finally
188 comparing statistics and trends in L3 and L2 products for all coastal L3 grid boxes, outlining the magnitude
189 and significance of differences for the coastal grid boxes that contain 33 of the largest 100 cities in the world
190 (Sect. 3.4). [Results are summarised and conclusions drawn in Sect. 4.](#)

193 **2. Data and Methods**

195 **2.1. MOPITT Instrument and retrieval overview**

196
197 Carried on board the polar-orbiting NASA Terra satellite that was launched in December 1999, MOPITT
198 began measuring CO in March 2000 and has provided near-continuous measurements to date. With a native
199 pixel resolution of ~22 x 22 km at nadir and a swath width of ~640 km, it offers near global coverage roughly
200 every 3-days, crossing the equator at ~10:30 and ~22:30 local time. The instrument is a gas correlation
201 radiometer that measures radiances in two CO-sensitive spectral bands: the TIR at 4.7 μm , which is sensitive
202 to both absorption and emission by CO and can provide information on its vertical distribution in the
203 troposphere; and the NIR at 2.3 μm , which constrains the CO total column amount and yields information
204 on CO concentrations in the lower troposphere (LT), to which TIR radiances are typically less sensitive
205 (Drummond et al., 2010; Pan et al., 1995, 1998). For the work presented here, the TIR-NIR combined
206 MOPITT product is used, owing to its demonstrably greater sensitivity to CO loadings near to the surface
207 than the TIR- and NIR- only products which are also available (Deeter et al., 2013). Note, however, that
208 retrievals over water and at night are limited to the TIR band only due to the lacking NIR signal. This analysis
209 is based on daytime-only retrievals (more information on data selection and preparation is given in Sect. 2.4).

210 Multiple other sources describe the retrieval algorithm in detail (e.g., Deeter et al., 2003; Francis et
211 al., 2017). In short, it uses optimal estimation (Pan et al., 1998; Rogers, 2000) and a fast radiative transfer
212 model (Edwards et al., 1999) to invert measured radiances and retrieve the CO volume mixing ratio (VMR)
213 profile on 10 vertical layers. The vertical grid consists of 9 equally spaced pressure levels from 900 to 100
214 hPa (the uppermost level covers the atmospheric layer from 100 to 50 hPa), with a floating surface pressure

215 level (if the surface pressure is below 900 hPa, less than 10 profile levels are retrieved). Retrieved values
216 represent the mean CO VMR in the layer immediately above that level. These profile measurements are then
217 integrated to provide total column CO amounts. Retrievals are only performed for scenes free of cloud (cloud
218 clearing is based on coincident MODIS observations and MOPITT's own radiances).

219 In addition to the measured radiances, the retrieval requires multiple inputs including meteorological
220 data, surface temperature and emissivity, and, of direct relevance to this study, a priori CO profiles, which
221 are necessary to constrain the retrieval to physically reasonable limits. These a priori CO profiles come from
222 a monthly CO climatology (years 2000-2009), simulated with the Community Atmosphere Model with
223 Chemistry (CAM-chem) chemical transport model (Lamarque et al., 2012) at a spatial resolution of 1.9° x
224 2.5°, which is then spatially and temporally interpolated to the time and location of each individual MOPITT
225 observation. A priori profiles for a given location and day of the year are therefore the same every year and
226 feature no temporal trend. To understand the physical significance of the MOPITT CO retrievals, it is
227 necessary to examine the retrieval Averaging Kernels (AKs), available with all MOPITT data products,
228 which quantify the sensitivity of the retrieved vertical profile to the “true” vertical profile. The lower the
229 retrieval sensitivity, the greater the a priori weighting. Two different components of AKs are analysed in this
230 paper: AK rowsums, which represent the overall sensitivity of the retrieved profile at the corresponding
231 pressure level to the whole true profile; and AK diagonal values, which represent the sensitivity of the
232 retrieved profile at the corresponding pressure level to the same level of the true profile (e.g. the AK diagonal
233 value for the surface level of the retrieved profile represents its sensitivity to the surface level of the true
234 profile).

235 From time-to-time, new MOPITT products become available as improvements are made to the
236 retrieval algorithm and radiative transfer model, yielding superior validation statistics compared to earlier
237 product versions (Worden et al., 2014). This analysis uses MOPITT Version 8 (V8) products (Deeter et al.,
238 2019). ~~Note that~~ Version 9 (V9) products became available shortly after this study was completed. V9
239 features cloud screening improvements that yield additional retrievals over land in comparison to V8 (the
240 exact percent change varies significantly with geography). Validation results are comparable to V8. An
241 overview of MOPITT V9 is given by Deeter et al (2022). A subset of the analysis presented in this paper has
242 been duplicated using V9 data, and this confirms that the main conclusions drawn based on V8 data also hold
243 for V9 (this analysis is outlined in the Supp. Mat. (SM1)). This is to be expected, given that the land-water
244 sensitivity contrast remains in V9 and the L3 processing method is unchanged.

245
246

247 **2.2. MOPITT surface type classification**

248

249 To aid in filtering and interpreting retrievals, all MOPITT data products are distributed with a range of
250 diagnostic fields. As retrieval information content is known to be variable depending on the type of surface
251 over which it is performed (Deeter et al., 2007), L2 retrievals are given a surface index according to whether
252 they were performed over land, water, or a combination of the two (“mixed”). For a given 1° x 1° L3 grid
253 box, how the L2 retrievals that fall within its boundaries are processed to produce the L3 product depends on
254 how their surface indexes vary: If more than 75 % of the bounded L2 retrievals have the same surface index,
255 only those retrievals are averaged to produce the L3 gridded value, and the L3 surface index is set to that
256 surface type (the other L2 retrievals are discarded). Otherwise, all L2 retrievals available in the L3 grid box
257 are averaged together and the L3 surface index is set to “mixed”, as is the case in the example shown in Fig.
258 1 (this information is taken from the MOPITT Version 6 L3 data quality summary¹, which at the time of
259 writing, is the most recent data quality summary to detail exactly how L3 data are created, despite more
260 recent data quality summaries being available). Note that the L2 VMR profiles that are averaged to produce
261 the L3 retrieval are first converted to log(VMR) profiles, then averaged, and the mean log(VMR) profile is
262 then converted back to a VMR profile.

263 Each L3 grid box only has one retrieval per day. This dictates that where the grid box overlies both
264 land and water, its surface index could vary through time, depending on the population of L2 retrievals from
265 which it is created. The make-up of this population can ~~also~~ vary from day-to-day due to factors such as cloud
266 cover, and screening for data quality issues: on day n the population could be predominantly L2 retrievals
267 over land (resulting in a surface index of “land” for the L3 retrieval), on day $n+1$ it could be predominantly
268 L2 retrievals over water (L3 surface index = “water”), and on day $n+2$ it could be an even mix of the two
269 (L3 surface index = “mixed”). Given that the averaging together of retrievals with significantly different
270 sensitivity profiles – as could be the case when averaging retrievals over land and water – serves to dilute the
271 information coming from the MOPITT observed radiances with information coming from the a priori and is
272 therefore discouraged (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015; Deeter et al.,
273 2007); and that MOPITT data users are advised to exclude retrievals over water from analyses owing to the
274 known reduced sensitivity, this introduces two potential problems for L3 data taken from coastal grid boxes:
275 firstly, discarding all L3 retrievals with the surface index of water will result in a loss of temporal coverage;
276 secondly, L3 retrievals with a surface index of mixed feature some contribution from L2 retrievals over water.
277 The consequences of both these problems are explored in this paper.

278

¹ available here: <https://www2.acom.ucar.edu/mopitt/mopitt-level3-ver6>
https://eosweb.larc.nasa.gov/sites/default/files/project/mopitt/quality_summaries/mopitt_level3_ver6.pdf

279

280 2.3. Coastal grid box classification for this study

281

282 Since the focus of this paper is on “coastal” L3 grid boxes, it is first necessary to isolate these from the
283 remaining “land-only” or “water-only” L3 grid boxes in the MOPITT data set. The initial step is to identify
284 all grid boxes that have a surface index of “mixed” at least once during the study period. This indicates that
285 the ground area within those grid boxes was both land and water – a characteristic that can safely be assumed
286 true for coastal grid boxes. However, analysis of the global distribution of L3 grid boxes featuring a surface
287 index of mixed revealed that, in addition to actual coastlines, a large proportion of inland grid boxes that are
288 clearly not coastal (~~“false coastal”~~) are given the surface index of mixed at least ~~some of the time~~ once during
289 the study period (“inland_mixed”; Fig. 2a). The reason for this is unclear, but it could be for real physical
290 reasons, such as land grid boxes sporadically flooding, or due to issues in the retrieval schemes caused by
291 e.g. cloud screening problems or the presence of surface ice cover. One characteristic of these ~~false~~
292 ~~coastal~~ inland_mixed grid boxes is that, compared to the total number of days with L3, the relative frequency
293 with which they are flagged as land is very high (expressed as the ratio “n_days(L3O_L/L3O)”), plotted in
294 Fig. 2b; a list of short names and abbreviations referred to in the text can be found in Appendix A for
295 reference). This relative frequency is much lower for “true” coastal grid boxes, to be expected given prior
296 knowledge of 1) the fact that these grid boxes span both land and water surface types; and 2) how the surface
297 index is determined for L3 data (as outlined in Sect. 2.2). Following iterative threshold testing, L3 coastal
298 grid boxes are classified as grid boxes that:

299

- 300 1. Have at least one classification of “mixed” during the study period
- 301 2. Have an n_days(L3O_L/L3O) ratio < 0.5.

302

303 The distribution of coastal grid boxes identified using these criteria is shown in Fig. 2c. Most ~~false~~
304 ~~coastal~~ inland_mixed grid boxes are removed from the classification, although some still pass these criteria
305 and are therefore erroneously classified as coastal ~~there are still some erroneous classifications evident~~, mostly
306 in the north of Canada and Russia. However, placing a more restrictive threshold on the n_days(L3O_L/L3O)
307 ratio to remove these areas has diminishing returns since it – results in the rejection of more true coastal grid

308 boxes. These criteria therefore strike a balance between minimising false and maximising true [coastal](#)
309 classifications.

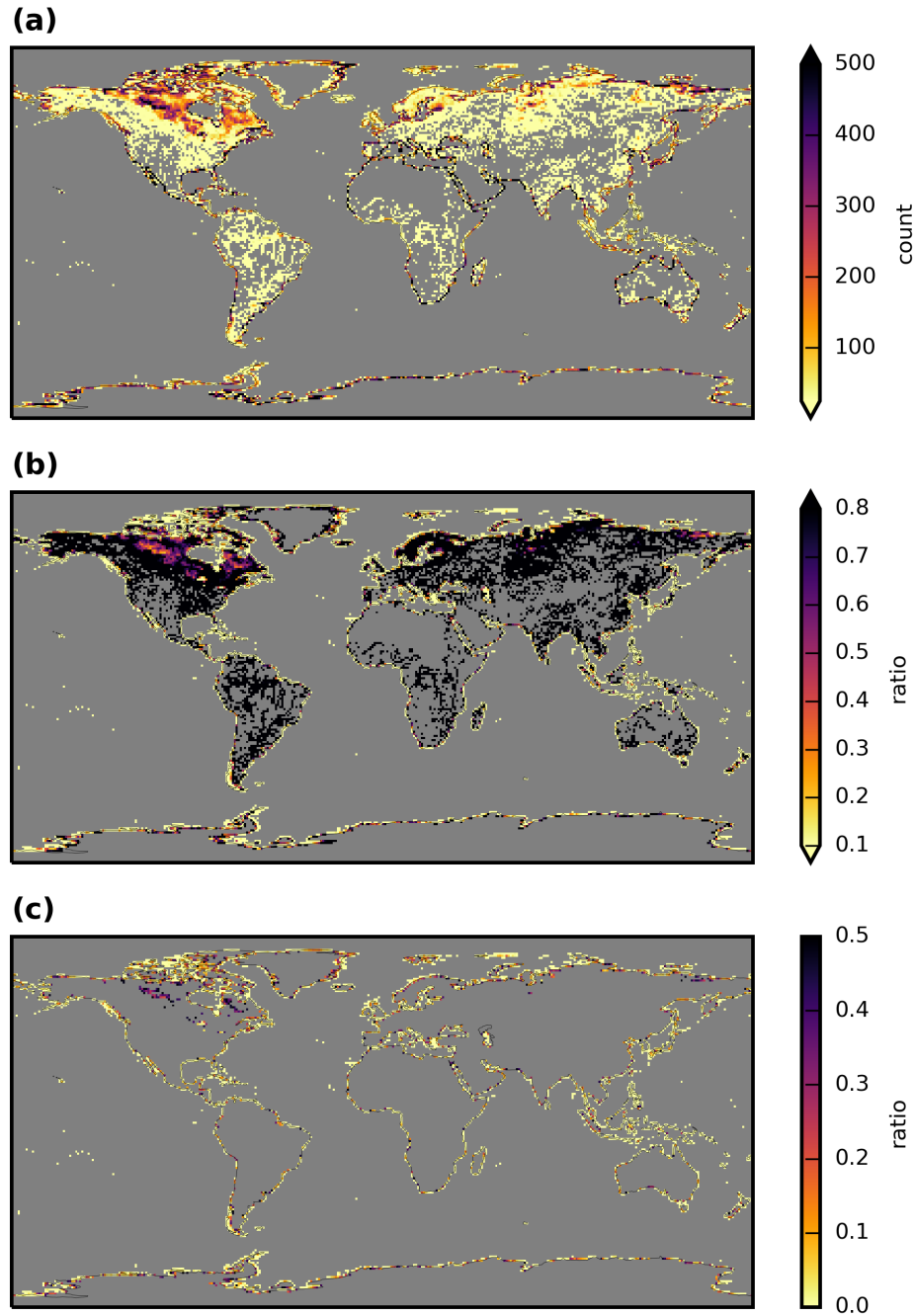


Figure 2. Maps showing the stages of derivation of the coastal L3 grid box mask applied in this paper to MOPITT data. **(a)** Frequency with which L3 grid boxes are given the surface index of “mixed”, calculated from daily data between 2001-08-25 and 2019-02-28. **(b)** Frequency with which L3 grid boxes that have a surface index of “mixed” at least once in panel a have the surface index of “land”, compared to the total number of days with which L3 data are available for that grid box (expressed as $n_days(L3O_L/L3O)$). **(c)** As b, but with a threshold of $n_days(L3O_L/L3O) < 0.5$ applied. This is the coastal L3 grid box mask used in this paper.

310 Applying these criteria to the MOPITT L3 data yields 4299 coastal grid boxes, from a total of 64800
311 L3 grid boxes (6.6 %). This mask is applied to all data, and only those L3 grid boxes that remain are classified
312 as coastal. Only data for these coastal grid boxes are analysed in this study (with the exception of global L3
313 maps analysed in Sect. 3.1.1).

