

Sensitivity analysis of DSD retrievals from polarimetric radar in stratiform rain based on μ - Λ relationship

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Abstract. Raindrop size distributions (DSD) play a crucial role in quantitative rainfall estimation using weather radar. Thanks to dual-polarization capabilities, crucial information about the DSD in a given volume of air can be retrieved. One popular retrieval method assumes that the DSD can be modeled by a constrained gamma distribution in which the shape (μ) and rate (Λ) parameters are linked together by a deterministic relationship. In the literature, μ - Λ relationships are often taken for granted and applied without much critical discussion. In this study, we take another look at this important issue by conducting a detailed analysis of μ - Λ relations in stratiform rain and quantifying the accuracy of the associated DSD retrievals. Crucial aspects of our research include the sensitivity of μ - Λ relations to the temporal aggregation scale, drop concentration, inter-event variability and adequacy of the gamma distribution model. Our results show that μ - Λ relationships in stratiform rain are surprisingly robust to the choice of the sampling resolution, sample size and adequacy of the gamma model. Overall, the retrieved DSDs are in a rather decent agreement with ground observations (correlation coefficient of 0.57 and 0.74 for μ and D_m). The main sources of errors and uncertainty during the retrievals are calibration offsets in reflectivity (Z_{hh}) and differential reflectivity (Z_{dr}). Measurement noise and differences in scale between radar and disdrometers also play a minor role. The most difficult to retrieve parameter remains the raindrop concentration (N_T), which can be off by several orders of magnitude. ~~By removing~~ After careful data filtering and removal of problematic Z_{hh}/Z_{dr} pairs, the correlation coefficient for the retrieved N_T values ~~increases~~ remained low, only slightly increasing from 0.12 ~~to~~ into 0.24, ~~however even after the careful data filtering the accuracy of the retrieved values remains low.~~

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1 Introduction

Understanding the natural variability of raindrop size distributions (DSD) is crucial for radar remote sensing applications and microphysical parametrizations in numerical weather prediction models (e.g. ~~Thompson et al. (2004)~~ [Thompson et al., 2004](#)). Most precipitation-related quantities (e.g. rain rate, mean drop diameter, number concentration, fall velocity or liquid water content) directly depend on the DSD. Similarly, most radar observables (e.g. Z_{hh} , Z_{dr}) are weighted moments of the DSD. For these reasons, DSD retrieval methods play a central role in numerous weather radar studies.

Efforts to improve quantitative rainfall estimates by retrieving information about DSDs from radar and satellite observations have captured a great deal of interest in the meteorological community, especially after the introduction of polarimetric weather radar (Seliga and Bringi, 1976). Retrievals based on the reflectivity factor at horizontal polarization (Z_{hh}), differential reflectivity (Z_{dr}) and specific differential phase (K_{dp}) are the most common choices, because of their natural link to raindrop concentrations, sizes and shapes.

According to literature, DSDs can be parameterized in the form of relatively simple models such as a gamma distribution with three parameters μ , Λ and N_0 representing the shape, scale and concentration respectively. Algorithms for DSD retrievals take advantage of different relationships between radar observables and the three parameters of the gamma. Three main categories of retrieval methods can be distinguished: the first one consists of methods that use two radar observations Z_{hh} and Z_{dr} , and a constrained relationship between μ and Λ (Zhang et al., 2001, 2003) or N_0 and μ (Ulbrich, 1983). The second category proposed by Bringi et al. (2002) and Gorgucci et al. (2002) uses three radar observables Z_{hh} , Z_{dr} and K_{dp} . However, this method is known to be very sensitive to noise in K_{dp} estimates. To reduce the uncertainty, the differential phase needs to be filtered and down-sampled, which limits the accuracy and spatial resolution of the retrievals. The last category consists of various retrieval techniques that require special types of radars or measurements, such as double frequency (Rose and Chandrasekar, 2006), triple frequency (Mróz et al., 2020) and/or Doppler power spectra (Unal, 2015). In this paper, only the first category will be discussed.

The main challenges when retrieving DSDs from Z_{hh} and Z_{dr} are the choice of the N_0 - μ or μ - Λ relationship and its validity across different rain types and spatial and temporal aggregation scales. In the literature, μ - Λ relationships are often taken for granted or transferred from one location or scale to another without much critical discussion. And while some studies have documented large differences in relationships across rain types (e.g., stratiform vs convective), little remains known about the sensitivity of μ - Λ relationships to the temporal sampling resolution of the disdrometer data used to infer them or the validity of the gamma assumption. Another important issue concerns the fact that the disdrometer data used to define μ - Λ relationships correspond to much smaller sampling volumes than the radar measurements on which they are applied. Therefore, it might be necessary to first apply a statistical transformation to the radar data before retrieving DSDs based on μ - Λ relationships or, equivalently, modify the μ - Λ relation to account for the difference in scale.

Finally, one last issue that ~~is often tends to be~~ overlooked is that radar measurements are likely to contain systematic errors in the form of calibration offsets in Z_{hh} and Z_{dr} . ~~The latter can~~ [A possible error in the latter could](#) induce large biases in the retrieved DSDs, especially in light rain with low Z_{dr} and small signal to noise ratio. ~~Despite the radar community's~~

best efforts, calibration errors remain hard to assess quantitatively due to the lack of a trusted reference. As a result, the quality of the retrieved DSDs strongly depend on the error characteristics of a radar and how well it is calibrated. Several operational polarimetric weather radar networks such as the US Nexrad (Hubbert and Pratte, 2006) and the German DWD network (Frech and Hubbert, 2020) have already devoted extensive efforts toward mitigating these calibration issues. However, achieving and maintaining good calibration over time for research radars remains challenging.

In this paper, we perform a detailed analysis of the sensitivity of DSD retrievals from polarimetric radar to various error sources such as the validity of the μ - Λ relationship and its sensitivity to the temporal sampling resolution, inter-event variability, changes in number concentrations and adequacy of the gamma distribution model. We also examine the sensitivity of the retrievals to measurement biases in Z_{hh} and potential biases in Z_{dr} due to differences in measurement scale. We illustrate the importance of all these issues by retrieving DSDs during several episodes of light to moderate stratiform rain in Cabauw, the Netherlands and indirectly validating our retrievals by comparing them to disdrometer observations on the ground. The main focus is not on optimizing the DSD retrieval algorithm but on understanding its sensitivity to potential sources of errors, either directly linked to the radar measurements or indirectly through the critical modeling assumptions behind the method.

This paper is organized as follows. In Section 2, the data used are introduced. In Section 3, the methodology is presented. In Section 4, the main results for the μ - Λ relationship analysis are shown, followed by the sensitivity analysis on the DSD retrievals in Section 5. Finally, the conclusions are provided in Section 6.

2 Data

The data used in this study were collected in the Netherlands during the ACCEPT (Analysis of the Composition of Clouds with Extended Polarization Techniques) campaign between October and November 2014. During this campaign, a variety of different in-situ and remote sensing measurements were collected at the CESAR (Cabauw Experimental Site for Atmospheric Research) observatory.

2.1 The disdrometer data

The ground DSD spectra used for calibration and validation were collected by a PARSIVEL² (PARTicle SIZE and VELOCITY) optical disdrometer. The working principle, strengths and limitations of the PARSIVEL² have already been discussed in great depth in previous studies and will not be repeated here (Löffler-Mang and Joss, 2000; Tokay et al., 2014; Battaglia et al., 2010; Thurai et al., 2011; Raupach and Berne, 2015). For example, the Parsivel is susceptible to errors in the lower drop diameter range which can affect the DSD shape and number concentrations. However, no efforts have been done to try to correct for these issues within the context of this study. The raw DSD data consist of particle counts across 32 non-uniformly spaced diameter classes ranging from 0 to 25 mm with a sampling resolution of 30 seconds. From the raw DSD, integrated quantities such as rainfall rate (R) and radar equivalent reflectivity factor (Z) can be derived (Bringi and Chandrasekar, 2001; Thurai and Bringi, 2008). The disdrometer measurements were used to fit gamma DSD models and derive constrained relations between μ and Λ parameters at different temporal resolutions, which

is necessary for retrieving DSDs from polarimetric radar measurements. At the same time, the disdrometer measurements were
85 also used to (indirectly) validate the radar retrievals and study their consistency over time and across different events.

Similarly to Gatidis et al. (2020), pre-processing is applied to the disdrometer data:

1. Only the liquid type of precipitation was considered for further analysis. All DSDs with observations above the twenty-second diameter class (drop diameters greater than 7 mm) were discarded, since they correspond to mixed or solid precipitation.
- 90 2. Each DSD should be comprised of at least three different diameter size classes in order to exclude spurious observations not related to rain.

2.2 Radar data

The radar data used to perform the DSD retrievals were collected by TU Delft's polarimetric S-band ($\lambda=9.1$ cm) FMCW radar TARA (Transportable Atmospheric RADar; Heijnen et al., 2000) in Cabauw, the Netherlands. The TARA radar was
95 collocated with additional sensors. This included a Parsivel disdrometer (see Pfizenmaier et al., 2018, Fig. 1) provided by the Leibniz Institute for Tropospheric Research (TROPOS). For this experiment, the radar antenna elevation angle of TARA was fixed at 45° with constant azimuth. The collected polarimetric radar observables ~~include~~included the reflectivity factor at horizontal polarization (Z_{hh}) and differential reflectivity (Z_{dr}) at 200 m height (corresponding to the minimum range of TARA). The full specifications of TARA during the ACCEPT campaign are given in Table 1 of ~~Pfizenmaier et al., 2018~~
100 Pfizenmaier et al. (2018).

In order to make the radar data comparable with the disdrometer data, all Z_{hh} and Z_{dr} measurements were down-sampled over successive 30 s sampling intervals. The radar and disdrometer data were then synchronized by determining the time shift that maximized the correlation coefficient between Z_{hh} Parsivel and Z_{hh} TARA.

Concerning the calibration of Z_{hh} and Z_{dr} , noise measurements were performed every day to account for possible variations
105 in range, especially at the beginning and the end of the IF-filter. Before the start of the campaign, the calibration of Z_{dr} was verified using vertical profiling of drizzle and very light rain. The resulting histograms showed a mean offset of -0.11 dB with a standard deviation of 0.05 dB. Consequently, an offset of +0.11 dB was added to the measured Z_{dr} for the whole ACCEPT campaign. For the calibration of Z_{hh} , the transmit power was stored in the data set, and there was a near-field correction for the non-full-overlapping of the transmit and receive antenna beams using the method described in (Sekelsky and Clothiaux,
110 2002). However, an end-to-end calibration for Z_{hh} was missing.

2.3 List of events

A total of 7 rain events over the whole measurement campaign were selected for further analysis. The criteria used to select events were as follows:

1. Each event must consist of predominantly stratiform rain and exhibit a ~~clear~~well-defined melting layer signal in the
115 radar data.

2. Each rain event must be at least two hours long in duration. This was deemed necessary to have enough data to fit a reliable μ - Λ relation and compute relevant performance metrics.
3. There should be no clear sign of changes in dynamics or microphysics (Jameson and Kostinski, 2001; Gorgucci et al., 2001; Uijlenhoet et al., 2003) with no long dry periods within each event.
- 120 4. Each event must contain several Z_{dr} and Z_{hh} values larger than 0.1 dB and 5 dBZ respectively.

Table 1 presents a summary of the duration, rain intensity and mass-weighted mean diameter (based on the disdrometer data) for each of the seven selected events. As can be seen, most of the events last between 120 and 150 minutes. The longest on November 3 is slightly longer than 4 hours. The low rain intensity and mass-weighted mean drop diameter values confirm that the selected events are mostly comprised of light to moderate stratiform rain. This makes sense given the criteria used to select
 125 the events and the fact that the ACCEPT campaign took place in October-November in the Netherlands, at a time when heavy convective events are rare.

For illustration purposes, one of the 7 events (E2, 11 October, 2014) is plotted in Fig. 1. As can be seen, this event mostly consists of stratiform rain with a moderate intensity of approximately 1.8 mm h^{-1} and a total duration of approximately 3 hours between 10:30 and 13:45 UTC, including a short break between 12:45 and 12:55 UTC according to disdrometer observations
 130 on the ground (Fig. 2). The mass-weighted mean diameter is 1.1 mm, which is typical for light stratiform rain and small raindrop sizes. Event 2 was chosen because it has a relatively stable, well defined melting layer around 2 km height as shown by the enhanced values of Z_{hh} and Z_{dr} in Fig. 1 top and bottom respectively. The event also has a relatively low horizontal wind speed which makes it easier to compare the radar retrievals aloft with the disdrometer measurements on the ground.

3 Methods

135 3.1 DSD model

The model used to approximate raindrop size distributions (DSDs) in this paper is the gamma distribution proposed by Ulbrich (1983):

$$N(D) = N_0 D^\mu e^{-\Lambda D} = N_T \frac{\Lambda^{\mu+1} D^\mu}{\Gamma(\mu+1)} e^{-\Lambda D}, \quad (1)$$

140 where $N(D)$ is the raindrop size distribution in $\text{mm}^{-1}\text{m}^{-3}$, μ is the shape parameter (unitless), Λ is the slope parameter (mm^{-1}), N_0 is the intercept parameter ($\text{mm}^{-1-\mu}\text{m}^{-3}$) and N_T is the total number concentration (m^{-3}). The advantage of N_T over N_0 is that its unit does not depend on μ (Bringi and Chandrasekar, 2001). For convenience, the gamma model is reformulated in terms of the mass-weighted mean diameter D_m (mm) and the generalized intercept parameter N_w ($\text{mm}^{-1}\text{m}^{-3}$) (Testud et al., 2001; Bringi et al., 2003) to:

$$145 \quad N(D) = N_w f(\mu) \left(\frac{D}{D_m} \right)^\mu e^{-(4+\mu) \frac{D}{D_m}}, \quad (2)$$

where $f(\mu)$, N_w and D_m are given by:

$$f(\mu) = \frac{6}{4^4} \frac{(\mu+4)^{(\mu+4)}}{\Gamma(\mu+4)}, \quad (3)$$

$$150 \quad N_w = \frac{4^4}{\pi \rho_w} \left(\frac{LWC}{D_m^4} \right), \quad (4)$$

$$D_m = \frac{4 + \mu}{\Lambda}. \quad (5)$$

In the equations above, LWC denotes the liquid water content (in g m^{-3}) and ρ_w is the density of liquid water ($10^{-3} \text{ g mm}^{-3}$).

