

1 Differences in MOPITT surface-level CO retrievals and trends from Level 2 and Level 2 3 products in coastal grid boxes

3

4 Ian Ashpole¹ and Aldona Wiacek^{1,2}

5

6 ¹Department of Environmental Science, Saint Mary's University, Halifax, Canada

7 ²Department of Astronomy and Physics, Saint Mary's University, Halifax, Canada

8 *Correspondence to:* Ian Ashpole (ian.ashpole@smu.ca)

9

10

11 Abstract

12

13 MOPITT retrievals are more sensitive to near-surface CO when performed over land than water. Data users
14 are therefore advised to discard retrievals performed over water from analyses to limit the a priori influence
15 on results. Level 3 (L3) products are a 1° x 1° gridded average of finer resolution Level 2 (L2) retrievals. For
16 coastal grid boxes, these are retrievals that are either performed over land, water, or a combination of the
17 two, on any given day. L3 data users therefore have limited ability to filter for retrievals performed over
18 water for these grid boxes. The consequences that this has on surface-level retrievals and their temporal trends
19 in “as-downloaded” L3 data (L3O) are examined in this paper, for all coastal L3 MOPITT grid boxes (n =
20 4299), by comparison to separate land- and water-only grid box averaged L2 retrievals (L3L and L3W,
21 respectively). First, it is established that mean retrieved VMRs in L3L and L3W differ by over 10 ppbv,
22 significant ($p < 0.1$) at 60 % of the coastal grid boxes. Trends are also stronger in L3L (mean difference
23 between 0.28 ppbv y^{-1} and 0.43 ppbv y^{-1}), with the L3L – L3W trend difference significant at 36 % of grid
24 boxes. These L3L – L3W differences are clearly linked to retrieval sensitivity differences, with L3W being
25 more heavily tied to the a priori CO profiles used in the retrieval, which are a model-derived monthly mean
26 climatology that, by definition, has no trend year-to-year. On days when L3O is created from the averaging
27 together of L2 retrievals over both land and water (L3O_M), the result is VMRs that are significantly different
28 to L3L for 45 % of all coastal grid boxes, corresponding to 75 % of grid boxes where the L3L – L3W
29 difference is also significant. Just under half of the grid boxes that featured a significant L3L – L3W trend
30 difference also see trends differing significantly between L3L and L3O_M. Factors that determine whether
31 L3O_M and L3L differ significantly include proportion of the surface covered by land/water, and the
32 magnitude of sensitivity contrast. Comparing the full L3O dataset to L3L, it is shown that if L3O is filtered
33 so that only retrievals over land (L3O_L) are analysed, there is a huge loss of days with data. This is because
34 L2 retrievals over land are routinely discarded during the L3O creation process, for coastal grid boxes. The

35 problem can be lessened by also retaining L3O_M retrievals, but the resulting L3O “land or mixed” (L3O_{LM})
36 subset still has less data days than L3L for 61 % of coastal grid boxes. Moreover, these additional days with
37 data feature some influence from retrievals made over water that can affect results. Coastal L3 grid boxes
38 contain 33 of the 100 largest coastal cities in the world, by population. Focusing on the L3 grid boxes
39 containing these cities, we ask whether results of analyses are significantly different if using L3O compared
40 to L3L. It is shown that mean VMRs in L3O_L and L3L differ significantly for 11 of the 27 grid boxes that
41 can be compared (there are no L3O_L data for 6 of the grid boxes studied). The L3L – L3O_{LM} mean VMR
42 difference exceeds 10 (22) ppbv for 11 (3) of the 33 grid boxes, significant in 13 cases. 9 of the 18 grid boxes
43 where WLS analysis can be performed in L3O_L feature a trend that is significantly different to L3L. The
44 trends in L3O_{LM} and L3L differ significantly for 5 of the 33 grid boxes. It is concluded that a L3 product
45 based only on L2 retrievals over land would be of benefit to MOPITT data users, given the significant
46 differences in mean CO VMRs and trends that can be obtained for coastal grid boxes using L2 products in
47 which retrievals performed over water can be more easily discarded.

48
49

50 **1. Introduction**

51

52 Carbon monoxide (CO) is directly emitted into the atmosphere from anthropogenic (e.g. fossil fuel burning)
53 and natural (e.g. wildfire) sources, and also produced via the oxidation of hydrocarbons in the atmosphere.
54 With an atmospheric lifetime of weeks to months (e.g. Duncan et al., 2007), it is an important tracer of
55 pollutant transport and indicator of emission sources. While a health concern in its own right at high enough
56 concentrations, CO also plays an important role in atmospheric chemistry, for example as a precursor to
57 ozone formation and a primary sink for the hydroxyl radical. Atmospheric CO concentrations have decreased
58 since the start of the 21st century, with a slowdown in the rate of decline observed in recent years (Buchholz
59 et al., 2021). Trends also show substantial spatial variability (Hedelius et al., 2021). Satellite instruments
60 have been central to our understanding of global change in CO concentrations, with the Measurement of
61 Pollution in the Troposphere (MOPITT – Drummond et al., 2010, 2016) instrument well suited to this task,
62 providing a nearly-unbroken and consistent data record since the year 2000.

63 MOPITT observes upwelling radiances at thermal infrared (TIR) and near infrared (NIR) wavelengths
64 and uses these in an optimal estimation retrieval algorithm to retrieve coarse vertical resolution CO profiles,
65 which are integrated to give total column amounts. Among multiple additional inputs required by the retrieval
66 algorithm, a priori CO profiles – which describe the most probable state of the CO profile at a given location
67 – are necessary to constrain the retrieval to physically reasonable limits (Pan et al., 1998; Rodgers, 2000; the
68 retrieval algorithm is outlined in more detail in Sect. 2.1). For the most recent iterations of MOPITT products,

69 these a priori CO profiles are based on a monthly climatology from a chemical transport model. The degree
70 to which a given MOPITT retrieval reflects information obtained from the observed radiances – known as
71 “information content” – is highly spatially and temporally variable, depending on scene-specific factors such
72 as surface temperature, thermal contrast in the lower troposphere, and the actual (“true”) CO loading itself,
73 as well as on instrumental noise (e.g. Deeter et al., 2015). The lower the retrieval information content, the
74 closer the retrieved CO loading will be to the a priori; a model value.

75 Retrievals that take place over water are known to have a lower information content than retrievals
76 that take place over land. Primarily, this is due to weak thermal contrast near to the surface hampering the
77 instrument’s ability to sense CO absorption in the lowermost layers of the troposphere (Deeter et al., 2007;
78 Worden et al., 2010), and this is confounded by a lack of NIR reflectance over water, which limits these
79 retrievals to TIR wavelengths only. It is therefore recommended that MOPITT data users exclude these
80 retrievals from any analyses they perform, to ensure that results are not biased by retrievals that have a heavy
81 reliance on the a priori (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015). Such filtering
82 is specifically emphasised where the focus of analysis is the identification of long-term CO trends, because
83 any real trends in the data will be weakened by the inclusion of retrievals that are tied heavily to the a priori
84 (Deeter et al., 2015). This is because the a priori CO profiles are taken from monthly modelled CO
85 climatologies: for a given location and day of the year, they will be the same every year and therefore feature
86 no temporal trend (Deeter et al., 2014).

87 MOPITT data are available as either Level 2 (“L2”) or Level 3 (“L3”) products. L2 products contain
88 each individual retrieval, at ~22 x 22 km spatial resolution. L3 products are a 1° x 1° gridded area-average of
89 the individual L2 retrievals that fall within each grid box (see Fig. 1), with some filtering criteria applied.
90 One criterion is the surface type over which the L2 retrievals were performed – either land, water, or “mixed”.
91 If more than 75 % of the bounded L2 retrievals were performed over the same surface type then only those
92 retrievals are averaged to create the L3 product and the rest are discarded; otherwise, all bounded L2 retrievals
93 are averaged, and the L3 product is given the surface type classification of “mixed” (L3 surface type
94 classification is explained in more detail in Sect. 2.2). This creates a problem for L3 grid boxes that overlay
95 coastlines: To a greater or lesser extent, these L3 products will have some contribution from L2 retrievals
96 performed over water, as shown in Fig. 1. L3 product users have limited capability to discard them, at least
97 without sacrificing temporal resolution, because each L3 grid box only has a single retrieval per day. By
98 contrast, with L2 products it is possible, for the same coastal grid boxes, to choose to retain only the retrievals
99 performed over land. In practical terms, this means that, for coastal L3 grid boxes, valuable retrieval
100 information over land, available in L2 products, can be lost to users of L3 products.

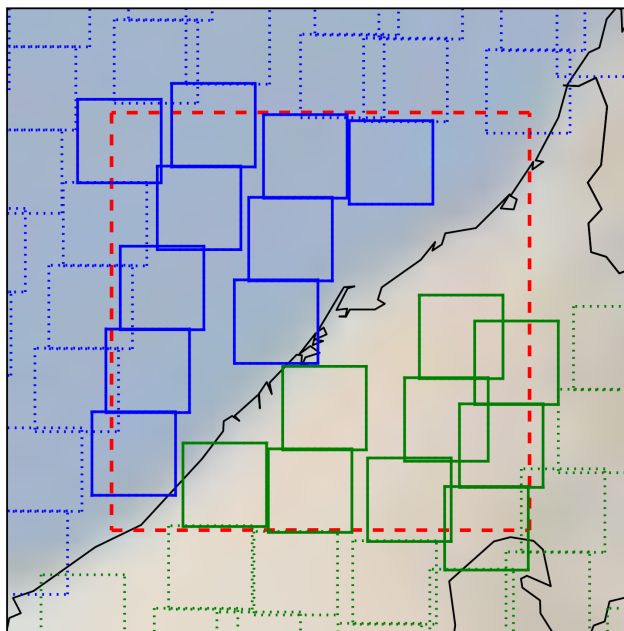


Figure 1. Example of a coastal L3 grid box (red dashed box) and bounded L2 retrievals from which the L3 products for that grid box are created. Blue (green) boxes correspond to L2 retrievals with a surface index of “water” (“land”). Note that only L2 retrievals with a midpoint that falls within the boundaries of the L3 grid box will be used in L3 creation for that grid box. These are indicated by solid blue/green outlines – those not included in L3 creation for this grid box are shown with dotted blue/green outlines. More information on surface indexing and L3 product creation is given in Sect. 2.2. “Coastal” L3 grid box classification is outlined in Sect. 2.3. The coastal L3 grid box visualized here contains the city of Dubai (~centre = 55.296° E, 25.277° N), which features in the case study analysis of Sect 3.4. Background shading is from Nasa Blue Marble imagery.

101 With a focus on the coastal L3 grid box containing the city of Halifax, Canada, Ashpole and Wiacek
 102 (2020) demonstrate the consequences of this loss of retrieval information in L3 products. They compare the
 103 results of analyses performed using L3 data and L2 data whereby only bounded retrievals performed over
 104 land were retained, and find significant differences in both seasonal mean statistics and the magnitudes of
 105 trends identified in surface-level CO. These differences are a direct result of the L3 products being dominated
 106 by L2 retrievals over water, which feature a weaker trend than the L2 retrievals over land, demonstrably due
 107 to a greater a priori influence owing to their reduced true-profile sensitivity. In their conclusions, Ashpole
 108 and Wiacek (2020) suggest that L2 retrievals over water should not contribute to L3 products for coastal grid
 109 boxes, which would be consistent with previous data filtering recommendations (MOPITT Algorithm
 110 Development Team, 2018; Deeter et al., 2015). The primary aim of this paper is to explore the extent of the
 111 difference that this would make on a global scale. This is necessary to understand for two reasons: firstly, L3
 112 data are more convenient for long time series analysis than L2 data owing to their smaller file size (~25 MB

113 vs ~450 MB respectively, for a single daily, global file). It cannot be overlooked that working with L3 data
114 thus requires fewer computing resources and less technical proficiency, with a range of simple-to-use tools
115 available for working with gridded products. L3 products thus make the MOPITT data more easily accessible,
116 especially to less-expert users, who may lack the expertise required to scrutinize the data for potential a priori
117 bias. Secondly, many of the world’s largest agglomerations are situated within a coastal L3 grid box (5 of
118 the top 10 and 33 of the top 100 largest agglomerations by population; derivation outlined in Sect. 2.5),
119 making these likely targets for analyses of air quality indicators, especially their changes over time.

120 This paper presents a comparison of results from analyses performed using L3 data products and
121 separate land-only and water-only area averages from L2 products for all MOPITT L3 grid boxes that overlay
122 coastlines (“L3L” and “L3W” respectively – derivation outlined in Sect. 2.4). Section 3.1 demonstrates the
123 magnitude of the sensitivity difference for retrievals over land and water, zooming in to focus on coastal grid
124 boxes (the classification of which is outlined in Sect. 2.3). Section 3.2 links the sensitivity contrast to
125 differences in mean CO volume mixing ratios (“VMRs”) and their temporal trends for L2 retrievals
126 performed over land and water within coastal L3 grid boxes; and evaluates the effect that the averaging
127 together of these retrievals has on the statistics and trends in resulting L3 “mixed” values. Section 3.3
128 quantifies the proportion of L2 retrievals performed over land within coastal L3 grid boxes that are lost to L3
129 products, before finally comparing statistics and trends in L3 and L2 products for all coastal L3 grid boxes,
130 outlining the magnitude and significance of differences for the coastal grid boxes that contain 33 of the largest
131 100 cities in the world (Sect. 3.4).

132
133

134 **2. Data and Methods**

135

136 **2.1. MOPITT Instrument and retrieval overview**

137

138 Carried on board the polar-orbiting NASA Terra satellite that was launched in December 1999, MOPITT
139 began measuring CO in March 2000 and has provided near-continuous measurements to date. With a native
140 pixel resolution of ~22 x 22 km at nadir and a swath width of ~640 km, it offers near global coverage roughly
141 every 3-days, crossing the equator at ~10:30 and ~22:30 local time. The instrument is a gas correlation
142 radiometer that measures radiances in two CO-sensitive spectral bands: the TIR at 4.7 μm , which is sensitive
143 to both absorption and emission by CO and can provide information on its vertical distribution in the
144 troposphere; and the NIR at 2.3 μm , which constrains the CO total column amount and yields information
145 on CO concentrations in the lower troposphere (LT), to which TIR radiances are typically less sensitive

146 (Drummond et al., 2010; Pan et al., 1995, 1998). For the work presented here, the TIR-NIR combined
147 MOPITT product is used, owing to its demonstrably greater sensitivity to CO loadings near to the surface
148 than the TIR- and NIR- only products which are also available (Deeter et al., 2013). Note, however, that
149 retrievals over water and at night are limited to the TIR band only due to the lacking NIR signal. This analysis
150 is based on daytime-only retrievals (more information on data selection and preparation is given in Sect. 2.4).

151 Multiple other sources describe the retrieval algorithm in detail (e.g., Deeter et al., 2003; Francis et
152 al., 2017). In short, it uses optimal estimation (Pan et al., 1998; Rogers, 2000) and a fast radiative transfer
153 model (Edwards et al., 1999) to invert measured radiances and retrieve the CO volume mixing ratio (VMR)
154 profile on 10 vertical layers. The vertical grid consists of 9 equally spaced pressure levels from 900 to 100
155 hPa (the uppermost level covers the atmospheric layer from 100 to 50 hPa), with a floating surface pressure
156 level (if the surface pressure is below 900 hPa, less than 10 profile levels are retrieved). Retrieved values
157 represent the mean CO VMR in the layer immediately above that level. These profile measurements are then
158 integrated to provide total column CO amounts. Retrievals are only performed for scenes free of cloud (cloud
159 clearing is based on coincident MODIS observations and MOPITT's own radiances).