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316 **2.4. MOPITT datasets analysed, and data processing method for creating land- and water-only L3** 317 **products (“L3L” and “L3W”)**

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319 All available MOPITT V8 Level 2 (L2) and Level 3 (L3) daily TIR-NIR files (“MOP02J” and “MOP03J”
320 files, respectively) were downloaded from the NASA Earthdata portal (<https://search.earthdata.nasa.gov>).
321 Although the data record begins in March 2000, analysis is restricted to the period from 2001-08-25 to 2019-
322 02-28. Data prior to 2001-08-25 are discarded due to an instrumental reconfiguration in 2001 creating an
323 inconsistency in the data record (Drummond et al., 2010). Data post 2019-02-28 are flagged as “beta” at the
324 time of writing, their use in scientific analysis (especially for examining long-term records of CO) being
325 discouraged until final processing and calibration occurs (MOPITT Algorithm Development Team, 2018).
326 For clarity, the original, “as-downloaded” L3 time series is referred to as “L3O” for the remainder of this
327 paper. Only retrievals that were performed during daytime hours are retained (daytime and nighttime
328 retrievals are stored as separate fields in MOP03J files). For this analysis, separate subsets of L3O are created
329 according to surface index: L3O land-only (“L3O_L”), L3O water-only (“L3O_W”), L3O mixed (“L3O_M”), L3O
330 land-or-mixed (“L3O_{LM}”). When the L3O dataset is analysed with no filtering by surface index applied, it is
331 referred to as “L3O_{NF}”. [A list of dataset short names used in this article, and their full descriptive name, is](#)
332 [given in Table 1.](#)

333 The land- and water-only L3 products are created from daily L2 data. The first step of L2 data
334 processing required is to filter the retrievals as is done for the processing of L3O. This involves:

335

- 336 • Discarding all observations for Pixel 3 (this corresponds to one of MOPITT’s four detectors);
- 337 • Discarding all observations where both (1) the channel 5A signal-to-noise-ratio (“SNR”) < 1000 and
338 (2) the channel 6A SNR < 400 (5A and 6A correspond to the average radiances for MOPITT’s length-
339 modulated cell TIR and NIR channels, respectively)

340

341 This filtering takes place because observations from specific elements on MOPITT’s detector array were
342 found to exhibit greater retrieval noise than the other elements, and their inclusion therefore lowered overall

343 L3 information content (MOPITT Algorithm Development Team, 2018). Only daytime L2 retrievals are
344 retained, using a solar zenith angle filter of $< 80^\circ$.

345 From the remaining set of filtered L2 retrievals, separate area averages are taken for those with a
346 surface index of land and water, for every $1^\circ \times 1^\circ$ L3 grid box. This effectively creates two new L3 “land-
347 only” and “water-only” products, which are referred to herein as “L3L” and “L3W”. For clarity of analysis,
348 remaining L2 retrievals with a surface index of mixed are discarded. These make up a very small proportion
349 of the overall L2 retrievals (e.g. $< 5\%$ for the grid box containing Halifax, analysed in Ashpole and Wiacek,
350 2020). Both L3L and L3W are publicly available for download (Ashpole and Wiacek, 2022). Note that, as
351 with the creation of L3O, L2 VMR profiles for each L3 grid box are first converted to $\log(\text{VMR})$ profiles
352 before averaging, and the mean $\log(\text{VMR})$ profile is then converted back to a VMR profile to give the final
353 L3L and L3W retrievals. Additionally, the number of L2 retrievals that are used for calculating the area
354 averages when creating L3L and L3W (“ n_{ret_L} ” and “ n_{ret_W} ”, respectively) is recorded. The ratio
355 $n_{\text{ret}_L}/n_{\text{ret}_W}$ (herein referred to as “ratio(land/water)” for simplicity) is used to indicate the proportion of
356 the L3 grid box that is covered by land vs water: a ratio of 1 indicates an even split of these surface types in
357 the grid box; a ratio < 1 indicates that a greater proportion of its surface is water covered; and a ratio > 1
358 indicates that the grid box is land-dominated.

359 From the L3O, L3L, and L3W datasets, only grid boxes that are classified as “coastal” using the
360 coastal grid box masked outlined in Sect. 2.3 are analysed ([See Table 1 for a list of dataset short names used
361 in this article, and their full descriptive name](#)).

362 Note that the analysis presented in this paper is restricted to daily products. Monthly L3 files are
363 available, however the absence of a monthly L2 product precludes the analysis from being conducted on
364 those data. Based on the results of the analysis of daily data, however, there is reason to also advise caution
365 if working with coastal grid boxes in the monthly L3 product. This is because the data for those grid boxes
366 will still be created from daily L2 retrievals over land and water, with the same implications that are discussed
367 in this paper.

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Table 1. List of dataset short names used in the main article text, and their corresponding full descriptive name.

Dataset short name	Full descriptive dataset name
L3O	Original, “as downloaded” Level 3 (L3) dataset
L3O _L	Subset of L3O only containing L3 retrievals with a surface index of land
L3O _M	Subset of L3O only containing L3 retrievals with a surface index of mixed
L3O _{LM}	Subset of L3O only containing L3 retrievals with a surface index of land OR mixed
L3O _W	Subset of L3O only containing L3 retrievals with a surface index of water
L3O _{NF}	The L3O dataset with no filtering by surface index (L3O _{NF} is identical to L3O)
L3L	A new L3 “land-only” dataset, created only from Level 2 retrievals performed over land (creation method outlined in Sect. 2.4)
L3W	A new L3 “water-only” dataset, created only from Level 2 retrievals performed over water (creation method outlined in Sect. 2.4)

379 2.5. Time series preparation, statistical methods, and additional data sources

381 For every coastal L3 grid box, two separate time series from each of the L3O, L3L, and L3W datasets are
382 analysed:

384 1. The time series analysed in Sect. 3.1 and 3.2 only contain days where L3L and L3W are both present
385 and the L3O surface index is mixed (“L3O_M”). This is to ensure that the true CO profiles are as similar
386 as possible when directly comparing L3L and L3W for a given coastal grid box. Furthermore, it
387 allows for the analysis of the resulting L3O_M data on these days with knowledge of the parent L2
388 retrievals over land and water and their differences.

390 2. In Sect. 3.3 and 3.4 the full time series from each dataset is analysed with no temporal filtering
391 applied.

393 Descriptive statistics are calculated from both time series across the whole study time period, and also
394 for individual years (full years only – 2002 to 2018 inclusive) in order to perform the regression analysis
395 outlined below.

396 To identify and compare temporal trends for each coastal grid box in the datasets outlined above,
397 weighted least squares (WLS) regression analyses is performed on yearly mean values, weighted by the
398 inverse of the standard deviation of the measurements used in the yearly mean (i.e. $1/\sigma$). For years that contain
399 just a single retrieval, the weighting is set to $1/100000$ to de-weight them in the fit. If there are more than 2
400 years in a time series for a given grid box that have no data, the regression analysis is not performed. WLS
401 is preferred over OLS because it is less sensitive to outliers. For simplicity, no other trend detection methods
402 – e.g. the Thiel-Sen slope estimator – are applied to corroborate the trends that are detected with WLS, nor
403 do we analyse additional datasets to verify them. Such extra steps would be necessary if the actual trend
404 values were the focus of this study; however, the aim of this trend analysis is instead to identify whether the
405 same method can yield different results depending on which of L3O, L3L or L3W is analysed. Trend
406 verification is beyond the scope of this study.

407 To determine whether two trends identified are significantly different, their difference is evaluated
408 using the Z test as follows:

409

$$410 \quad Z = \frac{Trend_1 - Trend_2}{\sqrt{SE_1^2 + SE_2^2}}$$

411

412 where SE_1 and SE_2 correspond to the standard errors of $Trend_1$ and $Trend_2$ respectively, and Z is the test
413 statistic. Where Z is greater (less) than 1.645 (-1.645) the trend difference is statistically significant to at least
414 90 % (i.e. $p < 0.1$). In addition, two trends are classified as being significantly different if $Trend_1$ is
415 significantly different to zero ($p < 0.1$) but $Trend_2$ is not ($p > 0.1$), and vice-versa (i.e. the conclusion would
416 be that $Trend_1$ is not zero, but $Trend_2$ may be).

417 A list of the top 100 largest agglomerations by population in the world is obtained from
418 <http://www.citypopulation.de/> (valid at time of writing). 33 of these are situated in a coastal [L3](#) grid box,
419 according to the classification in Sect. 2.3. Time series of L3L, L3W, and L3O are extracted from each of
420 these grid boxes for the analysis in Sect. 3.4.

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423 **3. Results and Discussion**

424

425 **3.1. Land-water contrast in MOPITT sensitivity**

426

This section demonstrates the land-water sensitivity contrast in MOPITT retrievals on a global scale ~~at levels throughout the vertical profile~~, and examines the magnitude of the difference within coastal L3 grid boxes. The analysis is presented for levels throughout the vertical profile in addition to the surface level, to give context as to how MOPITT retrieval sensitivity, and its land-water contrast, varies with height.

3.1.1. Global context

Figure 3 shows long-term mean maps for the retrieval sensitivity metrics AK diagonal value, AK rowsum, and retrieved minus a priori VMR (“VMR ret-apr”) at selected profile levels, created from L3O data averaged across the entire study period (September 2001 – February 2019, inclusive). All indicators show that retrieval sensitivity is greater over land than water at the surface ~~in the lower troposphere (“LT”; represented by the surface, 900 hPa and 800 hPa profile levels)~~, with sharp differences evident at almost all land-water boundaries. The same is true at the 900 hPa and 800 hPa profile levels, although the land-water ~~The sensitivity contrast clearly decreases in strength with height on average, and by 600 hPa. By mid-tropospheric levels (“MT”; represented by 600 hPa profile level), AK diagonal values and rowsums reach~~ retrieval sensitivity tends to be a little greater ~~values on average~~ over water than land. Some strong land-water gradients remain present in VMR ret-apr fields at this level, most notably over North Africa, the Arabian peninsula, and southeast China, but on average these values are much more similar in magnitude across land and water than ~~in the LT they were closer to the surface~~. No clear land-water contrast is evident at 300 hPa ~~(in the upper troposphere (“UT”; represented by the 300 hPa profile level~~ which represents the upper troposphere), with retrieval sensitivity instead varying more with latitude, decreasing towards both poles (a companion to Fig. 3 with an altered colour bar to better show spatial patterns in AK diagonal values and rowsums at ~~MT and UT~~ the higher profile levels considered here is provided in the Supp. Mat. (SM2)).

AK diagonal values and rowsums clearly show that retrieval sensitivity increases across both land and water with height. It is generally lowest at the surface level, with little information content in the retrieval over water (mean AK diagonal values and rowsums over water are less than half what they are over land, ~~on average~~). However, ~~There is high spatial variability over land, with~~ AK diagonal values and rowsums reach values comparable to those at higher profile levels in some clear sensitivity hotspots (e.g. parts of central Europe, east Asia, eastern USA and tropical west Africa), ~~while being more~~ but also some areas where AK values are more comparable to ~~values those~~ over water ~~in other areas~~. The rate of sensitivity increase with height is greater over water than land, with AK values more than doubling over water between the surface

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and 800 hPa. By 800 hPa, AK diagonals and rowsums over water reach values comparable to or greater than those reached over land at the surface level, in most places.

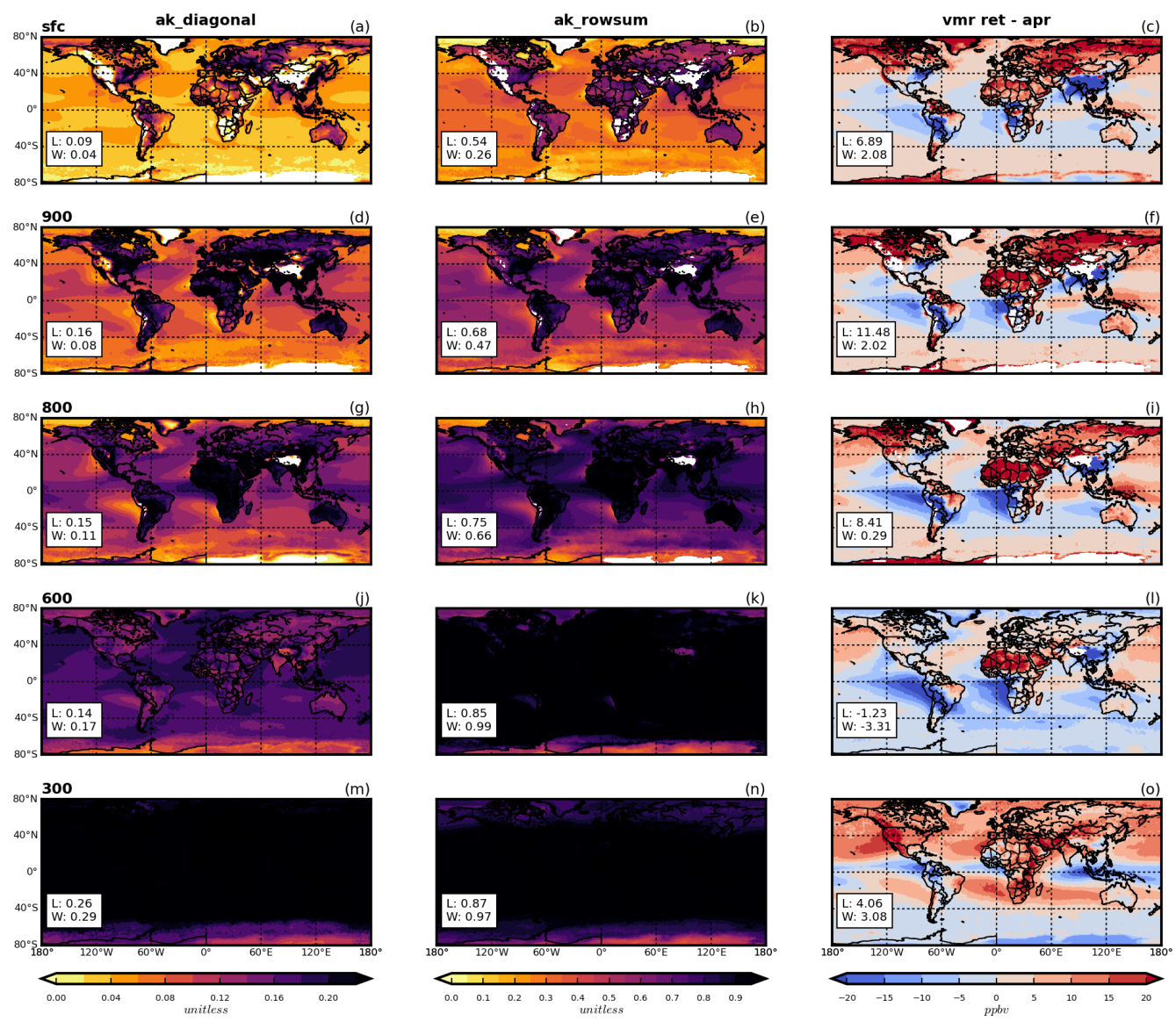


Figure 3. Mean sensitivity metrics from MOPITT L3 data, averaged across the entire study period (September 2001 – February 2019, inclusive). Shown are AK diagonal values (left column), AK rowsums (center column) and VMR retrieved minus a priori values (right column) for the following levels of the retrieved profile: surface (top row), 900 hPa (second row), 800 hPa (third row), 600 hPa (fourth row), and 300 hPa (bottom row). Values in white boxes correspond to mean values across all land (“L”) and water (“W”) L3 grid boxes.

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Spatial patterns in retrieved minus a priori VMRs are slightly more complex to interpret, because they are influenced both by retrieval sensitivity and the accuracy of the a priori. For example, while VMR ret-apr

464 values close to zero can indicate a retrieval that is heavily weighted by the a priori and therefore low retrieval
465 sensitivity, they can also indicate that the true VMR is close to the a priori value. Despite this, retrieved minus
466 a priori VMR values clearly reach more strongly positive or negative values over land than water ~~in the LT~~
467 at the surface, with the contrast becoming less pronounced with height. Furthermore, there are clear land-water
468 changepoints, ~~in the LT. This~~ further demonstrating the impact of the land-water contrast in retrieval
469 sensitivity.

