

1 Differences in MOPITT surface level CO retrievals and trends from Level 2 and Level 2 3 products in coastal grid boxes

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11 Abstract

12 Users of MOPITT data are advised to discard retrievals performed over water from analyses. This is because
13 MOPITT retrievals are more sensitive to near-surface CO when performed over land than water, meaning
14 that they have a greater measurement component and are less tied to the a priori CO concentrations (which
15 are taken from a model climatology) that are necessarily used in their retrieval. MOPITT Level 3 (L3)
16 products are a 1° x 1° gridded average of finer resolution (~22 x 22 km) Level 2 (L2) retrievals. In the case
17 of coastal L3 grid boxes, L2 retrievals performed over both land and water may be averaged together to create
18 the L3 product, with L2 retrievals over land not contributing to the average at all in certain situations. This
19 conflicts with data usage recommendations. The aim of this paper is to highlight the consequences that this
20 has on surface level retrievals and their temporal trends in “as-downloaded” L3 data (“L3O”), by comparing
21 them to those obtained if only the L2 retrievals performed over land are averaged to create the L3 product
22 (“L3L”), for all identified coastal L3 MOPITT grid boxes. First, the difference between surface level
23 retrievals in L3L and the corresponding L2 retrievals performed over water (“L3W”) is established, for days
24 when they are averaged together to create the L3O product for coastal grid boxes (yielding a L3O surface
25 index of “mixed” (“L3O_m”). Mean retrieved VMRs in L3L differ by over 10 ppbv from those in L3W, and
26 temporal trends detected in L3L are between 0.28 ppbv y⁻¹ and 0.43 ppbv y⁻¹ stronger than in L3W, on
27 average. These L3L – L3W differences are clearly linked to retrieval sensitivity differences, with L3W being
28 more heavily tied to the a priori CO profiles used in the retrieval, which are a model-derived monthly mean
29 climatology that, by definition, has no trend year-to-year. VMRs in the resulting L3O_M are significantly
30 different to L3L for 45 % of all coastal grid boxes, corresponding to 75 % of grid boxes where the L3L –
31 L3W difference is also significant. Just under half of the grid boxes that featured a significant L3L – L3W
32 trend difference also see trends differing significantly between L3L and L3O_M. Factors that determine
33 whether L3O_M and L3L differ significantly include proportion of the surface covered by land/water, and the
34 magnitude of land-water contrast in retrieval sensitivity. Comparing the full L3O dataset to L3L, it is shown

35 that if L3O is filtered so that only retrievals over land (L3O_L) are analysed – as recommended – there is a
36 huge loss of days with data for coastal grid boxes. This is because L2 retrievals over land are routinely
37 discarded during the L3O creation process for these grid boxes. There is less data loss if L3O_M retrievals are
38 also retained, but the resulting L3O “land or mixed” (L3O_{LM}) subset still has less data days than L3L for 61
39 % of coastal grid boxes. As shown, these additional days with data feature some influence from retrievals
40 made over water, demonstrably affecting mean VMRs and their trends. Coastal L3 grid boxes contain 33 of
41 the 100 largest coastal cities in the world, by population. Focusing on the L3 grid boxes containing these
42 cities, it is shown that mean VMRs in L3O_L and L3L differ significantly for 11 of the 27 grid boxes that can
43 be compared (there are no L3O_L data for 6 of the grid boxes studied), with 9 of the 18 grid boxes where
44 temporal trend analysis can be performed in L3O_L featuring a trend that is significantly different to that in
45 L3L. These differences are a direct result of the data loss in L3O_L – data that are available in L2 data (and
46 are incorporated into the L3L product created for this study). The L3L – L3O_{LM} mean VMR difference
47 exceeds 10 (22) ppbv for 11 (3) of these 33 grid boxes, significant in 13 cases, with significant temporal trend
48 differences in 5 cases. It is concluded that a L3 product based only on L2 retrievals over land – the L3L
49 product analysed in this paper, available for public download – could be of benefit to MOPITT data users.

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53 **1. Introduction**

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55 Carbon monoxide (CO) is directly emitted into the atmosphere from anthropogenic (e.g. fossil fuel burning)
56 and natural (e.g. wildfire) sources, and also produced via the oxidation of hydrocarbons in the atmosphere.
57 With an atmospheric lifetime of weeks to months (e.g. Duncan et al., 2007), it is an important tracer of
58 pollutant transport and indicator of emission sources. While a health concern at high enough concentrations,
59 CO also plays an important role in atmospheric chemistry, for example as a precursor to ozone formation and
60 a primary sink for the hydroxyl radical. Atmospheric CO concentrations have decreased since the start of the
61 21st century, with a slowdown in the rate of decline observed in recent years (Buchholz et al., 2021). Trends
62 also show substantial spatial variability (Hedelius et al., 2021). Satellite instruments have been central to our
63 understanding of global change in CO concentrations, with the Measurement of Pollution in the Troposphere
64 (MOPITT – Drummond et al., 2010, 2016; frequently used abbreviations are defined in Appendix A)
65 instrument well suited to this task, providing a nearly-unbroken and consistent data record since the year
66 2000.

67 MOPITT observes upwelling radiances at thermal infrared (TIR) and near infrared (NIR) wavelengths
68 and uses these in an optimal estimation retrieval algorithm to retrieve coarse vertical resolution CO profiles,

69 which are integrated to give total column amounts. Among multiple additional inputs required by the retrieval
70 algorithm, a priori CO profiles – which describe the most probable state of the CO profile at a given location
71 – are necessary to constrain the retrieval to physically reasonable limits (Pan et al., 1998; Rodgers, 2000; the
72 retrieval algorithm is outlined in more detail in Sect. 2.1). For the most recent iterations of MOPITT products,
73 these a priori CO profiles are based on a monthly climatology from a chemical transport model. The degree
74 to which a given MOPITT retrieval reflects information obtained from the observed radiances – known as
75 “information content” – is highly spatially and temporally variable, depending on scene-specific factors such
76 as surface temperature, thermal contrast in the lower troposphere, and the actual (“true”) CO loading itself,
77 as well as on instrumental noise (e.g. Deeter et al., 2015). The lower the retrieval information content, the
78 closer the retrieved CO loading will be to the a priori; a model value.

79 Retrievals that take place over water are known to have a lower information content than retrievals
80 that take place over land. Primarily, this is due to weak thermal contrast near to the surface hampering the
81 instrument’s ability to sense CO absorption in the lowermost layers of the troposphere (Deeter et al., 2007;
82 Worden et al., 2010), and this is confounded by a lack of NIR reflectance over water, which limits these
83 retrievals to TIR wavelengths only. It is therefore recommended that MOPITT data users exclude these
84 retrievals from any analyses they perform, to ensure that results are not biased by retrievals that have a heavy
85 reliance on the a priori (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015). Such filtering
86 is specifically emphasised where the focus of analysis is the identification of long-term CO trends, because
87 any real trends in the data will be weakened by the inclusion of retrievals that are tied heavily to the a priori
88 (Deeter et al., 2015). This is because the a priori CO profiles are taken from monthly modelled CO
89 climatologies: for a given location and day of the year, they will be the same every year and therefore feature
90 no temporal trend (Deeter et al., 2014).

91 MOPITT data are available as either Level 2 (“L2”) or Level 3 (“L3”) products. L2 products contain
92 each individual retrieval, at ~22 x 22 km spatial resolution. L3 products are a 1° x 1° gridded area-average of
93 the individual L2 retrievals that fall within each grid box (see Fig. 1), with some filtering criteria applied.
94 One criterion is the surface type over which the L2 retrievals were performed – either land, water, or “mixed”.
95 If more than 75 % of the bounded L2 retrievals were performed over the same surface type then only those
96 retrievals are averaged to create the L3 product and the rest are discarded; otherwise, all bounded L2 retrievals
97 are averaged, and the L3 product is given the surface type classification of “mixed” (L3 surface type
98 classification is explained in more detail in Sect. 2.2). This creates a problem for L3 grid boxes that overlay
99 coastlines: To a greater or lesser extent, these L3 products will have some contribution from L2 retrievals
100 performed over water, as shown in Fig. 1. L3 product users have limited capability to discard them, at least
101 without sacrificing temporal resolution, because each L3 grid box only has a single “retrieval” per day. By

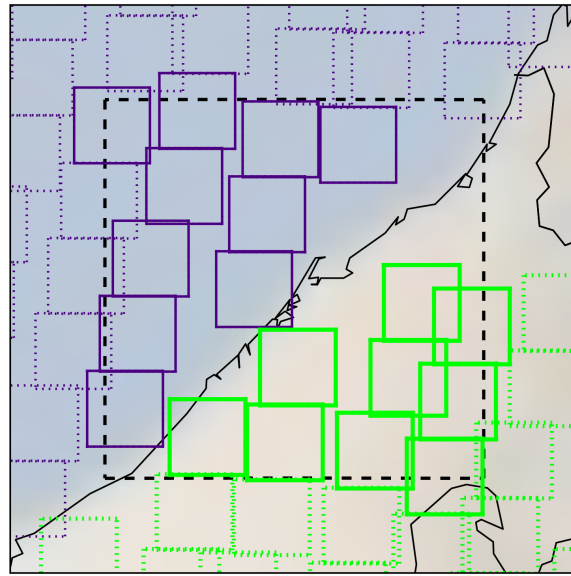


Figure 1. Example of a coastal L3 grid box (black dashed box) and bounded L2 retrievals from which the L3 products for that grid box are created. Purple (green) boxes correspond to L2 retrievals with a surface index of “water” (“land”). Note that only L2 retrievals with a midpoint that falls within the boundaries of the L3 grid box will be used in L3 creation for that grid box. These are indicated by solid purple/green outlines – those not included in L3 creation for this grid box are shown with dotted purple/green outlines. More information on surface indexing and L3 product creation is given in Sect. 2.2. “Coastal” L3 grid box classification is outlined in Sect. 2.3. The coastal L3 grid box visualized here contains the city of Dubai (~centre = 55.296° E, 25.277° N), which features in the case study analysis of Sect 3.4. Faint background shading is from NASA Blue Marble imagery.

102 contrast, with L2 products it is possible, for the same coastal grid boxes, to choose to retain only the retrievals
 103 performed over land. In practical terms, this means that, for coastal L3 grid boxes, valuable retrieval
 104 information over land, available in L2 products, can be lost to users of L3 products.

105 With a focus on the coastal L3 grid box containing the city of Halifax, Canada, Ashpole and Wiacek
 106 (2020) demonstrate the consequences of this loss of retrieval information in L3 products. They compare the
 107 results of analyses performed using L3 data and L2 data whereby only bounded retrievals performed over
 108 land were retained, and find significant differences in both seasonal mean statistics and the magnitudes of
 109 trends identified in surface level CO. These differences are a direct result of the L3 products being dominated
 110 by L2 retrievals over water, which feature a weaker trend than the L2 retrievals over land, demonstrably due
 111 to a greater a priori influence owing to their reduced true-profile sensitivity, especially close to the surface.
 112 In their conclusions, Ashpole and Wiacek (2020) suggest that L2 retrievals over water should not contribute
 113 to L3 products for coastal grid boxes, which would be consistent with previous data filtering
 114 recommendations (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015). The study presented
 115 here expands that work to the global scale.

116 The aim of this paper is to compare surface level retrievals and their temporal trends in “as-
117 downloaded” L3 data (“L3O”; a list of dataset short names is given in Table 1) with those that could be
118 obtained if only the L2 retrievals performed over land are averaged to create the L3 product (“L3L”, Ashpole
119 and Wiacek (2022) – outlined in Sect. 2.4), for all identified coastal L3 MOPITT grid boxes around the globe.
120 It is necessary to identify whether there are differences for two reasons: firstly, L3 data are more convenient
121 for long time series analysis than L2 data owing to their smaller file size (~25 MB vs ~450 MB respectively,
122 for a single daily, global file). It cannot be overlooked that working with L3 data thus requires fewer
123 computing resources and less technical proficiency, with a range of simple-to-use tools available for working
124 with gridded products. L3 products thus make the MOPITT data more easily accessible, especially to less-
125 expert users, who may lack the expertise required to scrutinize the data for potential a priori bias. Secondly,
126 many of the world’s largest agglomerations are situated within a coastal L3 grid box (5 of the top 10 and 33
127 of the top 100 largest agglomerations by population; derivation outlined in Sect. 2.5), making these likely
128 targets for analyses of air quality indicators, especially their changes over time. The paper focuses on the
129 surface level of the retrieved profile specifically because this can yield information that is of use in identifying
130 potential air quality impacts for humans (e.g. Buchholz et al., 2022), and also because this is the profile level
131 where the greatest land-water differences in retrieved VMR statistics and trends were found in Ashpole and
132 Wiacek (2020).

133 This paper is structured as follows: Section 2 describes the datasets and methods used, including
134 outlining the creation of the new “land-only” L3 product (L3L), and its “water-only” counterpart (“L3W”)
135 created for comparison purposes, which are analysed in this paper. A method for determining which L3 grid
136 boxes are “coastal” is also outlined (Sect. 2.3); these grid boxes are selected as the focus of analysis. Section
137 3.1 demonstrates the magnitude of the sensitivity difference for retrievals over land and water, zooming in to
138 focus on coastal grid boxes. Although this paper focuses on the surface level of the retrieved vertical profile,
139 higher levels in the profile are also briefly considered here to contextualise the land-water sensitivity contrast
140 at the surface. Section 3.2 links the surface sensitivity contrast to differences in mean CO volume mixing
141 ratios (“VMRs”) and their temporal trends for L2 retrievals performed over land and water within coastal L3
142 grid boxes; and evaluates the effect that the averaging together of these retrievals has on the statistics and
143 trends in resulting L3 “mixed” values. Section 3.3 quantifies the proportion of L2 retrievals performed over
144 land within coastal L3 grid boxes that are lost to L3 products, before finally comparing statistics and trends
145 in L3 and L2 products for all coastal L3 grid boxes, outlining the magnitude and significance of differences
146 for the coastal grid boxes that contain 33 of the largest 100 cities in the world (Sect. 3.4). Results are
147 summarised and conclusions drawn in Sect. 4.

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149 2. Data and Methods

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151 2.1. MOPITT Instrument and retrieval overview

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153 Carried on board the polar-orbiting NASA Terra satellite that was launched in December 1999, MOPITT
154 began measuring CO in March 2000 and has provided near-continuous measurements to date. With a native
155 pixel resolution of $\sim 22 \times 22$ km at nadir and a swath width of ~ 640 km, it offers near global coverage roughly
156 every 3-days, crossing the equator at $\sim 10:30$ and $\sim 22:30$ local time. The instrument is a gas correlation
157 radiometer that measures radiances in two CO-sensitive spectral bands: the TIR at $4.7 \mu\text{m}$, which is sensitive
158 to both absorption and emission by CO and can provide information on its vertical distribution in the
159 troposphere; and the NIR at $2.3 \mu\text{m}$, which constrains the CO total column amount and yields information
160 on CO concentrations in the lower troposphere (LT), to which TIR radiances are typically less sensitive
161 (Drummond et al., 2010; Pan et al., 1995, 1998). For the work presented here, the TIR-NIR combined
162 MOPITT product is used, owing to its demonstrably greater sensitivity to CO loadings near to the surface
163 than the TIR- and NIR- only products which are also available (Deeter et al., 2013). Note, however, that
164 retrievals over water and at night are limited to the TIR band only due to the lacking NIR signal. This analysis
165 is based on daytime-only retrievals (more information on data selection and preparation is given in Sect. 2.4).

166 Multiple other sources describe the retrieval algorithm in detail (e.g., Deeter et al., 2003; Francis et
167 al., 2017). In short, it uses optimal estimation (Pan et al., 1998; Rogers, 2000) and a fast radiative transfer
168 model (Edwards et al., 1999) to invert measured radiances and retrieve the CO volume mixing ratio (VMR)
169 profile on 10 vertical layers. The vertical grid consists of 9 equally spaced pressure levels from 900 to 100
170 hPa (the uppermost level covers the atmospheric layer from 100 to 50 hPa), with a floating surface pressure
171 level (if the surface pressure is below 900 hPa, less than 10 profile levels are retrieved). Retrieved values
172 represent the mean CO VMR in the layer immediately above that level. These profile measurements are then
173 integrated to provide total column CO amounts. Retrievals are only performed for scenes free of cloud (cloud
174 clearing is based on coincident MODIS observations and MOPITT's own radiances).

