Development of a continuous UAV-mounted air sampler and application to the quantification of CO₂ and CH₄ emissions from a major coking plant

4 Tianran Han¹, Conghui Xie¹, Yayong Liu¹, Yanrong Yang¹, Yuheng Zhang¹, Yufei Huang¹, Xiangyu

5 Gao², Xiaohua Zhang³, Fangmin Bao⁴, Shao-Meng Li¹

- 6 ¹ State Key Joint Laboratory of Environmental Simulation and Pollution Control, College of Environmental Sciences and
- 7 Engineering, Peking University, Beijing 100871, P.R. China
- 8 ² Beijing Wisdominc Technology Co., Ltd., Beijing, P.R. China
- 9 ³ Suzhou Environmental Monitoring Center, Jiangsu Province, P.R. China
- 10 ⁴ Jiangsu Shagang Group Co., Ltd., Beijing, P.R. China
- 11 Correspondence to: Shao-Meng Li (shaomeng.li@pku.edu.cn)

12 Abstract. The development in uncrewed aerial vehicle (UAV) technologies over the past decade has led to a plethora of 13 platforms that can potentially enable greenhouse gas emission quantification. Here, we report the development of a new 14 air sampler, consisting of a pumped stainless coiled tube of 150 m in length with controlled time-stamping, and its deployment from an industrial UAV to quantify CO₂ and CH₄ emissions from the main coking plant stacks of a major 15 16 steel maker in eastern China. Laboratory tests show that the time series of CO_2 and CH_4 measured using the sampling system is smoothed when compared to online measurement by the cavity ring-down spectrometer (CRDS) analyzer. 17 18 Further analyses show that the smoothing is akin to a convolution of the true time series signals with a heavy-tailed digital 19 filter. For field test, the air sampler was mounted on the UAV and flown virtual boxes around two stacks in the coking 20 plant at Shagang Steel Group. Mixing ratios of CO₂ and CH₄ in air and meteorological parameters were measured from 21 the UAV during the test flight. A mass-balance computational algorithm was used on the data to estimate the CO₂ and 22 CH4 emission rates from the stacks. Using this algorithm, the emission rates for the two stacks from the coking plant were calculated to be 0.12 ± 0.014 t h⁻¹ for CH₄ and 110 ± 18 t h⁻¹ for CO₂, the latter being in excellent agreement with material 23 24 balance based estimates. A Gaussian plume inversion approach was also used to derive the emission rates and the results 25 were compared with those derived using the mass-balance algorithm, showing a good agreement between the two methods.

26 **1 Introduction**

27 Atmospheric carbon dioxide (CO₂) and methane (CH₄) are the two major anthropogenic greenhouse gases (GHGs). Both 28 CO₂ and CH₄ in the atmosphere have been increasing since the industrial revolution, particularly rapidly over the past ten 29 years. Global networks consistently show that the globally averaged annual mean CO₂ molar fraction in the atmosphere 30 increased by 5.0 % from 2011 to 2019, reaching 409.9 ± 0.4 ppm in 2019. Likewise, the globally averaged surface 31 atmospheric molar fraction of CH₄ in 2019 was 1866.3 \pm 3.3 ppb, 3.5 % higher than in 2011 (Gulev et al., 2021). CH₄ is 32 a stronger absorber of Earth's thermal infrared radiation than CO₂, with its global warming potential (GWP) 32 times 33 greater than that of CO_2 over a 100-year horizon (Saunois et al., 2020). Although its molar fractions in the atmosphere are about 200 times lower than those of CO₂, the total radiative forcing of \sim 1.0 W m⁻² for CH₄ is about half of that of CO₂ 34 $(\sim 2 \text{ W m}^{-2})$ (Arias et al., 2021), contributed by its direct radiative forcing of (0.6 ± 0.1) W m⁻² and indirect forcing of 0.4 35 36 W m⁻² resulting from chemical reactions producing other GHGs including CO₂, O₃, and stratospheric water (Turner et al., 37 2019). Furthermore, although global anthropogenic CH_4 emissions are estimated to be only 3 % of the global 38 anthropogenic CO₂ emissions in units of carbon mass flux, the increase in atmospheric CH₄ is responsible for about 20 % 39 of the warming induced by long-lived greenhouse gases since pre-industrial times (Etminan et al., 2016). Both CO₂ and 40 CH₄ are produced and released into the atmosphere from a variety of natural and anthropogenic sources. Natural emission 41 sources include vegetation, oceans, volcanoes and naturally occurring wildfires, but most of the increases in atmospheric 42 CO₂ and CH₄ are considered to have resulted from anthropogenic emissions, from sources including fossil fuel production 43 and uses, agricultural activities, land use and industrial processes (Canadell et al., 2021).

44 Quantification of CO₂ and CH₄ emissions from sources requires continuous measurements of their mixing ratios as 45 well as meteorological parameters using a variety of stationary and mobile platforms, including ground-based vehicles 46 (Rella et al., 2015; Brantley et al., 2014), towers (Helfter et al., 2016; Takano and Ueyama, 2021), aircrafts (Li et al., 47 2017; Liggio et al., 2019) and sattellites (Miller et al., 2013; Turner et al., 2015). Small uncrewed aerial vehicles (UAVs) 48 have become emerging platforms due to the recent rapid technological developments. They are flexible, versatile and 49 relatively inexpensive. Most importantly, a UAV platform fills the sampling space between the ground and altitudes of 50 up to hundreds of meters above ground, in which other mobile platforms have been unable to operate (Shaw et al., 2021). 51 Due to their relatively low flying speeds, UAV platforms offer a high spatiotemporal resolution for sampling and thus 52 enabling accurate plume mapping. On the other hand, UAVs have limited endurance, being constrained by battery 53 capacities and payloads, making them more suitable for small facility flux quantification.

54 UAV platforms have been used to quantify CH_4 emissions in several studies, mainly focused on facility-scale 55 emission sources including landfills (Allen et al., 2019; Bel Hadj Ali et al., 2020), coal mines (Andersen et al., 2021), 56 dairy farms (Vinkovic et al., 2022), wastewater treatment plants (Gålfalk et al., 2021) and oil and gas facilities (Golston et al., 2018; Li et al., 2020; Nathan et al., 2015; Shah et al., 2020; Tuzson et al., 2021). UAV-based CH₄ measurements 57 58 are generally made with three different methods: collecting on-board samples for subsequent analysis, tethered sampling 59 to a sensor on the ground and on-line measurements (Shaw et al., 2021). Gas samples could be stored onboard a UAV for 60 subsequent analyses on the ground after landing, using air bags (Brownlow et al., 2016) or sampling canisters (Chang et 61 al., 2016). Andersen et al. developed a UAV-based active AirCoresystem, consisting of a long coiled stainless-steel tubing, 62 a small pinhole orifice, and a pump that drags air through the tube (Andersen et al., 2018), which allows for a higher 63 spatiotemporal resolution in the measurements. Direct comparisons between a quantum cascade laser absorption 64 spectrometer (QCLAS) and the active AirCore measurements show that the active AirCore measurements are smoothed 65 by 20 s and had an average time lag of 7 s. The active AirCore measurements also stretch linearly with time at an average 66 rate of 0.06 s for every second of QCLAS measurement (Morales et al., 2022). The advances in active AirCore sampling have made UAV measurements for CH4 emissions feasible, even if still with rooms for improvement. Studies of using 67 68 UAVs for CO₂ plume detection and mapping from anthropogenic sources have also been reported (Reuter el al., 2021; 69 Liu et al., 2022; Leitner et al., 2023; Chiba et al., 2019). Reuter et al. presented the development of a UAV platform to 70 quantify the CO₂ emissions of anthropogenic point sources by deployment of an NDIR (non-dispersive infrared) detector 71 and a 2-D ultrasonic acoustic resonance anemometer on the platform (Reuter et al., 2021).