470 An analysis of latitudinal and seasonal variability in the land-water surface level retrieval sensitivity
471 contrast is provided in the Supp. Mat. (SM3). Briefly, this shows a tendency for greater land-water retrieval
472 sensitivity differences in the Northern Hemisphere than Southern Hemisphere when averaged across the year.
473 The land-water AK rowsum differences tend to vary least by season in the tropical regions (between 30°
474 South and 30° North) and show the greatest contrast in the midlatitudes (30° – 60°) in the respective
475 hemisphere's spring and summer months, with smallest differences in the winter months. Overall, a land-
476 water sensitivity contrast is evident irrespective of latitude or season.

479 3.1.2. Analysis of coastal L3 grid boxes

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481 Scatterplots of the sensitivity metrics discussed above~~at selected profile levels~~, for coastal L3 grid boxes
482 only, are shown in Fig. 4. Specifically, these plots show the sensitivity of the ~~L2-L2~~ retrievals over land and
483 water ~~retrievals~~ that are bounded by the 1° x 1° L3 grid boxes and used to create the L3O data ~~– represented~~
484 here by L3L and L3W. As noted in Sect. 2.5, the time series analysed in this section only contain days where
485 L3L and L3W are both present and the L3O surface index is mixed (“L3O_M”), for a given coastal grid box.
486 This is to ensure that the true CO profiles are as similar as possible when directly comparing L3L and L3W
487 for that grid box. The values that are plotted correspond to the long-term mean from these ~~these~~ L3L and L3W
488 ~~datasets timeseries for these grid boxes.~~

489 The AK diagonal value and rowsum plots clearly demonstrate the greater sensitivity over land (L3L)
490 than over water (L3W) at the surface LT-levels (a point below the diagonal line on these panels indicates
491 greater values in L3L) for ~~the majority of~~ most grid boxes, with the difference decreasing ~~into the MT and~~
492 UT with height, as expected from the preceding analysis. Correspondingly, Retrieved VMRs also deviate
493 more greatly from their a priori values in L3L than L3W ~~in~~ closer to the surface~~the LT~~, with smaller land-
494 water differences ~~in the MT and UT~~ higher up in the retrieved profile. All mMean values are significantly
495 different ($p < 0.005$) apart from AK diagonal values at 300 hPa and retrieved minus a priori VMR at 300 hPa

496 (p = 0.13 and 0.07 respectively). Sensitivity metrics are generally better correlated over land and water higher
497 in the retrieved profile than at the surface ~~in the MT and UT than at LT levels.~~

498 This analysis clearly shows how L2 retrievals that are averaged together to create the L3O data over
499 coastal grid boxes have differing degrees of sensitivity, depending on the surface type that they were retrieved
500 over, especially at the surface and lower profile levels ~~in the LT~~. This is explicitly cautioned against in the
501 MOPITT data user's guide (MOPITT Algorithm Development Team, 2018). The remainder of this paper
502 focuses on the surface_-level of the retrieved profile, since ~~the LT~~ this is where land-water discrepancies are
503 greatest, and the cause of this sensitivity disparity is well established: differing thermal contrast conditions
504 near to the surface over land and water; and a lack of NIR radiances being used in the retrieval over water.
505 Furthermore, surface_-level retrievals are ~~is~~ of most interest for identifying potential air quality impacts for
506 humans (e.g. Buchholz et al., 2022).

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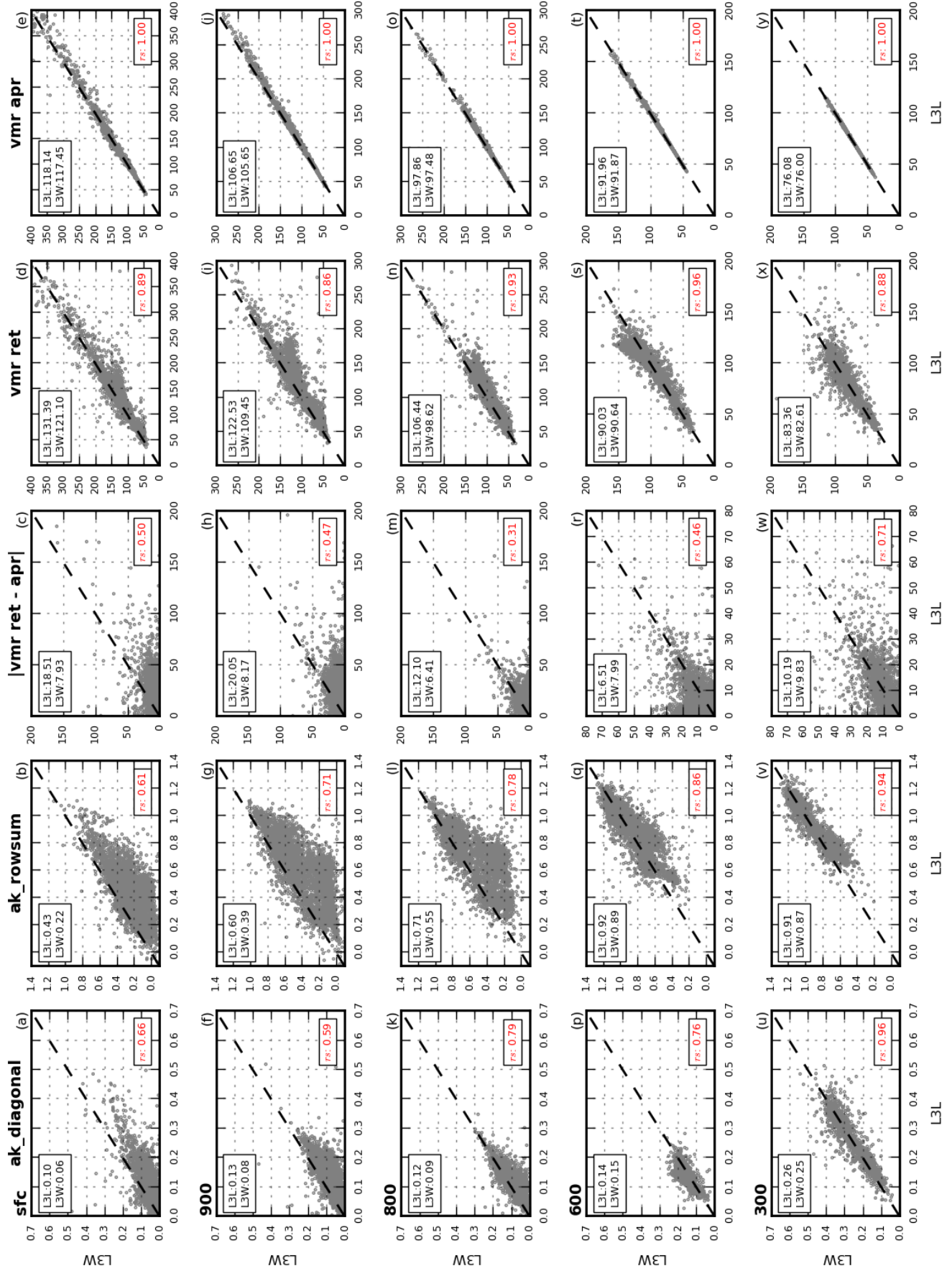


Figure 4. Mean sensitivity metrics and VMRs (retrieved and a priori) from coastal L3 grid boxes. Values compared in the scatterplots are mean values from matched L3L and L3W retrievals within these grid boxes. “Matched” means that only days when both L3L and L3W are present, and the L3O surface index is mixed, are used to create the mean values analysed. Shown are AK diagonal values (left column), AK rowsums (second column), absolute VMR retrieved minus a priori values¹ (third column), retrieved (fourth column) and a priori (fifth column) VMRs, for the following levels of the retrieved profile: surface (top row), 900 hPa (second row), 800 hPa (third row), 600 hPa (fourth row), and 300 hPa (bottom row). Values in boxes in the top-left corner of each panel correspond to mean values across all L3L and L3W grid boxes. These means are significantly different using a 2-tailed t-test (unequal variance) with $p < 0.005$ in all cases except `ak_diagonal` at 300 hPa where $p = 0.13$, `vmr_ret_minus_apr` at 300 hPa where $p = 0.07$, `vmr_ret` at 600hPa where $p = 0.30$, `vmr_ret` at 300hPa where $p = 0.11$. No `vmr_apr` mean differences are significant. Values in the bottom-right corner of each panel correspond to the Spearman’s rank correlation coefficient ($p < 0.005$ in all cases).

¹ Note that for ease of interpretation, the absolute retrieved minus a priori VMR values are plotted, i.e. ignoring whether the result is positive or negative. However, the results hold if using signed values, and a duplicate of Fig. 4 with signed retrieved minus a priori VMR values is included in the Supp. Mat. for reference (SM43).

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3.2. Differences in retrieved [surface level](#) VMRs and temporal trends, and their relation to the land-water sensitivity contrast

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[In this section, retrieved surface level VMRs and their temporal trends in L3L and L3W are compared, and their differences related to the established land-water sensitivity contrast. The effect that averaging together these retrievals has on the statistics and trends in resulting L3O “mixed” \(L3O_M\) data is then evaluated. As with Sect. 3.1.2, the time series analysed in this section only contain days where L3L and L3W are both present and the L3O surface index is mixed.](#)

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3.2.1. L3L vs L3W

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Retrieved VMR comparison between L3L and L3W

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In addition to the clear land-water ~~LT~~ sensitivity contrast in coastal grid boxes [at the surface](#), there are clear differences in the retrieved VMRs [here](#) (Fig. 4; Fig. 5a (black boxplots)). The retrievals performed over land yield surface-level VMRs that are over 10 ppbv greater than over water, on average. As with sensitivity, land-water differences in retrieved VMRs decrease higher up in the profile.

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Greater land-water sensitivity differences also tend to be associated with greater retrieved VMR differences. Figure 5b shows the distribution of retrieved surface level VMR differences (L3L – L3W) stratified by the corresponding surface level AK rowsum difference. Larger retrieved VMR differences are

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533 clearly associated with greater AK rowsum differences (some degree of spread in the results is expected,
534 since the relationship also depends on the accuracy of the a priori, as outlined previously).

535 ~~Of the 3971 coastal grid boxes that are compared,~~ 60 % of the coastal grid boxes compared (2379)
536 show a significant difference ($p < 0.1$, determined using a 2-tailed student's t-test) in mean VMRs in L3L
537 and L3W (Fig. 5a). Compared to grid boxes where the mean VMR difference is not significant, there are
538 several notable differences (detailed in Table 2+). As expected from the previous analysis, the land-water
539 sensitivity contrast is greater when mean VMRs are significantly different ("SIGDIFF_{L3L-L3W}") than when
540 not ("NOT_SIGDIFF_{L3L-L3W}"). This is evident in AK rowsum and VMR retrieved minus a priori differences
541 (the magnitude of difference between subsets is around 50 % and 100 %, respectively). Interestingly, the AK
542 difference is due to sensitivity being lower over water in SIGDIFF_{L3L-L3W} than in NOT_SIGDIFF_{L3L-L3W};
543 sensitivity over land is similar in both subsets. This may be explained as follows: when sensitivity over water
544 is especially low, as is the case in SIGDIFF_{L3L-L3W}, the retrieved VMR will be heavily weighted by the a
545 priori and unable to match the variation present in the more sensitive retrieval over land. As sensitivity over
546 water increases, this a priori weighting weakens and the retrieved VMR will more closely track the retrieval
547 over land, resulting in a less significant difference. Also of note, a priori VMRs are much lower in
548 SIGDIFF_{L3L-L3W} than in NOT_SIGDIFF_{L3L-L3W}, on average. Considered alongside the greater retrieved minus
549 a priori differences, this suggests that the a priori VMR could be a less accurate estimate of the "true" VMR
550 for the SIGDIFF_{L3L-L3W} subset, whereas it is closer to reality for the NOT_SIGDIFF_{L3L-L3W} subset. Intuitively,
551 this makes sense: for a hypothetical situation where the a priori VMR is a perfect match for the "true" VMR,
552 and both are uniform across a coastal L3 grid box, retrievals over the land and water portions of the grid box
553 would be expected to be identical irrespective of any differences in retrieval sensitivity over those surfaces.
554 To summarise: assuming "true" VMRs are similar over land and water within coastal L3 grid boxes,
555 differences in retrieved VMRs depend not only on the sensitivity of the retrieval, but also on the accuracy of
556 a priori VMRs used in the retrievals.

557 It should be noted that there are additional physical factors that could plausibly play a role in
558 generating the L3L – L3W retrieved VMR difference that is observed, in addition to retrieval sensitivity.
559 Given that most CO sources are land-based, a decrease in VMRs from land to water might be expected,
560 especially near to the surface~~in the LT~~. However, this assumption only seems reasonable where large CO
561 sources are proximal to the coastline, as it is unrealistic to expect gradients as large as we are observed in
562 background CO (which coastal grid boxes far from large CO sources are more likely to represent) across the
563 relatively small distance covered by a L3 grid box. Given the relatively long-lived, well-mixed nature of
564 atmospheric CO, VMRs retrieved at a given location are a function of both local emissions *and* transport,
565 and the portion of coastal L3 grid boxes situated over water therefore do not represent pristine conditions in

566 comparison to the adjacent land-based portion of the grid boxes. This is verified by comparing a priori VMRs
567 (also shown in Fig. ~~ure~~ 4), which suggest the land-water difference in CO concentrations should be negligible
568 (mean L3L – L3W a priori VMR difference = 0.69 ppbv, compared to a mean retrieved VMR difference of
569 10.29 ppbv). Indeed, in some specific cases – e.g. uninhabited coastal areas downwind of large trans-oceanic
570 pollution sources – VMRs may be higher over the water portion of coastal gridboxes than the adjacent land
571 portion (note that Fig. 4 does show that this is the case in some grid boxes). The above reasoning can also be
572 applied to the question of whether wind direction is responsible for creating the observed L3L – L3W
573 difference in retrieved VMRs: It could be hypothesised that a prevailing onshore wind may lead to CO
574 concentrations being higher over land than water, yet the negligible L3L – L3W a priori VMR difference,
575 the fact that atmospheric CO is well-mixed, and the clear land-water sensitivity gradient that has been
576 demonstrated suggest that wind direction does not play a big role in creating the land-water difference
577 observed in retrieved VMRs. To further rule out the role of wind direction, the L3L – L3W retrieved VMR