175 In addition to the measured radiances, the retrieval requires multiple inputs including meteorological
176 data, surface temperature and emissivity, and, of direct relevance to this study, a priori CO profiles, which
177 are necessary to constrain the retrieval to physically reasonable limits. These a priori CO profiles come from
178 a monthly CO climatology (years 2000-2009), simulated with the Community Atmosphere Model with
179 Chemistry (CAM-chem) chemical transport model (Lamarque et al., 2012) at a spatial resolution of $1.9^\circ \times$
180 2.5° , which is then spatially and temporally interpolated to the time and location of each individual MOPITT
181 observation. A priori profiles for a given location and day of the year are therefore the same every year and

182 feature no temporal trend. To understand the physical significance of the MOPITT CO retrievals, it is
183 necessary to examine the retrieval Averaging Kernels (AKs), available with all MOPITT data products,
184 which quantify the sensitivity of the retrieved vertical profile to the “true” vertical profile. The lower the
185 retrieval sensitivity, the greater the a priori weighting. Two different components of AKs are analysed in this
186 paper: AK rowsums, which represent the overall sensitivity of the retrieved profile at the corresponding
187 pressure level to the whole true profile; and AK diagonal values, which represent the sensitivity of the
188 retrieved profile at the corresponding pressure level to the same level of the true profile (e.g. the AK diagonal
189 value for the surface level of the retrieved profile represents its sensitivity to the surface level of the true
190 profile).

191 From time-to-time, new MOPITT products become available as improvements are made to the
192 retrieval algorithm and radiative transfer model, yielding superior validation statistics compared to earlier
193 product versions (Worden et al., 2014). This analysis uses MOPITT Version 8 (V8) products (Deeter et al.,
194 2019). Version 9 (V9) products became available shortly after this study was completed. V9 features cloud
195 screening improvements that yield additional retrievals over land in comparison to V8 (the exact percent
196 change varies significantly with geography). Validation results are comparable to V8. An overview of
197 MOPITT V9 is given by Deeter et al (2022). A subset of the analysis presented in this paper has been
198 duplicated using V9 data, and this confirms that the main conclusions drawn based on V8 data also hold for
199 V9 (this analysis is outlined in the Supp. Mat. (SM1)). This is to be expected, given that the land-water
200 sensitivity contrast remains in V9 and the L3 processing method is unchanged.

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203 **2.2. MOPITT surface type classification**

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205 To aid in filtering and interpreting retrievals, all MOPITT data products are distributed with a range of
206 diagnostic fields. As retrieval information content is known to be variable depending on the type of surface
207 over which it is performed (Deeter et al., 2007), L2 retrievals are given a surface index according to whether
208 they were performed over land, water, or a combination of the two (“mixed”). For a given 1° x 1° L3 grid
209 box, how the L2 retrievals that fall within its boundaries are processed to produce the L3 product depends on
210 how their surface indexes vary: If more than 75 % of the bounded L2 retrievals have the same surface index,
211 only those retrievals are averaged to produce the L3 gridded value, and the L3 surface index is set to that
212 surface type (the other L2 retrievals are discarded). Otherwise, all L2 retrievals available in the L3 grid box
213 are averaged together and the L3 surface index is set to “mixed”, as is the case in the example shown in Fig.

214 1 (this information is taken from the MOPITT Version 6 L3 data quality summary¹, which at the time of
215 writing, is the most recent data quality summary to detail exactly how L3 data are created, despite more
216 recent data quality summaries being available). Note that the L2 VMR profiles that are averaged to produce
217 the L3 retrieval are first converted to log(VMR) profiles, then averaged, and the mean log(VMR) profile is
218 then converted back to a VMR profile.

219 Each L3 grid box only has one retrieval per day. This dictates that where the grid box overlies both
220 land and water, its surface index could vary through time, depending on the population of L2 retrievals from
221 which it is created. The make-up of this population can vary from day-to-day due to factors such as cloud
222 cover, and screening for data quality issues: on day n the population could be predominantly L2 retrievals
223 over land (resulting in a surface index of “land” for the L3 retrieval), on day $n+1$ it could be predominantly
224 L2 retrievals over water (L3 surface index = “water”), and on day $n+2$ it could be an even mix of the two
225 (L3 surface index = “mixed”). Given that the averaging together of retrievals with significantly different
226 sensitivity profiles – as could be the case when averaging retrievals over land and water – serves to dilute the
227 information coming from the MOPITT observed radiances with information coming from the a priori and is
228 therefore discouraged (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015; Deeter et al.,
229 2007); and that MOPITT data users are advised to exclude retrievals over water from analyses owing to the
230 known reduced sensitivity, this introduces two potential problems for L3 data taken from coastal grid boxes:
231 firstly, discarding all L3 retrievals with the surface index of water will result in a loss of temporal coverage;
232 secondly, L3 retrievals with a surface index of mixed feature some contribution from L2 retrievals over water.
233 The consequences of both these problems are explored in this paper.

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236 **2.3. Coastal grid box classification for this study**

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238 Since the focus of this paper is on “coastal” L3 grid boxes, it is first necessary to isolate these from the
239 remaining “land-only” or “water-only” L3 grid boxes in the MOPITT data set. The initial step is to identify
240 all grid boxes that have a surface index of “mixed” at least once during the study period. This indicates that
241 the ground area within those grid boxes was both land and water – a characteristic that can safely be assumed
242 true for coastal grid boxes. However, analysis of the global distribution of L3 grid boxes featuring a surface
243 index of mixed revealed that, in addition to actual coastlines, a large proportion of inland grid boxes that are
244 clearly not coastal are given the surface index of mixed at least once during the study period (“inland_mixed”;
245 Fig. 2a). The reason for this is unclear, but it could be for real physical reasons, such as land grid boxes

¹ available here: <https://www2.acom.ucar.edu/mopitt/mopitt-level3-ver6>

246 sporadically flooding, or due to issues in the retrieval schemes caused by e.g. cloud screening problems or
247 the presence of surface ice cover. One characteristic of these inland_mixed grid boxes is that, compared to
248 the total number of days with L3, the relative frequency with which they are flagged as land is very high
249 (expressed as the ratio “n_days(L3O_L/L3O)”, plotted in Fig. 2b; a list of short names and abbreviations
250 referred to in the text can be found in Appendix A for reference). This relative frequency is much lower for
251 “true” coastal grid boxes, to be expected given prior knowledge of 1) the fact that these grid boxes span both
252 land and water surface types; and 2) how the surface index is determined for L3 data (as outlined in Sect.
253 2.2). Following iterative threshold testing, L3 coastal grid boxes are classified as grid boxes that:

- 254
- 255 1. Have at least one classification of “mixed” during the study period
- 256 2. Have an n_days(L3O_L/L3O) ratio < 0.5.
- 257

258 The distribution of coastal grid boxes identified using these criteria is shown in Fig. 2c. Most inland_mixed
259 grid boxes are removed from the classification, although some still pass these criteria and are therefore
260 erroneously classified as coastal, mostly in the north of Canada and Russia. However, placing a more
261 restrictive threshold on the n_days(L3O_L/L3O) ratio to remove these areas has diminishing returns since it
262 results in the rejection of more true coastal grid boxes. These criteria therefore strike a balance between
263 minimising false and maximising true coastal classifications.

264 Applying these criteria to the MOPITT L3 data yields 4299 coastal grid boxes, from a total of 64800
265 L3 grid boxes (6.6 %). This mask is applied to all data, and only those L3 grid boxes that remain are classified
266 as coastal. Only data for these coastal grid boxes are analysed in this study (with the exception of global L3
267 maps analysed in Sect. 3.1.1).

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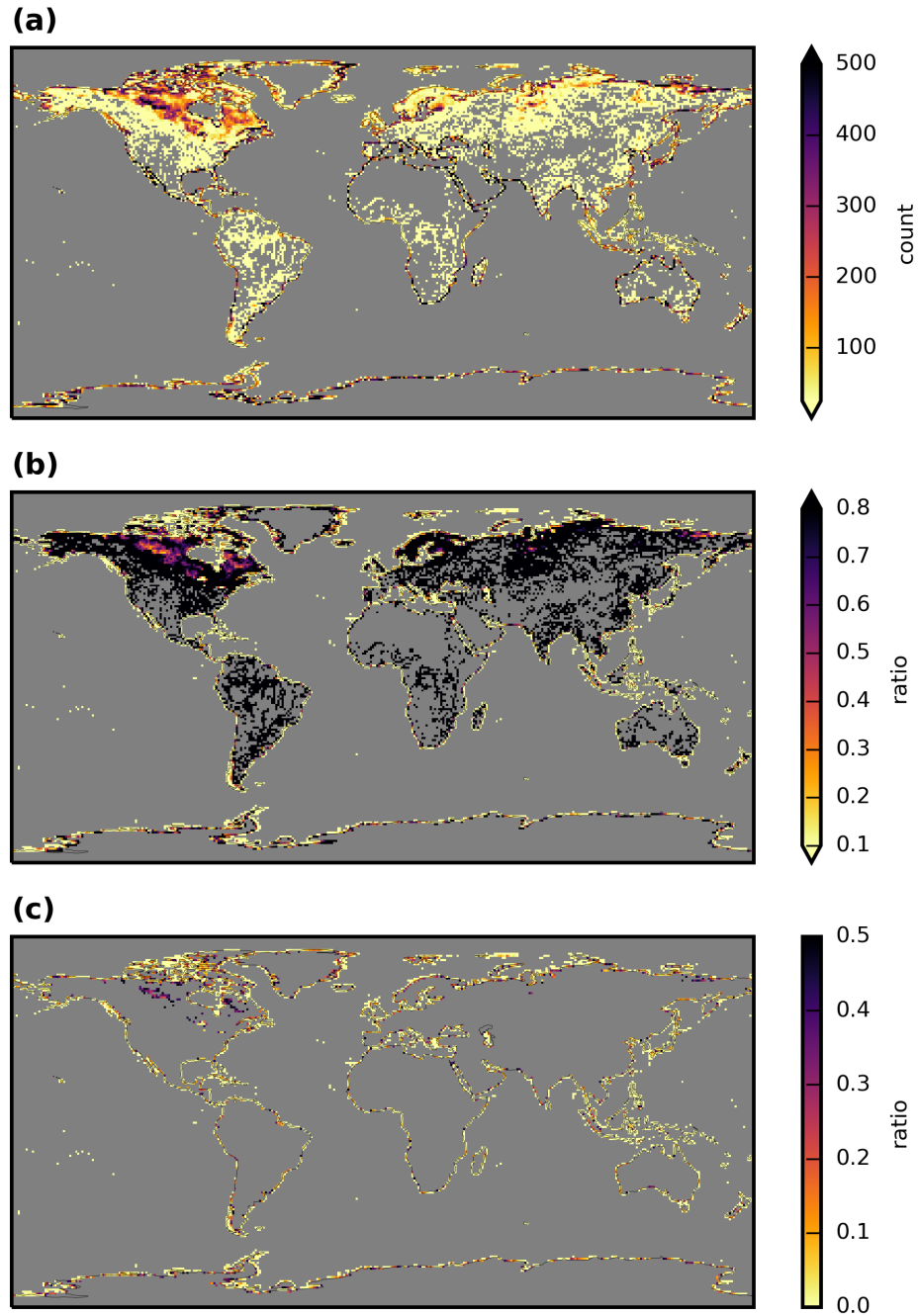


Figure 2. Maps showing the stages of derivation of the coastal L3 grid box mask applied in this paper to MOPITT data. **(a)** Frequency with which L3 grid boxes are given the surface index of “mixed”, calculated from daily data between 2001-08-25 and 2019-02-28. **(b)** Frequency with which L3 grid boxes that have a surface index of “mixed” at least once in panel a have the surface index of “land”, compared to the total number of days with which L3 data are available for that grid box (expressed as $n_days(L3O_L/L3O)$). **(c)** As b, but with a threshold of $n_days(L3O_L/L3O) < 0.5$ applied. This is the coastal L3 grid box mask used in this paper.

2.4. MOPITT datasets analysed, and data processing method for creating land- and water-only L3 products (“L3L” and “L3W”)

All available MOPITT V8 Level 2 (L2) and Level 3 (L3) daily TIR-NIR files (“MOP02J” and “MOP03J” files, respectively) were downloaded from the NASA Earthdata portal (<https://search.earthdata.nasa.gov>). Although the data record begins in March 2000, analysis is restricted to the period from 2001-08-25 to 2019-02-28. Data prior to 2001-08-25 are discarded due to an instrumental reconfiguration in 2001 creating an inconsistency in the data record (Drummond et al., 2010). Data post 2019-02-28 are flagged as “beta” at the time of writing, their use in scientific analysis (especially for examining long-term records of CO) being discouraged until final processing and calibration occurs (MOPITT Algorithm Development Team, 2018). For clarity, the original, “as-downloaded” L3 time series is referred to as “L3O” for the remainder of this paper. Only retrievals that were performed during daytime hours are retained (daytime and nighttime retrievals are stored as separate fields in MOP03J files). For this analysis, separate subsets of L3O are created according to surface index: L3O land-only (“L3O_L”), L3O water-only (“L3O_W”), L3O mixed (“L3O_M”), L3O land-or-mixed (“L3O_{LM}”). When the L3O dataset is analysed with no filtering by surface index applied, it is referred to as “L3O_{NF}”. A list of dataset short names used in this article, and their full descriptive name, is given in Table 1.

The land- and water-only L3 products are created from daily L2 data. The first step of L2 data processing required is to filter the retrievals as is done for the processing of L3O. This involves:

- Discarding all observations for Pixel 3 (this corresponds to one of MOPITT’s four detectors);
- Discarding all observations where both (1) the channel 5A signal-to-noise-ratio (“SNR”) < 1000 and (2) the channel 6A SNR < 400 (5A and 6A correspond to the average radiances for MOPITT’s length-modulated cell TIR and NIR channels, respectively)

This filtering takes place because observations from specific elements on MOPITT’s detector array were found to exhibit greater retrieval noise than the other elements, and their inclusion therefore lowered overall L3 information content (MOPITT Algorithm Development Team, 2018). Only daytime L2 retrievals are retained, using a solar zenith angle filter of < 80°.

From the remaining set of filtered L2 retrievals, separate area averages are taken for those with a surface index of land and water, for every 1° x 1° L3 grid box. This effectively creates two new L3 “land-only” and “water-only” products, which are referred to herein as “L3L” and “L3W”. For clarity of analysis, remaining L2 retrievals with a surface index of mixed are discarded. These make up a very small proportion

305 of the overall L2 retrievals (e.g. < 5 % for the grid box containing Halifax, analysed in Ashpole and Wiacek,
306 2020). Both L3L and L3W are publicly available for download (Ashpole and Wiacek, 2022). Note that, as
307 with the creation of L3O, L2 VMR profiles for each L3 grid box are first converted to $\log(\text{VMR})$ profiles
308 before averaging, and the mean $\log(\text{VMR})$ profile is then converted back to a VMR profile to give the final
309 L3L and L3W retrievals. Additionally, the number of L2 retrievals that are used for calculating the area
310 averages when creating L3L and L3W (“n_ret_L” and “n_ret_W”, respectively) is recorded. The ratio
311 n_ret_L/n_ret_W (herein referred to as “ratio(land/water)” for simplicity) is used to indicate the proportion of
312 the L3 grid box that is covered by land vs water: a ratio of 1 indicates an even split of these surface types in
313 the grid box; a ratio < 1 indicates that a greater proportion of its surface is water covered; and a ratio > 1
314 indicates that the grid box is land-dominated.

315 From the L3O, L3L, and L3W datasets, only grid boxes that are classified as “coastal” using the
316 coastal grid box masked outlined in Sect. 2.3 are analysed (See Table 1 for a list of dataset short names used
317 in this article, and their full descriptive name).

318 Note that the analysis presented in this paper is restricted to daily products. Monthly L3 files are
319 available, however the absence of a monthly L2 product precludes the analysis from being conducted on
320 those data. Based on the results of the analysis of daily data, however, there is reason to also advise caution
321 if working with coastal grid boxes in the monthly L3 product. This is because the data for those grid boxes
322 will still be created from daily L2 retrievals over land and water, with the same implications that are discussed
323 in this paper.

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Table 1. List of dataset short names used in the main article text, and their corresponding full descriptive name.

Dataset short name	Full descriptive dataset name
L3O	Original, “as downloaded” Level 3 (L3) dataset
L3O _L	Subset of L3O only containing L3 retrievals with a surface index of land
L3O _M	Subset of L3O only containing L3 retrievals with a surface index of mixed
L3O _{LM}	Subset of L3O only containing L3 retrievals with a surface index of land OR mixed
L3O _W	Subset of L3O only containing L3 retrievals with a surface index of water
L3O _{NF}	The L3O dataset with no filtering by surface index (L3O _{NF} is identical to L3O)
L3L	A new L3 “land-only” dataset, created only from Level 2 retrievals performed over land (creation method outlined in Sect. 2.4)
L3W	A new L3 “water-only” dataset, created only from Level 2 retrievals performed over water (creation method outlined in Sect. 2.4)

333

334

335 2.5. Time series preparation, statistical methods, and additional data sources

336

337 For every coastal L3 grid box, two separate time series from each of the L3O, L3L, and L3W datasets are
338 analysed:

339

340 1. The time series analysed in Sect. 3.1 and 3.2 only contain days where L3L and L3W are both present
341 and the L3O surface index is mixed (“L3O_M”). This is to ensure that the true CO profiles are as similar
342 as possible when directly comparing L3L and L3W for a given coastal grid box. Furthermore, it
343 allows for the analysis of the resulting L3O_M data on these days with knowledge of the parent L2
344 retrievals over land and water and their differences.