72 In this study, we developed a new active air sampling system for deployment from a UAV on a trajectory in the 73 three-dimensional space to measure CO₂ and CH₄. The complete sampler plus UAV system was deployed to quantify 74 CO₂ and CH₄ emissions from the stacks of the main coking plant of Shagang, the largest private steel maker in China. 75 The top-down emission rate retrieval algorithm (TERRA) (Gordon et al., 2015) was applied to the UAV data to determine 76 stack CH₄ and CO₂ emissions rates. The iron and steel industry is one of the largest contributing industries to global GHG 77 emissions, accounting for around 7% of global total GHG emissions (Hasanbeigi, 2022). Coke production is one major 78 process of iron and steel making that generate emissions of CO₂ and CH₄. During coke production, coking coal is used to 79 manufacture metallurgical coke that is subsequently used as the reducing agent in the production of iron and steel (U.S. 80 Environmental Protection Agency, 2016). Coke oven gas is the main sources of CO₂ and CH₄ emissions during coke 81 production (Angeli et al., 2021; IPCC, 2006). China is the largest coke producer in the world, with a coke production of 82 4.72 billion tons in 2020. The GHG emissions from coke production in China are reported based on the Tier 1

methodology of IPCC Guidelines, which multiplies generic default emission factors with the tonnage of coke produced (Ministry of Ecology and Environment of China, 2018). Tier 1 methodologies are the simplest and least complex requiring less resources on collection the necessary data and producing GHG emission estimates. The present UAV measurementbased emission results can be compared with material balance based emission estimates and the emissions based on the Tier 1 emission factors and coke production at the plant, and to shed light on the uncertainties related to Tier 1 emission factors in the case of CH_4 emissions.

89 **2.Method**

90 2.1 The air sampling system

91 To realize GHG emission quantification by UAV measurement, a new compact air sampling system was developed based 92 on a variation of the active AirCore method. The AirCore system contains a 150-m-long stainless steel tube, open at one 93 end and closed at the other, that relies on positive changes in ambient pressure for passive sampling of the atmosphere 94 (Karion et al., 2010). Figure 1 shows an overview of the patent-pending design for this sampler. It consists of a 150 m 95 long thin-walled 1/8 inch outside diameter stainless-steel tubing, a pump, a micro-orifice, a CO₂ marker generator, two 96 three-way solenoid valves and electric relays, with all electrical devices powered by a 12V battery. The tubing is winded 97 into a multilayer coil, in whose center the other components of the system are mounted. The system is housed in the 98 highly compact patent-pending carbon fiber assembly design of 280 mm diameter and 98 mm height, that can be quickly 99 mounted at and dismounted from the bottom of an UAV. The sampler weighs about 5.9 kg and allows for continuous 100 sampling up to 35 minutes.

101 The sampler air intake is mounted at 70 cm above the center of gravity of the UAV, placed nearby a sonic 102 anemometer (below) for ensuring sampling the same air mass where wind speed is measured. The time stamp of the 103 mixing ratio observation was corrected for the short time lag of 4 seconds between sampling at the air intake and the thin-104 walled stainless-steel tubing attributable to the length of the Teflon inlet tube. Shortly before every flight, the pump is 105 remotely turned on to sample the CO₂ marker for 5 seconds and then to collect air samples. The CO₂ markers help to 106 identify the starting point and specific times subsequently during the UAV air sampling in data extraction and analysis. 107 During flight, the pump would alternatively sample the marker and the ambient air on a preset timing schedule. The 108 sampling flow rate remains at 18 ccm during the entire flight, controlled with the micro-orifice which is placed between 109 the pump and the coiled tubing. After landing, the pump is remotely turned off and the air sample in the sampling tubing is immediately analyzed with a cavity ring-down spectrometer (CRDS) (Picarro, Inc., CA, USA, model G2401) for CO_2 and CH₄ mixing ratios in the sampled air. Waiting longer would lead to unwanted mixing of the samples in the tubing. The air sample enter the tubing from the air inlet during sampling and leave the tubing from a different air outlet during later analysis. As a result, the samples at the beginning of the flight spend the same amount of time within the tubing as those at the end of the flight. Using the embedded CO_2 marker data, the CO_2 and CH_4 data series can be mapped to the sampling times and GPS locations during flight.



116

117 **Figure 1.** Design of the air sampler.

118 **2.2 The 3D sonic anemometer**

119 Previous studies that applied UAV platforms for GHG monitoring generally relied wind data from nearby ground weather 120 stations (Morales et al., 2022; Allen et al., 2019). However, Gålfalk et al. shows that wind speeds were inconsistent 121 between a ground weather station at a 1.5 m height and an anemometer mounted on their UAV, especially when altitude 122 increases, showing the need to have an on-board weather station for accurate flux calculations (Gålfalk et al., 2021). In the present study, in order to obtain meteorological data along the flight track, a 3D sonic anemometer (Geotech Inc, 123 124 Denver, US, model Trisonica Mini) is attached on the top of the UAV via a 450 mm carbon fiber pole. The anemometer 125 measures three-component wind speed (U_x, U_y, w) and temperature (T). The measured data were further transformed into 126 actual wind speeds and wind directions after corrections for UAV attitude (pitch, yaw, roll) changes and accounting for 127 its airspeed, as well as the perturbations caused by the UAV rotor propellers using a correction algorithm (Yang et al. 128 2023). The GPS information, airspeed, and attitude data (pitch, yaw, and roll) were extracted from the UAV data transmitted to the ground control station. The anemometer measures wind speeds within the range of 0 to 50 m s⁻¹, with 129 130 an accuracy of ± 0.1 m s⁻¹ below the wind speed of 10 m s⁻¹. The accuracy for wind direction measurement is $\pm 1^{\circ}$. For 131 temperature measurement, the operating range for the anemometer is between -40 °C to 85 °C and the accuracy is ± 2 °C. 132 For anemometers mounted on multi-rotor UAVs, how to correct for the effects of the translational and rotational 133 movements of the UAVs as well as the flows induced by the rotors to obtain accurate wind data is an on-going research 134 topic (Gålfalk et al., 2021; Wolf et al., 2017; De Boisblanc et al., 2014; Palomaki et al., 2017; Zhou et al., 2018; Yang, 135 2023). During flight, rotary wing UAVs create thrust by drawing air from above the rotors and expelling it downwards at a higher velocity. Such flows may extend to the anemometer position in addition to true atmospheric air flows, masking 136 137 the true wind signals in the data from the anemometer (Wolf et al., 2017). Previous studies have conducted laboratory 138 testing (Wolf et al., 2017; De Boisblanc et al., 2014; Palomaki et al., 2017) or flow field simulation (Zhou et al., 2018) to 139 determine the appropriate distance to place anemometers onto multi-rotor UAVs to minimize the impact from the rotor-140 induced air flows. The anemometer in this research is mounted at an upward distance of 70 cm from the center of gravity 141 of the UAV. A full digital model of the UAV, the anemometer and its mounting frame, and the air sampler was created. 142 Using this digital model, computational fluid dynamics (CFD) simulations were performed to quantify wind speed 143 disturbances caused by the UAV's rotor propellers on the anemometer during flight under a vast array of different wind 144 conditions. An overall correction algorithm was developed in which parameters for propeller disturbances determined 145 based on the CFD simulations were included along with correction schemes for false signals resulting from translational 146 motions and changes in UAV pitch, roll and yaw. The correction algorithm was verified with real-world UAV flight-147 meteorological tower measurement intercomparisons (Yang et al., 2023).