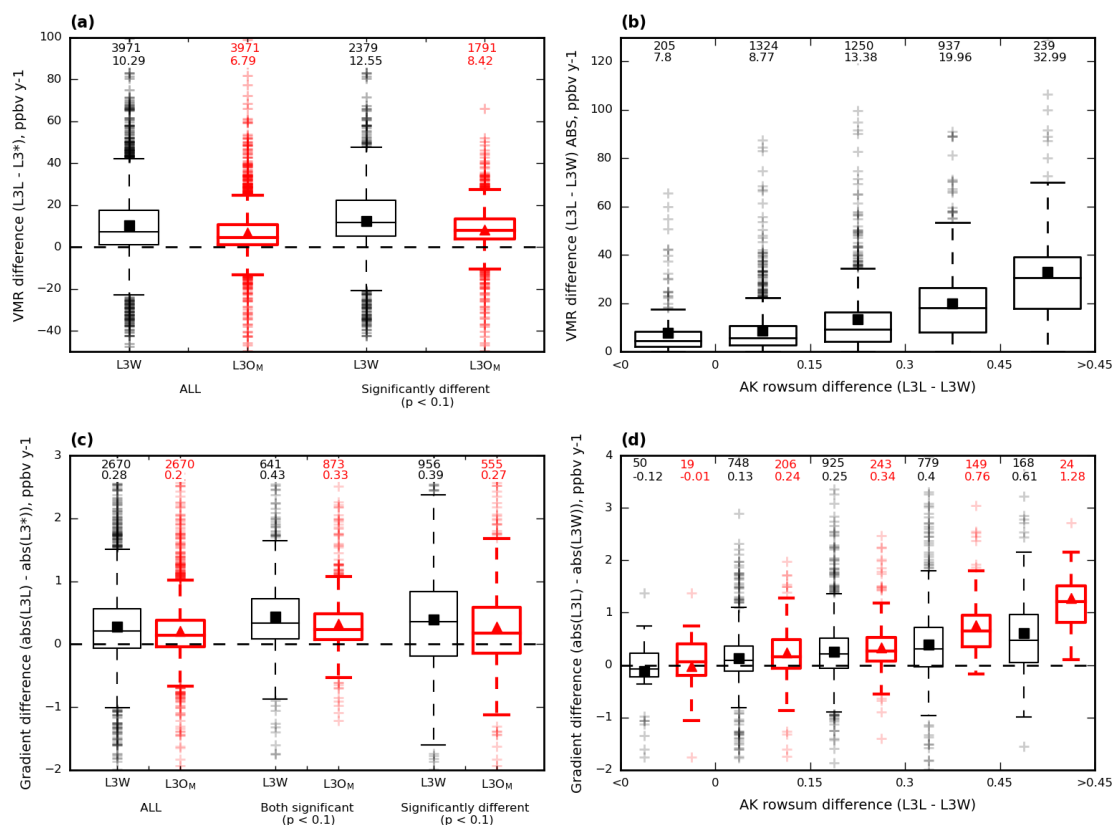


Figure 5. Boxplots showing how mean VMRs and trends from WLS analysis compare for coastal L3 grid boxes, calculated from matched retrievals within these grid boxes. “Matched” means that only days when both L3L and L3W are present and the L3O surface index is mixed are used to create the mean values analysed. Mean values are represented by filled squares/triangles, and values above the boxplots correspond to number of grid boxes with data for that boxplot, and the mean value, respectively. **(a)** Mean VMR differences for L3W (black, mean values represented by filled squares) and L3O_M (red, thicker lines, mean values represented by filled triangles) compared to L3L (L3L - L3* in both cases). Shown are the differences for all coastal grid boxes, and only for those grid boxes where the difference is significant ($p < 0.1$), determined using a 2-tailed t-test. **(b)** Absolute mean VMR differences¹ between L3L and L3W, stratified according to corresponding AK rowsum difference (L3L - L3W in both cases). **(c)** Absolute differences in gradients² detected using WLS regression analysis for L3W (black, mean values represented by filled squares) and L3O_M (red, thicker lines, mean values represented by filled triangles), compared to L3L (L3L - L3* in both cases). Shown are differences for all coastal grid boxes where WLS analysis could be performed, for grid boxes where both trends compared are significantly different to zero ($p < 0.1$), and for grid boxes where the trend difference is significant ($p < 0.1$). **(d)** Absolute differences in gradients² detected using WLS regression analysis between L3L and L3W, stratified according to corresponding AK rowsum difference (L3L - L3W in both cases). Shown are the differences for all coastal grid boxes where WLS could be performed (black, mean values represented by filled squares), and only for those grid boxes where the detected trend is significant ($p < 0.1$) in both L3L and L3W (red, thicker lines, mean values represented by filled triangles).

¹Absolute retrieved VMR difference values are shown in Fig. 5b for clarity, since L3L - L3W can be either positive or negative depending on whether a priori VMRs used in the retrieval are greater or less than the “true” VMR being retrieved, which complicates the analysis. The corresponding plot with raw values (i.e. not discarding the +/- sign) is included in the Supp. Mat. however, and the same conclusions can be drawn based on this figure (SM5.4).

²For clarity, differences between the absolute trend values (i.e. ignoring the +/- sign of the trend) are presented, since this shows the degree of difference in the trend magnitude, irrespective of trend direction. A positive trend difference in this case signifies a stronger (faster) trend in L3L than L3* (panel c) or L3W (panel d).

Table 21. Mean values for selected variables from L3L and L3W for coastal L3 grid boxes, matched retrievals only. “Matched” means that only days when both L3L and L3W are present and the L3O surface index are mixed are used to create the mean values analysed. Mean values are calculated and presented separately according to the results of a 2-tailed student’s t-test (unequal variance) performed on mean retrieved VMR values in L3L and L3W (n = 3971). Mean L3L – L3W differences are also shown for each subset (‘L-W’).

	P < 0.1 (“SIGDIFF _{L3L-L3W} ”) (n=2379, 60 %)			P > 0.1 (“NOT_SIGDIFF _{L3L-L3W} ”) (n=1592, 40 %)		
	L3L	L3W	L-W	L3L	L3W	L-W
Mean vmr_ret	129.97	117.41	12.55	133.52	126.60	6.90
Mean vmr apr	113.78	113.18	0.61	124.65	123.83	0.83
Mean ret-apr	16.18	4.24	11.94	8.87	2.77	6.09
Mean ak rowsum	0.43	0.18	0.24	0.44	0.27	0.16

579 comparison has been analysed alongside wind direction for several case study grid boxes, and there appears
580 to be no notable shift in wind direction whether L3L or L3W is greater for a given grid box. Results for this
581 analysis are given in the Supp. Mat. (SM65). The weight of evidence therefore points towards L3L – L3W
582 retrieved VMR differences being a function of reduced retrieval sensitivity over water compared to land.

583

584 *Trend comparison between L3L and L3W*

585

586 We now compare temporal trends detected in [surface level retrievals in](#) L3L and L3W for coastal grid boxes,
587 and relate differences to the land-water sensitivity contrast outlined previously.

588

589 On average, across all grid boxes where WLS can be performed in both datasets following the criteria
590 outlined in Sect. 2.5 (n = 2670), trends are stronger in L3L than L3W (Fig. 5c (black boxplots)), with the
591 range of differences around 2.5 ppbv y⁻¹ (~-1 ppbv y⁻¹ to 1.5 ppbv y⁻¹). When the comparison is restricted to
592 grid boxes where both trends are significantly different to zero (p < 0.1; 641 of the 2670 grid boxes, 24 %),
593 a greater proportion of those grid boxes have a stronger trend in L3L than L3W (> 75%), but the overall
range of differences doesn’t shift by much. The L3L – L3W trend difference is significant in 956 of the 2670

594 coastal grid boxes for which the analysis can be performed (36 %), with the range in differences spanning
595 around 4 ppbv y⁻¹. The trends are negative at 75 % of coastal grid boxes in both datasets, this value increasing
596 to 95% when the trend in both L3L and L3W is significant. Descriptive stats corresponding to the trends
597 values compared are detailed in Table 32).

598 To determine whether differences in trend can be linked to differences in retrieval sensitivity, L3L –
599 L3W trend are stratified by L3L – L3W surface level AK rowsum differences (Fig. 5d). As with mean VMR
600 differences, the size of the trend difference tends to increase as the difference in AK rowsums increases. In
601 addition, as the magnitude of AK rowsum difference increases in the positive direction (i.e. increasingly
602 greater sensitivity over land), a greater proportion of trend differences are positive (i.e. a stronger trend over
603 land). This pattern is even more pronounced when restricted to grid boxes where both trends are significant
604 (also shown in Fig. 5d).

605 In summary, these results show a general tendency for trend underestimation in surface level retrievals
606 over water compared to [surface level](#) retrievals over land in the same coastal grid boxes obtained at the same
607 times, which appears to be linked to differences in retrieval sensitivity. The relationships found in these
608 analyses are not perfect because trend differences are sensitive to several other factors, in addition to
609 differences in retrieval sensitivity. For example, a greater trend difference would be evident if the rate of
610 change in “true” CO concentrations is faster than if it is slow/negligible, for a given sensitivity difference.
611 Similarly, there should be zero trend difference if “true” CO concentration levels are stable over time,
612 irrespective of the magnitude of difference in retrieval sensitivity. The accuracy of the a priori is a further
613 complicating factor. An underlying assumption is also that the temporal trend in “true” VMRs should not
614 vary much across a 1° x 1° L3 grid box. Hedelius et al. (2021) lends credence to this assumption with the
615 finding that CO trends are similar within regions spanning a few thousand kilometres (L3 grid boxes are ~
616 100 km²), and that trends within urban areas are generally indistinguishable from the trend of the broader
617 region encompassing the urban area, ~~despite an expectation that urban trends should exceed the regional~~
618 ~~background due to a concentration of CO emission sources here.~~

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Table 32. Descriptive stats corresponding to the WLS trends detected in L3L, L3W, and L3O_M that are compared in the boxplots of Fig. 5c.

			Mean	Std	Median	IQR
All	L3L – L3W (n = 2670)	L3L	-0.55	1.27	-0.47	1.00
		L3W	-0.49	1.08	-0.34	0.65
	L3L – L3O _M (n = 2670)	L3L	-0.55	1.27	-0.47	1.00
		L3O _M	-0.51	1.03	-0.38	0.73
Both significant (p < 0.1)	L3L – L3W (n = 641)	L3L	-1.39	1.66	-1.15	1.08
		L3W	-1.06	1.56	-0.78	0.92
	L3L – L3O _M (n = 873)	L3L	-1.24	1.64	-1.06	1.07
		L3O _M	-1.02	1.38	-0.83	0.88
Significantly different (p < 0.1)	L3L – L3W (n = 956)	L3L	-0.64	1.39	-0.65	0.92
		L3W	-0.52	1.06	-0.43	0.67
	L3L – L3O _M (n = 555)	L3L	-0.69	1.36	-0.67	0.85
		L3O _M	-0.60	1.00	-0.51	0.68

627

628 3.2.2. Consequences for L3O data with a surface index of mixed (“L3O_M”)

629

630 To recap, L3O data are given the surface index “mixed” (“L3O_M”) when neither land nor water is the
631 dominant surface type of the bounded L2 retrievals, for a given retrieval time. When this is the case, the
632 retrievals over land and water are averaged together. Users of L3O data do not have the option of choosing
633 to only analyse the subset of retrievals made over land (L3L) or water (L3W), as was done in the preceding
634 analysis. To do so requires the original L2 retrievals. In this section, the L3O_M retrievals are compared to the
635 L3L retrievals that were analysed in the previous section. The aim here is to demonstrate how, for some L3
636 grid boxes, information on “true” VMRs and temporal trends that is available in the L2 retrievals over land
637 (L3L) is effectively lost to users of L3O data by their averaging together with the less sensitive L2 retrievals
638 over water (L3W).

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642

643 For long-term mean VMRs, L3O_M unsurprisingly represents a mid-point between L3L and L3W, with lower
 644 VMRs than L3L, but a smaller difference range overall than L3W (Fig. 5a, red boxplots). The L3L – L3O_M
 645 differences in long-term mean VMR are significant at 45 % (1791) of coastal grid boxes. All but 3 of these
 646 grid boxes also see a significant difference between long-term mean VMRs in L3L and L3W. This makes
 647 sense: retrievals in L3L would not be expected to differ significantly from those in L3O_M if they do not also
 648 differ significantly from L3W. In total, 75 % of grid boxes that feature a significant difference between L3L
 649 and L3W also see a corresponding significant difference between L3L and L3O_M. There are several notable
 650 differences between this subset of coastal grid boxes (“BOTH_{VMRs}”), compared to those that see a significant
 651 difference between L3L – L3W but not between L3L and L3O_M (“L3L_L3W_ONLY_{VMRs}”), detailed in Table
 652 [43a](#):

653

- 654 • The grid boxes of BOTH_{VMRs} see greater retrieved VMR differences between L3L and L3W than the
 655 grid box subset of L3L_L3W_ONLY_{VMRs} (mean L3L – L3W difference of 13.84 vs 8.67 ppbv). This
 656 is logical: L3O_M only differs significantly from L3L if the underlying L3L – L3W difference is
 657 sufficiently large to persist through averaging.
- 658 • The grid boxes of BOTH_{VMRs} also feature a greater land-water sensitivity contrast than those of
 659 L3L_L3W_ONLY_{VMRs}. This is indicated both by L3L – L3W AK rowsum differences, driven
 660 predominantly by decreased sensitivity over water in BOTH_{VMRs}; and by L3L – L3W retrieved minus
 661 a priori VMR differences.
- 662 • The grid boxes of BOTH_{VMRs} tend to have a greater proportion of their surface covered by water than
 663 land when compared to L3L_L3W_ONLY_{VMRs}. This is determined by analysis of ratio(land/water)
 664 values for each grid box (derivation of this metric is outlined in Sect. 2.4). A mean ratio(land/water)
 665 of 0.87 for BOTH_{VMRs} indicates a greater water influence on L3O_M than for the grid boxes of
 666 L3L_L3W_ONLY_{VMRs}, for which a mean ratio(land/water) of 1.00 indicates a more even land/water
 667 split. Thus, L3O_M more closely resembles L3W – which is significantly different to L3L – in
 668 BOTH_{VMRs} than in L3L_L3W_ONLY_{VMRs}.

669

670 It is easy to understand how each of these can lead to a L3O_M retrieval that differs significantly from the
 671 corresponding L3L retrieval. Interestingly, it is also notable that retrieved and a priori VMRs are lower in
 672 BOTH_{VMRs} than in L3L_L3W_ONLY_{VMRs}, and that retrieved minus a priori VMR values are greater in
 673 BOTH_{VMRs} than in L3L_L3W_ONLY_{VMRs}. This could imply that the a priori VMRs are closer to reality ([i.e.](#)

674 [the a priori CO amount is closer in value to the actual \(“true”\) CO amount that is being measured](#) for the
 675 grid boxes of L3L_L3W_ONLY_{VMRS} than those of BOTH_{VMRS}, however ~~further information on “true” VMRS~~
 676 ~~is required~~ to properly assess this [it would be necessary to know what the actual “true” VMR values are that](#)
 677 [are being measured](#).

678

Table 4.3(a): Descriptive stats corresponding to matched retrievals over land and water (L3L and L3W) where the long-term mean retrieved surface level VMR in L3L and L3W is significantly different ($p < 0.1$, $n = 2379$). Grid boxes are divided into two subsets depending on whether long-term mean VMRs in L3L and L3O_M are significantly different ($p < 0.1$; “BOTH_{VMRS}”) or not ($p > 0.1$; “L3L_L3W_ONLY_{VMRS}”). The metric “ratio(land/water)” indicates the relative land vs water surface coverage of a L3 grid box. A ratio(land/water) value > 1 (< 1) implies that more of the grid box surface is covered by land (water).