345

346 2. In Sect. 3.3 and 3.4 the full time series from each dataset is analysed with no temporal filtering
347 applied.

348

349 Descriptive statistics are calculated from both time series across the whole study time period, and also
350 for individual years (full years only – 2002 to 2018 inclusive) in order to perform the regression analysis
351 outlined below.

352 To identify and compare temporal trends for each coastal grid box in the datasets outlined above,
353 weighted least squares (WLS) regression analyses is performed on yearly mean values, weighted by the
354 inverse of the standard deviation of the measurements used in the yearly mean (i.e. $1/\sigma$). For years that contain
355 just a single retrieval, the weighting is set to $1/100000$ to de-weight them in the fit. If there are more than 2
356 years in a time series for a given grid box that have no data, the regression analysis is not performed. WLS
357 is preferred over OLS because it is less sensitive to outliers. For simplicity, no other trend detection methods
358 – e.g. the Thiel-Sen slope estimator – are applied to corroborate the trends that are detected with WLS, nor
359 do we analyse additional datasets to verify them. Such extra steps would be necessary if the actual trend
360 values were the focus of this study; however, the aim of this trend analysis is instead to identify whether the
361 same method can yield different results depending on which of L3O, L3L or L3W is analysed. Trend
362 verification is beyond the scope of this study.

363 To determine whether two trends identified are significantly different, their difference is evaluated
364 using the Z test as follows:

365

$$366 \quad Z = \frac{Trend_1 - Trend_2}{\sqrt{SE_1^2 + SE_2^2}}$$

367

368 where SE_1 and SE_2 correspond to the standard errors of $Trend_1$ and $Trend_2$ respectively, and Z is the test
369 statistic. Where Z is greater (less) than 1.645 (-1.645) the trend difference is statistically significant to at least
370 90 % (i.e. $p < 0.1$). In addition, two trends are classified as being significantly different if $Trend_1$ is
371 significantly different to zero ($p < 0.1$) but $Trend_2$ is not ($p > 0.1$), and vice-versa (i.e. the conclusion would
372 be that $Trend_1$ is not zero, but $Trend_2$ may be).

373 A list of the top 100 largest agglomerations by population in the world is obtained from
374 <http://www.citypopulation.de/> (valid at time of writing). 33 of these are situated in a coastal L3 grid box,
375 according to the classification in Sect. 2.3. Time series of L3L, L3W, and L3O are extracted from each of
376 these grid boxes for the analysis in Sect. 3.4.

377

378

379 **3. Results and Discussion**

380

381 **3.1. Land-water contrast in MOPITT sensitivity**

382

383 This section demonstrates the land-water sensitivity contrast in MOPITT retrievals on a global scale, and
384 examines the magnitude of the difference within coastal L3 grid boxes. The analysis is presented for levels
385 throughout the vertical profile in addition to the surface level, to give context as to how MOPITT retrieval
386 sensitivity, and its land-water contrast, varies with height.

387

388

389 **3.1.1. Global context**

390

391 Figure 3 shows long-term mean maps for the retrieval sensitivity metrics AK diagonal value, AK rowsum,
392 and retrieved minus a priori VMR (“VMR ret-apr”) at selected profile levels, created from L3O data averaged
393 across the entire study period (September 2001 – February 2019, inclusive). All indicators show that retrieval
394 sensitivity is greater over land than water at the surface, with sharp differences evident at almost all land-
395 water boundaries. The same is true at the 900 hPa and 800 hPa profile levels, although the land-water contrast
396 clearly decreases in strength with height on average, and by 600 hPa retrieval sensitivity tends to be a little
397 greater over water than land. Some strong land-water gradients remain present in VMR ret-apr fields at this
398 level, most notably over North Africa, the Arabian peninsula, and south-east China, but on average these
399 values are much more similar in magnitude across land and water than they were closer to the surface. No
400 clear land-water contrast is evident at 300 hPa (which represents the upper troposphere), with retrieval
401 sensitivity instead varying more with latitude, decreasing towards both poles (a companion to Fig. 3 with an
402 altered colour bar to better show spatial patterns in AK diagonal values and rowsums at the higher profile
403 levels considered here is provided in the Supp. Mat. (SM2)).

404 AK diagonal values and rowsums clearly show that retrieval sensitivity increases across both land
405 and water with height. It is generally lowest at the surface level, with little information content in the retrieval
406 over water (mean AK diagonal values and rowsums over water are less than half what they are over land).
407 However, there is high spatial variability over land, with clear sensitivity hotspots (e.g. parts of central
408 Europe, east Asia, eastern USA and tropical west Africa), but also some areas where AK values are more
409 comparable to those over water. The rate of sensitivity increase with height is greater over water than land,
410 with AK values more than doubling over water between the surface and 800 hPa.

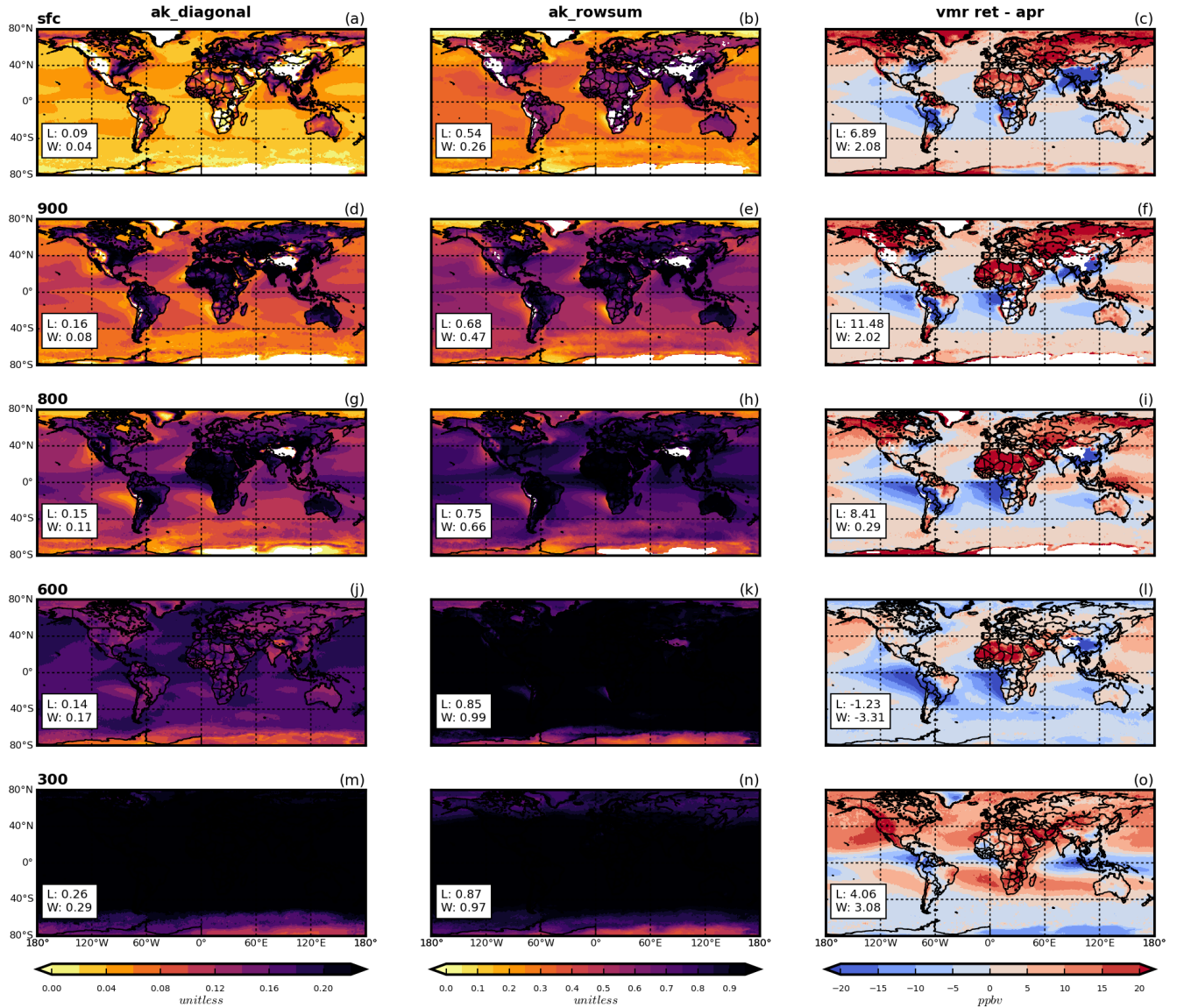


Figure 3. Mean sensitivity metrics from MOPITT L3 data, averaged across the entire study period (September 2001 – February 2019, inclusive). Shown are AK diagonal values (left column), AK rowsums (center column) and VMR retrieved minus a priori values (right column) for the following levels of the retrieved profile: surface (top row), 900 hPa (second row), 800 hPa (third row), 600 hPa (fourth row), and 300 hPa (bottom row). Values in white boxes correspond to mean values across all land (“L”) and water (“W”) L3 grid boxes.

412 Spatial patterns in retrieved minus a priori VMRs are slightly more complex to interpret, because they
413 are influenced both by retrieval sensitivity and the accuracy of the a priori. For example, while VMR ret-apr
414 values close to zero can indicate a retrieval that is heavily weighted by the a priori and therefore low retrieval
415 sensitivity, they can also indicate that the true VMR is close to the a priori value. Despite this, retrieved minus
416 a priori VMR values clearly reach more strongly positive or negative values over land than water at the
417 surface, with the contrast becoming less pronounced with height. Furthermore, there are clear land-water
418 changepoints, further demonstrating the impact of the land-water contrast in retrieval sensitivity.

419 An analysis of latitudinal and seasonal variability in the land-water surface level retrieval sensitivity
420 contrast is provided in the Supp. Mat. (SM3). Briefly, this shows a tendency for greater land-water retrieval
421 sensitivity differences in the Northern Hemisphere than Southern Hemisphere when averaged across the year.
422 The land-water AK rowsum differences tend to vary least by season in the tropical regions (between 30°
423 South and 30° North) and show the greatest contrast in the midlatitudes (30° – 60°) in the respective
424 hemisphere's spring and summer months, with smallest differences in the winter months. Overall, a land-
425 water sensitivity contrast is evident irrespective of latitude or season.

426

427

428 **3.1.2. Analysis of coastal L3 grid boxes**

429

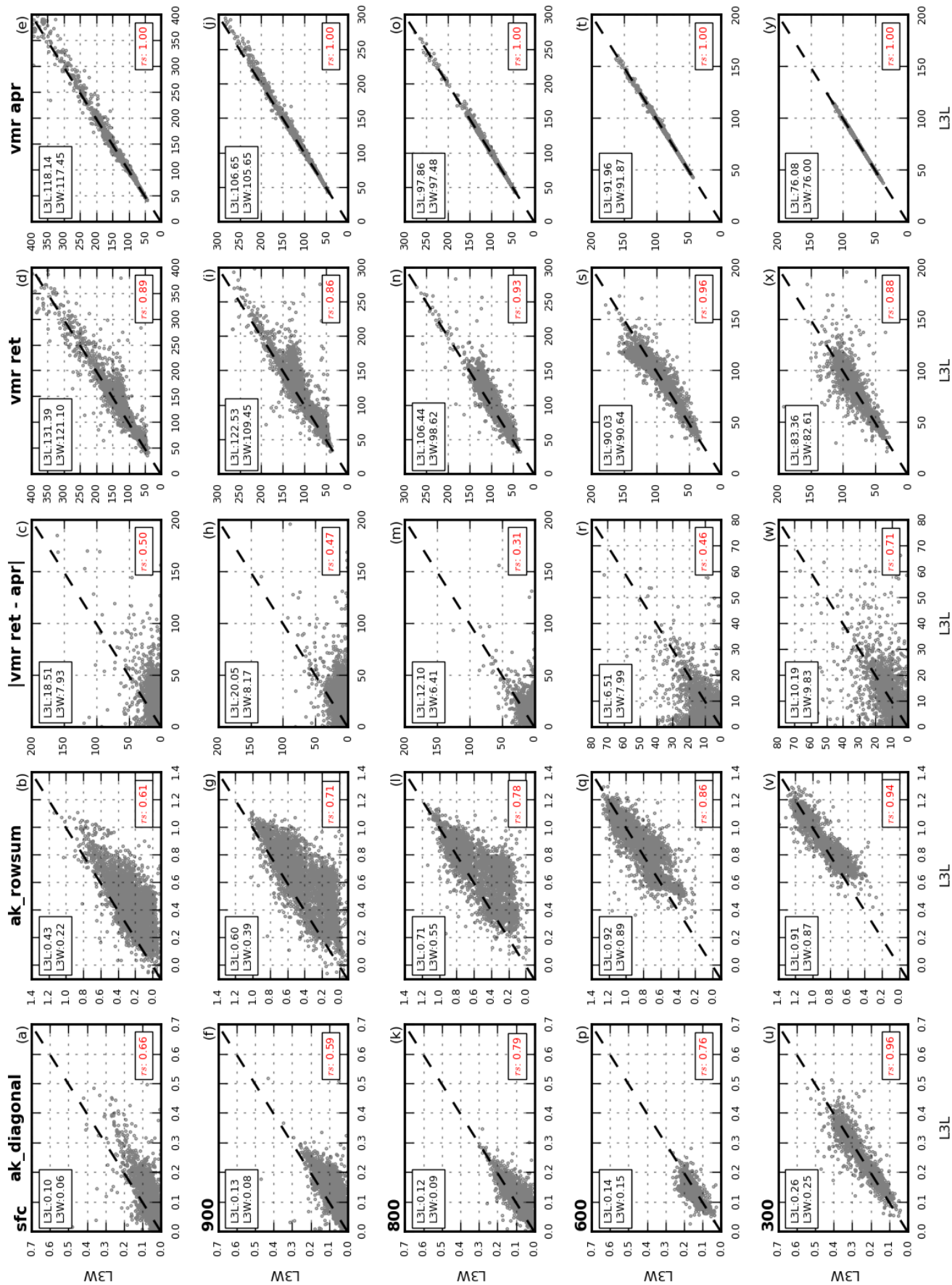
430 Scatterplots of the sensitivity metrics discussed above, for coastal L3 grid boxes only, are shown in Fig. 4.
431 Specifically, these plots show the sensitivity of the L2 retrievals over land and water that are bounded by the
432 1° x 1° L3 grid boxes and used to create the L3O data – represented here by L3L and L3W. As noted in Sect.
433 2.5, the time series analysed in this section only contain days where L3L and L3W are both present and the
434 L3O surface index is mixed (“L3O_M”), for a given coastal grid box. This is to ensure that the true CO profiles
435 are as similar as possible when directly comparing L3L and L3W for that grid box. The values that are plotted
436 correspond to the long-term mean from these L3L and L3W timeseries.

437 The AK diagonal value and rowsum plots clearly demonstrate the greater sensitivity over land (L3L)
438 than over water (L3W) at the surface level (a point below the diagonal line on these panels indicates greater
439 values in L3L) for most grid boxes, with the difference decreasing with height, as expected from the
440 preceding analysis. Retrieved VMRs also deviate more greatly from their a priori values in L3L than L3W
441 closer to the surface, with smaller land-water differences higher up in the retrieved profile. All mean values
442 are significantly different ($p < 0.005$) apart from AK diagonal values at 300 hPa and retrieved minus a priori
443 VMR at 300 hPa ($p = 0.13$ and 0.07 respectively). Sensitivity metrics are generally better correlated over
444 land and water higher in the retrieved profile than at the surface.