155 It should be mentioned that even though the gamma distribution is the most popular and widely accepted model for representing DSDs in the literature, several studies have questioned its adequacy ([Gatidis et al., 2020](#); [Thurai et al., 2019](#); [Cugerone and De Michele, 2015](#); [Gatidis et al., 2020](#); [Thurai et al., 2019](#); [Cugerone and De Michele, 2015](#); [Adirosi et al., 2016](#)), setting criteria and proposing different tools to check the gamma hypothesis on a case-by-case basis.

3.2 Parameter fitting

160 The best parameters (μ , D_m and N_w) for describing the DSDs measured by the disdrometer are obtained by ~~applying the well-established method of moments (MoM) in Thurai et al. (2014)~~ using normalized parameterization of the gamma DSD model based on D_m (ratio of 4th to 3rd order moment). To estimate μ , we first calculate D_m and N_w (directly from the measured DSD spectra). The value of μ is determined by testing all possible values of μ between -3 and 15 and choosing the one that minimises the cost function (CF) ~~below~~ Eq.6). Finally, we derive Λ through its relationship with D_m and μ (Eq.5).

$$165 \quad CF = \sum_{i=3}^{22} | \log_{10}(N_{\text{obs}}(D_i)) - \log_{10}(N(D_i | \mu)) |, \quad (6)$$

where D_i is the center of the i^{th} diameter class in the Parsivel disdrometer and $N_{\text{obs}}(D_i)$ are the volumetric size distribution measurements for each diameter class. Note that the index i ranges from 3 to 22 because the first two diameter classes in the Parsivel are always zero and the diameter classes above 22 correspond to particles that are too large to be associated with rain.

170 3.3 μ - Λ relation

Because the gamma-DSD model involves three parameters, three different radar measurements representative of three weighted moments of the DSD are required to retrieve the DSD in a given radar resolution volume. The retrieval method used in this paper is described in Zhang et al. (2004). It involves a combination of reflectivity factor at horizontal polarization (Z_{hh}), differential reflectivity (Z_{dr}), and a deterministic relationship between the DSD shape parameter (μ) and slope parameter (Λ), commonly referred to as a μ - Λ relationship. The main steps of the retrieval method can be summarized as follows:

1. Impose a μ - Λ relationship $\Lambda = g(\mu)$ based on nearby disdrometer observations or literature values. In our case, a power-law relationship is used:

$$\Lambda = 0.514(\mu + 3)^{1.339} \quad (7)$$

where the prefactor and exponent were determined by combining all the data from all seven events in Table 1.

2. Consider all possible values of μ between -3 and 15 in steps of 0.01. For each μ value, calculate Z_{dr} through Eq. 13:

$$Z_{dr} = \frac{Z_{hh}}{Z_{vv}} = \frac{\int_0^{D_{max}} N(D) \sigma_{hh}(D) dD}{\int_0^{D_{max}} N(D) \sigma_{vv}(D) dD} = \frac{\int_0^{D_{max}} D^\mu e^{-g(\mu)D} \sigma_{hh}(D) dD}{\int_0^{D_{max}} D^\mu e^{-g(\mu)D} \sigma_{vv}(D) dD} = \frac{h_1(\mu)}{h_2(\mu)}, \quad (8)$$

where σ_{hh} (mm^2) and σ_{vv} (mm^2) are the copolar radar cross-sections of raindrops with equivolume spherical diameter D , at horizontal and vertical polarizations, respectively, and D_{max} (mm) is a reasonable maximum drop diameter (e.g., 7 mm in our case). The detailed expression of the radar cross-sections can be found in Eq. 3 in (Unal, 2015).

3. Keep the μ value for which the Z_{dr} value in Eq. (13) is closest to the measured Z_{dr} value by the radar.
4. Infer N_w from Z_{hh} in Eq. (14), where $\hat{\mu}$ is the retrieved μ value from the previous step:

$$Z_{hh} = N_w \frac{\lambda^4 f(\hat{\mu})}{\pi^5 |K_w|^2} \int_0^{D_{max}} \left(\frac{D}{\hat{D}_m} \right)^{\hat{\mu}} e^{-(4+\hat{\mu})\frac{D}{\hat{D}_m}} \sigma_{hh}(D) dD, \quad (9)$$

where λ is the radar wavelength in mm, (i.e., 90.96 mm for TARA), $|K_w|^2$ is the dielectric factor of water and $\hat{D}_m = \frac{4+\hat{\mu}}{g(\hat{\mu})}$.

5. Retrieve \hat{N}_T by integrating the retrieved DSD:

$$\hat{N}_T = \int_0^{D_{max}} \hat{N}(D) dD = \int_0^{D_{max}} \hat{N}_w f(\hat{\mu}) \left(\frac{D}{\hat{D}_m} \right)^{\hat{\mu}} e^{-(4+\hat{\mu})\frac{D}{\hat{D}_m}} dD. \quad (10)$$

3.3 μ - Λ relation

~~The usage of a deterministic relationship~~ When an empirical relation between shape and scale ~~is a convenient way to reduce~~ parameters is used the gamma model is often called constrained-gamma. Note that the term “constrained-gamma” denote a gamma DSD model in which the shape and rate parameters are linked by a deterministic function. Mathematically, this is equivalent to reducing the number of free parameters from three to two, which is convenient in radar-based DSD retrievals. However, the uncertainty related to estimating μ and Λ based on observed DSD spectra remains. Hence the constrained gamma DSD model and all its associated moments still remains stochastic in nature.

Numerous studies have used and proposed constrained relationships between these two DSD parameters. The most common models are based on second-order polynomial fits, firstly introduced by Zhang et al. (2001, 2003). Since then, several other studies have proposed updated polynomial μ - Λ relationships based on either seasonal (Seela et al., 2018) or regional criteria (Chen et al., 2016). Polynomial models between μ and Λ were also proposed for DSD retrievals using microwave link measurements (Berne and Schleiss, 2009; van Leth et al., 2020). In this study, μ - Λ relationships are modeled using a slightly different power-law model:

$$\Lambda = \alpha(\mu + 3)^\beta, \quad (11)$$

with two coefficients α and β as given in Eq. 12.

The power-law model above was chosen mainly for mathematical reasons since it ensures that Λ remains positive across all scales and avoids the problem of having to choose between a first, second or third-order polynomial. The power law model is also easier to justify than a parabola from a physical and mathematical point in light of the scale-invariance of DSDs under proper normalization, as pointed out by previous researchers (Torres et al., 1994; Testud et al., 2001). However, for the sake of completeness, we also examined the polynomial model during our study and concluded that it did not make a big difference from a practical point of view (i.e., it has similar goodness of fit over the considered range of μ values). Nevertheless, we decided to use the power-law model in this study since it is more appropriate than a polynomial from a theoretical point of view.

Note that the goal of this study is not to question the validity of previous μ - Λ relationships nor optimize the parameters behind them (which depend on the used dataset) but to take a closer look at the sensitivity of the obtained fits to various underlying assumptions. Critical aspects that were investigated are whether the μ - Λ relation remains stable with respect to different sampling resolutions, drop number concentrations, types of stratiform rain events or the validity of the gamma DSD hypothesis itself. At the same time, one has to keep in mind that the limitation of the Parsivel in terms of detection of small droplets might lead to overestimated D_m and μ values, since the width of the distribution will be underestimated.

3.4 DSD retrieval method

Because the gamma DSD model involves three parameters, three different radar measurements representative of three weighted moments of the DSD are required to retrieve the DSD in a given radar resolution volume. The retrieval method used in this paper is described in Zhang et al. (2001). It involves a combination of reflectivity factor at horizontal polarization (Z_{hh}), differential reflectivity (Z_{dr}), and an empirical relationship between the DSD shape parameter (μ) and slope parameter (Λ), commonly referred to as a μ - Λ relationship. The main steps of the retrieval method can be summarized as follows:

1. Impose a μ - Λ relationship $\Lambda = g(\mu)$ based on nearby disdrometer observations or literature values. In our case, a power-law relationship is used:

$$\Lambda = 0.514(\mu + 3)^{1.339} \quad (12)$$

where the prefactor and exponent were determined by combining all the data from all seven events in Table 1.

2. Consider all possible values of μ between -3 and 15 in steps of 0.01. For each μ value, calculate Z_{dr} through Eq. 13:

$$Z_{dr} = \frac{Z_{hh}}{Z_{vv}} = \frac{\int_0^{D_{max}} N(D) \sigma_{hh}(D) dD}{\int_0^{D_{max}} N(D) \sigma_{vv}(D) dD} = \frac{\int_0^{D_{max}} D^\mu e^{-g(\mu)D} \sigma_{hh}(D) dD}{\int_0^{D_{max}} D^\mu e^{-g(\mu)D} \sigma_{vv}(D) dD} = \frac{h_1(\mu)}{h_2(\mu)}, \quad (13)$$

where σ_{hh} (mm^2) and σ_{vv} (mm^2) are the copolar radar cross-sections of raindrops with equivolume spherical diameter D , at horizontal and vertical polarizations, respectively, and D_{max} (mm) is a reasonable maximum drop diameter (e.g., 7 mm in our case). In the literature several studies tried to link D_{max} with D_0 such as Ulbrich and Atlas (1984), who concluded that $D_{max} / D_0 > 2.5$ is what is typically observed in natural rainfall, or Carey and Petersen (2015) who recommended using $D_{max} = 3 * D_0$. The detailed expression of the radar cross-sections can be found in Eq. 3 in Unal (2015).

3. Keep the μ value for which the Z_{dr} value in Eq.(13) is closest to the measured Z_{dr} value by the radar.
4. Infer N_w from Z_{hh} in Eq. (14), where $\hat{\mu}$ is the retrieved μ value from the previous step:

$$Z_{hh} = N_w \frac{\lambda^4 f(\hat{\mu})}{\pi^5 |K_w|^2} \int_0^{D_{max}} \left(\frac{D}{D_m} \right)^{\hat{\mu}} e^{-(4+\hat{\mu})\frac{D}{D_m}} \sigma_{hh}(D) dD, \quad (14)$$

where λ is the radar wavelength in mm, (i.e., 90.96 mm for TARA), $|K_w|^2$ is the dielectric factor of water and $\hat{D}_m = \frac{4+\hat{\mu}}{g(\hat{\mu})}$.

5. Retrieve \hat{N}_T by integrating the retrieved DSD:

$$\hat{N}_T = \int_0^{D_{max}} \hat{N}(D) dD = \int_0^{D_{max}} \hat{N}_w f(\hat{\mu}) \left(\frac{D}{\hat{D}_m} \right)^{\hat{\mu}} e^{-(4+\hat{\mu}) \frac{D}{\hat{D}_m}} dD. \quad (15)$$

4 Analysis of μ - Λ relationship

250 4.1 Variations in μ - Λ relationship from one event to another

In the following, we analyze the variations of the μ - Λ relationships from one event to another. For this, a filter was applied identical to Gatidis et al. (2020) and only the cases which satisfied the gamma model hypothesis were considered. The adequacy of the gamma model was assessed based on a combination of Kolmogorov–Smirnov goodness-of-fit test and Kullback–Leibler divergence. In total, approximately 40% of the DSDs passed the tests and were accepted. On an event to event basis, that number varies between 36% to 45%.

In order to investigate and visualize possible differences between events, all 7 events were plotted using different colors in Fig. 3. The overall relationships by Zhang et al. (2001, 2003) were added for comparison. As can be seen in Fig. 3, most of the event-specific μ - Λ relations stay relatively close to the overall relation, except for events 2 and 6 where larger deviations for higher values of μ (i.e., $\mu > 8$) are visible. For event 6, the differences can be explained by the limited range of μ , with most values remaining between 3 and 5, and only a single observation falling between 5 and 15. This limited range of variability significantly affects the reliability of the estimated μ - Λ relationship, especially for values smaller than 3 and larger than 5. For event 2, the differences can be explained by the presence of a few outliers in the upper-right part of the scatter plot, corresponding to DSDs with low number concentrations and high sampling uncertainties.

For each selected event, the sample sizes ~~and~~, the fitted power-law parameters α , β and their percentage relative differences against the overall relation are presented in Table 1. The relative errors of the parameters depend on the characteristics of each event, with event 1 being the closest to the overall relation and event 6 exhibiting the largest differences. ~~Incidentally, event~~ In order to have a more complete picture of each event, the correlation coefficient between μ and Λ and root-mean-square deviation (RMSD) between μ and Λ points of each event and the overall relationship were calculated and are presented in Table 1. Even though event 6 also has the smallest sample size, has the weakest correlation coefficient, it has the lowest RMSD mainly due to its small sample size (the smallest in the event list) and the way the data are concentrated close to the fitted line. Event 1 shows the strongest relation between μ and Λ while at the same time event 2 has the highest RMSD because of its outliers in the upper-right part of the scatter plot.

The event-specific and overall μ - Λ relations are clearly different from previously proposed relations by Zhang et al. (2001, 2003). For a fixed μ value, the overall μ - Λ relation for the 7 selected events predicts higher Λ values compared with the ones by Zhang et al. (2001, 2003). This can be explained by the fact that Λ is inversely proportional to the mass-weighted mean diameter and that the Zhang et al. (2001, 2003) relations were derived under different climatological conditions in Oklahoma U.S. where convective rain events with larger raindrops are more common than in the Netherlands.