(b) [Descriptive stats corresponding to matched retrievals over land and water \(L3L and L3W\) where the temporal trend detected using WLS regression analysis on yearly-mean retrieved surface level VMR in L3L and L3W is significantly different \(\$p < 0.1\$, \$n = 956\$ \). Grid boxes are divided into two subsets depending on whether the trend in L3L is significantly different to the corresponding trend detected in L3O_M \(\$p < 0.1\$; “BOTH_{TRENDS}”\) or not \(\$p > 0.1\$; “L3L_L3W_ONLY_{TRENDS}”\). The metric “ratio\(land/water\)” indicates the relative land vs water surface coverage of a L3 grid box. A ratio\(land/water\) value \$> 1\$ \(\$< 1\$ \) implies that more of the grid box surface is covered by land \(water\).](#)

(a)	BOTH _{VMRS} (n = 1788, 75 %)			L3L_L3W_ONLY _{VMRS} (n = 591, 25 %)		
	Land	Water	L-W	Land	Water	L-W
Mean ratio(land/water)	0.87			1.00		
Mean vmr_ret	127.21	113.37	13.84	138.30	129.64	8.67
Mean vmr_apr	109.11	108.62	0.49	127.94	126.96	0.98
Mean ret-apr	18.11	4.75	13.36	10.36	2.68	7.68
Mean AK rowsum	0.42	0.16	0.26	0.46	0.26	0.20
(b)	BOTH _{TRENDS} (n = 447, 47 %)			L3L_L3W_ONLY _{TRENDS} (n = 509, 53 %)		
	Land	Water	L-W	Land	Water	L-W
Mean ratio(land/water)	0.77			0.99		
Mean WLS trend	-0.72	-0.58	-0.14	-0.58	-0.47	-0.11
Mean ABS WLS trend	1.18	0.76	0.42	1.04	0.68	0.35
Mean trend standard error	0.55	0.39	0.16	0.58	0.36	0.22
Mean vmr_ret	128.25	121.36	6.90	129.22	120.20	9.02
Mean vmr_apr	117.21	117.13	0.08	116.01	115.73	0.29
Mean ret-apr	11.05	4.22	6.82	13.21	4.47	8.74
Mean AK rowsum	0.46	0.22	0.25	0.44	0.20	0.24

680

681 Temporal trends detected in L3O_M are now compared to those in L3L (Fig. 5c, red boxplots). Overall, a
682 greater number of grid boxes feature a significant trend in both L3L and L3O_M than in L3L and L3W (873
683 vs 641; 33 % vs 24 %), and fewer see a significant difference between trends (555 vs 956; 21 % vs 36 %).
684 This is to be expected, given that the L2 retrievals contributing to L3L also contribute to L3O_M. The trends
685 in L3L and L3O_M are significantly different in just under half (47 %) of the grid boxes where the trend is also
686 significantly different between L3L and L3W (“BOTH_TRENDS”; Table 43b). These grid boxes are clearly more
687 water-dominated than the remaining 53 % of grid boxes where the trend difference between L3L and L3W
688 is significant (“L3L_L3W_ONLY”) but the L3L – L3O_M difference is not (“L3L_L3W_ONLY_TRENDS”). This
689 is indicated by a mean ratio(land/water) of 0.77 for BOTH_TRENDS vs 0.99 for L3L_L3W_ONLY_TRENDS.
690 Additionally, detected trends in the grid boxes of BOTH_TRENDS are slightly stronger, with a greater difference
691 between L3L and L3W, than for the L3L_L3W_ONLY_TRENDS subset. Those L3 grid boxes featuring the
692 strongest land-water trend difference are therefore most likely to also see a significant trend difference
693 between L3L and L3O_M. Again, this is logical. Unlike with the retrieved VMR comparison above, however,
694 there are no clear differences in mean retrieved or a priori VMRs, nor sensitivity metrics, between these two
695 grid box subsets (also detailed in Table 43b). However, it is not necessarily expected that there would be
696 clear differences in these parameters for this analysis, since trend magnitudes themselves are also a variable
697 (i.e. the trend in “true” CO varies across space, independent of retrieval sensitivity or CO concentration,
698 complicating the relationships outlined above).

699 Most of the grid boxes where the L3L and L3O_M trends are significantly different also feature a
700 significant difference between L3L and L3W (453 of 555; 82 %). There are no clear differences between
701 these and the remaining 18 % of grid boxes that, counter-intuitively, feature a significant difference between
702 trends in L3L and L3O_M but not between trends in L3L and L3W. However, small discrepancies are to be
703 expected for results based on statistical thresholds, especially where the variables being compared are subject
704 to multiple different factors (e.g. land-water surface cover ratio in L3O_M; land-water sensitivity contrast;
705 retrieved VMR differences; differences in the “true” CO concentration being retrieved and its change over
706 time).

~~**Table 3b.** Descriptive stats corresponding to matched retrievals over land and water (L3L and L3W) where the temporal trend detected using WLS regression analysis on yearly mean retrieved surface level VMR in L3L and L3W is significantly different ($p < 0.1$, $n = 956$). Grid boxes are divided into two subsets depending on whether the trend in L3L is significantly different to the corresponding trend detected in L3O_M ($p < 0.1$; “BOTH”) or not ($p > 0.1$; “L3L_L3W_ONLY”). The metric “ratio(land/water)” indicates the relative land vs water surface coverage of a L3 grid box. A ratio(land/water) value > 1 (< 1) implies that more of the grid box surface is covered by land (water).~~

	BOTH (n = 447, 47 %)			L3L_L3W_ONLY (n = 509, 53 %)		
Mean ratio(land/water)	0.77			0.99		
	Land	Water	L-W	Land	Water	L-W
Mean WLS trend	-0.72	-0.58	-0.14	-0.58	-0.47	-0.11
Mean ABS WLS trend	1.18	0.76	0.42	1.04	0.68	0.35
Mean trend standard error	0.55	0.39	0.16	0.58	0.36	0.22
Mean vmr_ret	128.25	121.36	6.90	129.22	120.20	9.02
Mean vmr_apr	117.21	117.13	0.08	116.01	115.73	0.29
Mean ret-apr	11.05	4.22	6.82	13.21	4.47	8.74
Mean AK rowsum	0.46	0.22	0.25	0.44	0.20	0.24

3.3. Implications for users of L3O data

So far, this paper has shown a clear difference in retrieval sensitivity over land and water for coastal grid boxes, demonstrated how long-term VMR statistics and temporal trends calculated using these retrievals (L3L and L3W) differ, and outlined consequences of averaging these retrievals together to create L3O_M. The full time series of available data in L3O is now compared with L3L and L3W, without the constraint that a retrieval needs to be present in both L3L and L3W for it to be included in the analysis. This replicates what a user of the L3O data would do, i.e., work with all available data.

Users of MOPITT data are advised to restrict their analysis to retrievals performed over land. This poses a quandary for users of L3O: what to do about days with a surface index of mixed? Therefore, the implications of choosing to include or discard these days are also considered. In the subsequent sections, the following subsets of the full L3O time series for each coastal grid box are analysed: the full L3O time series with no filtering by surface index (“L3O_{NF}”); only days with a surface index of land (“L3O_L”); and days where the surface index is land or mixed (“L3O_{LM}” – i.e., only days with a L3O surface index of water are discarded).

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725 3.3.1. Loss of available data

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727 The guideline to only analyse retrievals performed over land results in a huge loss of data for coastal grid
728 boxes when using the L3O dataset. ~~We~~ This is ~~quantified~~ by this by comparing the total number of days with
729 data for analysis at each coastal grid box in L3O_L (“n_days(L3O_L)”) and L3O_{NF} (“n_days(L3O_{NF})”) (Fig.
730 6a). Strikingly, 35 % of coastal grid boxes (total coastal grid boxes = 4299) have zero days in L3O_L, and 67
731 % have a surface classification of land less than 5 % of the time in L3O (yielding a n_days(L3O_L/L3O_{NF})
732 ratio of 0.05 or less in Fig. 6a). Importantly, retrievals over land are made on a large proportion of these
733 filtered days; but they are either discarded altogether or averaged together with retrievals made over water to
734 create L3O_M. This point is demonstrated by comparison to the total number of days with data for analysis at
735 coastal grid boxes in L3L (“n_days(L3L)”). In contrast to a mean (median) n_days(L3O_L/L3O_{NF}) ratio of
736 0.08 (0.01), a mean (median) n_days(L3L/L3O_{NF}) ratio of 0.44 (0.40) demonstrates the stark loss of available
737 data. This is further highlighted by the fact that over half (56%) of coastal grid boxes have at least 25 times
738 more days with retrievals made over land than are available for analysis in the L3O dataset if filtering
739 guidelines are followed (as shown by the ratio n_days(L3L/L3O_L) in Fig. 6b (black line)).

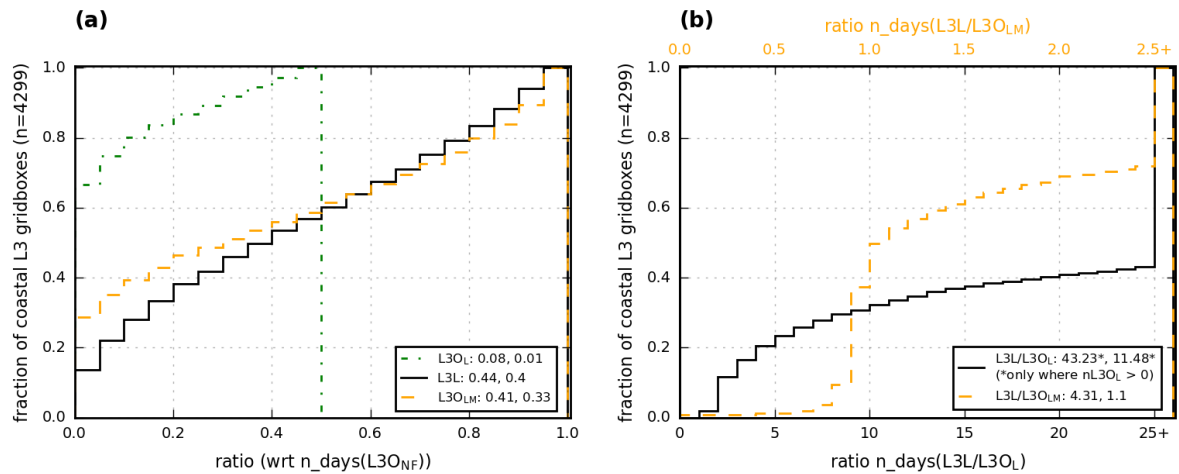


Figure 6. Cumulative frequency histograms comparing the number of days with data for different L3O subsets and L3L at coastal L3 grid boxes. A ratio < 1 (> 1) indicates the plotted dataset has less (more) days with data than the comparison dataset that is indicated on the x-axis. **(a)** L3OL (dash-dot green line), L3L (solid black line), and L3OLM (dashed orange line) are compared to the “as-downloaded” L3O dataset, without any filtering by surface index (“L3ONF”). Values in legend correspond to mean and median ratio for indicated dataset, respectively. Note, as a result of how coastal grid boxes are classified (outlined in Sect. 2.3), all $n_days(L3O/L3ONF)$ ratios are below 0.5 (i.e. at best, L3O has a surface classification of land on 50 % of days). **(b)** L3L is compared with L3OL (solid black line, bottom x-axis) and L3OLM (dashed orange line, top x-axis). Values in legend correspond to mean and median ratios, respectively.

741 The situation can be improved for L3O users by keeping days when the L3O surface index is classified
 742 as mixed, in addition to land (“L3OLM”). Even in this best-case scenario however, L3OLM sees less days with
 743 data than L3L for over 60% of coastal grid boxes (ratio $n_days(L3L/L3OLM)$ in Fig. 6b (orange line)).
 744 Moreover, the large proportion of these L3OLM days have the surface index of mixed and therefore suffer
 745 from the averaging together of retrievals over land with retrievals over water which, as has been shown, can
 746 significantly impact the results of analyses using these data. This point is returned to in following sections.

747 Intuitively, it is to be expected that the ratio $n_days(L3L/L3OLM)$ should *never* be < 1 . L2 retrievals
 748 over land obviously contribute to days when L3O is classified as land, and should, by definition, also
 749 contribute to days when L3O is classified as mixed. In these cases, L3L will therefore also be present.
 750 However, there are two instances where L2 retrievals over land in fact do not contribute to a L3O retrieval
 751 classified as mixed. Firstly, L2 retrievals themselves also have a [surface](#) classification of mixed, when the
 752 L2 retrieval does not predominantly overlie water or land. L3O can thus have a surface classification of mixed
 753 when created from bounded L2 retrievals that are either only retrieved over a mixed surface, or a combination

754 of mixed and water: in both cases, there are no L2 retrievals over land, and therefore no L3L. Secondly,
755 analyses performed for this paper identified numerous instances where L3O is classified as mixed, but the
756 only contributing L2 retrievals are retrievals over water. In these instances, L3O ~~would~~ therefore seems to be
757 misclassified. On days when this is the case, there will be no corresponding L3L retrieval. This is documented
758 further in the Supp. Mat. (SM76). Attempting to quantify the extent of this misclassification influence is
759 beyond the scope of this paper. In the vast majority of cases where a given grid box has a $n_days(L3L/L3O_{LM})$
760 ratio < 1 , the difference is negligible (i.e. 75 % of these grid boxes have a ratio between 0.9 and 1).
761 Irrespective, in terms of the number of days with retrievals available for analysis, L3L is an improvement
762 over $L3O_{LM}$ for more grid boxes than it is not.

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765 3.3.2. Scientific implications

766

767 Long-term mean (ltm) retrieved VMR values from the different L3O subsets are compared to L3L for all
768 coastal grid boxes. As expected from the analyses in Sect. 3.2, all L3O subsets that have some influence from
769 L2 retrievals over water have a ltm retrieved VMR that is below that in L3L, on average (Fig. 7a).
770 Unsurprisingly, the closest match to L3L is $L3O_L$ (mean difference -3.1 ppbv), with the mean difference
771 increasing for each L3O subset as the influence of retrievals over water increases (e.g. $L3O_{LM}$ differs less on
772 average from L3L (mean difference = 5.2 ppbv) than $L3O_{NF}$ (mean difference = 9.1 ppbv), which additionally
773 features days when L3O is solely created from L2 retrievals performed over water).

774 Note that ltm retrieved VMRs in $L3O_L$ and L3L are not a perfect match because $L3O_L$ is only a subset
775 of L3L for each grid box considered in the analysis: L3L may be present on a day when $L3O_L$ is not owing
776 to the way that the L3O data are created (i.e., classified based on the ratio of L2 retrievals over land and
777 water, with retrievals over land potentially being discarded if these are not the majority). Apart from $L3O_L$,
778 less than 25 % of the coastal grid boxes have a retrieved ltm VMR that is greater in an L3O subset than in
779 L3L. The range of ltm differences for each of these L3O subset comparisons to L3L exceeds 35 ppbv
780 (excluding outliers), with over 25 % of coastal grid boxes compared having ltm differences exceeding 9 ppbv
781 (as indicated by boxplot upper quartile values).

782 The percentage of coastal grid boxes that feature a significant difference between ltm retrieved VMRs
783 in L3L and each L3O subset (indicated in blue above each boxplot) is high: strikingly, it is found that, for
784 the two subsets that L3O users could realistically choose to analyse if following data filtering guidelines
785 ($L3O_L$ or $L3O_{LM}$), almost a quarter ($L3O_L$) or almost half ($L3O_{LM}$) of coastal grid boxes see a significant
786 difference to L3L.