160 In addition to the measured radiances, the retrieval requires multiple inputs including meteorological
161 data, surface temperature and emissivity, and, of direct relevance to this study, a priori CO profiles, which
162 are necessary to constrain the retrieval to physically reasonable limits. These a priori CO profiles come from
163 a monthly CO climatology (years 2000-2009), simulated with the Community Atmosphere Model with
164 Chemistry (CAM-chem) chemical transport model (Lamarque et al., 2012) at a spatial resolution of $1.9^\circ \times$
165 2.5° , which is then spatially and temporally interpolated to the time and location of each individual MOPITT
166 observation. A priori profiles for a given location and day of the year are therefore the same every year and
167 feature no temporal trend. To understand the physical significance of the MOPITT CO retrievals, it is
168 necessary to examine the retrieval Averaging Kernels (AKs), available with all MOPITT data products,
169 which quantify the sensitivity of the retrieved vertical profile to the "true" vertical profile. The lower the
170 retrieval sensitivity, the greater the a priori weighting. Two different components of AKs are analysed in this
171 paper: AK rowsums, which represent the overall sensitivity of the retrieved profile at the corresponding
172 pressure level to the whole true profile; and AK diagonal values, which represent the sensitivity of the
173 retrieved profile at the corresponding pressure level to the same level of the true profile (e.g. the AK diagonal
174 value for the surface level of the retrieved profile represents its sensitivity to the surface level of the true
175 profile).

176 From time-to-time, new MOPITT products become available as improvements are made to the
177 retrieval algorithm and radiative transfer model, yielding superior validation statistics compared to earlier
178 product versions (Worden et al., 2014). This analysis uses MOPITT Version 8 (V8) products (Deeter et al.,

179 2019). Note that Version 9 (V9) products became available shortly after this study was completed. V9
180 features cloud screening improvements that yield additional retrievals over land in comparison to V8 (the
181 exact percent change varies significantly with geography). Validation results are comparable to V8. An
182 overview of MOPITT V9 is given by Deeter et al (2021). A subset of the analysis presented in this paper has
183 been duplicated using V9 data, and this confirms that the main conclusions drawn based on V8 data also hold
184 for V9 (this analysis is outlined in the Supp. Mat. (SM1)). This is to be expected, given that the land-water
185 sensitivity contrast remains in V9 and the L3 processing method is unchanged.

186

187

188 **2.2. MOPITT surface type classification**

189

190 To aid in filtering and interpreting retrievals, all MOPITT data products are distributed with a range of
191 diagnostic fields. As retrieval information content is known to be variable depending on the type of surface
192 over which it is performed (Deeter et al., 2007), L2 retrievals are given a surface index according to whether
193 they were performed over land, water, or a combination of the two (“mixed”). For a given 1° x 1° L3 grid
194 box, how the L2 retrievals that fall within its boundaries are processed to produce the L3 product depends on
195 how their surface indexes vary: If more than 75 % of the bounded L2 retrievals have the same surface index,
196 only those retrievals are averaged to produce the L3 gridded value, and the L3 surface index is set to that
197 surface type (the other L2 retrievals are discarded). Otherwise, all L2 retrievals available in the L3 grid box
198 are averaged together and the L3 surface index is set to “mixed”, as is the case in the example shown in Fig.
199 1 (this information is taken from the MOPITT Version 6 L3 data quality summary¹, which at the time of
200 writing, is the most recent data quality summary to detail exactly how L3 data are created, despite more
201 recent data quality summaries being available). Note that the L2 VMR profiles that are averaged to produce
202 the L3 retrieval are first converted to log(VMR) profiles, then averaged, and the mean log(VMR) profile is
203 then converted back to a VMR profile.

204 Each L3 grid box only has one retrieval per day. This dictates that where the grid box overlies both
205 land and water, its surface index could vary through time, depending on the population of L2 retrievals from
206 which it is created. The make-up of this population can also vary from day-to-day due to factors such as cloud
207 cover, and screening for data quality issues: on day n the population could be predominantly L2 retrievals
208 over land, on day $n+1$ it could be predominantly L2 retrievals over water, and on day $n+2$ it could be an even
209 mix of the two. Given that the averaging together of retrievals with significantly different sensitivity profiles
210 – as could be the case when averaging retrievals over land and water – serves to dilute the information coming

¹ available here: https://eosweb.larc.nasa.gov/sites/default/files/project/mopitt/quality_summaries/mopitt_level3_ver6.pdf

211 from the MOPITT observed radiances with information coming from the a priori and is therefore discouraged
212 (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015; Deeter et al., 2007); and that MOPITT
213 data users are advised to exclude retrievals over water from analyses owing to the known reduced sensitivity,
214 this introduces two potential problems for L3 data taken from coastal grid boxes: firstly, discarding all L3
215 retrievals with the surface index of water will result in a loss of temporal coverage; secondly, L3 retrievals
216 with a surface index of mixed feature some contribution from L2 retrievals over water. The consequences of
217 both these problems are explored in this paper.

218

219

220 **2.3. Coastal grid box classification for this study**

221

222 Since the focus of this paper is on “coastal” L3 grid boxes, it is first necessary to isolate these from the
223 remaining “land-only” or “water-only” L3 grid boxes in the MOPITT data set. The initial step is to identify
224 all grid boxes that have a surface index of “mixed” at least once during the study period. This indicates that
225 the ground area within those grid boxes was both land and water. However, analysis of the global distribution
226 of L3 grid boxes featuring a surface index of mixed revealed that, in addition to actual coastlines, a large
227 proportion of inland grid boxes that are clearly not coastal (“false coastal”) are given the surface index of
228 mixed at least some of the time (Fig. 2a). The reason for this is unclear, but it could be for real physical
229 reasons, such as land grid boxes sporadically flooding, or due to issues in the retrieval schemes caused by
230 e.g. cloud screening problems or the presence of surface ice cover. One characteristic of these false coastal
231 grid boxes is that, compared to the total number of days with L3, the relative frequency with which they are
232 flagged as land is very high (expressed as the ratio “n_days(L3O_L/L3O)”, plotted in Fig. 2b). This relative
233 frequency is much lower for “true” coastal grid boxes, to be expected given prior knowledge of 1) the fact
234 that these grid boxes span both land and water surface types; and 2) how the surface index is determined for
235 L3 data (as outlined in Sect. 2.2). Following iterative threshold testing, L3 coastal grid boxes are classified
236 as grid boxes that:

237

- 238 1. Have at least one classification of “mixed” during the study period
- 239 2. Have an n_days(L3O_L/L3O) ratio < 0.5.

240

241 The distribution of coastal grid boxes identified using these criteria is shown in Fig. 2c. Most false coastal
242 grid boxes are removed, although there are still some erroneous classifications evident, mostly in the north
243 of Canada and Russia. However, placing a more restrictive threshold on the n_days(L3O_L/L3O) ratio to

244 remove these areas has diminishing returns since it results in the rejection of more true coastal grid boxes.
245 These criteria therefore strike a balance between minimising false and maximising true classifications.

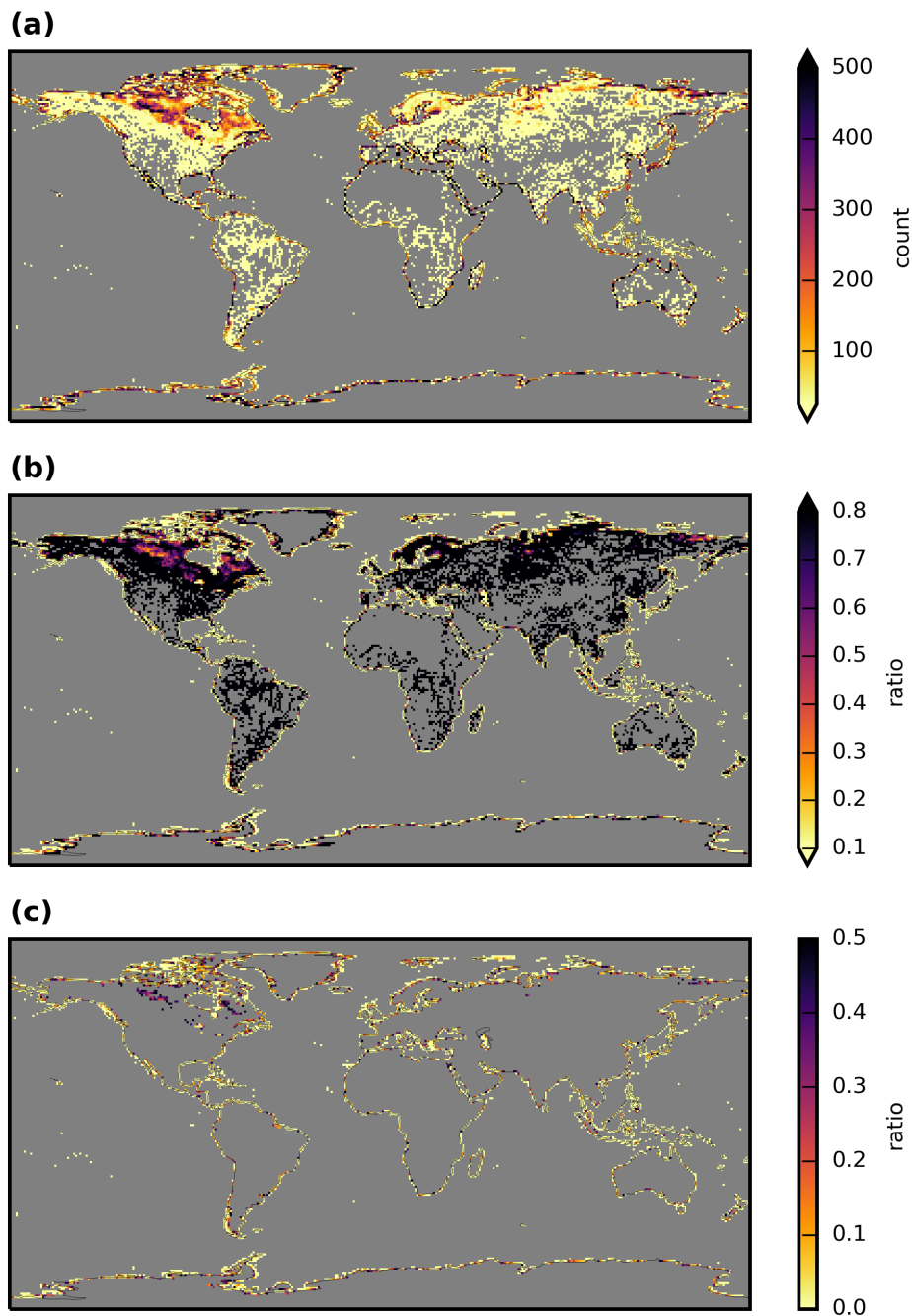


Figure 2. Maps showing the stages of derivation of the coastal L3 grid box mask applied in this paper to MOPITT data. **(a)** Frequency with which L3 grid boxes are given the surface index of “mixed”, calculated from daily data between 2001-08-25 and 2019-02-28. **(b)** Frequency with which L3 grid boxes that have a surface index of “mixed” at least once in panel a have the surface index of “land”, compared to the total number of days with which L3 data are available for that grid box (expressed as $n_days(L3O_L/L3O)$). **(c)** As b, but with a threshold of $n_days(L3O_L/L3O) < 0.5$ applied. This is the coastal L3 grid box mask used in this paper.

246 Applying these criteria to the MOPITT L3 data yields 4299 coastal grid boxes, from a total of 64800
247 L3 grid boxes (6.6 %). This mask is applied to all data, and only those L3 grid boxes that remain are classified
248 as coastal. Only data for these coastal grid boxes are analysed in this study (with the exception of global L3
249 maps analysed in Sect. 3.1.1).

250
251

252 **2.4. MOPITT datasets analysed, and data processing methods**

253

254 All available MOPITT V8 Level 2 (L2) and Level 3 (L3) daily TIR-NIR files (“MOP02J” and “MOP03J”
255 files, respectively) were downloaded from the NASA Earthdata portal (<https://search.earthdata.nasa.gov>).
256 Although the data record begins in March 2000, analysis is restricted to the period from 2001-08-25 to 2019-
257 02-28. Data prior to 2001-08-25 are discarded due to an instrumental reconfiguration in 2001 creating an
258 inconsistency in the data record (Drummond et al., 2010). Data post 2019-02-28 are flagged as “beta” at the
259 time of writing, their use in scientific analysis (especially for examining long-term records of CO) being
260 discouraged until final processing and calibration occurs (MOPITT Algorithm Development Team, 2018).
261 For clarity, the original, “as-downloaded” L3 time series is referred to as “L3O” for the remainder of this
262 paper. Only retrievals that were performed during daytime hours are retained (daytime and nighttime
263 retrievals are stored as separate fields in MOP03J files). For this analysis, separate subsets of L3O are created
264 according to surface index: L3O land-only (“L3O_L”), L3O water-only (“L3O_w”), L3O mixed (“L3O_M”), L3O
265 land-or-mixed (“L3O_{LM}”). When the L3O dataset is analysed with no filtering by surface index applied, it is
266 referred to as “L3O_{NF}”.

267 The first step of L2 data processing for this study is to filter the retrievals as is done at the L3
268 processing stage. This involves:

269

- 270 • Discarding all observations for Pixel 3 (this corresponds to one of MOPITT’s four detectors);
- 271 • Discarding all observations where both (1) the channel 5A signal-to-noise-ratio (“SNR”) < 1000 and
272 (2) the channel 6A SNR < 400 (5A and 6A correspond to the average radiances for MOPITT’s length-
273 modulated cell TIR and NIR channels, respectively)

274

275 This filtering takes place because observations from specific elements on MOPITT’s detector array were
276 found to exhibit greater retrieval noise than the other elements, and their inclusion therefore lowered overall
277 L3 information content (MOPITT Algorithm Development Team, 2018). Only daytime L2 retrievals are
278 retained, using a solar zenith angle filter of < 80°.

279 From the remaining set of filtered L2 retrievals, separate area averages are taken for those with a
280 surface index of land and water, for every $1^\circ \times 1^\circ$ L3 grid box. This effectively creates two new L3 “land
281 only” and “water only” products, which are referred to herein as “L3L” and “L3W”. For clarity of analysis,
282 remaining L2 retrievals with a surface index of mixed are discarded. These make up a very small proportion
283 of the overall L2 retrievals (e.g. $< 5\%$ for the grid box containing Halifax, analysed in Ashpole and Wiacek,
284 2020). Note that, as with the creation of L3O, L2 VMR profiles for each L3 grid box are first converted to
285 $\log(\text{VMR})$ profiles before averaging, and the mean $\log(\text{VMR})$ profile is then converted back to a VMR profile
286 to give the final L3L and L3W retrievals.

287 From these L3O, L3L, and L3W datasets, only grid boxes that are classified as “coastal” using the
288 coastal grid box masked outlined in Sect. 2.3 are analysed.

289 Note that the analysis presented in this paper is restricted to daily products. Monthly L3 files are
290 available, however the absence of a monthly L2 product precludes the analysis from being conducted on
291 those data. Based on the results of the analysis of daily data, however, there is reason to also advise caution
292 if working with coastal grid boxes in the monthly L3 product. This is because the data for those grid boxes
293 will still be created from daily L2 retrievals over land and water, with the same implications that are discussed
294 in this paper.

295
296

297 **2.5. Time series preparation, statistical methods, and additional data sources**

298

299 For every coastal L3 grid box, two separate time series from each of the L3O, L3L, and L3W datasets are
300 analysed:

301

302 1. The time series analysed in Sect. 3.1 and 3.2 only contain days where L3L and L3W are both present
303 and the L3O surface index is mixed (“L3O_M”). This is to ensure that the true CO profiles are as similar
304 as possible when directly comparing L3L and L3W for a given coastal grid box. Furthermore, it
305 allows for the analysis of the resulting L3O_M data on these days with knowledge of the parent L2
306 retrievals over land and water and their differences.