148 **2.3 The UAV**

The air sampler and the anemometer are mounted on a hexacopter UAV (KWT-X6L-15). The UAV has a maximum flight time of ~30 minutes at a maximum payload of 15 kg, or longer with a lighter payload. Such flight endurance and carrying capacity meet our needs for loading the air sampler and the anemometer onto the UAV to realize emission quantification. The UAV is capable of flying at winds up to 14.4 m s⁻¹ to an altitude of about 4000 m and has a maximum horizontal flying speed of 18 m s⁻¹, a maximum ascending speed of 4 m s⁻¹ and a maximum descending speed of 3 m s⁻¹. The horizontal hovering precision of the GPS on the UAV is ± 2 m and the vertical hovering precision is ± 1.5 m.

155 2.4 Air sample analysis

156 After landing, the air sample collected in the tubing is immediately analyzed with the CRDS analyzer. The withdrawal 157 flow rate of the air from the sample tubing during analysis is an important parameter in optimizing the results. High 158 withdrawal rates lead to unwanted mixing in the cavity of the analyzer. However, direct withdrawal of air from the sample 159 tubing by the analyzer at a flow rate as low as the sampling flow rate of 18 sccm results in smoothing of concentrations 160 from the inner wall surface drag and desorption inside the tubing. We optimized the flow rate of the air from the sample 161 tubing into the CRDS analyzer at \sim 54 sccm, 3 times the sampling flow rate, by diluting the air sample with zero air, with 162 two mass flow controllers separately controlling the flow rate of zero air and the withdrawal rate of the air sample (Fig. 163 2b).

164 2.5 Mass balance approaches for determining emission rates

165 The UAV-based measurements were coupled with the mass-balance approach TERRA to determine the emission rates of 166 the measured pollutants using their measured mixing ratios and the meteorological data (three-component wind speed (U_x, U_y, w) and temperature (T)) collected on board the UAV during the flight. TERRA computes integrated mass fluxes 167 168 through airborne virtual box/screen measurements including those made from aircraft and in this case UAVs. TERRA 169 has been used successfully and extensively for emission rate determination of tens of volatile organic compounds (Li et 170 al., 2017), CO₂ (Liggio et al., 2019), CH₄ (Baray et al., 2018), oxidized sulphur and nitrogen (Hayden et al., 2021), black 171 carbon (Cheng et al., 2020) and secondary organic aerosol (Liggio et al., 2016) using aircraft measurements. To run 172 TERRA based on a virtual box flight, the first step is to map the CH₄ and CO₂ mixing ratio data measured along the level 173 flight tracks encircling a facility to the two-dimensional virtual walls of the virtual box, created from stacking the level flight tracks, that surrounds the facility. The two-dimensional virtual walls (or screens) are derived from the unwrapping 174 175 of the virtual box, to assist the presentation of the CH_4 and CO_2 plumes along the flight tracks, with the horizontal path 176 length (i.e., the ground line projection of the fitted flight track) and altitude as the two dimensions. The start of the 177 horizontal path is typically defined as the south-east corner of the virtual box, but the selection of this starting position 178 has no effect on the emission rate computation, and the horizontal path distance increases in a counter clockwise direction. 179 This procedure results in a translation of each flight position point from a three-dimensional position flatitude (y), 180 longitude (x), and altitude (z, above mean sea-level) to a two-dimensional screen position of horizontal path distance s =181 f(x,y). Subsequently, TERRA applies the Simple Kriging algorithm to interpolate the data and produces a mesh on the 182 two-dimensional virtual box walls whose resolution can be set depending on applications. The kriging weights were 183 obtained with an isotropic spherical semivariogram model. In TERRA, nugget, sill, and range can all be modified to fit 184 the semivariogram model. The mixing ratios of both CH₄ and CO₂ are extrapolated from the lowest flight altitudes to the 185 ground digital elevation using one of several methods or a combination thereof, namely (1) assuming a constant (2) linear 186 extrapolation between a constant and background (3) a background value below flight altitudes (4) linear fit between the 187 lowest flight altitude and zero at the ground and (5) exponential fit from the lower flight altitudes (Gordon et al., 2015). 188 Concurrently measured wind speed from the UAV (Yang, 2023) is decomposed into northely and easterly components 189 $(U_{\rm E}(s,z), U_{\rm N}(s,z))$ based on the wind direction and similarly interpolated onto the 1 m x 2 m mesh. The decomposed 190 wind speeds are further extrapolated to the ground digital elevation using a log profile fit (Gordon et al., 2015). Based on 191 the interpolated/extrapolated CH₄ and CO₂ mixing ratio, temperature, pressure (calculated using barometric height 192 formula), and wind speeds, TERRA computes the fluxes of CH_4 and CO_2 through the virtual walls and finally their facility 193 emission rates by integrating the fluxes.