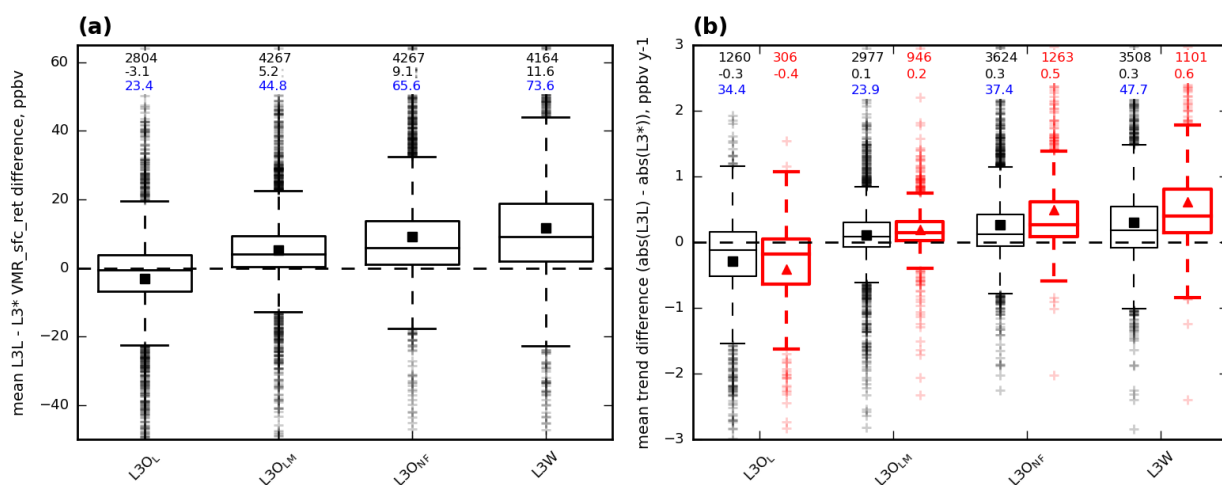


Figure 7. Boxplots showing how mean VMRs and trends compare from selected L3O subsets and L3W to L3L. Values compared are calculated from all available data across the study period. Mean values are represented by filled squares, and values above the boxplots correspond to number of grid boxes with data for that boxplot (black, top row), the mean value (black, second row), and the percentage of grid boxes represented in that boxplot that feature a significant difference with L3L (blue, third row), respectively. The comparison is calculated as $L3L - L3^*$ in both cases; therefore a point above (below) the black $y=0$ line indicates that the value being compared is greater (lower) in L3L. **(a)** Mean VMR differences between L3L and the indicated L3O subset or L3W. Note that the n value is different for each boxplot because not all L3 subsets are present at every coastal grid box, as shown in Sect. 3.3.1. **(b)** Differences in gradients (absolute values) detected using WLS regression analysis between L3L and the indicated L3O subset or L3W. Shown are the differences for all coastal grid boxes where WLS could be performed for both datasets compared (black, mean values represented by filled black squares), and only for the sample of those grid boxes where the detected trend is significant ($p < 0.1$) in both (red, thicker lines, mean values represented by filled triangles).

788 The results of WLS regression analysis on yearly mean values from each dataset are now compared.
 789 As expected from the earlier analysis, trends are strongest, on average, in L3L and L3O_L – this is especially
 790 so when the comparison is restricted only to trends that are significantly different from zero ($p < 0.1$) (Table
 791 54). These datasets also have the largest measures of spread, indicating their tendency to yield stronger trends
 792 than the other L3O subsets (and L3W), and these measures lessen for each L3O subset as the influence of
 793 retrievals over water increases. Concomitant with trends decreasing in strength as the influence of retrievals
 794 over water increases in each L3O subset, overall retrieval sensitivity also decreases, as indicated by the mean
 795 averaging kernel metrics shown in Table 54. Comparing the magnitude of trends at each coastal grid box,
 796 significant trends are stronger in L3L for at least 75% of grid boxes for all comparison datasets apart from
 797 L3O_L (Fig. 7b). L3O_L sees stronger trends than L3L on average, but the comparison of these two datasets
 798 needs to be interpreted with caution due to L3O_L being a subset of L3L that features far fewer days with data,

799 as discussed previously. Like with ltm retrieved VMRs discussed above, the percentage of coastal grid boxes
 800 that feature a significant difference between trends detected in L3L and each L3O subset is high, with over a
 801 third (almost a quarter) of the trends in L3O_L (L3O_{LM}) being significantly different to L3L.
 802
 803

Table 54. Descriptive stats corresponding to the WLS trends detected in L3L, L3W, and selected L3O subsets. Also shown are mean averaging kernel rowsums and diagonal values corresponding to the retrievals from which trends are calculated. std = standard deviation, IQR = interquartile range.

		L3L	L3O _L	L3O _{LM}	L3O _{NF}	L3W
Calculated from all gridboxes where WLS could be performed	Number of grid boxes	3624	1260	2999	4288	4169
	Mean (std) trend	-0.59 (1.22)	-0.52 (1.38)	-0.50 (0.95)	-0.54 (0.67)	-0.54 (0.66)
	Median (IQR) trend	-0.45 (0.89)	-0.46 (1.08)	-0.37 (0.67)	-0.42 (0.53)	-0.40 (0.54)
	Mean AK rowsum	0.45	0.45	0.33	0.28	0.22
	Mean AK diagonal value	0.10	0.10	0.08	0.07	0.06
Calculated only from gridboxes where WLS trend is significant (p < 0.1)	Number of grid boxes	1447	453	1265	2588	2499
	Mean (std) trend	-1.23 (1.55)	-1.17 (1.90)	-0.95 (1.18)	-0.79 (0.73)	-0.78 (0.72)
	Median (IQR) trend	-0.98 (0.94)	-1.09 (1.28)	-0.74 (0.75)	-0.62 (0.56)	-0.62 (0.57)
	Mean AK rowsum	0.51	0.48	0.39	0.33	0.29
	Mean AK diagonal value	0.11	0.10	0.08	0.07	0.06

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3.4. Illustrative examples comparing L3O and L3L: analysis of the most populous coastal cities

In this section, ~~we analyse~~ time series from the 33 L3 coastal grid boxes that contain cities classified amongst the 100 most populous in the world (derivation outlined in Sect. 2.5) are analysed to illustrate the differences between mean values and trends obtained from the L3O and L3L datasets. ~~We~~ The comparison is focussed on our comparison on L3O_L and L3O_{LM}, as these are the L3O subsets that data users would realistically choose to analyse if following the data filtering guidelines. For clarity, from here these grid boxes are referred to by the name of the city that they contain. A detailed case study for the L3 grid box containing the city of Dubai is first presented, before considering results for all cities analysed.

3.4.1. Detailed case study: L3 grid box containing Dubai

Summary stats derived from the L3O subsets, L3L, and L3W (included for comparison), for the L3 grid box containing the city of Dubai, are given in Table 65. Figure 8 visualises the daily retrieved VMR time series from L3L, with L3O_L overlaid for comparison purposes.

Of a possible 1620 days with data in the unfiltered L3O dataset for this grid box, a mere 70 days (4 %) remain for analysis when following data filtering guidelines to restricting analysis to retrievals performed over land only (the L3O_L subset). By contrast, there are 1523 days available for analysis using the L3L dataset for this grid box (94 % of total days with retrievals in the L3O dataset). However, in L3O, on most days these retrievals over land are averaged together with retrievals over water to create L3O_M, as evidenced by the L3O_{LM} subset containing 1486 days with data for this grid box (92 % of total days in the L3O dataset). That L3L has a greater number of days with data than the L3O_{LM} subset indicates that there are days in L3O with a surface index of water where L2 retrievals were present over land but were discarded because of the L3 creation process.

Long-term mean retrieved VMR is greatest in the land-only datasets L3O_L and L3L. The value in L3O_L is 10 ppbv greater than in L3L. Given that L3O_L is a very small subset of L3L, this appears to be a large overestimate, when compared to L3L. Long-term mean retrieved VMR in L3O_{LM} is 11 ppbv lower than in L3L. This is clearly a result of the inclusion of retrievals over water in this dataset, via L3O_M, with long-term mean retrieved VMR in L3W being 17 ppbv lower than L3L. Both the L3L vs L3O_{LM} and L3L vs L3W mean differences are significant ($p < 0.1$). Consistent with the results shown in Sect. 3.2.2 when identifying factors that determine whether the averaging of L2 retrievals over land and water to create L3O_M can yield statistically significantly different retrievals to L3L, this L3 grid box is water-dominated, with a mean ratio(land/water) of 0.60. It is also notable that the standard deviation of long-term mean retrieved VMR in L3L (and L3O_L) is roughly twice as large as that in L3O_{LM} and L3W, which is to be expected given that retrievals over water are more greatly tied to their a priori than retrievals over land due to their comparatively lower sensitivity (as discussed in Sect. 3.2.1).

The trends detected using WLS analysis following the method outlined in Section 2.5 are visualised in Figure 9 (note that trend values are also given in Table 65 in both ppbv y^{-1} and % y^{-1}), along with the yearly mean VMR values that were used in the regression. Detected trends are clearly strongest in the land-only datasets L3O_L and L3L, with the L3O_L trend being significantly stronger ($p < 0.1$) than the L3L trend – a difference of equating almost 1 % y^{-1} (2.01 ppbv y^{-1}). Again, given the far superior temporal coverage of L3L, this is the more reliable result. The trend in L3L is 0.65 % y^{-1} (1.28 ppbv y^{-1}) stronger than in L3O_{LM}, which corresponds to a difference of almost 12 % over the 18-year period of analysis. The trend in L3O_{LM} is

850 clearly weakened by inclusion of retrievals over water, with the trend in L3W being over 1 % y⁻¹ weaker than
 851 in L3L. Note that this trend analysis has been repeated using an alternative regression method which is less
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Table 65. Summary stats from L3O subsets compared, L3L, and L3W (for comparison), for the L3 grid box containing the city of Dubai. Note that across the whole study period (2001-09-01 to 2018-12-31), there are 5988 MOPITT files available. There are 1620 days with data in the L3O dataset (unfiltered by surface index), 27 % of the whole study period. The WLS trend in units of % y⁻¹ is calculated by dividing the trend in units of ppbv y⁻¹ by the respective long-term mean VMR value.

Dataset	n days with data (% of days in L3O (n = 1620))	Long-term mean VMR (± standard deviation) (ppbv)	WLS trend (± standard error) (ppbv y ⁻¹)	WLS trend (± standard error) (% y ⁻¹)
L3O _L	70 (4 %)	190 (± 56)	-4.91 (± 1.21)	-2.59 (± 0.64)
L3O _{LM}	1486 (92 %)	169 (± 25)	-1.62 (± 0.18)	-0.96 (± 0.10)
L3L	1523 (94 %)	180 (± 44)	-2.90 (± 0.26)	-1.61 (± 0.14)
L3W	1565 (97 %)	163 (± 18)	-0.90 (± 0.13)	-0.55 (± 0.08)

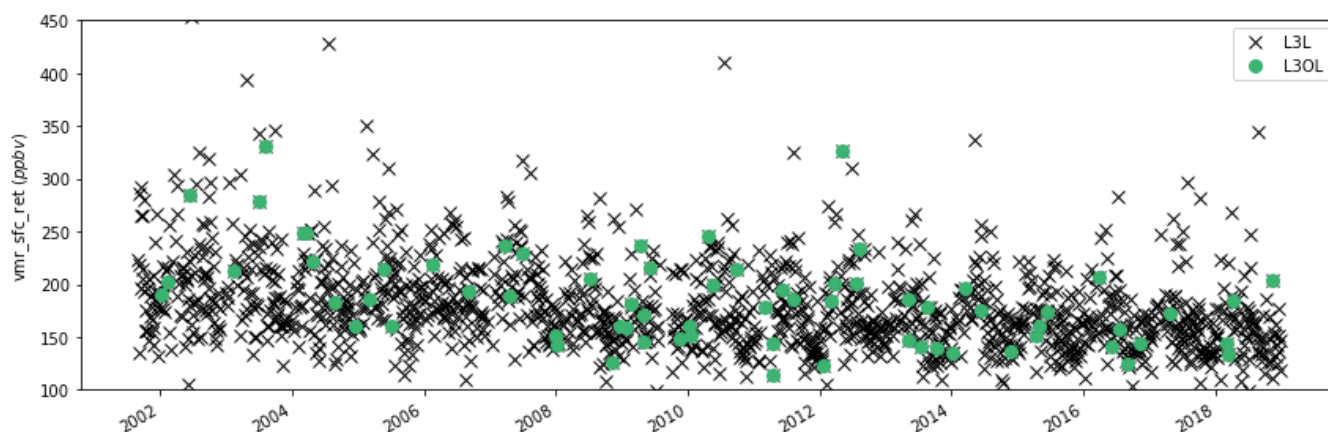


Figure 8. L3L (black crosses) and L3O_L (green circles) time series for the entire study period. Note that the size of plotted symbol required to visualise the whole time series artificially exaggerates the sense of temporal coverage; in reality, L3L is only present on 25 % of the days across the study period, and L3O_L just 1 %.

854 sensitive to outlying values (Theil-Sen slope estimator), and the results are unchanged. This is detailed further
 855 in the Supp. Mat. (SM87).

856 To summarise: If L3O users follow data filtering guidelines and restrict analysis to retrievals only
857 performed over land, there is a huge loss of data coverage in the L3O dataset for the coastal L3 grid box
858 containing the city of Dubai. Choosing to work with L3O_L despite this would lead to results that are clearly
859 erroneous, when compared to L3L, which has far greater temporal coverage (almost 22 times more days with
860 data than L3O_L). L3O users could make the decision to include days with a L3 surface classification of
§61 “mixed” ~~into~~in their analysis to increase temporal coverage (the L3O_{LM} dataset analysed here). However,
862 doing so would yield both lower retrieved VMRs, on average, and significantly weaker decreasing trends,
863 than L3L. This is demonstrably due to the incorporation of retrievals over water into L3O_{LM} (via L3O_M), as
864 shown by the comparison with L3W.

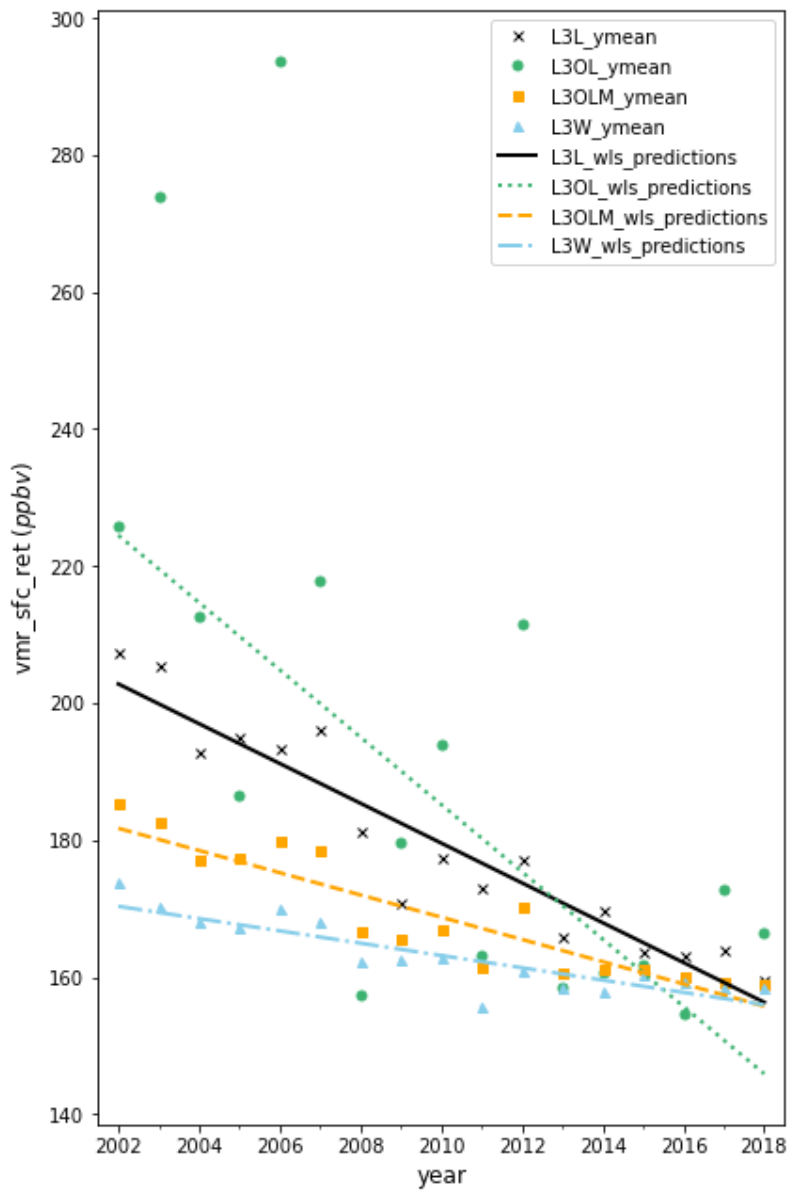


Figure 9. Yearly mean ("ymean" in legend) retrieved VMR in the different datasets being investigated, and the trendlines obtained from WLS regression analyses on each of these datasets ("wls_predictions" in legend). Black crosses and solid black lines correspond to L3L; green filled circles and dotted green lines correspond to L3OL; orange filled squares and dashed orange lines correspond to L3OLM; blue filled triangles and dash-dot blue lines correspond to L3W. Trend values for each dataset are also given in Table [65](#).