307

308 2. In Sect. 3.3 and 3.4 the full time series from each dataset is analysed with no temporal filtering
309 applied.

310

311 Descriptive statistics are calculated from both time series across the whole study time period, and also
312 for individual years (full years only – 2002 to 2018 inclusive) in order to perform the regression analysis
313 outlined below.

314 To identify and compare temporal trends for each coastal grid box in the datasets outlined above,
315 weighted least squares (WLS) regression analyses is performed on yearly mean values, weighted by the
316 inverse of the standard deviation of the measurements used in the yearly mean (i.e. $1/\sigma$). For years that contain
317 just a single retrieval, the weighting is set to $1/100000$ to de-weight them in the fit. If there are more than 2
318 years in a time series for a given grid box that have no data, the regression analysis is not performed. WLS
319 is preferred over OLS because it is less sensitive to outliers. For simplicity, no other trend detection methods
320 – e.g. the Thiel-Sen slope estimator – are applied to corroborate the trends that are detected with WLS, nor
321 do we analyse additional datasets to verify them. Such extra steps would be necessary if the actual trend
322 values were the focus of this study; however, the aim of this trend analysis is instead to identify whether the
323 same method can yield different results depending on which of L3O, L3L or L3W is analysed.

324 To determine whether two trends identified are significantly different, their difference is evaluated
325 using the Z test as follows:

326

$$327 \quad Z = \frac{Trend_1 - Trend_2}{\sqrt{SE_1^2 + SE_2^2}}$$

328

329 where SE_1 and SE_2 correspond to the standard errors of $Trend_1$ and $Trend_2$ respectively, and Z is the test
330 statistic. Where Z is greater (less) than 1.645 (-1.645) the trend difference is statistically significant to at least
331 90 % (i.e. $p < 0.1$). In addition, two trends are classified as being significantly different if $Trend_1$ is
332 significantly different to zero ($p < 0.1$) but $Trend_2$ is not ($p > 0.1$), and vice-versa (i.e. the conclusion would
333 be that $Trend_1$ is not zero, but $Trend_2$ may be).

334 A list of the top 100 largest agglomerations by population in the world is obtained from
335 <http://www.citypopulation.de/> (valid at time of writing). 33 of these are situated in a coastal grid box,
336 according to the classification in Sect. 2.3. Time series of L3L, L3W, and L3O are extracted from each of
337 these grid boxes for the analysis in Sect. 3.4.

338

339

340

341

342

343 **3. Results and Discussion**

344

345 **3.1. Land-water contrast in MOPITT sensitivity**

346

347 This section demonstrates the land-water sensitivity contrast in MOPITT retrievals at levels throughout the
348 vertical profile, and examines the magnitude of the difference within coastal L3 grid boxes.

349

350

351 **3.1.1. Global context**

352

353 Figure 3 shows long-term mean maps for the retrieval sensitivity metrics AK diagonal value, AK rowsum,
354 and retrieved minus a priori VMR (“VMR ret-apr”) at selected profile levels, created from L3O data averaged
355 across the entire study period (September 2001 – February 2019, inclusive). All indicators show that retrieval
356 sensitivity is greater over land than water in the lower troposphere (“LT”; represented by the surface, 900
357 hPa and 800 hPa profile levels), with sharp differences evident at almost all land-water boundaries. The
358 sensitivity contrast clearly decreases in strength with height. By mid-tropospheric levels (“MT”; represented
359 by 600 hPa profile level), AK diagonal values and rowsums reach greater values on average over water than
360 land. Some strong land-water gradients remain present in VMR ret-apr fields, most notably over North
361 Africa, the Arabian peninsula, and south-east China, but on average these values are much more similar
362 across land and water than in the LT. No clear land-water contrast is evident in the upper troposphere (“UT”;
363 represented by the 300 hPa profile level), with retrieval sensitivity instead varying more with latitude,
364 decreasing towards both poles (a companion to Fig. 3 with an altered colour bar to better show spatial patterns
365 in AK diagonal values and rowsums at MT and UT levels is provided in the Supp. Mat. (SM2)).

366 AK diagonal values and rowsums show that retrieval sensitivity increases across both land and water
367 with height. It is lowest at the surface level, with little information content in the retrieval over water (AK
368 diagonal values and rowsums over water are less than half what they are over land, on average). There is high
369 spatial variability over land: AK diagonal values and rowsums reach values comparable to those at higher
370 profile levels in some sensitivity hotspots (e.g. parts of central Europe, east Asia, eastern USA and tropical
371 west Africa), while being more comparable to values over water in other areas. By 800 hPa, AK diagonals
372 and rowsums over water reach values comparable to or greater than those reached over land at the surface
373 level, in most places.

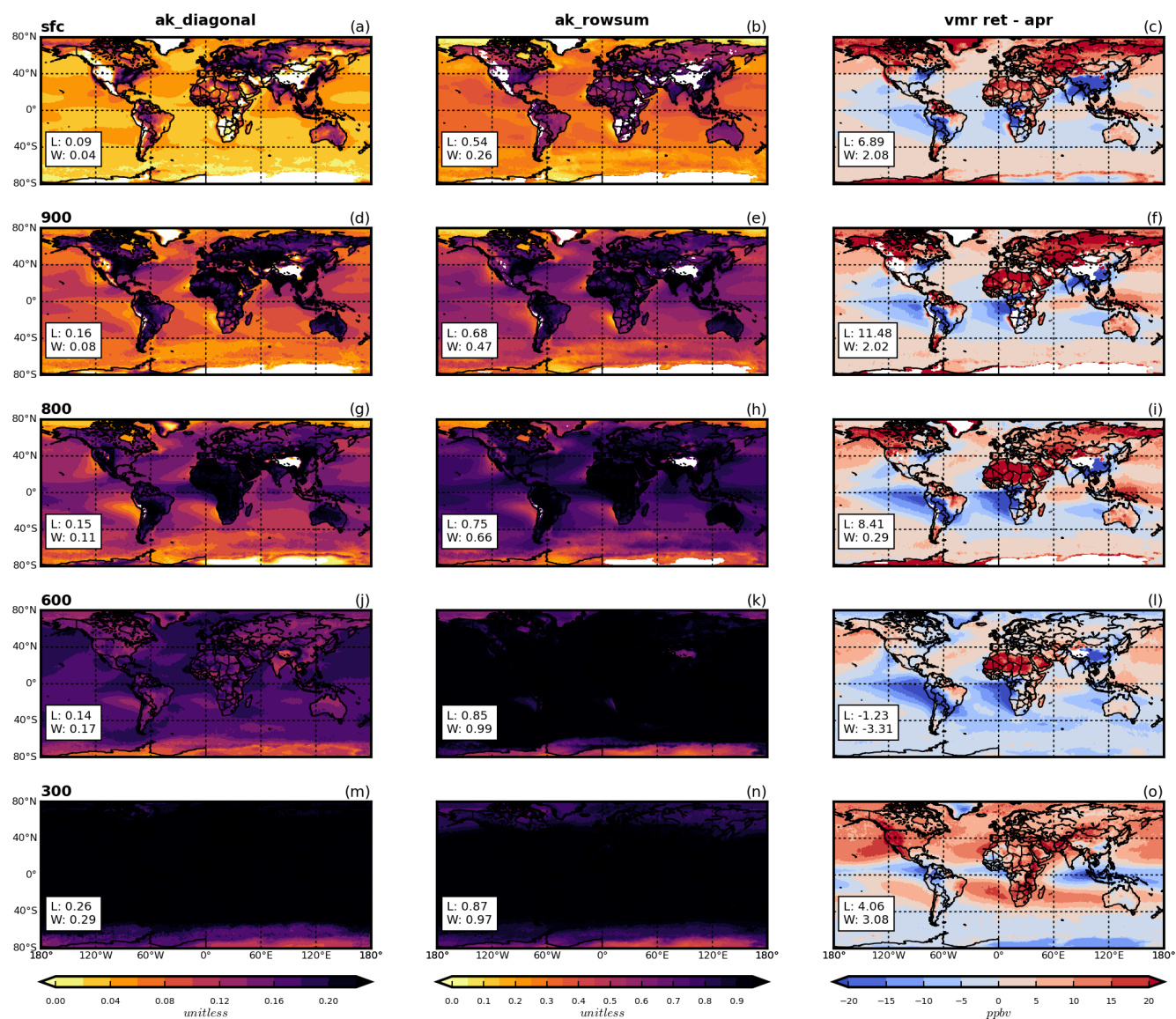


Figure 3. Mean sensitivity metrics from MOPITT L3 data, averaged across the entire study period (September 2001 – February 2019, inclusive). Shown are AK diagonal values (left column), AK rowsums (center column) and VMR retrieved minus a priori values (right column) for the following levels of the retrieved profile: surface (top row), 900 hPa (second row), 800 hPa (third row), 600 hPa (fourth row), and 300 hPa (bottom row). Values in white boxes correspond to mean values across all land (“L”) and water (“W”) L3 grid boxes.

375 Spatial patterns in retrieved minus a priori VMRs are slightly more complex to interpret, because they
376 are influenced both by retrieval sensitivity and the accuracy of the a priori. For example, while VMR ret-apr
377 values close to zero can indicate a retrieval that is heavily weighted by the a priori and therefore low retrieval
378 sensitivity, they can also indicate that the true VMR is close to the a priori value. Despite this, retrieved minus
379 a priori VMR values clearly reach more strongly positive or negative values over land than water in the LT,
380 with the contrast becoming less pronounced with height. Furthermore, there are clear land-water
381 changepoints in the LT. This further demonstrates the impact of the land-water contrast in retrieval
382 sensitivity.

383

384

385 **3.1.2. Analysis of coastal L3 grid boxes**

386

387 Scatterplots of sensitivity metrics at selected profile levels, for coastal L3 grid boxes only, are shown in Fig.
388 4. Specifically, these plots show the sensitivity of the L2 land and water retrievals that are bounded by the 1°
389 x 1° L3 grid boxes and used to create the L3O data. The values that are plotted correspond to the long-term
390 mean from the L3L and L3W datasets for these grid boxes.

391 The AK diagonal value and rowsum plots clearly demonstrate greater sensitivity over land (L3L) than
392 over water (L3W) at LT levels (a point below the diagonal line on these panels indicates greater values in
393 L3L) for the majority of grid boxes, with the difference decreasing into the MT and UT. Correspondingly,
394 retrieved VMRs also deviate more greatly from their a priori values in L3L than L3W in the LT, with smaller
395 land-water differences in the MT and UT. Mean values are significantly different ($p < 0.005$) apart from AK
396 diagonal values and retrieved minus a priori VMR at 300 hPa ($p = 0.13$ and 0.07 respectively). Sensitivity
397 metrics are generally better correlated in the MT and UT than at LT levels.

398 This analysis clearly shows how L2 retrievals that are averaged together to create the L3O data over
399 coastal grid boxes have differing degrees of sensitivity, especially in the LT. This is explicitly cautioned
400 against in the MOPITT data user's guide (MOPITT Algorithm Development Team, 2018). The remainder of
401 this paper focuses on the surface-level of the retrieved profile, since the LT is where discrepancies are
402 greatest, and the cause of this sensitivity disparity is well established: differing thermal contrast conditions
403 near to the surface over land and water; and a lack of NIR radiances being used in the retrieval over water.
404 Furthermore, the surface-level is of most interest for identifying potential air quality impacts for humans (e.g.
405 Buchholz et al., 2022).

406

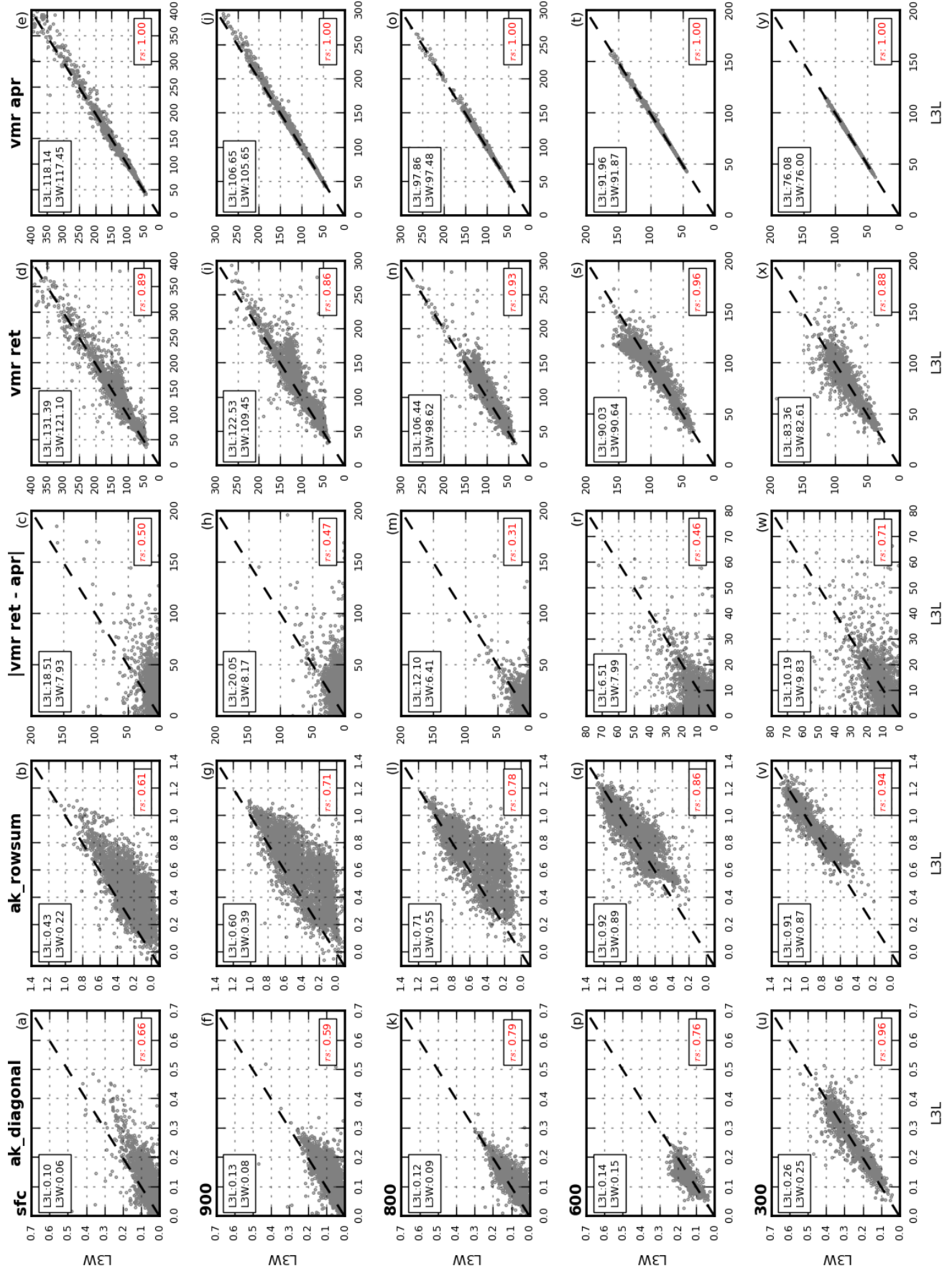


Figure 4. Mean sensitivity metrics and VMRs (retrieved and a priori) from coastal L3 grid boxes. Values compared in the scatterplots are mean values from matched L3L and L3W retrievals within these grid boxes. “Matched” means that only days when both L3L and L3W are present, and the L3O surface index is mixed, are used to create the mean values analysed. Shown are AK diagonal values (left column), AK rowsums (second column), absolute VMR retrieved minus a priori values¹ (third column), retrieved (fourth column) and a priori (fifth column) VMRs, for the following levels of the retrieved profile: surface (top row), 900 hPa (second row), 800 hPa (third row), 600 hPa (fourth row), and 300 hPa (bottom row). Values in boxes in the top-left corner of each panel correspond to mean values across all L3L and L3W grid boxes. These means are significantly different using a 2-tailed t-test (unequal variance) with $p < 0.005$ in all cases except `ak_diagonal` at 300 hPa where $p = 0.13$, `vmr_ret_minus_apr` at 300 hPa where $p = 0.07$, `vmr_ret` at 600hPa where $p = 0.30$, `vmr_ret` at 300hPa where $p = 0.11$. No `vmr_apr` mean differences are significant. Values in the bottom-right corner of each panel correspond to the Spearman’s rank correlation coefficient ($p < 0.005$ in all cases).