194 To summarize, in TERRA the mass-balance in computing the emissions within a control box for a given inert 195 pollutant such as CH_4 or CO_2 is presented by:

196
$$E_C = E_{C,H} + E_{C,V} - E_{C,M}$$
, (1)

where E_c is the emission rate, $E_{C,H}$ is the horizontal advective transfer rate through the box walls, $E_{C,V}$ is the advective transfer rate through the box top and $E_{C,M}$ is the increase in mass within the volume due to a change in air density. Other terms listed in the Gordon et al. computation algorithm that were used to solve for the total emission rate were often neglected as they contribute little to the total emission rates (Gordon et al., 2015). Each term from Eq. (1) is estimated as:

201
$$E_{C,H} = M_R \iint X_C \rho_{air} U_{\perp} ds dz , \qquad (2)$$

202
$$E_{C,V} = M_R X_{C,Top} \iint \rho_{air} \omega dx dz , \qquad (3)$$

203
$$E_{C,M} = M_R \iiint X_C \frac{d\rho_{air}}{dt} dx dy dz , \qquad (4)$$

where M_R is the ratio of the compound molar mass to the molar mass of air, $X_c(s, z)$ is the mixing ratio of the compound in question, $\rho_{air}(s, z)$ is the air density, *w* is the vertical wind velocity at the box top, $X_{C,Top}$ is the mixing ratio at the top of the box, and $U_{\perp}(s, z)$ is the horizontal normal wind vector to the flight track calculated from the northely and easterly components ($U_E(s, z), U_N(s, z)$):

208
$$U_{\perp}(s,z) = \frac{U_N(s,z)ds/dx - U_E(s,z)ds/dy}{\sqrt{(ds/dx)^2 + (ds/dy)^2}},$$
(5)

The vertical transfer rate term $E_{C,V}$ is estimated by computing the air mass vertical transfer rate, determined from air mass balance within the box, and multiplying it with the CO₂ or CH₄ mixing ratios at the box top. This term is normally negligible in other top-down emission estimate approaches since it is typically miniscule compared to horizontal fluxes, but can affect the computed emission rates when vertical air movement becomes more significant such as under unstable atmospheric conditions. $E_{C,M}$ is often ignored in other mass-balance approaches; in TERRA it is estimated by taking the time derivative of the ideal gas law in temperature and pressure during the flight time, and typically it does not change significantly over the duration of 30 minutes or so for the UAV flight.

216 To suit the UAV measurements, the following modifications to the TERRA algorithm were made: (1) A much higher 217 interpolation resolution for the kriging mesh was implemented for application to the UAV measurements in this study, 218 with the interpolation mesh size adjusted to 1 m (vertical) by 2 m (horizontal), as UAVs fly significantly shorter distances 219 compared to applications to piloted aircraft for which the interpolation resolution was 20 m (vertical) by 40 m (horizontal); 220 (2) The modified TERRA now applies an embedded routine to automatically fit flight tracks using least squares, while 221 this procedure was previously conducted manually offline through geographic information system when using TERRA. 222 (3) The modified version of TERRA has added an algorithm for correcting negative weights during Kriging interpolation 223 following Deutsch (Deutsch, 1995). TERRA has been updated at Peking University now recoded using the Python 224 language and runs under a browser-server environment with a new GUI and new interactive data flow.

225 3 Laboratory tests

226 **3.1 Validation of the air sampler**

227 Prior to flights in the field, we validated the air sampler in laboratory experiments by first sampling an artificial air while 228 making simultaneous online measurements of the artificial air with the CRDS analyzer, and then analyzing the sampled 229 artificial air was with the same CRDS analyzer and comparing the results from the air sampler to the online measurements. 230 An experimental apparatus was constructed for the simultaneous sampling of the same artificial air with the air sampler 231 and the CRDS analyzer through a tee junction (Fig 2(a)), and subsequent air sample analysis using the same CRDS 232 analyzer (Fig. 2(b)). In the artificial air, CH_4 and CO_2 standards were control-released into the lab air from an 8 L gas 233 cylinder filled with a gas mixture of 5 ppm CH₄, 2 ppm CO and 600 ppm CO₂ to generate the artificial air source. The 234 outlet of the standard gas cylinder was held at varying distances to the tee junction over time to yield a time series of different CH_4 and CO_2 mixing ratios, which was designed to mimic plumes expected in the real atmosphere. During analysis, the flow rate through the zero air (Mass Flow Controller 1) is adjusted to make sure that the flow rate through the air sampler (Mass Flow Controller 2) is stable and consistent at 54 sccm (Sec. 2.4).



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Figure 2. Diagram of the air sampler testing setup in the laboratory. (a) simultaneous sampling by the air sampler and the Picarro
 CRDS analyzer. (b) subsequent air sample analysis using the picarro CRDS analyzer.

241 Figure 4(a) illustrates the mixing ratios of CO_2 and CH_4 time series obtained from the air sampler and online 242 measurements by the CRDS analyzer. It can be seen that the measured results from the air sampler and the online CRDS 243 measurements analyzer are in good agreement throughout the tests, and the correlation coefficient is estimated to be 0.89 244 and 0.73 for CH₄ and CO₂ (Fig. 4(c) and (f)). For the measurements with the air sampler, short term variations and noises 245 in the CH₄ and CO₂ mixing ratios, that were fully captured by the CRDS analyzer during the online measurements, were 246 smoothed out, while the main features and tendencies were preserved. In fact, the air sampler measurement results should 247 be a smoothed version of the CRDS analyzer online measurements, due to mixing in the analyzer cavity, molecular 248 diffusion during sample storage in the sampler, inner wall surface drag and desorption during its withdrawal from the 249 tubing during analysis, as well as Taylor dispersion during sampling and analysis (Karion et al., 2010). Dilution with zero 250 air during later CRDS analysis also contributes to the smoothing.

3.2 Data deconvolution to achieve high time resolution

While it is impractical to delineate the individual smoothing effects when the air sample passes through the coupled system of the sampler plus the analysis setup as described above, the measured concentration y(t) can be treated as a result of the convolution of the air concentration before sampling x(t) and a smoothing kernel g(i) consisting of a series of weights, which are inherently determined by factors including the sampler properties (tubing length, inner diameter, temperature, absorptive properties, flow rates), storage time, dilution, and mixing in the cavity of the instrument. The smoothing can be described as:

258
$$y(t) = \sum_{i=r}^{s} g(i)x(t-i) + n(t), t = s, s+1, ..., n-1+r$$
, (6)

259 Or, expressed as a convolution of the form:

$$260 y(t) = g(t) * x(t) + n(t), (7a)$$

where y(t) is the measured concentration at time t, x(t) the air concentration, and n(t) the unknown noise, assumed to be independent of x(t). The kernel g(i) contains s - r + 1 non-zero kernel weight terms (0 < g(i) < 1). When all four terms in Eq. (7a) undergo Fourier transform, Eq. (7a) can be expressed in the frequency domain:

264
$$Y(f) = G(f)X(f) + N(f)$$
, (7b)

In order to characterize the kernel weights g(i), a second lab experiment was conducted during which the sampler first sampled zero air for some time, and then sampled the CO₂ and CH₄ standards for one second, before returning to sampling zero air again, creating an original concentration pulse signal in the x(t):

268
$$x(t) = \begin{cases} C, \ t = j \\ 0, \ t \neq j \end{cases},$$
 (8)

where $j = j^{th}$ second when the sampler collected the standard of a known concentration C. This air sample was then analyzed with the CRDS as described above. After sampling, storing and analyzing, smoothing of the original concentration pulse leads to the concentration signal output Y(t) as follows:

272
$$y(t) = \begin{cases} \sum_{i=r}^{s} g(i)x(t-i) + n(t) = g(t-j)C + n(t), \ t-i = j \text{ and } i = r, r+1, \cdots, s \\ n(t), \qquad t-i \neq j \end{cases},$$
(9)

where y(t) is the measured concentrations from the air sampler after sampling the concentration pulse and is non-zero when t - i = j, with the index *i* taking the values from *r* to *s*. The noise n(t) term is zero for $t - i \neq j$ and can be assumed to have similar behavior for t - i = j. Therefore,

276
$$g(i) = g(t-j) = \frac{1}{c}y(t) - \frac{1}{c}n(t), \ t = i+j \ and \ i = r, r+1, \cdots, s$$
, (10)

The second lab experiment showed that y(t), and therefore the kernel g(t), consists of 70 non-zero values. To remove the noise n(t), g(t) is further smoothed using a box-car running mean of 5 terms:

279
$$\hat{g}(t) = \frac{1}{5} \sum_{k=t-2}^{k=t+2} g(k) \approx \frac{1}{c} y(t), \ t = i+j \ and \ i = r, r+1, \cdots, s$$
, (11)

It could be seen from Fig. 3 that $\hat{g}(t)$ has an asymmetrical distribution with a right trailing tail and a half-height width of approximately 20 seconds for CO₂ and 21 seconds for CH₄, indicating that the smoothing had significantly reduced the sampling/analysis method time resolution to about 20 second from the 1 second resolution of the original pulse in the air concentration. The kernel shows that the influence from the neighboring points have on a given point decreases with increases in the gap between the two points.



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Figure 3. The output of the one-second signal after sampling, storing and analyzing using the air sampler for CO₂ and CH₄, normalized by their respective concentrations in the standard. As shown in the text, these curves are the actual kernel weights of $\hat{g}(t)$.

To test whether the kernel weights $\hat{g}(t)$ can smooth the online measured concentrations from the first lab experiment (top line in Fig. 4(a) and (b)), the weights $\hat{g}(t)$ were used to convolute with the data from the online measurements (i.e., x(t)), resulting in an estimated $\hat{y}(t)$ (Fig. 4(a) and (b), third line) that is in excellent agreement with the measurements

from the air sampler, with the correlation coefficients increased to 0.99 and 0.98 for CH_4 and CO_2 (Fig. 4 (d) and (g)).



Figure 4. (a) and (b) Mixing ratios of CO₂ and CH₄ measurements by online measurements with CRDS (the first line) and the air sampler(the second line) in laboratory tests. The third line represents the smoothed CRDS data after convolution with the kernel $\hat{g}(t)$ and the fourth line represents the deconvoluted series after Wiener deconvolution. The signals of the same color represent the original signals and the corresponding signals after convolution or deconvolution (c)-(e) Correlation plots of CH₄ (f)-(h) Correlation plots of CO₂.

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The ultimate goal of determining $\hat{g}(t)$ in Fig. 3 is to deconvolve y(t) from the air sampler obtain the original concentration series x(t) using a number of deconvolution techniques. In the present study, we used the deconvolution method based on the Wiener theorem (Lin and Jin, 2013). The theorem provides the Wiener convolution filter h(t) so that x(t) can be estimated as follows:

302
$$\hat{x}(t) = \sum_{i=-\infty}^{\infty} h(i)y(t-i) = h(t) * y(t),$$
 (12)

where y(t) is the measured concentration, and $\hat{x}(t)$ an estimate of x(t). In the frequency domain, Eq. (12) may be rewritten as a product of two scalars:

$$305 \quad \hat{X}(f) = H(f)Y(f) , \tag{13}$$

where $\hat{X}(f)$, H(f), and Y(f) are the Fourier transforms of $\hat{x}(t)$, h(t), and y(t), respectively. The Wiener convolution filter h(t) is derived from the minimization of the mean square error:

308
$$\epsilon(f) = E \left| X(f) - \hat{X}(f) \right|^2, \tag{14}$$

309 with *E* denoting the expectation. When Eq. (7b) and Eq. (13) are substituted into Eq. (14) and the quadratic is expanded,

310 the mean square error $\epsilon(f)$ can be differentiated with respect to H(f) and the derivative $\frac{d\epsilon(f)}{dH(f)}$ is set to zero to achieve

311 the minimization; under the assumption that the noise N(f) is independent of X(f), H(f) is derived as

312
$$H(f) = \frac{G(f)S(f)}{|G(f)|^2 S(f) + N(f)},$$
(15)

where G(f) is the Fourier transform of $\hat{g}(t)$ derived from the second lab experiment described above, $S(f) = E|X(f)|^2$ and $N(f) = E|N(f)|^2$ are the mean power spectral densities of the original concentration series x(t) and the noise n(t), respectively. Equation (15) could be rewritten as:

316
$$H(f) = \frac{1}{G(f)} \left[\frac{|G(f)|^2}{|G(f)|^2 + N(f)/S(f)} \right] = \frac{1}{G(f)} \left[\frac{|G(f)|^2}{|G(f)|^2 + 1/SNR(f)} \right],$$
(16)

317 where SNR(f) = S(f)/N(f) is the signal-to-noise ratio.

Substituting Eq. (16) into Eq. (13), $\hat{X}(f)$, the Fourier transforms of $\hat{x}(t)$, is derived. The deconvolution is completed 318 319 with the inverse Fourier transform of $\hat{X}(f)$ to give $\hat{x}(t)$, the estimated air concentrations. The deconvolved series of CH₄ 320 and CO₂ restored with the Wiener convolution filter are shown in Fig. 4(a) and (b), and the correlation coefficient between 321 the deconvoluted results and the online measurements with the CRDS analyzer are 0.93 and 0.79 for CH₄ and CO₂ (Fig. 322 4 (e) and (h)), higher than that between the original air sampler measurement and the CRDS analyzer. These results 323 indicates the effectiveness of the Wiener theorem to deconvolve a smoothed series to a much higher time resolution while 324 accounting for noise. The restored series is improved in terms of time resolution, from about 20 seconds mentioned above to about 3~4 seconds after the deconvolution. The lab test data from the online measurements contain strong high-325 frequency components, artificially manipulated to provide an extreme case for testing the deconvolution algorithm. Such 326 327 high frequencies lead to some residual noise in the deconvolved results, primarily as a result of choosing the cutoff 328 frequencies for the mean power spectral densities S(f) and N(f). Nevertheless, such a situation will be improved for 329 sampling in the real atmosphere where sub-second high-frequency variations are not common.