Although the overall relationship might not necessarily be optimal for each individual event, our results show that it still provides a fairly good approximation of the average μ - Λ relationship across all the 7 considered events. Also, one has to keep in mind that the low sample sizes and limited ranges for μ make it practically impossible to derive reliable and representative μ - Λ relations for each individual event. To avoid sampling issues such as those encountered in event 6, and increase the robustness of our results, all remaining sensitivity analyses and retrievals were therefore conducted using the overall μ - Λ relation.

4.2 Sensitivity of μ - Λ relationship to gamma hypothesis

One crucial factor that could affect the μ - Λ relationship is the gamma DSD assumption. To investigate this issue, we temporarily added back all DSDs that were excluded from the previous analysis because they were not conforming to the gamma model, according to the criteria set by Gatidis et al. (2020). For each event, we re-calculated the individual μ - Λ relationship and compared the new results to the ones obtained using only the DSDs that satisfied the gamma assumption. In six out of seven cases, the inclusion of the non-gamma cases resulted in larger α and smaller β values. However, these changes were not reflected visually in the μ - Λ scatterplot as the two opposite changes compensate for each other. Therefore, apart from slightly changing the parameter values, the gamma hypothesis does not appear to have a strong effect on the overall μ - Λ relation. Also, the changes to α (0.518 from 0.514) and β (1.328 from 1.339) were rather small and not statistically significant. The fact that the overall μ - Λ relation is rather stable with respect to the gamma DSD hypothesis is an interesting result, especially given the fact that there are large differences in sample sizes between non-gamma (1829) and gamma DSDs (652).

4.3 Sensitivity of μ - Λ relationship to N_T

Using the overall relationship from ~~the previous section~~ [Section 4.1](#) as a reference, the influence of the number concentration on the μ - Λ relationship was investigated. It would be interesting to investigate whether the events for which the DSD is predominantly number controlled lead to more/less stable μ - Λ relationships than events with size-controlled DSDs. Three different N_T thresholds corresponding to different percentiles of N_T (25%, 50% and 75%) were applied, and only the DSDs with number concentrations above these thresholds were considered. In Fig. 4, the three derived μ - Λ relations obtained after applying the N_T filters are shown against the overall relation (no filter). As the N_T threshold is increased from 225 to 300 and 390 m^{-3} (Figs. 4b-d), the μ - Λ relation remains relatively stable for lower μ values, gradually getting closer to the one proposed by Zhang et al. (2003), especially for higher values of the shape parameter ($\mu > 7$). This can be explained partly by the fact that on average, higher N_T values correspond to higher rainfall intensities and larger drop diameters. Also, the average mass-weighted mean diameter increases by approximately 10% as we increase the threshold on N_T . This may not represent a big change, but can be enough to slightly affect the μ - Λ relation. However, we believe the main reason the μ - Λ relation changes with increasing N_T is sampling uncertainty. Indeed, our dataset predominantly features stratiform rain events with low rainfall intensities, low number concentrations and relatively low and constant mass-weighted mean diameters (see Table 1). As we apply higher thresholds on N_T , the DSD samples that only contain a small number of drops and are associated with a higher sampling uncertainty get removed. Consequently, the remaining DSDs with higher number concentrations tend to be associated with lower sampling uncertainties which leads to more reliable μ - Λ estimates. Moreover, it is worth pointing out that

because of the way μ is estimated through the cost function in Eq.6, the error distribution of μ tends to be positively skewed. On average, we are therefore more likely to overestimate μ and underestimate the spread of the DSD rather than the opposite. Since μ and Λ values are positively correlated through their relation with D_m in Eq.5, any overestimated μ value automatically results in an overestimated Λ value (to compensate and get the correct D_m). Consequently, as we increase the N_T threshold, sampling errors get reduced and the positively skewed outliers with high μ and Λ values progressively disappear. This removes more and more points on the upper side of the μ - Λ curve, pushing the new relation down towards the one proposed by Zhang et al. (2003). Regarding the sensitivity of the α and β parameters describing the μ - Λ relationship, our analyses show that they exhibit an opposite behaviour, increasing and decreasing respectively as we increase the threshold on N_T . The latter can be attributed to a gradual flattening of the relationship and increase of the intercept parameter. Note that another similar approach to reduce the uncertainty in the estimated μ - Λ relationship without applying a threshold on N_T could be to consider longer temporal aggregation intervals than 30 s. However, this would significantly reduce the amount of data available for analysis.

4.4 Influence of sampling resolution on the overall μ - Λ relation

In the following, the DSD data corresponding to the seven selected events were re-sampled at four different temporal resolutions of 30, 60, 240 and 480 seconds to investigate the sensitivity of the μ - Λ relationship to the choice of the temporal resolution. Similarly to before, only the re-sampled DSDs which satisfied the gamma hypothesis were kept for analysis. Fig. 5 shows that the overall μ - Λ relationship remains very stable, regardless of the considered sampling resolution. Table 2 shows more details about the fitted power-law parameters α , β at each resolution, including their percentage relative differences against the overall relation at 30 seconds. We can see that the relative error affecting the parameters slightly increases as the temporal resolution is reduced. The latter can be attributed to the lower number of samples available for fitting the parameters. Apart from these obvious sampling effects, the choice of the temporal aggregation scale seems to have very little effect on the overall μ - Λ relationship which remains rather stable across multiple aggregation time scales.

Note that as we decrease the temporal resolution, the mean values of μ and Λ (Fig. 5) also decrease. This means that there is a progressive transition from peaked DSDs at higher sampling resolutions to broader, more widespread DSDs at lower resolutions. Decreasing the sampling resolution therefore causes the μ and Λ values to shift toward the bottom left part of the scatter plot. However, while the points shift, they remain remarkably close to the initial μ - Λ curve derived at the highest temporal resolution of 30 seconds. The fact that the μ and Λ values change with resolution but that the overall relation between them is preserved across scales suggests that there is a fundamental physical link between certain moments of the DSD, such as the spread and the mean. Also, this relation seems to be quite robust regardless of whether the gamma assumption is valid or not and is only slightly affected by N_T . In steady rainfall conditions, it should therefore be possible to use the same μ - Λ relationship for DSD retrievals across multiple temporal scales. This is of high importance given the fact that μ - Λ relations are often used to retrieve DSDs from radar observations, which have different sampling volumes and levels of aggregation than disdrometer data. Moreover, the use of a μ - Λ relationship may still be justified from a physical point of view, even if the underlying DSDs do not strictly comply with the gamma distribution hypothesis. Obviously, the fact that we have selected relatively similar, stratiform events with low rainfall intensities and low temporal variability is a crucial factor here since it

345 means that by resampling, we do not significantly change the properties of the DSDs or mix together different rainfall regimes. By contrast, larger differences in μ - Λ relationships can be expected for mixed-type rainfall events with multiple and rapid alternations between stratiform and convective rain.

On the other hand there is still substantial controversy in the literature around the reason why μ - Λ relations exist in the first place and why certain DSD parameters are linked to each other. One justification could be that the effective number of parameters needed to describe most DSDs is probably less than three. In other words, under proper normalization, all DSDs look rather similar to each other. For example, Torres et al. (1994) introduced a single DSD normalization technique based on one reference moment (usually the rain rate). Later, Testud et al. (2001); Lee et al. (2004) proposed a more general normalization technique based on two reference moments (usually the 3rd and 6th moments). The existence of a μ - Λ relationship may just be the consequence of such scaling laws. In their study, Moisseev and Chandrasekar (2007) have also argued that data filtering can have a strong influence on the relation itself, leading to spurious links between μ and Λ . However, this is not the case in our study. On the contrary, our results show that when events with similar characteristics are chosen, the overall μ - Λ relationship can be rather stable, barely depending on the different filters applied to the data (e.g. inclusion/exclusion of non gamma DSDs or minimum threshold for Z_{hh} and Z_{dr}). Other studies have pointed out that the constraints linking μ and Λ during parameter fitting can lead to correlated errors between estimated gamma DSD parameters and biased relationships (Williams et al., 2014; Moisseev and Chandrasekar, 2007). Indeed, because of the way we fit μ and Λ through D_m (see Section 3a3.1, DSD model), the parameters end up positively correlated with each other. In other words, if μ is overestimated, Λ will also be overestimated because it has to compensate for the bias in μ . To address this, Williams et al. (2014) proposed a σ' - D_m relationship, where D_m is the mass-weighted mean diameter and σ' a new mass spectrum standard deviation, defined and constructed to be statistically independent of D_m . Even though their approach seems to lead to smaller biases, our results show that it is also possible to derive reliable μ - Λ relationships without defining a new σ , simply by excluding the non-gamma DSDs cases and carefully filtering out DSDs with very low N_T values.

5 Sensitivity of DSD retrievals

In this section, the sensitivity of the DSD retrieval method as a whole is evaluated. First, the TARA and Parsivel observations are compared with each other to highlight their differences and understand how possible biases in reflectivity or differential reflectivity affect the accuracy of the retrievals. Then, the sensitivity of the retrieved DSD parameters to different bias corrections, scale corrections and data filters is quantified and possible ways to mitigate errors during retrievals are proposed.

5.1 Overall agreement between radar and disdrometer

5.1.1 Agreement of Z_{hh} and Z_{dr} observations between TARA and Parsivel

In this section the agreement between the Parsivel and TARA measurements is investigated. [For the sake of the comparison between TARA and Parsivel observables, the radar equivalent reflectivity factor derived from disdrometer data was used as the](#)

measured reflectivity factor at horizontal polarization ($Z_{hh,Pars}$). As for the differential reflectivity, using Rayleigh scattering, the calculated radar cross-sections of raindrops with equivolume spherical diameter D at horizontal and vertical polarization were used (Eq. 13) for estimating reflectivity at horizontal and vertical polarization, respectively. From those, the differential reflectivity value from Parsivel ($Z_{dr,Pars}$) can be obtained.

380 The goal is to quantify how well the measurements of the two sensors agree with each other before the DSD retrievals. Fig. 6 shows the scatter plots of the reflectivity factor (Z_{hh} , top) and differential reflectivity (Z_{dr} , bottom) from the disdrometer versus TARA at 200 m height. For this first comparison, the Z_{hh} and Z_{vv} measurements of TARA were aggregated (in linear scale) to 30 seconds in order to be comparable with the disdrometer data. No other additional filter was applied. Fig. 6a shows that Z_{hh} measurements are highly correlated (correlation coefficient=0.94). However, the radar significantly underestimates Z_{hh} compared with the disdrometer. The offset in Z_{hh} slightly varies with time but is in the order of 6 to 7 dBZ (overall bias 6.44
385 dBZ). Additional bias analyses at a different height of 400 m show that the offset does not change substantially with height, which suggests that the FMCW incomplete beam overlap correction at near ranges (see Section 2b2.2, radar data) works well and that the offset in reflectivity is likely due to calibration issues of TARA rather than range-related issues. Unlike Z_{hh} , the differential reflectivity (Z_{dr}) measurements appear to be in much better agreement with the disdrometer (overall bias -0.03
390 dB), as can be seen in the bottom panel of Fig. 6. However, the correlation for Z_{dr} is lower (correlation coefficient=0.71) and there is significant scatter, especially for higher values of Z_{dr} . Note that the vast majority of Z_{dr} values are small (less than 0.2 dB), which makes sense given that we are mostly dealing with light stratiform rain and that the elevation angle of 45 degrees in TARA further reduces the magnitude of Z_{dr} .

5.1.2 Z_{hh} - Z_{dr} relationships for TARA and Parsivel

395 In the top panel of Fig. 7, the Z_{hh} - Z_{dr} relation of each sensor is presented. It shows that most of the time, TARA measures higher Z_{dr} values for a given Z_{hh} than the disdrometer. Once the calibration bias in Z_{hh} is removed (Fig. 7, bottom), the agreement improves and the radar and disdrometer-derived relationships nicely overlap with each other. Nevertheless, and despite the bias correction, TARA still tends to measure slightly higher Z_{dr} values than the Parsivel for a given Z_{hh} . This can be due to a difference in height or scale between the two measurements. The absence of a clear relation between Z_{hh} and Z_{dr}
400 is not really a problem for the DSD retrieval method itself. In fact, a relation between Z_{hh} and Z_{dr} is not always expected since Z_{hh} does depend on N_T while Z_{dr} does not. However the fact that TARA and the Parsivel disdrometer exhibit different Z_{hh} - Z_{dr} relationships might negatively impact the accuracy and consistency of the retrieved DSDs.

5.1.3 First retrievals

In the following, we apply the DSD retrieval method described in Section 3e3.4 using Z_{hh} and Z_{dr} measurements from TARA
405 and compare the results to the disdrometer data at 30 seconds resolution. For the retrievals, we used the overall μ - Λ relationship inferred in Section 3e3.4 (DSD retrieval method), from the disdrometer observations at 30 seconds sampling resolution.

For illustration purposes, the event on 11 October 2014 was chosen. The time series of retrieved μ , D_m , N_T and observed Z_{hh} and Z_{dr} values for this event are presented in Fig. 8 and Fig. 9, top. Overall, we see that there is a rather good agreement

in terms of the retrieved μ and D_m values as long as the Z_{dr} values are not too low (i.e., >0.1 dB). When Z_{dr} is low (e.g.,
410 between 12:20-13:15 UTC), we see that the retrievals become very uncertain, exhibiting much larger fluctuations over time.

Compared with μ , the retrieved N_T values are substantially more uncertain. There are some outliers and, on average, the retrieved N_T values from TARA are about 100 m^{-3} lower than those from the Parsivel disdrometer. This bias is attributed to the 6-7 dB offset in Z_{hh} in TARA which propagates non-linearly to N_T through the link between Z_{hh} and N_T in Eqs. 14-15. On the other hand, we also see some isolated cases where N_T is overestimated, such as at the beginning (10:57 UTC) and the
415 end (13:15 and 13:23 UTC) of the event. These periods are characterized by underestimated Z_{dr} and D_m values by TARA which, in combination with the relatively high Z_{hh} values, leads to an overestimation of N_T .