¹ Note that for ease of interpretation, the absolute retrieved minus a priori VMR values are plotted, i.e. ignoring whether the result is positive or negative. However, the results hold if using signed values, and a duplicate of Fig. 4 with signed retrieved minus a priori VMR values is included in the Supp. Mat. for reference (SM3).

408

409

410

411 **3.2. Differences in retrieved VMRs and temporal trends, and their relation to the land-water sensitivity**

412 **contrast**

413

414 **3.2.1. L3L vs L3W**

415

416 *Retrieved VMR comparison between L3L and L3W*

417

418 In addition to the clear land-water LT sensitivity contrast in coastal grid boxes, there are clear differences in
 419 the retrieved VMRs (Fig. 4; Fig. 5a (black boxplots)). The retrievals performed over land yield surface-level
 420 VMRs that are over 10 ppbv greater than over water, on average. As with sensitivity, land-water differences
 421 in retrieved VMRs decrease higher up in the profile.

422 Greater land-water sensitivity differences also tend to be associated with greater retrieved VMR
 423 differences. Figure 5b shows the distribution of retrieved surface level VMR differences (L3L – L3W)
 424 stratified by the corresponding surface level AK rowsum difference. Larger retrieved VMR differences are
 425 clearly associated with greater AK rowsum differences (some degree of spread in the results is expected,
 426 since the relationship also depends on the accuracy of the a priori, as outlined previously).

427 Of the 3971 coastal grid boxes that are compared, 60 % (2379) show a significant difference ($p < 0.1$,
 428 determined using a 2-tailed student’s t-test) in mean VMRs in L3L and L3W (Fig. 5a). Compared to grid
 429 boxes where the mean VMR difference is not significant, there are several notable differences (detailed in
 430 Table 1). As expected from the previous analysis, the land-water sensitivity contrast is greater when mean
 431 VMRs are significantly different (“SIGDIFF”) than when not (“NOT_SIGDIFF”). This is evident in AK
 432 rowsum and VMR retrieved minus a priori differences (the magnitude of difference between subsets is around

433 50 % and 100 %, respectively). Interestingly, the AK difference is due to sensitivity being lower over water
434 in SIGDIFF than in NOT_SIGDIFF; sensitivity over land is similar in both subsets. This may be explained
435 as follows: when sensitivity over water is especially low, as is the case in SIGDIFF, the retrieved VMR will
436 be heavily weighted by the a priori and unable to match the variation present in the more sensitive retrieval
437 over land. As sensitivity over water increases, this a priori weighting weakens and the retrieved VMR will
438 more closely track the retrieval over land, resulting in a less significant difference. Also of note, a priori
439 VMRs are much lower in SIGDIFF than in NOT_SIGDIFF, on average. Considered alongside the greater
440 retrieved minus a priori differences, this suggests that the a priori VMR could be a less accurate estimate of
441 the “true” VMR for the SIGDIFF subset, whereas it is closer to reality for the NOT_SIGDIFF subset.
442 Intuitively, this makes sense: for a hypothetical situation where the a priori VMR is a perfect match for the
443 “true” VMR, and both are uniform across a coastal L3 grid box, retrievals over the land and water portions
444 of the grid box would be expected to be identical irrespective of any differences in retrieval sensitivity over
445 those surfaces. To summarise: assuming “true” VMRs are similar over land and water within coastal L3 grid
446 boxes, differences in retrieved VMRs depend not only on the sensitivity of the retrieval, but also on the
447 accuracy of a priori VMRs used in the retrievals.

448 It should be noted that there are additional physical factors that could plausibly play a role in
449 generating the L3L – L3W retrieved VMR difference that is observed, in addition to retrieval sensitivity.
450 Given that most CO sources are land-based, a decrease in VMRs from land to water might be expected,
451 especially in the LT. However, this assumption only seems reasonable where large CO sources are proximal
452 to the coastline, as it is unrealistic to expect gradients as large as we observe in background CO (which coastal
453 grid boxes far from large CO sources are more likely to represent) across the relatively small distance covered
454 by a L3 grid box. Given the relatively long-lived, well-mixed nature of atmospheric CO, VMRs retrieved at
455 a given location are a function of both local emissions *and* transport, and the portion of coastal L3 grid boxes
456 situated over water therefore do not represent pristine conditions in comparison to the adjacent land-based
457 portion of the grid boxes. This is verified by comparing a priori VMRs (also shown in Figure 4), which
458 suggest the land-water difference in CO concentrations should be negligible (mean L3L – L3W a priori VMR
459 difference = 0.69 ppbv, compared to a mean retrieved VMR difference of 10.29 ppbv). The above reasoning
460 can also be applied to the question of whether wind direction is responsible for creating the observed L3L –
461 L3W difference in retrieved VMRs: It could be hypothesised that a prevailing onshore wind may lead to CO
462 concentrations being higher over land than water, yet the negligible L3L – L3W a priori VMR difference,
463 the fact that atmospheric CO is well-mixed, and the clear land-water sensitivity gradient that has been
464 demonstrated suggest that wind direction does not play a big role in creating the land-water difference
465 observed in retrieved VMRs. To further rule out the role of wind direction, the L3L – L3W retrieved VMR

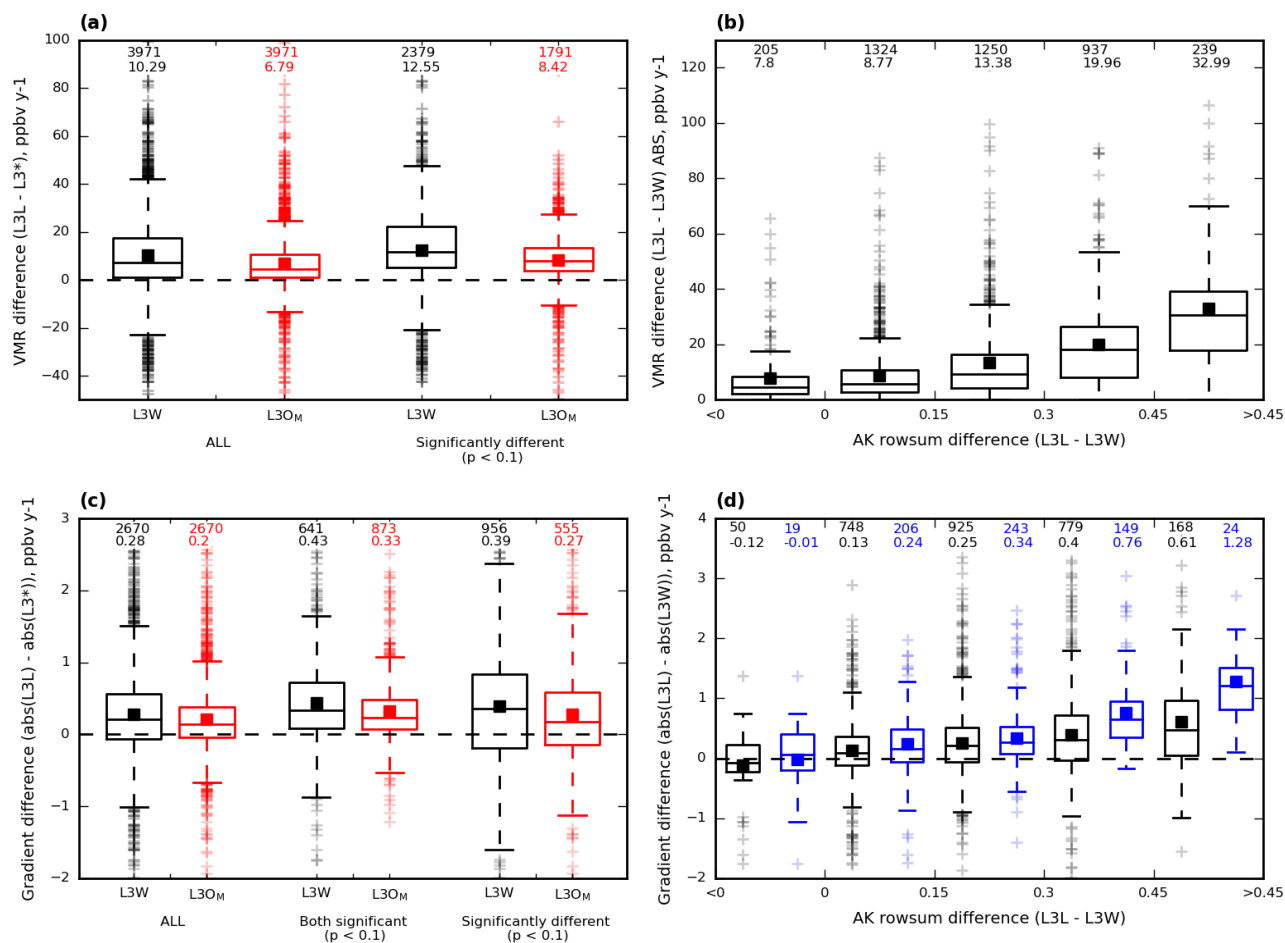


Figure 5. Boxplots showing how mean VMRs and trends from WLS analysis compare for coastal L3 grid boxes, calculated from matched retrievals within these grid boxes. “Matched” means that only days when both L3L and L3W are present and the L3O surface index is mixed are used to create the mean values analysed. Mean values are represented by filled squares, and values above the boxplots correspond to number of grid boxes with data for that boxplot, and the mean value, respectively. **(a)** Mean VMR differences for L3W (black) and L3O_M (red) compared to L3L (L3L – L3* in both cases). Shown are the differences for all coastal grid boxes, and only for those grid boxes where the difference is significant ($p < 0.1$), determined using a 2-tailed t-test. **(b)** Absolute mean VMR differences¹ between L3L and L3W, stratified according to corresponding AK rowsum difference (L3L – L3W in both cases). **(c)** Absolute differences in gradients² detected using WLS regression analysis for L3W (black) and L3O_M (red), compared to L3L (L3L – L3* in both cases). Shown are differences for all coastal grid boxes where WLS analysis could be performed, for grid boxes where both trends compared are significantly different to zero ($p < 0.1$), and for grid boxes where the trend difference is significant ($p < 0.1$). **(d)** Absolute differences in gradients² detected using WLS regression analysis between L3L and L3W, stratified according to corresponding AK rowsum difference (L3L – L3W in both cases). Shown are the differences for all coastal grid boxes where WLS could be performed (black), and only for those grid boxes where the detected trend is significant ($p < 0.1$) in both L3L and L3W (blue).

¹Absolute retrieved VMR difference values are shown in Fig. 5b for clarity, since L3L – L3W can be either positive or negative depending on whether a priori VMRs used in the retrieval are greater or less than the “true” VMR being retrieved, which complicates the analysis. The corresponding plot with raw values (i.e. not discarding the +/- sign) is included in the Supp. Mat. however, and the same conclusions can be drawn based on this figure (SM4).

²For clarity, differences between the absolute trend values (i.e. ignoring the +/- sign of the trend) are presented, since this shows the degree of difference in the trend magnitude, irrespective of trend direction. A positive trend difference in this case signifies a stronger (faster) trend in L3L than L3* (panel c) or L3W (panel d).

Table 1. Mean values for selected variables from L3L and L3W for coastal L3 grid boxes, matched retrievals only. “Matched” means that only days when both L3L and L3W are present and the L3O surface index are mixed are used to create the mean values analysed. Mean values are calculated and presented separately according to the results of a 2-tailed student’s t-test (unequal variance) performed on mean retrieved VMR values in L3L and L3W (n = 3971). Mean L3L – L3W differences are also shown for each subset (‘L-W’)

	P < 0.1 (“SIGDIFF”) (n=2379, 60 %)			P > 0.1 (“NOT_SIGDIFF”) (n=1592, 40 %)		
	L3L	L3W	L-W	L3L	L3W	L-W
Mean vmr_ret	129.97	117.41	12.55	133.52	126.60	6.90
Mean vmr apr	113.78	113.18	0.61	124.65	123.83	0.83
Mean ret-apr	16.18	4.24	11.94	8.87	2.77	6.09
Mean ak rowsum	0.43	0.18	0.24	0.44	0.27	0.16

467 comparison has been analysed alongside wind direction for several case study grid boxes, and there appears
 468 to be no notable shift in wind direction whether L3L or L3W is greater for a given grid box. Results for this
 469 analysis are given in the Supp. Mat. (SM5). The weight of evidence therefore points towards L3L – L3W
 470 retrieved VMR differences being a function of reduced retrieval sensitivity over water compared to land.

471

472 *Trend comparison between L3L and L3W*

473

474 We now compare temporal trends detected in L3L and L3W for coastal grid boxes, and relate differences to
 475 the land-water sensitivity contrast outlined previously.

476 On average, across all grid boxes where WLS can be performed in both datasets following the criteria
 477 outlined in Sect. 2.5 (n = 2670), trends are stronger in L3L than L3W (Fig. 5c (black boxplots)), with the
 478 range of differences around 2.5 ppbv y⁻¹ (~-1 ppbv y⁻¹ to 1.5 ppbv y⁻¹). When the comparison is restricted to
 479 grid boxes where both trends are significantly different to zero (p < 0.1; 641 of the 2670 grid boxes, 24 %),
 480 a greater proportion of those grid boxes have a stronger trend in L3L than L3W (> 75%), but the overall
 481 range of differences doesn’t shift by much. The L3L – L3W trend difference is significant in 956 of the 2670

482 coastal grid boxes for which the analysis can be performed (36 %), with the range in differences spanning
483 around 4 ppbv y⁻¹. The trends are negative at 75 % of coastal grid boxes in both datasets, this value increasing
484 to 95% when the trend in both L3L and L3W is significant. Descriptive stats corresponding to the trends
485 values compared are detailed in Table 2).

486 To determine whether differences in trend can be linked to differences in retrieval sensitivity, L3L –
487 L3W trend are stratified by L3L – L3W surface level AK rowsum differences (Fig. 5d). As with mean VMR
488 differences, the size of the trend difference tends to increase as the difference in AK rowsums increases. In
489 addition, as the magnitude of AK rowsum difference increases in the positive direction (i.e. increasingly
490 greater sensitivity over land), a greater proportion of trend differences are positive (i.e. a stronger trend over
491 land). This pattern is even more pronounced when restricted to grid boxes where both trends are significant
492 (also shown in Fig. 5d).