330 4. Field application

To apply the UAV-based measurement system described above to atmospheric measurements of CO2 and CH4, 331 flights were made at the Shagang Group located in Jiangsu, China on 28 December 2021. Shagang Group is a major iron 332 333 and steel company on the south shore of the Yangtze River (31.9704° N, 120.6443° E). The company produces over 40 334 million tons of steel each year, making it one of China's top-five steel producers. Onsite coke making for iron production 335 is located in the western part of the Shagang Steel complex. The coke making process is to dry distill coal in a coking oven at ~1000 °C temperature to boil off volatile components to form coke (metallic coal). During coke production, 336 337 combustion of coking oven gas, blast furnace gas from steel making, and coal tar plus light oil for heating the coking 338 oven is the main CO₂ and CH₄ emission source.

339 Two coking plant stacks were chosen as the target emission source for the field UAV flight. During flight, the UAV 340 was flown in a rectangle pattern (200m×500m) that encloses the two stacks, with repeated flight tracks at 9 altitude levels that, when stacked, created a virtual box and intercepted the emitted CO_2 and CH_4 plumes on the downwind side of the box. The UAV ascended from the ground to 135 m a.g.l. and started the box flight at this altitude, ascending 15 m every level and reaching a maximum altitude of 255 m a.g.l. before landing. The UAV maintained a constant horizontal speed of 8 m s⁻¹ during flight. The flight lastd for approximately 30 minutes. It's assumed that the plume remains steady during the time of measurement. After landing, the air sample collected in the sampler was immediately analyzed with the CRDS analyzer as per the procedure described above in Fig. 2(b).

347 **5. Result and discussion**

348 5.1 CH₄ and CO2 mixing ratio enhancement from the coking plant

349 Figure 5(a) shows the time series of CH_4 and CO_2 mixing ratios measured with the air sampler at the coking plant during 350 the flight (red line). The air sampler sampled for a total of 30 minutes during the flight. After landing, the air sample was 351 analyzed for 10 minutes, as the analysis flow rate triples the sampling flow rate (54.0 sccm vs. 18.0 sccm). The time scales 352 of instrument readings were then stretched three times to restore the original time scales. The CH₄ and CO₂ time series 353 were then deconvolved using the convolution kernel obtained from laboratory test (Sec. 3.2) to restore the mixing ratio 354 time series in air (black line). The meteorological parameters during the time of flight were measured by the 3D 355 anemometer, showing consistent southwesterly winds (Fig. 5(b)). The average windspeed is 4.7 ± 4.9 m/s and the average 356 winddirection is 216.4±38.4° during the time of flight. Consistency of wind measurements can be seen from the two 357 wind rose plots for the northern wall and the southern wall respectively. During the flight, the maximum mixing ratio 358 measured was 5.6 ppm for CH_4 and 1356 ppm for CO_2 . During the 30-minute flight, a total of 5 CO_2 makers were 359 generated during the 30 minutes of sampling (Fig. 5(a)), and the decreases in the marker concentrations are corrected with 360 a Gaussian form function.





Figure 5. (a) Red line represents CH₄ and CO₂ mixing ratios measured from the air samples collected with the air sampler during the flight at the coking plant. Black line represents the deconvolved CH₄ and CO₂ time series and red dashed line sections represent the original marker CO₂ concentrations every 7 minutes. (b)Wind rose plot for the northern and southern wall based on the onboard meteorological measurements during the flight.

366 5.2 Emission estimation

The CO₂ and CH₄ emission rates for the stacks from coking plant were estimated by applying a version of the computation 367 algorithm TERRA specifically modified to suit UAV measurements. The deconvolved mixing ratio time series of CO₂ 368 369 and CH₄ were used in the TERRA algorithm. The algorithm first maps the mixing ratios to the walls of the virtual box, 370 then applies a kriging scheme to interpolate the data and produces a 2 m (vertical) by 1 m (horizontal) mesh on the virtual 371 box walls (200m×500m) (Fig. 6). The semivariogram of the flight points was fitted with a spherical model (range=300, sill=3, nugget=0). Wind speed and wind direction are first decomposed into northly and easterly components, then further 372 373 converted to vectors that are normal to and parallel to the walls of the virtual box before kriging. Background CH₄ and 374 CO₂ were determined using upwind measurements. The background between upwind data was linearly interpolated and 375 box-car smoothed within a 3-4 minute moving window to derive a variable baseline CH₄ and CO₂ for the entire 30-minute 376 flight. As shown in Fig. 6, the CH₄ and CO₂ plumes can be seen at different locations on the downwind side of the box wall, which indicates that the CH₄ plume and the CO₂ plume probably came from different sources within the box. Using 377 378 the modified version of TERRA, the emission rates for the two stacks in the coking plant were calculated to be 0.12 \pm 379 0.01 t h⁻¹ for CH₄ and 110 \pm 20 t h⁻¹ for CO₂. The uncertainties for the estimates were derived from detailed analyses of 380 each uncertainty source including measurement error in mixing ratio and wind speed, the near-surface wind extrapolation, 381 the near-surface mixing ratio extrapolation, box-top mixing ratio, box-top height and deconvolution.



382

Figure 6. Virtual flight box for monitoring CO₂ (a) and CH₄ (b) during the flight. The CO₂ and CH₄ plumes were captured on the north
 and east wall respectively. The wind came from the southwestern direction. Satellite imagery © Google Earth 2019.

385 5.3 Uncertainty Analysis

To determine the overall uncertainty in the emission rates, each source of uncertainty contributing to the overall uncertainty needs to be identified and quantified. For the emission rate quantification from UAV measurement, the sources of uncertainties include: measurement uncertainties in the mixing ratios and wind speeds (δ_M), the near-surface wind extrapolation (δ_{Wind}), the near-surface mixing ratio extrapolation (δ_{Ex}), box-top mixing ratio (δ_{Top}), box-top height (δ_{BH}) and uncertainties due to data deconvolution as shown in the main text (δ_{Deconv}). Each uncertainty is treated as an independent estimate, and all uncertainties are propagated in quadrature to determine the overall uncertainty in the estimated emission rate:

$$\delta^2 = \delta_M^2 + \delta_{Wind}^2 + \delta_{Ex}^2 + \delta_{Top}^2 + \delta_{BH}^2 + \delta_{Deconv}^2 , \qquad (17)$$

394 The accuracy of the mixing ratio measurements from the Picarro CRDS analyzer is 50 ppb and 1 ppb for CO_2 and 395 CH_4 , respectively. By adding variations in the measured mixing ratios based on the measurement accuracies and reapplying TERRA, the derived emission rates varied within 1% for both CO₂ and CH₄. Thus, the uncertainties in the emission rates due to mixing ratio measurements (δ_M) were estimated at 1% for both CH₄ and CO₂.