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867 3.4.2. Discussion of results for all cities analysed

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§70 The above analysis is repeated for [all 33 cities of interest](#). Number of days with data, long-term mean retrieved
§71 VMRs, and temporal trends are given in Table [76](#) for the L3 grid boxes containing these cities for each of
872 the L3O subsets considered, L3L, and L3W (for comparison). These metrics are evaluated in turn below.

874 *Temporal coverage*

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876 The loss of data in L3O if filtering for retrievals over land only (L3O_L) is clear: 6 of the cities cannot be
877 studied at all using L3O_L (number of days with data = 0), and of the remaining 27 cities with data in this L3O
878 subset, only a single city (Osaka) has more than 50 % of the days with data in L3L. The mean
879 $n_days(L3O_L/L3L)$ ratio for these 27 cities is 0.18 – i.e., on average, there are over 5 times more days with
880 data in L3L than are available in L3O when filtering for retrievals over land only.

881 L3O_{LM} compares more favourably to L3L in terms of number of days with data, due to the inclusion
882 of days when the L3O surface index is “mixed”, with a mean $n_days(L3O_{LM}/L3L)$ ratio of 0.85.
883 $n_days(L3O_{LM}) > n_days(L3L)$ for 11 of the 33 cities, although the difference is generally small. L3O_M is
884 the dominant component of L3O_{LM} in all cases here, being the classification on 84 % of days, on average,
885 across all 33 cities (max = 100 %, min = 45 %).

887 *VMR comparison*

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889 The consequence of the loss of data in L3O_L is clear: compared to L3L, mean VMR in L3O_L is higher, and
890 the magnitude of this difference generally depends upon how much data is lost in L3O_L. Mean VMR across
891 all cities (excluding the 6 cities where $n_days(L3O_L) = 0$) is 17 ppbv higher in L3O_L than in L3L. This falls
892 to 10 ppbv if restricted to cities where the $n_days(L3O_L/L3L)$ ratio is greater than 0.05 (n=17), and 7 ppbv if
§93 restricted to cities where the $n_days(L3O_{L_L}/L3L)$ ratio is above 0.2 (n=11). The mean VMR difference (L3L
894 – L3O_L) is significant ($p < 0.1$) for 11 of the 27 cities that can be compared; in these cases, L3O_L is a smaller
895 subset of L3L than for the cities where mean VMR difference is not significant ($n_days(L3O_L/L3L) = 0.15$
896 vs 0.22, respectively), and the mean VMR difference is unsurprisingly much greater (-36 vs -4 ppbv).

Table 76. Summary stats for the L3 grid boxes containing the 33 cities of interest from each of the L3O subsets considered, L3L, and L3W (for comparison). For each grid box and dataset, the following stats are shown: 1. ratio(land/water), which is an indicator of the relative land vs water surface coverage of a L3 grid box; 2. the number of days with data across the whole study period; 3. the mean retrieved VMR (\pm the standard deviation), in ppbv; and 4. the trend from WLS regression analysis (\pm the standard error), in ppbv y^{-1} . Dash symbols ('-') indicate that the stat cannot be calculated for a given grid box and dataset owing to lack of data. **Yellow shading** indicates that a dataset mean or trend value is significantly different to the value in L3L for that city ($p < 0.1$). **Grey shading** indicates that the trend value is not significantly different to zero ($p < 0.1$). **Diagonally striped yellow-grey shading** indicates that the trend value is not significantly different to zero AND that it is significantly different to the trend in L3L for that city.

¹ The modified mean, shown in the bottom row of the table, corresponds to the mean value that is calculated only for cities where there is a corresponding stat in the L3O_L dataset. For 1-3, this corresponds only to cities where number of days with data L3O_L > 0 (n = 27). For 4, this corresponds only to cities where there are enough days with data for the regression analysis to be performed in L3O_L (n = 18). By contrast, the mean value, shown in the penultimate table row, simply represents the mean of all values in that column

city	1. ratio (land/water)	2. number of days with data				3. mean (\pm std) [ppbv]				4. trend (\pm standard error) [ppbv y ⁻¹]			
		L3L	L3O _L	L3O _{LM}	L3W	L3L	L3O _L	L3O _{LM}	L3W	L3L	L3O _L	L3O _{LM}	L3W
Tokyo	1.57	620	98	627	575	185 (43)	188 (38)	184 (36)	178 (34)	-1.7 (0.3)	-2.3 (0.5)	-1.7 (0.3)	-1.7 (0.3)
Shanghai	1.35	378	54	374	416	373 (130)	374 (112)	363 (111)	338 (108)	-5.9 (1.4)	-7.0 (1.6)	-5.7 (1.4)	-3.4 (1.2)
Manila	0.05	127	0	86	811	150 (28)	-	151 (19)	145 (22)	-1.3 (0.5)	-	-1.2 (0.4)	-1.3 (0.2)
Mumbai	0.12	790	1	388	1356	227 (166)	291 (0)	218 (56)	184 (66)	-1.2 (0.9)	-	-0.6 (0.6)	-0.1 (0.3)
New York	0.07	216	0	178	919	296 (69)	-	315 (59)	332 (64)	-1.4 (1.1)	-	-2.3 (0.8)	-1.9 (0.5)
Lagos	0.13	116	4	92	660	337 (109)	312 (75)	305 (67)	232 (69)	1.2 (2.0)	-	0.5 (1.7)	0.2 (0.4)
Bangkok	0.52	445	33	415	755	314 (77)	346 (78)	308 (62)	261 (79)	-3.0 (0.6)	-8.6 (2.1)	-3.1 (0.7)	-2.0 (0.4)
Osaka	2.08	297	171	309	270	187 (48)	189 (39)	183 (39)	172 (34)	-2.5 (0.5)	-2.3 (0.5)	-2.3 (0.4)	-1.3 (0.4)
Karachi	1.83	1108	423	1117	884	139 (33)	130 (32)	136 (30)	131 (30)	-0.8 (0.2)	-0.6 (0.2)	-0.7 (0.2)	-0.5 (0.3)
Buenos Aires	3.05	864	241	863	719	94 (18)	95 (17)	94 (16)	95 (16)	-0.1 (0.1)	-0.5 (0.2)	-0.2 (0.1)	-0.1 (0.1)
Istanbul	0.11	322	2	436	998	152 (30)	185 (25)	154 (19)	157 (21)	-1.2 (0.4)	-	-0.4 (0.2)	-0.8 (0.2)
Chennai	0.08	331	0	95	1133	223 (56)	-	205 (25)	203 (28)	0.0 (0.8)	-	0.5 (0.5)	-0.9 (0.3)
Xiamen	0.08	215	1	97	854	263 (74)	402 (0)	258 (69)	232 (67)	-2.6 (0.9)	-	-4.1 (1.7)	-1.9 (0.4)
Taipei	0.01	36	0	5	758	192 (50)	-	210 (26)	183 (43)	-3.7 (1.0)	-	-	-1.5 (0.4)
Kuala Lumpur	0.95	142	60	143	200	233 (81)	239 (109)	234 (84)	238 (97)	-2.7 (1.3)	-3.4 (1.2)	-3.9 (1.0)	-5.1 (1.1)
Saigon	1.50	249	122	255	325	254 (65)	267 (62)	244 (60)	189 (51)	-1.4 (0.9)	-3.6 (1.3)	-2.3 (0.8)	-2.3 (0.8)
Luanda	0.67	173	54	175	341	260 (101)	312 (100)	268 (101)	213 (109)	-0.5 (2.1)	-2.6 (3.7)	0.5 (2.2)	-0.2 (1.0)
San Francisco	0.23	522	15	598	889	236 (92)	237 (67)	243 (53)	250 (60)	-1.1 (0.7)	-	-0.7 (0.5)	-1.0 (0.6)
Singapore	0.05	32	0	18	425	387 (248)	-	387 (117)	341 (133)	-	-	-	-4.3 (2.4)
Shantou	1.79	396	175	398	457	312 (96)	326 (104)	304 (91)	264 (80)	-5.4 (0.5)	-5.9 (1.4)	-5.7 (0.4)	-3.8 (0.7)
Hong Kong	0.14	228	3	164	704	336 (83)	432 (70)	312 (71)	260 (93)	-8.1 (0.9)	-	-5.1 (1.3)	-3.5 (0.5)
Toronto	2.85	401	186	416	274	238 (58)	232 (50)	239 (47)	254 (44)	-1.1 (0.8)	-0.3 (1.1)	-1.2 (0.7)	-2.0 (0.6)
Miami	0.35	411	32	357	1038	161 (32)	157 (26)	160 (25)	143 (25)	-1.5 (0.4)	-1.2 (1.2)	-1.3 (0.3)	-0.8 (0.2)
Surat	1.68	943	289	940	760	181 (44)	175 (43)	182 (43)	179 (54)	-0.4 (0.3)	-1.6 (0.7)	-0.4 (0.3)	-0.1 (0.3)
Dar Es Salaam	0.01	44	0	17	1040	103 (46)	-	86 (12)	86 (17)	-0.3 (0.7)	-	-	-0.2 (0.1)
Qingdao	2.35	587	186	589	566	372 (102)	365 (96)	370 (94)	383 (111)	-3.8 (1.5)	-2.0 (1.7)	-3.7 (1.4)	-4.2 (0.9)
Yangon	0.41	590	6	498	930	271 (70)	236 (37)	281 (66)	266 (79)	-1.5 (0.8)	-	-1.7 (0.6)	-2.1 (0.5)
Abidjan	0.48	86	38	83	349	218 (58)	232 (59)	215 (58)	156 (44)	-2.1 (1.4)	-3.6 (1.8)	-0.3 (1.4)	-0.7 (0.3)
Wenzhou	0.56	386	25	347	705	268 (75)	308 (122)	256 (65)	231 (64)	-4.2 (0.7)	-10.1 (2.4)	-3.5 (0.6)	-2.8 (0.6)
Sydney	0.38	709	6	676	1000	94 (36)	92 (17)	90 (16)	87 (15)	-0.7 (0.2)	-	-0.5 (0.1)	-0.2 (0.1)
Accra	0.17	155	7	116	740	245 (84)	262 (68)	224 (63)	161 (48)	2.9 (1.5)	-	2.9 (0.8)	-0.5 (0.3)
Dubai	0.60	1523	70	1486	1565	180 (44)	190 (56)	169 (25)	163 (18)	-2.9 (0.3)	-5.0 (1.2)	-1.6 (0.2)	-0.9 (0.1)
Chittagong	0.81	653	49	628	888	296 (66)	316 (79)	304 (66)	296 (91)	-0.7 (0.5)	-2.1 (2.2)	-0.7 (0.5)	-0.9 (0.7)
Mean	0.82	427	71	394	736	236	255	232	212	-1.9	-3.5	-1.7	-1.6
Modified mean ¹	0.99	493	87	466	712	238	255	233	212	-2.3	-3.5	-2.1	-1.8

city	1. ratio (land/water)	2. number of days with data				3. mean (\pm std) [ppbv]				4. trend (\pm standard error) [ppbv y ⁻¹]			
		L3L	L3O _L	L3O _{LM}	L3W	L3L	L3O _L	L3O _{LM}	L3W	L3L	L3O _L	L3O _{LM}	L3W
Tokyo	1.57	620	98	627	575	185 (43)	188 (38)	184 (36)	178 (34)	-1.7 (0.3)	-2.3 (0.5)	-1.7 (0.3)	-1.7 (0.3)
Shanghai	1.35	378	54	374	416	373 (130)	374 (112)	363 (111)	338 (108)	-5.9 (1.4)	-7.0 (1.6)	-5.7 (1.4)	-3.4 (1.2)

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The L3L – L3O_{LM} mean VMR difference is relatively small, by comparison (4 ppbv, all 33 cities). However, this does hide some much larger discrepancies between L3L and L3O_{LM} for certain cities, with the difference exceeding 10 ppbv in 11 cases and 20 ppbv for 3 of them. The difference is significant ($p < 0.1$; “SIGDIFF_{L3L-L3OLM}”) for 13 of 33 cities (39 %). Compared to the subset where the L3L – L3O_{LM} mean difference is not significant ($n = 20$, 61 %; “NOT_SIGDIFF_{L3L-L3OLM}”), the following characteristic differences are found (also detailed in Table 87):

- The grid boxes in SIGDIFF_{L3L-L3OLM} have a greater proportion of their surface covered by water than NOT_SIGDIFF_{L3L-L3OLM}: this is evidenced by a mean ratio(land/water) of 0.51 in SIGDIFF_{L3L-L3OLM} vs 1.02 in NOT_SIGDIFF_{L3L-L3OLM}, indicating there are relatively more retrievals over water than land in the former; and also by the fact that on average, L3O_L only contributes to L3O_{LM} in SIGDIFF_{L3L-L3OLM} on 9 % of days, vs 20 % of days for NOT_SIGDIFF_{L3L-L3OLM} (which means that retrievals over water contribute via L3O_M more frequently to L3O_{LM} in SIGDIFF_{L3L-L3OLM} than NOT_SIGDIFF_{L3L-L3OLM}).
- The L3L – L3W VMR ~~ret-RET~~ differences are larger in SIGDIFF_{L3L-L3OLM} than NOT_SIGDIFF_{L3L-L3OLM} (mean = 31.15 vs 18.44 ppbv), meaning they are less likely to be hidden by averaging to create L3O_M.
- Land-water mean averaging kernel differences suggest there is not a large land-water sensitivity contrast between the SIGDIFF_{L3L-L3OLM} and NOT_SIGDIFF_{L3L-L3OLM} subsets. However, the L3L – L3W ret-apr difference, which is another indicator of sensitivity difference, is much greater for SIGDIFF_{L3L-L3OLM} than NOT_SIGDIFF_{L3L-L3OLM} (21.66 vs 3.22 ppbv, respectively (21.98 vs 11.88 ppbv if using absolute values)). There is some evidence that this may be a function of the a priori VMRs being closer to “true” VMRs in NOT_SIGDIFF_{L3L-L3OLM}, with mean retrieved minus a priori VMR values being closer to zero than in SIGDIFF_{L3L-L3OLM}.

These findings are all consistent with what was shown in Sect. 3.2.2 when identifying factors that determine whether the averaging of L2 retrievals over land and water to create L3O_M can yield a statistically significantly different retrieval to L3L. As outlined above, L3O_M is the dominant component of L3O_{LM} in all cases considered here (being the classification on 84 % of days, on average (max = 100 %, min = 45 %)).

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Table 87. Selected parameters from L3 grid boxes containing cities, stratified according to whether mean VMR in L3L and L3O_{LM} is significantly different (“SIGDIFF_{L3L-L3OLM}”; $p < 0.1$) or not (“NOT_SIGDIFF_{L3L-L3OLM}”).