493 In summary, these results show a general tendency for trend underestimation in surface level retrievals
494 over water compared to retrievals over land in the same coastal grid boxes obtained at the same times, which
495 appears to be linked to differences in retrieval sensitivity. The relationships found in these analyses are not
496 perfect because trend differences are sensitive to several other factors, in addition to differences in retrieval
497 sensitivity. For example, a greater trend difference would be evident if the rate of change in “true” CO
498 concentrations is faster than if it is slow/negligible, for a given sensitivity difference. Similarly, there should
499 be zero trend difference if “true” CO concentration levels are stable over time, irrespective of the magnitude
500 of difference in retrieval sensitivity. The accuracy of the a priori is a further complicating factor. An
501 underlying assumption is also that the temporal trend in “true” VMRs should not vary much across a 1° x 1°
502 L3 grid box. Hedelius et al. (2021) lends credence to this assumption with the finding that CO trends are
503 similar within regions spanning a few thousand kilometres (L3 grid boxes are ~ 100 km²), and that trends
504 within urban areas are generally indistinguishable from the trend of the broader region encompassing the
505 urban area, despite an expectation that urban trends should exceed the regional background due to a
506 concentration of CO emission sources here.

507
508
509
510
511
512
513

Table 2. Descriptive stats corresponding to the WLS trends detected in L3L, L3W, and L3O_M that are compared in the boxplots of Fig. 5c.

			Mean	Std	Median	IQR
All	L3L – L3W (n = 2670)	L3L	-0.55	1.27	-0.47	1.00
		L3W	-0.49	1.08	-0.34	0.65
	L3L – L3O _M (n = 2670)	L3L	-0.55	1.27	-0.47	1.00
		L3O _M	-0.51	1.03	-0.38	0.73
Both significant (p < 0.1)	L3L – L3W (n = 641)	L3L	-1.39	1.66	-1.15	1.08
		L3W	-1.06	1.56	-0.78	0.92
	L3L – L3O _M (n = 873)	L3L	-1.24	1.64	-1.06	1.07
		L3O _M	-1.02	1.38	-0.83	0.88
Significantly different (p < 0.1)	L3L – L3W (n = 956)	L3L	-0.64	1.39	-0.65	0.92
		L3W	-0.52	1.06	-0.43	0.67
	L3L – L3O _M (n = 555)	L3L	-0.69	1.36	-0.67	0.85
		L3O _M	-0.60	1.00	-0.51	0.68

515

516 3.2.2. Consequences for L3O data with a surface index of mixed (“L3O_M”)

517

518 To recap, L3O data are given the surface index “mixed” when neither land nor water is the dominant surface
519 type of the bounded L2 retrievals, for a given retrieval time. When this is the case, the retrievals over land
520 and water are averaged together. Users of L3O data do not have the option of choosing to only analyse the
521 subset of retrievals made over land (L3L) or water (L3W), as was done in the preceding analysis. To do so

522

523 requires the original L2 retrievals. In this section, the L3O_M retrievals are compared to the L3L retrievals that
524 were analysed in the previous section. The aim here is to demonstrate how, for some L3 grid boxes,
525 information on “true” VMRs and temporal trends that is available in the L2 retrievals over land (L3L) is
526 effectively lost to users of L3O data by their averaging together with the less sensitive L2 retrievals over
527 water (L3W).

528

530

531 For long-term mean VMRs, L3O_M unsurprisingly represents a mid-point between L3L and L3W, with lower
532 VMRs than L3L, but a smaller difference range overall than L3W (Fig. 5a, red boxplots). The L3L – L3O_M
533 differences in long-term mean VMR are significant at 45 % (1791) of coastal grid boxes. All but 3 of these
534 grid boxes also see a significant difference between long-term mean VMRs in L3L and L3W. This makes
535 sense: retrievals in L3L would not be expected to differ significantly from those in L3O_M if they do not also
536 differ significantly from L3W. In total, 75 % of grid boxes that feature a significant difference between L3L
537 and L3W also see a corresponding significant difference between L3L and L3O_M. There are several notable
538 differences between this subset of coastal grid boxes (“BOTH”), compared to those that see a significant
539 difference between L3L – L3W but not between L3L and L3O_M (“L3L_L3W_ONLY”), detailed in Table 3a:

540

- 541 • The grid boxes of BOTH see greater retrieved VMR differences between L3L and L3W than the grid
542 box subset of L3L_L3W_ONLY (mean L3L – L3W difference of 13.84 vs 8.67 ppbv). This is logical:
543 L3O_M only differs significantly from L3L if the underlying L3L – L3W difference is sufficiently large
544 to persist through averaging.
- 545 • The grid boxes of BOTH also feature a greater land-water sensitivity contrast than those of
546 L3L_L3W_ONLY. This is indicated both by L3L – L3W AK rowsum differences, driven
547 predominantly by decreased sensitivity over water in BOTH; and by L3L – L3W retrieved minus a
548 priori VMR differences.
- 549 • The grid boxes of BOTH tend to have a greater proportion of their surface covered by water than land
550 when compared to L3L_L3W_ONLY. This is quantified by comparing the mean number of L2
551 retrievals over land and water that are averaged together to make L3L and L3W each day
552 (“n_ret(L3L)” and “n_ret(L3W)”), for each coastal grid box compared. A mean n_ret(L3L/L3W) ratio
553 of 0.87 for BOTH indicates a greater water influence on L3O_M than for the grid boxes of
554 L3L_L3W_ONLY, for which a mean n_ret(L3L/L3W) ratio of 1.00 indicates a more even land/water
555 split. Thus, L3O_M more closely resembles L3W – which is significantly different to L3L – in BOTH
556 than in L3L_L3W_ONLY.

557

558 It is easy to understand how each of these can lead to a L3O_M retrieval that differs significantly from the
559 corresponding L3L retrieval. Interestingly, it is also notable that retrieved and a priori VMRs are lower in
560 BOTH than in L3L_L3W_ONLY, and that retrieved minus a priori VMR values are greater in BOTH than
561 in L3L_L3W_ONLY. This could imply that the a priori VMRs are closer to reality for the grid boxes of

562 L3L_L3W_ONLY than those of BOTH, however further information on “true” VMRs is required to properly
 563 assess this.

Table 3a. Descriptive stats corresponding to matched retrievals over land and water (L3L and L3W) where the long-term mean retrieved surface level VMR in L3L and L3W is significantly different ($p < 0.1$, $n = 2379$). Grid boxes are divided into two subsets depending on whether long-term mean VMRs in L3L and L3O_M are significantly different ($p < 0.1$; “BOTH”) or not ($p > 0.1$; “L3L_L3W_ONLY”). $n_ret(L3L)$ ($n_ret(L3W)$) = the number of L2 retrievals over land (water) used to make a retrieval in L3O_M. A ratio $n_ret(L3L/L3W)$ value > 1 (< 1) implies that more of the L3 grid box surface is covered by land (water).

	BOTH ($n = 1788, 75\%$)			L3L_L3W_ONLY ($n = 591, 25\%$)		
Mean $n_ret(L3L/L3W)$	0.87			1.00		
	Land	Water	L-W	Land	Water	L-W
Mean vmr_ret	127.21	113.37	13.84	138.30	129.64	8.67
Mean vmr_apr	109.11	108.62	0.49	127.94	126.96	0.98
Mean ret_apr	18.11	4.75	13.36	10.36	2.68	7.68
Mean AK rowsum	0.42	0.16	0.26	0.46	0.26	0.20

564

565 *Trends in L3O_M*

566

567 Temporal trends detected in L3O_M are now compared to those in L3L (Fig. 5c, red boxplots). Overall, a
 568 greater number of grid boxes feature a significant trend in both L3L and L3O_M than in L3L and L3W (873
 569 vs 641; 33 % vs 24 %), and fewer see a significant difference between trends (555 vs 956; 21 % vs 36 %).
 570 This is to be expected, given that the L2 retrievals contributing to L3L also contribute to L3O_M. The trends
 571 in L3L and L3O_M are significantly different in just under half (47 %) of the grid boxes where the trend is also
 572 significantly different between L3L and L3W (“BOTH”; Table 3b). These grid boxes are clearly more water-
 573 dominated than the remaining 53 % of grid boxes where the trend difference between L3L and L3W is
 574 significant (“L3L_L3W_ONLY”) but the L3L – L3O_M difference is not. This is indicated by a mean
 575 $n_ret(L3L/L3W)$ ratio of 0.77 for BOTH vs 0.99 for L3L_L3W_ONLY. Additionally, detected trends in the
 576 grid boxes of BOTH are slightly stronger, with a greater difference between L3L and L3W, than for the
 577 L3L_L3W_ONLY subset. Those L3 grid boxes featuring the strongest land-water trend difference are
 578 therefore most likely to also see a significant trend difference between L3L and L3O_M. Again, this is logical.

579 Unlike with the retrieved VMR comparison above, however, there are no clear differences in mean retrieved
 580 or a priori VMRs, nor sensitivity metrics, between these two grid box subsets (also detailed in Table 3b).
 581 However, it is not necessarily expected that there would be clear differences in these parameters for this
 582 analysis, since trend magnitudes themselves are also a variable (i.e. the trend in “true” CO varies across
 583 space, independent of retrieval sensitivity or CO concentration, complicating the relationships outlined
 584 above).

585 Most of the grid boxes where the L3L and L3O_M trends are significantly different also feature a
 586 significant difference between L3L and L3W (453 of 555; 82 %). There are no clear differences between
 587 these and the remaining 18 % of grid boxes that, counter-intuitively, feature a significant difference between
 588 trends in L3L and L3O_M but not between trends in L3L and L3W. However, small discrepancies are to be
 589 expected for results based on statistical thresholds, especially where the variables being compared are subject
 590 to multiple different factors (e.g. land-water surface cover ratio in L3O_M; land-water sensitivity contrast;
 591 retrieved VMR differences; differences in the “true” CO concentration being retrieved and its change over
 592 time).

593

Table 3b. Descriptive stats corresponding to matched retrievals over land and water (L3L and L3W) where the temporal trend detected using WLS regression analysis on yearly-mean retrieved surface level VMR in L3L and L3W is significantly different ($p < 0.1$, $n = 956$). Grid boxes are divided into two subsets depending on whether the trend in L3L is significantly different to the corresponding trend detected in L3O_M ($p < 0.1$; “BOTH”) or not ($p > 0.1$; “L3L_L3W_ONLY”). $n_ret(L3L)$ ($n_ret(L3W)$) = the number of L2 retrievals over land (water) used to make a retrieval in L3O_M. A ratio $n_ret(L3L/L3W)$ value > 1 (< 1) implies that more of the L3 grid box surface is covered by land (water).

	BOTH (n = 447, 47 %)			L3L_L3W_ONLY (n = 509, 53 %)		
Mean n_ret(L3L/L3W)	0.77			0.99		
	Land	Water	L-W	Land	Water	L-W
Mean WLS trend	-0.72	-0.58	-0.14	-0.58	-0.47	-0.11
Mean ABS WLS trend	1.18	0.76	0.42	1.04	0.68	0.35
Mean trend standard error	0.55	0.39	0.16	0.58	0.36	0.22
Mean vmr_ret	128.25	121.36	6.90	129.22	120.20	9.02
Mean vmr_apr	117.21	117.13	0.08	116.01	115.73	0.29
Mean ret-apr	11.05	4.22	6.82	13.21	4.47	8.74
Mean AK rowsum	0.46	0.22	0.25	0.44	0.20	0.24

594 3.3. Implications for users of L3O data

595

596 So far, this paper has shown a clear difference in retrieval sensitivity over land and water for coastal grid
597 boxes, demonstrated how long-term VMR statistics and temporal trends calculated using these retrievals
598 (L3L and L3W) differ, and outlined consequences of averaging these retrievals together to create L3O_M. The
599 full time series of available data in L3O is now compared with L3L and L3W, without the constraint that a
600 retrieval needs to be present in both L3L and L3W for it to be included in the analysis. This replicates what
601 a user of the L3O data would do, i.e., work with all available data.

602 Users of MOPITT data are advised to restrict their analysis to retrievals performed over land. This
603 poses a quandary for users of L3O: what to do about days with a surface index of mixed? Therefore, the
604 implications of choosing to include or discard these days are also considered. In the subsequent sections, the
605 following subsets of the full L3O time series for each coastal grid box are analysed: the full L3O time series
606 with no filtering by surface index (“L3O_{NF}”); only days with a surface index of land (“L3O_L”); and days
607 where the surface index is land or mixed (“L3O_{LM}” – i.e., only days with a L3O surface index of water are
608 discarded).

609

610

611 3.3.1. Loss of available data

612

613 The guideline to only analyse retrievals performed over land results in a huge loss of data for coastal grid
614 boxes when using the L3O dataset. We quantify this by comparing the total number of days with data for
615 analysis at each coastal grid box in L3O_L (“n_days(L3O_L)”) and L3O_{NF} (“n_days(L3O_{NF})”) (Fig. 6a).
616 Strikingly, 35 % of coastal grid boxes (total coastal grid boxes = 4299) have zero days in L3O_L, and 67 %
617 have a surface classification of land less than 5 % of the time in L3O (yielding a n_days(L3O_L/L3O_{NF}) ratio
618 of 0.05 or less in Fig. 6a). Importantly, retrievals over land are made on a large proportion of these filtered
619 days; but they are either discarded altogether or averaged together with retrievals made over water to create
620 L3O_M. This point is demonstrated by comparison to the total number of days with data for analysis at coastal
621 grid boxes in L3L (“n_days(L3L)”). In contrast to a mean (median) n_days(L3O_L/L3O_{NF}) ratio of 0.08 (0.01),
622 a mean (median) n_days(L3L/L3O_{NF}) ratio of 0.44 (0.40) demonstrates the stark loss of available data. This
623 is further highlighted by the fact that over half (56%) of coastal grid boxes have at least 25 times more days
624 with retrievals made over land than are available for analysis in the L3O dataset if filtering guidelines are
625 followed (as shown by the ratio n_days(L3L/L3O_L) in Fig. 6b (green line)).

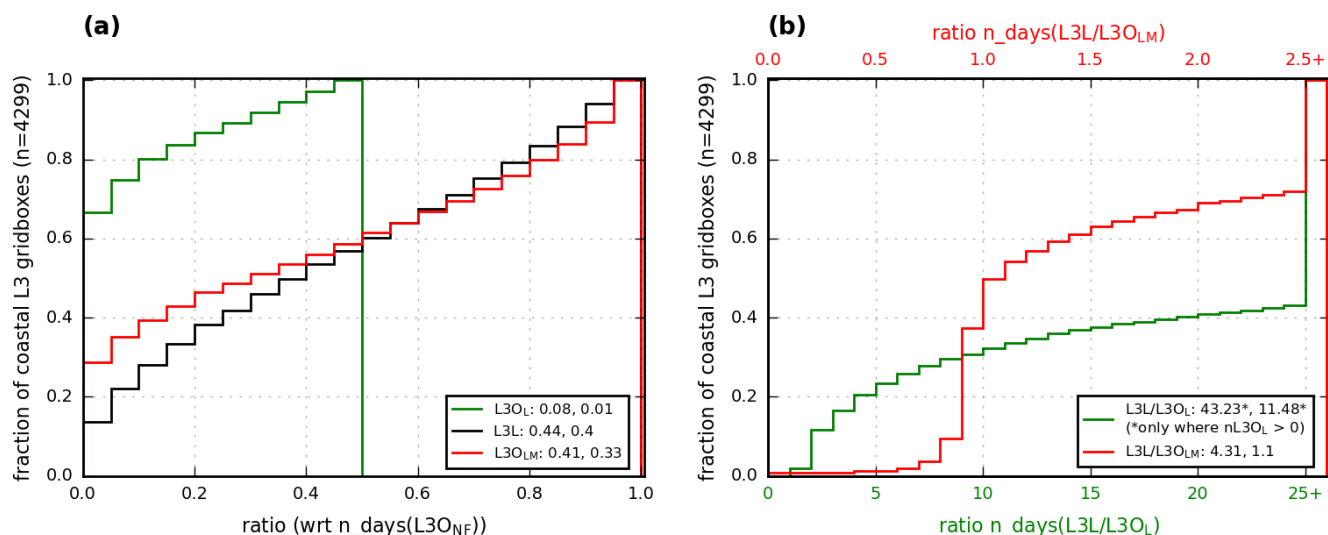


Figure 6. Cumulative frequency histograms comparing the number of days with data for different L3Osubsets and L3L at coastal L3 grid boxes. A ratio < 1 (> 1) indicates the plotted dataset has less (more) days with data than the comparison dataset that is indicated on the x-axis. **(a)** L3O_L (Green), L3L (black), and L3O_{LM} (red) are compared to the “as-downloaded” L3O dataset, without any filtering by surface index (“L3O_{NF}”). Values in legend correspond to mean and median ratio for indicated dataset, respectively. Note, as a result of how coastal grid boxes are classified (outlined in Sect. 2.3), all $n_days(L3O_L/L3O_{NF})$ ratios are below 0.5 (i.e. at best, L3O has a surface classification of land on 50 % of days) **(b)** L3L is compared with L3O_L (green line, bottom x-axis) and L3O_{LM} (red line, top x-axis). Values in legend correspond to mean and median ratios, respectively.