For a better overview, the retrieved DSD parameters (μ , D_m and N_T) for all selected events are plotted against the ones from the disdrometer in Fig. 10. We can see that the retrieved μ values from the radar tend to be lower compared with the disdrometer. The overall bias on the retrieved μ values is 2.11, which is rather large and is not immediately apparent from
420 the case study on October 11th (Fig. 8). Note that the retrieved μ values from TARA can never exceed 8 due to the 0.1 dB cutoff applied to Z_{dr} observations (very light rain, peaked DSDs). Because of this, there is a slight conditional bias on the retrieved μ values for low Z_{dr} values. Since μ values are unaffected by the bias in reflectivity and Z_{dr} measurements appear to be well calibrated, the bias we see in μ values must either be due to the μ - Λ relationship or to differences in scale, height and measurement principles between the two sensors. Unlike μ , there is better agreement for D_m retrievals with -0.09 overall bias.

425 This is the case for the case study on October 11th as well, where D_m retrievals from Parsivel and TARA are almost similar throughout the event (Fig. 8, middle) except for the period between 12:45 and 13:00 when Z_{dr} is low. Looking at the number concentration (Fig. 10, bottom), we see a significant underestimation in N_T from TARA (overall bias= 276 m^{-3} , multiplicative bias=4.52), which can be explained by the large 6.44 dBZ bias on Z_{hh} in TARA and is consistent with the previously reported underestimation for the event on 11 October 2014.

430 Despite the fact that N_T values tend to be underestimated on average, we can also see several large spikes in retrieved N_T values, such as during the second half of the case study event (Fig. 9, top). If we perform a more in-depth analysis of this period (i.e., between 12:30 and 13:30 UTC) in Fig. 9, middle and compare it with the Z_{hh} and Z_{dr} observations of the corresponding period (Fig. 9, bottom), we see that all five spikes in N_T correspond to low values of Z_{dr} and relatively high Z_{hh} values. The low Z_{dr} leads to large μ values and underestimated raindrop sizes during the retrieval. To compensate for this and achieve the
435 correct reflectivity, N_T needs to be increased by a lot. Note that spikes in N_T can still occur even if Z_{hh} is modest or decreasing locally, as long as Z_{dr} is very small, as for example for the spikes No 2 and 3 there is a local maximum for Z_{hh} while for the other spikes the Z_{hh} decreases.

The differences documented above are important because they show that DSD retrievals can be very sensitive to combined biases in Z_{dr} and Z_{hh} relative to each other. The latter can be linked to calibration issues. However, inconsistencies can
440 also arise due to differences in height, sampling volumes and temporal aggregation scales between radar and disdrometer measurements, [also known as non-uniform beam filling problem \(Ryzhkov, 2007; Durden and Tanelli, 2008\)](#).

5.2 Sensitivity to calibration bias correction

Given the systematic underestimation of the reflectivity factor in TARA, a bias correction was applied before proceeding with the DSD retrievals. Indeed, the bias correction was considered essential to get more reliable results, especially for N_T . Since the N_T retrievals require the reflectivity to be converted from logarithmic (dB) to linear scale (mm^6m^{-3}), a multiplicative adjustment factor known as the G/R ratio (i.e., the ratio of the sum of Parsivel to TARA reflectivity values) was used to bias-correct the TARA measurements, treating the disdrometer observations as the reference truth. The value of the G/R ratio was 4.52, which confirmed the large calibration bias of TARA. To address the bias, all TARA reflectivity values (in linear scale) were multiplied by 4.52 and the new DSD parameters were retrieved. As expected, the first two DSD parameters μ and D_m , were completely unaffected by the bias adjustment, as they only depend on Z_{dr} (see Section 3e3.4, DSD retrieval method). Fig. 11 on the other hand, shows that N_T retrievals were substantially improved, and the bias decreased from 276 m^{-3} to 89 m^{-3} . Despite the lower bias, we can see that large uncertainties remain in the retrieved N_T values, as highlighted by the large scatter and frequent outliers.

5.3 Sensitivity to scale bias correction

In the following, a small, additional, bias adjustment was applied to Z_{dr} to try to account for the large difference in sampling volumes between the TARA radar and the Parsivel disdrometer. This second adjustment is conceptually different from the one applied to Z_{hh} , which was primarily due to calibration issues. Contrarily to Z_{hh} , the differential reflectivity Z_{dr} of TARA is assumed to be well-calibrated. Therefore, the differences in mean and standard deviation are primarily attributed to differences in scale, height and measurement principles. Note that this scale bias also applies to Z_{hh} . However, for Z_{hh} , the effect is masked by the large calibration bias and the two cannot be separated.

According to Fig. 6, bottom, the average Z_{dr} values measured by TARA are 0.03 dB larger than the ones from the Parsivel disdrometer, which makes sense given that the radar sees a larger measurement volume, which makes it more likely to contain at least a few larger drops. Even though a 0.03 dB difference seems small, such a bias can have a significant effect on the DSD retrievals given that the majority of Z_{dr} values are rather small (e.g., between 0.1 and 0.2 dB). A 0.03 dB bias on Z_{dr} therefore represents a relative error of 15-30%.

Fig. 12 shows the retrieved DSD parameters after correcting for the scale bias. We see a reduction of the bias affecting μ and D_m , which are directly linked to Z_{dr} . The bias affecting μ is halved from 2.11 to 1.12 and the bias affecting D_m is reduced from -0.09 mm to -0.02 mm. The correlation coefficient remains relatively stable, regardless of the scale correction. Despite the improvements for μ and D_m , the N_T retrievals remain problematic, with low correlation coefficient of 0.12 (compared to 0.17 without scale bias correction) and moderate bias of -32 m^{-3} (compared to 89 m^{-3} without correction). Also, the average N_T value increased significantly, from 261 m^{-3} to 382 m^{-3} (+46%) which highlights the large sensitivity of N_T to changes in the differential reflectivity.

5.4 Sensitivity of N_T to outliers

The results presented in the previous sections have shown that unlike μ and D_m , the uncertainty surrounding the N_T retrievals
475 tend to be much larger. This can be explained by the fact that N_T is the last parameter to be retrieved in Eq. 15, which makes
it more susceptible to error propagation/accumulation during the first steps of the retrieval procedure. Errors on retrieved
 N_T values can be due to the retrieval method itself (e.g., the assumed μ - Λ relation and gamma DSD model), biased radar
observations (e.g., calibration errors in Z_{hh} or/and Z_{dr}) or additional biases due to differences in measurement scale, height and
principle between radar and disdrometers. Considering the fact that the events used in this study mainly consist of weak/light
480 stratiform rain, the errors/uncertainty affecting the measured Z_{dr} values are very likely to play an important role.

The scatterplot of retrieved N_T values versus disdrometer data in Fig. 10, bottom, shows a low correlation coefficient and
a significant underestimation from TARA mainly due to the huge bias in Z_{hh} (6.44 dBZ). However, it is worth noticing that
even after applying a calibration bias correction on Z_{hh} , there was no substantial improvement in terms of the N_T retrievals
(Fig. 11). Even though the bias in N_T was reduced (89 m^{-3} compared to 276 m^{-3}), the scatter increased and the correlation
485 coefficient remained low (0.17). The scale correction for Z_{dr} results in an even worse agreement (correlation coefficient 0.12,
Fig. 12 bottom). In general, two distinct groups of data points with drastically different error properties can be seen. For the
first, the retrieved N_T values are severely overestimated compared to the Parsivel disdrometer, by up to one order of magnitude.
For the second group, the retrieved N_T values are up to ten times lower than the disdrometer values.

The conclusion is that there are two different types of combinations of Z_{hh}/Z_{dr} that result in unreliable N_T retrievals. The
490 first group is comprised of low Z_{dr} values compared to Z_{hh} , which results in overestimated N_T values. These are all the pairs
of Z_{hh}/Z_{dr} in the lower right part of Fig. 14. Since Z_{dr} is low, the only way to get a high reflectivity is by increasing N_T . The
second group consists of relatively high Z_{dr} values compared to Z_{hh} , which leads to underestimated N_T values. These points
correspond to the top left part of Fig. 14. Since Z_{dr} is large, the only way to get a low Z_{hh} is to decrease N_T . Together, these
two different types of outliers are responsible for the large scatter observed in retrieved N_T values.

495 Each retrieval has its own uncertainty and error characteristic, depending on the pair of Z_{hh}/Z_{dr} . For example, the scale
correction has different impacts on the different subgroups. Even though there is a general increase in N_T to compensate for
the new reduced value of Z_{dr} , the aforementioned correction had a significant impact on the subgroup which corresponds to
the points which are overestimated by TARA and negligible for the ones which are underestimated.

A possible way to reduce the uncertainty affecting the N_T retrievals and thereby avoiding large errors is to filter out all
500 potentially problematic combinations of Z_{hh}/Z_{dr} . In the following, a filter which aims at controlling the uncertainty on N_T
by removing certain Z_{hh}/Z_{dr} combinations which are difficult to handle is applied. Note that these "outliers" in the Z_{hh}/Z_{dr}
space are not necessarily wrong. They are just problematic in the sense that they can potentially result in very large errors
in terms of retrieved N_T . The applied filter is two-dimensional depending on both Z_{hh} and Z_{dr} values since the uncertainty
derives from their combination. A power-law model was used to fit the radar observables Z_{hh} and Z_{dr} after calibration and
505 scale bias correction, respectively. Based on that model, an upper and lower curve defining the limits of acceptable Z_{hh} and
 Z_{dr} pairs is obtained by adding respectively subtracting a given tolerance from Z_{hh} as in Fig. 14. For illustration purposes

± 6 dB was selected, but several other options (i.e., 2, 4 and 8 dB) were examined as well. Table 3 lists all options together with their corresponding performances for μ , D_m and N_T . We see that by removing certain points beyond the lower and upper limits in the Z_{hh}/Z_{dr} space, it is possible to improve the correlation between the observed and retrieved μ , D_m and N_T values while keeping a similar bias. For μ and D_m , the best tolerance (in terms of correlation) seems to be ± 2 dB and ± 4 dB. However, these are rather strict, which means that a large fraction of the data points would have to be discarded (i.e, 56% and 23% respectively) for a modest gain in performance. For the N_T retrievals, the optimal tolerance appears to be ± 6 dB, which discards less than 9% of the data but still manages to **significantly** increase the correlation (0.12 to 0.24) and decrease the absolute value of the bias (-32 to 10 m^{-3}). Note that contrarily to μ and D_m , filtering out more data points does not necessarily increase the performance in terms of the N_T retrievals. Fig. 13 shows the final radar DSD retrieval results, after applying a filter with a tolerance of ± 6 dB.

6 Conclusions

A previously proposed method for retrieving DSDs based on radar reflectivity measurements (Z_{hh}), differential reflectivity (Z_{dr}) and an empirical relation between the shape (μ) and slope (Λ) parameters of a gamma DSD model was investigated. Observations from a nearby optical disdrometer were used to derive the μ - Λ relationship as well as for performing an indirect validation of the retrieved DSDs. While the retrieval method itself is well-known, this study primarily focused on the critical assumptions behind it, in order to outline potential sources of errors and uncertainties. First, a thorough sensitivity analysis of the μ - Λ relation to various factors such as the temporal sampling resolution, the adequacy of the gamma model hypothesis, sensitivity to the concentration number (N_T) and event by event variations was conducted. Then, the influence of calibration errors in radar observations, and scale differences between radar and disdrometer observations were highlighted and investigated. Finally, a filter designed to mitigate uncertainty during N_T retrievals was proposed. According to the results the following conclusions can be drawn.

1. The μ - Λ relationship derived from a nearby disdrometer proved quite robust to the choice of the temporal sampling resolution, validity of the gamma model hypothesis, sample size and event by event variability. However, only seven, rather similar stratiform rain events were considered. More research is necessary to fully understand and quantify inter-event variability of μ - Λ relationships in convective rain.
2. Radar calibration biases significantly affect the accuracy and reliability of the retrieved DSDs. Both Z_{hh} and Z_{dr} must be bias-corrected before retrieving the DSD.
3. Even for well-calibrated radars, a small, additional bias correction to account for the scale difference between radar and disdrometer observations can be useful to reduce conditional biases in retrieved μ and N_T values.
4. Finding the right bias and scale corrections for Z_{hh} and Z_{dr} is not straightforward. Often the bias due to scale differences cannot be separated from the bias due to calibration errors and measurement noise. In our case, Z_{dr} was very well

calibrated which allowed us to investigate the scale correction in more detail. However, due to the large calibration offset, the scale correction for Z_{hh} could not be determined.

540 5. Despite our best efforts, the retrieved N_T values remained highly uncertain. Two different types of outliers were identified, resulting in severely underestimated or overestimated N_T values. A simple filter for removing outliers in the Z_{hh}/Z_{dr} space was proposed. The filter gets rid of some problematic cases, which slightly improves the reliability of the N_T retrievals. But improvements remained modest and removing more data did not systematically result in better performances.

545 Finally, it should be mentioned that we do not expect the exact same adjustments to hold for other DSD retrieval algorithms or radar systems. The adjustments mentioned in this study are specific to the TARA radar and Parsivel optical disdrometer. For example, the radar elevation angle was 45 degrees, which is not ideal for such retrievals. Uncertainties for lower elevation angles would probably be smaller due to higher Z_{dr} values. Depending on the radar system, more elaborate corrections than a simple shift in Z_{dr} might be necessary to achieve optimal performance across a larger number of rain events. Similarly, more
550 convective rain events should be included to study the performance and reliability of DSD retrievals based on μ - Λ relationships during heavy convective rain with larger drop sizes. Finally, future work could look at the importance of μ - Λ relations in DSD retrievals from other relevant rainfall sensors, such as satellite observations, which have much larger sampling volumes and errors than ground-based radar and for which the scale corrections might therefore play a more important role.