445 This analysis clearly shows how L2 retrievals that are averaged together to create the L3O data over
446 coastal grid boxes have differing degrees of sensitivity, depending on the surface type that they were retrieved
447 over, especially at the surface and lower profile levels. This is explicitly cautioned against in the MOPITT
448 data user's guide (MOPITT Algorithm Development Team, 2018). The remainder of this paper focuses on
449 the surface level of the retrieved profile, since this is where land-water discrepancies are greatest, and the
450 cause of this sensitivity disparity is well established: differing thermal contrast conditions near to the surface
451 over land and water; and a lack of NIR radiances being used in the retrieval over water. Furthermore, surface
452 level retrievals are of most interest for identifying potential air quality impacts for humans (e.g. Buchholz et
453 al., 2022).
454
455

Figure 4. (next page) Mean sensitivity metrics and VMRs (retrieved and a priori) from coastal L3 grid boxes. Values compared in the scatterplots are mean values from matched L3L and L3W retrievals within these grid boxes. “Matched” means that only days when both L3L and L3W are present, and the L3O surface index is mixed, are used to create the mean values analysed. Shown are AK diagonal values (left column), AK rowsums (second column), absolute VMR retrieved minus a priori values¹ (third column), retrieved (fourth column) and a priori (fifth column) VMRs, for the following levels of the retrieved profile: surface (top row), 900 hPa (second row), 800 hPa (third row), 600 hPa (fourth row), and 300 hPa (bottom row). Values in boxes in the top-left corner of each panel correspond to mean values across all L3L and L3W grid boxes. These means are significantly different using a 2-tailed t-test (unequal variance) with $p < 0.005$ in all cases except `ak_diagonal` at 300 hPa where $p = 0.13$, `vmr_ret_minus_apr` at 300 hPa where $p = 0.07$, `vmr_ret` at 600hPa where $p = 0.30$, `vmr_ret` at 300hPa where $p = 0.11$. No `vmr_apr` mean differences are significant. Values in the bottom-right corner of each panel correspond to the Spearman's rank correlation coefficient ($p < 0.005$ in all cases).

¹ Note that for ease of interpretation, the absolute retrieved minus a priori VMR values are plotted, i.e. ignoring whether the result is positive or negative. However, the results hold if using signed values, and a duplicate of Fig. 4 with signed retrieved minus a priori VMR values is included in the Supp. Mat. for reference (SM4).



457 **3.2. Differences in retrieved surface level VMRs and temporal trends, and their relation to the land-water**
458 **sensitivity contrast**

459
460 In this section, retrieved surface level VMRs and their temporal trends in L3L and L3W are compared, and
461 their differences related to the established land-water sensitivity contrast. The effect that averaging together
462 these retrievals has on the statistics and trends in resulting L3O “mixed” (L3O_M) data is then evaluated. As
463 with Sect. 3.1.2, the time series analysed in this section only contain days where L3L and L3W are both
464 present and the L3O surface index is mixed.

465
466
467 **3.2.1. L3L vs L3W**

468
469 *Retrieved VMR comparison between L3L and L3W*

470
471 In addition to the clear land-water sensitivity contrast in coastal grid boxes at the surface, there are clear
472 differences in the retrieved VMRs here (Fig. 4; Fig. 5a (black boxplots)). The retrievals performed over land
473 yield surface level VMRs that are over 10 ppbv greater than over water, on average. As with sensitivity, land-
474 water differences in retrieved VMRs decrease higher up in the profile.

475 Greater land-water sensitivity differences also tend to be associated with greater retrieved VMR
476 differences. Figure 5b shows the distribution of retrieved surface level VMR differences (L3L – L3W)
477 stratified by the corresponding surface level AK rowsum difference. Larger retrieved VMR differences are
478 clearly associated with greater AK rowsum differences (some degree of spread in the results is expected,
479 since the relationship also depends on the accuracy of the a priori, as outlined previously).

480 60 % of the coastal grid boxes compared show a significant difference ($p < 0.1$, determined using a
481 2-tailed student’s t-test) in mean VMRs in L3L and L3W (Fig. 5a). Compared to grid boxes where the mean
482 VMR difference is not significant, there are several notable differences (detailed in Table 2). As expected
483 from the previous analysis, the land-water sensitivity contrast is greater when mean VMRs are significantly
484 different (“SIGDIFF_{L3L-L3W}”) than when not (“NOT_SIGDIFF_{L3L-L3W}”). This is evident in AK rowsum and
485 VMR retrieved minus a priori differences (the magnitude of difference between subsets is around 50 % and
486 100 %, respectively). Interestingly, the AK difference is due to sensitivity being lower over water in
487 SIGDIFF_{L3L-L3W} than in NOT_SIGDIFF_{L3L-L3W}; sensitivity over land is similar in both subsets. This may be
488 explained as follows: when sensitivity over water is especially low, as is the case in SIGDIFF_{L3L-L3W}, the
489 retrieved VMR will be heavily weighted by the a priori and unable to match the variation present in the more

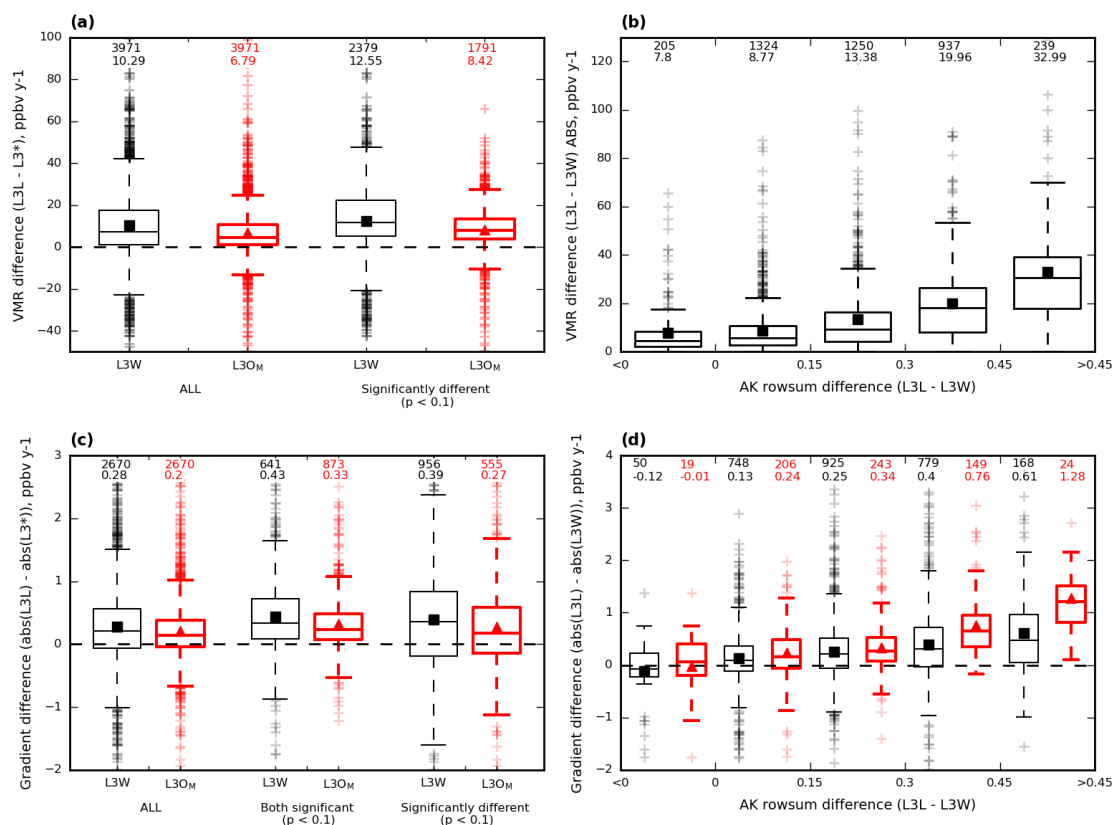


Figure 5. Boxplots showing how mean VMRs and trends from WLS analysis compare for coastal L3 grid boxes, calculated from matched retrievals within these grid boxes. “Matched” means that only days when both L3L and L3W are present and the L3O surface index is mixed are used to create the mean values analysed. Mean values are represented by filled squares/triangles, and values above the boxplots correspond to number of grid boxes with data for that boxplot, and the mean value, respectively. **(a)** Mean VMR differences for L3W (black, mean values represented by filled squares) and L3O_M (red, thicker lines, mean values represented by filled triangles) compared to L3L (L3L - L3* in both cases). Shown are the differences for all coastal grid boxes, and only for those grid boxes where the difference is significant ($p < 0.1$), determined using a 2-tailed t-test. **(b)** Absolute mean VMR differences¹ between L3L and L3W, stratified according to corresponding AK rowsum difference (L3L - L3W in both cases). **(c)** Absolute differences in gradients² detected using WLS regression analysis for L3W (black, mean values represented by filled squares) and L3O_M (red, thicker lines, mean values represented by filled triangles), compared to L3L (L3L - L3* in both cases). Shown are differences for all coastal grid boxes where WLS analysis could be performed, for grid boxes where both trends compared are significantly different to zero ($p < 0.1$), and for grid boxes where the trend difference is significant ($p < 0.1$). **(d)** Absolute differences in gradients² detected using WLS regression analysis between L3L and L3W, stratified according to corresponding AK rowsum difference (L3L - L3W in both cases). Shown are the differences for all coastal grid boxes where WLS could be performed (black, mean values represented by filled squares), and only for those grid boxes where the detected trend is significant ($p < 0.1$) in both L3L and L3W (red, thicker lines, mean values represented by filled triangles).

¹Absolute retrieved VMR difference values are shown in Fig. 5b for clarity, since L3L - L3W can be either positive or negative depending on whether a priori VMRs used in the retrieval are greater or less than the “true” VMR being retrieved, which complicates the analysis. The corresponding plot with raw values (i.e. not discarding the +/- sign) is included in the Supp. Mat. however, and the same conclusions can be drawn based on this figure (SM5).

²For clarity, differences between the absolute trend values (i.e. ignoring the +/- sign of the trend) are presented, since this shows the degree of difference in the trend magnitude, irrespective of trend direction. A positive trend difference in this case signifies a stronger (faster) trend in L3L than L3* (panel c) or L3W (panel d).

Table 2. Mean values for selected variables from L3L and L3W for coastal L3 grid boxes, matched retrievals only. “Matched” means that only days when both L3L and L3W are present and the L3O surface index are mixed are used to create the mean values analysed. Mean values are calculated and presented separately according to the results of a 2-tailed student’s t-test (unequal variance) performed on mean retrieved VMR values in L3L and L3W (n = 3971). Mean L3L – L3W differences are also shown for each subset (‘L-W’).

	P < 0.1 (“SIGDIFF _{L3L-L3W} ”) (n=2379, 60 %)			P > 0.1 (“NOT_SIGDIFF _{L3L-L3W} ”) (n=1592, 40 %)		
	L3L	L3W	L-W	L3L	L3W	L-W
Mean vmr_ret	129.97	117.41	12.55	133.52	126.60	6.90
Mean vmr apr	113.78	113.18	0.61	124.65	123.83	0.83
Mean ret-apr	16.18	4.24	11.94	8.87	2.77	6.09
Mean ak rowsum	0.43	0.18	0.24	0.44	0.27	0.16

492 sensitive retrieval over land. As sensitivity over water increases, this a priori weighting weakens and the
 493 retrieved VMR will more closely track the retrieval over land, resulting in a less significant difference. Also
 494 of note, a priori VMRs are much lower in SIGDIFF_{L3L-L3W} than in NOT_SIGDIFF_{L3L-L3W}, on average.
 495 Considered alongside the greater retrieved minus a priori differences, this suggests that the a priori VMR
 496 could be a less accurate estimate of the “true” VMR for the SIGDIFF_{L3L-L3W} subset, whereas it is closer to
 497 reality for the NOT_SIGDIFF_{L3L-L3W} subset. Intuitively, this makes sense: for a hypothetical situation where
 498 the a priori VMR is a perfect match for the “true” VMR, and both are uniform across a coastal L3 grid box,
 499 retrievals over the land and water portions of the grid box would be expected to be identical irrespective of
 500 any differences in retrieval sensitivity over those surfaces. To summarise: assuming “true” VMRs are similar
 501 over land and water within coastal L3 grid boxes, differences in retrieved VMRs depend not only on the
 502 sensitivity of the retrieval, but also on the accuracy of a priori VMRs used in the retrievals.

503 It should be noted that there are additional physical factors that could plausibly play a role in
 504 generating the L3L – L3W retrieved VMR difference that is observed, in addition to retrieval sensitivity.
 505 Given that most CO sources are land-based, a decrease in VMRs from land to water might be expected,

506 especially near to the surface. However, this assumption only seems reasonable where large CO sources are
507 proximal to the coastline, as it is unrealistic to expect gradients as large as are observed in background CO
508 (which coastal grid boxes far from large CO sources are more likely to represent) across the relatively small
509 distance covered by a L3 grid box. Given the relatively long-lived, well-mixed nature of atmospheric CO,
510 VMRs retrieved at a given location are a function of both local emissions *and* transport, and the portion of
511 coastal L3 grid boxes situated over water therefore do not represent pristine conditions in comparison to the
512 adjacent land-based portion of the grid boxes. This is verified by comparing a priori VMRs (also shown in
513 Fig. 4), which suggest the land-water difference in CO concentrations should be negligible (mean L3L –
514 L3W a priori VMR difference = 0.69 ppbv, compared to a mean retrieved VMR difference of 10.29 ppbv).
515 Indeed, in some specific cases – e.g. uninhabited coastal areas downwind of large trans-oceanic pollution
516 sources – VMRs may be higher over the water portion of coastal gridboxes than the adjacent land portion
517 (note that Fig. 4 does show that this is the case in some grid boxes). The above reasoning can also be applied
518 to the question of whether wind direction is responsible for creating the observed L3L – L3W difference in
519 retrieved VMRs: It could be hypothesised that a prevailing onshore wind may lead to CO concentrations
520 being higher over land than water, yet the negligible L3L – L3W a priori VMR difference, the fact that
521 atmospheric CO is well-mixed, and the clear land-water sensitivity gradient that has been demonstrated
522 suggest that wind direction does not play a big role in creating the land-water difference observed in retrieved
523 VMRs. To further rule out the role of wind direction, the L3L – L3W retrieved VMR comparison has been
524 analysed alongside wind direction for several case study grid boxes, and there appears to be no notable shift
525 in wind direction whether L3L or L3W is greater for a given grid box. Results for this analysis are given in
526 the Supp. Mat. (SM6). The weight of evidence therefore points towards L3L – L3W retrieved VMR
527 differences being a function of reduced retrieval sensitivity over water compared to land.

528

529 *Trend comparison between L3L and L3W*

530

531 We now compare temporal trends detected in surface level retrievals in L3L and L3W for coastal grid boxes,
532 and relate differences to the land-water sensitivity contrast outlined previously.

533 On average, across all grid boxes where WLS can be performed in both datasets following the criteria
534 outlined in Sect. 2.5 ($n = 2670$), trends are stronger in L3L than L3W (Fig. 5c (black boxplots)), with the
535 range of differences around 2.5 ppbv y^{-1} ($\sim -1 \text{ ppbv y}^{-1}$ to 1.5 ppbv y^{-1}). When the comparison is restricted to
536 grid boxes where both trends are significantly different to zero ($p < 0.1$; 641 of the 2670 grid boxes, 24 %),
537 a greater proportion of those grid boxes have a stronger trend in L3L than L3W ($> 75\%$), but the overall
538 range of differences doesn't shift by much. The L3L – L3W trend difference is significant in 956 of the 2670

539 coastal grid boxes for which the analysis can be performed (36 %), with the range in differences spanning
540 around 4 ppbv y⁻¹. The trends are negative at 75 % of coastal grid boxes in both datasets, this value increasing
541 to 95% when the trend in both L3L and L3W is significant. Descriptive stats corresponding to the trends
542 values compared are detailed in Table 3).

543 To determine whether differences in trend can be linked to differences in retrieval sensitivity, L3L –
544 L3W trend are stratified by L3L – L3W surface level AK rowsum differences (Fig. 5d). As with mean VMR
545 differences, the size of the trend difference tends to increase as the difference in AK rowsums increases. In
546 addition, as the magnitude of AK rowsum difference increases in the positive direction (i.e. increasingly
547 greater sensitivity over land), a greater proportion of trend differences are positive (i.e. a stronger trend over
548 land). This pattern is even more pronounced when restricted to grid boxes where both trends are significant
549 (also shown in Fig. 5d).

550 In summary, these results show a general tendency for trend underestimation in surface level retrievals
551 over water compared to surface level retrievals over land in the same coastal grid boxes obtained at the same
552 times, which appears to be linked to differences in retrieval sensitivity. The relationships found in these
553 analyses are not perfect because trend differences are sensitive to several other factors, in addition to
554 differences in retrieval sensitivity. For example, a greater trend difference would be evident if the rate of
555 change in “true” CO concentrations is faster than if it is slow/negligible, for a given sensitivity difference.
556 Similarly, there should be zero trend difference if “true” CO concentration levels are stable over time,
557 irrespective of the magnitude of difference in retrieval sensitivity. The accuracy of the a priori is a further
558 complicating factor. An underlying assumption is also that the temporal trend in “true” VMRs should not
559 vary much across a 1° x 1° L3 grid box. Hedelius et al. (2021) lends credence to this assumption with the
560 finding that CO trends are similar within regions spanning a few thousand kilometres (L3 grid boxes are ~
561 100 km²), and that trends within urban areas are generally indistinguishable from the trend of the broader
562 region encompassing the urban area.

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Table 3. Descriptive stats corresponding to the WLS trends detected in L3L, L3W, and L3O_M that are compared in the boxplots of Fig. 5c.