627 The situation can be improved for L3O users by keeping days when the L3O surface index is classified
 628 as mixed, in addition to land (“L3O_{LM}”). Even in this best-case scenario however, L3O_{LM} sees less days with
 629 data than L3L for over 60% of coastal grid boxes (ratio $n_days(L3L/L3O_{LM})$ in Fig. 6b (red line)). Moreover,
 630 the large proportion of these L3O_{LM} days have the surface index of mixed and therefore suffer from the
 631 averaging together of retrievals over land with retrievals over water which, as has been shown, can
 632 significantly impact the results of analyses using these data. This point is returned to in following sections.

633 Intuitively, it is to be expected that the ratio $n_days(L3L/L3O_{LM})$ should *never* be < 1 . L2 retrievals
 634 over land obviously contribute to days when L3O is classified as land, and should, by definition, also
 635 contribute to days when L3O is classified as mixed. In these cases, L3L will therefore also be present.
 636 However, there are two instances where L2 retrievals over land in fact do not contribute to a L3O retrieval
 637 classified as mixed. Firstly, L2 retrievals themselves also have a classification of mixed, when the L2 retrieval
 638 does not predominantly overlie water or land. L3O can thus have a surface classification of mixed when

639 created from bounded L2 retrievals that are either only retrieved over a mixed surface, or a combination of
640 mixed and water: in both cases, there are no L2 retrievals over land, and therefore no L3L. Secondly, analyses
641 performed for this paper identified numerous instances where L3O is classified as mixed, but the only
642 contributing L2 retrievals are retrievals over water. In these instances, L3O would therefore seem to be
643 misclassified. On days when this is the case, there will be no corresponding L3L retrieval. This is documented
644 further in the Supp. Mat. (SM6). Attempting to quantify the extent of this misclassification influence is
645 beyond the scope of this paper. In the vast majority of cases where a given grid box has a $n_days(L3L/L3O_{LM})$
646 ratio < 1 , the difference is negligible (i.e. 75 % of these grid boxes have a ratio between 0.9 and 1).
647 Irrespective, in terms of the number of days with retrievals available for analysis, L3L is an improvement
648 over $L3O_{LM}$ for more grid boxes than it is not.

649

650

651 **3.3.2. Scientific implications**

652

653 Long-term mean (ltm) retrieved VMR values from the different L3O subsets are compared to L3L for all
654 coastal grid boxes. As expected from the analyses in Sect. 3.2, all L3O subsets that have some influence from
655 L2 retrievals over water have a ltm retrieved VMR that is below that in L3L, on average (Fig. 7a).
656 Unsurprisingly, the closest match to L3L is $L3O_L$ (mean difference -3.1 ppbv), with the mean difference
657 increasing for each L3O subset as the influence of retrievals over water increases (e.g. $L3O_{LM}$ differs less on
658 average from L3L (mean difference = 5.2 ppbv) than $L3O_{NF}$ (mean difference = 9.1 ppbv), which additionally
659 features days when L3O is solely created from L2 retrievals performed over water).

660 Note that ltm retrieved VMRs in $L3O_L$ and L3L are not a perfect match because $L3O_L$ is only a subset
661 of L3L for each grid box considered in the analysis: L3L may be present on a day when $L3O_L$ is not owing
662 to the way that the L3O data are created (i.e., classified based on the ratio of L2 retrievals over land and
663 water, with retrievals over land potentially being discarded if these are not the majority). Apart from $L3O_L$,
664 less than 25 % of the coastal grid boxes have a retrieved ltm VMR that is greater in an L3O subset than in
665 L3L. The range of ltm differences for each of these L3O subset comparisons to L3L exceeds 35 ppbv
666 (excluding outliers), with over 25 % of coastal grid boxes compared having ltm differences exceeding 9 ppbv
667 (as indicated by boxplot upper quartile values).

668 The percentage of coastal grid boxes that feature a significant difference between ltm retrieved VMRs
669 in L3L and each L3O subset (indicated in red above each boxplot) is high: strikingly, it is found that, for the
670 two subsets that L3O users could realistically choose to analyse if following data filtering guidelines ($L3O_L$

671 or L3O_{LM}), almost a quarter (L3O_L) or almost half (L3O_{LM}) of coastal grid boxes see a significant difference
 672 to L3L.
 673

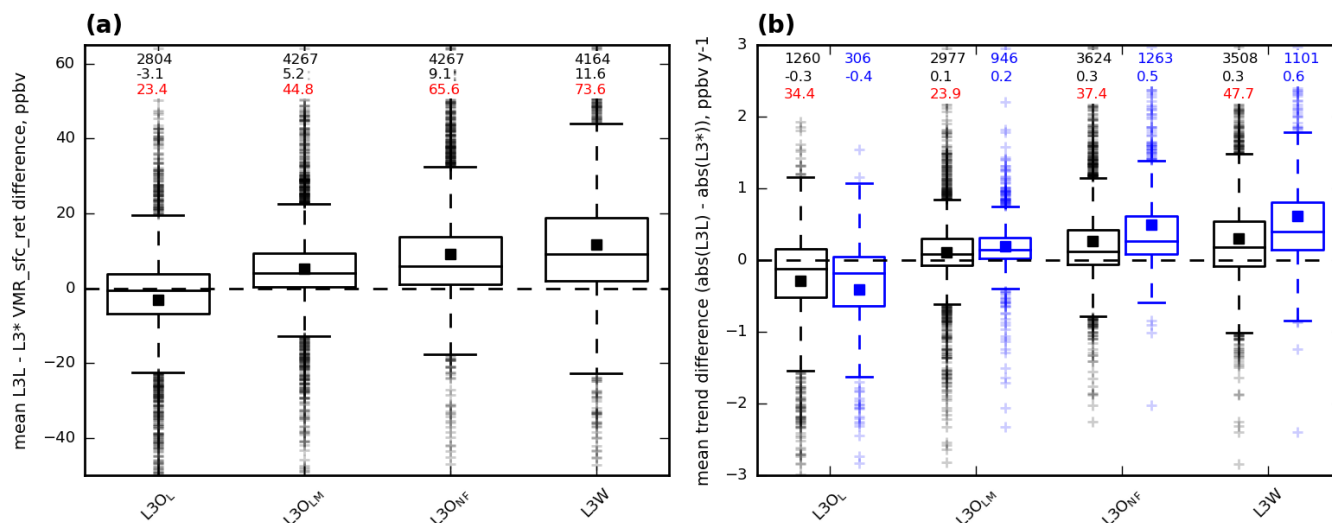


Figure 7. Boxplots showing how mean VMRs and trends compare from selected L3O subsets and L3W to L3L. Values compared are calculated from all available data across the study period. Mean values are represented by filled squares, and values above the boxplots correspond to number of grid boxes with data for that boxplot, the mean value, and the percentage of grid boxes represented in that boxplot that feature a significant difference with L3L (shown in red), respectively. The comparison is calculated as L3L – L3* in both cases; therefore a point above (below) the black y=0 line indicates that the value being compared is greater (lower) in L3L. **(a)** Mean VMR differences between L3L and the indicated L3O subset or L3W. Note that the n value is different for each boxplot because not all L3 subsets are present at every coastal grid box, as shown in Sect. 3.3.1. **(b)** Differences in gradients (absolute values) detected using WLS regression analysis between L3L and the indicated L3O subset or L3W. Shown are the differences for all coastal grid boxes where WLS could be performed for both datasets compared (black), and only for the sample of those grid boxes where the detected trend is significant ($p < 0.1$) in both (blue).

674 The results of WLS regression analysis on yearly mean values from each dataset are now compared.
 675 As expected from the earlier analysis, trends are strongest, on average, in L3L and L3O_L – this is especially
 676 so when the comparison is restricted only to trends that are significantly different from zero ($p < 0.1$) (Table
 677 4). These datasets also have the largest measures of spread, indicating their tendency to yield stronger trends
 678 than the other L3O subsets (and L3W), and these measures lessen for each L3O subset as the influence of
 679 retrievals over water increases. Concomitant with trends decreasing in strength as the influence of retrievals
 680 over water increases in each L3O subset, overall retrieval sensitivity also decreases, as indicated by the mean
 681 averaging kernel metrics shown in Table 4. Comparing the magnitude of trends at each coastal grid box,

682 significant trends are stronger in L3L for at least 75% of grid boxes for all comparison datasets apart from
683 L3O_L (Fig. 7b). L3O_L sees stronger trends than L3L on average, but the comparison of these two datasets
684 needs to be interpreted with caution due to L3O_L being a subset of L3L that features far fewer days with data,
685 as discussed previously. Like with ltm retrieved VMRs discussed above, the percentage of coastal grid boxes
686 that feature a significant difference between trends detected in L3L and each L3O subset is high, with over a
687 third (almost a quarter) of the trends in L3O_L (L3O_{LM}) being significantly different to L3L.
688

Table 4. Descriptive stats corresponding to the WLS trends detected in L3L, L3W, and selected L3O subsets. Also shown are mean averaging kernel rowsums and diagonal values corresponding to the retrievals from which trends are calculated.

		L3L	L3O _L	L3O _{LM}	L3O _{NF}	L3W
Calculated from all gridboxes where WLS could be performed	Number of grid boxes	3624	1260	2999	4288	4169
	Mean (std) trend	-0.59 (1.22)	-0.52 (1.38)	-0.50 (0.95)	-0.54 (0.67)	-0.54 (0.66)
	Median (IQR) trend	-0.45 (0.89)	-0.46 (1.08)	-0.37 (0.67)	-0.42 (0.53)	-0.40 (0.54)
	Mean AK rowsum	0.45	0.45	0.33	0.28	0.22
	Mean AK diagonal value	0.10	0.10	0.08	0.07	0.06
Calculated only from gridboxes where WLS trend is significant (p < 0.1)	Number of grid boxes	1447	453	1265	2588	2499
	Mean (std) trend	-1.23 (1.55)	-1.17 (1.90)	-0.95 (1.18)	-0.79 (0.73)	-0.78 (0.72)
	Median (IQR) trend	-0.98 (0.94)	-1.09 (1.28)	-0.74 (0.75)	-0.62 (0.56)	-0.62 (0.57)
	Mean AK rowsum	0.51	0.48	0.39	0.33	0.29
	Mean AK diagonal value	0.11	0.10	0.08	0.07	0.06

689

690

691 3.4. Illustrative examples comparing L3O and L3L: analysis of the most populous coastal cities

692

693 In this section, we analyse time series from the 33 L3 coastal grid boxes that contain cities classified amongst
694 the 100 most populous in the world (derivation outlined in Sect. 2.5) to illustrate the potential consequences
695 of working with the L3O dataset, compared to L3L. We focus our comparison on L3O_L and L3O_{LM}, as these
696 are the L3O subsets that data users would realistically choose to analyse if following the data filtering
697 guidelines. For clarity, we hereon refer to these grid boxes by the name of the city that they contain.
698

698

699

3.4.1. Number of days with data

The number of days with data in L3L, L3O_L, L3O_{LM}, and L3W (included for comparison purposes) for each of the 33 L3 coastal grid boxes analysed is displayed on the right-hand y-axis of Fig. 8. The loss of data in L3O if filtering out retrievals over water is clear: 6 of the cities cannot be studied at all using L3O_L, as there are zero days in that L3O subset. There are retrievals for all 6 in the L3O_{LM} subset, but in every case there are more days with data in L3L. Of the remaining 27 cities with data in L3O_L, only a single city (Osaka) has more 50 % of the L3L observation days. The mean $n_days(L3O_L/L3L)$ ratio for these 27 cities is 0.19 (this raises slightly to 0.23 if an additional 5 cities with only a few days (< 5) of data coverage are excluded).

L3O_{LM} compares more favourably to L3L in terms of number of days with data, due to the inclusion of days when the L3O surface index is “mixed”, with a mean $n_days(L3O_{LM}/L3L)$ ratio of 0.85. $n_days(L3O_{LM}) > n_days(L3L)$ for 11 of the 33 cities, although the ratio is less than 1.05 for all of these except San Francisco and Istanbul (ratio = 1.14 and 1.35, respectively). L3O_M is the dominant component of L3O_{LM} in all cases here, being the classification on 84 % of days, on average, across all 33 cities (max = 100 %, min = 45 %)).

3.4.2. VMR comparison

Mean VMRs calculated across the entire study period are shown in Fig. 8 for L3L, L3O_L, L3O_{LM}, and L3W. The consequence of the loss of data in L3O_L is clear: compared to L3L, mean VMR in L3O_L is higher, and the magnitude of this difference generally depends upon how much data is lost in L3O_L. Mean VMR across all cities (excluding the 6 cities where $n_days(L3O_L) = 0$) is 17.2 ppbv higher in L3O_L than in L3L. This falls to 9.8 ppbv if restricted to cities where the $n_days(L3O_L/L3L)$ ratio is greater than 0.05 (n=17), and 6.8 ppbv if restricted to cities where the $n_days(L3O_L/L3L)$ ratio is above 0.2 (n=11). The mean VMR difference (L3L – L3O_L) is significant ($p < 0.1$) for 11 of the 27 cities that can be compared; in these cases, L3O_L is a smaller subset of L3L than for the cities where mean VMR difference is not significant ($n_days(L3O_L/L3L) = 0.15$ vs 0.22, respectively), and the mean VMR difference is unsurprisingly much greater (-36.49 vs -3.93 ppbv).

The L3L – L3O_{LM} mean VMR difference is relatively small, by comparison (3.7 ppbv, all 33 cities). However, this does hide some much larger discrepancies between L3L and L3O_{LM} for certain cities, with the difference exceeding 10 ppbv in 11 cases and 20 ppb for 3 of them. The difference is significant ($p < 0.1$; “SIGDIFF”) for 13 of 33 cities (39 %). Compared to the subset where the L3L – L3O_{LM} mean difference is

733 not significant (n = 20, 61 %; “NOT_SIGDIFF”), the following characteristic differences are found (also
734 detailed in Table 5):

735

- 736 • The grid boxes in SIGDIFF have a greater proportion of their surface covered by water than
737 NOT_SIGDIFF: this is evidenced by there being relatively more retrievals over water than land in
738 SIGDIFF than NOT_SIGDIFF (the ratio $n_{ret}(L3L/L3W) = 0.51$ vs 1.02 respectively); and also by
739 the fact that on average, $L3O_L$ only contributes to $L3O_{LM}$ in SIGDIFF on 9 % of days, vs 20 % of
740 days for NOT_SIGDIFF (which means that retrievals over water contribute via $L3O_M$ more frequently
741 to $L3O_{LM}$ in SIGDIFF than NOT_SIGDIFF).
- 742 • The $L3L - L3W$ VMR_RET differences are larger in SIGDIFF than NOT_SIGDIFF (mean = 31.15
743 vs 18.44 ppbv), meaning they are less likely to be hidden by averaging to create $L3O_M$.
- 744 • Although analysis of mean averaging kernels over land and water suggest there is not a large
745 sensitivity contrast between the SIGDIFF and NOT_SIGDIFF subsets (mean $L3L - L3W$ rowsum
746 (diagonal value) differences are 0.25 vs 0.21 (0.10 vs 0.08) for SIGDIFF and NOT_SIGDIFF cities,
747 respectively), the $L3L - L3W$ ret-apr difference, which is another indicator of sensitivity difference,
748 is much greater for SIGDIFF than NOT_SIGDIFF: 21.66 vs 3.22 ppbv respectively (21.98 vs 11.88
749 ppbv if using absolute values). There is some evidence that this may be a function of the a priori
750 VMRs being closer to “true” VMRs in NOT_SIGDIFF: mean $L3L$ retrieved minus a priori VMR
751 values fall from -19.82 ppbv for SIGDIFF to -7.07 ppbv for NOT_SIGDIFF (39.86 ppbv and 18.79
752 ppbv respectively, if using absolute values). A similar pattern is seen in $L3W$, although less
753 pronounced (-14.75 and -6.73 ppbv, respectively (18.21 and 15.57 ppbv if using absolute values)).