Data availability. The DSD data, collected by Parsivel optical disdrometer in the Netherlands during the ACCEPT campaign and used in
555 this study are available.

Author contributions. CG mainly worked on data processing, visualization of the results and writing – original draft preparation. MS and CU focused on the supervision of CG with fundamental ideas about the direction of the research, the used methodology and finally the writing – review and editing

Competing interests. The authors declare that they have no conflict of interest.

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Table 1. Overview of the selected events. Date, duration, number of samples, average rain intensity (\overline{RR}), average mass-weighted mean diameter ($\overline{D_m}$), average number concentration ($\overline{N_T}$), parameters of the μ - Λ relationship (α , β) and their corresponding percentage relative errors, correlation coefficient between μ and Λ for each event and root-mean-square deviation (RMSD) between μ and Λ points of each event and the overall relationship. Note that only the DSDs conforming to the gamma model (see Section 3a3.1, DSD model) were considered when computing these statistics.

Event	Date	Duration (hh:mm)	No. of samples	\overline{RR} (mm h ⁻¹)	$\overline{D_m}$ (mm)	$\overline{N_T}$ (m ⁻³)	α	Percentage relative error α (%)	β	Percentage relative error β (%)	Correlation coefficient	RMSD
1	8 Oct	2:00	77	1.22	1.08	279	0.514	0.00	1.347	0.59	0.971	0.836
2	11 Oct	3:15	88	1.81	1.12	383	0.227	-126.76	1.720	22.12	0.938	1.772
3	15 Oct	2:30	147	0.86	0.9	295	0.676	24.04	1.241	-7.92	0.95	1.339
4	16 Oct	2:20	110	2.46	1.18	418	0.354	-45.32	1.494	10.36	0.93	1.73
5	24 Oct A'	2:00	38	1.0	1.02	254	0.415	-23.73	1.410	5.01	0.962	1.053
6	24 Oct B'	2:00	27	2.76	1.44	315	0.178	-187.91	1.795	25.37	0.913	0.653
7	3 Nov	4:25	165	0.78	0.92	292	0.832	38.23	1.144	-17.08	0.922	1.617
Overall	-	18:30	652	1.37	1.03	323	0.514	-	1.339	-	-	-

Table 2. The parameters of the μ - Λ relationship (α , β) for different sampling resolutions and their percentage relative error against the corresponding values at 30 seconds.

Resolution (sec)	α	Percentage relative error α (%)	β	Percentage relative error β (%)	No. of samples
30	0.514	-	1.339	-	652
60	0.518	0.84	1.337	-0.25	519
240	0.529	2.75	1.329	-0.83	200
480	0.527	2.52	1.328	-0.88	115

Table 3. Filter performance (correlation coefficient, bias) of DSD retrievals (μ , D_m and N_T) for different levels of tolerance ($\pm 2, 4, 6, 8$ and 10 dBZ).

\pm dBZ	% of data removed	μ (correlation coefficient, bias)	D_m (correlation coefficient, bias)	N_T (correlation coefficient, bias)
10 (No filter)	0	0.57 / 1.12	0.74 / -0.02	0.12 / -32
8	2.34	0.59 / 1.19	0.75 / -0.02	0.20 / -17
6	8.57	0.60 / 1.14	0.78 / -0.03	0.24 / 10
4	23.12	0.61 / 1.06	0.81 / -0.03	0.21 / 33
2	56.36	0.62 / 1.20	0.85 / -0.03	0.15 / 51

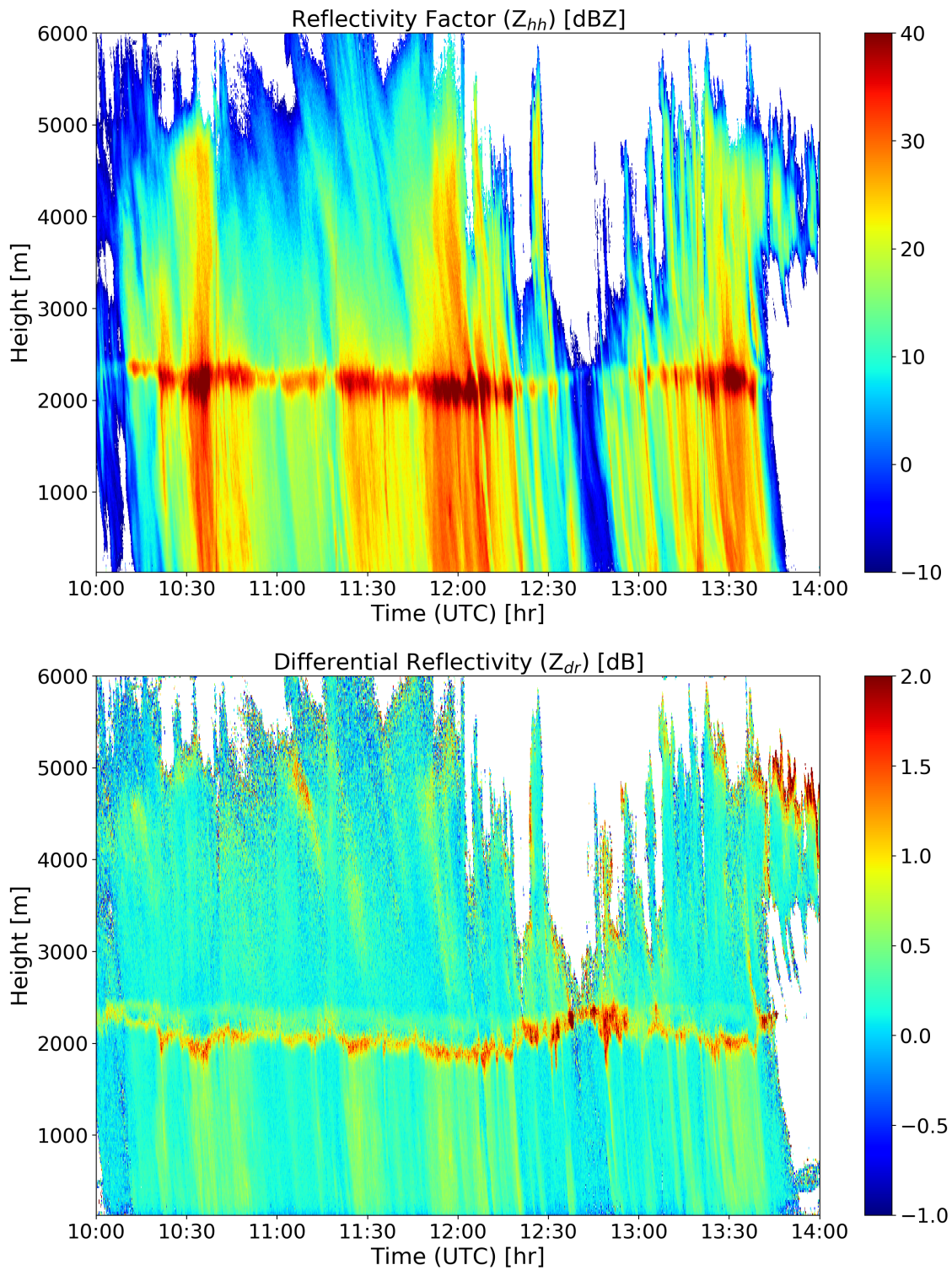


Figure 1. Height-time plots (top to bottom) of reflectivity factor (dBZ) and differential reflectivity (dB) on 11 October, 2014.

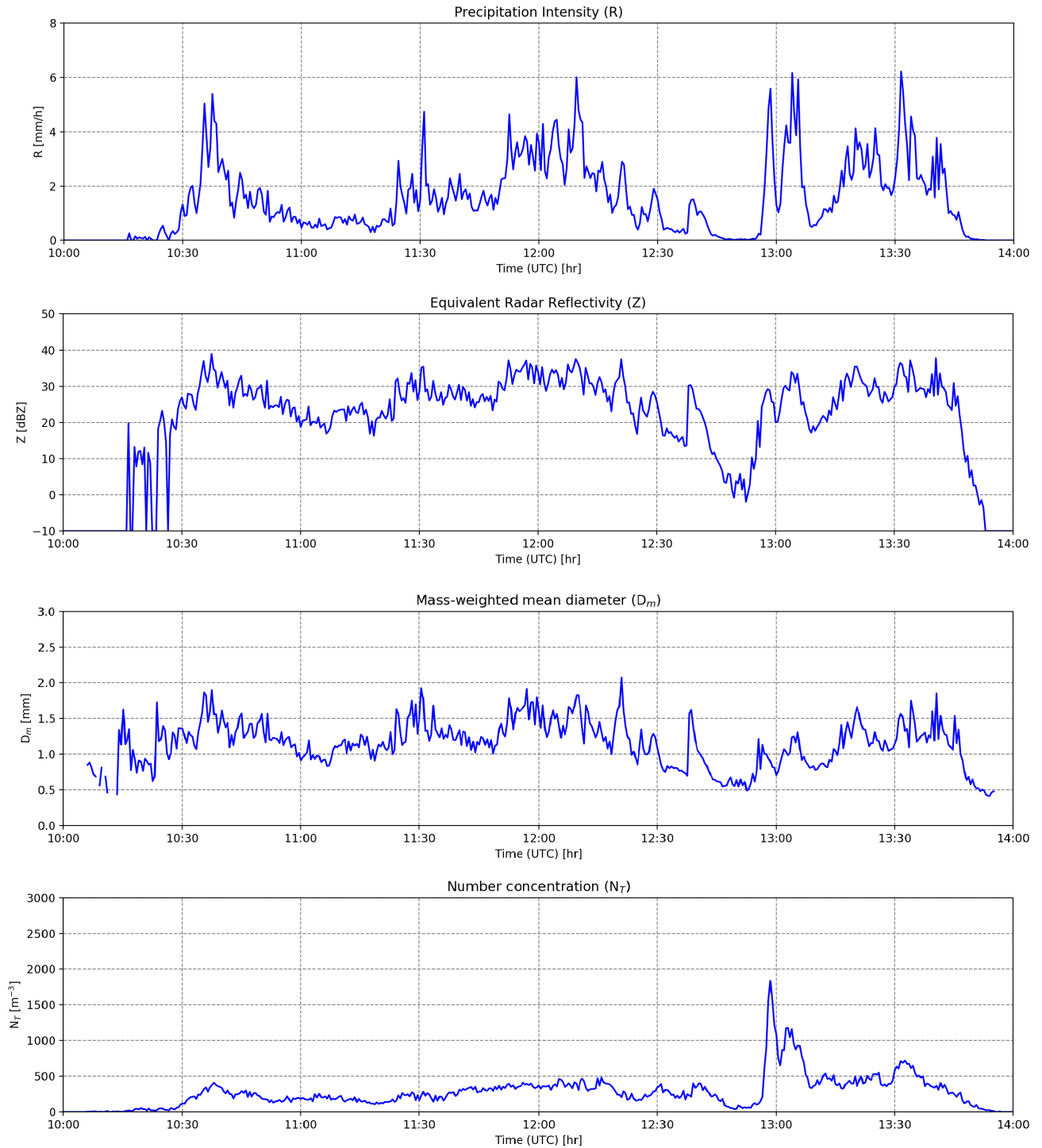


Figure 2. Time series of (top to bottom) precipitation intensity (mmh^{-1}), reflectivity factor (dBZ), mass-weighted mean diameter (mm) and number concentration (m^{-3}) from Parsivel disdrometer data on 11 Oct 2014.

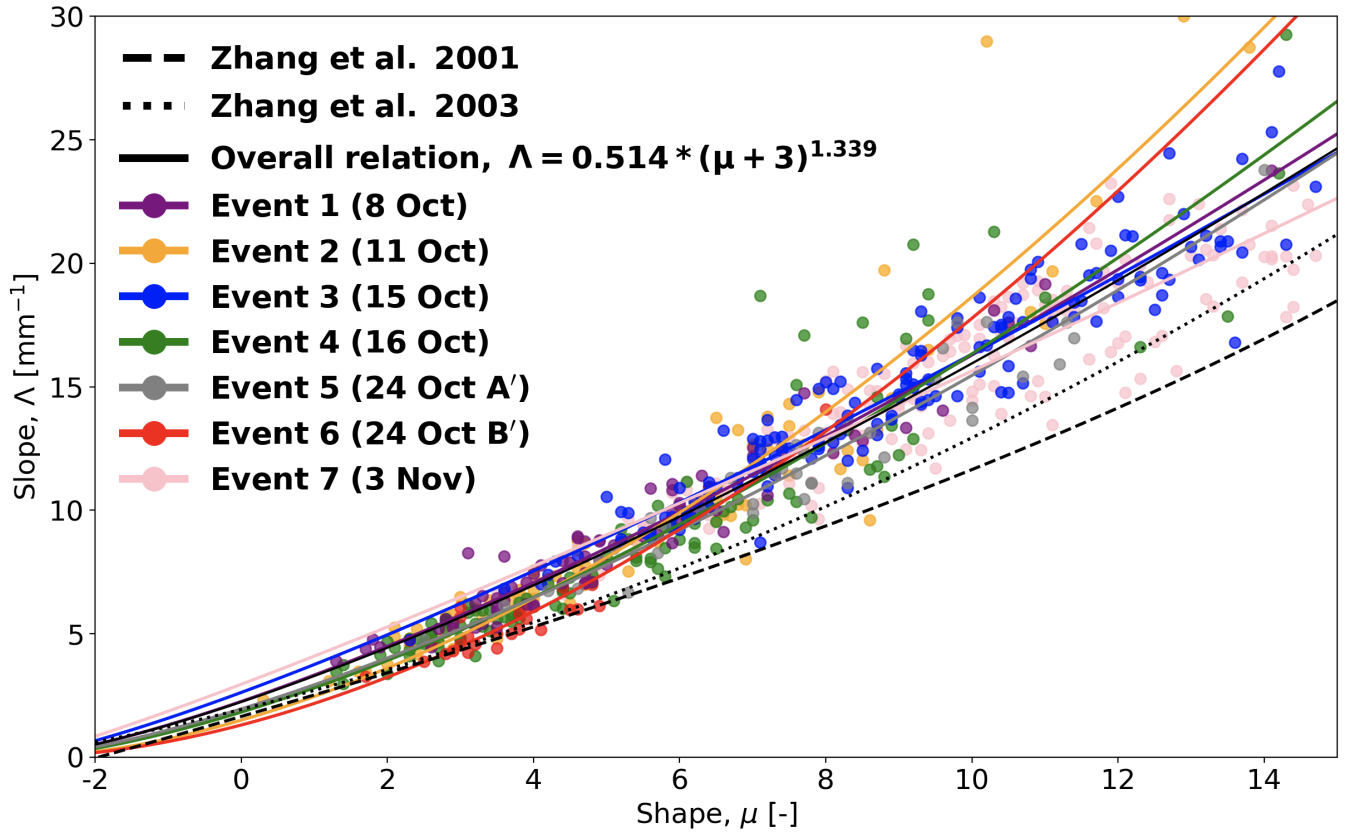


Figure 3. Scatter plot between μ and Λ of the selected events colored by event (only gamma DSDs were considered). The μ - Λ relationship of each event was fitted and plotted against the overall relationship. The proposed relations by Zhang et al. 2001 and 2003 were plotted as a reference from the literature.