The anemometer measures wind speeds with an accuracy of $\pm 0.1 \text{ m s}^{-1}$ at wind speeds < 10 m/s and wind directions with an accuracy of $\pm 1^{\circ}$. The uncertainty of the wind measurements (δ_{Wind}) was estimated using error propagation in the normal wind $U_{\perp}(s, z)$, as it is calculated from the northerly and easterly wind components, thus from wind speed and wind direction:

$$\delta_{U_{\perp}} = \sqrt{\delta_{easterly}^{2} + \delta_{northly}^{2} + 2\sigma_{easterly-northly}},$$
(18)

403
$$\delta_{easterly} = |WScos(WD)\sigma_{WD}|, \qquad (19)$$

404
$$\delta_{northly} = |WSsin(WD)\sigma_{WD}|, \qquad (20)$$

Using this calculation, the uncertainty of the normal wind $\delta_{U_{\perp}}(s, z)$ was derived at each location. The uncertainty contributed to the total emission rates to the overall computed emission rate was examined by setting the normal wind to its upper and lower bounds defined by its uncertainty range, followed by computing the emission rates using TERRA. The derived CH₄ and CO₂ emission rates varied by 1.5% and 1.9% respectively. Hence the uncertainties from wind speed measurements (δ_{Wind}) were conservatively estimated to be 2% for both CH₄ and CO₂.

410 Due to a lack of near-surface measurements along the box walls, extrapolation of CH_4 and mixing ratios from the 411 lowest flight path (~ 150 m above ground level) to the ground level has been shown to be a source of potentially large 412 uncertainty within TERRA. The magnitude of the uncertainty depends on the nature of the emissions; for example, surface 413 emissions which may not be fully captured by the flight altitude range have higher uncertainties at $\approx 20\%$, whereas elevated 414 stack emissions which are fully captured by flight altitude range lead to much smaller uncertainties of <4% in the emission 415 estimates (Gordon et al., 2015). In the present study, to estimate uncertainties due to extrapolating mixing ratios from the lowest flight track to the ground (δ_{Ex}), results from all extrapolation techniques (i.e., linear to the ground, constant value 416 417 to the ground, linear to background value, or some combination of methods) were derived and compared with the result 418 using a background value below flight altitudes. Therefore, this term of uncertainty was evaluated at 2% and 6% for CH₄ 419 and CO₂ respectively.

420 Table1. Emission rates derived using different extrapolation techniques

Extrapolation techniques	All	Constant	Linear		
	background	background value from			
	below flight lowest flight		constant and linear		exponential
	altitude (this	altitude to	background		
	study)	surface	at surface		

CH_4	1157	112.0	116.0	113.9	113.6	
Emissions(kg/hr)	113.7	115.9	110.9			
CO_2	110100	100070	100400	100070	102060	
Emissions(kg/hr)	110100	109970	109400	109970	103900	

Additional components contributing to uncertainties in the computed emission rates specific to the box approach 421 422 include box-top mixing ratio (δ_{Top}) and box-top height (δ_{BH}). The TERRA box approach assumes a constant mixing ratio 423 at the box top $(X_{C,Top})$ by averaging the measured value at the top level. The term δ_{Top} is determined from the 95% 424 confidence interval $(2\sigma/\sqrt{n})$ of the interpolated measurements. The calculated confidence interval of the mixing ratio at 425 the box top is 0.01 ± 0.13 ppm for CH₄ and 70.1 ± 89.1 ppm for CO₂. A top average mixing ratio of 0.14 ppm for CH₄ and 159.2 ppm for CO_2 are set as input parameters to derive resulting uncertainties in the emissions rates. Thus, 106.6 426 kg/hr for CH₄ and 93760 kg/hr for CO₂ were derived. Then, this uncertainty term is conservatively taken as 8% and 16% 427 428 for CH₄ and CO₂.

429 The uncertainty due to the choice of box height, δ_{BH} , within TERRA is estimated by recomputing the emission rate 430 with a reduced box height (*z*) of 100 m. The recalculated emission rate after reducing the box height of 100m is 106.4 431 kg/hr for CH₄ and 113500 kg/hr for CO₂, thus δ_{BH} is estimated as 8% for CH₄ and 3% for CO₂.

For cases that use the air sampling system instead of online measuring instruments, as the CH₄ and CO₂ time series measured from the air sampler were deconvoluted to restore the unsmoothed time series before being input into the TERRA algorithm, it is necessary to account for the uncertainty that comes from such deconvolution as outlined in the main text. Time series before and after deconvolution were applied to the TERRA algorithm to obtain the total emission rates. The computations show that emission rates before and after deconvolution vary within 1%, which was taken as the uncertainty δ_{deconv} . The assessment of uncertainties for the TERRA-computed emission rates from the coking plant are listed in Table 2.

439 '	Table 2. Assessment	of percent	uncertainties	for CH ₄	and CO ₂	emission ra	ate estimations
-------	---------------------	------------	---------------	---------------------	---------------------	-------------	-----------------

	CH ₄ (%)	CO ₂ (%)
δ_M	1	1
δ_{Wind}	2	2
δ_{Ex}	2	6
δ_{Top}	8	16
δ_{BH}	8	3
δ_{Deconv}	1	1
δ	12	18

440 **5.4 Comparison with Gaussian Inversion Approach**

The TERRA computation results can be further evaluated. Of the multiple CH_4 plumes that were captured on the north and east walls of the virtual box, the largest CH_4 one resembles a nearly perfect Gaussian plume distribution and is clearly associated with the east stack of the two, for which the emission rate may be recalculated using the Gaussian plume model. The Gaussian plume model makes basic assumptions that the plume is emitted from a point source and that the atmospheric turbulence is constant in space and time (Visscher, 2014). In this study, the captured plume was completely elevated and thus not constrained by boundaries. In the absence of boundaries, the equation for pollutant mixing ratios in Gaussian plumes is as follows:

448
$$c = \frac{Q}{2\pi \bar{u}\sigma_y \sigma_z} exp\left(-\frac{y^2}{2\sigma_y^2}\right) exp\left(-\frac{(z-h)^2}{2\sigma_z^2}\right),$$
(21)

where *c* is the concentration at a given position *x*, *y* and *z* (g m⁻³), *Q* is the emission rate (g s⁻¹), \bar{u} is the mean wind speed (m s⁻¹), *h* is the effective source height (m) and σ_y and σ_z are dispersion parameters in the horizontal (lateral) and vertical directions respectively (m).