754

755 These findings are all consistent with what was shown in Sect. 3.2.2 when identifying factors that
756 determine whether the averaging of $L2$ retrievals over land and water to create $L3O_M$ can yield a statistically
757 significantly different retrieval to $L3L$. As outlined above, $L3O_M$ is the dominant component of $L3O_{LM}$ in all
758 cases considered here (being the classification on 84 % of days, on average (max = 100 %, min = 45 %)).

759

760

761

762

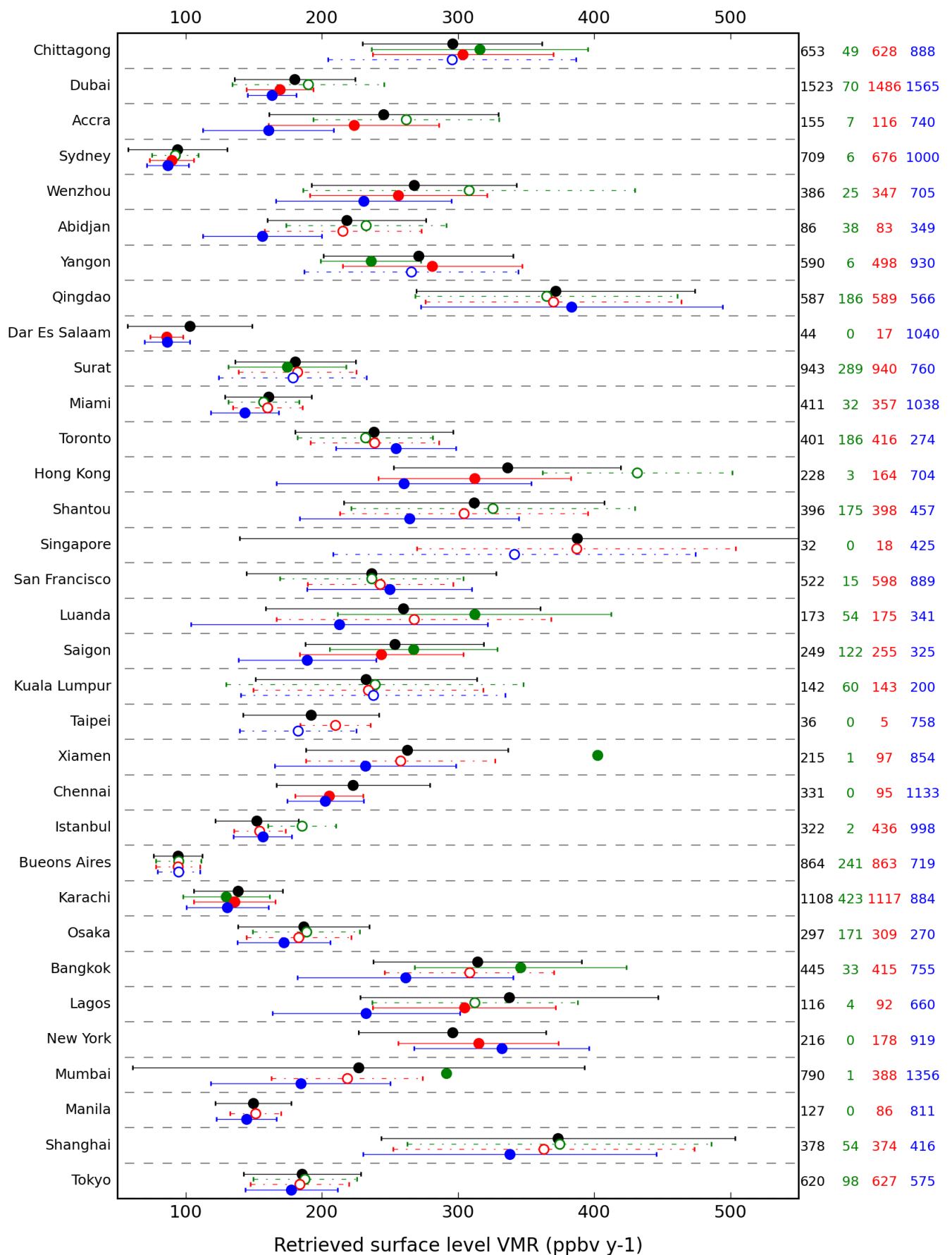


Figure 8. Comparison of long-term mean retrieved surface level VMR in L3L (black), L3O_L (green), L3O_{LM} (red), and L3W (blue), for the 33 largest coastal cities (ordered on the y-axis by population). The long-term mean value (in ppbv) is indicated by the filled/open circle on each row, and its standard deviation by the error bars. The L3L marker is always filled and lines are always solid. For other datasets, the marker style (filled/open) and line style (solid or dash/dot) indicates the significance of difference against L3L, based on an independent, 2-tailed t-test assuming unequal variance (aka “Welch’s test”): filled markers and solid lines indicate the mean is significantly different to L3L ($p < 0.1$); open markers and dash/dot lines indicate there is no significant difference to L3L. The number of retrieval days in the time series analysed for each city is given on the right-hand y-axis, color-coded according to dataset.

Table 5. Selected parameters from L3 grid boxes containing cities where mean VMR in L3L and L3O_{LM} is significantly different ($p < 0.1$).

	P < 0.1 (“SIGDIFF”) (n = 13)	P > 0.1 (“NOT_SIGDIFF”) (n = 20)
ratio $n_{ret}(L3L/L3W)^*$	0.51	1.02
% days from L3O _L	9	20
Δ VMR_RET (L3L – L3W) (ppbv)	31.15	18.44
Δ AK rowsum (L3L – L3W)	0.25	0.21
Δ AK diagonal (L3L – L3W)	0.10	0.08
Δ VMR (RET - APR) (L3L – L3W) (ppbv)	21.66	3.22
$ \Delta$ VMR (RET - APR) (L3L – L3W) (ppbv)	21.98	11.88
L3L VMR (RET - APR)	-19.82	-7.07
$ L3L$ VMR (RET - APR)	39.86	18.79
L3W VMR (RET - APR)	-14.75	-6.73
$ L3W$ VMR (RET - APR)	18.21	15.57

* $n_{ret}(L3L)$ ($n_{ret}(L3W)$) = the mean number of L2 retrievals over land (water) that are averaged to make a L3L (L3W) retrieval.

764

765

766 3.4.3. Trend comparison

767

768 The above analysis is repeated with temporal trends detected using WLS regression, as outlined in Section
769 2.5. The trend values, their associated standard errors, and an indication of their statistical significance ($p <$

0.1) are presented for each city in Fig. 9. Where trend information is not plotted from a dataset for a given city, this means that there were too few data points to perform the regression analysis.

On average, the strongest trends are seen in L3O_L. However, this often appears as an outlier compared to the other datasets – likely a consequence of the comparatively few L3O_L data points that the regression analysis is based on. As expected from previous sections, the weakest trends are detected in L3W, with L3O_{LM} representing a mid-point between this and L3L.

Of the 18 cities where WLS analysis can be performed in L3O_L, there are 9 where the resulting trend – and thus conclusion drawn from the analysis – is significantly different to that in L3L. In 3 of these cases (Dubai, Wenzhou, Bangkok), the trend in L3O_L can be judged to be a strong over-estimate given the large difference to the corresponding trends in L3L (trend standard errors do not overlap), and the very small number of days with data that these trends are based on when compared to L3L ($n_days(L3O_L/L3L)$ ratio < 0.08 in each case). There are 4 additional cities where a significant trend in L3O_L appears to be an over-estimate, when compared the L3L: Abidjan, Surat, Saigon, and Buenos Aires. This is because the trend for these cities in L3L is not significantly different to 0 which, given the higher number of days with data in L3L ($n_days(L3O_L/L3L)$ ratio = 0.44, 0.31, 0.49, 0.28, respectively), appears to be the more reliable result. The L3O_L trend for Miami is insignificant and derived from very low n. L3O_L is also the only dataset to yield an insignificant trend for Qingdao.

As with mean VMRs, trends in L3O_{LM} compare better than L3O_L to L3L. However, there are still 5 cases where L3O_{LM} and L3L yield significantly different results. For 3 of these (Dubai, Hong Kong, and Istanbul), interpretation of the difference is simple: L3O_{LM} is a significant under-estimate of the CO change over time. This is very likely due to the inclusion of retrievals over water in this dataset, as evidenced by L3W yielding a significantly weaker trend than L3L in all 3 cases. In the remaining 2 cases – New York and Saigon – interpretation is more complicated. For both these cities, the trend detected in L3L is not significantly different from zero, whereas the trend in L3O_{LM} is. Does this mean that the trend in L3O_{LM} is an over-estimate? Possibly. However, in both cases, the trends are within one standard error of each other and therefore within the range of sampling uncertainty. There are an additional 2 cities where WLS could be performed in L3L but not L3O_{LM} (Dar Es Salaam and Taipei), but $n_days(L3L)$ is so low (44 and 36, respectively) that these results are not deemed to be trustworthy.

As outlined in Sect. 2.5, it is important to note that the trends presented in this section are for illustratory purposes only, with the intention of demonstrating that different results can be obtained depending on whether L3O or L3L (and, by extension, L2) data are analysed. More focused analysis is needed to verify these trends, which is beyond the scope of this paper.

802

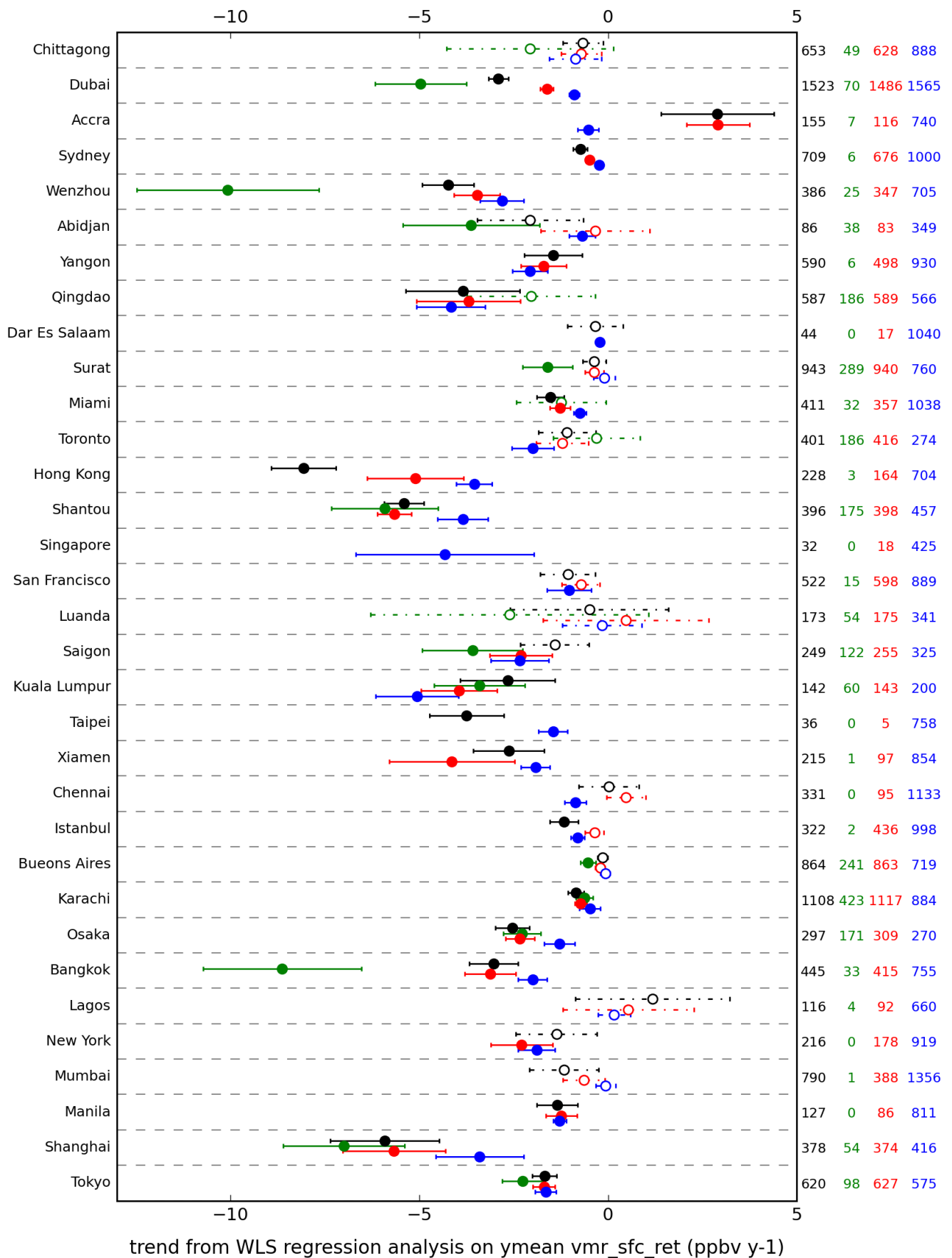


Figure 9. Comparison of temporal trend (detected using WLS, as outlined in Section 2.5) in retrieved surface level VMR in L3L (black), L3O_L (green), L3O_{LM} (red), and L3W (blue), for the 33 largest coastal cities (ordered on the y-axis by population). The trend value (in ppbv y⁻¹) is indicated by the filled/open circle on each row, and its standard error by the error bars. For all datasets, whether the marker is filled or not, and whether the lines are solid or dash/dot, depends on the significance of the trend: filled markers and solid lines indicate the trend is significant ($p < 0.1$); open markers and dash/dot lines indicate that the trend is not significantly different to zero. The number of retrieval days in the time series analysed for each city is given on the right-hand y-axis, color-coded accorded to dataset.

805 4. Summary and Conclusions

806

807 Motivated by the work of Ashpole and Wiacek (2020) which demonstrated, for the MOPITT L3 grid box
 808 containing the coastal city of Halifax, Canada, that mean VMR statistics and temporal trends differ depending
 809 on whether L2 or L3 data are analysed, this paper has examined what proportion of all coastal L3 grid boxes
 810 also see differences between results from analyses performed with L2 and L3 data. While it is recommended
 811 to MOPITT data users that analyses are restricted to retrievals performed over land owing to known
 812 sensitivity issues over water (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015), such
 813 recommendations cannot practically be followed by users of L3 data for coastal grid boxes owing to the way
 814 the data are created from their bounded L2 retrievals. In short, this study has sought to answer the question:
 815 “does it matter”? The main results are summarised below.