			Mean	Std	Median	IQR
All	L3L – L3W (n = 2670)	L3L	-0.55	1.27	-0.47	1.00
		L3W	-0.49	1.08	-0.34	0.65
	L3L – L3O _M (n = 2670)	L3L	-0.55	1.27	-0.47	1.00
		L3O _M	-0.51	1.03	-0.38	0.73
Both significant (p < 0.1)	L3L – L3W (n = 641)	L3L	-1.39	1.66	-1.15	1.08
		L3W	-1.06	1.56	-0.78	0.92
	L3L – L3O _M (n = 873)	L3L	-1.24	1.64	-1.06	1.07
		L3O _M	-1.02	1.38	-0.83	0.88
Significantly different (p < 0.1)	L3L – L3W (n = 956)	L3L	-0.64	1.39	-0.65	0.92
		L3W	-0.52	1.06	-0.43	0.67
	L3L – L3O _M (n = 555)	L3L	-0.69	1.36	-0.67	0.85
		L3O _M	-0.60	1.00	-0.51	0.68

571

572 3.2.2. Consequences for L3O data with a surface index of mixed (“L3O_M”)

573

574 To recap, L3O data are given the surface index “mixed” (“L3O_M”) when neither land nor water is the
 575 dominant surface type of the bounded L2 retrievals, for a given retrieval time. When this is the case, the
 576 retrievals over land and water are averaged together. Users of L3O data do not have the option of choosing
 577 to only analyse the subset of retrievals made over land (L3L) or water (L3W), as was done in the preceding
 578 analysis. To do so requires the original L2 retrievals. In this section, the L3O_M retrievals are compared to the
 579 L3L retrievals that were analysed in the previous section. The aim here is to demonstrate how, for some L3
 580 grid boxes, information on “true” VMRs and temporal trends that is available in the L2 retrievals over land
 581 (L3L) is effectively lost to users of L3O data by their averaging together with the less sensitive L2 retrievals
 582 over water (L3W).

583

584

586

587 For long-term mean VMRs, L3O_M unsurprisingly represents a mid-point between L3L and L3W, with lower
 588 VMRs than L3L, but a smaller difference range overall than L3W (Fig. 5a, red boxplots). The L3L – L3O_M
 589 differences in long-term mean VMR are significant at 45 % (1791) of coastal grid boxes. All but 3 of these
 590 grid boxes also see a significant difference between long-term mean VMRs in L3L and L3W. This makes
 591 sense: retrievals in L3L would not be expected to differ significantly from those in L3O_M if they do not also
 592 differ significantly from L3W. In total, 75 % of grid boxes that feature a significant difference between L3L
 593 and L3W also see a corresponding significant difference between L3L and L3O_M. There are several notable
 594 differences between this subset of coastal grid boxes (“BOTH_{VMRS}”), compared to those that see a significant
 595 difference between L3L – L3W but not between L3L and L3O_M (“L3L_L3W_ONLY_{VMRS}”), detailed in Table
 596 4a:

597

- 598 • The grid boxes of BOTH_{VMRS} see greater retrieved VMR differences between L3L and L3W than the
 599 grid box subset of L3L_L3W_ONLY_{VMRS} (mean L3L – L3W difference of 13.84 vs 8.67 ppbv). This
 600 is logical: L3O_M only differs significantly from L3L if the underlying L3L – L3W difference is
 601 sufficiently large to persist through averaging.
- 602 • The grid boxes of BOTH_{VMRS} also feature a greater land-water sensitivity contrast than those of
 603 L3L_L3W_ONLY_{VMRS}. This is indicated both by L3L – L3W AK rowsum differences, driven
 604 predominantly by decreased sensitivity over water in BOTH_{VMRS}; and by L3L – L3W retrieved minus
 605 a priori VMR differences.
- 606 • The grid boxes of BOTH_{VMRS} tend to have a greater proportion of their surface covered by water than
 607 land when compared to L3L_L3W_ONLY_{VMRS}. This is determined by analysis of ratio(land/water)
 608 values for each grid box (derivation of this metric is outlined in Sect. 2.4). A mean ratio(land/water)
 609 of 0.87 for BOTH_{VMRS} indicates a greater water influence on L3O_M than for the grid boxes of
 610 L3L_L3W_ONLY_{VMRS}, for which a mean ratio(land/water) of 1.00 indicates a more even land/water
 611 split. Thus, L3O_M more closely resembles L3W – which is significantly different to L3L – in
 612 BOTH_{VMRS} than in L3L_L3W_ONLY_{VMRS}.

613

614 It is easy to understand how each of these can lead to a L3O_M retrieval that differs significantly from the
 615 corresponding L3L retrieval. Interestingly, it is also notable that retrieved and a priori VMRs are lower in
 616 BOTH_{VMRS} than in L3L_L3W_ONLY_{VMRS}, and that retrieved minus a priori VMR values are greater in
 617 BOTH_{VMRS} than in L3L_L3W_ONLY_{VMRS}. This could imply that the a priori VMRs are closer to reality (i.e.

618 the a priori CO amount is closer in value to the actual (“true”) CO amount that is being measured) for the
 619 grid boxes of L3L_L3W_ONLY_{VMRs} than those of BOTH_{VMRs}, however to properly assess this it would be
 620 necessary to know what the actual “true” VMR values are that are being measured.
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 622

Table 4.(a) Descriptive stats corresponding to matched retrievals over land and water (L3L and L3W) where the long-term mean retrieved surface level VMR in L3L and L3W is significantly different ($p < 0.1$, $n = 2379$). Grid boxes are divided into two subsets depending on whether long-term mean VMRs in L3L and L3O_M are significantly different ($p < 0.1$; “BOTH_{VMRs}”) or not ($p > 0.1$; “L3L_L3W_ONLY_{VMRs}”). The metric “ratio(land/water)” indicates the relative land vs water surface coverage of a L3 grid box. A ratio(land/water) value > 1 (< 1) implies that more of the grid box surface is covered by land (water).

(b) Descriptive stats corresponding to matched retrievals over land and water (L3L and L3W) where the temporal trend detected using WLS regression analysis on yearly-mean retrieved surface level VMR in L3L and L3W is significantly different ($p < 0.1$, $n = 956$). Grid boxes are divided into two subsets depending on whether the trend in L3L is significantly different to the corresponding trend detected in L3O_M ($p < 0.1$; “BOTH_{TRENDS}”) or not ($p > 0.1$; “L3L_L3W_ONLY_{TRENDS}”). The metric “ratio(land/water)” indicates the relative land vs water surface coverage of a L3 grid box. A ratio(land/water) value > 1 (< 1) implies that more of the grid box surface is covered by land (water).

(a)	BOTH _{VMRs} (n = 1788, 75 %)			L3L_L3W_ONLY _{VMRs} (n = 591, 25 %)		
Mean ratio(land/water)	0.87			1.00		
	Land	Water	L-W	Land	Water	L-W
Mean vmr_ret	127.21	113.37	13.84	138.30	129.64	8.67
Mean vmr_apr	109.11	108.62	0.49	127.94	126.96	0.98
Mean ret-apr	18.11	4.75	13.36	10.36	2.68	7.68
Mean AK rowsum	0.42	0.16	0.26	0.46	0.26	0.20
(b)	BOTH _{TRENDS} (n = 447, 47 %)			L3L_L3W_ONLY _{TRENDS} (n = 509, 53 %)		
Mean ratio(land/water)	0.77			0.99		
	Land	Water	L-W	Land	Water	L-W
Mean WLS trend	-0.72	-0.58	-0.14	-0.58	-0.47	-0.11
Mean ABS WLS trend	1.18	0.76	0.42	1.04	0.68	0.35
Mean trend standard error	0.55	0.39	0.16	0.58	0.36	0.22
Mean vmr_ret	128.25	121.36	6.90	129.22	120.20	9.02
Mean vmr_apr	117.21	117.13	0.08	116.01	115.73	0.29
Mean ret-apr	11.05	4.22	6.82	13.21	4.47	8.74
Mean AK rowsum	0.46	0.22	0.25	0.44	0.20	0.24

624

625 Temporal trends detected in L3O_M are now compared to those in L3L (Fig. 5c, red boxplots). Overall, a
626 greater number of grid boxes feature a significant trend in both L3L and L3O_M than in L3L and L3W (873
627 vs 641; 33 % vs 24 %), and fewer see a significant difference between trends (555 vs 956; 21 % vs 36 %).
628 This is to be expected, given that the L2 retrievals contributing to L3L also contribute to L3O_M. The trends
629 in L3L and L3O_M are significantly different in just under half (47 %) of the grid boxes where the trend is also
630 significantly different between L3L and L3W (“BOTH_{TRENDS}”; Table 4b). These grid boxes are clearly more
631 water-dominated than the remaining 53 % of grid boxes where the trend difference between L3L and L3W
632 is significant but the L3L – L3O_M difference is not (“L3L_L3W_ONLY_{TRENDS}”). This is indicated by a mean
633 ratio(land/water) of 0.77 for BOTH_{TRENDS} vs 0.99 for L3L_L3W_ONLY_{TRENDS}. Additionally, detected trends
634 in the grid boxes of BOTH_{TRENDS} are slightly stronger, with a greater difference between L3L and L3W, than
635 for the L3L_L3W_ONLY_{TRENDS} subset. Those L3 grid boxes featuring the strongest land-water trend
636 difference are therefore most likely to also see a significant trend difference between L3L and L3O_M. Again,
637 this is logical. Unlike with the retrieved VMR comparison above however, there are no clear differences in
638 mean retrieved or a priori VMRs, nor sensitivity metrics, between these two grid box subsets (also detailed
639 in Table 4b). However, it is not necessarily expected that there would be clear differences in these parameters
640 for this analysis, since trend magnitudes themselves are also a variable (i.e. the trend in “true” CO varies
641 across space, independent of retrieval sensitivity or CO concentration, complicating the relationships outlined
642 above).

643 Most of the grid boxes where the L3L and L3O_M trends are significantly different also feature a
644 significant difference between L3L and L3W (453 of 555; 82 %). There are no clear differences between
645 these and the remaining 18 % of grid boxes that, counter-intuitively, feature a significant difference between
646 trends in L3L and L3O_M but not between trends in L3L and L3W. However, small discrepancies are to be
647 expected for results based on statistical thresholds, especially where the variables being compared are subject
648 to multiple different factors (e.g. land-water surface cover ratio in L3O_M; land-water sensitivity contrast;
649 retrieved VMR differences; differences in the “true” CO concentration being retrieved and its change over
650 time).

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654 3.3. Implications for users of L3O data

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656 So far, this paper has shown a clear difference in retrieval sensitivity over land and water for coastal grid
657 boxes, demonstrated how long-term VMR statistics and temporal trends calculated using these retrievals
658 (L3L and L3W) differ, and outlined consequences of averaging these retrievals together to create L3O_M. The
659 full time series of available data in L3O is now compared with L3L and L3W, without the constraint that a
660 retrieval needs to be present in both L3L and L3W for it to be included in the analysis. This replicates what
661 a user of the L3O data would do, i.e., work with all available data.

662 Users of MOPITT data are advised to restrict their analysis to retrievals performed over land. This
663 poses a quandary for users of L3O: what to do about days with a surface index of mixed? Therefore, the
664 implications of choosing to include or discard these days are also considered. In the subsequent sections, the
665 following subsets of the full L3O time series for each coastal grid box are analysed: the full L3O time series
666 with no filtering by surface index (“L3O_{NF}”); only days with a surface index of land (“L3O_L”); and days
667 where the surface index is land or mixed (“L3O_{LM}” – i.e., only days with a L3O surface index of water are
668 discarded).

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671 3.3.1. Loss of available data

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673 The guideline to only analyse retrievals performed over land results in a huge loss of data for coastal grid
674 boxes when using the L3O dataset. This is quantified by comparing the total number of days with data for
675 analysis at each coastal grid box in L3O_L (“n_days(L3O_L)”) and L3O_{NF} (“n_days(L3O_{NF})”) (Fig. 6a).
676 Strikingly, 35 % of coastal grid boxes (total coastal grid boxes = 4299) have zero days in L3O_L, and 67 %
677 have a surface classification of land less than 5 % of the time in L3O (yielding a n_days(L3O_L/L3O_{NF}) ratio
678 of 0.05 or less in Fig. 6a). Importantly, retrievals over land are made on a large proportion of these filtered
679 days; but they are either discarded altogether or averaged together with retrievals made over water to create
680 L3O_M. This point is demonstrated by comparison to the total number of days with data for analysis at coastal
681 grid boxes in L3L (“n_days(L3L)”). In contrast to a mean (median) n_days(L3O_L/L3O_{NF}) ratio of 0.08 (0.01),
682 a mean (median) n_days(L3L/L3O_{NF}) ratio of 0.44 (0.40) demonstrates the stark loss of available data. This
683 is further highlighted by the fact that over half (56%) of coastal grid boxes have at least 25 times more days
684 with retrievals made over land than are available for analysis in the L3O dataset if filtering guidelines are
685 followed (as shown by the ratio n_days(L3L/L3O_L) in Fig. 6b (black line)).

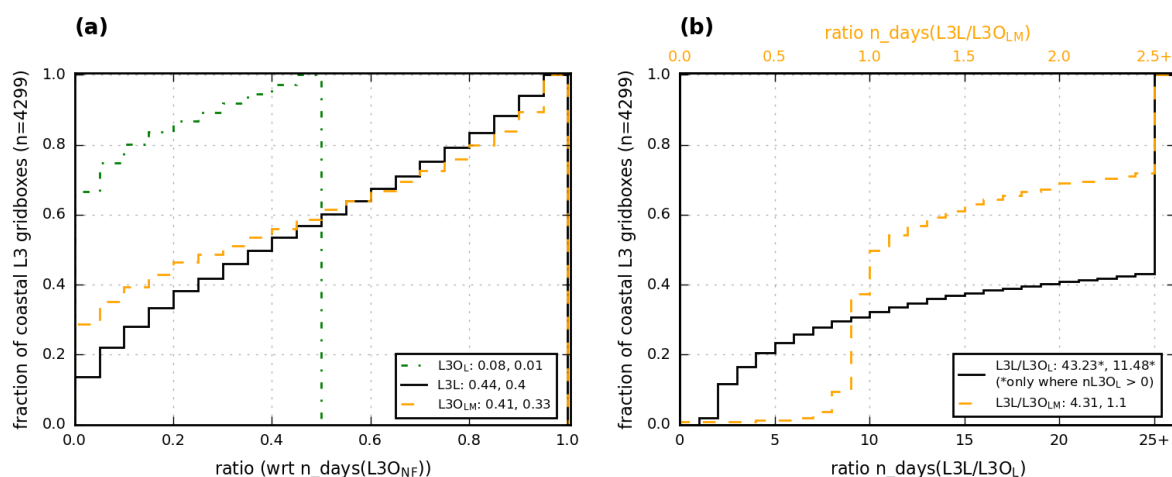


Figure 6. Cumulative frequency histograms comparing the number of days with data for different L3O subsets and L3L at coastal L3 grid boxes. A ratio < 1 (> 1) indicates the plotted dataset has less (more) days with data than the comparison dataset that is indicated on the x-axis. **(a)** L3OL (dash-dot green line), L3L (solid black line), and L3OLM (dashed orange line) are compared to the “as-downloaded” L3O dataset, without any filtering by surface index (“L3ONF”). Values in legend correspond to mean and median ratio for indicated dataset, respectively. Note, as a result of how coastal grid boxes are classified (outlined in Sect. 2.3), all $n_days(L3O/L3ONF)$ ratios are below 0.5 (i.e. at best, L3O has a surface classification of land on 50 % of days). **(b)** L3L is compared with L3OL (solid black line, bottom x-axis) and L3OLM (dashed orange line, top x-axis). Values in legend correspond to mean and median ratios, respectively.

687 The situation can be improved for L3O users by keeping days when the L3O surface index is classified
 688 as mixed, in addition to land (“L3OLM”). Even in this best-case scenario however, L3OLM sees less days with
 689 data than L3L for over 60% of coastal grid boxes ($n_days(L3L/L3OLM)$ in Fig. 6b (orange line)).
 690 Moreover, the large proportion of these L3OLM days have the surface index of mixed and therefore suffer
 691 from the averaging together of retrievals over land with retrievals over water which, as has been shown, can
 692 significantly impact the results of analyses using these data. This point is returned to in following sections.