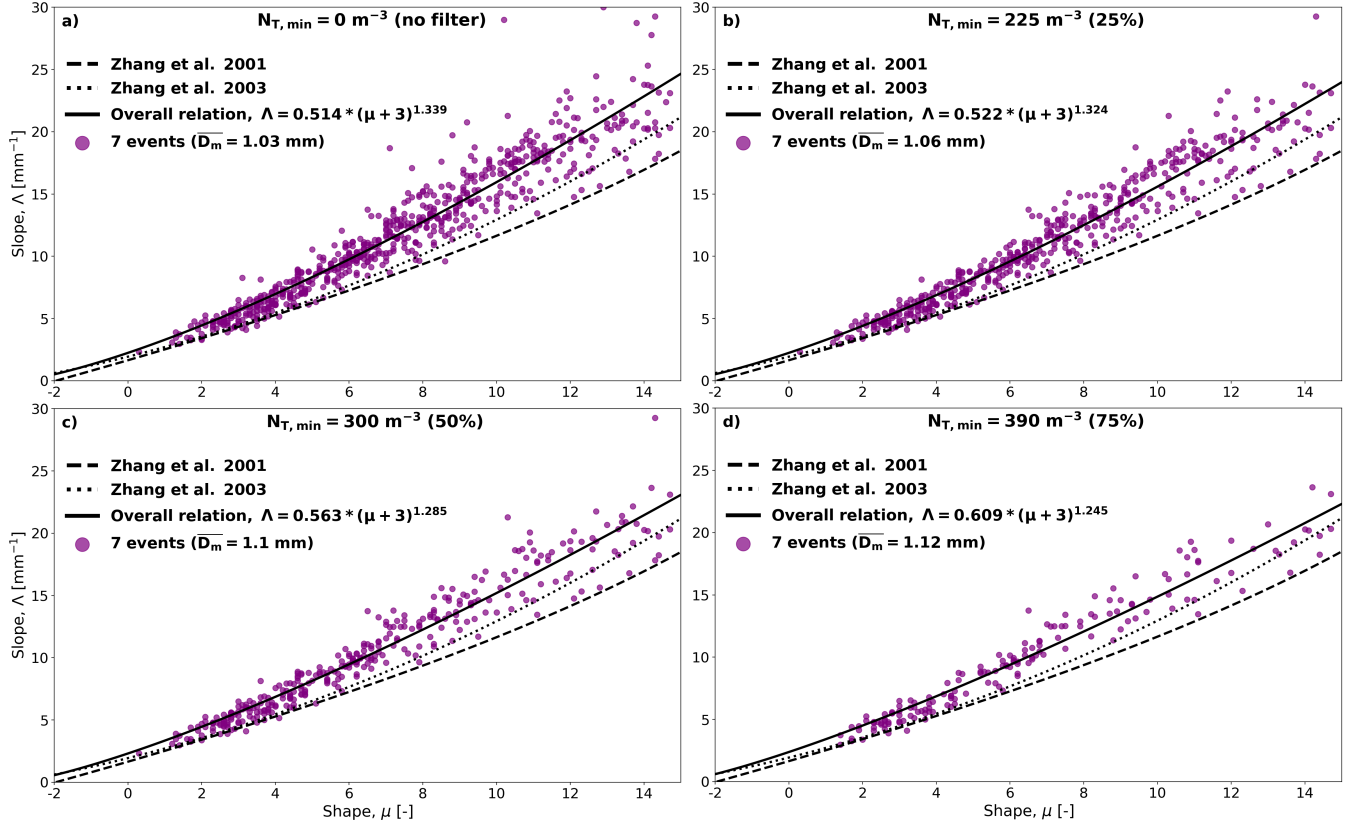


Figure 4. Four scatter plots between μ and Λ of the selected events using four different minimum N_T thresholds corresponding to different percentiles of N_T . The μ - Λ relationship of each N_T threshold was fitted and plotted against the proposed relations by Zhang et al. 2001 and 2003. (a) $N_{T,min}=0 \text{ m}^{-3}$ (no filter), (b) $N_{T,min}=225 \text{ m}^{-3}$, (c) $N_{T,min}=300 \text{ m}^{-3}$ and (d) $N_{T,min}=390 \text{ m}^{-3}$.

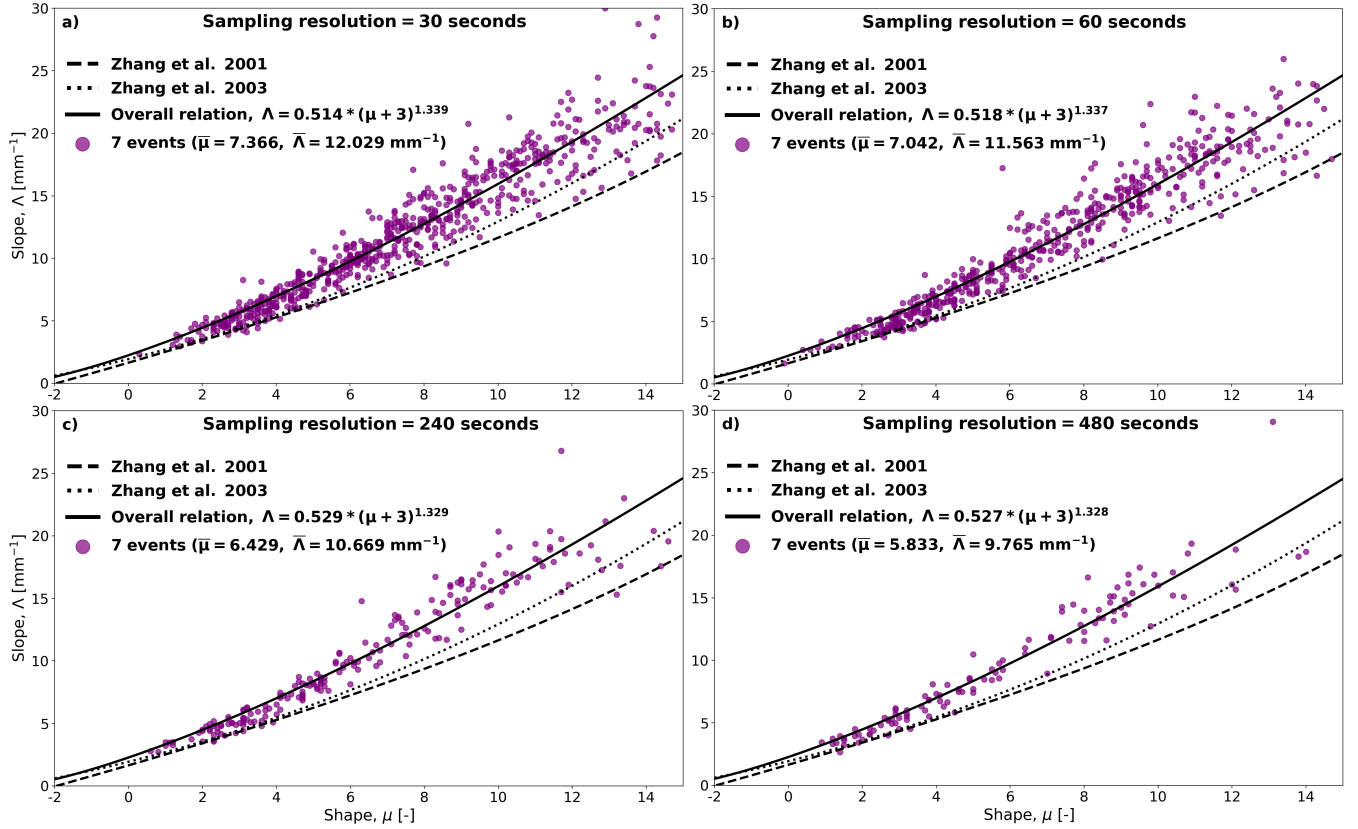


Figure 5. Four scatter plots between μ and Λ of the selected events using different resolutions. The μ - Λ relationship of each resolution was fitted and plotted against the proposed relations by Zhang et al. 2001 and 2003. (a) 30 s, (b) 60 s, (c) 240 s and (d) 480 s.

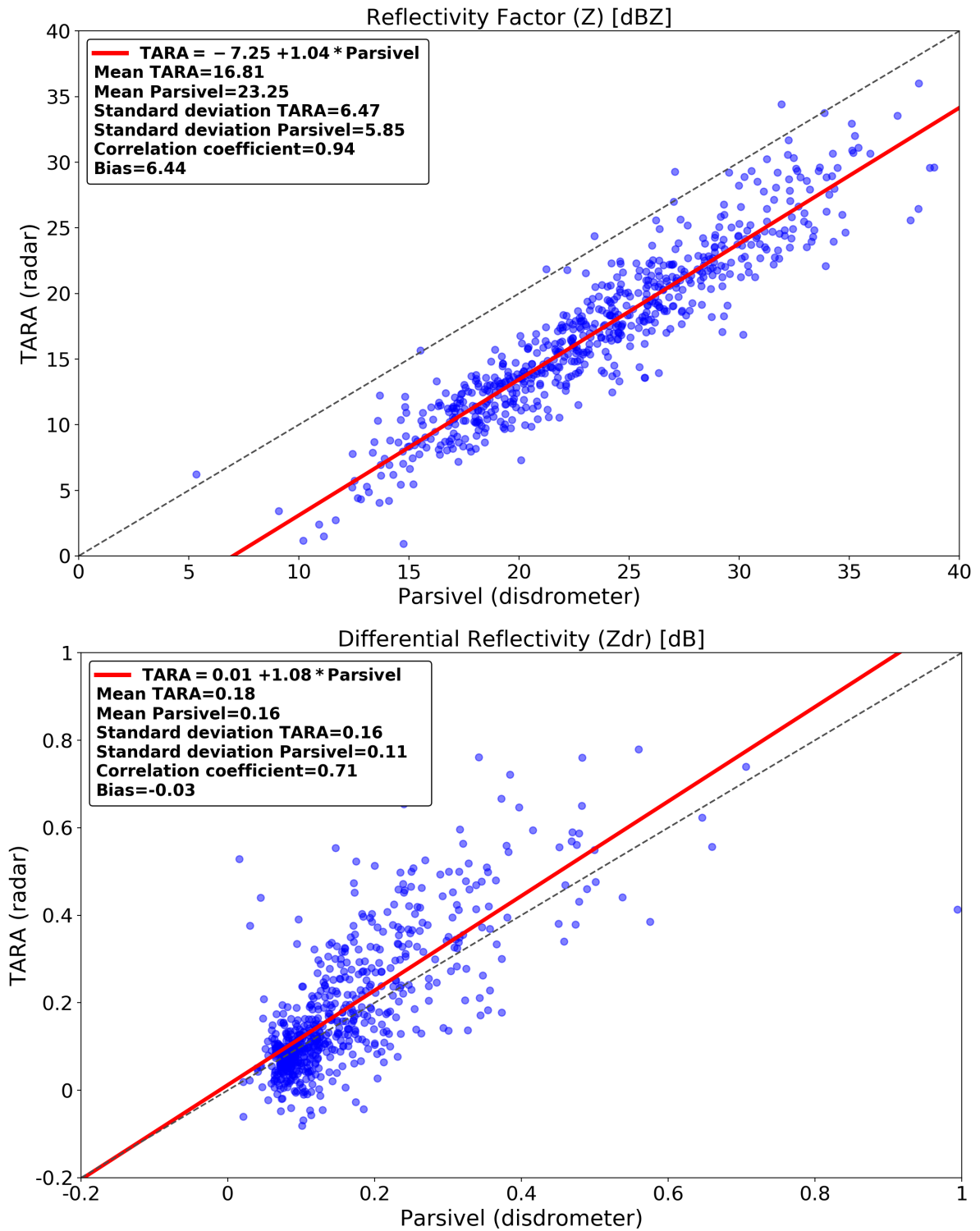


Figure 6. Scatterplot between the observations of Z_{hh} (dBZ) and Z_{dr} (dB) from the disdrometer and the radar.