816 First, a direct comparison of the L2 retrievals performed over land (L3L) and water (L3W) that are
 817 averaged together to create L3 products on days when the L3 surface index is “mixed” (L3O_M) identified
 818 that:

819

- 820 • Retrieval information content is clearly greater in L3L than L3W. The corresponding mean L3L –
 821 L3W VMR difference is over 10 ppbv, significant ($p < 0.1$) at 60 % of the coastal grid boxes
 822 compared.
- 823 • Temporal trends are also stronger, on average, in L3L (mean diff = 0.28 ppbv y⁻¹, 0.43 ppbv y⁻¹ if
 824 only considering trends significantly different to zero), with the L3L – L3W trend difference
 825 significant ($p < 0.1$) at 36 % of grid boxes where a trend comparison was possible.
- 826 • Larger L3L – L3W differences in mean VMRs and trends are clearly associated with greater
 827 differences in retrieval sensitivity.

- 828
- 829
- 830
- 831
- 832
- 833
- 834
- 835
- 836
- 837
- 838
- 839
- The resulting VMRs in L3O_M are significantly different to L3L for 75 % of grid boxes where the L3L – L3W difference is also significant; this corresponds to 45 % of all coastal grid boxes compared. Whether or not L3O_M and L3L differ significantly depends on multiple factors including the ratio of land/water surface cover in the grid box, the strength of the land-water sensitivity contrast and VMR difference, and, potentially, the accuracy of the a priori.
 - Just under half of the grid boxes that featured a significant L3L – L3W trend difference also see trends differing significantly between L3L and L3O_M. As with the mean VMR comparison, these grid boxes are more water-dominated than the subset whereby the L3L – L3W trend difference is significant but the L3L – L3O_M trend difference is not. They also feature stronger L3L – L3W trend differences overall, but no other variables (such as ltm VMRs and sensitivity metrics) show clear differences.

840 Having established the degree of difference in L3O_M and L3L retrievals that is caused directly by
841 averaging L3L with the less-sensitive L3W, the full L3O dataset with differing surface filtering options was
842 compared to L3L:

- 843
- 844
- 845
- 846
- 847
- 848
- 849
- 850
- 851
- 852
- 853
- 854
- 855
- 856
- 857
- 858
- 859
- 860
- If L3O is filtered so that only retrievals over land (L3O_L) are analysed, as has been recommended (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015), there is a huge loss of data, in terms of days with data to analyse. This is a direct result of L2 retrievals over land routinely being discarded during the L3O creation process, or averaged with L2 retrievals over water, creating L3O_M (at least for coastal grid boxes). The problem can be alleviated by also retaining L3O_M retrievals, but these additional days with data feature some influence from retrievals made over water that can affect results, as outlined. The resulting L3O_{LM} subset still has less days with data than in L3L for 61 % of coastal grid boxes.
 - Almost a quarter (half) of coastal grid boxes see a significant difference in ltm VMR between L3L and L3O_L (L3O_{LM}). Over a third (almost a quarter) of the trends in L3O_L (L3O_{LM}) are significantly different to L3L.
 - Focusing on the L3 grid boxes containing the 33 largest coastal cities in the world, mean VMRs in L3O_L and L3L differ significantly for 11 of the 27 grid boxes that can be compared (40 %; there are no L3O_L data for the remaining 6 cities). The L3L – L3O_{LM} mean VMR difference across all 33 grid boxes is relatively small (3.7 ppbv), but this does hide some much larger discrepancies, with the difference exceeding 10 ppbv for 11 of the 33 grid boxes and 20 ppbv for 3 of them. The difference is significant for 13 of 33 grid boxes (39 %). Of the 18 grid boxes where WLS analysis can be

861 performed in L3O_L, there are 9 cases where the trend is significantly different to that in L3L. The
862 trends in L3O_{LM} and L3L differ significantly for 5 of the 33 grid boxes.

863
864 From these results, it can be concluded that, yes, for at least a quarter of all MOPITT coastal L3 grid
865 boxes, it does matter that there is limited capacity to filter out the influence of retrievals over water in L3
866 data – at least without a huge loss of temporal coverage. Demonstrably, there are significant differences in
867 the mean VMRs and temporal trends that can be obtained using L3O and L3L, sometimes very large. These
868 differences could have tangible consequences, depending on the purpose for which the MOPITT data are
869 being used. While acknowledging that this analysis has also shown that there is a sizeable proportion of
870 coastal grid boxes where statistically, mean VMRs and trends do not differ significantly between L3L and
871 L3O, there is enough evidence to support the suggestion from Ashpole and Wiacek (2020) that an additional
872 L3 “land-only” product, created only from averaging bounded L2 retrievals performed over land – the L3L
873 dataset that has been analysed in this paper – would be beneficial to the research community. This dataset
874 would enable L3 users to maximize retrieval information content for coastal L3 grid boxes, as is currently
875 only possible with L2 data, while also preserving the benefits of L3 products, such as smaller file size and
876 greater accessibility of gridded products. Although this paper has focused only on analysis of MOPITT data,
877 it is reasonable to question whether the findings are applicable to data products from other satellite
878 instruments that make CO retrievals based on observed thermal-infrared radiances, such as AIRS
879 (Atmospheric InfraRed Sounder), TES (Tropospheric Emission Spectrometer), and IASI (Infrared
880 Atmospheric Sounding Interferometer).

886 **Data availability**

887

888 MOPITT data were downloaded from the NASA Earthdata portal (<https://search.earthdata.nasa.gov/>). The
889 L3L and L3W products analysed in this study are available on request from the corresponding author.

890

891

892 **Author contributions**

893

894 IA and AW jointly conceived of and designed the study. IA performed data analysis; both authors examined
895 and interpreted the results, and prepared the manuscript.

896

897

898 **Competing interests**

899

900 The authors declare that they have no conflict of interest.

901

902

903 **Acknowledgements**

904

905 The authors received funding from the Canadian Space Agency through the Earth System Science Data
906 Analyses program (grant no. 16SUASMPTN), the Canadian National Science and Engineering Research
907 Council through the Discovery Grants Program, and Saint Mary's University. We thank the MOPITT team
908 for providing the data used in this study. The authors would also like to thank two anonymous reviewers
909 whose thoughtful comments helped to improve this manuscript.

910

911

912

913

914

915

916

917

918

919 **References**

920

921 Ashpole, I., & Wiacek, A.: Impact of land-water sensitivity contrast on MOPITT retrievals and trends over
922 a coastal city, *Atmospheric Measurement Techniques*, 13(7), 3521–3542, [https://doi.org/10.5194/amt-13-](https://doi.org/10.5194/amt-13-3521-2020)
923 [3521-2020](https://doi.org/10.5194/amt-13-3521-2020), 2020.

924 Buchholz, R. R., Worden, H. M., Park, M., Francis, G., Deeter, M. N., Edwards, D. P., Emmons, L. K.,
925 Gaubert, B., Gille, J., Martínez-Alonso, S., Tang, M., Kumar, R., Drummond, J. R., Clerbaux, C., George,
926 M., Coheur, P-F., Hurtmans, D., Bowman, K. W., Luo, M., Payne, V. H., Worden, J. R., Chin, M., Levy,
927 R. C., Warner, J., Wei, Z., Kulawik, S. S.: Air pollution trends measured from Terra: CO and AOD over
928 industrial, fire-prone, and background regions, *Remote Sensing of Environment*, 256, 112275,
929 <https://doi.org/10.1016/j.rse.2020.112275>, 2021.

930 Buchholz, R. R., Park, M., Worden, H. M., Tang, W., Edwards, D. P., Gaubert, B., Deeter, M. N., Sullivan,
931 T., Ru, M., Chin, M., Levy, R. C., Zheng, B., Magzamen, S.: New seasonal pattern of pollution emerges
932 from changing North American wildfires, *Nature Communications* 13(2043),
933 <https://doi.org/10.1038/s41467-022-29623-8>, 2022

934 Deeter, M. N., Emmons, L. K., Francis, G. L., Edwards, D. P., Gille, J. C., Warner, J. X., Khattatov, B.,
935 Ziskin, D., Lamarque, J.-F., Ho, S.-P., Yudin, V., Attié, J.-L., Packman, D., Chen, J., Mao, D. Drummond,
936 J. R.: Operational carbon monoxide retrieval algorithm and selected results for the MOPITT instrument,
937 *Journal of Geophysical Research*, 108(D14), 4399, <https://doi.org/10.1029/2002JD003186>, 2003.

938 Deeter, M. N., Edwards, D. P., Gille, J. C., and Drummond, J. R.: Sensitivity of MOPITT observations to
939 carbon monoxide in the lower troposphere, *Journal of Geophysical Research Atmospheres*, 112(24), 1–9,
940 <https://doi.org/10.1029/2007JD008929>, 2007.

941 Deeter, M. N., Martínez-Alonso, S., Edwards, D. P., Emmons, L. K., Gille, J. C., Worden, H. M., Pittman, J.
942 V., Daube, B. C. and Wofsy, S. C.: Validation of MOPITT Version 5 thermal-infrared, near-infrared, and
943 multispectral carbon monoxide profile retrievals for 2000-2011, *Journal of Geophysical Research*
944 *Atmospheres*, 118(12), 6710–6725, <https://doi.org/10.1002/jgrd.50272>, 2013.

945 Deeter, M. N., Martínez-Alonso, S., Edwards, D. P., Emmons, L. K., Gille, J. C., Worden, H.M., Sweeney,
946 C., Pittman, J. V., Daube, B. C., and Wofsy, S. C.: The MOPITT Version 6 product: Algorithm
947 enhancements and validation, *Atmospheric Measurement Techniques*, 7(11), 3623–3632,
948 <https://doi.org/10.5194/amt-7-3623-2014>, 2014.

949 Deeter, M. N., Edwards, D. P., Gille, J. C., and Worden, H. M.: Information content of MOPITT CO profile
950 retrievals: Temporal and geographical variability, *Journal of Geophysical Research: Atmospheres*,
951 120(24), 12723–12738, <https://doi.org/10.1002/2015JD024024>, 2015.

952 Deeter, M. N., Edwards, D. P., Francis, G. L., Gille, J. C., Mao, D., Martínez-Alonso, S., Worden, H.M,
953 Ziskin, D., and Andreae, M. O.: Radiance-based retrieval bias mitigation for the MOPITT instrument:
954 The version 8 product, *Atmospheric Measurement Techniques*, 12(8), 4561–4580,
955 <https://doi.org/10.5194/amt-12-4561-2019>, 2019.

956 Deeter, M., Francis, G., Gille, J., Mao, D., Martínez-Alonso, S., Worden, H., Ziskin, D., Drummond, J.,
957 Commane, R., Diskin, G., and McKain, K.: The MOPITT Version 9 CO Product: Sampling Enhancements
958 and Validation, *Atmos. Meas. Tech. Discuss.* [preprint], <https://doi.org/10.5194/amt-2021-370>, in review,
959 2021.

960 Drummond, J. R., Zou, J., Nichitiu, F., Kar, J., Deschambaut, R., and Hackett, J.: A review of 9-year
961 performance and operation of the MOPITT instrument, *Advances in Space Research*, 45(6), 760–774,
962 <https://doi.org/10.1016/j.asr.2009.11.019>, 2010.

963 Drummond, J. R., Hackett, J., and Caldwell, D.: Measurements of pollution in the troposphere (MOPITT),
964 in: *Optical Payloads for Space Missions*, edited by: Shen-En Qian, Wiley and Sons, West Sussex, UK,
965 639–652, 2016.

966 Duncan, B. N., Logan, J. A., Bey, I., Megretskaia, I. A., Yantosca, R. M., Novelli, P. C., Jones, N.B., and
967 Rinsland, C. P.: Global budget of CO, 1988 - 1997: Source estimates and validation with a global model,
968 *Journal of Geophysical Research Atmospheres*, 112(22), D22301, <https://doi.org/10.1029/2007JD008459>,
969 2007.

970 Edwards, D. P., Halvorson, C. M., and Gille, J. C.: Radiative transfer modeling for the EOS Terra satellite
971 Measurement of Pollution in the Troposphere (MOPITT) instrument, *Journal of Geophysical Research*
972 *Atmospheres*, <https://doi.org/10.1029/1999JD900167>, 1999.

973 Francis, G. L., Deeter, M. N., Martínez-Alonso, S., Gille, J. C., Edwards, D. P., Mao, D., Worden, H. M.,
974 and Ziskin, D.: Measurement of Pollution in the Troposphere Algorithm Theoretical Basis Document:
975 Retrieval of Carbon Monoxide Profiles and Column Amounts from MOPITT Observed Radiances (Level
976 1 to Level 2), *Atmospheric Chemistry Observations and Modelling Laboratory*, National Center for
977 Atmospheric Research, Boulder, Colorado, downloaded from:
978 https://www2.acom.ucar.edu/sites/default/files/mopitt/ATBD_5_June_2017.pdf, 2017.

979 Hedelius, J. K., Toon, G. C., Buchholz, R. R., Iraci, L. T., Podolske, J. R., Roehl, C. M., Wennberg, P. O.,
980 Worden, H. M., Wunch, D.: Regional and Urban Column CO Trends and Anomalies as Observed by
981 MOPITT Over 16 Years, *Journal of Geophysical Research: Atmospheres*, 126(5), 1–18,
982 <https://doi.org/10.1029/2020JD033967>, 2021.

983 Lamarque, J. F., Emmons, L. K., Hess, P. G., Kinnison, D. E., Tilmes, S., Vitt, F., Heald, C. L., Holland, E.
984 A., Lauritzen, P. H., Neu, J., Orlando, J. J., Rasch, P. J., and Tyndall, G. K.: CAM-chem: Description and

985 evaluation of interactive atmospheric chemistry in the Community Earth System Model, *Geoscientific*
986 *Model Development*, 5(2), 369–411, <https://doi.org/10.5194/gmd-5-369-2012>, 2012.

987 MOPITT Algorithm Development Team: MOPITT (Measurements of Pollution in the Troposphere) Version
988 8 Product User’s Guide, Atmospheric Chemistry Observations and Modeling Laboratory, National Center
989 for Atmospheric Research, Boulder, downloaded from:
990 https://www2.acom.ucar.edu/sites/default/files/mopitt/v8_users_guide_201812.pdf, 2018.

991 Pan, L., Edwards, D. P., Gille, J. C., Smith, M. W., and Drummond, J. R.: Satellite remote sensing of
992 tropospheric CO and CH₄: forward model studies of the MOPITT instrument, *Applied Optics*, 34(30),
993 6976. <https://doi.org/10.1364/ao.34.006976>, 1995.

994 Pan, L., Gille, J. C., Edwards, D. P., Bailey, P. L., and Rodgers, C. D.: Retrieval of tropospheric carbon
995 monoxide for the MOPITT experiment, *Journal of Geophysical Research*, 103(D24), 32277.
996 <https://doi.org/10.1029/98JD01828>, 1998.

997 Rodgers, C. D.: *Inverse Methods for Atmospheric Sounding, Theory and Practice*, World Scientific,
998 Singapore, 2000.

999 Worden, H. M., Deeter, M. N., Edwards, D. P., Gille, J. C., Drummond, J. R., and Nédélec, P.: Observations
1000 of near-surface carbon monoxide from space using MOPITT multispectral retrievals, *Journal of*
1001 *Geophysical Research Atmospheres*, 115(18), 1–12, <https://doi.org/10.1029/2010JD014242>, 2010.

1002 Worden, H. M., Deeter, M. N., Edwards, D. P., Gille, J., Drummond, J., Emmons, L. K., Francis, G., and
1003 Martínez-Alonso, S.: 13 years of MOPITT operations: Lessons from MOPITT retrieval algorithm
1004 development, *Annals of Geophysics*, 56(FAST TRACK 1), 1–5, <https://doi.org/10.4401/ag-6330>, 2014.