693 Intuitively, it is to be expected that the ratio $n_days(L3L/L3OLM)$ should *never* be < 1 . L2 retrievals
 694 over land obviously contribute to days when L3O is classified as land, and should, by definition, also
 695 contribute to days when L3O is classified as mixed. In these cases, L3L will therefore also be present.
 696 However, there are two instances where L2 retrievals over land in fact do not contribute to a L3O retrieval
 697 classified as mixed. Firstly, L2 retrievals themselves also have a surface classification of mixed, when the
 698 L2 retrieval does not predominantly overlie water or land. L3O can thus have a surface classification of mixed
 699 when created from bounded L2 retrievals that are either only retrieved over a mixed surface, or a combination

700 of mixed and water: in both cases, there are no L2 retrievals over land, and therefore no L3L. Secondly,
701 analyses performed for this paper identified numerous instances where L3O is classified as mixed, but the
702 only contributing L2 retrievals are retrievals over water. In these instances, L3O therefore seems to be
703 misclassified. On days when this is the case, there will be no corresponding L3L retrieval. This is documented
704 further in the Supp. Mat. (SM7). Attempting to quantify the extent of this misclassification influence is
705 beyond the scope of this paper. In the vast majority of cases where a given grid box has a $n_days(L3L/L3O_{LM})$
706 ratio < 1 , the difference is negligible (i.e. 75 % of these grid boxes have a ratio between 0.9 and 1).
707 Irrespective, in terms of the number of days with retrievals available for analysis, L3L is an improvement
708 over $L3O_{LM}$ for more grid boxes than it is not.

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711 **3.3.2. Scientific implications**

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713 Long-term mean (ltm) retrieved VMR values from the different L3O subsets are compared to L3L for all
714 coastal grid boxes. As expected from the analyses in Sect. 3.2, all L3O subsets that have some influence from
715 L2 retrievals over water have a ltm retrieved VMR that is below that in L3L, on average (Fig. 7a).
716 Unsurprisingly, the closest match to L3L is $L3O_L$ (mean difference -3.1 ppbv), with the mean difference
717 increasing for each L3O subset as the influence of retrievals over water increases (e.g. $L3O_{LM}$ differs less on
718 average from L3L (mean difference = 5.2 ppbv) than $L3O_{NF}$ (mean difference = 9.1 ppbv), which additionally
719 features days when L3O is solely created from L2 retrievals performed over water).

720 Note that ltm retrieved VMRs in $L3O_L$ and L3L are not a perfect match because $L3O_L$ is only a subset
721 of L3L for each grid box considered in the analysis: L3L may be present on a day when $L3O_L$ is not owing
722 to the way that the L3O data are created (i.e., classified based on the ratio of L2 retrievals over land and
723 water, with retrievals over land potentially being discarded if these are not the majority). Apart from $L3O_L$,
724 less than 25 % of the coastal grid boxes have a retrieved ltm VMR that is greater in an L3O subset than in
725 L3L. The range of ltm differences for each of these L3O subset comparisons to L3L exceeds 35 ppbv
726 (excluding outliers), with over 25 % of coastal grid boxes compared having ltm differences exceeding 9 ppbv
727 (as indicated by boxplot upper quartile values).

728 The percentage of coastal grid boxes that feature a significant difference between ltm retrieved VMRs
729 in L3L and each L3O subset (indicated in blue above each boxplot) is high: strikingly, it is found that, for
730 the two subsets that L3O users could realistically choose to analyse if following data filtering guidelines
731 ($L3O_L$ or $L3O_{LM}$), almost a quarter ($L3O_L$) or almost half ($L3O_{LM}$) of coastal grid boxes see a significant
732 difference to L3L.

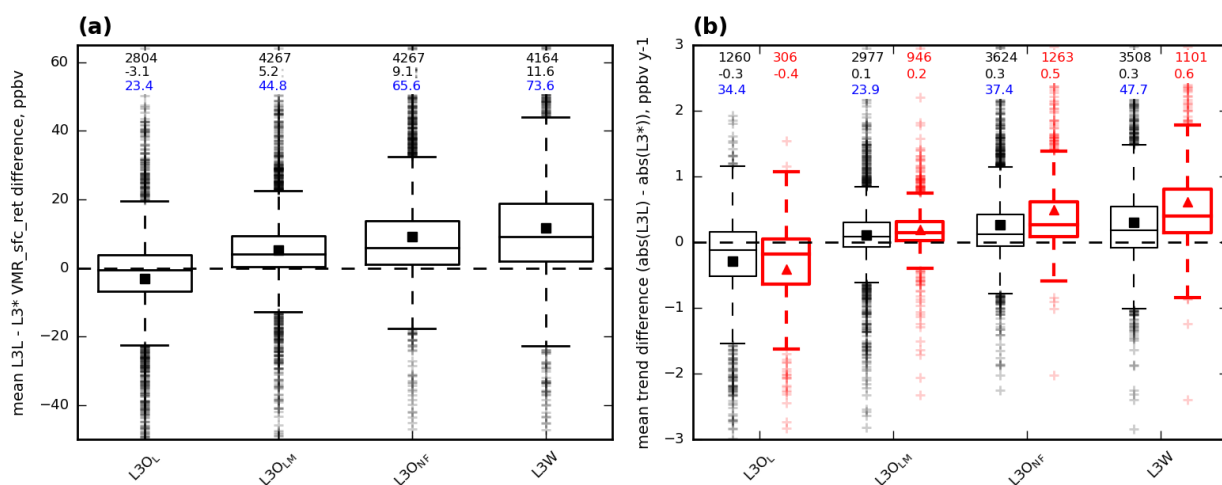


Figure 7. Boxplots showing how mean VMRs and trends compare from selected L3O subsets and L3W to L3L. Values compared are calculated from all available data across the study period. Mean values are represented by filled squares, and values above the boxplots correspond to number of grid boxes with data for that boxplot (black, top row), the mean value (black, second row), and the percentage of grid boxes represented in that boxplot that feature a significant difference with L3L (blue, third row), respectively. The comparison is calculated as $L3L - L3^*$ in both cases; therefore a point above (below) the black $y=0$ line indicates that the value being compared is greater (lower) in L3L. **(a)** Mean VMR differences between L3L and the indicated L3O subset or L3W. Note that the n value is different for each boxplot because not all L3 subsets are present at every coastal grid box, as shown in Sect. 3.3.1. **(b)** Differences in gradients (absolute values) detected using WLS regression analysis between L3L and the indicated L3O subset or L3W. Shown are the differences for all coastal grid boxes where WLS could be performed for both datasets compared (black, mean values represented by filled black squares), and only for the sample of those grid boxes where the detected trend is significant ($p < 0.1$) in both (red, thicker lines, mean values represented by filled triangles).

734 The results of WLS regression analysis on yearly mean values from each dataset are now compared.
 735 As expected from the earlier analysis, trends are strongest, on average, in L3L and L3O_L – this is especially
 736 so when the comparison is restricted only to trends that are significantly different from zero ($p < 0.1$) (Table
 737 5). These datasets also have the largest measures of spread, indicating their tendency to yield stronger trends
 738 than the other L3O subsets (and L3W), and these measures lessen for each L3O subset as the influence of
 739 retrievals over water increases. Concomitant with trends decreasing in strength as the influence of retrievals
 740 over water increases in each L3O subset, overall retrieval sensitivity also decreases, as indicated by the mean
 741 averaging kernel metrics shown in Table 5. Comparing the magnitude of trends at each coastal grid box,
 742 significant trends are stronger in L3L for at least 75% of grid boxes for all comparison datasets apart from
 743 L3O_L (Fig. 7b). L3O_L sees stronger trends than L3L on average, but the comparison of these two datasets
 744 needs to be interpreted with caution due to L3O_L being a subset of L3L that features far fewer days with data,

745 as discussed previously. Like with ltm retrieved VMRs discussed above, the percentage of coastal grid boxes
 746 that feature a significant difference between trends detected in L3L and each L3O subset is high, with over a
 747 third (almost a quarter) of the trends in L3O_L (L3O_{LM}) being significantly different to L3L.
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Table 5. Descriptive stats corresponding to the WLS trends detected in L3L, L3W, and selected L3O subsets. Also shown are mean averaging kernel rowsums and diagonal values corresponding to the retrievals from which trends are calculated. std = standard deviation, IQR = interquartile range.

		L3L	L3O _L	L3O _{LM}	L3O _{NF}	L3W
Calculated from all gridboxes where WLS could be performed	Number of grid boxes	3624	1260	2999	4288	4169
	Mean (std) trend	-0.59 (1.22)	-0.52 (1.38)	-0.50 (0.95)	-0.54 (0.67)	-0.54 (0.66)
	Median (IQR) trend	-0.45 (0.89)	-0.46 (1.08)	-0.37 (0.67)	-0.42 (0.53)	-0.40 (0.54)
	Mean AK rowsum	0.45	0.45	0.33	0.28	0.22
	Mean AK diagonal value	0.10	0.10	0.08	0.07	0.06
Calculated only from gridboxes where WLS trend is significant (p < 0.1)	Number of grid boxes	1447	453	1265	2588	2499
	Mean (std) trend	-1.23 (1.55)	-1.17 (1.90)	-0.95 (1.18)	-0.79 (0.73)	-0.78 (0.72)
	Median (IQR) trend	-0.98 (0.94)	-1.09 (1.28)	-0.74 (0.75)	-0.62 (0.56)	-0.62 (0.57)
	Mean AK rowsum	0.51	0.48	0.39	0.33	0.29
	Mean AK diagonal value	0.11	0.10	0.08	0.07	0.06

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3.4. Illustrative examples comparing L3O and L3L: analysis of the most populous coastal cities

In this section, time series from the 33 L3 coastal grid boxes that contain cities classified amongst the 100 most populous in the world (derivation outlined in Sect. 2.5) are analysed to illustrate the differences between mean values and trends obtained from the L3O and L3L datasets. The comparison is focussed on L3O_L and L3O_{LM}, as these are the L3O subsets that data users would realistically choose to analyse if following the data filtering guidelines. For clarity, from here these grid boxes are referred to by the name of the city that they contain. A detailed case study for the L3 grid box containing the city of Dubai is first presented, before considering results for all cities analysed.

3.4.1. Detailed case study: L3 grid box containing Dubai

Summary stats derived from the L3O subsets, L3L, and L3W (included for comparison), for the L3 grid box containing the city of Dubai, are given in Table 6. Figure 8 visualises the daily retrieved VMR time series from L3L, with L3O_L overlaid for comparison purposes.

Of a possible 1620 days with data in the unfiltered L3O dataset for this grid box, a mere 70 days (4 %) remain for analysis when following data filtering guidelines to restrict analysis to retrievals performed over land only (the L3O_L subset). By contrast, there are 1523 days available for analysis using the L3L dataset for this grid box (94 % of total days with retrievals in the L3O dataset). However, in L3O, on most days these retrievals over land are averaged together with retrievals over water to create L3O_M, as evidenced by the L3O_{LM} subset containing 1486 days with data for this grid box (92 % of total days in the L3O dataset). That L3L has a greater number of days with data than the L3O_{LM} subset indicates that there are days in L3O with a surface index of water where L2 retrievals were present over land but were discarded because of the L3 creation process.

Long-term mean retrieved VMR is greatest in the land-only datasets L3O_L and L3L. The value in L3O_L is 10 ppbv greater than in L3L. Given that L3O_L is a very small subset of L3L, this appears to be a large overestimate, when compared to L3L. Long-term mean retrieved VMR in L3O_{LM} is 11 ppbv lower than in L3L. This is clearly a result of the inclusion of retrievals over water in this dataset, via L3O_M, with long-term mean retrieved VMR in L3W being 17 ppbv lower than L3L. Both the L3L vs L3O_{LM} and L3L vs L3W mean differences are significant ($p < 0.1$). Consistent with the results shown in Sect. 3.2.2 when identifying factors that determine whether the averaging of L2 retrievals over land and water to create L3O_M can yield statistically significantly different retrievals to L3L, this L3 grid box is water-dominated, with a mean ratio(land/water) of 0.60. It is also notable that the standard deviation of long-term mean retrieved VMR in L3L (and L3O_L) is roughly twice as large as that in L3O_{LM} and L3W, which is to be expected given that retrievals over water are more greatly tied to their a priori than retrievals over land due to their comparatively lower sensitivity (as discussed in Sect. 3.2.1).

The trends detected using WLS analysis following the method outlined in Section 2.5 are visualised in Figure 9 (note that trend values are also given in Table 6 in both ppbv y^{-1} and % y^{-1}), along with the yearly mean VMR values that were used in the regression. Detected trends are clearly strongest in the land-only datasets L3O_L and L3L, with the L3O_L trend being significantly stronger ($p < 0.1$) than the L3L trend – a difference of equating almost 1 % y^{-1} (2.01 ppbv y^{-1}). Again, given the far superior temporal coverage of L3L, this is the more reliable result. The trend in L3L is 0.65 % y^{-1} (1.28 ppbv y^{-1}) stronger than in L3O_{LM}, which corresponds to a difference of almost 12 % over the 18-year period of analysis. The trend in L3O_{LM} is

796 clearly weakened by inclusion of retrievals over water, with the trend in L3W being over 1 % y⁻¹ weaker than
 797 in L3L. Note that this trend analysis has been repeated using an alternative regression method which is less
 798 sensitive to outlying values (Theil-Sen slope estimator), and the results are unchanged. This is detailed further
 799 in the Supp. Mat. (SM8).
 800

Table 6. Summary stats from L3O subsets compared, L3L, and L3W (for comparison), for the L3 grid box containing the city of Dubai. Note that across the whole study period (2001-09-01 to 2018-12-31), there are 5988 MOPITT files available. There are 1620 days with data in the L3O dataset (unfiltered by surface index), 27 % of the whole study period. The WLS trend in units of % y⁻¹ is calculated by dividing the trend in units of ppbv y⁻¹ by the respective long-term mean VMR value.

Dataset	n days with data (% of days in L3O (n = 1620))	Long-term mean VMR (± standard deviation) (ppbv)	WLS trend (± standard error) (ppbv y ⁻¹)	WLS trend (± standard error) (% y ⁻¹)
L3O _L	70 (4 %)	190 (± 56)	-4.91 (± 1.21)	-2.59 (± 0.64)
L3O _{LM}	1486 (92 %)	169 (± 25)	-1.62 (± 0.18)	-0.96 (± 0.10)
L3L	1523 (94 %)	180 (± 44)	-2.90 (± 0.26)	-1.61 (± 0.14)
L3W	1565 (97 %)	163 (± 18)	-0.90 (± 0.13)	-0.55 (± 0.08)

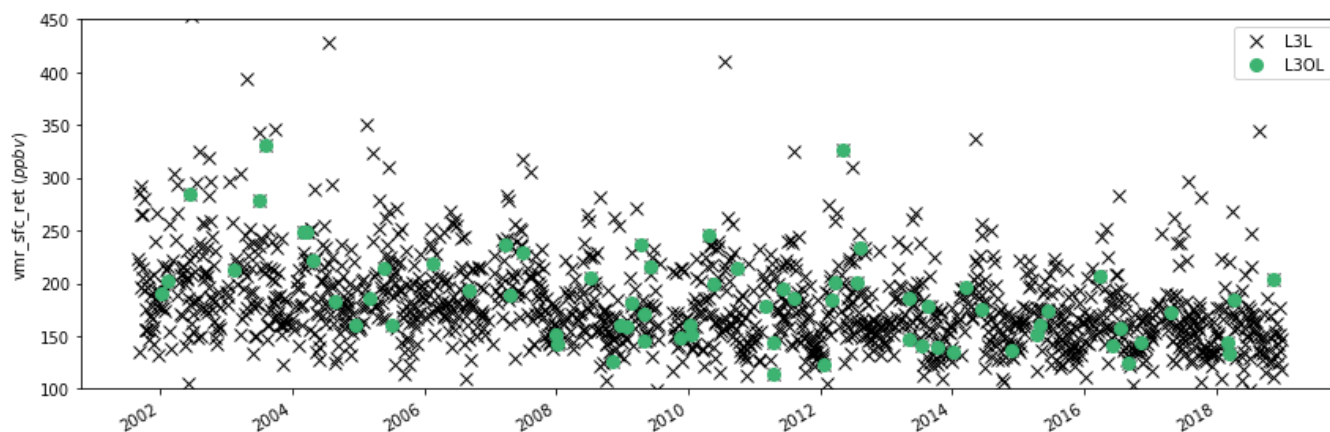


Figure 8. L3L (black crosses) and L3O_L (green circles) time series for the entire study period. Note that the size of plotted symbol required to visualise the whole time series artificially exaggerates the sense of temporal coverage; in reality, L3L is only present on 25 % of the days across the study period, and L3O_L just 1 %.