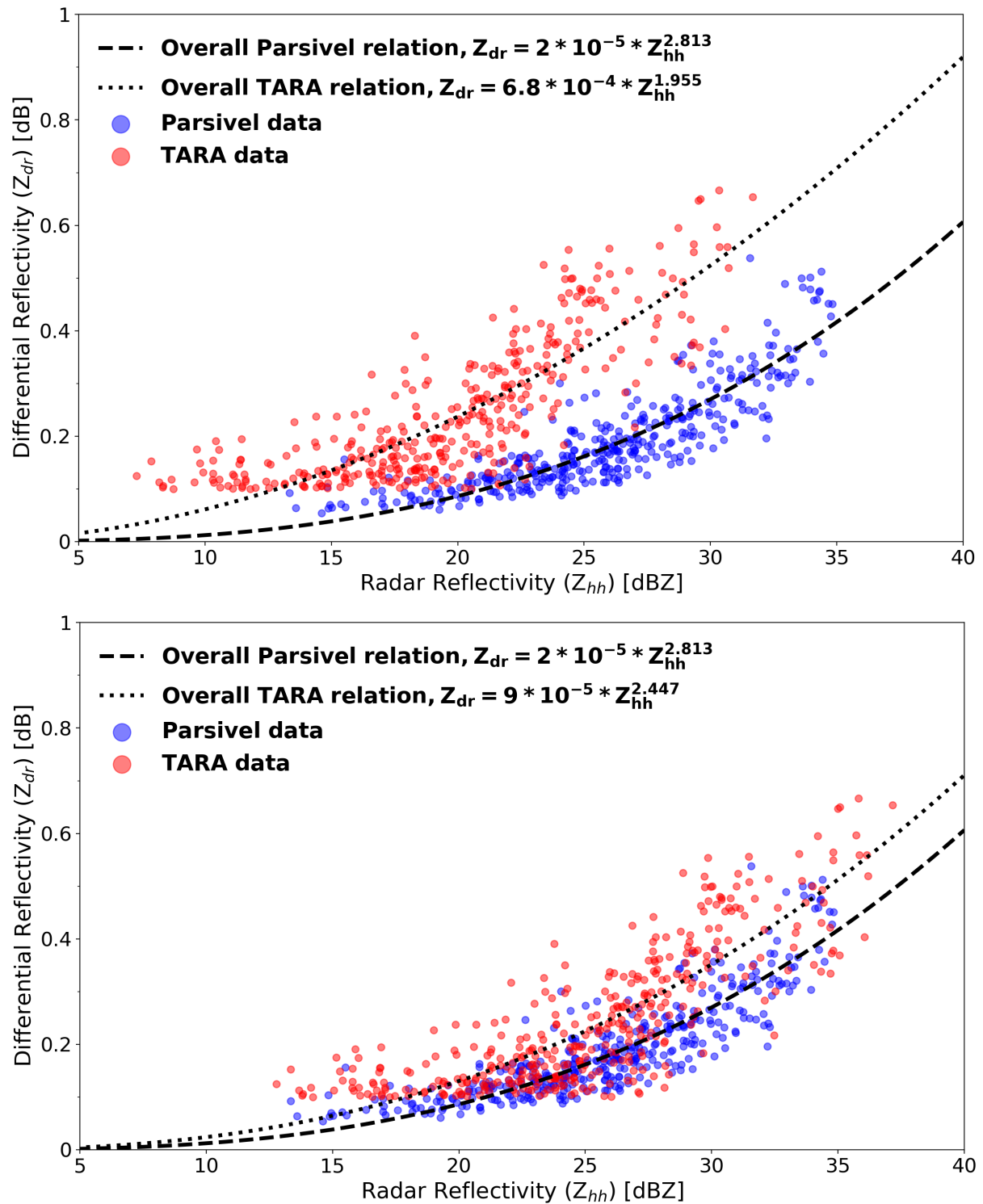


Figure 7. Z_{hh} - Z_{dr} relations between the disdrometer and the radar (top to bottom) before and after the calibration bias in Z_{hh} is removed.

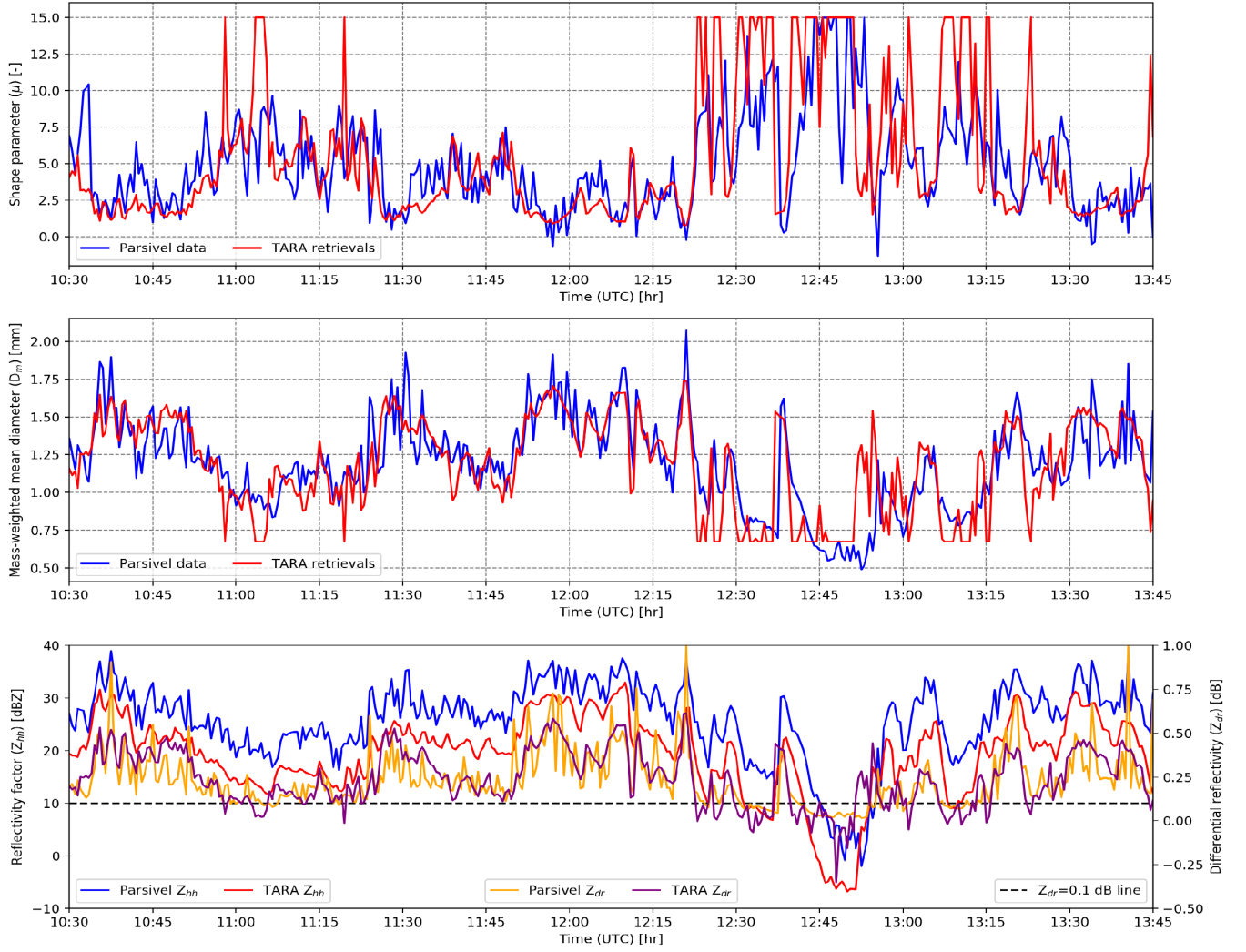


Figure 8. Time series of the DSD retrievals (μ and D_m), and Z_{hh} and Z_{dr} observations from the disdrometer and the radar.

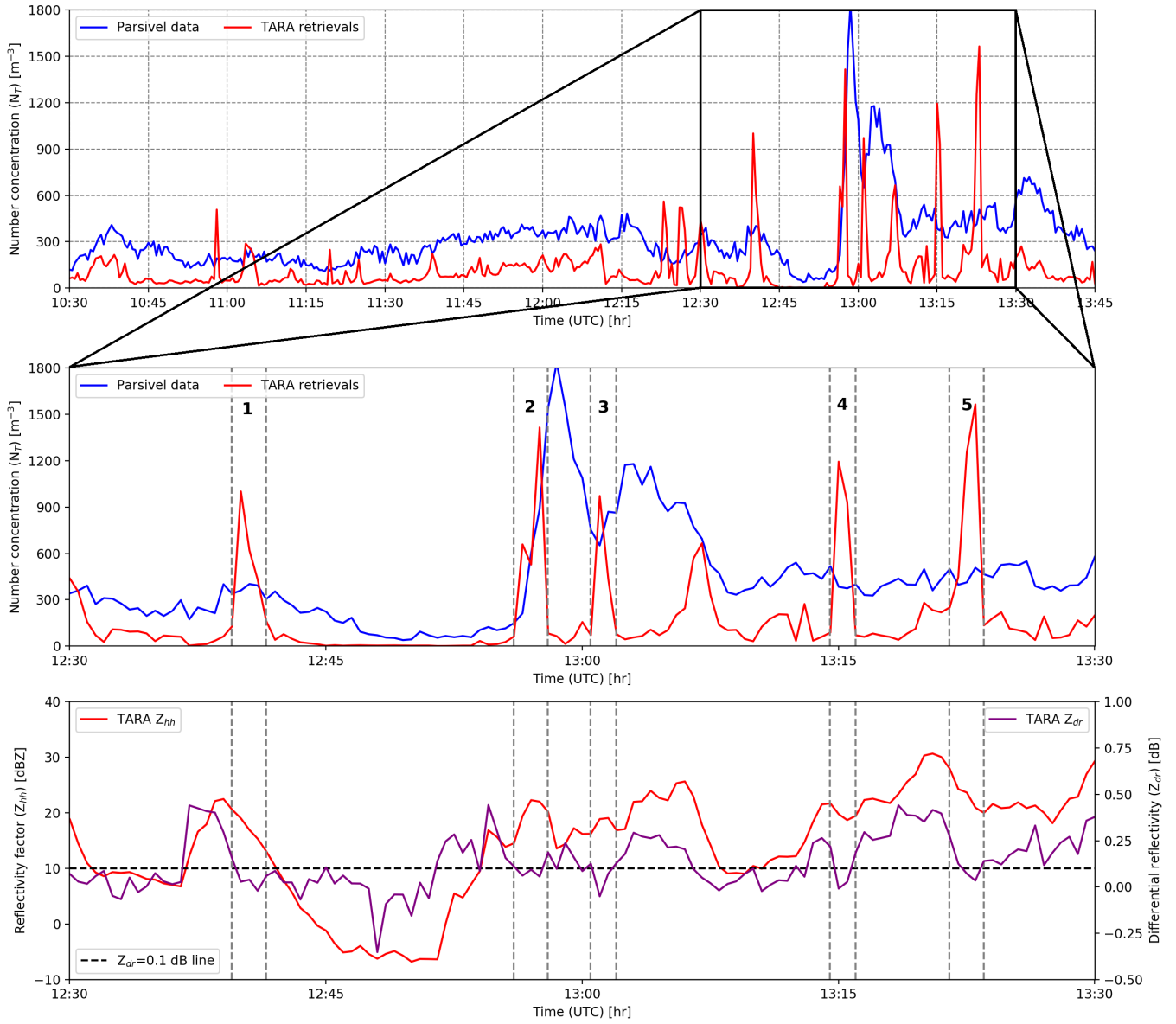


Figure 9. Time series of the N_T retrievals (top), zoomed version for the period between 12:30 and 13:30 UTC (middle) and the corresponding Z_{hh} and Z_{dr} observations from the disdrometer and the radar (bottom).

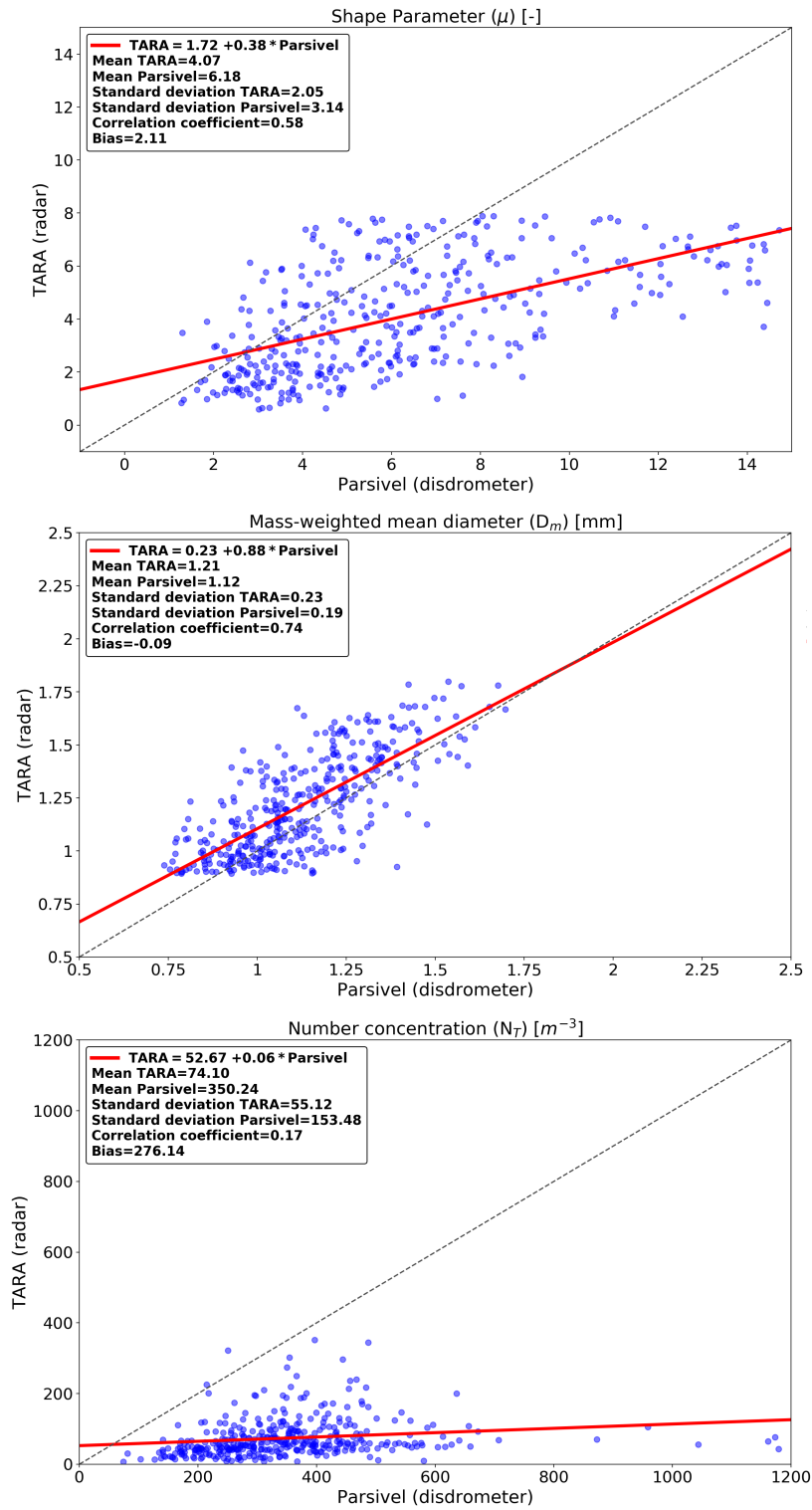


Figure 10. Scatterplot of DSD retrievals (μ , D_m and N_T) between radar and disdrometer.