The dispersion parameters σ_y and σ_z were obtained by fitting the spatial distribution of CH₄ mixing ratios on the 452 453 measurement screen into a Gaussian function. As the wall intercepting the plume is not perpendicular to the wind direction, the plume was projected to a different virtual wall perpendicular to the wind direction before fitting the Gaussian function. 454 455 By calculating the standard deviations of the Gaussian distributions in the y and z directions, σ_z is estimated to be 6.3 ± 0.3 m and σ_v is 15.7 ± 0.4 m. The downwind measurement plane is examined to find the point with the highest CH₄ 456 457 mixing ratio of 6.575 ppm and its location (s = 160 m, z = 217 m). For the separate CH₄ plume, the Gaussian plume model 458 gives an emission rate of 40 ± 6.8 kg h⁻¹. The uncertainty is quantified by considering the accuracy of mixing ratio 459 measurement, the variation of wind speed and the confidence interval for the dispersion parameters given by Gaussian function fitting. CH_4 measurement uncertainties from the instrument is <1%. The uncertainty contributed by the mean 460 wind speed estimation was examined by varying the average wind speed by the standard deviation of the wind data around 461 462 the plume (3.8±0.6 m/s), followed by input into gaussian plume model. This mean wind speed sensitivity analysis resulted in CH₄ emission rates that varied by 16%. The same sensitivity analysis was done with σ_v (15.7 ± 0.4 m) and σ_z (6.3 ± 463 464 0.3 m), which resulted in CH₄ emission rates that varied by 4% and 3% respectively. Thus, the total uncertainty is added 465 in quadrature to be 17%. The TERRA algorithm is able to obtain the emission rate for a selected section through a certain area of the screen. For this isolated CH₄ plume, the TERRA algorithm computed an emission rate of 65 ± 8 kg h⁻¹, which 466 467 is comparable to the emission rate estimation from the Gaussian plume model.

468 5.5 Validation of UAV-based Emissions and Comparison with IPCC-based Emissions

Coking process is one of the highest energy-consuming operations during iron and steel production that tends to emit large amounts of CO_2 and CH_4 . According to the Chinese national GHG inventory report, CO_2 and CH_4 emissions from coke production in iron and steel production processes were calculated using the Tier 1 method in the IPCC Guidelines (Ministry of Ecology and Environment of of China, 2018). In the Tier 1 method, default emission factors for coke production are used to estimate the CO_2 and CH_4 emissions without considering local variations, respectively,

474
$$E_{CO_2} = P_{coke} \times EF_{CO_2}$$
 and $E_{CH_4} = P_{coke} \times EF_{CH_4}$, (22)

475 where E_{CO_2} and E_{CH_4} represents the CO₂ and CH₄ emission rates from coke production, P_{coke} represents coke production, EF_{CO_2} and EF_{CH_4} are the IPCC default emission factors for CO₂ and CH₄, which are 0.56 t CO₂/t of coke and 0.1 g CH₄/t 476 477 of coke, respectively. The measured Shagang coking plant consists of two coke oven batteries, each with its own stack. 478 Each battery produced 127.8 t coke h⁻¹, thus totalling 255.6 t coke h⁻¹ (P_{coke}) between the two batteries during the UAV 479 measurement period with a coke yield of 78.5%. A material balance analysis revealed that CO₂ emitted from the stacks during the full coking process was 103±32 t CO2 h⁻¹ (SI). In comparison, the UAV measurement-based emission rate 480 obtained in this study is 110 ± 18 t CO₂ h⁻¹, which is consistent with the CO₂ emissions based on the material balance 481 482 analysis. For comparison, multiplying the IPCC default emission factor with the coke production at the Shagang coking plant yields an emission rate from coking of 143 t CO₂ h⁻¹, higher than either the material balance based result by about 483 484 39% or the UAV-based result by 30%. This suggests that the IPCC default emission factor is too high for this particular 485 coking plant.

486 On the other hand, the UAV-measurement based emission of 0.12±0.014 t h⁻¹ for CH₄ is four orders of magnitude higher than 1.28×10^{-5} t h⁻¹ emissions for CH₄ estimated using the IPCC Tier 1 emission factor EF_{CH_4} . The IPCC emission 487 488 factor for coke production is derived by averaging plant-specific CH₄ emissions data for 11 European coke plants reported 489 in the IPPC I&S BAT Document (European IPPC Bureau, 2001), but information about the data collection method such 490 as sampling methods, analysis methods, time intervals, computation methods and reference conditions is not available 491 according the report. It is important to note that the present UAV measurement represents a one-time measurement where 492 there was only one flight conducted in this campaign. The result clearly serves the purpose for validating the overall 493 methodology from air sampling and analysis, computing the emission rates, to estimating the associated errors. The 494 fundamental assumption in the mass balance approach is that plumes and emissions remain constant throughout the 495 measurement period. Given the short duration of the flight and the good comparison between the present emission result 496 and the material balance emission estimate, such an assumption appears to be valid. However, a hypothesis of a constant 497 emission rate over time remains to be tested. Conducting multiple flights over time, computing emission rates and 498 assessing their uncertainties will allow for statistical sampling of the probability distribution of the emission rates and 499 hence deriving the mathematical expectation of the emission rate. Only then the derived emission factors can be used for 500 inventory preparation and/or comparison with existing ones with statistical confidence. Given the limited circumstance 501 of having only one flight in this study, it becomes clear such purpose cannot be achieved. Consequently, the emission 502 values of CH₄ derived from measurements in this section are only suitable for qualitative comparisons with those used 503 published emission factors. The comparison results indicate that real-world emission factors may significantly differ from 504 the default emission factors but more work is needed. The additional CH₄ may come from the leakage of the coke oven 505 gas when it is recycled as fuel in firing the coke oven (SI). Both reasons point to a need for further emission measurements 506 to determine the local emission factors and a further validation of the CH₄ emission factors of coke production.

507 6 Conclusions

508 In this paper, we present the development of a UAV measurement system for quantifying GHG emissions at facility levels. 509 The key element of this system is a newly designed air sampler, consisting of a 150-meter-long thin-walled stainless steel 510 tube with remote-controlled time stamping. Through laboratory testing, we found that the air sampler generated smoothed 511 time series data compared to online measurement by the CRDS analyzer. To addressing the smoothing effect, we developed a deconvolution algorithm to restore the resolution of the time series obtained by the air sampler. For field 512 513 validation, the new UAV measurement system was deployed at the Shagang Steel to obtain CO₂ and CH₄ emissions from 514 the main coking plant at Shagang Steel. Mixing ratios of CO₂ and CH₄ together with meteorological parameters were 515 measured during the test flight. The mass-balance algorithm TERRA was used to estimate the coking plant CO₂ and CH₄ 516 emission rates based on the UAV-measured data. For further analysis, we compared these emission results with those 517 derived using Gaussian plume inversion approach and carbon material balance methods, demonstrating good consistency 518 among different approaches. In addition, when compared the top-down UAV-based measurement results to that derived 519 from the bottom-up emission inventory method, the present findings indicated that the IPCC emission factors can be 520 significantly different from the actual emission factors..

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523 Data availability. Data are available upon request by the corresponding author.

524 Author contribution. TH, CX, YL and, SML conducted the fieldwork with the support by XG, XZ, and FB. TH and CX 525 conducted laboratory experiments with the guidance by SML. TH performed the primary data analysis, and wrote the 526 initial draft of the manuscript. YH provided expertise in model analysis. Algorithm programming was provided by YL. 527 YY and YZ did the wind data correction. SML reviewd and edited the manuscript, and ensured the accuracy and integrity 528 of the study.

529 *Competing interests.* The authors declare that they have no conflictof interest.

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