801 To summarise: If L3O users follow data filtering guidelines and restrict analysis to retrievals only
 802 performed over land, there is a huge loss of data coverage in the L3O dataset for the coastal L3 grid box
 803 containing the city of Dubai. Choosing to work with L3O_L despite this would lead to results that are clearly
 804 erroneous, when compared to L3L, which has far greater temporal coverage (almost 22 times more days with

805 data than L3O_L). L3O users could make the decision to include days with a L3 surface classification of
 806 “mixed” in their analysis to increase temporal coverage (the L3O_{LM} dataset analysed here). However, doing
 807 so would yield both lower retrieved VMRs, on average, and significantly weaker decreasing trends, than
 808 L3L. This is demonstrably due to the incorporation of retrievals over water into L3O_{LM} (via L3O_M), as shown
 809 by the comparison with L3W.
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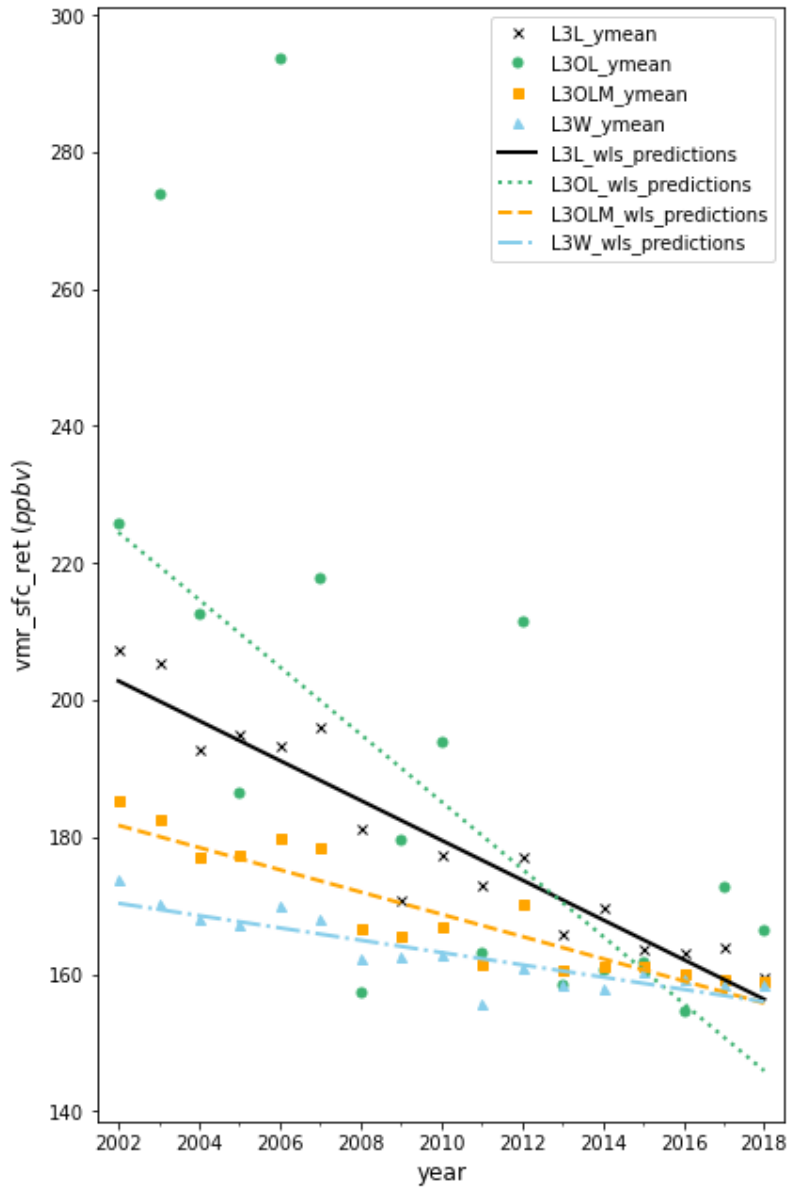


Figure 9. Yearly mean (“ymean” in legend) retrieved VMR in the different datasets being investigated, and the trendlines obtained from WLS regression analyses on each of these datasets (“wls_predictions” in legend). Black crosses and solid black lines correspond to L3L; green filled circles and dotted green lines correspond to L3O_L; orange filled squares and dashed orange lines correspond to L3O_{LM}; blue filled triangles and dash-dot blue lines correspond to L3W. Trend values for each dataset are also given in Table 6.

811 3.4.2. Discussion of results for all cities analysed

812

813 The above analysis is repeated for all 33 cities. Number of days with data, long-term mean retrieved VMRs,
814 and temporal trends are given in Table 7 for the L3 grid boxes containing these cities for each of the L3O
815 subsets considered, L3L, and L3W (for comparison). These metrics are evaluated in turn below.

816

817 *Temporal coverage*

818

819 The loss of data in L3O if filtering for retrievals over land only (L3O_L) is clear: 6 of the cities cannot be
820 studied at all using L3O_L (number of days with data = 0), and of the remaining 27 cities with data in this L3O
821 subset, only a single city (Osaka) has more than 50 % of the days with data in L3L. The mean
822 $n_days(L3O_L/L3L)$ ratio for these 27 cities is 0.18 – i.e., on average, there are over 5 times more days with
823 data in L3L than are available in L3O when filtering for retrievals over land only.

824 L3O_{LM} compares more favourably to L3L in terms of number of days with data, due to the inclusion
825 of days when the L3O surface index is “mixed”, with a mean $n_days(L3O_{LM}/L3L)$ ratio of 0.85.
826 $n_days(L3O_{LM}) > n_days(L3L)$ for 11 of the 33 cities, although the difference is generally small. L3O_M is
827 the dominant component of L3O_{LM} in all cases here, being the classification on 84 % of days, on average,
828 across all 33 cities (max = 100 %, min = 45 %).

829

830 *VMR comparison*

831

832 The consequence of the loss of data in L3O_L is clear: compared to L3L, mean VMR in L3O_L is higher, and
833 the magnitude of this difference generally depends upon how much data is lost in L3O_L. Mean VMR across
834 all cities (excluding the 6 cities where $n_days(L3O_L) = 0$) is 17 ppbv higher in L3O_L than in L3L. This falls
835 to 10 ppbv if restricted to cities where the $n_days(L3O_L/L3L)$ ratio is greater than 0.05 (n=17), and 7 ppbv if
836 restricted to cities where the $n_days(L3O_L/L3L)$ ratio is above 0.2 (n=11). The mean VMR difference (L3L
837 – L3O_L) is significant ($p < 0.1$) for 11 of the 27 cities that can be compared; in these cases, L3O_L is a smaller
838 subset of L3L than for the cities where mean VMR difference is not significant ($n_days(L3O_L/L3L) = 0.15$
839 vs 0.22, respectively), and the mean VMR difference is unsurprisingly much greater (-36 vs -4 ppbv).

840

841

Table 7. Summary stats for the L3 grid boxes containing the 33 cities of interest from each of the L3O subsets considered, L3L, and L3W (for comparison). For each grid box and dataset, the following stats are shown: 1. ratio(land/water), which is an indicator of the relative land vs water surface coverage of a L3 grid box; 2. the number of days with data across the whole study period; 3. the mean retrieved VMR (\pm the standard deviation), in ppbv; and 4. the trend from WLS regression analysis (\pm the standard error), in ppbv y^{-1} . Dash symbols ('-') indicate that the stat cannot be calculated for a given grid box and dataset owing to lack of data. Bold text indicates that a dataset mean or trend value is significantly different to the value in L3L for that city ($p < 0.1$). Italicised text indicates that the trend value is not significantly different to zero ($p < 0.1$). Bold italics indicate that the trend value is not significantly different to zero AND that it is significantly different to the trend in L3L for that city.

¹ The modified mean, shown in the bottom row of the table, corresponds to the mean value that is calculated only for cities where there is a corresponding stat in the L3O_L dataset. For 1-3, this corresponds only to cities where number of days with data L3O_L > 0 (n = 27). For 4, this corresponds only to cities where there are enough days with data for the regression analysis to be performed in L3O_L (n = 18). By contrast, the mean value, shown in the penultimate table row, simply represents the mean of all values in that column

city	1. ratio (land/water)	2. number of days with data				3. mean (\pm std) [ppbv]				4. trend (\pm standard error) [ppbv y ⁻¹]			
		L3L	L3O _L	L3O _{LM}	L3W	L3L	L3O _L	L3O _{LM}	L3W	L3L	L3O _L	L3O _{LM}	L3W
Tokyo	1.57	620	98	627	575	185 (43)	188 (38)	184 (36)	178 (34)	-1.7 (0.3)	-2.3 (0.5)	-1.7 (0.3)	-1.7 (0.3)
Shanghai	1.35	378	54	374	416	373 (130)	374 (112)	363 (111)	338 (108)	-5.9 (1.4)	-7.0 (1.6)	-5.7 (1.4)	-3.4 (1.2)
Manila	0.05	127	0	86	811	150 (28)	-	151 (19)	145 (22)	-1.3 (0.5)	-	-1.2 (0.4)	-1.3 (0.2)
Mumbai	0.12	790	1	388	1356	227 (166)	291 (0)	218 (56)	184 (66)	<i>-1.2 (0.9)</i>	-	<i>-0.6 (0.6)</i>	<i>-0.1 (0.3)</i>
New York	0.07	216	0	178	919	296 (69)	-	315 (59)	332 (64)	<i>-1.4 (1.1)</i>	-	-2.3 (0.8)	-1.9 (0.5)
Lagos	0.13	116	4	92	660	337 (109)	312 (75)	305 (67)	232 (69)	<i>1.2 (2.0)</i>	-	<i>0.5 (1.7)</i>	<i>0.2 (0.4)</i>
Bangkok	0.52	445	33	415	755	314 (77)	346 (78)	308 (62)	261 (79)	-3.0 (0.6)	-8.6 (2.1)	-3.1 (0.7)	-2.0 (0.4)
Osaka	2.08	297	171	309	270	187 (48)	189 (39)	183 (39)	172 (34)	-2.5 (0.5)	-2.3 (0.5)	-2.3 (0.4)	-1.3 (0.4)
Karachi	1.83	1108	423	1117	884	139 (33)	130 (32)	136 (30)	131 (30)	-0.8 (0.2)	-0.6 (0.2)	-0.7 (0.2)	-0.5 (0.3)
Buenos Aires	3.05	864	241	863	719	94 (18)	95 (17)	94 (16)	95 (16)	<i>-0.1 (0.1)</i>	-0.5 (0.2)	<i>-0.2 (0.1)</i>	<i>-0.1 (0.1)</i>
Istanbul	0.11	322	2	436	998	152 (30)	185 (25)	154 (19)	157 (21)	-1.2 (0.4)	-	-0.4 (0.2)	-0.8 (0.2)
Chennai	0.08	331	0	95	1133	223 (56)	-	205 (25)	203 (28)	<i>0.0 (0.8)</i>	-	<i>0.5 (0.5)</i>	-0.9 (0.3)
Xiamen	0.08	215	1	97	854	263 (74)	402 (0)	258 (69)	232 (67)	-2.6 (0.9)	-	-4.1 (1.7)	-1.9 (0.4)
Taipei	0.01	36	0	5	758	192 (50)	-	210 (26)	183 (43)	-3.7 (1.0)	-	-	-1.5 (0.4)
Kuala Lumpur	0.95	142	60	143	200	233 (81)	239 (109)	234 (84)	238 (97)	-2.7 (1.3)	-3.4 (1.2)	-3.9 (1.0)	-5.1 (1.1)
Saigon	1.50	249	122	255	325	254 (65)	267 (62)	244 (60)	189 (51)	<i>-1.4 (0.9)</i>	-3.6 (1.3)	-2.3 (0.8)	-2.3 (0.8)
Luanda	0.67	173	54	175	341	260 (101)	312 (100)	268 (101)	213 (109)	<i>-0.5 (2.1)</i>	<i>-2.6 (3.7)</i>	<i>0.5 (2.2)</i>	<i>-0.2 (1.0)</i>
San Francisco	0.23	522	15	598	889	236 (92)	237 (67)	243 (53)	250 (60)	<i>-1.1 (0.7)</i>	-	<i>-0.7 (0.5)</i>	-1.0 (0.6)
Singapore	0.05	32	0	18	425	387 (248)	-	387 (117)	341 (133)	-	-	-	-4.3 (2.4)
Shantou	1.79	396	175	398	457	312 (96)	326 (104)	304 (91)	264 (80)	-5.4 (0.5)	-5.9 (1.4)	-5.7 (0.4)	-3.8 (0.7)
Hong Kong	0.14	228	3	164	704	336 (83)	432 (70)	312 (71)	260 (93)	-8.1 (0.9)	-	-5.1 (1.3)	-3.5 (0.5)
Toronto	2.85	401	186	416	274	238 (58)	232 (50)	239 (47)	254 (44)	<i>-1.1 (0.8)</i>	<i>-0.3 (1.1)</i>	<i>-1.2 (0.7)</i>	-2.0 (0.6)
Miami	0.35	411	32	357	1038	161 (32)	157 (26)	160 (25)	143 (25)	-1.5 (0.4)	-1.2 (1.2)	-1.3 (0.3)	-0.8 (0.2)
Surat	1.68	943	289	940	760	181 (44)	175 (43)	182 (43)	179 (54)	<i>-0.4 (0.3)</i>	-1.6 (0.7)	<i>-0.4 (0.3)</i>	<i>-0.1 (0.3)</i>
Dar Es Salaam	0.01	44	0	17	1040	103 (46)	-	86 (12)	86 (17)	<i>-0.3 (0.7)</i>	-	-	-0.2 (0.1)
Qingdao	2.35	587	186	589	566	372 (102)	365 (96)	370 (94)	383 (111)	-3.8 (1.5)	-2.0 (1.7)	-3.7 (1.4)	-4.2 (0.9)
Yangon	0.41	590	6	498	930	271 (70)	236 (37)	281 (66)	266 (79)	-1.5 (0.8)	-	-1.7 (0.6)	-2.1 (0.5)
Abidjan	0.48	86	38	83	349	218 (58)	232 (59)	215 (58)	156 (44)	<i>-2.1 (1.4)</i>	-3.6 (1.8)	<i>-0.3 (1.4)</i>	-0.7 (0.3)
Wenzhou	0.56	386	25	347	705	268 (75)	308 (122)	256 (65)	231 (64)	-4.2 (0.7)	-10.1 (2.4)	-3.5 (0.6)	-2.8 (0.6)
Sydney	0.38	709	6	676	1000	94 (36)	92 (17)	90 (16)	87 (15)	-0.7 (0.2)	-	-0.5 (0.1)	-0.2 (0.1)
Accra	0.17	155	7	116	740	245 (84)	262 (68)	224 (63)	161 (48)	2.9 (1.5)	-	2.9 (0.8)	-0.5 (0.3)
Dubai	0.60	1523	70	1486	1565	180 (44)	190 (56)	169 (25)	163 (18)	-2.9 (0.3)	-5.0 (1.2)	-1.6 (0.2)	-0.9 (0.1)
Chittagong	0.81	653	49	628	888	296 (66)	316 (79)	304 (66)	296 (91)	<i>-0.7 (0.5)</i>	<i>-2.1 (2.2)</i>	<i>-0.7 (0.5)</i>	<i>-0.9 (0.7)</i>
Mean	0.82	427	71	394	736	236	255	232	212	-1.9	-3.5	-1.7	-1.6
Modified mean ¹	0.99	493	87	466	712	238	255	233	212	-2.3	-3.5	-2.1	-1.8

843 The L3L – L3O_{LM} mean VMR difference is relatively small, by comparison (4 ppbv, all 33 cities).
844 However, this does hide some much larger discrepancies between L3L and L3O_{LM} for certain cities, with the
845 difference exceeding 10 ppbv in 11 cases and 20 ppbv for 3 of them. The difference is significant ($p < 0.1$;
846 “SIGDIFF_{L3L-L3O_{LM}}”) for 13 of 33 cities (39 %). Compared to the subset where the L3L – L3O_{LM} mean
847 difference is not significant ($n = 20$, 61 %; “NOT_SIGDIFF_{L3L-L3O_{LM}}”), the following characteristic
848 differences are found (also detailed in Table 8):

849

- 850 • The grid boxes in SIGDIFF_{L3L-L3O_{LM}} have a greater proportion of their surface covered by water than
851 NOT_SIGDIFF_{L3L-L3O_{LM}}: this is evidenced by a mean ratio(land/water) of 0.51 in SIGDIFF_{L3L-L3O_{LM}} vs
852 1.02 in NOT_SIGDIFF_{L3L-L3O_{LM}}, indicating there are relatively more retrievals over water than land in
853 the former; and also by the fact that on average, L3O_L only contributes to L3O_{LM} in SIGDIFF_{L3L-L3O_{LM}}
854 on 9 % of days, vs 20 % of days for NOT_SIGDIFF_{L3L-L3O_{LM}} (which means that retrievals over water
855 contribute via L3O_M more frequently to L3O_{LM} in SIGDIFF_{L3L-L3O_{LM}} than NOT_SIGDIFF_{L3L-L3O_{LM}}).
- 856 • The L3L – L3W VMR ret differences are larger in SIGDIFF_{L3L-L3O_{LM}} than NOT_SIGDIFF_{L3L-L3O_{LM}}
857 (mean = 31.15 vs 18.44 ppbv), meaning they are less likely to be hidden by averaging to create L3O_M.
- 858 • Land-water mean averaging kernel differences suggest there is not a large land-water sensitivity
859 contrast between the SIGDIFF_{L3L-L3O_{LM}} and NOT_SIGDIFF_{L3L-L3O_{LM}} subsets. However, the L3L – L3W
860 ret-apr difference, which is another indicator of sensitivity difference, is much greater for SIGDIFF<sub>L3L-
861 L3O_{LM}</sub> than NOT_SIGDIFF_{L3L-L3O_{LM}} (21.66 vs 3.22 ppbv, respectively (21.98 vs 11.88 ppbv if using
862 absolute values)). There is some evidence that this may be a function of the a priori VMRs being
863 closer to “true” VMRs in NOT_SIGDIFF_{L3L-L3O_{LM}}, with mean retrieved minus a priori VMR values
864 being closer to zero than in SIGDIFF_{L3L-L3O_{LM}}.