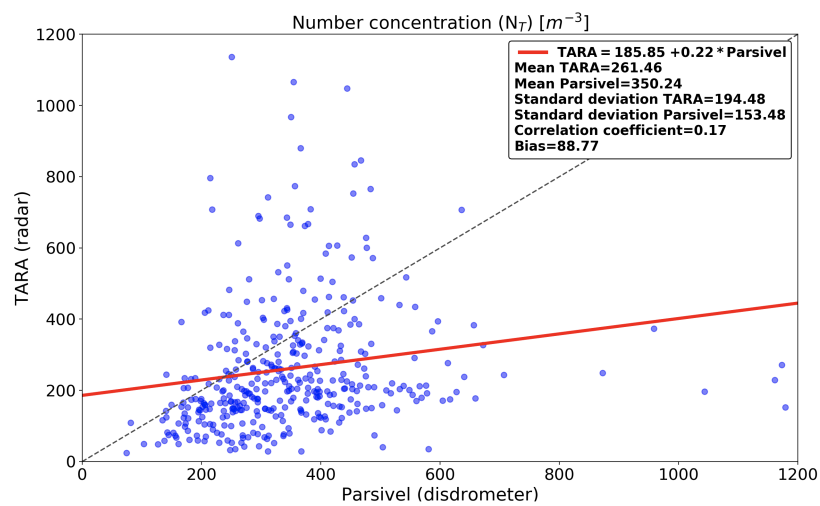


Figure 11. Scatterplot of N_T retrievals between radar and disdrometer after applying the calibration bias correction on Z_{hh} .

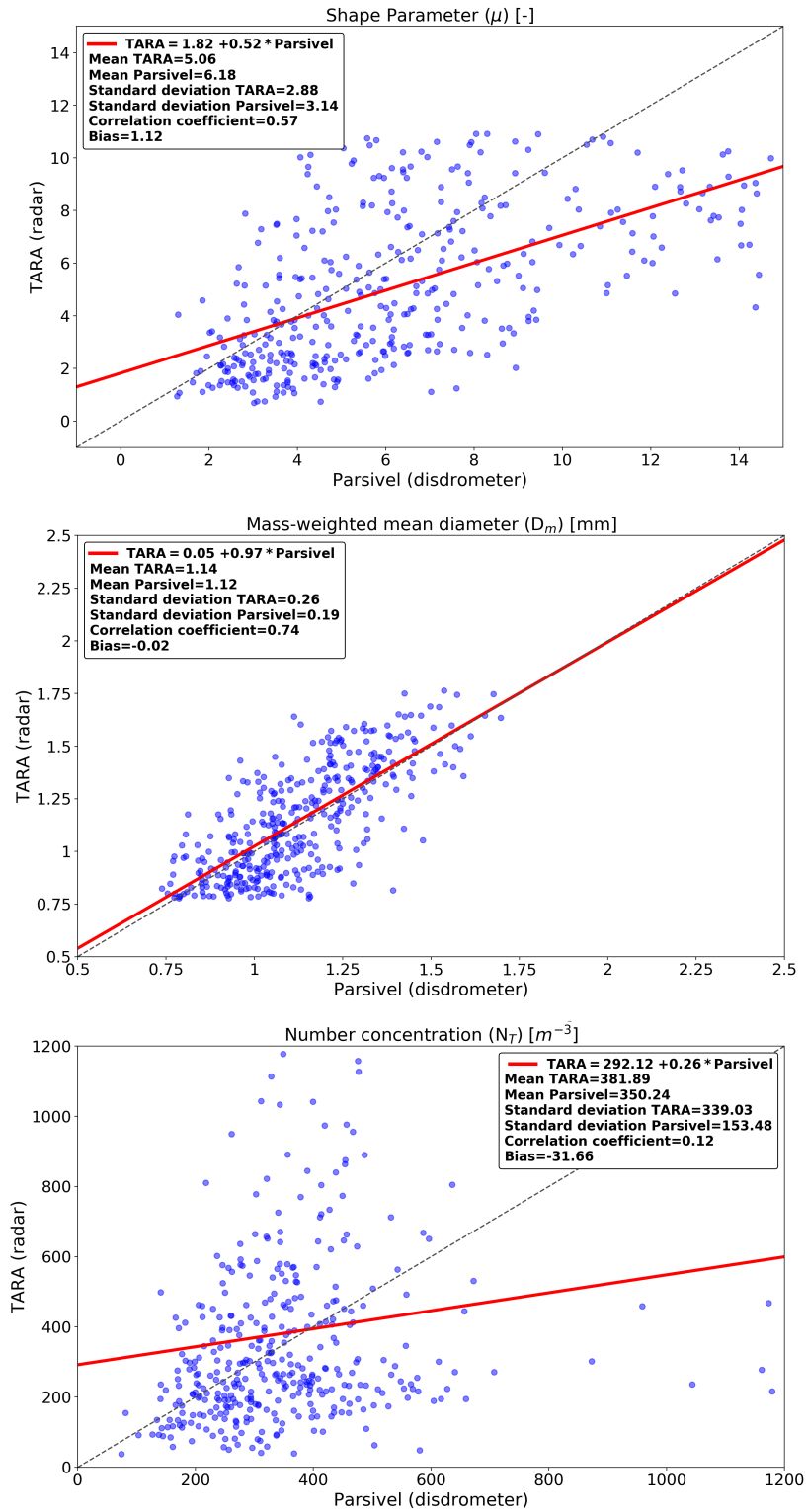


Figure 12. Scatterplot of DSD retrievals between radar and disdrometer after applying the scale bias correction on Z_{dr} .

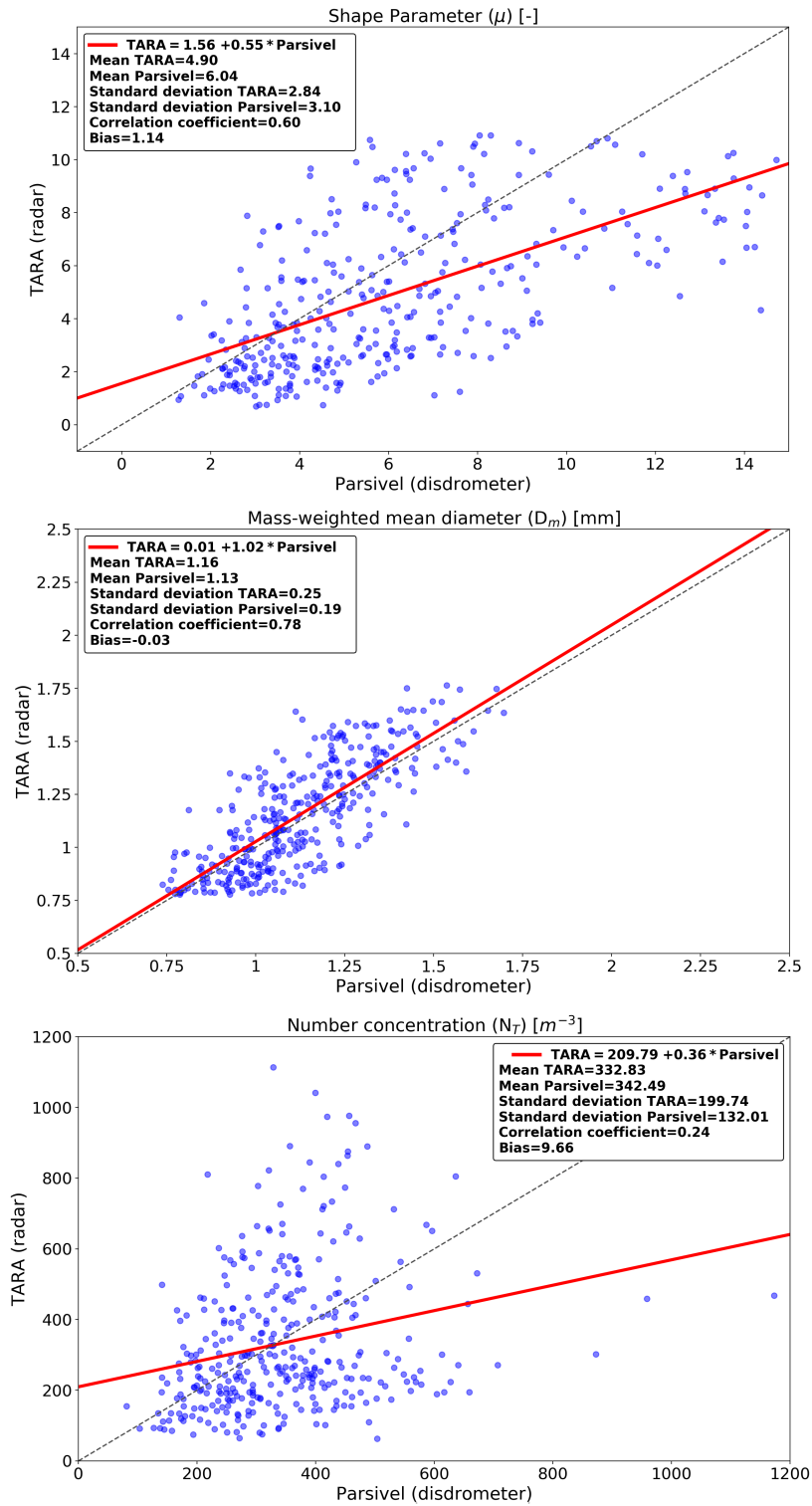


Figure 13. Scatterplot of DSD retrievals between radar and disdrometer after applying the $Z_{hh} - Z_{dr}$ relation outlier removal.

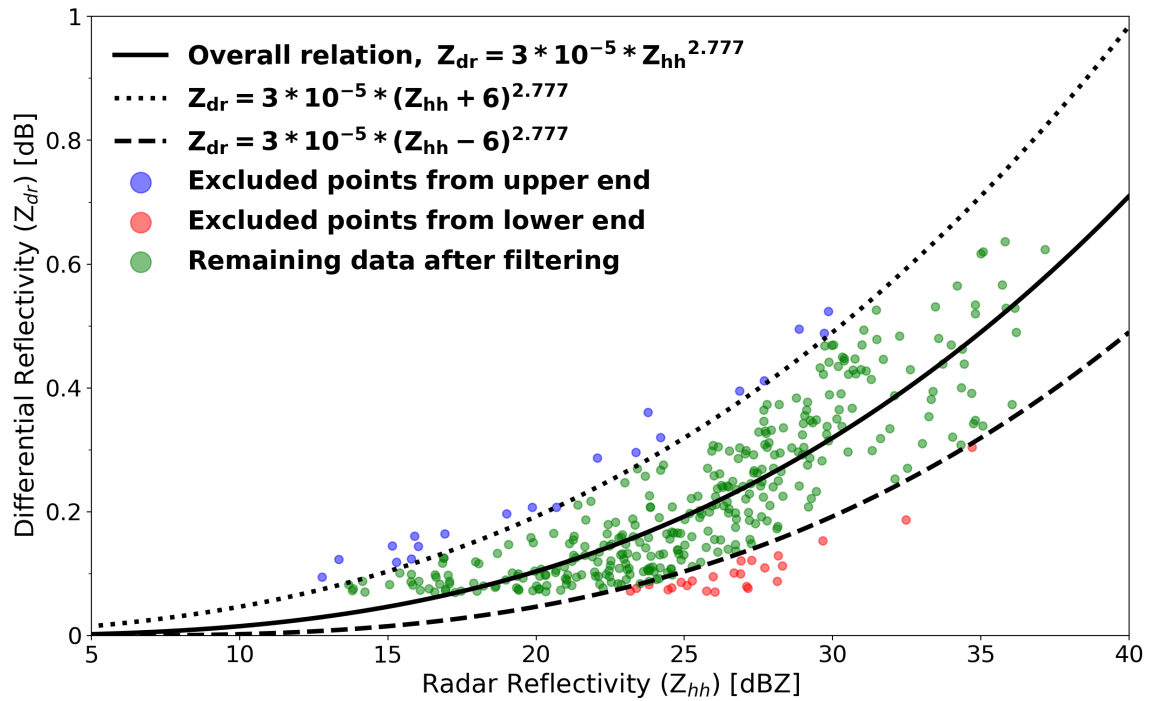


Figure 14. Example of the filtering based on the $Z_{hh} - Z_{dr}$ relationship with the overall power-law fit and the corresponding ones for the upper and lower end using ± 6 dBZ.