	P < 0.1 (“SIGDIFF _{L3L-L3OLM} ”) (n = 13)	P > 0.1 (“NOT_SIGDIFF _{L3L-L3OLM} ”) (n = 20)
Mean ratio(land/water)	0.51	1.02
% days from L3O _L	9	20
Δ VMR ret (L3L – L3W) (ppbv)	31.15	18.44
Δ AK rowsum (L3L – L3W)	0.25	0.21
Δ AK diagonal (L3L – L3W)	0.10	0.08
Δ VMR (ret - apr) (L3L – L3W) (ppbv)	21.66	3.22
Δ VMR (ret - apr) (L3L – L3W) (ppbv)	21.98	11.88
L3L VMR (ret - apr)	-19.82	-7.07
L3L VMR (ret - apr)	39.86	18.79
L3W VMR (ret - apr)	-14.75	-6.73
L3W VMR (ret - apr)	18.21	15.57

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937 *Trend comparison*

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939 On average, the strongest trends are seen in L3O_L. However, as with the Dubai case study, this often appears
 940 as an outlier compared to the other datasets – a consequence of its comparatively very sparse temporal
 941 coverage. As expected from previous sections, the weakest trends are detected in L3W, with L3O_{LM}
 942 representing a mid-point between this and L3L.

943 Of the 18 cities where WLS analysis can be performed in L3O_L, there are 9 where the resulting trend
 944 – and thus conclusion drawn from the analysis – is significantly different to that in L3L. In 3 of these cases
 945 (Dubai, Wenzhou, Bangkok), the trend in L3O_L can be judged to be a strong over-estimate given the large
 946 difference to the corresponding trends in L3L (trend standard errors do not overlap), and the very small
 947 number of days with data that these trends are based on when compared to L3L (n_{days}(L3O_L/L3L) ratio <
 948 0.08 in each case). There are 4 additional cities where a significant trend in L3O_L appears to be an over-
 949 estimate, when compared the L3L: Abidjan, Surat, Saigon, and Buenos Aires. This is because the trend for
 950 these cities in L3L is not significantly different to 0 which, given the higher number of days with data in L3L

951 (n_days(L3O_L/L3L) ratio = 0.44, 0.31, 0.49, 0.28, respectively), appears to be the more reliable result. The
952 L3O_L trend for Miami is insignificant and derived from very low n. L3O_L is also the only dataset to yield an
953 insignificant trend for Qingdao.

954 As with mean VMRs, trends in L3O_{LM} compare better than L3O_L to L3L. However, there are still 5
955 cases where L3O_{LM} and L3L yield significantly different results. For 3 of these (Hong Kong, -Istanbul, and
956 Dubai, as covered in detail in Sect. 3.4.1), interpretation of the difference is simple: L3O_{LM} is a significant
957 under-estimate of the CO change over time. This is very likely due to the inclusion of retrievals over water
958 in this dataset, as evidenced by L3W yielding a significantly weaker trend than L3L in all 3 cases. In the
959 remaining 2 cases – New York and Saigon – interpretation is more complicated. For both these cities, the
960 trend detected in L3L is not significantly different from zero, whereas the trend in L3O_{LM} is. Does this mean
961 that the trend in L3O_{LM} is an over-estimate? Possibly. However, in both cases, the trends are within one
962 standard error of each other and therefore within the range of sampling uncertainty. There are an additional 2
963 cities where WLS could be performed in L3L but not L3O_{LM} (Dar Es Salaam and Taipei), but n_days(L3L)
964 is so low (44 and 36, respectively) that these results are not deemed to be trustworthy.

965 As outlined in Sect. 2.5, it is important to note that the trends presented in this section are for
966 illustratory purposes only, with the intention of demonstrating that different results can be obtained depending
967 on whether L3O or L3L (and, by extension, L2) data are analysed. More focused analysis is needed to verify
968 these trends, which is beyond the scope of this paper. The trend analysis has been repeated using an alternative
969 regression method which is less sensitive to outlying values (Theil-Sen slope estimator), and the main results
970 reported above stand. This is detailed further in the Supp. Mat. (SM87).

973 4. Summary and Conclusions

974
975 The aim of this paper was to compare surface level retrievals and their temporal trends in “as-downloaded”
976 L3 data (“L3O”) with those that could be obtained if only the L2 retrievals performed over land are averaged
977 to create the L3 product (“L3L”), for all coastal L3 MOPITT grid boxes around the globe (n = 4299). This
978 work is motivated by a conflict between the recommendation ~~Motivated by the work of Ashpole and Wiacek~~
979 ~~(2020) which demonstrated, for the MOPITT L3 grid box containing the coastal city of Halifax, Canada, that~~
980 ~~mean VMR statistics and temporal trends differ depending on whether L2 or L3 data are analysed, this paper~~
981 ~~has examined what proportion of all coastal L3 grid boxes also see differences between results from analyses~~
982 ~~performed with L2 and L3 data. While it is recommended to~~ that MOPITT data users that restrict analyses
983 ~~are restricted~~ to retrievals performed over land owing to known sensitivity issues over water (MOPITT

984 Algorithm Development Team, 2018; Deeter et al., 2015), ~~such recommendations cannot~~ and the reality that
985 L3O data are created from L2 retrievals performed over both land and water for coastal L3 grid boxes,
986 ~~practically be followed by~~ limiting the ability of L3 data users to follow the recommendation in these cases
987 ~~of L3 data for coastal grid boxes owing to the way the data are created from their bounded L2 retrievals.~~ In
988 short, this study has sought to answer the question: “does it matter”? Analysis has focussed on comparing
989 the original, “as-downloaded” L3 dataset (“L3O”) with new land-only and water-only L3 products (“L3L”
990 and “L3W” respectively) that have been created from the L2 retrievals. The main results are summarised
991 below.

992 First, a direct comparison of the L2 retrievals performed over land (L3L) and water (L3W) that are
993 averaged together to create L3 products on days when the L3 surface index is “mixed” (L3O_M) identified
994 that:

- 996 • Retrieval information content is clearly greater in L3L than L3W. The corresponding mean L3L –
997 L3W VMR difference is over 10 ppbv, significant ($p < 0.1$) at 60 % of the coastal grid boxes
998 compared.
- 999 • Temporal trends are also stronger, on average, in L3L (mean diff = 0.28 ppbv y^{-1} , 0.43 ppbv y^{-1} if
1000 only considering trends significantly different to zero), with the L3L – L3W trend difference
1001 significant ($p < 0.1$) at 36 % of grid boxes where a trend comparison was possible.
- 1002 • Larger L3L – L3W differences in mean VMRs and trends are clearly associated with greater
1003 differences in retrieval sensitivity.
- 1004 • The resulting VMRs in L3O_M are significantly different to L3L for 75 % of grid boxes where the
1005 L3L – L3W difference is also significant; this corresponds to 45 % of all coastal grid boxes
1006 compared. Whether or not L3O_M and L3L differ significantly depends on multiple factors including
1007 the ratio of land/water surface cover in the grid box, the strength of the land-water sensitivity contrast
1008 and VMR difference, and, potentially, the accuracy of the a priori.
- 1009 • Just under half of the grid boxes that featured a significant L3L – L3W trend difference also see
1010 trends differing significantly between L3L and L3O_M. As with the mean VMR comparison, these
1011 grid boxes are more water-dominated than the subset whereby the L3L – L3W trend difference is
1012 significant but the L3L – L3O_M trend difference is not. They also feature stronger L3L – L3W trend
1013 differences overall, but no other variables (such as ltm VMRs and sensitivity metrics) show clear
1014 differences.

1016 Having established the degree of difference in L3O_M and L3L retrievals that is caused directly by
1017 averaging L3L with the less-sensitive L3W, the full L3O dataset with differing surface filtering options was
1018 compared to L3L:

- 1019
1020 • If L3O is filtered so that only retrievals over land (L3O_L) are analysed, as has been recommended
1021 (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015), there is a huge loss of data, in
1022 terms of days with data to analyse. This is a direct result of L2 retrievals over land routinely being
1023 discarded during the L3O creation process, or averaged with L2 retrievals over water, creating L3O_M
1024 (at least for coastal grid boxes). The problem can be alleviated by also retaining L3O_M retrievals, but
1025 these additional days with data feature some influence from retrievals made over water that can affect
1026 results, as outlined. The resulting L3O_{LM} subset still has less days with data than in L3L for 61 % of
1027 coastal grid boxes.
- 1028 • Almost a quarter (half) of coastal grid boxes see a significant difference in I_{tm} VMR between L3L
1029 and L3O_L (L3O_{LM}). Over a third (almost a quarter) of the trends in L3O_L (L3O_{LM}) are significantly
1030 different to L3L.
- 1031 • Focusing on the L3 grid boxes containing the 33 largest coastal cities in the world, mean VMRs in
1032 L3O_L and L3L differ significantly for 11 of the 27 grid boxes that can be compared (40 %; there are
1033 no L3O_L data for the remaining 6 cities). The L3L – L3O_{LM} mean VMR difference across all 33 grid
1034 boxes is relatively small (3.7 ppbv), but this does hide some much larger discrepancies, with the
1035 difference exceeding 10 ppbv for 11 of the 33 grid boxes and 20 ppbv for 3 of them. The difference
1036 is significant for 13 of 33 grid boxes (39 %). Of the 18 grid boxes where WLS analysis can be
1037 performed in L3O_L, there are 9 cases where the trend is significantly different to that in L3L. The
1038 trends in L3O_{LM} and L3L differ significantly for 5 of the 33 grid boxes.

1039
1040 From these results, it can be concluded that, yes, for at least a quarter of all MOPITT coastal L3 grid
1041 boxes, it does matter that there is limited capacity to filter out the influence of retrievals over water in L3
1042 data – at least without a huge loss of temporal coverage. Demonstrably, there are significant differences in
1043 the mean VMRs and temporal trends that can be obtained using L3O and L3L, sometimes very large. These
1044 differences could have tangible consequences, depending on the purpose for which the MOPITT data are
1045 being used. While acknowledging that this analysis has also shown that there is a sizeable proportion of
1046 coastal grid boxes where statistically, mean VMRs and trends do not differ significantly between L3L and
1047 L3O, there is enough evidence to ~~support the suggestion from Ashpole and Wiaacek (2020)~~ [suggest](#) that an
1048 additional L3 “land-only” product, created only from averaging bounded L2 retrievals performed over land

1049 – the L3L dataset that has been analysed in this paper – could be beneficial to the research community. This
1050 [L3L](#) dataset enables L3 users to maximize retrieval information content for coastal L3 grid boxes, as is
1051 currently only possible with L2 data, while also preserving the benefits of L3 products, such as smaller file
1052 size and greater accessibility of gridded products. The L3L dataset analysed in this paper is publicly available
1053 for download (Ashpole and Wiacek, 2022; L3W is also available). Although this paper has focused only on
1054 analysis of MOPITT data, it is reasonable to question whether the findings are applicable to data products
1055 from other satellite instruments that make CO retrievals based on observed thermal-infrared radiances, such
1056 as AIRS (Atmospheric InfraRed Sounder), TES (Tropospheric Emission Spectrometer), and IASI (Infrared
1057 Atmospheric Sounding Interferometer).

Appendix A: List of short names and abbreviations used in the main article text, their full descriptive name, and the purpose of use (along with the section it is first introduced)

Short name / abbrev.	Full descriptive name	Purpose (Section introduced)
AK	Averaging Kernel	General abbreviation (2.1)
LTM	Long-term mean	General abbreviation (3.3.2)
MOPITT	Measurements of Pollution in the Troposphere	Instrument abbreviation (1)
VMR	Volume Mixing Ratio	General abbreviation (1)
VMR ret	Retrieved VMR	General abbreviation (3.1.1)
VMR apr	a priori VMR	General abbreviation (3.1.1)
L2	Level 2 dataset	Dataset identifier (1)
L3	Level 3 dataset	Dataset identifier (1)
L3L	A new L3 “land-only” dataset, created only from Level 2 retrievals performed over land (creation method outlined in Sect. 2.4)	Dataset identifier (1)
L3O	Original, “as downloaded” Level 3 (L3) dataset	Dataset identifier (1)
L3O _l	Subset of L3O only containing L3 retrievals with a surface index of land	Dataset identifier (2.4)
L3O _{LM}	Subset of L3O only containing L3 retrievals with a surface index of land OR mixed	Dataset identifier (2.4)
L3O _M	Subset of L3O only containing L3 retrievals with a surface index of mixed	Dataset identifier (2.4)
L3O _{NF}	The L3O dataset with no filtering by surface index (L3O _{NF} is identical to L3O)	Dataset identifier (2.4)
L3O _w	Subset of L3O only containing L3 retrievals with a surface index of water	Dataset identifier (2.4)
L3W	A new L3 “water-only” dataset, created only from Level 2 retrievals performed over water (creation method outlined in Sect. 2.4)	Dataset identifier (1)
n_days(L3[A])	Number of days in L3 dataset A, e.g. n_days(L3L)	Dataset metric (2.3)
n_days(L3[A]/L3[B])	A ratio quantifying the relative number of observations in L3 dataset A compared to L3 dataset B, e.g. n_days(L3O _l /L3O)	Dataset metric (2.3)
n_ret _l	Number of L2 retrievals that are used for calculating the area averages when creating L3L	Dataset metric (2.4)
n_ret _w	Number of L2 retrievals that are used for calculating the area averages when creating L3W	Dataset metric (2.4)
ratio(land/water)	n_ret _l /n_ret _w : A ratio used to indicate the proportion of an L3 grid box that is covered by land vs water	Dataset metric (2.4)
SIGDIFF _{L3L-L3W}	L3 gridboxes where the mean VMR in L3L and L3W is significantly different ($p < 0.1$)	Grid box subset identifier (3.2.1)
NOTSIGDIFF _{L3L-L3W}	L3 gridboxes where the mean VMR in L3L and L3W is <i>not</i> significantly different ($p > 0.1$)	Grid box subset identifier (3.2.1)
BOTH _{VMRs}	L3 grid boxes where the mean VMR in L3L is significantly different to that in both L3W and L3O _M	Grid box subset identifier (3.2.2)
L3L_L3W_ONLY _{VMRs}	L3 grid boxes where the mean VMR in L3L is significantly different to that in L3W but <i>not</i> in L3O _M	Grid box subset identifier (3.2.2)
BOTH _{TRENDS}	L3 grid boxes where the detected trend in L3L is significantly different to that in both L3W and L3O _M	Grid box subset identifier (3.2.2)
L3L_L3W_ONLY _{TRENDS}	L3 grid boxes where the detected trend in L3L is significantly different to that in L3W but <i>not</i> in L3O _M	Grid box subset identifier (3.2.2)
SIGDIFF _{L3L-L3OLM}	L3 gridboxes where the mean VMR in L3L and L3O _{LM} is significantly different ($p < 0.1$)	Grid box subset identifier (3.4.2)
NOTSIGDIFF _{L3L-L3OLM}	L3 gridboxes where the mean VMR in L3L and L3O _{LM} is <i>not</i> significantly different ($p > 0.1$)	Grid box subset identifier (3.4.2)

1064 **Data availability**

1065
1066 The “L3L” and “L3W” datasets analysed in this study are available from the following link:
1067 <https://doi.org/10.5683/SP3/ERCG2H> (see also Ashpole and Wiacek, 2022). Code for creating these datasets
1068 is available here: https://github.com/ianashpole/MOPITT_L3L_L3W. The MOPITT V8 joint TIR-NIR files
1069 Level 2 (“MOP02J”) and Level 3 (“MOP03J”) datasets can be accessed from the following URLs,
1070 respectively: https://doi.org/10.5067/TERRA/MOPITT/MOP02J_L2.008 (NASA/LARC/SD/ASDC, 2000a)
1071 and https://doi.org/10.5067/TERRA/MOPITT/MOP03J_L3.008 (NASA/LARC/SD/ASDC, 2000b)

1072
1073
1074 **Author contributions**

1075
1076 IA and AW jointly conceived of and designed the study. IA performed data analysis; both authors examined
1077 and interpreted the results, and prepared the manuscript.

1078
1079
1080 **Competing interests**

1081
1082 The authors declare that they have no conflict of interest.

1083
1084
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