865

866 These findings are all consistent with what was shown in Sect. 3.2.2 when identifying factors that
867 determine whether the averaging of L2 retrievals over land and water to create L3O_M can yield a statistically
868 significantly different retrieval to L3L. As outlined above, L3O_M is the dominant component of L3O_{LM} in all
869 cases considered here (being the classification on 84 % of days, on average (max = 100 %, min = 45 %)).

870

871

872

873

Table 8. Selected parameters from L3 grid boxes containing cities, stratified according to whether mean VMR in L3L and L3O_{LM} is significantly different (“SIGDIFF_{L3L-L3OLM}”; $p < 0.1$) or not (“NOT_SIGDIFF_{L3L-L3OLM}”).

	P < 0.1 (“SIGDIFF _{L3L-L3OLM} ”) (n = 13)	P > 0.1 (“NOT_SIGDIFF _{L3L-L3OLM} ”) (n = 20)
Mean ratio(land/water)	0.51	1.02
% days from L3O _L	9	20
Δ VMR ret (L3L – L3W) (ppbv)	31.15	18.44
Δ AK rowsum (L3L – L3W)	0.25	0.21
Δ AK diagonal (L3L – L3W)	0.10	0.08
Δ VMR (ret - apr) (L3L – L3W) (ppbv)	21.66	3.22
$ \Delta$ VMR (ret - apr) (L3L – L3W) (ppbv)	21.98	11.88
L3L VMR (ret - apr)	-19.82	-7.07
L3L VMR (ret - apr)	39.86	18.79
L3W VMR (ret - apr)	-14.75	-6.73
L3W VMR (ret - apr)	18.21	15.57

875

876

877 *Trend comparison*

878

879 On average, the strongest trends are seen in L3O_L. However, as with the Dubai case study, this often appears
 880 as an outlier compared to the other datasets – a consequence of its comparatively very sparse temporal
 881 coverage. As expected from previous sections, the weakest trends are detected in L3W, with L3O_{LM}
 882 representing a mid-point between this and L3L.

883 Of the 18 cities where WLS analysis can be performed in L3O_L, there are 9 where the resulting trend
 884 – and thus conclusion drawn from the analysis – is significantly different to that in L3L. In 3 of these cases
 885 (Dubai, Wenzhou, Bangkok), the trend in L3O_L can be judged to be a strong over-estimate given the large
 886 difference to the corresponding trends in L3L (trend standard errors do not overlap), and the very small
 887 number of days with data that these trends are based on when compared to L3L ($n_days(L3O_L/L3L)$ ratio <
 888 0.08 in each case). There are 4 additional cities where a significant trend in L3O_L appears to be an over-
 889 estimate, when compared the L3L: Abidjan, Surat, Saigon, and Buenos Aires. This is because the trend for
 890 these cities in L3L is not significantly different to 0 which, given the higher number of days with data in L3L
 891 ($n_days(L3O_L/L3L)$ ratio = 0.44, 0.31, 0.49, 0.28, respectively), appears to be the more reliable result. The

892 L3O_L trend for Miami is insignificant and derived from very low n. L3O_L is also the only dataset to yield an
893 insignificant trend for Qingdao.

894 As with mean VMRs, trends in L3O_{LM} compare better than L3O_L to L3L. However, there are still 5
895 cases where L3O_{LM} and L3L yield significantly different results. For 3 of these (Hong Kong, Istanbul, and
896 Dubai, as covered in detail in Sect. 3.4.1), interpretation of the difference is simple: L3O_{LM} is a significant
897 under-estimate of the CO change over time. This is very likely due to the inclusion of retrievals over water
898 in this dataset, as evidenced by L3W yielding a significantly weaker trend than L3L in all 3 cases. In the
899 remaining 2 cases – New York and Saigon – interpretation is more complicated. For both these cities, the
900 trend detected in L3L is not significantly different from zero, whereas the trend in L3O_{LM} is. Does this mean
901 that the trend in L3O_{LM} is an over-estimate? Possibly. However, in both cases, the trends are within one
902 standard error of each other and therefore within the range of sampling uncertainty. There are an additional 2
903 cities where WLS could be performed in L3L but not L3O_{LM} (Dar Es Salaam and Taipei), but n_{days}(L3L)
904 is so low (44 and 36, respectively) that these results are not deemed to be trustworthy.

905 As outlined in Sect. 2.5, it is important to note that the trends presented in this section are for
906 illustratory purposes only, with the intention of demonstrating that different results can be obtained depending
907 on whether L3O or L3L (and, by extension, L2) data are analysed. More focused analysis is needed to verify
908 these trends, which is beyond the scope of this paper. The trend analysis has been repeated using an alternative
909 regression method which is less sensitive to outlying values (Theil-Sen slope estimator), and the main results
910 reported above stand. This is detailed further in the Supp. Mat. (SM8).

911
912

913 **4. Summary and Conclusions**

914

915 The aim of this paper was to compare surface level retrievals and their temporal trends in “as-downloaded”
916 L3 data (“L3O”) with those that could be obtained if only the L2 retrievals performed over land are averaged
917 to create the L3 product (“L3L”), for all coastal L3 MOPITT grid boxes around the globe (n = 4299). This
918 work is motivated by a conflict between the recommendation that MOPITT data users restrict analyses to
919 retrievals performed over land owing to known sensitivity issues over water (MOPITT Algorithm
920 Development Team, 2018; Deeter et al., 2015), and the reality that L3O data are created from L2 retrievals
921 performed over both land and water for coastal L3 grid boxes, limiting the ability of L3 data users to follow
922 the recommendation in these cases. In short, this study has sought to answer the question: “does it matter”?
923 Analysis has focussed on comparing the original, “as-downloaded” L3 dataset (“L3O”) with new land-only

924 and water-only L3 products (“L3L” and “L3W” respectively) that have been created from the L2 retrievals.
925 The main results are summarised below.

926 First, a direct comparison of the L2 retrievals performed over land (L3L) and water (L3W) that are
927 averaged together to create L3 products on days when the L3 surface index is “mixed” (L3O_M) identified
928 that:

- 929 • Retrieval information content is clearly greater in L3L than L3W. The corresponding mean L3L –
930 L3W VMR difference is over 10 ppbv, significant ($p < 0.1$) at 60 % of the coastal grid boxes
931 compared.
- 932 • Temporal trends are also stronger, on average, in L3L (mean diff = 0.28 ppbv y⁻¹, 0.43 ppbv y⁻¹ if
933 only considering trends significantly different to zero), with the L3L – L3W trend difference
934 significant ($p < 0.1$) at 36 % of grid boxes where a trend comparison was possible.
- 935 • Larger L3L – L3W differences in mean VMRs and trends are clearly associated with greater
936 differences in retrieval sensitivity.
- 937 • The resulting VMRs in L3O_M are significantly different to L3L for 75 % of grid boxes where the
938 L3L – L3W difference is also significant; this corresponds to 45 % of all coastal grid boxes
939 compared. Whether or not L3O_M and L3L differ significantly depends on multiple factors including
940 the ratio of land/water surface cover in the grid box, the strength of the land-water sensitivity contrast
941 and VMR difference, and, potentially, the accuracy of the a priori.
- 942 • Just under half of the grid boxes that featured a significant L3L – L3W trend difference also see
943 trends differing significantly between L3L and L3O_M. As with the mean VMR comparison, these
944 grid boxes are more water-dominated than the subset whereby the L3L – L3W trend difference is
945 significant but the L3L – L3O_M trend difference is not. They also feature stronger L3L – L3W trend
946 differences overall, but no other variables (such as ltm VMRs and sensitivity metrics) show clear
947 differences.

948
949 Having established the degree of difference in L3O_M and L3L retrievals that is caused directly by
950 averaging L3L with the less-sensitive L3W, the full L3O dataset with differing surface filtering options was
951 compared to L3L:

- 952
953 • If L3O is filtered so that only retrievals over land (L3O_L) are analysed, as has been recommended
954 (MOPITT Algorithm Development Team, 2018; Deeter et al., 2015), there is a huge loss of data, in
955 terms of days with data to analyse. This is a direct result of L2 retrievals over land routinely being
956 discarded during the L3O creation process, or averaged with L2 retrievals over water, creating L3O_M

957 (at least for coastal grid boxes). The problem can be alleviated by also retaining L3O_M retrievals, but
958 these additional days with data feature some influence from retrievals made over water that can affect
959 results, as outlined. The resulting L3O_{LM} subset still has less days with data than in L3L for 61 % of
960 coastal grid boxes.

- 961 • Almost a quarter (half) of coastal grid boxes see a significant difference in ltm VMR between L3L
962 and L3O_L (L3O_{LM}). Over a third (almost a quarter) of the trends in L3O_L (L3O_{LM}) are significantly
963 different to L3L.
- 964 • Focusing on the L3 grid boxes containing the 33 largest coastal cities in the world, mean VMRs in
965 L3O_L and L3L differ significantly for 11 of the 27 grid boxes that can be compared (40 %; there are
966 no L3O_L data for the remaining 6 cities). The L3L – L3O_{LM} mean VMR difference across all 33 grid
967 boxes is relatively small (3.7 ppbv), but this does hide some much larger discrepancies, with the
968 difference exceeding 10 ppbv for 11 of the 33 grid boxes and 20 ppbv for 3 of them. The difference
969 is significant for 13 of 33 grid boxes (39 %). Of the 18 grid boxes where WLS analysis can be
970 performed in L3O_L, there are 9 cases where the trend is significantly different to that in L3L. The
971 trends in L3O_{LM} and L3L differ significantly for 5 of the 33 grid boxes.

972
973 From these results, it can be concluded that, yes, for at least a quarter of all MOPITT coastal L3 grid
974 boxes, it does matter that there is limited capacity to filter out the influence of retrievals over water in L3
975 data – at least without a huge loss of temporal coverage. Demonstrably, there are significant differences in
976 the mean VMRs and temporal trends that can be obtained using L3O and L3L, sometimes very large. These
977 differences could have tangible consequences, depending on the purpose for which the MOPITT data are
978 being used. While acknowledging that this analysis has also shown that there is a sizeable proportion of
979 coastal grid boxes where statistically, mean VMRs and trends do not differ significantly between L3L and
980 L3O, there is enough evidence to suggest that an additional L3 “land-only” product, created only from
981 averaging bounded L2 retrievals performed over land – the L3L dataset that has been analysed in this paper
982 – could be beneficial to the research community. This L3L dataset enables L3 users to maximize retrieval
983 information content for coastal L3 grid boxes, as is currently only possible with L2 data, while also preserving
984 the benefits of L3 products, such as smaller file size and greater accessibility of gridded products. The L3L
985 dataset analysed in this paper is publicly available for download (Ashpole and Wiacek, 2022; L3W is also
986 available). Although this paper has focused only on analysis of MOPITT data, it is reasonable to question
987 whether the findings are applicable to data products from other satellite instruments that make CO retrievals
988 based on observed thermal-infrared radiances, such as AIRS (Atmospheric InfraRed Sounder), TES
989 (Tropospheric Emission Spectrometer), and IASI (Infrared Atmospheric Sounding Interferometer).

Appendix A: List of short names and abbreviations used in the main article text, their full descriptive name, and the purpose of use (along with the section it is first introduced)

Short name / abbrev.	Full descriptive name	Purpose (Section introduced)
AK	Averaging Kernel	General abbreviation (2.1)
LTM	Long-term mean	General abbreviation (3.3.2)
MOPITT	Measurements of Pollution in the Troposphere	Instrument abbreviation (1)
VMR	Volume Mixing Ratio	General abbreviation (1)
VMR _{ret}	Retrieved VMR	General abbreviation (3.1.1)
VMR _{apr}	a priori VMR	General abbreviation (3.1.1)
L2	Level 2 dataset	Dataset identifier (1)
L3	Level 3 dataset	Dataset identifier (1)
L3L	A new L3 “land-only” dataset, created only from Level 2 retrievals performed over land (creation method outlined in Sect. 2.4)	Dataset identifier (1)
L3O	Original, “as downloaded” Level 3 (L3) dataset	Dataset identifier (1)
L3O _l	Subset of L3O only containing L3 retrievals with a surface index of land	Dataset identifier (2.4)
L3O _{LM}	Subset of L3O only containing L3 retrievals with a surface index of land OR mixed	Dataset identifier (2.4)
L3O _M	Subset of L3O only containing L3 retrievals with a surface index of mixed	Dataset identifier (2.4)
L3O _{NF}	The L3O dataset with no filtering by surface index (L3O _{NF} is identical to L3O)	Dataset identifier (2.4)
L3O _w	Subset of L3O only containing L3 retrievals with a surface index of water	Dataset identifier (2.4)
L3W	A new L3 “water-only” dataset, created only from Level 2 retrievals performed over water (creation method outlined in Sect. 2.4)	Dataset identifier (1)
n _{days} (L3[A])	Number of days in L3 dataset A, e.g. n _{days} (L3L)	Dataset metric (2.3)
n _{days} (L3[A]/L3[B])	A ratio quantifying the relative number of observations in L3 dataset A compared to L3 dataset B, e.g. n _{days} (L3O _l /L3O)	Dataset metric (2.3)
n _{ret_l}	Number of L2 retrievals that are used for calculating the area averages when creating L3L	Dataset metric (2.4)
n _{ret_w}	Number of L2 retrievals that are used for calculating the area averages when creating L3W	Dataset metric (2.4)
ratio(land/water)	n _{ret_l} /n _{ret_w} : A ratio used to indicate the proportion of an L3 grid box that is covered by land vs water	Dataset metric (2.4)
SIGDIFF _{L3L-L3W}	L3 gridboxes where the mean VMR in L3L and L3W is significantly different ($p < 0.1$)	Grid box subset identifier (3.2.1)
NOTSIGDIFF _{L3L-L3W}	L3 gridboxes where the mean VMR in L3L and L3W is <i>not</i> significantly different ($p > 0.1$)	Grid box subset identifier (3.2.1)
BOTH _{VMRs}	L3 grid boxes where the mean VMR in L3L is significantly different to that in both L3W and L3O _M	Grid box subset identifier (3.2.2)
L3L_L3W_ONLY _{VMRs}	L3 grid boxes where the mean VMR in L3L is significantly different to that in L3W but <i>not</i> in L3O _M	Grid box subset identifier (3.2.2)
BOTH _{TRENDS}	L3 grid boxes where the detected trend in L3L is significantly different to that in both L3W and L3O _M	Grid box subset identifier (3.2.2)
L3L_L3W_ONLY _{TRENDS}	L3 grid boxes where the detected trend in L3L is significantly different to that in L3W but <i>not</i> in L3O _M	Grid box subset identifier (3.2.2)
SIGDIFF _{L3L-L3O_{LM}}	L3 gridboxes where the mean VMR in L3L and L3O _{LM} is significantly different ($p < 0.1$)	Grid box subset identifier (3.4.2)
NOTSIGDIFF _{L3L-L3O_{LM}}	L3 gridboxes where the mean VMR in L3L and L3O _{LM} is <i>not</i> significantly different ($p > 0.1$)	Grid box subset identifier (3.4.2)

991 **Data availability**

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993 The “L3L” and “L3W” datasets analysed in this study are available from the following link:
994 <https://doi.org/10.5683/SP3/ERCG2H> (see also Ashpole and Wiacek, 2022). Code for creating these datasets
995 is available here: https://github.com/ianashpole/MOPITT_L3L_L3W. The MOPITT V8 joint TIR-NIR files
996 Level 2 (“MOP02J”) and Level 3 (“MOP03J”) datasets can be accessed from the following URLs,
997 respectively: https://doi.org/10.5067/TERRA/MOPITT/MOP02J_L2.008 (NASA/LARC/SD/ASDC, 2000a)
998 and https://doi.org/10.5067/TERRA/MOPITT/MOP03J_L3.008 (NASA/LARC/SD/ASDC, 2000b)

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1001 **Author contributions**

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1003 IA and AW jointly conceived of and designed the study. IA performed data analysis; both authors examined
1004 and interpreted the results, and prepared the manuscript.

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1007 **Competing interests**

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1009 The authors declare that they have no conflict of interest.

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